We thank the instructive and detail points given by the two reviewers. We have carefully revised our manuscript accordingly. Below is our point-to-point response.

Reviewer1:

Reviewer: Bian et al. compare global nitrate and ammonium budgets for 9 global chemical models in order to assess differences between the models and attribute these differences to specific processes. This is part of the AeroCom Phase III study. They find that burdens of HNO3 and NO3- differ by factors of 9 and 13, respectively, between the different models. The modeled differences in the NH3/NH4+ burdens were unclear and should be explicitly stated. Modeled chemical production of NH4+ and lifetime differed by factors of 2 and 5, respectively. They attribute these model differences to differences in 1) pH-dependent wet deposition of NH4+, 2) nitrate formation on the surface of sea salt and dust aerosol, and 3) the nitrate coarse mode fraction. They find that nitrate production on sea salt and dust is important to include in models as it tends to dominate nitrate production and controls its partitioning between the fine and coarse mode. In that sense it seems to me that 2 and 3 above are referring to the same process. They also compare the model results to observations of nitrate and ammonium surface observations of concentrations and deposition, as well as observed vertical profiles from several aircraft campaigns.

Authors: A sentence that describes the differences in the NH3/NH4+ burdens is added right after the description for HNO3 and NO3 in the abstract (lines 38-39). We intend to separate discussion of section 5.2 and 5.3 because the nitrate formation on the surface of sea salt and dust aerosol (section 5.3) is important, but not the only factor, to determining nitrate size distribution (section 5.2). Also, the former focuses more on chemical process and the later on physical process and climate implication.

Reviewer: Overall this is a well written paper and will be useful for assessing reactive nitrogen budgets in models. One thing I found confusing was the use of the phrase "heterogeneous chemistry" and the use of the term "nitrate". For me, when I hear heterogeneous chemical production of nitrate I think of N2O5 hydrolysis, which this paper did not examine at all. I wonder how nitrate production from N2O5 hydrolysis differs in the models and if this can account for some of the inter-model variability. There was no mention at all of model differences in nitrate production (NO2+OH, BrONO2 hydrolysis, etc) and how this might account for model differences. Perhaps this will be the subject of another paper, and if so it would be nice to mention that here. What the authors are referring to by the use of "heterogeneous chemistry" is what I would call thermodynamic partitioning between the gas and aerosol phase. Perhaps the authors should reconsider their choice of words here so that it is not confusing. Also, when I read "nitrate" I think of HNO3(g) + NO3-, i.e., the sum of gas and particulate nitrate. In this paper, "nitrate" is specifically referring to the particulate phase. Perhaps use the term "particulate nitrate" or "NO3-" instead so it is more clear. That might also partially help with the issue above regarding the term heterogeneous chemistry.

Authors: We add a sentence "hereafter nitrate referring to particulate nitrate unless otherwise specified" in the introduction (line 56). We also add the following clarification in section 2.2 (lines 216-220). "Please also note that the heterogeneous chemical production of nitrate mentioned in this paper refers only the reaction of HNO₃ on dust and sea salt particles. A series of reactions, such as N_2O_5 hydrolysis and BrONO₂ hydrolysis, affect

HNO₃ simulation. These reactions are typically considered in O₃-NO_x-HO_x chemistry and their discussion is beyond the scope of this paper."

More minor issues: Lines 363 and 364 need subscripts. **Authors: Done.**

Line 460: replace "decease" with "decrease" **Authors: Done.**

Line 539: What does "the correction of pH in cloud water" mean? It sounds like the models are somehow correcting for a cloud pH calculation. If I understand correctly, it is not the pH calculation that is being corrected, but whether or not pH is being considered in the Henry's law constant calculation for NH3. **Authors: Delete "correction of" before pH.**

Line 569: Check the grammar Authors: The sentence has been revised to be "The latter corresponds to a range of pH from 4.5 (Oslo-CTM2) to 5.5 (CHASER)."

Paragraphs beginning on lines 743 and 761 should be combined for clarity. **Authors: Yes, combined now.**

Line 785: "model" should read "mode" **Authors: Done.**

Reviewer2:

Reviewer: This paper presents results from 9 global models with a focus on nitrate aerosol. Since nitrate aerosol formation in linked to ammonia, ammonium, sulfate, and nitric acid, additional species and their deposition is also evaluated. The authors provide insight into the model differences by noting which models include heterogeneous chemistry and pH depending NH3 solubility (Henry's Law). I have one major comment and other minor comments.

Major comment:

At the end of the paper, it is not clear what processes or species future model development should target to improve nitrate aerosol formation. Some insight may be gained by more carefully considering how errors in sulfate (and ammonium) may propagate to errors in aerosol nitrate. In particular, the correlation between model predictions and observations for NH4 and SO4 is quite poor for some models (Figure 4). Consider Weber et al. (2016) and how decreases in sulfate do not necessarily lead to decreases in aerosol H+ (in contrast to page 2, lines 78-80). As nitrate partitioning is sensitive to pH, nitrate aerosol formation could be limited due to aerosol pH. Weber et al. (2016) and Silvern et al. (2017) have indicated pH may decrease (aerosols become more acidic) in the future. Can the limiting factor (NH3, nitrate, or pH) for nitrate formation be better identified?

Authors: Thanks to the reviewer for bringing this insightful point to the discussion of potential future study. We have expanded the discussion in the conclusion (lines 814-839).

"Our work presents a first effort to assess nitrate simulation from chemical and physical processes. A companion study is proposed by AeroCom III nitrate activity to investigate how sensitive is nitrate formation in response to the possible future changes of emission and meteorological fields. These perturbation fields include increasing NH₃ emission, decreasing NOx, SOx and dust emissions, and increasing atmospheric temperature and relative humidity. It would be particularly interesting to examine how aerosol pH changes and its influence on atmospheric acid/base gas-particle system during the experiment. Future aerosol pH does not necessarily increase with SO₂ emission reduction. Indeed, studies over US southeast indicated that its aerosol has been getting more acidic over the past decade although SO₂ emission decreased and NH₃ emission stayed constant [Silvern et al., 2017; Weber et al., 2016]. This environment of high aerosol acidity hinders the formation of nitrate aerosol, which only occurs when pH is over ~2 to 3 [Weber et al., 2016]. In addition, understanding why and how the system is insensitive to changing SO₂ level due to buffering of the partitioning of semivolatile NH₃ over regions such as US southeast helps us to gain some insight into how errors in sulfate (and ammonium) may propagate to errors in aerosol nitrate. In particular, the correlation between model predictions and observations for SO_4^{2-} and NH_4^+ is quite poor for some models (Figure 4). It would be also interesting to include organic gas/aerosol into the system since they are not only important atmospheric components, but also reduce the uptake of NH₃. Competition for uptake between NH₃ and organic gases considerably slows down the approach to thermodynamic equilibrium [Silvern et al., 2017]. Based on the findings of this work, modelers should pay particular attention to incorporating dust and sea salt and treating NH₃ wet deposition to improve nitrate simulation. Further evaluation using satellite measurements, such as NH₃ products from IASI and TES, is desired and will be conducted. Such evaluation requires global 3-dimensional high frequency model data. Potential future study also includes estimation of nitrate forcing for climate change."

By the way, the sentence in original submission page 2 lines 78-80 just states the facts of abundant NO3 and SO4 observed in atmosphere.

Minor comments:

1. The authors should carefully check for awkward wording **Authors: Yes. We have also revised the manuscript based at the reviewers' suggestion.**

2. Line 154: reword to "emission inventories used" **Authors: Done.**

3. Line 186: Was the several months of spinup for meteorology and chemistry or just meterology? Is several months sufficient for chemistry of the upper troposphere? Authors: The spinup period is for chemistry simulation. We have changed "several months" to "one-year" as specified in the protocol of AeroCom III nitrate experiment. One-year of spinup should be fine for the chemical species discussed in this study in the upper troposphere.

4. Line 204: Can the differences in organic nitrate treatments be briefly discussed? It would be useful to have production rates of nitric acid from each model. Authors: Although gas- and aerosol-phase organic nitrates are important, the chemistry formation and degradation remains uncertain [Fisher et al., 2016]. To my knowledge, the models involved in this experiment do not have aerosol-phase organic nitrate. We agree with the reviewer on the usefulness of having production rates of nitric acid from each model. We specified this requirement in the experiment protocol. Unfortunately only two

models submitted this kind of data, which were presented in our table 4c.

Fisher, J. A., Jacob, D. J., Travis, K. R., Kim, P. S., Marais, E. A., Chan Miller, C., Yu, K., Zhu, L., Yantosca, R. M., Sulprizio, M. P., Mao, J., Wennberg, P. O., Crounse, J. D., Teng, A. P., Nguyen, T. B., St. Clair, J. M., Cohen, R. C., Romer, P., Nault, B. A., Wooldridge, P. J., Jimenez, J. L., Campuzano-Jost, P., Day, D. A., Hu, W., Shepson, P. B., Xiong, F., Blake, D. R., Goldstein, A. H., Misztal, P. K., Hanisco, T. F., Wolfe, G. M., Ryerson, T. B., Wisthaler, A., and Mikoviny, T.: Organic nitrate chemistry and its implications for nitrogen budgets in an isoprene- and monoterpene-rich atmosphere: constraints from aircraft (SEAC4RS) and ground-based (SOAS) observations in the Southeast US, Atmos. Chem. Phys., 16, 5969-5991, https://doi.org/10.5194/acp-16-5969-2016, 2016.

5. Line 225: Are solid precipitates allowed in any of the metastable configurations? Authors: No. For a metastable configuration, aerosol is composed only of an aqueous phase that can be supersaturated with respect to dissolved salts.

6. Line 256: typo ISORROPIA-I **Authors: Done.**

7. Line 528-520: sentence is unclear

Authors: Change the sentence to "Consequently, the slopes of the fitting lines are generally less than 1 on the scattering plots with model as y-axis and observation as x-axis (e.g. Figures 4a-d, 6, 7a-b)."

8. Line 619: Is the goal to compromise accuracy and efficiency? **Authors: Yes.**

9. Line 731: Can you clarify what fraction actually used the HTAP2 emission inventory vs something else?

Authors: The detailed discussion for the fraction actually used the HTAP2 emission inventory is presented in section 2.1. We have added "for aerosol and ozone simulations" after "Emissions from anthropogenic, aircraft, and ship" on line 171. We have also added "for the aerosol and gas emissions from anthropogenic, aircraft, and ship sources" after "use HTAP2 emission inventory" on lines 738-739.

10. Line 753: Do you mean ammonium measured on filters? Authors: Yes.

11. Line 782: Is it thus possible to recommend that all models use the pH dependent Henry's law coefficient for NH3? Can other recommendations for models be succinctly stated in the conclusions?

Authors: Since liquid-phase reaction 2 in Appendix can reach equilibrium quickly within a chemical time step, we recommend including it in accounting for NH3 solution. Theoretically, a more accurate approach is to combine wet removal with liquid-phase chemistry calculation. In other words, instead of using an implicit calculation of the effective Henry's law constant, the gas-liquid phase equilibrium is explicitly calculated based on the chemical mechanism used in the liquid phase. The solution of NH3 is calculated by solving a set of partial differential equations, which includes not only the gas-liquid phase equilibrium, but also all the important reactions in the liquid phase, as adopted in EMAC model. We have added this discussion in the conclusion (lines 788-796).

12. Table 1: Define CHEMDUSS (not defined until later table) **Authors: Done**

13. Figure 5: Why are the daily and monthly output results (Figure 5) so different? For the daily output, is the aircraft data matched on a daily basis?

Authors: The big difference between the daily and monthly output is mainly shown by the ATCTAS April campaign. The April experiment was conducted over Alaska for long-range transport of Asia pollution so that the day-to-day atmospheric dynamic variation could play more on the pollution over Alaska. For the daily output, the model and aircraft data match on a daily basis.

14. Make sure abbreviations are defined in the tables (for example CheAP in 4c and ChemGP in 4d) Authors: Done.

1 2	Investigation of global nitrate from the AeroCom Phase III experiment	
2 3 4 5 6 7	Huisheng Bian ^{1,2} , Mian Chin ² , Didier A. Hauglustaine ³ , Michael Schulz ⁴ , Gunnar Myhre ^{5,6} , Susanne E. Bauer ^{7,8} , Marianne T. Lund ⁶ , Vlassis A. Karydis ⁹ , Tom L. Kucsera ¹⁰ , Xiaohua Pan ¹¹ , Andrea Pozzer ⁹ , Ragnhild B. Skeie ⁶ , Stephen D. Steenrod ¹⁰ , Kengo Sudo ¹² , Kostas Tsigaridis ^{7,8} , Alexandra P. Tsimpidi ⁹ , and Svetlana G. Tsyro ⁴	
8 9 10 11 12 13 14 15 16 17 18 19 20	 ¹ Joint Center for Environmental Technology UMBC, Baltimore, MD, USA ² Laboratory for Atmospheres, NASA Goddard Space Flight Center, Greenbelt, MD, USA ³ Laboratorie des Sciences du Climat et de l'Environnement (LSCE), UMR8212, CEA-CNRS-UVSQ, Gifsur-Yvette, France ⁴ Norwegian Meteorological Institute, Blindern, Norway ⁵ Department of Geosciences, University of Oslo, Oslo, Norway ⁶ Center for International Climate and Environmental Research-Oslo, Oslo, Norway ⁷ The Earth Institute, Center for Climate Systems Research, Columbia University, New York, USA ⁸ NASA Goddard Institute for Space Studies, New York, USA ⁹ Max Planck Institute for Chemistry, 55128 Mainz, Germany ¹⁰ Universities Space Research Association, GESTAR, Columbia, MD, USA ¹¹ School of Computer, Mathematical and Natural Sciences, Morgan State University, Baltimore, MD, USA ¹² Center for Climate System Research, University of Tokyo, Japan. 	
21 22		
23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38	Abstract An assessment of global <u>particulate</u> nitrate and ammonium aerosol based on simulations from nine models participating in the AeroCom Phase III study is presented. A budget analyses was conducted to understand the typical magnitude, distribution, and diversity of the aerosols and their precursors among the models. To gain confidence on model performance, the model results were evaluated with various observations globally, including ground station measurements over North America, Europe, and East Asia for tracer concentrations and dry and wet depositions, as well as with aircraft measurements in the Northern Hemisphere mid-high latitudes for tracer vertical distributions. Given the unique chemical and physical features of the nitrate occurrence, we further investigated the similarity and differentiation among the models by examining: 1) the pH-dependent NH ₃ wet deposition; 2) the nitrate formation via heterogeneous chemistry on the surface of dust and sea-salt particles; and 3) the nitrate coarse mode fraction (i.e., coarse/total). It is found that HNO ₃ , which is simulated explicitly based on full O ₃ -HO _x -NO _x -aerosol chemistry by all models, differs by up to a factor of 9 among the models in its global tropospheric burden. This partially contributes to a large difference in NO ₃ ⁻ , whose atmospheric burden differs by up to a factor of 13. The atmospheric burdens of NH ₃ and	Forma
30 39 40 41 42 43 44 45 46	NH ₄ ⁺ differ by 17 and 4, respectively. Analyses at the process level show that the large diversity in atmospheric burdens of NO_3^- , NH ₃ , and NH ₄ ⁺ is also related to deposition processes. Wet deposition seems to be the dominant process in determining the diversity in NH ₃ and NH ₄ ⁺ lifetimes. It is critical to correctly account for contributions of heterogeneous chemical production of nitrate on dust and sea-salt, because this process overwhelmingly controls atmospheric nitrate production (typically >80%) and determines the coarse and fine mode distribution of nitrate aerosol.	Porme
40 47	1. Introduction	
48 49	Atmospheric aerosols adversely affect human health and play an important role in changing the Earth's climate. A series of multimodel studies have been coordinated by	

ormatted: Subscript

50 the international activity of Aerosol Comparisons between Observations and Models

51 (AeroCom) in its Phase I and II model experiments that have systematically assessed the

52 presence and influence of almost all major atmospheric anthropogenic and natural

53 aerosols (such as sulfate, dust, and carbonaceous aerosols) (e.g., Kinne et al., 2006;

54 Schulz et al., 2006; Textor et al., 2006; Koch et al., 2009; Huneeus et al., 2011; Tsigaridis

et al., 2014; Kim et al., 2015). Very little attention has been drawn to nitrate aerosol

56 (hereafter "nitrate" referring to particulate nitrate unless otherwise specified) other than

57 its contribution to radiative forcing (Myhre et al., 2013). One obvious reason is that not

58 many models used to include nitrate owing to the chemical complexity of nitrate

59 formation. However, atmospheric nitrate aerosol not only exerts direct effects on air

60 quality and climate, but also uniquely impacts the Earth system by being directly

61 involved in tropospheric chemistry and constraining net primary productivity, hence

altering carbon sequestration and ecological effects, via its deposition (Prentice et al.,2001).

63 2 64

65 Atmospheric nitrate contributes notably to total aerosol mass in the present-day,

66 especially in urban areas and agriculture regions. Nitrate is about a quarter of sulfate in

67 terms of overall global burden, AOD, and direct forcing at the present-day according to

the study of AeroCom II direct forcing experiment (Myhre et al., 2013). This conclusion

69 is confirmed by recent publications using various individual models and emission

70 inventories (Bellouin et al; 2011; Bauer et al., 2007; Hauglustaine 2014; Karydis et al.,

71 2016; Mezuman et al., 2016; Paulot et al., 2016). Regionally, considerable evidences

72 from in-situ measurements (Bessagnet et al., 2014; Haywood et al., 2008; Jimenez et al.,

73 2009; Malm et al., 1994; Vieno et al., 2016) and model results (Karydis et al., 2011;

Ensberg et al., 2013; Trump et al., 2015) indicate that nitrate becomes one of the major

aerosol species in urban and agriculture environments. For example, nitrate concentration is about half of sulfate during the summer season in Beijing (Zhou et al., 2016) and

is about half of sulfate during the summer season in Beijing (Zhou et al., 2016) and represents a large portion of wintertime aerosol mass in the San Joaquin Valley in

represents a large portion of wintertime aerosol mass in the San Joaquin Valley inCalifornia (Pusede et al., 2016).

79

80 More importantly, the importance of aerosol nitrate is likely to increase over the century

81 with a projected decline in SO_2 and NO_x emissions and increase in NH_3 emissions (IPCC,

82 2013). With the reduction of SO_2 emissions, less atmospheric NH₃ is required to

83 neutralize the strong acid H₂SO₄. The excess of NH₃ results in gaseous HNO₃ and NH₃

84 entering the condensed phase, and their subsequent dissociation yields nitrate and

ammonium ions. The trend of future nitrate depends on which is the limited species, NO_x

86 or NH₃, for nitrate formation (Tsimpidi et al., 2007; 2008). Generally, our atmosphere, at

87 its current and foreseeable near future, is still in an NH₃-limited condition according to

88 sensitivity studies by Heald et al. (2012) and Walker et al. (2012). Almost all global

89 models predicted an overall increase of atmospheric nitrate burden during this century

based on current available emission inventories (Bauer et al 2007; 2016; Bellouin et al.,

91 2011; Hauglustaine et al., 2014; Li et al., 2014). For example, using CMIP5 future

92 emission projections, Bellouin et al. (2011) concluded that, by 2090, nitrate would

93 become an important aerosol species in Europe and Asia, contributing up to two thirds of

94 the globally averaged anthropogenic optical depth. However, the predicted trend of

95 surface nitrate is mixed. Some studies estimated a consistent increase of surface nitrate

96 (Bellouin et al., 2011), while others pointed out that this increase might vanish or even

97 reverse over some regional urban areas due to the decline of NO_x emissions (Bauer et al.,

98 2016; Pusede et al., 2016; Trail et al., 2014). Nevertheless, the potentially increasing

99 importance of nitrate in climate and its large uncertainty in future surface nitrate

100 predictions urge us to characterize model performance and understand the

101 physicochemical mechanisms behind the diversity of nitrate simulations.

102

103 Nitrate is also important in that its formation directly affects tropospheric chemistry.

104 First, the formation of nitrate, through either aqueous phase chemical reaction between

105 HNO₃ and NH₃ (Metzger et al., 2002; Kim et al., 1993) or heterogeneous reaction of

106 nitrogen species such as HNO_3 , NO_3 , and N_2O_5 on the surface of dust and sea salt aerosol

107 particles (Bauer et al., 2004; 2005; Bian et al., 2003; Dentener 1996; Liao et al., 2003),

108 converts gas phase nitrogen species into aerosols. Consequently, the global tropospheric

 $109 \qquad NO_x \ concentration \ and \ the \ rate \ of \ conversion \ of \ N_2O_5 \ to \ HNO_3 \ will \ be \ reduced \ (Riemer$

110 et al., 2003), which in turn leads to the reduction of atmospheric oxidants. For example,

111 global tropospheric O_3 can be reduced by 5% (Bauer et al., 2007) and tropical Atlantic

112 OH by 10% (Bian et al., 2003) just through the heterogeneous reactions of nitrogen

113 radicals on dust. Second, the most important removal path for nitrogen from the 114 atmosphere is the formation of HNO₃, which is subsequently deposited (Riemer et a

114 atmosphere is the formation of HNO3, which is subsequently deposited (Riemer et al., 115 2003). Since HNO3 is subject to partitioning between the gas and aerosol phases, the

116 lifetimes of nitrogen species can be shortened by the formation of tropospheric nitrate

aerosol because the loss of total HNO₃ will be accelerated by a much higher dry

118 deposition in the aerosol phase.

119

120 Large nitrogen deposition occurs over both land and ocean (Dentener et al., 2006;

121 Kanakidou et al., 2012; 2016). Nitrogen deposition can either benefit or impair ecosystem

122 productivity depending on the initial balance of nutrients since different ecosystems have 123 different Nr (reactive nitrogen) availability and retention (Galloway et al., 2004; Prentice

different Nr (reactive nitrogen) availability and retention (Galloway et al., 2004; Prentice
et al., 2001). If fixed Nr is deposited as nitrate in forests, it may act as a "fertilizer,"

stimulating growth and thus enhancing carbon sequestration (Fowler et al., 2015). But

125 simulating growth and thus emancing carbon sequest allon (Powler et al., 2013). But 126 when the accumulated deposition exceeds the nutritional needs of the ecosystem, nitrogen

127 saturation may result (Fenn et al., 1996). Soil fertility declines due to the leeching of

128 cations (Milegroet and Cole, 1984) and, thus, carbon uptake diminishes. The balance

between fertilization and saturation depends on the spatial and temporal extent of

130 nitrogen deposition. In order to determine the extent to which the emissions of air

pollutants will have to be reduced and whether the environment needs to be protected

132 from damage, it is essential to know where and by how much N deposition exceeds

133 nature's tolerance (Dentener et al. 2006; Lamarque et al., 2005; Phoenix et al., 2006).

134

135 Here we present a nitrate-focused study that has been organized as a part of the series of

AeroCom phase III experiments (https://wiki.met.no/aerocom/phase3-experiments). The

goals of this activity are to (1) address the diversity of the nitrate simulation by theAeroCom multi-models and diagnose the driving processes for the diversity, (2) explore

Actocom multi-inducts and diagnose the driving processes for the driversity, (2) explo

the uncertainty of the model nitrate simulations constrained against various

140 measurements from ground station networks and aircraft campaigns, and (3) investigate

141 how the formation of nitrate changes in different models in response to perturbation on

- 142 key precursors and factors that determine nitrate formation. We focus on the first two
- 143 objectives in this paper. Such a study directs us on how to improve the representation of
- 144 nitrate aerosol formation and size distribution in climate chemistry models and reveals145 nitrate effects on global air quality and climate.
- 146
- 147 Building upon the analysis of the multi-model diversity, three additional sensitivity
- experiments are designed using the GMI model to further explore the potential sources
- 149 for the diversity on physical and chemical process-level. First, we explore the impact of
- 150 pH-dependent NH₃ wet deposition on atmospheric NH₃ and associated nitrogen species.
- 151 We then reveal the importance of mineral dust and sea salt in the nitrate formation and
- 152 check the resultant nitrate aerosol size distribution that is particularly important in nitrate
- 153 forcing estimation.
- 154
- 155 The paper is organized as follows. Section 2 introduces the experiment setup including
- 156 the <u>used</u> emission inventories <u>used</u> and the participating Aerocom models. Observations
- 157 of surface tracer concentrations and dry and wet depositions over U.S., Europe, and East
- Asia, as well as aircraft measurements in the ARCTAS campaigns are described in
- 159 section 3. We present AeroCom model inter-comparison and the model evaluation using
- aforementioned observations in section 4. Based on the knowledge from previous
- 161 sections, we further discuss nitrate formation in response to physiochemical
- 162 methodologies in section 5 and summarize our major findings in section 6.
- 163
- 164 2. Experiment setup and AeroCom model description
- 165

166 2.1 Experiment setup

- 167 The AeroCom III nitrate experiment comprises one baseline and six perturbation
- simulations, with the latter designed for assessing the possible future changes of emission
- and meteorological fields relevant to nitrate formation. Models are advised to use the
- 170 same prescribed emission datasets for gases and aerosols. Emissions from anthropogenic,
- 171 aircraft, and ship for aerosol and ozone simulations are obtained from the recently
- developed HTAP v2 database (Janssens-Maenhout et al., 2015) that provides high spatial
- 173 resolution monthly emission. For the tracers that are included in ozone chemistry but are
- 174 not provided by HTAP v2 (i.e. some volatile organic compounds), they should be
- 175 obtained from CMIP5 RCP85 with a linear interpolation between 2005 and 2010.
- 176 Biomass burning emissions are the emissions of GFED3 (Werf et al., 2010) in 2008
- 177 [http://www.globalfiredata.org/data.html]. The NH₃ emission from ocean is adopted
- based on the compilation of GEIA emission inventory [Bouwman et al., 1997].
- 179 Participating modeling groups use their own emissions of dimenthyl sulfide (DMS), dust,
- 180 sea salt, and NO from lightning, since they are calculated based on models'
- 181 meteorological fields.
- 182
- 183 A full year simulation for 2008 is required for the nitrate model experiment. There are
- 184 several in-situ observation datasets available in 2008 for model evaluation, including the
- surface concentration and deposition measurements over the US (CastNet, AMoN,
- 186 NDAP/NTN), Europe (EMEP), and Asia (EANET), and the aircraft measurements of
- 187 vertical profiles (e.g. ARCTAS-A, ARCTAS-CARB, and ARCTAS-B). All participating

models are required to use the reanalysis or nudged meteorological data for 2008 and
allow several monthsone-year spin up for the baseline simulation.

190

191 2.2 AeroCom models

- 192 Nine models participate in the AeroCom III nitrate experiment. Their general nitrate-
- related physiochemical mechanisms are summarized in Table 1. Further detailed
- 194 information on their thermodynamic equilibrium model (TEQM) is given in Table 2.
- 195
- 196 The models participating in this study are divided into two groups. Group one (CHASER,
- 197 EMAC, INCA, GISS-MATRIX, and GISS-OMA) run chemical fields together with
- 198 meteorological fields, while group two (EMEP, GMI, OsloCTM2, and OsloCTM3)
- simulate chemical fields using archived meteorological fields. Most models in this study
- 200 have a horizontal resolution around 2-3 degrees except EMEP with 0.5 degree.
- 201 Vertically, most models cover both the troposphere and the stratosphere with a peak
- altitude up to 0.01 hPa except EMEP that extends vertically up to 100 hPa into the
- troposphere only.
- 204
- 205 All models use full gas phase O₃-NO_x-HO_x chemistry to produce HNO₃ and consider the

206 feedback of nitrate aerosol formation on HNO₃ calculation. However, due to the

- 207 complexity of chemical mechanisms for organic nitrate compounds and different
- $208 \qquad \text{recommendations for reaction rates, HNO}_3 \text{ fields produced by the models differ greatly}.$
- 209 This difference propagates into the subsequent gas-aerosol reactions for nitrate formation.
- 210
- 211 These models are very different in their approaches on gas-aerosol reactions in nitrate
- formation. All models consider reactions between NH₃ and HNO₃. However, models
- 213 differ dramatically in whether to include heterogeneous reactions on dust and sea salt
- 214 (Table 1). Some account for both, some for only dust or sea salt, and some do not account
- for any of them at all. The methods used by the models in accounting for NH_3 and
- dust/sea salt contributions are also different. <u>Please also note that the heterogeneous</u>
- 217 <u>chemical production of nitrate mentioned in this paper refers only the reaction of HNO₃</u>
- 218 <u>on dust and sea salt particles. A series of reactions, such as N₂O₅ hydrolysis and BrONO₂</u>
- 219 <u>hydrolysis, affect HNO₃ simulation. These reactions are typically considered in O_3 -NO_x-</u>
- 220 HO_x chemistry and their discussion is beyond the scope of this paper.
- 221

All participating models adopt TEQM to deal with aqueous and solid phase reactions and gas-aerosol partitioning (Tables 1 and 2). This is based on the assumption that volatile

- species in the gas and aerosol phases are generally in chemical equilibrium. However, the
- assumption is not always warranted in some cases, as we will discuss in section 5.2. Even
- with the TEOM approach, nitrate calculation could differ due to treatments of
- 227 equilibrium constants or chemical potentials, solute activity coefficients, water activity,
- and relative humidity of deliquescence (RHD). The parameterizations adopted by the
- 229 models to deal with multicomponent activity coefficient, binary activity coefficient, and
- 230 water activity are given in table 2. GISS-OMA, Oslo-CTM2 and Oslo-CTM3 are special
- in that they assume aerosols to be metastable so that the model does not take into account
- 232 formation of solids in this study. All other models do consider the effect of the hysteresis

233 of particle phase transitions. All models also assume that the overall particles are large 234 enough to neglect the Kelvin effect.

235

236 The participating models call the TEQMs in different ways to account for aerosol size 237 effect. All the TEOMs (ISORROPIA-I, ISORROPIA-II, MARS, RPMIRES, INCA, and 238 EQSAM3) assume particles to be internally mixed, i.e. all particles of the same size have 239 the same composition. However, some parent models (CHASER, EMEP, GMI, INCA, 240 GISS-MATRIX and GISS-OMA) call their TEQMs only once for fine mode aerosol 241 particles, while the others (EMAC, OsloCTM2 and OsloCTM3) call their TEQMs from 242 different aerosol size bins. For example, Oslo-CTM2 and Oslo-CTM3 consider a bi-243 modal aerosol size-spectrum with two major aerosol modes, fine and coarse, and 244 calculate gas-aerosol equilibrium partitioning with EQSAM3 first for fine mode and then 245 for coarse mode. Additionally, to account for kinetic limitations, EMAC calculates the 246 phase partitioning in two stages. In the first stage, the amount of the gas-phase species 247 that is able to kinetically condense onto the aerosol phase within the model time step is 248 calculated, while in the second stage, the TEQM redistributes the mass between the two 249 phases assuming instant equilibrium (Pringle et al., 2010). 250 251 The TEQMs also differ in the chemical components considered. Specifically, the TEQMs 252 in CHASE, EMEP, GISS-MATRIX, GISS-OMA, GMI and INCA include only species 253 of sulfate, nitrate, ammonium and their gas, liquid, and solid components. The models 254 Oslo-CTM2 and Oslo-CTM3 add NaCl and HCl, while the model EMAC further expands the species by including dust-related crustal material such as Ca²⁺, K⁺, and Mg²⁺. 255 256 257 These TEQMs differ in their computational approaches as well. Computational efficiency 258 is a prime consideration for a TEQM that is designed for incorporation into a global air 259 quality and climate study. To speed up the calculation, TEQMs typically divide the 260 system into sub-domains based on RH and concentrations of ammonium, sodium, crustal 261 cations, and sulfate. Corresponding approximation could be adopted for each sub-domain 262 with the minimum numbers of equilibriums and unknown components. As listed in table 263 2, the numbers of sub-domains are 4, 5, 4, 2, 3, and 3 for the TEQM ISORROPIA-I, 264 ISORROPIA-II, MARS, RPMIRES, INCA, and EQSAM3, respectively. 265 266 The ways to account for the contribution of dust and sea salt to nitrate formation are also 267 different. Some models (EMAC, Oslo-CTM3, and Oslo-CTM2) include dust and/or sea 268 salt components in their TEQM models directly, while some models (EMEP, GISS-269 OMA, GMI, and INCA) use an approach of first order loss rate outside their TEQMs to 270 account for the heterogeneous reactions of HNO₃ on the surface of dust and sea salt. For

- 271 the latter approach, the gamma rates and their RH dependence adopted by the models
- 272 differ as well.
- 273

274 Dry and wet deposition of NH₃, ammonium nitrate, and ammonium sulfate are treated

- 275 similarly to other gas and aerosol tracers in the models. It is worth pointing out that there
- 276 is a different consideration for Henry's law constant of NH₃ used by the models. Some
- 277 models modify it based on the pH value of cloud water while others do not. We will
- 278 discuss the impact of these two treatments on nitrate simulation in section 5.1.

279

- 280 We introduce only the major characteristics of thermodynamic equilibrium models since
- this study aims for the evaluation and explanation of overall nitrate diversity among the
- 282 GCM/CTM models from all potential aspects. The detailed discussion of the models
- 283 chemical mechanism of gas phase reactions and the aerosol optical properties adopted by
- the models is also beyond this work. Readers could refer to the references listed in Tables
- 285 1 and 2 for any further details.
- 286

287 **3. Observations**

- 288 We use surface measurements from ground station networks and aircraft campaigns to
- evaluate modeled surface concentrations, dry and wet depositions, and vertical
- 290 distributions of nitrate and related species (Table 3).
- 291

292 **3.1 Surface measurements of concentrations and deposition rates**

- 293 Ambient concentrations of sulfur and nitrogen species throughout the US and Canada
- 294 have been measured by the ground station network CASTNET (Clean Air Status and
- 295 Trends Network) (Figure 1). The measurements use a 3-stage filter pack with a controlled
- flow rate. The measurements of CASTNET do not include NH₃. AMoN (Ammonia
- 297 Monitoring Network), measuring concentrations of ambient NH₃, has been deployed at
- 298 CASTNET sites starting from October 2007 using passive samplers. The corresponding
- 299 tracers' surface concentration measurements over Europe have been conducted by EMEP
- 300 (The European Monitoring and Evaluation Programme). The measured sites of all these
- 301 networks are located in rural areas or sensitive ecosystems, representing a larger region
- 302 by avoiding influences and contamination from local sources. Surface concentrations 303 over East Asia are inferred from the measurement of dry deposition by EANET (Acid
- over East Asia are inferred from the measurement of dry deposition by EANET (Acid
 Deposition Monitoring Network in East Asia). This network provides acid deposition
- 305 from a regional monitoring network including 13 countries in East Asia using
- 306 standardized monitoring methods and analytical techniques.
- 307
- 308 CASTNET also provides dry deposition of sulfate and nitrogen species. Direct
- 309 measurements of dry deposition fluxes (D) are expensive so D is calculated as the
- 310 measured pollutant concentration (C) multiplied by the modeled dry deposition velocity
- 311 (V_d). V_d is either estimated by the Multi-Layer Model fed with measured hourly
- 312 meteorological data or derived from historical average V_d for sites with discontinued
- 313 meteorological parameters.
- 314
- 315 Direct measurements of wet deposition fluxes of sulfate, nitrate, and other ions have also
- 316 been performed by NADP/NTN (the National Atmospheric Deposition Program /
- 317 National Trends Network) across the contiguous US, Canada, Alaska, and the US Virgin
- 318 Islands and EANET over East Asia. Sites are predominantly located away from urban
- areas and point sources of pollution. Each site has a precipitation chemistry collector and
- 320 gauge. Both networks can measure wet deposition for a continuous period (weekly for
- 321 NADP/NTN and daily for EANET), or every precipitation event if using an automated
- 322 collector (wet-only sampling).
- 323

- 324 Data is quality assured for all measurements. Measurements over North America use
- automated screening techniques, semi-annual calibration results, site operator comments,
- and manual data review. Quality assurance of EMEP is carried out on both the national
- 327 level and by the Chemical Co-ordinating Centre (CCC). The quality of EMEP
- 328 measurements is not equal at the national level (Schaap et al., 2002; 2004). Sites in
- 329 North, Western and Central Europe were generally well equipped and performing, while
- 330 sites in the rest of Europe suffered from inadequate sampling and calibrating methods due
- 331 to political and/or economical reasons. The quality of ammonia measurement is relatively
- 332 low since some laboratories experienced contamination problems (Williams et al., 1992).
- 333 Although EANET adopts standardized monitoring methods and analytical techniques,
- 334 quality assurance is carried out on the national level.

335

336 **3.2 Aircraft measurements of vertical profiles**

- 337 Aircraft campaign measurements during the 2008 Arctic Research of the Composition of
- the Troposphere from Aircraft and Satellites (ARCTAS) are used to evaluate tracer
- 339 vertical distribution simulated by the models (Bian et al., 2013; Jacob et al., 2010). Three
- 340 phases of the campaign, ranging from Northern Hemisphere mid-latitude industrial
- 341 region (ARCTAS-CARB, June 2008) to high latitude Arctic regions influenced by long-
- 342 rang pollution transport (ARCTAS-A, April 2008) and by local boreal biomass burning
- 343 (ARCTAS-B, July 2008), provide well encompassing environment observations. All
- 344 flights were conducted by the NASA DC-8 aircraft and the flight tracks of these three
- 345 phases are presented in Figure 2. An onboard HR-ToF-AMS instrument (Cubison et al.,
- 346 2011; DeCarlo et al, 2006) measured fine mode aerosol concentrations (PM1) along the
- fight track including NO_3^- , NH_4^+ , and SO_4^{2-} at STP conditions (1013mb and 273.15K) at a
- 348 sampling time interval of ~12 seconds. Accuracy estimate of 2-standard deviations, likely
- 349 conservative, is 34% for inorganics, dominated by the uncertainty in particle collection
- 350 efficiency due to particle bouncing (Huffman et al., 2005).
- 351

352 **4. Model intercomparison and evaluation**

353

4.1 AeroCom model inter-comparisons of global distributions and budgets

355 **4.1.1 NH₃ and NH₄**⁺

- 356 Six models use HTAP2 anthropogenic emissions, two (GISS-MATRIX and GISS-OMA)
- 357 use CMIP5 emissions, and one (INCA) uses ECLIPSE emissions. Table 4b shows that
- eight models have the annual NH_3 emission values within 5% of the value from the
- 359 AeroCom experiment recommended emission inventories, but INCA is 11% higher. The
- 360 similar emission distributions ensure that the examined inter-model diversities are truly
- 361 caused by the differences in physicochemical processes among the models. The
- 362 normalized root-mean-square deviation (NRMSD) of NH₃ global burden among models
- 363 is 1.17 and 0.33 with and without EMAC included. This drastic change in global burden
- 364 NRMSD by EMAC is caused by its special treatment of wet deposition. In fact, the
- 365 removal of trace gases and aerosol particles by clouds and precipitation in EMAC is not
- 366 calculated based on empirically determined, fixed scavenging coefficients, but rather by
- 367 solving a system of coupled ordinary differential equations, explicitly describing the
- 368 processes involved (Tost et al., 2006). This method resolves feedback mechanisms
- 369 between the multi-phase chemistry and transport processes involved. The liquid phase

- 370 reaction set used converts all the scavenged NH_3 (or HNO_3) into NH_4^+ (or NO_3^-) in the
- 371 | liquid phase so that at the end everything that is deposited is the total NH_4^+ and $NH_{3.}^-$
- 372
- 373 Atmospheric NH_4^+ is produced entirely by NH_3 chemical transformation. The models
- 374 simulate NH_4^+ much closer in chemical production (difference less than a factor of 2) than
- in lifetime (difference up to a factor of 5.2), indicating removing rates are a key factor in
- 376 controlling the global burden of NH₄⁺. For example, CHASER has a much longer lifetime
- of NH_4^+ (i.e. 9.8 days versus 4.3 days in average), which indicates a slow deposition
- removal of NH_4^+ from the atmosphere. Consequently, CHASER simulates a much higher
- atmospheric NH_4^+ burden than other models.
- 380

381 **4.1.2 HNO₃ and NO₃**

- 382 HNO₃, an important nitrate precursor, differs by up to a factor of 9 in its global
- tropospheric burden among the models (Table 4c). All models simulated HNO₃ based on
- a full gas phase O_3 -HO_x-NO_x chemistry and coupled it with aerosol chemistry. This
- 385 HNO_3 diversity will naturally be propagated into the NO_3^- simulation. However, further
- 386 discussion of the detailed consideration of full gas-aerosol chemistry for HNO₃ diversity
- among the models is beyond the scope of this study.
- 388
- 389 The resultant aerosol product (i.e., NO₃) does not entirely follow its precursor (i.e.,
- 390 HNO₃) in terms of global burden: EMEP has very low HNO₃ but high NO $_3^-$, two GISS
- 391 models (MATRIX and OMA) simulate high HNO₃ but low NO_3^- , while OsloCTM3 has
- an average HNO₃ but more than triple high NO_3^- than average (Tables 4a and 4c).
- Furthermore, the difference in NO_3^- global burden (up to a factor of 13) is larger than that of HNO₃. Differences in chemical mechanisms of NO_3^- production could be a potential
- 395 of HNO₃. Differences in chemical mechanisms of NO₃ production could be a potential explanation along with the difference in HNO₃ precursor. Unfortunately, only GMI and
- 396 INCA provide a detailed NO_3^- chemistry budget analysis. Nevertheless, we can infer that
- 397 the total chemical production of NO_3^- must be very low (~ 10Tg) in the two GISS models
- while very high (> 100 Tg) in OsloCTM2 and OsloCTM3 based on the reported total
- NO_3^{-1} loss. Combining this information with the HNO₃ global tropospheric burden (Table
- 400 4c), we can further infer that the chemical conversion from HNO_3 to NO_3^- must be lowest
- 401 in the two GISS models while highest in the two Oslo models. Several factors could
- 402 influence this conversion, such as the availability of alkaline species of mineral dust and
- 403 sea-salt particles and the physicochemical mechanism of nitrate formation on dust and
- 404 sea-salt, availability of NH_3 after combining with SO_4^{2-} , and the atmospheric
- 405 meteorological fields of temperature and relative humidity. More discussions are given in 406 sections 5.2 and 5.3.
- 407
- 408 Atmospheric lifetime of NO_3^- differs up to a factor of 4, from about 2 days in GMI and
- 409 OsloCTM2 to larger than 7 days in GISS-OMA and GISS-MATRIX. The slower removal
- 410 processes in the two GISS models compensate the low chemical production and help to
- 411 maintain their NO_3^- atmospheric burden (Figure 3 and Table 4a).
- 412

413 **4.2 Model-observation comparisons**



415 4.2.1 Comparisons of surface concentrations over North America, Europe, and East 416 Asia

417 Understanding diversity among model simulations and potential physiochemical

- 418 processes behind the difference is important but not sufficient. The information has to be
- 419 combined with the knowledge of model performance obtained directly from comparisons,
- 420 particularly down to processes level, against various measurements to gain a direction of
- 421 any improvement. Figures 4a-c show a model-observation comparison for surface
- 422 mass/volume mixing ratios of NO_3^- , NH_4^+ , NH_3 , HNO_3 , and SO_4^{2-} over North America 423 (CastNET), Europe (EMEP), and East Asia (EANET). Each point represents a monthly
- 423 (CastNET), Europe (EMEP), and East Asia (EANET). Each point represents a monthly 424 mean concentration at one observational site. Generally, the agreement between model
- 425 and observation is better for aerosol components than for gas tracers (i.e. the precursor
- 426 species NH_3 and HNO_3) over all three regions. All models underestimate NH_3 surface
- 427 volume mixing ratio with a ratio of model to observation down to 0.14, while most
- $\frac{12}{28}$ models overestimate surface HNO₃ volume mixing ratio with a ratio up to 3.9 over North
- 429 America. The worse performances of NH_3 against observations may be also associated to
- 430 their relatively lower measurement accuracy, i.e. easier to be contaminated during
- 431 measurement (Williams et al., 1992). Among aerosol simulations, model performance is
- 432 very similar for NH_4^+ and SO_4^{2-} , while slightly worse for NO_3^- that is dispersed further
- 433 away from the 1:1 line, particularly at low NO_3^- values. The NO_3^- simulation over East
- 434 Asia is worst with the average normalized root mean square to be 1.3 and 1.8 higher than
- that over North America and Europe, respectively.
- 436

437 4.2.2 Comparisons of vertical profiles with aircraft measurements during the 438 ARCTAS field campaign

- Evaluation of model performance presented in 4.2.1 for the surface concentrations in the
 source regions is highly dependent on the accuracy of the emission inventory. On the
 other hand, evaluation using aircraft measurements, particularly over remote regions,
- 442 provides further examination of models' physicochemical evolution during transport.
- 443 Here we use data from three phases of the ARCTAS aircraft campaign (section 3), and
- 444 the results are shown in Figure 5. All model results of NO_3^- , NH_4^+ , and SO_4^{2-} are sampled
- along flight track and averaged regionally within 1km vertically for each campaign phase
- before comparing with the corresponding aircraft measurements. Note that only EMAC,
- 447 EMEP and GMI report daily 3D global tracer concentrations, while the others report
- 448 monthly only. Note also that only EMEP and GMI adopt daily biomass burning emission
- 449 while the others use monthly emission. To verify the representativeness of monthly mean
- 450 concentration in capturing the main features exhibited in model-observation comparisons,
- 451 daily and monthly concentrations of the three models are used in the same spatial
- 452 sampling to compare with the measurements (see the green lines for daily and red for
- 453 monthly in the figure). The comparison keeps its main features as shown when using both
- 454 daily and monthly model data.
- 455
- 456 During ARCTAS-A, which was conducted in April 2008 and was based in Fairbanks,
- 457 Alaska, none of the models captures the long-range transport of aerosols primarily from
- 458 Asia, which enter Polar Regions at altitudes between 2-7 km (Fig. 3 in Bian et al., 2013).
- 459 Except CHASER and EMAC, all models also report a significant underestimation of
- 460 NH_4^+ and SO_4^{2-} in boundary layer. A previous assessment of pollution transport to the

- 461 Arctic indicated that aerosol wet removal plays an important role in the uncertainty of
- 462 Arctic aerosols (Shindell et al., 2008). Another potential reason is that some large fire
- 463 activities in Siberia during April 2008 (Jacob et al., 2010) may be missed in the GFED3
- 464 emission inventory. The underestimation of SO_4^{2-} may help bring up NO₃⁻ production, 465 particularly at high altitudes. During ARCTAS-CARB, which was conducted in June
- particularly at high altitudes. During ARCTAS-CARB, which was conducted in June2008 based in Palmdale, California, agreement between model and measurements is
- 467 much improved. Almost all models show a rapid vertical decease from surface to free
- 468 troposphere, which is consistent with the measurements of SO_4^{2-} and NH_4^+ , but not NO_3^- .
- 469 The observation shows a maximum of NO_3^- at about 1.5 km, which is not represented by
- 470 any of the models. During ARCTAS-B, which was conducted in July 2008 and was based
- 471 in Cold Lake, Canada, when there were frequent local wild fires, model performances are
- 472 mixed. In general, most models underestimate concentrations of NO_3^- , NH_4^+ and
- 473 SO_4^2 -below 4 km. CHASER model is special in that it overestimates SO_4^2 -significantly.
- This may be contributed to high (near surface) to comparable (free troposphere) model
- 475 simulation of NH_4^+ but an underestimation of NO_3^- . Different from other models, the
- 476 INCA model shows an enhancement of pollutants in the upper troposphere with
- 477 concentrations much higher (more than 5 times) than observations. This behavior may be
- 478 derived from a much vigorous vertical uplifting to the upper troposphere as revealed from
- 479 Fig. 3a-3b combined with a low NH₃ Henry's law constant used by INCA, see discussion
- 480 in section 5.2.
- 481

482 Note that all measurements and model data we discussed above are for fine mode

- 483 aerosols. Total NO_3^- (orange line using monthly model output) is also shown in the figure
- 484 to reveal whether a changing of partitioning of fine and coarse mode NO_3^- could improve
- the model-observation comparison. It seems that the new version of OsloCTM3 may put
- 486 too much of NO_3^- in coarse mode.
- 487

488 **4.3 Model-observation comparison for dry and wet deposition**

489

490 **4.3.1 Dry deposition**

491 The budget analyses in section 4.1 concluded that dry and/or wet depositions are most

- 492 likely the main processes driving the diversity in the model simulations. Thus, further
- 493 evaluation of deposition processes is needed to identify any potential problematic model.
- 494
- 495 The dry depositions of NO_3^- , NH_4^+ , HNO_3 , and SO_4^{2-} simulated by the models are
- 496 compared against CASTNET measurements over North America (Figure 6). Generally,
- the overestimation of surface HNO₃ concentrations (Figure 3a) results in the higher dry
- 498 depositions of HNO_3 , but this is not the case for NO_3^- . Meanwhile, most of the models
- give a better dry deposition simulation for aerosol SO_4^{2-} and NH_4^+ than for aerosol NO_3^- ,
- 500 except CHASER. Specifically, GISS-OMA and GISS-MATRIX have wide spread dry
- underestimate NO_3^- dry deposition significantly at many observational stations, which
- 503 does not occur in the other models. This low dry deposition simulation may occur outside
- North America as well because the global dry depositions of the two models are lower
- than others (Table 4a). OsloCTM2 overestimates NO_3^- dry deposition significantly, which
- 506 is probably linked to its larger coarse fraction of the nitrate aerosol (see discussion in

section 5.3). OsloCTM3 improved its dry deposition scheme although the model still

508 overestimates the dry deposition. We will discuss the OsloCTM2 NO₃⁻ simulation over

North America by combining the model's wet deposition in the next section. NH_4^+ dry

510 deposition is low in GMI but very high in CHASER. This performance is also extended

511 globally as summarized in Table 4b.

512513 **4.3.2 Wet deposition**

- 514 The wet deposition simulations from the nine models are compared with surface
- 515 measurement over North America (Figure 7a) and East Asia (Figure 7b) for oxidized
- 516 NO_3^- (i.e. total NO_3^- and HNO_3), total NH_4^+ and $NH_3(tNH_4^+)$, and SO_4^{2-} . All models tend
- to underestimate the wet deposition of tNH_4^+ and SO_4^{2-} over the two regions. Models
- 518 EMAC, GMI, OsloCTM2 and OsloCTM3 have relatively high wet removal for oxidized
- NO_3^- , while EMEP removes much less than others over North America. All models' wet
- 520 deposition of oxidized NO_3^- is biased low over East Asia. As we discussed above,
- 521 OsloCTM2 and OsloCTM3 have very high dry NO_3^- depositions (Figure 6) compared
- 522 with CASTNET observations. The overall high dry and wet NO_3^- depositions along with
- 523 high atmospheric concentrations (Figure 4a) indicate that the chemical formation of
- 524 NO_3^- in the two models must be also high. This performance might be also true on global
- scale since the inferred chemical productions of NO_3^- in the two models are the highest
- 526 (Table 4a). CHASER has the lowest tNH_4^+ wet deposition. This may result in a very high
- 527 NH_4^+ dry deposition (Figure 6) and concentration (Figures 4a-c, 5) compared with
- 528 observations and other models. Overall, wet deposition seems to be the dominant process
- 529 in determining the diversity in NH_3 and NH_4^+ lifetime (Table 4b).
- 530

531 Note that we use the traditional approach of comparing models' grid box mean values

- 532 with observations, which does not take into account the impact of the models' horizontal 533 resolutions in their representation of observations (Schutgens et al., 2016). Since majority
- resolutions in their representation of observations (Schutgens et al., 2016). Since majority
 models (except EMEP) have horizontal resolutions around 2-3 degrees, the models grid
- 534 models (except EMEP) have nonzontal resolutions around 2-3 degrees, the models grid 535 box means tend to smooth out extreme (i.e. very low or high) observations. Reflecting of
- 535 the scattering plots of model (y axis) and observation (x axis) is that the slopes of fitting
- 537 lines are generally less than 1 (Figures 4a d, 6, 7a b). Consequently, the slopes of the
- 538 fitting lines are generally less than 1 on the scattering plots with model as y-axis and

539 observation as x-axis (e.g. Figures 4a-d, 6, 7a-b).

540

541 **5. Discussion of major uncertainties in nitrate formation**

- 542 Large uncertainties of nitrate studies result from the complexity of the simulations which
- 543 must consider a comprehensive NOx-NMHC-O3-NH3 chemistry and a thermodynamic
- equilibrium model (TEQM) to partition semi-volatile ammonium nitrate between the gas
- and aerosol phases. Nitrate aerosol concentrations depend on temperature, relative
- humidity (RH), and concentrations of HNO₃, NH₃, NH₄, SO₄²⁻, Cl⁻, Na⁺, Ca²⁺, K⁺, 14^{-1}
- Mg^{2+} , organic acids, among others. A further complicating factor is that the equilibrium
- 548 for the coarse mode is somewhat questionable (Feng and Penner, 2007). In addition, wet
- 549 | removal of NH₃ is very sensitive to the correction of pH in cloud water. We will discuss
- some of these uncertainties below.
- 551

552 **5.1 pH-dependent NH**₃ wet deposition

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- 553 Gas tracer NH₃, a precursor of ammonium aerosol, experiences atmospheric wet
- 554 deposition and its deposition rate is typically calculated using Henry's Law. Henry's law
- 555 constant (H) of gases in water is usually given at 298 K (indicated by Θ in superscript)
- 556 and can be adjusted by temperature (T).

$$H(T) = H^{\Theta} * \exp\left(-\frac{\Delta H_{sol}}{R}\left(\frac{1}{T} - \frac{1}{T^{\Theta}}\right)\right)$$
(1)

557 Here ΔH_{sol} is the enthalpy of dissolution and R is the gas constant.

- 558
- 559 For some acidic/basic gases, including NH₃, Henry's law constant is also a function of
- 560 pH in cloud water (a.k.a effective Henry's law constant H^{Θ^*}). As explained in the
- 561 Appendix, the H^{Θ^*} is inferred from H^{Θ} with a correction of pH (pH = $-\log_{10}[H^+]$) as

$$H^{\Theta^*} = H^{\Theta} \frac{K_{al}[H^+]}{K_w}$$
(5)

Here, $K_{al} \approx 1.8 \times 10^{-5}$ and $K_w = 1.0 \times 10^{-14}$ at 298 K in pure water (see Appendix). However, 562 563 not every model accounts for pH adjustment (i.e. the reaction of equation 2 in Appendix) 564 for NH₃ dissolution. More accurately, the EMAC model implicitly calculates the 565 effective Henry's law constant by solving a set of partial differential equations, which 566 includes not only the gas-liquid phase equilibria, but also the reactions in the liquid phase 567 (i.e. dissociation or acid-base equilibria, Redox reactions and photolysis reactions in the 568 liquid phase, see Tost et al.(2006)). Therefore, the gas-liquid phase equilibrium is 569 explicitly calculated based on the chemical mechanism used in the liquid phase. As listed 570 in Table 5, the rest of the models are generally divided into two groups based on their 571 effective Henry's law constant: (1) INCA, GISS-OMA and GISS-MATRIX has $H^{\Theta^*} \leq$

100 (L-theta without pH correction) and (2) CHASER, GMI, OsloCTM2 and Oslo-572

- 573 CTM3 has $H^{\Theta^*} > 10^{+5}$ (H-theta with pH correction). The NH₃'s H^{Θ^*} adopted by the
- 574 models varies dramatically, up to an order of 6 in magnitude among all the models and a

575 factor of 10 just for the models in H-theta group (Table 5). The latter is corresponding to 576 a correction for a range of pH ranging from 4.5 (Oslo-CTM2) to 5.5 (CHASER).

577

578 To examine how sensitive of NH_3 , NH_4^+ and NO_3^- simulations in response to the

- magnitude of NH3's $H^{\Theta^{\ast}}\!,$ we performed a sensitivity experiment, named TWET, in the 579
- 580 GMI model in which there was no pH adjustment for NH₃ Henry's law constant (i.e.
- 581 H^{Θ^*} =61 instead of 1.05e+6, see table 6). The resultant annual budgets of dry/wet
- 582 deposition, chemistry production and loss, and atmospheric loading of NH₃,
- NH_4^+ and NO_3^- are summarized in Table 7, the tracers' vertical zonal mean distributions 583
- 584 are shown in Figure 8, and the comparisons with the ARCTAS measurements for
- 585 NH_4^+ and NO_3^- are shown in Figure 9. For convenient comparison, the GMI baseline
- 586 results are given in the table and figures as well. There is a dramatic decrease (from 17.5
- 587 to 1.1 Tg) in NH₃ wet deposition when using pure water NH₃ Henry's law constant.
- 588 Consequently, NH₃ will remain in the atmosphere (i.e. ~ 8 times more atmospheric NH₃)
- 589 to produce ~1.6 times more NH₄⁺ chemically. This, in turn, greatly increases atmospheric
- 590 NO_3^- to 0.97 Tg from 0.26 Tg reported in baseline simulation. A large portion of the
- 591 increased NH₃, NH₄⁺ and NO₃⁻ resides in the upper troposphere and close to the 592
- tropopause region, while the changes of the tracers in the lower troposphere are relatively 593
- small, as shown in Figure 8. These accumulations at high altitudes are far above (i.e. ~ 50 594
- times for NH_4^+ and NO_3^-) the ARCTAS observed tracer amounts as shown in Figure 9.

Formatted: Highlight Formatted: Highlight Formatted: Highlight 595 The TWET experiment might be an explanation of NH_4^+ and NO_3^- accumulations near the

tropopause region (Figure 3a-b) in the INCA model whose NH_3 Henry's law constant H^{Θ}

597 is 74 without pH correction (i.e. a L-theta model, table 5). However, it is puzzling that the

598 NH₃ simulations by GISS-MATRIX and GISS-OMA, those are the models with L-theta,

are closer to the simulations of the models with H-theta, i.e. no NH_4^+ and NO_3^-

600 accumulation near the tropopause and comparable removal of NH_4^+ (Figure 3a-b and 601 Table 4b).

602

603 **5.2 Contribution of dust and sea salt on nitrate formation**

604 In the presence of acidic accumulation-mode sulfuric acid containing aerosols, HNO₃,

605 NO₃ radicals, and N₂O₅ will deposit on larger alkaline mineral or salt particles (Dentener

et al., 1996; Gard et al., 1998; Hauglustaine 2014; Karydis et al., 2016; Murphy and

607 Thomson 1997; Paulot et al., 2016). Considerable evidence shows that the majority of

atmospheric nitrate is formed via reactions associated with dust and sea salt (Allen et al.

609 2015; Itahashi et al., 2016; Karydis et al., 2016). Coarse mode nitrate overwhelmingly

610 dominates over remote oceanic regions (Itahashi et al., 2016). Over wide land regions,

611 nitrate also quite often exists in the form of supermicron NO_3^- balanced by the presence

of mineral cations arising from transport of crustal dust and sea spray aerosol (Allen et

- 613 al.,2015; Lefer and Talbot; 2001).
- 614

615 Investigation of nitrate interactions with mineral dust and sea salt depends on the

616 simulation approach adopted in a model. The traditional equilibrium approach to partition

617 semi-volatile HNO₃ between the gas and aerosol phases is no longer possible since the

time to reach equilibrium on coarse mode particles (several hours to days) is typically

619 much longer than the chemical time step used in a global model (less than 1 hour) (John

et al., 1989; Myhre et al., 2006). Meng and Seinfeld (1996) found that on longer time

scales, when NH₃/HNO₃ started to condense on larger aerosols, their gas phase

622 concentrations decreased so that some of the condensed matter can be driven back to the 623 gas phase from the small semi-volatile aerosols. A fix to a non-equilibrium state would

623 gas phase from the small semi-volatile aerosols. A fix to a non-equilibrium state would 624 be to implement a kinetic formulation for the particles that have a long equilibrium time

625 scale (Feng and Penner, 2007; Karydis et al., 2010). However, implementing explicit

kinetics in a global model would be computationally expensive and, hence, is not feasible

627 for long-term climate simulations. Several approximations, therefore, have been

628 developed to compromise accuracy and efficiency.

629

630 Four such approximations are adopted by the nine models participating in this study: 1)

631 using equilibrium calculations for fine mode particles only while neglecting nitrate

632 formation on coarse mode particles (CHASER and GISS-MATRIX); 2) combining

equilibrium calculation for a solution of SO_4^{2-} - NO_3^{-} - NH_4^{+} - H_2O and heterogeneous

reaction calculation for nitrogen uptake on dust and sea-salt using a first-order loss rate

635 (EMEP, GMI, GISS-OMA and INCA); 3) running equilibrium model including NH₃,

dust and sea salt repeatedly for aerosol sizes from fine mode to coarse mode (Oslo-CTM2

and Oslo-CTM3); and 4) using only the fraction of the gas that can kinetically condensewithin the time step of the model in the equilibrium calculations for each aerosol size

639 mode (EMAC).

641 Nitrate is formed primarily on dust and sea salt by GMI (88%) and INCA (82%) (see

Table 4a). INCA further separates the formation as 45% on dust and 37% on sea-salt. The

above-mentioned approach 1 is problematic due to absence of coarse mode nitrate, an

- 644 important portion of nitrate, which results in relatively low nitrate burdens for CHASER
- and GISS-MATRIX. Unfortunately, the other models are missing a detailed nitrate
- chemistry budget report. A potential impact of dust and sea-salt on nitrate formation,
- 647 nevertheless, can be inferred from the approach adopted by a model. For example,
- 648 OsloCTM2 and OsloCTM3 adopt approach 3. Although the model allows fine mode
- particles to reach equilibrium first, the subsequent equilibrium calculation for coarse
- mode particles may still produce coarse mode nitrate too quickly, see discussion of the
- ratio of coarse model nitrate in the next subsection. To avoid such overestimations on the
- production of coarse mode nitrate, EMAC allows only a fraction of HNO₃ to partition in
- the aerosol phase by assuming diffusion limited condensation (Pringle et al., 2010).
- 654

To further understand the role of homogeneous and heterogeneous chemical reaction

- processes in nitrate formation, we conducted two more sensitivity experiments,
- 657 TnoCNH3 and TnoCHET, with the GMI model (Table 6). Experiment TnoCNH3 turned
- off chemical conversion of NH_3 to NH_4^+ in the GMI thermodynamic equilibrium model,
- while experiment TnoCHET excluded the nitrate formation via heterogeneous reaction of
- $\begin{array}{l} 660 \\ 661 \end{array}$ gas HNO₃ on the particles of dust and sea salt. The budget report, vertical zonal mean distribution and model-observation comparison of NH₃, NH₄⁺ and NO₃⁻ are given in Table
- 7 and Figures 8-9, respectively. It is not surprising that experiment TnoCNH3 gives a
- higher atmospheric NH₃ burden (0.32 Tg) compared with baseline (0.11 Tg) with little
- NH_4^+ left (from its initial field). The interesting thing is that the formed NO_3^- has only
- slightly decreased compared with baseline (from 0.26 to 0.20 Tg), confirming the
- 666 importance of NO_3^- formation via dust and sea salt. For experiment TnoCHET, the
- simulations of NH₃ and NH₄⁺ stay the same but the formed NO₃⁻ is decreased dramatically
- (from 0.26 to 0.10), indicating that NO_3^- formation via NH₃ chemistry alone in the GMI
- model is relatively small. The chemical production of NO_3^- is about 6 times larger in
- 670 TnoCNH3 (via dust and sea salt) than in TnoCHET (via NH₃). However, the NO₃
- 671 produced via NH₃ chemistry (TnoCHET) is non-negligible over remote regions impacted
- by long-range transport, as shown in the analysis of April Alaska observations in Figure
- 673 9. 674

675 5.3 Nitrate size distribution

- 676 Unlike sulfate aerosol, a noticeable fraction of nitrate aerosol is in the coarse mode.
- 677 Having an accurate aerosol size distribution is critical in climate forcing estimations,
- since large size particles have a relatively small optical cross section at a given aerosol
- 679 mass loading and the nitrate material coating on dust particles has almost no direct impact
- 680 on the dust optics, although the greatly impact dust lifetime (Bauer et al., 2007). Given
- that the deposition velocity of a coarse particle is greater than that of a fine particle, an
- 682 accurate size distribution is also necessary to estimate deposition of particulate nitrates
- 683 (Yeatman et al., 2001; Sadanaga et al., 2008). This estimation is particularly important
- over oceans where coarse mode nitrate dominates (Itahashi et al., 2016) and nitrogen
- supply is often in deficit (Hansell and Follows, 2008).
- 686

- 687 As we have discussed in section 5.2, nitrate size distribution varies with the approaches
- 688 adopted for nitrate formation on coarse mode aerosols (i.e. dust and sea salt). Figure 10
- 689 gives the burdens of nitrate in fine mode and coarse mode portions and the ratio between
- 690 coarse mode and total (f c) for the eight discussed models. The ratio is ranging from 0
- 691 (CHASER and GISS-OMA), ~50% (EMAC, GMI and INCA), ~80% (EMEP and 692
- OsloCTM2), and 97% (OsloCTM3). The two OsloCTMs give the highest f_c partially
- 693 because they run TEQM model for coarse model particles.
- 694

695 A wide range of f_c , from 0 to > 90%, has been reported previously by model simulations

- 696 (Adams et al., 2001; Bauer et al., 2007; Jacobson 2001), while the range is narrowed
- 697 down to 40-60% for the model studies using the approach that solves dynamic mass
- 698 transfer equation for coarse mode particles (Feng and Penner, 2007; Xu and Penner,
- 699 2012).
- 700 701
- It is worth pointing out that aerosol microphysics modify aerosol size as well. For 702 example, a process like coagulation would also allow NO_3^- to mix with other particles and
- 703 enter coarse mode aerosol. New particle formation/nucleation would add $NH_3/NH_4^+/NO_3^-$
- 704 into the ultra fine mode. Except EMAC and GISS-MATRIX, majority models involved in
- 705 this study are bulk aerosol models that do not account for aerosol microphysics.
- 706
- 707 It is challenging to verify the nitrate size distribution globally due to the limited
- 708 measurements on time and space. Measurements over regional and station sites indicated
- 709 that the ratio of f_c could be very high and vary seasonally over oceanic sites. For
- 710 example, annual mean f_c during 2002-2004 from the Fukue supersite observatory is
- 711 about 72% with a seasonal variation of 60-80% in winter and of around 80% in summer
- 712 (Itahashi et al., 2016).
- 713

714 However, the ratio could be varied dramatically over land or the areas affected by land

- 715 pollution. For example, observations of fine and coarse particulate nitrate at several rural
- 716 locations in the United States indicated that nitrate was predominantly in submicron 717
- ammonium nitrate particles during the Bondville and San Gorgonio (April) campaigns, in 718
- coarse mode nitrate particles at Grand Canyon (May) and Great Smoky Mountains
- 719 (July/August), and both fine and coarse mode nitrate during the studies at Brigantine and
- 720 San Gorgonio (July) (Lee et al., 2008). Allen et al. (2015) examined aerosol composition
- 721 data collected during the summer 2013 SOAS and concluded that inorganic nitrate in the 722
- southeastern United States likely exists in the form of supermicron NO_3^- , balanced by the 723 presence of mineral cations arising from the transport of crustal dust and sea spray
- 724 aerosol. The measurements over Harvard Forest, a rural site in central Massachusetts,
- 725 supported that the majority of nitrate mass was associated with water-soluble
- supermicron soil-derived Ca²⁺ in an acidic environment (Lefer and Talbot, 2001). 726
- 727 Measurements of coarse-mode aerosol nitrate and ammonium at two polluted coastal
- 728 sites, Weybourne, England and Mace Head, Ireland, during polluted flow when the air
- 729 had passed over strong source regions of the UK and northern Europe, showed 40–60%
- 730 of the nitrate was found in particles with diameter >1 μ m, but under clean marine
- 731 conditions almost 100% conversion was seen (Yeatman et al., 2001).
- 732

733 6. Conclusions

734

735 We present the AeroCom phase III nitrate study by assessing aerosol simulations of

nitrate and ammonium and their precursors with nine global models. Five of the models

couple the chemical calculation online with meteorological simulation, and four use

archived meteorological fields driving chemistry. To focus on chemical-physical

739 processes behind the diversity of nitrate simulation, all participating models are

encouraged to use HTAP2 emission inventory for aerosol and gas emissions from

anthropogenic, aircraft, and ship sources. The simulated aerosols of nitrate and
 ammonium and their precursors are compared among the models and evaluated against

various measurements including surface concentrations and dry/wet depositions from

surface measurements, and vertical distributions from aircraft measurements.

745

All models capture the main features of the distribution of nitrate and ammonium: large

surface and column amounts over China, South Asia, Europe, and U.S. These regions are

typically densely populated with large NH₃ and NO_x emissions. Many models also show

enhanced nitrate and ammonium over the Middle East and continents over the Southern

750 Hemisphere. The former undergoes huge dust pollution and the latter experiences fires

that emit both NH_3 and NO_x .

The diversity of nitrate and ammonium simulations among the models is large: the ratio of the maximum to minimum quantities among the nine models is 13.4 and 4.4 for model simulated global mass burdens of nitrate and ammonium, respectively, and 3.9 and 5.2 for the corresponding lifetimes. These values are also larger than those of sulfate: 4.0 for global burden and 3.0 for lifetime.

758

759The agreement between models and observations is better for aerosol components than760for gas tracers. All models underestimate NH_3 surface mass concentrations but most761models overestimate surface HNO3 concentrations over North America and East Asia.762Performance of NH_3 is the worst: this could partially be associated to its relatively lower763measurement accuracy, i.e. a loss of ammonia possibly on the filters designed to collect764 NH_3 (Williams et al., 1992). Among aerosol simulations, model performance based on765evaluation of surface mixing ratio and dry/wet depositions is very similar for NH_4^+ and

766 SO_4^{2-} , while slightly worse for NO_3^- . Models severely underestimate the aerosol

concentrations with only a few exceptions when compared with aircraft measurementsand this problem is worse over regions impacted by long-range transport than those

- closer to sources.
- 770

771 There are many intrinsic reasons for a larger diversity in nitrate simulations among 772 models. Nitrate is involved in much more complicated chemistry: the chemical 773 mechanism needs to handle a multiphase multicomponent solution system. The system 774 sometimes cannot even be solved using the thermodynamic equilibrium approach when 775 coarse mode dust and sea salt particles present. A reasonable nitrate simulation also 776 depends on good simulations of various precursors, such as NH₃, HNO₃, dust and sea 777 salt, although models account for impact of dust and sea salt very differently. Even an accurate simulation of SO_4^{2-} is a prerequisite because SO_4^{2-} surpasses NO_3^{-} at reacting 778

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779 with NH_4^+ .

780

781 The models' intercomparison and model-observation comparison revealed at least two 782 critical issues in nitrate simulation that demand further exploration: NH₃ wet deposition 783 and relative contribution to NO_3^- formation via NH₃ and dust/sea salt. The nine 784 participating models adopt very different effective Henry's law constants for NH₃, with 785 one group having a value equal or less than 100 (in pure water) and the other larger than 786 1.e+05 (with pH correction). Sensitivity studies using the GMI model indicated that 787 without pH correction, NH_3 wet deposition decreases massively (from 17.5 to 1.1 Tg), 788 which prolongs atmospheric NH₃ lifetime (from 0.67 to 5.2 days) and enhances its 789 atmospheric burden (from 0.11 to 0.85 Tg), and thus the atmospheric burden of NH₄ 790 (from 0.17 to 0.48 Tg) and NO_3^- (from 0.26 to 0.97 Tg) as well. These enhanced tracers 791 tend to accumulate in the upper troposphere and close to the tropopause, and are too high 792 when compared with aircraft measurements. Since liquid-phase reaction 2 in Appendix 793 can reach equilibrium quickly within a chemical time step, we recommend including it in 794 accounting for NH3 solution. Theoretically, a more accurate approach is to combine wet 795 removal with liquid-phase chemistry calculation. In other words, instead of using an 796 implicit calculation of effective Henry's law constant, the gas-liquid phase equilibrium is 797 explicitly calculated based on the chemical mechanism used in the liquid phase. The 798 solution of NH₃ is calculated by solving a set of partial differential equations, which 799 includes not only the gas-liquid phase equilibrium, but also all the important reactions in 800 the liquid phase, as adopted in EMAC model. 801

802 All the models use thermodynamic equilibrium to solve the chemical process of 803 NH_3/NH_4^+ to NO_3^- formation in fine model aerosols. However, the models adopt very 804 different ways in accounting for the contribution of these reactions on the surface of dust 805 and sea salt particles: some account for both dust and sea salt, some account for only dust 806 or only sea salt, and two models even do not account for any heterogeneous reactions. 807 The methodologies that take dust and sea salt into account are also very different, i.e. 808 together with NH⁴ using thermodynamic equilibrium model or simply adopting a first 809 order loss rate on dust and sea salt surfaces. The chemical budget reported by GMI and 810 INCA indicates that the majority (>80%) of global NO_3^- formation is via reaction on dust 811 and sea salt. Two sensitivity experiments using the GMI model by tagging the NO_3^- 812 formation from either NH₃/NH⁺₄ chemistry or heterogeneous reactions on dust and sea 813 salt confirm the critical importance of the latter process, and indicate that the former 814 process is relatively important in remote regions. The importance of NO_3^- formation on 815 dust and sea salt lies also in its determination on nitrate particle size distribution, so that 816 has an implication in air quality and climate studies as well. 817 818 Our work presents a first effort to assess nitrate simulation from chemical and physical 819 processes. A companion study is proposed by AeroCom III nitrate activity to investigate

how sensitive is nitrate formation in response to the possible future changes of emission
 and meteorological fields. These perturbation fields include increasing NH₃ emission,

and meteorological fields. These perturbation fields include increasing NH₃ emission,
 decreasing NOx, SOx and dust emissions, and increasing atmospheric temperature and

decreasing NOx, SOx and dust emissions, and increasing atmospheric temperature and
 relative humidity. It would be particularly interesting to examine how aerosol pH changes

223 relative numberly. It would be particularly interesting to examine how aerosor pricinger

824 and its influence on atmospheric acid/base gas-particle system during the experiment.

825 Future aerosol pH does not necessarily increase with SO2 emission reduction. Indeed,

- 826 studies over US southeast indicated that its aerosol has been getting more acidic over the
- 827 past decade although SO₂ emission decreased and NH₃ emission staved constant [Silvern 828 et al., 2017; Weber et al., 2016]. This environment of high aerosol acidity hinders the
- 829 formation of nitrate aerosol, which only occurs when pH is over ~2 to 3 [Weber et al.,
- 830 2016]. In addition, understanding why and how the system is insensitive to changing SO₂
- 831 level due to buffering of the partitioning of semivolatile NH₃ over regions such as US
- 832 southeast helps us to gain some insight into how errors in sulfate (and ammonium) may
- 833 propagate to errors in aerosol nitrate. In particular, the correlation between model
- 834 predictions and observations for SO_4^{2-} and NH_4^+ is quite poor for some models (Figure 4).
- 835 It would be also interesting to include organic gas/aerosol into the system since they are
- 836 not only important atmospheric components, but also reduce the uptake of NH₃.
- 837 Competition for uptake between NH₃ and organic gases considerably slows down the
- 838 approach to thermodynamic equilibrium [Silvern et al., 2017]. Based on the findings of
- 839 this work, modelers should pay particular attention to incorporating dust and sea salt and
- 840 treating NH₃ wet deposition to improve nitrate simulation. Further evaluation using
- 841 satellite measurements, such as NH₃ products from IASI and TES, is desired and will be
- 842 conducted. Such evaluation requires global 3-dimensional high frequency model data.
- 843 Potential future study also includes estimation of nitrate forcing for climate change.
- 844

845 Appendix

- 846 For some acidic/basic gases, including NH₃, Henry's law constant is also a function of
- 847 pH in water (a.k.a effective Henry's law constant). This is because not only does the
- 848 aqueous chemistry reaction $NH_3 + H_2O$ (equation 1) reach equilibrium within a chemical 849 time step but its product NH₃•H₂O (equation 2) does as well.

$$NH_3 + H_20 \Leftrightarrow NH_3 \cdot H_20 \tag{1}$$

$$NH_2 \cdot H_20 \Leftrightarrow NH_4^+ + 0H^- \tag{2}$$

Here, NH_4^+ is the ammonium ion and OH^- is the hydroxide ion. The total dissolved 850

851 ammonia $[NH_3^T]$ is given by

$$[NH_3^T] = [NH_3 \cdot H_2 O] + [NH_4^+]$$

= $p_{NH3} H^{\Theta} \left(1 + \frac{K_{al}[H^+]}{K_w} \right)$
 $\approx p_{NH3} \left(H^{\Theta} \frac{K_{al}[H^+]}{K_w} \right)$ (3)

- Here, p_{NH3} is the partial pressure of NH₃, $K_{al} = [NH_4^+][OH^-] / [NH_3 \bullet H_2O] \approx 1.8 \times 10^{-5}$, and $K_w = 1.0 \times 10^{-14}$ at 298 K in pure water. So the effective Henry's law constant H^{Θ^*} is 852
- 853
- inferred from H^{Θ} with a correction of pH (pH = $-\log_{10}[H^+]$) as 854

$$H^{\Theta^*} = H^{\Theta} \frac{K_{al}[H^+]}{K_w} \tag{4}$$

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1235	mode	-	1					1		
1	Model	CHEM-EQM	HNO3 chem mechanism	CHEM	CHEM	How do CHEMDUSS	Bins for nitrate	Model Name & resolution	References	-
I	0114000	ICODDCD' I		DUST	SEASALT					Fo
	CHASER	ISORROPIA-I	CHASER (Sudo et al., 2002)	No	No		Fine mode	MIROC, GCM, 2.8°x2.8°x64	Watanabe et al., 2011	

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ISORROPIA-II

First order

4 bins: Nucleation, Aitken, Accumulation, Coarse

Fine and

ECHAM5, GCM, 2.8°x2.8°x31

ECMWF-IFS,

Karydis et al., 2016

Simpson et

Yes

Yes

MESSy2 (Jöckel et al., 2010)

EMEP EmChem09

ISORROPIA-II (Stable state^a)

MARS

EMAC

EMEP

Yes

Yes

		(Simpson et al., 2012)			loss	coarse	CTM, 0.5x0.5°x20	al., 2012
GMI	RPMARES (Stable state)	GMI (Straham et al., 2007)	Yes	Yes	first order loss	3 bins <u>:</u> (D<0.1, 0.1 – 2.5, > 2.5 um)	MERRA2, CTM, 2.5°x2°x72	Bian et al., 2009
INCA	INCA (Stable state)	INCA tropospheric chemistry (Hauglustaine et al., 2004)	Yes	Yes	first order loss	2 bins : (D< 1μm and 1 - 10μm)	LMD-v4, GCM, 1.9°x3.75°x39	Hauglustain e et al., 2014
GISS MATRIX	ISORROPIA-II (Stable state)	MATRIX Bauer (2008) and tropospheric chemistry (Shindell et al., 2003)	No	No	NO	Distributed over all mixing states e.g. size distributions.	NASA GISS- E2, GCM, 2°x2.5°x40	Schmidt et al 2014
GISS OMA	EQSAM_v03d (Metastable ^b)	OMA (Bauer 2007) and tropospheric chemistry (Shindell et al., 2003)	Yes	No	Bauer and Koch, 2005	Fine mode	NASA GISS- E2, GCM, 2°x2.5°x40	Schmidt et al 2014
Oslo CTM2	EQSAM_v03d (Metastable)	Oslo CTM2 (Berntsen and Isaksen, 1997)	No	Yes	EQSAM_v03d	2 bins: fine and coarse mode	ECMWF, CTM, 2.8°x2.8°x60	Myhre et al., 2006
Oslo CTM3	EQSAM_v03d (Metastable)	Oslo CTM2 (Berntsen and Isaksen, 1997)	No	Yes	EQSAM_v03d	2 bins: fine and coarse mode	ECMWF, CTM, 2.25°x2.25°x6 0	Myhre et al., 2006

1236

^aStable state: where salts precipitate once the aqueous phase becomes saturated 1237

^bMetastable: where the aerosol is composed only of a supersaturated aqueous phase <u>CHEMDUSS: Chemistry reaction on dust and sea salt particles</u>

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1238 1239

Table 2. Characteristics of thermodynamic equilibrium models 1240

	ISORROPIA-I	ISORROPIA-II	MARS	RPMARES	INCA	EQSAM_v03d
Species	Sulfate, nitrate, ammonium, sodium, chloride	Sulfate, nitrate, ammonium, sodium, chloride, crustal species	Sulfate, nitrate, ammonium	Sulfate, nitrate, ammonium	Sulfate, nitrate, ammonium	Sulfate, nitrate, ammonium, sodium, chloride
# of components	23	34	16	11	9	18
# of reactions	15	27	7	6	4	25
Multicomponent activity coefficient	Bromley	Bromley	Bromley	Bromley	Seinfeld and Pandis	Metzger
Binary activity coefficient	Kusik and Meissner	Kusik and Meissner	Pitzer	Pitzer	Seinfeld and Pandis	Metzger
Water activity	ZSR ^a	ZSR	ZSR	ZSR		ZSR
Kelvin effect	No	No	No	No	No	No
Quantities that determine subdomains	[Na ⁺], [NH ⁺ ₄], [SO ^{2–}]	[Ca ²⁺], [K ⁺], [Mg ²⁺], [Na ⁺], [NH ₄ ⁺], [SO ₄ ²⁻]	RH, [NH ₄ ⁺], [SO ₄ ²⁻]	[NH ₄ ⁺], [SO ₄ ^{2–}]	[NH ₄ ⁺], [SO ₄ ^{2–}]	[NH ₄ ⁺], [SO ₄ ²⁻]
# of subdomains	4	5	4	2	3	3

1241 ^aZSR: Zdanovskii-Stokes-Robinson

1242

1243 Table3. Summary of the observational data used in this study

	SURFACE	QUANTITY	COVER	# of sites	SAMPLE	SOURCE
	NETWORK		AREA	in 2008	FREQUENCE	
	CASTNET	Concentration of HNO ₃ ,	North	83	weekly	www.epa.gov/castnet/
		NO_3^- , NH_4^+ , SO_4^{2-}	America			clearsession.do
		Dry deposition of them				
1	AMoN	Concentration of NH ₃	U.S.	19	2-weekly	http://nadp.isws.illino
						is.edu/

NADP/NTN	Wet deposition of $HNO_3+NO_3^-$, NH_4^+ , SO_4^{2-}	U.S.	253	weekly	nadp.isws.illinois.edu
EMEP	Concentration of HNO_3 , NH_3 , NO_3^- , NH_4^+ , SO_4^{2-}	Europe	35	daily	http://www.nilu.no/pr ojects/ccc/index.html
EANET	Concentration of HNO_3 , NH_3 , NO_3^- , NH_4^+ , SO_4^{2-}	East Asia	56	Daily to 2-weekly	http://www.eanet.asia /eanet/brief.html
	Wet deposition of HNO ₃ +NO ₃ , NH ⁺ ₄ , SO ²⁻ ₄			24 hours or precipitation event	
AIRCRAFT CAMPAIGNS	QUANTITY	COVER AREA	# of Flights	CAMPAIGN PERIOD	SOURCE
ARCTAS-A	Concentration of NO_3^- ,	Alaska, U.S.	11	March-April	http://www-
ARCTAS- CARB	NH ₄ ⁺ , SO ₄ ²⁻	California Bay area U.S.	6	June	air.larc.nasa.gov/cgi- bin/arcstat-c
ARCTAS-B		Central Canada	7	July	

1244

1245

1246 **Table 4a. NO₃ global budget for each model**

Tracer	Model	Burden (Tg)	SConc (µg kg ⁻¹)	DDep (Tg a ^{.1})	WDep (Tg a ^{.1})	ChemDUSS ^a (Tg a ^{.1})	ChemP ^a (Tg a ^{.1})	Lifetime (days)	AOD ^b
NO_3^-	CHASER	0.16	0.18	-	-	-	-	-	0.0076
	EMAC	0.67	0.47	46.3	-	-		-	-
	EMEP	0.96	0.30	15.0	62.7	(71.7	')c	4.5	0.0073
	GISS- MATRIX	0.22	0.06	1.3	9.6	(10.9	9)	7.4	-
	GISS- OMA	0.14	0.05	1.1	5.5	(6.6)	7.8	0.0153
	GMI	0.26	0.22	14.9	31.5	41.9	4.8	2.1	0.0047
	INCA	0.79	0.17	4.5	44.6	44.1	9.8	5.9	0.0064
	Oslo- CTM2	0.60	0.25	47.8	61.5	(109.	3)	2.0	0.0018
	Oslo- CTM3	1.88	0.36	34.6	90.6	(125.	2)	5.5	-
	Avg	0.63	0.23	20.7	45.9	60.6	5	5.0	0.0072
	Med	0.60	0.22	15.0	44.6	46.7	7	5.5	0.0064
	Ratio ^d	13.4	9.4	43.5	16.5	19.0)	3.9	8.5

1247 a: ChemDUSS and ChemP refers to NO₃ chemical production associated with

1248 dust/sea salt and NH₃/NH₄⁺, respectively

1249 b: AOD here includes NH_4^+ that is associated to NO_3^- for all models expect EMEP

1250 c: value inside parenthesis is estimated total NO_3^- chemical production based on its

1251 total loss, while budget without parenthesis is reported directly by model.

1252 d: a ratio between maximum to minimum model simulations

1254 1255

5 Table 4b NH₃ and NH₄⁺ global budget for each model

Tracer	Model	Emi	Burden	SConc	DDep	WDep	ChemP/L	Lifetime	AOD
		(Tg a ^{.1})	(Tg)	(µg kg [.] 1)	(Tg a ⁻¹)	(Tg a ^{.1})	(Tg a ⁻¹)	(days)	
NH_4^+	CHASER		0.75	0.44	20.9	7.2	(28.1) ^{ba}	9.8	-
	EMAC		0.19	0.12	3.6	44.5 ¢	-	-	-
	EMEP		0.20	0.15	4.0	26.4	(30.4)	2.4	0.0059
	GISS-		0.31	0.18	4.1	27.9	(32.0)	3.5	-
	MATRIX								
	GISS-OMA		0.31	0.19	4.2	24.0	(28.2)	4.0	-
	GMI		0.17	0.14	1.7	30.6	32.2	1.9	-
	INCA		0.39	0.08	2.4	20.4	22.9	6.3	-

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¹²⁵³

	Oslo-CTM2		0.29	0.14	5.3	32.6	(37.9)	2.8 -
	Oslo-CTM3		0.30	0.16	5.6	26.1	(31.7)	3.5 -
	Avg		0.32	0.18	5.8	24.4 ^{de}	30.4	4.3
	Med		0.30	0.15	4.1	26.3 de	31.1	3.5
	Ratio		4.4	5.5	12.3	4.5°	1.7	5.2
NH3	CHASER	62.8	0.13	0.46	19.8	6.8	(36.2) b a	0.76
	EMAC	59.3	0.85	1.39	15.5	-	-	-
	EMEP	56.9	0.09	0.46	15.4	18.2	(33.6)	0.98
	GISS- MATRIX	63.4 <u>e</u> d	0.17	0.26	18.1	13.4	(31.9)	0.98
	GISS-OMA	63.4 <mark>e</mark> d	0.17	0.25	18.4	16.7	(28.3)	0.98
	GMI	60.4	0.11	0.40	12.6	17.5	30.4	0.67
	INCA	70.5 <mark>ed</mark>	0.12	0.39	29.3	18.6	22.4	0.62
	Oslo-CTM2	65.9	0.08	0.27	15.8	8.1	(42.0)	0.44
	Oslo-CTM3	63.3	0.05	0.51	23.7	7.7	(31.9)	0.29
	Avg	62.9	0.20	0.49	18.7	13.4	32.1	0.72
	Med	63.3	0.12	0.40	18.1	15.1	31.9	0.72
	Ratio	1.2	17.0	5.6	2.3	2.7	1.9	3.4

1256 <u>aChemP/L: chemical production or loss term</u>

1257 be chemical budgets inside parenthesis are inferred based on the reported emission

1258 and total deposition

- 1259 C^b EMAC gives total wet deposition of NH₄⁺ and NH₃
- 1260 de Statistic values of NH⁺₄ wet deposition do not include EMAC
- 1261 ged INCA uses ECLIPSE anthropogenic emissions, two GISS models use CMIP5
- 1262 anthropogenic emission, and all other models use HTAPv2 anthropogenic emissions

1263

1264Table 4c. HNO3_global budget for each model

1	e 40. Model	-	0				ChaCDa	CheALd	ChaCla	Lifeting -	-	
Tracer	Model	Burden ^a (Tg)	SConc (µg kg ⁻¹)	DDep (Tg a ^{.1})	WDep (Tg a⁻¹)	CheAP (Tg a ⁻¹)	CheGP (Tg a ⁻¹)	CheAL (Tg a ⁻¹)	CheGL (Tg a ⁻¹)	Lifetime (days)		Formatted: Superscript
HNO ₃	CHASER	1.1	0.29	74.0 ^{[a}	120.9 th	-	-	-	-	-	//	Formatted: Superscript
	EMAC	3.1	0.32	56.1	136.0	-	-	-	-	-	_//	Formatted: Superscript
	EMEP	0.66	0.04	39.2	123.9	-	-	-	-	-	_ \	· · · · ·
	GISS- MATRIX	5.7	0.12	61.7	167.5	-	-	-	-	-		Formatted: Superscript
	GISS- OMA	5.3	0.10	49.8	148.2	-	-	-	-	-	_	
	GMI	1.8	0.18	39.7	128.1	128.1	413	42.6	299	3.5	_	
	INCA	1.5	0.09	47.7	77.5	21	369	10.0	210	5.7	_	
	Oslo- CTM2	1.3	0.05	36.1	66.0							
	Oslo- CTM3	2.3	0.04	36.0	49.3	-	-	-	-	-	_	
	Avg	2.5	0.14	45.8 <mark>g</mark> b	108.7 <mark>s</mark>							
	Med	1.8	0.10	43.7 ^{gb}	123.9 <mark>s</mark>							
	Ratio	8.6	8.0	1.6 ^b	3.4 ^b							
aHNO)3 burden	for the	atmospl	here up	to 100 h	Pa					_	
<u>bChe</u>	<u>AP: chemi</u>	istry pro	oduction	from ae	rosol ph	<u>lase</u>					/	Formatted: Superscript
A	<u>GP: chemi</u>											Formatted: Superscript
dChe.	<u>AL: chemi</u>	<u>stry los</u>		<u>erosol p</u>	<u>hase</u>							Formatted: Superscript

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Subscript

1269 <u>•CheGL: chemistry loss from gas phase</u>

1270 $fbfor both HNO_3 and NO_3^-$

1271 cstatistical values do not include CHASER and EMAC that report total dry or wet

1272 deposition of HNO₃ and NO₃⁻

1273

1274 **Table 4d. SO₄²⁻_global budget for each model**

ac	Model	Emi SO2 (Tg a-1)	Emi SO4 (Tg a-1)	Burden (Tg)	SConc (µg kg [.] ¹)	DDep (Tg a-1)	WDep (Tg a·1)	Chem GP <mark>a</mark> (Tg a-1)	Chem AqP ^b (Tg a ⁻¹)	Lifetime (days)	AOD		Formatted: Superscript
$)_{4}^{2-}$	CHASER	111	0	3.3	1.44	22.1	137	(1	59)	7.6	0.0826		Formatted: Superscript
	EMAC	138	619 <mark>ca</mark>	1.9	1.72	504 d	302	(1	87)	0.86	-	•	
	EMEP	109	0	0.83	0.45	10.2	109	(1	19)	2.5	0.0232		
	GISS- MATRIX	133	5.1	1.3	0.63	16.6	97	(1	09)	4.2	-		
	GISS- OMA	133	5.1	1.1	0.53	11.8	112	52.7	66.2	3.3	0.0714		
	GMI	111	0	1.1	0.58	7.5	205	126.5	86.1	3.6	0.0257		
	INCA	116.	8.0	1.8	0.34	8.4	116	42.2	75.1	5.3	0.0417		
	Oslo- CTM2	133	4.1	2.0	0.49	17.6	184	(1	98)	3.6	0.0366		
	Oslo- CTM3	133	4.1	2.7	0.55	20.2	160	(1	76)	5.5			
	Avgee	122		1.8	0.63	14.3	140	1	51	4.5	0.0469		
	Med ^{ee}	133		1.6	0.54	14.2	127	1	39	3.9	0.0392		
	Ratio ^{ee}	1.2		4.0	4.2	2.9	2.1	2	.0	3.0	3.6		

1275 <u>a ChemGP: Chemistry production from gas phase reaction</u>

1276 <u>b ChemAqP: Chemistry production from aqueous phase reaction</u>

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1277 EMAC emission also includes sea spray SO_4^{2-}

- 1278 4^{b} EMAC dry deposition includes sedimentation and SO₄²⁻ sedimentation is very high
- 1279 since it has assumed that 7.7% of sea salt is SO_4^{2-}
- 1280 de Statistical values do not include EMAC
- 1281

1282 **Table 5: Effective Henry Law constant used in the models**

Aerocom Model	H ^{O*} (M/atm)	$-\Delta H_{sol}/R$ (K)
CHASER	3.0e+5	3400
EMAC ^a	-	-
EMEP ^b	-	-
GIS MATRIX	1.e+2	3415
GISS OMA	1.e+2	3415
GMI	1.05e6	4200
INCA	7.4e+1	3400
Oslo-CTM2	3.3e+6	0
Oslo-CTM3	3.3e+6	0

^aEMAC: See its wet deposition description in section 4.1.1.

¹²⁸⁴ ^bEMEP: The model does not use Henry law but applies simple empirical scavenging

1285 ratio, which for NH₃ is 1.4e6 for in-cloud and 0.5e6 for below-cloud scavenging. The

1286 scavenging ratio by definition is the ratio the concentration of a certain pollutant in

1287 precipitation divided by the concentration of the pollutant in air.

1288

1289

1290

Table 6. Baseline and three sensitivity experiments in the GMI model

Experiment	Setup	Purpose		
BASE	Standard simulation as described in section 2.1	Baseline simulation		
TWET	Set NH ₃ effective Henry law constant from	Review impact of NH ₃ wet		
	1.05e+6 (pH= 5.0) to 62 (pure water)	deposition		
TnoNH3	Turn off NO ₃ production from NH ₃ /NH ₄ ⁺	Identify how large/where the NO_3^-		
		formation from NH ₃ /NH ₄ ⁺		
TnoHET	Turn off NO_3^- production from dust and sea salt	Identify how large/where the NO_3^-		
		formation from dust and sea salt		

Table 7: NO₃⁻, NH₄⁺, NH₃ and HNO₃ budgets from the base simulation and three sensitivity experiments

ו	Selisi	sensitivity experiments										
	Tracer	Exps	Burden (Tg)	SConc (µg kg ^{.1})	DDep (Tg a [.] 1)	WDep (Tg a∙1)	ChemDUSS (Tg a ^{.1})	ChemP(Tg a∙1)	Lifetime (days)			
	NO_3^-	BASE	0.26	0.22	14.9	31.5	41.9	4.8	2.1			
		Twet	0.97	0.23	14.8	43.3	41.0	18.3	6.0			
		TnoNH3	0.20	0.17	14.7	27.5	42.3	0	1.7			
		TnoHET	0.099	0.065	0.61	6.70	0	7.1	5.0			
5												
I	Tracer	Model	Emi (Tg a∙1)	Burden (Tg)	SConc (µg kg·1)	DDep (Tg a·1)	WDep (Tg a∙1)	ChemP/L (Tg a ^{.1})	Lifetime (days)			
	NH_4^+	BASE		0.17	0.14	1.7	30.6	32.2	1.9			
		Twet		0.48	0.16	1.9	50.7	53.0	3.4			
		TnoNH3		-	-	-	-	-	-			
		TnoHET		0.17	0.14	1.6	30.6	32.2	1.9			
	NH3	BASE	60.4	0.11	0.40	12.6	17.5	30.4	0.67			
		Twet		0.85	0.81	8.70	1.1	50.1	5.2			
		TnoNH3		0.32	0.58	20.9	39.3	0	1.9			
		TnoHET		0.10	0.40	12.6	17.4	30.4	1.2			

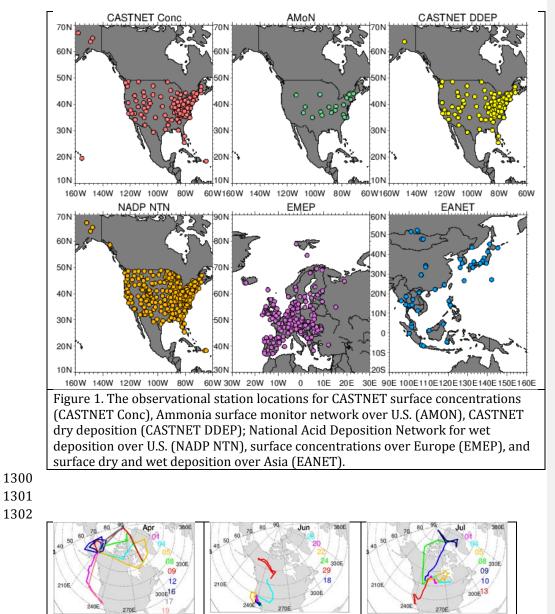


Figure 2. Flight-tracks of ARTCTA-A (left), ARCTAS-CARB (middle), and ARCTAS-B (right). The colors represent observations during different days.