

***Interactive comment on* “Production and transport mechanisms of NO in observations and models” by Koen Hendrickx et al.**

Anonymous Referee #1

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The paper analyses NO production by energetic electron precipitation in the uppermost mesosphere and lower thermosphere from SOFIE observations, and in the WACCM model. This is an interesting investigation highlighting the importance of middle-energy electrons and possibly D-region ion chemistry for the upper mesospheric/lower thermospheric NO budget, and also separating the impact of UV/EUV radiation and electron precipitation. The study is interesting, though formulations sometimes could be a bit more precise, and the paper would profit from discussing results of previous studies by other authors more.

Title: it would be good to specify the altitude region here, i.e., "Production and transport mechanism of NO in the upper mesosphere and lower thermosphere in observations and models". I only really realized that the focus of the whole paper is the region above

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75 km in the discussion; this should be clarified as early as possible, i.e., in the title.

Page 1, line 10: "the long term mean is too high": this is only true in the thermosphere, at altitudes above 110 km - see Fig.1. In the upper mesosphere and lower thermosphere – in 60-100 km – WACCM NO is quite considerably too low. Please be specific here, and discuss both the thermosphere and mesosphere.

Page 1, line 16-17: which is likely due to "missing" medium energy electrons and D-region "ion" chemistry.

Page 2, lines 4-5: there have been a large number of studies, both from observations and models, that investigate the impact of energetic electron precipitation on stratospheric ozone, radiation budget, and surface temperatures. You really should reference more of them here - the impression is that the Seppaelae et al 2013 study is the only one, or summarizes other studies - both not true. Here is a list of what I would reference: Natarajan et al., 2004; Randall et al., 2005; Schmidt et al., 2006; Marsh et al., 2007; Randall et al., 2007; Lu et al., 2008; Seppaelae et al., 2009; Baumgaertner et al., 2009; Reddman et al., 2010; Semeniuk et al., 2011; Rozanov et al., 2012; Fytterer et al., ACP, 2015; Damiani et al., 2017; Sinnhuber et al., 2018.

Page 2, line 14: also reference Funke et al., 2014 because the MIPAS dataset really shows best how EPP NOy is transported down into the stratosphere in every winter, in both hemispheres.

Page 2, line 19: a 27 day periodicity in NO is also observed in SCIAMACHY data (Sinnhuber et al., 2016). This is at slightly lower altitudes, but should be discussed here anyway.

Page 2, line 20: also reference Randall et al., 2006; 2009; Funke et al., 2014; Sinnhuber et al., 2014; Funke et al., 2017

Page 2, line 21: Jackman et al., 2001; Funke et al., 2011

Page 2, line 22-23: Sinnhuber et al., 2018 show a similar relation in the impact of SPES

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and the indirect effect on the stratospheric NO_y budget (1-2 Gmol/hemisphere versus up to 4 Gmol/hemisphere)

Page 2, line 25 to Page 3, line 12: another comparison of modeled and observed NO_y is given in Sinnhuber et al., 2018, comparing 10 years of MIPAS satellite observations with results from three global models, two high-top models, and one "medium-top" model driven with an upper boundary parameterisation. They find very good agreement between observations and the medium-top model even after sudden stratospheric warmings - the difference to the Funke et al study is (I think) that a special parameterization for NO_y during elevated stratopause events was implemented. Anyway this study should be discussed here as well.

Page 4, line 8: what is the vertical resolution of SOFIE? Usually this is not the same as the retrieval altitude grid.

Page 5, line 1 and Page 5, line 5: there appears to be a confusion here as to whether the lower thermosphere, or the mesosphere and lower thermosphere, are investigated. As Fig. 1, which is discussed in Section 3.1, shows altitudes down to 50 km, this appears to be "mesosphere and lower thermosphere", but should be noted consistently here.

Page 5, lines 6 - 13: you should also discuss the comparison of mesospheric NO values in the climatology shown in Fig 1. I found it confusing that most of the discussion appears to be related to altitudes above 100 km, though this is not stated explicitly: below 100 km, WACCM underestimates NO number densities everywhere above 60 km, particularly during polar winter. This should be discussed here, but is not.

Page 5, line 10: "descent is can be seen" strike out the "is"

Page 5, line 11: during the presence of → in the presence of

Page 5, lines 12-13: "... an overall higher column density" ... but underestimation of NO in the lowermost thermosphere and mesosphere, in particular during polar winter.

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Page 5, line 14: “middle and upper atmosphere” would be everything from the tropopause to at least the exobase, do you mean “middle and upper mesosphere”?

Page 5, line 25-26, the references for Sheese at al 2011 and Sheese et al 2013 are mixed up – the 2011 paper investigates OSIRIS.

Page 5, 30-31: ... WACCM total density is higher around the equinoxes in March and September ... but only above 110 km. Please be more precise here.

Page 5, last sentence, ... the discrepancy of equinoctial NO ... could be an indication that the model is too sensitive to changes in geomagnetic activity ... but only above 110 km, not below, where this discrepancy is not observed (at least not from Fig.1).

Page 6, lines 17-21: in years with low geomagnetic activity, NO is overestimated by WACCM quite considerably. However, in years with high geomagnetic activity (2011-2013), NO is underestimated by WACCM. I'm not quite sure what this means – the background is too high, but the variability of the geomagnetic forcing too low? But it should be discussed here, and taken into account later (in the discussion of the MRA).

Page 6, line 33: multilinear regression analysis has been used for NO in the upper mesosphere and lower thermosphere before, e.g., by Marsh et al., 2005 (Snoe); Bender et al., 2015 (ACE-FTS, ODIN/SMR, MIPAS, SCIAMACHY). These should be mentioned here, and the regression coefficients should be compared to the results of Marsh et al and Bender et al.

Page 7, line 3: ... whether the correct processes drive NO densities at high latitudes “in the model”.

Page 7, line 7: “a larger portion of the NO density than SOFIE” → “a larger portion of the NO density than in the SOFIE climatology”

Page 7, line 17-18, discussion of Fig 8: the SOFIE AE MLR curve looks as if it was shifted downward compared to the corresponding WACCM curve. Seen the other way round, WACCM seems to miss something below 120 km.

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Page 7, line 24: . . . suggesting that solar forcing “due to soft x-rays or UV photolysis” . . . geomagnetic forcing can be interpreted as part of the solar forcing, as the acceleration mechanism of the precipitating electrons involve solar wind streams.

Page 7, lines 16 and following, discussion of the MLR coefficients: again, the coefficients for solar irradiance and AE index should be compared to the study of Bender et al., 2015, who carried out a similar analysis using four satellite instruments.

Page 8, line 28: “the polar vortex causes transport of air from the lower thermosphere into the mesosphere . . .” I don’t think this is entirely correct – the polar vortex does not extend into the thermosphere, so does not cause anything there (directly). Dissipating gravity waves drive both the turbulent mixing across the winter-time mesopause and the mesospheric branch of the global meridional circulation, which, in the lower branch of the winter hemisphere (stratosphere, possibly lower mesosphere), also includes the polar vortex. Please be more precise in your formulations.

Page 9, line 19-20: I would change the order in this sentence: A possible source of NO that is not included in the current model is ionization by medium energy electrons (MEE) and D-region ion chemistry (Andersson et al., 2016).

Page 9, line 22, to page 10, line 5: I like the method of following the maximum peak down as shown in Figures 11 and 12. It is certainly an interesting analysis. However, I do not think you can derive a percentage values of by how much WACCM underestimates NO due to missing MEEs, due to the following reason: production of NO by MEE will occur most likely on this or the next two days, but below the altitude where the maximum NO peak occurs, as can be seen in Figure 10. This MEE produced NO will also be transported down, with the same rate as the maximum peak – your analysis misses this portion of the NO budget. I think the number you derive – the 4% difference, or 4-10 % from the middle panel of fig 12 – emphasizes the difference due to different / missing photochemistry, possibly the D-region ion chemistry.

Page 10, line 14: “the Lya regressor more strongly impacts WACCM . . .” the regressor

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doesn't impact anything (except possibly our perception). The UV/EUV radiation appears to have a stronger impact in WACCM than in the observations. Please be more precise in your formulations.

Page 10, line 27 and following, branching ratio of N from particle impact ionization: most of the estimates go back to Porter et al 1976. However, if I understood Porter et al correct, the ratio provided there is a "high electron energy" limit, and might not be applicable to the thermosphere, where electrons of lower electrons are absorbed.

Page 11, line 6-7: a new temperature dependent reaction rate . . . of which reaction?

Page 11, line 14-15: with the same descent rate in WACCM and SOFIE – in which altitude range?

Page 12, line 3-4: I do not think that the 4% are correctly attributed to MEE, see my comment above. Also: you should compare your results to the model study by Arsenovic et al., 2016, targeting the MEE impact.

Page 12, line 30: "a too high in altitude NO reservoir" seems not a correct expression to me. "a too high altitude of the NO reservoir" might work.

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