

We thank the co-editor for her valuable input. Please find below our responses to the raised comments, questions and suggestions. In the following, raised **comments / suggestions are in red** and respective **responses in green**, while **alterations to the manuscript text are indicated in blue**.

### **General Comment**

Thank you for your consideration of the referees' comments. I agree with Referee #1 that many of the comments have been addressed; however, there remain a few minor issues that should be addressed prior to acceptance. In addition to Referee #1's comment regarding transmission efficiency, please consider the comments below.

We have addressed the referee's comment and provide responses to the co-editor's individual comments in the specific comment section below.

### **Specific Comments**

<b>Comment</b>	1. Lines 95-98: I encourage the rethink the wording regarding "finer time scales" and to use something that makes it more clear that hourly resolved diurnal patterns or 24-h average time-series data are being analyzed. This would better represent the results of this paper and may avoid confusion regarding the difference in time-scales achievable with AMS total loading vs. size-resolved measurements.
<b>Response Alteration</b>	We have revised the statement accordingly. [...] In this work, we introduce a systematic approach of assessing temporal variations in AMS mass-based particle size distributions from hourly diurnal variations to 24h-average based day-to-day trends to utilize two key instrumental advantages, i.e. species segregation and high time resolution, to obtain a more detailed and quantitative understanding of the variabilities in ambient particle mass size distributions and to provide an additional dimension to standard AMS data analysis techniques. [...]
<b>Comment</b>	2. Lines 165-168: For completeness, the lower limit transmission efficiency should also be discussed.
<b>Response Alteration</b>	We have altered the paragraph to include both the upper and lower transmission limit. [...] The transmission efficiency of the AMS aerodynamic lens is known to fall off below ~100nm and beyond ~550 nm of vacuum-aerodynamic diameter (Liu et al., 2007;Takegawa et al., 2009;Zhang et al., 2004;Bahreini et al., 2008;Williams et al., 2013;Knote et al., 2011) and may bias measured particle mass and mode diameters, particularly in the accumulation mode towards lower values if significant particle mass fractions fall in the size region of $D_{va} > 550$ nm. In the Aitken mode range, the effect of limited lens transmission is expected to be less substantial as particle volume (and hence particle mass) of Aitken mode particles are much smaller. We discuss the effects of lens transmission briefly in section 3.4. [...]
<b>Comment</b>	3. Lines 170-172: The possibility of slow vaporization and its influence on the results should be discussed.
<b>Response Alteration</b>	We have included a statement on the issue of slow vaporization and its possible impacts. [...]Delayed vaporization of particle components, e.g. under high mass loadings, can lead to small shifts towards larger mode diameters in AMS size distributions (Docherty et al., 2015) and enhanced tails in the size distributions (Cross et al., 2009), which may lead to larger fit residuals at the trailing edges. [...]
<b>Comment</b>	4. Line 189-190 and elsewhere: "attributable to traffic and cooking sources" These references to previous factor analysis of the data are numerous. It may be unclear to some readers that these results come from a previous analysis. Since much of the interpretation of the variability in the organic aerosol uses this past analysis, I suggest adding a few sentences in Sect. 2.1 to explicitly clarify where the factors come from (i.e. which publication) and a very brief overview of how they were derived.

<b>Response Alteration</b>	<p>We have added an explanatory paragraph in section 2.1.</p> <p>[...] AMS data were treated according to general AMS data treatment principles (DeCarlo et al., 2006; Jimenez et al., 2003) with standard software packages (SQUIRREL, PIKA). Analysis of the unit-mass resolution mass spectra yielded non-refractory submicron particle species concentrations of major inorganic constituents (SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, Chl) and total organics at a base time resolution of 10 min. Positive Matrix Factorization (PMF) was used to deconvolute high-resolution organic mass spectra acquired at 10 min time resolution following recommended PMF guidelines for AMS data (Zhang et al., 2011) with the AMS PMF analysis toolkit (Ulbrich et al., 2009). At the urban Mong Kok site, six organic aerosol (OA) factors were identified encompassing three secondary organic aerosol (SOA) and three primary organic aerosol (POA) factors of which one was attributed to traffic emissions and two to cooking activities (Lee et al., 2015). Similarly, four factors were obtained from analysis of the urban HKUST site dataset with two SOA factors and two POA factors, related to traffic and cooking respectively (Li et al., 2015). Further details on the treatment of AMS size distribution data from both sampling campaigns are provided in the following section. [...]</p>
<b>Comment</b>	5. Please address the large discontinuity between the beginning and end of the day for O <sub>3</sub> and NO <sub>x</sub> in Figure 2a and for NO <sub>x</sub> in Figure 2b.
<b>Response</b>	We apologize for the mistake. The first two hours (0:00 – 2:00) of the urban gas data were incorrectly averaged due to an issue with the time stamp of the original data time series. We have already addressed this issue earlier but overlooked to include it in the submitted revision. Also, we would like to note that the concentrations scale of CO should be in mg/m <sup>3</sup> instead of μg/m <sup>3</sup> (Figure 2 and 3) and erroneously contained a pre-exponent of 10 <sup>1</sup> (only Figure 2, i.e. the original axis label should have read “x 10 μg/m <sup>3</sup> ”).
<b>Alteration</b>	<i>See Figure 2 in the revised manuscript.</i>
<b>Comment</b>	6. Figure 3: Please provide one larger legend rather than 4 legends.
<b>Response</b>	We have amended the figure accordingly.
<b>Alteration</b>	<i>See Figure 4 in the revised manuscript.</i>
<b>Comment</b>	7. Please reorder the figures so that they are referenced in order (figure 3 is currently referenced after figure 4)
<b>Response</b>	We have reordered Figures 3 and 4 in the revised manuscript.
<b>Alteration</b>	<i>See Figures 3 and 4 in the revised manuscript.</i>
<b>Comment</b>	8. Line 311: I suggest changing “points to” to “suggestive of” or something similar. Given the lack of supporting measurements, the interpretation should be cautious.
<b>Response</b>	We have changed the wording accordingly.
<b>Alteration</b>	“[...] and thus suggests the possibility of heterogeneous SO <sub>2</sub> oxidation [...]”
<b>Comment</b>	9. Sect 3.2: I suggest changing the title to “Day-to-day size distributions and seasonal averages” to better represent the content of the section.
<b>Response</b>	We have changed the section title according to the suggestion.
<b>Alteration</b>	3.2. Day-to-day size distributions and seasonal averages
<b>Comment</b>	10. Page 478: Suggest adding “from spring to summer” after “displayed a moderate decrease in mode diameter” to increase readability.
<b>Response</b>	The suggested clarification has been added.
<b>Alteration</b>	[...] In the Aitken mode, organics and sulfate displayed a moderate decrease in mode diameter from spring to summer by 7-8% each, while nitrate saw a more significant decrease by 25% from spring to summer.[...]
<b>Comment</b>	11. Figure 5: Only panels a and b are labeled but the legend mentions panels a-l.
<b>Response</b>	In the submitted revision, we opted to dispense on individual labelling of each panel but neglected to update the caption. This has now been rectified.

<b>Alteration</b>	<i>See Figure caption in the revised manuscript.</i>
<b>Comment</b>	12. Figure 6: The caption does not match the figure with regards to the labeling of the panels.
<b>Response</b>	The caption has been corrected accordingly.
<b>Alteration</b>	<i>See Figure caption in the revised manuscript.</i>
<b>Comment</b>	13. Figure D15 is much too small to read, particularly the legend. Please clarify in the caption the significance of the yellow shading.
<b>Response</b>	We have split the figure to allow for a larger image size. Yellow shading indicate episodic pollution events, but since we do not discuss these in the context of this paper, we have removed the shading from the figure in this revision.
<b>Alteration</b>	<i>See Figure D15 and D16 in the revised supplement.</i>

## **References**

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We thank the referee for his/her time to provide us with extensive and valuable input. Please find below our responses to the raised comments, questions and suggestions. In the following, raised **comments / suggestions are in red** and respective **responses in green**, while **alterations to the manuscript text are indicated in blue**.

### **General Comment**

In general, the authors have addressed the reviewers' comments reasonably well. Figures and text are improved. I would like to make one more suggestion before the paper gets published:

The authors cited Williams et al. 2013 to support that "In this work, resolved MMDs at either sampling location were well within the efficient upper transmission limit for the vast majority of data (line 168-169)". However, in my view, Williams et al. (2013) over-interpreted Liu et al. (2007)'s results (page 3272) as 100% transmission efficiency between 90-700 nm D<sub>va</sub>. Figure 11 in Liu et al. (2007) clearly shows that at 760 torr, the transmission efficiency falls below 60% above 500 nm D<sub>va</sub> both for experimental and model results. As an important methodology paper, I suggest the authors to be more careful about the inherent AMS transmission problem and to avoid any possible misleading to non-senior AMS users. Readers should know this method may not work for all ambient cases. I therefore strongly suggest the authors to apply Liu et al's size-dependent transmission efficiency to their data and to see if there is any problem at such "given" transmission condition. One more supplementary figure and some discussion in the main text are expected in this case.

We agree with the reviewer's suggestion and have amended the wording in the methodology section. We also have expanded the discussion on lens transmission (→subchapter 3.4 in the revised manuscript, also appended below).

[...] The transmission efficiency of the AMS aerodynamic lens is known to fall off below ~100nm and beyond ~550 nm of vacuum-aerodynamic diameter (Liu et al., 2007;Takegawa et al., 2009;Zhang et al., 2004;Bahreini et al., 2008;Williams et al., 2013;Knote et al., 2011) and may bias measured particle mass and mode diameters, particularly in the accumulation mode towards lower values if significant particle mass fractions fall in the size region of D<sub>va</sub> > 550 nm. In the Aitken mode range, the effect of limited lens transmission is expected to be less substantial as particle volume (and hence particle mass) of Aitken mode particles are much smaller. We discuss the effects of lens transmission briefly in section 3.4. [...]

### **3.4 Influence of AMS lens transmission**

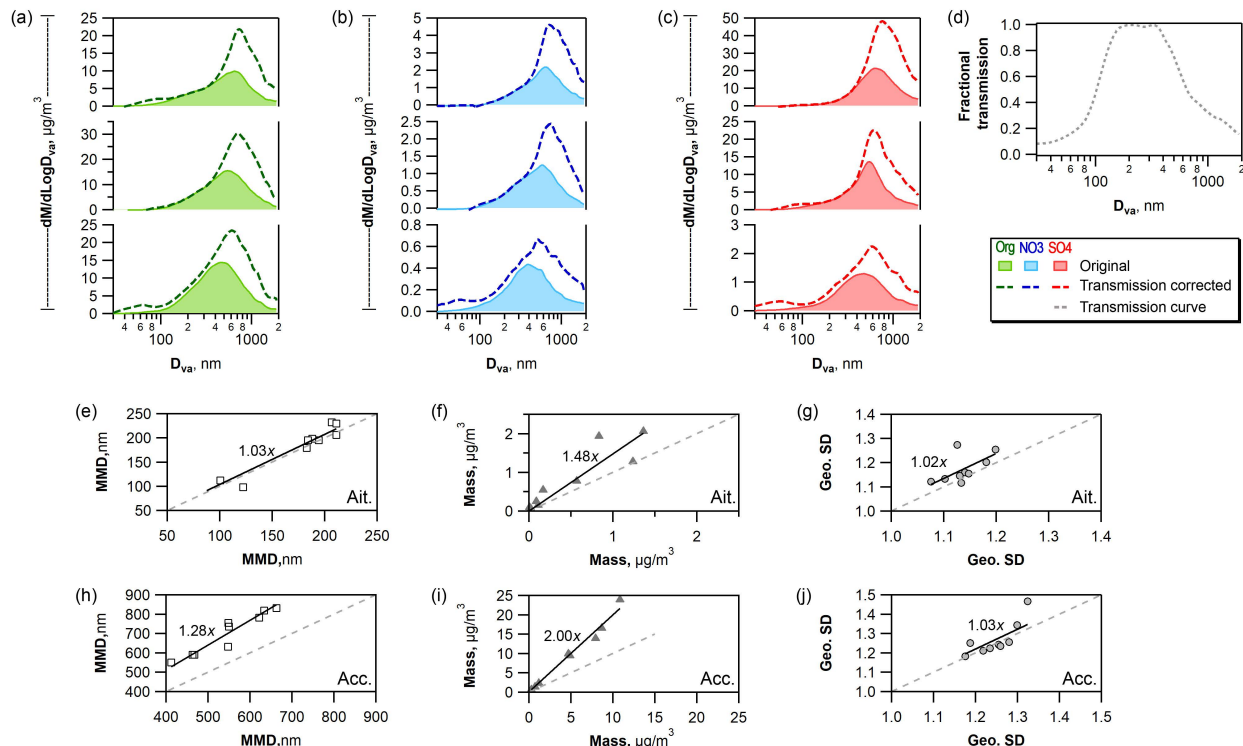
The quantitative measurement of particle components in the AMS is dependent on three major factors which may lead to particle loss prior to detection (Huffman et al., 2005). Irregularly shaped particles deviating from the flight path in the vacuum chamber may miss the vaporizer. Particles bouncing off the vaporizer surface will not be vaporized and hence may not be detected. Lastly, the aerodynamic lens which is part of the instrument's inlet system does not transmit particles uniformly across all particle diameters. Small particles are lost due to insufficient focusing or diffusion and large particle impact the lens apertures (Liu et al., 2007;Williams et al., 2013). Being a function of particle size, the latter factor affects both total AMS quantifiable particle mass (NR-PM<sub>1</sub>) and measured mass size distributions. Transmission curves determined for the standard lens, which is fitted in most AMS instruments, can vary but typically show efficient (i.e. close to 100%) transmission in the range of 100-550nm (Knote et al., 2011) falling of significantly at either edge.

We examined the potential impact of lens transmission on the AMS mass size distributions on a number of 24h size distributions from the fall season HKUST dataset covering both efficient and reduced lens transmission size regimes (accumulation mode diameters between 400 and 600nm). Panels a-c in Figure D18 in the Supporting Material depict original and lens-transmission corrected 24h mass size distributions for organic, nitrate and sulfate, assuming the transmission function (subpanel d) reported by Liu et al., 2007. Impacts were generally larger in the accumulation

mode range with evident shifts to larger mode diameters and larger mode mass concentrations observed in all size distributions. In the small diameter range, enhanced shoulders can occur which may however be artifacts due to the larger uncertainties (low signal to noise ratio at small particle mass), i.e. greater noisiness at the leading end of AMS size distributions. For a quantitative comparison, bimodal fitting parameters from the corrected distributions were plotted against those from the original distributions in Figure D18 in the Supporting Material (subpanels e-g refer to the Aitken mode and subpanels h-j to the accumulation mode). Leading edge shoulders in the corrected size distributions were not considered in the fitting. Changes in the Aitken mode mass median diameters were minor (on average ~3%), while the integrated mode particle mass increased moderately (~48%). In the accumulation mode, mass median diameters increased by ~28% and integrated mode particle mass doubled. The distribution widths (geometric standard deviations) exhibited little change in both modes (increases of 2-3%).

Fitting results will therefore vary depending on whether AMS size distribution and concentration data are corrected for lens transmission. While explicit lens transmission corrections can improve the accuracy of quantification of AMS species concentration and size distribution measurements, few ambient studies explicitly use lens transmission corrections based on individual experimental determinations or literature values e.g. (Quinn et al., 2006; Cross et al., 2007). Lens transmission curves can vary between instruments (Fast et al., 2009) and are inherently difficult to determine accurately experimentally. As discussed previously, scaling of size distributions by lens transmission curves may introduce artifacts in noisier size distributions (e.g. low end of the Aitken mode, low concentration periods, short term size distribution averages). Trailing edges from slow vaporization (e.g. under high particle mass loadings) may be exacerbated and inflate mass concentrations at the upper size cut range of the AMS. The majority of ambient studies employs a combined correction factor (collection efficiency, CE) considered to be the joint product of the previously mentioned transmission efficiencies related to particle bounce, beam broadening and lens transmission (Middlebrook et al., 2012) derived from aerosol composition and by comparison to collocated speciation or particle sizing instruments. As the AMS lens transmission curve could not be determined in this study and to avoid additional uncertainties from the application of non-instrument specific lens transmission values, we followed the CE correction method in the analysis of the size distribution data in this study. The reported values of resolved mode diameters and integrated mode should therefore be regarded as lower bound estimates in the context of the instrumental limitations affecting ambient AMS measurements.

## Additional Figure in Supporting Material



**Figure D18.** Examples of 24h size distributions from the fall season HKUST dataset for (a) organics, (b) nitrate, (c) sulfate; *original size distributions shaded and lens transmission corrected size distributions up to 2.0 $\mu$ m as hashed lines*; Applied lens transmission curve (d) from Liu et al., 2007 with interpolation between data points and a linearly flattening tail between 1.1 and 2.0  $\mu$ m; Scatter plots of fit parameters from bimodal peak fits for the Aitken mode (e-g) and the accumulation mode (h-j); *original size distributions on x-axis and lens transmission corrected size distributions on y-axis*.

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# 1 Diurnal and day-to-day characteristics of ambient particle mass 2 size distributions from HR-ToF-AMS measurements at an urban 3 site and a suburban site in Hong Kong

4 Berto P. Lee<sup>1</sup>, Hao Wang<sup>2</sup>, and Chak K. Chan<sup>1,2\*</sup>

5 <sup>1</sup>School of Energy and Environment, City University of Hong Kong, Hong Kong, China

6 <sup>2</sup>Division of Environment, Hong Kong University of Science and Technology, Hong Kong, China

7 *Correspondence to:* Chak K. Chan (chak.k.chan@cityu.edu.hk)

8 **Abstract.** Mass concentration based particle size distributions measured by a high-resolution aerosol mass  
9 spectrometer were systematically analyzed to assess long and short-term temporal characteristics of ambient particle  
10 size distributions sampled at a typical urban environment close to emission sources and a suburban coastal site  
11 representing a regional and local pollution receptor location in Hong Kong. Measured distributions were bimodal and  
12 deconvoluted into submodes which were analyzed for day-to-day variations and diurnal variations.

13 Traffic and cooking emissions at the urban site contributed substantially to particle mass in both modes, while notable  
14 decreases in mass median diameters were limited to the morning rush hour. Inorganic particle components displayed  
15 varying diurnal behavior, including nocturnal nitrate formation and daytime photochemical formation evident in both  
16 modes. Suburban particle size distributions exhibited notable seasonal disparities with differing influence of local  
17 formation, particularly in spring and summer, and transport which dominated in the fall season leading to notably  
18 higher sulfate and organic accumulation mode particle concentrations. Variations in particle mixing state were  
19 evaluated by comparison of inter-species mass median diameter trends at both measurement sites. Internal mixing was  
20 prevalent in the accumulation mode in spring at the urban site, while greater frequency of time periods with external  
21 mixing of particle populations comprising different fractions of organic constituents was observed in summer. At the  
22 suburban site, sulfate and nitrate in the accumulation mode more frequently exhibited differing particle size  
23 distributions in all seasons signifying a greater extent of external mixing.

24 At the urban site, periods of greater submicron inorganic mass concentrations were more likely to be caused by  
25 increases in both Aitken and accumulation mode particle mass in summer, while at the suburban receptor location  
26 organic and nitrate Aitken mode particle mass contributed more regularly to higher total submicron species mass  
27 concentrations in most seasons (spring, summer and winter).

28

29

## 30 1. Introduction

31 Apart from mass and chemical composition, the size distribution of fine particles represents a vital physical property  
32 with important implications for human health and environmental effects of ambient aerosols (Seinfeld and Pandis,  
33 2006). Particle size relates directly to the aerodynamic properties which govern the penetration and deposition of  
34 particles in the airways and lungs (Davidson et al., 2005) as well as the scattering and absorption of light which affect  
35 the radiative properties and hence ambient visibility (Ahlquist and Charlson, 1967;Bohren and Huffman,  
36 1983;Charlson et al., 1991;Schwartz, 1996;Seinfeld and Pandis, 2006). Hygroscopic growth in response to changes in  
37 ambient humidity can alter particle light scattering properties (Seinfeld and Pandis, 2006;Köhler, 1936) and activation  
38 of condensation nuclei particles into cloud droplets depend on atmospheric conditions, chemical composition, mixing  
39 state as well as the size and morphology of particles (Abbatt et al., 2005;Kerminen et al., 2012;Meng et al.,  
40 2014;Westervelt et al., 2013).

41 Studies into the size distribution of ambient particulate matter in Hong Kong have been largely based on size-  
42 segregated filter samples (Yao et al., 2007b;Zheng et al., 2008;Zhuang et al., 1999;Huang et al., 2014;Bian et al.,  
43 2014) and measurements by electrostatic classifier instruments (Cheung et al., 2015;Yao et al., 2007a) and were hence  
44 either limited in size resolution (offline filter samples) or chemical resolution (total particle count by classification).  
45 Most measurements in Hong Kong were conducted in suburban environments. Inorganic ammonium and sulfate were  
46 mainly found in fine mode particles in condensation and droplet mode size ranges, while nitrate had strong coarse  
47 mode contributions (Zhuang et al., 1999). Seasonal differences were evident in solvent-extractable organics and trace  
48 metals which were mainly found in PM<sub>0.5</sub> particles in the wet season and winter whereas in fall a shift to larger particles  
49 (0.5–2.5  $\mu\text{m}$  fraction) in fall indicated a possibly stronger influence of aged particle components in the transition period  
50 of the Asian monsoon (Zheng et al., 2008). Size distributions acquired by a fast mobility particle sizer at the suburban  
51 HKUST supersite were investigated more recently to study the formation and accumulation of ultrafine particles under  
52 different air flow regimes. Particle number concentration enhancements during the day were attributed to secondary  
53 formation, while evening and nighttime peaks were thought to be related to transport of aged aerosols from upwind  
54 locations. Nucleation mode particle peaks were often observed in fall and related to regional pollution influence  
55 (Cheung et al., 2015). New particle formation events at the same site occurred as single and two-stage growth  
56 processes with organics and sulfuric acid contributing mainly to first stage growth in the daytime while nighttime  
57 second stage growth was attributed to ammonium nitrate and organics. Particle size growth into the diameter range of  
58 cloud condensation nuclei (CCN) was typically only achieved with the second growth stage (Man et al., 2015).

59 Investigations into particle size distributions in urban areas of Hong Kong are even scarcer. Yao et al. (Yao et al.,  
60 2007a) studied the properties and behavior of particles in vehicle plumes and reported a competing process between  
61 ambient background particles and fresh soot particles in the condensation of gaseous precursors and a dependency on  
62 temperature with bimodal volume size distributions observed at lower ambient temperatures and unimodal  
63 distributions in the lower accumulation size range at higher ambient temperatures.

64 The Aerodyne aerosol mass spectrometer (Canagaratna et al., 2007) is widely used to determine the chemical  
65 composition of major organic and inorganic components of non-refractory submicron particulate matter (NR-PM<sub>1</sub>).  
66 In contrast to most traditional aerosol sizing instruments, the AMS is capable of resolving main chemical constituents

67 within size distributions through analysis of particle flight times and particle ensemble mass spectra (Canagaratna et  
68 al., 2007;Jayne et al., 2000;Jimenez et al., 2003;Rupakheti et al., 2005) and thus yields valuable additional information  
69 on differences in composition of submicron particles with the gross of particle mass in the Aitken mode range ( $D_p \sim$   
70 10-100nm) and the accumulation mode range ( $D_p \sim 100-1000\text{nm}$ ) covered by the AMS. Thus far most studies  
71 employing ambient size distribution data from aerosol mass spectrometer measurements investigated longer time  
72 period averages, i.e. campaign averages (Salcedo et al., 2006;Sun et al., 2009;Aiken et al., 2009;Huang et al.,  
73 2010;Takegawa et al., 2009;Saarikoski et al., 2012;Li et al., 2015) or specific time periods of interest (Elser et al.,  
74 2016;Lee et al., 2013). Mohr et al. separated organic particle mass size distributions by periods of dominant influence  
75 of different PMF-resolved organic aerosol factors to study the properties of mass size distributions in relation to  
76 organic aerosol composition (Mohr et al., 2012). The 3D-factorization technique is an extension of traditional AMS  
77 PMF analysis on organic aerosol allowing to obtain estimates on the size distributions of organic aerosol factors,  
78 however under the assumption that factor size distributions remain invariant over the measurement period (Ulbrich et  
79 al., 2012).

80 The temporal evolution of species-specific size distributions, are mostly discussed qualitatively (Drewnick et al.,  
81 2005) and only few studies have evaluated temporal trends in mass size distributions in greater detail.  
82 Particle nucleation and subsequent growth events were investigated in Pittsburgh using size data from an AMS and  
83 two SMPS as well as various gaseous pollutant instruments and meteorological information. The AMS mass size  
84 distributions were evaluated quantitatively using the time series of binned particle concentrations generated from the  
85 grouping of raw data into wider size bins to represent different stages in the particle growth process. (Zhang et al.,  
86 2004). The same method was employed to evaluate contributions of ultrafine mode and accumulation mode particles  
87 to total organic particle mass (Zhang et al., 2005) by summation of size bins in the range of 30-100 nm and 100-  
88 1000nm. The authors also explored diurnal changes in size distributions of particle species by averaging over 3h  
89 periods in the morning (6–9 am) and afternoon (1–4 pm). Sun et al. present a qualitative discussion of diurnal  
90 variations in the mass size distributions of the  $m/z$  44,  $m/z$  57 and derived  $\text{C}_4\text{H}_9^+$  ion signals from measurements at an  
91 urban site in New York (Sun et al., 2011). Similarly, Setyan et al. examined diurnal changes in the mass size  
92 distributions of organics and sulfate qualitatively and used binned concentrations (40–120, 120–200, and 200–800)  
93 nm in their quantitative analysis to study the evolution of particle chemistry in new particle formation and growth  
94 events (Setyan et al., 2012).

95 **In this work, we introduce a systematic approach of assessing temporal variations in AMS mass-based particle size**  
96 **distributions from hourly diurnal variations to 24h-average based day-to-day trends to utilize two key instrumental**  
97 **advantages, i.e. species segregation and high time resolution, to obtain a more detailed and quantitative understanding**  
98 **of the variabilities in ambient particle mass size distributions and to provide an additional dimension to standard AMS**  
99 **data analysis techniques.** In this context, we present a detailed discussion of particle size data from HR-ToF-AMS  
100 measurements during two field campaigns in Hong Kong in both urban and suburban environments. We aim to  
101 evaluate characteristic recurrent changes in size distribution as well as longer term trends in different seasons by  
102 analyzing day-to-day variations and diurnal variations of size distributions of submicron organics, sulfate, and nitrate  
103 particle mass. The two contrasting sites represent a typical urban source environment (inner-city, roadside station)

104 close to primary emission sources and a suburban location (coastal, HKUST supersite) which is largely a downwind  
105 receptor of varying amounts of local urban, regional and long-range transported pollutants (Li et al., 2015;Huang et  
106 al., 2014).

107

## 108 **2. Methodology**

### 109 **2.1. Field campaigns**

110 Sampling of ambient submicron non-refractory particulate matter (NR-PM<sub>1</sub>) was carried out using an Aerodyne HR-  
111 ToF-AMS at the HKUST air quality supersite covering four seasons between May 2011 and February 2012 (spring:  
112 2011-05, summer: 2011-09, fall: 2011-11&12, winter: 2012-02). The HKUST supersite is located on the campus of  
113 the Hong Kong University of Science and Technology (22°20'N, 114°16'E), on the east coast of Hong Kong in a  
114 suburban area with few primary emission sources in the immediate vicinity. Sampled air was drawn from the rooftop  
115 of a pump house building at an approximate height of 25m above ground level. For detailed descriptions of the  
116 experimental setup, operating conditions, data treatment, and overall species composition we refer the reader to  
117 previous publications (Lee et al., 2013;Li et al., 2015;Li et al., 2013). A further sampling campaign took place between  
118 spring 2013 (2013-03 to 2013-05) and summer 2013 (2013-05 to 2013-07) at an inner-city urban location in the  
119 densely populated and built-up Kowloon peninsula. Measurements were conducted next to the roadside air quality  
120 monitoring station (AQMS) operated by the Environmental Protection Department (EPD) of the HKSAR Government  
121 in the Mong Kok (MK) district on a pedestrian crossing at a major road junction. Sampled air was drawn from a height  
122 of 3m above ground level. A comprehensive analysis of trends in species concentration and composition identified in  
123 this urban campaign has been presented previously (Lee et al., 2015). In both campaigns, particles were sampled  
124 through a PM<sub>2.5</sub> cyclone at a flow rate of 16.67 L/min into a sampling port from which 0.08 L/min was drawn by the  
125 AMS and the remainder drawn by co-sampling instruments and an auxiliary pump. Sample air for the AMS passed  
126 through a 1m long diffusion dryer (BMI, San Francisco CA, USA) filled with silica gel to remove bulk gas- and  
127 particle-phase water. Additional data from various collocated instruments including meteorological data (wind,  
128 temperature, relative humidity, solar irradiation), volatile organic compounds (VOCs) and standard trace gases such  
129 as NO<sub>x</sub>, SO<sub>2</sub>, and O<sub>3</sub> were available.

130 AMS data were treated according to general AMS data treatment principles (DeCarlo et al., 2006;Jimenez et al., 2003)  
131 with standard software packages (SQUIRREL, PIKA). Analysis of the unit-mass resolution mass spectra yielded non-  
132 refractory submicron particle species concentrations of major inorganic constituents (SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, Chl) and total  
133 organics at a base time resolution of 10 min. Positive Matrix Factorization (PMF) was used to deconvolute high-  
134 resolution organic mass spectra acquired at 10 min time resolution following recommended PMF guidelines for AMS  
135 data (Zhang et al., 2011) with the AMS PMF analysis toolkit (Ulbrich et al., 2009). At the urban Mong Kok site, six  
136 organic aerosol (OA) factors were identified encompassing three secondary organic aerosol (SOA) and three primary  
137 organic aerosol (POA) factors of which one was attributed to traffic emissions and two to cooking activities (Lee et  
138 al., 2015). Similarly, four factors were obtained from analysis of the urban HKUST site dataset with two SOA factors

139 and two POA factors, related to traffic and cooking respectively (Li et al., 2015). Further details on the treatment of  
140 AMS size distribution data from both sampling campaigns are provided in the following section.

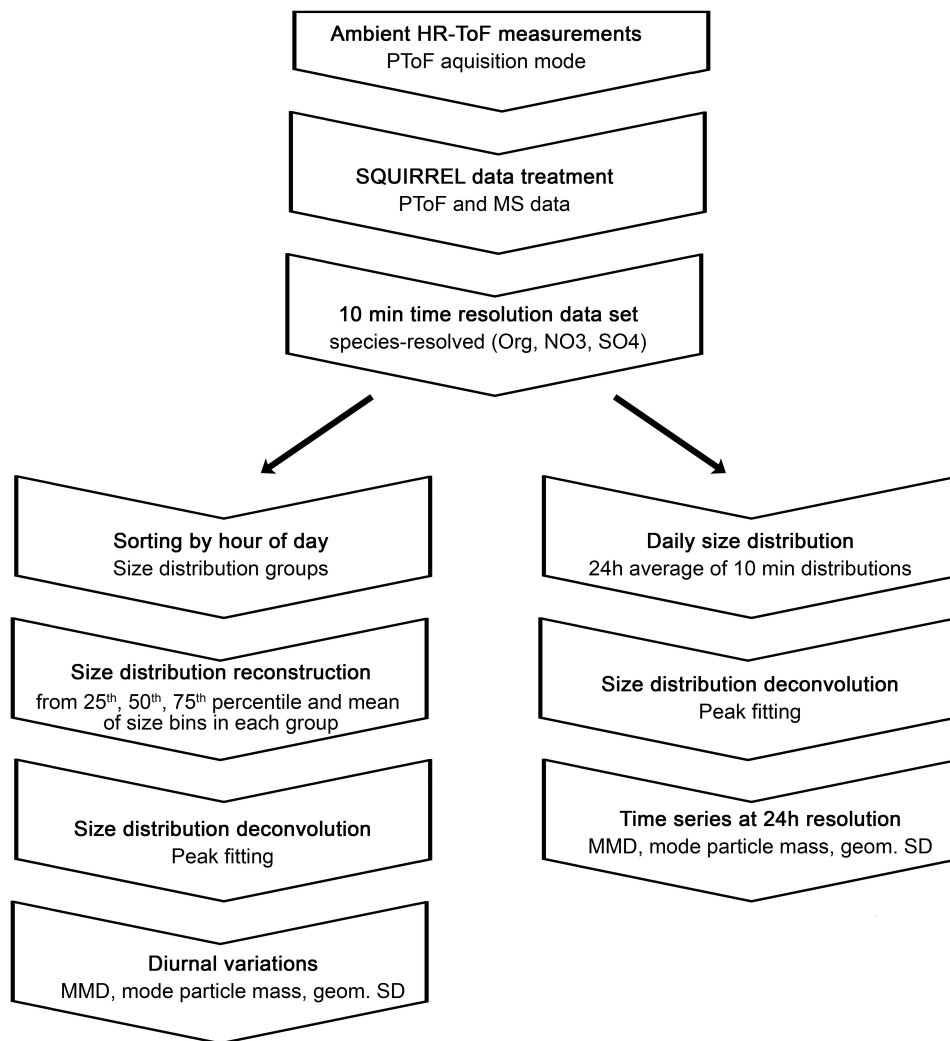
## 141 2.2. Data acquisition and treatment

142 In both campaigns, mass concentration based size distributions in terms of vacuum-aerodynamic particle diameter  
143 ( $dM/d\log D_{va}$ ) were established by joint acquisition of particle time-of-flight (PToF) measurements and unit mass  
144 resolution mass spectra (V-mode) with alternation between modes every 20s for 30 cycles amounting to 5 min of total  
145 sampling time. High-resolution mass spectra were acquired for the following 5 min, and thus the overall raw data time  
146 resolution for each mode was equal to 10 min. The total particle mass measured in the PToF mode was normalized to  
147 the V-mode mass concentration of the same time step. Daily size distributions were generated by averaging over 24h  
148 periods (from 0:00 to 23:59). Hourly diurnal size distributions were reconstructed by grouping size distributions within  
149 the same hour of the day and establishing representative size distributions based on average, median, 25<sup>th</sup> and 75<sup>th</sup>  
150 percentile concentration values of each size bin (*referred to as size distribution sets hereinafter*).

151 At both sampling sites, the seasonally averaged AMS size distributions were bimodal (Lee et al., 2013, 2015; Li et al.,  
152 2015) with similar distributions having been observed in other AMS field studies in various parts of the world. (Zhang  
153 et al., 2014; Sun et al., 2011; Huang et al., 2011; Aiken et al., 2009; Zhang et al., 2005; Crippa et al., 2013; Docherty et  
154 al., 2011; Mohr et al., 2012). Multimodality of size distributions is typical for environments where different sources or  
155 formation processes of particles play a role and accordingly such distributions can also be represented as sums of  
156 discrete lognormal distributions of the respective constituting submodes (John, 2011).

157 The measured bimodal size distributions in this work were deconvoluted by fitting two log-normal distributed modes,  
158 including one closer to the Aitken size range (*mode diameter ~100nm*) and one in the accumulation size range (*mode  
159 diameter ~500nm*) employing the Levenberg-Marquardt algorithm (Gill et al., 1981) as a non-linear least squares fit,  
160 to evaluate differences in trends and formation or transformation processes in the two size regimes. An example of a  
161 size distribution fit and associated parameters is depicted in Figure D1 in the Supporting Material. Additional fit  
162 residual analyses were carried out in cases where the Aitken mode only accounted for small parts of (<10%) of the  
163 total particle mass and uncertainties in integrated mode particle mass from the peak fitting were examined for all size  
164 distributions. Details are presented in Section B of the Supporting Material. The smaller mode typically exhibited  
165 mode diameters in the range of 100-200 nm ( $D_{va}$ ) and is thus in the transition region between Aitken and lower  
166 accumulation mode. For a clearer distinction from the larger mode which unambiguously belonged to the  
167 accumulation size range, we opt to refer to the small mode as *Aitken mode* in this work. Mode diameter (*i.e.* mass  
168 median diameter, MMD), curve width (*i.e.* geometric standard deviation, GSD) and curve area (*equivalent to particle  
169 mass concentration within the mode*) are sufficient parameters to completely describe a lognormal distribution and  
170 these key variables are used in the following analysis on trends in the fitted species-specific size distributions of  
171 organics, nitrate, and sulfate from both HR-AMS sampling campaigns in Hong Kong. Particle diameters are discussed  
172 in terms of vacuum-aerodynamic diameter, with detailed discussions on properties and relationships to other size  
173 metrics available elsewhere (DeCarlo et al., 2004; Slowik et al., 2004). Further details on procedures of PToF data

174 acquisition and size distribution averaging can be found in the Supporting Material in Section A and B respectively.  
175 The sequence of main data treatment and analysis steps is shown in Figure 1.  
176 The transmission efficiency of the AMS aerodynamic lens is known to fall off below ~100nm and beyond ~550 nm  
177 of vacuum-aerodynamic diameter (Liu et al., 2007;Takegawa et al., 2009;Zhang et al., 2004;Bahreini et al.,  
178 2008;Williams et al., 2013;Knote et al., 2011) and may bias measured particle mass and mode diameters, particularly  
179 in the accumulation mode towards lower values if significant particle mass fractions fall in the size region of  $D_{va} >$   
180 550 nm. In the Aitken mode range, the effect of limited lens transmission is expected to be less substantial as particle  
181 volume (and hence particle mass) of Aitken mode particles are much smaller. We discuss the effects of lens  
182 transmission briefly in section 3.4. Delayed vaporization of particle components, e.g. under high mass loadings, can  
183 lead to small shifts towards larger mode diameters in AMS size distributions (Docherty et al., 2015) and enhanced  
184 tails in the size distributions (Cross et al., 2009), which may lead to larger fit residuals at the trailing edges. Generally,  
185 the discussion of size distributions in this work should be viewed in the context of the instrumental capabilities and  
186 previously mentioned limitations of aerosol mass spectrometry. Therefore, the resolved Aitken and accumulation  
187 modes in this work reflect the apparent Aitken and accumulation modes within AMS measurable particle mass size  
188 distributions.  
189



190

191 **Figure 1.** Flow chart of main data acquisition, data treatment and data analysis procedures

192 **3. Results and Discussion**

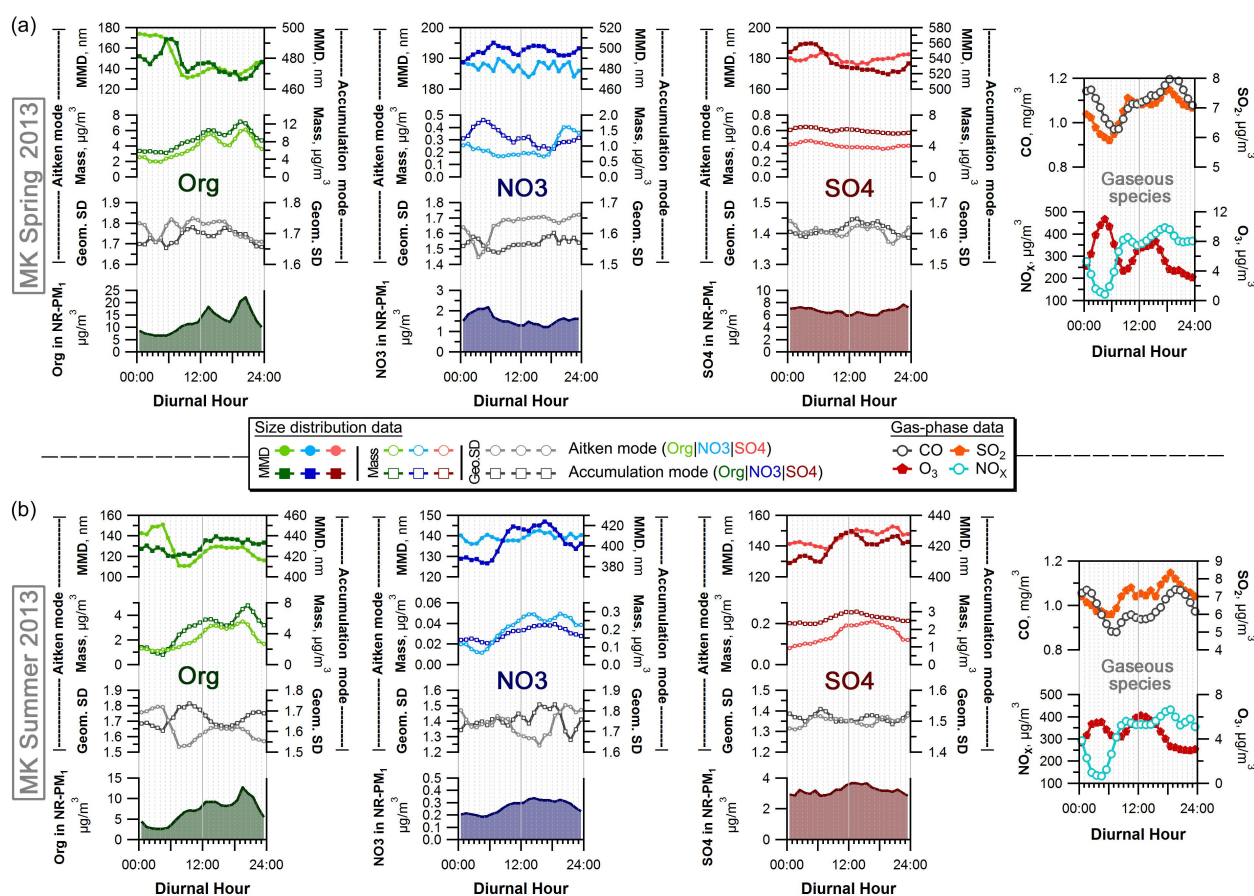
193 **3.1. Diurnal size distribution characteristics**

194 Diurnal species variations are predominantly discussed in terms of total mass concentration up to the size cut of the  
 195 sampling inlet or the instrumental capability, e.g. total species concentrations in NR-PM<sub>1</sub> for AMS-based studies.

196 AMS mass-based size distributions can be utilized more systematically and complementary to standard AMS data  
 197 analysis techniques by deconvoluting multimodal distributions into their constituting submodes and evaluating their  
 198 variation and contribution to overall species concentration variations on a diurnal time scale. As previously mentioned,  
 199 we examined size distributions reconstructed from the average, median, 25th and 75th percentile of hourly grouped  
 200 size distributions, analogous to commonly reported AMS species diurnal variations, with quantitative analysis  
 201 focusing on concentrations from the median dataset.

202 **3.1.1. Urban roadside NR-PM<sub>1</sub>**

203 The urban roadside measurements took place between March and July 2013 covering two seasons (Spring 2013:  
 204 *March to mid-May 2013*; Summer 2013: *mid-May to July 2013*) at a location dominated by the influence of primary  
 205 emission sources. Organics were the major particulate species in NR-PM<sub>1</sub> of which two-thirds were attributable to  
 206 traffic and cooking sources. Anthropogenic gas-phase species, including various VOCs, NO<sub>x</sub>, CO, and SO<sub>2</sub> were  
 207 continuously abundant as well (Lee et al., 2015; Sun et al., 2016). Particle size distributions at the urban site exhibited  
 208 discernible diurnal trends, with Figure 2 depicting the variations in (mass median) diameters of the lognormal fitted  
 209 Aitken and accumulation modes, corresponding integrated peak areas representing the total mass accounted for by  
 210 particles in each mode, the geometric standard deviation signifying the spread across particle sizes as well as the total  
 211 submicron mass (NR-PM<sub>1</sub>) diurnal variation for organics, sulfate, and nitrate based on AMS V-mode data. Individual  
 212 trends are discussed species-wise in the following.



213 **Figure 2.** Diurnal variations of mode diameter (MMD), integrated mode mass concentration and width of the Aitken mode (*lighter*  
 214 *color*) and accumulation mode (*darker color*) from bimodal peak fits of the bin-median reconstructed size distributions at the urban  
 215 Mong Kok site and V-mode AMS species concentrations (*line with shaded background*) for organics, nitrate and sulfate (*left to*  
 216 *right*) in (a) Spring 2013 and (b) Summer 2013; The right-most panel depicts the **median** diurnal variations of relevant gas-phase  
 217 pollutants (O<sub>3</sub>, CO, NO<sub>x</sub>, SO<sub>2</sub>) measured at the adjacent Mong Kok Air Quality Monitoring Site (MK AQMS)  
 218



219 Organics

220 The diurnal variation of total Aitken and accumulation mode particle mass both largely followed the same trend as  
221 total submicron organic mass (lower panels in Figure 2 a, b) affirming that urban sources of organic particulate matter  
222 contributed substantially to PM mass across the covered size region. Mass concentrations in both modes were smallest  
223 during the night (0:00 to 6:00) and highest during lunch and dinner (12:00 to 14:00, 19:00 to 21:00), when the  
224 influence of organic aerosol from cooking (COA - cooking organic aerosol) and from traffic (HOA – hydrocarbon-  
225 like organic aerosol) were dominant (Lee et al., 2015). Trends in integrated mode particle mass and MMDs were  
226 similar across all size distribution sets (Figure D6 in the Supporting Material), confirming that they occurred  
227 persistently throughout the measurement period and making diurnal timescale processes the dominant factor in  
228 determining size characteristics of organic-containing particles at this urban roadside location.

229 Minimum Aitken mode particle mass concentrations (*median values*) amounted to 2.3  $\mu\text{g}/\text{m}^3$  in spring and 1.2  $\mu\text{g}/\text{m}^3$   
230 in summer, accounting for 28-38% of total submicron particulate mass, and were typically reached between 03:00 and  
231 04:00. These concentrations represent the estimated urban background mass of Aitken mode particles carried over  
232 from the daytime and not removed by gravitational settling, coagulation or sweep-out as well as contributions from  
233 nighttime activity such as traffic, which remains continuous in the inner-city districts at night albeit at much lower  
234 vehicle numbers compared to the daytime.

235 Organic concentrations increased notably between 6:00 and 9:00 during the morning rush hour with traffic-related  
236 constituents (*HOA – hydrocarbon-like organic aerosol*) accounting for the largest part (60% in spring, 40% in  
237 summer) of this increase. In the Aitken mode, particle mass concentrations rose by 1.6  $\mu\text{g}/\text{m}^3$  (spring) and 0.8  $\mu\text{g}/\text{m}^3$   
238 (summer) in the same time period. Assuming direct proportionality between the contribution of HOA to total  
239 submicron organic mass increase and the increase of particle mass in each submode, 0.9  $\mu\text{g}/\text{m}^3$  (spring) and 0.3  $\mu\text{g}/\text{m}^3$   
240 (summer) of particle mass were estimated as traffic-related organic components in the Aitken mode. Significant  
241 changes were evident in the particle size metric (MMD) during the same time period, where a consistent decrease by  
242 20-30% from about 170 nm (spring) or 160 nm (summer) to 130-140 nm (spring) or 120 nm (summer) was evident  
243 with the concurrent increase in road traffic. This combined shift to smaller particle size and increase in total particle  
244 mass denotes a strong increase in the total number concentrations of particles in the Aitken mode range by at least a  
245 factor of 4-5 (assuming spherical particles and constant particle density) with significant additional contributions  
246 expected from elemental carbon particles and smaller Aitken mode and nucleation mode particles below the range of  
247 efficient particle transmission of the AMS inlet lens (Williams et al., 2013).

248 Beyond 10:00, changes in submicron organic mass concentrations were dominated by variations in cooking-related  
249 organic aerosol (COA) components. During the main meal times (12:00 – 14:00 and 19:00 – 21:00) changes in organic  
250 submicron mass were almost entirely (>80%) caused by COA in both seasons (Table C4 in the Supporting Material)  
251 and daily maximum Aitken mode particle mass concentrations typically occur during these hours (5.5 – 6.2  $\mu\text{g}/\text{m}^3$  in  
252 spring, 3.1 – 3.5  $\mu\text{g}/\text{m}^3$  in summer) with higher concentrations during the dinner period. Analogous to HOA,  
253 considering proportionality between COA fractional contribution and submode particle mass increase, primary  
254 cooking emissions accounted for 1.7 - 1.8  $\mu\text{g}/\text{m}^3$  of organic particle mass in the Aitken mode. Dinner in summer  
255 represents a notable exception, where the estimated cooking-related increase only amounted to 0.5  $\mu\text{g}/\text{m}^3$ . This is

256 mainly due to specific local meteorological and geographical features owing to a greater frequency of easterly surface  
257 winds in the warmer season and the geographical distribution of cooking sources predominantly to the east of the  
258 sampling site (Sun et al., 2016; Lee et al., 2015), which led to considerably elevated Aitken mode mass concentration  
259 throughout the day including the late afternoon period and a correspondingly smaller additional increase during the  
260 dinner time. The aforementioned effect is particularly evident in the diurnal trend of the Aitken mode particle mass  
261 fraction among total organic submicron mass (Figure 3a) which displayed a broad bell-shape during the day in summer  
262 with nominal increases of 9-10%, whereas in spring the variation follows a double peak behavior with nominal  
263 increases of 4-5% during the meal times which emphasize the more intermittent behavior of cooking-related particle  
264 contributions in spring. Cooking emissions did not lead to conspicuous changes in the size-related distribution metrics,  
265 i.e. there were no obvious trends in particle diameters (MMDs) or distribution widths (GSDs) during the meal time  
266 periods (Figure 2a, b - *black lines in lower panels*).

267 In the accumulation mode, organic particle mass during the night hours (00:00 – 06:00) was 2.5 times larger in spring  
268 ( $5.5 \mu\text{g}/\text{m}^3$ ) than in summer ( $2.0 \mu\text{g}/\text{m}^3$ ). The mass concentration increase during the morning rush hour was larger  
269 in summer ( $\Delta M=3.9 \mu\text{g}/\text{m}^3$ ) than in spring ( $\Delta M=3.0 \mu\text{g}/\text{m}^3$ ), which was mainly caused by daytime increases of SOA  
270 components in summer (Lee et al., 2015), and consequently led to a lower fractional rush hour increase of traffic  
271 related organic constituents. Estimated particle mass contributions of traffic emissions in the accumulation mode  
272 amounted to  $1.8 \mu\text{g}/\text{m}^3$  in spring and  $1.6 \mu\text{g}/\text{m}^3$  in summer. In terms of particle size, the onset of the rush hour had  
273 little conspicuous effects on the accumulation mode without clear trends in MMDs in both seasons. In summer the  
274 shift to smaller MMDs was accompanied by a notable narrowing of the Aitken mode, whereas in spring Aitken mode  
275 distribution widths remained largely stable throughout the day (Figure 2a, b - *lower panels*).

276 Maximum accumulation mode particle concentrations during the meal hours reached  $10.5 - 12.3 \mu\text{g}/\text{m}^3$  in spring and  
277  $6.0 - 7.4 \mu\text{g}/\text{m}^3$  in summer. Analogous to the Aitken mode, estimated cooking-related particle contributions in the  
278 accumulation mode amounted to  $2.0 \mu\text{g}/\text{m}^3$  (spring) and  $1.0 \mu\text{g}/\text{m}^3$  (summer) during lunch, and to  $2.7 \mu\text{g}/\text{m}^3$  (spring)  
279 and  $2.4 \mu\text{g}/\text{m}^3$  (summer) during dinner. Also, distribution widths (GSD) in the accumulation mode were not notably  
280 affected by cooking emissions. Seasonal differences were apparent in the mass median diameters of the accumulation  
281 mode. In spring, mode diameters remained largely constant ( $\pm 10\text{nm}$ ) apart from a subtle peak during the morning  
282 rush hour, indicative of minor condensational growth of traffic-related primary organics or rapidly formed secondary  
283 species. In summer, a consistent increase in particle size by  $20\text{nm}$  ( $\sim 5\%$ ) during the daytime points to particle growth  
284 through secondary formation as a governing factor.

285 Aitken mode particles contributed larger fractions to the total increase in organic submicron particle mass during the  
286 rush hour and mealtimes in spring (33-56%) than in summer (16-38%). These differences were presumably due to  
287 seasonal meteorology and associated effects on the formation, accumulation, and dispersion of particles from primary  
288 emission sources, as source strengths and characteristics of road traffic and commercial cooking are unlikely to vary  
289 with seasons in the inner-city urban areas of Hong Kong. Ambient temperatures and solar irradiation differed  
290 substantially with  $7^\circ\text{C}$  higher average temperatures and three times higher integrated daily solar irradiation in summer  
291 compared to spring (Figure D10e-f in the Supporting Material). Lower overall ambient temperatures enhance  
292 condensation of gas-phase emissions and particle nucleation and shift the gas-to-particle partitioning equilibrium of

293 semi-volatile constituents towards the particle-phase. We expect these volatility effects to be a main contributing  
294 factor, as sampling took place in direct vicinity of the emission source, i.e. next to the road and thus potential impacts  
295 of physical effects such as enhanced near-ground mixing and dispersion through thermally induced convection in  
296 summer are expected to be of minor influence. Considering the previously discussed estimated traffic contributions  
297 during the rush hour, the seasonal difference in mass concentration was much more pronounced in the Aitken mode  
298 (-67%, 0.6  $\mu\text{g}/\text{m}^3$ ) than the accumulation mode (-12%, 0.2  $\mu\text{g}/\text{m}^3$ ), consistent with the expected stronger impact of  
299 reduced particle nucleation and reduced condensation of semi-volatile exhaust components on fresher, smaller  
300 particles in the warmer season.

301 Comparing different size distribution sets (Figure D6 in the Supporting Material), the average concentration set in  
302 summer yielded notably larger resolved mass median diameters in both modes and greater Aitken mode mass  
303 compared to the median, 25<sup>th</sup>, and 75<sup>th</sup> percentile concentration sets. This indicates a strong influence of extreme  
304 values (i.e. time periods with both larger particle size and larger particle mass concentrations) and thus greater  
305 variability in size distributions in the warmer season caused by specific high and low concentration events such as  
306 photochemical episodes and precipitation, evident in the greater relative span of organic mass concentrations in  
307 summer (See Table C5 in the Supporting Material: ratio of 10th and 90th percentile to median concentration in NR-  
308 PM<sub>1</sub>). In spring, such events masked the diurnal processes to a lesser extent and with consequently greater consistency  
309 across different size distribution sets.

310

### 311 Sulfate

312 Although variations of total submicron sulfate mass concentrations with time of day were generally subtle, distinct  
313 trends were notable in MMDs and integrated mode mass concentrations in both Aitken and accumulation mode.

314 Generally, Aitken mode MMDs were 20% larger in spring (180nm) than in summer (150nm). While in spring  
315 fluctuations in Aitken mode MMDs were small throughout the day within a narrow range of +/- 10nm and without  
316 apparent regular features, the summertime diurnal variation exhibited a well-defined broad daytime peak with a shift  
317 to ~15nm larger particle diameters. A matching trend was evident in the accumulation mode where MMDs increased  
318 by ~20nm in summer. Conversely, in spring, a conspicuous nighttime peak in accumulation mode MMDs was  
319 observed in the low traffic period between 01:00 and 07:00 which tracked closely with the diurnal variation of O<sub>3</sub>  
320 which peaked in the same period with the reduction of the NO<sub>x</sub> titration effects at low nighttime traffic volumes. While  
321 particulate sulfate production during the day can be achieved through both homogeneous gas-phase oxidation of SO<sub>2</sub>  
322 by the OH radical as well as heterogeneous oxidation of SO<sub>2</sub> by dissolved H<sub>2</sub>O<sub>2</sub> or O<sub>3</sub> (Seinfeld and Pandis, 2006),  
323 nighttime production is limited to the non-photochemical heterogeneous pathway. The apparent increase in  
324 accumulation mode particle size was also associated with an increase of integrated submode particle mass by ~0.7  
325  $\mu\text{g}/\text{m}^3$  and thus **suggests the possibility** of heterogeneous SO<sub>2</sub> oxidation by residual ozone in the cooler and more  
326 humid spring season as a local source of particulate sulfate. In the warmer and drier summer season, no corresponding  
327 trend was apparent in either accumulation mode MMD or integrated mode concentration. The small magnitude of  
328 additionally produced sulfate (< 1  $\mu\text{g}/\text{m}^3$ ) in spring renders the nighttime production a minor source of particulate  
329 sulfate however and affirms that the bulk of the accumulation mode sulfate burden at the urban roadside still originated

330 from regional scale processes in both seasons. In summer, both modes exhibited notable increases in particle mass  
331 concentration levels during the daylight hours by ~80% in the Aitken mode and by ~35% in the accumulation mode  
332 compared to their respective nighttime “baseline“ concentrations. Integrated over the whole day, the additional sulfate  
333 burden above this baseline amounted to 0.4  $\mu\text{g}/\text{m}^3$  and 6  $\mu\text{g}/\text{m}^3$  and thereby accounted for 34% and 11% of the total  
334 daily Aitken and accumulation mode particle mass respectively. This represents a rough estimation of possible local  
335 photochemical contributions to the Aitken and accumulation size mode in summer at the urban roadside, excluding  
336 possible physical effects, e.g. vertical mixing and advection or dilution laterally through the street canyon.  
337 Enhancements in particle mass by photochemical contributions were more pronounced in the Aitken mode, with the  
338 median fraction of Aitken mode particle mass among total AMS-measured particle mass increasing substantially from  
339 its nighttime minimum at 4% to a maximum of 7% in the late afternoon in summer, while in spring the fraction  
340 remained almost constant at 6% throughout the day (Figure 3a).

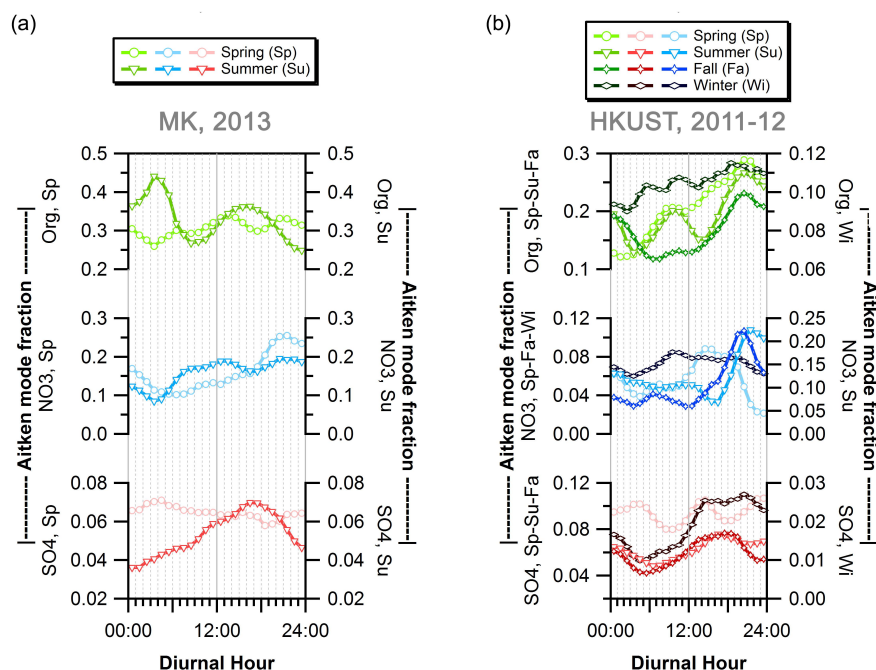
341 Considering different size distribution sets (Figure D6 in the Supporting Material), the 75<sup>th</sup> percentile size distributions  
342 and the average size distributions displayed notable increases in Aitken mode particle mass during the nighttime by  
343 20-50% in spring. There was no corresponding trend in the accumulation mode, where changes in integrated mass  
344 concentration remained consistently <10%. The skewing of the average and higher percentile data indicates the  
345 influence of time periods with significantly elevated nighttime concentrations, likely related to events and atmospheric  
346 conditions conducive to the extensive formation of Aitken mode sulfate particles. The accumulation mode showed no  
347 notable changes in the average and 75<sup>th</sup> percentile data during the same time period, thus precluding physical processes  
348 such as transport or lowering of the planetary boundary layer as likely influential factors for these observations.

349

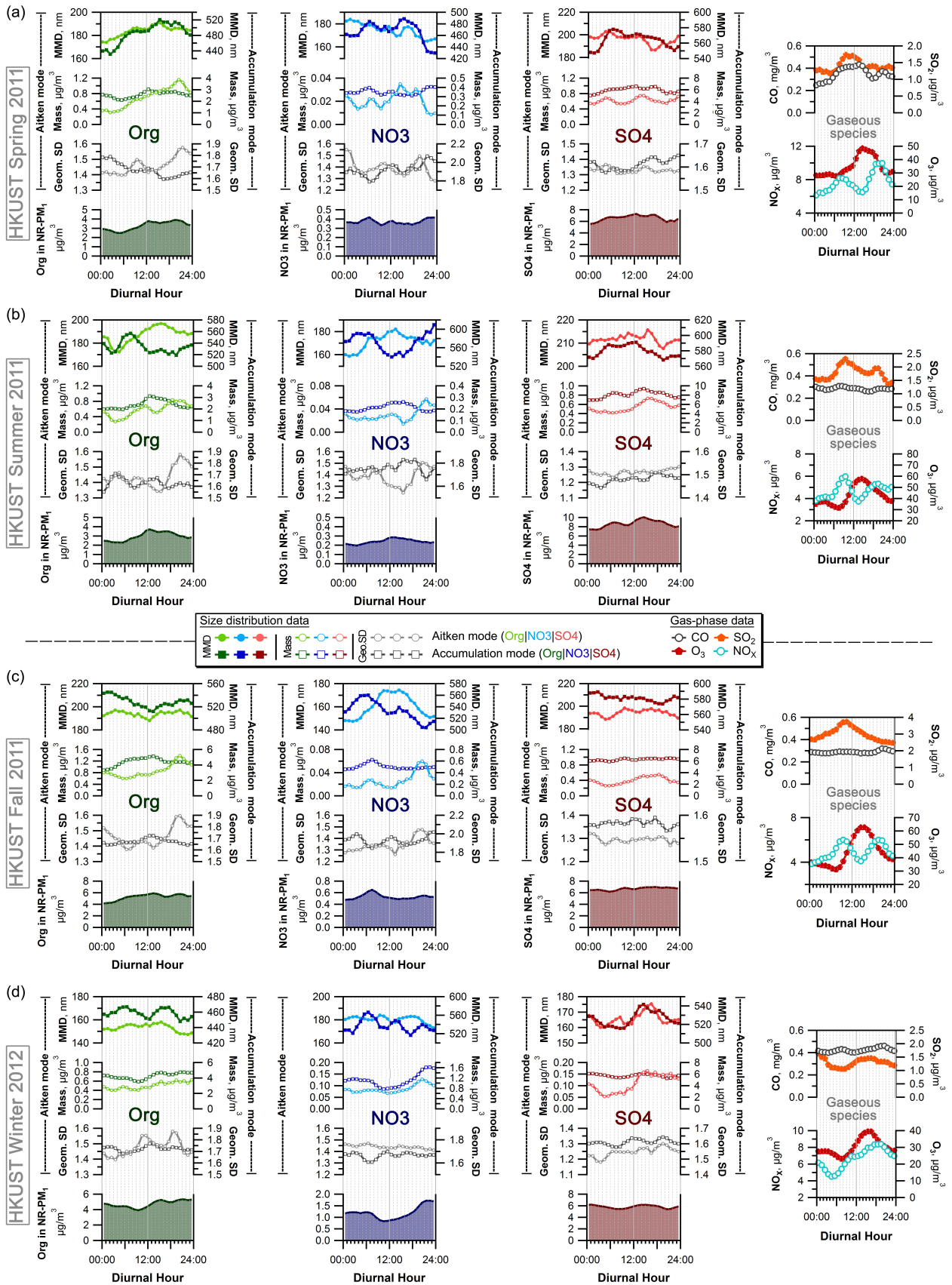
#### 350 Nitrate

351 Particulate nitrate mass concentrations in the Aitken and accumulation mode exhibited similar diurnal variations in  
352 spring with lower daytime concentrations due to evaporation and higher nighttime concentrations where secondary  
353 formation and gas-to-particle partitioning prevailed. Analogous to sulfate, the Aitken mode MMDs for nitrate showed  
354 little change (<5%) throughout the day in both seasons. Aitken mode mass concentrations, however, exhibited a  
355 twofold increase over the dinner hours accounting for approximately 0.9  $\mu\text{g}/\text{m}^3$  (~16%) of additional particle nitrate  
356 mass per day. This may be due to the much higher abundance of small particles from cooking emissions providing  
357 additional surface area to facilitate gas-to-particle partitioning of nitrate. Increased signal intensities of oxygenated  
358 organic nitrogen ions (see Figure D11 in the Supporting Material) have also been observed during dinner suggesting  
359 that organic nitrate or other oxygenated nitrogen-containing organic species that produce nitrate fragments (Farmer et  
360 al., 2010) may too have contributed to this observed concentration peak. Accumulation mode nitrate mass increased  
361 by almost one-third in the low traffic period (01:00 – 07:00) compared to earlier night concentration levels (22:00 –  
362 00:00) accompanied by a slight increase in MMD by ~10nm in spring. This signifies notable nighttime nitrate  
363 production through possibly nitric acid formation by ozone chemistry via the nitrate radical route under influence of  
364 organic components or formation of  $\text{N}_2\text{O}_5$  and subsequent hydrolysis during the night. Local nighttime nitrate  
365 production effectively contributed ~3  $\mu\text{g}/\text{m}^3$  (~10%) to the total daily accumulation mode nitrate burden in spring.

366 Summertime nitrate production in Hong Kong has been mainly attributed to photochemical activity based on previous  
 367 measurements of inorganic gas- and particle-phase nitrogen species at the suburban HKUST site (Griffith et al., 2015).  
 368 Particulate nitrate mass concentrations at the urban Mong Kok site likewise exhibited clear daytime peaks, similar to  
 369 sulfate albeit at smaller magnitude with total integrated increases of  $\sim 0.3 \mu\text{g}/\text{m}^3$  and  $\sim 0.8 \mu\text{g}/\text{m}^3$  particulate nitrate per  
 370 day in the Aitken and accumulation mode respectively. In the Aitken mode, particle mass remained elevated in the  
 371 early night hours ( $\sim 19:00 - 22:00$ ), which was likely due to the previously mentioned cooking-related nitrate  
 372 enhancement analogous to spring. The distribution of total submicron nitrate shifted slightly in favor of the Aitken  
 373 mode in summer with  $\sim 18\%$  of total submicron nitrate found in the Aitken mode compared to  $\sim 14\%$  in spring.  
 374 Comparing different size distribution sets (Figure D6 in the Supporting Material), the average size distributions  
 375 displayed notable disparity compared to the remaining sets in both seasons. In summer, integrated particle mass  
 376 concentrations and MMDs from the average set exhibited consistently larger values than those from the 25<sup>th</sup> percentile,  
 377 75<sup>th</sup> percentile, and median sets indicating significant influence of time periods with high nitrate concentrations and  
 378 larger nitrate-containing particles. In spring, the average data exhibited a decrease in MMD in the Aitken mode from  
 379 night to day, implying prolonged periods of significantly smaller daytime Aitken mode particles.



380  
 381 **Figure 3.** Diurnal variation of the fraction of Aitken mode particle mass among total submicron species mass for organics (*top*),  
 382 nitrate (*middle*) and sulfate (*bottom*) at the (a) urban Mong Kok site, and (b) suburban HKUST supersite in different seasons;  
 383 based on concentrations from bin-median size distributions, seasons denoted by marker color and type of marker symbol



385 **Figure 4.** Diurnal variations of mode diameter (MMD), integrated mode mass concentration and width of the Aitken mode (*lighter*  
386 *color*) and accumulation mode (*darker color*) from bimodal peak fits of the bin-median reconstructed size distributions at the  
387 suburban HKUST site and V-mode AMS species concentrations (line with shaded background) for organics, nitrate and sulfate  
388 (left to right) in (a) Spring 2011, (b) Summer 2011, (c) Fall 2011 and (d) Winter 2012; The right-most panel depicts the **median**  
389 diurnal variations of relevant gas-phase pollutants (O<sub>3</sub>, CO, NO<sub>x</sub>, SO<sub>2</sub>) measured at the same site.

### 390 3.1.2. Suburban coastal NR-PM<sub>1</sub>

391 The suburban HKUST site as a downwind receptor of urban and regional pollution was generally dominated by sulfate  
392 and oxygenated secondary organic aerosol (SOA) components and much lower fractions of primary organic  
393 constituents, which combined typically made up less than a quarter of total organics (Li et al., 2015). **Trends in the**  
394 **species segregated particle size distributions are discussed analogously to section 3.1.1., with Figure 4 illustrating the**  
395 **diurnal trends of the fitting parameters (MMD, integrated mode mass, geometric standard deviation) for organics,**  
396 **sulfate, and nitrate at the suburban HKUST site.**

#### 397 Organics

398 There were significant seasonal differences with larger fractions (Figure 3b) and concentrations (Figure 5c) of Aitken  
399 mode mass in total organic submicron particle mass in spring and summer compared to fall and winter, indicating  
400 greater influence of closer-ranged formation sources in the warmer season. Springtime integrated Aitken mode mass  
401 concentrations ( $\sim 0.8 \mu\text{g}/\text{m}^3$ ) were twice as high as those in winter ( $\sim 0.4 \mu\text{g}/\text{m}^3$ ). In the accumulation mode, highest  
402 particle mass loadings were observed in fall ( $5 \mu\text{g}/\text{m}^3$ ) and lowest loadings in spring ( $3 \mu\text{g}/\text{m}^3$ ) following the frequency  
403 pattern of continental air mass influence (Figure D12 in the Supporting Material) in each season indicating continental  
404 transport of particulate mass or gas-phase precursors. Lowest mass concentrations in the Aitken mode typically  
405 occurred in the night hours (00:00 – 05:00) in a range of  $0.3 - 0.5 \mu\text{g}/\text{m}^3$  in spring, summer, and winter, while in fall  
406 mass loadings of  $0.7 - 0.8 \mu\text{g}/\text{m}^3$  were reached. Diurnal changes were least pronounced in winter with largely constant  
407 integrated Aitken mode particle concentrations. In the remaining seasons, varying degrees of daytime changes were  
408 apparent with a general increase around 06:00, likely owing to citybound commuter traffic from surrounding roads to  
409 the west of the sampling site at 1-2km of lateral distance. This also led to a modest increase in particle polydispersity  
410 with a discernible widening of the Aitken mode size distributions (*black solid line, lowest panels in Figure 3*). Daily  
411 maxima in spring, summer and fall were reached in the early evening ( $\sim 21:00$ ) with marked differences in absolute  
412 mass concentrations depending on the respective season, from a summer time low of  $0.8 \mu\text{g}/\text{m}^3$  to a fall season high  
413 of  $1.4 \mu\text{g}/\text{m}^3$ . Mass median diameters in the Aitken mode were smaller in the night hours and displayed subtle  
414 increments during the day in the range of 10-20 nm reaching their maximum typically in the late afternoon, except for  
415 the fall season when mass median diameters displayed very little variation with time of day.

416 Total particle mass in the accumulation mode in spring and summer reached minima during the night hours ( $2 \mu\text{g}/\text{m}^3$ )  
417 and maxima ( $3 \mu\text{g}/\text{m}^3$ ) around noon, remaining stable in the daylight hours thereafter. MMDs increased notably from  
418 440nm at night to 510nm during the day in spring, while in summer a morning rise by  $\sim 30\text{nm}$  from 530nm to 560nm  
419 was obvious between 06:00 and 10:00 and coincided with the morning rush hour and the associated early morning  
420

421 peak of NO<sub>x</sub> and an otherwise stable mode diameter of 530nm for the rest of the day. In fall, the increase in  
422 accumulation mode organic mass occurred much earlier, starting in the dark hours at 04:00, with a corresponding  
423 trend also evident for nitrate but absent for sulfate, indicating a common source of these organic and nitrate enriched  
424 particles. Nighttime MMDs for organics were generally larger (540nm) and decreased to a minimum of 510nm in the  
425 early afternoon accompanied by a slight widening of the distribution. In winter, mass concentrations decreased  
426 appreciably in the early morning hours and started to increase only beyond 10:00. In the colder seasons (fall, winter),  
427 a similar concentration pattern was also observed for gas-phase SO<sub>2</sub> which is considered as a largely regional pollutant  
428 with few distinct local sources (Yuan et al., 2013), indicating that changes in boundary layer and mixing with regional  
429 background were likely the more dominant processes in winter.

430

#### 431 Sulfate

432 Aitken mode sulfate mass concentrations peaked in the afternoon from spring throughout fall with maximum  
433 concentrations reached progressively later in the afternoon (14:00 in spring; 16:00 in fall). Nominal concentrations  
434 were highest in spring and summer (0.5-0.6 µg/m<sup>3</sup>), slightly lower in fall (0.4 µg/m<sup>3</sup>) and reached the lowest levels in  
435 winter (0.1 µg/m<sup>3</sup>). In addition to the afternoon peak, a conspicuous early morning peak of similar magnitude was  
436 evident in spring between 02:00 and 06:00. A greater proportion of southerly winds was evident in said time period  
437 compared to the overall seasonal wind frequency distribution (Figure D13a in the Supporting Material) and may  
438 indicate transport of sulfate from marine sources in the southern parts of Hong Kong. Diurnal variations in MMDs  
439 and GSDs were generally small and without obvious regular trends. Nominal mass median diameters were  
440 significantly lower in winter (~170nm) compared to spring and fall (~190nm) and summer (~210nm).

441 Trends in accumulation mode particle mass were more pronounced. In spring, a shallow concentration valley during  
442 the late evening and night hours (20:00 to 03:00) with minimum concentrations of 5 µg/m<sup>3</sup> was apparent, while  
443 daytime concentrations stayed largely invariant at 6 µg/m<sup>3</sup>. The MMDs followed a similar variation with a minimum  
444 mode diameter around 550nm in the early hours of the day and slightly larger daytime MMDs around 570nm. Nominal  
445 concentrations were larger in summer with a nighttime valley concentration of 7 µg/m<sup>3</sup> and a well-pronounced broad  
446 day peak with a maximum of 9.5 µg/m<sup>3</sup> in the early afternoon (14:00-15:00). A prior additional morning peak occurred  
447 between 04:00 and 10:00 with particle mass concentrations reaching 8.5 µg/m<sup>3</sup> related to a consistent north-easterly  
448 morning wind pattern (Figure D13b in the Supporting Material) and likely associated with transport from north-  
449 easterly coastal regions or nighttime fisheries related maritime traffic. The diurnal trend in mass median diameter was  
450 similar to that in spring with a night minimum of 570nm and day maximum of 590nm.

451 In fall, accumulation mode characteristics showed no significant diurnal variability, with a largely stable integrated  
452 particle mass of 6 µg/m<sup>3</sup> and only subtle MMD changes (585nm at night; 575nm during the day). In winter, two  
453 concentration dips with reductions by ~0.5 µg/m<sup>3</sup> between 06:00 and 10:00 and between 18:00 and 22:00 were evident,  
454 while MMDs increased during the day between 10:00 and 15:00 from 520nm, peaking at a size of 540nm.

455

#### 456 Nitrate

457 Nitrate particle mass in the Aitken mode was generally small from spring throughout fall amounting to 0.01 - 0.06



458  $\mu\text{g}/\text{m}^3$ . Winter time concentrations were larger in a range of 0.06 - 0.08  $\mu\text{g}/\text{m}^3$  during the day and 0.10 - 0.12  $\mu\text{g}/\text{m}^3$  in  
459 the late evening hours. The latter evening peak centered around 21:00 was evident in most seasons (except spring)  
460 and accounted for 12-23% (0.1-0.25  $\mu\text{g}/\text{m}^3$ ) of total daily Aitken mode nitrate mass burden. Similar to the urban  
461 roadside location, these nighttime nitrate peaks coincided with the peak period of organic cooking aerosol  
462 concentrations (Figure D14 in the Supporting Material), which were however significantly smaller at the suburban  
463 measurement site and mainly attributed to the operation of an on-campus student canteen (Li et al., 2015). Trends in  
464 mass median diameters varied between seasons with no discernible trend in winter, a subtle decreasing trend with time  
465 of day in spring and broad daytime diameter increases in summer and fall. Solar irradiation in these two seasons was  
466 comparatively high (Figure D10b-c in the Supporting Material) indicating that photochemical nitrate production in  
467 the Aitken mode may have led to this observed growth in particle size.

468 Integrated particle mass concentrations in the accumulation mode only exhibited subtle variations from spring  
469 throughout fall, with essentially constant diurnal concentrations in spring, a subtle daytime peak in summer which  
470 accounted for  $\sim 15\%$  of total daily accumulation mode nitrate (corresponding to 0.7  $\mu\text{g}/\text{m}^3$ ) and a conspicuous morning  
471 peak between 04:00 and 10:00 in fall accounting for  $\sim 5\%$  of total daily accumulation mode nitrate (corresponding to  
472 0.5  $\mu\text{g}/\text{m}^3$ ). Clearer seasonal differences were evident in the trends of MMDs. In spring, MMDs decreased appreciably  
473 over the late evening hours (21:00-0:00) with a concurrent widening of the size distribution (increase in GSD). In  
474 summer, accumulation mode diameters decreased during the day by  $\sim 40\text{nm}$  with a similar trend in accumulation mode  
475 organics. Winter time MMDs exhibited a more complex pattern with larger mode diameters in the early hours (04:00  
476 - 10:00) and during the noon-time, and a late-afternoon dip leading to larger spread of intra-day mode diameters  
477 ranging from 510nm to 570nm.

478 In comparison to the urban roadside measurements, diurnal particle size characteristics and mass concentrations in the  
479 Aitken and accumulation mode were much more variable for all investigated species at the suburban HKUST site,  
480 indicating that longer time scale processes and irregular events (transport patterns, local meteorology) were probably  
481 more important in governing particle size distribution characteristics than diurnal processes.

### 482 **3.2. Day-to-day size distributions and seasonal averages**

483 To evaluate the evolution of particle size distributions within seasons, average species-specific size distributions were  
484 generated by averaging raw distributions over 24h periods (between 0:00 and 23:59). There was clear long-term  
485 variability in both resolved Aitken and accumulation mode MMDs and integrated submode particle mass  
486 concentrations for all species (Figures D15-16 in the Supporting Material) and overall seasonal differences which  
487 have been briefly addressed in the discussion of the diurnal size distribution variations between seasons. Figure 5  
488 depicts the overall average values for all daily fitted MMDs and integrated particle mass concentrations in both the  
489 Aitken and accumulation mode at the suburban HKUST and urban MK sites.

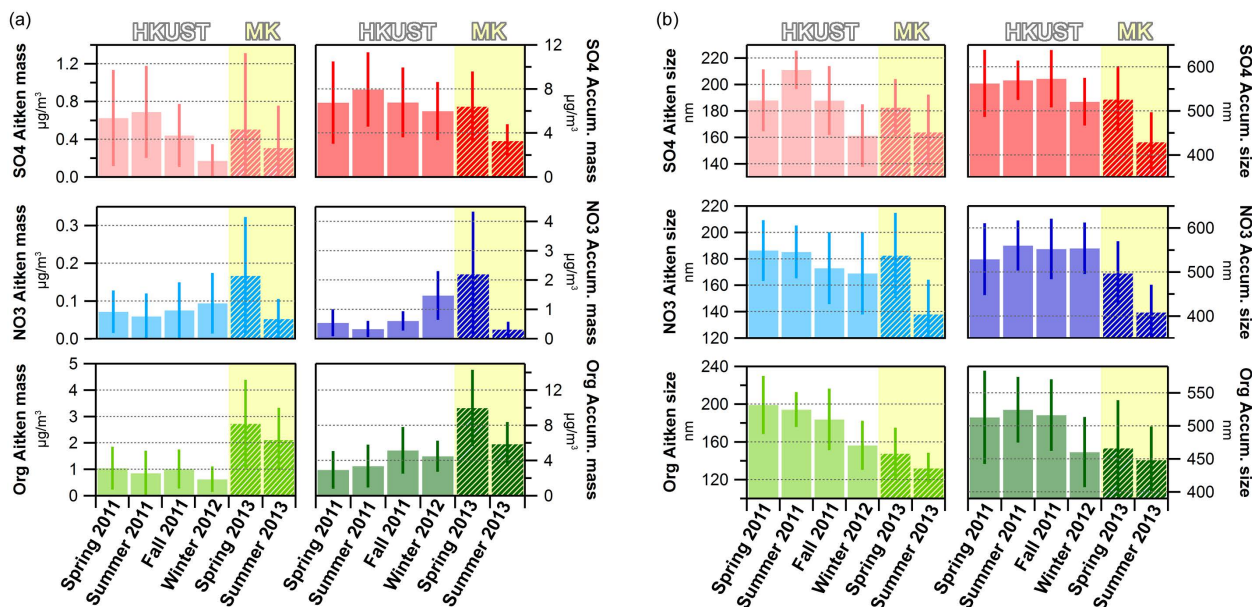
490

491

### 492 3.2.1. Seasonal trends

493 For the MK roadside station, particle mode diameters were generally larger in spring than in summer for all three  
494 investigated species, but with clear differences in the magnitude of changes among individual species. In the Aitken  
495 mode, organics and sulfate displayed a moderate decrease in mode diameter **from spring to summer** by 7-8% each,  
496 while nitrate saw a more significant decrease by 25% from spring to summer. In contrast, accumulation mode MMDs  
497 for organics exhibited only a subtle decrease by 5% and more substantial decreases for sulfate and nitrate by 20-22%  
498 each. Total Aitken mode particle mass decreases varied strongly: -15% for organics, -36% for sulfate and -67% for  
499 nitrate. In the accumulation mode, organics and sulfate exhibited similar relative decreases by 40-46%, while nitrate  
500 particle mass reduced drastically by 85%.

501 At the suburban HKUST site, Aitken mode MMDs of nitrate and organics decreased with the progression of seasons  
502 from spring to winter with highest mode diameters observed in spring and summer and appreciable decreases in winter  
503 by -9% for nitrate and -25% for organics compared to the warmer seasons. Sulfate displayed a similar winter time  
504 decrease in MMD (-15%) and an increase of similar magnitude in the summer season (+13%) compared to spring and  
505 fall. Variations in sulfate and organic accumulation mode diameters were minor between spring and fall, while  
506 wintertime MMDs were 7-12% lower. Nitrate exhibited an overall higher variability in mass median diameters in the  
507 accumulation mode in spring (larger standard deviation) and with on average 10% lower MMDs compared to other  
508 seasons. In line with the reduction in Aitken mode MMDs in winter, the integrated Aitken mode particle mass  
509 decreased as well, by -16% for organics and almost -75% for sulfate, whereas nitrate contributions remained largely  
510 stable throughout the seasons. Organic accumulation mode particle mass was significantly higher in the fall and winter  
511 season by factors of 1.6 – 2. Diurnal variations in the degree of oxygenation were least pronounced in these seasons  
512 (Li et al., 2015) suggesting that influence of transport in autumn and winter likely dominated over local formation,  
513 thus exerting greater effects on particle mass in the larger size mode. Particulate nitrate concentrations were generally  
514 low in the accumulation mode from spring through fall, but increased sharply in winter by factors of 3 – 4. Sulfate  
515 accumulation mode mass concentrations remained more stable but saw significant summer time enhancements by  
516 ~30% likely due to photochemical activity which also led to high concentrations of Ox and a higher degree of  
517 oxygenation of organic aerosol among the four seasons (Li et al., 2015).



518  
 519 **Figure 5.** Average and standard deviation of daily fit values of Aitken and accumulation mode particle mass and mass median  
 520 diameters at the suburban HKUST site (*solid bars*) and urban MK site (*hashed bars*). **The integrated particle mass is depicted in**  
 521 **(a) for the Aitken mode (*left panels*) and for the accumulation mode (*right panels*) for sulfate, nitrate, and organics respectively.**  
 522 **The mass median diameter is depicted in (b) for the Aitken mode (*left panels*) and for the accumulation mode (*right panels*) for**  
 523 **sulfate, nitrate and organics respectively.**

524  
 525 Large particles contribute more to particle volume and hence particle mass. Correspondingly, the total submicron  
 526 concentration of a given species is typically governed by changes in the accumulation mode particle mass and  
 527 accordingly observed correlation values between integrated accumulation mode particle mass and individual NR-PM<sub>1</sub>  
 528 species mass concentrations were generally high ( $R_{pr} > 0.90$ ) at both measurement sites (Figure D17 in the Supporting  
 529 Material). This applied to both measurement sites regardless of the season. Aitken mode trends were less akin. At the  
 530 urban roadside station, neither sulfate nor nitrate particle mass in the Aitken mode notably correlated with the  
 531 respective total submicron species mass concentration in spring (all  $R_{pr} \leq 0.20$ ), whereas in summer correlations were  
 532 more significant with  $R_{pr} = 0.51$  for sulfate and  $R_{pr} = 0.80$  for nitrate. This signifies that periods of greater species mass  
 533 concentrations were more likely to be caused by increases in both Aitken and accumulation mode particle mass  
 534 indicating that particle formation and growth affecting smaller particles was more likely to occur in the warmer season.  
 535 For organics, Aitken mode particle mass and submicron species mass correlated only weakly ( $R_{pr} = 0.26$  in spring and  
 536  $R_{pr} = 0.38$  in summer), i.e. each organic particle submode was governed by largely different dominant sources or  
 537 formation processes in both seasons at the roadside.

538 At the suburban background site, Aitken mode particle mass for sulfate showed little correlation with total submicron  
 539 sulfate concentration ( $R_{pr} \leq 0.10$ ) apart from the spring season ( $R_{pr} = 0.36$ ) where more frequent wet and foggy  
 540 conditions may have facilitated sulfate formation in both size modes. For organics and nitrate significantly larger  
 541 correlation coefficients of submode particle mass to total species concentration ( $0.5 \leq R_{pr} \leq 0.7$ ) were observed in  
 542 most seasons (spring, summer, winter) indicating significant influence of local or regional formation processes on

543 organic and nitrate Aitken mode particulate mass at the suburban receptor location. In the fall season, much weaker  
544 correlations ( $0.2 \leq R_{pr} \leq 0.4$ ) were likely caused by the dominance of continental air mass influence (Figure D12c in  
545 the Supporting Material) and greater influence of aged accumulation mode particles on total submicron nitrate mass  
546 concentrations.

### 547 **3.2.2. Inferred changes in mixing state**

548 Shifts in mixing state of ambient particles can be inferred from the inter-species analysis of mass median diameters.  
549 Close nominal agreement (i.e. diameter ratios close to 1) infer that different species were distributed similarly across  
550 the particle size range which thus most likely represents a largely internally mixed particle population, while the spread  
551 of data (correlation coefficient) indicates the temporal homogeneity or divergence of resolved mode diameters. A  
552 hypothetically perfectly internally mixed particle population over the whole sampling period would, therefore, yield  
553 MMD ratios and Pearson's R values of 1 between species, while larger or smaller values are indicative of a greater  
554 frequency of heterogeneous (i.e. more externally mixed) particle populations (Figure 6).

555 At the urban Mong Kok site, changes in accumulation mode mass median diameters for nitrate and sulfate followed  
556 similar trends ( $R_{pr} = 0.88-0.89$ ) and with diameter ratios close to 1 (0.94–0.95) Similarly, fitted accumulation mode  
557 diameters of organic constituents predominantly followed that of sulfate in spring nominally (diameter ratio 0.88) and  
558 temporally ( $R_{pr} = 0.80$ ). The nominal agreement of organic and sulfate accumulation mode diameters persisted  
559 (diameter ratio 1.03) overall in summer, however, there was significantly more temporal divergence ( $R_{pr} = 0.65$ )  
560 indicating a greater frequency of time periods with external mixing of particle populations comprising different  
561 fractions of organic constituents.

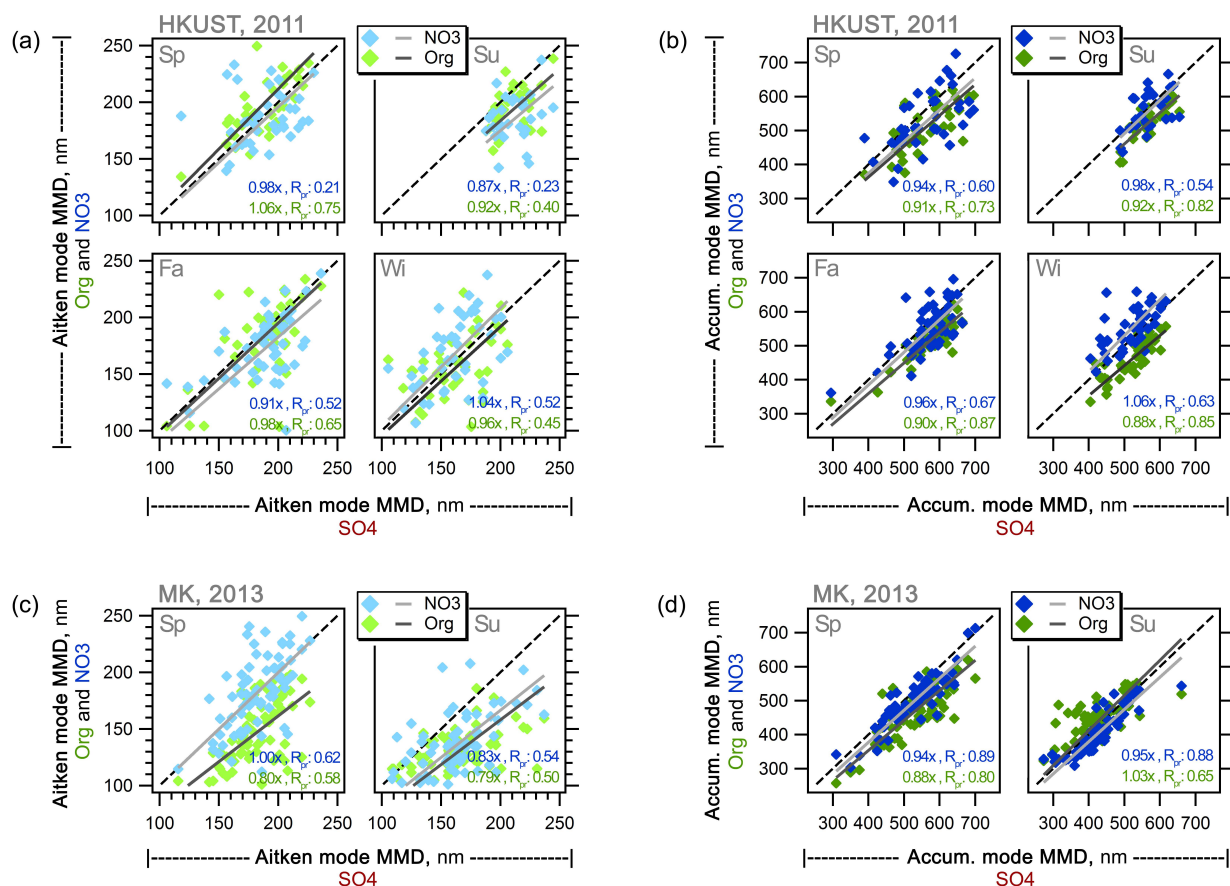
562 External mixing is more prevalent for freshly formed smaller particles which have typically undergone less  
563 condensational growth, coagulation or aqueous-phase reactions. Indeed, the correlation coefficients of both nitrate  
564 and organic Aitken mode MMDs with respect to sulfate were notably lower (0.50 and 0.62) indicating frequent periods  
565 of particle populations with different species prevailing in different size regions within the Aitken mode.

566 Sulfate and nitrate were still more likely to occur internally mixed in the Aitken mode in spring with similar diameters  
567 (nitrate to sulfate MMD ratio = 1.00), while organic Aitken mode MMDs were consistently lower, indicating greater  
568 fractions of organic dominated particles towards the lower end and more inorganic dominated particles towards the  
569 upper end of the fitted Aitken mode.

570 In summer, both nitrate and organic MMDs tended to be lower than those of sulfate (diameter ratios of 0.79 – 0.83)  
571 but similar to each other, thus implying a shift to externally mixed populations of more nitrate and organic enhanced  
572 and internally mixed smaller Aitken mode particles and sulfate dominated larger Aitken mode particles.

573 At the suburban HKUST site, accumulation mode MMDs of both nitrate and organics were generally quite similar to  
574 those of sulfate with diameter ratios of 0.88 – 1.06. Compared to the urban site, correlation coefficients of nitrate and  
575 sulfate were consistently lower (0.54 – 0.67) indicating a much greater frequency of time periods where sulfate and  
576 nitrate dominated particles in the accumulation exhibited significantly different particle size distributions.

577 In winter, organic MMDs were consistently lower than those of sulfate and nitrate indicating a greater proportion of  
 578 externally mixed particle populations with organics enriched particles in the lower accumulation size range and  
 579 inorganic dominated particles in the larger accumulation size range. The least variability in particle size was observed  
 580 in the summer season where MMDs in both Aitken and accumulation mode displayed variations in relatively narrow  
 581 ranges between 200-250nm and 500-700nm, whereas in the remaining seasons time periods with particle populations  
 582 of lower MMD were more frequent, extending to MMDs as low as 100nm in the Aitken mode and 300nm in the  
 583 accumulation mode.  
 584 In the Aitken mode, mass median diameters overall were quite similar across species, with diameter ratios of organic  
 585 and nitrate distributions to those of sulfate in the range of 0.87 – 1.06, indicating that they generally covered a similar  
 586 size range. The temporal agreement was highly variable with correlation coefficients ( $R_{pt}$ ) spanning from 0.21 to 0.75  
 587 indicating that Aitken mode particle populations at the suburban site were generally more diverse and likely influenced  
 588 by a greater range of particle formation and growth mechanisms compared to the urban Mong Kok site.  
 589  
 590



591  
 592 **Figure 6.** Scatter plots of fitted mass median diameters of organics and nitrate vs. sulfate for the (a) Aitken mode and (b)  
 593 accumulation mode at the HKUST suburban site, and (c) Aitken mode and (d) accumulation mode at the urban Mong Kok site

### 594 3.3. Comparison to previous studies

595 Particle size distribution studies in Hong Kong are generally scarce and have focused on either size segregated filter  
596 samples (MOUDI) for general ambient measurements or electrostatic classification in particle formation and particle  
597 growth studies (Guo et al., 2012; Cheung et al., 2015). The latter studies focus on specific and narrow time periods  
598 and lack general discussions on ambient particle size distributions.

599 Two ambient studies were undertaken at the suburban coastal HKUST site using size-segregated samples from a ten-  
600 stage MOUDI sampler and offline chromatographic analysis. Inorganic constituents ( $\text{NH}_4$ ,  $\text{NO}_3$ ,  $\text{SO}_4$ ) in fine particles  
601 (i.e.  $D_p < 1.8 \mu\text{m}$ ) were shown to follow bimodal distributions with mode diameters in the range of 0.14–0.21  $\mu\text{m}$  and  
602 0.46–0.58  $\mu\text{m}$  in samples collected in the winter season, while the main mode was observed in the coarse region (4–6  
603  $\mu\text{m}$ ) for all three species (Zhuang et al., 1999). A subsequent year-long observational study also reported bimodal fine  
604 particle distributions with mode diameters of 0.1–0.3  $\mu\text{m}$  and 0.7–0.9  $\mu\text{m}$  and 1–2 additional modes in the coarse region  
605 (Bian et al., 2014), however, the main mode in the size distributions of sulfate, ammonium, potassium and oxalate  
606 was observed in the droplet mode (0.7 – 0.9  $\mu\text{m}$ ) in this study. Vehicle exhaust plumes sampled on-road from a Mobile  
607 Real-time Air Monitoring Platform (MAP) across Hong Kong's road network exhibited three distinct particle volume  
608 size distributions: a unimodal distribution with an accumulation mode at 0.2  $\mu\text{m}$  and two bimodal distributions with a  
609 minor mode at 0.2  $\mu\text{m}$  and the dominant mode at 0.5 or 0.7  $\mu\text{m}$  (Yao et al., 2007a).

610 The bimodality in the fine particle range across these studies is consistent with the AMS-based results in this work.  
611 Nominally, the accumulation mode diameters from filter based studies and the chase studies are larger than those from  
612 AMS measurements where maximum mode diameters occurred at  $D_{va} \sim 700\text{nm}$ , corresponding to  $D_a \sim 470$  (assuming  
613  $D_{va} \sim D_a * \text{density}$ ; particle density  $\sim 1.5 \text{ g/cm}^3$ ). Direct comparability is however limited due to fundamental  
614 differences in sizing techniques (MOUDI: atmospheric pressure; AMS: near-vacuum), sampling times (MOUDI: 24h  
615 samples, scattered time line; AMS: minute raw resolution averaged to hourly or daily, continuous time line),  
616 measurement uncertainties (MOUDI: sampling artifacts such as vapor adsorption and desorption; AMS: inlet lens  
617 transmission) and aerosol pretreatment (none for MOUDI with potential impacts on particle size in high humidity  
618 (>80%) conditions (Fang et al., 1991); AMS: removal of water prior to introduction to instrument).

619

### 620 3.4. Influence of AMS lens transmission

621 The quantitative measurement of particle components in the AMS is dependent on three major factors which may lead  
622 to particle loss prior to detection (Huffman et al., 2005). Irregularly shaped particles deviating from the flight path in  
623 the vacuum chamber may miss the vaporizer. Particles bouncing off the vaporizer surface will not be vaporized and  
624 hence may not be detected. Lastly, the aerodynamic lens which is part of the instrument's inlet system does not  
625 transmit particles uniformly across all particle diameters. Small particles are lost due to insufficient focusing or  
626 diffusion and large particle impact the lens apertures (Liu et al., 2007; Williams et al., 2013). Being a function of  
627 particle size, the latter factor affects both total AMS quantifiable particle mass ( $\text{NR-PM}_{10}$ ) and measured mass size  
628 distributions. Transmission curves determined for the standard lens, which is fitted in most AMS instruments, can

629 vary but typically show efficient (i.e. close to 100%) transmission in the range of 100-550nm (Knote et al., 2011)  
630 falling of significantly at either edge.

631 We examined the potential impact of lens transmission on the AMS mass size distributions on a number of 24h size  
632 distributions from the fall season HKUST dataset covering both efficient and reduced lens transmission size regimes  
633 (accumulation mode diameters between 400 and 600nm). Panels a-c in Figure D18 in the Supporting Material depict  
634 original and lens-transmission corrected 24h mass size distributions for organic, nitrate and sulfate, assuming the  
635 transmission function (subpanel d) reported by Liu et al., 2007. Impacts were generally larger in the accumulation  
636 mode range with evident shifts to larger mode diameters and larger mode mass concentrations observed in all size  
637 distributions. In the small diameter range, enhanced shoulders can occur which may however be artifacts due to the  
638 larger uncertainties (low signal to noise ratio at small particle mass), i.e. greater noisiness at the leading end of AMS  
639 size distributions. For a quantitative comparison, bimodal fitting parameters from the corrected distributions were  
640 plotted against those from, the original distributions in Figure D18 in the Supporting Material (subpanels e-g refer to  
641 the Aitken mode and subpanels h-j to the accumulation mode). Leading edge shoulders in the corrected size  
642 distributions were not considered in the fitting. Changes in the Aitken mode mass median diameters were minor (on  
643 average ~3%), while the integrated mode particle mass increased moderately (~48%). In the accumulation mode, mass  
644 median diameters increased by ~28% and integrated mode particle mass doubled. The distribution widths (geometric  
645 standard deviations) exhibited little change in both modes (increases of 2-3%).

646 Fitting results will therefore vary depending on whether AMS size distribution and concentration data are corrected  
647 for lens transmission. While explicit lens transmission corrections can improve the accuracy of quantification of AMS  
648 species concentration and size distribution measurements, few ambient studies explicitly use lens transmission  
649 corrections based on individual experimental determinations or literature values e.g. (Quinn et al., 2006; Cross et al.,  
650 2007). Lens transmission curves can vary between instruments (Fast et al., 2009) and are inherently difficult to  
651 determine accurately experimentally. As discussed previously, scaling of size distributions by lens transmission curves  
652 may introduce artifacts in noisier size distributions (e.g. low end of the Aitken mode, low concentration periods, short  
653 term size distribution averages). Trailing edges from slow vaporization (e.g. under high particle mass loadings) may  
654 be exacerbated and inflate mass concentrations at the upper size cut range of the AMS. The majority of ambient  
655 studies employs a combined correction factor (collection efficiency, CE) considered to be the joint product of the  
656 previously mentioned transmission efficiencies related to particle bounce, beam broadening and lens transmission  
657 (Middlebrook et al., 2012) derived from aerosol composition and by comparison to collocated speciation or particle  
658 sizing instruments. As the AMS lens transmission curve could not be determined in this study and to avoid additional  
659 uncertainties from the application of non-instrument specific lens transmission values, we followed the CE correction  
660 method in the analysis of the size distribution data in this study. The reported values of resolved mode diameters and  
661 integrated mode should therefore be regarded as lower bound estimates in the context of the instrumental limitations  
662 affecting ambient AMS measurements.

663

664

#### 665 4. Conclusion

666 A detailed analysis of AMS mass-based particle size distributions of sulfate, nitrate, and organics in submicron  
667 particulate matter measured at two contrasting locations in Hong Kong during two field campaigns has been  
668 undertaken. Deconvolution of size distributions into Aitken and accumulation submodes was accomplished by log-  
669 normal peak fitting and trends in particle size (mass median diameters), dispersity (geometric standard deviation) and  
670 overall particle mass (integrated mode area) were discussed on a diurnal time scale and on a daily basis to evaluate  
671 longer-term changes in size distribution characteristics. At the urban roadside location, clear diurnal influences of  
672 primary particle and gas-phase species were evident affecting both inorganic and organic component size distributions.  
673 Traffic and cooking contributed an estimated  $0.3 - 0.9 \mu\text{g}/\text{m}^3$  and  $0.5 - 1.8 \mu\text{g}/\text{m}^3$  of organic component particle mass  
674 in the Aitken mode, and  $1.6 - 1.8 \mu\text{g}/\text{m}^3$  and  $1.0 - 2.7 \mu\text{g}/\text{m}^3$  respectively in the accumulation mode with concentrations  
675 level varying with seasons. Notable changes in Aitken mode mass median diameters of organics were limited to the  
676 morning rush hour. Daytime particle concentration maxima of sulfate and nitrate in summer indicated substantial  
677 influence of photochemical processes, which also led to increments in mass median diameters in the accumulation  
678 mode thus inferring associated particle growth. Nocturnal nitrate formation was apparent in the accumulation mode  
679 in spring concurring with the nighttime peak of ozone at the roadside, while in the Aitken mode nitrate particle  
680 concentrations were significantly elevated during the dinner hours. Organics-related size distributions were mostly  
681 governed by intra-day changes at the urban site with very similar trends across different size distribution sets (i.e.  
682 concentration regimes), while disparities in diurnal variations among different size distribution sets were evident for  
683 nitrate and sulfate, particularly affecting the average sets, indicating stronger influence of irregular external factors  
684 which were not associated with diurnal time scale processes.

685 Suburban particle size distributions exhibited variable diurnal characteristics, suggesting that irregular processes such  
686 as transport and seasonal meteorological conditions were the more dominant processes influencing particle size  
687 characteristics. Aitken mode particle mass of organics was significantly larger in spring and summer indicating greater  
688 influence of more local formation sources in the warm season. In the accumulation mode, organic particle mass  
689 concentrations were highest in fall and lowest in spring, following the frequency pattern of continental air mass  
690 influence. For sulfate, Aitken mode mass concentrations mass concentrations peaked in the afternoon from spring  
691 throughout fall with highest nominal concentrations in spring and summer and lowest levels in winter, while  
692 accumulation mode particle mass was highest in summer and fall and lowest in winter, similar to the trend observed  
693 among organic constituents.

694 Nitrate particle mass in the Aitken mode was generally small in most seasons ( $0.01 - 0.06 \mu\text{g}/\text{m}^3$ ), except winter where  
695 daytime concentrations reached  $\sim 0.1 \mu\text{g}/\text{m}^3$ . In both modes, changes in mass median diameters varied temporally and  
696 in magnitude with seasons, indicating a stronger influence of specific meteorological conditions on the properties of  
697 nitrate-containing particles at the suburban site. At the urban site, periods of greater inorganic species mass  
698 concentrations were more likely to be caused by increases in both Aitken and accumulation mode particle mass in  
699 summer, indicating that particle formation and growth affecting smaller particles was more likely to occur in the  
700 warmer season. At the suburban receptor location, significant correlation of submode particle mass to total species  
701 concentration ( $0.5 \leq R_{pr} \leq 0.7$ ) was observed for organics and nitrate in most seasons (spring, summer, winter)



702 suggesting notable influence of local or regional formation processes on organic and nitrate Aitken mode particulate  
703 mass. Variations in particle mixing state were examined by evaluation of inter-species mass median diameter trends  
704 at both measurement sites. In the accumulation mode at the urban site, internal mixing appeared to be prevalent in  
705 spring, while greater frequency of time periods with external mixing of particle populations comprising different  
706 fractions of organic constituents was observed in summer. External mixing was predominant in the Aitken mode at  
707 the urban location in both seasons. At the suburban site, sulfate and nitrate in the accumulation mode more frequently  
708 exhibited differing particle size distributions in all seasons signifying a greater extent of external mixing. In winter,  
709 external mixing of more organics enriched particles in the lower accumulation size range was evident.

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