- 1 Anonymous Referee #1
- 2 Received and published: 27 October 2017
- 3 This is original analysis air pollution trends in China based on aerosol extinction mea-
- 4 surements. The paper is well structured and clearly written. I do not find any scientific
- 5 errors in methods or data interpretation. I recommend this paper to published in ACP
- 6 *after considering the following minor issues.*
- 7
- 8 Thank you very much to the reviewer for his/her encouraging comments on our paper! We9 have replied to the specific comments below, and have also revised the manuscript
- 10 accordingly.
- 11
- 12 Scientific issues:
- 13 Lines 53-55: There is at least one more point why remote sensing observations are
- 14 problematic here: they do not easily distinguish between different mixed-layer height,
- 15 which is a major parameters affecting surface air pollution.
- 16
- 17 Thanks a lot for this note. We added a discussion here as follows:
- 18 "Moreover, remote sensing techniques cannot recognize mixed layer height, a major
- 19 parameter affecting surface air pollution, which make them unsuitable for air quality

- 20 studies."
- 21

- 22 Lines 61-63. The authors compared visibility observations against remote23 sensing
- 24 here. How about in situ measurements of air pollution vs. visibility observations? I
- 25 suppose that there are clear differences in terms of both spatial coverage and length of
- 26 time series. I would like to see in situ measurement shortly (couple of lines) mentioned
- 27 in this context as well.
- 28
- $\label{eq:29} We \ did \ compare \ visibility \ converted \ AEC \ data \ against \ in \ situ \ PM_{2.5} \ and \ PM_{10} \ observations$
- 30 in our previous paper:
- 31 Li, J., C. Li, C. Zhao, and T. Su (2016), Changes in surface aerosol extinction trends over
- 32 China during 1980–2013 inferred from quality-controlled visibility data, Geophys. Res.

- 33 Lett., 43, 8713-8719, doi:10.1002/2016GL070201.
- 34
- 35 The figure is attached below:



Figure R1. Validation of visibility converted aerosol extinction coefficient (AEC, km⁻¹)
against PM₁₀ (μg/m3) and PM_{2.5} (μg/m3) concentration. (a–c) The spatial distribution of
AEC collocates with PM₁₀ stations, distribution of PM₁₀, and the correlation between AEC
and PM₁₀ calculated using daily mean data. (d–f) The same information for AEC and PM_{2.5}.
(Figure source is *Li et al.*, 2016)

We also added a phrase here that the visibility data used in this study compare well with
surface air quality measurements. In the end of Section 2.1 we also mentioned that "This
AEC dataset has also been validated against surface PM_{2.5} and PM₁₀ measurements.
Please refer to *Li et al.* (2016) for detailed description of the correction and validation
processes."

3

⁴⁹ Technical issues:

- 50 The use of tense is not in a good balance in the abstract. I would recommend the
- 51 *authors to consider this point carefully and make the necessary revisions.*
- 52 Both AEC and its trend have a unit. It seems that these units have been scaled out
- 53 somehow from figures 1-4, making it impossible interprete the real magnitude of AEC
- 54 *(or its trend) from these figures. The authors should add this information.*
- 55

56	We are sorry for the confusion. The reviewer is correct in that the unit of AEC has been
57	scaled out, i.e., the trends in this paper actually refer to relative changes. This is because the
58	absolute magnitudes of mean and extreme AEC are different and thus the absolute trends
59	cannot be directly compared. We have ensured that the term trend is consistent throughout
60	the manuscript. We have also added an explanation in the abstract that the magnitude of the
61	trends are "expressed in terms of relative changes".
62	
63	line 266-267: studies $- >$ studiedremains to be understood whether

64

65 Corrected.

- 66 Anonymous Referee #2
- 67 Received and published: 19 December 2017
- 68 GENERAL
- 69 The paper presents trend analyses of aerosol extinction coefficient at numerous mea-
- 70 surement sites in China. Different methods for calculating trends are compared. The
- 71 analysis also compares trends in major areas of China. The paper is very interesting,
- 72 I can definitely recommend publishing it in ACP. I did not find any very big errors in the
- 73 paper. However, there are some points that need to be explained in more detail and
- some points that should be changed. The changes I am suggesting are minor, mainly
- 75 clarifications.
- 76
- 77 We thank the reviewer for his/her positive and helpful comments on our paper! We have
- addressed the detailed comments point-by-point below, and have also revised the paper
- 79 accordingly.
- 80

81 DETAILED COMMENTS

- 82
- 83 The most important point that should be changed is this: the Aerosol Extinction Co-
- 84 efficient (AEC) that is used for the analyses is not unitless like it is presented all over
- 85 the paper and the supplement. AEC comes from the Koschmieder formula (visibility =
- 86 3.912/AEC) and visibility is given in units of length. So, the unit of AEC is inverse units

of length, for instance inverse meters or inverse kilometers or inverse megameters. In
polluted areas of China extinction coefficient is typically in the range of some hundreds
of inverse megameters. Go through the paper and the supplement and present the
units of AEC everywhere both in the text and the figures. This is important also since
the AEC values are something that link the paper's values better to the rest of the world.
We are sorry for the confusion. Yes, AEC has unit km⁻¹. However, because the absolute

value of extreme and mean AEC can be orders of magnitude different which makes their
absolute trends incomparable, we report all trends in terms of relative changes. Therefore,
the trends throughout the paper are unitless. We have clarified this point in both the abstract
(line 13) and the main text (lines 158-160).

98

99 There are no tables. Give the main results in 1 or 2 tables. For instance trends within
100 each major region obtained with the different methods. Tables give you
101 also more references because they can easily be compared with by other authors.

102

Thanks for this suggestion. We added a table displaying the extreme and mean trends and
their differences for the major regions. We also added the same table for seasonal trends in
the supplementary material.

106

107 In the figures, give units for the color bars, if they have a unit. And if they are unitless,

108	give an ex	planation o	f the col	or scales	in the ca	ptions.	Now there	are no es	xplanations
-----	------------	-------------	-----------	-----------	-----------	---------	-----------	-----------	-------------

109 of the colorbars in any figure.

110

- 111 OK. We added statements in the figure captions that the trends are unitless (line 437).
- 112
- 113 L108 "... annual or seasonal time series of the 95th percentile of the extinction coef-
- 114 ficients ... " There is nowhere mentioned, what is time resolution of the data. So, does
- this mean the 95th percentile of one-minute or hourly or daily averaged AEC in any
- 116 given year? How do you define each season?
- 117
- 118 Again sorry for the confusion here. We use daily averages (averaged from hourly data) and
- 119 the percentiles refer to those of daily averages. We added an explanation as follows in lines

120 110-111:

- 121 "The hourly AEC is first averaged to daily values and 95th percentile (50th percentile for
 122 the median trend) is then calculated for each year or each season for the seasonal
 123 analysis."
- 124
- 125 The seasons are defined as: March, April and May for spring, June, July August for 126 summer, September, October and November for autumn and December, January and 127 February for winter, which are stated in the parenthesis of lines 260-263.

7

130 the same unit as AEC. Or is it - as I would assume - that b has the units of AEC divided

131 by the units of time, for instance inverse meters in a year if i - j in eq. (1) means time 132 step. Does it?

133

134	b has unit of AEC divided by units of time. We use annual percentiles of AEC so the unit of
135	b is km ⁻¹ /yr. However, b is not the final trend reported as we converted it to relative changes,
136	which is b times the number of years divided by the AEC value of the starting year (1980).

137 This point is clarified in lines 158-160.

138

139 The quantile regression has the formula (9). Is the beta in formula 9 the trend? If it is,

140 write it explicitly out. If it is not, in which formula is it? And further, does it also have

141 *units? It should if it is to be compared with b of eq. (1).*

142

143 Yes. The beta in (9) is the slope of the trend and its unit is also km^{-1}/yr . The final trend of

the quantile regression model is also reported as relative changes.

145

146 Figure 2 shows the probability density functions of AEC in different regions. It is very

147 interesting. But the same issue applies to this plot also: units. AEC has units of inverse

148 length, e.g., inverse megameters. So, I would recommend presenting the picture so

149 that you simply show the x-axis as inverse megameters but use a logarithmic scale.

That would also help in comparing the data with the rest of the world. Another issue in this figure is the values of the pdfs. The integral of a pdf should equal 1. Now there are values larger than 1 so the integrals are definitely > 1. Explain in detail what the y axes mean. And do corrections if needed. Further in the same figure: if you calculate a pdf like that, the data are divided into bins of AEC and then you present how large a fraction of data is in each bin. What is the bin division you used?

156

157 Figure 2 shows the distribution of the absolute AEC values so they have unit km⁻¹. We have changed the x axis to inversion megameters in logarithmic scale and added the unit in the 158 159 figure caption. We have double checked that the integral of all pdf functions are indeed 1. 160 Values greater than 1 are reasonable to appear in the pdf and this does not necessarily mean 161 the integral is greater than 1 (for integral you have to multiply the x axis). In fact, pdf can take any non-negative values as long as the integral equals to 1. The pdf only reflects the 162 163 probability density for some interval rather than the real probability. For example, in one 164 pdf in Figure 2 the y axis is 1.35 and the x axis is 6.21. This means the probability between 165 some very small interval around 6.21, say between 6.20 and 6.22 is 166 1.35*(6.22-6.20)=0.027. This number must not exceed 1. To produce Figure 2 we use 20 167 bins ranging from the minimum log(AEC) to the maximum log(AEC) to calculate each 168 pdf. 169

9

170

1,0

171 Different trends between extreme and median surface aerosol extinction

172 coefficients over China inferred from quality controlled visibility data

173 Jing Li^{1,*}, Chengcai Li¹, Chunsheng Zhao¹

174 Department of Atmospheric and Oceanic Sciences, School of Physics, Peking University,

175 176

Abstract

Beijing, China, 100871

178

177

179 Although the temporal changes of aerosol properties have been widely investigated, 180 the majority focused on the averaged condition without much emphasis on the extremes. 181 However, the latter can be more important in terms of human health and climate change. 182 This study uses a previously validated, quality-controlled visibility dataset to investigate 183 the long-term trends (expressed in terms of relative changes) of extreme surface aerosol 184 extinction coefficient (AEC) over China, and compare them with the median trends. Two 185 methods are used to independently evaluate the trends, which arrive at consistent results. 186 The sign of extreme and median trends are generally coherent, whereas their magnitudes 187 show distinct spatial and temporal differences. In the 1980s, an overall positive trend is 188 found throughout China with the extreme trend exceeding the mean trend, except for 189 Northwest China and the North China Plain. In the 1990s, AEC over Northeast and 190 Northwest China starts to decline while the rest of the country still exhibits an increase. 191 The extreme trends continue to dominate in the south while it yields to the mean trend in

the north. After year 2000, the extreme trend becomes weaker than the mean trend overall in terms of both the magnitude and significance level. The annual trend can be primarily attributed to winter and fall trends. The results suggest that the decadal changes of pollution in China may be governed by different mechanisms. Synoptic conditions that often result in extreme air quality changes might dominate in the 1980s, whereas emission increase might be the main factor for the 2000s.

198

199 1. Introduction

200

201 As a by-product of the rapid industrial and economic development, China has been 202 faced with a serious issue of air pollution. The variability and trends of China's air quality 203 or aerosol properties have become the focus of numerous past studies (Jinhuan and Liquan, 2000; Che et al., 2007; Deng et al., 2008; Streets et al., 2008; Yoon et al., 2011; 204 205 Guo et al., 2011; Zhang et al., 2015). While many of these works reached important conclusions about the temporal evolution of China's pollution, the majority only analyzed 206 207 the arithmetic means (e.g., monthly or annual means of aerosol optical depth), with little 208 attention paid to the extreme values. However, it is often these extremes that are 209 responsible for many health and climate related aftermaths. Additionally, considering that 210 the distribution of aerosol optical properties, such as aerosol optical depth (AOD) and 211 extinction coefficients, are often highly right-skewed (O'Neill et al., 2000; Collaud Coen 212 et al., 2013; Yoon et al., 2016), analyzing the arithmetic mean tends to discard the large

portion of information in the long tails, thus biasing the result. Moreover, as indicated by previous studies, extreme pollution events are often associated with abnormal synoptic conditions (*Zheng et al.*, 2015; *Ye et al.*, 2016), whereas the mean should be more prone to changes in the emission which increases pollution level overall. Therefore, analyzing the changes in both the mean and extreme values would help understand the factors influencing the variability of pollution.

219 For the few studies that did address temporal changes in the percentiles of aerosol 220 loading, usually either satellite or surface based remote sensing measurements are used, 221 such as Aerosol Optical Depth (AOD) retrievals from Moderate Resolution Imaging 222 Spectroradiometer (MODIS, Sullivan et al., 2015) or the Aerosol Robotic Network 223 (AERONET, Xia, 2011; Yoon et al., 2016). Nonetheless, remote sensing data is not ideal 224 for extreme analysis, mainly because it frequently misses heavy pollution conditions 225 likely associated with strict cloud screening (Lin and Li, 2016). Moreover, remote sensing 226 techniques cannot recognize mixed layer height, a major parameter affecting surface air 227 pollution, which make them unsuitable for air quality studies. As a result, the "real" 228 extremely high aerosol loadings cannot be well detected using remote sensing. On the 229 other hand, surface visibility observations that do not require cloud screening or other 230 retrieval assumptions, can serve as a suitable alternative for pollution related research. 231 After eliminating fog, rain or snow conditions, degradation of surface visibility can be 232 mainly attributed to aerosol extinction and are thus closely related to air quality (Husar et 233 al., 2000). Moreover, since routine visibility observation started as early as 1970s for

many sites, these data can offer a much longer time series for trend analysis than remote sensing products. Previously, *Li et al.* (2016) used a quality controlled (by comparing against surface PM_{10} and $PM_{2.5}$ measurements) visibility converted Aerosol Extinction <u>Coefficient (AEC)</u> dataset to study temporal changes of monthly mean surface aerosol extinction in China for the past 30 years and found that there are obvious shifts in the trends for different time periods. However, it still remains to understand whether the extreme values change faster or slower than the mean.

241 In this paper, we use the same dataset as in Li et al. (2016) to further investigate the trends of extremely high (defined as the 95th percentile) surface aerosol extinction 242 243 coefficients and compare them with the median trends representing averaged condition. 244 Although a threshold visibility value is often used in previous studies to define extreme 245 events (e.g., Fu et al. 2013 define extreme pollution as visibility lower than 5 km 246 conditions), the same threshold does not apply to all sites since their reporting 247 conventions may be different. We thus believe a percentile criterion would be more appropriate. In addition to estimating the linear trend of the 95th percentile value itself, we 248 also use a novel method proposed by Franzke (2013) based on quantile regression with 249 250 surrogate data testing for significance, who used this method to test for significant trends 251 in extreme temperatures. To our knowledge, this method has not been applied to aerosol 252 related research, and the independent application of two methods increases the robustness 253 of the results.

In section 2, we describe the data and method used in this study. The analysis results

are presented in section 3, followed by the conclusions and a brief discussion in section 4.

256

257 2. Data and Methods

258

259 2.1 Visibility data

260

261 Here we use the same visibility dataset as in Li et al. (2016). This hourly surface 262 visibility dataset is obtained from the National Centers for Environmental Information 263 (NCDC, http://www1.ncdc.noaa.gov/pub/data/noaa/) of the National Oceanic and 264 Atmospheric Administration (NOAA). The data selection criteria and quality control procedure strictly follows those implemented by Li et al. (2016). Briefly, data before 265 1980 is not used because of different reporting standard (Che et al., 2007; Wu et al., 266 2012). Those after 2013 are also excluded because many sites have replaced human 267 268 observation with automatic visibility sensors. Then the eight quality assurance steps proposed by Li et al. (2016) is applied to the dataset. A total of 272 sites are selected for 269 270 China, whose data have been manually inspected to show no observable jumps or spikes. 271 The visibility is further converted to Aerosol Extinction Coefficient (AEC) using the 272 Koschmieder formula (Koschmieder, 1926), and corrected for relative humidity effects 273 according to Husar and Holloway (1984) and Che et al. (2007). This AEC dataset has 274 also been validated against surface PM2.5 and PM10 measurements. Please refer to Li et al. 275 (2016) for detailed description of the correction and validation processes.

277 2.2 Trend analysis methods

278

279 We define extremes as the 95^{th} percentile of the visibility converted surface AEC.

280 The hourly AEC is first averaged to daily values and 95th percentile (50th percentile for

the median trend) is then calculated for each year or each season for the seasonal analysis.

To estimate trend of the extremes, we use two independent methods. The first is to obtain an annual or seasonal time series of the 95th percentile of the extinction coefficients and then perform a *Sen*'s slope (*Sen*, 1968) estimate of its linear trend. The *Sen*'s slope *b* is calculated as

286
$$b = \operatorname{Median}(\frac{X_i - X_j}{i - j}) \forall j < i$$
(1)

287 where X_i and X_j are the *i*th and *j*th value in the time series respectively.

288 Then the Mann-Kendall statistical test (Mann, 1945; Kendall, 1975) is applied to test

289 whether the trend is significant at 95% level. The test statistic is calculated as

290
$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \operatorname{sgn}(X_j - X_i)$$
(2)

291 Where n is the number of data points, and sgn is the sign function:

292
$$\operatorname{sgn}(X_{j} - X_{i}) = \begin{cases} +1 & \text{if } X_{j} > X_{i} \\ 0 & \text{if } X_{j} = X_{i} \\ -1 & \text{if } X_{i} < X_{i} \end{cases}$$
(3)

293 The variance of *S* is given by

15

Formatted: Superscript
Formatted: Superscript

294
$$Var(S) = \frac{1}{18}n(n-1)(2n+5)$$
(4)

If the sample size n>30, which is well satisfied in our case, the standard normal teststatistic ZS is computed using:

$$ZS = \begin{cases} \frac{S-1}{\sqrt{\operatorname{Var}(S)}} & \text{if } S > 0\\ 0 & \text{if } S = 0\\ \frac{S+1}{\sqrt{\operatorname{Var}(S)}} & \text{if } S < 0 \end{cases}$$
(5)

According to the normal distribution table, the 5% significance level is satisfied if |ZS|>1.96.

The second approach is quantile regression, which is a well established method used in many previous studies (*Koenker and Hallock*, 2001; *Hannachi*, 2006; *Barbosa et al.*, 2011; *Donner et al.*, 2012; *Franzke*, 2013) to estimate extreme trends of climate data. For regular linear least square regression, the model can be expressed as $E[y|\mathbf{X}] = \beta \mathbf{X} + \epsilon$ (6) where y is the response variable conditioned on **X**, and the β 's satisfy the minimization of

306 the summed error function

307
$$err = \min \sum \xi(y_i - \beta X_i)$$
(7)

308 where

297

$$\xi(u) = u^2 \tag{8}$$

310 For linear quantile regression, the response variable becomes the τ th ($\tau \in [0,1]$) quantile

311 of y conditioned on **X**,

312
$$Q_{\tau}[y | \mathbf{X}] = \beta \mathbf{X} + \varepsilon$$
(9)

313 where the β s still satisfy equation (2), but equation (3) now becomes

314
$$\xi_{\tau}(u) = \begin{cases} u\tau & u \ge 0\\ u(\tau - 1) & u < 0 \end{cases}$$
(10)

Note that ξ_{τ} is symmetric when $\tau = 0.5$, rotated to the right when $\tau < 0.5$ and to the 315 left when $\tau > 0.5$. The quantile regression problem can be numerically solved by linear 316 programming (Koenker and Hallock, 2001). Here we use the R package "quantreg" to 317 solve for the regression coefficients of daily mean AEC. Trends for both the 95th and 50th 318 319 (median) percentiles are estimated and the trends are compared. To test for significance of 320 the quantile regression trends, we adopt the bootstrap approach proposed by Franzke 321 (2013), who used surrogate data generated with the same autocorrelation function and the 322 same probability density function as the original dataset. The detailed generation procedure can be found in Schreiber and Schmitz (1996) and Franzke (2013). Here we 323 generate 1000 surrogate time series to represent the intrinsic variability of the AEC time 324 325 series.

In addition, we also calculate the trends for the median AEC (50th percentile) using the above two methods, and compare them with the extreme trends. All trends are normalized and expressed as <u>relative changes per decade</u>, <u>calculated as trend slope times</u> the length of the time series divided by the corresponding AEC percentiles of the initial year. Therefore the trends reported are unitless.

17

Deleted: percentage

Figure S1 in the supplement shows an example of the trend analysis using these two

methods. In the following text, to save space we only present trends using quantile
regression, whereas the *Sen's* slope results, which agree well with the former, are
presented in the supplement material.

336

337 3. Results

338

339 3.1 Trend Maps

340

We first examine the distribution and temporal changes of trends for all sites in China. As indicated by *Li et al.* (2016), there are significant temporal shifts of the magnitude and sign of monthly mean AEC trends for different decades. We thus also respectively examine the extreme and median trends for three consecutive decades: 1980-1990, 1991-2000 and 2000-2013. The overall trends for the 1980 to 2013 period are weakly positive for the majority of the sites (see Figure S2).

The three columns in Figure 1 show the distribution of extreme trend (upper row), median trend (middle row) and their differences (extreme minus median, bottom row) for the 272 sites for the three periods respectively. To avoid the confusion caused by positive and negative signs of the trend, the difference here are calculated using the absolute value of the extreme and median trends. Larger dots in black circles mean that the trends are statistically significant at 95% level. Figure 1 is the results from quantile regression, whereas the trends using *Sen*'s slope is presented in Figure S3, which shows largely

354 consistent pattern. It is seen from Figure 1 that the sign of median and extreme trends 355 mostly agree throughout China. An extensive positive trend is observed all over China in 356 the 1980s. During the 1990s, many sites, especially those in north China, began to 357 experience a decreased AEC. After year 2000, the north China sites continue to show 358 decreasing trends whereas AEC over many south China sites started to rise again.

359 However, a detailed comparison between median and extreme trends reveals distinct 360 spatial and temporal differences. Focusing on the bottom three panels of Figure 1 (g-h), it 361 is clear that in the 1980s, the extreme trends exceed the median trend throughout China, 362 with some differences as large as 50% (northwestern sites). The number of sites showing 363 significant extreme trend (178) is also greater than those with significant median trend 364 (91). Note that the number of significant sites can be different between quantile 365 regression and Sen's slope results, because (1) quantile regression is applied to daily data 366 while Sen's slope uses annual or seasonal percentiles and (2) quantile regression uses 367 bootstrap method to test for significance while Sen's slope uses MK test. Nonetheless, the 368 spatial patterns of the two methods are consistent. In the 1990s, the distribution of the 369 trend differences switched to a north-south "dipole" pattern, with negative values in the 370 north and positive in the south in general, i.e., extreme trends are weaker than the median 371 trend in the north but stronger in the south, with a rough separation at 33°N marked by 372 the horizontal black line on Figure 1h. In the north, the sites showing significant extreme 373 trends also becomes fewer than those with significant median trends in the north. Even in 374 the south, the difference between the extreme and median trends is much smaller

375 compared to the 1980s, indicating a slowdown of the increase in the extreme values. 376 After year 2000, almost the entire China exhibits a "blue" pattern as opposed to the "red" 377 pattern in the 1980s. Except for a few sites in central south China, the majority exhibits a 378 weaker extreme trend than the mean trend. There are also fewer sites showing significant 379 trends in the extreme (52) than in the median (119). This feature is particularly strong for 380 northeast, northwest and south China. Although east and south China still show positive 381 AEC trends, this result suggest that in this decade, the extreme pollution conditions have 382 not increased as much as the mean or background pollution.

In short, the positive trends in the 1980s over China can be primarily attributed an increase in the extremes. The 1990s experienced with a transition, with extreme trends becoming weaker than the median trend in the north and only slightly stronger in the south. Finally in the 2000s, the extreme trends largely yield to the median trends.

387

388 3.2 Regional Trends

389

To examine the spatial and temporal changes in more detail, we further divide the country into six representative regions, marked by black rectangles on Figure 1b. Three of these regions: the North China Plain (NCP), Yangtze River Delta (YRD), and Pearl River Delta (PRD) are the major urban conglomerates in China. Since the change in the extreme and median is essentially related to the shift of the distribution, we first evaluate the regional AEC (/Mm⁻¹) distributions for the three decades. Figure 2 plots these

396 distributions by region on logarithmic scale, as AEC is usually considered to follow a 397 lognormal distribution (Collaud Coen et al., 2013). The dashed lines in Figure 2 indicate location of the 95th percentile. For all regions, there is a rightward extension of the tail of 398 399 the distribution from 1990s to 1980s, implying an increase of the extremes, which is also characterized by the rightward shift of the 95th percentile line. NCP, YRD and NW China 400 also show a rightward shift of the distribution peak. From 1990s to 2000s, although the 401 distribution peak shifts to the right for PRD, YRD, SW China and NE China, there is no 402 403 obvious shift in the tail for these four regions. For the other two regions, NCP and NW 404 China, there is a leftward shift in both the peak and the tail, but the shift of the peak is 405 stronger. Overall, we can roughly conclude that the 1980s' AEC trend is characterized by 406 a change of the extremes, while in the 2000s the median dominates the trend.

407 Consistent with Li et al. (2016), we also calculate trends successively for all periods starting each year from 1980 to 2004 and ends in 2013 with 10-year increments. Figure 3 408 409 shows the temporal evolution of the quantile regression trend differences with x axis 410 indicating the trend calculation start year and y axis indicating the length of the time 411 series, with its counterpart using Sen's slope shown by Figure S4. To save space, only the 412 absolute differences between the extreme and median trends are presented in Figure 3, 413 while their respective values are shown in Figures S5 and S6 for quantile regression and 414 Figures S7 and S8 for Sen's slope. Table 1 displays the regional extreme and median 415 trends using the two methods and their differences for the three periods: 1980-1990, 416 1991-2000, 2001-2013. Note although the absolute values of Sen's slope and quantile

417 regression trends can be different, their signs are consistent. The time series and linear 418 trends for each region are presented in Figure S9. Because in Figure 3 the trends are 419 calculated successively for each period, it helps to examine the time node of the changes more precisely. For example, although Figures 1 and 2 both indicate that the extremes 420 421 increase more rapidly in the 1980s, for YRD and PRD, the duration is short with the 422 extreme trend exceeding the median trend since around 1982, while for the rest four 423 regions the change happened around 1986 or later. YRD, PRD and NE China experienced 424 a short period of stronger extreme trend from \sim 1994 to 1996, whereas the other three 425 regions show weaker extreme trends. After 2002, SW and NW China display a slightly 426 higher extreme trend, which is different from the rest four regions. These features suggest 427 that there can be minor differences when the trends are examined for different time 428 periods.

429 The seasonal time series of the difference between extreme and median quantile 430 regression trends are plotted in Figure 4, with a 4-year moving average to smooth out 431 small wrinkles (its counterpart using Sen's slope is shown in Figure S10). Note that 432 Figure 4 shows the evolution of the trend difference for every ten-year period from 1980 433 to 2004 (i.e, 1980-1989, 1981-1990,..., 2004-2013). An outstanding feature in Figure 4 is 434 that for all regions, the summer (JJA) trend difference (indicated by red curves) exhibit 435 quite different, or even reversed variability from the other three seasons and the annual 436 result. For NE, NW China and the PRD, spring (MAM) trends also have relatively larger 437 departure. In general, winter (DJF) and fall (SON) trends agree better with the annual

trend. Since these two seasons are dominated by anthropogenic aerosols such as sulfate, nitrate, black and organic carbon throughout China (*Cao et al.*, 2007; *Wang et al.*, 2007; *Wang et al.*, 2015), the results indicate that changes in anthropogenic aerosol loading are primarily responsible for the observed extreme and median trends. In the spring many regions are influenced by dust, and in the summer, the relative humidity effect may significantly enhance aerosol extinction. Both are natural factors and should have minor contribution to the annual trend according to Figure 4.

445

446 4. Conclusions and Discussion

447

448 While the trends of aerosol pollution in China have been studied, extensively, it 449 remains to understand whether the extreme conditions have changed and whether their 450 changes are faster or slower than the mean. In this study, we use a quality controlled 451 visibility dataset to examine decadal trends of extreme values of surface aerosol 452 extinction coefficients. Quantile regression and Sen's slope estimates are jointly used to 453 estimate the trends to improve its robustness. Our analysis reveals that in general, the 454 extreme and median trends agree in terms of the sign, but they can differ significantly in 455 terms of the amplitude. During the 1980s, the extremes increased faster than the median 456 for most China except for a few north and northwest sites. The 1990s experienced a 457 transition with extreme trend becoming weaker than median trend in the north but still 458 slightly stronger in the south. Then in the 2000s, the majority of the country exhibited a

23

Deleted: s

weaker extreme trend than the median trend. Seasonally, winter and fall trends are the
most consistent with annual trends, while the summer trend shows the largest departure
from the annual trend.

This study uses daily mean daytime AEC without accounting for its diurnal variability. Nonetheless, visibility can still change considerably in the course of a day (*Deng et al.*, 2011). To examine this effect we repeat the analysis using daily minimum and daily maximum AEC respectively. Their counterparts of Figure 1 are shown in Figures S11 and S12. A brief comparison indicates high resemblance of these two figures to Figure 1 that uses daily mean data, albeit with some reasonable differences in the amplitude.

470 The reason for the different behaviors between the extreme and median trends still 471 needs further investigation, and will be the topic of our future study. Some implication is that in the 1980s and part of 1990s, synoptic conditions might be playing a major role in 472 473 modulating aerosol variability. For example, several extremely heavy pollution events are believed to be linked to stagnant weather (Tao et al., 2014; Zheng et al., 2015). After mid 474 475 1990s, emission might become more dominate which tends to increase both the extreme 476 and the mean. But since it is a relatively uniform background change, the signal might be 477 more prominent in the mean condition. On the other hand, aerosol properties can also be 478 potentially influenced by decadal or interannual climate variability (Chen and Wang, 479 2015; Wang and Chen, 2016), whose footprint may be embedded in these extreme and 480 mean trends. However, the mechanism that they impact on the extremes and the mean

still need to be understood, and likely require a comprehensive study using both
observations and model simulations. This also requires the models to accurately simulate
the extreme events, which is a challenging task.

484 Admittedly, the visibility data is not ideal for aerosol-related studies, given its various sources of uncertainties as discussed in Li et al. (2016). However, it is a currently 485 486 best compromise since there is lack of reliable long-term aerosol observation datasets. 487 Moreover, remote sensing produces are vulnerable to extreme pollution, making them 488 unsuitable for extreme trend studies. For example, as discussed in Lin and Li (2013), MODIS frequently misses the heavy haze over north China likely due to cloud screening 489 490 algorithm. Sun photometers will also stop working when the sun is blocked by the heavy 491 pollution. This also suggests that current remote sensing instruments and retrieval 492 algorithms need to be improved to observe these extreme events.

493

494 Acknowledgements

We thank the NOAA NCDC database for providing the hourly visibility measurements used for this study. The data is downloaded from the NCDC public ftp at http://www1.ncdc.noaa.gov/pub/data/noaa/. This work is funded by National Science Foundation of China Grants No. 41575018 and No. 41530423, and the 1000-Young Talent program of China.

500

501 References

- 502
- 503 Barbosa, S. M. (2011), Testing for Deterministic Trends in Global Sea Surface
- 504 Temperature, J. Climate, 24, 2516–2522, doi:10.1175/2010JCLI3877.1.
- 505 Cao, J. J., et al. (2007), Spatial and seasonal distributions of carbonaceous aerosols over
- 506 China, J. Geophys. Res., 112, D22S11, doi:10.1029/2006JD008205.
- 507 Che, H., X. Zhang, Y. Li, Z. Zhou, and J. J. Qu, (2007). Horizontal visibility trends in
- 508 China 1981–2005. Geophys. Res. Lett., 34(24).
- 509 Chen, H. P., and H. J. Wang (2015), Haze days in North China and the associated
- atmospheric circulations based on daily visibility data from 1960 to 2012. J. Geophys.
- 511 Res. Atmos., 120(12), 5895-5909.
- 512 Collaud Coen, M., Andrews, E., Asmi, A., Baltensperger, U., Bukowiecki, N., Day, D.,
- 513 Fiebig, M., Fjaeraa, A. M., Flentje, H., Hyvärinen, A., Jefferson, A., Jennings, S. G.,
- 514 Kouvarakis, G., H. Lihavainen, C. Lund Myhre, W. C. Malm, N. Mihapopoulos,
- 515 J.V. Molenar, C. O'Dowd, J. A. Ogren, B. A. Schichtel, P. Sheridan, A. Virkkula, E.
- 516 Weingartner, R. Weller, P. and Laj, P. (2013), Aerosol decadal trends Part 1: In-situ
- 517 optical measurements at GAW and IMPROVE stations, *Atmos. Chem. Phys.*, 13,
- 518 869-894, doi:10.5194/acp-13-869-2013.
- 519 Deng, J., T. Wang, Z. Jiang, M. Xie, R. Zhang, X. Huang, and J. Zhu (2011).
- 520 Characterization of visibility and its affecting factors over Nanjing, China. *Atmos.*
- 521 *Res.*, *101*(3), 681-691.
- 522 Deng, X., X. Tie, D. Wu, X. Zhou, X. Bi, H. Tan, F. Li and C. Jiang (2008). Long-term

- 523 trend of visibility and its characterizations in the Pearl River Delta (PRD) region,
- 524 China. Atmos. Environ., 42(7), 1424-1435.
- 525 Donner, R. V., R. Ehrcke, S. M. Barbosa, J. Wagner, J. F. Donges, and J. Kurths (2012),
- 526 Spatial patterns of linear and nonparametric long-term trends in Baltic sea-level
- 527 variability, Nonlin. Processes Geophys., 19, 95–111, doi:10.5194/npg-19-95-2012.
- 528 Fu, C., Wu, J., Gao, Y., Zhao, D., and Han, Z. (2013). Consecutive extreme visibility events
- 529 in China during 1960–2009. Atmos. Environ., 68, 1-7.
- 530 Franzke, C. (2013), A novel method to test for significant trends in extreme values in
- serially dependent time series, *Geophys. Res. Lett.*, 40, 1391–1395,
- 532 doi:10.1002/grl.50301.
- 533 Guo, J. P., X. Y. Zhang, Y. R. Wu, Y. Zhaxi, H. Z. Che, B. La, W. Wang and X. W. Li,
- 534 (2011). Spatio-temporal variation trends of satellite-based aerosol optical depth in
- 535 China during 1980–2008. *Atmos. Environ.*, 45(37), 6802-6811.
- 536 Hannachi, A. (2006), Quantifying changes and their uncertainty in probability
- distributions of climate variables using robust statistics, *Clim. Dyn.*, 27, 301–317,
- 538 doi:10.1007/s00382-006-0132-X.
- 539 Husar, R. B., and J. M. Holloway (1984), The properties and climate of atmospheric haze,
- 540 in Hygroscopic Aerosols, edited by L. H. Ruhnke and A. Deepak, pp. 129–170,
- 541 Deepak Publ., Hampton, Va.
- 542 Husar, R. B., J. D. Husar, and L. Martin (2000). Distribution of continental surface aerosol
- 543 extinction based on visual range data. *Atmos. Environ.*, 34(29), 5067-5078.

- 544 Jinhuan, Q., and Liquan, Y. (2000). Variation characteristics of atmospheric aerosol optical
- depths and visibility in North China during 1980–1994. Atmos. Environ., 34(4),
- 546 <u>603-609</u>.
- 547 Kendall, M. G. (1975), Rank Correlation Methods, Griffin, London.
- 548 Koenker, R., and K. F. Hallock (2001), Quantile regression, J. Economic Prespectives, 15,
- 549 143–156.
- 550 Koschmieder, H. (1926). Theorie der horizontalen Sichtweite. Beitsaege Physik zur
- 551 *Atmosphere* 12, 33-55.
- 552 Li, J., C. Li, C. Zhao, and T. Su (2016), Changes in surface aerosol extinction trends over
- 553 China during 1980–2013 inferred from quality-controlled visibility data, Geophys. Res.
- 554 Lett., 43, 8713–8719, doi:10.1002/2016GL070201.
- 555 Lin, J.-T., and J. Li (2016), Spatio-temporal variability of aerosols over East China inferred
- by merged visibility-GEOS-Chem aerosol optical depth, *Atmos. Environ.*, 132, 111-122,
- 557 doi:doi:10.1016/j.atmosenv.2016.02.037.
- 558 Mann H. B. (1945). Nonparametric tests against trend. *Econometrica* 13: 245–259.
- 559 O'Neill, N. T., A. Ignatov, B. N. Holben, and T. F. Eck (2000). The lognormal distribution
- as a reference for reporting aerosol optical depth statistics; Empirical tests using
- 561 multi-year, multi-site AERONET sunphotometer data. Geophys. Res. Lett, 27(20),
- 562 3333-3336.
- 563 Schreiber, T., and A. Schmitz (1996), Improved surrogate data for nonlinearity tests,
- 564 Phys. Rev. Lett., 77, 635–638.

- 565 Sen, P. K. (1968), Estimates of the regression coefficient based on Kendall's tau, J. Am.,
- 566 Stat. Assoc., 63, 1379–1389.
- 567 Streets, D. G., C. Yu, Y. Wu, M. Chin, Z. Zhao, T. Hayasaka and G. Shi (2008). Aerosol
- trends over China, 1980–2000. *Atmos. Res.*, 88(2), 174-182.
- 569 Sullivan, R. C., R. C. Levy and S. C. Pryor (2015). Spatiotemporal coherence of mean and
- 570 extreme aerosol particle events over eastern North America as observed from
- 571 satellite. *Atmos. Environ.*, *112*, 126-135.
- 572 Tao, M., L. Chen, X. Xiong, M. Zhang, P. Ma, J. Tao and Z. Wang (2014). Formation
- 573 process of the widespread extreme haze pollution over northern China in January 2013:
- 574 Implications for regional air quality and climate. *Atmos. Environ.*, *98*, 417-425.
- 575 Wang, G., K. Kawamura, X. Zhao, Q. Li, Z. Dai and H. Niu (2007). Identification,
- abundance and seasonal variation of anthropogenic organic aerosols from a mega-city in
- 577 China. Atmos. Environ., 41(2), 407-416.
- 578 Wang, Q. Y., R.-J. Huang, J. J. Cao, X. X. Tie, H. Y. Ni, Y. Q. Zhou, Y. M. Han, T. F. Hu, C.
- 579 S. Zhu, T. Feng, N. Li, and J. D. Li (2015), Black carbon aerosol in winter northeastern
- 580 Qinghai–Tibetan Plateau, China: the source, mixing state and optical property, *Atmos.*
- 581 Chem. Phys., 15, 13059-13069, doi:10.5194/acp-15-13059-2015.
- 582 Wang, H. J. and H. P. Chen (2016), Understanding the recent trend of haze pollution in
- eastern China: roles of climate change. *Atmos. Chem. Phys.*, 16, 4205-4211.
- 584 Wu, J., C. Fu, L. Zhang and J. Tang, J. (2012). Trends of visibility on sunny days in China
- 585 in the recent 50 years. Atmos. Environ., 55, 339-346.



- 586 Xia, X. (2011). Variability of aerosol optical depth and Angstrom wavelength exponent
- 587 derived from AERONET observations in recent decades. *Environ. Res. Lett.*, 6(4),
- 588 044011.
- 589 Ye, X., Y. Song, X. Cai and H. Zhang (2016). Study on the synoptic flow patterns and
- 590 boundary layer process of the severe haze events over the North China Plain in January
- 591 2013. Atmos. Environ., 124, 129-145.
- 592 Yoon, J., W. von Hoyningen-Huene, M. Vountas and J. P. Burrows (2011), Analysis of
- 593 linear long-term trend of aerosol optical thickness derived from SeaWiFS using BAER
- over Europe and South China, *Atmos. Chem. Phys.*, 11, 12149-12167,
- 595 doi:10.5194/acp-11-12149-2011, 2011.
- 596 Yoon, J., A. Pozzer, D. Y. Chang, J. Lelieveld, J. Kim, M. Kim, Y. G. Lee, J.-H. Koo and K.
- 597 J. Moon (2016). Trend estimates of AERONET-observed and model-simulated AOTs
- 598 between 1993 and 2013. *Atmos. Environ.*, *125*, 33-47.
- 599 Zhang, X., L. Wang, W. Wang, D. Cao, X. Wang and D. Ye (2015). Long-term trend and
- 600 spatiotemporal variations of haze over China by satellite observations from 1979 to
- 601 2013. Atmos. Environ., 119, 362-373.
- 602 Zheng, G. J., F. K. Duan, H. Su, Y. L. Ma, Y. Cheng, B. Zheng, Q. Zhang, T. Huang, T.
- 603 Kimoto, D. Chang, U. Pöschl, Y. F. Cheng and K. B. He (2015), Exploring the severe
- 604 winter haze in Beijing: the impact of synoptic weather, regional transport and
- heterogeneous reactions, Atmos. Chem. Phys., 15, 2969-2983,
- 606 doi:10.5194/acp-15-2969-2015.

607	Table	e 2. Reg	gional	extreme	and me	edian ti	rends													*******	Formatted: Font:Times New Roman
	Re	1980-	1990					1991-	2000					2001-	2013						Formatted: Font:(Default) Times New Roman
	gio	SL*	SL,	Diffe	QR*	QR	Diffe	SL*	SL	Diffe	QR*	QR	Diffe	SL*	SL	Diffe	QR*	QR	Diffe	· · · · · · · · · · · · · · · · · · ·	Formatted: Font:(Default) Times New Roman
	n	95 th	Me	rence	95 th	Me	rence	95 th	Me	rence	95 th	Me	rence	95 th	Me	rence	95 th	Me	rence		Formatted: Font:(Default) Times New Roman
	_	perc	dia		perc	dia		perc	dia		perc	dia		perc	dia		perc	dia			Formatted: Font:(Default) Times New Roman
		entil	n		entil	n		entil	n		entil	n		entil	n		entil	n			Formatted: Font:(Default) Times New Roman
		e	-		e			e	-		e			e	-		e	-			Formatted: Font:(Default) Times New Roman
	NE	97	73	24	87	58	29	- 26	- 27	02	- 24	- 31	08	- 16	- 13	- 03	- 16	- 22	06 •		Formatted: Font:(Default) Times New Roman
	Chi	<u></u>		<u></u>	<u></u>		<u></u>		<u></u>	<u></u>				<u></u>			<u></u>	<u></u>		allow the second	Formatted: Font:(Default) Times New Roman
	na																				Formatted: Font:(Default) Times New Roman
	NC	67	70	03	50	71	11	14	02	12	13	17	04	15	27	12	16	28	12		Formatted Table
	P	.07	.70	05	.39	./1	<u>11</u>	<u>14</u>	02	<u>12</u>	<u>15</u>	<u>1/</u>	.04	.15	. <u></u>	<u>12</u>	.10	.20	<u>12</u>		Formatted: Font:(Default) Times New Roman
	Ň	.88	.79	.11	.91	.87	.04	.12	19	.32	01	22	.21	15	23	.08	09	24	.15		Formatted: Font:(Default) Times New Roman
	W Chi																				
	na																				
	SW	<u>.55</u>	<u>.15</u>	<u>.40</u>	<u>.46</u>	<u>.13</u>	<u>.33</u>	<u>.04</u>	<u>03</u>	<u>.07</u>	<u>.00</u>	<u>05</u>	<u>.05</u>	<u>.19</u>	<u>.07</u>	.12	<u>.16</u>	<u>.07</u>	<u>.09</u>		Formatted: Font:(Default) Times New Roman
	<u>Chi</u>																				
	<u>na</u>																			1	Formatted: Font:(Default) Times New Roman
	YR	<u>.68</u>	<u>.32</u>	<u>.36</u>	<u>.59</u>	<u>.33</u>	<u>.26</u>	<u>.05</u>	<u>11</u>	<u>.16</u>	<u>.08</u>	<u>02</u>	<u>.10</u>	<u>.13</u>	<u>.32</u>	<u>19</u>	<u>.14</u>	.33	<u>19</u>	1	Formatted: Font:(Default) Times New Roman
	D																			1	Formatted: Font:(Default) Times New Roman
	PR	.76	.17	.59	.66	.18	.48	.16	.12	.05	.13	.07	.06	.43	.54	11	.40	.53	13	//b	Formatted: Left
	D																			11	Formatted: Font:(Default) Times New Roman
-																				11/2	Formatted: Font:(Default) Times New Roman
08	* SL	refers t	o Sen'	s slope a	and QR	refers	to quan	tile reg	ression	r										K	Deleted:

Formatted: Font:(Asian) +Theme Body Asian (宋体), Font color: Auto







Figure 1. The first row: extreme trends estimate using quantile regression for the three decades, 1980-1990 (a), 1991-2000 (b), 2001-2013 (c); The second row: median trends estimated using quantile regression for the three decades; Bottom row: the difference between the values of the extreme trends and median trends, calculated as the extreme minus median. All trends are unitless and expressed as relative changes.

616





Figure 2. Probability distribution function (pdf) of AEC (megameter⁻¹) for the three

619 decades over the six representative regions marked on panel b of Figure 1. The AEC has

620 been converted to logarithmic scale.





624 Figure 3. Difference between extreme and median trends calculated using quantile

625 regression for the six representative regions marked on panel b of Figure 1. Trends are

between each year from 1980 to 2004 and the end of the record, with 10 minimum. The x

627 axis indicates the staring year, and the y axis indicates the length of the time series to

628 calculate the trend.

623



630 Figure 4. Seasonal time series of the difference between the extreme and median trends.

631 The trends are calculated for each 10 year period starting form 1980 to 2004 (x axis), i.e.,

the first point is the trend difference for the 1980 to 1989 period, the second from 1982 to

633 1990, etc.