



1 **Foreign and domestic contributions to springtime ozone over China**

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11 **Abstract.** China is facing a severe ozone problem, but the origin of its ozone remains
12 unclear. Here we use a GEOS-Chem based global-regional two-way coupled model
13 system to quantify the individual contributions of eight emission source regions
14 worldwide to springtime ozone in 2008 over China. The model reproduces the observed
15 ozone from 31 ground sites and various aircraft and ozonesonde measurements in China
16 and nearby countries, with a mean bias at 10–15% both near the surface and in the
17 troposphere. We then combine zero-out simulations, tagged ozone simulations, and a
18 linear weighting approach to accounting for the effect of nonlinear chemistry on ozone
19 source attribution. We find considerable contributions of total foreign anthropogenic
20 emissions to surface ozone over China (2–11 ppb). For ozone averaged over China of
21 anthropogenic origin, foreign regions together contribute 40–50% below the height of
22 2 km and 85% in the upper troposphere. For total foreign anthropogenic emissions
23 contributed ozone over China at various heights, the portion of transboundary ozone
24 produced within foreign emission source regions is less than 50%, with the rest
25 produced by precursors transported out of those source regions. Japan and Korea
26 contribute 0.6–2.1 ppb of surface ozone over the east coastal regions. South-East Asia
27 contributes 1–5 ppb over much of southern China and South Asia contributes up to 5–
28 10 ppb of surface ozone over border of southwestern China; and their contributions
29 increase with height due to strong upwelling over the source regions. European
30 contribution reaches 2.1–3.0 ppb for surface ozone over the northern border of China
31 and 1.5 ppb in the lower troposphere averaged over China. North America contributes
32 0.9–2.7 ppb of surface ozone over most of China (1.5–2.1 ppb over the North China
33 Plain), with a China average at 1.5–2.5 ppb at different heights below 8 km, due to its
34 large anthropogenic emissions and the transport-favorable mid-latitude westerly.
35 Global emission reduction is critical for China's ozone mitigation.



36 1. Introduction

37 Ozone is an important atmospheric oxidant and the primary source of the hydroxyl
38 radical (OH). At surface, ozone also damages human health and reduces crop yield.
39 China is currently facing a severe ozone pollution problem, with measured maximum
40 hourly ozone exceeding 200 ppb in many cities (Wang et al., 2006; Xue et al., 2014).
41 Even in the remote areas of western China, measured daily mean concentrations of
42 ozone exceed 50 ppb frequently (Xue et al., 2011; Lin et al., 2015). Xu et al. (2016)
43 showed that daytime ozone at Waliguan, a global background station, grew
44 significantly from 1994 to 2013 at a rate of 0.24 ± 0.16 ppb year⁻¹. The severe ozone
45 problem is largely associated with growth in anthropogenic emissions of nitrogen
46 oxides (NO_x) and non-methane volatile organic compounds (NMVOC). Chinese
47 anthropogenic NO_x emissions increased at a rate of 7.9% year⁻¹ from 2000 to 2010
48 (Zhao et al., 2013); and its anthropogenic NMVOC emissions increased from 22.45 Tg
49 in 2008 to 29.85 Tg in 2012 (Wu et al., 2016).

50 Ozone has a lifetime of several days to weeks in the troposphere (Young et al., 2013;
51 Yan et al., 2016), which makes its long-distance transport across regions and even
52 continents possible. Many observational and modeling studies have showed substantial
53 trans-Pacific and trans-Atlantic transport of ozone and precursors (Jacob et al., 1999;
54 Derwent et al., 2004; Lin et al., 2008; Cooper et al., 2010; Verstraeten et al., 2016). The
55 trans-Pacific transport of East Asian air pollutants enhances springtime surface ozone
56 concentrations over the western United States by 1–5 ppb (Zhang et al., 2008; Brown-
57 Steiner and Hess, 2011; Lin et al., 2012b; Lin et al., 2014). Auvray and Bey (2005)
58 reported that North American and Asian ozone account for 10.9% and 7.7% of ozone
59 over Europe, respectively. The Hemispheric Transport of Air Pollution (HTAP) project
60 studied the trans-continental pollution, by model sensitivity simulations applying a 20%
61 perturbation in anthropogenic emissions in four regions (North America, Europe, South
62 Asia, and East Asia, each defined as a broad rectangle-shaped area) (HTAP, 2010).
63 HTAP showed that the annual average impact of North American emissions on East
64 Asian surface ozone is comparable to the impact of East Asian emissions on North
65 America (0.22 ppb averaged over each rectangular region).

66 Several studies investigated the influence of transboundary transport on surface ozone
67 over Chinese territory (Wang et al., 2011; Li et al., 2014; Li et al., 2016b; Zhu et al.,
68 2016; Yin et al., 2017). Wang et al. (2011) used tagged ozone simulations with GEOS-
69 Chem to study the global production of surface ozone over China for 2006. They
70 showed that in spring 2006, tropospheric ozone produced over India contributed up to
71 6 ppb to surface ozone over western China; and that ozone produced over Europe and
72 North America each contributed 2–5 ppb of ozone over northeastern China and North
73 China. Using an emission zero-out method with MOZART simulations (i.e., without
74 versus with emissions), Li et al. (2014) reported that modeled trans-Eurasian ozone



75 transport enhanced surface ozone over northwestern China by 2–6 ppb in spring 2000.
76 Using tagged ozone simulations with MOZART, Zhu et al. (2016) revealed significant
77 springtime ozone transport (~ 6 ppb) from Europe and Africa to Waliguan averaged
78 from 1997 to 2007 and 3–5 ppb ozone from North and South America together. Using
79 a tagged ozone method based on NAQPMS, Li et al. (2016) found 0.5–3.0 ppb of ozone
80 over northeastern China produced over the Korean peninsula in 2010. Based on
81 observational and back-trajectory analyses, Yin et al. (2016) found that ozone at the
82 Nam Co site over Tibet in spring is greatly affected by anthropogenic contributions
83 from South Asia.

84 Transboundary ozone due to precursor emissions of a source region can be produced
85 both within and outside the source region. The two mechanisms contribute roughly
86 equally for the case of trans-Pacific ozone from East Asia to the western United States
87 (Zhang et al., 2008; Jiang et al., 2016). And the ozone production along the transport
88 pathway is largely associated with thermal dissociation of peroxyacetyl nitrate (PAN)
89 that has been formed in the boundary layer of the NO_x emission source region. The
90 transport of ozone precursors means that ozone produced within a region (from emitted
91 and transported precursors worldwide) differs from ozone produced from that region's
92 emissions. This difference affects how ozone over a receptor region is attributed to other
93 regions (Wang et al., 2011; Li et al., 2014). It is thus important that the contribution of
94 ozone produced at a “producing region” from emissions of a source region be quantified
95 explicitly.

96 Here we simulate the contributions of anthropogenic emissions in individual regions
97 across the globe to ozone at various heights over China. As typically assumed,
98 anthropogenic contributions are associated with anthropogenic NO_x, carbon monoxide
99 (CO) and NMVOC emissions, excluding the effect of methane. We use a GEOS-Chem
100 based two-way coupled modeling system (Yan et al., 2014; 2016) that integrates an
101 Asian nested model and a global model in a sense of two-way exchange, which better
102 simulates multi-scale interactions between the nested and global domains. Our study is
103 focused on spring 2008, in which season a comprehensive set of ground, aircraft and
104 ozonesonde measurements over China is available for model evaluation. Also,
105 transboundary transport of ozone is most significant in spring due to active cyclonic
106 activities and strong westerly winds (Liang et al., 2004; Wang et al., 2011; HTAP,
107 2010).

108 We explicitly identify ozone produced in 10 individual regions of the world from
109 anthropogenic precursor emissions in each of eight source regions. For this purpose, we
110 combine the emission zero-out method and the tagged ozone approach (Wang et al.,
111 1998). The zero-out or similar emission perturbation methods are widely used to
112 quantify the contribution of emissions in a source region to a receptor region as a
113 combined result of the two production-transport mechanisms aforementioned (Lin et
114 al., 2008; HTAP, 2010; Lin et al 2012a; Li et al., 2014). The tagged ozone approach
115 quantifies the ozone produced in any designated region with no information about



116 whether the associated precursors are emitted in that region or are transported from
117 somewhere else (Wang et al., 1998; Wang et al., 2011; Li et al., 2016b). To account for
118 ozone production nonlinearity, we use a simple linear weighting method to adjusting
119 simulation results, similar to Li et al. (2016a).

120 The rest of our paper is organized as follows. Section 2 presents model simulations,
121 measurement data, and the ozone source attribution method. Section 3 evaluates the
122 modeled ozone and CO using ground, aircraft and ozonesonde observations. Section 4
123 analyzes the modeled contributions to near-surface ozone over China by natural sources
124 as well as anthropogenic emissions in individual regions. Section 5 shows the ozone
125 source attribution at different heights of the troposphere. For each emission source
126 region, it also separates the contribution of ozone produced within that source region
127 from the contribution produced outside of that source region. Section 6 concludes the
128 study.

129 **2. Model simulations, measurements, and source attribution method**

130 *2.1 Two-way coupled GEOS-Chem modeling system*

131 The two-way coupled system (Yan et al., 2014; Yan et al., 2016) is built upon version
132 9-02 of GEOS-Chem (http://wiki.seas.harvard.edu/geos-chem/index.php/Main_Page).
133 Here we couple the global GEOS-Chem model (at 2.5° long. x 2° lat.) with its nested
134 model covering Asia (70°E–150°E, 11°S–55°N, at 0.667° long. x 0.5° lat.). Through
135 the PKUCPL two-way coupler, for every three hours the global model provides lateral
136 boundary conditions for the nested model, while the nested model results replace the
137 global model results within the nested domain (Yan et al., 2014; 2016). Both models
138 are driven by the GEOS-5 assimilated meteorological fields at respective horizontal
139 resolutions from National Aeronautics and Space Administration Global Modeling and
140 Assimilation Office. There are 47 vertical layers for both models, and the lowest 10
141 layers are about 130 m thick each.

142 Both the global and nested GEOS-Chem models include the full gaseous HO_x-O_x-
143 NO_x-CO-NMVOC chemistry (Mao et al., 2013) and online aerosol calculations, with
144 further updates detailed in Lin et al. (2012) and Yan et al. (2016). As aromatics are not
145 explicitly represented in the model, following Lin et al. (2012), we approximate the
146 ozone production of aromatics by increasing anthropogenic emissions of propene by a
147 factor of four, based on their reactivity differences, their similarity in emission spatial
148 variability, and recently estimated emission amount of aromatics (Liu et al., 2010). We
149 use the Linoz scheme for ozone production in the stratosphere (McLinden et al., 2000).
150 We adjust the stratospheric production rate in the nested model to ensure that the
151 stratosphere-troposphere exchange (STE) of ozone in the nested model matches the
152 STE in the global model over the same nested domain (Yan et al., 2016). Vertical
153 mixing in the planetary boundary layer (PBL) is parameterized by a non-local scheme



154 (Holtslag and Boville, 1993; Lin and McElroy, 2010), and convection in the model
155 employs the relaxed Arakawa-Schubert scheme (Moorthi and Suarez, 1992).

156 Table 1 lists the emission inventories used here. Global anthropogenic emissions of
157 NO_x and CO in 2008 are from the Emission Database for Global Atmospheric Research
158 (EDGAR v4.2). Anthropogenic NMVOC emissions are from the REanalysis of
159 TROpospheric chemical composition (RETRO) inventory for 2000. Anthropogenic
160 emissions over China, the rest of Asia, the United States, Canada, Mexico and Europe
161 are replaced by regional inventories MEIC (for 2008), INTEX-B (for 2006), NEI2005
162 (for 2005), CAC (for 2008), BRAVO (for 1999) and EMEP (for 2007), respectively.
163 Emissions of CO and NO_x are scaled to 2008 in the United States and to 2006 in Mexico.
164 ([http://wiki.seas.harvard.edu/geos-](http://wiki.seas.harvard.edu/geos-chem/index.php/Scale_factors_for_anthropogenic_emissions)
165 [chem/index.php/Scale_factors_for_anthropogenic_emissions](http://wiki.seas.harvard.edu/geos-chem/index.php/Scale_factors_for_anthropogenic_emissions)). We use daily biomass
166 burning emissions from Global Fire Emission Database version 3 (GFED3) (van der
167 Werf et al., 2010). Biogenic emissions of NMVOC are calculated online based on the
168 MEGAN v2.1 scheme (Guenther et al., 2012). For lightning NO_x emissions, flash rates
169 are calculated based on the cloud top height and constrained by climatological satellite
170 observations (Murray et al., 2012), and the vertical profile of emitted NO_x follows Otto
171 et al. (2010). Online calculation of soil NO_x emissions follows Hudman et al. (2012).

172 *2.2 Zero-out simulations, tagged ozone simulations, and weighted adjustment*

173 Table 2 presents 10 full-chemistry simulations to quantify Chinese and foreign
174 anthropogenic contributions to springtime ozone over China in 2008. A base simulation
175 (CTL) includes all emissions. The second simulation excludes anthropogenic NO_x, CO
176 and NMVOC emissions worldwide to determine the natural ozone (xANTH). Eight
177 additional simulations exclude anthropogenic emissions over China (xCH), Japan and
178 Korea (xJAKO), South-East Asia (xSEA), South Asia (xSA), Rest of Asia (xROA),
179 Europe (xEU), North America (xNA) and Rest of World (xROW), respectively (see
180 regional definitions in Fig. 1). All simulations cover November 2007 through May 2008,
181 with the first four months used for spin-up, except for additional CTL simulations in
182 other years for model evaluation purposes.

183 Table 2 also shows 10 tagged simulations (denoted as T_CTL, T_xANTH, etc.) with
184 respect to CTL and other eight zero-out sensitivity simulations. Each tagged simulation
185 includes 10 tracers to track ozone produced within the eight source regions, produced
186 within the oceanic region, or transported from the stratosphere. Considering the time
187 for STE of air, all tagged ozone simulations are spun up for 10 years.

188 Ozone production is nonlinearly dependent on its precursors, adding uncertainties to
189 the source attribution calculated by emission perturbation methods (Wu et al., 2009).
190 To account for this issue, we use a linear weighting method to adjust all ozone
191 attribution results (Li et al., 2016a), unless stated otherwise. Equation 1 is an example
192 to determine the contribution from Chinese anthropogenic emissions (here C_i
193 represents the sensitivity simulation for one of the eight emission source regions). The



194 adjustment is done for each grid cell over China.

$$195 \quad C_{\text{CH}} = \frac{\text{Con}(\text{CTL})}{\sum_{i=1}^8 [\text{Con}(\text{CTL}) - \text{Con}(\text{Ci})] + \text{Con}(\text{xANTH})} \times [\text{Con}(\text{CTL}) - \text{Con}(\text{xCH})] \quad (1)$$

196 *2.3 Measurements*

197 This study presents model evaluation over China and its neighboring countries in spring.
198 We also evaluate the simulation of CO, a relatively long-lived transport tracer. Figure
199 3 shows the suite of ground, aircraft and ozonesonde measurements.

200 *2.3.1 Surface measurements*

201 Measurements from a total of 32 ground sites are used here; see Tables 3 and 4 for
202 geographical information. Routine observations of ozone and CO in China were
203 scarcely available before 2013. Hourly data are available for this study from five
204 rural/background sites across China maintained by the Chinese Meteorological
205 Administration (Xu et al., 2008; Lin et al., 2009; Fang et al., 2014; Ma et al., 2014).
206 These sites include a rural site (Gucheng over North China Plain), three regional
207 background sites (Longfengshan over the northeast, Lin'an over the east, and Shangri-
208 La over the southwest), and a Global Atmosphere Watch (GAW) background site
209 (Waliguan over the west). Data are available for 2007 at Gucheng and Longfengshan
210 and for 2008 at other three sites.

211 We also use hourly ozone and CO measurements in spring 2008 from six GAW
212 background sites in the vicinity of China from the World Data Center for Greenhouse
213 Gases (WDCGG, <http://ds.data.jma.go.jp/gmd/wdcgg/cgi-bin/wdcgg/catalogue.cgi>).
214 These sites include Issyk-Kul in Kyrgyzstan, Everest-Pyramid in Nepal, Bukit Koto
215 Tabang in Indonesia, and Yonagunijima, Tsukuba and Ryori in Japan.

216 To obtain a more comprehensive observation dataset for model evaluation, we further
217 use monthly mean ozone data in spring 2008 from 15 remote/rural sites from the Acid
218 Deposition Monitoring Network in East Asia (EANET, <http://www.eanet.asia/product/index.html>). We also collect monthly ozone observation
219 data at six sites over China from the literature, including data at three mountain sites
220 (Mts. Tai, Hua, and Huang).
221

222 *2.3.2 Measurements of vertical profiles*

223 To evaluate vertical distribution of ozone and CO over China, we use observations from
224 the Measurements of Ozone and Water Vapor by Airbus In-Service Aircraft (MOZAIC)
225 program (Marengo et al., 1998). Data during both ascending and descending processes
226 of the aircrafts are available during spring 2000–2005 at three airports (Beijing,
227 Shanghai, and Hong Kong). The vertical resolution is 150 m.

228 We further use the ozonesonde data at six sites in spring 2008 from the World Ozone



229 and Ultraviolet Date Center (WOUDC,
230 <http://www.woudc.org/data/explore.php?lang=en>) operated by the Meteorological
231 Service of Canada. The six sites include Hanoi in Vietnam, Hong Kong in China,
232 Sepang Airport in Malaysia, and Sapporo, NAHA and Tateno in Japan. Ozonesondes
233 are launched every few days, thus the data are relatively scarce. We also use the GPSO3
234 ozonesonde data in spring 2008 over Beijing measured by the Institute of Atmospheric
235 Physics (IAP) of the Chinese Academy of Sciences (Wang et al., 2012). All ozonesonde
236 measurements were launched at around 14:00 local time.

237 3. Model evaluation

238 Here we focus on model evaluation over China and its neighboring area in spring.
239 Global ozone evaluation of the two-way coupled model system is detailed in Yan et al.
240 (2016) using 1420 ground sites, various aircraft observations and satellite
241 measurements, although the observations over China are sparse.

242 3.1 Surface ozone and CO over China and nearby countries

243 Figure 3 compares the springtime time series of modeled (solid red line) and observed
244 (solid black line) maximum daily average 8-hour (MDA8) ozone concentrations at 10
245 sites with daily measurements. Model data are sampled at times and locations
246 coincident with valid observations.

247 Figure 3a–b evaluates the model results at Gucheng and Longfengshan. To compare to
248 observations in spring 2007 at these two sites, we conduct an additional full chemistry
249 simulation for 2007. At these sites, the model captures the observed MDA8 ozone, with
250 a normalized mean bias (NMB) of 2% at Gucheng and 4% at Longfengshan. The
251 respective correlation coefficients (R) for day-to-day variability are 0.51 and 0.59; the
252 modest correlation is primarily because the model does not capture a few short-term
253 spikes.

254 At Lin'an (Fig. 3c), the modeled spring average MDA8 ozone matches the observed
255 value (68.9 ppb versus 65.1 ppb, $R = 0.64$). The model cannot reproduce the observed
256 extreme low values on several days. This deficiency is likely due to representative
257 errors of model meteorology. Located in a hilly area, this site often receives rains and
258 fogs in spring, which is not captured by the model meteorology at a resolution of 0.667°
259 long. $\times 0.5^\circ$ lat. We find that the extremely low observed ozone values normally occur
260 on days with high relative humidity (black dashed line, reflecting rainy or foggy days),
261 when the model underestimates RH (red dashed line) and overestimates ozone.

262 At Shangri-La, Waliguan and Issyk-Kul (Fig. 3d–f), with high latitudes and little local
263 anthropogenic sources, the model overestimates the MDA8 ozone by 7–8 ppb (11–
264 14%). At Everest-Pyramid in Nepal (Fig. 3g), the overestimate reaches 13 ppb (19%).
265 These positive biases are due to overestimated transport from the free troposphere and
266 stratosphere. The model captures the temporal variability of MDA8 ozone quite well



267 (R = 0.72–0.78) at the three Japanese sites (Yonagunijima, Tsukuba and Ryori, Fig. 3h–
268 j). Its NMB is within 2% at Yonagunijima and Ryori. There is an overestimate at
269 Tsukuba (NMB = 18%), mostly reflecting the large positive biases on a few days.

270 Table 4 shows model comparisons with monthly mean EANET ozone data. These data
271 represent daily mean rather than MDA8 values, based on the availability of
272 observations. At seven sites, the model results exceed the observations with a mean
273 difference by 7 ppb (16%). At the other eight sites, the model results are smaller than
274 the observations with a mean difference by 7 ppb (11%). These differences reflect
275 model biases as well as a sampling bias due to lack of knowledge on which days contain
276 valid observations.

277 Table 4 further compares the modeled monthly mean daily mean ozone in spring 2008
278 to the observations in various years collected from the literature. Again, the comparison
279 is affected by a sampling bias. The model reproduces the average magnitude of ozone
280 at the three mountainous sites (Mts. Tai, Hua and Huang) with a mean bias below 5 ppb
281 (9%). The model has a large overestimate by 48% at the rural site in Hong Kong,
282 although times are different (2008 versus 1994–2007). The model overestimates ozone
283 at an urban site in Nanjing by 16%, although the observations were made in 2000–2002
284 when Chinese anthropogenic emissions of NO_x were only about half of those in 2008
285 (Xia et al., 2016).

286 We also evaluate the modeled daily average CO at six sites within and outside China
287 with available hourly observations (Fig. 4). Overall, the model captures the day-to-day
288 variability of daily mean CO fairly well (R = 0.40 at Lin'an, 0.60 at Shangri-La, 0.56
289 at Ryori, and 0.73–0.82 at other three sites). It has a small mean bias (within 3%) at
290 Bukit Koto Tabang and Ryori, although with negative biases (by 13–33%) at other four
291 sites. Such an underestimate is typical in global simulations (Young et al., 2013), and
292 it may be related to excessive OH (Young et al., 2013; Yan et al., 2014; 2016) and/or
293 underestimated emissions (Kopacz et al., 2010; Wang et al., 2011). As compared to the
294 coarse-resolution global model alone, our two-way coupling results in less CO
295 underestimate (Yan et al., 2014), although it does not eliminate the bias.

296 3.2 Vertical profiles of ozone and CO

297 Figure 5a–c compares modeled ozone in 2008 to MOZAIC data over 2000–2005 at the
298 airports of Beijing, Shanghai and Hong Kong. Although model and MOZAIC data are
299 in different years, to achieve best sampling consistency, we sample the model results at
300 times of day when the commercial aircrafts take off or land in with available MOZAIC
301 data. The timing information is shown in Fig. 5. GEOS-Chem reproduces the vertical
302 gradient of MOZAIC ozone in general. The model underestimates MOZAIC ozone in
303 the PBL over Beijing Airport mainly due to inconsistent temporal sampling, as further
304 comparison with GPSO3 ozonesonde data (Bian et al., 2007; Wang et al., 2012), where
305 model results are sampled at times coincident with the observations, shows little model
306 bias (within 4%, Fig. 5g). Over Hong Kong, the model captures the weak vertical



307 gradient between 2 km and 11 km, although it has a positive bias below 2 km due to its
308 inability to capture the complex terrains and local pollution source characteristics
309 around the airport. The model overestimates ozone in the middle and upper troposphere
310 over Shanghai, with larger biases at higher altitudes, likely indicating too strong STE.
311 Other causes may include differences in meteorology and emissions between 2000–
312 2005 and 2008.

313 Figure 6 compares the modeled ozone profiles to WOUDC data at six sites. Here model
314 results are sampled at ozonesonde launch times, and ozonesonde data are regridded to
315 match the model vertical resolution. Overall, GEOS-Chem captures the vertical
316 gradient of ozone fairly well. The model reproduces the overall weak vertical gradients
317 at Hanoi, Hong Kong, Sepang and NAHA. It also reproduces the rapid increases above
318 8 km at Sapporo and Tateno, although it has positive biases at 10–20 ppb. GEOS-Chem
319 reproduces the observed middle and upper tropospheric ozone at Hong Kong and
320 Sepang, although it has an overestimate in the lower troposphere, consistent with the
321 bias shown in Fig. 5c.

322 Figure 5d–f also compares the modeled CO with the MOZAIC data. Similar to the
323 evaluation results for surface CO, GEOS-Chem generally underestimates the MOZAIC
324 CO at most heights above the three airports, although it captures the vertical shape fairly
325 well.

326 *3.3 Summarizing remark on model evaluation*

327 Our simulation has a small NMB for surface ozone, at about 10% averaged over 10
328 sites with hourly data (Fig. 3 and Table 3) and about 15% averaged over 21 sites with
329 monthly data from EANET and the literature (Table 4). The model also captures the
330 general vertical distribution of ozone at ten places over China and nearby regions, with
331 a tropospheric mean bias at 12%. These agreements allow using the model for source
332 attribution studies in the next sections. On the other hand, with a horizontal resolution
333 of about 50 km over Asia, the model often fails to simulate the complex terrains, local
334 meteorological conditions, and/or local emission characteristics at several hilly or
335 airport sites. The model also tends to overestimate the STE influences over Asia.
336 Addressing these issues warrant future research with improved model resolutions and
337 STE representation.

338 GEOS-Chem tends to underestimate CO over Asia (by 20% on average), similar to
339 many other models (Kopacz et al., 2010; Young et al., 2013). We conduct a sensitivity
340 simulation by doubling Chinese anthropogenic CO emissions, which result in a slight
341 increase in surface ozone by 0.1–0.4 ppb and 2–3 ppb over clean and polluted areas of
342 China, respectively. The low sensitivity of ozone to CO emissions were also found by
343 Jiang et al. (2015). We thus conclude that our ozone simulations over China are
344 influenced insignificantly by the underestimate in CO.



345 **4. Source attribution modeling for surface ozone over China**

346 *4.1 Total, background and natural ozone*

347 Figure 7a shows the modeled spatial distribution of near-surface daily mean ozone in
348 spring 2008 over China from all natural and anthropogenic sources, i.e., the CTL case.
349 Ozone concentrations reach 75–80 ppb over the southern Tibetan Plateau, and they are
350 minimum (25–40 ppb) over the North China Plain and many populous cities across
351 eastern China. Ozone are about 45–60 ppb over the vast southeast, northwest and
352 northeast.

353 The simulated natural ozone (i.e., without anthropogenic emissions worldwide, the
354 xANTH case) shows a strong gradient from the southern Tibetan Plateau (65–75 ppb)
355 to the northwest (35–40 ppb) and the east (20–35 ppb) (Fig. 7c). Wang et al. (2011)
356 shows similar gradients of nature ozone in 2006. Natural ozone contribute 80–90% of
357 total surface ozone over Tibet and the northwest with low local anthropogenic
358 emissions. The large natural ozone concentrations over Tibet are a result of vertical
359 transport from the free troposphere and stratosphere due to its high altitudes and hilly
360 terrains (that are conducive to vertical exchange) (Ding and Wang, 2006; Lin et al.,
361 2015; Xu et al., 2017). They pose potential threats for public health and ecosystems
362 there.

363 The simulated background ozone (i.e., without Chinese anthropogenic emissions, the
364 xCH case) is shown in Fig. 7b. The background ozone are higher than the natural ozone
365 by 2–11 ppb over most Chinese regions (Fig. 8b). This indicates large influences of
366 foreign anthropogenic emissions through atmospheric transport of ozone and its
367 precursors, as discussed in detail below.

368 *4.2 Domestic versus foreign anthropogenic contributions to ozone*

369 Figure 8a shows the spatial distribution of domestic anthropogenic contributions to
370 daily mean surface ozone over China (CTL – xCH, as adjusted with Eq. 1). Over most
371 of the west and northeast, Chinese anthropogenic emissions are relatively low, and they
372 result in ozone concentrations by 0–4 ppb. In contrast, domestic contributions reach
373 16–25 ppb over the south due to more emissions and favorable conditions for
374 photochemistry. Over the North China Plain and many populous cities, Chinese
375 anthropogenic emissions lead to reductions (instead of enhancements) of surface ozone.
376 This is because of a weak ozone production efficiency and a strong titration effect by
377 excessive domestic NO_x emissions. Figure 8d-f shows that when O_x (= O₃ + NO₂) is
378 considered, Chinese anthropogenic contributions vary from 2–4 ppb over the west to
379 6–12 ppb over the North China Plain and to 20–35 ppb over the southeast (Fig. 8d).

380 Figure 8b shows the simulated contributions to Chinese surface ozone by all foreign
381 anthropogenic emissions. Foreign contributions reach 7–11 ppb along much of Chinese



382 borders, and they exceed 6 ppb over the vast northern regions. The foreign contribution
383 reduces from the border to the inner areas, with a minimum (2–3 ppb) over the Sichuan
384 Basin where the air is more isolated. In terms of anthropogenic ozone, foreign
385 contributions account for up to 90% over most of western and northeastern China (Fig.
386 8c), consistent with the findings by Li et al. (2015) for western China in 2000. Foreign
387 anthropogenic contributions to Ox over China are similar to their contributions to ozone
388 (Fig. 8e), except at places with strong Chinese NO_x emissions that lead to titration of
389 ozone.

390 Figure 9 further shows the contributions to Chinese surface ozone by anthropogenic
391 emissions in seven individual foreign regions. The pattern of influence differs among
392 these source regions due to differences in the location of source region, emission
393 magnitude, pollutant lifetimes and transport pathways. Anthropogenic emissions in
394 Japan and Korea result in 0.6–2.1 ppb of ozone enhancement along the Chinese coast.
395 The tagged ozone simulation with NAQPMS by Li et al. (2016) also showed that about
396 0.5–3.0 ppb of ozone over northeastern China in spring 2010 were produced over Korea
397 peninsula, although there is a difference between ozone produced over a region and
398 ozone produced from that region's emissions. Emissions from South-East Asia
399 contribute 1–5 ppb over much of the southern provinces. Emissions from South Asia
400 mostly affect southwestern China and Tibet (by up to 5–10 ppb over the border), due to
401 effective transport by strong southwesterly associated with the Indian Monsoon. The
402 “Rest of Asia” consists of many countries to the west of China, whose total
403 contributions are about 2–5 ppb over much of northwestern China.

404 European anthropogenic emissions contribute 2.1–3.0 ppb of ozone along the northern
405 border of China. The contributions decrease southwards, and are above 1 ppb over half
406 of Chinese land areas. The MOZART simulation by Li et al. (2015) also showed a
407 European contribution by 2 ppb to surface ozone over North China in 2000. North
408 American anthropogenic emissions increase ozone by 1.8–2.7 ppb over much of
409 western China, by 1.5–2.1 ppb over the populous North China Plain, and by less than
410 0.9 ppb over the south. The contributions are smaller than springtime Asian
411 anthropogenic influences on western North America (e.g., 1–5 ppb averaged over
412 2001–2005 (Brown-Steiner and Hess, 2011b)), although the affected population is
413 larger by roughly an order of magnitude.

414 Influences from “Rest of World” are about 0.6–1.2 ppb over Tibet and smaller over
415 other Chinese land territory. The larger values over Tibet reflect its higher altitude and
416 greater sensitivity to long-range transport via the free troposphere.

417 Figure 10a further highlights the largest foreign contributor to surface anthropogenic
418 ozone at each location of China. North America is the largest foreign contributor over
419 about half of Chinese land territory, including the populated North China Plain. Europe
420 is the largest foreign contributor for the vast northeastern region, Rest of Asia for the
421 western border region, South Asia for southwestern China, South-East Asia for
422 southern China, and Japan and Korea for the eastern coast of China.



423 5. Vertical distributions of domestic and foreign anthropogenic contributions

424 Figure 11a shows the domestic and foreign anthropogenic contributions to daily mean
425 ozone at different heights above the ground averaged over China. The black line shows
426 that Chinese emissions contribute 6.0–10.5 ppb of ozone below 2 km over China, with
427 a maximum value at 0.7 km. This average amount of contribution reflects compensation
428 between positive values over most regions and negative values over the North China
429 Plain and many populous cities (see Sect. 4.2). Above 0.7 km, Chinese contribution
430 decreases rapidly until 3 ppb at 5 km, above which height the contribution declines
431 slowly until a value at 1 ppb at 12 km. By comparison, Chinese contribution to Ox is
432 about 7–11 ppb below 2 km, and at higher altitudes the contribution is almost identical
433 to that for ozone (not shown). The small contributions above 2 km for both ozone and
434 Ox are because as ozone and precursors associated with Chinese emissions are lifted to
435 higher altitudes, they are transported out of Chinese territory and destroyed gradually.

436 The grey line in Fig. 11a shows that the total foreign contribution is about 5.2–7.8 ppb
437 at different heights with a reverse “C” shape, i.e., higher values at 3–9 km and lower
438 values above or below that layer. The foreign contribution exceeds Chinese
439 contribution at all heights above 2 km. Nonetheless, the total (Chinese + foreign)
440 anthropogenic ozone is less than one third of natural ozone throughout the troposphere.
441 Figure 10b shows that of ozone over China produced from all anthropogenic emissions,
442 foreign emissions together contribute 50% at the surface, 40% at 0.7 km as a minimum,
443 and 85% in the upper troposphere.

444 Figure 11b specifies the contribution of each foreign emission source region. Figure
445 11c further separates the portion of ozone produced within each source region’s
446 territory from the portion produced outside of that source region. South-East Asian
447 contribution is about 0.5–2.5 ppb averaged over China, and it increases with height due
448 to strong upwelling that lifts pollutants to the middle and upper troposphere. The
449 contribution from Japan and Korea is below 0.5 ppb throughout the troposphere
450 averaged over China (Fig. 11b). The share of transboundary ozone produced within
451 South-East Asian territory and transported to China is about 10–45% (mostly below
452 30%), and the share for ozone produced within Japan and Korea is even smaller (5–
453 25%) (Fig. 11c), highlighting the importance of ozone produced by precursors
454 transported out of these two emission source regions.

455 South Asian contribution is only about 0.5–1.2 ppb throughout the troposphere (Fig.
456 11b). Although South Asia has more anthropogenic emissions than South-East Asia
457 (Table 2), its contribution to ozone over China is smaller due to blocking of transport
458 by the Himalayas with high elevation (Fig. 2). In addition, the share of transboundary
459 ozone produced within South Asian territory reaches 70–90% below 6 km but declines
460 rapidly to 28% at 12 km (Fig. 11c), a characteristic drastically different from the share
461 for South-East Asia.



462 The contribution from Rest of Asia is below 1.8 ppb at all heights with a negative
463 vertical gradient (Fig. 11b). Above 3 km, the portion of transboundary ozone produced
464 within the territory of Rest of Asia is similar to that for South Asia (Fig. 11c). However,
465 the portion exhibits a strong vertical gradient below 3 km, with a minimum value at 45%
466 near the ground.

467 European contribution declines from 1.5 ppb in the lower troposphere to 0.2 ppb at 12
468 km, similar to that for Rest of Asia (Fig. 11b). In spring, Eurasian frontal activities
469 transport and gradually lift European pollutants to downwind areas. The portion of
470 transboundary ozone produced within European territory is about 55–65% at 3–10 km
471 but is as low as 20% below 1 km (Fig. 11c), suggesting that most Europe-contributed
472 near-surface ozone over China are produced from precursors transported out of Europe.

473 Figure 11b shows that North American anthropogenic emissions contribute about 1.5–
474 2.5 ppb of ozone below 8 km, although the contribution declines rapidly to 0.2 ppb at
475 12 km. Compared to Europe, North America is further away from China, but its
476 pollutants can be transported via the strong mid-latitude westerly. Averaged over China,
477 North American contribution is larger than European contribution at all heights, e.g.,
478 by a factor of two in the middle and upper troposphere. The higher contribution is due
479 to much more anthropogenic emissions in North America than in Europe. Table 3 shows
480 that North America emits NMVOC nearly twice as much as Europe does; and Wu et al.
481 (2009) showed that the amount of transboundary ozone is nearly proportional to
482 NMVOC emissions of the source region. In addition, Fig. 11c shows that the portion of
483 transboundary ozone produced within North American territory is only about 5–20%
484 below 8 km, reflecting the dominant contribution by ozone produced from transported
485 precursors. The low share of ozone produced within North America is primarily
486 because most of such ozone is destroyed during the transport from North America to
487 China (for about two weeks), given the tropospheric lifetime of ozone at about three
488 weeks (Yan et al., 2016).

489 The grey line in Fig. 11c shows the average portion of transboundary ozone from all
490 foreign source regions that is produced within the territories of respective foreign
491 regions. The average portion is less than 50% throughout the troposphere, is about 40%
492 at 2 km, and is as low as 25% near the surface. This again highlights the dominant
493 importance of ozone production along with the transport of precursors.

494 6. Conclusions

495 This study uses a GEOS-Chem based two-way coupled modeling system to simulate
496 Chinese and foreign anthropogenic contributions to springtime ozone at different
497 heights over China. Anthropogenic contributions are associated with anthropogenic
498 NO_x, CO and NMVOC emissions, excluding the effect of methane. We combine the
499 zero-out simulations and tagged ozone simulations to separate the transboundary ozone
500 produced within the territory of each emission source region from the ozone produced
501 by anthropogenic precursors transported out of that source region. We use a weighting



502 approach to accounting for the effect of nonlinear ozone chemistry on source attribution
503 estimates. Model evaluation using a suite of ground, aircraft and ozonesonde
504 measurements show an overall small bias for ozone near the surface and in the
505 troposphere (10% at 10 surface sites with hourly measurements, 15% at 21 surface sites
506 with monthly observations, and 12% for vertical profiles). The model underestimates
507 CO by 20% on average over China and nearby areas, which however does not affect
508 the simulated ozone significantly.

509 Model simulations reveal that both total and natural ozone near the surface over China
510 show a decreasing gradient from the southern Tibetan Plateau to the northwest and the
511 east. Natural ozone contribute 80–90% of total surface ozone over Tibet and the
512 northwest with low local anthropogenic emissions. Chinese anthropogenic emissions
513 enhance surface ozone concentrations by 0–4 ppb over most of the west and northeast
514 due to low emissions and by 16–25 ppb over the south due to more emissions and
515 chemically conducive conditions. Chinese anthropogenic emissions result in reduced
516 ozone, albeit with enhanced Ox, over the North China Plain and many populous cities,
517 as a result of weak ozone production efficiency and strong titration by excessive
518 Chinese NOx emissions.

519 Near the surface, foreign anthropogenic emissions contribute 2–11 ppb of Chinese
520 ozone, with peak contributions at 7–11 ppb over the border and coastal regions of China.
521 Over western and northeastern China, foreign emissions account for up to 90% of ozone
522 of anthropogenic origin. Anthropogenic emissions in Japan and Korea result in 0.6–2.1
523 ppb of ozone along the Chinese coast. Emissions in South-East Asia contribute 1–5 ppb
524 over much of southeastern China. South Asian emissions mostly affect southwestern
525 China and Tibet (by up to 5 ppb), due to effective transport by strong southwesterly
526 associated with the Indian Monsoon. European anthropogenic emissions contribute
527 2.1–3 ppb along the northern border of China and the contribution decreases southwards.
528 North American anthropogenic emissions increase ozone by 1.8–2.7 ppb over much of
529 the west, by 1.5–2.1 ppb over the populous North China Plain, and by less than 0.9 ppb
530 over the south.

531 Vertically, for ozone of anthropogenic origin averaged over China, Chinese emissions
532 contribute ~ 6 ppb (50%) of ozone at the surface, 6.0–10.5 ppb below 2 km, decreasing
533 to 3 ppb at 5 km and 1 ppb at 12 km. The total foreign contribution increases from 40–
534 50% below 2 km to 50–85% above that height. The contribution from Japan and Korea
535 is below 0.5 ppb throughout the troposphere averaged over China. Despite its large
536 emissions, South Asia contributes only about 0.5–1.2 ppb throughout the troposphere
537 due to blocking of transport by the Himalayas. South-East Asian contribution increases
538 with height due to strong upwelling that lifts pollutants to the upper troposphere. On
539 the contrary, European contributions decreases from 1.5 ppb in the lower troposphere
540 to 0.2 ppb at 12 km. Despite the long transport distance, North American contribution
541 reaches as much as 1.5–2.5 ppb below 8 km due to its large anthropogenic emissions
542 and the strong mid-latitude westerly favorable for transboundary transport.



543 For ozone of foreign anthropogenic origin averaged over China, the portion of
544 transboundary ozone produced within foreign source regions is less than 50%
545 throughout the troposphere, albeit with a strong vertical variability, indicating the
546 importance of ozone produced by precursors transported out of those source regions.
547 The portion also differs among each foreign source region of South-East Asia (10–45%)
548 and Japan and Korea (5–25%), South Asia (from 70–90% below 6 km to 28% at 12
549 km), Europe (from 20% below 1 km to 55–65% at 3–10 km), and North America (5–
550 20% below 8 km). Thus tracing ozone produced within the territory of a particular
551 region is drastically different from tracing ozone associated with emissions in that
552 region.

553 In summary, although China is a major pollutant emitter, the ozone above its territory
554 consists primarily of natural sources, especially over western China with low local
555 anthropogenic emissions. Moreover, for ozone of anthropogenic origin, a large portion
556 results from foreign emissions, as analyzed here for spring 2008. In more recent years,
557 Chinese anthropogenic NO_x emissions have undergone a rapid decline as a result of
558 domestic emission control (Xia et al., 2016), along with continuous reductions in North
559 America and Western Europe (Yan et al., 2017a; 2017b) and changes in other regions.
560 Future research is needed to quantify the resulting changes in ozone and its
561 geographical origin. In addition, this study does not account for that a substantial
562 portion of anthropogenic emissions in any region are associated with economic
563 production for foreign consumption (Lin et al., 2014; Jiang et al., 2015a), which would
564 affect how pollution is attributed to individual producing or consuming regions (Guan
565 et al., 2014; Lin et al., 2016; Zhang et al., 2017). Nevertheless, our study suggests the
566 great importance of global collaboration on emission reduction to mitigate ozone
567 pollution in addition to domestic emission control efforts.

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855 impact of the summer monsoon on the northeastern Qinghai-Tibetan Plateau, *J.*
856 *Geophys. Res.-Atmos.*, 121, 2029-2042, 10.1002/2015jd023857, 2016.
857



858 Table 1. Emissions used in the model.

Region	Inventory	Resolution ^a	Year	Species ^b	References & Notes
Anthropogenic emissions					
Global	EDGAR v4.2	0.1 °x 0.1 °, monthly	2008	NO _x , SO ₂ , CO, NH ₃	http://edgar.jrc.ec.europa.eu/overview.php?v=42
Global	BOND	1 °x 1 °, monthly	2000	BC and OC	Bond et al. (2007)
Global	RETRO	0.5 °x 0.5 °, monthly	2000	NMVOC	ftp://ftp.retro.enes.org/pub/emissions/aggregated/anthro/0.5x0.5/2000/
Global	ICOADS, shipping	1 °x 1 °, monthly	2002	NO _x , SO ₂ , CO	Wang et al. (2008); http://coast.cms.udel.edu/GlobalShipEmissions/
Global	AEIC, aircraft	1 °x 1 °, annual	2005	NO _x , SO ₂ , CO, NMVOC, BC, OC	Simone et al. (2013)
Asia	INTEX-B	1 °x 1 °, monthly	2006	NO _x , SO ₂ , CO, NMVOC, BC, OC, NH ₃	Zhang et al. (2009). NH ₃ only available for 2000.
China	MEIC	0.25 °x 0.25 °, monthly	2008	NO _x , SO ₂ , CO, NMVOC, NH ₃	Li et al. (2017); Geng et al. (2017); http://www.meicmodel.org/ .
United States	NEI2005	4km x 4km, monthly & weekend/weekday	2005 ^c	NO _x , SO ₂ , CO, NMVOC, NH ₃ , BC, OC	ftp://aftp.fsl.noaa.gov/divisions/taq/emissions_data_2005
Canada	CAC	1 °x 1 °, annual	2008	NO _x , SO ₂ , CO, NH ₃	http://www.ec.gc.ca/pdb/cac/cac_home_e.cfm
Mexico	BRAVO	1 °x 1 °, annual	1999 ^c	NO _x , SO ₂ , CO	Kuhns et al. (2005)
Europe	EMEP	1 °x 1 °, monthly	2007	NO _x , SO ₂ , CO	Auvray and Bey (2005); http://www.emep.int/index.html
Biomass burning emissions					
Global	GFED3	0.5 °x 0.5 °, daily	2008	NO _x , SO ₂ , CO, NMVOC, NH ₃ , BC, OC	van der Werf et al., 2010; http://www.globalfiredata.org
Natural/Semi-natural emissions (online calculation)					
Global	MEGAN v2.1	Model resolution	2008	ISOP, monoterpenes, sesquiterpenes, MOH, ACET, ETOH, CH ₂ O, ALD ₂ , HCOOH, C ₂ H ₄ , TOLU, PRPE	Guenther et al. (2012)
Global	Soil NO _x	Model resolution	2008	NO	Hudman et al. (2012)
Global	Lightning NO _x	Model resolution	2008	NO	Murray et al. (2012)

859 a. Before re-gridded to model horizontal resolutions. For more information, see
 860 http://wiki.seas.harvard.edu/geos-chem/index.php/Anthropogenic_emissions.

861 b. Notes for NMVOC: RETRO includes PRPE, C₃H₈, ALK₄, ALD₂, CH₂O and
 862 MEK; in the CTM, MEK emissions are further allocated to MEK (25%) and ACET
 863 (75%). AEIC, INTEX-B and MEIC include PRPE, C₂H₆, C₃H₈, ALK₄, ALD₂,
 864 CH₂O, MEK and ACET. NEI05 includes PRPE, C₃H₈, ALK₄, CH₂O, MEK and



865 ACET. EMEP includes PRPE, ALK4, ALD2 and MEK. Emissions of C₂H₆ outside
866 Asia are from Xiao et al. (2008).

867 c. Over the United States and Mexico, emissions of CO, NO_x are scaled to 2008 and
868 2006 respectively. ([http://wiki.seas.harvard.edu/geos-](http://wiki.seas.harvard.edu/geos-chem/index.php/Scale_factors_for_anthropogenic_emissions)
869 [chem/index.php/Scale_factors_for_anthropogenic_emissions](http://wiki.seas.harvard.edu/geos-chem/index.php/Scale_factors_for_anthropogenic_emissions)).



870 Table 2. Model simulations.

Full chemistry simulation	Description	Tagged ozone simulation	Description
CTL	Full-chemistry simulation with all emissions	T_CTL	Driven by daily ozone production and loss rate archived from CTL
xANTH	Without global anthropogenic emissions	T_xANTH	With respect to xANTH
xCH	Without anthropogenic emissions of China	T_xCH	With respect to xCH
xJAKO	Without anthropogenic emissions of Japan and Korea	T_xJAKO	With respect to xJAKO
xSEA	Without anthropogenic emissions of South-East Asia	T_xSEA	With respect to xSEA
xSA	Without anthropogenic emissions of South Asia	T_xSA	With respect to xSA
xROA	Without anthropogenic emissions of Rest of Asia	T_xROA	With respect to xROA
xEU	Without anthropogenic emissions of Europe	T_xEU	With respect to xEU
xNA	Without anthropogenic emissions of North America	T_xNA	With respect to xNA
xROW	Without anthropogenic emissions of Rest of World	T_xROW	With respect to xROW

871



872 Table 3. Comparison of simulated and observed springtime MDA8 ozone and CO at
 873 five regional background sites in China and six global background stations nearby
 874 China with hourly measurements.

Country	Site	Location	Year	MDA8 Ozone			CO		
				Obs (ppb)	Model (ppb)	NMB (%)	Obs (ppb)	Model (ppb)	NMB (%)
China	Gucheng	39.1° N, 115.7° E, 15m	2007	48.8	50.2	2.9			
	Longfengshan	44.7° N, 127.6° E, 331m	2007	50.6	52.9	4.5	290	251	-13.4
	Lin'an	30.2° N, 119.7° E, 132m	2008	65.1	68.9	5.8	628	418	-33.4
	Shangri-La	28.0° N, 99.4° E, 3580m	2008	61.4	68.7	11.9	181	139	-23.2
	Waliguan	36.3° N, 100.9° E, 3816m	2008	56.5	64.4	14.0			
Kyrgyzstan	Issyk-Kul	42.6° N, 77.0° E, 1640m	2008	52.8	59.0	11.7			
Nepal	Everest-Pyramid	28.0° N, 86.8° E, 5079m	2008	66.3	79.1	19.3			
Indonesia	Bukit Koto Tabang	0.2° S, 100.3° E, 865m	2008				141	146	3.5
Japan	Yonagunijima	24.5° N, 123.0° E, 30m	2008	54.8	56.4	2.9	208	157	-24.5
	Tsukuba	36.1° N, 140.1° E, 25m	2008	47.2	56.0	18.6			
	Ryori	39.0° N, 141.8° E, 260m	2008	54.6	54.7	0.2	211	203	-3.8

875


 876 Table 4. Comparison of simulated springtime monthly mean ozone with observations
 877 from EANET and literature.

Country	Site	Year	Location	Characteristics	Obs (ppb)	Model (ppb)	NMB (%)
Japan (EANET)	Rishiri	2008	45.5° N, 141.2° E, 40m	Remote	55.0	46.0	-16.5
	Ochiishi	2008	43.1° N, 145.5° E, 49m	Remote	48.4	46.7	-3.6
	Tappi	2008	41.3° N, 140.4° E, 105m	Remote	66.2	48.8	-26.2
	Sado-seki	2008	38.2° N, 138.4° E, 136m	Remote	61.3	53.3	-13.0
	Happo	2008	36.7° N, 137.8° E, 1850m	Remote	62.0	53.8	-13.2
	Ijira	2008	35.6° N, 136.7° E, 140m	Rural	30.7	47.8	55.7
	Oki	2008	36.3° N, 133.2° E, 90m	Remote	58.8	55.7	-5.3
	Banryu	2008	34.7° N, 131.8° E, 53m	Urban	48.5	52.1	7.5
	Yusuhara	2008	33.4° N, 132.9° E, 790m	Remote	53.7	53.1	-1.1
Hedo	2008	26.9° N, 128.3° E, 60m	Remote	53.6	54.2	1.1	
Ogasawara	2008	27.1° N, 142.2° E, 230m	Remote	37.9	41.1	8.3	
Republic of Korea (EANET)	Kanghwa	2008	37.7° N, 126.3° E, 150m	Rural	52.3	47.4	-9.4
	Cheju	2008	33.3° N, 126.2° E, 72m	Remote	56.3	57.7	2.5
	Imnil	2008	35.6° N, 127.2° E	Rural	30.3	48.2	58.8
Russia (EANET)	Mondy	2008	51.7° N, 101.0° E, 2000m	Remote	43.0	49.2	14.4
China (literature)	Miyun	2006	40.5° N, 116.8° E, 152m	Rural	48.7	35.3	-27.4
	Mt. Tai	2004-2005	24.25° N, 117.10° E, 1533m	Rural	57.0	54.8	-3.9
	Mt. Hua	2004-2005	34.49° N, 110.09° E, 2064m	Rural	50.0	51.8	3.5
	Mt. Huang	2004-2005	30.13° N, 118.15° E, 1836m	Rural	59.3	54.0	-9.0
	Hong Kong	1994-2007	22.2° N, 114.2° E, 60m	Rural	36.0	53.4	48.2
	Nanjing	2000-2002	32.1° N, 118.7° E	Urban	27.0	31.3	16.0

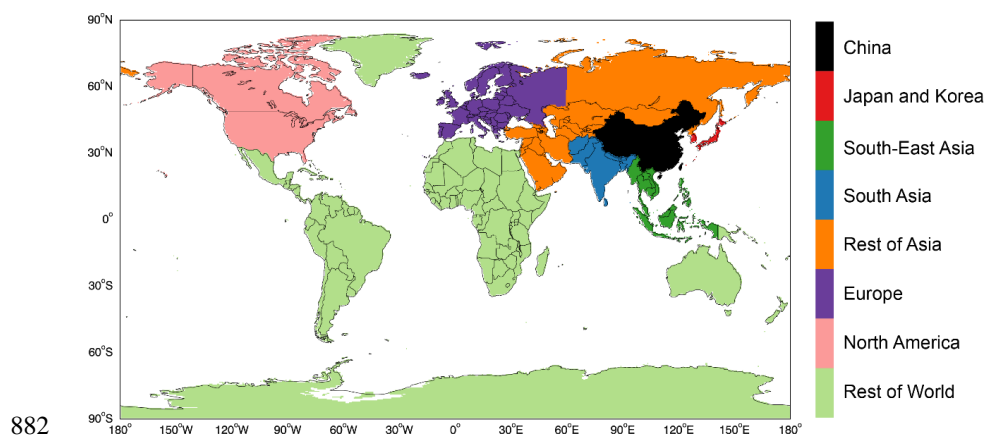
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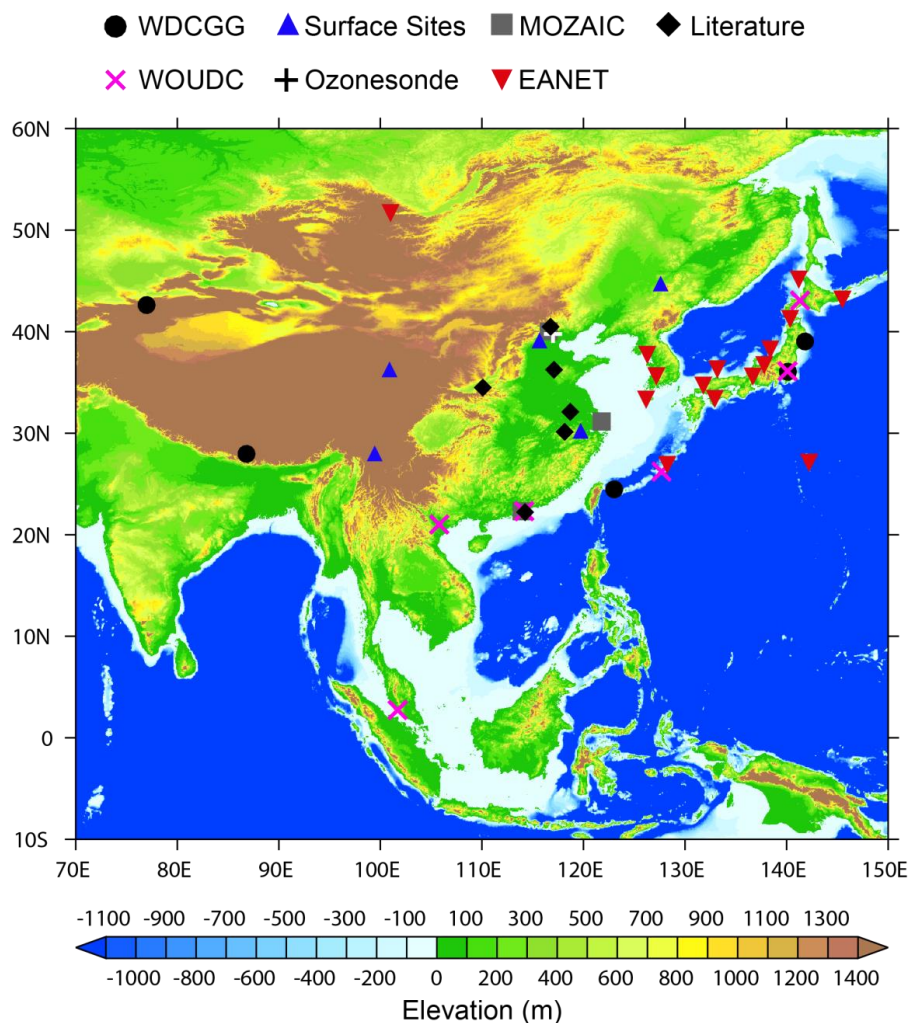


879 Table 5. Springtime anthropogenic emissions of NO_x, CO and NMVOC of each
880 region defined in Fig. 1.

	China	Japan and Korea	South- East Asia	South Asia	Rest of Asia	Europe	North America	Rest of world
NO _x (TgN)	2.0	0.3	0.4	0.4	0.7	1.2	1.3	1.0
CO (Tg)	42.3	16.7	10.9	16.7	10.0	12.5	17.7	25.5
NMVOC	2.9	0.2	1.3	1.3	1.1	1.1	2.1	1.9

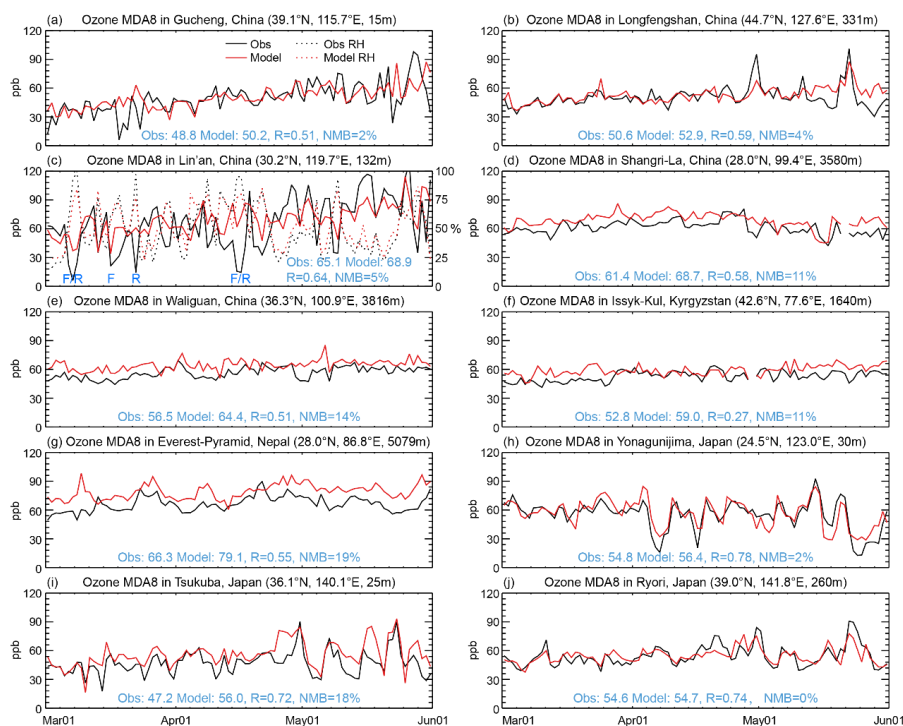
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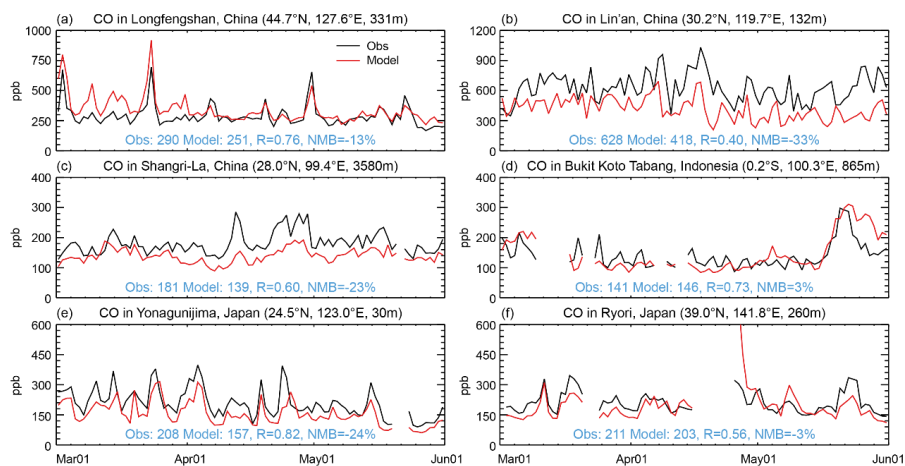
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885 Figure 2. Observation sites overlaying upon the surface elevation map from the 2 min
886 Gridded Global Relief Data (ETOPO2v2) available at NGDC Marine Trackline
887 Geophysical database (<http://www.ngdc.noaa.gov/mgg/global/etopo2.html>).



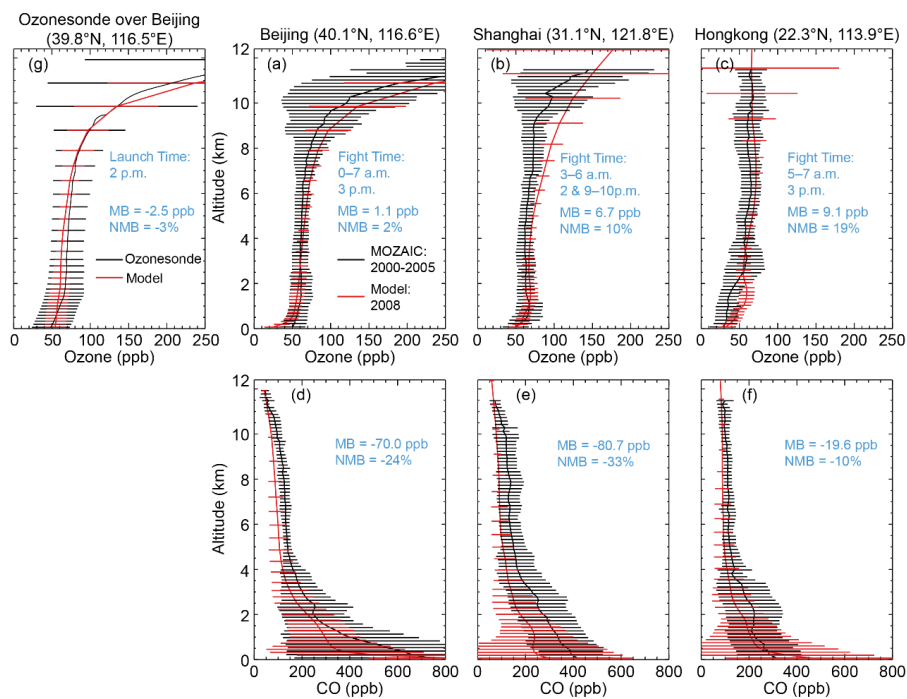
888

889 Figure 3. Time series of springtime MDA8 ozone at surface sites over (a–e) China and
890 (f–j) nearby countries. Due to lack of measurement data in 2008, comparisons at
891 Gucheng and Longfengshan are based in 2007. In (c), observed and modeled RH are
892 also compared; and the “F” and “R” symbols denote observed frog or rain,
893 respectively.



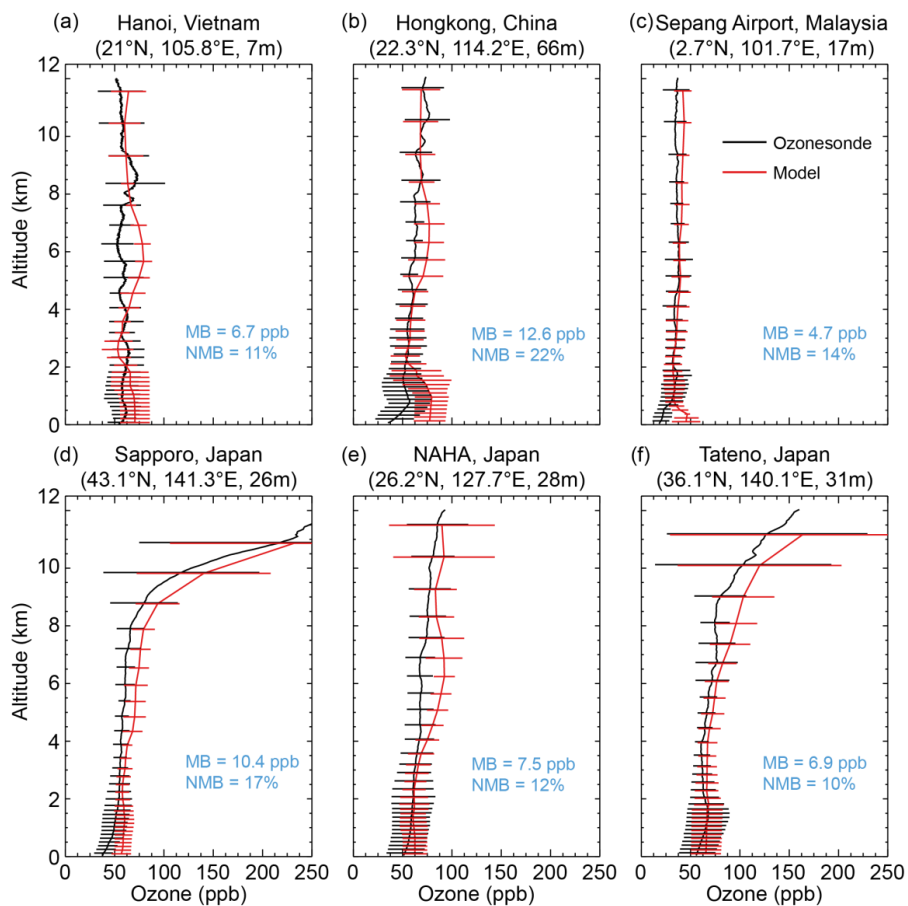
894

895 Figure 4. Time series of daily mean CO at six surface sites over (a–c) China and (d–f)
896 nearby countries.



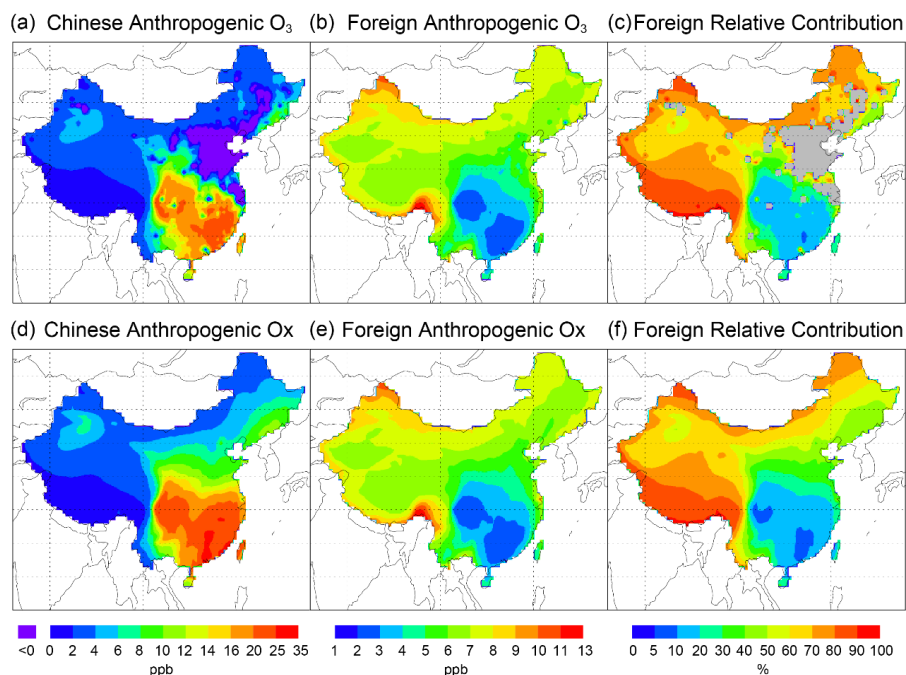
897

898 Figure 5. Model and MOZAIC vertical profiles of (a–c) ozone and (d–f) CO over
899 airports of Beijing, Shanghai and Hong Kong, averaged over multiple profiles. (g)
900 Model and GPSO3 ozonesonde data over Beijing in spring 2008. Horizontal bars
901 indicate 1 standard deviation across multiple profiles. Mean bias (MB), normalized
902 mean bias (NMB), main flight times (local time) at each MOZAIC site and GPSO3
903 ozonesonde launch time (local time) are also shown.



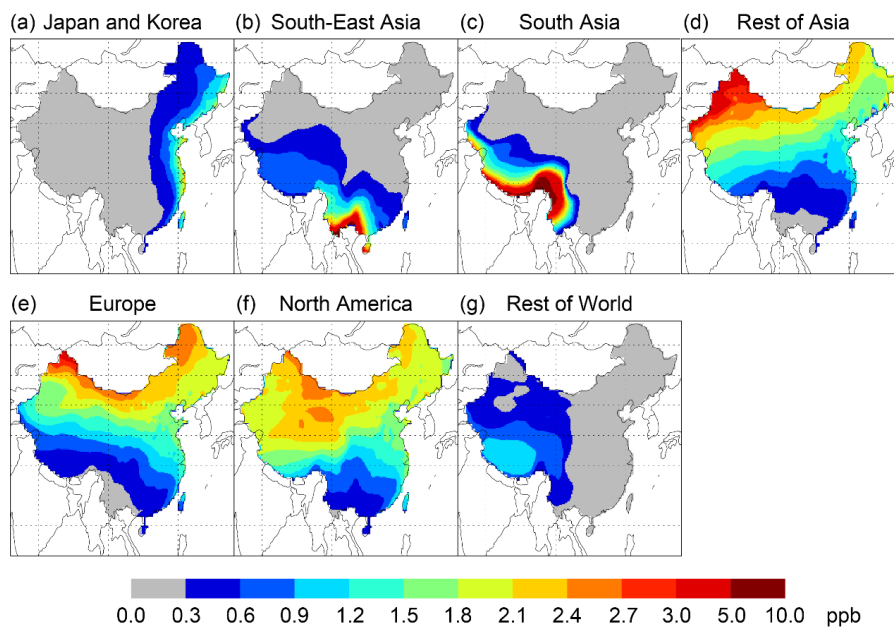
904

905 Figure 6. Model and WOUDC ozone profiles at six sites, averaged over multiple
 906 profiles. Horizontal lines indicate 1 standard deviation across multiple profiles. Mean
 907 bias (MB) and normalized mean bias (NMB) are shown in blue.



911

912 Figure 8. Spatial distribution of springtime daily mean surface ozone over China
 913 contributed by (a) domestic and (b) foreign anthropogenic emissions. (c) Percentage
 914 contribution of foreign anthropogenic emissions to total anthropogenic ozone; areas
 915 with negative Chinese contributions (due to NO_x titration) are marked in grey. (d–f)
 916 Similar to (a–c) but for Ox (= O₃ + NO₂).

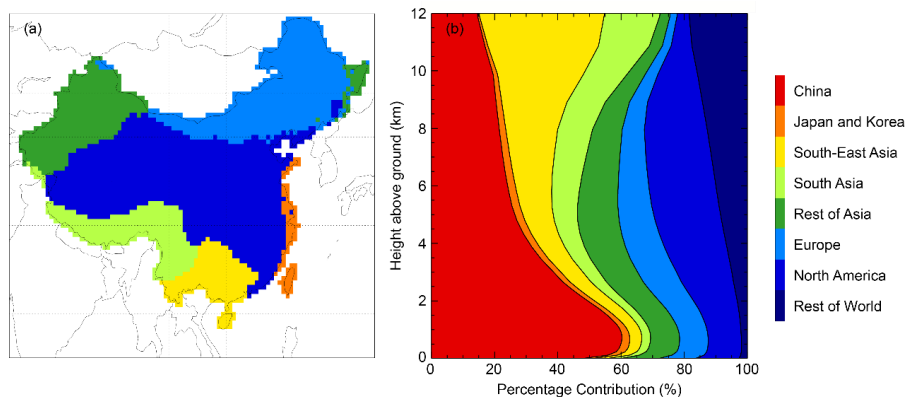


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918 Figure 9. Spatial distribution of springtime daily mean surface ozone over China
919 contributed by anthropogenic emissions of individual regions.



920

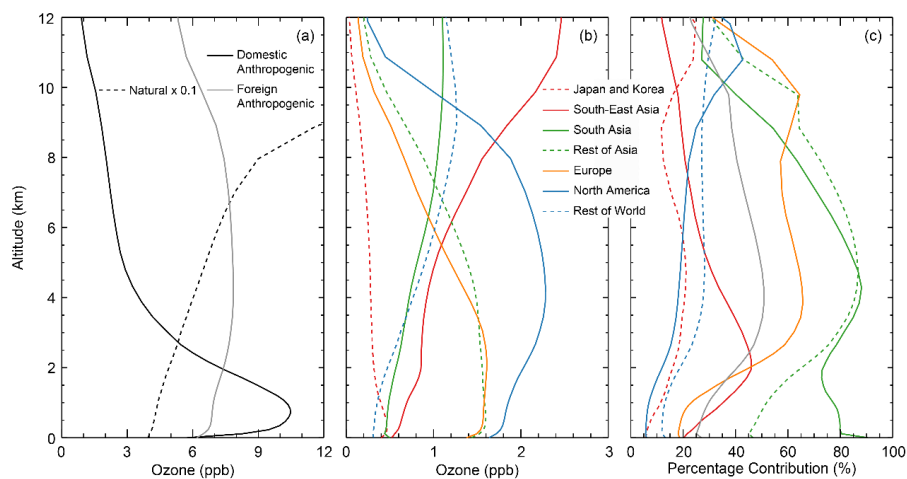


921

922 Figure 10. (a) Indication of the largest foreign anthropogenic contributor to surface

923 ozone at individual locations of China. (b) Vertical distribution of percentage

924 contribution of each region to total anthropogenic ozone over China.



925

926 Figure 11. (a) Vertical distribution of China average daily mean ozone contributed by
 927 domestic anthropogenic emissions, foreign anthropogenic emissions, and natural
 928 sources (scaled by 0.1). (b) Contribution by anthropogenic emissions of each foreign
 929 source region. (c) The portion of transboundary ozone over China produced within
 930 each foreign source region's territory.