

We appreciate the careful consideration of our manuscript by the reviewers. We have carefully responded to all of the point-by-point comments and issues raised by the reviewers and have revised the manuscript accordingly. These revisions are described in detail below, with our responses given in blue. The revised manuscript with changes tracked is provided after our responses, in this file.

Responses to Reviewer #1:

This paper presents the results of low molecular weight organic acids (LMWOA) measurements using an acetate ion time of flight mass spectrometer in an oil sands region near Alberta, Canada. The focus of the paper is to identify several organic acids observed downwind of the facilities and compare estimates of LMWOA emission and formation rates using observations from aircraft and compare the result to emission estimates derived using a box model. The results indicated that while oil sands regions are a large local source of secondary LMWOA, as a global source the estimated emissions account for <1% of the global secondary anthropogenic/biomass burning budget.

A comparison to the box modelling results showed significant under prediction of secondarily formed LMWOA, which is in agreement with other previous reports of LMWOA formation. The manuscript is well written and certainly of interest to the readership of Atmospheric Chemistry and Physics. It is a nice addition to the existing literature on LMWOA and also once again highlights the need for an improved understanding of the sources of these important secondary products. I support the publication of this manuscript in ACP subject to the following minor technical corrections.

We thank the reviewer for his/her positive comments. We agree that this paper will make a good addition to existing literature on this topic. We have addressed the specific issues raised by this reviewer below.

In the abstract the authors claim the emissions of the C1-C5 organic acids are directly from off-road diesel vehicles in the regions studied. However, at no time during the manuscript do the author make a case for the attribution to this versus other potential emission sources in the highly complex oil sands regions. They do in fact show that a primary source exists however; do not attribute that in a manner sufficient enough to make this claim. Do the authors have a comparison of observed BC/OA to previously published BC/OA ratios in diesel exhaust?

While we agree that the OS facilities are highly complex, we believe that the majority of evidence we have presented demonstrates that a small portion of the LMWOA observed are indeed from off-road diesel. However, we do agree that we did not clearly make this obvious by perhaps synthesizing all the various forms of evidence into one clear statement in the manuscript.

There are several key points that suggest a primary source of off-road diesel. First, there is a relatively strong correlation with BC in the OS plumes, and we know that the vast majority of BC in the OS is from off-road diesel fuel use. The correlation with BC can be seen in Figure 2. Secondly, the wind directions during the flights combined with the location of the measured LMWOA suggest a source directly from the mine where the off-road diesel is used. This can be seen in figure 1. Thirdly, flights directly over the mines (and not elsewhere) indicate that there are LMWOA emissions at that point, and further secondary formation of LMWOA moving away from the primary emission point. This can be seen in Figure 4. Finally, an LMWOA emission from off-road diesel is a known emission, and it should be expected to exist from the off-road diesel trucks used in the mines here as well. While we have not explicitly ruled out a co-emitting contribution from evaporating OS ore/bitumen in the mine itself (where the trucks are), this is highly unlikely as the oxygen content of bitumen is very small and evaporative emissions are dominantly hydrocarbon in nature. It may be possible that some LMWOA are formed in the mine heterogeneously (ie: from surface oxidation of ore) and misrepresented as being from the off-road diesel. However, this would be observed as a more broad area source over the entire mine rather than in more discreet areas of the mine (where the trucks likely were).

We have synthesized the above points into several lines which have been added to the primary LMWOA section of the manuscript. We think this now more clearly lays out the reasoning for suggesting an off-road diesel source. The manuscript now reads as (pg 8, lines 11-29):

“In the case of LMWOA, there is a primary emission source attributed to the use of off-road heavy duty diesel vehicles within the open pit mines, as suggested by several observations described below. Firstly, the prevailing winds and positioning of the concentration enhancements at the box wall of each flight indicate that the open pit mines are the most likely source of the LMWOA emissions (for example in Figure 1). Within the mines, emissions of any kind can arise from the vehicles within the mine, or via volatilization from the mine face itself. Emissions of LMWOA via volatilization is highly unlikely as the mined oil sands material is extremely rich in hydrocarbons and deficient in oxygenates, and would nonetheless be observed as a more spatially homogenous source (which was not evident). The same is also true for the potential heterogeneous oxidation of the oil sands ore leading to LMWOA. Secondly, LMWOA during box flights were consistently correlated with black carbon (BC), and not correlated with other species such as SO₂. An example of this relationship is given in Figure 2-A for propionic acid during F18. Figure 2-A demonstrates that there is a high degree of similarity between the time series of propionic acid and BC, particularly at the plume intercepts on the virtual box wall. Since the majority of black carbon emissions within oil sands operations arise from the heavy hauler trucks used in the open pit mining (Wang et al., 2016), the observed LMWOA:BC correlation is consistent with an emission from the large diesel heavy hauler trucks within the mine and with the known emissions of various LMWOA from diesel fuel combustion (Kawamura et al., 2000;Wentzell et al., 2013;Crisp et al., 2014;Zervas et al., 2001b). Finally, flights directly over the mines indicate that there are LMWOA emissions at that point specifically (and not elsewhere), and further secondary formation of LMWOA moving away from the primary emission point (see section 3.1.1).”

I may have missed this detail, but, why not directly calibrate for acetic acid using the LCU, instead opting to calculate the sensitivity using known kinetic rates?

5 We agree it would have been ideal to directly calibrate the PTR-MS for Acetic acid with the LCU. However, acetic acid was not on the original list of compounds to be measured and thus was not calibrated at the time of the study. Furthermore, post-calibration of the PTR-MS with the LCU was not possible as it was deployed elsewhere at the point in time when a measurement of acetic acid was known to be relevant for this paper. When acetic acid was finally realized to be
10 important for this manuscript the PTR-MS had too many modifications/changes to make an LCU relevant to this study. We believe the relative approach we have taken should provide us with reasonably good acetic acid measurements, given that the response factor derived is within 10% of the RF determined with a permeation tube for a previous study under similar conditions (noted in the paper, pg 6 line 4).

15 Page2, line27, put a space before the citation

We have now added a space before the citation.

20 Page 4, line 22, the statement “quantify facility overall primary emissions” is awkward and needs editing

We agree, and have changed the wording of this sentence as follows:

25 *“Thirteen of the flights were conducted to quantify the total primary emissions for each facility by flying in the shape of a four or five sided polygon, at multiple altitudes, resulting in 21 separate virtual boxes around 7 oil sands facilities.”*

Page 6, line 19, Suggest deleting the word ‘with’ in “derived with utilizing box-like”

30 We agree and have removed the word “with”.

Page 7, line 22, suggest adding some detail about the flight tracks being at varying altitudes to make that clear here.

35 The box model did not use the flight screen data at varying altitudes. This was a lagrangian box model such that the same point in the plume was sampled 1 hour apart. The description of how these observation points were determined is noted in the text of the same paragraph:

40 *“The specific data points within the plume to simulate with the model were selected to be those which were very close to being truly Lagrangian in nature as determined from the wind speed, wind direction and flying time. The successive plume intercepts modelled here are depicted in Figure S-1, where the same air parcel was sampled typically within 1 minute of its arrival time based on the wind.”*

5 A general figure map comment. In the figures where a red box is used to indicate the areas of mining activity it is difficult in some to see that this is a legend and not an indication of the area. One such example is figure 3. I think that Figure 1 does a good job of placing that legend so that it is not confusing. If possible I would recommend making all of the graph legends of the format and location on figure 1.

We agree that the graph legends could be better placed. We have now moved the legends in Figures 3 and S2 to be similar to Figure 1.

10 Figure 1. It would be nice to see a black trace of the flight tracks on this in altitude space so the user can visually see the degree of interpolation or smoothing of the model.

We agree and have added the suggested flight tracks.

15 Figure 7. The bars in this case I believe are not stacked, though it is not explicitly mentioned. Could the authors clarify this in the figure description?

The bars are indeed stacked and we have now clarified this in the figure caption.

20 SI page 4, line 6, there is an incorrectly formatted alpha in alpha-pinene in my version.

Thanks for catching that. We have fixed this error in the revised manuscript.

25 **Responses to Reviewer #2:**

This manuscript describes measurements of low molecular weight organic acids (LMWOA) from the oil sands in northern Alberta, and uses a box model to evaluate the measurements. The estimates of emission ratios, and impacts of those emission ratios on concentrations downwind is a useful addition to the growing literature on organic acids in the atmosphere. I recommend publication following minor revisions.

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We thank the reviewer for his/her positive comments. We agree that this paper will make a good addition to existing literature on this topic. We have addressed the specific issues raised by this reviewer below.

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There are too many acronyms to keep straight! I suggest moving most of them into full words (e.g. OS -> oil sands), and reserving acronyms for extremely long word combinations ('LMWOA') or very commonly used acronyms (VOC, SOA).

We agree that there are many acronyms in this paper. We have taken the reviewer's suggestion and tried to use full words where possible. Specifically "OS" is now "oil sands", PAN is now "peroxyacetyl nitrate", FA is now "formic acid", AA is now "acetic acid".

5 The authors set up an excellent case for their study in the Introduction – a solid description of relevant literature, and lines of evidence regarding exactly why anthropogenic sources from oil production could be regionally and globally relevant. However, the last sentence of the introduction ("It is expected that the results of this study will be broadly applicable to the secondary formation of LMWOA from other anthropogenic activities with hydrocarbon emissions") does not follow this level of logic and reasoning. For example, other anthropogenic activities with hydrocarbon emissions can range from vehicle emissions to natural gas extraction to industrial solvent production to human-induced biomass burning activities, etc. The VOC precursor emissions from each of these sources can vary widely, and thus I expect the LMWOA emissions to vary as well. After explaining how unconventional the oil sands region is – and that the emissions from that region can be different from other areas – I don't see how these measurements will be broadly applicable to other regions. The authors have built up a strong case for their work, and broad applicability to other anthropogenic hydrocarbon sources is unnecessary to convince me that this work is important. I strongly recommend the authors delete the sentence.

20 We agree that "broadly applicable" is an overstatement. While we agree on this point, we note that it is the underestimate of LMWOA formation from the box model that is likely to be more broadly applicable even to urban areas, since the VOCs oxidation mechanisms used here are not going to be significantly different for any other location (even though the amounts and types of LMWOAs formed will be different). Regardless, for clarity we have removed this sentence from the paper since as the reviewer states, it is not necessary.

30 Authors identify the deposition of organic acids downwind of the oil sands as a potential source of atmospheric acidity. My recollection of the acid deposition literature is that organic acids do not contribute to ecosystem acidity – formic and acetic acids are weak acids, in contrast to nitric and sulphuric acids, which are strong acids. Can the authors point to literature or back-of-the-envelope estimates to further back up this idea that deposition of organic acids could be relevant for ecosystems downwind of the study area? While I understand that this is not the focus of the paper, and a detailed estimation is beyond the scope of the paper, the suggestion that organic acids could influence ecosystem acidity is a large one, and I think that the authors would do well to provide a little more literature or evidence that this is a likely event.

40 We agree that strong acidity will dominate ecosystem acidity in many cases, and we have indeed noted this point in the manuscript already (lines 26-27): *"The strong acidity associated with sulfur deposition is likely to dominate downwind ecosystem effects. Consequently, critical load exceedances for highly sensitive aquatic systems in Northern Alberta have mainly been assessed from the perspective of sulfur and nitrogen"*

However, weak acidity has been considered as a possible acidifying agent particularly for remote areas where strong acidity is mostly absent. We have now added literature references for the consideration of weak acids in acidification (although there are admittedly few of them). Downwind of the oil sands, most of the highly sensitive lakes/rivers etc are more likely to be impacted by inorganic acidity. However the degree of LMWOA impact depends upon the critical load that can be tolerated by the ecosystem. In the event that some lakes are just slightly below their critical load (assessed from the standpoint of S deposition), an additional input of even weak acidity may bump the ecosystem into a critical load exceedance. This would of course need to be verified and explored further, but is possible. We have clarified the text to make this point clearer. The paragraph now reads:

“The strong acidity associated with sulfur deposition is likely to dominate downwind ecosystem effects. However, the impact of weak acidity on ecosystem acidification has been previously considered (Vet et al., 2014), and may be particularly important in remote areas (Stavrakou et al., 2012;Keene, 1983). The impact of weak acidity downwind of the oil sands specifically is not clear. Critical load exceedances for highly sensitive aquatic systems in Northern Alberta have mainly been assessed from the perspective of sulfur and nitrogen (Cathcart et al., 2016;Whitfield et al., 2016). While the impact of the large amount of weak organic acidity formed downwind of the oil sands has not been evaluated, it may have a relevant impact on ecosystem acidification in highly sensitive systems which are approaching their respective sulfur and/or nitrogen critical loads. These results warrant a further investigation of the potential impact of this LMWOA emission/formation in this context”

pg.13, line 6: misspelled Lagrangian.

We have fixed the spelling here.

Figure 4: Box D has the note that the blue square indicates the mean value; it is distracting to have this in the middle of the yellow bar – I suggest either removing the legend from the figure and merely describing it in the figure caption, or offsetting it from the data columns so that it cannot be confused with actual data

We have now removed the legend and simply described it in the figure caption.

Figure 9: Please specify that these results are from the photochemical box modeling, as opposed to observationally-derived for clarity

We have made the suggested change.

Understanding the Primary Emissions and Secondary Formation of Gaseous Organic Acids in the Oil Sands Region of Alberta, Canada

5 John Liggio¹, Samar G. Moussa¹, Jeremy Wentzell¹, Andrea Darlington¹, Peter Liu¹, Amy
Leithead¹, Katherine Hayden¹, Jason O'Brien¹, Richard L. Mittermeier¹, Ralf Staebler¹,
Mengistu Wolde² and Shao-Meng Li¹

¹Air Quality Research Division, Environment and Climate Change Canada, Toronto, Ontario, Canada, M3H 5T4.

²National Research Council Canada, Flight Research Laboratory, Ottawa, Canada K1A 0R6

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Correspondence to: John Liggio (John.Liggio@Canada.ca)

Abstract. Organic acids are known to be emitted from combustion processes and are key photochemical products of biogenic and anthropogenic precursors. Despite their multiple environmental impacts, such as on acid deposition and human/ecosystem health, little is known regarding their emission magnitudes or detailed chemical formation mechanisms. In the current work, airborne measurements of 18 gas-phase low molecular weight organic acids were made in the summer of 2013 over the oil sands region of Alberta, Canada, an area of intense unconventional oil extraction. The data from these measurements were used in conjunction with emission retrieval algorithms to derive the total and speciated primary organic acid emission rates, as well as secondary formation rates downwind of oil sands operations. The results of the analysis indicate that approximately 12 tonnes day⁻¹ of low molecular weight organic acids, dominated by C₁ – C₅ acids, were emitted directly from off-road diesel vehicles within open pit mines. Although there are no specific reporting requirements for primary organic acids, the measured emissions were similar in magnitude to primary oxygenated hydrocarbon emissions measured previously (≈20 tonnes day⁻¹), for which there are reporting thresholds. Conversely, photochemical production of gaseous organic acids significantly exceeded the primary sources, with formation rates up to ≈184 tonnes day⁻¹ downwind of the oil sands facilities. The formation and evolution of organic acids from a Lagrangian flight were modelled with a box model, incorporating a detailed hydrocarbon reaction mechanism extracted from the Master Chemical Mechanism (v 3.3). Despite evidence of significant secondary organic acid formation, the explicit chemical box model largely underestimated their formation in the oil sands plumes, accounting for 39%, 46%, 26% and 23% of the measured formic, acetic, acrylic and propionic acids respectively, and with little contributions from biogenic VOC precursors. The model results, together with an examination of the carbon mass balance between the organic acids formed and the primary VOCs emitted from oils sands operations, suggest the existence of significant missing oil sands related secondary sources/precursor emissions and/or an incomplete mechanistic and quantitative understanding of how they are processed in the atmosphere.

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1. Introduction

Organic acids are important atmospheric constituents with various sources, sinks and roles in gas phase, particle phase, and precipitation chemistry. Organic acids are known photochemical products of volatile organic compound (VOC) oxidation, some of which are of significantly low volatility to contribute to secondary organic aerosol (SOA). Numerous studies have identified and/or quantified specific organic acids or organic acid functional groups in particulate matter (PM) (Kawamura and Bikkina, 2016; Zhang et al., 2016; Ho et al., 2015; Li et al., 1997), with organic acids often accounting for a significant fraction of PM mass (Duarte et al., 2015; Yatavelli et al., 2015; Sorooshian et al., 2010). While PM associated organic acids have a long history of measurements (Li and Winchester, 1992), low molecular weight organic acids (LMWOA; $\approx C_1$ - C_{10}), found primarily in the gas-phase, have only recently received attention, likely due to difficulties associated with their measurement at trace levels. The advent of real-time instrumentation with organic acid selectivity (Le Breton et al., 2012; Veres et al., 2008; Yatavelli et al., 2012; Lee et al., 2014), and LMWOA satellite retrievals (Cady-Pereira et al., 2014; Shephard et al., 2015; Stavrakou et al., 2012) has helped to shed light on the importance of low molecular weight organic acids in various atmospheric chemistry processes.

From the atmospheric chemical process perspective, LMWOA can be important contributors to precipitation acidity and ionic balance, particularly in remote areas (Khare et al., 1999; Stavrakou et al., 2012), and are expected to become increasingly important as anthropogenic NO_x and SO_x emissions continue to decrease. They are key participants in the aqueous phase chemistry of clouds and contribute to secondary organic aerosol (SOA) formation through various reactions within the aqueous portion of the particle-phase (Carlton et al., 2007; Ervens et al., 2004; Lim et al., 2010). Furthermore, since organic acids can be photochemical products, they can also serve as indicators of atmospheric transformation processes. Real-time and improved measurements for LMWOA present a means to test the validity of current photochemical reaction mechanisms of volatile organic compounds (VOC) from which the LMWOA are ultimately derived. From an environmental and health perspective, deposition of LMWOA may have ecosystem impacts as they have been shown to be toxic to various marine invertebrates (Staples et al., 2000; Sverdrup et al., 2001), phytotoxic (Himanen et al., 2012; Lynch, 1977), and interfere with the uptake and mobilization of heavy metals by microbial communities in soils (Song et al., 2016; Menezes-Blackburn et al., 2016). However, studies on the human toxicity of LMWOAs are sparse and the results unclear (Rydzynski, 1997; Azuma et al., 2016).

The sources of gaseous organic acids are highly varied. Primary sources of organic acids (LMWOA in particular) include gasoline and diesel vehicle exhaust emissions (Kawamura et al., 2000; Zervas et al., 2001b; Wentzell et al., 2013; Crisp et al., 2014; Zervas et al., 2001a), biofuel combustion, biological activity (ie: direct vegetation emissions), soil emissions, and biomass burning (Chebbi and Carlier, 1996). However, the largest source of LMWOAs is likely to be their formation from the oxidation of VOCs (Paulot et al., 2011; Veres et al., 2011). The most important oxidation pathway leading to their formation is likely to be the gas phase ozonolysis of alkenes, where the further reaction of the resulting stabilized biradicals with water (the dominant pathway under tropospheric conditions) will form organic acids as a primary product (Neeb et al., 1997). Other mechanistic routes include the

OH initiated oxidation of aromatic species (Praplan et al., 2014), the decomposition of peroxyacetyl nitrate (Surratt et al., 2009), and the OH oxidation of vinyl alcohols (Andrews et al., 2012). Some volatile organic acids have also been shown to be evolved to the gas-phase through heterogeneous aerosol reactions (Molina et al., 2004) and/or aqueous chemical reactions involving glyoxal (Carlton et al., 2007).

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5 Despite the known primary and secondary sources of LMWOA, the chemistry forming LMWOA from their precursors remains poorly understood. Incorporation of known emissions and associated chemistry into global models has repeatedly indicated missing sources, particularly with respect to formic and acetic acids (Ito et al., 2007; Paulot et al., 2011; von Kuhlmann et al., 2003). While it has been stated that secondary sources of formic acid and acetic acid from biogenic precursors (isoprene in particular) dominate the global budget of these species, studies 10 have demonstrated that large inconsistencies exist between measurements and model predictions in the northern hemisphere (Paulot et al., 2011). Potential inconsistencies have not been examined for other LMWOA. However, given the limited measurements of other LMWOAs, as well as the complex and likely incomplete mechanistic understanding of their formation, larger discrepancies for other LMWOAs are expected. Large model-measurement inconsistencies in the northern hemisphere, after having included biogenic secondary sources, suggest the possibility 15 of secondary formation from anthropogenic VOC precursors accounting for an increased fraction of the global LMWOA budget. This points to the need for further measurements of LMWOA formed downwind of anthropogenic emissions.

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One such anthropogenic source of LMWOA precursors is the oil and gas sector, which has been expanding significantly in North America over the last several decades, and has significant VOC precursor emissions (Gilman et al., 2013). Indeed, the formation of a single LMWOA (formic acid) in an oil and gas region of the United States 20 has been studied recently (Yuan et al., 2015) during the winter season. The results of that study demonstrated that formic acid is significantly under-predicted when using the current formic acid photochemical mechanism, even after accounting for potential heterogeneous and aqueous formation. Despite an entirely different VOC profile compared to urban areas, secondary formic acid formation remained high in the region, suggesting that 25 anthropogenic VOC precursors from the oil and gas sector are important contributors to formic acid formation.

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The oil sands region of Alberta, Canada represents another important oil and gas producing region in North America with relatively large VOC emissions (Li et al., 2017). It is estimated to contain up to 1.7 trillion barrels of highly viscous oil mixed with sand (Alberta, 2009) from which oil is recovered through unconventional surface mining or in-situ steam assisted extraction. Rising oil sands oil production has raised concerns over its 30 environmental impacts, including those associated with the deposition of toxic compounds, and the acidification of nearby ecosystems via the deposition of SO_x and NO_x (Jung et al., 2013; Kelly et al., 2009; Kirk et al., 2014). Recent evidence has also indicated that the downwind transformation of oil sands gaseous precursors (intermediate volatility compounds in particular; IVOC) to SOA represents a large PM input into the atmosphere (Liggio et al., 2016). The same photochemical processes which give rise to the observed SOA will also lead to various other gas- 35 phase photochemical products that include organic acids. Given that the oil sands activities are surrounded by

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forests, the oil sands represents an ideal location to study the primary emission and secondary formation of gaseous organic acids from both industrial and biogenic precursors, in the absence of other confounding emissions.

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In this work, we describe airborne measurements of gaseous LMWOA both directly emitted from oil sands activities (ie: primary) and formed via secondary reactions of various oil sands emitted and/or biogenic hydrocarbon precursors. Through the use of specifically tailored flight patterns and top-down emissions retrieval algorithms, the total (and speciated) primary emission and secondary production rates of LMWOA from the oil sands are derived; from which the relative importance of primary and secondary organic acids to the total organic acid budget in oil sands plumes are evaluated. Through Lagrangian box modelling of successive oil sands plume intercepts, the relative importance of biogenic and anthropogenic precursors within oil sands plumes is examined, providing a means to evaluate our current understanding of organic acid photochemical formation mechanisms for selected LMWOA.

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2.0 Methods

2.1 Aircraft Campaign

Airborne measurements of a variety of air pollutants aboard the National Research Council of Canada (NRC) Convair-580 aircraft were performed over the Athabasca oil sands region of northern Alberta from August 13 to September 7, 2013 in support of the Joint Canada-Alberta Implementation Plan on Oil Sands Monitoring (JOSM). Details regarding the overarching study objectives, aircraft campaign implementation and technical aspects have been described previously (Gordon et al., 2015;Liggio et al., 2016). During this study, 22 flights were conducted over the oil sands for a total of approximately 84 hours. Thirteen of the flights were conducted to quantify the total primary emissions for each facility by flying in the shape of a four or five sided polygon, at multiple altitudes, resulting in 21 separate virtual boxes around 7 oil sands facilities. In addition, 3 flights (F7, F19, F20) were conducted to study the photo-chemical transformation of pollutants downwind of the oil sands (See supporting information), including the secondary formation of organic acids.

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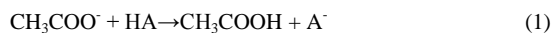
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2.2 Gaseous Organic Acid Measurements

Gaseous organic and inorganic acid measurements were conducted aboard the aircraft with a High Resolution Time-of-Flight Chemical Ionization Mass Spectrometer (HR-ToF-CIMS; Aerodyne Research Inc.). A detailed description of the instrument and principles of operation has been given elsewhere (Bertram et al., 2011;Lee et al., 2014) and in the supporting information. Briefly, the HR-ToF-CIMS used in this study was a differentially pumped Time-of-Flight mass spectrometer configured to use acetate ion as the reagent ion in the ionization of molecules of interest (Veres et al., 2008;Brophy and Farmer, 2015) via the following reaction



where HA is the acid of interest and A⁻ is the respective anion. Thus, acids with a gas-phase acidity greater than that of acetic acid (eq 1) will be ionized and subsequently sent into the mass spectrometer for detection. There is also evidence that acetate dimers can be involved in the ionization process and/or a number of clustering-declustering/deprotonation reactions of acetate with organic acids (Brophy and Farmer, 2015). At the high field strengths (ie: strong declustering conditions) utilized during this study (Yuan et al., 2016; Brophy and Farmer, 2015), it is expected that such reactions ultimately also lead to a deprotonated acid ion (A⁻ in Eq 1). Calibrations of organic acids were conducted both in the field and post study using a liquid calibration unit (LCU; Ionimed Analytic), which provided a stable gas stream of analytes by volatilizing aqueous organic acid standards of known composition and concentration prepared from pure compounds (>99%; Sigma Aldrich). A list of the quantified organic acids is given in Table 1, and includes 18 acids spanning the C₁ – C₁₀ range.

The HR-ToF-CIMS data were processed using the ToFWare software program (Tofwerk AG, Switzerland), using an approach for mass calibration and high resolution peak fitting which has been described previously (Brophy and Farmer, 2015; Yuan et al., 2016), resulting in an overall conservative uncertainty of ~40% in the quantified species (Yuan et al., 2016). The accuracy of the mass (*m/z*) calibration was approximately 5 – 7 ppm during the campaign. The reagent acetate ion signal during this campaign was approximately 0.9–2.5x10⁶ counts per second (cps), and was used to normalize the analyte signals during post processing. The mass resolution of the HR-ToF-CIMS during the study was approximately 3000 to 4000 for ions spanning *m/z*=100 to greater than *m/z*=200. The quantified ions were predominantly those whose signal dominated the nominal *m/z* space, minimally perturbed by neighboring shoulders and thus less affected by uncertainties associated with HR peak fitting (Yuan et al., 2016). Despite the high mass resolution, isomeric species (ie: the same exact mass) which are also acidic in nature (ie: ionisable) cannot be resolved from each other. For some organic acid species this is not relevant, as no other acidic compounds with the same exact mass are likely to exist in the atmosphere. Such species include formic acid, propionic acid, acrylic acid and pyruvic acid. For all other species, the signal at the exact mass was calibrated with the available surrogate standards listed in Table 1. While this introduces a degree of uncertainty, calibrated response factors across all species and *m/z* generally did not vary by more than a factor 2-3, and it is expected that organic acids with the same exact mass will have response factors which vary considerably less than this.

A Proton Transfer–Time Of Flight–Mass Spectrometer (PTR-ToF-MS, Ionicon AnalytiK) was used to measure acetic acid (which cannot be measured with the HR-ToF-CIMS) in real-time during each flight. The PTR-ToF-MS is a soft ionization technique that detects VOCs with a proton affinity greater than that of water. This includes species such as unsaturated hydrocarbons, aromatics, and various oxygenated compounds. Details of the PTR-TOF-MS technique and application, have been described previously (Graus et al., 2010; De Gouw and Warneke, 2007; Li et al., 2017). The deployment of the PTR-ToF-MS on the aircraft during this study, its calibration, and data processing have been described elsewhere (Li et al., 2017). Acetic acid via the PTR-ToF-MS was calibrated using a method described previously (De Gouw et al., 2003; Zhao and Zhang, 2004), by calculating a response relative to a known and calibrated reference compound (toluene in this case). The response factor for acetic acid (*R_{AA}*) is calculated as:

$$R_{AA} = \frac{k_{AA} T_{AA} R_{Tol}}{k_{Tol} T_{Tol}} \quad (2)$$

Where k refers to the kinetic rate constant for the reaction of acetic acid or toluene with H_3O^+ , T is the experimentally determined ion transmission efficiencies ($\text{C}_2\text{H}_5\text{O}_2^+$; $m/z = 61$ and C_7H_9^+ ; $m/z = 93$) and R_{tol} is the experimentally derived response factor for toluene (using a standard gas cylinder). The response factor for acetic acid calculated in this manner was within 10% of that derived using a permeation device during previous studies.

5 2.3. Other Supporting Measurements.

A detailed description of the meteorological variables, aircraft state parameters and full list of gas/particle measurements is provided elsewhere (Gordon et al., 2015; Liggió et al., 2016) (<http://jointoilandsmonitoring.ca/default.asp?lang=En&n=A743E65D-1>). The current work makes use of a subset of these measurements. These include refractory black carbon (rBC, also referred to as BC) measurements via a
10 Single Particle Soot Photometer (SP2; Droplet Measurement Technologies, Boulder, CO, USA.), VOC canister sampling followed by off-line analysis and nitrogen oxides (NO and NO_2) and ozone measurements (TECO 42i-TLand 49i respectively; Thermo Fisher Scientific, Waltham, MA, USA). Details with respect to supporting measurements are provided in the supporting information.

15 2.4 Topdown Emission Rate Retrieval Algorithm (TERRA).

An algorithm (TERRA) designed to estimate pollutant transfer rates through virtual boxes/screens from aircraft measurements was used to derive the primary and secondary emission/production rates from the oil sands flights (Gordon et al., 2015 and SI). Briefly, primary emissions are derived utilizing box-like aircraft flight patterns surrounding each of the main surface mining facilities, pollutant measurements at high time resolution, and wind
20 speed, direction, temperature, and pressure data. Organic acid measurements, at high time resolution, can be used directly in TERRA for estimating their primary emissions; however they are also secondary products which may form via chemistry in the short distance/time between the source area and the virtual box wall. Hence, direct use of TERRA for estimating primary organic acid emissions may result in their overestimation. Alternatively, organic acid primary emissions are estimated after normalization to BC in the source area and scaling by the BC emissions
25 via an approach which is described in section 3.1.1.

Secondary formation rates of organic acids were also derived with an extended version of TERRA using Lagrangian transformation flights (F19, F20). The extended TERRA quantifies the mass transfer rate of pollutants (kg/hr) across the virtual screens of transformation flights, in the same manner as for virtual box type flights, and has been described in detail for secondary organic aerosol (SOA) (Liggió et al., 2016) and in the supporting information.
30 Briefly, for the transformation flights, TERRA is applied to single screens created by stacking horizontal legs of flight tracks at multiple altitudes and spirals. Concentration data are mapped to the screens and interpolated using a simple kriging function. Pollutant concentrations are also extrapolated below the lowest flight altitude (150 m agl) based on the assumption of a well-mixed layer below the lowest flight track. It has been demonstrated that this

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extrapolation is the main source of uncertainty in TERRA, resulting in overall uncertainty in the derived pollutant transfer rates of approximately 20% (Gordon et al., 2015;Liggio et al., 2016).

2.5 Box Modelling

5 A photochemical box model (AtChem-Online; atchem.leeds.ac.uk) coupled with the Master Chemical Mechanism (MCM v 3.3; University of Leeds, <http://mcm.leeds.ac.uk/MCM/>) (Jenkin et al., 2012) was used to simulate the individual organic acid (and total) formation and evolution during transformation flight 19, as well as ozone and free radical production, and other photochemical products. The specific data points within the plume to simulate with the model were selected to be those which were very close to being truly Lagrangian in nature as
10 determined from the wind speed, wind direction and flying time. The successive plume intercepts modelled here are depicted in Figure S-1, where the same air parcel was sampled typically within 1 minute of its arrival time based on the wind. The model consisted of an explicit mechanism for 4578 species in 18045 reactions. Further details with respect to modifications to the MCM for organic acids are given in the supporting information and in Yuan et al., 2015. Photochemical rate constants were calculated using Tropospheric Ultraviolet and Visible Radiation Model (TUV; <https://www2.acom.ucar.edu/modeling/tropospheric-ultraviolet-and-visible-tuv-radiation-model>) and were
15 constrained along the flight path. Relative humidity, temperature and pressure were also constrained with measurement data along the flight path. CO and biogenic VOCs (isoprene, α - pinene and β - pinene) were constrained via measurements along the entire flight path of the aircraft to account for biogenic emissions between screens. Measured cycloalkanes were lumped into cyclohexane (the only cycloalkane in MCM v3.3), and any other
20 VOC not present in the MCM was lumped into a VOC with similar reactivity in the MCM. The model was initialized and constrained using the measurements of VOCs (including organic acids), NO_x, CO, O₃, SO₂ and other parameters at the first screen. The model was run for 3 hours to correspond with the flight time, and with a time step of 1 minute. Black carbon measurements were used to derive first order dilution rate constants, and applied to all species to account for ongoing dilution. Species within the plume were diluted at every time step with air outside
25 the plume whenever background measurements of the acids were available, otherwise clean air was used as background.

3.0 Results and Discussion.

3.1.0 Primary LMWOA Emission Sources

30 Primary emissions of various pollutants from specific surface mining [oil sands](#) facilities were estimated by flying virtual boxes around operations followed by subsequent analysis using TERRA (Gordon et al., 2015;Liggio et al., 2016;Li et al., 2017). The specific [oil sands](#) facilities which were evaluated are shown in Table S-1, with corresponding flight numbers, and include Syncrude, Suncor, CNRL, Shell and Imperial Oil. The geographical locations of these operations in relation to the entire [oil sands](#) region are provided elsewhere (Li et al., 2017). The

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results of a typical emission flight (F18; Table S-1) are shown in Figure 1 for a C₅ organic acid, after having applied the Simple Kriging method to derive a continuous concentration surface. The enhancement in the concentration of this C₅ acid on the downwind box wall (Figure 1) clearly demonstrates that this species is associated with oil sands activities from this particular facility. Similar enhancements for all other measured organic acids at this facility (and others) and during other flights of Table S-1 were also observed. While this organic acid and most others are clearly derived from an oil sands source, generally, surface mining operations consist of a variety of potential pollutant sources as outlined in Figure 1, including open pit mines, tailing ponds and processing plants. These sources are all often in close proximity to each other. However, the chemical nature, location and extent of individual oil sands plumes within facilities, relative to the prevailing winds can often be used to determine specific sources. For example, while mines and tailings ponds will lead primarily to surface emissions, processing plant emissions may be mostly from elevated stacks, particularly for SO₂ (Gordon et al., 2015). In the case of LMWOA, there is a primary emission source attributed to the use of off-road heavy duty diesel vehicles within the open pit mines, as suggested by several observations described below. Firstly, the prevailing winds and positioning of the concentration enhancements at the box wall of each flight indicate that the open pit mines are the most likely source of the LMWOA emissions (for example in Figure 1). Within the mines, emissions of any kind can arise from the vehicles within the mine, or via volatilization from the mine face itself. Emissions of LMWOA via volatilization is highly unlikely as the mined oil sands material is extremely rich in hydrocarbons and deficient in oxygenates, and would nonetheless be observed as a more spatially homogenous source (which was not evident). The same is also true for the potential heterogeneous oxidation of the oil sands ore leading to LMWOA. Secondly, LMWOA during box flights were consistently correlated with black carbon (BC), and not correlated with other species such as SO₂. An example of this relationship is given in Figure 2-A for propionic acid during F18. Figure 2-A demonstrates that there is a high degree of similarity between the time series of propionic acid and BC, particularly at the plume intercepts on the virtual box wall. Since the majority of black carbon emissions within oil sands operations arise from the heavy hauler trucks used in the open pit mining (Wang et al., 2016), the observed LMWOA:BC correlation is consistent with an emission from the large diesel heavy hauler trucks within the mine and with the known emissions of various LMWOA from diesel fuel combustion (Kawamura et al., 2000; Wentzell et al., 2013; Crisp et al., 2014; Zervas et al., 2001b). Finally, flights directly over the mines indicate that there are LMWOA emissions at that point specifically (and not elsewhere), and further secondary formation of LMWOA moving away from the primary emission point (see section 3.1.1). The above relationships and observations were also evident for other emission flights, and for all other LMWOAs measured. The correlation between LMWOA and BC is subsequently used to derive individual and total LMWOA primary emission rates from various oil sands facilities (after accounting for potential secondary formation), as described further below.

3.1.1 Primary LMWOA Emission Rate Estimates

For conserved and chemically unreactive species, the virtual box flights can be used directly in TERRA to estimate emissions (kg/hr) via a mass balance approach. However, the distance between oil sands sources and any given box wall in an emission flight can range from 10 to 15 km. With the average wind speeds during these flights,

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such a distance corresponds to approximately 10 – 60 minutes in transport time. During this time, it is possible that photochemical reactions occur which could increase or decrease the concentration of a given pollutant at the box wall, and hence affect the final emission rate calculated by TERRA. This has been accounted for in the case of VOCs by estimating the rates of oxidation for specific hydrocarbons during travel to the exit of the virtual box, using known rate constants for the reaction of VOC with OH and O₃ (ie: k_{OH} and K_{O_3}) (Li et al., 2017). This has been shown in most instances to result in small corrections to the TERRA derived emission rates for the hydrocarbons (Li et al., 2017). The degradation of organic acids during transport to the box wall is expected to be slow since their OH and O₃ rate constants are generally small. However, they are more importantly, photochemical products of VOC oxidation such that attempting to correct for the contribution of their photochemical formation prior to input into TERRA is not feasible as it requires detailed knowledge of all oxidation mechanisms leading to LMWOA and their associated yields. Such information is not available and would nonetheless carry a high degree of uncertainty.

Alternatively, BC is used as a normalizing tracer to estimate facility emission rates. As noted above, the time series of LMWOA and BC are similar (Eg:Fig 2-A), suggesting a common incomplete combustion source from the large mining trucks. The correlation between propionic acid and BC for F18 (for example) is shown in Figure 2-B, and indicates that while the two species are correlated, a significant spread in the data exists (denoted by the 25th – 75th percentiles), likely caused by a degree of photochemical propionic acid formation while BC is conserved. This is also reflected in the observation that other LMWOAs are more significantly correlated with each other (Figure 2-C) than they are with BC (ie: LMWOA are all more similarly formed and lost relative to BC). These observations further imply that the formation of LMWOAs will be reflected in the evolution of the background subtracted LMWOA to BC ratio ($\Delta LMWOA/\Delta BC$) between the emission source and virtual box wall. In this case, the $\Delta LMWOA/\Delta BC$ at the source (ie: the emission ratio) can be used to estimate facility primary LMWOA emissions (E_{LMWOA} , kg/hr), when the corresponding BC emissions E_{BC} from the virtual boxes over the individual facilities have been determined using TERRA (Cheng et al., 2017) , according to Eq 3:

$$E_{LMWOA} = \left(\frac{\Delta LMWOA}{\Delta BC} \right)_{Source} \times E_{BC} \quad (3)$$

LMWOA emission ratios ($(\Delta LMWOA/\Delta BC)_{source}$) were derived from flights where horizontal transects between the center of the open pit mines and the exiting box walls were flown. These flights included F17, F18 and F21 (spanning 4 facilities) and are depicted in Figures 3, S-2 and S-3. These figures indicate the horizontal extent of the BC plumes from the various mines, and the approximate times and distances from the approximate centre of a given mine to the various horizontal transects. At the closest transects to the mine centers, the time from emission was estimated to range from approximately 0.3 – 6 minutes based on the average wind speeds during these flights; a time during which photochemical production of the LMWOA is expected to be minimal and the $(\Delta LMWOA/\Delta BC)$ ratio should approach the true emission ratio, unlike that expected during the longer transport time from emission to the box wall (10 – 60 min; see Li et al. (2016)) The evolution of $\Delta LMWOA/\Delta BC$ for the 4 depicted oil sands operations, at the various horizontal transects of Figures 3, S-2 and S-3 (eg: A, B, C, A₁, B₁, C₁) are shown in Figure 4 for a selected organic acid. The boxes in these figures represent the 25th to 75th percentiles of the individual

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5 Δ LMWOA/ Δ BC ratio values within the plume only, as defined spatially by the BC (which is approximately zero outside of the plume), and at approximately the same altitude. These figures demonstrate a degree of photochemical LMWOA formation when moving from the emission sources to the virtual box walls. Hence, the emission ratios for the various species are considered to be the ratio in the yellow highlighted regions of Figure 4 (ie: the closest approach to the mine source - A, A₁ and A₂).

Photochemical formation of organic acids from co-emitted hydrocarbons within the mines is not expected to significantly contribute to the derived emissions ratios in the 0.3 to 6 min travel time. This is particularly likely for the transects closest to the mine sources, as very high co-emitted NO at the source titrates O₃ to levels below 15 ppbv (Figure S-4) and is likely to effectively suppresses active photochemistry at the source via OH radical.

10 Furthermore, most LMWOA are likely later generation products of VOC oxidation and not likely formed to a great extent in such a short time. Nonetheless, a small secondary LMWOA contribution from co-emitted hydrocarbons to the emission ratios here cannot be entirely ruled out.

The emission ratios (Δ LMWOA/ Δ BC) derived for individual LMWOA species for the 4 facilities shown in Figure 4 are presented in Figure S-5, where the error bars represent the 25th to 75th percentiles of the computed ratio data. Generally, the profiles of emission ratios in Figure S-5 are relatively similar to each other, regardless of the facility (means within 15 – 70 % for individual species). However, the differences between facilities may be attributed to differing emissions control systems between recent and older model mining vehicles. The largest emission ratios are associated with formic and acetic acids, ranging from 412 to 800 pptv/ μ gm⁻³, followed by propionic, butyric and pentanoic acids in the range of 114 to 205 pptv/ μ gm⁻³. Other LMWOAs have smaller but

20 non-negligible emission ratios. The corresponding facility LMWOA emission rates (kg hr⁻¹) derived with Eq (3) (a primary emission rate estimate) are shown in Figure S-6. Where individual emission ratios for a given facility were not available, the mean of the emission ratios for each species is used to compute the emission rate (ie: for Suncor – MS and Imperial – KL). Accordingly, the largest primary emissions rates are observed for formic and acetic acids (65 and 129 kg hr⁻¹; summed across facilities), followed by propionic, butyric and pentanoic acids at 52, 54 and 40

25 kg hr⁻¹ respectively. This corresponds to the fractional contributions to the total measured LMWOA mass emission rate shown in Figure S-7A. where formic and acetic acids alone account for ~43% of the primary emissions.

From a facility standpoint, speciated and total measured primary emissions of LMWOA during this study were significantly variable (Figure S-6), with total LMWOA emissions for the Suncor-MS, Syncrude-ML, Syncrude-AU, Shell-MR/JP, CNRL-HOR and Imperial-KL facilities estimated be 162±22, 108±15, 45±6, 56±8,

30 60±8 and 19±3 kg hr⁻¹ respectively. The total primary LMWOA emission rates should also then be somewhat proportional to [oil sands](#) oil production, given that LMWOA are associated with diesel exhaust during mining activities. Since BC emission rates were found to be linearly correlated with the quantity of oil sands mined (Cheng et al., 2017), LMWOA emission rates derived using BC emission rates as the tracer are therefore similarly correlated with the oil sands mined. This correlation suggests that primary LMWOA emissions may also be expected to track

35 increases or decreases in [oil sands](#) productivity.

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The sum of the measured emission rates for LMWOA (ie: total primary) is shown in Figure 5, as well as the measured primary emissions of total and oxygenated VOCs (Li et al., 2017). In total, the oil sands are estimated to emit approximately 12 tonnes day⁻¹ of primary LMWOAs (see supporting information). Relative to the total VOC emitted simultaneously (≈214 tonnes day⁻¹), the emission of LMWOA is small, representing an increase to the total accounted for VOC emissions of less than 6%. However, of the total VOC emissions, oxygenated VOCs have been estimated to account for <10% (≈20 tonnes day⁻¹) (Li et al., 2017) (Figure 5), and comprised mainly of methanol, formaldehyde and acetone. Hence, the LMWOA emissions here represent an increase of up to 60% to the oxygenated VOC mass emissions, which have previously been unaccounted for, and for which there are currently no regulatory reporting requirements.

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The primary LMWOA emissions from the oil sands are not easily placed in context, as measurements of emission rates from various other anthropogenic sources are generally not available. On a global scale, primary sources of LMWOAs have been estimated to be very small relative to their photochemical production from a variety of precursor gases (Paulot et al., 2011). This indicates that while possibly important on a regional scale, the primary emitted LMWOAs associated with the oil sands are not expected to contribute significantly to the overall organic acid atmospheric burden both in the Canadian context and/or globally. Given the large emissions of VOCs from oil sands operations (Li et al., 2017), it is likely that secondary formation of LMWOAs from oil sands derived precursors is more significant than their primary emissions, a subject to be further explored below.

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3.2.0 Secondary formation of LMWOA in oil sands plumes

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3.2.1 Secondary Formation rates

The secondary formation rates of LMWOAs are estimated using a modified version of TERRA with transformation flights 19 and 20 (see methods, section 2.5). An example of the resultant screens from which secondary formation rates are derived is given in Figure 6 for C₅H₈O₂. Using BC measurements to define the oil sands mine plume spatial dimensions (red boxes; Figure 6), the formation rates for any specific LMWOA is given as the difference in transfer rates between screens (1 to 4). Accordingly, for C₅H₈O₂, approximately 219±43 kg hr⁻¹ is formed downwind of the oil sands, in the 3 hours between screens 1 and 4. Similarly, the formation rates for all measured LMWOAs between screens 1 and 4 during F19 are shown in Figure 7A, along with the sum of the estimated primary LMWOA emissions of Figure S-6 (summed over all facilities). From this Figure it is evident that primary emissions of LMWOAs are negligible compared to that formed via oxidation of precursors; the sum of primary emission rates (across all facilities) are on average 30 times lower than the transfer rates through screen 4 (Figure 7A). Consequently, the total speciated secondary formation rates (between the source area and screen 4; ie: ≈4 hrs) are given as the transfer rates at screen 4 (kg hr⁻¹), after having subtracted the small primary emission contributions; these are shown as the green bars of Figure 7A. During this 4 hr mid-day time period, individual secondary production rates of LMWOAs ranged from ≈20 – 6700 kg hr⁻¹, dominated by acetic (≈6700 kg hr⁻¹) and formic acids (≈3200 kg hr⁻¹), with all other species in the ≈20 - 500 kg hr⁻¹ range. On a percent basis, formic and

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acetic acids together account for ~70% of the total estimated secondary LMWOAs production rate downwind of the oil sands, with all other organic acid species generally contributing less than 3% each to the total (Figure S-7B). This is in contrast to the estimated primary emission rates from the [oil sands](#), where formic and acetic acids accounted for ~43% of the total measured primary LMWOA emission rate, with several other species accounting for up to 12% (Figure S-7A). Such relative differences between LMWOA secondary production and primary emission rate profiles is expected, since photochemical formation of LMWOA is likely to occur at rates, and via formation mechanisms that will differ from that of primary combustion sources. Furthermore, formic and acetic acids being the lowest MW acids are likely to have many more precursors than other LMWOAs, implying that their relative proportions to the total LMWOA should increase with increasing photochemical processing.

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10 The hourly formation rates of Figure 7A are further scaled to one photochemical day (ie: tonnes day⁻¹) as described in detail previously (Liggio et al., 2016). While oil Sands operations are active 24 hours per day, a simple scaling up by multiplying the hourly rates by 24 may add significant uncertainty, as photochemistry does not occur uniformly across these hours. Alternatively, the hourly LMWOA production rate (for each hour) was scaled by the time integrated OH radical concentration, which was modelled with a box model (Liggio et al., 2016). The daily LMWOA production rate is then the sum of the scaled hourly production rates, and is shown in Figure 7B for flights 15 19 and 20. Typically, the scaled daily secondary production rates were ~54% of the daily rate derived using a simple 24 hour multiplier; 184±37 tonnes day⁻¹ and 173±34 tonnes day⁻¹ for F19 and F20 respectively. This estimation neglects the dry deposition of LMWOA which may be significant. Accounting for the potential deposition of these species (see Supporting information) increases the estimated total secondary LMWOA formation rates even further 20 to 288±58 and 226±45 tonnes day⁻¹ respectively. The total LMWOA secondary production rate (excluding deposition, as a lower limit) is also compared to the total measured hydrocarbon VOC emissions from [oil sands](#) operations in Figure 7B. Although, on a total mass basis (tonnes day⁻¹) LMWOA production is almost as large as the total VOC primary emissions (~214 tonnes day⁻¹)(Li et al., 2017) at least 2 oxygen atoms are added during oxidation (to form acids), and hence a carbon mass comparison is more relevant and is also shown in Figure 7B.

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25 This comparison indicates that up to 50% of the organic carbon mass emitted is transformed into organic acids (ie: an effective yield of approximately 50%) in one photochemical day. Conversely, typical yields for LMWOAs from VOCs in smog chamber experiments are less than 10-15% and often much lower (Paulot et al., 2009;Neeb et al., 1997;Butkovskaya et al., 2006;Berndt and Böge, 2001;Yuan et al., 2015). This suggests that the total primary VOC emissions have been significantly underestimated and that numerous other hydrocarbon species 30 have not been measured, despite having quantified >150 individual VOC species. This is consistent with the pool of semi- and intermediate volatility compounds (SVOC/IVOC) expected to be emitted (but not measured) which were responsible for the observed SOA in [oil sands](#) plumes (Liggio et al., 2016). The ability of semi- and intermediate volatility hydrocarbons to form LMWOAs is unknown. However, IVOC/total VOC emission ratios for the [oil sands](#) 35 have been suggested to be large (Liggio et al., 2016), indicating that yields of LMWOAs from these species can have a significant impact on the total LMWOA production rate. However, from a carbon mass balance perspective, even LMWOA yields of ~10% from IVOCs would require that the total emitted carbon in the form of IVOCs

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exceeds the measured VOC carbon emissions (≈ 175 tonnes C day⁻¹; Figure 7B) by at least a factor of 5 in order to produce the ≈ 75 tonnes C day⁻¹ of LMWOA estimated in Figure 7B. While there is no evidence to the contrary, such a large IVOC contribution to total oil sands emissions may be unlikely. This may suggest LMWOA yields from IVOCs may be larger than typical VOCs. In this regard, recent evidence has suggested that molecular fragmentation during the oxidation of IVOCs specifically, can dominate over functionalization (and hence SOA formation) in significantly less than a photochemical day (Lambe et al., 2012). Molecular fragmentation to smaller species such as LMWOAs in the highly photo-chemically active plumes encountered here (Liggio et al., 2016), could significantly increase LMWOA yields relative to laboratory yields from experiments which are typically performed at moderate OH and for less than a photochemical day (Paulot et al., 2009; Praplan et al., 2014). Biogenic hydrocarbons present along the flight track in F19 and F20 also have the potential to contribute to the observed LMWOA production rate, and have not been included in the estimates of total oil sands VOC emissions (Figure 7B)(Li et al., 2017). However, lagrangian box modelling of F19 indicates that the biogenic contribution to several LMWOAs is relatively small as described in Section 3.2.3.

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The current LMWOA secondary production rates represent the first such estimates downwind of a large scale industrial facility. Indeed, measurements of LMWOA secondary production rates from any source are generally not available, and hence placing the LMWOA production from oil sands precursors in context with that of other sources is difficult. Available estimates of secondary anthropogenic production rates of LMWOAs have been limited to formic and acetic acids, and only in a global context (Ito et al., 2007; Paulot et al., 2011). Nonetheless, the most recent estimates indicate that the sum of secondary anthropogenic and biomass burning sources of formic acid and acetic acid contribute approximately 6.3 and 1.3 MegaTonnes per year (Mtonnes yr⁻¹) respectively to the global budget (Paulot et al., 2011). Crudely downscaling (365 days/yr), results in a global daily anthropogenic (+ biomass burning) production rate of approximately of 2.1×10^4 tonnes day⁻¹ (sum of formic acid and acetic acid). Hence, the combined formic acid and acetic acid secondary production rates observed in oil sands plumes (~ 129 tonnes day⁻¹) likely contribute <1% to the global secondary anthropogenic/biomass burning budget. While only qualitative, this comparison suggests that the oil sands are not a major anthropogenic secondary source of formic acid and acetic acid globally.

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The impact of the oil sands on a smaller scale (e.g.: regional), as a photochemical producer of formic acid and acetic acid (and other organic acids) is not clear since comparative data are not available. However, we note that the total LMWOA formed within a photochemical day of the oil sands in this study (up to ≈ 184 tonnes day⁻¹ or ≈ 288 tonnes day⁻¹ if accounting for deposition) is comparable to the total SO₂ previously reported to be emitted from the oil sands (~ 200 -300 tonnes day⁻¹)(Hazewinkel et al., 2008; Jung et al., 2011). The strong acidity associated with sulfur deposition is likely to dominate downwind ecosystem effects. However, the impact of weak acidity on ecosystem acidification has been previously considered(Vet et al., 2014), and may be particularly important in remote areas (Stavrakou et al., 2012; Keene, 1983). The impact of weak acidity downwind of the oil sands specifically is not clear. Critical load exceedances for highly sensitive aquatic systems in Northern Alberta have mainly been assessed from the perspective of sulfur and nitrogen (Cathcart et al., 2016; Whitfield et al., 2016). While

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the impact of the large amount of weak organic acidity formed downwind of the oil sands has not been evaluated, it may have a relevant impact on ecosystem acidification in highly sensitive systems which are approaching their respective sulfur and/or nitrogen critical loads. These results warrant a further investigation of the potential impact of this LMWOA emission/formation in this context.

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3.2.3 Modelling secondary LMWOA formation in oil sands plumes

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While organic acid secondary production rates are large during F19 and F20 relative to oil sands hydrocarbon primary emissions (Fig 7), the specific precursor species leading to these observed acids were not clear, including the impact of BVOC oxidation. However, the Lagrangian nature of F19 allows a comprehensive box model evaluation of the most recent photo-chemical mechanisms leading to LMWOAs and an estimate of precursor contributions. Flight 19 was modelled for 3 hours, beginning at the first screen and ending at the fourth screen, utilizing measurements of all VOCs and inorganic gases at screen 1 as the initial conditions. The most recent Master Chemical Mechanism V3.3, with further improvements for formic acid and acetic acid mechanisms, was used to simulate the secondary chemistry. A detailed description of the box modelling approach is given in methods (section 2.5). As demonstrated in Figure S-8, the box model effectively simulates the evolution of some known organic and inorganic gases, including the oxidative loss of alkenes, alkanes and aromatics (and others), the cycling of NOx and ozone formation; this provides confidence in the interpretation of other aspects of the model output. The comparison between measured and modelled LMWOAs during F19 is shown in Figure 8. The model output is compared with four specific organic acids (formic, acetic, acrylic and propionic) as these species are certain to be free of isomeric organic acid interferences in the HR-ToF-CIMS measurements. Figure 8 indicates that the formation of these four species is poorly simulated by the box model. The model/measurement time series diverge quickly (<30 min), with the model output dominated by dilution (causing the downward trend) while the observed acids increase over 3 hours, suggesting that the formation rate of these species is sufficient to overcome the effects of dilution and deposition. After 3 hours, the model under-predicts the concentrations of formic, acetic, acrylic and propionic acids in the plume by factors of 2.6, 2.2, 3.9 and 4.4 respectively. Similarly, the total measured LMWOAs are also under-predicted (Figure 8) by a factor of 2.9, where 27 modelled organic acids account for 99.8% of the LMWOA mass as compared to the 18 measured species. Accounting for the depositional losses for these species in the model will increase the model-measurement discrepancy even further. Such poor model-measurement agreement is again consistent with significant unaccounted for VOC/IVOC emissions from the oil sands which lead to various LMWOAs as was suggested by the unrealistically high effective organic acid yield of 50% in Figure 7B (Section 3.2.1). This is particularly true for formic acid, whose formation in the MCM has been recently updated (Yuan et al., 2015) and yet remains poorly simulated.

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The model output also allows for a more detailed examination of the precursors responsible for a portion of the organic acids observed in oil sands plumes. The relative contribution of various precursor types to the modelled formic, acetic, acrylic and propionic acids after 3 hours during F19 (screen 4) is shown in Figure 9. These

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contributions by the precursors indicate that the oxidation of biogenic emissions along the flight track were largely not major contributors to the observed levels of these species relative to the oxidation of oil sands emissions.

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Formic and acetic acids have received significant attention recently with respect to their photochemical production mechanisms from biogenic species, and have been updated in the current MCM used here (see methods). Despite this update, the combined oxidation of isoprene and monoterpenes accounted for approximately 18% and 33% of the formic and acetic acid produced after 3 hours, with measured aromatics, alkenes and alkanes accounting for ~2 – 11% each. The biogenic contributions to acrylic and propionic acids are even smaller (Figure 9); with isoprene oxidation contributing ~3% to acrylic acid formation and propionic acid having no biogenic precursor contribution. The completeness/validity of the MCM with respect to acrylic and propionic acids is unclear; it has not been recently updated, as few experimental studies exist to validate MCM yields of these species from various precursors. However, yields from biogenic VOCs for these two species are not likely to exceed those of formic acid and acetic acid, making the contribution to acrylic and propionic acids small.

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Undoubtedly, the largest contribution to these four LMWOAs is unaccounted for and labelled as “missing” in Figure 9. Such missing precursor sources account for ~ 54 – 77% of production of these species after 3 hours of processing. Although other processes such as aqueous and heterogeneous chemistry can lead to LMWOAs and are not included in the MCM mechanism here, their contribution to formic acid formation was estimated to be <5% (Yuan et al., 2015). Similarly, fog events and air-snow exchange have no contribution here (ie: fog and snow were not present). For formic acid, this missing contribution is similar in magnitude to that observed in another oil and gas producing region (Yuan et al., 2015). The source of the missing contributions can be a result of several factors; incorrect yields of LMWOA from species currently in the MCM, unknown mechanistic pathways leading to LMWOAs from various MCM precursors and unmeasured hydrocarbon precursors which hence were not included in the MCM. The first factor appears to be minor, and in the case of formic acid and acetic acid the updated yields from various hydrocarbons in the MCM are considered upper limits (Yuan et al., 2015) and further updates to yield data will likely not result in significantly more formic acid and acetic acid contributions. For the second factor, LMWOA yields from alkanes are not included in the current MCM (with the exception of cyclic alkanes), although limited evidence suggests some LMWOAs may be indirectly formed via their oxidation (Zhang et al., 2014), but insufficient to account for a significant portion of the missing mass in Figure 9. Given the unrealistically high LMWOA effective yields estimated here (up to 50%) and the small contribution of BVOC oxidation in these plumes, the third factor is the most likely reason for the missing contributors; they may be entirely due to the oxidation of oil sands related emissions which were not measured. Furthermore, given the known presence of a large amount of lower volatility oil sands hydrocarbons in these plumes that give rise to SOA (Liggio et al., 2016), it is possible that the large fraction of the missing term will be IVOC in nature, whose photo-chemical mechanisms have yet to be elucidated, but as noted above are susceptible to significant fragmentation (Lambe et al., 2012) potentially leading to LMWOA.

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4.0 Conclusions

Measurements of 18 gas-phase organic acids have been made in the oil sands region of Alberta, Canada for the first time, indicating that they are emitted from primary sources and formed via secondary chemistry in oil sands plumes. The main primary source of these acids is demonstrated to be combustion within open pit mines leading to primary LMWOA emissions of up to 12 tonnes day⁻¹ suggesting that primary oxygenated emissions from oil sands activities are likely larger than previously considered, with organic acids comprising a large fraction of these emissions.

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Despite the potential important contribution of primary LMWOA emissions to the oil sands emissions inventory, secondary formation rates in oil sands plumes were found to be dominant over primary emission rates by more than an order of magnitude; secondary formation rates within 1 photo-chemical day of the oil sands were in excess of 180 tonnes day⁻¹, and were the first such estimate from any industrial or urban/suburban source. Consequently, up to 50% of the carbon emitted was transformed to organic acids within 1 photo-chemical day; an unusually high effective yield which suggests the presence unknown/unmeasured hydrocarbons that are capable of producing LMWOAs upon oxidation with significant yields. The Lagrangian nature of several flights during this study also provided a unique opportunity to examine the ability of current photo-chemical mechanisms to reproduce LMWOA observations. Subsequent box modelling of the evolution of several organic acids over the course of 3 hours significantly under-predicts the concentration of simple organic acids. A 'missing' source accounts for the majority of the species measured (54-77%), but is not related to the oxidation of biogenic species. The poor model predictive ability and the lack of biogenic contribution suggests missing oil sands precursors which are likely to be of intermediate volatility. These results suggest that further work is required to understand the nature of these missing precursors, to elucidate their photo-chemical pathways leading to LMWOA and other products, and to narrow model-measurement gap. Finally, the impact of the weak acid deposition to sensitive ecosystems and contribution to overall critical load exceedances is not clear, but likely warrants further investigation.

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Table 1. Molecular formulas and associated species names for organic acids detected during aircraft measurements.

Molecular Formula	Detected ion : nominal <i>m/z</i>	Species name ^a
HCOOH	HCOO ⁻ : 45	Formic acid
CH ₃ COOH	CH ₃ COO ⁻ : 61	Acetic acid ^b
C ₃ H ₄ O ₂	C ₃ H ₃ O ₂ ⁻ : 71	Acrylic acid
C ₃ H ₄ O ₃	C ₃ H ₃ O ₃ ⁻ : 87	pyruvic acid
C ₃ H ₆ O ₂	C ₃ H ₅ O ₂ ⁻ : 73	Propanoic acid
C ₄ H ₆ O ₂	C ₄ H ₅ O ₂ ⁻ : 85	methacrylic acid
C ₄ H ₆ O ₃	C ₄ H ₅ O ₃ ⁻ : 87	2-Oxo-butanoic acid
C ₄ H ₈ O ₂	C ₄ H ₇ O ₂ ⁻ : 101	butyric acid
C ₅ H ₆ O ₃	C ₅ H ₅ O ₃ ⁻ : 117	4-Oxo-2-pentenoic acid
C ₅ H ₈ O ₂	C ₅ H ₇ O ₂ ⁻ : 113	4-pentenoic acid
C ₅ H ₈ O ₃	C ₅ H ₇ O ₃ ⁻ : 99	levulinic acid
C ₅ H ₁₀ O ₂	C ₅ H ₉ O ₂ ⁻ : 115	Pentanoic acid
C ₅ H ₁₀ O ₃	C ₅ H ₉ O ₃ ⁻ : 101	2-hydroxy-3-methylbutyric acid
C ₆ H ₁₀ O ₂	C ₆ H ₉ O ₂ ⁻ : 113	cyclopentanoic acid
C ₆ H ₁₂ O ₂	C ₆ H ₁₁ O ₂ ⁻ : 115	hexanoic acid
C ₇ H ₁₀ O ₂	C ₇ H ₉ O ₂ ⁻ : 125	1-Cyclohexenecarboxylic Acid
C ₇ H ₁₂ O ₂	C ₇ H ₁₁ O ₂ ⁻ : 127	6-heptenoic acid
C ₁₀ H ₁₆ O ₃	C ₁₀ H ₁₅ O ₃ ⁻ : 183	Pinonic acid

a. Species name represents the molecule used for calibration, although not all molecular formulas are for unique species. **b.** Measured by PTR-ToF-MS; see methods.

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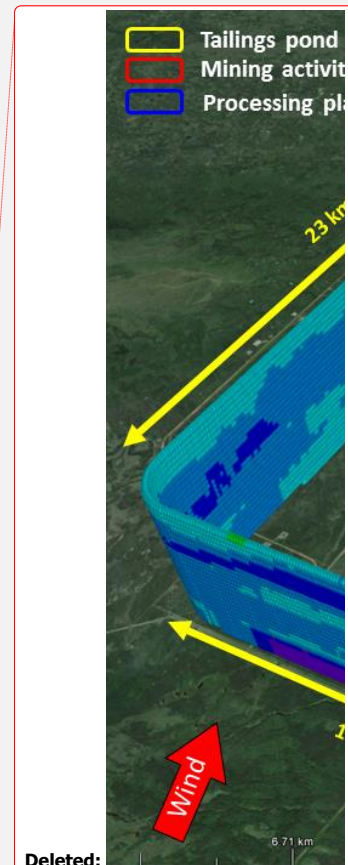
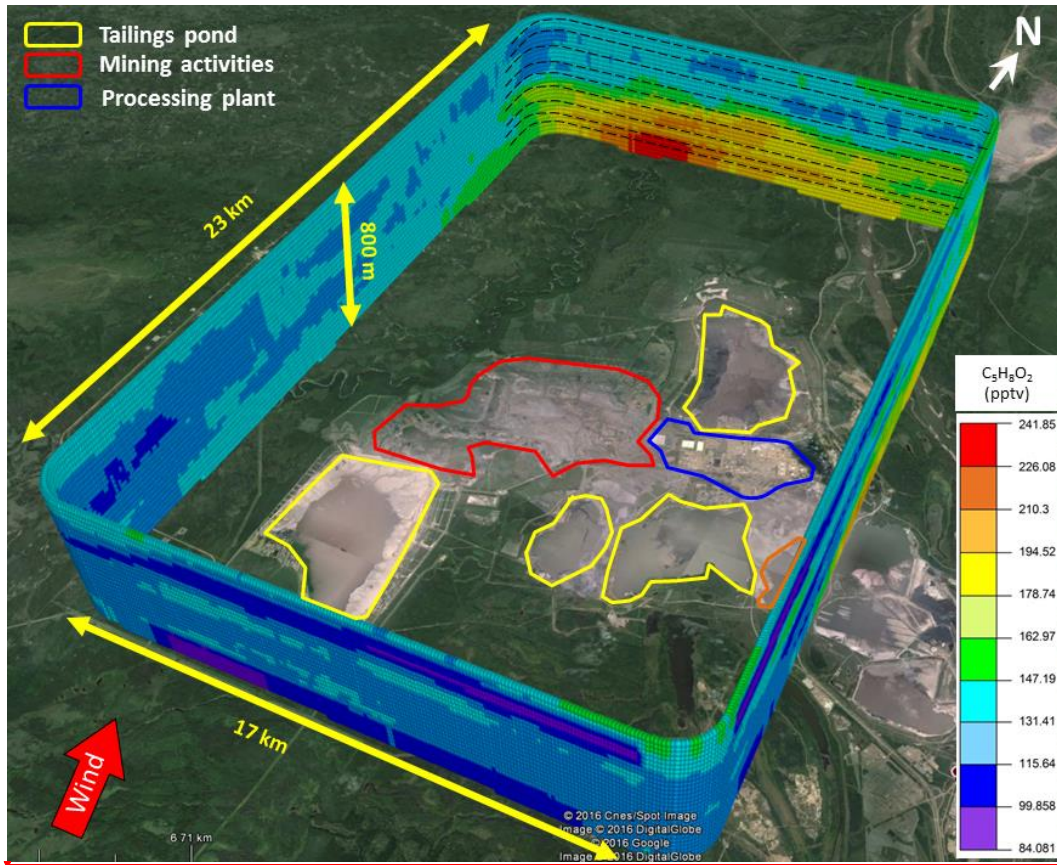
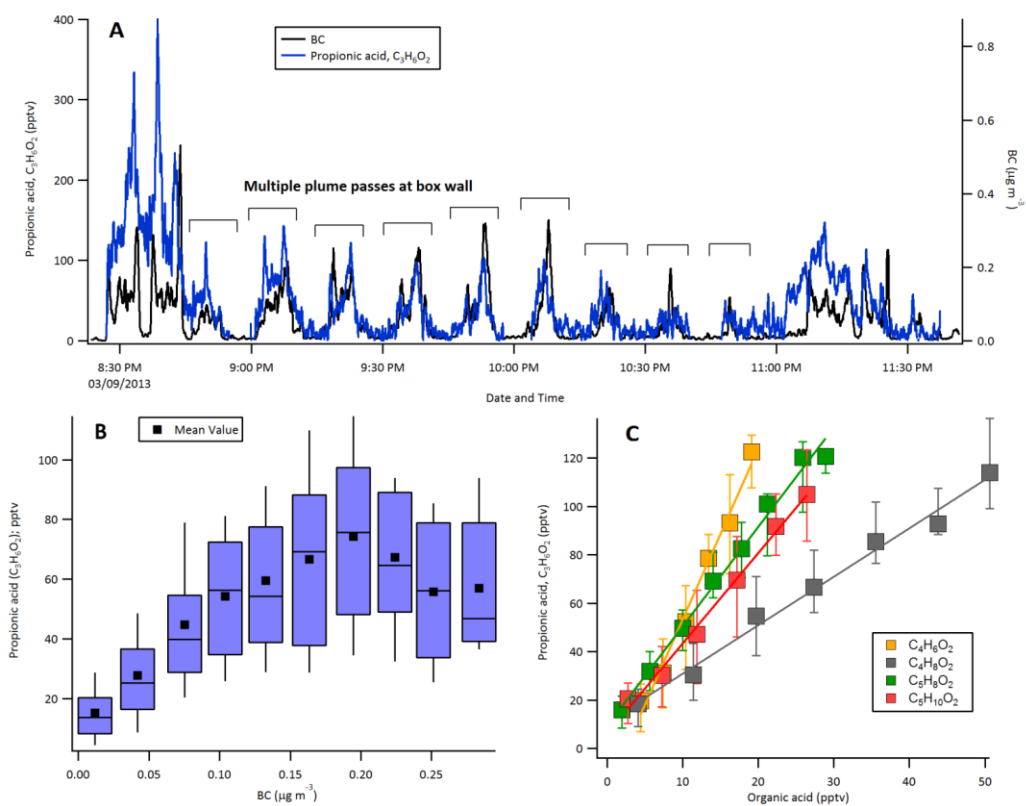


Figure 1. Emissions box flight during flight 18 on September 3rd, 2013 (Syncrude –ML) as outputted from TERRA for a typical measured organic acid ($C_5H_8O_2$). Data have been krigged to produce a continuous concentration surface around various pil sands operation sources. Black dashed lines represent approximate locations of the flight tracks on one box wall.

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5 **Figure 2.** A. Time series of measured propionic acid and BC during Flight 18 (Syncrude – ML). Multiple plume intercepts at the virtual box wall are shown. B. Correlation between propionic acid and BC during F18. Black data points represent the means while blue boxes and whiskers are the 25th to 75th percentiles and 90th and 10th percentiles respectively. C. Correlations between various measured organic acids during F18. Error bars represent the 25th to 75th percentiles of the data.

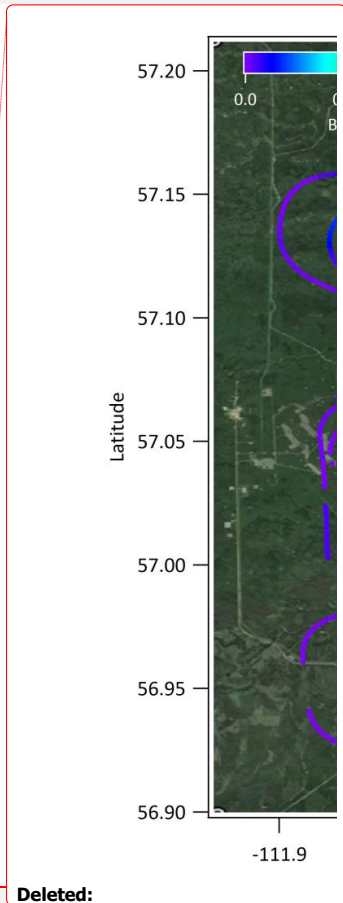
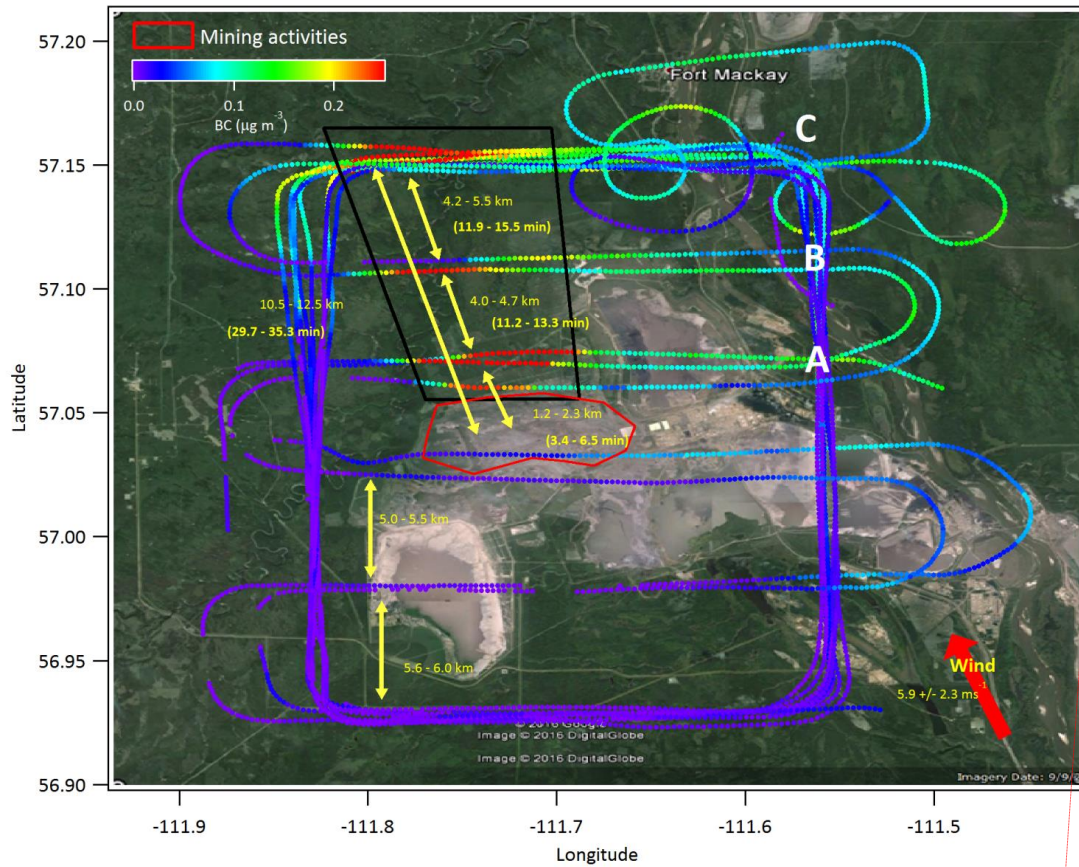


Figure 3. Concentration of BC during emission flight 18 (Syncrude – ML), showing horizontal transects (A – C) within the box and closest to the mining emission source (red box). Time in brackets represents the approximate time between horizontal transects or from the approximate center of the mine to the closest transect. The time is calculated based upon the average wind speed during this portion of the flight. The range in values is based upon differences in time calculated from the northern and southern most legs of transects A – C.

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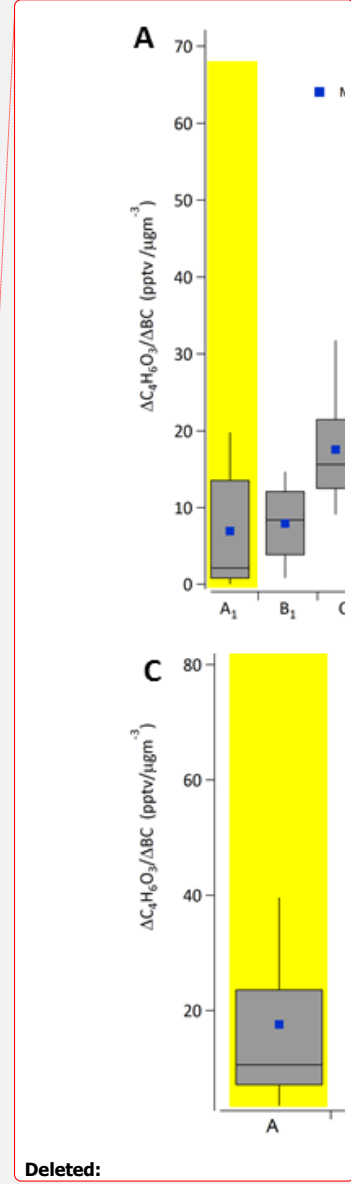
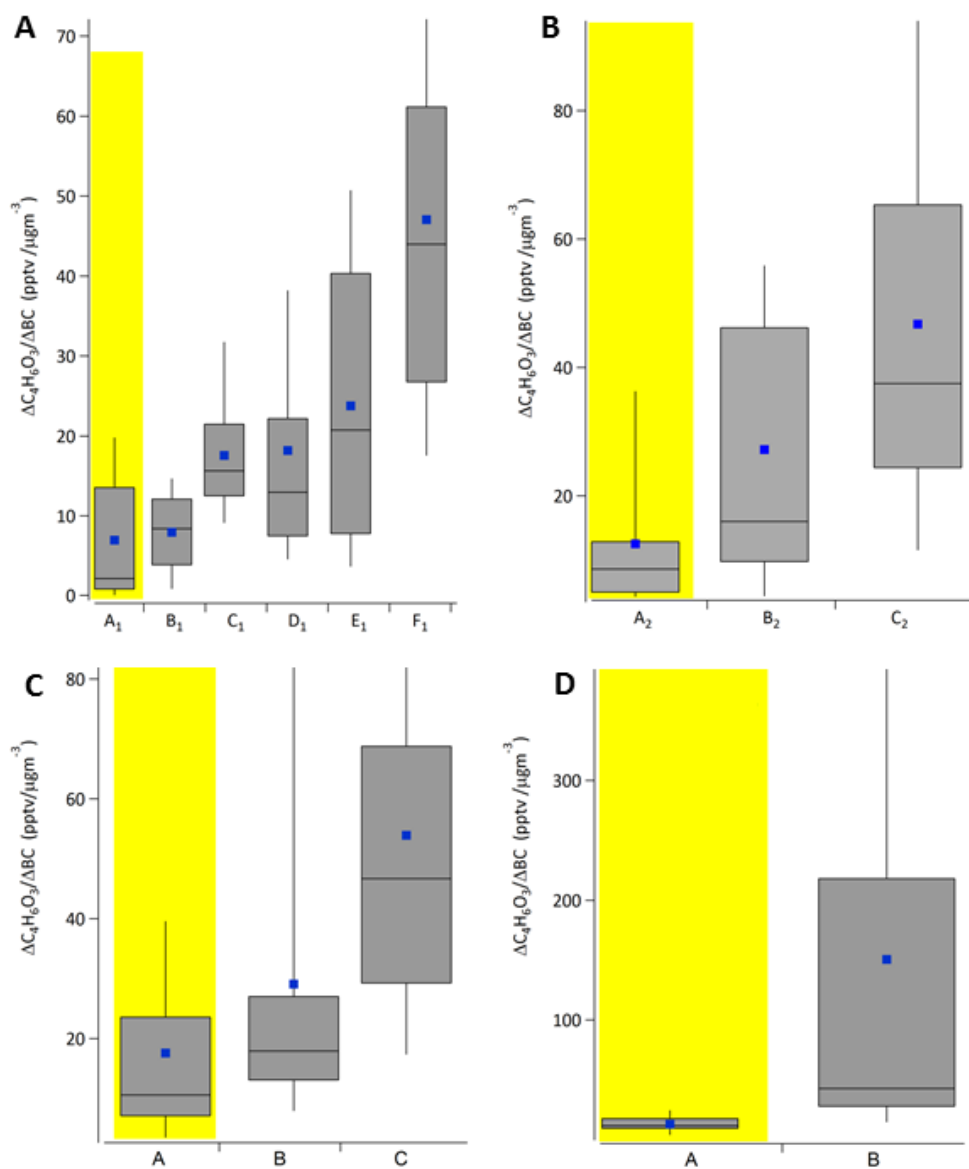


Figure 4: $\Delta LMWOA/\Delta ABC$ evolution (using $C_4H_6O_3$ as an example) across the various transects (A, B, C etc...) of emission box flights. Yellow highlighted area represents the emission ratio (ie: closest transect to the open pit mine). Box and whiskers represent 25th, 75th, 90th and 10th percentiles respectively. **Blue data points represent the mean of the data.** **A.** Derived from F21, Figure S-4 (Syncrude-AU). **B.** Derived from F21, Figure S-4 (Shell-JP), **C.** Derived from F18, Figure 3 (Syncrude-ML). **D.** Derived from F17, Figure S-3 (CNRL).

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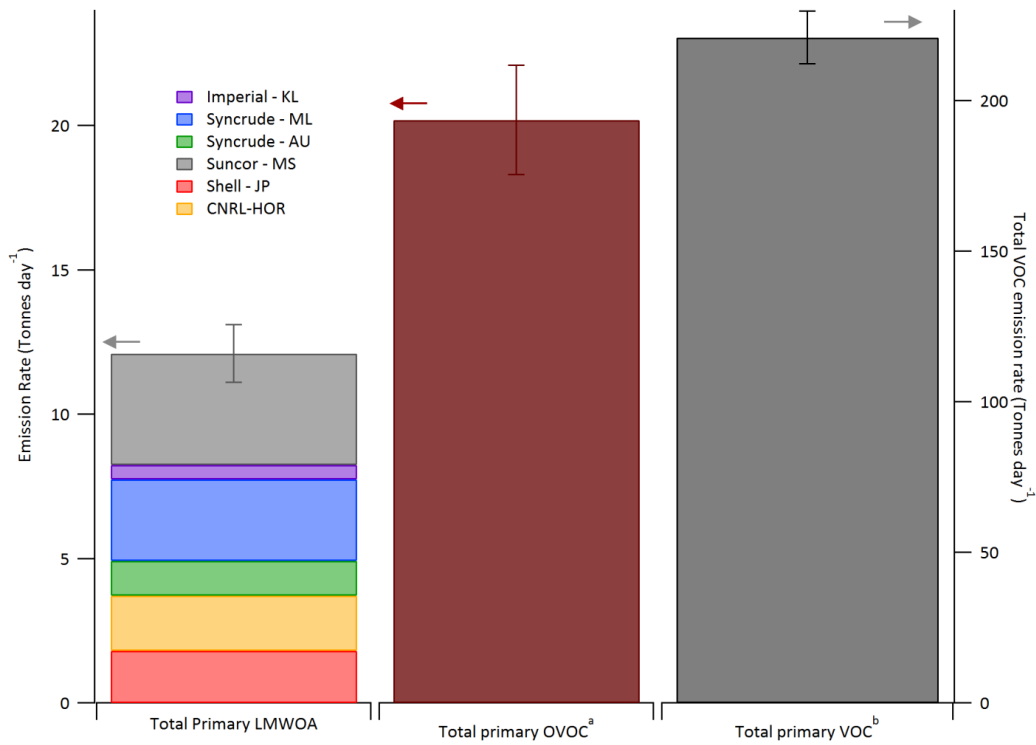


Figure 5. Total Daily primary LMWOA emitted in the oil sands (this study; left axis) compared to recently reported total primary VOC (right axis) and total primary oxygenated VOC emissions (left axis). **a.** As reported in Li et al., 2017. **b.** including oxygenates as reported in Li et al., 2017.

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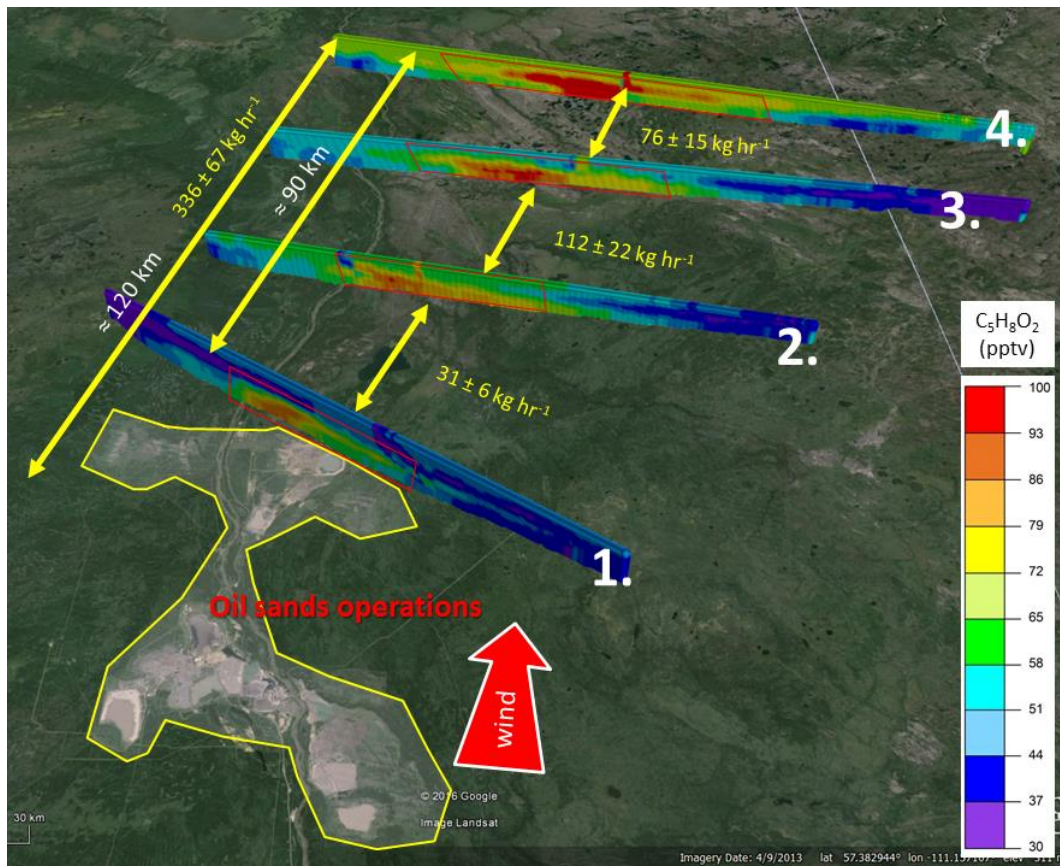
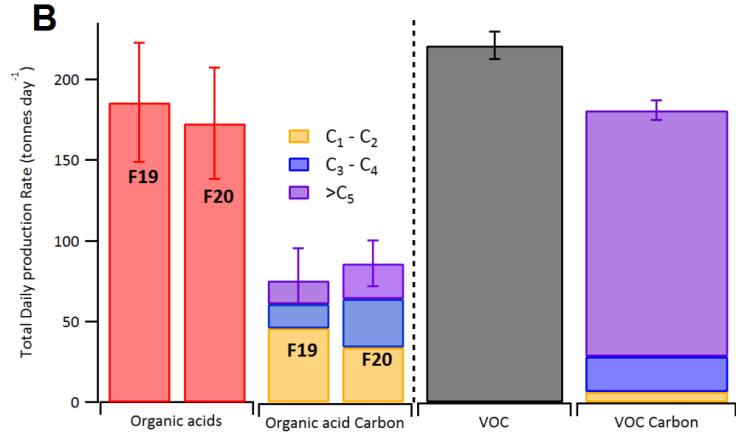
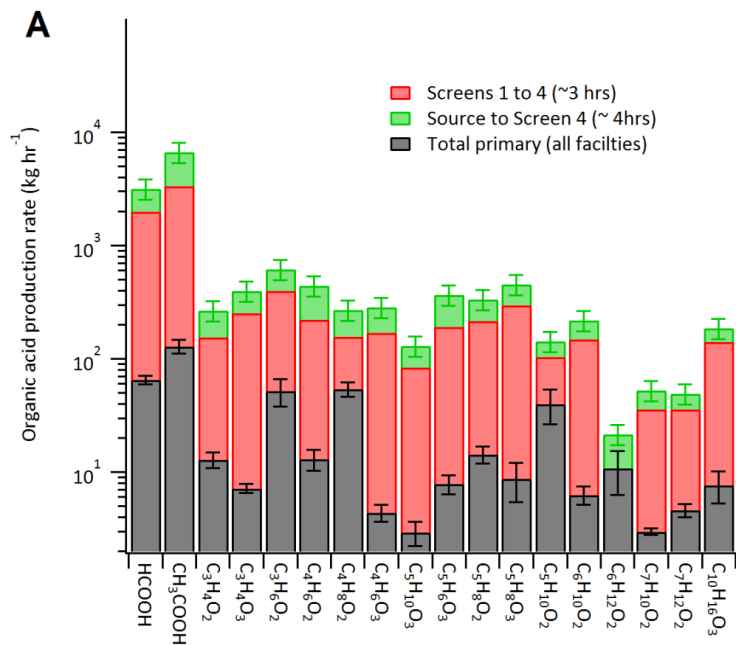


Figure 6: TERRA derived concentration screens for F19 using $C_5H_8O_2$ as a relevant LMWOA example. The LMWOA transfer rate difference between screens represents the secondary production rate of a given species (yellow text; $219 \pm 43 \text{ kg hr}^{-1}$ for screens 1 – 4). The overall rate from the oil sands source region is the integrated LMWOA transfer rate through screen 4 after subtracting a small primary emission rate ($336 \pm 67 \text{ kg hr}^{-1}$; see text).

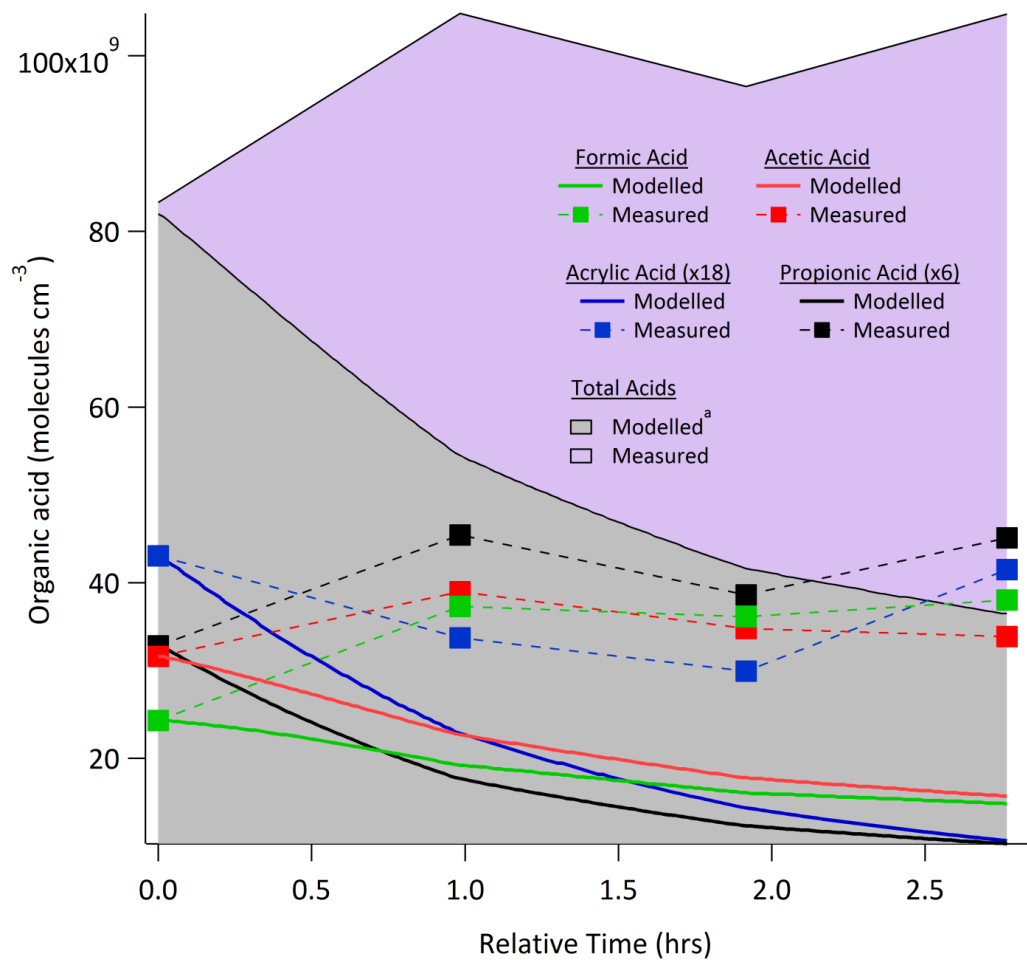
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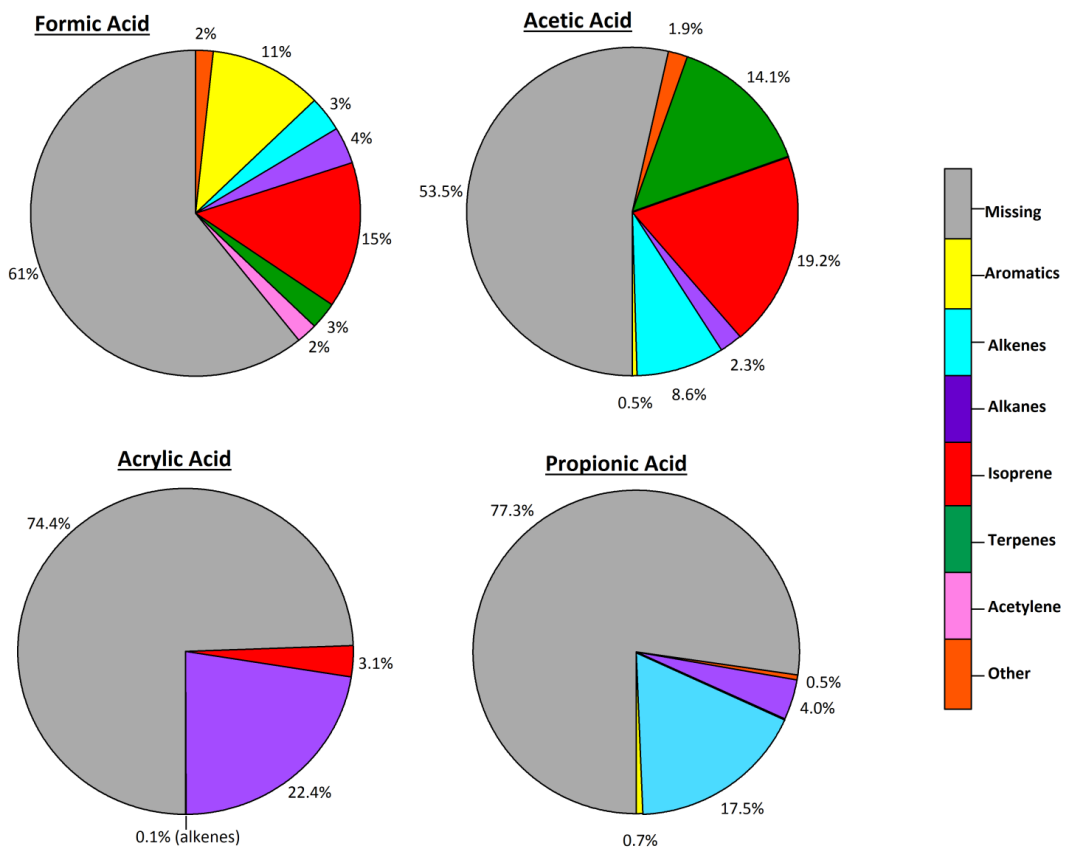
Figure 7: **A.** Secondary LMWOA production rates (kg hr^{-1}) derived between screens 1 and 4 of F19 using the TERRA algorithm, and at screen 4 after removing the primary LMWOA contribution (green bars). The primary LMWOA emission rates are also shown (Grey bars). **B.** Total LMWOA secondary production rates (F19 and F20 neglecting deposition) extrapolated to 1 photo-chemical day compared to total reported primary VOC emissions and carbon associated with these VOC emissions (S-M. Li et al., 2017). [Data for organic acid and VOC carbon are stacked.](#)



16

17 **Figure 8:** Comparison between specific measured and modelled organic acid species (Formic, acetic, acrylic and
 18 propionic) for F19. Total measured and modeled LMWOAs are shown as grey and purple shaded regions. **a.** Total
 19 modelled LMWOA concentration represents the sum of 27 species accounting for ~99.8% of modelled LMWOA
 20 mass.

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23 **Figure 9:** Relative contribution of various precursor hydrocarbon types in the photochemical box model to the
 24 modelled secondary production rate after 3 hours of evolution during F19, for formic, acetic, acrylic and propionic
 25 acids respectively.

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