We would like to thank the anonymous reviewers and the editor for their careful reading and very important comments. In the following, we replied all the comments point by point.

Anonymous Referee #1

This manuscript combined radiocarbon (14C) and offline-AMS approaches and apportioned sources of organic carbon during an extreme haze episode in China. Here, 14C results were reported for water-soluble OC (WSOC) and water-insoluble OC (WIOC), which enabled a more detailed and straightforward (or accurate) source apportionments of both WSOC and WIOC. Although, radiocarbon measurements of WSOC have been reported in many sites in East Asia and other sites around the world, here the offline-AMS measurements were combined with 14C methods. The fossil and nonfossil sources could be further grouped into primary and secondary sources. Therefore, I think that the method is quite important and may be applied in other regions as well. The results are interesting and informative, which could be applied to some modeling studies in future. The MS could be published in ACP after the author could address the following minor comments.

Reply: We thank the reviewer for the nice summary of our paper and the positive appraisal of the importance of our work. In the following, we replied all the comments point by point.

Comments: In general, how was the relationship between levoglucosan and non-fossil

WSOC (or other OC fractions)?

Reply: We added Figure 4 (see Figure R1 below) in redevised MS. We also added "As shown in Figure 4, non-fossil WSOC was significantly correlated with levoglucosan, indicating that a large fraction of non-fossil WSOC was indeed from biomass burning emissions. In addition, no significant or only a negative correlation (Figure 4) was found between levoglucosan and fraction of fossil to WSOC, suggesting that fossil-fuel source is very unlikely a major or

important contributor of levoglucosan even in the regions (e.g., Xi'an and Beijing in this study) where coal combustion is important."



Figure R1. Relationships of non-fossil derived WSOC (WSOC_{NF}) and levoglucosan (left), levoglucosan and fraction of fossil to WSOC ($f_F(WSOC)$) (middle) and levoglucosan and fraction of non-fossil to WSOC ($f_{NF}(WSOC)$) (right).

In the introduction part, I found the authors seems to miss some important references which have reported most recent source apportionment results in winter haze periods in East Asia.

Reply: The primary objective of this study is to investigate source of WSOC. So we added one more reference about WSOC source apportionment in China (Zong et al., 2016).

"2.3 Offline-AMS measurement and PMF source apportionment" This part is a bit too long, and I suggest the authors only present the most important part and may cite some papers in any using the same method. Reply: We still think that the detailed information about offline-AMS and PMF is very important, so we would like to keep in the main text. But we revised this part according to the comments from the reviewer 2 (see details below)

Lines 303-304: what were the major sources of non-fossil emissions in Guangzhou

and Xi'an?

Reply: The major sources of non-fossil emissions were biomass burning emissions and SOC formation. The detailed WSOC sources were present in the Sec.3.3 ("High contribution of secondary formation to WSOC") and Figure 7 in revised MS.

Anonymous Referee #2

The manuscript "Large contribution of fossil-fuel derived secondary organic carbon to water-soluble organic aerosols in winter haze of China" deals with the source apportionment of water-soluble organic carbon (WSOC). The sources of this carbon fraction are not well known and few studies exist that focus on the source apportionment of WSOC. Therefore this study is of interest and the combination with aerosol mass spectrometer measurements adds very interesting information of primary vs. secondary organic carbon. Overall, I find the manuscript clearly written and the measurements and calculations thorough and accurate.

In my opinion it can be published after relatively minor revisions.

Reply: We thank the reviewer for the nice summary of our paper and the positive appraisal of the importance of our work. In the following, we replied all the comments point by point.

1) Somewhat major comment: The only point that I don't find very clearly described is section 2.3. This section could maybe be shortened on explaining how the PMF works (e.g. Eq 1 could be omitted), but it should contain more detail on the results of the PMF that are relevant for this work.

1a) Are the PMF results from Huang et al. (2014) directly used, or after some modification? 1b) Explain in more detail how the scaling of the factors works in your case. Eg, in line 135 I do not know what you mean by "here only WSOC PMF is present . . .". I suggest not to mention how Huang et al. (2014) scale their factors, because this is only confusing and not relevant for this work.

Reply: We agree with the reviewer and we have modified the text accordingly. The PMF results from Huang et al. (2014), but only data relative to WSOC are used.

The modifications include the following:

Line 120, we added: Here, only data relative to WSOC are used.

Line 128, we modified the text by removing the explanation about the methodology followed by Huang. The new text reads as follows:

Online AMS measurements provide quantitative mass spectra of submicron non-refractory aerosol species, including organic aerosol and ammonium nitrate and sulfate. However, the offline AMS measurements described herein cannot be directly related to ambient concentrations due to uncertainties in nebulization and AMS lens cut-off. Here, we have scaled the organic aerosol mass spectra to water soluble organic aerosol concentrations (WSOM), obtained as WSOC times OM/OC ratios. The latter were determined by the high resolution analysis of the organic aerosol mass spectra, acquired by the AMS.

1c) line 158 – 161, give a bit more detail on these factors (preferably in supporting material)

R: We believe that sufficient details were presented in Huang et al. (2014). Here, we will provide only specific details about the identification of these factors. The updated text reads as follows:

Reply: The elements that were constrained in $F_{k,j}$ matrix can be found in Huang et al. (2014). The factors extracted by ME-2 were interpreted to be related to primary emissions from traffic (TR), biomass burning (BB), coal burning (CC), cooking emissions (CI) and dust and from two secondary aerosol fractions. The elements of TR and CI were constrained in the model. BB was identified based on the high contribution of potassium, anhydrous sugars and the fragment C₂H₄O₂⁺ in the WSOA mass spectrum resulting from the decomposition of the anhydrous sugars. CC, dominant in Beijing, was identified based on the high contribution of PAHs and unsaturated hydrocarbon fragments in the associated WSOA mass spectrum. Dust is identified based on the high contribution of crustal material and calcium. Finally, two secondary mass factors were identified based on the high contribution of secondary inorganic aerosols, including ammonium sulfate and nitratein their factor profiles and the high oxygenation degree of the associated WSOA.

1c) Eq. 1: you mention sij, but it is not in the equation?

Reply: Sij, the measurement uncertainty matrix, is not in Eq.1. We were sorry that we made a mistake in the previous main text. The section was revised. PMF uses the uncertainty matrix to scale the residual matrix $E_{i,j}$, and the ratio of quadratic sum of this ratio is minimized iteratively when Equation 1 is solved.

We have updated this section as follows:

PMF solves the bilinear matrix equation:

$$X_{ij} = \sum_{k} G_{i,k} F_{k,j} + E_{i,j}$$
(Eq. 1)

by following a weighted least squares approach. In the equation, *i* represent the time index, *j* a species and *k* the factor number. X_{ij} is the input matrix, $G_{i,k}$ is the matrix of the factor timeseries, $F_{k,j}$ is the matrix of the factor profiles and $E_{i,j}$ the model residual matrix. PMF determines $G_{i,k}$ and $F_{k,j}$ such that the ratio of the Frobenius norm of $E_{i,j}$ over the uncertainty matrix, $s_{i,j}$, used as model input is minimised.

1d) line 161 - 162: "The contribution of the water soluble organic aerosol related to

these different factors are extracted . . ." How are they extracted?

Reply: The contributions of the water soluble organic aerosol related to these different factors were determined by the multiplying their relative abundance in the factor profiles by the respective factor time-series. The factors WSOM time series were then divided by the respective OM/OC_k calculated from the high-resolution analysis of the factor mass spectral profile to obtain the WSOC_k time series related to each of the factors.

This had been added to the text.

1e) line 162 ff: Please provide more detail: What are "the respective OM/OCk ratios" for each factor? Please provide values for each factor and more detail on how they were derived. I think the values should be included in the main text, the rest could be in the supporting material.

Reply: The OM/OCk values were presented in Huang et al., 2014. They were determined from the elemental analysis of the organic fragments in the mass spectra. This is a very

common procedure used by all the AMS community and we do not think that it is necessary to provide more related details. However, we did add the OM/OCk values in the main text:

The contributions of the water soluble organic aerosol related to these different factors were determined by the multiplying their relative abundance in the factor profiles by the respective factor time-series. The factors WSOM time series were then divided by the respective OM/OC_k calculated from the high-resolution analysis of the factor mass spectral profile to obtain the WSOC_k time series related to each of the factors. The average OM/OC_k are: 1.25, 1.39, 1.49, 1.55, 2.25, and 2.4 for TR, CI, BB, CB, SOA, and dust, respectively.

Minor comments: 2) Line 191ff: Please give a bit more detail on how you estimate the factor 1.08.

Reply: The detailed calculation is explained in (Zhang et al., 2012). The reference is added now. See " $f_{NF}(ref)$ is a reference value representing f_M of non-fossil sources during the sampling periods, which can be further separated into biogenic (bio) and biomass-burning (bb) sources given that other non-fossil sources (e.g. cooking and biofuel combustion) are negligible. Hence, $f_{NF}(ref)$ is defined as:

$$f_{\rm NF}({\rm ref}) = p_{\rm bio} \times f_{\rm bio}({\rm ref}) + (1 - p_{\rm bio}) \times f_{\rm bb}({\rm ref})$$

where p_{bio} refers to the percent of the biogenic sources to the total non-fossil sources; f_{bb} (ref) can be retrieved from a tree-growth model according to (Mohn et al., 2008), and f_{bio} (ref) from the long-term time series of ¹⁴CO₂ measurements in atmosphere at the Schauinsland station (Levin et al., 2010). In the case of source apportionment of OC, p_{bio} can be simply estimated as a constant value (e.g. 50%) given that the variations of f_{NF} (ref) produced by different p_{bio} values are relatively small, especially if compared to the measurement and method uncertainties (Minguillón et al., 2011). And in the case of EC, p_{bio} is zero as biomass burning is the only source of non-fossil EC." Here, f_{NF} (ref)=0.5*1.1+0.5*1.05, approaching 1.08. We

changed the sentence as " $f_{M,ref}$ is a reference value of f_M for non-fossil carbon sources including biogenic and biomass burning emissions, which were estimated as 1.08±0.05 (i.e., $f_{M,ref}$ =(0.5*1.10+0.5*1.05) (see details in (Zhang et al., 2012)) for WSOC samples collected in 2013 according to the contemporary atmospheric CO₂ f_M (Levin et al., 2010) and a tree growth model (Mohn et al., 2008).".

3) Line 236-254: You start the result section with a summary of previous findings of other papers. This would fit better in the introduction

Reply: this section is aiming to make comparisons between our study and other studies to show a board implication, so we would like to keep the discussion here.

4) line 252: "(three with the highest three with average PM mass)" At first I was confused by this, but I believe that there is just a comma missing?

Reply: A comma was added.

5) Table 1: Since you have relatively few data points from PM2.5 samples in Europe, I suggest to take a look at a recent publication that also had data related to fossil and non-fossil WSOC. Maybe some useful information can be found in that. Dusek, U., et al., Sources and formation mechanisms of carbonaceous aerosol at a regional background site in the Netherlands: Insights from a year-long radiocarbon study, Atmos. Chem. Phys., 17, 1-19, 2017.

Reply: Thanks! We added it.

6) Please correct minor grammatical errors throughout the manuscript ... e.g. the example from above: "The contribution of the water soluble organic aerosol related to these different factors are extracted . . .", should either read "The contribution ... IS extracted" or "the contributionS ... are extracted" I noticed several similar instances throughout the manuscript.

Reply: Yes. We checked grammatical errors throughout the manuscript

References

Levin, I., Naegler, T., Kromer, B., Diehl, M., Francey, R. J., Gomez-Pelaez, A. J., Steele, L. P., Wagenbach, D., Weller, R., and Worthy, D. E.: Observations and modelling of the global distribution and long-term trend of atmospheric ¹⁴CO₂, Tellus B, 62, 26-46, 2010.

Mohn, J., Szidat, S., Fellner, J., Rechberger, H., Quartier, R., Buchmann, B., and Emmenegger, L.: Determination of biogenic and fossil CO₂ emitted by waste incineration based on ¹⁴CO₂ and mass balances, Bioresour. Technol., 99, 6471-6479, 2008.

Zhang, Y. L., Perron, N., Ciobanu, V. G., Zotter, P., Minguillón, M. C., Wacker, L., Prévôt, A. S. H., Baltensperger, U., and Szidat, S.: On the isolation of OC and EC and the optimal strategy of radiocarbon-based source apportionment of carbonaceous aerosols, Atmos. Chem. Phys., 12, 10841-10856, 2012.

Zong, Z., Wang, X., Tian, C., Chen, Y., Han, G., Li, J., and Zhang, G.: Source and formation characteristics of water-soluble organic carbon in the anthropogenic-influenced Yellow River Delta, North China, Atmos. Environ., 144, 124-132, 2016.

- 1 author's changes in manuscript (highlight)
- 2 Large contribution of fossil-fuel derived secondary organic

3 carbon to water-soluble organic aerosols in winter haze of China

- 4 Yan-Lin Zhang^{1,2,3*}, Imad El-Haddad³, Ru-Jin Huang^{3,4*}, Kin-Fai Ho^{4,5}, Jun-Ji Cao^{4*},
- 5 Yongming Han⁴, Peter Zotter^{3, #}, Carlo Bozzetti³, Kaspar R. Daellenbach³, Jay G. Slowik³, Gary
- 6 Salazar², André S.H. Prévôt^{3*}, Sönke Szidat^{2*}
- 7 ¹Yale-NUIST Center on Atmospheric Environment, Nanjing University of Information Science
- 8 and Technology, 210044 Nanjing, China
- 9 ²Department of Chemistry and Biochemistry & Oeschger Centre for Climate Change Research,
- 10 University of Bern, 3012 Bern, Switzerland
- ³Paul Scherrer Institute (PSI), 5232 Villigen, Switzerland
- ⁴Key Laboratory of Aerosol Chemistry and Physics, Institute of Earth Environment, Chinese
- 13 Academy of Sciences, 710061 Xi'an, China
- ⁵School of Public Health and Primary Care, The Chinese University of Hong Kong, Hong Kong,
- 15 China
- 16 *To whom correspondence should be addressed. E-mail: <u>dryanlinzhang@outlook.com</u> or
- 17 <u>zhangyanlin@nuist.edu.cn</u> (Y.-L.Z.); <u>andre.prevot@psi.ch</u> (A. Prévôt); <u>rujin.huang@ieecas.cn</u>
- 18 (R.-J.H.); jjcao@ieecas.cn (J.J.C.); szidat@dcb.unibe.ch (S.S.).
- 19 Phone: +86 25 5873 1022; fax: +86 25 5873 1193

20 Abstract

21 Water-soluble organic carbon (WSOC) is a large fraction of organic aerosols (OA) globally and 22 has significant impacts on climate and human health. The sources of WSOC remain very 23 uncertain in polluted regions. Here we present a quantitative source apportionment of WSOC isolated from aerosols in China using radiocarbon (¹⁴C) and offline high-resolution time-of-24 25 flight aerosol mass spectrometer measurements. Fossil emissions on average accounted for 32-47% of WSOC. Secondary organic carbon (SOC) dominated both the non-fossil and fossil 26 27 derived WSOC, highlighting the importance of secondary formation to WSOC in severe winter haze episodes. Contributions from fossil emissions to SOC were $61\pm4\%$ and $50\pm9\%$ in 28 29 Shanghai and Beijing, respectively, significantly larger than those in Guangzhou (36±9%) and Xi'an (26±9%). The most important primary sources were biomass burning emissions, 30 contributing 17-26% of WSOC. The remaining primary sources such as coal combustion, 31 32 cooking and traffic were generally very small but not negligible contributors, as coal 33 combustion contribution could exceed 10%. Taken together with earlier ¹⁴C source apportionment studies in urban, rural, semi-urban, and background regions in Asia, Europe and 34 35 USA, we demonstrated a dominant contribution of non-fossil emissions (i.e., $75\pm11\%$) to 36 WSOC aerosols in the North Hemisphere; however, the fossil fraction is substantially larger in 37 aerosols from East Asia and the East Asian pollution outflow especially during winter due to 38 increasing coal combustion. Inclusion of our findings can improve a modelling of effects of 39 WSOC aerosols on climate, atmospheric chemistry and public health.

40 1 INTRODUCTION

Water-soluble organic carbon (WSOC) is a large fraction of atmospheric organic 41 aerosols (OA), which contributes approximately 10% to 80% of the total mass of organic carbon 42 43 (OC) in aerosols from urban, rural and remote sites (Zappoli et al., 1999;Weber et al., 44 2007;Ruellan and Cachier, 2001;Wozniak et al., 2012;Mayol-Bracero et al., 2002). Only 10 to 45 20% of total mass of WSOC has been resolved at a molecular level, and it consists of a large 46 variety of chemical species such as mono- and di-carboxylic acids, carbohydrate derivatives, 47 alcohols, aliphatic and aromatic acids and amino acids (Fu et al., 2015;Noziere et al., 2015). 48 Recent studies suggest that the water-soluble fraction of HUmic LIke Substances (HULIS) is a major component of WSOC, which exhibits light-absorbing properties (Limbeck et al., 49 50 2005; Andreae and Gelencser, 2006; Laskin et al., 2015). Therefore, WSOC has significant 51 influences on the Earth's climate either directly by scattering and absorbing radiation or 52 indirectly by altering the hygroscopic properties of aerosols and increasing cloud condensation nuclei (CCN) activity (Asa-Awuku et al., 2011; Cheng et al., 2011; Hecobian et al., 2010). 53

54 WSOC can be directly emitted as primary particles mainly from biomass burning 55 emissions or produced from secondary organic aerosol (SOA) formation (Sannigrahi et al., 56 2006;Kondo et al., 2007;Weber et al., 2007;Bozzetti et al., 2017b;Bozzetti et al., 2017a). 57 Ambient studies provide evidence that SOA formation through the oxidation of volatile organic compounds (VOCs) and gas-to-particle conversion processes may be a prevalent source of 58 59 WSOC (Kondo et al., 2007; Weber et al., 2007; Miyazaki et al., 2006; Hecobian et al., 2010). WSOC is therefore thought to be a good proxy of secondary organic carbon (SOC) in the 60 absence of biomass burning (Weber et al., 2007). By contrast, water-insoluble OC (WIOC) is 61 thought to be mainly from primary origins with a substantial contribution from fossil fuel 62 emissions (Miyazaki et al., 2006;Zhang et al., 2014b). 63

Due to a large variety of sources and unresolved formation processes of WSOC, their relative fossil and non-fossil contributions are still poorly constrained. Radiocarbon (¹⁴C) analysis of sub-fractions of organic aerosols such as OC, WIOC and WSOC enable an 67 unambiguous, precise and quantitative determination of their fossil and non-fossil sources 68 (Zhang et al., 2012;Zhang et al., 2014b;Zhang et al., 2014c;Zong et al., 2016;Cao et al., 2017). 69 Meanwhile, the application of aerosol mass spectrometer measurement and positive matrix 70 factorization and multi-linear engine 2 (ME-2) can quantitatively classify organic aerosols into 71 two major types such as hydrocarbon-like OA (HOA) from primary fossil-fuel combustion and 72 oxygenated organic aerosol (OOA) from secondary origin (Zhang et al., 2007; Jimenez et al., 73 2009). Field campaigns with the aerosol mass spectrometer (AMS) have revealed a 74 predominance of OOA in various atmospheric environments, although their sources remain 75 poorly characterized (Zhang et al., 2007; Jimenez et al., 2009). Previous studies found OOA is strongly correlated with WSOC from urban aerosols in Tokyo, Japan, the Pearl River Delta 76 77 (PRD) in South China and Helsinki, Finland, indicating similar chemical characteristics, sources and formation processes of OOA and WSOC (Kondo et al., 2007:Xiao et al., 78 79 2011; Timonen et al., 2013). Similarly, HOA is mostly water insoluble and the major portion of water insoluble OC (WIOC) can be assigned as HOA (Kondo et al., 2007;Daellenbach et al., 80 81 2016). Therefore, ¹⁴C measurement of WIOC and WSOC aerosols may provide new insights into sources and formation processes of primary and secondary OA, respectively, which also 82 83 will elucidate the origin of HOA and OOA as measured by AMS (Zotter et al., 2014b;Zhang et 84 al., 2017).

In this paper we apply a newly developed method to measure ${}^{14}C$ in WSOC of PM_{2.5} 85 86 (particulate matter with an aerodynamic diameter of small than 2.5 µm) samples collected at 87 four Chinese megacities during an extremely severe haze episode during winter 2013 (Zhang et al., 2015b;Huang et al., 2014). In conjunction with our previous dataset from the same 88 89 campaign, we quantify fossil and non-fossil emissions from primary and secondary sources of 90 WSOC and WIOC. The dataset is also complemented by previous ¹⁴C-based source apportionment studies conducted in urban, rural and remote regions in the North Hemisphere 91 92 to gain an overall picture of the sources of WSOC aerosols.

93 2 MATERIALS AND METHODS

94 2.1 Sampling

95 During January 2013 extremely high concentrations of 24-h PM_{2.5} (i.e. often >100 µg/m³) were identified in several large cities in East China (Huang et al., 2014;Zhang et al., 96 97 2015b). To investigate sources and formation mechanisms of the haze particles, an intensive 98 field campaign was carried out in four large cities, Beijing, Xi'an, Shanghai and Guangzhou, 99 which are representative cities of the Beijing-Tianjin-Hebei region, central-northwest region, 100 Yangtze Delta Region, and Pearl River Delta Region, respectively. The sampling procedures 101 have been previously described in detail elsewhere (Zhang et al., 2015b). Briefly, PM_{2.5} samples were collected on pre-baked (450 °C for 6 hours) quartz filters using high-volume samplers for 102 103 24 h at a flow rate of ~1.05 m³/min from 5 to 25 January 2013. The sampling sites in each city 104 were located at campuses of universities or at research institutes, at least 100 m away from 105 major emission sources (e.g., roadways, industry and domestic sources). One field blank sample 106 for each site was collected and analyzed. The results reported here were corrected for these field blanks (Zotter et al., 2014a;Cao et al., 2013). All samples were stored at -20 °C before analysis. 107 108 The $PM_{2.5}$ mass was gravimetrically measured with an analytical microbalance before and after 109 sampling with the same conditions (~12 hour)

110 2.2 OC and EC mass determinations

111 A 1.0 cm² filter punches were used for OC and EC mass determination with a OC/EC 112 analyzer (Model4L) using the EUSAAR_2 protocol (Cavalli et al., 2010). The replicate analysis 113 (n=6) showed an analytical precision with relative standard deviations smaller than 5%, 10%, 114 and 5% for OC, EC and TC, respectively. The field blank of OC was on average 2.0 ± 1.0 115 μ g/cm² (equivalent to ~0.5 μ g/m³), which was used for blank correction for OC. EC data was 116 not corrected for field blank, because such a blank was not detectable.

117 2.3 Offline-AMS measurement and PMF source apportionment

The water-soluble extracts from the same samples were analyzed by a high-resolution time of flight aerosol mass spectrometer (HR-ToF-AMS) and the resulting mass spectra were used as

an inputs for positive matrix factorization (PMF) for the source apportionment of the WSOC,

121 OC and $PM_{2.5}$. The methodology applied, and the AMS-PMF results obtained are detailed in

Huang et al. (2014) and will only be briefly described in the following. Here, only data relative

123 to WSOC are used.

Filter punches (the equivalent of ~4 cm²) were sonicated in 10 mL ultrapure water (18.2 M Ω cm at 25 °C, TOC <3ppb) for 20 min at 30°C. The water extracts were aerosolized and the resulting particles were dried with a silica gel diffusion dryer before analysis by the HR-ToF-AMS. For each measurement ten mass spectra were recorded (AMS V-mode, m/z 12-500), with a collection time for each spectrum of 1 minute.

129 Online AMS measurements provide quantitative mass spectra of submicron non-refractory

130 aerosol species, including organic aerosol and ammonium nitrate and sulfate. However, the

131 offline AMS measurements described herein cannot be directly related to ambient

132 concentrations due to uncertainties in nebulization and AMS lens cut-off. Here, we have

133 scaled the organic aerosol mass spectra to water soluble organic aerosol concentrations

134 (WSOM), obtained as WSOC times OM/OC ratios. The latter were determined by the high

resolution analysis of the organic aerosol mass spectra, acquired by the AMS.

The quantitative WSOM mass spectra are used together with other aerosol species (listed
below), collectively referred to as 'species' hereafter, as PMF inputs. PMF solves the bilinear
matrix equation:

139
$$X_{ij} = \sum_{k} G_{i,k} F_{k,j} + E_{i,j}$$
 (Eq. 1)

by following a weighted least squares approach. In the equation, i represent the time index, j a

- 141 species and k the factor number. X_{ij} is the input matrix, $G_{i,k}$ is the matrix of the factor time-
- 142 series, $F_{k,j}$ is the matrix of the factor profiles and $E_{i,j}$ the model residual matrix. PMF
- 143 determines $G_{i,k}$ and $F_{k,j}$ such that the ratio of the Frobenius norm of $E_{i,j}$ over the uncertainty
- 144 matrix, s_{ij} , used as model input is minimised.

145 The species considered as inputs include the quantitative WSOM mass spectra, organic markers 146 (3 anhydrous sugars, 4 lignin breakdown products, 2 resin acids, 4 hopanes, 19 polycyclic 147 aromatic hydrocarbons and their oxygenated derivatives), EC, and major ions (Cl⁻, NO₃⁻, SO₄²⁻, oxalate, methylsulfonic acid, Na⁺, K⁺, Mg²⁺, Ca²⁺, and NH₄⁺) and residual PM. The latter is the 148 149 difference between total PM2.5 mass and the measured species. It represents our best estimate 150 of the particulate chemical species not measured here, most likely dominated by crustal material. 151 The Source Finder toolkit (SoFi v.4.9) (Canonaco et al., 2013) for IGOR Pro software package 152 (Wavemetrics, Inc., Portland, OR, USA) was used to run the PMF algorithm. The PMF was solved by the Multilinear Engine 2 (ME-2, Paatero, 1999), which allows the constraining of the 153 $F_{k,i}$ elements to vary within a certain range defined by the scalar α ($0 \le \alpha \le 1$), such that the 154

155 modelled
$$F_{k,j}$$
 equals:

156
$$\mathbf{F}'_{k,i} = \mathbf{F}_{k,i} \pm \alpha * \mathbf{F}_{k,i}$$
(Eq. 2)

157 The elements that were constrained in $F_{k,i}$ matrix can be found in Huang et al. (2014). The 158 factors extracted by ME-2 were interpreted to be related to primary emissions from traffic (TR), biomass burning (BB), coal burning (CC), cooking emissions (CI) and dust and from two 159 secondary aerosol fractions. The contributions of the water soluble organic aerosol related to 160 these different factors were determined by the multiplying their relative abundance in the factor 161 profiles by the respective factor time-series. The factors WSOM time series were then divided 162 by the respective OM/OC_k calculated from the high-resolution analysis of the factor mass 163 spectral profile to obtain the WSOC_k time series related to each of the factors. The average 164 OM/OC_k are: 1.25, 1.39, 1.49, 1.55, 2.25, and 2.4 for TR, CI, BB, CB, SOA, and dust, 165 166 respectively. In the following analysis, the mass of WSOC_k related to coal burning and traffic 167 were assigned to fossil WSOC fraction, while the mass of WSOC_k related to biomass burning 168 and cooking emissions were assigned to non-fossil WSOC fraction (see Sec. 2.5). Meanwhile, 169 the remaining WSOC fractions are assigned to the secondary factors, which can be from both fossil and non-fossil origins, were considered collectively and compared to the unassignedfossil and non-fossil WSOC, to retrieve the origins of this remaining fraction (see Sec. 2.5).

172

173

2.4 ¹⁴C measurement of WSOC

¹⁴C content of micro-scale WSOC aerosol samples was measured with a newly 174 175 developed method (Zhang et al., 2014c). Briefly, a 16-mm-diameter punch of each filter was 176 extracted using 10 ml ultrapure water with low TOC impurity (less than 5 ppb). The water 177 extracts were recovered in the 20 ml PFA vials and were then pre-frozen at -20 °C more than 5 178 hours before completely dryness in a freeze dryer (Alpha 2-4 LSC, Christ, Germany) for about 179 24 h to 36 h. The residue was re-dissolved in 50 μ l of ultrapure water three times and transferred 180 into 200 µl tin capsules (Elementar, Germany). The concentrated samples were heated in the oven at 55-60 °C until complete dryness before the ¹⁴C measurements. 181

182 WSOC extracts in tin capsules were then converted to CO₂ by the oxidation of the 183 carbon-containing samples using an Elemental Analyzer (EA, Model Vario Micro, Elementar, Germany) as a combustion unit (up to 1050 °C). The resulting CO₂ was introduced continuously 184 by a versatile gas inlet system into a gas ion source of the accelerator mass spectrometer 185 MICADAS where ¹⁴C of CO₂ was finally measured (Wacker et al., 2013;Salazar et al., 2015). 186 The ¹⁴C content of OC and EC was measured in our previous study (Zhang et al., 2015b). ¹⁴C 187 188 results were expressed as fraction of modern ($f_{\rm M}$), i.e., the fraction of the measured ${}^{14}{\rm C}/{}^{12}{\rm C}$ ratio related to the ¹⁴C/¹²C ratio of the reference year 1950 (Stuiver, 1977). To correct excess ¹⁴C 189 from nuclear bomb tests in the 1950s and 1960s, $f_{\rm M}$ values were converted to the fraction of 190 191 non-fossil (f_{NF}) (Zotter et al., 2014a;Zhang et al., 2012):

192 $f_{\rm NF} = f_{\rm M}/f_{\rm M,ref}$ (Eq. 3)

193 $f_{M,ref}$ is a reference value of f_M for non-fossil carbon sources including biogenic and 194 biomass burning emissions, which were estimated as 1.08±0.05 (i.e., $f_{M,ref}$ =(0.5*1.10+0.5*1.05) (see details in (Zhang et al., 2012)) for WSOC samples collected in 2013 according to the contemporary atmospheric $CO_2 f_M$ (Levin et al., 2010) and a tree growth model (Mohn et al., 2008).

198 2.5 AMS²-based source apportionment of WSOC

To better understand the origin of WSOC observed at these sites, WSOC sources were apportioned into several major sources by a combination of ¹⁴C and PMF source apportionments (See Figure 1). Here, two "AMS" (i.e., accelerator mass spectrometer and aerosol mass spectrometer), such a combined approach was named as "AMS²-based source apportionment.

204 WSOC concentration from non-fossil (WSOC_{NF}) and fossil (WSOC_F) sources were 205 calculated from:

206
$$WSOC_{NF}=WSOC*f_{NF}(WSOC)$$
 (Eq. 4)

207
$$WSOC_F = WSOC - WSOC_{NF}(Eq. 5)$$

The mass concentration of WSOC was derived from the subtraction of TC mass measured from a water-extracted filter from that measured with an un-treated filter (Zhang et al., 2012):

Based on mass balance, WIOC concentrations from non-fossil (WIOC_{NF}) and fossil
(WIOC_F) sources were calculated from:

- 214 $WIOC_{NF}=OC_{NF}-WSOC_{NF}$ (Eq. 7)
- 215 $WIOC_F=OC_F-WSOC_F (Eq.8)$

where OC concentrations from non-fossil (OC_{NF}) and fossil (OC_{F}) sources were obtained by mass and ¹⁴C measurement of the OC fraction, which were reported previously (Zhang et al., 2015b).

The non-fossil and fossil-fuel derived WSOC can be apportioned into primary andsecondary OC:

221
$$WSOC_{NF}=WSOC_{POC,NF}+WSOC_{SOC,NF}(Eq.9)$$

222
$$WSOC_F = WSOC_{POC,F} + WSOC_{SOC,F} (Eq. 10)$$

WSOC_{POC,NF} can be sub-divided into the following three major primary emissions including
cooking emission (WSOC_{CI}) and biomass burning (WSOC_{BB}).

225
$$WSOC_{POC,NF}=WSOC_{CI}+WSOC_{BB}(Eq.11)$$

Similarly, WSOC_{POC,F} can be sub-divided into the following two major primary emissions
including traffic (WSOC_{TR}) and coal combustion (WSOC_{CB}).

228
$$WSOC_{POC,F}=WSOC_{TR}+WSOC_{CB}(Eq.12)$$

where primary fractions such as $WSOC_{CI}$, $WSOC_{BB}$, $WSOC_{TR}$ and $WSOC_{CB}$ are previously estimated by the off-line AMS-PMF approach (Huang et al., 2014;Daellenbach et al., 2016;Bozzetti et al., 2017a;Bozzetti et al., 2017b).

An uncertainty propagation scheme using a Latin-hypercube sampling (LHS) model was implemented to properly estimate overall uncertainties including measurement uncertainties of the mass determinations of carbon species (i.e., OC, EC, TC, WSOC, WIOC) and ¹⁴C measurement, blank corrections from field blanks, and estimation of $f_{M,ref}$ (Zhang et al., 2015b).

237 3 RESULTS AND DISCUSSION

238 **3.1 Overall results**

239 During the haze periods of January 2013, the highest daily average $PM_{2.5}$ concentrations were found in Xi'an (345 µg/m³) followed by Beijing (158 µg/m³), Shanghai (90 µg/m³) and 240 241 Guangzhou (68 μ g/m³). These levels were much higher than the China's National ambient Air 242 quality standards (i.e., $35 \,\mu g/m^3$). Indeed, several studies have already reported the chemical 243 composition, source and formation mechanism of PM_{2.5} in many large cities during the haze 244 events of January 2013 in East China. For examples, Huang et al. (2014) revealed that the 245 secondary aerosol formation contributed to 44-71% of OA in Beijing, Xi'an, Shanghai, and Guangzhou during this extremely haze event in China (Huang et al., 2014). By ¹⁴C-based source 246 247 appointment conducted in the same campaign, Zhang et al. (2015) have reported that 248 carbonaceous aerosol pollution was driven to a large (often dominant) extent by SOA formation 249 from both, fossil and biomass-burning sources (Zhang et al., 2015b). For all four cities, the 24 h 250 average levels of WSOC were significantly correlated with the levels of PM_{2.5} and OC (R=0.99, 251 p < 0.01, Figure 2), suggesting that WSOC and OA may have similar sources and formation processes and thus have important implications for OC loadings and associated environmental 252 253 and health effects. However, the sources of WSOC remain poorly constrained. In this study, we measured the ¹⁴C content of WSOC aerosols in six samples (three with the highest, three 254 255 with average PM mass) for each city to report on heavily and moderately polluted days (HPD and MPD, respectively) (Zhang et al., 2015b). The ¹⁴C contents of OC and EC of the same 256 257 samples were reported previously (Zhang et al., 2015b).

258 WSOC on average accounted for 53±8.0% (ranging from 40-65%) of OC including all samples 259 from the four sites, which was consistent with previous estimates . Based on these 260 measurements, the concentrations of WSOC from non-fossil sources (WSOC_{NF}) spanned from 1.41 to 45.3 μ g/m³ with a mean of 10.6±12.1 μ g/m³, whereas the corresponding range for 261 262 WSOC from fossil-fuel emissions (WSOC_F) was 0.44 to 20.1 μ g/m³ with a mean of 5.3±4.9 μ g/m³ (Figure 3). Similar to PM_{2.5} levels, the highest concentrations of WSOC_{NF} and WSOC_F 263 264 were observed in Northern China in Xi'an and Beijing (Xi'an>Beijing), followed by the two 265 southern sites Shanghai and Guangzhou. Non-fossil contributions (mean \pm standard deviation) 266 to total WSOC were 53±5%, 75±4%, 48±2% and 68±6% in Beijing, Xi'an, Shanghai, and 267 Guangzhou, respectively. Thus, fossil contributions were notably higher in Beijing and 268 Shanghai than those in Xi'an and Guangzhou. Such a trend was also observed for OC (Zhang 269 et al., 2015b), suggesting relatively high contribution from fossil-fuel emissions to OC and 270 WSOC due to large coal usage. Despite of these fossil emissions, non-fossil sources were 271 considerably important or even dominant contributors for all the studied sites, which may be 272 associated with primary and secondary OA from regional-transported and local biomass 273 burning emissions. As shown in Figure 4, non-fossil WSOC was significantly correlated with 274 levoglucosan, indicating that a large fraction of non-fossil WSOC was indeed from biomass 275 burning emissions. In addition, no significant or only a negative correlation (Figure 4) was 276 found between levoglucosan and fraction of fossil to WSOC, suggesting that fossil-fuel source is very unlikely a major or important contributor of levoglucosan even in the regions (e.g., 277 278 Xi'an and Beijing in this study) where coal combustion is important during the cold period 279 (Zhang et al., 2015a). It should also be noted that formation of SOA derived from biogenic 280 VOCs may also have contributed to WSOC_{NF} in Guangzhou, where temperatures during the sampling period were significantly higher (i.e., 5–18 °C) than those in other cities (i.e., -12 to 281 282 +9 °C)(Bozzetti et al., 2017b). Although both fossil and non-fossil WSOC concentrations were 283 dramatically enhanced during HPD compared to those during MPD, their relative contributions 284 did not change significantly in Beijing and Shanghai whereas a small increasing and decreasing 285 trend in non-fossil fraction was found in Xi'an and Guangzhou, respectively (Figure 3). This 286 suggests that the source pattern of WSOC in Beijing and Shanghai remained similar between 287 HPD and MPD, but the increase in the WSOC concentrations was rather enhanced by additional 288 fossil-fuel and biogenic/biomass burning emissions in Guangzhou and Xi'an, respectively. It 289 should be noted that the meteorological conditions play significant roles on the haze formation 290 in the eastern China during winter 2013, and has already been well documented (Zhang et al., 291 2014a). However, the details sources of WSOC and WIOC were still unclear.

292 **3.2 WSOC versus WIOC**

To compare sources of WSOC and WIOC aerosols, the mass concentrations and ¹⁴C contents 293 of WIOC were also derived based on mass balance. The ¹⁴C-based source apportionment of 294 WIOC and the relationship between f_{NF} (WSOC) and f_{NF} (WIOC) is presented in Figures 5 and 295 296 6a, respectively. It shows that non-fossil contributions to WSOC were larger than those of 297 WIOC for nearly all samples in Beijing, Xi'an and Guangzhou. On average, the majority (60-298 70%) of the fossil OC was water insoluble at these 3 sites (see Figure 6b), indicating that fossil-299 derived OA mostly consisted of hydrophobic components and thus is less water soluble than 300 OA from non-fossil sources. This result is consistent with findings reported elsewhere such as 301 at an urban or rural site in Switzerland (Zhang et al., 2013), a remote site in Hainan Island, 302 South China (Zhang et al., 2014b) and at two rural sites on the east coast of the United States 303 (Wozniak et al., 2012). Meanwhile, the fossil OC in Shanghai, the dominant fraction of OC, 304 was more water soluble (Figure 6b), suggesting an enhanced SOA formation from fossil VOCs 305 from vehicle emissions and/or coal burning for this city. As shown in Figure 6b, non-fossil OA was enriched in water-soluble fractions (i.e., 60%±8%) for all cities, associated with the 306 307 hydrophilic properties of biogenic-derived SOA and biomass-burning derived primary organic 308 aerosol (POA) and SOA, which are composed of a large fraction of polar and highly oxygenated 309 compounds (Mayol-Bracero et al., 2002;Sullivan et al., 2011;Noziere et al., 2015). Thus, non-310 fossil OC has more water-soluble components than fossil ones. It should be noted that relative contributions of WSOC_{NF} and WSOC_F are similar in Beijing and Shanghai, whereas WSOC_{NF} 311 312 is much higher than WSOC_F in Xi'an and Guangzhou. This suggests larger contribution of non-313 fossil sources to WSOC aerosols in Xi'an and Guangzhou than those in Beijing and Shanghai.

314 **3.3 High contribution of secondary formation to WSOC**

WSOC was further apportioned into fossil sources such as coal burning (CB), traffic (TR) and SOC (SOC,F) as well as non-fossil sources such as biomass burning (BB), cooking (CI) and SOC (SOC,NF) using a AMS² based source apportionment (see Sec. 2.5 and Figure 1). SOC dominated WSOC during both the HPD and MPD with a mean contribution of $67\% \pm 9\%$, highlighting the importance of SOC formation to the WSOC aerosols in wintertime pollution 320 events. This is consistent with our previous findings for total PM2.5 mass and bulk carbonaceous 321 aerosols (i.e., total carbon, sum of OC and EC) (Huang et al., 2014; Zhang et al., 2015b). The 322 increase in SOC contribution to WSOC during HPD compared to MPD can be largely due to 323 fossil contribution in Beijing but non-fossil emissions in Xi'an. In Shanghai and Guangzhou, 324 the source pattern of WSOC was not significantly different between MPD and HPD. Fossil 325 contributions to WSOC_{SOC} were 50%±9% in Beijing, 61±4% in Shanghai, associated with SOA 326 from local and transported fossil-fuel derived precursors at these sites (Guo et al., 2014). This 327 contribution drops to 36±9% and 26±9% in Guangzhou and Xi'an, respectively, due to higher 328 biomass-burning contribution to SOC. Despite of the general importance of fossil SOC, 329 formation of non-fossil WSOC_{SOC} becomes especially relevant during HPD especially in Xi'an 330 (Figure 7), which may be explained by competing effects in SOC formation from fossil versus non-fossil precursors. It can be hypothesized for extremely polluted episodes that more 331 332 hydrophilic volatile compounds that were emitted from biomass burning precursors 333 preferentially form SOC compounds via heterogeneous reaction/processing on dust particles compared to highly hydrophobic precursors from fossil sources, a point subjected to future 334 335 laboratory and field experiments. The most important primary sources of WSOC were biomass 336 burning emissions, and their contributions were higher in Xi'an (26%±7%) and Guangzhou 337 $(25\% \pm 6\%)$ than those found in Beijing $(17\% \pm 6\%)$ and Shanghai $(17\% \pm 5\%)$. The remaining 338 primary sources such as coal combustion, cooking and traffic were generally very small 339 contributors of WSOC due to lower water solubility, although coal combustion could exceed 340 10% in Beijing. It should be noted that WSOC was dominated by SOC formation with mean 341 contribution of $61\%\pm10\%$ and $72\%\pm12\%$ (average for all four cities) to non-fossil and fossil-342 fuel derived WSOC, respectively.

343 Summary and implications

Our study demonstrates that non-fossil emissions are generally a dominant contributor of WSOC aerosols during extreme haze events in representative major cities of China, which is in agreement with WSOC source information identified in aerosols with different size fractions 347 (e.g., TSP, PM_{10} and $PM_{2.5}$) observed in the Northern Hemisphere at urban, rural, semi-urban, 348 and background sites in East/South Asia, Europe and USA (Table 1). The ¹⁴C-based source 349 apportionment database shows a mean non-fossil fraction of 73±11% across all sites. This 350 overwhelming non-fossil contribution to WSOC is consistently observed throughout the year, 351 which is associated with seasonal-dependent biomass-burning emissions and/or biogenic-352 derived SOC formation. Our study provides evidence that the presence of oxidized OA, which 353 is to a large extent water soluble, in the Northern Hemisphere (Zhang et al., 2007) is mainly 354 derived from biogenic-derived SOA and/or biomass burning sources. The overall importance 355 of non-fossil emissions to the WSOC aerosols results from large contributions of SOC formation from biogenic precursors (e.g., most likely during summer) and relatively high water-356 357 solubility of primary biomass burning particles (e.g., most likely during winter) compared to those emitted from fossil fuel emissions such as coal combustion and vehicle exhaust. Despite 358 359 of the importance of non-fossil sources, a significant fossil fraction is also observed in the 360 WSOC aerosols from polluted regions in East Asia and sites influenced by East Asian continental outflow (Table 1, Figure 8). This fossil contribution is apparently higher than in this 361 362 region than in the USA and Europe, which is due to large industrial and residential coal usage 363 as well as vehicle emissions. From our observation, the increases in the fossil fractions of 364 WSOC were mostly from SOC formation. Since WSOC has hygroscopic properties, our 365 findings suggest that SOC formation from non-fossil emissions have significant implications 366 on aerosol-induced climate effects. In addition, fossil-derived SOC formation may also become 367 important in polluted regions with large amounts of fossil fuel emissions such as in China and 368 other emerging countries. Low combustion efficiencies and consequently high emission factors 369 in most of the combustion processes in China may further be responsible for increased 370 concentrations of fossil precursors which may be oxidized to form water-soluble SOA in the 371 atmosphere and contribute substantially to the WSOC aerosols. The enhanced WSOC levels may be also originate from aging of fossil POA during the long-range transport of aerosols 372 373 (Kirillova et al., 2014a). It is also interesting to note that fossil contribution during winter in 374 East Asia is generally higher than those in the rest of the year although relatively large fossil fraction could be occasionally found as well. Such seasonal dependence was not observed in other regions, suggesting the importance of fossil contribution to WSOC due to increasing coal combustions during winter in China. This study provides a more detailed source apportionment of WSOC, which could improve modelling of climate and health effects as well as the understanding of atmospheric chemistry of WSOC in the polluted atmosphere such as China and provide scientific basis for policy decisions on air pollution emissions mitigation.

381 **REFERENCES**

- Andreae, M. O., and Gelencser, A.: Black carbon or brown carbon? The nature of lightabsorbing carbonaceous aerosols, Atmos. Chem. Phys., 6, 3131-3148, 2006.
- 384 Asa-Awuku, A., Moore, R. H., Nenes, A., Bahreini, R., Holloway, J. S., Brock, C. A.,
- 385 Middlebrook, A. M., Ryerson, T. B., Jimenez, J. L., DeCarlo, P. F., Hecobian, A., Weber, R.
- J., Stickel, R., Tanner, D. J., and Huey, L. G.: Airborne cloud condensation nuclei
 measurements during the 2006 Texas Air Quality Study, J. Geophys. Res., 116, D11201,
 doi:10.1029/2010jd014874, 2011.
- 389 Bosch, C., Andersson, A., Kirillova, E. N., Budhavant, K., Tiwari, S., Praveen, P. S., Russell,
- 390 L. M., Beres, N. D., Ramanathan, V., and Gustafsson, O.: Source-diagnostic dual-isotope
- 391 composition and optical properties of water-soluble organic carbon and elemental carbon in the
- 392 South Asian outflow intercepted over the Indian Ocean, J. Geophys. Res., 119, 11743-11759,
- doi:10.1002/2014JD022127, 2014.
- 394 Bozzetti, C., El Haddad, I., Salameh, D., Daellenbach, K. R., Fermo, P., Gonzalez, R.,
- 395 Minguillón, M. C., Iinuma, Y., Poulain, L., Elser, M., Müller, E., Slowik, J. G., Jaffrezo, J. L.,
- 396 Baltensperger, U., Marchand, N., and Prévôt, A. S. H.: Organic aerosol source apportionment
- 397 by offline-AMS over a full year in Marseille, Atmos. Chem. Phys., 17, 8247-8268,
- 398 doi:10.5194/acp-17-8247-2017, 2017a.
- 399 Bozzetti, C., Sosedova, Y., Xiao, M., Daellenbach, K. R., Ulevicius, V., Dudoitis, V., Mordas,
- 400 G., Byčenkienė, S., Plauškaitė, K., Vlachou, A., Golly, B., Chazeau, B., Besombes, J. L.,
- 401 Baltensperger, U., Jaffrezo, J. L., Slowik, J. G., El Haddad, I., and Prévôt, A. S. H.: Argon

- 402 offline-AMS source apportionment of organic aerosol over yearly cycles for an urban, rural,
- and marine site in northern Europe, Atmos. Chem. Phys., 17, 117-141, doi:10.5194/acp-17117-2017, 2017b.
- 405 Canonaco, F., Crippa, M., Slowik, J. G., Baltensperger, U., and Prévôt, A. S. H.: SoFi, an
- 406 IGOR-based interface for the efficient use of the generalized multilinear engine (ME-2) for the
- 407 source apportionment: ME-2 application to aerosol mass spectrometer data, Atmos. Meas.
- 408 Tech., 6, 3649-3661, doi:10.5194/amt-6-3649-2013, 2013.
- 409 Cao, F., Zhang, Y.-L., Szidat, S., Zapf, A., Wacker, L., and Schwikowski, M.: Microgram-level
- 410 radiocarbon determination of carbonaceous particles in firn and ice samples: pretreatment and
- 411 OC/EC separation, Radiocarbon, 55, 383-390, 2013.
- 412 Cao, F., Zhang, Y., Ren, L., Liu, J., Li, J., Zhang, G., Liu, D., Sun, Y., Wang, Z., Shi, Z., and
- 413 Fu, P.: New insights into the sources and formation of carbonaceous aerosols in China: potential
- 414 applications of dual-carbon isotopes, National Science Review, nwx097-nwx097,
- 415 doi:10.1093/nsr/nwx097, 2017.
- Cavalli, F., Viana, M., Yttri, K. E., Genberg, J., and Putaud, J. P.: Toward a standardised
 thermal-optical protocol for measuring atmospheric organic and elemental carbon: the
 EUSAAR protocol, Atmos. Meas. Tech., 3, 79-89, 2010.
- 419 Cheng, Y., He, K. B., Zheng, M., Duan, F. K., Du, Z. Y., Ma, Y. L., Tan, J. H., Yang, F. M.,
- 420 Liu, J. M., Zhang, X. L., Weber, R. J., Bergin, M. H., and Russell, A. G.: Mass absorption
- 421 efficiency of elemental carbon and water-soluble organic carbon in Beijing, China, Atmos.
- 422 Chem. Phys., 11, 11497-11510, doi:10.5194/acp-11-11497-2011, 2011.
- 423 Daellenbach, K. R., Bozzetti, C., Krepelova, A. K., Canonaco, F., Wolf, R., Zotter, P., Fermo,
- 424 P., Crippa, M., Slowik, J. G., Sosedova, Y., Zhang, Y., Huang, R. J., Poulain, L., Szidat, S.,
- 425 Baltensperger, U., El Haddad, I., and Prevot, A. S. H.: Characterization and source
- 426 apportionment of organic aerosol using offline aerosol mass spectrometry, Atmos. Meas. Tech.,
- 427 9, 23-39, doi:10.5194/amt-9-23-2016, 2016.
- 428 Dusek, U., Hitzenberger, R., Kasper-Giebl, A., Kistler, M., Meijer, H. A. J., Szidat, S., Wacker,
- 429 L., Holzinger, R., and Röckmann, T.: Sources and formation mechanisms of carbonaceous

- 430 aerosol at a regional background site in the Netherlands: insights from a year-long radiocarbon
- 431 study, Atmos. Chem. Phys., 17, 3233-3251, doi:10.5194/acp-17-3233-2017, 2017.
- 432 Fang, W., Andersson, A., Zheng, M., Lee, M., Holmstrand, H., Kim, S.-W., Du, K., and
- 433 Gustafsson, Ö.: Divergent Evolution of Carbonaceous Aerosols during Dispersal of East Asian
- 434 Haze, Scientific Reports, 7, 10422, doi:10.1038/s41598-017-10766-4, 2017.
- 435 Fu, P., Kawamura, K., Chen, J., Qin, M., Ren, L., Sun, Y., Wang, Z., Barrie, L. A., Tachibana,
- 436 E., Ding, A., and Yamashita, Y.: Fluorescent water-soluble organic aerosols in the High Arctic
- 437 atmosphere, Sci Rep, 5, 9845, doi:10.1038/srep09845, 2015.
- 438 Guo, S., Hu, M., Zamora, M. L., Peng, J., Shang, D., Zheng, J., Du, Z., Wu, Z., Shao, M., Zeng,
- 439 L., Molina, M. J., and Zhang, R.: Elucidating severe urban haze formation in China, Proc. Nat.
- 440 Acad. Sci. U.S.A., 111, 17373-17378, doi:10.1073/pnas.1419604111, 2014.
- 441 Hecobian, A., Zhang, X., Zheng, M., Frank, N., Edgerton, E. S., and Weber, R. J.: Water-
- 442 Soluble Organic Aerosol material and the light-absorption characteristics of aqueous extracts
- 443 measured over the Southeastern United States, Atmos. Chem. Phys., 10, 5965-5977,
 444 doi:10.5194/acp-10-5965-2010, 2010.
- 445 Huang, R. J., Zhang, Y., Bozzetti, C., Ho, K. F., Cao, J. J., Han, Y., Daellenbach, K. R., Slowik,
- J. G., Platt, S. M., Canonaco, F., Zotter, P., Wolf, R., Pieber, S. M., Bruns, E. A., Crippa, M.,
- 447 Ciarelli, G., Piazzalunga, A., Schwikowski, M., Abbaszade, G., Schnelle-Kreis, J.,
- 448 Zimmermann, R., An, Z., Szidat, S., Baltensperger, U., El Haddad, I., and Prevot, A. S.: High
- secondary aerosol contribution to particulate pollution during haze events in China, Nature, 514,
- 450 218-222, doi:10.1038/nature13774, 2014.
- 451 Jimenez, J. L., Canagaratna, M. R., Donahue, N. M., Prevot, A. S. H., Zhang, Q., Kroll, J. H.,
- 452 DeCarlo, P. F., Allan, J. D., Coe, H., Ng, N. L., Aiken, A. C., Docherty, K. S., Ulbrich, I. M.,
- 453 Grieshop, A. P., Robinson, A. L., Duplissy, J., Smith, J. D., Wilson, K. R., Lanz, V. A., Hueglin,
- 454 C., Sun, Y. L., Tian, J., Laaksonen, A., Raatikainen, T., Rautiainen, J., Vaattovaara, P., Ehn,
- 455 M., Kulmala, M., Tomlinson, J. M., Collins, D. R., Cubison, M. J., Dunlea, E. J., Huffman, J.
- 456 A., Onasch, T. B., Alfarra, M. R., Williams, P. I., Bower, K., Kondo, Y., Schneider, J.,
- 457 Drewnick, F., Borrmann, S., Weimer, S., Demerjian, K., Salcedo, D., Cottrell, L., Griffin, R.,

- 458 Takami, A., Miyoshi, T., Hatakeyama, S., Shimono, A., Sun, J. Y., Zhang, Y. M., Dzepina, K.,
- 459 Kimmel, J. R., Sueper, D., Jayne, J. T., Herndon, S. C., Trimborn, A. M., Williams, L. R., Wood,
- 460 E. C., Middlebrook, A. M., Kolb, C. E., Baltensperger, U., and Worsnop, D. R.: Evolution of
- 461 organic aerosols in the atmosphere, Science, 326, 1525-1529, doi:DOI
 462 10.1126/science.1180353, 2009.
- 463 Kirillova, E. N., Sheesley, R. J., Andersson, A., and Gustafsson, O.: Natural abundance ¹³C and
- 464 ¹⁴C analysis of water-soluble organic carbon in atmospheric aerosols, Anal. Chem., 82, 7973-
- 465 7978, doi:Doi 10.1021/Ac1014436, 2010.
- 466 Kirillova, E. N., Andersson, A., Sheesley, R. J., Kruså, M., Praveen, P. S., Budhavant, K., Safai,
- 467 P. D., Rao, P. S. P., and Gustafsson, Ö.: ¹³C and ¹⁴C-based study of sources and atmospheric
- 468 processing of water-soluble organic carbon (WSOC) in South Asian aerosols, J. Geophys. Res.,
- 469 118, 614-626, doi:10.1002/jgrd.50130, 2013.
- 470 Kirillova, E. N., Andersson, A., Han, J., Lee, M., and Gustafsson, O.: Sources and light
- 471 absorption of water-soluble organic carbon aerosols in the outflow from northern China, Atmos.
- 472 Chem. Phys., 14, 1413-1422, doi:DOI 10.5194/acp-14-1413-2014, 2014a.
- 473 Kirillova, E. N., Andersson, A., Tiwari, S., Srivastava, A. K., Bisht, D. S., and Gustafsson, O.:
- 474 Water-soluble organic carbon aerosols during a full New Delhi winter: Isotope-based source
- 475 apportionment and optical properties, J. Geophys. Res., 119, 3476-3485, doi:Doi
- 476 10.1002/2013jd020041, 2014b.
- 477 Kondo, Y., Miyazaki, Y., Takegawa, N., Miyakawa, T., Weber, R. J., Jimenez, J. L., Zhang,
- 478 Q., and Worsnop, D. R.: Oxygenated and water-soluble organic aerosols in Tokyo, J. Geophys.
- 479 Res., 112, D01203, doi:10.1029/2006jd007056, 2007.
- 480 Laskin, A., Laskin, J., and Nizkorodov, S. A.: Chemistry of Atmospheric Brown Carbon, Chem.
- 481 Rev. (Washington, DC, U. S.), 115, 4335-4382, doi:10.1021/cr5006167, 2015.
- 482 Levin, I., Naegler, T., Kromer, B., Diehl, M., Francey, R. J., Gomez-Pelaez, A. J., Steele, L. P.,
- 483 Wagenbach, D., Weller, R., and Worthy, D. E.: Observations and modelling of the global
- 484 distribution and long-term trend of atmospheric ¹⁴CO₂, Tellus B, 62, 26-46,
- 485 doi:10.1111/j.1600-0889.2009.00446.x, 2010.

- Limbeck, A., Handler, M., Neuberger, B., Klatzer, B., and Puxbaum, H.: Carbon-specific
 analysis of humic-like substances in atmospheric aerosol and precipitation samples, Anal.
 Chem., 77, 7288-7293, doi:10.1021/ac0509531, 2005.
- 489 Liu, J., Li, J., Vonwiller, M., Liu, D., Cheng, H., Shen, K., Salazar, G., Agrios, K., Zhang, Y.,
- 490 He, Q., Ding, X., Zhong, G., Wang, X., Szidat, S., and Zhang, G.: The importance of non-fossil
- 491 sources in carbonaceous aerosols in a megacity of central China during the 2013 winter haze
- 492 episode: A source apportionment constrained by radiocarbon and organic tracers, Atmos.
- 493 Environ., 144, 60-68, doi:<u>http://dx.doi.org/10.1016/j.atmosenv.2016.08.068</u>, 2016.
- 494 Liu, J. W., Li, J., Zhang, Y. L., Liu, D., Ding, P., Shen, C. D., Shen, K. J., He, Q. F., Ding, X.,
- 495 Wang, X. M., Chen, D. H., Szidat, S., and Zhang, G.: Source Apportionment Using
- 496 Radiocarbon and Organic Tracers for PM2.5 Carbonaceous Aerosols in Guangzhou, South
- 497 China: Contrasting Local- and Regional-Scale Haze Events, Environ. Sci. Technol., 48, 12002-
- 498 12011, doi:Doi 10.1021/Es503102w, 2014.
- 499 Mayol-Bracero, O. L., Guyon, P., Graham, B., Roberts, G., Andreae, M. O., Decesari, S., 500 Facchini, M. C., Fuzzi, S., and Artaxo, P.: Water-soluble organic compounds in biomass burning aerosols over Amazonia - 2. Apportionment of the chemical composition and 501 502 importance of the polyacidic fraction, J. Geophys. Res., 107, D8091, 503 doi:10.1029/2001jd000522, 2002.
- 504 Miyazaki, Y., Kondo, Y., Takegawa, N., Komazaki, Y., Fukuda, M., Kawamura, K., Mochida,
- 505 M., Okuzawa, K., and Weber, R. J.: Time-resolved measurements of water-soluble organic
 506 carbon in Tokyo, J. Geophys. Res., 111, D23206, doi:1029/2006jd007125, 2006.
- 507 Mohn, J., Szidat, S., Fellner, J., Rechberger, H., Quartier, R., Buchmann, B., and Emmenegger,
- 508 L.: Determination of biogenic and fossil CO_2 emitted by waste incineration based on ${}^{14}CO_2$ and
- 509 mass balances, Bioresour. Technol., 99, 6471-6479, doi:DOI 10.1016/j.biortech.2007.11.042,
 510 2008.
- 511 Noziere, B., Kalberer, M., Claeys, M., Allan, J., D'Anna, B., Decesari, S., Finessi, E., Glasius,
- 512 M., Grgic, I., Hamilton, J. F., Hoffmann, T., Iinuma, Y., Jaoui, M., Kahnt, A., Kampf, C. J.,
- 513 Kourtchev, I., Maenhaut, W., Marsden, N., Saarikoski, S., Schnelle-Kreis, J., Surratt, J. D.,

- Szidat, S., Szmigielski, R., and Wisthaler, A.: The molecular identification of organic
 compounds in the atmosphere: state of the art and challenges, Chem Rev, 115, 3919-3983,
 doi:10.1021/cr5003485, 2015.
- 517 Pavuluri, C. M., Kawamura, K., Uchida, M., Kondo, M., and Fu, P. Q.: Enhanced modern
- 518 carbon and biogenic organic tracers in Northeast Asian aerosols during spring/summer, J.
- 519 Geophys. Res., 118, 2362-2371, doi:Doi 10.1002/Jgrd.50244, 2013.
- 520 Ruellan, S., and Cachier, H.: Characterisation of fresh particulate vehicular exhausts near a
- 521
 Paris high flow road, Atmos. Environ., 35, 453-468, doi:Doi 10.1016/S1352-2310(00)00110
- **522** 2, 2001.
- 523 Salazar, G., Zhang, Y. L., Agrios, K., and Szidat, S.: Development of a method for fast and
- 524 automatic radiocarbon measurement of aerosol samples by online coupling of an elemental
- 525 analyzer with a MICADAS AMS, Nucl. Instr. and Meth. in Phys. Res. B., 361, 163-167,

526 doi:<u>http://dx.doi.org/10.1016/j.nimb.2015.03.051</u>, 2015.

- 527 Sannigrahi, P., Sullivan, A. P., Weber, R. J., and Ingall, E. D.: Characterization of water-soluble
- 528 organic carbon in urban atmospheric aerosols using solid-state C-13 NMR spectroscopy,
- 529 Environ. Sci. Technol., 40, 666-672, doi:Doi 10.1021/Es051150i, 2006.
- 530 Stuiver, M.: Discussion: Reporting of ¹⁴C data, Radiocarbon, 19, 355-363, 1977.
- 531 Sullivan, A. P., Frank, N., Kenski, D. M., and Collett, J. L.: Application of high-performance
- 532 anion-exchange chromatography-pulsed amperometric detection for measuring carbohydrates
- 533 in routine daily filter samples collected by a national network: 2. Examination of sugar
- alcohols/polyols, sugars, and anhydrosugars in the upper Midwest, J. Geophys. Res., 116,
- 535 D08303, doi:10.1029/2010jd014169, 2011.
- 536 Szidat, S., Jenk, T. M., Gäggeler, H. W., Synal, H. A., Fisseha, R., Baltensperger, U., Kalberer,
- 537 M., Samburova, V., Wacker, L., Saurer, M., Schwikowski, M., and Hajdas, I.: Source
- 538 apportionment of aerosols by ¹⁴C measurements in different carbonaceous particle fractions,
- 539 Radiocarbon, 46, 475-484, 2004.

- 540 Szidat, S., Ruff, M., Perron, N., Wacker, L., Synal, H.-A., Hallquist, M., Shannigrahi, A. S.,
- 541 Yttri, K. E., Dye, C., and Simpson, D.: Fossil and non-fossil sources of organic carbon (OC)
- and elemental carbon (EC) in Goeteborg, Sweden, Atmos. Chem. Phys., 9, 1521-1535, 2009.
- 543 Timonen, H., Carbone, S., Aurela, M., Saarnio, K., Saarikoski, S., Ng, N. L., Canagaratna, M.
- 544 R., Kulmala, M., Kerminen, V. M., Worsnop, D. R., and Hillamo, R.: Characteristics, sources
- and water-solubility of ambient submicron organic aerosol in springtime in Helsinki, Finland,
- 546 J. Aerosol Sci., 56, 61-77, doi:10.1016/j.jaerosci.2012.06.005, 2013.
- 547 Wacker, L., Fahrni, S. M., Hajdas, I., Molnar, M., Synal, H. A., Szidat, S., and Zhang, Y. L.: A
- 548 versatile gas interface for routine radiocarbon analysis with a gas ion source, Nucl. Instrum.
- 549 Meth. B, 294, 315-319, doi:DOI 10.1016/j.nimb.2012.02.009, 2013.
- 550 Weber, R. J., Sullivan, A. P., Peltier, R. E., Russell, A., Yan, B., Zheng, M., de Gouw, J.,
- 551 Warneke, C., Brock, C., Holloway, J. S., Atlas, E. L., and Edgerton, E.: A study of secondary
- 552 organic aerosol formation in the anthropogenic-influenced southeastern United States, J.
- 553 Geophys. Res., 112, D13302, doi:10.1029/2007jd008408, 2007.
- 554 Wozniak, A. S., Bauer, J. E., and Dickhut, R. M.: Characteristics of water-soluble organic
- carbon associated with aerosol particles in the eastern United States, Atmos. Environ., 46, 181-
- 556 188, doi:DOI 10.1016/j.atmosenv.2011.10.001, 2012.
- 557 Xiao, R., Takegawa, N., Zheng, M., Kondo, Y., Miyazaki, Y., Miyakawa, T., Hu, M., Shao, M.,
- 558 Zeng, L., Gong, Y., Lu, K., Deng, Z., Zhao, Y., and Zhang, Y. H.: Characterization and source
- apportionment of submicron aerosol with aerosol mass spectrometer during the PRIDE-PRD
- 560 2006 campaign, Atmos. Chem. Phys., 11, 6911-6929, doi:10.5194/acp-11-6911-2011, 2011.
- 561 Yan, C., Zheng, M., Bosch, C., Andersson, A., Desyaterik, Y., Sullivan, A. P., Collett, J. L.,
- 562 Zhao, B., Wang, S., He, K., and Gustafsson, O.: Important fossil source contribution to brown
- 563 carbon in Beijing during winter, Sci Rep, 7, 43182, doi:10.1038/srep43182, 2017.
- 564 Zappoli, S., Andracchio, A., Fuzzi, S., Facchini, M. C., Gelencser, A., Kiss, G., Krivacsy, Z.,
- 565 Molnar, A., Meszaros, E., Hansson, H. C., Rosman, K., and Zebuhr, Y.: Inorganic, organic and
- 566 macromolecular components of fine aerosol in different areas of Europe in relation to their

- 567 water solubility, Atmos. Environ., 33, 2733-2743, doi:Doi 10.1016/S1352-2310(98)00362-8,
 568 1999.
- 569 Zhang, Q., Jimenez, J. L., Canagaratna, M. R., Allan, J. D., Coe, H., Ulbrich, I., Alfarra, M. R.,
- 570 Takami, A., Middlebrook, A. M., Sun, Y. L., Dzepina, K., Dunlea, E., Docherty, K., DeCarlo,
- 571 P. F., Salcedo, D., Onasch, T., Jayne, J. T., Miyoshi, T., Shimono, A., Hatakeyama, S.,
- 572 Takegawa, N., Kondo, Y., Schneider, J., Drewnick, F., Borrmann, S., Weimer, S., Demerjian,
- 573 K., Williams, P., Bower, K., Bahreini, R., Cottrell, L., Griffin, R. J., Rautiainen, J., Sun, J. Y.,
- 574 Zhang, Y. M., and Worsnop, D. R.: Ubiquity and dominance of oxygenated species in organic
- aerosols in anthropogenically-influenced Northern Hemisphere midlatitudes, Geophys. Res.
- 576 Lett., 34, L13801, doi:DOI:10.1029/2007gl029979, 2007.
- 577 Zhang, R., Li, Q., and Zhang, R.: Meteorological conditions for the persistent severe fog and
- 578 haze event over eastern China in January 2013, SCIENCE CHINA Earth Sciences, 57, 26-35,
- 579 doi:10.1007/s11430-013-4774-3, 2014a.
- 580 Zhang, Y.-L., Li, J., Zhang, G., Zotter, P., Huang, R.-J., Tang, J.-H., Wacker, L., Prévôt, A. S.
- 581 H., and Szidat, S.: Radiocarbon-based source apportionment of carbonaceous aerosols at a
- regional background site on hainan Island, South China, Environ. Sci. Technol., 48, 2651-2659,
- 583 doi:10.1021/es4050852, 2014b.
- 584 Zhang, Y.-L., Liu, J.-W., Salazar, G. A., Li, J., Zotter, P., Zhang, G., Shen, R.-r., Schäfer, K.,
- 585 Schnelle-Kreis, J., Prévôt, A. S. H., and Szidat, S.: Micro-scale (µg) radiocarbon analysis of
- 586 water-soluble organic carbon in aerosol samples, Atmos. Environ., 97, 1-5,
 587 doi:http://dx.doi.org/10.1016/j.atmosenv.2014.07.059, 2014c.
- 588 Zhang, Y.-L., Schnelle-Kreis, J. r., Abbaszade, G. l., Zimmermann, R., Zotter, P., Shen, R.-r.,
- 589 Schäfer, K., Shao, L., Prévôt, A. S. H., and Szidat, S. n.: Source apportionment of elemental
- 590 carbon in Beijing, China: Insights from radiocarbon and organic marker measurements,
- 591 Environ. Sci. Technol., 49, 8408-8415, 2015a.
- 592 Zhang, Y., Ren, H., Sun, Y., Cao, F., Chang, Y., Liu, S., Lee, X., Agrios, K., Kawamura, K.,
- 593 Liu, D., Ren, L., Du, W., Wang, Z., Prevot, A. S. H., Szidat, S., and Fu, P.: High Contribution

- 594 of Nonfossil Sources to Submicrometer Organic Aerosols in Beijing, China, Environ. Sci.
- 595 Technol., 51, 7842-7852, doi:10.1021/acs.est.7b01517, 2017.
- 596 Zhang, Y. L., Perron, N., Ciobanu, V. G., Zotter, P., Minguillón, M. C., Wacker, L., Prévôt, A.
- 597 S. H., Baltensperger, U., and Szidat, S.: On the isolation of OC and EC and the optimal strategy
- of radiocarbon-based source apportionment of carbonaceous aerosols, Atmos. Chem. Phys., 12,
- 599 10841-10856, 2012.
- 600 Zhang, Y. L., Zotter, P., Perron, N., Prévôt, A. S. H., Wacker, L., and Szidat, S.: Fossil and
- non-fossil sources of different carbonaceous fractions in fine and coarse particles by
 radiocarbon measurement, Radiocarbon, 55, 1510-1520, 2013.
- 603 Zhang, Y. L., Huang, R. J., El Haddad, I., Ho, K. F., Cao, J. J., Han, Y., Zotter, P., Bozzetti, C.,
- 604 Daellenbach, K. R., Canonaco, F., Slowik, J. G., Salazar, G., Schwikowski, M., Schnelle-Kreis,
- 505 J., Abbaszade, G., Zimmermann, R., Baltensperger, U., Prévôt, A. S. H., and Szidat, S.: Fossil
- vs. non-fossil sources of fine carbonaceous aerosols in four Chinese cities during the extreme
- winter haze episode of 2013, Atmos. Chem. Phys., 15, 1299-1312, doi:10.5194/acp-15-12992015, 2015b.
- Zong, Z., Wang, X., Tian, C., Chen, Y., Han, G., Li, J., and Zhang, G.: Source and formation
- 610 characteristics of water-soluble organic carbon in the anthropogenic-influenced Yellow River
- 611 Delta, North China, Atmos. Environ., 144, 124-132,
 612 doi:https://doi.org/10.1016/j.atmosenv.2016.08.078, 2016.
- 613 Zotter, P., Ciobanu, V. G., Zhang, Y. L., El-Haddad, I., Macchia, M., Daellenbach, K. R.,
- 614 Salazar, G. A., Huang, R. J., Wacker, L., Hueglin, C., Piazzalunga, A., Fermo, P., Schwikowski,
- 615 M., Baltensperger, U., Szidat, S., and Prévôt, A. S. H.: Radiocarbon analysis of elemental and
- 616 organic carbon in Switzerland during winter-smog episodes from 2008 to 2012 Part 1: Source
- apportionment and spatial variability, Atmos. Chem. Phys., 14, 13551-13570, doi:10.5194/acp-
- **618** 14-13551-2014, 2014a.
- 619 Zotter, P., El-Haddad, I., Zhang, Y., Hayes, P. L., Zhang, X., Lin, Y.-H., Wacker, L., Schnelle-
- 620 Kreis, J., Abbaszade, G., Zimmermann, R., Surratt, J. D., Weber, R., Jimenez, J. L., Szidat, S.,
- 621 Baltensperger, U., and Prévôt, A. S. H.: Diurnal cycle of fossil and nonfossil carbon using

622 radiocarbon analyses during CalNex, J. Geophys. Res., 119, 6818-6835,
623 doi:10.1002/2013jd021114, 2014b.

624

625	Author Contributions: YL.Z., S.S., R.J.H., J.J.C. and A.S.H.P. designed the study. Y.L.Z.
626	and G.S. perform ¹⁴ C measurement. Y.L.Z. and S. S. interpreted the ¹⁴ C data. R.J.H., I.E.H.,
627	C.B. and K.D. performed the offline AMS analysis and interpret the data. YL.Z. and I.E.H.
628	perform ¹⁴ C-AMS-PMF source apportionments. YL.Z. wrote the paper. All authors reviewed
629	and commented on the paper.
630	Competing interests: The authors declare no competing financial interests.
631	Acknowledgments: This work was supported by The National Key Research and Development
632	Program of China (Grant No. SQ2017ZY010322-04) and the National Natural Science
633	Foundation of China (Grant Nos. 41603104 and 91644103). All data needed to evaluate the
634	conclusions in the paper are present in the paper. Additional data related to this paper may be
635	requested from the authors.

636 Figures and Tables

Table 1. Compilation of literature values of relative fossil-fuel contributions (fossil %) to the

638 WSOC aerosols in East/South Asia, USA and Euro	ope.
w SOC aerosois in East/South Asia, USA and Euro	ppe.

Site	Location	Season	Size	WSOC (µg/m ³)	WSOC/OC	Fossil %	References
East Asia							
Urban	Beijing, China	Winter/2013	PM _{2.5}	19.8	0.49	47	this work
Urban	Xi'an, China	Winter/2013	PM _{2.5}	31.3	0.53	25	this work
Urban	Shanghai, China	Winter/2013	PM _{2.5}	6.5	0.58	52	this work
Urban	Guangzhou, China	Winter/2013	PM _{2.5}	6.6	0.53	32	this work
Urban	Beijing, China	Winter/2014	PM _{2.5}	14.7	0.40	56	(Fang et al., 2017)
Urban	Beijing, China	Winter/2011	PM _{4.3}	15	0.50	55	(Zhang et al., 2014c)
Urban	Beijing, China	Winter/2013	PM _{2.5}	9.3	0.31	54	(Yan et al., 2017)
Urban	Guangzhou, China	Winter/2012/ 2013	PM _{2.5}	4.1	0.38	33	(Liu et al., 2014)
Urban	Guangzhou, China	Winter/2011	PM_{10}	4.5	0.43	28.5	(Zhang et al., 2014c)
Urban	Xi'an, China	Autumn/2009	PM _{2.5}	5.1	0.28	31	(Pavuluri et al., 2013)
Urban	Xi'an, China	Autumn/2010	TSP	8.1	0.28	29	(Pavuluri et al., 2013)
Urban	Wuhan, China	Winter/2013	PM _{2.5}	13.7	0.45	37	(Liu et al., 2016)
Urban	Sapporo, Japan	Summer/Autum n/2010	PM ₃	1	0.43	15	(Pavuluri et al., 2013)
Urban	Sapporo, Japan	Summer/2011	TSP	1.1	0.24	12	(Pavuluri et al., 2013)
Urban	Sapporo, Japan	Spring/2010	TSP	1.1	0.31	11	(Pavuluri et al., 2013)
Urban	Sapporo, Japan	Autumn/2011	TSP	1.8	0.48	18.3	(Pavuluri et al., 2013)
Urban	Sapporo, Japan	Winter/2010	TSP	0.9	0.45	40.2	(Pavuluri et al., 2013)
Background	Jeju Island, Korea	Winter/2014	PM _{2.5}	2.2	0.66	50	(Fang et al., 2017)
Background	Jeju Island, Korea	Spring/2011	PM _{2.5}	2.0		37.5	(Kirillova et al., 2014a)
Background	Jeju Island, Korea	Spring/2011	TSP	3.0		25	(Kirillova et al., 2014a)
Average						33±14	20174
South Asia							
Background	Hainan, China	Annual 2005/2006	PM _{2.5}	3.9	0.54	18	(Zhang et al., 2014b)
Background	Hainan, China	Winter 2005/2006	PM _{2.5}	6.2	0.57	14.5	(Zhang et al., 2014b)
Background	Hainan, China	Summer 2005/2006	PM _{2.5}	1.4	0.40	17.7	(Zhang et al., 2014b)
Background	Hanimaadhoo, Maldives	Annual 2008/2009	TSP	0.5		17	(Kirillova et al., 2013)
Background	Sinhagad, India	Annual 2008/2009	TSP	3.0		24	(Kirillova et al., 2013)
Background	Hanimaadhoo, Maldives	Spring/2012	PM _{2.5}	0.6	0.62	14	(Bosch et al., 2014)
Urban	Delhi, India	Winter/2010/ 2011	PM _{2.5}	22.0		21	(Kirillova et al., 2014b)
Average						18±4	

Europe and USA								
Urban	Göteborg, Sweden	Winter/2005	PM _{2.5}	1.1	0.48	23	(Szidat et al., 2009)	
Urban	Göteborg, Sweden	Summer/2006	PM _{2.5}	0.8	0.61	30	(Szidat et al., 2009)	
Rural	Göteborg, Sweden	Winter/2005		1.2	0.53	27	(Szidat et al., 2009)	
Rural/semi- urban	Stockholm, Sweden	Summer/2009	TSP			12	(Kirillova et al., 2010)	
Urban	Zürich, Switzerland	Summer/2002	PM_{10}	2.1	0.54	14	(Szidat et al., 2004)	
Urban	Zürich, Switzerland	Winter/2008	PM_{10}	2.8	0.60	26.8	(Zhang et al., 2013)	
Urban	Moleno, Switzerland	Summer/2006	PM_{10}	5.3	0.67	30	(Zhang et al., 2013)	
Urban	Bern, Switzerland	Winter/2009	PM_{10}		0.39	14	(Zhang et al., 2014c)	
Urban	Atlanta, USA	Summer/2004	PM _{2.5}	2.3	0.59	26.5	(Weber et al., 2007)	
Rural	Millbrook, USA	Annual/2006/20 07	TSP		0.36	12	(Wozniak et al., 2012)	
Rural	Harcum, USA	Annual/2006/20 07	TSP		0.38	14	(Wozniak et al., 2012)	
Regional background	<mark>Cesar,</mark> Netherlands	<mark>Annual/2011/</mark> 2012	<mark>РМ2</mark> .5	<mark>2.3</mark>	<mark>0.65</mark>	<mark>21</mark>	(Dusek et al., 2017)	
Average						21±8		



Figure 1. The AMS²-based source apportionment scheme of WSOC aerosols in this study.

- 642 See the main text for the equations (i.e., Eq. 4, 5, 9, 10 in the Sec. 2.5) and the offline-AMS &
- 643 PMF (see the Sec. 2.3).





Figure 2. Linear relationships (p<0.01) of WSOC with PM_{2.5} (top) and OC concentrations
(bottom).



Figure 3. Mass concentrations (μ g/m³) of WSOC from non-fossil and fossil-fuel sources

 $(WSOC_{NF} and WSOC_{F}, respectively)$ as well as non-fossil fractions of the WSOC aerosols from

651 Beijing, Xi'an, Shanghai and Guangzhou during moderately polluted days (MPD) and heavily

- 652 polluted days (HPD). Note the different scaling for different cities.
- 653





Figure 5. Mass concentrations (μ g/m³) of WIOC from non-fossil and fossil-fuel sources (WIOC _{NF} and WIOC _F, respectively) as well as non-fossil fractions in the WIOC aerosols from Beijing, Xi'an, Shanghai and Guangzhou during moderately polluted days (MPD) and heavily polluted days (HPD). Note the different scaling for different cities.

663







(a)





Figure 6. Relationship between the fraction of non-fossil WIOC and WSOC(a) and averaged
relative contribution (%) to OC from WSOC and WIOC from non-fossil and fossil sources (b).

670





Figure 7. Relative contributions (%) to WSOC from biomass burning as well as secondary
organic carbon (SOC) from fossil and non-fossil sources (WSOC_{SOC,F} and WSOC_{SOC,NF},
respectively) in different cities during moderately polluted days (MPD) and heavily polluted
days (HPD) as well as their corresponding excess (Excess=HPD-MPD). The numbers above

the bars refer to the average WSOC concentrations and the SOC fractions (%) of WSOC.



677

Figure 8. Box-plot of the fossil contribution (%) to the WSOC aerosols in East Asia, South Asia, USA and Europe. The box represents the 25th (lower line), 50th (middle line) and 75th (top line) percentiles; the empty square within the box represent the mean values; the end lines of the vertical bars represent the 10th (below the box) and 90th (above the box) percentiles; the solid dots represents the maximum and minimum values; the solid diamonds represent the individual data (Table 1). The data from East Asia is grouped by the winter and non-winter seasons.