1 2	Investigation of global particulate nitrate from the AeroCom Phase III experiment
3 4 5 6	Huisheng Bian <sup>1,2</sup> , Mian Chin <sup>2</sup> , Didier A. Hauglustaine <sup>3</sup> , Michael Schulz <sup>4</sup> , Gunnar Myhre <sup>5,6</sup> , Susanne E. Bauer <sup>7,8</sup> , Marianne T. Lund <sup>6</sup> , Vlassis A. Karydis <sup>9</sup> , Tom L. Kucsera <sup>10</sup> , Xiaohua Pan <sup>11</sup> , Andrea Pozzer <sup>9</sup> , Ragnhild B. Skeie <sup>6</sup> , Stephen D. Steenrod <sup>10</sup> , Kengo Sudo <sup>12</sup> , Kostas Tsigaridis <sup>7,8</sup> , Alexandra P. Tsimpidi <sup>9</sup> , and Svetlana G. Tsyro <sup>4</sup>
7 8 9 10 11 12 13 14 15 16 17 18 19 20	<ul> <li><sup>1</sup> Joint Center for Environmental Technology UMBC, Baltimore, MD, USA</li> <li><sup>2</sup> Laboratory for Atmospheres, NASA Goddard Space Flight Center, Greenbelt, MD, USA</li> <li><sup>3</sup> Laboratoire des Sciences du Climat et de l'Environnement (LSCE), UMR8212, CEA-CNRS-UVSQ, Gifsur-Yvette, France</li> <li><sup>4</sup> Norwegian Meteorological Institute, Blindern, Norway</li> <li><sup>5</sup> Department of Geosciences, University of Oslo, Oslo, Norway</li> <li><sup>6</sup> Center for International Climate and Environmental Research-Oslo, Oslo, Norway</li> <li><sup>7</sup> The Earth Institute, Center for Climate Systems Research, Columbia University, New York, USA</li> <li><sup>8</sup> NASA Goddard Institute for Space Studies, New York, USA</li> <li><sup>9</sup> Max Planck Institute for Chemistry, 55128 Mainz, Germany</li> <li><sup>10</sup> Universities Space Research Association, GESTAR, Columbia, MD, USA</li> <li><sup>11</sup> School of Computer, Mathematical and Natural Sciences, Morgan State University, Baltimore, MD, USA</li> <li><sup>12</sup> Center for Climate System Research, University of Tokyo, Tokyo, Japan.</li> </ul>
21 22 23	Abstract
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47	An assessment of global particulate nitrate and ammonium aerosol based on simulations from nine models participating in the AeroCom Phase III study is presented. A budget analyses was conducted to understand the typical magnitude, distribution, and diversity of the aerosols and their precursors among the models. To gain confidence on model performance, the model results were evaluated with various observations globally, including ground station measurements over North America, Europe, and East Asia for tracer concentrations and dry and wet depositions, as well as with aircraft measurements in the Northern Hemisphere mid-high latitudes for tracer vertical distributions. Given the unique chemical and physical features of the nitrate occurrence, we further investigated the similarity and differentiation among the models by examining: 1) the pH-dependent NH <sub>3</sub> wet deposition; 2) the nitrate formation via heterogeneous chemistry on the surface of dust and sea-salt particles or thermodynamic equilibrium calculation including dust and sea salt ions; and 3) the nitrate coarse mode fraction (i.e., coarse/total). It is found that HNO <sub>3</sub> , which is simulated explicitly based on full O <sub>3</sub> -HO <sub>x</sub> -NO <sub>x</sub> -aerosol chemistry by all models, differs by up to a factor of 9 among the models in the Jaud NH <sub>4</sub> differ by 17 and 4, respectively. Analyses at the process level show that the large diversity in atmospheric burdens of NO <sub>3</sub> <sup>-</sup> , NH <sub>3</sub> , and NH <sub>4</sub> is also related to deposition processes. Wet deposition seems to be the dominant process in determining the diversity in NH <sub>3</sub> and NH <sub>4</sub> <sup>+</sup> lifetimes. It is critical to correctly account for contributions of heterogeneous chemical production of nitrate aerosol.
48	1. Introduction

49 Atmospheric aerosols adversely affect human health and play an important role in 50 changing the Earth's climate. A series of multimodel studies have been coordinated by 51 the international activity of Aerosol Comparisons between Observations and Models 52 (AeroCom) in its Phase I and II model experiments that have systematically assessed the 53 presence and influence of almost all major atmospheric anthropogenic and natural 54 aerosols (such as sulfate, dust, and carbonaceous aerosols) (e.g., Kinne et al., 2006; 55 Schulz et al., 2006; Textor et al., 2006; Koch et al., 2009; Huneeus et al., 2011; Tsigaridis 56 et al., 2014; Kim et al., 2015). Very little attention has been drawn to nitrate aerosol 57 (hereafter "nitrate" referring to particulate nitrate unless otherwise specified) other than 58 its contribution to radiative forcing (Myhre et al., 2013). One obvious reason is that not 59 many models used to include nitrate owing to the chemical complexity of nitrate 60 formation. However, atmospheric nitrate aerosol not only exerts direct effects on air 61 quality and climate, but also uniquely impacts the Earth system by being directly 62 involved in tropospheric chemistry and constraining net primary productivity, hence 63 altering carbon sequestration and ecological effects, via its deposition (Prentice et al.,

- 64 2001).
- 65

66 Atmospheric nitrate contributes notably to total aerosol mass in the present-day, 67 especially in urban areas and agriculture regions. Nitrate is about a quarter of sulfate in 68 terms of overall global burden, AOD, and direct forcing at the present-day according to 69 the study of AeroCom II direct forcing experiment (Myhre et al., 2013). This conclusion 70 is confirmed by recent publications using various individual models and emission 71 inventories (Bellouin et al; 2011; Bauer et al., 2007; Hauglustaine 2014; Karydis et al., 72 2016; Mezuman et al., 2016; Paulot et al., 2016). Regionally, considerable evidences 73 from in-situ measurements (Bessagnet et al., 2014; Haywood et al., 2008; Jimenez et al., 74 2009; Malm et al., 1994; Vieno et al., 2016) and model results (Karydis et al., 2011; 75 Ensberg et al., 2013; Trump et al., 2015) indicate that nitrate becomes one of the major 76 aerosol species in urban and agriculture environments. For example, nitrate concentration 77 is about half of sulfate during the summer season in Beijing (Zhou et al., 2016) and 78 represents a large portion of wintertime aerosol mass in the San Joaquin Valley in 79 California (Pusede et al., 2016).

80

81 More importantly, the relative importance of aerosol nitrate is likely to increase over the 82 century with a projected decline in SO<sub>2</sub> and NO<sub>x</sub> emissions and increase in NH<sub>3</sub> 83 emissions (IPCC, 2013). With the reduction of  $SO_2$  emissions, less atmospheric  $NH_3$  is 84 required to neutralize the strong acid  $H_2SO_4$ . The excess of NH<sub>3</sub> results in gaseous HNO<sub>3</sub> 85 and NH<sub>3</sub> entering the condensed phase, and their subsequent dissociation yields nitrate 86 and ammonium ions. The trend of future nitrate depends on which is the limited species, 87  $NO_x$  or  $NH_3$ , for nitrate formation (Tsimpidi et al., 2007; 2008). Generally, our 88 atmosphere, at its current and foreseeable near future, is still in an NH<sub>3</sub>-limited condition 89 according to sensitivity studies by Heald et al. (2012) and Walker et al. (2012). Almost 90 all global models predicted an overall increase of atmospheric nitrate burden during this 91 century based on current available emission inventories (Bauer et al 2007; 2016; Bellouin 92 et al., 2011; Hauglustaine et al., 2014; Li et al., 2014). For example, using CMIP5 future 93 emission projections, Bellouin et al. (2011) concluded that, by 2090, nitrate would 94 become an important aerosol species in Europe and Asia, contributing up to two thirds of

- the globally averaged anthropogenic optical depth. However, the predicted trend of
- 96 surface nitrate is mixed. Some studies estimated a consistent increase of surface nitrate
- 97 (Bellouin et al., 2011), while others pointed out that this increase might vanish or even
- reverse over some regional urban areas due to the decline of  $NO_x$  emissions (Bauer et al.,
- 99 2016; Pusede et al., 2016; Trail et al., 2014). Nevertheless, the potentially increasing
- importance of nitrate in climate and its large uncertainty in future surface nitrate predictions urge us to characterize model performance and understand the
- 102 physicochemical mechanisms behind the diversity of nitrate simulations.
- 103
- 104 Nitrate is also important in that its formation directly affects tropospheric chemistry.
- First, the formation of particulate nitrate, through either aqueous phase chemical reaction between HNO<sub>3</sub> and NH<sub>3</sub> (Metzger et al., 2002; Kim et al., 1993) or heterogeneous
- reaction of nitrogen species such as  $HNO_3$ ,  $NO_3$ , and  $N_2O_5$  on the surface of dust and sea
- salt aerosol particles (Bauer et al., 2004; 2005; Bian et al., 2003; Dentener 1996; Liao et
- al., 2003), converts gas phase nitrogen species into aerosols. Consequently, the global
- $110^{\circ}$  and 2005, converts gas phase introgen species into acrossis. Consequently, the global tropospheric NO<sub>x</sub> concentration and the rate of conversion of N<sub>2</sub>O<sub>5</sub> to HNO<sub>3</sub> will be
- reduced (Riemer et al., 2003), which in turn leads to the reduction of atmospheric
- 112 oxidants. For example, global tropospheric  $O_3$  can be reduced by 5% (Bauer et al., 2007)
- and tropical Atlantic OH by 10% (Bian et al., 2003) just through the heterogeneous
- 114 reactions of nitrogen radicals on dust. Second, the most important removal path for 115 nitrogen from the atmosphere is the formation of HNO<sub>3</sub>, which is subsequently deposited
- (Riemer et al., 2003). Since HNO<sub>3</sub> is subject to partitioning between the gas and aerosol
- phases, the lifetimes of nitrogen species can be shortened by the formation of
  tropospheric nitrate aerosol because the loss of total HNO<sub>3</sub> will be accelerated by a much
  higher dry deposition in the aerosol phase.
- 120

121 Large nitrogen deposition occurs over both land and ocean (Dentener et al., 2006; 122 Kanakidou et al., 2012; 2016). Nitrogen deposition can either benefit or impair ecosystem 123 productivity depending on the initial balance of nutrients since different ecosystems have 124 different Nr (reactive nitrogen including gas and particulate  $NO_3^-$  and other nitrogen 125 compounds) availability and retention (Galloway et al., 2004; Prentice et al., 2001). If 126 fixed Nr is deposited as nitrate in forests, it may act as a "fertilizer," stimulating growth 127 and thus enhancing carbon sequestration (Fowler et al., 2015). But when the accumulated 128 deposition exceeds the nutritional needs of the ecosystem, nitrogen saturation may result 129 (Fenn et al., 1996). Soil fertility declines due to the leeching of cations (Milegroet and 130 Cole, 1984) and, thus, carbon uptake diminishes. The balance between fertilization and 131 saturation depends on the spatial and temporal extent of nitrogen deposition. In order to 132 determine the extent to which the emissions of air pollutants will have to be reduced and 133 whether the environment needs to be protected from damage, it is essential to know 134 where and by how much N deposition exceeds nature's tolerance (Dentener et al. 2006;

- 135 Lamarque et al., 2005; Phoenix et al., 2006).
- 136

137 Here we present a nitrate-focused study that has been organized as a part of the series of

- 138 AeroCom phase III experiments (https://wiki.met.no/aerocom/phase3-experiments). The
- 139 goals of this activity are to (1) address the diversity of the nitrate simulation by the
- 140 AeroCom multi-models and diagnose the driving processes for the diversity, (2) explore

- 141 the uncertainty of the model nitrate simulations constrained against various
- 142 measurements from ground station networks and aircraft campaigns, and (3) investigate
- 143 how the formation of nitrate changes in different models in response to perturbation on
- 144 key precursors and factors that determine nitrate formation. We focus on the first two
- 145 objectives in this paper. Such a study directs us on how to improve the representation of
- 146 nitrate aerosol formation and size distribution in climate chemistry models and reveals
- 147 nitrate effects on global air quality and climate.
- 148

149 Building upon the analysis of the multi-model diversity, three additional sensitivity

- experiments are designed using the GMI model to further explore the potential sources for the diversity on physical and chemical process-level. First, we explore the impact of
- 152 pH-dependent NH<sub>3</sub> wet deposition on atmospheric NH<sub>3</sub> and associated nitrogen species.
- 153 We then reveal the importance of mineral dust and sea salt in the nitrate formation and
- check the resultant nitrate aerosol size distribution that is particularly important in nitrateforcing estimation.
- 156

157 The paper is organized as follows. Section 2 introduces the experiment setup including 158 the emission inventories used and the participating Aerocom models. Observations of 159 surface tracer concentrations and dry and wet depositions over U.S., Europe, and East 160 Asia, as well as aircraft measurements in the ARCTAS campaigns are described in 161 section 3. We present AeroCom model inter-comparison and the model evaluation using 162 aforementioned observations in section 4. Based on the knowledge from previous 163 sections, we further discuss nitrate formation in response to physiochemical 164 methodologies in section 5 and summarize our major findings in section 6.

165

### 166 2. Experiment setup and AeroCom model description

#### 167 169 **31** E

## 168 **2.1 Experiment setup**

The AeroCom III nitrate experiment comprises one baseline and six perturbation
 simulations, with the latter designed for assessing the possible future changes of emission

- and meteorological fields relevant to nitrate formation. Models are advised to use the
- same prescribed emission datasets for gases and aerosols. Emissions from anthropogenic,
- aircraft, and ship for aerosol and ozone simulations are obtained from the recently
- developed HTAP v2 database (Janssens-Maenhout et al., 2015) that provides high spatial
- resolution monthly emission. For the tracers that are included in ozone chemistry but are
- 176 not provided by HTAP v2 (i.e. some volatile organic compounds), they should be
- 177 obtained from CMIP5 RCP85 with a linear interpolation between 2005 and 2010.
- Biomass burning emissions are the emissions of GFED3 (Werf et al., 2010) in 2008
   [http://www.globalfiredata.org/data.html]. The NH<sub>3</sub> emission from ocean is adopted
- based on the compilation of GEIA emission inventory [Bouwman et al., 1997].
- 181 Participating modeling groups use their own emissions of dimenthyl sulfide (DMS), dust,
- sea salt, and NO from lightning, since they are calculated based on models'
- 183 meteorological fields.
- 184

185 A full year simulation for 2008 is required for the nitrate model experiment. There are

186 several in-situ observation datasets available in 2008 for model evaluation, including the

- 187 surface concentration and deposition measurements over the US (CastNet, AMoN,
- 188 NDAP/NTN), Europe (EMEP), and Asia (EANET), and the aircraft measurements of
- 189 vertical profiles (e.g. ARCTAS-A, ARCTAS-CARB, and ARCTAS-B). All participating
- 190 models are required to use the reanalysis or nudged meteorological data for 2008 and
- allow one-year spin up for the baseline simulation.
- 192

### 193 2.2 AeroCom models

194 Nine models participate in the AeroCom III nitrate experiment. Their general nitrate-195 related physiochemical mechanisms are summarized in Table 1. Further detailed

- 196 information on their thermodynamic equilibrium model (TEQM) is given in Table 2.
- 197

198 The models participating in this study are divided into two groups. Group one (CHASER,

199 EMAC, INCA, GISS-MATRIX, and GISS-OMA) run chemical fields together with

200 meteorological fields, while group two (EMEP, GMI, OsloCTM2, and OsloCTM3)

201 simulate chemical fields using archived meteorological fields. Most models in this study

have a horizontal resolution around 2-3 degrees except EMEP with 0.5 degree.

Vertically, most models cover both the troposphere and the stratosphere with a peak
altitude up to 0.01 hPa except EMEP that extends vertically up to 100 hPa into the

- troposphere only.
- 206

All models use full gas phase  $O_3$ -NO<sub>x</sub>-HO<sub>x</sub> chemistry to produce HNO<sub>3</sub> and consider the face head head of nitrate gamma for the produce the second formation on UNO.

 $\begin{array}{ll} \text{208} & \text{feedback of nitrate aerosol formation on HNO}_3 \text{ calculation (i.e. changes in HNO}3\\ \text{209} & \text{concentrations due to the gas/particle equilibrium}). Meanwhile, all models consider N_2O_5\\ \end{array}$ 

hydrolysis, the conversion of  $N_2O_5$  to HNO<sub>3</sub>. The first order loss reaction occurs on the surface of tropospheric aerosols and assumes irreversible instant reaction. However, the

models differ in  $N_2O_5$  hydrolysis by considering the reaction on the surface of different

aerosol types. Uptake coefficients (aka gamma factors) also differ in their relationship to
 temperature and RH. CHASER model is special as it allows N<sub>2</sub>O<sub>5</sub> conversion to HNO<sub>3</sub>

215 on liquid cloud particles. Please refer to Table 1 and the listed references for details. Due

to the complexity of chemical mechanisms for organic nitrate compounds and different

- recommendations for reaction rates, HNO<sub>3</sub> fields produced by the models differ greatly.
   This difference propagates into the subsequent gas-aerosol reactions for nitrate formation.
- 210

These models are very different in their approaches on gas-aerosol reactions in nitrate

formation. All models consider reactions between NH<sub>3</sub> and HNO<sub>3</sub>. However, models differ dramatically in whether to include contributions of dust and sea salt (Table 1).

223 Some account for both, some for only dust or sea salt, and some do not account for any of

them at all. The methods used by the models in accounting for  $NH_3$  and dust/sea salt

- contributions are also different. Please also note that the heterogeneous chemical
- production of particulate nitrate mentioned in this paper refers only to the first order loss
- reaction of HNO<sub>3</sub> on the surface of dust and sea salt particles. A series of reactions, such

228 as  $N_2O_5$  hydrolysis and BrONO<sub>2</sub> hydrolysis, affect HNO<sub>3</sub> simulation. These reactions are

typically considered in  $O_3$ -NO<sub>x</sub>-HO<sub>x</sub> chemistry and their discussion is beyond the scope

of this paper.

232 All participating models adopt TEQM to deal with aqueous and solid phase reactions and 233 gas-aerosol partitioning (Tables 1 and 2). This is based on the assumption that volatile 234 species in the gas and aerosol phases are generally in chemical equilibrium. However, the 235 assumption is not always warranted in some cases, as we will discuss in section 5.2. Even 236 with the TEOM approach, nitrate calculation could differ due to treatments of 237 equilibrium constants or chemical potentials, solute activity coefficients, water activity, 238 and relative humidity of deliquescence (RHD). The parameterizations adopted by the 239 models to deal with multicomponent activity coefficient, binary activity coefficient, and 240 water activity are given in table 2. GISS-OMA, Oslo-CTM2 and Oslo-CTM3 are special 241 in that they assume aerosols to be metastable so that the model does not take into account 242 formation of solids in this study. All other models do consider the effect of the hysteresis 243 of particle phase transitions. All models also assume that the overall particles are large 244 enough to neglect the Kelvin effect.

245

246 The participating models call the TEQMs in different ways to account for aerosol size 247 effect. All the TEQMs (ISORROPIA-I, ISORROPIA-II, MARS, RPMIRES, INCA, and 248 EOSAM3) assume particles to be internally mixed, i.e. all particles of the same size have 249 the same composition. However, some parent models (CHASER, EMEP, GMI, INCA, 250 GISS-MATRIX and GISS-OMA) call their TEQMs only once for fine mode aerosol 251 particles, while the others (EMAC, OsloCTM2 and OsloCTM3) call their TEQMs from 252 different aerosol size bins. For example, Oslo-CTM2 and Oslo-CTM3 consider a bi-253 modal aerosol size-spectrum with two major aerosol modes, fine and coarse, and 254 calculate gas-aerosol equilibrium partitioning with EQSAM3 first for fine mode and then 255 for coarse mode. Additionally, to account for kinetic limitations, EMAC calculates the 256 phase partitioning in two stages. In the first stage, the amount of the gas-phase species 257 that is able to kinetically condense onto the aerosol phase within the model time step is 258 calculated, while in the second stage, the TEOM redistributes the mass between the two 259 phases assuming instant equilibrium (Pringle et al., 2010).

260

The TEQMs also differ in the chemical components considered. Specifically, the TEQMs in CHASE, EMEP, GISS-MATRIX, GISS-OMA, GMI and INCA include only species of sulfate, nitrate, ammonium and their gas, liquid, and solid components. The models Oslo-CTM2 and Oslo-CTM3 add NaCl and HCl, while the model EMAC further expands the species by including dust-related crustal material such as Ca<sup>2+</sup>, K<sup>+</sup>, and Mg<sup>2+</sup>.

266

267 These TEOMs differ in their computational approaches as well. Computational efficiency 268 is a prime consideration for a TEQM that is designed for incorporation into a global air 269 quality and climate study. To speed up the calculation, TEOMs typically divide the 270 system into sub-domains based on RH and concentrations of ammonium, sodium, crustal 271 cations, and sulfate. Corresponding approximation could be adopted for each sub-domain 272 with the minimum numbers of equilibriums and unknown components. As listed in table 273 2, the numbers of sub-domains are 4, 5, 4, 2, 3, and 3 for the TEQM ISORROPIA-I, 274 ISORROPIA-II, MARS, RPMIRES, INCA, and EQSAM3, respectively.

275

The ways to account for the contribution of dust and sea salt to nitrate formation are alsodifferent (see Table 1 column "How do CHEMDUSS"). Some models (EMAC, Oslo-

- 278 CTM3, and Oslo-CTM2) include dust and/or sea salt components in their TEQM models
- directly (marked as TEQM in Table 1 under column "How do CHEMDUSS"), while
- some models (EMEP, GISS-OMA, GMI, and INCA) use an approach of first order loss
- rate outside their TEQMs to account for the heterogeneous reactions of HNO<sub>3</sub> on the
- surface of dust and sea salt (marked as HETCHEM in Table 1). For the latter approach,
- the gamma rates and their RH dependence adopted by the models differ as well.
- 284

Dry and wet deposition of NH<sub>3</sub>, ammonium nitrate, and ammonium sulfate are treated similarly to other gas and aerosol tracers in the models. It is worth pointing out that there is a different consideration for Henry's law constant of NH<sub>3</sub> used by the models. Some models modify it based on the pH value of cloud water while others do not. We will discuss the impact of these two treatments on nitrate simulation in section 5.1.

- 209
- We introduce only the major characteristics of thermodynamic equilibrium models since
- this study aims for the evaluation and explanation of overall nitrate diversity among the
   GCM/CTM models from all potential aspects. The detailed discussion of the models
- chemical mechanism of gas phase reactions and the aerosol optical properties adopted by
  the models is also beyond this work. Readers could refer to the references listed in Tables
  1 and 2 for any further details.
- 296 297

# 298 **3. Observations**

We use surface measurements from ground station networks and aircraft campaigns to
evaluate modeled surface concentrations, dry and wet depositions, and vertical
distributions of nitrate and related species (Table 3).

302

## **303 3.1 Surface measurements of concentrations and deposition rates**

304 Ambient concentrations of sulfur and nitrogen species throughout the US and Canada 305 have been measured by the ground station network CASTNET (Clean Air Status and 306 Trends Network) (Figure 1). The measurements use a 3-stage filter pack with a controlled 307 flow rate. The measurements of CASTNET do not include NH<sub>3</sub>. AMoN (Ammonia 308 Monitoring Network), measuring concentrations of ambient NH<sub>3</sub>, has been deployed at 309 CASTNET sites starting from October 2007 using passive samplers. The corresponding 310 tracers' surface concentration measurements over Europe have been conducted by EMEP 311 (The European Monitoring and Evaluation Programme). The measured sites of all these 312 networks are located in rural areas or sensitive ecosystems, representing a larger region 313 by avoiding influences and contamination from local sources. Surface concentrations 314 over East Asia are inferred from the measurement of dry deposition by EANET (Acid 315 Deposition Monitoring Network in East Asia). This network provides acid deposition 316 from a regional monitoring network including 13 countries in East Asia using 317 standardized monitoring methods and analytical techniques.

318

319 CASTNET also provides dry deposition of sulfate and nitrogen species. Direct

- 320 measurements of dry deposition fluxes (D) are expensive so D is calculated as the
- 321 measured pollutant concentration (C) multiplied by the modeled dry deposition velocity
- 322  $(V_d)$ .  $V_d$  is either estimated by the Multi-Layer Model fed with measured hourly

meteorological data or derived from historical average V<sub>d</sub> for sites with discontinued
 meteorological parameters.

325

326 Direct measurements of wet deposition fluxes of sulfate, nitrate, and other ions have also 327 been performed by NADP/NTN (the National Atmospheric Deposition Program / 328 National Trends Network) across the contiguous US, Canada, Alaska, and the US Virgin 329 Islands and EANET over East Asia. Sites are predominantly located away from urban 330 areas and point sources of pollution. Each site has a precipitation chemistry collector and 331 gauge. Both networks can measure wet deposition for a continuous period (weekly for 332 NADP/NTN and daily for EANET), or every precipitation event if using an automated 333 collector (wet-only sampling).

334

Data is quality assured for all measurements. Measurements over North America use
 automated screening techniques, semi-annual calibration results, site operator comments,

and manual data review. Quality assurance of EMEP is carried out on both the national

- level and by the Chemical Co-ordinating Centre (CCC). The quality of EMEP
- measurements is not equal at the national level (Schaap et al., 2002; 2004). Sites in
- North, Western and Central Europe were generally well equipped and performing, while

341 sites in the rest of Europe suffered from inadequate sampling and calibrating methods due 342 to political and/or economical reasons. The quality of ammonia measurement is relatively

- 343 low since some laboratories experienced contamination problems (Williams et al., 1992).
- Although EANET adopts standardized monitoring methods and analytical techniques,
- 345 quality assurance is carried out on the national level.
- 346

## 347 **3.2** Aircraft measurements of vertical profiles

348 Aircraft campaign measurements during the 2008 Arctic Research of the Composition of 349 the Troposphere from Aircraft and Satellites (ARCTAS) are used to evaluate tracer 350 vertical distribution simulated by the models (Bian et al., 2013; Jacob et al., 2010). Three 351 phases of the campaign, ranging from Northern Hemisphere mid-latitude industrial 352 region (ARCTAS-CARB, June 2008) to high latitude Arctic regions influenced by long-353 rang pollution transport (ARCTAS-A, April 2008) and by local boreal biomass burning 354 (ARCTAS-B, July 2008), provide well encompassing environment observations. All 355 flights were conducted by the NASA DC-8 aircraft and the flight tracks of these three 356 phases are presented in Figure 2. An onboard HR-ToF-AMS instrument (Cubison et al., 357 2011; DeCarlo et al, 2006) measured fine mode aerosol concentrations (PM1) along the fight track including  $NO_3^-$ ,  $NH_4^+$ , and  $SO_4^{2-}$  at STP conditions (1013mb and 273.15K) at a 358 359 sampling time interval of ~12 seconds. Accuracy estimate of 2-standard deviations, likely 360 conservative, is 34% for inorganics, dominated by the uncertainty in particle collection 361 efficiency due to particle bouncing (Huffman et al., 2005).

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- 363

## 63 **4. Model intercomparison and evaluation**

364

# **365 4.1 AeroCom model inter-comparisons of global distributions and budgets**

## **4.1.1 NH<sub>3</sub> and NH<sub>4</sub>**

367 Six models use HTAP2 anthropogenic emissions, two (GISS-MATRIX and GISS-OMA)

368 use CMIP5 emissions, and one (INCA) uses ECLIPSE emissions. Table 4b shows that

- eight models have the annual NH<sub>3</sub> emission values within 5% of the value from the
- 370 AeroCom experiment recommended emission inventories, but INCA is 11% higher. The
- 371 similar emission distributions ensure that the examined inter-model diversities are truly
- caused by the differences in physicochemical processes among the models. The
   normalized root-mean-square deviation (NRMSD) of NH<sub>3</sub> global burden among models
- normalized root-mean-square deviation (NRMSD) of NH<sub>3</sub> global burden among models
   is 1.17 and 0.33 with and without EMAC included. This drastic change in global burden
- 375 NRMSD by EMAC is caused by its special treatment of wet deposition. In fact, the
- 376 removal of trace gases and aerosol particles by clouds and precipitation in EMAC is not
- 377 calculated based on empirically determined, fixed scavenging coefficients, but rather by
- 378 solving a system of coupled ordinary differential equations, explicitly describing the
- 379 processes involved (Tost et al., 2006). This method resolves feedback mechanisms
- between the multi-phase chemistry and transport processes involved. The liquid phase reaction set used converts all the scavenged  $NH_3$  (or  $HNO_3$ ) into  $NH_4^+$  (or  $NO_3^-$ ) in the
- liquid phase so that at the end everything that is deposited is the total  $NH_4^+$  and  $NH_3$ .
- 383

Atmospheric  $NH_4^+$  is produced entirely by  $NH_3$  chemical transformation. The models simulate  $NH_4^+$  much closer in chemical production (difference less than a factor of 2) than in lifetime (difference up to a factor of 5.2), indicating removing rates are a key factor in controlling the global burden of  $NH_4^+$ . For example, CHASER has a much longer lifetime of  $NH_4^+$  (i.e. 9.8 days versus 4.3 days in average), which indicates a slow deposition removal of  $NH_4^+$  from the atmosphere. Consequently, CHASER simulates a much higher atmospheric  $NH_4^+$  burden than other models.

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### **4.1.2 HNO<sub>3</sub> and NO<sub>3</sub><sup>-</sup>**

HNO<sub>3</sub>, an important nitrate precursor, differs by up to a factor of 9 in its global tropospheric burden among the models (Table 4c). All models simulated HNO<sub>3</sub> based on a full gas phase  $O_3$ -HO<sub>x</sub>-NO<sub>x</sub> chemistry and coupled it with aerosol chemistry. This HNO<sub>3</sub> diversity will naturally be propagated into the NO<sub>3</sub><sup>-</sup> simulation. However, further discussion of the detailed consideration of full gas-aerosol chemistry for HNO<sub>3</sub> diversity among the models is beyond the scope of this study.

399

400 The resultant aerosol product (i.e.,  $NO_3^-$ ) does not entirely follow its precursor (i.e.,

- 401 HNO<sub>3</sub>) in terms of global burden: EMEP has very low HNO<sub>3</sub> but high  $NO_3^-$ , two GISS
- 402 models (MATRIX and OMA) simulate high HNO<sub>3</sub> but low  $NO_3^-$ , while OsloCTM3 has
- 403 an average HNO<sub>3</sub> but more than triple high  $NO_3^-$  than average (Tables 4a and 4c).
- 404 Furthermore, the difference in  $NO_3^-$  global burden (up to a factor of 13) is larger than that
- 405 of HNO<sub>3</sub>. Differences in chemical mechanisms of  $NO_3^-$  production could be a potential
- 406 explanation along with the difference in HNO<sub>3</sub> precursor. Unfortunately, only GMI and
- 407 INCA provide a detailed  $NO_3^-$  chemistry budget analysis. Nevertheless, we can infer that
- the total chemical production of  $NO_3^-$  must be very low (~ 10Tg) in the two GISS models while very high (> 100 Tg) in OsloCTM2 and OsloCTM3 based on the reported total
- 410  $NO_3^-$  loss. Combining this information with the HNO<sub>3</sub> global tropospheric burden (Table
- 411 4c), we can further infer that the chemical conversion from  $HNO_3$  to  $NO_3^-$  must be lowest
- 412 in the two GISS models while highest in the two Oslo models. Several factors could
- 413 influence this conversion, such as the availability of alkaline species of mineral dust and
- sea-salt particles and the physicochemical mechanism of nitrate formation on dust and

- 415 sea-salt, availability of  $NH_3$  after combining with  $SO_4^{2-}$ , and the atmospheric
- 416 meteorological fields of temperature and relative humidity. More discussions are given in 417 sections 5.2 and 5.3.
- 418

419 Atmospheric lifetime of  $NO_3^-$  differs up to a factor of 4, from about 2 days in GMI and 420 OsloCTM2 to larger than 7 days in GISS-OMA and GISS-MATRIX. The slower removal 421 processes in the two GISS models compensate the low chemical production and help to

- 422 maintain their  $NO_3^-$  atmospheric burden (Figure 3 and Table 4a).
- 423

### 424 **4.2 Model-observation comparisons**

425

# 426 4.2.1 Comparisons of surface concentrations over North America, Europe, and East 427 Asia

428 Understanding diversity among model simulations and potential physiochemical

429 processes behind the difference is important but not sufficient. The information has to be

- 430 combined with the knowledge of model performance obtained directly from comparisons,
- 431 particularly down to processes level, against various measurements to gain a direction of
- 432 any improvement. Figures 4a-c show a model-observation comparison for surface 433 mass/volume mixing ratios of  $NO_3^-$ ,  $NH_4^+$ ,  $NH_3$ ,  $HNO_3$ , and  $SO_4^{2-}$  over North America
- 435 mass/volume mixing ratios of  $NO_3$ ,  $NH_4$ ,  $NH_3$ ,  $HNO_3$ , and  $SO_4$  over North America 434 (CastNET), Europe (EMEP), and East Asia (EANET). Each point represents a monthly
- 435 mean concentration at one observational site. Generally, the agreement between model
- and observation is better for aerosol components than for gas tracers (i.e. the precursor
- 437 species NH<sub>3</sub> and HNO<sub>3</sub>) over all three regions. All models underestimate NH<sub>3</sub> surface
- volume mixing ratio with a ratio of model to observation down to 0.14, while most
- models overestimate surface HNO<sub>3</sub> volume mixing ratio with a ratio up to 3.9 over North
   America. The worse performances of NH<sub>3</sub> against observations may be also associated to
- 440 America. The worse performances of 1413 against observations may be also associated 441 their relatively lower measurement accuracy, i.e. easier to be contaminated during
- 442 measurement (Williams et al., 1992). Among aerosol simulations, model performance is 443 very similar for  $NH_4^+$  and  $SO_4^{2-}$ , while slightly worse for  $NO_3^-$  that is dispersed further
- away from the 1:1 line, particularly at low NO<sub>3</sub><sup>-</sup> values. The NO<sub>3</sub><sup>-</sup> simulation over East
  Asia is worst with the average normalized root mean square to be 1.3 and 1.8 higher than
  that over North America and Europe, respectively.
- 447

# 448 4.2.2 Comparisons of vertical profiles with aircraft measurements during the 449 ARCTAS field campaign

450 Evaluation of model performance presented in 4.2.1 for the surface concentrations in the 451 source regions is highly dependent on the accuracy of the emission inventory. On the 452 other hand, evaluation using aircraft measurements, particularly over remote regions, 453 provides further examination of models' physicochemical evolution during transport. 454 Here we use data from three phases of the ARCTAS aircraft campaign (section 3), and the results are shown in Figure 5. All model results of  $NO_3^-$ ,  $NH_4^+$ , and  $SO_4^{2-}$  are sampled 455 along flight track and averaged regionally within 1km vertically for each campaign phase 456 457 before comparing with the corresponding aircraft measurements. Note that only EMAC, 458 EMEP and GMI report daily 3D global tracer concentrations, while the others report 459 monthly only. Note also that only EMEP and GMI adopt daily biomass burning emission

460 while the others use monthly emission. To verify the representativeness of monthly mean

461 concentration in capturing the main features exhibited in model-observation comparisons,

462 daily and monthly concentrations of the three models are used in the same spatial

- 463 sampling to compare with the measurements (see the green lines for daily and red for
- 464 monthly in the figure). The comparison keeps its main features as shown when using both 465 daily and monthly model data.
- 466

467 During ARCTAS-A, which was conducted in April 2008 and was based in Fairbanks, 468 Alaska, none of the models captures the long-range transport of aerosols primarily from 469 Asia, which enter Polar Regions at altitudes between 2-7 km (Fig. 3 in Bian et al., 2013). 470 Except CHASER and EMAC, all models also report a significant underestimation of  $NH_4^+$  and  $SO_4^{2-}$  in boundary layer. A previous assessment of pollution transport to the 471 Arctic indicated that aerosol wet removal plays an important role in the uncertainty of 472 473 Arctic aerosols (Shindell et al., 2008). Another potential reason is that some large fire 474 activities in Siberia during April 2008 (Jacob et al., 2010) may be missed in the GFED3 475 emission inventory. The underestimation of  $SO_4^{2-}$  may help bring up  $NO_3^{-}$  production, particularly at high altitudes. During ARCTAS-CARB, which was conducted in June 476 477 2008 based in Palmdale, California, agreement between model and measurements is 478 much improved. Almost all models show a rapid vertical decease from surface to free 479 troposphere, which is consistent with the measurements of  $SO_4^{2-}$  and  $NH_4^+$ , but not  $NO_3^{-}$ . 480 The observation shows a maximum of  $NO_3^-$  at about 1.5 km, which is not represented by 481 any of the models. During ARCTAS-B, which was conducted in July 2008 and was based 482 in Cold Lake, Canada, when there were frequent local wild fires, model performances are 483 mixed. In general, most models underestimate concentrations of  $NO_3^-$ ,  $NH_4^+$  and  $SO_4^{2-}$  below 4 km. CHASER model is special in that it overestimates  $SO_4^{2-}$  significantly. 484 This may be contributed to high (near surface) to comparable (free troposphere) model 485 simulation of  $NH_4^+$  but an underestimation of  $NO_3^-$ . Different from other models, the 486 487 INCA model shows an enhancement of pollutants in the upper troposphere with 488 concentrations much higher (more than 5 times) than observations. This behavior may be 489 derived from a much vigorous vertical uplifting to the upper troposphere as revealed from 490 Fig. 3a-3b combined with a low NH<sub>3</sub> Henry's law constant used by INCA, see discussion 491 in section 5.2.

492

493 Note that all measurements and model data we discussed above are for fine mode 494 aerosols. Total  $NO_3^-$  (orange line using monthly model output) is also shown in the figure 495 to reveal whether a changing of partitioning of fine and coarse mode  $NO_3^-$  could improve 496 the model-observation comparison. It seems that the new version of OsloCTM3 may put 497 too much of  $NO_3^-$  in coarse mode.

- 498
- 499

### 4.3 Model-observation comparison for dry and wet deposition

500

#### 501 4.3.1 Dry deposition

502 The budget analyses in section 4.1 concluded that dry and/or wet depositions are most likely the main processes driving the diversity in the model simulations. Thus, further 503 504 evaluation of deposition processes is needed to identify any potential problematic model. 505

The dry depositions of  $NO_3^-$ ,  $NH_4^+$ ,  $HNO_3$ , and  $SO_4^{2-}$  simulated by the models are 506 507 compared against CASTNET measurements over North America (Figure 6). Generally, the overestimation of surface HNO<sub>3</sub> concentrations (Figure 3a) results in the higher dry 508 509 depositions of HNO<sub>3</sub>, but this is not the case for NO<sub>3</sub><sup>-</sup>. Meanwhile, most of the models give a better dry deposition simulation for aerosol  $SO_4^{2-}$  and  $NH_4^+$  than for aerosol  $NO_3^{-}$ , 510 511 except CHASER. Specifically, GISS-OMA and GISS-MATRIX have wide spread dry 512  $NO_3^-$  deposition at any given measurement value. In other words, the two models underestimate  $NO_3^-$  dry deposition significantly at many observational stations, which 513 514 does not occur in the other models. This low dry deposition simulation may occur outside 515 North America as well because the global dry depositions of the two models are lower 516 than others (Table 4a). OsloCTM2 overestimates  $NO_3^-$  dry deposition significantly, which 517 is probably linked to its larger coarse fraction of the nitrate aerosol (see discussion in 518 section 5.3). OsloCTM3 improved its dry deposition scheme although the model still 519 overestimates the dry deposition. We will discuss the OsloCTM2  $NO_3^-$  simulation over North America by combining the model's wet deposition in the next section.  $NH_4^+$  dry 520 deposition is low in GMI but very high in CHASER. This performance is also extended 521 522 globally as summarized in Table 4b.

### 523

#### 524 4.3.2 Wet deposition

- 525 The wet deposition simulations from the nine models are compared with surface 526 measurement over North America (Figure 7a) and East Asia (Figure 7b) for oxidized
- $NO_3^-$  (i.e. total  $NO_3^-$  and  $HNO_3$ ), total  $NH_4^+$  and  $NH_3(tNH_4^+)$ , and  $SO_4^{2-}$ . All models tend 527 to underestimate the wet deposition of  $tNH_4^+$  and  $SO_4^{2-}$  over the two regions. Models 528
- 529
- EMAC, GMI, OsloCTM2 and OsloCTM3 have relatively high wet removal for oxidized 530  $NO_3^-$ , while EMEP removes much less than others over North America. All models' wet
- 531 deposition of oxidized  $NO_3^-$  is biased low over East Asia. As we discussed above,
- 532 OsloCTM2 and OsloCTM3 have very high dry  $NO_3^-$  depositions (Figure 6) compared
- 533 with CASTNET observations. The overall high dry and wet  $NO_3^-$  depositions along with
- 534 high atmospheric concentrations (Figure 4a) indicate that the chemical formation of
- 535  $NO_3^-$  in the two models must be also high. This performance might be also true on global
- scale since the inferred chemical productions of  $NO_3^-$  in the two models are the highest 536 537
- (Table 4a). CHASER has the lowest tNH<sup>+</sup><sub>4</sub> wet deposition. This may result in a very high NH<sub>4</sub><sup>+</sup> dry deposition (Figure 6) and concentration (Figures 4a-c, 5) compared with 538
- 539 observations and other models. Overall, wet deposition seems to be the dominant process
- 540 in determining the diversity in NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> lifetime (Table 4b).
- 541

542 Note that we use the traditional approach of comparing models' grid box mean values

543 with observations, which does not take into account the impact of the models' horizontal

- 544 resolutions in their representation of observations (Schutgens et al., 2016). Since majority
- 545 models (except EMEP) have horizontal resolutions around 2-3 degrees, the models grid
- 546 box means tend to smooth out extreme (i.e. very low or high) observations.
- 547 Consequently, the slopes of the fitting lines are generally less than 1 on the scattering 548 plots with model as y-axis and observation as x-axis (e.g. Figures 4a-d, 6, 7a-b).
- 549

#### 550 5. Discussion of major uncertainties in nitrate formation

551 Large uncertainties of nitrate studies result from the complexity of the simulations which

- 552 must consider a comprehensive NOx-NMHC-O3-NH3 chemistry and a thermodynamic
- equilibrium model (TEQM) to partition semi-volatile ammonium nitrate between the gas
- and aerosol phases. Nitrate aerosol concentrations depend on temperature, relative
- bumidity (RH), and concentrations of HNO<sub>3</sub>, NH<sub>3</sub>, NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, K<sup>+</sup>,  $K^+$ ,
- $Mg^{2+}$ , organic acids, among others. A further complicating factor is that the equilibrium
- 557 for the coarse mode is somewhat questionable (Feng and Penner, 2007). In addition, wet 558 removal of NH<sub>3</sub> is very sensitive to the pH in cloud water. We will discuss some of these 559 uncertainties below.
- 560

### 561 5.1 pH-dependent NH<sub>3</sub> wet deposition

562 Gas tracer NH<sub>3</sub>, a precursor of ammonium aerosol, experiences atmospheric wet 563 deposition and its deposition rate is typically calculated using Henry's Law. Henry's law 564 constant (H) of gases in water is usually given at 298 K (indicated by  $\Theta$  in superscript) 565 and can be adjusted by temperature (T).

$$H(T) = H^{\Theta} * \exp\left(-\frac{\Delta H_{sol}}{R}\left(\frac{1}{T} - \frac{1}{T^{\Theta}}\right)\right)$$
(1)

566 Here  $\Delta H_{sol}$  is the enthalpy of dissolution and R is the gas constant.

567

568 For some acidic/basic gases, including NH<sub>3</sub>, Henry's law constant is also a function of

569 pH in cloud water (a.k.a effective Henry's law constant  $H^{\Theta^*}$ ). As explained in the

570 Appendix, the  $H^{\Theta^*}$  is inferred from  $H^{\Theta}$  with a correction of pH (pH =  $-\log_{10}[H^+]$ ) as

$$H^{\Theta^*} = H^{\Theta} \frac{K_{al}[H^+]}{K_w} \tag{5}$$

Here,  $K_{al} \approx 1.8 \times 10^{-5}$  and  $K_w = 1.0 \times 10^{-14}$  at 298 K in pure water (see Appendix). However, 571 not every model accounts for pH adjustment (i.e. the reaction of equation 2 in Appendix) 572 573 for NH<sub>3</sub> dissolution. More accurately, the EMAC model implicitly calculates the 574 effective Henry's law constant by solving a set of partial differential equations, which 575 includes not only the gas-liquid phase equilibria, but also the reactions in the liquid phase 576 (i.e. dissociation or acid-base equilibria, Redox reactions and photolysis reactions in the 577 liquid phase, see Tost et al.(2006)). Therefore, the gas-liquid phase equilibrium is 578 explicitly calculated based on the chemical mechanism used in the liquid phase. As listed 579 in Table 5, the rest of the models are generally divided into two groups based on their 580 effective Henry's law constant: (1) INCA, GISS-OMA and GISS-MATRIX has  $H^{\Theta^*} \leq$ 100 (L-theta without pH correction) and (2) CHASER, GMI, OsloCTM2 and Oslo-581 CTM3 has  $H^{\Theta^*} > 10^{+5}$  (H-theta with pH correction). The NH<sub>3</sub>'s  $H^{\Theta^*}$  adopted by the 582 583 models varies dramatically, up to an order of 6 in magnitude among all the models and a 584 factor of 10 just for the models in H-theta group (Table 5). The latter corresponds to a 585 range of pH from 4.5 (Oslo-CTM2) to 5.5 (CHASER).

586

587 To examine how sensitive of  $NH_3$ ,  $NH_4^+$  and  $NO_3^-$  simulations in response to the

588 magnitude of NH<sub>3</sub>'s  $H^{\Theta^*}$ , we performed a sensitivity experiment, named TWET, in the

- 589 GMI model in which there was no pH adjustment for NH<sub>3</sub> Henry's law constant (i.e.
- 590  $H^{\Theta^*}=61$  instead of 1.05e+6, see table 6). The resultant annual budgets of dry/wet
- deposition, chemistry production and loss, and atmospheric loading of NH<sub>3</sub>,
- 592  $NH_4^+$  and  $NO_3^-$  are summarized in Table 7, the tracers' vertical zonal mean distributions
- are shown in Figure 8, and the comparisons with the ARCTAS measurements for

 $NH_4^+$  and  $NO_3^-$  are shown in Figure 9. For convenient comparison, the GMI baseline 594 595 results are given in the table and figures as well. There is a dramatic decrease (from 17.5 596 to 1.1 Tg) in NH<sub>3</sub> wet deposition when using pure water NH<sub>3</sub> Henry's law constant. 597 Consequently, NH<sub>3</sub> will remain in the atmosphere (i.e.  $\sim 8$  times more atmospheric NH<sub>3</sub>) to produce ~1.6 times more NH<sub>4</sub><sup>+</sup> chemically. This, in turn, greatly increases atmospheric 598 599  $NO_3^-$  to 0.97 Tg from 0.26 Tg reported in baseline simulation. A large portion of the increased  $NH_3$ ,  $NH_4^+$  and  $NO_3^-$  resides in the upper troposphere and close to the 600 601 tropopause region, while the changes of the tracers in the lower troposphere are relatively 602 small, as shown in Figure 8. These accumulations at high altitudes are far above (i.e.  $\sim 50$ 603 times for  $NH_4^+$  and  $NO_3^-$ ) the ARCTAS observed tracer amounts as shown in Figure 9. The TWET experiment might be an explanation of  $NH_4^+$  and  $NO_3^-$  accumulations near the 604 605 tropopause region (Figure 3a-b) in the INCA model whose NH<sub>3</sub> Henry's law constant  $H^{\Theta}$ 606 is 74 without pH correction (i.e. a L-theta model, table 5). However, it is puzzling that the 607 NH<sub>3</sub> simulations by GISS-MATRIX and GISS-OMA, those are the models with L-theta, are closer to the simulations of the models with H-theta, i.e. no  $NH_4^+$  and  $NO_3^-$  accumulation near the tropopause and comparable removal of  $NH_4^+$  (Figure 3a-b and 608 609 610 Table 4b).

611

### 612 **5.2** Contribution of dust and sea salt on nitrate formation

613 In the presence of acidic accumulation-mode sulfuric acid containing aerosols, HNO<sub>3</sub>, 614 NO<sub>3</sub> radicals, and N<sub>2</sub>O<sub>5</sub> will deposit on larger alkaline mineral or salt particles (Dentener 615 et al., 1996; Gard et al., 1998; Hauglustaine 2014; Karydis et al., 2016; Murphy and 616 Thomson 1997; Paulot et al., 2016). Considerable evidence shows that the majority of 617 atmospheric nitrate is formed via reactions associated with dust and sea salt (Allen et al. 618 2015; Itahashi et al., 2016; Karydis et al., 2016). Coarse mode nitrate overwhelmingly 619 dominates over remote oceanic regions (Itahashi et al., 2016). Over wide land regions, 620 nitrate also quite often exists in the form of supermicron  $NO_3^-$  balanced by the presence of mineral cations arising from transport of crustal dust and sea spray aerosol (Allen et 621 622 al.,2015; Lefer and Talbot; 2001).

623

624 Investigation of nitrate interactions with mineral dust and sea salt depends on the

625 simulation approach adopted in a model. The traditional equilibrium approach to partition

626 semi-volatile HNO<sub>3</sub> between the gas and aerosol phases is no longer possible since the

time to reach equilibrium on coarse mode particles (several hours to days) is typically
much longer than the chemical time step used in a global model (less than 1 hour) (John

629 et al., 1989; Myhre et al., 2006). Meng and Seinfeld (1996) found that on longer time

630 scales, when NH<sub>3</sub>/HNO<sub>3</sub> started to condense on larger aerosols, their gas phase

631 concentrations decreased so that some of the condensed matter can be driven back to the

632 gas phase from the small semi-volatile aerosols. A fix to a non-equilibrium state would

be to implement a kinetic formulation for the particles that have a long equilibrium time
scale (Feng and Penner, 2007; Karydis et al., 2010). However, implementing explicit

kinetics in a global model would be computationally expensive and, hence, is not feasible

636 for long-term climate simulations. Several approximations have been developed to allow

637 computational efficiency although they might compromise model accuracy

638

639 Four such approximations are adopted by the nine models participating in this study: 1)

- 640 using equilibrium calculations for fine mode particles only while neglecting nitrate
- 641 formation on coarse mode particles (CHASER and GISS-MATRIX); 2) combining
- equilibrium calculation for a solution of  $SO_4^{2-}-NO_3^{-}-NH_4^{+}-H_2O$  and heterogeneous
- reaction calculation for nitrogen uptake on dust and sea-salt using a first-order loss rate
- 644 (EMEP, GMI, GISS-OMA and INCA); 3) running equilibrium model including NH<sub>3</sub>,
- 645 dust and sea salt repeatedly for aerosol sizes from fine mode to coarse mode (Oslo-CTM2 646 and Oslo-CTM3); and 4) using only the fraction of the gas that can kinetically condense
- 647 within the time step of the model in the equilibrium calculations for each aerosol size
- 648 mode (EMAC).
- 649
- Nitrate is formed primarily on dust and sea salt by GMI (88%) and INCA (82%) (see
  Table 4a). INCA further separates the formation as 45% on dust and 37% on sea-salt. The
- above-mentioned approach 1 is problematic due to absence of coarse mode nitrate, an
- 653 important portion of nitrate, which results in relatively low nitrate burdens for CHASER
- and GISS-MATRIX. Unfortunately, the other models are missing a detailed nitrate
- chemistry budget report. A potential impact of dust and sea-salt on nitrate formation,
- nevertheless, can be inferred from the approach adopted by a model. For example,
- 657 OsloCTM2 and OsloCTM3 adopt approach 3. Although the model allows fine mode
- 658 particles to reach equilibrium first, the subsequent equilibrium calculation for coarse
- 659 mode particles may still produce coarse mode nitrate too quickly, see discussion of the 660 ratio of coarse model nitrate in the next subsection. To avoid such overestimations on the
- 661 production of coarse model nitrate in the next subsection. To avoid such overestimations on the 661 production of coarse mode nitrate, EMAC allows only a fraction of HNO<sub>3</sub> to partition in
- the aerosol phase by assuming diffusion limited condensation (Pringle et al., 2010).
- 663

To further understand the role of homogeneous and heterogeneous chemical reaction
processes in nitrate formation, we conducted two more sensitivity experiments,
TnoCNH3 and TnoCHET, with the GMI model (Table 6). Experiment TnoCNH3 turned

- 667 off chemical conversion of  $NH_3$  to  $NH_4^+$  in the GMI thermodynamic equilibrium model, 668 while experiment TnoCHET excluded the nitrate formation via heterogeneous reaction of 669 gas HNO<sub>3</sub> on the particles of dust and sea salt. The budget report, vertical zonal mean
- 670 distribution and model-observation comparison of NH<sub>3</sub>, NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> are given in Table
- 7 and Figures 8-9, respectively. It is not surprising that experiment TnoCNH3 gives a
- higher atmospheric NH<sub>3</sub> burden (0.32 Tg) compared with baseline (0.11 Tg) with little (72)
- 673  $NH_4^+$  left (from its initial field). The interesting thing is that the formed  $NO_3^-$  has only 674 slightly decreased compared with baseline (from 0.26 to 0.20 Tg), confirming the
- 674 slightly decreased compared with baseline (from 0.26 to 0.20 Tg), confirming the 675 importance of  $NO_3^-$  formation via dust and sea salt. For experiment TnoCHET, the
- simulations of  $NH_3$  and  $NH_4^+$  stay the same but the formed  $NO_3^-$  is decreased dramatically
- 677 (from 0.26 to 0.10), indicating that  $NO_3^-$  formation via NH<sub>3</sub> chemistry alone in the GMI
- 678 model is relatively small. The chemical production of  $NO_3^-$  is about 6 times larger in
- TnoCNH3 (via dust and sea salt) than in TnoCHET (via  $NH_3$ ). However, the  $NO_3^-$
- 680 produced via NH<sub>3</sub> chemistry (TnoCHET) is non-negligible over remote regions impacted 681 by long-range transport, as shown in the analysis of April Alaska observations in Figure
- 682

9.

683

### 684 **5.3 Nitrate size distribution**

685 Unlike sulfate aerosol, a noticeable fraction of nitrate aerosol is in coarse mode. Coarse

686 mode aerosol nitrate is formed due to presence of dust and/or sea salt. In other words, the 687 formation of nitrate on coarse mode dust and sea salt particles is the major factor 688 controlling size distribution. Other factors, such as  $NH_3/NH_4^+/NO_3^-$  chemistry and 689 atmospheric transport and removal processes, also affect nitrate size distribution. Having 690 an accurate aerosol size distribution is critical in climate forcing estimations, since large 691 size particles have a relatively small optical cross section at a given aerosol mass loading 692 and the nitrate material coating on dust particles has almost no direct impact on the dust 693 optics, although the greatly impact dust lifetime (Bauer et al., 2007). Given that the 694 deposition velocity of a coarse particle is greater than that of a fine particle, an accurate 695 size distribution is also necessary to estimate deposition of particulate nitrates (Yeatman 696 et al., 2001; Sadanaga et al., 2008). This estimation is particularly important over oceans 697 where coarse mode nitrate dominates (Itahashi et al., 2016) and nitrogen supply is often 698 in deficit (Hansell and Follows, 2008).

699

As we have discussed in section 5.2, nitrate size distribution varies with the approaches adopted for nitrate formation on coarse mode aerosols (i.e. dust and sea salt). Figure 10 gives the burdens of nitrate in fine mode and coarse mode portions and the ratio between coarse mode and total (f\_c) for the eight discussed models. The ratio is ranging from 0 (CHASER and GISS-OMA), ~50% (EMAC, GMI and INCA), ~80% (EMEP and OsloCTM2), and 97% (OsloCTM3). The two OsloCTMs give the highest f\_c partially because they run TEQM model for coarse model particles.

707

A wide range of f\_c, from 0 to > 90%, has been reported previously by model
simulations (Adams et al., 2001; Bauer et al., 2007; Jacobson 2001), while the range is
narrowed down to 40-60% for the model studies using the approach that solves dynamic
mass transfer equation for coarse mode particles (Feng and Penner, 2007; Xu and Penner,
2012).

713

114 It is worth pointing out that aerosol microphysics modify aerosol size as well. For 115 example, a process like coagulation would also allow  $NO_3^-$  to mix with other particles and 116 enter coarse mode aerosol. New particle formation/nucleation would add  $NH_3/NH_4^+/NO_3^-$ 117 into the ultra fine mode. Except EMAC and GISS-MATRIX, majority models involved in 118 this study are bulk aerosol models that do not account for aerosol microphysics.

719

It is challenging to verify the nitrate size distribution globally due to the limited
measurements on time and space. Measurements over regional and station sites indicated
that the ratio of f\_c could be very high and vary seasonally over oceanic sites. For
example, annual mean f\_c during 2002-2004 from the Fukue supersite observatory is
about 72% with a seasonal variation of 60–80% in winter and of around 80% in summer
(Itahashi et al., 2016).

726

However, the ratio could be varied dramatically over land or the areas affected by land
pollution. For example, observations of fine and coarse particulate nitrate at several rural
locations in the United States indicated that nitrate was predominantly in submicron
ammonium nitrate particles during the Bondville and San Gorgonio (April) campaigns, in

coarse mode nitrate particles at Grand Canyon (May) and Great Smoky Mountains

(July/August), and both fine and coarse mode nitrate during the studies at Brigantine and 732 San Gorgonio (July) (Lee et al., 2008). Allen et al. (2015) examined aerosol composition 733 734 data collected during the summer 2013 SOAS and concluded that inorganic nitrate in the 735 southeastern United States likely exists in the form of supermicron  $NO_3^-$ , balanced by the presence of mineral cations arising from the transport of crustal dust and sea spray 736 aerosol. The measurements over Harvard Forest, a rural site in central Massachusetts, 737 738 supported that the majority of nitrate mass was associated with water-soluble supermicron soil-derived  $Ca^{2+}$  in an acidic environment (Lefer and Talbot, 2001). 739 740 Measurements taken in Paris during the ESQUIF campaign found that the coarse 741 nitrate fraction represents up to 60% of total particulate nitrate mass at night and 80% at 742 day (Hodzic et al., 2006a, 2006b). Measurements of coarse-mode aerosol nitrate and 743 ammonium at two polluted coastal sites, Weybourne, England and Mace Head, Ireland, 744 during polluted flow when the air had passed over strong source regions of the UK and 745 northern Europe, showed 40–60% of the nitrate was found in particles with diameter 746  $>1 \,\mu\text{m}$ , but under clean marine conditions almost 100% conversion was seen (Yeatman et 747 al., 2001).

748

### 749 **6. Conclusions**

750

751 We present the AeroCom phase III nitrate study by assessing aerosol simulations of 752 nitrate and ammonium and their precursors with nine global models. Five of the models 753 couple the chemical calculation online with meteorological simulation, and four use 754 archived meteorological fields driving chemistry. To focus on chemical-physical 755 processes behind the diversity of nitrate simulation, all participating models are 756 encouraged to use HTAP2 emission inventory for aerosol and gas emissions from 757 anthropogenic, aircraft, and ship sources. The simulated aerosols of nitrate and 758 ammonium and their precursors are compared among the models and evaluated against 759 various measurements including surface concentrations and drv/wet depositions from 760 surface measurements, and vertical distributions from aircraft measurements.

761

All models capture the main features of the distribution of nitrate and ammonium: large surface and column amounts over China, South Asia, Europe, and U.S. These regions are typically densely populated with large  $NH_3$  and  $NO_x$  emissions. Many models also show enhanced nitrate and ammonium over the Middle East and continents over the Southern

Hemisphere. The former undergoes huge dust pollution and the latter experiences fires that emit both  $NH_3$  and  $NO_x$ .

768

769 The diversity of nitrate and ammonium simulations among the models is large: the ratio 770 of the maximum to minimum quantities among the nine models is 13.4 and 4.4 for model 771 simulated global mass burdens of nitrate and ammonium, respectively, and 3.9 and 5.2 772 for the corresponding lifetimes. These values are also larger than those of sulfate: 4.0 for 773 global burden and 3.0 for lifetime. The agreement between models and observations is 774 better for aerosol components than for gas tracers. All models underestimate NH<sub>3</sub> surface 775 mass concentrations but most models overestimate surface HNO<sub>3</sub> concentrations over 776 North America and East Asia. Performance of NH<sub>3</sub> is the worst: this could partially be

associated to its relatively lower measurement accuracy, i.e. a loss of ammonia possibly

- on the filters designed to collect  $NH_3$  (Williams et al., 1992). Among aerosol simulations, model performance based on evaluation of surface mixing ratio and dry/wet depositions is very similar for  $NH_4^+$  and  $SO_4^{2-}$ , while slightly worse for  $NO_3^-$ . Models severely underestimate the aerosol concentrations with only a few exceptions when compared with aircraft measurements and this problem is worse over regions impacted by long-range transport than those closer to sources.
- 784

785 There are many intrinsic reasons for a larger diversity in nitrate simulations among 786 models. Nitrate is involved in much more complicated chemistry: the chemical 787 mechanism needs to handle a multiphase multicomponent solution system. The system 788 sometimes cannot even be solved using the thermodynamic equilibrium approach when 789 coarse mode dust and sea salt particles present. A reasonable nitrate simulation also 790 depends on good simulations of various precursors, such as  $NH_3$ ,  $HNO_3$ , dust and sea 791 salt, although models account for impact of dust and sea salt very differently. Even an accurate simulation of  $SO_4^{2-}$  is a prerequisite because  $SO_4^{2-}$  surpasses  $NO_3^{-}$  at reacting 792 793 with NH<sup>+</sup>.

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795 The models' intercomparison and model-observation comparison revealed at least two 796 critical issues in nitrate simulation that demand further exploration: NH<sub>3</sub> wet deposition 797 and relative contribution to  $NO_3^-$  formation via NH<sub>3</sub> and dust/sea salt. The nine 798 participating models adopt very different effective Henry's law constants for NH<sub>3</sub>, with 799 one group having a value equal or less than 100 (in pure water) and the other larger than 800 1.e+05 (with pH correction). Sensitivity studies using the GMI model indicated that 801 without pH correction,  $NH_3$  wet deposition decreases massively (from 17.5 to 1.1 Tg), 802 which prolongs atmospheric NH<sub>3</sub> lifetime (from 0.67 to 5.2 days) and enhances its 803 atmospheric burden (from 0.11 to 0.85 Tg), and thus the atmospheric burden of  $NH_4^+$ 804 (from 0.17 to 0.48 Tg) and  $NO_3^-$  (from 0.26 to 0.97 Tg) as well. These enhanced tracers 805 tend to accumulate in the upper troposphere and close to the tropopause, and are too high 806 when compared with aircraft measurements. Since liquid-phase reaction 2 in Appendix 807 can reach equilibrium quickly within a chemical time step, we recommend including it in 808 accounting for NH3 solution. Theoretically, a more accurate approach is to combine wet 809 removal with liquid-phase chemistry calculation. In other words, instead of using an 810 implicit calculation of effective Henry's law constant, the gas-liquid phase equilibrium is 811 explicitly calculated based on the chemical mechanism used in the liquid phase. The 812 solution of NH<sub>3</sub> is calculated by solving a set of partial differential equations, which 813 includes not only the gas-liquid phase equilibrium, but also all the important reactions in 814 the liquid phase, as adopted in EMAC model.

815

816 All the models use thermodynamic equilibrium to solve the chemical process of  $NH_3/NH_4^+$  to  $NO_3^-$  formation in fine mode aerosols. However, the models adopt very 817 818 different ways in accounting for the contribution of these reactions on the surface of dust and sea salt particles: some account for both dust and sea salt, some account for only dust 819 820 or only sea salt, and two models even do not account for dust and sea salt. The 821 methodologies that take dust and sea salt into account are also very different, i.e. together 822 with NH<sup>+</sup><sub>4</sub> using thermodynamic equilibrium model or simply adopting a first order loss 823 rate on dust and sea salt surfaces. The chemical budget reported by GMI and INCA

- 824 indicates that the majority (>80%) of global  $NO_3^-$  formation is via reaction on dust and
- sea salt. Two sensitivity experiments using the GMI model by tagging the  $NO_3^-$  formation
- from either  $NH_3/NH_4^+$  chemistry or heterogeneous reactions on dust and sea salt confirm
- the critical importance of the latter process, and indicate that the former process is
- relatively important in remote regions. The importance of  $NO_3^-$  formation on dust and sea
- 829 salt lies also in its determination on nitrate particle size distribution, so that has an
- 830 implication in air quality and climate studies as well.
- 831

832 Our work presents a first effort to assess nitrate simulation from chemical (e.g. chemistry among  $NH_3$ ,  $NH_4^+$ ,  $NO_3^-$ ,  $SO_4^{2-}$ , dust and sea salt) and physical processes (e.g emission, 833 dry deposition, and wet deposition). A companion study is proposed by AeroCom III 834 835 nitrate activity to investigate how sensitive nitrate formation is in response to possible future changes in emission and meteorological fields. These perturbation fields include 836 837 increasing NH<sub>3</sub> emission, decreasing NOx, SOx, and dust emissions, and increasing 838 atmospheric temperature and relative humidity. It would be particularly interesting to 839 examine how aerosol pH changes and its influence on atmospheric acid/base gas-particle 840 system. Future aerosol pH does not necessarily increase with SO<sub>2</sub> emission reduction. 841 Indeed, studies over US southeast indicated that its aerosol has become more acidic over 842 the past decade although SO<sub>2</sub> emission decreased and NH<sub>3</sub> emission stayed constant [Silvern et al., 2017; Weber et al., 2016]. This environment of high aerosol acidity 843 844 hinders the formation of nitrate aerosol, which only occurs when pH is over  $\sim 2$  to 3 845 [Weber et al., 2016]. In addition, understanding why and how the system is insensitive to

- 846 changing  $SO_2$  level due to buffering of the partitioning of semivolatile  $NH_3$  helps us to 847 gain insight into how errors in sulfate (and ammonium) may propagate to errors in
- aerosol nitrate. In particular, the correlation between model predictions and observations
- for  $SO_4^{2-}$  and  $NH_4^+$  is quite poor for some models (Figure 4). It would also be interesting to include organic gas/aerosol in the system since they are not only important
- atmospheric components, but also reduce the uptake of NH<sub>3</sub>. Competition for uptake between NH<sub>3</sub> and organic gases considerably slows down the approach to
- thermodynamic equilibrium [Silvern et al., 2017]. Based on the findings of this work,
- modelers should pay particular attention to incorporating dust and sea salt and treating
- 855 NH<sub>3</sub> wet deposition to improve nitrate simulation. Further evaluation using satellite
- 856 measurements, such as  $NH_3$  products from IASI and TES, is desired and will be
- 857 conducted. Such evaluation requires global 3-dimensional high frequency model data.
- Potential future study also includes estimation of nitrate forcing for climate change.

### 860 Appendix

- For some acidic/basic gases, including  $NH_3$ , Henry's law constant is also a function of pH in water (a.k.a effective Henry's law constant). This is because not only does the
- aqueous chemistry reaction  $NH_3 + H_2O$  (equation 1) reach equilibrium within a chemical time step but its product  $NH_3 \cdot H_2O$  (equation 2) does as well.

$$\begin{array}{l} NH_3 + H_2 0 \Leftrightarrow NH_3 \cdot H_2 0 \\ NH_3 \cdot H_2 0 \Leftrightarrow NH_4^+ + 0H^- \end{array}$$
(1) (2)

Here,  $NH_4^+$  is the ammonium ion and  $OH^-$  is the hydroxide ion. The total dissolved ammonia  $[NH_3^T]$  is given by

$$[NH_{3}^{T}] = [NH_{3} \cdot H_{2}O] + [NH_{4}^{+}]$$

$$= p_{NH3} H^{\Theta} \left( 1 + \frac{K_{al} [\mathrm{H}^+]}{K_w} \right)$$
$$\approx p_{NH3} \left( H^{\Theta} \frac{K_{al} [H^+]}{K_w} \right)$$
(3)

Here,  $p_{NH3}$  is the partial pressure of  $NH_3$ ,  $K_{al} = [NH_4^+][OH^-] / [NH_3 \bullet H_2 O] \approx 1.8 \times 10^{-5}$ , and K<sub>w</sub> = 1.0x10<sup>-14</sup> at 298 K in pure water. So the effective Henry's law constant H<sup> $\Theta$ \*</sup> is

869 inferred from  $H^{\Theta}$  with a correction of pH (pH =  $-\log_{10}[H^+]$ ) as

$$H^{\Theta^*} = H^{\Theta} \frac{K_{al}[H^+]}{K_w}$$
(4)

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Model	CHEM-EQM	HNO3 chem mechanism	N2O5 Hydrolysis	CHEM DUST	CHEM SEASALT	How do CHEMDUSSª	Bins for nitrate	Model Name & resolution	References
CHASER	ISORROPIA-I	CHASER (Sudo et al., 2002)	$\gamma^b$ (0.1 for SO_4^-, NO_3^-, OC, DU, and SS, and 0.05 for liquid cloud particles) (Dentener and Crutzen, 1993)	No	No		Fine mode	MIROC, GCM, 2.8°x2.8°x64	Watanabe et al., 2011
EMAC	ISORROPIA-II (Stable state <sup>c</sup> )	MESSy2 (Jöckel et al., 2010)	$\gamma$ (STA), STA4: climatological aerosol in Aitken, accumulation, and coarse soluble modes (Jöckel et al 2010).	Yes	Yes	ISORROPIA- II (TEQM)	4 bins: Nucleation, Aitken, Accumulation, Coarse	ECHAM5, GCM, 2.8°x2.8°x31	Karydis et al., 2016
EMEP	MARS	EMEP EmChem09 (Simpson et al., 2012)	$\gamma$ (STA, T, RH), STA: $\rm NH_4^+$ , $\rm SO_4^{2-}$ , $\rm NO_3^-$ (Evans and Jacob, 2005; Davis et al.,2008)	Yes	Yes	First order loss (HETCHEM)	Fine and coarse	ECMWF-IFS, CTM, 0.5x0.5°x20	Simpson et al., 2012
GMI	RPMARES (Stable state)	GMI (Straham et al., 2007)	$\gamma$ (STA, T, RH), STA: BC, OC, SO <sub>4</sub> <sup>2-</sup> , DU, SS (Evans and Jacob, 2005).	Yes	Yes	first order loss (HETCHEM)	3 bins: (D<0.1, 0.1 - 2.5, > 2.5 um)	MERRA2, CTM, 2.5°x2°x72	Bian et al., 2009
INCA	INCA (Stable state)	INCA tropospheric chemistry (Hauglustaine et al., 2004)	$\gamma$ (STA, T, RH), STA: BC, SO <sub>4</sub> <sup>2-</sup> , DU, SS (Evans and Jacob, 2005).	Yes	Yes	first order loss (HETCHEM)	2 bins : (D< 1μm and 1 - 10μm)	LMD-v4, GCM, 1.9°x3.75°x39	Hauglustaine et al., 2014
GISS MATRIX	ISORROPIA-II (Stable state)	MATRIX Bauer (2008) and tropospheric chemistry (Shindell et al., 2003)	γ (STA), STA: SO4 <sup>2–</sup> (Dentener and Crutzen, 1993)	No	No	NO	Distributed over all mixing states e.g. size distributions.	NASA GISS-E2, GCM, 2°x2.5°x40	Schmidt et al 2014
GISS OMA	EQSAM_v03d (Metastable <sup>e</sup> )	OMA (Bauer 2007) and tropospheric chemistry (Shindell et al., 2003)	γ (STA), STA: SO <sup>2–</sup> (Dentener and Crutzen, 1993)	Yes	No	Bauer and Koch, 2005 (HETCHEM)	Fine mode	NASA GISS-E2, GCM, 2°x2.5°x40	Schmidt et al 2014
Oslo CTM2	EQSAM_v03d (Metastable)	Oslo CTM2 (Berntsen and Isaksen, 1997)	γ (STA), STA: climatology aerosol (Dentener and Crutzen, 1993; Søvde et al., 2012).	No	Yes	EQSAM_ v03d (TEQM)	2 bins: fine and coarse mode	ECMWF, CTM, 2.8°x2.8°x60	Myhre et al., 2006
Oslo CTM3	EQSAM_v03d (Metastable)	Oslo CTM2 (Berntsen and Isaksen, 1997)	γ (STA), STA: climatology aerosol (Dentener and Crutzen, 1993; Søvde et al., 2012).	No	Yes	EQSAM_ v03d (TEQM)	2 bins: fine and coarse mode	ECMWF, CTM, 2.25°x2.25°x60	Myhre et al., 2006

Table 1. Nitrate chemical mechanism and physical properties of AeroCom models

<sup>a</sup>CHEMDUSS: Chemistry reaction on dust and sea salt particles

<sup>b</sup>γ: the dimensionless uptake coefficient

<sup>c</sup>Stable state: where salts precipitate once the aqueous phase becomes saturated

<sup>d</sup>STA: Surface of Tropospheric Aerosols

eMetastable: where the aerosol is composed only of a supersaturated aqueous phase

	ISORROPIA-I	ISORROPIA-II	MARS	RPMARES	INCA	EQSAM_v03d
Species	Sulfate, nitrate, ammonium, sodium, chloride	Sulfate, nitrate, ammonium, sodium, chloride, crustal species	Sulfate, nitrate, ammonium	Sulfate, nitrate, ammonium	Sulfate, nitrate, ammonium	Sulfate, nitrate, ammonium, sodium, chloride
# of components	23	34	16	11	9	18
# of reactions	15	27	7	6	4	25
Multicomponent activity coefficient	Bromley	Bromley	Bromley	Bromley	Seinfeld and Pandis	Metzger
Binary activity coefficient	Kusik and Meissner	Kusik and Meissner	Pitzer	Pitzer	Seinfeld and Pandis	Metzger
Water activity	ZSR <sup>a</sup>	ZSR	ZSR	ZSR		ZSR
Kelvin effect	No	No	No	No	No	No
Quantities that determine subdomains	[Na <sup>+</sup> ], [NH <sup>+</sup> <sub>4</sub> ], [SO <sup>2-</sup> ]	[Ca <sup>2+</sup> ], [K <sup>+</sup> ], [Mg <sup>2+</sup> ], [Na <sup>+</sup> ], [NH <sup>4</sup> ], [SO <sup>2–</sup> ]	RH, [NH <sup>+</sup> ], [SO <sup>2–</sup> ]	[NH <sub>4</sub> <sup>+</sup> ], [SO <sub>4</sub> <sup>2-</sup> ]	[NH <sub>4</sub> <sup>+</sup> ], [SO <sub>4</sub> <sup>2–</sup> ]	[NH <sub>4</sub> <sup>+</sup> ], [SO <sub>4</sub> <sup>2-</sup> ]
# of subdomains	4	5	4	2	3	3

**Table 2. Characteristics of thermodynamic equilibrium models** 

1252 <sup>a</sup>ZSR: Zdanovskii-Stokes-Robinson

### **Table3. Summary of the observational data used in this study**

SURFACE NETWORK	QUANTITY	COVER AREA	# of sites in 2008	SAMPLE FREQUENCE	SOURCE
CASTNET	Concentration of $HNO_3$ , $NO_3^-$ , $NH_4^+$ , $SO_4^{2-}$	North America	83	weekly	www.epa.gov/castnet/ clearsession.do
AMoN	Dry deposition of them Concentration of NH <sub>3</sub>	U.S.	19	2-weekly	http://nadp.isws.illino is.edu/
NADP/NTN	Wet deposition of $HNO_3+NO_3^-$ , $NH_4^+$ , $SO_4^{2-}$	U.S.	253	weekly	nadp.isws.illinois.edu
EMEP	Concentration of $HNO_3$ , NH <sub>3</sub> , NO <sub>3</sub> <sup>-</sup> , NH <sub>4</sub> <sup>+</sup> , SO <sub>4</sub> <sup>2-</sup>	Europe	35	daily	http://www.nilu.no/pr ojects/ccc/index.html
EANET	Concentration of $HNO_3$ , NH <sub>3</sub> , NO <sub>3</sub> <sup>-</sup> , NH <sub>4</sub> <sup>+</sup> , SO <sub>4</sub> <sup>2-</sup>	East Asia	56	Daily to 2-weekly	http://www.eanet.asia /eanet/brief.html
	Wet deposition of HNO <sub>3</sub> +NO <sub>3</sub> <sup>-</sup> , NH <sub>4</sub> <sup>+</sup> , SO <sub>4</sub> <sup>2-</sup>			24 hours or precipitation event	
AIRCRAFT CAMPAIGNS	QUANTITY	COVER AREA	# of Flights	CAMPAIGN PERIOD	SOURCE
ARCTAS-A	Concentration of $NO_3^-$ ,	Alaska, U.S.	11	March-April	http://www-
ARCTAS- CARB	$\rm NH_{4}^{+}, \rm SO_{4}^{2-}$	California Bay area U.S.	6	June	air.larc.nasa.gov/cgi- bin/arcstat-c
ARCTAS-B		Central Canada	7	July	

### Table 4a. $NO_3^-$ global budget for each model

Tracer	Model	Burden (Tg)	SConc (µg kg-1)	DDep (Tg a-1)	WDep (Tg a <sup>-1</sup> )	ChemDU (Tg a <sup>-1</sup> )	JSS ChemPa (Tg a <sup>-1</sup> )	Lifetime (days)	AOD <sup>b</sup>
$NO_3^-$	CHASER	0.16	0.18	-	-	-	-	-	0.0076
	EMAC	0.67	0.47	46.3	-		-	-	-
	EMEP	0.96	0.30	15.0	62.7		(71.7) <sup>c</sup>	4.5	0.0073
	GISS-	0.22	0.06	1.3	9.6		(10.9)	7.4	-
	MATRIX								
	GISS-	0.14	0.05	1.1	5.5		(6.6)	7.8	0.0153
	OMA								
	GMI	0.26	0.22	14.9	31.5	41.9	4.8	2.1	0.0047

INCA	0.79	0.17	4.5	44.6	44.1	9.8	5.9	0.0064
Oslo- CTM2	0.60	0.25	47.8	61.5	(1	109.3)	2.0	0.0018
Oslo-	1.88	0.36	34.6	90.6	(	125.2)	5.5	
CTM3	1.00	0.50	51.0	50.0	(	120.27	5.5	
Avg	0.63	0.23	20.7	45.9		60.6	5.0	0.0072
Med	0.60	0.22	15.0	44.6		46.7	5.5	0.0064
Ratio <sup>d</sup>	13.4	9.4	43.5	16.5		19.0	3.9	8.5

<sup>a</sup>: ChemP refers to NO<sub>3</sub><sup>-</sup> chemical production associated with NH<sub>3</sub>/NH<sub>4</sub><sup>+</sup>

1258 b: AOD here includes  $NH_4^+$  that is associated to  $NO_3^-$  for all models expect EMEP

1259 c: value inside parenthesis is estimated total NO<sub>3</sub><sup>-</sup> chemical production based on its

1260 total loss, while budget without parenthesis is reported directly by model.

<sup>d</sup>: a ratio between maximum to minimum model simulations

1262

### 1263 **Table 4b NH**<sub>3</sub> and NH<sup>+</sup><sub>4</sub> global budget for each model

Tracer	Model	Emi	Burden	SConc	DDep	WDep	ChemP/L <sup>a</sup>	Lifetime	AOD
		(Tg a <sup>.</sup> 1)	(Tg)	(µg kg-1)	(Tg a <sup>.1</sup> )	(Tg a <sup>.1</sup> )	(Tg a <sup>.</sup> 1)	(days)	
$NH_4^+$	CHASER		0.75	0.44	20.9	7.2	(28.1) <sup>b</sup>	9.8	-
	EMAC		0.19	0.12	3.6	44.5¢	-	-	-
	EMEP		0.20	0.15	4.0	26.4	(30.4)	2.4	0.0059
	GISS-		0.31	0.18	4.1	27.9	(32.0)	3.5	-
	MATRIX								
	GISS-OMA		0.31	0.19	4.2	24.0	(28.2)	4.0	-
	GMI		0.17	0.14	1.7	30.6	32.2	1.9	-
	INCA		0.39	0.08	2.4	20.4	22.9	6.3	-
	Oslo-CTM2		0.29	0.14	5.3	32.6	(37.9)	2.8	-
	Oslo-CTM3		0.30	0.16	5.6	26.1	(31.7)	3.5	-
	Avg		0.32	0.18	5.8	24.4 <sup>d</sup>	30.4	4.3	
	Med		0.30	0.15	4.1	26.3 <sup>d</sup>	31.1	3.5	
	Ratio		4.4	5.5	12.3	4.5¢	1.7	5.2	
NH3	CHASER	62.8	0.13	0.46	19.8	6.8	(36.2) <sup>b</sup>	0.76	
	EMAC	59.3	0.85	1.39	15.5	-	-	-	
	EMEP	56.9	0.09	0.46	15.4	18.2	(33.6)	0.98	
	GISS-	63.4 <sup>e</sup>	0.17	0.26	18.1	13.4	(31.9)	0.98	
	MATRIX								
	GISS-OMA	63.4 <sup>e</sup>	0.17	0.25	18.4	16.7	(28.3)	0.98	
	GMI	60.4	0.11	0.40	12.6	17.5	30.4	0.67	
	INCA	70.5 <sup>e</sup>	0.12	0.39	29.3	18.6	22.4	0.62	
	Oslo-CTM2	65.9	0.08	0.27	15.8	8.1	(42.0)	0.44	
	Oslo-CTM3	63.3	0.05	0.51	23.7	7.7	(31.9)	0.29	
	Avg	62.9	0.20	0.49	18.7	13.4	32.1	0.72	
	Med	63.3	0.12	0.40	18.1	15.1	31.9	0.72	
	Ratio	1.2	17.0	5.6	2.3	2.7	1.9	3.4	

1264 <sup>a</sup>ChemP/L: chemical production or loss term

<sup>b</sup> chemical budgets inside parenthesis are inferred based on the reported emission

1266 and total deposition

1267 <sup>c</sup> EMAC gives total wet deposition of NH<sub>4</sub><sup>+</sup> and NH<sub>3</sub>

<sup>d</sup> Statistic values of NH<sup>+</sup><sub>4</sub> wet deposition do not include EMAC

<sup>e</sup> INCA uses ECLIPSE anthropogenic emissions, two GISS models use CMIP5

1270 anthropogenic emission, and all other models use HTAPv2 anthropogenic emissions

1271

Tracer	Model	Burden <sup>a</sup> (Tg)	SConc (µg kg <sup>-1</sup> )	DDep (Tg a <sup>.1</sup> )	WDep (Tg a∙1)	CheAP <sup>b</sup> (Tg a <sup>-1</sup> )	CheGP <sup>c</sup> (Tg a <sup>.1</sup> )	CheAL <sup>d</sup> (Tg a <sup>.1</sup> )	CheGL <sup>e</sup> (Tg a <sup>.1</sup> )	Lifetime (days)
HNO <sub>3</sub>	CHASER	1.1	0.29	74.0 <sup>f</sup>	120.9 <sup>f</sup>	-	-	-	-	-
	EMAC	3.1	0.32	56.1	136.0 <sup>f</sup>	-	-	-	-	-
	EMEP	0.66	0.04	39.2	123.9	-	-	-	-	-
	GISS- MATRIX	5.7	0.12	61.7	167.5	-	-	-	-	-
	GISS- OMA	5.3	0.10	49.8	148.2	-	-	-	-	-
	GMI	1.8	0.18	39.7	128.1	128.1	413	42.6	299	3.5
	INCA	1.5	0.09	47.7	77.5	21	369	10.0	210	5.7
	Oslo- CTM2	1.3	0.05	36.1	66.0					
	Oslo- CTM3	2.3	0.04	36.0	49.3	-	-	-	-	-
	Avg	2.5	0.14	45.8 <sup>g</sup>	108.7 <sup>g</sup>					
	Med	1.8	0.10	43.7 <sup>g</sup>	123.9 <sup>g</sup>					
	Ratio	8.6	8.0	1.6 <sup>b</sup>	3.4 <sup>b</sup>					

1273 Table 4c. HNO<sub>3</sub> global budget for each model

- 1274 <sup>a</sup>HNO3 burden for the atmosphere up to 100 hPa
- <sup>b</sup>CheAP: chemistry production from aerosol phase 1275
- 1276 <sup>c</sup>CheGP: chemistry production from gas phase
- 1277 <sup>d</sup>CheAL: chemistry loss from aerosol phase
- 1278 <sup>e</sup>CheGL: chemistry loss from gas phase
- for both HNO<sub>3</sub> and  $NO_3^-$ 1279
- <sup>c</sup>statistical values do not include CHASER and EMAC that report total dry or wet 1280
- deposition of HNO<sub>3</sub> and NO<sub>3</sub> 1281
- 1282

#### Table 4d. $SO_4^{2-}$ global budget for each model 1283

Trac er	Model	Emi SO2 (Tg a <sup>-1</sup> )	Emi SO4 (Tg a <sup>-1</sup> )	Burden (Tg)	SConc (µg kg <sup>.</sup> 1)	DDep (Tg a <sup>.1</sup> )	WDep (Tg a <sup>-1</sup> )	Chem GP <sup>a</sup> (Tg a <sup>.1</sup> )	Chem AqP <sup>b</sup> (Tg a <sup>.1</sup> )	Lifetime (days)	AOD
S04 <sup>2-</sup>	CHASER	111	0	3.3	1.44	22.1	137	(1	59)	7.6	0.0826
	EMAC	138	619¢	1.9	1.72	504 <sup>d</sup>	302	(1	87)	0.86	-
	EMEP	109	0	0.83	0.45	10.2	109	(1	19)	2.5	0.0232
	GISS- MATRIX	133	5.1	1.3	0.63	16.6	97	(1	09)	4.2	-
	GISS- OMA	133	5.1	1.1	0.53	11.8	112	52.7	66.2	3.3	0.0714
	GMI	111	0	1.1	0.58	7.5	205	126.5	86.1	3.6	0.0257
	INCA	116.	8.0	1.8	0.34	8.4	116	42.2	75.1	5.3	0.0417
	Oslo- CTM2	133	4.1	2.0	0.49	17.6	184	(1	98)	3.6	0.0366
	Oslo- CTM3	133	4.1	2.7	0.55	20.2	160	(1	76)	5.5	
	Avge	122		1.8	0.63	14.3	140	1	51	4.5	0.0469
	Med <sup>e</sup>	133		1.6	0.54	14.2	127	1	39	3.9	0.0392
	Ratio <sup>e</sup>	1.2		4.0	4.2	2.9	2.1	2	.0	3.0	3.6

- <sup>a</sup> ChemGP: Chemistry production from gas phase reaction 1284
- 1285 <sup>b</sup> ChemAqP: Chemistry production from aqueous phase reaction
- <sup>c</sup> EMAC emission also includes sea spray SO<sub>4</sub><sup>2–</sup> 1286
- <sup>d</sup> EMAC dry deposition includes sedimentation and  $SO_4^{2-}$  sedimentation is very high since it has assumed that 7.7% of sea salt is  $SO_4^{2-}$ 1287
- 1288

- 1289 <sup>d</sup> Statistical values do not include EMAC
- 1290

### 1291 Table 5: Effective Henry Law constant used in the models

Aerocom Model	$H^{\Theta^*}$ (M/atm)	$-\Delta H_{sol}/R$ (K)
CHASER	3.0e+5	3400
EMAC <sup>a</sup>	-	-
EMEP <sup>b</sup>	-	-
GIS MATRIX	1.e+2	3415
GISS OMA	1.e+2	3415
GMI	1.05e6	4200
INCA	7.4e+1	3400
Oslo-CTM2	3.3e+6	0
Oslo-CTM3	3.3e+6	0

<sup>a</sup>EMAC: See its wet deposition description in section 4.1.1.

1293 <sup>b</sup>EMEP: The model does not use Henry law but applies simple empirical scavenging

1294 ratio, which for NH<sub>3</sub> is 1.4e6 for in-cloud and 0.5e6 for below-cloud scavenging. The

scavenging ratio by definition is the ratio the concentration of a certain pollutant in

1296 precipitation divided by the concentration of the pollutant in air.

1297

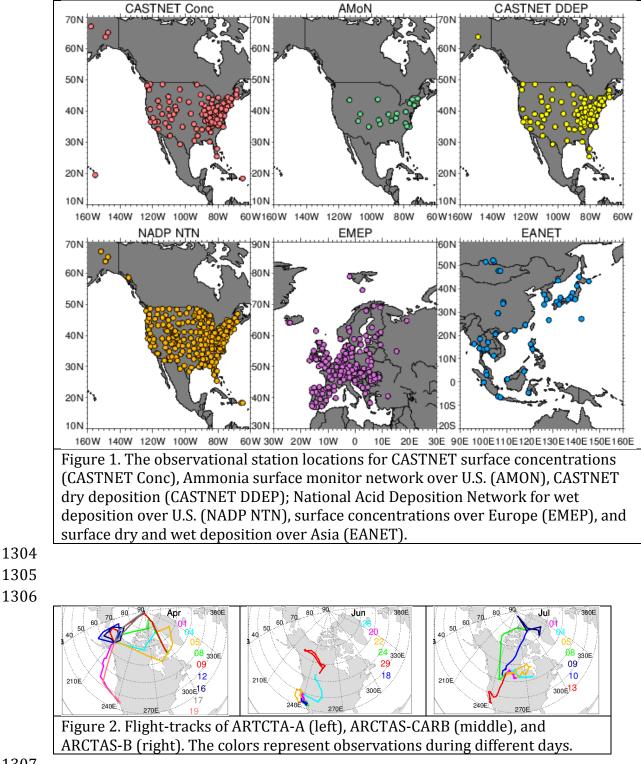
### 1298 **Table 6. Baseline and three sensitivity experiments in the GMI model**

Experiment	Setup	Purpose			
BASE	Standard simulation as described in section 2.1	Baseline simulation			
TWET	Set NH <sub>3</sub> effective Henry law constant from	Review impact of NH <sub>3</sub> wet			
	1.05e+6 (pH= 5.0) to 62 (pure water)	deposition			
TnoNH3	Turn off $NO_3^-$ production from $NH_3/NH_4^+$	Identify how large/where the $NO_3^-$ formation from $NH_3/NH_4^+$			
TnoHET	Turn off NO <sub>3</sub> production from dust and sea salt	Identify how large/where the $NO_3^-$			
		formation from dust and sea salt			

1299

# Table 7: NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, NH<sub>3</sub> and HNO<sub>3</sub> budgets from the base simulation and three sensitivity experiments

1001	001101	sensitivity enperiments								
	Tracer	Exps	Burden (Tg)	SConc (µg kg <sup>.1</sup> )	DDep (Tg a <sup>-1</sup> )	WDep (Tg a <sup>-1</sup> )	ChemDUSS (Tg a <sup>.1</sup> )	ChemP( Tg a <sup>.1</sup> )	Lifetime (days)	
	$NO_3^-$	BASE	0.26	0.22	14.9	31.5	41.9	4.8	2.1	
		Twet	0.97	0.23	14.8	43.3	41.0	18.3	6.0	
		TnoNH3	0.20	0.17	14.7	27.5	42.3	0	1.7	
		TnoHET	0.099	0.065	0.61	6.70	0	7.1	5.0	
1302										
	Tracer	Model	Emi (Tg a <sup>.1</sup> )	Burden (Tg)	SConc (µg kg-1)	DDep (Tg a <sup>-1</sup> )	WDep (Tg a <sup>.1</sup> )	ChemP/L (Tg a⁻¹)	Lifetime (days)	
	NH <sub>4</sub> <sup>+</sup>	BASE		0.17	0.14	1.7	30.6	32.2	1.9	
		Twet		0.48	0.16	1.9	50.7	53.0	3.4	
		TnoNH3		-	-	-	-	-	-	
		TnoHET		0.17	0.14	1.6	30.6	32.2	1.9	
	NH <sub>3</sub>	BASE	60.4	0.11	0.40	12.6	17.5	30.4	0.67	
		Twet		0.85	0.81	8.70	1.1	50.1	5.2	
		TnoNH3		0.32	0.58	20.9	39.3	0	1.9	
		TnoHET		0.10	0.40	12.6	17.4	30.4	1.2	



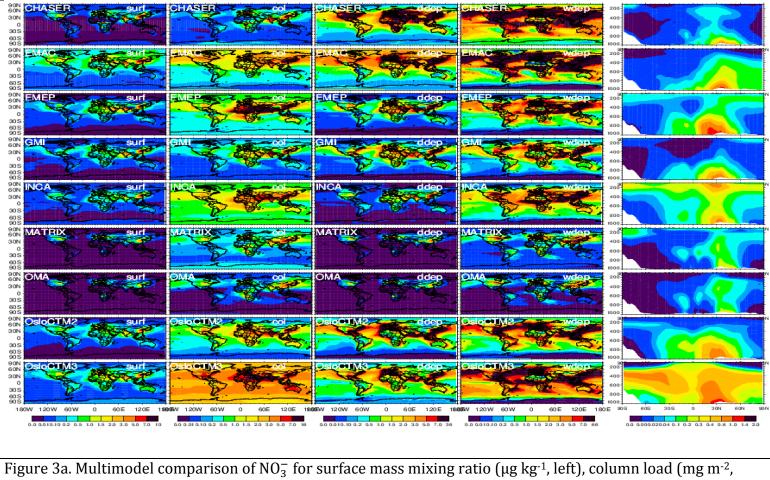
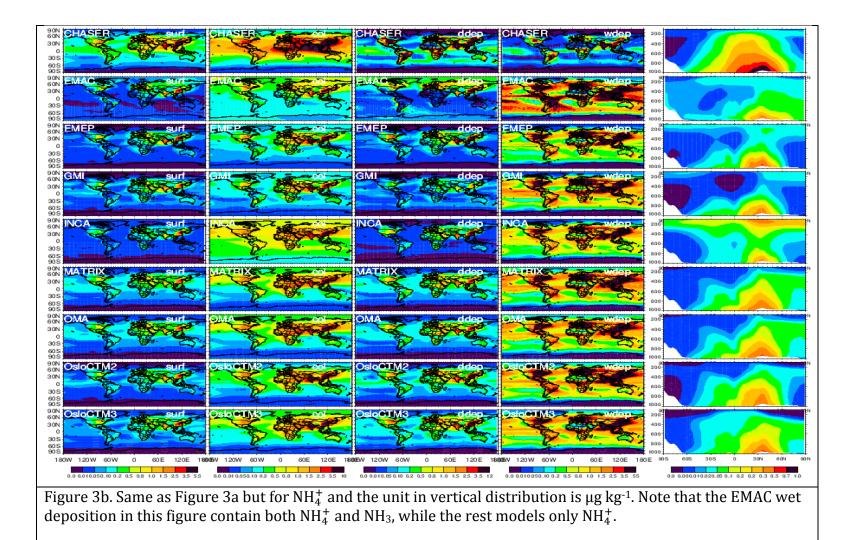
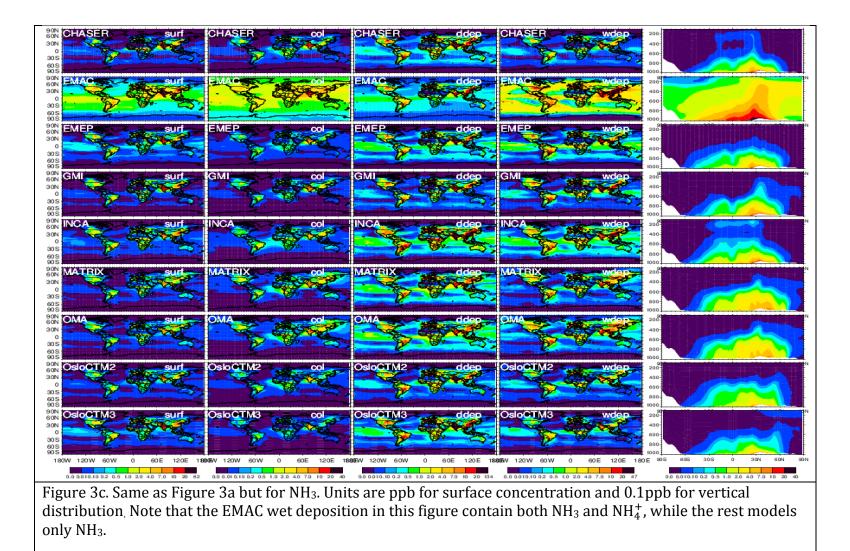


Figure 3a. Multimodel comparison of  $NO_3^-$  for surface mass mixing ratio (µg kg<sup>-1</sup>, left), column load (mg m<sup>-2</sup>, second), dry deposition (ng m<sup>-2</sup> s<sup>-1</sup>, third), wet deposition (ng m<sup>-2</sup> s<sup>-1</sup>, fourth), and vertical zonal mean (0.5µg kg<sup>-1</sup>, right). Note that the CHASER dry and wet depositions and the EMAC wet deposition in this figure contain both  $NO_3^-$  and  $HNO_3$ , while the rest models  $NO_3^-$ .





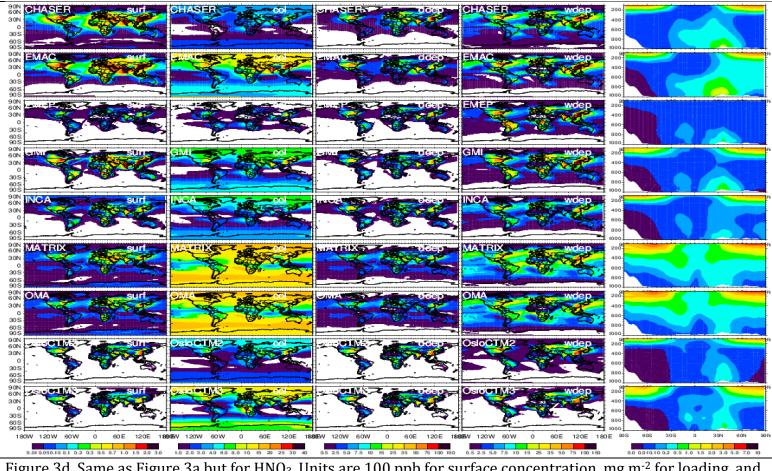


Figure 3d. Same as Figure 3a but for HNO<sub>3</sub>. Units are 100 ppb for surface concentration, mg m<sup>-2</sup> for loading, and 2ng m<sup>-2</sup> s<sup>-1</sup> for dry and wet depositions. Note that the column total of HNO<sub>3</sub> is from surface up to 100 ppb vertically. The CHASER dry and wet depositions and the EMAC wet deposition in this figure contain both HNO<sub>3</sub> and NO<sub>3</sub><sup>-</sup>, while the rest models only HNO<sub>3</sub>.

