1 2	Long-term (2001-2012) trends of carbonaceous aerosols from a remote island in the western North Pacific: an outflow region of Asian pollutants
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4 5	Suresh K. R. Boreddy <sup>1</sup> , Md. Mozammel Haque <sup>1, 2</sup> , and Kimitaka Kawamura <sup>1, 2*</sup>
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8 9	<sup>1</sup> Institute of Low Temperature Science, Heldwide University, September 060, 0810, Japan
9 10	<sup>1</sup> Institute of Low Temperature Science, Hokkaido University, Sapporo 060-0819, Japan <sup>2</sup> Now at Chubu Institute of Advanced Studies, Chubu University, Kasugai 487-8501, Japan
11	Now at Chubu Institute of Advanced Studies, Chubu Oniversity, Rusugar 407 0501, Japan
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15	* <u>Corresponding author</u>
16	Kimitaka Kawamura
17	Email: <u>kkawamura@isc.chubu.ac.jp</u>
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#### 20 Abstract

21 The present study reports on long-term trends of carbonaceous aerosols in total 22 suspended particulate (TSP) samples collected at Chichijima Island in the western North 23 Pacific during 2001-2012. Seasonal variations of elemental carbon (EC), organic carbon 24 (OC), and water-soluble organic carbon (WSOC) concentrations showed maxima in winter to 25 spring and minima in summer. These seasonal differences in the concentrations of 26 carbonaceous aerosols were associated with the outflows of polluted air masses from East 27 Asia, which are clearly distinguishable from pristine air masses from the central Pacific. The 28 higher concentrations of carbonaceous aerosols during winter to spring are associated with 29 long-range atmospheric transport of East Asian continental polluted air masses, whereas lower concentrations may be due to pristine air masses from the central Pacific in summer. 30 The annual trends of OC/EC (+0.46% yr<sup>-1</sup>), WSOC (+0.18% yr<sup>-1</sup>) and WSOC/OC (+0.08%31 32  $yr^{-1}$ ) showed significant (p<0.05) increases during the period of 2001-2012, suggesting an 33 enhanced formation of secondary organic aerosols (SOAs) via photochemical oxidation of 34 anthropogenic and biogenic volatile organic compounds (VOCs) during long-range atmospheric transport. We found a significant increase (+0.33% yr<sup>-1</sup>) in nss-K<sup>+</sup>/EC ratios, 35 demonstrating that concentrations of biomass-burning-derived carbonaceous aerosols have 36 37 increased, while those of fossil fuel-derived aerosols have decreased over the western North Pacific. Further, secondary biogenic emissions are also important over the western North 38 Pacific as inferred from a significant increase (+0.14% yr<sup>-1</sup>) in the concentrations of 39 40 methanesulfonate (MSA<sup>-</sup>, a tracer for biogenic sources). This point was further supported by 41 a moderate correlation (r=0.40) between WSOC and MSA<sup>-</sup>. We also found a significant 42 increase in OC/TC (total carbon) and WSOC/TC ratios, suggesting that contributions of 43 water-soluble organic matter to total carbonaceous aerosols have significantly increased over 44 the western North Pacific via long-range atmospheric transport from East Asia.

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Keywords: Carbonaceous aerosols, long-term trends, the western North Pacific, East Asia,
biomass burning, biogenic emissions, long-range atmospheric transport, photochemical
oxidation.

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#### 51 **1. Introduction**

52 Particulate air pollution is one of the most important environmental issues due to its 53 severe impact on visibility and air quality, and has been a great issue over East Asia, 54 particularly in China (Zhang and Cao, 2015; Cui et al., 2015). On the other hand, its impacts 55 on not only climate but also public health may be more severe and intricate (Pöschl, 2005; 56 Menon et al., 2002). Carbonaceous aerosols are ubiquitous in the Earth's atmosphere and 57 potentially cause harmful effect on human health (Bond et al., 2013; Kanakidou et al., 2005; 58 Ramanathan and Carmichael, 2008; Fatima et al., 2012; Chung and Seinfeld, 2002). They are 59 traditionally divided into two fractions: organic carbon (OC), which contains less volatile and 60 more reflective species, and elemental carbon (EC; alternatively referred as black carbon, 61 BC), which is the least reflective and most light absorbing component (Pöschl, 2005). 62 However, the role of OC on cooling or warming has been a matter of debate (Chung et al., 63 2012; Cazorla et al., 2013) because a class of OC (brown carbon) may absorb sunlight (Feng et al., 2013; Lu et al., 2015; Laskin et al., 2015; Bahadur et al., 2012). In the ambient 64 atmosphere, however, these two fractions (EC and OC) are mixed and consequently 65 complicate the estimation of net radiative forcing (Jacobson, 2001). Therefore, studying 66 67 carbonaceous aerosols and their sources is essential to understand how the different sources 68 of carbonaceous particles may influence the radiative balance on a regional and global scale.

69 The major sources of carbonaceous aerosols are fossil fuel and biomass burning in 70 addition to the atmospheric oxidation of anthropogenic and biogenic volatile organic 71 compounds (VOCs) (Chung et al., 2012; Szidat et al., 2006). The global emission of organic 72 aerosols (OA) from biomass and fossil fuel sources has been estimated at 45-80 and 10-30 73 Tg/yr, respectively (Scholes and Andreae, 2000). Due to the presence of polar functional 74 groups, particularly carboxylic acids, many organic compounds in OA are water-soluble 75 (Boreddy et al., 2016) and hence aid in particles acting as cloud condensation nuclei (CCN) 76 (Novakov and Penner, 1993; Matsumoto et al., 1997; Asa-Awuku et al., 2009). According to 77 the recent report of the intergovernmental panel on climate change (IPCC, 2013), the 78 radiative forcing of BC and OA associated with fossil fuel and biofuel combustions is in the range of +0.05 to +0.8 (mean: +0.4) W m<sup>-2</sup> and -0.4 to -0.1 (-0.12) W m<sup>-2</sup>, respectively. It is 79 +0.0 (-0.2 to +0.2) W m<sup>-2</sup> as a result of their change offset when BC and OA are emitted by 80 biomass burning (Boucher et al., 2013). Therefore, carbonaceous aerosols have a net 81 82 warming effect on the climate as per an IPCC 2013 report. However, there is still large 83 uncertainties existing in quantification of radiative impacts for carbonaceous aerosols, 84 particularly with regard to OA (Reddy and Boucher, 2004).

85 The atmosphere over East Asia is becoming worse due to not only the dense 86 population, but also rapid urbanization/industrialization (Fu et al., 2012; Cao et al., 2007). On 87 a global scale, China has the largest carbonaceous aerosol emissions from combustion with 88 contributions about 24% and 30% for OC and BC, respectively (Bond et al., 2004). Recently, 89 Wang et al. (2016) suggested that coal combustions and vehicular emissions are the 90 dominating sources of carbonaceous aerosols in China (Kirillova et al., 2014). Using the emission Model of Emissions of Gases and Aerosols from Nature (MEGAN) combined with 91 92 the MOdel of HYdrocarbon Emissions from the CANopy (MOHYCAN) model, Stavrakou et al. (2014) reported an increased emission of biogenic isoprene over Asia (0.16%  $yr^{-1}$ ) with 93 the more pronounced trend over China  $(0.52\% \text{ yr}^{-1})$  during 1979-2012. Similarly, Zhang et al. 94 (2016) reported an increase in biogenic isoprene emission (from 132000 to 175000t yr<sup>-1</sup>) in 95 96 northern China during 1982-2010. In contrast, SO<sub>2</sub> emissions over China have been declining 97 after 2006 because of the wide usage of flue-gas desulfurization (FGD) equipment in power 98 plants (Lu et al., 2010; Lu et al., 2011). All these East Asian pollutants along with soil dust 99 are transported to the North Pacific via long-range atmospheric transport by westerly winds 100 and perturb the remote marine background conditions and the ocean biogeochemistry by 101 heterogeneous reactions (Boreddy et al., 2015; Matsumoto et al., 2004). In addition to East 102 Asian pollutants, the western North Pacific also receives biomass burning emissions from 103 Southeast Asia (Tsay et al., 2016; Lin et al., 2013; Huang et al., 2013)

104 To better understand the long-range transport of Asian pollutants and their atmospheric processing over the western North Pacific, we continuously collect total 105 106 suspended particulate (TSP) samples since 1990 at Chichijima Island (Mochida et al., 2003; 107 Kawamura et al., 2003; Boreddy and Kawamura, 2016). Chichijima is a remote marine island 108 in the western North Pacific, which is located in the outflow region of East Asian pollutants 109 and dust during the westerly wind season and in the pristine air masses under the wind regime 110 of easterlies. This island is about 1000 km south of Tokyo, Japan and 2000 km from the East 111 Asian countries (China) as shown in Figure 1. Therefore, the observation at Chichijima Island 112 is useful for studying the long-range transport of East Asian pollutants and their 113 heterogeneous chemistry over the western North Pacific (Boreddy et al., 2014; Verma et al., 114 2015; Chen et al., 2013). In this study, we discuss the long-term trends in the concentrations 115 of carbonaceous aerosols (EC, OC, and water-soluble organic carbon (WSOC)) and their 116 ratios during 2001-2012 in addition to seasonal variations. The role of photochemical 117 oxidation of anthropogenic and biogenic VOCs on OC and WSOC and their relations to the 118 CCN are also discussed.

## 120 **2. Instrumentation and data analyses**

## 121 **2.1. Sampling site and aerosol collection**

122 Figure 1 shows the location of the sampling site and its adjacent Asian countries in 123 the western North Pacific. TSP samples were collected at the Satellite Tracking Centre of the 124 Japan Aerospace Exploration Agency (JAXA, elevation: 254 m) in Chichijima Island 125 (27°04'N; 142°13'E) on a weekly basis (Boreddy and Kawamura, 2015). Aerosol samples are 126 collected on pre-combusted (450° C for 3-5 h) quartz filter (20 × 25 cm, Pallflex 2500QAT-UP) using a high volume air sampler (HVS) with a flow rate of  $1 \text{ m}^3 \text{ min}^{-1}$ . The HVS was 127 installed at a height of 5 m above the ground level. The filters were placed in a pre-baked 128 129 (450°C for 6 h) glass jar (150 mL) with a Teflon-lined screw cap before sample collection. 130 After aerosol collection, the filters were recovered into the glass jar, transported to the 131 laboratory in Hokkaido University, Sapporo, and stored in a freezer room at -20 °C prior to analysis. A total of 545 aerosol samples and about 56 field blank samples were used for the 132 133 analysis of carbonaceous components during 2001-2012.

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## 135 **2.2. Analyses of carbonaceous aerosols**

136 Concentrations of OC and EC were determined using a Sunset Laboratory carbon 137 analyzer following the IMPROVE (Interagency Monitoring of Protected Visual 138 Environments) thermal/optical evolution protocol (Wang et al., 2005), assuming carbonate carbon (CC) in the aerosol samples to be insignificant (Chow and Watson, 2002). Previous 139 140 studies have also shown that carbonate, particularly calcium carbonate, levels were low or 141 negligible in most ambient samples, which were analyzed by IMPROVE protocol (Wang et al., 2005; Clarke and Karani, 1992; Chow et al., 2001). A filter cut of 1.54 cm<sup>2</sup> of each filter 142 was placed in a quartz tube inside the thermal desorption chamber of the analyzer and then 143 144 stepwise heating was applied. Helium (He) gas was applied in the first ramp and was 145 switched to mixture of  $He/O_2$  in the second ramp. The evolved  $CO_2$  during the oxidation at 146 each temperature step was measured by non dispersive infrared (NDIR) detector system. The calculated detection limits of OC and EC were 0.05 and 0.02  $\mu$ gC m<sup>-3</sup>, respectively. The sum 147 148 of OC and EC was considered to as total carbon (TC) in this study.

149 To determine WSOC, a punch of 20 mm in diameter of each filter was extracted with 150 20 mL organic-free ultra pure water (>18.2 M $\Omega$  cm, Sartorius arium 611 UV) and 151 ultrasonicated for 30 min. These extracts were passed through a disk filter (Millex-GV, 0.22 152 µm pore size, Millipore) to remove the filter debris and insoluble particles and analyzed using a total organic carbon (TOC) analyzer (Shimadzu, TOC-Vcsh) equipped with a catalytic
oxidation column and non-dispersive infrared detector (Miyazaki et al., 2011).

155 Concentrations of water-soluble methanesulfonate (MSA<sup>-</sup>), non sea-salt sulfate (nss-156  $SO_4^{2^-}$ ), non sea-salt potassium (nss-K<sup>+</sup>) and sodium (Na<sup>+</sup>) were taken from the study of 157 Boreddy and Kawamura (2015), in order to support the inferences related to carbonaceous 158 species over the western North Pacific, which were determined using ion chromatography 159 (761 Compact IC, Metrohm, Switzerland).

160 The analytical errors in the replicate analyses were less than 10% for OC, EC and 161 WSOC in this study. The concentrations of carbonaceous aerosols reported in this study were 162 corrected for field blanks. The levels of blanks were less than 5% for all the parameters in the 163 real samples.

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## 165 **2.3. Statistical analyses**

Two statistical approaches were used to better conduct the trend analyses in time series of WSOC, EC, and OC and their ratios during 2001-2012. First, the tendency (linear trend) equation was used for each time series (Draper and Smith, 1966). Second, all trends were assessed by using the Mann-Kendall non-parametric test (Mann, 1945; Kendall, 1975), which is completely independent of the first approach. More detailed information about these statistical analyses are described in supporting information (SI).

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#### 173 **3. Results and discussion**

## 174 **3.1** Air mass back trajectories and general meteorology

175 To better understand the influence of heterogeneity in air masses to carbonaceous 176 aerosols, we computed daily 7-day isentropic air mass back trajectories at an altitude of 500 177 m for each month using the hybrid single particle Lagrangian-integrated trajectory (HYPLIT) 178 model (Draxler and Rolph, 2013) during 2001-2012 as shown in Figure 2. We also 179 investigated the MODerate resolution Imaging Spectroradiometer (MODIS) derived fire 180 count data along with the back trajectories to understand the intensity of biomass burning 181 over East Asia and South/Southeast Asia. Fire spot data were downloaded from the MODIS 182 website over the region (80°-150°E; -10-70°N) during the year 2001 as an example for all the 183 years (2001-12) because of overlapping (there is no much difference in the intensity and area 184 of fire spots). More detailed information about the monthly air mass back trajectories and fire 185 data for each year during 2001-2012 was described elsewhere (Verma et al., 2015). From Figure 2, it is obvious that from winter (December-February) to spring (March-May) the air 186

187 masses were stronger and carry continental air pollutants and dusts from East Asia to the 188 sampling site in the Pacific by long-range atmospheric transport. The continental air masses 189 were absent in summer (June to August) and mostly come from the central Pacific and carry 190 pristine air masses to the observation site, whereas in autumn (September-November) the air 191 mass pattern shifts from southeasterly to northwesterly and become stronger towards winter.

Figure S1 shows the temporal variations of meteorological parameters such as air temperature (°C), relative humidity (%), wind speed (m s<sup>-1</sup>), and precipitation (mm) at Chichijima Island during the study period of 2001-2012. All the meteorological parameters were downloaded from the Japan Meteorological Agency (JMA). There was a clear seasonal variation in ambient temperature, relative humidity, and precipitation with summer maxima and winter minima. Wind speeds were higher in winter to spring and lower in summer.

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# 199 **3.2 Monthly/seasonal variations**

200 Figure 3 (a-f) presents the monthly/seasonal variations in the concentrations of EC, 201 OC, WSOC and their ratios at Chichijima Island in the western North Pacific during 2001-202 2012. The corresponding statistical data were reported in Table 1. All measured species (EC, 203 OC, and WSOC) clearly showed winter-to-spring maxima (highest concentration was in 204 March) and summer minima (lowest in July) and then increase towards autumn. The seasonal 205 variation in carbonaceous aerosols observed in this study was found consistent with the 206 typical seasonal pattern in ambient carbonaceous aerosols over China (Zhang et al., 2008b; 207 Cao et al., 2006), indicating a common source for these components, which are long-range 208 transported to the western North Pacific. This, of course, can also be influenced by seasonal 209 meteorology and air mass back trajectories over the western North Pacific as discussed in 210 section 3.1.

Relatively higher monthly average concentrations up to 0.28, 1.13 and 0.59  $\mu$ g m<sup>-3</sup> 211 212 were observed for EC, OC, and WSOC in March. In contrast, their monthly averages were 213 lower in summer or early autumn (July or September) with the concentrations of 0.04, 0.58, and 0.20 µg m<sup>-3</sup>, respectively (Table 1). It is well documented that in summer, a maritime 214 215 high-pressure wind dominated over the western North Pacific in which the air masses were 216 pristine and less influenced by the continental outflow from East Asia (Figure 2). This observation is consistent with the fact that concentrations of anthropogenic nss- $SO_4^{2^-}$ ,  $NO_3^{-}$ , 217 NH<sub>4</sub><sup>+</sup>, and nss-K<sup>+</sup> showed similar seasonal variations with winter and/or spring maxima and 218 summer minima (Boreddy and Kawamura, 2015). On the other hand, continental air masses 219 220 blow from the Asian continent in winter and spring; therefore, the maritime background 221 condition of the western North Pacific is often influenced by the continental outflow via long-222 range atmospheric transport (Duce et al., 1980). Very low concentrations of EC in summer, 223 whose abundances were up to seven times lower than those in the continental outflow, 224 suggested negligible contribution of local anthropogenic emissions as well as long-range 225 influences over the sampling site. These results are consistent with previous studies, which 226 reported that several times lower concentrations of organic compounds in summer compared 227 to winter/spring over the same observation site (Kawamura et al., 2003; Mochida et al., 228 2003). Therefore, it is reasonable to believe that the sources of carbonaceous aerosols were 229 transported from the adjacent Asian countries to the western North Pacific via long-range 230 atmospheric transport.

231 As described earlier, EC particles are primary and predominately come from biomass 232 and fossil fuel combustion sources. On the contrary, OC is of either primary origin or 233 secondary formation via gas-to-particle conversion in the atmosphere. The precursors of 234 secondary OC may also come from biogenic sources in addition to fossil fuel and biomass 235 burning emissions. The OC/EC ratios often used to distinguish the relative contribution of 236 primary vs. secondary sources as well as biomass vs. fossil fuel burning sources (Turpin and 237 Huntzicker, 1995; Castro et al., 1999; Rastogi et al., 2016). Atmospheric aerosols emitted 238 from fossil fuel combustion are characterized by lower OC/EC ratios (<2.0) whereas higher 239 OC/EC ratios (>5.0) are characteristic of biomass burning aerosols. The OC/EC ratios > 2.0240 have been used to point out the presence of secondary organic aerosols (SOA) (Cao et al., 241 2003; Chow et al., 1996; Kunwar and Kawamura, 2014; Pani et al., 2017).

242 Table 2 summarizes OC/EC ratios reported for various sources of aerosol particles. 243 Based on Table 2, the split at OC/EC ratios higher or lower than 2 may not be the best 244 indicator of SOA because fossil fuel combustion has OC/EC of 1.1 (Watson et al., 2001), 4.0 245 (Koch et al., 2001), and 4.1 (Cao et al., 2005). Monthly mean OC/EC ratios in this study are 246 much larger in the summer and still greater than the cutoff ( $\sim 4.0$ ) in the winter-to-spring as 247 shown in Table 1. This result suggests a dominance of SOA over the western North Pacific. 248 The seasonal variation of OC/EC mass ratios showed maxima in summer (~21 to 33) and 249 minima in winter-to-spring (3.9 to 7.7). The extremely high OC/EC ratios in summer indicate 250 the secondary formation of OC via oxidation processes, while low OC/EC ratios in winter-to-251 spring suggests that both biomass burning and fossil fuel combustion are important sources 252 for carbonaceous aerosols over the western North Pacific.

It is well documented that  $nss-K^+$  and EC are the tracers for biomass burning and fossil fuel combustion emissions, respectively. Therefore,  $nss-K^+/EC$  ratios were widely used 255 to better identify major sources of carbonaceous aerosols (Wang et al., 2005; Rastogi et al., 2016; Ram and Sarin, 2011). The higher nss-K<sup>+</sup>/EC ratios (>0.20) indicate the dominance of 256 257 biomass burning emissions, whereas lower ratios (<0.10) suggest the prevalence of fossil fuel 258 combustion emissions. In this study, higher  $nss-K^+/EC$  mass ratios were observed in 259 midsummer (July) to early autumn (September) (Figure 3e), suggesting an influence of 260 biomass burning emissions from southeast Asian countries via long-range atmospheric 261 transport over the western North Pacific. This point is consistent with the air mass back 262 trajectory analysis and MODIS-fire count data during summer months (Figure 2), which 263 clearly showed that air masses were occasionally coming from Southeast Asia (Indonesia, 264 Malaysia and New Guinea, etc.) where biomass burning is a common phenomena during 265 summer to early autumn. Biomass burning products were transported to the western North 266 Pacific (Figure 2). In this context, Verma et al. (2015) reported significant concentrations of 267 levoglucosan during summer in Chichijima (in the absence of East Asian outflows), which were attributed to the occasional transport of biomass burning influenced air masses from 268 269 southeast Asia, as inferred from the air mass trajectories and fire spot data during 2001-2013. 270 Therefore, carbonaceous aerosols over Chichijima strictly follow the seasonal wind patterns 271 in the western North Pacific.

272 Previous studies have shown that SOA is largely composed of oxygenated compounds 273 that are highly water-soluble (Kanakidou et al., 2005; Kondo et al., 2007) and references 274 therein). Thus, measurements of WSOC have been used to estimate the SOA in ambient 275 aerosols (Weber et al., 2007; Snyder et al., 2009; Sudheer et al., 2015; Decesari et al., 2001; 276 Docherty et al., 2008). Because major fraction of biomass burning products is highly water-277 soluble (Sannigrahi et al., 2006; Saarikoski et al., 2008), WSOC/OC ratio has been used as an 278 unique tracer to better understand the photochemical activity and/or aging of aerosols and to 279 discuss SOA formation mechanism in the atmosphere during long-range transport (Miyazaki 280 et al., 2007; Ram et al., 2010b; Ram and Sarin, 2011; Kondo et al., 2007; Weber et al., 2007; 281 Gilardoni et al., 2016). The WSOC/OC ratios exceeding 0.4 have been used to indicate the 282 significant contribution of SOA (Ram et al., 2010a) and aged aerosols. The WSOC/OC ratios 283 ranged from 0.06 to 0.19 in diesel particles (Cheung et al., 2009) and 0.27 for vehicular 284 emissions (Saarikoski et al., 2008).

In this study, we found that monthly mean WSOC/OC ratios were >4.0 for all months except for September, indicating a significant contribution from SOA over the western North Pacific. The seasonal variation of WSOC/OC showed higher values (monthly mean: 0.44 to 0.62) during winter-spring months (Figure 3f), implying that the SOA formation was 289 enhanced due to increased photochemical activity and/or aging of East Asian polluted 290 aerosols during long-range atmospheric transport. The high WSOC/OC ratios are 291 traditionally attributed to the atmospheric oxidation of various VOCs in the presence of 292 oxidants such as ozone and hydroxyl radicals via gas and/or aqueous phase reactions in the 293 atmosphere (Miyazaki et al., 2007; Ram and Sarin, 2012). However, the atmosphere over the 294 western North Pacific is always characterized by high relative humidity (>80%) and air 295 temperature (~24°C) during the whole year (Figure S1). Therefore, higher WSOC 296 concentrations in winter-to-spring over the western North Pacific were largely attributed to 297 the aqueous-phase oxidation of anthropogenic and/or biogenic VOCs (Gilardoni et al., 2016; 298 Youn et al., 2013), which are emitted over continental East Asia and long-range transported 299 to the western North Pacific.

300 On the other hand, we found lower ratios of WSOC/OC in summer. This result may 301 suggest a minor contribution of water-soluble organic matter in summer due to a negligible 302 contribution of aged continental air masses and/or significant contribution of fresh marine air 303 masses from the central Pacific. Based on the gradient flux measurements, Ceburnis et al. 304 (2008) found that water-insoluble organic matter (WIOM) exhibited an upward flux, whereas 305 water-soluble organic matter (WSOM) exhibited a downward flux, suggesting a primary 306 production for WIOM and a secondary formation for WSOM. In this study, WIOM/WSOM 307 ratios were higher in summer (mean:  $1.45\pm0.17$ ) and autumn ( $0.35\pm0.57$ ) than in winter 308 (0.19±0.67) as shown in Figure 4a. Higher ratios of WIOM/WSOM in summer over the 309 western North Pacific are consistent with an idea that the ocean-derived organic matter is 310 emitted from the ocean surface via sea-to-air flux as a fresh (less aged) organic matter. This 311 result is further supported by the study of Miyazaki et al. (2010), who reported a significant 312 amount of WIOM in the western North Pacific during summer, which may be produced by 313 bubble-bursting processes at the ocean surface. Similarly, Ovadnevaite et al. (2011) reported 314 higher contributions of primary organic matter to marine aerosols over the Northeast Atlantic.

315 Further, laboratory studies have revealed a high abundance of primary organic matter 316 dominated by WIOM in marine aerosols (Facchini et al., 2008; Keene et al., 2007). However, 317 it should be noted that although bubble-bursting process is a common source for both sea salt 318 (sea salt =  $3.2 \times \text{Na}^+$ , where 3.2 is the conservative mass ratio of salinity to Na in seawater, 319 data obtained from Boreddy and Kawamura (2015)) and WIOM in marine aerosols, we found 320 a negative/no correlation (r=-0.22) between sea salt and WIOC concentrations in summer 321 (Figure 4b). This inference suggests that an additional source of organic matter (completely 322 independent of sea salt production and wind speed) which may be derived from the marine

323 biota, which is further evidenced by the higher concentrations of azelaic acid (a specific photochemical oxidation product of biogenic unsaturated fatty acids emitted from the ocean 324 325 surface (Kawamura and Sakaguchi, 1999)) during summer and autumn over the western 326 North Pacifc for the same study period (Boreddy et al., 2017). It is also worthy to note that, although marine sources are major contributors to organic matter during summer, there are 327 328 some minor influence from non-marine sources (for example, transport of biomass burning 329 products from Southeast Asia as suggested by higher ratios of nss-K<sup>+</sup>/EC in summer), mixed 330 with marine sources.

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## 332 **3.3 Annual trends**

Figure 5 shows the annual trends in the concentrations of EC, OC, TC (EC+OC), WSOC, and WSOC/OC ratios during the period of 2001-2012 over the western North Pacific (see Figure S2 for annual mean variations). Table 3 summarizes the results of the statistical analyses. All the annual trends of chemical species and WSOC/OC ratios seem to present clear seasonal patterns with higher values in winter-spring and lower values in summer. On the other hand, seasonal variations of the OC/EC and nss-K<sup>+</sup>/EC ratios showed higher values in summer.

As seen from Figure 4a-c, concentrations of EC, OC, and TC during 2001-2012 340 ranged from 0.001 to 0.36  $\mu$ g m<sup>-3</sup> (mean: 0.142  $\mu$ g m<sup>-3</sup>), 0.25 to 1.7  $\mu$ g m<sup>-3</sup> (0.76  $\mu$ g m<sup>-3</sup>) and 341 0.28 to 2.01  $\mu$ g m<sup>-3</sup> (0.90  $\mu$ g m<sup>-3</sup>), respectively. The annual variations of EC showed a 342 decreasing trend (-0.007% yr<sup>-1</sup>), while OC and TC trends are continuously increasing 343  $(+0.16\% \text{ yr}^{-1} \text{ and } +0.11\% \text{ yr}^{-1}$ , respectively) from 2001 to 2012 although the rates were not 344 significant (p>0.05). However, the annual trends of OC/EC and OC/TC ratios increased 345 significantly (p < 0.05; +0.46% yr<sup>-1</sup> and +0.06% yr<sup>-1</sup>) from 2001 to 2012 (Figure 5d and 5e), 346 suggesting that the secondary formation of OA and its contribution to carbonaceous aerosols 347 348 have continually increased over the western North Pacific. These results further suggest that 349 the contribution of fossil fuel combustion to carbonaceous aerosols has declined during the 350 sampling period. This point is supported by the annual trend of nss-K<sup>+</sup>/EC mass ratios, which showed a significant increase (p < 0.05; +0.33% yr<sup>-1</sup>) during the sampling period (Figure 5g). 351 352 This observation is consistent with the study of Verma et al. (2015), who observed a significant enhancement of levoglucosan (a good biomass burning tracer, e.g., Simoneit, 353 354 2002) during 2006-2013 over the sampling site. Therefore, all these results demonstrate that 355 the contributions of biomass burning emissions to carbonaceous aerosols have increased

356 significantly over the western North Pacific whereas the contributions of fossil fuel357 combustion have decreased.

The annual trend of WSOC showed a significant increase  $(p<0.05; +0.18\% \text{ yr}^{-1})$  from 358 359 2001 to 2012 (Figure 5c), implying an important SOA formation over the western North 360 Pacific because SOA largely consists of water-soluble matter (Weber et al., 2007; Kondo et 361 al., 2007). Generally, atmospheric aging makes aerosols more water-soluble during long-362 range transport (Aggarwal and Kawamura, 2009; Rudich et al., 2007; Robinson et al., 2007; 363 Jimenez et al., 2009; Kawamura et al., 2010), especially in the remote marine atmosphere 364 (Kawamura et al., 2003). This point is further supported by a decadal increase  $(+0.08\% \text{ yr}^{-1})$ in the WSOC/OC ratios (Figure 5f). These results may demonstrate that the formation of 365 366 WSOC (or OC) over the western North Pacific is significantly linked with photochemical 367 aging of aerosols and oxidation of various VOCs during long-range atmospheric transport 368 (Zhang et al., 2007; Decesari et al., 2010). A significant increasing trend of WSOC/TC  $(p<0.05; +0.15\% \text{ yr}^{-1}; \text{ Table 2})$  again suggests that formation of SOA and its contributions to 369 370 carbonaceous aerosols have significantly increased over the western North Pacific during 371 2001-2012.

372 To better understand the contributions of photochemical oxidation of biogenic VOCs 373 to WSOC during long-range atmospheric transport, we showed the annual trend of water-374 soluble organic ion such as MSA<sup>-</sup> (a biogenic tracer; see Figure 4g). In our previous study 375 (Boreddy and Kawamura, 2015), we reported that MSA<sup>-</sup> significantly correlates with continental pollutants such as  $NH_4^+$  (r=0.56), nss-K<sup>+</sup>(0.52) and nss-SO<sub>4</sub><sup>2-</sup> (0.50) and no 376 correlation with Na<sup>+</sup>, suggesting that continentally derived MSA<sup>-</sup> may be associated with the 377 378 terrestrial higher plants and other biogenic sources along with Asian pollutants during the 379 long-range transport. However, we should not ignore the oceanic biogenic emissions, especially in the summer period (Bikkina et al., 2014), although it has less abundance 380 compared to continental biogenic emissions over the western North Pacific. In this study, the 381 annual trend of MSA<sup>-</sup> showed a significant increase (p<0.05; +0.14% yr<sup>-1</sup>) during 2001-2012, 382 383 implying that continental transport of biogenic VOCs (BVOCs) over the western North 384 Pacific have increased significantly during 2001-2012.

Zhang et al. (2016) have reported an increase (from 132000 to 175000t yr<sup>-1</sup>) in the emission of isoprene in northern China during 1982-2010 using an emission model. Consistently, Stavrakou et al. (2014) reported that an increased isoprene emission (+0.52% yr<sup>-1</sup>) over Asia, especially China during 1979-2012. Based on strong correlations (r>0.90) between isoprene and above-canopy temperature, they suggested that oxidations of biogenic 390 BVOCs from the terrestrial higher plants are important in Asia (especially in China). Since 391 Chichijima is an outflow region of East Asia, long-range atmospheric transport of BVOCs 392 may be possible from terrestrial higher plants in Asia/China to the western North Pacific by 393 westerly winds, which may significantly contribute to the enhanced trends of OC and WSOC 394 during 2001-2012. We found significant (p<0.05) increases in the annual trends of 395 methylglyoxal and pyruvic acid, which are tracers of aqueous-phase oxidation of biogenic 396 isoprene (Carlton et al., 2009), over the western North Pacific as shown in Figure S3. We also 397 found a moderate correlation (r=0.40, p<0.01) between of MSA<sup>-</sup> and WSOC concentrations 398 (not shown as a figure). These results demonstrate that increase of WSOC is likely due to the 399 increased photochemical oxidation of BVOCs during long-range transport over the western 400 North Pacific in addition to the other emissions such as biomass burning.

401

## 402 **3.4 Atmospheric implications**

403 It is well known that atmospheric aerosols play a key role in the climate system as 404 they can act as cloud condensation nuclei (CCN) and impact cloud formation and thus, 405 radiative forcing (RF) (IPCC, 2013). The RF of aerosol is generally estimated by using the 406 aerosol optical depth (AOD), single scattering albedo (SSA) and asymmetry parameter (Pani 407 et al., 2016a). EC scatters the short-wave incoming solar radiation less than OC, although it 408 strongly absorbs the short-wave solar radiation as well as long-wave outgoing terrestrial 409 radiation in the atmosphere (Charlson et al., 1992; Ramanathan et al., 2001; Magi, 2009; 2011). The single scattering albedo (SSA), defined as the ratio of scattering to the extinction 410 411 coefficient of aerosols, is an important property for determining the direct RF (Pani et al., 412 2016a; 2016b). The SSA is highly sensitive to the nature (scattering and/or absorption) of 413 aerosols in the atmosphere. Therefore, although OC has certain uncertainty because of light 414 absorbing brown carbon, the OC/EC ratios can be used to understand the relative 415 contributions of scattering or absorbing aerosols in the atmosphere.

416 Further, a good knowledge of the OC/EC ratios in aerosols (for example, biomass 417 burning) may also help to improve model representation of the absorption caused by organic 418 compounds constituting the so called brown carbon, which contributes to the aerosol RF 419 (Chung et al., 2012; Saleh et al., 2014; Kirchstetter and Thatcher, 2012). In this study, 420 atmospheric aging may make OC more scattering during long-range transport over the 421 western North Pacific. A significant increasing trend of OC/EC ratios suggests that scattering 422 aerosols are increased significantly over the western North Pacific. In contrast, absorbing 423 aerosols may be decreased during the study period. This result may provide an important 424 implication for radiative forcing because scattering and absorption coefficients are playing425 crucial role in the radiative forcing calculations as mentioned above.

426 Novakov and Corrigan (1996) found that pure organic components from biomass 427 smoke emissions can form cloud condensation nuclei (CCN) without the presence of sulfate  $(SO_4^{2-})$  and other inorganic compounds. Roberts et al. (2002) showed that biomass burning 428 derived organic aerosols does serve as CCN. Further, large loadings of CCN in continental air 429 430 masses were observed over the western North Pacific (Matsumoto et al., 1997; Boreddy et al., 431 2015). In this study, the enhanced WSOC concentrations and WSOC/OC ratios in continental air masses suggest an important role of WSOC in CCN activity over the western North 432 Pacific in addition to other particles such as  $SO_4^{2-}$  and sea-salts. To better understand the 433 434 impact of WSOC on cloud forming potential, we performed regression analyses between 435 WSOC, sea salt and CCN concentrations as shown in Figure 6. CCN data were downloaded 436 from the MODIS satellite over the region  $(140^{\circ}-145^{\circ} \text{ E}, 25^{\circ}-30^{\circ} \text{ N})$  in the western North 437 Pacific for the period of July 2002 to December 2012. The results show significantly good 438 correlations (r=0.61 and 0.64, p<0.05) between WSOC versus CCN and sea salt versus CCN 439 concentrations (Figure 6a and 6b), suggesting the importance of WSOC for the formation of 440 CCN over the western North Pacific in addition to sea salt. Further, the correlation coefficient 441 between sea salt and CCN concentrations was slightly increased (r=0.68; p<0.05) when 442 WSOC was added to the sea salt as shown in Figure 6c. Likely, the slope of the regression 443 line between WSOC+sea salt and CCN concentrations was little higher (2.21E7) than the slope between sea salt and CCN concentrations (2.19E7). These results indicate that WSOC 444 445 may slightly enhance the cloud forming potential of sea salt, although it has less 446 concentration over the western North Pacific. All these results suggest that significant 447 uncertainty exists in RF due to the contribution of water-soluble organic matter to cloud 448 forming. Therefore, climate modelers should consider WSOC in addition to other factors 449 (sea-salts, sulfate, etc.,), while calculating RF over the western North Pacific. This point is 450 consistent with the previous studies, which explain the contribution of water-soluble organic 451 matter to CCN (Matsumoto et al., 1997; Zhao et al., 2016).

It should be noted that all these ratios are applicable to organic fractions that are derived from the bulk measurements only; however, the size of the particle also plays a role on RF as well as their morphology, chemical composition and mixing state (Jacobson, 2001; Lohmann and Feichter, 2005; Zhang et al., 2008a). Although fine particles are important for CCN activation, physico-chemical processes (coagulation, condensation and other heterogeneous reactions) can make the particles from fine to coarse mode in aqueous phase, 458 particularly over the marine atmosphere. Thus, bulk parameters of organic matter and its role 459 in CCN activation are important in the remote marine atmosphere. The sea spray is not a 460 major source of WSOC as inferred from Figure 6d, which showed a moderate correlation 461 (r=0.42; p>0.05) between WSOC and sea salt during the study period. In this study, 462 atmospheric processes or chemical aging makes OC more water-soluble during long-range 463 transport over the western North Pacific as discussed in section 3.2.

464

## 465 **4. Conclusions**

466 Based on the long-term (2001-2012) trends of carbonaceous aerosols from Chichijima 467 Island in the western North Pacific, we conclude that the seasonal variations of carbonaceous 468 aerosols strictly followed seasonal trends of wind pattern at Chichijima in the western North 469 Pacific. The annual trends of OC and WSOC with significant increases over the western 470 North Pacific are probably due to the enhanced photochemical oxidation of biomass burning-471 and biogenic-derived VOCs during long-range atmospheric transport over the western North 472 Pacific. This inference is supported by significant increases in the annual trends of OC/EC, 473 WSOC/OC, OC/TC, WSOC/TC, nss-K<sup>+</sup>/EC mass ratios and MSA<sup>-</sup> concentrations. On the other hand, a decrease in the concentrations of EC during 2001-2012 suggests that the 474 475 contribution of fossil fuel-derived sources to carbonaceous aerosols may be decreased over the western North Pacific. Further, a good correlation (r=0.61) between WSOC and CCN 476 concentrations suggests that not only sea salt and nss-SO<sub>4</sub><sup>2-</sup> but also water-soluble organic 477 aerosols play a role in CCN formation. Therefore, the results from our study have important 478 479 implications toward the regional radiative balance, especially over the North Pacific.

480

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Table 1. Monthly mean (± standard deviation) values of EC, OC, WSOC concentrations and
 their ratios during 2001-2012 over the western North Pacific.

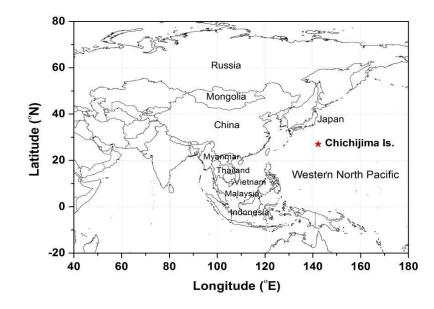
Month	EC	OC 3	WSOC	OC/EC	WSOC/OC	nss-K <sup>+</sup> /EC	
	$(\mu g m^{-3})$	$(\mu g m^{-3})$	$(\mu g m^{-3})$	0.07 - 0			
January	$0.18 \pm 0.07$	$0.80\pm0.41$	$0.54 \pm 0.28$	$4.85 \pm 2.01$	$0.69 \pm 0.14$	$0.29 \pm 0.16$	
February	$0.25 \pm 0.07$	$0.95 \pm 0.36$	$0.55 \pm 0.17$	3.95±1.31	$0.63\pm0.22$	$0.35\pm0.39$	
March	$0.28 \pm 0.05$	1.13±0.37	$0.59 \pm 0.22$	4.11±1.19	0.56±0.19	$0.22\pm0.09$	
April	$0.22\pm0.10$	$0.77 \pm 0.32$	$0.48 \pm 0.28$	$3.89 \pm 1.37$	$0.62\pm0.20$	$0.26\pm0.12$	
May	$0.14 \pm 0.08$	0.80±0.31	0.35±0.19	$7.68 \pm 4.11$	$0.44 \pm 0.19$	$0.40 \pm 0.27$	
June	$0.08 \pm 0.07$	0.74±0.35	$0.30 \pm 0.18$	21.1±30.4	$0.44 \pm 0.17$	$0.54 \pm 0.36$	
July	$0.06 \pm 0.06$	$0.58\pm0.35$	$0.22 \pm 0.07$	19.0±16.7	$0.44 \pm 0.17$	$0.97 \pm 0.94$	
August	$0.04 \pm 0.03$	0.63±0.27	$0.27 \pm 0.16$	33.2±52.5	$0.46 \pm 0.23$	$0.70 \pm 0.69$	
September	$0.05 \pm 0.04$	$0.60 \pm 0.26$	$0.20 \pm 0.10$	22.3±17.3	0.38±0.19	$1.02 \pm 0.82$	
October	$0.08 \pm 0.04$	$0.62 \pm 0.18$	$0.27 \pm 0.12$	12.2±9.07	$0.45 \pm 0.19$	$0.50\pm0.43$	
November	$0.15 \pm 0.10$	0.75±0.39	$0.42 \pm 0.20$	$6.68 \pm 4.89$	0.61±0.20	$0.44 \pm 0.26$	
December	$0.18 \pm 0.09$	0.73±0.29	$0.39 \pm 0.08$	4.63±1.65	$0.59 \pm 0.18$	0.21±0.12	

**Table 2.** Literature values of OC/EC ratios for various sources of aerosol.

Source of aerosol	OC/EC ratio	References
Fossil fuel combustion	4.0, <u>4.1</u> , <i>1.1</i>	Koch (2001), <u>Cao et al. (2005)</u> , Watson et al. (2001)
Coal combustion	2.7, 12.0	Watson et al. (2001), Cao et al. (2005)
Biomass burning	9.0, <u>60.3</u> , 5-8	Cachier et al. (1989), Cao et al. (2005), Andreae and Merlet (2001)
Forest fire	~16.0	Watson et al. (2001)
Diesel truck plume	0.06, <i>0</i> .8, <u>0.3</u>	Dallmann et al. (2014), Na et al. (2004), Turpin and Huntzicker (1995)
Gasoline vehicle	0.02, 2.2	Dallmann et al. (2014), Na et al. (2004)
Secondary organic carbon	3.3	Saarikoski et al. (2008)
Long-rage transported/aged	12.0	Saarikoski et al. (2008)
Traffic	0.7	Saarikoski et al. (2008)
Cooking emissions	4.3-7.7	See and Balasubramanian (2008)

Table 3. Statistical report on the annual trends in carbonaceous aerosols and their ratios during 2001-2012 at Chichijima Island in the western North Pacific. '\*' indicates that the trends are significant at *p*<0.05 level.</li>

912 913		Concentrations (µg m <sup>-3</sup> )			m <sup>-3</sup> )	Mann-Kendall non-parametric test		
913 914	Species	Min	Max	Mean	SD	Kendall's tau $(\tau)$	<i>p</i> -value	Sen's slope
915	EC	0.00	0.36	0.14	0.10	-0.06	>0.05	-0.0002
916	OC	0.26	1.70	0.76	0.36	0.07	>0.05	0.0008
917	TC	0.28	2.01	0.90	0.43	0.05	>0.05	0.0007
918	WSOC	0.08	1.30	0.38	0.22	0.09*	< 0.05	0.0006
919	OC/EC	1.91	67	9.74	21.9	0.21*	< 0.05	0.0240
920	WSOC/OC	0.06	0.94	0.53	0.21	0.09*	< 0.05	0.0007
921	OC/TC	0.66	1.00	0.85	0.08	0.21*	< 0.05	0.0007
922	EC/TC	0.00	0.34	0.15	0.08	-0.21	>0.05	-0.0007
923 924	WSOC/TC	0.06	0.86	0.44	0.17	0.14*	< 0.05	0.0009
924 925	MSA <sup>-</sup>	0.00	0.05	0.02	0.01	0.08*	< 0.05	0.00002
926	nss-K <sup>+</sup> /EC	0.02	2.97	0.51	0.40	0.09*	< 0.05	0.0009



**Figure 1.** Location of sampling site (indicated by red colored '\*') in the western North Pacific and its adjacent Asian countries.

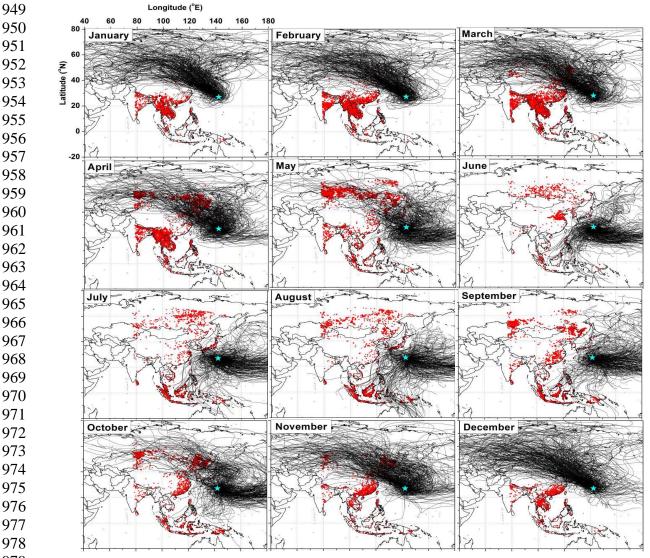
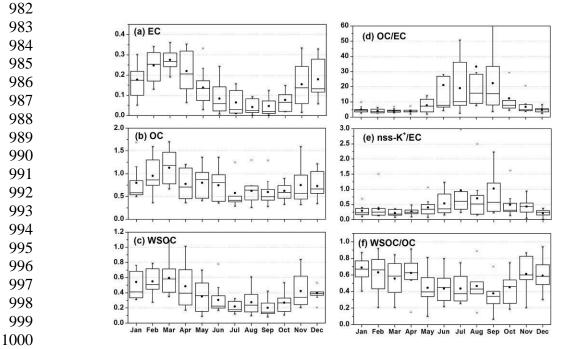
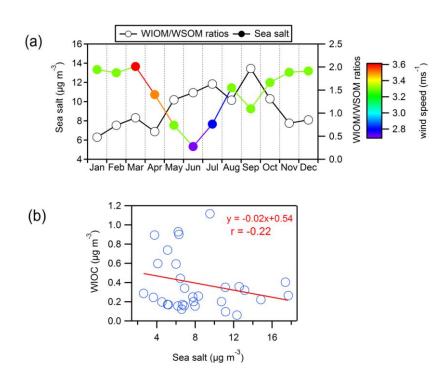


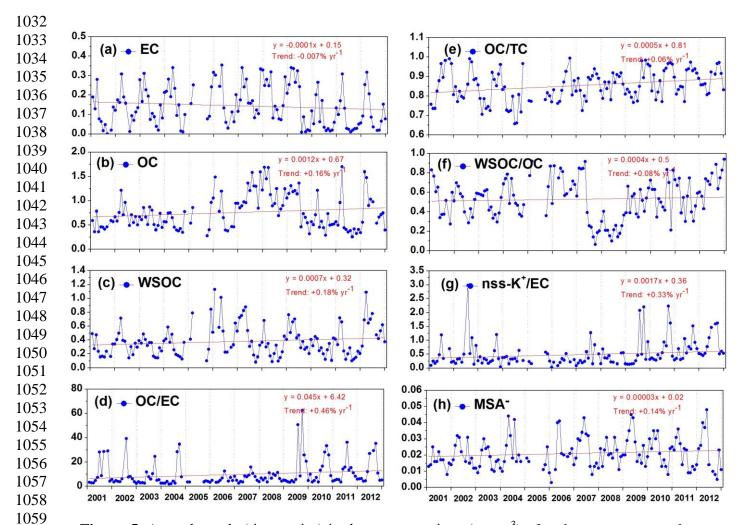
Figure 2. 7-day daily air mass back trajectories at 500 m a.g.l. computed using HYPLIT model for each month during 2001-2012 at Chichijima Island in the western North Pacific. The symbol '\*' indicates the sampling site and red dots represent the MODIS inferred fire spots. Fire spots were downloaded for region (80°-150°E; -10-70°N) during the year 2001.



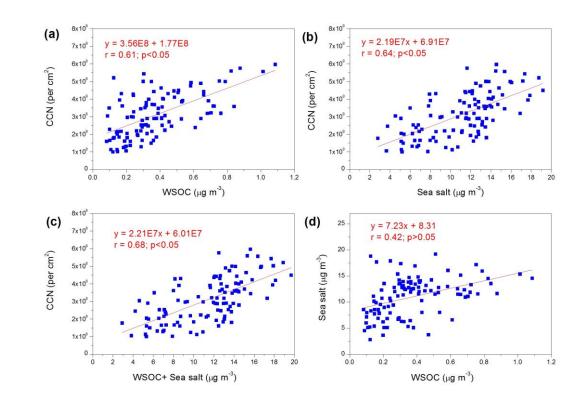
**Figure 3.** Box-whisker plots of monthly variations of carbonaceous aerosol components ( $\mu$ g m<sup>-3</sup>) and some specific mass ratios at Chichijima Island in the western North Pacific during 2001-2012. The horizontal line and small dot inside the box indicate maiden and mean, respectively. The vertical hinges represent data points from the lower to the upper quartile (i.e., 25th and 75th percentiles). The whiskers represent data points from the 1<sup>st</sup> to 99<sup>th</sup> percentiles.



**Figure 4.** Monthly variations (a) WSIM/WSOM mass ratios and sea salt concentrations and (b) regression analysis between WIOC and sea salt concentrations. The color scale in the Figure 4(a) indicates the wind speed over the western North Pacific.



**Figure 5.** Annual trends (time series) in the concentrations ( $\mu g m^{-3}$ ) of carbonaceous aerosol components, water-soluble ionic tracer compound (MSA<sup>-</sup>) and some specific mass ratios during 2001-2012 over the western North Pacific. The liner trend equation (y=mx+c) is also shown for the each annual trend.



**Figure 6.** Regression analyses between (a) WSOC and MODIS-derived cloud condensation nuclei (CCN), (b) sea salt and CCN, (c) WSOC+seasalt and CCN, and (d) WSOC and sea salt concentrations over the western North Pacific.