

We would like to thank both referees for their comments regarding our manuscript. Below we have included our responses. The referee comments are in black text while our responses are in blue.

Referee Comment #1

In the study presented in this manuscript, the authors attempt to identify the aerosol components responsible for trends observed in monthly averaged UVAI. Aerosol fields from a global model were combined with radiative transfer calculations to obtain modeled UVAI which were compared with OMI UVAI. Whereas the topic is interesting and the approach promising, the study was not well performed. In particular, I have three major issues with the current manuscript:

1. The interpretation of UVAI and UVAI trends is not sufficiently addressed (as mentioned in the first round of review). The authors have the tools (GCM + RTM) to study the effects of changing aerosol amount, composition, and altitude on UVAI; if they would perform a detailed systematic study for several selected regions (and, possibly, seasons) this would greatly aid the interpretation of observed trends.

We have previously conducted a detailed, systematic study of our simulated UVAI compared to the OMI UVAI for several selected regions and seasons in our previous publication (Hammer et al., 2016; <https://doi.org/10.5194/acp-16-2507-2016>). We expand on the reference to this previous publication in our introduction:

Page 4 lines 110-112: “In this work, we apply a simulation of the UVAI, which was developed and evaluated regionally and seasonally in Hammer et al. (2016) ...”

We also elaborate on our studies of the effects of changing aerosol amount and composition in this manuscript:

Page 12 lines 353-356: “Figure 8 shows the change in annual mean UVAI due to doubling the concentration of individual aerosol species. This information facilitates interpretation of the observed UVAI trends by identifying the chemical components that could explain the observed trends.”

and we add new sensitivity simulations of the effects of aerosol altitude:

Page 6 lines 183-186: “We also calculated the change in UVAI due to changes in simulated aerosol altitude, but found that the trends in aerosol altitude were negligible (order 10^{-5} hPa yr⁻¹). Therefore we focus our analysis on trends in aerosol composition which have a larger effect on the UVAI as demonstrated below.”

2. The authors attempt to explain observed UVAI trends by comparing with model data that shows rather different trends. It is unclear how this could work.

Indeed we are learning a great deal from the comparison of observed and modelled trends. Our study does not require that our modeled trends match the observed OMI UVAI trends. Rather, in

our UVAI simulation we have known aerosol composition. Therefore we can directly interpret how trends in modelled aerosol composition relate to trends in simulated UVAI values. We can then use the trends in simulated UVAI values with known aerosol composition to interpret either the similarities or differences in the trends in observed OMI UVAI values. This comparison thus offers insight into the observed OMI UVAI values that can be explained by the simulation, and insight into possible explanations of remaining discrepancies.

We write in our introduction:

Page 4 lines 115-118: “Comparison of trends in observed OMI UVAI values to the trends in simulated UVAI values, which are calculated using known aerosol composition, enables qualification of how changes in aerosol absorption and scattering could influence the observed UVAI trends and identification of model development needs.”

3. There appears to be no important conclusion. The attribution of various trends to certain changes in aerosol amount or composition remains rather speculative.

We have emphasized that interpretation of the OMI UVAI with a quantitative simulation of the UVAI offers information about trends in aerosol composition. For example, we found that global trends in the UVAI were largely explained by trends in absorption of mineral dust, absorption by brown carbon, and scattering by secondary inorganic aerosols. We also identified areas for model development, such as dust emissions from the desiccating Aral Sea.

Specific comments

Section 5:

a. The presented trends are tiny, at most 0.02 (seen in North Africa) over the whole decade of OMI observations. Although the analysis reports that these trends are statistically significant (as the authors state), I would like to apply to common sense and a critical look at the data: the uncertainty of UVAI is at least on the order of 0.1, and the variability (due to aerosols, clouds, and surface) is much larger. If you insist on discussing these trends, I strongly suggest adding a figure so that the reliability of the trend analysis can be estimated.

Indeed, we have taken great care to address the errors in the UVAI to enable quantitative interpretation of observed trends as now described in line 143. In particular we have used a recently reprocessed version of the UVAI algorithm which treats clouds with a Mie-scattering based water cloud model. We have used a UVAI algorithm that more accurately accounted for scattering by mineral dust and by clouds, reducing systematic artifacts and scan angle bias. Furthermore, we focus on 10-years of observations so that multiple observations can reduce the random error of UVAI observations.

b. Why is the rather obvious modeled negative trend over the Sahara in SON not addressed in the text? In the conclusions it is mentioned that it is "erroneous", but I'd rather say it is not in agreement with observations (maybe the same trend is present in the observations, but compensated by, e.g., changes in surface reflection).

We have added a comment about the modeled negative trend over the Sahara in SON:

Page 10 lines 308-312: “The simulation underestimates the observed UVAI trend over North Africa in SON, perhaps related to an underestimate in trends in mineral dust emissions in the simulation during this season. He et al. (2014) examined the 2000-2010 trends in global surface albedo using the Global Land Surface Satellites (GLASS) dataset and found no significant trends over this region during SON.”

We have rephrased the conclusion:

Page 14 lines 406-408: “The simulated UVAI attributes the positive trends over North Africa to increasing mineral dust, despite an underestimated simulated trend in fall (SON) that deserves further attention.”

c. If the dust UVAI over Mongolia decreased due to changes in wind speed, why is this not reproduced by the model?

Our simulation is for a different time period (2005-2015) than the Guan et al. (2017) study over 1960-2007. We have rephrased to emphasize the differences:

Page 11, lines 313-319: “The OMI UVAI trend over Mongolia/Inner Mongolia may be part of a longer term trend. Guan et al. (2017) examined dust storm data over northern China (including Inner Mongolia) for the period 1960-2007, and found that dust storm frequency has been declining over the region due to a gradual decrease in wind speed.”

d. As you mention in ll. 321-325, changes in surface reflectance affect the UVAI. This will strongly affect your trend analysis, so it may be important to estimate the contribution of changing surface reflectance to the UVAI trend using VLIDORT.

We have added to the manuscript:

Page 6 lines 179-183: “For the UVAI calculation we use the surface reflectance fields provided by OMI. We calculated the 2005-2015 trends in these surface reflectance fields, and found that they were statistically insignificant globally and on the order of 10^{-5} . We calculated the change in UVAI due to a change in surface reflectance of this order of magnitude, and found that the change in UVAI was negligible. Therefore we focus our analysis on trends in aerosol composition which have a larger effect on the UVAI as demonstrated below.”

Section 6:

e. As mentioned in issue 2 above, I fail to see how the comparison of observed trends with model trends can aid the understanding of the observed trends, as there is only little agreement between both data sets. A better set-up for this study would have been a focus on 3-5 regions of interest, for which extensive tests with varying aerosol amounts and composition should have been performed.

Thank you for your suggestion. We prefer to share the full global analysis with the reader for transparency, so the reader can see both areas of agreement and disagreement. We learn from areas of disagreement as much as from areas of agreement as evidenced by the discussion of the feature near the Aral Sea. We elaborate on this in the manuscript:

Page 4 lines 115-118: “Comparison of trends in observed OMI UVAI values to the trends in simulated UVAI values, which are calculated using known aerosol composition, enables qualification of how changes in aerosol absorption and scattering could influence the observed UVAI trends and identification of model development needs.”

f. Similarly, it remains unclear what we learn from an analysis as that shown in Fig. 8.

We added:

Page 12 lines 353-356: “Figure 8 shows the change in annual mean UVAI due to doubling the concentration of individual aerosol species. This information facilitates interpretation of the observed UVAI trends by identifying the chemical components that could explain the observed trends.”

Section 7:

g. In general, there should be more coupling of the model results to observations. E.g., instead of the inaccurate statement (ll. 408-409) that "The simulation attributed the negative trend over South Asia to increasing scattering secondary inorganic aerosols (...)", I would argue that by comparing Figs. 5, 6, and 9, it can be seen that over India the modeled trend is possibly too small because either the UVAI increase due to more dust is overestimated or the UVAI decrease due to more secondary aerosols is underestimated. The observed trend is negative.

In this revision we have tried to emphasize the coupling of model results to observations. For example, by using scene-dependent OMI viewing geometry together with scene-dependent modelled atmospheric composition we enable quantitative comparison of model results with observations.

Regarding the specific comment for India, the trend in both simulated and observed UVAI values is negative over South Asia. Figure 9 shows that the largest change in our simulated UVAI values over India is a decrease due to the positive trends in scattering SIA over the region. Hence we respectfully retain the statement: “The simulation attributed the negative trend over South Asia to increasing scattering secondary inorganic aerosols, a trend that the observations imply could be even larger.”

h. The fact that over the eastern US you find the strongest negative UVAI trend in summer is a strong indication that this is caused by secondary organic aerosols of biogenic origin - not, as stated in the manuscript, SIA. SOA are notoriously badly reproduced by GCMs. (see, e.g., Penning de Vries et al., ACP 2015 (doi: 10.5194/acp-15-10597-2015))

Good point that OA may play a role. We revised the sentence:

Page 14 lines 415-417: “We found the positive trends in the UVAI over the eastern United States that were strongest in summer (JJA) in both the observations and the simulation were driven by negative trends in scattering secondary inorganic aerosol and organic aerosol.”

We also note that the GEOS-Chem aerosol simulation we use has been extensively evaluated against ground-based PM_{2.5} composition measurements (Li et al., (2016); DOI: 10.1021/acs.est.7b02530). The simulated aerosol trends were found to well reproduce the summer trends in OA over the southeastern U.S., mainly due to the inclusion of an aqueous formation mechanism of isoprene (Marais et al., 2016; DOI: 10.5194/acp-16-1603-2016).

Minor comments:

p.2, l.42: The indirect effect is only mentioned in passing here, but it is actually at least as great as the direct effect. Please add a line or two about the indirect effect.

We now further emphasize the indirect effect:

Page 2 lines 38-39: “Atmospheric aerosols have significant climate impacts due to their ability to scatter and absorb solar radiation and to their indirect effect through modification of cloud properties.”

p.3, l.64: Please add a reference to the study by Fioletov et al., ACP 2016 (doi: 10.5194/acp-16-11497-2016)

We have added this reference to the revised text:

Page 3 lines 63-66: “Due to the wide implementation of flue-gas desulfurization equipment on most power plants in China, emissions of sulfur dioxide (SO₂) in some regions have been decreasing since about 2006-2008 (Lu et al., 2011; Wang et al., 2015; Fioletov et al., 2016).”

p.4, l.102: Please add a reference to Penning de Vries et al., ACP 2009 after "absorption and scattering." (doi: 10.5194/acp-9-9555-2009)

Done.

p.4, ll.102-105: "Prior interpretation (...) through simulation." This is rather vague. A few more words on UVAI's "dependence on other parameters" would be helpful here, preferably with a figure showing the AOD and ALH dependences of the different aerosol components (SIA, Dust, OA, BrC, BC, and Salt).

We have rephrased this sentence and have added references to the introduction about these dependencies:

Page 4 lines 105-107: “Prior interpretation of the UVAI has been complicated by its dependence on geophysical parameters, such as aerosol layer height (Herman et al., 1997; Torres et al., 1998; de Graaf et al., 2005).”

In terms of our calculated trends, we calculated the trend in ALH over 2005-2015, and found the trends were $\sim 10^{-5}$ hPa yr⁻¹ in magnitude. We conducted a sensitivity test by calculating the change in UVAI associated with a 1 hPa increase in ALH, and found that gave a negligible change in UVAI ($\sim 10^{-6}$). As described in the manuscript:

Page 6 lines 183-186: “We also calculated the change in UVAI due to changes in simulated aerosol altitude, but found that the trends in aerosol altitude were negligible (order 10^{-5} hPa yr⁻¹). Therefore we focus our analysis on trends in aerosol composition which have a larger effect on the UVAI as demonstrated below.”

p.5, l.128: Add references to Herman et al., 1997, and Torres et al., 1998.

Done.

p.5, ll.133-134: "Negative UVAI values due to aerosol scattering are often weak and buried in noise (Torres et al., 2007)." But certainly not always! In fact, you interpret the negative UVAI values in this study, so please rephrase and cite Penning de Vries et al., ACP 2015 (doi: 10.5194/acp-15-10597-2015)

We have rephrased the sentence and added the citation:

Page 5 lines 137-138: “Negative UVAI values due to aerosol scattering are often weak and have historically been affected by noise in previous datasets (Torres et al., 2007; Penning de Vries et al., 2015).”

p.5, 134-137: "Because UVAI (...) the absorption signal." This statement is too simple; did you test it using your RTM? In fact, multiple scattering (particularly if a layer of scattering aerosols is located below an absorbing aerosol layer) may increase absorption and UVAI.

We have rephrased the sentence:

Page 5 lines 138-141: “Because UVAI values are calculated from top of atmosphere (TOA) radiance which contains total aerosol effects, the presence (or lack) of scattering aerosol along with absorbing aerosol can either weaken (or strengthen) the absorption signal.”

We have tested this in the RTM, as shown in Figures 8 and 9.

p.5, ll.139-145: Why do you mention the other OMAERUV products if you don't use them? Consider removing the paragraph. If you do decide to keep it, insert "by incorporating" between "observed radiances" and "aerosol type selection" on line 140.

We have removed the paragraph.

p.5, l.151: Which "cloud fraction" do you mean? Effective? Radiative? The cloud fraction from the UVAI algorithm? How much is 0.05? And a related question: why does so little data over ocean remain - is your cloud filter too restrictive there?

We have clarified in the text that the radiative cloud fraction is from the OMI UVAI algorithm, and 0.05 is 5%. We purposely use a very restrictive cloud filter to reduce error associated with clouds. We clarify this on lines 147-148.

p.6, l.157: "insensitive" -> "less sensitive"

We have made this correction in the revised text.

p.6, l.159: "deseasonalized": this is not mentioned in the results section. Why would you want to do this? More importantly: can you deseasonalize by subtracting a mean value? The UVAI is not additive!

We clarified our objective to focus on the long-term trends. Deseasonalization is a standard method for removing temporal variation from a dataset in order to accurately calculate a long-term trend in a time series. There is no need for the data to be “additive”, subtracting the annual mean value from the monthly values allows for the removal of the monthly variation in the UVAI dataset which is not actually due to a trend in the data. This allows focus on long-term UVAI changes over time.

p.6, ll.159-160: "A minimum temporal coverage of 60%": What, exactly, do you mean?

The sentence is rephrased to clarify that a particular pixel must contain data for at least 60% of the time period in order for regression to be performed, to avoid conducting a trend analysis on a pixel where there is only a few years of data.

p.6, ll.177-181: It is unclear to me how the radiances were calculated, can you present an example? E.g., the UVAI of an OMI pixel with 4% cloudiness and cloud optical depth equal to 30 is simulated by summing the radiances from a cloudy pixel with optical depth 30 (R_{cloudy}) and those from the aerosol scene ($R_{aerosol}$) by using the independent pixel approximation: $R_{pixel} = 0.04 * R_{cloudy} + 0.96 * R_{aerosol}$. But is that approach correct? The cloud fraction determined within the UVAI algorithm is not a real cloud fraction in the sense that it represents cloudiness. It is used by the algorithm to adapt the RT-modeled radiance to the observed radiance. More appropriate would be the use of an independent cloud fraction, e.g. OMI's official cloud product or even MODIS cloud fraction and optical depth (which are available at OMI resolution).

Page 6 lines 175-177: “Following Torres et al. (2018), we compute the radiances used in the UVAI calculation as a combination of clear and cloudy sky conditions. We use the same cloud fractions and cloud optical depths used in the OMI UVAI algorithm for coincident OMI pixels.”

An in-depth description of the calculation of the radiances used in the UVAI calculation is provided in Torres et al. (2018) (<https://doi.org/10.5194/amt-2017-429>).

p.9, ll.260-261: "missing sources of anthropogenic dust": I doubt that, as UVAI is only sensitive to elevated dust layers, see Torres et al., 1998.

The sentence has been rephrased:

Page 9 lines 261-264: “The simulation underestimates some of the smaller dust features captured by OMI, such as over western North America, South America, Australia, and parts of Asia, perhaps reflecting an underestimate in the simulated mineral dust lifetime (Ridley et al. 2012) and missing dust sources (Ginoux et al., 2012; Guan et al., 2016; Huang et al., 2015; Philip et al., 2017).”

p.9, l.274: "OMI,)": spurious comma

We have corrected this in the revised text.

p.9, l.274: "GLS": acronym not explained in the text.

It is explained in the text:

Page 6 lines 158-160: “We perform trend analysis on monthly mean time series data for the years 2005-2015 using Generalized Least Squares (GLS) regression, as described by Boys et al. (2014).”

p. 14, l.418: Please explain the acronym TROPOMI and add a literature reference p. 14, l.420: Please explain the acronym MAIA and add a literature reference

We have added this to the revised text:

Page 14 lines 423-429: “The recent launch of the TROPOspheric Monitoring Instrument (TROPOMI; Veefkind et al., 2012) and the forthcoming geostationary constellation offer UVAI observations at finer spatial and temporal resolution. The forthcoming Multi-Angle Imager for Aerosols (MAIA; Diner et al., 2018) satellite instrument offers an exciting opportunity to derive even more information about aerosol composition by combining measurements at ultraviolet wavelengths with multi-angle observations and polarization sensitivity.”

Referee Comment #2

The paper is appropriate for ACP. I have almost nothing to comment. However, the paper is rather lengthy, like a final report of a project. I can imagine that the information is very useful for many aerosol researchers, especially modelers.

However, if possible the results should be presented in a much more condensed form. Positive as well as negative trends are reported, and a large number of trends are found, at the end the reader is left a bit alone what the main conclusions, what the key messages are.

Thank you for the positive review.

We have emphasized that interpretation of the OMI UVAI with a quantitative simulation of the UVAI offers information about trends in aerosol composition. We write in our introduction:

Page 4 lines 115-118: “Comparison of trends in observed OMI UVAI values to the trends in simulated UVAI values, which are calculated using known aerosol composition, enables

qualification of how changes in aerosol absorption and scattering could influence the observed UVAI trends.”

We also identified areas for model development, such as dust emissions from the desiccating Aral Sea.

We have reformatted and condensed some of the text in sections 3 and 5 in a manner which we believe makes the reporting of the UVAI trends easier to follow for the reader.

1 **Insight into Global Trends in Aerosol Composition over 2005-2015 Inferred from the OMI**
2 **Ultraviolet Aerosol Index**

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11
12 **Abstract**

13 Observations of aerosol scattering and absorption offer valuable information about aerosol
14 composition. We apply a simulation of the Ultraviolet Aerosol Index (UVAI), a method of
15 detecting aerosol absorption from satellite observations, to interpret UVAI values observed by the
16 Ozone Monitoring Instrument (OMI) over 2005-2015 to understand global trends in aerosol
17 composition. We conduct our simulation using the vector radiative transfer model VLIDORT with
18 aerosol fields from the global chemical transport model GEOS-Chem. We examine the 2005-2015
19 trends in individual aerosol species from GEOS-Chem, and apply these trends to the UVAI
20 simulation to calculate the change in simulated UVAI due to the trends in individual aerosol
21 species. We find that global trends in the UVAI are largely explained by trends in absorption by
22 mineral dust, absorption by brown carbon, and scattering by secondary inorganic aerosol. Trends
23 in absorption by mineral dust dominate the simulated UVAI trends over North Africa, the Middle-
24 East, East Asia, and Australia. The UVAI simulation well resolves observed negative UVAI trends
25 over Australia, but underestimates positive UVAI trends over North Africa and Central Asia near
26 the Aral Sea, and underestimates negative UVAI trends over East Asia. We find evidence of an
27 increasing dust source from the desiccating Aral Sea, that may not be well represented by the
28 current generation of models. Trends in absorption by brown carbon dominate the simulated UVAI
29 trends over biomass burning regions. The UVAI simulation reproduces observed negative trends
30 over central South America and West Africa, but underestimates observed UVAI trends over
31 boreal forests. Trends in scattering by secondary inorganic aerosol dominate the simulated UVAI

32 trends over the eastern United States and eastern India. The UVAI simulation slightly
33 overestimates the observed positive UVAI trends over the eastern United States, and
34 underestimates the observed negative UVAI trends over India. Quantitative simulation of the OMI
35 UVAI offers new insight into global trends in aerosol composition.

36

37 **1. Introduction**

38 Atmospheric aerosols have significant climate impacts due to their ability to scatter and
39 absorb solar radiation and to their indirect effect through modification of cloud properties. The
40 exact magnitude of the direct radiative forcing remains highly uncertain (IPCC, 2014), although
41 most studies agree it is significant (Andreae and Gelencsér, 2006; Mann and Emanuel, 2006;
42 Mauritsen, 2016). Storelvmo et al. (2016) estimate that changes in global aerosol loading over the
43 past 45 years have caused cooling (direct and indirect) that masks about one third of the
44 atmospheric warming due to increasing greenhouse gas emissions. Aerosol absorption has been
45 estimated to be the second largest source of atmospheric warming after carbon dioxide
46 (Ramanathan and Carmichael, 2008; Bond et al., 2013; IPCC, 2014), although considerable
47 uncertainty remains regarding the exact magnitude (Stier et al., 2007). The large uncertainty
48 regarding the direct radiative impacts of aerosols on climate is driven by the large variability in
49 aerosol physical and chemical properties, as well as their various emission sources, making it
50 extremely difficult to fully understand their interactions with radiation (Pöschl, 2005; Moosmüller
51 et al., 2009; Curci et al., 2015; Kristiansen et al., 2016). Global observations of trends in aerosol
52 scattering and absorption would offer valuable constraints on trends in aerosol sources and
53 composition.

54 The emissions of aerosols and their precursors have changed significantly over the past
55 decade. In North America and Europe, the anthropogenic emissions of most aerosol species (e.g.
56 black carbon, organic aerosols) and aerosol precursors (e.g. sulfur dioxide and nitrogen oxides)
57 have decreased due to pollution controls (Leibensperger et al., 2012; Klimont et al., 2013; Curier
58 et al., 2014; Simon et al., 2014; Xing et al., 2015; Li et al., 2017a). By contrast, emissions of
59 aerosols and aerosol precursors have increased in developing countries due to increased industrial
60 activity, particularly in China and India. Chinese emissions of black carbon (BC), organic carbon
61 (OC), and nitrogen oxides (NO_x) have been increasing over the past decade (Zhao et al., 2013; Cui
62 et al., 2015), although in the most recent years NO_x emissions have been declining, driven by

63 denitration devices at power plants (Liu et al., 2016). Due to the wide implementation of flue-gas
64 desulfurization equipment on most power plants in China, emissions of sulfur dioxide (SO₂) in
65 some regions have been decreasing since about 2006-2008 (Lu et al., 2011; Wang et al., 2015;
66 [Fioletov et al., 2016](#)). Indian emissions of anthropogenic aerosols and their precursors have been
67 increasing over the past decade (Lu et al., 2011; Klimont et al., 2017). There have also been
68 significant changes in global dust and biomass burning emissions. Shao et al. (2013) use synoptic
69 data to estimate a global decrease in dust emissions between 1974 and 2012, driven largely by
70 reductions from North Africa with weaker contributions from Northeast Asia, South America, and
71 South Africa. By examining trends in burned area, Giglio et al. (2013) estimate a decrease in global
72 biomass burning emissions between 2000 and 2012. Trends in aerosol composition produced by
73 these changing emissions may be detectable from satellite observations of aerosol scattering and
74 absorption.

75 Detection of aerosol composition from passive nadir satellite observations is exceedingly
76 difficult; few methods exist. The aerosol-type classification provided by retrievals from the MISR
77 instrument, enabled by multi-angle viewing, is one such source of information about aerosol
78 composition from constraints on particle size, shape, and single scattering albedo (SSA) (Kahn
79 and Gaitley, 2015). MISR retrievals have been used to classify particles relating to events such as
80 biomass burning, desert dust, volcanic eruptions, and pollution events (e.g. Liu et al., 2007;
81 Kalashnikova and Kahn, 2008; Dey and Di Girolamo, 2011; Scollo et al., 2012; Guo et al., 2013).
82 The most commonly used satellite product for aerosol information is aerosol optical depth (AOD),
83 the columnar extinction of radiation by atmospheric aerosols. AOD can be retrieved from satellite
84 measurements of top of atmosphere radiance in combination with prior knowledge of aerosol
85 optical properties. Several studies have examined trends in satellite AOD. Following trends in
86 emissions, over the past decade positive trends in satellite AOD have been observed over Asia and
87 Africa corresponding to regions experiencing industrial growth (de Meij et al., 2012; Chin et al.,
88 2014a; Mao et al., 2014; Mehta et al., 2016), while negative trends in satellite AOD have been
89 observed over North America and Europe, largely due to pollution controls (Hsu et al., 2012; de
90 Meij et al., 2012; Chin et al., 2014b; Mehta et al., 2016). Studies such as these demonstrate the
91 information about the evolution of aerosol abundance offered by total column AOD retrievals,
92 however measurements of absorption would complement the [scattering](#) information in AOD
93 retrievals by providing independent information on aerosol composition.

94 The Ultraviolet Aerosol Index (UVAI) is a method of detecting aerosol absorption from
95 satellite measured radiances (Herman et al., 1997; Torres et al., 1998). Because the UVAI is
96 calculated from measured radiances, a priori assumptions about aerosol composition are not
97 required for its calculation, thus yielding independent information on aerosol scattering ([Herman](#)
98 [et al., 1997; Torres et al., 1998, 2007; de Graaf et al., 2005; Penning de Vries et al., 2009](#)) and
99 absorption. The UVAI has been widely applied to examine mineral dust (Israelevich et al., 2002;
100 Schepanski et al., 2007; Badarinath et al., 2010; Huang et al., 2010) and biomass burning aerosols
101 (Duncan et al., 2003; Guan et al., 2010; Torres et al., 2010; Kaskaoutis et al., 2011; Mielonen et
102 al., 2012), including brown carbon (Jethva and Torres, 2011; Hammer et al., 2016). The UVAI is
103 not typically used to examine scattering aerosol, however aerosol scattering causes a net decrease
104 in the [overall value of the](#) UVAI, meaning that the UVAI could be used to detect changes due to
105 both aerosol absorption and scattering. Prior interpretation of the UVAI has been complicated by
106 its dependence on [geophysical](#) parameters, such as aerosol layer height ([Herman et al., 1997;](#)
107 [Torres et al., 1998; de Graaf et al., 2005](#)). Examining trends in the UVAI would provide an exciting
108 opportunity to investigate the evolution of aerosol absorption and scattering over time, if the
109 multiple parameters affecting the UVAI could be accounted for through simulation.

110 In this work, we apply a simulation of the UVAI, which was developed and evaluated
111 [regionally and seasonally](#) in Hammer et al. (2016), to interpret trends in recently reprocessed OMI
112 UVAI observations for 2005-2015 to understand global changes in aerosol composition. We
113 interpret observed UVAI values by using a radiative transfer model (VLIDORT) to calculate
114 UVAI values as a function of simulated aerosol composition from the global 3-D chemical
115 transport model GEOS-Chem. Comparison of trends in observed OMI UVAI values to the trends
116 in simulated UVAI values, which are calculated using known aerosol composition, enables
117 qualification of how changes in aerosol absorption and scattering could influence the observed
118 UVAI trends [and identification of model development needs. We conduct our analysis at the global](#)
119 [scale to understand trends worldwide](#). Section 2 describes the OMI UVAI observations and our
120 UVAI simulation. Section 3 examines the trends in emissions of GEOS-Chem aerosols and their
121 precursors for 2005-2015 to provide context for the trends in our simulated UVAI. Section 4
122 compares the mean values over 2005-2015 of the OMI UVAI and our simulated UVAI. Section 5
123 compares the 2005-2015 trends in OMI and simulated UVAI values. In section 6 we examine the
124 sensitivity of the UVAI to changes in the abundance of individual aerosol species. Trends in our

125 UVAI simulation are interpreted by applying the trends in the GEOS-Chem aerosol species to
126 calculate the associated change in UVAI. Section 7 reports the conclusions.

128 2. Methods

129 2.1 OMI Ultraviolet Aerosol Index

130 The OMI Ultraviolet Aerosol Index is a method of detecting absorbing aerosols from
131 satellite measurements in the near-UV wavelength region and is a product of the OMI Near-UV
132 algorithm (OMAERUV) (Herman et al., 1997; Torres et al., 1998, 2007). The OMAERUV
133 algorithm uses the 354 nm and 388 nm radiances measured by OMI to calculate the UVAI as a
134 measure of the deviation from a purely Rayleigh scattering atmosphere bounded by a Lambertian
135 reflecting surface. Positive UVAI values indicate absorbing aerosol while negative values indicate
136 non-absorbing aerosol. Near-zero values occur when clouds and Rayleigh scattering dominate.
137 Negative UVAI values due to aerosol scattering are often weak and ~~have historically been affected~~
138 ~~by noise in previous datasets~~ (Torres et al., 2007; Penning de Vries et al., 2015). Because UVAI
139 values are calculated from top of atmosphere (TOA) radiance which contains total aerosol effects,
140 the presence (or lack) of scattering aerosol along with absorbing aerosol ~~can~~ either weaken (or
141 strengthen) the absorption signal. Therefore the UVAI could be used to detect changes over time
142 due to both aerosol absorption and scattering.

143 In this analysis, ~~we carefully address UVAI error sources to enable quantitative analysis of~~
144 ~~long term trends.~~ We use a recently reprocessed version of the UVAI algorithm which treats clouds
145 with a Mie-scattering based water cloud model (Torres et al., 2018). This new dataset more
146 accurately accounts for scattering by mineral dust and by clouds, reducing systematic artifacts and
147 scan angle bias. We focus on cloud-filtered observations by excluding scenes with ~~OMI UVAI~~
148 ~~radiative~~ cloud fraction exceeding ~~5% to further reduce uncertainty due to clouds.~~ Since 2008 the
149 OMI observations have been affected by a row anomaly which reduces the sensor viewing
150 capability for specific scan angles ([http://projects.knmi.nl/omi/research/product/rowanomaly-
151 background.php](http://projects.knmi.nl/omi/research/product/rowanomaly-background.php)). The sudden suppression of observations for specific viewing geometries (i.e. the
152 row anomaly), could cause an additional spurious trend in the UVAI calculation. We reduce this
153 concern by using the recently reprocessed OMAERUV UVAI that is ~~less sensitive~~ to scan-angle
154 dependent cloud artifacts and by considering only scan positions 3-23 which remain unaffected by
155 the row anomaly. The monthly time series data are deseasonalized by subtracting the monthly

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Deleted: The OMAERUV algorithm also produces retrieved OMI single scattering albedo (SSA) and AOD derived from the observed radiances, aerosol type selection using the OMI UVAI, AIRS carbon monoxide, and aerosol layer height assumptions constrained by climatologies from CALIOP retrievals and a GOCART simulation. These OMI SSA and AOD products have been subjected to rigorous validation studies and have been found to be in reasonable agreement with independent ground-based AOD and SSA inferred from AERONET measurements (Torres et al., 2007, 2013; Ahn et al., 2014; Jethva et al., 2014; Zhang et al., 2016). We focus on the UVAI here since simulation of the UVAI product does not require external information from other satellites or models, and thus enables us to isolate information on aerosol composition. -

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175 mean for the period 2005-2015 to focus on the long-term trend. Prior to regression, the data is
176 aggregated to monthly mean values, and each pixel is required to have data for at least 60% of the
177 time period in order for regression to be performed. We perform trend analysis on monthly mean
178 time series data for the years 2005-2015 using Generalized Least Squares (GLS) regression, as
179 described by Boys et al. (2014). An additional small, positive, spurious trend in the cloud-filtered
180 OMI UVAI remains which is believed to be due to instrumental effects (Torres et al., 2017). We
181 subtract this spurious global mean trend in the cloud-filtered UVAI prior to interpretation. In the
182 following section, we discuss our UVAI simulation and the implementation of the new UVAI
183 algorithm in the simulation.

184

185 2.2 Simulated UVAI

186 We simulate the UVAI using the VLIDORT radiative transfer model (Spurr, 2006),
187 following Buchard et al. (2015) and Hammer et al. (2016). We calculate the top of atmosphere
188 radiances at 354 nm and 388 nm needed for the UVAI calculation by supplying VLIDORT with
189 the OMI viewing geometry for each scene, as well as the GEOS-Chem simulation of vertical
190 profiles of aerosol extinction, spectrally dependent single scattering albedo, and the corresponding
191 spectrally dependent scattering phase function. Thus these parameters account for the sensitivity
192 of the UVAI to aerosol layer height and spectrally dependent aerosol optical properties.

193 We introduce to the UVAI simulation a Mie-scattering based water cloud model
194 (Deirmendjian, 1964) for consistency with the reprocessed OMI UVAI dataset. Following Torres
195 et al. (2018), we compute the radiances used in the UVAI calculation as a combination of clear
196 and cloudy sky conditions. We use the same cloud fractions and cloud optical depths used in the
197 OMI UVAI algorithm for coincident OMI pixels. We avoid cloudy scenes by considering only
198 pixels with OMI radiative cloud fraction of less than 5%. For the UVAI calculation we use the
199 surface reflectance fields provided by OMI. We calculated the 2005-2015 trends in these surface
200 reflectance fields, and found that they were statistically insignificant globally and on the order of
201 10^{-5} yr^{-1} . We calculated the change in UVAI due to a change in surface reflectance of this order of
202 magnitude, and found that the change in UVAI was negligible. We also calculated the change in
203 UVAI due to changes in simulated aerosol altitude, but found that the trends in aerosol altitude
204 were negligible (order $10^{-5} \text{ hPa yr}^{-1}$). Therefore we focus our analysis on trends in aerosol
205 composition which have a larger effect on the UVAI as demonstrated below.

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207 We use the GEOS-Chem model v11-01 (<http://geos-chem.org>) as input to the UVAI
208 simulation, and to calculate the sensitivity of the UVAI simulation to aerosol composition. The
209 simulation is driven by assimilated meteorological data from MERRA-2 Reanalysis of the NASA
210 Global Modeling and Assimilation Office (GMAO). Our simulation is conducted at a spatial
211 resolution of 2° x 2.5° with 47 vertical levels for the years 2005-2015. We supply VLIDORT with
212 GEOS-Chem aerosol fields coincident with OMI observations.

213 GEOS-Chem contains a detailed oxidant-aerosol chemical mechanism (Bey et al., 2001;
214 Park et al., 2004). The aerosol simulation includes the sulfate-nitrate-ammonium system
215 (Fountoukis and Nenes, 2007; Park et al., 2004; Pye et al., 2009), primary carbonaceous aerosol
216 (Park et al., 2003), mineral dust (Fairlie et al., 2007), and sea salt (Jaeglé et al., 2011). Semivolatile
217 primary organic carbon and secondary organic aerosol formation is described in Pye et al. (2010).
218 We update the original semi-volatile partitioning of secondary OA (SOA) formed from isoprene
219 with the irreversible uptake scheme in Marais et al. (2016). HNO₃ concentrations are reduced
220 following Heald et al. (2012). Aerosol optical properties are based on the Global Aerosol Data Set
221 (GADS) (Koepke et al., 1997) as originally implemented by Martin et al. (2003), with updates for
222 organics and secondary inorganics from aircraft observations (Drury et al., 2010), for mineral dust
223 (Lee et al., 2009; Ridley et al., 2012), and for absorbing brown carbon (Hammer et al., 2016). Here
224 we update the mineral dust optics at ultraviolet wavelengths using a refractive index that minimizes
225 the difference between the mean simulated and OMI UVAI values to allow focus on trends.
226 Aerosols are treated as externally mixed.

227 Anthropogenic emissions are from the EDGARv4.3.1 global inventory (Crippa et al., 2016)
228 with emissions overwritten in areas with regional inventories for the United States (NEI1; Travis
229 et al., 2016), Canada (CAC), Mexico (BRAVO; Kuhns et al., 2005), Europe (EMEP;
230 <http://www.emep.int/>), China (MEIC v1.2; Li et al., 2017a) and elsewhere in Asia (MIX; Li et al.,
231 2017a). Emissions from open fires for individual years from the GFED4 inventory (Giglio et al.,
232 2013) are included. The long-term concentrations from this simulation have been extensively
233 evaluated versus ground-based PM_{2.5} composition measurements where available, and versus
234 satellite-derived PM_{2.5} trends (Li et al., 2017b).

235 The Supplement evaluates trends in simulated SO₂, NO₂, and AOD versus satellite
236 retrievals from multiple instruments and algorithms. We find broad consistency between our
237 simulated NO₂ and SO₂ column trends with those from OMI (Figures S1 and S2). Our simulated

238 AOD trends are generally consistent with the trends in satellite AOD retrievals, except for positive
239 trends in AOD over western North America and near the Aral Sea in most retrieval products, and
240 a negative trend in AOD over Mongolia/Inner Mongolia in all retrieval products (Figure S3).

241 We filter our GEOS-Chem aerosol simulated fields based on the coincident OMI pixels,
242 which are regridded to the model resolution of $2^\circ \times 2.5^\circ$. This allows for the direct comparison
243 between our GEOS-Chem simulation and the OMI UVAI observations.

244

245 3. Trend in emissions of GEOS-Chem aerosols and their precursors

246 Figure 1 shows the trends in emissions of aerosols and their precursors from our GEOS-
247 Chem simulation calculated from the GLS regression of monthly time series values for 2005-2015.
248 Cool colors indicate negative trend values, warm colors indicate positive trend values, and the
249 opacity of the colors indicates the statistical significance of the trends. The trends in emissions of
250 sulfur dioxide (SO_2) and nitrogen oxides (NO_x) follow similar patterns (Figure 1a and 1b,
251 respectively). Negative trends (-1 to $-0.01 \text{ kg km}^{-2} \text{ yr}^{-1}$) are present over North America and
252 Europe, corresponding to pollution controls (Leibensperger et al., 2012; Klimont et al., 2013;
253 Curier et al., 2014; Simon et al., 2014; Xing et al., 2015; Li et al., 2017a). Positive trends (0.5 to 1
254 $\text{kg km}^{-2} \text{ yr}^{-1}$) in both species are present over India and eastern China, however the positive trends
255 in emissions of SO_2 over eastern China are interspersed with negative trends (-1 to -0.5 kg km^{-2}
256 yr^{-1}) in SO_2 emissions, corresponding to the deployment of desulfurization equipment on power
257 plants in recent years (Lu et al., 2011; Klimont et al., 2013; Wang et al., 2015). Ammonia (NH_3)
258 emissions (Figure 1c) have positive trends (0.001 to $0.05 \text{ kg km}^{-2} \text{ yr}^{-1}$) over most of South America,
259 North Africa, the Middle-East, and most of Asia with larger trends (0.1 to $0.5 \text{ kg km}^{-2} \text{ yr}^{-1}$) over
260 India and eastern China. There are positive trends (0.001 to $0.05 \text{ kg km}^{-2} \text{ yr}^{-1}$) in black carbon (BC)
261 emissions (Figure 1d) over North Africa, Europe, the Middle-East, India, and China, and negative
262 trends (-0.05 to $-0.001 \text{ kg km}^{-2} \text{ yr}^{-1}$) over North America, Europe, West Africa, and central South
263 America. The trends in primary organic aerosol (POA) emissions (Figure 1e) follow a similar
264 pattern as the trends in BC emissions, except there are negative trends (-0.1 to $-0.05 \text{ kg km}^{-2} \text{ yr}^{-1}$)
265 over eastern China, and the negative trends (-1 to $-0.1 \text{ kg km}^{-2} \text{ yr}^{-1}$) over West Africa and central
266 South America are larger in magnitude reflecting regional changes in fire activity (Chen et al.,
267 2013; Andela and van der Werf, 2014). There are also positive trends (0.001 to $0.05 \text{ kg km}^{-2} \text{ yr}^{-1}$)
268 over the northern United States and Canada. The trends in dust emissions (Figure 1f) show the

Deleted: Chen et al.2013) found a significant decreasing trend over 2001-2012 using MODIS data in active fires over central South America due to deforestation in Brazil. Using MODIS fire data, Andela and van der Werf (2014) found a declining trend over 2001-2012 in burned area due to cropland expansion over West Africa

275 largest magnitude of all the various species, although many have low statistical significance, with
276 areas of positive and negative trends (> 1 and < -1 $\text{kg km}^{-2} \text{yr}^{-1}$) over North Africa, positive trends
277 (> 1 $\text{kg km}^{-2} \text{yr}^{-1}$) parts of the Middle-East, and negative trends (< -1 $\text{kg km}^{-2} \text{yr}^{-1}$) over northern
278 China and southern Australia.

279

280 4. Mean UVAI values for 2005-2015

281 We examine the seasonal long-term mean UVAI values for insight into the spatial
282 distribution of the aerosol absorption signals. Figures 2 and 3 show the seasonal mean UVAI values
283 for 2005-2015 for OMI and the simulation, respectively. Positive UVAI values between 0.2 and
284 1.5 indicating aerosol absorption are present over major desert regions globally for both OMI and
285 the simulation, particularly over the Saharan, Iranian, and Thar deserts. These positive signals are
286 driven by the absorption by mineral dust (Herman et al., 1997; Torres et al., 1998; Buchard et al.,
287 2015). The simulation underestimates some of the smaller dust features captured by OMI, such as
288 over western North America, South America, Australia, and parts of Asia, perhaps reflecting an
289 underestimate in the simulated mineral dust lifetime (Ridley et al. 2012) and missing dust sources
290 (Ginoux et al., 2012; Guan et al., 2016; Huang et al., 2015; Philip et al., 2017). The seasonal
291 variation in the observed and simulated UVAI is similar albeit with larger simulated values in
292 spring (MAM) over North Africa. In all seasons, the UVAI values driven by absorption by dust in
293 the simulation are concentrated mostly over North Africa, while for OMI the UVAI values are
294 more homogeneous over the Middle-East and Asia as well. Positive UVAI values of ~ 0.2 -1 over
295 West and central Africa appearing in both the OMI and simulated values correspond to absorption
296 by brown carbon from biomass burning activities in these regions (Jethva and Torres, 2011;
297 Hammer et al., 2016). Over ocean most data are removed by our strict cloud filter.

298

299 5. Trend in UVAI values between 2005-2015

300 Figure 4 shows the trend in OMI and simulated UVAI values (coincidentally sampled from
301 OMI) calculated from the GLS regression of monthly UVAI time series values over 2005-2015.
302 Several regions exhibit consistency between the OMI and simulated UVAI trends. There are
303 statistically significant, positive trends in both OMI and simulated UVAI values over the eastern
304 United States (OMI: 1.0×10^{-5} to $2.5 \times 10^{-4} \text{ yr}^{-1}$, simulated: $2.5 \times 10^{-4} \text{ yr}^{-1}$ to $5.0 \times 10^{-4} \text{ yr}^{-1}$), and
305 Canada and parts of Russia (OMI: 1.0×10^{-5} to $2.5 \times 10^{-4} \text{ yr}^{-1}$, simulated: 5.0×10^{-4} to $2.0 \times 10^{-3} \text{ yr}^{-1}$).

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β10 Positive UVAI trends (1.0×10^{-5} to $2.5 \times 10^{-4} \text{ yr}^{-1}$) in both OMI and simulated values are present
β11 over Europe, although the simulated trends have low statistical significance. Statistically
β12 significant, positive UVAI trends (5.0×10^{-4} to $2.0 \times 10^{-3} \text{ yr}^{-1}$) in OMI values are apparent over
β13 North Africa, which generally are captured by the simulation but with low statistical significance.
β14 Negative UVAI trends ($-1.5 \times 10^{-3} \text{ yr}^{-1}$ to $-1.0 \times 10^{-5} \text{ yr}^{-1}$) in both OMI and simulated values are
β15 apparent over most of South America, southern Africa, and Australia. Negative UVAI trends ($-$
β16 2×10^{-3} to $-5.0 \times 10^{-4} \text{ yr}^{-1}$) in both OMI and simulated values are present over West Africa, with low
β17 statistical significance that could be related to the filtering of persistent clouds. OMI and simulated
β18 UVAI values show negative trends (-2×10^{-3} to $-5.0 \times 10^{-4} \text{ yr}^{-1}$) over India, although the simulated
β19 trends have lower statistical significance.

β20 Some regions have trends in OMI UVAI values which are not captured by the simulation.
β21 Statistically significant, positive UVAI trends ($2.5 \times 10^{-4} \text{ yr}^{-1}$ to $1.5 \times 10^{-3} \text{ yr}^{-1}$) over the western
β22 United States are apparent in the OMI values but not in the simulation. Zhang et al. (2017) found
β23 positive trends in aerosol absorption optical depth from OMI retrievals that they attributed to
β24 positive trends in mineral dust over the region, which were not captured by their GEOS-Chem
β25 simulation. Statistically significant, positive UVAI trends (5.0×10^{-4} to $2.0 \times 10^{-3} \text{ yr}^{-1}$) in OMI
β26 values exist over the Middle-East, while the simulation has negative trends with low statistical
β27 significance. The OMI UVAI reveals a region of statistically significant, negative trends (-2×10^{-3}
β28 to $-5.0 \times 10^{-4} \text{ yr}^{-1}$) over Mongolia/Inner Mongolia which is not captured by the simulation. There is
β29 also a small area of statistically significant, positive UVAI trends (1.5×10^{-3} to $2.0 \times 10^{-3} \text{ yr}^{-1}$) in
β30 OMI values of over Central Asia between the Caspian Sea and the Aral Sea which is not captured
β31 by the simulation. Trends in surface reflectance from the diminishing Aral Sea cannot solely
β32 explain the UVAI trends since they extend over the Caspian Sea. Trends in mineral dust are a more
β33 likely explanation as discussed further below.

β34 Figures 5 and 6 show the seasonality of the OMI and simulated UVAI trends respectively.
β35 The positive UVAI trends over the eastern United States is strongest in summer (JJA) for both
β36 OMI and the simulation. The positive UVAI trends over North Africa and the Middle-East are
β37 present for all seasons for OMI and for most seasons in the simulation, except in JJA for North
β38 Africa and spring (MAM) for the Middle-East. The simulation underestimates the observed UVAI
β39 trend over North Africa in SON, perhaps related to an underestimate in trends in mineral dust
β40 emissions in the simulation during this season. He et al. (2014) examined the 2000-2010 trends in

Deleted: Negative UVAI trends in both OMI and simulated values are apparent over most of South America, southern Africa, and Australia.

344 [global surface albedo using the Global Land Surface Satellites \(GLASS\) dataset and found no](#)
345 [significant trends over this region during SON](#). The negative trend in UVAI values over West
346 Africa is most apparent in the fall (SON) and winter (DJF) for both OMI and the simulation. The
347 negative OMI UVAI trends over Mongolia/Inner Mongolia and the positive OMI UVAI trends
348 near the Aral Sea are strongest in JJA and weakest in DJF, providing evidence for a mineral dust
349 source. [The OMI UVAI trend over Mongolia/Inner Mongolia may be part of a longer term trend](#).
350 Guan et al. (2017) examined dust storm data over northern China (including Inner Mongolia) for
351 the period 1960-2007, and found that dust storm frequency has been declining over the region due
352 to a gradual decrease in wind speed. The current generation of chemical transport models is
353 unlikely to represent the source near the Aral Sea without an explicit parameterization of the drying
354 sea. The desiccation of the Aral Sea over recent decades has resulted in a steady decline in water
355 coverage over the area (Shi et al., 2014; Shi and Wang, 2015) and has led to the dried up sea bed
356 becoming an increasing source of dust activity in the region (Spivak et al., 2012). Indoitu et al.
357 (2015) found that most dust events are directed towards the west, consistent with the OMI
358 observations. An increase in surface reflectance due to the drying up of the sea bed could also
359 positively influence trends in UVAI. He et al. (2014) ~~found a positive trend over 2000-2010 in~~
360 surface albedo over the region in JJA and SON, corresponding to when the OMI UVAI trends are
361 strongest.

Deleted: examined the 2000-2010 trends in global surface albedo using the Global Land Surface Satellites (GLASS) dataset and

363 6. Contribution of individual aerosol species to the simulated UVAI

364 To further interpret the UVAI trends, we examine the trends in aerosol concentrations from
365 our GEOS-Chem simulation (Figure 7). Figure 7a shows the trends in secondary inorganic aerosol
366 (SIA). There are statistically significant, negative trends over the eastern United States (-1 to -0.05
367 $\mu\text{g m}^{-2} \text{yr}^{-1}$) and statistically significant, positive trends over the Middle-East (0.05 to 0.5 $\mu\text{g m}^{-2}$
368 yr^{-1}), India (0.05 to 1 $\mu\text{g m}^{-2} \text{yr}^{-1}$), South America, and southern Africa (0.05 to 0.25 $\mu\text{g m}^{-2} \text{yr}^{-1}$).
369 Figure 7b shows the trends in dust. Similar to the trends in emissions, the trends in dust
370 concentrations are of the largest magnitude of the various species, however often with low
371 statistical significance. There are positive trends over the Middle-East ($> 2 \mu\text{g m}^{-2} \text{yr}^{-1}$), India (0.05
372 to 2 $\mu\text{g m}^{-2} \text{yr}^{-1}$), and north west China (1 to 2 $\mu\text{g m}^{-2} \text{yr}^{-1}$). There are also positive trends (0.05 to
373 0.25 $\mu\text{g m}^{-2} \text{yr}^{-1}$) with low statistical significance over the United States, northern South America,
374 southern Africa, and northern Australia. There is a combination of positive and negative trends ($>$

378 2 and $< -2 \mu\text{g m}^{-2} \text{yr}^{-1}$) over North Africa, and negative trends over China and Mongolia ($< -2 \mu\text{g}$
379 $\text{m}^{-2} \text{yr}^{-1}$) and Australia (-1 to $-0.5 \mu\text{g m}^{-2} \text{yr}^{-1}$). Figures 7c and 7d show the trends in total organic
380 aerosol (OA) and the absorbing brown carbon (BrC) component of OA, respectively. Positive
381 trends over Canada and parts of Russia (0.05 to $0.5 \mu\text{g m}^{-2} \text{yr}^{-1}$) in total OA are mainly due to the
382 positive trend in BrC. Statistically significant, negative trends in total OA (-1 to $-0.05 \mu\text{g m}^{-2} \text{yr}^{-1}$)
383 over the eastern United States are dominated by scattering organic aerosol. Statistically significant,
384 negative trends (-2 to $-0.05 \mu\text{g m}^{-2} \text{yr}^{-1}$) over West Africa and South America for total OA are
385 dominated by the trend in absorbing BrC. Figures 5e and 5f show the trends in black carbon (BC)
386 and salt, respectively. There are positive trends (0.05 to $0.25 \mu\text{g m}^{-2} \text{yr}^{-1}$) in BC with low statistical
387 significance over India and China. Sea salt trends are negligible.

388 To gain further insight into how changes in aerosols effect the trends in simulated UVAI,
389 we examine the sensitivity of the UVAI to changes in individual aerosol species. Figure 8 shows
390 the change in annual mean UVAI due to doubling the concentration of individual aerosol species.
391 This information facilitates interpretation of the observed UVAI trends by identifying the chemical
392 components that could explain the observed trends. Doubling scattering SIA concentrations
393 (Figure 8a) decreases the UVAI between -0.25 and -0.1 over most of the globe, with the largest
394 changes over the Eastern United States, Europe, parts of the Middle-East, India, and south east
395 China. Doubling dust concentrations (Figure 8b) produces the largest changes in UVAI, causing
396 increases between 0.5 and 1 over North Africa, and smaller increases between 0.2 and 0.5 over the
397 Middle-East, Europe, and parts of Asia and Australia. Figures 8c and 8d show the changes in
398 UVAI due to doubling total OA concentrations and the absorbing BrC component, respectively.
399 The doubling of BrC increases the UVAI between 0.1 and 0.5 over Canada, West and central
400 Africa, India, parts of Russia, eastern China, and central South America. Doubling total OA
401 concentrations over central South America causes a net decrease of ~ -0.1 as the scattering
402 component of total OA cancels out the absorption by BrC. Doubling BC concentrations (Figure
403 8e) increases the UVAI of 0.1 over central Africa, India, and south east China, while doubling sea
404 salt concentrations (Figure 8f) has negligible effect on the UVAI.

405 Figure 9 shows the change in simulated UVAI due to the 2005-2015 trends in individual
406 aerosol species from our GEOS-Chem simulation. The change for each species is calculated by
407 applying the aerosol concentration trends for the individual aerosol type while leaving the
408 concentrations unchanged for the other aerosol species, then taking the difference between this

409 perturbed UVAI simulation and an unperturbed simulation. Negative trends in scattering SIA
410 (Figure 9a) increase the UVAI by 1.0×10^{-4} to $7.5 \times 10^{-3} \text{ yr}^{-1}$ over the eastern United States and by
411 1.0×10^{-4} to $2.5 \times 10^{-3} \text{ yr}^{-1}$ over Europe, corresponding to regions of positive UVAI trends in both
412 OMI and the simulation (Figure 4). Increasing SIA decreases the UVAI by $-2.5 \times 10^{-3} \text{ yr}^{-1}$ to -
413 $1.0 \times 10^{-4} \text{ yr}^{-1}$ over the Middle-East, India, and east China. Trends in dust concentrations (Figure
414 9b) cause the largest change in UVAI with regional increases $> 1 \times 10^{-2} \text{ yr}^{-1}$ and regional decreases
415 $< -1 \times 10^{-2} \text{ yr}^{-1}$. Simulated UVAI trends due to mineral dust are mostly negative over North Africa,
416 East Asia, and Australia, while mostly positive over the Middle-East. Noisy trends in regional
417 meteorology cause heterogeneous trends in dust and in the UVAI, with low statistical significance.
418 Figures 9c and 9d show the change in UVAI due to the trends in total OA and the absorbing BrC
419 component of total OA, respectively. Most of the changes in UVAI due to the trends in total OA
420 are caused by the trends in the absorbing BrC component, with increases in the UVAI between
421 2.5×10^{-3} and $1 \times 10^{-2} \text{ yr}^{-1}$ over Canada and parts of Russia, corresponding to regions of positive
422 UVAI trends for both OMI and the simulation (Figure 4). There are decreases in the UVAI $< -$
423 $1 \times 10^{-2} \text{ yr}^{-1}$ over central South America and West Africa due to the negative trends in BrC,
424 corresponding to regions of negative UVAI trends for both OMI and the simulation (Figure 4).
425 Over the eastern United States there is a mixture of increases and decreases in the UVAI due to
426 the trends in scattering organic aerosol. Positive trends in BC increase the UVAI (Figure 9e) by
427 1.0×10^{-4} to $2.5 \times 10^{-3} \text{ yr}^{-1}$ over India and China. There are no obvious changes in the UVAI due to
428 the trends in sea salt (Figure 9f).

429

430 7. Conclusions

431 Observations of aerosol scattering and absorption offer valuable information about aerosol
432 composition. We simulated the Ultraviolet Aerosol Index (UVAI), a method of detecting aerosol
433 absorption using satellite measurements, to interpret trends in OMI observed UVAI over 2005-
434 2015 to understand global trends in aerosol composition. We conducted our simulation using the
435 vector radiative transfer model VLIDORT with aerosol fields from the global chemical transport
436 model GEOS-Chem.

437 We examined the 2005-2015 trends in individual aerosol species from GEOS-Chem, and
438 applied these trends to the UVAI simulation to calculate the change in simulated UVAI due to the
439 trends in individual aerosol species. We found that global trends in the UVAI were largely

440 explained by trends in absorption by mineral dust, absorption by brown carbon, and scattering by
441 secondary inorganic aerosols. The two most prominent positive trends in the observed UVAI were
442 over North Africa and over Central Asia near the desiccating Aral Sea. The simulated UVAI
443 attributes the positive trends over North Africa to increasing mineral dust, despite an
444 underestimated simulated trend in fall (SON) that deserves further attention. The positive trends
445 in the observed UVAI over Central Asia near the shrinking Aral Sea are likely due to increased
446 dust emissions, a feature that is unlikely to be represented in most chemical transport models. The
447 most prominent negative trends in the observed UVAI were over East Asia, South Asia, and
448 Australia. The simulation attributed the negative trends over East Asia and Australia to decreasing
449 mineral dust, despite underestimating the trend in East Asia. The simulation attributed the negative
450 trend over South Asia to increasing scattering secondary inorganic aerosols, a trend that the
451 observations imply could be even larger. We found the positive trends in the UVAI over the eastern
452 United States that were strongest in summer (JJA) in both the observations and the simulation were
453 driven by negative trends in scattering secondary inorganic aerosol and organic aerosol. Observed
454 negative trends in winter (DJF) were less well simulated. Over West Africa and South America,
455 negative trends in UVAI were explained by negative trends in absorbing brown carbon. Thus,
456 trends in the observed UVAI offer valuable information on the evolution of global aerosol
457 composition that can be understood through quantitative simulation of the UVAI. Looking
458 forward, the availability of the UVAI observations from 1979 to the present offer a unique
459 opportunity to understand long-term trends in aerosol composition. The recent launch of the
460 TROPOspheric Monitoring Instrument (TROPOMI; Veefkind et al., 2012) and the forthcoming
461 geostationary constellation offer UVAI observations at finer spatial and temporal resolution. The
462 forthcoming Multi-Angle Imager for Aerosols (MAIA; Diner et al., 2018) satellite instrument
463 offers an exciting opportunity to derive even more information about aerosol composition by
464 combining measurements at ultraviolet wavelengths with multi-angle observations and
465 polarization sensitivity.

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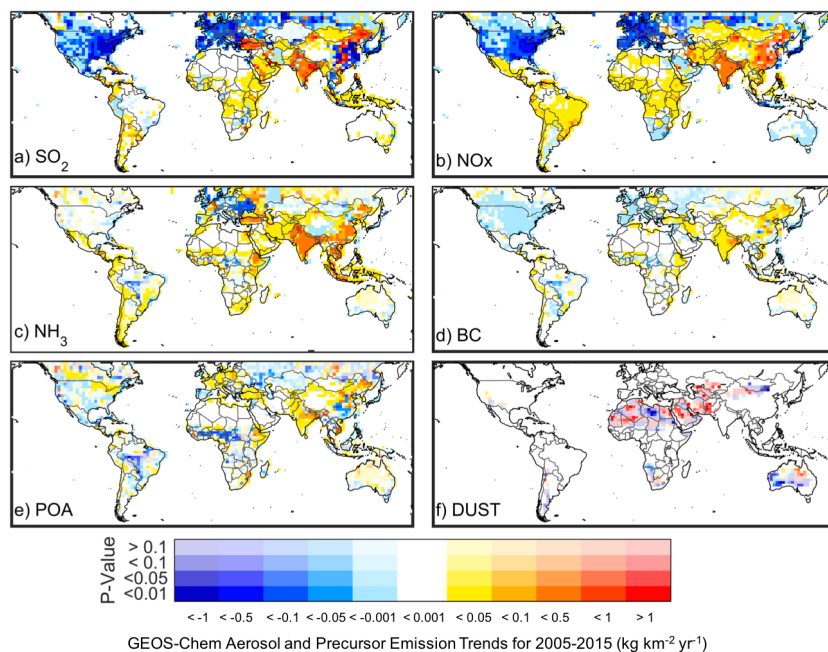
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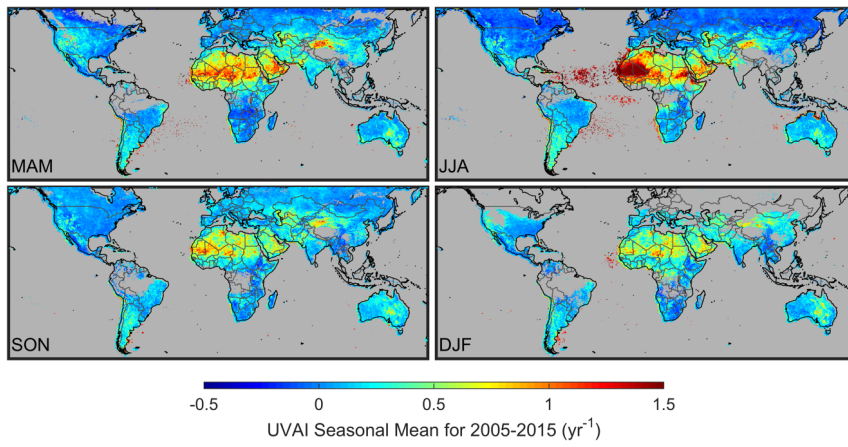
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838 **Figure 1:** Trend in emissions of a) sulfur dioxide (SO₂) (kg SO₂ km⁻² yr⁻¹), b) nitrogen oxides
839 (NO_x) (kg NO km⁻² yr⁻¹), ammonia (NH₃) (kg NH₃ km⁻² yr⁻¹), black carbon (BC) (kg C km⁻² yr⁻¹),
840 primary organic carbon (POA) (kg C km⁻² yr⁻¹), and dust (kg km⁻² yr⁻¹) used in our GEOS-Chem
841 simulation. The trends are calculated from the Generalized Least Squares regression of monthly
842 time series values over 2005-2015.
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845 **Figure 2:** Seasonal mean UVAI values for the 2005-2015 period as observed by OMI for MAM
 846 (May, April, March), JJA (June, July August), SON (September, October, November), and DJF
 847 (December, January, February). Gray indicates persistent cloud fraction greater than 5%.

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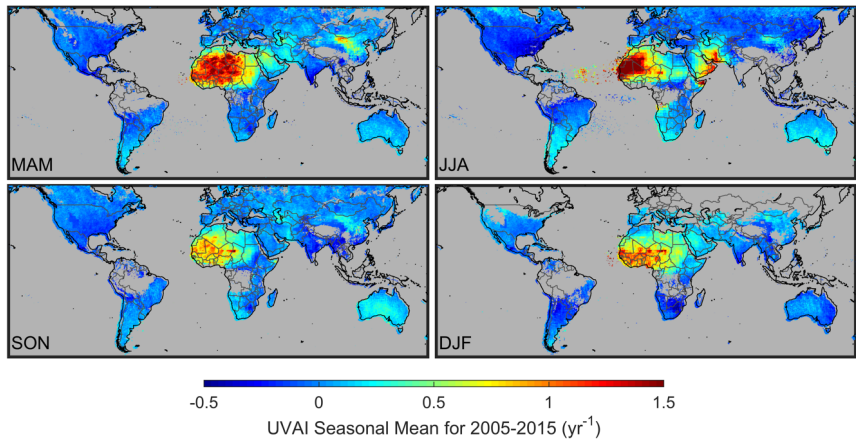
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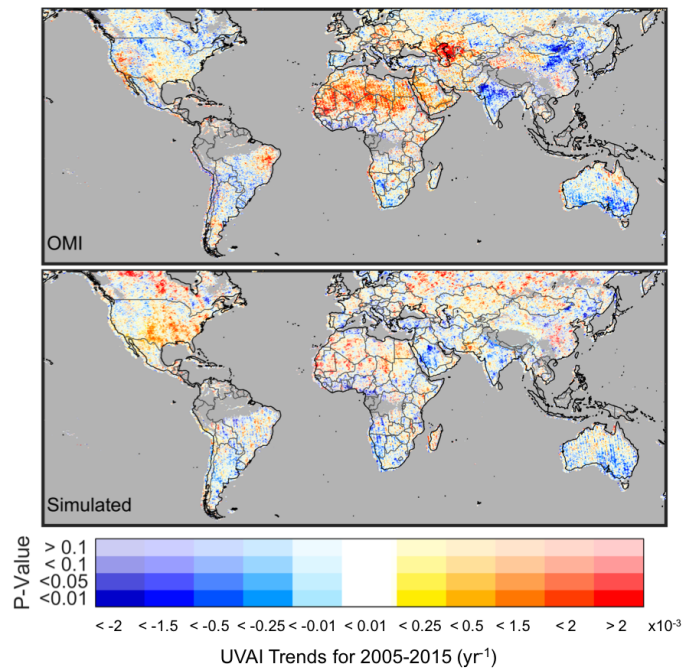
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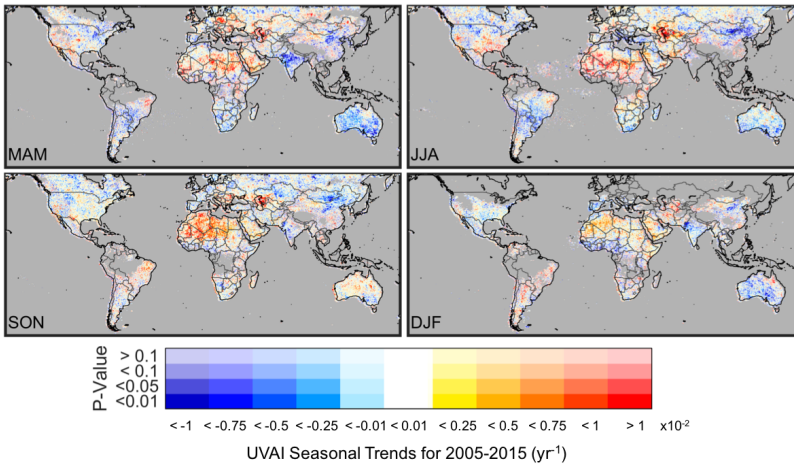
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858 **Figure 3:** Seasonal mean UVAI values for the 2005-2015 period from our simulation coincidentally
 859 sampled from OMI for MAM (May, April, March), JJA (June, July August), SON (September,
 860 October, November), and DJF (December, January, February). Gray indicates persistent cloud
 861 fraction greater than 5%.



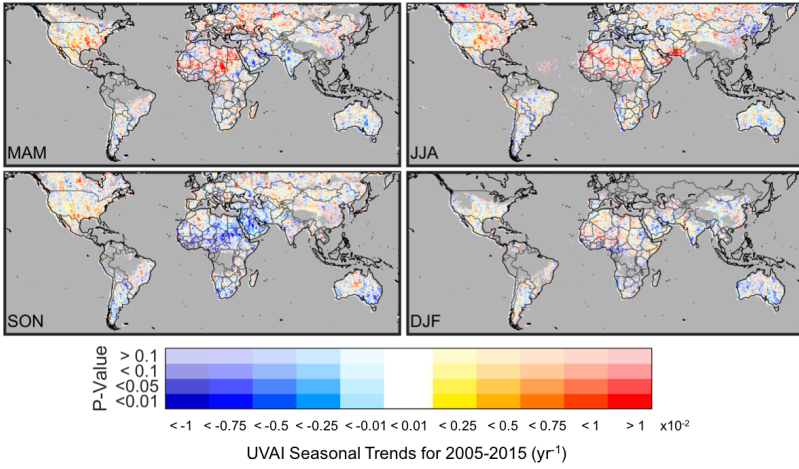
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863 **Figure 4:** Trends in OMI (top panel) and simulated (bottom panel) UVAI values coincidentally
 864 sampled from OMI calculated from the Generalized Least Squares regression of monthly time
 865 series values over 2005-2015. The opacity of the colors indicates the statistical significance of the
 866 trend. Gray indicates persistent cloud fraction greater than 5%.



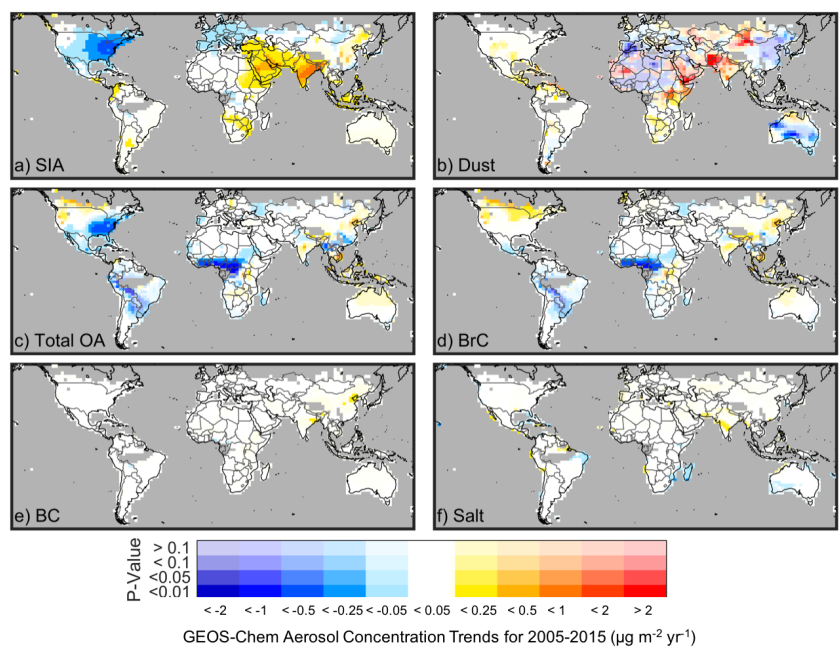
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868 **Figure 5:** Seasonality of the trends in OMI UVAI values calculated from the Generalized Least
 869 Squares regression of monthly time series values over 2005-2015 for MAM (May, April, March),
 870 JJA (June, July August), SON (September, October, November), and DJF (December, January,
 871 February). The opacity of the colors indicates the statistical significance of the trend. Gray
 872 indicates persistent cloud fraction greater than 5%.



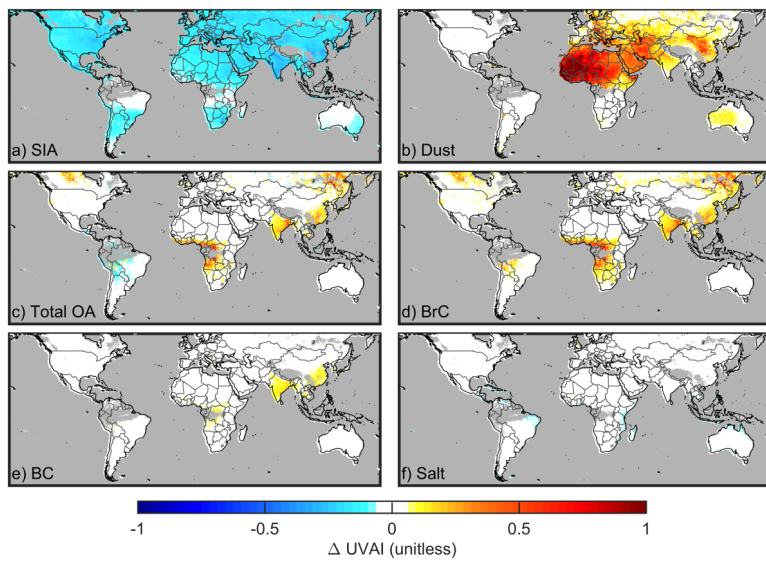
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874 **Figure 6:** Seasonality of the trends in simulated UVAI values coincidentally sampled from OMI
 875 calculated from the Generalized Least Squares regression of monthly time series values over 2005-
 876 2015 for MAM (May, April, March), JJA (June, July August), SON (September, October,
 877 November), and DJF (December, January, February). The opacity of the colors indicates the
 878 statistical significance of the trend. Gray indicates persistent cloud fraction greater than 5%.



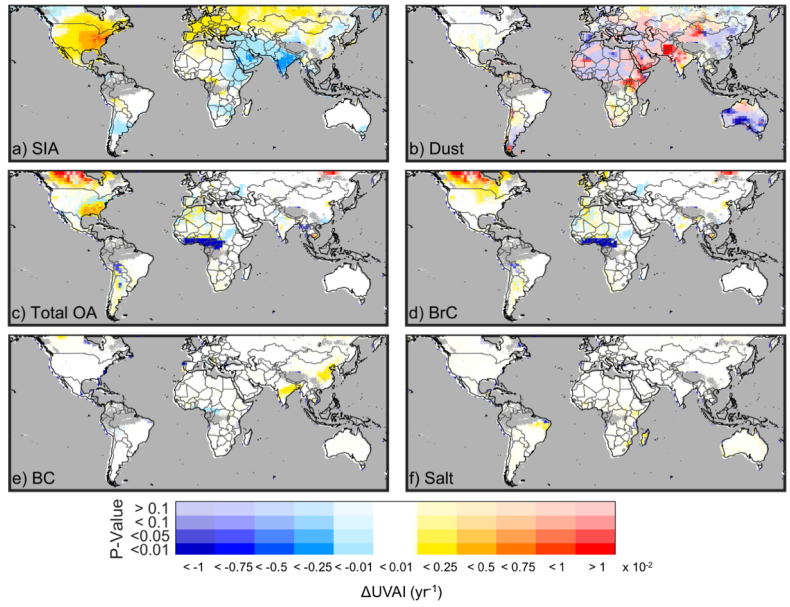
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880 **Figure 7:** Trend in GEOS-Chem aerosol concentrations for a) secondary inorganic aerosol (SIA),
 881 b) dust, c) total organic aerosol (OA), d) brown carbon (BrC), e) black carbon (BC), and f) sea
 882 salt. The trends are calculated from the GLS regression of monthly aerosol concentration time
 883 series values over 2005-2015. The opacity of the colors indicates the statistical significance of the
 884 trend. Gray indicates persistent cloud fraction greater than 5%.



885

886 **Figure 8:** Annual mean change in simulated UVAI values for 2008 due to the doubling of
 887 concentrations of a) secondary inorganic aerosol (SIA), b) dust, c) total organic aerosol (OA), d)
 888 brown carbon (BrC), e) black carbon (BC), and f) sea salt from the GEOS-Chem simulation. Gray
 889 indicates persistent cloud fraction greater than 5%.



890

891 **Figure 9:** Change in simulated UVAI values due to the 2005-2015 trends in a) secondary inorganic
 892 aerosols (SIA), b) dust, c) total organic aerosol (OA), d) brown carbon (BrC), e) black carbon
 893 (BC), and f) sea salt from the GEOS-Chem simulation. Gray indicates persistent cloud fraction
 894 greater than 5%.