



1 **Understanding meteorological influences on PM_{2.5} concentrations across China:**
2 **a temporal and spatial perspective**

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12 **Abstract**

13 With frequent haze events in China, growing research emphasis has been put on quantifying
14 meteorological influences on PM_{2.5} concentrations. However, these studies mainly focus on
15 isolated cities whilst meteorological influences on PM_{2.5} concentrations at the national scale
16 have yet been examined comprehensively. This research employs the CCM (Cross
17 Convergent Mapping) method to understand the causality influence of individual
18 meteorological factors on local PM_{2.5} concentrations in 189 monitoring cities across China.
19 Results indicate that meteorological influences on PM_{2.5} concentrations are of notable
20 seasonal and regional variations. Generally, the higher PM_{2.5} concentrations, the larger
21 influences meteorological factors exert on PM_{2.5} concentrations. The dominant
22 meteorological influence for PM_{2.5} concentrations varies across locations and demonstrates
23 regional similarities. For the most polluted winter, the dominant meteorological driver for
24 local PM_{2.5} concentrations is mainly the wind within the North China region whilst
25 precipitation is the dominant meteorological influence for most coastal regions. At the
26 national scale, temperature, humidity, wind and air pressure exert stronger influences on
27 PM_{2.5} concentrations than other meteorological factors. Due to notable temporal and spatial
28 differences in meteorological influences on local PM_{2.5} concentrations, this research suggests
29 pertinent environmental projects for air quality improvement should be designed accordingly
30 for specific regions.

31 **Keywords: PM_{2.5}; Meteorological factors; Causality analysis; CCM**

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32 Introduction

33 With rapid social and economic growth in China, both the government and residents are
34 placing more and more emphasis on the sustainability of the ambient environment.
35 Amongst these environmental elements, ambient air quality has become one of the most
36 concerned social and ecological issues. Recently, the frequency of haze events and the
37 number of cities influenced by haze have increased notably in China since 2013.
38 Statistical records from the national air quality publishing platform
39 (<http://113.108.142.147:20035/emcpublish/>) revealed that haze events occurred in 25
40 provinces and more than 100 middle-large cities whilst there were on average 30 days
41 with haze for each monitoring city in 2014.

42 Serious haze not only influences people's daily life, but also severely threatens the health
43 of residents that suffer from polluted air quality. Recent studies (Garrett and Casimiro,
44 2011; Guaita et al., 2011; Qiao et al., 2014; Pasca et al., 2014; Lanzinger et al., 2015; Li
45 et al., 2015) have proven that airborne pollutants, PM_{2.5} in particular, are closely related
46 to all-cause and specific-cause mortality. In consequence, scholars have been working
47 towards a better understanding of sources (Guo et al., 2012; Zhang et al., 2013; Gu et al.,
48 2014; Liu et al., 2014; Cao et al., 2014), characteristics (Wei et al., 2012; Zhang et al.,
49 2013; Hu et al., 2015; Zhang, F., 2015; Zhen et al., 2016; Zhang et al., 2016) and
50 seasonal variations (Cao et al., 2012; Shen et al., 2014; Yang and Christakos, 2015;
51 Wang et al., 2015; Chen et al., 2015; Chen, Y. et al. 2016; Chen, Z. et al., 2016) of PM_{2.5}
52 and other airborne pollutants. Meanwhile, large-scale research on the variation and
53 distribution of PM_{2.5} has been conducted using a variety of remote sensing sources and
54 spatial data analysis methods (Ma et al., 2014; Kong et al., 2016.)

55 One key issue for air quality research is to find the source and influencing factors for
56 airborne pollutants. Although quantitative contributions of different sources (e.g. coal
57 burning and automobile exhaust) to airborne pollutants remain controversy,
58 meteorological influences on airborne pollutants have been examined in depth by more
59 and more scholars. Recently, massive studies have been conducted to extract quantitative
60 correlations between meteorological factors and air pollutants. Blanchard et al. (2010)
61 indicated that ozone concentrations was linearly correlated with temperature and
62 humidity, and non-linearly correlated with other meteorological factors. Juneng et al.
63 (2011) suggested that such meteorological factors as temperature, humidity and wind



64 speed, dominated the fluctuation of PM_{10} over the Klang Valley during summer monsoon.
65 In Melbourne, Pearce et al. (2011) found that local temperature led to strongest
66 responses of different pollutants, whilst other meteorological factors (e.g. winds, water
67 vapor pressure, radiation, precipitation) affected one or more specific pollutants. Galindo
68 et al. (2011) revealed that fractions of three different sizes were negatively correlated
69 with wind speed in winter, whilst coarse fractions were strongly correlated with
70 temperature and solar radiation. El-Metwally and Alfaro (2013) suggested that the wind
71 speed not only influenced the dilution of airborne pollutants, but also affected the
72 composition of airborne pollutants. For a Western Indian location, Udaipur, Yadav et al.
73 (2014) proved that precipitation exerted a stronger influence on PM_{10} than on $PM_{2.5}$.
74 High temperature diluted the emission of surface pollutants whilst strong winds
75 diminished the trend of air pollution in May. Grundstrom et al. (2015) suggested that low
76 wind speeds and positive vertical temperature gradients were favorable meteorological
77 conditions for elevated NO_x and particle number concentrations (PNC). Zhang et al.
78 (2015b) quantified the correlations between meteorological factors and main airborne
79 pollutants in three megacities, Beijing, Shanghai and Guangzhou, and pointed out that
80 the influences of meteorological factors on the formation and concentrations of airborne
81 pollutant varied significantly across seasons and geographical locations. Chen, Z. et al.
82 (2017) quantified the meteorological influences on local $PM_{2.5}$ concentrations in the
83 Beijing-Tianjin-Hebei region and revealed that wind, humidity and radiation were major
84 meteorological factors that significantly influenced local $PM_{2.5}$ concentrations in winter.

85 Although correlations between airborne pollutants and meteorological factors have been
86 massively studied, analyzing the sensitivity of airborne pollutants to individual
87 meteorological parameters remains challenging (Pearce et al., 2011). This is because
88 different meteorological factors are inherently interacting and can thus influence airborne
89 pollutants through direct and indirect mechanisms. Due to the diversity of meteorological
90 factors and complicated interactions between them, Pearce et al (2011) suggested that
91 multiple models and methods should be comprehensively employed to quantify the
92 influence of meteorological factors on local airborne pollutants. Our previous research
93 (Chen, Z., 2017) proved that the CCM (Cross Convergent Mapping) method performed
94 better in quantifying the causality influence of individual meteorological factors on
95 $PM_{2.5}$ concentrations than traditional correlation analysis through comprehensive



96 comparison. However, this study mainly focused on the meteorological influences on
97 $PM_{2.5}$ concentrations in a specific region. As pointed out by some scholars, interactions
98 between meteorological factors and airborne pollutants are of great variations for
99 different regions, yet most relevant studies have been conducted at the local or regional
100 scale. China is a large country, including many regions with completely different air
101 pollution levels, geographical conditions and meteorological types. To better understand
102 the variations of meteorological influences on $PM_{2.5}$ concentrations, a comparative study
103 at the national scale is required.

104 In accordance with these challenges, this research aims to quantify and compare
105 influences of individual meteorological factors on $PM_{2.5}$ concentrations in different cities
106 across China. Based on the causality analysis, dominant meteorological factors for $PM_{2.5}$
107 concentrations can be extracted for each city and spatio-temporal patterns of
108 meteorological influences on $PM_{2.5}$ concentrations across China can be revealed. In
109 addition to its theoretical significance, this research may provide useful reference for
110 evaluating pertinent environmental projects and enhancing air quality through
111 meteorological measures.

112 **2 Materials**

113 **2.1 Data sources**

114 **2.1.1 $PM_{2.5}$ data**

115 $PM_{2.5}$ data are acquired from the website PM25.in. This website collects official data of
116 $PM_{2.5}$ concentrations provided by China National Environmental Monitoring Center
117 (CNEMC) and publishes hourly air quality information for all monitoring cities. Before
118 Jan 1st, 2015, PM25.in publishes data of 190 monitoring cities. Since Jan 1st, 2015, the
119 number of monitoring cities has increased to 367. By calling specific API provided by
120 PM25.in, we collect hourly $PM_{2.5}$ data for target cities. The daily $PM_{2.5}$ concentrations
121 for each city is calculated using the averaged value of hourly $PM_{2.5}$ concentrations
122 measured at all available local observation stations. For a consecutive division of
123 different seasons, $PM_{2.5}$ data from March 1st, 2014 to February 28th, 2015 were employed
124 for following analysis.



125 2.1.2 Meteorological data

126 The meteorological data for these monitoring cities are obtained from the “China
127 Meteorological Data Sharing Service System”, part of National Science and Technology
128 Infrastructure. The meteorological data are collected through thousands of observation
129 stations across China. Previous studies (Zhang et al., 2015b; Pearce et al., 2011; Yadav
130 et al., 2014) proved that such meteorological factors as relative humidity, temperature,
131 wind speed, wind direction, solar radiation, evaporation, precipitation, and air pressure
132 may be related to PM_{2.5} concentrations. Therefore, to comprehensively understand
133 meteorological driving forces for PM_{2.5} concentrations in China, all these potential
134 meteorological factors were selected as candidate factors. To better quantify the role of
135 these meteorological factors in affecting local PM_{2.5} concentrations, these factors are
136 further categorized into some sub-factors: *evaporation* (small evaporation and large
137 evaporation, short for smallEVP and largeEVP), *temperature* (max temperature for the
138 day, mean temperature for the day, min temperature for the day, largest temperature
139 difference for the day, short for maxTEM, meanTEM, minTEM and difTEM),
140 *precipitation* (total precipitation from 8am-20pm, total precipitation from 20pm-8am and
141 total precipitation for the day, short for PRE8-20, PRE20-8 and totalPRE), *air pressure*
142 (daily max pressure, mean pressure and min pressure, short for maxPRS, meanPRS and
143 minPRS), *humidity* (daily mean and min relative humidity, short for meanRHU and
144 minRHU), *radiation* (sunshine duration for the day, short for SSD), *wind speed* (mean
145 wind speed, max wind speed, extreme wind speed, short for meanWIN, maxWIN and
146 extWIN), *wind direction* (max wind direction for the day, short for dir_maxWin). As
147 there are one or more observation stations for each city, the daily value for each
148 meteorological factor for each city was calculated using the mean value of all available
149 observation stations within the target city.

150 2.2 Study sites

151 For a comprehensive understanding of meteorological influences on local PM_{2.5}
152 concentrations across China, all monitoring cities (except for Liaocheng, where
153 continuous valid meteorological data were not available) during the study period were
154 selected for this research. The 189 cities included most major cities (Beijing, Shanghai,
155 Guangzhou, etc.) in China. For regions (e.g. Beijing-Tianjin-Hebei region) with heavy



156 air pollution, the density of monitored cities was much higher than the density in regions
157 with good air quality.

158 **3 Methods**

159 Due to complicated interactions in the atmospheric environment, it is highly difficult to
160 quantify the causality influence of individual meteorological factors on PM_{2.5}
161 concentrations through correlation analysis. Instead, a robust causality analysis method is
162 required.

163 To extract the coupling between individual variables in complex systems, Sugihara et al.
164 (2012) proposed a convergent cross mapping (CCM) method. Different from Granger
165 causality (GC) analysis (Granger, 1980), the CCM method is sensitive to weak to
166 moderate coupling in ecological time series. By analyzing the temporal variations of two
167 time-series variables, their bi-directional coupling can be featured with a convergent map.
168 If the causality influence of one variable on the other variable is presented as a
169 convergent curve with increasing time series length, then the causality is detected; If the
170 curve demonstrates no convergent trend, then no causality influence exists. The
171 predictive skill (defined as ρ value), which ranges from 0 to 1, suggests the
172 quantitative causality influence of one variable on the other.

173 The principle of The CCM algorithms is briefly explained as follows (Luo et al. 2014).
174 Two time series $\{X\} = [X(1), \dots, X(L)]$ and $\{Y\} = [Y(1), \dots, Y(L)]$ are defined as the
175 temporal variations of two time-series variables X and Y. For $r = S$ to L ($S < L$), two
176 partial time series $[X(1), \dots, X(LP)]$ and $[Y(1), \dots, Y(LP)]$ are extracted. Following this,
177 the shadow manifold MX is generated from $\{X\}$, which is a set of lagged-coordinate
178 vectors $x(t) = \langle X(t), X(t-\tau), \dots, X(t-(E-1)\tau) \rangle$ for $t = 1+(E-1)$ to $t = r$. To generate a
179 cross-mapped estimate of $Y(t)$ ($\hat{Y}(t)|MX$), the contemporaneous lagged-coordinate
180 vector on MX, $x(t)$ is located, and then its $E+1$ nearest neighbors are extracted, where
181 $E+1$ is the minimum number of points required for a bounding simplex in an
182 E -dimensional space (Sugihara and May, 1990). Next, the time index of the $E+1$ nearest
183 neighbors of $x(t)$ is denoted as t_1, \dots, t_{E+1} . These time index are used to identify neighbor
184 points in Y and then estimate $Y(t)$ according to a locally weighted mean of $E+1$ $Y(t_i)$
185 values (Equation 1).



$$\hat{Y}(t)|M_X = \sum_{i=1}^{E+1} w_i Y(t_i) \quad (\text{E1})$$

186
187 Where w_i is a weight calculated according to the distance between $X(t)$ and its i^{th} nearest
188 neighbor on M_X . $Y(t_i)$ are contemporaneous values of Y . The weight w_i is determined according
189 to Equation 2.

$$w_i = u_i / \sum_{j=1}^{E+1} u_j \quad (\text{E2})$$

190
191 Where $u_i = e^{-d[x(t), x(t_i)]/d[x(t), x(t_i)]}$ whilst $d[x(t), x(t_i)]$ represents the Euclidean distance between
192 two vectors.

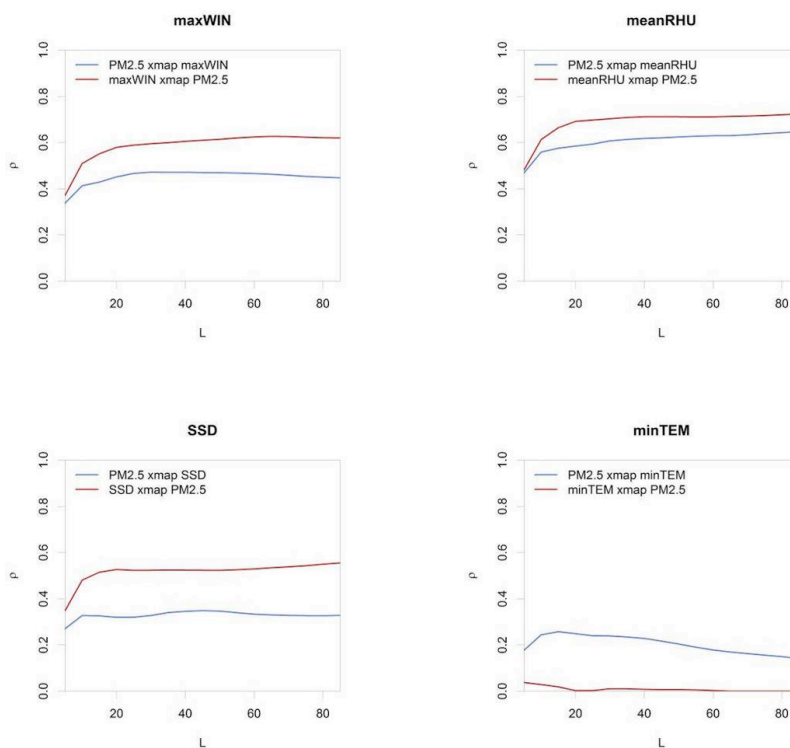
193 In our previous research, interactions between the air quality in neighboring cities (Chen,
194 Z. et al., 2016), and bidirectional coupling between individual meteorological factors and
195 $\text{PM}_{2.5}$ concentrations (Chen, Z. et al., 2017) were quantified effectively using the CCM
196 method. By comparing the performance of correlation analysis and CCM method, Chen,
197 Z et al. (2017) proved that the CCM method not only detected mirage correlations, but
198 also extracted weak coupling, which may not be detected by correlation analysis.
199 Additionally, Chen, Z et al. (2017) indicated that the ρ value was a more reliable
200 indicator of quantitative meteorological influences on $\text{PM}_{2.5}$ concentrations than the
201 correlation coefficient. In this case, the CCM method is an appropriate tool for
202 quantifying bidirectional interactions between $\text{PM}_{2.5}$ concentrations and individual
203 meteorological factors in complicated atmospheric environment.

204 **4 Results**

205 Seasonal variations of $\text{PM}_{2.5}$ concentrations have been proved by a large body of studies
206 (Cao et al., 2012; Shen et al., 2014; Yang and Christakos, 2015; Wang et al., 2015; Chen
207 et al., 2015; Chen, Y. et al. 2016; Chen, Z. et al., 2016). Hence, the research period was
208 divided into four seasons. According to traditional season division for China, spring was
209 set as the period between March 1st, 2014 and May 31st, 2014; summer was set as the
210 period between June 1st, 2014 and August 31st, 2014; autumn was set as the period
211 between September 1st, 2014 and November 30th, 2014; and winter was set as the period
212 between December 1st, 2014 and February 28th, 2015. For each city, the bidirectional
213 coupling between individual meteorological factors and $\text{PM}_{2.5}$ concentrations in different
214 seasons was analyzed respectively using the CCM method. The CCM method is highly
215 automatic and only few parameters need to be set for running this algorithm: E (number



216 of dimensions for the attractor reconstruction), τ (time lag) and b (number of nearest
217 neighbors to use for prediction). The value of E can be 2 or 3. A larger value of E
218 produces more accurate convergent maps. The variable b is decided by E ($b = E + 1$). A
219 small value of τ leads to a fine-resolution convergent map, yet requires much more
220 processing time. In this research, to acquire optimal presentation effects of convergent
221 cross maps, the value of τ was set as 2 days and the value of E was set 3. For each
222 meteorological factor, its causality coupling with $PM_{2.5}$ concentrations can be
223 represented using a convergent map. Since it is not feasible to present all these
224 convergent maps here, we simply display some exemplary maps to demonstrate how
225 CCM works (Fig 1).



226 **Fig 1. Illustrative CCM results to demonstrate the bidirectional coupling between**
227 **meteorological factors and $PM_{2.5}$ concentrations in Beijing in winter**
228 ρ : predictive skills. L : the length of time series. A xmap B stands for convergent cross mapping B
229 from A, in other words, the causality influence of variable B on A. For instance, $PM_{2.5}$ xmap
230 meanRHU stands for the causality influence of meanRHU on $PM_{2.5}$ concentrations. meanRHU xmap



231 **PM_{2.5} stands for the feedback effect of PM_{2.5} on meanRHU concentrations. ρ indicates the**
232 **predictive skills of using meanRHU to retrieve PM_{2.5} concentrations.**

233 According to Fig 1, one can see that the quantitative influence of individual
234 meteorological factors on PM_{2.5} was well extracted using the CCM method whilst the
235 feedback effect of PM_{2.5} on specific meteorological factors was revealed as well. For
236 Beijing, meanRHU and maxWIN exerted a strong influence on local PM_{2.5}
237 concentrations in Winter ($\rho > 0.4$) whilst SSD and minTEM also had a weaker
238 influence on local PM_{2.5} concentrations. (ρ close to 0.2). On the other hand, serious
239 haze weather (high PM_{2.5} concentrations) had an even stronger feedback influence on
240 meanRHU, maxWIN and SSD (ρ close to 0.6) whilst PM_{2.5} had little influence on
241 minTEM (ρ close to 0). The bidirectional coupling between PM_{2.5} concentrations and
242 individual meteorological factors provides useful reference for a better understanding of
243 the form and development of serious haze events. For Beijing, low wind speed (high
244 humidity and SSD²) in winter results in high PM_{2.5} concentrations, which in turn causes
245 lower wind speed (higher humidity and lower SSD). In consequence, PM_{2.5}
246 concentrations is increased further by the changing wind (humidity and SSD) situation.
247 This mechanism causes a quickly rising PM_{2.5} concentrations, which brings the
248 atmospheric environment to a comparatively stable status. In this case, the haze is
249 unlikely to disperse and persistent haze weather usually lasts for a long period in this
250 region. By analogy, bidirectional interactions between PM_{2.5} concentrations and other
251 meteorological factors can as well be quantified using the CCM method. Since the main
252 aim of this research is to understand the influence of individual meteorological factors on
253 PM_{2.5} concentrations across China, the feedback effect of PM_{2.5} concentrations on
254 specific meteorological factors is not explained in details herein.

255 The ρ value is a direct indicator of quantitative causality influences. For this research,
256 the maximum ρ value of all sub-factors in the same category was used as the causality
257 influence of this specific meteorological factor on PM_{2.5} concentrations. E.g. for a
258 specific city, the maximum ρ value of maxTEM, meanTEM, minTEM and difTEM is
259 used as the influence of temperature on local PM_{2.5} concentrations. Generally, it is
260 difficult to properly demonstrate the influence of eight meteorological factors on PM_{2.5}
261 concentrations for all 189 cities on a comprehensive map. Therefore, two cartography

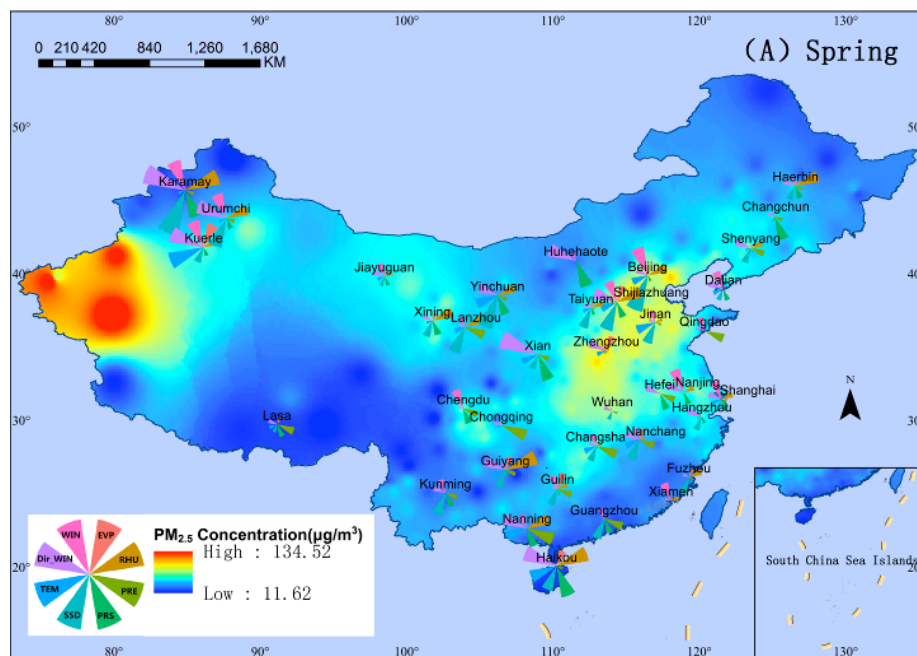
² The interaction between some individual meteorological factors (e.g. SSD) and PM_{2.5} concentrations may be difficult to understand, and a brief explanation is given in the discussion part.

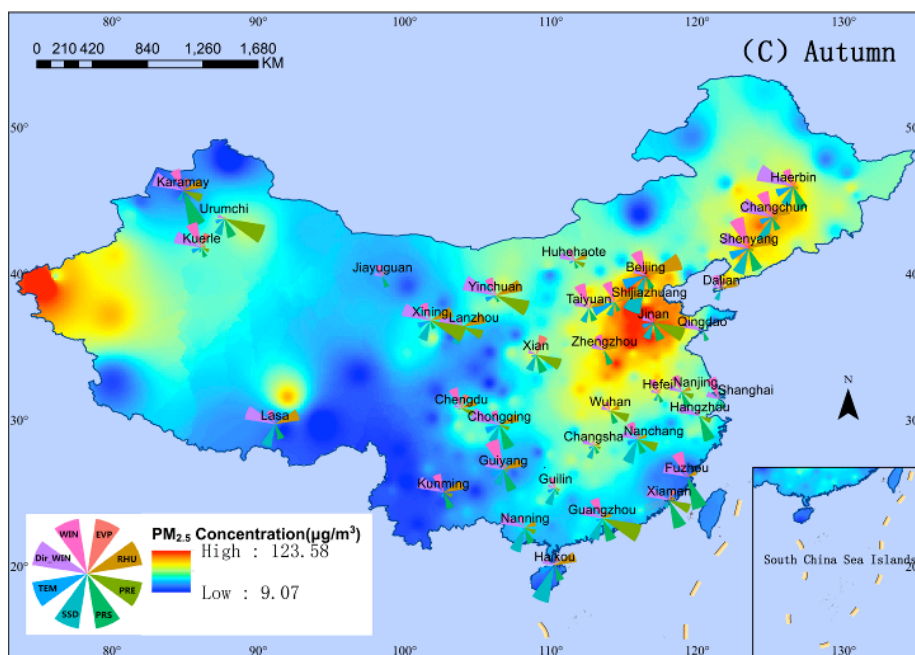
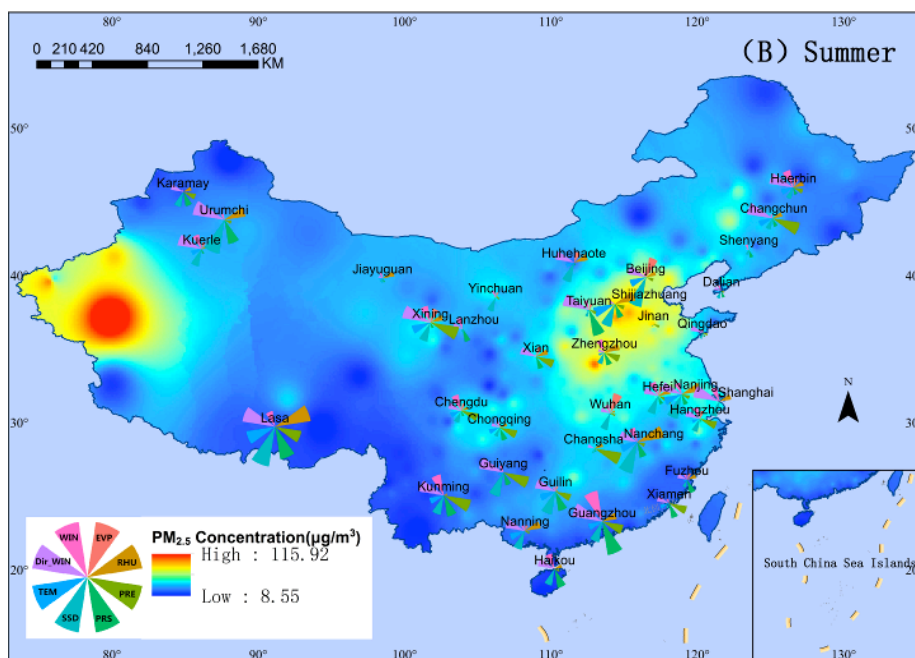


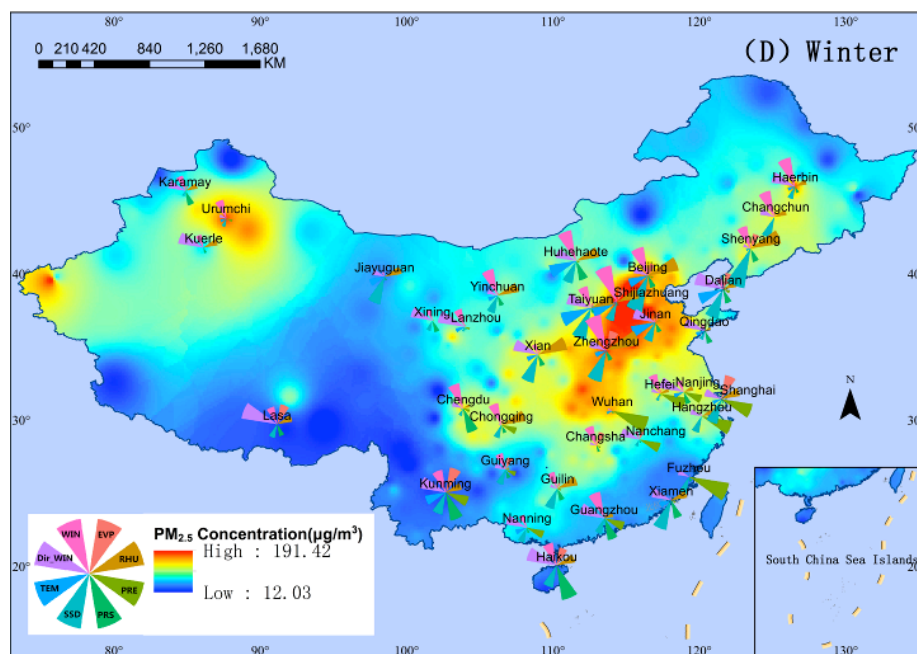
262 strategies were employed to explain the meteorological influences on $PM_{2.5}$
263 concentrations across China.

264 4.1 Comprehensive meteorological influences on $PM_{2.5}$ concentrations in some 265 regional representative cities

266 When the ρ value for each meteorological factor was calculated, a wind rose, which
267 presents the quantitative influence of all individual meteorological factors on $PM_{2.5}$
268 concentrations, can be produced for each city. It is not feasible to present all 189 wind
269 roses simultaneously, due to severe overlapping effects. Thus, considering the
270 social-ecological factors, 37 regional representative cities (including all 31 provincial
271 capital cities in mainland China) were selected to produce a wind rose map of
272 meteorological influences on $PM_{2.5}$ concentrations across China (Fig 2).







273 **Fig 2. Wind rose map of influences of eight individual meteorological factors on PM_{2.5}**
274 **concentrations across China (37 representative cities)**

275

276 According to Fig 2, some spatial and temporal patterns of meteorological influences on
277 PM_{2.5} concentrations at the national scale can be found as follows:

278 a. Like seasonal variations of PM_{2.5} concentrations, the influences of individual
279 meteorological factors on local PM_{2.5} concentrations vary significantly. For a specific
280 city, the dominant meteorological driver for PM_{2.5} concentrations in one season may
281 become insignificant in another season. E.g. in winter, one major meteorological
282 influencing factor for Beijing is *wind*, which exerts little influence on PM_{2.5}
283 concentrations in summer. Furthermore, it is noted that seasonal variations of
284 meteorological influences on PM_{2.5} concentrations apply to all these representative cities,
285 as the shape and size of wind rose for each city change significantly across different
286 seasons.

287 b. In spite of notable differences in the shape and size of wind roses, meteorological
288 influences on PM_{2.5} concentrations cities are of some regional patterns, subject to local
289 PM_{2.5} concentrations. For instance, PM_{2.5} concentrations in cities within the



290 Beijing-Tianjin-Hebei region (or North China region) is influenced by similar dominant
291 meteorological factors, especially in winter, when $PM_{2.5}$ concentrations in these cities
292 was high. By analogy, meteorological influences on $PM_{2.5}$ concentrations in the Kuerle
293 and Karamay (within Xinjiang province) are similar. However, meteorological
294 influences on $PM_{2.5}$ concentrations in their neighboring city, Urumchi, are quite different.
295 This may attribute to the fact that $PM_{2.5}$ concentrations in Urumchi is much higher than
296 that in Kuerle and Karamay. As we can see, meteorological influences on $PM_{2.5}$
297 concentrations in China are mainly controlled by both geographical conditions (e.g.
298 terrain and landscape patterns) and local $PM_{2.5}$ concentrations per se.

299 c. Except for some specific cities (e.g. Lasa), the higher local $PM_{2.5}$ concentrations, the
300 larger influence meteorological factors exerts on $PM_{2.5}$ concentrations. $PM_{2.5}$
301 concentrations is usually the highest in winter, causing serious smog events across China,
302 the North China region in particular, whilst $PM_{2.5}$ concentrations in spring and summer is
303 comparatively low. Accordingly, there are more influencing meteorological factors on
304 $PM_{2.5}$ concentrations for most cities and the ρ value of these meteorological factors is
305 notably larger in winter. As explained above, bidirectional interactions between
306 meteorological factors and $PM_{2.5}$ concentrations may lead to complicated mechanisms
307 that further enhance local $PM_{2.5}$ concentrations significantly. Therefore, strong
308 meteorological influences on $PM_{2.5}$ concentrations in winter are a major cause for the
309 form and persistence of haze events within the North China region, which experiences
310 the most frequent and severe air pollution in China.

311 Although some general patterns of meteorological influences on $PM_{2.5}$ concentrations
312 across China may be concluded according to Fig 2, spatial and temporal variations of
313 meteorological influences on $PM_{2.5}$ concentrations should be further examined in depth
314 based on the statistics of all 189 monitoring cities. Hence, we employed another strategy
315 to demonstrate spatial and temporal variations of meteorological influences on local
316 $PM_{2.5}$ concentrations across China.

317 **4.2 Spatial and temporal variations of the dominant meteorological influence on** 318 **local $PM_{2.5}$ concentrations across China**

319 Through statistical analysis, we selected the factor with the largest ρ value as the
320 dominant meteorological factor for local $PM_{2.5}$ concentrations. The spatial and temporal

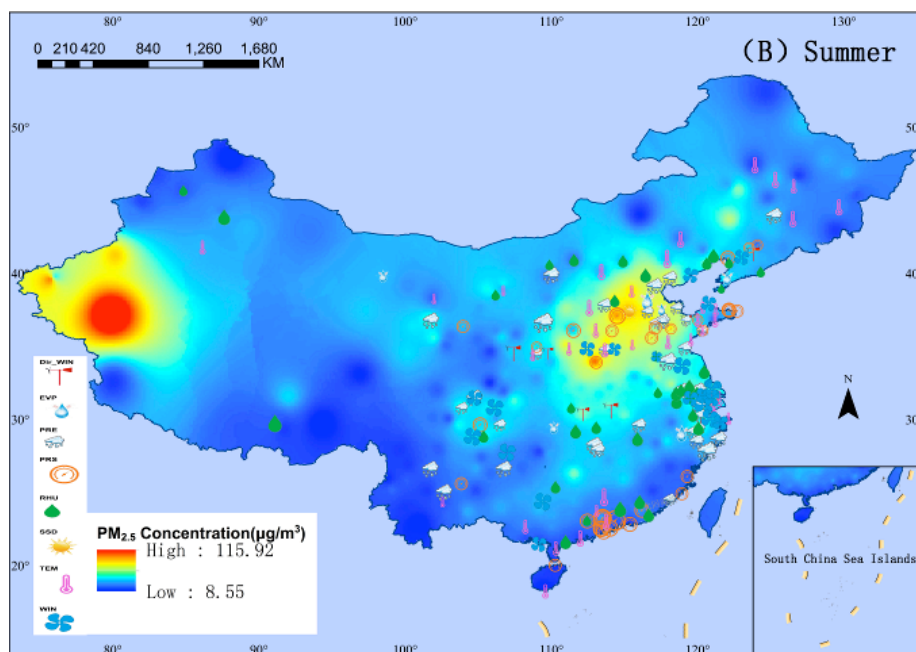
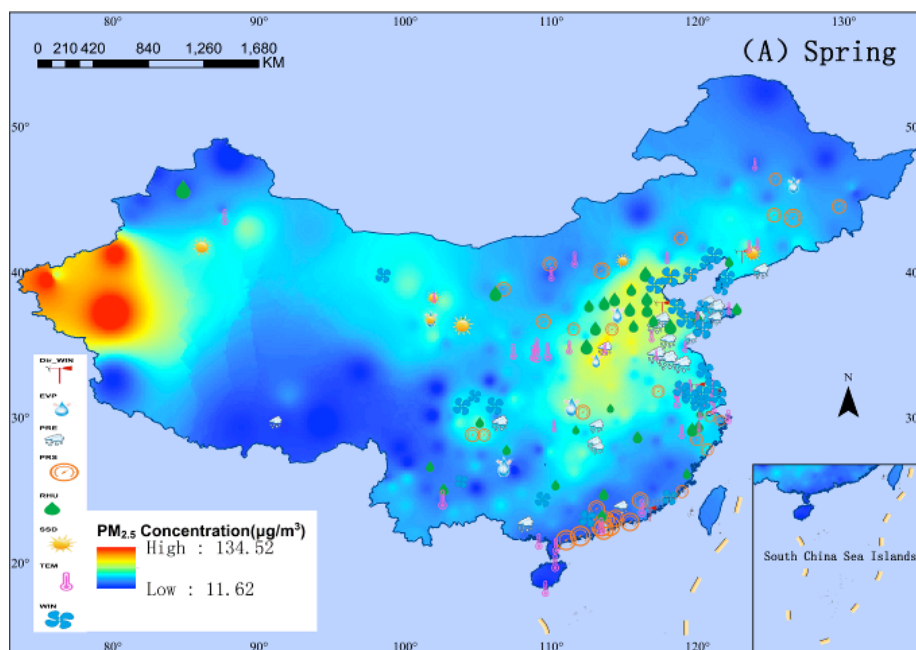


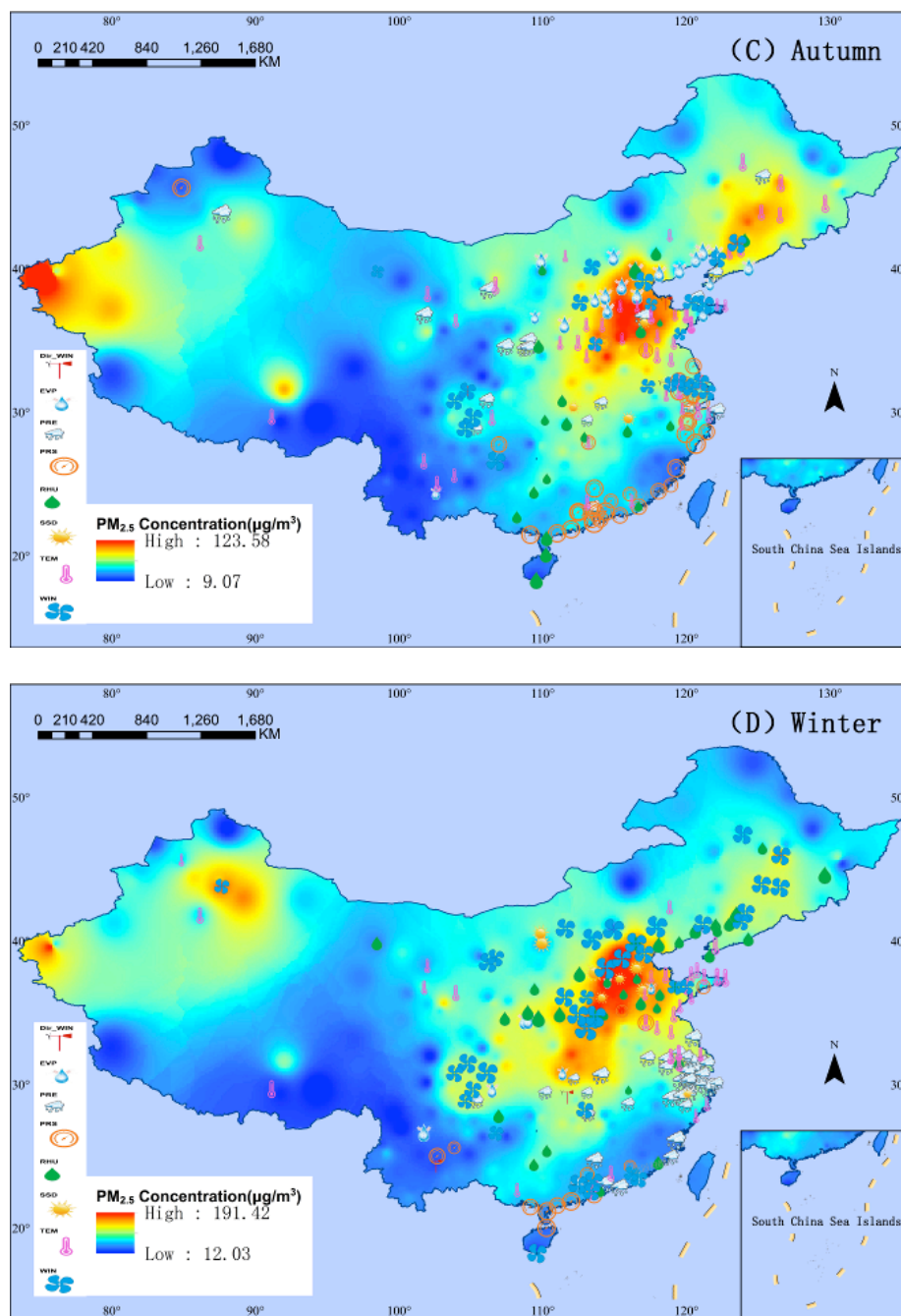
321 variations of the dominant meteorological influence on local PM_{2.5} concentrations across
322 China are demonstrated as Fig 3. According to Fig 3, some spatio-temporal
323 characteristics of meteorological influences on PM_{2.5} concentrations can be further
324 concluded:

325 a. The dominant meteorological factor for PM_{2.5} concentrations is closely related to
326 geographical conditions. For instance, the factor of *precipitation* may exert a key
327 influence on local PM_{2.5} concentrations in some coastal cities and cities within the
328 Yangtze River basin whilst this meteorological factor exerts limited influence on PM_{2.5}
329 concentrations within some inland regions (e.g. the Beijing-Tianjin-Hebei region).

330 b. Some meteorological factors (e.g. *temperature*, *wind* and *humidity*) can be the
331 dominant factor for cities within different regions whilst some (e.g. *evaporation* and *SSD*)
332 are mainly the dominant meteorological factor for PM_{2.5} concentrations in cities within
333 some specific regions. In other words, some factors can be regarded as regional and
334 national meteorological factors for PM_{2.5} concentrations, yet some meteorological factors
335 are context-related influencing factors for local PM_{2.5} concentrations.

336 c. Similar to patterns revealed in Fig 2, the ρ value for the dominant meteorological
337 factors is the largest in winter than that in summer. Furthermore, it is noted that the
338 dominant meteorological factors demonstrates more regional similarity when PM_{2.5}
339 concentrations is high. For instance, the dominant meteorological factors for PM_{2.5}
340 concentrations in the heavily polluted North China region are more concentrated and
341 homogeneously distributed in winter (mainly the *wind* and *humidity* factor) whilst a
342 diversity of dominant meteorological factors (includes *wind*, *temperature*, *wind direction*
343 and *air pressure*) for PM_{2.5} concentrations is irregularly distributed within this region in
344 summer. Based on this pattern, when a regional haze event occurs in winter, the regional
345 air quality is more likely to be simultaneously improved by the same meteorological
346 factor. This is consistent with the common scene in winter that regional haze events in
347 the Beijing-Tianjin-Hebei region can be considerably mitigated by strong winds. On the
348 other hand, regional air pollution in summer can hardly be solved simultaneously
349 through one specific meteorological factor.





350 **Fig 3. The dominant meteorological factor for local PM_{2.5} concentrations in 189**
351 **monitoring cities across China**
352 **The size of symbols indicates the ρ value of the meteorological factor on local PM_{2.5} concentrations.**



353 **4.3 Comparative statistics of the influence of individual meteorological factors on**
 354 **local PM_{2.5} concentrations across China**

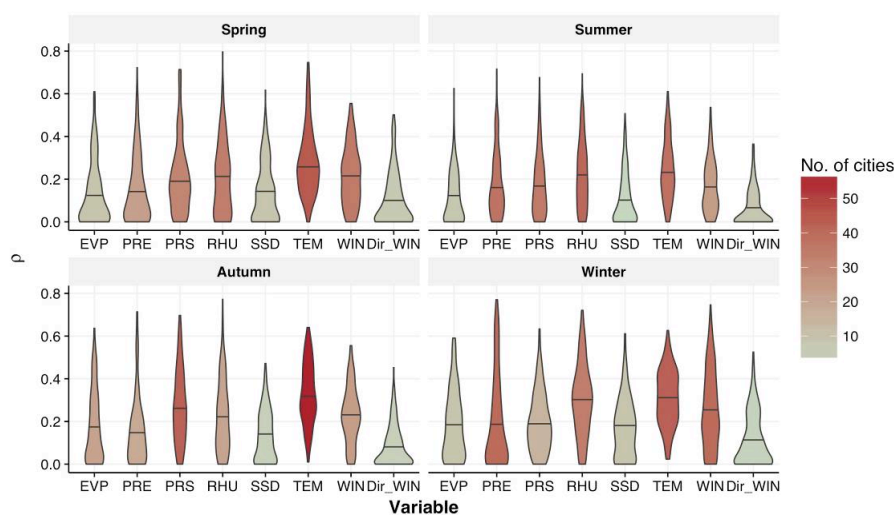
355 In addition to meteorological influences on PM_{2.5} concentrations for individual cities,
 356 we examined and compared the comprehensive influence of individual meteorological
 357 factors on PM_{2.5} concentrations at a national scale. The results are presented as Table
 358 1 and Fig 4.

359 **Table 1. The comparison of the influence of individual meteorological factors on**
 360 **PM_{2.5} concentrations in 189 cities across China**

Season	Factors	TEM	SSD	PRE	EVP	PRS	RHU	WIN	Dir_WIN
Spring	No. of cities ¹	45	8	22	8	31	34	35	6
	Mean ρ value	0.281	0.138	0.152	0.131	0.209	0.204	0.215	0.104
	SD of ρ value	0.024	0.019	0.024	0.021	0.028	0.028	0.019	0.015
	Max ρ value	0.747	0.617	0.723	0.610	0.714	0.796	0.555	0.502
Summer	No. of cities	38	1	37	7	35	41	23	7
	Mean ρ value	0.244	0.107	0.179	0.119	0.175	0.221	0.168	0.067
	SD of ρ value	0.019	0.014	0.023	0.014	0.021	0.024	0.015	0.007
	Max ρ value	0.611	0.507	0.716	0.625	0.676	0.694	0.536	0.364
Autumn	No. of cities	58	3	18	21	43	20	23	3
	Mean ρ value	0.330	0.132	0.159	0.176	0.271	0.225	0.230	0.082
	SD of ρ value	0.020	0.014	0.025	0.027	0.029	0.028	0.018	0.009
	Max ρ value	0.641	0.472	0.714	0.637	0.697	0.773	0.556	0.452
Winter	No. of cities	43	8	40	8	14	34	40	2
	Mean ρ value	0.310	0.172	0.200	0.185	0.198	0.300	0.255	0.115
	SD of ρ value	0.017	0.019	0.045	0.025	0.019	0.028	0.033	0.015
	Max ρ value	0.626	0.611	0.770	0.591	0.634	0.721	0.746	0.525

361 ¹No. of cities: The number of cities with this factor as the dominant meteorological factor (its ρ value
 362 is the largest amongst eight factors) on local PM_{2.5} concentrations.

363



364

365 **Fig 4. The comparison of the influence of eight different meteorological factors**
 366 **on local PM_{2.5} concentrations in 189 cities across China (violin plot)**

367 No. of cities: The number of cities with this factor as the dominant meteorological factor (its
 368 ρ value is the largest amongst eight factors) on local PM_{2.5} concentrations. The shape of the
 369 violin bars indicated the distribution frequency of ρ value for 189 cities.

370 We compared the influence of individual meteorological factors on PM_{2.5}
 371 concentrations from different perspectives.

372 a. From a national perspective, *temperature, humidity, wind* and *air pressure* exert
 373 stronger influences on local PM_{2.5} concentrations than other factors. The annual mean
 374 ρ value for *temperature, humidity, wind* and *air pressure* was 0.291, 0.238, 0.217 and
 375 0.213, compared with *wind direction* (0.092), *SSD* (0.137), *evaporation* (0.153) and
 376 *precipitation* (0.173). Amongst the eight factors, *temperature* was proved to be the
 377 most influential meteorological factor for general PM_{2.5} concentrations in China. In
 378 addition to the largest mean ρ value, *temperature* was the dominant meteorological
 379 factors for most cities in all seasons. Furthermore, the Coefficient of Variation (SD
 380 /mean*100%) for *temperature* was much smaller than other factors, indicating the
 381 consistent influence of *temperature* on local PM_{2.5} concentrations across China.

382 b. Although some meteorological factors exert a limited influence on PM_{2.5}
 383 concentrations at a national scale, these factors may be a key meteorological factor for



384 local $PM_{2.5}$ concentrations. As shown in Table 1, the max ρ value for the eight
385 meteorological factors in each season was large than 0.5 (except for the *wind*
386 *direction* factor in summer and autumn), indicating a very strong influence on local
387 $PM_{2.5}$ concentrations in some specific regions. As a result, when analyzing
388 meteorological influences on local $PM_{2.5}$ concentrations for a specific city, the
389 influence of some meteorological factors, which have little influence on $PM_{2.5}$
390 concentrations at a large scale, should be carefully examined at the local scale.

391 c. Some factors (e.g. *precipitation* in summer and winter) may be the dominant
392 meteorological factors for a large number of cities, though the mean ρ value
393 remained small. This may be attributed to the fact that these meteorological factors
394 mainly exert influence on local $PM_{2.5}$ concentrations in those cities (seasons), where
395 (when) the general $PM_{2.5}$ concentrations is not high. In this case, as explained above,
396 comprehensive meteorological influences on $PM_{2.5}$ concentrations are limited
397 considerably.

398 **5 Discussion**

399 **5.1 Underlying mechanisms for bidirectional coupling between $PM_{2.5}$** 400 **concentration and individual meteorological factors**

401 Although the CCM method quantified the causality between $PM_{2.5}$ concentration and
402 individual meteorological factors, it did not explain how these variables were
403 interacted. To better understand meteorological influences on $PM_{2.5}$ concentration and
404 its feedback effects, we attempt to give some brief explanation on the mechanisms of
405 some typical bidirectional coupling. As we know, that one meteorological factor may
406 influence $PM_{2.5}$ concentrations through different mechanisms and here we only
407 explain some fundamental interactions between $PM_{2.5}$ concentrations and individual
408 meteorological factors.

409 **Interactions between wind and $PM_{2.5}$:** On one hand, winds, especially strong winds
410 blow airborne pollutants away and reduce $PM_{2.5}$ concentration effectively. On the
411 other hand, high $PM_{2.5}$ concentration, especially a quickly rising $PM_{2.5}$ concentration
412 brings the atmospheric environment to a comparatively stable status, which prevents
413 the form of winds and reduces the wind speed in smog-covered areas.



414 **Interactions between humidity and PM_{2.5}:** Higher humidity causes more vapor
415 attached to the Particulate Matter and significantly increases the size and mass
416 concentration of PM, namely the hygroscopic increase and accumulation of PM_{2.5} (Fu
417 et al., 2016). On the other hand, the larger mass and higher concentration makes it
418 difficult for PM_{2.5} to disperse and leads to a stable polluted atmospheric environment,
419 which is not favorable for the vapor evaporation and further increase the
420 environmental humidity.

421 **Interactions between SSD and PM_{2.5}:** Previous studies (Guo et al., 2012; Zhang et
422 al., 2013; Cao et al., 2014; etc) have proved that organic carbon (OC) is an important
423 component for PM_{2.5}, and atmospheric photolysis could occur on OC to reduce PM_{2.5}
424 concentration. Therefore, longer SSD has a negative influence on PM_{2.5} concentration.
425 On the other hand, SSD is a general indicator of cloudiness
426 (https://en.wikipedia.org/wiki/Sunshine_duration) . The more cloud, the less SSD
427 received on the ground observation station. By analogy, serious smog (thick black fog)
428 caused by high PM_{2.5} concentration notably blocked radiation emitted to the ground
429 and thus the PM_{2.5} concentration has a negative feedback effect on the SSD.

430 **Interactions between Precipitation and PM_{2.5}:** On one hand, previous studies (Tai et
431 al., 2010) show that an increase in precipitation causes a decrease in all PM_{2.5}
432 components through scavenging. On the other hand, the influence of PM_{2.5} on
433 precipitation are more complex: PM_{2.5} can serve as cloud nuclei influencing
434 precipitation (suppressing the light rain and strengthen the heavy rain) by acting on
435 the size and number of cloud droplets (Rosenfeld et al., 2014). Meanwhile, PM_{2.5} can
436 also modulate precipitation by changing the atmospheric vertical static stability via
437 the aerosol radiative effect (Jacobson, 2001).

438 **Interactions between Temperature and PM_{2.5}:** Temperature is one important
439 meteorological factors affecting the transformation of pollutants and the temperature
440 inversion is one major cause for haze episodes in winter. The temperature inversion
441 leads to an unfavorable condition for the dispersion of PM_{2.5} and an increase of PM_{2.5}
442 concentrations. On the other hand, high PM_{2.5} concentrations may lead to a stable
443 atmospheric environment, and further improve the temperature inversion
444 phenomenon.

445 **Interactions between Air pressure and PM_{2.5}:** When the atmospheric environment



446 is controlled by low air pressure, it demonstrates an unstable status and the
447 near-ground air is pushed upward, which is favorable for the transportation of
448 airborne pollutants and the reduction of $PM_{2.5}$ concentrations. On the other hand, high
449 $PM_{2.5}$ concentrations may lead to the temperature inversion phenomenon, usually
450 accompanied with a stable atmospheric controlled by high air pressure.

451 **Interactions between Evaporation and $PM_{2.5}$:** Liu et al (2015) suggested that the
452 loss of $PM_{2.5}$ concentrations increased with an increase of evaporation. Meanwhile,
453 high $PM_{2.5}$ concentrations lead to a stable atmospheric environment, in which the
454 evaporation rate is low.

455 **Interactions between Wind direction and $PM_{2.5}$:** The influence of wind direction
456 on $PM_{2.5}$ concentrations and its feedback effects is majorly dependent on the
457 geographical conditions and local landscape patterns. For instance, due to the
458 specific geographical conditions surrounded by hills on three sides, northwest
459 wind in Beijing leads to an improvement of air quality whilst southeast wind leads to
460 the accumulation of airborne pollutants. However, the influence of wind direction on
461 $PM_{2.5}$ concentrations varies significantly in other cities. So the interactions between
462 wind direction and $PM_{2.5}$ is context-related.

463 **5.2 Understanding the formation mechanisms of haze episodes and improving** 464 **air quality from a meteorological perspective**

465 With rapidly growing haze events, meteorological influences on $PM_{2.5}$ concentrations
466 have become a hot social-economic topic not only studied by scholars, but also
467 considered by government officials and decision makers. On December 1st, 2016,
468 Beijing published the latest regulations for the prevention and control of
469 meteorological hazards

470 (http://www.bjrd.gov.cn/zt/cwhzt1431/hywj/201612/t20161201_168233.html) and
471 included haze events as one type of meteorological hazards, sparking widespread
472 controversy. Although the meteorological influences on $PM_{2.5}$ concentrations are well
473 acknowledged, quantifying meteorological contribution, compared with exhaust
474 emission, to airborne pollution remains challenging. Hence, criticisms have been
475 raised that since traffic and industry induced exhaust emission is the main cause for
476 airborne pollution, the emphasis on the meteorological causes for haze hazards is to



477 avoid governmental responsibilities. Some of our previous research may provide
478 reference for a better understanding of this issue from different perspectives. Chen, Z
479 et al. (2016) pointed out that more than 180 days in Beijing experienced notable and
480 sudden air quality change (the Air quality Index, AQI, difference between one day
481 and its previous day is larger than 50) in 2014. Considering that the industrial,
482 automobile and household exhaust emission, which are main sources for $PM_{2.5}$ and
483 other airborne pollutants, is unlikely to change dramatically in one day,
484 meteorological factors seem to exert an important influence on local $PM_{2.5}$
485 concentrations. Chen, Z et al. (2017) proved that such meteorological factors as *SSD*,
486 *wind* and *humidity* exerted strong influences on winter $PM_{2.5}$ concentrations in the
487 Beijing-Tianjin-Hebei Region. Furthermore, Chen, Z et al. (2017) quantified the
488 interactions between different meteorological factors and suggested that one
489 meteorological factor may influence $PM_{2.5}$ concentrations through both direct and
490 indirect means. Take winter $PM_{2.5}$ concentrations in Beijing for instance. The *wind*
491 factor has a strong negative causality influence on $PM_{2.5}$ concentrations. In addition,
492 the *wind* factor has a negative causality on *humidity*, as well as positive causality on
493 *SSD* and *evaporation*. Since the factor *humidity* (*SSD* and *evaporation*) has a strong
494 positive (negative) influence³ on local $PM_{2.5}$ concentrations, increasing *wind* speeds
495 can reduce $PM_{2.5}$ concentrations indirectly through reduced (increased) *humidity* (*SSD*
496 and *evaporation*). In this research, we further revealed that meteorological influences
497 on $PM_{2.5}$ concentrations varied significantly across China. In the most polluted winter,
498 the dominant meteorological factors for $PM_{2.5}$ concentrations in the North China
499 region are mainly the *wind* and *humidity* factor whilst the dominant meteorological
500 factor on $PM_{2.5}$ concentrations in coastal cities are mainly *precipitation* and
501 *temperature*. Furthermore, this research proved that the meteorological influences on
502 $PM_{2.5}$ concentrations were the strongest in winter, when the $PM_{2.5}$ concentrations was
503 the highest. With strong bidirectional coupling between individual meteorological
504 factors and $PM_{2.5}$ concentrations in winter, $PM_{2.5}$ concentrations can be further
505 enhanced through complicated atmospheric mechanisms, leading to more haze events.
506 Based on these studies, we are not attempting to challenge the fundamental
507 contribution of human-induced exhaust emission to $PM_{2.5}$ concentrations. Instead, our

³ Although the CCM method did not give a positive(negative) direction between interactions between two variables, the direction of interactions can be easily understood according to the correlation coefficient.



508 research suggested that with a stable amount of exhaust emission, meteorology was a
509 key factor for the persistence and deterioration of haze events, especially in winter.
510 On one hand, the pollutant emission should be strictly restricted, as human-induced
511 emission is the major cause of haze pollution. Meanwhile, since meteorological
512 factors play an important role in the accumulation and dispersion of $PM_{2.5}$,
513 meteorological influences should be comprehensively considered for a better
514 understanding and management of haze episodes.

515 In spite of a diversity of prediction models, air quality forecast, especially $PM_{2.5}$
516 forecasting in China, remains challenging. Commonly used air quality forecast
517 models include CAMx (ENVIRON Company, US), CMAQ (Environmental
518 Protection Agency, US), WRFCHM (National Center for Atmospheric Research,
519 US) and NAQ PMS (Institute of Atmospheric Physics, Chinese Academy of Sciences,
520 China). Due to highly complicated atmospheric environment and the difficulty in
521 acquiring true data of exhaust emission, these models may lead to large biases and
522 uncertainty when applied to China. On the other hand, without priori knowledge of
523 mechanisms of haze formation and information of exhaust emission, statistical models
524 can achieve satisfactory forecasting results based on massive historical data (Cheng et
525 al., 2015). However, Cheng et al. (2015) pointed out that most statistical models were
526 static model and did not consider the meteorological influences on airborne pollutants.
527 Even if some models consider meteorological influences on $PM_{2.5}$ concentrations,
528 they only employ correlation analysis, which has been proved to problematic in
529 complicated atmospheric environment. In this case, this research provides useful
530 reference for improving existing statistical models. The ρ value is a better indicator
531 than the correlation coefficient to demonstrate the quantitative influence of individual
532 meteorological factors on local $PM_{2.5}$ concentrations. By incorporating the ρ value,
533 instead of the correlation coefficient, of different factors into corresponding GAM
534 (Generalized Additive Models) and adjusting parameters accordingly, we can
535 significantly improve the reliability of future forecasting of $PM_{2.5}$ concentrations.

536 With the understanding of strong meteorological influences on $PM_{2.5}$ concentrations
537 across China, especially in some heavily polluted regions, decision makers are placing
538 special emphasis on improving local and regional air quality through meteorological
539 means. Targeting this, quantified causality influence of individual meteorological



540 factors on $PM_{2.5}$ concentrations provides useful decision support for evaluating
541 relevant environmental projects. Specifically, a forthcoming Beijing wind-corridor
542 project (http://www.bj.xinhuanet.com/bjyw/yqphb/2016-05/16/c_1118870801.htm)
543 has become a hot social and scientific issue, yet its potential effects arouse wide
544 controversies. Herein, our research suggests that *wind* is a dominant meteorological
545 factor for winter $PM_{2.5}$ concentrations in Beijing and can significantly influence $PM_{2.5}$
546 concentrations through direct and indirect mechanisms. In consequence, the
547 wind-corridor project may directly allow in more strong wind, which thus leads to a
548 larger value of *SSD* and *EVP* and a smaller value of *RHU*. The change of *SSD*, *RHU*
549 and *EVP* values can further induce the reduction of $PM_{2.5}$ concentrations. From this
550 perspective, the Beijing wind-corridor project has good potential to improve local and
551 regional air quality. In addition to the wind-corridor project, some scholars and
552 decision makers have proposed other meteorological means for reducing $PM_{2.5}$
553 concentrations. For instance, Yu (2014) suggested that water spraying from high
554 buildings and water towers in urban areas was an efficient way to reduce $PM_{2.5}$
555 concentrations rapidly by simulating the process of precipitation. However, some
556 limitations, such as the humidity control and potential icing risk, remained. In the near
557 future, with growing attention on the improvement of air quality, more environmental
558 projects should be properly designed and implemented. According to this research,
559 meteorological influences on $PM_{2.5}$ concentrations vary notably across China.
560 Considering the diversity of dominant meteorological factors on local $PM_{2.5}$
561 concentrations in different regions and seasons, it is more efficient to design
562 meteorological means accordingly. For the heavily polluted North China region in
563 winter, meteorological means for encouraging strong winds are more likely to reduce
564 $PM_{2.5}$ concentrations considerably whilst meteorological means for inducing
565 precipitation are more likely to improve air quality in coastal cities and cities within
566 the Yangtze River basin.

567 **6 Conclusions**

568 Based on the CCM method, we quantified the causality influence of eight
569 meteorological factors on local $PM_{2.5}$ concentrations for 189 monitoring cities across
570 China. The results suggest that meteorological influences on $PM_{2.5}$ are of notable
571 seasonal and spatial variations. For most cities, the higher $PM_{2.5}$ concentrations, the



572 stronger influence meteorological factors exert on local PM_{2.5} concentrations. The
573 dominant meteorological factor for PM_{2.5} concentrations is closely related to
574 geographical conditions. For heavily polluted winter, precipitation exerts a key
575 influence on local PM_{2.5} concentrations in most coastal areas and the Yangtze River
576 basin, whilst the dominant meteorological driver for PM_{2.5} concentrations is wind in
577 the North China regions. At the national scale, temperature, humidity, wind and air
578 pressure exert stronger influences on local PM_{2.5} concentrations than other factors.
579 The causality influence of individual meteorological factors on PM_{2.5} concentrations
580 extracted in this research provides more reliable reference for better modelling and
581 forecasting local and regional PM_{2.5} concentrations. Given the significant variations of
582 meteorological influences on PM_{2.5} concentrations across China, environmental
583 projects aiming for improving local air quality should be designed and implemented
584 accordingly.

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