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Atmospheric Chemistry and Physics Discussions

Investigation of short-term effective radiative forcing of fire aerosols over North America using nudged hindcast ensembles

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Abstract

Aerosols from fire emissions can potentially have large impact on clouds and radiation. However, fire aerosol sources are often intermittent and their effect on weather and climate is difficult to quantify. Here we investigated the short-term effective radiative forcing of fire aerosols using the global aerosol-climate model Community Atmosphere Model Version 5 (CAM5). Different from previous studies, we used nudged hindcast ensembles to quantify the forcing uncertainty due to the chaotic response to small perturbations in the atmosphere state. Daily mean emissions from three fire inventories were used to consider the uncertainty in emission strength and injection heights. The simulated aerosol optical depth (AOD) and mass concentrations were evaluated against in-situ measurements and re-analysis data. Overall, the results show the model has reasonably good predicting skills. Short (10-day) nudged ensemble simulations were then performed with and without fire emissions to estimate the effective radiative forcing. Results show fire aerosols have large effects on both liquid and ice clouds over the two selected regions in April 2009. For the 10-day average, we found a large ensemble spread of regional mean shortwave cloud radiative effect over Southern Mexico (15.6%) and the Central U.S. (64.3%), despite that the regional mean AOD time series are almost indistinguishable during the 10-day period. Moreover, the ensemble spread is much larger when using daily averages instead of 10-day averages. For the case investigated here, a minimum of 9 ensemble members is necessary to get a reasonable estimate of the ensemble mean and spread of the forcing on individual days. This demonstrates the importance of using a large ensemble of simulations to estimate the short-term effective aerosol radiative forcing.

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1. Introduction

Natural and human-induced fires play an important role in the Earth system. Aerosol and gas emissions from biomass burning can change the atmospheric composition and potentially affect the weather and climate. Over 30% of the global total emission of black carbon (BC) comes from open burning of forests, grasslands and agricultural residues (Bond et al. 2013). For organic aerosols, substantial increases of concentrations dominated by organic carbon enhancements are observed in regions with biomass burning events (Zeng et al. 2011; Lin et al. 2013; Brito et al. 2014; Reddington et al. 2014). As a result, biomass burning emissions have a large impact on the global and regional mean aerosol optical depth (Jacobson, 2014).

Through interactions with radiation and clouds, fire aerosols can significantly affect the long-term Earth's energy budget. Previous studies have investigated the global and regional radiative forcing of fire aerosols using long climatological simulations or satellite retrievals. For example, Ward et al. (2012) investigated the radiative forcing of global fires in pre-industrial, present day, and future periods. For the present-day condition, they estimated a direct aerosol effect (or radiative forcing through aerosol–radiation interactions as defined in IPCC AR5, RFari; see section 2.4) of $+0.1\,\mathrm{W\,m^{-2}}$ and an indirect effect (radiative forcing through aerosol–cloud interactions, RFaci) of -1.0W $\mathrm{m^{-2}}$. Using a newer model, Jiang et al. (2016) found similar RFari but slightly smaller RFaci ($-0.70\,\mathrm{W\,m^{-2}}$). Sena et al. (2013) assessed the direct impact of biomass burning aerosols over the Amazon basin using satellite data. Over the 10-year studied period, the estimated radiative forcing is about -5.6W $\mathrm{m^{-2}}$.

On short timescales, fire aerosols have even larger radiative impacts. Observed maximum daily direct aerosol radiative effects can reach -20W $\mathrm{m^{-2}}$ at TOA locally in Amazonia during biomass burning seasons (Sena et al., 2013). Very large direct effects of fire aerosols were

observed during extreme fire events over Central Russia (Tarasova et al. 2004; Chubarova et al. 2008; Chubarova et al. 2012). Instantaneous radiative effects of emitted aerosols reached -167 W m^{-2} and monthly mean radiative effects reached about -65 W m^{-2} in the 2010 Russia wildfires (Chubarova et al. 2012). Using satellite data and a radiative transfer model, Kaufman et al. (2005) found a radiative effect of -9.5W m^{-2} due to smoke aerosol-induced cloud changes over Southeast Atlantic for the 3 months studied. Smoke-derived cloud albedo effect on local shortwave radiative forcing is estimated to be between -2 and -4 W m^{-2} in a day case study of aircraft-measured indirect cloud effects (Zamora et al., 2016). Kolusu et al. (2015) investigated direct radiative effect of biomass burning aerosols over tropical Southern America. By quantifying results from the first and second day of 2-day single-member forecasts in September 2012, they found the modeled biomass burning aerosols reduced all-sky net radiation by 8 W m^{-2} at TOA and 15 W m^{-2} at surface.

Previous modeling studies on the short-term fire aerosol effects mainly focused on aerosol direct effects (e.g., Keil and Haywood, 2003; Chen et al., 2014; Kolusu et al., 2015), and only a couple of studies investigated the indirect effects of fire aerosols (Lu and Sokolik, 2013). In addition, to estimate the aerosol indirect effect, long simulations (multi-years, >5 years preferred) are often needed to remove the noise, because aerosol life cycle and cloud properties are affected by strong natural variability on different timescales (Bony et al. 2006; Kooperman et al. 2012). To solve the problem, alternative methods have been proposed to help extract signals with shorter simulations. For example, nudging (also called Newton relaxation method) can help reduce uncertainties associated with natural variability by constraining certain meteorological fields towards prescribed conditions. A robust estimate of global anthropogenic aerosol indirect effects can be obtained on substantially shorter timescales (1-2 years) by implementing nudging

to constrain simulations with pre-industrial and present-day aerosol emissions toward identical circulation and meteorology (Kooperman et al. 2012). When nudged towards re-analysis data, Zhang et al. (2014) found constraining only the horizontal winds is a preferred strategy to estimate the aerosol indirect effect since it provides well-constrained meteorology without strongly perturbing the model's mean climate state. Another example is the use of representative ensembles of short simulations to replace a typical long integration. Wan et al. (2014) explored the feasibility of this method and showed that 3-day ensembles of 20 to 50 members are able to reveal the main signals revealed by traditional 5-year simulations.

In this study, we performed month-long and 10-day nudged CAM5 simulations to investigate the effects of fire aerosols on radiation and cloud processes on short time scales (less than two weeks). Horizontal winds were nudged towards reanalysis to constrain the large-scale circulation and to allow for more accurate model evaluations against observations. We also used daily mean emissions from three fire inventories to consider the uncertainty in emission strength and injection heights. Even for short simulations, small perturbations of meteorological states might have large impact on the local aerosol and cloud properties, thus bring uncertainty to the aerosol forcing estimate. Therefore, in our simulations, we also employed very weak temperature nudging in combination with ensembles to quantify the uncertainty.

The rest of the paper is organized as follows. Sect. 2 describes the model and data used in this study. It also introduces how the ensembles are generated in the short nudged simulations and explains how the fire aerosol forcing is estimated. Results and discussions are presented in Sect. 3 and conclusions are summarized in Sect. 4.

2. Model, Method and Data

2.1 Model description

In this study, we used the Community Atmosphere Model (CAM) version 5.3 with the finite volume dynamical core at 1.9° (latitude) × 2.5° (longitude) horizontal resolution with 30 vertical layers. The aerosol life cycle is represented by using the modal aerosol module MAM3 (Liu et al., 2012). CAM5 links the simulated aerosol fields with cloud and radiation through interactions of the aerosol module with the cloud microphysics and radiative transfer parameterizations. The two-moment bulk cloud microphysics scheme from Morrison and Gettelman (2008) is used to track mass mixing ratios and number concentrations of cloud droplets and ice crystals in stratiform clouds. Representation of shallow convection is based on the work of Park and Bretherton (2009). The deep convection parameterization was developed by Zhang and McFarlane (1995) and later revised by Richter and Rasch (2008) and Neale et al. (2008). Longwave and shortwave radiative transfer are calculated with the Rapid Radiative Transfer Model for GCMs (RRTMG, Malwer et al. 1997; Iacono et al. 2008).

2.2 Fire Emission Inventories

Three fire emission inventories were used in this study. Two of them are widely used bottom-up inventories— Global Fire Emissions Database version 3.1 (GFED v3.1, van der Werf et al., 2010; https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1191) and GFED v4.1s (Giglio et al. 2013; Randerson et al. 2012; https://daac.ornl.gov/VEGETATION/guides/fire_emissions_v4.html). Another one is a top-down emission inventory—Quick Fire Emissions Dataset version 2.4 (QFED v2.4). GFED v3.1 and GFED v4.1s provide global monthly emissions at 0.25×0.25 degree spatial resolution from 1997 through the present. Daily emission data are obtained by disaggregating monthly emissions

based on daily temporal variability in fire emissions derived from MODIS measurements of active fires (Mu et al. 2011). The more recent version GFED v4.1s improves by including small fires based on active fire detections outside the burned area maps (Randerson et al., 2012). QFED v2.4 estimates global fire emissions using the Moderate Resolution Imaging Spectroradiometer (MODIS) measurements of fire radiative power and generates daily products at 0.1×0.1 degree resolution.

To drive CAM5 simulations, fire emission data were regridded to the model resolution and distributed vertically. For the GFED v3.1 and QFED v2.4 emission data we adopted the same injection heights (from surface to 6 km) as used in the standard CAM5 model. While for GFEDv4.1s, in this study the injection heights were estimated using a fire plume model and scaled to the 6-hourly interval.

The fire emission inventories were first analyzed to select appropriate time periods and regions for our study before being used to drive model simulations. Fig.1 shows the multi-year mean biomass burning emissions from GFED v4.1 over North America. The emission manifests significant seasonality with large dry matter consumption during March to April and June to September. The summer and autumn burning covers Pacific Northwest and part of Canada and is mainly associated with forest fires, while the spring burning occurs in more densely populated regions like Mexico and central and eastern United States with a large contribution of agricultural fires in croplands (Korontzi et al., 2006; Magi et al., 2012). Similar features are also captured in GFED v3.1 and QFED v2.4 with differences in the magnitude. We chose to analyze the simulated fire aerosol effect in April, the peak month of spring burning, when there are extreme fire activities over Mexico (10 N to 25N, 100W to 80W) and occasionally large fires in the Central U.S. (35 N to 45N, 100W to 85W). For the U.S., extended fire period is rare, making

it necessary to perform short-term evaluation. Fire aerosols formed from these two regions are often transported to the Eastern and Southeastern U.S., where they mix with aerosols from anthropogenic sources and potentially have significant impact on clouds and radiation over these areas. Time series of regional mean fire emissions in April during 2003-2014 shows that relatively large fires occur in both regions in 2009 (Fig.S1). Values of fire emissions in 2009 are larger than the multi-year April mean by a factor of 1.9 in the Central U.S. and 1.5 in Southern Mexico. Thus, in the following model simulations, we focused on analyzing the aerosol properties and radiative effects over the two selected regions (denoted by the red boxes in Fig.1) in April 2009.

Fire emitted BC from different emission inventories in April 2009 is shown is Fig.2. Although GFED v4.1s includes the contributions of small fires (Randerson et al., 2012), the emitted BC in GFED v4.1 shows no substantial increase compared to GFED v3.1during the selected period. Only an increase by 1.75 is seen over Southern Mexico. In the Central U.S., the BC emission is even slightly weaker in GFED v4.1. QFED v2.4 shows a much larger BC emission than the GFED inventories. Values of emitted BC in QFED v2.4 are larger than those in GFED v4.1s by a factor of 9.7 in the Central U.S. and a factor of 2.7 in Southern Mexico.

2.3 Simulations

Two groups of simulations were conducted (Table1) using the same greenhouse gas concentrations, sea surface conditions and anthropogenic emissions of aerosols and precursors. Each group includes four simulations, performed either without fire emission or with daily fire emissions from one of the three fire emission inventories introduced in section 2.2. The emitted species include BC, OC, and SO_2. Horizontal winds were nudged to 6-hourly ERA-Interim reanalysis (Dee et al., 2011) as described in Zhang et al. (2014) in both groups.

Simulations in Group A are month-long single-member nudged simulations. These simulations were performed to provide longer time series for model evaluation and generate initial condition files for simulations in Group B. They started from January 1, 2009 and were integrated for four months with 3-month spin-up. Initial condition files were generated on April 1 at 00 UTC for simulations in group B.

Simulations in group B are 10-day ensemble simulations. Unlike the traditional way of perturbing initial conditions, in this study we constructed the ensembles by implementing a very weak temperature nudging and perturbing the nudging time scale. This is because under the influence of horizontal-wind nudging, ensemble differences generated by perturbing initial conditions would fade away during the integration. In contrast, our method can consider the influence of small temperature perturbations during the entire simulation period, as nudging is applied at every time step. On the other hand, the large-scale circulation patterns simulated in the different ensemble members are very similar (not shown), so the noises caused by the chaotic system can be constrained and the effective fire aerosol forcing signal can be easily identified.

Each ensemble in group B includes 10 members. The only difference between the members is the relaxation time scale of temperature, which varies from 10 to 11 days at an interval of 0.1 day. All simulations started on April 1, 2009 and were integrated for 10 days. For each simulation (e.g. E_QF), the initial condition was generated by combining the meteorological fields from initial condition outputs in the S_NF simulation with aerosol and precursor concentrations from initial condition outputs in the single-member simulation forced by the corresponding fire emission (S_QF).

2.4 Calculation of fire aerosol RF

The IPCC AR5 report provides a more useful characterization of aerosol forcing by allowing for rapid tropospheric adjustments (Boucher et al., 2013) compared to the original definition of aerosol forcing. It quantifies aerosol radiative effects in terms of Effective Radiative Forcing from aerosol-radiation interactions (ERFari) and Effective Radiative Forcing from aerosol-cloud interactions (ERFaci). ERFari refers to the combined effect of instantaneous radiative forcing from direct scattering and absorption of sunlight (aerosol direct effect) and related subsequent rapid adjustments of atmospheric state variables and cloudiness (aerosol semi-direct effect). ERFaci refers to the indirect forcing resulting from aerosol induced changes in cloud albedo (cloud albedo effect) and subsequent changes in cloud lifetime as rapid adjustments (second aerosol indirect effect) via microphysical interactions.

To allow for a straightforward comparison with previous studies in the literature, we followed the IPCC concept of including rapid adjustments (effective aerosol radiative forcing), but continued to decompose the aerosol effect in the conventional terms as aerosol direct radiative effect (DRE), aerosol cloud radiative effect (CRE) and surface albedo effect. Note that as nudging timescale determines the degree to which model physics are constrained (Kooperman et al., 2012), the use of a 6-hour relaxation time scale for horizontal wind nudging means only very fast adjustments are considered in the simulations.

Similar to Jiang et al. (2016), our calculations of fire aerosol DRE, CRE and surface albedo effect are based on the work of Ghan et al. (2012) and Ghan (2013). They were calculated as the radiative flux differences between simulations with and without fire emissions (denoted by Δ). In each simulation, aerosol (direct) forcing was defined as the difference between all-sky and clean-sky TOA radiative fluxes ($F - F_{clean}$). Aerosol induced cloud forcing change was defined as the difference between all-sky and clear sky TOA radiative fluxes under clean-sky

conditions ($F_{clean} - F_{clean,clear}$). The rest were related to surface albedo forcing ($F_{clean,clear}$). Thus fire aerosol DRE, CRE, and surface albedo effect were expressed as $\Delta(F - F_{clean})$, $\Delta(F_{clean} - F_{clean,clear})$, and $\Delta F_{clean,clear}$, respectively. More details about the method can be found in section 2 of Ghan (2013). CRE includes contributions of both aerosol indirect effect and aerosol semi-direct effect but was analyzed as a single term (i.e., the sum).

2.5 Observational Data

In this study, we used two sets of AOD reanalysis and the AERONET data (Holben et al. 1998) to evaluate the modeled AOD. The two AOD reanalysis datasets are the Naval Research Laboratory (NRL) reanalysis (Rubin et al. 2015) and the Monitoring Atmospheric Composition and Climate (MACC) reanalysis (Eskes et al. 2015). Both are generated by assimilating AOD retrievals from MODIS (Zhang et al., 2008; Benedetti et al., 2009) with forecast fields. The NRL reanalysis provides 6-hourly AOD at 1°horizontal resolution. The MACC dataset provides 3-hourly AOD at 1.125°horizontal resolution. Daily averages in April, 2009 were used for model evaluation in this study. AERONET retrievals of AOD from April 1 to April 30 in 2009 were used for model evaluation. Two sites are available in the selected regions: Cart Site (36°N, 97°W) and Mexico City (19°N, 99°W). LEV 2.0 cloud-screened all points AOD at 500 nm and 675 nm was used to generate hourly AOD at 550nm.

In addition, the simulated BC and primary organic matter (POM) concentrations were compared with observations from the Interagency Monitoring of Protected Visual Environments (IMPROVE) (Malm et al. 2004). IMPROVE aerosol data are only available over the Central U.S. A total of fifteen sites were selected and marked in Fig 2, which include the sites west of 94°W near the source region (asterisks) and sites east of 94°W in the downwind region (dots).

Observed organic carbon concentrations were multiplied by 1.4 for comparison with simulated POM. Detailed descriptions about the data and sites are available at http://vista.cira.colostate.edu/improve/. The IMPROVE network collect 24-hour aerosol data on every third day. Daily averages during April, 2009 are compared on IMPROVE observation days only.

3. Results

In this part, the model performance is first evaluated based on the simulations in group A. Next, we present the simulated short-term effective fire aerosol forcing on 10-day and daily timescales based on the results from group B simulations. We will demonstrate the importance of using ensemble simulations in estimating the short-term aerosol effective forcing and give a quantitative estimate of how many ensemble members are needed for the case selected in this study.

3.1 Model Evaluation

Model simulated AOD are evaluated against the NRL and MACC reanalysis data (Fig. 3). The simulated temporal variation of regional mean AOD over the central U.S. is consistent with that in the reanalysis, but the magnitudes of simulated AOD are lower (Fig. 3). A better agreement is found between the model and the NRL data, despite the horizontal winds in the simulation are nudged towards a reanalysis that is very similar to the data used to derive MACC. Temporal correlation coefficients (TCC) between the modeled AOD and the NRL reanalysis are 0.87 and 0.82 for S_QF and S_GF4 simulations, respectively, but are lower (0.67 and 0.78) between the modeled AOD and the MACC reanalysis. The corresponding root mean square

errors rise from 0.13 (S_QF) and 0.1 (S_GF4) to 0.23 and 0.21. Generally, AOD is underestimated by a factor of 2-4 in all simulations compared to the reanalysis, especially in simulations with GFED emissions. Previous studies have suggested the need to scale up GFED emissions by a factor of 1-3 to match the observed AOD (Tosca et al., 2013). This is consistent with the large negative bias in the simulation S_GF3 and S_GF4. Simulated AOD in these two simulations are almost indistinguishable due to the small difference in the total fire emission in the region.

Over Mexico, different simulations produce similar temporal variations in AOD, but the magnitude is smaller in the GFED simulations. Large discrepancies are found between model results and reanalysis data during Apr. 17-20. An increase of AOD is captured by both reanalysis datasets, while model results display a decrease of AOD compared to earlier days in the simulation period. Note that the two sets of reanalysis data also have some differences occasionally. For example, during Apr. 10-12, NRL data displays an increase of AOD, while MACC data show the opposite. These discrepancies may partly result from the large internal variability in this tropical region, where the simulated atmosphere state and its influence on aerosol transport are more likely to disagree between the model and the reanalysis. Generally speaking, the model forced with different fire emissions is capable of capturing daily variation of AOD in both regions, especially during Apr. 1-10. This period was selected for further investigation of the short-term fire aerosol effect.

Model simulated AOD are also evaluated against AERONET retrievals (Fig. 4). At Cart Site (36°N, 97°W), with the QFED emission (S_QF) the model performs well in simulating both the temporal variation (TCC=0.62) and magnitude of AOD. Simulations with GFED emissions also reproduce the temporal evolution well (TCC = 0.58 for S_GF3 and 0.55 for S_GF4), but with

289 significantly low bias (mean bias by a factor of 2). The simulated difference in AOD magnitude
290 is similar to that found by Zhang et al. (2014) over the northern sub-Saharan African. Using the
291 QFEDv2.4 fire emission, the simulated regional mean AOD is a factor of 1.5 higher than that
292 using the GFEDv3.1 emission in their study. Relatively good performance of S_QF is also seen
293 over Mexico. The simulated time evolution agrees well with AERONET retrievals except for
294 small discrepancies (e.g. during Apr.17 -19). A better agreement with the AERONET retrievals
295 is found for the NRL data than MACC reanalysis at both sites. Consistent with the evaluation
296 using reanalysis, the simulated temporal evolution of AOD during Apr. 1-10 agrees well with
297 both reanalysis data and AERONET retrievals in selected regions. This gives us further
298 confidence in choosing this period for further investigation.

299 The model is further evaluated against the IMPROVE data for BC and POM mass
300 concentrations (Fig. 5). In the downwind region, the simulated mass concentrations in simulation
301 S_QF lie within a factor of 2 of the observed values at most sites. However, the magnitude is
302 generally underestimated in simulations with the GFED emissions (S_GF3 and S_GF4),
303 especially in S_GF3. BC and POM concentrations in the downwind regions are affected by
304 transport of aerosols from Southern Mexico (Fig. S3). A larger amount of fire emission in
305 Southern Mexico would result in a higher BC (POM) concentration in the downwind region.
306 This explains the slightly higher concentrations in the simulation S_GF4 than S_GF3, as BC and
307 POM emissions over Southern Mexico are higher in GFED v4.1 due to the inclusion of small
308 fires (Randerson et al., 2012). The good agreement between S_QF and observations suggests that
309 the QFED data have a reasonable total emission rate. However, in the source region, the
310 simulation S_QF displays large positive bias with a large majority of the values fall out of the a-
311 factor-of-2 band. Given the reasonable total emission rate in QFED and a good agreement of

AOD with AERONET retrievals at Cart Site, this might result from the discrepancies in the vertical distribution of the fire emissions. Fire-emitted BC and POM in simulations S_QF and S_GF3 reach maximum values in the lowest level and decrease sharply to the next level, while low-level fire emissions in S_GF4 distribute in a more uniform way (Fig. S4). As the sampling was done on the lowest model level at most sites to compare with the IMPROVE data, this explains the strong overestimation in S_QF. Although the same impact from vertical distribution of fire emission also appears in simulation S_GF3, it is partly offset by its negative bias in the total emission rate.

3.2 10-day Mean Results

Given the good model performance during April 1-10, we proceed to analyze the short-term effects of fire aerosols during this period with nudged ensemble simulations. We define "fire AOD" as the AOD difference between the simulations with and without fire emissions.

3.2.1 Fire Aerosol Distribution

Fig. 6 shows the spatial distributions of 10-day average ensemble mean fire AOD. For reference, the total AOD in the simulation without fire emissions is shown in Fig. S2. During the period, regional mean AOD increases by 6.4% (E_GF3), 6.4% (E_GF4) and 70.2% (E_QF) in the central U.S. and 10.4% (E_GF3), 13.3% (E_GF4), and 49.6% (E_QF) in Southern Mexico when fire emissions are included. In E_QF, high fire AOD covers almost the entire selected region and extends further north. Maximum values of fire AOD stay above 0.2 around the Yucatan Peninsula. Over the Central U.S, significant fire AOD ranging between 0.04 and 0.1 appears in the southwest part of the selected region. Apart from the significant AOD difference in selected regions, large fire AOD also appears near the eastern coast as a result of local fire

334 emission and the eastward transport of fire aerosols from both regions. Overall, the modeled fire
335 AOD is much smaller in simulations with GFED emissions.

336 **3.2.2 Fire Aerosol Radiative Effect**

337 As described in Sect. 2.4, fire aerosol radiative effect can be decomposed into three items
338 including fire aerosol DRE, fire aerosol CRE and fire aerosol surface albedo effect. Fig.7 shows
339 the spatial distributions of shortwave direct effect (SDRE) and shortwave cloud radiative effect
340 (SCRE). They are major contributors to the total fire aerosol forcing in the selected regions. For
341 reference, total aerosol forcing and total shortwave cloud forcing in the simulation without fire
342 emissions are shown in Fig. S2. The spatial distribution of SDRE and SCRE are similar for the
343 three cases, but with different magnitudes and statistical significant regions for simulations with
344 QFED and GFED fire emissions. In the Central U.S., fire aerosol SDRE is negligible in GFED
345 forced simulations due to small fire AOD. Although the fire AOD is larger in simulation E_QF,
346 the compensation between warming effect of fire BC and cooling effect of fire POM still results
347 a weak forcing of about -0.1W m^{-2}. Over southern Mexico, all simulations produce significant
348 cooling by fire aerosol SCRE with maximum values three times as large as those of
349 corresponding SDRE. For both SDRE and SCRE, the largest fire aerosol effects appear in the
350 E_QF simulation while the E_GF3 yields the weakest forcing, which is consistent with the
351 modeled fire AOD in these simulations.

352 In the following analysis, we will focus on the results from the E_QF simulation. Both SDRE
353 and SCRE spread outside the two selected regions and extend eastward reaching coast regions. A
354 stronger fire aerosol effect is seen in the Southern Mexico region. Strong SDRE appears over the
355 Yucatan Peninsula where fire AOD peaks (Fig. 6). Regional mean 10-day average of SDRE and
356 SCRE reach -1.66W m^{-2} and -3.02W m^{-2} respectively. In the central U.S, despite moderate fire

aerosol SDRE, SCRE near fire source region is weaker than -4 W m^{-2}, which is comparable to that in the extended regions.

Given the largely insignificant change in cloud fraction (Fig. 8), fire aerosol SCRE in both regions are mainly induced by changes in liquid water path (LWP) and droplet number concentrations (CDNC). Changes in ice water path (IWP) and ice crystal number concentration (ICNC) can also significantly affect SCRE, but with an opposite sign and mostly in the central U.S. Fire aerosol SCRE in the central U.S is associated with significant increases in both column-integrated droplet number concentration (smaller droplet effective radius) and LWP, indicating important contributions of both the aerosol first and second indirect effects. Increased CDNC enhances cloud albedo by decreasing droplet sizes (Twomey, 1977) and allows more liquid water to accumulate by decreasing precipitation efficiency (Albrecht, 1989; Ghan et al., 2012). Note that although LWP and CDNC over southern Mexico change in a smaller magnitude than those in central U.S., fire aerosol SCRE is stronger over Southern Mexico. This is mainly due to the reductions in IWP and ICNC over the Central U.S. These changes, which possibly caused by fire aerosol-induced changes in the circulation (Ten Hoeve et al, 2012), lead to a positive SCRE that partly offsets the negative SCRE caused by changes in warm clouds. In the northeast of the extended coastal regions, a more significant change of LWP comparable to that in the central U.S appears, while a more significant change of CDNC comparable to that in Southern Mexico occurs in the southwest. The combined effect leads to the total fire aerosol effect in the extended regions.

The ensemble method provides another effective way to distinguish fire aerosol radiative effect by comparing the radiative forcing distribution of ensemble members between simulations with and without fire emission. A significant difference in the distribution of total aerosol (cloud)

forcing indicates a significant fire aerosol direct (cloud) effect. As shown in Fig. 9, a shift towards stronger magnitude occurs to the total aerosol forcing when fire aerosols are considered. Simulation E_QF has a larger percentage of grid cells with SDRE below -4.2W m^{-2}, while more grid cells exceed -4.2W m^{-2} in E_NF, which indicates a significant negative fire aerosol direct effect. Same shift also appears to the total cloud forcing with more grid cells having cloud forcing below -30W m^{-2} in the simulation E_QF. Regional mean total aerosol and cloud forcing in southern Mexico become more negative (-0.86 and -3.0 W m^{-2}) with fire aerosols.

Fig. 10 illustrates ensemble behavior of 10-day average regional mean total aerosol and cloud forcing from all simulations as well as resulted fire aerosol SDRE and SCRE. The GFED forced simulations not only resemble in ensemble mean, but also have small difference in ensemble member distribution. Although members in the E_QF simulation capture stronger aerosol forcing, thus stronger fire aerosol SDRE than those in E_GF3 and E_GF4, the ensemble spread (as indicated by the maximum and minimum values) in the three simulations is similar. Moreover, the E_QF simulation yields a smaller spread of SCRF compared with the GFED forced simulations despite a stronger ensemble mean SCRF. In each fire simulation, ensemble mean fire aerosol SCRE has a much larger magnitude than SDRE. So is the corresponding ensemble spread. Taking results from E_QF simulation as an example, ensemble spread of SCRE reaches 0.47 W m^{-2}, accounting for 15.6% of the corresponding ensemble mean, while ensemble spread of SDRE is 0.03W m^{-2} accounting for 3.5% of the corresponding ensemble mean.

3.3 Daily RF

The fire aerosol effect is also investigated for individual days. The spatial distributions of SDRE and SCRE on April 7 are shown in Fig 11, when relatively high fire emissions appear in

both regions. Negative fire aerosol SDRE appears in the central U.S. biomass-burning region indicating the dominant role of POM scattering. Fire aerosol SDRE over Southern Mexico shows a contrast of warming effect in land region and cooling effect in adjacent ocean despite similar aerosol loading in the two regions. However, they do have nearly equal clear-sky BC absorption and POM scattering (Fig. 12). Difference in the low-level cloud distributions between two regions leads to different signs of the simulated all-sky SDRE. Over land, when clouds appear under elevated aerosol layers, more solar radiation is reflected back to space and this leads to amplified BC absorption and more positive direct aerosol forcing (Keil and Haywood, 2003; Zhang et al., 2016; Jiang et al., 2016). In contrast, neither absorption nor scattering changes significantly from clear-sky to all-sky condition over adjacent areas over the ocean, since the cloud fraction is small. Same enhanced absorption of above-cloud aerosols is also found over the west Atlantic Ocean. Fire aerosols produce remarkable negative SCRE up to -16W m^{-2} over Southern Mexico land in response to the increase in CDNC and LWP.

3.4 Discussion about the Simulation Strategy

Fig. 13 shows the daily variation of the regional mean total (direct) aerosol forcing and cloud forcing. Both the ensemble mean and spread are investigated here. The total aerosol forcing exhibits considerable diversity across ensemble members within each simulation, even though the simulated AOD is nearly indistinguishable (Fig. 3). Taking results from simulation E_QF as an example, maximum values of difference between members exceed 0.4 W m^{-2} for aerosol forcing and 5 W m^{-2} for cloud forcing, which are approximate 10% of the corresponding ensemble mean values. The large spread of total aerosol forcing and cloud forcing will lead to uncertainties in the estimation of fire aerosol effect. This points out the importance of conducting ensemble simulations in order to get a more comprehensive estimate of the daily fire aerosol

effect. The minimum ensemble size required for this case is investigated in terms of the ensemble mean and spread estimate. Simulated ensemble mean fire aerosol SDRE remains nearly unchanged regardless of the ensemble size (Fig. 14a). However, discrepancies in the ensemble mean fire aerosol SCRE (Fig. 14b) are substantial when the number of ensemble members is smaller than 8. The same is true for the ensemble spread of fire aerosol SCRE (Fig. S5). Overall, the time evolution and magnitude of ensemble mean and spread tend to converge when the number of ensemble members reaches about 9 for different days we investigated here.

Fire aerosol sources are often intermittent and height-dependent and there is a need to estimate the short-term effective aerosol forcing. Although nudging helps to constrain large-scale features, the simulated cloud properties (e.g. cloud fraction and LWP) and their response to aerosol changes can still be sensitive to small perturbations in the atmospheric state. Therefore, for investigating the short-term aerosol effect, a single simulation might not be sufficient to tell whether the aerosol effect is significant. The use of ensembles provides an effective way to estimate the uncertainty. Previous investigations of short-term fire aerosol effect are mainly based on single-member simulations (Wu et al., 2011; Sena et al., 2013; Kolusu et al., 2015). While this might be less a problem for SDRE, one should be more careful when investigating the aerosol indirect effect and conduct ensemble simulations to see whether the estimated fire aerosol effects are robust.

4. Summary

In this study, we investigated the short-term effect of fire aerosols on cloud and radiation using CAM5 simulations. Month-long single-member simulations and 10-day ensemble simulations were conducted in April 2009. In order to help extract signals on short time scales,

we used nudging to constrain horizontal winds in all simulations. Our investigation focused on Southern Mexico where there were constant intensive fire activities and the Central U.S. with occasionally large fires. Apart from the local effect, fire emissions from the two regions are shown to affect downwind coastal regions through transport.

Modeled AOD and mass concentrations (BC and POM) were evaluated against observations. In general, all simulations with fire emissions reproduce the observed temporal variation of daily mean AOD well, although the simulated magnitude is smaller. The model performance is better when QFEDv2.4 is used, which has larger fire emissions. Modeled regional mean AOD values in simulations using two versions of GFED fire emission data are barely distinguishable, despite the inclusion of small fires and changed injection heights in GFEDv4.1 used in this study. Both of them simulate about a factor of 1.5 smaller AOD than that in the simulation using the QFED fire emissions. At sites in the downwind region, the modeled BC and POM mass concentrations in the simulation with QFEDv2.4 emission (S_QF) agree well with the IMPROVE data. In contrast, simulations with the other two fire emission datasets (S_GF3 and S_GF4) have a low bias. The simulated AOD in the source region in S_QF also agrees well with the AERONET data (Cart Site). If there is no large compensating error in the model, QFEDv2.4 seems more reasonable in terms of the total (vertically-integrated) emission rate. On the other hand, S_QF strongly overestimates BC and POM concentrations in the source region. Considering that the source-region AOD and the downwind surface mass concentrations are well simulated, the overestimation suggests that the actual emission peak might appear at higher levels compared to the height-dependent injection rates applied in the S_QF simulation.

Based on the evaluation, we chose the first 10 days as the simulation period and focused on the simulation with QFEDv2.4 fire emission in our ensemble nudged simulations. In our method,

471 the nudged ensembles are generated by adding a very weak temperature nudging along with
472 horizontal-wind nudging and perturbing the nudging time scale of temperature gently. In this
473 way, small temperature perturbations are added to the simulation at each time step, while the
474 large-scale circulation features are very similar between individual members. We first
475 investigated the 10-day mean effective fire aerosol forcing. Decomposition of total aerosol
476 radiative forcing shows that fire aerosol effects in the two selected regions are dominated by the
477 shortwave cloud radiative effect SCRE. All fire simulations show similar spatial distribution of
478 SDRE and SCRE, but with different magnitudes and statistically significant regions. The
479 similarity in the spatial distribution is expected since the three emission datasets differ mainly in
480 the emission magnitude and not much in spatial distribution in the focused regions of this study.
481 Fire aerosol effects in simulations with GFED emissions (E_GF3 and E_GF4) are weaker than
482 that with QFEDv2.4 emission (E_QF) by a factor of 1.5 for SCRE and a factor of more than 4
483 for SDRE. Generally speaking, the difference in simulated AOD and fire aerosol indirect
484 radiative effects between simulations is smaller compared to the difference between fire
485 emissions, consistent with the findings in sub-Saharan African biomass-burning region (Zhang et
486 al. 2014).

487 Fire aerosols produce a negative direct effect of -0.1 $W\,m^{-2}$ in the Central U.S. and -0.86
488 $W\,m^{-2}$ in Southern Mexico in E_QF during the 10-day period. Within each region, negative fire
489 aerosol SDRE peaks where fire AOD reaches maximum. Unlike the limited area affected by
490 significant fire aerosol SDRE, fire aerosol SCRE from selected regions spreads eastward and
491 northward, affecting remote coastal regions. Maximum SCRE stays below -4 $W\,m^{-2}$ in the
492 central U.S. and -10 $W\,m^{-2}$ in Southern Mexico in response to significantly increased LWP and
493 CDNC. Decreases of IWP and ICNC also contribute to fire aerosol SCRE in the Central U.S. but

494 with an opposite sign. The offset effect of the positive forcing induced by changes in cloud ice
495 properties explains the smaller SCRE in the central U.S. despite the larger changes in cloud
496 droplet properties.

497 We also investigated fire aerosol effects on the daily time scale, where the variation in the
498 simulated fire aerosol effect can be large among the ensemble members. The large ensemble
499 spread of total aerosol and cloud forcing indicates large uncertainties in estimating daily fire
500 aerosol effects, despite similar AOD across ensemble members. Further investigations show that
501 the simulated ensemble mean and spread with less than 7 members differs considerably to those
502 with more members. A minimum of 9 members is necessary to achieve a steady estimate of the
503 magnitude and temporal variation of SCRE in this case. Our results suggest that for short-term
504 simulations of aerosol and cloud processes, even small perturbations might result in large
505 difference across members despite constrained large scale features. In order to obtain a robust
506 estimate of the effective fire aerosol forcing during a short period, it is important to conduct
507 ensemble simulations with sufficient ensemble members.
508

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Table 1. List of CAM5 simulations.

Name	Fire emission	Simulation period	Member	Nudging
Group A: Single member simulations				
S_NF	No	January 1- April 30, 2009	1	Horizontal winds (6h)
S_GF3	GFED v3			
S_GF4	GFED v4.1			
S_QF	QFED v2.4			
Group B: Ensemble simulations				
E_NF	No	April 1 - April 10, 2009	10	Horizontal winds (6h) and temperature (~10d)*
E_GF3	GFED v3			
E_GF4	GFED v4.1			
E_QF	QFED v2.4			

* See section 2.3 for details about ensembles

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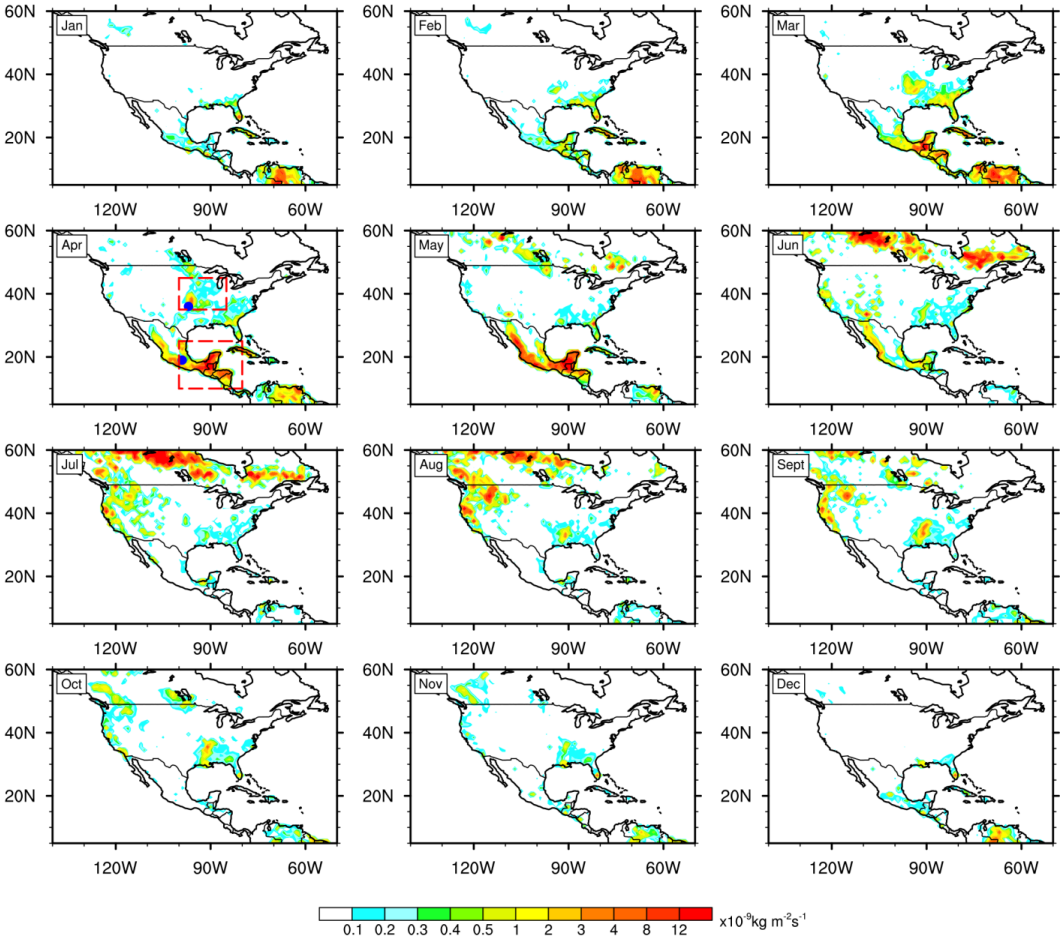

Figure 1. Spatial distributions of multi-year monthly mean biomass burning consumed dry matter over North America during 2003-2014 from GFEDv4.1. Boxes denote selected regions: central U.S (35 - 45°N, 85 - 100°W) and Southern Mexico (10 - 25°N, 80 - 100°W). Dots denote locations of AERONET sites: Cart Site (36°N, 97°W) and Mexico City (19°N, 99°W)

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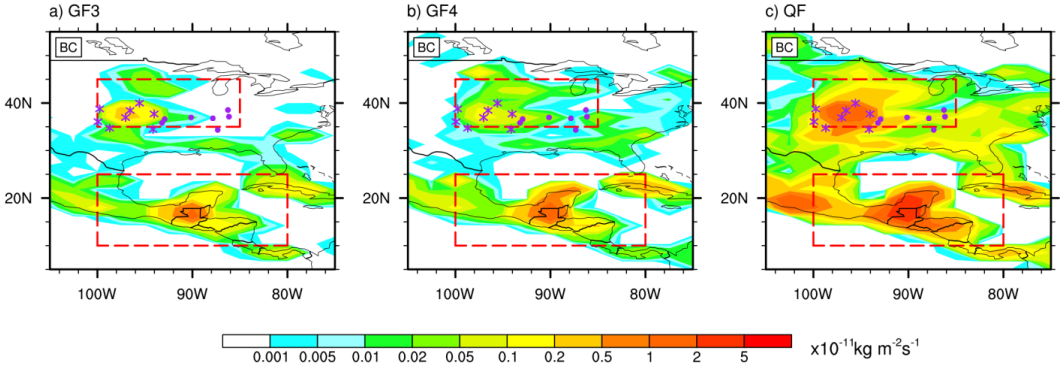

Figure 2. Spatial distributions of monthly mean BC emissions from three emission inventories in April, 2009. IMPROVE data sites are shown as asterisks for sites near the source region and as dots for sites in the region downwind of the fire source.

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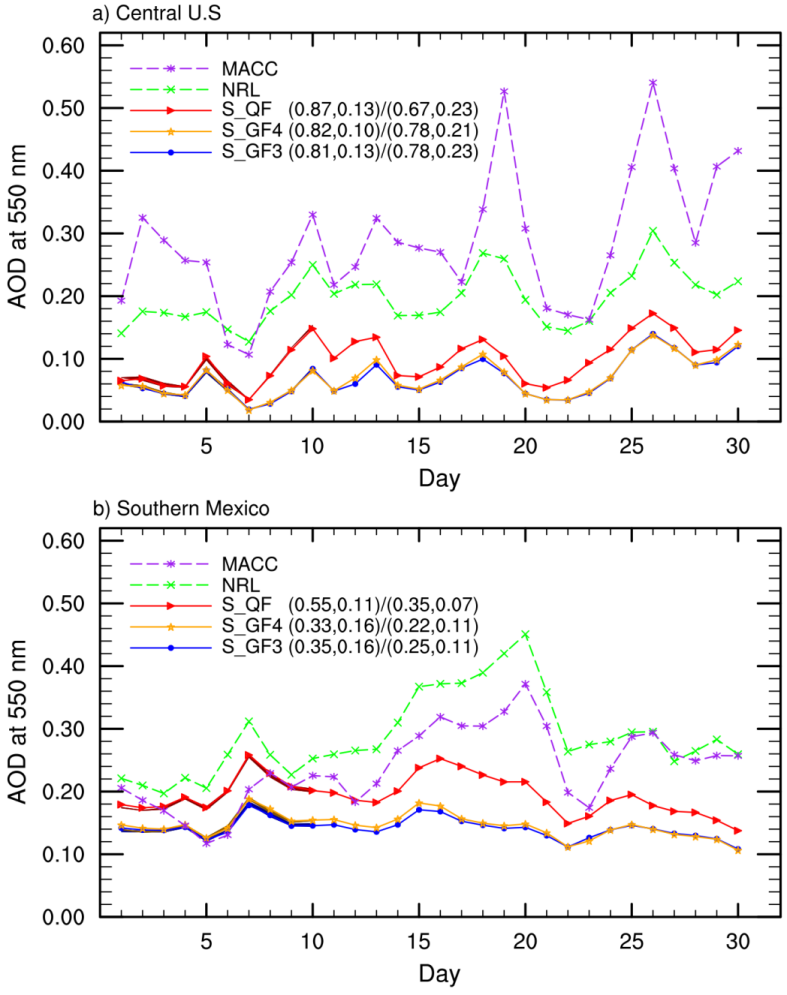

Figure 3. Time series of daily regional mean AOD in April, 2009 in simulations and reanalysis data. Numbers in parenthesis denote TCC and RMSE between each simulation in group A and reanalysis data (left: NRL; right: MACC). Individual lines indicate group A simulations. Shaded areas (very narrow) in slightly darker colors during April 1-10 illustrate maximum and minimum values of daily mean AOD among ensemble members in group B simulations.

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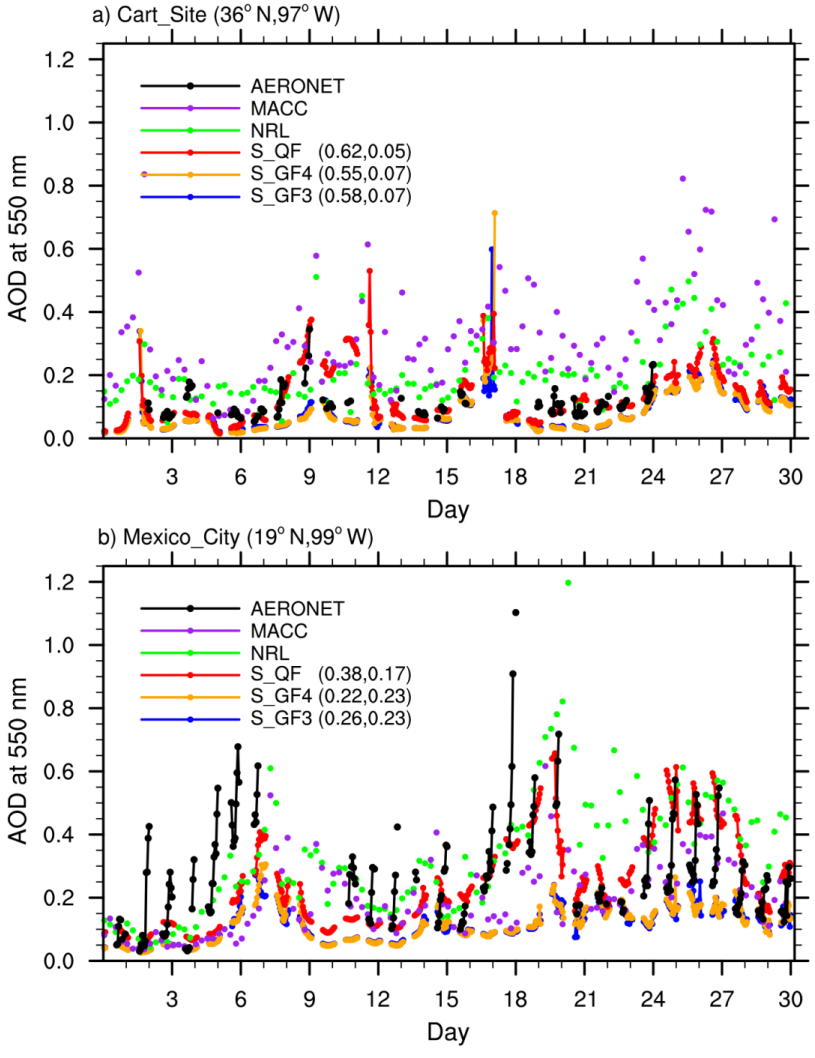

Figure 4. Time series of hourly regional mean AOD in April, 2009 from group A simulations, reanalysis data and AERONET retrievals at AERONET sites. Numbers in parenthesis denote TCC (left) and RMSE (right) between each simulation and AERONET AOD.

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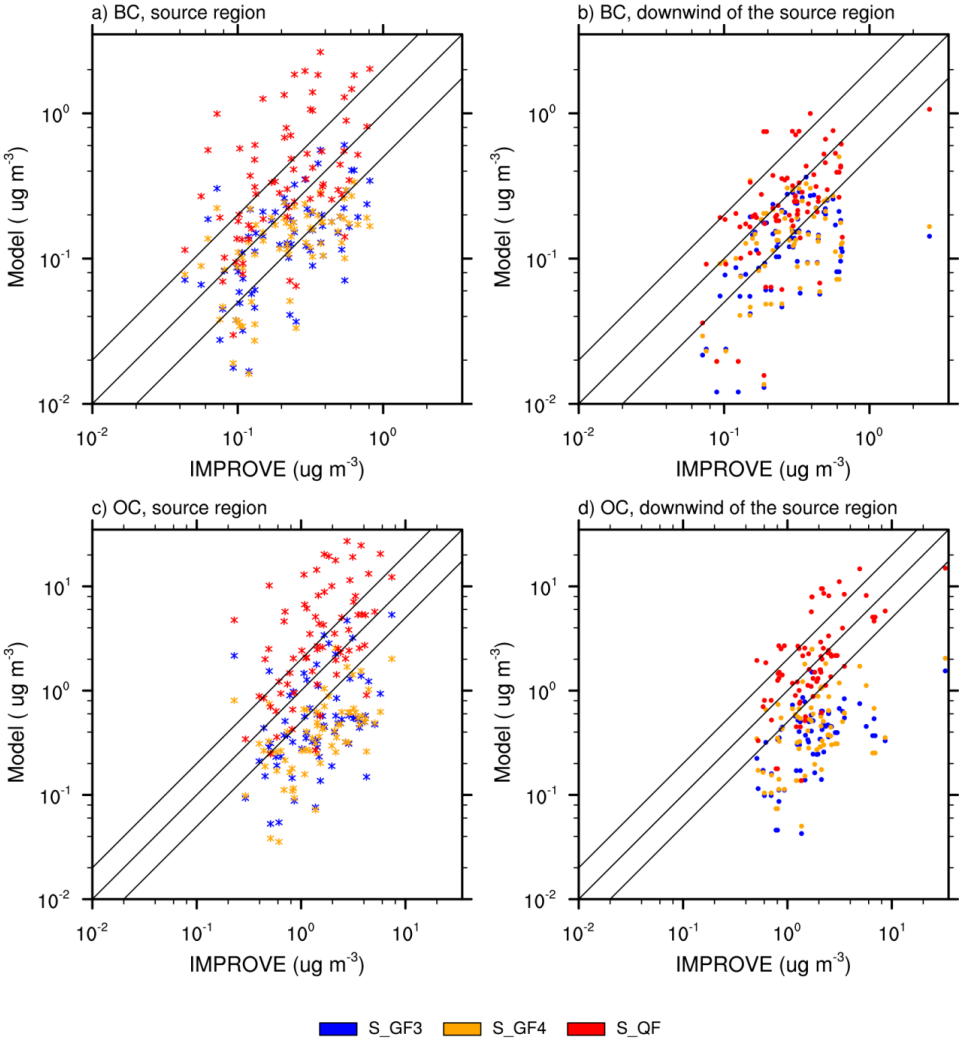

Figure 5. Evaluation of the simulated BC (up) and POM (bottom) concentrations in group A simulations against the IMPROVE data at sites near the source and downwind the source region. Locations of these sites are marked with the same symbol as in Fig. 2.

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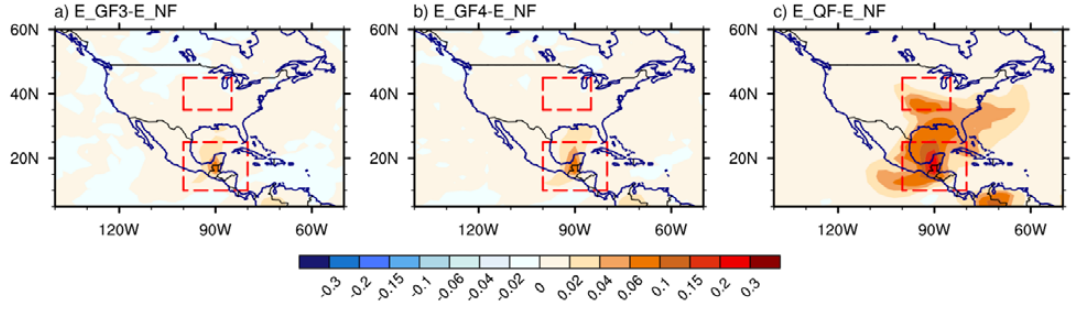

Figure 6. Spatial distributions of 10-day average (Apr. 1-10) ensemble mean AOD differences between simulations with (E_GF3, E_GF4, and E_QF) and without fire emission (E_NF).

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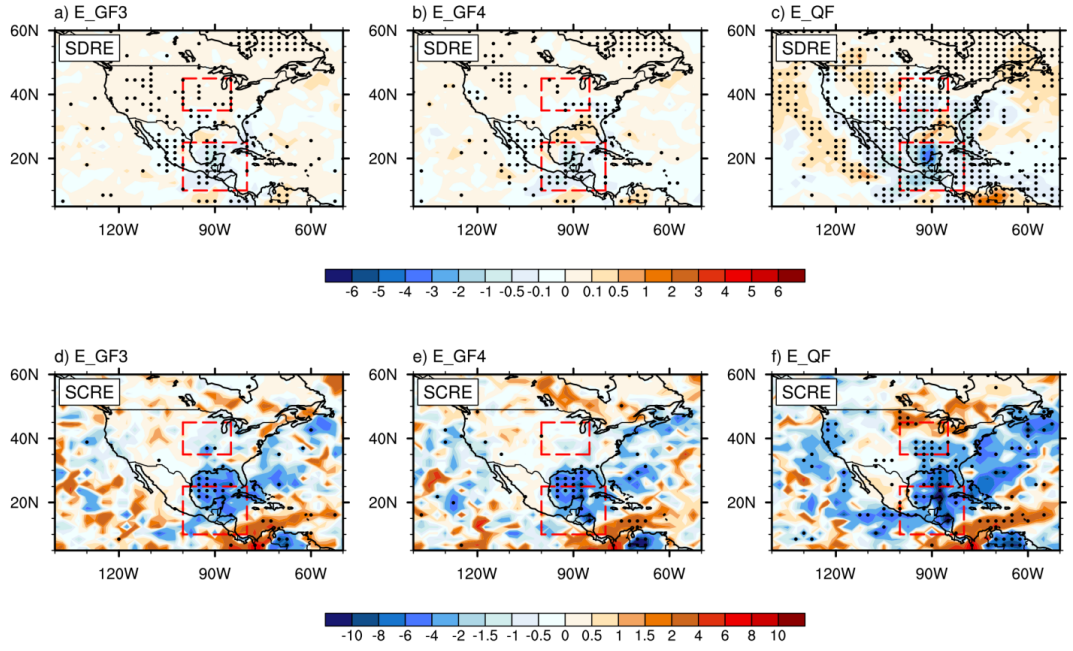

Figure 7. Spatial distributions of 10-day average (Apr. 1-10) ensemble mean fire aerosol shortwave direct radiative effect (SDRE) and shortwave cloud radiative effect (SCRE) (W m^{-2}) in group B simulations. Dots denote regions where SDRE is statistically significant at the 95% confidence level based on the KS test.

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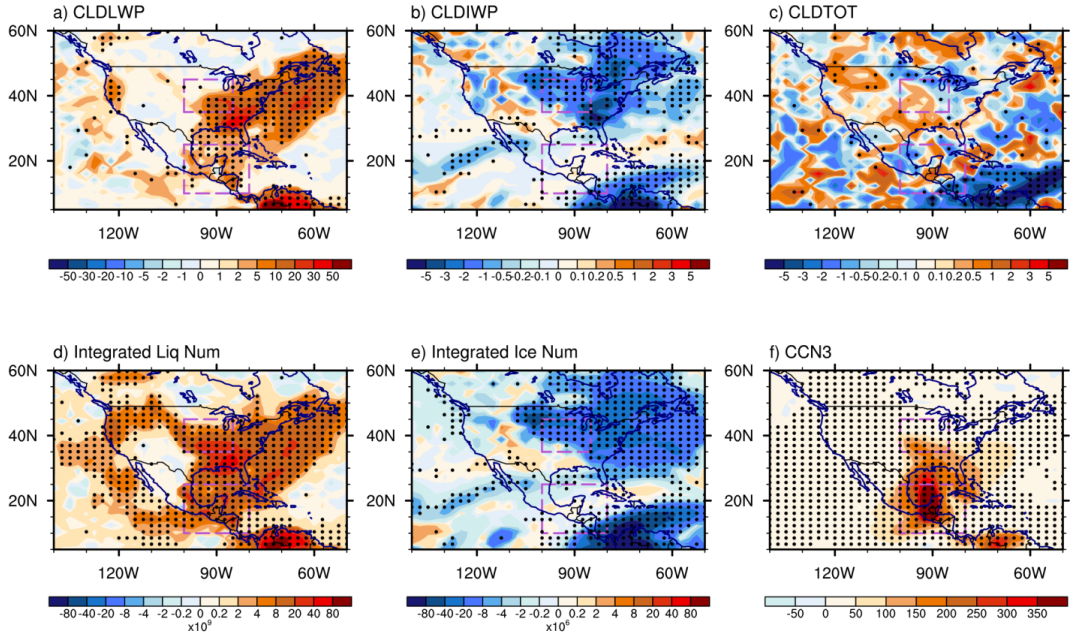

Figure 8. Difference of 10-day average (Apr.1-10) ensemble mean between simulations E_NF and E_QF: a) cloud liquid water path (g m^{-2}), b) cloud ice water path (g m^{-2}), c) total cloud fraction (%), d) column-integrated droplet number concentration (m^{-2}), e) column-integrated ice number concentration (m^{-2}), and f) cloud condensation nuclei at 0.1% supersaturation near 900 hPa. Dots denote regions where the difference is statistically significant at the 95% confidence level based on the KS test.

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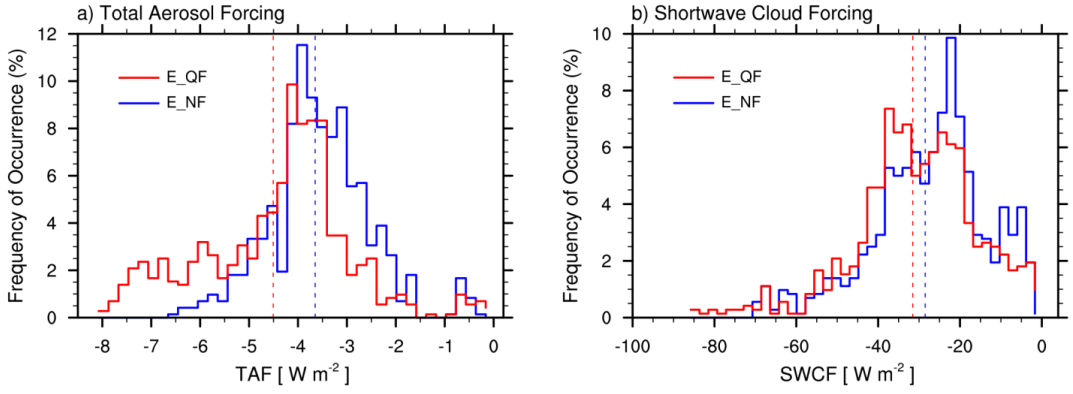

Figure 9. Probability distributions of 10-day average (Apr.1-10) a) total aerosol forcing and b) cloud forcing over Southern Mexico in simulations E_NF and E_QF sampled from grid values of ensemble members (72x10 for each case). Dashed lines indicate the mean of the distribution.

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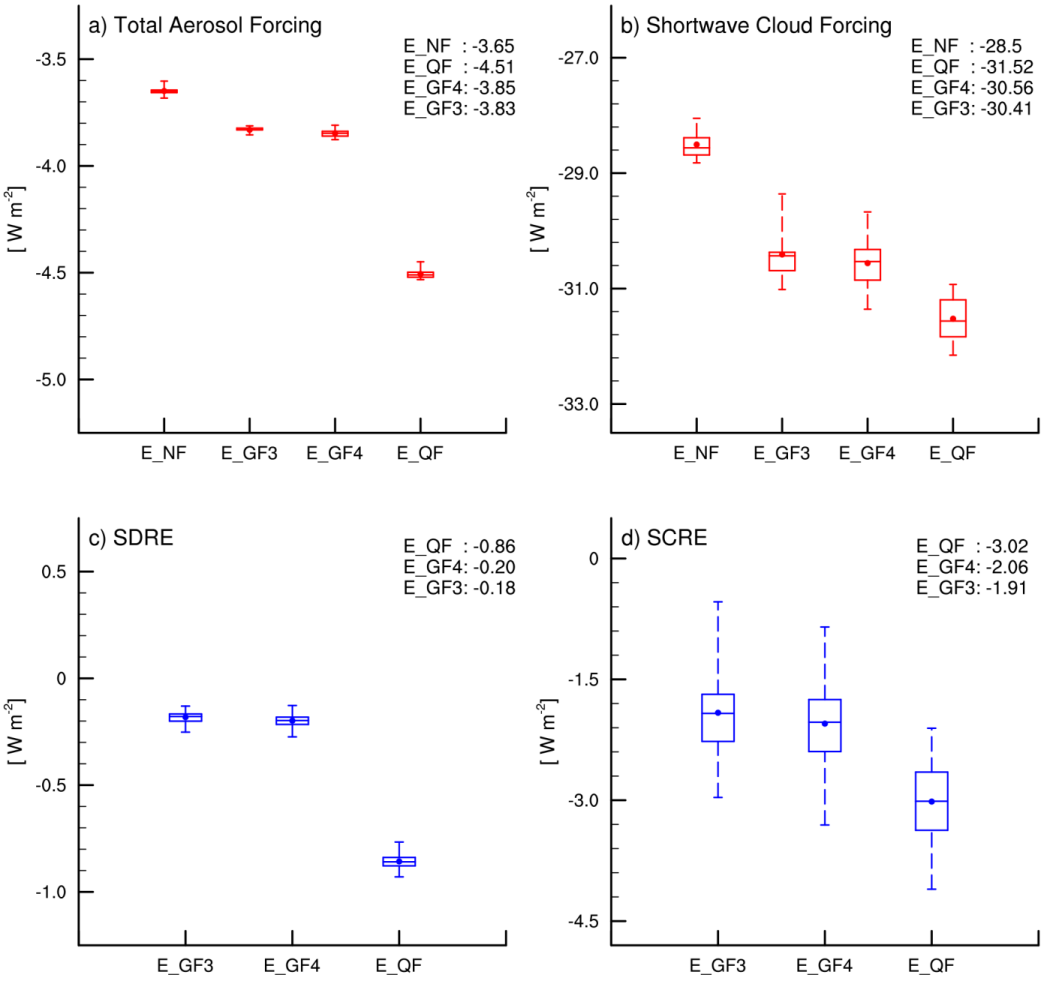

Figure 10. 10-day average (Apr. 1-10) regional mean a) total aerosol forcing, b) total shortwave cloud forcing and fire aerosol, c) SDRE, and d) SCRE in Southern Mexico in group B simulations. Box denotes the 25th and 75th percentiles. Bars outside the box indicate minimum and maximum. Bar within the box denotes the 50th percentile. Total aerosol and cloud forcing are sampled from different ensemble members (10 for each case). Fire aerosol SDRE and SCRF are sampled by calculating the difference between members in simulations E_QF (E_GF3/E_GF4) and E_NF (10x10 for each case).

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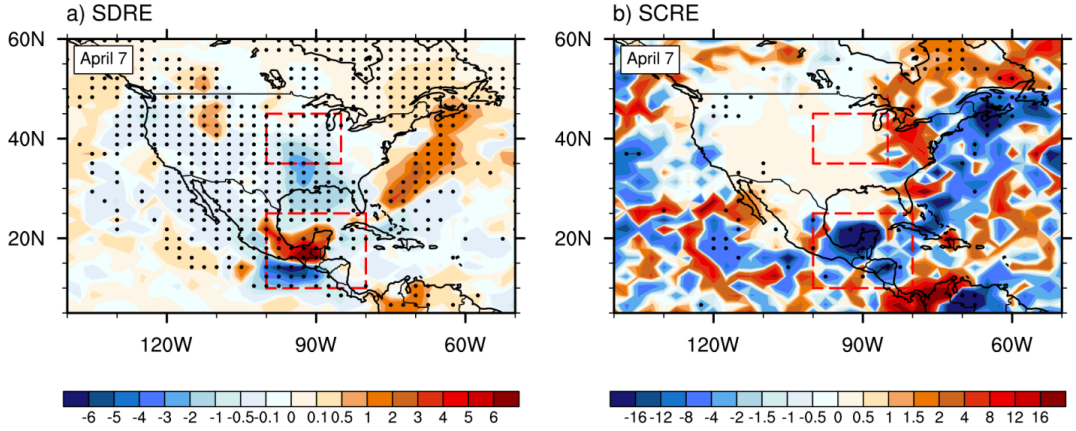

Figure 11. Spatial distributions of ensemble mean fire aerosol a) SDRE and b) SCRE ($W\ m^{-2}$) on April 7 in the E_QF simulation. Dots denote grids where fire aerosol effect is statistically significant at the 95% confidence level based on the KS test.

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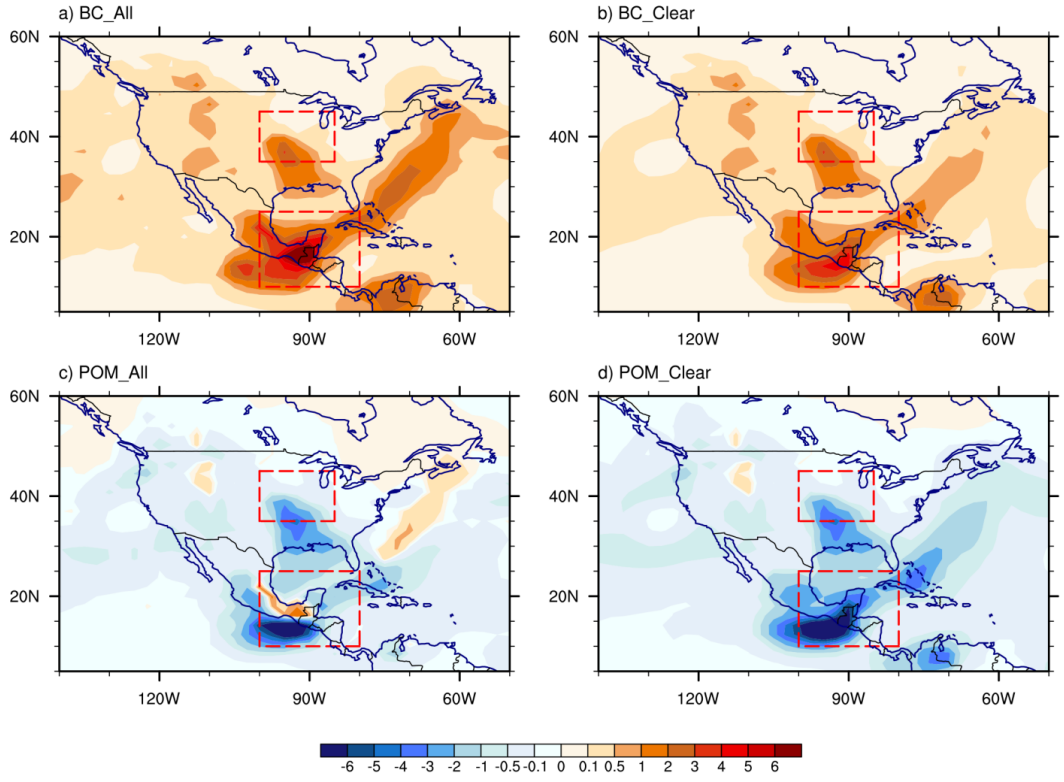

Figure 12. Spatial distributions of fire BC SDRE and fire POM SDRE (W m^{-2}) on all-sky and clear-sky conditions on April 7 in the E_QF simulation.

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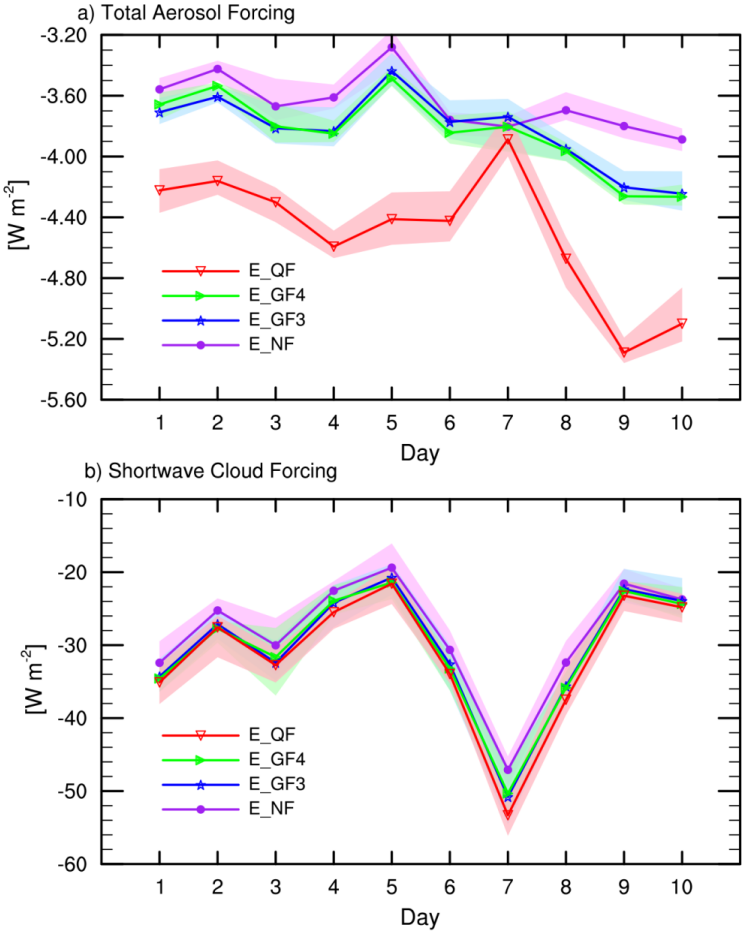

Figure 13. Time series of daily regional mean total a) aerosol forcing and b) cloud forcing in Southern Mexico during Apr.1-10, 2009 in group B simulations. Individual lines indicate ensemble mean values. Shaded areas illustrate the ensemble spread (from minimum to maximum).

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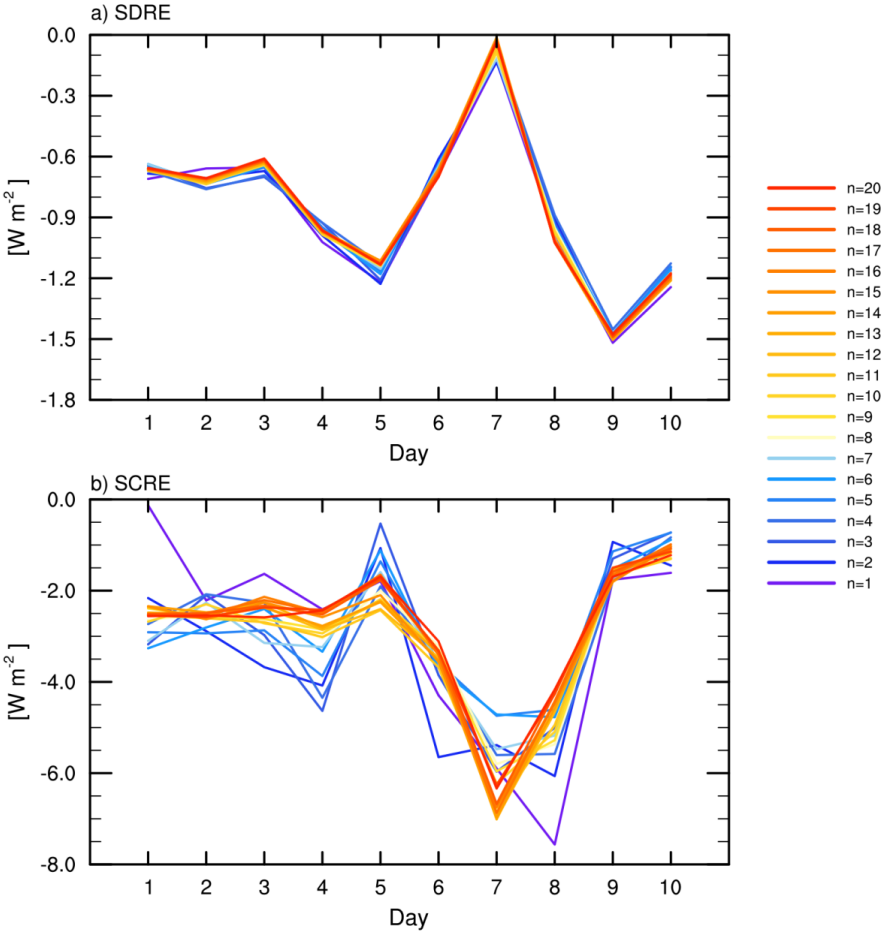

Figure 14. Time series of daily ensemble mean fire aerosol a) SDRE and b) SCRE averaged over Southern Mexico during Apr. 1-10, 2009 in QFED forced ensemble simulations with varying the total number of ensemble members (n=1-20).