

This study quantifies global source-receptor relationships of concentration, direct and indirect radiative forcing of sulfate aerosols utilizing an online chemistry-climate model but nudging it with reanalysis winds. They found that sulfate concentrations are mainly local origin in polluted regions, and their concentration efficiencies in terms of unit precursor emissions are high over arid regions with weak export. In addition, they found the indirect radiative forcing of sulfate aerosols is much larger than the direct radiative forcing. I found topic of this paper is interesting and is suitable for publication in this journal. However, substantial improvements are needed before publication. Following are the major and specific issues:

We thank the referee for all the insightful comments to the manuscript and helpful suggestions for improving the presentation quality. Below, we explain how the comments and suggestions are addressed (our point-by-point responses in blue) and make note of the changes that have been made to the manuscript, attempting to take into account all the comments raised here.

Major issues:

1. The authors should articulate the novelty or advance in science or methodology of this study when comparing to previous works. In the introduction, the authors listed a number of similar studies. However, the authors did not describe clearly their motivations to repeat this kind of work as well as the uniqueness of their findings.

Response:

In previous studies about source attribution of sulfate, only a limited number of anthropogenic source regions over the Northern Hemisphere were considered and examined (Park et al., 2004; Heald et al., 2006; Chin et al., 2007; Hadley et al., 2007; Yu et al., 2013; Bellouin et al., 2016; Stjern et al., 2016). Continents and subcontinents over the tropics and Southern Hemisphere are also important source and receptor regions for the sulfate radiative forcing, especially indirect forcing due to stronger aerosol-cloud interactions in clean environments (Koren et al., 2014). Although Liu and Mauzerall (2007) and Liu et al. (2008, 2009) included ten anthropogenic source regions, they only focused on source attribution of sulfate mass concentration without examining sulfate radiative forcing. In addition, few studies have quantified the global source-receptor relationships of sulfate indirect radiative forcing that can be attributed to local/non-local source regions and anthropogenic/natural source sectors.

Certainly, this study is not a repeat of previous work on source-receptor relationships. This is the first study that examines source attribution of sulfate radiative forcing with tagged anthropogenic and natural sources covering the whole globe. In this study, we quantify sixteen source region/sector contributions (fourteen major source regions and two natural source sectors) to regional and global sulfate mass concentrations, and direct and indirect radiative forcing of sulfate. Another novel aspect of this study is that we are using the new emissions datasets generated for the CMIP6 activities. Thus our model configuration and results could potentially be more comparable to future modeling results coming out of the CMIP6 activities than most of the previous studies.

We have revised the introduction section to show these novelties and discussed the differences between our study and previous studies. Please see responses to the more specific comments below.

2. It is unnecessary to discuss the source-receptor relationships in detail since previous works have already reported similar results. These discussions are lengthy and should be shortened substantially (i.e., abstract, sections 4 and 5). Some figures and discussions could be put into the supporting information.

Response:

We have significantly shortened the details of source-receptor relationships in the abstract as suggested. We would like to stress that our systematical analysis is from a variety of angles to describe source-receptor relationships of sulfate concentrations and radiative forcing, including both the near surface concentration and column burden, both direct and indirect radiative forcing, both oceanic and continental regions, both Northern and Southern Hemisphere, both anthropogenic and natural sources, and both absolute and relative contributions. For all these aspects, we don't see overall similar results to previous studies, especially, in a quantitative way. However, we do value the comment on our lengthy discussions, and have tried our best to shorten the source-receptor descriptions in sections 4 and 5.

3. For the method section, the authors may divide it into several subsections (e.g., model description, tracer tagging, model configurations, . . .). In addition, the parameterizations of calculating the DRF and IRF of sulfate need to be described in detail. The method used to calculate the DRF of sulfate from the tagged regions/sectors is also unclear.

Response:

We have now divided it into the suggested subsections: model description, sulfur source-tagging, emissions, and model configurations. We also clarified on the configurations of the list of simulations performed in this study.

We have also revised the description of parameterizations and the approach of calculating DRF and IRF to include more details, as the following:

“Sulfate is internally mixed with other species in the same aerosol mode and then externally mixed between modes. Sulfate refractive indices at visible wavelengths is  $1.43+0.00i$ . Activation of cloud droplets uses the scheme from Abdul-Razzak and Ghan (2000). The model simulates aerosol-cloud interactions in stratiform clouds using a physically based two-moment parameterization (Morrison and Gettelman, 2008). In addition to the standard radiative fluxes calculated in the model by taking into account all aerosols, the CESM has the capability of diagnosing radiative fluxes in parallel for a subset of aerosol species. The difference between the standard and the diagnosed radiative fluxes can then be attributed to the difference in aerosols considered in the radiation calculations. For example, the difference in shortwave radiation fluxes at the top of the atmosphere (TOA) represents the DRF of the excluded aerosol components in the diagnostic calculation (Ghan, 2013). Using this same method, the DRF of sulfate from any of the sixteen individual tagged regions/sectors can be derived from a pair of diagnostic radiation calculations with and without the particular tagged sulfate considered. To estimate IRF of sulfate from different sources, we define in this study an incremental IRF, calculated as  $\Delta(F_{\text{clean}} - F_{\text{clear, clean}})$ , where  $F$  is the radiative flux at TOA,  $F_{\text{clean}}$  is the flux calculated neglecting scattering and absorption by aerosols,  $F_{\text{clear, clean}}$  is the flux calculated neglecting scattering and absorption by both clouds and aerosols, and  $\Delta$  refers to the differences between the base and emission perturbed simulations.”

4. An incremental IRF is defined in this study to quantify the indirect radiative forcing of sulfate. However, there is no validation about this calculation. As the authors mentioned, anthropogenic sources contributed substantially to the incremental IRF over oceans, but few measurements over remote oceans were used to validate their sulfate calculation. The authors may use some aircraft measurements to verify their results over those remote regions.

Response:

The sulfate indirect effect has been fully validated in McCoy et al. (2017) with the same model (CAM5.1-MAM3-PNNL in their study). McCoy et al. (2017) reported that the CAM5.1-MAM3-PNNL model did quite well at producing a reasonable sensitivity of cloud to sulfate mass concentration compared to MODIS satellite data. In addition, in another multi-model intercomparison study including the base simulation results from this work, Fanourgakis et al. (in preparation) evaluates aerosol, CCN and cloud sensitivity in global models against several observational datasets. The incremental IRF in this study is also derived based on the sensitivity of cloud forcing to sulfate (20% of sulfate precursor emission). We have now cited these studies in the revised manuscript instead of duplicating the work.

5. In the introduction, the authors have mentioned that numerous previous studies have examined the sulfate radiative forcing from different sources and regions. However, in the discussion section, they did not carefully compare their results to

previous works. I would suggest the authors pay more attention to the difference between this study and previous works.

Response:

Because we are using different emission datasets and source regions from those in previous studies, a quantitative comparison of source attributions is not so meaningful. However, it is more interesting to compare the radiative forcing efficiency with previous studies. We have now added the Table S9 (see below) to show the comparisons and discussed it at the last section of the manuscript, as the following:

“Table S9 compares the annual sulfate radiative forcing efficiencies simulated in this study to those in previous multi-model studies (Yu et al., 2013; Bellouin et al., 2016; Stjern et al., 2016). As in the previous studies, the DRF efficiency is calculated as the response of global DRF to a 20% reduction in local emissions divided by the 20% of sulfur emissions based on two separate simulations rather than 100% of local emissions in a single simulation (Table S6). The efficiencies based on the 20% emission reduction are very similar to those of the 100% emission reduction, indicating a nearly linear relationship between sulfate DRF and emissions. Compared to Yu et al. (2013) and Stjern et al. (2016), the DRF efficiencies in this study are around the lower bound for all source regions. Another multi-model intercomparison study also reported a lower sulfate DRF simulated in CAM5 compared to other models (Myhre et al., 2013). The difference in DRF efficiencies likely arises from differences in the estimates of aerosol optical properties. With aerosol-cloud interactions included, the total radiative forcing efficiencies in this study are similar to the best estimates provided by Bellouin et al. (2016). The global IRF in CAM5 was also found to be larger than other models in a nine-model intercomparison study, which was attributed to a strong aerosol induced cloud scattering (Zelinka et al., 2014).”

**Table S9.** Comparison of annual sulfate radiative forcing efficiency ( $\text{mW m}^{-2} (\text{Tg S yr}^{-1})^{-1}$ ) in this study and previous studies. The sulfate DRF efficiencies are calculated as the response of global DRF to a 20% reduction in local emissions divided by the 20% of sulfur emissions.

Direct radiative forcing (DRF) efficiency						
	EUR	EAS	NAM	SAS	RBU	MDE
Yu et al. (2013)	-9.8~-5.0	-7.6~-3.2	-10.0~-5.0	-10.8~-5.0		
Stjern et al. (2016)	-15.7~-5.6	-12.1~-4.6	-15.5~-4.1	-28.0~-6.3	-8.9~-4.3	-32.4~-10.9
This study	-5.4	-3.8	-4.8	-7.2	-3.9	-9.4
Total (direct + indirect) radiative forcing efficiency						
	EUR	EAS				
Bellouin et al. (2016)	-13.0 (-22.7~-4.4)	-9.5(-13.6~-2.6)				
This study	-12.0	-11.6				

Specific comments:

1.L139-145: the description about parameterizations and approach that were used to calculate the DRF and IRF is not very clear. Please provide more details.

Response:

We have revised the description. Please see the response to comment #3 of major issues.

2.L157: black carbon only occurs in the accumulation mode in MAM3, so the comparison is meaningless.

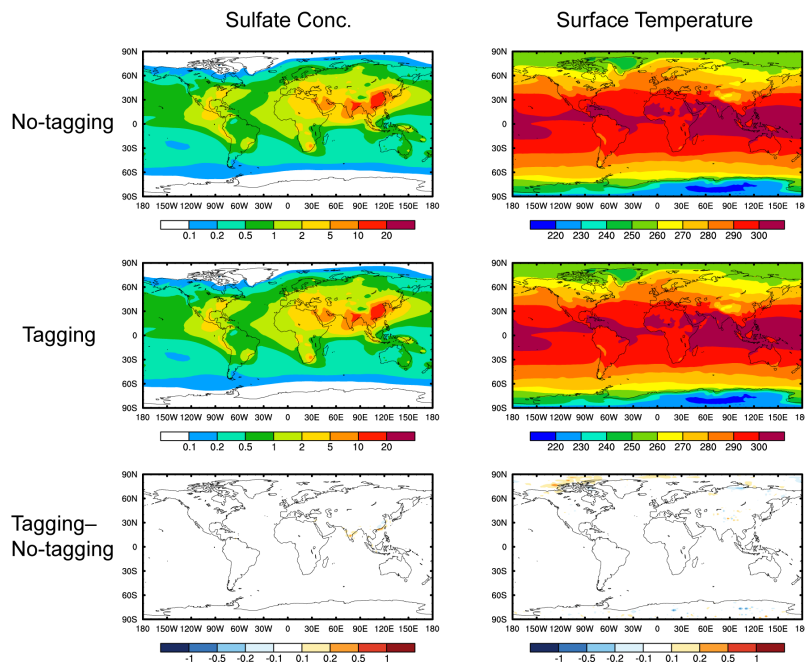
Response:

We have deleted this sentence.

3.L162: Please show some details about this validation.

Response:

We have added Fig. S1 to compare the sulfate concentration and surface air temperature between the no-tagging and tagging simulations to validate the sulfur tagging technique used in this study.



**Figure S1.** Spatial distribution of annual mean near-surface sulfate concentrations (left,  $\mu\text{g m}^{-3}$ ) and surface air temperature (right, K) from no-tagging (top), tagging (middle) simulations and their differences (bottom).

4.L198: It is not necessary to show the spatial distributions of SO<sub>2</sub> emissions from each tagged region individually. May put Figure 2 into supporting information.

Response:

We have moved this figure to the supporting information as suggested.

5.L203-210: Need some explanations about these seasonal variations.

Response:

We have added some explanations, as “East Asia, RBU and Europe have seasonal peak emissions in boreal winter due to high residential emissions from heating in this season together with higher SO<sub>2</sub> emission from the energy sector. Southern Africa shows larger emission in boreal summer from biomass burning in this season, while emissions from North America are comparable in winter and summer. DMS is emitted over oceans with a boreal winter peak due to phytoplankton blooms over the Southern Ocean.”

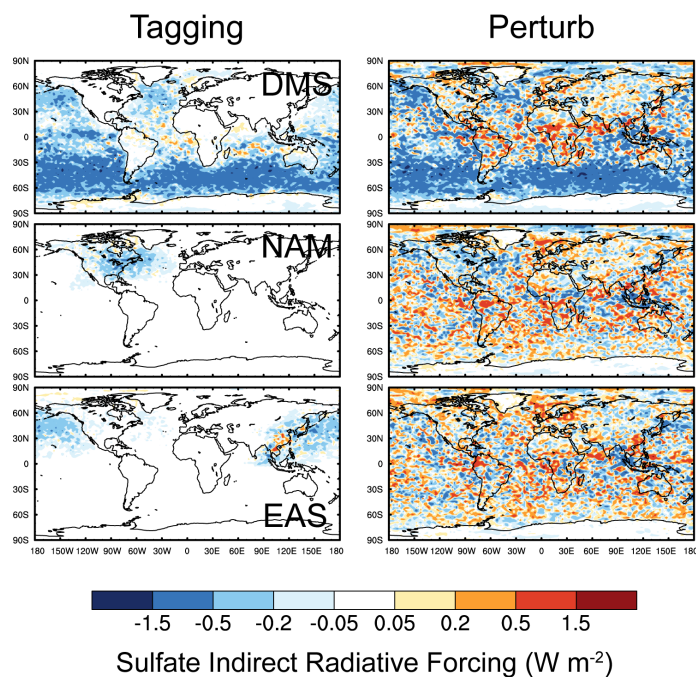
6.L219: Only North America is used to validate the decomposition of global incremental IRF. Since different regions may have distinct chemical composition and meteorology, and the sensitivity to regional sulfur emissions could vary significantly by region. I think the authors should validate more regions, especially those with large SO<sub>2</sub> emissions, e.g., East Asia, Europe and South Asia.

Response:

We agree with the reviewer that using only North America to validate the decomposition of global incremental IRF may not be sufficient. However, it is computationally infeasible to test many of the source regions. Given the large emissions from East Asia, we also performed an additional sensitivity simulation with a 20% reduction in regional sulfur emissions over East Asia and have added the IRF comparison in Fig. S10, with results also now included in the text.

We have also revised the description of the comparison as “The 20% emission from North America results in negative IRF over Eastern U.S. and downwind ocean regions. The 20% emission in East Asia emissions produces negative IRF over the northwestern Pacific. Globally, DMS, North America and East Asia contribute to  $-0.230 (\pm 0.012)$ ,  $-0.014 (\pm 0.002)$ , and  $-0.028 (\pm 0.003)$   $\text{W m}^{-2}$ , respectively, of sulfate incremental IRF from the method with sulfur tagging technique, similar to  $-0.248 (\pm 0.020)$ ,  $-0.018 (\pm 0.019)$ , and  $-0.028 (\pm 0.018)$   $\text{W m}^{-2}$ , from the individual emission-perturbation simulations.”

We have also added a discussion of the noisy spatial distribution of IRF in the emission perturbation method shown in comment #12.



**Figure S10.** Spatial distribution of annual mean IRF of sulfate ( $\text{W m}^{-2}$ ) induced by a 20% reduction in sulfur emissions from the decomposition using the sulfur tagging method (left panels) and a simple 20% regional/sector emission perturbation (right) for source from DMS (top panels), North America (middle panels), and East Asia (bottom panels).

7.L267: Sulfate has a longer lifetime than black carbon? Need a reference.

Response:

We were thinking about the additional time for the gas-to-particle conversion. It seems to cause some confusion, so we have deleted this sentence.

8.L282-288: If this bias came from the retrieval algorithm, why this overestimation happened more significantly in China than other regions?

Response:

Not only in China, the simulated  $\text{SO}_2$  burden is 3 times larger than OMI data over North America, 7 times over Europe, and 5 times over Southeast Asia. We have added these in the manuscript.

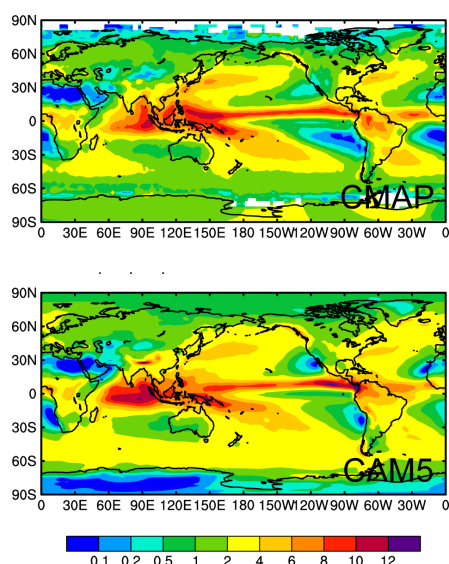
9.L294: Here the model results indicated that the export of SO<sub>2</sub> from China is under-estimated. However, on Line 291, the authors stated that the inconsistency between simulated results and satellite observations may suggest an overestimation of SO<sub>2</sub> at higher altitude. In general, the transport is more efficient in the free troposphere, therefore this indicates a potential overestimation of exporting SO<sub>2</sub> from China. Moreover, I would suggest the authors validate total sulfur (SO<sub>2</sub>+SO<sub>4</sub>) concentrations and total precipitations over China and downwind region.

Response:

Observational data of SO<sub>2</sub> and sulfate are from different sites and have different time coverage. It is difficult to validate total sulfur over China. Nonetheless, considering that both SO<sub>2</sub> and sulfate are underestimated in the model compared to site observations, the total sulfur is likely to be underestimated. We have added Fig. S5 to validate total precipitation. Over China, CAM5 overestimates precipitation over northern China, which leads to a strong aerosol scavenging and low sulfate concentration over this region. We have added these in the manuscript.

We have also revised the discussion of model-observation comparison for clarification, as “The simulated near-surface SO<sub>2</sub> concentrations, however, are also underestimated by 25% compared to observations over thirteen sites in China (Gong et al., 2014) shown in Fig. S4a, also suggesting a large bias in satellite retrievals or too much SO<sub>2</sub> simulated in higher altitude. In general, the transport is more efficient in the free troposphere. If too much SO<sub>2</sub> is simulated in higher altitude, the near-surface SO<sub>2</sub> concentration is likely to be overestimated over downwind regions. However, the modeled SO<sub>2</sub> concentrations over downwind regions of China are underestimated by 45% compared to observations from EANET sites (Fig. S4b). This indicates that bias in the satellite retrievals may be a significant cause of the inconsistency between modeled and satellite-estimated SO<sub>2</sub> burden.”





**Figure S5.** Spatial distribution of annual mean precipitation ( $\text{mm day}^{-1}$ ) from CMAP (Climate Prediction Center's Merged Analysis of Precipitation, top) and simulated in this study (bottom) averaged over 2010–2014.

10. Sections 4 and 5 are too long and need to be shortened. The authors should pay more attention to the major advance (or unique findings) of this study and explain the difference between their results and previous works.

Response:

We have tried our best to shorten the source-receptor description in sections 4 and 5. As we explained in the response to the major comment above, we don't necessarily expect similar results to previous studies. As shown in the newly added Table S9, previous studies only examined influence from limited source regions (2–6). In this study, we have 16 tagged source regions partly owing to the computationally efficient sulfur tagging technique, which extends the source-receptor relationship to the whole globe. For the comparison to limited source regions examined in previous studies, we have discussed the differences and possible biases of the model in response to comment #5 of major issues.

11.L423: In Table S3, why is the concentration efficiency of sulfate over MDE in SON greater than 1?

Response:

The efficiencies over the Middle East show high values in almost all seasons due to dry atmospheric conditions favoring long aerosol lifetime, especially in DJF and

SON. We have emphasized it in the manuscript. The concentration efficiency is calculated as local contribution to the near-surface sulfate concentration divided by local sulfur emission (seasonal emissions multiplied by 4). In SON, The MDE local contribution to concentration is  $3.40 \mu\text{g m}^{-3}$  and its local  $\text{SO}_2$  emission is  $0.835 \text{ Tg S yr}^{-1}$ . Efficiency= $3.40/(0.835*4)=1.02 \mu\text{g m}^{-3} (\text{Tg S yr}^{-1})^{-1}$ . Since the efficiency is not normalized, it does not have to be less than 1.

12.L522-525: The sensitivity test with a 20% reduction in regional sulfur emissions over North America indicated a large uncertainty associated with this method. Therefore, I would suggest the authors to discuss more on the uncertainties of this calculation.

Response:

Thanks for the suggestion. We have added a discussion of this large uncertainty, as “The latter method has larger noise, seen in both the spatial distributions and large uncertainties (standard deviation) of the incremental IRF. The three emission-perturbed simulations produced similar system noise, with a magnitude of  $\sim 0.02 \text{ W m}^{-2}$ . The incremental IRF signal is larger than the noise around the source regions whereas noise masks the signal in other regions, leading to large uncertainties. However, in the simulation with all source emissions reduced by 20%, the IRF signal overwhelms noise almost everywhere. With the sulfur tagging technique and decomposition method, the noise is also decomposed into smaller pieces which are, in turn, much smaller than the decomposed incremental IRF signal.”

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Evaluation and intercomparison of the aerosol number concentrations and CCNs in global models, in preparation.

McCoy, D. T., Bender, F. A.-M., Mohrmann, J. K. C., Hartmann, D. L., Wood, R., and Grosvenor, D. P.: The global aerosol-cloud first indirect effect estimated using MODIS, MERRA, and AeroCom, *J. Geophys. Res. Atmos.*, 122, 1779–1796, doi:10.1002/2016JD026141, 2017.

Zelinka, M. D., Andrews, T., Forster, P. M., and Taylor, K. E.: Quantifying components of aerosol-cloud-radiation interactions in climate models, *J. Geophys. Res. Atmos.*, 119, 7599–7615, doi:10.1002/2014JD021710, 2014.

The manuscript by Y. Yang et al. examines the source of sulfate concentration and its direct and indirect radiative effect based on a novel source-receptor analysis technology embedded in CESM. Sources from both anthropogenic and natural emissions are identified for different regions over the globe. The model results comply with the expectation from common knowledge and provide qualitative and comprehensive understanding. This research addresses an important and interesting question of where the sulfate aerosol comes from globally and provides some implication for pollution alleviation. But in terms of scientific significance I would not rank this research in the highest catalog because this method has been used in previous studies (Wang et al., 2013; Yang et al., 2017) with different chemical species and regions. Considering that this research provide large amount of detailed and solid analysis that improves our knowledge on the question, I would like to recommend the publication of this manuscript. Some comments are given below

We thank the referee for all the comments to the manuscript for improving the presentation quality and the recommendation for publication. Regarding the major comment on the relatively low scientific significance, we would argue that the sulfur tagging technique was implemented in the Community Earth System Model (CESM), for the first time, in this study. Our previous studies used a black carbon (BC) tagging method to study the source attributions and impact of BC emitted from different source regions/sectors. The sulfur-tagging and BC-tagging share the same idea, but compared to BC, sulfur has additional gas-phase and aqueous-phase chemical reactions and there are more size-modes to treat sulfate particles. Thus the sulfur-tagging code implementation, testing and validation did take a large amount of additional efforts, and this tool is indeed novel and unique to the present study.

Below, we explain how the comments and suggestions are addressed (our point-by-point responses in blue) and make note of the changes that have been made to the manuscript, attempting to take into account all the comments raised here.

Line 151: Tagging sulfate is “for the first time”, however, not the first time used in CESM. Suggest not emphasizing the novelty.

Response:

Please see our response above. Sulfate tagging is indeed for the first time implemented and used in CESM.

Line 156 to 159: The same reason as above. Suggest just elaborate the method and avoid using phrases such as “In contrast”.

Response:

Thanks for the suggestion. We don't have to contrast it to BC-tagging, so we changed the description and deleted this phrase.

Line 215: why using 20% reduction to evaluate the indirect effect? Although 20% reduction was used in a previous study (Stjern et al., 2016), this increment of emission is arbitrary to me. Moreover, it hinders comparison with the magnitude of DRF, which compares the forcing with and without 100% aerosols.

Response:

We agree that the 20% reduction of emissions is somewhat arbitrary. However, it follows the AeroCom multi-model experiments design in the framework HTAP (Hemispheric Transport of Air Pollution) to examine the significance of emission reduction. There are numerous studies examined air quality and climate responses to a 20% emission reductions (e.g. Fiore et al., 2009; Fry et al., 2012; Yu et al., 2013; Stjern et al., 2016; Bellouin et al., 2016). We use the same amount of reduction for the purpose of having a fair comparison to these studies. We have added an explanation in the model configuration part, as “The 20% is chosen to follow the experiment design in the framework HTAP2.”

We also agree with the reviewer that the DRF calculated with and without 100% sulfate cannot be directly compared with the incremental IRF induced by the 20% change in emissions. Therefore, we have added in Table S8 the incremental DRF/IRF (calculated from the base and 20% emission reduction simulations) and the standard DRF/IRF (based on the present-day and preindustrial emission simulations), as well as their radiative forcing efficiencies. We have also added a discussion of the comparisons, as “For comparison, Table S8 also includes the incremental DRF calculated with the same simulations for the incremental IRF and the standard anthropogenic DRF between present-day and preindustrial conditions, as well as their efficiencies. The forcing efficiencies are also similar between the incremental and the standard anthropogenic DRF. The IRF and its efficiencies are much higher than those of DRF for sources over or around clean oceanic regions (e.g., DMS, volcanic SO<sub>2</sub>, emissions from Australia and South America), but much lower for regions with high emissions (e.g., the Middle East, South Asia).”

**Table S8.** Annual sulfate incremental direct and indirect radiative forcing calculated based on simulations with and without 20% reduction in sulfur emissions globally and standard direct and indirect radiative forcing ( $W m^{-2}$ ) calculated based on simulations using present-day and preindustrial emissions, as well as the forcing efficiencies ( $mW m^{-2} (Tg S yr^{-1})^{-1}$ ) for all of the sixteen tagged source regions/sectors.

DRF Forcing								
	NAM	CAM	SAM	EUR	NAF	SAF	MDE	SEA
Incremental DRF	-0.003	-0.002	-0.002	-0.004	-0.001	-0.005	-0.006	-0.002
DRF (PD-PI)	-0.015	-0.010	-0.011	-0.018	-0.005	-0.023	-0.031	-0.008
	CAS	SAS	EAS	RBU	PAN	ROW	VOL	DMS
Incremental DRF	-0.001	-0.009	-0.014	-0.002	-0.001	-0.010	-0.007	-0.014
DRF (PD-PI)	-0.006	-0.046	-0.068	-0.011	-0.003	-0.053		
DRF Efficiency								
	NAM	CAM	SAM	EUR	NAF	SAF	MDE	SEA
Incremental DRF efficiency	-4.8	-6.9	-7.1	-5.4	-8.3	-8.4	-9.4	-5.3
DRF efficiency	-4.9	-7.0	-7.1	-5.4	-8.1	-8.5	-9.1	-5.5
	CAS	SAS	EAS	RBU	PAN	ROW	VOL	DMS
Incremental DRF efficiency	-5.4	-7.2	-3.8	-3.9	-5.5	-4.6	-2.7	-4.0
DRF efficiency	-5.2	-7.2	-3.8	-3.9	-5.5	-4.8		
IRF Forcing								
	NAM	CAM	SAM	EUR	NAF	SAF	MDE	SEA
Incremental IRF	-0.014	-0.006	-0.016	-0.004	-0.001	-0.016	-0.001	-0.004
IRF (PD-PI)	-0.082	-0.036	-0.072	-0.032	-0.005	-0.061	0.012	-0.017
	CAS	SAS	EAS	RBU	PAN	ROW	VOL	DMS
Incremental IRF	-0.002	-0.005	-0.028	-0.007	-0.009	-0.042	-0.057	-0.230
IRF (PD-PI)	-0.012	-0.002	-0.117	-0.056	-0.051	-0.202		
IRF Efficiency								
	NAM	CAM	SAM	EUR	NAF	SAF	MDE	SEA
Incremental IRF efficiency	-22.8	-19.8	-50.3	-6.6	-6.2	-28.7	-1.7	-14.1
IRF efficiency	-26.3	-25.0	-44.7	-9.5	-7.9	-22.4	3.5	-11.9
	CAS	SAS	EAS	RBU	PAN	ROW	VOL	DMS
Incremental IRF efficiency	-8.7	-3.7	-7.8	-11.8	-77.3	-18.6	-22.5	-63.2
IRF efficiency	-11.5	-0.3	-6.6	-18.7	-86.6	-18.1		

Line 449: is this 1% difference a coincidence?

Response:

It does not mean '1% difference', but '1750 emission is less than 1% of present-day emission'. We tried to illustrate that DRF of anthropogenic sulfate is calculated here based on present-day and no emission condition ( $DRF_{PD} - 0$ ), while the estimate in IPCC AR5 represents the difference between the present-day and 1750 DRF ( $DRF_{PD} - DRF_{1750}$ ). The global anthropogenic  $SO_2$  emission amount (0.5 Tg/yr) in 1750 is very small, about 0.5% (less than "1%") of the 2010–2014 level (109.8 Tg/yr) from the CEDS emission dataset. Therefore,  $DRF_{PD} - DRF_{1750} \approx DRF_{PD}$ .

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1 Global source attribution of sulfate concentration, direct and  
2 indirect radiative forcing

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22 **Abstract**

23 The global source-receptor relationships of sulfate concentration, direct and  
24 indirect radiative forcing (DRF and IRF) from sixteen regions/sectors for years  
25 2010-2014 are examined in this study through utilizing a sulfur source-tagging  
26 capability implemented in the Community Earth System Model (CESM) with winds  
27 nudged to reanalysis data. Sulfate concentrations are mostly contributed by local  
28 emissions in regions with high emissions, while over regions with relatively low SO<sub>2</sub>  
29 emissions, the near-surface sulfate concentrations are primarily attributed to non-local  
30 sources from long-range transport. Regional source efficiencies of sulfate  
31 concentrations are higher over regions with dry atmospheric conditions and less  
32 export, suggesting that lifetime of aerosols, together with regional export, is important  
33 in determining regional air quality. The simulated global total sulfate DRF is  $-0.42 \text{ W m}^{-2}$   
34  $\text{m}^{-2}$ , with  $-0.31 \text{ W m}^{-2}$  contributed by anthropogenic sulfate and  $-0.11 \text{ W m}^{-2}$   
35 contributed by natural sulfate, relative to a state with no sulfur emissions. In the  
36 Southern Hemisphere tropics, dimethyl sulfide (DMS) contributes 17–84% to the total  
37 DRF. East Asia has the largest contribution of 20–30% over the Northern Hemisphere  
38 mid- and high-latitudes. A 20% perturbation of sulfate and its precursor emissions  
39 gives a sulfate incremental IRF of  $-0.44 \text{ W m}^{-2}$ . DMS has the largest contribution,  
40 explaining  $-0.23 \text{ W m}^{-2}$  of the global sulfate incremental IRF. Incremental IRF over  
41 regions in the Southern Hemisphere with low background aerosols is more sensitive to  
42 emission perturbation than those over the polluted Northern Hemisphere.

**Deleted:** The export of SO<sub>2</sub> and sulfate from Europe contributes 16–20% of near-surface sulfate concentrations over North Africa, Russia/Belarus/Ukraine (RBU) region and Central Asia. Sources from the Middle East account for 15–24% of sulfate over North Africa, Southern Africa and Central Asia in winter and autumn, and 19% over South Asia in spring. Sources in RBU account for 21–42% of sulfate concentrations over Central Asia. East Asia accounts for about 50% of sulfate over Southeast Asia in winter and autumn, 15% over RBU in summer, and 11% over North America in spring. South Asia contributes to 11–24% of sulfate over Southeast Asia in winter and spring.

56 **1. Introduction**

57 Sulfate is an important aerosol that poses health risks (Fajersztajn et al., 2013;  
58 Xu et al., 2013; Peplow, 2014) and sulfur deposition is a major driver of ecosystem  
59 acidification (Driscoll et al., 2010). Due to long-range transport, local sulfate pollution  
60 could result from intercontinental influences, making domestic efforts of improving air  
61 quality inefficient (Part et al., 2004; Bergin et al., 2005; Liu and Mauzerall, 2007). In  
62 addition, sulfate aerosol substantially perturbs the radiation budget of the Earth  
63 directly through scattering incoming solar radiation and indirectly through modifying  
64 cloud microphysical properties (Lohmann and Feichter, 2005; Stevens and Feingold,  
65 2009; Myhre et al., 2013). On a global average basis, anthropogenic sulfate aerosol  
66 contributes a negative direct radiative forcing (DRF) of  $-0.4 \pm 0.2 \text{ W m}^{-2}$  (Boucher et  
67 al., 2013). The negative radiative forcing from sulfate partly offsets the positive  
68 radiative forcing from greenhouse gases. Therefore, accurate understanding of  
69 source attribution of sulfate and its radiative forcing is important for both regional air  
70 quality and global climate mitigation (Shindell et al., 2012), which are of great interest  
71 to not only science community but also the general public and policymakers.

72 Sulfate aerosol is produced through oxidation of sulfur dioxide ( $\text{SO}_2$ ) by the  
73 hydroxyl radical (OH) in gas phase and aqueous phase oxidation mainly by hydrogen  
74 peroxide ( $\text{H}_2\text{O}_2$ ) (Martin and Damschen, 1981). The  $\text{SO}_2$  precursor is mainly emitted  
75 from fossil-fuel combustion (Lu et al., 2010). In recent decades,  $\text{SO}_2$  emissions from  
76 many developing countries in East Asia and South Asia have increased substantially  
77 as a result of accelerated urbanization and rapid economic growth (Streets et al.,

78 2000; Pham et al., 2005). In contrast, due to air pollution regulations, SO<sub>2</sub> emissions  
79 in North America and Europe have decreased significantly since 1980–1990 (Smith  
80 et al., 2011; Prechtel et al., 2001). As a consequence, source attribution of sulfate has  
81 changed with time over recent decades.

82 Previous studies have reported that regional aerosols, including sulfate, are  
83 produced not only by domestic emissions, but also by distant sources through  
84 long-range transport (Jacob et al., 2003; Jaffe et al., 2003; Park et al., 2004; Heald et  
85 al., 2006; Liu et al., 2008; Liu et al., 2009; Yu et al., 2012). For example, the strong  
86 anthropogenic emissions over East Asia have led to an increasing interest in  
87 quantifying the impact of aerosols exported from East Asia. Recent studies indicate  
88 that the transpacific transport of sulfate from East Asia contributes to 30–50% of the  
89 background (sulfate produced from non-local emissions) surface concentrations in  
90 the Western U.S. and 10–30% in the Eastern U.S. (Park et al., 2004; Hadley et al.,  
91 2007; Liu et al., 2008), which are larger than contributions from all other foreign  
92 sources (Liu et al., 2009). In addition, among the major emitting regions assessed for  
93 2001 conditions, European sources were shown to account for 1–5 µg m<sup>-3</sup> of surface  
94 sulfate concentration over northern Africa and western Asia, and their contribution to  
95 East Asia (0.2–0.5 µg m<sup>-3</sup>) was twice as much as the contribution (0.1–0.2 µg m<sup>-3</sup>) of  
96 Asian sources to North America (Chin et al., 2007).

97 Due to the important role of sulfate aerosol in the climate system, knowing the  
98 relative significance of sulfate radiative forcing from different source regions is useful  
99 for climate mitigation. Some previous studies examined the impact of emission

100 reductions on global and regional DRF and the influence of long-range transport (Yu  
101 et al., 2013; Bellouin et al., 2016; Stjern et al., 2016). Yu et al. (2013) examined  
102 changes in aerosol DRF resulting from a 20% reduction in anthropogenic emissions  
103 from four major polluted regions (namely North America, Europe, East Asia, and  
104 South Asia) in Northern Hemisphere, using simulations by nine models from the first  
105 phase of the Hemispheric Transport of Air Pollution (HTAP1). They found that 31% of  
106 South Asia sulfate aerosol optical depth over South Asia was contributed by non-local  
107 sources. Based on the HTAP2, Stjern et al. (2016), using results from ten models,  
108 further assessed global and regional DRF from a 20% reduction in emissions over  
109 seven regions including North America, Europe, South Asia, East Asia, Russia, the  
110 Middle East, and the Arctic. They found that the 20% reduction in emissions in South  
111 Asia and East Asia largely perturbed the radiative balance for other regions. However,  
112 these studies focused on only the limited number of source regions over the Northern  
113 Hemisphere. Continents and subcontinents over the tropics and Southern  
114 Hemisphere are also important source and receptor regions for the sulfate radiative  
115 forcing, especially for indirect forcing due to stronger aerosol-cloud interactions in  
116 clean environments (Koren et al., 2014). Bellouin et al. (2016) quantified the radiative  
117 forcing efficiency based on simulations of a 20% reduction in emissions from four  
118 source regions/sectors in year 2008, and reported that, with aerosol-cloud  
119 interactions included, models simulated higher radiative forcing efficiency of sulfate  
120 compared to previous studies (Myhre et al., 2013, Shindell et al., 2013; Yu et al.,  
121 2013). Few studies have quantified systematically the global source-receptor

122 relationships of sulfate indirect radiative forcing that can be attributed to  
123 local/non-local source regions and anthropogenic/natural source sectors.  
124 This is the first study that examines source attribution of sulfate radiative forcing  
125 with both anthropogenic and natural sources covering the whole globe. In this study,  
126 we introduce an explicit sulfur tagging technique into the Community Earth System  
127 Model (CESM), in which sulfate aerosol and its precursor emissions from sixteen  
128 source regions/sectors (fourteen major source regions and two natural source sectors)  
129 are tagged and explicitly tracked. This method allows us to efficiently quantify source  
130 region/sector contributions to regional and global sulfate mass concentrations, and  
131 direct and indirect radiative forcing (DRF and IRF) of sulfate.

132 Model description, emissions datasets, and model experiments are shown in  
133 Sect. 2. Section 3 gives the comparison of modeled concentrations of sulfate and  
134 SO<sub>2</sub> with a variety of observations. Section 4 shows model results for source  
135 attributions of near-surface sulfate and SO<sub>2</sub> concentrations over various receptor  
136 regions. Source attributions of DRF and IRF of sulfate are discussed in Section 5.  
137 Section 6 summarizes all the results and main conclusions.

138

## 139 **2. Methods**

### 140 2.1. Model description

141 We use the version 5 of the Community Atmosphere Model (CAM5), which is the  
142 atmospheric component of CESM (Hurrell et al., 2013), to simulate the sulfate aerosol  
143 and calculate its DRF and IRF. The modal aerosol treatment in CAM5 (Liu et al., 2012)

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145 predicts number mixing ratios and mass mixing ratios of aerosols, distributed in three  
146 lognormal modes. A set of modifications to CAM5 that improves wet scavenging of  
147 aerosols and convective transport reported by Wang et al. (2013) has also been  
148 implemented in the model used in this study. Sulfate is internally mixed with other  
149 species in the same aerosol mode and then externally mixed between modes. Sulfate  
150 refractive indices at visible wavelengths is 1.43+0.00i. Activation of cloud droplets  
151 uses the scheme from Abdul-Razzak and Ghan (2000). The model simulates  
152 aerosol-cloud interactions in stratiform clouds using a physically based two-moment  
153 parameterization (Morrison and Gettelman, 2008). In addition to the standard  
154 radiative fluxes calculated in the model by taking into account all aerosols, the CESM  
155 has the capability of diagnosing radiative fluxes in parallel for a subset of aerosol  
156 species. The difference between the standard and the diagnosed radiative fluxes can  
157 then be attributed to the difference in aerosols considered in the radiation calculations.  
158 For example, the difference in shortwave radiation fluxes at the top of the atmosphere  
159 (TOA) represents the DRF of the excluded aerosol components in the diagnostic  
160 calculation (Ghan, 2013). Using this same method, the DRF of sulfate from any of the  
161 sixteen individual tagged regions/sectors can be derived from a pair of diagnostic  
162 radiation calculations with and without the particular tagged sulfate considered. To  
163 estimate IRF of sulfate from different sources, we define in this study an incremental  
164 IRF, calculated as  $\Delta(F_{\text{clean}} - F_{\text{clear, clean}})$ , where F is the radiative flux at TOA,  $F_{\text{clean}}$  is  
165 the flux calculated neglecting scattering and absorption by aerosols,  $F_{\text{clear, clean}}$  is the  
166 flux calculated neglecting scattering and absorption by both clouds and aerosols, and

**Deleted:** The sulfate is internally mixed with other aerosol species in the model. The aerosol optical properties are calculated in the Rapid Radiative Transfer Model (RRTMG, Iacono et al., 2008), with sulfate refractive indices at visible wavelengths of 1.43+0.00i. Activation of cloud droplets uses the scheme from Abdul-Razzak and Ghan (2000). The model simulates aerosol-cloud interactions according to physically based two-moment parameterizations (Morrison and Gettelman, 2008). Parameterizations of aerosol optical properties, cloud droplet nucleation, and aerosol-cloud interactions are described in Neale et al. (2012). In addition to the standard radiative fluxes calculated with all aerosols included, the CESM model has the capability of diagnosing radiative fluxes for a subset of aerosol species. The difference between the standard and the diagnosed shortwave radiative fluxes at the top of the atmosphere (TOA) represents the DRF of the excluded aerosol components in the diagnostic calculation (Ghan, 2013). The DRF of sulfate from individual tagged regions/sectors are calculated with and without individual tagged sulfate from several diagnostics of radiative fluxes (sixteen in this study). To investigate IRF of sulfate from different sources

191  $\Delta$  refers to the differences between the base and emission perturbed simulations.  
192 Previous work found that the model did quite well at producing a reasonable  
193 sensitivity of number of cloud droplets to sulfate mass concentration, reproducing a  
194 significant fraction of the MODIS climatological variability of cloud droplet number  
195 concentration (McCoy et al., 2017). Note that, the model only considers aerosol  
196 effects on stratiform cloud (Morrison and Gettelman, 2008), and no microphysical  
197 impact on convective clouds is included in the present version.

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## 198 2.2. Sulfur source-tagging

199 To quantify the regional source attributions of sulfate, for the first time, we  
200 implemented in CESM/CAM5 a sulfur source-tagging capability, similar to the black  
201 carbon tagging method used in H. Wang et al. (2014) and Yang et al. (2017), through  
202 which sulfur gases and sulfate aerosols produced by emissions from independent  
203 sources are tagged. The tool can be used to quantify the source attributions of SO<sub>2</sub>  
204 and sulfate without perturbing source emissions. The sulfur tagging requires tagging  
205 of interstitial and cloud-borne sulfate in each of the three modes as well as SO<sub>2</sub>,  
206 H<sub>2</sub>SO<sub>4</sub> and dimethyl sulfide (DMS) gases. In this study, sulfur species produced by  
207 emissions from fourteen geographical source regions and two natural source sectors  
208 including volcanic eruptions and DMS from oceans are tagged. The tagged and  
209 untagged models have been verified of producing the same SO<sub>2</sub>/sulfate properties  
210 and meteorology (Fig. S1). While emissions of organic carbon, black carbon, sulfate  
211 and its precursor gases are all included in the simulations, the source tagging is used  
212 for sulfate and its precursor gases emissions alone.

Deleted: The black carbon tagging only required tagging interstitial and cloud-borne black carbon in the accumulation mode. In contrast, t



### 221 2.3. Emissions

222 The CEDS (Community Emissions Data System) anthropogenic emissions  
223 (Hoesly et al., 2017) and open biomass burning emissions from Van Marle et al. (2017)  
224 that were produced for the CMIP6 model experiments are used in our simulations. In  
225 CAM5, 97.5% of SO<sub>2</sub> is emitted directly into the atmosphere and 2.5% is emitted as  
226 sulfate aerosol. Natural emissions of volcanic SO<sub>2</sub> and DMS are the same as those  
227 used in AeroCom following Neale et al. (2012), which are kept constant throughout the  
228 selected years in this study. Figure 1a shows the fourteen geographical source  
229 regions tagged in this study, which are consistent with source-receptor regions  
230 defined in HTAP2, including North America (NAM), Central America (CAM), South  
231 America (SAM), Europe (EUR), North Africa (NAF), Southern Africa (SAF), the  
232 Middle East (MDE), Southeast Asia (SEA), Central Asia (CAS), South Asia (SAS),  
233 East Asia (EAS), Russia/Belarus/Ukraine (RBU), Pacific/Australia/New Zealand  
234 (PAN), and rest of the world (ROW, including oceans and polar continents). Table 1  
235 summarizes emissions of combustion SO<sub>2</sub> (anthropogenic + open biomass burning),  
236 volcanic SO<sub>2</sub> emissions (VOL), and DMS emissions over the sixteen tagged source  
237 regions/sectors averaged for the most recent five years (2010–2014) and Figure 1b  
238 presents relative contributions from individual source regions to the global  
239 combustion SO<sub>2</sub> emissions. The global combustion SO<sub>2</sub> emissions rate is 57.6 Tg S  
240 yr<sup>-1</sup>, of which more than 98% come from anthropogenic sources. The combustion SO<sub>2</sub>  
241 and sulfate are referred to anthropogenic SO<sub>2</sub> and sulfate hereafter. Detailed  
242 information on the anthropogenic emissions of SO<sub>2</sub> can be found in Hoesly et al.

243 (2017). East Asia, with regional emission of 17.8 Tg S yr<sup>-1</sup> (31% of global  
244 anthropogenic SO<sub>2</sub>), has the largest total SO<sub>2</sub> emissions, compared to the other  
245 tagged regions. South Asia also emits a large amount of SO<sub>2</sub>, 6.4 Tg S yr<sup>-1</sup> (11%),  
246 followed by 3.4 Tg S yr<sup>-1</sup> (6%) from the Middle East, 3.3 Tg S yr<sup>-1</sup> (6%) from Europe,  
247 3.1 Tg S yr<sup>-1</sup> (5%) from North America, and 2.7 Tg S yr<sup>-1</sup> (5%) from Southern Africa.  
248 The other individual tagged regions have weaker emissions, with a combined  
249 contribution of less than 5%. However, emissions from ROW contribute 11.2 Tg S yr<sup>-1</sup>  
250 (19%) of SO<sub>2</sub> that are mainly from shipping emissions near the continents. In addition,  
251 natural emissions of sulfur are also accounted for, including 12.6 Tg S yr<sup>-1</sup> of SO<sub>2</sub> from  
252 volcanic eruptions, in the range of 10–13 Tg S yr<sup>-1</sup> derived from the Ozone Monitoring  
253 Instrument (OMI) measurement (McLinden et al., 2016), and 18.2 Tg S yr<sup>-1</sup> of DMS.  
254 Figure S2 shows the spatial distribution of SO<sub>2</sub> emissions from each tagged  
255 region/sector as well as DMS emissions. Emissions are spatially heterogeneous even  
256 within the individual tagged regions. For instance, SO<sub>2</sub> emissions in North America are  
257 mainly located in Eastern U.S., and Eastern China accounts for the majority of SO<sub>2</sub>  
258 emissions from East Asia. In addition, seasonal variations in emissions are quite  
259 different among the source regions (Table 1). East Asia, RBU and Europe have  
260 seasonal peak emissions in boreal winter due to high residential emissions from  
261 heating in this season together with higher SO<sub>2</sub> emission from the energy sector.  
262 Southern Africa shows larger emission in boreal summer from biomass burning in this  
263 season, while emissions from North America are comparable in winter and summer  
264 due to the bulk of SO<sub>2</sub> emissions arising from baseload electric power generation.

265 DMS is emitted over oceans with a boreal winter peak due to phytoplankton blooms  
266 over the Southern Ocean. These heterogeneous spatial and temporal distributions of  
267 emissions could lead to different influences on air quality and radiative forcing over  
268 continents and subcontinents near the source regions.

**Deleted:** Although volcanic SO<sub>2</sub> emissions are scattered near continents, a large amount of them are injected into the free troposphere.

#### 269 2.4. Model configurations

270 The CAM5 simulation is conducted using a meteorological nudging method (Ma  
271 et al., 2013; Zhang et al., 2014), with winds nudged to the MERRA reanalysis  
272 (Rienecker et al., 2011) every 6 hours. Simulations performed are shown below:

273 1) Base simulation: the simulation is integrated for years 2009–2014, with 2009  
274 for spin-up and 2010–2014 for analysis.

275 2) All reduction simulation: one sensitivity simulation with the same base model  
276 configuration but having a uniform 20% reduction in sulfur (SO<sub>2</sub>, sulfate, DMS)  
277 emissions globally is performed to quantify source attributions of incremental  
278 IRF of sulfate.

**Deleted:** A sensitivity

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279 3) Source reduction simulations: three sensitivity simulations with the same base  
280 model configuration but having a 20% reduction in global DMS emissions and  
281 regional sulfur emissions over North America and East Asia, respectively, are  
282 performed to validate the decomposition of global incremental IRF into  
283 contributions from source regions/sectors using the tagging method. ▼

**Deleted:** Two additional sensitivity

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284 4) Preindustrial simulation: one sensitivity simulation with the same base model  
285 configuration but anthropogenic SO<sub>2</sub> emissions fixed at 1850 level globally is  
286 performed to compare incremental IRF and anthropogenic IRF of sulfate.

**Deleted:** And one additional sensitivity

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296 [The 20% is chosen to follow the experiment design in the framework HTAP2.](#)  
297 All simulations are performed at 1.9° latitude by 2.5° longitude horizontal grids and  
298 30 vertical layers.

### 299 **3. Model evaluation**

300 To evaluate the model's performance in simulating sulfate with the latest  
301 emissions from CEDS inventory, the simulated sulfur concentrations are compared  
302 with measurements from regional observation networks. These datasets include the  
303 Interagency Monitoring of Protected Visual Environments (IMPROVE), the European  
304 Monitoring and Evaluation Programme (EMEP), the East Asian Monitoring Network  
305 (EANET), and the China Meteorological Administration Atmosphere Watch Network  
306 (CAWNET, Zhang et al., 2012). Sulfate concentrations observed from IMPROVE,  
307 EMEP and EANET being used here are from 2010 to 2014, covering the same time  
308 period as the simulation, while CAWNET only collected data over 2006–2007. In  
309 order to use the CAWNET data to evaluate 2010-2014 simulation results, we decide  
310 to scale the observed sulfate mass concentrations using the ratio of CEDS  
311 2010-2014 SO<sub>2</sub> emissions to 2006-2007 emissions over China (which is 0.92) for  
312 comparison, thus assuming a linear relationship between SO<sub>2</sub> emissions and sulfate  
313 concentrations.

314 Figure 2 shows the comparison of modeled annual mean near-surface sulfate  
315 concentrations with those from the observational networks. The model successfully  
316 reproduces the global spatial distribution of sulfate with high concentrations over East  
317 Asia and low concentrations over North America and Europe, as well as the spatial

318 patterns within major continents, for instance, high (low) values over Eastern  
319 (Western) U.S. and high (low) sulfate concentrations over Eastern (Western) China.  
320 The spatial correlation coefficient between simulated and observed sulfate  
321 concentrations globally is +0.86 and is statistically significant at the 95th percentile.  
322 Compared to the measurements at the IMPROVE sites over North America, at the  
323 EMEP sites over Europe, and at the EANET sites over part of East Asia (only one site  
324 in China) and Southeast Asia, the model reproduces sulfate concentrations with  
325 biases within  $\pm 20\%$ . However, the model largely underestimates the simulated sulfate  
326 concentrations in China, with normalized mean biases (NMB) of  $-54\%$ , compared to  
327 the CAWNET observations.

328       A few factors could be responsible for the bias between the observed and  
329 modeled sulfate concentrations. Underestimation of local  $\text{SO}_2$  emissions could result  
330 in the simulated low sulfate concentrations (Liu et al., 2012; Wang et al., 2013). Too  
331 frequent liquid clouds and too strong wet scavenging at the mid- and high latitudes in  
332 CESM model can lead to shorter aerosol lifetime and lower concentrations in the  
333 simulation (Wang et al., 2011; Liu et al., 2012; Wang et al., 2013). In addition, the  
334 underestimation of emissions from upwind regions or strong wet scavenging of  
335 aerosols during transport could be another reason for the simulated low bias (Yang et  
336 al., 2017). A too low rate of transformation from  $\text{SO}_2$  gas to sulfate particles in the  
337 model could also contribute to the low bias in sulfate concentrations (Wang et al.,  
338 2016; Li et al., 2017). The bias can also result from the fact that the site  
339 measurements are point observations, while the model results are grid-cell average

340 that does not consider subgrid aerosol variations (Qian et al., 2010; R. Wang et al.,  
341 2014). In addition, different models show large discrepancies in simulating sulfate  
342 over China (Kasoar et al., 2016). The underestimation of sulfate in China can lead to  
343 an underestimation of source contribution from East Asia of sulfate concentrations,  
344 direct and indirect radiative forcing of sulfate, and forcing efficiencies of sulfate.

**Deleted:** Considering the longer lifetime of SO<sub>2</sub>/sulfate than black carbon, this effect would be expected to be less significant for SO<sub>2</sub>/sulfate.

345 To evaluate the model results more broadly, we compare the simulated total  
346 column burden of SO<sub>2</sub> with that derived from the OMI measurements (Li et al., 2013),  
347 as shown in Fig. S3. Both the model results and the OMI satellite data are averaged  
348 over 2010–2014. Compared to the OMI SO<sub>2</sub>, the spatial distribution of column burden  
349 of SO<sub>2</sub> is reproduced in CAM5, with a statistically significant spatial correlation  
350 coefficient of +0.57. However, the model largely overestimates the magnitude of SO<sub>2</sub>,  
351 especially over China where the simulated values are about 8 times larger than OMI  
352 data. Outside of China, simulated SO<sub>2</sub> burdens are 3–7 times larger than OMI data  
353 over North America, Europe and Southeast Asia. The large difference between SO<sub>2</sub>  
354 burden and OMI retrievals must be due to either an underestimation of SO<sub>2</sub> in OMI  
355 products and/or an overestimation of SO<sub>2</sub> burden in the model results. He et al. (2012)  
356 compared in situ measurements with OMI SO<sub>2</sub> burden over central China and  
357 reported a negative bias of 50% in OMI data, which probably came from cloud  
358 contamination, reduced satellite sensitivity to SO<sub>2</sub> due to aerosols, and spatial  
359 sampling bias in the satellite data. It is also worth mentioning that satellite column-SO<sub>2</sub>  
360 retrievals depend on the vertical distribution of SO<sub>2</sub> assumed in the retrieval algorithm,

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367 which could be different from either the modeled SO<sub>2</sub> profile in this study or the actual  
368 profile, which would introduce a bias.

369 The simulated near-surface SO<sub>2</sub> concentrations, however, are also  
370 underestimated by 25% compared to observations over thirteen sites in China (Gong  
371 et al., 2014) shown in Fig. S4a, also suggesting a large bias in satellite retrievals or too  
372 much SO<sub>2</sub> simulated in higher altitude. In general, transport is more efficient in the free  
373 troposphere. If too much SO<sub>2</sub> is simulated in higher altitude, the near-surface SO<sub>2</sub>  
374 concentration is likely to be overestimated over downwind regions. However, the  
375 modeled SO<sub>2</sub> concentrations over downwind regions of China are underestimated by  
376 45% compared to observations from EANET sites (Fig. S4b). This indicates that bias  
377 in the satellite retrievals may be a significant cause of the inconsistency between  
378 modeled and satellite-estimated SO<sub>2</sub> burden.

379 A less efficient of transformation from SO<sub>2</sub> to sulfate could also lead to  
380 underestimation of sulfate. A recent study by Wang et al. (2016) focusing on the  
381 sulfate pollution over China and London found that aqueous oxidation of SO<sub>2</sub> by NO<sub>2</sub>  
382 was key to an efficient sulfate formation, which has typically been neglected in  
383 atmospheric models and is not considered in the CAM5. Another study by Li et al.  
384 (2017) found that including an aerosol water (HRSO<sub>2</sub>) parameterization in SO<sub>2</sub>  
385 oxidation in a box model could reproduce the observed rapid sulfate formation in Xi'an  
386 over China. More rapid oxidation of SO<sub>2</sub> would reduce SO<sub>2</sub> loss by dry and wet  
387 removal and increase sulfate production, which can partly explain the low bias in the  
388 simulated sulfate concentrations and high bias in SO<sub>2</sub>. In CAM5, 36% of total sulfur

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404 converts into column-integrated sulfate over China, similar to 33% in the Community  
405 Multiscale Air Quality (CMAQ) model (He et al., 2012). However, it changes to 21% in  
406 the bottom model layer (about 992 hPa), indicating that the oxidation of SO<sub>2</sub> may be  
407 underestimated near the surface, which most directly affects the comparison to  
408 near-surface observations. This appears to be a plausible explanation for the  
409 underestimated sulfate concentrations over China and points to a potentially important  
410 direction for future model development.

411 Biases in simulated precipitation can also lead to biases in sulfate concentrations.  
412 Fig. S5 compares annual mean precipitation from CMAP (Climate Prediction Center's  
413 Merged Analysis of Precipitation) and that simulated in this study. Over China, CAM5  
414 overestimates precipitation over northern China, which leads to a strong aerosol  
415 scavenging and low sulfate concentration over this region.

#### 416 **4. Source attribution of sulfate mass concentrations**

417 Figure 3 shows spatial distributions of modeled fractional contributions to annual  
418 near-surface sulfate concentrations. (The absolute concentrations of sulfate are  
419 shown in Fig. S6). East Asia, ROW, South Asia and the Middle East contribute 16%,  
420 14%, 10% and 7%, respectively, to global annual mean near-surface sulfate  
421 concentration, whereas contributions from the other individual source regions are all  
422 less than 5%. Natural emissions of volcanic SO<sub>2</sub> and ocean DMS account for 11% and  
423 16% of global mean sulfate concentrations. Sulfate concentrations are mostly  
424 contributed by local sources in regions with high emissions, such as Eastern U.S.,  
425 Southern Africa, South Asia, and Eastern China, where local source contributions are



426 larger than 80%. Over regions with relatively low SO<sub>2</sub> emissions, the near-surface  
427 sulfate concentrations are primarily attributed to non-local sources from long-range  
428 transport. Natural DMS emissions are the source of 80% of near-surface sulfate  
429 concentrations over Southern Hemisphere oceans and 20–60% for Northern  
430 Hemisphere oceans. Over downwind ocean regions of East Asia, emissions from  
431 DMS only account for 20–40% of near-surface sulfate concentrations, showing a  
432 stronger influence of regional transport. Sources from volcanic eruption strongly  
433 influence sulfate concentrations over eruption regions. They are responsible for 10–  
434 40% of near-surface concentrations over Central America and South America, 40–80%  
435 over North Africa and Southeast Asia, but only account for about less than 5% over  
436 East Asia and South Asia where anthropogenic emissions dominate.

437       The spatial distribution of sulfate column burden and relative contributions are  
438 shown in Figs. S7 and S8, respectively. The global average source attribution of  
439 column burden does not differ significantly from that of near-surface concentration.  
440 The exception is an increase from 11% to 15% of the relative contribution from VOL to  
441 column burden as compared to near-surface concentration due to injection mostly into  
442 the free troposphere. The DMS contribution decreases from 16% to 11% to  
443 compensate the increase of VOL contribution over oceans. In general, the relative  
444 contribution from local source to column burden within a source region is lower than  
445 that of near-surface concentration.

446       Figure 4 presents relative contributions of major sources to near-surface sulfate  
447 concentrations in neighboring receptor regions along with seasonal mean wind fields

448 at 850 hPa. (Table S1 summarizes a complete list of numbers characterizing the  
449 source-receptor relationships.) Transport of sulfate shows different patterns in  
450 different seasons, due to the seasonal variability in local precursor emissions, lifetime  
451 of sulfate, and meteorology, such as wind fields and precipitation.

452 The export of sulfate from Europe contributes to about 16–20% of near-surface  
453 sulfate concentrations over North Africa, RBU and Central Asia in all seasons due to  
454 the westerly jet over the eastern European boundary and northerly winds over  
455 southern boundary. Sulfate from the Middle East can be effectively transported to the  
456 surrounding receptor regions. This export accounts for 15–24% of sulfate  
457 concentrations over North Africa, Southern Africa and Central Asia in DJF and SON,  
458 and 19% over South Asia in MAM. Sources in the RBU explain about 21–42% of  
459 sulfate concentrations over Central Asia, especially in JJA, with northerly winds over  
460 north boundary of Central Asia driving transport from this region. Central Asia  
461 accounts for 13% of sulfate over the RBU region in DJF when source emissions are  
462 the largest. Northerly winds over East Asia in DJF and SON associated with the East

463 Asian winter monsoon transport sulfate from highly polluted Eastern China to  
464 Southeast Asia, which accounts for about 50% of near-surface sulfate concentrations  
465 over Southeast Asia in these months. The oxidation of SO<sub>2</sub> is expected to peak in JJA  
466 because of the high temperature and humidity, and more sunlight. With the help of  
467 southerly winds of East Asian summer monsoon, East Asia contributes to 15% of  
468 sulfate concentrations over RBU in JJA. Due to the strong westerly jet in MAM,  
469 sulfate originating from East Asia has a long-range transport across the North Pacific

**Deleted:** Sulfate originating from North America, Central America and South America do not show significant contributions (relative contribution less than 10%) to sulfate over other tagged regions in all seasons because of the relatively low sulfate concentrations over these regions and the long intercontinental transport pathways.

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483 and accounts for 11% of near-surface sulfate concentrations and 25% of total  
484 imported sulfate (without local contributions) over North America. The transport of  
485 sulfate from South Asia contributes 11–24% of sulfate in Southeast Asia in DJF and  
486 MAM. These results, however, have additional uncertainties due to the SO<sub>2</sub>/sulfate  
487 bias in the model for East Asia discussed previously.

488 Source-receptor relationships for sulfate column burden are summarized in Table  
489 S2. Compared to the near-surface concentrations, the sulfate column burden  
490 contributed by local sources is much lower in all the receptor regions due to the more  
491 efficient long-range transport of aerosols in the free atmosphere. Annually, the local  
492 contribution over North America decreases from 67% for near-surface concentration  
493 to 33% for column burden. The contributions of non-local sources from East Asia and  
494 South Asia increase from 7% and 1% for near-surface concentration to 24% and 10%  
495 for column burden, respectively, to the sulfate over North America. In addition, South  
496 Asia contribution to sulfate in East Asia, and East Asia contribution to sulfate in RBU  
497 and Europe also significantly increase for column burden compared to near-surface  
498 concentrations.

499 Figure 5 shows local contributions (i.e., from sources within the tagged regions)  
500 to near-surface sulfate concentrations. Averaged over individual tagged regions,  
501 contributions from local sources dominate (i.e., local contributions > 50%) over North  
502 America, South America, Europe, Southern Africa, the Middle East, South Asia, and  
503 East Asia. Imports dominate near-surface sulfate concentrations (i.e., local  
504 contributions < 50%) over the rest of tagged land regions. Within each tagged region,

505 whether local source or import dominates depends on specific locations. For instance,  
506 over Eastern China, because of high anthropogenic emissions, local contribution to  
507 sulfate concentration is larger than 80%, whereas import from other source regions  
508 dominates sulfate over the less economically developed Western China. The same  
509 difference can be found between Eastern and Western U.S. of the tagged North  
510 America. Over oceans in the Southern Hemisphere, natural sources of DMS  
511 contribute the largest to local sulfate concentrations (Fig. 3), whereas long-range  
512 transport dominates over the North Pacific in DJF and MAM.

513 Figure 6 presents the aggregate, seasonal relative source contributions to area  
514 weighted average near-surface sulfate concentrations over land/ocean in the  
515 Northern/Southern Hemisphere. Over land in the Northern Hemisphere, sulfate  
516 concentration is mainly attributed to sources from East Asia, South Asia, the Middle  
517 East, ROW and volcanic eruption, with relative contributions of 22–29%, 9–16%, 8–  
518 14%, 9–11%, and 6–13%, respectively. Over ocean in the Northern Hemisphere,  
519 although contribution from ROW, volcanic SO<sub>2</sub> and DMS increase dramatically  
520 compared to land, contributions from East Asia and South Asia do not have a large  
521 decrease, especially in DJF, MAM and SON when aerosol outflow from Asia is strong  
522 (Yu et al., 2012; Yang et al., 2015). Over land in the Southern Hemisphere, mean  
523 sulfate concentration is dominated by sources in Southern Africa, having a  
524 contribution of 33–43%, followed by 13–25% from South America. Emissions from  
525 DMS drive sulfate over ocean in the Southern Hemisphere in all seasons contributing  
526 27–63% of sulfate, although Southern Africa contributes 20% of sulfate in JJA.

527 Figure 7 shows seasonal and annual mean regional concentration efficiencies of  
528 sulfate from the tagged source regions/sectors, defined as the local contribution to  
529 near-surface sulfate concentration divided by the corresponding sulfur emissions  
530 from that region. (Table S3 provides the numeric values.) The regional concentration  
531 efficiency represents the relationship between local contribution to sulfate  
532 concentration and local emission, which is influenced by many factors, such as local  
533 production of sulfate from the emitted SO<sub>2</sub>, aerosol removal and export. Note that, the  
534 receptor region of ROW is used to calculate efficiencies of the VOL and DMS source  
535 sectors, which leads to low biases in efficiencies. The efficiencies over the Middle  
536 East show high values in almost all seasons due to dry atmospheric conditions  
537 favoring long aerosol lifetime, [especially in DJF and SON](#) (e.g., Wang et al., 2014;  
538 Stjern et al., 2016). The efficiencies are also high over South Asia in DJF and SON,  
539 but low in MAM and JJA due to strong wet removal during the South Asian summer  
540 monsoon season. North Africa and Central Asia also show high efficiencies resulted  
541 from less precipitation. Although East Asia does not have much precipitation in DJF,  
542 the efficiency is low because a large amount of sulfate is transported outside East  
543 Asia. It suggests that the lifetime of aerosols, mainly driven by wet deposition,  
544 together with regional export, is important in determining the local contribution to  
545 near-surface concentrations or regional air quality.

546

547 **5. Source attribution of direct and indirect radiative forcing of sulfate**

548 The modeled global annual mean sulfate total DRF here is  $-0.42 \text{ W m}^{-2}$ , with –  
549  $0.31 \text{ W m}^{-2}$  contributed by anthropogenic sulfate and  $-0.11 \text{ W m}^{-2}$  contributed by  
550 natural sulfate (e.g., relative to a state with no natural emissions). The DRF of  
551 anthropogenic sulfate is  $-0.4 \pm 0.2 \text{ W m}^{-2}$  provided in the Fifth Assessment Report of  
552 the Intergovernmental Panel on Climate Change (IPCC, 2013). Note that, the DRF of  
553 anthropogenic sulfate calculated here is total anthropogenic sulfate, whereas values  
554 from IPCC represent changes in anthropogenic sulfate between 1750 and  
555 present-day conditions, although this difference is small since 1750  $\text{SO}_2$  emissions  
556 are less than 1% of 2010 emissions. Spatial distributions of sulfate DRF, originating  
557 from the individual sixteen sources are shown in Fig. S9. The spatial distributions and  
558 global contributions of sulfate DRF are similar to those of sulfate column burden (Fig.  
559 S7), except that contribution of DMS to global sulfate DRF (18%) is much larger  
560 relative to its global column burden (11%). It is because DMS-produced sulfate  
561 burden is mostly located between  $30^\circ\text{S}$ – $30^\circ\text{N}$  (Fig. S7), where insolation is much  
562 stronger than at mid- and high latitudes, leading to stronger DRF over these regions.  
563 East Asia is the second largest contributor to global sulfate DRF, contributing 16% of  
564 global sulfate DRF, followed by 13% from ROW and 11% from South Asia.

565 Figure 8 shows seasonal and zonal mean DRF of sulfate originating from the  
566 tagged regions/sectors and the global total. The meridional distribution of DRF is  
567 jointly determined by many factors, e.g. sulfate loading, the insolation, cloud cover,  
568 and surface albedo. The total sulfate DRF shows a seasonal pattern that has the  
569 maximum DRF over  $0^\circ$ – $10^\circ\text{N}$  in DJF and over  $30^\circ$ – $40^\circ\text{N}$  in JJA, with values between

570  $-0.9$  and  $-1.3 \text{ W m}^{-2}$ . The global and annual average sulfate DRF has a contribution  
571 of  $-0.074 \text{ W m}^{-2}$  from DMS,  $-0.068 \text{ W m}^{-2}$  from East Asia,  $-0.054 \text{ W m}^{-2}$  from ROW,  $-$   
572  $0.047 \text{ W m}^{-2}$  from South Asia,  $-0.035 \text{ W m}^{-2}$  from VOL,  $-0.031 \text{ W m}^{-2}$  from the Middle  
573 East,  $-0.023 \text{ W m}^{-2}$  from Southern Africa,  $-0.018 \text{ W m}^{-2}$  from Europe,  $-0.016 \text{ W m}^{-2}$   
574 from North America, and a total of  $-0.057 \text{ W m}^{-2}$  from all other regions (Table S4).

575 Figure 9 shows seasonal fractional contributions to sulfate DRF in different  
576 latitudinal bands. Over the Southern Hemisphere tropics ( $30^{\circ}\text{S}$ –Equator), mid-  
577 ( $60^{\circ}\text{S}$ – $30^{\circ}\text{S}$ ) and high ( $90^{\circ}\text{S}$ – $60^{\circ}\text{S}$ ) latitudes, DMS has the largest contribution to  
578 sulfate DRF in all seasons, with contribution about 17–84%. Sources from Southern  
579 Africa contribute about 11–20% of sulfate DRF over the Southern Hemisphere tropic  
580 and mid-latitudes, followed by about 10% from South America and ROW. Sources  
581 from East Asia account for 6–19% of sulfate DRF over the Southern Hemisphere high  
582 latitudes. In the Northern Hemisphere, influence from DMS becomes much weaker,  
583 but still substantial. Over the Northern Hemisphere tropics, East Asia, South Asia,  
584 ROW, and DMS exert equal contributions of 10–20%. East Asia has the largest  
585 contribution of 20–30% over the Northern Hemisphere mid- and high-latitudes,  
586 followed by South Asia and ROW.

587 Sulfate incremental IRF is estimated by using an additional simulation in which  
588 sulfur emissions are reduced by 20% for all regions and sectors. The difference in  
589 cloud radiative forcing between the control simulation and this second simulation gives  
590 the sulfate incremental IRF of the last 20% of sulfur emissions. Regional incremental  
591 IRF contributions are calculated by scaling the total incremental IRF in a grid column

**Deleted:** Emissions originating from East Asia have the largest zonal mean sulfate DRF in almost all seasons, with a maximum around  $-0.45 \text{ W m}^{-2}$  in JJA because of the higher sulfate loading and the more abundant sunlight in JJA. South Asia also strongly contributes to sulfate DRF, followed by sources from ROW, VOL, RBU, and the Middle East. DMS has the largest contribution over  $60^{\circ}\text{S}$ – $0^{\circ}$  in DJF due to the stronger insolation over the Southern Hemisphere in winter and more ocean DMS emission over these regions, and its DRF contribution is more widespread. Other tagged source regions have a relatively small contribution to the global total DRF, with a seasonal peak DRF less than  $-0.10 \text{ W m}^{-2}$ .

606 by regional source contributions to sulfate mass concentration reduction averaged  
607 from the surface layer to 850 hPa, which is the approximate altitude of cloud base.

608 Figure 10 shows regional contributions to sulfate incremental IRF from the tagged  
609 source regions/sectors. The sulfate incremental IRF is  $-0.44 \text{ W m}^{-2}$ . The spatial  
610 pattern is consistent with that of stratiform clouds since the model only considers  
611 aerosol effects on stratiform cloud. The strong negative forcing is mainly over oceans.  
612 All source contributions to sulfate incremental IRF from the fourteen tagged source  
613 regions are less than  $-0.04 \text{ W m}^{-2}$ , probably due to the polluted conditions over or near  
614 land. Particles originating from North America, South America, Southern Africa, and  
615 East Asia are also transported to ocean regions, leading to a strong negative forcing  
616 there. DMS has the largest contribution, explaining  $-0.23 \text{ W m}^{-2}$  of the global sulfate  
617 incremental IRF, because complex cloud adjustments are likely to respond sensitively  
618 to small changes in aerosol under clean conditions (Rosenfeld et al., 2014), followed  
619 by  $-0.06 \text{ W m}^{-2}$  from volcanic emissions. Note that the regional contribution to  
620 incremental IRF is simply calculated by decomposing the total incremental IRF with  
621 mass concentrations based on two simulations without and with the reduction in  
622 emissions. This assumption could introduce biases considering non-linear relationship  
623 between mass concentration and IRF of sulfate.

624 To evaluate this new method for decomposing incremental IRF into different  
625 source regions/sector contributions, the IRF for two regions (North America and East  
626 Asia) and one sector (DMS) were calculated in a traditional manner using three  
627 additional simulations in which  $\text{SO}_2$  emissions from North America, East Asia and

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630 DMS emissions were reduced by 20%, respectively. The incremental IRF calculated  
 631 with the two methods are compared in Fig. S10. Although the incremental IRF outside  
 632 the source regions obtained from the emission perturbation method is noisy, these two  
 633 methods show similar negative incremental IRF within and near source regions. The  
 634 20% DMS leads to strong negative IRF over oceans. The 20% emission from North  
 635 America results in negative IRF over Eastern U.S. and downwind ocean regions. The  
 636 20% emission in East Asia emissions produces negative IRF over the northwestern  
 637 Pacific. Globally, DMS, North America and East Asia contribute to  $-0.230 (\pm 0.012)$ ,  $-$   
 638  $0.014 (\pm 0.002)$ , and  $-0.028 (\pm 0.003) \text{ W m}^{-2}$ , respectively, of sulfate incremental IRF

639 from the method with sulfur tagging technique, similar to  $-0.248 (\pm 0.020)$ ,  $-0.018$   
 640  $(\pm 0.019)$ , and  $-0.028 (\pm 0.018) \text{ W m}^{-2}$ , from the individual emission-perturbation  
 641 simulations. The latter method has larger noise, seen in both the spatial distributions  
 642 and large uncertainties (standard deviation) of the incremental IRF. The three  
 643 emission-perturbed simulations produced similar system noise, with a magnitude of  
 644  $\sim 0.02 \text{ W m}^{-2}$ . The incremental IRF signal is larger than the noise around the source  
 645 regions whereas noise masks the signal in other regions, leading to large uncertainties.  
 646 However, in the simulation with all source emissions reduced by 20%, the IRF signal  
 647 overwhelms noise almost everywhere. With the sulfur tagging technique and  
 648 decomposition method, the noise is also decomposed into smaller pieces which are, in  
 649 turn, much smaller than the decomposed incremental IRF signal.

650 Table S5 summarizes the DRF and incremental IRF of sulfate over land/ocean in  
 651 the Northern/Southern Hemisphere contributed by the tagged source regions/sectors.

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673 Over the fourteen tagged source regions, the total anthropogenic source region  
674 contribution to DRF is  $-0.54/-0.18 \text{ W m}^{-2}$  over land in the Northern/Southern  
675 Hemisphere, larger than  $-0.48/-0.12 \text{ W m}^{-2}$  over ocean due to the larger sulfate  
676 burden near sources. Anthropogenic source contributions to incremental IRF are  
677 larger over ocean, with values of  $-0.23/-0.13 \text{ W m}^{-2}$  compared to  $-0.08/-0.10 \text{ W m}^{-2}$   
678 over land in the Northern/Southern Hemisphere, because clouds are more  
679 susceptible to aerosol changes in clean environment and there are more stratiform  
680 clouds over ocean. For natural source sectors, their contributions are larger over  
681 oceans for both DRF and incremental IRF. Over land in the Northern Hemisphere,  
682 DRF is mainly driven by emissions from East Asia, South Asia, and the Middle East,  
683 whereas incremental IRF is dominated by emissions from North America, RBU and  
684 East Asia. The difference in major contributing regions for DRF vs. incremental IRF  
685 may be due to changes in cloud susceptibility when background aerosol  
686 concentrations are different. North America and RBU have more relatively clean  
687 areas (Alaska, N. Canada, parts of Siberia) than South Asia and East Asia, and  
688 clouds in the cleaner areas are more susceptible to the 20% emissions reductions.  
689 The non-linearity in DRF is much weaker, so the high emissions from South Asia and  
690 East Asia dominate DRF. Over ocean in the Northern Hemisphere, East Asia also  
691 contributes the largest to DRF and it is the second largest contributor to incremental  
692 IRF of sulfate following DMS. Over land in the Southern Hemisphere, emissions from  
693 Southern Africa and South America control DRF, whereas incremental IRF are  
694 largely attributed to sources from South America, DMS, and PAN

695 (Pacific/Australia/New Zealand). Over ocean in the Southern Hemisphere, both  
696 sulfate DRF and incremental IRF are dominated by DMS emissions.

697 Figure 11 shows the seasonal and annual global DRF and incremental IRF  
698 efficiencies of sulfate. (Table S6 gives values.) Global DRF efficiency of a source  
699 region is defined as the global DRF of sulfate originating from the source  
700 region/sector divided by the total sulfur emissions from that region/sector. The global  
701 DRF efficiency treats the whole globe as a receptor region, as opposed to a specific  
702 region in the regional concentration efficiency definition, considering that aerosol  
703 climatic impacts are on a global scale whereas air quality impacts are more important  
704 on a regional scale. As the DRF is more closely related to sulfate burden, global  
705 sulfate burden efficiencies are also provided in Table S7. The global DRF efficiency  
706 for total sulfur emissions is  $-4.8 \text{ mW m}^{-2} (\text{Tg S yr}^{-1})^{-1}$ . The Middle East, North Africa,  
707 and Southern Africa present high DRF efficiencies, as a result of both long aerosol  
708 lifetime and strong tropical insolation. These source regions also have high global  
709 burden efficiencies.

710 The global IRF efficiency of a source region is calculated as the global  
711 contribution of sulfate incremental IRF divided by the changes (i.e., 20% reduction) in  
712 sulfur emissions in that region. Unlike the DRF efficiencies, IRF efficiencies are  
713 higher over or near ocean regions, with a global IRF efficiency of  $-5.0 \text{ mW m}^{-2} (\text{Tg S}$   
714  $\text{yr}^{-1})^{-1}$  for the global total 20% of sulfur emissions. PAN and DMS have the largest IRF  
715 efficiencies because PAN has a relatively clean environment compared to other  
716 regions and DMS is emitted over clean oceans. Cloud properties are more

717 susceptible to aerosol perturbations in a more pristine environment. Although the  
718 background aerosols in South America are not so low, sulfate originating from this  
719 region has a large contribution to sulfate over oceans of the Southern Hemisphere,  
720 explaining a large IRF efficiency from that region.

721 In addition to the incremental IRF and efficiency, we also calculated the  
722 anthropogenic sulfate IRF and its efficiency between present-day and preindustrial  
723 conditions with an additional simulation, in which anthropogenic SO<sub>2</sub> emissions are  
724 fixed at the 1850 level, and compared these values with those from the 20% sulfur  
725 emission reduction simulation in Table S8. The modeled annual and global mean  
726 anthropogenic sulfate IRF here is  $-0.74 \text{ W m}^{-2}$ , which is comparable to  $-0.45 \pm 0.5 \text{ W}$   
727  $\text{m}^{-2}$  of IRF for total anthropogenic aerosols from IPCC (2013). The anthropogenic IRF  
728 contributed from individual source regions is about 3–6 times larger than the  
729 incremental IRF, in agreement with about 5 times more reduction in SO<sub>2</sub> emissions in  
730 the preindustrial simulation than in the 20% sulfur emission reduction simulation. The  
731 forcing efficiencies are roughly similar between the incremental and the  
732 anthropogenic IRF, indicating a nearly linear relationship between SO<sub>2</sub> emission and  
733 sulfate IRF, except for the Middle East and South Asia where concentrated dust and  
734 its variability may strongly influence cloud properties and therefore sulfate IRF. Figure  
735 S11 shows the anthropogenic sulfate IRF efficiencies that are calculated based on  
736 anthropogenic IRF from the present-day and preindustrial condition simulations. The  
737 values are similar to the incremental IRF efficiencies, further validating the robust  
738 results from the decomposed regional IRF with the sulfur tagging technique.

739 For comparison, Table S8 also includes the incremental DRF calculated with the  
740 same simulations for the incremental IRF and the standard anthropogenic DRF  
741 between present-day and preindustrial conditions, as well as their efficiencies. The  
742 forcing efficiencies are also similar between the incremental and the standard  
743 anthropogenic DRF. The IRF and its efficiencies are much higher than those of DRF  
744 for sources over or around clean oceanic regions (e.g., DMS, volcanic SO<sub>2</sub>,  
745 emissions from Australia and South America), but much lower for regions with high  
746 emissions (e.g., the Middle East, South Asia).

747

## 748 **6. Conclusions and discussions**

749 A sulfur tagging technique is implemented in Community Atmosphere Model  
750 (CAM) of the Community Earth System Model (CESM) and used in this study to  
751 examine source-receptor relationships of sulfate concentrations, DRF and IRF  
752 originating from sixteen regions/sectors (North America, Central America, South  
753 America, Europe, North Africa, Southern Africa, the Middle East, Southeast Asia,  
754 Central Asia, South Asia, East Asia, RBU, PAN, ROW, VOL, and DMS) for 2010–  
755 2014. The anthropogenic emissions came from the CEDS inventory developed for  
756 the CMIP6.

757 Near-surface sulfate concentrations are mostly contributed by local emissions in  
758 regions with high emissions, such as Eastern U.S., Southern Africa, South Asia, and  
759 Eastern China, where local source contributions exceed 80%. Over regions with

760 relatively low SO<sub>2</sub> emissions, the near-surface sulfate concentrations are primarily  
761 attributed to non-local sources from long-range transport.

762 The source-receptor relationships have strong seasonal variations. The export of  
763 sulfate from Europe contributes to 16–20% of near-surface sulfate concentrations  
764 over North Africa, RBU and Central Asia in all seasons. Sulfate from the Middle East  
765 is effectively transported to the surrounding receptor regions and accounts for 15–24%  
766 of sulfate concentrations over North Africa, Southern Africa and Central Asia in DJF  
767 and SON, and 19% over South Asia in MAM. Sources in RBU account for 21–42% of  
768 sulfate concentrations over Central Asia, with a peak contribution in JJA. Northerly  
769 winds over East Asia in DJF and SON associated with East Asian winter monsoon  
770 transport sulfate from highly polluted Eastern China to Southeast Asia, accounting for  
771 about 50% of near-surface sulfate concentrations over Southeast Asia. East Asia  
772 also contributes 15% to the near-surface sulfate over RBU in JJA and 11% over North  
773 America in MAM. The transport of sulfate from South Asia contributes 11–24% of  
774 near-surface sulfate over Southeast Asia in DJF and MAM. Regional sulfate  
775 concentration efficiencies are higher over regions with dry atmospheric conditions  
776 and less export, suggesting that the lifetime of aerosols mainly driven by wet  
777 deposition, together with regional export, is important in determining the regional air  
778 quality.

779 The simulated global total sulfate DRF is  $-0.42 \text{ W m}^{-2}$ , with  $-0.31 \text{ W m}^{-2}$   
780 contributed by anthropogenic sulfate and  $-0.11 \text{ W m}^{-2}$  contributed by natural sulfate.  
781 DMS has the largest contribution to the global sulfate DRF, followed by East Asia,

782 ROW and South Asia. In the Southern Hemisphere, DMS contributes 17–84% to the  
783 seasonal total sulfate DRF. In the Northern Hemisphere tropics, East Asia, South  
784 Asia, ROW, and DMS exert similar contributions of 10–20%. East Asia has the  
785 largest contribution of 20–30% over the Northern Hemisphere mid- and high-latitudes,  
786 followed by South Asia and ROW.

787 Sulfate incremental IRF is estimated using an additional simulation in which sulfur  
788 emissions are reduced by 20%. The difference in cloud radiative forcing between the  
789 control simulation and this second simulation gives the sulfate incremental IRF of the  
790 last 20% of sulfur emissions, which is  $-0.44 \text{ W m}^{-2}$  globally. DMS has the largest  
791 contribution, explaining  $-0.23 \text{ W m}^{-2}$  of the global sulfate incremental IRF, because of  
792 the clean marine background conditions, followed by  $-0.06 \text{ W m}^{-2}$  from volcanic  
793 emissions. The tagging method, combined with regional decomposition, provides a  
794 computationally efficient method of quantifying regional IRF that has a higher signal  
795 to noise as compared to regional perturbation simulations.

796 The Middle East, North Africa, and Southern Africa have high global DRF  
797 efficiencies, due to both longer aerosol lifetimes (from low precipitation) and strong  
798 insolation. Regions in the Southern Hemisphere with low background aerosols have  
799 stronger global IRF efficiencies than those over the polluted Northern Hemisphere,  
800 because cloud properties are more susceptible to aerosol perturbations in a more  
801 pristine environment.

802 Note that, although simulated near-surface sulfate concentrations are in  
803 agreement with observed values at the IMPROVE sites over North America and at

804 the EANET sites over part of East Asia and Southeast Asia, the model strongly  
805 underestimates sulfate concentrations by –54% in China, compared to site  
806 observations from the CAWNET network. Comparison of column-integrated SO<sub>2</sub>  
807 between model simulation and OMI satellite data shows a possible overestimation of  
808 SO<sub>2</sub> in the model. The simulated SO<sub>2</sub> near-surface concentrations, however, are  
809 underestimated by 25% compared to observations over thirteen sites in China,  
810 suggesting a large bias in satellite retrievals or too much SO<sub>2</sub> simulated at higher  
811 altitudes. The model SO<sub>2</sub> concentrations over downwind regions of China are  
812 underestimated by 45%, indicating that the transport of SO<sub>2</sub> from China is probably  
813 underestimated in the model. A less efficient transformation from SO<sub>2</sub> to sulfate could  
814 also lead to the underestimation of sulfate in the model. The underestimation of sulfate  
815 over China could lead to the underestimation of contributions from East Asia to remote  
816 sulfate concentrations, global DRF and incremental IRF, as well as their efficiencies.

817 Table S9 compares the annual sulfate radiative forcing efficiencies simulated in  
818 this study to those in previous multi-model studies (Yu et al., 2013; Bellouin et al.,  
819 2016; Stjern et al., 2016). As in the previous studies, the DRF efficiency is calculated  
820 as the response of global DRF to a 20% reduction in local emissions divided by the  
821 20% of sulfur emissions based on two separate simulations rather than 100% of local  
822 emissions in a single simulation (Table S6). The efficiencies based on the 20%  
823 emission reduction are very similar to those of the 100% emission reduction,  
824 indicating a nearly linear relationship between sulfate DRF and emissions. Compared  
825 to Yu et al. (2013) and Stjern et al. (2016), the DRF efficiencies in this study are



826 around the lower bound for all source regions. Another multi-model intercomparison  
827 study also reported a lower sulfate DRF simulated in CAM5 compared to other  
828 models (Myhre et al., 2013). The difference in DRF efficiencies likely arises from  
829 differences in the estimates of aerosol optical properties. With aerosol-cloud  
830 interactions included, the total radiative forcing efficiencies in this study are similar to  
831 the best estimates provided by Bellouin et al. (2016). The global IRF in CAM5 was  
832 also found to be larger than other models in a nine-model intercomparison study,  
833 which was attributed to an strong aerosol induced cloud scattering (Zelinka et al.,  
834 2014).

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839 *Data availability.* All the emissions datasets used in this study can be obtained from  
840 <https://pcmdi.llnl.gov/projects/input4mips>. The sulfate datasets are available from  
841 <http://vista.cira.colostate.edu/IMPROVE/> for IMPROVE sites, <http://www.eanet.asia>  
842 for EANET sites, and <http://www.emep.int> for EMEP sites. The OMI satellite-derived  
843 total column burden of SO<sub>2</sub> can be downloaded from  
844 [http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omso2e\\_v003.shtml](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omso2e_v003.shtml). The  
845 CESM model is publically available at <http://www.cesm.ucar.edu/models/cesm1.2/>.  
846 Our model results can be made available through the National Energy Research  
847 Scientific Computing Center (NERSC) servers upon request.

848

849 *Competing interests.* The authors declare that they have no conflict of interest.

850

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1124 **Table 1.** Seasonal emissions (units: Tg S season<sup>-1</sup>) of combustion (anthropogenic +  
 1125 biomass burning) SO<sub>2</sub> and DMS from the sixteen source regions/sectors in  
 1126 December-January-February (DJF), March-April-May (MAM), June-July-August (JJA),  
 1127 and September-October-November (SON) and annual total emissions (ANN).  
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	NAM	CAM	SAM	EUR	NAF	SAF	MDE	SEA
DJF	8.313E-01	3.458E-01	3.284E-01	1.073E+00	1.519E-01	6.507E-01	8.388E-01	3.537E-01
MAM	7.016E-01	3.659E-01	3.677E-01	8.251E-01	1.529E-01	5.871E-01	8.421E-01	3.731E-01
JJA	8.761E-01	3.731E-01	4.740E-01	6.456E-01	1.534E-01	8.090E-01	8.398E-01	3.516E-01
SON	7.045E-01	3.550E-01	4.357E-01	7.829E-01	1.518E-01	6.641E-01	8.353E-01	3.517E-01
ANN	3.114E+00	1.440E+00	1.606E+00	3.327E+00	6.099E-01	2.711E+00	3.356E+00	1.430E+00
	CAS	SAS	EAS	RBU	PAN	ROW	VOL	DMS
DJF	3.156E-01	1.593E+00	5.043E+00	8.913E-01	1.266E-01	2.836E+00	3.106E+00	5.991E+00
MAM	2.720E-01	1.626E+00	4.406E+00	7.443E-01	1.352E-01	2.775E+00	3.175E+00	4.770E+00
JJA	2.300E-01	1.605E+00	4.084E+00	6.455E-01	1.597E-01	2.739E+00	3.175E+00	3.537E+00
SON	2.619E-01	1.594E+00	4.299E+00	6.940E-01	1.625E-01	2.813E+00	3.141E+00	3.918E+00
ANN	1.080E+00	6.418E+00	1.783E+01	2.975E+00	5.840E-01	1.116E+01	1.260E+01	1.822E+01

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1131 **Figure Captions**

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1133 **Figure 1.** (a) Tagged source regions (NAM: North America, CAM: Central America,  
1134 SAM: South America, EUR: Europe, NAF: North Africa, SAF: Southern Africa, MDE:  
1135 the Middle East, SEA: Southeast Asia, CAS: Central Asia, SAS: South Asia, EAS:  
1136 East Asia, RBU: Russia/Belarus/Ukraine, PAN: Pacific/Australia/New Zealand and  
1137 ROW: rest of the world) and (b) the respective percentage contributions to global  
1138 annual mean combustion SO<sub>2</sub> emissions (anthropogenic + biomass burning) from the  
1139 individual source regions.

1140

1141 **Figure 2.** Spatial distribution (left panel) and scatter plot (right) between the simulated  
1142 and observed annual mean near-surface sulfate concentrations ( $\mu\text{g m}^{-3}$ ) over years  
1143 2010–2014. Observations are from IMPROVE (up pointing triangle), EMEP (square),  
1144 EANET (down pointing triangle) for years 2010–2014, and CAWNET (circle) for years  
1145 2006–2007, which are scaled to 2010–2014 based on the ratio of CEDS 2010-2014  
1146 SO<sub>2</sub> emissions to 2006-2007 emissions over China (which is 0.92). Solid lines mark  
1147 the 1:1 ratio and dashed lines mark the 1:2 and 2:1 ratio. Normalized mean bias  
1148 (NMB) and correlation coefficient (R) between observation and simulation are shown  
1149 on the right panel.  $\text{NMB} = 100\% \times \sum(M_i - O_i) / \sum O_i$ , where  $M_i$  and  $O_i$  are the  
1150 modeled and observed values at site  $i$ , respectively.

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1152 **Figure 3.** Spatial distribution of relative contributions (%) to annual mean  
1153 near-surface sulfate concentrations from each of the tagged source regions/sectors.

1154 Relative contributions to global averaged sulfate from individual source  
1155 regions/sectors is shown at the bottom right of each panel.

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1157 **Figure 4.** Relative contributions of non-local sources to seasonal near-surface sulfate  
1158 concentrations (left panels) and wind fields over 850 hPa (right panels). Arrows with  
1159 numbers show contributions (%) of a source region to sulfate over a receptor region.  
1160 Only relative concentrations larger than 10% are shown.

1161

1162 **Figure 5.** Relative contributions (%) of local emissions (inside the tagged regions) to  
1163 near-surface sulfate concentrations. Contributions from natural source sectors are  
1164 added to ROW here. Contributions less than 50% are shown in cold colors and those  
1165 larger than 50% are shown in warm colors.

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1167 **Figure 6.** Relative contributions (%) to near-surface sulfate concentrations averaged  
1168 over land and ocean of the Northern and Southern Hemisphere from emissions in the  
1169 sixteen tagged source regions/sectors.

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1171 **Figure 7.** Seasonal and annual mean regional concentration efficiency of sulfate ( $\mu\text{g}$   
1172  $\text{m}^{-3} (\text{Tg S yr}^{-1})^{-1}$ ) of the sixteen tagged source regions/sectors. The efficiency is  
1173 defined as the local contribution to near-surface sulfate concentration divided by the  
1174 corresponding sulfur emissions from that region (seasonal emissions multiplied by 4).  
1175 Error bars indicate 1- $\sigma$  of mean values during years 2010–2014. The receptor region  
1176 of ROW is used to calculate efficiency of VOL and DMS.

1177

1178 **Figure 8.** Contributions to zonal mean sulfate direct radiative forcing ( $W m^{-2}$ ) from  
1179 emissions of the tagged regions/sectors shown in colors (left Y axis) and from global  
1180 total emissions shown in black (right Y axis). Only regions with maximum of zonal  
1181 mean sulfate direct radiative forcing stronger than  $-0.1 W m^{-2}$  are shown here.

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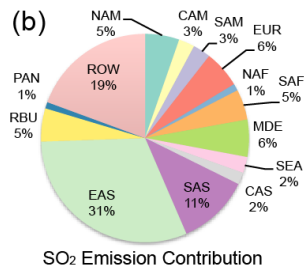
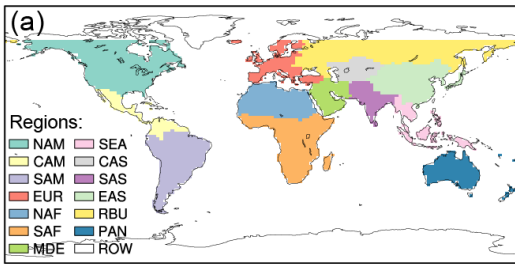
1183 **Figure 9.** Relative contributions (%) from emissions in the sixteen tagged  
1184 regions/sectors to sulfate direct radiative forcing over the Southern Hemisphere  
1185 high-latitudes ( $90^{\circ}S-60^{\circ}S$ ), Southern Hemisphere mid-latitudes ( $60^{\circ}S-30^{\circ}S$ ),  
1186 Southern Hemisphere tropics ( $30^{\circ}S$ –Equator), Northern Hemisphere tropics  
1187 (Equator– $30^{\circ}N$ ), Northern Hemisphere mid-latitudes ( $30^{\circ}N-60^{\circ}N$ ), and Northern  
1188 Hemisphere high-latitudes ( $60^{\circ}N-90^{\circ}N$ ).

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1190 **Figure 10.** Spatial distribution of responses of annual mean indirect radiative forcing  
1191 of sulfate (IRF,  $W m^{-2}$ ) to a 20% reduction in sulfur emissions (standard simulation –  
1192 simulation with 20% emission reduction). Regional contributions are calculated as a  
1193 scaled total incremental IRF in each grid cell by the ratio of source contribution to total  
1194 sulfate mass concentration reduction averaged from the surface layer to 850 hPa.  
1195 Regional mean contributions to global incremental IRF of sulfate are shown at the  
1196 bottom right of each panel.

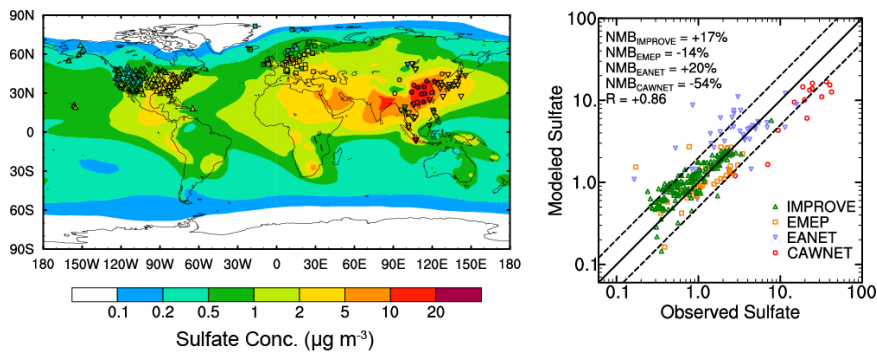
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1198 **Figure 11.** Seasonal and annual mean global sulfate (a) direct and (b) indirect  
1199 radiative forcing efficiency ( $\text{mW m}^{-2} (\text{Tg S yr}^{-1})^{-1}$ ) of the sixteen tagged source  
1200 regions/sectors. The sulfate radiative efficiency is defined as the global sulfate  
1201 radiative forcing divided by the corresponding scaled annual sulfur emission  
1202 (seasonal emission multiplied by 4). Error bars indicate 1- $\sigma$  of mean values during  
1203 years 2010–2014.



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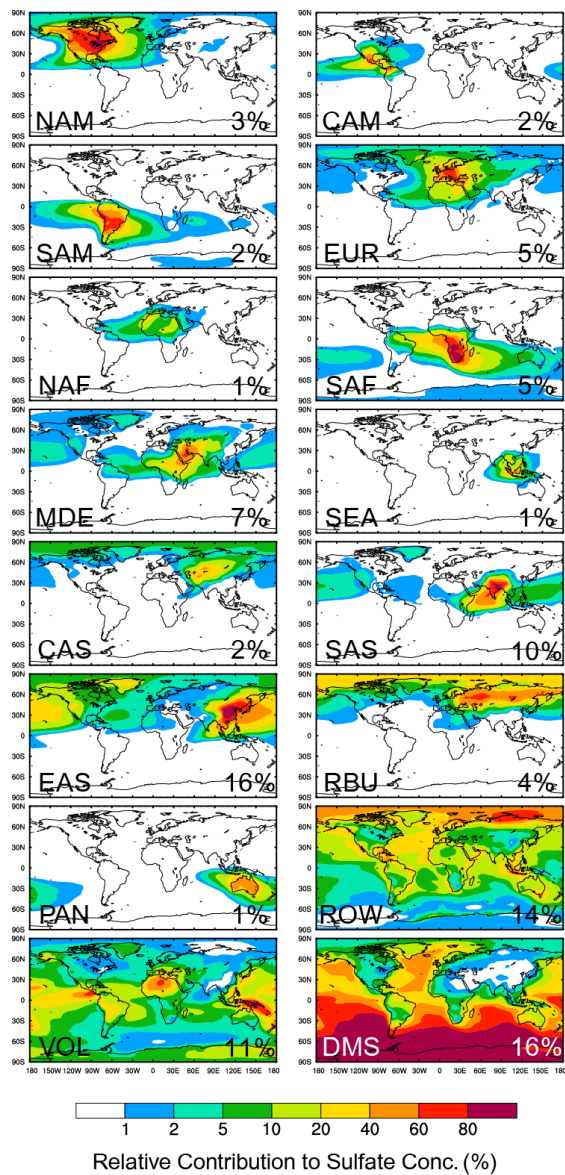
**Figure 1.** (a) Tagged source regions (NAM: North America, CAM: Central America, SAM: South America, EUR: Europe, NAF: North Africa, SAF: Southern Africa, MDE: the Middle East, SEA: Southeast Asia, CAS: Central Asia, SAS: South Asia, EAS: East Asia, RBU: Russia/Belarus/Ukraine, PAN: Pacific/Australia/New Zealand and ROW: rest of the world) and (b) the respective percentage contributions to global annual mean combustion SO<sub>2</sub> emissions (anthropogenic + biomass burning) from the individual source regions.



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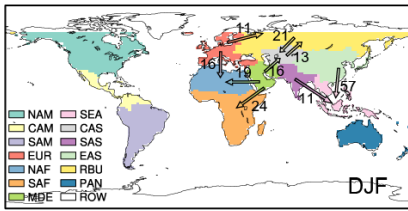
1216 **Figure 2.** Spatial distribution (left panel) and scatter plot (right) between the simulated  
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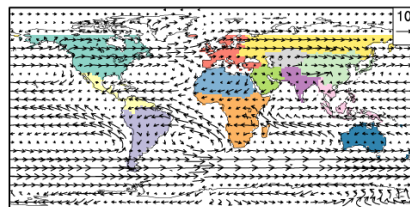
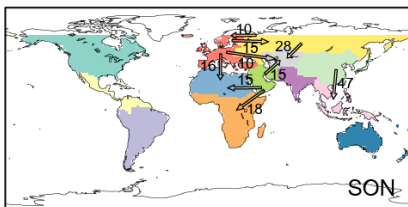
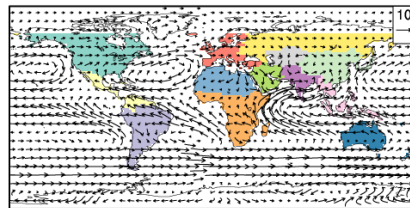
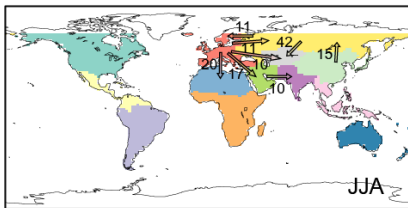
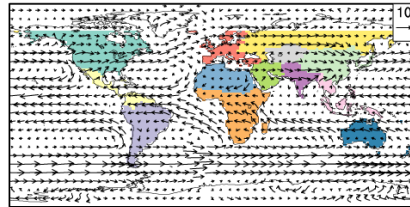
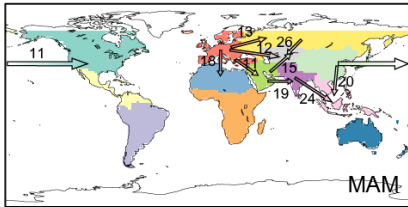
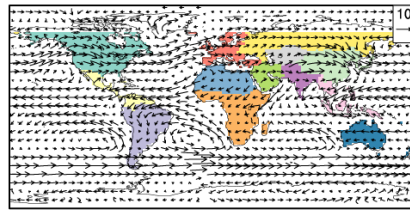
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**Figure 3.** Spatial distribution of relative contributions (%) to annual mean near-surface sulfate concentrations from each of the tagged source regions/sectors. Relative contributions to global averaged sulfate from individual source regions/sectors is shown at the bottom right of each panel.

Non-local Source Contribution (%)



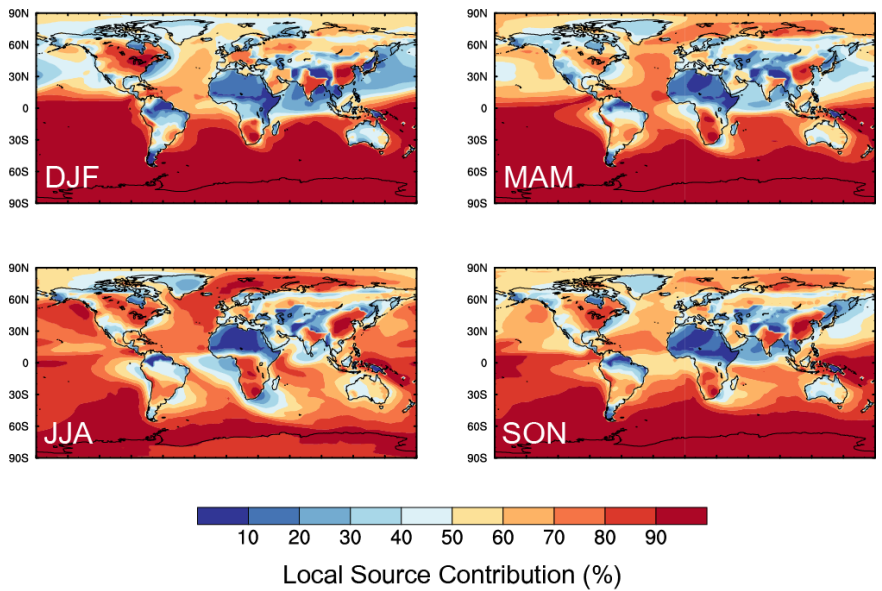
Winds over 850 hPa ( $m s^{-1}$ )



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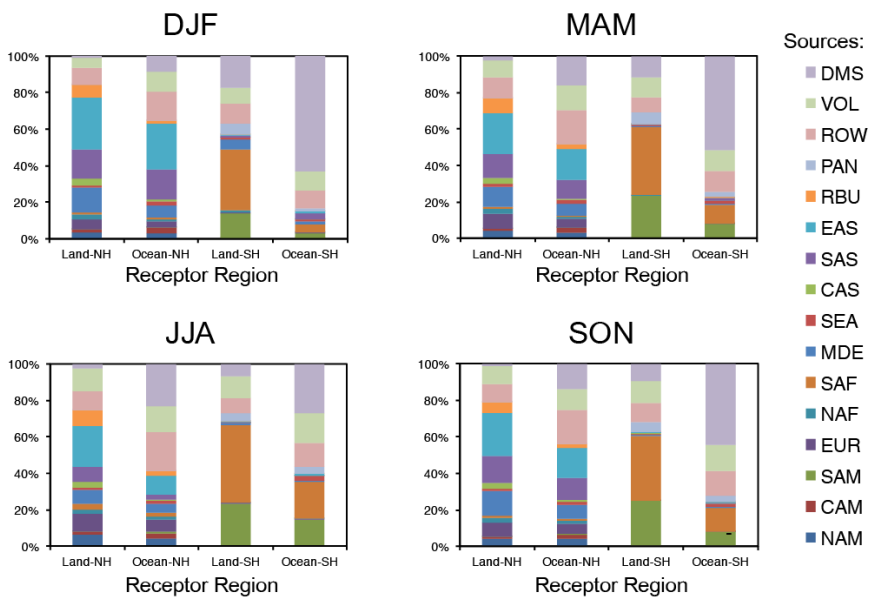
**Figure 4.** Relative contributions of non-local sources to seasonal near-surface sulfate concentrations (left panels) and wind fields over 850 hPa (right panels). Arrows with numbers show contributions (%) of a source region to sulfate over a receptor region. Only relative concentrations larger than 10% are shown.





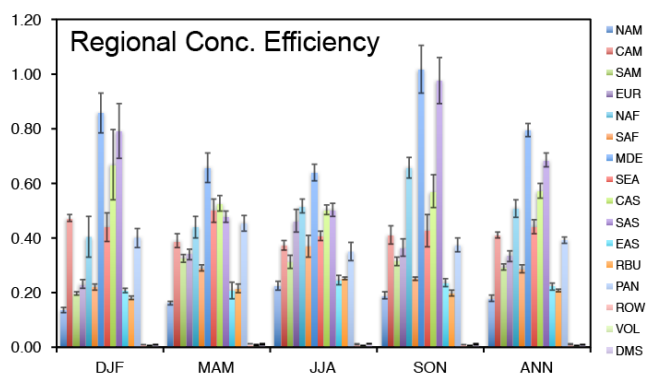
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**Figure 5.** Relative contributions (%) of local emissions (inside the tagged regions) to near-surface sulfate concentrations. Contributions from natural source sectors are added to ROW here. Contributions less than 50% are shown in cold colors and those larger than 50% are shown in warm colors.



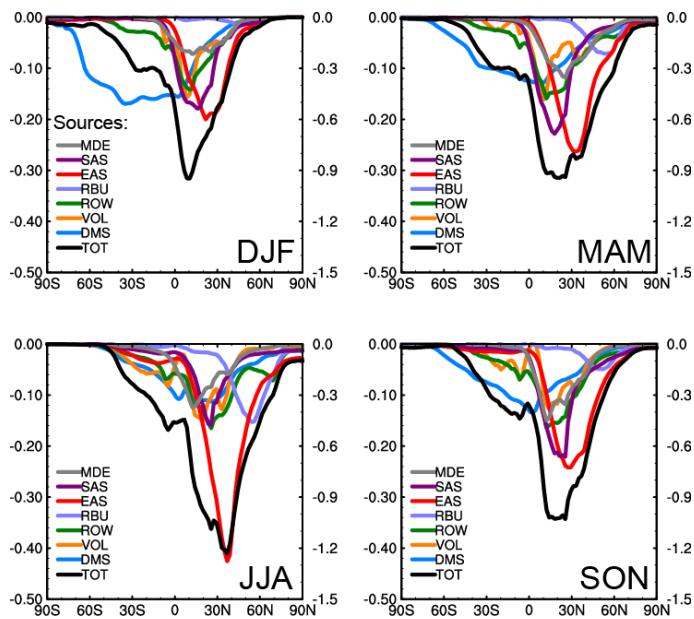
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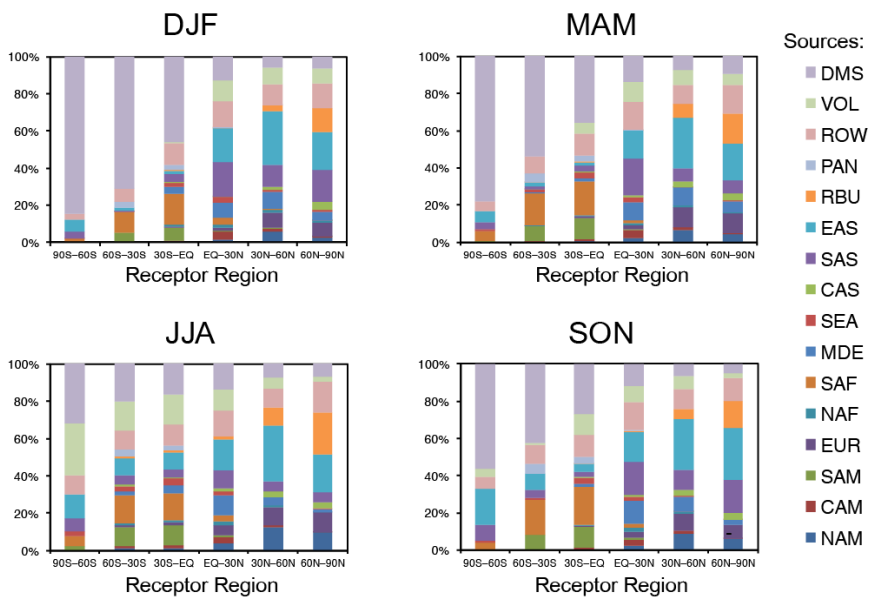
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**Figure 7.** Seasonal and annual mean regional concentration efficiency of sulfate ( $\mu\text{g m}^{-3} (\text{Tg S yr}^{-1})^{-1}$ ) of the sixteen tagged source regions/sectors. The efficiency is defined as the local contribution to near-surface sulfate concentration divided by the corresponding sulfur emissions from that region (seasonal emissions multiplied by 4). Error bars indicate 1- $\sigma$  of mean values during years 2010–2014. The receptor region of ROW is used to calculate efficiency of VOL and DMS.



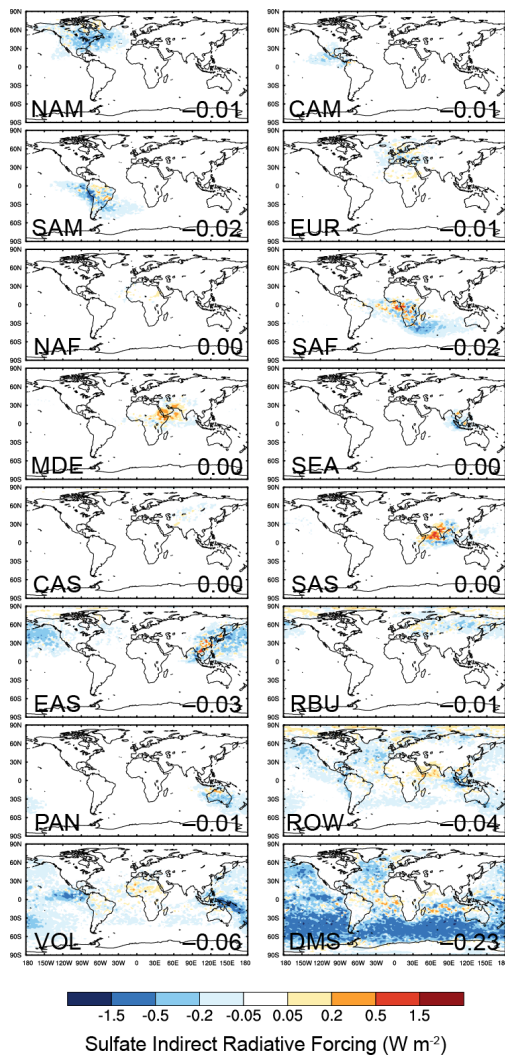
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**Figure 8.** Contributions to zonal mean sulfate direct radiative forcing ( $W\ m^{-2}$ ) from emissions of the tagged regions/sectors shown in colors (left Y axis) and from global total emissions shown in black (right Y axis). Only regions with maximum of zonal mean sulfate direct radiative forcing stronger than  $-0.1\ W\ m^{-2}$  are shown here.



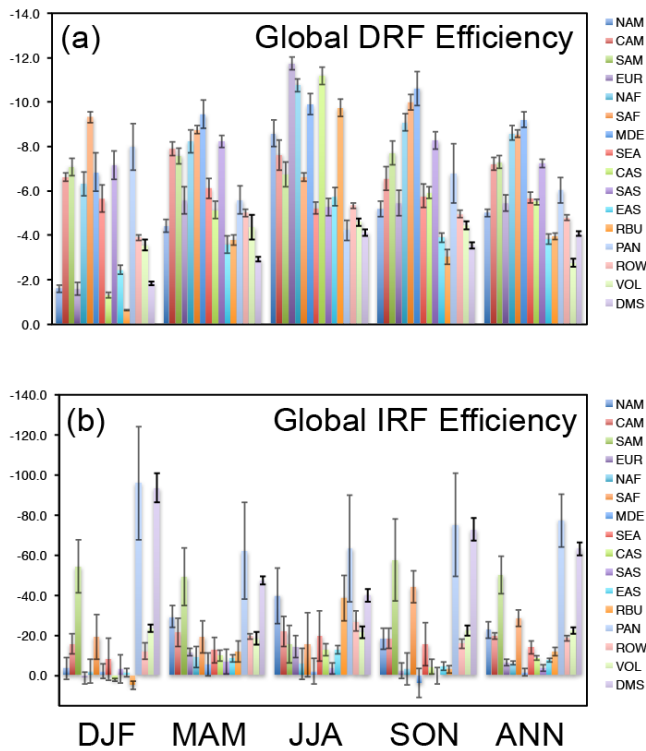
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**Figure 9.** Relative contributions (%) from emissions in the sixteen tagged regions/sectors to sulfate direct radiative forcing over the Southern Hemisphere high-latitudes (90°S–60°S), Southern Hemisphere mid-latitudes (60°S–30°S), Southern Hemisphere tropics (30°S–Equator), Northern Hemisphere tropics (Equator–30°N), Northern Hemisphere mid-latitudes (30°N–60°N), and Northern Hemisphere high-latitudes (60°N–90°N).



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**Figure 10.** Spatial distribution of responses of annual mean indirect radiative forcing of sulfate (IRF,  $W m^{-2}$ ) to a 20% reduction in sulfur emissions (standard simulation – simulation with 20% emission reduction). Regional contributions are calculated as a scaled total incremental IRF in each grid cell by the ratio of source contribution to total sulfate mass concentration reduction averaged from the surface layer to 850 hPa. Regional mean contributions to global incremental IRF of sulfate are shown at the bottom right of each panel.



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