

# 1 Quantifying methane emissions from natural gas production in 2 northeastern Pennsylvania

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22 **Abstract.** Natural gas infrastructure releases methane (CH<sub>4</sub>), a potent greenhouse gas, into the atmosphere. The estimated  
23 emission rate associated with the production and transportation of natural gas is uncertain, hindering our understanding of its  
24 greenhouse footprint. This study presents a new application of inverse methodology for estimating regional emission rates  
25 from natural gas production and gathering facilities in northeastern Pennsylvania. An inventory of CH<sub>4</sub> emissions was  
26 compiled for major sources in Pennsylvania. This inventory served as input emission data for the Weather Research and  
27 Forecasting model with chemistry enabled (WRF-Chem), and atmospheric CH<sub>4</sub> mole fraction fields were generated at 3 km  
28 resolution. Simulated atmospheric CH<sub>4</sub> enhancements from WRF-Chem were compared to observations obtained from a  
29 three-week flight campaign in May 2015. Modelled enhancements from sources not associated with upstream natural gas  
30 processes were assumed constant and known and therefore removed from the optimization procedure, creating a set of  
31 observed enhancements from natural gas only. Simulated emission rates from unconventional production were then adjusted  
32 to minimize the mismatch between aircraft observations and model-simulated mole fractions for ten flights. To evaluate the  
33 method, an aircraft mass balance calculation was performed for four flights where conditions permitted its use. Using the  
34 model optimization approach, the weighted mean emission rate from unconventional natural gas production and gathering  
35 facilities in northeastern Pennsylvania approach is found to be 0.36% of total gas production, with a 2σ confidence interval

36 between 0.27-0.45% of production. Similarly, the mean emission estimates using the aircraft mass balance approach is  
37 calculated to be 0.40% of regional natural gas production, with a  $2\sigma$  confidence interval between 0.08-0.72% of production.  
38 These emission rates as a percent of production are lower than rates found in any other basin using a top-down methodology,  
39 and may be indicative of some characteristics of the basin that makes sources from the northeastern Marcellus region unique.

## 40 **1 Introduction**

41 The advent of hydraulic fracturing and horizontal drilling technology has opened up the potential to access vast reservoirs of  
42 natural gas previously inaccessible, shifting energy trends in the United States away from coal and towards natural gas (EIA,  
43 2016b). From a greenhouse gas (GHG) emissions perspective, natural gas has the potential to be a cleaner energy source  
44 than coal. For every unit of energy produced, half as much carbon dioxide ( $\text{CO}_2$ ) is emitted through the stationary  
45 combustion of natural gas in comparison to coal (EPA, 2016). However, during the process of extracting and distributing  
46 natural gas a percentage of the overall production escapes into the atmosphere through both planned releases and unintended  
47 leaks in infrastructure. Though these emissions may be small from an economic perspective, their climatological impacts are  
48 not negligible (Alvarez et al., 2012; Schwietzke et al., 2014). Methane ( $\text{CH}_4$ ), the main component of natural gas, is a potent  
49 greenhouse gas with a global warming potential over a 20-year period ( $\text{GWP}_{20}$ ) of 84 (Myhre et al., 2013). Over a 100-year  
50 period the GWP is reduced to 28 due mostly to interactions with the hydroxyl radical which transform the  $\text{CH}_4$  molecule to  
51  $\text{CO}_2$ . Depending on which timespan is used, the relative climatological impacts of natural gas as an energy source compared  
52 to coal can vary. Using the  $\text{GWP}_{20}$  value, it is estimated that a natural gas emission rate of greater than 3% of total gas  
53 production would result in a natural gas power plant having a more negative impact on the climate than a coal-powered  
54 plant. Using the  $\text{GWP}_{100}$  value, this emission rate threshold shifts to 10% of production (Schwietzke et al., 2014; Alvarez et  
55 al., 2012). Complicating matters further, the future climate impacts associated with an increased availability of natural gas  
56 extends well beyond a simple greenhouse gas footprint comparison against coal. Lower fuel prices linked to this new  
57 reservoir of energy can change the course of future energy development globally. With many states and countries attempting  
58 to find a suitable balance between their energy policies and greenhouse gas footprint, it is important for the scientific  
59 community to be able to quantify and monitor natural gas emission rates.

60 The drilling and transportation of natural gas can be broken down into five stages: production, processing, storage,  
61 transmission, and distribution. The United States Environmental Protection Agency (EPA) uses a bottom-up approach to  
62 quantify these emissions, estimating emission rates per facility or component (such as a compressor, unit length of pipeline,  
63 pneumatic device) or an average emission per event (such as a well completion or liquids unloading). These “emission  
64 factors” are then multiplied by nationwide activity data containing the number of components or events associated with each  
65 emission factor, and a total emission rate is produced for the country (EPA, 2015b). This bottom-up approach is a practical  
66 methodology for estimating emissions over a large scale but has limitations. A bottom-up inventory depends on the quality  
67 and quantity of its emission factors and activity data. Emissions from sources in the natural gas industry can be temporally

68 variable and have a wide range of values depending on a number of factors, such as the quality and age of the device and the  
69 gas pressure moving through the component. Furthermore, recent studies have shown that a majority of emissions comes  
70 from a small percentage of devices, often referred to as “super-emitters”, creating a long-tail distribution of emission sources  
71 (Brandt et al., 2014, Omara et al., 2016, Zavala-Araiza et al., 2015, 2017, Frankenberg et al., 2016). These factors make it  
72 difficult to sample enough devices and adequately describe the mean emission rate, thus allowing for significant  
73 representation errors in the emission factors. Because emission factors are required for hundreds of different components,  
74 these errors can accumulate and lead to systematic biases in the total emissions estimate.

75 One way to compliment results based on inadequate sample sizes in the bottom-up approach is to measure the  
76 aggregated enhancement in the atmospheric mole fraction at larger scales through a top-down approach. Instead of  
77 measuring emissions from individual devices and scaling up, a top-down approach takes atmospheric greenhouse gas  
78 concentrations measured downwind of a continent (e.g. Bousquet et al., 2006), a region (e.g. Lauvaux et al., 2008), a city  
79 (e.g. White et al., 1976, Mays et al., 2009, Lamb et al., 2016) or a facility (e.g. Ryerson et al., 2001) and uses inverse  
80 methodologies to attribute the enhancements to potential sources upwind. One of these methods, the aircraft mass balance  
81 technique, has been performed at many different oil and gas fields to characterize natural gas emissions (Petron et al., 2012,  
82 Karion et al., 2013, 2015, Peischl et al., 2015, Conley et al., 2016). While this methodology is able to capture surface fluxes  
83 over a large region, it remains difficult to attribute the emissions to any individual source (Cambaliza et al., 2014). Any  
84 sources from within the flux region that emit CH<sub>4</sub> will be measured in the downwind observations and be a part of the  
85 aggregated regional enhancement. Atmospheric observations may include other sources of CH<sub>4</sub> unrelated to natural gas, such  
86 as anaerobic respiration from landfills and wetlands, enteric fermentation from cattle, anaerobic decomposition of manure,  
87 CH<sub>4</sub> seepage from coal mining, and many other smaller sources. If the purpose of the study is to solve for the emissions from  
88 the natural gas industry, emissions from all sources unrelated to natural gas must be known and removed from the regional  
89 flux estimate. Thus, top-down experiments require an accurate CH<sub>4</sub> inventory of the study area and any errors associated  
90 with the inventory will propagate into the final emissions estimate. A more advanced technique to separate out non-natural  
91 gas sources has been developed using ethane as a tracer for natural gas (Smith et al., 2015). However, such methods may  
92 struggle in dry gas basins where smaller ethane to methane ratios within the gas can make the ethane signature more difficult  
93 to separate out, or in regions where multiple ethane sources are present. And similar to bottom-up methods, top-down studies  
94 fail to address temporal variability, with observations from many of these studies having been collected during a limited  
95 number of 2 to 4 hour aircraft flights performed over a period of weeks.

96 In recent years, both bottom-up and top-down studies have aimed at calculating natural gas emission rates, with  
97 bottom-up studies generally finding smaller emission rates than their top-down counterparts (Brandt et al., 2014). The  
98 discrepancy between the results from these two methodologies must be better understood if the true emission rate is to be  
99 known. Both the bottom-up and top-down approaches have their own inherent sources of error. For the bottom-up approach,  
100 a small sample size could result in the omission of any super-emitters, resulting in a low emissions bias. For the top-down

101 approach, difficulty in attributing the measured enhancements to their correct sources can lead to errors when solving for the  
102 emissions of a particular sector.

103 Top-down emission estimates of individual basins have shown variation in the emission rate across the different  
104 basins. An aircraft mass balance performed over the Barnett shale in Texas found an emission rate between 1.3-1.9% of  
105 production (Karion et al., 2015), yet a similar mass balance study executed over unconventional wells in Uintah County,  
106 Utah, calculated an emission rate between 6.2-11.7% of production (Karion et al., 2013). Differences in regional emission  
107 rates can perhaps best be illustrated by recent studies in the Marcellus region. The Marcellus shale gas play is part of the  
108 Marcellus geological formation running close to the Appalachian mountain chain from West Virginia to southern New York  
109 and contains an estimated 140 billion cubic feet of technically recoverable natural gas (EIA, 2012). Reaching peak  
110 production by the end of 2015, the Marcellus is the largest producing shale in the U.S., producing 17,000 million standard  
111 cubic feet per day (MMSCFD) of natural gas (EIA, 2016a). A bottom-up study measuring emissions from 17 unconventional  
112 well-sites in the Marcellus found a median emission rate from the wells of 0.13% of production, but estimated a mean  
113 emission rate between 0.38-0.86% of production due to the potential presence of super-emitters which would skew the mean  
114 emission rate towards values higher than the median (Omara et al., 2016). An aircraft mass balance study over northeastern  
115 Pennsylvania calculated an emission rate between 0.18-0.41%, a number that accounted for emissions from the production,  
116 processing, and transmission of the gas (Peischl et al., 2015). Both of these derived estimates fall below emission rates  
117 calculated throughout other basins and are below the 3% threshold required for natural gas to be a smaller climate pollutant  
118 in comparison to coal over a 20-year timescale. The low rates in the Marcellus compared to other regions could be the result  
119 of a systematic difference within the Marcellus that leads to a more efficient extraction of natural gas. However, while useful  
120 as a first-guess estimation, current studies performed in the region are based on relatively small sample sizes (1 aircraft mass  
121 balance and 88 individual well measurements). A more thorough analysis of the emission rate in the Marcellus would  
122 provide insight into regional differences in CH<sub>4</sub> emissions from different shale basins and help improve national estimates of  
123 emissions from natural gas.

124 This study seeks to provide confidence in the emission rate for the northeastern Marcellus by performing the most  
125 thorough top-down analysis of the northeastern Marcellus region to date. CH<sub>4</sub> measurements were taken from aircraft  
126 observations across 10 flights in northeastern Pennsylvania. A new implementation of modelling CH<sub>4</sub> mole fractions is  
127 developed to track complex plume structures associated with different emitters, and an optimal natural gas emission rate is  
128 solved for each of the 10 flights. An aircraft mass balance technique is also conducted for 4 of the flights and natural gas  
129 emission estimates from this method are compared to those calculated using the modelling technique. Using information on  
130 the uncertainty with both methods, a regional emission rate is calculated for the natural gas industry in the northeastern  
131 Marcellus region.

## 132 **2 Methods**

133 The objective of this study is to quantify CH<sub>4</sub> emissions coming from unconventional wells and compressor stations,  
134 henceforth referred to as upstream natural gas emissions, in the northeastern Marcellus region (defined as the area contain  
135 within 41.1-42.2°N 75.2-77.6°W, see Figure 1) through two different top-down methodologies. CH<sub>4</sub> observations from  
136 aircraft data are collected for ten (10) individual flights over a three-week period in May 2015. These data are used to solve  
137 for the upstream natural gas emission rate using an aircraft mass balance approach. Additionally, a CH<sub>4</sub> emissions inventory  
138 for the region is compiled and input into an atmospheric transport model described below. CH<sub>4</sub> concentrations are modelled  
139 for each flight, and the upstream natural gas emission rate within the model is optimized to create the best match between  
140 aircraft observations and model projected enhancement, providing another estimate for the upstream natural gas emission  
141 rate. The sections below detail the regional CH<sub>4</sub> inventory, the aircraft campaign, the transport model, the model  
142 optimization technique, and the mass balance approach used in this study.

143

### 144 **2.1 Regional Methane Emission Inventory**

145 In this study we characterize emissions from the natural gas industry into five different sectors: emissions from wells,  
146 emissions from compressor facilities, emissions from storage facilities, emissions from pipelines, and emissions in the  
147 distribution sector.

148 To estimate CH<sub>4</sub> emissions from the production sector of the natural gas industry, data were first obtained on the  
149 location and production rate of each unconventional well from the Pennsylvania Department of Environmental Protection  
150 Oil and Gas Reporting website (PADEP, 2016) and the West Virginia Department of Environmental Protection (WVDEP,  
151 2016). To convert the production rate into an emission rate, we need to assume a first-guess as to the expected leakage from  
152 wells in the area. A first-guess natural gas emission rate of 0.13% was applied to the production value of each of the 7000+  
153 producing unconventional wells based on the median rate from Omara et al., (2016). The natural gas emission rate was then  
154 converted to a CH<sub>4</sub> emission rate by assuming a CH<sub>4</sub> composition in the natural gas of 95% (Peischl et al., 2015).

155 In addition to unconventional wells, the domain also contains more than 100,000 shallow conventional wells.  
156 Annual conventional production rates for the year 2014 were obtained through the PADEP Oil and Gas Reporting website,  
157 the WVDEP, and the New York Department of Environmental Conservation (NYDEC, 2016). Despite the large number of  
158 wells, the average conventional well in PA produces 1% of the natural gas compared to its unconventional counterpart.  
159 However, it is speculated that the older age of these wells and a lack of maintenance and care for them results in a higher  
160 emission rate for these wells as a function of their production (Omara et al., 2016). A first-guess natural gas emission rate of  
161 11% was applied to the production values of the conventional wells based on the median emission rate from the wells  
162 sampled in Omara et al., (2016). Similar to the unconventional wells, the natural gas emission rate was then converted to a  
163 CH<sub>4</sub> emission rate by assuming a CH<sub>4</sub> composition in the natural gas of 95%.

164 Compressor stations located within the basin are responsible for collecting natural gas from multiple well locations,  
165 removing non-CH<sub>4</sub> hydrocarbons and other liquids from the flow, and regulating pressure to keep gas flowing along  
166 gathering and transmission pipelines, and can be a potential source for methane emissions. Data for compressor station  
167 locations and emissions comes from a dataset used in Marchese et al., (2015). A total of 489 compressor facilities are listed  
168 for Pennsylvania, with 87% of the listed facilities also containing location data. Emissions for each compressor station are  
169 calculated through two different methodologies. In the simplest case, a flat emission rate of 32.35 kg hr<sup>-1</sup> is applied for each  
170 station, the mean emission rate of a gathering facility in PA found in Marchese et al., (2015). In the more complex scenario,  
171 the same emissions total is used as in the flat rate case, but is distributed among the compressor stations linearly as a function  
172 of their energy usage. Wattage between compressors in our dataset can vary greatly, from 10 kW for small compressors to  
173 7000 kW or more at large gathering facilities. Using the wattage as a proxy for emissions allows us to account for the size  
174 and throughput of natural gas at each station and assumes larger stations will emit more natural gas compared to smaller  
175 stations (Marchese et al., 2015).

176 Data on locations of underground storage facilities were obtained from the United States Energy Information  
177 Administration (EIA, 2015). For each of these locations, a base emission rate of 96.7 kg hr<sup>-1</sup> was applied according to the  
178 average value emitted by a compressor station associated with an underground storage facility (Zimmerle et al., 2016).

179 To calculate pipeline emissions, data on pipeline locations needed to be collected. Information on transmission  
180 pipelines, which connect gathering compressors to distribution networks, is provided by the Natural Gas Pipelines GIS  
181 product purchased from Platts, a private organization which collects and creates various infrastructural layers for the natural  
182 gas and oil industry (Platts, 2016). Gathering pipeline data, corresponding to the transfer of gas from wellheads to gathering  
183 compressors, is nearly non-existent for PA with the exception of Bradford County, which maps out all gathering pipeline  
184 infrastructure within the county border. In PA, information on the location of a gathering pipeline elsewhere is only available  
185 where a gathering line crosses a stream or river. To account for gathering pipelines in the remainder of the state, a GIS model  
186 was created using Bradford County pipelines map in addition to previously generated pipeline maps of Lycoming County  
187 (Langlois et al., 2017) as a typical pattern to simulate connecting pipelines between unconventional wells throughout the  
188 state (Figure 2). The resulting pattern follows the valley of the Appalachian Mountains, with larger pipelines crossing  
189 through the state to connect the different branches of the network. These pipelines were then multiplied by an emission  
190 factor of 0.043 kg per mile of pipe, the factor used for gathering pipeline leaks in the Inventory of U.S. Greenhouse Gas  
191 Emissions and Sinks: 1990-2013 (EPA, 2015b).

192 CH<sub>4</sub> emissions from natural gas distribution sources, coal mines, and animal/animal waste were provided from  
193 Maasackers et al., (2016), which takes national scale emissions from the EPA's greenhouse gas inventory for the year 2012  
194 and transforms it into a 0.1° × 0.1° emissions map for the continental U.S. For natural gas distribution emissions, various  
195 pipeline data was collected at a state-level and emission factors were accounted for to calculate a total distribution emission  
196 for the state. This emissions total was then distributed within the state proportional to the population density. Emission  
197 estimates for coal are calculated using information from the Greenhouse Gas Reporting Program (GHGRP) for active mines

198 and the Abandoned Coal Mine Methane Opportunities Database for abandoned mines (EPA, 2008). State-level emissions  
199 missions from enteric fermentation and manure management are provided in the EPA's inventory. These emissions were  
200 segregated into higher resolutions using county-level data from the 2012 U.S. Census of Agriculture (USDA, 2012) and  
201 land-type mapping.

202 Finally, the EPA's Greenhouse Gas Reporting Program dataset for the year 2014 was used to capture all other major  
203 sources of CH<sub>4</sub> in the region otherwise unaccounted for, the majority of which are emissions from landfills and some  
204 industrial sources (EPA, 2015a). Sources within the GHGRP that overlap with natural gas sources already accounted for  
205 within our inventory were removed to prevent redundancy.

206 Although our emissions map used for the model runs did not account for potential CH<sub>4</sub> emissions from wetland  
207 sources, a series of wetlands emission scenarios was obtained for the region using data from Bloom, et al., (2017). From this  
208 dataset, wetland CH<sub>4</sub> emissions make up only 1% of all regional CH<sub>4</sub> emissions in the most extreme scenario, and thus we  
209 assume their impact is negligible to this study.

210

## 211 **2.2 Aircraft Campaign**

212 Observations for this project were obtained from a 3-week aircraft campaign during the period of May 14th-June 3rd, 2015  
213 and are available for public access (<https://doi.org/10.15138/G35K54>). The campaign was led by the Global Monitoring  
214 Division (GMD) of the National Oceanic and Atmospheric Administration Earth Systems Research and Laboratory (NOAA  
215 ESRL), in collaboration with the University of Michigan. During this period, the NOAA Twin Otter aircraft flew throughout  
216 the northeast portion of Pennsylvania, providing a total of ten flights across nine days. The aircraft was equipped with a  
217 Cavity Ring-Down Spectroscopic analyser (Picarro G2401-m) measuring CH<sub>4</sub>, CO<sub>2</sub>, CO, and water vapour mole fractions at  
218 approximately 0.5Hz with a random error of 1 ppb, 0.1 ppm, 4 ppb, and 50 ppm respectively (Karion et al., 2013). GPS  
219 location, horizontal winds, temperature, humidity, and pressure were also recorded at 1 Hz. The majority of observations for  
220 each flight occurred during the afternoon hours at heights lower than 1500 m above ground level. Each flight contains at  
221 least one vertical profile within and above the boundary layer, with temperature and water vapour observations from these  
222 profiles used to estimate the atmospheric boundary layer height and ensure that the aircraft sampled air within the boundary  
223 layer throughout the flight. Observations suspected of being located above the boundary layer top are flagged and removed  
224 from all calculations.

225 Flight paths, wind speeds, and CH<sub>4</sub> observations for each of the 10 flights can be seen in Figure 3. For six of the ten  
226 flights, a box pattern was flown around a large portion of unconventional natural gas wells in northeastern PA. These flights  
227 were performed typically on days with a strong, steady wind, with a clearly defined upwind and downwind transect intended  
228 for use in an aircraft mass balance calculation. Five of the six box-pattern flights were composed of two loops circling the  
229 gas basin, allowing for two separate calculations of the upstream natural gas emission rate for the flight. On the remaining

230 four flights, raster patterns were performed to help identify spatial complexities of CH<sub>4</sub> emissions within the basin. All ten  
231 flights were used in the model optimization calculation of the upstream natural gas emission rate.

### 232 **2.3 Transport Model**

233 The atmospheric transport model used in this study is the Advanced Weather Research and Forecasting (WRF) model  
234 (WRF-ARW, Skamarock et al., 2008) version 3.6.1. The WRF configuration for the model physics used in this research  
235 includes the use of: 1) the double-moment scheme (Thompson et al., 2004) for cloud microphysical processes, 2) the Kain-  
236 Fritsch scheme (Kain and Fritsch 1990, Kain 2004) for cumulus parameterization on the 9-km grid, 3) the Rapid Radiative  
237 Transfer Method for general circulation models (GCMs) (RRTMG, Mlawer et al., 1997, Iacono et al., 2008), 4) the level 2.5  
238 TKE-predicting MYNN planetary boundary layer (PBL) scheme (Nakanishi and Niino 2006), and 5) the Noah 4-layer land-  
239 surface model (LSM) that predicts soil temperature and moisture (Chen and Dudhia 2001, Tewari et al., 2004) in addition to  
240 sensible and latent heat fluxes between the land surface and atmosphere.

241 The WRF model grid configuration used in this research contains two grids: 9- and 3-km, each with a mesh of  
242 202x202 grid points. The 9-km grid contains the mid-Atlantic region, the entire northeastern United States east of Indiana,  
243 parts of Canada, and a large area of the northern Atlantic Ocean. The 3-km grid contains the entire state of Pennsylvania and  
244 most of the state of New York. Fifty vertical terrain-following model layers are used, with the centre point of the lowest  
245 model layer located at ~10 m above ground level. The thickness of the layers stays nearly constant with height within the  
246 lowest 1 kilometre, with 26 model layers below 850 hPa (~1550 m AGL). One-way nesting is used so that information from  
247 the coarse domain translates to the fine domain but no information from the fine domain translates to the coarse domain.

248 The WRF modelling system used for this study also has four-dimensional data assimilation (FDDA) capabilities to  
249 allow meteorological observations to be assimilated into the model (Deng et al., 2009). With WRF FDDA, observations are  
250 assimilated through the entire simulation to ensure the optimal model solutions that combine both observation and the  
251 dynamic solution, a technique referred to as dynamic analysis. Data assimilation can be accomplished by nudging the model  
252 solutions toward gridded analyses based on observations (analysis nudging), or directly toward the individual observations  
253 (observation nudging), with a multiscale grid-nesting assimilation framework typically using a combination of these two  
254 approaches (Deng et al., 2009; Rogers et al., 2013).

255 FDDA (Deng et al., 2009) was used in this research, with the same strategy as used in Rogers et al., (2013). Both  
256 analysis nudging and observation nudging were applied on the 9-km grid, and only observation nudging was applied on the  
257 3-km grid. In addition to assimilating observations and using the North America Regional Reanalysis model as initial  
258 conditions, we reinitialize the WRF model every five days, allowing 12 hours of overlapping period in consideration of  
259 model spin-up period to prevent model errors from growing over long periods. The observation data types assimilated  
260 include standard WMO surface and upper-air observations distributed by the National Weather Service (NWS), available  
261 hourly for surface and 12-hourly for upper air, and the Aircraft Communications Addressing and Reporting System



262 (ACARS) commercial aircraft observations, available anywhere in space and time with low-level observations near the  
263 major airports.

264 The WRF model used in this study enables the chemical transport option within the model allowing for the  
265 projection of CH<sub>4</sub> concentrations throughout the domain. Surface CH<sub>4</sub> emissions used as input for the model come from our  
266 CH<sub>4</sub> emissions inventory and are all contained within the 3-km nested grid. Each source of CH<sub>4</sub> within our inventory is  
267 defined with its own tracer (Table 1), allowing for the tracking of each individual source's contribution to the overall  
268 projected CH<sub>4</sub> enhancement within the model. For this study, CH<sub>4</sub> is treated as an inert gas. The potential for interaction with  
269 the hydroxyl radical (OH), the main sink of CH<sub>4</sub>, is neglected. A calculation assuming an above-average OH mole fraction  
270 over a rural region of 0.5 pptv (Stone et al., 2012) and a reaction rate of  $6.5 \times 10^{-15}$  (Overend et al., 1975) produces a CH<sub>4</sub> sink  
271 of 0.5ppb per hour. The duration of a flight can be up to 3 hours, leading to a potential loss of 1.5ppb over the course of a  
272 flight. This loss is small but not insignificant. CH<sub>4</sub> plumes associated with natural gas during each flight ranged between 15-  
273 70 ppb, and a change of 1.5ppb could theoretically impact observations by as much as 10% of the plume signal. However,  
274 this decrease in the CH<sub>4</sub> mole fraction would likely have equal impacts on both the background CH<sub>4</sub> values as well as the  
275 enhancement. Because emission calculations are based on the relative difference between the CH<sub>4</sub> background mole fraction  
276 and the enhancement downwind, it would take a gradient in the oxidation of OH to impact the results. Considering this  
277 relatively low destruction rate, the expected homogeneity of the sink across the region, and the difficulties associated with  
278 the simulation of chemical loss processes, we assumed that the CH<sub>4</sub> mass is conserved throughout the afternoon and  
279 therefore we ignored the impact of oxidation by OH.

280

## 281 **2.4 Model Optimization Technique**

### 282 **2.4.1 Model Optimization Methodology**

283 The objective of the model optimization technique is to solve for an emission rate as a percent of natural gas production that  
284 creates the best match between modelled CH<sub>4</sub> concentration maps, provided by the transport model, with actual CH<sub>4</sub> mole  
285 fraction observations provided by the aircraft data. The optimization process in this study was originally designed to solve  
286 for natural gas emission from unconventional wells and emissions from compressor facilities separately. Because the flow  
287 rate of natural gas being processed was not available for each compressor station, emissions at each facility were originally  
288 scaled based on the size of the station. However, when running the transport model using this emissions map, enhancements  
289 from the compressor stations produced plume structures nearly identical in shape to enhancements from the unconventional  
290 wells due to the similar spatial distributions of these two tracers. Without distinct differences between the enhancement  
291 patterns from each tracer, it becomes impossible to distinguish which emissions source must be adjusted to obtain the closest  
292 match to the observations. For this reason, emissions from compressor facilities are merged with unconventional well  
293 emissions in the optimized emission rate. Though the emission rate solved for in this experiment only uses the locations and

294 production for the unconventional wells, this optimized rate represents emissions from both the wells and compressor  
295 facilities and are referred to as the modelled upstream natural gas emission rate. Midstream and downstream natural gas  
296 processes (such as processing, transmission and distribution of the gas) and emissions from conventional wells are not solved  
297 for in this study due to their minimal contribution (less than 5%) to CH<sub>4</sub> emissions in the region encompassed by the aircraft  
298 campaign.

299 Using the transport model WRF-Chem, CH<sub>4</sub> atmospheric enhancements were generated for each flight using  
300 different tracers to track different components to the overall CH<sub>4</sub> enhancement (e.g. animal/animal waste, distribution sector,  
301 industries). From these concentration fields, the upstream natural gas emission rate was solved for each flight using a three-  
302 step model optimization technique. First, a background concentration was determined for each flight and subtracted from the  
303 observations to create a set of “observed CH<sub>4</sub> enhancements,” using

$$304 \quad X_{EnhO} = X_{Obs} - X_{bg} \quad , \quad (1)$$

305 where  $X_{Obs}$  is the CH<sub>4</sub> mole fraction observation from the aircraft,  $X_{bg}$  is a chosen background value for the flight, and  
306  $X_{EnhO}$  is the calculated CH<sub>4</sub> enhancement at each observation. In this study, the background value is defined as the ambient  
307 CH<sub>4</sub> mole fraction over the region not accounted for by any of the sources within the model, with each flight having a unique  
308 background value. Box-pattern flights containing 2 loops around the basin may have a different background value assigned  
309 for each loop. To determine the background mole fraction, we start with the value of the observed mole fraction in the lowest  
310 2nd percentile of all observations within the boundary layer for a given flight or loop. This chosen background value  
311 represents the CH<sub>4</sub> mole fraction across the flight path from sources that are outside of our model domain. Because the  
312 background value is meant to represent the CH<sub>4</sub> mole fraction outside the model domain that is otherwise unaccounted for in  
313 our model, using the observations with the lowest CH<sub>4</sub> mole fraction is not always a sufficient definition for the background.  
314 On certain days, CH<sub>4</sub> enhancements from sources within the model domain can form plumes with wide spatial coverage that  
315 cover all observations during a flight. For example, during a flight the lowest CH<sub>4</sub> observations from the aircraft may be  
316 1850 ppb, but the model simulation during that period indicates that all observations within the flight are being impacted by  
317 at minimum a 20 ppb enhancement. In this case, we would set our background value for the flight at 1830 ppb, and say that  
318 our 1850 ppb observations from the flight are a combination of an 1830 ppb background in addition to a 20 ppb  
319 enhancement from sources within the model. By subtracting off this background value from our observations, we create a set  
320 of “observed CH<sub>4</sub> enhancements” which can be directly compared to the model projected enhancements

321 The next step is to remove enhancements from this set that are not associated with emissions from upstream natural  
322 gas using

$$323 \quad X_{GasO} = X_{EnhO} - X_{OtherM} \quad , \quad (2)$$

324 where  $X_{OtherM}$  is the modelled CH<sub>4</sub> enhancement at each observation from sources unrelated to upstream natural gas  
325 processes, and  $X_{GasO}$  is the observation-derived CH<sub>4</sub> enhancement associated with upstream natural gas emissions for each

326 observation. In this step, each observed CH<sub>4</sub> enhancement has subtracted from it the projected non-natural gas enhancement  
327 from the model (i.e. nearest grid point in space) using the corresponding model output time closest to the observation within  
328 a 20-minute time interval. This creates a set of observed CH<sub>4</sub> enhancements related only to emissions from upstream gas  
329 processes, filtering out potential signals from other CH<sub>4</sub> emitters and providing a set of observed enhancements that can be  
330 directly compared to the projected upstream natural gas enhancement within the model. By subtracting these other sources  
331 from the observations, we make the assumption that our emissions inventory is accurate for non-natural gas sources and that  
332 the transport of these emissions is perfect, both of which are actually uncertain. Because errors exist in both the emissions  
333 and transport, it is possible to create a negative observation-derived upstream gas enhancement if model-projected  
334 enhancements from other sources are larger than the observation-derived enhancement. From the 10 flights, 16% of the  
335 observation-derived enhancements are negative, but only 3% are negative by more than 5 ppb. To avoid solving for  
336 unrealistic negative values, these negative observation-based upstream gas enhancements are set to 0. Errors associated with  
337 this issue and other uncertainties with our inventory are examined further in the uncertainty analysis section of this paper.

338 In the final step, the upstream natural gas emission rate within the model is adjusted to create the best match  
339 between the modelled upstream gas enhancement and observation-derived upstream gas enhancement using

$$340 \quad J = X_{GasO} - C * X_{GasM} \quad (3)$$

341 where  $X_{GasO}$  and  $X_{GasM}$  are the observed and modelled enhancement for each observation. In this equation,  $J$  is a cost  
342 function we are trying to minimize by solving for a scalar multiplier  $C$  which, when applied to the modelled natural gas  
343 enhancements, creates the smallest sum of the differences between the observation-derived upstream gas enhancement and  
344 the modelled upstream enhancement. Because the emission rate within the model is linearly proportional to the model  
345 enhancements, we can solve for the upstream natural gas emission rate that minimizes the cost function using

$$346 \quad E = 0.13 C \quad (4)$$

347 where 0.13 was the first guess upstream emission rate (in percent of production) used in the model, and  $E$  is the optimized  
348 emission rate for the flight as a percentage of the natural gas production at each well. This final value represents an overall  
349 emission rate associated with both unconventional wells and compressor stations across the region.

350 The decision to use a scalar cost function rather than the sum of squares is to account for possible misalignment  
351 between any observed CH<sub>4</sub> plume and modelled plumes. There are two potential ways in which misalignment may occur.  
352 One possibility is that the modelled wind direction differs from the true wind direction, leading to a plume in the model that  
353 is off-centre in relation to the observed plume. The other possibility relates to how the model treats emissions from natural  
354 gas as a uniform percent of production. In reality the emissions are more random in nature, and thus the plume may not  
355 always develop over the wells with the largest production values. If a cost function is used that minimizes the sum of the  
356 squares, any misalignment between the modelled and observed plume will result in the peak of the modelled plume aligning  
357 with the height of the tail of the observed plume (Figure 4). Unless the observed plume aligns perfectly with the modelled

358 plume, the optimized emission rate using a sum of squares approach will always bias low. By using a scalar cost function,  
359 we solve for an optimized emission rate that results in a plume with the same area under the curve compared to the observed  
360 plume (Figure 4). This methodology is not impacted by any misalignment between the modelled vs. observed plumes,  
361 preventing the low biases associated with a sum of squares minimization.  
362

#### 363 2.4.2 Model Optimization Uncertainty Assessment

364 For each of the ten flights, an uncertainty assessment was performed to obtain a range of likely upstream emission rates for  
365 any individual flight. Five different sources of error were considered in this assessment: model wind speed error, model  
366 boundary layer height error, CH<sub>4</sub> background error, CH<sub>4</sub> emission inventory error, and model/observation mismatch error.  
367 These five sources of error vary substantially from flight to flight depending on conditions, and each can have significant  
368 impacts on the total uncertainty (Table 4, 5).

369 Errors in the modelled wind speed and boundary layer height have impacts on our emission estimates that linearly  
370 impact the results. If we assume a constant wind speed, a constant boundary layer height, and no entrainment of air from the  
371 top of the boundary layer, we can use the following equation to understand their impacts.

$$372 \Delta C = \bar{F}_0 \left( \frac{\Delta x}{U * D} \right) \quad (5)$$

373 where  $\Delta C$  is the total CH<sub>4</sub> enhancement of the column of air contained within the boundary layer,  $\bar{F}_0$  is the average emission  
374 rate over the path the parcel travelled,  $\Delta x$  is the distance the column of air travelled,  $U$  is the wind speed and  $D$  is the  
375 boundary layer height. Using this equation, we can see the linear relationship between the model wind speed, model  
376 boundary layer height, and the calculated emission rate. As an example, if wind speeds in the model are biased low, natural  
377 gas enhancements projected by the model would increase inversely. To compensate for this effect, the optimized emission  
378 rate would decrease proportionally. A similar case can be made for bias in the boundary layer height. Both errors in the wind  
379 speed and boundary layer height have known impacts on the optimized emission rate which can be corrected for, as long as  
380 the errors of each are known.

381 To calculate the error in the model wind speed, we assume aircraft observations are truth and use

$$382 U_e = \frac{\bar{U}_m - \bar{U}_{obs}}{\bar{U}_{obs}} \quad (6)$$

383 where  $\bar{U}_{obs}$  is the mean observed wind speed by the aircraft across all points within the boundary layer,  $\bar{U}_m$  is the mean  
384 modelled wind speed by the model across all points closest in time and space to each observation, and  $U_e$  is the wind speed  
385 error percentage.

386 To compute the error in the modelled boundary layer height, the observed boundary layer height for each flight is  
387 assumed to be the true boundary layer height and the boundary layer height percentage error,  $H_e$ , is estimated using:

388 
$$H_e = \frac{\bar{H}_m - \bar{H}_{obs}}{\bar{H}_{obs}} \quad (7)$$

389 where  $\bar{H}_{obs}$  is the average observed boundary layer height across each of the aircraft profiles for a given flight,  $\bar{H}_m$  is the  
390 model boundary layer height closest in time and space to the location of the observed profiles averaged over all profiles. For  
391 both the observation and the model, boundary layer heights were determined by locating height of the potential temperature  
392 inversion associated with the top of the boundary layer. On the May 22 flight where a potential temperature inversion could  
393 not easily be identified in the observations, changes in water vapour, CO<sub>2</sub> and CH<sub>4</sub> mixing ratios were used to identify the  
394 boundary layer top.

395 Errors in the model wind speed and boundary layer height are calculated for each of the ten flights. From these  
396 errors, a corrected optimized emission rate is calculated for each flight using Eq. (8):

397 
$$E_{new} = \frac{E}{(1+U_e)(1+H_e)} \quad (8)$$

398 where  $E$  is the original emission rate and  $E_{new}$  is the corrected optimized upstream natural gas emission rate as a percent of  
399 production.

400 In addition to errors related to wind speed and boundary layer height, we quantify three other sources of error in  
401 each flight: errors in the selected CH<sub>4</sub> background value, errors in the CH<sub>4</sub> inventory, and errors associated with the overall  
402 model performance (Table 5). Unlike the wind speed and boundary layer errors which have easily computable impacts on  
403 the emission estimates, these other three sources of error and their impact on the optimized emission rate are more difficult  
404 to quantify.

405 The background error relates to the value chosen for each flight which represents the ambient CH<sub>4</sub> concentration in  
406 the boundary layer unrelated to emission sources within the model. In this study background values ranged from 1897-  
407 1923ppb. Though background values should not have high variability during a 2-3 hour mid-afternoon flight, entrainment  
408 from the boundary layer top can lead to the mixing in of tropospheric air that has different CH<sub>4</sub> mole fraction values from  
409 those within the boundary layer, resulting in a change in the afternoon background value with time. Furthermore, for days on  
410 which all aircraft observations (including those upwind of the unconventional wells) are impacted by various CH<sub>4</sub> plumes  
411 predicted within the model, it is difficult to determine the background CH<sub>4</sub> concentration accurately. Additionally,  
412 observations corresponding to locations with no modelled enhancement may in fact have been impacted by missing sources  
413 in our inventory, highlighting the difficult nature of knowing with certainty where and what the background is for any given  
414 flight. Understanding this uncertainty is crucial; any error in subtracting off the background value directly impacts each  
415 observation's observed natural gas enhancement. For example, a background value of 1 ppb below the true background for a  
416 given flight would add 1 ppb to each observed natural gas enhancement for all observations, creating a high bias with the  
417 optimized upstream emission rate. To account for this error, each flight's optimization processes was rerun iterating the  
418 background value by  $\pm 5$  ppb, and the ratio of the percent change in the emission rate compared to the original case was  
419 defined as the resulting error in the emission rate due to background uncertainty. This  $\pm 5$  ppb background error range is an

420 estimate at the range of possible error in the background based on changes observed in the upwind measurements from each  
421 of the flights and is meant to be a conservative estimate of the error. The impact this error can have in the emission rate  
422 varies depending on the magnitude of the observed downwind enhancements during a flight. A plume containing a CH<sub>4</sub>  
423 enhancement of 50 ppb will have a smaller relative error from a 5 ppb change compared to one with an enhancement of only  
424 10 ppb. Thus, days with high wind speeds and a high boundary layer height (and thus enhancements of a smaller magnitude)  
425 tend to be affected the most by background errors.

426 Similar to background errors, errors from the CH<sub>4</sub> emissions inventory are difficult to quantify. In the model  
427 optimization technique, we subtract out enhancements from sources unrelated to unconventional natural gas before solving  
428 for the upstream gas emission rate. In doing so, we are making the assumption that our emissions inventory for sources  
429 unrelated to upstream natural gas processes are accurate. In truth, each emission source in our inventory comes from a  
430 different dataset and has its own unique error bounds, many of which are unknown. To simulate the potential errors  
431 associated with unknowns in our inventory, we use a Monte Carlo approach and iterate the unconventional emissions  
432 optimization approach for each flight 10,000 times, applying a random multiplier between 0-2 for each of the different  
433 sources not associated with unconventional natural gas production. The resulting range of optimized natural gas emission  
434 rates was fit to a Gaussian distribution and the 2σ emission range was calculated. Despite varying the emissions used in the  
435 error analysis by 0 to 200% their original value, their impacts on the optimized natural gas emission rate are minimal on  
436 most days due to the northeastern Marcellus region having very few emission sources not related to upstream natural gas  
437 processes. Only for the flights on May 24<sup>th</sup> do we see errors from the inventory contribute significantly to the overall daily  
438 error, when the coal plume in southwestern PA enters the centre of the study region and has a large role in the upstream  
439 natural gas emission rate calculation for that day (Table 5).

440 The final source of error attempts to quantify the similarity of the pattern of modelled and observed natural gas  
441 enhancements, referred to here as the model performance error. Figure 5 shows an example of two days, one of which the  
442 model appears to recreate the observations, and the other of which the model poorly matches the shape of the observed  
443 enhancements. Comparing these two simulations with no other information, we hypothesize that one should put more trust in  
444 the upstream natural gas emission rate calculated for the flight whose modelled upstream enhancements match structurally  
445 compared to the emission rate from the flight whose modelled enhancement bears little semblance to the observed  
446 enhancement. The model performance error is designed to account for the trustworthiness of the optimized upstream  
447 emission rate based on how well the model simulates a given day. The model performance error is calculated using a  
448 modified normalized root mean squared error formula given in Eq. (9):

$$449 \quad e_{perf} = \frac{\bar{\sigma}_{\Delta X}}{\Delta X_{gas}} \quad (9)$$

450 In this equation,  $\bar{\sigma}_{\Delta X}$  is the standard deviation of the difference between the modelled and observation-derived upstream  
451 natural gas CH<sub>4</sub> enhancement using the optimized emission rate, and  $\Delta X_{gas}$  is the observed magnitude of enhancement from

452 the major natural gas plume observed in each flight. Here,  $\Delta X_{gas}$  serves as a normalization factor to account for the varying  
 453 strength of the enhancement from flight to flight, and ensures that days with increased enhancements due to meteorological  
 454 conditions or true daily fluctuations in the upstream natural gas emissions do not proportionally impact the performance  
 455 error percentage. For example, a day with high winds and a deep boundary layer would produce smaller enhancements,  
 456 leading to a small  $\bar{\sigma}_{\Delta X}$  regardless of model performance unless normalized by  $\Delta X_{gas}$ .

457

## 458 2.5 Aircraft Mass Balance Method and Uncertainty Assessment

459 An aircraft mass balance calculation was performed for four applicable flights from the aircraft campaign as an alternative  
 460 method to calculate upstream natural gas emission rates independent of the transport model. The aircraft mass balance  
 461 approach uses the CH<sub>4</sub> enhancement between a downwind and upwind transect to calculate the total CH<sub>4</sub> flux of the area  
 462 contained between the two transects. We use the mass balance equation from Karion et al., (2013):

$$463 \quad E = \bar{U} \cos(\bar{\theta}) \int_{-b}^b \Delta X \int_{z=0}^{z_{top}} n_{air} dz dx \quad (10)$$

464 where  $E$  is the total flux (in mol s<sup>-1</sup>) coming from the enclosed flight track,  $\bar{U}$  is the mean wind speed (in m s<sup>-1</sup>),  $\bar{\theta}$  is the mean  
 465 angle of the wind perpendicular to the flight track,  $\Delta X$  is the CH<sub>4</sub> enhancement measured along the downwind flight track  
 466 from  $-b$  to  $b$  (expressed as a mole fraction),  $n_{air}$  is the molar density of air within the boundary layer (in mol m<sup>-3</sup>), and each  
 467 of the integrals represents the summing over all air being measured within our transect in both the horizontal ( $x$ ) and the  
 468 vertical ( $z$ ). By simplifying further and using the mean enhancement along each downwind transect as the enhancement and  
 469 choosing  $z_{top}$  to be the top of the boundary layer, we can transform the previous equation into the following:

$$470 \quad E = 37.3 L D U \bar{\Delta X} \cos(\bar{\theta}) \quad (11)$$

471 where  $L$  is the length of the transect (in meters),  $D$  is the depth of the boundary layer (in meters) found using observations  
 472 from vertical ascents during each flight,  $\bar{\Delta X}$  is the mean enhancement across the transect (expressed as a mole fraction),  $\bar{U}$   
 473 and  $\bar{\theta}$  are the mean wind speed (in m s<sup>-1</sup>) and wind direction relative to the angle of the transect, and 37.3 is the average  
 474 molar density of dry air within the boundary layer (in mol m<sup>-3</sup>) assuming an average temperature and pressure of 290K and  
 475 900hPa.

476 Of the 6 days from the aircraft campaign with a clearly defined upwind and downwind transect, one day (May 14<sup>th</sup>)  
 477 contained a surface high-pressure centre in the middle of the flight resulting in erratic wind patterns, and another day (May  
 478 25<sup>th</sup>) had CH<sub>4</sub> plumes from southwestern PA affecting portions the flight observations. These days were not used for a mass  
 479 balance, and calculations were performed for the remaining four box-pattern flights (May 22<sup>nd</sup>, May 23<sup>rd</sup>, May 28<sup>th</sup>, May  
 480 29<sup>th</sup>). From this list of remaining flights, three of them contained two loops around a portion of the Marcellus basin. A mass  
 481 balance was performed on each loop, resulting in a total of 7 mass balance calculations for the region across 4 days. Table 6  
 482 summarizes the results from the mass balance flights.

483 For each flight, a total flux within the box encompassed was calculated using Eq. (11). Using this flux, a natural gas  
484 emission rate based on production from within the box was calculated using Eq. (12)

$$485 \quad E_{\%} = \frac{E - E_{other}}{P} \quad (12)$$

486 where  $E$  is the total flux from Eq. (11) (in  $\text{kg hr}^{-1}$ ),  $E_{other}$  are the emissions enclosed in the box from sources not  
487 related to upstream natural gas processes (in  $\text{kg hr}^{-1}$ ),  $P$  is the total  $\text{CH}_4$  from natural gas being produced within the box (in  
488  $\text{kg hr}^{-1}$ ), and  $E_{\%}$  is the resulting natural gas emission rate as a percent of total production within the box.

489 As an error analysis for the mass balance flight, we look at four potential sources of error (Table 7). One source of  
490 uncertainty comes from the observed wind speed used in Eq. (11). For our experiment, we take the mean observed wind  
491 speed from the aircraft and assume this value represents the mean wind speed within the entire box during the 2-4 hour  
492 period it would take for air to travel from the upwind transect to the downwind transect. To understand the uncertainty and  
493 biases associated with this assumption, we recreate wind observations along the flight path using values from WRF-Chem,  
494 and compare the mean wind speed from the simulated observations to the mean model winds contained within the box  
495 integrated throughout the boundary layer during the 3 hour period closest to the flight time. By making this comparison, we  
496 are able to understand the representation error associated with treating the wind speed observations from the aircraft as the  
497 wind speed within the entire box during the period it would take for air to cross from the upwind transect to the downwind  
498 transect. On average, modelled wind speeds following the flight were 7% faster than integrated wind speeds within the box,  
499 due to the inability for aircraft observations to account for slower wind speeds closer to the surface. This bias was removed  
500 from each day's calculated wind speed. After accounting for the wind speed bias, the average error of the modelled wind  
501 speed following the flight path compared to the modelled winds within the box was 3%. This 3% uncertainty was applied to  
502 each flight and used as the potential uncertainty in the mean wind speed. Errors in the wind direction were neglected, as each  
503 flight used in the mass balance completely surrounded the basin using downwind transects at multiple angles, and thus small  
504 errors in the wind angle would result in a negligible net change on the total flux calculated.

505 Another source of uncertainty is error in the boundary layer height. For each flight, between 2-3 vertical profiles  
506 were performed, and the mean height was used in Eq. (11). The standard deviation of different heights from each transect  
507 was used as the uncertainty. On May 22<sup>nd</sup>, a boundary layer height could be interpreted from only one vertical transect. For  
508 this day, we assume an uncertainty of  $\pm 200$  m ( $\pm 9\%$ ).

509 Uncertainty in the  $\text{CH}_4$  background mole fraction was estimated similar to the boundary layer height. On three of  
510 the four flights, two upwind transects were performed. The mean observed  $\text{CH}_4$  mole fraction between the two transects was  
511 used as the background value for the entire flight, and the standard deviation between the loops was used as the uncertainty.  
512 On both the May 23<sup>rd</sup> and May 28<sup>th</sup> flights, background differences between the two transects were less than the instrument  
513 error of 1 ppb. On these days, we use the instrument error as the background error. On May 22<sup>nd</sup>, only one upwind transect  
514 was usable for the calculation. For this day, we assume a conservative estimate in the uncertainty of the background of  $\pm 5$   
515 ppb.



516 Finally, we assess uncertainty in the emissions inventory. After a CH<sub>4</sub> flux is calculated for each loop, emissions  
517 from sources contained within the box that are not associated with upstream natural gas processes must be subtracted out to  
518 solve for the upstream natural gas emission rate. Any errors associated with our inventory will result in a CH<sub>4</sub> source  
519 attribution error. To account for the potentially large uncertainty with the emission sources in our inventory, we vary these  
520 non-natural gas emissions by a factor of 2 to test the impact on the solved upstream natural gas emission rate. Because  
521 northeastern Pennsylvania contains few sources of CH<sub>4</sub> emissions outside of natural gas production, the impact of this  
522 uncertainty is typically less than 20% of the total emissions calculated within the box.

## 523 **3 Results**

### 524 **3.1 Methane Inventory**

525 From the first-guess CH<sub>4</sub> inventory created in this study, a total anthropogenic CH<sub>4</sub> emission rate of 2.76 Tg CH<sub>4</sub> year<sup>-1</sup> is  
526 projected within our inner model domain (Figure 6) with values for individual source contributions shown in Table 2. This  
527 total emissions estimate assumes a leak rate of 0.13% of gas production for unconventional wells, and does not account for  
528 emissions from natural gas transmission and storage facilities outside of PA due to a lack of information available from other  
529 states. Within the model domain, the area encompassing southwestern PA and northeastern WV stands out as the largest  
530 contributor to CH<sub>4</sub> emissions, with emissions from conventional gas, unconventional gas, and coal mines all having  
531 significant contributions to the total. In particular, the large emissions from coal make this region unique in comparison to  
532 other shales. The EPA's Greenhouse Gas Reporting Program dataset for the year 2014 lists individual coal mines in the  
533 southwestern portion of our domain as 8 of the top 10 CH<sub>4</sub> emitting facilities across the entire United States. This large area  
534 source of CH<sub>4</sub> can have an impact on CH<sub>4</sub> concentrations hundreds of kilometres downwind and must be taken into account  
535 when winds are from the southwest (Figure 7). Examples of this plume and its impacts on the aircraft campaign are  
536 discussed in Section 3.2.1.

537

### 538 **3.2 Model Optimization Results**

#### 539 **3.2.1 Case Studies**

540 From the aircraft campaign, a total of 10 flights across 9 days were used in the model optimization technique. For each one  
541 of these flights, CH<sub>4</sub> concentration fields were produced using WRF-Chem, and the emission rate from upstream gas  
542 processes was adjusted as outlined in the methods section to find the rate that best matches the total observed CH<sub>4</sub>  
543 enhancement. For box flights with two loops completed around the basin, emission rates were calculated for each loop  
544 independent from one another and then averaged for the flight. Table 3 provides the general meteorology for the 10 flights.

545 During each of the observational periods, we use the transport model to project the mole fraction enhancement  
546 across the region for each of the different CH<sub>4</sub> tracers (Figure 8). From these projections, we see three common sources of  
547 CH<sub>4</sub> which can significantly influence the observed mole fractions in our study region of northeastern PA. The first is  
548 emissions from unconventional gas in northeastern PA. Although the first-guess total emissions from upstream production in  
549 the Marcellus are small compared to the overall contributions from other sources within the domain, their proximity to the  
550 aircraft track results in unconventional gas having the largest contribution to observed enhancements throughout the domain  
551 covered by most of the flights, often producing signals downwind of about 20-80 ppb above background levels. The second  
552 most influential source of enhancements in our study region comes from various sources of CH<sub>4</sub> emissions located in  
553 southwestern PA. Despite being more than 400 km away from our study region, large plumes from coal and other sources in  
554 the southwestern corner of the state can contribute enhancements as high as 50 ppb across portions of the flight when winds  
555 are from the southwest, affecting background measurements and masking signals from the unconventional gas. One final,  
556 but less influential source of CH<sub>4</sub> enhancement is animal agriculture in southeastern PA. Lancaster County is home to  
557 roughly 20% of all cattle in the state, with more than 200,000 cattle and calves as of 2012. A southerly wind can result in a  
558 5-15 ppb enhancement across the flight path due to enteric fermentation and manure management from these cattle. Because  
559 of coal, conventional gas, and cattle sources located south of the basin, signals from flights with a southerly component to  
560 the wind can be difficult to interpret without modelling the projected plumes associated with these sources. Observations on  
561 these days contrast to days with a northerly wind component, where a lack of CH<sub>4</sub> sources north of the study region results in  
562 observations with a more clearly defined background and unconventional natural gas enhancement.

563 For each of the ten flights, variability in the model-observation offset was observed. The first loop of the May 29<sup>th</sup>  
564 flight is the best example of a case where comparisons between the modelled and observed enhancements match closely after  
565 optimization. For this flight, a box pattern was flown encompassing a majority of the unconventional wells in northeastern  
566 PA, and enhancements were observed along the western and northern transects of the flight. Modelled enhancements from  
567 sources unrelated to upstream gas emissions showed a broad CH<sub>4</sub> plume associated mostly with animal agriculture along the  
568 western edge of the flight, and a smaller enhancement on the eastern edge associated with two landfills in the  
569 Scranton/Wilkes-Barre urban corridor (Figure 9). Both of these enhancements are subtracted off from the observations to  
570 produce a set of observation-derived enhancements due to upstream natural gas production and gathering facilities. Any  
571 enhancements in this new observational dataset are located almost entirely along the northern transect of the flight, directly  
572 downwind of the natural gas activity in the region. The observation-derived upstream gas enhancement is then directly  
573 compared to the modelled upstream enhancement using its first guess emission rate, and an optimized upstream emission  
574 rate of 0.26% of production (i.e. a doubling of the first guess) is calculated by minimizing the difference between the two  
575 datasets (Figure 10).

576 The match between observed and modelled CH<sub>4</sub> enhancements on the first loop of the May 29<sup>th</sup> flight is closer than  
577 any other flight in the campaign. The success of the model on this day is likely due to a number of ideal conditions. In  
578 general, inconsistencies between the modelled and observed mean wind speeds and boundary layer heights can have a linear

579 bias on the projected enhancements, but for this flight differences between the observed and modelled wind speed and  
580 boundary layer height were near 0 for both loops (Figure 11, 12). Observed wind directions throughout the course of the  
581 flight had little directional spread and the averaged observed wind direction was only 9° different compared to modelled  
582 values, resulting in a transport of the CH<sub>4</sub> plumes that the model was able to match well. Furthermore, the observed mean  
583 wind speed was 4.6 m s<sup>-1</sup>, a moderate wind which allows for a steady transport of any enhancements towards the downwind  
584 transect, but not strong enough to dilute their magnitude, resulting in an easily observable enhancement downwind of the  
585 basin. Finally, intrusions from sources unrelated to upstream gas were small on this day due to favourable wind conditions,  
586 reducing the probability of incorrectly attributing the observed enhancements to the wrong source. Enhancements from  
587 upstream natural gas processes were between 15-40 ppb along our downwind transect. By comparison, enhancements from  
588 other sources were lower than 15 ppb along a majority of the flight, and most of these enhancements were located west of  
589 the downwind transect, making them easier to identify and remove without unintentionally impacting enhancements from the  
590 natural gas plume. All of these different factors likely contributed to producing a situation where the model was successfully  
591 able to match CH<sub>4</sub> observations during the May 29<sup>th</sup> flight.

592 Flights that occurred on days with a southwest wind had a tendency to produce CH<sub>4</sub> observations that were  
593 intuitively difficult to interpret due to convolved CH<sub>4</sub> sources in southwestern Pennsylvania. One of these complex  
594 observation sets occurred during the late afternoon flight on May 24<sup>th</sup>, 2015 (Figure 13). Observations on this day show a  
595 CH<sub>4</sub> enhancement that decreased with latitude, with higher CH<sub>4</sub> mole fractions observed farther south. Given the location of  
596 the wells in the middle of the flight path and the WSW wind pattern in the region, this north/south CH<sub>4</sub> gradient is  
597 unexpected and counterintuitive compared to where one would expect the enhancements to be based solely on the presence  
598 of the gas industry in northeastern PA. However, through modelling each of the many contributors of CH<sub>4</sub> within our  
599 inventory, we are able to recreate this latitudinal CH<sub>4</sub> gradient and better understand the observed patterns (Figure 13).  
600 Throughout an 18-hour period leading up to the May 24<sup>th</sup> flight, winds from the SSW transport emissions from coal in  
601 southwestern PA northeastward until they reach the centre of the state, where a westerly wind then shifts the plume across  
602 the study region such that it only intersects the southern half of the flight path. Because of both the magnitude of the coal  
603 emissions and an accumulation that occurred in the southwestern portion of the state during the previous night, the modelled  
604 enhancement from the coal plume is substantial (>20 ppb) as it crosses over the flight path and covers up much of the signal  
605 from upstream gas emissions. Nonetheless, the transport model is able to account for these far-reaching sources and attempt  
606 to separate out their contribution to the observed enhancements. We are able to recreate the May 24<sup>th</sup> flight observations  
607 more accurately than most other flights, with a correlation coefficient of 0.71 between the observations and model CH<sub>4</sub>  
608 values. Although the model successfully recreates the overall observed CH<sub>4</sub> pattern on this flight, attempting to match model  
609 vs. observation-derived enhancements specifically from upstream natural gas contributions is much more difficult.  
610 Contributions from non-natural natural gas sources are large such that they overwhelm much of the signal from local natural  
611 gas sources. After subtracting out non-natural gas sources from the observations, the correlation specifically between  
612 modelled and observation-derived upstream natural gas enhancements is only 0.11.

613 Despite the model's success at recreating observations from the May 24<sup>th</sup> late-afternoon flight, there is reason to be  
614 careful when interpreting results on day with observations influenced by distant sources. In particular, some transport error is  
615 unavoidable in atmospheric reanalyses, and the longer the time and distance a plume takes to reach the observations, the  
616 more its position and magnitude will be susceptible to these errors. During the early May 24<sup>th</sup> flight, a small 50 km shift in  
617 the location of the coal plume across the study region would change projected enhancements at some observations by as  
618 much as 20 ppb. Furthermore, errors in the transport speed could create scenarios where the coal plume either arrives in the  
619 study region too early or exits too late, creating a projected enhancement pattern that does not agree with the observations  
620 (Figure 14). Additionally, inaccuracies with the emission estimates of non-unconventional gas sources in the inventory will  
621 impact the magnitude of their CH<sub>4</sub> enhancements, creating additional errors in the optimization process when subtracting out  
622 these enhancements from the observations. The early-afternoon May 24<sup>th</sup> flight and May 25<sup>th</sup> flight are both examples where  
623 influences from CH<sub>4</sub> sources in southwest PA create complex structures in the enhancements, which the model is not able to  
624 match as well as the late-afternoon flight on May 24<sup>th</sup> (Figure 15). And although observations and modelled enhancements  
625 closely match throughout portions of these two flights, a slight shift in the modelled wind direction can lead to vastly  
626 differing results due to the large offset small changes in the wind field can have on an emission source hundreds of  
627 kilometres away. Thus, results from the flights on May 24<sup>th</sup> and May 25<sup>th</sup> should be taken with caution. A deeper analysis of  
628 these errors can be found in Section 3.2.2.

### 629 **3.2.2 Emission Rates and Uncertainty Assessment**

630 Table 4 shows the wind speed and boundary layer height errors for each flight as well as the optimized and  
631 corrected natural gas emission rates. On days where model performance was poor in regards to the wind speed and boundary  
632 layer height, we can see changes in the corrected emission rate. For most days, this change is less than 20% different than the  
633 original optimized emission rate. However, both May 14<sup>th</sup> and May 25<sup>th</sup> have corrected emission rates which are around a  
634 factor of 2 different from their original value. Whether these corrected emission rates are more accurate than the original  
635 optimized rates is debatable. To calculate these alternative emission rates, we must assume that the wind speeds and  
636 boundary layer heights from our limited number of observations are the true values in the atmosphere, which may not be the  
637 case. Regardless of which rate is more accurate for each flight, the overall 16% high bias in the model wind speed and the  
638 -12% low bias in the model boundary layer result in compensating errors that cancel out, and the mean emission rates across  
639 all flights end up similar. Thus, any errors associated with these two meteorological variables has a trivial impact on the  
640 overall calculated emission rate for the region and the uncorrected emission rates are used for the final mean and uncertainty  
641 calculations.

642 Table 5 summarizes the background error, inventory error, and model performance error, and assumes  
643 independence between the three error sources to calculate the total uncertainty for each flight. The largest uncertainty exists  
644 for the May 22<sup>nd</sup> flight, where an unexplained enhancement along the northern transect led to a poor match between the  
645 modelled enhancements and the observed enhancements. This may explain the anomalously high optimized emission rate for

646 that day. Other flights with large uncertainty are those that occurred on May 24<sup>th</sup>, where enhancements from southwestern  
647 PA are believed to be influencing large portions of the observations.

648         Based on the conservative methodology used to calculate these uncertainties, we assume the total uncertainty for  
649 each flight represents a  $2\sigma$  range of possible emission rates and calculate a weighted mean and a  $2\sigma$  confidence interval for  
650 the overall upstream emission rate across the ten flights. From this approach, we find a mean upstream emission rate of  
651 0.36% of production and a  $2\sigma$  confidence interval from 0.27-0.45% of production.

### 652 **3.3 Aircraft Mass Balance Results**

653 Calculated emission rates varied extensively between flights used for the mass balance analysis, ranging from 0.11% to  
654 1.04% of natural gas production (Table 6). Comparing emission rates between loops on the same day, we see more  
655 consistency in the values. This result is not surprising, as on each of the days with multiple loops, upwind and downwind  
656 CH<sub>4</sub> concentrations patterns tended to be similar between loops. Thus, differences in the total emission rate are likely due to  
657 either errors specific to each day (such as background variability, errors in meteorology) or real daily variability in the  
658 upstream natural gas emission rate.

659         From Table 7, we can see the largest error with regards to the absolute uncertainty in the emission rate occurs on the  
660 May 22<sup>nd</sup> flight. It is on this day where we have the largest uncertainty in the background value, with observations towards  
661 the end of the flight becoming unusable due to a rapid and unexplained decrease in the CH<sub>4</sub> mole fraction of 8 ppb over a 30  
662 minute period (Figure 16). This day also features the highest boundary layer height and fastest winds of all flights done in  
663 this study, reducing the magnitude of the enhancement associated with the natural gas plume and thus amplifying the effects  
664 an uncertain background has on the overall uncertainty of the calculated CH<sub>4</sub> flux. Uncertainty across the other three flights  
665 is smaller, and results between individual loops on the May 23<sup>rd</sup> and May 28<sup>th</sup> flight provide more confidence in the  
666 calculated flux for those days.

667         Using the mean estimated CH<sub>4</sub> emissions and uncertainty for each loop, we calculate a daily mean emission rate and  
668 uncertainty for each of the four days. We then solve for an unweighted mean across the four flights to derive our overall  
669 emissions estimate from the aircraft mass balance approach, and use the standard error of the flights to estimate the  
670 uncertainty. In doing so, we derive a natural gas emission rate from upstream processes of 0.40% of production, with a  $2\sigma$   
671 confidence interval from 0.08-0.72% of production. Here, we use the arithmetic mean rather than a weighted mean due to the  
672 linear relationship between the size of the emission rate and the size of the errors. Because errors associated with ABL height  
673 and wind speed have a proportional impact on the calculated emissions within the box, days with a high emissions estimate  
674 produce large uncertainties relative to days with a small emission rate. Using a weighted mean approach assigns more weight  
675 to the days with low estimated emissions, and produces an overall emission estimate too low and certain to have confidence  
676 in (0.12±0.02 percent of gas production).

677

## 678 4 Discussion

### 679 4.1 Upstream Emission Rate

680 From this study, we estimate with a  $2\sigma$  confidence interval an emission rate between 0.27-0.45% of gas production using the  
681 model optimization method and 0.08-0.72% of gas production using the aircraft mass balance. Figure 17 provides the  
682 emission range estimates from upstream natural gas processes using both the model optimization technique and mass balance  
683 technique when applicable. Top-down studies of other basins in the U.S. have all found emission rates greater than 1% of  
684 production, and thus the rates calculated for the northeastern Marcellus basin are the lowest observed yet, raising questions  
685 as to why the values in this region appear to be low. One possibility may be related to the well efficiency of the northeastern  
686 Marcellus region compared to other major shale plays (Table 8). In terms of gas production per unconventional well, the  
687 Marcellus is the highest of all major basins in the U.S. Furthermore, the gas production per well increases by nearly a factor  
688 of two when focusing specifically on Susquehanna and Bradford Counties in northeastern Pennsylvania where the majority  
689 of the wells from this study are located (Figure 1). The large difference in production per well between the northeastern  
690 Marcellus and other shales may partly explain the low emission rates as a percentage of production. Throughout this study,  
691 we normalize natural gas emissions as a percentage of total production under the assumption that higher throughput of  
692 natural gas in a system should lead to higher emissions in the system. However, if leaks are more influenced by the number  
693 of components in operation rather than the throughput passing through the wells, a high production-per-well system such as  
694 the unconventional wells in the northeastern Marcellus could end up having a very low emission rate as a percentage of  
695 production, but a similar emission rate compared to other basins based on the number of wells, compressors, etc. A thorough  
696 bottom-up study of the Marcellus region measuring emissions on a device level could provide an answer to this hypothesis.

697 Although we calculate a low emission rate for this region, rates calculated for May 22 and May 25 stand out as  
698 outliers where emissions fall well-above our uncertainty bounds. It is possible that emissions from natural gas sources were  
699 higher on these days compared to others. Releases of natural gas into the atmosphere from short timeframe events such as  
700 liquids unloading and venting can add a temporal component to the emission rate. Such events occurring at an increased  
701 frequency during the May 22 and May 25 flights could be responsible for the higher emission rates. However, these two days  
702 both have issues that could have affected the optimized emission rate. On May 22, we observe a sudden drop in the observed  
703  $\text{CH}_4$  values that is nearly as large as the main plume on that day, creating concerns about background concentrations. On  
704 May 25, a southwesterly wind was present, and while the model showed the coal plume to be west of the flight path, a small  
705 shift in the model wind direction would shift the coal plume over the region. For these reasons we are sceptical but not  
706 dismissive of the high emission rates found during these two flights.

707

708

## 709 4.2 Advantages of Combining Observations with Model Output

710 One of the major advantages of using a chemical transport model to solve for natural gas emission rates compared to a  
711 standard mass-balance approach is that the transport model is able to account for the complex and oftentimes non-uniform  
712 plume structures originating from sources outside the flight path that can affect observations. When performing a mass  
713 balance over a basin, it is assumed that the upwind transect is representative of the air exiting the downwind transect after  
714 subtracting out all sources within the box. However, this assumption is only true if winds contained within the flight path are  
715 in perfect steady state during the time it take for air to move from the upwind transect to the downwind transect, and that  
716 measurements from the downwind transect occurred at a much later time so that the air being measured is the same air  
717 measured from the upwind transect. These conditions are not easily achieved for regional scale mass balances due to the long  
718 times needed for the air from the upwind transect to reach the downwind transect. As an example, from the four mass  
719 balance flights performed for this study the average time for air to move from the upwind transect to the downwind transect  
720 was 4 hours whereas the average time between the aircraft's upwind and downwind measurements was ~40 minutes. The  
721 aircraft observations can be thought of as a snapshot in time, which can be problematic if large scale plumes from outside the  
722 domain are moving through the region and impacting only certain portions of the observations during the flight's short  
723 timeframe. By using a transport model for a domain much larger than that of the flight paths, we are able to track these far-  
724 reaching plumes and identify situations where the background CH<sub>4</sub> concentrations may be spatially heterogeneous.

725 The potential usefulness of using a transport model alongside a mass balance calculation can best be demonstrated  
726 from observations taken over the Marcellus during a 2013 aircraft campaign (Peischl et. al 2015). During this flight the  
727 prevailing winds were from the WSW, and the largest CH<sub>4</sub> enhancements were observed along the western edge of the flight  
728 path, upwind of the unconventional wells. Using our transport model, we are able to recreate the day of flight and attempt to  
729 use our inventory and explain this feature (Figure 18). Comparisons between modelled output and observations show a 60  
730 ppb CH<sub>4</sub> enhancement from coal and conventional wells in southwest PA stretching close to the western edge of the aircraft  
731 observations, a plume structure similar to the one observed during the May 24<sup>th</sup> flight from our own study. Though this  
732 plume does not initially align with the observed transect with the largest enhancements, we recognize that the coal and gas  
733 plume travels for more than 20 hours (a distance of 400 km) from its source before reaching the flight path. If we allow for a  
734 10% error in the transport speed and therefore advance the transport model by an additional two hours past the time in which  
735 the aircraft observed these high values, we are able to line up the centre of the plume with the largest observed CH<sub>4</sub> mole  
736 fractions along the western edge of the flight. In addition to the 60 ppb enhancement along the centre of the plume, the  
737 model projects 20 ppb enhancements along the edges and in front of the plume centre. These smaller enhancements have an  
738 influence along different portions of the flight which varies in magnitude, making it difficult to assess a proper background  
739 CH<sub>4</sub> value upwind of the wells and potentially masking natural gas enhancements downwind of them. But by using a  
740 transport model, we are able to see the potential impact of these far-reaching sources which would otherwise not be

741 considered in a regional mass balance and better understand the complex CH<sub>4</sub> plume structures which can occur in a given  
742 region under specific wind conditions.

## 743 **5 Conclusion**

744 Using the model optimization technique presented in this study, we find a weighted mean natural gas emission rate from  
745 unconventional production and gathering facilities of 0.36% of production with a 2 $\sigma$  confidence interval from 0.27-0.45% of  
746 production. This emission rate is supported by four mass balance calculations, which produce a mean of 0.40% and a 2 $\sigma$   
747 confidence interval from of 0.08-0.72% of production. Applied to all the wells in our study region, this mean rate results in a  
748 leakage rate of 20 Mg CH<sub>4</sub> hr<sup>-1</sup> for the year 2015. The emission rate found in this top-down study quantified as a percent of  
749 production is significantly lower than rates found using top-down methodology at any other basin, and indicates the presence  
750 of some fundamental difference in the northeastern Marcellus gas industry that is resulting in more efficient extraction and  
751 processing of the natural gas.

752 The ten flights that took place in this study reveal large regional variations in the CH<sub>4</sub> enhancement patterns  
753 depending on the prevailing wind direction. On days with a northwest wind, observed enhancements come primarily from  
754 natural gas sources, and a small plume associated with it can be seen on the downwind leg of each flight with few  
755 enhancements upwind of the wells. Flights that took place with winds conditions predominantly from the southwest were  
756 more difficult to interpret. Plumes associated with coal and other potential sources of CH<sub>4</sub> in the southwestern Pennsylvania  
757 create complex enhancement patterns affecting both the upwind and downwind portions of the flight, making both the  
758 background CH<sub>4</sub> mole fraction and enhancements from the gas industry difficult to interpret. The stark difference between  
759 observations that occurred with a northwest wind compared to a southwest wind illustrates the importance of having multiple  
760 flights across days with various wind conditions to better understand the major influences on CH<sub>4</sub> concentrations throughout  
761 a region. The regional influences in Pennsylvania also demonstrate the utility of deriving an emissions inventory that  
762 provides input data to drive a transport model, allowing one to forecast CH<sub>4</sub> mole fractions on difficult days and better  
763 understand the daily uncertainties associated with heterogeneous background conditions.

764 Though this study presented observations from ten flights over a three-week period, it is not able to account for the  
765 potential of long term temporal variability in the emission rates. In May 2015 when the flights took place, the entire  
766 Marcellus basin was nearing peak production and active drilling and hydraulic fracturing was still ongoing in the region. By  
767 mid-2016, the rate of drilling of new wells in the northeast Marcellus had decreased and natural gas production had begun to  
768 decline in the area. A snapshot of the emission rate during one month of a basin in its peak production is insufficient to  
769 characterize emissions from an area that is likely to be producing and transporting gas at various intensities for decades. We  
770 need to quantify the long-term climatological impacts of gas production. Future work examining the temporal variability of  
771 CH<sub>4</sub> emissions within natural gas basins would complement short-term, high-intensity studies such as this one, and aid with  
772 understanding how well the calculated emission rates represent the gas basin over the course of time.



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**Table 1: List of tracers used in the transport model.**

Tracer #	Name	Description of source
1	Unconventional Wells	Emissions from unconventional wells.
2	Storage Facilities	Emissions from compressors associated with natural gas storage.
3	Pipelines	Emissions from gathering and transmission pipelines
4	Distribution	Emissions from the distribution sector of the natural gas industry.
5	Conventional Wells	Emissions from conventional wells.
6	Landfills/Other	Emissions from landfills and uncharacterized industrial sources.
7	Coal	Emissions from active and abandoned coal mining.
8	Animals/Waste	Emissions from enteric fermentation and manure management
9	Production Compressors (HP)	Emissions from compressor stations characterized as “production”. Emissions scaled linearly with wattage.
10	Gathering Compressors (HP)	Emissions from compressor stations characterized as “gathering”. Emissions scaled linearly with wattage.
11	Other Compressors (HP)	Emissions from all other compressor stations. Emissions scaled linearly with wattage.
12	Production Compressors (C)	Emissions from compressor stations characterized as “production”. Emissions constant among compressors.
13	Gathering Compressors (C)	Emissions from compressor stations characterized as “gathering”. Emissions constant among compressors.
14	Other Compressors (C)	Emissions from all other compressor stations. Emissions constant among compressors.

**Table 2: Annual emission rate totals from anthropogenic sources within the innermost model domain based on values from the inventory within this study**

Source	Total Emission Rate (Gg CH <sub>4</sub> year <sup>-1</sup> )
Unconventional Wells	125
Conventional Wells	607
Gathering Compressor Facilities	118
Storage Facilities	69
Gathering/Transmission Pipelines	8
Natural Gas Distribution	213
Underground, Surface, and Abandoned Coal Mines	831
Enteric Fermentation/Manure Management	371
Landfills	420
<b>Total</b>	<b>2762</b>

**Table 3: Meteorological statistics from the May 2015 flight campaign.**

Day	Flight Pattern	# of Loops	# of Vertical Profiles	ABL Depth (m)	Mean Observed Wind Speed (m/s)	Mean Observed Wind Direction	Model Background Value (ppm)
May 14	Box	1	2	1300	2.9	30°	1.908
May 21	Raster	N/A	2	1300	3.9	231°	1.905
May 22	Box	2	2	2300	10.1	300°	1.910
May 23	Box	2	2	1400	4.4	276°	1.906
May 24 <sup>1</sup>	Other	N/A	2	1500	4.4	270°	1.923
May 24 <sup>2</sup>	Raster	N/A	2	2050	4.8	272°	1.907
May 25	Box	1	2	1800	9.0	217°	1.920
May 28	Box	2	3	1400	7.1	322°	1.897
May 29	Box	2	2	1000	4.6	195°	1.899
June 3	Raster	N/A	1	1250	2.7	149°	1.898



**Table 4: Optimized natural gas emission rates for each flight as well as corrected emission rates adjusting for errors in the model wind speed and boundary layer height. For wind speed and boundary layer height error, a negative value represents a model value less than the observations.**

Day	Optimized NG Emission Rate (% of production)	Wind Speed Error (6)	Boundary Layer Height Error (7)	Corrected NG Emission Rate (% of production)
May 14	0.37	-31%	-33%	0.80
May 21	0.53	3%	39%	0.37
May 22	1.15	37%	-18%	1.02
May 23	0.45	34%	-9%	0.37
May 24	0.68	48%	-21%	0.58
May 24	0.36	48%	-21%	0.30
May 25	0.99	3%	-43%	1.69
May 28	0.33	-4%	-8%	0.37
May 29	0.35	4%	1%	0.33
June 3	0.26	19%	-8%	0.24
<b>Average</b>	<b>0.55</b>	<b>16%</b>	<b>-12%</b>	<b>0.61</b>

**Table 5: Emission rates and potential errors associated with the model optimization technique. r-values represent the correlation between the model and observation-derived upstream natural gas enhancements.**

Day	Optimized Upstream Emission Rate (% of production)	r-value Model vs Obs NG Sources	Background Error	Non-Upstream Gas Inventory Error	Model Performance Error	Total Error	2 $\sigma$ Confidence Interval (% of Production)
May 14	0.37	0.20	$\pm 24\%$	$\pm 19\%$	$\pm 17\%$	$\pm 35\%$	$\pm 0.13$
May 21	0.53	0.31	$\pm 24\%$	$\pm 13\%$	$\pm 30\%$	$\pm 41\%$	$\pm 0.22$
May 22	1.15	0.47	$\pm 38\%$	$\pm 5\%$	$\pm 37\%$	$\pm 53\%$	$\pm 0.61$
May 23	0.45	0.10	$\pm 39\%$	$\pm 13\%$	$\pm 42\%$	$\pm 59\%$	$\pm 0.26$
May 24 <sup>1</sup>	0.68	0.31	$\pm 24\%$	$\pm 81\%$	$\pm 17\%$	$\pm 86\%$	$\pm 0.58$
May 24 <sup>2</sup>	0.36	0.11	$\pm 51\%$	$\pm 150\%$	$\pm 31\%$	$\pm 161\%$	$\pm 0.57$
May 25	0.99	0.43	$\pm 29\%$	$\pm 15\%$	$\pm 30\%$	$\pm 44\%$	$\pm 0.44$
May 28	0.33	0.33	$\pm 76\%$	$\pm 12\%$	$\pm 20\%$	$\pm 79\%$	$\pm 0.26$
May 29	0.35	0.58	$\pm 24\%$	$\pm 11\%$	$\pm 19\%$	$\pm 33\%$	$\pm 0.12$
June 3	0.26	0.37	$\pm 31\%$	$\pm 12\%$	$\pm 24\%$	$\pm 41\%$	$\pm 0.11$

**Table 6: Emission rates from mass balance calculations on applicable days, with emission ranges associated with a  $\pm 5$  ppb error in the background value.**

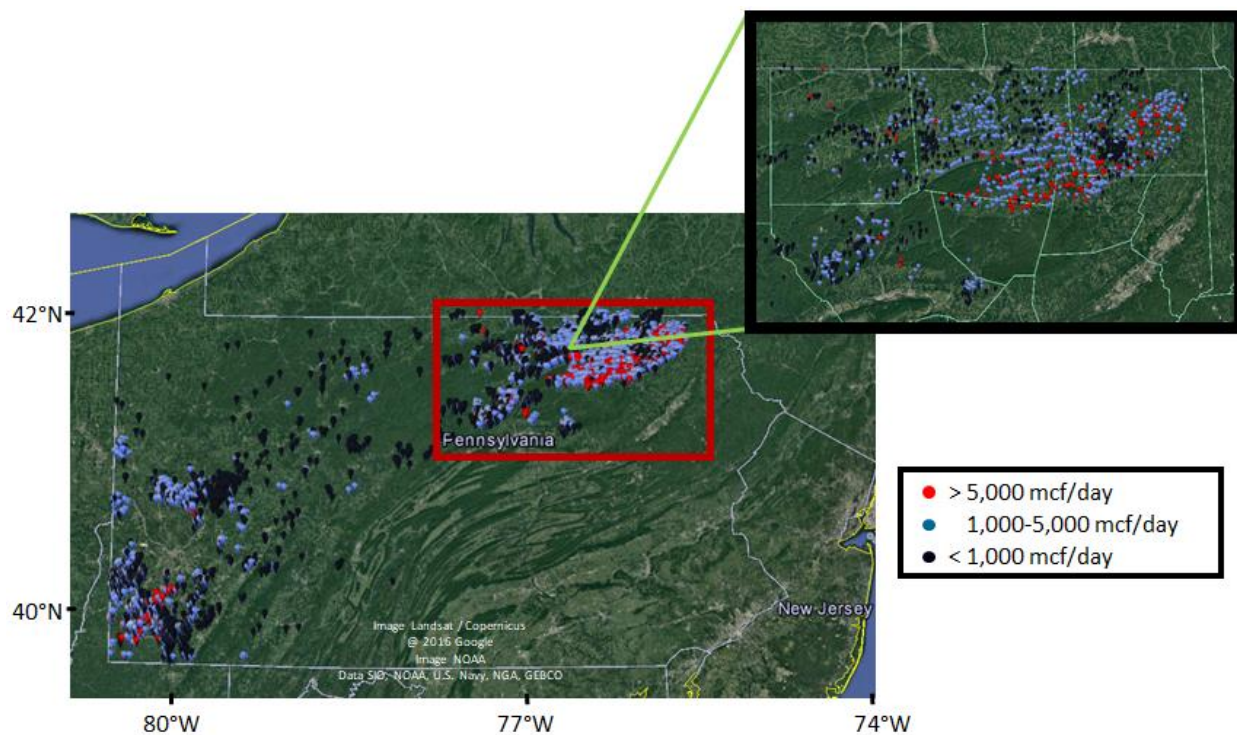
Flight	CH <sub>4</sub> Production within box (Gg hr <sup>-1</sup> )	Mass Balance CH <sub>4</sub> Flux (kg hr <sup>-1</sup> )	Non-Upstream CH <sub>4</sub> Emissions (kg hr <sup>-1</sup> )	Calculated Upstream Emission Rate (% of production)	2 $\sigma$ Confidence Interval (% of Production)
May 22 <sub>1</sub>	4.96	53800	2250	1.04	$\pm 1.09$
May 22 <sub>2</sub>	4.96	27400	2250	0.51	$\pm 1.08$
May 23 <sub>1</sub>	4.05	5600	934	0.11	$\pm 0.07$
May 23 <sub>2</sub>	4.05	5500	934	0.11	$\pm 0.07$
May 28 <sub>1</sub>	3.73	7100	706	0.17	$\pm 0.11$
May 28 <sub>2</sub>	3.73	6000	843	0.14	$\pm 0.10$
May 29 <sub>1</sub>	4.63	27900	1622	0.57	$\pm 0.30$

**Table 7: Relative error associated with the different sources of uncertainty in the aircraft mass balance.**

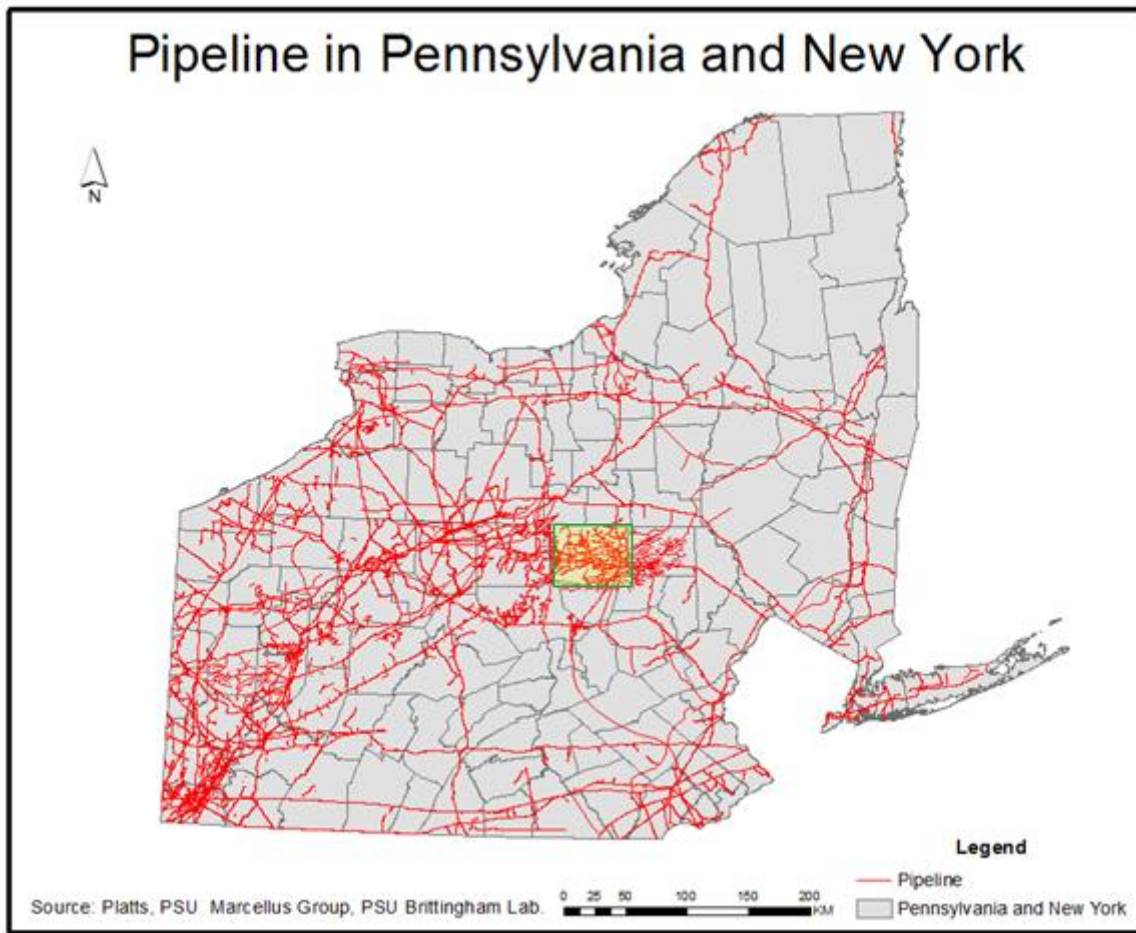
Flight	Wind Speed Error	Background Error	ABL Error	Inventory Error	Total Error (1 $\sigma$ )	Upstream Emission Rate (% of Production) w/ 2 $\sigma$ Confidence Interval
May 22 <sub>1</sub>	$\pm 3\%$	$\pm 56\%$	$\pm 9\%$	$\pm 5\%$	$\pm 57\%$	1.04 $\pm$ 1.09
May 22 <sub>2</sub>	$\pm 3\%$	$\pm 121\%$	$\pm 9\%$	$\pm 8\%$	$\pm 121\%$	0.51 $\pm$ 1.08
May 23 <sub>1</sub>	$\pm 3\%$	$\pm 24\%$	$\pm 7\%$	$\pm 20\%$	$\pm 32\%$	0.11 $\pm$ 0.07
May 23 <sub>2</sub>	$\pm 3\%$	$\pm 26\%$	$\pm 7\%$	$\pm 21\%$	$\pm 34\%$	0.11 $\pm$ 0.07
May 28 <sub>1</sub>	$\pm 3\%$	$\pm 31\%$	$\pm 7\%$	$\pm 11\%$	$\pm 34\%$	0.17 $\pm$ 0.11
May 28 <sub>2</sub>	$\pm 3\%$	$\pm 33\%$	$\pm 7\%$	$\pm 16\%$	$\pm 38\%$	0.14 $\pm$ 0.10
May 29 <sub>1</sub>	$\pm 3\%$	$\pm 28\%$	$\pm 20\%$	$\pm 8\%$	$\pm 36\%$	0.57 $\pm$ 0.30

**Table 8: Production statistics from mid-2014 for various shales across the United States (Hughes 2014).**

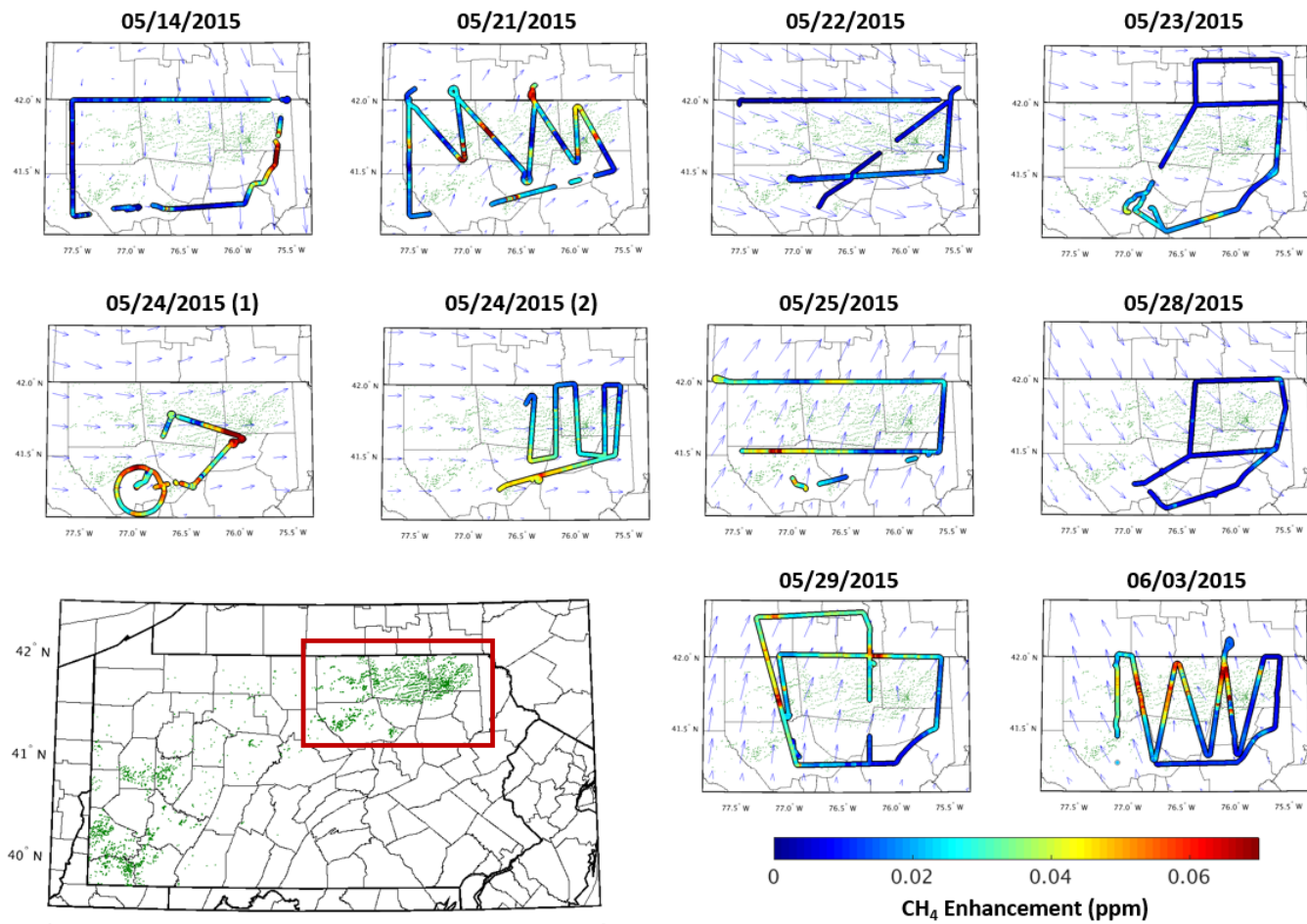
	Barnett	Fayetteville	Haynesville	Marcellus	Bradford/ Susquehanna County, PA
# of Producing Wells	16100	4500	3100	7000	1558
Total Production (Bcf day <sup>-1</sup> )	5.0	2.8	4.5	12	5.01
Production per well (MMcf day <sup>-1</sup> )	0.31	0.56	1.25	1.71	3.22



**Figure 1: A map of the unconventional wells in Pennsylvania dotted in purple. Production values of wells for May 2015 are indicated by the marker colour. Red rectangle and zoom-in show the region of focus for this study, 41.1-42.2°N 75.2-77.6°W.**



**Figure 2: A map of transmission and gathering pipelines for the state of PA and NY. Transmission pipelines are provided by Platts Natural Gas Pipelines product. Gathering pipelines associated with unconventional wells in PA are extrapolated using information on existing gathering pipelines provided by Bradford County, PA (highlighted in yellow).**



**Figure 3: Observed CH<sub>4</sub> enhancements within the boundary layer from each of the 10 afternoon flights used in this study, with green dots showing the location of unconventional wells in PA and blue arrows showing the modelled wind direction during the time of the flight. CH<sub>4</sub> enhancements are calculated by taking the observed CH<sub>4</sub> mole fraction values and subtracting off the flight's background CH<sub>4</sub> value shown in Table 3.**

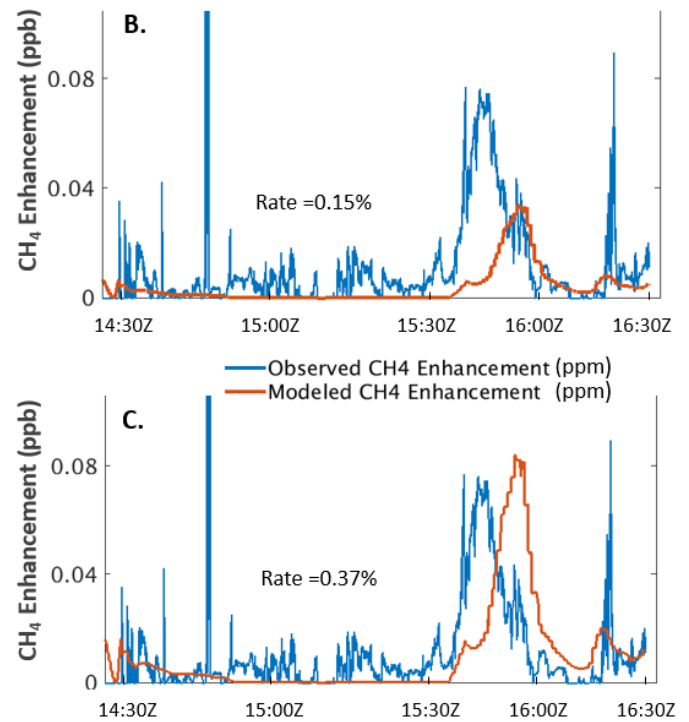
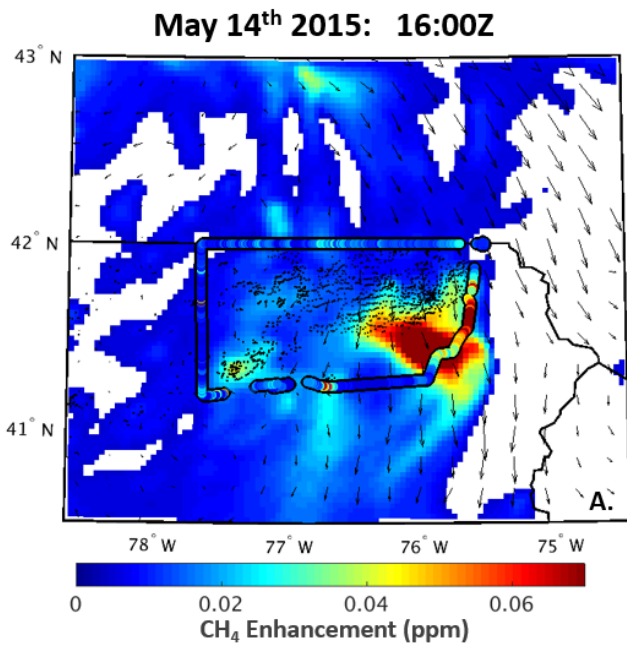
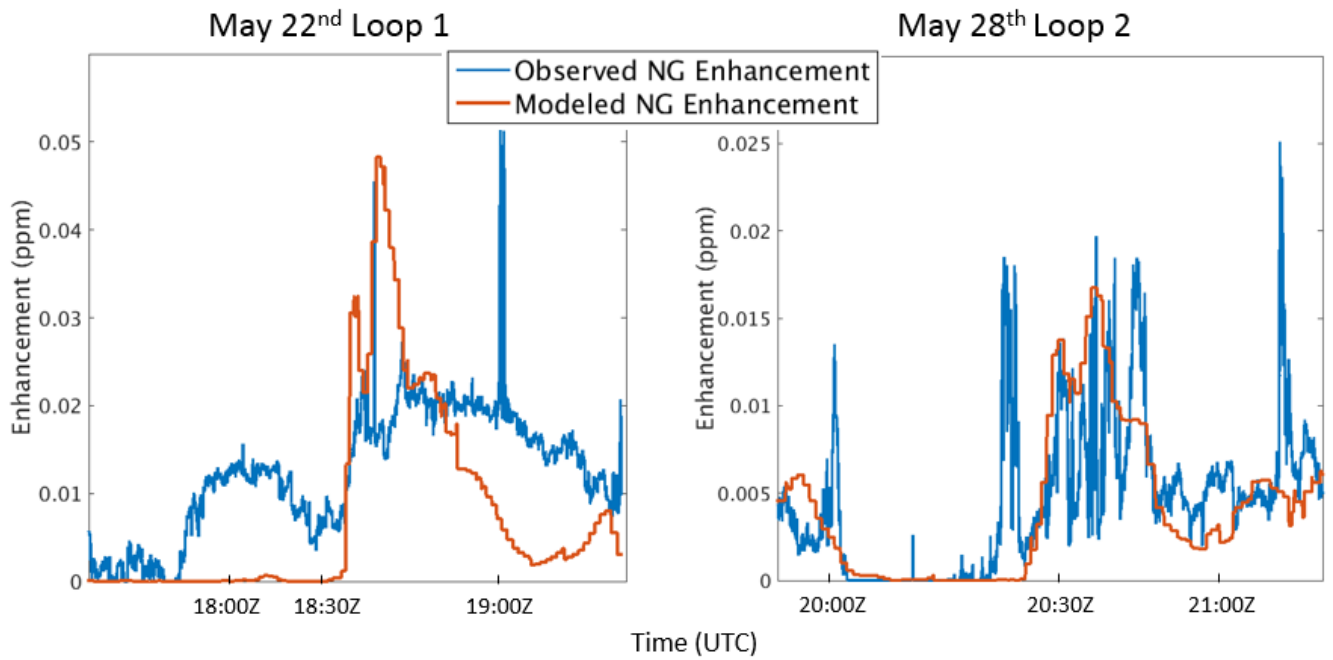


Figure 4: (a.) Observed vs model projected CH<sub>4</sub> enhancements during the May 14<sup>th</sup>, 2015 at 16Z. (b.) Comparison of observed natural gas enhancement to modelled natural gas enhancement along flight path, with upstream emission rate optimized by minimizing the absolute error between the datasets. (c.) Same as previous, but optimized by minimizing the sum of the error between the datasets.



**Figure 5: Comparison of observed natural gas enhancement to modelled natural gas enhancement for segments along the (left) May 22<sup>nd</sup> flight and (right) May 28<sup>th</sup> flight. A distinct lack of representativeness of the observations in the modelled enhancement can be seen in the May 22<sup>nd</sup> flight compared to the May 28<sup>th</sup> flight.**



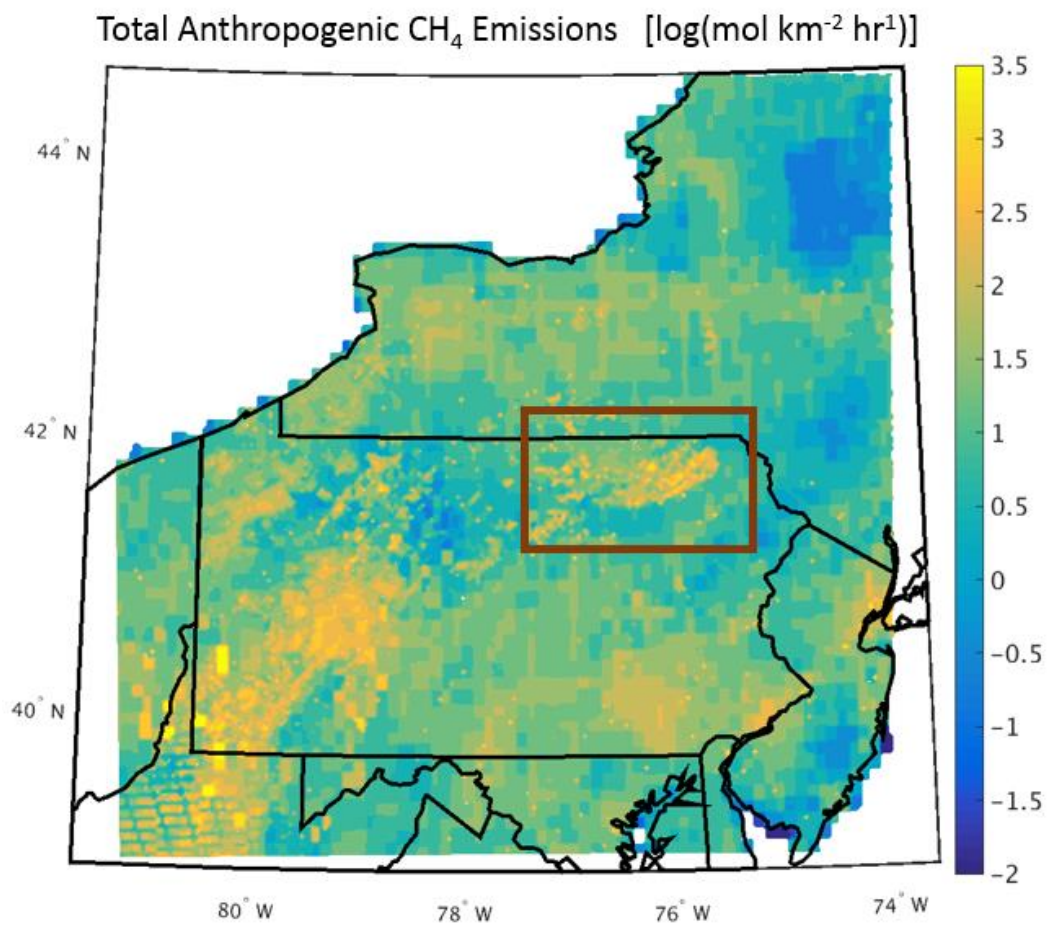


Figure 6: A log scale contour of the anthropogenic CH<sub>4</sub> emissions inventory from this study used within the transport model. The red rectangle surrounds the study region where the aircraft campaign took place.

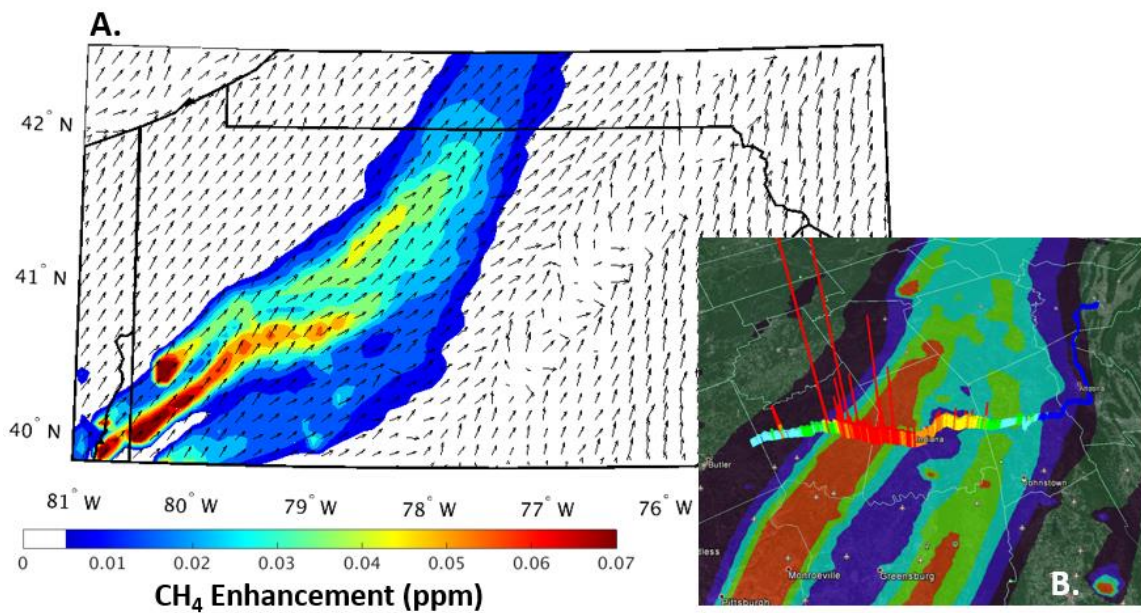
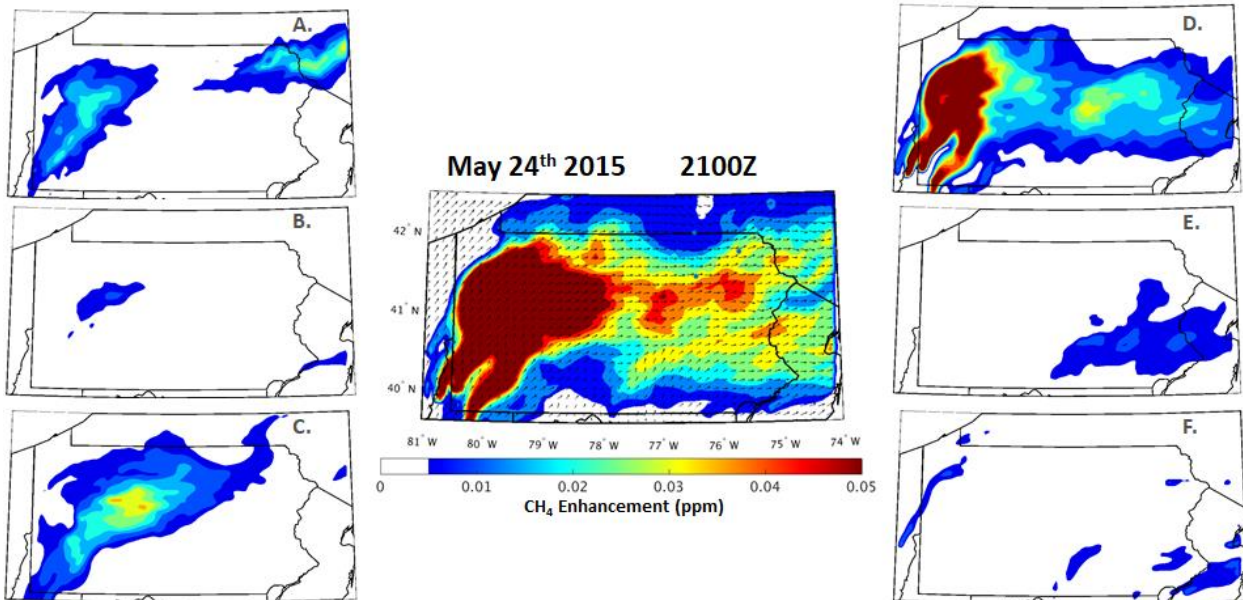
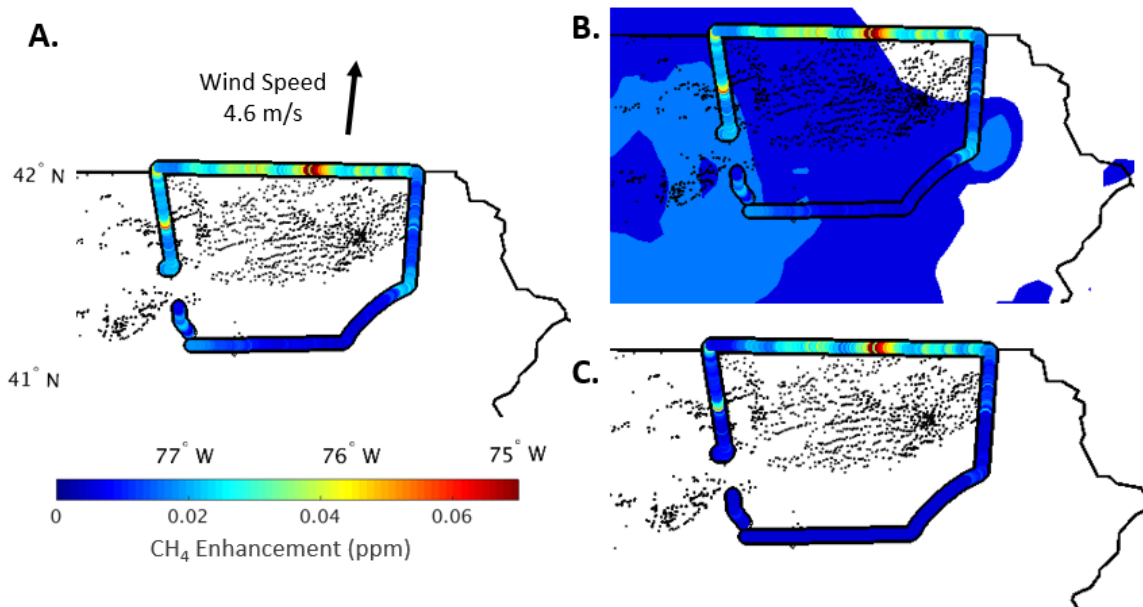


Figure 7: (a.) Model projected CH<sub>4</sub> enhancement at the surface associated with underground, surface, and abandoned coal mines on May 27<sup>th</sup>, 2015 at 19Z, with the shaded regions showing the CH<sub>4</sub> enhancement and the arrows representing the wind direction. (b.) Projected enhancement from a. mapped over measured CH<sub>4</sub> enhancement from a driving campaign. The height and colour of the bars represents the scale of the CH<sub>4</sub> enhancement.



**Figure 8: Projected CH<sub>4</sub> enhancements during the late afternoon flight of May 24<sup>th</sup>, 2015 at 2100Z, 700m above ground level from (A) upstream unconventional gas processes (B) downstream unconventional gas processes (C) conventional production (D) coal mines (E) animal emissions and (F) landfills and other sources within the EPA GHG Inventory Report. The centre figure is a map of the combined enhancement from sources A-F.**



**Figure 9:** (a.) Observed  $\text{CH}_4$  enhancements from within the boundary layer during the first loop of the May 29<sup>th</sup> aircraft campaign. (b.) Aircraft observations laid overtop modelled  $\text{CH}_4$  concentrations at 700 m from sources unrelated to emissions from upstream gas production. (c.) Observed  $\text{CH}_4$  enhancements from the May 29<sup>th</sup> flight after subtracting off modelled sources in b. The new set of observations represent the observation-derived upstream gas enhancement during the flight.

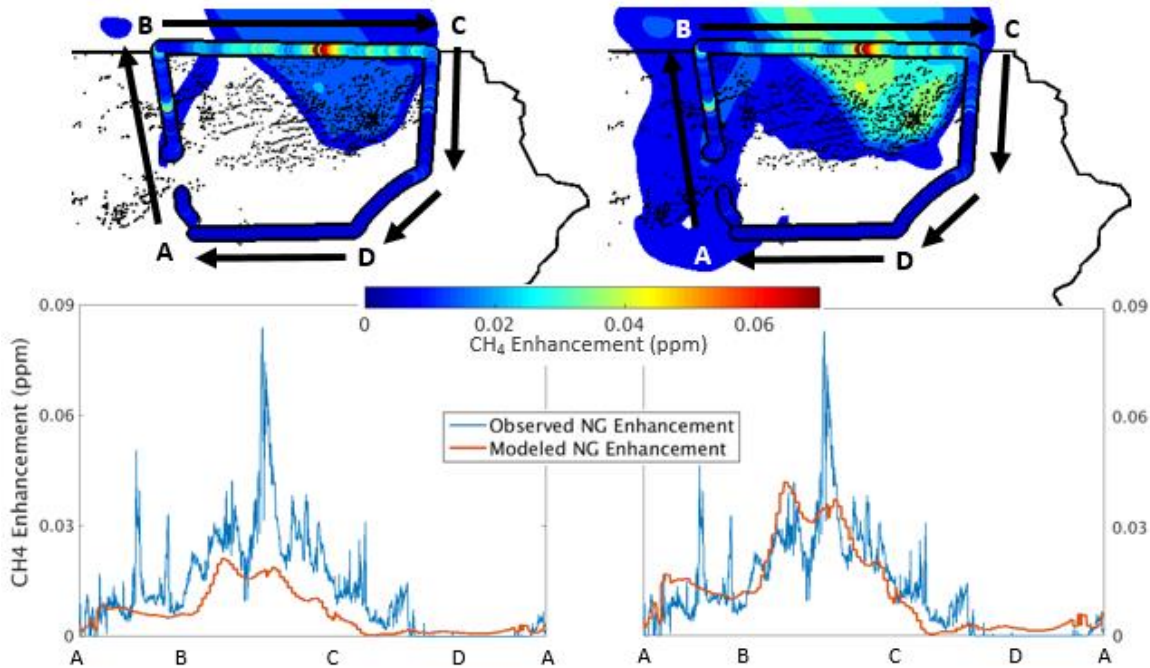


Figure 10: (top-left) Observed enhancement from unconventional natural gas production ovetop projected upstream natural gas enhancements at 700 m from the first loop of the May 29<sup>th</sup> flight, using an upstream gas emission rate of 0.13% of production. (bottom-left) Direct comparison of the observed natural gas enhancement vs. the modelled enhancement following the path from A-D using an unconventional emission rate of 0.13%. (top-right, bottom-right). Same as left figures, except using the optimized upstream emission rate of 0.26%

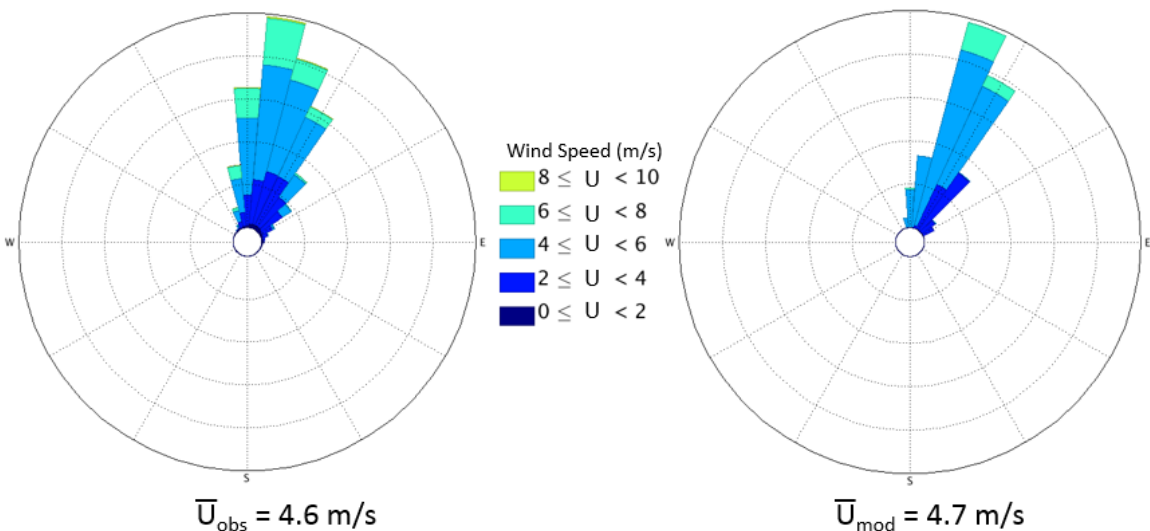


Figure 11: Wind rose of aircraft observations (left) within the boundary from the first loop of the May 29<sup>th</sup> flight compared to modelled winds following the flight path (right).



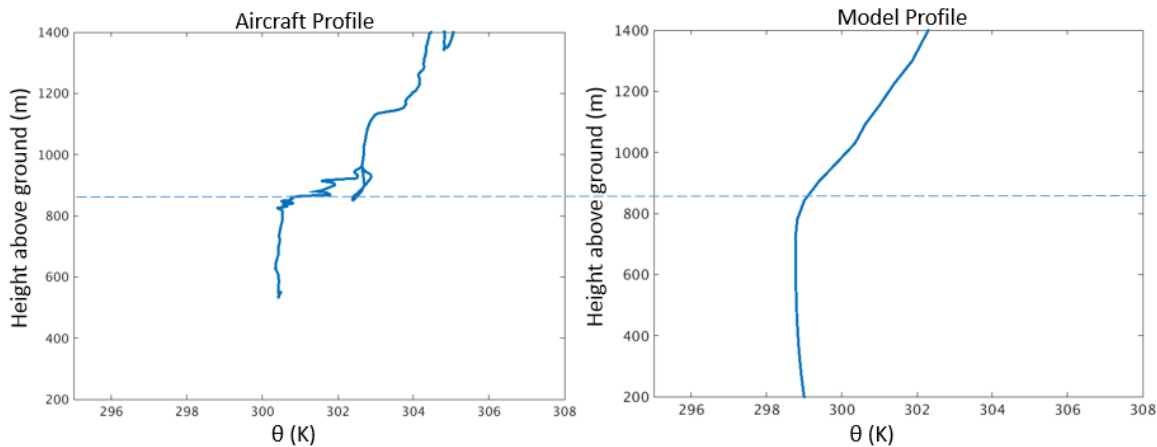


Figure 12: (left) Observed potential temperature profile with height from the first aircraft spiral on the May 29<sup>th</sup> flight at 17Z. (right) Modelled potential temperature at the location and time at which the aircraft spiral occurred. In both cases, an inversion in the potential temperature profile begins to occur around 850m.

### May 24<sup>th</sup> 2015: Late-Afternoon Flight

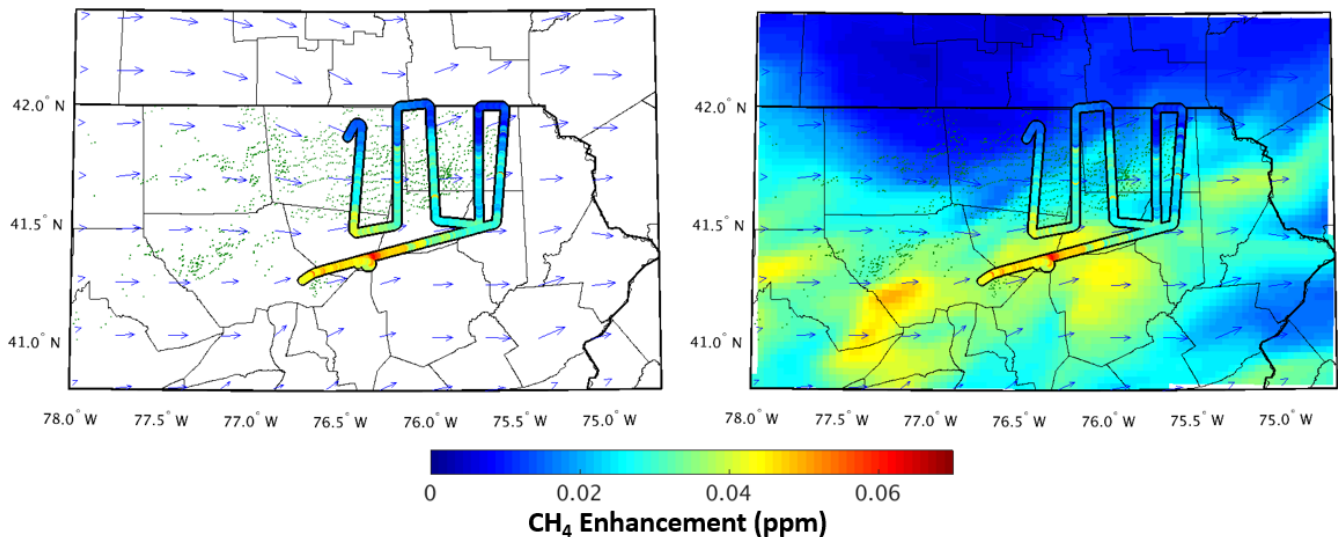


Figure 13: (left) Observed CH<sub>4</sub> enhancement from the late-afternoon flight on May 24<sup>th</sup>, 2015. (right) Observed CH<sub>4</sub> enhancement compared to the model projected CH<sub>4</sub> enhancement from the sum of all sources in the region. The colour scale of observed and projected enhancements is scaled 1:1, with matching colours indicating matching values. Modelled wind vectors and CH<sub>4</sub> concentrations are from 700 m model height level.

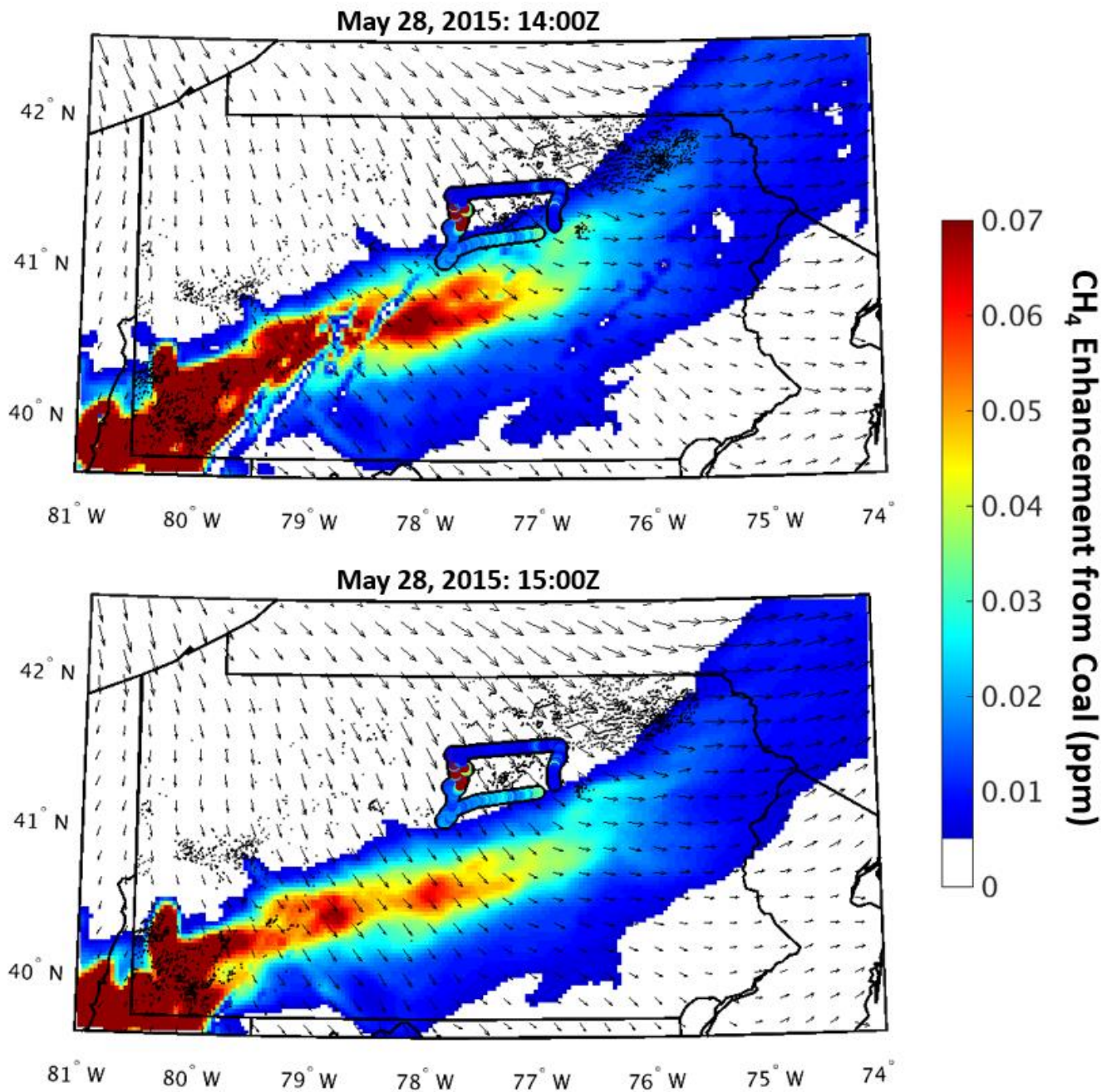
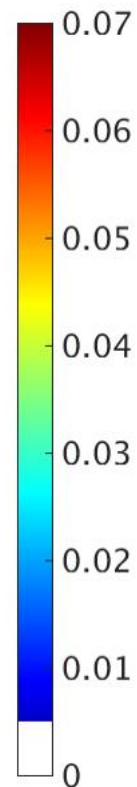
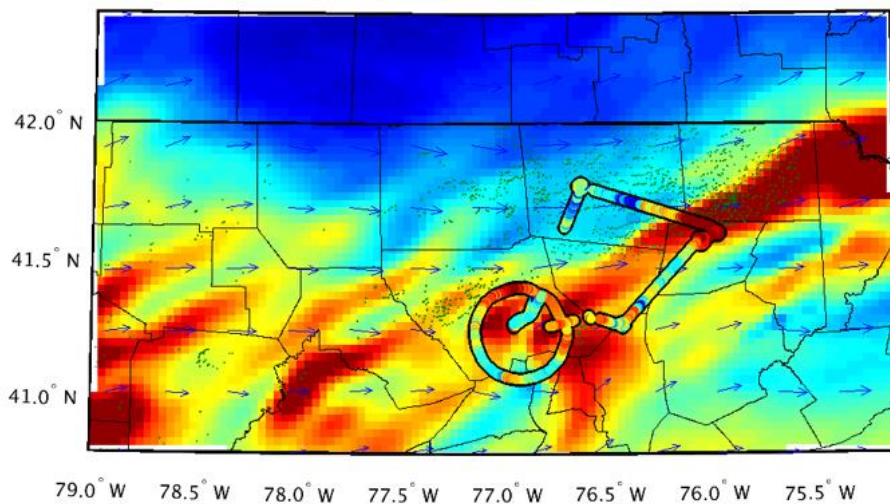


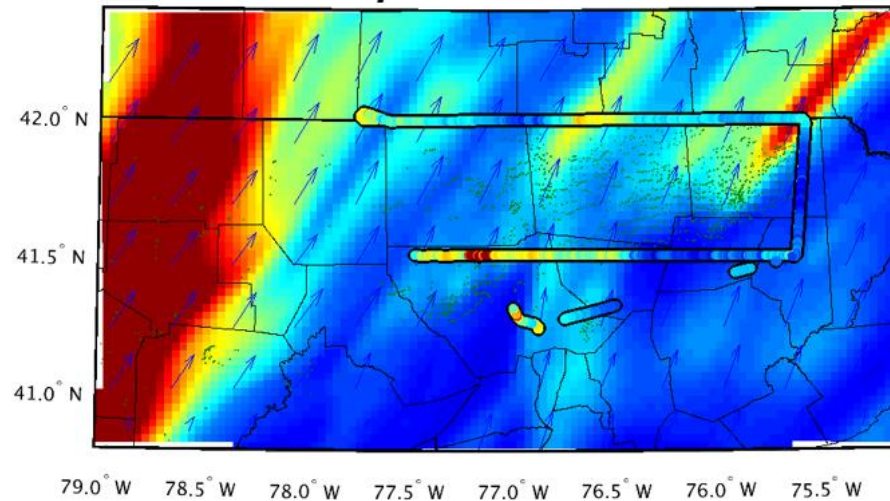
Figure 14: Observed CH<sub>4</sub> enhancements from an early flight on May 28th, 2015 compared to projected CH<sub>4</sub> enhancements from coal emissions modelled at (top) 14:00Z and (bottom) 15:00Z. The one hour time difference results in vastly different projected enhancements across the southern portion of observations. Modelled wind vectors and CH<sub>4</sub> concentrations are from the 700 m model height level.

**May 24<sup>th</sup> 2015: 17Z**



**CH<sub>4</sub> Enhancement (ppm)**

**May 25<sup>th</sup> 2015: 19Z**



**Figure 15: Observed vs model projected CH<sub>4</sub> enhancements during (top) the early afternoon flight of May 24<sup>th</sup>, 2015 at 17Z and (bottom) the flight of May 25<sup>th</sup>, 2016 at 19Z. Modelled wind vectors and CH<sub>4</sub> concentrations are from 700 m model height level.**



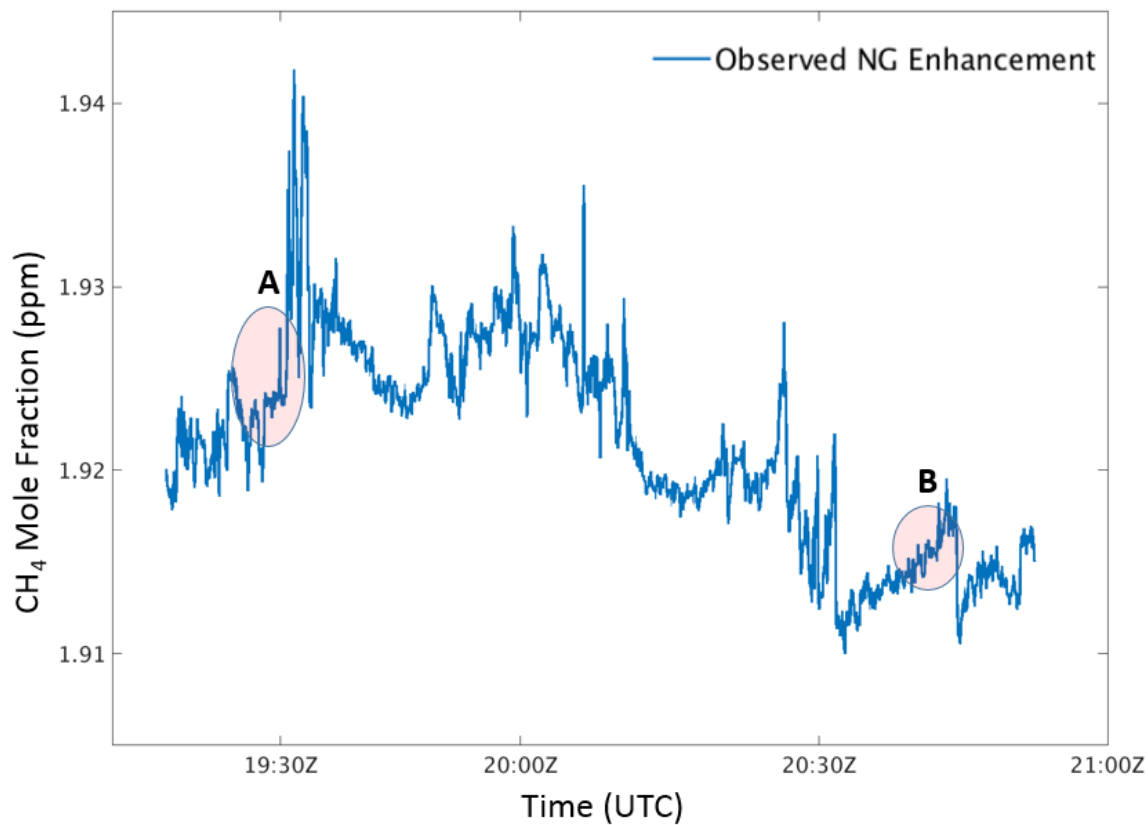


Figure 16: Time series of CH<sub>4</sub> mole fractions from the second loop of the May 22<sup>nd</sup> flight. Observations at the shaded areas below A and B were taken at similar locations in space, showing the change in the background mole fraction across time.

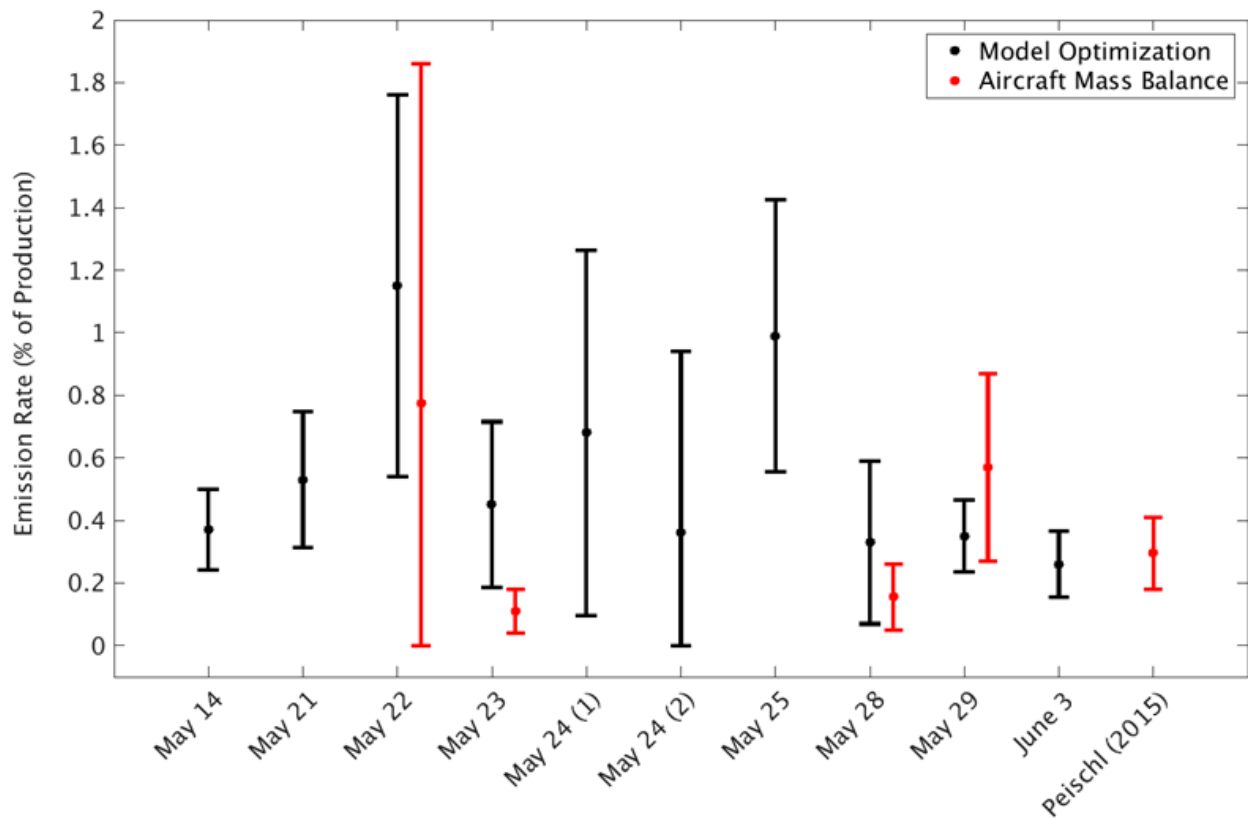


Figure 17: Calculated upstream natural gas emission rates using (black) model optimization technique and (red) aircraft mass balance technique. Error bars represent the  $2\sigma$  confidence interval for each flight. Mass balance performed in Peischl et al (2015) included for comparison.

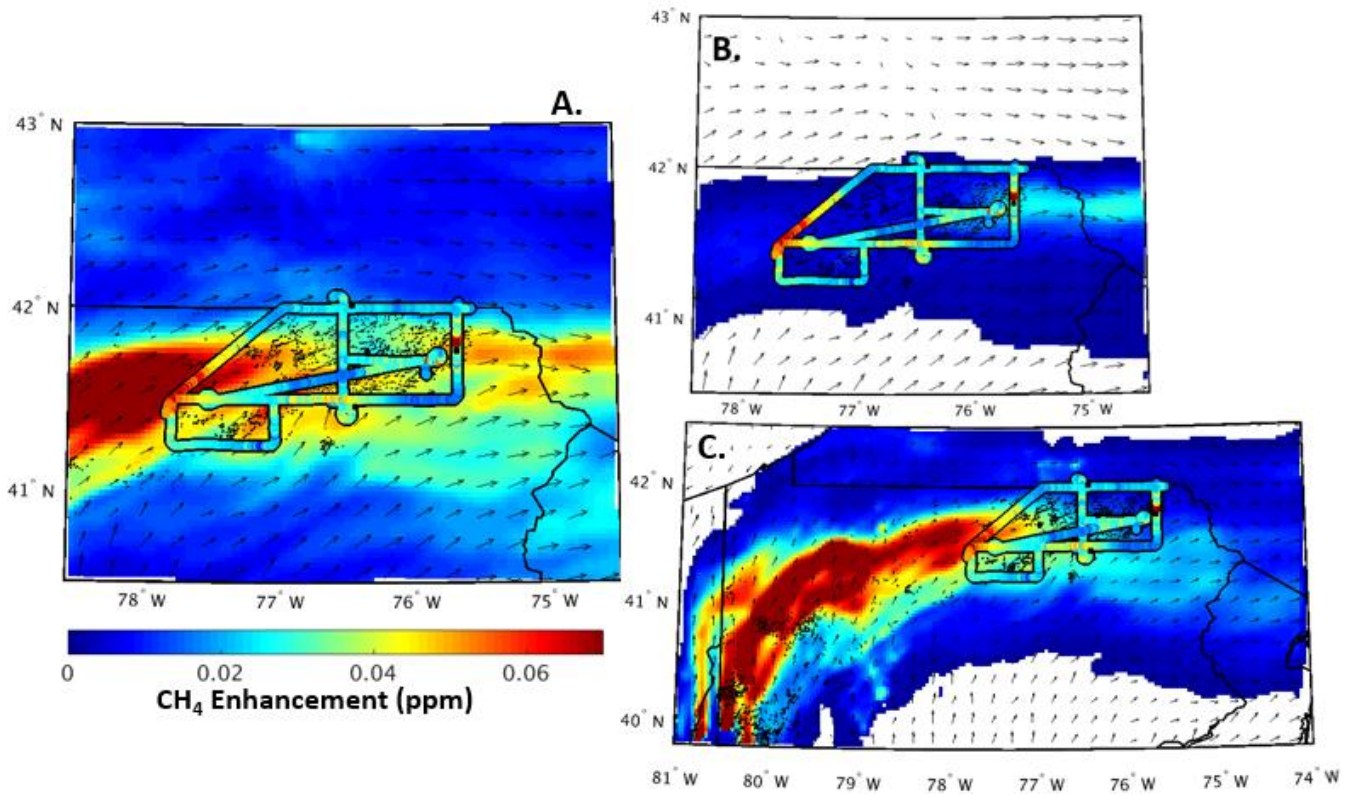


Figure 18: Observations vs modelled enhancements of the flight from Peischl et. al (2015) for July 6th, 2013. (a.) Observed enhancements from the flight over model projected enhancements from all sources at 21Z. (b.) Projected enhancement from upstream gas processes using a 0.4% emission rate. (c.) Projected enhancement from coal sources in southwestern PA. Modelled wind vectors and CH<sub>4</sub> concentrations are from 700 m model height level.