

1 **In situ measurements of cloud microphysics and aerosol over**  
2 **coastal Antarctica during the MAC campaign**

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19

20 **Abstract**

21 During austral summer 2015 the Microphysics of Antarctic Clouds (MAC) field campaign  
22 collected unique and detailed airborne and ground based in situ measurements of cloud and  
23 aerosol properties over coastal Antarctica and the Weddell Sea. This paper presents the first  
24 results from the experiment and discusses the key processes important in this region, which is  
25 critical to predicting future climate change

26 The sampling was predominantly of stratus cloud, at temperatures between -20 and 0 °C.  
27 These clouds were dominated by supercooled liquid water droplets, which had a median

1 concentration of  $113 \text{ cm}^{-3}$  and an inter-quartile range of  $86 \text{ cm}^{-3}$ . Both cloud liquid water  
2 content and effective radius increased closer to cloud top. The cloud drop effective radius  
3 increased from  $4 \pm 2 \text{ }\mu\text{m}$  near cloud base to  $8 \pm 3 \text{ }\mu\text{m}$  near cloud top.

4 Cloud ice particle concentrations were highly variable with the ice tending to occur in small  
5 isolated patches. Below approximately 1000 m glaciated cloud regions were more common at  
6 higher temperatures; however the clouds were still predominantly liquid throughout. When  
7 ice was present at temperatures higher than  $-10 \text{ }^\circ\text{C}$ , secondary ice production most likely  
8 through the Hallett-Mossop mechanism lead to ice concentrations 1 to 3 orders of magnitude  
9 higher than the number predicted by commonly used primary ice nucleation  
10 parameterisations. The drivers of the ice crystal variability are investigated. No clear  
11 dependence on the droplet size distribution was found. The source of first ice in the clouds  
12 remains uncertain, but may include contributions from biogenic particles, blowing snow or  
13 other surface ice production mechanisms.

14 The concentration of large aerosols (diameters 0.5 to  $1.6 \text{ }\mu\text{m}$ ) decreased with altitude and  
15 were depleted in airmasses that originated over the Antarctic Continent compared to those  
16 more heavily influenced by the Southern Ocean and sea ice regions. The dominant aerosol in  
17 the region was hygroscopic in nature, with the hygroscopicity parameter,  $\kappa$  having a median  
18 value for the campaign of 0.66 (interquartile range = 0.38). This is consistent with other  
19 remote marine locations that are dominated by sea salt/sulphate.

20

## 21 **1 Introduction**

22 Antarctic clouds have a central role in the weather and climate at high southern latitudes  
23 (Lubin et al., 1998; Lawson and Gettelman, 2014). Through snow precipitation and their  
24 radiative effects they are key to the mass balance of the Antarctic ice sheet, which impacts on  
25 global sea levels (van den Broeke et al., 2011) and Southern Ocean circulation (Bromwich et  
26 al., 2012). In addition it has been suggested that changes in Antarctic clouds can influence  
27 weather patterns as far away as the tropics and even the extratropics of the Northern  
28 Hemisphere (Lubin et al., 1998).

29 Despite their importance Antarctic clouds are some of the least studied of any region around  
30 the globe (Bromwich et al., 2012). The remote location and harsh conditions cause significant  
31 logistical challenges for field projects in this region. As a consequence there is evidence that

1 clouds and their radiative properties are poorly represented in weather and climate models  
2 over Antarctica (Bromwich et al., 2013; King et al., 2015; Listowski and Lachlan-Cope,  
3 2017) and the Southern Ocean (Bodas-Salcedo et al., 2012; 2016).

4 Key uncertainties concern the aerosol in the region, in particular the number and sources of  
5 cloud condensation nuclei (CCN) and ice nucleating particles (INPs). Conventional  
6 parameterisations predicting INP concentrations have primarily been developed using  
7 measurements at mid-latitudes (e.g. Cooper, 1986; DeMott et al., 2010) and may not be  
8 appropriate for Antarctica. A number of intensive field campaigns have been conducted  
9 studying Arctic clouds (McFarquhar and Cober, 2004; McFarquhar et al., 2007; Verlinde et  
10 al., 2007; Lloyd et al., 2015a), however analogies between the polar regions may also not be  
11 appropriate. The Arctic receives significant anthropogenic aerosol input due to its proximity  
12 to industrial nations, and is therefore likely to have significantly different type and number of  
13 CCN/INP (Mauritsen et al., 2011; Latham et al., 2013; Liu et al., 2015).

14 Previous, multi-year measurements of aerosol at the Neumayer coastal Antarctic research  
15 station had a median condensation particle concentration of  $258 \text{ cm}^{-3}$ . Minimum values (less  
16 than  $100 \text{ cm}^{-3}$ ) were typically observed in June/July, while concentrations increased in the  
17 austral summer to a maximum of approximately  $1000 \text{ cm}^{-3}$  in March (Weller et al., 2011). In  
18 winter, aerosol number and mass were both dominated by sea salt particles (87% by mass,  
19 Weller et al., 2008). Although aerosol composition in summer is more variable, sea salt still  
20 accounts for a significant fraction (50% by mass) but now with a large contribution from non-  
21 sea salt sulphate (27% by mass, Weller et al., 2008). Measurements at the coastal Antarctic  
22 station McMurdo show the persistent presence of sulphate aerosol throughout the year  
23 (Giordano et al., 2017). In the winter these particles are highly aged. Sulphate aerosol then  
24 increases through the austral spring/summer, due to enhanced emissions of dimethyl sulphide  
25 (DMS) and methanesulfonic acid (MSA) from phytoplankton in the Southern Ocean (Gibson  
26 et al., 1990; Giordano et al., 2017). Giordano et al. (2017) also report the presence of a sub-  
27 250 nm aerosol population of unknown composition during the winter to summer transition.  
28 In addition a study has observed a significant fraction of organic carbon (>10%) and lower  
29 contributions from sea salt (<10%) in summer marine Antarctic aerosol (Virkkula and Teinil,  
30 2006). Measurements in the Antarctic have found that the aerosol is highly hygroscopic in  
31 marine airmasses (Mangold et al., 2017). While continental aerosol is less hygroscopic, which  
32 is consistent with a lower MSA fraction and the aging of marine organic components (Asmi et

1 al., 2010). To date, Antarctic INP measurements have mostly been made at surface sites.  
2 Measurements of snowflake residuals at the South Pole identified the long range transport of  
3 clays as the likely dominant source (Kumai, 1976). However, interpretation of these  
4 measurements is complicated due to secondary aerosol scavenging by the snowflakes and  
5 precipitation, meaning they contain particles in addition to the original nuclei. More recently,  
6 filter samples at the South Pole detected INPs that were active between -18 and -27°C, with  
7 concentrations of  $1 \text{ L}^{-1}$  at -23 °C. Mineral dusts transported from the Patagonian deserts were  
8 identified as the likely source (Ardon-Dryer et al., 2011). A synthesis of INP measurements  
9 prior to 1988 from the high southern latitudes ( $> 60^\circ\text{S}$ ), found mean concentrations between  
10  $2 \times 10^{-4}$  and  $0.2 \text{ L}^{-1}$  at -15°C (Bigg, 1990). Given the general absence of other local INP  
11 sources, biogenic INPs may have a more important role in the Antarctic than in other regions.  
12 Biological species (pollen, bacteria, fungal spores and plankton) have been shown to act as  
13 INP at significantly higher temperatures than mineral dusts ( $> -15^\circ\text{C}$ ) (Möhler et al., 2007;  
14 Alpert et al., 2011; Murray et al., 2012; Amato et al., 2015; Wilson et al., 2015). However,  
15 Antarctic snowfall has been shown to be relatively depleted of biological INP (Christner et  
16 al., 2008) and bacteria commonly found in sea ice may not be effective INP (Junge and  
17 Swanson, 2007). The few in situ measurements of Antarctic clouds to date have suggested the  
18 importance of secondary ice processes (Grosvenor et al., 2012; Lachlan-Cope et al., 2016).  
19 There is a clear need for more direct measurements to test and improve the representation of  
20 Antarctic clouds in climate/weather models. This paper presents both ground based and  
21 airborne measurements of cloud and aerosol properties during the 2015 Microphysics of  
22 Antarctic Clouds (MAC) field campaign aimed at addressing this. Section 2 provides an  
23 overview of the campaign and the measurement techniques used. Section 3 presents a  
24 statistical overview of the aerosol and cloud observations using all available measurements.  
25 Section 4 discusses the key microphysical processes. Conclusions are presented in Sect. 5.

26

## 27 **2 Methods**

### 28 **2.1 Campaign and meteorological overview**

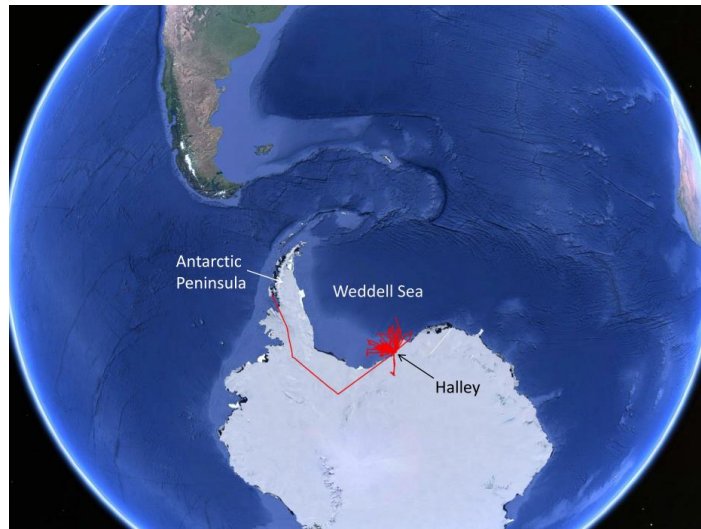
29 The MAC experiment comprised both airborne and ground based measurements of cloud and  
30 aerosol properties. Ground based measurements were performed at the Clean Air Sector  
31 Laboratory (CASLab), which is located at the Halley research station. Halley is a coastal

1 Antarctic base on the Brunt Ice shelf, approximately 30 km from the Weddell Sea (75.6° S,  
2 26.7° W). The CASLab is located 1 km south of the main Halley buildings and receives  
3 minimal pollution from the base and vehicle traffic due to the prevailing easterly wind (Jones  
4 et al., 2008). All CASLab measurements were filtered using the wind direction to help  
5 remove any remaining influence from the base.

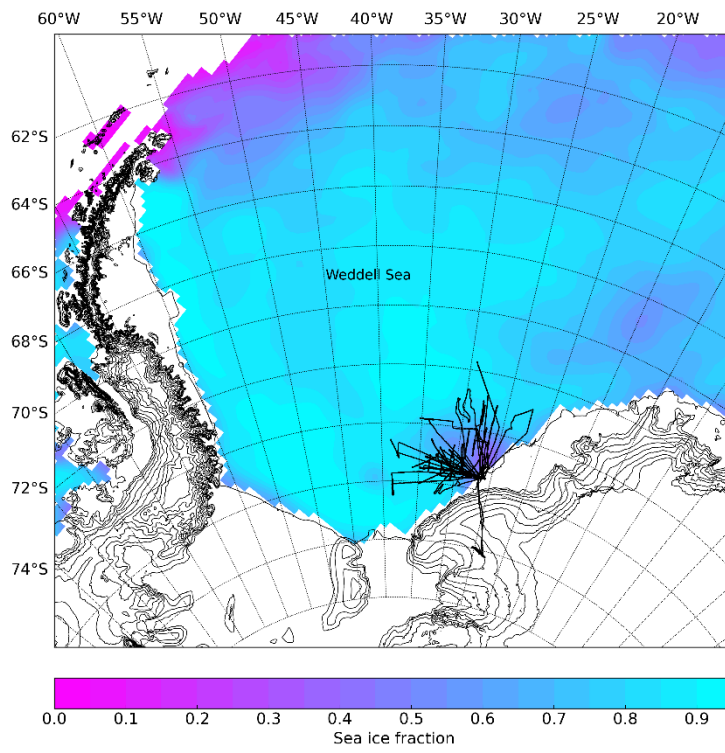
6 The airborne measurements were collected using the British Antarctic Survey's Twin Otter  
7 MASIN research aircraft (King et al., 2008). Twenty-four flights (a total of 80 hours) were  
8 performed during November and December 2015 from Halley. These flights have the nominal  
9 flight numbers 212 to 235. The flights were predominantly performed over the Weddell Sea  
10 (see Fig. 1), which at this time and location was covered by a mixture of broken sea ice and  
11 polynyas. This is shown in Fig. 1 together with the sea ice fraction (Maslanik and Stroeve,  
12 1999). One flight sampled clouds in-land over the Antarctic continent (Flight 233). In  
13 addition a transit took place from Rothera research station on the Antarctic Peninsula (Flights  
14 212 to 215); however not all instruments were available during these transit flights. Since the  
15 aircraft was not pressurised, the measurements were restricted to altitudes below  
16 approximately 4000 m. As a consequence, the majority of clouds were sampled over the  
17 temperature range -11 and -3 °C (79%). Seventeen percent of in-cloud measurements were  
18 collected at temperatures below -11 °C and 4% at temperatures higher than -3 °C. In total 17  
19 hours of sampling during the campaign was performed in-cloud.

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3 *Figure 1. Top panel: Flight tracks during the MAC field project (source Google Earth).*  
 4 *Lower panel: shows the sea ice fraction on the Weddell Sea (Maslanik and Stroeve, 1999)*  
 5 *during the experimental period.*

6

7 The clouds sampled were generally stratiform, with strong temperature inversions at cloud  
 8 top. The exception to this was Flight 224, which sampled frontal clouds. Table 1 shows the

1 altitude and temperature of cloud base/top for each flight. If multiple layers were present,  
2 unless otherwise noted, the height and temperatures given are of the layer where the majority  
3 of sampling took place. To show the meteorological setting for the campaign Figures S1 to  
4 S20 show surface pressure charts from the ERA-Interim reanalysis (at 12 UTC on the given  
5 day, Dee et al., 2011) and HYSPLIT (Hybrid Single-Particle Lagrangian Integrated  
6 Trajectory, Stein et al., 2015) back trajectories for each flight. Back trajectory analysis  
7 showed that two broad regimes were present during the project. The earlier flights (up to  
8 Flight 223) generally sampled airmasses that had travelled south over the Southern Ocean and  
9 Weddell Sea. Later in the campaign there was a transition to airmasses with greater influence  
10 from the Antarctic continent.

Number	Date	Base altitude (m)	Top altitude (m)	Base temperature (°C)	Top temperature (°C)	Comment
216	21/11/2015	261 (246-283)	951 (925-983)	-12.1	-14.1	Multiple layers.
217	24/11/2015	330 (296-366)	662 (621-700)	-9.8	-12.3	Multiple layers.
218	27/11/2015	312 (298-327)	554 (539-569)	-4.8	-6.1	Main layer with broken layers above.
219	27/11/2015	375 (316-441)	870 (847-890)	-4.7	-7.8	Single layer.
220	28/11/2015	1143 (1129-1154)	1303 (1289-1317)	-12.9	-13.2	Single layer.
221	29/11/2015	157 (124-202)	530 (499-564)	-6.0	-6.6	Single layer with high cloud above (3000 m).
222	30/11/2015	170 (151-201)	603 (573-635)	-6.8	-8.5	Predominately single layer, partial layer above.
223	03/12/2015	262 (247-277)	745 (712-771)	-7.1	-9.5	Multiple layers.
224	06/12/2015	1056 (1022-1090)	4278 (4253-4300)	-7.6	-18.9	Frontal cloud multiple layers. Cloud top not sampled. Height and temperature ranges are for all layers sampled.
225	07/12/2015	694 (680-718)	1010 (944-1066)	-5.0	-5.7	Single layer with high cloud above (4000 m).
226	07/12/2015	1273 (1230-1319)	1866 (1853-1873)	-5.4	-6.8	Single layer with high cloud above (4000 m).
227	08/12/2015	88 (68-107)	417 (372-455)	-5.8	-6.9	Single layer.



228	09/12/2015	76 (50-122)	528 (493-567)	-6.7	-5.9	Single layer. 2 <sup>nd</sup> partial layer at 1500m.
229	09/12/2015					No cloud sampled.
230	10/12/2015	334 (304-362)	574 (558-588)	-4.6	-6.5	Single layer.
231	11/12/2015	293 (279-321)	1171 (1158-1186)	-4.6	-8.3	Predominantly single layer, partial layer above.
232	11/12/2015	554 (516-601)	1126 (1108-1148)	-6.3	-10.1	Single layer with high cloud above.
233	12/12/2015	1630 (1600-1667)	1857 (1852-1861)	-14.1	-15.4	Single broken layer.
234	13/12/2015	409 (387-428)	710 (700-720)	-5.9	-7.1	Lower layer.
		1489 (1479-1499)	1785 (1764-1804)	-13.6	-13.7	Higher layer not directly above lower level.
235	14/12/2015	954 (929-979)	1432 (1404-1461)	-9.9	-13.9	Main layer sampled with broken layers below.

1 Table 1. The height and temperature of cloud base and top for each flight. The range of altitudes in brackets are an estimate of the uncertainty  
2 in the cloud heights due to a combination of variability in the cloud and incomplete sampling. If multiple layers were present, unless noted  
3 otherwise, the height and temperatures given are for the main cloud layer sampled.

4

1

## 2 **2.2 Aircraft**

3 During MAC the Twin Otter MASIN research aircraft was fitted with a range of in situ  
4 aerosol and cloud microphysical instrumentation. Cloud particle size distributions were  
5 derived using the images from two optical array probes (OAP): a 2DS (2D-stereo, SPEC Inc.,  
6 USA, see Lawson et al., 2006) with a nominal size range of 10 to 1280  $\mu\text{m}$  (10  $\mu\text{m}$  pixel  
7 resolution) and a CIP-25 (Cloud Imaging Probe, DMT Inc., USA, Baumgardner et al., 2001)  
8 with a size range of 25 to 1600  $\mu\text{m}$  (25  $\mu\text{m}$  pixel resolution). The 2DS was not operated on the  
9 flights before Flight 218.

10 Particle size distributions over the size range from 0.5 to 50  $\mu\text{m}$  were recorded using a Cloud  
11 Aerosol Spectrometer (CAS, DMT Inc., USA, Baumgardner et al., 2001). The CAS sizing  
12 was calibrated by the manufacturer using polystyrene latex (PSL) spheres ( $< 2 \mu\text{m}$ ) and glass  
13 beads ( $> 2 \mu\text{m}$ ) (Baumgardner et al., 2014). During MAC the sizing of the CAS's larger bins  
14 ( $>10 \mu\text{m}$ ) was also validated using reference glass calibration beads and show little instrument  
15 drift (see Fig 2.).

16 The aircraft was also fitted with a Cloud Droplet Probe (CDP-100, DMT Inc.) for observing  
17 cloud droplets between 3 and 50  $\mu\text{m}$  (Lance et al., 2010). Following the method detailed by  
18 Rosenberg et al. (2012), glass beads were used to determine the CDP's size bin centres and  
19 widths. The 2DS and CIP-25 were fitted with anti-shatter tips to minimise ice break-up on  
20 their leading edges (Korolev et al., 2011). For full details of the data processing and quality  
21 control of the 2DS and CIP-25 measurements see Crosier et al. (2011) and Taylor et al.  
22 (2016). It should be noted that in addition to the use of anti-shatter tips, an inter-arrival time  
23 algorithm was used to further reduce shattering artefacts on the 2DS and CIP-25 datasets. Ice  
24 mass content was determined from the 2DS and CIP-25 images using the Brown and Francis  
25 (1995) mass-diameter relationship. Unless stated otherwise all flight data presented has been  
26 averaged to 10 second intervals. A linear fit to the number concentrations derived by the CDP  
27 and CAS where their size ranges overlap has equation  $\text{CDP} = 0.87 \times \text{CAS} + 1.7 \text{ cm}^{-3}$  ( $R^2 =$   
28  $0.83$ ). Similarly, the regression equation for the CIP and 2DS is  $\text{CIP} = 0.65 \times \text{2DS} + 0.7 \text{ cm}^{-3}$   
29 ( $R^2 = 0.34$ ).

30

1

2 Following Crosier et al. (2011), 2DS and CIP-25 images were classified based on a geometric  
3 analysis of their circularity,  $C$ :

$$4 \quad C = \frac{P^2}{4\pi A}$$

5 Equation 1

6 where  $P$  is the particles perimeter and  $A$  is its area. Particles containing less than 50 pixels  
7 (equivalent to a diameter of approximately 80  $\mu\text{m}$  for the 2DS and 200  $\mu\text{m}$  for the CIP-25)  
8 were not classified since they contain insufficient pixels to accurately determine their shape.  
9 Particles with circularity values less than 1.2 were classified as low irregular (LI) and are  
10 indicative of liquid drops. Circularity values greater than 1.4 are associated with ice crystals  
11 and are classified as high irregular (HI). Visual inspection of the LI and HI images confirmed  
12 that they were almost all liquid droplets and ice crystals, respectively. Circularities between  
13 1.2 and 1.4 are classified as medium irregular (MI). Interpretation of the MI category with  
14 respect to the particle phase is more ambiguous than the other categories. In general, the MI  
15 images were of quasi-spherical ice crystals, such as recently frozen drops, however they may  
16 also include some poorly imaged liquid drops that should be classified as LI. During MAC the  
17 concentration of MI particles was generally significantly less than HI particles. The mean  
18 ratio HI:MI for the campaign was 7 (see also Sect. 3.1). This suggests that the HI  
19 concentration is likely a good proxy for the ice crystal concentration.

20 Aerosol instrumentation on the aircraft included a GRIMM optical particle counter (GRIMM  
21 Model 1.109) capable of detecting aerosol particles over the size range from 0.25 to 32  $\mu\text{m}$ .  
22 The GRIMM sampled through a Brechtel Model 1200 isokinetic aerosol inlet with a >95%  
23 sampling efficiency for particles in the size range 0.01 $\mu\text{m}$  to 6  $\mu\text{m}$ . Inlet losses only become  
24 significant for particles >6  $\mu\text{m}$  and here we only consider the concentration of particles below  
25 2 $\mu\text{m}$ . Total aerosol concentrations of particles >10 nm in size were determined using a  
26 Condensation Particle Counter (CPC, TSI Inc. Model 3772).

27 The aircraft was also fitted with instrumentation to measure temperature, turbulence,  
28 humidity, radiation and surface temperature. See King et al. (2008) for full details.

## 1 2.3 Ground site measurements

2 Aerosol instrumentation was installed at the CASLab sampling from its central aerosol stack  
3 (Jones et al., 2008) for the measurement period from 27 November 2015 to 15 December  
4 2015. A Differential Mobility Analyser (DMA, TSI) was used to generate a quasi-  
5 monodisperse aerosol flow. The DMA performed 27 discrete steps over the aerosol size range  
6 from 30 to 500 nm. Downstream of the DMA the flow ( $1 \text{ L}^{-1}$ ) was split isokinetically between  
7 a cloud condensation nuclei counter (CCNc, Droplet Measurement Technology Model CCN-  
8 100) and a condensation particle counter (CPC, TSI). The CCN concentration was measured  
9 at super saturations of 0.08%, 0.20%, 0.32%, 0.41% and 0.53%. The activated cloud droplet  
10 fraction was determined by the ratio of activated particles from the CCN to the total number  
11 of particles measured by the CPC. The dry diameter at which 50% of particles were activated  
12 ( $D_{50}$ ) was determined by fitting a sigmoid curve to the activated fraction size spectrum  
13 (Whitehead et al., 2016). The total CCN concentration was determined by integrating the  
14 concentration of particles larger than  $D_{50}$ . The hygroscopicity parameter  $\kappa$  was derived from  
15  $\kappa$ -Köhler theory using the  $D_{50}$  and supersaturation values (Petters and Kreidenweis, 2007).

16 The DMA and CCNc were calibrated at the beginning and end of the campaign (Good et al.,  
17 2010). The DMA was size calibrated using NIST traceable polystyrene latex spheres (PSLs).  
18 Ammonium sulphate and sodium chloride were used to calibrate the CCNc supersaturations,  
19 by comparing measured values to theoretical ones from the Aerosol Diameter Dependent  
20 Equilibrium Model (ADDEM) (Topping et al., 2005).

21 Additional measurements were provided by an Aerodynamic Particle Sizer (TSI Model 3321)  
22 which provided aerodynamic particle size concentration measurements over the size range  
23  $0.5 < D < 20 \mu\text{m}$  and in the size range  $0.3 < D < 20 \mu\text{m}$  from simultaneous aerosol scattering cross  
24 section measurements. Total aerosol concentrations ( $D > 10 \text{ nm}$ ) were determined using a  
25 Condensation Particle Counter (CPC, TSI Inc. Model 3776).

26 Continuous measurements of airborne bio-fluorescent particle concentrations (primary  
27 biological and mixed biological and non-biological) were also made at CASLab using a  
28 Wideband Integrated Bioaerosol Spectrometer (WIBS Model Dstl-3). Measurements from  
29 this instrument are described in detail in Crawford et al. (2017).

## 1 **2.4 Numerical Atmospheric Dispersion Modelling Environment (NAME)**

2 To examine how aerosol and cloud properties vary with air mass history we perform back  
3 trajectory analysis using the UK Met. Office's NAME model (Numerical Atmospheric  
4 Dispersion Modelling Environment) (Jones et al., 2007) using Met Office Unified Model  
5 (UM) meteorological fields. Five-day retroplumes were determined by releasing 10000  
6 particles in the model at locations coincident with the aircraft's position. Here we examine the  
7 relative sensitivity to surface emissions from the following regions; the Antarctic continent,  
8 sea ice, Southern Ocean, ice-shelf and South America. The numbers of particles near the  
9 surface (0 to 100 m) over each geographic region was summed every 15 minutes as the  
10 particles were dispersed five-days backwards in time. For each region, the time integration of  
11 particles over the region was divided by the total number of particles appearing in the whole  
12 domain to determine fractional contributions (see Fleming et al., 2012). Shape files  
13 representing the monthly averaged sea ice extent from Polarview and geographical contour  
14 files for the Antarctic plateau, the permanent sea ice (ice shelves and permanent sea ice) and  
15 the American continent were used to determine the passageway of the air masses at surface  
16 levels sampled by the aircraft. This analysis was repeated for particles released at 60s  
17 intervals along the flight track to determine a time series of contributions from each  
18 geographic region.

19

## 20 **3 Results**

### 21 **3.1 Cloud microphysics**

22 The following section presents a broad overview of the microphysical measurements during  
23 the MAC field campaign. For this analysis "in-cloud" measurements were determined as  
24 periods when the liquid water content (LWC) was greater than  $0.01 \text{ g m}^{-3}$  or when particles  
25 were detected by the 2DS. Flight 224 is excluded from this bulk analysis since this flight  
26 sampled frontal cloud, while the other flights sampled shallow layer cloud. The ice mass  
27 fraction (IMF) is calculated as the ratio of the ice mass to the total condensed water. Here the  
28 ice mass is taken as the sum of the HI and MI 2DS categories, while the liquid mass is taken  
29 as the sum of the CAS droplets ( $>3 \mu\text{m}$ ) and the 2DS LI category. Ice mass fractions of 0 and  
30 1 represent fully liquid and glaciated conditions, respectively. Figure 2 (black line) shows the  
31 frequency distribution of ice mass fraction based on all 1 Hz measurements in layer clouds

1 sampled during MAC. As can be seen in Fig. 2 the clouds were dominated by liquid water.  
2 Ice mass fractions between 0 and 0.1 were observed 90% of the time, while only 6% of cases  
3 had values between 0.9 and 1. Figure 3 shows the ice mass fraction as a function of height.  
4 For altitudes below ca. 1000 m (all altitudes given are meters above mean sea level) there is a  
5 general trend of glaciated conditions becoming more prevalent with decreasing altitude (and  
6 increasing temperature). At temperatures higher than -3 °C glaciated conditions (IMF greater  
7 than 0.9) were responsible for 15% of observations, compared to 7% at temperatures between  
8 -8 and -3 °C. Above 2000m glaciated regions become more frequent with increasing altitude,  
9 however this is based on comparatively few observations.

10 Figure 3b, shows ice mass fraction measurements in single layer clouds as a function of the  
11 normalised position within the cloud,  $Z_n$ .

$$Z_n = \frac{Z - Z_B}{Z_T - Z_B},$$

13 Equation 2

14 where  $Z$  is the altitude,  $Z_B$  and  $Z_T$  are cloud base and cloud top altitude, respectively. We note  
15 that there is some uncertainty in determining cloud base/top due to variability in the cloud and  
16 also incomplete sampling (this uncertainty is estimated in Table 1). The clouds were  
17 dominated by liquid drops throughout, while ice was more prevalent lower in the clouds. The  
18 relationship between ice mass fraction (IMF) and  $Z_n$  over the range  $0 < Z_n < 1$  can be  
19 approximated by the equation:

$$IMF = 0.177 + 0.360Z_n + 0.244Z_n^2$$

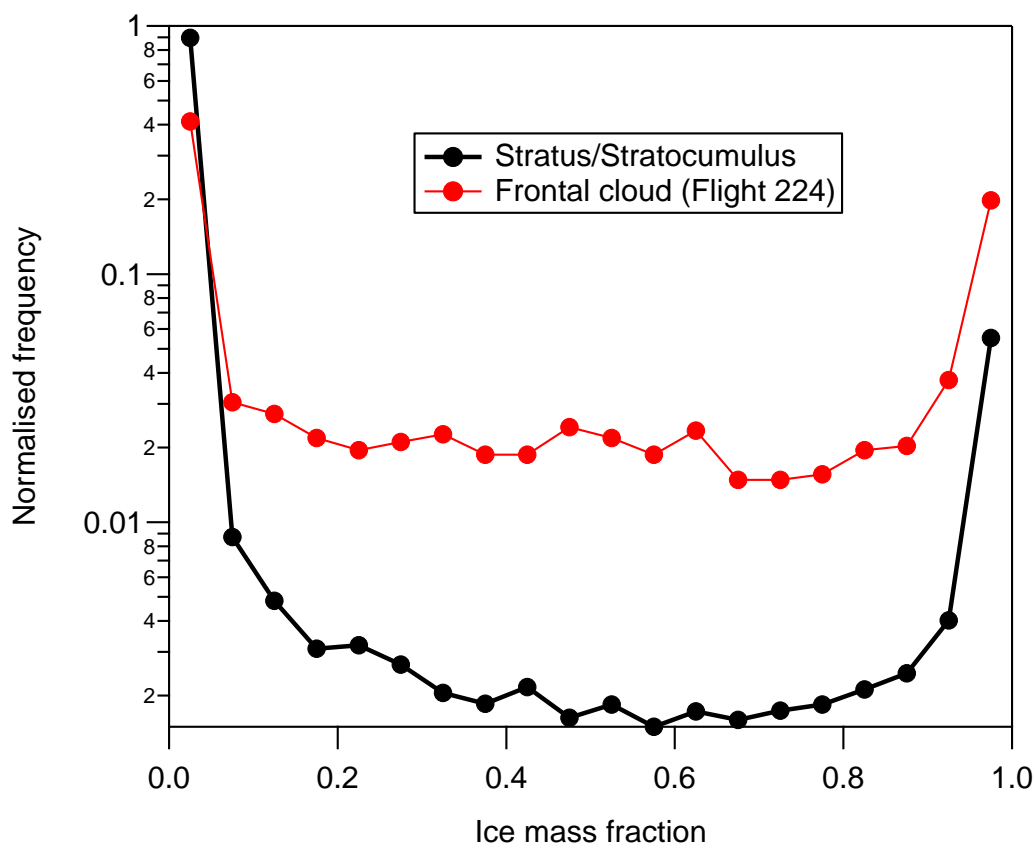
21 Equation 3

22 This is shown as a red line in Fig. 3b. Figure 3c and d show that both liquid water content and  
23 cloud drop effective radius increased closer to cloud top. The effective radius increased from  
24  $4 \pm 2 \mu\text{m}$  near cloud base to  $8 \pm 3 \mu\text{m}$  near cloud top.

25 Measurements in Arctic stratus/stratocumulus generally find these clouds to be similarly  
26 dominated by liquid drops (McFarquhar and Cober, 2004; McFarquhar et al., 2007; Lloyd et  
27 al., 2015a). A polynomial relationship derived during the Mixed-Phase Arctic Cloud  
28 Experiment (M-PACE) is shown as a blue line in Fig. 3b (McFarquhar et al., 2007).  
29 McFarquhar et al. (2007) show a trend of increasing IMF with increasing distance from cloud  
30 top (and increasing temperature). Glaciated conditions were observed during 23% of their

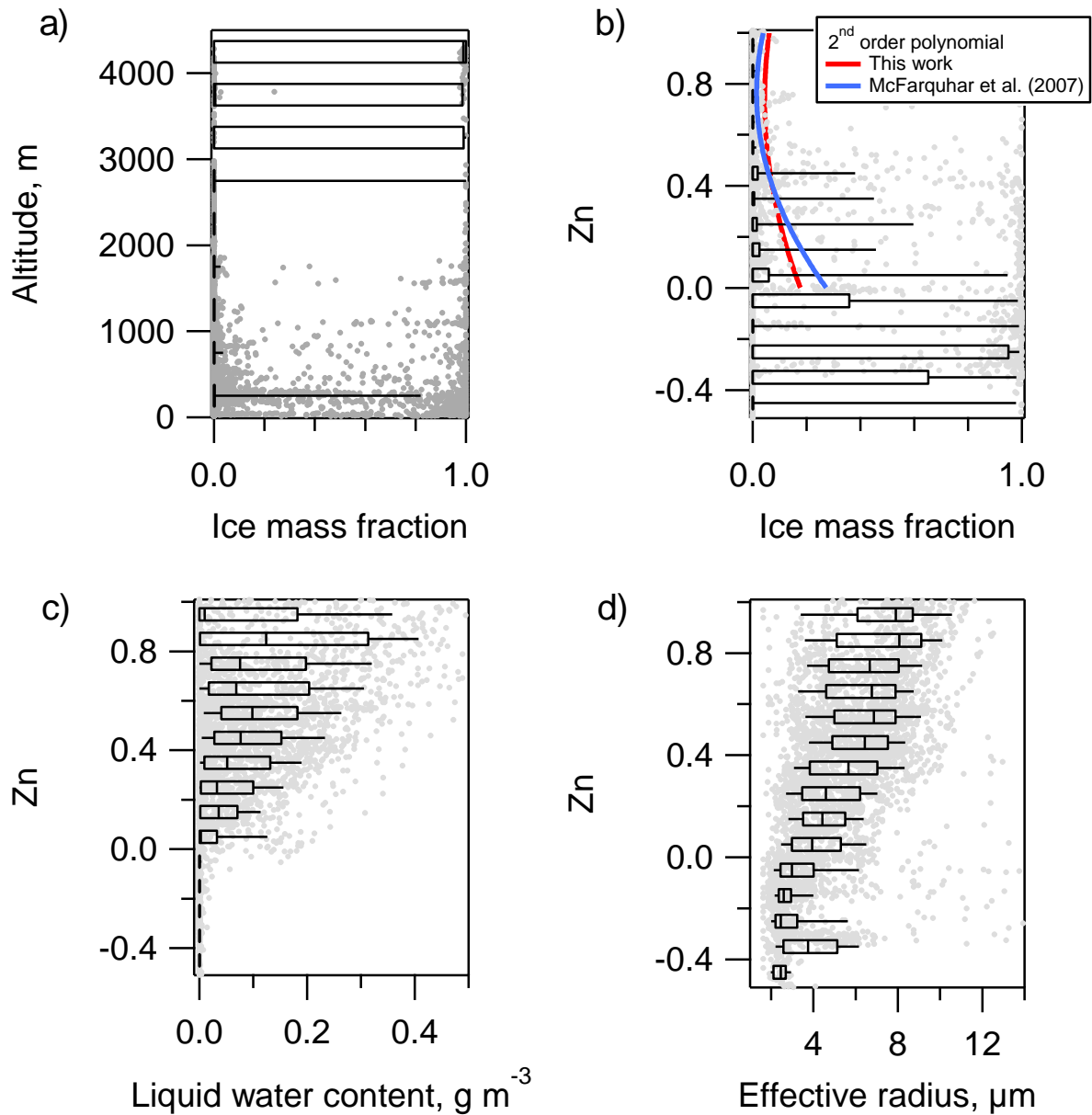
1 measurements. This is significantly more than during MAC, possibly due to lower INP  
2 concentrations available for primary ice development in the Antarctic compared to the Arctic,  
3 but differing sampling strategies may also contribute to this difference.

4 Flight 224 sampled cloud layers at the rear of an occluded front that was associated with a  
5 low pressure system north of Halley. Several layers were observed between -19 °C and -1 °C  
6 with ice crystals precipitating between the layers. As shown in Fig. 2 (red line) ice was more  
7 frequently observed in these clouds than during the flights where stratocumulus/stratus clouds  
8 were sampled. Twenty-four percent of measurements had ice mass fractions between 0.9 and  
9 1, while 32% of observed ice mass fraction values were between 0.1 and 0.9. Droplet number  
10 concentrations were comparatively low with a mean of 40 (29 at  $1\sigma$ )  $\text{cm}^{-3}$ .



11

12 *Figure 2. Frequency distribution of the 1 Hz cloud ice mass fraction measurements.*



1

2 *Figure 3a. Ice mass fraction as a function of altitude and b) normalised position within the*  
 3 *cloud (Zn). c) and d) show similar plots for liquid water content and effective radius from the*  
 4 *CAS probe. Boxes are the 25th and 75th percentiles, the whiskers are the 10th and 90<sup>th</sup>*  
 5 *percentiles.*

6

7 The droplet number concentration as a function of temperature is shown in Fig. 4a. This was  
 8 found to be relatively consistent and temperature independent during the campaign with a  
 9 median of  $113 \text{ cm}^{-3}$  and an inter-quartile range of  $86 \text{ cm}^{-3}$ . An exception to this is Flight 217,  
 10 when anomalously high droplet concentrations were observed at  $-23 \text{ }^\circ\text{C}$  (mean  $310 \text{ cm}^{-3}$ ). The

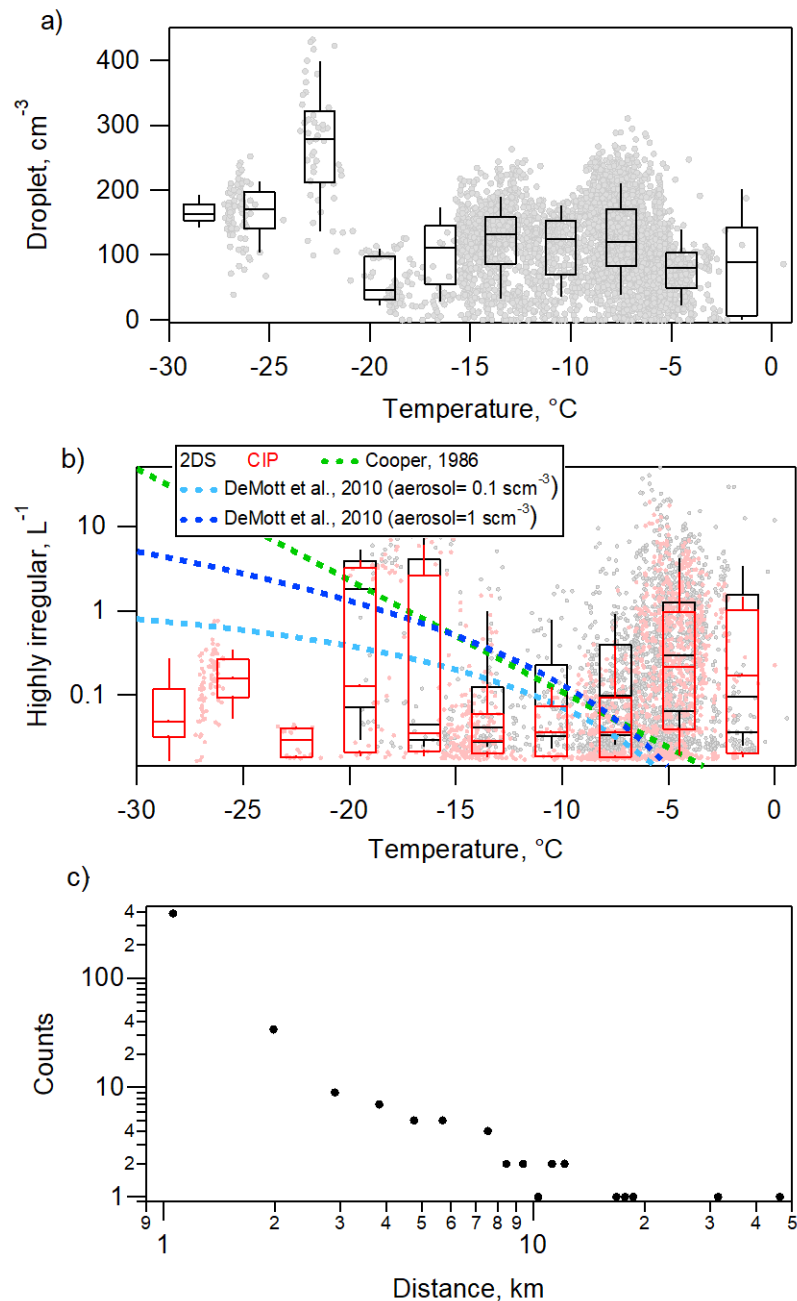


1 2DS was not available during this flight but the CIP observations suggest that ice was not  
2 present in this cloud. The reason for the enhanced droplet concentrations is not clear, however  
3 the aerosol concentrations below the cloud layer was similarly elevated with the CPC  
4 recording concentrations of over  $1200 \text{ scm}^{-3}$ , compared to the median for the campaign of  $408$   
5  $\text{scm}^{-3}$ . Back trajectory analysis showed that in the previous days this air mass travelled over  
6 the Southern Ocean from South America.

7 The cloud droplet concentrations during MAC are found to be comparable with previous  
8 observations from the Antarctic Peninsula (Lachlan-Cope et al., 2016) and also Arctic  
9 summer stratocumulus (Lloyd et al., 2015a). Droplet concentrations over the Antarctic  
10 Peninsula varied between  $60$  and  $200 \text{ cm}^{-3}$  (Lachlan-Cope et al., 2016). Concentrations on the  
11 eastern side of the Peninsula were moderately higher than on the west, which may be due to  
12 the greater sea ice coverage on the eastern side. It has been suggested that sea ice may provide  
13 a more efficient source of sea-salt aerosol, and therefore CCN, than open waters (Yang et al.,  
14 2008). Recent measurements and modelling found that sea ice made a significant contribution  
15 to the winter sea-salt aerosol loading at coastal (Dumont d'Urville) and central (Concordia)  
16 East Antarctic sites (Legrand et al., 2016).

17 The number of highly irregular particles observed by the 2DS/ CIP-25 can be used as a proxy  
18 for the number of ice crystals; this is shown as a function of temperature in Fig. 4b. Box and  
19 whisker plots show statistics for those regions of the cloud where ice is present (i.e. excluding  
20 regions with only liquid cloud water). The temperature bins  $-21$  to  $-15 \text{ }^\circ\text{C}$  in Fig. 4b show the  
21 highest concentration of ice crystals. However these measurements come from only one flight  
22 (Flight 226) where the base ( $4000 \text{ m}$ ) of high cloud was sampled. These crystals  
23 (predominantly rosettes and aggregates) are highly likely to have been nucleated at lower  
24 temperatures higher up in the cloud which then sedimented down to be sampled by the  
25 aircraft. At temperatures greater than  $-15 \text{ }^\circ\text{C}$  there is a trend of the ice crystal concentrations  
26 showing greater variability and higher median concentrations with increasing temperature. Ice  
27 in the clouds tended to occur in small patches. A histogram of the spatial extent of ice patches  
28 shows that they increase in frequency with decreasing length up to the maximum resolvable  
29 by the 2DS measurements (a sampling frequency of  $10\text{s}$  corresponds to a spatial scale of  
30 about  $600\text{m}$ , Figure 4c).

31



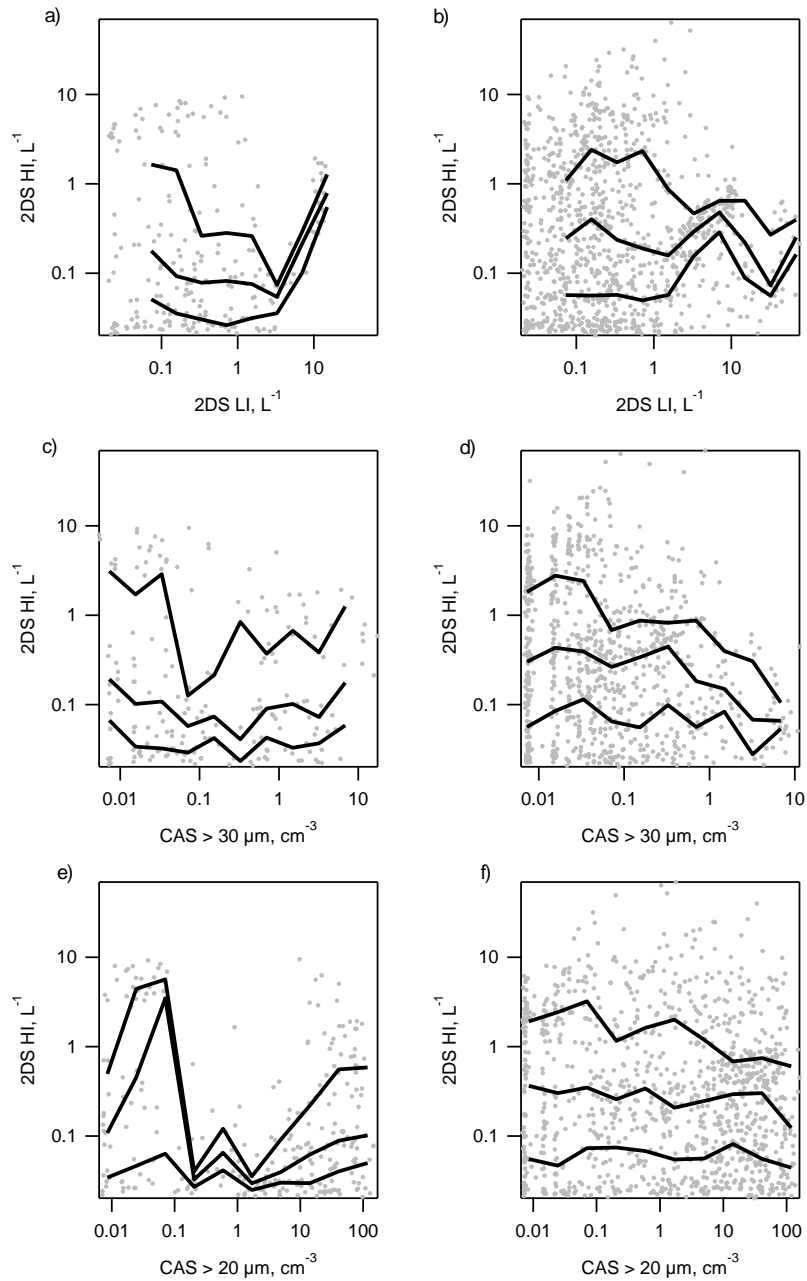
1  
 2 *Figure 4. Box and whisker plots summarising in cloud measurements (averaged over 10 s) as*  
 3 *a function of temperature. Plate a) shows the concentration of cloud droplets ( $\text{cm}^{-3}$ ),*  
 4 *measured by CAS, while b) shows the concentration of ice particles measured by 2DS and*  
 5 *CIP-25, based on those classified as highly irregular (see text for details). The concentration*  
 6 *of ice nucleating particles predicted by the DeMott et al. (2010) parameterisation with a high*  
 7 *(1  $\text{scm}^{-3}$ ) and low (0.1  $\text{scm}^{-3}$ ) aerosol input are shown as dark and light blue lines, respectively*  
 8 *in b). The green line is the predicted ice particle concentration according to the Cooper*

1 (1986) parameterisation. c) a histogram of the flight distance while continuously sampling  
2 ice.

3

4 Previous observations of Arctic mixed phase clouds found that the presence of precipitating  
5 ice particles ( $> 400 \mu\text{m}$ ) was associated with the number of large drops ( $>30 \mu\text{m}$ ), however  
6 the precise nucleation mechanism through which this occurs is uncertain (Lance et al., 2011).  
7 To identify if a similar relationship was present during MAC Fig. 5a,b shows the relationship  
8 between the 2DS HI and the 2DS LI particles (droplets larger than approximately  $80 \mu\text{m}$ ).  
9 Figures 5c,d and 5e,f show similar plots for the CAS measurements of droplets larger than 30  
10 and  $20 \mu\text{m}$ , respectively. Panels on the left (a, c and e) show measurements at temperatures  
11 lower than  $-8^\circ\text{C}$  and panels on the right (b, d and f) show those in the range  $-8$  to  $0^\circ\text{C}$ . The HI  
12 concentrations are binned based on the droplet concentration and the 25, 50 and 75 percentiles  
13 are shown as black lines. When examining statistics for all stratus flights we find no evidence  
14 that the ice concentrations increase due to the presence of large drops. However, any  
15 relationship may be obscured as drops are depleted by ice crystal growth through riming and  
16 the Wegener-Bergeron-Findeisen process.

17



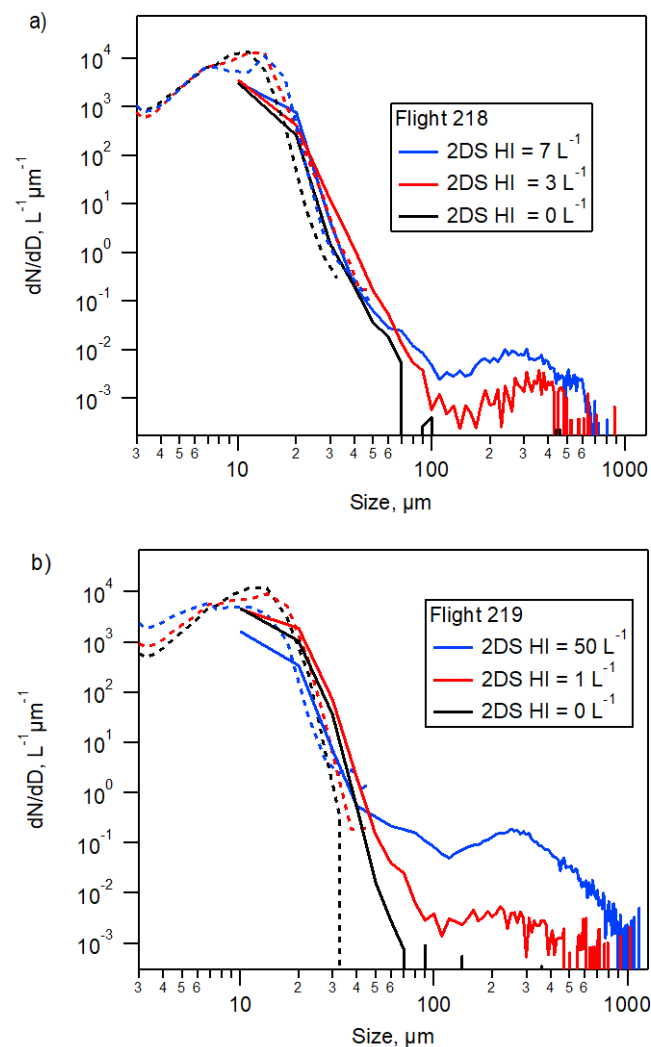
1

2 *Figure 5a,b. The relationship between the concentration of highly irregular (2DS HI)*  
 3 *particles and low irregular particles (2DS LI) (low irregular particles greater than*  
 4 *approximately 80 μm). Figures 5c,d and 5e,f show the relationship with the concentration of*  
 5 *droplets larger than 30 and 20 μm, respectively. Panels on the left (a, c and e) show*  
 6 *measurements at temperatures lower than -8°C and panels on the right (b, d and f) show*  
 7 *those in the range -8 to 0 °C. The black lines are the 25th, 50th and 75th percentile of the 2DS*  
 8 *HI concentration for each droplet concentration bin.*

9

1 Similar results are found when case studies for individual flights are examined. Figure 6a  
 2 shows a comparison between the particle size distributions for three periods with quite  
 3 different degrees of glaciation during a constant altitude run at  $-5\text{ }^{\circ}\text{C}$  during Flight 218. Time  
 4 series of the microphysical properties during this run are shown in Fig. 7. During this run  
 5 there were patches of ice with concentrations of several per litre and regions where no ice was  
 6 present. However, there are no distinct differences in the particle size distributions for  
 7 particles  $<100\text{ }\mu\text{m}$  for these three cases. Figure 6b shows a similar plot for a constant altitude  
 8 run at  $-6\text{ }^{\circ}\text{C}$  during Flight 219. During times with very high ice concentrations (2DS HI up to  
 9  $50\text{ L}^{-1}$ , blue line) the droplets (10s minimum of  $11\text{ cm}^{-3}$ ) are depleted compared to the cases  
 10 when the 2DS HI concentration was  $1\text{ L}^{-1}$  and  $0\text{ L}^{-1}$  (approximately  $100\text{ cm}^{-3}$ ).

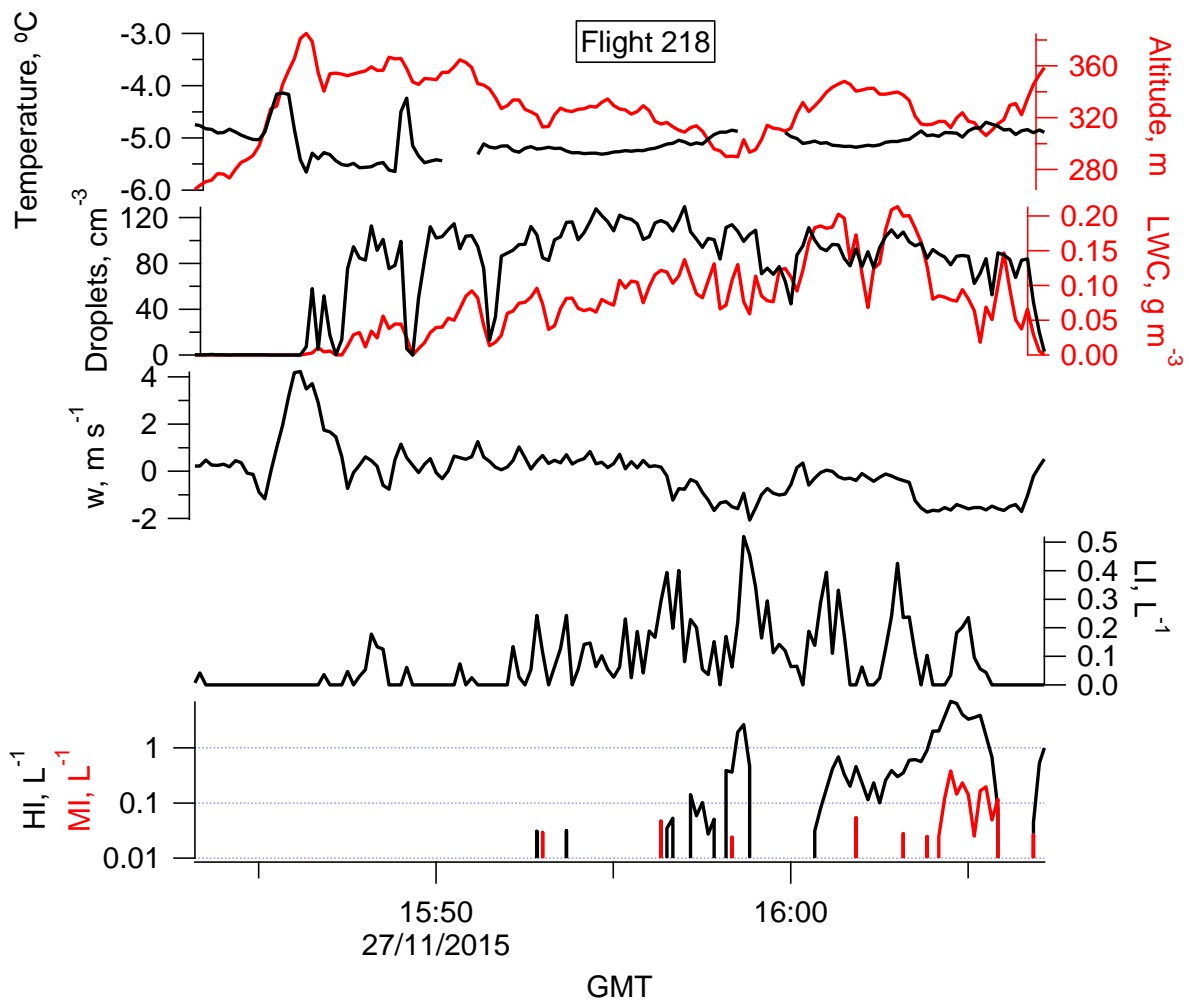
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12

1 *Figure 6a. Comparison between the particle size distributions for 3 regions sampled in the*  
 2 *constant altitude run at -5 °C during Flight 218, these are where the concentration of highly*  
 3 *irregular particles (2DS HI) was 7 L<sup>-1</sup> (16:04 GMT), 3 L<sup>-1</sup> (15:58 GMT) and 0 L<sup>-1</sup> (15:52*  
 4 *GMT). Time series of the microphysical measurements during this run are shown in Figure 7.*  
 5 *Figure 6b shows a similar plot for a run at -6 °C during Flight 219 when the 2DS highly*  
 6 *irregular concentration was 50 L<sup>-1</sup>, 1 L<sup>-1</sup> and 0 L<sup>-1</sup>. Dashed lines show measurements from the*  
 7 *CAS and solid lines are from the 2DS.*

8



9

10 *Figure 7. Time series of microphysical parameters during a constant altitude run at -5°C*  
 11 *(400 m) during flight 218.*

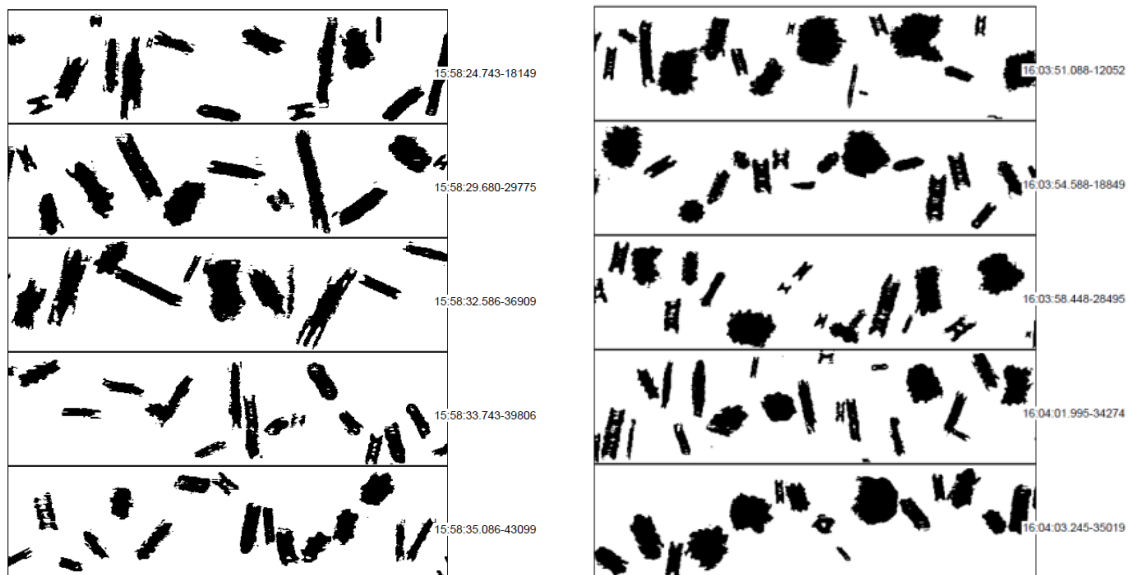
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13

### 1 3.1.1 Ice Crystal Images

2 Inspection of the cloud particle images shows that at temperatures higher than  $-10\text{ }^{\circ}\text{C}$   
3 columnar crystals appear as the dominant ice crystal habit, with irregular rimed crystals also  
4 widespread. This is illustrated by Fig. 8a showing example images from Flight 218 at  $-5\text{ }^{\circ}\text{C}$ .  
5 Measurements in Arctic clouds at similar temperatures show that they are similarly dominated  
6 by columnar crystals (Lloyd et al., 2015a). Figure 8b. shows images at  $-15\text{ }^{\circ}\text{C}$  collected in a  
7 single layer cloud over the Antarctic continent, approximately 300 km south of Halley (Flight  
8 233). This cloud had some columns/needles, but also a high proportion of plates and stellar  
9 crystals. At the lowest sampled temperatures of  $-20\text{ }^{\circ}\text{C}$  (Fig. 8c, Flight 226) the ice mostly  
10 consists of rosettes and irregular crystals, which may be aggregates. However, measurements  
11 at these low temperatures were relatively infrequent, and the ice may have been nucleated at  
12 lower temperatures higher in the cloud.

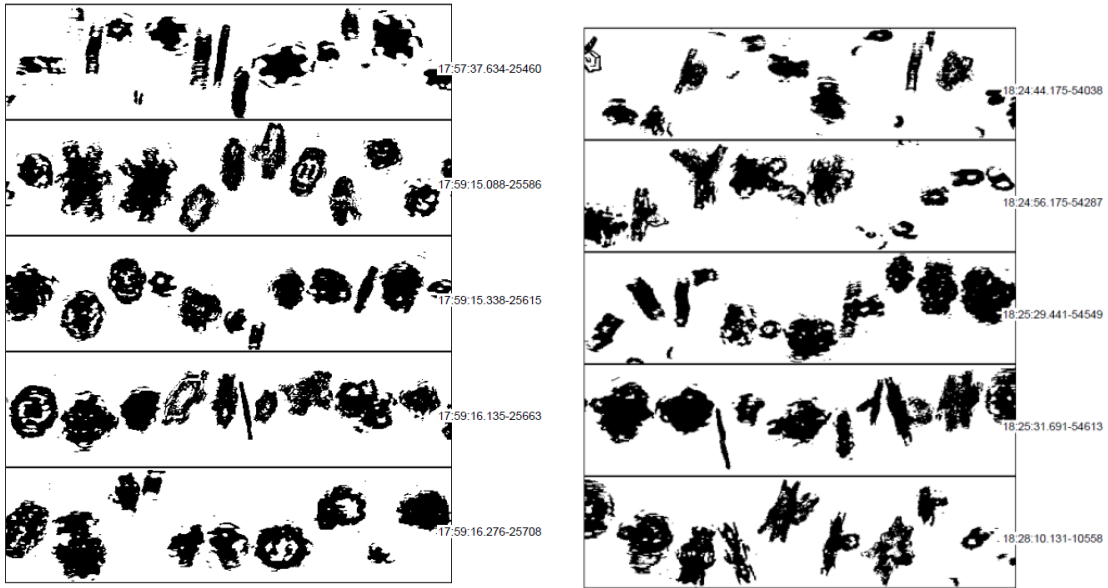
13



14

15 *Figure 8a. 2DS Images of highly irregular particles during a constant altitude run at  $-5^{\circ}\text{C}$*   
16 *(400 m) during flight 218. The times given are for the first crystal on each strip. The height of*  
17 *each strip corresponds to the 2DS array width of  $1280\text{ }\mu\text{m}$ .*

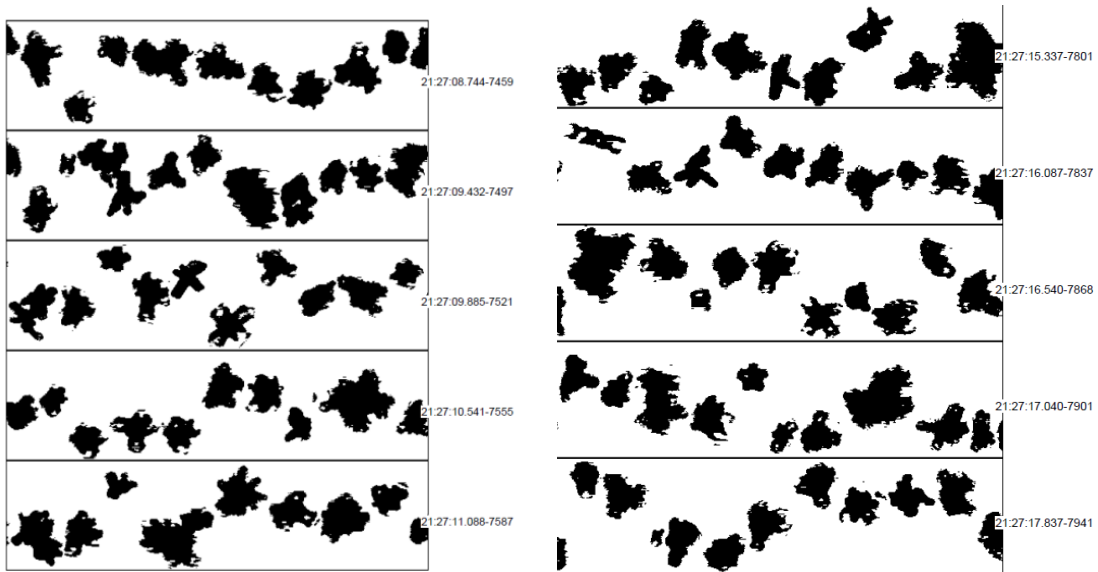
18



1

2 *Figure 8b. 2DS Images of highly irregular particles during a constant altitude run at -15°C*  
 3 *during flight 233.*

4



5

6 *Figure 8c. 2DS Images of highly irregular particles during a constant altitude run at -20°C*  
 7 *during flight 226.*

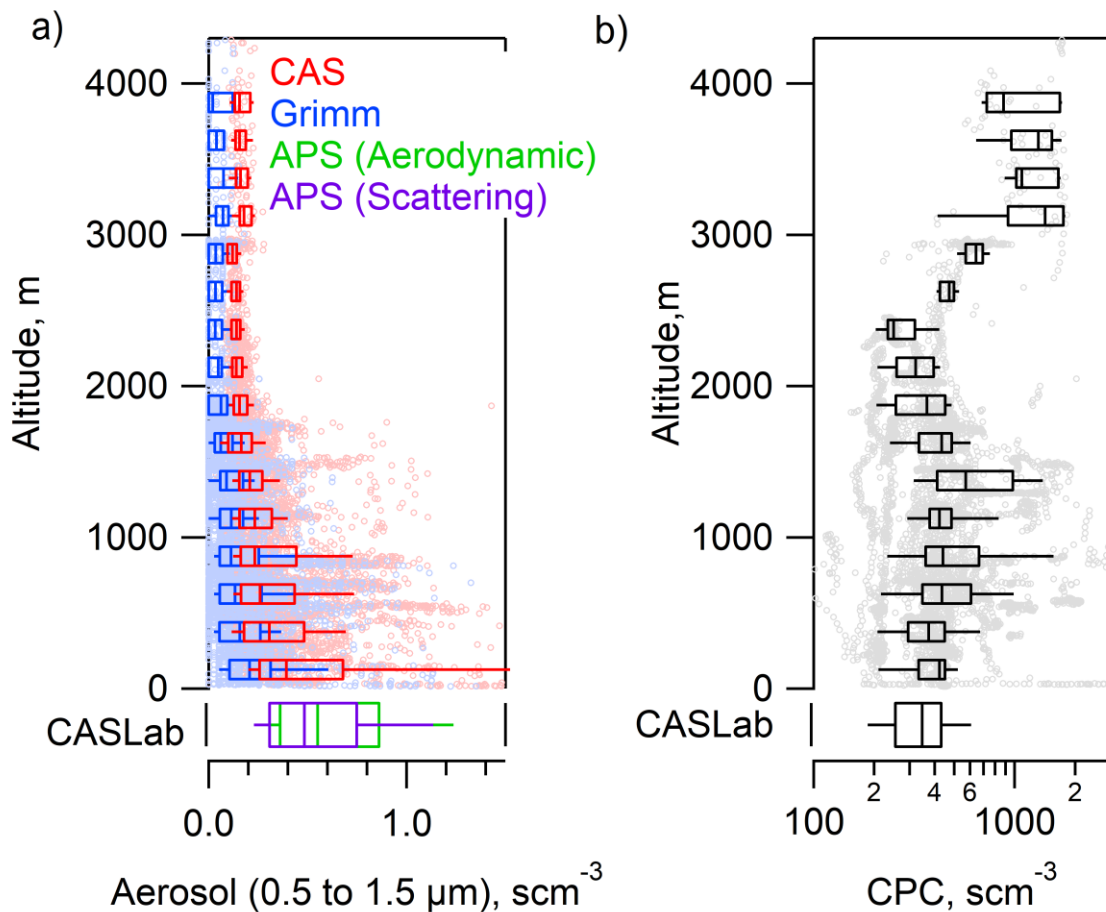
8



## 1 3.2 Aerosol

2 Vertical profiles of the out-of-cloud aerosol measurements made by the aircraft are shown in  
3 Fig. 9. Out-of-cloud measurements were selected as periods when the LWC was less than  
4  $0.001 \text{ g m}^{-3}$  and when the 2DS was not detecting particles. Contributions from large, swollen  
5 aerosol particles were also removed when the relative humidity was higher than 90%.  
6 Figure 11a shows aerosol concentrations over the size range from  $0.5$  to  $1.5 \text{ }\mu\text{m}$  as observed  
7 by the CAS and GRIMM probes. This size range of aerosols has been shown to best represent  
8 the concentration of INPs in many locations around the world (DeMott et al., 2010).  
9 Concentrations within this size range decrease significantly with increasing height, as would  
10 be expected, through sea spray aerosol being rapidly removed by cloud processing or  
11 sedimentation. Previous, measurements over the Antarctic Peninsula also found that aerosols  
12 in this size range decreased with height and ranged between  $0.1$  and  $0.3 \text{ cm}^{-3}$  above  
13 approximately  $2500\text{m}$ . Total aerosol concentrations, measured by the CPC during MAC, had  
14 a median value for the campaign of  $408 \text{ scm}^{-3}$  (at standard temperature and pressure) and an  
15 inter-quartile range of  $260 \text{ scm}^{-3}$ .

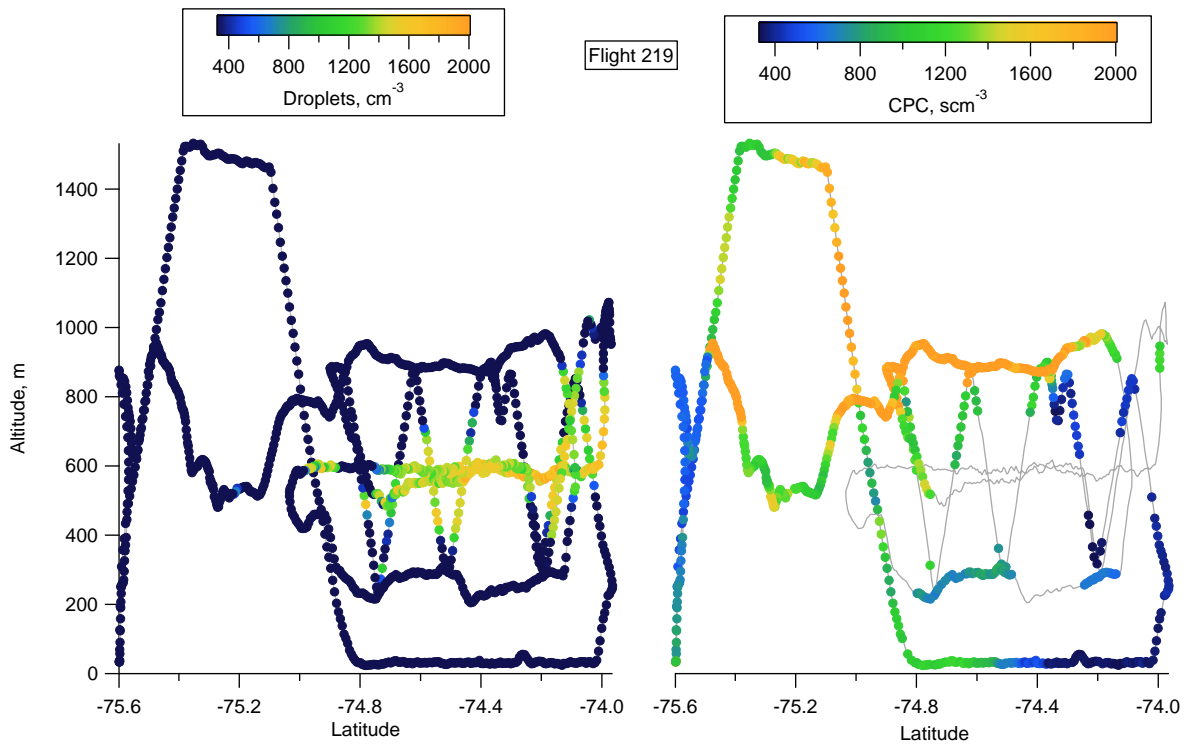
16



1  
2 *Figure 9. Aircraft clear sky aerosol concentrations ( $\text{scm}^{-3}$ ) altitude profiles. Data are from: a)*  
3 *CAS and GRIMM instruments. Surface concentrations from CASLab are shown for*  
4 *comparison, from the APS; Green - aerodynamic particle size concentrations; Purple –*  
5 *scattering cross section derived particle size concentration measurements; b) Total fine*  
6 *aerosol concentration profiles, from CPCs on the aircraft and at the CASLab, ( $D > 10 \text{ nm}$ ).*

7  
8 During MAC episodic periods were observed with total aerosol concentrations in excess of  
9  $1000 \text{ scm}^{-3}$ . These were often observed above cloud layers. The flights were designed to focus  
10 on cloud regions so may not represent a truly unbiased sample of the atmosphere, but the  
11 results do suggest a link between the observations of high aerosol concentrations and the  
12 presence of clouds. The limited spatial coverage of the aircraft measurements makes  
13 quantifying the extent of these layers uncertain, however they appear to extend over a few  
14 tens of kilometres to a hundred kilometres. At least two instances (flights 218, 219, see Fig.  
15 10) suggest a large layer extending beyond the cloud edge, pointing at the possibility of layers  
16 independent from clouds. The peak concentration usually occurred in the region up to 200 m

1 above the cloud top (e.g. Flight 219). Some layers showed a clear drop in relative humidity  
2 (e.g. from 90% to 30%, e.g. during flight 220, 221, and 222) generally related to a clear  
3 temperature inversion, while other layers showed a much smaller decrease (by 10%) in  
4 relative humidity compared to the cloud underneath (e.g. flight 217, 218, 219). No clear  
5 systematic relationship was observed with respect to the vertical wind velocity (turbulence).  
6 The role of these particles as CCN/INPs is currently uncertain due to the lack of information  
7 about their composition.



8  
9 *Figure 10. Latitudinal cross-sections of Flight 219 coloured by droplet concentration (left*  
10 *panel) and total aerosol concentrations out of cloud (right panel). Grey lines shows the flight*  
11 *track. These show a layer of high aerosol concentrations above the cloud top.*

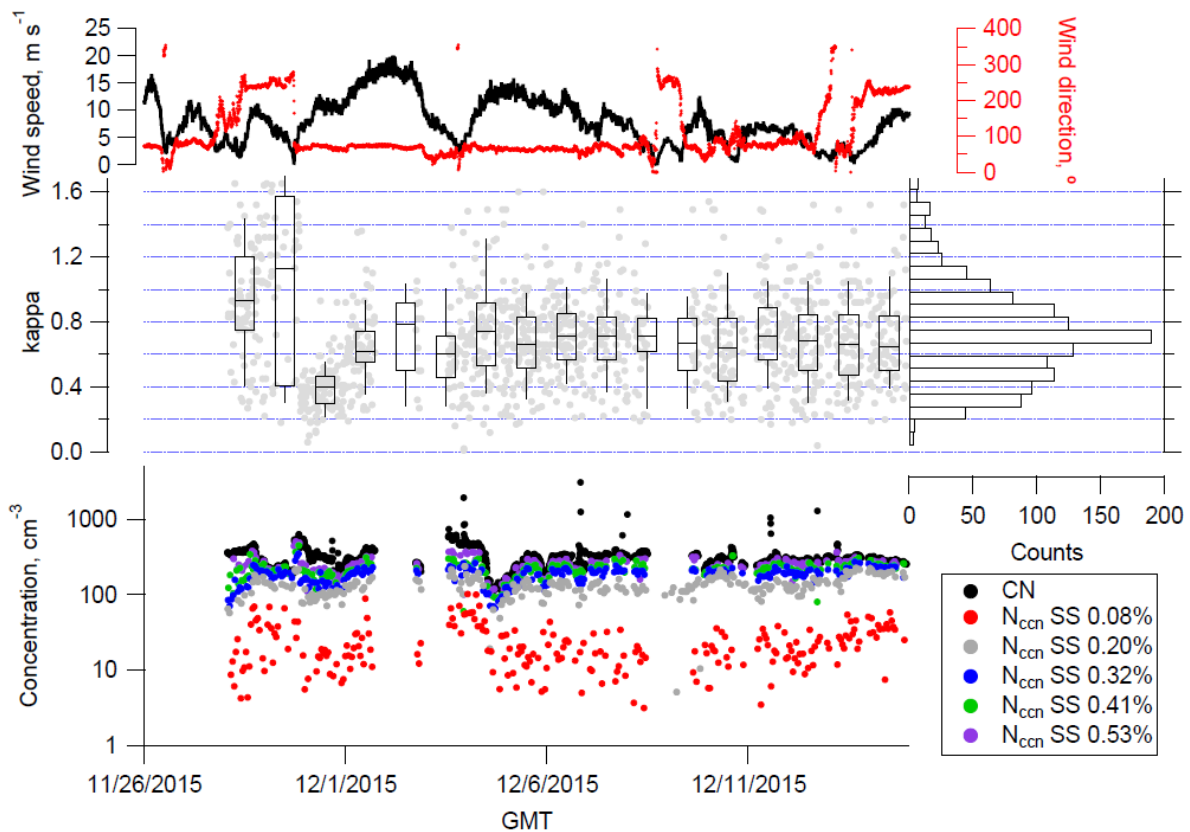
12  
13 Average total concentrations of UV-fluorescent aerosols (measured at CASLab with the  
14 WIBS) over the campaign period were  $\sim 1 \text{ L}^{-1}$ , which was  $< 2\%$  of the total particle  
15 concentration. Of these  $0.01 \text{ L}^{-1}$  were identified as likely primary biological aerosols. During  
16 some Easterly and Westerly wind events, however, enhanced concentrations of the order of  
17  $5 \pm 7 \text{ L}^{-1}$  could be observed (Crawford et al., 2017).

18

### 1 3.3 Cloud condensation nuclei (CCN)

2 Figure 11 (bottom panel) summarises the CCN measurements at the CASLab. The bottom  
3 panel shows the CCN at 5 different super saturations (0.08%, 0.20%, 0.32%, 0.41% and  
4 0.53%). The hygroscopicity parameter  $\kappa$  is used to examine the effect chemical composition  
5 has on the CCN activity of aerosol particles. The derived  $\kappa$  values represent the average  
6 hygroscopicity of the volume-weighted fractions of the individual aerosol components. Non-  
7 hygroscopic components have a  $\kappa$  value of 0. Highly CCN active salts have  $\kappa$  values between  
8 0.5 and 1.4, sodium chloride (NaCl) has a  $\kappa$  of 1.28 (measurement range 0.91 to 1.33).  
9 Organic species have values generally between 0.01 and 0.5 (Petters and Kreidenweis, 2007).  
10 The median  $\kappa$  value during MAC was 0.66 (inter-quartile range = 0.38, mean = 0.70),  
11 suggesting that this location is dominated by hygroscopic components, such as sea-salt and  
12 sulphate. Andreae and Rosenfeld (2008) review CCN measurements and find that  $\kappa$  values  
13 from marine locations generally cover a relatively narrow range of  $0.7 \pm 0.2$ , compared to  $0.3$   
14  $\pm 0.1$  for continental aerosols. A global model study subsequently presented a mean  $\kappa$  value of  
15 0.92 (0.09 at  $1\sigma$ ) at the surface and 0.80 (0.17 at  $1\sigma$ ) within the boundary layer over the  
16 Southern Ocean (Pringle et al., 2010), only marginally higher than our MAC observations.

17



18

1 *Figure 11. The top panel shows the time series of wind speed (black line) and direction (red*  
2 *markers) at the CASLab. The middle panel shows the time series of the hygroscopicity*  
3 *parameter  $\kappa$ . The box and whisker plots summarise the variability in  $\kappa$  for each day, while the*  
4 *right panel shows a histogram of  $\kappa$  for the whole measurement period. The bottom panel*  
5 *shows the total condensation nuclei concentration (30 to 500 nm, black dots) and the CCN*  
6 *concentrations at 5 different supersaturations (SS, coloured dots from 0.08 to 0.53%).*

7

8 As shown in Fig. 11 there was a period of increased hygroscopicity on 28 and 29 November  
9 2015, with a median  $\kappa$  of 1.13 on 29 November. During this period there was a westerly wind.  
10 This changed to an easterly on 30 November 2015, which coincided with a decrease in  
11 hygroscopicity to a median  $\kappa$  for the 30 November of 0.36. Between the approximate  
12 headings 210° to 25° the CASLab lies between 30 and 60 km from the Weddell Sea. In  
13 contrast, within the sector 30° to 60° it lies several hundred km across the Brunt Ice Shelf  
14 from the Weddell Sea. To the south east of the CASLab lies the Antarctic Continent.  
15 HYSPLIT trajectories indicate over the past 5 days the air mass sampled on 28 and 29  
16 November 2015 had passed over sea ice/open water regions. However after 30 November  
17 2015 the hygroscopicity was relatively consistent and does not show a significant relationship  
18 with the wind direction or air mass history. For example, on the 14 and 15 December 2015  
19 there was a westerly wind but the median  $\kappa$  for these days of 0.66 and 0.65, respectively, was  
20 similar to the campaign median (0.66).

### 21 **3.4 Ice nucleating particles (INPs)**

22 Ice nucleating particles (INPs) could not be directly measured on the aircraft during MAC.  
23 Instead we compare the cloud ice crystal concentrations with two parameterisations that are  
24 commonly used to predict INP concentrations. DeMott et al. (2010) compiled INP  
25 measurements from a range of locations around the world and derived a relationship using  
26 aerosol concentrations (within the size range 0.5 to 1.6  $\mu\text{m}$ ) and temperature that could  
27 explain the INP variability within their dataset to better than a factor of 10. For a broad  
28 comparison with the MAC dataset we evaluate DeMott et al. (2010) for a high (1  $\text{scm}^{-3}$ , dark  
29 blue lines, Fig. 4b) and low (0.1  $\text{scm}^{-3}$ , light blue lines, Fig. 4b) aerosol case. Cooper (1986)  
30 describes a simple INP parameterisation using only the ambient temperature, which is often  
31 used in the Weather Research Forecasting model (WRF) (Morrison et al., 2009). The

1 concentration of INPs from Cooper (1986) is shown as a red line in Fig. 4b. It should be noted  
2 that neither of these parameterisations use Antarctic measurements. Given the marine location  
3 of the flights it is likely that these parameterisations may represent overestimates of the true  
4 INP concentration, since the number of INP in sea spray aerosol is generally several orders of  
5 magnitude lower than the number of INP in aerosol in the continental boundary layer (DeMott  
6 et al., 2015). The DeMott et al. (2010) parameterisation was derived using measurements at  
7 temperatures lower than  $-9^{\circ}\text{C}$ , while Cooper (1986) used measurements below  $-5^{\circ}\text{C}$ . For  
8 comparison they are extrapolated to higher temperatures and are therefore subject to increased  
9 uncertainty.

10 As shown in Fig. 4b, given the uncertainty in both parameterisations and the challenges with  
11 making a direct comparison with the measurements it is plausible that the observed ice  
12 concentrations at temperatures lower than about  $-10^{\circ}\text{C}$  could be explained by primary ice  
13 production. However above this temperature the measured ice concentrations diverge from  
14 the predicted INP by 1 to 3 orders of magnitude, suggesting that secondary ice production is  
15 becoming increasingly dominant.

16 Below  $-9^{\circ}\text{C}$ , where secondary ice production is likely to be less significant, Listowski and  
17 Lachlan-Cope (2017) found that the number of INP predicted by DeMott et al. (2010) gave  
18 better agreement with observed ice concentrations over the Antarctic Peninsula compared to  
19 INP parameterisations that only use the ambient temperature as input. For MAC, each in  
20 cloud data point was compared with the closest (in time) out-of-cloud aerosol measurement (1  
21 minute average,  $\text{RH} < 90\%$ ). Data points were excluded from the comparison if no out-of-  
22 cloud aerosol measurements were made within 10 minutes of the in-cloud measurement. No  
23 clear relationship was found between the local aerosol concentrations and the ice  
24 concentrations ( $R^2=0.02$  for the above cloud aerosol in the size range  $0.5$  to  $1.6\ \mu\text{m}$ ). During  
25 MAC, the majority of cloud measurements showed no ice (see Fig. 3) suggesting that the  
26 Antarctic is a very low INP environment. As a result, all conventional INP schemes will  
27 likely overestimate the true concentrations.

28

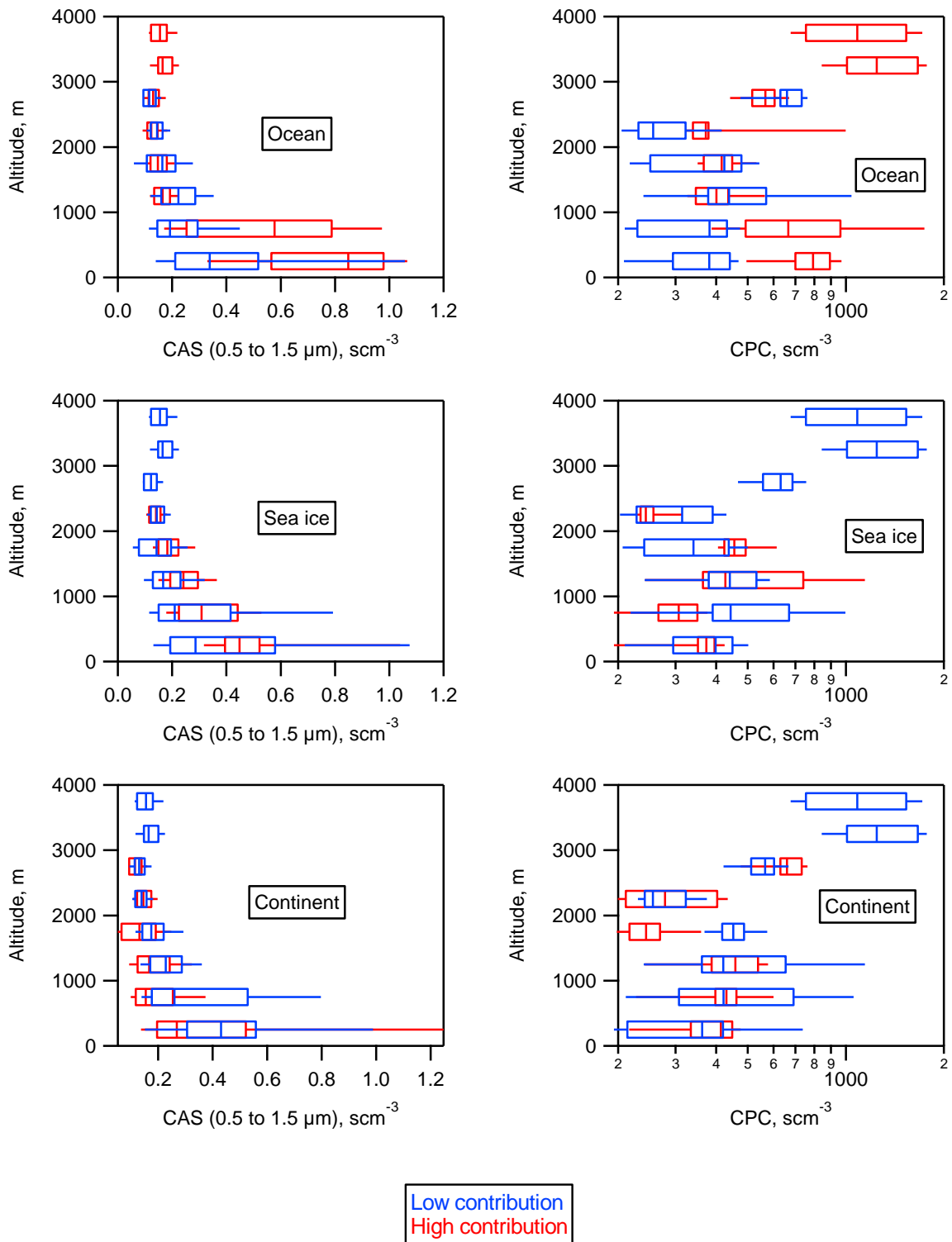
### 29 **3.5 Airmass history**

30 The sampled airmasses were classified using the NAME dispersion model based on their time  
31 spent over different geographic regions (see Sect. 2.4). Figure 12 shows vertical profiles of

1 the aerosol from the CAS (0.5 to 1.5  $\mu\text{m}$ , relative humidity < 90%) when there was high  
2 (>50%, red markers) and low (<50%, blue markers) surface influence from the Southern  
3 Ocean, the sea ice and the Antarctic Continent. There is a broad trend of higher aerosol  
4 concentrations over this size range with greater contributions from the Ocean and sea ice,  
5 indicating significant emissions of sea salt/sulphate aerosol. Concentrations decrease with  
6 increased contributions from the continent, indicating a lack of sources in this region. These  
7 relationships are more distinct when the aircraft was sampling at low altitude, above  
8 approximately 1000 m the concentrations are less dependent on air mass origin due to their  
9 lower surface influence. This analysis was repeated using total aerosol concentrations from  
10 the CPC (Fig. 14). Similar to the CAS, higher concentrations were observed when there was  
11 greater influence from the Southern Ocean, with the differences again most distinct for the  
12 low altitude measurements. However, CPC concentrations are found to be less dependent on  
13 the influence of the sea ice and the Antarctic Continent.

14

15



1

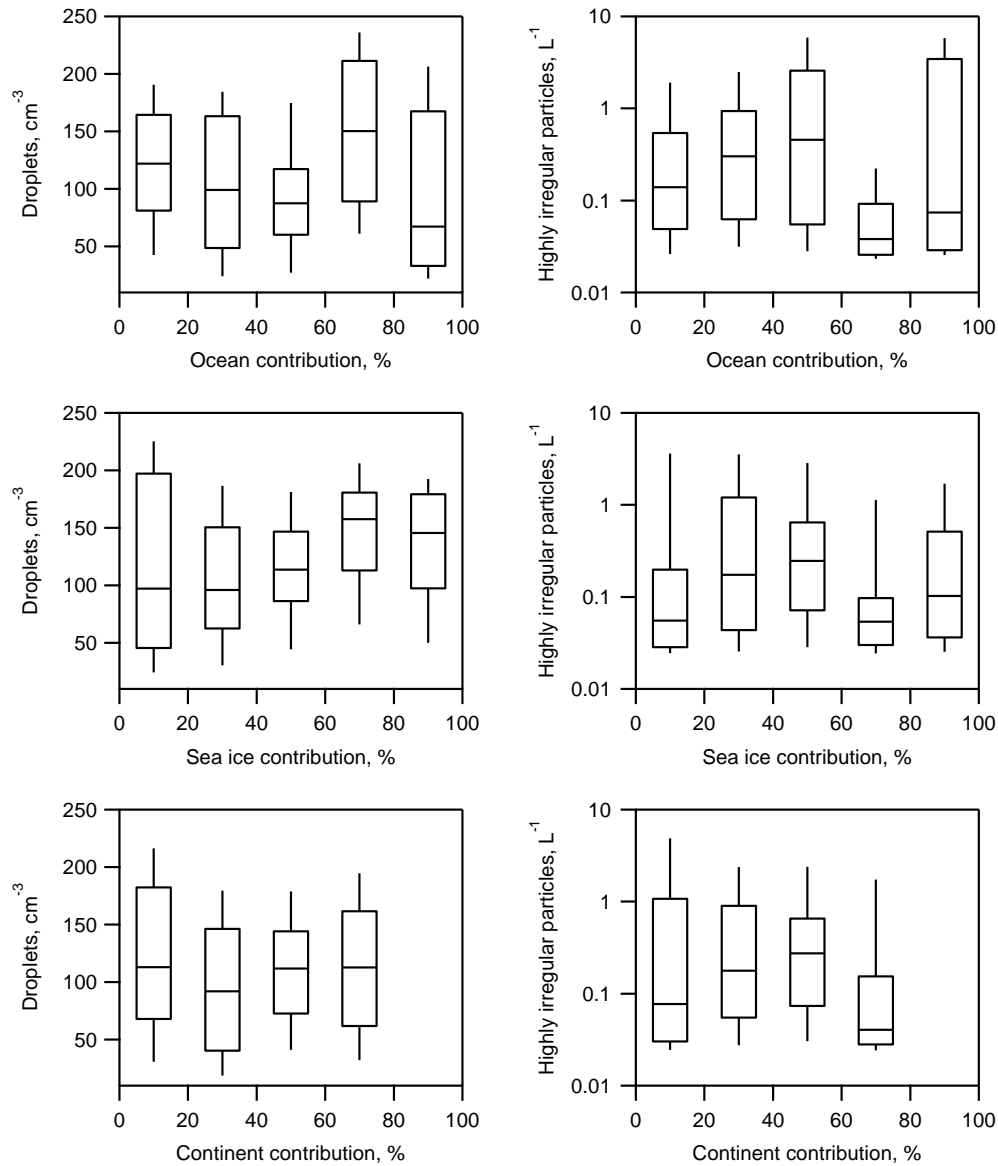
2 *Figure 12. Altitude profiles of CAS aerosol over the size range 0.5 to 1.5  $\mu\text{m}$  (left panels) and*  
 3 *total aerosol, greater than 10nm from the CPC (right panels). The measurements have been*  
 4 *partitioned into periods when the airmass had a high (red) and low (blue) contributions from*  
 5 *different geographic regions (see text for details).*



1

2 Compared to the aerosol measurements the concentrations of cloud droplets and 2DS irregular  
3 particles are found to be less dependent on air mass history. Figure 13 shows these variables as  
4 a function of the relative surface influence from the Southern Ocean, sea ice and the  
5 continent. The concentration of ice in the clouds is found to decrease for air masses with  
6 increasing influence from the ocean. However, due to ice in the clouds being relatively  
7 infrequently observed the significance of this relationship cannot be determined. The effects  
8 of air mass history cannot easily be deconvolved from differences in sampling strategy or  
9 cloud properties (e.g. humidity, temperature, dynamics, and secondary ice production). The  
10 strongest relationship between aerosols and air mass history is for particles 0.5 to 1.5  $\mu\text{m}$  this  
11 is only a small proportion of the total CCN. While the CPC will observe the CCN but also  
12 smaller particles. Also, given that the majority of measurements were conducted over broken  
13 sea ice, it may be that the CCN origin may be more local and not show up in the far field  
14 trajectories.

15



1

2 *Figure 13. The concentration of cloud droplets and 2DS highly irregular particles as function*  
 3 *of the airmass's contribution from the Southern Ocean, sea ice and the continent (see text for*  
 4 *details). Boxes give the 25<sup>th</sup> and 75<sup>th</sup> and the whiskers are the 10<sup>th</sup> and 90<sup>th</sup> percentiles for*  
 5 *each regional contribution bin.*

6

#### 7 **4 Discussion**

8 This section summarise the observations presented in the paper and discusses the important  
 9 microphysical processes. The cloud types were generally stratus, both single and multiple  
 10 layers, predominantly between -20 and -3 °C. These were dominated by super cooled liquid  
 11 drops, with a median concentration of 113 cm<sup>-3</sup>. Droplet concentrations were relatively

1 consistent during the campaign with an inter-quartile range of  $86 \text{ cm}^{-3}$ . The exceptions to this  
2 were when the droplets were depleted by high ice concentrations and also flight 217 where  
3 anomalously high droplet concentrations were observed, which was associated with an  
4 enhanced aerosol layer below cloud. Similar to Arctic layer clouds (McFarquhar et al., 2007),  
5 liquid content and cloud drop effective radius both increased with distance from cloud base  
6 likely due to condensational growth. Collision coalescence may also have contributed to this  
7 increase in effective radius. However, droplet number concentration was relatively invariant  
8 to position within the cloud.

9 Ice in the clouds exhibited a high degree of variability, occurring in small patches. Constant  
10 altitude runs by the aircraft through clouds at slightly supercooled temperatures ( $> -10^\circ\text{C}$ )  
11 showed ice-free regions with patches of high ice concentrations ( $>1 \text{ L}^{-1}$ ). This variability is  
12 shown to exist over small spatial scales and may be a consequence of very low INP  
13 concentrations, where secondary processes may significantly amplify small differences in INP  
14 concentrations. This makes predicting in detail where ice will form in a given cloud extremely  
15 challenging. A detailed understanding of where the first ice will occur and also the conditions  
16 required for secondary production is needed. Here we examine this variability and discuss  
17 some of the potential controlling factors.

18

#### 19 **4.1 First Ice**

20 First we examine the nature and sources of the INP. Global primary ice nucleation below  
21 approximately  $-15^\circ\text{C}$  is thought to be dominated by soot and mineral dusts (Möhler et al.,  
22 2006; Murray et al., 2012; Niemand et al., 2012). However, this is colder than the cloud top  
23 temperatures generally observed during MAC. Biological species (pollen, bacteria, fungal  
24 spores and plankton) are the only INP that are known to be active at temperatures higher than  
25 approximately  $-15^\circ\text{C}$  (Alpert et al., 2011; Murray et al., 2012; Wilson et al., 2015). Bioaerosol  
26 measurements at the CASLab show episodic high concentrations up to several per litre. This  
27 temporal variability in bioaerosol may be analogous to the spatial variability of the ice  
28 crystals observed in the clouds. Source apportionment of the bioaerosol at Halley is uncertain  
29 with the available dataset, but may include contributions from 1) the re-suspension of material  
30 from the local ice and snow surface, 2) coastal ice margin zones in Halley Bay where bird

1 colonies are present and 3) long-range transport. The bioaerosol measurements will be  
2 presented and discussed in detail in a separate paper.

3 It is possible that the cloud layers sampled in MAC are seeded by precipitation from higher  
4 layers where the temperatures are low enough for dust to be active as an INP. During MAC  
5 the flights were designed so that measurements were performed between cloud layers to  
6 determine whether ice seeding from the upper layers was occurring. The frontal cloud  
7 sampled in flight 224 showed extensive ice precipitating between cloud layers and the cloud  
8 top temperature (below  $-20\text{ }^{\circ}\text{C}$ ) was sufficiently low for dust to be a potential source of ice  
9 nuclei. In the case of stratus clouds, those were not found to be seeded by layers at low  
10 enough temperatures for any dust to be active as an INP. Furthermore, single layer clouds  
11 such as those sampled in flights 219 and 227 still showed the patchy ice behaviour.

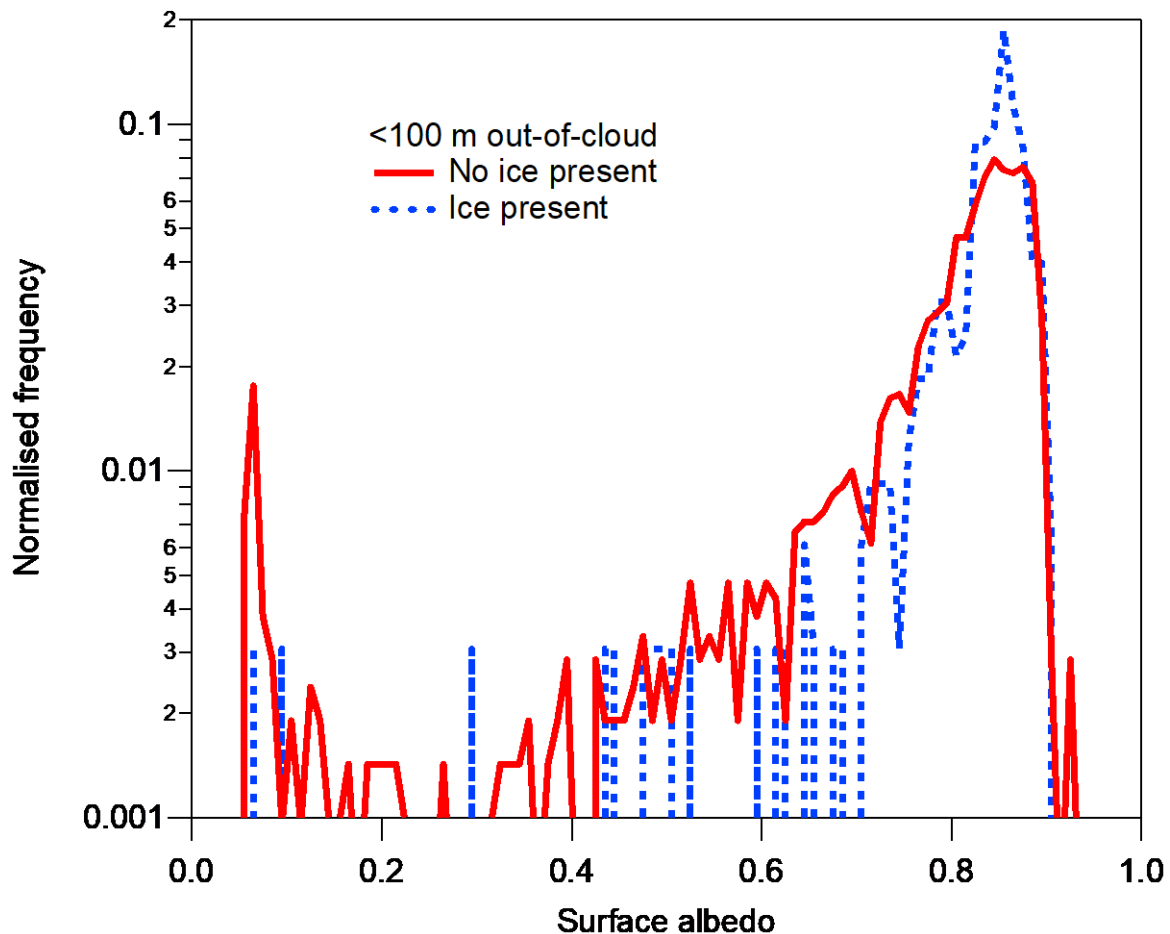
12 Detailed measurements of aerosol composition were not available on the aircraft. No clear  
13 relationship could be identified between the local aerosol concentrations (both above and  
14 below cloud) and the presence of ice in the clouds. However, only a small proportion of the  
15 total aerosol population are expected to be INP. Below approximately 1000 m (where most of  
16 MAC measurements were performed) there is a broad trend of ice being more frequent with  
17 decreasing altitude. A similar relationship is observed for the concentration of particles  
18 between 0.5 and 1.6  $\mu\text{m}$  (Fig. 9). However, this may in part be due to secondary ice  
19 production being efficient at these relatively high temperatures. Jackson et al. (2012) found a  
20 correlation ( $R=0.69$ ) between the above cloud aerosol ( $0.1 < D < 3\ \mu\text{m}$ ) and ice  
21 concentrations in Arctic stratocumulus clouds. However these clouds were generally at lower  
22 temperatures (cloud top temperature  $< -10^{\circ}\text{C}$ ) than those during MAC and as a result are  
23 likely to have a higher proportion of primary ice production.

24 The surface may also be an ice crystal source either through blowing snow (Ardon-Dryer et  
25 al., 2011) or frost flowers (Gallet et al., 2014; Lloyd et al., 2015b). These will be most  
26 important for clouds in contact with the surface (Vali et al., 2012), but may also be relevant  
27 for low clouds when the humidity is sufficiently high that the crystals do not evaporate whilst  
28 being transported to the cloud base (Geerts et al., 2015). Space-borne lidar measurements of  
29 blowing snow over Antarctica found the thickness of these layers ranging between their  
30 detection limit (30 m) up to 1000 m, with an average thickness of 100 m. Approximately 71%  
31 of these layers were less than 100 m thick and 25% were between 100 and 300 m thick (Palm  
32 et al., 2011). Similarly, lidar measurements at the South Pole found that layers were generally

1 less than 400 m thick (63%), but could be up to 1000 m thick. Blowing snow is almost always  
2 constrained to the planetary boundary layer (Mahesh, 2003). The lofting of snow is complex;  
3 it is dependent on a range of variables, including: the snow type and surface meteorology (e.g.  
4 wind speed, turbulent mixing, temperature and humidity). A threshold wind speed of 7 to 10  
5  $\text{m s}^{-1}$  is typically required (Dery and Yau, 1999). However, smaller crystals may show  
6 substantial fluxes at lower wind speeds. Aerosol fluxes from evaporated frost flowers have  
7 been estimated at  $10^{-6} \text{ m}^{-2} \text{ s}^{-1}$  at wind speeds as low as  $1 \text{ m s}^{-1}$  (Xu et al., 2013).

8 Evaluating the impact of these mechanisms during MAC is challenging since most of the in-  
9 cloud sampling was performed over snow covered sea ice, making it difficult to attribute local  
10 differences in the microphysics to the surface type. Figure 14 shows histograms of the surface  
11 albedo for out-of-cloud measurements (below 100 m) when there was (blue line) and was not  
12 (red line) ice observed. Here the surface albedo is used as a proxy for the surface type, since  
13 values near 0 correspond to overflying open water and the values near 1 correspond to a  
14 snow/ice covered surface. Figure 14 suggests that ice measured by the aircraft while out cloud  
15 (below 100 m) almost exclusively occurred when overflying a snow/ice covered surface,  
16 implying a link between the surface type and the presence of ice in the clouds. The ice  
17 measured on the aircraft when out-of-cloud could either have originated from the surface or  
18 precipitated from clouds above. However, it should be noted that very few measurements  
19 were made over open water regions.

20



1  
 2 *Figure 14. Histograms of the surface albedo for of out-of-cloud measurements (below 100 m)*  
 3 *when there was (blue line) and was not (red line) ice detected.*

4  
 5 Flight 218 (Fig. 7) is one case where the first ice development may be due to surface ice  
 6 crystals. During this flight ice was observed precipitating below cloud base. The majority of  
 7 this ice precipitation was detected when flying over snow covered sea ice rather than open  
 8 water. Given the relatively low cloud base (300m), strong surface horizontal winds (5 to 10 m  
 9  $s^{-1}$ ) and a relative humidity approaching 100% it is plausible that ice from the surface (e.g.  
 10 from blowing snow) could mix up to cloud base, thus providing the first ice to the cloud. The  
 11 sublimation rate of an ice crystal is largely dependent on the humidity. A 100  $\mu m$  ice crystal  
 12 at 0°C will have a lifetime of the order 100s at a relative humidity of 80%. At relative  
 13 humidities of 90% and 95% the lifetime can be over 200 s and 400 s, respectively (Thorpe and  
 14 Mason, 1966). The ice crystals below cloud had similar habits to those observed in the cloud  
 15 (a mixture of columns and rimed crystals) indicating they had not originated from the surface.

1 However, only low concentrations of primary ice from the surface is needed if the ice is then  
2 able to multiply within the cloud due to secondary processes (Crawford et al., 2012).

3

#### 4 **4.2 Secondary Ice**

5 Previous ice crystal observations over the Antarctic Peninsula show a similar behaviour to  
6 those during MAC with a peak in ice concentrations ( $> 1 \text{ L}^{-1}$ ) at approximately  $-5^\circ\text{C}$ .  
7 Grosvenor et al. (2012) and Lachlan-Cope et al. (2016) attribute this to secondary ice  
8 production through the Hallett-Mossop process, where ice splinters are produced when a  
9 droplet freezes subsequent to colliding with an ice crystal (riming) (Hallett and Mossop,  
10 1974). This can lead to rapid ice multiplication as the splinters freeze further drops, resulting  
11 in more splinters. Laboratory experiments suggest that this process is efficient over a narrow  
12 temperature range ( $-8$  to  $-3^\circ\text{C}$ ) with a peak at  $-5^\circ\text{C}$  (Mossop, 1976). Images from the 2DS  
13 probe at temperatures higher than  $-10^\circ\text{C}$  generally show rimed crystals and small columns  
14 (Fig. 10a). These habits are generally observed when the Hallett-Mossop production  
15 mechanism is thought to be occurring (Crosier et al., 2011; Lloyd et al., 2015a).

16 A number of other secondary ice mechanisms have previously been identified, these include:  
17 large drops producing ice splinters when they freeze (Rangno and Hobbs, 2001; Lawson et  
18 al., 2015); and the break-up of ice crystals, generally either fragile dendrites due to  
19 sublimation, turbulence (Bacon et al., 1998) or because of collisions between crystals (Yano  
20 and Phillips, 2011). However, all these processes have generally only been observed to be  
21 efficient at temperatures lower than approximately  $-10^\circ\text{C}$ , which is lower than the  
22 temperature of the majority of clouds sampled during MAC. Taylor et al. (2015) suggest that  
23 the drop-freezing secondary ice production, identified by Lawson et al. (2015), may have  
24 occurred at temperatures higher than  $-10^\circ\text{C}$  in their measurements of cumulus clouds.  
25 However, they were not able to deconvolve its effects from the Hallett-Mossop mechanism.  
26 We have not performed automatic habit recognition on the 2DS images taken during MAC,  
27 however, inspecting the images “by-eye” suggests that the drop shattering events observed by  
28 Lawson et al. (2015) were not common during MAC.

29 The exact requirements for secondary ice production through Hallett-Mossop are still  
30 uncertain. It is thought that only a small amount of primary ice is needed for it to be  
31 initiated, and recent model studies suggest this could be as low as  $0.01 \text{ L}^{-1}$  (Crawford et al.,

1 2012; Huang et al., 2017). Laboratory experiments suggest that production rates are  
2 proportional to the accumulation of large drops ( $>24 \mu\text{m}$ ) (Mossop and Hallett, 1974).  
3 However, more recent field measurements found that estimated crystal production rates gave  
4 better agreement with observed ice concentrations if this constraint on drop diameter was  
5 removed (Crosier et al., 2011). Observations of Arctic mixed phase clouds found that the  
6 presence of precipitating ice particles ( $> 400 \mu\text{m}$ ) was correlated with the number of large  
7 drops ( $>30 \mu\text{m}$ ), however the precise nucleation mechanism through which this occurred was  
8 uncertain (Lance et al., 2011). During MAC both the analysis of individual case studies and  
9 the statistics for the whole campaign do not suggest that the concentration of large drops and  
10 ice crystals were related. However, any simple relationship is likely to be complicated as ice  
11 crystal growth will deplete the drops through riming and the Wegener-Bergeron-Findeisen  
12 process. This is shown in Fig. 5 and 6b where the highest ice concentrations correspond to  
13 relatively low droplet concentrations.

14 Flights 226, 227 and 228 involved sequential vertical profiles to examine the dependency of  
15 ice on the clouds vertical structure. No link was identified between the presence of ice in the  
16 vertical profile and local variations in cloud top temperature. However, since the first ice  
17 occurs over small spatial scales, any relationship may be obscured by the aircraft's horizontal  
18 motion whilst changing altitude. As a result the precise cloud top temperature, and its  
19 variability, directly above the glaciated regions of the clouds is not known.

20

## 21 **5 Conclusions**

22 Understanding the cloud in the Antarctic is essential to accurate predictions of future climate  
23 change. We have reported unique observations of cloud and aerosol properties over coastal  
24 Antarctica and the Weddell Sea. The aerosol was predominantly hygroscopic in nature, with  $\kappa$   
25 being consistent with previous measurements and model predictions for remote locations  
26 dominated by marine emissions. The concentration of large aerosols ( $0.5$  to  $1.6 \mu\text{m}$ ) decreased  
27 with altitude, as would be expected, through sea salt/sulphate aerosol being rapidly removed  
28 by cloud processing or sedimentation. Higher aerosol concentrations were observed in  
29 airmasses that travelled over the Southern Ocean/sea ice compared to those from the main  
30 Antarctic Continent.

31 In contrast to the aerosol concentrations, the droplet and ice concentrations showed minimal  
32 dependence on airmass origin, it may be that the CCN origin may be more local and not show



1 up in the far field trajectories The cloud types were generally stratus, both single and multiple  
2 layers, at temperatures between -20 and -3 °C. These were dominated by super-cooled liquid  
3 drops, with a median concentration of 113 cm<sup>-3</sup>. Droplet concentrations were relatively  
4 consistent throughout the campaign with an inter-quartile range of 86 cm<sup>-3</sup>. The exceptions to  
5 this were cases when the concentrations became depleted by high ice concentrations, and also  
6 during Flight 217 when anomalously high droplet concentrations were observed; this was  
7 associated with an enhanced aerosol layer below the cloud layer. Both liquid water content  
8 and drop effective radius increased near cloud top.

9 Ice in the clouds exhibited a high degree of inhomogeneity occurring in small patches. Below  
10 approximately 1000 m ice was more frequent at higher temperatures, however even within the  
11 -8 to -3 °C temperature range where Hallett-Mossop secondary production is most active, the  
12 clouds were predominantly liquid. When ice was present within the temperature range -8 to -3  
13 °C it seems likely that secondary ice production, through the Hallett-Mossop process, resulted  
14 in concentrations that were 1 to 3 orders of magnitude higher than the number of INP  
15 predicted by conventional primary ice nucleation schemes. The source of first ice in the  
16 clouds is currently uncertain. First ice in the clouds often occurs at temperatures above -10  
17 °C, this may be due to the presence of biogenic particles that are active INP at these  
18 temperatures or alternatively (or indeed simultaneously) ice from the surface (e.g. blowing  
19 snow or frost flowers) could be lofted into the clouds.

20 This paper has presented the most detailed in situ observations of coastal Antarctic clouds and  
21 their surrounding aerosol properties to date. Upcoming studies will use the MAC observations  
22 to test and improve the representation of Antarctic clouds in numerical weather/climate  
23 models in this particularly important region.

24

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