



## Ice cloud microphysical trends observed by the Atmospheric Infrared Sounder

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**Abstract.** We use the AIRS version 6 ice cloud property and thermodynamic phase retrievals to quantify variability and 14-year trends in ice cloud frequency, ice cloud top temperature ( $T_{ci}$ ), ice optical thickness ( $\tau_i$ ) and ice effective radius ( $r_{ei}$ ). The trends in ice cloud properties are shown to be independent of trends in information content and  $\chi^2$ . Statistically significant  
15 decreases in ice frequency,  $\tau_i$ , and ice water path (IWP) are found in the SH and NH extratropics, but trends are much smaller and statistically insignificant in the tropics. However, statistically significant increases in  $r_{ei}$  are found in all three latitude bands. Perturbation experiments consistent with estimates of AIRS radiometric stability fall significantly short of explaining the observed trends in ice properties, averaging kernels, and  $\chi^2$  trends. Values of  $r_{ei}$  are larger at the tops of opaque clouds and exhibit strong dependence on surface wind speed, column water vapour (CWV) and surface temperature  
20 ( $T_{sfc}$ ) with changes up to 10–12  $\mu\text{m}$ . Transparent clouds exhibit a much smaller change in  $r_{ei}$  for CWV, while none is observed for  $T_{sfc}$ . Comparisons between DARDAR and AIRS suggest that  $r_{ei}$  is smallest for single-layer cirrus, larger for cirrus above weak convection, and largest for cirrus above strong convection at the same cloud top temperature. This behaviour is consistent with enhanced particle growth from radiative cooling above convection or large particle lofting from strong convection.

### 25 1 Introduction

While our understanding of ice cloud microphysics has greatly advanced from targeted in situ campaigns during the past several decades (Baumgardner et al., 2017), global distributions obtained from satellite observing systems remain highly uncertain (e.g., Stubenrauch et al., 2013). Climate GCM simulations of the radiative response of high clouds have a large inter-model spread (e.g., Zelinka et al., 2013). Uncertainty in the ice hydrometeor fall speed is cited as a leading contributor  
30 despite dozens of modelling parameters contributing to this spread (e.g., Sanderson et al., 2008). The ice particle size



distribution (PSD) is closely correlated to the magnitude of fall speed (Heymsfield et al., 2013; Mitchell et al., 2008). The PSD is frequently summarized as an ice effective radius ( $r_{ei}$ ) (McFarquhar and Heymsfield, 1998) in most publicly available satellite remote sensing data sets.

Global decadal-scale satellite-based estimates of  $r_{ei}$  are available from two algorithm versions of the Moderate Resolution  
5 Imaging Spectroradiometer (MODIS) (Platnick et al., 2017; Minnis et al., 2011) and the Atmospheric Infrared Sounder (AIRS) (Kahn et al., 2014). Another estimate of  $r_{ei}$  is derived from a combination of the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) and the Imaging Infrared Radiometer (IIR) instruments (Garnier et al., 2013) on the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) satellite (Winker et al., 2010). Additional active-passive algorithms are also available. Deng et al. (2013) describe comparisons of  $r_{ei}$  between the CloudSat level-2C  
10 ice cloud property product (2C-ICE), radar-lidar profiles of  $r_{ei}$  (DARDAR; Delanoë and Hogan, 2010), and the CloudSat level-2B radar-visible optical depth cloud water content product (2B-CWC-RVOD).

Satellite retrievals of  $r_{ei}$  have known sources of uncertainty that are traced to the use of 1-D radiative transfer theory (e.g., Fauchez et al., 2015), errors that arise from a lack of precision, accuracy, or incomplete sampling in the atmospheric and surface state (e.g., Kahn et al., 2015), variability in mixtures of ice crystal habits and PSDs within a single satellite pixel  
15 (e.g., Kahn et al. 2008; Posselt et al., 2008), systematic uncertainties and approximations taken in the forward model (e.g., Wang et al., 2016; Irion et al., 2017), and instrument calibration drift (e.g., Pagano et al., 2012; Yue et al., 2017a; Manning and Aumann, 2017). The vertical heterogeneity of ice water content (IWC) and  $r_{ei}$  are known to cause differences in  $r_{ei}$  derived from shortwave/near-infrared (SWIR) and mid-infrared (MIR) bands for identical clouds and observing geometry (Zhang et al., 2010). Kahn et al. (2015) compared pixel-scale retrievals between MODIS Collection 6 (C6; Platnick et al.,  
20 2017) and AIRS Version 6 (V6; Kahn et al., 2014) and confirmed, for a small subset of homogeneous clouds, that  $r_{ei}$  is typically 5–10  $\mu\text{m}$  larger when derived from MODIS SWIR bands compared to AIRS MIR bands.

Secular trends in water path, cloud amount, and cloud height (e.g., Wylie et al., 2005; Dim et al., 2011; Bender et al., 2012; Marvel et al., 2015; Norris et al., 2016; Manaster et al., 2017) suggest that climate change signals may be observable within the satellite era. There is a notable absence of published studies regarding trends in ice microphysics. Sherwood (2002)  
25 inferred regional decreases of  $r_{ei}$  by  $\sim 0.5 \mu\text{m}$  per decade using offline calculations of Advanced Very High Resolution Radiometer (AVHRR) radiances and argued for the importance of aerosols on cloud microphysics. Chen et al. (2016) describe experiments with the Nonhydrostatic ICosahedral Atmospheric Model (NICAM) that suggest an increase of  $r_{ei}$  in a warming climate that may be related to a weaker tropical circulation, and therefore implies changes in the dominant pathways of ice nucleation, growth, and precipitation processes. The rapid intensification of Earth's hydrological cycle is  
30 consistent with increased convective aggregation (Mauritsen and Stevens, 2015), the narrowing and intensification of the ITCZ (Su et al., 2017), and a lower end estimate of climate sensitivity; the high cloud response is key to reconcile a strong hydrological response and lower end climate sensitivity (e.g., Lindzen et al., 2001; Mauritsen and Stevens, 2015). The areal extent of cloud anvils are correlated to upper tropospheric lapse rate (Bony et al., 2016) and additionally ice hydrometeor fall speed, with larger (smaller) anvils for smaller (larger)  $r_{ei}$  (Satoh and Matsuda, 2009). The radiometrically stable AIRS



instrument (Pagano et al., 2012) may provide constraints on ice cloud microphysical properties that are highly desired (Kärcher, 2017).

While not the focus of this investigation, aerosols modulate ice clouds through numerous indirect and direct pathways (Liu et al., 2007; Gettelman et al., 2010; Kärcher, 2017). Variable magnitudes of  $r_{ei}$  at convective tops result from variations in cloud condensation nuclei (CCN) or ice nuclei (IN); these responses are highly nonlinear because of complex liquid and ice phase microphysical processes as a function of updraft velocity, concentration and composition of CCN and IN (Phillips et al., 2007; Van Weverberg et al., 2013). Morrison and Grabowski (2011) used a 2-D cloud resolving model (CRM) to show that convection may subtly weaken yet cloud top height and anvil IWC may increase in polluted compared to clean conditions, resulting in a reduction of  $r_{ei}$  by up to a factor of two. Simultaneous observations of  $r_{ei}$  from MODIS and IWC from the Microwave Limb Sounder (MLS) suggest that more intense convection (defined by positive anomalies of IWC) increases  $r_{ei}$  while polluted air (defined by positive anomalies of aerosol  $\tau$ ) decreases  $r_{ei}$  (Jiang et al., 2011). Whether convection is dynamically invigorated by increased aerosol concentrations, however, is highly debatable (Rosenfeld et al., 2008; Fan et al., 2013; Grabowski, 2015). Improving our understanding of satellite-based ice cloud microphysics will lay the groundwork for future observational constraints of aerosol-ice microphysics interactions.

Stanford et al. (2017) show that the covariability of cloud temperature, vertical velocity, and  $r_{ei}$  observations within tropical mesoscale convective systems (MCSs) reveal very complex behaviour taken during the High Altitude Ice Crystals-High Ice Water Content (HAIC-HIWC) field campaign near Australia. HAIC-HIWC observations and simulations with the Weather Research and Forecasting (WRF) model using multiple microphysical parameterizations are compared. The observations show that larger (smaller)  $r_{ei}$  are found in weaker (stronger) updrafts of MCSs, while the reverse is generally true for IWC; however the opposite behaviour was found for observations taken within a tropical cyclone (Leroy et al., 2017). The covariability of  $r_{ei}$  with cloud temperature and vertical velocity among the WRF experiments strongly disagree in sign and magnitude compared to HAIC-HIWC observations.

The aforementioned observational, theoretical, and numerical modelling studies motivate the development of additional constraints on  $r_{ei}$  and its covariability with other ice cloud, thermodynamic, and dynamic fields. This investigation is a first attempt to quantify secular changes in  $r_{ei}$  from AIRS over its decade and a half observational record with well-characterized radiometric stability (Pagano et al., 2012). We attempt to identify potential algorithmic, information content, calibration, and sampling characteristics that induce nonphysical trends. Collocated AIRS and AMSR data are used to glean insight among the connections between  $r_{ei}$  and tropical convection/precipitation processes. Lastly, DARDAR data is used to investigate increases in  $r_{ei}$  that occur near the tops of deep convection.



## 2 Data

### 2.1 Atmospheric Infrared Sounder (AIRS)

The AIRS V6 cloud properties are used from 01 September 2002 until 31 August 2016 (Kahn et al., 2014; K14 hereafter). As this investigation addresses ice microphysics, we focus on the 26.5% of AIRS pixels containing ice thermodynamic phase, and the ice cloud top temperature ( $T_{ci}$ ), ice optical thickness ( $\tau_i$ ) and  $r_{ei}$  parameters (K14). The retrieval of cloud thermodynamic phase is described in K14 and is validated against CALIOP phase estimates in Jin and Nasiri (2014). A set of four brightness temperature ( $T_b$ ) thresholds and  $T_b$  differences ( $\Delta T_b$ ) in the 8–12  $\mu\text{m}$  atmospheric window region identify ice phase by leveraging the spectral dependence of the refractive index of ice, and is identified in excess of 90% of the time using CALIOP as truth. Ice clouds of convective origin typically have larger  $\tau_i$  (Krämer et al., 2016) and trigger more of the ice phase tests, while tenuous ice clouds have smaller  $\tau_i$  and trigger fewer phase tests.

For each AIRS footprint identified as containing ice, the three-parameter optimal estimation (OE) ice cloud property retrieval is performed (K14) and minimizes the following cost function:

$$C = \|\mathbf{y} - \mathbf{F}(\mathbf{x}, \mathbf{b})\|_{\mathbf{S}_\epsilon}^2 + \|\mathbf{x} - \mathbf{x}_a\|_{\mathbf{S}_a}^2, \quad (1)$$

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where  $\mathbf{F}(\mathbf{x})$  is the radiance vector that is forward modelled,  $\mathbf{x}$  is the state vector of retrieved parameters,  $\mathbf{b}$  is the vector containing fixed state parameters,  $\mathbf{y}$  is the vector of AIRS radiances (K14),  $\mathbf{x}_a$  is the prior guess of  $\mathbf{x}$ ,  $\mathbf{S}_a^{-1}$  is the inverse of the a priori covariance, and  $\mathbf{S}_\epsilon^{-1}$  is the inverse of the noise covariance of AIRS radiances. The retrieval state vector  $\mathbf{x}$  is restricted to  $\tau_i$  (ice\_cld\_opt\_depth in L2 Support file; defined at 0.55  $\mu\text{m}$ ),  $r_{ei}$  (ice\_cld\_eff\_diam in L2 Support file;  $D_e$  is converted to  $r_{ei}$  henceforth), and  $T_{ci}$  (ice\_cld\_temp\_eff in L2 Support file). The bulk ice scattering models, and the definition of ice  $D_e$ , follows from Baum et al. (2007, cf. Eqn. 4). The surface properties (temperature and emissivity) and atmospheric profiles (temperature and specific humidity) in  $\mathbf{b}$ , and  $\mathbf{x}_a$  (e.g., upper level cloud top temperature for  $T_{ci}$ ) are taken from the AIRS cloud-clearing product (L2 Standard file AIRX2RET for IR/MW and AIRS2RET for IR only). The averaging kernel matrix  $\mathbf{A}$  quantifies the sensitivity of the retrieval with respect to changes in the true state:

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$$\mathbf{A} = \mathbf{K}^T \mathbf{S}_\epsilon^{-1} \mathbf{K} + \mathbf{S}_a^{-1} \quad (2)$$

Scalar averaging kernels (AKs; ice\_cld\_opt\_depth\_ave\_kern, ice\_cld\_eff\_diam\_ave\_kern, and ice\_cld\_temp\_eff\_ave\_kern in L2 Support file) are reported for each of the three state vector retrieval parameters as off-diagonal terms of  $\mathbf{A}$  in Eqn. (2) are not considered in K14. The scalar AKs quantify the information content of the retrieval with respect to  $\mathbf{x}$ . The normalized  $\chi^2$  (ice\_cld\_fit\_reduced\_chisq in L2 Support file) is defined as:



$$\chi^2 = \frac{1}{N} \sum_{i=1}^N \left( \frac{y_i - [F(x)]_i}{\varepsilon_i} \right)^2, \quad (3)$$

where  $\varepsilon_i$  is the radiance error in channel  $i$  and  $N=59$ . The  $\chi^2$  from Eqn. (3) is calculated for 59 observed and simulated 8-14  $\mu\text{m}$  channels (K14) and is used to determine the robustness of the radiance fits.

- 5 We have also tested the fidelity of  $r_{ei}$  within 6 K of the cold point tropopause. As AIRS observations are derived from IR thermal emission spectra, biases may arise in proximity to the tropopause. Any uncertainty in  $T_{ci}$ , the height and magnitude of the cold point tropopause, or temperature lapse rate may lead to increased uncertainty in  $r_{ei}$  as small spectral changes translate to large geophysical retrieval changes. Therefore, “filtered” sets of AIRS retrievals that remove ice clouds within 6 K of the cold point tropopause are shown throughout the paper, then are compared with “unfiltered” data when contrasted to
- 10 DARDAR retrievals (see Section 6).

- The average 14-year climatology of  $r_{ei}$ ,  $\tau_i$ ,  $T_{ci}$ , and ice cloud frequency based on the IR only retrieval (AIRS2RET) is shown in Fig. 1. Retrievals with quality control (QC) flags QC=0 and QC=1 (K14; ice\_cld\_opt\_dpth\_QC, ice\_cld\_eff\_diam\_QC, and ice\_cld\_temp\_eff\_QC in L2 Support file) are included and the  $\chi^2$  is filtered using the QC flag specific to  $r_{ei}$ . The climatology is restricted to ice free ocean between 54°S–54°N as influences of surface heterogeneity including mountainous
- 15 terrain, low emissivity such as bare mineral soils, and nocturnal and high latitude wintertime inversions on the ice parameter retrieval are not fully understood and warrant further investigation. A strict ad hoc QC may filter questionable data but the resulting data sample may skew the geophysical signals toward opaque clouds at the expense of transparent clouds that are more likely to be filtered out. The AIRS sensitivity is maximized for optically thinner cirrus with  $\tau \leq 5$  (e.g., Huang et al., 2004), while MODIS sensitivity is maximized for optically thicker cirrus (e.g., Kahn et al., 2015; Chang et al., 2017).
- 20 The well-documented spatial distributions of ice clouds with maxima in the tropics and extratropical storm tracks and minima in the subtropical gyres are shown in Fig. 1. Higher magnitudes of  $r_{ei}$  are observed along the ITCZ where deep convection is more dominant, while a reduction is observed in the western Pacific Warm Pool region where transparent cirrus is more dominant. These patterns are consistent with previous observational and modelling studies. Yuan and Li (2010) found that MODIS  $r_{ei}$  is a few  $\mu\text{m}$  larger in tropical deep convection when compared to the extratropics for the same
- 25 cloud top temperatures and  $T_{ps}$ . Barahona et al. (2014) show an increase in  $r_{ei}$  of a few  $\mu\text{m}$  in the Goddard Earth Observing System Model (GEOS-5) with improved comparisons against MODIS. Eidhammer et al. (2017) describe simulations using the Community Atmosphere Model (CAM5) for a consistent single ice species across cloud and precipitating ice hydrometeors without thresholds for autoconversion. A subtle but distinct double ITCZ is observed in the zonal averages, not unlike AIRS  $r_{ei}$  and  $\tau_i$  in Fig. 1.
- 30 The loss of AMSU-A2 on 24 September 2016 led to the termination of the operational AIRS/AMSU combined infrared and microwave (AIRX2RET/AIRX2SUP) cloud clearing retrieval (Yue et al., 2017b). Since that time, the AIRS infrared only (AIRS2RET/AIRS2SUP) cloud clearing retrieval is the current operational version. The impacts of the loss of AMSU on retrieval parameters are assessed in great detail in Yue et al. (2017b). While many subtle changes were documented for



vertical profiles of temperature and specific humidity, differences were more substantive for particular cloud properties. Further discussion regarding differences in Fig. 1 for AIRS2RET and AIRX2RET is found in the Appendix and shown in Fig. A1.

Figure 2 details AKs and  $\chi^2$  using identical QC as Fig. 1. Typically the AKs are much lower and the  $\chi^2$  fits much larger for QC=2 (not shown) and indicate lower information content and much poorer radiance fits. The AK and  $\chi^2$  patterns are spatially coherent and exhibit small variations between tropical convection, thin cirrus, and both extratropical storm tracks. The AKs have maxima on the equatorial side of the storm tracks with a poleward reduction and additional minima within the tropics. Multi-layer clouds are more frequent in the tropics and extratropical storm tracks (Chang and Li, 2005; Mace et al., 2009) and a reduction of the  $T_{ei}$  AKs in these areas is consistent with the single cloud layer assumption in the forward model (Kahn et al., 2015). However, the  $r_{ei}$  AK distributions strongly suggest that the single layer assumption is providing very high information content of ice cloud properties. Interestingly, the spatial patterns of  $r_{ei}$  AKs are correlated to  $\tau_i$  (compare Figs. 1 and 2) in the low latitudes, where large values of  $\tau_i$  align with slightly reduced  $r_{ei}$  AK. An area of reduction in the  $r_{ei}$  AK in the subtropical north Atlantic corresponds to the Saharan air layer. Generally  $\chi^2$  is about 3-4 between  $\pm 30^\circ$  latitude with reductions in the ITCZ and extratropics. The spatial pattern of  $\chi^2$  does not closely track any of the AK patterns; thus for QC=[0,1] retrievals, spatial variations in information content do not correlate to the quality of the spectral fit. Differences between the IR/MW and IR only retrievals are generally minor but not negligible and are consistent with the loss of MW information. Further discussion regarding differences in Fig. 2 for AIRS2RET and AIRX2RET is found in the Appendix and depicted in Fig. A2.

## 2.2 AMSR-E/AMSR-2

Coincident satellite observations in the A-train are potentially valuable for gaining physical insight about the AIRS ice cloud properties. The Advanced Microwave Sounding Unit–Earth Observing System (AMSR-E) on Aqua and AMSR-2 instrument on GCOM-W1 are used to determine several atmospheric and surface properties that coincide with AIRS. The AMSR-E instrument was operational from 4 May 2002 until 4 October 2011, while AMSR-2 is currently operational since 18 May 2012. We focus on the summer months July and August from 2003–2016 in this investigation. The total column water vapour (CWV) cloud liquid water path (CWP), rain rate (RR) (Hilburn and Wentz, 2008), sea surface temperature ( $T_{sfc}$ ) (Gentemann et al., 2010), and direction-independent near surface wind speed ( $u$ ) are obtained from AMSR-E (Wentz et al., 2014a) and AMSR-2 (Wentz et al., 2014b) using Version 7 data.

## 2.3 DARDAR

We use the CloudSat, CALIPSO, and MODIS radar/liDAR (DARDAR) combined ice cloud property retrieval developed by Delanoë and Hogan (2008; 2010) to investigate  $r_{ei}$  in a variety of ice clouds. This algorithm is based on an OE algorithm that includes CALIOP's lidar backscatter and CloudSat's radar reflectivity to retrieve vertical profiles of IWC,  $r_{ei}$ , and other



cloud variables. The ice cloud property retrievals are available at CloudSat's 1.4 km horizontal resolution and CALIPSO's 60 m vertical resolution and are matched to the nearest AIRS pixel. As the radar and lidar have different sensitivities to a range of cloud characteristics, the retrieval is functional when one of the instruments is unable to detect clouds either because of small ice particles or strong attenuation. Deng et al. (2013) showed that there is very good agreement in  $r_{ei}$  between DARDAR, 2C-ICE, and in situ obtained observations from the Small Particles in Ice (SPARTICUS) field campaign; therefore only DARDAR data is used in this study.

DARDAR contains two products: DARDAR-MASK (Delanoë and Hogan, 2010; Ceccaldi et al., 2013) and DARDAR-CLOUD (Delanoë and Hogan, 2008, 2010), which are both available through the ICARE Thematic Center (<http://www.icare.univ-lille1.fr/drupal/archive/>). DARDAR-MASK provides the vertical cloud classification and a range of additional categorizations (e.g., clear, aerosols, rain, supercooled and warm liquid, mixed phase, ice). DARDAR-CLOUD provides ice cloud properties such as extinction,  $r_{ei}$ , and IWC by a variational radar-lidar ice-cloud retrieval algorithm called VarCloud (Delanoë and Hogan, 2008). In this product, normalized ice particle size distributions are used and non-spherical particles leverage in situ measurements (Delanoë et al., 2014).

### 3 Methodology

#### 3.1 Pixel matching

A pixel scale nearest neighbour matching approach (Fetzer et al., 2013) is applied to AIRS/AMSU, AMSR-E and AMSR-2 from 01 September 2002 until 31 August 2016. By retaining the native spatial and temporal covariances in the matched data, smaller scale and faster temporal processes are captured that are otherwise lost to spatial gridding and temporal averaging (e.g., Kahn et al., 2017). The same methodology is applied between AIRS/AMSU and DARDAR from 01 July 2006 until 31 December 2008 within the subset of AIRS/AMSU pixels along the CloudSat-CALIPSO ground track.

#### 3.2 Secular trends

Trends and their statistical significance are calculated following Santer et al. (2000) using a two-sided t-test and confidence intervals at the 95% significance level. The 95% confidence intervals are also calculated for a lag-1 autocorrelation in order to assess the sensitivity to highly correlated time series (Cressie, 1980; Santer et al., 2000). Trends are calculated for two different spatial averages. First, global monthly anomalies at  $1^\circ \times 1^\circ$  resolution over the 14-year observing period are calculated then trends are reported at the same spatial resolution. Second, monthly anomalies averaged between  $54^\circ\text{S}$ – $18^\circ\text{S}$ ,  $18^\circ\text{S}$ – $18^\circ\text{N}$ , and  $18^\circ\text{S}$ – $54^\circ\text{N}$  are calculated then trends with 95% confidence intervals with and without lag-1 autocorrelation are determined and displayed as box and whisker diagrams. Three sets of AIRS properties are described: combined IR/MW, IR only, and IR only for the 1/3 of pixels in the swath nearest to nadir view. The purpose of contrasting results between IR



only and combined IR/MW is to highlight differences between the two algorithms with further detail found in the Appendix. The purpose of showing near nadir IR only is to demonstrate the overall lack of sensitivity in the results to scan angle.

### 3.3 Joint histograms

Instantaneous pixel matches of AMSR-E and AMSR-2 variables versus AIRS ice cloud properties are used to construct joint histograms following the approach described in Kahn et al. (2017). The joint histograms contain the natural log of counts with  $r_{ei}$  contours superimposed. The intent of these diagrams is to reveal the physical response of cloud top  $r_{ei}$  to precipitating and non-precipitating cloud types and meteorological variability. The emphasis is on  $r_{ei}$  versus  $T_{ei}$  to facilitate comparisons with previous works that describe temperature dependence of  $r_{ei}$ .

### 3.4 Comparing DARDAR and AIRS $r_{ei}$

The retrievals of  $r_{ei}$  from AIRS and DARDAR are compared in single-layer cirrus and convective clouds over ocean. We use the CloudSat reflectivity, DARDAR-MASK, and DARDAR-CLOUD products to define cirrus only (Ci), cirrus above weak convection (Weak Conv), and cirrus above strong convection (Strong Conv). We begin with identifying the number of cloud layers in each profile and the cloud top height (CTH) and cloud bottom height (CBH) of each layer. Profiles with more than three layers of clouds are not included in the statistics. Cirrus is defined as  $CBH > 12\text{km}$  (e.g., Keckhut et al., 2006), and deep convection is defined as  $CTH > 10\text{km}$  and  $CBH < 2\text{km}$  (Takahashi and Luo, 2014). Among deep convective clouds, weak and strong convection are defined by the echo top height (ETH) of the 10 dBZ contour: the ETH of  $10\text{dBZ} > 10\text{km}$  (Luo et al., 2008; Takahashi and Luo, 2012, 2017) for strong deep convection, while the ETH at  $10\text{dBZ} < 5\text{km}$  for weak deep convection. For the statistics described later, the upper layer cirrus is chosen to have 1)  $CTH < \text{tropopause height}$ , 2) cloud base temperature  $< 200\text{K}$ , and cirrus geometrical thickness  $< 1\text{km}$ .

## 20 4 Results

### 4.1 Global trends

The 14-year temporal trends in the cloud properties depicted in Fig. 1 are shown in Fig. 3. The  $T_{ei}$  decreases about 1–2 K in most areas, but a few regions are found to increase. The trend in  $r_{ei}$  is increasing nearly everywhere, from a few tenths to 1–2  $\mu\text{m}$ , with the largest values in proximity to tropical convection. While the upward trend in  $r_{ei}$  is somewhat noisy at  $1^\circ \times 1^\circ$ , the increase is notably consistent across the global oceans. In contrast,  $\tau_i$  is decreasing in most regions except for convectively active regions in the ITCZ, parts of the tropical western Pacific Warm Pool, and southern Indian Ocean. The trend in ice frequency is similar to  $\tau_i$  but is spatially smoother and the magnitude is much larger in the tropics.

Trends in the AKs and  $\chi^2$  are shown in Fig. 4. Generally speaking, the trends in the retrieval parameters do not resemble the spatial patterns of trends in their respective AKs. The trends for  $r_{ei}$  AKs in Fig. 4 resemble  $\tau_i$  trends in Fig. 3. This shows that



the information content of  $r_{ei}$  changes with respect to trends in  $\tau_i$ . The trends for  $r_{ei}$  however do not resemble trends in either  $\tau_i$  or  $\tau_i$  AKs. We conclude that the upward trend in  $r_{ei}$  is independent of the gain or loss of information in  $r_{ei}$ . The trends in  $\chi^2$  are quite subtle and are smallest when the ice frequency is largest. There is an increased zonal symmetry in the  $T_{ci}$  AK trend than the  $T_{ci}$  trend in Fig. 3 and no obvious correspondence is apparent between the sign of the  $T_{ci}$  trend in Fig. 3 and the  $T_{ci}$  AK trend in Fig. 4. The  $\tau_i$  AK trend is generally upwards except in a few tropical locations including the Saharan air layer. The spatial patterns in  $\tau_i$  AK trends do not appear to be related to other fields. In conclusion, the observed trends in the ice cloud properties in Fig. 3 do not appear to be caused by the gain or loss of information content, or the fidelity of the radiance fitting in the retrieval.

#### 4.2 Latitude bands

The anomaly time series of  $r_{ei}$  for three latitude bands and the IR/MW, IR only, and IR nadir retrievals are shown in Fig. 5. While the anomaly time series for most of the  $1^\circ \times 1^\circ$  grid boxes are not statistically significant (not shown), a statistically significant signal is obtained for the broad oceanic latitude bands. The strong similarity in the anomaly time series for IR/MW, IR only, and IR nadir is apparent for  $r_{ei}$  and is also true for the other variables (not shown). Despite some of the regional spatial differences in IR/MW and IR only retrievals described in the Appendix, the algorithm differences are miniscule with regard to the anomaly time series.

Figure 6 summarizes the results for three latitudinal bands and IR/MW, IR only, and IR nadir for 95% confidence intervals with and without lag-1 autocorrelation. The extratropical SH and NH upward trends in  $r_{ei}$  (Fig. 6b) are steadier with time than the tropics, which appears initially flat but then jumps upwards since 2013 (Fig. 5); however all three regions and retrievals exhibit statistically significant trends (Fig. 6). The  $T_{ci}$  trends (Fig. 6a) show about 0.5–1.0 K of cooling for the three latitude bands and three data sets, with IR nadir exhibiting 95% confidence except for the lag-1 autocorrelation. The  $\tau_i$  trends (Fig. 6c) are downward by 0.025–0.075 (significant at 95% in the SH and NH, not so in the tropics). The AKs are slightly downwards for  $T_{ci}$  (Fig. 6d) and  $r_{ei}$  (Fig. 6e) and upwards for  $\tau_i$  (Fig. 6f); there is a mix of statistical significance that depends on the retrieval algorithm and latitude band. The  $\chi^2$  trends (Fig. 6h) are essentially not statistically significant except for IR nadir in the tropics. Ice frequency (Fig. 6g) decreases by about 1% with respect to all AIRS pixels in the SH and NH extratropics (significant at 95%) and much less so in the tropics (not significant at 95%). Lastly, the IWP (Fig. 6i) decreased about 0.4–0.8  $\text{g m}^{-2}$  in the SH (marginal significance at 95%) and NH (significant at 95%), and increased about 0.25  $\text{g m}^{-2}$  in the tropics (not significant at 95%). This latitudinal pattern is similar to the CMIP5 zonal average ensemble mean (Ceppi et al., 2016; cf. Fig. 1c) although the reduction of AIRS IWP appears larger in magnitude than CMIP5 IWP. The statistical significance of IWP is reduced, however, when compared to  $\tau_i$  because of the compensating increases in  $r_{ei}$  at all latitudes.



### 4.3 Potential impacts from radiometric drift

While the radiometric stability of AIRS is established to be approximately  $4 \pm 1 \text{ mK yr}^{-1}$  (Pagano et al., 2012), the impacts of radiometric drift on secular cloud property trends has not been assessed to date. Two different tests have been designed to estimate the effects on the cloud products: (1) add +50 mK to all 59 cloud retrieval channels in K14 after cloud clearing but before the ice cloud property retrieval, and (2) add +50 mK to cloud clearing channels and K14 channels but before cloud clearing is performed. Experiment (1) isolates radiometric drift on ice cloud properties only, while (2) accounts for impacts from the full physical retrieval. Manning and Aumann (2017) raise the possibility of channel-dependent drift that could cause a systematic change in slope in the 8–14  $\mu\text{m}$  region for  $T_b < 200 \text{ K}$ ; however these effects are subtle and are undetermined (but likely much smaller) for warmer brightness  $T_b$ s that dominate the vast majority of AIRS pixels. Further investigation into radiometric drift scenarios on the AIRS Level 2 retrieval system is warranted.

The results of the two experiments are summarized in Table 1 for one day of retrievals on 6 September 2002 for  $\pm 54^\circ$  latitude over the oceans and for  $QC=[0,1]$ . Both experiments indicate that differences with respect to the IR/MW retrieval are substantially smaller than the trends reported in this study. For the experiments before and after cloud clearing,  $\Delta r_{ei}$  is -0.13 and -0.1  $\mu\text{m}$ ,  $\Delta \tau_i$  is +0.01 and +0.005, and  $\Delta T_{ei}$  is +0.24 and +0.26 K, respectively. The AK trends for cloud variables for the perturbation experiments are much less than depicted in Fig. 6. In summary, the two simple radiance perturbation experiments fall well short of explaining the magnitude of the observed ice property trends, although some fractional contribution to observed trends cannot be ruled out.

### 5 Insight into convective processes with AMSR

Using ground-based retrievals at Darwin, Protat et al. (2011) found that  $r_{ei}$  is  $\sim 1\text{--}3 \mu\text{m}$  larger during active deep convection compared to suppressed conditions. Using a simultaneous retrieval of ice cloud properties from the MODIS and POLDER instruments, van Diedenhoven et al. (2014) found that  $r_{ei}$  is larger in stronger convective events compared to others at a given cloud top pressure. van Diedenhoven et al. (2016) used in situ aircraft observations to show that  $r_{ei}$  decreases with height, reaches a minimum around 14 km, and may subsequently increase at higher altitudes. Barahona et al. (2014) obtained an increase of a few  $\mu\text{m}$  for clouds colder than 195 K with GEOS-5 and Hong and Liu (2015) show similar results with DARDAR data for the thickest convective clouds above 10 km. Convective overshoots into the lower stratosphere are 0.3–4.0  $\mu\text{m}$  larger than non-overshooting deep convection observed with DARDAR data (Rysman et al., 2017). Lawson et al. (2010) use in situ aircraft probe data of ice particles in aged cirrus in proximity to convection to show that particle size is more weakly dependent on temperature below 215 K. These previous investigation help motivate the joint histograms that follow.



### 5.1 Differences in $r_{ei}$ between opaque and transparent clouds

We show separate vertical profiles of AIRS  $r_{ei}$  with  $T_{ci}$  for transparent and opaque clouds in Fig. 7. Effective cloud fraction (ECF) with a cut-off of 0.98 (Protopapadaki et al., 2017) is used to categorize the two types (transparent with  $ECF < 0.98$  and opaque with  $ECF \geq 0.98$ ). Approximately 9.4% of cloud tops are identified as opaque and 90.6% are transparent.

5 Opaque and transparent clouds are further subdivided into three categories using AMSR-E/AMSR-2 CWP and RR: no cloud or rain (CWP=0 and RR=0), cloud but no rain (CWP>0 and RR=0), and cloud with rain (CWP>0 and RR>0). Symbols show mean  $r_{ei}$  over 10 K bins derived from IWC and extinction observations for three tropical in situ field campaigns: the Cirrus Regional Study of Tropical Anvils and Cirrus Layers–Florida Measurements for Area Cirrus Experiment (CRYSTAL-FACE, diamonds), the Tropical Composition, Cloud, and Climate Coupling Experiment (TC4, triangles), and the NASA  
10 African Monsoon Multidisciplinary Analysis (NAMMA, squares) campaigns (e.g., Heymsfield et al., 2014).

A maximum  $r_{ei}$  occurs near 230 K and decreases at warmer and colder  $T_{ci}$  for transparent clouds in the tropics (Fig. 7). About 21.6% of cases are clear according to AMSR but cloudy according to AIRS. About 60.7% have CWP>0 and RR=0. Both of these categories have nearly identical  $T_{ci}$  dependence. The remaining 17.8% have CWP>0 and RR>0, and  $r_{ei}$  is 1.5–2.5  $\mu\text{m}$  larger than no rain, with the largest differences for  $T_{ci} < 210\text{K}$ . For opaque clouds in the tropics,  $r_{ei}$  is substantially  
15 larger for all  $T_{ci}$  than transparent clouds. There is more than double the relative frequency of occurrence with raining opaque clouds compared to raining transparent clouds. Except for CWP=0 and RR=0, a consistent increase in  $r_{ei}$  is found for increasing  $T_{ci}$ . A flattening in the reduction in  $r_{ei}$  for  $T_{ci} < 210\text{K}$  occurs and is similar to the results of van Diedenhoven et al. (2016). While this region is sensitive to the 6 K cut-off used to filter questionable retrievals near the tropopause, the profile in Fig. 7b is robust for  $\sim 4$  K and larger. While the in situ  $r_{ei}$  estimates are somewhat larger for  $T_{ci} > 220$  K, this is expected as  
20 these observations may be obtained well below cloud top in which the infrared signal is not sensitive. Furthermore, the 1-sigma variability of the in situ observations (not shown) has a magnitude that is approximately as large as the AIRS estimates of 1-sigma, providing significant overlap between satellite and in situ observations.

### 5.2 Dependence of $r_{ei}$ on near surface wind speed

Correlations between rain rate and near surface wind speed in passive MW observations have been previously shown to  
25 indicate a tropical precipitation-convergence feedback (Back and Bretherton, 2005). The AMSR low frequency (LF) wind is used to quantify the response of  $r_{ei}$  to variability in near surface wind speeds in the presence of convection. Figure 8 shows that opaque clouds exhibit strong dependence on wind speed; the weakest (strongest) winds are associated with the largest (smallest)  $r_{ei}$ . The reduction in  $r_{ei}$  is  $\sim 2$   $\mu\text{m}$  for  $T_{ci} < 210\text{K}$  but can be as large as 10–12  $\mu\text{m}$  for  $T_{ci} > 230$  K. The dependence for transparent clouds is of opposite sign and lower magnitude when compared to opaque clouds. Figures 8a,b are also  
30 calculated with NWP model winds and are nearly identical with  $< 1$   $\mu\text{m}$  difference (not shown). The consistency with NWP winds provided confidence in partitioning them into the individual u- and v-components and the u-component is shown in Fig. 8c,d. The largest values of  $r_{ei}$  are found for light easterly winds around  $5 \text{ m s}^{-1}$ , consistent with convectively active



regimes generating larger  $r_{ei}$  (e.g., Protat et al., 2011). Smaller values of  $r_{ei}$  are associated with westerly winds during suppressed convection. Changes in  $r_{ei}$  due to wind direction changes are largest for  $T_{ci}>230\text{K}$  and are lowest for the coldest convective cloud tops. The opposite is found for transparent clouds but for smaller values of  $1\text{--}4\ \mu\text{m}$  with a maximum near  $T_{ci}=230\ \text{K}$ . As discussed previously, the order of magnitude larger sample size for transparent clouds overwhelm those for  
5 opaque clouds; therefore dependence of  $r_{ei}$  on convection would be obscured if clouds were not partitioned by opacity.

### 5.3 Dependence of $r_{ei}$ on CWV and $T_{sfc}$

The  $T_{sfc}$  and CWV also correlate to  $r_{ei}$  (Fig. 9a,b). Opaque clouds exhibit a  $2\text{--}8\ \mu\text{m}$  jump as CWV increases from 45-65 mm, with the largest values for  $T_{ci}>230\text{K}$ ; a similar pattern is observed for  $T_{sfc}$  (Fig. 9c,d). While the results are similar for transparent clouds, the increase with CWV is muted to  $1\text{--}4\ \mu\text{m}$  and is shifted to  $\text{CWV}>60\ \text{mm}$ ;  $r_{ei}$  does not exhibit a  
10 relationship with  $T_{sfc}$  in transparent clouds. Overall the  $r_{ei}$  is lower in transparent clouds compared to opaque clouds for all combinations of  $T_{ci}$ , CWV, and  $T_{sfc}$ .

### 6 Comparisons of AIRS and DARDAR $r_{ei}$

In the previous section, we showed that AIRS observes larger  $r_{ei}$  at the tops of convection with respect to variations in surface wind speed,  $T_{sfc}$ , CWV and precipitation rate. In this section, the  $r_{ei}$  at the base of single-layer cirrus clouds, cirrus  
15 clouds above weak deep convection, and cirrus clouds above strong deep convection will be shown separately using the classification defined in Section 3.4. Stephens (1983) argued for a radiative mechanism that leads to  $r_{ei}$  growth in cirrus overlying lower-layer clouds from enhanced radiative cooling; particle growth (decay) occurs in a radiatively cooled (heated) environment. DARDAR data is used to test whether the mechanism of Stephens (1983) is operating in observations, and also to determine the behaviour of AIRS in the same clouds. As opacity increases, or the higher (colder) the lower layer cloud  
20 occurs, the cooling from the cirrus layer is enhanced and larger  $r_{ei}$  should therefore be observed. Systematic changes in the global circulation and changes in convective clustering and cloud overlap may lead to a higher frequency of overlapping cirrus on top of convection, and a reduced frequency of single-layered cirrus with climate change. Thus, we want to determine if this is a viable mechanism that contributes to upward trends in  $r_{ei}$ .

Figure 10 shows the median (centre lines), mean (asterisks), and interquartile range (bottom and top edges of boxes) for  
25 DARDAR, IR/MW, and IR only AIRS retrievals, with filtered (non-filtered) versions of IR/MW and IR only that have retrievals within 6 K of the cold point tropopause removed (retained). For single-layer cirrus (Ci), AIRS is typically  $2\text{--}3\ \mu\text{m}$  larger than DARDAR with about  $1\ \mu\text{m}$  difference between IR/MW and IR only. For cirrus above weak convection (Weak Conv), AIRS remains about  $2\text{--}3\ \mu\text{m}$  larger than DARDAR as all AIRS retrievals increase about  $2\text{--}3\ \mu\text{m}$  in the mean compared to single-layer cirrus. For cirrus above strong convection (Strong Conv), the differences between DARDAR and  
30 AIRS are reduced, with IR only about  $1\text{--}1.5\ \mu\text{m}$  larger than IR/MW. The width of the DARDAR quantiles increases from



single-layer cirrus to cirrus over strong convection indicating increased variability in  $r_{ei}$ ; AIRS and DARDAR have nearly identical variability for cirrus above strong convection. AIRS and DARDAR exhibit an increase in  $r_{ei}$  as convective cloud appears below cirrus layers suggesting that the mechanism described in Stephens (1983) may be operating in these differences. Differentiating the increase in  $r_{ei}$  from the Stephens (1983) radiative cooling mechanism for particle growth, and  
5 lofting of large ice particles from adjacent convection, however, cannot be easily differentiated; further investigation is warranted in the context of  $r_{ei}$  trends shown in this work.

## 7 Summary and Conclusions

While high-level ice clouds are a key component of the changing climate system, there remains an absence of well-characterized observational constraints of ice cloud microphysics that are desired for climate model evaluation (Kärcher,  
10 2017). The Atmospheric Infrared Sounder (AIRS) instrument, launch in May of 2002, has an excellent radiometric stability of  $\pm 3\text{--}4$  mK yr<sup>-1</sup> (Pagano et al., 2012). We use the AIRS version 6 ice cloud property and thermodynamic phase retrievals (Kahn et al., 2014) to quantify variability and trends in ice cloud frequency, ice cloud top temperature ( $T_{ci}$ ), ice optical thickness ( $\tau_i$ ) and ice effective radius ( $r_{ei}$ ) from 01 September 2002 until 31 August 2016. We also investigate the scalar averaging kernels (AKs) associated with each retrieval quantity to determine changes in information content; and the  $\chi^2$   
15 fitting parameter, which quantifies the fidelity of the observed and simulated radiance fits across cloud types. Differences are described between in the ice cloud properties using the AIRS/AMSU combined cloud-clearing retrieval (IR/MW), the AIRS only cloud-clearing retrieval (IR only), and a subset of IR only for the 1/3 of pixels nearest to nadir view (IR nadir).

Spatial patterns in  $r_{ei}$  reflect differences in proximity to deep convection, thin cirrus, and extratropical storm tracks. The averaging kernels and  $\chi^2$  patterns are spatially coherent and exhibit variations between different cloud regimes with slight  
20 variations observed. The spatial patterns of  $\chi^2$  and averaging kernels do not resemble each other; for the highest quality retrievals, we can conclude that the spatial variations in information content do not correlate to the spectral radiance fit in the retrievals of Kahn et al. (2014). The trends are nominally 1–2 K of cooling in  $T_{ci}$ , an increase of a few tenths to  $\sim 1$   $\mu\text{m}$  in  $r_{ei}$ , with the largest values in proximity to tropical convection. In contrast,  $\tau_i$  is decreasing except for regions with high frequencies of convection (ITCZ, W. Pacific Warm Pool, and S. Indian Ocean). The trend in ice frequency is similar to  $\tau_i$  but  
25 is spatially smoother and the magnitude is much larger.

The statistical significance of three wide latitude bands in the tropics, SH, and NH extratropics are calculated following the methodology of Santer et al. (2000). Ice frequency decreases by about 1% with respect to all AIRS pixels in the SH and NH extratropics (significant at 95%), and much smaller in the tropics (not significant at 95%). The ice water path (IWP) decreases by 0.4–0.8 g m<sup>-2</sup> in the SH (marginal significance at 95%) and NH (significant at 95%), and increases about 0.25 g  
30 m<sup>-2</sup> in the tropics (not significant at 95%). The statistical significance of IWP is lower than  $\tau_i$  because of compensating increases in  $r_{ei}$  at all latitudes (significant at 95%). Impacts of assumed radiometric drift on cloud property trends were



determined for a few perturbation experiments. The perturbation experiments fall significantly short of explaining the magnitude of the observed ice property, AKs, and  $\chi^2$  trends, although some fractional contribution to trends cannot be ruled out.

Surface based and aircraft in situ observations have demonstrated that active periods of deep convection are associated with larger  $r_{ei}$  at cloud top (e.g., Protat et al., 2011; van Diedenhoven et al., 2014). AIRS  $r_{ei}$  are plotted against  $T_{ci}$  for transparent and opaque clouds separately; approximately 9.4% of cloud tops are identified as opaque and 90.6% are transparent. Values of  $r_{ei}$  are substantially larger for all  $T_{ci}$  within opaque clouds that are a proxy for deep convection. A reduction in the dependence of  $r_{ei}$  with  $T_{ci} < 210\text{K}$  occurs and shows similarity to van Diedenhoven et al. (2016). Opaque clouds exhibit strong dependence on wind speed; the weakest (strongest) winds are associated with the largest (smallest)  $r_{ei}$  with differences as large as 10–12  $\mu\text{m}$ . Opaque clouds exhibit a 2–8  $\mu\text{m}$  jump as CWV increases from 45–65 mm, and a similar pattern is observed for  $T_{sfc}$ . Transparent clouds exhibit a 1–4  $\mu\text{m}$  jump that is shifted to  $\text{CWV} > 60$  mm; while no relationship between  $r_{ei}$  and  $T_{sfc}$  is observed.

Comparisons between DARDAR and AIRS  $r_{ei}$  are made for single-layer cirrus, cirrus above weak convection, and cirrus above strong convection. AIRS is typically 1–3  $\mu\text{m}$  larger than DARDAR with about 1  $\mu\text{m}$  difference between IR/MW and IR only. AIRS and DARDAR exhibit an increase in  $r_{ei}$  as convective cloud appears below cirrus layers suggesting that the mechanism described in Stephens (1983) may be operating in these differences. However, secular trends in convective aggregation, convective mode, the probability distribution of vertical velocity, and ice nucleation and growth mechanisms that may change within the changing character of convection (e.g., Chen et al., 2016), are highly complex and uncertain in observations. Further research is necessary to quantify the links between trends in ice cloud microphysics shown in this work with cloud responses in climate model simulations.

## Appendix

Figure A1 shows that the AIRS algorithm differences in IR only (AIRS2RET) minus IR/MW (AIRX2RET) on the frequency of ice phase clouds over the global oceans are less than 1%. This implies that virtually the same sets of pixels are identified as ice in the two retrievals. The impacts on  $T_{ci}$  are on the order of 1–2 K difference with IR only slightly cooler especially in the tropics. Some subtle and spatially coherent changes in  $\tau_i$  of  $\sim 0.1$  are observed with IR only thinner. The  $r_{ei}$  is larger by 0.5–1.0  $\mu\text{m}$  in IR only compared to IR/MW but a few of the extratropical storm track regions exhibit an opposite sign. To summarize, for the IR only retrieval,  $\tau_i$  is lower by 0.1,  $r_{ei}$  is larger by 0.5–1.0  $\mu\text{m}$  in most areas, and  $T_{ci}$  is lower by 1–2 K depending on the latitude.

Figure A2 shows the same differences as Fig. A1, except for the  $T_{ci}$ ,  $r_{ei}$ , and  $\tau_i$  AKs, and the  $\chi^2$  fitting parameter. The differences in AKs and  $\chi^2$  are generally small but some notable regional differences are observed. The  $T_{ci}$  AK is mostly lower for the IR only compared to IR/MW and is consistent with added information provided by the microwave channels in



the AMSU instrument. The  $r_{ci}$  AK is larger for the IR only compared to IR/MW but the difference is an order of magnitude smaller than the  $T_{ci}$  AK difference. The  $\tau_i$  AK difference is small and does not exhibit coherent cloud-regime dependence except for the Saharan air layer. The difference in  $\chi^2$  shows slightly worse fitting for the IR only; this is also consistent with added information provided by microwave channels in the AMSU instrument. Most of the globe is 0.1–0.2 higher for IR only, which is about 5% of the mean value of  $\chi^2=3-4$  for retrievals restricted to  $QC=[0,1]$ .

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	Mean Difference (Perturbed – Baseline IR/MW)		Median Absolute Deviation (Perturbed – Baseline IR/MW)	
	+50 mK	+50 mK before CC	+50 mK	+50 mK before CC
$r_{ei}$ ( $\mu\text{m}$ )	-0.105	-0.131	0.786	1.168
$r_{ei}$ AK	$-9.15 \times 10^{-5}$	$+2.05 \times 10^{-6}$	0.00278	0.00247
$\tau_i$	+0.00534	+0.0101	0.0812	0.149
$\tau_i$ AK	-0.00117	$-2.35 \times 10^{-4}$	0.0108	0.0053
$T_{ice}$ (K)	+0.261	+0.235	1.179	2.187
$T_{ice}$ AK	$+4.73 \times 10^{-4}$	+0.00113	0.00852	0.00999
$\chi^2$	-0.0176	-0.0315	0.54	0.648

**Table 1.** Shown are the two radiance perturbation tests after cloud clearing (+50 mK) and before cloud clearing (+50 mK before CC); see text for description), with reported mean difference and median absolute deviations of cloud properties, AKs, and  $\chi^2$  for

5  $54^\circ\text{S}$ - $54^\circ\text{N}$  over ocean for 6 September 2002.

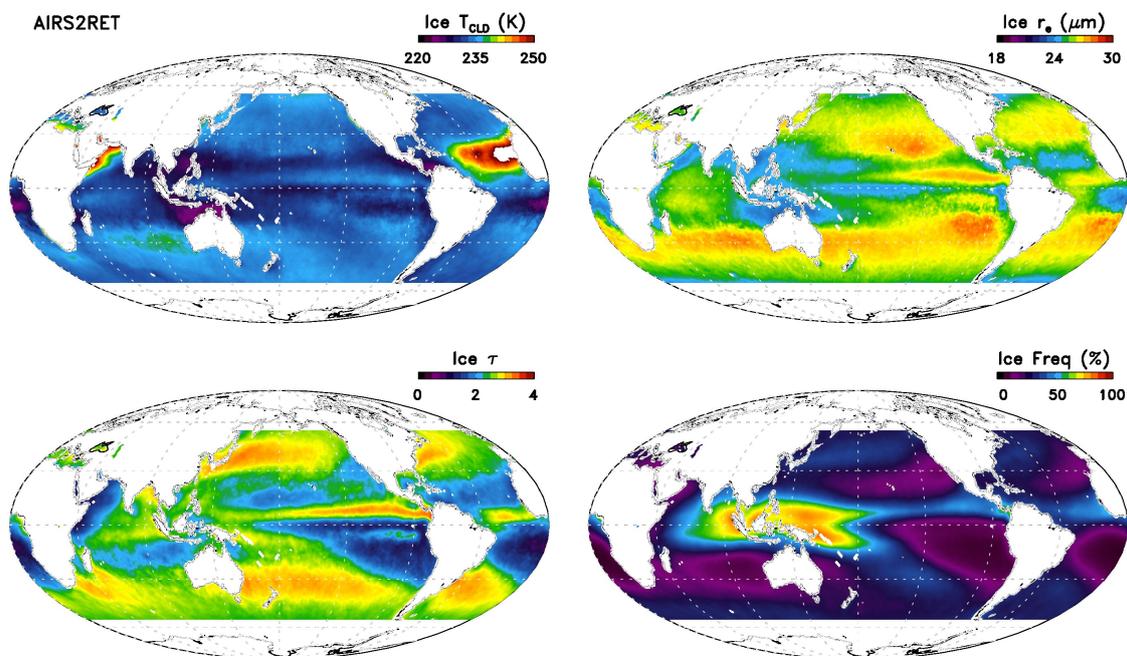


Figure 1: Shown are the global 14-year averages (1 September 2002 –31 August 2016) of  $T_{cl}$ ,  $r_{cl}$ ,  $\tau_{cl}$ , and ice cloud frequency, for the AIRS IR only retrieval (AIRS2RET) between 54°S–54°N over the oceans.

5

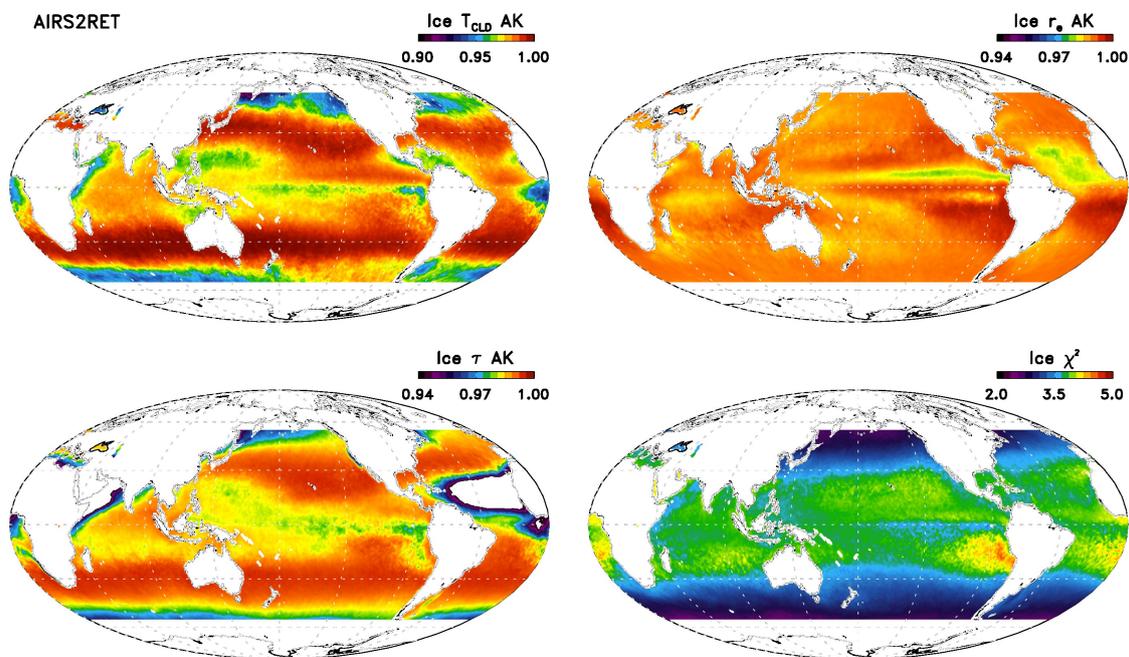


Figure 2: Same as Fig. 1 except are the global 14-year averages of  $T_{clD}$ ,  $r_e$ , and  $\tau_i$  averaging kernels (AKs) and  $\chi^2$  fitting parameter.

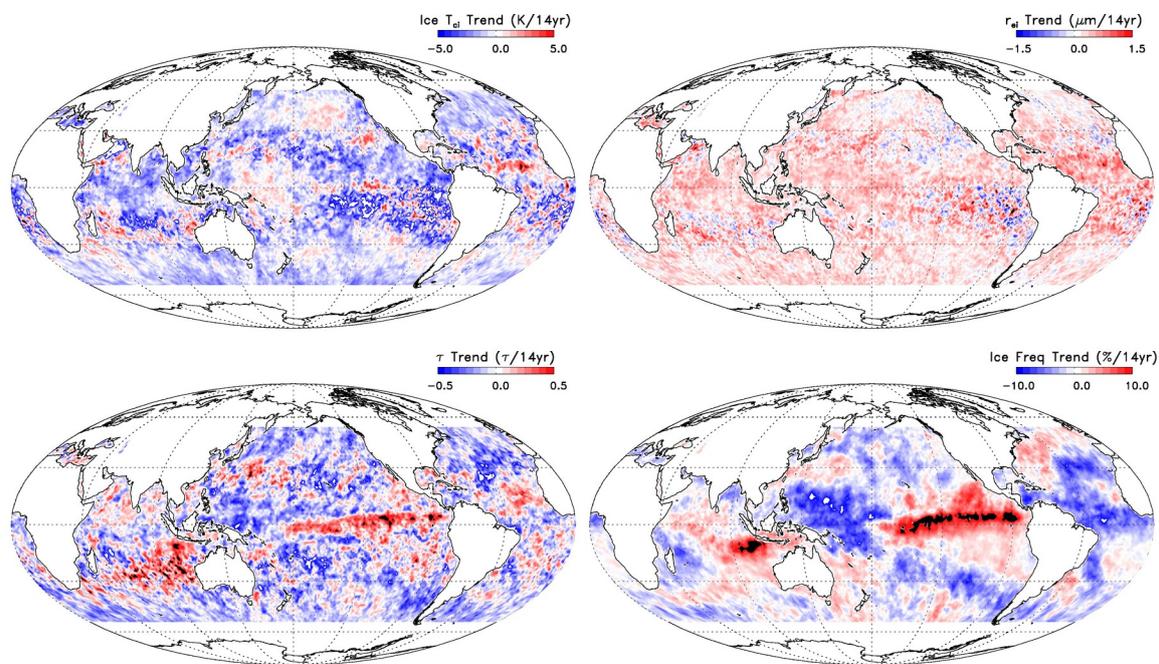


Figure 3: Shown are the global 14-year trends for the fields in Fig. 1.

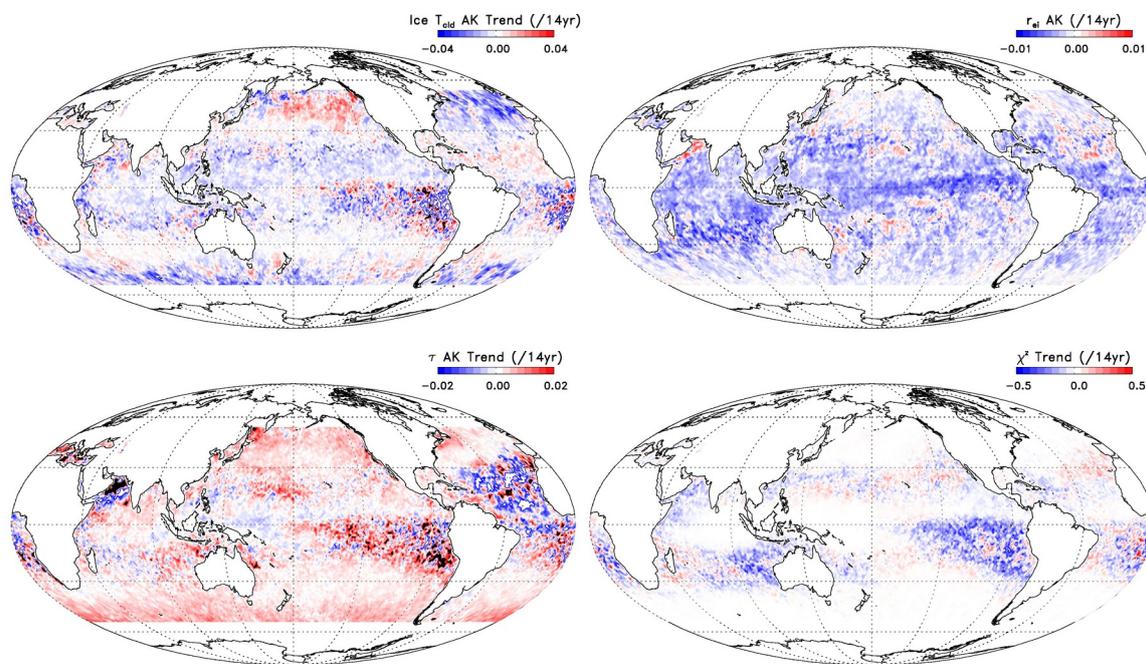
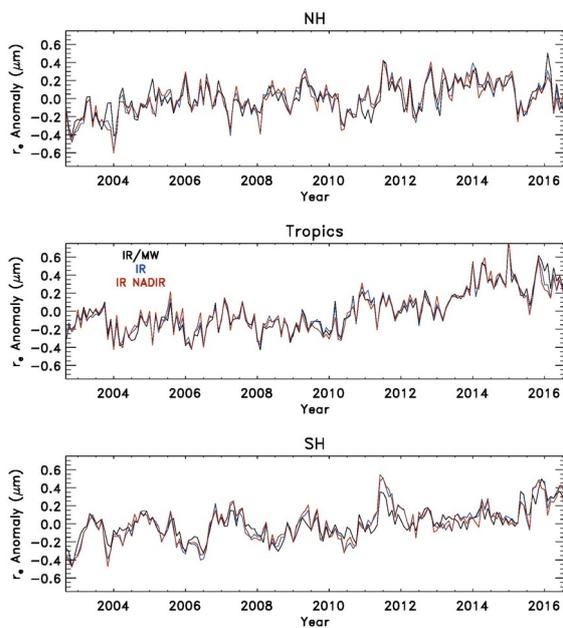


Figure 4: Shown are the global 14-year trends for the fields in Fig. 2.



**Figure 5: Monthly anomaly time series for  $r_{ei}$  for the IR/MW, IR only, and IR nadir retrievals organized into three latitude bands: SH extratropics (54°S-18°S), tropics 18°S-18°N, and NH extratropics (18°N-54°N).**

5

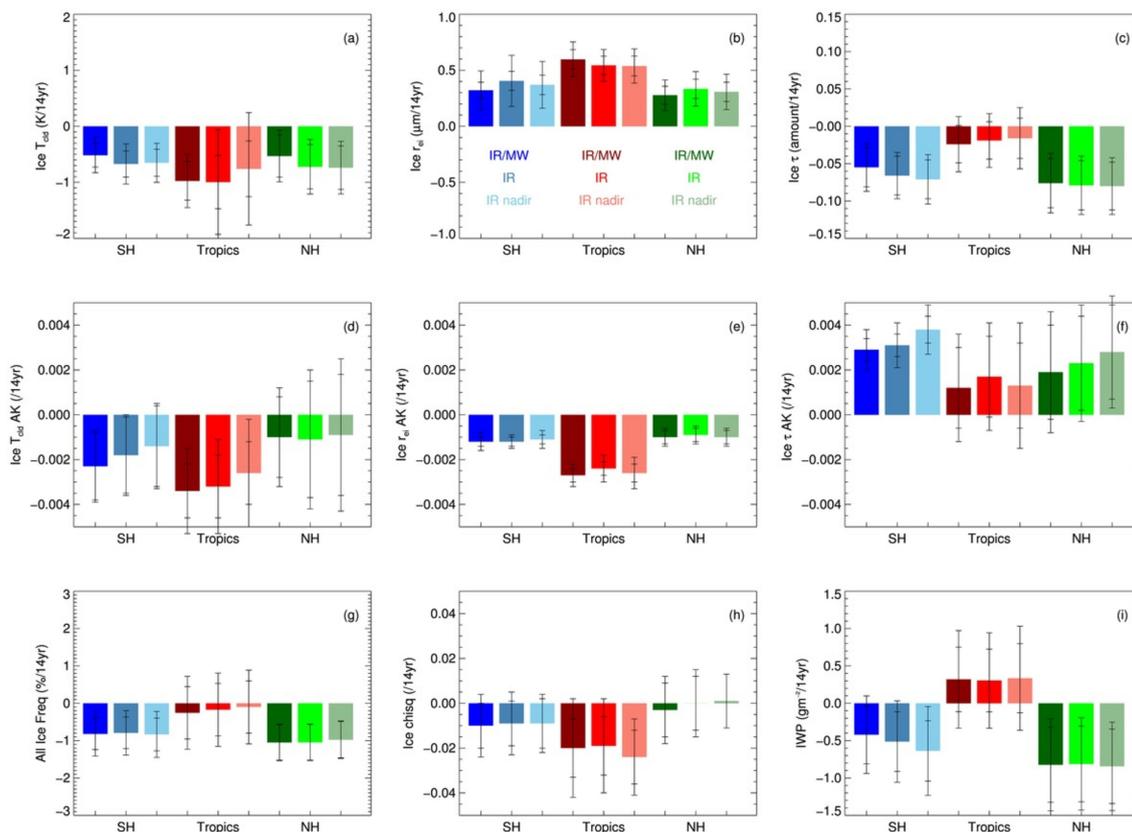


Figure 6: Shown are the 14-year trends for the tropics, SH and NH extratropics, and IR/MW, IR only, and IR nadir retrievals. (a)  $T_{ci}$ , (b)  $r_{ei}$ , (c)  $\tau_i$ , (d)  $T_{ci}$  AK, (e)  $r_{ei}$  AK, (f)  $\tau_i$  AK, (g) ice cloud frequency, (h)  $\chi^2_i$ , (i) IWP. The confidence for 95% statistical significance is shown as black lines with narrow tick marks and the confidence for 95% statistical significance with a lag-1 autocorrelation correction as wider tick marks (see Santer et al., 2000).

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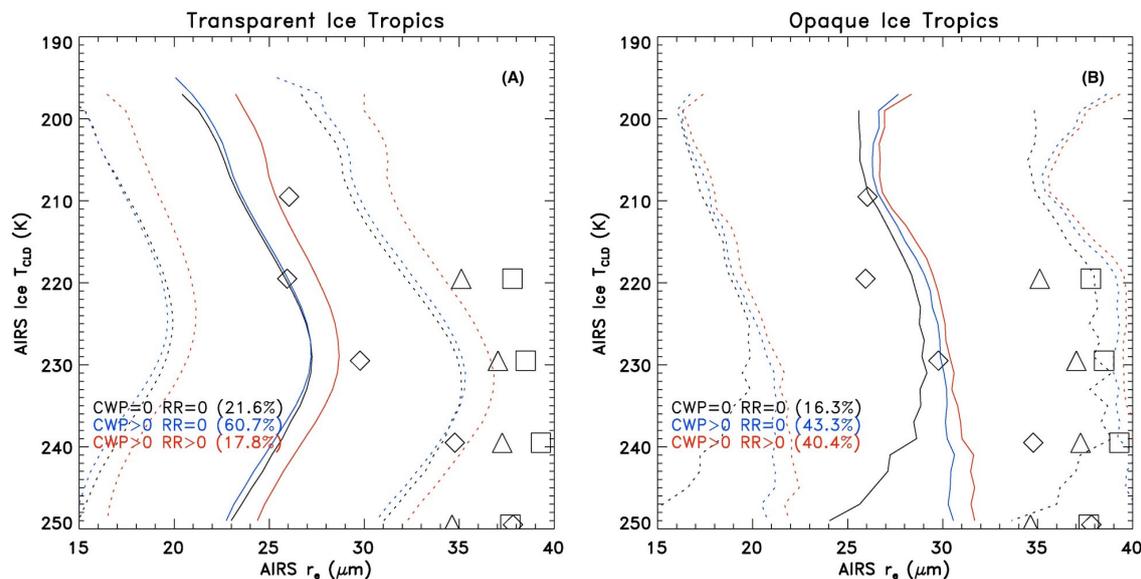


Figure 7. Shown are the mean (solid) and  $\pm 1\sigma$  values of AIRS IR only  $r_{ei}$  versus  $T_{ci}$  for (a) transparent and (b) opaque ice clouds over the tropical oceans during the months of July and August within the 14-year time period of investigation. Following the approach of Protopapadaki et al. (2017), transparent and opaque clouds are delineated using a threshold effective cloud fraction value of  $ECF \leq 0.98$  for transparent and  $ECF > 0.98$  for opaque. The AMSR-E/AMSR-2 estimates of CWP and RR are used to divide categories into clear (CWP=0) and no rain (RR=0) according to the passive microwave; cloudy (CWP>0) and no rain (RR=0); and cloudy (CWP>0) and rain (RR>0). The results in both panels have all AIRS ice clouds filtered within 6 K of the cold point tropopause; otherwise a much larger and potentially unphysical increase in  $r_{ei}$  would be observed in the figures. The symbols are average  $r_{ei}$  from in situ field campaign data for the CRYSTAL-FACE (diamonds), TC4 (triangles), and NAMMA (squares) campaigns, please see text and Heymsfield et al. (2014) for more details.

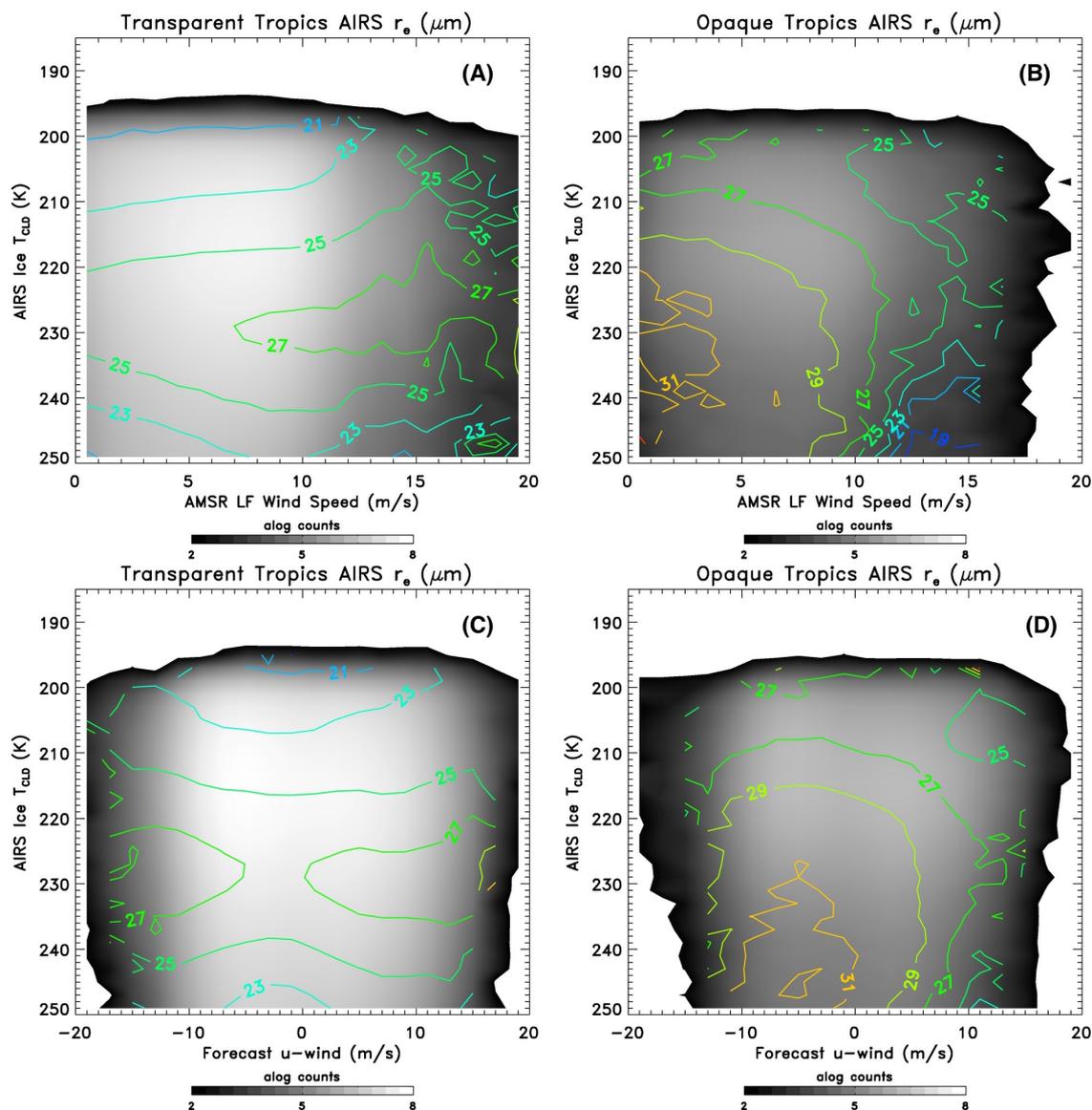


Figure 8. Shown are AMSR-E/AMSR-2 low frequency (LF) wind speeds ( $\text{m s}^{-1}$ ) versus  $T_{ci}$  histograms, with the log counts shown as a gray scale, the AIRS IR only  $r_{ci}$  ( $\mu\text{m}$ ) overlaid as colored contours, and transparent (a) and opaque (b) clouds shown separately. In the lower row, it is the same as the upper row except for NWP model u-component wind speeds for (c) transparent and (d) opaque clouds.

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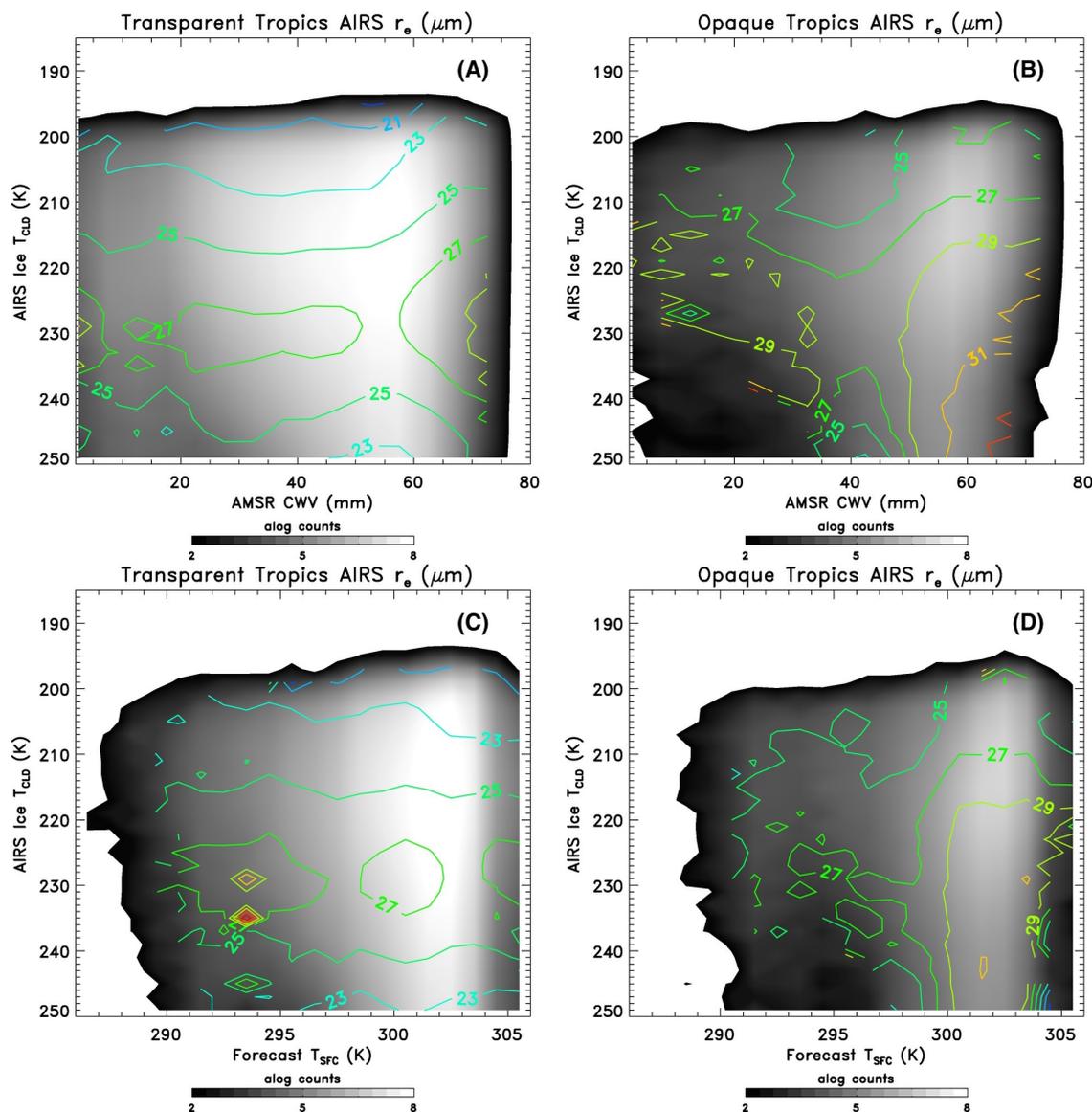


Figure 9. Shown are AMSR-E/AMSR-2 total CWV (mm) versus  $T_{ci}$  histograms, with the log counts shown as a gray scale, the AIRS IR only  $r_{ei}$  ( $\mu\text{m}$ ) overlaid as colored contours, for (a) transparent and (b) opaque clouds separately. In the lower row, it is the same as the upper row except for  $T_{surf}$  (K) for (c) transparent and (d) opaque clouds separately.

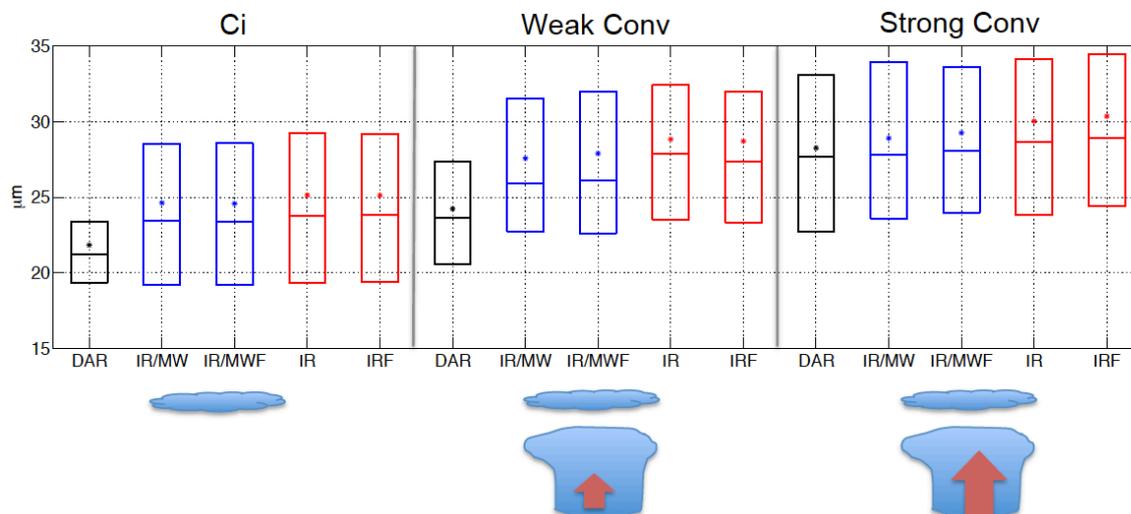
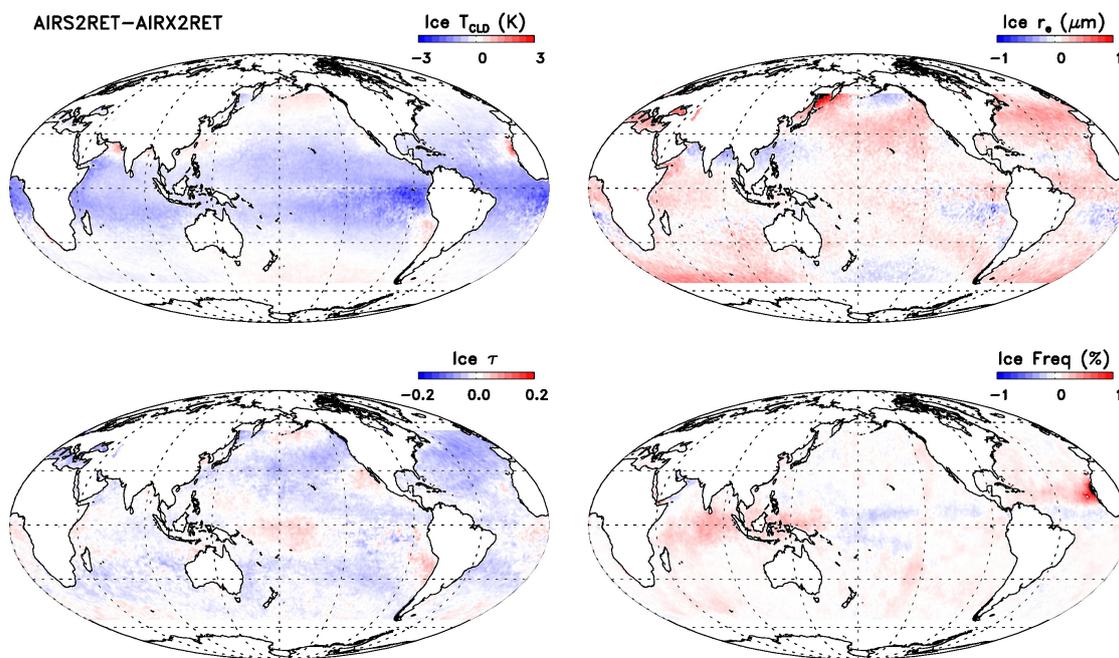


Figure 10. DARDAR (black), IR/MW (blue) and IR only (red) retrievals of  $r_{ci}$  in single-layered cirrus (left), cirrus on top of weak convection (center), and cirrus on top of strong convection (right); see Section 2.3 for a description of the definition of convective intensity. The AIRS retrievals are also shown for a filtered version that removes retrievals within 6 K of the tropopause. The central line is the median, the asterisk is the mean, and the bottom and top edges of the boxes are the 25<sup>th</sup> and 75<sup>th</sup> percentiles.



**Figure A1:** Shown are the differences in IR only (AIRS2RET) minus IR/MW (AIRX2RET) for the same time period as described in Fig. 1 for  $T_{\text{ice}}$ ,  $r_{\text{ice}}$ ,  $\tau_{\text{ice}}$ , and ice cloud frequency.

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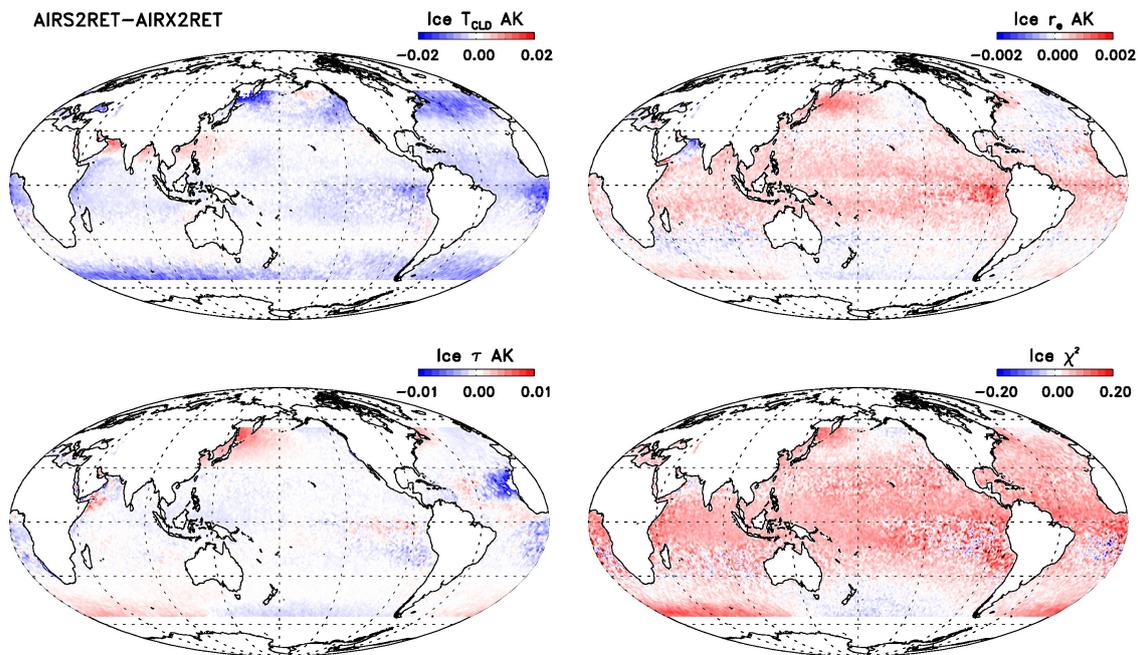


Figure A2: Same as Fig. A1, except for the  $T_{clD}$ ,  $r_{eis}$  and  $\tau_i$  AKs, and the  $\chi^2$  fitting parameter.