- 1 Influence of anthropogenic emissions and boundary conditions on multi-model
- 2 simulations of major air pollutants over Europe and North America in the framework
- 3 of AQMEII3
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### 42 Abstract

- 43 In the framework of the third phase of the Air Quality Model Evaluation International
- 44 Initiative (AQMEII3), and as contribution to the second phase of the Hemispheric Transport
- 45 of Air Pollution (HTAP2) activities for Europe and North America, the impacts of a 20%
- 46 decrease of global and regional anthropogenic emissions on surface air pollutant levels in
- 47 2010 are simulated by an international community of regional scale air quality modeling

- 48 groups, using different state-of-the-art chemistry and transport models (CTM). The emission
- 49 perturbations at the global level, as well as over the HTAP2-defined regions of Europe, North
- 50 America and East Asia are first simulated by the global Composition Integrated Forecasting
- 51 System (C-IFS) model from European Centre for Medium-Range Weather Forecasts
- 52 (ECMWF), which provides boundary conditions to the various regional CTMs participating
- 53 in AQMEII3. On top of the perturbed boundary conditions, the regional CTMs used the same
- 54 set of perturbed emissions within the regional domain for the different perturbation scenarios
- that introduce a 20% reduction of anthropogenic emissions globally as well as over the
- 56 HTAP2-defined regions of Europe, North America and East Asia.
- 57 Results show that the largest impacts over both domains are simulated in response to the
- 58 global emission perturbation, mainly due to the impact of domestic emissions reductions. The
- responses of NO<sub>2</sub>, SO<sub>2</sub> and PM concentrations to a 20% percent anthropogenic emission
- 60 reductions are almost linear (~20% decrease) within the global perturbation scenario with
- 61 however, large differences in the geographical distribution of the effect. NO<sub>2</sub>, CO and SO<sub>2</sub>
- 62 levels are strongly affected over the emission hot spots. O<sub>3</sub> levels generally decrease in all
- 63 scenarios by up to  $\sim 1\%$  over Europe, with increases over the hot spot regions, in particular in
- 64 the Benelux region, by an increase up to  $\sim 6\%$  due to the reduced effect of NOx-titration. O<sub>3</sub>
- 65 daily maximum of 8-hour running average decreases in all scenarios over Europe, by up to
- $\sim 1\%$ . Over the North American domain, the central-to-eastern part and the western coast of
- 67 the U.S experience the largest response to emission perturbations. Similar but slightly smaller
- responses are found when domestic emissions are reduced. The impact of inter-continental
- 69 transport is relatively small over both domains, however, still noticeable particularly close to
- the boundaries. The impact is noticeable up to a few percent, for the western parts of the
  North American domain in response to the emission reductions over East Asia. O<sub>3</sub> daily
- 72 maximum of 8-hour running average decreases in all scenarios over North Europe by up to
- 73 ~5%. Much larger reductions are calculated over North America compared to Europe.
- In addition, values of the Response to Extra-Regional Emission Reductions (RERER) metric
  have been calculated in order to quantify the differences in the strengths of non-local source
- 76 contributions to different species among the different models. We found large RERER values
- for  $O_3$  (~0.8) over both Europe and North America, indicating a large contribution from non-
- 78 local sources, while for other pollutants including particles, low RERER values reflect a
- 79 predominant control by local sources. A distinct seasonal variation in the local vs. non-local
- 80 contributions has been found for both  $O_3$  and  $PM_{2.5}$ , particularly reflecting the spring-time
- 81 long-range transport to both continents.
- 82 1. Introduction
- 83 Regional air quality modeling has considerably developed during recent decades, driven by
- 84 increased concern regarding the impact of air pollution on human health and ecosystems.
- 85 Numerous air quality models have been developed by research groups worldwide and are
- being widely used for developing and testing emission control policies. Regional atmospheric
- 87 chemistry and transport models (CTMs) are widely used to assess the past, present and future
- 88 levels of air pollutants from continental to regional scales. There are different sources of

89 uncertainties in models such as emissions, meteorology, boundary conditions and chemical

- schemes that should be taken into account when analyzing results. These uncertainties
- 91 become more critical when these models are used for regulatory applications such as impacts
- 92 of emission reductions. Multi-model ensembles can help in reducing this uncertainty and
- provide a better estimate of impacts under different scenarios (Solazzo et al., 2013; Galmarini
- 94 et al., 2013; Kioutsoukis et al., 2017).

95 Numerous observational and modeling studies show that long-range transport of pollutants

- 96 degrade air quality over remote continents (e.g., Wilkening et al., 2000; Holloway et al.,
- 2003; Akimoto, 2003; Fiore et al., 2009). Although the influence of foreign emissions on
- continental scales is seen most frequently in the free troposphere, surface levels can also be
  affected, in particular over locations that generally receive clean air masses (e.g. Li et al.,
- 2002). For example, dust storms and biomass burning can influence the tropospheric
- 101 composition on a hemispheric scale (e.g., Husar et al., 2001; Jaffe et al., 2004). Reducing air
- 102 pollution levels in surface air would improve public health as exposure to these atmospheric
- 103 constituents aggravates respiratory illness and leads to premature mortality (World Health
- 104 Organization, 2013; Im et al., 2017; Liang et al., 2017). However, attributing pollution to
- 105 specific source regions is complicated due to the different processes influencing
- 106 intercontinental transport and by a large hemispheric background and the dominance of local
- emissions in contributing to high levels of particular pollutants, such as ozone  $(O_3)$  (e.g. Fiore
- et al., 2009). Given these difficulties, estimates of source-receptor relationships rely heavily
  on models.
- Stjern et al. (2016), using ten models participating in the second Hemispheric Transport of
  Air Pollution (HTAP2) activity, showed that a 20% reduction of global anthropogenic
  emissions, leads to significant changes regionally. They found that for North America (NA),
  black carbon emissions controls in East Asia are more important than domestic mitigation. In
  the framework of the HTAP2 activity, UN (2007) showed that a 20% reduction of North
- American NOx emissions leads to a 0.22 ppb decrease in O<sub>3</sub> levels over Europe (EU), while a
- 116 20% decrease in East Asian NOx emissions leads to a decrease of North American surface O<sub>3</sub>
- 117 levels by 0.12 ppb. The impacts of these emissions changes on the O<sub>3</sub> levels in the source
- regions are much higher. The impact of lateral boundary conditions (LBC) on concentration
- 119 fields simulated by regional-scale air quality models can also be quite significant (Jimenez et
- 120 al., 2007; Mathur, 2008; Rudich et al., 2008; Song et al., 2008; Anderrson et al., 2015;
- Giordano et al., 2015, Hogrefe et al., 2017; Solazzo et al., 2017a). Recently, Giordano et al.
- (2015) showed that the regional models can be very sensitive to the boundary conditionsprovided by the global models. Tang et al. (2007) showed that the simulated surface levels
- 124 over polluted areas are usually not as sensitive to the variation of LBCs, but are more
- sensitive to the magnitude of their background concentrations. Jonson et al. (2017), in the
- framework of the HTAP2 activity, showed that for ozone the contributions from the rest of
- the world is larger than the effects from European emissions alone, with the largest
- 128 contributions from North America and East Asia. The majority of these studies that address
- impact of emissions on regional and inter-continental transport employ global models on
- 130 coarse spatial resolution or focus on just a few species, such as  $O_3$  or carbon monoxide (CO).

- 131 On the other hand, studies using regional chemistry and transport models at finer spatial
- resolutions mostly focus on sub-regional scales (e.g. Im and Kanakidou, 2012; Huszar et al.,
- 133 2016). Therefore, studies addressing multi-pollutant, source-receptor relationships on inter-
- 134 continental and regional scales can provide valuable information on the impact of domestic
- and foreign emissions on regional air pollution levels. Multi-model ensembles operating on
- 136 fine spatial resolutions can increase accuracy and provide an estimate of uncertainty.
- 137 The Air Quality Model Evaluation International Initiative (AQMEII), coordinated jointly by
- 138 European Commission, Joint Research Centre (EC-JRC) and the U.S. Environmental
- 139Protection Agency (EPA) has brought together regional chemistry and transport modelling
- 140 groups from Europe and North America since 2008 (Rao et al., 2012; Solazzo et al., 2012a,b;
- 141 Im et al., 2015 a,b). AQMEII is now running its third phase as a regional sub-project of the
- 142 larger Hemispheric Transport of Air Pollution (HTAP), which in turn is a taskforce of Long
- 143Range Transport of Air Pollution program (LTRAP) of United Nations Economic
- 144 Commission for Europe (UNECE) (Galmarini et al., 2017). The aim of the study is to assess
- the impact of global and HTAP2-defined regional anthropogenic emission reductions of 20%
- 146 in Europe, North America and East Asia on major air pollutant levels over Europe and North
- America using a multi-model ensemble approach. The study will also investigate the local vs.
- non-local contributions to different air pollutant levels, adopting the Response to Extra Regional Emission Reductions (RERER) metric developed by the HTAP2 community
- 149 Regional Emission Reductions (RERER) metric developed by th150 (Galmarini et al., 2017).
- 151 2. Materials and Methods

152 In the framework of the AQMEII3 project, twelve groups contributed to the simulation of the air pollution levels for 2010 in Europe (EU) and three groups for North America (NA) (Table 153 1 and Solazzo et al., 2017b). As seen in Table 1, different groups used same CTM models, 154 such as the CMAQ and WRF-Chem model. The main differences among these models reside 155 in the number of vertical levels, horizontal spacing, biogenic emissions, gas/aerosol modules 156 157 in the models and the model releases (Table 1). For example, regarding groups that used the CMAQ model, UK1, DE1 and US3 calculated biogenic emissions using the BEIS (Biogenic 158 Emission Inventory System version 3) model, while TR1, UK1 and UK2 calculated biogenic 159 emissions through the Model of Emissions of Gases and Aerosols from Nature (MEGAN) 160 (Guenther et al., 2012). Moreover, DE1 does not include the dust module, while the other 161 CMAQ instances use the inline calculation (Appel et al., 2013), and TR1 uses the dust 162 calculation previously calculated for AQMEII phase 2. Finally, all runs were carried out 163 using CMAQ version 5.0.2, except for TR1, which is based on the 4.7.1 version. The gas-164 phase mechanisms and the aerosol models used by each group are also presented in Table 1. 165 IT1 used the WRF-Chem model version 3.6, with a new chemistry that includes a better 166 representation of the secondary organic aerosol mass in the simulation of direct and indirect 167 aerosol effects (Tuccella et al., 2015). In addition, only direct effects were included in the IT1 168 simulation. ES1 model also used WRF-Chem, with different gas phase chemistry. More 169 170 details of the model system are provided in the supplementary material in Im et al. (2018).

The emission inventories that are used in the second phase of AQMEII for Europe and North 171 America (Im et al., 2015a,b) and extensively described in Pouliot et al. (2015) are also used 172 in AQMEII3. For the EU, the 2009 anthropogenic emission inventory from the Monitoring 173 Atmospheric Composition & Climate (MACC) was used. For the NA domain, the 2008 174 National Emissions Inventory was used with 2010-specific adjustments for major point 175 176 sources, mobile sources and wildfires (Pouliot et al., 2015). The emissions were then treated with the SMOKE emissions processing system (Mason et al., 2012). The majority of the 177 European groups used MACC emissions over Europe, while FI1 and FRES1 supplemented 178 the MACC emissions with HTAP emissions over North Africa (Table 1). For NA, the 179 temporal and vertical allocation of emissions vary between the groups that used the 180 "SMOKE" files (DE1, US1, US3) and the gridded HTAP files (DK1), however the annual 181 total mass are exactly the same. In order to guarantee consistency between the groups using 182 the regional scale MACC or SMOKE emissions, and the groups using the HTAPv2.2 183 184 emissions, the regional scale emission inventories were embedded in the HTAPv2.2 inventory (Janssens-Maenhout et al., 2015; ) to Galmarini et al., 2017). Overall, there was a 185 high level of harmonization of emission inputs even if there were some differences in how 186 they were adapted by each modeling group for their system. Chemical boundary conditions 187 for both domains were provided by the European Center for Medium Range Weather 188 Forecasts (ECMWF) Composition - Integrated Forecast System (C-IFS) model (Flemming et 189 al., 2015) 190

### 191 2.1. Emission perturbations

The perturbation scenarios feature a reduction of 20% of the anthropogenic emissions 192 globally and in HTAP-defined regions of Europe, North America and East Asia (Table 2 and 193 194 Fig. S1). The choice of 20% was motivated by the consideration that the perturbation would be large enough to produce a sizeable impact (i.e. more than numerical noise) even at long 195 distances while small enough to be in the near-linear atmospheric chemistry regime 196 197 (Galmarini et al., 2017). The emission reductions are implemented in both the global C-IFS model that provides the boundary conditions to the participating regional models, as well as 198 in the regional models. The regional models use the corresponding set of boundary conditions 199 extracted from the C-IFS model. Among the fourteen groups that participated to the 200 AOMEII3 base case simulations, twelve groups from Europe and two groups from North 201 America simulated at least one of the three emission perturbation scenarios, shown in Table 202 203 1. Two of the European groups (DE1 and DK1) also simulated the base and the three perturbation scenarios for the North American domain. 204

The global perturbation scenario (GLO) reduces the global anthropogenic emissions by 20%. This change has been implemented in the C-IFS global model that provides the boundary conditions to the regional models participating in the AQMEII ensemble. Therefore, the GLO scenario introduces a change in the boundary conditions as well as a 20% decrease in the anthropogenic emissions used by the regional models. Nine groups over the EU domain and four groups over the NA domain have simulated the GLO scenario.

- The North American perturbation scenario (NAM) reduces the anthropogenic 212 \_ emissions in North America by 20%. This change has been implemented in the C-IFS 213 global model that provides the boundary conditions to the regional models used in the 214 AQMEII ensemble. Therefore, the NAM scenario introduces a change in the 215 boundary conditions while anthropogenic emissions remain unchanged for Europe, 216 showing the impact of long-range transport of North American pollutants to Europe 217 while for North America, the scenario introduces a 20% reduction of anthropogenic 218 emissions in the HTAP-defined North American region, showing the contribution 219 from the domestic anthropogenic emissions. Seven groups over the EU domain and 220 three groups over the NA domain have simulated the NAM scenario. 221 The European perturbation scenario (EUR) reduces the anthropogenic emissions in 222 \_ the HTAP-defined Europe domain by 20%. The EUR scenario introduces a change in 223 the anthropogenic emissions over the EUR region in the CTMs, showing the 224 contribution from the domestic anthropogenic emissions. Six groups have simulated 225 the EUR scenario over the EU domain. 226 The East Asian perturbation scenario (EAS) reduces the anthropogenic emissions in 227 \_ East Asia by 20%. Similar to the NAM scenario for the EU domain, the EAS scenario 228
- introduces a change in the boundary conditions while anthropogenic emissions remain
  unchanged in the regional models, showing the impact of long-range transport from
  East Asia on the NA concentrations. Four groups have simulated the EAS scenario
  over the NA domain.
- In AQMEII, all participating groups were required to upload modelled hourly surface
  concentrations to the ENSEMBLE system at EC-JRC, at specified monitoring stations in EU
  and NA, as well as surface gridded data (Galmarini et al, 2012; Im et al., 2015a, b; Solazzo et
  al., 2017b). This study investigates the impacts of emission perturbations and boundary
- conditions on  $O_3$ ,  $NO_2$ , CO,  $SO_2$ ,  $PM_{10}$  and  $PM_{2.5}$  levels over Europe and North America.
- Differences between each perturbation scenario and the base case (C-IFS global and regional
  models run with baseline emissions) are calculated from the gridded hourly pollutant fields,
  which are then monthly and annually averaged in order to estimate the impact of the
  perturbation of the corresponding emission or boundary condition.

To estimate the contribution of foreign emission perturbations relative to the GLO
perturbation, we have also calculated the RERER metric (Galmarini et al., 2017; Huang et al.,
2017; Jason et al., 2017). For Europe, RERER is calculated using the differences between the
GLO vs BASE as well as the differences between EUR vs. BASE simulations for Europe
(Eq. 1) while for North America; RERER is calculated using the differences between the
GLO vs BASE and NAM vs. BASE simulations (Eq. 2).

248  $RERER_{EUR} = \frac{R_{GLO} - R_{EUR}}{R_{GLO}}$  Eq. 1

249 
$$RERER_{NAM} = \frac{R_{GLO} - R_{NAM}}{R_{GLO}}$$
 Eq. 2

- where  $R_{GLO}$  is the response of the concentration of a given species to global emission
- reduction,  $R_{EUR}$  is the response of a concentration of a species to the EUR perturbation for the
- European domain, and  $R_{NAM}$  is the response of a concentration of a specie to the NAM
- 253 perturbation for the North American domain. Therefore, a subset of modelling groups that
- have conducted the three simulations (BASE, GLO and EUR/NAM for Europe and North
- America, respectively) have been used in the metric calculations (see Table 1). The higher the
- 256 local response is, the smaller the RERER metric is. The RERER value can exceed the value 1
- when emission reductions lead to increasing concentrations (e.g.,  $O_3$  titration by nitrogen
- 258 monoxide, NO).

## 259 **3. Results**

260 3.1. Model Evaluation

The base case simulation of each model has been evaluated on a monthly-mean basis using

- 262 available surface observations from Europe and North America. The observational data used
- in this study are the same as the dataset used in the second phase of AQMEII (Im et al.,
- 264 2015a,b). The data were provided from the surface air quality monitoring stations operating
- in EU and NA. In EU, surface data were provided by the European Monitoring and
- Evaluation Programme (EMEP, 2003; http://www.emep.int/) and the European Air Quality
- 267 Database (AirBase; <u>http://acm.eionet</u>. europa.eu/databases/airbase/). NA observational data
- 268 were obtained from the NAtChem (Canadian National Atmospheric Chemistry) database and
- from the Analysis Facility operated by Environment Canada (<u>http://www.ec.gc.ca/</u>natchem/).
- 270 The model evaluation results for each model are presented in Fig. 1 and 2, and in Table 3,
- along with the results for the multi model (MM) mean and median values. The results show
- that the monthly variations of gaseous pollutants are well captured by all models with
- 273 correlation coefficients (r) generally higher than 0.70. The biases in simulated O<sub>3</sub> levels are
- 274 generally less than 10% with a few exceptions of up to -35%. The temporal variations of NO<sub>2</sub>
- levels are also well simulated (r>0.7), but exhibit much higher biases, with underestimations up to 75%. CO levels are underestimated by up to 45% while a majority of the models
- up to 75%. CO levels are underestimated by up to 45% while a majority of the models
  underestimated SO<sub>2</sub> levels by up to 68%. Few models overestimated SO<sub>2</sub> by up to 49%. PM<sub>10</sub>
- and  $PM_{2.5}$  levels are underestimated by 20% to 70%. Slightly higher biases are calculated for
- the  $PM_{10}$  levels.

The model biases can be attributed to meteorology, in particular wind speed and planetary
boundary layer (PBL) height, as well as the aerosol mechanisms used in different models that

can underestimate either the inorganic aerosols (e.g. IT2) or the secondary organic aerosols

- 283 (e.g. DK1), leading to underestimations in simulated PM mass. As discussed in Solazzo et al.
- 284 (2017), EU3 region that covers the central Europe including the Alps has the largest errors in
- terms of wind speed, mainly attributed to the diurnal component of the error, with some
- 286 models having also large errors in the synoptic component. This region also represents the
- lowest correlation coefficients for all models. They further conclude that emissions nd their
  vertical distribution are the main source of model biases; in particular for the primary species
- such as CO and PM. Regarding O3, they found that the models have highest biases in the

- 290 large scale synoptic component while the diurnal variations are well-captured in general. A
- 291 more comprehensive evaluation of the models is presented in Solazzo et al. (2017b),
- 292 Galmarini et al. (2017) and Im et al. (2018).

C-IFS base case results have also been evaluated along with the regional CTMs, as presented 293 in Fig. 1 and 2 and in Table 3. The seasonal variations for O<sub>3</sub>, NO<sub>2</sub>, CO and SO<sub>2</sub> are well 294 295 captured with high correlation values of ~0.9. PM<sub>10</sub> and PM<sub>2.5</sub> showed a different seasonal 296 cycle than the observation by not reproducing the wintertime maxima ( $r=\sim-0.7$ ). C-IFS model underestimates O<sub>3</sub> and CO by ~20% over Europe while NO<sub>2</sub> is slightly overestimated 297 (*NMB*=7%). SO<sub>2</sub> is overestimated by ~10% over Europe, while  $PM_{10}$  and  $PM_{2.5}$  levels are 298 largely underestimated by ~60%, which can be attributed to the lack of secondary aerosol 299 mechanism in the bulk C-IFS model. Over the North American domain, C-IFS well captures 300 the seasonal variations of O<sub>3</sub>, NO<sub>2</sub> and CO with correlation coefficients larger than 0.7, while 301 the seasonal variation of  $SO_2$  is not captured by the model (r=0.04). The seasonal variations 302 of  $PM_{10}$  and  $PM_{2.5}$  are also poorly captured (r < 0.2). North American O<sub>3</sub> levels are slightly 303 304 underestimated (*NMB*=-10%), while NO<sub>2</sub> and CO are overestimated by  $\sim$ 40% and 20%, respectively. SO<sub>2</sub> is overestimated by 35% while PM<sub>10</sub> is largely underestimated by ~80 and 305 PM<sub>2.5</sub> by ~40%. Over both Europe and North America, the wintertime PM levels are 306 underestimated due to lack of secondary aerosols while the spring summer peaks are 307 308 attributed to long range transport of desert dust from the Sahara, which affects mainly the 309 South East of North America.

### 310 3.2. Perturbation Analyses

311 The annual mean relative differences of each perturbation scenario from the base case scenario, averaged over all stations, are provided in Table 4 (EU) and Table 5 (NA) for each 312 modeling group, along with the results for the MM ensemble mean and median. The base 313 case monthly mean time series for the participating groups are provided in Fig.1 and Fig. 2 314 for each pollutant, while Fig.3 and Fig. 4 shows the annual mean spatial distribution of the 315 pollutants from the MM ensemble mean calculations over Europe and North America, 316 respectively. As seen in the time series figures, there is a large spread among different 317 groups, owing to the different models used and the different sets of anthropogenic emissions 318 (Table 1). However, the temporal variation is consistent among all models, in particular for 319 the gaseous species. 320

321 3.2.1. Impact of the global emission reduction scenario (GLO)

### 322 *3.2.1.1. Europe*

323 The monthly time series of the differences between the GLO and the BASE simulations for

- each pollutant are presented in Fig. 5. The annual differences are reported in Table 4.
- Regarding the primary gaseous pollutants, all models simulate the smallest differences during
- the summer months while the differences are largest in winter. For  $O_3$ , the simulated
- differences are positive in winter and negative in summer for all models except for DE1 that
- 328 simulated a decrease in all months. Results suggest that wintertime O<sub>3</sub> over Europe is mainly
- 329 controlled by anthropogenic emissions. For the other pollutants, results suggest that their

- levels are mainly controlled by anthropogenic emission throughout the year. The annual
- difference is smallest for  $O_3$ , with a reduction of  $-0.34\pm1.23$  ppb ( $-1.04\pm4.00\%$ ). The annual
- mean value of the  $O_3$  daily maximum of 8-hour running average decreases by  $-0.53\pm1.50$  ppb
- 333 (-1.62 $\pm$ 3.99%). NO<sub>2</sub> levels decreased by 0.97 $\pm$ 0.45 ppb (19.34 $\pm$ 1.59%) over Europe while
- CO levels decreased by  $17.35 \pm 4.03$  ppb ( $11.22 \pm 1.17\%$ ), SO<sub>2</sub> levels by  $0.18 \pm 0.05$  ppb
- 335 (20.87±0.93%), PM<sub>10</sub> by 2.38±0.68  $\mu$ gm<sup>-3</sup> (15.84±2.12%) and PM<sub>2.5</sub> by 2.02±0.52  $\mu$ gm<sup>-3</sup>
- (18.30±1.75%). Vivanco et al. (2017) found similar reductions regarding the deposition of
- sulfur and nitrogen species over Europe. Almost all models simulate an overall decrease of
- annual mean  $O_3$  levels over EU (-0.94% to -4.65%), with the exception of TR1 that simulated
- an increase of 9.31%. Regarding other pollutants, all models simulate a decrease during the
- simulation period. In general, DE1 and TR1 model groups stand out for introducing the
- $\label{eq:smallest} 341 \qquad \text{smallest and largest differences, particularly for O_3, NO_2, and PM.}$
- 342 The geographical distribution of the change in annual mean concentrations in the GLO
- scenario as simulated by the MM mean is presented in Fig. 6. Regarding O<sub>3</sub>, most of Europe
- is characterized by decreased concentrations (Fig.6a). Over central Europe, where most of the
- primary emissions are located (e.g. NOx),  $O_3$  levels slightly increase by ~2%. Emission
- hotspots, in particular the Benelux area stands out with largest increases (~6%) due to
- decreased NOx-titration effect, which can also be seen in Fig. 6b. In addition,  $O_3$  levels over
- the northern parts of Germany and France, and southern UK are increasing in response to
- emission reductions. There is also a clear decrease in CO levels (Fig.6c), in particular over
- central Europe by up to ~16%. All primary species decrease over the whole domain,
- 351 especially over the industrial hot spots such as in Poland, Po Valley and the Benelux area
- 352 (Fig.6d). PM levels decrease throughout the domain by up to  $\sim 20\%$  (Fig.6e and f).

### 353 *3.2.1.2. North America*

- The seasonal variation of the impact of 20%-decreased global emissions on the North
- American pollutant levels are presented in Fig.7. All models simulated a small decrease of
- 356 3% to 5% (Table 5) in O<sub>3</sub> levels with the largest differences in spring to summer (Fig.7a). 357 The mean response to the emission perturbation is estimated to be  $-1.39 \pm 0.27$  ppb ( $-3.52 \pm$
- The mean response to the emission perturbation is estimated to be  $-1.39 \pm 0.27$  ppb ( $-3.52 \pm 0.80\%$ ). The annual mean value of the O<sub>3</sub> daily maximum of 8-hour running average
- decreases by  $-1.93\pm0.14$  ppb ( $-4.51\pm0.45\%$ ). All models simulated a largest NO<sub>2</sub> response in
- winter. Most models simulated a decrease of  $NO_2$  levels while DK1 estimated an increase
- 361 (Fig.7b). As shown is Table 5, the models simulated a NO<sub>2</sub> response of  $\sim 0.4 1.2$  ppb (-17.8
- $\pm 0.78\%$ ). Regarding CO, all models simulated very clear seasonal profile of the response to
- 363 emission reductions, with maximum change in late winter/early spring and the minimum
- change in summer. Most models simulated a change around -15 to -25 ppb (~11%); with the
- exception of the DE1 model simulating a decrease of ~9 ppb (~7.9%). The MM mean
- response is calculated to be  $19.2 \pm 6.9$  ppb (-11  $\pm 2.3\%$ ). The impact of the emission
- reduction on SO<sub>2</sub> levels was calculated to be -0.25 ppb to -0.48 ppb (-20.3  $\pm$  0.2%).
- The response of PM<sub>10</sub> levels to the global emission reduction was calculated to be  $-2.4 \pm 1.8$  $\mu$ gm<sup>-3</sup> (-32.1 ± 26.6%) (Table 5). The largest relative change was calculated for DE1 (~63%).
- 370 DK1 has almost a flat response around -1  $\mu$ gm<sup>-3</sup>, while DE1, which is overlapped with the

- 371 Median line, and US3 have maximum responses in early spring and mid-autumn, while they
- 372 simulate a minimum response in winter and late spring. Regarding PM<sub>2.5</sub>, the multi-model
- mean response was calculated to be -1.5  $\pm$  0.9  $\mu$ gm<sup>-3</sup> (-17.2  $\pm$  1.8%). DK1 (overlapped with
- the Median) and US3 simulated the minimum response in May (Fig.7f), while US3 has a
- 375 slightly higher second minimum in September. This minimum is also simulated by DE1 as
- the minimum response. DE1 simulates the lowest response among the three models.
- 377 The spatial distributions of response of different pollutants to the GLO scenario are presented
- in Fig.8. O<sub>3</sub> levels are reduced over most of the domain (Fig.8a), with slight increases over
  the emission hotspots due to reduced effect of NOx-titration, as seen in Fig.8b, as well as
- decreased CO levels over the whole domain (Fig.8c). SO<sub>2</sub> levels are also decreased
- throughout the domain (Fig.8d), with the largest reductions over the Atlantic (attributable to
- 382 reduction in shipping emissions). The western part of the continent is characterized by the
- lowest reductions. PM levels are reduced throughout the domain by up to 25% (Fig.8e and f).
- with the largest reductions over the eastern and central parts of the domain. A large decrease,
- more pronounced in the  $PM_{2.5}$  response, can also be seen over California in the western
- 386 coastal United States.
- 387 3.2.2. Impact of the North American emission reduction scenario (NAM)
- 388 *3.2.2.1. Europe*
- NA emission reductions account for a reduction of European O<sub>3</sub> levels of -0. 22±0.07 ppb (-389 0.75±0.14%), with all models simulating a decrease of -0.51% to 0.86%, except for the ES1 390 model that simulated an increase of 1.31% (Table 4). This decrease is in agreement with 391 previous studies, such as the HTAP2 study (UN, 2017) that calculated an O<sub>3</sub> reduction over 392 Europe of 0.22 ppb in response to a 20% decrease in the North American NOx emissions, and 393 Fiore et al. (2009) that simulated a MM mean response of -0.4 ppb in response to a 20% 394 395 reduction of anthropogenic emissions in North America. NO<sub>2</sub> levels increase slightly by 0.16±0.01%. The annual mean value of the O<sub>3</sub> daily maximum of 8-hour running average 396 decreases by -0.15±0.27 ppb (-0.45±0.77%). CO levels also decreased over the EU domain 397 by  $-1.39\pm0.27$  ppb ( $-0.96\pm0.22\%$ ), much higher than  $\sim0.1$  ppb calculated by Fiore et al. 398 (2009). PM<sub>10</sub> and PM<sub>2.5</sub> levels also decreased slightly by -0.03 $\pm$ 0.03  $\mu$ gm<sup>-3</sup> (-0.21 $\pm$ 0.7%) and 399 -0.02 $\pm$  0.02  $\mu$ gm<sup>-3</sup> (-0.18 $\pm$ 0.25%), respectively. The models had different SO<sub>2</sub> responses to 400 the NA emissions. Overall, DE1, ES1 and FRES1 simulated almost no change in the surface 401 SO<sub>2</sub> levels while DK1, ES1 and TR1 simulated an increase (0.10%, 5.75% and 0.01%, 402 respectively) and FI1 and UK1 simulated a decrease (-0.02% and -0.03%, respectively). 403 Different responses can be due to different model setups including aqueous chemistry, 404
- 405 vertical resolutions and aerosol modules (Solazzo et al., 2017).
- 406 All models were consistent in simulating the largest impact on  $O_3$  during spring and a second
- 407 lower peak in autumn (Fig.9a). Surface mean NO<sub>2</sub> concentrations (Fig.9b) increased in most
- 408 models except for FRES1 that simulated a small decrease except for winter. FI1 also
- simulated a decrease during the winter period extending to the transition periods. All models,
- 410 except for ES1, simulated a similar response of CO concentrations to perturbation to NA

- 411 emissions, with a distinct seasonality (Fig.9c). The SO<sub>2</sub> response in models is also consistent
- 412 except for the winter period where there is a large spread in magnitude and the sign of the
- 413 response (Fig.9d).
- 414 O<sub>3</sub> levels decreased slightly over the entire European domain by up to 3% (Fig.10a). The
- 415 largest impact is simulated over the western boundary and gradually decreases eastwards.
- 416 The response of  $O_3$  levels to NAM emissions is more evident during spring where there is a
- 417 clear transport from Atlantic to the western/northwestern parts of Europe such as the U.K,
- 418 northern France and Scandinavia (Fig. S2a). The transport of Atlantic air masses is also
- shown for the springtime CO levels over Europe (Fig. S2b). The ensemble mean simulates a
- slight increase of up to 3% in NO<sub>2</sub> levels over Europe (Fig.10b). Along with the O<sub>3</sub> levels,
  CO levels show the largest decrease over northwestern Europe by up to ~2%. SO<sub>2</sub> levels
- 422 increased over the whole domain, in particular over Eastern Europe and the Alpine region
- 423 (Fig.10d), due to a decrease in the oxidative capacity of the atmosphere (see Fig.10a for  $O_3$ ),
- 424 leading to a decrease in the SO<sub>2</sub> to SO<sub>4</sub> conversion. This results in an increase of the SO<sub>2</sub>
- 425 levels and a decrease in the PM<sub>2.5</sub> levels (Fig. 10e and f).

### 426 *3.2.2.2. North America*

- 427 The response of North American pollutant levels to a 20% reduction of North American
- 428 anthropogenic emissions (implemented in both C-IFS and the regional CTMs) are presented
- 429 in Table 5. The NAM scenario led to a decrease of annual mean  $O_3$  levels over North
- America by -0.36 ppb (US3) to -0.92 ppb (DE1), with *MM* ensemble mean calculated to be -
- 431  $0.65\pm0.28$  ppb (-1.45 $\pm0.88\%$ ), in agreement with Fiore et al. (2009) that calculated a decrease
- 432 of ~1 ppb. The annual mean value of the  $O_3$  daily maximum of 8-hour running average
- 433 decreases by  $-1.11\pm0.11$  ppb ( $-2.60\pm0.36\%$ ), very similar to the change over Europe.
- 434 Consequently, the largest change in NO<sub>2</sub> levels were simulated by US3 (-1.17 ppb) and
- smallest by DE1 (-0.36 ppb). The MM mean response of NO<sub>2</sub> is calculated to be  $-0.71\pm0.41$
- 436 ppb (-17.24 $\pm$ 0.58%). Similar to NO<sub>2</sub>, the largest response in CO levels were simulated by 437 US3 (-19.87 ppb) and the smallest by DE1 (-3.84 ppb), leading to a MM mean response of
- US3 (-19.87 ppb) and the smallest by DE1 (-3.84 ppb), leading to a MM mean response of 12.35±8.06 ppb (-7.01±3.60%). As seen in Table 5, DE1 simulated a much lower absolute
- and relative change in CO response compared to DK1 and US3. SO<sub>2</sub> levels decreased by -
- 440 0.12 ppb to -0.18 ppb, leading to a MM mean response of  $-0.14\pm0.08$  ppb ( $-4.23\pm0.18\%$ ).
- 441 PM<sub>10</sub> levels decreased -1.78 $\pm$ 2.08 µgm<sup>-3</sup> (-15.78 $\pm$ 3.26%). As seen in Table 5, DK1, simulated
- a very low response to the NAM scenario, by ~0.60  $\mu$ gm<sup>-3</sup>, compared to the DE1 and the US3
- groups that simulated a PM<sub>10</sub> response of  $-2.02 \,\mu \text{gm}^{-3}$  and  $-4.19 \,\mu \text{gm}^{-3}$ , respectively.
- However, the relative responses are not very different between the different groups (~16%).
- 445 The response of O<sub>3</sub> to the NAM scenario is largest in summer (Fig.11a): June for DK1 and
- 446 US3 and August for DE1. The O<sub>3</sub> response clearly shows a difference from the GLO
- response in spring, suggesting the impact of long-range transport in spring that does not
- 448 appear in the perturbation of the local emissions only. The largest NO<sub>2</sub> response (Fig.11b) is
- simulated by US3, similar to the response to the GLO scenario. The response of CO to the
- 450 reductions in local emissions (Fig.11c) is different from the response to the global reduction,
- 451 where DK1 and US3 has the minimum response in spring and DE1 has the minimum

- response in autumn. The response of SO<sub>2</sub> and PM to GLO and NAM are similar, suggesting
  the main drivers of SO<sub>2</sub> and PM levels are local emissions.
- 454 Annual mean O<sub>3</sub> levels show large reductions (~20%) over the eastern parts of the domain,
- 455 while there are slight increases or less pronounced decreases over the western parts of the
- domain (Fig.12a), associated with larger NOx reductions (Fig.12b). CO and SO<sub>2</sub> levels are
- 457 mostly reduced over the central to eastern parts of the domain (Fig.12c and d, respectively),
- 458 with shipping impacts over the Atlantic being more pronounced on SO<sub>2</sub> levels. The western
- 459 parts of the U.S. experiences smaller  $SO_2$  reductions (~5-10%) and slight increases over the
- southwestern U.S. The response of PM to the NAM scenario (Fig.12e and f) is very similar to
- the response to the GLO scenario (Fig.8e and f).
- 462 3.2.3. Impact of the European emission reduction scenario (EUR)
- 463  $O_3$  levels increase slightly by 0.01±0.40 ppb (0.25±1.35%) in response to the 20% reduction
- 464 of the anthropogenic emissions from Europe (Table 4). This response is much lower than
- Fiore et al. (2009) that calculated a MM mean response of 0.8 ppb. However, as seen in
- 466 Fig.13a, the positive mean response together with the large standard deviation is due to the
- 467 DE1 model that simulated a decrease (-2.33%), while other groups simulated an increase
- 468 (0.39% to 1.72%). There is a distinct seasonality in the response with winter levels increasing
- 469 with reduced emissions and summer levels decreasing, following the emission temporal
- 470 variability. The annual mean value of the  $O_3$  daily maximum of 8-hour running average 471 decreases by -0.21±0.10 ppb (-0.62 0.24%). NO<sub>2</sub> concentrations decreased by -0.75±0.26
- 471 decreases by  $-0.21\pm0.10$  ppb ( $-0.62\ 0.24\%$ ). NO<sub>2</sub> concentrations decreased by  $-0.75\pm0.26$ 472 ( $17.68\pm0.90\%$ ), with a similar seasonal response of SO<sub>2</sub> levels ( $-17.52\pm1.70\%$ ) and CO levels
- 472 (17.03 $\pm$ 0.90%), with a similar seasonal response of SO<sub>2</sub> levels (-17.52 $\pm$ 1.70%) and CO levels 473 (-6.26 $\pm$ 1.07%), consistent with the findings of Vivanco et al. (2017). An opposite seasonal
- 475 ( $-0.20\pm1.07\%$ ), consistent with the midnings of vivanco et al. (2017). All opposite seasonal 474 variation is calculated for the O<sub>3</sub> response (Fig. 13.b-d)., The DE1 model also stands out in
- the NO<sub>2</sub> response together with the FRES1 model in the magnitude of the response (Fig.13b).
- 475 the  $NO_2$  response together with the FKEST model in the magnitude of the response (Fig. 150). 476  $PM_{10}$  and  $PM_{2.5}$  levels have similar responses to the emissions reduction (-14.43±2.84% and -
- 476 1  $M_{10}$  and 1  $M_{2.5}$  levels have similar responses to the emissions reduced 477 15.67±2.12%, respectively) with similar seasonality.
- 478 The MM mean geographical distribution of the  $O_3$  response is very similar with that of the
- 479 GLO perturbation (Fig.14a), with relatively smaller decreases by up to  $\sim 3\%$ . O<sub>3</sub> levels
- 480 increase over the central and in particular over northwestern Europe by up to  $\sim 6\%$ . NO<sub>2</sub>
- 481 levels decrease uniformly over the entire domain by up to  $\sim 20\%$  (Fig.14b). CO levels
- decrease over the emission sources, mainly over central and Eastern Europe (Fig.14c). PM
- 483 levels also decrease over the entire domain, especially over central and Eastern Europe
- 484 (Fig.14e and f).
- 485 3.2.4. Impact of the East Asian emission reduction scenario (EAS)
- 486 As seen in Table 5, the impacts of East Asian emissions on North American O<sub>3</sub> levels are
- 487 much lower than the impacts from the reductions in global and local emissions. The largest
- impact is simulated by DE1 as -0.99 ppb (-0.35%), while other models give similar responses
- 489 (~0.60 ppb; -0.20%). The O<sub>3</sub> response as calculated by the MM mean ensemble is  $-0.25\pm0.07$
- 490 ppb, in agreement with the HTAP2 findings and Fiore et al. (2009). The annual mean value
- 491 of the  $O_3$  daily maximum of 8-hour running average decreases by -0.28±0.07 ppb (-

- 492  $0.65\pm0.20\%$ ). NO<sub>2</sub> and SO<sub>2</sub> response to reductions in EAS emissions were simulated to be
- 493 very small (- $0.04\pm0.08\%$  and  $0.01\pm0.02\%$ , respectively). The CO response to EAS was
- 494 simulated to be -2.60 ppb (DE1) to -4.16 ppb (DK1), with the MM mean response of -
- 495 3.37 $\pm$ 0.68 ppb (-2 $\pm$ 0.29%). Regarding PM<sub>10</sub>, DE1 simulated a very large response (~-0.56
- 496  $\mu$ gm<sup>-3</sup>) compared to DK1 and US3 (~-0.05  $\mu$ gm<sup>-3</sup>), leading to a MM mean response of -
- 497  $0.21\pm0.30 \ \mu gm^{-3}$  (-5.63±8.50%). However, the PM<sub>2.5</sub> response was much lower (-0.02±0.03
- 498  $\mu$ gm<sup>-3</sup>; -0.20±0.35%), suggesting that the PM<sub>2.5</sub> levels are largely driven by local emissions.
- 499 The O<sub>3</sub> response to EAS emission reductions was highest in spring and autumn, suggesting
- that long-range transport is important in these seasons (Fig.15a). The NO<sub>2</sub> response was
- negative, being maximum in winter and minimum in summer, except for DK1 showing an
- increase in NO<sub>2</sub> levels in all seasons (Fig.15b). The impact of EAS emissions on North
   American CO levels showed a distinct seasonality (Fig.15c), similar to the impact of the
- 504 global emission reductions (Fig.5c), suggesting that regional CO levels over North America
- 504 global emission reductions (Fig.5c), suggesting that regional CO levels over North America
- are driven by both local emissions and long-range transport. The response of SO<sub>2</sub> to East
  Asian emission reductions varied largely from model to model with US3 showing an overall
- reduction while DE1 and DK1 simulated increases in winter, spring, and autumn, and
- decreases in summer (Fig.15d). The  $PM_{10}$  response simulated by DK1 (overlapped with the median) and US3 were simulated to be small, being largest in spring (Fig.15e). However, DE1 simulated a large and opposite response, with spring having the smallest response and
- 511 winter with the largest response. DE1 also simulated a different  $PM_{2.5}$  response in terms of
- the sign of the change and thus, seasonality in response to DK1 and US3 (Fig.15f). Largest
  differences were simulated in spring, similar to PM<sub>10</sub> by DK1 and US3, while DE1 simulated
- 514 the largest response in winter and summer and the spring response was minimum.

515 The impact of the East Asian emissions over the western parts of North America is clearly

- seen for all pollutants in Fig.16. The impacts are low for all pollutants, being up to 5%. The
- 517 impacts are particularly pronounced for CO (Fig.16c), SO<sub>2</sub> (Fig.16d) and PM (Fig.16e and f).
- 518 The largest O<sub>3</sub> response was simulated over the northwestern parts of North America
- 519 (Fig.16a). The springtime transport of O3 from East Asia is more evident compared to the
- annual average of the perturbation response (Fig. S3a), where the western NA  $O_3$  levels
- 521 decrease by up to ~1.5%. The springtime CO levels also decrease by up to 6% (Fig. S3b),
- 522 showing the importance of long-range transport from East Asia.

## 523 3.2.5. RERER analyses

- As discussed in Section 2, the RERER metric (Galmarini et al., 2017; Hang et al., 2017;
- Jason et al., 2017) is designed to quantify the relative impact of local vs. non-local emission
- sources on pollutant levels in the receptor regions EU and NA., The RERER metrics for the
- 527 EU have been calculated using gridded annual mean pollutant concentrations from the BASE,
- 528 GLO and EUR simulations for the individual groups as well as for the ensemble mean. For
- 529 the NA domain. The RERER metrics have been calculated using the annual mean
- 530 concentrations from the BASE, GLO and NAM simulations. Table 6 presents the RERER
- 531 metric calculated for the European domain. The table shows differences in the strengths of
- non-local source contributions to different species among the different models. Regarding the

- 533 RERER metric for  $O_3$  in Europe, most values calculated are below one, except for the IT1
- model, which shows a significant increase of  $O_3$  levels in Europe in response to emission
- reductions compared with the other models. A RERER value of 0.8-0.9 is calculated for the
- majority of models, implying the dominance of non-local sources in Europe, except for the
- 537 DE1 model, where the RERER value is lower ( $\sim 0.5$ ), giving an equal contribution of local vs.
- 538 non-local sources in Europe. The MM mean RERER value for  $O_3$  is ~0.8, showing a much 539 larger contribution of non-local sources compared to local sources in Europe. This result is in
- agreement with, however slightly smaller, Jonson et al. (2017) that calculated a MM mean
- 541 RERER value of 0.89.
- 542 Regarding NO<sub>2</sub>, the RERER metrics (< 0.4) show that NO<sub>2</sub> is controlled by local sources. In
- addition, the RERER metrics calculated for DE1 and FI1 are slightly negative, implying that
- the signal is not sensitive to non-local emissions. RERER calculated for the ensemble mean for NO<sub>2</sub> ( $\sim$ 0.2) also shows the high sensitivity of NO<sub>2</sub> concentrations to local sources. The
- 546 RERER metric calculations for CO shows similar contributions from local vs. non-local
- sources, with RERER values of 0.4-06, except for IT1. IT1 has a RERER metric value of
- 547 sources, with RERER values of 0.4-00, except for 111. If I has a RERER metric value of
   548 ~0.9 suggesting a large contribution of non-local sources, leading to the higher sensitivity of
- 549 CO to non-local sources compared to other model groups. The RERER values calculated for
- the ensemble mean (~0.6) shows a slightly larger contribution of non-local sources compared
- to local sources. The MM mean RERER value of 0.55 for CO from this study is in very good
- agreement with Jonson et al. (2017) that calculated a MM mean RERER of 0.51. RERER
  metrics calculated for SO<sub>2</sub> are also in the low range (0-0.4). While DE1 and FI1 show almost
- metrics calculated for  $SO_2$  are also in the low range (0-0.4). While DE1 and FI1 show almost no signal for the non-local contribution, DK1, IT1 and UK1 are in the higher end of the
- range. The CO MM mean RERER value of ~0.3 shows that CO levels are largely controlled
- by local emissions. Finally, the metrics calculated for  $PM_{10}$  and  $PM_{2.5}$  shows that local
- sources are the main contributor to the PM levels in Europe (RERER =  $\sim 0 0.3$ ), leading to
- an ensemble mean contribution of local sources (RERER =  $\sim 0.2$ ).
- Regarding the local vs. non-local contributions to different pollutants over the North
- 560 American domain, three groups out of four simulated the GLO and NAM scenarios needed to
- calculate the RERER metrics. RERER metrics show that O<sub>3</sub> is largely controlled by non-local
- sources. European model groups DE1 and DK1 simulate a larger influence of non-local
- sources ( $\sim 0.8 \sim 0.9$ ) compared to the US3 group, which simulated lower RERER metric values of  $\sim 0.5$ , indicating that O<sub>3</sub> levels are driven equally by local and non-local sources.
- This lower value is also consistent with the findings of Huang et al. (2017), who simulated
- the largest impacts on  $O_3$  in May and June with RERER values around ~0.5. The ensemble
- 567 mean shows that  $O_3$  responses are largely attributable to non-local sources (RERER = ~0.8),
- which are similar to those found for Europe. RERER metric values calculated for  $NO_2$  by
- different models (RERER =  $\sim 0 \sim 0.2$ ) and the ensemble mean (RERER = 0.05) clearly
- shows that  $NO_2$  is controlled by local sources, similar to the Europe case. The sensitivity of
- 571 CO to local and non-local sources are similar to those for O<sub>3</sub>, with DE1 and DK1 simulating a
- 572 large contribution from non-local sources while US1 shows that CO is controlled equally by
- local and non-local sources (RERER = 0.5). Similar to NO<sub>2</sub>, all models show that SO<sub>2</sub> is
- 574 largely driven by local sources with RERER values between ~0.1 and ~0.2. Regarding the

- 575 particles, models simulate very similar responses to changes in the local and non-local
- sources. RERER values are calculated to be ~0.08 and ~0.11 for  $PM_{10}$  and  $PM_{2.5}$ ,
- 577 respectively, showing the large local contribution compared to non-local sources.

Fig. 17 shows the spatial distributions of the MMM RERER values for O<sub>3</sub> and PM<sub>2.5</sub>, as 578 constructed from the annual mean responses to perturbation scenarios over Europe and North 579 America. Fig. 17a shows that O<sub>3</sub> is dominantly controlled by non-local sources with RERER 580 581 values higher than 0.5 throughout the domain. Higher values are calculated over the north western Europe, in particular over UK and the north western part of the domain covering the 582 Atlantic. In contrary, PM<sub>2.5</sub> levels are controlled by local sources with RERER values around 583 0.2 (Fig. 17b). North American O<sub>3</sub> levels are largely controlled by non-local sources over the 584 western part of the domain, with RERER values above 0.5 (Fig. 17c). Local sources play a 585 more important role in controlling O<sub>3</sub> levels over the eastern part of the U.S. where much 586 lower RERER values are calculated. PM<sub>2.5</sub> levels are dominantly controlled by the local 587 sources, similar to the case in Europe, with low RERER values throughout the domain (Fig. 588 589 17d). PM<sub>2.5</sub> levels over the western part of the domain has however a relatively larger contribution from non-local sources. It is important to note that the sharp gradients in the 590 PM<sub>2.5</sub> RERER values over both the eastern part of the Europe domain and the Mexican part 591 of the NA domain is due to HTAP2-definition of source regions where the perturbations are 592 593 introduced. Therefore, due to the large contribution of the local sources to PM<sub>2.5</sub> levels, large 594 gradients are calculated across the HTAP2 borders. As O<sub>3</sub> is largely controlled by non-local sources, these gradients do not exist. 595

In order to further analyze the impact of local vs non-local sources, the monthly variations of 596 RERER values for O<sub>3</sub> and PM<sub>2.5</sub> over both domains are presented in Fig. 18. All models 597 598 simulate a larger non-local source contribution during the spring period for both domains and pollutants. For both pollutants and domains, the local sources have relatively larger 599 contribution in winter periods, reflected by the lower RERER values compared to other parts 600 of the year. Regarding European O<sub>3</sub>, majority of the models show a RERER value of between 601 0.5 and 1, while DE1 shows much lower and IT1 much higher values (see also Table 6). DE1 602 and FI1 simulates the lowest RERER values for  $PM_{2.5}$  (< 0.1), while other models calculate 603 RERER values between 0.1 and 0.5. Regarding O<sub>3</sub> over North America, US3 shows that in 604 winter months, O<sub>3</sub> is controlled more by local emission with RERER values much lower than 605

- 606 0.5, while DE1 shows the highest non-local contributions throughout the year.
- 607

### 608 CONCLUSIONS

609 In the framework of the third phase of the Air Quality Model Evaluation International

610 Initiative (AQMEII3), the impacts of local vs. foreign emissions over the European and North

American receptor regions are simulated by introducing a 20% decrease of global and

- regional emissions by research groups, using different state-of-the-art chemistry and transport
- models. The emission perturbations were introduced globally, as well as over the HTAP2-
- defined regions of Europe, North America and East Asia. Base case and the perturbation

- scenarios are first simulated using the global C-IFS global model, which provides the
- 616 boundary conditions to the regional CTMs.
- 617 The base case simulation of each model has been evaluated against surface observations from
- Europe and North America. The temporal variabilities of all pollutants are well captured by
- all models with correlations generally higher than 0.70. O<sub>3</sub> levels are generally simulated
- 620 with a *MNB* less than 10% with few exceptions of *MNB* values up to -35%. NO<sub>2</sub>, CO and
- 621 SO2 levels are simulated with underestimations up to 75%, 45% and 68%, respectively.  $PM_{10}$
- 622 and PM<sub>2.5</sub> levels are underestimated by 20% to 70%, with slightly higher biases in  $PM_{10}$
- 623 levels.
- 624 Results from the perturbation simulations show that the largest impacts over both Europe and
- North American domains are simulated in response to the global emission perturbation
- 626 (GLO). These responses are similar, however slightly lower, as compared to the local
- 627 emission perturbation scenarios for Europe (EUR) and North America (NAM). In contrast to
- the GLO scenario,  $O_3$  levels over Europe slightly increase by 0.13 ppb (0.02%). The annual
- 630 over Europe, highest in the GLO scenario by  $\sim 1\%$  and lowest in the NAM scenario by
- $\sim 0.3\%$ . Over North America, the annual mean value of the O<sub>3</sub> daily maximum of 8-hour running average decreased by  $\sim 5\%$  in the GLO scenario, 3% in the NAM scenario and 0.7%
- in the EAS scenario. The impact of foreign emissions simulated by the NAM scenario for
- Europe and EAS scenario for North America were found to be lowest, however still
- noticeable, particularly close to the boundaries. This impact is especially noticeable (up to
- only a few percent) for the western parts of the North American domain in response to the
- emission reductions over East Asia. The response is almost linear (~20% decrease) to the
- 638 change in emissions for NO<sub>2</sub>, SO<sub>2</sub> and PM in the global perturbation scenario (GLO), while
- 639  $O_3$  levels decrease slightly (~1%).
- 640 Despite these small differences, there are large geographical differences. NO<sub>2</sub>, CO and SO<sub>2</sub>
  641 levels are mainly affected over emission hot spots in the GLO scenario as well as in the EUR
- scenario for Europe and the NAM scenario for North America. O<sub>3</sub> levels increase over the hot
- spot regions, in particular the Benelux region in Europe, by up to ~6% due to the reduced
- 644 effect of NOx-titration. Over the North American domain, the central-to-eastern part and the
- 645 western coast of the U.S experience the largest response to the global emission perturbation.
- For most of the pollutants, there is distinct seasonality in the responses particularly to the
- 647 global and local emission perturbations. The largest responses are calculated during winter
- 648 months, where anthropogenic emission are highest, except for  $O_3$ , where largest responses are 649 seen during spring/summer months, suggesting photochemistry still plays an important role in
- 650  $O_3$  levels.
- 651 The RERER metrics have been calculated to examine the differences in the strengths of non-
- local source contributions to different species among the different models. The large RERER
- values over Europe and North America for  $O_3$  (~0.8), show a larger contribution of non-local
- sources, while for other gaseous pollutants (NO<sub>2</sub>, CO and SO<sub>2</sub>) and particles (PM<sub>10</sub> and
- $PM_{2.5}$ , low RERER values (< 0.5) indicate that these pollutants are largely controlled by

- 656 local sources. Results show that the contribution of local sources on NO<sub>2</sub>, SO<sub>2</sub> and PM levels
- are larger in North America compared to Europe, while for CO, local sources have a larger
- share in Europe in comparison with North America. In addition, RERER analyses shows that
- European  $O_3$  is largely controlled by non-local sources (RERER > 0.5) throughout the domain. PM<sub>2.5</sub> levels are largely controlled by local sources with RERER values around 0.2
- throughout the domain. Local sources play a more important role in controlling  $O_3$  levels
- 662 over the eastern part of the U.S. PM<sub>2.5</sub> levels over the western part of NA has a relatively
- larger contribution from non-local sources compared to the rest of the domain. A larger non-
- 664 local source contribution during the spring period for both domains and pollutants has been
- 665 calculated, suggesting long-range transport from non-local sources. For both pollutants and
- domains, the local sources have relatively larger contribution in winter periods, reflected by
- the lower RERER values compared to other parts of the year.
- 668 Overall results show that there is a large spread among the models, although the majority of 669 the models simulate a similar seasonal variation. These differences suggest that despite the
- harmonization of inputs, such as emissions and boundary conditions, to regional models,
- there are still large differences between models, such as different gas phase and aerosol
- 672 modules, deposition schemes, meteorological drivers and spatial and vertical resolutions.
- 673 Therefore, the use of multi model ensembles can help to reduce the uncertainties inherent in
- 674 individual models.
- 675

## 676 ACKNOWLEDGEMENTS

677 We gratefully acknowledge the contribution of various groups to the third air Quality Model Evaluation international Initiative (AQMEII) activity. Joint Research Center Ispra/Institute 678 for Environment and Sustainability provided its ENSEMBLE system for model output 679 harmonization and analyses and evaluation. The views expressed in this article are those of 680 the authors and do not necessarily represent the views or policies of the U.S. Environmental 681 Protection Agency. Aarhus University gratefully acknowledges the NordicWelfAir project 682 683 funded by the NordForsk's Nordic Programme on Health and Welfare (grant agreement no. 75007), the REEEM project funded by the H2020-LCE Research and Innovation Action 684 (grant agreement no.: 691739), and the Danish Centre for Environment and Energy (AU-685 686 DCE). University of L'Aquila thanks the EuroMediterranean Center for Climate Research (CMCC) for providing the computational resources. RSE contribution to this work has been 687 financed by the research fund for the Italian Electrical System under the contract agreement 688 between RSE S.p.A. and the Ministry of Economic Development – General Directorate for 689 Nuclear Energy, Renewable Energy and Energy Efficiency in compliance with the decree of 690 8 March 2006. CIEMAT has been financed by the Spanish Ministry of Agriculture and Food, 691 Fishing and Environment. University of Murcia thanks the Spanish Ministry of Economy for 692 the research contract CGL2014-59677-R (also partially funded by the FEDER programme). 693

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Crosse Co to	M- 4-1	Enviroiment	Horizontal	Vertical Resolution	Gas Phase	Aerosol Model		Eu	rope		North America				
Group Code	Model	Emissions	Resolution				BASE	GLO	NAM	EUR	BASE	GLO	EAS	NAM	
DE1	COSMO-CLM/CMAQ	НТАР	24 km × 24 km	30 layers, 50 hPa	CB5-TUCL	3 modes	×	×	×	×	×	×	×	×	
DK1	WRF/DEHM	НТАР	50 km × 50 km	29 layers, 100 hPa	Brandt et al. (2012)	2 modes	×	×	×	×	×	×	×	×	
ES1	WRF/CHEM	MACC	23 km × 23 km	33 layers, 50 hPa	RADM2	3 modes, MADE/SORGAM	×		×						
FI1	ECMWF/SILAM	MACC+HTAP	$0.25^{\circ} \times 0.25^{\circ}$	12 layers, 13 km	CB4	1-5 bins, VBS	×	×	×	×					
FRES1	ECMWF/CHIMERE	HTAP+HTAP	$0.25^{\circ} \times 0.25^{\circ}$	9 layers, 50 hPa	MELCHIOR2	8 bins	×	×	×	×					
IT1	WRF/CHEM	MACC	23 km × 23 km	33 layers, 50 hPa	RACM-ESRL	3 modes, MADE/VBS	×	×		×					
IT2	WRF/CAMx	MACC	23 km × 23 km	14 layers, 8 km	CB5	3 modes	×	×							
NL1	LOTOS/EUROS	MACC	$0.50^{\circ} \times 0.25^{\circ}$	4 layers, 3.5 km	CB4	2 modes, VBS	×								
TR1	WRF/CMAQ	MACC	30 km × 30 km	24 layers, 10hPa	CB5	3 modes	×	×	×						
UK1	WRF/CMAQ	MACC	15 km × 15 km	23 layers, 100 hPa	CB5-TUCL	3 modes	×	×	×	×					
UK2	WRF/CMAQ	НТАР	30 km × 30 km	23 layers, 100 hPa	CB5-TUCL	3 modes	×	×							
UK3	WRF/CMAQ	MACC	18 km × 18 km	35 layers, 16 km	CB5	3 modes	×	×	×						
US3	WRF/CMAQ	SMOKE	12 km × 12 km	35 layers, 50 hPa	CB5-TUCL	3 modes					×	×	×	×	

Table 1. Key features (meteorological/chemistry and transport models, emissions, horizontal and vertical grids) of the regional models
 participating to the AQMEII3 health impact study and the perturbation scenarios they performed.

<sup>1</sup> MACC: Modelling group used only the MACC emissions, MACC+HTAP: Modelling group used MACC emissions for Europe and HTAP

895 emissions over North Africa.

# Table 2. Perturbations of global/regional anthropogenic emissions and boundary conditions in the perturbation scenarios.

	GLO	Eur	ope	North A	America
	ULU	NAM	EUR	NAM	EAS
Emissions	-20%	-	-20%	-20%	-
Boundary conditions (Emissions in the IFS model)	-20%	-20%	-20%	-20%	-20%

		EUROPE											NORTH AMERICA								
		DE1	DK1	ES1	FI1	FRES1	IT1	IT2	TR1	UK1	UK2	MEAN	MEDIAN	C-IFS	DE1	DK1	US1	US3	MEAN	MEDIAN	C-IFS
	r	0.63	0.90	0.82	0.83	0.91	0.92	0.93	0.87	0.92	0.90	0.93	0.92	0.89	0.78	0.59	0.89	0.87	0.84	0.83	0.71
0.	NMB	0.10	0.07	-0.14	-0.36	-0.10	0.04	-0.14	0.09	0.08	-0.03	-0.04	-0.04	-0.20	0.12	0.22	0.14	-0.02	0.09	0.11	-0.10
03	NMGE	0.17	0.12	0.15	0.36	0.12	0.13	0.15	0.26	0.11	0.09	0.08	0.08	0.20	0.17	0.23	0.14	0.08	0.12	0.13	0.19
	RMSE	12.68	8.81	11.58	23.13	9.01	8.54	10.94	17.66	8.05	6.79	5.91	6.31	14.63	6.16	9.81	5.72	3.23	4.63	5.28	7.31
	r	0.80	0.88	0.89	0.95	0.74	0.90	0.92	0.90	0.85	0.85	0.95	0.93	0.92	0.99	0.92	0.94	0.93	0.98	0.99	0.91
NO	NMB	-0.75	-0.38	-0.47	0.00	0.05	-0.29	-0.30	0.58	-0.32	-0.06	-0.17	-0.24	0.07	-0.18	-0.35	0.05	0.31	-0.03	-0.02	0.41
NO <sub>2</sub>	NMGE	0.75	0.38	0.47	0.20	0.23	0.29	0.30	0.58	0.32	0.17	0.18	0.24	0.20	0.18	0.35	0.10	0.31	0.06	0.02	0.41
	RMSE	9.38	5.41	6.00	2.89	3.44	4.43	4.15	7.39	4.65	2.74	2.70	3.49	2.59	1.01	2.05	0.62	1.77	0.40	0.26	2.30
	r	0.83	0.76	0.74	0.88	0.82	0.84	0.79	0.87	0.63	0.72	0.92	0.84	0.91	0.79	0.74	0.74	0.73	0.88	0.82	0.80
	NMB	-0.42	-0.42	-0.44	-0.27	-0.32	-0.38	-0.44	-0.20	-0.41	-0.43	-0.33	-0.38	-0.25	-0.19	-0.07	-0.06	-0.04	-0.07	-0.07	0.17
0	NMGE	0.42	0.42	0.44	0.27	0.32	0.38	0.44	0.21	0.41	0.43	0.33	0.38	0.25	0.19	0.11	0.08	0.08	0.08	0.07	0.17
	RMSE	128.62	134.31	132.78	89.99	107.81	128.14	135.83	70.04	130.21	135.82	106.98	123.61	84.73	40.27	24.90	22.44	20.51	19.94	20.41	37.30
	r	0.85	0.90	0.88	0.86	0.87	0.86	0.86	0.54	0.83	0.83	0.93	0.92	0.70	0.79	0.81	0.80	0.78	0.87	0.78	0.04
SO	NMB	-0.01	-0.47	-0.65	-0.20	-0.16	-0.30	-0.55	0.04	-0.13	0.20	-0.19	-0.10	0.41	-0.46	-0.42	0.07	-0.13	-0.19	-0.13	0.35
302	NMGE	0.24	0.48	0.65	0.28	0.22	0.31	0.55	0.28	0.19	0.28	0.21	0.12	0.45	0.46	0.42	0.11	0.13	0.19	0.13	0.35
	RMSE	0.92	1.47	2.03	0.95	0.80	1.23	1.71	1.14	0.86	1.05	0.76	0.58	1.39	1.27	1.18	0.32	0.40	0.53	0.40	1.02
	r	0.86	0.82	0.17	0.41	0.82	0.60	0.10	0.52	0.71	0.71	0.87	0.73	-0.74	-0.31	-0.47	NA	0.07	0.47	-0.07	0.02
DM10	NMB	-0.71	-0.59	-0.47	-0.42	-0.51	-0.20	-0.48	-0.25	-0.47	-0.42	-0.41	-0.45	-0.62	-0.67	-0.84	NA	-0.25	-0.44	-0.46	-0.86
1 1/110	NMGE	0.71	0.59	0.47	0.42	0.51	0.25	0.48	0.26	0.47	0.42	0.41	0.45	0.62	0.67	0.84	NA	0.27	0.44	0.46	0.86
	RMSE	20.43	18.25	16.16	14.67	15.74	9.78	16.48	10.45	14.78	13.72	13.15	14.63	19.87	20.42	25.09	NA	9.85	13.51	14.74	25.58
	r	0.89	0.86	0.24	0.58	0.84	0.75	0.11	0.62	0.77	0.77	0.89	0.82	-0.73	0.52	0.02	NA	0.54	0.61	0.56	0.18
DM <sub>o</sub> c	NMB	-0.64	-0.47	-0.27	-0.27	-0.36	-0.19	-0.48	-0.17	-0.40	-0.28	-0.32	-0.33	-0.59	-0.63	-0.14	NA	0.17	-0.15	-0.08	-0.39
1.1412.5	NMGE	0.64	0.47	0.35	0.30	0.36	0.24	0.49	0.24	0.41	0.30	0.32	0.33	0.59	0.63	0.20	NA	0.22	0.15	0.11	0.40
	RMSE	11.95	9.92	9.20	8.02	8.06	6.57	11.65	6.82	8.65	7.15	7.51	7.99	12.97	6.79	2.40	NA	2.78	1.92	1.41	5.04

Table 3. Monthly statistics of Pearson's Correlation (r), Normalized Mean Bias (*NMB*), Normalized Mean Gross Error (*NMGE*) and Root Mean Square Error (*RMSE*:  $\mu$ g m<sup>-3</sup> for Europe, while ppb for gases and  $\mu$ g m<sup>-3</sup> for particles for North America) calculated for each model group.

Pollutant	Scenario	DE1	DK1	ES1	FI1	IT1	IT2	TR1	UK1	UK2	FRES1	All Mean	Common Mean
<b>O</b> <sub>3</sub>	GLO	-1.54	-0.71		-0.40	-0.37	-0.63	2.83	-0.83	-0.79	-0.63	-0.34	-0.82
	NAM	-0.28	-0.24	0.77	-0.13			-0.30	-0.22		-0.22	-0.09	-0.22
	EUR	-0.77	0.14		0.09	0.43			0.06		0.12	0.01	-0.07
NO <sub>2</sub>	GLO	-0.28	-0.72		-1.20	-0.93	-0.95	-1.93	-0.75	-1.10	-0.89	-0.97	-0.77
	NAM	0.00	0.01	0.17	0.00	0.00		0.01				0.03	0.00
	EUR	-0.30	-0.69		-1.05	-0.85			-0.70		-0.89	-0.75	-0.73
СО	GLO	-15.97	-14.03		-21.10	-18.13	-15.04	-26.01	-12.83	-16.94	-16.11	-17.35	-16.01
	NAM	-1.50	-1.71	3.26	-1.41			-1.35	-1.33		-1.55	-0.80	-1.50
	EUR	-10.49	-6.91		-14.63	-10.11			-7.87		-9.51	-9.92	-9.88
SO <sub>2</sub>	GLO	-0.23	-0.12		-0.17	-0.17	-0.11	-0.23	-0.20	-0.28	-0.15	-0.18	-0.17
	NAM	0.00	0.00	0.03	0.00			0.00	0.00		0.00	0.00	0.00
	EUR	-0.23	-0.10		-0.14	-0.13			-0.16		-0.15	-0.15	-0.16
<b>PM</b> <sub>10</sub>	GLO	-1.47	-1.90		-2.52	-2.97	-1.58	-3.58	-2.32	-2.81	-2.27	-2.38	-2.10
	NAM	-0.01	-0.09	0.00	-0.02			-0.04	-0.03		-0.04	-0.03	-0.04
	EUR	-2.03	-1.53		-2.20	-2.46			-1.96		-2.07	-2.04	-1.96
PM <sub>2.5</sub>	GLO	-1.30	-1.76		-2.15	-2.56	-1.33	-2.79	-1.78	-2.44	-2.10	-2.02	-1.82
	NAM	0.01	-0.05	0.00	-0.02			-0.03	-0.02		-0.04	-0.02	-0.02
	EUR	-1.29	-1.42		-1.82	-2.05			-1.47		-1.89	-1.66	-1.58

Table 4. Annual mean absolute differences (ppb for gases and  $\mu g m^{-3}$  for particles) between the base case and the different emission perturbation scenarios as calculated by the different model groups over the European domain.

Pollutant	Scenario	DE1	DK1	US1	US3	All Mean	Common Mean
<b>O</b> <sub>3</sub>	GLO	-1.70	-1.42	-1.41	-1.03	-1.39	-1.39
	NAM	-0.92	-0.66		-0.36	-0.65	-0.65
	EAS	-0.35	-0.24	-0.23	-0.19	-0.25	-0.26
$NO_2$	GLO	-0.35	-0.63	-1.07	-1.20	-0.81	-0.73
	NAM	-0.36	-0.62		-1.17	-0.71	-0.71
	EAS	0.00	0.00	0.00	-0.01	0.00	0.00
CO	GLO	-9.31	-20.48	-22.12	-25.01	-19.23	-18.27
	NAM	-3.84	-13.35		-19.87	-12.35	-12.35
	EAS	-2.60	-4.16	-3.64	-3.07	-3.37	-3.28
$SO_2$	GLO	-0.33	-0.32	-0.48	-0.25	-0.34	-0.30
	NAM	-0.33	-0.32		-0.48	-0.37	-0.37
	EAS	0.00	0.00		0.00	0.00	0.00
<b>PM</b> <sub>10</sub>	GLO	-2.26	-0.66		-4.24	-2.39	-2.39
	NAM	-2.02	-0.59		-4.19	-2.27	-2.27
	EAS	-0.56	-0.05		-0.03	-0.21	-0.21
PM <sub>2.5</sub>	GLO	-0.60	-1.67		-2.29	-1.52	-1.52
	NAM	-0.62	-1.56		-2.24	-1.47	-1.47
	EAS	0.01	-0.04		-0.03	-0.02	-0.02

Table 5. Annual mean absolute differences (ppb for gases and  $\mu g m^{-3}$  for particles) between the base case and the different emission perturbation scenarios as calculated by the different model groups over the North American domain.

907

909 Table 6. Annual mean RERER values calculated for the multi-model mean ensembles over Europe and North America.

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	<b>O</b> <sub>3</sub>	NO <sub>2</sub>	CO	SO <sub>2</sub>	<b>PM</b> <sub>10</sub>	PM <sub>2.5</sub>							
	EUROPE												
DE1	0.44	-0.09	0.44	0.02	0.01	0.01							
DK1	0.85	0.23	0.63	0.37	0.17	0.28							
FI1	0.76	-0.01	0.40	0.01	0.02	0.02							
FRES1	0.78	0.15	0.56	0.30	0.20	0.20							
IT1	1.10	0.34	0.93	0.42	0.27	0.26							
UK1	0.92	0.35	0.52	0.43	0.33	0.34							
MMM	0.77	0.18	0.55	0.27	0.18	0.19							
MEDIAN	0.81	0.19	0.54	0.34	0.18	0.23							
	NORTH AMERICA												
DE1	0.77	0.12	0.73	0.07	0.09	0.12							
DK1	0.93	0.06	0.90	0.15	0.07	0.12							
US3	0.54	0.02	0.47	0.11	0.08	0.10							
MMM	0.75	0.05	0.71	0.11	0.08	0.11							
MEDIAN	0.77	0.06	0.73	0.11	0.08	0.12							



913 Fig.1. Observed and simulated monthly mean air pollutant levels, averaged over the monitoring stations over Europe.



917 Fig.2. Observed and simulated monthly mean air pollutant levels, averaged over the monitoring stations over North America.







924 Fig.4. Multi-model mean air pollutant levels over North America as simulated in the base case.

![](_page_33_Figure_0.jpeg)

928 Fig.5. Absolute impact of the 20% reduction of the global anthropogenic emissions over Europe (GLO<sub>EUR</sub>-BASE<sub>EUR</sub>).

![](_page_34_Figure_0.jpeg)

Fig.6. Spatial distribution of the annual mean relative differences between the global perturbation scenario and the base case over Europe assimulated by the multi-model mean ensemble.

![](_page_35_Figure_0.jpeg)

935 Fig.7. Absolute impact of the 20% reduction of the global anthropogenic emissions over North America (GLO<sub>NAM</sub>-BASE<sub>NAM</sub>).

![](_page_36_Figure_0.jpeg)

Fig.8. Spatial distribution of the annual mean relative differences between the global perturbation scenario and the base case over North America
as simulated by the multi-model mean ensemble.

![](_page_37_Figure_0.jpeg)

![](_page_37_Figure_1.jpeg)

![](_page_38_Figure_0.jpeg)

Fig.10. Spatial distribution of the annual mean relative differences between the North American emissions perturbation scenario and the base
case over Europe as simulated by the multi-model mean ensemble.

![](_page_39_Figure_2.jpeg)

951 Fig.11. Absolute impact of the 20% reduction of the North American anthropogenic emissions over North America (GLO<sub>NAM</sub>-BASE<sub>NAM</sub>).

![](_page_41_Figure_0.jpeg)

Fig.12. Spatial distribution of the annual mean relative differences between the North American emissions perturbation scenario and the base
 case over North America as simulated by the multi-model mean ensemble.

![](_page_42_Figure_0.jpeg)

![](_page_42_Figure_1.jpeg)

![](_page_43_Figure_0.jpeg)

Fig.14. Spatial distribution of the annual mean relative differences between the European emissions perturbation scenario and the base case over
 Europe as simulated by the multi-model mean ensemble.

![](_page_44_Figure_0.jpeg)

![](_page_44_Figure_1.jpeg)

![](_page_45_Figure_0.jpeg)

Fig.16. Spatial distribution of the annual mean relative differences between the East Asian emissions perturbation scenario and the base case
over North America as simulated by the multi-model mean ensemble.

![](_page_46_Figure_0.jpeg)

976 Fig. 17. Spatial distribution of RERER values constructed from the annual mean responses of O<sub>3</sub> and PM<sub>2.5</sub> over Europe and North America.

![](_page_47_Figure_0.jpeg)

978 Fig. 18. Seasonal variations of RERER values of  $O_3$  and  $PM_{2.5}$  over Europe and North America.