

1 **Insight into Global Trends in Aerosol Composition over 2005-2015 Inferred from the OMI**
2 **Ultraviolet Aerosol Index**

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11
12 **Abstract**

13 Observations of aerosol scattering and absorption offer valuable information about aerosol
14 composition. We apply a simulation of the Ultraviolet Aerosol Index (UVAI), a method of
15 detecting aerosol absorption from satellite observations, to interpret UVAI values observed by the
16 Ozone Monitoring Instrument (OMI) over 2005-2015 to understand global trends in aerosol
17 composition. We conduct our simulation using the vector radiative transfer model VLIDORT with
18 aerosol fields from the global chemical transport model GEOS-Chem. We examine the 2005-2015
19 trends in individual aerosol species from GEOS-Chem, and apply these trends to the UVAI
20 simulation to calculate the change in simulated UVAI due to the trends in individual aerosol
21 species. We find that global trends in the UVAI are largely explained by trends in absorption by
22 mineral dust, absorption by brown carbon, and scattering by secondary inorganic aerosol. Trends
23 in absorption by mineral dust dominate the simulated UVAI trends over North Africa, the Middle-
24 East, East Asia, and Australia. The UVAI simulation well resolves observed negative UVAI trends
25 over Australia, but underestimates positive UVAI trends over North Africa and Central Asia near
26 the Aral Sea, and underestimates negative UVAI trends over East Asia. We find evidence of an
27 increasing dust source from the desiccating Aral Sea, that may not be well represented by the
28 current generation of models. Trends in absorption by brown carbon dominate the simulated UVAI
29 trends over biomass burning regions. The UVAI simulation reproduces observed negative trends
30 over central South America and West Africa, but underestimates observed UVAI trends over
31 boreal forests. Trends in scattering by secondary inorganic aerosol dominate the simulated UVAI

32 trends over the eastern United States and eastern India. The UVAI simulation slightly
33 overestimates the observed positive UVAI trends over the eastern United States, and
34 underestimates the observed negative UVAI trends over India. Quantitative simulation of the OMI
35 UVAI offers new insight into global trends in aerosol composition.

36

37 **1. Introduction**

38 Atmospheric aerosols have significant climate impacts due to their ability to scatter and
39 absorb solar radiation and to their indirect effect through modification of cloud properties. The
40 exact magnitude of the direct radiative forcing remains highly uncertain (IPCC, 2014), although
41 most studies agree it is significant (Andreae and Gelencsér, 2006; Mann and Emanuel, 2006;
42 Mauritsen, 2016). Storelvmo et al. (2016) estimate that changes in global aerosol loading over the
43 past 45 years have caused cooling (direct and indirect) that masks about one third of the
44 atmospheric warming due to increasing greenhouse gas emissions. Aerosol absorption has been
45 estimated to be the second largest source of atmospheric warming after carbon dioxide
46 (Ramanathan and Carmichael, 2008; Bond et al., 2013; IPCC, 2014), although considerable
47 uncertainty remains regarding the exact magnitude (Stier et al., 2007). The large uncertainty
48 regarding the direct radiative impacts of aerosols on climate is driven by the large variability in
49 aerosol physical and chemical properties, as well as their various emission sources, making it
50 extremely difficult to fully understand their interactions with radiation (Pöschl, 2005; Moosmüller
51 et al., 2009; Curci et al., 2015; Kristiansen et al., 2016). Global observations of trends in aerosol
52 scattering and absorption would offer valuable constraints on trends in aerosol sources and
53 composition.

54 The emissions of aerosols and their precursors have changed significantly over the past
55 decade. In North America and Europe, the anthropogenic emissions of most aerosol species (e.g.
56 black carbon, organic aerosols) and aerosol precursors (e.g. sulfur dioxide and nitrogen oxides)
57 have decreased due to pollution controls (Leibensperger et al., 2012; Klimont et al., 2013; Curier
58 et al., 2014; Simon et al., 2014; Xing et al., 2015; Li et al., 2017a). By contrast, emissions of
59 aerosols and aerosol precursors have increased in developing countries due to increased industrial
60 activity, particularly in China and India. Chinese emissions of black carbon (BC), organic carbon
61 (OC), and nitrogen oxides (NO_x) have been increasing over the past decade (Zhao et al., 2013; Cui
62 et al., 2015), although in the most recent years NO_x emissions have been declining, driven by

63 denitration devices at power plants (Liu et al., 2016). Due to the wide implementation of flue-gas
64 desulfurization equipment on most power plants in China, emissions of sulfur dioxide (SO₂) in
65 some regions have been decreasing since about 2006-2008 (Lu et al., 2011; Wang et al., 2015;
66 [Fioletov et al., 2016](#)). Indian emissions of anthropogenic aerosols and their precursors have been
67 increasing over the past decade (Lu et al., 2011; Klimont et al., 2017). There have also been
68 significant changes in global dust and biomass burning emissions. Shao et al. (2013) use synoptic
69 data to estimate a global decrease in dust emissions between 1974 and 2012, driven largely by
70 reductions from North Africa with weaker contributions from Northeast Asia, South America, and
71 South Africa. By examining trends in burned area, Giglio et al. (2013) estimate a decrease in global
72 biomass burning emissions between 2000 and 2012. Trends in aerosol composition produced by
73 these changing emissions may be detectable from satellite observations of aerosol scattering and
74 absorption.

75 Detection of aerosol composition from passive nadir satellite observations is exceedingly
76 difficult; few methods exist. The aerosol-type classification provided by retrievals from the MISR
77 instrument, enabled by multi-angle viewing, is one such source of information about aerosol
78 composition from constraints on particle size, shape, and single scattering albedo (SSA) (Kahn
79 and Gaitley, 2015). MISR retrievals have been used to classify particles relating to events such as
80 biomass burning, desert dust, volcanic eruptions, and pollution events (e.g. Liu et al., 2007;
81 Kalashnikova and Kahn, 2008; Dey and Di Girolamo, 2011; Scollo et al., 2012; Guo et al., 2013).
82 The most commonly used satellite product for aerosol information is aerosol optical depth (AOD),
83 the columnar extinction of radiation by atmospheric aerosols. AOD can be retrieved from satellite
84 measurements of top of atmosphere radiance in combination with prior knowledge of aerosol
85 optical properties. Several studies have examined trends in satellite AOD. Following trends in
86 emissions, over the past decade positive trends in satellite AOD have been observed over Asia and
87 Africa corresponding to regions experiencing industrial growth (de Meij et al., 2012; Chin et al.,
88 2014a; Mao et al., 2014; Mehta et al., 2016), while negative trends in satellite AOD have been
89 observed over North America and Europe, largely due to pollution controls (Hsu et al., 2012; de
90 Meij et al., 2012; Chin et al., 2014b; Mehta et al., 2016). Studies such as these demonstrate the
91 information about the evolution of aerosol abundance offered by total column AOD retrievals,
92 however measurements of absorption would complement the [scattering](#) information in AOD
93 retrievals by providing independent information on aerosol composition.

94 The Ultraviolet Aerosol Index (UVAI) is a method of detecting aerosol absorption from
95 satellite measured radiances (Herman et al., 1997; Torres et al., 1998). Because the UVAI is
96 calculated from measured radiances, a priori assumptions about aerosol composition are not
97 required for its calculation, thus yielding independent information on aerosol scattering ([Herman
98 et al., 1997; Torres et al., 1998, 2007;](#) de Graaf et al., 2005; Penning de Vries et al., 2009) and
99 absorption. The UVAI has been widely applied to examine mineral dust (Israelevich et al., 2002;
100 Schepanski et al., 2007; Badarinath et al., 2010; Huang et al., 2010) and biomass burning aerosols
101 (Duncan et al., 2003; Guan et al., 2010; Torres et al., 2010; Kaskaoutis et al., 2011; Mielonen et
102 al., 2012), including brown carbon (Jethva and Torres, 2011; Hammer et al., 2016). The UVAI is
103 not typically used to examine scattering aerosol, however aerosol scattering causes a net decrease
104 in the [overall value of the](#) UVAI, meaning that the UVAI could be used to detect changes due to
105 both aerosol absorption and scattering. Prior interpretation of the UVAI has been complicated by
106 its dependence on [geophysical](#) parameters, such as aerosol layer height_ (Herman et al., 1997;
107 Torres et al., 1998; [de Graaf et al., 2005](#)). Examining trends in the UVAI would provide an exciting
108 opportunity to investigate the evolution of aerosol absorption and scattering over time, if the
109 multiple parameters affecting the UVAI could be accounted for through simulation.

110 In this work, we apply a simulation of the UVAI, which was developed and evaluated
111 [regionally and seasonally](#) in Hammer et al. (2016), to interpret trends in recently reprocessed OMI
112 UVAI observations for 2005-2015 to understand global changes in aerosol composition. We
113 interpret observed UVAI values by using a radiative transfer model (VLIDORT) to calculate
114 UVAI values as a function of simulated aerosol composition from the global 3-D chemical
115 transport model GEOS-Chem. [By using scene-dependent OMI viewing geometry together with
116 scene-dependent modelled atmospheric composition we enable quantitative comparison of model
117 results with observations.](#) Comparison of trends in observed OMI UVAI values to the trends in
118 simulated UVAI values, which are calculated using known aerosol composition, enables
119 qualification of how changes in aerosol absorption and scattering could influence the observed
120 UVAI trends [and identification of model development needs.](#) [We conduct our analysis at the global
121 scale to understand trends worldwide.](#) Section 2 describes the OMI UVAI observations and our
122 UVAI simulation. Section 3 examines the trends in emissions of GEOS-Chem aerosols and their
123 precursors for 2005-2015 to provide context for the trends in our simulated UVAI. Section 4
124 compares the mean values over 2005-2015 of the OMI UVAI and our simulated UVAI. Section 5

125 compares the 2005-2015 trends in OMI and simulated UVAI values. In section 6 we examine the
126 sensitivity of the UVAI to changes in the abundance of individual aerosol species. Trends in our
127 UVAI simulation are interpreted by applying the trends in the GEOS-Chem aerosol species to
128 calculate the associated change in UVAI. Section 7 reports the conclusions.

129

130 **2. Methods**

131 **2.1 OMI Ultraviolet Aerosol Index**

132 The OMI Ultraviolet Aerosol Index is a method of detecting absorbing aerosols from
133 satellite measurements in the near-UV wavelength region and is a product of the OMI Near-UV
134 algorithm (OMAERUV) (Herman et al., 1997; Torres et al., 1998, 2007). The OMAERUV
135 algorithm uses the 354 nm and 388 nm radiances measured by OMI to calculate the UVAI as a
136 measure of the deviation from a purely Rayleigh scattering atmosphere bounded by a Lambertian
137 reflecting surface. Positive UVAI values indicate absorbing aerosol while negative values indicate
138 non-absorbing aerosol. Near-zero values occur when clouds and Rayleigh scattering dominate.
139 Negative UVAI values due to aerosol scattering are often weak and have historically been affected
140 by noise in previous datasets (Torres et al., 2007; Penning de Vries et al., 2015). Because UVAI
141 values are calculated from top of atmosphere (TOA) radiance which contains total aerosol effects,
142 the presence (or lack) of scattering aerosol along with absorbing aerosol can either weaken (or
143 strengthen) the absorption signal. Therefore the UVAI could be used to detect changes over time
144 due to both aerosol absorption and scattering.

145 The main source of error affecting a trend analysis of the UVAI is the OMI row anomaly
146 which has reduced the sensor viewing capability for specific scan angles since 2008
147 (<http://projects.knmi.nl/omi/research/product/rowanomaly-background.php>). The sudden
148 suppression of observations for specific viewing geometries (i.e. the row anomaly), could cause
149 an additional spurious trend in the UVAI trend calculation. We address this concern by considering
150 only scan positions 3-23 which remain unaffected by the row anomaly, and also by using the
151 recently reprocessed OMAERUV UVAI that is less sensitive to scan-angle dependent cloud
152 artifacts due to the implementation of a Mie-scattering based water cloud model (Torres et al.,
153 2018). We focus on cloud-filtered observations by excluding scenes with OMI UVAI radiative
154 cloud fraction exceeding 5% to further reduce uncertainty due to clouds. Furthermore, we focus

155 on 10-years of observations so that multiple observations can reduce the random error of UVAI
156 observations.

157 Because the OMI UVAI is calculated directly from OMI measured radiances, instrument
158 degradation over time could be a significant source of uncertainty (Povey and Grainger, 2015).
159 Schenkeveld et al. (2017) found that the OMI radiances in the channel used for the UVAI have
160 changed by only ~1-1.15% over the entire OMI record. Applying this change to the radiances
161 results in a change in the absolute UVAI of $\sim 10^{-4}$, which is negligible. Schenkeveld et al. (2017)
162 also calculated the trend in the ratio of the 354/380 nm radiances measured by OMI for pixels
163 unaffected by the OMI row anomaly and over the Tropical Pacific where the presence of aerosol
164 is expected to be minimal, to assess the change in the spectral dependence of OMI's overall
165 radiance calibration over the course of the mission. They found that the trend in the 354/380 nm
166 radiance ratio over the entire OMI record was < 0.5 % per decade. We estimate the effect of
167 instrument degradation on our trend analysis by calculating the change in UVAI associated with
168 the 0.5 % per decade trend in the 354/380 nm radiance ratio. Applying the trend in 354/380 nm
169 radiance ratio to the UVAI calculation globally resulted in a negligible change in the UVAI of
170 $\sim 2 \times 10^{-4} \text{ yr}^{-1}$. To avoid the influence of any possible spurious trends due to instrument degradation
171 on our trend analysis, we subtract the trend in global mean UVAI from the cloud-filtered UVAI
172 prior to interpretation.

173 We perform trend analysis on monthly mean time series data for the years 2005-2015 using
174 Generalized Least Squares (GLS) regression, as described by Boys et al. (2014). Prior to
175 regression, the data are aggregated to monthly mean values, and the monthly time series data are
176 deseasonalized by subtracting the monthly mean for the period 2005-2015 to focus on the long-
177 term trend. Deseasonalization is a recommended method to accurately calculate a long-term trend
178 in a seasonally-varying time series (Weatherhead et al., 1998, 2002; Wilks, 2011), and is widely
179 employed for the trend analysis of geophysical data including temperature, chemical species
180 concentrations, relative humidity, cloud cover, and aerosol parameters (Reynolds and Reynolds,
181 1988; Prinn et al., 1992; Pelletier and Turcotte, 1997; Zhang et al., 1997; Dai, 2006; Norris and
182 Wild, 2007; Hsu et al., 2012b; Boys et al., 2014; Li et al., 2014; Ma et al., 2016). Each pixel is
183 required to have data for at least 60% of the time-period before regression is performed. In the
184 following section, we discuss our UVAI simulation and the implementation of the new UVAI
185 algorithm in the simulation.

186 2.2 Simulated UVAI

187 We simulate the UVAI using the VLIDORT radiative transfer model (Spurr, 2006),
188 following Buchard et al. (2015) and Hammer et al. (2016). We calculate the top of atmosphere
189 radiances at 354 nm and 388 nm needed for the UVAI calculation by supplying VLIDORT with
190 the OMI viewing geometry for each scene, as well as the GEOS-Chem simulation of vertical
191 profiles of aerosol extinction, spectrally dependent single scattering albedo, and the corresponding
192 spectrally dependent scattering phase function. Thus these parameters account for the sensitivity
193 of the UVAI to aerosol layer height and spectrally dependent aerosol optical properties.

194 We introduce to the UVAI simulation a Mie-scattering based water cloud model
195 (Deirmendjian, 1964) for consistency with the reprocessed OMI UVAI dataset. Following Torres
196 et al. (2018), we compute the radiances used in the UVAI calculation as a combination of clear
197 and cloudy sky conditions. We use the same cloud fractions and cloud optical depths used in the
198 OMI UVAI algorithm for coincident OMI pixels. We avoid cloudy scenes by considering only
199 pixels with OMI radiative cloud fraction of less than 5%. For the UVAI calculation we use the
200 surface reflectance fields provided by OMI. We calculated the 2005-2015 trends in these surface
201 reflectance fields, and found that they were statistically insignificant globally and on the order of
202 10^{-5} yr^{-1} . We calculated the change in UVAI due to a change in surface reflectance of this order of
203 magnitude, and found that the change in UVAI was negligible. We also calculated the change in
204 UVAI due to changes in simulated aerosol altitude, but found that the trends in aerosol altitude
205 were negligible (order $10^{-5} \text{ hPa yr}^{-1}$). Therefore we focus our analysis on trends in aerosol
206 composition which have a larger effect on the UVAI as demonstrated below.

207 We use the GEOS-Chem model v11-01 (<http://geos-chem.org>) as input to the UVAI
208 simulation, and to calculate the sensitivity of the UVAI simulation to aerosol composition. The
209 simulation is driven by assimilated meteorological data from MERRA-2 Reanalysis of the NASA
210 Global Modeling and Assimilation Office (GMAO). Our simulation is conducted at a spatial
211 resolution of $2^\circ \times 2.5^\circ$ with 47 vertical levels for the years 2005-2015. We supply VLIDORT with
212 GEOS-Chem aerosol fields coincident with OMI observations.

213 GEOS-Chem contains a detailed oxidant-aerosol chemical mechanism (Bey et al., 2001;
214 Park et al., 2004). The aerosol simulation includes the sulfate-nitrate-ammonium system
215 (Fountoukis and Nenes, 2007; Park et al., 2004; Pye et al., 2009), primary carbonaceous aerosol
216 (Park et al., 2003), mineral dust (Fairlie et al., 2007), and sea salt (Jaeglé et al., 2011). Semivolatile

217 primary organic carbon and secondary organic aerosol formation is described in Pye et al. (2010).
218 We update the original semi-volatile partitioning of secondary OA (SOA) formed from isoprene
219 with the irreversible uptake scheme in Marais et al. (2016). HNO₃ concentrations are reduced
220 following Heald et al. (2012). Aerosol optical properties are based on the Global Aerosol Data Set
221 (GADS) (Koepke et al., 1997) as originally implemented by Martin et al. (2003), with updates for
222 organics and secondary inorganics from aircraft observations (Drury et al., 2010), for mineral dust
223 (Lee et al., 2009; Ridley et al., 2012), and for absorbing brown carbon (Hammer et al., 2016). Here
224 we update the mineral dust optics at ultraviolet wavelengths using a refractive index that minimizes
225 the difference between the mean simulated and OMI UVAI values to allow focus on trends.
226 Aerosols are treated as externally mixed.

227 Anthropogenic emissions are from the EDGARv4.3.1 global inventory (Crippa et al., 2016)
228 with emissions overwritten in areas with regional inventories for the United States (NEI11; Travis
229 et al., 2016), Canada (CAC), Mexico (BRAVO; Kuhns et al., 2005), Europe (EMEP;
230 <http://www.emep.int/>), China (MEIC v1.2; Li et al., 2017a) and elsewhere in Asia (MIX; Li et al.,
231 2017a). Emissions from open fires for individual years from the GFED4 inventory (Giglio et al.,
232 2013) are included. The long-term concentrations from this simulation have been extensively
233 evaluated versus ground-based PM_{2.5} composition measurements where available, and versus
234 satellite-derived PM_{2.5} trends (Li et al., 2017b).

235 The Supplement evaluates trends in simulated SO₂, NO₂, and AOD versus satellite
236 retrievals from multiple instruments and algorithms. We find broad consistency between our
237 simulated NO₂ and SO₂ column trends with those from OMI (Figures S1 and S2). Our simulated
238 AOD trends are generally consistent with the trends in satellite AOD retrievals, except for positive
239 trends in AOD over western North America and near the Aral Sea in most retrieval products, and
240 a negative trend in AOD over Mongolia/Inner Mongolia in all retrieval products (Figure S3).

241 We filter our GEOS-Chem aerosol simulated fields based on the coincident OMI pixels,
242 which are regridded to the model resolution of 2° x 2.5°. This allows for the direct comparison
243 between our GEOS-Chem simulation and the OMI UVAI observations.

244

245 **3. Trend in emissions of GEOS-Chem aerosols and their precursors**

246 Figure 1 shows the trends in emissions of aerosols and their precursors from our GEOS-
247 Chem simulation calculated from the GLS regression of monthly time series values for 2005-2015.

248 Cool colors indicate negative trend values, warm colors indicate positive trend values, and the
249 opacity of the colors indicates the statistical significance of the trends. The trends in emissions of
250 sulfur dioxide (SO₂) and nitrogen oxides (NO_x) follow similar patterns (Figure 1a and 1b,
251 respectively). Negative trends (-1 to -0.01 kg km⁻² yr⁻¹) are present over North America and
252 Europe, corresponding to pollution controls (Leibensperger et al., 2012; Klimont et al., 2013;
253 Curier et al., 2014; Simon et al., 2014; Xing et al., 2015; Li et al., 2017a). Positive trends (0.5 to 1
254 kg km⁻² yr⁻¹) in both species are present over India and eastern China, however the positive trends
255 in emissions of SO₂ over eastern China are interspersed with negative trends (-1 to -0.5 kg km⁻²
256 yr⁻¹) in SO₂ emissions, corresponding to the deployment of desulfurization equipment on power
257 plants in recent years (Lu et al., 2011; Klimont et al., 2013; Wang et al., 2015). Ammonia (NH₃)
258 emissions (Figure 1c) have positive trends (0.001 to 0.05 kg km⁻² yr⁻¹) over most of South America,
259 North Africa, the Middle-East, and most of Asia with larger trends (0.1 to 0.5 kg km⁻² yr⁻¹) over
260 India and eastern China. There are positive trends (0.001 to 0.05 kg km⁻² yr⁻¹) in black carbon (BC)
261 emissions (Figure 1d) over North Africa, Europe, the Middle-East, India, and China, and negative
262 trends (-0.05 to -0.001 kg km⁻² yr⁻¹) over North America, Europe, West Africa, and central South
263 America. The trends in primary organic aerosol (POA) emissions (Figure 1e) follow a similar
264 pattern as the trends in BC emissions, except there are negative trends (-0.1 to -0.05 kg km⁻² yr⁻¹)
265 over eastern China, and the negative trends (-1 to -0.1 kg km⁻² yr⁻¹) over West Africa and central
266 South America are larger in magnitude reflecting regional changes in fire activity (Chen et al.,
267 2013; Andela and van der Werf, 2014). There are also positive trends (0.001 to 0.05 kg km⁻² yr⁻¹)
268 over the northern United States and Canada. The trends in dust emissions (Figure 1f) show the
269 largest magnitude of all the various species, although many have low statistical significance, with
270 areas of positive and negative trends (> 1 and < -1 kg km⁻² yr⁻¹) over North Africa, positive trends
271 (> 1 kg km⁻² yr⁻¹) parts of the Middle-East, and negative trends (< -1 kg km⁻² yr⁻¹) over northern
272 China and southern Australia.

273

274 **4. Mean UVAI values for 2005-2015**

275 We examine the seasonal long-term mean UVAI values for insight into the spatial
276 distribution of the aerosol absorption signals. Figures 2 and 3 show the seasonal mean UVAI values
277 for 2005-2015 for OMI and the simulation, respectively. Positive UVAI values between 0.2 and
278 1.5 indicating aerosol absorption are present over major desert regions globally for both OMI and

279 the simulation, particularly over the Saharan, Iranian, and Thar deserts. These positive signals are
280 driven by the absorption by mineral dust (Herman et al., 1997; Torres et al., 1998; Buchard et al.,
281 2015). The simulation underestimates some of the smaller dust features captured by OMI, such as
282 over western North America, South America, Australia, and parts of Asia, perhaps reflecting an
283 underestimate in the simulated mineral dust lifetime (Ridley et al. 2012) and missing dust sources
284 (Ginoux et al., 2012; Guan et al., 2016; Huang et al., 2015; Philip et al., 2017). The seasonal
285 variation in the observed and simulated UVAI is similar albeit with larger simulated values in
286 spring (MAM) over North Africa. In all seasons, the UVAI values driven by absorption by dust in
287 the simulation are concentrated mostly over North Africa, while for OMI the UVAI values are
288 more homogeneous over the Middle-East and Asia as well. Positive UVAI values of $\sim 0.2-1$ over
289 West and central Africa appearing in both the OMI and simulated values correspond to absorption
290 by brown carbon from biomass burning activities in these regions (Jethva and Torres, 2011;
291 Hammer et al., 2016). Over ocean most data are removed by our strict cloud filter.

292

293 **5. Trend in UVAI values between 2005-2015**

294 Figure 4 shows the trend in OMI and simulated UVAI values (coincidentally sampled from
295 OMI) calculated from the GLS regression of monthly UVAI time series values over 2005-2015.
296 Several regions exhibit consistency between the OMI and simulated UVAI trends. There are
297 statistically significant, positive trends in both OMI and simulated UVAI values over the eastern
298 United States (OMI: 1.0×10^{-5} to $2.5 \times 10^{-4} \text{ yr}^{-1}$, simulated: $2.5 \times 10^{-4} \text{ yr}^{-1}$ to $5.0 \times 10^{-4} \text{ yr}^{-1}$), and
299 Canada and parts of Russia (OMI: 1.0×10^{-5} to $2.5 \times 10^{-4} \text{ yr}^{-1}$, simulated: 5.0×10^{-4} to $2.0 \times 10^{-3} \text{ yr}^{-1}$).
300 Positive UVAI trends (1.0×10^{-5} to $2.5 \times 10^{-4} \text{ yr}^{-1}$) in both OMI and simulated values are present
301 over Europe, although the simulated trends have low statistical significance. Statistically
302 significant, positive UVAI trends (5.0×10^{-4} to $2.0 \times 10^{-3} \text{ yr}^{-1}$) in OMI values are apparent over
303 North Africa, which generally are captured by the simulation but with low statistical significance.
304 Negative UVAI trends ($-1.5 \times 10^{-3} \text{ yr}^{-1}$ to $-1.0 \times 10^{-5} \text{ yr}^{-1}$) in both OMI and simulated values are
305 apparent over most of South America, southern Africa, and Australia. Negative UVAI trends (-
306 2×10^{-3} to $-5.0 \times 10^{-4} \text{ yr}^{-1}$) in both OMI and simulated values are present over West Africa, with low
307 statistical significance that could be related to the filtering of persistent clouds. OMI and simulated
308 UVAI values show negative trends (-2×10^{-3} to $-5.0 \times 10^{-4} \text{ yr}^{-1}$) over India, although the simulated
309 trends have lower statistical significance.

310 Some regions have trends in OMI UVAI values which are not captured by the simulation.
311 Statistically significant, positive UVAI trends ($2.5 \times 10^{-4} \text{ yr}^{-1}$ to $1.5 \times 10^{-3} \text{ yr}^{-1}$) over the western
312 United States are apparent in the OMI values but not in the simulation. Zhang et al. (2017) found
313 positive trends in aerosol absorption optical depth from OMI retrievals that they attributed to
314 positive trends in mineral dust over the region, which were not captured by their GEOS-Chem
315 simulation. Statistically significant, positive UVAI trends (5.0×10^{-4} to $2.0 \times 10^{-3} \text{ yr}^{-1}$) in OMI
316 values exist over the Middle-East, while the simulation has negative trends with low statistical
317 significance. The OMI UVAI reveals a region of statistically significant, negative trends (-2×10^{-3}
318 to $-5.0 \times 10^{-4} \text{ yr}^{-1}$) over Mongolia/Inner Mongolia which is not captured by the simulation. There is
319 also a small area of statistically significant, positive UVAI trends (1.5×10^{-3} to $2.0 \times 10^{-3} \text{ yr}^{-1}$) in
320 OMI values of over Central Asia between the Caspian Sea and the Aral Sea which is not captured
321 by the simulation. Trends in surface reflectance from the diminishing Aral Sea cannot solely
322 explain the UVAI trends since they extend over the Caspian Sea. Trends in mineral dust are a more
323 likely explanation as discussed further below.

324 Figures 5 and 6 show the seasonality of the OMI and simulated UVAI trends respectively.
325 The positive UVAI trends over the eastern United States is strongest in summer (JJA) for both
326 OMI and the simulation. The positive UVAI trends over North Africa and the Middle-East are
327 present for all seasons for OMI and for most seasons in the simulation, except in JJA for North
328 Africa and spring (MAM) for the Middle-East. The simulation underestimates the observed UVAI
329 trend over North Africa in SON, perhaps related to an underestimate in trends in mineral dust
330 emissions in the simulation during this season. He et al. (2014) examined the 2000-2010 trends in
331 global surface albedo using the Global Land Surface Satellites (GLASS) dataset and found no
332 significant trends over this region during SON. The negative trend in UVAI values over West
333 Africa is most apparent in the fall (SON) and winter (DJF) for both OMI and the simulation. The
334 negative OMI UVAI trends over Mongolia/Inner Mongolia and the positive OMI UVAI trends
335 near the Aral Sea are strongest in JJA and weakest in DJF, providing evidence for a mineral dust
336 source. The OMI UVAI trend over Mongolia/Inner Mongolia may be part of a longer term trend.
337 Guan et al. (2017) examined dust storm data over northern China (including Inner Mongolia) for
338 the period 1960-2007, and found that dust storm frequency has been declining over the region due
339 to a gradual decrease in wind speed. The current generation of chemical transport models is
340 unlikely to represent the source near the Aral Sea without an explicit parameterization of the drying

341 sea. The desiccation of the Aral Sea over recent decades has resulted in a steady decline in water
342 coverage over the area (Shi et al., 2014; Shi and Wang, 2015) and has led to the dried up sea bed
343 becoming an increasing source of dust activity in the region (Spivak et al., 2012). Indoitu et al.
344 (2015) found that most dust events are directed towards the west, consistent with the OMI
345 observations. An increase in surface reflectance due to the drying up of the sea bed could also
346 positively influence trends in UVAI. He et al. (2014) found a positive trend over 2000-2010 in
347 surface albedo over the region in JJA and SON, corresponding to when the OMI UVAI trends are
348 strongest.

349 **6. Contribution of individual aerosol species to the simulated UVAI**

351 To further interpret the UVAI trends, we examine the trends in aerosol concentrations from
352 our GEOS-Chem simulation (Figure 7). Figure 7a shows the trends in secondary inorganic aerosol
353 (SIA). There are statistically significant, negative trends over the eastern United States (-1 to -0.05
354 $\mu\text{g m}^{-2} \text{yr}^{-1}$) and statistically significant, positive trends over the Middle-East (0.05 to 0.5 $\mu\text{g m}^{-2}$
355 yr^{-1}), India (0.05 to 1 $\mu\text{g m}^{-2} \text{yr}^{-1}$), South America, and southern Africa (0.05 to 0.25 $\mu\text{g m}^{-2} \text{yr}^{-1}$).
356 Figure 7b shows the trends in dust. Similar to the trends in emissions, the trends in dust
357 concentrations are of the largest magnitude of the various species, however often with low
358 statistical significance. There are positive trends over the Middle-East ($> 2 \mu\text{g m}^{-2} \text{yr}^{-1}$), India (0.05
359 to 2 $\mu\text{g m}^{-2} \text{yr}^{-1}$), and north west China (1 to 2 $\mu\text{g m}^{-2} \text{yr}^{-1}$). There are also positive trends (0.05 to
360 0.25 $\mu\text{g m}^{-2} \text{yr}^{-1}$) with low statistical significance over the United States, northern South America,
361 southern Africa, and northern Australia. There is a combination of positive and negative trends ($>$
362 2 and $< -2 \mu\text{g m}^{-2} \text{yr}^{-1}$) over North Africa, and negative trends over China and Mongolia ($< -2 \mu\text{g}$
363 $\text{m}^{-2} \text{yr}^{-1}$) and Australia (-1 to -0.5 $\mu\text{g m}^{-2} \text{yr}^{-1}$). Figures 7c and 7d show the trends in total organic
364 aerosol (OA) and the absorbing brown carbon (BrC) component of OA, respectively. Positive
365 trends over Canada and parts of Russia (0.05 to 0.5 $\mu\text{g m}^{-2} \text{yr}^{-1}$) in total OA are mainly due to the
366 positive trend in BrC. Statistically significant, negative trends in total OA (-1 to -0.05 $\mu\text{g m}^{-2} \text{yr}^{-1}$)
367 over the eastern United States are dominated by scattering organic aerosol. Statistically significant,
368 negative trends (-2 to -0.05 $\mu\text{g m}^{-2} \text{yr}^{-1}$) over West Africa and South America for total OA are
369 dominated by the trend in absorbing BrC. Figures 5e and 5f show the trends in black carbon (BC)

370 and salt, respectively. There are positive trends (0.05 to $0.25 \mu\text{g m}^{-2} \text{yr}^{-1}$) in BC with low statistical
371 significance over India and China. Sea salt trends are negligible.

372 To gain further insight into how changes in aerosols effect the trends in simulated UVAI,
373 we examine the sensitivity of the UVAI to changes in individual aerosol species. Figure 8 shows
374 the change in annual mean UVAI due to doubling the concentration of individual aerosol species.
375 This information facilitates interpretation of the observed UVAI trends by identifying the chemical
376 components that could explain the observed trends. Doubling scattering SIA concentrations
377 (Figure 8a) decreases the UVAI between -0.25 and -0.1 over most of the globe, with the largest
378 changes over the Eastern United States, Europe, parts of the Middle-East, India, and south east
379 China. Doubling dust concentrations (Figure 8b) produces the largest changes in UVAI, causing
380 increases between 0.5 and 1 over North Africa, and smaller increases between 0.2 and 0.5 over the
381 Middle-East, Europe, and parts of Asia and Australia. Figures 8c and 8d show the changes in
382 UVAI due to doubling total OA concentrations and the absorbing BrC component, respectively.
383 The doubling of BrC increases the UVAI between 0.1 and 0.5 over Canada, West and central
384 Africa, India, parts of Russia, eastern China, and central South America. Doubling total OA
385 concentrations over central South America causes a net decrease of ~ -0.1 as the scattering
386 component of total OA cancels out the absorption by BrC. Doubling BC concentrations (Figure
387 8e) increases the UVAI of 0.1 over central Africa, India, and south east China, while doubling sea
388 salt concentrations (Figure 8f) has negligible effect on the UVAI.

389 Figure 9 shows the change in simulated UVAI due to the 2005-2015 trends in individual
390 aerosol species from our GEOS-Chem simulation. The change for each species is calculated by
391 applying the aerosol concentration trends for the individual aerosol type while leaving the
392 concentrations unchanged for the other aerosol species, then taking the difference between this
393 perturbed UVAI simulation and an unperturbed simulation. Negative trends in scattering SIA
394 (Figure 9a) increase the UVAI by 1.0×10^{-4} to $7.5 \times 10^{-3} \text{yr}^{-1}$ over the eastern United States and by
395 1.0×10^{-4} to $2.5 \times 10^{-3} \text{yr}^{-1}$ over Europe, corresponding to regions of positive UVAI trends in both
396 OMI and the simulation (Figure 4). Increasing SIA decreases the UVAI by $-2.5 \times 10^{-3} \text{yr}^{-1}$ to $-$
397 $1.0 \times 10^{-4} \text{yr}^{-1}$ over the Middle-East, India, and east China. Trends in dust concentrations (Figure
398 9b) cause the largest change in UVAI with regional increases $> 1 \times 10^{-2} \text{yr}^{-1}$ and regional decreases
399 $< -1 \times 10^{-2} \text{yr}^{-1}$. Simulated UVAI trends due to mineral dust are mostly negative over North Africa,
400 East Asia, and Australia, while mostly positive over the Middle-East. Noisy trends in regional

401 meteorology cause heterogeneous trends in dust and in the UVAI, with low statistical significance.
402 Figures 9c and 9d show the change in UVAI due to the trends in total OA and the absorbing BrC
403 component of total OA, respectively. Most of the changes in UVAI due to the trends in total OA
404 are caused by the trends in the absorbing BrC component, with increases in the UVAI between
405 2.5×10^{-3} and $1 \times 10^{-2} \text{ yr}^{-1}$ over Canada and parts of Russia, corresponding to regions of positive
406 UVAI trends for both OMI and the simulation (Figure 4). There are decreases in the UVAI < -
407 $1 \times 10^{-2} \text{ yr}^{-1}$ over central South America and West Africa due to the negative trends in BrC,
408 corresponding to regions of negative UVAI trends for both OMI and the simulation (Figure 4).
409 Over the eastern United States there is a mixture of increases and decreases in the UVAI due to
410 the trends in scattering organic aerosol. Positive trends in BC increase the UVAI (Figure 9e) by
411 1.0×10^{-4} to $2.5 \times 10^{-3} \text{ yr}^{-1}$ over India and China. There are no obvious changes in the UVAI due to
412 the trends in sea salt (Figure 9f).

413

414 7. Conclusions

415 Observations of aerosol scattering and absorption offer valuable information about aerosol
416 composition. We simulated the Ultraviolet Aerosol Index (UVAI), a method of detecting aerosol
417 absorption using satellite measurements, to interpret trends in OMI observed UVAI over 2005-
418 2015 to understand global trends in aerosol composition. We conducted our simulation using the
419 vector radiative transfer model VLIDORT with aerosol fields from the global chemical transport
420 model GEOS-Chem.

421 We demonstrated that interpretation of the OMI UVAI with a quantitative simulation of
422 the UVAI offers information about trends in aerosol composition. We found that global trends in
423 the UVAI were largely explained by trends in absorption by mineral dust, absorption by brown
424 carbon, and scattering by secondary inorganic aerosols. We also identified areas for model
425 development, such as dust emissions from the desiccating Aral Sea.

426 We examined the 2005-2015 trends in individual aerosol species from GEOS-Chem, and
427 applied these trends to the UVAI simulation to calculate the change in simulated UVAI due to the
428 trends in individual aerosol species. The two most prominent positive trends in the observed UVAI
429 were over North Africa and over Central Asia near the desiccating Aral Sea. The simulated UVAI
430 attributes the positive trends over North Africa to increasing mineral dust, despite an
431 underestimated simulated trend in fall (SON) that deserves further attention. The positive trends

432 in the observed UVAI over Central Asia near the shrinking Aral Sea are likely due to increased
433 dust emissions, a feature that is unlikely to be represented in most chemical transport models. The
434 most prominent negative trends in the observed UVAI were over East Asia, South Asia, and
435 Australia. The simulation attributed the negative trends over East Asia and Australia to decreasing
436 mineral dust, despite underestimating the trend in East Asia. The simulation attributed the negative
437 trend over South Asia to increasing scattering secondary inorganic aerosols, a trend that the
438 observations imply could be even larger. We found the positive trends in the UVAI over the eastern
439 United States that were strongest in summer (JJA) in both the observations and the simulation were
440 driven by negative trends in scattering secondary inorganic aerosol and organic aerosol. Observed
441 negative trends in winter (DJF) were less well simulated. Over West Africa and South America,
442 negative trends in UVAI were explained by negative trends in absorbing brown carbon. Thus,
443 trends in the observed UVAI offer valuable information on the evolution of global aerosol
444 composition that can be understood through quantitative simulation of the UVAI.

445 Looking forward, the availability of the UVAI observations from 1979 to the present offer
446 a unique opportunity to understand long-term trends in aerosol composition. The recent launch of
447 the TROPOspheric Monitoring Instrument (TROPOMI; Veeffkind et al., 2012) and the
448 forthcoming geostationary constellation offer UVAI observations at finer spatial and temporal
449 resolution. The forthcoming Multi-Angle Imager for Aerosols (MAIA; Diner et al., 2018) satellite
450 instrument offers an exciting opportunity to derive even more information about aerosol
451 composition by combining measurements at ultraviolet wavelengths with multi-angle observations
452 and polarization sensitivity.

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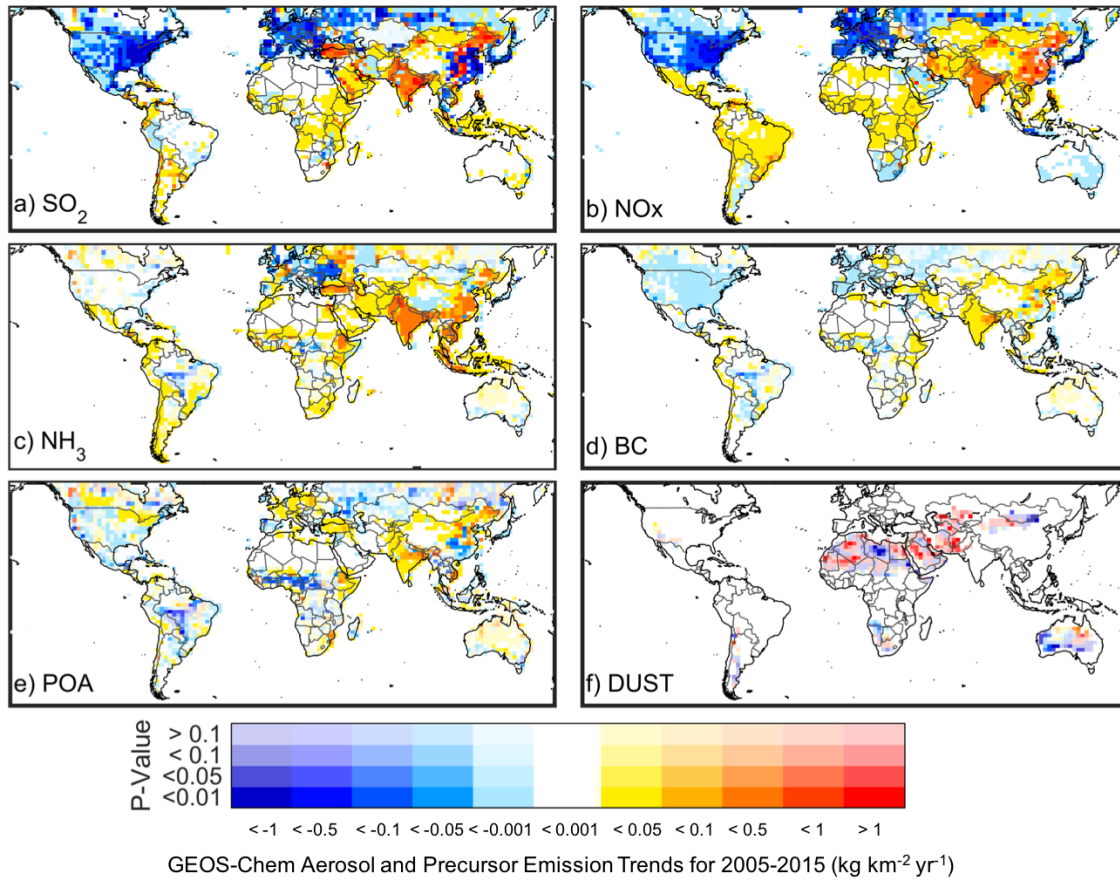
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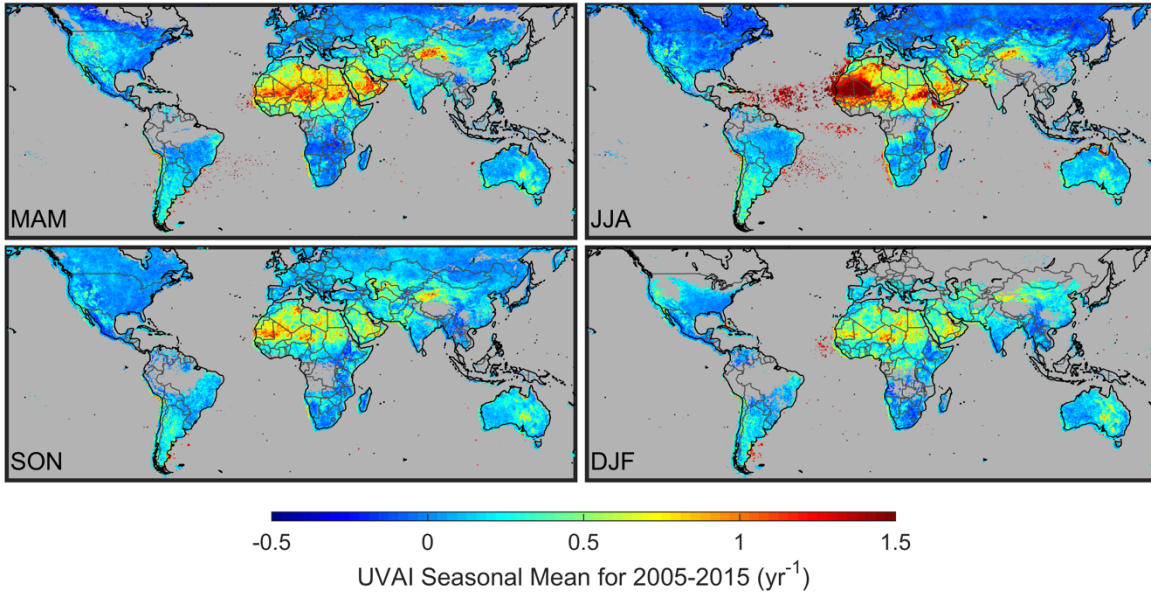
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834 **Figures**
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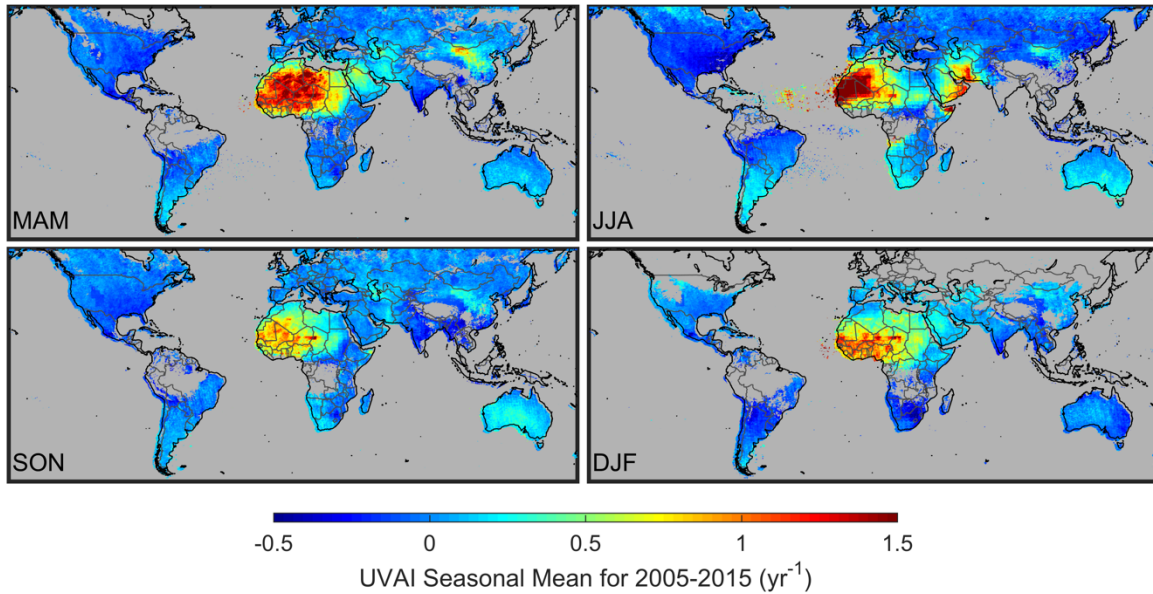
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837 **Figure 1:** Trend in emissions of a) sulfur dioxide (SO₂) (kg SO₂ km⁻² yr⁻¹), b) nitrogen oxides
838 (NO_x) (kg NO km⁻² yr⁻¹), ammonia (NH₃) (kg NH₃ km⁻² yr⁻¹), black carbon (BC) (kg C km⁻² yr⁻¹),
839 primary organic carbon (POA) (kg C km⁻² yr⁻¹), and dust (kg km⁻² yr⁻¹) used in our GEOS-Chem
840 simulation. The trends are calculated from the Generalized Least Squares regression of monthly
841 time series values over 2005-2015.
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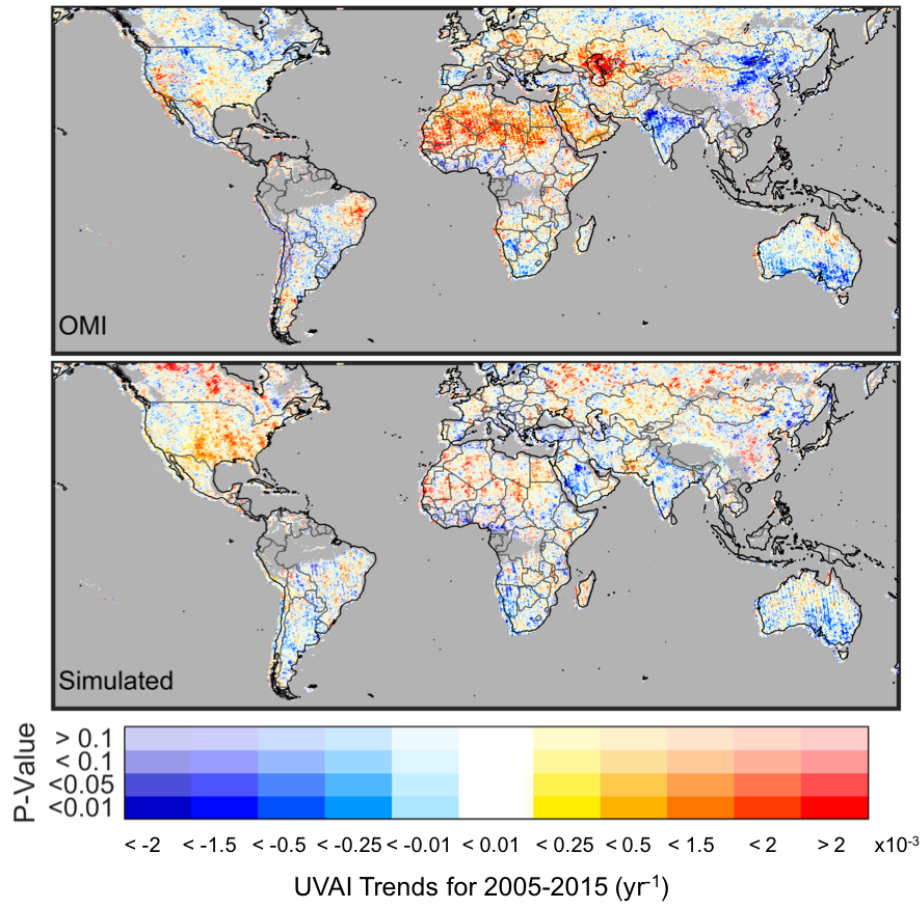
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Figure 2: Seasonal mean UVAI values for the 2005-2015 period as observed by OMI for MAM (May, April, March), JJA (June, July August), SON (September, October, November), and DJF (December, January, February). Gray indicates persistent cloud fraction greater than 5%.



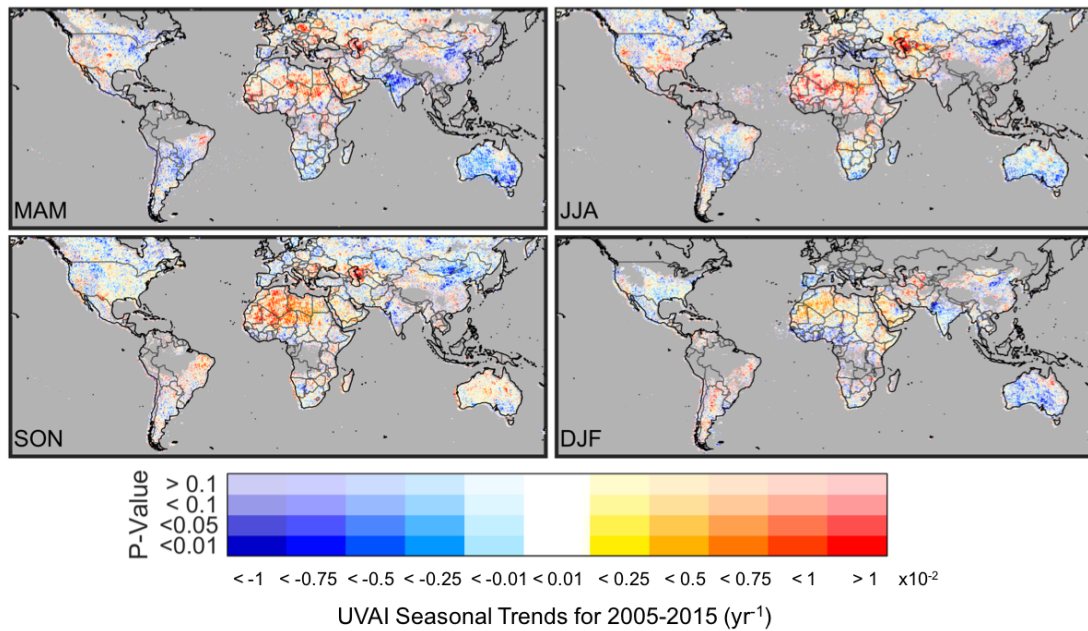
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857 **Figure 3:** Seasonal mean UVAI values for the 2005-2015 period from our simulation coincidentally
 858 sampled from OMI for MAM (May, April, March), JJA (June, July August), SON (September,
 859 October, November), and DJF (December, January, February). Gray indicates persistent cloud
 860 fraction greater than 5%.



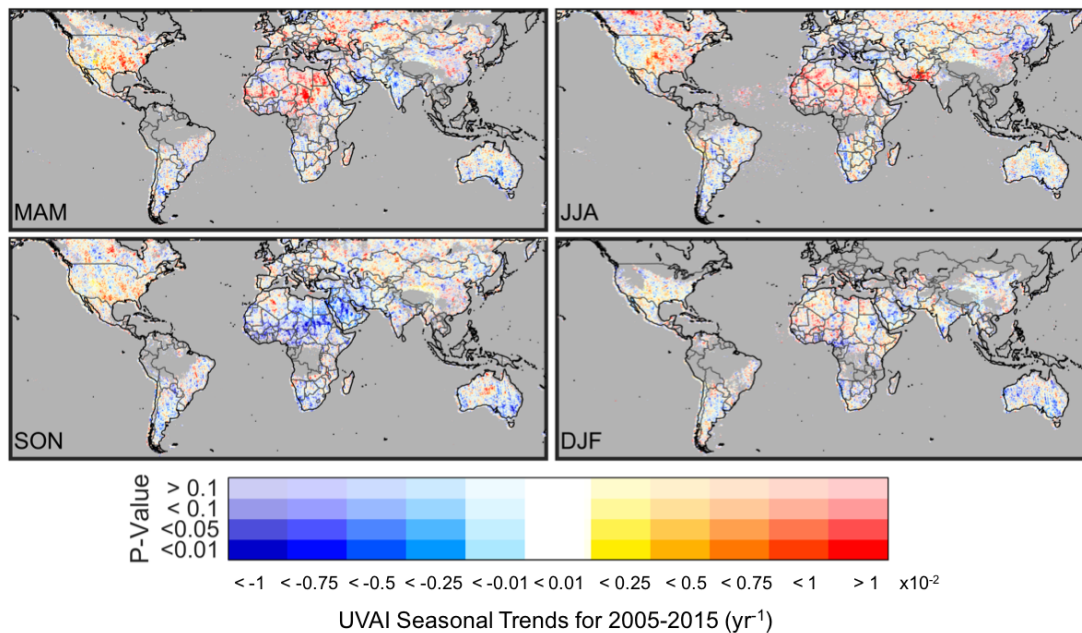
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862 **Figure 4:** Trends in OMI (top panel) and simulated (bottom panel) UVAI values coincidentally
 863 sampled from OMI calculated from the Generalized Least Squares regression of monthly time
 864 series values over 2005-2015. The opacity of the colors indicates the statistical significance of the
 865 trend. Gray indicates persistent cloud fraction greater than 5%.



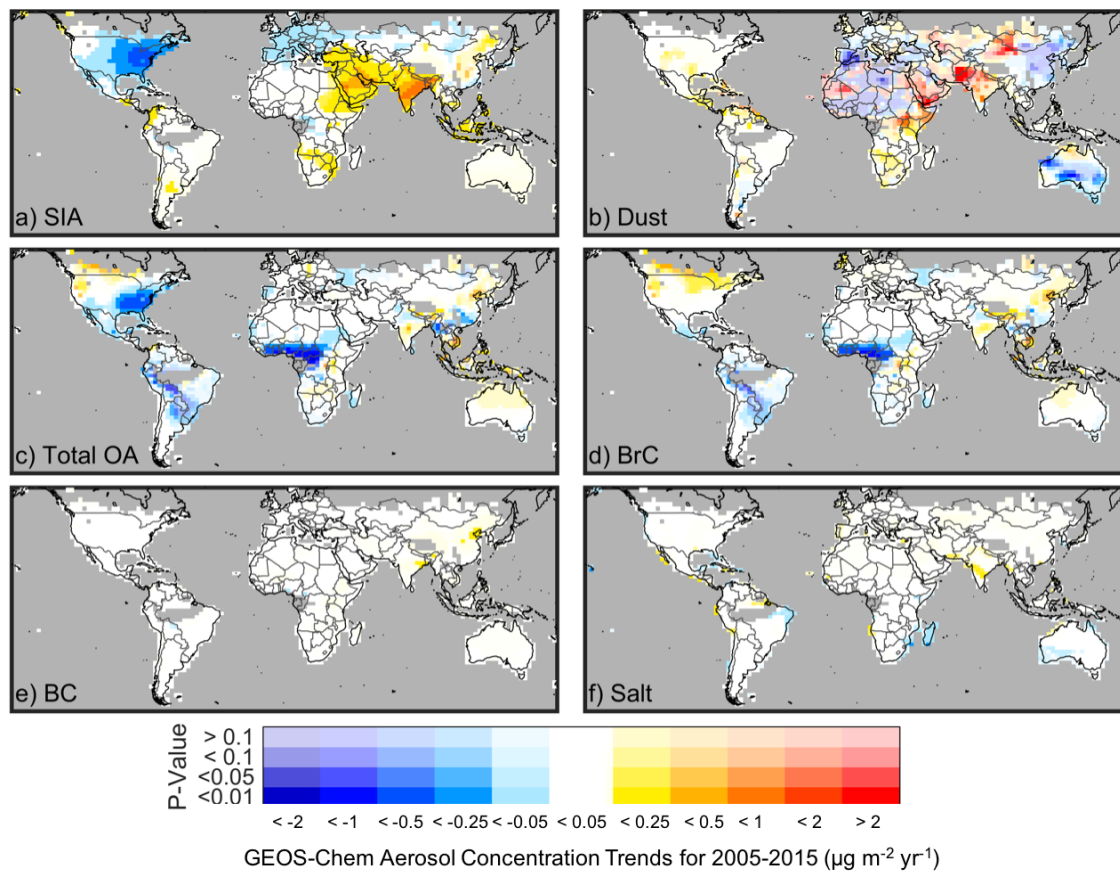
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867 **Figure 5:** Seasonality of the trends in OMI UVAI values calculated from the Generalized Least
 868 Squares regression of monthly time series values over 2005-2015 for MAM (May, April, March),
 869 JJA (June, July August), SON (September, October, November), and DJF (December, January,
 870 February). The opacity of the colors indicates the statistical significance of the trend. Gray
 871 indicates persistent cloud fraction greater than 5%.



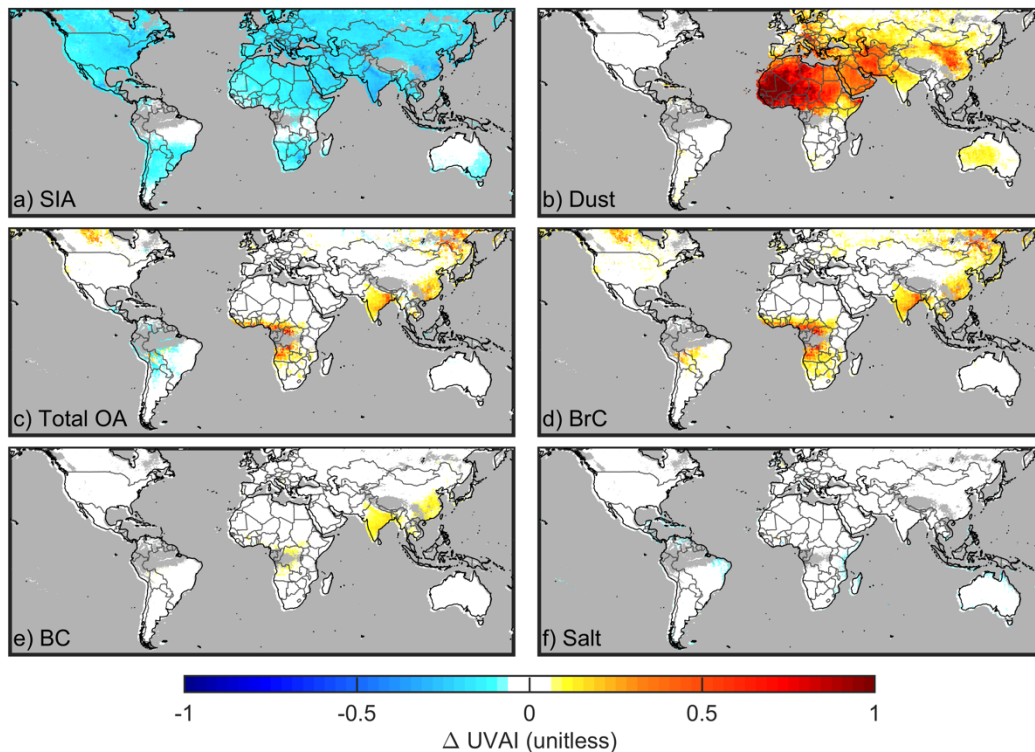
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873 **Figure 6:** Seasonality of the trends in simulated UVAI values coincidentally sampled from OMI
 874 calculated from the Generalized Least Squares regression of monthly time series values over 2005-
 875 2015 for MAM (May, April, March), JJA (June, July August), SON (September, October,
 876 November), and DJF (December, January, February). The opacity of the colors indicates the
 877 statistical significance of the trend. Gray indicates persistent cloud fraction greater than 5%.



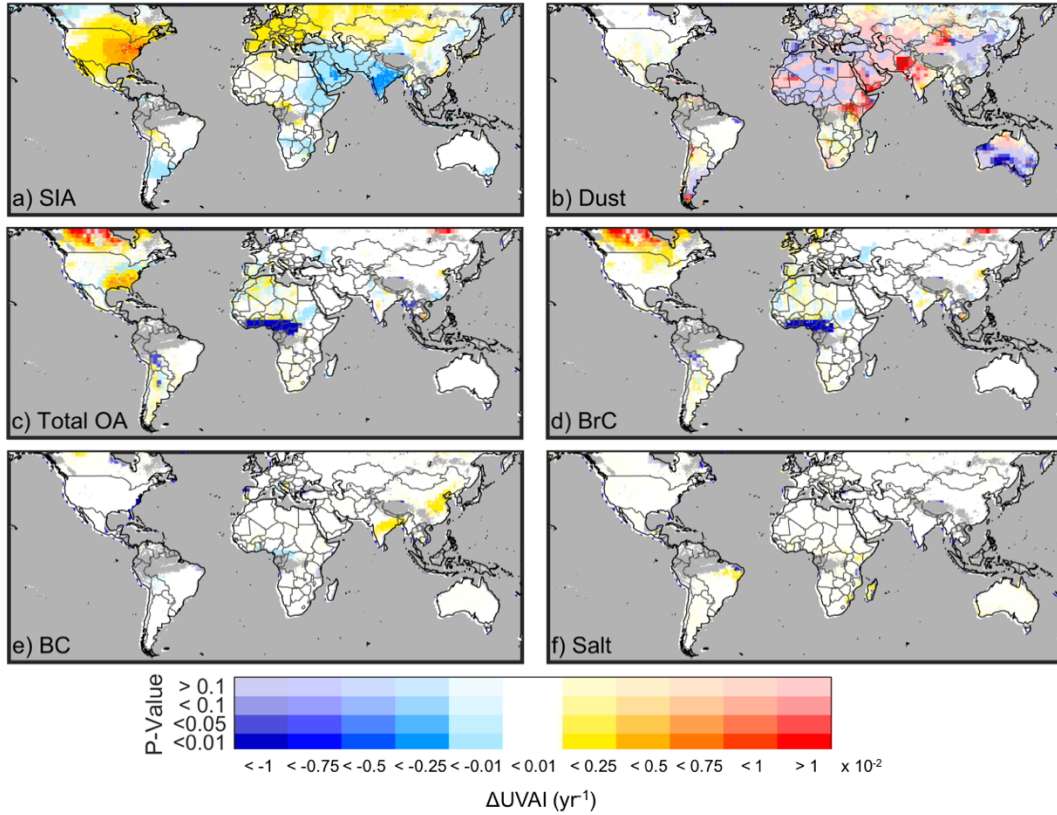
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879 **Figure 7:** Trend in GEOS-Chem aerosol concentrations for a) secondary inorganic aerosol (SIA),
 880 b) dust, c) total organic aerosol (OA), d) brown carbon (BrC), e) black carbon (BC), and f) sea
 881 salt. The trends are calculated from the GLS regression of monthly aerosol concentration time
 882 series values over 2005-2015. The opacity of the colors indicates the statistical significance of the
 883 trend. Gray indicates persistent cloud fraction greater than 5%.



884

885 **Figure 8:** Annual mean change in simulated UVAI values for 2008 due to the doubling of
 886 concentrations of a) secondary inorganic aerosol (SIA), b) dust, c) total organic aerosol (OA), d)
 887 brown carbon (BrC), e) black carbon (BC), and f) sea salt from the GEOS-Chem simulation. Gray
 888 indicates persistent cloud fraction greater than 5%.



889

890 **Figure 9:** Change in simulated UVAI values due to the 2005-2015 trends in a) secondary inorganic
 891 aerosols (SIA), b) dust, c) total organic aerosol (OA), d) brown carbon (BrC), e) black carbon
 892 (BC), and f) sea salt from the GEOS-Chem simulation. Gray indicates persistent cloud fraction
 893 greater than 5%.