# Inverse modelling of CF<sub>4</sub> and NF<sub>3</sub> emissions in East Asia

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Decadal trends in the atmospheric abundances of carbon tetrafluoride (CF<sub>4</sub>) and nitrogen trifluoride (NF<sub>3</sub>) have been well characterised and have provided a time series of global total

20 emissions. Information on locations of emissions contributing to the global total, however, is currently poor. We use a unique set of measurements between 2008 and 2015 from the Gosan station, Jeju Island, South Korea (part of the Advanced Global Atmospheric Gases Experiment network), together with an atmospheric transport model to make spatially disaggregated emission estimates of these gases in East Asia. Owing to the poor availability

of good prior information for this study our emissions estimates are largely influenced by the atmospheric measurements. Notably, we are able to highlight emissions hotspots of NF<sub>3</sub> and CF<sub>4</sub> in South Korea, owing to the measurement location. We calculate emissions of CF<sub>4</sub> to be quite constant between years 2008 and 2015 for both China and South Korea with 2015 emissions calculated at  $4.3 \pm 2.7$  Gg yr<sup>-1</sup> and  $0.36 \pm 0.11$  Gg yr<sup>-1</sup>, respectively. Emission

30 estimates of NF<sub>3</sub> from South Korea could be made with relatively small uncertainty at  $0.6 \pm 0.07$  Gg yr<sup>-1</sup> in 2015, which equates to ~1.6% of the country's CO<sub>2</sub> emissions. We also apply our method to calculate emissions of CHF<sub>3</sub> (HFC-23) between 2008 and 2012, for which our results find good agreement with other studies and which helps support our choice in methodology for CF<sub>4</sub> and NF<sub>3</sub>.

#### 35 **1. Introduction**

The major greenhouse gases (GHGs) – carbon dioxide, methane and nitrous oxide – have natural and anthropogenic sources. The synthetic fluorinated species (chlorofluorocarbons, hydrofluorocarbons, and perfluorocarbons) are almost or entirely anthropogenic and are released from industrial and domestic appliances and applications. Of

- 40 the synthetic species, tetrafluoromethane (CF<sub>4</sub>) and nitrogen trifluoride (NF<sub>3</sub>) are emitted nearly exclusively from point sources of specialized industries (Arnold et al., 2013; Mühle et al., 2010). Although these species currently make up only a small percentage of current emissions contributing to global radiative forcing, they have potential to form large portions of specific company, sector, state, province, or even country level GHG budgets.
- 45 CF<sub>4</sub> is the longest-lived GHG gas known with an estimated lifetime of 50,000 years, leading to a global warming potential on a 100-year time scale (GWP<sub>100</sub>) of 6630 (Myhre et al., 2013). Significant increases in atmospheric concentrations are ascribed mainly to emissions from primary aluminum production during so-called "anode events" when the alumina feed to the reduction cell is restricted (International Aluminium Institute, 2016), and from the
- 50 microchip-manufacturing component of the semiconductor industry (Illuzzi and Thewissen, 2010). Recently, evidence is emerging that, similar to primary aluminium production, rare earth element production may also release substantial amounts of CF<sub>4</sub> (Vogel et al., 2017; Zhang et al., 2017). Other emission sources for CF<sub>4</sub> include release during the production of SF<sub>6</sub> and HCFC-22, but emissions from these sources are estimated to be small compared to
- 55 the emissions from the aluminium production and semiconductor manufacturing industries (EC-JRC/PBL, 2013; Mühle et al., 2010). There is also a very small natural emission source of CF4, sufficient to maintain the preindustrial atmospheric burden (Deeds et al., 2008).

According to the IPCC fifth assessment, NF<sub>3</sub>'s global warming potential on a 100-year time scale (GWP<sub>100</sub>) is ~16,100 (based on an atmospheric lifetime of 500 years) (Myhre et al.,

60 2013), however, recent work suggests the GWP<sub>100</sub> is higher at 19,700 owing to an increased estimate in the radiative efficiency (Totterdill et al., 2016). Use of NF<sub>3</sub> began in the 1960s in

specialty applications, e.g., as a rocket fuel oxidizer and as a fluorine donor for chemical lasers (Bronfin and Hazlett, 1966). Beginning in the late 1990s, NF<sub>3</sub> has been used by the semiconductor industry, and in the production of photovoltaic cells and flat-panel displays.

- NF<sub>3</sub> can be broken down into reactive fluorine (F) radicals and ions, which are used to remove the remaining silicon-containing deposits in process chambers (Henderson and Woytek, 1994; Johnson et al., 2000). NF<sub>3</sub> was also chosen because of its promise as an environmentally friendly alternative, with conversion efficiencies to create reactive F far higher than other compounds such as C<sub>2</sub>F<sub>6</sub> (Johnson et al., 2000; International SEMATECH
- 70 Manufacturing Initiative, 2005). Given its rapid recent rise in the global atmosphere and projected future market, it has been estimated that NF<sub>3</sub> could become the fastest growing contributor to radiative forcing of all the synthetic GHGs by 2050 (Rigby et al., 2014b).

CF<sub>4</sub> and NF<sub>3</sub> are not the only species with major point source emissions. Trifluoromethane (CHF<sub>3</sub>; HFC-23) is principally made as a byproduct in the production of

- 75 chlorodifluoromethane (CHClF<sub>2</sub>, HCFC-22). Of the hydrofluorocarbons (HFCs), HFC-23 has the highest 100-year global warming potential (GWP<sub>100</sub>) at 12,400 owing most significantly to a long atmospheric lifetime of 222 years (Myhre et al., 2013). Its regional and global emissions have been the subject of numerous previous studies (Fang et al., 2014; McCulloch and Lindley, 2007; Miller et al., 2010; Montzka et al., 2010; Fang et al., 2015; Stohl et al.,
- 80 2010; Li et al., 2011; Kim et al., 2010; Yao et al., 2012; Keller et al., 2012; Yokouchi et al., 2006; Simmonds et al., 2018). Thus, emissions of HFC-23 are already relatively well characterized from a bottom up and top-down perspective. In this work we will also calculate HFC-23 emissions, not to add to current knowledge, but to provide a level of confidence for our methodology.

85 Unlike for HFC-23, the spatial distribution of emissions responsible for CF<sub>4</sub> and NF<sub>3</sub> abundances is very poorly understood, which is hindering action for targeting mitigation. HFC-23 is emitted from well-known sources (namely HCFC-22 production sites) with well characterized estimates of emission magnitudes and hence it has been a target for successful mitigation (by thermal destruction) via the clean development mechanism (Miller et al.,

90 2010). However, emissions of CF<sub>4</sub> and NF<sub>3</sub> are very difficult to estimate from industry level information: Emissions from Al production are highly variable depending on the conditions of manufacturing, and emissions from the electronics industry depend on what is being manufactured, the company's recipes for production (such information is not publicly available) and whether abatement methods are used and how efficient these are under real

- 95 conditions. Both the Al production and semiconductor industries have launched voluntary efforts to control their emissions of these substances, reporting success in meeting their goals (International Aluminium Institute, 2016; Illuzzi and Thewissen, 2010; World Semiconductor Council, 2017). Despite the industry's efforts to reduce emissions, top-down studies on the emissions of CF<sub>4</sub> and NF<sub>3</sub> have shown the bottom-up inventories are likely to be highly
- 100 inaccurate. Most recently, Kim et al. (2014) showed that global bottom-up estimates for  $CF_4$ are as much as 50% lower than top-down estimates, and Arnold et al. (2013) show that the best estimates of global NF<sub>3</sub> emissions calculated from industry information and statistical data total only ~35% of that estimated from atmospheric measurements.

Accurate emission estimates of NF<sub>3</sub> and CF<sub>4</sub> are difficult to make based on simple parameters such as integrated country level uptake rates and leakage rates, which, for example, underpin calculations of HFC emissions. Active or passive activities to reduce emissions vary between countries, and between industries and companies within countries, and the impetus to accurately understand emissions is lacking in regions that have not been required to report emissions under the UNFCCC. This problem is compounded by the difficulty in making

- 110 measurements of these gases: CF4 and NF3 are the two most volatile GHGs after methane (CH4) with low atmospheric abundances, which makes routine measurements in the field at the required precision particularly difficult. The Advanced Global Atmospheric Gases Experiment (AGAGE) has been monitoring the global atmospheric trace gas budget for decades (Prinn et al., 2018). Most recently, AGAGE's 'Medusa' pre-concentration GC-MS
- (gas chromatography-mass spectrometry) system has been able to measure a full suite of the long-lived halogenated GHGs (Arnold et al., 2012; Miller et al., 2008). The Medusa is the only instrument demonstrated to measure NF<sub>3</sub> in ambient air samples, and the only fielddeployable instrument capable of measuring CF<sub>4</sub>. The Medusa on Jeju Island, South Korea is one of only twenty such instruments currently in operation globally and is uniquely sensitive
- 120 to the dominant emission sources of these compounds given its location in this highly industrial part of the globe with large capacities of Al production, semiconductor manufacturing, and rare earth element production industries. Its utility has already been demonstrated in numerous previous studies to understand emissions of many GHGs from Japan, South Korea, North Korea, eastern China, and surrounding countries (Fang et al.,

125 2015; Kim et al., 2010; Li et al., 2011).

For the first time we use the measurements of  $CF_4$  (starting in 2008) and  $NF_3$  (starting in 2013) in an inversion framework – coupling each measurement with an air history map

computed using a particle dispersion model. We demonstrate the use of these measurements to find emissions hotspots in this unique region with minimal use of prior information, and

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we show that East Asia is a major source of these species. Focussed mitigation efforts, based on these results, could have a significant impact on reducing GHG emissions from specific areas. The technology for abating emissions of these gases from such discrete sources exists and could be used (Chang and Chang, 2006; Purohit and Höglund-Isaksson, 2017; Illuzzi and Thewissen, 2010; Yang et al., 2009; Raoux, 2007; Wangxing et al., 2016).

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## 2. Methods

#### 2.1 Atmospheric measurements

The Gosan station (from here on termed GSN) is located on the south-western tip of Jeju Island in the Republic of Korea (126.16181° E, 33.29244° N). The station rests at the top of a 72 m cliff, about 100 km south of the Korean peninsula, 500 km northeast of Shanghai,

140 China, and 250 km west of Kyushu, Japan, with an air inlet 17 m above ground level.

A Medusa GC-MS system was installed at GSN in 2007 and has been operated as part of the AGAGE network to take automated, high-precision measurements for a wide range of CFCs, HCFCs, HFCs, PFCs, Halons and other halocarbons; all significant synthetic GHG and/or

- 145 stratospheric ozone depleting gases as well as many naturally occurring halogenated compounds (Miller et al., 2008; Arnold et al., 2012; Kim et al., 2010). Since November 2013, NF<sub>3</sub> has been measured within this suite of gases. Air reaches GSN from the most heavily developed areas of East Asia, making the measurements and their interpretation a unique source for 'top-down' emissions estimates in the region. Ambient air measurements are made
- 150 every 130 minutes and are bracketed with a standard before and after the air sample to correct for instrumental drift in calibration. Further details on the methodology for the calibration of these gases are given elsewhere (Arnold et al., 2012; Mühle et al., 2010; Miller et al., 2010; Prinn et al., 2018).

## 2.2 Atmospheric model

Lagrangian particle dispersion models are well suited to determine emissions of trace gases 155 on this spatial scale as they can be run backwards allowing for the source-receptor relationship to be efficiently calculated. We use the Numerical Atmospheric dispersion Modelling Environment (NAME III), henceforth called NAME, developed by the UK Met Office (Ryall and Maryon, 1998; Jones et al., 2007). Inert particles are advected backwards in

- 160 time by the transport model, NAME, which also associates a mass to each trajectory. Hence, NAME output is provided as the time integrated near-surface (0 - 40 m) air concentration (g s m<sup>-3</sup>) in each grid cell – the surface influence resulting from a conceptual release at a specific rate (g s<sup>-1</sup>) from the site. 'Offline' this surface influence is divided by the total mass emitted during the 1-hour release time and multiplied by the geographical area of each grid box to
- 165 form a new array with each component representative of how 1 g m<sup>-2</sup> s<sup>-1</sup> of continuous emissions from a grid square would result in a measured concentration at the model's release point (the measurement site). Multiplication of each grid component by an emission rate then results in a contribution to the concentration.

The meteorological parameter inputs to NAME are from the Met Office's operational global
NWP model, the Unified Model (UM) (Cullen, 1993). The UM had a horizontal resolution of 0.5625° × 0.375° (~40 km) from December 2007 to April 2010; 0.3516° × 0.2344° (~25 km) from April 2010 to July 2014; and 0.234375 × 0.15625° (~17 km) from mid-July 2014 to mid-July 2017. The number of vertical levels in the UM has increased over this period, with NAME taking the lowest 31 levels in 2009 and the lowest 59 levels in 2015. The GHGs
considered in this study have lifetimes on the order of hundreds to tens of thousands of years (Myhre et al., 2013), and can be considered inert gases on the spatial and temporal scales of

- this study and therefore the NAME model schemes for representing chemistry, dry deposition, wet deposition and radioactive decay were not used. The boundary layer height (BLH) estimates are taken from the UM, however, a minimum BLH allowed within NAME
  180 was set to 40 m to be consistent with the maximum emission height and the height of the
- output grid. The NAME model was run to estimate the 30-day history of the air on route to GSN. We calculated the time-integrated air concentration (dosage) at each grid box  $(0.352^{\circ} \times 0.234^{\circ}, \text{ and } 0\text{--}40 \text{ m}$  above ground level, irrespective of the underlying UM meteorology resolution) from a release of 1 g s<sup>-1</sup> at GSN at 10±10 metres above the model ground level (magl).

The model is three-dimensional, and therefore it is not just surface to surface transport that is modelled: An air parcel can travel from the surface to a high altitude and then back to the surface but only those times when the air parcel is within the lowest 40 m above the ground will it be included in the model output aggregated sensitivity maps. The computational

domain covers 54.34° E to 168.028° W longitude (391 grid cells of dimension 0.352°) and
5.3°S to 74.26°N latitude (340 grid cells of dimension 0.234°) and extends to more than 19
km vertically. Despite the increase in the resolution of the UM over the time period covered,

the resolution of the NAME output was kept constant throughout. For each 1 h period, 5000 inert model particles were used to describe the dispersion of air. By dividing the dosage [g s

- 195 m<sup>-3</sup>] by the total mass emitted [3600 s h<sup>-1</sup> × 1 h × 1 g s<sup>-1</sup>] and multiplying by the geographical area of each grid box [m<sup>2</sup>], the model output was converted into a dilution matrix *H* [s m<sup>-1</sup>]. In figure 1 we show an aggregated dilution matrix for the 2013 inversion period, demonstrating the areas of most significant influence on the GSN measurements. Each element of the matrix *H* dilutes a continuous emission of 1 g m<sup>-2</sup> s<sup>-1</sup> from a given grid
- 200 box over the previous 30 d to simulate an average concentration [g m<sup>-3</sup>] at the receptor (measurement point) during a 1 h period.

### 2.3 Inversion framework

For most long-lived trace gases (with lifetimes of years or longer), the assumption that 205 atmospheric mole fractions respond linearly to changes in emissions holds well. By using this linearity, we can relate a vector of observations (*y*) to a state vector (*x*) made up of emissions and other non-prescribed model conditions (see section 2.6), via a sensitivity matrix (*H*) (Tarantola, 2005):

$$y = Hx + residual$$

210 A Bayesian framework is typically used in trace gas inversions and incorporates a priori information, which gives rise to the following cost function:

$$C = (Hx - y)^T R^{-1} (Hx - y) + (x - x_p)^T B^{-1} (x - x_p)$$
(1)

Where, *C* is the cost function score (the aim is to minimise this score); *H* is made up mainly of the model derived dilution matrices (Section 2.2) but also the sensitivity of changes in domain
border conditions on measured mixing ratios; *x* is a vector of emissions and domain border conditions; *y* is a vector of observations; *R* is a matrix of combined model and observation uncertainties; *x<sub>p</sub>* is a vector of prior estimates of emissions and domain border conditions; and *B* is an error matrix associated with *x<sub>p</sub>*. The cost function is minimised using a "NNLS", nonnegative least squares fit (Lawson and Hanson, 1974), as previously used for volcanic ash
(Thomson et al., 2017; Webster et al., 2017). The NNLS algorithm finds the least squares fit under the constraint that the emissions are non-negative. This is an "active set" method which efficiently iterates over choices for the set of emissions for which the non-negative constraint is active, i.e. the set of emissions which are set to zero.

The first term in equation 1 describes the mismatch (fit) between the modelled time-series and the observed time-series at each observation station. The observed concentrations (y) are comprised of two distinct components; (a) the Northern Hemisphere (NH) background concentration, referred to as the baseline, that changes only slowly over time, and (b) rapidly varying perturbations above the baseline. These observed deviations above background (baseline) are assumed to be caused by emissions on a regional scale that have yet to be fully

- 230 mixed on the hemisphere scale. The magnitude of these deviations from baseline and, crucially, how they change as the air arriving at the stations travels over different areas, is the key to understanding where the emissions have occurred. The inversion system considers all of these changes in the magnitude of the deviations from baseline as it searches for the best match between the observations and the modelled time-series. The second term describes the
- 235 mismatch (fit) between the estimated emissions and domain border conditions (x) and prior estimated emissions and domain border conditions  $(x_p)$  considering the associated uncertainties (*B*).

The aim of the inversion method is to estimate the spatial distribution of emissions across a defined geographical area. The emissions are assumed to be constant in time over the inversion time period (in this case one calendar year as is typically reported in inventories). Assuming the emissions are invariant over long periods of time is a simplification, but is necessary given the limited number of observations available. In order to compare the measurements and the model time-series, the latter are converted from air concentration [g m<sup>-3</sup>] to the measured mole fraction (e.g. parts per trillion [ppt]) using the modelled temperature and pressure at the observation point.

### 2.4 Prior emissions information

Global emissions estimates of CF<sub>4</sub> and NF<sub>3</sub> using atmospheric measurements have demonstrated that 'bottom-up' accounting methods for one or more sectors, or one or more regions, are highly inaccurate (Arnold et al., 2013; Mühle et al., 2010). This study makes no

- 250 effort to improve such inventory methods but instead focuses on minimising the reliance of prior information on our Bayesian-based posterior emissions estimates. Our prior information data sets come from the EDGAR (Emissions Database for Atmospheric Research) v4.2 emission grid maps (EC-JRC/PBL, 2013). This data set only covers the years 2000 to 2010 and therefore we apply the prior for 2010 for each year between 2011 and 2015. The 0.1 x
- 255  $0.1^{\circ}$  EDGAR emission maps were first re-gridded based on the lower resolution of our inversion grid ( $0.3516^{\circ} \times 0.2344^{\circ}$ ). In order to remove the influence of the within-country

prior spatial emissions distribution, each country's emissions were then averaged across their entire landmass (see Figure S1). We applied 5 different levels of uncertainty to each inversion grid cell (a,b) in 5 separate inversion experiments, each a multiple of the emissions magnitude ( $x_{a,b}$ ) in each grid cell:  $1 \times x_{a,b}$  (i.e. 100% uncertainty),  $10 \times x_{a,b}$ ,  $100 \times x_{a,b}$ ,  $1000 \times x_{a,b}$ , and  $10,000 \times x_{a,b}$ . We were then able to test the sensitivity of the prior emissions uncertainty and provide evidence for the low influence of prior information on the emissions estimates in the posterior.

#### 2.5 Model-measurement and prior uncertainties

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- In addition to inaccurate prior information, another significant source of uncertainty in estimating emissions is from the model; from both the input meteorology and the atmospheric transport model itself. The uncertainty matrix, *R*, is a critical part of equation 1 that allows us to adjust uncertainties assigned to each measurement depending on how well we think the model is performing at that time: It describes, per hour time period, a combined uncertainty of the model and the observation at each time. The method of assigning measurement-model
- uncertainties is under development and here we describe one method that has been applied to the modelling of GSN measurements. All elements of the modelled meteorology (wind speed and direction, boundary layer height, temperature, pressure, etc.) are important in understanding the dilution and uncertainty in modelling from source to receptor, however,
- 275 quantifying the impact of each element that each model particle experiences in order to fully quantify the model uncertainty at each measurement time is beyond what is available from numerical weather prediction models. So in order to attempt to quantify a model/observation uncertainty we took a pragmatic approach and used modelled boundary layer height at the receptor as a proxy.

280 Emissions are primarily diluted by transport and mixing within the planetary boundary layer (PBL), and hence, modelling of the PBL height (BLH) is crucial for accurate modelling of the mixing ratios. Changes in boundary layer height at or surrounding the measurement location can cause significant changes to the measured mixing ratio. A low boundary layer (causing a larger model uncertainty) has two implications for measurements at the Gosan site: 1) A greater

285 possibility of air from above the boundary layer being sampled in reality but not in the model. Subtle changes in the boundary layer height at the exact measurement location are not well modelled and the difference between sampling above or below the boundary layer can have a significant influence on the amount of pollutant assigned to a back trajectory. 2) Greater influence of emissions from sources very near GSN. A lower boundary layer means that a 290 lower rate of dilution of local emissions will occur, in turn increasing the signal of the local pollutant above the baseline. A relatively small change in a low boundary layer will have a significant influence on this dilution compared to the same change on a high boundary layer. Thus, any error in the boundary layer height at low levels can significantly amplify the uncertainty in the pollutant dilution. This is coupled with the fact that the modelled boundary 295 layer has significant uncertainty especially when low.

To assign a model uncertainty to each hourly window of measurements we use model information of BLH:

$$\sigma_{model} = \sigma_{baseline} \times f_{BLH} \quad (3)$$

where,  $\sigma_{baseline}$  is the variability associated with the baseline calculation (see Section 2.6),

and  $f_{BLH}$  is a multiplying factor (greater than or less than unity) that increases or decreases the relative uncertainty assigned to each model time period.  $f_{BLH}$  is based on modelled boundary layer height magnitude and variability over a three-hour period and is calculated with the following:

$$f_{BLH} = \frac{Max_{BLH-inlet}}{Min_{BLH-inlet}} \times \frac{Threshold}{Min_{BLH}}$$

- 305 where,  $Max_{BLH-inlet}$  is the largest of either 100 m or the maximum distance, calculated hourly, between the inlet and the modelled BLH within a period of three hours around the measurement time;  $Min_{BLH-inlet}$  is the smallest of the distances calculated between the inlet and the BLH over the same three-hour period; *Threshold* is an arbitrary value set at 500 m; and  $Min_{BLH}$  is the lowest boundary layer height recorded over the three-hour period. Thus,
- 310 the relative assigned uncertainty considers the proximity of the varying boundary layer to the inlet height and a recognition that observations taken when the boundary layer is varying at higher altitudes (>500 m a.g.l.) is likely to have less impact and therefore have lower uncertainty compared to those taken when the BLH is varying at lower altitudes (< 500 magl).</p>
- 315 Supporting Figures S2-S6 show annual time series of observations and the corresponding measurement-model uncertainties, as well as statistics for the mismatch between observations and modelled time series.

## 2.6 Baseline calculation and domain border conditions

For each measurement at GSN it is important to accurately understand the portion of the total

- 320 mixing ratio arriving from outside the inversion domain and the portion from emission sources within the domain, otherwise emissions from specific areas could be over or under estimated. GSN is uniquely situated; receiving air masses from all directions over the course of the year, which can have distinct compositions of trace gases, driven mainly by the different emission rates between the two hemispheres and slow inter-hemispheric mixing.
- In addition to the time integrated air concentration produced by NAME (Section 2.2), the 3D coordinate where each particle left the computational domain was also recorded. This information was then post-processed to produce the percentage contributions from 11 different borders of the 3D domain (Figure 2). From 0 to 6 km in height eight horizontal boundaries (WSW, WNW, NNW, NNE, ENE, ESE, SSE, SSW) were considered and
- 330 between 6 to 9 km the horizontal boundaries were only split between north and south. The eleventh border was considered when particles left in any direction above 9 km. Thus, the influence of air arriving to GSN from outside the domain was simplified as a combination of air masses arriving from 11 discrete directions.

We use measurements from the Mace Head observatory (from here termed MHD) on the

335 west coast of Ireland (53.33° N, 9.90° W) – a key AGAGE (Advanced Global Atmospheric Gases Experiment) site providing long term in-situ atmospheric measurements – to act as a starting point for an estimate of the composition of air from the NH mid-latitudes entering the East Asia domain. MHD was one of the first locations to measure CF<sub>4</sub> (starting 2004) and NF<sub>3</sub> (starting 2012) and other measurements from the site are routinely used in atmospheric

- 340 studies to calculate decadal trends in the NH atmospheric abundances. In summary, a quadratic fit was made only to MHD observations that were representative of the NH baseline. i.e. when well mixed air was arriving predominately from the WNW-NNW (North Atlantic) direction as calculated using NAME (details of filtering and fitting are given in the Supporting Text).
- 345 The composition of air arriving from any of the 11 directions is calculated using corresponding multiplying factors applied to the MHD baseline, which were included as part of the state vector (x), i.e. these factors are constant for a given inversion year. The prior baseline was therefore perturbed as part of the inversion based on the relative contribution of air arriving from different borders of the 3D domain and the multiplying factors that are
- included within the cost function (Equation 2). Figure 3 shows an annual time series of

observations for CF<sub>4</sub> and the difference between the prior baseline (the quadratic fit from MHD) and the posterior baseline.

## 2.7 Domains and inversion grids

The domain used in the inversion is smaller than the computational NAME transport model
domain. The horizontal inversion domain covers 88.132° E to 145.860° E longitude (164 fine grid cells of 0.352°) and 15.994° N to 57.646° N latitude (178 fine grid cells of 0.234°). As
GSN is surrounded by highly industrial areas the site is insensitive to small emissions or highly diluted emissions from further away. NAME is run on a larger domain to ensure that on the occasion when air circulates out of the inversion domain and then back, its full 30-day
history in the inversion domain is included.

An initial computational inversion grid (from here termed the 'coarse grid') was created based on a) aggregated information from the NAME footprints over the period of the inversion (in this case one year), aggregating fewer grid cells in areas that are 'seen' the most by GSN, and b) on the prior emissions flux i.e. areas known to have low emissions (i.e. the

- 365 ocean) had higher aggregation. Course grid cells could not be aggregated over more than a single country/region and a total of ≈100 coarse grid cells (n) were created. After the initial inversion the posterior emissions density [g yr<sup>-1</sup> m<sup>-2</sup>] and the ability of the posterior emissions to impact the measurements at GSN (using information from the NAME output) was used to choose a coarse grid cell to divide in two by area. A new inversion was run using identical
- 370 inputs except for the number of grid cells (now n+1). This sequence was repeated 50 times creating ≈150 coarse grid cells within the inversion domain for the final inversion. The results from the inversions with the maximum disaggregation are presented in this paper.

## 3. Results and discussion

### 3.1 Country total emissions estimates

375 Table 1 provides a summary of our estimates of emissions from the five major emitting countries/regions within the East Asia domain. These posterior emission estimates use a prior emissions uncertainty in each fine grid cell of 100x the emissions magnitude (see Section 2.4).

HFC-23

Fang et al. (2015) conducted a very thorough 'bottom-up' study within their work on HFC-23; constraining an inversion model using both prior information and atmospheric

measurements. They used an inverse method based on FLEXPART using measurements from three sites in East Asia – GSN, Hateruma (a Japanese island ~200 km east of Taiwan), and Cape Ochi-ishi (northern Japan), calculating an HFC-23 emissions rise in China from 6.4

- $\pm 0.7 \text{ Gg yr}^{-1}$  in 2007 (6.2  $\pm 0.6 \text{ Gg yr}^{-1}$  in 2008) to 8.8  $\pm 0.8 \text{ Gg yr}^{-1}$  in 2012. An earlier study by Stohl et al. (2010) also report HFC-23 emissions of 6.2  $\pm 0.8 \text{ Gg yr}^{-1}$  in 2008. Both Fang et al. (2015) and Stohl et al. (2010) report emissions from other countries below 0.25 Gg yr<sup>-1</sup> for all years. Our estimates use a completely independent inverse method and only data from GSN, yet the results are very close to those from Fang et al. (2015) (Figure 4): 6.8  $\pm 4.3 \text{ Gg}$
- 390 yr<sup>-1</sup> in 2008 (a difference of 10%) and  $10.7 \pm 4.6$  Gg yr<sup>-1</sup> in 2012 (a difference of 22%), and to Stohl et al. (2010). The posterior uncertainties in these two different studies mainly reflect the difference in the prior uncertainty assumed for the prior information: We assume a very high level of uncertainty on our prior emissions and therefore our posterior uncertainties are significantly higher. However, these inversion result estimates are lower than estimates based
- 395 on inter-species correlation analysis by Li et al. (2011) who calculated emissions of HFC-23 from China in 2008 in the range of 7.2-13 Gg yr<sup>-1</sup>. And using a CO tracer-ratio method, Yao et al. (2012) estimated particularly low emissions of  $2.1 \pm 4.6$  Gg yr<sup>-1</sup> for 2011-2012. The estimates derived from atmospheric inversions do not rely on any correlations with other species or known emissions for certain species, and given two separate inversion studies have
- 400 produced very similar results we suggest these provide a more reliable 'top-down' emissions estimate of HFC-23. As well as providing an independent validation of the previous work on HFC-23 by Fang et al. (2015) and Stohl et al. (2010), the alignment of our HFC-23 emissions estimates with those previous studies provides confidence in our inversion methodology for the CF<sub>4</sub> and NF<sub>3</sub> emissions estimates.

 $405 CF_4$ 

Our understanding of emissions of CF<sub>4</sub> and NF<sub>3</sub> is very poor, which is highlighted in global studies based on atmospheric measurements that show bottom-up estimates of emissions are significantly underestimated (Mühle et al., 2010; Arnold et al., 2013). With such a poor prior understanding of emissions we assess the effect of prior uncertainty on the posterior

410 emissions (Figure 4). With assignment of uncertainty on the prior of each fine grid cell at ten times the prior emissions value, the posterior is still significantly constrained by the prior for both China and South Korea. Posterior estimates when larger uncertainties are applied to the prior (100x to 10000x) are very consistent, indicating that when greater than 100x uncertainty is applied, emissions estimates are most significantly constrained by the atmospheric

- 415 measurements. For China for 7 of the 8 years studied our posterior estimates are greater than twice the prior estimates taken from EDGAR v4.2. The latest global estimates are from Rigby et al. (2014) and they estimated global CF<sub>4</sub> emissions of  $10.4\pm0.6$  Gg/year in 2008 with a steady but small increase to  $11.1 \pm 0.4$  Gg/year in 2013 (with the exception of a dip in 2009 to  $9.3\pm0.5$  Gg/year). We highlight that our Chinese emission estimates remain within a
- 420 narrow range for 5 of the 8 years studied at between 4.0 and 4.7 Gg/year (with typical uncertainties <2.7 Gg/year), and for 7 of the 8 years studied between 2.82 and 5.35 Gg/year. However, the estimate for 2012 appears to be anomalous at 8.25±2.59 Gg/year. In relation to the global top-down estimates from 2008 to 2012, our Chinese estimates represent between 37 to 45 % of global emissions between 2008 and 2011 with a jump to 74 % in 2012. This</li>
- 425 significant increase in 2012 is not reconcilable with atmospheric measurements on the global scale and is very likely a spurious result of the inversion. The most probable explanation for such a result is the incorrect assignment of emissions on the inversion grid. Incorrect assignment of emissions can occur between countries, particularly where air parcels frequently pass over more than one country, therefore reducing the ability of the inversion to
- 430 confidently place emissions. However, there is not an obvious drop in emissions for another country in 2012 that would offset the large increase in the Chinese emissions estimate.
  Within a country, incorrect assignment of emissions from an area closer to the receptor to an area further from the receptor will increase the calculated total emissions owing to increased dilution in going from a near to a far source. Our inversion is susceptible to this effect as we
- 435 only have one site for assimilation of measurements; two measurement sites, spaced apart and straddling the area of interest, would provide significantly more information to constrain the spatial emissions distribution.
- Our estimates are significantly higher than emission estimation methods using interspecies
  correlation: Kim et al. (2010) estimated CF<sub>4</sub> emissions in the range of only 1.7-3.1 Gg yr<sup>-1</sup> in
  2008 and Li et al. (2011) only 1.4-2.9 Gg yr<sup>-1</sup> over the same period. The interspecies
  correlation approach inherently requires that the sources of the different gases that are
  compared are coincident in time and space. Kim et al. (2010) and Li et al. (2011) used
  HCFC-22 as the tracer compound for China with a calculated emissions field from an inverse
  model and most emissions of this gas originate from fugitive release from air conditioners
  and refrigerators. However, CF<sub>4</sub> is emitted mostly from point sources in the semiconductor

and aluminium production industries with different spatial emissions distribution within countries, and likely different temporal characteristics compared to HCFC-22.

Emissions estimates from South Korea and Japan are one order of magnitude lower than for
China. For 2008 Li et al. (2011) estimate emissions of CF4 from combined South and North
Korea of 0.19-0.26 Gg yr<sup>-1</sup> and from Japan of 0.2-0.3 Gg yr<sup>-1</sup>, which are on the low end of the
uncertainty range of our estimates for that year (Table 1). As one of the largest, if not the
largest, country for semiconductor wafer production, Taiwan is also an emitter of CF4.
However, measurements at GSN provide only poor sensitivity to detection of emissions from

455 Taiwan and our results can only suggest that emissions are likely <0.5 Gg yr<sup>-1</sup>. North Korea emissions were small and no annual estimate was above 0.1 Gg yr<sup>-1</sup>.

NF3

Our understanding of NF<sub>3</sub> emissions from inventory and industry data is even poorer than for  $CF_4$ . On a global scale the emission estimates from industry are underestimated (Arnold et

- al., 2013). This study suggests that at least some emissions of NF<sub>3</sub> stem from China, however gaining meaningful quantitative estimates has been difficult due to large uncertainties (Figure 4). Contrastingly, the posterior estimates of emissions from South Korea have relatively small uncertainties. Emissions from China travel a greater distance to the measurement site compared to emissions from South Korea. Thus, the magnitude of NF<sub>3</sub> pollution events from
- 465 China (especially from provinces furthest west), in terms of the mixing ratio detected at GSN, are smaller than for pollution arriving from neighbouring South Korea. Also, the poorer measurement precision for NF<sub>3</sub> compared to CF<sub>4</sub> leads to a larger uncertainty on the baseline, which in turn affects the certainty on the pollution episode, especially for more dilute signals. Emissions estimates for Japan are difficult to make without improved prior information and
- 470 more atmospheric measurements in other locations. We argue that other large changes in our emissions estimates from 2014 to 2015 could be real. For example, Japan's National Inventory Report for NF<sub>3</sub> shows a reduction in emissions of 63% between 2013 and 2015 (Ministry of the Environment Japan et al., 2018), which is within the uncertainty of the relative rate of decrease we observe.
- 475 As for CF<sub>4</sub>, emission estimates of NF<sub>3</sub> from Taiwan and North Korea are highly uncertain. However, our results do indicate that emissions of NF<sub>3</sub> from Taiwan might be lower than from South Korea despite very similar sized semiconductor production industries. Focussing on the more meaningful estimates from South Korea, emissions of NF<sub>3</sub> in 2015 are estimated

to be 0.60 ± 0.07 Gg yr<sup>-1</sup> which equates to 9660 ± 1127 Gg yr<sup>-1</sup> CO<sub>2</sub>-equivalent emissions
(based on a GWP<sub>100</sub> of 16,100). This is ~1.6% of the country's CO<sub>2</sub> emissions (Olivier et al., 2017), thus making a significant impact on their total GHG budget. Further, given that the sources of NF<sub>3</sub> are relatively few, these emissions can be assigned to a small number of industries, potentially making NF<sub>3</sub> an easy target for focussed mitigation policy. Rigby et al. (2014a) updated the global emission estimates from Arnold et al. (2013), calculated an annual emissions estimate of 1.61 Gg yr<sup>-1</sup> for 2012, with an average annual growth rate over the previous 5 years of 0.18 Gg yr<sup>-1</sup>. Linearly extrapolating this growth to 2014 and 2015 leads to projected global emissions as a percentage of these global totals equate to ~20% and ~28% for 2014 and 2015, respectively, which is around the proportion of semiconductor wafer

490 fabrication capacity in South Korea relative to global totals (~20%) (SEMI, 2017).

#### 3.2 Spatial emission maps

We use 'emissions minus uncertainty' maps (e.g. Figure 5B) is to provide information on where we are most certain of large emissions i.e. where emission hotpots are located and if
they are significant: Less negative values indicate more certainty, with positive values indicating that the uncertainty is less than the best estimate and negative values indicating that the uncertainty is bigger than the estimate. A more common way to illustrate grid-level uncertainty is in an 'uncertainty reduction' map. This works well when starting from a relatively well-constrained, spatially resolved prior to illustrate the additional constraint the atmospheric observations add. In this study, however, we are starting from very poor prior information and we generate a posterior emission map that is very distinct from the prior, informed largely by the measurements. Thus, an uncertainty reduction map provides little useful information.

Figure 5 shows the effect of re-gridding over the course of 50 separate CF4 inversions (for

- 505 2015), from zero re-gridding steps (i.e. using a coarse grid space determined using information from NAME and the prior emissions), through to 25, and then 50 steps. The inversion was not allowed to decrease the minimum posterior grid size beyond four fine grid squares (i.e. four times the  $0.3516^{\circ} \times 0.2344^{\circ}$  grid square). This method highlights the areas that have the highest emissions density; the splitting of these grid cells improves the
- 510 correlation between observations and posterior model output. However, these emission maps

must be studied alongside the corresponding uncertainty maps. The inversion could continue to split towards a fine grid resolution limit even though there may not be enough information in the data to accurately constrain emissions from each course grid cell (leading to spurious emission patterns) and the process would be computationally very expensive. The largest

- 515 emissions of CF4 arise from China and Figure 5 suggests the largest emissions come from an area between 35° N and 38° N. The uncertainty on these emissions from the specific final coarse grid squares is large (Figure 5F) and therefore care needs to be taken not to over interpret emission hotspots. Although the grid is being split it is not realistic for the model to correctly interpret the spatial distribution of emissions at this distance from GSN, and this is
- 520 demonstrated in Figure 5F where the relative error on emissions in this corner of the domain is large. Without better prior information it is not possible to distinguish between real year-toyear emission pattern changes and inaccurate emission patterns (Figure 6 and S7). Over the period of study emissions of CF<sub>4</sub> generally appear to arise from north of 30° and in 2008 and 2013 emissions appear around 25° N. However, GSN does not have good sensitivity to
- 525 emissions from this area and it is possible that these emissions could be incorrectly assigned from Taiwan. Although emissions from South Korea are significantly lower than for China, the proximity to GSN causes the grid cells to be split and emissions to be assigned at higher spatial resolution, and generally (except for 2008) in the north-west quadrant of the country. Splitting of grid cells in South Korea decreased the relative error on the emissions from
- 530 particular grid squares, providing confidence that the placement of emissions is accurate. Further, for sequential years 2013, 2014 and 2015 two specific grid cells in that north-west quadrant are highlighted with comparatively low uncertainties (Figure S7). How well these consistent year-to-year emission patterns in South Korea correlate with the actual location of emissions needs to be the subject of further study (e.g. improved bottom-up inventory
- 535 compilation efforts). Emissions from Japan are too uncertain to explore the spatial emissions pattern.

For NF<sub>3</sub>, emissions from China and Japan are too low and uncertain to interpret at finer spatial resolution. However, as with CF<sub>4</sub>, it is interesting to study the relatively more certain spatially disaggregated emissions from South Korea (Figure 7). In common with CF<sub>4</sub>, NF<sub>3</sub>

540 emissions from the south-west area are minimal, however in contrast to CF<sub>4</sub>, emissions occur on the eastern side of South Korea and on the south east coast. Emissions from the south east coast coincide with the known location of a production plant for NF<sub>3</sub> located in the area of Ulsan (Gas World, 2011). If this plant is sufficiently separated in space from the end-users of

NF<sub>3</sub> then this result would indicate that production of NF<sub>3</sub>, not just use, could be a significant

545 source in South Korea.

The study of Fang et al. (2015) highlights three major hotspots for HFC-23 emissions in China based on HCFC-22 production facility locations. Our posterior maps (Figure 8) correctly show the bulk of emissions in far eastern China, in line with the results of Fang et al. (2015). However, given the inconsistency of emissions maps between years we are unable

550 to provide any more information without a better spatially disaggregated prior emissions map.

#### Conclusions

We largely remove the influence of 'bottom-up' information and present the first Bayesian 555 inversion estimates of CF4 and NF3 from the East Asia region using measurements from a single atmospheric monitoring site, GSN station located on the island of Jeju (South Korea). The largest CF<sub>4</sub> emissions are from China, estimated at 4-6 Gg yr<sup>-1</sup> for six out of the eight years studied, which is significantly larger than previous estimates. Despite significantly smaller emissions from South Korea, the spatial disaggregation of CF4 emissions were 560 consistent between independent inversions based on annual measurement data sets, indicating the north west of South Korea is a hotspot for significant CF4 release, presumably from the semiconductor industry. Emissions of NF3 from South Korea were quantifiable with significant certainty, and represent large emissions on a CO<sub>2</sub>-equivalent basis (~1.6% of South Korea's CO<sub>2</sub> emissions in 2015). HFC-23 emissions were also calculated using the same inversion methodology with high uncertainty on prior information. We found good 565 agreement with other studies in terms of aggregated country totals and spatial emissions patterns, providing confidence that our methodology is suitable and conclusions justified for estimates of CF<sub>4</sub> and NF<sub>3</sub>.

570 Our results highlight an inadequacy in both the bottom-up reported estimates for CF<sub>4</sub> and NF<sub>3</sub> and the limitations of the current measurement infrastructure for 'top-down' estimates for these specific gases. Adequate bottom-up estimates have been lacking, owing to the absence of reporting requirements for these gases from China and South Korea, and top-down estimates have been hampered by poor measurement coverage owing to the technical complexities required to measure these volatile, low abundance gases at high precision.

Improvements in both bottom-up information and measurement coverage, alongside

refinements in transport modelling and developments in inversion methodologies, will lead to improved optimal emissions estimates of these gases in future studies.

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# Figures

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Figure 1: An aggregation of the dilution matrices from 2013, generated using NAME output (see section 2.2), illustrating the relative sensitivity of measurements at GSN to emissions in the region.

Figure 2: Schematic of the domain 'edges' as applied in the inversion. 11 domain border conditions were estimated as depicted from 1 to 11 as a multiplying factor to the prior

- baseline estimated using data from the Mace Head observatory. Below 6 km the domain border was divided 8 times: NNE, ENE, ESE, SSE, SSW, WSW, WNW and NNW; between 6 to 9 km the domain border was just divided between north and south; and air arriving from above 9 km was considered from one 'high' domain border. Average posterior multiplying factors for CF<sub>4</sub> over the eight years were 1.00 ± 0.01 (NNE), 0.97 ± 0.06 (ENE), 1.02 ± 0.05
  (ESE), 0.99 ± 0.01 (SSE), 1.00 ± 0.01 (SSW), 0.99 ± 0.01 (WSW), 1.00 ± 0.00 (WNW), 1.00
- (ESE),  $0.99 \pm 0.01$  (SSE),  $1.00 \pm 0.01$  (SSW),  $0.99 \pm 0.01$  (WSW),  $1.00 \pm 0.00$  (WNW),  $1.00 \pm 0.00$  (WNW),  $1.00 \pm 0.00$  (above 9 km).

Figure 3: Time series of CF<sub>4</sub> measurements during 2013 – an example year with the most uninterrupted time series. Prior baseline (blue) is adjusted in the inversion using the baseline condition variables, producing a posterior baseline (red). During the summer months the proportion of air arriving from the south significantly rises causing a large shift in the posterior baseline relative to the prior baseline calculated from Mace Head data.

Figure 4: Time series of country emission totals 2008-2015. Annual inversion results are given for each gas for three different levels of uncertainty applied to the prior emission map:

100, 1000, and 10,000 times the emissions magnitude for each grid cell. The aggregated country totals from the prior dataset are also given.

Figure 5: The effect of the regridding routine on posterior emission distributions for CF<sub>4</sub>. Maps A, C and E are posterior emissions maps at the initial inversion resolution, at 0 regridding steps, at 25 regridding steps and at 50 regridding steps, respectively. Maps B, D, F

- show the emissions magnitude minus the uncertainty calculated for each inversion grid box at the same regridding levels (0, 25, and 50), which demonstrates the relative uncertainty of the emissions distribution obtained for South Korea. Results are from inversions with initial uncertainty on the prior emissions field is set to 100 times emissions at each fine grid square. Units in Gg m<sup>-2</sup> yr<sup>-1</sup>.
- Figure 6: Emissions maps for all years of data available for CF<sub>4</sub>. Results are from inversions with initial uncertainty on the prior emissions field is set to 100 times emissions at each fine grid square. Units in Gg m<sup>-2</sup> yr<sup>-1</sup>. See Figure S7 for corresponding maps of emissions magnitude minus the uncertainty.
- Figure 7: Emissions maps for both years of data available for NF<sub>3</sub>: Maps A and C are posterior emissions maps for years 2014 and 2015, respectively. Maps B and D show the emissions magnitude minus the uncertainty calculated for each inversion grid box maps for years 2014 and 2015, respectively. Results are from inversions with initial uncertainty on the prior emissions field is set to 100 times emissions at each fine grid square. Units in Gg m<sup>-2</sup> yr<sup>-1</sup>.
- Figure 8: As for Figure 5 but for HFC-23

## Tables

Table 1: Annual posterior emissions estimates for the five main emitting countries surrounding GSN (Gg yr<sup>-1</sup>). These posterior emissions estimates are from the inversion that uses a prior emissions uncertainty on each fine grid cell of 100x the prior emission.







## 

Figure 2















145°F

145°E

145°E

Figure 5









Figure: 7













	CF <sub>4</sub>					NF <sub>3</sub>					HFC-23				
	China	S.Korea	N.Korea	Japan	Taiwan	China	S.Korea	N.Korea	Japan	Taiwan	China	S.Korea	N.Korea	Japan	Taiwan
2008	4.66	0.31	0.05	0.57	0.01					•	6.8	0.09	0.08	0.28	0.11
	(1.82)#	(0.05)#	(0.12)#	(0.36)#	(0.07)						(4.3)	(0.09)	(0.28)	(0.69)	(0.15)
2009	4.01	0.15	0.02	0.23	0.32						5.2	0.04	0.00	0.29	0.00
	(1.80)	(0.05)	(0.10)	(0.33)	(0.17)						(5.1)	(0.12)	(0.29)	(0.84)	(0.48)
2010	4.42	0.29	0.00	0.10	0.06						9.2	0.04	0.00	0.02	0.00
	(2.06)	(0.05)	(0.16)	(0.48)	(0.13)						(6.4)	(0.10)	(0.39)	(1.11)	(0.31)
2011	4.12	0.32	0.06	0.18	0.00						8.4	0.09	0.00	0.26	0.00
	(2.37)	(0.05)	(0.15)	(0.67)	(0.26)						(5.1)	(0.08)	(0.27)	(0.69)	(0.41)
2012	8.25	0.29	0.00	0.16	0.04						10.7	0.10	0.00	0.06	0.24
	(2.59)	(0.05)	(0.13)	(0.60)	(0.40)						(4.6)	(0.07)	(0.23)	(0.67)	(0.46)
2013	2.82	0.26	0.08	0.11	0.09										
	(2.49)	(0.04)	(0.13)	(0.48)	(0.26)										
2014	5.35	0.21	0.07	0.21	0.00	1.08	0.40	0.02	0.75	0.03					
	(2.61)	(0.05)	(0.15)	(0.50)	(0.30)	(1.17)	(0.05)	(0.12)	(0.36)	(0.09)					
2015	4.33	0.36	0.00	0.36	0.00	0.36	0.60	0.15	0.11	0.00					
	(2.65)	(0.11)	(0.26)	(0.57)	(0.44)	(1.36)	(0.07)	(0.16)	(0.39)	(0.27)					

# Kim et al. (2010) estimated  $CF_4$  emissions from China in the range 1.7-3.1 Gg yr<sup>-1</sup> and Li et al. (2011) 1.4-2.9 Gg yr<sup>-1</sup>. For South and North Korea (combined) Li et al. (2011) estimated emissions of  $CF_4$  at 0.19-0.26 Gg yr<sup>-1</sup> and from Japan at 0.2-0.3 Gg yr<sup>-1</sup>.

Table