1 In situ chemical composition measurement of individual cloud residue

2 particles at a mountain site, South China

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19 Highlights

- 20 1. EC-containing particles comprised the largest fraction of the total cloud residues (49.3%
- by number), with dominating size $0.2-1.0 \mu m$.
- 22 2. Amine particles represented 0.2% to 15.1% by number of the total cloud residues
- dependent on the air mass history, with dominating size $0.7-1.9 \mu m$.
- 24 3. Higher fraction, intensity (average ion peak area) and larger size of nitrate-containing
- 25 particles were found in the cloud residues relative to the ambient particles.

26 Abstract

To estimate how atmospheric aerosol particles interact with chemical composition of cloud 27 droplets, a ground-based counterflow virtual impactor (GCVI) coupled with a real-time 28 29 single-particle aerosol mass spectrometer (SPAMS) was used to assess the chemical composition and mixing state of individual cloud residue particles in the Nanling Mountain 30 31 Range (1,690 m a.s.l.), South China, in Jan 2016. The cloud residues were classified into nine particle types: Aged elemental carbon (EC), Potassium-rich (K-rich), Amine, Dust, 32 Pb, Fe, Organic carbon (OC), Sodium-rich (Na-rich) and Other. The largest fraction of the 33 34 total cloud residues was the aged EC type (49.3% by number), followed by the K-rich type (33.9% by number). Abundant aged EC cloud residues that internally mixed with inorganic 35 salts were found in air masses from northerly polluted areas. The number fraction (Nf) of 36 37 the K-rich cloud residues increased within southwesterly air masses from fire activities in Southeast Asia. In addition, the Amine particles increased from 0.2% to 15.1% by number 38 to the total cloud residues, when air masses changed from northerly polluted areas to 39 southwesterly ocean and livestock areas. The Dust, Fe, Pb, Na-rich and OC particles had a 40 low contribution (0.5-4.1% by number) to the total cloud residues. Higher fraction of 41 42 nitrate (88-89% by number) was found in the Dust and Na-rich cloud residues relative to 43 sulfate (41-42%) and ammonium (15-23%). Higher fraction, intensity (average ion peak 44 area) and larger size of nitrate-containing particles were found in the cloud residues relative 45 to the ambient particles. To our knowledge, this study is the first report on in situ observation of the chemical composition and mixing state of individual cloud residue 46 particles in China. This study increases our understanding of the impacts of aerosols on 47 48 cloud droplets in a remote area of China.

50 Keywords: GCVI, SPAMS, cloud residues, mixing state, South China

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53 **1 Introduction**

54 Aerosol-cloud interactions influence the thermodynamic and radiation balance of the atmosphere (IPCC, Boucher et al., 2013). Anthropogenic particles can increase number 55 56 concentration of small cloud droplets, in turn, affect reflectivity and life time of clouds 57 (Stier et al., 2005; Lohmann et al., 2007; Rosenfeld et al., 2008). In-situ cloud chemical measurements show varied chemical composition of cloud water or residues at various 58 regions (Sorooshian et al., 2007a; Roth et al., 2016; Li et al., 2017). Although a large 59 60 number of aerosol/cloud studies over the past 20 years, the uncertainty for evaluating 61 radiative forcing due to aerosol-cloud interactions has not been reduced (Seinfeld. et al., 2016). Therefore, it is crucial to assess how atmospheric aerosol particles contribute and 62 interact with cloud droplets. 63

The formation of cloud condensation nuclei (CCN) is dependent on the size and 64 65 chemical composition of atmospheric aerosol particles at a given supersaturation 66 (McFiggans et al., 2006). A change in the chemical composition of atmospheric aerosol particles during atmospheric aging processes can strongly alter their CCN ability. The 67 68 presence of hydrophobic surface films lowers the CCN ability of atmospheric aerosol particles (Andreae and Rosenfeld, 2008). Elemental carbon (EC) particles, normally 69 considered insoluble, show high CCN activity after mixing with sulfuric acid (Zhang et al., 70 71 2008). However, sulfate and nitrate, which are generally regarded as soluble materials, were found in particles ranging from high to low hygroscopicity (Herich et al., 2008). 72

Furthermore, several cloud measurements have pointed to a lower number fraction (Nf) or mass fraction of sulfate-containing particles in cloud droplets relative to ambient or interstitial particles (Drewnick et al., 2007; Twohy and Anderson, 2008). On the contrary, other studies have reported a larger Nf of sulfate-containing particles in cloud droplets (Zelenyuk et al., 2010; Roth et al., 2016). These discrepancies suggest that the influence of the mixing state of atmospheric aerosol particles on CCN activity remains unclear.

79 The combined technique of a counterflow virtual impactor (CVI) and Aerosol Mass Spectrometer (AMS) or single-particle measurement is widely used to characterize the 80 81 chemical composition and mixing state of cloud/fog droplet residue particles. These studies were mainly conducted in North America including Wyoming (Pratt et al., 2010a), Ohio 82 (Hayden et al., 2008), Oklahoma (Berg et al., 2009), Florida (Cziczo et al., 2004; Twohy 83 84 et al., 2005), California (Coggon et al., 2014), Europe including Schmücke (Roth et al., 2016; Schneider et al., 2017), Jungfraujoch (Kamphus et al., 2010), Åreskutan (Drewnick 85 et al., 2007), Scandinavia (Targino et al., 2006), Arctic (Zelenyuk et al., 2010), Central 86 America (Cziczo et al., 2013), West Africa (Matsuki et al., 2010) and Oceans (Twohy and 87 Anderson 2008; Twohy et al., 2009; Shingler et al., 2012). Over the past three decades, 88 89 China has undergone rapid economic growth accompanied by increased aerosol emissions. Scientists have worked to increase our understanding of an emissions inventory and the 90 temporal and spatial variation of atmospheric aerosols in China (Zhang et al., 2012b). 91 92 However, few studies employ direct observation of the chemical composition and mixing state of cloud/fog droplets. Li et al. (2011b) used transmission electron microscopy to 93 obtain mixing state of single ambient particle during cloud events at Mt. Tai in northern 94 95 China. Their result showed that sulfate-related salts dominated in large particle. Bi et al. 96 (2016) used a ground-counterflow virtual impactor (GCVI) coupled with a real-time single
97 particle aerosol mass spectrometer (SPAMS) to explore the chemical composition and
98 mixing state of single fog residue particles in an urban area of South China at ground level.
99 They found abundant anthropogenic emitted particles including soot or element carbon
100 (EC) in fog residues.

Here, we present a study on the chemical composition and mixing state of individual 101 cloud residue particles at a mountain site of South China. The same experimental methods 102 of Bi et al. (2016) were used in this study on the summit of South China's Nanling mountain 103 region. The size distribution, chemical composition and mixing state of cloud residues 104 during cloud events are discussed. Moreover, the chemical compositions of ambient and 105 non-activated particles were also compared with the cloud residues. The aim of this study 106 107 is to assess the potential effects of anthropogenic aerosols from regional transportation on cloud formation and to investigate the dominant particle types in cloud droplets at a 108 109 mountain site in South China.

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111 **2** Experimental

112 **2.1 Measurement site**

Measurements were carried out 15-26 Jan, 2016. The sampling site was located in the Nanling Background Station (112°53' 56" E, 24° 41' 56" N, 1,690 m a.s.l.) at the National Air Pollution Monitoring System in South China (Figure S1). This station is 200 km north of the metropolitan city Guangzhou and 350 km north of the South China Sea. This site is also surrounded by a national park forest (273 km²) where there are hardly any emissions from anthropogenic activities. However, during the winter monsoon period, air pollution from northern China moves south to the southern coastal region and crosses the studyregion (Lee et al., 2005).

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122 **2.2 Instrumentation**

In this study, a GCVI inlet system (GCVI Model 1205, Brechtel Mfg. Inc.) was used to 123 sample cloud droplets with a diameter greater than 8 µm. Ambient temperature on average 124 was 6.9 $\$ (ranging from -7.2 to 11.4 $\$) during cloud events in this study. Therefore, the 125 clouds here consisted of liquid droplets only. Measurement of drop size spectrum in this 126 region performed during winter of 1999-2001 shows that size of cloud droplets ranged 127 from 4 to 25 μ m, with average size of 10 μ m and a corresponding liquid water content of 128 0.11-0.15 g m⁻³ (Deng et al., 2007). Some studies in other locations also showed an average 129 130 size at $\sim 10 \ \mu m$ (Freud et al., 2008; Shingler et al., 2012). Therefore, it is reasonable to select a cut size at 8 µm for cloud droplets in the present study. The sampled cloud droplets 131 were passed through an evaporation chamber (air flow temperature at 40 $^{\circ}$ C), where the 132 133 associated water was removed and the dry residue particles (with the air flow RH lower than 30%), considered as CCN, remained. The particle transmission efficiency of the cut 134 size (8 µm) was 50% (Shingler et al., 2012). The enrichment factor of the particles collected 135 by the GCVI inlet was estimated to be 5.25 based on theoretical calculation (Shingler et 136 al., 2012). Ambient particles were collected through an ambient inlet with a cut-off 137 138 aerodynamic diameter (d_a) of 2.5 µm when no cloud events were present. Additionally, non-activated particles were sampled through the ambient inlet during the cloud events. 139 The cloud droplet residues, ambient or non-activated particles were subsequently analyzed 140 141 by a suite of aerosol measurement devices, including a SPAMS (Hexin Analytical Instrument Co., Ltd., Guangzhou, China), a scanning mobility particle sizer (SMPS) (MSP
Cooperation) and an aethalometer (AE-33, Magee Scientific Inc.). Detailed information
and parameter settings regarding the GCVI operation can be found in the work of Bi et al.
(2016).

A detailed operational principle of the SPAMS has been described elsewhere (Li et al., 146 147 2011a). Briefly, aerosol particles are drawn into SPAMS through a critical orifice. The particles are focused and aerodynamically sized by two continuous diode Nd:YAG laser 148 beams (532 nm). The particles are subsequently desorbed/ionized by a pulsed laser (266 149 150 nm) triggered exactly based on the velocity of the specific particle. The positive and negative ions generated are recorded with the corresponding size of each singe particle. 151 Polystyrene latex spheres (Nanosphere Size Standards, Duke Scientific Corp., Palo Alto) 152 153 of 0.2-2.0 µm in diameter were used to calibrate the sizes of the detected particles on the mountain top station. The ambient pressure was 830 hPa (826-842 hPa) during the 154 155 measurements and during the calibration. Particles measured by SPAMS mostly fell within the size range of d_{va} 0.2-2.0 µm (Li et al., 2011a). 156

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2.3 Definition of cloud events

To reliably identify the presence of cloud events, an upper-limit visibility threshold of 5 km and a lower-limit relative humidity (RH) threshold of 95% were set in the GCVI software (Bi et al., 2016). Three long-time cloud events occurred during the periods of 162 16:00 (local time) 15 Jan - 07:00 17 Jan (cloud I), 20:00 18 Jan - 12:00 19 Jan (cloud II) 163 and 17:00 19 Jan - 13:00 23 Jan (cloud III), as marked in Figure 1. In addition, a cloud 164 event occurred at 14:40 - 15:00 on 17 Jan, but we did not complete an analysis due to the 165 short duration of this cloud event. The measured cloud residual concentration was integrated by a SMPS and was divided by 5.25 (enrichment factor of CVI). The corrected 166 cloud residual concentrations on average were 218 cm⁻³, 284 cm⁻³ and 272 cm⁻³ for cloud 167 168 I, cloud II and cloud III, respectively (Figure S2). Note that during cloud events, ambient RH was close to 100%, as illustrated in Figure 1. Low level of PM2.5 (~ 12.7 μ g m⁻³) 169 excludes the influence of hazy days. A rainfall detector of the GCVI system was also used 170 to exclude rain droplet contamination. When cloud events occurred without precipitation, 171 sampling was automatically triggered by the GCVI control software. 172

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174 **2.4 Particle classification**

During the study period, a total of 73996 sampled particles including 49322 ambient, 23611 175 176 cloud residues and 1063 non-activated particles with bipolar mass spectra were chemically analyzed in the size range of d_{va} 0.2-1.9 µm. The sampled particles were first classified 177 into 101 clusters using an Adaptive Resonance Theory neural network (ART-2a) with a 178 179 vigilance factor of 0.75, a learning rate of 0.05, and 20 iterations (Song et al., 1999). By manually combining similar clusters, aged EC, Potassium-rich (K-rich), Amine, Dust, Fe, 180 181 Pb, Organic carbon (OC), and Sodium-rich (Na-rich), eight major particle types with distinct chemical patterns were obtained, which represented ~99.9% of the population of 182 the detected particles. The remaining particles were grouped together as "Other". 183 184 Assuming that number of individual particles follows Poisson distribution, standard errors for number fraction of particle type were estimated (Pratt et al., 2010a). 185

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187 **3 Results and discussion**

3.1 Back trajectories and meteorological conditions

Back trajectories in this study were calculated using the Hybrid Single Particle Lagrangian 189 Integrated Trajectory (HYSPLIT Model). Heights of the HYSPLIT model in the study 190 191 region (a spatial resolution of $0.5^{\circ} \times 0.5^{\circ}$) is averaged 500 m a.s.l., lower than height of the observed site (1,690 m a.s.l.). Therefore, a height of 1,800 m a.s.l. (approximately 100 m 192 193 above the observed site) was chosen as an endpoint in the model. During the study period, the station was mainly affected by southwesterly or northerly air masses (Figure 2). In 194 addition, the beginning of southwesterly air masses traversed at lower heights relative to 195 196 northerly air masses (Figure 2). The southwesterly air masses, accompanied by warm and moist airflows, occurred during 15-17 and 20-21 Jan, which promoted cloud formation 197 (Figure 1). Conversely, the northerly air masses, associated with cool and dry airstreams, 198 199 occurred during 18 and 23-24 Jan and led to a decrease in temperature and relative humidity. 200 Note that on 18-19 and 22-23 Jan, the air mass encountered initial mixing of northerly 201 cloud-free air and southwesterly cloudy air. Entrained of nuclei particles originated from 202 northern air mass would be activated to become cloud droplets (Sect. 3.4). On the other 203 hand, entrainment of non-activated particles originated from northern air mass has also 204 mixed into the cloud (Sect. 3.5).

Meteorological conditions were unstable, with high southwesterly flow (~ 6.5 m s^{-1}) during 15-17 and 20-22 Jan (Figure 1). The level of PM_{2.5} remained low with a value of approximately 3 µg m⁻³ for this time period. A high level of PM_{2.5} (~20 µg m⁻³) was observed during 18 Jan when the northerly flow dominated. Similarly, the average PM_{2.5} value reached 24 µg m⁻³ during 24 Jan when the local northerly and southwesterly flows occurred alternately. However, the particles still originated from northerly air masses for this period (Figure 2). During 23-24 Jan, a big freeze associated with a violent northerly
flow and a wind speed that exceeded the upper-limit speed (~12 m/s) of a wind speed sensor
resulted in a sharp decrease in temperature (Figure 1).

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3.2 The chemical characterization of cloud droplet residues

Figure 3 shows the average positive and negative mass spectra of main six particle types. 216 The aged EC particles were identified by EC cluster ions (e.g., $m/z \pm 12C^{+/-}$, $\pm 36C_3^{+/-}$, 217 $\pm 48C_4^{+/-}$, $\pm 60C_5^{+/-}$, ...) and a strong K⁺ ion signal (m/z 39K⁺) as well as a sulfate ion signal 218 $(m/z - 97HSO_4^{-})$, and minor organic markers $(m/z - 27C_2H_3^{+})$, $43C_2H_3O^{+})$ (Moffet and 219 220 Prather, 2009). EC particles mainly originated from combustion processes (Bond et al., 2013). Strong K^+ ion signal observed here, it is likely that the aged EC particles in part are 221 222 from biomass burning (Bi et al. 2011). The aged EC particle type was the largest fraction 223 (49.3% by number) of the total cloud residues (Figure S3). In addition, Nf of the aged EC residues significantly decreased from 54.1% in the size range of 0.2-1.0 µm to 19.2% 224 225 in the size range of 1.1-1.9 µm (Figure 4). Note that the chemical composition of cloud residues is dependent on the particle size (Roth et al., 2016), and the number reported for 226 each particle type might suffer the bias from size-dependent transmission efficiency (Qin 227 et al., 2006). The relative fraction of cloud residues in 100 nm size interval is presented to 228 minimize the influence of size-dependent transmission efficiency of single particle mass 229 230 spectrometry (Roth et al., 2016).

The K-rich particles exhibited the highest peak at $m/z \ 39K^+$, mainly combined with sulfate and nitrate ($m/z \ -46NO_2^-$, $-62NO_3^-$). The K-rich particles presumably result from biomass/biofuel burning source (Moffet et al., 2008; Pratt et al. 2011; Zhang et al., 2013).

Aged time 81-88 min of biomass burning particles show increase in the mass fractions of ammonium, sulfate, and nitrate (Pratt et al. 2011). In this study, the K-rich particles would be expected to experience aged process due to strong sulfate and nitrate signals (Hudson et al. 2004; Pratt et al. 2011). Aged biomass burning particles can participate in cloud droplets formation and show an effective CCN activity (Pratt et al. 2010a). The K-rich particle type, the second largest contributor, accounted for 33.9% by number of the the total cloud residues (Figure S3).

The abundant aged soot/EC and biomass burning particles were often detected in 241 cloud residues (Pratt et al., 2010a; Roth et al., 2016). The contribution of local 242 anthropogenic origins to aged soot and/or biomass burning particles in cloud/fog residues 243 has been reported in Schmücke (Roth et al., 2016) and Guangzhou city (Bi et al., 2016). At 244 245 the North Slope of Alaska, the measurement of biomass burning particles in cloud residues mainly resulted from Asia sources (Zelenyuk et al., 2010). Similarly, majority of aged EC 246 and K-rich cloud residues observed here are expected to originate from long-range 247 248 transportation due to insignificant sources of local anthropogenic emissions or fire dots. At the Jungfraujoch station (3,580 m a.s.l.) in Europe, the K-rich (biomass burning) particles 249 250 was only found to contribute 3% of the cloud droplets and the aged EC cloud residue was insignificant (<1% by number) (Kamphus et al., 2010). The Jungfraujoch is a station where 251 located mostly in the free troposphere and in a remote region, so the biomass burning 252 253 contribution can be expected to be lower than at other sites.

The Amine particles were characterized by related amine ion signals at m/z 58C₂H₅NHCH₂⁺ (diethylamine, DEA), $59N(CH_3)_3^+$ (trimethylamine, TMA) and 86C₅H₁₂N⁺ (triethylamine, TEA) (Angelino et al., 2001; Moffet et al., 2008; Pratt and

257 Prather, 2010). Note that amine peaks would be enhanced when using a 266 nm ionization laser and that the amines themselves may not comprise the majority of the particle mass 258 (Pratt et al., 2009). This particle type also contained sulfuric acid ion signals at m/z -259 260 195H(HSO₄)₂⁻, indicative of acidic particles (Rehbein et al., 2011). The Amine particles represented 3.8% by number of the total cloud residues (Figure S3). Higher Nf of the 261 Amine residues was detected in size range from 0.7 to 1.9 µm relative to size range from 262 0.2 to $0.6 \,\mu\text{m}$ (16.7% versus 0.4%), as shown in Figure 4. Aqueous reaction improving the 263 participation of amine has been observed in Guangzhou (Zhang et al., 2012a) and Southern 264 265 Ontario (Rehbein et al., 2011). A recent study also shows a clear enhancement of aminecontaining particles in cloud residues compared to the ambient particles (9% versus 2% by 266 number) (Roth et al., 2016). It indicates a preferential formation of amine in cloud. 267 268 However, this possibility was not supported by the observations of Bi et al. (2016), who did not detect amine-containing particles in fog residues. In this study, the Nf of the Amine 269 270 particles varied from 0.2% to 15.1% of the total cloud residues dependent on air mass 271 history (see Sect. 3.4).

The Dust particles presented significant ions at $m/z 40Ca^+$, $56CaO^+/Fe^+$, $96Ca_2O^+$ and 272 273 -76SiO_3 . Internal mixing with sulfate and nitrate in the Dust particle is expect to act as 274 CCN (Twohy and Anderson 2008; Twohy et al., 2009; Matsuki et al., 2010), despite sulfate and nitrate partly contribution from in-cloud production. This type contributed 2.9% by 275 number of the total cloud residues (Figure S3). A slightly increase in Nf of the Dust 276 residues was observed in size range above 0.5 μ m relative to below 0.5 μ m (3.0% versus 277 1.0%). At Mt. Tai in northern China, a high concentration of Ca^{2+} in cloud/fog water was 278 279 mainly attributed to a sandstorm event during spring season (Wang et al., 2011). At Mt. 280 Heng in southern China, abundant crust-related elements (e.g., Al) observed in cloud water is due to Asian dust storms occurred on March-May (Li et al., 2017). Based on the 281 backward trajectory, the site was less affected by sandstorm source in northwestern China 282 283 during cloud events. Local dust emission by anthropogenic-disturbing soils or removing vegetation cover can be excluded as a result of forest protection. Additionally, dust residues 284 285 may occupied larger CCN (Tang et al., 2016), which cannot be detected by the SPAMS. Therefore, a low fraction (2.9% by number) of dust cloud residue is reasonable in the 286 present study. 287

288 The Fe particles had its typical ions at m/z 56Fe⁺ and internally mixed with sulfate and nitrate. The Fe particle type made up 4.1% by number of the total cloud residues. 289 Approximately 16% of the Fe cloud residues contained Ca^+ peak (m/z 40). Relatively weak 290 291 Na^+ and K^+ peaks in the Fe particles possibly contributes to anthropogenic sources (Zhang et al., 2014), especially northern air mass across iron/steel industrial activities in Yangtze 292 293 River Mid-Reaches city clusters (Figure 2). These might suggest that the Fe residues was 294 likely to have come from mixed sources. The presence of Fe in the cloud droplets play an 295 important role in aqueous-phase SO₂ catalytic oxidation in cloud processing (Harris et al., 296 2013), thus accelerating the sulfate content of Fe-containing particles in cloud processing. The Na-rich particles were mainly composed of ion peaks at $m/z 23Na^+$ and $39K^+$ in 297 the positive mass spectra, and nitrate and sulfate species in the negative mass spectra. The 298 299 Na-rich particle type made up 3.0% by number of the total cloud residues. Na-rich particles were resulted from varied sources including industrial emissions, sea salt or dry lake beds 300 (Moffet et al. 2008). The Nf of the Na-rich cloud residues did not increase from continental 301 302 (Northerly) air mass to maritime (southwesterly) air mass on 21 Jan (3.3% versus 2.4% by

number). However, related sea salt ion peak area (m/z, 81/83 Na2³⁵Cl/Na2³⁷Cl) were 303 enhanced for Na-rich particles origination from maritime air mass relative to continental 304 air mass (3.8 ± 2.4 times). Continental air masses crossed industrial areas where located in 305 306 the Yangtze River Mid-Reaches city cluster (Figure 2). Industrial emissions was a possible contributor to Na-rich particles under the influence of continental air masses (Wang et al. 307 2016). This might suggests that the Na-rich particles were contributed from both the 308 industrial emissions and sea salt. Therefore, under the influence of maritime air mass, the 309 signals for sea salt contribution became obvious. 310

The OC, Pb and Other particle types contributed 0.1-2.3% by number to the total cloud 311 residues (Figure S3). Their average mass spectra can be found in Figure S4. The OC 312 particles presented dominant intense OC signals (e.g., m/z 27C₂H₃⁺, 37C₃H⁺, 43C₂H₃O⁺ 313 314 and $51C_4H_3^+$) and abundant sulfate. Presence of K⁺ signal was found in the OC particles suggesting possible biomass burning sources (Bi et al. 2011). The Pb particles showed its 315 typical ions at m/z 208Pb⁺ and internally mixed with K⁺ and Cl⁻. Previous studies found 316 317 that K and Cl internally mixed with Pb particles have a possible origination of waste incineration (Zhang et al., 2009) or iron and steel facility (Tsai et al., 2007). Internally 318 319 mixed EC with metal signatures was observed in the Other particles.

Previous measurements found that dust, playa salts or sea salt particles are often enriched in larger cloud droplets (~20 μ m) (Bator and Collett, 1997; Pratt et al., 2010b). Organic carbon tend to be enriched in small cloud/fog droplets, extending to 4 μ m (Herckes et al., 2013). It is wealth to note that cloud droplets were above 8 μ m in the present study. Thus, it partially leads to relatively larger fractions of the Dust and Na-rich cloud residues observed, and the less fractions of the OC cloud residues.

327 **3.3 Mixing state of secondary species in cloud residues**

The Nf of sulfate-containing particles were found to be highly related to the K-rich (91%), 328 329 OC (100%), aged EC (98%), Pb (74%), Fe (93%) and Amine (99%) cloud residues, as 330 shown in Figure 5. Lower Nf of sulfate-containing particles were observed in the Na-rich 331 (41%) and Dust (42%) cloud residues. In contrast, nitrate-containing particles contributed 89% and 88% by number to the Na-rich and Dust cloud residues, respectively. The 332 heterogeneous chemistry of HNO₃ in the Na-rich and Dust particles may lead to the 333 334 preferential enrichment of nitrate (Li and Shao, 2009). Note that after activation, uptake of gas-phase HNO_3 would increase nitrate level in the cloud residues (Schneider et al., 2017). 335 336 The detection of nitrate in the cloud residues was thought to be the form of ammonium-337 nitrate by estimating the ratio of m/z 30 to m/z 46 in AMS data (Drewnick et al., 2007; Hayden et al., 2008). Low portions of ammonium (m/z, $18NH_4^+$) in the Na-rich (23% by 338 number) and Dust (15% by number) cloud residues suggest that in this region, ammonium 339 340 nitrate was not a predominant form of nitrate in the two cloud residual type. The Na-rich and Dust types were mainly composed of alkaline ion peaks $(m/z, 23Na^+, 39K^+ \text{ and } 40Ca^+)$ 341 342 in the position mass spectra (Figure 3), accompanied with larger fraction (88-89%) of nitrate. It suggests that nitrate might exist in the form of $Ca(NO_3)_2$, NaNO₃ or KNO₃ in the 343 Dust and Na-rich cloud residues. It should be noted that the evaporation chamber of the 344 345 GCVI may lead to a reduction of ammonium nitrate in the cloud residues (Hayden et al., 2008). We found that nitrate-containing particles accounted for only 46% by number of the 346 aged EC cloud residues, which is significantly less than the contribution of sulfate-347 348 containing particles. Previous studies found that aged EC (soot) fog/cloud residues are

349 mainly internally mixed with sulfate (Pratt et al., 2010a; Harris et al., 2014; Bi et al., 2016). Aged EC particles mixed with sulfate are good CCN, rather than formed by in-cloud 350 processing (Bi et al., 2016; Roth et al., 2016). High portions (75-86% by number) of 351 352 ammonium-containing particles were observed for the OC and aged EC cloud residues, 353 suggesting that ammonium will mostly be in the form of ammonium sulfate or ammonium 354 nitrate for the two cloud residue types (Zhang et al., 2017). This result also implies that ammonium-containing particles are preferentially activated or enhanced by uptake of 355 gaseous NH₃ to neutralize acidic cloud droplets for the OC and EC types. 356

Organics (e.g., amine and oxalate) have previously been measured in cloud 357 water/residues (Sellegri et al., 2003; Sorooshian et al., 2007a; Pratt et al., 2010a). Amine 358 and oxalate particles with mixtures of inorganic salts could enhance water uptake behavior 359 360 (Sorooshian et al., 2008; Wu et al., 2011). The presence of TMA in the Amine cloud residues is expected to promote water uptake in sub- and supersaturated regimes 361 (Sorooshian et al., 2007b). A total of 3,410 oxalate-containing particles $(m/z, -89HC_2O_4^{-})$ 362 represented 14.4% by number of the total cloud residues, which was mainly associated 363 with the K-rich cloud residues (~70% by number). Note that after activation, gas phase 364 365 partitioning into condensed phase or in-cloud production pathways would increase oxalate level in cloud droplets (Sellegri et al., 2003; Pratt et al., 2010a). Relative high portions (~30% 366 by number) of oxalate-containing particles in the metal (Pb, Fe) cloud residues might be 367 368 the form of metal oxalate complexes from reactions of in-cloud formation oxalate with metals (Furukawa and Takahashi, 2011). Oxalate can readily partition into the particle 369 phase to form amine salts (Pratt et al., 2009), it may facilitate the entrainment of oxalate 370 (33% by number) in the Amine residues. A low fraction (4%) of oxalate-containing 371

particles in the OC type is a result of restrictive classification. Classification of the OC particles mainly based on intense organic carbon ion signals (e.g., $m/z \ 27C_2H_3^+$, $37C_3H^+$, $43C_2H_3O^+$ and $51C_4H_3^+$). However, majority of oxalate-containing particles internally mixed with the K-rich type. Therefore, oxalate was classified to the K-rich type, probably contributed from biomass burning. Noted that K-rich could contain a large abundant of organics (Pratt et al. 2011), however, the signals of organics were covered by the potassium due to its high sensitive to the laser.

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380 3.4 Comparison of cloud residues in different air mass sources

Figure 6 displays hourly detected particle counts and Nf values of nine types of cloud 381 residues and ambient particles. A very abrupt increase (decrease) in Nf of aged EC (Amine) 382 383 particle types from cloud residues to ambient particles was observed on Jan 17. Ambient RH showed an abrupt decrease from nearly 100% at 10:00 to 85% at 11:00 on 17 Jan 384 (Figure 1). Ambient temperature also decreased from 10 $\,^{\circ}$ C at 11:00 to 4 $\,^{\circ}$ C at 18:00 on 385 17 Jan (Figure 1). These changes imply that the air mass changed from southwesterly 386 cloudy air to northerly cloud-free air around noon on 17 Jan (Figure 2). The entrained 387 388 particles originated from northern air mass might have insufficient supersaturation to activate as cloud droplets. It is the reason that Nf of particle types abruptly varied from 389 cloud residues to ambient particles on Jan 17 (Figure 6). 390

Ambient RH increased from 60% at 19:00 to nearly 100% at 21:00 on 18 Jan (Figure 1). Ambient temperature also increased from 1.3 °C at 22:00 on 18 Jan to 3.2 °C at 06:00 on 19 Jan (Figure 1). These changes imply that the air mass changed from northerly cloudfree air to southwesterly cloudy air at night on 18 Jan (Figure 2). During 18-19 Jan, the 395 cloud residues and ambient particles showed similar chemical characteristics and were dominated by aged EC particles (Figure 6). A lack of significant variation in the Nf of 396 particle types for this period suggests that nuclei particles originated from northerly cloud-397 398 free air could be activated to become cloud droplets. Note that ambient particles when a cloud-free event occurred at 11:00-17:00 on 19 Jan with a remaining high level of PM_{2.5} 399 (~ 22.7 µg m⁻³). Southwesterly wind flow on 19-20 Jan was too weak (~ 2.75 m s⁻¹) to 400 dilute particles originated from northerly air masses (Figure 1). Additionally, high RH 401 (90%) air mass at height 1,500 m (a.s.l.) gradually moved to north China from 19 to 20 Jan 402 403 (Figure S5). These might lead to similar residual particle types observed from 19 Jan to 20 Jan, although the site encountered southwesterly cloudy air on 19-20 Jan (Figure 2). 404

During 16-17 and 21-22 Jan, the cloud residues consisted of a high fraction of the Amine type, which significantly differed from the observation during 18-19 Jan. Clearly, the observations during 16-17 and 21-22 Jan were influenced by a strong southwesterly flow with a low value of $PM_{2.5}$ (~ 3 µg m⁻³).

409 As mentioned above, the Nf of the cloud residue types significantly changed as the air mass origin varied from northerly to southwesterly. To further investigate the influence of 410 411 air mass history, we selected cloud residues that had arrived from a northerly air mass on 18-19 Jan and compared these to cloud residues originating from a southwesterly air mass 412 during the periods of 16-17 and 21-22 Jan. The detected number of cloud residues for the 413 414 northerly and southwesterly air masses are given in Table S1. Note that southwesterly air mass accompanied by high relative humidity (90%) (Figure S5) may have triggered 415 particles activated to CCN prior to their arrival to the sampling site. 416

417 The K-rich type was found to contribute 23.9% to the cloud residues in the northerly air mass, which was significantly lower than its contribution to the southwesterly air mass 418 (51.5%), as shown in Figure 7. A similarity in averaged mass spectrum of the K-rich 419 420 residues was found for the southwesterly and northerly air masses (Figure S6). The 421 considerable increase of K-rich cloud residues suggests a major influence of regional biomass-burning activities. Biomass-burning emissions from Southeast Asia, including 422 Myanmar, Vietnam, Laos and Thailand, where abundant fire dots are observed (Figure 2), 423 could have been transported to the sampling site under a southwesterly air mass (Duncan 424 et al., 2003). In contrast, the aged EC type represented only 23.7% of the cloud residues 425 under the influence of the southwesterly air mass, which was significantly lower than 426 observations for the northerly air mass (59.9%), as shown in Figure 7. This result suggests 427 428 that the northern air mass has a greater influence on the presence of aged EC cloud residues. In addition, an obvious increase in Nf of the Amine type was observed in the 429 southwesterly air mass (15.1%) compared to the northerly air mass (0.2%), as shown in 430 431 Figure 7. This implies that the sources or formation mechanisms of amine in cloud residues varied in different air masses. The southwesterly air mass arrived from as far as the Bay of 432 433 Bengal and then travelled through Southeast Asia before reaching South China (Figure 2). The potential gas amine emissions from ocean (Facchini et al., 2008) and livestock areas 434 (90 million animals, data was available at the website http://faostat3.fao.org) in Southeast 435 436 Asia might promote the enrichment of amine particles. Note that after activation, the partitioning of the gas amine on cloud droplets may further contribute to the enhanced 437 Amine cloud residues (Rehbein et al., 2011), especially for air masses delivered via routes 438 439 with high relative humidity, as mentioned above (Figure S5). In contrast, northerly air mass accompanied with dry airstreams may inadequately induce the partitioning of gas aminesinto the particle phase (Rehbein et al., 2011).

442

443 **3.5** Comparison of cloud residues with ambient and non-activated particles

A direct comparison between cloud residues and ambient particles was limited because of 444 445 their differences in air mass origins. During the sampling period, the cloud events occurred once the southwesterly air masses were dominant. Therefore, a comparison between cloud 446 residues and ambient particles cannot be addressed under the influence of southwesterly 447 air masses. Here, we chose five hours before and after the beginning of the cloud II period 448 in order to compare cloud residues and ambient particles with similar northerly air mass 449 origins, as discussion in Sect. 3.4. The time and detected counts of cloud residues and 450 451 ambient particles for this comparison are listed in Table S1.

From 10:00 21 Jan to 13:00 23 Jan, cloud residues and non-activated particles were 452 alternately sampled with interval of one hour. Ambient temperature decreased from 6 $\,$ $\,$ $\,$ 453 at 11:00 to 0 °C at 23:00 on 22 Jan (Figure 1). Additionally, ambient particles level 454 (residual and non-activated particles) showed a clearly increase from 121 cm⁻³ to 1339 455 cm⁻³ during this period (Figure S2). It suggests that initial mixing of northerly cloud-free 456 air and southwesterly cloudy air around noon on 22 Jan. It is noted that non-activated 457 particles were detected in size range above 200 nm, extending to 500 nm (Figure S7). The 458 459 dry northern air mass might lower supersaturation, only larger particles could be activated. This might result in above 200 nm non-activated particles observed here (Mertes et al., 460 2005; Kleinman et al., 2012; Hammer et al., 2014). The detected particle counts in the 461 462 cloud residues and non-activated particles are given in Table S1.

463 The contribution of K-rich particles in cloud residues slightly decreased relative to ambient particles (23.9% versus 30.7%), as shown in Figure 7. Previous studies also 464 showed that no significant change in Nf of biomass-burning particles for cloud residues 465 466 relative to ambient particles (Pratt et al., 2010a; Roth et al., 2016). The biomass-burning particles internally mixed with soluble species (e.g., sulfate, nitrate and oxalate) enhanced 467 468 their ability to act as CCN, as discussion in Sect. 3.3. However, Kamphus et al. (2010) reported that biomass-burning particles account for only 3% of cloud residues compared 469 with 43% of ambient particles, and they suspected that biomass-burning particles might 470 471 exist in the form of tar balls (hydrophobic materials). A slight increase in Nf of the aged EC cloud residues was observed relative to ambient particles (59.9% versus 53.8%), as 472 shown in Figure 7. In general, freshly emitted EC particles are less hydrophilic and do not 473 474 active as CCN (Bond et al., 2013). The aged EC particles show a high degree of internal mixing with secondary inorganic compounds in this study (Figure 5), improving their 475 476 ability to act as CCN. The remaining particle types showed no clear differences in Nf 477 between cloud residues and ambient particles.

In comparing the cloud residues with non-activated particles, a significant change in Nf was found for the aged EC and K-rich type. A higher Nf of K-rich particles and a lower Nf of EC particles were found for the cloud residues relative to the non-activated particles (Figure 7). Entrainment of northerly cloud-free air might lower supersaturation during this period. Aged EC particles may require very high supersaturation to grow into cloud droplets and thus, only form hydrated non-activated aerosol (Hallberg et al., 1994).

Figure 8 and 9 show differences in average mass spectra for cloud residues versus ambient particles, as well as cloud residues versus non-activated particles, respectively.

486 Nitrate intensity (average ion peak area) was found to enhance in the cloud residues compared to ambient particles. In addition, nitrate-containing particles has been observed 487 to account for 70% of the cloud residues compared to 38% of the ambient particles. 488 Drewnick et al. (2007) suggested that rather than sulfate, high nitrate content in pre-existing 489 particles preferentially acted as cloud droplets. Compared with containing-nitrate ambient 490 particles, larger size of containing-nitrate residues (Figure S8) is more likely to be uptake 491 of gaseous HNO₃ during cloud process (Hayden et al. 2008; Roth et al., 2016). A recent 492 study also confirmed that uptake of gaseous HNO₃ was an important contributor for 493 494 increasing in nitrate level in the cloud residues (Schneider et al., 2017). Interestingly, we observed a decrease in nitrate intensity in cloud residues except dust type (Figure 9) and a 495 large size distribution of nitrate-containing cloud residues (Figure S7) compared with non-496 497 activated particles. This result suggests that particle size, rather than nitrate content, plays a more important role in the activation of particles into cloud droplets. 498

499 Sulfate intensity was only observed to enhance for the OC cloud residues relative to both 500 ambient and non-activated particles. Although the in-cloud addition of sulfate can be 501 produced from aqueous Fe-catalyzed or oxidation by H_2O_2/O_3 reactions (Harris et al., 502 2014), sulfate intensity was found to diminish in the Fe cloud residues relative to ambient particles. Compared with non-activated particles, sulfate intensity was found to enhance in 503 the Fe cloud residues. Additionally, sulfate-containing particles accounted for 94%, 93% 504 505 and 94% of cloud residues, ambient and non-activated particles, respectively. Previous studies also showed that the mass or number fraction of sulfate-containing particles in the 506 cloud residues changed between ambient and non-activated particles (Drewnick et al., 2007; 507 508 Twohy and Anderson, 2008; Schneider et al., 2017). However, the reason for these changes

509 remains unclear.

510 The in-cloud process has been reported to be an important pathway for the production of amine particles (Rehbein et al., 2011; Zhang et al., 2012a). In this study, no remarkable 511 512 change in Nf of the Amine cloud residues was obtained relative to the ambient particles (0.2% versus 0.2%), as shown in Figure 7. Bi et al. (2016) considered that the absence of 513 amine species in fog residues may be partially affected by droplet evaporation in the GCVI. 514 We did find a high fraction of the Amine cloud residues when the southwesterly air mass 515 prevailed, as discussion in Sect. 3.4. A lack of gas-phase amines may be the cause of few 516 517 amine particles detected in the ambient particles and cloud residues (Rehbein et al., 2011). An increase in Nf of cloud residues was observed compared with non-activated particles 518 (5.2% versus 0.1%), as shown in Figure 7. Increasing the particle water content facilitates 519 520 partitioning of gas-phase amine species into the aqueous phase when gas-phase amines present (Rehbein et al., 2011). 521

522

523 4 Conclusions

This study presented an in situ observation of individual cloud residues, non-activated and 524 525 ambient particles at a mountain site in South China. The finding shows that internal mixing with soluble species (e.g., sulfate) in EC particles was an important contributor to cloud 526 residues in a remote area of China. Change in Nf of the cloud residue types influenced by 527 528 various air masses highlights the important role of regional transportation in the observed cloud residual chemistry. Initial mixing of northerly cloud-free air and southwesterly 529 cloudy air can induce the activation of the nuclei particles to become cloud droplets. Higher 530 531 fractions of nitrate (88-89% by number) were found in the Dust and Na-rich cloud residues relative to sulfate (41-42%) and ammonium (15-23%). Higher fraction, intensity (average ion peak area) and larger size of nitrate-containing particles were found in the cloud residues relative to the ambient particles. This result is most likely the cause of the uptake from gas-phase HNO₃.

536

537 Acknowledgments

This work was supported by the National Nature Science Foundation of China (No. 538 91544101 and 41405131), the National Key Research and Development Program of China 539 (SQ2017ZY01014804) and the Foundation for Leading Talents of the Guangdong 540 Province Government. The authors thank Ji Ou from Shaoguan city Environmental 541 Monitoring Center for the support at the observed site. We also acknowledge the NOAA 542 543 Air Resources Laboratory (ARL) for the provision of the HYSPLIT transport and dispersion model and/or READY website (http://ready.arl.noaa.gov) used in this 544 publication. All the data can be obtained by contacting the corresponding author. 545

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810 Figure 1: The hourly average variations in meteorological conditions (temperature,

relative humidity, visibility, pressure, wind speed and direction) and PM_{2.5}.

813 (a)





Figure 2: (a) HYSPLIT back trajectories (72 h) for air masses at 1,800 m during the whole sampling period. The white borders and circle refer to the Pearl River Delta (city cluster 1) and Yangtze River Mid-Reaches city clusters (city cluster 2), respectively. The yellow rots represent fire dots during the study periods. The fire dots are available at

- 838 https://earthdata.nasa.gov/; (b) Heights (above model ground) of the air masses as a
- 839 function of time.



Figure 3: Averaged positive and negative mass spectra for the main 6 particle types (Aged
EC, K-rich, Amine, Dust, Fe, Na-rich) of the sampled particles during the whole sampling
period. RPA in the vertical axis refers to relative peak area. m/z in the horizontal axis
represents mass-to-charge ratio.



Figure 4: Number fraction for size distribution of the cloud residual types in 100 nm size





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Figure 5: Mixing state of secondary markers with the total cloud residues types; Sulfate $(m/z, -97HSO_4^{-})$, Nitrate $(m/z, -46NO_2^{-} \text{ or } -62NO_3^{-})$, Ammonium $(m/z, 18NH_4^{+})$, Sulfuric

851 acid $(m/z, -195H(HSO_4)_2^{-})$, TMA $(m/z, 59N(CH_3)_3^{+})$, Oxalate $(m/z, -89HC_2O_4^{-})$.



Figure 6: The hourly average variations in the cloud residual and ambient particles duringthe whole sampling period.

872 (b)Ambient (Northerly) Aged EC (a)Residues (Northerly) Dust 3.3%±0.3% Amine 4.0%±0.4% 0% Fe 4.6%±0.3% Pb K-rich 30.7%±1.1% OC. 23.9%±0.8% Na-rich Other 53.8%±1.4% 59.9%±1.2% 0.6%±0.1% 0.8%±0.2% 5.5%±0.4% 4.5%±0.4% 2.4%±0.3% 0.2%±0.1% 0.2%±0.1% 2.0%±0.2% (c) Residues (Southwesterly) 2.4%±0.4% 873 1.0%±0.2% -0.6%±0.2% 23.7%±1.1% 3.0%±0.4% 15.1%±0.9% 51.5%±1.7% 2.4%±0.4% 881 0.3%±0.1% (d) Residues* (e) Non-activated* 1.8%±0.3% 4.6%±0.7% ,0.7%±0.2% 1.8%±0.3% 0.4%±0.2% 4.6%±0.7% 37.0%±1.5% 29.0%±1.7% 52.7%±2.2% 49.7%±2.0% 1.3%±0.3% 0.6%±0.2% 5.2%±0.6% 4.1%±0.6% 0.3%±0.1% 2.5%±0.4% `3.6%±0.6% 0.1%±0.1%

Figure 7: Number fraction of the cloud residues, ambient and non-activated particles. (a) cloud residues during northerly air mass; (b) ambient particle during northerly air mass; (c) cloud residues during southwesterly air mass; (d) cloud residues and (e) non-activated particles were alternately sampled with interval of one hour during the cloud III event; Uncertainties were calculated assuming Poisson statistics for analyzed particles.



Figure 8: Mass spectral subtraction plot of the average mass spectrum corresponding to cloud residues minus ambient particles. Positive area peaks correspond to higher abundance in cloud residues, whereas negative area peaks show higher intensity in ambient particles.





Figure 9: Mass spectral subtraction plot of the average mass spectrum corresponding to cloud residues minus non-activated particles. Positive area peaks correspond to higher abundance in cloud residues, whereas negative area peaks show higher intensity in nonactivated particles.