

1 We thank the reviewers for the detailed and insightful comments. We have addressed the reviewers'
2 comments point by point as indicated below. The reviewers' comments are in italics and changes
3 made to the manuscript are in quotation marks. Unless otherwise noted, the numbers of sections,
4 figures, and lines mentioned in the response refer to those in the revised manuscript after the first
5 round review.

6 Reviewer #1

7 *While I thank the reviewers for taking on board my comments and those of the other reviewers, I*
8 *feel that there is still a fundamental issue to deal with in that the core conclusion as featured in*
9 *the title (among other places), in that biogenic monoterpenes and sesquiterpenes are large*
10 *contributors to SOA in the southeastern US, is not supported. While the authors have toned down*
11 *the discussion in places and added caveats, there is still the major issue that the paper is*
12 *constructed around a hypothesis that is merely 'supported' (chiefly in the new section 3.5) without*
13 *adequately discounting the null hypothesis, i.e. that other precursors are really responsible for the*
14 *SOA. This is a completely essential step in presenting any hypothesis-lead paper and as such, the*
15 *hypothesis is not properly tested. There are a number of technical reasons raised by myself and*
16 *other reviewers (reviewer 3 was far more strident than me, but I largely agree with the points they*
17 *raise) why this particular line of argument could be considered weak, so as such, this represents*
18 *a line of scientific reasoning that is not befitting an ACP article. It's also not helped by the authors'*
19 *tendency in the modified article to discuss the merits of the various approaches after the*
20 *observations have been reported, which gives the impression of a 'shoot first ask questions later'*
21 *approach to the science, rather than a properly designed experiment.*

22 *I don't consider this paper to be unpublishable, however I would recommend that the paper be*
23 *pitched differently, focusing on the new insights offered by the novel experimental procedure,*
24 *rather than treating it as strong evidence in support of an apportionment SOA to precursors. Based*
25 *off the discussion, I feel that the overall methodology will require significant development before*
26 *it can really be used confidently for this type of apportionment. That's not to say the authors*
27 *shouldn't carry forward the data as they have done, but it should be presented as a speculative*
28 *projection rather than a conclusion, awaiting further evidence in support.*

29 Response: We thank the reviewer for the thoughtful comments. As suggested by the reviewer, we
30 focus on the new insights offered by the novel experimental procedure, and we changed the title

31 correspondingly to “Experimental and Model Estimates of the Contributions from Biogenic
32 Monoterpenes and Sesquiterpenes to Secondary Organic Aerosol in the Southeastern United
33 States”.

34 We acknowledge the importance of discounting the null hypothesis. However, there are
35 hundreds to thousands of SOA precursors in the atmosphere and it is impossible to test all SOA
36 precursors. Therefore, we use multiple lines of evidence as discussed in section 3.4 of revised
37 manuscript and briefly listed below, to support our hypothesis (i.e., the major source of LO-OOA
38 in this region is the oxidation of monoterpenes and sesquiterpenes by various oxidants) and
39 discount the null hypothesis (i.e., the major source of LO-OOA is from the oxidation of precursors
40 other than monoterpenes and sesquiterpenes). We do not draw our conclusions based on the
41 perturbation experiments alone. Instead, all these together supported that a large fraction of SOA
42 in the southeastern U.S. arises from monoterpenes and sesquiterpenes:

- 43 (1) The large emissions of MT and SQT in the southeastern U.S. (Guenther et al., 2012).
- 44 (2) The majority (roughly 80%) of carbon in SOA is modern in the southeastern U.S. (Zhang et
45 al., 2018; Lewis and Stiles, 2006; Weber et al., 2007).
- 46 (3) Previous studies suggest that the oxidation of β -pinene by nitrate radicals (NO_3) contributes to
47 LO-OOA in the southeastern U.S. (Boyd et al., 2015; Xu et al., 2015a) though this reaction alone
48 cannot replicate the magnitude of LO-OOA (Pye et al., 2015).
- 49 (4) The mass spectra and diurnal trends of LO-OOA are almost identical across all the seven
50 ambient datasets in our study, suggesting that LO-OOA may share similar sources across multiple
51 sites and in different seasons in the southeastern U.S.
- 52 (5) Perturbation experiments in this study show that the majority of fresh SOA from the oxidation
53 of MT and SQT contributes to LO-OOA.
- 54 (6) CMAQ model calculations for the region showed consistency between modeled $\text{SOA}_{\text{MT+SQT}}$
55 and observed LO-OOA in terms of both magnitude and diurnal trend at different sites and in
56 different seasons when an updated monoterpene SOA parameterization was used.
- 57 (7) The emissions of anthropogenic VOCs are much weaker than that of biogenic VOCs in the
58 southeastern U.S. (Goldstein et al., 2009).

59 (8) We modeled that the concentration of anthropogenic SOA is on the order of $0.1 \mu\text{g m}^{-3}$ for our
60 datasets (Fig. S8). The low modeled concentration of anthropogenic SOA is consistent with the
61 recent publication by Zhang et al. (2018), who showed that the measured tracers of anthropogenic
62 SOA only account for 2% of total OA in Centreville, AL.

63 As discussed in the manuscript, we do not conclude that LO-OOA arises exclusively from
64 MT and SQT, but that they contribute substantially to LO-OOA. It is possible that the oxidation
65 of other VOCs contributes to LO-OOA. However, based on multiple lines of evidence discussed
66 above, these other contributions are expected to be much smaller than that from biogenic
67 monoterpenes and sesquiterpenes in the southeastern U.S.

68 The southeastern US is a unique location in that there have been a large number of field
69 studies in recent years at multiple locations and seasons throughout the region (Carlton et al., 2018;
70 Zhang et al., 2018; Xu et al., 2015a; Warneke et al., 2016). Results from these studies provided
71 additional constraints for OA sources in this region. In future studies, we agree that further
72 developments are needed if one were to use the perturbation experimental approach for source
73 apportionments of OA at other sites, but auxiliary constraints from field measurements/lab
74 studies/modeling are not readily available for those sites. We have added this discussion to the
75 revised manuscript to emphasize this point.

76 The new insights from perturbation experiments are indeed one focus of this study. In
77 results sections 3.1 and 3.2, we thoroughly discuss how the perturbation experiments improve our
78 understanding on the sources of OA factors, such as the potential interference of α -pinene SOA on
79 isoprene-OA factor and the implications of this interference. In the revised manuscript, to further
80 emphasize the insights from the perturbation experiments, we clearly discuss the limitations of
81 traditional mass spectra comparison approach in the introduction section and explain the
82 advantages of perturbation experiments in the experimental section. In section 3.4 of revised
83 manuscript, we clearly state that the large contributions from monoterpenes and sesquiterpenes to
84 LO-OOA in the southeastern U.S. is our hypothesis and how this hypothesis is supported by
85 multiple lines of evidence. This structure is in line with the reviewer's suggestion.

86 The reviewer also raised a comment regarding the discussions on the merits of the various
87 approaches. This comment is addressed below in response to the reviewer's specific comment #1.

88

89 *Specific points:*

90 *1. While I thank the authors for addressing my query about 'direct evidence', I don't understand*
91 *how the modified text really addresses this. Contrary to what is implied in the introduction the fact*
92 *that α -pinene is a significant contributor to SOA and LO-OOA has never been in doubt; completely*
93 *asides AMS evidence, we know it is an abundant VOC that produces SOA in chamber studies and*
94 *that tracers for its SOA production (e.g. pinic acid) are present in ambient samples. Also, we know*
95 *that the AMS spectra produced in chamber studies bear strong resemblances to LO-OOA spectra*
96 *recorded in environments with strong α -pinene sources (e.g. temperate and boreal forests). Stating*
97 *that this study is 'objective' because it also produces a similar mass spectrum isn't sufficient to*
98 *demonstrate novelty over previous chamber studies. The authors need to focus on what this work*
99 *adds that wasn't possible before. While they go to great lengths in the modified section 3.4 to*
100 *compare with the 'mass spectral comparison approach', at the end of the day, all PMF is doing is*
101 *comparing mass spectra, so I don't see what their point is. It's also very strange that the authors*
102 *choose to justify their approach after the results section of the paper. Wouldn't it be more logical*
103 *to provide justification at the design stage? As a constructive suggestion, I would try focusing on*
104 *the fact that the same instrumental set-up was used for both ambient and chamber aerosols,*
105 *making for a more seamless factorisation, free of any instrument tuning issues (the kind of thing*
106 *that necessitates the use of arbitrary relaxation parameters when supplying target spectra in ME-*
107 *2). It also provides actual 'mixing' with ambient aerosols, which a standard chamber experiment*
108 *wouldn't do, meaning the performance of the factorisation can be more directly inspected*
109 *(although I wouldn't regard that as a complete success in the sesquiterpene case; see my earlier*
110 *'red flag' comment in the first stage review). Naturally, this should be with the caveat that this*
111 *doesn't disprove the null hypothesis; ambient SOA from other precursors could still be*
112 *misclassified if they also produce LO-OOA with a similar mass spectrum, which I still consider to*
113 *be a possibility.*

114 Response: We originally added the detailed discussion of various approaches in response
115 to some comments raised by a reviewer in the previous round. However, upon further reading and
116 consideration, we agree that this paragraph distracts the flow of the main discussions in the
117 manuscript. Therefore, in light of the reviewer's suggestion, we now provide the justification of
118 perturbation experiments in the design stage (i.e., section 2.1). We agree with the reviewer that we
119 shall better point out the uniqueness of our study. We have highlighted the advantages of

120 perturbation experiments that this approach is free of any instrument tuning issues and utilizes the
121 actual mixing between ambient SOA and chamber SOA.

122 We believe that the perturbation experimental approach provides more objective and
123 quantitative conclusions than the mass spectra comparison approach, mainly due to the following
124 reasons. Firstly, the conclusions from perturbation experiments are more quantitative than those
125 from mass spectra comparison approach. We agree with the reviewer that the mass spectrum of
126 laboratory α -pinene SOA bear strong resemblances to LO-OOA. However, this similarity does not
127 guarantee that all ambient α -pinene SOA is apportioned into LO-OOA and does not provide
128 quantitative insights into the fraction of ambient α -pinene SOA that is apportioned into LO-OOA.
129 Based on the perturbation experiments, we attempt to quantify the fraction of fresh α -pinene SOA
130 that is apportioned into different factors (i.e., ~80% into LO-OOA, ~20% into isoprene-OA, 0%
131 into MO-OOA, COA, and HOA). Secondly, the conclusions from perturbation experiments are
132 objective, while the mass spectra comparison approach is a subjective determination. For example,
133 the R values between laboratory generated α -pinene SOA (using HONO as OH source) with LO-
134 OOA, isoprene-OA, and MO-OOA in this study are 0.96, 0.88, and 0.81, respectively. In the mass
135 spectra comparison approach, using these R values to imply whether α -pinene SOA contributes to
136 a certain OA factor is subjective. On the other hand, although the PMF analysis on the perturbation
137 experiments still compares mass spectra, this “comparison” is objective. Because PMF analysis
138 does not distinguish SOA from α -pinene that is already in the atmosphere vs. from injected α -
139 pinene, α -pinene SOA can be objectively apportioned into factors.

140 In the introduction section, we have added the following discussions on the limitations of
141 traditional mass spectra comparison approach.

142 “Many different sources of LO-OOA and MO-OOA have been proposed primarily based
143 on comparing the mass spectra between ambient OA factors and laboratory-generated SOA
144 (Jimenez et al., 2009; Kiendler-Scharr et al., 2009; Ng et al., 2010). While the mass spectra
145 comparison approach largely improves our understanding of ambient OA factors, this approach
146 has the following limitations. Firstly, the similarity between two mass spectra is a subjective
147 determination. In other words, a good correlation coefficient (R) value between the mass spectra
148 of an ambient OA factor and a specific type of laboratory SOA does not imply that the laboratory
149 SOA contributes to the specific ambient OA factor. Secondly, such subjectively-defined similarity

150 does not provide quantitative insights into the contribution of SOA from a certain source to a
151 specific OA factor. For example, previous studies have shown that the mass spectrum of laboratory
152 α -pinene SOA is the most similar to that of LO-OOA (Jimenez et al., 2009; Kiendler-Scharr et al.,
153 2009; Ng et al., 2010). However, this similarity neither guarantees that α -pinene SOA is
154 exclusively apportioned into LO-OOA, nor provides information regarding what fraction of α -
155 pinene SOA is apportioned into LO-OOA in ambient environments. Thus, uncertainties associated
156 with the sources of these OA factors still exist. Considering the large abundance of OOA subtypes
157 and their use as surrogates for ambient SOA, understanding the sources of compounds composing
158 these two OOA subtypes is critical to constrain atmospheric models and SOA budget.”

159 In method section 2.6, we have added the following discussions on the advantages of the
160 perturbation experiments.

161 “The perturbation experiments are designed to address some limitations of the mass spectra
162 comparison approach by providing objective and quantitative evaluations. By producing SOA
163 from a known precursor, PMF analysis allows for the apportionment of the newly-formed SOA
164 into various factors without any subjective judgement on the similarity in mass spectra, and
165 provides quantification of the fraction of the newly formed SOA that is apportioned into each
166 factor. The perturbation experiments utilize the actual mixing between ambient OA and newly
167 formed SOA from perturbation, which a standard chamber experiment would not achieve, meaning
168 that the performance of the factorization can be more directly inspected. In addition, as the same
169 instrument set-up is used for both ambient sampling and perturbation experiments, factorization
170 results are free of instrument tuning issues.”

171 *2. In their justification of the choice of precursors, the authors basically confirm that they chose*
172 *the ones they did because they are the ones they expected to be important. This isn't necessarily*
173 *'bad science', but this further weakens the case for the null hypothesis being discounted, as it adds*
174 *an element of confirmation bias to the experiment. The authors still don't really justify their choices*
175 *in the amount of precursor to be added either (they don't really give quantities), with very hand-*
176 *waving statements about 'too much SOA' remaining in the text. I can appreciate it might be difficult*
177 *to describe, but they should at least state the basis for their judgements, even if they were subjective*
178 *and of-the-moment.*

179 Response: We have tried isoprene, α -pinene, β -caryophyllene, *m*-xylene, or naphthalene, which
180 are major biogenic or anthropogenic emissions. Due to reasons discussed in section S6, the
181 perturbation experiment in current design is not suitable to study SOA formation from isoprene,
182 *m*-xylene, and naphthalene.

183 The values for the mixing ratios were provided in section 3.1 and 3.2 of previous
184 manuscript version. We aim to inject as low of a VOC mixing ratio as possible to be
185 atmospherically relevant. α -pinene or β -caryophyllene was injected via a needle into the chamber.
186 Limited by the needle size, 0.2 μ L is the minimal amount we could add with reliable accuracy. 0.2
187 μ L corresponds to a mixing ratio of 15 ppb and 10 ppb for α -pinene or β -caryophyllene,
188 respectively, for a chamber volume of 2 m³. The injection volume has been added in the revised
189 manuscript.

190 “We aim to inject as low of a VOC mixing ratio as possible to be atmospherically relevant.
191 If the injection amount is too large, too much SOA will be produced, which will bias subsequent
192 analysis. We use a needle to inject liquid sample into the chamber. Limited by the needle size, 0.2
193 μ L is selected because it is the minimal amount we could inject with reliable accuracy.”

194 *3. The modelling section in the paper still lacks a clear objective. It currently gives the impression*
195 *that the authors did the simulations for the sake of it and interpreted the results a posteriori, which*
196 *is again not conducive to rigorous hypothesis testing. To reiterate, being able to produce biogenic*
197 *SOA in a model isn't a new result and neither is changing said result when moving to a different*
198 *SOA scheme, so the authors need to be more explicit about what it is they are trying to achieve*
199 *with this exercise before launching into the results.*

200 Response: All models shall be able produce biogenic SOA as long as they have some biogenic
201 precursors in the schemes. However, the key issue is whether the OA concentrations predicted by
202 models are consistent with observational data. This is particularly problematic for regions where
203 there are substantial anthropogenic-biogenic interactions, such as the southeastern U.S. For
204 instance, regarding monoterpenes SOA, the recent publication by Zhang et al. (2018) showed that
205 CMAQ with default monoterpene SOA scheme significantly under-estimates the measured
206 monoterpene SOA tracers in the southeastern U.S. Further, Pye et al. (2015) showed that CMAQ
207 modeled SOA from monoterpenes oxidation by NO₃ radical correlates well with measured LO-
208 OOA, but the magnitude is lower by roughly a factor of 2.

209 In our study, the objective of the modeling work is to simulate the SOA from monoterpenes
210 and sesquiterpenes (SOA_{MT+SQT}) in the southeastern U.S. and to compare the simulated
211 SOA_{MT+SQT} with measured LO-OOA. We update CMAQ by incorporating two recent findings and
212 find that the SOA_{MT+SQT} in the updated simulation reasonably reproduces the magnitude and
213 diurnal variability of LO-OOA at multiple sites and in different seasons. The reasonable agreement
214 between modeled SOA_{MT+SQT} and LO-OOA serves as one piece of evidence to support our
215 hypothesis that LO-OOA largely arises from the oxidation of MT and SQT in the southeastern U.S.
216 We have added the objective of the modeling work at the beginning of section 2.6 in the revised
217 manuscript.

218 “To test the hypothesis that a large fraction of LO-OOA originates from monoterpenes and
219 sesquiterpenes in the southeastern U.S., we used the Community Multiscale Air Quality (CMAQ)
220 atmospheric chemical transport model to simulate the SOA from monoterpenes and sesquiterpenes
221 (SOA_{MT+SQT}) in the southeastern U.S. and then compared the simulated SOA_{MT+SQT} with measured
222 LO-OOA.”

223 *4. As regards the new discussion of isoprene SOA, a major limitation of this experiment is that it*
224 *is strictly conducted at ground level, whereas some evidence from aircraft studies suggests that*
225 *production may be strongest at the top of the boundary layer (e.g. doi: 10.1029/2006JD008147).*
226 *This should be added as a caveat.*

227 Response: We have added this caveat in the revised manuscript.

228 “Third, the perturbation experiments are conducted at ground level, whereas evidence from
229 aircraft studies suggests that production of isoprene SOA may be stronger at the top of the
230 boundary layer (Allan et al., 2014).”

231 We believe the article doi: 10.1029/2006JD008147 suggested by the reviewer is a typo,
232 because the mentioned article discussed biomass burning, instead of isoprene OA.

233

234 Reviewer#2

235 *I wish to point out that I was not a reviewer of this manuscript in the first round of review.*
236 *Therefore, I have not read the original version of the paper. In an attempt to remain unbiased, I*
237 *read the updated manuscript without consideration of previous review comments or the authors'*
238 *response. My review comments are based on the updated version only, with small additions after*
239 *consideration of the review comments and authors' response.*

240 *This paper addresses a topic of general interest to the atmospheric chemistry/aerosol*
241 *community – the contribution of biogenic VOCs to secondary organic aerosol formation in the*
242 *atmosphere. In that regard, it is timely (especially given other recent publications) and well suited*
243 *for ACP. It extensively cites previous literature. In general, the text itself is well written (though*
244 *with some obvious typographical/syntax errors – please edit carefully). I'm not sure that the title*
245 *is appropriate for what is currently contained in the manuscript, but the abstract certainly covers*
246 *the wide array of topics included.*

247 *This last point about the abstract is one of my main concerns about the paper. The paper*
248 *seems to jump all over the place – which may be due to trying to incorporate reviewer responses*
249 *from the previous round. I actually would humbly suggest to the authors breaking up the paper*
250 *into multiple papers. For example, one paper could focus exclusively on the technique associated*
251 *with the lab-in-the-field – including discussion of the approach, statistical evaluations associated*
252 *with before and after perturbations (appendix A), and the limitations associated with the mass*
253 *spectral comparison technique. This paper could also include the limitations of this approach –*
254 *unknown mixing, not applicable for certain VOCs, etc. This would be appropriate for submission*
255 *to Atmospheric Measurement Techniques, for example. A second paper could then focus on use of*
256 *α -pinene and β -caryophyllene experiments to support their main conclusion and the modeling*
257 *(assuming all other issues are addressed). I actually think that Appendix B is too short to justify*
258 *inclusion. Too few experiments are performed – and including this section only increases its length*
259 *and makes this reader feel like we are going off on a tangent. Assuming the authors are not*
260 *amenable to splitting this paper, they must at least somehow improve the links between the various*
261 *sections. Again, assuming that the authors wish to pursue publication of this manuscript in its*
262 *current form, the following issues should be addressed prior to resubmission:*

263 Response: We thank the reviewer for the detailed comments. Taking the reviewer's comments into
264 consideration, we changed the title correspondingly to "Experimental and Model Estimates of the
265 Contributions from Biogenic Monoterpenes and Sesquiterpenes to Secondary Organic Aerosol in
266 the Southeastern United States". Regarding the last point in the abstract, we have deleted the last
267 sentence in the abstract to keep the manuscript better focused. Appendix B is added as suggested
268 by a reviewer in the previous round. We prefer to keep Appendix B as the information could
269 potentially be useful for other future studies.

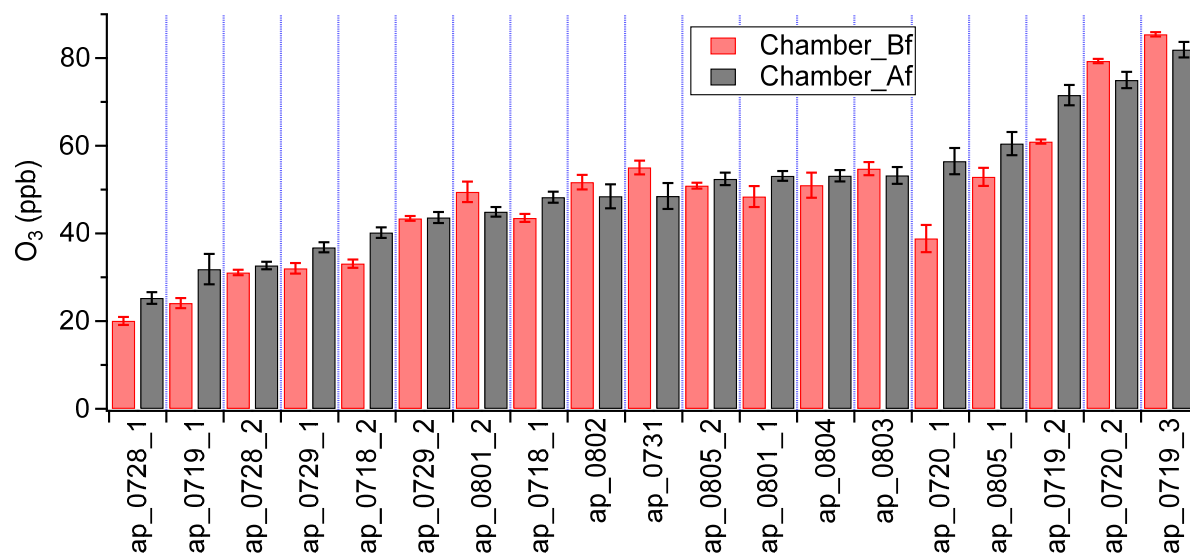
270 *Specific Comments*

271 *1. Page 5, line 124. If the fans are shut off and the intake into the chamber is determined only by*
272 *the instrumental pull, how do the authors ensure that the contents of the chamber are in fact well*
273 *mixed? This subject comes up again in the box modeling section in which a dead volume (never*
274 *actually defined) of 1.75 m³ (which is over 85% of the total chamber volume) is required to even*
275 *come close to simulation of measured data? This to me implies that the chamber is not adequately*
276 *characterized (such a characterization could appear in the suggested AMT paper).*

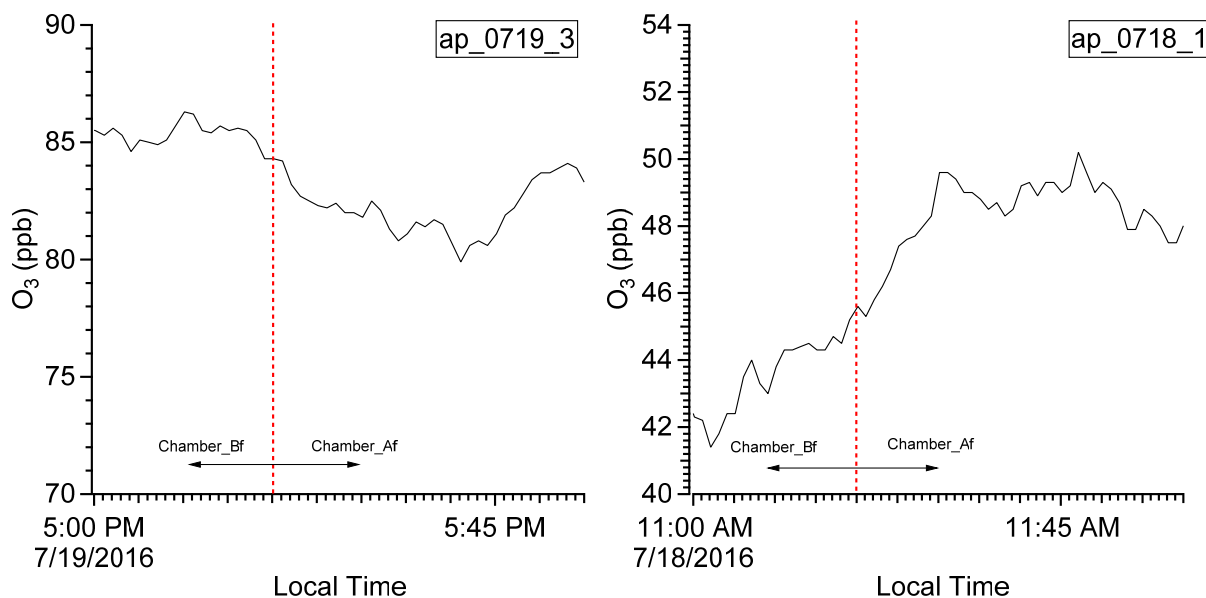
277 Response: The chamber is likely not fully well mixed, as suggested by the rapid decay of formed
278 SOA. This was discussed in the SI section S6. However, a full mixing of the chamber is not
279 required for evaluating which OA factors the SOA is apportioned into as both ambient OA and
280 newly formed α -pinene SOA are sampled into AMS.

281 *2. Page 7, line 161. Introduction of the VOCs will naturally influence the levels of the oxidants,*
282 *however (through consumption of O₃ and subsequent formation of OH). The authors only present*
283 *the average O₃ levels in experiments and assume OH levels for modeling. Were time series of O₃*
284 *within experiments investigated to see any influence of the VOC perturbation on O₃?*

285 Response: The influence of VOC perturbation on O₃ concentration is minor, mainly because of
286 the small amount of α -pinene consumption in the perturbation experiments. We added some new
287 figures to show this. Figure R1 compares the O₃ concentration between "Chamber_Bf" (i.e., before
288 α -pinene injection) and "Chamber_Af" (i.e., after α -pinene injection). The O₃ concentrations
289 between two periods are very similar. In some experiments (e.g., ap_0718)1), the O₃ concentration
290 is even higher in "Chamber_Af" than "Chamber_Bf" due to ambient variation. The time series of
291 O₃ concentration from two α -pinene experiments (ap_0718_1 and ap_0719_3) are presented in
292 Figure R2, which shows the minor effect of VOC injection on O₃ concentration.



293
 294 Figure R1. The average O₃ concentration in Chamber_Bf and Chamber_Af periods in α-pinene
 295 experiments. The error bars represent the standard deviation.



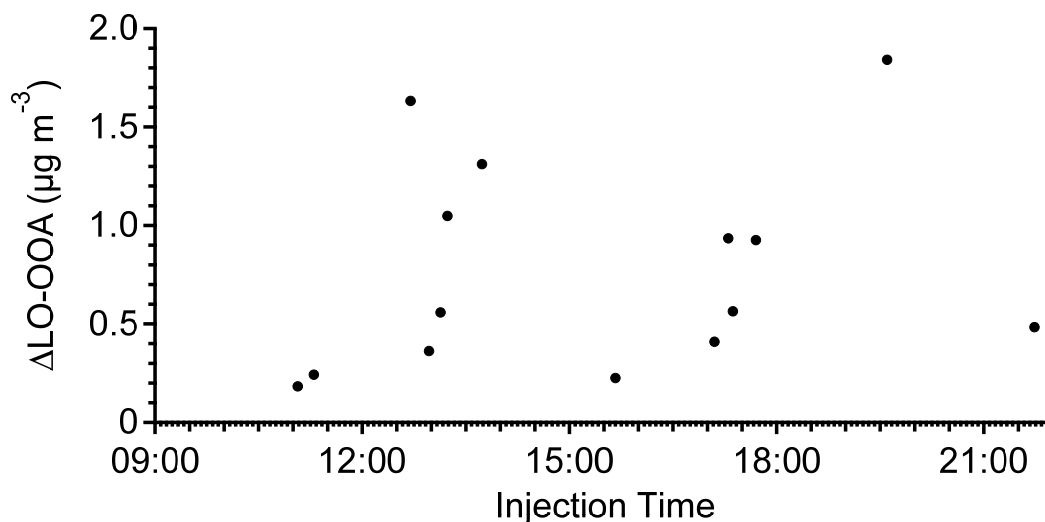
296
 297 Figure R2. The time series of O₃ in two α-pinene perturbation experiments.

298 3. Page 7, line 178. I am somewhat troubled by the lack of VOC measurements in the experiments.
 299 How do the authors know that there were not issues with injection? Thus, the variations in amount
 300 of OA formed upon perturbation between experiments might not be due to changes in the ambient
 301 air into which the VOC is mixed but due to a change in the amount of VOC in the system.

302 Response: We agree with the reviewer that the lack of VOC measurements is a disadvantage of
303 this study. We followed the same injection procedure for all perturbation experiments, which is
304 also the same protocol for our other indoor chamber experiments and the amount of VOC injected
305 has always been reproducible (where we have VOC measurements) (Boyd et al., 2015). If the
306 variations in the amount of OA formed upon perturbation between experiments are caused by
307 variations in the VOC injection amount, it would be too odd to observe the correlation between
308 amount of OA formation and O₃ concentration. Also, as our goal is to understand which OA factors
309 the α -pinene SOA is apportioned into, the uncertainties in injection would not affect any
310 conclusions regarding this goal.

311 4. Page 12, line 310. The authors specify that they perform perturbation experiments at various
312 conditions. Did the responses to these conditions make sense? T? RH? Other factors?

313 Response: The various conditions are inferred from different injection times (9am to 9pm). The T
314 and RH were not recorded. As both T and RH strongly depend on time of day, we plot the LO-
315 OOA enhancement amount as a function of injection time (Figure R3), but do not observe clear
316 diurnal variation.



317
318 Figure R3. Observations of trends in LO-OOA enhancement amount with injection time in α -
319 pinene perturbation experiments.

320 5. Page 12, paragraph on line 319; page 13, entire page, page 1, line 366. This section deals with
321 changes in MO-OOA, IEPOX-OA, COA, and HOA when the VOC is perturbed by α -pinene or b -
322 caryophyllene. I find this to be a significant weakness of the paper – and along with the broad

323 *array of topics covered, is the main basis for my recommendation. Why would MO-OOA only*
324 *change once? And why is IEPOX-OA only impacted when IEPOX-OA is non-zero in the ambient?*
325 *And why an entire paragraph about MO-OOA but only one sentence about HOA and COA? Upon*
326 *reading the other reviewer comments, it seems I am not alone in being troubled by this. Perhaps*
327 *this could be the subject of another paper – the weaknesses associated with the PMF technique? I*
328 *find in general that folks put a lot of faith in PMF results without often considering the fact that it*
329 *is a statistical technique whose goal is to minimize the residuals. I do not wish to belabor this point,*
330 *as Reviewer #3 from the previous review outlined reasons for this concern.*

331 Response: We are on the same page with the reviewer - learning more about the uncertainties of
332 PMF analysis is one goal of this study. For example, “IEPOX-OA factor” (with prominent signal
333 at m/z $C_5H_6O^+$) has been resolved from many prior studies, and this naming could give the
334 impression that it is exclusively interpreted as SOA from the reactive uptake of IEPOX. In all
335 studies from our group, we have always referred to this factor as “isoprene-OA factor” instead, as
336 while it is mostly from IEPOX uptake, it is not entirely due to IEPOX (Xu et al., 2015b; Schwantes
337 et al., 2015). Here, our perturbation results further suggest that the isoprene-OA factor could have
338 interferences from α -pinene SOA and that caution is needed when interpreting the contribution of
339 this factor.

340 We extensively discuss about MO-OOA because its sources are highly uncertain, which
341 are discussed in Lines 67-74 of revised manuscript. Recent studies proposed that highly oxidized
342 molecules (HOMs) from monoterpenes oxidation may be a source of MO-OOA. Thus, we would
343 like to test this hypothesis from perturbation experiments. In contrast to MO-OOA, the HOA and
344 COA factors are relatively better understood. The lack of interference from α -pinene SOA to HOA
345 and COA supports our current understanding that both HOA and COA are dominantly from
346 primary sources.

347 It is unclear why MO-OOA increases in one experiment. From the statistical point of view,
348 MO-OOA does not increase in 18 out of 19 experiments. Thus, we cannot draw any solid
349 conclusion from only one experiment with enhanced MO-OOA formation.

350 Regarding the reviewer’s question “*why is IEPOX-OA only impacted when IEPOX-OA is*
351 *non-zero in the ambient*”, we mean that if the IEPOX SOA concentration is zero in the ambient

352 environment and IEPOX-OA factor is not resolved from PMF analysis, α -pinene SOA would not
353 be apportioned into a non-existent factor.

354 6. Page 13, line 337, why is $4 \mu\text{g}/\text{m}^3$ insignificant with respect to g/p partitioning? If the total OA
355 is on the order of $10 \mu\text{g}/\text{m}^3$, this is 40% - and would likely be in the “steep” part of the Y-Mo curve.

356 Response: For a semi-volatile compound with $C^* = 10 \mu\text{g m}^{-3}$, its fraction in the particle phase
357 only changes from 0.5 to 0.58 when the OA concentration increases from $10 \mu\text{g m}^{-3}$ to $14 \mu\text{g m}^{-3}$.
358 Also, $4 \mu\text{g m}^{-3}$ is the maximum value of the formed OA in perturbation experiments. The formation
359 magnitude is lower than $4 \mu\text{g m}^{-3}$ for most of experiments.

360 7. Page 18, line 487. The description of model results indicates “it is not necessary to invoke any
361 unexplored mechanisms.” This is potentially a dangerous statement, as it implies that they are
362 getting perfect modeling results of the exact same quantity – where in fact here they are supposing
363 that MT and SQT-based SOA = LO-OOA, and they are not getting perfect results!

364 Response: We agree with the reviewer and have deleted this statement in the revised manuscript.

365 8. Page 18, line 516. One point beyond 0.3 ppb does not signify a ‘plateau.’ The authors have no
366 idea (based on Figure 7) what is occurring between 0.3 ppb and 1.6 ppb. Also, the authors should
367 specify that the NO-free subscript refers to the H₂O₂ and the high-NO_x subscript refers to NO₂
368 and HONO. These are not labeled as such on the Figure.

369 Response: We have modified the subscripts as suggested.

370 9. Figure 2, the time series is unnecessary and adds nothing to the paper since it includes both the
371 ambient and chamber data. This is also true in Figure B1 (if appendix B is retained).

372 Response: We think that the time series of OA factors present a full picture of PMF results. Thus
373 we prefer to keep this in the manuscript for clarity.

374 10. Appendix A, page 42, line 1208. The authors state that the chamber aerosol is lower than
375 ambient due to wall loss. How do they know this? Later, they indicate that wall loss plays a very
376 minor role in the change in LO-OOA. If the wall loss causes a significant change in the ambient
377 vs. chamber, why would it not cause just as significant a loss in the LO-OOA formed. As mentioned
378 above (page 5), is this a mixing issue (dead volume)?

379 Response: The particle wall loss rate differs between the four periods in one perturbation
380 experiment. During the “Ambient_Bf” period, chamber is flushed with two fans on. In this stage,
381 the particle wall loss is large because the fans enhance the turbulence and hence the eddy
382 diffusivity in the chamber (Crump et al., 1982). This large particle wall loss leads to lower aerosol
383 concentration in the chamber than the atmosphere. However, during the perturbation
384 (“Chamber_Af” period), the fans are turned off, leading to much slower particle wall loss rate than
385 “Ambient_Bf” period (i.e., fans on). During “Chamber_Af” period, wall loss still causes a loss in
386 the OA, but the loss is negligible compared to that caused by dilution with ambient air.

387 *11. Appendix A, page 43, line 1229. Why select the 8th point? Why not select the point where the*
388 *perturbation has a peak? How sensitive are the results to this?*

389 Response: We select the first 8 data points because the concentrations of total OA and OA factors
390 typically reach the highest at the 8th point (i.e., ~16min after injection). The results do not change
391 when the 7th point is selected. Selecting more than 8 points is not proper, because the
392 concentrations of OA factors start to decrease, which lowers the slope.

393 *12. Appendix A, page 44, paragraph starting on line 1247. I think it important that the descriptor*
394 *‘pseudo’ be used much more frequently to emphasize what is a ‘pseudo’ perturbation.*

395 Response: We have made the change as suggested.

396 *13. Appendix A, page 45, line 1287. What happened in the 5 cases when LO-OOA did not form?*
397 *Are these below some critical oxidant threshold? Or are you certain that the VOCs were actually*
398 *injected (see my previous point about the lack of VOC measurements)?*

399 Response: Among the 5 perturbation experiments when LO-OOA is not formed, two experiments
400 (ap_0728_1 and ap_0719_1) have the lowest O₃ concentration (<32ppb) among all 19 experiments.
401 The reasons for the lack of LO-OOA formation in the other three experiments are unclear. We
402 would like to emphasize that the conclusions are drawn based on statistical results, instead of a
403 single perturbation experiment, because reproducibility is challenging for this type of experiments
404 using ambient air.

405 *14. Supplement, page 12, line 323. I do not think it is appropriate for the authors to scale their*
406 *fresh SOA by 0.84 based on the regression shown in Figure S5. This ignores several points where*

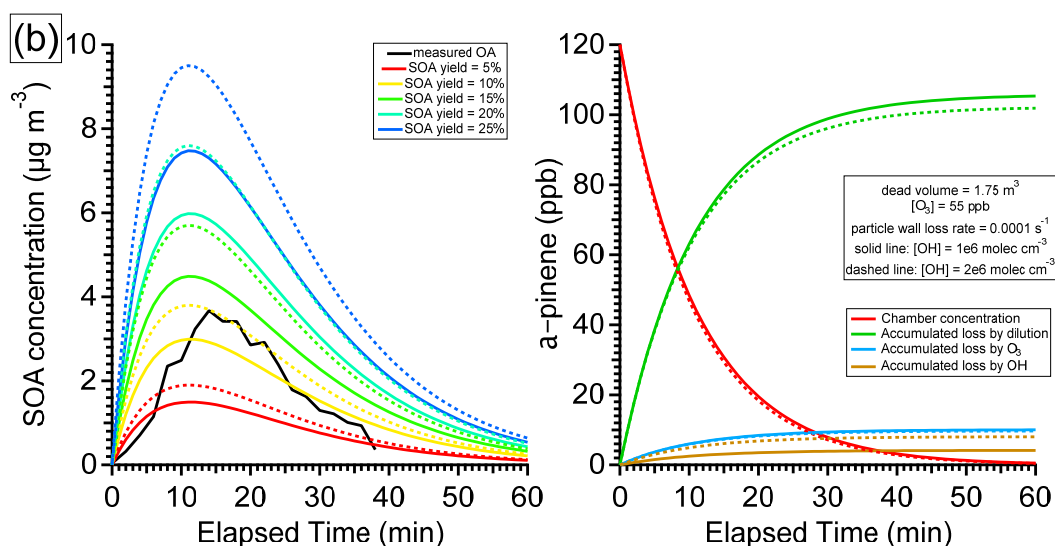
407 *there was no increase in IEPOXOA – which would change the slope (and its associated R value)*
408 *considerably.*

409 Response: In Figure S5, if we include the experiments without isoprene-OA formation, the slope
410 is 0.14. This slope corresponds to a scale factor of 0.88, which is close to 0.84 used in the
411 manuscript. As acknowledged in the manuscript, the interference magnitude of α -pinene SOA on
412 isoprene-OA likely varies with locations and seasons and future studies are warranted to better
413 constrain the interference magnitude.

414 *15. Supplement, page 14, lines 380-400. Please see my earlier comment about wall loss and about*
415 *what a dead zone means (and how large it is relative to the total volume). In addition, how valid*
416 *is assuming that there is no inflow of α -pinene or OA in the model?*

417 Response: In Figure S21, the measured OA represents formed OA from α -pinene perturbation,
418 which has been corrected by the subtracting the ambient OA. Therefore, we assume no inflow of
419 ambient OA in the kinetic model and only simulates the SOA formation from the injected VOC.

420 In light of the reviewer's suggestion, we realize that the dead volume is not considered when
421 calculating the α -pinene concentration. We have now taken this into account and the revised figure
422 with a 1.75 m³ dead volume is shown below. Assuming a 1.75 m³ dead volume, roughly 10ppb α -
423 pinene (10% of initial concentration) is consumed by O₃. This amount likely serves as an upper
424 bound because a 10ppb decrease in O₃ concentration is not observed (Figure R1). This change
425 does not influence the discussion that only a small fraction of injected α -pinene reacts with
426 oxidants.



427

428 *16. Supplement, page 18, line 488. This observation of lower NO₂ causing more LO-OOA is based*
429 *only on a small number of data points (3 at higher, 3 at lower). While the authors state that this*
430 *warrants further study, I'm not sure tossing in something with so few data points is appropriate.*

431 Response: We prefer to keep the results in the Supplement because they are reproducible from
432 three pairs of experiments. This information could potentially be useful for other future studies.

433 *17. Figure S12. The y-axis label should say 'chamber' not 'bag' for consistency with the text.*

434 Response: We have made the suggested change.

435 *18. Figure S14. The authors state that the consistent fractional contributions of the various OA*
436 *factors remains relatively constant. Without error bars, it is hard to say whether this is statistically*
437 *accurate. For example, it looks as if the HOA fraction is decreasing with respect to time. Is this*
438 *because HOA emissions have decreased while other OA has increased/stayed constant? What is*
439 *the overall OA level in each year?*

440 Response: We have added the error bars to the plot. We also include a figure to show the changes
441 in the concentrations of OA factors over five years. The HOA concentrations are relatively
442 constant over the 5 years. The decrease in HOA fraction is mainly due to the increasing
443 concentration of other factors.

444 *19. Figure S15. Is the use of yields at a loading of 445 ug/m³ appropriate? This seems rather large.*

445 Response: Saha and Grieshop (2016) used the thermal-denuder heating-induced evaporation of
446 particles to derive the yield curve, based on the volatility distribution of the equilibrated suspended
447 – wall aerosol system. As discussed in Saha and Grieshop (2016), using high OA loading can
448 reduce the effect of vapor wall loss on the aerosol system and provide more accurate volatility
449 distribution.

450

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562 **Large Experimental and Model Estimates of the Contributions from Biogenic**
563 **Monoterpenes and Sesquiterpenes to Secondary Organic Aerosol in the Southeastern**
564 **United States**

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577 **Abstract**

578 Atmospheric organic aerosol (OA) has important impacts on climate and human health but its
579 sources remain poorly understood. Biogenic monoterpenes and sesquiterpenes are
580 ~~critical~~important precursors of ~~OA~~. ~~The OA~~secondary organic aerosol (SOA), but the amounts and
581 pathways of SOA generation from these precursors ~~predicted~~are not well constrained by ~~models~~
582 ~~has considerable uncertainty owing to a lack of appropriate observations as constraints. In this~~
583 ~~study, we.~~ We propose that the less-oxidized oxygenated organic aerosol (LO-OOA) factor
584 resolved from positive matrix factorization (PMF) analysis on aerosol mass spectrometry (AMS)
585 data can be used as a surrogate for fresh SOA from monoterpenes and sesquiterpenes in the
586 southeastern U.S. ~~We support this~~This hypothesis ~~based on a weight~~is supported by multiple lines
587 of evidence, including lab-in-the-field perturbation experiments, extensive ambient ground-level
588 measurements, and state-of-the-art modeling. We performed lab-in-the-field experiments, in
589 which the ambient air is perturbed by the injection of selected monoterpenes and sesquiterpenes
590 and subsequent SOA formation. ~~is investigated.~~ PMF analysis on the perturbation experiments
591 provides an objective link between LO-OOA and fresh SOA from monoterpenes and
592 sesquiterpenes as well as insights into the sources of other OA factors. Further, we use an upgraded
593 atmospheric model and show that modeled SOA concentrations from monoterpenes and
594 sesquiterpenes could reproduce both the magnitude and diurnal variation of LO-OOA at multiple
595 sites in the southeastern U.S., building confidence in our hypothesis. We ~~predict~~estimate the annual
596 average concentration of SOA from monoterpenes and sesquiterpenes in the southeastern U.S. ~~is~~
597 ~~~2.1 $\mu\text{g m}^{-3}$. This amount is substantially higher than represented in current regional models and~~
598 ~~accounts for 21% of World Health Organization PM_{2.5} standard, indicating a significant contributor~~
599 ~~of environmental risk to the 77 million habitants in the southeastern U.S. —~~ to be roughly 2 $\mu\text{g m}^{-3}$.

600

601 **1 Introduction**

602 Organic aerosol (OA) constitutes a substantial fraction of ambient fine particulate matter (PM) and
603 has large impacts on air quality, climate change, and human health (Carslaw et al., 2013; Lelieveld
604 et al., 2015). OA can be directly emitted from sources (primary OA, POA) or formed by the
605 oxidation of volatile organic compounds (VOCs) (secondary OA, SOA). Global measurements
606 revealed the dominance of SOA over POA in various atmospheric environments (Jimenez et al.,
607 2009; Ng et al., 2010). The VOCs can be emitted from natural sources (i.e., biogenic) or human
608 activities (i.e., anthropogenic). However, the relative contribution of biogenic and anthropogenic
609 sources to SOA formation in the atmosphere is poorly constrained. This knowledge is critical for
610 formulating effective pollution control strategies that aim at reducing ambient PM concentrations
611 and accurately assessing the climate effects of OA (Hallquist et al., 2009). Biogenic VOCs such
612 as monoterpenes (MT, $C_{10}H_{16}$) and sesquiterpenes (SQT, $C_{15}H_{24}$) are recognized as critical
613 precursors of SOA (Tsigaridis et al., 2014; Hodzic et al., 2016; Pye et al., 2010). The predicted
614 global SOA production from MT and SQT varies from 14 to 246 Tg yr⁻¹ (Spracklen et al., 2011;
615 Pye et al., 2010). This large variation in model estimates arises from a number of factors (including
616 uncertainty in SOA yield) and introduces significant uncertainties in estimating OA concentrations
617 and its subsequent influences on climate and human exposure.

618 The large model uncertainties call for ambient observations to constrain model results.
619 Isolating and measuring SOA production from specific sources are challenging because SOA is a
620 complex mixture consisting of thousands of compounds and SOA evolves dynamically in the
621 atmosphere. A widely used method to apportion OA into different characteristic sources is positive
622 matrix factorization (PMF) analysis on the organic mass spectra measured by aerosol mass
623 spectrometer (AMS) (Ulbrich et al., 2009; Jimenez et al., 2009; Ng et al., 2010). PMF-AMS
624 analysis groups OA constituents with similar mass spectra and temporal variations into
625 characteristic OA subtypes (i.e., factors). This analysis has revealed that concentration of
626 oxygenated OA (OOA), which is a surrogate of SOA, is much greater than that of hydrocarbon-
627 like OA (HOA), which is a surrogate of POA (Zhang et al., 2007). In many circumstances
628 especially in warmer months, more than one SOA factor is resolved from PMF analysis, often
629 including less-oxidized oxygenated OA (LO-OOA, also denoted as semi-volatile oxygenated
630 organic aerosol in older studies) and more-oxidized oxygenated OA (MO-OOA, also denoted as
631 low-volatility oxygenated organic aerosol in older studies). LO-OOA and MO-OOA are

632 differentiated by their degree of carbon oxidation. These two factors together account for more
633 than half of total submicron OA (Crippa et al., 2014; Xu et al., 2015a; Jimenez et al., 2009). Despite
634 of their large abundance, the sources of LO-OOA and MO-OOA are unclear and likely vary with
635 location and season. ~~Early studies, primarily based on comparison of the mass spectra of OA~~
636 ~~factors with those of laboratory-generated SOA,~~ Early studies proposed that LO-OOA is freshly
637 formed SOA from various sources and evolves into MO-OOA with photochemical aging in the
638 atmosphere (Jimenez et al., 2009; Ng et al., 2010). Later, a number of possible sources have been
639 proposed for MO-OOA, including SOA from long-range transport (Hayes et al., 2013; Robinson
640 et al., 2011b), aged biomass burning OA (Bougiatioti et al., 2014; Grieshop et al., 2009), humic-
641 like substances (El Haddad et al., 2013), highly oxygenated molecules (HOMs) formed in the
642 oxidation of monoterpenes (Mutzel et al., 2015; Ehn et al., 2014), and aqueous phase processing
643 (Xu et al., 2016c). Regarding the sources of LO-OOA, Zotter et al. (2014) applied radiocarbon
644 analysis and showed that 68-75% of carbon in LO-OOA in California stems from fossil sources.
645 In the southeastern U.S., Xu et al. (2015a) suggested that the oxidation of biogenic β -pinene by
646 nitrate radicals (NO_3) contributes to LO-OOA, though this reaction alone cannot replicate the
647 magnitude of LO-OOA (Pye et al., 2015). ~~These studies significantly advanced our knowledge of~~
648 ~~the~~

649 ~~_____ Many different sources and evolution of ambient OA; however, uncertainties associated~~
650 ~~with the sources of these OA factors still exist. As a result, atmospheric models typically use the~~
651 ~~lumped of LO-OOA and MO-OOA concentration to constrain simulated total SOA concentration,~~
652 ~~which hinders our ability to diagnose the cause of discrepancies between modeled and observed~~
653 ~~aerosol concentrations. Many sources of LO-OOA and MO-OOA are have been~~ proposed
654 primarily based on comparing the mass spectra between ambient OA factors and laboratory-
655 generated SOA (Jimenez et al., 2009; Kiendler-Scharr et al., 2009; Ng et al., 2010). While the
656 mass spectra comparison approach largely improves our understanding of ambient OA factors, this
657 approach has the following limitations. Firstly, the similarity between two mass spectra is a
658 subjective determination. In other words, a good correlation coefficient (R) value between the
659 mass spectra of an ambient OA factor and a specific type of laboratory SOA does not imply that
660 the laboratory SOA contributes to the specific ambient OA factor. Secondly, such subjectively-
661 defined similarity does not provide quantitative insights into the contribution of SOA from a
662 certain source to a specific OA factor. For example, previous studies have shown that the mass

663 spectrum of laboratory α -pinene SOA is the most similar to that of LO-OOA (Jimenez et al., 2009;
664 Kiendler-Scharr et al., 2009; Ng et al., 2010). ~~However, the similarity between two mass spectra~~
665 ~~is a subjective determination. Further, the subjectively defined similarity cannot tell what is the~~
666 ~~fraction of SOA from a certain source contributes to one OA factor. Overall, considering. However,~~
667 this similarity neither guarantees that α -pinene SOA is exclusively apportioned into LO-OOA, nor
668 provides information regarding what fraction of α -pinene SOA is apportioned into LO-OOA in
669 ambient environments. Thus, uncertainties associated with the sources of these OA factors still
670 exist. Considering the large abundance of OOA subtypes and their use as surrogates for ambient
671 SOA, understanding the sources of compounds composing these two ~~LO-OOA~~ subtypes is critical
672 to constrain atmospheric models and ~~the~~ SOA budget.

673 In this study, we integrate lab-in-the-field experiments, extensive ambient ground
674 measurements, and state-of-the-art modeling to improve the understanding of the sources of OA
675 factors and better constrain the OA budget from MT and SQT. Based on lab-in-the-field
676 experiments, we provide objective evidence that newly formed SOA from α -pinene (an important
677 monoterpene) and β -caryophyllene (an important sesquiterpene) is dominantly apportioned to LO-
678 OOA in the southeastern U.S. In addition, we model the SOA concentration from the oxidation of
679 MT and SQT (denoted as SOA_{MT+SQT}) and show that SOA_{MT+SQT} reasonably reproduces the
680 magnitude and diurnal variability of LO-OOA measured at multiple sites in the southeastern U.S.
681 Together with other evidence in the literature, we propose that LO-OOA can be used as a measure
682 of SOA_{MT+SQT} in the southeastern U.S. Finally, we discuss how the lab-in-the-field approach
683 allows for the study of SOA formation under realistic atmospheric conditions, which bridges
684 laboratory studies and field measurements and provides a direct way to evaluate the atmospheric
685 relevancy of laboratory studies.

686 **2 Method**

687 **2.1 Lab-in-the-field perturbation experiments**

688 The perturbation experiments were performed in July-August 2016 on the rooftop of the
689 Environmental Science and Technology building on the Georgia Institute of Technology campus.
690 This measurement site is an urban site in Atlanta, Georgia. Multiple ambient field studies have
691 been performed at this site previously (Xu et al., 2015b; Hennigan et al., 2009; Verma et al., 2014).
692 A 2m³ Teflon chamber (cubic shape) (Fig. 1) was placed outdoor on the rooftop of the building.

693 The eight corners of the chamber were open (~2"×2") to the atmosphere to allow for continuous
694 exchange of air with the atmosphere. The perturbation procedure is briefly described below and
695 illustrated in Fig. A1. Firstly, we continuously flushed the chamber with ambient air using two
696 fans, which were placed at two corners of the chamber. During this flushing period, all instruments
697 sampled ambient air and were not connected to the chamber. The flushing period lasted at least 3
698 hours to ensure that the air composition in the chamber is the same as ambient composition.
699 Secondly, we stopped both fans and connected all instruments to chamber. Because of the
700 continued sampling by the instruments (~20 liter per minute) and the open corners of the chamber,
701 ambient air continuously entered the chamber, even though the two fans were turned off. Thirdly,
702 after sampling the chamber for about 30min, we injected a known amount of VOC (liquid) into
703 the chamber with a needle, where the liquid vaporized upon injection. We continuously monitored
704 the chamber composition for ~40 min after VOC injection. Lastly, we disconnected all instruments
705 from the chamber, sampled ambient air, and turned on two fans to flush the chamber to prepare
706 for the next perturbation experiment.

707 Each perturbation experiment can be divided into the following four periods: Amb_Bf
708 (30min ambient measurement period before sampling chamber), Chamber_Bf (from sampling
709 chamber to VOC injection, a period ~30min), Chamber_Af (from VOC injection to stop sampling
710 chamber, a period ~40min), and Amb_Af (30min ambient measurement period after sampling
711 chamber). ~~We~~We perform PMF analysis on the combined ambient and perturbation data and then
712 calculate the changes in the mass concentration of OA factors ~~after perturbation~~ based on the
713 difference between Chamber_Bf and Chamber_Af, after taking ambient variation into account.
714 The detailed procedure is presented in Appendix A. We develop a comprehensive set of criteria to
715 determine if the changes are statistically significant and if the changes are simply due to ambient
716 variations. The details of these criteria are also discussed in Appendix A.

717 We perturbed the chamber content by injecting one of the following VOCs: isoprene, α -
718 pinene, β -caryophyllene, *m*-xylene, or naphthalene, which are major biogenic or anthropogenic
719 emissions. We focused on α -pinene and β -caryophyllene, because of their large abundances in
720 their classes and that they are widely studied in the literature (Eddingsaas et al., 2012a; Kurtén et
721 al., 2015; Tasoglou and Pandis, 2015; Ehn et al., 2014; Pathak et al., 2007) ~~and they have large~~
722 ~~abundances in their classes.~~ For example, α -pinene accounts for about half of monoterpenes
723 emissions (Guenther et al., 2012) and β -caryophyllene is one of the most abundant sesquiterpenes

724 (Helmig et al., 2007). ~~The injected~~We aim to inject as low of a VOC amounts were carefully
725 selected. mixing ratio as possible to be atmospherically relevant. If the injection amount is too large,
726 it is not atmospherically relevant, produces too much SOA, and will be produced, which will bias
727 subsequent analysis. ~~If~~We use a needle to inject liquid sample into the injection amount is too
728 small, chamber. Limited by the produced SOA would fall below needle size, 0.2 μ L is selected
729 because it is the detection limit of the experimental approach minimal amount we could inject with
730 reliable accuracy. The VOC oxidation occurred in ambient air (inside the chamber) and lasted ~40
731 min. The OA concentration in the chamber after perturbation ranges from 4 to 16 $\mu\text{g m}^{-3}$, which is
732 within the range of typical ambient OA concentrations: in the southeastern U.S.

733 We note that several previous studies have used ambient air (Palm et al., 2017; Leungsakul
734 et al., 2005; Peng et al., 2016), but experimental approaches and purposes of previous studies are
735 different from this study. For example, In Leungsakul et al. (2005), the rural ambient air was used
736 to flush and clean the 270m³ outdoor chamber reactor. After the flushing, both VOCs and oxidants
737 were injected to produce SOA, the concentration of which were orders of magnitude higher than
738 atmospheric levels. In this study, we use ambient air with pre-existing OA in order to examine
739 which factor(s) the fresh ~~α -pinene and β -caryophyllene~~ SOA from injected VOC are apportioned
740 into by PMF analysis. We aim to produce SOA only from injected ~~α -pinene or β -~~
741 ~~caryophyllene~~ VOC, so that an important distinction between our study and pervious work is that
742 we perturbed the ambient air by only VOCs, ~~not extra oxidant~~ and no additional oxidants are
743 introduced into the chamber.

744 The perturbation experiments are designed to address some limitations of the mass spectra
745 comparison approach by providing objective and quantitative evaluations. By producing SOA
746 from a known precursor, PMF analysis allows for the apportionment of the newly-formed SOA
747 into various factors without any subjective judgement on the similarity in mass spectra, and
748 provides quantification of the fraction of the newly formed SOA that is apportioned into each
749 factor. The perturbation experiments utilize the actual mixing between ambient OA and newly
750 formed SOA from perturbation, which a standard chamber experiment would not achieve, meaning
751 that the performance of the factorization can be more directly inspected. In addition, as the same
752 instrument set-up is used for both ambient sampling and perturbation experiments, factorization
753 results are free of instrument tuning issues.

754 **2.2 Analytical instruments**

755 A suite of analytical instruments was deployed to characterize both the gas-phase and particle-
756 phase compositions. The particle-phase composition was monitored by a scanning mobility
757 particle sizer (SMPS, TSI) and a high resolution time-of-flight aerosol mass spectrometer (HR-
758 ToF-AMS, Aerodyne), which shared the same stainless steel sampling line. A diaphragm pump
759 (flow rate ~8 liter per minute) was connected to this sampling line, which increased the sampling
760 flow rate and reduced particle loss in the sampling line by reducing the residence time in the tubing.
761 The HR-ToF-AMS measures the chemical composition and size distribution of submicron non-
762 refractory species (NR-PM₁) with high temporal resolution. The instrument details about HR-ToF-
763 AMS have been extensively discussed in the literature (Canagaratna et al., 2007; DeCarlo et al.,
764 2006) and the operation of HR-ToF-AMS in this study is described in the section S2 of Supplement.

765 The gas-phase composition and oxidation products was monitored by an O₃ analyzer
766 (Teledyne T400, lower detectable limit 0.6ppb), an ultrasensitive chemiluminescence NO_x monitor
767 (Teledyne 200EU, lower detectable limit 50ppt), and a high-resolution time-of-flight chemical
768 ionization mass spectrometer (HR-ToF-CIMS). The HR-ToF-CIMS with I⁻ as reagent ion can
769 measure a suite of oxygenated volatile organic compounds (oVOCs) at high frequency (1Hz).
770 Detailed working principles and sampling protocol can be found in Lee et al. (2014). The
771 concentrations of VOCs were not measured in this study. All gas-phase measurement instruments
772 shared the same Teflon sampling line. Similar to the particle sampling line, a diaphragm pump
773 (flow rate ~8 liter per minute) was connected to the gas sampling line to reduce the residence time
774 in the tubing.

775 **2.3 Positive Matrix Factorization (PMF) analysis**

776 PMF analysis has been widely used for aerosol source apportionment in the atmospheric chemistry
777 community (Jimenez et al., 2009; Crippa et al., 2014; Xu et al., 2015a; Ng et al., 2010; Ulbrich et
778 al., 2009; Beddows et al., 2015; Visser et al., 2015). PMF solves bilinear unmixing factor model
779 by minimizing the summed least squares errors of the fit weighted with the error estimates of each
780 measurement (Paatero and Tapper, 1994; Ulbrich et al., 2009). We utilized the PMF2 solver, which
781 does not require a priori information and reduces subjectivity. In this study, we performed PMF
782 analysis on the high-resolution mass spectra of organic aerosol (inorganic species are excluded) of
783 combined ambient and perturbation data in the one-month measurements. Considering that (1) the

784 perturbation data only account for ~10% of total data and (2) the OA concentration is similar
785 between the perturbation experiments and typical ambient measurements, the perturbation
786 experiments do not create a new factor that does not already exist in the ambient data. This is
787 desirable because it allows PMF analysis to apportion the newly formed OA in the perturbation
788 experiments into pre-existing OA factors in the atmosphere.

789 We resolved five OA factors, including hydrocarbon-like OA (HOA), cooking OA (COA),
790 isoprene-derived OA (isoprene-OA), less-oxidized oxygenated OA (LO-OOA), and more-
791 oxidized oxygenated OA (MO-OOA). The time series and mass spectra of OA factors are shown
792 in Fig. 2. The same 5 factors have been identified at the same measurement site and extensively
793 discussed in the literature (Xu et al., 2015a; Xu et al., 2015b; Xu et al., 2017). Below, we only
794 provide a brief description on these OA factors and more details are discussed in section S3 of
795 Supplement. The mass spectrum of HOA is dominated by hydrocarbon-like ions ($C_xH_y^+$ ions) and
796 HOA is a surrogate of primary OA from vehicle emissions (Zhang et al., 2011). For COA, its
797 concentration is higher at meal times and its mass spectrum is characterized by prominent signal
798 at ions $C_3H_5^+$ (m/z 41) and $C_4H_7^+$ (m/z 55), which likely arise from fatty acids (Huang et al., 2010;
799 Mohr et al., 2009; Allan et al., 2010). The mass spectrum of isoprene-OA is characterized by
800 prominent signal at ions $C_4H_5^+$ (m/z 53) and $C_5H_6O^+$ (m/z 82) and it is related to the reactive uptake
801 of isoprene oxidation products, isoprene epoxydiols (IEPOX) (Budisulistiorini et al., 2013; Hu et
802 al., 2015; Robinson et al., 2011a; Xu et al., 2015a). LO-OOA and MO-OOA are named based on
803 their differing carbon oxidation state, that is, from -0.70 to -0.34 for LO-OOA and from -0.18 to
804 0.71 for MO-OOA in the southeastern U.S. (Xu et al., 2015b). We performed 100 bootstrapping
805 runs to quantify the uncertainty of PMF results. As shown in Fig. S1, the statistical uncertainties
806 in the time series and mass spectra of 5 factors are small and the PMF results reported in this study
807 are robust.

808 2.4 Details of multiple ambient sampling sites

809 Measurements at multiple sites in the southeastern U.S. were performed as part of Southeastern
810 Center for Air Pollution and Epidemiology study (SCAPE) and Southern Oxidant and Aerosol
811 Study (SOAS) in 2012 and 2013. Detailed descriptions about these field studies have been
812 discussed in the literature (Xu et al., 2015a; Xu et al., 2015b) and section S4 of Supplement. The
813 sampling periods are shown in Table S1 and the sampling sites are briefly discussed below.

814 • Georgia Tech site (GT): This site is located on the rooftop of the Environmental Science and
815 Technology building on the Georgia Institute of Technology (GT) campus, which is about 30-40m
816 above the ground and 840m away from interstate I75/85. This is an urban site in Atlanta. This is
817 also where the perturbation experiments in this study were conducted.

818 • Jefferson Street site (JST): This is a central SEARCH (SouthEastern Aerosol Research and
819 Characterization) site, which is in Atlanta's urban area with a mixed commercial and residential
820 neighborhood. It is about 2 km west of the GT site. The JST and GT sites are in the same grid cell
821 in CMAQ.

822 • Yorkville site (YRK): This is a central SEARCH site located in a rural area in Georgia. This site
823 is surrounded by agricultural land and forests and is at about 80 km northwest of JST site.

824 • Centreville site (CTR): This is a central SEARCH site in rural Alabama. The sampling site is
825 surrounded by forests and away from large urban areas (55km SE and 84 km SW of Tuscaloosa
826 and Birmingham, AL, respectively). The is the main ground site for the SOAS campaign.

827 **2.5 Laboratory chamber study on SOA formation from α -pinene**

828 To compare with results from the lab-in-the-field perturbation experiments, we performed
829 laboratory experiments to study the SOA formation from α -pinene photooxidation under different
830 NO_x conditions in the Georgia Tech Environmental Chamber (GTEC) facility. The facility consists
831 of two 12 m³ indoor Teflon chambers, which are suspended inside a temperature-controlled
832 enclosure and surrounded by black lights. The detailed description about chamber facility can be
833 found in Boyd et al. (2015). The experimental procedures have been discussed in Tuet et al. (2017).
834 In brief, the chambers were flushed with clean air prior to each experiment. Then, α -pinene and
835 oxidant sources (i.e., H₂O₂, NO₂, or HONO) were injected into chamber. Once the concentrations
836 of species stabilize, the black lights were turned on to initiate photooxidation. The experimental
837 conditions are summarized in Table S2. Considering that the OA mass concentration affects the
838 partitioning of semi-volatile organic compounds (Odum et al., 1996) and hence affects the organic
839 mass spectra measured by AMS, we calculated the average mass spectra in these laboratory studies
840 by only using the data when the OA mass concentration is below 10 $\mu\text{g m}^{-3}$, which is similar to
841 that in our ambient perturbation experiments.

842 **2.6 Community Multiscale Air Quality (CMAQ) Model**

843 We To test the hypothesis that a large fraction of LO-OOA originates from monoterpenes and
844 sesquiterpenes in the southeastern U.S., we used the Community Multiscale Air Quality (CMAQ)
845 atmospheric chemical transport model to simulate the ~~pollutant concentrations across~~ SOA from
846 monoterpenes and sesquiterpenes (SOA_{MT+SQT}) in the southeastern U.S. and then compared the
847 simulated SOA_{MT+SQT} with measured LO-OOA. CMAQ v5.2gamma was run over the continental
848 U.S. for time periods between May 2012 to July 2013 with 12km × 12km horizontal resolution.
849 We focus our analysis on the southeastern U.S., which comprises 11 states (Arkansas, Alabama,
850 Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Tennessee,
851 and Virginia). The meteorological inputs were generated with version 3.8 of the Weather Research
852 and Forecasting model (WRF), Advanced Research WRF (ARW) core. We also applied lightning
853 assimilation to improve convective rainfall (Heath et al., 2016). Anthropogenic emissions were
854 based on the EPA (Environmental Protection Agency) NEI (National Emission Inventory) 2011
855 v2. Biogenic emissions were predicted by the BEIS (Biogenic Emission Inventory System) v3.6.1.
856 The gas-phase chemistry was based on CB6r3 (Carbon Bond v6.3).

857 We performed two simulations with different organic aerosol treatment. The “default
858 simulation” generally follows the scheme of Carlton et al. (2010), with the addition of IEPOX
859 SOA following Pye et al. (2013) and documented in Appel et al. (2017) (Fig. S2a). The traditional
860 two-product absorptive partitioning scheme (Odum et al., 1996) is used in “default simulation” to
861 describe SOA formation from monoterpenes using data from laboratory experiments by Griffin et
862 al. (1999). In the “updated simulation”, we incorporate two recent findings. Firstly, we
863 implemented MT+NO₃ chemistry to explicitly account for the organic nitrate compounds that have
864 recently been shown to be a ubiquitous and important component of OA (Pye et al., 2015;
865 Kiendler-Scharr et al., 2016; Lee et al., 2016; Ng et al., 2017). We follow the scheme described in
866 Pye et al. (2015) to represent the formation and partition of organic nitrates from monoterpenes
867 via multiple reaction pathways (i.e., oxidation by NO₃ and oxidation by OH/O₃ followed by
868 RO₂+NO). Secondly, we improved the parameterization of SOA formation from MT+O₃/OH
869 based on a recent study by Saha and Grieshop (2016), who applied a dual-thermodenuder system
870 to study the α-pinene ozonolysis SOA. The authors extracted parameters (i.e., SOA yields and
871 enthalpies of evaporation) by using an evaporation-kinetics model and volatility basis set (VBS).
872 The SOA yields in Saha and Grieshop (2016) are consistent with recent findings on the formation
873 of HOMs (Ehn et al., 2014; Zhang et al., 2015) and help to explain the observed slow evaporation

874 of α -pinene SOA (Vaden et al., 2011). In the updated simulation, we use the VBS framework with
875 parameters derived from Saha and Grieshop (2016). The new parameterization allows for
876 enthalpies of vaporization that are more consistent with species of the specified volatility. The
877 properties of the volatility bins in the VBS framework are listed in Table S3. A schematic of SOA
878 treatment in “updated simulation” is shown in Fig. S2b. In the following discussions, we focus on
879 the results from “updated simulation”. The comparison between “default simulation” and “updated
880 simulation” can be found in the section S5 of Supplement.

881 **3 Results and Discussions**

882 **3.1 α -pinene perturbation experiments**

883 A total of 19 α -pinene perturbation experiments were performed at different times of the day (i.e.,
884 from 9am to 9pm) to probe a wide range of reaction conditions. The injection time and
885 concentrations of O₃ and NO_x during α -pinene perturbation experiments are summarized in Table
886 S4. Based on the chamber volume and injected liquid α -pinene volume, (0.2 μ L), initially \sim 14 ppb
887 α -pinene is injected into chamber. Due to lack of VOC measurements, we build a box model to
888 simulate the fate of α -pinene in the chamber (section S6 of Supplement). We estimate that ~~only a~~
889 ~~small fraction (2–5ppb)~~ roughly 10% of α -pinene is reacted in the chamber and most of α -pinene is
890 carried out of the chamber due to dilution with ambient air.

891 Fig. 3 shows the time series of OA factors in a typical α -pinene perturbation experiment.
892 An evident burst and increase of LO-OOA after α -pinene injection occurs. This provides direct
893 evidence that freshly formed α -pinene SOA contributes to LO-OOA. About 15 min after α -pinene
894 injection, LO-OOA concentration starts to decrease, as ambient air continuously flows into the
895 chamber and dilutes the concentration of LO-OOA (section S6 of Supplement). As shown in Fig.
896 S3, the major known gas-phase oxidation products of α -pinene measured by HR-ToF-CIMS
897 (Eddingsaas et al., 2012b; Lee et al., 2016; Yu et al., 1999) show an immediate increase after α -
898 pinene injection. This verifies the rapid oxidation of α -pinene in the chamber.

899 Fig. 4a shows the perturbation-induced changes in the concentrations of OA factors for all
900 α -pinene experiments. Out of 19 experiments, the LO-OOA concentration is enhanced in 14
901 experiments. Also, among all OA factors, LO-OOA shows the largest enhancement. This directly
902 supports that freshly formed α -pinene SOA contributes to LO-OOA. The enhancement in LO-
903 OOA concentration differs between experiments, mainly because the perturbations were

904 performed at different times of day (i.e., from 9am to 9pm) and with different reaction variables
905 (i.e., temperature, relative humidity, oxidants concentrations, NO_x, etc). Despite the large
906 difference in reaction conditions, we note that both LO-OOA enhancement amount and LO-OOA
907 formation rate (i.e., slope of LO-OOA increase) correlate positively with ozone concentration (Fig.
908 5). This correlation suggests that the concentration of oxidants, both ozone and hydroxy radical
909 (OH, which is not measured in this study but is known to positively correlate with ozone in the
910 atmosphere), plays a more controlling role in the amount of OA formed in α -pinene experiment
911 than other reaction variables do. This is likely because higher oxidant concentrations lead to more
912 α -pinene consumption and hence more OA production with the same reaction time.

913 MO-OOA only increases in 1 out of 19 α -pinene experiments. The highly oxygenated
914 molecules (HOMs), which are rapidly produced from the oxidation of α -pinene, are a hypothesized
915 source of MO-OOA, because of the high O:C ratio of HOMs (Ehn et al., 2014; Mutzel et al., 2015).
916 However, HOMs are first generation monoterpene products co-formed with semivolatile SOA
917 species, and the lack of enhancement in MO-OOA suggests that the HOMs are unlikely
918 contributors to MO-OOA. We cannot rule out the possibilities that HOMs are not formed under
919 our experimental conditions, and future studies on the simultaneous verification of HOMs
920 formation and apportion of HOMs by PMF analysis are warranted.

921 Isoprene-derived OA (isoprene-OA) increases in 7 out of 19 α -pinene experiments. This
922 increase is surprising because the isoprene-OA factor (also referred to as “IEPOX-OA” in some
923 studies) is typically interpreted as SOA from the reactive uptake of IEPOX, but our results suggest
924 that the isoprene-OA factor could have interferences from α -pinene SOA. The isoprene-OA
925 enhancement is due to interference from newly formed α -pinene SOA, rather than that the injected
926 α -pinene affecting the oxidation of pre-existing isoprene or affecting the gas/particle partitioning
927 of pre-existing semi-volatile species in the chamber, because of the following reasons. Firstly,
928 based on I⁻ HR-ToF-CIMS measurement, the concentration of isoprene oxidation products, such
929 as IEPOX+ISOPOOH (C₅H₁₀O₃•I⁻) and isoprene hydroxyl nitrates (C₅H₉NO₄•I⁻), did not change
930 after α -pinene injection (Fig. S3b). In addition, after injecting α -pinene, the increase in SOA
931 concentration increases less than 4 $\mu\text{g m}^{-3}$, which does not substantially perturb the gas/particle
932 partition of pre-existing semi-volatile species. Finally, the time series of isoprene-OA and LO-
933 OOA in the same α -pinene perturbation experiment is strongly correlated (Fig. S4a). It is well
934 studied that isoprene produces SOA slower than α -pinene, as isoprene SOA involves higher-

935 generation products. If the enhancement in isoprene-OA factor is due to isoprene oxidation, the
936 enhancement of isoprene-OA is expected to occur later than the enhancement of LO-OOA, but it
937 is not observed in the experiments. Thus, the strong correlation between isoprene-OA and LO-
938 OOA in the same α -pinene perturbation experiment serves as another evidence that the
939 enhancement in isoprene-OA factor is due to interference from newly formed α -pinene SOA,
940 rather than oxidation of isoprene after injecting α -pinene.

941 The interference of α -pinene SOA on isoprene-OA factor helps to address some
942 uncertainties regarding the isoprene-OA factor in the literature. For example, Liu et al. (2015)
943 compared the mass spectrum of laboratory-derived IEPOX SOA with isoprene-OA factors at some
944 sites. The authors observed stronger correlation for isoprene-OA factors resolved at Borneo
945 (Robinson et al., 2011a) and Amazon (Chen et al., 2015), and weaker correlation at Atlanta, U.S.
946 (Budisulistiorini et al., 2013) and Ontario, Canada (Slowik et al., 2011). As another example, the
947 fraction of measured total IEPOX SOA molecular tracers in isoprene-OA factor highly varies with
948 location, ranging from 26% at Look Rock, TN (Budisulistiorini et al., 2015) to 78% at Centreville,
949 AL (Hu et al., 2015). To address the uncertainties in the above two examples, one possible reason
950 is that the isoprene-OA factors resolved at different sites are not purely from IEPOX uptake.
951 Isoprene-OA factors likely have interference from monoterpenes SOA or other sources, but the
952 interference magnitude varies with locations.

953 While the perturbation experiments clearly point out the possibility that isoprene-OA factor
954 could have interference from α -pinene SOA, two~~three~~ caveats should be kept in mind. First, in this
955 study, the enhancement magnitude of isoprene-OA is $\sim 20\%$ of that of LO-OOA enhancement (Fig.
956 S5a), but ~~the~~this interference magnitude would vary with locations and seasons. Second, the
957 perturbation experiments simulate a period with increasing α -pinene SOA concentration. The
958 applicability of the conclusions drawn from this specific scenario to general atmosphere with more
959 dynamic variations of OA sources warrants further exploration. Third, the perturbation
960 experiments are conducted at ground level, whereas evidence from aircraft studies suggests that
961 production of isoprene SOA may be stronger at the top of the boundary layer (Allan et al., 2014).

962 Primary OA factors, i.e., HOA and COA, only show slight increases in 1 or 2 α -pinene
963 experiments, indicating a lack of interference from α -pinene SOA in these factors.

964 3.2 β -caryophyllene perturbation experiments

965 A total of 6 β -caryophyllene perturbation experiments were performed. Initially ~ 10 ppb $0.2 \mu\text{L}$ β -
966 caryophyllene is injected into the chamber, corresponding to a mixing ratio of 10 ppb. The
967 concentrations of O_3 and NO_x during β -caryophyllene perturbation experiments are summarized
968 in Table S4. In all β -caryophyllene perturbation experiments, LO-OOA also shows a significant
969 enhancement (Fig. 4b). This clearly shows that the freshly formed SOA from β -caryophyllene
970 oxidation can be another source of LO-OOA. In addition to LO-OOA, COA shows an unexpected
971 increase in 5 out of 6 β -caryophyllene experiments. We have ample evidence that the COA factor
972 at the measurement site has contributions from cooking activities. Firstly, the diurnal variation of
973 COA peaks during meal times (Fig. S6a). Additionally, the COA concentration shows clear
974 increase on football days, consistent with barbecue activities on campus and close to the
975 measurement site. Finally, the COA concentration is enhanced on the days right before the start of
976 a new semester when there are many fraternity/sorority rush events (i.e., barbecue activities) on
977 campus (Fig. S6b and S6c). However, the COA enhancement in β -caryophyllene experiments
978 underscores the fact that COA may not be purely from cooking activities in areas with large
979 biogenic emissions.

980 3.3 Perturbation experiments with other VOCs

981 In addition to α -pinene and β -caryophyllene, we also performed a few perturbation experiments
982 by injecting isoprene, *m*-xylene, or naphthalene. However, the SOA formation from these VOCs
983 is not detectable. This is mainly due to either lower SOA yields (of isoprene) or slower oxidation
984 rates (of *m*-xylene and naphthalene) compared to α -pinene and β -caryophyllene, which are
985 discussed in section S6 of Supplement.

986 We have also performed four perturbation experiments by injecting acidic sulfate particles
987 to probe reactive uptake of IEPOX. We observed enhancement in isoprene-OA concentration after
988 the injection of sulfate particles. The detailed results are included in Appendix B.

989 ~~3.4 Compare conclusions from lab-in-the-field perturbation experimental approach vs. mass~~ 990 ~~spectra-comparison approach~~

991 ~~Based on the lab-in-the-field perturbation experiments, we show that fresh SOA from α -pinene~~
992 ~~and β -caryophyllene oxidations are mainly apportioned into LO-OOA. This finding is consistent~~
993 ~~with previous studies which concluded that LO-OOA (also denoted as semi-volatile oxygenated~~
994 ~~organic aerosol, SV-OOA, in older studies) represents freshly formed SOA. The conclusion from~~

1000 previous studies is mainly based on mass spectra comparison approach, that is, the mass spectra
1001 of laboratory generated fresh SOA from various sources are similar to that of LO-OOA. While
1002 we acknowledge that the mass spectra comparison approach largely improves our understanding
1003 of OA factors, we believe that the perturbation experimental approach provides more objective
1004 and quantitative conclusions by addressing some limitations of the mass spectra comparison
1005 approach. The mass spectra comparison approach has the following limitations. 3.4 Firstly, the
1006 similarity between two mass spectra is a subjective determination. In other words, what correlation
1007 coefficient (R) value implies SOA from a certain source contributes to a specific OA factor? For
1008 example, the R values between laboratory generated α -pinene SOA (using HONO as OH source)
1009 with LO-OOA, isoprene OA, and MO-OOA in this study are 0.96, 0.88, and 0.81, respectively.
1010 Using these R values to imply whether α -pinene SOA contributes to a certain OA factor or not is
1011 subjective. As another example, showed that the mass spectrum of α -pinene SOA becomes more
1012 similar to that of MO-OOA than that of LO-OOA with photochemical aging. The ability to
1013 determine when and how much α -pinene SOA is apportioned to MO-OOA based on an R value is
1014 subjective. Secondly, the conclusions from mass spectra comparison approach are qualitative.
1015 Even if the mass spectrum of α -pinene SOA is the most similar to LO-OOA, this similarity does
1016 not guarantee that all α -pinene SOA is apportioned into LO-OOA and this similarity does not
1017 provide information regarding what fraction of α -pinene SOA is apportioned into LO-OOA.

1018 The perturbation experiments could address the limitations of mass spectra comparison
1019 approach and provide more objective and quantitative conclusions. Firstly, the perturbation
1020 experiments simulate a short period of time with increasing α -pinene SOA concentration. We
1021 perform PMF analysis on the combined ambient data and perturbation data. PMF analysis does
1022 not distinguish SOA from natural α -pinene vs. from injected α -pinene, so that PMF analysis can
1023 objectively apportion α -pinene SOA into factors. Thus, the conclusions from the perturbation
1024 experiments are directly drawn without any subjective judgement on the similarity in mass spectra.
1025 Secondly, using the perturbation data, we attempt to quantify the fraction of fresh α -pinene SOA
1026 that is apportioned into different factors (i.e., ~80% into LO-OOA, ~20% into isoprene OA, 0%
1027 into MO-OOA, COA, and HOA). Although further studies are required to extrapolate the
1028 conclusions from perturbation experiments to real atmosphere, a similar quantitative
1029 understanding cannot be obtained from simple mass spectra comparison approach. Thirdly, the
1030 perturbation experiments have the potential to utilize subtle differences across the entire the mass

1026 spectrum to evaluate the sources of OA factors. Based on previous laboratory study, the mass
1027 spectrum of α -pinene SOA is highly correlated ($R = 0.97$) with that of β -caryophyllene SOA.
1028 Using a mass spectra comparison approach would suggest that these mass spectra are too similar
1029 to be differentiated by PMF analysis. However, perturbation experiments show different behaviors
1030 of α -pinene SOA and β -caryophyllene SOA. That is, a fraction of the fresh β -caryophyllene SOA
1031 is apportioned into COA factor, but similar behavior is not observed for α -pinene SOA. The
1032 different behaviors are likely due to the subtle differences in their mass spectra. For example, f_{55}
1033 (i.e., the ratio of m/z 55 to total signal in the mass spectrum) is typically higher in β -caryophyllene
1034 SOA than α -pinene SOA, and the mass spectrum of COA is characterized by prominent signal at
1035 m/z 55 (Fig. 2). Overall, the perturbation experiments provide more objective and quantitative
1036 insights into the sources of OA factors than traditional mass spectra comparison approach.

1037 **3.5 LO-OOA as a surrogate of SOA_{MT+SQT} in the Southeastern U.S.**

1038 We propose that the major source of LO-OOA in the southeastern U.S. is the fresh SOA from
1039 oxidation of MT and SQT by various oxidants (O_3 , OH, and NO_3), based on ~~the following~~
1040 ~~piece~~multiple lines of evidence. First, the southeastern U.S. is characterized by large biogenic
1041 emissions, including monoterpenes and sesquiterpenes (Guenther et al., 2012). Second, the
1042 majority of carbon in SOA is modern in the southeastern U.S. Weber et al. (2007) measured that
1043 the biogenic fraction of SOA is roughly 70-80% at two urban sites in Georgia that were also used
1044 in our study. We note that measurements in Weber et al. (2007) were performed in 2004 and the
1045 biogenic fraction of SOA is expected to be higher in 2016 than 2004, as a result of reductions in
1046 anthropogenic emissions (Blanchard et al., 2010). Third, previous studies suggest that the
1047 oxidation of β -pinene (another important monoterpene) by nitrate radicals (NO_3) contributes to
1048 LO-OOA in the southeastern U.S. (Boyd et al., 2015; Xu et al., 2015a), though this reaction alone
1049 cannot replicate the magnitude of LO-OOA (Pye et al., 2015). Fourth, the mass spectra of LO-
1050 OOA are almost identical (i.e., R ranges from 0.95 to 0.99 in Fig. S7) across all the seven datasets
1051 in our study. In addition, LO-OOA across all datasets also shares the same diurnal trends (Xu et
1052 al., 2015a). The similarity in LO-OOA features suggests that LO-OOA generally share similar
1053 sources across multiple sites and in different seasons in the southeastern U.S. Fifth, the lab-in-the-
1054 field perturbation experiments provide objective evidence that the majority of freshly formed SOA
1055 from the oxidation of MT and SQT contributes to LO-OOA. Sixthly, using the updated CMAQ
1056 model (i.e., explicit organic nitrates and Saha and Grieshop (2016) VBS for MT+ O_3 /OH SOA),

1057 we found that the simulated $\text{SOA}_{\text{MT+SQT}}$ reasonably reproduces both the magnitude and diurnal
1058 variability of LO-OOA for all sites (Fig. 6a). The model bias is within $\sim 20\%$ for most sites, except
1059 for Centreville, Alabama (i.e., 43% for CTR_June dataset). Fig. 6b present maps of ground-level
1060 $\text{SOA}_{\text{MT+SQT}}$ concentration corresponding to the time periods of observational data, and the
1061 $\text{SOA}_{\text{MT+SQT}}$ concentration is substantially higher in the southeast than other U.S. regions. While,
1062 the $\text{SOA}_{\text{MT+SQT}}$ is present throughout the year, it reaches the largest concentration in summer. The
1063 spatial and seasonal variation of $\text{SOA}_{\text{MT+SQT}}$ concentration is consistent with MT and SQT
1064 emissions (Guenther et al., 2012). The consistency between modeled $\text{SOA}_{\text{MT+SQT}}$ and measured
1065 LO-OOA at multiple sites and in different seasons builds confidence in our hypothesis that LO-
1066 OOA largely arises from the oxidation of MT and SQT in the southeastern U.S.

1067 We note that we do not conclude that LO-OOA arises exclusively from MT and SQT. SOA
1068 from other precursors or other pathways may contribute to LO-OOA, but the related contributions
1069 are expected to be much smaller than MT and SQT in the southeastern U.S. Firstly, the
1070 contributions of anthropogenic SOA to LO-OOA are likely small. The emissions of anthropogenic
1071 VOCs are much weaker than that of biogenic VOCs in the southeastern U.S. (Goldstein et al.,
1072 2009). We modeled that the concentration of anthropogenic SOA is on the order of $0.1 \mu\text{g m}^{-3}$ for
1073 our datasets (Fig. S8). Even if we double the SOA yields of anthropogenic VOCs to account for
1074 the potential vapor wall loss in laboratory studies (Zhang et al., 2014), the concentration of SOA
1075 from anthropogenic VOCs oxidation is still negligible compared to $\text{SOA}_{\text{MT+SQT}}$. The low modeled
1076 concentration of anthropogenic SOA is consistent with Zhang et al. (2018), who showed that the
1077 measured tracers of anthropogenic SOA only account for 2% of total OA in Centreville, AL.
1078 Secondly, other reaction pathways, like aqueous-phase chemistry or some unexplored reaction,
1079 may contribute to LO-OOA. However, the consistency between modeled $\text{SOA}_{\text{MT+SQT}}$ and LO-
1080 OOA suggests that LO-OOA can be reasonably represented by a model based on current
1081 knowledge ~~and it is not necessary to invoke any unexplored mechanisms.~~ In addition, SOA
1082 produced from aqueous-phase chemistry is generally highly oxidized (Lee et al., 2011) and may
1083 be apportioned into MO-OOA, instead of LO-OOA. A recent study by Xu et al. (2016c) suggests
1084 that aqueous-phase SOA is a major source of MO-OOA in China.

1085 We limit our hypothesis that major source of LO-OOA is the oxidation of MT and SQT to
1086 the southeastern U.S. ~~There~~The southeastern US is a unique location in that there have been a large
1087 number of field studies in recent years at multiple locations and seasons throughout the region.

1088 Results from these studies provided additional constraints for OA sources in this region (Carlton
1089 et al., 2018; Zhang et al., 2018; Xu et al., 2015a; Warneke et al., 2016)~~clear~~. At other locations,
1090 there is evidence that LO-OOA factor represents different sources ~~at different locations~~. For
1091 example, radiocarbon analysis shows that 68-75% of carbon in LO-OOA in California stems from
1092 fossil sources (Hayes et al., 2013; Zotter et al., 2014), suggesting the contribution from
1093 anthropogenic SOA to LO-OOA. Also, in the wintertime of many locations, LO-OOA and MO-
1094 OOA are not separated and a single OOA factor is resolved (Xu et al., 2016b; Lanz et al., 2008).
1095 Further developments are needed if one were to use the perturbation experimental approach for
1096 source apportionments of OA at other sites, if auxiliary constraints from field measurements/lab
1097 studies/modeling are not readily available for those sites.

1098 **3.6.5 Connection between laboratory and field studies**

1099 Due to the difficulties associated with accurately measuring complex chemical processes in the
1100 atmosphere, laboratory studies have been an integral part in our understanding of atmospheric
1101 chemistry (Burkholder et al., 2017). However, the representativeness of laboratory studies under
1102 simplified conditions with respect to the complex atmosphere is difficult to evaluate. One unique
1103 feature of our lab-in-the-field approach is that the VOC oxidation and SOA formation proceed
1104 under realistic atmospheric conditions. Taking advantage of this, we provide a direct link between
1105 laboratory studies and ambient observations. Previous laboratory studies have shown that NO can
1106 affect SOA composition by influencing the fate of organic peroxy radical (RO₂, a critical radical
1107 intermediate formed from VOC oxidation) (Kroll and Seinfeld, 2008; Sarrafzadeh et al., 2016;
1108 Presto et al., 2005). To evaluate the representativeness of laboratory studies and investigate the
1109 effects of NO on SOA composition, in Fig. 7, we compare the chemical composition of α -pinene
1110 SOA formed in laboratory studies under different NO conditions (denoted as SOA_{lab}) with those
1111 in α -pinene ambient perturbation experiments (denoted as SOA_{ambient}). The degree of similarity in
1112 OA mass spectra (i.e., evaluated by the correlation coefficient) between laboratory α -pinene SOA
1113 generated under NO-free condition (i.e., denoted as SOA_{lab,NO-free}, using H₂O₂ photolysis as oxidant
1114 source) and SOA_{ambient} shows a strong dependence on ambient NO concentration, under which the
1115 SOA_{ambient} is formed. The degree of similarity in mass spectra decreases rapidly when ambient NO
1116 increases from 0.1 to 0.2ppb, and then reaches a plateau at ~0.3ppb NO. The opposite trend is
1117 observed when laboratory α -pinene SOA generated in the presence of high NO concentrations (i.e.,
1118 denoted as SOA_{lab,high-NO}, using the photolysis of NO₂ or nitrous acid as oxidant source) are

1119 compared with $\text{SOA}_{\text{ambient}}$. These observations show the transition of RO_2 fate as a function of NO
1120 under ambient conditions. For the perturbation experiments performed when ambient NO is below
1121 ~ 0.1 ppb, the mass spectra of $\text{SOA}_{\text{ambient}}$ are similar to $\text{SOA}_{\text{lab,NO-free}}$, consistent with that RO_2
1122 mainly reacts with hydroperoxyl (HO_2) or isomerizes. In contrast, for the perturbation experiments
1123 performed when ambient NO is above ~ 0.3 ppb, the mass spectra of $\text{SOA}_{\text{ambient}}$ are similar to
1124 $\text{SOA}_{\text{lab,high-NO}}$, consistent with that the RO_2 fate is dominated by NO. This NO level (~ 0.3 ppb) is
1125 consistent with the NO level required to dominate the fate of RO_2 in the atmosphere, as calculated
1126 by using previously measured HO_2 and kinetic rate constants (section S8 of Supplement). These
1127 observations also illustrate that the SOA composition from laboratory studies can be representative
1128 of atmosphere. We note that the mass spectra of $\text{SOA}_{\text{ambient}}$ are generally more similar with that of
1129 laboratory SOA generated using NO_2 photolysis as oxidant source than using nitrous acid
1130 photolysis. This suggests that laboratory experiments using NO_2 photolysis as oxidant source
1131 better represent ambient high NO oxidation conditions in the southeastern U.S. than experiments
1132 using nitrous acid do. Possible explanations are discussed in section S7 of Supplement. This
1133 finding provides new insights into designing future laboratory experiments to better mimic the
1134 oxidations in ambient environments.

1135 **4 Implications**

1136 In this study, we performed lab-in-the-field perturbation experiments and provided objective
1137 evidence that the majority of fresh SOA from the oxidation of MT and SQT contributes to LO-
1138 OOA. Based on weight multiple lines of evidence, we propose that LO-OOA can be used as a
1139 surrogate of fresh SOA from MT and SQT in the southeastern U.S. We showed that modeled
1140 $\text{SOA}_{\text{MT+SQT}}$ could reasonably reproduce both the magnitude and diurnal variability of LO-OOA at
1141 different sites and in different seasons. Based on the model simulation, we estimate that the annual
1142 concentration of $\text{SOA}_{\text{MT+SQT}}$ in $\text{PM}_{2.5}$ in the southeastern U.S. is $\sim 2.1 \mu\text{g m}^{-3}$ (i.e., average
1143 concentration over the six sampling periods and over the southeastern U.S. in the updated
1144 simulation). This accounts for $20\pm 1\%$ of World Health Organization $\text{PM}_{2.5}$ guideline (i.e., $10 \mu\text{g m}^{-3}$
1145 annual mean) and indicates a significant contributor of environmental risk to the 77 million
1146 inhabitants in the southeastern U.S. Also, the estimated abundance of $\text{SOA}_{\text{MT+SQT}}$ is substantially
1147 larger than represented in current models (Lane et al., 2008; Zheng et al., 2015), but in line with
1148 the conclusion from Zhang et al. (2018). Zhang et al. (2018) used a different methodology,
1149 characterization of molecular tracers of MT SOA at Centreville, AL (a site included in our study

1150 as well), to conclude that monoterpenes are the largest source of summertime organic aerosol in
1151 the southeastern United States. The oxidation of MT and SQT is likely an under-estimated
1152 contributor to PM in the present day and perhaps during the pre-industrial period, which
1153 determines the baseline state of atmosphere and the estimate of climate forcing by anthropogenic
1154 emissions (Carslaw et al., 2013). Models need to improve the description of the MT and SQT
1155 oxidation to reduce the uncertainties in estimated OA budget and subsequent climate forcing.

1156 Using LO-OOA as a surrogate of SOA_{MT+SQT} in the southeastern U.S., our ambient ground
1157 measurements suggest that at least 19-34% of OA in the southeastern U.S. is from the oxidation
1158 of biogenic monoterpenes and sesquiterpenes (Xu et al., 2015a). The fraction of biogenic OA in
1159 the southeastern U.S. is even larger if we consider that isoprene-OA could account for 21-36% of
1160 OA in summer (albeit potential interferences of SOA from monoterpenes oxidation) and that MO-
1161 OOA (24-49% of OA) likely contains SOA from long-term photochemical oxidation of biogenic
1162 VOCs. The dominant biogenic origin of SOA poses a challenge to control its burden in the
1163 southeastern U.S., if the roles of anthropogenic oxidants and other controlling factors are not
1164 recognized. Previous studies have shown that the SOA formation from biogenic VOCs can be
1165 mediated by anthropogenic emissions, such as nitrogen oxides and sulfur dioxide (Hoyle et al.,
1166 2011; Goldstein et al., 2009; Surratt et al., 2010; Rollins et al., 2012; Xu et al., 2015a). Thus,
1167 regulating anthropogenic emissions could help reduce SOA concentration (Lane et al., 2008; Pye
1168 et al., 2015; Zheng et al., 2015). For example, as observed in our ambient perturbation experiments,
1169 one controlling parameter of α -pinene SOA formation is the concentration of atmospheric oxidants
1170 (O_3 , OH, and NO_3), which are known to strongly depend on NO_x concentration. As it has been
1171 shown that anthropogenic emissions exert complex and non-linear influences on biogenic SOA
1172 formation (Zheng et al., 2015), the effectiveness of regulating anthropogenic emissions on
1173 biogenic SOA burden requires careful investigations.

1174 ——— The lab in the field perturbation experiments provide insights into the OA factors. This
1175 experimental approach can be easily adapted. Future experiments conducted under various
1176 ambient environments and with diverse SOA precursors would facilitate the understanding of OA
1177 factors in other regions of the world.

Instruments are located inside the lab (not shown).
Particle phase: AMS, SMPS
Gas phase: CIMS, O₃, NO_x

The tent is removed during the perturbation experiments.

The chamber volume is ~2 m³.
Eight corners are open.

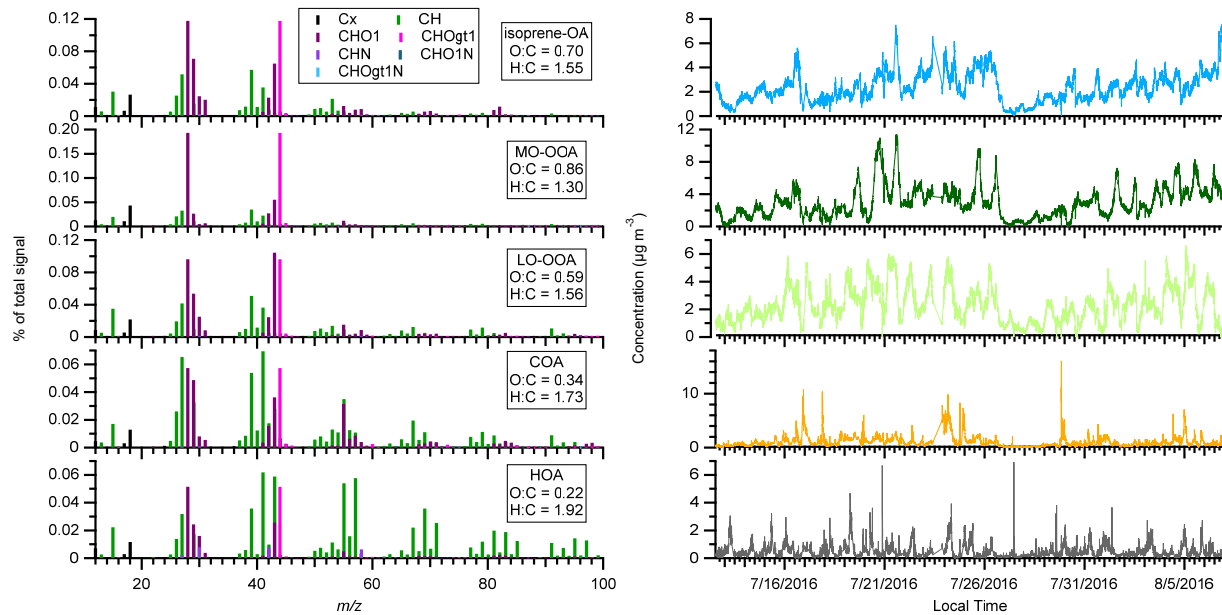


Two fans are used to flush the chamber. The fans are turned off after VOC injection. After turning off the fans, flow rate of air going into the chamber is equal to the instruments pulling flow rate.

1178

1179 Fig. 1. The instrument setup for ambient perturbation experiments.

1180

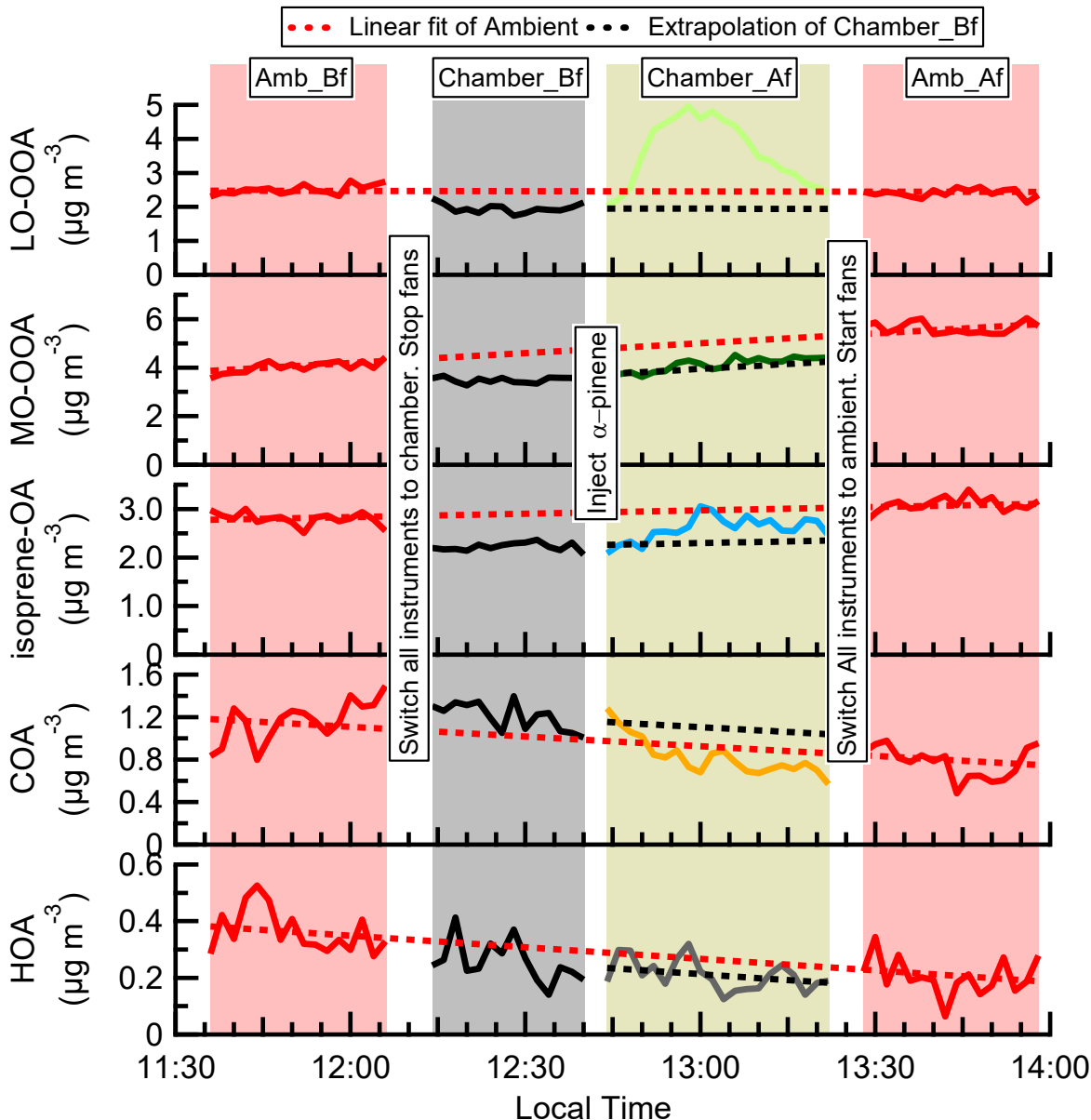


1181

1182 Fig. 2. The mass spectra and time series of OA factors in perturbation study. The time series
 1183 includes both the ambient data and perturbation experiments data.

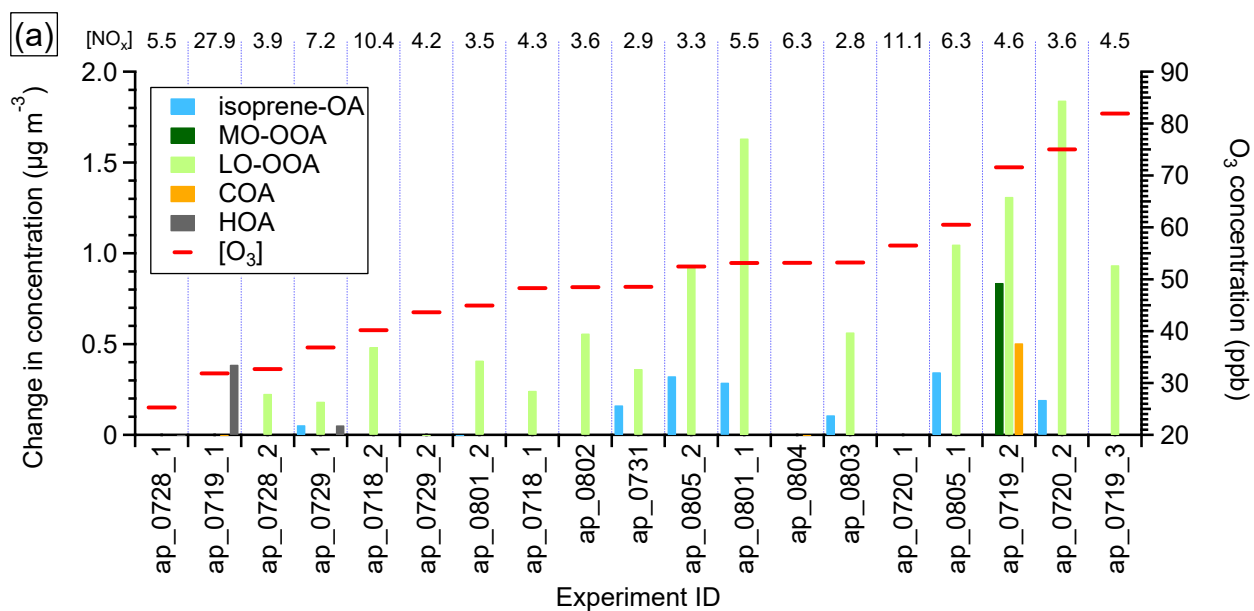
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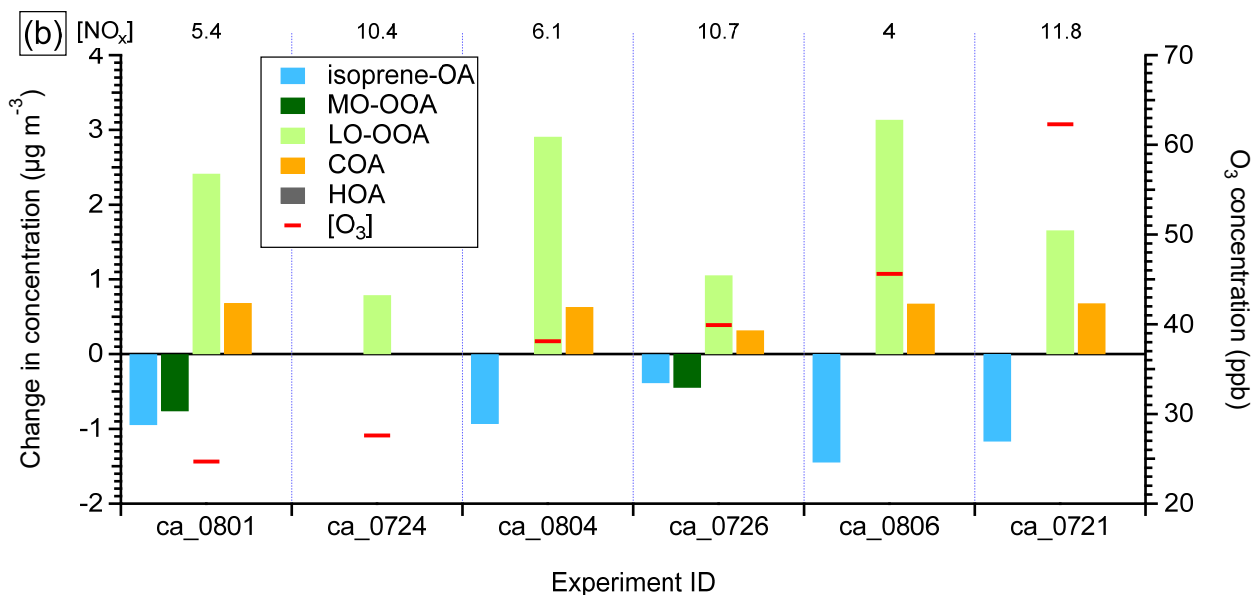


1186

1187 Fig. 3. The time series of OA factors in an α -pinene perturbation experiment (Expt ID: ap_0801_1).
 1188 Each perturbation experiment includes four periods: Amb_Bf (~30min), Chamber_Bf (~30min),
 1189 Chamber_Af (~40min), and Amb_Af (~40min). “Amb” and “Chamber” represent that instruments
 1190 are sampling ambient and chamber, respectively. “Bf” and “Af” stand for before and after
 1191 perturbation, respectively. The solid lines are measurement data. The dashed red lines are the linear
 1192 fits of ambient data (i.e., combined Amb_Bf and Amb_Af). The slopes are used to extrapolate
 1193 Chamber_Bf data to Chamber_Af period (i.e., dashed black lines). The validity of the linearity
 1194 assumption is discussed in Appendix A. The difference between measurements (i.e., solid lines)
 1195 and extrapolated Chamber_Bf (i.e., dashed black lines) represents the change caused by
 1196 perturbation.

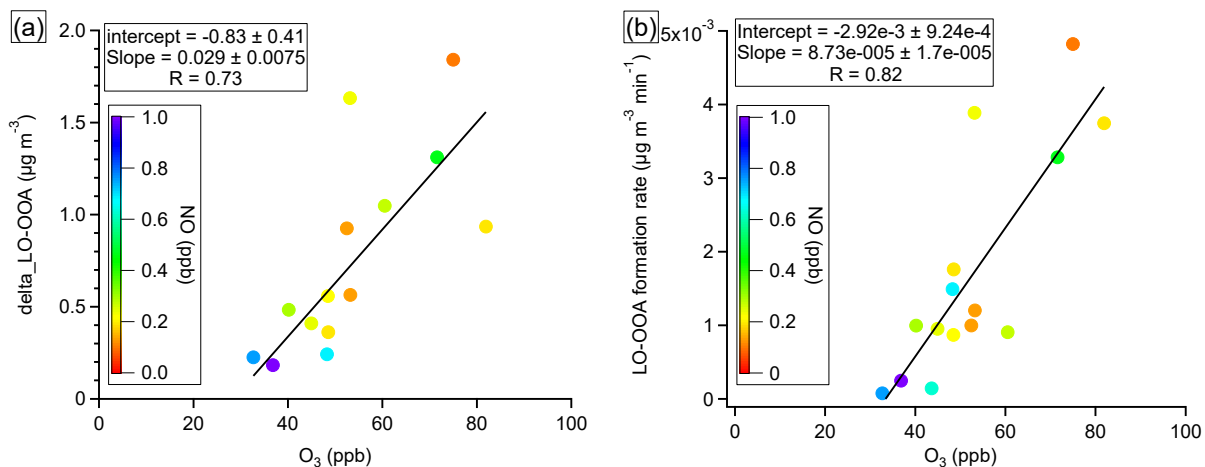


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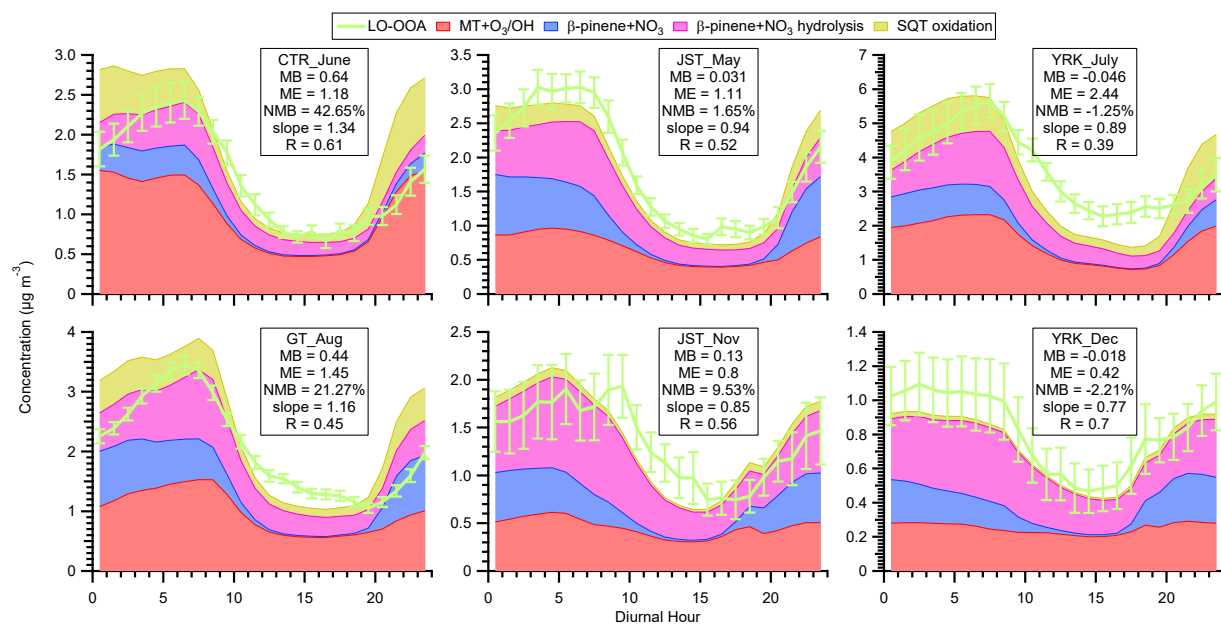
1198

1199 Fig. 4. The statistically significant changes in the concentrations of OA factors after perturbation
 1200 by (a) α -pinene and (b) β -caryophyllene. The experiments are sorted by average $[O_3]$ during
 1201 Chamber_Af. The average $[NO_x]$ during Chamber_Af are shown on top of the figure. The changes
 1202 in concentration are the differences between measurements during Chamber_Af and extrapolated
 1203 Chamber_Bf (Appendix A). A set of criteria are developed to evaluate if the changes are
 1204 statistically significant and if the changes are due to ambient variation (Appendix A). Isoprene-
 1205 OA decreases after β -caryophyllene injection. The reason for this decrease is unclear, but likely
 1206 due to the limitations of PMF analysis, which assumes constant mass spectra of OA factors over
 1207 time (section S3 of Supplement).

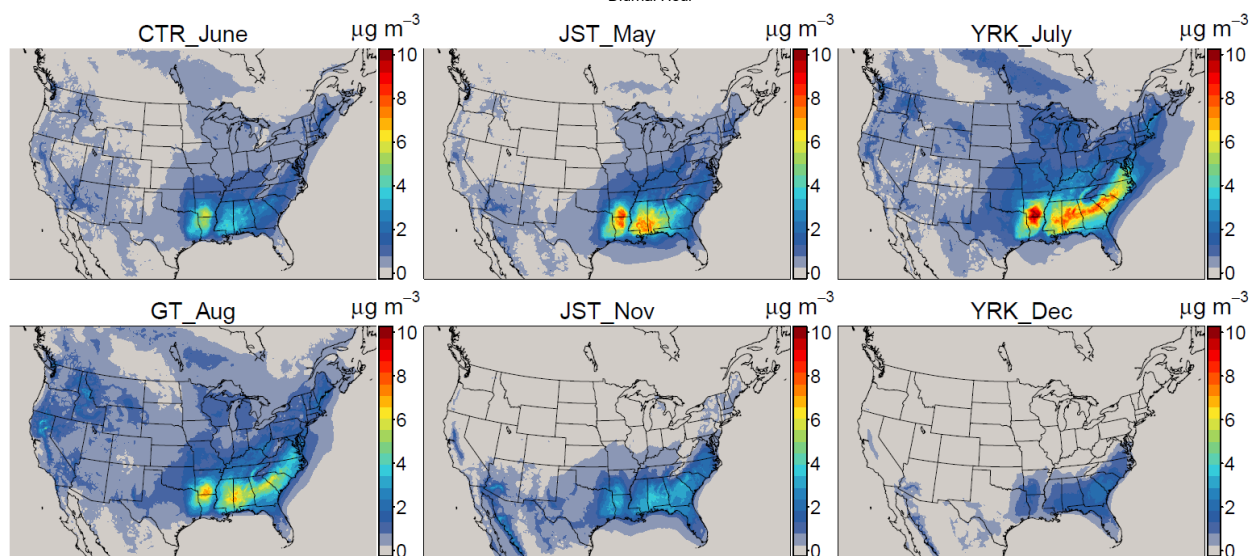


1208

1209 Fig. 5. Observations of trends in (a) LO-OOA enhancement amount and (b) LO-OOA formation
 1210 rate with O_3 concentration in α -pinene perturbation experiments. The data points are colored by
 1211 average NO concentration during Chamber_Af period. The slopes, intercepts, and correlation
 1212 coefficients (R) are obtained by least square fit.



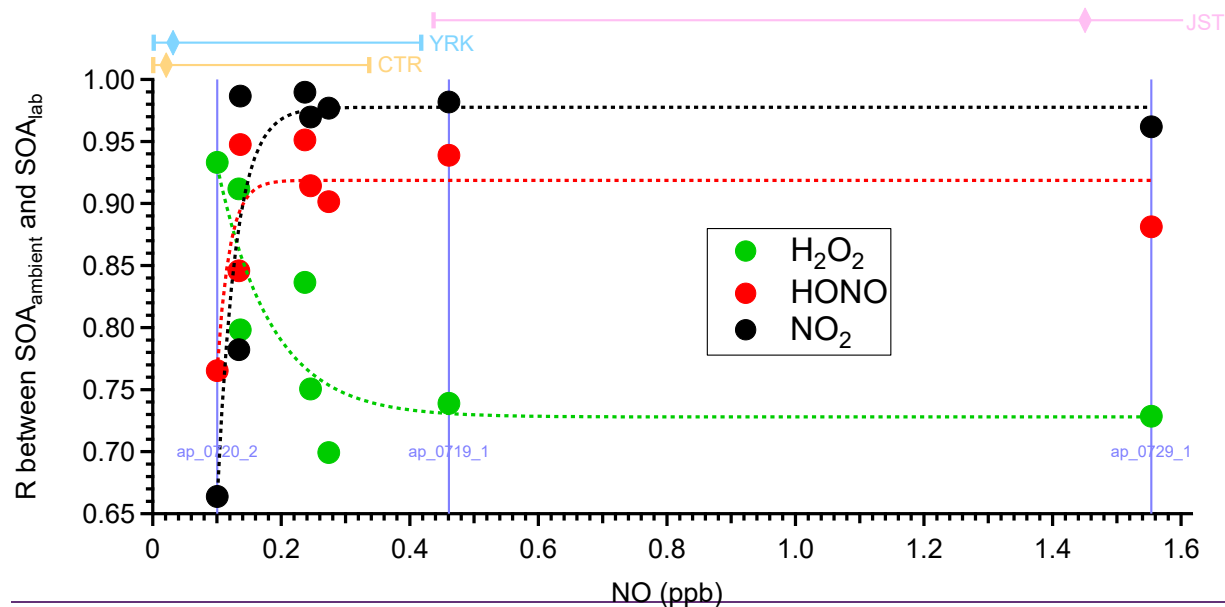
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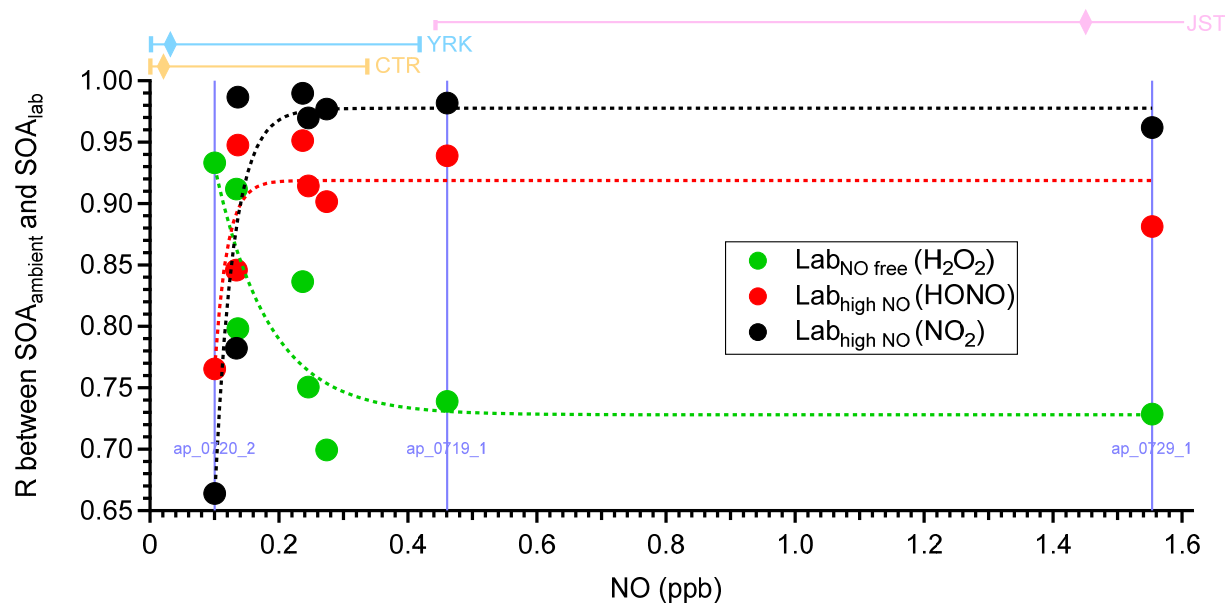
1214

1215 Fig. 6. (a) top panel: the diurnal trends of LO-OOA and modeled SOA from monoterpenes and
 1216 sesquiterpenes (SOA_{MT+SQT}) at different sampling sites in the southeastern U.S. (b) bottom panel:
 1217 maps of modeled ground-level SOA_{MT+SQT} concentration coinciding with the time periods of
 1218 intensive ambient sampling. Model results shown here are from the updated simulation.
 1219 Abbreviations correspond to Centreville (CTR), Jefferson Street (JST), Yorkville (YRK), Georgia
 1220 Institute of Technology (GT). Detailed sampling periods are shown in Table S1. In panel (a), since
 1221 the perturbation experiments show that 16% of SOA from α -pinene oxidation is apportioned into
 1222 isoprene-OA (Fig. S5a), we only include 84% of modeled SOA from MT+O₃/OH when comparing
 1223 with LO-OOA for the sites with isoprene-OA. The mean bias (MB), mean error (ME), and
 1224 normalized mean bias (NMB) for each site are shown in each panel. The slopes and correlation
 1225 coefficients (R) are obtained by least square fit. The error bars indicate the standard error. In panel
 1226 (b), average SOA_{MT+SQT} concentration in PM_{2.5} during each sampling period is reported.

1227



1228



1229

1230 Fig. 7. The correlation coefficients between the mass spectra of OA formed in laboratory under
 1231 different NO conditions (“SOA_{lab}”) and those of OA formed in ambient α -pinene perturbation
 1232 experiments (“SOA_{ambient}”). The subscripts “lab” and “ambient” indicate the SOA formed under
 1233 laboratory conditions and ambient conditions, respectively. Three different oxidant sources (i.e.,
 1234 H₂O₂, HONO, and NO₂) are used to create different NO concentrations in laboratory studies. The
 1235 mass spectra of “SOA_{ambient}” are calculated by comparing the mass spectra of OA during
 1236 Chamber_Af and those of extrapolated Chamber_Bf (section S7 of Supplement). To calculate
 1237 reliable mass spectra of “SOA_{ambient}”, only the experiments with significant OA enhancement are
 1238 analyzed and shown here (Appendix A). The x-axis is the average NO concentration during each
 1239 perturbation experiment. The data points on the same vertical line (i.e., the same NO concentration)
 1240 are from the same perturbation experiment, but compared to three different laboratory experiments.

1241 The dashed lines are used to guide eyes. The bars on top of the figure represent the 10th, 50th, and
1242 90th percentiles of NO concentration for CTR (Centreville, AL), YRK (Yorkville, GA), and JST
1243 (Jefferson Street, GA) in 2013. The NO concentration is measured by the SouthEastern Aerosol
1244 Research and Characterization (SEARCH) network. The 90th percentile of NO concentration in
1245 JST is 14.8 ppb, which is not shown in the figure.

1246

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1798 **Appendix A. Data Analysis Method for Perturbation Experiments**

1799 The most challenging and important analysis is to determine if the perturbation results in a
1800 statistically significant change in the mass concentration of OA factors. We perform the following
1801 analysis to calculate the changes in the mass concentration of OA factors after perturbation, to
1802 determine if the change is significant, and to evaluate if the change is simply due to ambient
1803 variation.

1804 The duration of one perturbation experiment is about 130min, including four periods:
1805 Amb_Bf (~30min), Chamber_Bf (~30min), Chamber_Af (~40min), and Amb_Af (~30min), as
1806 illustrated in Fig. A1. Firstly, we assume that the ambient variation is linear during both the
1807 Chamber_Bf and Chamber_Af periods (i.e., when instruments are connected to chamber and not
1808 sampling the ambient aerosol) and that the ambient variation can be represented by interpolating
1809 Amb_Bf and Amb_Af. The validity of this assumption will be discussed shortly. To obtain the
1810 slope of ambient variation, we analyze the combined Amb_Bf and Amb_Af data and use Theil-
1811 Sen estimator (Sen, 1968). The Theil-Sen estimator is a method to robustly fit a line to a set of two-
1812 dimensional points (i.e., concentration “ C ” and time “ t ” in this study). This method chooses the
1813 median of the slopes $(C_j - C_i)/(t_j - t_i)$ determined by all pairs of sample points. Compared to simple
1814 linear regression using ordinary least squares, the Theil-Sen estimator is robust and insensitive to
1815 outliers. Unless specifically noted, the slope in Appendix A is calculated from Theil-Sen estimator.
1816 Secondly, we use the slope to extrapolate the Chamber_Bf data to estimate aerosol concentration
1817 inside the chamber during the Chamber_Af period if there were no VOC injection. We refer to this
1818 estimated aerosol concentration as “extrapolated Chamber_Bf” and use it as the reference to
1819 calculate the change in aerosol mass concentration after perturbation. We extrapolate the
1820 Chamber_Bf data, instead of ambient data, because the OA concentration in chamber is lower than
1821 that in the atmosphere due to wall loss. Thirdly, we calculate the changes in the concentration of
1822 OA factors based on the difference between measured Chamber_Af data and “extrapolated
1823 Chamber_Bf”.

1824 For each perturbation experiment, after calculating the changes in the concentration of OA
1825 factors, we develop a set of criteria to determine if the changes are statistically significant and if
1826 the changes are simply due to ambient variation. The increase in the concentration of an OA factor

1827 needs to satisfy all criteria to be considered as statistically significant and not due to ambient
1828 variation.

1829 **Criterion 1:** The difference in concentration between Chamber_Af and extrapolated Chamber_Bf
1830 must be significant. We use T-test and 95% confidence interval.

1831 **Criterion 2:** The slope of all data points or the first 8 data points during the Chamber_Af period
1832 is significantly different from the slope of aerosol concentration during the Chamber_Bf period.
1833 The rationale behind this criterion is that if the perturbation causes a substantial change in the
1834 concentration of an OA factor, its slope during the Chamber_Af period should be different from
1835 that during the Chamber_Bf period.

1836 The slope of aerosol concentration during the Chamber_Af period is obtained in the
1837 following way. We calculate the slope by using (1) all data points and (2) only first 8 data points
1838 during the Chamber_Af period. This is because the concentration of factors firstly increases after
1839 perturbation and then decreases due to dilution (Fig. A1). In this case, the slope obtained by fitting
1840 all data points might be negative and will not reflect the initial increase in concentration (e.g., LO-
1841 OOA of ap_0805_1 in Fig. S9a). Using only the first few data points during the Chamber_Af
1842 period can avoid this issue. We select the first 8 data points in this period because the
1843 concentrations of total OA and OA factors typically reach the highest at the 8th point (i.e., ~16min
1844 after injection). The slope is calculated by Theil-Sen estimator.

1845 The slope of aerosol concentration during the Chamber_Bf period is analyzed in the
1846 following way. In order to determine if the slope in Chamber_Af is significantly different from
1847 that in Chamber_Bf, we use bootstrap analysis (1000 times) to obtain a distribution of the slope of
1848 Chamber_Bf. In brief, in each random resampling of Chamber_Bf with replacement, a slope is
1849 calculated by Theil-Sen estimator. Then, 1000 times resampling provides a distribution of slope in
1850 Chamber_Bf. The 5% and 95% percentiles of the slope distribution are compared to the slope of
1851 Chamber_Af to determine if the slopes are significantly different. If the slope of Chamber_Af
1852 (from either all data points or the first 8 data points) is smaller (or larger) than the 5% (or 95%)
1853 percentile, the slopes in Chamber_Bf and Chamber_Af are significantly different.

1854 **Criterion 3:** The slope of all data points or the first 8 data points during the Chamber_Af period
1855 is significantly different from the slope of ambient data (i.e., combined Amb_Bf and Amb_Af).
1856 The rationale behind this criterion is the same as the second criterion. That is, if the perturbation

1857 causes a substantial change in the concentration of an OA factor, its slope during the Chamber_Af
1858 period should be different from that in ambient data. The procedure to obtain a distribution of
1859 slopes in the ambient data (combined Amb_Bf and Amb_Af) is same as Criterion 2.

1860 As mentioned above, one critical assumption is that the ambient variation is linear during
1861 both the Chamber_Bf and Chamber_Af periods (i.e., when instruments are connected to chamber
1862 and not sampling the ambient aerosol) and that the ambient variation can be represented by
1863 interpolating Amb_Bf and Amb_Af. We design the following pseudo-experiment to test the
1864 validity of this assumption. In brief, we perform the same analysis as we did for the perturbation
1865 experiments, but using ambient data **only** (i.e., no perturbation data). We firstly randomly select a
1866 data point, which defines the start point of one pseudo-test. Secondly, based on the start point, we
1867 obtain the concentration of OA factors during “Amb_Bf” period, (i.e., from start point to start point
1868 + 30min), “Chamber_Bf” period (i.e., from start point + 30min to start point + 60min),
1869 “Chamber_Af” period (i.e., from start point + 60min to start point + 100min), and “Amb_Af”
1870 period (from start point + 100 min to start point + 130min). This mimics the sampling periods in
1871 a real perturbation experiment. Thirdly, we calculate the slope of ambient period (i.e., combined
1872 “Amb_Bf” and “Amb_Af” periods) and the slope of chamber period (i.e., combined “Chamber_Bf”
1873 and “Chamber_Af” periods) in the pseudo-test. Fourthly, we calculate if the slope of chamber
1874 period is significantly different from the slope of ambient period. We repeat this pseudo-test 1000
1875 times and then obtain the probability of whether the slopes of chamber period and ambient period
1876 are significantly different.

1877 Fig. A2a shows the probability that the slopes of chamber period and ambient period are
1878 not significantly different for five factors. The larger this probability is, the more reliable the
1879 linearity assumption is. The average probability is ~50% for all factors, without discernible diurnal
1880 trends. This suggest that there is ~50% chance that the linear variation assumption is valid. Since
1881 the linearity assumption is not perfect, we develop another criterion to constrain the potential
1882 influence of ambient variation on the interpretation of perturbation results.

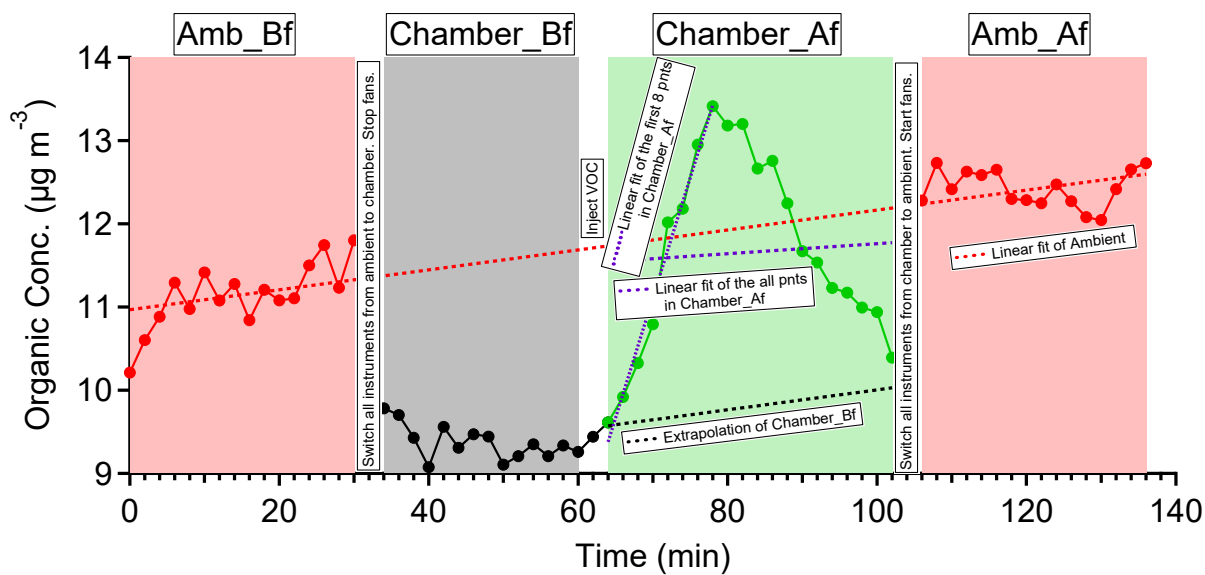
1883 **Criterion 4:** From the above pseudo-experiment on ambient data only, we can calculate the
1884 relative change in slope between “chamber period” and “ambient period” by

1885 relative change in slope =
$$\frac{\text{Slope}_{\text{Chamber}} - \text{Slope}_{\text{Amb}}}{\text{Slope}_{\text{Amb}}}$$
 Eqn 1

1886 In each pseudo-experiment test, we calculate a relative change in slope between “chamber period”
1887 and “ambient period”. By repeating the pseudo-experiment test 1000 times, we obtain a frequency
1888 distribution of the relative change in slope for each OA factor (Fig. A2b). This frequency
1889 distribution indicates the probability that certain relative change in slope occurs due to ambient
1890 variation. Take LO-OOA as an example, the probability that the relative change in slope varies by
1891 a factor 8 due to ambient variation is ~1%. Thus, if the relative change in slope of LO-OOA in a
1892 α -pinene experiment is 8, the change is unlikely due to ambient variation. We use the 5% and 95%
1893 percentiles from the frequency distribution as the fourth criterion to determine if the changes in
1894 the concentrations of OA factors in each perturbation experiment are due to ambient variation. In
1895 other words, if the relative change in slope between Chamber_Af and ambient data in a real
1896 perturbation experiment falls outside of the 5% or 95% percentiles, the changes in the
1897 concentrations of OA factors are likely due to perturbing chamber with VOC, instead of ambient
1898 variation. This criterion strictly considers the influence of ambient variation. In general, the
1899 comparison in slope is an optimal option to account for ambient variation, because the influence
1900 of ambient variation is unlikely to coincide with the perturbation.

1901 Based on these 4 criteria, the OA factors with significant changes in their mass
1902 concentrations as a result of perturbation are shown in Fig. 4. LO-OOA is enhanced in 14 out of
1903 19 α -pinene experiments. However, total OA is only enhanced in 8 out of 19 α -pinene experiments.
1904 Several reasons can contribute to the different behaviors of LO-OOA and OA. Firstly, as total OA
1905 has multiple sources, the enhancement in one factor does not guarantee an enhancement of total
1906 OA. For instance, in some perturbation experiments, while LO-OOA is enhanced, the
1907 concentration of other factors steadily decreases due to ambient variation. The increase in LO-
1908 OOA and decrease in other factors compensate each other and result in a lack of enhancement in
1909 total OA. Secondly, based on the pseudo-experiment, we note that total OA is more easily affected
1910 by ambient variation than a single OA factor. For example, the 95% of the relative change in slope
1911 of total OA is 3.59, which is larger than any OA factors (Fig. A2b). Thus, the criteria for the change
1912 in total OA concentration to be considered as significant are stricter than those for a single OA
1913 factor. Thus, some experiments with significant changes in LO-OOA do not have significant
1914 changes in total OA.

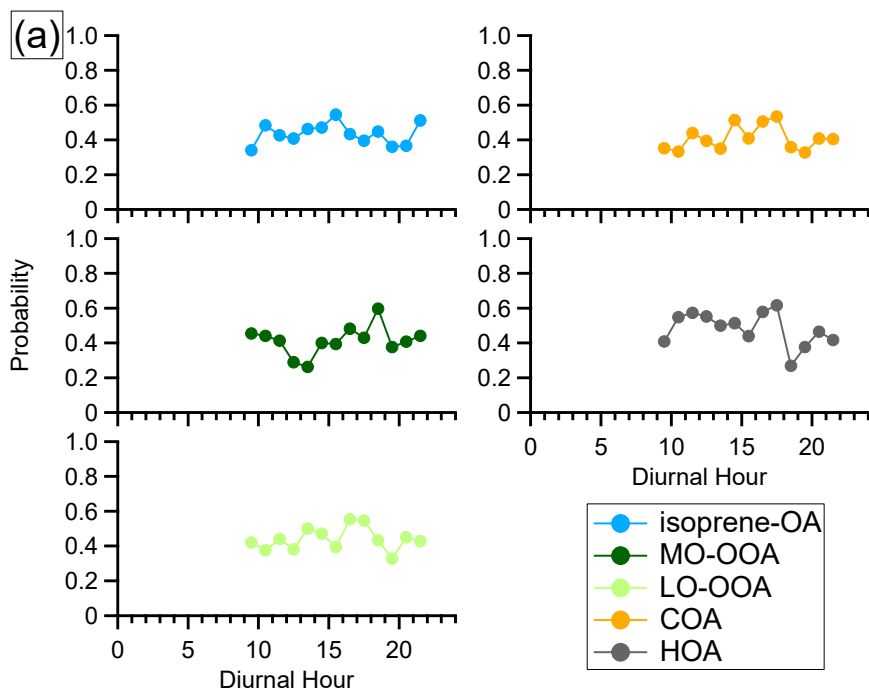
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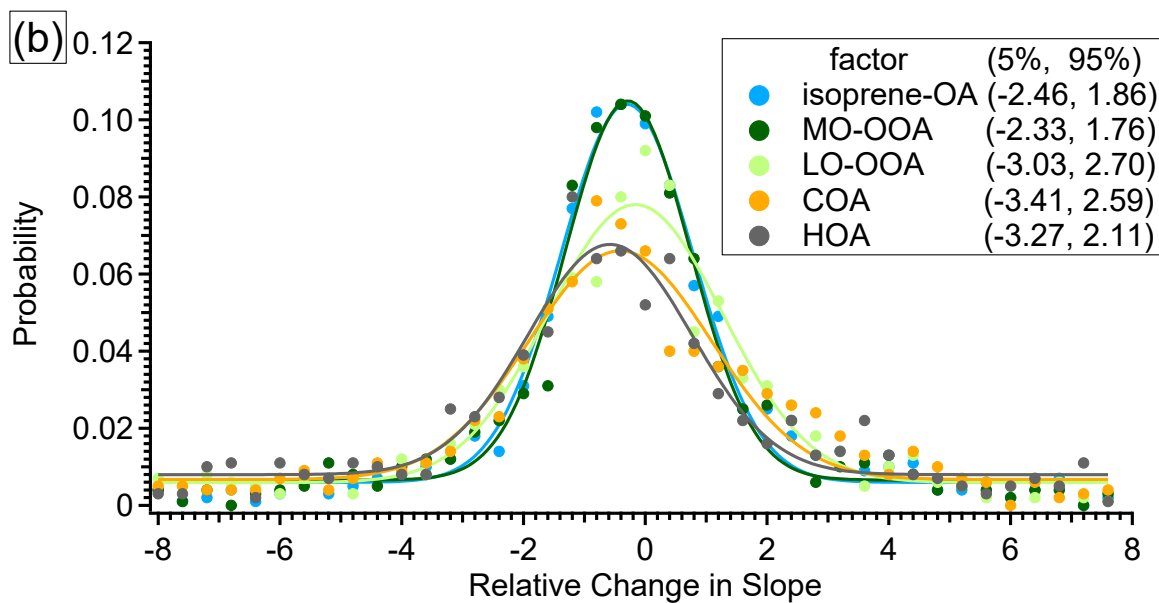
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1917 Fig. A1. Time series of OA in experiment ap_0801_1 to illustrate the analysis method. Each
 1918 perturbation experiment includes four periods: Amb_Bf (~30min), Chamber_Bf (~30min),
 1919 Chamber_Af (~40min), and Amb_Af (~40min). “Amb” and “Chamber” correspond to the periods
 1920 when the instruments are sampling ambient and chamber, respectively. “BF” and “Af” stand for
 1921 before and after perturbation, respectively. The solid lines are measurement data. The dashed red
 1922 lines are the linear fit of ambient data (i.e., combined Amb_Bf and Amb_Af). The slope is used to
 1923 extrapolate Chamber_Bf data to Chamber_Af period (i.e., black dashed line). The dense dashed
 1924 purple line is the linear fit of the first 8 points during the Chamber_Af period. The sparse dashed
 1925 purple line is the linear fit of all data points during the Chamber_Af period. During this period, the
 1926 difference between measurements (i.e., solid green data points) and extrapolated Chamber_Bf (i.e.,
 1927 dashed black line) represents the change in organic concentration caused by perturbation.

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1930

1931 Fig. A2. (a) The diurnal trends of the probability that the slopes between ambient periods (i.e.,
 1932 Amb_Bf and Amb_Af periods) and chamber periods (i.e., Chamber_Bf and Chamber_Af periods)
 1933 are not significantly different in the pseudo-experiment. (b) The frequency distribution of the
 1934 relative change in slope. The data points are fitted using Gaussian function. The numbers in the
 1935 box represent the 5% and 95% percentile of the Gaussian fit.

1936

1937

1938 **Appendix B. Ambient Perturbation Experiments with Acidic Sulfate Particles**

1939 Previous field observations showed strong correlation between isoprene-OA and sulfate (Xu et al.,
1940 2015a; Xu et al., 2016a; Budisulistiorini et al., 2015). Moreover, airborne measurements over
1941 power plant plumes in Georgia, U.S. observed enhanced isoprene-OA formation in the sulfate-rich
1942 power plant plume (Xu et al., 2016a). To probe the relationship between isoprene-OA and sulfate,
1943 we conducted perturbation experiments in August 2015 by injecting acidic sulfate particles (i.e., a
1944 mixture of H_2SO_4 and MgSO_4) into the 2 m³ Teflon chamber. This mimics the airborne
1945 measurements over power plants, which introduce sulfate into the atmosphere (Xu et al., 2016a).

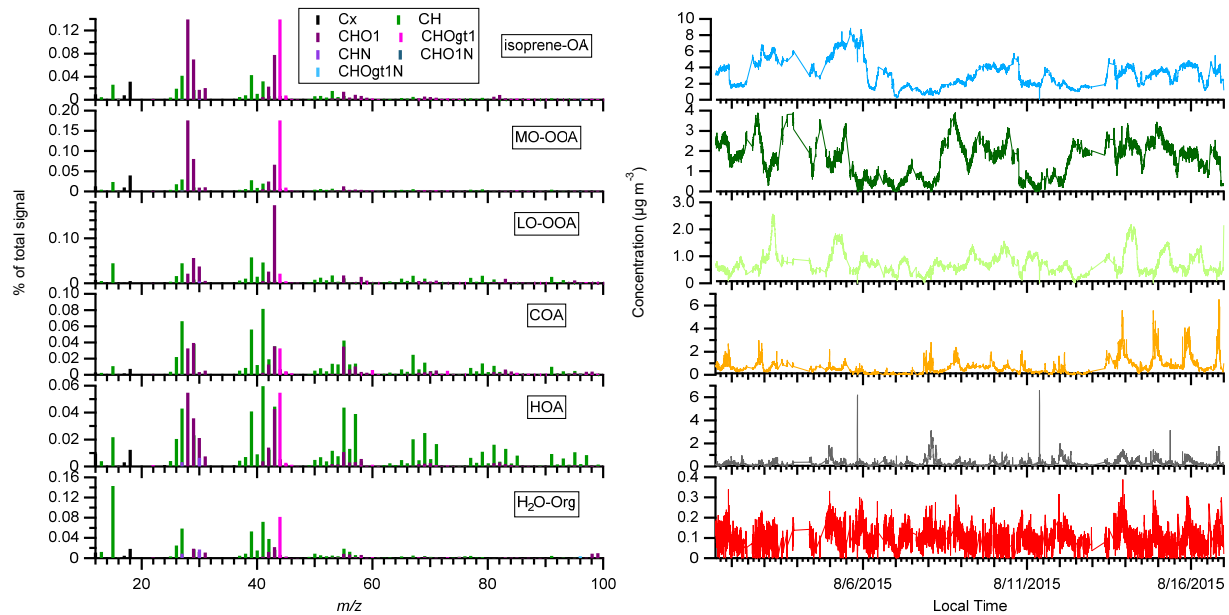
1946 The experimental procedure in 2015 experiments is generally similar to those in 2016
1947 experiments, but has the following modifications. Firstly, in order to avoid the depletion of species
1948 which can uptake to sulfate particles, we kept one fan on during the Chamber_Bf and Chamber_Af
1949 periods to enhance the air exchange between chamber and atmosphere. Secondly, considering the
1950 fan is on during sulfate injection to enhance mixing chamber air with ambient air, we only use the
1951 Chamber_Bf and Chamber_Af periods to calculate the changes in OA factors. The Criteria (1)(2)(4)
1952 are applied in 2015 experiments. Thirdly, the Chamber_Bf period is ~40 min in 2015 experiments,
1953 which is slightly longer than the 30 min in 2016 experiments. Fourthly, the HR-ToF-CIMS was
1954 not deployed in 2015 experiments.

1955 The acidic sulfate seed particles were introduced into chamber by atomizing 0.88mM
1956 H_2SO_4 + 0.48mM MgSO_4 mixture solution from a nebulizer (U-5000AT, Cetac Technologies Inc.,
1957 Omaha, Nebraska, USA). One important interference in these sulfate perturbation experiments is
1958 the trace amount of organics in solvent water [i.e., HPLC-grade ultrapure water (Baker Inc.)],
1959 which is used to prepare the H_2SO_4 + MgSO_4 solution. These organics were injected into chamber
1960 together with sulfate. We utilize the multilinear engine solver (ME-2) to constrain the organics
1961 from solvent water (i.e., H_2O -Org). Unlike the PMF2 solver which does not require any a priori
1962 information of mass spectrum or time series, the ME-2 solver uses a priori information to reduce
1963 rotational ambiguity among possible solutions(Canonaco et al., 2013; Paatero, 1999). We obtained
1964 the reference spectrum of organic contamination (i.e., the a priori information for ME-2 solver) by
1965 atomizing the H_2SO_4 + MgSO_4 solution directly into AMS. The ME-2 solver successfully extracted
1966 a factor (i.e., denoted as H_2O -Org factor, Fig. B1), which showed a clear enhanced concentration
1967 during atomization (Fig. B2).

1968 A total of four experiments were performed and details are summarized in Table B1. As
1969 shown in Fig. B2, the isoprene-OA factor increases in all three daytime experiments, but not the
1970 nighttime experiment. Based on current understanding of isoprene-OA factor, this enhancement is
1971 likely due to the reactive uptake of IEPOX. The lack of enhancement in nighttime experiment is
1972 consistent with low IEPOX concentration at night (Hu et al., 2015). Our results provide direct
1973 observational evidence that acidic sulfate particles lead to increase in isoprene-OA, which supports
1974 results from previous studies (Xu et al., 2015a; Xu et al., 2016a; Budisulistiorini et al., 2015). Due
1975 to lack of measurements of gas-phase organic compounds, we are unable to identify the reactive
1976 species. Other species, such as glyoxal (Kroll et al., 2005), isoprene hydroperoxides (Liu et al.,
1977 2016), and HOMs (Ehn et al., 2014), also have the potential to uptake to acidic sulfate particles
1978 and form SOA. Future experiments with comprehensive measurements of gas-phase organic
1979 compounds can provide more insights into the identities of reactive uptake species.

1980 We note that in non-atomizing period, the concentration of H₂O-Org factor is close to zero,
1981 but not zero. Since H₂O-Org arises from the atomizing solution, it should only exist during
1982 atomizing periods. Thus, the non-zero concentration suggests the limitation of the ME-2 solver
1983 and cautions are required when using ME-2 solver to resolve one factor based on a specific mass
1984 spectrum. This limitation does not affect the conclusion that the enhancement in isoprene-OA is
1985 likely due to the reactive uptake of organic species, as we further verify that the organic increase
1986 in three daytime perturbation experiments with sulfate particles cannot be solely explained by the
1987 organic contamination in atomizing water, from the following two aspects. For example, we
1988 atomize the solution directly into AMS and find that the Org/SO₄ ratio is 0.025. This value is
1989 significantly lower than the Org/SO₄ ratio in the three daytime sulfate perturbation experiments
1990 (i.e., 0.048-0.059), but close to the nighttime sulfate perturbation experiment (i.e., 0.022) (Fig. B4).

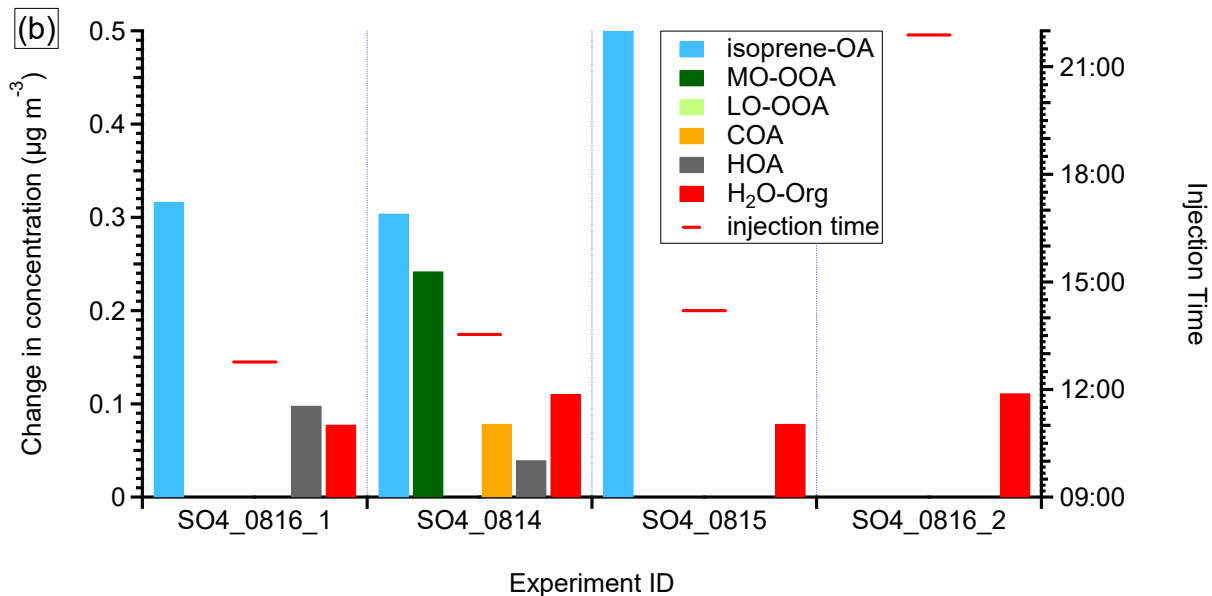
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1993 Fig. B1. The mass spectra and time series of OA factors in the 2015 acidic sulfate particle
 1994 perturbation measurements. Note that the perturbation periods are included in the time series.

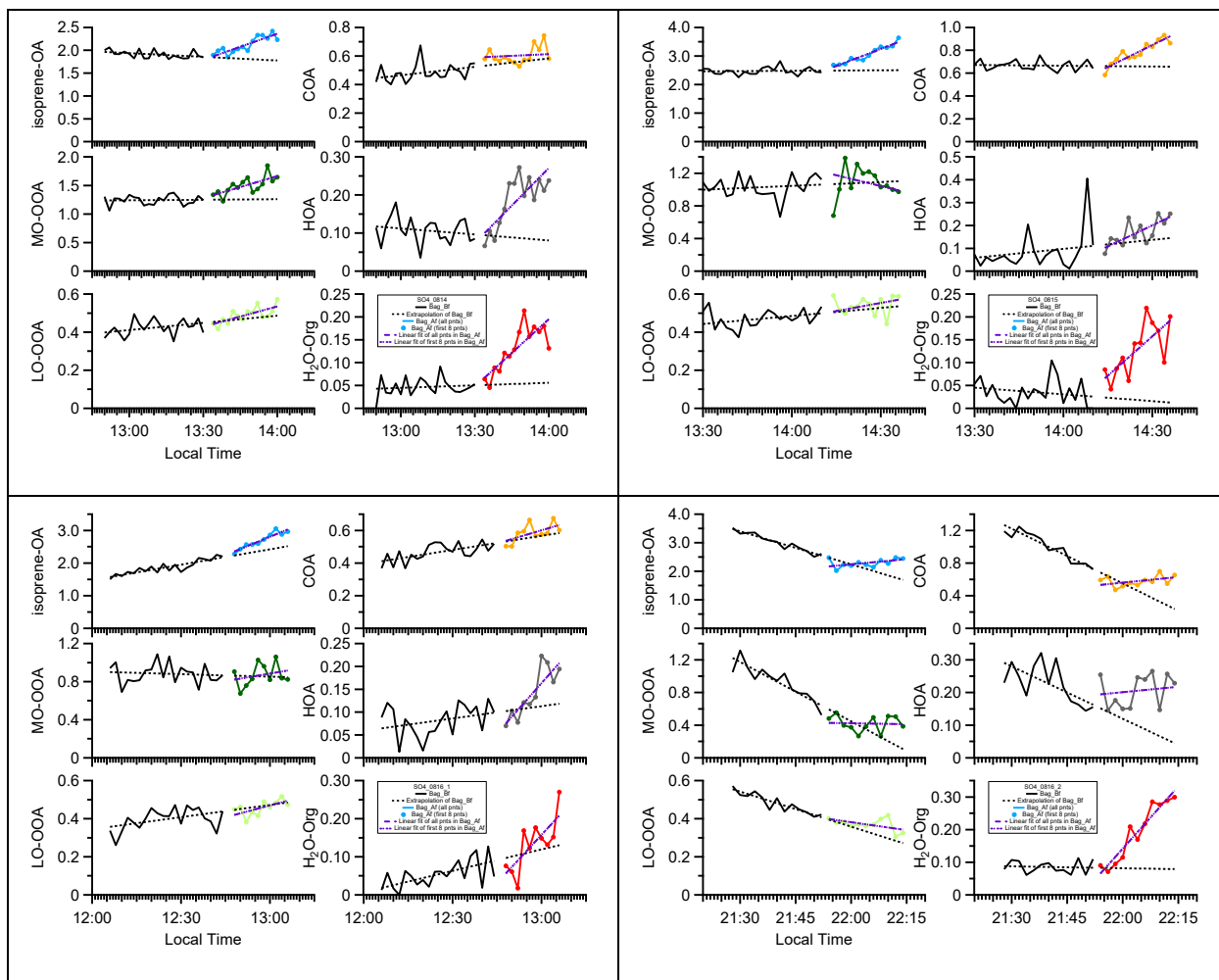
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1997 Fig. B2. The statistically significant changes in the concentrations of OA factors after perturbation
 1998 by acidic sulfate particles. The experiments are sorted by perturbation time. The changes in
 1999 concentration are the difference between measurements during the Chamber_Af period and mass
 2000 concentration extrapolated from the Chamber_Bf period. A set of criteria are developed to evaluate
 2001 if the changes are significant and if the changes are due to ambient variation (Appendix A). H₂O-
 2002 Org factor in these sulfate perturbation experiments represents organic contaminations in
 2003 atomizing water.

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2005 Fig. B3. Time series of OA factors in each sulfate perturbation experiment.

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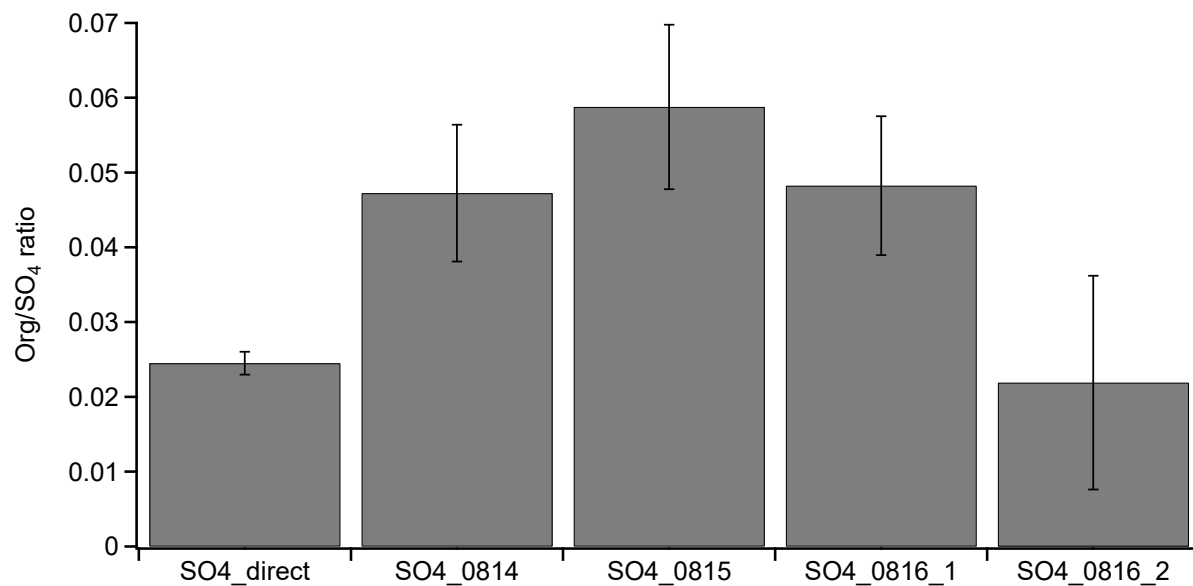
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2016 Fig. B4. The Org/SO₄ ratio in sulfate perturbation experiments and laboratory tests by directly
2017 atomizing H₂SO₄ + MgSO₄ mixture solution into AMS (i.e., SO₄_direct).

2018

2019 Table B1. Experimental conditions for sulfate perturbation experiments.

Perturbation	Expt ID ^a	Date	Injection Time	Perturbation Amount ^b	NO ^c (ppb)	NO ₂ ^c (ppb)	O ₃ ^c (ppb)
sulfate	SO4_0814	8/14/2015	13:32	16.29	0.51	5.86	59.8
	SO4_0815	8/15/2015	14:12	14.33	0.18	4.79	63.0
	SO4_0816_1	8/16/2015	12:46	14.52	0.36	4.08	53.2
	SO4_0816_2	8/16/2015	21:53	13.92	0.03	5.40	35.6

2020 ^aExpt ID is named as “perturbation species + date + experiment number”. For example,
 2021 SO4_0816_1 represents the first sulfate perturbation experiment on 08/16.

2022 ^bThe unit for the perturbation in sulfate experiments is $\mu\text{g m}^{-3}$. The perturbation amounts of sulfate
 2023 are calculated from Chamber_Af – extrapolated Chamber_bf.

2024 ^cAverage concentration during the Chamber_Af period.

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