

# 1 Effects of NO<sub>x</sub> and SO<sub>2</sub> on the Secondary Organic Aerosol 2 Formation from Photooxidation of $\alpha$ -pinene and Limonene

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## 12 Abstract

13 Anthropogenic emissions such as NO<sub>x</sub> and SO<sub>2</sub> influence the biogenic secondary organic aerosol (SOA)  
14 formation, but detailed mechanisms and effects are still elusive. We studied the effects of NO<sub>x</sub> and SO<sub>2</sub> on the  
15 SOA formation from the photooxidation of  $\alpha$ -pinene and limonene at ambient relevant NO<sub>x</sub> and SO<sub>2</sub>  
16 concentrations (NO<sub>x</sub>: < 1 ppb to 20 ppb, SO<sub>2</sub>: <0.05 ppb to 15 ppb). In these experiments, monoterpene oxidation  
17 was dominated by OH oxidation. We found that SO<sub>2</sub> induced nucleation and enhanced SOA mass formation. NO<sub>x</sub>  
18 strongly suppressed not only new particle formation but also SOA mass yield. However, in the presence of SO<sub>2</sub>  
19 which induced high number concentration of particles after oxidation to H<sub>2</sub>SO<sub>4</sub>, the suppression of the mass yield  
20 of SOA by NO<sub>x</sub> was completely or partly compensated. This indicates that the suppression of SOA yield by NO<sub>x</sub>  
21 was largely due to the suppressed new particle formation, leading to a lack of particle surface for the organics to  
22 condense on. By compensating for the suppressing effect on nucleation of NO<sub>x</sub>, SO<sub>2</sub> also compensated for the  
23 suppressing effect on SOA yield. Aerosol mass spectrometer data show that increasing NO<sub>x</sub> enhanced nitrate  
24 formation. The majority of the nitrate was organic nitrate (57%-77%), even in low NO<sub>x</sub> conditions (<~1 ppb).  
25 Organic nitrate contributed 7%-26% of total organics assuming a molecular weight of 200 g/mol. SOA from  $\alpha$ -  
26 pinene photooxidation at high NO<sub>x</sub> had generally lower hydrogen to carbon ratio (H/C), compared to low NO<sub>x</sub>.  
27 The NO<sub>x</sub> dependence of the chemical composition can be attributed to the NO<sub>x</sub> dependence of the branching ratio  
28 of the RO<sub>2</sub> loss reactions, leading to lower fraction of organic hydroperoxides and higher fractions of organic  
29 nitrates at high NO<sub>x</sub>. While NO<sub>x</sub> suppressed new particle formation and SOA mass formation, SO<sub>2</sub> can  
30 compensate for such effects, and the combining effect of SO<sub>2</sub> and NO<sub>x</sub> may have important influence on SOA  
31 formation affected by interactions of biogenic volatile organic compounds (VOC) with anthropogenic emissions.

32

## 33 1 Introduction

34 Secondary organic aerosol (SOA) have significant impacts on air quality, human health and climate change  
35 (Hallquist et al., 2009; Kanakidou et al., 2005; Jimenez et al., 2009; Zhang et al., 2011). SOA mainly originates

36 from biogenic volatile organic compounds (VOC) emitted by terrestrial vegetation (Hallquist et al., 2009). Once  
37 emitted into the atmosphere, biogenic VOC can undergo reactions with atmospheric oxidants including OH, O<sub>3</sub>  
38 and NO<sub>3</sub>, and form SOA. When an air mass enriched in biogenic VOC is transported over an area with substantial  
39 anthropogenic emissions or vice versa, the reaction behavior of VOC and SOA formation can be altered due to  
40 the interactions of biogenic VOC with anthropogenic emissions such as NO<sub>x</sub>, SO<sub>2</sub>, anthropogenic aerosol and  
41 anthropogenic VOC. A number of field studies have highlighted the role of the anthropogenic-biogenic  
42 interactions in SOA formation (de Gouw et al., 2005; Goldstein et al., 2009; Hoyle et al., 2011; Worton et al.,  
43 2011; Glasius et al., 2011; Xu et al., 2015a; Shilling et al., 2012), which can induce an “anthropogenic  
44 enhancement” effect on SOA formation.

45 Among biogenic VOC, monoterpenes are important contributors to biogenic SOA due to their high emission  
46 rates, high reactivity and relative high SOA yield compared to isoprene (Guenther et al., 1995; Guenther et al.,  
47 2012; Chung and Seinfeld, 2002; Pandis et al., 1991; Griffin et al., 1999; Hoffmann et al., 1997; Zhao et al.,  
48 2015; Carlton et al., 2009). The anthropogenic modulation of the SOA formation from monoterpene can have  
49 important impacts on regional and global biogenic SOA budget (Spracklen et al., 2011). The influence of various  
50 anthropogenic pollutants on SOA formation of monoterpene have been investigated by a number of laboratory  
51 studies (Sarrafzadeh et al., 2016; Zhao et al., 2016; Flores et al., 2014; Emanuelsson et al., 2013; Eddingsaas et  
52 al., 2012a; Offenberg et al., 2009; Kleindienst et al., 2006; Presto et al., 2005; Ng et al., 2007; Zhang et al., 1992;  
53 Pandis et al., 1991; Draper et al., 2015; Han et al., 2016). In particular, NO<sub>x</sub> and SO<sub>2</sub> have been shown to affect  
54 SOA formation from monoterpene.

55 NO<sub>x</sub> changes the fate of RO<sub>2</sub> radical formed in VOC oxidation and therefore can change reaction product  
56 distribution and aerosol formation. At low NO<sub>x</sub>, RO<sub>2</sub> mainly react with HO<sub>2</sub>, forming organic hydroperoxides. At  
57 high NO<sub>x</sub>, RO<sub>2</sub> mainly react with NO, forming organic nitrate (Hallquist et al., 2009; Ziemann and Atkinson,  
58 2012; Finlayson-Pitts and Pitts Jr., 1999). Some studies found that the SOA yield from  $\alpha$ -pinene is higher at  
59 lower NO<sub>x</sub> concentration for ozonolysis (Presto et al., 2005) and photooxidation (Ng et al., 2007; Eddingsaas et  
60 al., 2012a; Han et al., 2016; Stirnweis et al., 2017). The decrease of SOA yield with increasing NO<sub>x</sub> was proposed  
61 to be due to the formation of more volatile products like organic nitrate under high NO<sub>x</sub> conditions (Presto et al.,  
62 2005). In contrast, a recent study found that the suppressing effect of NO<sub>x</sub> is in large part attributed to the effect  
63 of NO<sub>x</sub> on OH concentration for the SOA from  $\beta$ -pinene oxidation, and after eliminating the effect of NO<sub>x</sub> on OH  
64 concentration, SOA yield only varies by 20-30% (Sarrafzadeh et al., 2016). Beside the effect of NO<sub>x</sub> on SOA  
65 yield, NO<sub>x</sub> has been found to suppress the new particle formation from VOC directly emitted by Mediterranean  
66 trees (mainly monoterpenes) (Wildt et al., 2014) and  $\beta$ -pinene (Sarrafzadeh et al., 2016), thereby reducing  
67 condensational sink present during high NO<sub>x</sub> experiments.

68 Regarding the effect of SO<sub>2</sub>, the SOA yield of  $\alpha$ -pinene photooxidation was found to increase with SO<sub>2</sub>  
69 concentration at high NO<sub>x</sub> concentrations (SO<sub>2</sub>: 0-252 ppb, NO<sub>x</sub>: 242-543 ppb,  $\alpha$ -pinene: 178-255 ppb)  
70 (Kleindienst et al., 2006) and the increase is attributed to the formation of H<sub>2</sub>SO<sub>4</sub> acidic aerosol. Acidity of seed  
71 aerosol was also found to enhance particle yield of  $\alpha$ -pinene at high NO<sub>x</sub> (Offenberg et al. (2009): NO<sub>x</sub> 100-120  
72 ppb,  $\alpha$ -pinene 69-160 ppb; Han et al. (2016): initial NO ~70 ppb,  $\alpha$ -pinene 14-18 ppb). In constrast, Eddingsaas et  
73 al. (2012a) found that particle yield increases with aerosol acidity only in “high NO” condition (NO<sub>x</sub> 800 ppb,  $\alpha$ -  
74 pinene: 20-52 ppb), but is independent of the presence of seed aerosol or aerosol acidity in both “high NO<sub>2</sub>”

75 condition ( $\text{NO}_x$  800 ppb)” and low  $\text{NO}_x$  ( $\text{NO}_x$  lower than the detection limit of the  $\text{NO}_x$  analyzer). Similarly, at  
76 low  $\text{NO}_x$  (initial  $\text{NO} < 0.3$  ppb,  $\alpha$ -pinene  $\sim 20$  ppb), Han et al. (2016) found that the acidity of seed has no  
77 significant effect on SOA yield from  $\alpha$ -pinene photooxidation. In addition,  $\text{SO}_2$  was found to influence the gas  
78 phase oxidation products from  $\alpha$ -pinene and  $\beta$ -pinene photooxidation, which is possibly due to the change in  
79  $\text{OH}/\text{HO}_2$  ratio caused by  $\text{SO}_2$  oxidation or  $\text{SO}_3$  directly reacting with organic molecules (Friedman et al., 2016).

80 While these studies have provided valuable insights into the effects of  $\text{NO}_x$  and  $\text{SO}_2$  on SOA formation, a  
81 number of questions still remain elusive. For example, many studies used very high  $\text{NO}_x$  and  $\text{SO}_2$  concentrations  
82 (up to several hundreds of ppb). High  $\text{NO}_x$  can make the  $\text{RO}_2$  radical fate dominated by one single pathway (i.e.,  
83  $\text{RO}_2 + \text{NO}$  or  $\text{RO}_2 + \text{NO}_2$ ) to investigate SOA yields and composition under such conditions. Yet, the effects of  $\text{NO}_x$   
84 and  $\text{SO}_2$  at concentration ranges for ambient anthropogenic-biogenic interactions (sub ppb to several tens of ppb  
85 for  $\text{NO}_2$  and  $\text{SO}_2$ ) have seldom been directly addressed. Moreover, many previous studies on the SOA formation  
86 from monoterpene oxidation focus on ozonolysis or do not distinguish OH oxidation and ozonolysis in  
87 photooxidation, and only a few studies on OH oxidation have been conducted (Eddingsaas et al., 2012a; Zhao et  
88 al., 2015; McVay et al., 2016; Sarrafzadeh et al., 2016; Henry et al., 2012; Ng et al., 2007). More importantly,  
89 studies that investigated the combined effects of  $\text{NO}_x$  and  $\text{SO}_2$  are scarce, although they are often co-emitted from  
90 anthropogenic sources. According to previous studies,  $\text{NO}_x$  can have a suppressing effect on SOA formation  
91 while  $\text{SO}_2$  can have an enhancing effect.  $\text{NO}_x$  and  $\text{SO}_2$  might have counteracting or synergistic effects in SOA  
92 formation in the ambient atmosphere.

93 In this study, we investigated the effects of  $\text{NO}_x$ ,  $\text{SO}_2$  and their combining effects on SOA formation from the  
94 photooxidation of  $\alpha$ -pinene and limonene.  $\alpha$ -pinene and limonene are two important monoterpenes with high  
95 emission rates among monoterpenes (Guenther et al., 2012). OH oxidation dominated over ozonolysis in the  
96 monoterpene oxidation in this study as determined by measured OH and  $\text{O}_3$  concentrations. The relative  
97 contributions of  $\text{RO}_2$  loss reactions at low  $\text{NO}_x$  and high  $\text{NO}_x$  were quantified using measured  $\text{HO}_2$ ,  $\text{RO}_2$ , and NO  
98 concentrations. The effects on new particle formation, SOA yield and aerosol chemical composition were  
99 examined. We used ambient relevant  $\text{NO}_x$  and  $\text{SO}_2$  concentrations so that the results can shed lights on the  
100 mechanisms of interactions of biogenic VOC with anthropogenic emissions in the real atmosphere.

## 101 **2 Experimental**

### 102 **2.1 Experimental setup and instrumentation**

103 The experiments were performed in the SAPHIR chamber (Simulation of Atmospheric PHotochemistry In a  
104 large Reaction chamber) at Forschungszentrum Jülich, Germany. The details of the chamber have been described  
105 before (Rohrer et al., 2005; Zhao et al., 2015). Briefly, it is a 270 m<sup>3</sup> Teflon chamber using natural sunlight for  
106 illumination. It is equipped with a louvre system to switch between light and dark conditions. The physical  
107 parameters for chamber running such as temperature and relative humidity were recorded. The solar irradiation  
108 was characterized and the photolysis frequency was derived (Bohn et al., 2005; Bohn and Zilken, 2005).

109 Gas and particle phase species were characterized using various instruments. OH,  $\text{HO}_2$  and  $\text{RO}_2$  concentrations  
110 were measured using a laser induced fluorescence (LIF) system with details described by Fuchs et al. (2012). OH  
111 was formed via HONO photolysis, which was produced from a photolytic process on the Teflon chamber wall

112 (Rohrer et al., 2005). From OH concentration, OH dose, the integral of OH concentration over time, was  
113 calculated in order to better compare experiments with different OH levels. For example, experiments at high  
114 NO<sub>x</sub> in this study generally had higher OH concentrations due to the faster OH production by recycling of HO<sub>2</sub>•  
115 and RO<sub>2</sub>• to OH. The VOC were characterized using a Proton Transfer Reaction Time-of-Flight Mass  
116 Spectrometer (PTR-ToF-MS) and Gas Chromatography-Mass spectrometer (GC-MS). NO<sub>x</sub>, O<sub>3</sub> and SO<sub>2</sub>  
117 concentrations were characterized using a NO<sub>x</sub> analyzer (ECO PHYSICS TR480), an O<sub>3</sub> analyzer (ANSYCO,  
118 model O341M), and an SO<sub>2</sub> analyzer (Thermo Systems 43i), respectively. O<sub>3</sub> was formed in photochemical  
119 reactions since NO<sub>x</sub>, even in trace amount (<~1 ppbV), was present in this study. More details of these  
120 instrumentation are described before (Zhao et al., 2015).

121 The number and size distribution of particles were measured using a condensation particle counter (CPC,  
122 TSI, model 3786) and a scanning mobility particle sizer (SMPS, TSI, DMA 3081/CPC 3785). From particle  
123 number measurement, the nucleation rate ( $J_{2.5}$ ) was derived from the number concentration of particles larger than  
124 2.5 nm as measured by CPC. Particle chemical composition was measured using a High-Resolution Time-of-  
125 Flight Aerosol Mass Spectrometer (HR-ToF-AMS, Aerodyne Research Inc.). From the AMS data, oxygen to  
126 carbon ratio (O/C), hydrogen to carbon ratio (H/C), and nitrogen to carbon ratio (N/C) were derived using a  
127 method derived in the literature (Aiken et al., 2007; Aiken et al., 2008). An update procedure to determine the  
128 elemental composition is reported by Canagaratna et al. (2015), showing the O/C and H/C derived from the  
129 method of Aiken et al. (2008) may be underestimated. The H/C and O/C were also derived using the newer  
130 approach by Canagaratna et al. (2015) and compared with the data derived from the Aiken et al. (2007) method.  
131 The H/C values derived using the Canagaratna et al. (2015) method strongly correlated with the values derived  
132 using Aiken et al. (2007) method (Fig. S1) and just increased by 27% as suggested by Canagaratna et al. (2015).  
133 Similar results were found for O/C and there was just a difference of 11% in O/C. Since only relative difference  
134 in elemental composition of SOA is studied here, only the data derived using Aiken et al. (2007) method are  
135 shown as the conclusion was not affected by the methods chosen. The fractional contribution of organics in the  
136 signals at  $m/z=44$  and  $m/z=43$  to total organics ( $f_{44}$  and  $f_{43}$ , respectively) were also derived.

137 SOA yields were calculated as the ratio of organic aerosol mass formed to the amount of VOC reacted.  
138 The mass concentration of organic aerosol was derived using the total aerosol volume concentration measured by  
139 SMPS multiplied by the volume fraction of organics with a density of 1 g cm<sup>-3</sup> to better compare with previous  
140 literature. In the experiments with added SO<sub>2</sub>, sulfuric acid was formed upon photooxidation and partly  
141 neutralized by background ammonia, which was introduced into the chamber mainly due to humidification. The  
142 volume fraction of organics was derived based on volume additivity using the mass of organics and ammonium  
143 sulfate/ammonium bisulfate from AMS and their respective density (1.32 g cm<sup>-3</sup> for organic aerosol from one of  
144 our previous studies (Flores et al., 2014) and the literature (Ng et al., 2007) and ~1.77 g cm<sup>-3</sup> for ammonium  
145 sulfate/ammonium bisulfate). According to the calculations based on the E-AIM model (Clegg et al., 1998;  
146 Wexler and Clegg, 2002) (<http://www.aim.env.uea.ac.uk/aim/aim.php>), there was no aqueous phase formed at  
147 the relative humidity in the experiments of this study. The average RH for the period of monoterpene  
148 photooxidation was 28-34% except for one experiment with average RH of 42% RH. The organic aerosol  
149 concentration was corrected for the particle wall loss and dilution loss using the method described in Zhao et al.  
150 (2015).

## 151 2.2 Experimental procedure

152 The SOA formation from  $\alpha$ -pinene and limonene photooxidation was investigated at different NO<sub>x</sub> and  
153 SO<sub>2</sub> levels. Four types of experiments were done: with neither NO<sub>x</sub> nor SO<sub>2</sub> added (referred to as “low NO<sub>x</sub>, low  
154 SO<sub>2</sub>”), with only NO<sub>x</sub> added (~ 20 ppb NO, referred to as “high NO<sub>x</sub>, low SO<sub>2</sub>”), with only SO<sub>2</sub> added (~15 ppb,  
155 referred to as “low NO<sub>x</sub>, high SO<sub>2</sub>”), and with both NO<sub>x</sub> and SO<sub>2</sub> added (~20 ppb NO and ~15 ppb SO<sub>2</sub>, referred  
156 to as “high NO<sub>x</sub>, high SO<sub>2</sub>”). For low NO<sub>x</sub> conditions, background NO concentrations were around 0.05-0.2 ppb,  
157 and NO was mainly from the background photolytic process of Teflon chamber wall (Rohrer et al., 2005). For  
158 low SO<sub>2</sub> conditions, background SO<sub>2</sub> concentrations were below the detection limit of the SO<sub>2</sub> analyzer (0.05  
159 ppb). In some experiments, a lower level of SO<sub>2</sub> (2 ppb, referred to as “moderate SO<sub>2</sub>”) was used to test the effect  
160 of SO<sub>2</sub> concentration. An overview of the experiments is shown in Table 1.

161 In a typical experiment, the chamber was humidified to ~75% RH first, and then VOC and NO, if  
162 applicable, were added to the chamber. Then the roof was opened to start photooxidation. In the experiments with  
163 SO<sub>2</sub>, SO<sub>2</sub> was added and the roof was opened to initialize nucleation first and then VOC was added. The particle  
164 number concentration caused by SO<sub>2</sub> oxidation typically reached several 10<sup>4</sup> cm<sup>-3</sup> (see Fig. 2 high SO<sub>2</sub> cases) and  
165 after VOC addition, no further nucleation occurred. Adding SO<sub>2</sub> first and initializing nucleation by SO<sub>2</sub>  
166 photooxidation ensured that enough nucleating particles were present when VOC oxidation started. SO<sub>2</sub>  
167 concentration decayed slowly in the experiments with SO<sub>2</sub> added and most of the SO<sub>2</sub> was still left (typically  
168 around 8 ppb from initial 15 ppb) at the end of an experiment due to its low reactivity with OH. Typical SO<sub>2</sub>  
169 time series in high SO<sub>2</sub> experiments are shown in Fig S2. The detailed conditions of the experiments are shown in  
170 Table S1. The experiments of  $\alpha$ -pinene and limonene photooxidation were designed to keep the initial OH  
171 reactivity and thus OH loss rate constant so that the OH concentrations of these experiments were more  
172 comparable. Therefore, the concentration of limonene was around one-third of the concentration of  $\alpha$ -pinene due  
173 to the higher OH reactivity of limonene.

## 174 2.3 Wall loss of organic vapors

175 The loss of organic vapors on chamber walls can influence SOA yield (Kroll et al., 2007; Zhang et al.,  
176 2014; Ehn et al., 2014; Sarrafzadeh et al., 2016; McVay et al., 2016; Nah et al., 2016; Matsunaga and Ziemann,  
177 2010; Ye et al., 2016; Loza et al., 2010). The wall loss rate of organic vapors in our chamber was estimated by  
178 following the decay of organic vapor concentrations after photooxidation was stopped in the experiments with  
179 low particle surface area (~5×10<sup>-8</sup> cm<sup>2</sup> cm<sup>-3</sup>) and thus low condensational sink on particles. Such method is  
180 similar to the method used in previous studies (Ehn et al., 2014; Sarrafzadeh et al., 2016; Krechmer et al., 2016;  
181 Zhang et al., 2015). A high-resolution time-of-flight chemical ionization mass spectrometer (HR-ToF-CIMS,  
182 Aerodyne Research Inc.) with nitrate ion source (<sup>15</sup>NO<sub>3</sub><sup>-</sup>) was used to measure semi/low-volatile organic vapors.  
183 The details of the instrument were described in our previous studies (Ehn et al., 2014; Sarrafzadeh et al., 2016).  
184 The decay of vapors started from the time when the roof of the chamber was closed. The data were acquired at a  
185 time resolution of 4 s. A typical decay of low-volatile organics is shown in Fig. S3 and the first-order wall loss  
186 rate was determined to be around 6×10<sup>-4</sup> s<sup>-1</sup>.

187 The SOA yield was not directly corrected for the vapor wall loss, but the influence of vapor wall loss on  
188 SOA yield was estimated using the method in the study of Sarrafzadeh et al. (2016) and the details of the method

189 are described therein. Briefly, particle surface and chamber walls competed for the vapor loss (condensation) and  
190 the condensation on particles led to particle growth. The fraction of organic vapor loss to particles in the sum of  
191 the vapor loss to chamber walls and to particles ( $F_p$ ) was calculated. The vapor loss to chamber walls was derived  
192 using the wall loss rate. The vapor loss to particles was derived using particle surface area concentration,  
193 molecular velocity and an accommodation coefficient  $\alpha_p$  (Sarrafzadeh et al., 2016).  $1/F_p$  ( $f_{\text{corr}}$ ) provides the  
194 correction factor to obtain the “real” SOA yield.  $f_{\text{corr}}$  is a function of particle surface area concentration and  
195 accommodation coefficient as shown in Fig. S4. Here a range of 0.1-1 for  $\alpha_p$  was used, which is generally in line  
196 with the ranges of  $\alpha_p$  found by Nah et al. (2016) by fitting a vapor-particle dynamic model to experimental data.  
197 At a given  $\alpha_p$ , the higher particle surface area, the lower  $f_{\text{corr}}$  and the lower the influence of vapor wall loss are  
198 because most vapors condense on particle surface and vice versa. At a given particle surface area,  $f_{\text{corr}}$  decreases  
199 with  $\alpha_p$  because at higher  $\alpha_p$  a larger fraction of vapors condenses on particles. An average molecular weight of  
200 200 g/mol was used to estimate the influence of vapor wall loss. For the aerosol surface area range in most of the  
201 experiments in this study, the influence of vapor wall loss on SOA yield was relatively small (<~40% for particle  
202 surface area larger than  $3 \times 10^{-6} \text{ cm}^2 \text{ cm}^{-3}$ , Fig. S4). Yet, for the experiments at high  $\text{NO}_x$  and low  $\text{SO}_2$  for  $\alpha$ -pinene  
203 and limonene, the influence of vapor wall loss on SOA can be high due to the low particle surface area, especially  
204 at lower  $\alpha_p$ .

## 205 **3 Results and discussion**

### 206 **3.1 Chemical scheme: VOC oxidation pathway and $\text{RO}_2$ fate**

207 In the photooxidation of VOC, OH and  $\text{O}_3$  often co-exist and both contribute to VOC oxidation because  $\text{O}_3$   
208 formation in chamber studies is often unavoidable during photochemical reactions of VOC even in the presence  
209 of trace amount of  $\text{NO}_x$ . In order to study the mechanism of SOA formation, it is helpful to isolate one oxidation  
210 pathway from the other. In this study, the reaction rates of OH and ozone with VOC are quantified using  
211 measured OH and  $\text{O}_3$  concentrations multiplied by rate constants (time series of VOC, OH, and  $\text{O}_3$  are shown in  
212 Fig. S5). Typical OH and  $\text{O}_3$  concentrations in an experiment were around  $(1-15) \times 10^6 \text{ molecules cm}^{-3}$  and 0-50  
213 ppb, respectively, depending on the VOC and  $\text{NO}_x$  concentrations added. For all the experiment in this study, the  
214 VOC loss was dominated by OH oxidation over ozonolysis (see Fig. S6 as an example). The relative importance  
215 of the reaction of OH and  $\text{O}_3$  with monoterpenes was similar in the low  $\text{NO}_x$  and high  $\text{NO}_x$  experiments. At high  
216  $\text{NO}_x$ , OH was often higher while more  $\text{O}_3$  was also produced. The dominant role of OH oxidation in VOC loss  
217 makes the chemical scheme simple and it is easier to interpret than cases when both OH oxidation and ozonolysis  
218 are important.

219 As mentioned above,  $\text{RO}_2$  fate, i.e., the branching of  $\text{RO}_2$  loss among different pathways, has an important  
220 influence on the product distribution and thus on SOA composition, physicochemical properties, and yields.  $\text{RO}_2$   
221 can react with NO,  $\text{HO}_2$ ,  $\text{RO}_2$ , or isomerize. The fate of  $\text{RO}_2$  mainly depends on the concentrations of NO,  $\text{HO}_2$   
222 and  $\text{RO}_2$ . Here, the loss rates of  $\text{RO}_2$  via different pathways were quantified using the measured  $\text{HO}_2$ , NO and  
223  $\text{RO}_2$  concentrations and the rate constants based on the MCM3.3 (Jenkin et al., 1997; Saunders et al., 2003)  
224 (<http://mcm.leeds.ac.uk/MCM>). Measured  $\text{HO}_2$  and  $\text{RO}_2$  concentrations are shown in Fig. S7 as an example and  
225 the relative importance of different  $\text{RO}_2$  reaction pathways is compared in Fig. 1, which is similar for both  $\alpha$ -

226 pinene and limonene oxidation. In the low NO<sub>x</sub> conditions of this study, RO<sub>2</sub>+NO dominated the RO<sub>2</sub> loss rate in  
227 the beginning of an experiment (Fig. 1a). The trace amount of NO (up to ~0.2 ppbV) was from the photolysis of  
228 HONO, which was continuously produced from a photolytic process on chamber walls throughout an experiment  
229 (Rohrer et al., 2005). But later in the experiment, RO<sub>2</sub>+HO<sub>2</sub> contributed a significant fraction (up to ~40 %) to  
230 RO<sub>2</sub> loss because of increasing HO<sub>2</sub> concentration and decreasing NO concentration. In the high NO<sub>x</sub> conditions,  
231 RO<sub>2</sub>+NO overwhelmingly dominated the RO<sub>2</sub> loss rate (Fig. 1b), and with the decrease of NO in an experiment,  
232 the total RO<sub>2</sub> loss rate decreased substantially (Fig. 1b). Since the main products of RO<sub>2</sub>+HO<sub>2</sub> are organic  
233 hydroperoxides, more organic hydroperoxides relative to organic nitrates are expected in the low NO<sub>x</sub> conditions  
234 here. The loss rate of RO<sub>2</sub>+RO<sub>2</sub> was estimated to be ~10<sup>-4</sup> s<sup>-1</sup> using a reaction rate constant of 2.5×10<sup>-13</sup>  
235 molecules<sup>-1</sup> cm<sup>3</sup> s<sup>-1</sup> (Ziemann and Atkinson, 2012). This contribution is negligible compared to other pathways in  
236 this study, although the reaction rate constants of RO<sub>2</sub>+RO<sub>2</sub> are highly uncertain and may depend on specific RO<sub>2</sub>  
237 (Ziemann and Atkinson, 2012). Note that the RO<sub>2</sub> fate in the low and high NO<sub>x</sub> conditions quantified here are  
238 further used in the discussion below since the information of RO<sub>2</sub> fate is important for data interpretation of  
239 experiments conducted at different NO<sub>x</sub> levels (Wennberg, 2013).

### 240 3.2 Effects of NO<sub>x</sub> and SO<sub>2</sub> on new particle formation

241 The effects of NO<sub>x</sub> and SO<sub>2</sub> on new particle formation from α-pinene oxidation are shown in Fig. 2a. In  
242 low SO<sub>2</sub> conditions, both the total particle number concentration and nucleation rate at high NO<sub>x</sub> were lower than  
243 those at low NO<sub>x</sub>, indicating NO<sub>x</sub> suppressed the new particle formation. The suppressing effect of NO<sub>x</sub> on new  
244 particle formation was in agreement with the findings of Wildt et al. (2014). This suppression is considered to be  
245 caused by the increased fraction of RO<sub>2</sub>+NO reaction, decreasing the importance of RO<sub>2</sub>+RO<sub>2</sub> permutation  
246 reactions. RO<sub>2</sub>+RO<sub>2</sub> reaction products are believed to be involved in the new particle formation (Wildt et al.,  
247 2014; Kirkby et al., 2016) and initial growth of particles by forming higher molecular weight products such as  
248 highly oxidized multifunctional molecules (HOM) and their dimers and trimers (Ehn et al., 2014; Kirkby et al.,  
249 2016).

250 In high SO<sub>2</sub> conditions, the nucleation rate and total number concentrations were high, regardless of NO<sub>x</sub>  
251 levels. The high concentration of particles was attributed to the new particle formation induced by H<sub>2</sub>SO<sub>4</sub> alone  
252 formed by SO<sub>2</sub> oxidation since the new particle formation occurred before VOC addition. The role of H<sub>2</sub>SO<sub>4</sub> in  
253 new particle formation has been well studied in previous studies (Berndt et al., 2005; Zhang et al., 2012; Sipila et  
254 al., 2010; Kirkby et al., 2011; Almeida et al., 2013).

255 Similar suppression of new particle formation by NO<sub>x</sub> and enhancement of new particle formation by  
256 SO<sub>2</sub> photooxidation were found for limonene oxidation (Fig. 2b).

### 257 3.3 Effects of NO<sub>x</sub> and SO<sub>2</sub> on SOA mass yield

#### 258 3.3.1 Effect of NO<sub>x</sub>

259 Figure 3a shows SOA yield at different NO<sub>x</sub> for α-pinene oxidation. In order to make different  
260 experiments more comparable, the SOA yield is plotted as a function of OH dose instead of reaction time. In low  
261 SO<sub>2</sub> conditions, NO<sub>x</sub> not only suppressed the new particle formation but also suppressed SOA mass yield.  
262 Because NO<sub>x</sub> suppressed new particle formation, the suppression of the SOA yield could be attributed to the lack

263 of new particles as seed and thus the lack of condensational sink, or to the decrease of condensable organic  
264 materials. We further found that when new particle formation was already enhanced by added SO<sub>2</sub>, the SOA yield  
265 at high NO<sub>x</sub> was comparable to that at low NO<sub>x</sub> and the difference in SOA yield between high NO<sub>x</sub> and low NO<sub>x</sub>  
266 was much smaller (Fig. 3a). This finding can be attributed to two possible explanations. Firstly, NO<sub>x</sub> did not  
267 significantly suppress the formation of low volatile condensable organic materials, although NO<sub>x</sub> obviously  
268 suppressed the formation of products for nucleation. Secondly, NO<sub>x</sub> did suppress the formation of low-volatility  
269 condensable organic materials via forming potentially more volatile compounds and in addition to that, the  
270 suppressed formation of condensable organic materials was compensated by the presence of SO<sub>2</sub>, resulting in  
271 comparable SOA yield. Organic nitrates are a group of compounds formed at high NO<sub>x</sub>, which have been  
272 proposed to be more volatile (Presto et al., 2005; Kroll et al., 2006). However, many organic nitrates formed by  
273 photooxidation in this study were highly oxidized organic molecules (HOMs) containing multi-functional groups  
274 besides nitrate group (C<sub>7-10</sub>H<sub>9-15</sub>NO<sub>8-15</sub>). These compounds are expected to have low volatility and they are found  
275 to have an uptake coefficient on particles of ~1 (Pullinen et al., in preparation). Therefore, the suppressing effect  
276 of NO<sub>x</sub> on SOA yield was mostly likely due to suppressed nucleation, i.e., the lack of particle surface as  
277 condensational sink.

278 For limonene oxidation, similar results of NO<sub>x</sub> suppressing the particle mass formation have been found  
279 in low SO<sub>2</sub> conditions (Fig. 3b). Yet, in high SO<sub>2</sub> conditions, the SOA yield from limonene oxidation at high NO<sub>x</sub>  
280 was still significantly lower than that at low NO<sub>x</sub>, which is different from the findings for α-pinene SOA. The  
281 cause of this difference is currently unknown. Our data of SOA yield suggest that the products formed from  
282 limonene oxidation at high NO<sub>x</sub> seemed to have higher average volatility than that at low NO<sub>x</sub>.

283 The suppression of SOA mass formation by NO<sub>x</sub> under low SO<sub>2</sub> conditions agrees with previous studies  
284 (Eddingsaas et al., 2012a; Wildt et al., 2014; Sarrafzadeh et al., 2016; Hatakeyama et al., 1991). For example, it  
285 was found that high concentration of NO<sub>x</sub> (tens of ppb) suppressed mass yield of SOA formed from  
286 photooxidation of β-pinene, α-pinene and VOC emitted by Mediterranean trees (Wildt et al., 2014; Sarrafzadeh et  
287 al., 2016). And on the basis of the results by Eddingsaas et al. (2012a), the SOA yield at high NO<sub>x</sub> (referred to as  
288 “high NO” by the authors) is lower than at low NO<sub>x</sub> in the absence of seed aerosol.

289 Our finding that the difference in SOA yield between high NO<sub>x</sub> and low NO<sub>x</sub> conditions was highly  
290 reduced at high SO<sub>2</sub> is also in line with the findings of some previous studies using seed aerosols (Sarrafzadeh et  
291 al., 2016; Eddingsaas et al., 2012a). For example, Sarrafzadeh et al. (2016) found that in the presence of seed  
292 aerosol, the suppressing effect of NO<sub>x</sub> on the SOA yield from β-pinene photooxidation is substantially diminished  
293 and SOA yield only decreases by 20-30% in the NO<sub>x</sub> range of <1 ppb to 86 ppb at constant OH concentrations.  
294 The data by Eddingsaas et al. (2012a) also showed that in presence of seed aerosol, the difference in the SOA  
295 yield between low NO<sub>x</sub> and high NO<sub>x</sub> is much decreased. However, our finding is in contrast with the findings in  
296 other studies (Presto et al., 2005; Ng et al., 2007; Han et al., 2016; Stirnweis et al., 2017), who reported much  
297 lower SOA yield at high NO<sub>x</sub> than at low NO<sub>x</sub> in presence of seed. The different findings in these studies from  
298 ours may be attributed to the difference in the reaction conditions such as VOC oxidation pathways (OH  
299 oxidation vs. ozonolysis), VOC and NO<sub>x</sub> concentration ranges, NO/NO<sub>2</sub>, OH concentrations as well as organic  
300 aerosol loading, which all affect SOA yield. The reaction conditions of this study often differ from those  
301 described in the literature (see Table S2).

302 The difference in these conditions can result in both different apparent dependence on specific  
303 parameters and the varied SOA yield. For example, SOA yield from  $\alpha$ -pinene photooxidation at low  $\text{NO}_x$  in this  
304 study appeared to be much lower than that in Eddingsaas et al. (2012a). The difference between the SOA yield in  
305 this study and some of previous studies and between the values in the literature can be attributed to several  
306 reasons: 1)  $\text{RO}_2$  fates may be different. For example, in our study at low  $\text{NO}_x$ ,  $\text{RO}_2+\text{NO}$  account for a large  
307 fraction of  $\text{RO}_2$  loss while in Eddingsaas et al. (2012a)  $\text{RO}_2+\text{HO}_2$  is the dominant pathway of  $\text{RO}_2$  loss. This  
308 difference in  $\text{RO}_2$  fates may affect oxidation products distribution. 2) The organic aerosol loading of this study is  
309 much lower than that some of previous studies (e.g., Eddingsaas et al. (2012a)) (see Fig. S9). SOA yields in this  
310 study were also plotted versus organic aerosol loading to better compare with previous studies (Fig. S8 and S9).  
311 3) The total particle surface area in this study may also differ from previous studies, which may influence the  
312 apparent SOA yield due to vapor wall loss (the total particle surface area is often not reported in many previous  
313 studies to compare with). 4) RH of this study is different from many previous studies, which often used very low  
314 RH (<10%). It is important to emphasize that reaction conditions including the  $\text{NO}_x$  as well as  $\text{SO}_2$  concentration  
315 range and RH in this study were chosen to be relevant to the anthropogenic-biogenic interactions in the ambient  
316 atmosphere. In addition, difference in the organic aerosol density used in yield calculation should be taken into  
317 account. In this study, SOA yield was derived using a density of  $1 \text{ g cm}^{-3}$  to better compare with many previous  
318 studies (e.g., (Henry et al., 2012)), while in some other studies SOA yield was derived using different density  
319 (e.g.,  $1.32 \text{ g cm}^{-3}$  in Eddingsaas et al. (2012a)).

### 320 3.3.2 Effect of $\text{SO}_2$

321 For both  $\alpha$ -pinene and limonene,  $\text{SO}_2$  was found to enhance the SOA mass yield at given  $\text{NO}_x$  levels,  
322 especially for the high  $\text{NO}_x$  cases (Fig. 3). The enhancing effect of  $\text{SO}_2$  on particle mass formation can be  
323 attributed to two reasons. Firstly,  $\text{SO}_2$  oxidation induced new particle formation, which provided more surface  
324 and volume for further condensation of organic vapors. This is consistent with the finding that the enhancement  
325 of SOA yield by  $\text{SO}_2$  was more significant at high  $\text{NO}_x$  when the enhancement in nucleation was also more  
326 significant. Secondly,  $\text{H}_2\text{SO}_4$  formed by photooxidation of  $\text{SO}_2$  can enhance SOA formation via acid-catalyzed  
327 heterogeneous uptake, an important SOA formation pathway initially found from isoprene photooxidation (Jang  
328 et al., 2002; Lin et al., 2012; Surratt et al., 2007) and later also in the photooxidation of other compound such as  
329 anthropogenic VOC (Chu et al., 2016; Liu et al., 2016). For the products from monoterpene oxidation, such an  
330 acid-catalyzed effect may also occur (Northcross and Jang, 2007; Wang et al., 2012; Lal et al., 2012; Zhang et al.,  
331 2006; Ding et al., 2011; Iinuma et al., 2009) and in this study, the particles were acidic with the molar ratio of  
332  $\text{NH}_4^+$  to  $\text{SO}_4^{2-}$  around 1.5-1.8, although no aqueous phase was formed.

333 We found that the SOA yield in the limonene oxidation at a moderate  $\text{SO}_2$  level (2 ppb) was comparable  
334 to the yield at high  $\text{SO}_2$  (15 ppb) when similar particle number concentrations in both cases were formed. Both  
335 yields were significantly higher than the yield at low  $\text{SO}_2$  (<0.05 ppb, see Fig. S10). This comparison suggests  
336 that the effect in enhancing new particle formation by  $\text{SO}_2$  seems to be more important compared to the particle  
337 acidity effect. The role of  $\text{SO}_2$  in new particle formation is similar to adding seed aerosol and providing particle  
338 surface for organics to condense. Artificially added seed aerosol has been shown to enhance SOA formation from  
339  $\alpha$ -pinene and  $\beta$ -pinene oxidation (Ehn et al., 2014; Sarrafzadeh et al., 2016; Eddingsaas et al., 2012a). In some

340 other studies, it was found that the SOA yield from  $\alpha$ -pinene oxidation is independent of initial seed surface area  
341 (McVay et al., 2016; Nah et al., 2016). The difference in the literature may be due to the range of total surface  
342 area of particles, reaction conditions and chamber setup. For example, the peak particle-to-chamber surface ratio  
343 for  $\alpha$ -pinene photooxidation in this study was  $7.7 \times 10^{-5}$  at high  $\text{NO}_x$  and low  $\text{SO}_2$ , much lower than the aerosol  
344 surface area range in the studies by Nah et al. (2016) and McVay et al. (2016). A lower particle-to-chamber  
345 surface ratio can lead to a larger fraction of organics lost on chamber walls. Hence, providing additional particle  
346 surface by adding seed particles can increase the condensation of organics on particles and thus increase SOA  
347 yield. However, once the surface area is high enough to inhibit condensation of vapors on chamber walls, further  
348 enhancement of particle surface will not significantly enhance the yield (Sarrafzadeh et al., 2016).

349 Particle acidity may also play a role in affecting the SOA yield in the experiments with high  $\text{SO}_2$ . Particle  
350 acidity was found to enhance the SOA yield from  $\alpha$ -pinene photooxidation at high  $\text{NO}_x$  (Offenberg et al., 2009)  
351 and “high NO” conditions (Eddingsaas et al., 2012a). Yet, in low  $\text{NO}_x$  condition, particle acidity was reported to  
352 have no significant effect on the SOA yield from  $\alpha$ -pinene photooxidation (Eddingsaas et al., 2012a; Han et al.,  
353 2016). According to these findings, at low  $\text{NO}_x$  the enhancement of SOA yield in this study is attributed to the  
354 effect of facilitating nucleation and providing more particle surface by  $\text{SO}_2$  photooxidation. At high  $\text{NO}_x$ , the  
355 effect in enhancing new particle formation by  $\text{SO}_2$  photooxidation seems to be more important, although the  
356 effect of particle acidity resulted from  $\text{SO}_2$  photooxidation may also play a role.

357  $\text{SO}_2$  has been proposed to also affect gas phase chemistry of organics by changing the  $\text{HO}_2/\text{OH}$  or  
358 forming  $\text{SO}_3$  (Friedman et al., 2016). In this study, the effect of  $\text{SO}_2$  on gas phase chemistry of organics was not  
359 significant because of the much lower reactivity of  $\text{SO}_2$  with OH compared with  $\alpha$ -pinene and limonene  
360 (Atkinson et al., 2004, 2006; Atkinson and Arey, 2003) and the low OH concentrations (2-3 orders of magnitude  
361 lower than those in the study by Friedman et al. (2016)). Moreover, reactions of  $\text{RO}_2$  with  $\text{SO}_2$  was also not  
362 important because the reaction rate constant is very low ( $< 10^{-14}$  molecule $^{-1}$  cm $^3$  s $^{-1}$ ) (Lightfoot et al., 1992; Berndt  
363 et al., 2015). In addition, from the AMS data of SOA formed at high  $\text{SO}_2$  no significant organic fragments  
364 containing sulfur were found. Also the fragment  $\text{CH}_3\text{SO}_2^+$  from organic sulfate suggested by Farmer et al. (2010)  
365 was not detected in our data. The absence of organic sulfate tracers is likely due to the lack of aqueous phase in  
366 aerosol particles in this study. Therefore, the influence of  $\text{SO}_2$  on gas phase chemistry of organics and further on  
367 SOA yield via affecting gas phase chemistry is not important in this study.

368 The presence of high  $\text{SO}_2$  enhanced the SOA mass yield at high  $\text{NO}_x$  conditions, which was even  
369 comparable with the SOA yield at low  $\text{NO}_x$  for  $\alpha$ -pinene oxidation. This finding indicates that the suppressing  
370 effect of  $\text{NO}_x$  on SOA mass formation was compensated to large extent by the presence of  $\text{SO}_2$ . This has  
371 important implications for SOA formation affected by anthropogenic-biogenic interactions in the real atmosphere  
372 when  $\text{SO}_2$  and  $\text{NO}_x$  often co-exist in relative high concentrations as discussed below.

### 373 **3.4 Effects of $\text{NO}_x$ and $\text{SO}_2$ on SOA chemical composition**

374 The effects of  $\text{NO}_x$  and  $\text{SO}_2$  on SOA chemical composition were analyzed on the basis of AMS data. We  
375 found that  $\text{NO}_x$  enhanced nitrate formation. The ratio of the mass of nitrate to organics was higher at high  $\text{NO}_x$   
376 than at low  $\text{NO}_x$  regardless of the  $\text{SO}_2$  level, and similar trends were found for SOA from  $\alpha$ -pinene and limonene  
377 oxidation (Fig. 4a). Higher nitrate to organics ratios were observed for SOA from limonene at high  $\text{NO}_x$ , which is

378 mainly due to the lower VOC/NO<sub>x</sub> ratio resulted from the lower concentrations of limonene (7 ppb) compared to  
379 α-pinene (20 ppb) (see Table 1). Overall, the mass ratios of nitrate to organics ranged from 0.02 to 0.11  
380 considering all the experiments in this study.

381 Nitrate formed can be either inorganic (such as HNO<sub>3</sub> from the reaction of NO<sub>2</sub> with OH) or organic (from  
382 the reaction of RO<sub>2</sub> with NO). The ratio of NO<sub>2</sub><sup>+</sup> (*m/z*=46) to NO<sup>+</sup> (*m/z*=30) in the mass spectra detected by AMS  
383 can be used to differentiate whether nitrate is organic or inorganic (Fry et al., 2009; Rollins et al., 2009; Farmer et  
384 al., 2010; Kiendler-Scharr et al., 2016). Organic nitrate was considered to have a NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> of ~0.1 and inorganic  
385 NH<sub>4</sub>NO<sub>3</sub> had a NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> of ~0.31 with the instrument used in this study as determined from calibration  
386 measurements. In this study, NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratios ranged from 0.14 to 0.18, closer to the ratio of organic nitrate. The  
387 organic nitrate was estimated to account for 57%-77% (molar fraction) of total nitrate considering both the low  
388 NO<sub>x</sub> and high NO<sub>x</sub> conditions. This indicates that nitrate was mostly organic nitrate, even at low NO<sub>x</sub> in this  
389 study.

390 In order to determine the contribution of organic nitrate to total organics, we estimated the molecular  
391 weight of organic nitrates formed by α-pinene and limonene oxidation to be 200-300 g/mol, based on reaction  
392 mechanisms ((Eddingsaas et al., 2012b) and MCM v3.3, via website: <http://mcm.leeds.ac.uk/MCM>.). We  
393 assumed a molecular weight of 200 g/mol in order to make our results comparable to the field studies which used  
394 similar molecular weight (Kiendler-Scharr et al., 2016). For this value, the organic nitrate compounds were  
395 estimated to account for 7-26% of the total organics mass as measured by AMS in SOA. Organic nitrate fraction  
396 in total organics was within the range of values found in a field observation in southeast US (5-12% in summer  
397 and 9-25% in winter depending on the molecular weight of organic nitrate) using AMS (Xu et al., 2015b) and  
398 particle organic nitrate content derived from the sum of speciated organic nitrates (around 1-17% considering  
399 observed variability and 3% and 8% on average in the afternoon and at night, respectively) (Lee et al., 2016).  
400 Note that the organic nitrate fraction observed in this study was lower than the mean value (42%) for a number of  
401 European observation stations when organic nitrate is mainly formed by the reaction of VOC with NO<sub>3</sub>  
402 (Kiendler-Scharr et al., 2016).

403 Moreover, we found that the contribution of organic nitrate to total organics (calculated using a  
404 molecular weight of 200 g/mol for organic nitrate) was higher at high NO<sub>x</sub> (Fig. 4b), although in some  
405 experiments the ratios of NO<sub>2</sub><sup>+</sup> to NO<sup>+</sup> were too noisy to derive a reliable fraction of organic nitrate. This result is  
406 consistent with the reaction scheme that at high NO<sub>x</sub>, almost all RO<sub>2</sub> loss was switched to the reaction with NO,  
407 which is expected to enhance the organic nitrate formation. Besides organic nitrate, the ratio of nitrogen to carbon  
408 atoms (N/C) was also found to be higher at high NO<sub>x</sub> (Fig. S11). But after considering nitrate functional group  
409 separately, N/C ratio was very low, generally <0.01, which indicates majority of the organic nitrogen existed in  
410 the form of organic nitrate.

411 The chemical composition of organic components of SOA in terms of H/C and O/C ratios at different  
412 NO<sub>x</sub> and SO<sub>2</sub> levels was further compared. For SOA from α-pinene photooxidation, in low SO<sub>2</sub> conditions, no  
413 significant difference in H/C and O/C was found between SOA formed at low NO<sub>x</sub> and at high NO<sub>x</sub> within the  
414 experimental uncertainties (Fig. 5). The variability of H/C and O/C at high NO<sub>x</sub> is large, mainly due to the low  
415 particle mass and small particle size. In high SO<sub>2</sub> conditions, SOA formed at high NO<sub>x</sub> had the higher O/C and  
416 lower H/C, which indicates that SOA components had higher oxidation state. The higher O/C at high NO<sub>x</sub> than at

417 low  $\text{NO}_x$  is partly due to the higher OH dose at high  $\text{NO}_x$ , although even at same OH dose O/C at high  $\text{NO}_x$  was  
418 still slightly higher than at low  $\text{NO}_x$  in high  $\text{SO}_2$  conditions.

419 For the SOA formed from limonene photooxidation, no significant difference in the H/C and O/C was  
420 found between different  $\text{NO}_x$  and  $\text{SO}_2$  conditions (Fig. S12), which is partly due to the low signal resulting from  
421 low particle mass and small particle size in high  $\text{NO}_x$  conditions.

422 Due to the high uncertainties for some of the H/C and O/C data, the chemical composition was further  
423 analyzed using  $f_{44}$  and  $f_{43}$  since  $f_{44}$  and  $f_{43}$  are less noisy (Fig. 6). For both  $\alpha$ -pinene and limonene, SOA formed at  
424 high  $\text{NO}_x$  generally had lower  $f_{43}$ . Because  $f_{43}$  generally correlates with H/C in organic aerosol (Ng et al., 2011),  
425 lower  $f_{43}$  is indicative of lower H/C, which is consistent with the lower H/C at high  $\text{NO}_x$  observed for SOA from  
426  $\alpha$ -pinene oxidation in high  $\text{SO}_2$  conditions (Fig. 5). The lower  $f_{43}$  at high  $\text{NO}_x$  was evidenced in the oxidation of  
427  $\alpha$ -pinene based on the data in a previous study (Chhabra et al., 2011). The lower H/C and  $f_{43}$  are likely to be  
428 related to the reaction pathways. According to the reaction mechanism mentioned above, at low  $\text{NO}_x$  a significant  
429 fraction of  $\text{RO}_2$  reacted with  $\text{HO}_2$  forming hydroperoxides, while at high  $\text{NO}_x$  almost all  $\text{RO}_2$  reacted with NO  
430 forming organic nitrates. Compared with organic nitrates, hydroperoxides have higher H/C ratio. The same  
431 mechanism also caused higher organic nitrate fraction at high  $\text{NO}_x$ , as discussed above.

432 Detailed mass spectra of SOA were compared, shown in Fig 7. For  $\alpha$ -pinene, in high  $\text{SO}_2$  conditions,  
433 mass spectra of SOA formed at high  $\text{NO}_x$  generally had higher intensity for CHOgt1 (“gt1” means greater than 1)  
434 family ions, such as  $\text{CO}_2^+$  ( $m/z$  44), but lower intensity for CH family ions, such as  $\text{C}_2\text{H}_3^+$  ( $m/z$  15),  $\text{C}_3\text{H}_3^+$  ( $m/z$  39)  
435 (Fig. 7b) than at low  $\text{NO}_x$ . In low  $\text{SO}_2$  conditions, such difference is not apparent (Fig. 7a), partly due to the low  
436 signal from AMS for SOA formed at high  $\text{NO}_x$  as discussed above. For both the high  $\text{SO}_2$  and low  $\text{SO}_2$  cases,  
437 mass spectra of SOA at high  $\text{NO}_x$  show higher intensity of CHN1 family ions. This is also consistent with the  
438 higher N/C ratio shown above. For SOA from limonene oxidation, SOA formed at high  $\text{NO}_x$  had lower mass  
439 fraction at  $m/z$  15 ( $\text{C}_2\text{H}_3^+$ ), 28 ( $\text{CO}^+$ ), 43 ( $\text{C}_2\text{H}_3\text{O}^+$ ), 44 ( $\text{CO}_2^+$ ), and higher mass fraction at  $m/z$  27 ( $\text{CHN}^+$ ,  $\text{C}_2\text{H}_3^+$ ),  
440 41 ( $\text{C}_3\text{H}_5^+$ ), 55 ( $\text{C}_4\text{H}_7^+$ ), 64 ( $\text{C}_4\text{O}^+$ ) than at low  $\text{NO}_x$  (Fig. S13). It seems that overall mass spectra of the SOA from  
441 limonene formed at high  $\text{NO}_x$  had higher intensity for CH family ions, but lower intensity for CHO1 family ions  
442 than at low  $\text{NO}_x$ . Note that the differences in these  $m/z$  were based on the average spectra during the whole  
443 reaction period and may not reflect the chemical composition at a certain time.

#### 444 4 Conclusion and implications

445 We investigated the SOA formation from the photooxidation of  $\alpha$ -pinene and limonene under different  $\text{NO}_x$   
446 and  $\text{SO}_2$  conditions, when OH oxidation was the dominant oxidation pathway of monoterpenes. The fate of  $\text{RO}_2$   
447 was regulated by varying  $\text{NO}_x$  concentrations. We confirmed that  $\text{NO}_x$  suppressed new particle formation.  $\text{NO}_x$   
448 also suppressed SOA mass yield in the absence of  $\text{SO}_2$ . The suppression of SOA yield by  $\text{NO}_x$  was likely due to  
449 the suppressed new particle formation, i.e., absence of sufficient particle surfaces for organic vapor to condense  
450 on at high  $\text{NO}_x$ .

451  $\text{SO}_2$  enhanced SOA yield from  $\alpha$ -pinene and limonene photooxidation.  $\text{SO}_2$  oxidation produced high number  
452 concentration of particles and compensated for the suppression of SOA yield by  $\text{NO}_x$  to a large extent. The  
453 enhancement of SOA yield by  $\text{SO}_2$  is likely to be mainly caused by facilitating nucleation by  $\text{H}_2\text{SO}_4$ , although the  
454 contribution of acid-catalyzed heterogeneous uptake cannot be excluded.

455 NO<sub>x</sub> promoted nitrate formation. The majority (57-77%) of nitrate was organic nitrate at both low NO<sub>x</sub> and  
456 high NO<sub>x</sub>, based on the estimate using the NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratios from AMS data. The significant contribution of  
457 organic nitrate to nitrate may have important implications for deriving the hygroscopicity from chemical  
458 composition. For example, a number of studies derived the hygroscopicity parameter by linear combination of the  
459 hygroscopicity parameters of various components such as sulfate, nitrate, and organics, assuming all nitrates are  
460 inorganic nitrate (Wu et al., 2013; Cubison et al., 2008; Yeung et al., 2014; Bhattu and Tripathi, 2015; Jaatinen et  
461 al., 2014; Moore et al., 2012; Gysel et al., 2007). Because the hygroscopicity parameter of organic nitrate may be  
462 much lower than inorganic nitrate (Suda et al., 2014), such derivation may overestimate hygroscopicity.

463 Organic nitrate compounds are estimated to contribute 7-26% of the total organics using an average  
464 molecular weight of 200 g/mol for organic nitrate compounds and a higher contribution of organic nitrate was  
465 found at high NO<sub>x</sub>. Generally, SOA formed at high NO<sub>x</sub> has a lower H/C compared to that at low NO<sub>x</sub>. The  
466 higher contribution of organic nitrate to total organics and lower H/C at high NO<sub>x</sub> than at low NO<sub>x</sub> is attributed to  
467 the reaction of RO<sub>2</sub> with NO, which produced more organic nitrates relative to organic hydroperoxides formed  
468 via the reaction of RO<sub>2</sub> with HO<sub>2</sub>. The different chemical composition of SOA between high and low NO<sub>x</sub>  
469 conditions may affect the physicochemical properties of SOA such as volatility, hygroscopicity, and optical  
470 properties and thus change the impact of SOA on environment and climate.

471 The different effects of NO<sub>x</sub> and SO<sub>2</sub> on new particle formation and SOA mass yields have important  
472 implications for SOA formation affected by anthropogenic-biogenic interactions in the ambient atmosphere.  
473 When an air mass of anthropogenic origin is transported to an area enriched in biogenic VOC emissions or vice  
474 versa, anthropogenic-biogenic interactions occur. Such scenarios are common in the ambient atmosphere in many  
475 areas. For example, Kiendler-Scharr et al. (2016) shows that the organic nitrate concentrations are high in all the  
476 rural sites all over Europe, indicating the important influence of anthropogenic emissions in rural areas which are  
477 often enriched in biogenic emissions. <sup>14</sup>C analysis in several studies show that modern source carbon, from  
478 biogenic emission or biomass burning, account for large fractions of organic aerosol even in urban areas (Szidat  
479 et al., 2009; Weber et al., 2007; Sun et al., 2012), indicating the potential interactions of biogenic emissions with  
480 anthropogenic emissions in urban areas. In such cases, anthropogenic NO<sub>x</sub> alone may suppress the new particle  
481 formation and SOA mass from biogenic VOC oxidation, as we found in this study. However, due to the co-  
482 existence of NO<sub>x</sub> with SO<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub> formed by SO<sub>2</sub> oxidation can counteract such suppression of particle mass  
483 because regardless of NO<sub>x</sub> levels, H<sub>2</sub>SO<sub>4</sub> can induce new particle formation especially in the presence of water,  
484 ammonia or amine (Berndt et al., 2005; Zhang et al., 2012; Sipila et al., 2010; Almeida et al., 2013; Kirkby et al.,  
485 2011; Chen et al., 2012). The overall effects on SOA mass depend on specific NO<sub>x</sub>, SO<sub>2</sub> and VOC concentrations  
486 and VOC types as well as anthropogenic aerosol concentrations and can be a net suppressing, neutral, or  
487 enhancing effect. Such scheme is depicted in Fig. 8. Other anthropogenic emissions, such as primary  
488 anthropogenic aerosol and precursors of anthropogenic secondary aerosol, can have similar roles as SO<sub>2</sub>. By  
489 affecting the concentrations of SO<sub>2</sub>, NO<sub>x</sub>, and anthropogenic aerosol, anthropogenic emissions may have  
490 important mediating impacts on biogenic SOA formation. Considering the effects of these factors in isolation  
491 may cause bias in predicting biogenic SOA concentrations. The combined impacts of SO<sub>2</sub>, NO<sub>x</sub>, and  
492 anthropogenic aerosol are also important to the estimate on how much organic aerosol concentrations will change  
493 with the ongoing and future reduction of anthropogenic emissions (Carlton et al., 2010).

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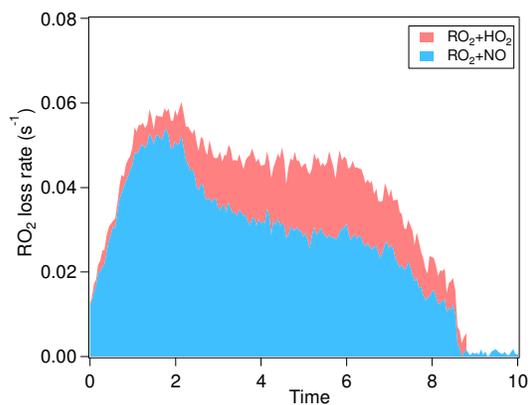
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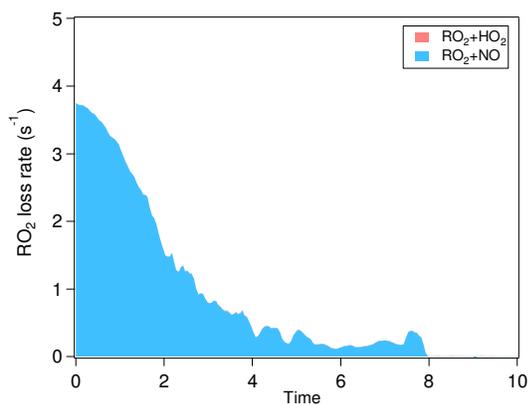
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Table 1 Overview of the experiments in this study

Precursor	SO <sub>2</sub>	NO <sub>x</sub>	NO (ppb)	SO <sub>2</sub> (ppb)
α-pinene	Low SO <sub>2</sub>	Low NO <sub>x</sub>	0.05-0.2	<0.05
		High NO <sub>x</sub>	~20	<0.05
(~20 ppb)	High SO <sub>2</sub>	Low NO <sub>x</sub>	0.05-0.2	~15
		High NO <sub>x</sub>	~20	~15
Limonene	Low SO <sub>2</sub>	Low NO <sub>x</sub>	0.05-0.2	<0.05
		High NO <sub>x</sub>	~20	<0.05
(~7 ppb)	High SO <sub>2</sub>	Low NO <sub>x</sub>	0.05-0.2	~15
		High NO <sub>x</sub>	~20	~15
	Moderate SO <sub>2</sub>	High NO <sub>x</sub>	~20	~2

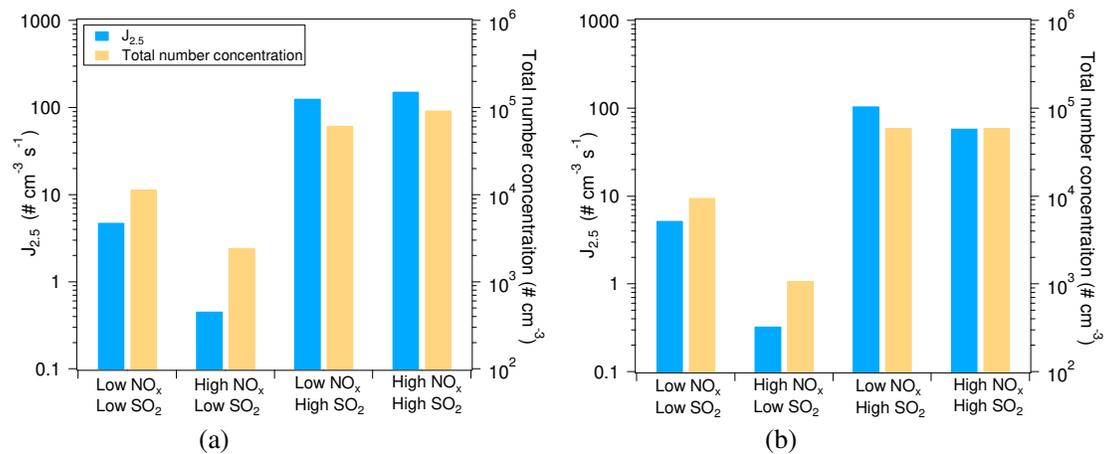


(a)



(b)

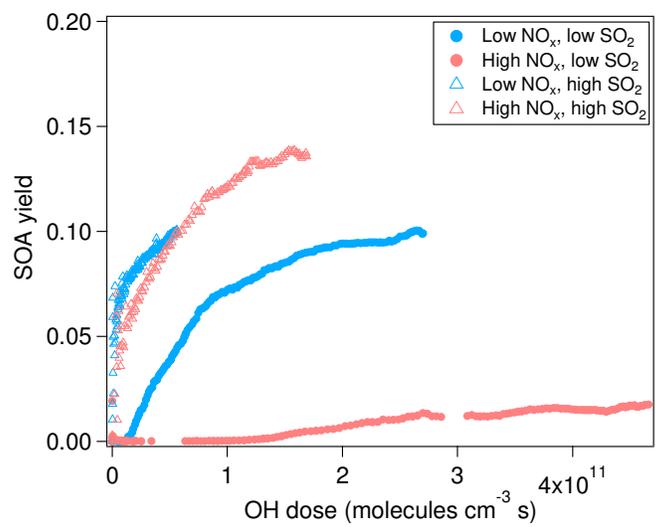
896  
 897  
 898 Figure 1. Typical loss rate of RO<sub>2</sub> by RO<sub>2</sub>+NO and RO<sub>2</sub>+HO<sub>2</sub> in the low NO<sub>x</sub> (a) and the high NO<sub>x</sub> (b) conditions  
 899 of this study. The experiments at low SO<sub>2</sub> are shown. The RO<sub>2</sub>+HO<sub>2</sub> rate is stacked on the RO<sub>2</sub>+NO rate. Note  
 900 the different scales for RO<sub>2</sub> loss rate in panel a and b. In panel b, the contribution of RO<sub>2</sub>+HO<sub>2</sub> is very low and  
 901 barely noticeable.



902  
 903  
 904 Figure 2. Nucleation rates ( $J_{2.5}$ ) and maximum total particle number concentrations under different  $\text{NO}_x$  and  $\text{SO}_2$   
 905 conditions for the SOA from  $\alpha$ -pinene oxidation (a) and from limonene oxidation (b).

906

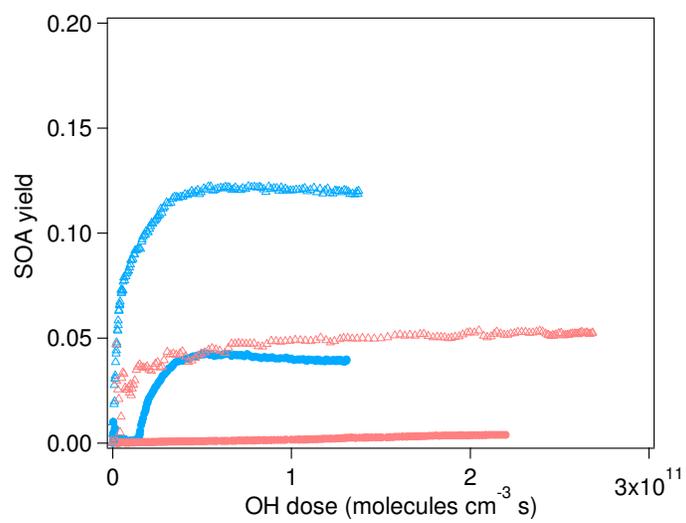
907



(a)

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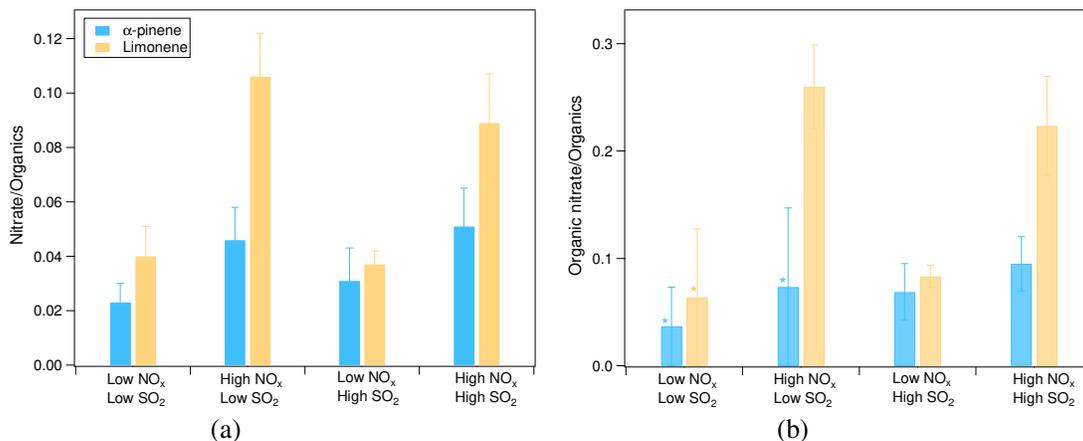


(b)

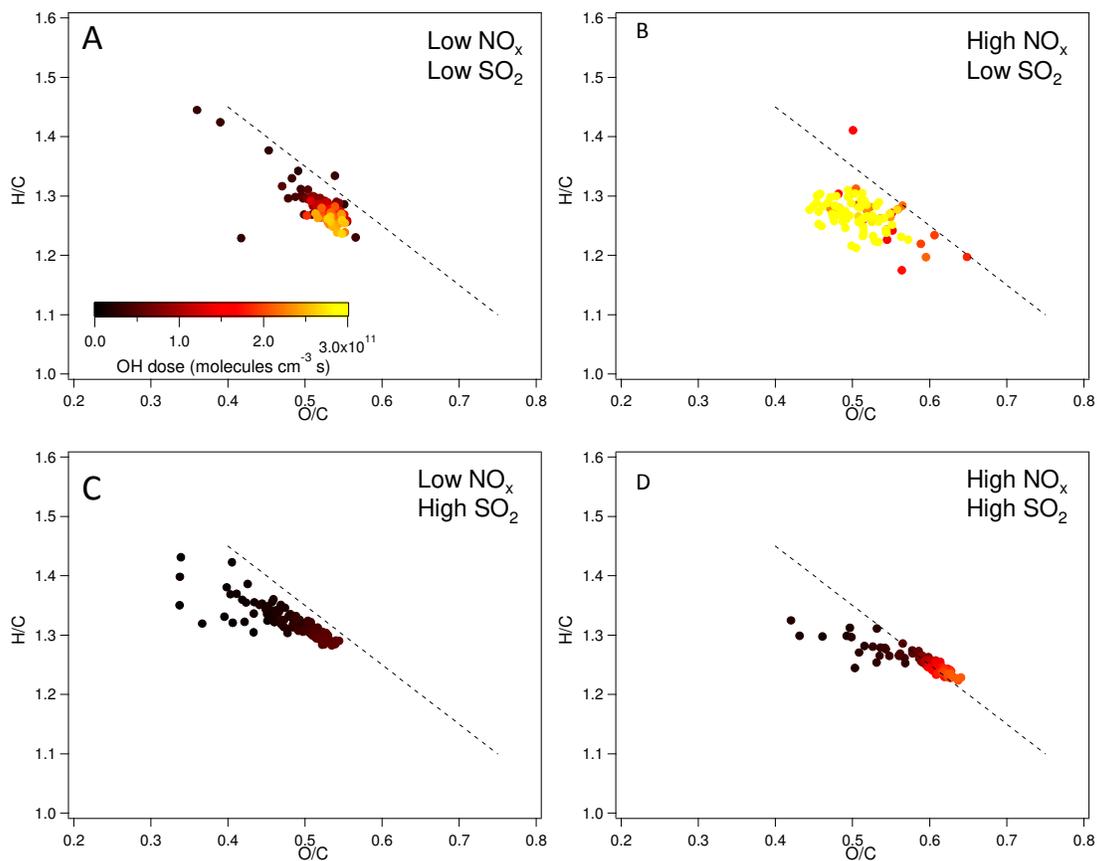
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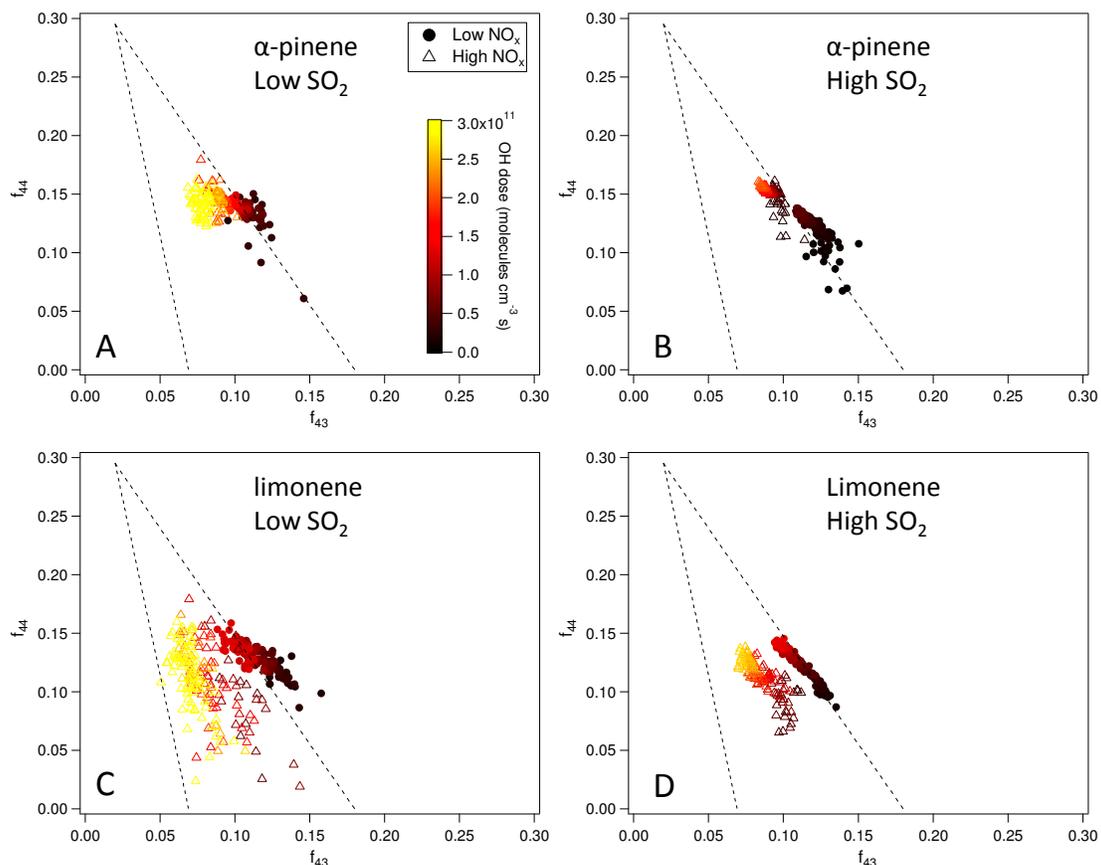
912 Figure 3. SOA yield of the photooxidation of  $\alpha$ -pinene (a) and limonene (b) in different  $\text{NO}_x$  and  $\text{SO}_2$  conditions.



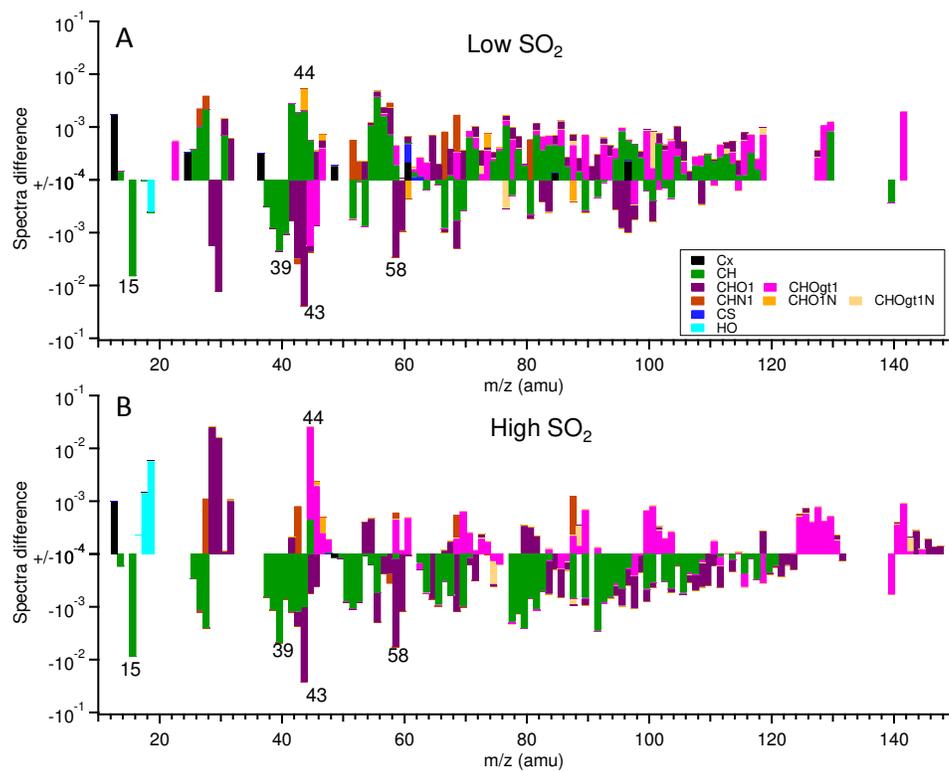
914  
 915  
 916 Figure 4. (a) The ratio of nitrate mass concentration to organics mass in different  $\text{NO}_x$  and  $\text{SO}_2$  conditions. The  
 917 average ratios of nitrate to organics during the reaction are shown and error bars indicate the standard deviations.  
 918 (b) The fraction of organic nitrate to total organics in different  $\text{NO}_x$  and  $\text{SO}_2$  conditions calculated using a  
 919 molecular weight of 200 g/mol for organic nitrate. The average fractions during the reaction are shown and error  
 920 bars indicate the standard deviations. In panel b, \* indicate the experiments where the ratios of  $\text{NO}_2^+$  to  $\text{NO}^+$  were  
 921 too noisy to derive a reliable fraction of organic nitrate. For these experiments, 50% of total nitrate was assumed  
 922 to be organic nitrate and the error bars show the range when 0 to 100% of nitrate are assumed to be organic  
 923 nitrate.



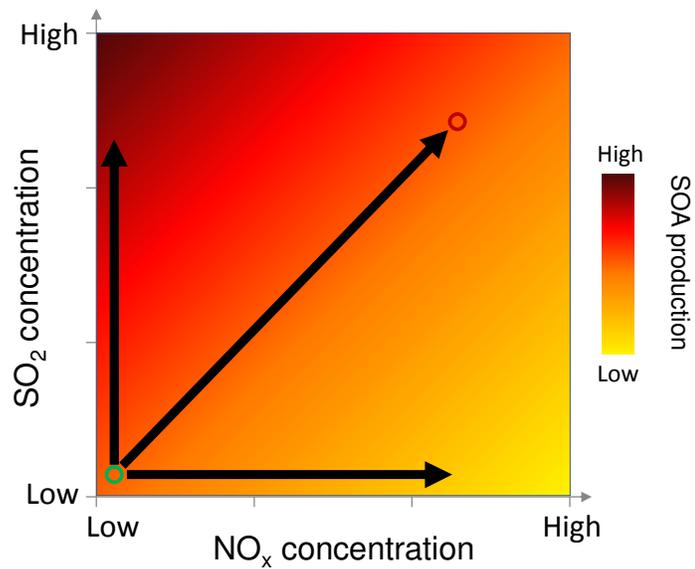
925  
 926 Figure 5. H/C and O/C ratio of SOA from photooxidation of  $\alpha$ -pinene in different  $\text{NO}_x$  and  $\text{SO}_2$  conditions. A:  
 927 low  $\text{NO}_x$ , low  $\text{SO}_2$ , B: high  $\text{NO}_x$ , low  $\text{SO}_2$ , C: low  $\text{NO}_x$ , high  $\text{SO}_2$ , D: high  $\text{NO}_x$ , high  $\text{SO}_2$ . The black dashed line  
 928 corresponds to the slope of -1.



929  
 930 Figure 6.  $f_{44}$  and  $f_{43}$  of SOA from the photooxidation of  $\alpha$ -pinene and limonene in different  $\text{NO}_x$  and  $\text{SO}_2$   
 931 conditions. A:  $\alpha$ -pinene, low  $\text{SO}_2$ , B:  $\alpha$ -pinene, high  $\text{SO}_2$ , C: limonene, low  $\text{SO}_2$ , D: limonene, high  $\text{SO}_2$ . Note  
 932 that in the low  $\text{SO}_2$ , high  $\text{NO}_x$  condition (panel C), the AMS signal of SOA from limonene oxidation was too low  
 933 to derive reliable information due to the low particle mass concentration and small particle size. Therefore, the  
 934 data for high  $\text{NO}_x$  in panel C show an experiment with moderate  $\text{SO}_2$  (2 ppb) and high  $\text{NO}_x$  instead.



935  
 936 Figure 7. The difference in the mass spectra of organics of SOA from  $\alpha$ -pinene photooxidation between high  $\text{NO}_x$   
 937 and low  $\text{NO}_x$  conditions (high  $\text{NO}_x$ -low  $\text{NO}_x$ ). SOA was formed at low  $\text{SO}_2$  (a) and high  $\text{SO}_2$  (b). The different  
 938 chemical family of high resolution mass peaks are stacked at each unit mass  $m/z$  (“gt1” means greater than 1).  
 939 The mass spectra were normalized to the total organic signals. Note the log scale of y-axis and only the data with  
 940 absolute values large than  $10^{-4}$  are shown.



941  
 942 Figure 8. Conceptual schematic showing how  $\text{NO}_x$  and  $\text{SO}_2$  concentrations affect biogenic SOA mass production.  
 943 The darker colors indicate higher SOA production. The circle on the bottom left corner indicates biogenic cases  
 944 and the circle on the right top corner indicates the anthropogenic cases. And the horizontal and vertical arrows  
 945 indicate the effect of  $\text{NO}_x$  and  $\text{SO}_2$  alone. The overall effects on SOA production depend on specific  $\text{NO}_x$ ,  $\text{SO}_2$   
 946 concentrations and VOC concentrations and speciation.