# A review of current knowledge concerning PM<sub>2.5</sub> chemical composition, aerosol optical properties, and their relationships across China

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#### 1 Abstract

2 To obtain a thorough knowledge of PM<sub>2.5</sub> chemical composition and its impact on aerosol optical properties across China, existing field studies conducted after the 3 year 2000 are reviewed and summarized in terms of geographical, inter-annual, and 4 5 seasonal distributions. Annual PM<sub>2.5</sub> was up to six times of the National Ambient Air 6 Quality Standards (NAAQS) in some megacities in northern China. Annual PM<sub>2.5</sub> 7 was higher in northern than southern cities, and higher in inland than coastal cities. In a few cities with data longer than a decade, PM<sub>2.5</sub> showed a slight decrease only in 8 9 the second half of the past decade, while carbonaceous aerosols decreased, sulfate  $(SO_4^{2-})$  and ammonium  $(NH_4^+)$  remained at high levels, and nitrate  $(NO_3^-)$  increased. 10 The highest seasonal averages of PM2.5 and its major chemical components were 11 12 typically observed in the cold seasons. Annual average contributions of secondary inorganic aerosols to PM2.5 ranged from 25% to 48%, and those of carbonaceous 13 14 aerosols ranged from 23% to 47%, both with higher contributions in southern 15 regions due to the frequent dust events in northern China. Source apportionment analysis identified secondary inorganic aerosols, coal combustion, and traffic 16 emission as the top three source factors contributing to PM<sub>2.5</sub> mass in most Chinese 17 cities, and the sum of these three source factors explained 44% to 82% of PM<sub>2.5</sub> 18 19 mass on annual average across China. Biomass emission in most cities, industrial emission in industrial cities, dust emission in northern cities, and ship emission in 20 21 coastal cities are other major source factors, each of which contributed 7-27% to 22  $PM_{2.5}$  mass in applicable cities.

23	The geographical pattern of scattering coefficient $(b_{sp})$ was similar to that of
24	$PM_{2.5}$ , and that of aerosol absorption coefficient ( $b_{ap}$ ) was determined by elemental
25	carbon (EC) mass concentration and its coating. $b_{sp}$ in ambient condition of
26	RH=80% can be amplified by about 1.8 times of that under dry condition. Secondary
27	inorganic aerosols accounted for about 60% of aerosol extinction coefficient ( $b_{ext}$ ) at
28	relative humidity (RH) greater than 70%. The mass scattering efficiency (MSE) of
29	$PM_{2.5}$ ranged from 3.0 to 5.0 m <sup>2</sup> g <sup>-1</sup> for aerosols produced from anthropogenic
30	emissions and from 0.7 to 1.0 $\text{m}^2 \text{ g}^{-1}$ for natural dust aerosols. The mass absorption
31	efficiency (MAE) of EC ranged from 6.5 to 12.4 $m^2 g^{-1}$ in urban environments, but
32	the MAE of water-soluble organic carbon was only 0.05 to 0.11 m <sup>2</sup> g <sup>-1</sup> . Historical
33	emission control policies in China and their effectiveness were discussed based on
34	available chemically resolved $PM_{2.5}$ data, which provides the much needed
35	knowledge for guiding future studies and emissions policies.

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#### 54 **1. Introduction**

Knowledge of spatiotemporal variations of chemical and optical properties of 55 56 atmospheric aerosols is needed in addressing regional and global air quality and climate issues (Fuzzi et al., 2015; Ginoux et al., 2012; Li et al., 2016c; Liao et al., 57 58 2015; Monks et al., 2009; Qian et al., 2015). Aerosol concentrations across China have been at extremely high levels in the recent two decades, largely caused by 59 rapidly increased energy consumption (Chan and Yao, 2008; Fang et al., 2009; Guan 60 et al., 2014; Wang and Hao, 2012; Zhang et al., 2013b). The frequency of haze has 61 62 also been increased significantly due to light extinction of atmospheric aerosols, especially PM<sub>2.5</sub> (Li and Zhang, 2014; Pui et al., 2014; Watson, 2002). The Ministry 63 of Environmental Protection of China thus promulgated NAAQS to include daily 64 65 and annual PM<sub>2.5</sub> standards starting in early 2012. As a result, real-time PM<sub>2.5</sub> data in 74 Chinese cities have been recorded since 2013. 66

Light extinction, the sum of light scattering and absorption, is controlled by not 67 68 only PM<sub>2.5</sub> levels, but also its chemical composition, size-distribution and hygroscopic potential of its major components, and meteorological conditions (Hand 69 70 and Malm, 2007a; Malm et al., 2003; Pitchford et al., 2007; Zhang et al., 2014a). High humidity combined with large fractions of hygroscopic chemical components 71 (e.g. sulfate, nitrate, ammonium, and some organic matter) can enhance light 72 extinction and haze intensity (Liu et al., 2011; Liu et al., 2013b; Zhang et al., 2015b; 73 74 Zieger et al., 2013). A large number of studies has been conducted in China in recent years investigating PM<sub>2.5</sub> composition, aerosol optical properties, aerosol 75

76	hygroscopic properties, and haze formation mechanisms (Guo et al., 2014; Jing et al.,
77	2015; Liang et al., 2016; Liu et al., 2011; Liu et al., 2012; Pan et al., 2009; Tao et al.,
78	2014b; Wang et al., 2015b; Yan et al., 2008; Yan et al., 2009; Yang et al., 2011b;
79	Zheng et al., 2016). However, knowledge of long-term trends of $PM_{2.5}$ concentration,
80	especially its major chemical components, is still limited (Fontes, 2017), and few
81	studies have focused on the geographical pattern of $PM_{2.5}$ composition across China
82	and its impact on aerosol optical properties (Li et al., 2017a). The present study aims
83	to gain such knowledge through a thorough review of available studies.
84	Considering the large number of publications, only ground measurement data of
85	chemical composition of $PM_{2.5}$ , aerosol scattering and absorption coefficients, and
86	aerosol hygroscopic properties published after the year 2000 in scientific papers of
87	Science Citation Index (SCI) journals are reviewed and summarized in this study. A
88	total of about 150 articles met the above criteria including 100 articles on $\ensuremath{\text{PM}_{2.5}}$
89	chemical composition and source apportionment, 40 articles on aerosol optical
90	properties, and 10 articles on aerosol hygroscopic properties. Many of these articles
91	focused on several of the biggest cities such as, Beijing, Shanghai, Guangzhou and
92	Hong Kong, while other studies focused on cities including Tianjin, Shijiazhuang,
93	Jinan, Nanjing, Hangzhou, Fuzhou, Xiamen, Shenzhen, Chengdu, Chongqing, Xi'an,
94	Lanzhou, Zhengzhou, Wuhan, Changsha, Haikou and several background sites (Fig.
95	2). Geographical and temporal patterns of $PM_{2.5}$ and its major chemical components
96	including $SO_4^{2-}$ , $NO_3^{-}$ , $NH_4^{+}$ , organic carbon (OC), and EC, and aerosol optical
97	properties are generated, source-apportionment analysis results are summarized, and

relationships between aerosol optical properties and  $PM_{2.5}$  chemical composition are explored. Recommendations are also provided for alleviating  $PM_{2.5}$  levels and reducing haze occurrence.

# 2. Spatiotemporal patterns of PM<sub>2.5</sub> and its major chemical components

In this section, available measurements of chemically resolved PM<sub>2.5</sub> are 103 reviewed and summarized in terms of geographical distributions, inter-annual 104 105 variations, and seasonal patterns. Measurements are grouped based on geographical 106 regions, such as the Beijing-Tianjin-Hebei (BTH) in North China Plain, the Yangtze River Delta (YRD), the Pearl River Delta (PRD), the Sichuan Basin, and other regions 107 (Fig. 1). Five dominant chemical components of  $PM_{2.5}$  (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, OC, and 108 109 EC) are discussed in detail. Data reviewed in this section are all listed in Table S1 of the supplement document. 110

#### 111 **2.1 PM<sub>2.5</sub> mass**

112 Filter-based measurements of PM<sub>2.5</sub> were mainly carried out in urban cities of BTH (Beijing, Tianjin, Shijiazhuang, and Chengde), YRD (Shanghai, Nanjing, and 113 Hangzhou), PRD (Guangzhou, Hong Kong, Zhongshan, and Shenzhen), Sichuan 114 basin (Chongqing, Chengdu, and Neijiang), and other cities (e.g., Jinan, Xi'an, 115 Zhengzhou, Wuhan, Changsha, Fuzhou, 116 Lanzhou, Xiamen, and Haikou). Geographical characteristics of annual PM2.5 are first discussed followed by 117 118 interannual variations and seasonal patterns.

#### 119 **2.1.1 Geographical distributions**

Annual mean PM<sub>2.5</sub> mass concentrations in major cities in different regions are 120 plotted in Fig. 2a. Regional annual mean and standard deviation (SD) values were 121 calculated using annual mean data of all the cities where data are available. Regional 122 annual mean PM<sub>2.5</sub> was 115±29, 96±28, 50±16, and 100±35  $\mu$ g m<sup>-3</sup> in BTH (Chen et 123 al., 2014c; Duan et al., 2006; He et al., 2001; He et al., 2012; Song et al., 2006a; Tian 124 et al., 2016; Wang et al., 2005; Yang et al., 2011a; Yang et al., 2011b; Zhang et al., 125 2013a; Zhao et al., 2013c; Zhou et al., 2015a; Zíková et al., 2016), YRD (Feng et al., 126 2009; Li et al., 2015a; Li et al., 2016a; Liu et al., 2015; Ming et al., 2017; Wang et al., 127 2006; Wang et al., 2016a; Ye et al., 2003; Zhao et al., 2015b), PRD (Hagler et al., 128 129 2006; Huang et al., 2013; Louie et al., 2005a; Tao et al., 2014c; Tao et al., 2017), and Sichuan basin (Chen et al., 2014d; Tao et al., 2013a; Tao et al., 2014a; Wang et al., 130 131 2017a; Yang et al., 2011b), respectively, which was 3 to 6 times, 2 to 3 times, 1 to 2 times, and 3 to 6 times of NAAQS, respectively. 132

Within each region, the highest annual average PM<sub>2.5</sub> concentration was 133 observed in Shijiazhuang (191 µg m<sup>-3</sup>), Hangzhou (121 µg m<sup>-3</sup>), Guangzhou (65 µg 134 m<sup>-3</sup>) and Chengdu (111 µg m<sup>-3</sup>) in BTH, YRD, PRD and Sichuan basin, respectively. 135 Outside the above-mentioned four regions, annual PM<sub>2.5</sub> at individual cities was 136 183±25 μg m<sup>-3</sup> (Geng et al., 2013; Wang et al., 2015a; Wang et al., 2017b), 177±15 μg 137 m<sup>-3</sup> (Shen et al., 2009; Wang et al., 2015c; Zhang et al., 2011b), 89 µg m<sup>-3</sup> (Wang et al., 138 2016b), 149  $\mu$ g m<sup>-3</sup> (Yang et al., 2012), 110±4  $\mu$ g m<sup>-3</sup> (Xiong et al., 2017; Zhang et al., 139 2015a), 106  $\mu$ g m<sup>-3</sup> (Tang et al., 2017), 66 $\pm$ 22  $\mu$ g m<sup>-3</sup> (Zhang et al., 2011a; Zhang et al., 140

2012a; Zhang et al., 2016), 44 µg m<sup>-3</sup> (Xu et al., 2012c) and 21 µg m<sup>-3</sup> (Liu et al., 141 2017a) in Zhengzhou, Xi'an, Lanzhou, Jinan, Wuhan, Changsha, Xiamen, Fuzhou and 142 143 Haikou, respectively. These PM<sub>2.5</sub> levels were comparable to some of the cities within the four regions, e.g., Zhengzhou, Xi'an and Jinan to Shijiazhuang, Wuhan to Nanjing 144 145 and Chengdu, and Fuzhou and Xiamen of Fujian province to Guangzhou. Cities in Fig. 2a are rearranged in Fig. 2b from north to south latitudes. Except for a few cities, such 146 as Chengde and Beijing, there was a decreasing trend in annual PM2.5 mass 147 concentration with decreasing latitude. Moreover, annual PM<sub>2.5</sub> mass concentrations 148 149 in western or inland cities were higher than those in eastern or coastal cities along the same latitudes. The geographical patterns of the filter based PM<sub>2.5</sub> measurements 150 agreed well with the online monitoring of PM2.5 in 31 provincial capital cities in 151 152 China (Wang et al., 2014b).

Filter-based measurements of  $PM_{2.5}$  at rural sites in China were limited and mainly conducted at Shangdianzi of Beijing, Conghua and Tianhu of Guangzhou, and Hok Tsui of Hong Kong (Hagler et al., 2006; Lai et al., 2016; Louie et al., 2005a; Zhao et al., 2013c). Rural  $PM_{2.5}$  was around half of that in the cities of the same region. A similar geographical pattern was seen in rural  $PM_{2.5}$  as in the urban, e.g., annual  $PM_{2.5}$  at the rural site of BTH (Shangdianzi) was 72 µg m<sup>-3</sup>, which was 2 times of that (35 µg m<sup>-3</sup>) at the rural sites of PRD.

#### 160 **2.1.2 Inter-annual variations**

161 Data collected in most cities were within a three-year time window, except in 162 Beijing, Shanghai and Guangzhou where  $PM_{2.5}$  data spanned for more than a decade

163	(1999-2014) (Fig. 3). Inter-annual variations in $PM_{2.5}$ in Beijing were small, ranging
164	from 100 to 128 $\mu$ g m <sup>-3</sup> , similar to the trends in the online data, which ranged from
165	65 to 83 $\mu g~m^{\text{-3}}$ during 2004-2012 (Liu et al., 2014b). The lower concentrations of
166	the online than filter $PM_{2.5}$ data is likely caused by volatilization loss of nitrate and
167	organic matters from the tapered element oscillating microbalances (TEOM), which
168	operated at 50°C during the online sampling. These results suggested that there was
169	no evidence that $PM_{2.5}$ pollution has been significantly improved in Beijing during
170	the 15 year study period despite the many control measures that have been
171	implemented. The impact of local pollution controls in Beijing has likely been offset
172	by regional pollutant transport (Li et al., 2015b). In Shanghai, $PM_{2.5}$ in 2003-2006
173	(94 $\mu g~m^{\text{-3}})$ (Feng et al., 2009; Wang et al., 2006) and 2009 (94 $\mu g~m^{\text{-3}})$ (Zhao et al.,
174	2015b) was nearly 50% higher than earlier years (e.g., 65 $\mu$ g m <sup>-3</sup> in 1999-2000) (Ye
175	et al., 2003); although it decreased slightly to 58 $\mu g~m^{\text{-3}}$ in 2011-2013 (Wang et al.,
176	2016a; Zhao et al., 2015b), it increased rapidly back to 95 $\mu g\ m^{\text{-3}}$ in 2013-2014
177	(Ming et al., 2017). In Guangzhou, $PM_{2.5}$ in 2002-2003 (71 µg m <sup>-3</sup> ) (Hagler et al.,
178	2006) and in 2009-2010 (77 $\mu$ g m <sup>-3</sup> ) (Tao et al., 2014c) were kept at stable levels and
179	then decreased to 48 $\mu$ g m <sup>-3</sup> in 2014 (Tao et al., 2017).

180 2.1.3 Seasonal patterns

In BTH, the highest seasonal average  $PM_{2.5}$  concentrations were observed in winter and the lowest in summer in all the cities with seasonal variations up to factors of 1.7, 1.5, 1.6 and 1.8 in Beijing (Cao et al., 2012b; Chan et al., 2005; Chen et al., 2014a; Dan et al., 2004; Duan et al., 2006; Han et al., 2014; He et al., 2001;

185	Huang et al., 2014b; Lin et al., 2016; Okuda et al., 2011; Pathak et al., 2011; Song et
186	al., 2006a; Song et al., 2007; Sun et al., 2004; Sun et al., 2006; Tan et al., 2016a; Tao
187	et al., 2016a; Tao et al., 2015a; Tian et al., 2015; Wang et al., 2005; Yang et al.,
188	2005a; Yang et al., 2016; Zhang et al., 2013a; Zhao et al., 2013c), Tianjin (Cao et al.,
189	2012b; Gu et al., 2010; Gu et al., 2011; Li et al., 2009; Tian et al., 2016; Zhao et al.,
190	2013c), Shijiazhuang (Zhao et al., 2013c), and Chengde (Zhao et al., 2013c),
191	respectively. It is noted that major pollutant sources in BTH were located south of
192	Hebei province and the prevailing winds in BTH were from the north in winter and
193	from the south in summer (Li et al., 2016b; Lu et al., 2010; Lu et al., 2011; Wang et
194	al., 2013; Xu et al., 2011). The location and distribution of major industrial sources,
195	intensity of local minor sources such as winter heating, and prevailing wind
196	directions together caused the slightly different magnitudes of seasonal variations
197	among the four cities discussed above. Moreover, extreme weather events such as
198	weakening monsoon circulation, depression of strong cold air activities, strong
199	temperature inversion, and descending air masses in the planetary boundary layer
200	also played important roles in the strong PM <sub>2.5</sub> pollution during winter (Niu et al.,
201	2010; Wang et al., 2014c; Zhao et al., 2013d). Several extreme wintertime air
202	pollution events in recent years covered vast areas of northern China and were all
203	correlated to some extent with extreme weather conditions (Zou et al., 2017).
204	In VRD, the highest second everyon DM concentrations were also observed

In YRD, the highest seasonal average  $PM_{2.5}$  concentrations were also observed in winter and the lowest in summer with seasonal variations up to factors of 2.3, 1.9 and 2.0 in Nanjing (Li et al., 2015a; Li et al., 2016a; Shen et al., 2014; Yang et al.,

207	2005b), Shanghai (Cao et al., 2012b; Cao et al., 2013; Feng et al., 2009; Feng et al.,
208	2012a; Hou et al., 2011; Huang et al., 2014a; Huang et al., 2014b; Ming et al., 2017;
209	Pathak et al., 2011; Wang et al., 2006; Wang et al., 2016a; Ye et al., 2003; Zhao et al.,
210	2015b), and Hangzhou (Cao et al., 2012b; Liu et al., 2015), respectively. In PRD,
211	most urban site $PM_{2.5}$ studies were also accompanied with rural site studies (Andreae
212	et al., 2008; Cao et al., 2004; Cao et al., 2012b; Cui et al., 2015; Duan et al., 2007; Fu
213	et al., 2014; Ho et al., 2006a; Huang et al., 2007; Huang et al., 2014b; Jahn et al.,
214	2013; Jung et al., 2009; Lai et al., 2007; Lai et al., 2016; Liu et al., 2014a; Louie et al.,
215	2005a; Tan et al., 2009; Tan et al., 2016c; Tao et al., 2009; Tao et al., 2014c; Tao et al.,
216	2015b; Tao et al., 2017; Wang et al., 2012; Yang et al., 2011b). Although the highest
217	seasonal average $PM_{2.5}$ was also observed in winter, the season with the lowest
218	concentration was not consistent between the sites, e.g., in summer in Guangzhou and
219	in spring in Hong Kong. This was likely caused by warm/hot temperatures in this
220	region and frequent precipitation in warm seasons, and thus small differences between
221	spring and summer, e.g., $PM_{2.5}$ concentration of 32 µg m <sup>-3</sup> in summer in Guangzhou
222	(Cao et al., 2004; Cao et al., 2012b; Duan et al., 2007; Ho et al., 2006a; Lai et al.,
223	2007; Louie et al., 2005a) and 29 $\mu$ g m <sup>-3</sup> in spring in Hong Kong (Louie et al., 2005a).
224	Seasonal variations were up to a factor of 1.9 in both cities. $PM_{2.5}$ at rural sites in
225	PRD generally doubled during dry seasons (autumn and winter) compared to wet
226	seasons (spring and summer) due to frequent precipitation scavenging of aerosols in
227	wet seasons (Cheung et al., 2005; Dai et al., 2013; Fu et al., 2014; Griffith et al., 2015;
228	Hu et al., 2008; Lai et al., 2016).

Similar seasonal patterns as above were also observed in cities of other regions in China, such as Chengdu (Tao et al., 2013a; Tao et al., 2014a), Zhengzhou (Geng et al., 2013), Jinan (Yang et al., 2012) and Fuzhou (Xu et al., 2012b), with seasonal variations between a factor of 1.8 and 2.5. In conclusion, the highest seasonal average PM<sub>2.5</sub> observed in winter at all urban sites in China was likely due to high emissions from winter heating and/or poor pollutant dispersion.

235

# 2.2 Major chemical components of PM<sub>2.5</sub>

It is well known that OC, EC,  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^+$  are the dominant chemical components in PM<sub>2.5</sub>. Thus, only studies having synchronous measurements of PM<sub>2.5</sub> and the above-mentioned five major components were discussed below. Note that for most cities only short-term measurements were available, however, for Beijing, Shanghai and Guangzhou, existing studies span a period of 15 years (2000-2014).

To ensure the comparability of the data collected using different instruments, 241 measurement uncertainties were first briefly discussed here. Most studies in China 242 analyzed OC and EC using DRI carbon analyzer or Sunset carbon analyzer. 243 IMPROVE is the most widely used thermal/optical protocol for OC and EC analysis 244 245 for DRI analyzer while NIOSH is the one for the Sunset analyzer. OC and EC measured by the two analyzers are comparable if the same analysis protocol is used. 246 For example, Wu et al. (2011) showed that OC from the Sunset analyzer was only 8% 247 lower than that from the DRI analyzer, while EC was only 5% higher. However, when 248 249 different protocols were used by the two analyzers, the differences were much larger, e.g., EC from NIOSH was almost 50% lower than that from IMPROVE (Chow et al., 250

2010; Yang et al., 2011a). Note that OC and EC were also measured using a CHN 251 elemental analyzer in 2001-2002 in Beijing, which uses a similar protocol to NIOSH 252 253 (Duan et al., 2006). In any case, the measurement uncertainties of total carbon (TC, the sum of OC and EC) were less than 10% (Chow et al., 2010; Wu et al., 2011). 254 The ions including  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^{+}$  were measured by ion chromatography. 255 Measurement uncertainties should be less than 15% in most cases under strict QA/QC 256 procedures (Orsini et al., 2003; Trebs et al., 2004; Weber et al., 2003), but could be 257 larger for ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) since it can evaporate from the filters before 258 259 chemical analysis under high temperature and low RH conditions, and this applies to both quartz fiber filter and Teflon filter (Keck and Wittmaack, 2005; Weber et al., 260 2003). The loss of  $NO_3^-$  due to evaporation was found to range from 4% to 84% 261 262 depending on the ambient temperature (Chow et al., 2005). Although the exact magnitudes of measurement uncertainties cannot be determined for NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>, 263 they are not expected to affect significantly the inter-annual variations discussed 264 below for the three cities (Beijing, Shanghai, and Guangzhou) considering the small 265 year-to-year temperature changes. 266

267 **2.2.** 

## 2.2.1 The Beijing-Tianjin-Hebei region

#### 268 **2.2.1.1 Inter-annual variations in Beijing**

Chemically-resolved  $PM_{2.5}$  data in BTH covering multiple-years are only available in Beijing and the inter-annual variations are discussed for this city below (Duan et al., 2006; He et al., 2001; Song et al., 2006a; Yang et al., 2011b; Zhang et al., 2013a; Zhao et al., 2013c). Inter-annual variations of OC and EC were generally

273	small, e.g., a factor of 1.5 for OC and 1.8 for EC (Fig. 3a). OC decreased from
274	23.6-25.8 $\mu g~m^{\text{-3}}$ in earlier years (1999-2006) to below 17.6 $\mu g~m^{\text{-3}}$ after 2008. EC
275	increased from 6.3 $\mu g~m^{\text{-3}}$ in 1999-2000 to 9.9 $\mu g~m^{\text{-3}}$ in 2001-2002, and then
276	gradually decreased to 5.7 $\mu g~m^{\text{-3}}$ in 2009-2010. TC increased from 29.8 $\mu g~m^{\text{-3}}$ in
277	1999-2000 to 32.7-35.7 $\mu g~m^{\text{-3}}$ in 2001-2006, and then decreased to 23.3 $\mu g~m^{\text{-3}}$ in
278	2009-2010. The nearly 30% reduction in TC in recent years in Beijing can be taken
279	as a real trend since measurement uncertainties were believed to be around 10% as
280	mentioned above. OC is produced from both primary emissions and secondary
281	formation, while EC (also known as black carbon or BC) is mainly from primary
282	emissions. The anthropogenic emission for OC and BC over the entire China showed
283	an increasing trend in 1996-2010 (Lu et al., 2011), while BC emissions showed a
284	slightly decreasing trend in Beijing and Tianjin in 2005-2009 (Qin and Xie, 2012).
285	Meanwhile, BC emissions sharply increased in Hebei province in 2005-2009. The
286	amount of BC emissions in Hebei province was much higher than the sum of those
287	in Beijing and Tianjin (Qin and Xie, 2012). Thus, the decrease of EC concentration
288	in Beijing was likely dominated by local emission reduction instead of regional
289	transport from Hebei province.

Annual  $SO_4^{2-}$  concentration increased slightly during 1999-2010 and ranged from 10.2 µg m<sup>-3</sup> to 16.4 µg m<sup>-3</sup> in Beijing. SO<sub>2</sub> emission in China increased by about 60% during 2000-2006 and then decreased about 9% during 2006-2010 due to the compulsory flue-gas desulfurization equipment applied in power plants (Lu et al., 2011). However, the sum of the SO<sub>2</sub> emissions in BTH (including Beijing, Tianjin,

and Hebei province) increased sharply from 2097 Gg year<sup>-1</sup> in 2000 to 2916 Gg 295 year<sup>-1</sup> in 2004, and further slightly increased to 2998 Gg year<sup>-1</sup> in 2007 before 296 sharply decreased to 1821 Gg year<sup>-1</sup> in 2010 (Lu et al., 2010; Zhao et al., 2013a). A 297 continued increase in SO<sub>2</sub> emission was found in Hebei province, which accounted 298 for more than 50% of the total SO<sub>2</sub> emission in BTH. In contrast, SO<sub>2</sub> emissions in 299 Beijing continued decreasing. Surface annual SO<sub>2</sub> concentrations in Beijing 300 gradually decreased from 56  $\mu$ g m<sup>-3</sup> to 35  $\mu$ g m<sup>-3</sup> during 2006-2009 301 (http://www.zhb.gov.cn/). Thus, the persistently high concentrations of  $SO_4^{2-}$  in 302 Beijing was largely due to regional transport from Hebei province, noting that the 303 lifetime of  $SO_4^{2-}$  is longer than that of  $SO_2$ . 304

NO<sub>3</sub><sup>-</sup> concentrations were relatively steady (7.4-10.9 µg m<sup>-3</sup>) during 1999-2006, 305 but sharply increased to 15.9  $\mu$ g m<sup>-3</sup> in 2009-2010 in Beijing. Both NO<sub>x</sub> (NO<sub>2</sub>+NO) 306 emissions and satellite NO<sub>2</sub> vertical column densities synchronously increased 307 during 2000-2010 in China (Zhang et al., 2012b; Zhao et al., 2013b). Different from 308 those of SO<sub>2</sub> emissions, NO<sub>x</sub> emissions in all the cities and provinces in BTH 309 showed increasing trends in 2005-2010. NO<sub>x</sub> emissions in Beijing slightly increased 310 from 410 Gg year<sup>-1</sup> in 2005 to 480 Gg year<sup>-1</sup> in 2010 (Zhao et al., 2013b). However, 311 annual average surface NO<sub>2</sub> concentrations in Beijing showed a decreasing trend and 312 fluctuated in the range of 49 - 66 µg m<sup>-3</sup> during 2006-2009 (http://www.zhb.gov.cn/). 313 There were some inconsistences between the trends of surface NO<sub>2</sub> concentrations 314 and column NO<sub>2</sub> or NO<sub>x</sub> emissions, likely due to the impact of photochemical 315 reactions on surface NO<sub>2</sub> concentrations in urban areas. To some extent, the 316

increasing trend of  $NO_3^-$  in Beijing was likely related to the increases in  $NO_x^$ emissions in both Beijing and the surrounding cities or provinces.

Considering the potential large uncertainties in  $NH_4^+$  measurements, its trends 319 should only be discussed qualitatively.  $NH_4^+$  concentrations were relatively steady in 320 Beijing during 1999-2006, ranging from 5.7 to 7.3  $\mu$ g m<sup>-3</sup>. NH<sub>3</sub> emissions changed 321 little (13400-13600 Gg year<sup>-1</sup>) before 2005 in China, and increased slightly in BTH 322 region during 2003-2010 (Zhou et al., 2015b). The small increase of  $NH_4^+$  in 323 2009-2010 in Beijing was consistent with the NH<sub>3</sub> emission trend in this region 324 (Zhang et al., 2013a; Zhao et al., 2013c). Moreover, the increase of NO<sub>3</sub><sup>-</sup> in Beijing 325 was also an important factor contributing to the increase of  $NH_4^+$ . 326

In summary, a decreasing trend was identified in TC and increasing trends were 327 found for  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^{+}$  in Beijing. The inter-annual variations in EC agreed 328 with the local emission trends in Beijing, but those in  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^{+}$ 329 agreed more with the regional scale emission trends of their respective gaseous 330 precursors in BTH rather than the local emission trends in Beijing. Nonlinear 331 responses of concentration changes of these aerosol components to their respective 332 emission trends were found, demonstrating other important factors potentially 333 affecting aerosol formation. It is worth to note that several recent studies have 334 highlighted the important role NO<sub>2</sub> might play in sulfate formation in the polluted 335 environment in China (Cheng et al., 2016; Wang et al., 2016c; Xie et al., 2015a). 336 Nevertheless, the aqueous  $SO_2 + H_2O_2/O_3$  oxidation should still be the dominant 337 mechanism in most cases, especially at a background site (Lin et al., 2017). The 338

aqueous  $SO_2$  + oxygen (catalyzed by Fe (III)) reaction can also be important under 339 heavy haze conditions in north China (Li et al., 2017b). Extensive measurements of 340 341 stable oxygen are needed to confirm the relative contributions of different sulfate formation mechanisms. 342

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# 2.2.1.2 Relative contributions to PM<sub>2.5</sub>

To investigate the relative contributions of dominant chemical components to 344  $PM_{2.5}$  mass, the measured  $PM_{2.5}$  mass was reconstructed based on  $SO_4{}^{2\text{-}},\ NO_3{}^{\text{-}},$ 345  $NH_4^+$ , OM (organic matter), and EC. The conversion factor between OC and OM 346 347 was 1.8 considering the prevailing biomass burning in BTH (Cheng et al., 2013a; Du et al., 2014a). 348

Data collected in 2009-2010 were first discussed since multiple cities in BTH 349 350 have data during this period (Zhang et al., 2013a; Zhao et al., 2013c). Secondary inorganic aerosols (the sum of sulfate, nitrate and ammonium) contributed 36-39% 351 of PM<sub>2.5</sub> annually in the majority of the cities, but only 25% in Chengde, a tourist 352 353 city located in the northeast part of BTH and 200 kilometers away from Beijing. Generally, the percentage contribution of secondary inorganic aerosols to  $PM_{25}$ 354 decreased with decreasing PM<sub>2.5</sub> level, e.g., from Shijiazhuang to Tianjin, Beijing, 355 and then Chengde, a phenomenon that is consistent with what was found within the 356 357 same city but for different pollution levels in a winter season (Tao et al., 2015a). Carbonaceous aerosols contributed 29-32% to PM<sub>2.5</sub> in most cities, but as high as 358 359 45% in Chengde, and had an opposite trend to that of secondary inorganic aerosols in terms of city-to-city variations. At the rural site Shangdianzi near Beijing, secondary 360

inorganic aerosols and carbonaceous aerosols accounted for 42% and 32%, respectively, of the  $PM_{2.5}$  mass, which were not significantly different from those in cities located south of Yanshan Mountain. The sum of secondary inorganic aerosols and carbonaceous aerosols accounted for 65%-70% of the  $PM_{2.5}$  mass in cities of BTH.

In Beijing where data are available for more than a decade, secondary inorganic 366 aerosols accounted for 28% of PM2.5 on average and ranged from 23% to 31% from 367 year to year. Carbonaceous aerosols accounted for 43% of PM<sub>2.5</sub> and ranged from 368 369 29% to 55%. Seasonal average contributions of secondary inorganic aerosols were generally higher in warm seasons than in cold seasons in most cities, and an opposite 370 trend was found for carbonaceous aerosols (Fig. 4). For example, secondary 371 372 inorganic aerosols contributed 32%, 41%, 28% and 32% in spring, summer, autumn and winter, respectively, to PM<sub>2.5</sub> in Beijing, while carbonaceous aerosols 373 contributed 35%, 30%, 44% and 45% (Cao et al., 2012b; Duan et al., 2006; He et al., 374 375 2001; Huang et al., 2014b; Lin et al., 2016; Pathak et al., 2011; Song et al., 2006a; Song et al., 2007; Sun et al., 2004; Tao et al., 2015a; Tian et al., 2015; Zhang et al., 376 2013a; Zhao et al., 2013c). A similar seasonal trend was also observed in other BTH 377 cities, e.g., secondary inorganic aerosols accounted for 42-53% of PM25 mass in 378 summer and only 15-35% in winter, while carbonaceous aerosols accounted for 379 16-34% in summer and 42- 60% in winter in Tianjin (Cao et al., 2012b; Gu et al., 380 2011; Li et al., 2009; Zhao et al., 2013c), Shijiazhuang (Zhao et al., 2013c) and 381 Chengde (Zhao et al., 2013c). Higher carbonaceous aerosols in winter should be 382

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related to heating activities and biomass burning in this region (Cheng et al., 2013a; Duan et al., 2004; Tao et al., 2016b; Wang et al., 2007; Yang et al., 2016).

#### 385 2.2.2 The Yangtze River Delta region

#### 386 2.2.2.1 Inter-annual variations in Shanghai

Chemically-resolved PM<sub>2.5</sub> data in YRD covering multiple-years are only 387 available in Shanghai (Ming et al., 2017; Wang et al., 2016a; Ye et al., 2003; Zhao et 388 al., 2015b). Inter-annual variations of OC in this city were within a factor of 1.6 for 389 OC and a factor of 4.1 for EC (Fig. 3b). OC concentrations were relatively steady 390  $(14.0-14.9 \ \mu g \ m^{-3})$  during 1999-2009, but sharply decreased to 9.9-10.1  $\mu g \ m^{-3}$  in 391 2011-2014. EC varied in the range of 4.1 to 6.5  $\mu$ g m<sup>-3</sup> during 1999-2009, and also 392 sharply decreased to 1.6-2.1  $\mu$ g m<sup>-3</sup> in 2011-2014. TC decreased from 19.5  $\mu$ g m<sup>-3</sup> 393 during 1999-2009 to 11.9  $\mu$ g m<sup>-3</sup> in 2011-2014, or nearly 40% reduction, which was 394 much higher than the known measurement uncertainties. Noticeable reduction of OC 395 and EC occurred after 2010 Shanghai World Expo, which resulted in a decrease of 396 397 TC after 2010. BC emission slightly decreased in Shanghai in 2005-2009, but increased in the adjacent Zhejiang and Jiangsu provinces (Qin and Xie, 2012). BC 398 399 emissions in Jiangsu province were much higher than the sum of those in Shanghai and Zhejiang. Thus, the decreased EC concentration in Shanghai was largely a result 400 of local emission reductions. 401

402 Annual  $SO_4^{2-}$  concentration decreased from 14.0 µg m<sup>-3</sup> in 1999-2000 to the 403 range of 11.7 µg m<sup>-3</sup> to 12.5 µg m<sup>-3</sup> during 2009-2014. The trend of SO<sub>2</sub> emission in 404 YRD generally agreed with that across the entire China, which showed an increasing

trend during 2000-2006 and a decrease one during 2006-2010 (Lu et al., 2011). The 405 annual variations in SO<sub>2</sub> emission in YRD (including Shanghai, Jiangsu, and 406 Zhejiang) were relative small, ranging from 3171 Gg year<sup>-1</sup> in 2000, 3506 Gg year<sup>-1</sup> 407 in 2004, 3376 Gg year<sup>-1</sup> in 2007, and to 3397 Gg year<sup>-1</sup> in 2010 (Lu et al., 2010; 408 Zhao et al., 2013a). Annual average SO<sub>2</sub> concentrations in Shanghai were in the 409 range of 45-61  $\mu$ g m<sup>-3</sup> during 2000-2005 and decreased by around 50% to 24-29  $\mu$ g 410  $m^{-3}$  during 2010-2013 (http://www.zhb.gov.cn/). Note that SO<sub>2</sub> emissions in 411 Shanghai only accounted for less than 20% of the total SO<sub>2</sub> emissions in YRD and 412 with small annual variations. The high concentrations of  $SO_4^{2-}$  observed in Shanghai 413 were also closely related to regional transport from north China (e.g. BTH and 414 Shandong province) (Li et al., 2011; Wang et al., 2016a). 415

Annual NO<sub>3</sub><sup>-</sup> concentrations in Shanghai were relatively steady (6.0-7.7  $\mu$ g m<sup>-3</sup>) 416 during 1999-2009, but sharply increased to 13.3  $\mu$ g m<sup>-3</sup> in 2011-2014. NO<sub>x</sub> 417 emissions in YRD also showed an increasing trend during these years, consistent 418 with satellite retrieved vertical column NO<sub>2</sub> densities during 2000-2010 (Zhang et al., 419 2012b; Zhao et al., 2013b). In contrast, surface-level annual NO<sub>2</sub> concentrations in 420 Shanghai sharply decreased from 90  $\mu$ g m<sup>-3</sup> in 2000 to a range of 48-61  $\mu$ g m<sup>-3</sup> 421 422 during 2003-2013 (http://www.zhb.gov.cn/). The inconsistency in the trends between emissions and gaseous and particulate surface air concentrations was also found in 423 Beijing. Photochemistry and regional transport of related pollutants should be the 424 major causes of this phenomenon. 425

426 Annual  $NH_4^+$  concentrations decreased from 5.9 µg m<sup>-3</sup> in 1999-2000 to the

427 levels of 4.1  $\mu$ g m<sup>-3</sup> in 2009 and then increased to 6.6  $\mu$ g m<sup>-3</sup> in 2011-2014. NH<sub>3</sub> 428 emissions increased in 2000-2005 in east China (including BTH, YRD and PRD) and 429 possibly also increased in 2006-2010 due to the lack of control measures for NH<sub>3</sub> in 430 China (Wang et al., 2011). The recently increased NH<sub>4</sub><sup>+</sup> concentrations in Shanghai 431 were likely due to the concurrent increases of NH<sub>3</sub> emissions and NO<sub>3</sub><sup>-</sup> 432 concentrations.

In summary, a decreasing trend was identified in TC, increasing ones for  $NO_3^$ and  $NH_4^+$ , and a stable one for  $SO_4^{2-}$  in Shanghai. The inter-annual variations in EC agreed with its local emission trends in Shanghai rather than regional emission trends. In contrast, inter-annual variations in  $SO_4^{2-}$ ,  $NO_3^-$  and  $NH_4^+$  agreed more with the regional scale emission trends of their respective gaseous precursors in YRD. Similar to Beijing, nonlinear responses of concentration changes of these aerosol components to their respective emission trends were also found in Shanghai.

440 **2.2.2.2 Relative contributions to PM**<sub>2.5</sub>

The chemical compositions in PM<sub>2.5</sub> between the cities in YRD were compared 441 between Shanghai and Nanjing due to the lack of continuous annual data in 442 Hangzhou. A conversion factor of 1.6 between OC and OM was chosen for YRD, 443 slight smaller than that (1.8) chosen for BTH considering the lower impact of 444 biomass burning on PM<sub>2.5</sub> in this region (Feng et al., 2006; Li et al., 2016a). 445 Secondary inorganic aerosols contributed 25-54% of PM<sub>2.5</sub> annually in Shanghai and 446 447 Nanjing, while carbonaceous aerosols contributed 28-47% (Li et al., 2016a; Wang et al., 2016a; Ye et al., 2003; Zhao et al., 2015b). The sum of secondary inorganic 448

aerosols (sulfate, nitrate and ammonium) and carbonaceous aerosols (OM and EC) accounted for 76% and 66% of  $PM_{2.5}$  mass in Shanghai and Nanjing, respectively, which was comparable with those (65%-70%) in BTH.

Seasonal variations of secondary inorganic aerosols contributions to PM<sub>2.5</sub> were 452 small in both cities, e.g., 41-49% in Shanghai and 32-40% in Nanjing (Fig.5). Larger 453 seasonal variations were found for carbonaceous aerosols than secondary inorganic 454 aerosols, e.g., 47% in summer and 33%-39% in other seasons in Shanghai, and 455 456 ranged from 27% (spring) to 65% (autumn) in Nanjing (Cao et al., 2012b; Huang et 457 al., 2014a; Huang et al., 2014b; Li et al., 2016a; Ming et al., 2017; Pathak et al., 2011; Shen et al., 2014; Wang et al., 2016a; Yang et al., 2005b; Ye et al., 2003; Zhao et al., 458 459 2015a).

In Hangzhou, seasonal contributions can only be estimated for summer and winter 2003 (Cao et al., 2012b). Seasonal contribution of secondary inorganic aerosols in winter was 44%, which was evidently higher than that in summer (34%), while carbonaceous aerosols contributed 33-35%. At the rural sites (Ningbo and Lin'an) in Zhejiang province, seasonal contributions of carbonaceous aerosols varied within a small range (28%-34%) in four seasons in 2008-2009, which were comparable with those in Hangzhou (Feng et al., 2015; Liu et al., 2013a).

In summary, the different seasonal average contributions of secondary inorganic aerosols and carbonaceous aerosols in Shanghai and Nanjing were likely due to different local sources in YRD. The seasonal patterns of these chemical components in Shanghai were a result of both local emissions and regional transport, but in 471 Nanjing, the seasonal pattern was mainly determined by local emissions because it is
472 an inland city surrounded by many industrial enterprises including power plants,
473 petrochemical plants, and steel plants.

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# 2.2.3 The Pearl River Delta region

#### 475 **2.2.3.1 Inter-annual variations in Guangzhou**

Inter-annual variations for dominant chemical components were only discussed 476 for Guangzhou in PRD since data for this city were available during 2002-2003, 477 2009-2010 and 2014 (Hagler et al., 2006; Tao et al., 2014c; Tao et al., 2017). Data 478 479 for Shenzhen were only available during 2002-2003 and 2009 (Hagler et al., 2006; Huang et al., 2013) and for Hong Kong during 2000-2001 and 2002-2003 (Hagler et 480 al., 2006; Louie et al., 2005b). Annual OC concentrations decreased significantly 481 from 17.6  $\mu$ g m<sup>-3</sup> in 2002-2003 to 9.0  $\mu$ g m<sup>-3</sup> in 2009-2010, and then to 8.2  $\mu$ g m<sup>-3</sup> in 482 2014 in Guangzhou, while EC slightly increased from 4.4  $\mu$ g m<sup>-3</sup> to 6.0  $\mu$ g m<sup>-3</sup> and 483 then decreased to  $4.0 \ \mu g \ m^{-3}$  during the same periods. Similar to Guangzhou, annual 484 OC concentrations decreased significantly from 11.1 µg m<sup>-3</sup> in 2002-2003 to 8.3 µg 485  $m^{-3}$  in 2009-2010 in Shenzhen, while EC slightly increased from 2.3 µg  $m^{-3}$  to 2.7 µg 486 m<sup>-3</sup>. Apparently, the trends of EC in Guangzhou and Shenzhen were inconsistent 487 with the BC emission trends in Guangdong province during 2005-2009, which 488 showed a slightly decreasing trend (Qin and Xie, 2012). As a result, TC 489 concentrations gradually decreased from 22.0  $\mu g\ m^{\text{-3}}$  to 15.0  $\mu g\ m^{\text{-3}}$  in Guangzhou 490 and from 15.0  $\mu$ g m<sup>-3</sup> to 13.0  $\mu$ g m<sup>-3</sup> in Shenzhen before 2010, similar to Beijing and 491 Shanghai. The reduction of TC was significant in Guangzhou (32%). The same 492

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phenomenon was also observed at a suburban site of Guangzhou (Hagler et al., 2006; Lai et al., 2016).

495	In contrast to the TC trend, annual $SO_4^{2-}$ , $NO_3^{-}$ and $NH_4^{+}$ concentrations in
496	Guangzhou increased from 14.7, 4.0 and 4.5 $\mu g~m^{\text{-3}}$ in 2002-2003 to 18.1, 7.8 and
497	5.1 $\mu g~m^{\text{-3}}$ in 2009-2010 and decreased to 9.3, 2.2 and 3.8 $\mu g~m^{\text{-3}}$ in 2014,
498	respectively. Increases in the concentrations were also found in Shenzhen, e.g., from
499	10.0, 2.3 and 3.2 $\mu g~m^{\text{-3}}$ in 2002-2003 to 11.7, 2.7 and 3.5 $\mu g~m^{\text{-3}}$ in 2009,
500	respectively (Hagler et al., 2006; Huang et al., 2013), and in the suburban areas of
501	Guangzhou, e.g., from 10.4, 0.3 and 2.4 $\mu g~m^{\text{-3}}$ in 2002-2003 to 12.2, 2.0 and 5.2 $\mu g$
502	$m^{-3}$ in 2012-2013, respectively (Hagler et al., 2006; Lai et al., 2016). SO <sub>2</sub> emissions
503	in Guangdong province gradually increased in the previous decade, e.g., 964, 1150,
504	1177 and 1258 Gg year <sup>-1</sup> in 2000, 2004, 2007 and 2010, respectively (Lu et al., 2010;
505	Zhao et al., 2013a). However, $SO_2$ emissions in PRD decreased by more than 40%
506	between 2005 and 2009, due to flue gas desulfurization facilities in power plants and
507	large industrial boilers installed in this region (Lu et al., 2013). Annual average $SO_2$
508	concentrations in Guangzhou gradually increased from 45 $\mu g~m^{\text{-3}}$ in 2000 to 77 $\mu g$
509	$m^{\text{-3}}$ in 2004, and then decreased to 17 $\mu g~m^{\text{-3}}$ in 2014 (http://www.gzepb.gov.cn/).
510	Thus, the increased $SO_4^{2-}$ concentration before 2010 in Guangzhou was largely due
511	to regional transport of pollutants from outside of PRD. The decreased $\mathrm{SO_4}^{2-}$
512	concentration in 2014 in Guangzhou was likely due to flue gas desulfurization
513	facilities in power plants and large industrial boilers implemented across the entire
514	Guangdong province (http://www.gdep.gov.cn/).

515	Meanwhile, $NO_x$ emissions increased in Guangdong province as well as across
516	the entire PRD, similar to the trends in BTH and YRD (Lu et al., 2013; Zhang et al.,
517	2012b; Zhao et al., 2013b). However, annual average surface $NO_2$ concentrations in
518	Guangzhou fluctuated from 61 to 73 $\mu g$ m $^{-3}$ during 2000-2007 and from 48 to 56 $\mu g$
519	m <sup>-3</sup> during 2008-2014 (http://www.gzepb.gov.cn/). An opposite trend was also found
520	between $NO_2$ and $NO_x$ emissions with the former persistently decreased while the
521	latter increased in Guangzhou, although NO3 <sup>-</sup> concentrations also increased. Thus,
522	emissions as well as chemical processes both affected these ions concentrations in air.
523	Annual $NH_4^+$ concentrations slightly increased about 10% before 2010 in
524	Guangzhou and Shenzhen although NH3 emissions changed little during 2002-2006
525	in PRD (Zheng et al., 2012). Thus, the slightly increased $NH_4^+$ concentrations, if not
526	caused by measurement uncertainties, in Guangzhou and Shenzhen during
527	2002-2010 were largely due to the increased $SO_4^{2-}$ and $NO_3^{-}$ , which enhanced the
528	conversion of $NH_3$ to $NH_4^+$ .

In summary, a decreasing trend was identified in TC and increasing trends were 529 found for  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^{+}$  in Guangzhou and Shenzhen before 2010, while all 530 chemical components decreased after 2010 in Guangzhou. The inter-annual 531 variations in EC were inconsistent with BC emission trends in Guangdong province. 532 In contrast, inter-annual variations in  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^{+}$  agreed with regional 533 scale emission trends of their respective gaseous precursors in Guangdong province 534 rather than PRD. Similar to Beijing and Shanghai, nonlinear responses of 535 concentration changes of these aerosol components to their respective emission 536

trends were also found in Guangzhou and Shenzhen.

#### 538 2.2.3.2 Relative contributions to PM<sub>2.5</sub>

539 Data collected in 2002-2003 were discussed since multiple cities (e.g. Guangzhou, Conghua, Zhongshan, Shenzhen and Hong Kong) in PRD have data 540 during this period (Hagler et al., 2006). The conversion factor between OC and 541 OM was chosen to be the same as in YRD (1.6). Secondary inorganic aerosols 542 contributed 33-38%, depending on location, of PM<sub>2.5</sub> annually, while carbonaceous 543 aerosols contributed 37-46%. It is noted that PM<sub>2.5</sub> in Guangzhou was much higher 544 545 than those in other coastal cities (including Zhongshan, Shenzhen and Hong Kong), but the contributions of secondary inorganic aerosols and carbonaceous aerosols 546 were not significantly different between these cities. At rural sites (Tianhu and 547 548 Conghua near Guangzhou and Hok Tsui near Hong Kong), secondary inorganic aerosols and carbonaceous aerosols accounted for 35-48% and 24-43%, respectively, 549 of the PM<sub>2.5</sub> mass, which were similar to those obtained in the cities in PRD (Hagler 550 551 et al., 2006; Lai et al., 2016; Louie et al., 2005b). Thus, the sum of secondary inorganic aerosols and carbonaceous aerosols accounted for 68%-83% of the PM2.5 552 mass in the PRD region, similar to Shanghai (YRD). 553

Although many studies have been conducted in PRD, many of them were short term. Studies covering all four seasons were mainly carried out in Guangzhou and Hong Kong (Fig.6) (Andreae et al., 2008; Cao et al., 2004; Cao et al., 2012b; Cui et al., 2015; Ho et al., 2006a; Huang et al., 2014b; Jung et al., 2009; Lai et al., 2007; Liu et al., 2014a; Louie et al., 2005a; Tan et al., 2009; Tao et al., 2009; Tao et al.,

2014c; Tao et al., 2015b; Tao et al., 2017; Yang et al., 2011b). Seasonal average 559 contributions of secondary inorganic aerosols were generally higher in spring and 560 561 autumn than in summer and winter in both Guangzhou and Hong Kong. If all the years of data were averaged together, secondary inorganic aerosols contributed 43%, 562 31%, 38% and 33% in spring, summer, autumn and winter, respectively, to PM<sub>2.5</sub> in 563 Guangzhou and 45%, 25%, 46% and 37%, respectively, in Hong Kong. However, 564 different seasonal patterns were found between Guangzhou and Hong Kong for 565 carbonaceous aerosols. Carbonaceous aerosols contributed 34%, 37%, 35% and 34% 566 567 in spring, summer, autumn and winter, respectively, to PM<sub>2.5</sub> in Guangzhou and 54%, 47%, 49% and 38%, respectively, in Hong Kong. Seasonal variations of OC/EC 568 ratios ranged from 1.6 to 3.4 in Guangzhou and from 1.2 to 2.1 in Hong Kong, 569 570 suggesting coal combustion and vehicle exhaust were the dominant sources in Guangzhou while vehicle exhaust was the dominant source in Hong Kong (He et al., 571 2008; Watson et al., 2001). 572

#### 573 **2.2.4 Other cities**

Besides the cities in BTH, YRD and PRD, synchronous measurements of PM<sub>2.5</sub> and the dominant chemical components have also been conducted in several cities in other regions of China, mainly in the capital city of a province (e.g. Zhengzhou of Henan province (Geng et al., 2013), Xi'an of Shaanxi province (Wang et al., 2015c), Lanzhou of Gansu province (Wang et al., 2016b), Jinan of Shandong province (Yang et al., 2012), Chengdu of Sichuan province (Tao et al., 2013a; Tao et al., 2014b), Chongqing of Chongqing municipality (Yang et al., 2011b), Changsha of Hunan province (Tang et al., 2017), Xiamen and Fuzhou of Fujian province (Xu et al.,
2012b; Zhang et al., 2016) and Haikou of Hainan province (Liu et al., 2017a)). A
conversion factor of 1.6 between OC and OM was chosen for Fuzhou, Xiamen and
Haikou and 1.8 for other cities based on their geographical locations.

Annual average contributions of secondary inorganic aerosols and carbonaceous 585 aerosols to PM<sub>2.5</sub> were 30% and 36%, respectively, in the island city Haikou, similar 586 to what was found in Beijing, and were 43-46% and 29-36%, respectively, in the 587 588 coastal cities Fuzhou and Xiamen, similar to what was found in Shanghai. Annual 589 contributions of secondary inorganic aerosols ranged from 29% to 39% in inland cities (Zhengzhou, Xi'an, Jinan, Chengdu, Chongqing and Changsha) except 590 591 Lanzhou (15%), which were comparable with those observed in PRD (33-41%). In 592 contrast, large differences were found in the annual contributions of carbonaceous aerosols, ranging from 23% in Zhengzhou to 47% in Chongqing. The sum of 593 secondary inorganic aerosols and carbonaceous aerosols accounted for 56%-79% of 594 595 the  $PM_{2.5}$  mass in these cities.

At an Asian continental outflow site (Penglai in Shandong province), annual average contribution of secondary inorganic aerosols to  $PM_{2.5}$  reached 54% (Feng et al., 2012b), evidently higher than those in urban and inland rural sites in China, while that of carbonaceous aerosols was 31%, close to those in BTH. This finding suggested that intensive emissions of  $SO_2$  and  $NO_x$  in China enhanced the downward transport of secondary inorganic aerosols to the Pacific Ocean.

602 Seasonal average contributions are only shown here for Jinan (Yang et al., 2012),

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Zhengzhou (Geng et al., 2013), Fuzhou (Xu et al., 2012b), Chengdu (Tao et al., 603 2013a; Tao et al., 2014b), Lanzhou (Tan et al., 2016b; Wang et al., 2016b), Xiamen 604 605 (Zhang et al., 2012a), Changsha (Tang et al., 2017), and Haikou (Liu et al., 2017a) due to the incomplete data in Xi'an and Chongqing (Fig. 7). Seasonal contributions 606 607 of secondary inorganic aerosols were evidently higher in summer than in other seasons in Zhengzhou, Jinan and Lanzhou (typical northern cities), similar to what 608 was seen in BTH. In the southwest city Chengdu and the central city Changsha, 609 610 seasonal contribution of secondary inorganic aerosols in spring was only 30% and 611 27%, respectively, lower than other seasons (40-42% and 30-31%, respectively). In the two southern coastal cities Fuzhou and Xiamen, the highest seasonal average 612 contribution of secondary inorganic aerosols was observed in winter (53% and 33%, 613 614 respectively), much higher than in other seasons (34-42% and 21-24%, respectively). In the southern island city Haikou, seasonal contributions of secondary inorganic 615 aerosols were also slightly higher in winter (30%) than in other seasons (21-27%), 616 617 similar to the coastal cities Fuzhou and Xiamen.

Seasonal average contributions of carbonaceous aerosols were evidently higher in cold seasons than in warm seasons in the three northern cities (Zhengzhou and Jinan and Lanzhou) due to heating activities and biomass burning, similar to BTH. Surprisingly, a similar seasonal pattern was also found in one coastal city Xiamen, e.g., 38% in winter versus 27-30% in other seasons. In contrast, higher seasonal contributions were found in warm season than in cold seasons in the southern coastal city (Fuzhou) and the southern island city (Haikou). No seasonal variations were found in the southwest inland city Chengdu (29%-32%) and the central inland city
Changsha (28-33%). The summed contributions of secondary inorganic aerosols and
carbonaceous aerosols were evidently lower in spring than in other seasons in most
of the northern cities (e.g. Jinan, Lanzhou, Zhengzhou, and BTH), likely due to the
frequent spring dust storm events in northern China.

#### 630 **2.2.5 Summary of PM<sub>2.5</sub> chemical properties**

Carbonaceous aerosols showed decreasing trends over the last ten years 631 (2000-2010) in Beijing, Shanghai and Guangzhou, consistent with BC emission 632 trends in these cities and surrounding areas.  $SO_4^{2-}$  and  $NH_4^+$  remained at high levels 633 with no significant trends in Beijing and Shanghai, but with an increasing trend in 634 Guangzhou.  $NO_3^{-1}$  showed increasing trends in all of the above-mentioned megacities. 635 Annual mass concentrations of PM<sub>2.5</sub>, secondary inorganic aerosols, and 636 carbonaceous aerosols showed similar spatial gradients decreasing from high to low 637 latitude regions. 638

Annual average contributions of secondary inorganic aerosols to  $PM_{2.5}$  ranged from 25% to 48% with higher values in southern regions, and those of carbonaceous aerosols ranged from 23% to 47%, also with higher values in southern regions (Fig.8). The percentage contributions of the sum of secondary inorganic aerosols and carbonaceous aerosols were higher in southern cities than in northern cities due to the frequent dust events in the north.

645 The highest seasonal average contributions of secondary inorganic aerosols to 646  $PM_{2.5}$  were observed in summer in most of the northern cities, but can occur in different seasons in southern cities. In contrast, the highest seasonal contributions of
carbonaceous aerosols were observed in cold seasons in most of the northern cities,
and in warm seasons in most of the southern cities. The different seasonal patterns
were largely caused by heating and biomass burning in cold seasons in north China.

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# 2.3 Source apportionment of PM<sub>2.5</sub>

Advantages of receptor-based methods used for source apportionment analysis 652 for various pollutants were discussed in Cheng et al. (2015) and Hopke (2016). 653 Source apportionment studies of PM<sub>2.5</sub> in China using receptor models have also 654 655 been reviewed recently covering a wide range of topics (Liang et al., 2016; Lv et al., 2016; Pui et al., 2014; Zhang et al., 2017a). However, a general summary of 656 spatial-temporal patterns of PM<sub>2.5</sub> source factors and their relative contributions is 657 658 still lacking, which is the focus of the discussion below. Data collected in this section are listed in Table S2 of the SI document. 659

Commonly used receptor models in source apportionment of PM<sub>2.5</sub> in China 660 661 include Principal Component Analysis/Absolute Principal Component Scores (PCA/APCS), Chemical Mass Balance receptor (CMB), Positive Matrix 662 Factorization (PMF), and UNMIX and Multilinear Engine-2 model (ME-2). Among 663 these, PMF and CMB models were the most widely used in China. Quantitative 664 assessments of the uncertainties in using these methods are rare; studies using the 665 same dataset collected in 2000 in Beijing and applying the above-mentioned models 666 suggested that, while the models still identified the same dominant source factors, 667 the relative contributions from these source factors differed by as much as 30% 668

between the different models (Song et al., 2006a, b). Similar magnitudes of 669 uncertainties could also be caused by using different biomass burning tracers despite 670 671 using the same receptor model (Tao et al., 2016b).

Major source factors identified for PM2.5 in most Chinese cities include 672 secondary inorganic aerosols (SIA), coal combustion (COAL), biomass burning 673 (BIOM), traffic emission (TRAF), dust emission (DUST), and industrial emission 674 (INDU). Other source factors were also identified (and sometimes due to using more 675 specific source names), such as metal manufacturing (including iron and steel 676 677 industry, Cu smelting) in industrial cities (e.g. Dongying and Tai'an of Shandong province, Nanjing, Hangzhou, Lanzhou, Chengdu, Chongqing and Changsha), and 678 sea salt and ship emissions in coastal cities (e.g. Longkou of Shandong province, 679 680 Nanjing, Guangzhou, Zhuhai and Hong Kong). Contributions of dominant source factors to PM<sub>2.5</sub> are discussed below in detail on a regional basis. 681

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#### 2.3.1 The Beijing-Tianjin-Hebei region

683 Studies in Beijing covered multiple years and mostly used the PMF model. If averaging the results from the years in 2000 (Song et al., 2006b), 2001-2004 (Zhang 684 et al., 2007), 2009-2010 (Zhang et al., 2013a), and 2012-2013 (Zíková et al., 2016), 685 the six source factors (SIA, COAL, BIOM, TRAF, DUST, and INDU) accounted for 686 31±12%, 16±4%, 12±1%, 16±13%, 12±7%, and 9±11%, respectively, of the PM<sub>2.5</sub> 687 mass in Beijing. There was an increasing trend for SIA contributions (from 19% to 688 48%), a decreasing trend for COAL (from 19% to 11%), and a stable trend for BIOM 689 (11-12%) during 2000-2013. There was more uncertainties in identifying TRAF and 690

691 INDU than other source factors due to the differences in the source profiles.

A study in Tianjin in 2013-2014 only identified four dominant sources (SIA, 692 693 COAL, TRAF, and DUST) using Multilinear Engine-2 model (ME-2), which accounted for 41%, 25%, 14%, and 20%, respectively, of the annual average PM<sub>2.5</sub> 694 mass (Tian et al., 2016). Compared with results in 2012-2013 in Beijing (Zíková et 695 al., 2016), the contributions of SIA were comparable in the two cities, but those of 696 COAL and DUST were much higher in Tianjin than Beijing. However, the results 697 698 from an earlier study in Tianjin in 2009-2010 were much more comparable to those 699 in Beijing during the same years in terms of PM<sub>2.5</sub> levels and source attributions (Zhao et al., 2013c), implying faster decrease of COAL contribution in Beijing than 700 701 Tianjin.

702 Seasonal results of source apportionment analysis are also available for Beijing (Huang et al., 2014b; Song et al., 2007; Wu et al., 2014; Zheng et al., 2005; Zhang et 703 al., 2013a; Zíková et al., 2016). In most cases, SIA was the largest contributor in 704 spring, summer and autumn, accounting for 26-61% of the PM<sub>2.5</sub> mass, while COAL 705 was the largest contributor in winter, accounting for 13-57% of the PM<sub>2.5</sub> mass. The 706 707 contributions of the other sources were lower than those of SIA and COAL, but subject to seasonal variations. For example, the largest seasonal contribution of 708 BIMO was in autumn and of DUST in spring. 709

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#### 2.3.2 The Yangtze River Delta region

Studies for one year or longer were only made in Nanjing (Li et al., 2016a) and
Hangzhou (Liu et al., 2015). Metal manufacturing was identified as a source factor in

713	both Nanjing and Hangzhou, while ship emissions were also identified in Nanjing.
714	Annual contributions of SIA to $PM_{2.5}$ mass reached 68% in Nanjing while all the
715	other sources (COAL, DUST, sea salt and ship emissions, and metal manufacturing)
716	each contributed 10% or less. In contrast, metal manufacturing, SIA, TRAF, and
717	COAL accounted for 32%, 28%, 17%, and 13%, respectively, of the $PM_{2.5}$ mass in
718	Hangzhou. Evidently, the contributions of SIA in Nanjing were much higher than
719	those in Hangzhou and cities in BTH. The contributions of COAL in Nanjing and
720	Hangzhou were similar, but were evidently lower than those in cities in BTH.
721	Similar to the cities in BTH, the largest seasonal average contribution of SIA in

Nanjing was in summer and of COAL in winter. Only winter data was available in Shanghai (Huang et al., 2014b), and the contributions of SIA and DUST to  $PM_{2.5}$ were similar between Shanghai and Nanjing.

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#### 2.3.3 The Pearl River Delta region

Studies covering one year or longer were available in Guangzhou (Tao et al., 726 2017), Shenzhen (Huang et al., 2013), Hong Kong (Guo et al., 2009a), and suburban 727 Zhuhai (Tao et al., 2017) and suburban Hong Kong (Huang et al., 2014c). On an 728 annual basis, SIA contributed 50% to PM2.5 mass in Guangzhou while other sources 729 730 (ship emissions, COAL, TRAF, and DUST) each contributed 7-17%. In Shenzhen, SIA, TRAF and BIOM accounted for 39%, 27%, and 10%, respectively, of the PM<sub>2.5</sub> 731 mass. In Hong Kong, SIA, TRAF, oil residue (related to Ni and V, or ship emissions), 732 DUST, and sea salt accounted for 28%, 23%, 19%, 10%, and 7%, respectively, of the 733 PM<sub>2.5</sub> mass. 734

Slightly different sources factors were identified in suburban studies. Annual contributions from mixed source (from regional transport), secondary nitrate and chloride, ship emissions, COAL, and electronic industries accounted for 36%, 20%, 18%, 13%, and 13%, respectively, of the  $PM_{2.5}$  mass in suburban Zhuhai, while SIA, BIOM, sea salt, residual oil combustion (related to Ni and V, or ship emission), DUST, and TRAF accounted for 39%, 20%, 17%, 12%, 7%, and 5%, respectively, of the  $PM_{2.5}$  mass in suburban Hong Kong.

Despite the slightly different source factors identified between urban and suburban sites in PRD, SIA was the largest contributor to  $PM_{2.5}$  mass in this region. Ship emissions were identified in this region, but not in northern China, and this source factor contributed more than 10% of the  $PM_{2.5}$  mass in all the studies except the one for Shenzhen. Similar to the cities in northern China, the high contribution from coal combustion was also found in Guangzhou and suburban Zhuhai.

Seasonal results of source apportionment analysis were available for four seasons 748 749 in suburban Hong Kong (Huang et al., 2014c), winter in Guangzhou (Huang et al., 2014b), and summer and winter in Foshan (Tan et al., 2016c) and Hong Kong (Ho et 750 751 al., 2006b). SIA was the largest contributor to PM<sub>2.5</sub> among all the identified source factors in every season in suburban Hong Kong (30-45%) and in winter in 752 Guangzhou (59%). In contrast, INDU was the largest contributor in winter in Foshan 753 (39%), a typical industrial city in PRD (Tan et al., 2016c). In suburban Hong Kong, 754 755 seasonal average contribution of SIA was the lowest in summer, different from what was found for cities in BTH and YRD, while that of sea salt and ship emissions were 756

the highest in summer due to the prevailing air masses from the South China Sea(Huang et al., 2014c).

#### 759 **2.3.4 Other cities**

Studies covering one year or longer were mostly conducted for provincial capital 760 761 cities including Jinan (Yang et al, 2013), Zhengzhou (Geng et al., 2013), Xi'an (Wang et al., 2015c), Lanzhou (Wang et al, 2016b), Chengdu (Tao et al., 2014a), 762 Chongqing (Chen et al., 2017), Changsha (Tang et al., 2017), Wuhan (Xiong et al., 763 2017), Xiamen (Zhang et al., 2016) and Haikou (Liu et al., 2017a), and for an inland 764 765 city Heze (Liu et al., 2017b) and a regional background site (located in Yellow River Delta National Nature Reserve in Dongying city) (Yao et al., 2016) both in Shandong 766 province. Annual results were available from most studies, but were aggregated from 767 768 seasonal results for Wuhan and Haikou. All the sites were grouped into four regions for easy discussion, i.e., northwest China (Lanzhou and Xi'an), southwest China or 769 Sichuan basin (Chengdu and Chongqing), eastern and central China (Jinan, 770 Zhengzhou, Heze, Dongying, Wuhan and Changsha), and south coastal cities 771 (Xiamen and Haikou). 772

The two northwest cities showed the same top four dominant source factors, although with slightly different percentage contributions to  $PM_{2.5}$  mass, e.g., 29% from SIA, 19% from COAL, 17% from DUST, and 15% from TRAF in Xi'an, and 17% from SIA and 22% from the other three sources in Lanzhou. The lower SIA contribution in Lanzhou was likely due to the dry climate inhibiting formation of SIA. Similar results to those in Xi'an were also obtained in rural Xi'an, with SIA,

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779	COAL, DUST and TRAF contributing 31%, 16%, 20% and 13%, respectively, to the
780	$PM_{2.5}$ mass (Wang et al., 2015c). The two southwest cities (Chengdu and Chongqing)
781	showed nearly the same source-apportionment analysis results with SIA contributing
782	just below 40% and COAL and INDU each contributing around 20% to $PM_{2.5}$ mass.
783	The same top four dominant source factors (SIA, COAL, DUST and TRAF)
784	found in the two northwest cities were also found in other capital cities (Jinan,
785	Zhengzhou, and Wuhan, except Changsha) and a medium size city (Heze) in eastern
786	and central China, which accounted for 24-55%, 14-23%, 5-26% and 5-27% of the
787	$PM_{2.5}$ mass, depending on location. In Changsha, SIA, mixed source of INDU and
788	BIOM, and DUST accounted for 60%, 27%, and 13%, respectively, to $PM_{2.5}$ mass.
789	SIA, BIOM and INDU were the most important sources, accounting for 54%, 16%,
790	and 16%, respectively, of $PM_{2.5}$ mass in a regional background site in Dongying.
791	Similar source-apportionment results were found between the two south coastal
792	cities (Xiamen and Haikou) with SIA, TRAF, DUST, COAL, and sea salt accounting
793	for 20-27%, 16-21%, 12-22%, 8-9% and 6-10%, respectively, of the $PM_{2.5}$ mass.
794	Seasonal results of source apportionment analysis are available for four seasons
795	in Jinan (Yang et al., 2013), a regional site in Dongying (Yao et al., 2016), Chengdu
796	(Tao et al., 2014a), Chongqing (Chen et al., 2017), Wuhan (Xiong et al., 2017) and
797	Haikou (Liu et al., 2017a), for summer and autumn in Tai'an of Shandong province
798	(Liu et al., 2016a) and Xi'an (Xu et al., 2016), for summer and winter in Lanzhou
799	(Tan et al., 2017), and for winter in Longkou (a coastal site in Shandong province)
800	(Zong et al., 2016).

In most seasons, SIA was the largest contributor to PM<sub>2.5</sub> mass, e.g., in Jinan 801 (30-45%), Tai'an (27%), a regional site in Dongying (35-72%), Chengdu (33-44%), 802 803 Chongqing (24-52%), Wuhan (23-41%), Lanzhou (15-33%), and Haikou (11-26%), except during spring in Wuhan and summer in Haikou when DUST was the largest 804 805 contributor, during winter in Longkou and Xi'an when COAL was the largest contributor, and during summer in Lanzhou when smelting industry was the largest 806 contributor. Only winter data was available in Longkou, and ship emissions 807 contributed 9% to PM<sub>2.5</sub> mass, similar to what was found in the cities of PRD. 808

#### 809 2.3.5 Summary of PM<sub>2.5</sub> source apportionment studies

SIA, COAL and TRAF were the dominant source factors in most cities in China. 810 811 On an annual average, the sum of these three factors accounted for 63%-80% of 812 PM<sub>2.5</sub> mass in the cities of BTH region, 58%-78% in the cities of YRD region, 51%-67% in the cities of PRD region, 51%-61% in the northwest cities, 57%-60% in 813 the southwest cities, 57%-82% in the eastern and central cities, and 44%-57% in the 814 815 south coastal cities. The contributions of DUST were significant (7-26%) in northern cities and a central city (Zhengzhou), of INDU significant (19-27%) in typical 816 817 industrial cities (e.g. Chengdu, Chongqing, Changsha), and of ship emission significant (7-19%) in coastal and river cities (e.g. Longkou, Nanjing, Guangzhou, 818 Zhuhai, Hong Kong). High seasonal contributions were found for SIA in summer, 819 COAL in winter, DUST in spring, and ship emission in summer in applicable cities. 820 821 It should be noted that SIA chemical compounds are formed by reactions in the

822 atmosphere involving primary emissions of gaseous precursors that can be produced

from any of the identified sources factors discussed above as well as from sources 823 seldom mentioned in source apportionment studies such as agricultural emissions 824 825 and many natural sources. However, sources of the gaseous precursors are often undetermined in source apportionment studies resulting in a large proportion of 826 PM<sub>2.5</sub> that cannot be explained (Karagulian et al., 2015). If the SIA contributions 827 can be allocated to specific types of primary emissions, the overall percentage 828 contributions from each of the identified source factor should be much higher, 829 especially for COAL, TRAF, INDU and BIMO due to their high emission rates of 830 831 primary pollutants of gaseous species. To identify the various types of primary emission sources, datasets containing trace element and other chemical markers need 832 to be included in source apportionment models (Lee and Hopke, 2006). Combining 833 834 receptor-based analysis results with source-based studies using chemical transport models can provide a more complete picture by quantifying contributions of 835 dominant emission sources to  $PM_{2.5}$  pollution. 836

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#### **3.** Aerosol optical properties

There were much fewer measurements of aerosol optical properties than chemically resolved PM<sub>2.5</sub> data in China. Data reviewed in this section are all listed in Table S3 of the supplement document. Measurements were available at urban sites including Beijing in BTH (Bergin et al., 2001; Garland et al., 2009; Han et al., 2014; He et al., 2009; Jing et al., 2015; Liu et al., 2009; Tian et al., 2015; Tao et al., 2015a; Wu et al., 2016; Zhao et al., 2011), Shanghai (Cheng et al., 2015; Feng et al., 2014; Han et al., 2015; Huang et al., 2014a; Li et al., 2013a; Xu et al., 2012a; Zha et al.,

2014), Nanjing (Kang et al., 2013), and Shouxian (Anhui province) in YRD (Fan et 845 al., 2010), Guangzhou, Shenzhen and Hong Kong in PRD (Andreae et al., 2008; 846 847 Cheng et al., 2006a; Cheng et al., 2006b; Cheng et al., 2008a; Gao et al., 2015; Garland et al., 2008; Jung et al., 2009; Lan et al., 2013; Man and Shih, 2001; Tao et 848 849 al., 2014c; Verma et al., 2010; Wu et al., 2009; Wu et al., 2013), Chengdu in southwest China (Tao et al., 2014b; Wang et al., 2017a), and Xi'an in northwest 850 China (Cao et al., 2012a; Zhu et al., 2015), rural sites including rural Beijing 851 (Shangdianzi) and rural Tianjin (Wuqing) in BTH (Ma et al., 2011; Yan et al., 2008; 852 853 Zhao et al., 2011), and remote sites in north and northwest China (Li et al., 2010; Xu et al., 2004; Yan, 2007). Sites with one year or longer data included Beijing, rural 854 Beijing, Shanghai, Guangzhou, Chengdu, Xi'an and Shouxian. 855

856 Aerosol optical depth (AOD), representing the integrated light extinction coefficient in a vertical column, can be obtained from MODerate-resolution Imaging 857 Spectroradiometer (MODIS) data. Satellite retrievals of AOD have been widely 858 859 applied to estimate surface PM<sub>2.5</sub> concentrations using statistical models (Liu et al., 2005; Hu et al., 2013; Ma et al., 2014; Wang and Christopher, 2003). Although the 860 correlation between AOD and PM<sub>2.5</sub> mass concentration depends on many factors, 861 such as aerosol size distribution, refractive index, single-scattering albedo, and 862 863 meteorological factors (Che et al., 2009; Guo et al., 2009b; Guo et al., 2017), the predicted PM<sub>2.5</sub> mass from satellite AOD data compared well with ground-level 864 measurements (Ma et al., 2014; Xie et al., 2015b). Moreover, the spatial distributions 865 of AOD measured using sun photometers mostly agreed with those retrieved from 866

satellite data (Che et al., 2014; Che et al., 2015; Liu et al., 2016b; Pan et al., 2010). Spatial distributions of annual average AOD in 2014 are shown in Fig. 11. The spatial distributions of  $PM_{2.5}$  shown in Fig. 2 are similar to the patterns of AOD shown in Fig. 11. Differences in fine structures of their patterns were due to surface  $PM_{2.5}$  versus column AOD comparison and spatial variations in  $PM_{2.5}$  chemical composition.

In this section, geographical patterns of the aerosol optical properties including b<sub>sp</sub> and b<sub>ap</sub> measured on ground base in major Chinese cities are first discussed (section 3.1). Temporal patterns of b<sub>sp</sub> and b<sub>ap</sub> on annual and seasonal scales are then discussed for major regions (section 3.2). Fewer studies were available for b<sub>ap</sub> than b<sub>sp</sub>, however, the measured BC concentrations (at 880 nm wavelength) can be converted to b<sub>ap</sub> (at 532 nm wavelength) by a factor of 8.28 m<sup>2</sup> g<sup>-1</sup> (Wu et al., 2009).

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# **3.1 Geographical patterns**

Annual average b<sub>sp</sub> and b<sub>ap</sub> from ground measurements in major cities in China 880 are plotted in Fig. 12. Most b<sub>sp</sub> measurements were conducted using a nephelometer 881 under RH<60%. The highest annual b<sub>sp</sub> was in Xi'an (525 Mm<sup>-1</sup>, RH<60%) (Cao et 882 al., 2012a), followed by Chengdu (456 Mm<sup>-1</sup>, RH<40%; 421 Mm<sup>-1</sup>, ambient RH) 883 (Tao et al., 2014b; Wang et al., 2017a), Guangzhou (326 Mm<sup>-1</sup>, RH<70%) (Tao et al., 884 2014c), Beijing (309 Mm<sup>-1</sup>, RH<60%) (He et al., 2009; Jing et al., 2015; Zhao et al., 885 2011), and Shanghai (217 Mm<sup>-1</sup>, RH<60%) (Cheng et al., 2015b). Such spatial 886 patterns were mainly due to the spatial patterns of annual PM<sub>2.5</sub> mass, i.e. Xi'an (177 887  $\mu g m^{-3}$ ) > Chengdu (111  $\mu g m^{-3}$ ) > Beijing (108  $\mu g m^{-3}$ ) > Shanghai (77  $\mu g m^{-3}$ ) > 888

Guangzhou (65  $\mu$ g m<sup>-3</sup>), and partly due to humidity conditions, e.g., Beijing versus Guangzhou. Noticeably, b<sub>sp</sub> in Shouxian County was higher than those in several megacities (e.g. Beijing, Shanghai and Guangzhou), suggesting hazy weather also frequently occurred even in small cities in China (Fan et al., 2010). b<sub>sp</sub> in rural Beijing was 179 Mm<sup>-1</sup> (Yan et al., 2008; Zhao et al., 2011), which was much lower than that in urban Beijing, but was close to the level in Shanghai.

Annual average b<sub>ap</sub> ranged from 37 to 96 Mm<sup>-1</sup> with higher values observed in 895 Chengdu and Xi'an (likely due to popular biomass burning besides large amount of 896 897 coal burning) (Cao et al., 2012a; Tao et al., 2014a; Tao et al., 2014b; Wang et al., 2017a; Zhang et al., 2014b), and lower values in Shouxian and rural Beijing (Fan et 898 al., 2010; Yan et al., 2008; Zhao et al., 2011). b<sub>ap</sub> in Guangzhou was higher than that 899 in Beijing and Shanghai despite their similar PM<sub>2.5</sub> EC levels, likely due to the 900 different coating of EC in Guangzhou than in other cities. For example, the mass 901 absorption of EC in Guangzhou was 8.5 m<sup>2</sup> g<sup>-1</sup> (at 532 nm) in autumn 2004 (Andreae 902 et al., 2008), which was higher than that (4.2 m<sup>2</sup> g<sup>-1</sup> at 870 nm, equivalent to 7.2 m<sup>2</sup> 903  $g^{-1}$  at 532 nm) in winter 2013 in Beijing (Wu et al., 2016). 904

905 **3.2 Temporal patterns** 

#### 906 **3.2.1 The Beijing-Tianjin-Hebei region**

b<sub>sp</sub> measurements in BTH longer than one year were only available in Beijing, including the years of 2005, 2006, 2008-2009 and 2009-2010 (He et al., 2009; Jing et al., 2015; Zhao et al., 2011). Annual  $b_{sp}$  in Beijing increased by 36% from 264 Mm<sup>-1</sup>

910 in 2005 to 360  $Mm^{-1}$  in 2009-2010, while  $PM_{2.5}$  increased by 20% from 107 to 129

 $\mu$ g m<sup>-3</sup> during the same period. However, annual b<sub>ap</sub> in 2009-2010 was 64 Mm<sup>-1</sup>, which was slightly higher than 56 Mm<sup>-1</sup> in 2005-2006, although the annual EC in 2009-2010 was evidently lower than that in 2005-2006. Meanwhile, annual secondary inorganic aerosols in 2009-2010 were evidently lower than that in 2005-2006. The coating by secondary inorganic aerosols likely enhanced the absorption of EC (Bond et al., 2006; Cheng et al., 2009; Yu et al., 2010).

b<sub>sp</sub> measurements in rural Beijing included the years 2003-2005 (175  $Mm^{-1}$ ) and 2008-2009 (182  $Mm^{-1}$ ), while b<sub>ap</sub> only included the years of 2003-2005 (18  $Mm^{-1}$ ) (Yan et al., 2008; Zhao et al., 2011). Considering all of the above-mentioned data together, we can conclude that b<sub>sp</sub> and b<sub>ap</sub> showed slightly increasing tendencies in urban and rural Beijing in recent years.

922 Seasonal variations of  $b_{sp}$  and  $b_{ap}$  at urban and rural sites in Beijing are plotted in Fig. 13. The highest seasonal average b<sub>sp</sub> in Beijing was observed in winter and the 923 lowest in spring with seasonal variations up to a factor of 1.7 (Bergin et al., 2001; 924 925 Garland et al., 2009; Han et al., 2014; He et al., 2009; Jing et al., 2015; Li et al., 2013b; Liu et al., 2009; Tao et al., 2015a; Tian et al., 2015; Zhao et al., 2011). A 926 927 different seasonal pattern was seen at the rural site located north of Beijing, which showed 10-26% higher values in summer than in the other seasons (Yan et al., 2008; 928 Zhao et al., 2011). The highest seasonal b<sub>sp</sub> in winter in Beijing was consistent with 929 the highest seasonal PM<sub>2.5</sub> mass. However, in rural Beijing the highest PM<sub>2.5</sub> mass 930 931 was observed in spring due to the frequent dust storm events, and the second highest seasonal average PM<sub>2.5</sub> mass in summer which corresponded to the highest seasonal 932

b<sub>sp</sub>. This is because scattering efficiency of dust aerosols was lower than that of
anthropogenic aerosols (Zhao et al., 2011).

935 The highest seasonal  $b_{ap}$  in Beijing appeared in autumn and the lowest in spring with seasonal variations up to a factor of 2.0 (Bergin et al., 2001; Garland et al., 2009; 936 937 He et al., 2009; Jing et al., 2015; Li et al., 2013c; Liu et al., 2009; Tian et al., 2015; Wu et al., 2016). Seasonal variations of  $b_{ap}$  were different from those of  $b_{sp}$  due to 938 their dependence on different chemical compounds, i.e. b<sub>sp</sub> mainly on PM mass 939 while b<sub>ap</sub> mainly on EC mass in PM and its coating. In rural Beijing b<sub>ap</sub> was lower 940 941 by 19%~57% in summer than in other seasons, and with similar seasonal variations to b<sub>sp</sub>, suggesting aerosols in rural Beijing mainly came from regional transport (Yan 942 943 et al., 2008).

At the rural site in Tianjin (Wuqing) located between Beijing and Tianjin, only spring and summer 2009 and winter 2010 data were available, which gave a seasonal average of 280 Mm<sup>-1</sup> in spring, 379 Mm<sup>-1</sup> in summer, and 485 Mm<sup>-1</sup> in winter for  $b_{sp}$ , and 47 Mm<sup>-1</sup> in spring and 43 Mm<sup>-1</sup> in summer for  $b_{ap}$  (Fig. 13) (Chen et al., 2014b; Ma et al., 2011). These seasonal values in Wuqing were higher than those observed at the rural sites near Beijing, likely because Wuqing is close to and downwind of Tianjin and Hebei province where major pollutant sources are located.

# 951 **3.2.2 The Yangtze River Delta and Pearl River Delta region**

No multi-year  $b_{sp}$  measurement data were available for exploring inter-annual variations, although multi-year measurements of BC or  $b_{ap}$  were made in Shanghai

954	(YRD) and Guangzhou (PRD). Annual $b_{ap}$ in 2011-2012 (19 Mm <sup>-1</sup> ) was evidently
955	lower than that in 2010 (31 Mm <sup>-1</sup> ) in Shanghai (Feng et al., 2014; Zha et al., 2014),
956	consistent with the trend of EC, e.g. annual concentration of EC in 2012 (2.0 $\mu g \ m^{\text{-3}})$
957	was only half of that in 2009 (4.1 $\mu g$ m $^{\text{-3}}$ ) (Wang et al., 2016a; Zhao et al., 2015b). In
958	Guangzhou, annual $b_{ap}$ in 2007 (51 Mm <sup>-1</sup> ) was also evidently lower than that in 2004
959	(90 $Mm^{\text{-1}})$ (Wu et al., 2009), while EC in 2006-2007 (4.0 $\mu g~m^{\text{-3}})$ was similar or
960	slightly lower than that in 2002-2003 (4.4 $\mu g~m^{\text{-3}}$ ) (Hagler et al., 2006; Huang et al.,
961	2012). Thus, the inter-annual variations in $b_{ap}$ were mainly determined by EC trends
962	in the same cities.

 $b_{sp}$  and  $b_{ap}$  in winter were evidently higher than those in spring in Shanghai, 963 consistent with the seasonal patterns of PM<sub>2.5</sub> and EC, respectively (Fig. 13) (Cao et 964 965 al., 2012b; Cheng et al., 2015; Feng et al., 2014; Han et al., 2015; Huang et al., 2014a; Li et al., 2013a; Pathak et al., 2011; Wang et al., 2016a; Xu et al., 2012a; Ye 966 et al., 2003; Zha et al., 2014; Zhao et al., 2015a). Similar seasonal variations were 967 968 found for b<sub>sp</sub> and b<sub>ap</sub> in the two PRD cities (Guangzhou and Hong Kong), which also agreed with the patterns of PM<sub>2.5</sub> and EC (Andreae et al., 2008; Cao et al., 2004; Cao 969 970 et al., 2012b; Cui et al., 2015; Gao et al., 2015; Huang et al., 2014b; Jung et al., 2009; Lai et al., 2007; Liu et al., 2014a; Louie et al., 2005a; Pathak et al., 2011; Tao et al., 971 972 2009; Tao et al., 2014c; Tao et al., 2015b; Tao et al., 2017; Verma et al., 2010; Wu et al., 2009; Wu et al., 2013). The highest b<sub>sp</sub> and b<sub>ap</sub> appeared in winter and the lowest 973 974 in summer with seasonal variations up to a factor of 3.1 and 17.1 for  $b_{sp}$ , 2.3 and 5.9 for b<sub>ap</sub>, in Guangzhou and Hong Kong, respectively. 975

#### 976 **3.2.3 Other cities**

In Chengdu of southwest China, the highest b<sub>sp</sub> appeared in winter and the 977 lowest in summer with seasonal variations up to a factor of 1.9, which was consistent 978 with the seasonal pattern of PM<sub>2.5</sub> (Tao et al., 2014a, b). However, the highest b<sub>ap</sub> 979 appeared in spring despite the highest EC in winter (Tao et al., 2014b). One 980 explanation could be due to the large amount of OC emitted from biomass burning in 981 spring, which enhanced the absorption of EC (Schnaiter et al., 2005; Tao et al., 982 2013b).  $b_{sp}$  and  $b_{ap}$  in winter were evidently higher than those in summer in Xi'an in 983 northwest China, consistent with the seasonal patterns of PM<sub>2.5</sub> and EC, respectively 984 (Cao et al., 2009; Cao et al., 2012a; Wang et al., 2015c). 985 Seasonal measurements of  $b_{sp}$  and  $b_{ap}$  were also made at remote sites (Dunhuang, 986 Yulin, and Zhangye of Gansu province, Dongsheng of Inner Mongolia) focusing on 987 988 dust aerosols and only covered spring and winter (Li et al., 2010; Xu et al., 2004;

989 Yan, 2007).  $b_{sp}$  in winter ranged from 303 to 304 Mm<sup>-1</sup>, which doubled those in 990 spring (126 to 183 Mm<sup>-1</sup>).

# 4. Relationships between aerosol optical properties and PM<sub>2.5</sub> mass concentrations

#### 993 **4.1 Mass scattering efficiency of PM**<sub>2.5</sub>

b<sub>sp</sub> and PM<sub>2.5</sub> mass concentration have been found to correlate well in numerous field studies (Andreae et al., 2008; Han et al., 2015; Hand and Malm, 2007b; Jung et al., 2009; Pu et al., 2015; Tao et al., 2014b; Tao et al., 2014c; Tao et al., 2015a; Tao et al., 2016a; Tian et al., 2015; Wang et al., 2012b; Zhao et al., 2011). A parameter 998 describing their relationship is defined as mass scattering efficiency (MSE), which is 999 the slope of the linear regression of  $b_{sp}$  against PM<sub>2.5</sub> mass. MSE was found to vary 1000 with location and season due to the variations in PM<sub>2.5</sub> chemical composition. Some 1001 of the variations may due to different sampling conditions, e.g., ambient (controlled 1002 RH<60%) versus dry condition (controlled RH<40%), online versus filter-based 1003 PM<sub>2.5</sub> sampling. Available MSE data are discussed here, although uncertainties from 1004 measurements will not be addressed in this study.

In BTH, annual average  $PM_{2.5}$  MSE was higher in Beijing (5.9 m<sup>2</sup> g<sup>-1</sup>) than in 1005 rural Beijing (4.8 m<sup>2</sup> g<sup>-1</sup>) based on online PM<sub>2.5</sub> mass (Zhao et al., 2011). In urban 1006 Beijing in winter of 2013,  $PM_{25}$  MSE increased to 4.9 m<sup>2</sup> g<sup>-1</sup> during a heavy 1007 pollution episode and decreased to 3.6 m<sup>2</sup> g<sup>-1</sup> during clean days, due to a large 1008 fraction of soluble inorganic components (e.g. (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NH<sub>4</sub>NO<sub>3</sub>) in PM<sub>2.5</sub> 1009 under heavy polluted conditions (Tao et al., 2015a). In rural Beijing in 2005-2010, 1010 dust episodes had lower  $PM_{2.5}$  MSE (0.7 m<sup>2</sup> g<sup>-1</sup>) and anthropogenic pollution 1011 episodes had higher PM<sub>2.5</sub> MSE ( $4.3 \text{ m}^2 \text{ g}^{-1}$ ) (Pu et al., 2015). 1012

In YRD, annual average  $PM_{2.5}$  MSE ranged from 3.8 m<sup>2</sup> g<sup>-1</sup> in Ningbo to 5.3 m<sup>2</sup> g<sup>-1</sup> in Hangzhou with a regional urban average (including cities of Nanjing, Shanghai, Suzhou, Hangzhou and Ningbo) of 4.1 m<sup>2</sup> g<sup>-1</sup> in 2011-2012 (Cheng et al., 2013b). PM<sub>2.5</sub> MSE in Lin'an (4.0 m<sup>2</sup> g<sup>-1</sup>), a rural site of YRD, was close to the regional urban average value in YRD (Xu et al., 2002). PM<sub>2.5</sub> MSE in Shanghai reached 5.6 m<sup>2</sup> g<sup>-1</sup> in winter of 2012 (Han et al., 2015), which was higher than that in Beijing in the same season (Tao et al., 2015a). In PRD, annual average  $PM_{2.5}$  MSE in Guangzhou was 3.5 m<sup>2</sup> g<sup>-1</sup> with seasonal average ranging from 2.3 m<sup>2</sup> g<sup>-1</sup> in summer to 4.5 m<sup>2</sup> g<sup>-1</sup> in autumn in 2009-2010 (Tao et al., 2014c). These values were close to 4.2 m<sup>2</sup> g<sup>-1</sup> (Andreae et al., 2008) and 2.7 m<sup>2</sup> g<sup>-1</sup> (Jung et al., 2009) measured in the same city in autumn of 2004. However, PM<sub>2.5</sub> MSE in rural Guangzhou (Wanqingsha, south of Guangzhou) was 5.3 m<sup>2</sup> g<sup>-1</sup> (Wang et al., 2012), which was evidently higher than that in Guangzhou in the same season (Tao et al., 2014c).

In southwest China, seasonal average  $PM_{2.5}$  MSE ranged from 3.5 to 4.4 m<sup>2</sup> g<sup>-1</sup> in Chengdu in 2011 (Tao et al., 2014b). In northwest China,  $PM_{2.5}$  MSE was 3.0 m<sup>2</sup>  $g^{-1}$  for anthropogenic pollution and 1.0 m<sup>2</sup> g<sup>-1</sup> for dust pollution at a remote site (Yulin, located at the interface of the desert and loess regions, Shanxi province), which was similar to rural Beijing (Xu et al., 2004).

In summary, annual  $PM_{2.5}$  MSE typically ranged from 3.5 to 5.9 m<sup>2</sup> g<sup>-1</sup> in urban areas in China with higher values in north China and lower values in south China. Seasonal average  $PM_{2.5}$  MSE typically ranged from 2.3 to 5.6 m<sup>2</sup> g<sup>-1</sup> with higher values in winter and autumn and lower values in spring and summer. Generally,  $PM_{2.5}$  MSE typically ranged from 3.0 to 5.0 m<sup>2</sup> g<sup>-1</sup> for anthropogenic pollution and from 0.7 to 1.0 m<sup>2</sup> g<sup>-1</sup> for natural dust aerosols.

### 1038

#### 4.2 Mass absorption efficiency of EC and organic matter

EC is the dominant absorption species in  $PM_{2.5}$ . Similar to  $PM_{2.5}$  MSE, the slope between  $b_{ap}$  and EC mass was defined as mass absorption efficiency (MAE) of EC. Various instruments have been used to measure  $b_{ap}$  including aethalometer, 1042 multi-angle absorption photometer (MAAP), Radiance Research Particle Soot Absorption Photometer (PSAP), and Photoacoustic Spectrometer (PAS), with the 1043 1044 former two instruments measuring attenuation of the sample on the filter for estimating BC mass concentration, and the latter two measuring b<sub>ap</sub> directly. Most 1045 1046 studies in China used an aethalometer and MAAP. BC mass concentrations (880nm) were converted to  $b_{ap}$  (532nm) using an empirical constant of 8.28 m<sup>2</sup> g<sup>-1</sup>, which was 1047 obtained by the regression between BC mass and bap synchronously measured in 1048 autumn in Guangzhou, keeping in mind that application of an empirical constant 1049 obtained from one specific study to other cases may cause large uncertainties (Wu et 1050 1051 al., 2009).

EC MAE was 7.5-8.5 m<sup>2</sup> g<sup>-1</sup> in winter and 9.4 m<sup>2</sup> g<sup>-1</sup> in summer in Beijing (632) 1052 nm) (Cheng et al., 2011; Wu et al., 2016). The higher EC MAE in summer was likely 1053 due to more coating of EC in higher ambient humidity (Wu et al., 2016). BC MAE 1054 was 6.5 m<sup>2</sup> g<sup>-1</sup> at 532 nm in autumn in Shenzhen of PRD (Lan et al., 2013). However, 1055 BC MAE was 12.4  $m^2 g^{-1}$  at 532 nm in winter in Xi'an (Wang et al., 2014a). 1056 Moreover, EC MAE of diesel was 8.4  $m^2 g^{-1}$  (632 nm), which was higher than those 1057  $(3.0-6.8 \text{ m}^2 \text{ g}^{-1})$  of biomass burning sources (e.g. crop residual and wood) (Cheng et 1058 1059 al., 2011).

Organic matter or brown carbon is also a strong light absorbing material at short wavelengths. Available MAE values of OC include 0.76 m<sup>2</sup> g<sup>-1</sup> (532 nm) in autumn in 2008 in Guangzhou (Andreae et al., 2008). Moreover, available MAE values of WSOC include 1.79 and 0.71 m<sup>2</sup> g<sup>-1</sup> (365nm) in winter and summer, respectively, in 1064 Beijing (Cheng et al., 2011). The WSOC MAEs of wood, grass, corn, and diesel tractors were 0.97, 0.90, 1.05, and 1.33  $\text{m}^2$  g<sup>-1</sup> (365nm), respectively, which were 1065 much higher than that of gasoline motorcycles (0.20 m<sup>2</sup> g<sup>-1</sup>, 365nm) (Du et al., 1066 2014b). Evidently, the MAEs of OC or WSOC should not be neglected for short 1067 wavelength absorption. 1068

#### 1069

## 4.3 Aerosol hygroscopic properties

b<sub>sp</sub> under ambient condition can differ significantly from dry conditions due to 1070 hygroscopic properties of soluble aerosol chemical components. A relationship 1071 between ambient and dry  $b_{sp}$  is thus developed for estimating ambient  $b_{sp}$  from 1072 1073 measured dry  $b_{sp}$ , which is often described by the hygroscopic growth curve (f(RH)) as a function of RH:  $f(RH)=1+a\times(RH/100)^b$ . Here, a and b are empirical fitting 1074 parameters. Only a few studies conducted in Beijing, Wuqing, Lin'an and 1075 Guangzhou provided the aerosol hygroscopic curves (Table S4 of the supplement 1076 1077 document). Three different methods have been used to obtain f(RH). The first one measures simultaneously dry and wet b<sub>sp</sub> using a nephelometer and visibility meter, 1078 1079 respectively. The second one measures wet  $b_{sp}$  by integrating a nephelometer equipped with a humidifier, and the third one estimates dry and wet b<sub>sp</sub> based on Mie 1080 1081 theory with size-resolved chemical components.

Available f(RH) curves in China are summarized in Fig. 14. The three f(RH)1082 curves in autumn of 2007, 2011 and 2014 in urban Beijing were all measured using 1083 the first method (Fig.14 a) (Liu et al., 2013b; Liu et al., 2013c; Yang et al., 2015). 1084 The two f(RH) curves measured in 2011 and 2014 were quite close, but the one in 1085

2007 was lower under RH< 80% and higher under RH>80%, likely due to aerosol
chemical composition and size distribution changes in these years.

The f(RH) curves at four rural sites were measured using the second method, 1088 including Baodi of Tianjin in spring, Wuging of Tianjin in winter (Fig.14 b) (Chen et 1089 1090 al., 2014b; Pan et al., 2009), Raoyang of Hebei province in summer (Wu et al., 2017), 1091 and Lin'an of Zhejiang province in spring (Fig.14 c) (Zhang et al., 2015b). It is 1092 known that the hygroscopic chemical components are mostly water-soluble inorganic salts (e.g.  $(NH_4)_2SO_4$ ,  $NH_4NO_3$ ), while mineral dust and organic matter are mostly 1093 hydrophobic. In Baodi in spring season, the concentrations of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and 1094 NH<sub>4</sub>NO<sub>3</sub> and their mass fractions in fine mode particles were higher during polluted 1095 episodes than during clean periods or dust storm episodes, resulting in higher *f*(RH) 1096 1097 values during the polluted episode. f(RH) values measured in winter in Wuqing were 1098 evidently higher than those measured in spring in Baodi under RH<80% likely due 1099 to more hygroscopic chemical components in winter in Wuqing. In Raoyang, a different fitting curve of f(RH) was obtained with a much higher f(RH=80%) value 1100 1101 (2.3) than in other rural sites in BTH mentioned above, likely due to higher fractions of hydrophilic components in  $PM_{2.5}$  (>56%). In all the BTH sites, f(RH) value 1102 1103 increased continuously with increasing RH. However, in a different study an abrupt increase in f(RH) at RH values of 73-81% was observed in summer in Wangdu of 1104 Hebei province due to the deliquescence of ammonium sulfate (Kuang et al., 2016). 1105 Similar to what was found in Baodi, f(RH) values during polluted episodes were also 1106 higher than those during dust episodes in Lin'an, but the differences between 1107

polluted and dust periods were smaller in Lin'an than in Baodi. Noticeably, the f(RH)values during polluted episodes were similar in Lin'an and Baodi, e.g. f(RH=80%)

1110 was 1.5 and 1.6, respectively.

1111 The *f*(RH) curves (solid lines) in summer in urban Guangzhou were measured by 1112 the first method, while those (dot lines) in autumn in rural Guangzhou and in 1113 summer and autumn seasons in urban Guangzhou were measured by the third method (Fig.14 d) (Cheng et al., 2008b; Lin et al., 2014; Liu et al., 2008a). 1114 f(RH=80%) values were 2.04 and 2.68, respectively, for urban aerosols originating 1115 1116 from the north and marine aerosols originating from the South China Sea. f(RH<80%) curves were similar in urban and rural Guangzhou; however, f(80%<RH<90%) 1117 values in rural Guangzhou were evidently higher than those in urban Guangzhou, 1118 1119 likely due to the much higher fraction of secondary inorganic aerosols in fine mode particles in rural Guangzhou than urban Guangzhou in the dry season (Lin et al., 1120 2014; Liu et al., 2008b). 1121

If averaging all available f(RH) curves shown in Figure 15, the empirical fitting parameters *a* and *b* were found to be 2.87±0.03, 5.50±0.06, respectively (Fig 15a). But if excluding dust episodes in Baodi and Lin'an (Fig 15 b), the empirical fitting parameters *a* and *b* were 3.17±0.03, 5.54±0.06, respectively (Figure 15b). Based on the average f(RH) curve, b<sub>sp</sub> under ambient condition (RH=80%) can be amplified by about 1.8 times of that under dry conditions in China. This suggests that reducing inorganic water-soluble salts is critical in alleviating hazy weather in China.

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#### 1130 **4.4 Source apportionment of haze in China**

To investigate the contributions of PM<sub>2.5</sub> chemical components to b<sub>sp</sub>, a revised 1131 formula developed by the original IMPROVE method is applied in this section 1132 1133 (Pitchford et al., 2007). The revised IMPROVE formula can be simplified as follows:  $b_{ext} \approx 2.2 \times f_S \times [Small (NH_4)_2 SO_4] + 4.8 \times f_L \times [Large (NH_4)_2 SO_4] + 2.4 \times f_S \times$ 1134 [Small NH<sub>4</sub>NO<sub>3</sub>] + 5.1 ×  $f_L$  ×[Large NH<sub>4</sub>NO<sub>3</sub>] + 2.8 × [Small OM] + 6.1 × [Large 1135 1136 OM] + 1.0 × [Other]+ 10 × [EC] (1) $[Large X] = [Total X]^2 / 20$ , for [Total X] < 201137 (2)[Large X] = [Total X], for [Total X]  $\ge 20$ 1138 (3) [Small X] = [Total X] - [Large X](4)1139

1140 Where X represents  $(NH_4)_2SO_4$ ,  $NH_4NO_3$  and OM, respectively. RH growth 1141 curves of  $f_S$  and  $f_L$  for  $(NH_4)_2SO_4$  and  $NH_4NO_3$  can be found in Pitchford et al. 1142 (2007).

1143 Using the chemical composition data shown in Fig. 8 and annual average RH 1144 values in major cities in China as input (http://data.cma.cn/), the estimated annual bext 1145 and its load percentages under dry and ambient conditions are plotted in Fig. 16. For bext under dry conditions, carbonaceous aerosols had similar percentage contributions 1146 1147 as secondary inorganic aerosols in Shijiazhuang, Tianjin, Shangdianzi, Shanghai, Hok 1148 Tsui, Zhengzhou, Xi'an, Jinan, Chengdu, Fuzhou and Xiamen, but the percentage 1149 contributions were 11-65% higher in other urban and rural sites. However, under ambient conditions the contributions of secondary inorganic aerosols were evidently 1150 1151 higher (by 2-54%) than those of carbonaceous aerosols in most cities except in Beijing, Chengde, Lanzhou and Chongqing. Noticeably, the contributions of 1152 secondary inorganic aerosols for bext sharply increased by about 18-25% under 1153 ambient conditions than dry conditions in humid (RH>70%) cities (e.g. Haikou, 1154

1155 Changsha, Xiamen, Nanjing, cities in PRD, and Chengdu).

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# 5. Implications for aerosol pollution controls

1157 There is no doubt that reduction of  $PM_{2.5}$  will be the ultimate approach for improving visibility and alleviating hazy weather. Industrial emission contributions 1158 to secondary inorganic aerosols were the dominant sources of PM<sub>2.5</sub> in urban areas in 1159 1160 China (Liang et al., 2016). Aerosols produced from traffic emissions, biomass 1161 burning and soil dust were also important sources in north China. Secondary inorganic aerosols were formed from atmospheric reactions involving SO<sub>2</sub> and NO<sub>x</sub>, 1162 which were mainly emitted from coal combustion, the major energy source in China 1163 for decades. 1164

1165 A series of regulations controlling coal combustion emissions has been made 1166 since the first version of the NAAQS was promulgated in 1982. The Air Pollution Prevention law of PRC was promulgated in 1987, which was the milestone in air 1167 1168 pollution prevention history in China. It also marked the beginning of a new era for 1169 preventing air pollution based on the national law, followed by a series of regulations 1170 for controlling coal combustion. During 1990-2000, most of the control measures or 1171 technologies (e.g., desulfurization and dedusting for coal combustion) were focused on reducing SO<sub>2</sub> emissions. The measure for gross control of SO<sub>2</sub> emissions was 1172 enforced since 1996. Despite these efforts, the amount of SO<sub>2</sub> emissions increased 1173 1174 by about 28% from 2000 to 2005 (http://www.zhb.gov.cn/). The amount of SO<sub>2</sub> emission began to decrease in 2006 and gradually reduced to the emission level of 1175 1176 2000 in 2010 (http://www.zhb.gov.cn/). Meanwhile, ambient annual SO<sub>2</sub>

1177 concentration in urban cities in China also decreased from 57  $\mu$ g m<sup>-3</sup> in 2005 to 40 1178  $\mu$ g m<sup>-3</sup> in 2010 (http://www.zhb.gov.cn/). Apparently, the emission control efforts for 1179 reducing SO<sub>2</sub> emissions since 2006 have been effective.

The control measures for NO<sub>2</sub> only began with the control of vehicular emissions 1180 in 1995, but the inclusion of  $NO_2$  in the gross control indexes did not happen until 1181 1182 2010. New coal power plants were also required to denitrate after 2010. The emissions of NO<sub>x</sub> actually increased from 1996 to 2010, as seen in the vertical 1183 column NO<sub>2</sub> derived from satellite data (Zhang et al., 2012b). Although annual 1184 average ambient NO<sub>2</sub> at the surface fluctuated from 30-40  $\mu$ g m<sup>-3</sup> during 2000-2010 1185 in China (http://www.zhb.gov.cn/), annual average ambient NO<sub>2</sub> in megacities (e.g. 1186 Beijing, Shanghai and Guangzhou) slowly increased. Evidently, the control of 1187 1188 emissions of nitrate gaseous precursors was not very effective during 2000-2010.

Despite the above-mentioned control measures, sulfate concentrations remained 1189 high and nitrate concentrations even gradually increased in megacities in China. 1190 More recently, the Clean Air Action Plan (CAAP) for improving air quality was 1191 1192 promulgated and implemented by the State Council of the People's Republic of China in 2013 (http://www.gov.cn). This plan aims to reduce the PM<sub>2.5</sub> annual mass 1193 1194 concentrations by 25%, 20%, and 15% of the 2012 levels in BTH, YRD, and PRD, respectively. The key industries including power plants, iron and steel smelting, 1195 petroleum chemical, cement, nonferrous metals smelting, and chemical production 1196 were required to execute stricter emission standards in the key regions including 1197 most megacities in China (http://www.zhb.gov.cn). Accordingly, annual average 1198

1199  $PM_{2.5}$  in China from online monitored data at 74 cities gradually decreased from 72 1200  $\mu g m^{-3}$  in 2013 to 50  $\mu g m^{-3}$  in 2015, showing some promising results from the series 1201 of control measures.

One factor that needs to be considered in future pollution reduction is the 1202 non-linearity of chemistry (Cheng et al., 2016). For example, a model sensitivity 1203 1204 study suggested potential increase in NO<sub>3</sub><sup>-</sup> mass concentrations due to the increased atmospheric oxidizing capacity, even with decreasing NO<sub>x</sub> emissions (Zhao et al., 1205 1206 2013a). Furthermore, increased atmospheric oxidizing capacity may also enhance the 1207 conversion of VOCs to OM. In fact, the contribution of secondary organic aerosols to  $PM_{25}$  was also high and could increase further in typical megacities in China (He 1208 et al., 2011; Huang et al., 2014b; Sun et al., 2013). Another factor that requires more 1209 1210 attention is ammonia emissions from agricultural activities in rural areas and human activities in cities. Ammonia emissions can enhance PM<sub>2.5</sub> pollution substantially, 1211 especially in ammonia-limited (acid aerosols) areas (Wang et al., 2011). This topic 1212 needs further investigation through both modeling simulation and field observations. 1213 1214 To improve the air quality across China, the following recommendations are provided based on the major chemical components contributing to PM<sub>2.5</sub> and their 1215

impact on aerosol optical properties. Emissions produced from coal combustion, in both the industrial sectors and in residential areas, need to be further reduced. While advanced pollution control technologies should be adopted in the medium term in major industrial sectors consuming coal, cleaner energy sources should be considered a long-term goal (Cao et al., 2016). Providing cleaner energy to the vast

rural and urban areas in north China for heating and cooking can not only reduce 1221 coal combustion emissions but also biomass burning emissions. Efficient use of 1222 1223 fertilizers in agriculture is needed to reduce nitrogen emissions especially ammonia (Behera et al., 2013). Educating the public to reduce meat consumption in their daily 1224 1225 lives, especially in the more affluent developed regions, can reduce the nitrogen footprint substantially and thus nitrogen emissions (Galloway et al., 2014), besides 1226 the potential benefits to human health. Traffic emissions in megacities may also need 1227 to be constrained, such as developing more efficient public transportation systems 1228 1229 and limiting the use of personal automobiles. Having more vegetation coverage is especially important in arid or semi-arid areas as well as urban areas in reducing dust 1230 emissions (Baldauf, 2017), aside from the biological benefits. The continued 1231 1232 expansion of the three northern region shelter forests in north China can potentially reduce dust emissions by increasing the dry deposition removal of aerosols (Zhang et 1233 al., 2017b). 1234

#### 1235 Acknowledgements

1236 This study was supported by the National Natural Science Foundation of China

1237 (No. 41475119).

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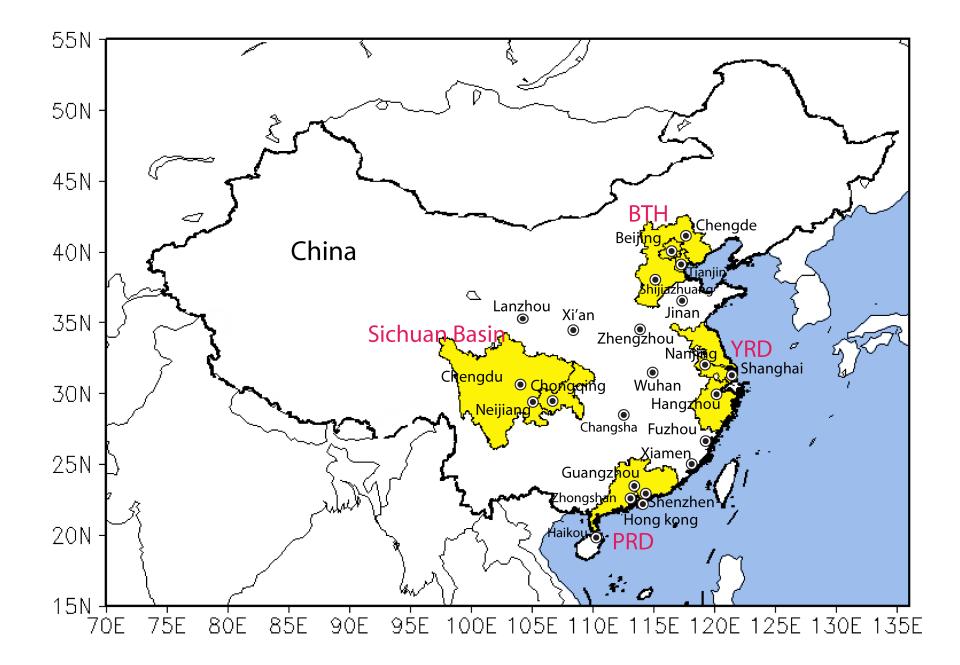
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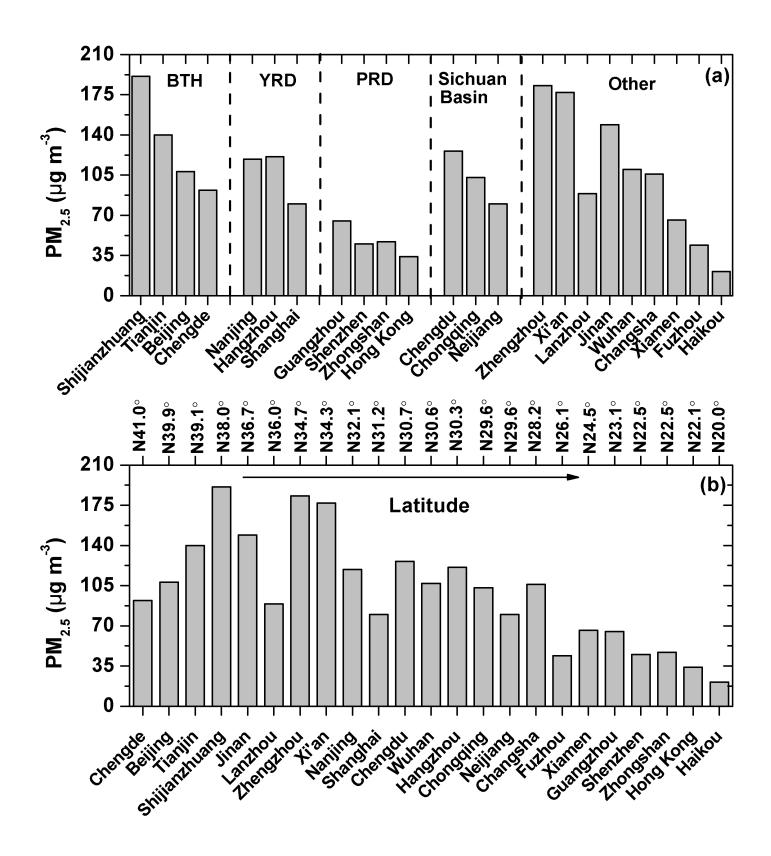
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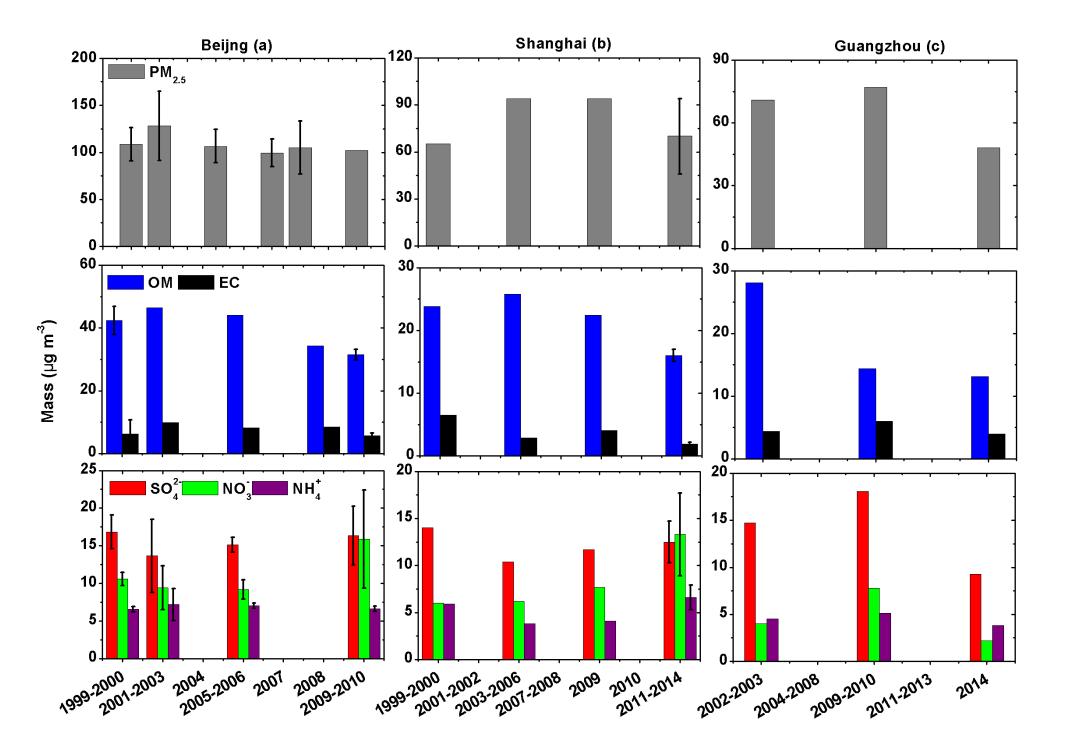
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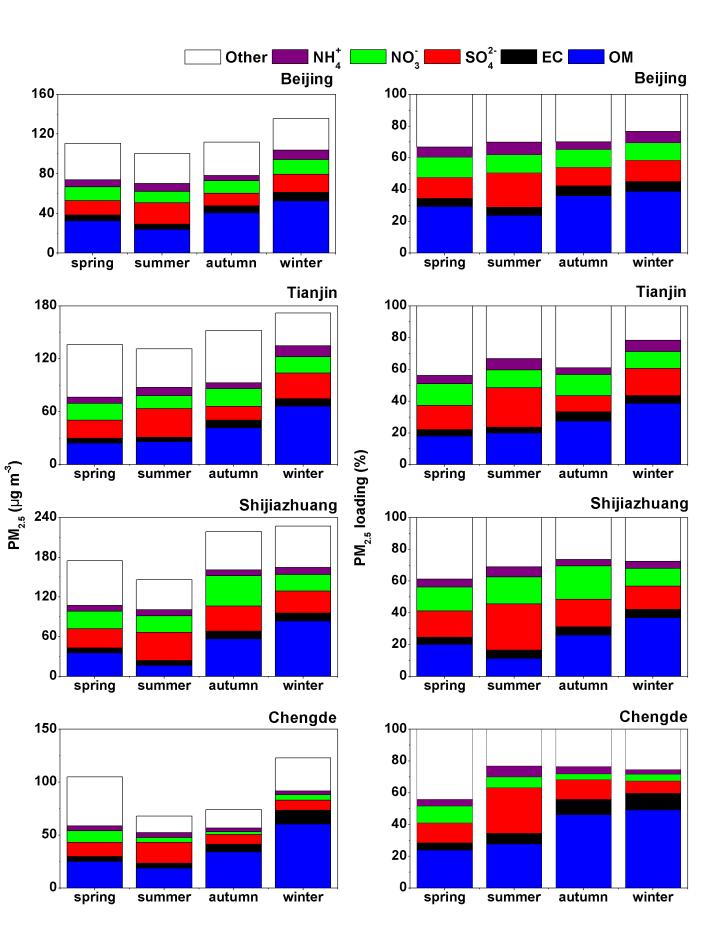
## **List of Figures**

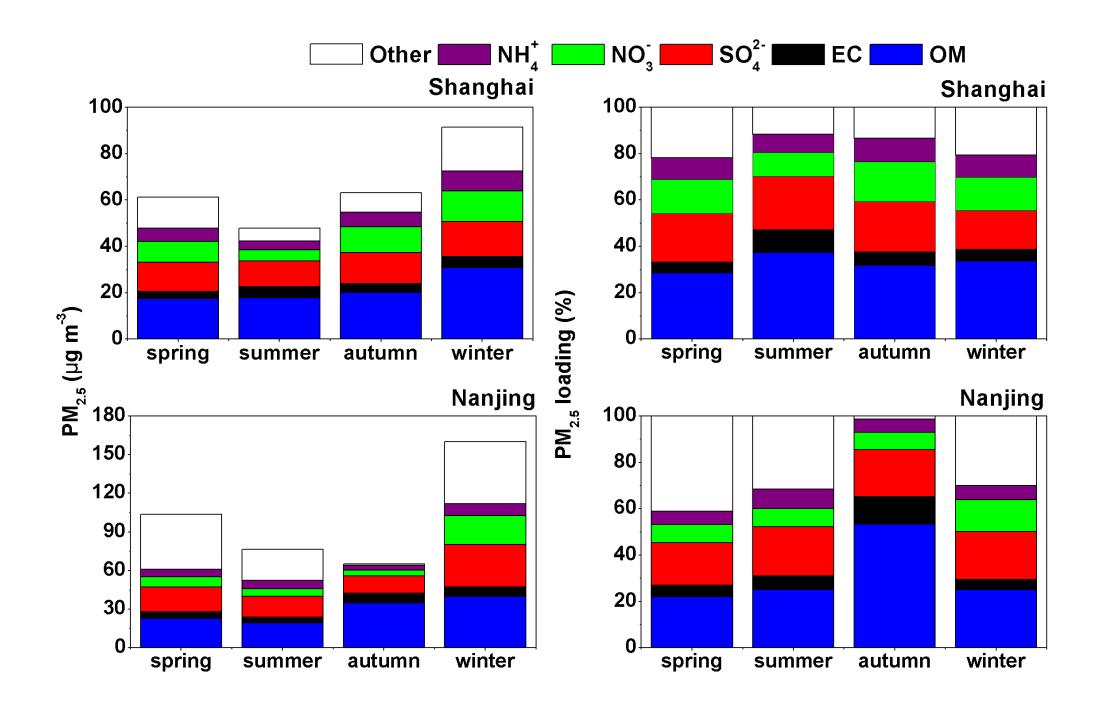
- Fig. 1. Geographical regions and location of cities with measurements.
- Fig. 2. Annual PM<sub>2.5</sub> mass concentration in various Chinese cities having filter-based measurements: (a) categorized into regions, and (b) lined with latitude.
- Fig. 3. Inter-annual variations in PM<sub>2.5</sub> and dominant chemical components in Beijing(a), Shanghai (b) and Guangzhou(c).
- Fig. 4. Seasonal PM<sub>2.5</sub> and dominant chemical components in BTH.
- Fig. 5. Seasonal PM<sub>2.5</sub> and dominant chemical components in YRD.
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- Fig. 7. Seasonal PM<sub>2.5</sub> and dominant chemical components in other cities.
- Fig. 8. Annual PM<sub>2.5</sub> and dominant chemical components in China.
- Fig. 9. Inter-annual variations in  $PM_{2.5}$  and the dominant six sources in Beijing.
- Fig. 10. Annual contributions of  $PM_{2.5}$  dominant sources across China.
- Fig. 11. Spatial distribution of annual average AOD across China in 2014.
- Fig. 12. Annual  $b_{sp}$  and  $b_{ap}$  in China.
- Fig. 13. Seasonal  $b_{sp}$  and  $b_{ap}$  in cities with measurements.
- Fig. 14. The hygroscopic growth curves in different sites in China.
- Fig. 15. Distribution of the hygroscopic growth curves in China.
- Fig. 16. Annual b<sub>ext</sub> percentage loading under dry and ambient conditions at urban sites in China.

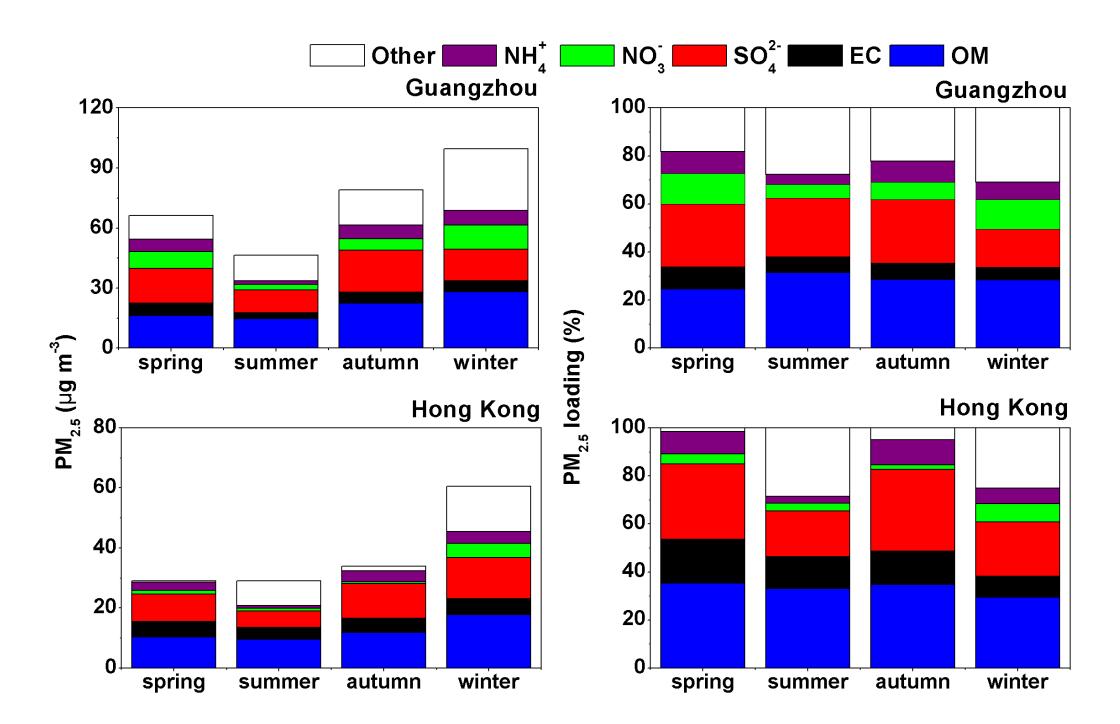


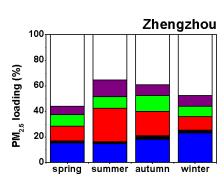


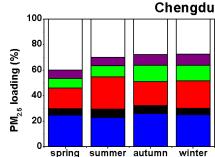


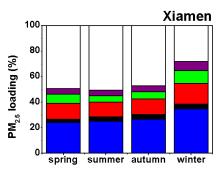


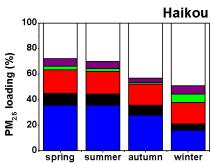


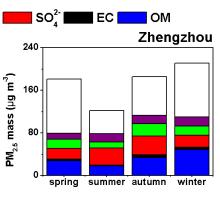


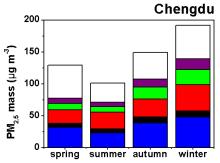


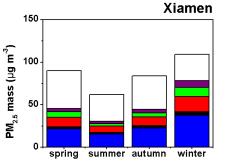


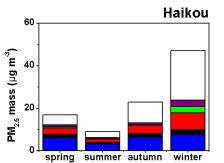


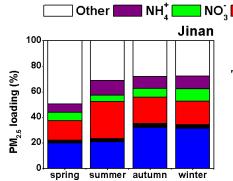












100

80

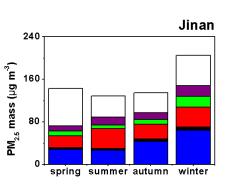
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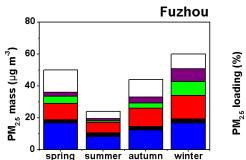
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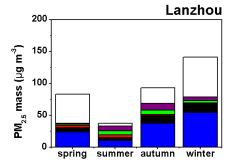
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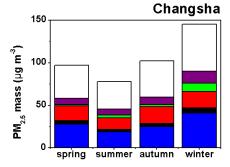
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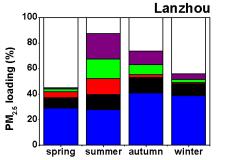
Fuzhou



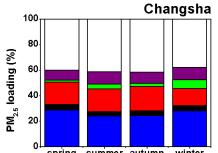




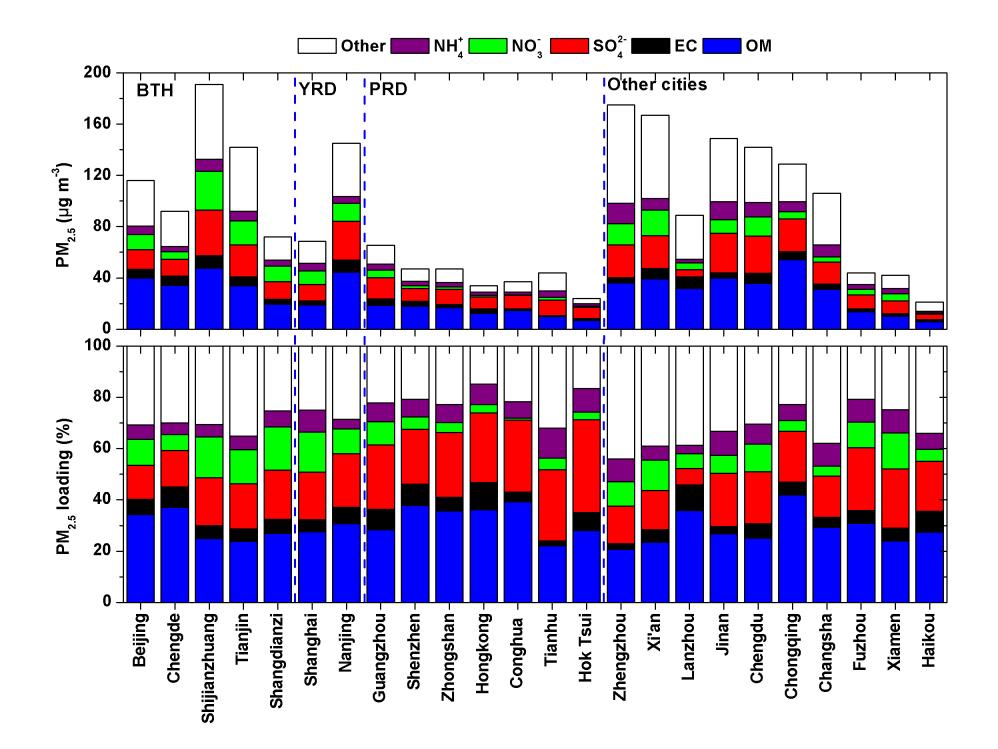


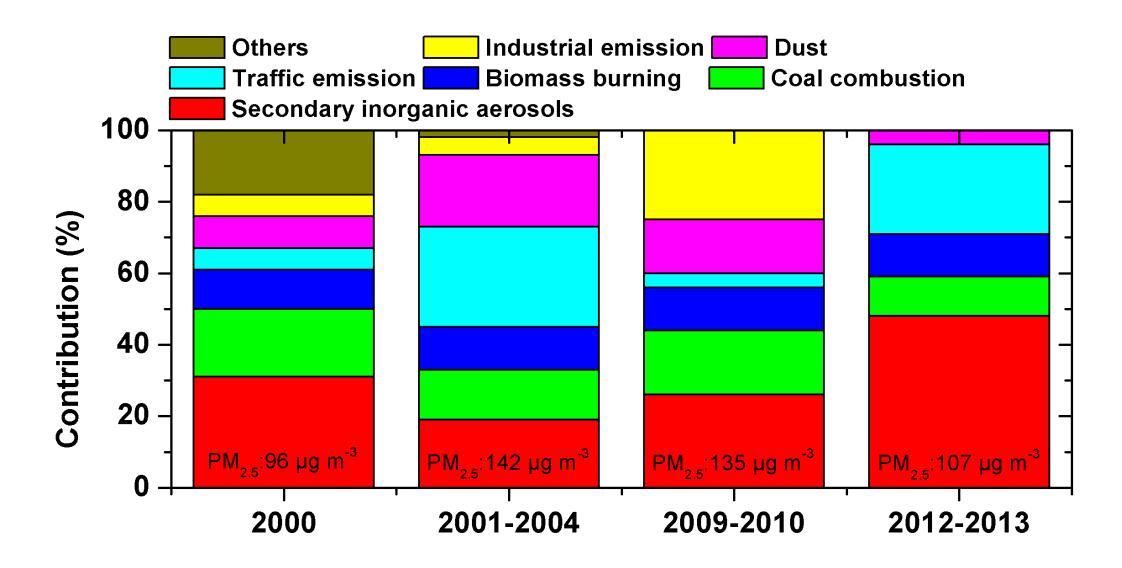


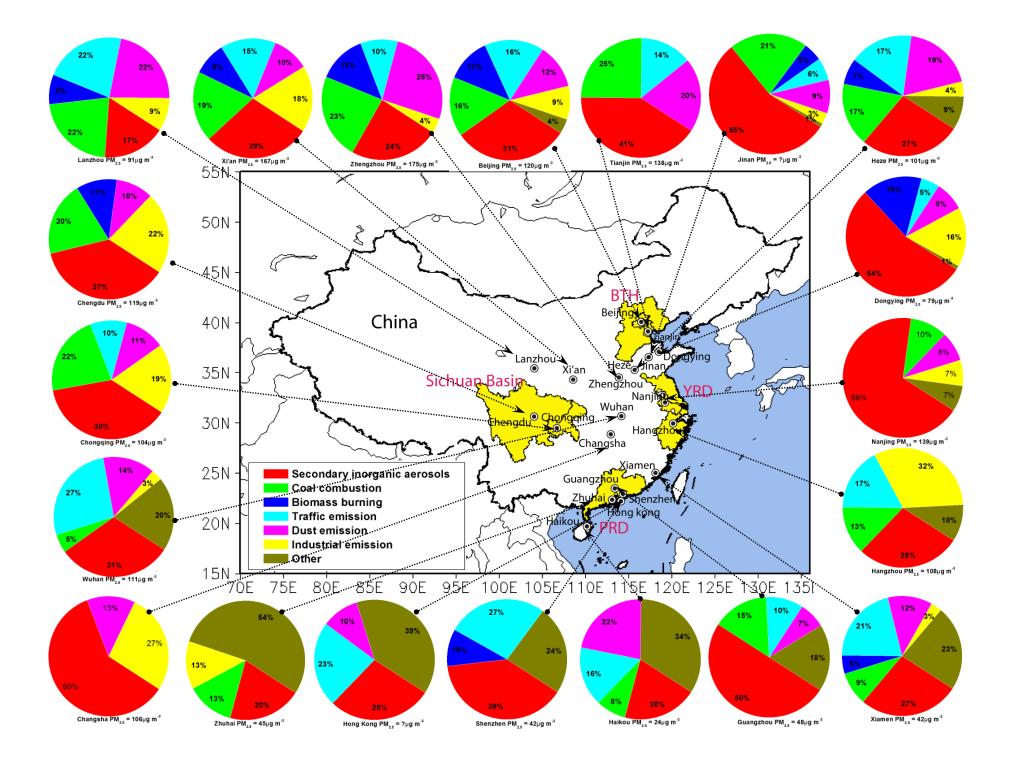
spring summer autumn winter

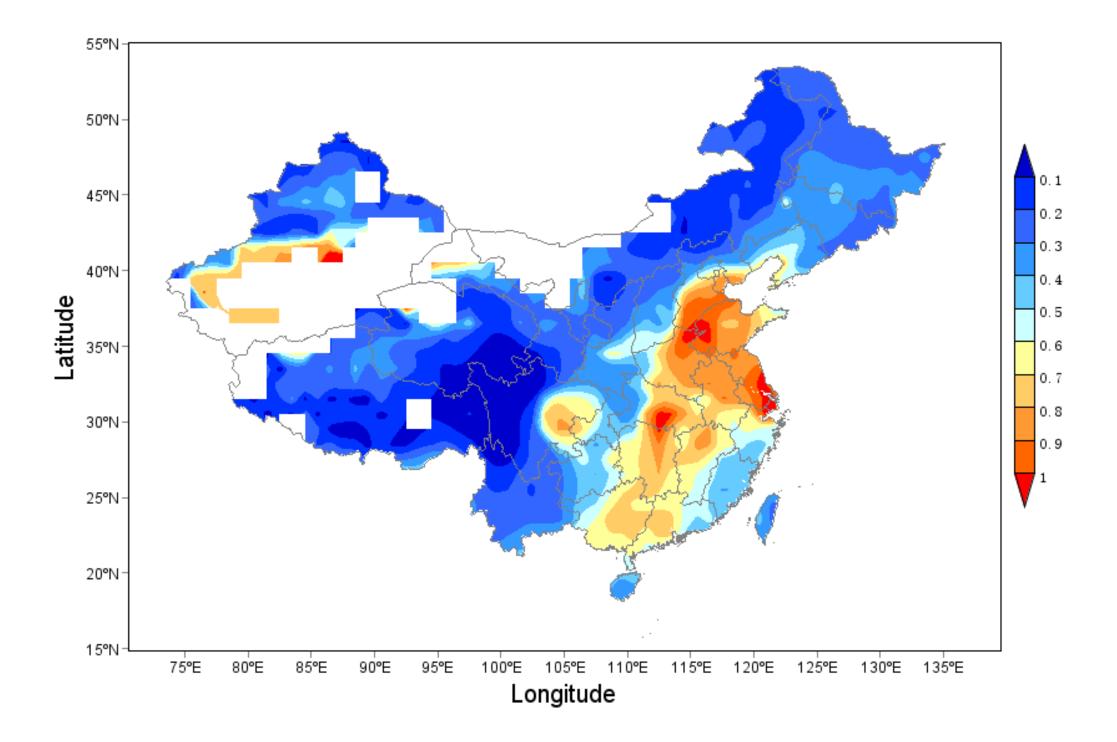


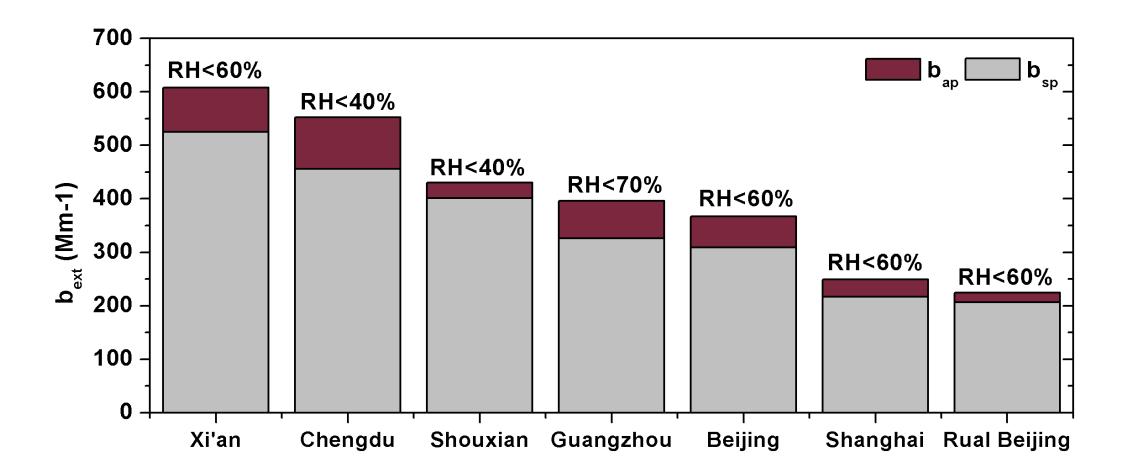
spring summer autumn winter

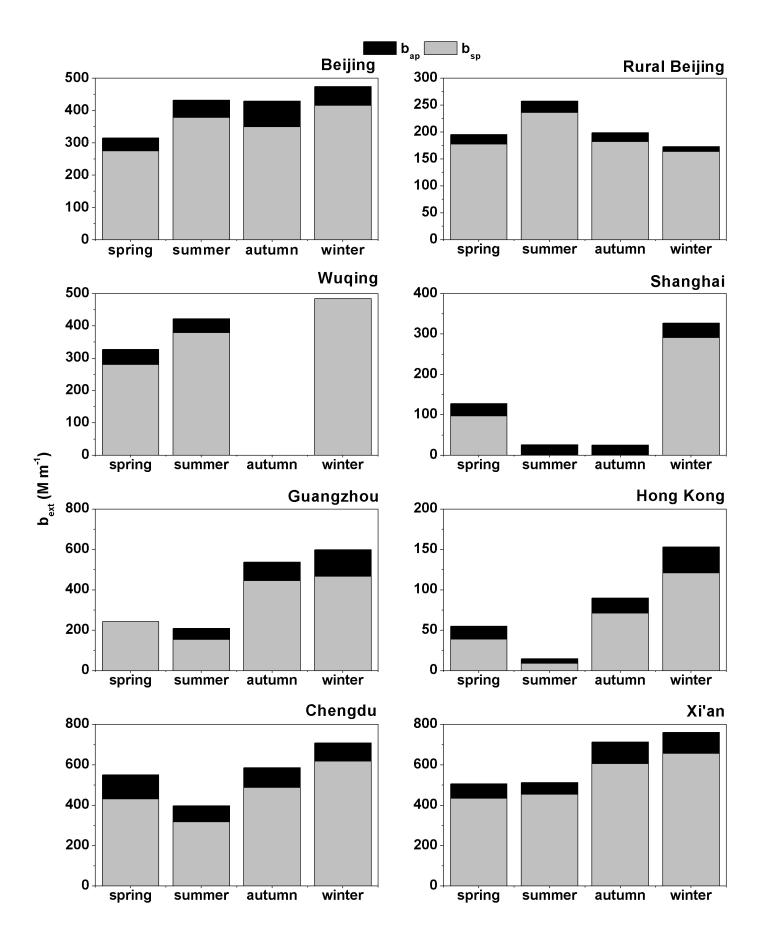


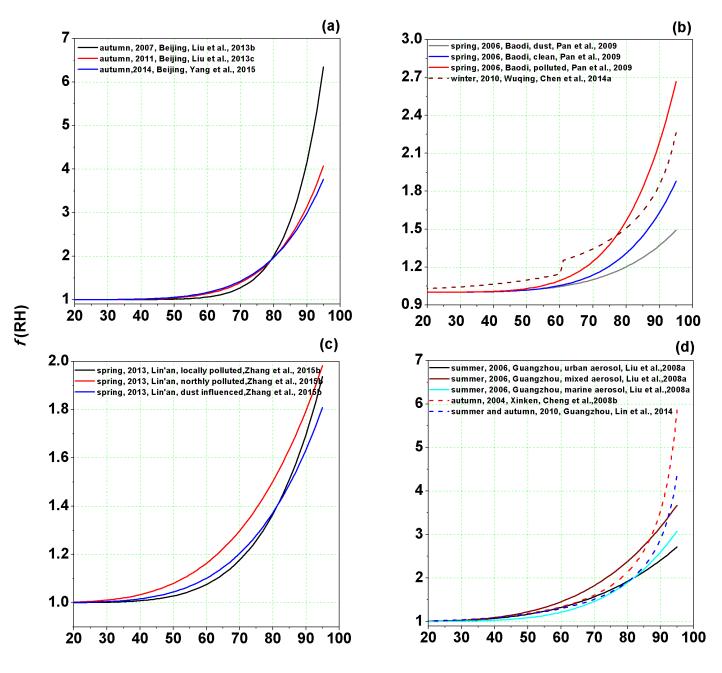












RH (%)

