# Seasonal influences on surface ozone variability in continental South Africa and implications for air quality

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# Abstract

Although elevated surface ozone ( $O_3$ ) concentrations are observed in many areas within southern Africa, few studies have investigated the regional atmospheric chemistry and dominant 20 atmospheric processes driving surface  $O_3$  formation in this region. Therefore, an assessment of comprehensive continuous surface O<sub>3</sub> measurements performed at four sites in continental South Africa was conducted. The regional  $O_3$  problem was evident, with  $O_3$  concentrations regularly exceeding the South African air quality standard limit, while O<sub>3</sub> levels were higher compared to other background sites in the Southern Hemisphere. The temporal  $O_3$  patterns observed at the 25 four sites resembled typical trends for O<sub>3</sub> in continental South Africa, with O<sub>3</sub> concentrations peaking in late winter and early spring. Increased O<sub>3</sub> concentrations in winter were indicative of increased emissions of O<sub>3</sub> precursors from household combustion and other low-level sources, while a spring maximum observed at all the sites was attributed to increased regional biomass burning. Source area maps of O<sub>3</sub> and CO indicated significantly higher O<sub>3</sub> and CO concentrations associated with air masses passing over a region with increased seasonal open biomass burning, 30 which indicated CO associated with open biomass burning as a major source of O<sub>3</sub> in continental South Africa. A strong correlation between O<sub>3</sub> on CO was observed, while O<sub>3</sub> levels remained relatively constant or decreased with increasing NO<sub>x</sub>, which supports a VOC-limited regime. The

instantaneous production rate of  $O_3$  calculated at Welgegund indicated that ~40% of  $O_3$  production occurred in the VOC-limited regime. The relationship between  $O_3$  and precursor species suggests that continental South Africa can be considered VOC-limited, which can be attributed to high anthropogenic emissions of  $NO_x$  in the interior of South Africa. The study indicated that the most effective emission control strategy to reduce  $O_3$  levels in continental South

5 indicated that the most effective emission control strategy to reduce O<sub>3</sub> levels in continental South Africa should be CO and VOC reduction, mainly associated with household combustion and regional open biomass burning.

**Keywords:** ozone ( $O_3$ ) production,  $NO_x$ -limited, VOC-limited, biomass burning, regional  $O_3$ , air quality

# 1. Introduction

- High surface O<sub>3</sub> concentrations are a serious environmental concern due to their detrimental
   impacts on human health, crops and vegetation (National Research NRC, 1991). Photochemical
   smog, comprising O<sub>3</sub> as a constituent together with other atmospheric oxidants, is a major air
   quality concern on urban and regional scales. Tropospheric O<sub>3</sub> is also a greenhouse gas that
   directly contributes to global warming (IPCC, 2013).
- 20 Tropospheric O<sub>3</sub> concentrations are regulated by three processes, i.e. chemical production/destruction, atmospheric transport, and losses to surface through dry deposition (Monks et al., 2015). The photolysis of nitrogen dioxide (NO<sub>2</sub>) in the presence of sunlight is the only known way of producing O<sub>3</sub> in the troposphere (Logan, 1985). O<sub>3</sub> can recombine with nitric oxide (NO) to regenerate NO<sub>2</sub>, which will again undergo photolysis to regenerate O<sub>3</sub> and NO. This
- 25 continuous process is known as the NO<sub>x</sub>-dependent photostationary state (PSS) and results in no net production or consumption of ozone (null cycle). However, net production of O<sub>3</sub> in the troposphere occurs outside the PSS when peroxy radicals (HO<sub>2</sub> and RO<sub>2</sub>) alter the PSS by oxidising NO to produce 'new' NO<sub>2</sub> (Cazorla and Brune, 2010), resulting in net O<sub>3</sub> production. The main source of these peroxy radicals in the atmosphere is the reaction of the hydroxyl radical
- 30 (OH•) with volatile organic compounds (VOCs) or carbon monoxide (CO) (Cazorla and Brune, 2010).

 $O_3$  precursor species can be emitted from natural and anthropogenic sources. Fossil fuel combustion is considered to be the main source of NO<sub>x</sub> in South Africa, which includes coal-fired

power-generation, petrochemical operations, transportation and residential burning (Wells et al., 1996; Held et al., 1996). Satellite observations indicate a well-known NO<sub>2</sub> hotspot over the South African Highveld (Lourens et al., 2012) attributed to industrial activity in the region. CO is produced from three major sources, i.e. fossil fuel combustion, biomass burning, as well as the oxidation of

- 5 methane (CH<sub>4</sub>) and VOCs (Novelli et al., 1992). Anthropogenic sources of VOCs are largely due to industrial and vehicular emissions (Jaars et al., 2014), while biogenic VOCs are also naturally emitted (Jaars et al., 2016). Regional biomass burning, which includes household combustion for space heating and cooking, agricultural waste burning and open biomass burning (wild fires), is a significant source of CO, NO<sub>x</sub> and VOCs (Macdonald et al., 2011; Crutzen and Andreae, 1990;
- 10 Galanter et al., 2000; Simpson et al., 2011) in southern Africa. In addition, stratospheric intrusions of O<sub>3</sub>-rich air to the free troposphere can also lead to elevated tropospheric O<sub>3</sub> concentrations (Diab et al., 1996; Diab et al, 2004). O<sub>3</sub> production from natural precursor sources, the long-range transport of O<sub>3</sub> and the injections from stratospheric O<sub>3</sub> contribute to background O<sub>3</sub> levels, which is beyond the control of regulators (Lin et al., 2012).

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Since O<sub>3</sub> concentrations are regulated in South Africa, O<sub>3</sub> monitoring is carried out across South Africa through a network of air quality monitoring stations established mainly by provincial governments, local municipalities and industries (http://www.saaqis.org.za). High O<sub>3</sub> concentrations are observed in many areas within the interior of South Africa, which exceed the South African standard O<sub>3</sub> limit, i.e. an eight-hour moving average of 61 ppb (e.g. Laakso et al., 2013). These exceedances can be attributed to high anthropogenic emissions of NO<sub>x</sub> and VOCs in dense urban and industrial areas (Jaars et al., 2014), regional biomass burning (Lourens et al., 2011), and O<sub>3</sub> conducive meteorological conditions (e.g. sunlight). Since O<sub>3</sub> is a secondary pollutant, high levels of O<sub>3</sub> can also be found in rural areas downwind of city centres and industrial areas. In order for South Africa to develop an effective management plan to reduce O<sub>3</sub> concentrations by controlling NO<sub>x</sub> and VOC emissions, it is important to determine whether a region is NO<sub>x</sub>- or VOC-limited. However, O<sub>3</sub> production has a complex and non-linear dependence on precursor emissions (e.g. National Research NRC, 1991), which makes its atmospheric levels

30 increase with increasing VOCs, while a region is considered NO<sub>x</sub>-limited when O<sub>3</sub> production increases with increasing NO<sub>x</sub> concentrations. Results from a photochemical box model study in South Africa, for instance, revealed that the Johannesburg-Pretoria megacity is within a VOC-limited regime (Lourens et al., 2016). VOC reductions would, therefore, be most effective in reducing O<sub>3</sub>, while NO<sub>x</sub> controls without VOC controls may lead to O<sub>3</sub> increases. In general, it is

difficult to control (Holloway and Wayne, 2010). Under VOC-limited conditions, O<sub>3</sub> concentrations

considered that  $O_3$  formations in regions close to anthropogenic sources are VOC-limited, while rural areas distant from source regions are NO<sub>x</sub>-limited (Sillman, 1999).

Previous assessments of tropospheric O<sub>3</sub> over continental South Africa have focused on surface
O<sub>3</sub> (Venter et al., 2012; Laakso et al., 2012; Lourens et al., 2011; Josipovic et al., 2010; Zunckel et al., 2004), as well as free tropospheric O<sub>3</sub> based on soundings and aircraft observations (Diab et al., 1996; Thompson, 1996; Swap et al., 2003; Diab et al., 2004). Two major field campaigns (SAFARI-92 and SAFARI 2000) were conducted to improve the understanding of the effects of regional biomass burning emissions on O<sub>3</sub> over southern Africa. These studies indicated a late winter-early spring (August and September) maximum over the region that was mainly attributed to increased regional open biomass burning during this period, while Lourens et al. (2011) also attributed higher O<sub>3</sub> concentrations in spring in the Mpumalanga Highveld to increased regional open biomass burning. A more recent study demonstrated that NO<sub>x</sub> strongly affects O<sub>3</sub> levels in the Highveld, especially in winter and spring (Balashov et al., 2014). A regional photochemical modelling study (Zunckel et al., 2006) has attempted to explain surface O<sub>3</sub> variability, which found

no dominant source/s on elevated O<sub>3</sub> levels.

The aim of the current study is to provide an up-to-date assessment of the seasonal and diurnal variations in surface  $O_3$  concentrations over continental South Africa, as well as to identify local

20 and regional sources of precursors contributing to surface O<sub>3</sub>. Another objective is to use available ambient data to qualitatively assess whether O<sub>3</sub> formation is NO<sub>x</sub>- or VOC-limited in different environments. An understanding of the key precursors that control surface O<sub>3</sub> production is critical for the development of an effective O<sub>3</sub> control strategy.

# 25 2. Methodology

# 2.1 Study area and measurement stations

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Continuous in-situ O<sub>3</sub> measurements obtained from four research stations in the north-eastern interior of South Africa, indicated in Fig. 1, which include Botsalano (25.54° S, 25.75° E, 1420 m a.s.l.), Marikana (25.70° S, 27.48° E, 1170 m a.s.l.), Welgegund (26.57 ° S, 26.94° E, 1480 m a.s.l.) and Elandsfontein (26.25° S, 29.42° E, 1750 m a.s.l.), were analysed. This region is the largest industrial (indicated by major point sources in Fig. 1) area in South Africa, with substantial gaseous and particulate emissions from numerous industries, domestic fuel burning and vehicles

(Lourens et al., 2012; Lourens et al., 2011), while the Johannesburg-Pretoria megacity is also located in this area (Fig. 1). A combination of meteorology and anthropogenic activities has amplified the pollution levels within the region. The seasons in South Africa correspond to typical austral seasons, i.e. winter from June to August, spring from September to November, summer

- 5 from December to February and autumn from March to May. The climate is semi-arid with an annual average precipitation of approximately 400 to 500 mm (Klopper et al., 2006; Dyson et al., 2015), although there is considerable inter-annual variability associated with El Niño Southern Oscillation (ENSO) phenomena. Precipitation in the north-eastern interior occurs mostly during the austral summer, from October to March, whereas the region is characterised by a distinct cold
- 10 and dry season from May to September, i.e. late autumn to mid-spring, during which almost no precipitation occurs. During this period, the formation of several inversion layers is present in the region, which limits the vertical dilution of air pollution, while more pronounced anticyclonic recirculation of air masses also occurs. This synoptic-scale meteorological environment leads to an accumulation of pollutants in the lower troposphere in this region, which can be transported
- 15 for several days (Tyson and Preston-Whyte, 2000; Garstang et al., 1996). The SAFARI-92 and SAFARI 2000 campaigns indicated that locations in southern Africa, thousands of kilometres apart, are linked through regional anticyclonic circulation (Swap et al., 2003).



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Fig. 1. Location of the four measurement sites in South Africa

#### 2.1.1 Botsalano

The Botsalano measurement site is situated in a game reserve in the North West Province of South Africa, which is considered to be representative of regional background air. The 5 surrounding vegetation is typical of a savannah biome, consisting of grasslands with scattered shrubs and trees (Laakso et al., 2008). The area is guite sparsely populated and has no local anthropogenic pollution sources (Laakso et al., 2008; Vakkari et al., 2013). The western Bushveld Igneous Complex, where numerous platinum, base metal, vanadium and chromium mining/smelting industries are situated, is the largest regional anthropogenic pollution source, with the Rustenburg area located approximately 150 km to the east. Botsalano is also 10 occasionally impacted by plumes passing over the industrialised Mpumalanga Highveld and the Johannesburg-Pretoria megacity (Laakso et al., 2008; Vakkari et al., 2011). In addition, the site is influenced by seasonal regional savannah wildfires during the dry period (Laakso et al., 2008; Vakkari et al., 2011; Mafusire et al., 2016). Measurements were conducted from 20 July 2006 until 5 February 2008 (Laakso et al., 2008; Vakkari et al., 2011; Vakkari et al., 2013). 15

# 2.1.2 Marikana

The Marikana measurement site is located within the western Bushveld Igneous Complex, which is a densely populated and highly industrialised region, where mining and smelting are the predominant industrial activities. Marikana is a small mining town located approximately 30 km east of Rustenburg and approximately 100 km northwest of Johannesburg. The measurement site is located in the midst of a residential area, comprising low-cost housing settlements and municipal buildings (Hirsikko et al., 2012; Venter et al., 2012). Anthropogenic emissions from household combustion, traffic and industry in the wider region have a strong influence on the measurement site (Venter et al., 2012). Data was collected from 8 February 2008 to 16 May 2010 and has been previously used in other studies (Venter et al., 2012; Vakkari et al., 2013; Petäjä et al., 2013; Hirsikko et al., 2012; Hirsikko et al., 2013).

# 30 2.1.3 Welgegund

This measurement site is approximately 100 km west of Johannesburg and is located on a commercial arable and pastoral farm. The station is surrounded by grassland savannah (Jaars et al., 2016). The station can be considered a regionally representative background site with few

local anthropogenic sources. Air masses arriving at Welgegund from the west reflect a relatively clean regional background. However, the site is, similar to the Botsalano station, at times impacted by polluted air masses that are advected over major anthropogenic source regions in the interior of South Africa, which include the western Bushveld Igneous Complex, the Johannesburg-Pretoria megacity, the Mpumalanga Highveld and the Vaal Triangle (Tiitta et al., 2014; Jaars et al., 2016; Venter et al., 2017). In addition, Welgegund is also affected by regional savannah and grassland fires that are common in the dry season (Vakkari et al., 2014). The atmospheric measurement station has been operating at Welgegund since 20 May 2010, with data measured up until 31 December 2015 utilised in this study.

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### 2.1.4 Elandsfontein

Elandsfontein is an ambient air quality monitoring station operated by Eskom, the national electricity supply company, primarily for legislative compliance purposes. This station was upgraded and co-managed by researchers during the EUCAARI project (Laakso et al., 2012). The Elandsfontein station is located within the industrialised Mpumalanga Highveld at the top of a hill approximately 200 km east of Johannesburg and 45 km south-southeast of eMalahleni (previously known as Witbank), which is a coal mining area (Laakso et al., 2012). The site is influenced by several emission sources, such as coal mines, coal-fired power-generating stations,

20 a large petrochemical plant and traffic emissions. Metallurgical smelters to the north also frequently impact the site (Laakso et al., 2012). The Elandsfontein dataset covers the period 11 February 2009 until 31 December 2010 during the EUCAARI campaign (Laakso et al., 2012).

# 2.2 Measurements

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A comprehensive dataset of continuous measurements of surface aerosols, trace gases and meteorological parameters has been acquired through these four measurement sites (Laakso et al., 2008; Vakkari et al., 2011; Venter et al., 2012; Laakso et al., 2012; Vakkari et al., 2013; Petäjä et al., 2013). In particular, ozone (O<sub>3</sub>, nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>) and carbon monoxide (CO), as well as meteorological parameters, such as temperature (°C) and relative humidity (%) measurements were used in this study. Note that Botsalano, Marikana and Welgegund measurements were obtained with the same mobile station (first located at Botsalano, then relocated to Marikana and thereafter permanently positioned at Welgegund), while Elandsfontein measurements were conducted with a routine monitoring station. O<sub>3</sub> concentrations

at Welgegund, Botsalano and Marikana research stations were measured using the Environment SA 41M O<sub>3</sub> analyser, while a Monitor Europe ML9810B O<sub>3</sub> analyser was utilised at Elandsfontein. CO concentrations were determined at Welgegund, Botsalano and Marikana with a Horiba APMA-360 analyser, while CO was not measured at Elandsfontein.  $NO_x$  (NO+NO<sub>2</sub>) concentrations were

- 5 determined with a Teledyne 200AU NO/NO<sub>x</sub> analyser at Welgegund, Botsalano and Marikana, whereas a Thermo Electron 42i NOx analyser was used at Elandsfontein. Temperature and relative humidity were measured with a Rotronic MP 101A instrument at all the sites.
- Data quality at these four measurement sites was ensured through regular visits to the sites, during which instrument maintenance and calibrations were performed. The data collected from these four stations was subjected to detailed cleaning (e.g. excluding measurements recorded during power interruptions, electronic malfunctions, calibrations and maintenance) and the verification of data quality procedures (e.g. corrections were made to data according to in-situ calibrations and flow-checks). Therefore, the datasets collected at all four measurement sites are considered to represent high quality, high resolution measurements as indicated by other papers (Laakso et al., 2008; Petäjä et al., 2013; Venter et al., 2012; 2011; Laakso et al., 2012; Vakkari et al., 2013). Detailed descriptions of the data post-processing procedures were presented by Laakso et al. (2008) and Venter et al. (2012). The data was available as 15-minute averages and all plots using local time (LT) refer to local South African time, which is UTC+2.

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In order to obtain a representative spatial coverage of continental South Africa, O<sub>3</sub> data from an additional 54 ambient monitoring sites was selected. These included O<sub>3</sub> measurements from 18 routine monitoring station measurements (SAAQIS) for the period January 2012 to December 2014 (downloaded from the JOIN web interface <u>https://join.fz-juelich.de</u> (Schultz et al., 2017)) and

- 25 36 passive sampling sites located in the north-eastern interior of South Africa where monthly O<sub>3</sub> concentrations were determined for two years from 2006 to 2007 (Josipovic, 2009). Spatial analyses were conducted with a geographic information system mapping tool (ArcGIS software), which used ordinary kriging to interpolate the O<sub>3</sub> concentrations measured at the 58 sites in order to build the spatial distribution. The interpolation method involved making an 80/20% split of the
- 30 data (80% for model development, 20% for evaluation), where 20% were used to calculate the root squared mean error (RSME = 0.2804331). Optimal model parameters were selected using an iterative process and evaluated on the basis of the best performance statistics obtained (reported in the ArcGIS kriging output), with particular emphasis on minimising the RSME. The

extent of area was 23.00154974 (top), -29.03070026 (bottom), 25.74238974 (left) and 32.85246366 (right).

### 2.3 Air mass history

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Individual hourly four-day back trajectories for air masses arriving at an arrival height of 100 m above ground-level were calculated for the entire measurement period at each monitoring site, using HYSPLIT 4.8 (Hybrid Single-Particle Langrangian Integrated Trajectory model) (Stein et al., 2015; Draxler and Hess, 1998). The model was run with the GDAS meteorological archive produced by the US National Weather Service's National Centre for Environmental Prediction (NCEP) and archived by ARL (Air Resources Laboratory, 2017). Overlay back trajectory maps were generated by superimposing individual back trajectories onto a southern African map divided into 0.5° X 0.5° grid cells. In addition, source maps were compiled by assigning each grid cell with a mean measured O<sub>3</sub> and CO concentration associated with trajectories passing over that cell, similar to previous methods (Vakkari et al., 2011; Vakkari et al., 2013; Tiitta et al., 2014). A minimum of ten trajectories per cell were required for the statistical reliability.

2.4 Modelling instantaneous production rate of O<sub>3</sub>

- The only speciated VOC dataset available and published in South Africa exists for Welgegund (Jaars et al., 2016; Jaars et al., 2014), which could be used to model instantaneous O<sub>3</sub> production at this site. The concentration of these biogenic and anthropogenic VOCs was obtained from grab samples taken between 11:00 and 13:00 LT over the course of two extensive field campaigns conducted from February 2011 to February 2012 and from December 2013 to February 2015. During this time, six trace gases, 19 biogenic VOCs and 20 anthropogenic VOCs, including 13 aromatic and seven aliphatic compounds were measured. The VOC reactivity was calculated from the respective rate coefficients of each VOC with 'OH radicals obtained from chemical kinetic
- databases such as JPL, NIST and the MCM (e.g. Jaars et al., 2014) to estimate ozone production at 11:00 LT at Welgegund. Specifically, each VOC reactivity was then summed to obtain the total
  VOC reactivity for each measurement, i.e. VOC reactivity = ∑k<sub>1</sub>[VOC]<sub>*i*</sub>. The major contributors to VOC reactivity are depicted in Fig. A1 and include, in approximate order of contribution, *o*-xylene, CO, styrene, *p*,*m*-xylene, toluene, ethylbenzene limonene, isoprene, α-pinene, β-pinene and hexane. Of note, key compounds such as methane are not included, which could contribute to

VOC reactivity, and therefore this VOC reactivity can only be a lower estimate. However, if a

global ambient concentration of 1.85 ppm and a rate of oxidation by  $^{\circ}$ OH radicals of  $6.68 \times 10^{-15}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> are assumed (Srinivasan et al., 2005), a VOC reactivity of 0.3 s<sup>-1</sup> would be obtained and would therefore account for a small increase in the VOC reactivity calculated in Fig. A1 and Fig. 10.

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A mathematical box-model was applied to model  $O_3$  production as a function of VOC reactivity and NO<sub>2</sub> concentrations. This model involves three steps, i.e. (1) the estimation of HO<sub>x</sub> (sum of •OH and HO<sub>2</sub>• radicals) production, (2) the estimation of the •OH radical concentration, and (3) the calculation for O<sub>3</sub> production (Murphy et al., 2006; Geddes et al., 2009). The VOC concentrations are the limiting factor in the ability to model O<sub>3</sub> production at Welgegund, since only data for the 11:00 to 13:00 LT grab samples was available (Fig. A1). Therefore, the model approach does not coincide with peak O<sub>3</sub> typically observed around 14:00 to 15:00 LT, and therefore likely represents a lower estimate.

15 The production rate of HO<sub>x</sub> (P(HO<sub>x</sub>)) depends on the photolysis rate of O<sub>3</sub> (J<sub>O3</sub>), concentration of O<sub>3</sub> and vapour pressure of water (Jaegle et al., 2001). The photolysis rate proposed for the Southern Hemisphere, i.e.  $J_{O3} = 3 \times 10^{-5} \text{ s}^{-1}$  (Wilson, 2015), was used, from which P(HO<sub>x</sub>) was calculated as follows:

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$$P(HO_X) = 2J_{03}k_{03}[O_3][H_2O]$$

and estimated to be  $6.09 \times 10^6$  molec cm<sup>-3</sup> s<sup>-1</sup> or 0.89 ppbv h<sup>-1</sup> (calculated for a campaign O<sub>3</sub> average of 41 ppbv and a campaign RH average of 42 % at 11:00 LT each day) at STP. The P(HO<sub>x</sub>) at Welgegund is approximately a factor of two lower compared to other reported urban P(HO<sub>x</sub>) values (Geddes et al., 2009). The factors and reactions that affect [•OH] include:

- linear dependency between •OH and NO<sub>x</sub> due to the reaction NO + HO<sub>2</sub>  $\rightarrow$  •OH + NO<sub>2</sub>, until •OH begins to react with elevated NO<sub>2</sub> concentrations to form HNO<sub>3</sub> (OH + NO<sub>2</sub> + M $\rightarrow$  HNO<sub>3</sub> + M);
- $P(HO_x)$  is affected by solar irradiance, temperature,  $O_3$  concentrations, humidity; and

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• partitioning of HO<sub>x</sub> between RO<sub>2</sub>, HO<sub>2</sub>, OH.

[•OH] was calculated at 11:00 LT each day as follows:

$$A = k_{5eff} \left(\frac{VOC \ reactivity}{k_{2eff}[NO]}\right)^2$$

$$B = k_4[NO_2] + \alpha * VOC \ reactivity$$
$$C = P(HO_x)$$
$$[OH] = \frac{-B + \sqrt{B^2 + 24C * A}}{12 * A}$$

5 The instantaneous production rate of O<sub>3</sub>, P(O<sub>3</sub>) could then be calculated as a function of NO<sub>2</sub> levels and VOC reactivity. A set of reactions used to derive the equations that describe the dependence of the •OH, peroxy radicals (HO<sub>2</sub>•+RO<sub>2</sub>•) and P(O<sub>3</sub>) on NO<sub>x</sub> is given by Murphy et al. (2006), which presents the following equation to calculate P(O<sub>3</sub>):

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$$P(O_3) = k_{2eff}[HO_2 + RO_2][NO] = 2 * VOC Reactivity * [OH]$$

where  $k_2$ eff is the effective rate constant of NO oxidation by peroxy radicals (chain propagation and -termination reactions in the production of O<sub>3</sub>). The values of the rate constants and other parameters used as input parameters to solve the equation above can be found in Murphy et al. (2006) and Geddes et al. (2009).

### 3. Results and discussion

# 3.1 Temporal variation of O<sub>3</sub>

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In Fig. 2, the monthly and diurnal variations for O<sub>3</sub> concentrations measured at the four sites in this study are presented (time series plotted in Fig. A2). Although there is some variability between the sites, monthly O<sub>3</sub> concentrations show a well-defined seasonal variation at all four sites, with maximum concentrations occurring in late winter and spring (August to November), which is expected for the South African interior as indicated above and previously reported (Zunckel et al., 2004; Diab et al., 2004). In Fig., A3 monthly averages of meteorological parameters and total monthly rainfall for Welgegund are presented to indicate typical seasonal meteorological patterns for continental South Africa. These O<sub>3</sub> peaks in continental South Africa generally point to two

major contributors of O<sub>3</sub> precursors, i.e. open biomass burning (wild fires) (Vakkari et al., 2014),

30 and increased low-level anthropogenic emissions, e.g. increased household combustion for space heating and cooking (Oltmans et al., 2013; Lourens et al., 2011). In addition to the seasonal patterns of O<sub>3</sub> precursor species, during the dry winter months, synoptic scale recirculation is more predominant and inversion layers are more pronounced, while precipitation is minimal (e.g. Tyson and Preston-Whyte, 2000). These changes in meteorology result in the build-up of precursor species that reach a maximum in August/September when photochemical activity starts to increase. The diurnal concentration profiles of  $O_3$  at the four locations follow the typical photochemical cycle, i.e. increasing during daytime in response to maximum photochemical

5 production and decreasing during the night-time due to titration with NO. O<sub>3</sub> levels peaked from midday to afternoon, with a maximum at approximately 15:00 (LT, UTC+2). From Fig. 2, it is also evident that night-time titration of O<sub>3</sub> at Marikana is more pronounced as indicated by the largest difference between daytime and night-time O<sub>3</sub> concentrations in comparison to the other sites, especially compared to Elandsfontein where night-time concentrations of O<sub>3</sub> remain relatively high

10 in winter.



15 Fig. 2. Seasonal and diurnal variation of median O<sub>3</sub> concentrations at Welgegund, Botsalano, Marikana and Elandsfontein. The O<sub>3</sub> measurement periods varied among sites, which combined spanned a period from July 2006 to December 2015.

#### 3.2 Spatial distribution of O<sub>3</sub> in continental South Africa

Fig. 3 depicts the spatial pattern of mean surface  $O_3$  concentrations over continental South Africa 5 during springtime (S-O-N), when  $O_3$  is usually at a maximum as indicated above. Also presented in Fig. 3, are 96-hour overlay back trajectory maps for the four main study sites over the corresponding springtime periods. The mean O<sub>3</sub> concentration over continental South Africa ranged from 20 ppb to 60 ppb during spring. From Fig. 3, it can be seen that  $O_3$  concentrations at the industrial sites Marikana and Elandsfontein were higher than O<sub>3</sub> levels at Botsalano and 10 Welgegund. As mentioned previously, Elandsfontein is located within the industrialised Mpumalanga Highveld with numerous large point sources of  $O_3$  precursor species. It is also evident from Fig. 3 that rural measurement sites downwind from Elandsfontein, such as Amersfoort, Harrismith and Glückstadt had significantly higher O<sub>3</sub> concentrations, which can be attributed to the formation of  $O_3$  during the transport of precursor species from source regions. 15 Lourens et al. (2011) indicated that higher O<sub>3</sub> concentrations were associated with sites positioned in more rural areas in the Mpumalanga Highveld. Venter et al. (2012) attributed high  $O_3$ concentrations at Marikana, which exceeded South African standard limits on a number of occasions, to the influence of local household combustion for cooking and space heating, as well as to regional air masses with high  $O_3$  precursor concentrations. Higher  $O_3$  concentrations were also measured in the north-western parts of Gauteng, at sites situated within close proximity to 20

the Johannesburg-Pretoria megacity, while the rural Vaalwater site in the north also has significantly higher O<sub>3</sub> levels. From Fig. 3, it is evident that O<sub>3</sub> can be considered a regional problem with O<sub>3</sub> concentrations being relatively high across continental South Africa during spring. Fig. 3 also clearly indicates that the four research sites where surface  $O_3$  was assessed in this study are representative of continental South Africa.



**Fig. 2.** The main (central) map indicating spatial distribution of mean surface  $O_3$  levels during springtime over the north-eastern interior of southern Africa ranging between 23.00 ° S and 29.03 ° S, and 25.74 ° E and 32.85 ° E. The data for all sites was averaged for years when the ENSO cycle was not present (by examining SST anomalies in the Niño 3.4 region). Black dots indicate the sampling sites. The smaller maps (top and bottom) indicate 96-hour overlay back trajectory maps for the four main study sites, over the corresponding springtime periods.

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# 3.3 Comparison with international sites

- In an effort to contextualise the O<sub>3</sub> levels measured in this study, the monthly O<sub>3</sub> concentrations measured at Welgegund were compared to monthly O<sub>3</sub> levels measured at monitoring sites in other parts of the world (downloaded from the JOIN web interface <u>https://join.fz-juelich.de</u> (Schultz et al., 2017)) as indicated in Fig. 4. Welgegund was used in the comparison since it had the most extensive data record, while the measurement time period considered was from May 2010 to
- December 2014. The seasonal O<sub>3</sub> cycles observed at other sites in the Southern Hemisphere are comparable to the seasonal cycle at Welgegund, with slight variations in the time of year when O<sub>3</sub> peaks as indicated in Fig. 4. Cape Grim, Australia; GoAmazon T3 Manancapuru, Brazil; Ushuaia, Argentina; and El Tololo, Chile are regional background GAW (Global Atmosphere Watch) stations with O<sub>3</sub> levels lower than the South African sites. However, the O<sub>3</sub> concentrations
- 20 at El Tololo, Chile are comparable to Welgegund. Oakdale, Australia and Mutdapliiy, Australia are semi-rural and rural locations, which are influenced by urban and industrial pollution sources, which also had lower O<sub>3</sub> concentrations compared to Welgegund.



**Fig. 4.** Seasonal cycle of  $O_3$  at rural sites in other parts of the world. The dots indicate monthly median (50<sup>th</sup> percentile) and the upper and lower limits the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively for monthly  $O_3$  concentrations. The data is averaged from May 2010 to December 2014, except

5 in a few instances where 2014 data was not available.

The Northern Hemispheric  $O_3$  peak over mid-latitude regions is similar to seasonal patterns in the Southern Hemisphere where a springtime  $O_3$  maximum is observed (i.e. Whiteface Mountain Summit, Beltsville, Ispra, Ryori and Seokmo-Ri Ga). However, there are other sites in the Northern Hemisphere where a summer maximum is more avident (Vingerzen 2004), i.e., Jackwa Trace and

- 10 Hemisphere where a summer maximum is more evident (Vingarzan, 2004), i.e. Joshua Tree and Hohenpeissenberg. The discernible difference between the hemispheres is that the spring maximum in the Southern Hemisphere refers to maximum O<sub>3</sub> concentrations in late winter and early spring, while in the Northern Hemisphere, it refers to a late spring and early summer O<sub>3</sub> maximum (Cooper et al., 2014). The spring maximum in the Northern Hemisphere is associated
- 15 with stratospheric intrusions (Zhang et al., 2014; Parrish et al., 2013), while the summer maximum is associated with photochemical O<sub>3</sub> production from anthropogenic emissions of O<sub>3</sub> precursors being at its highest (Logan, 1985; Chevalier et al., 2007). Maximum O<sub>3</sub> concentrations at background sites in the United States and Europe are similar to values at Welgegund in spring with the exception of Joshua Tree National Park in the United States, which had significantly
- higher O<sub>3</sub> levels. This is most likely due its high elevation and deep boundary layer (~4 km asl) during spring and summer allowing free tropospheric O<sub>3</sub> to be more effectively mixed down to the surface (Cooper et al., 2014). Maximum O<sub>3</sub> levels at the two sites in East Asia (Ryori and Seokmo-Ri Ga) were also generally higher than at Welgegund, especially at Seokmo-Ri Ga.

#### 3.4 Sources contributing to surface O<sub>3</sub> in continental South Africa

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As indicated above (section 3.1), the O<sub>3</sub> peaks in continental South Africa usually reflect increased concentrations of precursor species from anthropogenic sources during winter, as well as the occurrence of regional open biomass burning in late winter and early spring. In addition, stratospheric  $O_3$  intrusions during spring (Lefohn et al., 2014) could also partially contribute to increased surface O<sub>3</sub> levels.

#### 3.4.1 Anthropogenic and open biomass burning emissions

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A comparison of the O<sub>3</sub> seasonal cycles at background and polluted locations is useful for source attribution. From Fig. 3, it is evident that daytime O<sub>3</sub> levels peaked at Elandsfontein, Marikana and Welgegund during late winter and spring (August to October), while  $O_3$  levels at Botsalano peaked later in the year during spring (September to November). This suggests that Elandsfontein, Marikana and Welgegund were influenced by increased levels of  $O_3$  precursors from 15 anthropogenic and open biomass burning emissions (i.e. NOx and CO indicated in Fig. A4 and Fig. A5, respectively – time series plotted in Fig. A7 and A8), while  $O_3$  levels at Botsalano were predominantly influenced by regional open biomass burning (Fig. A5). Although Welgegund and Botsalano are both background sites, Botsalano is more removed from anthropogenic source regions than Welgegund is (section 2.1.3), which is therefore not directly influenced by the

20 increased concentrations of O<sub>3</sub> precursor species associated with anthropogenic emissions during winter. Daytime O<sub>3</sub> concentrations were the highest at Marikana throughout most of the year, which indicates the influence of local and regional sources of  $O_3$  precursors at this site (Venter et al., 2012). In addition, a larger difference between O<sub>3</sub> concentrations in summer and winter/spring is observed at Marikana compared to Welgegund and Botsalano, which can be 25 attributed to local anthropogenic emissions (mainly household combustion) of O<sub>3</sub> precursors at Marikana.

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O<sub>3</sub> concentrations at Elandsfontein were lower compared to the other three sites throughout the year, with the exception of the winter months (June to August). The major point sources at Elandsfontein include NO<sub>x</sub> emissions from coal-fired power stations and are characterised by high-stack emissions, which are emitted above the low-level night-time inversion layers. During day time, downwards mixing of these emitted species occurs, which results in daytime peaks of NO<sub>x</sub> (as indicated in Fig. A4 and by Collett et al., 2010) and subsequent O<sub>3</sub> titration. In contrast,

Venter et al. (2012) indicated that, at Marikana, low-level emissions associated with household combustion for space heating and cooking were a significant source of  $O_3$  precursor species, i.e.  $NO_x$  and CO. The diurnal pattern of  $NO_x$  and CO (Fig. A4 and Fig. A5, respectively) at Marikana was characterised by bimodal peaks during the morning and evening, which resulted in increased

- 5 O<sub>3</sub> concentrations during daytime and night-time titration of O<sub>3</sub>, especially during winter. Therefore, the observed differences in night-time titration at Marikana and Elandsfontein can be attributed to different sources of O<sub>3</sub> precursors, i.e. mainly low-level emissions (household combustion) at Marikana (Venter et al., 2012) compared to predominantly high-stack emissions at Elandsfontein (Collette et al., 2010). The higher O<sub>3</sub> concentrations at Elandsfontein during
- 10 winter are most likely attributed to the regional increase in  $O_3$  precursors.

et al., 2002), which are presented in Fig. 6.

The spring maximum O<sub>3</sub> concentrations can be attributed to increases in widespread regional biomass burning in this region during this period (Vakkari et al., 2014; Lourens et al., 2011). Biomass burning has strong seasonality in southern Africa, extending from June to September

(Galanter et al., 2000), and is an important source of O<sub>3</sub> and its precursors during the dry season. In an effort to elucidate the influence of regional biomass burning on O<sub>3</sub> concentrations in continental South Africa, source area maps of O<sub>3</sub> were compiled by relating O<sub>3</sub> concentrations measured with air mass history, which are presented in Fig. 5 (a). Source area maps were only generated for the background sites Welgegund and Botsalano, since local sources at the industrial sites Elandsfontein and Marikana would obscure the influence of regional biomass burning. In addition, maps of spatial distribution of fires during 2007, 2010 and 2015 were

compiled with the MODIS collection 5 burnt area product (Roy et al., 2008; Roy et al., 2005; Roy



**Fig. 5.** Source area maps of (a)  $O_3$  concentrations and (b) CO concentrations for the background sites Welgegund and Botsalano. The black star represents the measurement site and the colour of each pixel represents the mean concentration of the respective gas species. At least ten observations per pixel are required.



**Fig. 7.** Spatial distribution of fires in 2007, 2010 and 2015 from MODIS burnt area product. Blue stars indicate (from left to right) Botsalano, Welgegund, Marikana and Elandsfontein.

- 5 The highest O<sub>3</sub> concentrations measured at Welgegund and Marikana were associated with air masses passing over a sector north to north-east of these sites, i.e. southern and central Mozambique, southern Zimbabwe and south-eastern Botswana. O<sub>3</sub> concentrations associated with air masses passing over central and southern Mozambique were particularly high. In addition to O<sub>3</sub> source maps, CO source maps were also compiled for Welgegund and Botsalano, as
- indicated in Fig. 5 (b). It is evident that the CO source maps indicated a similar pattern than that observed for O<sub>3</sub> with the highest CO concentrations corresponding with the same regions where O<sub>3</sub> levels are the highest. From the fire maps in Fig. 6, it can be observed that a large number of fires occur in the sector, associated with higher O<sub>3</sub> and CO concentrations, with the fire map indicating, especially, a high fire frequency occurring in central Mozambique. During 2007, more
- 15 fires occurred in Botswana compared to the other two years, which is also reflected in the higher O<sub>3</sub> levels measured at Botsalano during that year for air masses passing over this region. Open biomass burning is known to emit more CO than NO<sub>x</sub>, while CO also has a relatively long atmospheric lifetime (1 to 2 months, Kanakidou and Crutzen, 1999) compared to NO<sub>x</sub> (6 to 24 hours, Beirle et al., 2003) and VOCs (few hours to a few weeks, Kanakidou and Crutzen, 1999)
- 20 emitted from open biomass burning. Enhanced CO concentrations have been used previously to characterise the dispersion of biomass burning emissions over southern Africa (Mafusire et al., 2016). Therefore, the regional transport of CO and VOCs (and NO<sub>x</sub> to a lesser extent) associated with biomass burning occurring from June to September in southern Africa can be considered an important source of surface O<sub>3</sub> in continental South Africa (Fig. A5).

### 3.4.2 Stratospheric O<sub>3</sub>

Elevated levels of tropospheric  $O_3$  may also be caused by stratospheric intrusion of  $O_3$ -rich air (Zhang et al., 2014; Parrish et al., 2013; Lin et al., 2012), especially on certain days during late

- 5 winter and spring when O<sub>3</sub> is the highest on the South African Highveld (Thompson et al., 2014). However, the importance of the stratospheric source over continental South Africa has not yet been specifically addressed. The assessment of meteorological fields and air quality data at highelevation sites is required to determine the downward transport of stratospheric O<sub>3</sub>. Alternatively, stratospheric O<sub>3</sub> intrusions can be estimated through concurrent in-situ measurements of ground-
- 10 level O<sub>3</sub>, CO and humidity, since stratospheric intrusions of O<sub>3</sub> into the troposphere are characterised by elevated levels of O<sub>3</sub>, high potential vorticity, low levels of CO and low water vapour (Stauffer et al., 2017; Thompson et al., 2015; Thompson et al., 2014). Thompson et al. (2015) defined low CO as 80 to 110 ppbv, while low relative humidity (RH) is considered <15 %. In Fig. 7, the 95<sup>th</sup> percentile O<sub>3</sub> levels (indicative of "high O<sub>3</sub>") corresponding to low daily average
- 15 CO concentrations (< 100 ppb) are presented together with the daily average RH. Only daytime data from 07:00 to 18:00 (LT) was considered in order to exclude the influence of night-time titration. From Fig. 7, it is evident that very few days complied with the criteria indicative of stratospheric O<sub>3</sub> intrusion, i.e. high O<sub>3</sub>, low CO and low RH, which indicates a very small influence of stratospheric intrusion on surface O<sub>3</sub> levels. However, it must be noted that the attempt in this
- study to relate surface O<sub>3</sub> to stratospheric intrusions is a simplified qualitative assessment and more quantitative detection methods should be applied to understand the influence of stratospheric intrusions on surface O<sub>3</sub> for this region.



Fig. 7. Simultaneous measurements of O<sub>3</sub> (daily 95<sup>th</sup> percentile), CO (daily average ppb) and
5 RH (daily average) from 07:00 to 18:00 LT at Welgegund, Botsalano and Marikana.



Fig. 7. Continued.

## 5 3.5 Insights into the O<sub>3</sub> production regime

The relationship between O<sub>3</sub>, NO<sub>x</sub> and CO was used as an indicator to infer the O<sub>3</sub> production regime at Welgegund, Botsalano and Marikana (no CO measurements were conducted at Elandsfontein as indicated above), since no continuous VOC measurements were conducted at
each of these sites. However, as indicated in Section 2.4, a two-year VOC dataset was available for Welgegund (Jaars et al., 2016; Jaars et al., 2014), which was used to calculate the instantaneous production rate of O<sub>3</sub> as a function of NO<sub>2</sub> levels and VOC reactivity (Geddes et al., 2009; Murphy et al., 2006).

# 15 3.5.1 The relationship between NO<sub>x</sub>, CO and O<sub>3</sub>

In Fig. 8, the correlations between  $O_3$ ,  $NO_x$  and CO concentrations at Welgegund, Botsalano and Marikana are presented, which clearly indicate higher  $O_3$  concentrations associated with increased CO levels, while  $O_3$  levels remain relatively constant (or decrease) with increasing  $NO_x$ .

The highest O<sub>3</sub> concentrations occur for NO<sub>x</sub> levels below 10 ppb, since the equilibrium between photochemical production of O<sub>3</sub> and chemical removal of O<sub>3</sub> shifts towards the former, i.e. greater O<sub>3</sub> formation. In general, there seems to exist a marginal negative correlation between O<sub>3</sub> and NO<sub>x</sub> (Fig. A6) at all four sites, which is a reflection of the photochemical production of O<sub>3</sub> from  $NO_2$  and the destruction of  $O_3$  through  $NO_x$  titration. These correlations between  $NO_x$ , CO and  $O_3$  indicate that  $O_3$  production in continental South Africa is limited by CO (and VOCs) concentrations, i.e. VOC-limited.



**Fig. 8.** Mean  $O_3$  concentration averaged for  $NO_x$  and CO bins. Measurements were only taken from 11:00 to 17:00 LT when photochemical production of  $O_3$  is at a maximum.

- 10 This finding shows a strong correlation between O<sub>3</sub> on CO and suggests that high O<sub>3</sub> can be attributed to the oxidation of CO in the air masses, i.e. as long as there is a sufficient amount of NO<sub>x</sub> present in a region, CO serves to produce O<sub>3</sub>. Although NO<sub>x</sub> and VOCs are usually considered as the main precursors in ground-level O<sub>3</sub> formation, CO acts together with NO<sub>x</sub> and VOCs in the presence of sunlight to drive photochemical O<sub>3</sub> formation. According to Fig. 8,
- reducing CO emissions should result in a reduction in surface  $O_3$  and it is assumed that this response is analogous to that of VOCs. It is, however, not that simple, since the ambient NO<sub>x</sub> and VOCs concentrations are directly related to the instantaneous rate of production of  $O_3$  and not necessarily to the ambient  $O_3$  concentration at a location, which is the result of chemistry,

deposition and transport that have occurred over several hours or a few days (Sillman, 1999). Notwithstanding the various factors contributing to increased surface  $O_3$  levels, the correlation between ambient CO and  $O_3$  is especially relevant given the low reactivity of CO with respect to •OH radicals compared to most VOCs, which implies that the oxidation of CO probably takes

5 place over a timescale of several days. It seems that the role of CO is of major importance in tropospheric chemistry in this region, where sufficient NO<sub>x</sub> is present across continental South Africa and biogenic VOCs are relatively less abundant (Jaars et al., 2016), to fuel the O<sub>3</sub> formation process.

## 10 **3.5.2** Seasonal change in O<sub>3</sub>-precursors relationship

Seasonal changes in the relationship between O<sub>3</sub> and precursor species can be indicative of different sources of precursor species during different times of the year. In Fig. 9, the correlations between O<sub>3</sub> levels with NO<sub>x</sub> and CO are presented for the different seasons, which indicate seasonal changes in the dependence of elevated O<sub>3</sub> concentrations on these precursors. The very high CO concentrations relative to NO<sub>x</sub>, i.e. high CO to NO<sub>x</sub> ratios, are associated with the highest O<sub>3</sub> concentrations, which are most pronounced (highest CO/NO<sub>x</sub> ratios) during winter and spring. This indicates that the winter and spring O<sub>3</sub> maximum is primarily driven by increased peroxy radical production from CO and VOCs. The seasonal maximum in O<sub>3</sub> concentration coincides with the maximum CO concentration at the background sites, while the O<sub>3</sub> peak occurs just after June/July when CO peaked at the polluted site Marikana (Fig. A5). This observed seasonality in O<sub>3</sub> production signifies the importance of precursor species emissions from open biomass burning during winter and spring in this region, while household combustion for space heating and cooking is also an important source of O<sub>3</sub> precursors, as previously discussed. The

25 strong diurnal CO concentration patterns observed during winter at Marikana (Fig. A5) substantiate the influence of household combustion on CO levels as indicated by Venter et al. (2012).



**Fig. 9.** Seasonal plots of the relationship between  $O_3$ ,  $NO_x$  and CO at Welgegund, Botsalano and Marikana.

# 5 3.5.3 O<sub>3</sub> production rate

In Fig. 10,  $P(O_3)$  as a function of VOC reactivity calculated from the available VOC dataset for Welgegund (Section 2.4) and NO<sub>2</sub> concentrations is presented. O<sub>3</sub> production at Welgegund during two field campaigns, specifically at 11:00 LT, was found to range between 0 and 10 ppbv

 $h^{-1}$ . The average P(O<sub>3</sub>) over the 2011 to 2012 and the 2014 to 2015 campaigns combined were  $3.0 \pm 1.9$  ppbv h<sup>-1</sup> and  $3.2 \pm 3.0$  ppbv h<sup>-1</sup>, respectively. The dashed black line in Fig. 10, called the ridge line, separates the  $NO_x$ - and VOC-limited regimes. To the left of the ridge line is the  $NO_x$ limited regime, when  $O_3$  production increases with increasing NO<sub>x</sub> concentrations. The VOC-

- limited regime is to the right of the ridge line, when  $O_3$  production decreases with increasing NO<sub>x</sub>. 5 According to the  $O_3$  production plot presented, approximately 40% of the data is found in the VOC-limited regime area, which would support the regional  $O_3$  analysis conducted for continental South Africa in this study. However, the O<sub>3</sub> production plot for Welgegund transitions between NO<sub>x</sub>- and VOC-limited regimes, with Welgegund being in a NO<sub>x</sub>-limited production regime the
- 10 majority of the time, especially when  $NO_x$  concentrations are very low (<1 ppb). As indicated in section 2.4, limitations to this analysis include limited VOC speciation data, as well as a single time-of-day grab sample. The O<sub>3</sub> production rates can therefore only be inferred at 11:00 am LT despite O<sub>3</sub> concentrations peaking during the afternoon at Welgegund. Therefore, clean background air  $O_3$  production is most-likely NO<sub>x</sub>-limited (Tiitta et al., 2014), while large parts of



Fig. 10. Contour plot of instantaneous  $O_3$  production (P( $O_3$ )) at Welgegund using daytime (11:00 LT) grab sample measurements of VOCs and NO<sub>2</sub>. The blue dots represent the first campaign (2011-2012), and the red dots indicate the second campaign (2014-2015).

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15 the regional background of continental South Africa can be considered VOC-limited.

# 3.6 Implications for air quality management

#### 3.6.1 Ozone exceedances

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The South African National Ambient Air Quality Standard (NAAQS) for  $O_3$  is an eight-hour moving average limit of 61 ppbv with 11 exceedances allowed annually (Government Gazette Republic of South Africa, 2009). Fig. 11 shows the average number of days per month when this  $O_3$ standard limit was exceeded at the four measurement sites. It is evident that the daily eight-h- $O_3$ maximum concentrations regularly exceeded the NAAQS threshold for  $O_3$  and the number of exceedances annually allowed at all the sites, including the most remote of the four sites, Botsalano. At the polluted locations of Marikana and Elandsfontein, the  $O_3$  exceedances peak early on in the dry season (June onwards), while at the background locations of Welgegund and Botsalano, the highest numbers of exceedances occur later in the dry season (August to November). These relatively high numbers of  $O_3$  exceedances at all the sites (background and industrial) highlight the regional  $O_3$  problem in South Africa, with background sites being impacted by the regional transport of  $O_3$  precursors from anthropogenic and biomass burning source regions.



**Fig. 11.** Monthly number of exceedances of the daily 8-h-O<sub>3</sub>-max (i.e. highest value of all available 8-hour moving averages in that day) above 61 ppbv at Welgegund, Botsalano, Marikana and Elandsfontein.

#### 3.6.2 O<sub>3</sub> control strategies

As indicated above (sections 3.4 and 3.5), O<sub>3</sub> formation in the regions where Welgegund, Botsalano and Marikana are located can be considered VOC-limited, while the highly industrialised region with high NO<sub>x</sub> emissions where Elandsfontein is located could also be considered VOC-limited. Rural remote regions are generally considered to be NO<sub>x</sub>-limited due to the availability of NO<sub>x</sub> and the impact of BVOCs (Sillman, 1999). However, Jaars et al. (2016) indicated that BVOC concentrations at a savannah grassland were at least an order of magnitude

15 lower compared to other regions in the world. Therefore, very low BVOC concentrations, together with high anthropogenic emissions of NO<sub>x</sub> in the interior of South Africa, result in VOC-limited conditions at background sites in continental South Africa. It is evident that reducing CO- and VOC concentrations associated with anthropogenic emissions, e.g. household combustion, vehicular emissions and industries, would be the most efficient control strategy to reduce peak  $O_3$  concentrations in the interior of South Africa. It is also imperative to consider the seasonal variation in the CO and VOC source strength in managing  $O_3$ 

- 5 pollution in continental southern Africa. This study also revealed the significant contribution of biomass burning to O<sub>3</sub> precursors in this region, which should also be considered when implementing O<sub>3</sub> control strategies. However, since open biomass burning in southern Africa is of anthropogenic and natural origin, while O<sub>3</sub> concentrations in continental South Africa are also influenced by trans boundary transport of O<sub>3</sub> precursors from open biomass burning occurring in
- 10 other countries in southern Africa (as indicated above), it is more difficult to control. Nevertheless, open biomass burning caused by anthropogenic practices (e.g. crop residue, pasture maintenance fires, opening burning of garbage) can be addressed.

# 4. Conclusions

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A spatial distribution map of  $O_3$  levels in the interior of South Africa indicated the regional  $O_3$  problem in continental South Africa, which was signified by the regular exceedance of the South African air quality standard limit. The seasonal and diurnal  $O_3$  patterns observed at the four sites in this study resembled typical trends for  $O_3$  in continental South Africa, with  $O_3$  concentrations

- 20 peaking in late winter and early spring (cf. Zunckel et al., 2004), while daytime O<sub>3</sub> corresponded to increased photochemical production. The seasonal O<sub>3</sub> trends observed in continental southern Africa could mainly be attributed to the seasonal changes in emissions of O<sub>3</sub> precursor species and local meteorological conditions. Increased O<sub>3</sub> concentrations in winter at Welgegund, Marikana and Elandsfontein reflected increased household combustion for space heating and the
- trapping of low-level pollutants near the surface. A spring maximum observed at all the sites was attributed to increased regional open biomass burning. Significantly higher O<sub>3</sub> concentrations, which corresponded with increased CO concentrations, were associated with air masses passing over a region in southern Africa, where a large number of open biomass burning occurred from June to September. Therefore, the regional transport of CO associated with open biomass
- 30 burning in southern Africa was considered a significant source of surface  $O_3$  in continental South Africa. A very small contribution from the stratospheric intrusion of  $O_3$ -rich air to surface  $O_3$  levels at the four sites was indicated.

The relationship between  $O_3$ ,  $NO_x$  and CO at Welgegund, Botsalano and Marikana indicated a strong correlation between  $O_3$  on CO, while  $O_3$  levels remained relatively constant (or decreased) with increasing  $NO_x$ . Although  $NO_x$  and VOCs are usually considered to be the main precursors in ground-level  $O_3$  formation, CO can also drive photochemical  $O_3$  formation. The seasonal

- 5 changes in the relationship between O<sub>3</sub> and precursors species also reflected the higher CO emissions associated with increased household combustion in winter, and open biomass burning in late winter and spring. The calculation of the P(O<sub>3</sub>) from a two-year VOC dataset at Welgegund indicated that at least 40% of O<sub>3</sub> production occurred in the VOC-limited regime. These results indicated that large parts in continental South Africa can be considered VOC-limited, which can
- 10 be attributed to high anthropogenic emissions of NO<sub>x</sub> in this region. It is, however, recommended that future studies should investigate more detailed relationships between NO<sub>x</sub>, CO, VOCs and O<sub>3</sub> through photochemical modelling analysis, while concurrent measurement of atmospheric VOCs and •OH would also contribute to the better understanding of surface O<sub>3</sub> in this region.
- In this paper, some new aspects on O<sub>3</sub> for continental South Africa have been indicated, which must be taken into consideration when O<sub>3</sub> mitigation strategies are deployed. Emissions of O<sub>3</sub> precursor species associated with the concentrated location of industries in this area could be regulated, while CO and VOC emissions associated with household combustion and regional open biomass burning should also be targeted. However, emissions of O<sub>3</sub> precursor species 20 related to factors such as household combustion associated with poor socio-economic
- circumstances and long-range transport provide a bigger challenge for regulators.

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## 5. References

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**Fig. A1.** Individual VOC reactivity time series. In the calculation of instantaneous  $O_3$  production (P( $O_3$ )), CO was treated as a VOC.



**Fig. A2.** Time series of monthly median  $O_3$  concentrations for each hour of the day at the four sites



**Fig. A3.** Monthly averages of meteorological parameters at Welgegund to show typical seasonal patterns in continental South Africa. In the case of rainfall, the total monthly rainfall values are shown.



**Fig. A4.** Seasonal and diurnal variation of  $NO_x$  at Welgegund, Botsalano, Marikana and Elandsfontein (median values of  $NO_x$  concentration were used).



Fig. A5. Seasonal and diurnal variation of CO at Welgegund, Botsalano and Marikana (medianvalues of CO concentration were used). Note that CO was not measured at Elandsfontein.



**Fig. A6.** Scatter plots of  $O_3$  vs.  $NO_x$  for daytime (9:00 a.m. to 4:52 p.m.), and night-time (5:00 p.m. to 8:52 a.m.) at Welgegund, Botsalano and Marikana and Elandsfontein. The correlation coefficient (r) has a significance level of p <  $10^{-10}$ , which means that r is statistically significant (p < 0.01).



**Fig. A7.** Time series of monthly median  $NO_x$  concentrations for each hour of the day at the four sites



**Fig. A8.** Time series of monthly median CO concentrations for each hour of the day at the four sites