Responses to Referee #2

We thank the reviewer for carefully reviewing our manuscript; the comments are greatly appreciated. All the comments have been addressed. We believe that revisions based on these comment have substantially improved our manuscript. In the following please find our responses to the comments one by one and the corresponding changes made to the manuscript. The original comments are shown in italics. The revised parts of the manuscript are highlighted.

The authors have done a thorough job with the revisions and the manuscript has greatly improved. I only have two more comments:

1. Role of vapor wall loss:

The authors added a section on "Wall loss of organic vapors", Figure S4, and some other related discussions. I was not aware that the particle surface areas in these studies are so much lower than those in previous studies. In this case, I agree with the authors' comment that SOA yields from α -pinene ozonolysis or photooxidation can depend on particle surface area, when surface area is this low.

However, my original question remains: what is the role of vapor wall loss in the interpretation of the data (differences in yields under different NO_x and SO_2 conditions) and conclusions presented in this work? The authors noted in the revised manuscript "For the aerosol surface area range in most of the experiments in this study, the influence of vapor wall loss on SOA yield was relatively small (<~40% for particle surface area larger than 3×10^{-6} cm⁻³, Fig. S4). Yet, for the experiments at high NO_x and low SO_2 for α -pinene and limonene, the influence of vapor wall loss on SOA can be high due to the low particle surface area, especially at lower α_p .".

Based on Figure S4, the value of f_{corr} is roughly 12 and 4 for limonene/high $NO_x/low SO_2$ and apinene/high $NO_x/low SO_2$, respectively. Please also indicate the values of f_{corr} for other experiments (other combinations of NO_x and SO_2 for limonene and α -pinene experiments) on Figure S4. Based on the above sentence in the revised manuscript, is it correct that for all other experiments, the fcorr is lower than 1.4?

If so, how shall one interpret the differences in SOA yields shown in Figure 3, in the context of the effect of vapor wall loss on SOA yields? The high NOx / low SO₂ data have the lowest yields, and these are the experiments with lower particle surface areas where yields can be drastically underestimated due to vapor wall loss. In this case, the higher SOA yields obtained in high SO₂ experiments could potentially be explained as a result of a smaller extent of vapor wall loss in these

experiments? And, if one can correct for the effect of vapor wall loss, the yields under different NO_x and SO_2 experimental conditions might be similar?

Overall, more clarification is needed regarding the role of vapor wall loss on the data interpretation and conclusions in this work.

Response:

We thank the reviewer the supportive remarks on our revision.

Regarding Figure S4, in the revised manuscript we have updated it by adding the values of correction factors (f_{corr}) of all the experiments.

For all the other experiments (with particle surface area larger than 3×10^{-6} cm² cm⁻³), the f_{corr} is less than 1.4 as shown from the f_{corr} values in the revised Fig. S4. In the revised manuscript, we have explicitly stated this point as follows.

"For the aerosol surface area range in most of the experiments in this study (larger than 3×10^{-6} cm⁻³), f_{corr} is less than 1.4 (Fig. S4) and thus the influence of vapor wall loss on SOA yield was relatively small ($\sim 40\%$)."

The SOA yield in the experiments at high NO_x and low SO₂ can be substantially underestimated due to the vapor loss. When the influence of vapor wall loss is considered, the difference between high NO_x and low NO_x under low SO₂ conditions will be much reduced. And so will be the difference between high SO₂ and low SO₂ under high NO_x conditions. Under high SO₂ experiments or low NO_x conditions, the contribution of vapor wall loss to the difference in SOA yield was minor (see the values below) since the particle surface areas were higher and comparable. Nevertheless, we did not directly correct SOA yield for vapor wall loss because the correction factor (f_{corr}) curve in the low surface area is very steep and has very large uncertainties (Fig. S4). In addition, α_p also has uncertainties and may depend on the identity of each condensable compounds. This statement has been added in the revised manuscript as follows.

"We did not directly correct SOA yield for vapor wall loss because the correction factor (f_{corr}) curve in the low surface area range is very steep and has very large uncertainties (Fig. S4). In addition, α_p also has uncertainties and may depend on the identity of each condensable compounds."

In the revised manuscript, we have added the discussion on the role of vapor wall loss in SOA yield in the "Abstract", Sect. "3.3.1 Effect of NO_x", Sect. "3.3.2 Effect of SO₂", and "Conclusion" section to further clarify it as follows.

Abstract:

"This indicates that the suppression of SOA yield by NO_x was largely due to the suppressed new particle formation, leading to a lack of particle surface for the organics to condense on and thus a significant influence of vapor wall loss on SOA mass yield."

"3.3.1 Effect of NOx":

"Due to the low particle surface area, the wall loss of condensable vapors in the experiment at high NO_x and low SO_2 was large (as shown by the large f_{corr} in Fig. S4) and therefore SOA mass yield was suppressed. If vapor wall loss is considered, the difference between the SOA yield at high NO_x and at low NO_x under low SO_2 conditions will be much reduced, as we found for high SO_2 cases (Fig. 3a). Under high SO_2 conditions, the influence of vapor wall loss on the difference in SOA yield between high NO_x and low NO_x was minor (1%-8%, Fig. S4) due to the larger particle surface area,"

"3.3.2 Effect of SO₂"

"As mentioned above, the SOA yield at high NO_x and low SO₂ was significantly suppressed due to vapor wall loss. If the influence of vapor wall loss is considered, the SOA yield at high NO_x and low SO₂ will be much higher and thus the observed enhancement of SOA yield by SO₂ under high NO_x conditions will be much less pronounced. Under low NO_x conditions, the influence of vapor wall loss on the difference in SOA yield between high SO₂ and low SO₂ was minor (1%-7% for α -pinene and 5-32% for limonene, see Fig. S4) due to the larger particle surface area."

"Conclusion"

"The suppression of SOA yield by NO_x was likely due to the suppressed new particle formation, i.e., absence of sufficient particle surfaces for organic vapor to condense on at high NO_x , which could result in large vapor loss to chamber walls."

"In this study, the influence of vapor wall loss on SOA yield was estimated although the SOA yields in this study were not corrected for vapor wall loss. We need to be cautious about the enhancement of the SOA yield by SO₂ under high NO_x conditions and the suppression of the SOA yield by NO_x under low SO₂ conditions. These effects will be less pronounced when vapor wall loss is considered because of the significant vapor loss to chamber walls rather than to particles at low particle surface concentration. Yet, the low particle surface concentration and thus low condensational sink of vapors to particle surface reflect some real cases in the atmosphere, because when the condensational sink by particle surface is low in the atmosphere, organic vapors will be lost to the next largest sink, e.g. dry deposition. Nevertheless, our important findings hold for the influence of NO_x and SO₂ on SOA new particle formation, mass yield, and chemical composition, showing indeed the interaction of anthropogenic and biogenic emissions in the process of SOA formation."

"In such cases, anthropogenic NO_x alone may suppress the new particle formation and SOA mass from biogenic VOC oxidation, as we found in this study, because in principle the suppression of SOA mass due to suppressed nucleation can occur in the ambient atmosphere, although chamber experiments often cannot accurately simulate the vapor loss on surface in the boundary layer."

2. Organic nitrate volatility:

In line 310 the authors noted that the volatility of organic nitrates is not low enough to nucleate; in line 364 it was noted that the organic nitrates are highly oxygenated and expected to have low volatility. Putting these together, are the authors suggesting that the organic nitrates are likely of low volatility but not low enough to nucleate? Please clarify this in the revised manuscript.

Response:

Yes, we meant that the organic nitrates are likely of low volatility but not low enough to nucleate. In the revised manuscript, we have added the following sentences to clarify this.

"Although the contribution of RO_2+RO_2 reaction to the total RO_2 loss is negligible, it can contribute a lot to the compounds responsible for nucleation such as dimers and trimers. Generally, organic nitrates and primary organic peroxides (from $RO_2(C_{10})+HO_2$) are not expected to be the main compounds responsible for nucleation since although the volatility of these compounds is low (see below Sect. 3.3.1), it is likely not low enough to nucleate."

Effects of NO_x and SO₂ on the Secondary Organic Aerosol Formation from Photooxidation of α-pinene and Limonene

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12 Abstract

13 Anthropogenic emissions such as NO_x and SO_2 influence the biogenic secondary organic aerosol (SOA) formation, but detailed mechanisms and effects are still elusive. We studied the effects of NOx and SO2 on the 14 15 SOA formation from the photooxidation of α -pinene and limonene at ambient relevant NO_x and SO₂ 16 concentrations (NO_x: < 1 ppb to 20 ppb, SO₂: < 0.05 ppb to 15 ppb). In these experiments, monoterpene oxidation was dominated by OH oxidation. We found that SO₂ induced nucleation and enhanced SOA mass formation. NO_x 17 18 strongly suppressed not only new particle formation but also SOA mass yield. However, in the presence of SO_2 19 which induced high number concentration of particles after oxidation to H₂SO₄, the suppression of the mass yield 20 of SOA by NO_x was completely or partly compensated. This indicates that the suppression of SOA yield by NO_x 21 was largely due to the suppressed new particle formation, leading to a lack of particle surface for the organics to 22 condense on and thus a significant influence of vapor wall loss on SOA mass yield. By compensating for the suppressing effect on nucleation of NO_x , SO_2 also compensated for the suppressing effect on SOA yield. Aerosol 23 24 mass spectrometer data show that increasing NO_x enhanced nitrate formation. The majority of the nitrate was 25 organic nitrate (57%-77%), even in low NO_x conditions ($<\sim$ 1 ppb). Organic nitrate contributed 7%-26% of total organics assuming a molecular weight of 200 g/mol. SOA from α -pinene photooxidation at high NO_x had 26 27 generally lower hydrogen to carbon ratio (H/C), compared to low NO_x. The NO_x dependence of the chemical 28 composition can be attributed to the NO_x dependence of the branching ratio of the RO_2 loss reactions, leading to 29 lower fraction of organic hydroperoxides and higher fractions of organic nitrates at high NO_x . While NO_x 30 suppressed new particle formation and SOA mass formation, SO_2 can compensate for such effects, and the 31 combining effect of SO₂ and NO_x may have important influence on SOA formation affected by interactions of 32 biogenic volatile organic compounds (VOC) with anthropogenic emissions.

34 1 Introduction

35 Secondary organic aerosol (SOA) have significant impacts on air quality, human health and climate change 36 (Hallquist et al., 2009; Kanakidou et al., 2005; Jimenez et al., 2009; Zhang et al., 2011). SOA mainly originates 37 from biogenic volatile organic compounds (VOC) emitted by terrestrial vegetation (Hallquist et al., 2009). Once emitted into the atmosphere, biogenic VOC can undergo reactions with atmospheric oxidants including OH, O_3 38 39 and NO₃, and form SOA. When an air mass enriched in biogenic VOC is transported over an area with substantial 40 anthropogenic emissions or vice versa, the reaction behavior of VOC and SOA formation can be altered due to 41 the interactions of biogenic VOC with anthropogenic emissions such as NO_x, SO₂, anthropogenic aerosol and 42 anthropogenic VOC. A number of field studies have highlighted the role of the anthropogenic-biogenic 43 interactions in SOA formation (de Gouw et al., 2005; Goldstein et al., 2009; Hoyle et al., 2011; Worton et al., 44 2011; Glasius et al., 2011; Xu et al., 2015a; Shilling et al., 2012), which can induce an "anthropogenic enhancement" effect on SOA formation. 45

46 Among biogenic VOC, monoterpenes are important contributors to biogenic SOA due to their high emission rates, high reactivity, and relative high SOA yield compared to isoprene (Guenther et al., 1995; Guenther et al., 47 48 2012; Chung and Seinfeld, 2002; Pandis et al., 1991; Griffin et al., 1999; Hoffmann et al., 1997; Zhao et al., 2015b; Carlton et al., 2009). The anthropogenic modulation of the SOA formation from monoterpene can have 49 50 important impacts on regional and global biogenic SOA budget (Spracklen et al., 2011). The influence of various anthropogenic pollutants on SOA formation of monoterpene have been investigated by a number of laboratory 51 52 studies (Sarrafzadeh et al., 2016; Zhao et al., 2016; Flores et al., 2014; Emanuelsson et al., 2013; Eddingsaas et 53 al., 2012a; Offenberg et al., 2009; Kleindienst et al., 2006; Presto et al., 2005; Ng et al., 2007; Zhang et al., 1992; Pandis et al., 1991; Draper et al., 2015; Han et al., 2016). In particular, NO_x and SO₂ have been shown to affect 54 55 SOA formation from monoterpene.

56 NO_x changes the fate of RO₂ radical formed in VOC oxidation and therefore can change reaction product distribution and aerosol formation. At low NO_x, RO₂ mainly react with HO₂, forming organic hydroperoxides. At 57 58 high NO_x, RO₂ mainly react with NO, forming organic nitrate (Hallquist et al., 2009; Ziemann and Atkinson, 59 2012; Finlayson-Pitts and Pitts Jr., 1999). Some studies found that the SOA yield from α -pinene is higher at 60 lower NO_x concentration for ozonolysis (Presto et al., 2005) and photooxidation (Ng et al., 2007; Eddingsaas et al., 2012a; Han et al., 2016; Stirnweis et al., 2017). The decrease of SOA yield with increasing NO_x was proposed 61 62 to be due to the formation of more volatile products like organic nitrate under high NO_x conditions (Presto et al., 63 2005). In contrast, a recent study found that the suppressing effect of NO_x is in large part attributed to the effect 64 of NO_x on OH concentration for the SOA from β -pinene oxidation, and after eliminating the effect of NO_x on OH 65 concentration, SOA yield only varies by 20-30% (Sarrafzadeh et al., 2016). Beside the effect of NO_x on SOA yield, NO_x has been found to suppress the new particle formation from VOC directly emitted by Mediterranean 66 trees (mainly monoterpenes) (Wildt et al., 2014) and β -pinene (Sarrafzadeh et al., 2016), thereby reducing 67 68 condensational sink present during high NO_x experiments.

Regarding the effect of SO₂, the SOA yield of α-pinene photooxidation was found to increase with SO₂ concentration at high NO_x concentrations (SO₂: 0-252 ppb, NO_x: 242-543 ppb, α-pinene: 178-255 ppb) (Kleindienst et al., 2006) and the increase is attributed to the formation of H₂SO₄ acidic aerosol. Acidity of seed aerosol was also found to enhance particle yield of α-pinene at high NO_x (Offenberg et al. (2009): NO_x 100-120

- 73 ppb, α -pinene 69-160 ppb; Han et al. (2016): initial NO ~70 ppb, α -pinene 14-18 ppb). In constrast, Eddingsaas et 74 al. (2012a) found that particle yield increases with aerosol acidity only in "high NO" condition (NO_x 800 ppb, α -75 pinene: 20-52 ppb), but is independent of the presence of seed aerosol or aerosol acidity in both "high NO₂" 76 condition (NO_x 800 ppb)" and low NO_x (NO_x lower than the detection limit of the NO_x analyzer). Similarly, at 77 low NO_x (initial NO <0.3 ppb, α -pinene ~20 ppb), Han et al. (2016) found that the acidity of seed has no significant effect on SOA yield from α -pinene photooxidation. In addition, SO₂ was found to influence the gas 78 79 phase oxidation products from α -pinene and β -pinene photooxidation, which is possibly due to the change in 80 OH/HO_2 ratio caused by SO_2 oxidation or SO_3 directly reacting with organic molecules (Friedman et al., 2016).
- 81 While these studies have provided valuable insights into the effects of NO_x and SO₂ on SOA formation, a number of questions still remain elusive. For example, many studies used very high NO_x and SO₂ concentrations 82 83 (up to several hundreds of ppb). High NO_x can make the RO_2 radical fate dominated by one single pathway (i.e., 84 RO_2 +NO or RO_2 +NO₂) to investigate SOA yields and composition under such conditions. Yet, the effects of NO_x 85 and SO_2 at concentration ranges for ambient anthropogenic-biogenic interactions (sub ppb to several tens of ppb for NO₂ and SO₂) have seldom been directly addressed. Moreover, many previous studies on the SOA formation 86 87 from monoterpene oxidation focus on ozonolysis or do not distinguish OH oxidation and ozonolysis in 88 photooxidation, and only a few studies on OH oxidation have been conducted (Eddingsaas et al., 2012a; Zhao et 89 al., 2015b; McVay et al., 2016; Sarrafzadeh et al., 2016; Henry et al., 2012; Ng et al., 2007). More importantly, 90 studies that investigated the combined effects of NO_x and SO_2 are scarce, although they are often co-emitted from 91 anthropogenic sources. According to previous studies, NO_x can have a suppressing effect on SOA formation 92 while SO_2 can have an enhancing effect. NO_x and SO_2 might have counteracting or synergistic effects in SOA 93 formation in the ambient atmosphere.
- 94 In this study, we investigated the effects of NO_x, SO₂ and their combining effects on SOA formation from the 95 photooxidation of α -pinene and limonene. α -pinene and limonene are two important monoterpenes with high 96 emission rates among monoterpenes (Guenther et al., 2012). OH oxidation dominated over ozonolysis in the 97 monoterpene oxidation in this study as determined by measured OH and O_3 concentrations. The relative 98 contributions of RO₂ loss reactions at low NO_x and high NO_x were quantified using measured HO₂, RO₂, and NO 99 concentrations. The effects on new particle formation, SOA yield, and aerosol chemical composition were 100 examined. We used ambient relevant NO_x and SO_2 concentrations so that the results can shed lights on the 101 mechanisms of interactions of biogenic VOC with anthropogenic emissions in the real atmosphere.

102 **2 Experimental**

103 2.1 Experimental setup and instrumentation

The experiments were performed in the SAPHIR chamber (Simulation of Atmospheric PHotochemistry In a large Reaction chamber) at Forschungszentrum Jülich, Germany. The details of the chamber have been described before (Rohrer et al., 2005; Zhao et al., 2015a; Zhao et al., 2015b). Briefly, it is a 270 m³ Teflon chamber using natural sunlight for illumination. It is equipped with a louvre system to switch between light and dark conditions. The physical parameters for chamber running such as temperature and relative humidity were recorded. The solar irradiation was characterized and the photolysis frequency was derived (Bohn et al., 2005; Bohn and Zilken,2005).

111 Gas and particle phase species were characterized using various instruments. OH, HO₂ and RO₂ concentrations 112 were measured using a laser induced fluorescence (LIF) system with details described by Fuchs et al. (2012). OH 113 was formed via HONO photolysis, which was produced from a photolytic process on the Teflon chamber wall 114 (Rohrer et al., 2005). From OH concentration, OH dose, the integral of OH concentration over time, was 115 calculated in order to better compare experiments with different OH levels. For example, experiments at high 116 NO_x in this study generally had higher OH concentrations due to the faster OH production by recycling of HO₂• 117 and RO2• to OH. The VOC were characterized using a Proton Transfer Reaction Time-of-Flight Mass Spectrometer (PTR-ToF-MS) and Gas Chromatography-Mass spectrometer (GC-MS). NO_x, O₃ and SO₂ 118 119 concentrations were characterized using a NO_x analyzer (ECO PHYSICS TR480), an O₃ analyzer (ANSYCO, 120 model O341M), and an SO₂ analyzer (Thermo Systems 43i), respectively. O₃ was formed in photochemical 121 reactions since NO_x , even in trace amount (<-1 ppbV), was present in this study. More details of these 122 instrumentation are described before (Zhao et al., 2015b).

123 The number and size distribution of particles were measured using a condensation particle counter (CPC, 124 TSI, model 3786) and a scanning mobility particle sizer (SMPS, TSI, DMA 3081/CPC 3785). From particle number measurement, the nucleation rate $(J_{2,5})$ was derived from the number concentration of particles larger than 125 126 2.5 nm as measured by CPC. Particle chemical composition was measured using a High-Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS, Aerodyne Research Inc.). From the AMS data, oxygen to 127 128 carbon ratio (O/C), hydrogen to carbon ratio (H/C), and nitrogen to carbon ratio (N/C) were derived using a 129 method derived in the literature (Aiken et al., 2007; Aiken et al., 2008). An update procedure to determine the elemental composition is reported by Canagaratna et al. (2015), showing the O/C and H/C derived from the 130 131 method of Aiken et al. (2008) may be underestimated. The H/C and O/C were also derived using the newer 132 approach by Canagaratna et al. (2015) and compared with the data derived from the Aiken et al. (2007) method. The H/C values derived using the Canagaratna et al. (2015) method strongly correlated with the values derived 133 134 using Aiken et al. (2007) method (Fig. S1) and just increased by 27% as suggested by Canagaratna et al. (2015). Similar results were found for O/C and there was just a difference of 11% in O/C. Since only relative difference 135 136 in elemental composition of SOA is studied here, only the data derived using Aiken et al. (2007) method are shown as the conclusion was not affected by the methods chosen. The fractional contribution of organics in the 137 138 signals at m/z=44 and m/z=43 to total organics (f₄₄ and f₄₃, respectively) were also derived.

139 SOA yields were calculated as the ratio of organic aerosol mass formed to the amount of VOC reacted. The mass concentration of organic aerosol was derived using the total aerosol volume concentration measured by 140 SMPS multiplied by the volume fraction of organics with a density of 1 g cm⁻³ to better compare with previous 141 142 literature. In the experiments with added SO₂, sulfuric acid was formed upon photooxidation and partly 143 neutralized by background ammonia, which was introduced into the chamber mainly due to humidification. The volume fraction of organics was derived based on volume additivity using the mass of organics and ammonium 144 sulfate/ammonium bisulfate from AMS and their respective density (1.32 g cm⁻³ for organic aerosol from one of 145 146 our previous studies (Flores et al., 2014) and the literature (Ng et al., 2007) and ~1.77 g cm⁻³ for ammonium sulfate/ammonium bisulfate). According to the calculations based on the E-AIM model (Clegg et al., 1998; 147

Wexler and Clegg, 2002) (http://www.aim.env.uea.ac.uk/aim/ aim.php), there was no aqueous phase formed at the relative humidity in the experiments of this study. The average RH for the period of monoterpene photooxidation was 28-34% except for one experiment with average RH of 42% RH. The organic aerosol concentration was corrected for the particle wall loss and dilution loss using the method described in Zhao et al. (2015b).

153 2.2 Experimental procedure

154 The SOA formation from α -pinene and limonene photooxidation was investigated at different NO_x and SO_2 levels. Four types of experiments were done: with neither NO_x nor SO_2 added (referred to as "low NO_x , low 155 156 SO_2 "), with only NO_x added (~ 20 ppb NO, referred to as "high NO_x low SO_2 "), with only SO_2 added (~15 ppb, referred to as "low NO_x, high SO₂"), and with both NO_x and SO₂ added (~20 ppb NO and ~15 ppb SO₂, referred 157 to as "high NO_x, high SO₂"). For low NO_x conditions, background NO concentrations were around 0.05-0.2 ppb, 158 159 and NO was mainly from the background photolytic process of Teflon chamber wall (Rohrer et al., 2005). For 160 low SO₂ conditions, background SO₂ concentrations were below the detection limit of the SO₂ analyzer (0.05) ppb). In some experiments, a lower level of SO₂ (2 ppb, referred to as "moderate SO₂") was used to test the effect 161 162 of SO₂ concentration. An overview of the experiments is shown in Table 1.

163 In a typical experiment, the chamber was humidified to ~75% RH first, and then VOC and NO, if 164 applicable, were added to the chamber. Then the roof was opened to start photooxidation. In the experiments with SO₂, SO₂ was added and the roof was opened to initialize nucleation first and then VOC was added. The particle 165 number concentration caused by SO₂ oxidation typically reached several 10⁴ cm⁻³ (see Fig. 2 high SO₂ cases) and 166 167 after VOC addition, no further nucleation occurred. Adding SO₂ first and initializing nucleation by SO₂ photooxidation ensured that enough nucleating particles were present when VOC oxidation started. SO₂ 168 169 concentration decayed slowly in the experiments with SO₂ added and most of the SO₂ was still left (typically 170 around 8 ppb from initial 15 ppb) at the end of an experiment due to its low reactivity with OH. Typical SO₂ 171 time series in high SO_2 experiments are shown in Fig S2. The detailed conditions of the experiments are shown in 172 Table S1. The experiments of α -pinene and limonene photooxidation were designed to keep the initial OH 173 reactivity and thus OH loss rate constant so that the OH concentrations of these experiments were more 174 comparable. Therefore, the concentration of limonene was around one-third of the concentration of α -pinene due to the higher OH reactivity of limonene. 175

176

2.3 Wall loss of organic vapors

177 The loss of organic vapors on chamber walls can influence SOA yield (Kroll et al., 2007; Zhang et al., 2014; Ehn et al., 2014; Sarrafzadeh et al., 2016; McVay et al., 2016; Nah et al., 2016; Matsunaga and Ziemann, 178 179 2010; Ye et al., 2016; Loza et al., 2010). The wall loss rate of organic vapors in our chamber was estimated by following the decay of organic vapor concentrations after photooxidation was stopped in the experiments with 180 low particle surface area ($\sim 5 \times 10^{-8}$ cm⁻³) and thus low condensational sink on particles. Such method is 181 similar to the method used in previous studies (Ehn et al., 2014; Sarrafzadeh et al., 2016; Krechmer et al., 2016; 182 Zhang et al., 2015). A high-resolution time-of-flight chemical ionization mass spectrometer (HR-ToF-CIMS, 183 Aerodyne Research Inc.) with nitrate ion source ($^{15}NO_3$) was used to measure semi/low-volatile organic vapors. 184

- 185 The details of the instrument were described in our previous studies (Ehn et al., 2014; Sarrafzadeh et al., 2016).
- The decay of vapors started from the time when the roof of the chamber was closed. The data were acquired at a time resolution of 4 s. A typical decay of low-volatile organics is shown in Fig. S3 and the first-order wall loss rate was determined to be around 6×10^{-4} s⁻¹.

189 The SOA yield was not directly corrected for the vapor wall loss, but the influence of vapor wall loss on 190 SOA yield was estimated using the method in the study of Sarrafzadeh et al. (2016) and the details of the method 191 are described therein. Briefly, particle surface and chamber walls competed for the vapor loss (condensation) and 192 the condensation on particles led to particle growth. The fraction of organic vapor loss to particles in the sum of 193 the vapor loss to chamber walls and to particles (F_p) was calculated. The vapor loss to chamber walls was derived 194 using the wall loss rate. The vapor loss to particles was derived using particle surface area concentration, molecular velocity and an accommodation coefficient α_p (Sarrafzadeh et al., 2016). 1/F_p (f_{corr}) provides the 195 196 correction factor to obtain the "real" SOA yield. fcorr is a function of particle surface area concentration and 197 accommodation coefficient as shown in Fig. S4. Here a range of 0.1-1 for α_p was used, which is generally in line 198 with the ranges of α_p found by Nah et al. (2016) by fitting a vapor-particle dynamic model to experimental data. 199 At a given α_p , the higher particle surface area, the lower f_{corr} and the lower the influence of vapor wall loss are 200 because most vapors condense on particle surface and vice versa. At a given particle surface area, f_{corr} decreases 201 with α_p because at higher α_p a larger fraction of vapors condenses on particles. An average molecular weight of 202 200 g/mol was used to estimate the influence of vapor wall loss. For the aerosol surface area range in most of the experiments in this study (larger than 3×10^{-6} cm⁻² cm⁻³), f_{corr} is less than 1.4 (Fig. S4) and thus the influence of 203 vapor wall loss on SOA yield was relatively small ($\sim 40\%$). Yet, for the experiments at high NO_x and low SO₂ 204 205 for α -pinene and limonene, the influence of vapor wall loss on SOA can be high due to the low particle surface 206 area, especially at lower α_{p} . We did not directly correct SOA yield for vapor wall loss because the correction 207 factor (f_{corr}) curve in the low surface area range is very steep and has very large uncertainties (Fig. S4). In 208 addition, α_p also has uncertainties and may depend on the identity of each condensable compounds.

209 3 Results and discussion

210 3.1 Chemical scheme: VOC oxidation pathway and RO₂ fate

211 In the photooxidation of VOC, OH and O_3 often co-exist and both contribute to VOC oxidation because O_3 formation in chamber studies is often unavoidable during photochemical reactions of VOC even in the presence 212 213 of trace amount of NO_x. In order to study the mechanism of SOA formation, it is helpful to isolate one oxidation pathway from the other. In this study, the reaction rates of OH and ozone with VOC are quantified using 214 measured OH and O₃ concentrations multiplied by rate constants (time series of VOC, OH, and O₃ are shown in 215 Fig. S5). Typical OH and O₃ concentrations in an experiment were around $(1-15)\times 10^6$ molecules cm⁻³ and 0-50 216 217 ppb, respectively, depending on the VOC and NO_x concentrations added. For all the experiment in this study, the 218 VOC loss was dominated by OH oxidation over ozonolysis (see Fig. S6 as an example). The relative importance 219 of the reaction of OH and O_3 with monoterpenes was similar in the low NO_x and high NO_x experiments. At high 220 NO_x, OH was often higher while more O₃ was also produced. The dominant role of OH oxidation in VOC loss

- 221 makes the chemical scheme simple and it is easier to interpret than cases when both OH oxidation and ozonolysis
- are important.

223 As mentioned above, RO₂ fate, i.e., the branching of RO₂ loss among different pathways, has an important 224 influence on the product distribution and thus on SOA composition, physicochemical properties, and yields. RO₂ 225 can react with NO, HO₂, RO₂, or isomerize. The fate of RO₂ mainly depends on the concentrations of NO, HO₂ and RO₂. Here, the loss rates of RO₂ via different pathways were quantified using the measured HO₂, NO and 226 227 RO₂ concentrations and the rate constants based on the MCM3.3 (Jenkin et al., 1997; Saunders et al., 2003) 228 (http://mcm.leeds.ac.uk/MCM.). Measured HO₂ and RO₂ concentrations are shown in Fig. S7 as an example and the relative importance of different RO2 reaction pathways is compared in Fig. 1, which is similar for both a-229 pinene and limonene oxidation. In the low NOx conditions of this study, RO2+NO dominated the RO2 loss rate in 230 231 the beginning of an experiment (Fig. 1a). The trace amount of NO (up to ~ 0.2 ppbV) was from the photolysis of 232 HONO, which was continuously produced from a photolytic process on chamber walls throughout an experiment 233 (Rohrer et al., 2005). But later in the experiment, RO₂+HO₂ contributed a significant fraction (up to ~40 %) to RO₂ loss because of increasing HO₂ concentration and decreasing NO concentration. In the high NO_x conditions, 234 235 RO₂+NO overwhelmingly dominated the RO₂ loss rate (Fig. 1b), and with the decrease of NO in an experiment, 236 the total RO_2 loss rate decreased substantially (Fig. 1b). Since the main products of RO_2 +HO₂ are organic hydroperoxides, more organic hydroperoxides relative to organic nitrates are expected in the low NO_x conditions 237 here. The loss rate of RO₂+RO₂ was estimated to be $\sim 10^{-4}$ s⁻¹ using a reaction rate constant of 2.5×10⁻¹³ 238 239 molecules⁻¹ cm³ s⁻¹ (Ziemann and Atkinson, 2012). This contribution is negligible compared to other pathways in 240 this study, although the reaction rate constants of RO_2+RO_2 are highly uncertain and may depend on specific RO_2 241 (Ziemann and Atkinson, 2012). Note that the RO_2 fate in the low and high NO_x conditions quantified here are 242 further used in the discussion below since the information of RO₂ fate is important for data interpretation of 243 experiments conducted at different NO_x levels (Wennberg, 2013).

244 **3.2** Effects of NO_x and SO₂ on new particle formation

245 The effects of NO_x and SO₂ on new particle formation from α -pinene oxidation are shown in Fig. 2a. In 246 low SO_2 conditions, both the total particle number concentration and nucleation rate at high NO_x were lower than 247 those at low NO_x, indicating NO_x suppressed the new particle formation. The suppressing effect of NO_x on new particle formation was in agreement with the findings of Wildt et al. (2014). This suppression is considered to be 248 caused by the increased fraction of RO2+NO reaction, decreasing the importance of RO2+RO2 permutation 249 250 reactions. RO₂+RO₂ reaction products are believed to be involved in the new particle formation (Wildt et al., 251 2014; Kirkby et al., 2016) and initial growth of particles by forming higher molecular weight products such as 252 highly oxidized multifunctional molecules (HOM) and their dimers and trimers (Ehn et al., 2014; Kirkby et al., 253 2016). Although the contribution of RO_2+RO_2 reaction to the total RO_2 loss is negligible, it can contribute a lot to 254 the compounds responsible for nucleation such as dimers and trimers. Generally, organic nitrates and primary 255 organic peroxides (from $RO_2(C_{10})$ +HO₂) are not expected to be the main compounds responsible for nucleation 256 since although the volatility of these compounds is low (see below Sect. 3.3.1), it is likely not low enough to 257 nucleate.

- In high SO₂ conditions, the nucleation rate and total number concentrations were high, regardless of NO_x levels. The high concentration of particles was attributed to the new particle formation induced by H_2SO_4 alone formed by SO₂ oxidation since the new particle formation occurred before VOC addition. The role of H_2SO_4 in new particle formation has been well studied in previous studies (Berndt et al., 2005; Zhang et al., 2012; Sipila et al., 2010; Kirkby et al., 2011; Almeida et al., 2013).
- 263 Similar suppression of new particle formation by NO_x and enhancement of new particle formation by 264 SO_2 photooxidation were found for limonene oxidation (Fig. 2b).

265 3.3 Effects of NO_x and SO₂ on SOA mass yield

266 **3.3.1** Effect of NO_x

267 Figure 3a shows SOA yield at different NO_x for α -pinene oxidation. In order to make different experiments more comparable, the SOA yield is plotted as a function of OH dose instead of reaction time. In low 268 269 SO₂ conditions, NO_x not only suppressed the new particle formation but also suppressed SOA mass yield. Because NO_x suppressed new particle formation, the suppression of the SOA yield could be attributed to the lack 270 271 of new particles as seed and thus the lack of condensational sink, or to the decrease of condensable organic 272 materials. We further found that when new particle formation was already enhanced by added SO₂, the SOA yield at high NO_x was comparable to that at low NO_x and the difference in SOA yield between high NO_x and low NO_x 273 was much smaller (Fig. 3a). This finding can be attributed to two possible explanations. Firstly, NOx did not 274 275 significantly suppress the formation of low volatile condensable organic materials, although NO_x obviously 276 suppressed the formation of products for nucleation. Secondly, NO_x did suppress the formation of low-volatility 277 condensable organic materials via forming potentially more volatile compounds and in addition to that, the suppressed formation of condensable organic materials was compensated by the presence of SO_2 , resulting in 278 279 comparable SOA yield. Organic nitrates are a group of compounds formed at high NO_x, which have been 280 proposed to be more volatile (Presto et al., 2005; Kroll et al., 2006). However, many organic nitrates formed by 281 photooxidation in this study were highly oxidized organic molecules (HOMs) containing multi-functional groups 282 besides nitrate group ($C_{7-10}H_{9,15}NO_{8-15}$). These compounds are expected to have low volatility and they are found 283 to have an uptake coefficient on particles of ~ 1 (Pullinen et al., in preparation). Therefore, the suppressing effect of NO_x on SOA yield was mostly likely due to suppressed nucleation, i.e., the lack of particle surface as 284 condensational sink. Due to the low particle surface area, the wall loss of condensable vapors in the experiment at 285 high NO_x and low SO₂ was large (as shown by the large f_{corr} in Fig. S4) and therefore SOA mass yield was 286 suppressed. If vapor wall loss is considered, the difference between the SOA yield at high NO_x and at low NO_x 287 288 under low SO₂ conditions will be much reduced, as we found for high SO₂ cases (Fig. 3a). Under high SO₂ 289 conditions, the influence of vapor wall loss on the difference in SOA yield between high NO_x and low NO_x was 290 minor (1%-8%, Fig. S4) due to the larger particle surface area.

For limonene oxidation, similar results of NO_x suppressing the particle mass formation have been found in low SO₂ conditions (Fig. 3b). Yet, in high SO₂ conditions, the SOA yield from limonene oxidation at high NO_x was still significantly lower than that at low NO_x , which is different from the findings for α -pinene SOA. The cause of this difference is currently unknown. Our data of SOA yield suggest that the products formed from limonene oxidation at high NO_x seemed to have higher average volatility than that at low NO_x .

- 296 The suppression of SOA mass formation by NO_x under low SO_2 conditions agrees with previous studies
- 297 (Eddingsaas et al., 2012a; Wildt et al., 2014; Sarrafzadeh et al., 2016; Hatakeyama et al., 1991). For example, it 298 was found that high concentration of NO_x (tens of ppb) suppressed mass yield of SOA formed from 299 photooxidation of β-pinene, α-pinene and VOC emitted by Mediterranean trees (Wildt et al., 2014; Sarrafzadeh et 300 al., 2016). And on the basis of the results by Eddingsaas et al. (2012a), the SOA yield at high NO_x (referred to as 301 "high NO" by the authors) is lower than at low NO_x in the absence of seed aerosol.
- 302 Our finding that the difference in SOA yield between high NO_x and low NO_x conditions was highly 303 reduced at high SO₂ is also in line with the findings of some previous studies using seed aerosols (Sarrafzadeh et 304 al., 2016; Eddingsaas et al., 2012a). For example, Sarrafzadeh et al. (2016) found that in the presence of seed aerosol, the suppressing effect of NO_x on the SOA yield from β -pinene photooxidation is substantially diminished 305 and SOA yield only decreases by 20-30% in the NO_x range of ≤ 1 ppb to 86 ppb at constant OH concentrations. 306 307 The data by Eddingsaas et al. (2012a) also showed that in presence of seed aerosol, the difference in the SOA 308 yield between low NO_x and high NO_x is much decreased. However, our finding is in contrast with the findings in other studies (Presto et al., 2005; Ng et al., 2007; Han et al., 2016; Stirnweis et al., 2017), who reported much 309 310 lower SOA yield at high NO_x than at low NO_x in presence of seed. The different findings in these studies from 311 ours may be attributed to the difference in the reaction conditions such as VOC oxidation pathways (OH 312 oxidation vs. ozonolysis), VOC and NO_x concentration ranges, NO/NO₂, OH concentrations as well as organic aerosol loading, which all affect SOA yield. The reaction conditions of this study often differ from those 313 314 described in the literature (see Table S2).
- 315 The difference in these conditions can result in both different apparent dependence on specific parameters and the varied SOA yield. For example, SOA yield from α -pinene photooxidation at low NO_x in this 316 study appeared to be much lower than that in Eddingsaas et al. (2012a). The difference between the SOA yield in 317 318 this study and some of previous studies and between the values in the literature can be attributed to several 319 reasons: 1) RO₂ fates may be different. For example, in our study at low NO_x, RO₂+NO account for a large 320 fraction of RO₂ loss while in Eddingsaas et al. (2012a) RO₂+HO₂ is the dominant pathway of RO₂ loss. This 321 difference in RO₂ fates may affect oxidation products distribution. 2) The organic aerosol loading of this study is 322 much lower than that some of previous studies (e.g., Eddingsaas et al. (2012a)) (see Fig. S9). SOA yields in this 323 study were also plotted versus organic aerosol loading to better compare with previous studies (Fig. S8 and S9). 324 3) The total particle surface area in this study may also differ from previous studies, which may influence the 325 apparent SOA yield due to vapor wall loss (the total particle surface area is often not reported in many previous 326 studies to compare with). 4) RH of this study is different from many previous studies, which often used very low 327 RH (<10%). It is important to emphasize that reaction conditions including the NO_x as well as SO₂ concentration range and RH in this study were chosen to be relevant to the anthropogenic-biogenic interactions in the ambient 328 329 atmosphere. In addition, difference in the organic aerosol density used in yield calculation should be taken into account. In this study, SOA yield was derived using a density of 1 g cm⁻³ to better compare with many previous 330 studies (e.g., (Henry et al., 2012)), while in some other studies SOA yield was derived using different density 331 (e.g., 1.32 g cm^{-3} in Eddingsaas et al. (2012a)). 332

333 **3.3.2** Effect of SO₂

334 For both α -pinene and limonene, SO₂ was found to enhance the SOA mass yield at given NO_x levels, 335 especially for the high NO_x cases (Fig. 3). The enhancing effect of SO_2 on particle mass formation can be 336 attributed to two reasons. Firstly, SO₂ oxidation induced new particle formation, which provided more surface 337 and volume for further condensation of organic vapors. This is consistent with the finding that the enhancement 338 of SOA yield by SO_2 was more significant at high NO_x when the enhancement in nucleation was also more 339 significant. Secondly, H₂SO₄ formed by photooxidation of SO₂ can enhance SOA formation via acid-catalyzed 340 heterogeneous uptake, an important SOA formation pathway initially found from isoprene photooxidation (Jang 341 et al., 2002; Lin et al., 2012; Surratt et al., 2007) and later also in the photooxidation of other compound such as 342 anthropogenic VOC (Chu et al., 2016; Liu et al., 2016). For the products from monoterpene oxidation, such an 343 acid-catalyzed effect may also occur (Northcross and Jang, 2007; Wang et al., 2012; Lal et al., 2012; Zhang et al., 2006; Ding et al., 2011; Iinuma et al., 2009) and in this study, the particles were acidic with the molar ratio of 344 NH_4^+ to SO_4^{2-} around 1.5-1.8, although no aqueous phase was formed. 345

We found that the SOA yield in the limonene oxidation at a moderate SO₂ level (2 ppb) was comparable 346 347 to the yield at high SO_2 (15 ppb) when similar particle number concentrations in both cases were formed. Both 348 yields were significantly higher than the yield at low SO_2 (<0.05 ppb, see Fig. S10). This comparison suggests 349 that the effect in enhancing new particle formation by SO_2 seems to be more important compared to the particle 350 acidity effect. The role of SO_2 in new particle formation is similar to adding seed aerosol and providing particle 351 surface for organics to condense. Artificially added seed aerosol has been shown to enhance SOA formation from 352 α -pinene and β -pinene oxidation (Ehn et al., 2014; Sarrafzadeh et al., 2016; Eddingsaas et al., 2012a). In some 353 other studies, it was found that the SOA yield from α -pinene oxidation is independent of initial seed surface area 354 (McVay et al., 2016; Nah et al., 2016). The difference in the literature may be due to the range of total surface 355 area of particles, reaction conditions and chamber setup. For example, the peak particle-to-chamber surface ratio for α -pinene photooxidation in this study was 7.7×10⁻⁵ at high NO_x and low SO₂, much lower than the aerosol 356 357 surface area range in the studies by Nah et al. (2016) and McVay et al. (2016). A lower particle-to-chamber 358 surface ratio can lead to a larger fraction of organics lost on chamber walls. Hence, providing additional particle 359 surface by adding seed particles can increase the condensation of organics on particles and thus increase SOA 360 vield. However, once the surface area is high enough to inhibit condensation of vapors on chamber walls, further 361 enhancement of particle surface will not significantly enhance the yield (Sarrafzadeh et al., 2016).

As mentioned above, the SOA yield at high NO_x and low SO₂ was significantly suppressed due to vapor
wall loss. If the influence of vapor wall loss is considered, the SOA yield at high NO_x and low SO₂ will be much
higher and thus the observed enhancement of SOA yield by SO₂ under high NO_x conditions will be much less
pronounced. Under low NO_x conditions, the influence of vapor wall loss on the difference in SOA yield between
high SO₂ and low SO₂ was minor (1%-7% for α-pinene and 5-32% for limonene, see Fig. S4) due to the larger
particle surface area.
Particle acidity may also play a role in affecting the SOA yield in the experiments with high SO₂. Particle

- acidity was found to enhance the SOA yield from α -pinene photooxidation at high NO_x (Offenberg et al., 2009) and "high NO" conditions (Eddingsaas et al., 2012a). Yet, in low NO_x condition, particle acidity was reported to
- have no significant effect on the SOA yield from α -pinene photooxidation (Eddingsaas et al., 2012a; Han et al.,

- 372 2016). According to these findings, at low NO_x the enhancement of SOA yield in this study is attributed to the
- effect of facilitating nucleation and providing more particle surface by SO_2 photooxidation. At high NO_x , the effect in enhancing new particle formation by SO_2 photooxidation seems to be more important, although the effect of particle acidity resulted from SO_2 photooxidation may also play a role.

376 SO_2 has been proposed to also affect gas phase chemistry of organics by changing the HO_2/OH or 377 forming SO₃ (Friedman et al., 2016). In this study, the effect of SO₂ on gas phase chemistry of organics was not 378 significant because of the much lower reactivity of SO_2 with OH compared with α -pinene and limonene 379 (Atkinson et al., 2004, 2006; Atkinson and Arey, 2003) and the low OH concentrations (2-3 orders of magnitude lower than those in the study by Friedman et al. (2016)). Moreover, reactions of RO₂ with SO₂ was also not 380 important because the reaction rate constant is very low ($<10^{-14}$ molecule⁻¹ cm³ s⁻¹) (Lightfoot et al., 1992; Berndt 381 et al., 2015). In addition, from the AMS data of SOA formed at high SO₂ no significant organic fragments 382 383 containing sulfur were found. Also the fragment $CH_3SO_2^+$ from organic sulfate suggested by Farmer et al. (2010) 384 was not detected in our data. The absence of organic sulfate tracers is likely due to the lack of aqueous phase in aerosol particles in this study. Therefore, the influence of SO₂ on gas phase chemistry of organics and further on 385 386 SOA yield via affecting gas phase chemistry is not important in this study.

387 The presence of high SO_2 enhanced the SOA mass yield at high NO_x conditions, which was even 388 comparable with the SOA yield at low NO_x for α -pinene oxidation. This finding indicates that the suppressing 389 effect of NO_x on SOA mass formation was compensated to large extent by the presence of SO_2 . This has 390 important implications for SOA formation affected by anthropogenic-biogenic interactions in the real atmosphere 391 when SO_2 and NO_x often co-exist in relative high concentrations as discussed below.

392 **3.4** Effects of NO_x and SO₂ on SOA chemical composition

The effects of NO_x and SO₂ on SOA chemical composition were analyzed on the basis of AMS data. We found that NO_x enhanced nitrate formation. The ratio of the mass of nitrate to organics was higher at high NO_x than at low NO_x regardless of the SO₂ level, and similar trends were found for SOA from α -pinene and limonene oxidation (Fig. 4a). Higher nitrate to organics ratios were observed for SOA from limonene at high NO_x, which is mainly due to the lower VOC/NO_x ratio resulted from the lower concentrations of limonene (7 ppb) compared to α -pinene (20 ppb) (see Table 1). Overall, the mass ratios of nitrate to organics ranged from 0.02 to 0.11 considering all the experiments in this study.

400 Nitrate formed can be either inorganic (such as HNO₃ from the reaction of NO₂ with OH) or organic (from 401 the reaction of RO₂ with NO). The ratio of NO₂⁺ (m/z=46) to NO⁺ (m/z=30) in the mass spectra detected by AMS 402 can be used to differentiate whether nitrate is organic or inorganic (Fry et al., 2009; Rollins et al., 2009; Farmer et al., 2010; Kiendler-Scharr et al., 2016). Organic nitrate was considered to have a NO_2^+/NO^+ of ~0.1 and inorganic 403 NH_4NO_3 had a NO_2^+/NO^+ of ~0.31 with the instrument used in this study as determined from calibration 404 405 measurements. In this study, NO_2^+/NO^+ ratios ranged from 0.14 to 0.18, closer to the ratio of organic nitrate. The 406 organic nitrate was estimated to account for 57%-77% (molar fraction) of total nitrate considering both the low 407 NO_x and high NO_x conditions. This indicates that nitrate was mostly organic nitrate, even at low NO_x in this 408 study.

409 In order to determine the contribution of organic nitrate to total organics, we estimated the molecular 410 weight of organic nitrates formed by α -pinene and limonene oxidation to be 200-300 g/mol, based on reaction 411 mechanisms ((Eddingsaas et al., 2012b) and MCM v3.3, via website: http://mcm.leeds.ac.uk/MCM.). We 412 assumed a molecular weight of 200 g/mol in order to make our results comparable to the field studies which used 413 similar molecular weight (Kiendler-Scharr et al., 2016). For this value, the organic nitrate compounds were 414 estimated to account for 7-26% of the total organics mass as measured by AMS in SOA. Organic nitrate fraction 415 in total organics was within the range of values found in a field observation in southeast US (5-12% in summer 416 and 9-25% in winter depending on the molecular weight of organic nitrate) using AMS (Xu et al., 2015b) and 417 particle organic nitrate content derived from the sum of speciated organic nitrates (around 1-17% considering observed variability and 3% and 8% on average in the afternoon and at night, respectively) (Lee et al., 2016). 418 419 Note that the organic nitrate fraction observed in this study was lower than the mean value (42%) for a number of 420 European observation stations when organic nitrate is mainly formed by the reaction of VOC with NO_3 421 (Kiendler-Scharr et al., 2016).

422 Moreover, we found that the contribution of organic nitrate to total organics (calculated using a 423 molecular weight of 200 g/mol for organic nitrate) was higher at high NO_x (Fig. 4b), although in some 424 experiments the ratios of NO₂⁺ to NO⁺ were too noisy to derive a reliable fraction of organic nitrate. This result is consistent with the reaction scheme that at high NO_x, almost all RO₂ loss was switched to the reaction with NO, 425 426 which is expected to enhance the organic nitrate formation. Besides organic nitrate, the ratio of nitrogen to carbon atoms (N/C) was also found to be higher at high NO_x (Fig. S11). But after considering nitrate functional group 427 428 separately, N/C ratio was very low, generally <0.01, which indicates majority of the organic nitrogen existed in 429 the form of organic nitrate.

430 The chemical composition of organic components of SOA in terms of H/C and O/C ratios at different NO_x and SO_2 levels was further compared. For SOA from α -pinene photooxidation, in low SO₂ conditions, no 431 432 significant difference in H/C and O/C was found between SOA formed at low NO_x and at high NO_x within the 433 experimental uncertainties (Fig. 5). The variability of H/C and O/C at high NO_x is large, mainly due to the low 434 particle mass and small particle size. In high SO₂ conditions, SOA formed at high NO_x had the higher O/C and 435 lower H/C, which indicates that SOA components had higher oxidation state. The higher O/C at high NO_x than at 436 low NO_x is partly due to the higher OH dose at high NO_x, although even at same OH dose O/C at high NO_x was 437 still slightly higher than at low NO_x in high SO₂ conditions.

For the SOA formed from limonene photooxidation, no significant difference in the H/C and O/C was found between different NO_x and SO_2 conditions (Fig. S12), which is partly due to the low signal resulting from low particle mass and small particle size in high NO_x conditions.

Due to the high uncertainties for some of the H/C and O/C data, the chemical composition was further analyzed using f_{44} and f_{43} since f_{44} and f_{43} are less noisy (Fig. 6). For both α-pinene and limonene, SOA formed at high NO_x generally had lower f_{43} . Because f_{43} generally correlates with H/C in organic aerosol (Ng et al., 2011), lower f_{43} is indicative of lower H/C, which is consistent with the lower H/C at high NO_x observed for SOA from α-pinene oxidation in high SO₂ conditions (Fig. 5). The lower f_{43} at high NO_x was evidenced in the oxidation of α-pinene based on the data in a previous study (Chhabra et al., 2011). The lower H/C and f_{43} are likely to be related to the reaction pathways. According to the reaction mechanism mentioned above, at low NO_x a significant 448 fraction of RO₂ reacted with HO₂ forming hydroperoxides, while at high NO_x almost all RO₂ reacted with NO 449 forming organic nitrates. Compared with organic nitrates, hydroperoxides have higher H/C ratio. The same 450 mechanism also caused higher organic nitrate fraction at high NO_x, as discussed above.

451 Detailed mass spectra of SOA were compared, shown in Fig 7. For α -pinene, in high SO₂ conditions, 452 mass spectra of SOA formed at high NO_x generally had higher intensity for CHOgt1 ("gt1" means greater than 1) family ions, such as CO_2^+ (*m/z* 44), but lower intensity for CH family ions, such as $C_2H_3^+$ (*m/z* 15), $C_3H_3^+$ (*m/z* 39) 453 454 (Fig. 7b) than at low NO_x. In low SO₂ conditions, such difference is not apparent (Fig. 7a), partly due to the low 455 signal from AMS for SOA formed at high NO_x as discussed above. For both the high SO_2 and low SO_2 cases, 456 mass spectra of SOA at high NO_x show higher intensity of CHN1 family ions. This is also consistent with the 457 higher N/C ratio shown above. For SOA from limonene oxidation, SOA formed at high NO_x had lower mass fraction at m/z 15 (C₂H₃⁺), 28 (CO⁺), 43 (C₂H₃O⁺), 44 (CO₂⁺), and higher mass fraction at m/z 27 (CHN⁺, C₂H₃⁺), 458 459 41 $(C_3H_5^+)$, 55 $(C_4H_7^+)$, 64 (C_4O^+) than at low NO_x (Fig. S13). It seems that overall mass spectra of the SOA from 460 limonene formed at high NO_x had higher intensity for CH family ions, but lower intensity for CHO1 family ions 461 than at low NO_x. Note that the differences in these m/z were based on the average spectra during the whole 462 reaction period and may not reflect the chemical composition at a certain time.

463 **4 Conclusion and implications**

We investigated the SOA formation from the photooxidation of α -pinene and limonene under different NO_x and SO₂ conditions, when OH oxidation was the dominant oxidation pathway of monoterpenes. The fate of RO₂ was regulated by varying NO_x concentrations. We confirmed that NO_x suppressed new particle formation. NO_x also suppressed SOA mass yield in the absence of SO₂. The suppression of SOA yield by NO_x was likely due to the suppressed new particle formation, i.e., absence of sufficient particle surfaces for organic vapor to condense on at high NO_x, which could result in large vapor loss to chamber walls.

470 SO₂ enhanced SOA yield from α -pinene and limonene photooxidation. SO₂ oxidation produced high number 471 concentration of particles and compensated for the suppression of SOA yield by NO_x to a large extent. The 472 enhancement of SOA yield by SO₂ is likely to be mainly caused by facilitating nucleation by H₂SO₄, although the 473 contribution of acid-catalyzed heterogeneous uptake cannot be excluded.

474 NO_x promoted nitrate formation. The majority (57-77%) of nitrate was organic nitrate at both low NO_x and high NO_x, based on the estimate using the NO₂⁺/NO⁺ ratios from AMS data. The significant contribution of 475 organic nitrate to nitrate may have important implications for deriving the hygroscopicity from chemical 476 477 composition. For example, a number of studies derived the hygroscopicity parameter by linear combination of the 478 hygroscopicity parameters of various components such as sulfate, nitrate, and organics, assuming all nitrates are 479 inorganic nitrate (Wu et al., 2013; Cubison et al., 2008; Yeung et al., 2014; Bhattu and Tripathi, 2015; Jaatinen et 480 al., 2014; Moore et al., 2012; Gysel et al., 2007). Because the hygroscopicity parameter of organic nitrate may be 481 much lower than inorganic nitrate (Suda et al., 2014), such derivation may overestimate hygroscopicity.

482 Organic nitrate compounds are estimated to contribute 7-26% of the total organics using an average 483 molecular weight of 200 g/mol for organic nitrate compounds and a higher contribution of organic nitrate was 484 found at high NO_x . Generally, SOA formed at high NO_x has a lower H/C compared to that at low NO_x . The 485 higher contribution of organic nitrate to total organics and lower H/C at high NO_x than at low NO_x is attributed to the reaction of RO_2 with NO, which produced more organic nitrates relative to organic hydroperoxides formed via the reaction of RO_2 with HO₂. The different chemical composition of SOA between high and low NO_x conditions may affect the physicochemical properties of SOA such as volatility, hygroscopicity, and optical properties and thus change the impact of SOA on environment and climate.

490 In this study, the influence of vapor wall loss on SOA yield was estimated although the SOA yields in this study were not corrected for vapor wall loss. We need to be cautious about the enhancement of the SOA yield by 491 492 SO_2 under high NO_x conditions and the suppression of the SOA yield by NO_x under low SO₂ conditions. These 493 effects will be less pronounced when vapor wall loss is considered because of the significant vapor loss to 494 chamber walls rather than to particles at low particle surface concentration. Yet, the low particle surface concentration and thus low condensational sink of vapors to particle surface reflect some real cases in the 495 atmosphere, because when the condensational sink by particle surface is low in the atmosphere, organic vapors 496 497 will be lost to the next largest sink, e.g. dry deposition. Nevertheless, our important findings hold for the 498 influence of NO_x and SO_2 on SOA new particle formation, mass yield, and chemical composition, showing 499 indeed the interaction of anthropogenic and biogenic emissions in the process of SOA formation.

The different effects of NO_x and SO₂ on new particle formation and SOA mass yields have important 500 501 implications for SOA formation affected by anthropogenic-biogenic interactions in the ambient atmosphere. 502 When an air mass of anthropogenic origin is transported to an area enriched in biogenic VOC emissions or vice 503 versa, anthropogenic-biogenic interactions occur. Such scenarios are common in the ambient atmosphere in many 504 areas. For example, Kiendler-Scharr et al. (2016) shows that the organic nitrate concentrations are high in all the 505 rural sites all over Europe, indicating the important influence of anthropogenic emissions in rural areas which are often enriched in biogenic emissions. ¹⁴C analysis in several studies show that modern source carbon, from 506 507 biogenic emission or biomass burning, account for large fractions of organic aerosol even in urban areas (Szidat 508 et al., 2009; Weber et al., 2007; Sun et al., 2012), indicating the potential interactions of biogenic emissions with 509 anthropogenic emissions in urban areas. In such cases, anthropogenic NO_x alone may suppress the new particle 510 formation and SOA mass from biogenic VOC oxidation, as we found in this study, because in principle the 511 suppression of SOA mass due to suppressed nucleation can occur in the ambient atmosphere, although chamber experiments often cannot accurately simulate the vapor loss on surface in the boundary layer. However, due to 512 513 the co-existence of NO_x with SO₂, H_2SO_4 formed by SO₂ oxidation can counteract such suppression of particle 514 mass because regardless of NO_x levels, H_2SO_4 can induce new particle formation especially in the presence of 515 water, ammonia or amine (Berndt et al., 2005; Zhang et al., 2012; Sipila et al., 2010; Almeida et al., 2013; Kirkby 516 et al., 2011; Chen et al., 2012). The overall effects on SOA mass depend on specific NO_x, SO₂ and VOC 517 concentrations and VOC types as well as anthropogenic aerosol concentrations and can be a net suppressing, 518 neutral, or enhancing effect. Such scheme is depicted in Fig. 8. Other anthropogenic emissions, such as primary 519 anthropogenic aerosol and precursors of anthropogenic secondary aerosol, can have similar roles as SO₂. By 520 affecting the concentrations of SO₂, NO_x, and anthropogenic aerosol, anthropogenic emissions may have important mediating impacts on biogenic SOA formation. Considering the effects of these factors in isolation 521 may cause bias in predicting biogenic SOA concentrations. The combined impacts of SO₂, NO_x, and 522 523 anthropogenic aerosol are also important to the estimate on how much organic aerosol concentrations will change 524 with the ongoing and future reduction of anthropogenic emissions (Carlton et al., 2010).

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Precursor	SO ₂	NO _x	NO (ppb)	SO ₂ (ppb)
	Low SO	Low NO _x	0.05-0.2	< 0.05
α-pinene	Low SO ₂	High NO _x	~20	< 0.05
(~20 ppb)	High SO_2	Low NO _x	0.05-0.2	~15
		High NO _x	~20	~15
	Low SO ₂	Low NO _x	0.05-0.2	< 0.05
Limonene		High NO _x	~20	< 0.05
(~7 ppb)	High SO ₂	Low NO _x	0.05-0.2	~15
		High NO _x	~20	~15
	Moderate SO ₂	High NO _x	~20	~2

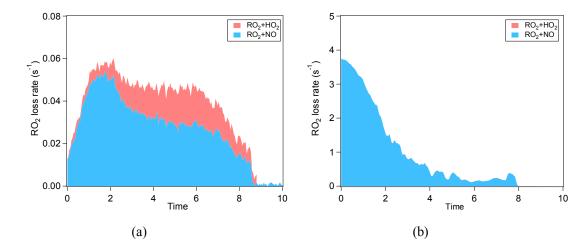
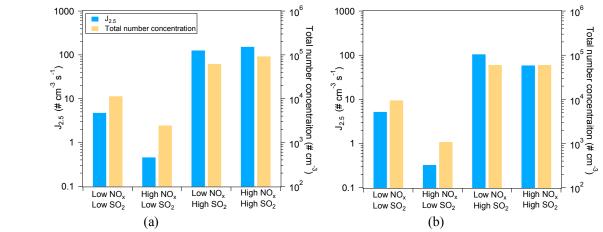
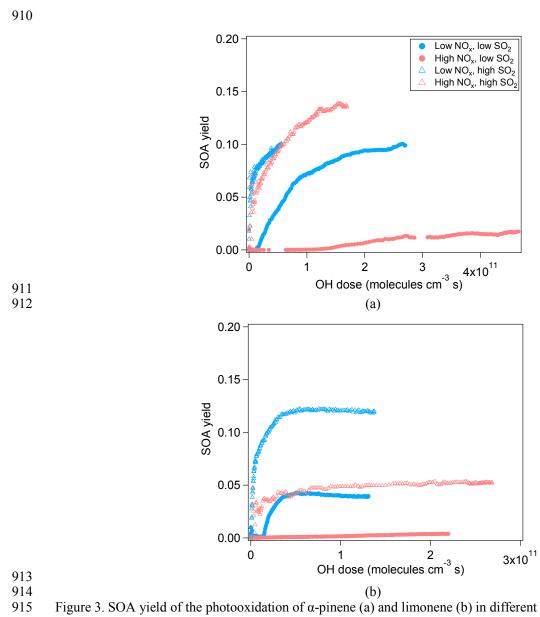


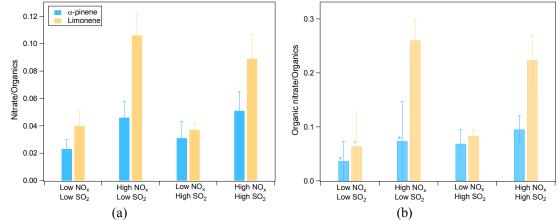
Figure 1. Typical loss rate of RO_2 by RO_2 +NO and RO_2 +HO₂ in the low NO_x (a) and the high NO_x (b) conditions of this study. The experiments at low SO₂ are shown. The RO_2 +HO₂ rate is stacked on the RO_2 +NO rate. Note the different scales for RO_2 loss rate in panel a and b. In panel b, the contribution of RO_2 +HO₂ is very low and barely noticeable.



907 Figure 2. Nucleation rates $(J_{2.5})$ and maximum total particle number concentrations under different NO_x and SO₂ 908 conditions for the SOA from α -pinene oxidation (a) and from limonene oxidation (b).



(b) Figure 3. SOA yield of the photooxidation of α -pinene (a) and limonene (b) in different NO_x and SO₂ conditions.



917 918

919 Figure 4. (a) The ratio of nitrate mass concentration to organics mass in different NO_x and SO₂ conditions. The 920 average ratios of nitrate to organics during the reaction are shown and error bars indicate the standard deviations. (b) The fraction of organic nitrate to total organics in different NO_x and SO_2 conditions calculated using a 921 922 molecular weight of 200 g/mol for organic nitrate. The average fractions during the reaction are shown and error 923 bars indicate the standard deviations. In panel b, * indicate the experiments where the ratios of NO₂⁺ to NO⁺ were 924 too noisy to derive a reliable fraction of organic nitrate. For these experiments, 50% of total nitrate was assumed 925 to be organic nitrate and the error bars show the range when 0 to 100% of nitrate are assumed to be organic 926 nitrate.

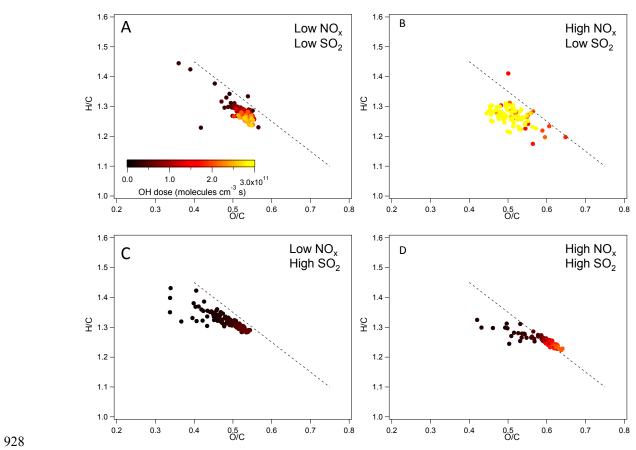


Figure 5. H/C and O/C ratio of SOA from photooxidation of α-pinene in different NO_x and SO₂ conditions. A: low NO_x, low SO₂, B: high NO_x, low SO₂, C: low NO_x, high SO₂, D: high NO_x, high SO₂. The black dashed line corresponds to the slope of -1.

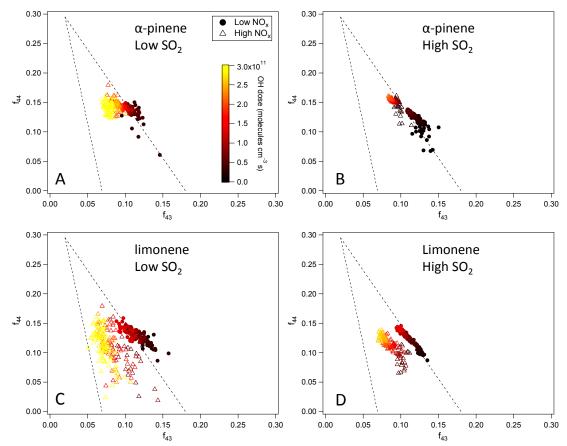
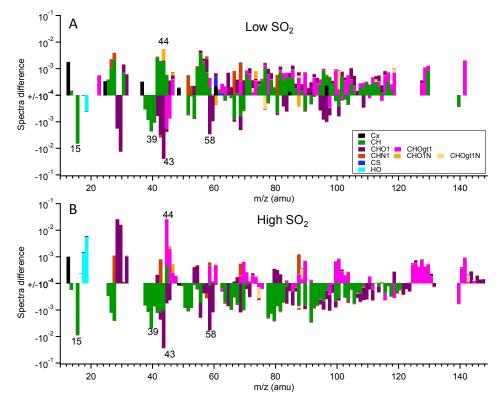
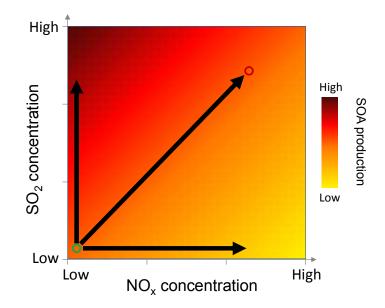




Figure 6. f_{44} and f_{43} of SOA from the photooxidation of α -pinene and limonene in different NO_x and SO₂ conditions. A: α -pinene, low SO₂, B: α -pinene, high SO₂, C: limonene, low SO₂, D: limonene, high SO₂. Note that in the low SO₂, high NO_x condition (panel C), the AMS signal of SOA from limonene oxidation was too low to derive reliable information due to the low particle mass concentration and small particle size. Therefore, the data for high NO_x in panel C show an experiment with moderate SO₂ (2 ppb) and high NO_x instead.



938 939 Figure 7. The difference in the mass spectra of organics of SOA from α -pinene photooxidation between high NO_x 940 and low NO_x conditions (high NO_x-low NO_x). SOA was formed at low SO₂ (a) and high SO₂ (b). The different chemical family of high resolution mass peaks are stacked at each unit mass m/z ("gt1" means greater than 1). 941 The mass spectra were normalized to the total organic signals. Note the log scale of y-axis and only the data with 942 absolute values large than 10^{-4} are shown. 943



945 Figure 8. Conceptual schematic showing how NO_x and SO₂ concentrations affect biogenic SOA mass production.

946 The darker colors indicate higher SOA production. The circle on the bottom left corner indicates biogenic cases

947 and the circle on the right top corner indicates the anthropogenic cases. And the horizontal and vertical arrows

948 indicate the effect of NO_x and SO_2 alone. The overall effects on SOA production depend on specific NO_x , SO_2

949 concentrations and VOC concentrations and speciation.

Supplement of

Effects of NO_x and SO₂ on the Secondary Organic Aerosol 2 Formation from Photooxidation of α-pinene and Limonene 3

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Experiment No.	VOC (ppb)	SO ₂ added (ppb)	NO added (ppb)	T (K) ^a	RH (%) ^b	Scheme
#1	α-pinene (20.2)	0	0	304-311-314	32	Low NO _x low SO ₂
#2	α-pinene (21.4)	0	19	307-314-317	29	Low NO _x high SO ₂
#3	α-pinene (17.5)	15	0	291-298-302	34	High NO _x low SO ₂
#4	α-pinene (18.7)	15	17	300-303-305	42	High NO _x how SO ₂
#5	Limonene (7.4)	0	0	294-303-307	31	Low NO _x low SO ₂
#6	Limonene (7.5)	0	25	303-310-313	28	Low NO _x high SO ₂
#7	Limonene (7.8)	15	0	293-301-305	29	High NO _x low SO ₂
#8	Limonene (7.4)	15	17	296-305-309	28	${ m High\ NO_x}\ { m high\ SO_2}$
#9	Limonene (6.0)	2	17	296-306-310	28	High NO _x moderate SO ₂

Table S1. Detailed conditions of the experiments in this study

^a: The minimum, average and maximum temperature are shown.

^b: The average RHs of the period of monoterpenes photooxidation are shown.

VOC	Oxidation	NO _x (ppb)	Seed aerosol	OH source/OH centration (molecules cm ⁻³)	RH (%)	Literature
α-pinene 15-30 ppb; 150-200 ppb ^a	Ozonolysis	4.6 - 2000 ppb [VOC] ₀ /[NOx] ₀ : 0.65-391	No seed ^b	Low OH ^c	Dry ^d	(Presto et al., 2005)
α-pinene 15 ppb	Photooxidation	≤2 - 1000 ppb	(NH ₄) ₂ SO ₄ as seed	Low NO _x : 3×10^6 ; high NO _x : initial 2×10^7	3.7-6.4	(Ng et al., 2007)
α-pinene 19.8-52.4 ppb	Photooxidation	Low NO _x : not reported. High NO _x : 800 ppb	No seed, $(NH_4)_2SO_4$ or $(NH_4)_2SO_4$ $+H_2SO_4$	Low NO _x : H ₂ O ₂ /initial OH 2×10^6 ; high NO _x : HONO and CH ₃ NO ₂ /initial OH 6-20×10 ⁶	<10	(Eddingsaas et al., 2012)
α-pinene 12 ppb	Photooxidation	0.5 ppb-60 ppb (steady state)	No seed, (NH ₄) ₂ SO ₄	O ₃ (78 ppb)/~4-7×10 ⁷	63	(Sarrafzadeh et al., 2016)
α-pinene 13.6-20.4 ppb	Photooxidation	Low NO _x : <0.3 ppb High NO _x : 66-82 ppb	(NH4)2SO4 or (NH4)2SO4 +H2SO4	H ₂ O ₂ / low NO _x : OH 0.8-1.1×10 ⁶ ; high NO _x : OH 4.3-5.9×10 ⁶	29-68	(Han et al., 2016)
α-pinene 16.1-31.7 ppb	Photooxidation	Low NO _x : <1.9 ppb High NO _x : 19.675.1 ppb	$\begin{array}{c} (\mathrm{NH_4})_2\mathrm{SO_4} \\ +\mathrm{H_2}\mathrm{SO_4} \text{ or} \\ \mathrm{H_2}\mathrm{SO_4}{+}\mathrm{NH_4}\mathrm{HSO_4} \end{array}$	HONO/N.A. ^e	23-75	(Stirnweis et al., 2017)
α-pinene ~20 ppb	Photooxidation	Low NO _x : ~0.05-0.2 ppb High NO _x : 20 ppb	No seed or SO ₂ was added.	HONO/ OH: (1-15)×10 ⁶	28-42	This study

Table S2. Summary of the effect of NO_x on monoterpene SOA yield in the literature

^a: Two levels of α-pinene concentration were used.
^b: In one high NOx, experiment (NH₄)₂SO₄ was used as seed aerosol.
^c: OH scavenger was added.
^d: RH was not specified.
^e: OH concentrations were not specified.

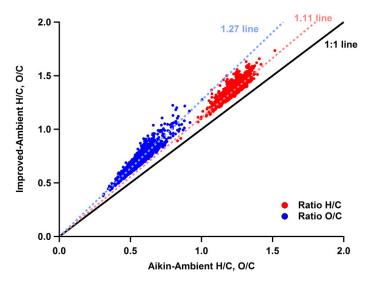


Figure S1. Comparison of the H/C and O/C obtained using the method of Canagaratna et al. (2015) with that obtained using the method of Aiken et al. (2007).

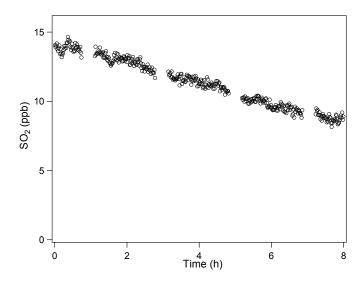




Figure S2. Time series of SO_2 concentration in an experiment with SO_2 added.

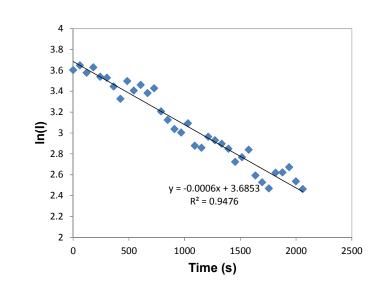




Figure S3. Decay of $C_{10}H_{15}NO_8$ (MW 277 g/mol) in the dark chamber. Y-axis shows the natural logarithm of the

8 peak intensity obtained from CIMS. The raw data were averaged to 1 min.

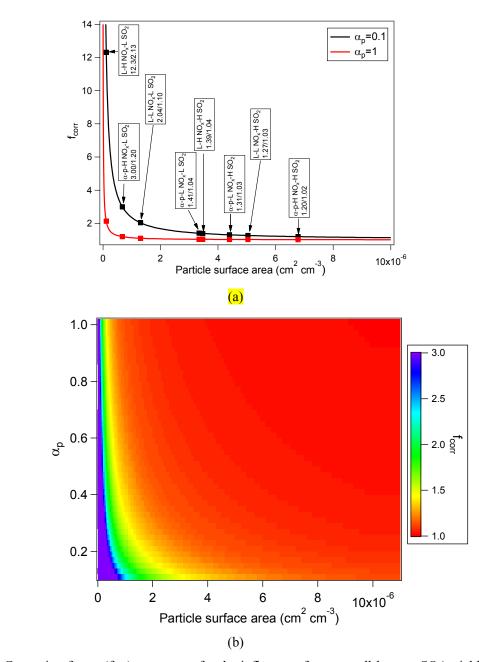


Figure S4. (a) Correction factor (f_{corr}) to account for the influence of vapor wall loss on SOA yield. The curves were derived using an average molecular weight of 200 g/mol and an accommodation coefficient (α_p) on particles of 0.1 and 1, respectively. The lines show the f_{corr} as a function of aerosol surface area concentration and solid squares show the peak aerosol surface area concentration in each experiment. The experiments corresponding to each points are shown. "α-p" and "L" denote α-pinene and limonene, respectively. "L" and "H" denote low and high, respectively. For example, " α -p-H NO_x-L SO₂" denote the experiment of α -pinene oxidation under high NO_x and low SO_2 . And the two numbers in each label box show the correction factors (f_{corr}) derived using α_p of 0.1 and 1, respectively. (b). Correction factor (f_{corr}) as a function of particle surface area and accommodation coefficient of organic vapors.

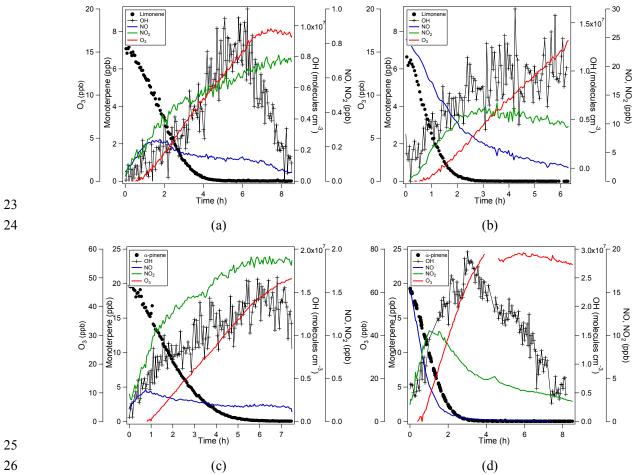
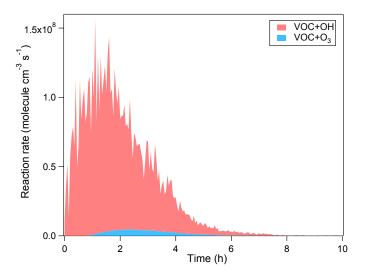


Figure S5. Time series of VOC, OH, NO, NO₂, and O₃ concentrations at low SO₂ for low NO_x (a, c) and high NO_x (b, d). (a, b) and (c, d) are for limonene and α -pinene photooxidation, respectively. Similar trends were

observed under high SO₂.



32 Figure S6. Comparison of the reaction rates of monoterpene with OH and with O₃ in a typical experiment of this

33 study. The reaction rate of VOC+OH is stacked on that of VOC+O₃. Monoterpene oxidation was dominated by

34 OH oxidation. Here the data in α -pinene photooxidation at low NO_x are shown. The scattering of the reaction rate

35 of monoterpene with OH is due to the variations in the OH concentrations and OH measurement.

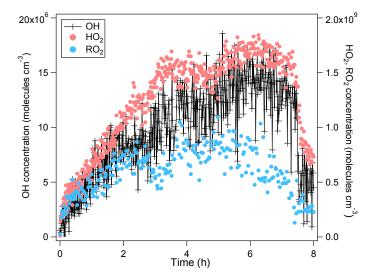
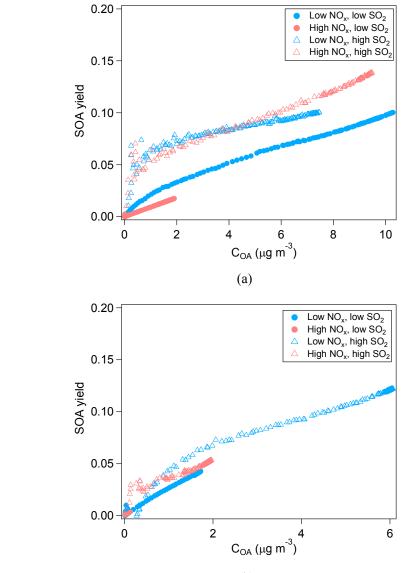


Figure S7. The concentrations of OH, HO₂ and RO₂ radicals in a typical experiment of this study. Here the data in
 α-pinene photooxidation at low NO_x are shown.



42 43

(b)

Figure S8. SOA yield from the photooxidation of α -pinene (a) and limonene (b) as a function of organic aerosol concentration (C_{OA}) in different NO_x and SO₂ conditions. Both SOA yield and organic aerosol concentration were

46 corrected for particle wall loss and dilution.

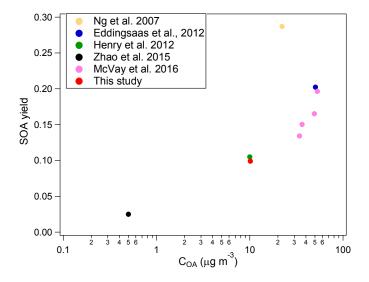
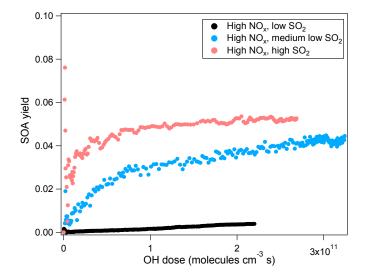


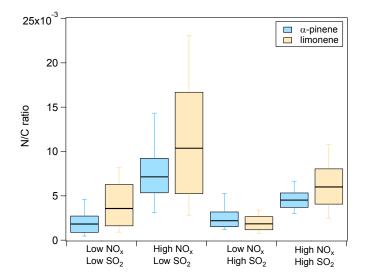
Figure S9. Comparison of the SOA yield as a function of organic aerosol concentration from α -pinene photooxidation at low NO_x with literature data. SOA yield and organic aerosol concentration in this study were corrected for particle wall loss and dilution. SOA particle density in all studies was adjusted to 1 g cm⁻³. The data for Henry et al. (2012) was extracted from Figure 2, experiment 1 in their study. The data for McVay et al. (2016) were extracted from Figure 2-4 in their study. The data extracted from figures in the literature may be subject to

54 uncertainties.



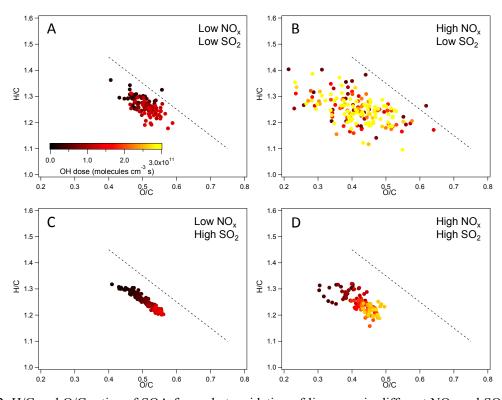
57 Figure S10. SOA yield at varying SO_2 concentrations for SOA from limonene oxidation at high NO_x . The SO_2

concentrations for low SO₂, moderate SO₂ and high SO₂ are <0.05 ppb, 2 ppb and 15 ppb, respectively.



60 Figure S11. The nitrogen to carbon ratio (N/C) in the SOA formed in different conditions for α -pinene and

- 61 limonene oxidation. The black line, box, and whiskers show the median, 25th and 75th percentile, and 10th and 90th
- 62 percentile, respectively.



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Figure S12. H/C and O/C ratios of SOA from photooxidation of limonene in different NO_x and SO_2 conditions. A: low NO_x , low SO_2 , B: high NO_x , low SO_2 , C: low NO_x , high SO_2 , D: high NO_x , high SO_2 . Note that in the high NO_x , low SO_2 condition (panel B), the AMS signal was too low to derive reliable H/C and O/C due to the low particle mass concentration and small particle size. Therefore, the data from an experiment with high NO_x (20 ppb NO) and moderate SO_2 (2 ppb) is shown instead in panel B. The black dashed line corresponds to the slope of -1.

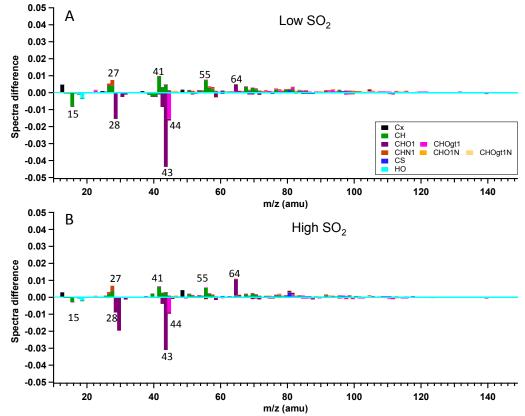




Figure S13. The difference in the mass spectra of organics of the SOA from limonene photooxidation between high NO_x and low NO_x conditions (high NO_x-low NO_x). SOA was formed at low SO₂ (a) and high SO₂ (b). The different chemical family of high resolution mass peaks are stacked at each unit mass m/z ("gt1" means greater than 1). The mass spectra were normalized to the total organic signals. Note that in the high NO_x, low SO₂ condition (panel A), the signal of AMS was too low. Therefore, the data in panel A show an experiment with high NO_x (20 ppb NO) and moderate SO₂ (2 ppb) instead.

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