

OMI measured increasing SO₂ emissions due to energy industry expansion and relocation in Northwestern China

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1 **Abstract**

2 The rapid growth of economy makes China the largest energy consumer and sulfur
3 dioxide (SO₂) emitter in the world. In this study, we estimated the trends and step
4 changes in the planetary boundary layer (PBL) vertical column density (VCD) of SO₂
5 from 2005 to 2015 over China measured by the Ozone Monitoring Instrument (OMI).
6 We show that these trends and step change years coincide with the effective date and
7 period of the national strategy for energy development and relocation in northwestern
8 China and the regulations in the reduction of SO₂ emissions. Under the national
9 regulations in the SO₂ emissions reduction in eastern and southern China, SO₂ VCD
10 in the Pearl River Delta (PRD) of southern China exhibited the largest decline during
11 2005-2015 at a rate of -7% yr⁻¹, followed by the North China Plain (NCP) (-6.7% yr⁻¹),
12 Sichuan Basin (-6.3% yr⁻¹), and Yangtze River Delta (YRD) (-6% yr⁻¹), respectively.
13 The Mann–Kendall (MK) test reveals the step change points of declining SO₂ VCD in
14 2009 for the PRD and 2012-2013 for eastern China responding to the implementation
15 of SO₂ control regulation in these regions. In contrast, the MK test and regression
16 analysis also revealed increasing trends of SO₂ VCD in northwestern China,
17 particularly for several "hot spots" featured by growing SO₂ VCD in those large-scale
18 energy industry bases in northwestern China. The enhanced SO₂ VCD is potentially
19 attributable to increasing SO₂ emissions due to the development of large-scale energy
20 industry bases in energy-abundant northwestern China under the national strategy for
21 the energy safety of China in the 21st century. We show that these large-scale energy
22 industry bases could overwhelm the trends and changes in provincial total SO₂

23 emissions in northwestern China and contributed increasingly to the national total SO₂
24 emission in China. Given that northwestern China is more ecologically fragile and
25 uniquely susceptible to atmospheric pollution as compared with the rest of China,
26 increasing SO₂ emissions in this part of China should not be overlooked and merit
27 scientific research.

28

29 **1. Introduction**

30 Sulfur dioxide (SO₂) is one of the criteria air pollutants emitted from both
31 anthropogenic and natural sources. The combustions of sulfur-containing fuels, such
32 as coal and oil, are the primary anthropogenic emitters, which contributed to the half
33 of total SO₂ emissions (Smith et al., 2011; Lu et al., 2010; Stevenson et al., 2003;
34 Whelpdale et al., 1996). With the rapid economic growth in the past decades, China
35 has become the world's largest energy consumer accounting for 23% of global energy
36 consumption in 2015 (BIEE, 2016). Coal has been a dominating energy source in
37 China and accounted for 70% of total energy consumption in 2010 (Kanada et al.,
38 2013). The huge demand for coal and its high sulfur content make China the largest
39 SO₂ emission source in the world (Krotkov et al., 2016; Su et al., 2011), which also
40 accounted for two-third of Asia's total SO₂ emission (Ohara et al., 2007). From 2000
41 to 2006, the total SO₂ emission in China increased by 53% at an annual growth rate of
42 7.3% (Lu et al., 2010). To reduce SO₂ emission, from 2005 onward the Chinese
43 government has issued and implemented a series of regulations, strategies, and SO₂
44 control measures, leading to a drastic decrease of SO₂ emission, particularly in eastern

45 and southern China (Lu et al., 2011; Li et al., 2010).

46 Recently, two research groups led by NASA (National Aeronautics and Space
47 Administration) and Lanzhou University of China published almost simultaneously
48 the temporal and spatial trends of SO₂ in China from 2005 to 2015 using the OMI
49 retrieved SO₂ PBL column density after the OMI is launched for 11 years (Krotkov et
50 al., 2016; Shen et al., 2016). The results reported by the two groups revealed the
51 widespread decline of SO₂ in eastern China for the past decade. Shen et al. noticed,
52 however, that, in contrast to dramatic decreasing SO₂ emissions in densely populated
53 and industrialized eastern and southern China, the OMI measured SO₂ in northwestern
54 China appeared not showing a decreasing trend. This is likely resulted from the
55 energy industry relocation and development in energy-abundant northwestern China
56 in the past decades under the national strategy for China's energy development and
57 safety during the 21st century. Concern is raised about the potential impact of SO₂
58 emissions on the ecological environment and health risk in northwestern China
59 because high SO₂ emissions could otherwise damage the rigorous ecological
60 environment in this part of China, featured by very low precipitation and sparse
61 vegetation coverage which reduce considerably the atmospheric removal of air
62 pollutants (Ma and Xu, 2017).

63 To assess and evaluate the risks of the ecological environment and public to the
64 growing SO₂ emissions in northwestern China, it is necessary to investigate the
65 spatiotemporal distributions of SO₂ concentrations and emissions. However, the
66 ground measurements of ambient SO₂ are scarce temporally and spatially in China,

67 and often subject to significant errors and uncertainties. Owing to the rapid progress
68 in the remote sensing techniques, satellite retrieval of air pollutants has become a
69 powerful tool for the assessment of emissions and spatiotemporal distributions of air
70 pollutants. In recent several years, OMI (Dutch Space, Leiden, The Netherlands,
71 embedded on Aura satellite) retrieved SO₂ column concentrations have been
72 increasingly applied to elucidate the spatiotemporal variation of global and regional
73 SO₂ levels and its emissions from large point sources, and evaluate the effectiveness
74 of SO₂ control policies and measures (Krotkov et al., 2016; McLinden et al., 2015,
75 2016; Ialongo et al., 2015; Fioletov et al., 2015, 2016; Wang et al., 2015; Li et al.,
76 2010). The decadal operation of the OMI provides the relatively long-term SO₂ time
77 series data with a high spatial resolution which are particularly useful for assessing the
78 changes and trends in SO₂ emissions induced by national regulations and strategies.
79 The present study aims to (1) determine the spatiotemporal variations of SO₂ and its
80 trend under the national plan for energy industry development in northwestern China
81 by making use of the OMI-measured SO₂ data during 2005-2015; (2) to identify
82 leading causes contributing to the enhanced SO₂ emission in northwestern China.

83

84 **2 Data and methods**

85 **2.1 Satellite data**

86 The OMI Ozone Monitoring Instrument (OMI) was launched on July 15, 2004,
87 on the EOS Aura satellite, which is in a sun-synchronous ascending polar orbit with
88 1:45 pm local equator crossing time. It is an ultraviolet/visible (UV/VIS) nadir solar

89 backscatter spectrometer, which provides nearly global coverage in one day, with a
90 spatial resolution of 13 km×24 km (Levelt et al. 2006a, 2006b). It provides global
91 measurements of ozone (O₃), SO₂, NO₂, HCHO and other pollutants on a daily basis.
92 The OMI uses spectral measurements between 310.5 and 340 nm in the UV-2 to
93 detect anthropogenic SO₂ pollution in the lowest part of the atmosphere (Li et al.,
94 2013). The instrument is sensitive enough to detect the near-surface SO₂. Previously,
95 the OMI PBL SO₂ data were produced using the Band Residual Difference (BRD)
96 algorithm (Krotkov et al., 2006), which have large noise and unphysical biases
97 particularly at high latitudes (Krotkov et al., 2008). Subsequently, a principal
98 component analysis (PCA) algorithm was applied to retrieve SO₂ column densities.
99 This approach greatly reduces biases and decreases the noise by a factor of 2,
100 providing greater sensitivity to anthropogenic emissions (Li et al., 2013).

101 In the present study, we collected the level 3 OMI daily planetary boundary layer
102 (PBL) SO₂ vertical column density (VCD) data in Dobson units (1 DU=2.69×10¹⁶
103 molecules cm⁻²) produced by the PCA algorithm (Li et al., 2013). The spatial
104 resolution is 0.25°×0.25° latitude/ longitude, available at Goddard Earth Sciences Data
105 and Information Services Center
106 (http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omso2_v003.shtml). The systematic
107 bias of PCA retrievals is estimated as ~0.5 DU for regions between 30°S and 30°N. The
108 bias increases to ~0.7-0.9 DU for high latitude areas with large slant column O₃ but is still a
109 factor of two smaller than that from BRD retrievals
110 (<https://disc.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/omso2readme-v120-2>

111 0140926.pdf). As a result, the PCA algorithm may yield systematic errors for anthropogenic
112 emission sources located in different latitudes and under complex topographic and
113 underlying surface conditions. The air mass factors (AMFs) used to convert SO₂ slant
114 column density (SCD) into VCD are also subject to uncertainties. Fioletov et al. (2016)
115 revealed an overall AMF uncertainty of 28% which was created by surface reflectivity,
116 surface pressure, ozone column, and cloud fraction. As Fioletov et al. (2016) noted, the
117 PCA retrieved SO₂ VCD was virtually derived by using an AMF of 0.36 which is best
118 applicable in the summertime in the eastern United States (US). Wang (2014) suggested
119 adopting AMF \approx 0.57 in the estimate of SO₂ VCD distribution in eastern China. In the
120 present study, we have taken the AMFs values in China provided by Fioletov et al. (2016)
121 to adjust OMI measured VCD in the estimation of the SO₂ emission of the main point
122 sources in northwestern China.

123 **2.2 SO₂ monitoring, emission, and socioeconomic data**

124 To evaluate and verify the spatial SO₂ VCD from OMI, ground SO₂ monitoring
125 data of 2014 through 2015 at 188 sampling sites (cities) across China (**Fig. 1**),
126 operated by the National Environmental Monitoring Center, available at
127 <http://www.aqistudy.cn/historydata>. Annually averaged SO₂ air concentrations from
128 2005 to 2015 in 6 capital cities in Urumqi (Xinjiang), Yinchuan (Ningxia), Beijing
129 (BTH and NCP), Shanghai (YRD), Guangzhou (PRD), and Chongqing (Sichuan
130 Basin) were collected from provincial environmental bulletin published by the
131 Ministry of Environmental Protection of China (MEPC)
132 (<http://www.zhb.gov.cn/hjzl/zghjzkgb/gshjzkgb>. SO₂ anthropogenic emission

133 inventory in China with a 0.25° longitude by 0.25° latitude resolution for every two
134 years from 2008 to 2012 was adopted from Multi resolution Emission Inventory for
135 China (MEIC) (Li et al., 2017, available at <http://www.meicmodel.org>).

136 The socioeconomic data in China were collected from the China Statistical
137 Yearbooks and China Energy Statistical Yearbook, published by National Bureau of
138 Statistics of China (NBSC),
139 (<http://www.stats.gov.cn/tjsj/ndsj/>; <http://tongji.cnki.net/kns55/Navi/HomePage.aspx?id=N2010080088&name=YCXME&floor=1>), as well as China National
140 Environmental Protection Plan in the Eleventh Five-Years (2006-2010) and Twelfth
141 Five-Years (2011-2015) released by MEPC (<http://www.zhb.gov.cn>). These data
142 include industrial GDP, coal consumption, thermal power generation, steel
143 production, and SO₂ emission reduction plan, and they are presented in Table 1.

145 **2.3 Trends and step change**

146 The long-term trends of SO₂ VCD were estimated by linear regressions of the
147 gridded annually SO₂ VCD against their time sequence of 2005 through 2015. The
148 gridded slopes (trends) of the linear regressions denote the increasing (positive) or
149 decreasing (negative) rates of SO₂ VCD (Wang et al., 2016; Huang et al., 2015;
150 Zhang et al., 2015, 2016).

151 The Mann-Kendall (MK) test was also employed in the assessments of the
152 temporal trend and step change point year of SO₂ VCD time series. The MK test is a
153 nonparametric statistical test (Mann, 1945; Kendall, 1975), which is useful for
154 assessing the significance of trends in time series data (Waked et al., 2016; Fathian et

155 al., 2016). The MK test is often used to detect a step change point in the long term
 156 trend of a time series dataset (Moraes et al., 1998; Li et al., 2016; Zhao et al., 2015).
 157 It is suitable for non-normally distributed data and censored data which are not
 158 influenced by abnormal values (Yue and Pilon, 2004; Sharma et al. 2016; Yue and
 159 Wang., 2004; Gao et al. 2016; Zhao et al., 2015). Recently, MK-test has also been
 160 used in trend analysis for the time series of atmospheric chemicals, such as persistent
 161 organic pollutants, surface ozone (O₃), and non-methane hydrocarbon (Zhao et al.,
 162 2015; Assareh et al.,2016; Waked et al.,2016; Sicard et al., 2016). Here the MK test
 163 was used to identify the temporal variability and step change point of SO₂ VCD for
 164 2005-2015 which may be associated with the implementation of the national strategy
 165 and regulation in energy industry development and emission control during this
 166 period. Under the null hypothesis (no trend), the test statistic was determined using
 167 the following formula:

$$168 \quad S_k = \sum_{i=1}^k r_i \quad (k= 2, 3, \dots, n) \quad (1)$$

169 where S_k is a statistic of the MK test, and

$$170 \quad r_i = \begin{cases} +1, (x_i > x_j) \\ 0, (x_i \leq x_j) \end{cases} \quad (j=1,2, \dots, i-1) \quad (2)$$

171 where x_i is the variable in time series x_1, x_2, \dots, x_i , r_i is the cumulative number for
 172 $x_i > x_j$. The test statistic is normally distributed with a mean and variance is given by:

$$173 \quad E(S_k) = k(k-1)/4 \quad (3)$$

$$174 \quad Var(S_k) = \frac{k(k-1)(2k+5)}{72} \quad (4)$$

175 From these two equations, one can derive a normalized S_i , defined by

$$176 \quad UF_k = \frac{S_k - E(S_k)}{\sqrt{Var(S_k)}} \quad (k=1, 2, \dots, n) \quad (5)$$

177 where UF_k is the forward sequence, the backward sequence UB_k is calculated using
178 the same function but with the reverse data series such that $UB_k = -UF_k$.

179 In a two-sided trend test, a null hypothesis is accepted at the significance level if
180 $|UF_k| \leq (UF_k)_{1-\alpha/2}$, where $(UF_k)_{1-\alpha/2}$ is the critical value of the standard normal
181 distribution, with a probability of α . When the null hypothesis is rejected (i.e., when
182 any of the points in UF_k exceeds the confidence interval ± 1.96 ; $P=0.05$), a
183 significantly increasing or decreasing trend is determined. $UF_k > 0$ often indicates an
184 increasing trend and vice versa. The test statistic used in the present study enables us
185 to discriminate the approximate time of trend and step change by locating the
186 intersection of the UF_k and UB_k curves. The intersection occurring within the
187 confidence interval $(-1.96, 1.96)$ indicates the beginning of a step change point
188 (Moraes et al., 1998; Zhang et al., 2011; Zhao et al., 2015).

189 **2.4 Estimate of SO₂ emission from OMI measurements**

190 To assess the connections between the major point sources in large-scale energy
191 industrial bases in northwestern China and provincial emissions, we made use of OMI
192 measured SO₂ VCD to inversely simulate the SO₂ emission from Ningdong Energy
193 Chemical Industrial Base (NECIB) in Ningxia and Midong Energy Industrial Base
194 (MEIB) in Xinjiang. McLinden et al. (2016) and Fioletov et al. (2015, 2016) have
195 developed a source detection algorithm which fits OMI-measured SO₂ vertical

196 column densities to a three-dimensional parameterization function of the horizontal
 197 coordinates and wind speed. This algorithm was employed in the present study to
 198 estimate the SO₂ source strength in the two industrial bases and its contribution to the
 199 provincial total SO₂ emissions. The details of this algorithm are referred to Fioletov et
 200 al (2015). Briefly, the source detection algorithm uses a Gaussian function $f(x, y)$
 201 multiplied by an exponentially modified Gaussian function $g(y, s)$ to fit the OMI SO₂
 202 measurements (Fioletov et al., 2015) $OMI_{SO_2} = a \cdot f(x, y) \cdot g(y, s)$, defined by

$$\begin{aligned}
 f(x, y) &= \frac{1}{\sigma_1 \sqrt{2\pi}} \exp\left(-\frac{x^2}{2\sigma_1^2}\right); \\
 g(y, s) &= \frac{\lambda_1}{2} \exp\left(\frac{\lambda_1(\lambda_1\sigma^2 + 2y)}{2}\right) \cdot \operatorname{erfc}\left(\frac{\lambda_1\sigma^2 + y}{\sqrt{2}\sigma}\right); \\
 \sigma_1 &= \begin{cases} \sqrt{\sigma^2 - 1.5y}, & y < 0; \\ \sigma, & y \geq 0 \end{cases}; \\
 \lambda_1 &= \lambda / s; \\
 \operatorname{erfc}(x) &= \frac{2}{\sqrt{\pi}} \int_x^\infty e^{-t^2} dt
 \end{aligned} \tag{6}$$

204 where x and y indicate the coordinates of the OMI pixel center (km); s is the wind
 205 speed (km h⁻¹) at the pixel center; a represents the total number of SO₂ molecules (or
 206 SO₂ burden) observed by OMI in a target emission source $\lambda = 1/\tau$, where τ is a
 207 decay time of SO₂, and σ describes the width or spread of SO₂.

208 The $f(x, y)$ function represents the Gaussian distribution across the wind direction
 209 line. The function $g(y, s)$ represents an exponential decay along the y -axis smoothed
 210 by a Gaussian function. Once σ and τ are determined, the SO₂ burden as a function
 211 of x, y , and s (OMI SO₂ (x, y, s)) can be reconstructed. SO₂ emission strength from a
 212 large point source can be estimated by $E = a/\tau$. In the present study, following Fioletov

213 (2016) we choose a mean value of $\sigma=20$ km and $\tau=6$ h in the calculation of SO_2
214 emission large point sources of interested. Wind speed and direction on a $1^\circ \times 1^\circ$
215 latitude/longitude spatial resolution were collected from NCEP (National Centers for
216 Environmental Prediction) Final Operational Global Analysis
217 (<http://dss.ucar.edu/datasets/ds083.2/>). These data were interpolated to the location of
218 each OMI pixel center on a $1/4^\circ \times 1/4^\circ$ latitude/longitude spacing.

219 There are several potential sources of errors which need to be taken into account
220 when determining the overall uncertainty of the SO_2 emission estimation. Fioletov et
221 al. (2016) have highlighted three primary sources of errors in the OMI-based emission
222 estimates, including AMF, the estimation of the total SO_2 mass as determined from a
223 linear regression, and the selection of σ and τ used to fit OMI measurements. Based
224 on the coefficients of variation (*CV*, %) in these three error categories (McLinden et
225 al., 2014, 2016; Fioletov et al.; 2016) listed in **Table S1** of Supplement, we estimated
226 uncertainties in the SO_2 emissions derived from OMI measurements in the two major
227 point sources in northwestern China by running the source detection model repeatedly
228 for 10,000 times using Monte Carlo method. Results show the standard deviation of
229 -35 to 122 kt/yr for SO_2 emissions in NECIB and -29 to 95 kt/yr for SO_2 emissions in
230 MEIB from 2005 to 2015, respectively.

231 **2.5 Satellite data validation**

232 The OMI retrieved SO_2 PBL VCDs were evaluated by comparing with ambient
233 air concentration data of SO_2 from routine measurements by local official
234 operational air quality monitoring stations. The statistics between OMI retrieved SO_2

235 VCD and monitored annually averaged SO₂ air concentrations during 2014-2015 at
236 188 operational air quality monitoring stations across China are presented in **Table**
237 **S2** of Supplement. **Figure S1** is the correlation diagram between SO₂ VCD and
238 sampled data. As shown in **Table S2** and **Fig. S1**, the OMI measured SO₂ VCDs
239 agree well with the monitored ambient SO₂ concentrations across China at the
240 correlation coefficient of 0.85 ($p < 0.05$) (**Table S2**). **Figure 2** further compares
241 annually averaged SO₂ VCD and SO₂ air concentrations from 2005 to 2015 in 6
242 capital cities. These are Urumqi, Yinchuan, Beijing, Shanghai, Guangzhou, and
243 Chongqing, respectively. The mean SO₂ concentration data were collected from
244 provincial environmental bulletin published by the Ministry of Environmental
245 Protection of China (MEPC) (<http://www.zhb.gov.cn/hjzl/zghjzkgb/gshjzkgb>).
246 Results show that the annual variation of mean SO₂ VCD are higher than the
247 measured SO₂ concentrations from 2010 to 2015, but SO₂ VCD match well with the
248 monitored data except for Urumqi, the capital of Xinjiang Uygur Autonomous
249 Region. The OMI retrieved SO₂ VCDs in Shanghai and Chongqing are higher than
250 the measured concentrations in these two regions show consistent temporal
251 fluctuation and trend. The measured SO₂ concentrations peaked in 2013 in Yinchuan
252 whereas the SO₂ VCD reached the peak in 2012 and decreased thereafter. OMI
253 measured SO₂ VCD in Urumqi shows different yearly fluctuations compared with its
254 annual concentrations. The measured SO₂ concentrations in Urumqi decreased from
255 2011 to 2015 whereas the OMI measured SO₂ VCD did not illustrate obvious
256 changes. In particular, the monitored mean SO₂ concentration from 2013 to 2015

257 decreased by 75% compared with that from 2005 to 2012. This is partly attributed to
258 the change in air quality monitoring sites in Urumqi city. Before 2013, there were
259 only three operational air quality sites in Urumqi City, all located in the heavily
260 polluted downtown region. Since 2013, the air monitoring sites increased from 3 to 7.
261 The four new sites are located in less polluted suburbs of the city. As a result, the
262 spatially averaged SO₂ concentrations over 3 downtown air quality monitoring sites
263 before 2013 were higher than the mean concentrations averaged over 7 monitoring
264 sites (http://xjny.ts.cn/content/2012-06/05/content_6899388.htm). It is worth noting
265 that the measured SO₂ concentration in Urumqi is the highest among all cities as
266 shown in Fig. 2 whereas the OMI VCD value in Urumqi was lower than other
267 selected cities. This may be due to systematic biases in OMI-retrieved SO₂ VCD. In
268 the present study, the level 3 OMI PBL SO₂ VCD data produced by the PCA
269 retrievals were used to estimate the spatiotemporal variation in SO₂ pollution in
270 China. The PCA retrievals have a negative bias over some highly reflective surfaces
271 in arid and semi-arid lands, such as many some places in the Sahara (up to about -0.5
272 DU in monthly mean VCD)
273 ([https://disc.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/omso2readme-](https://disc.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/omso2readme-v120-20140926.pdf)
274 [v120-20140926.pdf](https://disc.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/omso2readme-v120-20140926.pdf)). Also, PCA retrievals is subject to the systematic bias of 0.7-0.9
275 DU in relatively high latitude regions. Located at a relatively high latitude in
276 northwestern China with a large surrounding area covered by Gobi desert, the PCA
277 algorithm might yield lower SO₂ VCD value in Urumqi than other cities shown in
278 **Fig. 2.**

279 SO₂ emissions data were further collected to compare with annual OMI SO₂
280 VCD in selected regions. The results are presented in **Fig. 3**. As shown, the annual
281 variation in SO₂ VCD agrees reasonably well with SO₂ emission data except for
282 Urumqi-Midong region. The OMI measured SO₂ VCD in the PRD and Sichuan Basin
283 decreased from 2008 to 2012, but SO₂ emission changed little. Compared with the
284 other five marked regions (**Fig. 1**), the satellite measured SO₂ VCD in
285 Urumqi-Midong declined in 2010 and inclined in 2012. However, SO₂ emissions in
286 Urumqi-Midong 2012 are factors of 11 and 8 higher than that in 2008 and 2010,
287 respectively. It should be noted that air pollutants released in the atmosphere are
288 affected by physical and chemical processes. They may be transported over large
289 distances by atmospheric motions, transformed into other compounds by chemical or
290 photochemical processes, and "washed out" or deposited at the Earth's surface (Zhao
291 et al., 2017; Brasseur et al., 1998). The atmospheric removal and advection processes
292 may also contribute to the inconsistency between monitored and satellite observations.
293 In addition, the MEIC SO₂ emission inventory from the bottom-up approach might be
294 subject to large uncertainties due to data manipulation, and the lack of sufficient
295 knowledge in human activities and emissions from different sources (Li et al., 2017;
296 Zhao et al., 2011; Lu et al., 2011; Kurokawa et al., 2013). The uncertainties in the
297 MEIC estimated SO₂ emissions used in the present study are up to ±12% (Li et al.,
298 2017). As shown in **Fig. 3**, the OMI measured SO₂ VCD from 2008 to 2012 in
299 Urumqi-Midong was about 0.2 DU which was comparable with that in the EGT.
300 However, the reported SO₂ emission in Urumqi-Midong was only 4% of the SO₂

301 emission in the EGT in 2012 and 0.5% of that in the EGT from 2008 to 2010. It might
302 be subject to that part large SO₂ emission sources were not included in emission
303 inventory. From this perspective, the satellite remote sensing provides a very useful
304 tool in monitoring SO₂ emissions from large point sources and in the verification of
305 emission inventories (Fioletov et al., 2015, 2016; McLinden et al., 2016; Wang et al.,
306 2015;).

307

308 **3 Results and discussion**

309 **3.1. OMI measured SO₂ in China**

310 Given higher population density and stronger industrial activities, eastern and
311 southern China are traditionally industrialized and heavily contaminated regions by
312 air pollutions and acid rains caused by SO₂ emissions. **Figure 4a** shows annually
313 averaged OMI SO₂ VCD over China on a 0.25° × 0.25° latitude/longitude
314 resolution averaged from 2005 to 2015. SO₂ VCD was higher considerably in eastern
315 and central China, and Sichuan Basin than that in northwestern China. The highest
316 SO₂ VCD was found in the NCP, including Beijing-Tianjin-Hebei (BTH), Shandong,
317 and Henan province. The annually averaged SO₂ VCD between 2005-2015 in this
318 region reached 1.36 DU. This result is in line with previous satellite remote sensing
319 retrieved SO₂ emissions in eastern China (Krotkov et al 2016; Lu et al., 2010;
320 Bauduin et al., 2016; Jiang et al 2012; Yan et al., 2014). However, in contrast to the
321 spatial distribution of decadal mean SO₂ VCD (**Fig. 4a**), the slopes of the linear
322 regression relationship between annual average OMI-retrieved SO₂ VCD and the

323 time sequence from 2005 to 2015 over China show that the negative trends
324 overwhelmed industrialized eastern and southern China, particularly in the NCP,
325 Sichuan Basin, the YRD, and PRD, manifesting significant decline of SO₂ emissions
326 in these regions. SO₂ VCD in the PRD exhibited the largest decline at a rate of 7%
327 yr⁻¹, followed by the NCP (6.7% yr⁻¹), Sichuan Basin (6.3% yr⁻¹), and the YRD (6%
328 yr⁻¹), respectively. Annual average SO₂ VCD in the PRD, NCP, Sichuan Basin, and
329 YRD decreased by 52%, 50% , 48%, and 46% in 2015 compared to 2005 (**Fig. 5**),
330 though the annual fluctuation of SO₂ VCD shows rebounds in 2007 and 2011 which
331 are potentially associated with the economic resurgence stimulated by the central
332 government of China (He et al., 2009; Diao et al., 2012). The reduction of SO₂ VCD
333 after 2011 in these regions reflects virtually the response of SO₂ emissions to the
334 regulations in the reduction of SO₂ release, the mandatory application of the flue-gas
335 desulfurization (FGD) on coal-fired power plants and heavy industries, and the
336 slowdown in the growth rate of the Chinese economy (CSC, 2011a; Wang et al.,
337 2015, Chen et al., 2016).

338 Since in the MK test the signs and fluctuations of UF_k are often used to predict
339 the trend of a time series, this approach is further applied to quantify the trends and
340 step changes in annually SO₂ VCD time series in those highlighted regions (a-f) in
341 **Fig. 4b** from 2005 to 2015. Results are illustrated in **Fig. 6**. As shown, the forward
342 and backward sequences UF_k and UB_k intersect at least once from 2005 to 2015.
343 These intersections are all well within the confidence levels between -1.96 and 1.96 at
344 the statistical significance $\alpha=0.01$. A common feature of the forward sequence UF_k in

345 eastern and southern China provinces is that UF_k has been declining and become
346 negative from 2007 to 2009 onward (**Fig. 6a-d**), confirming the downturn of SO_2
347 atmospheric emissions and levels in these industrialized and well-developed regions
348 in China. The step change points of OMI measured SO_2 VCDs in the NCP, YRD and
349 Sichuan Basin occurred between 2012 and 2013. These step change points coincide
350 with the implementation of the new Ambient Air Quality Standard in 2012, which set
351 a lower ambient SO_2 concentration limit in the air (MEPC, 2012), and the Air
352 Pollution Prevention and Control Action Plan in 2013 by the State Council of China
353 (CSC, 2013a). This Action Plan requests to take immediate actions to control and
354 reduce air pollution in China, including cutting down industrial and mobile emission
355 sources, adjusting industrial and energy structures, and promoting the application of
356 clean energy in the BTH, YRD, PRD and Sichuan Basin. The step change in SO_2
357 VCD over the PRD occurred in the earlier year of 2009-2010 and from this period
358 onward the decline of SO_2 VCD speeded up, as shown by the forward sequence UF_k
359 which became negative since 2007 and was below the confidence level of -1.96 after
360 2009, suggesting significant decreasing VCD from 2009 (**Fig. 6c**). In April 2002, the
361 Hong Kong Special Administrative Region (HKSAR) Government and the
362 Guangdong Provincial Government reached a consensus to reduce, on a best endeavor
363 basis, the anthropogenic emissions of SO_2 by 40% in the PRD by 2010, using 1997 as
364 the base year
365 (http://www.epd.gov.hk/epd/english/action_blue_sky/files/exsummary_e.pdf). By the
366 end of 2010, all thermal power units producing more than 0.125 million kilowatts

367 electricity in the PRD were equipped with the FGD. During the 11th Five-Year Plan
368 (2006-2010), the thermal power units with 1.2 million kilowatts capacity have been
369 shut down. SO₂ emission was reduced by 18% in 2010 compared to that in 2005
370 (NBSC, 2006, 2011). This likely caused the occurrence of the step change in SO₂
371 VCD over 2009-2010.

372 **3.2. OMI measured SO₂ "hot spots" in northwestern China**

373 As also shown in **Fig. 4b**, in contrast to widespread decline of SO₂ VCD, there
374 are two "hot spots" featured by moderate increasing trends of SO₂ VCD, located in
375 the China's Energy Golden Triangle (EGT, Shen et al., 2016, Ma and Xu, 2017) and
376 Urumqi-Midong region in northwestern China. The annual growth rate of SO₂ VCD
377 from 2005 to 2015 are 3.4% yr⁻¹ in the EGT and 1.8% yr⁻¹ in Urumqi-Midong,
378 respectively (**Fig. 4b**). SO₂ VCD in these two regions peaked in 2011 and 2013
379 which were 1.6 and 1.7 times of that in 2005 (**Fig. 5**). The raising SO₂ VCD in the
380 part of the EGT have been reported by Shen et al. (2016). The second hot spot is
381 located in Urumqi-Midong region including MEIB that is about 40 km away from
382 Urumqi. The both EGT and MEIB are featured by extensive coal mining, thermal
383 power generation, coal chemical, and coal liquefaction industries. The reserve of
384 coal, oil and natural gas in the EGT is approximately 1.05×10^{12} ton of standard coal
385 equivalent, accounting for 24% of the national total energy reserve in China
386 (CRGECCR, 2015). It has been estimated that there are deposits of 20.86 billion tons
387 of oil, 1.03 billion cubic meters of natural gas, and 2.19 trillion tons of coal in
388 Xinjiang, accounting for 30%, 34% and 40% of the national total (Dou, 2009). Over

389 the past decades, a large number of energy-related industries have been constructed
390 in northwestern China, such as the EGT and MEIB to enhance China's energy
391 security in the 21st century and speed up the local economy. The rapid development
392 of energy and coal chemical industries in Ningxia Hui Autonomous Region and
393 Xinjiang of northwestern China alone resulted in the significant demands to coal
394 mining and coal products. The coal consumption, thermal power generation, and the
395 gross industrial output increased by 2.7, 3.5, and 6.6 times in Ningxia from 2005 to
396 2015, and by 2.7, 4.2 and 6.6 times in Xinjiang during the same period (NBSC, 2005,
397 2015). As a result, SO₂ emissions increased markedly in these regions, as shown by
398 the increasing trends of SO₂ VCD in the EGT and Urumqi-Midong region (**Fig. 4b**).

399 The MK forward sequence further confirms the increasing SO₂ VCD in the EGT
400 and Urumqi-Midong. As seen in **Fig. 6e** and **6f**, the UF_k values for SO₂ VCD are
401 positive and growing, illustrating clear upward trends of SO₂ VCD over these two
402 large-scale energy industry bases, revealing the response of SO₂ emissions to the
403 energy industry relocation and development in northwestern China. To guarantee the
404 national energy security and to promote the regional economy, the EGT energy
405 program has been accelerating since 2003 under the national energy development and
406 relocation plan (Zhu and Ruth, 2015; Chen et al., 2016), characterized by the rapid
407 expansion of the NECIB which is located about 40 km away from Yinchuan, the
408 capital of Ningxia (Shen et al., 2016). By the end of 2010, a large number of coal
409 chemical industries, including the world largest coal liquefaction and thermal power
410 plants, have been built and operated, and the total installed capacity of thermal power

411 generating units has reached 1.47 million kilowatts (Zhao, 2016). Under the same
412 national plan, the MEIB in Xinjiang started to construction and operation from the
413 early to mid-2000s which have almost the same industrial structures as those in the
414 EGT, featured by coal-fired power generation, coal chemical industry, and coal
415 liquefaction.

416 The statistical significant step change points of SO₂ VCD in the EGT and
417 Urumqi-Midong took place in 2006 and 2009 (**Fig. 6e** and **6f**), differing from those
418 regions with decreasing trends of SO₂ VCD in eastern and southern China. The first
419 step change point in 2006-2007 corresponds to the increasing SO₂ emissions in these
420 two large-scale energy bases till their respective peak emissions in EGT (2007) and
421 Urumqi-Midong (2008). The second step change point in 2009 coincides with the
422 global financial crisis in 2008 which slowed down considerably the economic growth
423 in 2009 in China, leading to raw material surplus and the remarkable reduction in the
424 demand for coal products.

425 **3.3 OMI SO₂ time series and step change point year in northwestern China**

426 The clearly visible "hot spots" featured by increasing OMI measured SO₂ VCD
427 in the EGT/NECIB and MEIB raise a question: to what extent could these
428 large-scale energy industrial bases affect the trend and fluctuations of SO₂ emissions
429 in northwestern China? **Figure 7** illustrates the fractions (%) of OMI measured
430 annual SO₂ VCD and SO₂ emissions averaged over the 6 provinces of northwestern
431 China in the annual national total VCD (**Fig. 7a**) and emissions (**Fig. 7b**) from 2005
432 to 2015. The both SO₂ VCD and emission fractions in northwestern China in the

433 national total increased over the past decade. By 2015, the mean SO₂ VCD fraction
434 in 6 northwestern provinces has reached 38% in the national total. The mean
435 emission fraction was about 20% in the national total. It should be noted that there
436 were large uncertainties in provincial SO₂ emission data which often underestimated
437 SO₂ emissions from major point sources (Li et al., 2017; Han et al., 2007). In this
438 sense, OMI retrieved SO₂ VCD fraction provides a more reliable estimate to the
439 contribution of SO₂ emission in northwestern China to the national total.

440 The annual percentage changes in SO₂ VCD from 2005 onward are consistent
441 well with the per capita SO₂ emissions in China (**Fig. 8**). As aforementioned, while
442 the annual total SO₂ emissions in the well-developed BTH, YRD, and PRD were
443 higher than that in northwestern provinces, the per capita emissions in all provinces
444 of northwestern China, especially in Ningxia and Xinjiang where the NECIB and
445 MEIB are located, were about factors of 1 to 6 higher than that in the BTH, YRD,
446 and PRD, as shown in **Fig. 8**. In contrast to declining annual emissions from the
447 BTH, YRD, and PRD, the per capita SO₂ emissions in almost all western provinces
448 have been growing from 2005 onward.

449 Since almost all large-scale coal chemical, thermal power generation, and coal
450 liquefaction industries were built in energy-abundant and sparsely populated
451 northwestern China over the past two decades, particularly since the early 2000s,
452 those large-scale industrial bases in this part of China likely play an important role in
453 the growing SO₂ emissions in northwestern provinces. We further examine the OMI
454 retrieved SO₂ VCD to confirm and evaluate the changes in SO₂ emissions in

455 northwestern China which should otherwise respond to these large-scale energy
456 programs under the national plan for energy relocation and expansion. **Figure 9**
457 displays the MK test statistics for SO₂ VCD in the 6 provinces in northwestern China
458 from 2005-2015. The forward sequence UF_k suggests decreasing trends in Shaanxi
459 and Gansu provinces and a moderate increase in Qinghai province. In Xinjiang and
460 Ningxia where the most energy industries were relocated and developed for the last
461 decade (2005-2015), as aforementioned, UF_k time series estimated using SO₂ VCD
462 data illustrate clear upward trends. Compared with those well-developed regions in
463 eastern and southern China, the UF_k values of SO₂ VCD in these northwestern
464 provinces are almost all positive, except for Shaanxi province where the UF_k turned
465 to negative from 2008, and Gansu province where the UF_k value become negative
466 during 2012-2013.

467 The step change points identified by the MK test for SO₂ VCD in northwestern
468 China appear associated strongly with the development and use of coal energy. As
469 shown in **Fig. 9**, the intersection of the forward and backward sequences UF_k and
470 UB_k within the confidence levels of -1.96 (straight green line) to 1.96 (straight
471 purple line) can be identified in 2006 and 2007 in Ningxia and Xinjiang, respectively,
472 corresponding well to the expansion of two largest energy industry bases from 2003
473 onward in Ningxia (NECIB) and Xinjiang (MEIB). The step change point of SO₂
474 VCD in 2012 in Gansu province coincides with fuel-switching from coal to gas in
475 the capital city (Lanzhou) and many other places of the province initiated from 2012
476 (CSC, 2013b). The MK derived step change point in Shaanxi province occurred in

477 2010 which was a clear signal of marked decline of fossil fuel products in northern
478 Shaanxi where, as the part of the EGT (Ma and Xu, 2017) of China, the largest
479 energy industry base in the province is located, right after the global financial crisis.

480 It is interesting to note that the forward sequences UF_k of SO₂ VCD (**Fig. 9e** and
481 **f**) in Ningxia and Xinjiang exhibit the similar fluctuations as that in Ningdong
482 (NECIB) and Urumqi-Midong (MEIB) (**Fig. 9e** and **f**), manifesting the potential
483 associations between the SO₂ emissions in these two large-scale energy industrial
484 bases (major point sources) and provincial emissions in Ningxia and Xinjiang,
485 respectively. This suggests that large-scale energy industrial bases might likely
486 overwhelm or play an important role in the SO₂ emissions in those energy-abundant
487 provinces in northwestern China. **Figure 10** illustrates mean SO₂ VCD from 2005 to
488 2015 in northern Xinjiang (**Fig. 10a**) and Ningxia (**Fig. 10b**). The largest
489 concentrations can be seen clearly in the MEIB and the NECIB in these two minority
490 autonomous regions of China. Lower SO₂ concentrations are illustrated in
491 mountainous areas of northern Xinjiang. Based on inverse modeling of SO₂ burdens
492 (a , 10²⁶ molecules) in the source detection model (section 2.4), we estimated SO₂
493 emission (E , kt yr⁻¹) in the NECIB and MEIB from 2005 to 2015, defined by $E=a/\tau$,
494 where τ is a decay time of SO₂ (section 2.4). The results are illustrated in **Fig. 11**. As
495 shown, the SO₂ emission increased from 2005 and reached the maximum in 2011 in
496 the NECIB and declined thereafter, in line with the annual SO₂ VCD fluctuations in
497 this energy industry base which is, as aforementioned, attributable to the economic
498 rebound in 2011 in China. Of particular interest is the large fractions of the estimated

499 SO₂ emission in the NECIB in Ningxia Province (**Fig. 11a**) from 2005 to 2015.

500 These large fractions suggest that this energy industry park alone contributed up to

501 more than 50% emission to the provincial total SO₂ emission. Likewise, the OMI SO₂

502 VCD derived SO₂ emissions in the MEIB also made an appreciable contribution

503 (15-20%) to the provincial total SO₂ emission in Xinjiang. Covered by a large area of

504 Gobi desert (Junngar Basin), there are only a few of SO₂ emission sources in vast

505 northern Xinjiang region (total area of Xinjiang is 1.66×10^6 km²). This likely leads to

506 the small fractions of SO₂ emissions in the MEIB in the total SO₂ emission in

507 Xinjiang. **Figure 11c** and **11d** show SO₂ VCDs (the left y-axis) and the ratios (the

508 right y-axis) of the mean VCDs in NECIB and MEIB to the provincial mean VCDs in

509 Ningxia and Xinjiang from 2005 to 2015, respectively. It can be seen that the

510 maximum mean SO₂ VCD over the MEIB is about a factor of 4.5 greater than the

511 mean SO₂ VCD over Xinjiang province (**Fig. 11d**). This ratio is larger than the ratio

512 (2.9) of the SO₂ VCD in the NECIB to the SO₂ VCD averaged over Ningxia province

513 (**Fig. 11c**). Nevertheless, overall our results manifest that, although there were only a

514 small number of SO₂ point sources in these two energy industrial bases, the SO₂

515 emissions from the NECIB and MEIB made significant contributions to provincial

516 total emissions. Given that the national strategy for China's energy expansion and

517 safety during the 21st century is, to a large extent, to develop large-scale energy

518 industry bases in northwestern China, particularly in Xinjiang and Ningxia (Zhu and

519 Ruth, 2015; Chen et al., 2016) where the energy resources are most abundant in China,

520 we would expect that the rising SO₂ emissions in northwestern China would

521 increasingly be attributed to those large-scale energy industry bases and contributed to
522 the national total SO₂ emission in China.

523 **Table 1** presents the annual average growth rates of SO₂ VCD, industrial
524 (second) Gross Domestic Product (GDP), and major coal-consuming industries in
525 northwestern China and three developed areas (BTH, YRD, PRD) in eastern and
526 southern China. The positive growth rates of SO₂ VCD can be observed in the three
527 provinces and autonomous regions (Qinghai, Ningxia, and Xinjiang) of northwestern
528 China. Although the growth rates of SO₂ VCD in other two provinces (Gansu and
529 Shaanxi) are negative, the magnitudes of the negative growth rates are smaller than
530 those in the BTH, YRD, and PRD, except for Zhejiang province in the YRD. This
531 regional contrast reflects both their economic and energy development activities and
532 the SO₂ emission control measures implemented by the local and central
533 governments of China. Although China has set a national target of 10% SO₂
534 emission reduction (relative to 2005) during 2006-2010 and 8% (relative to 2010)
535 during 2011-2015 (CSC, 2007; CSC, 2011b), under the Grand Western Development
536 Program of China, the regulation for SO₂ emission control was waived in those
537 energy-abundant provinces of northwestern China in order to speed up the
538 large-scale energy industrial bases and local economic development, and improve
539 local personal income. Also, although FGDs were widely installed in coal-fired
540 power plants and other industrial sectors since the 1990s, by 2010 as much as 57%
541 of these systems were installed in eastern and southern China (Zhao et al., 2013).
542 The capacity of small power generators which were shut-down in western China was

543 merely about 10808 MW, only accounting for about 19% of the capacity of total
544 small power plants which were eliminated in China (55630 MW) during the 11th
545 Five-Year Plan period (2006-2010) (Cui et al., 2016). As shown in **Table 1**, the SO₂
546 emission reduction plans virtually specified the zero percentage of SO₂ emission
547 reductions in Qinghai, Gansu, and Xinjiang and lower reduction percentage in the
548 emission reduction in Ningxia and Inner Mongolia as compared to eastern and
549 southern China during the 11th (2006-2010) and 12th (2011-2015) Five-Year Plan.
550 As a result, the average growth rate for thermal power generation, steel production,
551 and coal consumption from 2005 to 2015 in northwestern China reached 14.1% yr⁻¹,
552 35.7% yr⁻¹, and 11.9% yr⁻¹, considerably higher than the averaged growth rates over
553 eastern and southern China (5.9% yr⁻¹ in the BTH, 0.8% yr⁻¹ in the YRD, and 2.3%
554 yr⁻¹ in the PRD).

555 **4 Conclusions**

556 The spatiotemporal variation in SO₂ concentration during 2005-2015 over
557 China was investigated by making use of the PBL SO₂ column concentrations
558 measured by the OMI. The highest SO₂ VCD was found in the NCP, the most
559 heavily polluted area by SO₂ in China, including Beijing-Tianjin-Hebei, Shandong,
560 and Henan province. Under the national regulation for SO₂ control and emission
561 reduction, the SO₂ VCD in eastern and southern China underwent widespread
562 decline during this period. However, the OMI measured SO₂ VCD detected two "hot
563 spots" in the EGT (Ningxia-Shaanxi-Inner Mongolia) and Midong (Xinjiang) energy
564 industrial bases, in contrast to the declining SO₂ emissions in eastern and southern

565 China, displaying an increasing trend with the annual growth rate of 3.4% yr⁻¹ in the
566 EGT and 1.8% yr⁻¹ in Midong, respectively. The trend analysis further revealed
567 enhanced SO₂ emissions in most provinces of northwestern China likely due to the
568 national strategy for energy industry expansion and relocation in energy-abundant
569 northwestern China. As a result, per capita SO₂ emission in northwestern China has
570 exceeded industrialized and populated eastern and southern China, making
571 increasing contributions to the national total SO₂ emission. The estimated SO₂
572 emissions in the Ningdong (Ningxia) and Midong (Xinjiang) energy industrial bases
573 from OMI measured SO₂ VCD showed that the SO₂ emissions in these two industrial
574 bases made significant contributions to the total provincial emissions. This indicates,
575 on one side, that the growing SO₂ emissions in northwestern China would
576 increasingly come from those large scale energy industrial bases under the national
577 energy development and relocation plan. On the other side, this fact also suggests
578 that it is likely more straightforward to control and reduce SO₂ emissions in
579 northwestern China because the SO₂ control measures could be readily implemented
580 and authorized in those state-owned large-scale energy industrial bases.

581

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852 **Table 1** Annual growth rate for OMI SO₂ VCD and economic activities for
 853 individual provinces and municipality during 2005-2014 (% yr⁻¹), and SO₂ emission
 854 reduction plan during the 11th and 12th Five-Year Plan period (%).

Region	OMI SO ₂ VCD	coal consumption	Industrial GDP	Thermal power generation	steel production	SO ₂ emission reduction plan (%)		
						2006-2010 ^a	2011-2015 ^b	
Northwest ern	Inner Mongolia	0.94	11.29	20.48	14.07	8.38	-3.8	-3.8
	Shaanxi	-3.41	13.14	19.96	13.01	14.48	-12	-7.9
	Gansu	-0.09	6.69	14.19	8.89	9.92	0	2.0
	Qinghai	0.69	11.20	18.70	9.88	12.37	0	16.7
	Ningxia	0.95	11.79	17.44	15.04	152.71	-9.3	-3.6
	Xinjiang	1.57	17.21	14.21	23.39	16.27	0	0
BTH	Beijing	-3.59	-6.13	9.13	5.99	-48.52	-20.4	-13.4
	Tianjin	-4.63	3.15	15.84	6.01	10.19	-9.4	-9.4
	Hebei	-5.05	4.16	12.37	6.22	10.70	-15	-12.7
YRD	Shanghai	-7.65	-0.93	6.64	0.86	-0.92	-26.9	-13.7
	Jiangsu	-5.93	5.39	12.51	7.49	13.35	-18.0	-14.8
	Zhejiang	-2.07	4.04	11.40	8.68	13.94	-15.0	-13.3
PRD	Guangdong	-4.55	6.15	12.03	5.92	6.87	-15.0	-14.8

855 a and b represents proposed reduction in SO₂ emission in 2010 relative to 2005, and 2015 relative
 856 to 2010, respectively. The value for PRD refers to the proposed target for Guangdong Province.

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877 **Figure Captions**

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879 **Figure 1** Selected regions in this investigation across China, including Northwestern
880 China, defined by pink slash, includes Inner Mongolia, Shaanxi, Gansu, Qinghai,
881 Ningxia, Beijing-Tianjin-Hebei (BTH), the North China Plain (NCP), the Sichuan
882 Basin, Yangtze River Delta (YRD), and Pearl River Delta (PRD). These regions are
883 labeled in the figure and marked by different colors as shown in the figure. The
884 Urumqi-Midong region (brick red color) and the Energy Golden Triangle (EGT,
885 purple color) are also labeled in the figure. Red triangles indicate 188 monitoring
886 sites across China. Blue circles indicate 6 selected cities in **Fig. 2**.

887

888 **Figure 2** Annually averaged SO₂ VCD (DU), scaled on the right-hand-side y-axis
889 and measured annual SO₂ air concentration ($\mu\text{g}/\text{m}^3$), scaled on the left-hand-side
890 y-axis, in Beijing, Shanghai, Chongqing, Guangzhou, Yinchuan, and Urumqi.

891

892 **Figure 3** Annually averaged SO₂ VCD (DU), scaled on the right-hand-side y-axis
893 and annual emissions (thousand ton/yr) of SO₂ on the left-hand-side y-axis in the
894 NCP, YRD, PRD, Sichuan Basin, EGT, and Urumqi-Midong region.

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896 **Figure 4** Annual averaging OMI-retrieved vertical column densities of SO₂ (DU)
897 and their trends from 2005 to 2015 on $0.25^\circ \times 0.25^\circ$ latitude/longitude resolution in
898 China. **(a)**. Annual mean SO₂ vertical column densities; **(b)**. slope (trend) of linear
899 regression relationship between annual averaging OMI-retrieved SO₂ VCD and the
900 time sequence from 2005 to 2015 over China. The positive values indicate an
901 increasing trend of SO₂ VCD from 2005 to 2015, and vice versa. The blue circle
902 highlights the six selected regions including NCP (a), YRD (b), PRD (c), Sichuan
903 Basin (d), Energy Golden Triangle (EGT, e), and Urumqi-Midong region (f).

904

905 **Figure 5** Percentage changes in annual mean OMI SO₂ VCD relative to 2005 in four
906 highlighted regions in eastern and southern China and two large-scale energy
907 industry bases in the EGT and Urumqi-Midong region in **Figure 4b**.

908

909 **Figure 6** Mann-Kendall (MK) test statistics for annually SO₂ VCD in those
910 highlighted regions (**Figs. 1** and **4b**) from 2005-2015. The blue solid line is the
911 forward sequence UF_k and the red solid line is the backward sequence UB_k defined
912 by Eq (5). The positive values for UF_k indicate an increasing trend of SO₂ VCD, and
913 vice versa. Two straight solid lines stand for confidence interval between -1.96
914 (straight green line) and 1.96 (straight purple line) in the MK test. The intersection of
915 UF_k and UB_k sequences within the intervals between two confidence levels indicates
916 a step change point.

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918 **Figure 7** Annual fractions of OMI retrieved SO₂ VCD and emissions averaged over
919 6 northwestern provinces in the national total SO₂ VCD from 2005 to 2015 and
920 emission from 2005 to 2014. **(a)** fraction of annual mean SO₂ VCD; **(b)** fraction of

921 annual mean emission. Fractions of SO₂ VCD are calculated as the ratio of the sum
922 of annually averaged SO₂ VCD in northwestern China to the sum of annually
923 averaged SO₂ VCD in the national total from 2005 to 2015 (%).

924

925 **Figure 8** Per capita SO₂ emission in six provinces of northwestern China and three
926 key eastern regions (tons/person). The value for PRD refers to the per capita SO₂
927 emission for Guangdong province.

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929 **Figure 9** Same as Fig. 6 but for Mann-Kendall (MK) test statistics for annually
930 averaged SO₂ VCD in six provinces in northwestern China from 2005-2015.

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932 **Figure 10** Annually averaging OMI-retrieved vertical column densities of SO₂ (DU)
933 in two major point sources, the MEIB in Xinjiang (a), and the NECIB in Ningxia (b).

934

935 **Figure 11** Annually averaged SO₂ emissions (kt yr⁻¹) and SO₂ VCD (DU) in the
936 NECIB and MEIB, and their fractions in provincial total SO₂ emission and ratios
937 between SO₂ VCD in these two regions and that in province. **(a)**. SO₂ emission (blue
938 bar) in the NECIB and its fraction (red solid line) in the total provincial SO₂
939 emission in Ningxia. The left y-axis stands for SO₂ emission and the right y-axis
940 denotes the fraction (%) at the upper panel and the error bars denotes the standard
941 deviations of Source Detection Algorithm estimated SO₂ emission point sources; **(b)**.
942 same as Fig. 11a but for the MEIB. **(c)**. SO₂ VCD (blue bar) in the NECIB and the
943 ratio (red solid line) between SO₂ VCD in the NECIB and that in Ningxia. The left
944 y-axis stands for SO₂ VCD (DU) and the right y-axis denotes the ratio at the lower
945 panel; **(d)**. same as Fig. 11c but for the MEIB.

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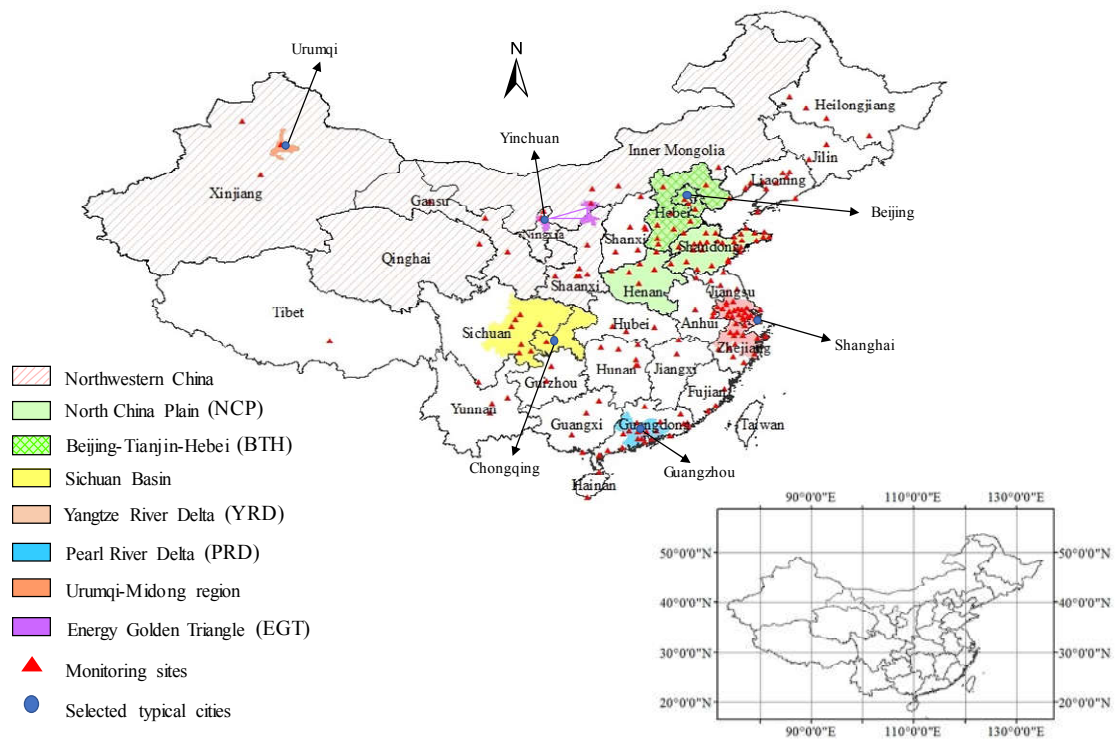
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965 Figure 1

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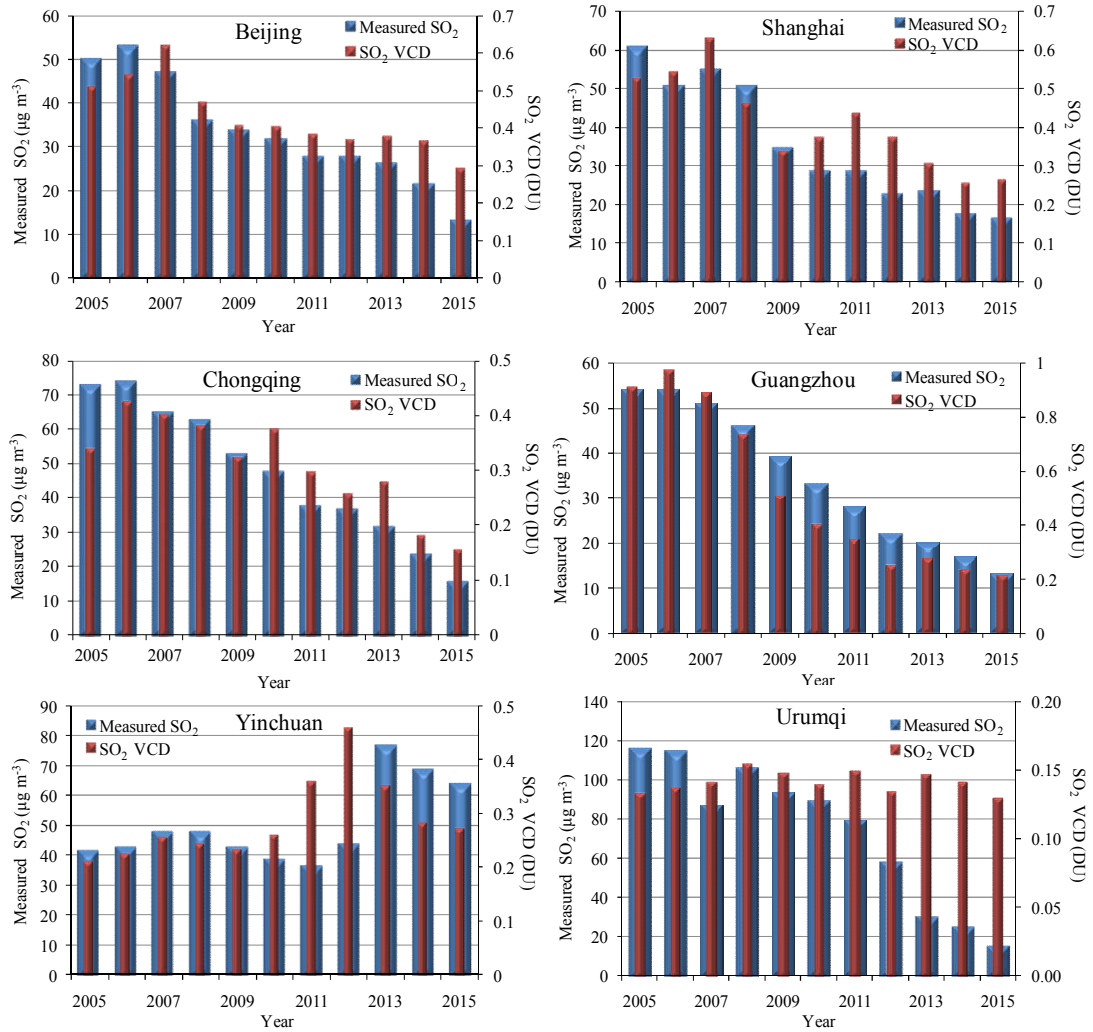
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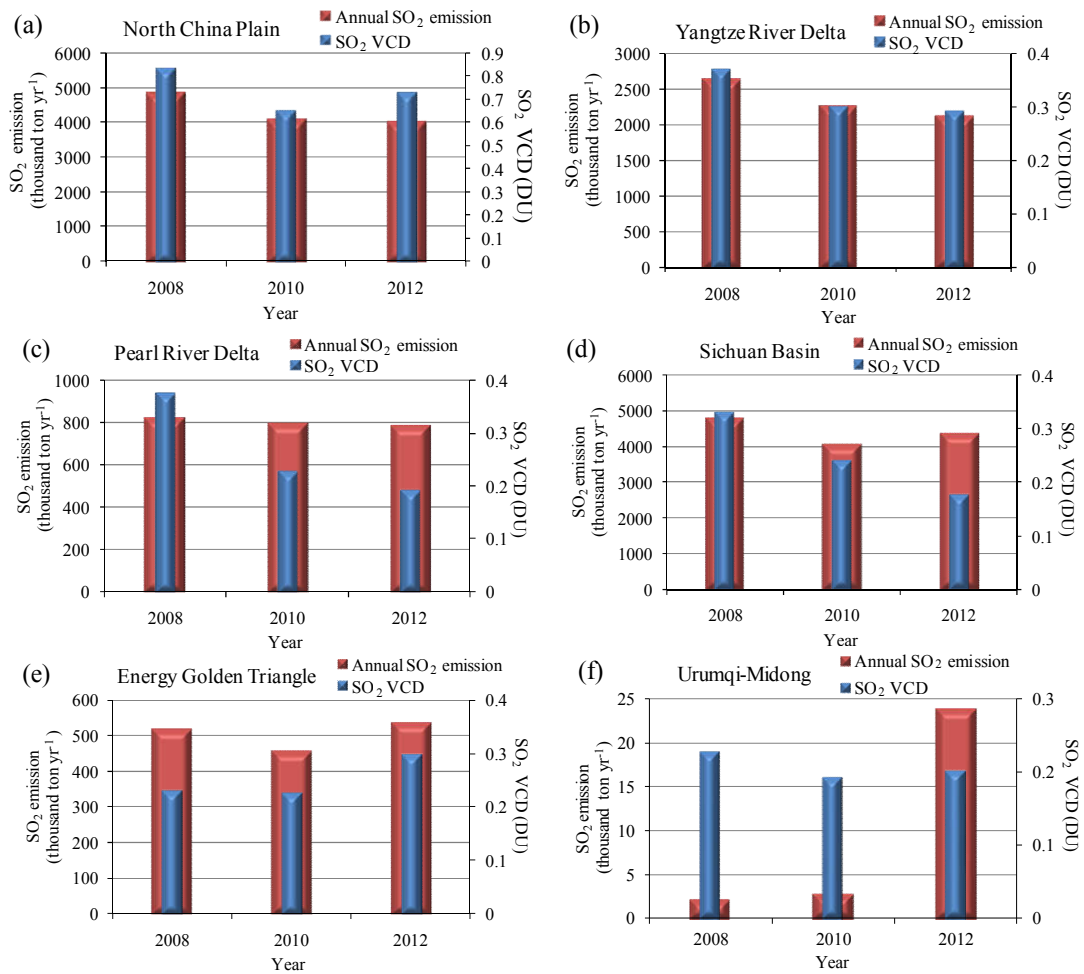
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992 Figure 2
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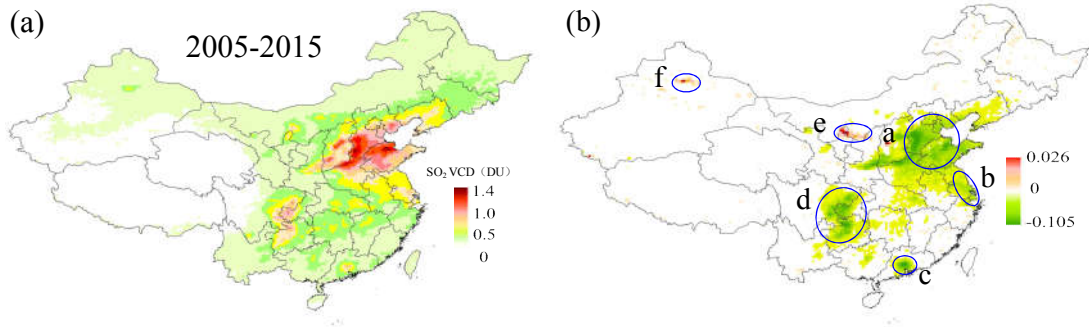
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1011 Figure 3
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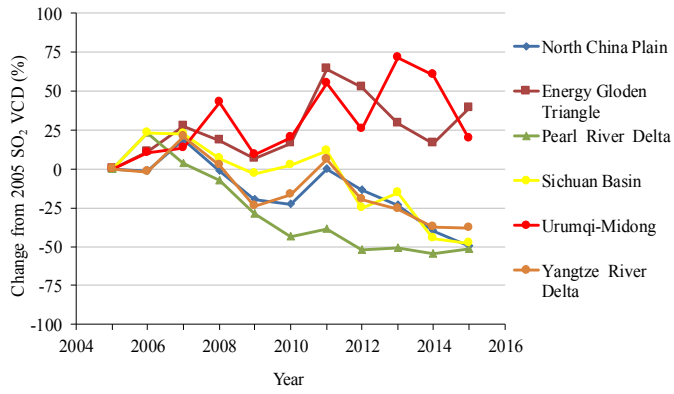
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1032 Figure 4
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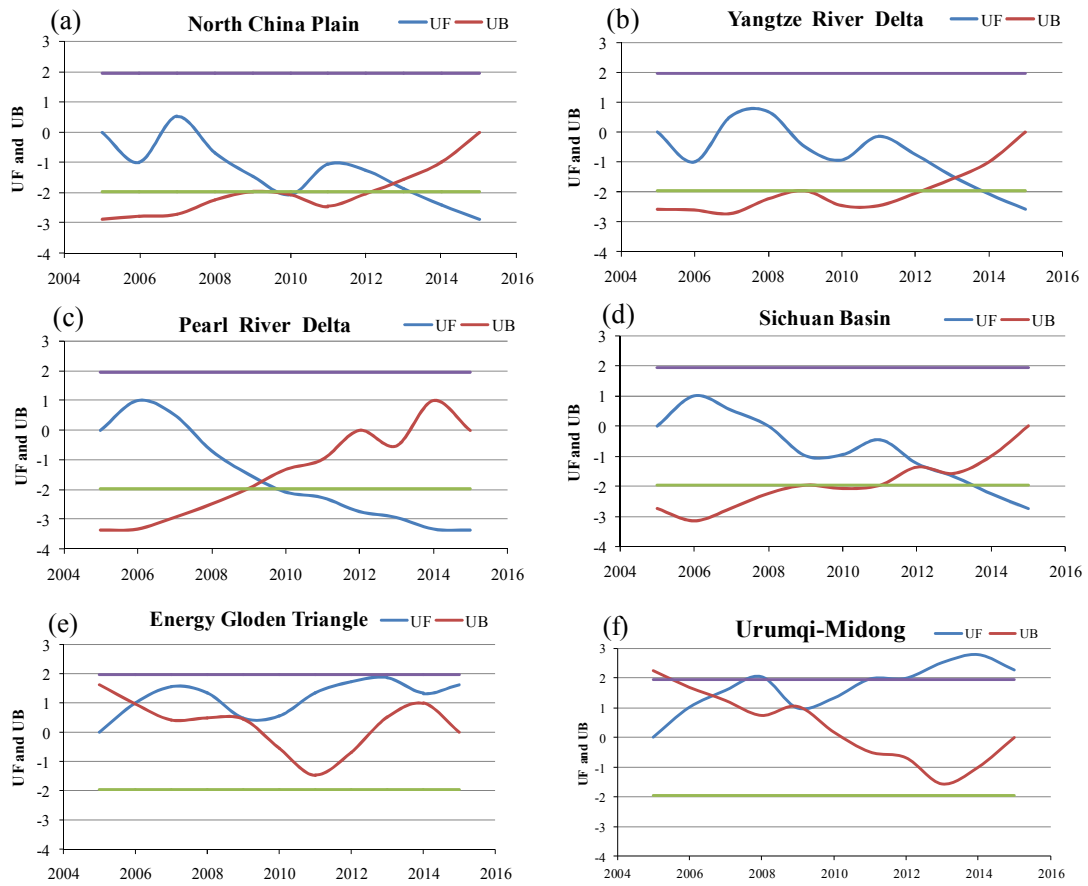
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Figure 5



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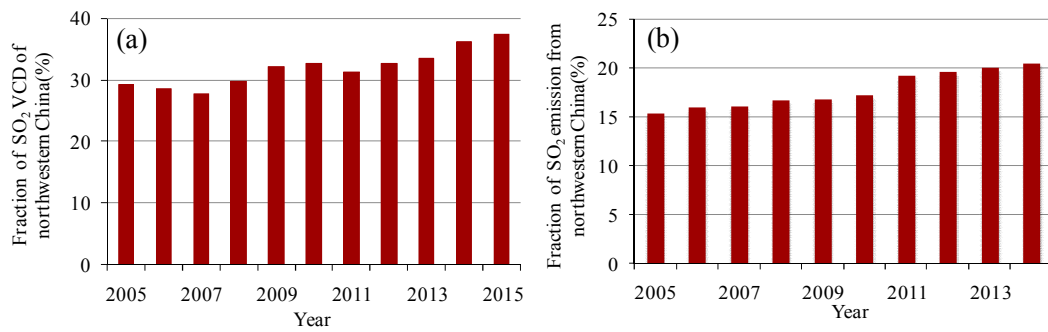


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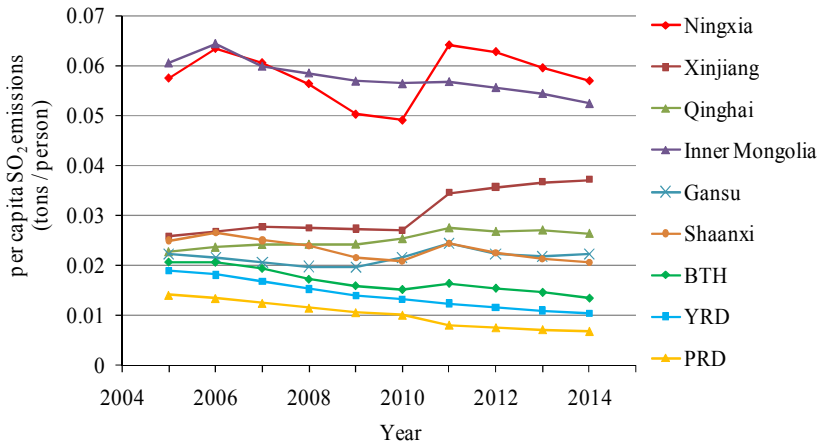
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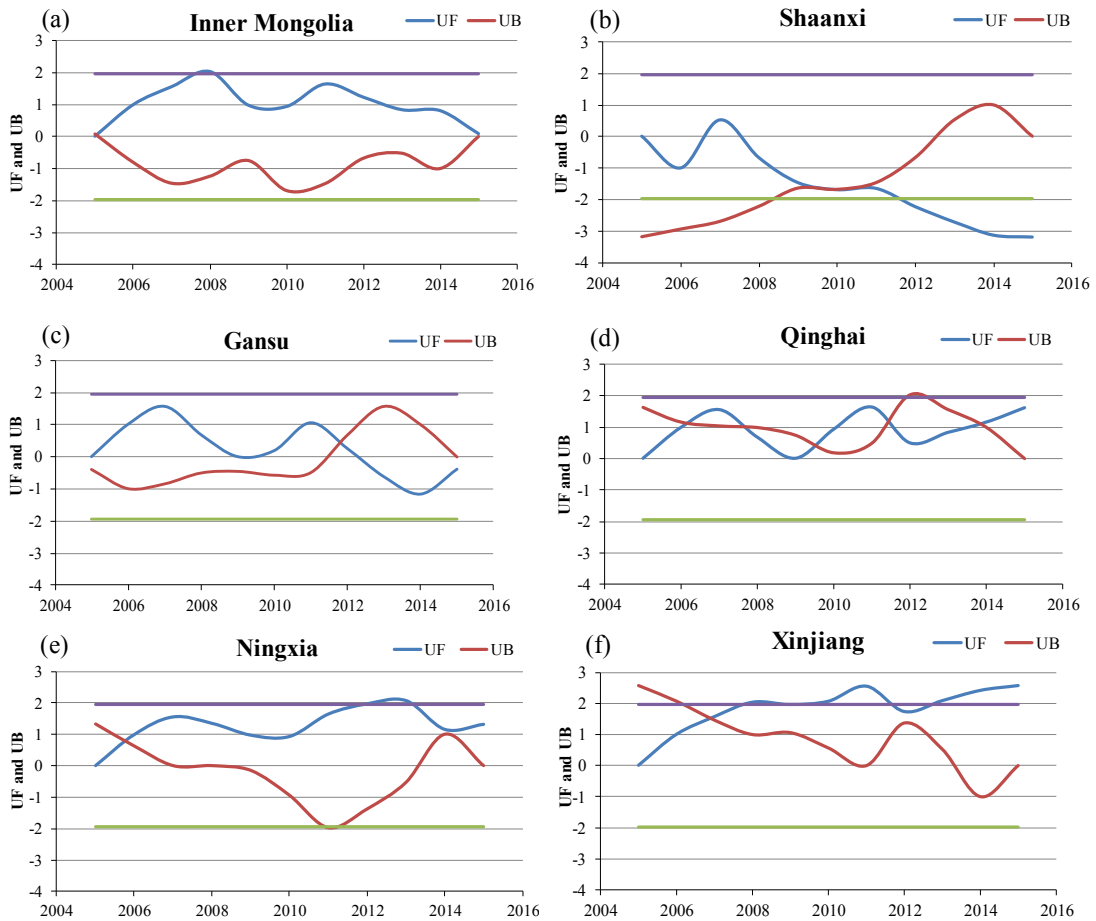
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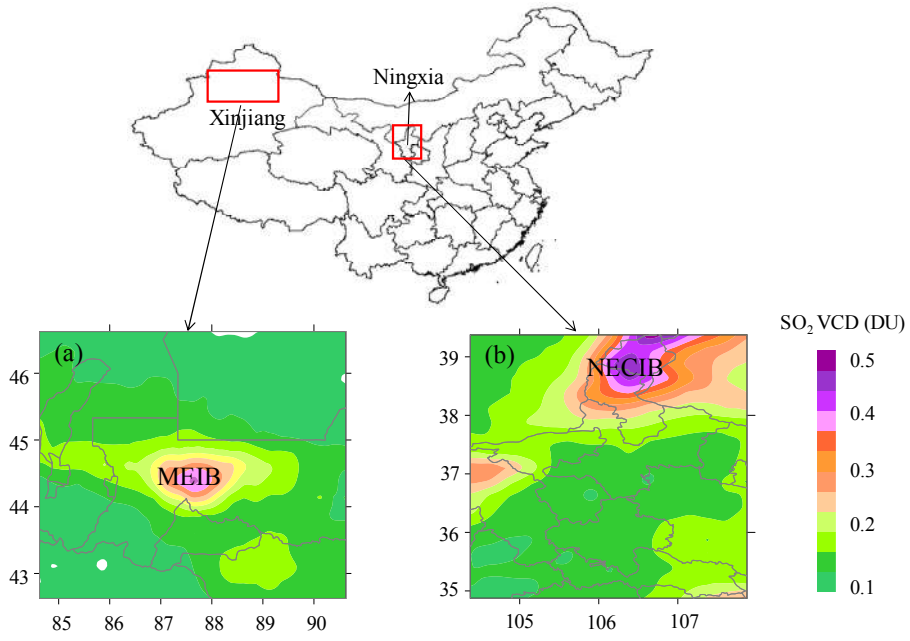
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Figure 9



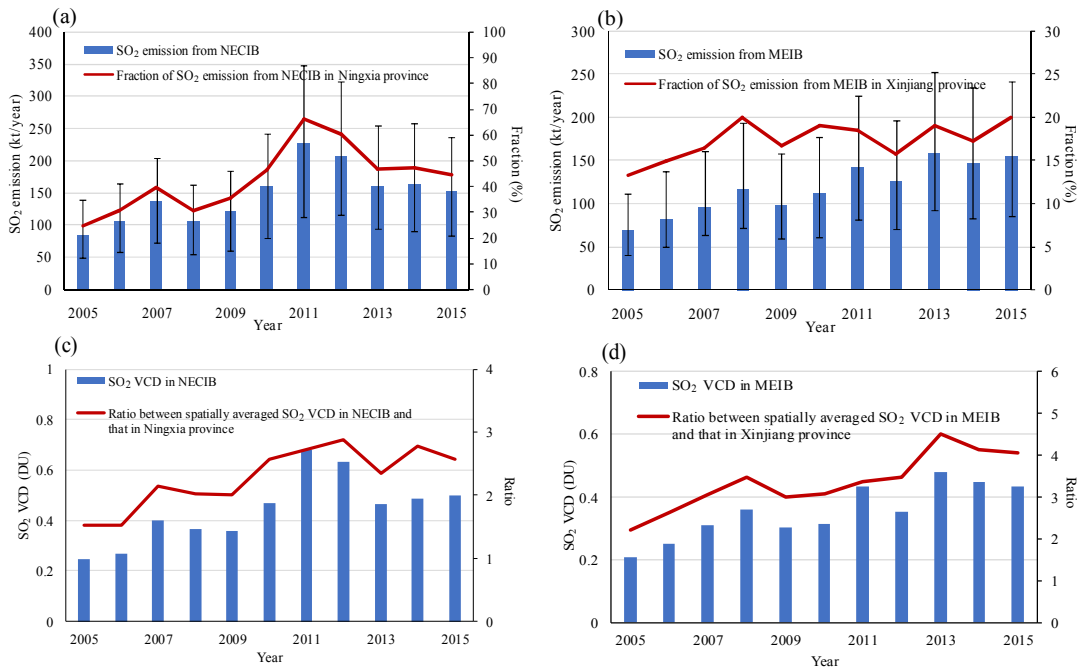
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Figure 11



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