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Interactive comment

Interactive comment on "Dependence of the hygroscopicity parameter κ on particle size, humidity and solute concentration: implications for laboratory experiments, field measurements and model studies" by Zhibin Wang et al.

Anonymous Referee #1

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Review on "Dependence of the hygroscopicity parameter k on particle size ..." by Wang et al., submitted to ACPD

(In here, "k" will be usedinstead of the greek kappa symbol, and "_xyz" indicates an index xyz. Abbreviations, when used, are the same as used by the authors (without introducing them again). No additional literature was added, so all citations in here can be found based on the literature list in the manuscript.)

In the study, hygroscopic particle growth and activation to cloud droplets is calculated based on models (much of that based on electrodynamic balance data (obtained for

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water vapor sub saturation) and also some results from the AIM model). This is done for three different substances, AS, NaCl and sucrose. The results are used to derive k, and the retrieved values are then interpreted in the text, showing dependencies of k on solution concentration, dry particle size, the relative humidity (RH) at which k was derived (from hygroscopic growth or from droplet activation) and on the model used for calculation.

Overall, the text does contain some interesting thoughts, however, intermingled with well known ones. I got the impression that the ideas the authors want to convey were not presented clearly enough and so were lost in between well known facts. The fact that k changes with solution concentration is not new (and this change has to be there for non-ideal solutions), neither is the difference in k when derived from either hygroscopic growth or from activation (which has been acknowledged by the authors in citing numerous papers – and which they call "innate" for sucrose). A further well known point is, as already clearly said in Petters & Kreisenweis (2007), that k and surface tension (sigma_s/a) have to be applied self-consistently, meaning that when the surface tension of water was used to derive k, further use of this k in modeling has to be done using the surface tension of water, too.

It is difficult to distinguish between these well known facts and the new ideas presented in here. Also, for one topic presented as a major result, there is no real proof. It is said that deviations for k derived from measurements at sub- and supersaturated conditions (k_gf and k_CCN, respectively) will become smaller if CCN counters would be calibrated based on EDB data (referred to as AP1) instead of based on AIM (called AP3 in here), which is correct. But based only on this, is is then argued that the use of AP3 instead of AP1 for instrumental calibration explains deviations between k_gf and k_CCN as observed in atmospheric and in chamber measurements described in literature. But it is not discussed which model was used as a base for calibration for these literature data, and I guess it might not be even given in all the cited studies, and I doubt that it was always AIM. And even so, it does not become clear why the

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model AP1 should be the more correct model. There might be a point here that is worth discussing, but it should be presented differently, elaborating on it more, and discussing why one model should be preferred over the other (including why smaller differences between k_gf and k_CCN should be expected).

Also, throughout the text, strong statements are made, exaggerating the results of this study and not putting them in perspective to what was known before. The text needs to be tuned down some. This is elaborated on in detail in the specific comments (together also with some positive remarks.)

Occasionally (indicated in detail below), it was difficult to understand the meaning of the text. Also, the English has to be polished throughout the text, and I indicate a number of corrections down at "technical comments", but these are, by far, not all. But solving these grammar issues alone will not affect the aforementioned issues with understanding.

Overall, the study needs major revisions before it might be considered for publication in ACP. New results should more clearly be differentiated from already known facts, more precise explanations have to be given, results from different parts of the study should be compared to enable the overall conclusions on the importance of the results, and the wording should be appropriate to what was really achieved.

Specific comments:

When I started reading the text, I was expecting to also see results from measurements you did, however, measurements were not done at all for the here presented study. It should be explicitly stated in both the abstract and the conclusions, that this study is a modelling study.

The effect of particle size is interesting. However, it is overemphasized a bit, as particles in the nano-size range < 10nm will not act as CCN in the atmosphere and hence I cannot see where the fact that there is a larger deviation in k_gf and k_CCN for these

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small particles would be important. The authors should discuss this in the text and adjust all related statements.

Depending on the model used for calibration, the deviation between k gf and k CCN can be larger or smaller, as you argue in Fig. 6 and related text. And you suggest that AP1 models k CCN of AS better than AP3. But you base your assumption only on the following: when k CCN for AS is derived either from AP1 or AP3, the deviation between these two k CCN values is roughly similar to the deviation typically observed in literature between k gf and k CCN for atmospheric and laboratory measurements. (You do not even mention which model was used in the calibration for these literature data). The similarity in these deviations is is not enough to decide which model is better. Parts of this comment will be repeated below, but I do say it here already: you have to describe much better how you derive the uncertainty range for deriving k CCN, and you cannot simply "transfer" the deviation as you did it (in caption of Fig. 6). You have to include the uncertainty in the supersaturation that originates from calibrating a CCNC either with AP1 or AP3) and then from that derive the uncertainty in k CCN for the atmospheric samples. Also, did all of the studies you cite in lines 264-266 use AP3 for CCNC calibration? Only if this were the case could your statement following these citations be made so strongly. Also, as you describe this innate deviation between k gf and k CCN for sucrose, discuss why you think that such a deviation should not be there for atmospheric aerosol.

It is good that it is clearly recommended that publications should always state which Köhler model they used when calibrating instrumentation to measure hygroscopic growth and droplet activation. But again, this has not been the case in the past, which makes me wonder how large the influence of the choice of model has been on derived k in past studies.

So overall: If there is this innate difference, then is the choice of model really the "key factor" to explain differences between k_gf and k_CCN (as said in the text, line 266 ff)?

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You refer to "self-consistency", and I suggest you explain exactly what you mean by that in the text. I even expect that this is not the correct wording for what you want to say. Basically, what you suggest is that AP1 is better than AP3. But technically speaking, as k_gf is not influenced by the choice of model, AP3 could be used to calibrate a HTDMA and AP1 to calibrate a CCNC and k_gf and k_CCN would deviate less compared to the case when AP3 was used for the calibration of both (where the latter would be the "self-consistent" case). How does this fit to your statements about self-consistency? And anyway: Does it really happen that within one study different models are used for calibration?

I expect that with "self-consistency" you might refer to cases where k derived from measurements was then used to then derive mass fractions of substances that could possibly contribute to particle composition. I got this impression "between the lines" (e.g., lines 19-22, 124-126), but it is nowhere said very clearly. Some more examples on what you mean, explicitly, would be good. Also, if the derivation of mass fractions of different substance based on k is what you aim at, then that is one step further from only deriving k, which needs to be clearly stated.

Also make sure you always mean the same thing when referring to self-consistency: Does it really always refer to the choice of model? Or do you also refer to what already Petters & Kreidenweis (2007) said concerning the choice of surface tension (see my remark above)?

One thing I missed completely is a discussion on how precisely k has to be known for atmospheric modelling. Which uncertainty in cloud droplet concentrations is caused by the difference in k_CCN when derived based on calibration either with AP1 or AP3? Or is this resulting uncertainty so small that the use of either model is fine for atmospheric modelling?

Lines 31-34: This sentence is not clear to me. Deviations of k_CCN from what? And you give a larger deviation (in %) for the larger particle size, which seems to contradict

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what you said in the sentence before. And I don't know how the deviations can indicate what you state in the second part of the sentence. This sentence needs rewriting.

Line 172: What do you mean by "their"? Also, using a different surface tension simply yields a different k, so "compensated through a change in k" is a somewhat unfit formulation in this context. Reformulate this sentence.

Line 260 ff (including the next paragraph): You state that "predicted k values are not sensitive to the selected thermodynamic parameterizations and Köhler models in hygroscopic growth measurements", but can you say that in general, or only for the two different models you compared here?

In line 272 you describe that k_gf and k_CCN are innate distinct (based on your model results for sucrose), and I wonder how this is different from the statements others you cite have made before (i.e., that there is indeed a change in non-ideality of the solution or in the surface tension etc.). I feel it should be stressed in your text that this statement of yours confirms earlier studies, moreover so as others' results are based on measurements while in your study you can, by the make of it, only obtain what was included in the model (albeit based on measurements).

Line 295: Which "near linear kappa-composition relationship" are you referring to? And what do you mean with "for estimating kappa or mass fraction of organic or inorganic compounds"? This comes from nowhere and is totally unclear. Rewrite.

Line 299: AS is AS, so strictly speaking, determining kappa for an aerosol consisting of AS particles does not make sense. - What do you mean here?

Line 303: Please indicate how much of this range is due to the choice of the model (meaning how large is that range for the same size and concentration / RH)?

Line 305-307: I get the feeling that you are referring to something done in the publications you cited here that needs to be known to understand what you mean here. It seems to indicate that in these (or other?) studies one value of k was used for cali-

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bration and another one further on (what for?). Has that really happened? If you can clearly point on that in former studies, it would help understand what you are aiming at. As it is now, there is only a vague impression created of what you could mean.

Line 309 – end of paragraph: Is this bias of 10% you are referring to originating in experimental uncertainty? And which "identical thermodynamic data" are you referring to here? For identical conditions there should not be a bias, not even 10%? And what do you mean by "inconsistent parameters", exactly? And again, how do you know which parameters are the correct ones?

Line 342-343: I guess I'm repeating myself, but it would be interesting to see how much of the inconsistency in k originates from the different contributing factors that you list in the previous sentence, to justify what you are then claiming in the next sentence.

Figure 4: Was k always determined for the same size in HTDMA and CCN measurements, and if not, which size is indicated by the symbol size? Explain in the caption or in the related text. If sizes were different, how much of the deviation between k_gf and k_CCN originated from that?

Figure 6 (b) and related text: It is not totally clear to me how the area indicating the uncertainty is derived. It can be guessed from the text in the caption, but this has to be described in much more detail in the text. And, I repeat myself, clearly state on which model the calibrations for the measurements were based in which of the studies, or for which of these studies this is not known, so that one can judge for which data the lowering in the deviation between k_gf and k_CCN can be expected.

Technical comments:

Line 47: Better use either "Kelvin and Raoult effects" or "droplet curvature and solute effects", do not use the name for one and the nature of the effect for the other.

Line 50: Consider replacing "many" with "some", also in light of what you say in the next sentence.

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Line 68: Add and "a" between "Since" and "representative".

Line 102: Remove "ed" from "related".

Line 106: Exchange "of" with "for".

Line 149: It should be "k has" (not have). And replace "certain" with "each".

Line 175: Add a citation for the Tolman effect and shortly mention what this is.

Line 218: Exchange "is a combined presence of both" with "depends on".

Line 219: Exchange "size dependence" by "dry particle size".

Line 224: It is rather "up to" instead of "at".

Line 235: Delete the "s" in "literatures".

Lines 239-241: Difficult to understand without knowing what you describe next. Express more clearly what you mean.

Line 247: Better "for the" instead of "such as" (the latter implies that more is shown, but in Fig. 5, which you refer to here, you show only these two).

Line 248-250: Check this sentence, something went wrong here.

Line 254: Add "is" as first word in this line.

Line 255: Add "the" before "AP3" and also before "AP1".

Line 271: "In addition" is the wrong choice of word here. I recommend to start a new paragraph and let that start with "However", as the text prior to that argues that k_gf and k_CCN could wrongly be different, while the text following that explains, that these differences are innate for some substances.

Line 276: Exchange "demonstrate" with "indicate". The former is too strong of a statement here. Your results rather indicate that this could be a reason.

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Line 282: You refer to Figs. 3a and 3e here. But they show a dependence on dry diameter while in the text you mention a concentration dependence.

Line 320: You use the word "experimentally"! Did you measure this? Or does this come from your calculations? Or do you cite a paper, here? Adjust the text accordingly.

Line 439: Delete the "(b)" following "Right panel:".

Line 448: It should be "models" (plural) as you talk about different ones.

Line 531-533: Check the capitalization of words - I didn't check the literature list, this one I just only ran into, so make sure this is all correct in the final version.

Fig. 4 and 6: Use the same parameters on the axis as in the text (k_gf and k_CCN), at least in Fig. 4 and Fig. 6 (b).

Fig. 6 (a): Add to the caption that the calculation was done for AS.

Fig. 6 (b): Describe somewhere (better in the text than in the caption) how exactly the upper and lower values for k_CCN were derived.

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