- Nitrate radical oxidation of γ-terpinene: hydroxy nitrate, total organic nitrate, and
- 2 secondary organic aerosol yields
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#### 9 **Abstract**

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Polyolefinic monoterpenes represent a potentially important but understudied source of organic nitrates (ON) and secondary organic aerosol (SOA) following oxidation due to their high reactivity and propensity for multi-stage chemistry. Recent modeling work suggests that the oxidation of polyolefinic γ-terpinene can be the dominant source of nighttime ON in a mixed forest environment. However, the ON yields, aerosol partitioning behavior, and SOA yields from γterpinene oxidation by the nitrate radical (NO<sub>3</sub>), an important nighttime oxidant, have not been determined experimentally. In this work, we present a comprehensive experimental investigation of the total (gas + particle) ON, hydroxy nitrate, and SOA yields following γ-terpinene oxidation by NO<sub>3</sub>. Under dry conditions, the hydroxy nitrate yield = 4(+1/-3)%, total ON yield = 14(+3/-3)%2)%, and SOA yield  $\leq$  10% under atmospherically-relevant particle mass loadings, similar to those for  $\alpha$ -pinene + NO<sub>3</sub>. Using a chemical box model, we show that the measured concentrations of NO<sub>2</sub> and  $\gamma$ -terpinene hydroxy nitrates can be reliably simulated from  $\alpha$ -pinene + NO<sub>3</sub> chemistry. This suggests that NO<sub>3</sub> addition to either of the two internal double bonds of  $\gamma$ -terpinene primarily decomposes forming a relatively volatile keto-aldehyde, reconciling the small SOA yield observed here and for other internal olefinic terpenes. Based on aerosol partitioning analysis and identification of speciated particle-phase ON applying high-resolution liquid chromatographymass spectrometry, we estimate that a significant fraction of the particle-phase ON has the hydroxy nitrate moiety. This work greatly contributes to our understanding of ON and SOA formation from polyolefin monoterpene oxidation, which could be important in the northern continental U.S. and Midwest, where polyolefinic monoterpene emissions are greatest.

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### 1. Introduction

The oxidation of volatile organic compounds (VOCs) is a major pathway in the production of secondary organic aerosol (SOA), which can represent up to ~60% of the total submicron aerosol mass, depending on location (Hallquist et al., 2009;Riipinen et al., 2012;Glasius and Goldstein, 2016). Aerosols impact climate by scattering and absorbing radiation as well as modifying cloud optical properties, and can adversely affect human health (Stocker et al., 2013). A large fraction of the total OA budget derives from the oxidation of *biogenic* VOCs (BVOCs), including isoprene (C<sub>5</sub>H<sub>8</sub>) and monoterpenes (C<sub>10</sub>H<sub>16</sub>) (Hallquist et al., 2009;Spracklen et al., 2011). Together, these naturally emitted compounds account for ~60% of the global BVOC budget (Goldstein and Galbally, 2007;Guenther et al., 1995). In particular, monoterpenes, comprising ~11% of the total global BVOC emissions (Guenther, 2002), represent a viable source of SOA following oxidation (Griffin et al., 1999;Lee et al., 2006). However, atmospheric models routinely underestimate the global SOA burden (Kokkola et al., 2014), causing a potential order of magnitude error when predicting global aerosol forcing (Goldstein and Galbally, 2007), and thus the sources and mechanisms responsible for SOA formation require further study.

VOC oxidation produces an array of semi-volatile organic aerosol precursors, including organic nitrates (RONO<sub>2</sub>), herein referred to as "ON", in the presence of NO<sub>x</sub> (i.e., NO+NO<sub>2</sub>) (Kroll and Seinfeld, 2008;Rollins et al., 2010b;Rollins et al., 2012;Darnall et al., 1976). By sequestering NO<sub>x</sub>, ON can perturb ozone concentrations globally (Squire et al., 2015). Moreover, as NO<sub>x</sub> concentrations are expected to decrease in the future (von Schneidemesser et al., 2015), ambient concentrations of NO<sub>x</sub> and thus O<sub>3</sub> will become increasingly sensitive to ON formation (Tsigaridis and Kanakidou, 2007). Monoterpenes contribute significantly to the formation of ON and SOA, especially during nighttime in the presence of nitrate radicals (NO<sub>3</sub>), when isoprene concentrations are negligible and the photolytic and NO reaction sinks of NO<sub>3</sub> are cut off (see Ng

et al. (2017) and references therein). It is estimated that monoterpene oxidation by NO<sub>3</sub> may account for more than half of the monoterpene-derived SOA in the U.S., suggesting that ON is a dominant SOA precursor (Pye et al., 2015). However, their formation mechanisms and yields following oxidation by NO<sub>3</sub> are not as well constrained as those from OH and O<sub>3</sub> oxidation (Hoyle et al., 2011), and previous studies have focused on the NO<sub>3</sub> oxidation of only a few monoterpenes (Fry et al., 2014), but almost exclusively on mono-olefinic terpenes such as α- and β-pinene (Boyd et al., 2015;Spittler et al., 2006;Wangberg et al., 1997;Fry et al., 2009;Berkemeier et al., 2016). An important detail is the relative amount of hydroxy nitrates produced, as the -OH group contributes greatly to water solubility (Shepson et al., 1996), and uptake into aqueous aerosol followed by continuing chemistry in the aqueous phase, which can be an important mechanism for SOA production (Carlton and Turpin, 2013).

A major challenge regarding our understanding of SOA formation from monoterpene oxidation is that there are several isomers of monoterpenes with very different structural characteristics that can exhibit very different yields of SOA following oxidation (Fry et al., 2014; Ziemann and Atkinson, 2012). For example, the SOA mass yield from the NO<sub>3</sub> oxidation of  $\alpha$ -pinene, which contains one endocyclic double bond, is ~0% under atmospherically relevant particle mass loadings, whereas that from  $\beta$ -pinene, which contains one terminal double bond, is 33% under the same experimental conditions (Fry et al., 2014). Limonene, with one tertiary endoand one terminal exocyclic double bond, also exhibits relatively larger SOA mass yields following oxidation by NO<sub>3</sub> (Fry et al., 2014; Spittler et al., 2006). Because NO<sub>3</sub> oxidation of  $\alpha$ -pinene primarily leads to tertiary peroxy radical formation (Wangberg et al., 1997), the initially formed alkoxy radical rearranges to a ketone and decomposes the nitrooxy group, releasing NO<sub>2</sub> and forming a keto–aldehyde, which has higher saturation vapor pressure compared to its ON analogue

(Pankow and Asher, 2008). However, decomposition of the nitrate is not exclusive for all tertiary alkoxy radicals following NO<sub>3</sub> oxidation as it may also depend on the structure of the adjacent bond. Based on structure—activity relationships, a  $\beta$ -alkyl substitution is expected to destabilize the adjacent bond more than a β-nitrate substitution (Vereecken and Peeters, 2009). In the case of βpinene or sabinene, for example, the expected decomposition pathway of the alkoxy radical leaves the nitrooxy group intact to form a keto-nitrate (Fry et al., 2014). SOA yields have also been shown to be strongly dependent on the total (gas + particle) yield of ON. Owing to their low saturation vapor pressures, multifunctional ON such as the hydroxy nitrates are thought to contribute significantly to SOA formation (Rollins et al., 2010a; Rollins et al., 2010b; Lee et al., 2016), and have been the focus of several laboratory and field research campaigns including the BEACHON 2011 field study in the Colorado front range (Fry et al., 2013), the BEARPEX 2009 study at the Blodgett forest site in the Western foothills of the Sierra Nevada (Beaver et al., 2012), the PROPHET and SOAS field studies in the upper Midwest and southeastern US (Xiong et al., 2015; Lee et al., 2016; Grossenbacher et al., 2004), and the Focused Isoprene eXperiment at the California Institute of Technology (FIXCIT) (Nguyen et al., 2014). These ON can rapidly undergo aqueous-phase processing, especially under acid-catalyzed conditions, to form diols and organosulfates (Jacobs et al., 2014; Rindelaub et al., 2015; Rindelaub et al., 2016; Surratt et al., 2008), which not only complicates quantification of organic nitrates in the aerosol phase (Russell et al., 2011), but affects product saturation vapor pressure and thus aerosol formation, represents a sink for NO<sub>x</sub>, and may affect the hygroscopic properties of organic aerosol (Suda et al., 2014). However, considering there are only a limited number of studies that have specifically investigated the yield of hydroxy nitrates, namely following OH and NO<sub>3</sub> oxidation of isoprene (Chen et al., 1998;Lockwood et al., 2010;Xiong et al., 2015) and α-pinene (Rindelaub et al., 2015;Wangberg et

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al., 1997), further measurements of their yields and role in aerosol formation from the oxidation of other terpenoids is critical.

In the southeastern U.S.,  $\alpha$ - and  $\beta$ -pinene tend to dominate monoterpene emissions (Geron et al., 2000), and their potential for ON and SOA formation are better-studied compared to other monoterpenes (Ayres et al., 2015;Lee et al., 2016). However, in other regions of the U.S., polyolefinic monoterpenes such as terpinene, ocimene, and limonene can be present in much greater proportions than in the southeastern US, which may be in part due to the relatively smaller abundance of the  $\alpha$ - and  $\beta$ -pinene emitter southern pine, but also more polyolefinic monoterpene emitters, including *Juniperus scopulorum*, a common cedar and  $\gamma$ -terpinene emitter in the Midwestern US (Geron et al., 2000). In particular, model simulations suggest that the oxidation of  $\gamma$ -terpinene, comprising two substituted endocyclic double bonds, can contribute as much as  $\alpha$ - and  $\beta$ -pinene to nighttime organic nitrate production in a mixed northern hardwood forest (Pratt et al., 2012). Those authors also showed that NO<sub>3</sub> reaction with BVOCs is important in the daytime. However, the ON and SOA yields following NO<sub>3</sub> oxidation of  $\gamma$ -terpinene have not been determined in laboratory studies.

Here we present a comprehensive laboratory investigation of the hydroxy nitrate, total gas and particle-phase ON, and SOA yields from the  $NO_3$  oxidation of  $\gamma$ -terpinene. For the hydroxy nitrate yield experiments, a surrogate standard compound was synthesized as presented in the supplemental information of Rindelaub et al. (2016), enabling quantitative determination of its yield using a chemical ionization mass spectrometer (CIMS). This work contributes to a broader understanding of SOA formation from the oxidation of polyolefinic monoterpenes, and the role of  $NO_3$  oxidation chemistry in the sequestration of  $NO_8$ .

#### 2. Methods

Yield experiments were conducted in a 5500 L photochemical reaction chamber with Teflon walls and perfluoroalkoxy (PFA)-coated endplates, in the dark (Chen et al., 1998). Briefly, the chamber was cleaned by flushing several times with ultra-zero (UZ) air in the presence of ultra-violet light. Experiments were conducted in a dry atmosphere (relative humidity < 1%) and at ambient temperature (~295 K). A total of 13 independent yield experiments were conducted over a range of initial  $\gamma$ -terpinene concentrations in the presence of N<sub>2</sub>O<sub>5</sub> with and without (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> seed particles. N<sub>2</sub>O<sub>5</sub> was produced in a dried glass vessel and crystallized at 195 K in a custom-made glass trap following thermal equilibrium with NO<sub>2</sub> and O<sub>3</sub>, as indicated in reactions (1) and (2) below.

$$O_3 + NO_2 \rightarrow NO_3 + O_2$$
 (1)

$$135 NO_3 + NO_2 \leftrightarrow N_2O_5 (2)$$

First, the BVOC was transferred to the chamber with UZ air via injection through a heated glass inlet and polytetrafluoroethylene (PTFE) line. For the seeded experiments,  $(NH_4)_2SO_4$  particles were generated by passing an aqueous solution through a commercial atomizer (Model 3076, TSI, Inc.) and subsequently dried through a diffusion dryer prior to entering the reaction chamber. The seed particles were polydisperse with a range in the geometric mean diameter,  $D_{p,g}$ , of 57 nm to 94 nm and geometric standard deviation,  $\sigma_g$ , of 1.39 to 1.91. Total seed number and mass concentrations were in the range  $0.61-5.15\times10^4$  cm<sup>-3</sup> and 8-48 µg m<sup>-3</sup>, respectively, assuming a seed particle density of 1.7 g cm<sup>-3</sup>. Yield experiments were initiated (time = 0) by injecting  $N_2O_5$  into the chamber with a flow of UZ air over the crystalline  $N_2O_5$ . The reactants were allowed to mix continuously in the chamber with a fan, and the reaction was terminated when no less than

10% of the  $\gamma$ -terpinene remained to limit secondary particle-phase or heterogeneous  $NO_3$  chemistry.

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Real-time measurements were made using several instruments: γ-terpinene concentrations were measured with a gas chromatograph-flame ionization detector (GC-FID; HP-5890 Series II), which was calibrated using a commercial γ-terpinene standard dissolved in cyclohexane. NO<sub>2</sub> concentrations were measured with a custom-built chemiluminescence NO<sub>x</sub> analyzer (Lockwood et al., 2010), and a scanning mobility particle sizer (SMPS; Model 3062, TSI, Inc.) was used to determine size-resolved particle mass concentrations. No direct concentration measurements of NO<sub>3</sub> were made. The hydroxy nitrates were measured online continuously using an iodide-adduct chemical ionization mass spectrometer (CIMS) (Xiong et al., 2015; Xiong et al., 2016). To quantify the production of monoterpene hydroxy nitrates, the CIMS was calibrated with a purified standard of an  $\alpha$ -pinene-derived hydroxy nitrate synthesized in-house via nitrification of  $\alpha$ -pinene oxide (Sigma-Aldrich, 97%) using Bi(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O (Rindelaub et al., 2016). The concentration of the purified hydroxy nitrate was verified via two complementary methods: <sup>1</sup>HNMR and FTIR, and the structure was verified using <sup>13</sup>C-NMR, as presented in the supplementary information of Rindelaub et al. (2016). The total ON yields and concentration of the standard were determined via FTIR measurement of the asymmetric -NO<sub>2</sub> stretch located at ~1640 cm<sup>-1</sup> using tetrachloroethylene (Sigma-Aldrich, HPLC grade,  $\geq$ 99.9%) as the solvent (Rindelaub et al., 2015). We note that the FTIR approach cannot distinguish mono- from poly-nitrated organics. However, given the relatively low concentrations of NO<sub>2</sub> compared to O<sub>2</sub> in the chamber and their rate constants with alkoxy radicals (Atkinson et al., 1982), first-generation di-nitrates constitute an insignificant fraction of ON (<0.2%). Second-generation di-nitrates from NO<sub>3</sub> reaction at the remaining double bond on γ-terpinene, however, may account for a maximum of ~10% of the total ON based on the

relative rates of primary to secondary monoterpene oxidation reactions. Thus the uncertainties for our reported yields include a component from this uncertainty (10%) in the fraction of the nitrates that are dinitrates. The total gas-phase ON yields were determined with FTIR following the sampling of chamber air through an annular denuder (URG-200) coated with XAD-4 resin and extraction from the denuder walls with tetrachloroethylene as in a previous study (Rindelaub et al., 2015). Aerosol particles were collected on 47 mm PTFE filters (1 µm pore size; ~100% collection efficiency) housed in a cartridge connected to the denuder exit. The collection efficiency of the denuder walls for gas-phase organic nitrates was determined to be >98% based on measurements of the concentration of 2-ethyl-hexyl-nitrate (Sigma–Aldrich, 97%) before and after the denuder with the GC-FID. The particle transmission efficiency was determined to be >98% by measuring the number concentration of particles before and after the denuder with the SMPS.

Wall loss and dilution corrections were applied to both the SOA and ON yields accounting for the time required to sample through the denuder. Following several of the experiments, the SOA concentration was measured as a function of reaction time with the wall with an average wall loss rate constant,  $k_{\text{wall,SOA}} = 9 \times 10^{-5} \text{ s}^{-1}$ . The gas-phase ON wall loss rate was determined based on the evolution of the CIMS-derived monoterpene hydroxy nitrate (M=C<sub>10</sub>H<sub>17</sub>NO<sub>4</sub>) signal ([M+I]<sup>-</sup>; m/z = 342) following an experiment, in which we obtained  $k_{\text{ONg}} = 2 \times 10^{-5} \text{ s}^{-1}$ , as shown in Fig. S1.

Selected filter extracts from two separate chamber experiments were analyzed for their chemical composition via ultra-performance liquid chromatography electrospray ionization time-of-flight tandem mass spectrometry (UPLC-ESI-ToF-MS/MS, Sciex 5600+ TripleToF with Shimadzu 30 series pumps and autosampler) to identify potential ON species in the particle phase from  $\gamma$ -terpinene oxidation by NO<sub>3</sub>. The samples were first dried with ultra-high purity nitrogen and then extracted with a 1:1 v:v solvent mixture of HPLC-grade methanol and 0.1% acetic acid

in nanopure H<sub>2</sub>O, which has been used successfully as a solvent system for identifying multifunctional organonitrate and organosulfate species (Surratt et al., 2008).

#### 3. Results and Discussion

### 3.1. SOA yields

Mass-dependent SOA yields ( $Y_{SOA}$ ) were derived from both seeded and unseeded experiments and defined here as the change in aerosol mass concentration ( $\Delta M$  in  $\mu g$  m<sup>-3</sup>) relative to the concentration of BVOC consumed ( $\Delta BVOC$  in  $\mu g$  m<sup>-3</sup>), i.e.,  $Y_{SOA} = \Delta M/\Delta BVOC$ .  $\Delta M$  was derived from individual SOA growth curves as shown in Fig. 1. Here the initial mass is defined as the average SMPS-derived particle mass in the chamber prior to  $N_2O_3$  injection, and the final mass is derived from the maximum of the SOA growth curve when  $\Delta BVOC$  stabilizes, as shown in Fig. S2. Note that under these experimental conditions, SOA formation occurs rapidly, limited on the short end by the thermal decomposition e-folding lifetime of  $N_2O_5$  (~30 s at 295 K) and the e-folding lifetime of  $NO_3$  reaction with  $\gamma$ -terpinene (few milliseconds assuming a rate constant of  $2.9 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>), and on the long end by the time scale for heterogeneous uptake of  $N_2O_5$  of several hours assuming an uptake coefficient at low relative humidity of  $10^{-4}$  (Abbatt et al., 2012).

 $Y_{\rm SOA}$  with and without seed particles as a function of particle mass loading are depicted in Fig. 2. The curve shows that under low mass loadings, the yields are less than under high mass loadings, indicative of absorptive partitioning (Hao et al., 2011;Odum et al., 1996). To model the measured  $Y_{\rm SOA}$  as a function of particle mass loading, we apply an absorptive partitioning model following the method of Odum et al. (1996), as shown in Eq. (1).

$$Y_{\text{SOA}} = M_0 \sum_{i} \left( \frac{\alpha_i K_{\text{om},i}}{1 + K_{\text{om},i} M_0} \right)$$

Here,  $\alpha_i$  is a proportionality constant describing the fraction of product i in the aerosol phase,  $M_0$ is the aerosol mass concentration, and  $K_{\text{om,i}}$  is the absorptive partitioning coefficient of the absorbing material. Assuming a two-product model, the best fit values are  $\alpha_1 = 0.94$ ,  $K_{\text{om},1} =$  $7.9\times10^{-4}$ ,  $\alpha_2 = 0.33$ , and  $K_{\text{om},1} = 2.6\times10^{-2}$ . Extending this model to a conservative ambient mass loading of 10 µg m<sup>-3</sup>, characteristic of biogenic SOA-impacted environments (Fry et al., 2014), the SOA yield is ~10%. We caution that the model is not very well constrained at low mass loadings due to the limited number of data points below 30 µg m<sup>-3</sup>. From the 95% confidence intervals, a conservative estimate of the relative uncertainty in the yield at 10  $\mu$ g m<sup>-3</sup> is +100/-50%. In contrast, at mass loadings >500 µg m<sup>-3</sup>, which is more relevant in highly polluted urban areas such as those along the coast of India (Bindu et al., 2016),  $Y_{SOA}$  can be as large as ~50%. For comparison,  $Y_{SOA}$ of other reaction systems applying the absorptive partitioning values derived from those experiments are plotted along with our experimental data in Fig. 2. The  $\gamma$ -terpinene + NO<sub>3</sub>  $Y_{SOA}$ are significantly less than those involving β-pinene, an important contributor to SOA formation predominately in the southeastern U.S (Boyd et al., 2015). However, at relatively low particle mass loadings,  $Y_{SOA}$  for NO<sub>3</sub> +  $\gamma$ -terpinene is comparable to those derived from the OH oxidation of  $\gamma$ terpinene and  $\alpha$ -pinene (Griffin et al., 1999;Lee et al., 2006). Interestingly, our measured  $Y_{SOA}$  at comparable mass loadings are also within the reported range of  $Y_{SOA}$  from the NO<sub>3</sub> oxidation of  $\alpha$ pinene of 0-16% (see Fry et al. (2014) and references therein), which are relatively small compared to other monoterpene + NO<sub>3</sub> reaction systems, which range from 13% to 65% for β-pinene, limonene, and  $\Delta$ -3-carene (Ng et al., 2017). The studies reporting low  $Y_{SOA}$  also report relatively low ON yields and high ketone yields, suggesting that the  $NO_3$  oxidation products of  $\alpha$ -pinene, and likely y-terpinene, lose the nitrate moiety and hence are sufficiently volatile and do not contribute significantly to SOA formation under atmospherically-relevant aerosol mass loadings.

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In contrast, the experiments reporting higher  $Y_{SOA}$  report relatively greater ON/ketone yield ratios, with the exception of sesquiterpenes such as  $\beta$ -caryophyllene, suggesting ON are important aerosol precursors.

#### 3.2. Organic nitrate yields

ON can partition to the particle phase and contribute to SOA formation and mass growth. However, measurements of their yields are limited and highly variable depending on the composition of the reactive organic species and the type of oxidant (Ziemann and Atkinson, 2012). Here we report the measured gas- and aerosol-phase ON yields, and the total (sum of gas and aerosol ON) yield following  $\gamma$ -terpinene oxidation by NO<sub>3</sub>. The ON yields ( $Y_{ON}$ ) are defined as the concentration of ON produced ( $\Delta$ ON) either in the gas or particle phases, relative to the concentration of BVOC consumed,  $\Delta$ BVOC, i.e.,  $Y_{ON} = \Delta$ ON/ $\Delta$ BVOC. In these experiments,  $\Delta$ BVOC was varied systematically by altering the concentration of N<sub>2</sub>O<sub>5</sub> added to the chamber and monitoring the change in BVOC concentration with the GC-FID. These experiments were conducted both in the presence and absence of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> seed aerosol particles and under dry conditions, and corrected for wall losses and dilution.

### 3.2.1. Total gas-phase organic nitrate yield

As indicated in Fig. 3, the concentration of total gas-phase ON (ON<sub>g</sub>; determined via FT-IR) increases linearly as a function of  $\Delta BVOC$ , independent of the presence or absence of the seed aerosol. By fitting both the unseeded and seeded data using linear regression, we derive a gas-phase molar ON yield ( $Y_{ONg}$ ) of 11(±1)%, where the relative uncertainty in the yield of ~9% is derived from the 95% confidence intervals (shown in dashed lines in Fig. 3) of the linear fit to the

data, and accounting for the measurement uncertainties, shown as error bars. The similar yields with or without seed particles implies that after some uptake, the two cases might appear identical to the adsorbing molecules. Some of the variability in the yield presented in Fig. 3, particularly below  $\Delta BVOC \sim 300$  ppb, may be attributed to greater relative uncertainty in  $\Delta ON$  and  $\Delta BVOC$  for low extents of BVOC reaction, different concentrations of  $NO_2$  in the chamber, and differences in the time frame of the experiment, as indicated in Table 1. While some wall loss of the lower volatility multifunctional oxidation products could bias the reported yields low (Zhang et al., 2014), the effects of wall loss on the yield of ON are accounted for in these experiments and minimal (< 5% correction to the yield), given our relatively short experimental timescales (~40 min on average) and measured wall loss rate of the hydroxy nitrate of  $\sim 10^{-5}$  s<sup>-1</sup>. As noted in the methods section, secondary oxidation of the remaining double bond of  $\gamma$ -terpinene may account for  $\sim 10\%$  of the uncertainty in  $Y_{ONg}$ . Regardless,  $Y_{ONg}$  observed here for  $\gamma$ -terpinene is considerably smaller than those measured from the  $NO_3$  oxidation of limonene and  $\beta$ -pinene, but very similar to the yield from  $NO_3$  oxidation of  $\alpha$ -pinene (Fry et al., 2014).

#### 3.2.2. Total particle-phase organic nitrate yield

In general, particle-phase ON concentrations (ON<sub>p</sub>) increase with increasing  $\Delta$ BVOC as shown in Fig. 4, with a particle-phase ON yield ( $Y_{ONp}$ ) from the slope of 3(±1)%. Since there were no significant differences in ON<sub>p</sub> between experiments conducted with and without seed aerosol, the slope (i.e., yield) is derived from a fit to both datasets. The insignificant difference in the particle phase ON yields between the seeded and unseeded experiments may be due to the large fraction of organic material in the particles in both cases, and for the seeded experiments, relative to sulfate. During both the seeded and unseeded experiments, on average particle mass increased

by orders of magnitude following uptake of the oxidation products. Thus, in terms of uptake from the gas phase, and component solubility, for example, the particles in the two cases are effectively identical.  $Y_{\text{ONp}}$  can be affected by wall loss of both semi-volatile ON products and particles. Given our relatively short experimental timescales and relatively large particle/wall surface area ratios (upwards of 0.05) compared to other studies (Nah et al., 2016; Zhang et al., 2014), wall loss corrections amount to an increase in the relative uncertainty of the yield of 8% to 39%. The greater spread in ON<sub>p</sub> compared to ON<sub>g</sub> (see Fig. 3) as a function of ΔBVOC may be due to variable chemistry occurring in the particle phase and the greater relative uncertainty in the case of the lower particle phase yields. It is possible that the presence of some aerosol liquid water and particle acidity, aided by the presence of hygroscopic (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and uptake of product HNO<sub>3</sub> by the particles, could result in relatively lower ON<sub>p</sub> yields, even at low relative humidity (Rindelaub et al., 2015). However, while we did not systematically investigate the dependence of yields on hydrolysis, we did two experiments that reveal that the ONs produced here are less prone to hydrolysis. Specifically, we found that the gas (10%), particle (1%-6%), and total ON yields (11%-16%) at a relative humidity of 50% were within the uncertainty of the yields determined under dry conditions. The expected major ON product shown in the right-hand side of Fig. 5 has a secondary nitrooxy functional group, which has been shown to be less prone to hydrolysis than tertiary nitrooxy groups (Darer et al., 2011). To account for the effects mentioned above, we estimate a more conservative aerosol organic nitrate yield of 3 (+2/-1)%, based on the upper limit of the data variability.

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#### 3.3. Organic nitrate aerosol partitioning and effect on SOA yield

The sum of  $ON_g + ON_p$  ( $ON_t$ ) is plotted as a function of  $\Delta BVOC$  in Fig. 6. Together, they result in a total molar ON yield,  $Y_{\text{ONt}} = 14(+3/-2)\%$ , accounting for the potential loss of aerosol phase ON as described previously, comparable to previously measured ON yields from the NO<sub>3</sub> oxidation of α-pinene of 10% (Fry et al., 2014) and 14% (Wangberg et al., 1997). From the ratio  $Y_{\rm ONp}/Y_{\rm ONt}$ , ~20% of the total ON produced from  $\gamma$ -terpinene + NO<sub>3</sub> partitioned to the particle phase, for these relatively high aerosol mass loading conditions. Assuming an average ON molar mass of 215 g mol<sup>-1</sup>, representing a C<sub>10</sub>-derived hydroxy nitrate (Rindelaub et al., 2015), roughly 14% of the total aerosol mass is comprised of ON. Gas-to-particle partitioning depends strongly on the molecule's equilibrium saturation vapor pressure and mass transfer kinetics (Shiraiwa and Seinfeld, 2012). The addition of nitrooxy and hydroxy groups, for example, can reduce the equilibrium saturation vapor pressure by several orders of magnitude (Capouet et al., 2008). Molecules with saturation vapor pressures >10<sup>-5</sup> atm are almost exclusively in the gas phase, whereas those below 10<sup>-13</sup> atm are almost exclusively in the condensed phase (Compernolle et al., 2011). We can estimate the saturation vapor pressure of the ON  $(p_i^0)$  based on the estimated ON aerosol mass fraction ( $\varepsilon_i^{\text{aero}}=0.14$ ) as given in Eq. (2) (Valorso et al., 2011).

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$$\varepsilon_i^{\text{aero}} = \frac{1}{1 + \frac{M_{\text{aero}} \gamma_i p_i^0}{C_{\text{aero}} RT}}$$

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Here,  $M_{\text{aero}}$  is the average particle molar mass,  $\gamma_i$  is the activity coefficient of molecule "i", and  $C_{\text{aero}}$  is the aerosol mass concentration, R is the gas constant, and T is temperature. Assuming ideality, i.e.,  $\gamma_i=1$ ,  $C_{\text{aero}}=835 \,\mu\text{g m}^{-3}$  (average of  $\Delta M$  values from experiments listed in table 1), and  $M_{\text{aero}}=215 \,\text{g mol}^{-1}$ , we derive a  $p_i^0$  for ON of  $\sim 6 \times 10^{-7}$  atm or  $\log_{10}$  saturation concentration of  $\sim 4$ 

μg m<sup>-3</sup>, which for a semivolatile C<sub>10</sub>-derived hydrocarbon is expected to have between two and four oxygen atoms (Donahue et al., 2011). This estimated  $p_i^0$  for ON is about an order of magnitude greater than that calculated for the expected tertiary hydroxy and hydroperoxy nitrates of yterpinene shown in Fig. 5 of 6.9×10<sup>-8</sup> atm and 3.9×10<sup>-8</sup> atm, respectively, using SIMPOL.1 (Pankow and Asher, 2008), suggesting that the  $ON_p$  products of  $\gamma$ -terpinene likely comprise a mixture of hydroperoxy and hydroxy nitrates, and other more volatile ON species, likely ketonitrates, e.g. as shown in Fig. 5 for the case of NO<sub>3</sub> addition to the more-substituted carbon. For the keto-nitrate shown in Fig. 5, we calculate a  $p_i^0$  value of 1.4×10<sup>-6</sup> atm, using SIMPOL, roughly a factor of two greater than our estimate for the average for our aerosol. For comparison, the ketoaldehyde presented in Fig. 5 ( $\gamma$ -terpinaldehyde) has a  $p_i^0$  value of 0.092 atm, using SIMPOL. As presented in the supplementary information, analysis of liquid extracts from filter samples using UPLC-ESI-ToF-MS/MS operated in negative ion mode indicate the presence of masses consistent with the first-generation hydroperoxy nitrate and second-generation di-hydroxy di-nitrates in the aerosol phase, the latter of which may result from both gas- and heterogeneous reactions that proceed at the unsubstituted olefinic C of a γ-terpinene hydroxy nitrate. In the absence of substantial HO<sub>2</sub> in our experiments, the dominant pathway for RO<sub>2</sub> is likely to follow either RO<sub>2</sub>+NO<sub>3</sub> or RO<sub>2</sub>+RO<sub>2</sub> (when [VOC]>>N<sub>2</sub>O<sub>5</sub>). However, in ambient nighttime air there may be substantially more RO<sub>2</sub>+HO<sub>2</sub> reactions than in our chamber experiments. Isoprene nitrooxy hydroperoxide, for example, has been identified as the major product from isoprene oxidation by the nitrate radical in the presence of HO<sub>2</sub> (Schwantes et al., 2015), and organic hydroperoxides have been identified as major SOA products from monoterpene and sesquiterpene ozonolysis (Reinnig et al., 2009; Docherty et al., 2005). Thus our chamber experiments may underestimate the concentration of hydroperoxides formed from  $\gamma$ -terpinene oxidation by NO<sub>3</sub> in the ambient

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environment. While we did not confirm the presence of epoxides in our experiments, and it is hard to see how an epoxide could form in the dark gas phase in these experiments, the remaining double bond of the first-generation hydroxy nitrate may be susceptible to epoxidation in the particle phase. For example, it is known that peroxyacetyl nitrate (PAN) very efficiently epoxidizes olefins in solution (Darnall and Pitts, 1970). While there would not be PAN as a product in our experiment, there could be very significant yields of the corresponding peroxy acyl nitrate from NO<sub>3</sub> reaction with terpinaldehyde, followed by uptake of that compound into the aerosol phase. As shown in Fig. 7 (A), that PAN compound could then react with, and produce the corresponding epoxide of any particle-phase compound with a double bond, e.g. the hydroxy nitrate, to produce a C<sub>10</sub>H<sub>17</sub>O<sub>5</sub> product. That epoxide would then do a pH-dependent hydrolysis in solution to produce the corresponding diol (C<sub>10</sub>H<sub>18</sub>O<sub>6</sub>) (Jacobs et al., 2014). Applying the Extended Aerosol Inorganics Model (E-AIM) (http://www.aim.env.uea.ac.uk/aim/aim.php), we estimate a pH~5.5 for the (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> seed particles under saturated conditions, but becoming more acidic as the particles uptake HNO<sub>3</sub>. It is important to note that the reaction products and their concentrations and thus degree of aerosol partitioning and SOA yields may also be affected by the concentration of NO<sub>3</sub> in the chamber. Under very high NO<sub>x</sub> conditions as in some of the experiments here, reactions between RO<sub>2</sub> and NO<sub>3</sub> out-compete those with HO<sub>2</sub>, which may lead to formation of relatively more volatile carbonyl reaction products, as indicated in Fig. 5, and relative suppression of particle mass. This effect is consistent with other studies that report lower SOA yields in the presence of high NO<sub>x</sub> concentrations (Ng et al., 2007; Presto et al., 2005; Song et al., 2005). Regardless, an aerosol mass fraction of ON of 14% is considerably less than that obtained for other monoterpenes reacting with NO<sub>3</sub>, with the exception of α-pinene (Fry et al., 2014). This could be a result of both production of mostly volatile ON species, in particular keto-nitrates, and further reaction of the

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olefinic hydroxy nitrate in the aerosol phase. To verify the potential role of hydroxy nitrates in SOA production from  $NO_3 + \gamma$ -terpinene as well as the presence of other ON, the following section focuses on product identification of gas phase ON species using CIMS and determination of gas phase hydroxy nitrate yields.

#### 3.4.CIMS product identification and hydroxy nitrate yields

NO<sub>3</sub> reactions with VOCs lead to either abstraction of a hydrogen atom or addition to a double bond. Since  $\gamma$ -terpinene has two double bonds with similar character, NO<sub>3</sub> likely has equal probability of adding to either internal double bond. However, addition of NO<sub>3</sub> to either one of the olefins is likely to form the more stable tertiary nitrooxy alkyl radical. Subsequent addition of O<sub>2</sub> forms the  $\beta$ -nitrooxyperoxy radical that can lead to an array of products, including hydroxy nitrates, most likely from self or cross RO<sub>2</sub> + RO<sub>2</sub> reactions or isomerization (Yeh and Ziemann, 2014; Ziemann and Atkinson, 2012). C<sub>10</sub>-derived hydroxy nitrates and other multifunctional ON have been identified in field-sampled SOA particles, and for nighttime ON<sub>p</sub>, C<sub>10</sub>-derived ON could account for approximately 10% of the organic aerosol mass during the Southern Oxidant and Aerosol Study (SOAS) campaign in the U.S. southeast (Xu et al., 2015; Lee et al., 2016). However, our current understanding of C<sub>10</sub>-derived hydroxy nitrate yields is limited to production via oxidation of  $\alpha$ -pinene (Wangberg et al., 1997). Here we expand on this by determining the hydroxy nitrate yield from  $\gamma$ -terpinene oxidation by NO<sub>3</sub> and identify other potentially important ON species using CIMS.

Figure 8 shows example CIMS mass spectra (red and blue traces) and the enhancements over the background in the presence of NO<sub>3</sub> (black trace) following a chamber experiment, where the enhancement is calculated from the signal for  $\frac{NO_3-no\ NO_3}{no\ NO_3}$ . Several molecules were detected at

masses below the iodide reagent ion signal (m/z = 127) following N<sub>2</sub>O<sub>5</sub> addition to the chamber and correspond to  $NO_3^-$  (m/z = 62),  $NO_3^-$  ( $H_2O_{1,2}$  (m/z = 80, 98),  $N_2O_5^-$  (m/z = 108), and what appears to be a nitrate–nitric acid cluster anion,  $HN_2O_6^-$  (m/z = 125) (Dubowsky et al., 2015; Huey, 2007). The water cluster ions and nitric acid (also at m/z = 190, corresponding to I·HNO<sub>3</sub>) result from ion-molecule reactions in the humidified drift tube of our CIMS and residual HNO<sub>3</sub> from the  $N_2O_5$  cold trap. Several larger molecular weight species were detected in the range of  $300 \le m/z \le$ 450, consistent with products from monoterpene oxidation, with enhancements over the background as large as a factor of 50 to 100. Specifically, the first-generation hydroxy nitrates are observed at m/z = 342 (C<sub>10</sub>H<sub>17</sub>NO<sub>4</sub>–I<sup>-</sup>). Several masses follow, separated by 16 mass units, or addition of a single oxygen atom, whereby each new ON has 15, 17, or 19 H atoms. Similar observations were made in the field during the SOAS campaign for both ONg and ONp (Lee et al., 2016), indicating the presence of highly-functionalized ONs. It is important to note that the products observed here are derived from a single monoterpene, whereas the field ON measurements consist of products derived from all ambient monoterpene oxidation. Other major peaks included those at m/z = 340, potentially representing an iodide-adduct with either an aldehyde or keto-nitrate ( $C_{10}H_{15}NO_4-\Gamma$ ), and m/z=358, which may be indicative of an iodideadduct with a hydroperoxy nitrate ( $C_{10}H_{17}NO_5-\Gamma$ ). A cluster of ions was detected above m/z = 400, potentially representing molecules with higher degrees of oxygenation and secondary oxidation products such as a di-hydroxy-di-nitrate at m/z = 421 (C<sub>10</sub>H<sub>18</sub>N<sub>2</sub>O<sub>8</sub>-I<sup>-</sup>), which could be formed through second-generation oxidation at the remaining unsubstituted carbon of the double bond on the first-generation hydroxy nitrate. It is important to note that the CIMS sensitivity for each of these species is likely different and depends on the polarity and acidity of the individual compound, which is affected by the type and positions of the different functional groups (Lee et al., 2016).

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For example, iodide-adduct CIMS is not particularly sensitive to aldehyde and carbonyl nitrates, whereas more acidic and polar molecules such as hydroxy nitrates and carboxylic acids can exhibit much greater sensitivity (Lee et al., 2016). Moreover, in general as the molecular size and number of oxygenated groups increase (particularly –OH groups), the sensitivity also increases. Hence, without commercial or custom synthetic standards, no quantitative analysis of the array of ON products could be reliably performed using this technique.

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Here we determine the yield of  $\gamma$ -terpinene-derived hydroxy nitrates. Since there is no commercially-available standard for the expected first-generation  $\gamma$ -terpinene hydroxy nitrate, we use a synthetic olefinic hydroxy nitrate derived from  $\alpha$ -pinene (structure shown in Fig. S4) for quantitative analysis (Rindelaub et al., 2016). It is possible that the CIMS is less sensitive to this nitrate compared to the more sensitive α,β-hydroxy nitrate structure expected of the firstgeneration  $\gamma$ -terpinene hydroxy nitrates, similar to the differences in the CIMS sensitivity for 4,3isoprene hydroxy nitrate (4,3-IN) and 1,4-IN (Xiong et al., 2015). However, the use of an olefinic hydroxy nitrate is consistent with that expected from  $\gamma$ -terpinene oxidation because of its diolefinic character. As shown in Fig. 9,  $\gamma$ -terpinene-derived hydroxy nitrate concentrations increase linearly over the range of  $\triangle BVOC$  with a hydroxy nitrate yield defined from the slope as  $4(\pm 1)\%$ . Assuming the CIMS sensitivity for the  $\gamma$ -terpinene hydroxy nitrates may be a factor of three greater than for our synthetic  $\alpha$ -pinene-derived hydroxy nitrate, a more conservative estimate of the  $\gamma$ terpinene-derived hydroxy nitrate yield is 4(+1/-3)%. To our knowledge, the only monoterpene hydroxy nitrate yield to have been quantified following NO<sub>3</sub> oxidation is 2-hydroxypinan-3nitrate, derived from α-pinene (Wangberg et al., 1997). In that study, the hydroxy nitrate yield was determined using a combination of FT-IR and GC-ECD to be  $5(\pm 0.4)\%$ , on the same order as the yield presented in this study for  $\gamma$ -terpinene using CIMS. 3-oxopinan-2-nitrate ( $C_{10}H_{15}NO_4$ ; 213 g

mol<sup>-1</sup>) and a short-lived, thermally unstable peroxy nitrate ( $C_{10}H_{16}N_2O_7$ ; 276 g mol<sup>-1</sup>) were also identified in that study. It is possible that similar products are made following NO<sub>3</sub> oxidation of  $\gamma$ -terpinene, and potentially make up the signals detected at m/z = 340 and m/z = 403, respectively, as shown in Fig. 8. However, the CIMS sensitivity toward these products is expected to be relatively small compared to that for the hydroxy nitrates, due to their relatively lower polarity and acidity. Moreover, peroxy nitrates are thermally unstable and their concentrations are likely greatly reduced during transfer through the heated sampling line.

### 3.5.Proposed reaction mechanism

The similarities between the, at first seemingly low,  $\gamma$ -terpinene + NO<sub>3</sub>-derived  $Y_{ONt}$ , hydroxy nitrate yield, and  $Y_{SOA}$  with those for NO<sub>3</sub> +  $\alpha$ -pinene are provocative. This suggests the two monoterpenes may undergo very similar degradation pathways following NO<sub>3</sub> oxidation, which is not observed with other monoterpenes with a substituted endocyclic double bond (Fry et al., 2014). As such, our mechanistic interpretation, shown in Fig. 5, is analogous to that for the  $\alpha$ -pinene + NO<sub>3</sub> reaction, as described in the Master Chemical Mechanism (MCM) (Jenkin et al., 1997;Saunders et al., 2003). NO<sub>3</sub> will predominately add to the C-3 (unsubstituted) position forming the more stable tertiary alkyl radical. However, to some extent, NO<sub>3</sub> may also add to the second carbon forming the less stable secondary alkyl radical, approximately 35% of the time according to the MCM. Oxygen promptly adds to the alkyl radical to form either a tertiary or secondary peroxy radical (ROO·). Excess NO<sub>2</sub>, due to thermal decomposition of N<sub>2</sub>O<sub>5</sub>, can add to the peroxy radical forming a thermally unstable peroxy nitrate (-OONO<sub>2</sub>) in equilibrium with the peroxy radical. Subsequent RO<sub>2</sub>· self- and cross-reactions as well as reaction with NO<sub>3</sub> form the alkoxy radical (RO·). The alkoxy radical can subsequently decompose to form a carbonyl nitrate

or γ-terpinaldehyde (Fig. 5) and release NO<sub>2</sub>. Analogously, pinonaldehyde is the major NO<sub>3</sub> oxidation product of  $\alpha$ -pinene with reported yields of  $62(\pm 4)\%$  (Wangberg et al., 1997) and  $75\pm 6\%$ (Berndt and Böge, 1997). Given the very similar tertiary alkoxy radicals produced from NO<sub>3</sub> oxidation of α-pinene and γ-terpinene, and the similar SOA, ONt, and hydroxy nitrate yields, conceivably  $\gamma$ -terpinaldehyde is produced and with similarly high but undetermined yields as pinonaldehyde from α-pinene oxidation by NO<sub>3</sub>. Similar results have been reported for the ozonolysis of y-terpinene, which primarily leads to decomposition and formation of yterpinaldehyde with a yield of 58% (Ng et al., 2006). Alternatively, disproportionation, involving a secondary peroxy radical, produces a hydroxy nitrate and a carbonyl compound from the partnering RO<sub>2</sub> (Yeh and Ziemann, 2014; Ziemann and Atkinson, 2012; Wangberg et al., 1997). As we have shown, the experimentally-derived yield for these products is 4%, or roughly 25% of ON<sub>t</sub>. The remaining organic nitrate species likely contains both carbonyl and hydroperoxy (-OOH) functionalities, and perhaps peroxy nitrates, following NO<sub>2</sub> addition to the peroxy radical. A major species detected by our CIMS has an m/z = 358, which may represent an I adduct with a hydroperoxy nitrate. This product is only produced due to reactions between hydroperoxy radicals (HO<sub>2</sub>·) and RO<sub>2</sub>· (Ziemann and Atkinson, 2012). Conceivably, HO<sub>2</sub>· is produced in our system from hydrogen abstraction from alkoxy radicals by oxygen (Wangberg et al., 1997).

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To test the hypothesis that  $\gamma$ -terpinene behaves similarly to  $\alpha$ -pinene following reaction with NO<sub>3</sub>, we ran a simple box model based on the mechanisms for NO<sub>3</sub> oxidation of  $\alpha$ -pinene as presented in the MCM, and compared the model output with the measured concentrations of  $\gamma$ -terpinene, NO<sub>2</sub>, and hydroxy nitrates. The model is constrained by the initial and final GC-FID-derived concentrations of  $\gamma$ -terpinene. Since the nitrate radical concentration was not determined experimentally, the concentration of NO<sub>3</sub> in the model was determined by adjusting the N<sub>2</sub>O<sub>5</sub>

concentration until the fitted concentration change of y-terpinene matched that which was measured. This approach implicitly assumes γ-terpinene is consumed only from reaction with NO<sub>3</sub>, which is expected given the orders of magnitude greater reactivity of NO<sub>3</sub> compared to the other reactants in our system, which includes N<sub>2</sub>O<sub>5</sub> and NO<sub>2</sub>. The results of the model are presented in Fig. 10. For comparison, modeled concentrations are plotted along with the measured concentrations of γ-terpinene, NO<sub>2</sub>, and hydroxy nitrates derived from one of the experiments. At a first approximation, the modeled concentrations appear to be in agreement with those measured, given the semi-quantitative nature of the product, particularly the hydroperoxides. As shown in the top panel of Fig. 10, NO<sub>3</sub>/HO<sub>2</sub> ratios are ~3 at peak [HO<sub>2</sub>], then decrease to ~1 as the products reach steady state. In comparison, ambient nighttime NO<sub>3</sub>/HO<sub>2</sub> ratios of ~1 have been measured during the PROPHET 1998 field intensive in northern Michigan (Hurst et al., 2001; Tan et al., 2001), and ~0.25 at the BEARPEX field site in north central California (Bouvier-Brown et al., 2009; Mao et al., 2012). The relatively larger ratios in our chamber, initially, suggest hydroperoxy nitrates may be underrepresented compared to the atmosphere. Notably, the agreement between modeled and measured  $[NO_2]$  implies that model-derived  $[N_2O_5]$  is close to that in the reaction chamber as  $[NO_2]$  is in equilibrium with  $N_2O_5$ . Although not quantified experimentally, qualitative analysis of the CIMS mass spectra indicates the presence of carbonyl and hydroperoxy nitrates, which is consistent with the major ON products expected from the mechanism shown in Fig. 5.

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#### 4. Atmospheric Implications

The relatively low SOA and ON yields observed here under dry conditions at ambient mass loadings suggests γ-terpinene may not be an important SOA precursor at night, when NO<sub>3</sub> can be the dominant oxidant. However, the low saturation vapor pressure of the hydroxy nitrates, which

constitute a significant portion of the total ON, and the presence of some highly oxygenated products, further suggests that these molecules are potentially important contributors to SOA mass. While our experiments were conducted near dry conditions, in the ambient forest environment, particularly at night and in the early morning when the relative humidity near the surface is high and NO<sub>3</sub> reactions are competitive with O<sub>3</sub> and OH, hydroxy nitrates in the particle phase can enhance SOA formation through acid-catalyzed hydrolysis and oligomerization, and in the presence of sulfates, form organic sulfates (Liu et al., 2012;Paulot et al., 2009;Rindelaub et al., 2016;Surratt et al., 2008;Rindelaub et al., 2015), ultimately affecting the lifetime of NO<sub>x</sub> (Browne and Cohen, 2012;Xiong et al., 2015). Furthermore, the transformation of the nitrooxy group to a hydroxyl or sulfate group will alter the hygroscopicity of the particle, making them more effective cloud condensation nuclei (Suda et al., 2014).

It is important to note that under relatively clean air conditions, the peroxy radical produced via NO<sub>3</sub> reaction with γ-terpinene will often react with HO<sub>2</sub> to produce nitrooxy hydroperoxides. As shown in the reaction scheme (B) in Fig. 7, these species can then react with NO<sub>3</sub> and then HO<sub>2</sub>, RO<sub>2</sub> or NO<sub>3</sub> again, to yield a variety of highly oxidized very low vapor pressure products that will likely partition completely to the aerosol phase. Under humid conditions, the nitrooxy groups may hydrolyze, leaving more polar/water soluble OH groups.

Although the SOA yields are low, these chamber experiments did not represent all possible reactants that can produce particle phase precursors. Recent work indicates keto-aldehydes are potentially an important source of nitrogen-containing low volatility compounds following their reaction with dimethylamine, serving as precursors to SOA and brown carbon (Duporté et al., 2016). As shown in this study, the keto-aldehyde yield is expected to be large, along with other internal olefinic terpenes. It is also important to note that the keto-aldehyde product,  $\gamma$ -

terpinaldehyde, is olefinic. Further homogeneous and multiphase oxidation reactions at the remaining reactive double bond can potentially transform these species into oligomeric lower-volatility oxidation products, adding to the overall SOA burden (Liggio and Li, 2008). In regions such as the northern U.S., where there are greater proportions of polyolefinic monoterpenes (Geron et al., 2000),  $\gamma$ -terpinene may be an important reactive VOC, and thus impact aerosol and local-scale  $NO_x$ .

#### 5. Conclusions

The total molar ON yield from the NO<sub>3</sub> oxidation of  $\gamma$ -terpinene was found to be 14(+3/-2)%. Relatively low particle-phase ON and SOA yields are consistent with previous studies that show SOA yields are generally dependent on the yield of ON. Although  $\gamma$ -terpinene is a diolefin, the ON, hydroxy nitrate, and SOA yields are similar to those for  $\alpha$ -pinene oxidation by NO<sub>3</sub>. Considering the position of the two double bonds, the expected major product is  $\gamma$ -terpinaldehyde, which is considerably more volatile than the ON products. Box model calculations that assume large keto–aldehyde yields are also in agreement with the measured concentrations of hydroxy nitrates, suggesting very similar mechanistic behavior to that of  $\alpha$ -pinene oxidation. Several gasand particle-phase ON products have been inferred from mass spectrometry analysis, indicating that NO<sub>3</sub> reaction with  $\gamma$ -terpinene may be an important source of ON and dicarbonyl compounds in forest-impacted environments.

#### **Author Contributions**

J. H. S. and P. B. S. designed the research and wrote the manuscript. J. H. S. performed the yield experiments and analyzed the data. J. H. S. and C. d-P. analyzed the filter samples. L. L. oversaw the analysis of the filter samples. All authors contributed intellectually to the manuscript.

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- 561 CHE-1550398. The authors declare that they have no conflicts of interests.

# **Tables**

Table 1. Initial conditions and yields from individual experiments. Time indicates the period between  $N_2O_5$  addition to the chamber and gas and particle collection by the denuder and filter. "n.m." indicates "not measured".

Date	Seed	ΔBVOC/ ppb	ΔON <sub>g</sub> / ppb	ΔBVOC/ mol×10 <sup>-4</sup>	ΔON <sub>p</sub> /mol×10 <sup>-4</sup>	[NO <sub>2</sub> ]/ ppb	Time/ min	Yong	Yonp	ΔM/ μg m <sup>-3</sup>
9/9/15	None	229	10	0.52	0.012	60	52	4%	2%	530
9/17/15	None	131	16	0.30	0.025	31	30	12%	9%	272
9/19/15	None	90	7	0.20	0.017	29	28	7%	8%	311
9/21/15	None	214	15	0.48	0.007	56	73	7%	2%	604
9/23/15	None	256	21	0.58	0.017	82	18	8%	3%	534
9/23/15	None	80	8	0.18	0.007	23	31	10%	4%	61
10/20/15	$(NH_4)_2SO_4$	761	90	1.70	0.038	n.m.	62	12%	2%	3800
10/22/15	$(NH_4)_2SO_4$	164	31	0.37	0.035	n.m.	48	19%	9%	575
10/28/15	$(NH_4)_2SO_4$	47	9	0.11	0.002	7	14	18%	2%	32
11/09/15	$(NH_4)_2SO_4$	245	23	0.55	0.006	54	48	10%	1%	1143
11/10/15	(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	66	4.5	0.15	0.006	28	32	7%	4%	159
11/12/15	None	413	49	0.93	0.020	326	45	12%	2%	623
11/18/15	None	408	39	0.92	0.037	138	35	10%	4%	2206

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# **Figures**

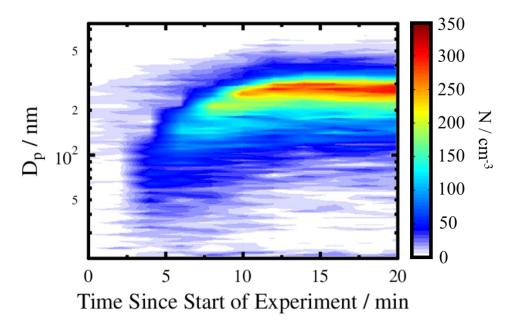


Figure 1. Example wall loss-corrected SOA growth curve for  $\gamma$ -terpinene + NO<sub>3</sub> in the absence of seed aerosol. The color scale represents aerosol number concentration, N (cm<sup>-3</sup>).

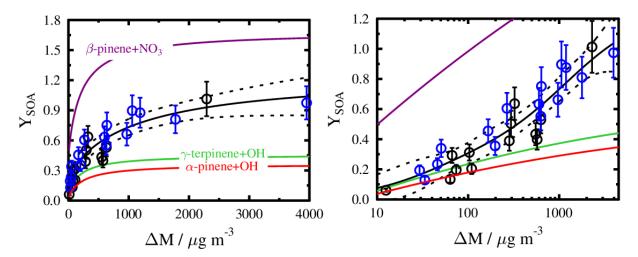


Figure 2. Change in aerosol mass concentration ( $\Delta M$ ) and wall-loss corrected SOA yields ( $Y_{SOA}$ ) from the NO<sub>3</sub> oxidation of  $\gamma$ -terpinene in unseeded (black circles) and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>-seeded experiments (blue circles). The data were fitted to a two-product absorptive partitioning model (black curve) and the dashed curves represent the 95% confidence intervals of the fitting function. For comparison, the mass-dependent yield curves of  $\alpha$ -pinene and  $\gamma$ -terpinene in the presence of OH are shown in the red and green curves, respectively, and  $\beta$ -pinene + NO<sub>3</sub> in purple (Griffin et al., 1999; Lee et al., 2006). For clarity, the right panel shows the left panel data on a log scale.

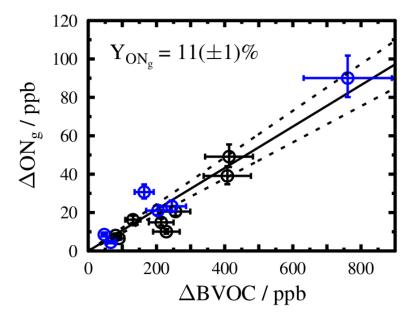


Figure 3. Total wall loss- and dilution-corrected gas-phase organic nitrate production ( $\Delta ON_g$ ) as a function of the amount of BVOC consumed ( $\Delta BVOC$ ) for the unseeded (black circles) and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>-seeded experiments (blue circles). Horizontal and vertical error bars represent the uncertainty in the GC-FID and FT-IR calibrations, respectively. The black line shows the linear fit of the data through the origin and the dashed lines indicate the 95% confidence intervals of the fit. The slopes of these lines represent the fractional organic nitrate yield and uncertainty presented in the plot, respectively.

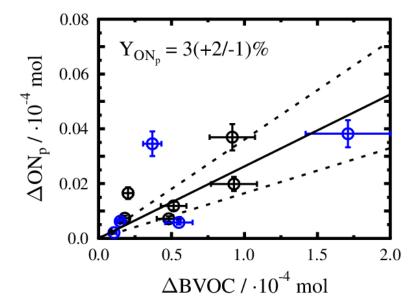


Figure 4. Total wall loss- and dilution-corrected particle-phase organic nitrate production ( $\Delta ON_p$ ) as a function of the amount of BVOC consumed ( $\Delta BVOC$ ) for the unseeded (black circles) and ( $NH_4$ )<sub>2</sub>SO<sub>4</sub>-seeded experiments (blue circles). The error bars and fits are derived as in Fig. 3.

Figure 5. Proposed initial reaction pathways for the  $NO_3$  oxidation of  $\gamma$ -terpinene. For simplicity, only the first-generation oxidation products are shown.

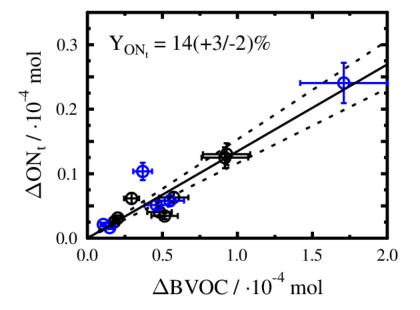


Figure 6. Total wall loss- and dilution-corrected organic nitrate production ( $\Delta ON_t$ ) as a function of the amount of BVOC consumed ( $\Delta BVOC$ ) for the unseeded (black circles) and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>-seeded experiments (blue circles). The error bars and fits are derived as in Fig. 3.

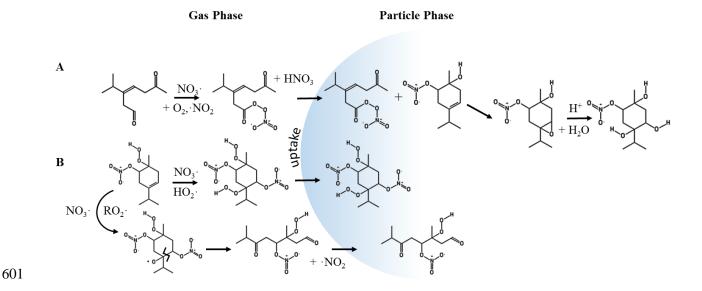


Figure 7. Potential second-generation oxidation reactions and particle-phase chemistry. (A) NO<sub>3</sub> oxidation of terpinaldehyde and olefin epoxidation by peroxy acyl nitrate, followed by acid-catalyzed hydrolysis of the epoxide to form the diol. (B) RO<sub>2</sub>· and HO<sub>2</sub>· pathways following NO<sub>3</sub> oxidation of the first-generation nitrooxy hydroperoxide.

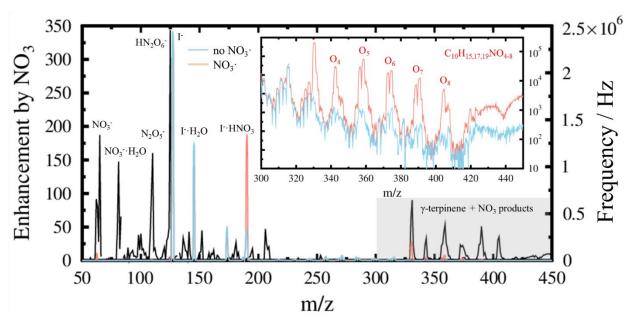


Figure 8. CIMS mass spectra before (blue) and after  $\gamma$ -terpinene oxidation by NO<sub>3</sub> (red) correspond to right axis. Signal enhancement by addition of NO<sub>3</sub> is shown in the black trace. The inset figure shows an enhanced region of the mass spectra corresponding to the shaded area, which indicates the presence of multifunctional ON compounds with the number of oxygen atoms consistent with the depicted chemical formula. The "O<sub>4</sub>" peak was used to quantify hydroxy nitrate concentrations. Signal enhancement by addition of NO<sub>3</sub> is shown in the black trace.

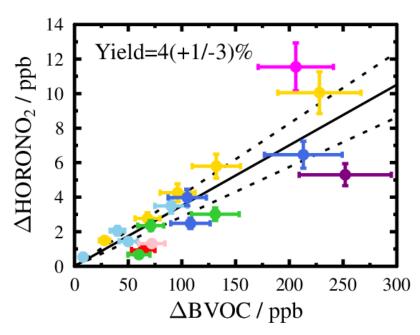


Figure 9.  $\gamma$ -terpinene hydroxy nitrate production ( $\Delta$ HORONO<sub>2</sub>) as a function of the amount of BVOC consumed ( $\Delta$ BVOC). Colors represent independent experiments performed on different days. All of the experiments were conducted in the absence of seed aerosol. The error bars and fits are derived as in Fig. 3.

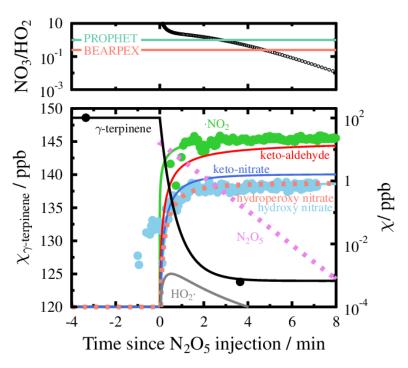


Figure 10. Time series of experiment indicating measured (circles) and modeled (lines) concentrations of  $\gamma$ -terpinene (black),  $N_2O_5$  (dashed violet),  $NO_2$  (green),  $HO_2$  (gray), keto-aldehyde (red), keto-nitrate (dark blue), hydroperoxy nitrate (dashed pink), and hydroxy nitrate (light blue). Top panel shows simulated  $NO_3/HO_2$  ratios (black circles) compared to measured ambient nighttime ratios from the PROPHET and BEARPEX field intensives. The model is based on the MCM for  $\alpha$ -pinene reaction with  $NO_3$ .

# **Supplementary Information**

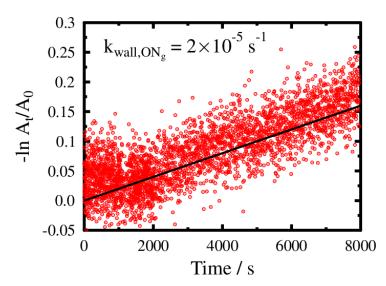


Figure S1. Wall loss rate of m/z 342 as measured by CIMS, corresponding to the monoterpene hydroxy nitrate—I<sup>-</sup> adduct.

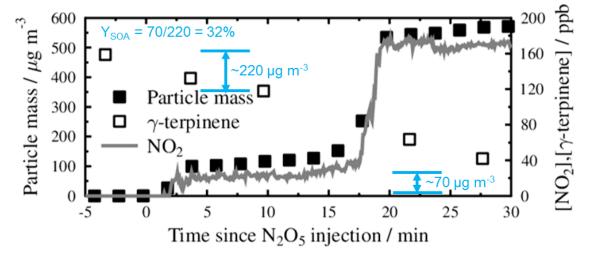


Figure S2. Example experimental time series and calculation of SOA yield.

*Identification of ON in filter extracts* 

Figure S3 shows the extracted ion chromatograms (EIC) of the synthetic  $\alpha$ -pinene-derived hydroxy nitrate (red), and potential particle-phase organic nitrates, including the first-generation hydroperoxy nitrate (green) and di-hydroxy di-nitrate (blue). For each EIC, there is a

corresponding MSMS (MS<sup>2</sup>) spectrum, which shows the fragment ions of the parent [M+AcO<sup>-</sup>] adduct ion species. The synthesized  $\alpha$ -pinene-derived hydroxy nitrate adduct with AcO<sup>-</sup> (m/z = 274.1291) MS<sup>2</sup> spectrum indicates there are two primary fragment ions that correspond to AcO<sup>-</sup> and NO<sub>3</sub><sup>-</sup>. These ions were then used as signatures to identify organic nitrate species in the filter sample extracts. Detected masses and their corresponding mass spectra and tandem mass spectra were further analyzed and matched according to the chemical formula: C<sub>a</sub>H<sub>b</sub>N<sub>c</sub>O<sub>d</sub>. Of the two samples analyzed, the most abundant species with the NO<sub>3</sub><sup>-</sup> fragment ion have an m/z = 353.1197, corresponding to a molecule with chemical formula C<sub>10</sub>H<sub>18</sub>N<sub>2</sub>O<sub>8</sub> + AcO<sup>-</sup>, and m/z = 290.1241 (C<sub>10</sub>H<sub>17</sub>NO<sub>5</sub> + AcO<sup>-</sup>).

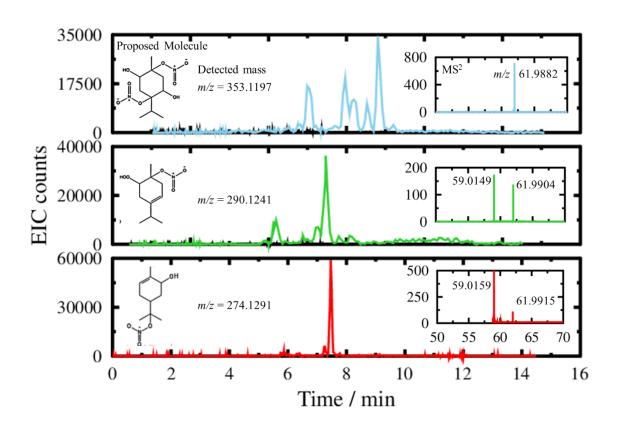


Figure S3. UPLC-ESI(-)-ToF-MS/MS extracted ion chromatograms (EIC) for the synthetic α-pinene-derived hydroxy nitrate (red), hydroperoxy nitrates present in the filter extracts (green), and di-hydroxy–di-nitrates present in the filter extracts (blue). For reference, the background EICs

(HPLC-grade methanol) for each mass are also plotted (black). The insets show the  $MS^2$  spectra and the listed m/z values in the  $MS^2$  spectra correspond to the most intense peak. Potential molecular structures are shown for reference.

$$+O$$
 $ONO_2$ 

Figure S4. Molecular structure of the synthetic  $\alpha$ -pinene-derived hydroxy nitrate used for calibration of the CIMS.

## Box model inputs

The box model applied to simulate the reaction and products from the  $NO_3$  oxidation of  $\gamma$ -terpinene was performed in Matlab v7.7.0 using the ordinary differential equations (ODE23s) solver in Matlab. Table S1 lists the various reactions and rate constants applied in the model. The majority of the rate constants were abstracted from those applied in the Master Chemical Mechanism version, with the exception of  $NO_3 + \gamma$ -terpinene since the MCM does not explicitly include  $\gamma$ -terpinene. Wall loss rate constants were included in the model for  $NO_3$ ,  $N_2O_5$ , and the hydroxy-, hydroperoxy-, and keto-nitrates as described in the footnotes of Table S1.

**Table S1.** Box model parameters for simulating the  $NO_3+\gamma$ -terpinene reaction in the chamber.

Reaction	Rate constant
$N_2O_5 \rightarrow NO_3 + NO_2$	$\frac{1\times10^{-12}}{2.13\times10^{-27}e^{\frac{11025}{T}}} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$
$NO_3 + NO_2 \rightarrow N_2O_5$	$1 \times 10^{-12}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup>
<sup>a</sup> NO <sub>3</sub> + wall → loss	$6 \times 10^{-4} \text{ s}^{-1}$
$^{b}N_{2}O_{5} + wall \rightarrow loss$	$5 \times 10^{-6} \text{ s}^{-1}$
$^{c}$ γ-terpinene + NO <sub>3</sub> → α-nitrooxy peroxy radical	$24 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1} *0.35$

$\alpha$ -nitrooxy peroxy radical + NO <sub>3</sub> $\rightarrow$ $\alpha$ -nitrooxy alkoxy radical + NO <sub>2</sub>	$2.3 \times 10^{-12}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup>
α-nitrooxy peroxy radical + $HO_2 \rightarrow \beta$ - hydroperoxy nitrate	$2.91 \times 10^{-13} e^{\frac{1300}{T}}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.914
$\alpha$ -nitrooxy peroxy radical + RO <sub>2</sub> $\rightarrow$ β-hydroxy nitrate	$2.5 \times 10^{-13}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.1
$\alpha$ -nitrooxy peroxy radical + RO <sub>2</sub> $\rightarrow$ $\alpha$ -nitrooxy alkoxy radical	$2.5 \times 10^{-13}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.8
$\alpha$ -nitrooxy peroxy radical + RO <sub>2</sub> $\rightarrow$ $\beta$ -keto nitrate	$2.5 \times 10^{-13}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.1
α-nitrooxy alkoxy radical + $O_2 \rightarrow \beta$ -keto nitrate + $HO_2$	$2.5 \times 10^{-14} e^{\frac{-300}{T}} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$
α-nitrooxy-β-alkoxy radical → keto-aldehyde + NO <sub>2</sub>	$4 \times 10^5  \mathrm{s}^{-1}$
$^{c}$ γ-terpinene + NO <sub>3</sub> → β-nitrooxy peroxy radical	$24 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1} *0.65$
$β$ -nitrooxy peroxy radical + NO <sub>3</sub> $\Rightarrow$ $β$ -nitrooxy alkoxy radical + NO <sub>2</sub>	$2.3 \times 10^{-12}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup>
$β$ -nitrooxy peroxy radical + HO <sub>2</sub> $\rightarrow α$ - hydroperoxy nitrate	$2.91 \times 10^{-13} e^{\frac{1300}{T}}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.914
$β$ -nitrooxy peroxy radical + RO <sub>2</sub> $\Rightarrow$ α-hydroxy nitrate	$6.7 \times 10^{-15}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.1
$β$ -nitrooxy peroxy radical + RO <sub>2</sub> $\Rightarrow$ $β$ -nitrooxy alkoxy radical	$6.7 \times 10^{-15}$ cm <sup>3</sup> molecule <sup>-1</sup> s <sup>-1</sup> *0.9
$β$ -nitrooxy alkoxy radical $\rightarrow$ keto-aldehyde + NO <sub>2</sub>	$1 \times 10^6  \mathrm{s}^{-1}$
<sup>d</sup> Wall loss rate of hydroxy nitrate, keto nitrate, and hydroperoxy nitrate	$2 \times 10^{-5} \text{ s}^{-1}$

<sup>a</sup>Wall loss rate from Fry et al. (2009). <sup>b</sup>Wall loss rate from Perring et al. (2009). <sup>c</sup>Reaction rate constant from Martinez et al. (1999). <sup>d</sup>Wall loss rates derived from the measurement of hydroxy nitrate wall loss.

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