

1 We thank the reviewers for the detailed and insightful comments. We have addressed the reviewers'
2 comments point by point as indicated below.

3

4 **Reviewer #1:**

5 *This paper shows some interesting results from a novel experiment involving taking ambient air*
6 *in an urban environment and conducting a chamber experiment after enhancing the*
7 *concentrations of VOCs. The main emphasis of this paper is the use of α -pinene and β -*
8 *caryophyllene, the characterisation of the SOA produced using aerosol mass spectrometry and*
9 *inferences are drawn regarding their contribution to particulate concentrations in the region.*

10 *1. Overall, this is a nice piece of work and well within the journal's remit. However, I do think*
11 *that the significance is a little overblown in places and the authors need to express more caution*
12 *in how they interpret some of the results. In spite of their statements otherwise, this is not a true*
13 *simulation of atmospheric processes (see below), there are a few PMF-specific subtleties that*
14 *aren't taken account of and how reliably this can be projected onto the wider world is open to*
15 *question on a number of levels. But in spite of these issues, the conclusions are largely sound and*
16 *this deserves to get published. I therefore recommend publication with minor revisions.*

17 Response: We thank the reviewer for the thoughtful insights. To address the reviewer's major
18 concerns, we have toned down the significance of our conclusions and expanded discussions on
19 PMF-specific subtleties in the revised manuscript. We think that the lab-in-the-field experiments
20 can mimic certain atmospheric processes, shed light on understanding the sources of OA factors,
21 and reflect potential issues that PMF analysis encounters. These responses will be discussed in
22 detail when we address the reviewer's specific comments. Meantime, we agree with the reviewer
23 that more studies are warranted to test the reliability of our conclusions to the wider world.

24 *General Comments:*

25 *2. This paper makes the assumption of α -pinene and β -caryophyllene being representative of*
26 *monoterpenes and sesquiterpenes respectively. While these are common assumptions made in the*
27 *community and the VOCs are both very well studied, their overall representativeness is in question*
28 *because the level of oxidation in SOA from different precursors are known to vary substantially*
29 *between compounds (Alfarra et al., Atmos. Chem. Phys., 13, 11769-11789, 10.5194/acp-13-*

30 *11769-2013, 2013). This is especially true of the sesquiterpenes, as difficulties in working with a*
31 *number of these compounds means that we lack data on a large subset of these. This should be*
32 *discussed and any evidence to support this assumption properly cited.*

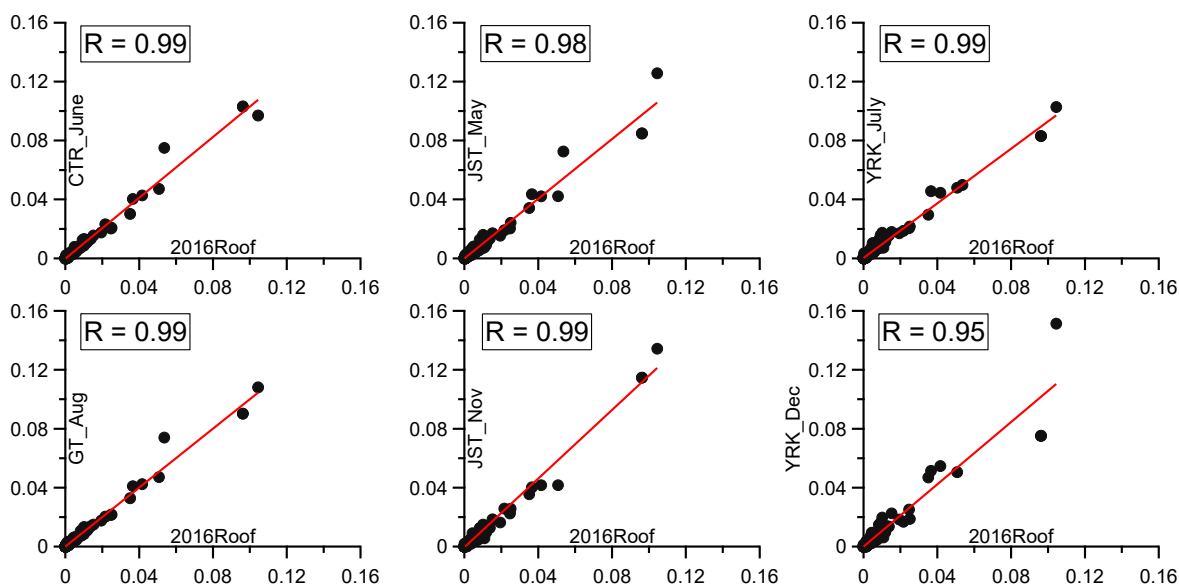
33 Response: In this study, we selected α -pinene and β -caryophyllene as representatives due to the
34 following reasons. Firstly, both VOCs are widely studied in the literature. Secondly, they are the
35 most abundant species in monoterpenes and sesquiterpenes, respectively (Guenther et al., 2012;
36 Helmig et al., 2007). Thirdly, the mass spectra of SOA from VOCs in the same class generally
37 share similar features. For example, the correlation coefficient (i.e., R) between the mass spectra
38 of SOA from the β -caryophyllene and α -humulene is 0.97 (Bahreini et al., 2005). Still using the
39 mass spectra reported in Bahreini et al. (2005), the R between α -pinene SOA and other
40 monoterpenes SOA (β -pinene, α -terpinene, myrcene, and terpinolene) is larger than 0.9. Fourthly,
41 in addition to the similar mass spectra, the time series of α -pinene in the southeastern U.S. is similar
42 to that of other monoterpenes, such as β -pinene and camphene (Xu et al., 2015a). The above
43 reasons have been added in the revised SI. We also add a caveat that future studies using other
44 monoterpenes and sesquiterpenes are still in need.

45 We agree with the reviewer that many properties of SOA (i.e., yield, hygroscopicity, etc)
46 from different monoterpenes or sesquiterpenes are different. To be precise, we have replaced
47 “representative” with “important” in some sentences in the revised manuscript.

48 *3. Throughout the manuscript, there is a general tendency to treat LO-OOA and MO-OOA as*
49 *defined chemical entities, whereas the truth is that these represent reductions of highly complex*
50 *chemical systems and the exact factors reported are known to vary dataset to dataset. While*
51 *common trends have been noted in terms of behaviour and mass spectral profile, their exact mass*
52 *spectral nature depends on the measurement location and season and in many cases (particular*
53 *in the wintertime), PMF will fail to separate them at all, instead returning a single OOA factor.*
54 *This must be discussed in a meaningful manner in the introduction and discussion because it adds*
55 *an extra layer of nuance to the results.*

56 Response: We agree with the reviewer that OA factors from PMF analysis represent complex
57 chemical systems. Understand what these OA factors represent is one of many motivations of this
58 study. In the revised manuscript, we have modified the language to avoid the confusion to treat
59 OA factors as defined chemical entities. We also agree with the reviewer that the exact mass

60 spectral nature of OA factors varies with locations. We would like to point out that the mass spectra
61 of LO-OOA are highly similar ($R > 0.95$) across all the seven datasets in our study (Fig. R1). This
62 is one of many evidence to suggest that LO-OOA at different datasets may have similar sources.
63 Regardless, we clearly limit our conclusions to the southeastern U.S.



64
65 Fig. R1. The correlation plot between the mass spectrum of LO-OOA for 2016 rooflab perturbation
66 study and the LO-OOA obtained in other six ambient datasets in the southeastern U.S.

67 In the introduction and implications sections of the revised manuscript, we have added the
68 discussion that a single OOA factor is resolved in some cases, especially in winter.

69 *4. Related to the above point, there seems to be a general assumption that PMF had adequately*
70 *accounted for the new SOA being formed, but in my mind, the decrease in MO-OOA in response*
71 *to the β -caryophyllene experiments in particular raises a number of red flags because this implies*
72 *that the data model didn't hold and the factorization wasn't sound. The authors need to pay much*
73 *closer attention to whether the factorisations 'worked' or not; a good starting point would be*
74 *inspecting the residuals (e.g. Q/Q_{exp}) as a function of time over the course of the experiments and*
75 *if they positively correlate at all with the amount of additional SOA, this would imply that PMF*
76 *has failed to capture the chemistry perfectly.*

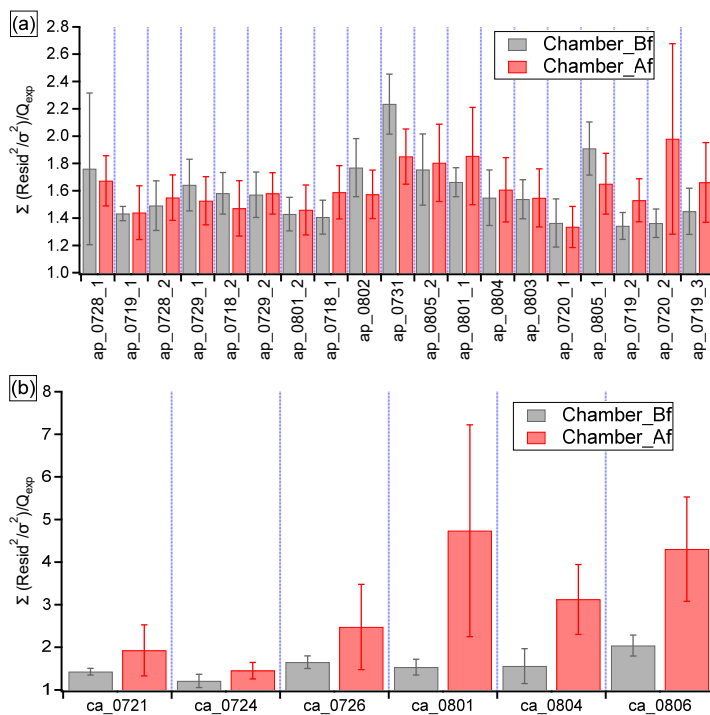
77 Response: We agree with the reviewer that the decrease in MO-OOA after β -caryophyllene
78 injection raises a red flag and implies that factorization is not perfect. We have examined the PMF

79 residual (i.e., Q/Q_{exp}) in α -pinene and β -caryophyllene perturbation experiments. As shown in Fig.
80 R2a, in α -pinene experiments, the difference in Q/Q_{exp} between “Chamber_Bf” (before α -pinene
81 injection) and “Chamber_Af” (after α -pinene injection) is not statistically significant. This
82 suggests that PMF analysis has adequately accounted for the newly formed α -pinene SOA. This is
83 consistent with the observation that in α -pinene experiments, no OA factors show unexpected
84 dramatic decrease after α -pinene injection.

85 In contrast, in β -caryophyllene experiments, there is a clear pattern that Q/Q_{exp} in
86 “Chamber_Af” is larger than that in “Chamber_Bf” (Fig. R2b). This is likely because of the rapid
87 change in the subtleties of OA composition caused by the newly formed β -caryophyllene. However,
88 the behavior of Q/Q_{exp} is not quite expected because the OA mass spectra after injecting β -
89 caryophyllene are almost identical to those before perturbation (i.e., R between Chamber_Bf and
90 Chamber_Af is >0.99 as shown in Fig. R3b). The larger Q/Q_{exp} in β -caryophyllene experiments
91 than α -pinene experiments may be a result of that ΔOA (i.e., the difference in OA concentration
92 between “Chamber_Af” and “Chamber_Bf”) is larger in β -caryophyllene experiments (average
93 value $1.95 \mu\text{g m}^{-3}$ over 6 experiments) than α -pinene experiments (average value $0.98 \mu\text{g m}^{-3}$ over
94 14 experiments). Regardless, under the simulated circumstances, PMF analysis cannot adequately
95 capture the newly formed β -caryophyllene SOA.

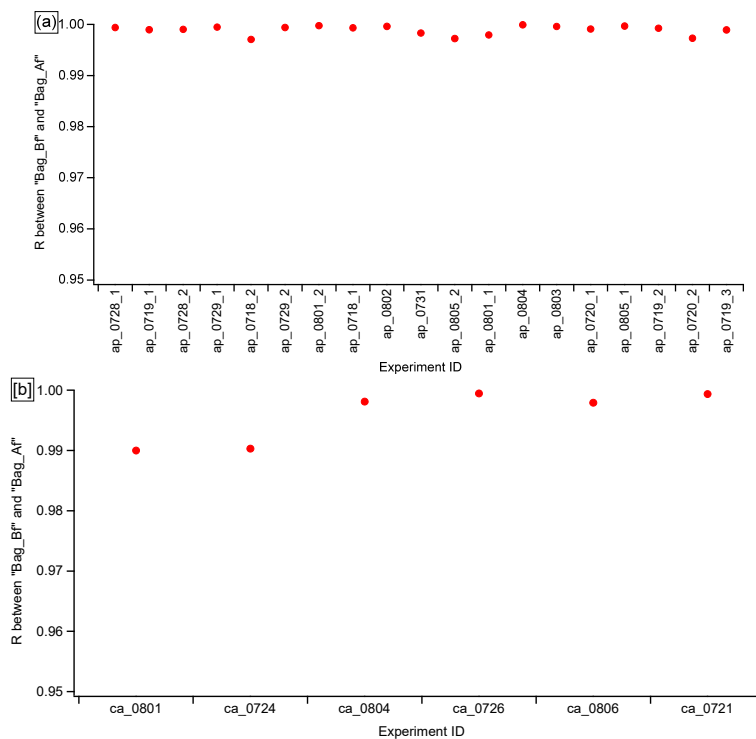
96 We would like to clarify that our conclusions are not dependent on if PMF has perfectly
97 accounted for the newly formed SOA, mainly because similar issues could also happen in the
98 analysis of ambient data. The β -caryophyllene perturbation experiments simulate a scenario when
99 there is a sudden change in the OA composition caused by an air mass encountering a plume or
100 change in OA sources due to shift in wind direction. Under these circumstances, PMF analysis
101 may have difficulty in accurately apportioning the OA sources. The simulated scenarios and the
102 observed PMF issues have been observed in previous studies. For example, in the Figure 9 of Sun
103 et al. (2016), an increase of hydrocarbon-like OA (HOA) is usually accompanied by a decrease in
104 cooking OA (COA) and vice versa. Another example is that figure 5 of Reyes-Villegas et al. (2018)
105 showed that a biomass burning plume leads to unexpected rapid variations in the concentration of
106 many OA factors on the time scale of minutes. Last example is that in the figure S10 of Milic et
107 al. (2017), the PMF residual during a biomass burning plume is orders of magnitude higher than
108 other sampling periods. Emphasizing the limitations of PMF analysis is one goal of this study.

109



110 Fig. R2. The PMF residual (Q/Q_{exp}) during “Chamber_Bf” and “Chamber_Af” periods for (a) α -
111 pinene and (b) β -caryophyllene perturbation experiments.
112

113



114 Fig. R3. The correlation coefficient by comparing the OA mass spectra between “Chamber_Bf”
115 and “Chamber_Af” in (a) α -pinene and (b) β -caryophyllene perturbation experiments.
116

117 5. The modelling work presented in section 3.6 left me slightly confused as to what the authors
118 were trying to achieve and how. The text in the main article would suggest that an updated scheme
119 is being compared with a very old one, but the supplement seems to say that specific mechanisms
120 have been added here. This must be clarified.

121 Response: We use the modelling work as one of the evidence to support our hypothesis that the
122 major source of LO-OOA in this region is the oxidation of MT and SQT by various oxidants. In
123 section 2.6, we described two simulations (i.e. “default simulation” and “updated simulation”) with
124 different organic aerosol treatment. Compared to “default simulation”, we incorporate two recent
125 findings in the “updated simulation”. Firstly, we implemented MT+NO₃ chemistry to explicitly
126 account for semivolatile organic nitrate compounds that contribute to SOA. Secondly, we
127 improved the parameterization of SOA formation from MT+O₃/OH based on a recent study by
128 Saha and Grieshop (2016). In section 3.5 of revised manuscript, we pointed out that SOA_{MT+SQT}
129 in the updated simulation reasonably reproduces the magnitude and diurnal variability of LO-OOA
130 for each site. The model bias is within ~20%. The reasonable agreement between modeled
131 SOA_{MT+SQT} and LO-OOA at multiple sites and in different seasons serves as one piece of evidence
132 to support our hypothesis that LO-OOA largely arises from the oxidation of MT and SQT in the
133 southeastern U.S.

134 6. Also, as pointed out later in this section, this work does not directly preclude that other
135 precursors may be contributing and the discussion dealing with this relies heavily on inferences
136 drawn from the literature, so this work isn't really that dramatic a result in how it is presented
137 now. I would suggest a more defined modelling experiment is constructed around a clear working
138 hypothesis. This could just be a case of making the work shown here clearer and moving material
139 from the supplement to the main article.

140 More generally, I noted a very odd tendency to leave certain pertinent (and in many cases
141 interesting) details in the supplement that maybe should have been given more prominence or at
142 least linked to the main article better. For example, the box modelling described in section S6 was
143 very interesting, but it wasn't clear at all how this fit into the narrative of the main article. I also
144 had a hard time reconciling the information about the CMAQ runs in the main article and the
145 supplement as well (see above). I would revise what information goes where, using the main article

146 *for the discussions relating to the scientific arguments and making sure the material in the*
147 *supplement is purely technical detail in support of this.*

148 Response: We have toned down the significance of conclusions in the revised manuscript. We
149 have also re-organized the main text and SI, and improved the linkage between two parts. Many
150 details, although interesting, are kept in the Appendix or SI, because we prefer to focus on the
151 main message and keep the logic of manuscript easy to follow.

152 *Specific comments:*

153 *7. Line 95: When saying ‘representative urban’, please be specific about what type of urban site*
154 *this (e.g. background) and how you qualify this statement.*

155 Response: We have deleted the word “representative”.

156 *8. Line 115: What counts as ‘too much’ SOA and why?*

157 Response: As discussed in response to reviewer’s comment #4, when there is a sudden change in
158 the OA composition, PMF analysis may have difficulty in accurately apportioning the OA sources,
159 as shown in β -caryophellene perturbation experiments and previous studies. This is why we tried
160 to avoid producing too much SOA in the perturbation experiments.

161 It is challenging to quantify what counts as “too much”. As shown in β -caryophellene
162 perturbation experiments, even though (1) the newly formed β -caryophyllene SOA only increases
163 the OA concentration by roughly $2 \mu\text{g m}^{-3}$ and (2) the OA mass spectra after injecting β -
164 caryophyllene are almost identical to those before perturbation (i.e., $R > 0.99$ as shown in Fig. R3b),
165 PMF analysis encounters difficulty in accurately apportioning the OA sources.

166 *9. Line 265: It has long been shown that α -pinene SOA produced in chambers produces a mass*
167 *spectrum that is similar to LO-OOA and given that this mass spectral profile is also seen in the*
168 *presence of strong emitters of this VOC (e.g. temperate and boreal forests), the case for*
169 *α -pinene SOA being a strong contributor to LO-OOA has never really been in doubt in this*
170 *reviewer’s opinion. Why is the evidence presented here any more ‘direct’ than those published*
171 *previous? While the perturbation experiment does indeed produce LO-OOA as retrieved using*
172 *PMF, this retrieval is still based solely on mass spectral similarity, so I would contend that this*
173 *does not really present any new evidence to this effect.*

174 Response: We thank the reviewer for pointing this issue out. The “directness” of our conclusions
175 is not clearly communicated in the original manuscript and caused some confusions. While we
176 acknowledge that the mass spectra comparison approach largely improves our understanding of
177 OA factors, we think that the perturbation experimental approach provides more objective and
178 quantitative conclusions by addressing some limitations of the mass spectra comparison approach.
179 The mass spectra comparison approach has the following limitations. Firstly, the similarity
180 between two mass spectra is a subjective determination. In other words, what correlation
181 coefficient R value implies SOA from a certain source contributes to one OA factor? For example,
182 the R values between laboratory-generated α -pinene SOA (using HONO as OH source) with LO-
183 OOA, isoprene-OA, and MO-OOA in this study are 0.96, 0.88, and 0.81, respectively. Using these
184 R values to imply whether α -pinene SOA contributes to a certain OA factor or not is subjective.
185 As another example, Jimenez et al. (2009) showed that the mass spectrum of α -pinene SOA
186 becomes more similar to that of MO-OOA (i.e., LV-OOA in older study) than that of LO-OOA
187 (i.e., SV-OOA in older study) with photochemical aging. The ability to determine when and how
188 much α -pinene SOA is apportioned to MO-OOA based on an R value is subjective. Secondly, the
189 conclusions from mass spectra comparison approach are qualitative. Even if the mass spectrum of
190 α -pinene SOA is the most similar to LO-OOA, this similarity does not guarantee that all α -pinene
191 SOA is apportioned into LO-OOA and this similarity does not provide information regarding what
192 fraction of α -pinene SOA is apportioned into LO-OOA.

193 The perturbation experiments could address the limitations of mass spectra comparison
194 approach and provide more objective and quantitative conclusions. Firstly, the perturbation
195 experiments simulate a short period of time with increasing α -pinene SOA concentration. we
196 perform PMF analysis on the combined ambient data and perturbation data. PMF analysis does
197 not distinguish SOA from natural α -pinene vs. from injected α -pinene, so that PMF analysis can
198 objectively apportion α -pinene SOA into factors. Thus, the conclusions from the perturbation
199 experiments are directly drawn without any subjective judgement on the similarity in mass spectra.
200 Secondly, using the perturbation data, we attempt to quantify the fraction of fresh α -pinene SOA
201 that is apportioned into different factors (i.e., ~80% into LO-OOA, ~20% into isoprene-OA, 0%
202 into MO-OOA, COA, and HOA). Although further studies are required to extrapolate the
203 conclusions from perturbation experiments to real atmosphere, a similar quantitative
204 understanding cannot be obtained from simple mass spectra comparison approach. Thirdly, the

205 perturbation experiments have the potential to utilize subtle differences across the entire the mass
206 spectrum to evaluate the sources of OA factors. Based on previous laboratory study, the mass
207 spectrum of α -pinene SOA is highly correlated ($R = 0.97$) with that of β -caryophyllene SOA
208 (Bahreini et al., 2005). Using a mass spectra comparison approach would suggest that these mass
209 spectra are too similar to be differentiated by PMF analysis. However, perturbation experiments
210 show different behaviors of α -pinene SOA and β -caryophyllene SOA. That is, a fraction of the
211 fresh β -caryophyllene SOA is apportioned into COA factor, but similar behavior is not observed
212 for α -pinene SOA. The different behaviors are likely due to the subtle differences in their mass
213 spectra. For example, f_{55} (i.e., the ratio of m/z 55 to total signal in the mass spectrum) is typically
214 higher in β -caryophyllene SOA than α -pinene SOA (Bahreini et al., 2005; Tasoglou and Pandis,
215 2015), and the mass spectrum of COA is characterized by prominent signal at m/z 55 (Fig. 2).
216 Overall, the perturbation experiments provide more objective and quantitative insights into the
217 sources of OA factors than traditional mass spectra comparison approach.

218 We have added the above discussions in the revised manuscript.

219 *10. Line 280: The fact that the oxidation rate of VOCs is dependent on oxidant concentration is*
220 *very well established in kinetics. The discussion regarding this observation would be considered*
221 *pointing out the obvious to many. It would be far more useful if a quantitative relationship with*
222 *ozone concentration could be reported here.*

223 Response: In Line 280, we are not making the conclusion that the oxidation rate of VOCs depends
224 on oxidant concentration. Instead, we use this well-established conclusion to explain our
225 observation that the LO-OOA enhancement amount correlates with oxidant concentration. As the
226 SOA formation depends on a number of variables, including temperature, relative humidity, the
227 concentrations of oxidants, NO_x , and existing particles, etc, the VOCs oxidation rate is not
228 equivalent to SOA formation amount. In this study, the α -pinene perturbation experiments were
229 conducted at different times of day (i.e., from 9am to 9pm) and under different conditions (i.e., T,
230 RH, oxidant, NO_x , etc). Despite the large difference in reaction conditions, the LO-OOA
231 enhancement amount correlates well with O_3 concentration, suggesting that the oxidant
232 concentration plays a more controlling role in the amount of OA formed in α -pinene experiments
233 than other reaction variables do.

234 As a side note, we also want to point out that the oxidant concentration is not the sole
235 variable in determining the SOA formation from β -caryophyllene, which is in contrast to α -pinene
236 experiments. As shown in Fig. S22 in revised SI, the LO-OOA enhancement amount in β -
237 caryophyllene perturbation experiments is greatly affected by NO_2 level. For example, for two
238 experiments with similar O_3 concentration and injection time, more LO-OOA is formed in the
239 experiment with a lower NO_2 level (Fig. S22f in revised SI).

240 *11. Line 286: An alternative explanation here is that the experimental set-up here was not*
241 *conducive to HOM formation for whatever reason. This should be added as a caveat.*

242 Response: We agree with the reviewer and have added this caveat in the revised manuscript.

243 *12. Line 309: There is a major problem with this statement; the results indicate that the β -*
244 *caryophyllene SOA spectrum to be represented by PMF as a combination of the LOOOA and COA*
245 *mass spectra, but it would be a mistake to imply in any way that it is producing two ‘types’ of OA*
246 *(this is clarified later in the manuscript but it is ambiguous here). Issues about the quality of the*
247 *PMF retrieval aside (see above), in the hypothetical situation that there is an environment with a*
248 *mixture of cooking and biogenic SOA, PMF will likely still separate these because it determines*
249 *factors not just by mass spectral profile but by temporal profile, so would still return factors*
250 *corresponding to cooking and an average of biogenic SOA from all sources. The only situation I*
251 *could think where this would be a problem is if monoterpene and sesquiterpene SOA formation*
252 *were not well matched temporally, in which case I could see how the COA-like component of*
253 *sesquiterpene SOA would manifest as ‘mixing’ between the cooking and biogenic SOA factors, but*
254 *this would be evident in the temporal profiles.*

255 Response: We agree with the reviewer that PMF separates factors based on features in both mass
256 spectrum and time series. However, we note that the temporal variations of COA and SOA from
257 monoterpenes and sesquiterpenes do not guarantee a clean separation between different sources.
258 For example, the concentrations of many oxidation products of monoterpenes (e.g. pinonic acid,
259 pinic acid, etc), COA, and LO-OOA show similar increasing trend near sunset (Allan et al., 2010;
260 Xu et al., 2015b; Zhang et al., 2018). The emissions of sesquiterpenes and diurnal variations of
261 sesquiterpene SOA are not well-studied. Thus, it is possible that some sesquiterpene SOA is
262 apportioned into COA.

263 As mentioned in the manuscript, we agree with that COA is mainly from cooking emissions.
264 However, the perturbation experiments show the possibility that COA could include β -
265 caryophyllene SOA. There is no evidence in the literature to support that cooking OA factor is
266 purely from cooking and does not have any biogenic SOA.

267 *13. Line 350: How much more 'realistic' is this? While this would give a more life-like oxidant*
268 *and NO_x background, given that the chamber walls will act as a sink of VOCs, radicals and*
269 *particles, I would still expect that the precursor perturbations would have to be higher than typical*
270 *atmospheric concentrations to achieve realistic SOA concentrations and consequently have a*
271 *higher VOC:NO_x ratio. This must be discussed in an objective manner and while some of this is*
272 *touched on in the supplement, it's kind of glossed over in the main article.*

273 Response: We would like to clarify that we did not want to produce realistic SOA concentration.
274 Instead, we aimed to produce small amount of SOA, which would not significantly perturb the
275 composition of existing organic aerosol. Based on the chamber volume and injected α -pinene
276 volume, we injected about 14ppb α -pinene. Most of injected α -pinene is carried out of the chamber
277 by dilution air and we estimated that only 2-5 ppb α -pinene is reacted in the chamber. We have
278 added this information in the main text.

279 *14. Figure 6: The caption of this figure is excessively long.*

280 Response: We prefer to keeping the caption descriptive of the figure, such that the readers could
281 comprehend the figure after reading the caption.

282 *15. Line S477: This doesn't make sense. Why would the solver reduce the concentration of MO-*
283 *OOA because it had been added to? I find it more likely that there was a breakdown in the data*
284 *model and mass was being erroneously rotated out of the factor. This is undesirable, but also feeds*
285 *into the discussion above regarding the relationship with COA.*

286 Response: As stated in the SI, the reason why MO-OOA decreases after adding β -caryophyllene
287 is unknown. One possible explanation is that since the mass spectrum of β -caryophyllene SOA is
288 more similar to LO-OOA than MO-OOA, PMF solver somehow decreases the concentration of
289 MO-OOA to reduce the overall fitting residual. We agree with the reviewer that this result is
290 undesirable. However, as pointed out in response to reviewer's comment #4, similar situation
291 could happen in ambient measurements. This issue deserves more attention.

292 *16. Line S480: What other studies?*

293 Response: Please see response to comment#4.

294 *Technical comments:*

295 *17. Line 113: Please be more specific over which VOCs are anthropogenic vs biogenic. The word*
296 *'respectively' does not work when four are listed.*

297 Response: We have deleted the word “respectively”.

298 *18. Line 179: Why not saturated fatty acids?*

299 Response: We have deleted the word “unsaturated”, so that the “fatty acids” in the revised sentence
300 includes both unsaturated and saturated compounds. We have also cited Allan et al. (2010) in this
301 sentence.

302 *19. Line 119: Correct 'concentration' to 'concentrations'.*

303 Response: We have made this correction in the revised manuscript.

304 *20. Line 184: What are the oxidation states in each instance?*

305 Response: We have added the oxidation states (i.e., -0.70 to -0.34 for LO-OOA and from -0.18 to
306 0.71 for MO-OOA in the southeastern U.S.) in the revised manuscript.

307 *21. Section 2.2: Please specify the materials used for the aerosol and gas sampling lines.*

308 Response: Teflon tubing and stainless steel tubings were used for gas and aerosol sampling lines,
309 respectively.

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319 **Reviewer #2:**

320 *The manuscript presented by Xu et al. proposes an interesting study on the contribution of the*
321 *oxidation of alpha-pinene and caryophyllene to the SOA mass observed in the S.E.-US. The*
322 *characterization of SOA generated in the lab-in-the-field smog chamber was performed using an*
323 *aerosol mass spectrometer. Overall the work performed in this study is good and fall within the*
324 *scope of the journal. However, I think the conclusions proposed from the PMF analysis/chamber*
325 *experiments are not always well sustained and more caution should be taken when extrapolating*
326 *the results.*

327 Response: We thank the reviewer for the positive comments. In the revised manuscript, we have
328 added more caveats regarding our conclusions drawn from the perturbation experiments.

329 *General comments*

330 *1. The authors should carefully review their paper and avoid the repetition between the main text*
331 *and the SI. At many places, sentences are duplicated and are not useful. However, some important*
332 *details are left within the SI and should be moved to the main manuscript.*

333 Response: We have re-organized the main text and SI, and improved the linkage between two parts.

334 *2. The authors should provide more information in the PMF analysis and provide the elementary*
335 *checks to validate their analysis. For instance, it is a bit surprising that the factors don't change*
336 *throughout the experiments (i.e. bf vs af) while significant perturbation has been made to the*
337 *system. Or do the authors consider/claim that most of the SOA sampled in the ambient are formed*
338 *from the oxidation of alpha-pinene or caryophyllene? In addition, we could expect that the fresh*
339 *LO-OOA (formed within a few minutes, without lights) would have different signatures than LO-*
340 *OAA formed in the atmosphere (aged SOA, formed from different chemistry, ...). How do the*
341 *factors correlate throughout the experiments: e.g. LO-OOA_Amb_Bf vs LO-OOA_Chamber_Af?*

342 Response: We respond to the reviewer's comment by addressing some confusions regarding PMF
343 analysis. Firstly, we performed PMF analysis on the combined ambient and perturbation data. Each
344 OA factor has a constant mass spectrum throughout the study, regardless of ambient or chamber
345 periods. In other words, the mass spectra of factors do not change between "Chamber_Bf" and
346 "Chamber_Af". Secondly, we did not introduce significant perturbation to the system. In contrast,
347 our goal is to produce small amount of SOA, which would not cause dramatic change in the OA

348 composition and would not bias PMF analysis. For example, the difference in average OA
349 concentration between “Chamber_Bf” and “Chamber_Af” is within $4 \mu\text{g m}^{-3}$ for all perturbation
350 experiments. Thirdly, we did not consider/claim that most of the SOA sampled in the ambient are
351 formed from the oxidation of α -pinene or β -caryophyllene. This assumption is not related to our
352 conclusions.

353 Regarding the last question in this comment, we believe that the reviewer suggests to
354 compare the OA mass spectra between “Chamber_Bf (before VOC injection)” and “Chamber_Af
355 (after VOC injection)”. As shown in Fig. R3 (in response to reviewer#1), the mass spectra between
356 two periods are almost identical, with R larger than 0.99 for all experiments. This is desired
357 because we do not intend to introduce significant change in the OA composition after perturbation.

358 *3. How do the identified factors correlate with the reference MS? How do the residuals evolve*
359 *throughout an experiment? How does alpha-pinene-derived LO-OOA correlate with*
360 *caryophyllene derived LO-OOA? Overall, the authors should provide more statistical analyses in*
361 *order to give a robust validation of the analysis.*

362 Response: In this study, we resolve and evaluate PMF factors according to the standard procedure
363 outlined in Zhang et al. (2011). The detailed description on OA factors and justification of PMF
364 results have discussed in section S3 of SI. As discussed in the SI, the PMF factors have the same
365 features as those in the literature. More importantly, the PMF results in this study are consistent
366 with our previous measurements (from 2012 to 2015) at the same site and in similar seasons, as
367 shown in Fig. S14 in revised SI. The mass spectra of LO-OOA are similar ($R > 0.95$) across all the
368 seven datasets in this study (Fig. R1 in response to reviewer#1’s comment#3).

369 In α -pinene perturbation experiments, the PMF residual is not significantly different
370 between “Chamber_Bf” and “Chamber_Af”, suggesting that PMF analysis has adequately
371 accounted for the newly formed α -pinene SOA. More detailed discussions can be found in response
372 to reviewer#1’s comment #4.

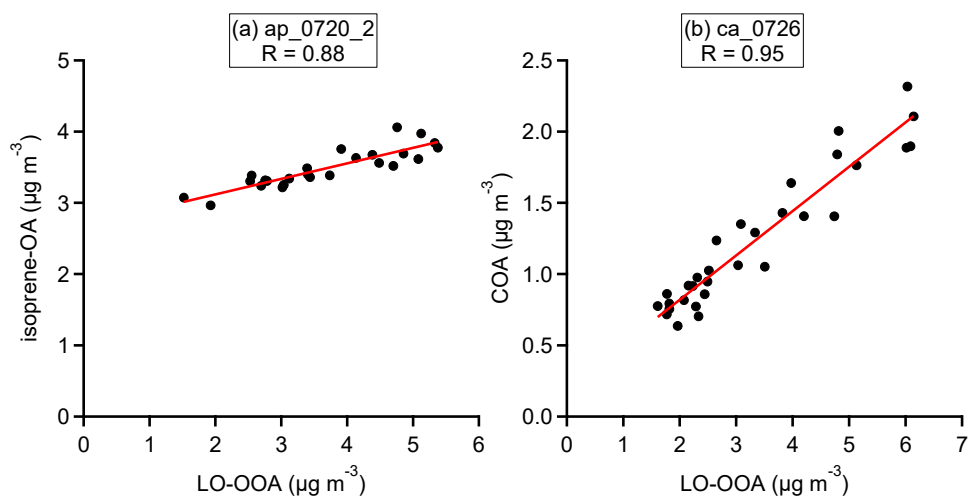
373 As noted in response to the reviewer’s comment#2, the mass spectrum of LO-OOA is the
374 same throughout the study, regardless of ambient vs. perturbation and regardless of α -pinene vs.
375 β -caryophyllene experiments.

376 4. The authors should report the concentration of the inorganics in their experiments and in case
377 of significant concentrations of sulfate estimate the aerosol acidity. Indeed, the presence of acidic
378 aerosols can lead to multiphase reactions (e.g. reactive uptake of IEPOX) that could greatly
379 impact the SOA composition. In addition, an estimation (modeling?) of the concentrations of other
380 VOCs would be interesting (especially isoprene). Ozonolysis of alpha-pinene leads to the
381 formation of OH radicals, which could further react and oxidize alpha-pinene but also other VOCs
382 present in the ambient air. The authors should discuss this possibility and provide more
383 information in the background of the chamber/ambient air. As it is, the conclusions proposed in
384 the paper on the potential increase of the IEPOX-OA or COA factors from the oxidation of alpha-
385 pinene and caryophyllene, respectively appear speculative (correlations are not sufficient to
386 validate such trend: $r \sim 0.5$). For instance, the authors could estimate the amount of IEPOX (thus
387 isoprene) formed in the chamber to explain the formation of IEPOX-OA and check if the numbers
388 make sense or not.

389 Response: We interpret that the reviewer's key question as the following: "is the enhancement in
390 isoprene-OA factor due to the oxidation of isoprene in the chamber after injecting α -pinene?" The
391 most direct evidence to rule out this hypothesis is that the concentration of IEPOX+ISOPOOH
392 ($C_5H_{10}O_3 \cdot I^-$) and isoprene hydroxyl nitrates ($C_5H_9NO_4 \cdot I^-$), measured by I^- HR-ToF-CIMS, did not
393 change after α -pinene injection (Fig. S3b in revised SI). This suggests that the α -pinene injection
394 does not introduce isoprene oxidation in the chamber.

395 The relatively weak correlation between Δ isoprene-OA and Δ LO-OOA (where the Δ
396 indicates the difference in concentration between the "Chamber_Af" and "Chamber_Bf") across
397 all α -pinene perturbation experiments is not contradictory to the conclusion that α -pinene SOA
398 influences isoprene-OA factor. The weak correlation could be because α -pinene SOA in different
399 perturbation experiments were formed under different conditions (e.g., NO_x) and had different
400 mass spectra (Fig. 7 in revised manuscript). Thus, the fraction of α -pinene SOA apportioned into
401 isoprene-OA factor varies with experiments and results in the weak correlation. However, we
402 would like to point out that although the correlation between Δ isoprene-OA and Δ LO-OOA across
403 all α -pinene perturbation experiments is relatively weak, the time series of isoprene-OA and LO-
404 OOA in the same α -pinene perturbation experiment are strongly correlated. The R is 0.88 for the
405 α -pinene perturbation experiment on 07/20 (Fig. R4a). It is well studied that isoprene produces

406 SOA slower than α -pinene, as isoprene SOA involves higher-generation products. If the
407 enhancement in isoprene-OA factor is due to isoprene oxidation, the enhancement is expected to
408 occur later than the enhancement in LO-OOA, but it is not observed in the experiments. Thus, the
409 strong correlation between isoprene-OA and LO-OOA in the same α -pinene perturbation
410 experiment serves as another evidence that the enhancement in isoprene-OA factor is due to
411 interference from newly formed α -pinene SOA, rather than oxidation of isoprene after injecting α -
412 pinene.



413
414 Fig. R4. (a) The correlation between isoprene-OA and LO-OOA in the “Chamber_Af” period of
415 one α -pinene perturbation experiment (i.e., ap_0720_2). (b) The correlation between COA and
416 LO-OOA in the “Chamber_Af” period of one β -caryophyllene experiment (i.e., ca_0726).

417 At the reviewer requested, the concentrations of inorganic species have been added into
418 the revised SI.

419 *Specific comments:*

420 5. Lines 104:111. Did the authors characterize the chamber? Mixing, wall losses,

421 Response: Since our goal is to qualitatively understand which OA factors the α -pinene SOA is
422 apportioned into, we did not characterize the mixing and wall loss of the chamber. We would like
423 to note that because of the continuous exchange air between chamber and ambient air, the particle
424 wall loss is difficult to characterize. The chamber characterization will be one focus of future work.

425 6. Lines 141: The authors claim that by having an overflow, it suppressed the particle loss. Did
426 they mean reduce? Have you done some tests to validate such statement?

427 Response: We used a bypass flow to reduce the particle loss in sampling line. We have replaced
428 “suppressed” with “reduced”.

429 *7. Lines 217-220: Why not using the outdoor chamber to do such experiments? Can the authors*
430 *discuss the strategy here?*

431 Response: In the laboratory studies, we follow traditional chamber experimental procedure
432 produce α -pinene SOA under controlled conditions. Then we compare laboratory experiments with
433 lab-in-the-field experiments to evaluate the representativeness of laboratory studies.

434 *8. Lines 266-268: The decay of LO-OOA is quite fast and I do not think it can only explain by the*
435 *dilution and or dead-volume. The residence time in the chamber is ~100 min. Where were located*
436 *the sampling inlets?*

437 Response: The ~100min residence time is calculated with the assumption of no dead volume. The
438 existence of dead volume would largely decrease the residence time and change the decay rate of
439 LO-OOA, as shown in Fig. S21 in revised SI.

440 The sampling inlets were inserted into the center of the chamber, which has been specified
441 in the revised SI.

442 *9. Lines 278:284: It is quite expected. What is the point of the authors?*

443 Response: Please see response to reviewer#1’s comment#10.

444 *10. SI Line 150:157: These results are a bit intriguing. The data reported for the boreal forest do*
445 *not exhibit prominent ions at m/z 53 or 82. The authors suggest that alpha-pinene/monoterpene*
446 *can contribute to IEPOX-OA but according to Fig S7 the correlation is far to be obvious strong.*
447 *The authors should compare the MS obtained in their study with other PMF data obtained from*
448 *monoterpene-dominated areas (e.g. boreal forest).*

449 Response: In SI Line 150-157, we suggest that monoterpenes SOA may influence isoprene-OA
450 factor, if the isoprene-OA factor is present. In other words, for a location without isoprene-OA
451 factor, the influence of monoterpenes SOA on isoprene-OA does not exist. Regarding the
452 reviewer’s comment on Fig. S7 (i.e., Fig S5 in revised SI), we have addressed it in response to
453 comment#4. As the reviewer suggest, we compared the mass spectrum of LO-OOA in this study
454 with that obtained in a coniferous forest mountain region in Whistler, British Columbia, Canada
455 (Lee et al., 2016). The correlation coefficient is 0.99.

456 **Reviewer #3:**

457 *This paper presents results from experiments and model runs focusing on the monoterpene*
458 *contribution to biogenic SOA in the SE US. A small Teflon reactor was used to oxidize ambient*
459 *air to which single VOC precursor was added. Based on simple PMF analysis and simple CMAQ*
460 *model runs, it is concluded that monoterpenes are major contributors to ambient OA in the SE US.*
461 *The authors are wellknown in the field and have published much excellent work, the paper falls*
462 *within the scope of ACP, and has some interesting aspects. However, in my opinion the new*
463 *evidence is weak, partially supported with circular logic, and is very overinterpreted. The new*
464 *evidence is very insufficient to support the very strong conclusions. I don't see how this paper can*
465 *be published in ACP in anywhere near its present form. I recommend that the authors go back to*
466 *the drawing board and summarize the new experimental aspects into a paper whose conclusions*
467 *are actually supported by the evidence presented. For example, the results on Appendix B seem*
468 *more novel to this reviewer than the ones that are described in the main paper.*

469 *Note that I made this recommendation already in the access review, with the concurrence of the*
470 *previous Editor, and hoping to avoid having to post this review in public. However, after an appeal*
471 *by the authors, it was decided to publish the paper in ACPD anyway without significant revisions.*

472 **Response:** We thank the reviewer for detailed comments in both access review and ACPD stages.
473 We appealed the reviewer's suggestion in the access review stage because we respectfully disagree
474 with many of the reviewer's comments. We would like to use the open discussion to clarify a
475 number of issues.

476 *1. Brief statement of the major issue*

477 *1) The main problem of this paper is that the evidence presented does not support the conclusions.*
478 *The conclusions are summarized in the paper title "Large Contributions from Biogenic*
479 *Monoterpenes and Sesquiterpenes to Organic Aerosol in the Southeastern United States." Or L80-*
480 *84: "We provide direct evidence that newly formed SOA from α -pinene [...] and β -caryophyllene*
481 *(representative sesquiterpene) dominantly contributes to LO-OOA in the southeastern U.S."*

482 *The new evidence presented in this manuscript has two parts:*

483 *1.a) Some interesting, but incomplete, experiments with an ambient reactor, that have been*
484 *analyzed using PMF. What the authors have really shown with these experiments is (in their own*

485 words) “to qualitatively understand which OA factors the α -pinene SOA is apportioned into”
486 (Supp. Info. L349-350). Most importantly, experiments with other precursors failed, and
487 experiments using for example cloud pathways were not even attempted. It is highly likely (based
488 on prior published work) that spectra from other precursors and pathways would also have looked
489 similar to LO-OOA, so it is very problematic that those experiments failed or were not even
490 attempted.

491 The authors do acknowledge (L415) that based on the experimental work alone “we do not
492 conclude that LO-OOA arises exclusively from MT and SQT.” Next, they do acknowledge that
493 their title is just a hypothesis, after all the experimental evidence has been considered: (L387-391):
494 “we propose that the major source of OOA in this region is the oxidation of MT and SQT by
495 various oxidants (O₃, OH, and NO₃). To test this hypothesis, we use CMAQ to simulate pollutant
496 concentrations across the southeastern U.S.”

497 So given how weak the evidence from (1.a) is in terms of supporting the paper’s conclusions, how
498 strong is the complementary evidence from the model?

499 1.b) The CMAQ model is run with a different parameterization for terpene SOA that has higher
500 yields than a very old one. Not surprisingly, the terpene contribution to SOA in the SE US goes up
501 in CMAQ. I understand that the parameterization is in principle improved compared to some older
502 ones, but how do we know it is really accurate? The parameterization is still very simple, and does
503 not included detailed chemistry such as a HOM mechanism. The justification about why this
504 parameterization would be accurate when implemented in as complex a region as the SE US is
505 very light and not satisfactory (sect. 2.6). I agree the model is “upgraded” (L25), but not that it
506 has been shown to be accurate. There is a long history of simple parameterizations (after various
507 “upgrades”) being wrong when compared to ambient air. I do not see sufficient evidence that
508 supports the accuracy of these model runs, so that strong conclusions about MT + SQT
509 contributions (that could not be reached with the experiments alone) could now be reached with
510 certainty.

511 In addition, the use of the CMAQ results suffers from circular logic. Section 1.a. ended with a
512 hypothesis that LO-OOA was arising from MT & SQT. Now the fact that the CMAQ results are of
513 the same order as LO-OOA is used as confirmation that indeed LO-OOA comes from MT & SQT.

514 *But we did not know that LO-OOA came from MT & SQT yet, that was only a hypothesis. The*
515 *logical structure here is therefore problematic.*

516 *Other evidence from the literature is mentioned, such as high emissions of MT & SQT in the SE*
517 *US, and the fact that some previous results suggest that anthropogenic SOA is not a major*
518 *contributor in this region. The first one has been known for decades. The second result is not quite*
519 *consistent with some modeling studies and measurements of fossil carbon (when taking into*
520 *account that urban pollution has a significant fraction of non-fossil carbon, see Kim et al. (2015)*
521 *and references therein). Together, the combined evidence is still not sufficient for the sweeping*
522 *conclusions.*

523 *Ten years ago we didn't know about the IEPOX-SOA pathway (Paulot et al., Science 2009), that*
524 *has since proven to be a major contributor to SOA over the SE US. A paper written in 2008 may*
525 *have used the existing literature to conclude that isoprene was a minor contributor to SOA in the*
526 *SE US, and would have been sorely wrong in doing so. We also didn't know till very recently about*
527 *autoxidation being important in the atmosphere (Ehn et al., 2014). The importance of autoxidation*
528 *for urban emissions in the US has recently been demonstrated (Praske et al., PNAS 2018), and is*
529 *not included in the CMAQ runs or literature studies cited here. SOA formation in clouds is also*
530 *highly uncertain (Ervens et al., 2011), and could also lead to LO-OOA through various mixtures*
531 *of precursors and pathways (which remain almost completely unexplored). For example perhaps*
532 *there is an isoprene SOA pathway through clouds that has not been discovered yet and that*
533 *contributes half of the LO-OOA in the SE US. The present paper is implicitly saying that other*
534 *precursors and pathways are not important in the SE US, and risks shutting down research on*
535 *other alternatives. Is that justified? In my opinion it is not, and the evidence in this paper is not*
536 *anywhere near sufficient to justify its title and sweeping conclusions.*

537 **Response:** We think the reviewer over-states the “weakness” of our evidence and the “strongness”
538 of our conclusion. In many places of reviewer’s comments, the reviewer indicates “the authors
539 draw the conclusion that LO-OOA is exclusively monoterpenes SOA based on a single evidence”
540 and that is a significant shortcoming. Our hypothesis is that “the major source of LO-OOA in the
541 southeastern U.S. is the fresh SOA from the oxidation of monoterpenes (MT) and sesquiterpenes
542 (SQT) by various oxidants (O₃, OH, and NO₃)”. We never argue that LO-OOA is exclusively MT
543 and SQT SOA and never state that SOA from other sources/pathways is not important. While

544 monoterpenes have been recognized an important SOA source for some time, until Zhang et al.
545 (2018), there was no evidence for them being a contributor on the order of half of the ambient OA.
546 Even with Zhang et al. (2018), the scientific literature lacks information on the role of
547 monoterpenes on larger spatial (e.g. entire southeast U.S.) and temporal (different times of year)
548 scales which are included in our work. We support our hypothesis based on a weight of evidence
549 provided in the literature and this study, as listed and discussed below.

550 (1) The large emissions of MT and SQT in the southeastern U.S. (Guenther et al., 2012), which
551 has been established in decades and the reviewer agrees with.

552 (2) The majority (roughly 80%) of carbon in SOA is modern in the southeastern U.S. The reviewer
553 suspects that this evidence is not quite consistent with some modeling studies. We beg to differ
554 due to following reasons. Firstly, Weber et al. (2007) measured that the biogenic fraction of carbon
555 is roughly 70-80% at two urban sites in Georgia that were also used in our study. Note that
556 measurements in Weber et al. (2007) were performed in 2004 and the biogenic fraction is expected
557 to be higher in 2016 than 2004, as a result of reductions in anthropogenic emissions (Blanchard et
558 al., 2010). Secondly, we checked Kim et al. (2015) and found that the paper clearly stated that “we
559 estimate that 18% of the total OC burden is derived from fossil fuel use. This is consistent with an
560 18% fossil fraction from radiocarbon measurements made on filter samples collected in Alabama
561 during SOAS.” In brief, Kim et al. (2015) is consistent with other studies (Zhang et al., 2018;
562 Lewis and Stiles, 2006; Weber et al., 2007).

563 (3) Previous studies suggest that the oxidation of β -pinene (another important monoterpene) by
564 nitrate radicals (NO_3) contributes to LO-OOA in the southeastern U.S. (Boyd et al., 2015; Xu et
565 al., 2015a) and this reaction alone cannot replicate the magnitude of LO-OOA (Pye et al., 2015).

566 (4) The mass spectra of LO-OOA are almost identical (i.e., R ranges from 0.95 to 0.99 in Fig. R1)
567 across all the seven datasets in our study. In addition, LO-OOA across all datasets also shares the
568 same diurnal trends (Xu et al., 2015a). The similarity in LO-OOA features suggests that LO-OOA
569 may share similar sources across multiple sites and in different seasons in the southeastern U.S.

570 (5) Perturbation experiments in this study show that the majority of fresh SOA from the oxidation
571 of MT and SQT contributes to LO-OOA. Previous studies, mainly based on mass spectra
572 comparison, concluded that MT SOA contributes to LO-OOA, but did not quantitatively show the
573 fraction of MT SOA that is apportioned into LO-OOA. In other words, previous studies did not

574 show whether 100% or 50% of MT SOA is apportioned in to LO-OOA. The quantitative
575 understanding is the basis when comparing modeled MT SOA with PMF factors. The reviewer
576 raises concern regarding this conclusion in next comment and we will address his/her concern later.

577 (6) CMAQ model calculations for the region showed consistency between modeled SOA_{MT+SQT}
578 and observed LO-OOA in terms of both magnitude and diurnal trend at different sites and in
579 different seasons when an updated monoterpene SOA parameterization was used.

580 The new VBS parameterization implemented in the updated simulation represents a
581 significant scientific improvement over the Odum 2-product parameterization currently used in the
582 public version of CMAQ (v5.2). Specifically, the VBS parameterization does promptly form low
583 volatility species, likely from autoxidation, which were absent from the previous Odum-2 product
584 parameterization. In addition, the new parameterization allows for enthalpies of vaporization that
585 are more consistent with species of the specified volatility, since the parameterization was
586 produced from a richer dataset than the original Odum 2-product representation. The work shown
587 here is an important step in the right direction and will allow for an improved representation of
588 monoterpene SOA in current models while mechanistic pathways are still being determined. As
589 shown in Fig. S16 in revised SI, implementing the new parameterization of MT SOA substantially
590 reduces the normalized mean bias (NMB) between modeled and measured OA for all six datasets.

591 (7) A recent study by Zhang et al. (2018), which was published after our manuscript submission,
592 offered other evidence to support our hypothesis. Zhang et al. (2018) characterized the molecular
593 tracers of MT SOA at Centreville, AL (a site included in our study as well) and concluded that
594 monoterpenes are the largest source of summertime organic aerosol in the southeastern U.S.

595 Therefore, we use above weight of evidence to support our hypothesis. We have revised
596 the manuscript to clarify the logic and avoid confusions.

597 At last, we fully acknowledge the progress already made and the need to improve our
598 understanding of atmospheric chemistry and all the unknowns the reviewer brought up. In fact,
599 our study is motivated by many unknowns that the reviewer brought up. For example, due to the
600 high O:C ratio of highly oxygenated molecules (HOMs) formed during monoterpene oxidation, it
601 is hypothesized that HOMs maybe a potential source of MO-OOA. This hypothesis challenges our
602 current understanding that MO-OOA represents aged SOA and also raises the question if
603 monoterpenes SOA is exclusively apportioned into LO-OOA. Although previous studies

604 repeatedly showed the similar mass spectra between α -pinene SOA and LO-OOA, the mass spectra
605 comparison approach cannot tell us what is the fraction of α -pinene SOA apportioned into LO-
606 OOA vs. other factors. The limitations of mass spectra comparison approach motivate us to explore
607 alternative approaches to understand the sources of PMF factors. Another example is still related
608 to HOMs. As the reviewer is aware of, the formation of HOMs and the contributions of HOMs to
609 SOA are not captured by the Odum 2-product model implemented in current regional models
610 which do not include prompt formation of material with saturation concentrations less than 10
611 $\mu\text{g}/\text{m}^3$. This is one of the reasons we replaced Odum 2-product model with VBS parameterization
612 in the updated simulation. The new parameterization based on Saha and Grieshop (2016) considers
613 the HOMs contribution to SOA and the HOMs yield in Saha and Grieshop (2016) is consistent
614 with recent observations.

615 Throughout the manuscript, we never imply that SOA sources, other than monoterpenes
616 and sesquiterpenes, are not important. Based on our measurements, LO-OOA accounts for 19-34%
617 of total OA in the southeastern U.S. The sources of MO-OOA, which accounts for 24-49% of OA
618 in the southeastern U.S., are highly uncertain. Many reaction pathways the reviewer brought up
619 are actually potential sources of MO-OOA. For example, SOA produced from aqueous-phase
620 chemistry is generally highly oxidized (Lee et al., 2011) and is likely apportioned into MO-OOA,
621 instead of LO-OOA. A recent study by Xu et al. (2016) suggests that aqueous-phase reaction has
622 a dominant impact on MO-OOA in China. There are also hypotheses in the literature that the SOA
623 formed through cloud chemistry together with long-range transport and entrainment from aloft
624 may contribute to MO-OOA (Crippa et al., 2013; Robinson et al., 2011; Xu et al., 2015b). In brief,
625 we never make any implication that SOA from other sources/pathways are not important in the
626 southeastern U.S.

627 *A little more detail on the major issues*

628 *2) A very important problem with the manuscript is the logic of the PMF analysis (with both of the*
629 *other reviewers explicitly pointing out serious problems in it). Indeed the α -pinene SOA is most*
630 *similar to LOOOA (also referred to as SV-OOA in older works). This has been known for a decade,*
631 *see for example Fig 2C of Jimenez et al. (2009) (cited in the manuscript) and several other papers.*
632 *Or in the words of reviewer 1: “the case for α -pinene SOA being a strong contributor to LO-OOA*
633 *has never really been in doubt in this reviewer’s opinion. Why is the evidence presented here any*

634 *more ‘direct’ than those published previous?’ The same conclusion can be deduced quickly by*
635 *comparing spectra of chamber apinene SOA and ambient LO-OOA from the AMS spectral*
636 *databases (much like the authors do again in their Fig. 6). So this it is not a new finding of this*
637 *work. Here an interesting (though not completely new) experimental procedure is used to reach*
638 *once again a conclusion that was already firmly established in the literature. See for example the*
639 *figure below (Kiendler-Scharr et al., 2009, as an example, but there are quite a few such*
640 *comparisons in the earlier AMS literature. Note that the Chebogue BSOA represents the outflow*
641 *for the E US, similar to the work in the present paper).*

642 *Therefore the conclusion is not really new and has been known for over a decade. So it seems*
643 *surprising that suddenly the same specific result allows the present authors to reach far more*
644 *general conclusions. The critical flaw is in the logic flow. It is true that (a) a-pinene SOA is most*
645 *similar to LO-OOA. However, that cannot be used to conclude that (b) ambient LO-OOA in the*
646 *SE US is mostly from apinene. Hypothesis (a) being true is a necessary, but not sufficient condition*
647 *for the converse hypothesis (b) to be true. Much more evidence is needed to prove hypothesis (b)*
648 *and to disprove alternative hypotheses.*

649 *A critical piece of additional evidence would include proving that spectra from other sources of*
650 *SOA likely to be present in the region are not spectrally similar to LO-OOA. This is not shown in*
651 *the manuscript. Experiments with other precursors were attempted (L313): “by injecting isoprene,*
652 *m-xylene, or naphthalene, which are major biogenic and anthropogenic emissions, respectively.*
653 *However, the SOA formation from these VOCs is not detectable.” So the experiments failed, and*
654 *as a consequence no spectra from other likely sources are available to establish that spectra from*
655 *those sources do not look like LO-OOA. A look at the AMS literature and the AMS databases*
656 *suggest that spectra from these and other precursors have spectra which are indeed very similar*
657 *to ambient LO-OOA. So it is very problematic that the experiments did fail.*

658 *Inexplicably the authors do not use the publicly available database spectra, nor perform regular*
659 *chamber experiments for other precursors, and have a very handwavy section (sect. 3.4) trying to*
660 *justify that. This is simply not acceptable. I do not agree that the ambient perturbation experiments*
661 *are better than regular chamber experiments, but I would agree that they can be just as good.*
662 *Adding a-pinene to ambient air, and using the oxidants (O₃ and OH) and OA seed from ambient*
663 *air, is not significantly different to injecting O₃ in a chamber and using either a sulfate seed or no*

664 *seed. If the authors expect that the spectra would be significantly different, they should explain*
665 *why this would be. But their own Figure 6 indicates that the spectra from the ambient perturbation*
666 *experiments and a regular chamber are indeed very similar. Why then not use chamber*
667 *experiments (either from the literature database, or the authors' chamber) to obtain spectra from*
668 *other precursors and pathways, and see whether they are similar to the ambient LO-OOA or not?*

669 Response: The reviewer's comment targets on why our conclusions from perturbation experiments
670 are more "direct" than previous studies based on mass spectra comparison method. We have
671 carefully addressed this question in response to reviewer#1's comment#9. In brief, in the authors'
672 opinion, the mass spectra comparison approach is subjective and qualitative. It relies on subjective
673 judgement to determine whether lab SOA is similar to OA factor. Also, even if the mass spectrum
674 of α -pinene SOA is similar to LO-OOA, the similarity is not equivalent to that α -pinene SOA is
675 exclusively apportioned into LO-OOA. In contrast, in the perturbation approach, PMF analysis
676 does not distinguish SOA from natural α -pinene vs. from injected α -pinene, so that PMF analysis
677 can objectively apportion α -pinene SOA into factors. Further, we attempt to quantify the fraction
678 of α -pinene SOA that is apportioned into different factors.

679 The reviewer also argued that it is problematic that the perturbation experiments with other
680 VOCs (isoprene, m-xylene, or naphthalene) failed. In fact, the results with other VOCs are
681 expected and explainable as will be discussed in response to reviewer's comment #7.

682 *3) Similar experiments and analysis (VOC addition to ambient air in a flow reactor, followed by*
683 *spectral comparison) have already been conducted and published by Palm et al. (2018) as part of*
684 *the GoAmazon campaign, an area comparable to the SE US with high biogenic impact, but also*
685 *other sources. In that work multiple VOCs were added to ambient air individually, and oxidized*
686 *to form SOA in an oxidation flow reactor, which was then measured by an HR-AMS. Those authors*
687 *were able to oxidize bcaryophyllene, longifolene, limonene, b-pinene, α -pinene, toluene, and*
688 *isoprene, and to obtain yields and HR-AMS mass spectra for all of them. They further state: "The*
689 *mass spectrum of the SOA formed from OH oxidation [of ambient air] was correlated ($R^2=0.72-$*
690 *0.93; shown in Fig. S12) with spectra of the SOA formed from the injected VOCs from the standard*
691 *injection experiments in Sect. 3.4. These correlations show that the SOA formed from OH oxidation*
692 *of ambient air appeared similar to SOA from known precursors, but the spectra from the different*

693 *precursors appear too similar to be able to differentiate the SOA sources in ambient air from the*
694 *spectrum alone.” Fig. S12 of that work is reproduced below for reference.*

695 *Thus the difficulty of apportioning the SOA in ambient air through this type of experiments, and*
696 *given the high fragmentation and limited information content of AMS spectra is clear and has been*
697 *previously documented. If the authors disagree, the burden of proof is on them to show that they*
698 *can unequivocally associate ambient air spectra with those of specific VOC precursors, including*
699 *disproving that ambient LO-OOA may have major contributions from other precursors and*
700 *pathways. Let’s imagine that Palm et al. had only conducted experiments with limonene, and the*
701 *rest of the experiments had failed. Then they would have observed R² of 0.9 between SOA of those*
702 *experiments and SOA from ambient air. Would that have been sufficient evidence to justify the title*
703 *“Large Contributions from limonene to Organic Aerosol in the Amazon”?* *That paper performed*
704 *additional analyses, and concluded instead that biomass burning and anthropogenic precursors*
705 *were also important contributors at that particular location, in addition to biogenics. Therefore*
706 *one has to avoid making expansive conclusions based on narrow evidence.*

707 Response: Firstly, we do not agree with the reviewer that the analysis in Palm et al. (2018) is
708 similar to our study. Palm et al. (2018) focused on the SOA formation from oxidizing ambient air
709 with OH in an oxidation flow reactor. This SOA is referred to as “potential SOA”. Both the mass
710 spectra comparison and the “additional analysis” the reviewer referred to aim to understand the
711 “potential SOA”, instead of the existing SOA in the atmosphere. As clearly stated in Palm et al.
712 (2018), “Importantly, this analysis does not provide information about what amounts or fractions
713 of the preexisting (i.e., ambient) OA measured at the T3 site came from each of these sources.”
714 Thus, the analysis in Palm et al. (2018) is not similar to our study, as our study aims to understand
715 the sources of preexisting OA.

716 The reviewer quote from Palm et al. (2018) that “...*but the spectra from the different*
717 *precursors appear too similar to be able to differentiate the SOA sources in ambient air from the*
718 *spectrum alone.”* The R² in Palm et al. ranges from 0.72 to 0.93. We would like to ask the question
719 what counts as “too similar”? We believe that the mass spectra comparison method cannot provide
720 an objective answer to this question, but the PMF analysis as done in our study can potentially
721 answer the question. That is, if Palm et al. (2018) performed PMF analysis on the combined

722 ambient data and perturbation data, SOA from different precursors may be apportioned into
723 different factors.

724 Secondly, the reviewer raised one question that if Palm et al. had only conducted
725 experiments with limonene, would that have been sufficient evidence to justify the title “Large
726 Contributions from Limonene to Organic Aerosol in the Amazon”? We agree with the reviewer
727 that the answer is definitely no, because this conclusion is drawn based on simply mass spectra
728 comparison, instead of cumulative evidence as in our study. Let’s imagine that Palm et al. simulate
729 the SOA formation from limonene in Amazon, would that match the LO-OOA in both magnitude
730 and diurnal trend at multiple sites and in different seasons? Is limonene the most abundant
731 monoterpenes in the Amazon? Is there any study to objectively show that the majority of limonene
732 SOA contributes to LO-OOA, instead of other factors? We state again that our hypothesis is
733 supported by a weight of evidence, instead of simple mass spectra comparison.

734 Thirdly, to support the hypothesis that LO-OOA is largely from the oxidation of
735 monoterpenes and sesquiterpenes in the southeastern U.S., we have shown cumulative evidence in
736 response to this reviewer’s comment#1. Below, we list more evidence to support that LO-OOA
737 unlikely has major contributions from anthropogenic VOCs.

738 (1) m-xylene, an important and abundant anthropogenic VOC is likely apportioned to MO-
739 OOA. The mass spectrum of laboratory-generated m-xylene SOA (Bahreini et al., 2005) is more
740 similar to the MO-OOA ($R = 0.97$) than LO-OOA ($R = 0.83$), using the standard mass spectra in
741 Ng et al. (2010). Using the reviewer’s recommendation to leverage the similarity of spectra
742 between laboratory experiments and the ambient measurements, m-xylene SOA contributes to
743 MO-OOA, instead of LO-OOA.

744 (2) As indicated in Fig. S8 in revised SI, the modeled concentration of SOA from
745 anthropogenic VOCs is on the order of $0.1 \mu\text{g m}^{-3}$. Even if we double the SOA yields of
746 anthropogenic VOCs to account for the potential vapor wall loss in laboratory studies (Zhang et
747 al., 2014) and even if we assume all SOA from anthropogenic VOCs oxidation contributes to LO-
748 OOA, anthropogenic SOA only account for a small fraction of LO-OOA. Also, the modeled
749 anthropogenic SOA peaks in the day, which is different from that of LO-OOA, which reaches
750 daily maximum at night. This small amount of anthropogenic SOA is consistent with Zhang et al.

751 (2018), who performed molecular-level characterization of OA and showed that anthropogenic
752 SOA only accounts for 2% of total OA in Centreville, AL.

753 *4) Some results of the PMF analysis appear to have been misinterpreted. The ambient data had*
754 *10 times as many points in time as the perturbation experiments. In such a situation, the ambient*
755 *data effectively “dictates” the spectra due to its much higher fractional contribution to the Q value*
756 *(weighed residual that PMF is minimizing). As expected in this situation (L167) “the perturbation*
757 *experiments do not create a new factor that does not already exist in the ambient data.” Under*
758 *that setup, the PMF results for the chamber time periods are similar to multiple linear regression*
759 *onto the spectra already predetermined for ambient air (since the chamber results have a low*
760 *contribution to Q, and then are unable to change the factor spectra much).*

761 *As the spectra of SOA from the chamber are being forced to be represented by a linear combination*
762 *of a limited set of ambient spectra (which they cannot influence in practice), it is normal that some*
763 *SOA spectra from the chamber VOC additions “project” onto more than one ambient spectrum.*
764 *That is, if an SOA spectrum produced in the chamber is not exactly similar to one of the ambient*
765 *spectra, PMF may be able to reduce the residual by representing those experimental spectra as a*
766 *linear combination of two of the “basis” spectra that were determined primarily from the ambient*
767 *data. Those results are expected and not surprising. They do not “clearly demonstrate” at all that*
768 *if a given type of SOA was present in an ambient dataset, it would be split into two factors in the*
769 *same way. Mathematically these are two very different situations, with very different structures*
770 *and residual contributions. For example an ambient cooking OA (COA) factor would have a*
771 *different time variation, which PMF would also exploit in extracting the factors, but such*
772 *structural difference in the variance is not present here. Experiments (for example using simulated*
773 *data) could be carried out to investigate the interference point for ambient data alone.*
774 *Unfortunately, the authors misinterpret their results for a very specific PMF situation for non-*
775 *ambient data, into completely unsupported general statements for PMF analyses of ambient data*
776 *(that for example ambient COA may contain caryophyllene SOA, or that isoprene SOA may have*
777 *interferences from α -pinene SOA), even though such analyses have not been performed in this*
778 *work! Perhaps those interferences exist, but they have not been proven by this study. Rather here*
779 *the results of a complex PMF analysis are being misinterpreted.*

780 *In fact, the result that some of the α -pinene and carophyllene SOA apportions to other factors in*
781 *the authors' methods weakens the main stated conclusions further. Even these types of SOA are*
782 *not quite well represented by LO-OOA and need to "lean" on other ambient factors to reduce the*
783 *residual in PMF. Therefore making the conclusion that ambient LO-OOA is mainly from MT &*
784 *SQT is even more weakly supported.*

785 Response: As stated in the manuscript, we designed our experiments in a way that the perturbation
786 experiments do not influence the mass spectra of OA factors and would not create a new factor.

787 The reviewer argued that "*PMF results for the chamber time periods are similar to multiple*
788 *linear regression onto the spectra already predetermined for ambient air*". Let's put aside whether
789 this interpretation is correct, we think the same argument/interpretation also applies for ambient
790 monoterpenes SOA. Imagine that there is a short period of ambient data with increasing
791 monoterpenes SOA concentration. For this short period, one can also argue that this short period
792 has small contribution to overall Q value and thus PMF results for this period are similar to
793 multiple linear regression onto the spectra already predetermined for ambient air. The perturbation
794 experiments simulate this short period with increasing α -pinene SOA concentration. It does not
795 matter how PMF treats the perturbation experiments, as long as the treatment is the same for
796 ambient data. Therefore, conclusions drawn from perturbation experiments are applicable to
797 ambient data from a similar situation. The perturbation experiments point out the possibility that
798 isoprene-OA factor could have interference from α -pinene SOA. This interference has not been
799 acknowledged in previous studies and there is no study in the literature to prove that this
800 interference does not exist. In fact, the interference of α -pinene SOA on isoprene-OA factor helps
801 to address some uncertainties regarding the isoprene-OA factor in the literature. For example, Liu
802 et al. (2015) compared the mass spectrum of laboratory-derived IEPOX SOA with isoprene-OA
803 factors at some sites. The authors observed stronger correlation for isoprene-OA factors resolved
804 at Borneo (Robinson et al., 2011a) and Amazon (Chen et al., 2015), and weaker correlation at
805 Atlanta, U.S. (Budisulistiorini et al., 2013) and Ontario, Canada (Slowik et al., 2011). As another
806 example, the fraction of measured total IEPOX-SOA molecular tracers in isoprene-OA factor
807 highly varies with location, ranging from 26% at Look Rock, TN (Budisulistiorini et al., 2015) to
808 78% at Centreville, AL (Hu et al., 2015). To address the uncertainties in above two examples, one
809 possible reason is that the isoprene-OA factors resolved at different sites are not purely from
810 IEPOX uptake. Isoprene-OA factors likely have interference from monoterpenes SOA or other

811 sources, but the interference magnitude varies with locations. We hope to use this study to raise
812 the public awareness of the possible interference in OA factors.

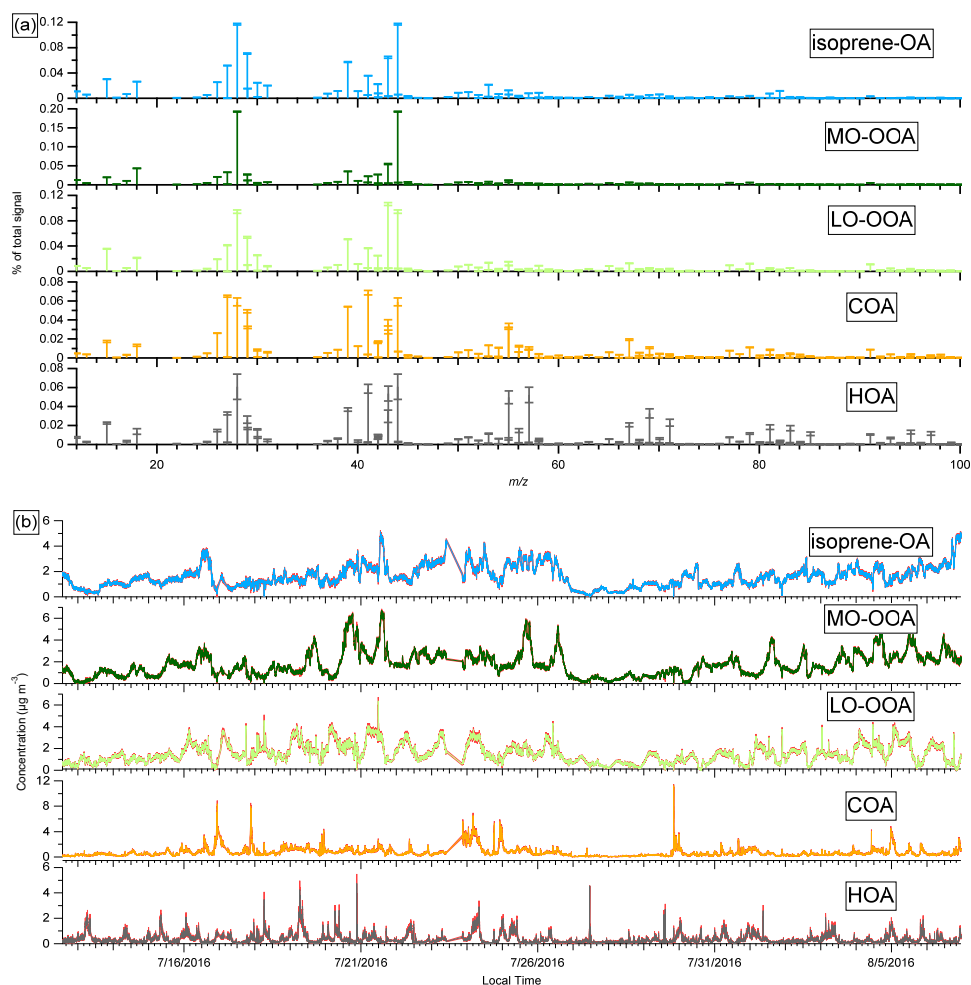
813 The reviewer proposed a great suggestion to use simulated dataset to investigate the
814 potential interference. However, a great amount of work is required to fully carry out this idea, as
815 the creation of the simulated dataset (i.e., what mass spectrum, time series, and concentration of
816 α -pinene SOA should be used?) is complicated and subjective. It would be an entire study in itself.

817 We agree with the reviewer that the perturbation experiments do not simulate all scenarios
818 in the atmosphere and do not consider the temporal variation. The applicability of the conclusions
819 drawn from the specific scenario to general atmosphere warrants further exploration. We have
820 clearly discussed the caveats of the conclusions in the revised manuscript.

821 We agree with that PMF separates factors based on features in mass spectrum and time
822 series. However, we do not agree that the temporal variations of monoterpenes and sesquiterpenes
823 SOA and COA can guarantee a clean separation between different sources. For example, the
824 concentrations of many oxidation products of monoterpenes (e.g. pinonic acid, pinic acid, etc),
825 COA, and LO-OOA show similar increasing trend near sunset (Allan et al., 2010; Xu et al., 2015b;
826 Zhang et al., 2018). As an attempt to test if our conclusion is affected by the temporal variation,
827 we performed perturbation experiments at different times of day (9am to 9pm) in this study.

828 *5) No uncertainty analysis, such as from bootstrapping, is performed for the PMF results. This is*
829 *more glaring given that very strong conclusions about the identity of a major fraction of the*
830 *ambient OA, and of potential interferences between factors are made, but we are not shown that*
831 *the results are even statistically significant or what the uncertainties in the analysis may be.*

832 Response: As the reviewer requested, we performed 100 bootstrapping runs to quantify the
833 uncertainty of PMF results. As shown in Fig. R5. The statistical uncertainties in the time series
834 and mass spectra of 5 factors are small and the PMF results reported in this study are robust.



835

836

837 Fig. R5. PMF results from bootstrapping analysis. (a) Average mass spectra (sticks) with 1-σ error
 838 bars (caps). (b) Average time series and 1-σ error bars (red).

839 *6) The statements about the novelty of the approach (L19, L21, L87-88, L457-458) are exaggerated.*
 840 *As cited in the paper, both Leungsakul et al. (2005) and Palm et al. (2017) have already published*
 841 *results from very similar experiments. The only difference between the present experiments and*
 842 *those previous ones is (in the authors' words, L22-123) that "no extra oxidant precursors were*
 843 *added into the chamber." That is correct, but would the authors expect that ambient O₃ or OH*
 844 *produced from ambient air would be that different than those produced in other ways? I am sure*
 845 *that the α-pinene molecules don't care about how the O₃ or OH colliding with them were formed.*
 846 *If the authors expected that adding α-pinene to ambient air but using ambient oxidants was going*
 847 *to significantly change the results compared to standard chamber experiments, the reasons for*
 848 *such expectations should be discussed in detail.*

849 *Otherwise the experiments are interesting, but follow on prior publications. I mention this because*
850 *in some places (e.g. abstract L20-25, also in L87-88, L457-458) the statement that the experiments*
851 *were “novel” is somehow used to prop the weak conclusions. As if somehow the “novel”*
852 *experiments would have allowed the authors to reach some conclusions that were not reachable*
853 *by previous authors. But the novelty is very minor. And the one reason why the experiments are a*
854 *little different from previous experiments (no added oxidants) is the reason why the experiments*
855 *failed in multiple cases! Therefore the “novelty” does not provide any real support for the*
856 *conclusions.*

857 Response: As the reviewer noted, we already referenced and acknowledged previous studies which
858 used ambient air. However, the goals of previous studies are completely different from that of our
859 perturbation experiments. In Leungsakul et al., the main purpose of using rural ambient air is to
860 flush the 270m³ outdoor chamber reactor. In Palm et al., their main goal is to measure the SOA
861 yield from individual VOCs in the OFR under ambient RH and temperature conditions. Our goal
862 to use ambient air is to examine which factor the fresh α -pinene and β -caryophyllene SOA is
863 apportioned into by PMF analysis. With our goal in mind, we want to produce SOA only from α -
864 pinene and β -caryophyllene. The reviewer is totally right that the α -pinene molecules don't care
865 about where the O₃ or OH comes from. However, adding extra oxidants will produce SOA via a
866 number of reactions (i.e., oxidize other existing VOCs/SVOCs/IVOCs). If so, we would not
867 unambiguously know if the LO-OOA enhancement in the perturbation experiments arise from
868 injected VOC or from other pathways.

869 We realize that the description of the novelty of our approach is not accurate in many places
870 and we have modified the language in the revised manuscript.

871 *7) Statements such as (L316-318) “The perturbation experiments with other VOCs confirm the*
872 *stronger ability of α -pinene and β -caryophyllene to produce SOA” are misleading, and frankly*
873 *just perplexing. A lot was already known about the relative potential of different VOCs to make*
874 *SOA before this paper, and nothing new is learned from the experiments here about this point. The*
875 *low SOA observed for other precursors is attributed (SI, L405-406 “to the low SOA yields or slow*
876 *oxidation rates of these VOCs (Ng et al., 2007). Yields for naphthalene are certainly not low, but*
877 *are higher than for α -pinene (Chan et al., 2009). Later it is acknowledged (SI L412-414) that*
878 *“isoprene oxidation products which form SOA are mostly second or higher generation products.*

879 *They are not formed in large amount in the relatively short perturbation experiments (i.e., 40min)."*
880 *The main issue is that α -pinene has a lifetime of ~ 1 h under the conditions of the ambient*
881 *perturbation experiments (using well-known rate constants) and β -caryophyllene has an even*
882 *shorter lifetime. m-xylene has a lifetime of ~ 10 hrs, and therefore it reacts too slowly under the*
883 *conditions of these experiments with no added oxidants. And for some precursors (other than*
884 *isoprene) second or higher generation products are also needed to make SOA, which results in*
885 *even longer time constants. However, those timescales are readily accessible in the atmosphere.*

886 Response: The confusion regarding the referred statement mainly arises from the phrase "stronger
887 ability". We realize that this phrase is not properly defined, but we do not think this statement is
888 fundamentally wrong. Here, the "stronger ability of α -pinene and β -caryophyllene to produce SOA"
889 means that under the same atmospheric conditions (i.e., oxidants level, NO_x , pre-existing particles,
890 etc) and the same initial VOC concentration, more SOA would be produced from α -pinene and β -
891 caryophyllene than from other VOCs (i.e., isoprene, m-xylene) after the same oxidation time (i.e.,
892 40min in perturbation experiments). This conclusion is well supported by laboratory studies in the
893 literature. This is why we used the word "confirm" in the sentence.

894 The "*main issue is that ...*" brought up by the reviewer is exactly the same meaning as "the
895 low SOA yields or slow oxidation rates of these VOCs", which we wrote in the original manuscript.
896 The timescale required to produce SOA of other VOCs (i.e., isoprene and m-xylene) is longer than
897 our perturbation experiments. This is why we did not detect SOA formation from these VOCs in
898 our experimental approach. Thus, the results are expected and explainable.

899 After submitting the manuscript, we realize another reason for the lack of SOA formation
900 in naphthalene experiments. We injected naphthalene by passing pure air (1 liter per min) over the
901 solid naphthalene flakes under ambient temperature for 1 min. Due to the relatively low vapor
902 pressure of naphthalene (23.6Pa at 30°C) and rapid dilution in the chamber, the injected
903 naphthalene concentration could be very low. We add this possible reason in the revised SI.

904 *8) The discussion in the introduction about MO and LO OOA is unclear. Those factors do not*
905 *represent the same sources or pathways in different studies. In some locations and times they are*
906 *tied to biomass burning, in others to urban emissions, in others to biogenic SOA, and in others to*
907 *various combinations. In older studies in which Isoprene-SOA (or "IEPOX-SOA) was not*
908 *separated, it was necessarily part of MO and LO OOA. Care should be taken to clearly*

909 *communicate that any interpretations about sources contributing to MO or LO OOA are specific*
910 *to a given location and time period. And the possibility should be considered (including in the SE*
911 *US) that different periods may have larger contributions to these factors from different sources,*
912 *for example BB during one period, pollution during another, and biogenics during another (if the*
913 *sources impacting a site change substantially in time due to air mass changes or other causes).*
914 *See e.g. Palm et al. (2018) for an example of such a situation.*

915 Response: We agree that the OOA factors represent different sources or pathways in different
916 regions. For example, in the original manuscript, we stated that “There is evidence that LO-OOA
917 in California is related to the oxidation of anthropogenic VOCs, as radiocarbon analysis suggests
918 68-75% of carbon in LO-OOA in California stems from fossil sources (Hayes et al., 2013; Zotter
919 et al., 2014).” We have further emphasized these points in the revised manuscript and expanded
920 the discussions on OOA factors in the introduction, as the reviewer requested.

921 While we agree with the reviewer that interpretations about the sources contributing to
922 OOA factors are location- and time-specific, we would like to point out that our study includes
923 measurements at multiple sites in the southeastern U.S. and in different seasons. The LO-OOA
924 across all datasets have similar diurnal variation (Xu et al., 2015a) and mass spectra (Fig. R1).
925 Moreover, the modeled SOA_{MT+SQT} can capture the magnitude and diurnal variation of measured
926 LO-OOA at all datasets. These evidence suggests a general source of LO-OOA on a regional scale.

927 *Other points*

928 9) L71-72: “*The assumption that LO-OOA represents fresh SOA has yet to be directly verified.*”
929 *Fig 2C, 2D, 2E in Jimenez et al. (2009) (where the older terminology SV-OOA was used for LO-*
930 *OOA), and similar results in other publications, would appear to have directly verified that long*
931 *ago.*

932 Response: Please see response to reviewer#1’s comment#9.

933 10) *A paper with a similar title to this one, but using different lines of evidence, has been recently*
934 *published (Zhang et al., 2018).*

935 Response: Thanks for pointing this out. We note that Zhang et al. (2018) was published after our
936 manuscript submission. The conclusion in Zhang et al. (2018) is consistent with our study and has
937 been discussed in the revised manuscript.

938

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1102 **Large Contributions from Biogenic Monoterpenes and Sesquiterpenes to Organic Aerosol**
1103 **in the Southeastern United States**

1104 Lu Xu^{1,a}, Havala O.T. Pye², Jia He³, Yunle Chen⁴, Benjamin N. Murphy², Nga Lee Ng^{1,3*}

1105 ¹School of Chemical and Biomolecular Engineering, Georgia Institute of Technology, Atlanta, GA
1106 30332, USA

1107 ²National Exposure Research Laboratory, US Environmental Protection Agency, Research
1108 Triangle Park, NC 27711, USA

1109 ³School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, GA 30332,
1110 USA

1111 ⁴School of Materials Science and Engineering, Georgia Institute of Technology, Atlanta, GA
1112 30332, USA

1113 *To whom correspondence should be addressed. E-mail: ng@chbe.gatech.edu

1114 ^aPresent address: Division of Geological and Planetary Sciences, California Institute of
1115 Technology, Pasadena, CA 91125, USA

1116 **Abstract**

1117 Atmospheric organic aerosol (OA) has important impacts on climate and human health but its
1118 sources remain poorly understood. Biogenic monoterpenes and sesquiterpenes are critical
1119 precursors of OA. The OA generation from these precursors predicted by models has considerable
1120 uncertainty owing to a lack of appropriate observations as constraints. ~~Here, we perform novel lab-~~
1121 ~~in the field experiments, which allow us to~~In this study ~~OA formation under realistic atmospheric~~
1122 ~~conditions and offer a connection between laboratory and field studies. Based on the lab-in-the-~~
1123 ~~field experimental approach and,~~ we propose that the less-oxidized oxygenated organic aerosol
1124 (LO-OOA) factor resolved from positive matrix factorization (PMF) analysis on aerosol mass
1125 spectrometry (AMS) data, ~~we provide a measure of OA~~ can be used as a surrogate for fresh SOA
1126 from monoterpenes and sesquiterpenes in the southeastern U.S. We support this hypothesis based
1127 on a weight of evidence, including lab-in-the-field perturbation experiments, extensive ambient
1128 ground-level measurements, and state-of-the-art modeling. We performed lab-in-the-field
1129 experiments, in which the ambient air is perturbed by the injection of selected monoterpenes and
1130 sesquiterpenes and subsequent SOA formation. PMF analysis on the perturbation experiments
1131 provides an objective link between LO-OOA and fresh SOA from monoterpenes and
1132 sesquiterpenes as well as insights into the sources of other OA factors. Further, we use an upgraded
1133 atmospheric model and show that modeled SOA concentrations from monoterpenes and
1134 sesquiterpenes could reproduce both the measured OA concentration from
1135 monoterpenes magnitude and sesquiterpenes diurnal variation of LO-OOA at multiple sites in the
1136 southeastern U.S., building confidence in ~~the observed attribution of monoterpene SOA. We show~~
1137 ~~that our hypothesis. We predict~~ the annual average concentration of ~~OA~~SOA from monoterpenes
1138 and sesquiterpenes in the southeastern U.S. is $\sim 2.1 \mu\text{g m}^{-3}$. This amount is substantially higher
1139 than represented in current regional models and accounts for 21% of World Health Organization
1140 PM_{2.5} standard, indicating a significant contributor of environmental risk to the 77 million
1141 inhabitants in the southeastern U.S.

1142

1143 1 Introduction

1144 Organic aerosol (OA) constitutes a substantial fraction of ambient fine particulate matter (PM) and
1145 has large impacts on air quality, climate change, and human health (Carslaw et al., 2013; Lelieveld
1146 et al., 2015). OA can be directly emitted from sources (primary OA, POA) or formed by the
1147 oxidation of volatile organic compounds (VOCs) (secondary OA, SOA). Global measurements
1148 revealed the dominance of SOA over POA in various atmospheric environments (Jimenez et al.,
1149 2009; Ng et al., 2010). The VOCs can be emitted from natural sources (i.e., biogenic) or human
1150 activities (i.e., anthropogenic). However, the relative contribution of biogenic and anthropogenic
1151 sources to SOA formation in the atmosphere is poorly constrained. This knowledge is critical for
1152 formulating effective pollution control strategies that aim at reducing ambient PM concentrations
1153 and accurately assessing the climate effects of OA (Hallquist et al., 2009). Biogenic VOCs such
1154 as monoterpenes (MT, C₁₀H₁₆) and sesquiterpenes (SQT, C₁₅H₂₄) are recognized as critical
1155 precursors of SOA (Tsigaridis et al., 2014; Hodzic et al., 2016; Pye et al., 2010). The predicted
1156 global SOA production from MT and SQT varies from 14 to 246 Tg yr⁻¹ (Spracklen et al., 2011;
1157 Pye et al., 2010). This large variation in model estimates arises from a number of factors (including
1158 uncertainty in SOA yield) and introduces significant uncertainties in estimating OA concentrations
1159 and its subsequent influences on climate and human exposure.

1160 The large model uncertainties call for ambient observations to constrain model results.
1161 Isolating and measuring SOA production from specific sources are challenging because SOA is a
1162 complex mixture consisting of thousands of compounds and SOA evolves dynamically in the
1163 atmosphere. A widely used method to apportion OA into different characteristic sources is positive
1164 matrix factorization (PMF) analysis on the organic mass spectra measured by aerosol mass
1165 spectrometer (AMS) (Ulbrich et al., 2009; Jimenez et al., 2009; Ng et al., 2010). PMF-AMS
1166 analysis groups OA constituents with similar mass spectra and temporal variations into
1167 characteristic OA subtypes (i.e., factors). This analysis has revealed ~~two ubiquitous OA subtypes~~
1168 ~~in ambient environments, less oxidized that concentration of~~ oxygenated OA (LO-OOA) and
1169 ~~more oxidized oxygenated OA (MO-OOA), which are differentiated by their degree of carbon~~
1170 ~~oxidation. LO-OOA and MO-OOA together account for more~~ is a surrogate of SOA, is much
1171 ~~greater than half of total submicron OA that of hydrocarbon-like OA (HOA), which is a surrogate~~
1172 ~~of POA (CrippaZhang et al., 2014; Xu et al., 2015a; Jimenez et al., 2009, 2007). Primarily based on~~
1173 ~~comparison of their mass spectra with those of laboratory generated SOA, previous studies~~

1174 ~~proposed that LO-OOA is freshly formed SOA from various sources and evolves into MO-OOA~~
1175 ~~with photochemical aging in the atmosphere. In many circumstances especially in warmer months,~~
1176 ~~more than one SOA factor is resolved from PMF analysis, often including less-oxidized~~
1177 ~~oxygenated OA (LO-OOA, also denoted as semi-volatile oxygenated organic aerosol in older~~
1178 ~~studies) and more-oxidized oxygenated OA (MO-OOA, also denoted as low-volatility oxygenated~~
1179 ~~organic aerosol in older studies). LO-OOA and MO-OOA are differentiated by their degree of~~
1180 ~~carbon oxidation. These two factors together account for more than half of total submicron OA~~
1181 ~~(Crippa et al., 2014; Xu et al., 2015a; Jimenez et al., 2009; Ng et al., 2010). These studies have~~
1182 ~~significantly advanced our knowledge of the composition and evolution of ambient OA; however,~~
1183 ~~there are still uncertainties associated with the sources of these OA factors. Firstly, the current~~
1184 ~~understanding on LO-OOA and MO-OOA offers little mechanistic information regarding the~~
1185 ~~specific sources of these factors at a measurement site. Atmospheric models typically use the~~
1186 ~~lumped LO-OOA and MO-OOA concentration to constrain simulated total SOA concentration.~~
1187 ~~Despite of their large abundance, the sources of LO-OOA and MO-OOA are unclear and likely~~
1188 ~~vary with location and season. Early studies, primarily based on comparison of the mass spectra~~
1189 ~~of OA factors with those of laboratory-generated SOA, proposed that LO-OOA is freshly formed~~
1190 ~~SOA from various sources and evolves into MO-OOA with photochemical aging in the atmosphere~~
1191 ~~(SpracklenJimenez et al., 2011; Tsigaridis2009; Ng et al., 20142010), which hinders our ability to~~
1192 ~~diagnose the cause for the discrepancies between modeled and observed aerosol concentrations~~
1193 ~~(Spracklen et al., 2011). Secondly, the assumption that LO-OOA represents fresh SOA has yet to~~
1194 ~~be directly verified. Also, it is not known whether fresh SOA is exclusively apportioned into LO-~~
1195 ~~OOA. For example, rather than being produced from continued photochemical aging, recent~~
1196 ~~studies hypothesize that the rapidly produced HOMs (highly oxygenated molecules) from the~~
1197 ~~oxidation of VOCs may contribute to MO-OOA. Later, a number of possible sources have been~~
1198 ~~proposed for MO-OOA, including SOA from long-range transport (Hayes et al., 2013; Robinson~~
1199 ~~et al., 2011b), aged biomass burning OA (EhnBougiatioti et al., 2014; Grieshop et al., 2009). Thus,~~
1200 ~~considering the large abundance of these two OA subtypes and that they are surrogates for ambient~~
1201 ~~SOA, understanding the sources of compounds composing these two OA subtypes is critical to~~
1202 ~~constrain atmospheric models and, humic-like substances (El Haddad et al., 2013), highly~~
1203 ~~oxygenated molecules (HOMs) formed in the oxidation of monoterpenes (Mutzel et al., 2015; Ehn~~
1204 ~~et al., 2014), and aqueous phase processing (Xu et al., 2016c). Regarding the sources of LO-OOA,~~

1205 Zotter et al. (2014) applied radiocarbon analysis and showed that 68-75% of carbon in LO-OOA
1206 in California stems from fossil sources. In the southeastern U.S., Xu et al. (2015a) suggested that
1207 the oxidation of biogenic β -pinene by nitrate radicals (NO_3) contributes to LO-OOA, though this
1208 reaction alone cannot replicate the magnitude of LO-OOA (Pye et al., 2015). These studies
1209 significantly advanced our knowledge of the sources and evolution of ambient OA; however,
1210 uncertainties associated with the sources of these OA factors still exist. As a result, atmospheric
1211 models typically use the lumped LO-OOA and MO-OOA concentration to constrain simulated
1212 total SOA concentration (Spracklen et al., 2011; Tsigaridis et al., 2014), which hinders our ability
1213 to diagnose the cause of discrepancies between modeled and observed aerosol concentrations
1214 (Spracklen et al., 2011). Many sources of LO-OOA and MO-OOA are proposed based on
1215 comparing the mass spectra between OA factors and laboratory-generated SOA (Jimenez et al.,
1216 2009; Palm et al., 2018; Kiendler-Scharr et al., 2009). However, the similarity between two mass
1217 spectra is a subjective determination. Further, the subjectively-defined similarity cannot tell what
1218 is the fraction of SOA from a certain source contributes to one OA factor. Overall, considering the
1219 large abundance of OOA subtypes and their use as surrogates for ambient SOA, understanding the
1220 sources of compounds composing these two OA subtypes is critical to constrain atmospheric
1221 models and the SOA budget.

1222 In this study, we integrate lab-in-the-field experiments, extensive ambient ground
1223 measurements, and state-of-the-art modeling to improve the understanding of the sources of OA
1224 factors and better constrain the OA budget from MT and SQT. ~~We~~ Based on lab-in-the-field
1225 experiments, we provide ~~direct~~ objective evidence that newly formed SOA from α -pinene
1226 (~~representative~~ an important monoterpene, ~~which accounts for about half of monoterpenes~~
1227 ~~emissions (Guenther et al., 2012))~~) and β -caryophyllene (~~representative~~ an important sesquiterpene)
1228 is dominantly ~~contributes~~ apportioned to LO-OOA in the southeastern U.S. ~~The modeled SOA~~ In
1229 addition, we model the SOA concentration from the oxidation of MT and SQT (denoted as
1230 $\text{SOA}_{\text{MT+SQT}}$) accurately ~~and show that~~ $\text{SOA}_{\text{MT+SQT}}$ reasonably reproduces the magnitude and
1231 diurnal variability of LO-OOA measured at multiple sites in the southeastern U.S. ~~The agreement~~
1232 ~~between model and measurements supports the hypothesis~~ Together with other evidence in the
1233 literature, we propose that LO-OOA can be used as a measure of $\text{SOA}_{\text{MT+SQT}}$ in the southeastern
1234 U.S. ~~The~~ Finally, we discuss how the lab-in-the-field approach allows for the study of SOA
1235 formation under realistic atmospheric conditions, which bridges laboratory studies and field

1236 measurements and provides a direct way to evaluate the atmospheric relevancy of laboratory
1237 studies.

1238 2 Method

1239 2.1 Lab-in-the-field perturbation experiments

1240 The perturbation experiments were performed in July-August 2016 on the rooftop of the
1241 Environmental Science and Technology building on the Georgia Institute of Technology campus.

1242 This measurement site is ~~a representative~~ urban site in Atlanta, Georgia. Multiple ambient field
1243 studies have been performed at this site previously (Xu et al., 2015b; Hennigan et al., 2009; Verma
1244 et al., 2014). A 2m³ Teflon chamber (cubic shape) (Fig. 1) was placed outdoor on the rooftop of
1245 the building. The eight corners of the chamber were open (~2”×2”) to the atmosphere to allow for
1246 continuous exchange of air with the atmosphere. The perturbation procedure is briefly described
1247 below and illustrated in Fig. A1. Firstly, we continuously flushed the chamber with ambient air
1248 using two fans, which were placed at two corners of the chamber. During this flushing period, all
1249 instruments sampled ambient air and were not connected to the chamber. The flushing period
1250 lasted at least 3 hours to ensure that the air composition in the chamber is the same as ambient
1251 composition. Secondly, we stopped both fans and connected all instruments to chamber. Because
1252 of the continued sampling by the instruments (~20 liter per minute) and the open corners of the
1253 chamber, ambient air continuously entered the chamber, even though the two fans were turned off.
1254 Thirdly, after sampling the chamber for about 30min, we injected a known amount of VOC (liquid)
1255 into the chamber with a needle, where the liquid vaporized upon injection. We continuously
1256 monitored the chamber composition for ~40 min after VOC injection. Lastly, we disconnected all
1257 instruments from the chamber, sampled ambient air, and turned on two fans to flush the chamber
1258 to prepare for the next perturbation experiment.

1259 ~~———— We perturbed the chamber content by injecting one of the following VOCs: isoprene, α -~~
1260 ~~pinene, β -caryophyllene, *m*-xylene, or naphthalene, which are major biogenic or anthropogenic~~
1261 ~~emissions, respectively. The injected VOC amounts were carefully selected. If the injection~~
1262 ~~amount is too large, it is not atmospherically relevant, produces too much SOA, and will bias~~
1263 ~~subsequent analysis. If the injection amount is too small, the produced SOA would fall below the~~
1264 ~~detection limit of the experimental approach. The OA concentration in the chamber after~~
1265 ~~perturbation ranges from 4 to 16 $\mu\text{g m}^{-3}$, which is within the range of typical ambient OA~~

1266 ~~concentration. The VOC oxidation occurred in ambient air (inside the chamber) and lasted 40~~
1267 ~~min. Several previous studies have used ambient air as background (Palm et al., 2017; Leungsakul~~
1268 ~~et al., 2005). An important distinction between our study and previous work is that we perturbed~~
1269 ~~the ambient air only by injection of VOCs and no extra oxidant precursors (i.e., O₃, photolysis of~~
1270 ~~H₂O and O₂, or photolysis of NO_x) were added to the chamber. Our approach allows for study of~~
1271 ~~SOA formation from the specific VOCs injected and evaluate into which PMF factor the SOA is~~
1272 ~~apportioned.~~

1273 Each perturbation experiment can be divided into the following four periods: Amb_Bf
1274 (30min ambient measurement period before sampling chamber), Chamber_Bf (from sampling
1275 chamber to VOC injection, a period ~30min), Chamber_Af (from VOC injection to stop sampling
1276 chamber, a period ~40min), and Amb_Af (30min ambient measurement period after sampling
1277 chamber). We calculate the changes in the mass concentration of OA factors after perturbation
1278 based on the difference between Chamber_Bf and Chamber_Af, after taking ambient variation into
1279 account. The detailed procedure is presented in Appendix A. We develop a comprehensive set of
1280 criteria to determine if the changes are statistically significant and if the changes are simply due to
1281 ambient variations. The details of these criteria are also discussed in Appendix A.

1282 ~~We perturbed the chamber content by injecting one of the following VOCs: isoprene, α -~~
1283 ~~pinene, β -caryophyllene, *m*-xylene, or naphthalene, which are major biogenic or anthropogenic~~
1284 ~~emissions. We focused on α -pinene and β -caryophyllene, because they are widely studied in the~~
1285 ~~literature (Eddingsaas et al., 2012a; Kurtén et al., 2015; Tasoglou and Pandis, 2015; Ehn et al.,~~
1286 ~~2014; Pathak et al., 2007) and they have large abundances in their classes. For example, α -pinene~~
1287 ~~accounts for about half of monoterpenes emissions (Guenther et al., 2012) and β -caryophyllene is~~
1288 ~~one of the most abundant sesquiterpenes (Helmig et al., 2007). The injected VOC amounts were~~
1289 ~~carefully selected. If the injection amount is too large, it is not atmospherically relevant, produces~~
1290 ~~too much SOA, and will bias subsequent analysis. If the injection amount is too small, the produced~~
1291 ~~SOA would fall below the detection limit of the experimental approach. The VOC oxidation~~
1292 ~~occurred in ambient air (inside the chamber) and lasted ~40 min. The OA concentration in the~~
1293 ~~chamber after perturbation ranges from 4 to 16 $\mu\text{g m}^{-3}$, which is within the range of typical ambient~~
1294 ~~OA concentrations.~~

1295 We note that several previous studies have used ambient air (Palm et al., 2017; Leungsakul
1296 et al., 2005; Peng et al., 2016), but experimental approaches and purposes of previous studies are
1297 different from this study. For example, In Leungsakul et al. (2005), the rural ambient air was used
1298 to flush and clean the 270m³ outdoor chamber reactor. After the flushing, both VOCs and oxidants
1299 were injected to produce SOA, the concentration of which were orders of magnitude higher than
1300 atmospheric levels. In this study, we use ambient air with pre-existing OA in order to examine
1301 which factor(s) the fresh α -pinene and β -caryophyllene SOA are apportioned into by PMF analysis.
1302 We aim to produce SOA only from injected α -pinene or β -caryophyllene, so that an important
1303 distinction between our study and pervious work is that we perturbed the ambient air by only VOCs,
1304 not extra oxidant.

1305 **2.2 Analytical instruments**

1306 A suite of analytical instruments was deployed to characterize both the gas-phase and particle-
1307 phase compositions. The particle-phase composition was monitored by a scanning mobility
1308 particle sizer (SMPS, TSI) and a high resolution time-of-flight aerosol mass spectrometer (HR-
1309 ToF-AMS, Aerodyne), which shared the same stainless steel sampling line. A diaphragm pump
1310 (flow rate ~8 liter per minute) was connected to this sampling line, which increased the sampling
1311 flow rate and ~~suppressed~~reduced particle loss in the sampling line by reducing the residence time
1312 in the tubing. The HR-ToF-AMS measures the chemical composition and size distribution of
1313 submicron non-refractory species (NR-PM₁) with high temporal resolution. The instrument details
1314 about HR-ToF-AMS have been extensively discussed in the literature (Canagaratna et al., 2007;
1315 DeCarlo et al., 2006) and the operation of HR-ToF-AMS in this study is described in the section
1316 S2 of Supplement.

1317 The gas-phase composition and oxidation products was monitored by an O₃ analyzer
1318 (Teledyne T400, lower detectable limit 0.6ppb), an ultrasensitive chemiluminescence NO_x monitor
1319 (Teledyne 200EU, lower detectable limit 50ppt), and a high-resolution time-of-flight chemical
1320 ionization mass spectrometer (HR-ToF-CIMS). The HR-ToF-CIMS with I⁻ as reagent ion can
1321 measure a suite of oxygenated volatile organic compounds (oVOCs) at high frequency (1Hz).
1322 Detailed working principles and sampling protocol can be found in Lee et al. (2014). The
1323 concentrations of VOCs were not measured in this study. All gas-phase measurement instruments
1324 shared the same Teflon sampling line. Similar to the particle sampling line, a diaphragm pump

1325 (flow rate ~8 liter per minute) was connected to the gas sampling line to reduce the residence time
1326 in the tubing.

1327 2.3 Positive Matrix Factorization (PMF) analysis

1328 PMF analysis has been widely used for aerosol source apportionment in the atmospheric chemistry
1329 community (Jimenez et al., 2009; Crippa et al., 2014; Xu et al., 2015a; Ng et al., 2010; Ulbrich et
1330 al., 2009; Beddows et al., 2015; Visser et al., 2015). PMF solves bilinear unmixing factor model
1331 (~~Paatero and Tapper, 1994; Ulbrich et al., 2009~~) by minimizing the summed least squares errors
1332 of the fit weighted with the error estimates of each measurement. (~~Paatero and Tapper, 1994;~~
1333 ~~Ulbrich et al., 2009~~). We utilized the PMF2 solver, which does not require a priori information
1334 and reduces subjectivity. In this study, we performed PMF analysis on the high-resolution mass
1335 spectra of organic aerosol (inorganic species are excluded) of combined ambient and perturbation
1336 data in the one-month measurements. Considering that (1) the perturbation data only account for
1337 ~10% of total data and (2) the OA concentration is similar ~~in~~between the perturbation experiments
1338 and typical ambient measurements, the perturbation experiments do not create a new factor that
1339 does not already exist in the ambient data. This is desirable because it allows PMF analysis to
1340 apportion the newly formed OA in the perturbation experiments into pre-existing OA factors in
1341 the atmosphere.

1342 We resolved five OA factors, including hydrocarbon-like OA (HOA), cooking OA (COA),
1343 isoprene-derived OA (isoprene-OA), less-oxidized oxygenated OA (LO-OOA), and more-
1344 oxidized oxygenated OA (MO-OOA). The time series and mass spectra of OA factors are shown
1345 in Fig. 2. The same 5 factors have been identified at the same measurement site and extensively
1346 discussed in the literature (Xu et al., 2015a; Xu et al., 2015b; Xu et al., 2017). Below, we only
1347 provide a brief description on these OA factors and more details are discussed in section S3 of
1348 Supplement. The mass spectrum of HOA is dominated by hydrocarbon-like ions ($C_xH_y^+$ ions) and
1349 HOA is a surrogate of primary OA from vehicle emissions (Zhang et al., 2011). For COA, its
1350 concentration is higher at meal times and its mass spectrum is characterized by prominent signal
1351 at ions $C_3H_5^+$ (m/z 41) and $C_4H_7^+$ (m/z 55), which likely arise from ~~unsaturated~~-fatty acids (Huang
1352 et al., 2010; Mohr et al., 2009; Allan et al., 2010). The mass spectrum of isoprene-OA is
1353 characterized by prominent signal at ions $C_4H_5^+$ (m/z 53) and $C_5H_6O^+$ (m/z 82) and it is related to
1354 the reactive uptake of isoprene oxidation products, isoprene epoxydiols (IEPOX) (Budisulistiorini

1355 et al., 2013; Hu et al., 2015; Robinson et al., ~~2011~~2011a; Xu et al., 2015a). ~~LO-OOA and MO-~~
1356 ~~OOA are named based on their differing carbon oxidation state. LO-OOA and MO-OOA are~~
1357 ~~named based on their differing carbon oxidation state, that is, from -0.70 to -0.34 for LO-OOA~~
1358 ~~and from -0.18 to 0.71 for MO-OOA in the southeastern U.S. (Xu et al., 2015b). We performed~~
1359 ~~100 bootstrapping runs to quantify the uncertainty of PMF results. As shown in Fig. S1, the~~
1360 ~~statistical uncertainties in the time series and mass spectra of 5 factors are small and the PMF~~
1361 ~~results reported in this study are robust.~~

1362 **2.4 Details of multiple ambient sampling sites**

1363 Measurements at multiple sites in the southeastern U.S. were performed as part of Southeastern
1364 Center for Air Pollution and Epidemiology study (SCAPE) and Southern Oxidant and Aerosol
1365 Study (SOAS) ~~in 2012 and 2013~~. Detailed descriptions about these field studies have been
1366 discussed in the literature (Xu et al., 2015a; Xu et al., 2015b) and section S4 of Supplement. The
1367 sampling periods are shown in Table S1 and the sampling sites are briefly discussed below.

1368 • Georgia Tech site (GT): This site is located on the rooftop of the Environmental Science and
1369 Technology building on the Georgia Institute of Technology (GT) campus, which is about 30-40m
1370 above the ground and 840m away from interstate I75/85. This is ~~a representative~~ urban site in
1371 Atlanta. This is also where the perturbation experiments in this study were conducted.

1372 • Jefferson Street site (JST): This is a central SEARCH (SouthEastern Aerosol Research and
1373 Characterization) site, which is in Atlanta's urban area with a mixed commercial and residential
1374 neighborhood. It is about 2 km west of the GT site. The JST and GT sites are in the same grid cell
1375 in CMAQ.

1376 • Yorkville site (YRK): This is a central SEARCH site located in a rural area in Georgia. This site
1377 is surrounded by agricultural land and forests and is at about 80 km northwest of JST site.

1378 • Centreville site (CTR): This is a central SEARCH site in rural Alabama. The sampling site is
1379 surrounded by forests and away from large urban areas (55km SE and 84 km SW of Tuscaloosa
1380 and Birmingham, AL, respectively). This is the main ground site for the SOAS campaign.

1381 **2.5 Laboratory chamber study on SOA formation from α -pinene**

1382 To compare with results from the lab-in-the-field perturbation experiments, we performed
1383 laboratory experiments to study the SOA formation from α -pinene photooxidation under different

1384 NO_x conditions in the Georgia Tech Environmental Chamber (GTEC) facility. The facility consists
1385 of two 12 m³ indoor Teflon chambers, which are suspended inside a temperature-controlled
1386 enclosure and surrounded by black lights. The detailed description about chamber facility can be
1387 found in Boyd et al. (2015). The experimental procedures have been discussed in Tuet et al. (2017).
1388 In brief, the chambers were flushed with clean air prior to each experiment. Then, α -pinene and
1389 oxidant sources (i.e., H₂O₂, NO₂, or HONO) were injected into chamber. Once the concentrations
1390 of species stabilize, the black lights were turned on to initiate photooxidation. The experimental
1391 conditions are summarized in Table S2. Considering that the OA mass concentration affects the
1392 partitioning of semi-volatile organic compounds (Odum et al., 1996) and hence affects the organic
1393 mass spectra measured by AMS, we calculated the average mass spectra in these laboratory studies
1394 by only using the data when the OA mass concentration is below 10 $\mu\text{g m}^{-3}$, which is similar to
1395 that in our ambient perturbation experiments.

1396 **2.6 Community Multiscale Air Quality (CMAQ) Model**

1397 We used the Community Multiscale Air Quality (CMAQ) atmospheric chemical transport model
1398 to simulate the pollutant concentrations across the southeastern U.S. CMAQ v5.2gamma was run
1399 over the continental U.S. for time periods between May 2012 to July 2013 with 12km \times 12km
1400 horizontal resolution. We focus our analysis on the southeastern U.S., which comprises 11 states
1401 (Arkansas, Alabama, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South
1402 Carolina, Tennessee, and Virginia). The meteorological inputs were generated with version 3.8 of
1403 the Weather Research and Forecasting model (WRF), Advanced Research WRF (ARW) core. We
1404 also applied lightning assimilation to improve convective rainfall (Heath et al., 2016).
1405 Anthropogenic emissions were based on the EPA (Environmental Protection Agency) NEI
1406 (National Emission Inventory) 2011 v2. Biogenic emissions were predicted by the BEIS (Biogenic
1407 Emission Inventory System) v3.6.1. The gas-phase chemistry was based on CB6r3 (Carbon Bond
1408 v6.3).

1409 We performed two simulations with different organic aerosol treatment. The “default
1410 simulation” generally follows the scheme of Carlton et al. (2010), with the addition of IEPOX
1411 SOA following Pye et al. (2013) and documented in Appel et al. (2017) (Fig. S1aS2a). The
1412 traditional two-product absorptive partitioning scheme (Odum et al., 1996) is used in “default
1413 simulation” to describe SOA formation from monoterpenes using data from laboratory

1414 experiments by Griffin et al. (1999). In the “updated simulation”, we incorporate two recent
1415 findings. Firstly, we implemented MT+NO₃ chemistry to explicitly account for the organic nitrate
1416 compounds that have recently been shown to be a ubiquitous and important component of OA
1417 (Pye et al., 2015; Kiendler-Scharr et al., 2016; Lee et al., 2016; Ng et al., 2017). We follow the
1418 scheme described in Pye et al. (2015) to represent the formation and partition of organic nitrates
1419 from monoterpenes via multiple reaction pathways (i.e., oxidation by NO₃ and oxidation by OH/O₃
1420 followed by RO₂+NO). Secondly, we improved the parameterization of SOA formation from
1421 MT+O₃/OH based on a recent study by Saha and Grieshop (2016), who applied a dual-
1422 thermodynamic system to study the α -pinene ozonolysis SOA. The authors extracted ~~SOA yield~~
1423 parameters (i.e., SOA yields and enthalpies of evaporation) by using an evaporation-kinetics model
1424 and volatility basis set (VBS). The SOA yields in Saha and Grieshop (2016) are consistent with
1425 recent findings on the formation of HOMs (Ehn et al., 2014; Zhang et al., 2015) and help to explain
1426 the observed slow evaporation of α -pinene SOA (Vaden et al., 2011). In the updated simulation,
1427 we use the VBS framework with parameters derived from Saha and Grieshop (2016). ~~The new~~
1428 ~~parameterization allows for enthalpies of vaporization that are more consistent with species of the~~
1429 ~~specified volatility.~~ The properties of ~~7the~~ volatility bins ~~in the VBS framework~~ are listed in Table
1430 S3. A schematic of SOA treatment in “updated simulation” is shown in Fig. ~~S1b. Additional details~~
1431 ~~of the CMAQ simulations are given~~ ~~S2b. In the following discussions, we focus on the results from~~
1432 ~~“updated simulation”. The comparison between “default simulation” and “updated simulation” can~~
1433 ~~be found~~ in the section S5 of Supplement.

1434 3 Results and Discussions

1435 3.1 α -pinene perturbation experiments

1436 A total of 19 α -pinene perturbation experiments were performed at different times of the day (i.e.,
1437 from 9am to 9pm) to probe a wide range of reaction conditions. The ~~injection time and~~
1438 concentrations of O₃ and NO_x during α -pinene perturbation experiments are summarized in Table
1439 S4. ~~Initially~~ ~~Based on the chamber volume and injected liquid α -pinene volume, initially~~ \sim 14 ppb
1440 α -pinene is injected into chamber, ~~but~~. ~~Due to lack of VOC measurements, we build a box model~~
1441 ~~to simulate the fate of α -pinene in the chamber (section S6 of Supplement). We estimate that only~~
1442 a small fraction (~~2-5ppb~~) of α -pinene is reacted in the chamber, ~~with and~~ most of α -pinene ~~being~~ ~~is~~
1443 carried out of the chamber due to dilution with ambient air ~~(section S6 of Supplement).~~

1444 Fig. 3 shows the time series of OA factors in a typical α -pinene perturbation experiment.
1445 ~~The most striking feature is a~~An evident burst ~~and~~ increase of LO-OOA after α -pinene injection
1446 ~~occurs~~. This ~~is the most~~provides direct ~~and compelling~~ evidence that freshly formed α -pinene SOA
1447 contributes to LO-OOA. About 15 min after α -pinene injection, LO-OOA concentration starts to
1448 decrease, as ambient air continuously flows into the chamber and dilutes the concentration of LO-
1449 OOA (section S6 of Supplement). As shown in Fig. ~~S2S3~~, the major known gas-phase oxidation
1450 products of α -pinene measured by HR-ToF-CIMS (Eddingsaas et al., ~~2012; Yu et al.;2012b~~; Lee
1451 et al., 2016; ~~Yu et al., 1999~~) show an immediate increase after α -pinene injection. This verifies the
1452 rapid oxidation of α -pinene in the chamber.

1453 Fig. 4a shows the perturbation-induced changes in the concentrations of OA factors for all
1454 α -pinene experiments. Out of 19 experiments, the LO-OOA concentration is enhanced in 14
1455 experiments. Also, among all OA factors, LO-OOA shows the largest enhancement. This directly
1456 supports that freshly formed α -pinene SOA contributes to LO-OOA. The enhancement in LO-
1457 OOA concentration differs between experiments, mainly because the perturbations were
1458 performed at different times of day ~~under~~(i.e., from 9am to 9pm) and with different reaction
1459 ~~conditions~~. ~~Although variables (i.e., temperature, relative humidity, oxidants concentrations, NO_x,~~
1460 ~~etc).~~ Despite the large difference in reaction conditions ~~vary between experiments~~, we note that
1461 both LO-OOA enhancement amount and LO-OOA formation rate (i.e., slope of LO-OOA increase)
1462 correlate positively with ozone concentration (Fig. 5). This correlation suggests that the
1463 concentration of oxidants, both ozone and hydroxy radical (OH, which is not measured in this
1464 study but is known to positively correlate with ozone in the atmosphere), ~~is~~plays a ~~more~~ controlling
1465 ~~variable for~~role in the amount of OA ~~formationformed~~ in α -pinene ~~experiments~~experiment than
1466 ~~other reaction variables do~~. This is likely because higher oxidant concentrations lead to more α -
1467 pinene consumption and hence more OA production with the same reaction time.

1468 MO-OOA only increases in 1 out of 19 α -pinene experiments. ~~The~~The highly oxygenated
1469 ~~molecules (HOMs), which are rapidly produced from the oxidation of α -pinene, are a hypothesized~~
1470 ~~source of MO-OOA, because of the high O:C ratio of HOMs (Ehn et al., 2014; Mutzel et al., 2015).~~
1471 ~~However, HOMs are first generation monoterpene products co-formed with semivolatile SOA~~
1472 ~~species, and the~~ lack of enhancement in MO-OOA suggests that the HOMs, ~~which are rapidly~~
1473 ~~produced from the α -pinene oxidation (Ehn et al., 2014),~~ are unlikely contributors to MO-OOA,
1474 ~~though more~~. We cannot rule out the possibilities that HOMs are not formed under our

1475 experimental conditions, and future studies on the simultaneous verification of HOMs formation
1476 and apportion of HOMs by PMF analysis are warranted.

1477 _____ Isoprene-derived OA (isoprene-OA) increases in 7 out of 19 α -pinene experiments. This
1478 increase is surprising because the isoprene-OA factor ~~is typically interpreted as SOA from the~~
1479 ~~reactive uptake of IEPOX. Our results demonstrate that the isoprene-OA factor (also referred to as~~
1480 ~~“IEPOX-OA” in some studies) could have interferences from α -pinene SOA. This conclusion~~
1481 ~~could be applicable to isoprene-OA factor resolved at other monoterpenes-influenced sites. (also~~
1482 ~~referred to as “IEPOX-OA” in some studies) is typically interpreted as SOA from the reactive~~
1483 ~~uptake of IEPOX, but our results suggest that the isoprene-OA factor could have interferences~~
1484 ~~from α -pinene SOA. The isoprene-OA enhancement is due to interference from newly formed α -~~
1485 ~~pinene SOA, rather than that the injected α -pinene affecting the oxidation of pre-existing isoprene~~
1486 ~~or affecting the gas/particle partitioning of pre-existing semi-volatile species in the chamber,~~
1487 ~~because of the following reasons. Firstly, based on I⁻ HR-ToF-CIMS measurement, the~~
1488 ~~concentration of isoprene oxidation products, such as IEPOX+ISOPOOH (C₅H₁₀O₃•I⁻) and~~
1489 ~~isoprene hydroxyl nitrates (C₅H₉NO₄•I⁻), did not change after α -pinene injection (Fig. S3b). In~~
1490 ~~addition, after injecting α -pinene, the SOA concentration increases less than 4 $\mu\text{g m}^{-3}$, which does~~
1491 ~~not substantially perturb the gas/particle partition of pre-existing semi-volatile species. Finally, the~~
1492 ~~time series of isoprene-OA and LO-OOA in the same α -pinene perturbation experiment is strongly~~
1493 ~~correlated (Fig. S4a). It is well studied that isoprene produces SOA slower than α -pinene, as~~
1494 ~~isoprene SOA involves higher-generation products. If the enhancement in isoprene-OA factor is~~
1495 ~~due to isoprene oxidation, the enhancement of isoprene-OA is expected to occur later than the~~
1496 ~~enhancement of LO-OOA, but it is not observed in the experiments. Thus, the strong correlation~~
1497 ~~between isoprene-OA and LO-OOA in the same α -pinene perturbation experiment serves as~~
1498 ~~another evidence that the enhancement in isoprene-OA factor is due to interference from newly~~
1499 ~~formed α -pinene SOA, rather than oxidation of isoprene after injecting α -pinene.~~

1500 _____ The interference of α -pinene SOA on isoprene-OA factor helps to address some
1501 uncertainties regarding the isoprene-OA factor in the literature. For example, Liu et al. (2015)
1502 compared the mass spectrum of laboratory-derived IEPOX SOA with isoprene-OA factors at some
1503 sites. The authors observed stronger correlation for isoprene-OA factors resolved at Borneo
1504 (Robinson et al., 2011a) and Amazon (Chen et al., 2015), and weaker correlation at Atlanta, U.S.
1505 (Budisulistiorini et al., 2013) and Ontario, Canada (Slowik et al., 2011). As another example, the

1506 fraction of measured total IEPOX SOA molecular tracers in isoprene-OA factor highly varies with
1507 location, ranging from 26% at Look Rock, TN (Budisulistiorini et al., 2015) to 78% at Centreville,
1508 AL (Hu et al., 2015). To address the uncertainties in above two examples, one possible reason is
1509 that the isoprene-OA factors resolved at different sites are not purely from IEPOX uptake.
1510 Isoprene-OA factors likely have interference from monoterpenes SOA or other sources, but the
1511 interference magnitude varies with locations.

1512 While the perturbation experiments clearly point out the possibility that isoprene-OA factor
1513 could have interference from α -pinene SOA, two caveats should be kept in mind. First, in this
1514 study, the enhancement magnitude of isoprene-OA is ~20% of LO-OOA enhancement (Fig. S5a),
1515 but the interference magnitude would vary with locations and seasons. Second, the perturbation
1516 experiments simulate a period with increasing α -pinene SOA concentration. The applicability of
1517 the conclusions drawn from this specific scenario to general atmosphere with more dynamic
1518 variations of OA sources warrants further exploration.

1519 Primary OA factors, i.e., HOA and COA, only show slight increases in 1 or 2 α -pinene
1520 experiments, indicating a lack of interference from α -pinene SOA in these factors.

1521 **3.2 β -caryophyllene perturbation experiments**

1522 A total of 6 β -caryophyllene perturbation experiments were performed. Initially ~10 ppb
1523 β -caryophyllene is injected into the chamber. The concentrations of O₃ and NO_x during β -
1524 caryophyllene perturbation experiments are summarized in Table S4. In all β -caryophyllene
1525 perturbation experiments, LO-OOA also shows a significant enhancement (Fig. 4b). This clearly
1526 demonstratesshows that the freshly formed SOA from β -caryophyllene oxidation can be another
1527 source of LO-OOA. In addition to LO-OOA, COA shows an unexpected increase in 5 out of 6 β -
1528 caryophyllene experiments. We have ample evidence that the COA factor at the measurement site
1529 has contributions from cooking activities. Firstly, the diurnal variation of COA peaks during meal
1530 times (Fig. ~~S3a~~S6a). ~~Secondly~~S6a). Additionally, the COA concentration shows clear increase on
1531 football days, consistent with barbecue activities on campus and close to the measurement site.
1532 ~~Thirdly~~Finally, the COA concentration is enhanced on the days right before the start of a new
1533 semester when there are many fraternity/sorority rush events (i.e., barbecue activities) on campus
1534 (Fig. ~~S3b~~S6b and ~~S3c~~S6c). However, the COA enhancement in β -caryophyllene experiments

1535 underscores the fact that COA may not be purely from cooking activities in areas with large
1536 biogenic emissions.

1537 3.3 Perturbation experiments with other VOCs

1538 ———In addition to α -pinene and β -caryophyllene, we also performed a few perturbation
1539 experiments by injecting isoprene, *m*-xylene, or naphthalene, ~~which are important biogenic and~~
1540 ~~anthropogenic emissions, respectively~~. However, the SOA formation from these VOCs is not
1541 detectable. This is mainly due to either lower SOA yields (of isoprene) or slower oxidation rates
1542 (of *m*-xylene and naphthalene) compared to α -pinene and β -caryophyllene ~~(section S6 of~~
1543 ~~Supplement). The perturbation experiments with other VOCs confirm the stronger ability of α -~~
1544 ~~pinene and β -caryophyllene to produce SOA (Kroll et al., 2006; Ng et al., 2007; Griffin et al.,~~
1545 ~~1999).~~, which are discussed in section S6 of Supplement.

1546 We have also performed four perturbation experiments by injecting acidic sulfate particles
1547 to probe reactive uptake of IEPOX. We observed enhancement in isoprene-OA concentration after
1548 the injection of sulfate particles. The detailed results are included in Appendix B.

1549 3.4 ~~Perturbation experiments~~ Compare conclusions from lab-in-the-field perturbation 1550 experimental approach vs. mass spectra comparison approach

1551 ~~The~~ Based on the lab-in-the-field perturbation experiments ~~provide more insights, we show~~
1552 that fresh SOA from α -pinene and β -caryophyllene oxidations are mainly apportioned into ~~the~~
1553 ~~sources of OA factors than traditional mass spectra comparison. Previous~~ LO-OOA. This finding
1554 is consistent with previous studies which concluded that LO-OOA (also denoted as semi-volatile
1555 oxygenated organic aerosol, SV-OOA, in older studies) represents freshly formed SOA₇. The
1556 conclusion from previous studies is mainly based on ~~the observation that~~ mass spectra comparison
1557 approach, that is, the mass spectra of laboratory-generated fresh SOA from various sources better
1558 resemble the mass spectrum are similar to that of LO-OOA ~~than other factors~~ (Jimenez et al., 2009;
1559 Ng et al., 2010; Marcolli et al., 2006; Kiendler-Scharr et al., 2009). ~~While this mass spectra~~
1560 ~~comparison approach sheds light on the potential sources of LO-OOA, it does not allow for~~
1561 ~~evaluating whether freshly formed SOA in the atmosphere is exclusively apportioned into LO-~~
1562 ~~OOA. The perturbation experiments, on the other hand, provide a way to evaluate this explicitly.~~
1563 Here, we directly produce SOA from a specific known VOC in ambient air matrix and determine
1564 where it is apportioned into. For example, we show that while fresh SOA from α -pinene and β -

1565 ~~caryophyllene oxidations are mainly apportioned into LO-OOA, they could also be possibly~~
1566 ~~apportioned into isoprene-OA factor and COA, respectively.~~

1567 The. While we acknowledge that the mass spectra comparison approach largely improves our
1568 understanding of OA factors, we believe that the perturbation experimental approach provides
1569 more objective and quantitative conclusions by addressing some limitations of the mass spectra
1570 comparison approach. The mass spectra comparison approach has the following limitations. Firstly,
1571 the similarity between two mass spectra is a subjective determination. In other words, what
1572 correlation coefficient (R) value implies SOA from a certain source contributes to a specific OA
1573 factor? For example, the R values between laboratory generated α -pinene SOA (using HONO as
1574 OH source) with LO-OOA, isoprene-OA, and MO-OOA in this study are 0.96, 0.88, and 0.81,
1575 respectively. Using these R values to imply whether α -pinene SOA contributes to a certain OA
1576 factor or not is subjective. As another example, Jimenez et al. (2009) showed that the mass
1577 spectrum of α -pinene SOA becomes more similar to that of MO-OOA than that of LO-OOA with
1578 photochemical aging. The ability to determine when and how much α -pinene SOA is apportioned
1579 to MO-OOA based on an R value is subjective. Secondly, the conclusions from mass spectra
1580 comparison approach are qualitative. Even if the mass spectrum of α -pinene SOA is the most
1581 similar to LO-OOA, this similarity does not guarantee that all α -pinene SOA is apportioned into
1582 LO-OOA and this similarity does not provide information regarding what fraction of α -pinene
1583 SOA is apportioned into LO-OOA.

1584 The perturbation experiments could address the limitations of mass spectra comparison
1585 approach and provide more objective and quantitative conclusions. Firstly, the perturbation
1586 experiments simulate a short period of time with increasing α -pinene SOA concentration. We
1587 perform PMF analysis on the combined ambient data and perturbation data. PMF analysis does
1588 not distinguish SOA from natural α -pinene vs. from injected α -pinene, so that PMF analysis can
1589 objectively apportion α -pinene SOA into factors. Thus, the conclusions from the perturbation
1590 experiments are directly drawn without any subjective judgement on the similarity in mass spectra.
1591 Secondly, using the perturbation data, we attempt to quantify the fraction of fresh α -pinene SOA
1592 that is apportioned into different factors (i.e., ~80% into LO-OOA, ~20% into isoprene-OA, 0%
1593 into MO-OOA, COA, and HOA). Although further studies are required to extrapolate the
1594 conclusions from perturbation experiments to real atmosphere, a similar quantitative
1595 understanding cannot be obtained from simple mass spectra comparison approach. Thirdly, the

1596 perturbation experiments have the potential to utilize subtle differences across the entire the mass
1597 spectrum to evaluate the sources of OA factors. Based on previous laboratory ~~studies~~study, the
1598 mass ~~spectra~~spectrum of ~~fresh SOA from α -pinene oxidation and~~SOA is highly correlated ($R =$
1599 0.97) with that of β -caryophyllene ~~oxidation share much similarity, but there are subtle differences~~
1600 ~~in the mass spectra~~ SOA (Bahreini et al., 2005; ~~Tasoglou and Pandis, 2015~~). For example, in the
1601 ~~perturbation experiments~~. Using a mass spectra comparison approach would suggest that these
1602 ~~mass spectra are too similar to be differentiated by PMF analysis. However, perturbation~~
1603 ~~experiments show different behaviors of α -pinene SOA and β -caryophyllene SOA. That is, a~~
1604 fraction of the fresh β -caryophyllene SOA is apportioned into COA factor, but ~~we do not observe~~
1605 similar behavior ~~is not observed~~ for α -pinene SOA. ~~This is~~The different behaviors are likely
1606 ~~because~~due to the subtle differences in their mass spectra. For example, f_{55} (i.e., the ratio of m/z
1607 55 to total signal in the mass spectrum) is typically higher in β -caryophyllene SOA than α -pinene
1608 SOA (Bahreini et al., 2005; Tasoglou and Pandis, 2015), and the mass spectrum of COA is
1609 characterized by prominent signal at m/z 55 (Fig. 2-2). Overall, the perturbation experiments
1610 provide more objective and quantitative insights into the sources of OA factors than traditional
1611 mass spectra comparison approach.

1612 **3.5 LO-OOA as a surrogate of SOA_{MT+SQT} in the Southeastern U.S.**

1613 We propose that the major source of LO-OOA in the southeastern U.S. is the fresh SOA from
1614 oxidation of MT and SQT by various oxidants (O_3 , OH, and NO_3), based on the following piece
1615 of evidence. First, the southeastern U.S. is characterized by large biogenic emissions, including
1616 monoterpenes and sesquiterpenes (Guenther et al., 2012). Second, the majority of carbon in SOA
1617 is modern in the southeastern U.S. Weber et al. (2007) measured that the biogenic fraction of SOA
1618 is roughly 70-80% at two urban sites in Georgia that were also used in our study. We note that
1619 measurements in Weber et al. (2007) were performed in 2004 and the biogenic fraction of SOA is
1620 expected to be higher in 2016 than 2004, as a result of reductions in anthropogenic emissions
1621 (Blanchard et al., 2010). Third, previous studies suggest that the oxidation of β -pinene (another
1622 important monoterpene) by nitrate radicals (NO_3) contributes to LO-OOA in the southeastern U.S.
1623 (Boyd et al., 2015; Xu et al., 2015a), though this reaction alone cannot replicate the magnitude of
1624 LO-OOA (Pye et al., 2015). Fourth, the mass spectra of LO-OOA are almost identical (i.e., R
1625 ranges from 0.95 to 0.99 in Fig. S7) across all the seven datasets in our study. In addition, LO-
1626 OOA across all datasets also shares the same diurnal trends (Xu et al., 2015a). The similarity in

1627 LO-OOA features suggests that LO-OOA generally share similar sources across multiple sites and
1628 in different seasons in the southeastern U.S. Fifth, the lab-in-the-field perturbation experiments
1629 provide objective evidence that the majority of freshly formed SOA from the oxidation of MT and
1630 SQT contributes to LO-OOA. Sixthly, using the updated CMAQ model (i.e., explicit organic
1631 nitrates and Saha and Grieshop (2016) VBS for MT+O₃/OH SOA), we found that the simulated
1632 SOA_{MT+SQT} reasonably reproduces both the magnitude and diurnal variability of LO-OOA for all
1633 sites (Fig. 6a). The model bias is within ~20% for most sites, except for Centreville, Alabama (i.e.,
1634 43% for CTR_June dataset). Fig. 6b present maps of ground-level SOA_{MT+SQT} concentration
1635 corresponding to the time periods of observational data, and the SOA_{MT+SQT} concentration is
1636 substantially higher in the southeast than other U.S. regions. While, the SOA_{MT+SQT} is present
1637 throughout the year, it reaches the largest concentration in summer. The spatial and seasonal
1638 variation of SOA_{MT+SQT} concentration is consistent with MT and SQT emissions (Guenther et al.,
1639 2012). The consistency between modeled SOA_{MT+SQT} and measured LO-OOA at multiple sites
1640 and in different seasons builds confidence in our hypothesis that LO-OOA largely arises from the
1641 oxidation of MT and SQT in the southeastern U.S.

1642 We note that we do not conclude that LO-OOA arises exclusively from MT and SQT. SOA
1643 from other precursors or other pathways may contribute to LO-OOA, but the related contributions
1644 are expected to be much smaller than MT and SQT in the southeastern U.S. Firstly, the
1645 contributions of anthropogenic SOA to LO-OOA are likely small. The emissions of anthropogenic
1646 VOCs are much weaker than that of biogenic VOCs in the southeastern U.S. (Goldstein et al.,
1647 2009). We modeled that the concentration of anthropogenic SOA is on the order of 0.1 μg m⁻³ for
1648 our datasets (Fig. S8). Even if we double the SOA yields of anthropogenic VOCs to account for
1649 the potential vapor wall loss in laboratory studies (Zhang et al., 2014), the concentration of SOA
1650 from anthropogenic VOCs oxidation is still negligible compared to SOA_{MT+SQT}. The low modeled
1651 concentration of anthropogenic SOA is consistent with Zhang et al. (2018), who showed that the
1652 measured tracers of anthropogenic SOA only account for 2% of total OA in Centreville, AL.
1653 Secondly, other reaction pathways, like aqueous-phase chemistry or some unexplored reaction,
1654 may contribute to LO-OOA. However, the consistency between modeled SOA_{MT+SQT} and LO-
1655 OOA suggests that LO-OOA can be reasonably represented by a model based on current
1656 knowledge and it is not necessary to invoke any unexplored mechanisms. In addition, SOA
1657 produced from aqueous-phase chemistry is generally highly oxidized (Lee et al., 2011) and may

1658 be apportioned into MO-OOA, instead of LO-OOA. A recent study by Xu et al. (2016c) suggests
1659 that aqueous-phase SOA is a major source of MO-OOA in China.

1660 We limit our hypothesis that major source of LO-OOA is the oxidation of MT and SQT to
1661 the southeastern U.S. There is clear evidence that LO-OOA factor represents different sources at
1662 different locations. For example, radiocarbon analysis shows that 68-75% of carbon in LO-OOA
1663 in California stems from fossil sources (Hayes et al., 2013; Zotter et al., 2014), suggesting the
1664 contribution from anthropogenic SOA to LO-OOA. Also, in the wintertime of many locations,
1665 LO-OOA and MO-OOA are not separated and a single OOA factor is resolved (Xu et al., 2016b;
1666 Lanz et al., 2008).

1667 **3.6 Connection between laboratory and field studies**

1668 Due to the difficulties associated with accurately measuring complex chemical processes in the
1669 atmosphere, laboratory studies have been an integral part in our understanding of atmospheric
1670 chemistry (Burkholder et al., 2017). However, the representativeness of laboratory studies under
1671 simplified conditions with respect to the complex atmosphere is difficult to evaluate. One unique
1672 feature of our lab-in-the-field approach is that the VOC oxidation and SOA formation proceed
1673 under realistic atmospheric conditions. Taking advantage of this, we provide a direct link between
1674 laboratory studies and ambient observations. Previous laboratory studies have shown that NO can
1675 affect SOA composition by influencing the fate of organic peroxy radical (RO₂, a critical radical
1676 intermediate formed from VOC oxidation) (Kroll and Seinfeld, 2008; Sarrafzadeh et al., 2016;
1677 Presto et al., 2005). To evaluate the representativeness of laboratory studies and **directly**
1678 investigate the effects of NO on SOA composition, in Fig. 67, we compare the chemical
1679 composition of α -pinene SOA formed in laboratory studies under different NO conditions (denoted
1680 as SOA_{lab}) with those in α -pinene ambient perturbation experiments (denoted as SOA_{ambient}). The
1681 degree of similarity in OA mass spectra (i.e., evaluated by the correlation coefficient) between
1682 laboratory α -pinene SOA generated under NO-free condition (i.e., denoted as SOA_{lab,NO-free}, using
1683 H₂O₂ photolysis as oxidant source) and SOA_{ambient} shows a strong dependence on ambient NO
1684 concentration, under which the SOA_{ambient} is formed. The degree of similarity in mass spectra
1685 decreases rapidly when ambient NO increases from 0.1 to 0.2ppb, and then reaches a plateau at
1686 ~0.3ppb NO. The opposite trend is observed when laboratory α -pinene SOA generated in the
1687 presence of high NO concentrations (i.e., denoted as SOA_{lab,high-NO}, using the photolysis of NO₂ or

1688 nitrous acid as oxidant source) are compared with SOA_{ambient}. These observations ~~directly~~
1689 ~~demonstrates~~show the transition of RO₂ fate as a function of NO under ambient conditions. For the
1690 perturbation experiments performed when ambient NO is below ~0.1ppb, the mass spectra of
1691 SOA_{ambient} are similar to SOA_{lab,NO-free}, consistent with that RO₂ mainly reacts with hydroperoxyl
1692 (HO₂) or isomerizes. In contrast, for the perturbation experiments performed when ambient NO is
1693 above ~0.3ppb, the mass spectra of SOA_{ambient} are similar to SOA_{lab,high-NO}, consistent with that the
1694 RO₂ fate is dominated by NO. This NO level (~0.3ppb) is consistent with the NO level required to
1695 dominate the fate of RO₂ in the atmosphere, as calculated by using previously measured HO₂ and
1696 kinetic rate constants (section S8 of Supplement). These observations also ~~directly~~ illustrate that
1697 the SOA composition from laboratory studies can be representative of atmosphere. We note that
1698 the mass spectra of SOA_{ambient} are generally more similar with that of laboratory SOA generated
1699 using NO₂ photolysis as oxidant source than using nitrous acid photolysis. This suggests that
1700 laboratory experiments using NO₂ photolysis as oxidant source better represent ambient high NO
1701 oxidation conditions in the southeastern U.S. than experiments using nitrous acid do. Possible
1702 explanations are discussed in section S7 of Supplement. -This finding provides new insights into
1703 designing future laboratory experiments to better mimic the oxidations in ambient environments.

1704 4 Implications

1705 ~~3.6 Abundance of SOA_{MT+SQT}~~ In this study, we performed lab-in-the Southeastern U.S.

1706 ~~The ambient-field~~ perturbation experiments ~~provide direct~~and provided objective evidence that the
1707 majority of ~~freshly formed~~fresh SOA from the oxidation of MT and SQT contributes to LO-OOA.
1708 ~~Previous studies suggest that the oxidation of β -pinene (another important monoterpene) by nitrate~~
1709 ~~radicals (NO₃) contributes to~~ Based on weight of evidence, we propose that LO-OOA can be used
1710 as a surrogate of fresh SOA from MT and SQT in the southeastern U.S. (~~Boyd et al., 2015; Xu et~~
1711 ~~al., 2015a), though this reaction alone cannot replicate the magnitude of LO-OOA, particularly~~
1712 ~~during the daytime (Pye et al., 2015). Considering the large biogenic emissions in the southeastern~~
1713 ~~U.S. We showed that modeled SOA_{MT+SQT} could reasonably reproduce both (Guenther et al., 2012)~~
1714 ~~and the new results from our perturbation experiments, we propose that the major source of LO-~~
1715 ~~OOA in this region is the oxidation of MT and SQT by various oxidants (O₃, OH, and NO₃). To~~
1716 ~~test this hypothesis, we use CMAQ to simulate pollutant concentrations across the southeastern~~
1717 ~~U.S.~~

1718 ~~—— The SOA_{MT+SQT} concentration in the default simulation (i.e., no explicit organic nitrate~~
1719 ~~partitioning, Griffin et al. (1999) photooxidation parameterization) is significantly lower than LO-~~
1720 ~~OOA by 55–84% (Fig. 7). In contrast, SOA_{MT+SQT} in the updated simulation (explicit organic~~
1721 ~~nitrates and Saha and Grieshop (2016) VBS for MT+O₂/OH) accurately reproduces the magnitude~~
1722 ~~and diurnal variability of LO-OOA for each site (Fig. 8a). The model bias is reduced to within~~
1723 ~~20% for most sites, except for Centreville, Alabama (i.e., 43% for CTR_June dataset). The~~
1724 ~~consistency between modeled SOA_{MT+SQT} and measured LO-OOA at multiple different sites and~~
1725 ~~in different seasons supports our hypothesis. Based on the model simulation, we estimate that LO-~~
1726 ~~OOA largely arises from the oxidation of MT and SQT in the southeastern U.S. Fig. 8b present~~
1727 ~~maps of ground level SOA_{MT+SQT} concentration corresponding to the time periods of observational~~
1728 ~~data. The SOA_{MT+SQT} concentration is substantially higher in the southeast than other U.S. regions.~~
1729 ~~The SOA_{MT+SQT} is present throughout the year and reaches the largest concentration in summer.~~
1730 ~~The spatial and seasonal variation of SOA_{MT+SQT} concentration is consistent with MT and SQT~~
1731 ~~emissions (Guenther et al., 2012). The~~ the annual concentration of SOA_{MT+SQT} in PM_{2.5} in the
1732 southeastern U.S. is ~2.1 μg m⁻³ (i.e., average concentration over the six sampling periods and
1733 over the southeastern U.S. in the updated simulation). This accounts for 21% of World Health
1734 Organization PM_{2.5} guideline (i.e., 10 μg m⁻³ annual mean) and indicates a significant contributor
1735 of environmental risk to the 77 million habitants in the southeastern U.S. Also, the estimated
1736 ~~concentration abundance~~ of SOA_{MT+SQT} is substantially ~~higher~~ larger than represented in current
1737 models (Lane et al., 2008; Zheng et al., 2015), ~~but in line with the conclusion from Zhang et al.~~
1738 ~~(2018). Zhang et al. (2018) used a different methodology, characterization of molecular tracers of~~
1739 ~~MT SOA at Centreville, AL (a site included in our study as well), to conclude that monoterpenes~~
1740 ~~are the largest source of summertime organic aerosol in the southeastern United States. The~~
1741 ~~oxidation of MT and SQT is likely an under-estimated contributor to natural PM in PM in the~~
1742 ~~present day and perhaps during the~~ pre-industrial period, which determines the baseline state of
1743 atmosphere and the estimate of climate forcing by anthropogenic emissions (Carslaw et al., 2013).
1744 Models need to improve the description of the MT and SQT oxidation to reduce the uncertainties
1745 in estimated OA budget and subsequent climate forcing.

1746 ~~—— We note that we do not conclude that LO-OOA arises exclusively from MT and SQT, SOA~~
1747 ~~from anthropogenic VOCs may also contribute to LO-OOA. However, the SOA contribution from~~
1748 ~~anthropogenic VOCs is expected to be much smaller than that from biogenic monoterpenes and~~

1749 sesquiterpenes in the southeastern U.S. Firstly, as shown in the perturbation experiments, α -pinene
1750 and β -caryophyllene produce more SOA than *m*-xylene and naphthalene using the same
1751 experimental approach in ambient air matrix. Together with weaker emissions of anthropogenic
1752 VOCs than biogenic VOCs in the southeastern U.S. (Goldstein et al., 2009), the small contribution
1753 to SOA from anthropogenic VOCs is expected. Secondly, as indicated in Fig. S5, the modeled
1754 concentration of SOA from anthropogenic VOCs is on the order of $0.1 \mu\text{g m}^{-3}$. Even if we double
1755 the SOA yields of anthropogenic VOCs to account for the potential vapor wall loss in laboratory
1756 studies (Zhang et al., 2014), the concentration of SOA from anthropogenic VOCs oxidation is still
1757 negligible compared to $\text{SOA}_{\text{MT+SQT}}$. SOA from anthropogenic VOCs oxidation could be abundant
1758 in urban areas of the western U.S. There is evidence that LO-OOA in California is related to the
1759 oxidation of anthropogenic VOCs, as radiocarbon analysis suggests 68–75% of carbon in LO-OOA
1760 in California stems from fossil sources (Hayes et al., 2013; Zotter et al., 2014). The contribution
1761 from anthropogenic VOCs to LO-OOA awaits exploration through ambient perturbation
1762 experiments in various locations around the world.

1763 **4 Implications**

1764 In this study, we propose that LO-OOA can be used as a surrogate of fresh SOA from MT and
1765 SQT in the southeastern U.S., based on the weight of evidence provided by: (1) the large emissions
1766 of MT and SQT in this region; (2) the contribution from $\text{MT} + \text{NO}_3$ to LO-OOA as shown in
1767 previous studies; (3) perturbation experiments providing direct evidence that the majority of fresh
1768 SOA from the oxidation of MT and SQT contributes to LO-OOA; (4) the consistency of modeled
1769 $\text{SOA}_{\text{MT+SQT}}$ with the magnitude and diurnal trend of LO-OOA at different sites and in different
1770 seasons.

1771 Using LO-OOA as a surrogate of $\text{SOA}_{\text{MT+SQT}}$ in the southeastern U.S., our ambient ground
1772 measurements suggest that at least 19–34% of OA in the southeastern U.S. is from the oxidation
1773 of biogenic monoterpenes and sesquiterpenes (Xu et al., 2015a). The fraction of biogenic OA in
1774 the southeastern U.S. is even larger if we consider that isoprene-OA could account for 21–36% of
1775 OA in summer (albeit potential interferences of SOA from monoterpenes oxidation) and that MO-
1776 OOA (24–49% of OA) likely contains SOA from long-term photochemical oxidation of biogenic
1777 VOCs. The dominant biogenic origin of SOA poses a challenge to control its burden in the
1778 southeastern U.S., if the roles of anthropogenic oxidants and other controlling factors are not

1779 recognized. Previous studies have shown that the SOA formation from biogenic VOCs can be
1780 mediated by anthropogenic emissions, such as nitrogen oxides and sulfur dioxide (Hoyle et al.,
1781 2011; Goldstein et al., 2009; Surratt et al., 2010; Rollins et al., 2012; Xu et al., 2015a). Thus,
1782 regulating anthropogenic emissions could help reduce SOA concentration (Lane et al., 2008; Pye
1783 et al., 2015; Zheng et al., 2015). For example, as observed in our ambient perturbation experiments,
1784 one controlling parameter of α -pinene SOA formation is the concentration of atmospheric oxidants
1785 (O_3 , OH, and NO_3), which are known to strongly depend on NO_x concentration. As it has been
1786 shown that anthropogenic emissions exert complex and non-linear influences on biogenic SOA
1787 formation (Zheng et al., 2015), the effectiveness of regulating anthropogenic emissions on
1788 biogenic SOA burden requires careful investigations. ~~Importantly, the novel~~

1789 The lab-in-the-field perturbation experiments ~~substantially improve our understanding of~~
1790 ~~ambient~~ provide insights into the OA ~~sources~~ factors. This experimental approach ~~is can be~~ easily
1791 ~~applicable to other regions in the world~~ adapted. Future experiments conducted under various
1792 ambient environments and with diverse SOA precursors would facilitate ~~accurate quantification~~
1793 ~~of global OA sources as well as their climate and health impacts.~~ the understanding of OA factors
1794 in other regions of the world.

Instruments are located inside the lab (not shown).
Particle phase: AMS, SMPS
Gas phase: CIMS, O₃, NO_x



The tent is removed during the perturbation experiments.

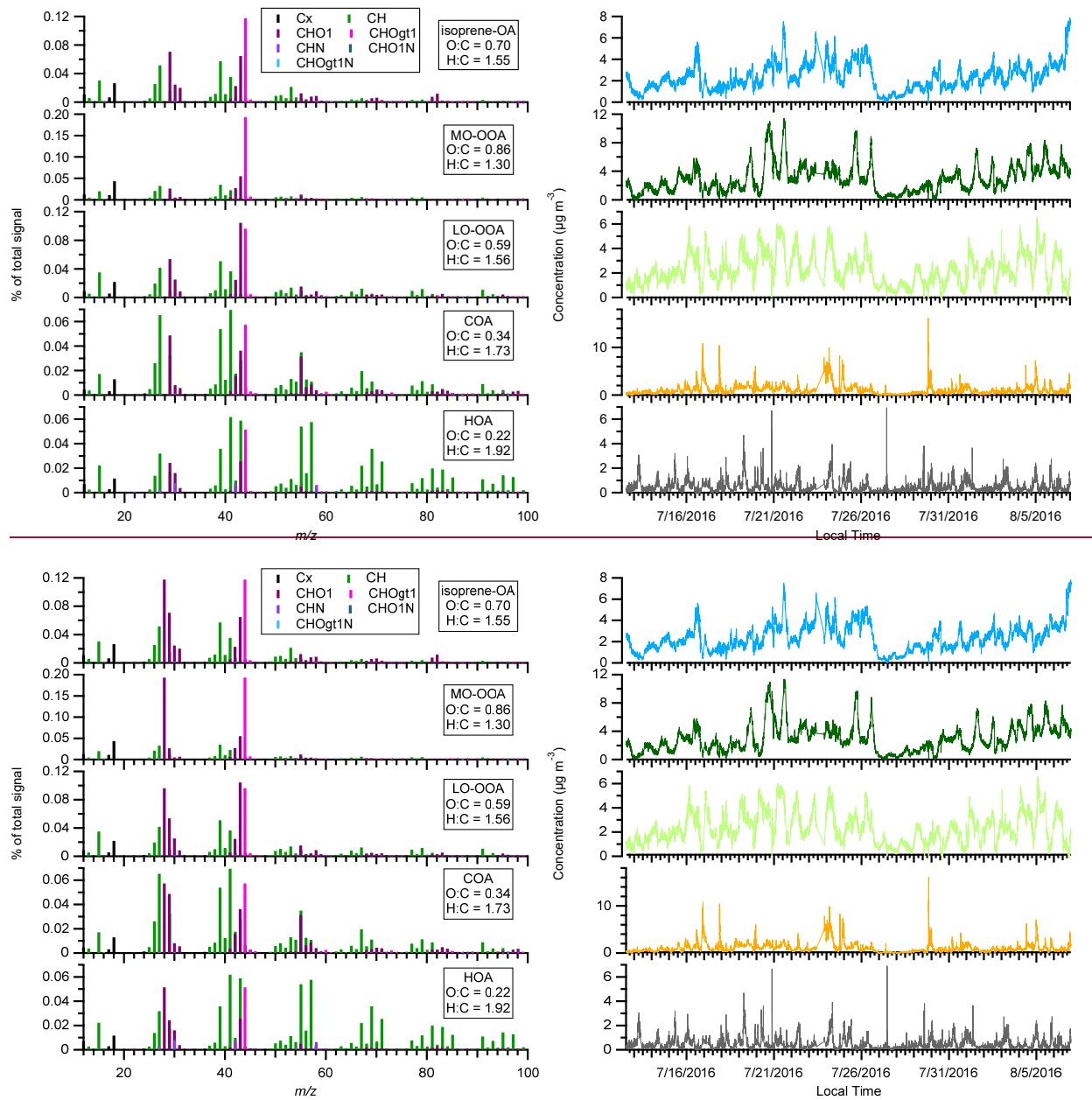
The chamber volume is ~2 m³.
Eight corners are open.

Two fans are used to flush the chamber. The fans are turned off after VOC injection. After turning off the fans, flow rate of air going into the chamber is equal to the instruments pulling flow rate.

1795

1796 Fig. 1. The instrument setup for ambient perturbation experiments.

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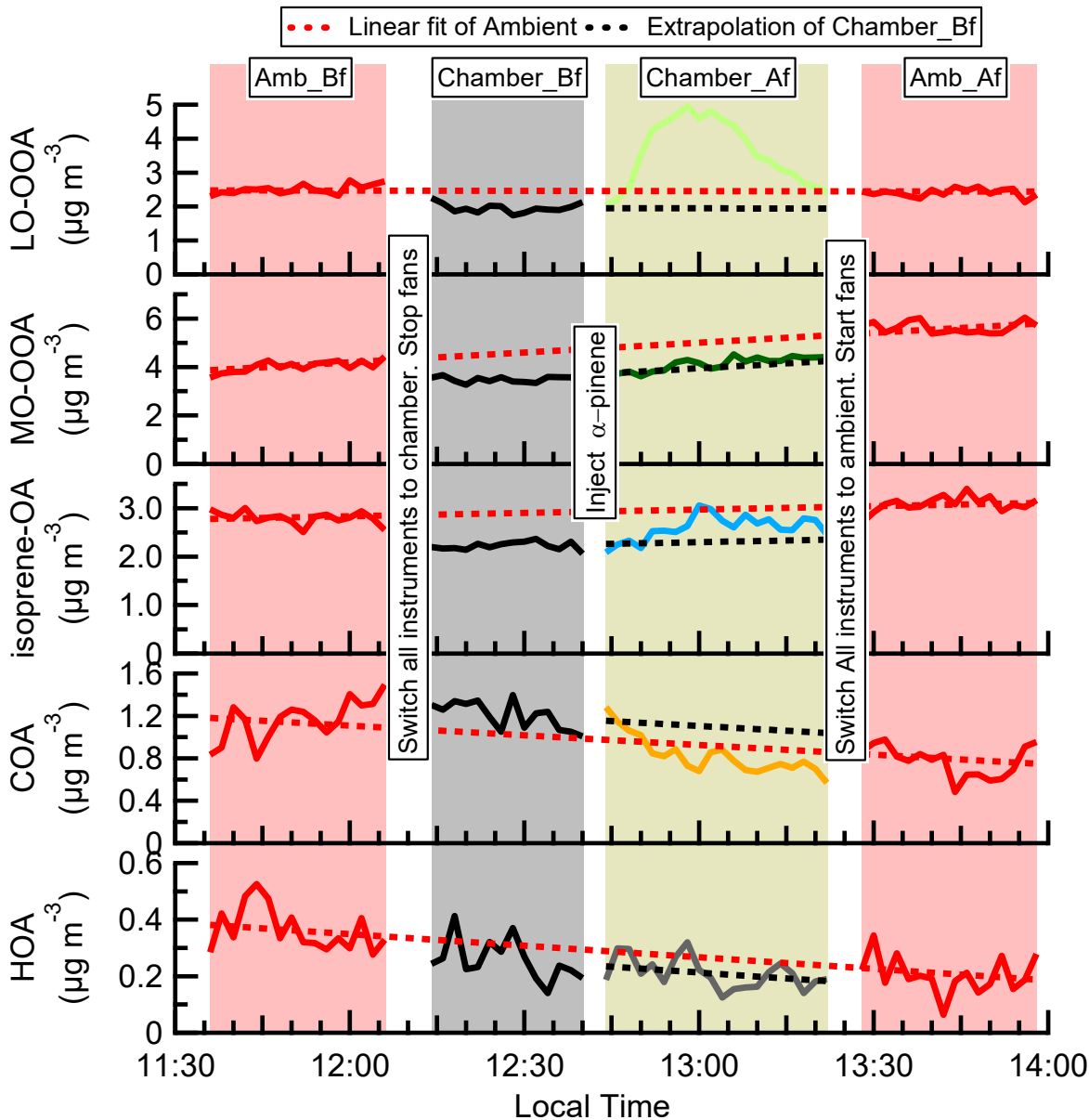
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1800 Fig. 2. The mass spectra and time series of OA factors in perturbation study. The time series
 1801 includes both the ambient data and perturbation experiments data.

1802

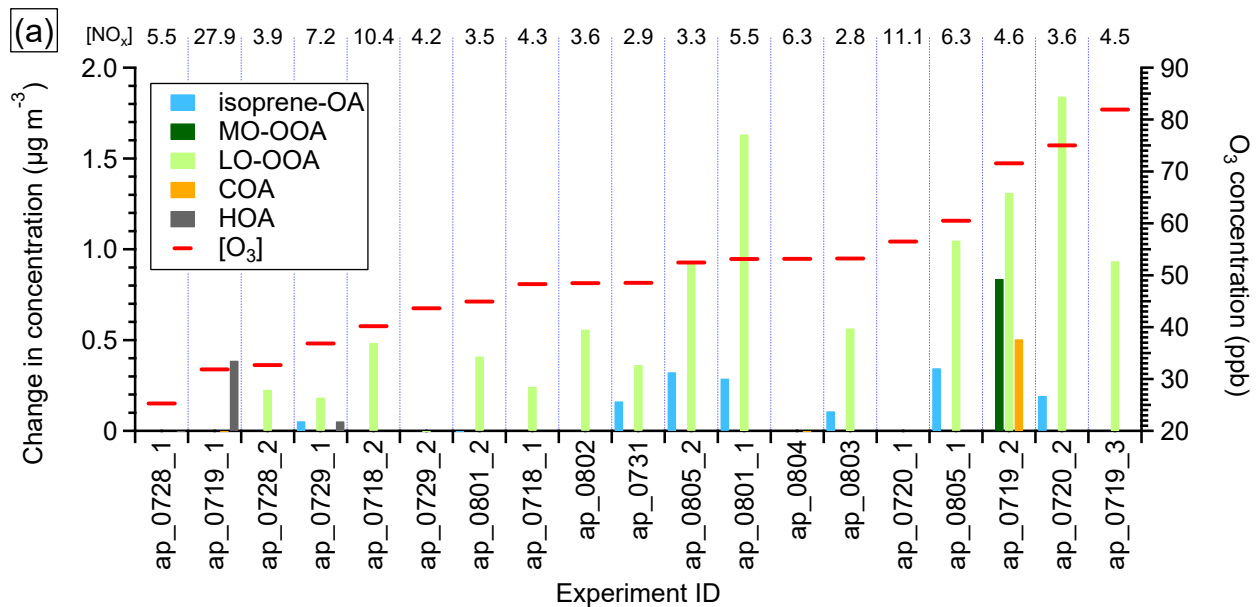
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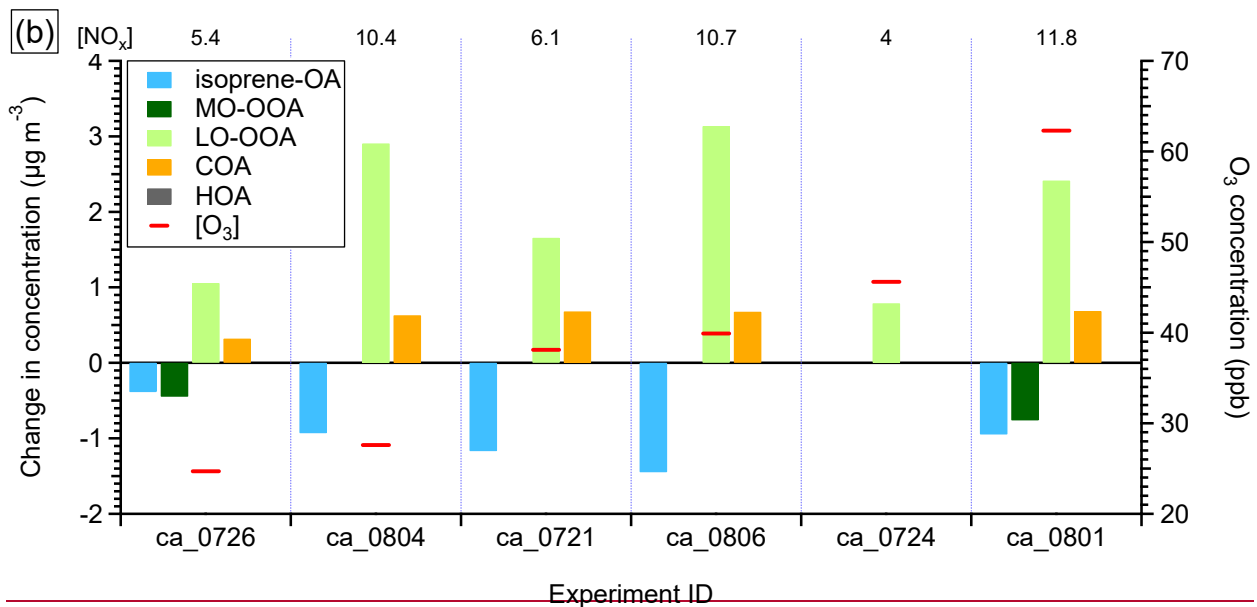
1804

1805 Fig. 3. The time series of OA factors in an α -pinene perturbation experiment (Expt ID: ap_0801_1).
 1806 Each perturbation experiment includes four periods: Amb_Bf (~30min), Chamber_Bf (~30min),
 1807 Chamber_Af (~40min), and Amb_Af (~40min). “Amb” and “Chamber” represent that instruments
 1808 are sampling ambient and chamber, respectively. “Bf” and “Af” stand for before and after
 1809 perturbation, respectively. The solid lines are measurement data. The dashed red lines are the linear
 1810 fits of ambient data (i.e., combined Amb_Bf and Amb_Af). The slopes are used to extrapolate
 1811 Chamber_Bf data to Chamber_Af period (i.e., dashed black lines). The validity of the linearity
 1812 assumption is discussed in Appendix A. The difference between measurements (i.e., solid lines)
 1813 and extrapolated Chamber_Bf (i.e., dashed black lines) represents the change caused by
 1814 perturbation.

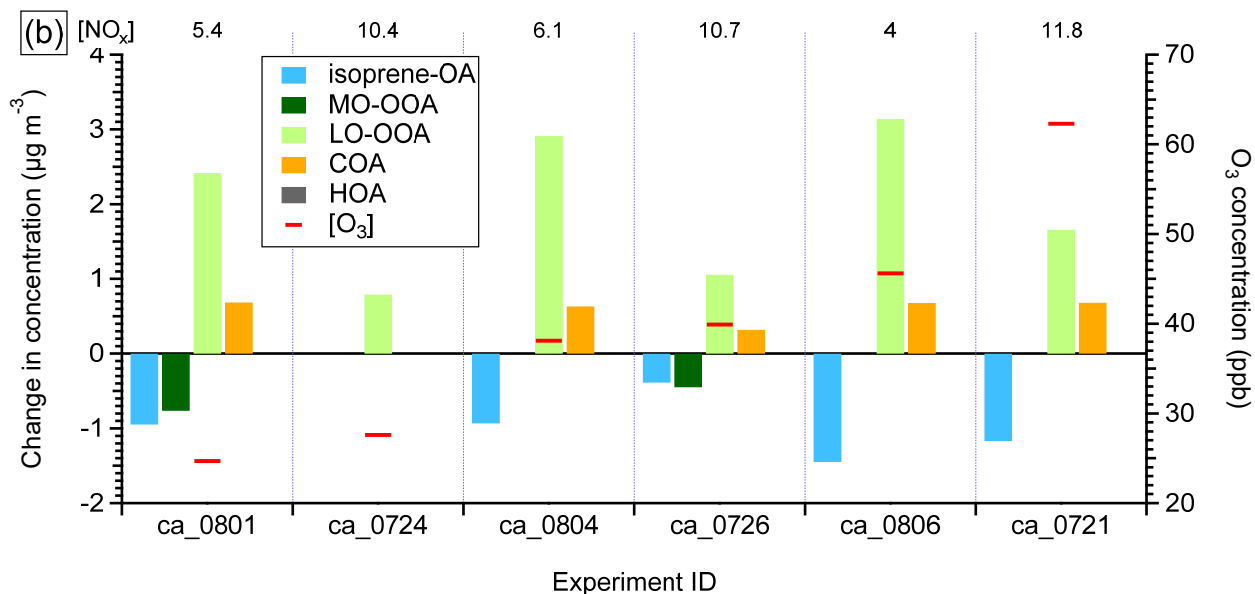
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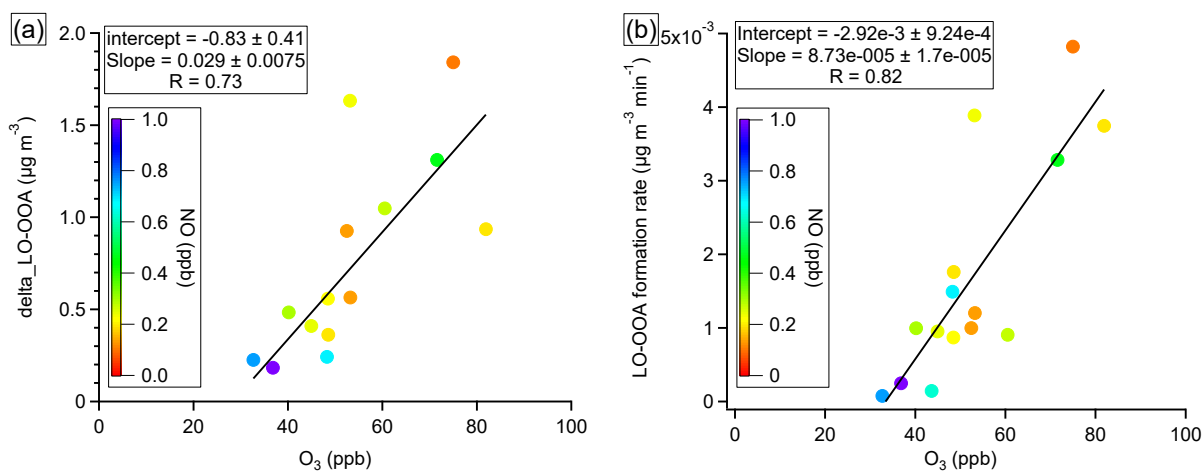


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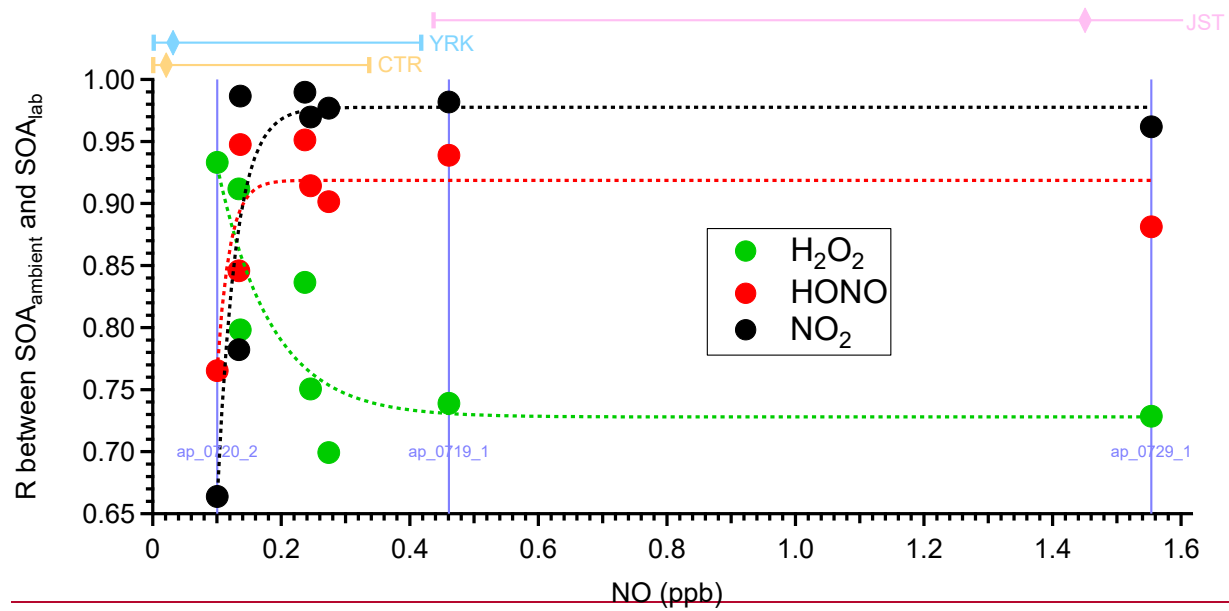
1822 Fig. 4. The statistically significant changes in the concentrations of OA factors after perturbation
 1823 by (a) α -pinene and (b) β -caryophyllene. The experiments are sorted by average $[O_3]$ during
 1824 Chamber_Af. The average $[NO_x]$ during Chamber_Af are shown on top of the figure. The changes
 1825 in concentration are the differences between measurements during Chamber_Af and extrapolated
 1826 Chamber_Bf (Appendix A). A set of criteria are developed to evaluate if the changes are
 1827 statistically significant and if the changes are due to ambient variation (Appendix A). Isoprene-
 1828 OA decreases after β -caryophyllene injection. The reason for this decrease is unclear, but likely
 1829 due to the limitations of PMF analysis, which assumes constant mass spectra of OA factors over
 1830 time (section S9S3 of Supplement).

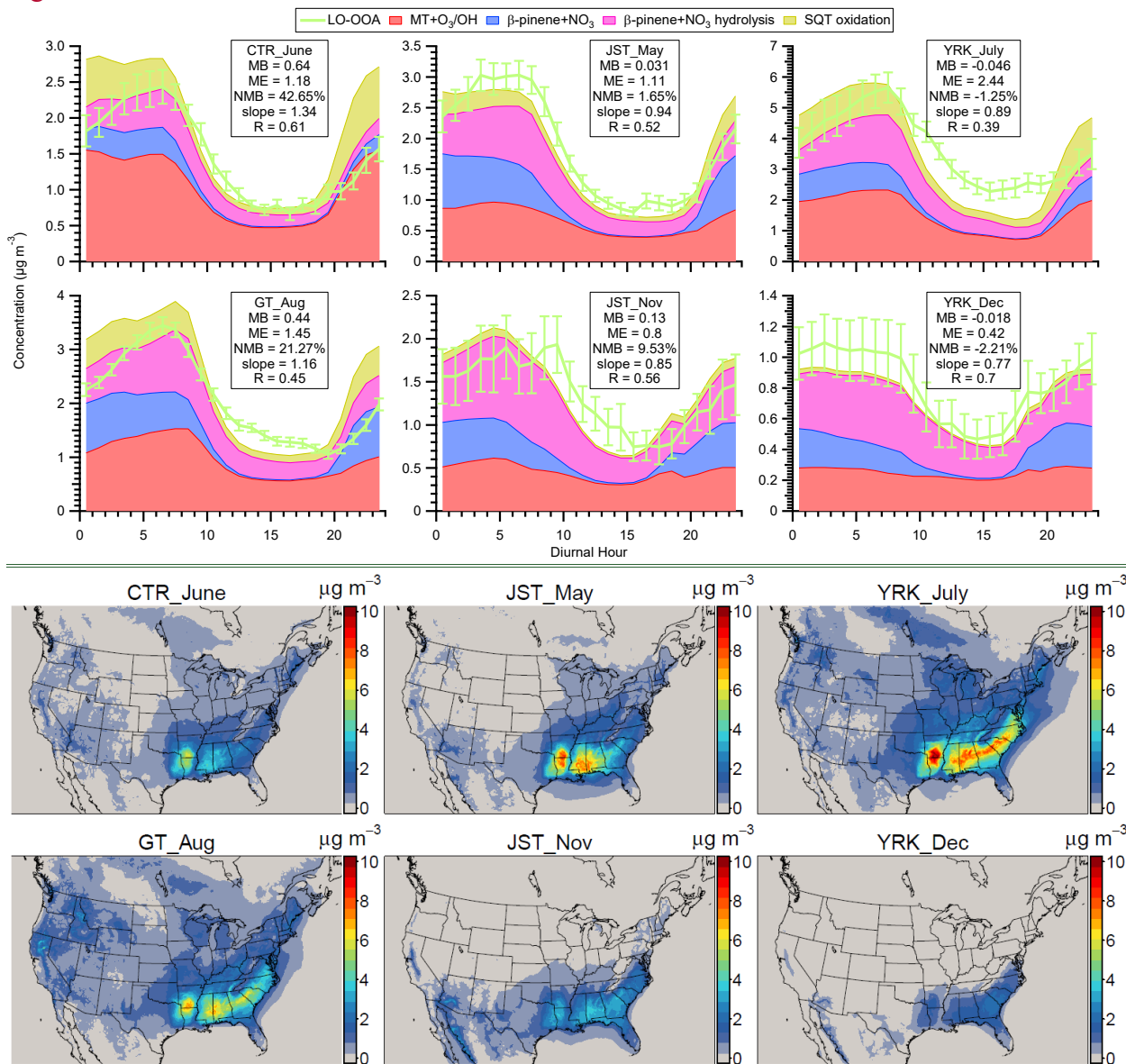


1831

1832 Fig. 5. Observations of trends in (a) LO-OOA enhancement amount and (b) LO-OOA formation
 1833 rate with O_3 concentration in α -pinene perturbation experiments. The data points are colored by
 1834 average NO concentration during Chamber_Af period. The slopes, intercepts, and correlation
 1835 coefficients (R) are obtained by least square fit.

1836



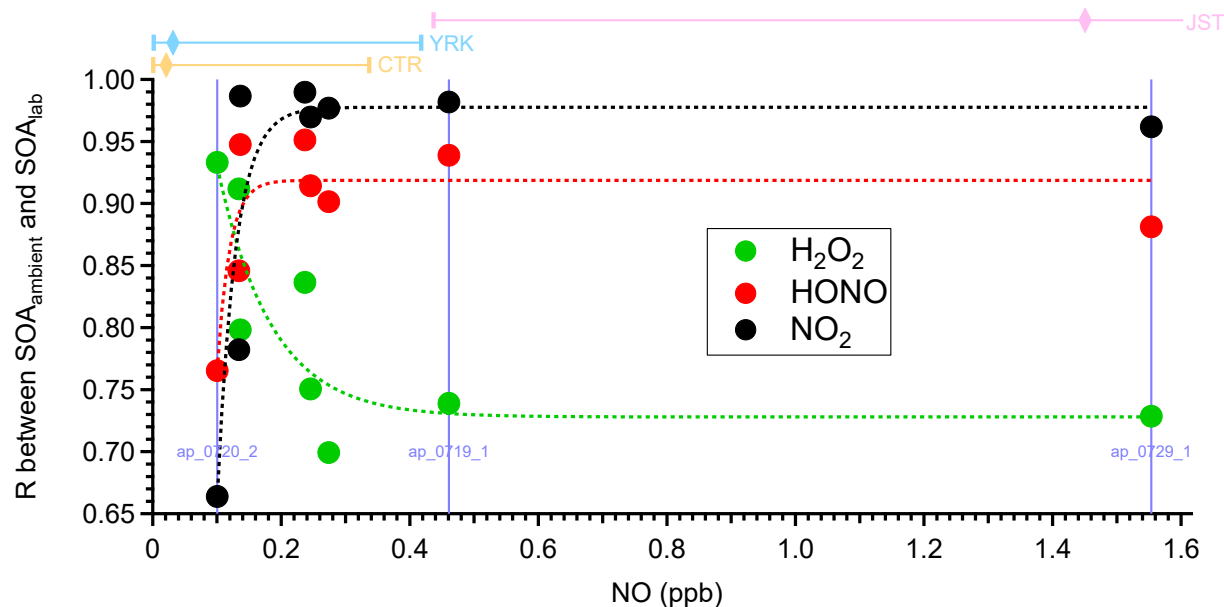


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1841 Fig. 6. (a) top panel: the diurnal trends of LO-OOA and modeled SOA from monoterpenes and
 1842 sesquiterpenes (SOA_{MT+SQT}) at different sampling sites in the southeastern U.S. (b) bottom panel:
 1843 maps of modeled ground-level SOA_{MT+SQT} concentration coinciding with the time periods of
 1844 intensive ambient sampling. Model results shown here are from the updated simulation.
 1845 Abbreviations correspond to Centreville (CTR), Jefferson Street (JST), Yorkville (YRK), Georgia
 1846 Institute of Technology (GT). Detailed sampling periods are shown in Table S1. In panel (a), since
 1847 the perturbation experiments show that 16% of SOA from α-pinene oxidation is apportioned into
 1848 isoprene-OA (Fig. S5a), we only include 84% of modeled SOA from MT+O₃/OH when comparing
 1849 with LO-OOA for the sites with isoprene-OA. The mean bias (MB), mean error (ME), and
 1850 normalized mean bias (NMB) for each site are shown in each panel. The slopes and correlation

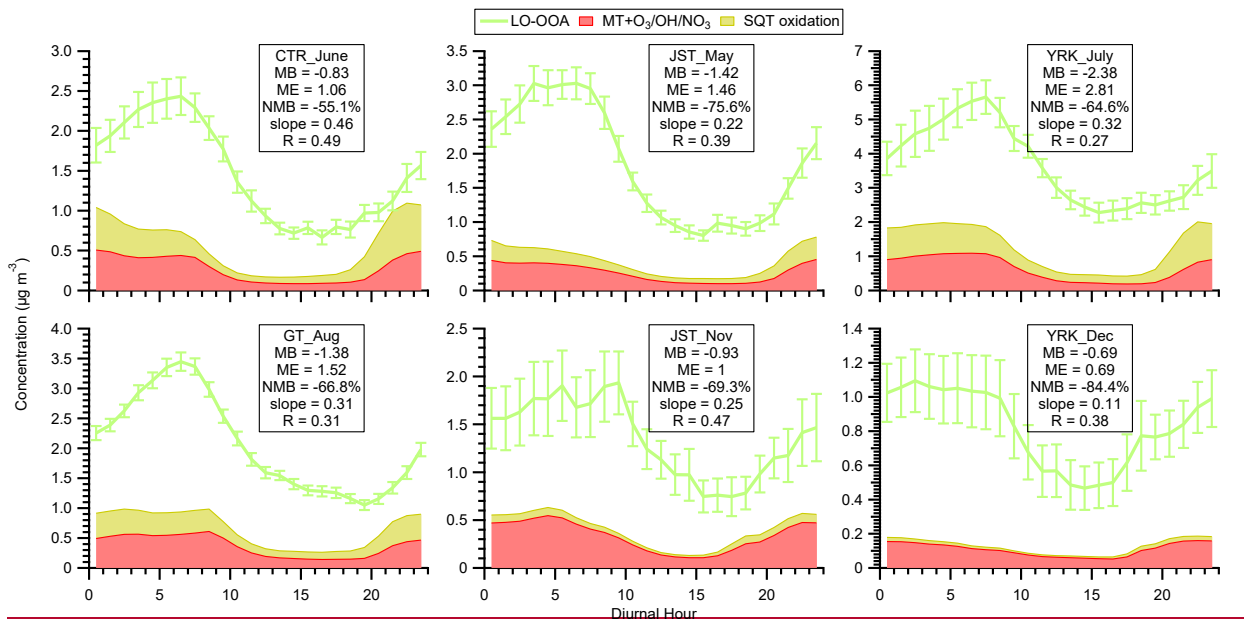
1851 coefficients (R) are obtained by least square fit. The error bars indicate the standard error. In panel
1852 (b), average SOA_{MT+SQT} concentration in PM_{2.5} during each sampling period is reported.
1853



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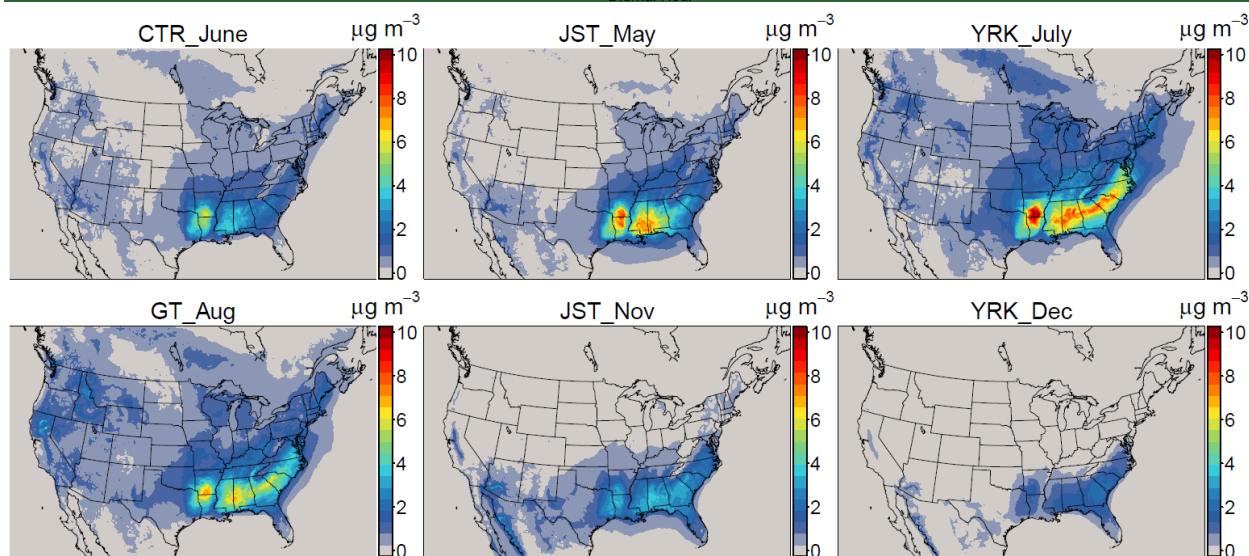
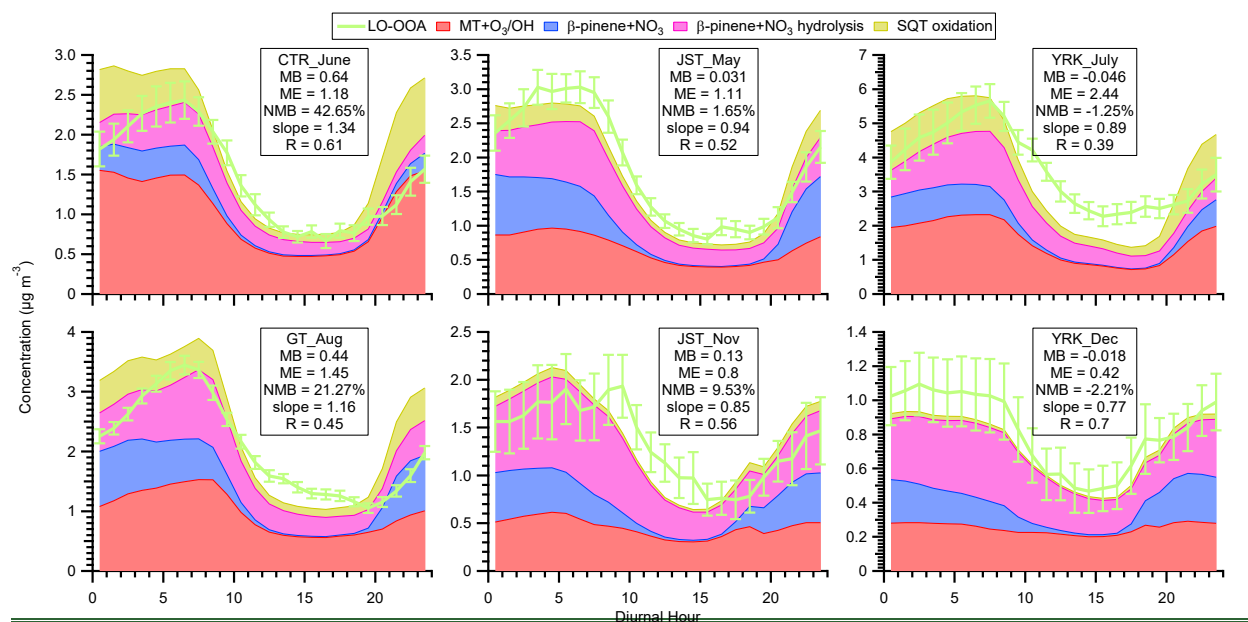
1855 Fig. 7. The correlation coefficients between the mass spectra of OA formed in laboratory under
 1856 different NO conditions (“SOA_{lab}”) and those of OA formed in ambient α -pinene perturbation
 1857 experiments (“SOA_{ambient}”). The subscripts “_{lab}” and “_{ambient}” indicate the SOA formed under
 1858 laboratory conditions and ambient conditions, respectively. Three different oxidant sources (i.e.,
 1859 H₂O₂, HONO, and NO₂) are used to create different NO concentrations in laboratory studies. The
 1860 mass spectra of “SOA_{ambient}” are calculated by comparing the mass spectra of OA during
 1861 Chamber_Af and those of extrapolated Chamber_Bf (section S7 of Supplement). To calculate
 1862 reliable mass spectra of “SOA_{ambient}”, only the experiments with significant OA enhancement are
 1863 analyzed and shown here (Appendix A). The x-axis is the average NO concentration during each
 1864 perturbation experiment. The data points on the same vertical line (i.e., the same NO concentration)
 1865 are from the same perturbation experiment, but compared to three different laboratory experiments.
 1866 The dashed lines are used to guide eyes. The bars on top of the figure represent the 10th, 50th, and
 1867 90th percentiles of NO concentration for CTR (Centreville, AL), YRK (Yorkville, GA), and JST
 1868 (Jefferson Street, GA) in 2013. The NO concentration is measured by the SouthEastern Aerosol
 1869 Research and Characterization (SEARCH) network. The 90th percentile of NO concentration in
 1870 JST is 14.8 ppb, which is not shown in the figure.

1871



1872

1873 **Fig. 7.** The diurnal trends of LO-OOA and modeled SOA from monoterpenes and sesquiterpenes
 1874 at different sampling sites in the southeastern U.S. in the default simulation. The mean bias (MB),
 1875 mean error (ME), and normalized mean bias (NMB) are shown for each site. The slopes and R are
 1876 obtained by least square fit.



1877
 1878
 1879 **Fig. 8.** (a) top panel: the diurnal trends of LO-OOA and modeled SOA from monoterpenes and
 1880 sesquiterpenes (SOA_{MT+SQT}) at different sampling sites in the southeastern U.S. (b) bottom panel:
 1881 maps of modeled ground-level SOA_{MT+SQT} concentration. Model results shown here are from the
 1882 updated simulation. Abbreviations correspond to Centreville (CTR), Jefferson Street (JST),
 1883 Yorkville (YRK), Georgia Institute of Technology (GT). Detailed sampling periods are shown in
 1884 Table S1. In panel (a), since the perturbation experiments show that 16% of SOA from a pinene
 1885 oxidation is apportioned into isoprene-OOA (Fig. S7a), we only include 84% of modeled SOA from
 1886 MT+O₃/OH when comparing with LO-OOA for the sites with isoprene-OOA (Fig. S7a). The mean
 1887 bias (MB), mean error (ME), and normalized mean bias (NMB) for each site are shown in each
 1888 panel. The slopes and correlation coefficients (R) are obtained by least square fit. The error bars
 1889 indicate the standard error. In panel (b), average SOA_{MT+SQT} concentration in PM_{2.5} during each
 1890 sampling period is reported.

1891

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1901 administrative review and approved for publication but may not necessarily reflect official Agency
1902 policy.

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2438 **Appendix A. Data Analysis Method for Perturbation Experiments**

2439 The most challenging and important analysis is to determine if the perturbation results in a
2440 statistically significant change in the mass concentration of OA factors. We perform the following
2441 analysis to calculate the changes in the mass concentration of OA factors after perturbation, to
2442 determine if the change is significant, and to evaluate if the change is simply due to ambient
2443 variation.

2444 The duration of one perturbation experiment is about 130min, including four periods:
2445 Amb_Bf (~30min), Chamber_Bf (~30min), Chamber_Af (~40min), and Amb_Af (~30min), as
2446 illustrated in Fig. A1. Firstly, we assume that the ambient variation is linear during both the
2447 Chamber_Bf and Chamber_Af periods (i.e., when instruments are connected to chamber and not
2448 sampling the ambient aerosol) and that the ambient variation can be represented by interpolating
2449 Amb_Bf and Amb_Af. The validity of this assumption will be discussed shortly. To obtain the
2450 slope of ambient variation, we analyze the combined Amb_Bf and Amb_Af data and use Theil-
2451 Sen estimator (Sen, 1968). The Theil-Sen estimator is a method to robustly fit a line to a set of two-
2452 dimensional points (i.e., concentration “*C*” and time “*t*” in this study). This method chooses the
2453 median of the slopes $(C_j - C_i)/(t_j - t_i)$ determined by all pairs of sample points. Compared to simple
2454 linear regression using ordinary least squares, the Theil-Sen estimator is robust and insensitive to
2455 outliers. Unless specifically noted, the slope is Appendix A is calculated from Theil-Sen estimator.
2456 Secondly, we use the slope to extrapolate the Chamber_Bf data to estimate aerosol concentration
2457 inside the chamber during the Chamber_Af period if there were no VOC injection. We refer to this
2458 estimated aerosol concentration as “extrapolated Chamber_Bf” and use it as the reference to
2459 calculate the change in aerosol mass concentration after perturbation. We extrapolate the
2460 Chamber_Bf data, instead of ambient data, because the OA concentration in chamber is lower than
2461 that in the atmosphere due to wall loss. Thirdly, we calculate the changes in the concentration of
2462 OA factors based on the difference between measured Chamber_Af data and “extrapolated
2463 Chamber_Bf”.

2464 For each perturbation experiment, after calculating the changes in the concentration of OA
2465 factors, we develop a set of criteria to determine if the changes are statistically significant and if
2466 the changes are simply due to ambient variation. The increase in the concentration of an OA factor

2467 needs to satisfy all criteria to be considered as statistically significant and not due to ambient
2468 variation.

2469 **Criterion 1:** The difference in concentration between Chamber_Af and extrapolated Chamber_Bf
2470 must be significant. We use T-test and 95% confidence interval.

2471 **Criterion 2:** The slope of all data points or the first 8 data points during the Chamber_Af period
2472 is significantly different from the slope of aerosol concentration during the Chamber_Bf period.
2473 The rationale behind this criterion is that if the perturbation causes a substantial change in the
2474 concentration of an OA factor, its slope during the Chamber_Af period should be different from
2475 that during the Chamber_Bf period.

2476 The slope of aerosol concentration during the Chamber_Af period is obtained in the
2477 following way. We calculate the slope by using (1) all data points and (2) only first 8 data points
2478 during the Chamber_Af period. This is because the concentration of factors firstly increases after
2479 perturbation and then decreases due to dilution (Fig. A1). In this case, the slope obtained by fitting
2480 all data points might be negative and will not reflect the initial increase in concentration (e.g., LO-
2481 OOA of ap_0805_1 in Fig. S4aS9a). Using only the first few data points during the Chamber_Af
2482 period can avoid this issue. We select the first 8 data points in this period because the
2483 concentrations of total OA and OA factors typically reach the highest at the 8th point (i.e., ~16min
2484 after injection). The slope is calculated by Theil-Sen estimator.

2485 The slope of aerosol concentration during the Chamber_Bf period is analyzed in the
2486 following way. In order to determine if the slope in Chamber_Af is significantly different from
2487 that in Chamber_Bf, we use bootstrap analysis (1000 times) to obtain a distribution of the slope of
2488 Chamber_Bf. In brief, in each random resampling of Chamber_Bf with replacement, a slope is
2489 calculated by Theil-Sen estimator. Then, 1000 times resampling provides a distribution of slope in
2490 Chamber_Bf. The 5% and 95% percentiles of the slope distribution are compared to the slope of
2491 Chamber_Af to determine if the slopes are significantly different. If the slope of Chamber_Af
2492 (from either all data points or the first 8 data points) is smaller (or larger) than the 5% (or 95%)
2493 percentile, the slopes in Chamber_Bf and Chamber_Af are significantly different.

2494 **Criterion 3:** The slope of all data points or the first 8 data points during the Chamber_Af period
2495 is significantly different from the slope of ambient data (i.e., combined Amb_Bf and Amb_Af).
2496 The rationale behind this criterion is the same as the second criterion. That is, if the perturbation

2497 causes a substantial change in the concentration of an OA factor, its slope during the Chamber_Af
 2498 period should be different from that in ambient data. The procedure to obtain a distribution of
 2499 slopes in the ambient data (combined Amb_Bf and Amb_Af) is same as Criterion 2.

2500 As mentioned above, one critical assumption is that the ambient variation is linear during
 2501 both the Chamber_Bf and Chamber_Af periods (i.e., when instruments are connected to chamber
 2502 and not sampling the ambient aerosol) and that the ambient variation can be represented by
 2503 interpolating Amb_Bf and Amb_Af. We design the following pseudo-experiment to test the
 2504 validity of this assumption. In brief, we perform the same analysis as we did for the perturbation
 2505 experiments, but using ambient data **only** (i.e., no perturbation data). We firstly randomly select a
 2506 data point, which defines the start point of one pseudo-test. Secondly, based on the start point, we
 2507 obtain the concentration of OA factors during “Amb_Bf” period, (i.e., from start point to start point
 2508 + 30min), “Chamber_Bf” period (i.e., from start point + 30min to start point + 60min),
 2509 “Chamber_Af” period (i.e., from start point + 60min to start point + 100min), and “Amb_Af”
 2510 period (from start point + 100 min to start point + 130min). This mimics the sampling periods in
 2511 a real perturbation experiment. Thirdly, we calculate the slope of ambient period (i.e., combined
 2512 “Amb_Bf” and “Amb_Af” periods) and the slope of chamber period (i.e., combined “Chamber_Bf”
 2513 and “Chamber_Af” periods). Fourthly, we calculate if the slope of chamber period is significantly
 2514 different from the slope of ambient period. We repeat this test 1000 times and then obtain the
 2515 probability of whether the slopes of chamber period and ambient period are significantly different.

2516 Fig. A2a shows the probability that the slopes of chamber period and ambient period are
 2517 not significantly different for five factors. The larger this probability is, the more reliable the
 2518 linearity assumption is. The average probability is ~50% for all factors, without discernible diurnal
 2519 trends. This suggest that there is ~50% chance that the linear variation assumption is valid. Since
 2520 the linearity assumption is not perfect, we develop another criterion to constrain the potential
 2521 influence of ambient variation on the interpretation of perturbation results.

2522 **Criterion 4:** From the above pseudo-experiment on ambient data only, we can calculate the
 2523 relative change in slope between “chamber period” and “ambient period” by

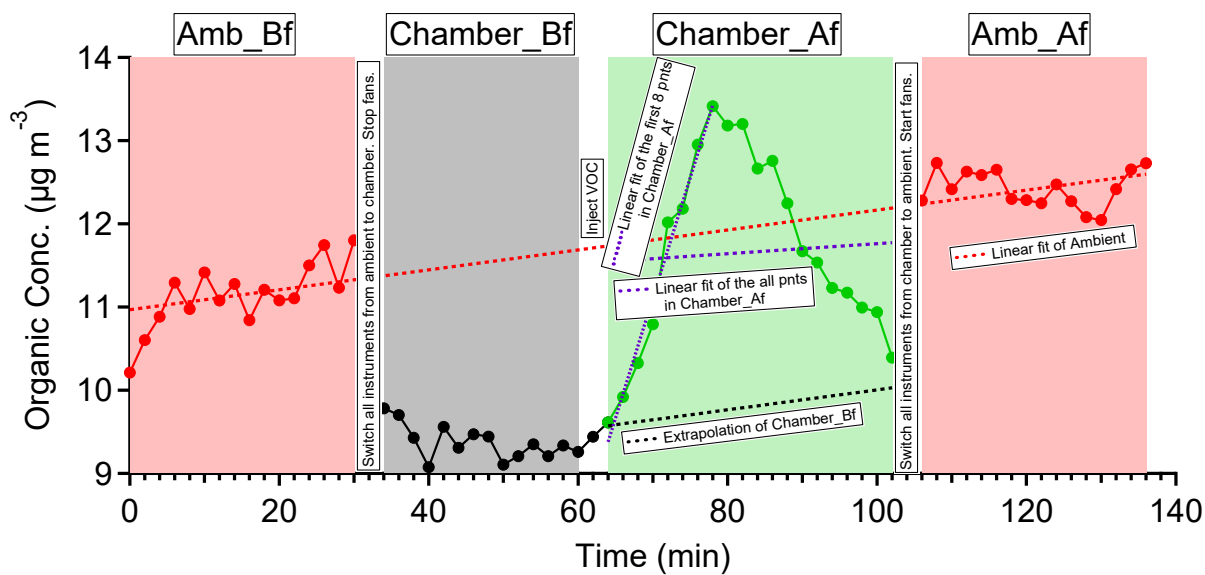
2524 ~~relative change in slope~~ = $\frac{\text{Slope}_{\text{Chamber}} - \text{Slope}_{\text{Amb}}}{\text{Slope}_{\text{Amb}}}$ relative change in slope = $\frac{\text{Slope}_{\text{Chamber}} - \text{Slope}_{\text{Amb}}}{\text{Slope}_{\text{Amb}}}$

2525 Eqn 1

2526 In each pseudo-experiment test, we calculate a relative change in slope between “chamber period”
2527 and “ambient period”. By repeating the pseudo-experiment test 1000 times, we obtain a frequency
2528 distribution of the relative change in slope for each OA factor (Fig. A2b). This frequency
2529 distribution indicates the probability that certain relative change in slope occurs due to ambient
2530 variation. Take LO-OOA as an example, the probability that the relative change in slope varies by
2531 a factor 8 due to ambient variation is ~1%. Thus, if the relative change in slope of LO-OOA in a
2532 α -pinene experiment is 8, the change is unlikely due to ambient variation. We use the 5% and 95%
2533 percentiles from the frequency distribution as the fourth criterion to determine if the changes in
2534 the concentrations of OA factors in each perturbation experiment are due to ambient variation. In
2535 other words, if the relative change in slope between Chamber_Af and ambient data in a real
2536 perturbation experiment falls outside of the 5% or 95% percentiles, the changes in the
2537 concentrations of OA factors are likely due to perturbing chamber with VOC, instead of ambient
2538 variation. This criterion strictly considers the influence of ambient variation. In general, the
2539 comparison in slope is an optimal option to account for ambient variation, because the influence
2540 of ambient variation is unlikely to coincide with the perturbation.

2541 Based on these 4 criteria, the OA factors with significant changes in their mass
2542 concentrations as a result of perturbation are shown in Fig. 4. LO-OOA is enhanced in 14 out of
2543 19 α -pinene experiments. However, total OA is only enhanced in 8 out of 19 α -pinene experiments.
2544 Several reasons can contribute to the different behaviors of LO-OOA and OA. Firstly, as total OA
2545 has multiple sources, the enhancement in one factor does not guarantee an enhancement of total
2546 OA. For instance, in some perturbation experiments, while LO-OOA is enhanced, the
2547 concentration of other factors steadily decreases due to ambient variation. The increase in LO-
2548 OOA and decrease in other factors compensate each other and result in a lack of enhancement in
2549 total OA. Secondly, based on the pseudo-experiment, we note that total OA is more easily affected
2550 by ambient variation than a single OA factor. For example, the 95% of the relative change in slope
2551 of total OA is 3.59, which is larger than any OA factors (Fig. A2b). Thus, the criteria for the change
2552 in total OA concentration to be considered as significant are stricter than those for a single OA
2553 factor. Thus, some experiments with significant changes in LO-OOA do not have significant
2554 changes in total OA.

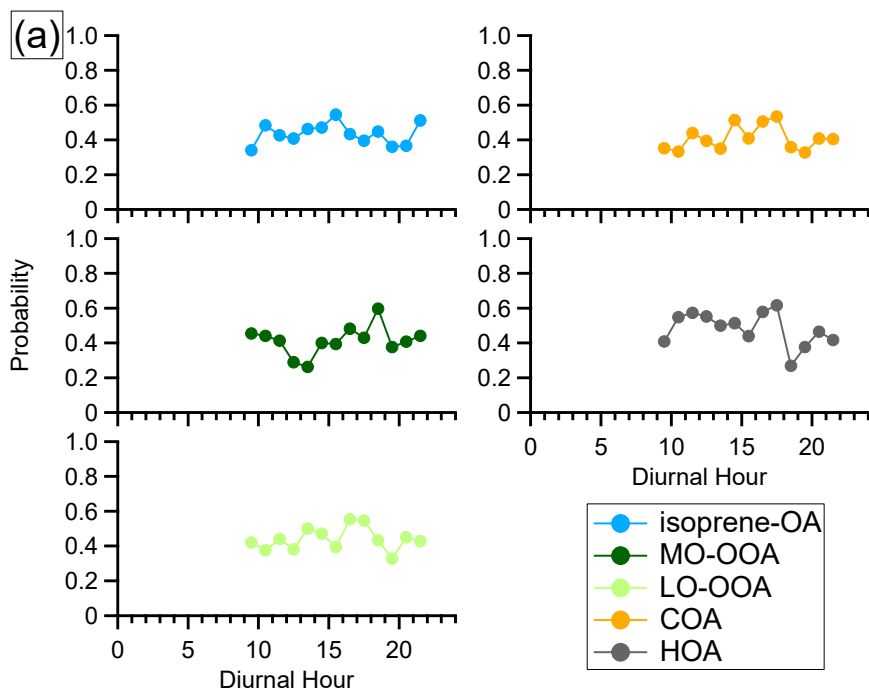
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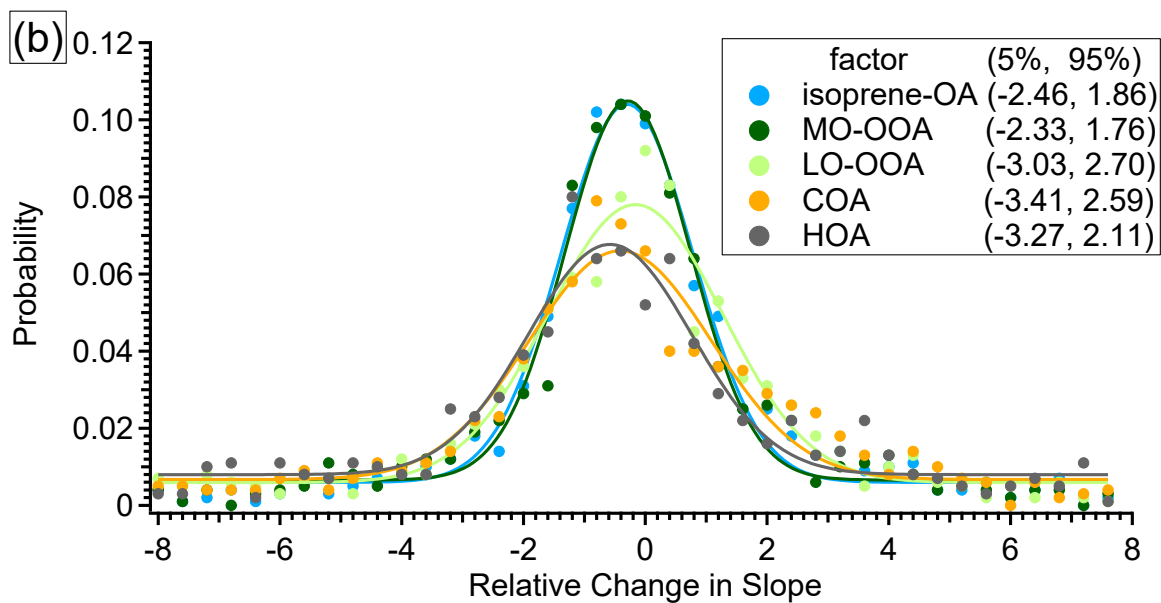
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2557 Fig. A1. Time series of OA in experiment ap_0801_1 to illustrate the analysis method. Each
 2558 perturbation experiment includes four periods: Amb_Bf (~30min), Chamber_Bf (~30min),
 2559 Chamber_Af (~40min), and Amb_Af (~40min). “Amb” and “Chamber” correspond to the periods
 2560 when the instruments are sampling ambient and chamber, respectively. “BF” and “Af” stand for
 2561 before and after perturbation, respectively. The solid lines are measurement data. The dashed red
 2562 lines are the linear fit of ambient data (i.e., combined Amb_Bf and Amb_Af). The slope is used to
 2563 extrapolate Chamber_Bf data to Chamber_Af period (i.e., black dashed line). The dense dashed
 2564 purple line is the linear fit of the first 8 points during the Chamber_Af period. The sparse dashed
 2565 purple line is the linear fit of all data points during the Chamber_Af period. During this period, the
 2566 difference between measurements (i.e., solid green data points) and extrapolated Chamber_Bf (i.e.,
 2567 dashed black line) represents the change in organic concentration caused by perturbation.

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2571 Fig. A2. (a) The diurnal trends of the probability that the slopes between ambient periods (i.e.,
 2572 Amb_Bf and Amb_Af periods) and chamber periods (i.e., Chamber_Bf and Chamber_Af periods)
 2573 are not significantly different in the pseudo-experiment. (b) The frequency distribution of the
 2574 relative change in slope. The data points are fitted using Gaussian function. The numbers in the
 2575 box represent the 5% and 95% percentile of the Gaussian fit.

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2578 **Appendix B. Ambient Perturbation Experiments with Acidic Sulfate Particles**

2579 Previous field observations showed strong correlation between isoprene-OA and sulfate (Xu et al.,
2580 2015a; Xu et al., ~~2016~~2016a; Budisulistiorini et al., 2015). Moreover, airborne measurements over
2581 power plant plumes in Georgia, U.S. observed enhanced isoprene-OA formation in the sulfate-rich
2582 power plant plume (~~Xu et al., 2016~~)(Xu et al., 2016a). To probe the relationship between isoprene-
2583 OA and sulfate, we conducted perturbation experiments in August 2015 by injecting acidic sulfate
2584 particles (i.e., a mixture of H₂SO₄ and MgSO₄) into the 2 m³ Teflon chamber. This mimics the
2585 airborne measurements over power plants, which introduce sulfate into the atmosphere (~~Xu et al.,~~
2586 ~~2016~~)(Xu et al., 2016a).

2587 The experimental procedure in 2015 experiments is generally similar to those in 2016
2588 experiments, but has the following modifications. Firstly, in order to avoid the depletion of species
2589 which can uptake to sulfate particles, we kept one fan on during the Chamber_Bf and Chamber_Af
2590 periods to enhance the air exchange between chamber and atmosphere. Secondly, considering the
2591 fan is on during sulfate injection to enhance mixing chamber air with ambient air, we only use the
2592 Chamber_Bf and Chamber_Af periods to calculate the changes in OA factors. The Criteria (1)(2)(4)
2593 are applied in 2015 experiments. Thirdly, the Chamber_Bf period is ~40 min in 2015 experiments,
2594 which is slightly longer than the 30 min in 2016 experiments. Fourthly, the HR-ToF-CIMS was
2595 not deployed in 2015 experiments.

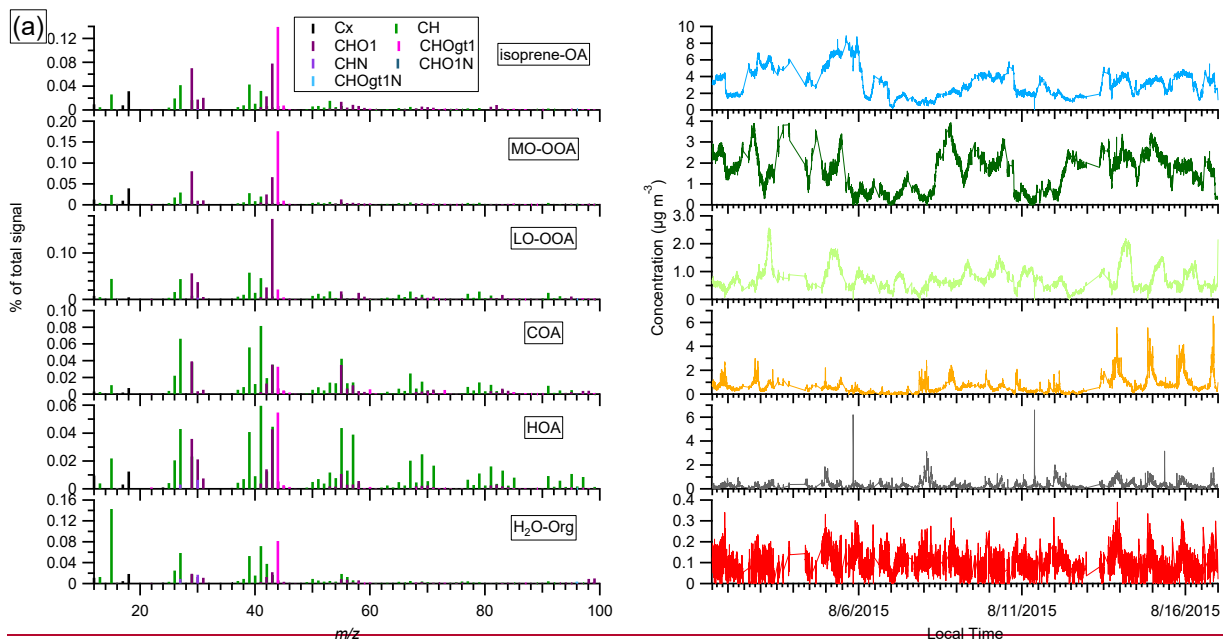
2596 The acidic sulfate seed particles were introduced into chamber by atomizing 0.88mM
2597 H₂SO₄ + 0.48mM MgSO₄ mixture solution from a nebulizer (U-5000AT, Cetac Technologies Inc.,
2598 Omaha, Nebraska, USA). One important interference in these sulfate perturbation experiments is
2599 the trace amount of organics in solvent water [i.e., HPLC-grade ultrapure water (Baker Inc.)],
2600 which is used to prepare the H₂SO₄+MgSO₄ solution. These organics were injected into chamber
2601 together with sulfate. We utilize the multilinear engine solver (ME-2) to constrain the organics
2602 from solvent water (i.e., H₂O-Org). Unlike the PMF2 solver which does not require any a priori
2603 information of mass spectrum or time series, the ME-2 solver uses a priori information to reduce
2604 rotational ambiguity among possible solutions(Canonaco et al., 2013; Paatero, 1999). We obtained
2605 the reference spectrum of organic contamination (i.e., the a priori information for ME-2 solver) by
2606 atomizing the H₂SO₄+MgSO₄ solution directly into AMS. The ME-2 solver successfully extracted

2607 a factor (i.e., denoted as H₂O-Org factor, Fig. B1), which showed a clear enhanced concentration
2608 during atomization (Fig. B2).

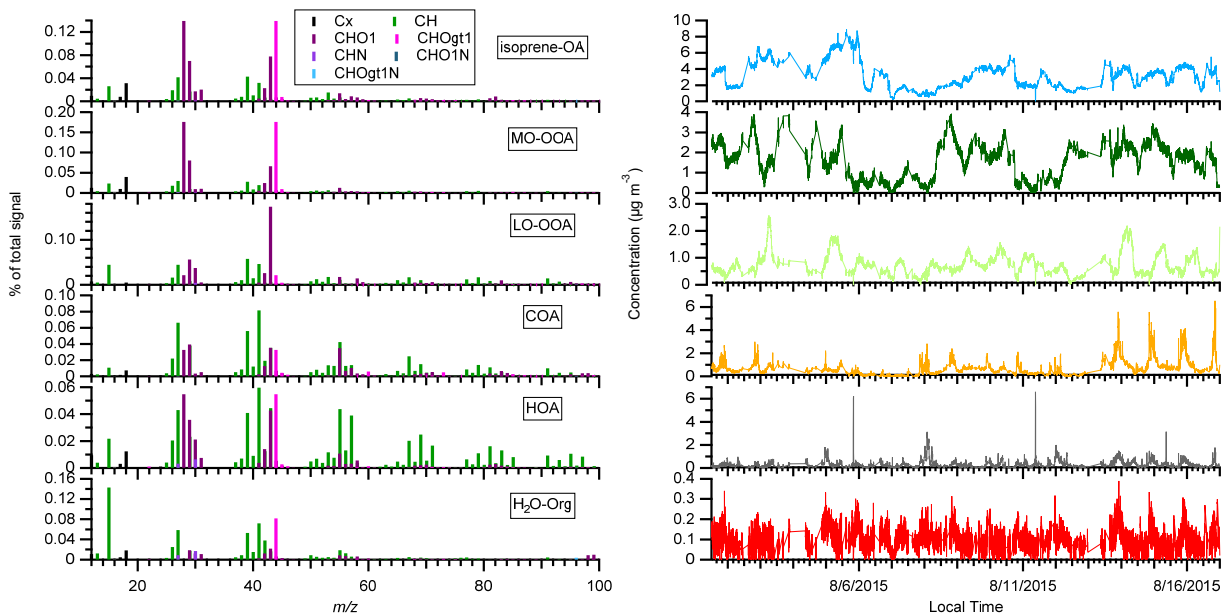
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2610 A total of four experiments were performed and details are summarized in Table B1. As
2611 shown in Fig. B2, the isoprene-OA factor increases in all three daytime experiments, but not the
2612 nighttime experiment. Based on current understanding of isoprene-OA factor, this enhancement is
2613 likely due to the reactive uptake of IEPOX. The lack of enhancement in nighttime experiment is
2614 consistent with low IEPOX concentration at night (Hu et al., 2015). Our results provide direct
2615 observational evidence that acidic sulfate particles lead to increase in isoprene-OA, which supports
2616 results from previous studies (Xu et al., 2015a; Xu et al., 20162016a; Budisulistiorini et al., 2015).
2617 Due to lack of measurements of gas-phase organic compounds, we are unable to identify the
2618 reactive species. Other species, such as glyoxal (Kroll et al., 2005), isoprene hydroperoxides (Liu
2619 et al., 2016), and HOMs (Ehn et al., 2014), also have the potential to uptake to acidic sulfate
2620 particles and form SOA. Future experiments with comprehensive measurements of gas-phase
2621 organic compounds can provide more insights into the identities of reactive uptake species.

2622 We note that in non-atomizing period, the concentration of H₂O-Org factor is close to zero,
2623 but not zero. Since H₂O-Org arises from the atomizing solution, it should only exist during
2624 atomizing periods. Thus, the non-zero concentration suggests the limitation of the ME-2 solver
2625 and cautions are required when using ME-2 solver to resolve one factor based on a specific mass
2626 spectrum. This limitation does not affect the conclusion that the enhancement in isoprene-OA is
2627 likely due to the reactive uptake of organic species, as we further verify that the organic increase
2628 in three daytime perturbation experiments with sulfate particles cannot be solely explained by the
2629 organic contamination in atomizing water, from the following two aspects. For example, we
2630 atomize the solution directly into AMS and find that the Org/SO₄ ratio is 0.025. This value is
2631 significantly lower than the Org/SO₄ ratio in the three daytime sulfate perturbation experiments
2632 (i.e., 0.048-0.059), but close to the nighttime sulfate perturbation experiment (i.e., 0.022) (Fig. B4).

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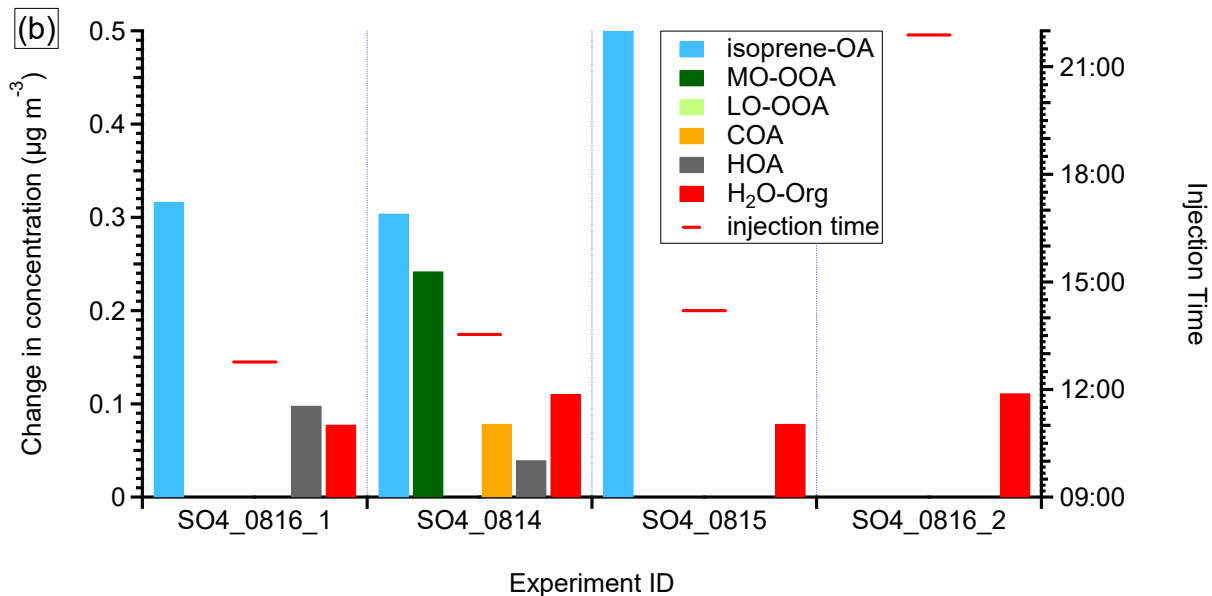
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2636 Fig. B1. The mass spectra and time series of OA factors in the 2015 acidic sulfate particle
 2637 perturbation measurements. Note that the perturbation periods are included in the time series.

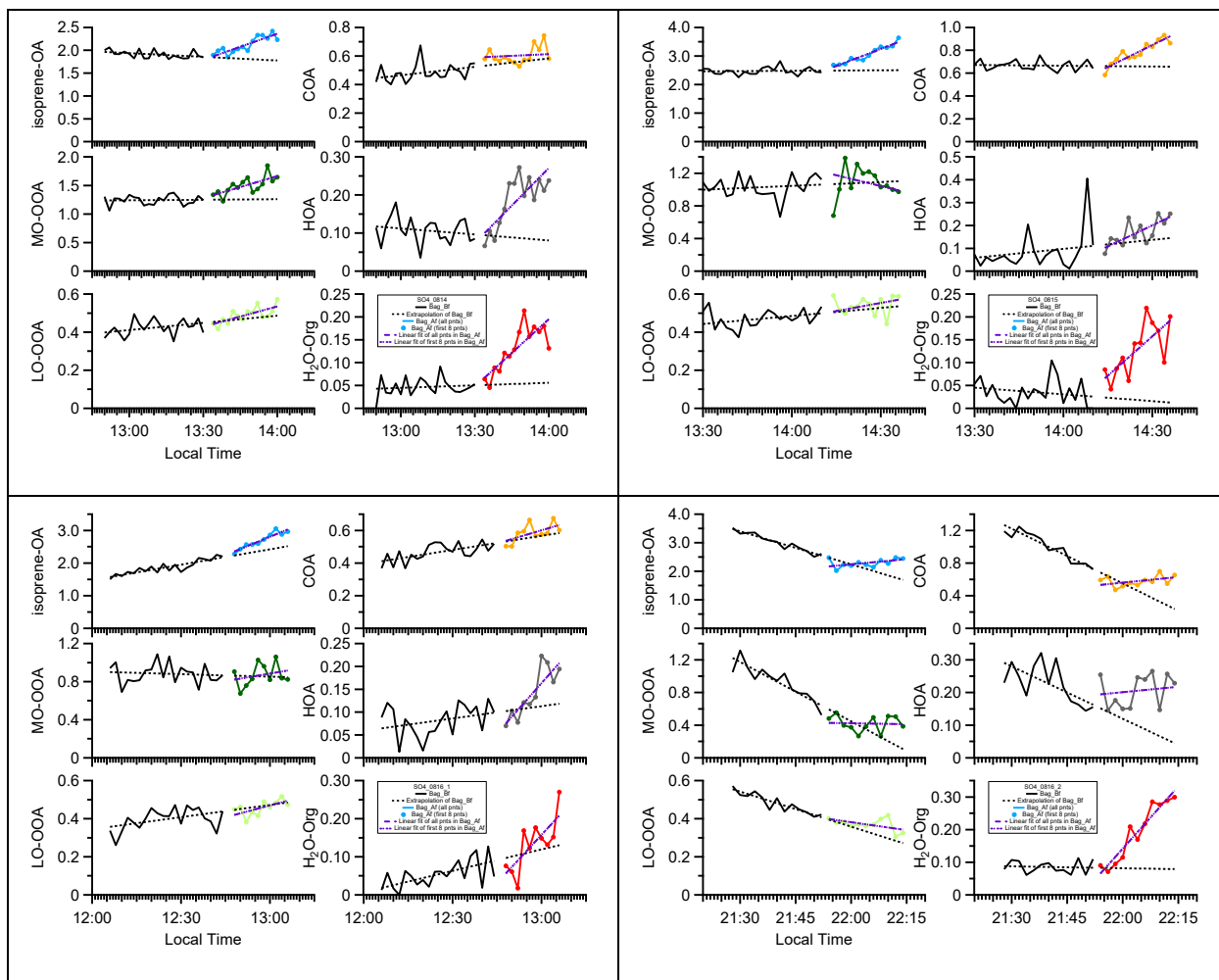
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2640 Fig. B2. The statistically significant changes in the concentrations of OA factors after perturbation
 2641 by acidic sulfate particles. The experiments are sorted by perturbation time. The changes in
 2642 concentration are the difference between measurements during the Chamber_Af period and mass
 2643 concentration extrapolated from the Chamber_Bf period. A set of criteria are developed to evaluate
 2644 if the changes are significant and if the changes are due to ambient variation (Appendix A). H₂O-
 2645 Org factor in these sulfate perturbation experiments represents organic contaminations in
 2646 atomizing water.

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2648 Fig. B3. Time series of OA factors in each sulfate perturbation experiment.

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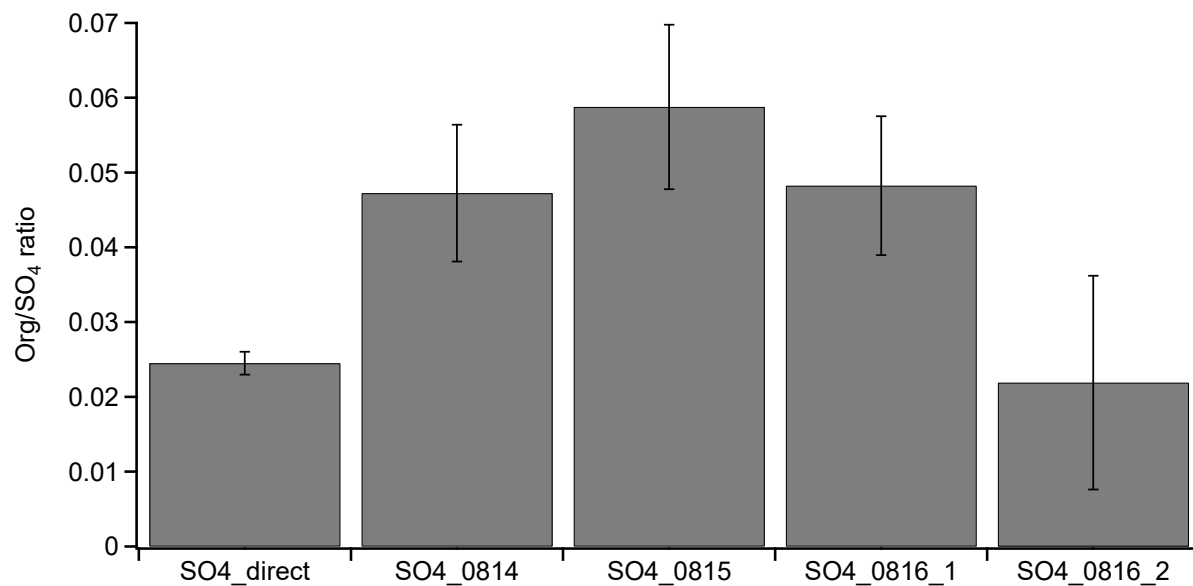
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2659 Fig. B4. The Org/SO₄ ratio in sulfate perturbation experiments and laboratory tests by directly
2660 atomizing H₂SO₄ + MgSO₄ mixture solution into AMS (i.e., SO₄_direct).

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2662 Table B1. Experimental conditions for sulfate perturbation experiments.

Perturbation	Expt ID ^a	Date	Injection Time	Perturbation Amount ^b	NO ^c (ppb)	NO ₂ ^c (ppb)	O ₃ ^c (ppb)
sulfate	SO4_0814	8/14/2015	13:32	16.29	0.51	5.86	59.8
	SO4_0815	8/15/2015	14:12	14.33	0.18	4.79	63.0
	SO4_0816_1	8/16/2015	12:46	14.52	0.36	4.08	53.2
	SO4_0816_2	8/16/2015	21:53	13.92	0.03	5.40	35.6

2663 ^aExpt ID is named as “perturbation species + date + experiment number”. For example,
 2664 SO4_0816_1 represents the first sulfate perturbation experiment on 08/16.

2665 ^bThe unit for the perturbation in sulfate experiments is $\mu\text{g m}^{-3}$. The perturbation amounts of sulfate
 2666 are calculated from Chamber_Af – extrapolated Chamber_bf.

2667 ^cAverage concentration during the Chamber_Af period.

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