Response to Reviewers

Dear Editors and Reviewers:

Thank you for your letter and the reviewers' comments regarding our manuscript entitled "Influence of boundary layer structure on air quality in Beijing: Long-term analysis based on self-organized maps" (ID: ACP-2017-1046). These comments have greatly improved the quality of our manuscript and are of important help to our future research. We have addressed the comments carefully and made changes accordingly which we hope satisfying the reviewers. The relevant changes are marked in red in the marked-up manuscript.

Responses to the reviewer's comments:

Reviewer #1:

This manuscript uses an unsupervised machine learning to understand the relationships between boundary layer structure and air quality. The analyses are based on four year measurements, and long-term analysis of measurement is quite limited in China. I would recommend it for publication after some improvements.

Thank you for the positive comments. They encouraged us very much.

Detailed comments are listed below:

1. The authors should carefully check the language and grammar. For example, 'feather' is used many times, but it should be 'feature'. Language problems are in other places as well.

Reply: Thanks for the comment. We checked the language and grammar and re-wrote some sentences in the revised manuscript.

2. Line 63-64: There is no evidence to support this point. Weak surface wind and stable boundary layer stratification do not necessarily mean regional transport cannot happen. Many studies have confirmed the roles of regional transport during haze.

Reply: Thanks for the useful comment. As you said, the transport can occur at any time in the presence of wind, regardless of wind speed. In our previous manuscript, the relative contributions of local accumulation and regional transport were discussed by comparing the ratio of CO/SO_2 under the different stability conditions. However, in the revised process, we found that the ratio of CO/SO_2 may be not an efficient indicator for long-term analysis because SO_2 can be reduced by chemical processes. The previous conclusions based on the ratio of CO/SO_2 were indefinite and therefore removed in the revised paper.

3. The title of 3.2 'Evaluation against meteorological data' is not appropriate. Fig. 3 shows the characteristics of meteorological variables for each classified type

Reply: Thank you for the excellent comments. We merged the meteorological analysis into one section and changed the title in the revised paper. Accordingly, we changed the other sections' title. "3.1 Self-organized boundary layer meteorology" (Line 157).

"3.2 Implementing the SOM-based ABL classification scheme for urban air quality assessment" (Line 215).

"3.3 Quantifying the contribution of ABL anomaly to typical-month PM_{2.5} air quality" (Line 304).

4. Line 229-239: This explanation is not solid, at least not complete. It is more likely that the increasing stability promotes the accumulation of aerosols, and strong aerosol-radiation interactions inhibit photochemistry.

Reply: Thanks for the useful comment. We explained the response of O_3 to different near-surface stability from both physical and chemical perspectives in the revised paper.

"However, increasing atmospheric stability has the opposite effect on near-surface O_3 concentrations. Since aerosols can absorb and reflect solar radiation and thereby inhibit the photochemical production of O_3 (Gao et al., 2016;Kaufman et al., 2002), the lowest O_3 concentration is observed in Node 9. In addition, considering that ozone is mainly produced in the upper ABL, near-surface O_3 should be strongly modulated by down-mixing processes (Tang et al., 2017b;Tang et al., 2017a). In this light, the varying daytime O_3 peaks across the ABL types can be partly attributed to the various magnitudes of vertical mixing. This is supported by daytime BLH. As have shown in Fig. 4, the daytime BLH is highest in Node 1, followed by Node 7, Node 3 and the lowest in Node 9. Such ordering is generally consistent with the daytime O_3 peaks in these types. Due to the persistent down-mixing caused by strong wind shears, the near-surface O_3 remains a relatively high nocturnal concentration (e.g. about 45 µg/m³ in winter) in Node 1. In contrast, the stable nocturnal conditions (e.g., Nodes 9, 7 and 3) are commonly associated with low O_3 concentration (e.g. about 16 µg/m³ in winter) due to the lack of vertical mixing, as well as the strong chemical titration by NO emitted from vehicles." (Lines 271-282)

Reviewer #2:

General comments

The paper deals with the influence of boundary layer structure on air quality using 4-year observations. The article presented very interesting study between air pollutants and meteorology, and the study built on very good meteorological measurements data. The paper is certainly worth of publishing as the study itself is extremely interesting. However, some improvements/corrections are suggested.

Thank you very much for the positive comments. We have addressed each of the concerns you've brought up here through our responses below.

Specific comments

1. The title should be modified. Throughout the manuscript, I haven't found the description concerning the calculation of the BLH. Therefore, the title using boundary layer structure is not exactly correct. Maybe atmospheric stability or boundary layer meteorology are much better. In addition, 4-year is not long-term for the observation period. In section 3.4, the authors also discussed the impact of the aerosols on BLH. However, the title just represented the influence of boundary layer meteorology on air quality. I suggest removing section 3.4 or please revise the title correspondingly.

Reply: Thanks for the useful comments. We changed the title in the revised paper. In addition, according to your suggestion, we removed section 3.4 in the revised paper.

"Self-organized classification of boundary layer meteorology and associated characteristics of air quality in Beijing" (Lines 1-2)

2. The radiosondes the authors used mainly represent the stable condition of the boundary layer. However, the air pollutants were observed in the whole day. Especially, the AOD data were just observed during the daytime. As well known, the boundary layer height will develop rapidly during the daytime. Lacking of the daytime observations of boundary layer structures, how about the creditability of the relationship of air pollutants and boundary layer meteorology?

Reply: Thanks for the excellent comments. We estimated the daytime boundary layer height (BLH) with parcel method to detect the boundary layer development after sunrise in the revised manuscript. In general, the daytime boundary layer heights are relatively flat after an extremely stable night, reflecting an insufficient space for vertical mixing in the day. These BLH results together with the classified ABL types jointly supported the analysis on the relationship of air

pollutants and boundary layer meteorology.

"To detect the boundary layer development after sunrise, daytime boundary layer height (BLH) is estimated with parcel method (Holzworth, 1964, 1967), i.e., intersecting each day's 08:00 radiosonde potential temperature (θ) profile at Beijing Observatory with each hour's (from 09:00 to 15:00) surface θ values, which are calculated from surface air temperature observations. As shown in Fig. 4, the BLH on the days following a strong stable night (i.e., Node 1) is relatively flat, reflecting an inadequate development of daytime boundary layer. Similarity, Node 3 is also followed by a flat daytime BLH variation. The maximum BLH in these two types are lower than 900 m, indicating a limited space for vertical mixing in the day. In contrast, the afternoon BLH in Node 7 can reach up to 1100 m; this mixing depth is conducive to dilute the pollutants accumulated in the previous night. In Node 1, the convective boundary layer develops well, and its maximum height on average exceeds 1500 m, far higher than the values in other types." (Lines 201-210)

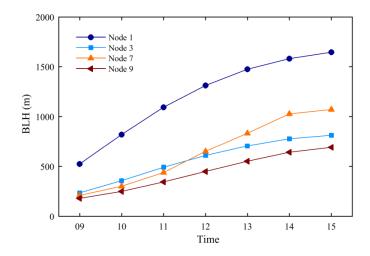


Figure 4. Daytime boundary layer height (BLH) estimated for the four typical ABL types (i.e., Nodes 1, 3, 7 and 9).

3. The instruments of US Embassy for PM are not the same as the MEP. Do you consider the differences?

Reply: Thanks for the comment. The $PM_{2.5}$ values in the two different dataset showed high consistence. We clarified it in the revised paper.

"The mass concentrations of atmospheric pollutants (including PM_{2.5}, O₃, NO₂, SO₂ and CO) over Beijing during the period from 2013 to 2017 are obtained from the Ministry of Environmental Protection of the People's Republic of China (http://datacenter.mep.gov.cn/). In addition, hourly PM_{2.5} measured at the Beijing US Embassy (http://www.stateair.net/) are also used in this study. The $PM_{2.5}$ values in the two datasets show a well consistence with a mean correlation coefficient of 0.94. The mean hourly standard error of $PM_{2.5}$ across sites changes little from 12.6 to 12.9 after the inclusion of US Embassy." (Lines 112-117)

4. For the boundary layer meteorology, potential virtual temperature and Richardson number are much better indicators to do the SOM analysis. Why the authors using temperature to do the SOM analysis? I suggest using potential virtual temperature to do the classification of different nodes.

Reply: This excellent comment is highly appreciated. We used virtual potential temperature to perform the classification of boundary layer meteorology in the revised manuscript.

"We construct a 3 \times 3 SOM matrix for daily virtual potential temperature deviation profiles, and the self-organized output shown in Fig. 1 represents nine ABL types (i.e., SOM nodes)." (Lines 159-160)

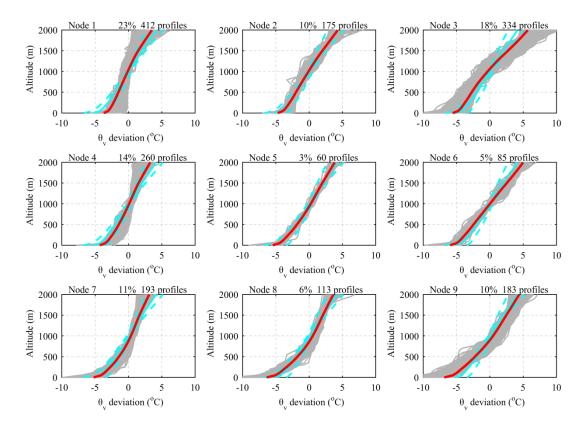


Figure 1. The 3 × 3 SOM output for radiosonde-based virtual potential temperature (θ_v) deviation profiles observed at the Beijing Observatory. SOM nodes are shown in red, with the corresponding individual profiles in grey. For reference, the overall average θ_v deviation profile and 25th and 75th percentile profiles are shown in cyan. The top-right shows the occurrence cases and frequency of each SOM node.

5. How to evaluate atmospheric stability? Do you have the quantitative basis? Node 3 represents slow wind, high humidity and more stable than node 7.

Reply: Thanks for the useful comment. We used the virtual potential temperature gradient profile to quantify the atmospheric stability at different heights (the larger gradient suggests the stronger stability) in the revised paper. We discussed the atmospheric stability throughout the ABL. For Node 3, it represents a strongest stability in the upper ABL compared to other nodes.

"Figure 3 displays the average profiles of wind speed, relative humidity, virtual potential temperature gradient according to the ABL types..." (Lines 181-194)

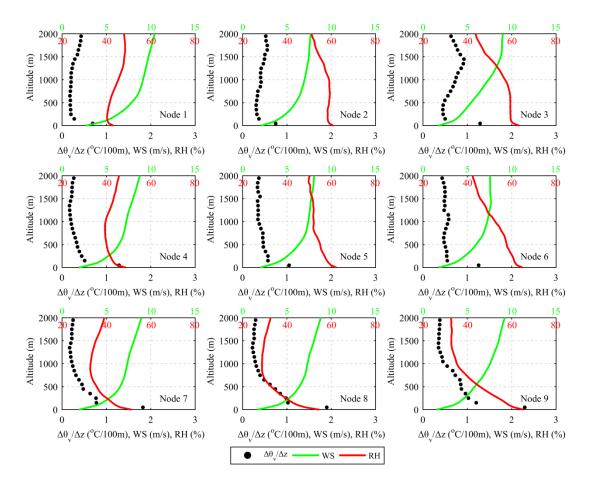


Figure 3. Profiles of average wind speed (WS), relative humidity (RH) and virtual potential temperature gradient ($\Delta \theta_v / \Delta z$) corresponding to individual ABL types (i.e., SOM nodes) at the Beijing Observatory. The black, green and red labels of the horizontal axis correspond to $\Delta \theta_v / \Delta z$, WS and RH, respectively.

6. Because emissions are different in different seasons, I suggest discussing the concentrations of air pollutants in different nodes for each season.

Reply: Thanks for the useful comments. We discussed the concentrations of air pollutants in different nodes separately by seasons, and therefore re-wrote the results in the section 3.2 of the revised paper. (Lines 215-302)

In addition, to increase the sample size, we included the observations in 2017 into analysis in the revised paper. (Lines 94-95 and Lines 112-115)

7. For the boundary layer ozone analysis, please refer Tang et al., 2017a, 2017b.

Reply: Thanks for the comments. We read the two papers carefully and referred them in our boundary layer ozone analysis.

"In addition, considering that ozone is mainly produced in the upper ABL, near-surface O_3 should be strongly modulated by down-mixing processes (Tang et al., 2017b;Tang et al., 2017a). In this light, the varying daytime O_3 peaks across the ABL types can be partly attributed to the various magnitudes of vertical mixing."(Lines 273-276)

Technical comments

1. Line 48. Beijing has two directions adjacent to mountains. The west one is Tai hang Mountains and two north one is Yan Mountains.

Reply: We corrected it in the revised manuscript.

"Beijing, the capital of China, is geographically located at the northwestern border of the Great North China Plain. This city is surrounded by the Yan Mountains to the north and the Taihang Mountains to the west, with the Bohai Sea to the 160 km southeast (Fig. 1)."(Lines 48-50)

2. Line 56. Ground-based remote sensing.

Reply: We corrected it in the revised paper.

"In addition, numerous intensive ABL measures were conducted using other approaches such as mooring boats, airplane, and ground-based remote sensing (Tang et al., 2015;Zhu et al., 2016;Zhang et al., 2009;Hua et al., 2016)." (Lines 60-62)

3. The second paragraph in the introduction section is too long. Please separate it.

Reply: We separated the second paragraph in the revised paper. (Lines 48-68)

4. Section 3.3 is too long, please use subtitle to separate it into several small parts.

Reply: Thanks for the comments. We shorten this section in the revise process and therefore did not use subtitle in the revised paper. In this section, we removed the discussion about local contribution derived from ratio of CO/SO_2 . Considering SO_2 are chemical reactive, the chemical process can disrupt the analysis of relative contribution of local accumulation and regional transport.

Other changes:

- To reflect more daytime concentration after the different stability nights, the daily concentration is performed afternoon-to-afternoon (15:00 h-15:00 h) in the revised paper. In the previous manuscript, the daily concentration was calculated from noon-to-noon (12:00 h-12:00 h).
- 2. We included the observations in 2017 into analysis in the revised paper. Some according change occurred in the section 3.3 (Quantifying the contribution of ABL anomaly to typical-month PM_{2.5} air quality). Particularly, the results from the meteorology-to-environment method had some difference after the inclusion of observations in 2017. Given that, we updated the results in the revised manuscript.
- 3. We excluded PM_{10} in the revised paper because to a large extent its characteristics can be represented by $PM_{2.5}$.

1	Influence of boundary layer structure on air quality in Beijing: Long-term analysis based on self-organizing maps
2	Self-organized classification of boundary layer meteorology and associated
3	characteristics of air quality in Beijing
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16	
17	Abstract
18	Self-organizing maps (SOMs; a featherfeature-extracting technique based on an unsupervised machine learning
19	algorithm) are used to classify the atmospheric boundary layer (ABL) meteorology types over Beijing by through
20	detecting topological relationships among the $45-year$ (2013–20162017) radiosonde-based virtual potential
21	temperature profiles. The resulting classified ABL types ABL types are then examined in relation to near-surface
22	air quality, including surface pollutant concentrations concentrations and columnar aerosol properties, to
23	understand the regulating modulation effects of the changing different ABLABL -meteorologystructures on
24	Beijing's air quality. The SOM provides nNine ABL types (i.e., SOM nodes) are obtained through SOM
25	classification technique, and each type is is characterized by with distinct dynamic and thermodynamic conditions.
26	In general, the self-organized ABL types are able to distinguish between high and low loadings of near-surface
27	pollutants. The average concentrations of PM _{2.5} , NO ₂ and CO dramatically increased from the near neutral (i.e.,
28	Node 1) to strong stable conditions (i.e., Node 9) during all seasons except summer. Since extremely strong
29	stability can isolate the near-surface observations from the influence of elevated SO ₂ pollution layers, the highest

- 30 average SO₂ concentrations are typically observed in Node 3 (a layer with strong stability in the upper ABL)
- 31 rather than Node 9. On average, SO₂, NO₂, CO, PM₁₀ and PM_{2.5} increase 120–220 % from a near neutral (i.e.,

node 1) to strong stable condition (i.e., node 9). In contrast, near-surface O3 shows an opposite dependence on 32 atmospheric stability, with the lowest average concentration in Node 9. The ABL controls on diurnal cycles of 33 pollutants are as follows: (1) elevated inversion enhances the afternoon baseline; and (2) surface inversion 34 improves the evening increment. Comparing the CO/SO2 ratios for the different ABL types demonstrates that the 35 local contribution increases with enhanced static stability near the ground, and it is the stable ABL stratification 36 37 rather than weak surface wind that confines the regional contribution. Due to regional transport, node 3 (dominated by elevated inversion with high relative humidity) corresponds to the most severe columnar aerosol 38 pollution, characterized by the highest optical depth (1.22) and volume concentration (0.30 μ m³/ μ m²). The larger 39 aerosol radiative forcing (ARF) within the atmosphere (> 60 W/m²) in nodes 3, 6 and 9 is likely to strengthen the 40 atmospheric stability and thus induce a positive feedback loop for causing high surface pollution. Analysis of 41 three typical pollution period-months (i.e., January 2013, December 2015 and December 2016) suggests that the 42 43 ABL types are the primary drivers of day-to-day variations in Beijing's air quality. Assuming a fixed relationship 44 between ABL type and PM_{2.5} loading for different years, the relative (absolute) contributions of the ABL anomaly to elevated $PM_{2.5}$ levels are estimated to be 58.3 % (44.4 μ g/m³) in January 2013, 46.4 % (22.2 μ g/m³) in 45 December 2015, and 73.3 % (34.6 µg/m³) in December 201665.8 % (46.2 µg/m³) during January 2013, 46.7 % 46 $(20.2 \text{ }\mu\text{g/m}^3)$ during December 2015, and 94.6 % $(35.3 \text{ }\mu\text{g/m}^3)$ during December 2016. 47

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49 **1 Introduction**

The atmospheric boundary layer (ABL) is the section of atmosphere that responds directly to the flows of mass, 50 51 energy and momentum from the earth's surface (Stull, 1988). Since most air pollutants are emitted or chemically produced within this layer, its evolution plays an important role in transport The atmospheric boundary layer (ABL) 52 53 is the section of atmosphere that responds directly to the flows of mass, energy and momentum from the earth's 54 surface, characteristically at timescales of an hour or less (Stull, 1988), dispersion and deposition of air pollutants-Most air pollutants are emitted or chemically produced within this layer and its evolution plays an important role 55 in determining the dispersive and chemical properties of pollutants (Chen et al., 2012;Fan et al., 2008;Whiteman 56 et al., 2014;Platis et al., 2016;Wolf et al., 2014;Wu et al., 2013). The ABL structure is determined by complex 57 interactions between atmosphere static stability and those mechanical processes (such as wind shear from synoptic 58 or terrain-induced flows) (Stull, 1988; Chambers et al., 2015b). These processes can operate at a variety of 59 different heights and temporal scales, and their dominance may vary considerably with height and time at any 60 given location (Salmond and McKendry, 2005). This makes it very difficult to observe and predict the transport 61 and diffusion of air pollutants within the ABL (Chambers et al., 2015b; Chambers et al., 2015a), particularly in 62

those complex-terrain regions such as Beijing.(Stull, 1988)Therefore, characterizing typical ABL conditions
 associated with high pollution levels helps to better understand the role of ABL in governing the transport and
 distribution of pollutants in the atmosphere.

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67 Beijing, the capital of China, is suffering serious air pollution problems. This city is geographically 68 geographically located at the northwestern border of the Great North China Plain. This city and has is surrounded by the Yan Mountains to the north and the Taihang Mountains to the westthree directions that are 69 70 adjacent to mountains., with- the Bohai Sea to the 160 km southeast (Fig. 1). Under favorable weather conditions 71 (e.g., stagnant weather), The closest coast from the city of Beijing is the Bohai Sea, which is 160 km southeast of 72 the city. Tterrain-related circulations can therefore be well developed over Beijing and its surroundings-under 73 favorable weather conditions, leading to a complex ABL-ABL thermodynamic structure, which is thought to 74 substantially affect Beijing's Beijing's air quality (Hu et al., 2014; Miao et al., 2017; Ye et al., 2016; Gao et al., 2016;Xu et al., 2016). With high emissions of air pollutants from anthropogenic sources, Beijing is suffering 75 serious air pollution problems and the pollution can be even more severe when southwesterly and southeasterly 76 77 winds prevail within the ABL (Chen et al., 2008; Ye et al., 2016; Zhang et al., 2014; Zhang et al., 2012a).

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79 Several studies used tower based observations to investigated the interactions between boundary layerABL 80 meteorologydynamics and air pollution formation quality in Beijing using tower-based observations –(Sun et al., 2013;Sun et al., 2015;Guinot et al., 2006;Guo et al., 2014). However, the results are not ideal because the 81 82 tower-based observationsthey have a low observational height (325 m). In addition, nNumerous intensive ABL measures were conducted using other approaches, such as mooring boats, airplane, and ground-based remote 83 sensing (Tang et al., 2015;Zhu et al., 2016;Zhang et al., 2009;Hua et al., 2016). However, sSince these approaches 84 85 are complex, expensive and labor intensive, they are often restricted to the duration of specific research campaigns and hence their results may be considered 'unrepresentative'. Overall, the existing knowledge of linkages between 86 87 ABL meteorologystructure and air quality in Beijing is drawn largely from either low observational height or short observational duration. Due to the lack of long term effective observations; therefore, the common patterns of the 88 influence influence of the changing ABL ABL structures on Beijing's air quality remains relatively unclear unclear 89 90 and need to be further studied (Quan et al., 2013; Miao et al., 2017; Guo et al., 2014). For example, many case studies (Jia et al., 2008;Zheng et al., 2015;Hua et al., 2016;Li et al., 2016) claimed that rapid growth of PM2.5 in 91 Beijing is mainly attributable to the regional transport of the polluted air mass. This view is occasionally 92 93 questionable, as it is known that the polluted episodes tend to occur with a weak surface wind and stable boundary

94 layer stratification, which are unfavorable for transport (Zhu et al., 2016;Tang et al., 2015). Given these
 95 uncertainties, there is an urgent need to investigate and determine the common patterns of ABL structure influence
 96 on Beijing's air quality.

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On the other handMeanwhile, the long termroutine radiosondes are not being fully utilized to investigate urban 98 99 pollution issues. The advantage of radiosondes over the other approaches seems to be their length, which usually 100 spans several decades. For a long time, it was challenging to reduce the wealth of radiosonde datasets to 101 characterize the ABL structure, and therefore, radiosondes remained in a very limited use in case studies (Ji et al., 102 2012; Zhao et al., 2013; Gao et al., 2016). Recently, self-organizing maps (SOMs; a featherfeature-extracting 103 technique based on an unsupervised machine learning algorithm) (Kohonen, 2001) were introduced to investigate 104 the ABL thermodynamic structure, indicating the capabilities of SOMs in feather-feature extraction from a large 105 dataset of the ABL measurements (Katurji et al., 2015). In fact, the SOM has become an increasingly popular 106 application in atmospheric and environmental sciences during the past several years (Jensen et al., 2012; Jiang et al., 2017; Gibson et al., 2016; Pearce et al., 2014; Stauffer et al., 2016), including a radiosonde-based first 107 108 application of routine radiosondes in South Africa (Dyson, 2015). However, there is thus far no SOM application 109 in <u>air</u> pollution-related ABL structure research. It is expected that such a new analytical approach can tap the 110 potential of routine radiosondes to better reveal understand urbanthe air pollutionABL mechanism of air pollution 111 in Beijing.

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In this study, a long term analysis regarding This study investigates the influence of ABL structure-meteorology 113 on Beijing's air quality is performed based on the SOM application of SOMs to 4-5 years (2013-20162017) of 114 routine meteorological radiosonde-measurements. First, we use tThe SOM-is first used technique to classify the 115 116 state of ABL through detecting topological relationships among the radiosonde-based virtual potential temperature profilesvertical temperature profiles for identifying predominant ABL types _(see section 3.1). Then, A selection 117 of climatological observations is then subdivided according to the SOM-based ABL classification (see section 3.2). 118 Finallywe, we provide a visual insight into air quality near-surface pollutant variations (including surface 119 pollutant concentrations and columnar aerosol properties) under various ABL conditions types and discuss the 120 potential physical mechanisms behind their relationships (see section 3.32-3.53). It is expected that such an 121 association between air quality and ABL type could provide local policy makers with useful information for 122 123 improving the predictions of urban air quality.

124

125 2 Materials and methods

126 2.1 Data preparation and preprocessing

127 The recent 5-year (2013–2017) rRadiosonde data observed at the Beijing Observatory (39.81 N, 116.48 E, 128 WMO station number 54511) were collected from the University of Wyoming (http://weather.uwyo.edu/). The data cover the recent 4-year period from 2013 to 2016. The radiosondes were Beijing Observatory-launcheds a 129 130 routine radiosonde twice a day (08:00 and 20:00 Beijing-Local Time-(BJT), corresponding to the morning and evening, respectively) and provides provided atmospheric sounding data (profiles of temperature, relative 131 humidity, wind speed, etc.) at the mandatory pressure levels (e.g., surface, 1000, 925, 850, 700 hPa) and 132 133 additional significant levels. In addition, the hourly near-surface meteorological parameters (including 134 temperature, wind speed and relative humidity, etc.) in 2013-2016 arewere collected from the Beijing Meteorological Bureau. 135

(Li et al., 2012)In addition, the hourly near surface meteorological parameters (including temperature, wind speed and relative humidity) are also collected from the Beijing Meteorological Bureau.

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139 We chose the 2000 m above ground level-(AGL) as the upper limit of the ABL based on a number studies 140 investigating the ABL height over Beijing or North China (Tang et al., 2016;Guo et al., 2016;Miao et al., 2017). 141 This height exceeds exceeded the top of the ABL-height in most cases, and therefore, most ABL processes 142 influencing the near-surface air quality are-were included in the analysis herein. In our study period, the average number of data points in radiosonde profiles was 3.7 below 500 m and 10.1 below 2000 m. Despite the coarse 143 144 resolution, the profile shapes were enough for SOM technique to classify the state of ABL. Previous radiosonde-based study indicated that surface temperature inversions occur frequently in the eastern China (Li et 145 al., 2012), suggesting that all of the two-time radiosondes mainly represent the nocturnal stable ABL. We classify 146 147 the daily ABL types using the SOM algorithm. To keep a whole night, the daily ABL vertical profiles are were composited from the radiosonde measurementradiosondes at 20:00 and 08:00 of the next day. In addition, the 148 hourly near-surface meteorological parameters (including temperature, wind speed and relative humidity) are also 149 150 collected from the Beijing Meteorological Bureau.

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The mass concentrations of atmospheric pollutants (including SO_2 , NO_2 , CO, O_3 , PM_{10} and $PM_{2.5}$, O_3 , NO_2 , SO_2 and CO) over Beijing during the period from 2013 to 2016-2017 are obtained from the Ministry of Environmental Protection of the People's Republic of China (http://datacenter.mep.gov.cn/). In addition, hourly $PM_{2.5}$ measured at the Beijing US Embassy (http://www.stateair.net/) are also used in this study. The $PM_{2.5}$ values in the two datasets show a well consistence with a mean correlation coefficient of 0.94. The mean hourly standard error of PM_{2.5}
across sites changes little from 12.6 to 12.9 after the inclusion of US Embassy. Hourly concentrations are
calculated for the Beijing urban area by averaging concentrations from nine urban sites (including Dongsi,
Guanyuan, Tiantan, Wanshouxigong, Aotizhongxin, Nongzhanguan, Gucheng, Haidianwanliu and US Embassy).
<u>TTo maintain consistency with ABL classification, the daily pollutant concentration is then performed from</u>
afternoon-to-afternoon (152:00 h-152:00 h), in order to include one whole night in each 24-h period.

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In addition to near surface observations, columnar aerosol parameters (including aerosol optical depth (AOD),
 Ångström exponent (AE), single scattering albedo (SSA), volume particle size distribution (dV/dlnR), aerosol
 radiative forcing (ARF) and so on) are also collected from the AERONET Beijing (39.98[°]N, 116.38[°]E) and
 Beijing CAMS (39.93[°]N, 116.32[°]E) sites. The level 2.0 quality assured columnar aerosol data from 2013 to 2016
 are downloaded from the AERONET data archive (http://aeronet.gsfc.nana.gov). The size distribution is retrieved
 in 22 logarithmically equidistant bins in a range of sizes from 0.05 to 15 µm through a combined spherical and
 spheroid particle model (Dubovik and King, 2000;Dubovik et al., 2006).

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171 2.2 Self-organizing maps technique

172 The SOM is thought to be an ideal tool for featherfeature extraction because the input data are treated as a continuum without relying on correlation, cluster or eigenfunction analysis (Liu et al., 2006). Since Kohonen 173 174 (1982) first proposed SOM, it has been widely used for data downscaling and visualization in various disciplines 175 (Jensen et al., 2012;Katurji et al., 2015;Dyson, 2015;Stauffer et al., 2016;Pearce et al., 2014;Jiang et al., 2017). In 176 this study, the SOM is introduced to classify the ABL structures types through detecting topological relationships among the 5-year (2013-2017) radiosonde-based virtual potential temperature profiles. Since the SOM is sensitive 177 to the virtual potential temperature value, the deviation profiles, which are determined by subtracting the mean 178 virtual potential temperature of each profile from each level, are used as the SOM input. We use the code from the 179 180 MATLAB SOM Toolbox, which is freely available from http://www.cis.hut.fi/projects/somtoolbox/.

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182 The training of SOM is an unsupervised, iterative procedure, and the result is a matrix of nodes (i.e., types) that 183 represent the input data. The following provides a simple introduction about the SOM algorithm, and the details 184 can be found in Kohonen (2001). SOM training is an unsupervised, iterative procedure, and the result is a matrix 185 of nodes (i.e., types) that represent the input data. To learn from the input data, every SOM node has a parametric 186 reference vector with which it is associated, and these reference vectors are randomly generated. After initialization of the reference vectors, a stochastic input vector is compared to every reference vector, and the closest match, named the best-matching unit, is determined by the smallest Euclidean distance. Each reference vector is then updated so that the best-matching unit and its neighbors become more like the input vector. Whether or not a reference vector learns from the input vector is determined by the neighborhood function. Only reference vectors that are topologically close enough to the best-matching unit will be updated according to the SOM learning algorithm.

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194 To exclude the influence of actual temperature values, temperature deviation profiles, which are determined by 195 subtracting the mean temperature of each profile from each level in the profile, are used as the SOM input in this 196 study. The first step of SOM training is to determine a matrix size of nodes for initializing the reference vectors. 197 This step is performed subjectively and depends on the degree of generation required (Lennard and Hegerl, 2015). 198 We test several SOM matrixes, and finally select a 3×3 matrix, because it captured unique profiles without the 199 profiles being too general as with a smaller matrix, or being too similar as with a larger matrix. To exclude the influence of actual temperature values, temperature deviation profiles, which are determined by subtracting the 200 201 mean temperature of each profile from each level in the profile, are used as the SOM input in this study. In 202 addition The batch mode is chosen to execute the SOM algorithm., the batch mode is chosen to execute the SOM 203 algorithmThis mode, because it is much more computationally efficient compared to the sequence mode. The 204 other user-defined settings in the SOM software are set at the default, such as the hexagon topology, Gaussian 205 neighborhood function, etc. The SOM code used in this study is sourced from the MATLAB SOM Toolbox, which 206 is freely available from http://www.cis.hut.fi/projects/somtoolbox/.

207

208 <u>2.3 Measuring the discriminative power of SOM technique for pollution assessment</u>

The Kruskal-Wallis one-way analysis of variance is used as a non-parametric method to test the difference of
 pollutant concentrations among the various ABL types. A 1% significance level is used and hereafter denoted as
 KW in Sect. 3.2. Furthermore, the coefficients of variation (*CV*) of pollutant means across the various ABL types
 are also used to examine the discriminative power of the SOM technique for pollution assessment.

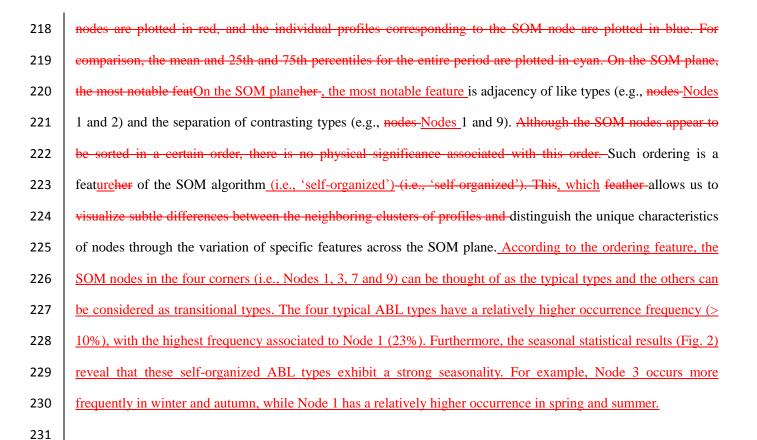
213

214 **3 Results and discussion**

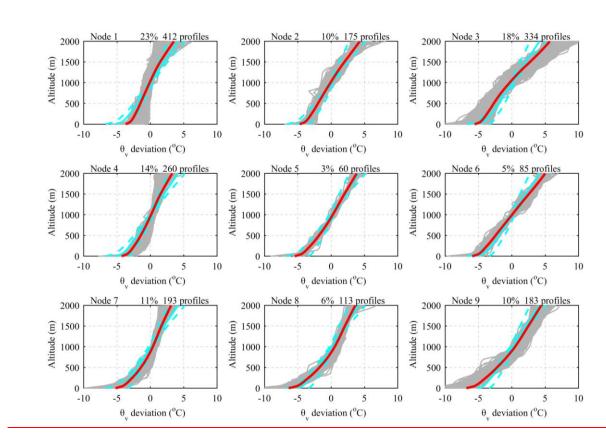
215 **3.1 Self-organized** ABL typesboundary layer meteorology

216 We constructed a 3×3 SOM matrix for daily <u>virtual potential temperature-</u>deviation profiles, and

217 the SOM self-organized output shown in Fig. 1-1 represents nine ABL types (i.e., SOM nodes). In Fig. 1, the SOM







233

234

Figure 1. The 3 \times 3 SOM output for radiosonde-based virtual potential temperature (θ_v) deviation profiles observed at the Beijing Observatory. SOM nodes are shown in red, with the corresponding individual 235 <u>profiles in dark bluegrey.</u> For reference, the overall average θ_v temperature deviation profile and 25th and

237 <u>75th percentile profiles are shown in cyan. The top-right shows the occurrence cases and frequency of each</u>

238 <u>SOM node.</u>



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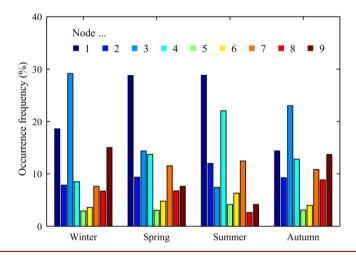


Figure 2. Seasonality of SOM nodes shown as the relative frequency of seasons within each SOM nodeRelative frequency of individual ABL types (i.e., SOM nodes) in all four seasons. Winter (DJF); Spring (MAM); Summer (JJA); Autumn (SON).

The SOM classification reveals that for the whole study period, the ABL is dominated by near neutral to strong 246 247 stable conditions, as none of the SOM nodes fall within the unstable category (i.e., super adiabatic condition). The results are reasonable, considering the daily temperature profile is composited from 20:00 and 08:00 248 249 measurements. According to the SOM ordering feather, the SOM nodes in four corners (i.e., nodes 1, 3, 7 and 9) 250 can be thought of as the typical ABL types and the others can be considered transitional ABL types. It is clear 251 from the individual profiles in Fig. 1 that node 1 represents the well-mixed (near neutral) condition with no 252 temperature inversion, node 3 indicates the ABL type dominated by elevated inversion, node 7 indicates the ABL type dominated by surface inversion, and node 9 represents the ABL type associated with multiple inversions (i.e., 253 254 including surface and elevated inversions).

255

Frequency analysis of the nine ABL types indicates that the frequency distribution across the types is quite
varied from the expected 11.1 %, with the occurrence frequency showing a 5:1 range from the most frequent type
(node 1) to the least frequent type (node 5). The higher frequency types are presented on the outer portions of the
SOM plane, while lesser frequency types are presented closer towards the center (top right in Fig. 1). The most
dominant types are nodes 1 and 3, and their occurrence frequencies reach 22 % and 20 %, respectively. As

synoptic circulations change with the seasons over Beijing, the ABL types are expected to correspond to
seasonality. The number of profiles from each season in each ABL type is expressed as a percentage and is shown
in Fig. 2. All of the types exhibit strong seasonality. For example, node 1 has the highest occurrence in spring
(29.4 %) and the lowest occurrence in autumn (13.7 %); node 9 presents the highest occurrence in winter (16.3 %)
and the lowest occurrence in summer (4.9 %).

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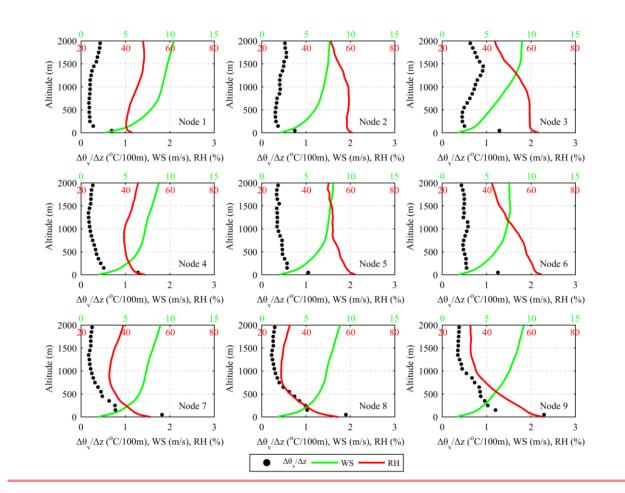
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3.2 Evaluation against meteorological data

268 Figure 3 displays the average profiles of wind speed, relative humidity, virtual potential temperature gradient according to the ABL typesFig. 3 shows the average vertical profiles of potential temperature, wind speed and 269 270 relative humidity corresponding to each ABL type. As seen in Fig. Clearly3, each of the the self-organized ABL 271 types is associated with features distinct dynamic and thermodynamic conditions within the ABL, suggesting the SOM technique is feasible to classify the boundary layer meteorologys. Since the classification is based on the 272 273 twice-daily radiosondes, the resulting ABL types are dominated by near neutral to strong stable conditions, and none of the types fall within the unstable category (i.e., $\Delta \theta_v / \Delta z < 0$). While Node 3 features the strong static 274 stability in the upper ABL (the large $\Delta \theta_v / \Delta z$ values), Node 1 represents a near neutral ABL condition with the 275 276 lowest $\Delta \theta_{v}/\Delta z$ values and the highest wind shears in the lower ABL. In contrast, Node 7 corresponds to a 277 moderate static stability in the lower ABL, and Node 9 relates to a strong static stability. Particularly, the virtual potential temperature gradient in Node 9 remains a high level (> 0.7° C/100m) from surface to approximately 800 278 279 m, indicating a strong and deep surface temperature inversion developed in this type. In addition, due to the strong surface inversion, vertical mixing is suppressed, resulting in a strong decreasing gradient in humidity profiles. 280 Overall, the SOM classification scheme reveals a significant coupling between dynamic and thermal effects in the 281 ABL, which is expected to considerably impact the near-surface air quality. The potential temperature profiles 282 283 vary from near neutral conditions to strong stable conditions, and this change is closely related to the variance in wind speed, suggesting a strong coupling between the dynamic and thermal effects. The two extreme types (nodes 284 1 and 9) provide a very useful example. Node 9 is a very strong stable profile, and the wind speeds are very low in 285 the lower ABL. In contrast, node 1 is a well-mixed (near neutral) profile and it corresponds to significantly higher 286 wind speeds throughout the ABL. In addition, when the stability of the atmosphere is strong, vertical mixing is 287 suppressed and winds in the lower ABL become decoupled from the generally stronger wind aloft. This allows 288 289 moisture, fogs, low clouds and other scalars to build up within the stable layer. As a result, the stable ABL types 290 usually correspond to high RH in the lower ABL.

291

292 surface meteorological variables are also examined for each of the ABL types. Fig. 4 shows 293 diurnal composite plots of surface temperature, wind speed and relative humidity in the four typical ABL types. 294 As expected, these near-surface variables respond well to the changing ABL structure. Wind speeds are the highest 295 the days corresponding to near neutral conditions (i.e., node 1). High wind speeds result in a deep, 296 mechanically mixed layer, and these days also exhibited the smallest diurnal amplitude in wind speed, temperature 297 and relative humidity. Such characteristics are likely consistent with the passage of frontal systems. In contrast, 298 the smallest wind speeds are observed on days related to strong stable conditions (i.e., node 9). The stable days 299 also generally exhibit the greatest amplitude of the diurnal signals in temperature and relative humidity. This fact 300 is an indication that stable conditions occur mostly on clear sky days.



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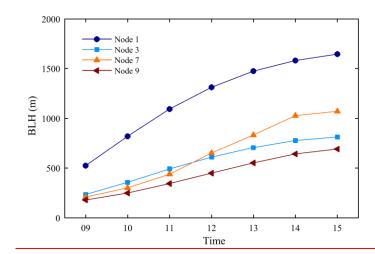
Figure 3. Profiles of average potential temperature (0), wind speed (WS)-and, relative humidity (RH) and 304 virtual potential temperature gradient ($\Delta \theta_v / \Delta z$) corresponding to individual ABL types (i.e., SOM 305 nodes)each SOM node at the Beijing Observatory. The black, green and red, green and black-labels of the 306 horizontal axis correspond to $\Delta \theta_v / \Delta z = 0$. WS and RH. respectively.

307

308

To detect the boundary layer development after sunrise, daytime boundary layer height (BLH) is estimated with

309 parcel method (Holzworth, 1964, 1967), i.e., intersecting each day's 08:00 radiosonde potential temperature (θ) 310 profile at Beijing Observatory with each hour's (from 09:00 to 15:00) surface θ values, which are calculated 311 from surface air temperature observations. As shown in Fig. 4, the BLH on the days following a strong stable 312 night (i.e., Node 1) is relatively flat, reflecting an inadequate development of daytime boundary layer. Similarity, Node 3 is also followed by a flat daytime BLH variation. The maximum BLH in these two types are lower than 313 314 900 m, indicating a limited space for vertical mixing in the day. In contrast, the afternoon BLH in Node 7 can 315 reach up to 1100 m; this mixing depth is conducive to dilute the pollutants accumulated in the previous night. In 316 Node 1, the convective boundary layer develops well, and its maximum height on average exceeds 1500 m, far 317 higher than the values in other types.



319 Figure 4. Daytime boundary layer height (BLH) estimated for the four typical ABL types (i.e., Nodes 1, 3, 7
320 and 9).

321

318

322 **3.3 Evaluation against surface air quality**

323 <u>3.2 Implementing the SOM-based ABL classification scheme for urban air quality assessment</u>

324 In the previous section, it was seen that the SOM classification scheme is an effective tool for delineation between various dynamic and thermodynamic structures within the ABL. As a further evaluation, we implement 325 326 the new classification scheme to quantify changes in various urban pollutant concentrations as a function of ABL 327 types. Since the pollutant emissions have a strong seasonality over Beijing and its surroundings, the analyses are performed for winter (December to February), spring (March to May), summer (June to August), and autumn 328 (September to November), respectively. Figure 5 shows the statistical distributions of daily pollutant 329 330 concentrations according to the nine ABL types, along with the results of Kruskal-Wallis test and the coefficients 331 of variation of pollutant means across the various types. Figure 6 displays the type-average pollutant diurnal 332 patterns composited for the four typical ABL types (i.e., Nodes 1, 3, 7 and 9).

-__The concentrations of gaseous and particulate pollutants in the atmosphere are governed by the rate at which they are emitted from their respective sources, lost by various sink mechanisms, and characteristics of the atmospheric volume into which they mix. While the mixing volume is determined primarily by the boundary layer structure, the chemical transformation also depends on boundary layer meteorology in some cases. In the previous section, it was seen that the SOM technique is an effective tool for classifying boundary layer structures. In this section, we used the classification technique to quantify the influence of the boundary layer structure on near surface air quality.

341

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Fig. 5 examines the daily concentrations of gaseous and particulate pollutants in relation to various ABL types. 342 The Kruskal-Wallis test demonstrates that the self-organized ABL types are able to distinguish between high and 343 low loadings of air pollutants, with KW < 1% in all seasons (except for summertime SO₂ with a KW value of 344 345 1.5%). Furthermore, it is found that the SOM technique has a stronger discriminative power for SO₂, PM_{2.5} and CO assessments, which is supported by relatively higher CV values (CV>0.30). According to the seasonal CV 346 347 values, this discriminative power shows a following seasonal ordering: winter > autumn > spring > summer. 348 Particularly, the wintertime CV value in PM_{2.5} assessment reaches the maxima (0.56), indicating an extremely 349 strong dependence of PM_{2.5} air quality on the changing ABL meteorology in winter. In summer, the stable 350 nocturnal ABL develops later due to the longer day (Li et al., 2012), and hence avoids the larger daytime pollutant 351 emissions, particularly the traffic peak emissions. In the absence of larger sources, the nocturnal stable layers exert a limited influence on near-surface air quality; therefore, the classified ABL types have relatively weakened 352 353 discriminative power for summertime pollution assessments. In addition, wet depositions (more precipitation in 354 summer) play an important role in modulating summertime air quality, and to some degree disrupt the linkages 355 between ABL type and air quality.

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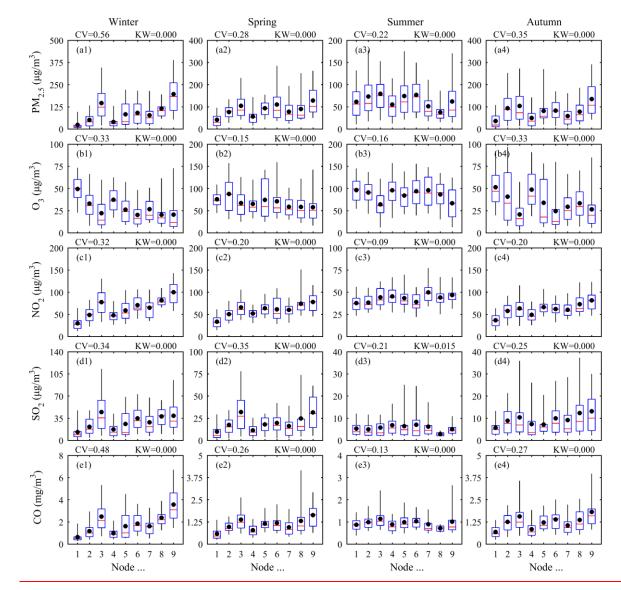


Figure 5. Daily concentrations of (a) PM_{2.5}, (b) O₃, (c) NO₂, (d) SO₂, and (e) CO in Beijing for all nine ABL
types separately in (1) winter, (2) spring, (3) summer, and (4) autumn. The solid dots denote the mean. The
box and whisker plot presents the median, the first and third quartiles, and the 5th and 95th percentiles,
respectively. The upper numbers denote the results of Kruskal-Wallis test (*KW*) and the coefficients of
variation (*CV*) of pollutant means across the various types.

363

364 In the case of $PM_{2.5}$, NO_2 and CO, the most stable atmospheric conditions (i.e., Node 9) are associated with 365 dramatically increased near-surface pollutant concentrations in all seasons except summer. The wintertime 366 average concentrations of $PM_{2.5}$, NO_2 and CO in Node 9 reach up to 197.2 µg/m³, 100.2 µg/m³ and 3.6 mg/m³, 367 respectively. These values are 3–8 times higher than that in Node 1 (i.e., near neutral condition), with the highest 368 increasing amplitude (a factor of 7.3) related to $PM_{2.5}$. As have known, Node 9 corresponds to the strongest 369 nighttime stability in the lower ABL and the lowest daytime BLH. All of these ABL characteristics are extremely 370 conducive to the accumulation of air pollutants emitted near the ground. For Node 3, the concentrations of PM_{2.5}.
 371 NO₂ and CO are the second-highest compared to those of the other types. This ABL type features the strongest
 372 stability in the upper ABL, suggesting that processes operating at the different heights throughout the ABL may
 373 have a significant impact on near-surface pollutant concentrations.

374

387

The diurnal cycles of PM2.5, NO2 and CO are extremely pronounced under the strong stable conditions, 375 although very reduced on the days with near neutral night. On average, the wintertime diurnal range of PM2.5 376 increases from 18.2 μ g/m³ in Node 1 to 95.4 μ g/m³ in Node 9. The corresponding diurnal range increase for NO₂ 377 is 18.9 to 33.6 μ g/m³, and for CO 0.2 to 1.7 mg/m³. In Node 1, the diurnal variations are characterized by a weak 378 379 two-peak pattern following the traffic rush hours, suggesting that traffic is the primary driver of these pollutants' diurnal cycles in Beijing (Liu et al., 2012). However, the diurnal effects of traffic emissions are significantly 380 381 amplified by the ABL dynamics. It is clear that the more stable conditions near the ground, the higher peak concentrations are observed. In winter, the stable ABL conditions exert a more important influence on the evening 382 traffic emissions, resulting in a broad evening peak. In contrast, the morning peak signature is much lower since 383 384 the morning emission is counteracted by the destabilization of the ABL. However, as human activities begin 385 earlier during the warm season, maximum concentrations in spring and summer are typically observed during the 386 morning rush hours.(Pernigotti et al., 2007;Chambers et al., 2015b;Crawford et al., 2016;Chambers et al., 2015a)

However, increasing atmospheric stability has the opposite effect on near-surface O_3 concentrations. Since 388 389 aerosols can absorb and reflect solar radiation and thereby inhibit the photochemical production of O_3 (Gao et al., 390 2016;Kaufman et al., 2002), the lowest average O₃ concentration is observed in Node 9. In addition, considering that ozone is mainly produced in the upper ABL, near-surface O₃ should be strongly modulated by down-mixing 391 processes (Tang et al., 2017b; Tang et al., 2017a). In this light, the varying daytime O3 peaks across the ABL types 392 393 can be partly attributed to the various magnitudes of vertical mixing. This is supported by daytime BLH. As have 394 shown in Fig. 4, the daytime BLH is highest in Node 1, followed by Node 7, Node 3 and the lowest in Node 9. Such ordering is generally consistent with the daytime O_3 peaks in these types. Due to the persistent down-mixing 395 caused by strong wind shears, the near-surface O3 remains a relatively high nocturnal concentration (e.g. about 45 396 $\mu g/m^3$ in winter) in Node 1. In contrast, the stable nocturnal conditions (e.g., Nodes 9, 7 and 3) are commonly 397 associated with low O_3 concentration (e.g. about 16 μ g/m³ in winter) due to the lack of vertical mixing, as well as 398 399 the strong chemical titration by NO emitted from vehicles.

400 As expected, the most stable conditions are associated with a dramatic increase in the mass concentrations of air

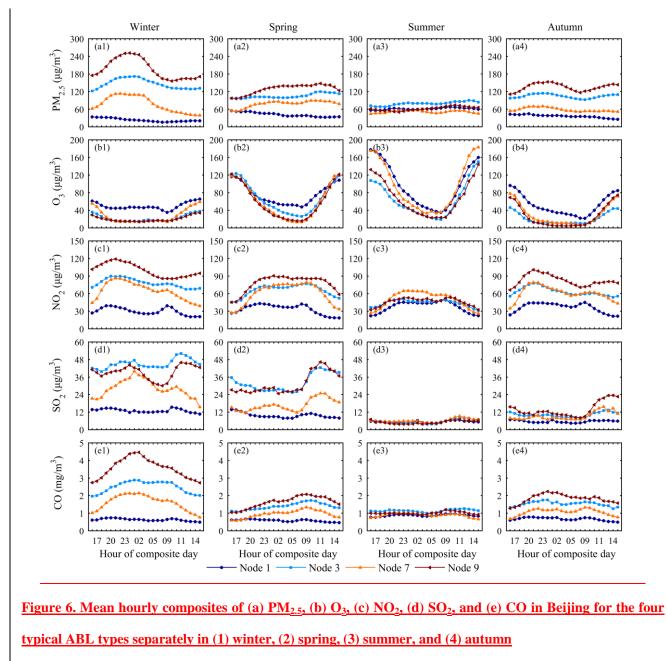
pollutants (except O₃). On average, SO₂, NO₂, CO, PM₁₀ and PM_{2.5} increase by 15.7 µg/m³ (142 %), 44.3 µg/m³ 401 (119 %), 1.5 mg/m³ (202 %), 91.6 μg/m³ (119 %) and 95.9 μg/m³ (218 %) from the near neutral ABL condition 402 (i.e., node 1) to strong stable condition (i.e., node 9), respectively. The highest increasing amplitude is related to 403 PM_{2.5}, suggesting fine particulate matters are likely accumulated from not only primary emissions but also 404 secondary formation (Zhang and Cao, 2015). As we have shown, the more stable ABL conditions tend to 405 correspond to high relative humidity in the lower ABL (Figs. 3 and 4). Additional enhancement in PM25 can be 406 407 expected under the humid condition, as it is known that the humidity-related physicochemical formation of 408 particles (such as hygroscopic growth, liquid phase and heterogeneous reactions) can be intensified by high 409 humidity values (Cheng et al., 2015; Cheng et al., 2016; Zheng et al., 2015).

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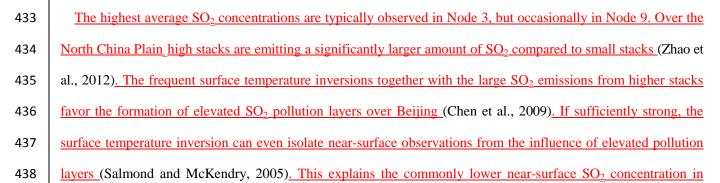
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Interestingly, increasing atmospheric stability has an opposite effect on near surface O₂-concentrations. Since 411 O_3 is produced by photochemical interactions between NO_{*} (NO + NO₂) and volatile organic compounds (VOCs) 412 (Seinfeld and Pandis, 2006), the boundary layer structure alters the O₃-level through modulation of its precursors 413 (NO_x- and VOCs). The low O_3 -level in the stable ABL can be explained by the strong titration reaction. Since O_3 is 414 highly reactive, when trapped in a stable layer, surface titration by the NO emitted from vehicles can cause a rapid 415 416 reduction in O₃ concentration. In previous studies, persistent low O₃ concentration were observed in the stable 417 boundary layer condition in Beijing (Zhao et al., 2013). Conversely, when near-surface wind speeds are higher (near neutral condition such as node 1), O₂ is mixed downward from the overlying air mass, resulting in higher 418 concentrations. Nevertheless, it is worth noting that the extremely high O₃-values (not shown) were also detected 419 on very stable days (i.e., node 9), suggesting the complexity of O₃ behavior in response to the boundary layer 420 structure (Tong et al., 2011;Haman et al., 2014). 421

423 To obtain a more in-depth understanding of the physical mechanisms behind the relationship between air quality
424 and ABL structure, diurnal composite hourly concentrations of atmospheric pollutants are formed for each ABL
425 type.



The SOM based ABL classification scheme provides a consistent, gradual distinction in the diurnal cycles of
 surface air pollutants from near neutral to strong stable conditions. The composite diurnal evolutions of air
 pollutants in the four typical ABL types (i.e., nodes 1, 3, 7 and 9) are illustrated in Fig. 6. (Tang et al., 2017b;Tang
 et al., 2017a)



439 Node 9 than that in Node 3. However, after a stable night, the burst of turbulent activity in the morning coincides 440 with a rapid increase in near-surface SO₂ concentration, resulting in a pre-noon peak. Since there is no significant 441 increase in SO_2 emission at the surface at that time, the result strongly suggests that the SO_2 peaks are due to the downward mixing from the elevated SO₂ pollution layers. Regarding the physical mechanism of the 442 noontime-peak SO₂ pattern, Xu et al. (2014) have made a detail explanation in a previous study. Nevertheless, the 443 444 wintertime SO₂ concentration signature does not always show a distinct pre-noon peak (e.g., Node 7). This may attribute to the increased SO₂ emissions from household heating in winter (Liao et al., 2017). Like other primary 445 446 pollutants, the local SO_2 emissions become trapped close to the surface under the stable nocturnal condition, 447 resulting in a much higher nighttime peak compared to the pre-noon peak.

The diurnal cycles of SO₂, NO₂, CO, PM₁₀ and PM_{2.5} are extremely pronounced under the strong stable 448 condition (i.e., node 9), although very reduced under the near neutral condition (i.e., node 1). In contrast, the 449 450 behavior of O₄ is completely different from other pollutants. The results suggest that the chemical species, which are mainly produced by surface emissions, are strongly modulated by the development of the ABL, while the 451 chemical species, which are strongly controlled by the photochemical process, are weakly regulated by the 452 development of the ABL (Crawford et al., 2016). Overall, the diurnal behavior of each pollutant species in each of 453 454 the ABL types is generally consistent with the existing knowledge for urban areas (Chambers et al., 455 2015b;Chambers et al., 2015a;Zhang et al., 2012b;Jenner and Abiodun, 2013;Han et al., 2009).

456

Of particular interest in Fig. 6 is that (1) nodes 3 and 9 have similar magnitudes of concentrations in the 457 458 afternoon, and (2) nodes 7 and 9 have similar increments in concentrations from afternoon to midnight, although 459 there is a huge distinction in the afternoon concentrations (i.e., afternoon baselines). This sheds some light on the common patterns of the ABL controls on the near-surface air quality in Beijing. Considering the thermal inversion 460 461 feather in each of ABL types (Fig. 1), the regulating effects of ABL on near surface concentrations can be concluded as follows: (1) elevated inversion enhances the afternoon baseline; and (2) surface inversion improves 462 the evening increment. Obviously, the high afternoon baselines in nodes 3 and 9 can be attributed to elevated 463 inversion, while high evening increments in nodes 7 and 9 can be attributed to surface inversion. Since surface 464 inversion usually develops shortly before sunset due to radiation cooling, the evening traffic emission peak is 465 counteracted by a stabilizing boundary layer. Consequently, the air pollutants such as NO2, CO, PM10 and PM25 466 often experience an explosive growth from afternoon to midnight. In contrast, elevated inversion usually forms 467 468 due to synoptic forcing (such as synoptic advection) (Hu et al., 2014;Xu et al., 2016) and can persist for several 469 days; as a result, the daytime mixing volume is also depressed, causing a relatively higher afternoon

470 concentration.

471

Beijing has relatively little industry but numerous automobiles, and the emissions of SO₂ are small while those 472 of CO, NO_x and particles are much larger (Zhao et al., 2012). By comparison, the diurnal behaviors of SO₂ and 473 other pollutants are completely different. For example, in node 9, SO₂ show a lower nighttime concentration but a 474 475 sharp increase after sunrise, whereas NO₂, PM₁₀ and PM_{2.5} show a higher nighttime concentration with a slight 476 morning increase associated with the traffic emission. The results largely suggest that the changing ABL structure 477 affects the near-surface observations of locally and remotely sourced pollutants in very different ways. In the 478 evening, since the stable boundary layer (SBL) and the residual layer (RL) are essentially decoupled with each 479 other (Stull, 1988), locally sourced pollutants emitted into the surface layer (such as CO, NO2 and particulate matters from vehicular emissions) become trapped close to the surface. In contrast, remotely sourced pollutants 480 481 emitted from chimneystacks above the SBL (such as SO₂ from power plants in the Hebei Province) may be stored within the RL aloft and not penetrate into the SBL. As the daytime convective turbulent mixing developed in the 482 morning, the rapid momentum transfer between the surface and aloft air transported the pollutants stored in RL 483 downward and meanwhile upwardly mixed the pollutants trapped from the previous night in the surface layer 484 485 (Salmond and McKendry, 2005). It is observed in Fig. 6 that after a stable night, the burst of turbulent activity in 486 the morning coincides with a rapid increase in SO₂ concentration (Fig. 6). Since there is no significant increase in 487 SO₂-emission at the surface at this time, this result strongly suggests that increased SO₂-in the morning resulted from the downward mixing of stored SO₂ in the RL aloft. In a previous case study, Li et al. (2017) reported that as 488 a result of both turbulent mixing and the advection of high concentrations of air pollutants above the surface layer, 489 490 the urban area of Beijing experienced a dramatic increase of the PM25 concentration in the morning on 30 491 November 2015.

492

-Given the importance of local vehicle emissions vs. more-distance power plant and industrial emissions for 493 494 Beijing's air quality, the ratio of CO/SO2 can be considered as an indicator of the contribution of local emissions to air pollution, with higher ratios indicating higher local contributions (Tang et al., 2015). Fig. 7 shows the 495 496 composite diurnal variations of CO/SO2 ratios in the four typical ABL types (i.e., nodes 1, 3, 7 and 9). The contrasts between CO/SO₂ ratios for the various ABL types are noticeable during the nighttime, whereas 497 differences during the daytime are minimal. During the daytime, when the ABL is well mixed, near surface 498 pollutant concentrations represent a combination of local and remote sources. In the evening, however, the earth's 499 500 surface begins to cool, and a stable boundary layer begins to form from the ground up. If sufficiently strong, the 501 nocturnal surface inversion can isolate near-surface observations from the influence of distant sources (Crawford 502 et al., 2016). Consequently, the more stable the nocturnal conditions near the ground, the higher the CO/SO₂ ratios 503 that occur (Fig. 7). The results are consistent with previous studies (Tang et al., 2015; Zhu et al., 2016), indicating local contribution increases with enhanced static stability in the surface layer over Beijing. According to the above 504 analysis, high pollutant loadings in node 9 are mostly attributable to local contributions (the highest CO/SO2 ratios 505 506 in node 9); however, high pollutant loadings in node 3 are more likely due to regional contributions (the lowest 507 CO/SO₂ ratios in node 3). Obviously, it is the stable stratification rather than the weak surface wind that confines 508 the regional contribution.

509

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510 **3.4 Evaluation against columnar aerosol pollution**

For many years, aerosol particles have been the primary pollution problem in Beijing. Atmospheric aerosols
play an important role in radiation transfer due to absorption and/or scattering in the atmosphere, and thus could
have a great influence on the evolution of the ABL. In recent years, the feedback effect of aerosols on the ABL has
drawn much attention. To further our understanding of aerosol pollution in Beijing, we examine the optical and
physical properties and the direct radiative forcing of columnar aerosols in the different ABL types in this section.

-Aerosol optical properties can be characterized by three useful parameters: AOD, AE and SSA. Fig. 8 illustrates 517 518 the AOD_{440nm}, AE_{440nm} and SSA_{440nm} over Beijing within the nine ABL types. The ABL type averages of AOD range from 0.52 and 1.22 (Fig. 8a). Comparing with near surface observations, the greatest difference is that 519 520 the highest AOD value generally occurs in node 3, rather than in node 9 (the highest surface PM_{2.5} and PM₁₀ concentrations occur in node 9). This may be attributed to the difference in aerosol vertical distribution in these 521 522 two types. As we have demonstrated in Sect 3.3, node 3 is related to strong regional transport. Since the height of 523 regional transport is usually above the surface layer, such as 200-700 m AGL detected by, more aerosol particles might be suspended above the surface layer in node 3, resulting in the highest AOD value in the atmospheric 524 column. In addition, since high relative humidity also occurs in node 3, the highest AOD value in this ABL type 525 526 could be partly attributed to the particle hygroscopic growth.

527

528 It is known that high AE values indicate a dominance of fine particles, while low values indicate a dominance 529 of coarse particles. Unlike AOD, the AE shows a relatively low sensitivity to ABL types (Fig. 8b). All type 530 averages of AE are higher than 1.0, suggesting that the proportion of fine particles is always larger than that of 531 coarse particles over Beijing. The highest AE occurs in node 6 (1.20) and the lowest is 1.03 in node 1. Node 1 corresponds to the lowest AE value, indicating that under the near neutral ABL condition, the coarse particles
contribute a relatively higher proportion of total particles. This could be due to the increasing wind speed with
decreasing relative humidity (Figs. 3 and 4). Coarse particles could be from more natural and anthropogenic dust
emission under high wind speed conditions. Particularly during the fast northwesterly wind period, dust storms
occasionally contribute to the high coarse particle loadings in Beijing . The long distance transport of dust
particles from northwest China may be the reason for the lowest AE value in node 1.

539 The SSA is defined as the ratio of the scattering coefficient and the total extinction coefficient. It is mostly 540 dependent on the aerosol size, concentration of absorbing component and its mixture state with non absorbing 541 components. The daily SSA at 440 nm ranges from 0.82 to 0.98 during the study period, which suggests that there are quite different types of aerosols in the columnar atmosphere over Beijing (varying from strong absorbing 542 543 aerosols to strong scattering aerosols). It is easy to see that the ABL types associated with a strong surface inversion (i.e., nodes 7, 8 and 9) have lower SSA values (Fig. 8c). The averaged SSA in these nodes is 544 approximately 0.90, which is significantly lower than that in nodes 1, 2 and 3. The low SSA values mean 545 546 enhancement in the absorbing particles, such as black carbon, which are released from industry, biomass/biofuel 547 burning, diesel vehicle, and coal burning. In contrast, the highest SSA occurring in node 1 can be explained by 548 dust particle transmission and soil aerosol emissions.

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The volume particle size distribution retrieved in the sizes between 0.05 and 15 µm is one of the most important 550 parameters for studying the behavior of aerosols. Fig. 9 expresses the mean volume particle size distribution 551 (dV/dlnR) over Beijing in the nine ABL types. Table 1 supplements Fig. 9 with the statistical parameters of aerosol 552 particle size distribution. Clearly, the volume particle size agrees very well with the bimodal lognormal 553 554 distributions. Both fine $(R < 0.6 \,\mu\text{m})$ and coarse $(R > 0.6 \,\mu\text{m})$ modes exhibit relative stability with two peaks at a radius of approximately 0.1-0.2 µm and 2.0-4.0 µm, which are similar to some previous studies. However, the 555 size distribution shows a distinct difference in the changing amplitude for different ABL types. The fine- and 556 coarse-mode particle volumes increase rapidly from left (nodes 1, 4 and 7) to right (nodes 3, 6 and 9) on the SOM 557 plane. This suggests that with the stabilizing boundary layer processes, the atmosphere is more loaded with both 558 fine and coarse-mode particles over Beijing. In addition, the stabilizing processes are accompanied by the 559 increase of the fine mode effective radius (R_{eff} F) and fine mode volume fraction (Vf/Vt). These results strongly 560 point to the important role of fine-mode particle hygroscopic growth on the days associated with stable nocturnal 561 562 ABL conditions.

564 The type averaged ARF at the surface (BOA), top of atmosphere (TOA), and within the atmosphere (ATM) over Beijing is shown in Fig. 10. The type averages of ARF at the surface range from -47.8 W/m² to -110.0 W/m², 565 while at the TOA, they are found to be between -21.1 W/m² and -48.0 W/m². Likewise, the ABL type averaged 566 ARF within the atmosphere are between 26.7 W/m² and 63.1 W/m². The larger negative ARF at the surface (> 110 567 W/m^2) and positive ARF within the atmosphere (> 60 W/m²) are found in ABL types 3, 6 and 9 over Beijing, 568 569 implying strong cooling at the surface and warming in the atmosphere. These results are induced by relatively 570 larger aerosol loadings under the stagnant meteorological conditions. The larger ARF within the atmosphere 571 demonstrates that solar radiation is being absorbed within the atmosphere, and as a result, heats the atmosphere 572 and reduces surface temperature. This can change the atmospheric vertical temperature gradient and improve the atmospheric stability. Finally, the enhanced stability hinders the vertical diffusion of aerosol particles, leading to 573 the increase of aerosol concentrations and causing a further decrease in the solar radiation and ABL height, which 574 575 induces a positive feedback loop for causing high surface aerosol concentrations -

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3.5 Evaluation against heavy polluted episodes 577

578 **3.3 Quantifying the contribution of ABL anomaly to typical-month PM_{2.5} air quality**

579 To improve air quality, the Chinese government promulgated "Air Pollution Prevention and Control Action Plan" in 2013. As a consequence, observed annual mean PM_{2.5} concentrations decrease by about 37% over Beijing 580 during 2013-2017. However, severe wintertime PM_{2.5} pollution events still frequently wreaked havoc across 581 Beijing and its surroundings, which resulted in severe damages to the environment and human health (Gao et al., 582 583 2017;Gao et al., 2015). It is therefore pressing to understand the factors affecting the occurrence of such serious PM_{2.5} pollution. Previous studies highlighted potential importance of atmospheric conditions to the wintertime 584 PM_{2.5} air quality (Cai et al., 2017). Since the fraction of time for which the different atmospheric conditions 585 586 dominate can vary from year to year, elucidation of the meteorological roles in those serious pollution periods has 587 a significant importance. In this section, we evaluate the contribution of ABL anomaly to elevated PM25 concentration in three typical pollution months, i.e., January of 2013, December of 2015 and December of 2016. 588 589

- In 2013, the Chinese State Council released the "Atmospheric Pollution Prevention and Control Action Plan" to 590
- implement a megacity cluster-scale joint prevention and control strategy program. As a result, the PM25 in Beijing 591
- decreased from 89.5 µg/m³ in 2013 to 73.0 µg/m³ in 2016. However, these meteorology-driven pollution episodes 592
- 593 to some degree obscure the true impacts of the emission control strategies implemented by governmen_t.

594	- In January of 2013, December of 2015, and December of 2016, Heavy PM2.5 aerosol-pollution episodes
595	occurred frequently in January of 2013, December of 2015 and December of 2016 wreaked havoc across Beijing,
596	resulting in anomalously high month-averaged $PM_{2.5}$ concentrations in the Beijing urban area (180.1 μ g/m ³ , 151.8
597	$\mu g/m^3$ and 151.2 $\mu g/m^3$, respectively) and its surroundings, which resulted in severe damages to the environment
598	and human health. Figure 11-7 shows the hourly PM2.5 variationss of PM2.5 and AOD440nm during in the three
599	three heavily polluted selected months, along with daily ABL types. It is observed that the PM _{2.5} concentrations
600	were frequently elevated to above 200 µg/m ³ , and the AOD often exceeded 1.0 in Beijing In generalduring these
601	three months. The ABL types (shown at the top of each plot) reveal that, the pollution episodes episodes were
602	generally associated with the control of nodes Nodes 3 and 9, and the clean episodes episodes were often
603	associated with corresponded to the control of node Node 1. For example, the severe pollution episode that
604	occurred from 9-14 January 2013 was due to the alternate <u>control_control_of nodes_Nodes_3</u> and 9, and the
605	pollution episode from 15-21 December 2016 was related to the persistent controlcy of node Node 9. In
606	contrastConversely, multiday control of node-Node 1 caused a clean episode from 14-16 December 2015. The
607	linkages between <u>PM_{2.5} air quality and the boundary layer structure ABL type</u> were are consistent with the
608	long-termprevious long-term analyses-described in Sects. 3.3 and 3.4, indicating that the changing ABL typetypes
609	isare one of the primary drivers of day-to-day variations in wintertime PM _{2.5} air quality over Beijing.

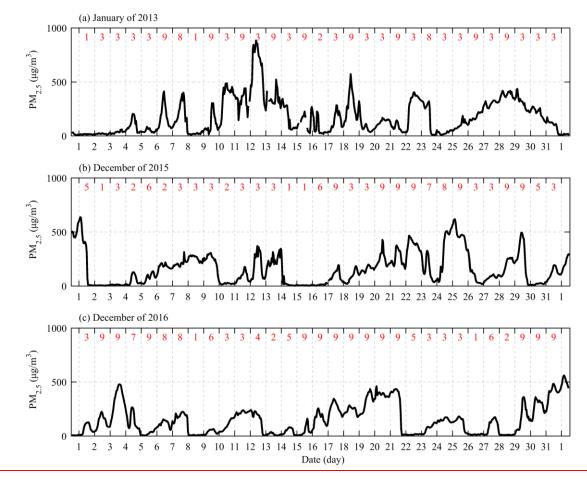


Figure 7. Time series of hourly PM_{2.5} concentrations in (a) January of 2013, (b) December of 2015, and (c)
 December of 2016. The daily ABL types (i.e., SOM nodes) are shown at the top of each plot (red numbers).

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The monthly PM_{2.5} concentrations in the Beijing urban area reached up to 180.8 µg/m³, 153.9 µg/m³ and 147.9 616 617 µg/m³ in January 2013, December 2015 and December 2016, respectively. All these values were far larger than the 618 winter mean PM_{2.5} concentration (110.6 μ g/m³). Although the characteristics of PM_{2.5} air quality depend on 619 many complex elements, the major contributors are the pollutant emissions and meteorological conditions. In 620 2013, the Chinese State Council released the "Atmospheric Pollution Prevention and Control Action Plan" to 621 implement a megacity cluster-scale joint prevention and control strategy program. As a result, the PM2.5-in Beijing 622 73.0 µg/m³ in 2016. However, these meteorology driven pollution episodes decreased from 89.5 2012 623 obscure the true impacts of the emission control strategies implemented by government.-Fig.-ure ome degree 624 812 shows-illustrates a comparison of the occurrence frequency of the nine ABL types between to the winter mean frequency (2013-2016) for the three three selected polluted months and the whole 5-year winter period. Compared 625 626 with the 4-yr winter mean-averaged frequency, the greatest notable differences are that the occurrences of nodes 627 stable ABL conditions³ and 9 (the two most polluted types)</sup> increased and the node near neutral nights¹ (the clean type) decreased during the three polluted months. For example, Node 9 occurrence was nearly trebled in
 December of 2016, and total occurrence of Nodes 3 and 9 doubled in January of 2013. These results highlighted
 potential contribution of ABL anomaly to the elevated PM_{2.5} concentrations in these polluted months. Obviously,
 the elevated PM_{2.5} concentrations in the abovementioned months can be mostly attributable to the anomalous
 boundary layer structures.

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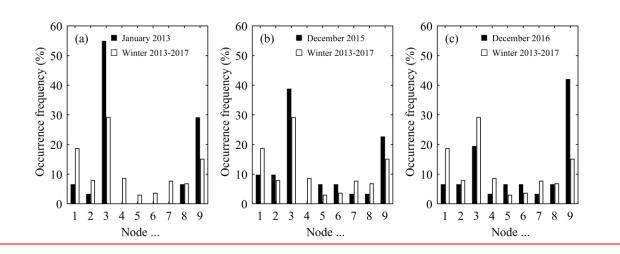


Figure 8. Occurrence frequency of the ABL types (i.e., SOM nodes) during (a) January 2013, (b) December
2015, and (c) December 2016. The winter-averaged frequency during the 5-year (2013–2017) period is
repeated on each plot for comparison.

639 Assuming the linkages between ABL type and PM_{25} loading are constant in different years, the contribution of 640 the anomalous ABL meteorology to PM_{25} air quality can be estimated through a meteorology-to-environment method, which is revised from the circulation-to-environment method proposed by Zhang et al. (2012). 641 642 Quantitative analysis of the roles of the ABL anomaly in PM_{2.5} variations during the pollution months is helpful 643 for the assessment of air pollution prevention and control strategies. In this study, the ABL classification allows 644 for the integrated evaluation of the effects of numerous interrelated ABL meteorological parameters on air quality. 645 Here, a meteorology to environment method (revised from the circulation to environment method proposed by 646 Zhang et al. (2012a)) is utilized to evaluate the influence of the ABL anomaly for enhanced PM2.3 levels during the abovementioned months. We aFor each selected monthssume the linkages between ABL types and their PM25 647 648 loadings in winter are constant in different years., we define For each polluted month, the total anomaly (C') is defined as the deviation in PM_{2.5} from the 4<u>5</u>-year winter winter averaged mean concentration ($\underline{C}_{WIN}C$) as the 649 650 total anomaly (C). This total anomaly, which in each month is due to the combined effects of emission and 651 meteorology-and emission. The anomaly calculated from the mean PM2.5 loadings for nine ABL types and their

652	occurrence frequencies during each month can be considered as the "ABL-driven" anomaly, which to represents							
653	the PM _{2.5} change caused by the anomalous boundary layer <u>ABL</u> structuremeteorology. We refer to this as the							
654	"ABL-driven" anomaly. The ABL-driven anomaly (C_{ABL}) is calculated through $-\sum_{i} F_{i} \cdot C_{i} - C_{WIN}$, where F_{i} is							
655	the occurrence frequency of type- <i>i</i> ABL during a specific <u>month</u> eriod and C_i is the corresponding PM _{2.5} loading							
656	feather <u>featur</u> ing that type. The ratio of C_{ABL} to C' (the difference of C_{ABL} to \overline{C}) is then used to evaluate assess							
657	the relative (absolute) contribution of the ABL anomaly to the total anomalyenhanced PM _{2.5} level. The calculated							
658	results (Table 1) shows that the ABL-driven $PM_{2.5}$ changes are 44.4 $\mu g/m^3$ in January 2013, 22.2 $\mu g/m^3$ in							
659	December 2015, and 34.6 µg/m ³ in December 2016, which explain 58.3%, 46.4% and 73.3% of total anomaly in							
660	respective month. The results show that the contributions of the frequency anomaly of the ABL type to the							
661	increase in PM _{2.5} are 65.8 % (46.2 µg/m ³) during January 2013, 46.7 % (20.2 µg/m ³) during December 2015 and							
662	94.6 % (35.3 µg/m ³) during December 2016. These quantitative estimations suggest demonstrate that the ABL							
663	anomaly to a large extent explains the enhanced elevated PM2.5 concentrations during these the three polluted							
664	months can be largely attributed to anomalous ABL conditions.							
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670	Table 1. Estimated contribution of ABL anomaly to elevated PM _{2.5} concentration in January of 2013,							
671	December of 2015 and December of 2016.							
	Pollution month	Month-averaged	Total anomaly C'	ABL-driven	Contribution ratio of			
		<u>PM_{2.5} concentration</u>	<u>(µg/m³)</u>	anomaly C _{ABL}	ABL-driven			
		<u>(µg/m³)</u>		<u>(μg/m³)</u>	anomaly (%)			
	January 2013	<u>180.1</u>	<u>76.1</u>	<u>44.4</u>	<u>58.3</u>			

<u>47.8</u>

<u>47.2</u>

<u>22.2</u>

<u>34.6</u>

<u>46.4</u>

<u>73.3</u>

673 **4. Summary**

December 2015

December 2016

<u>151.8</u>

<u>151.2</u>

674 The influence of the ABL structure-meteorology on Beijing's air quality is stillis relatively unclear due to the
675 lack of long-term observations. On the other hand Meanwhile, the long years of routine radiosondes remain

underutilized as a tool for urban pollution studies. In this study, the SOM was applied to 45-year (2013-2017) radiosonde-based θ_y profiless to classify the state of ABL types-over Beijing. The resulting-classified ABL types were then evaluated in relation to meteorological and environmental near-surface air quality variables, with an attempt to understand the roles of the different-changing ABL conditions-structure in regulating the air quality variation in Beijing. The main findings are as follows:

- 1) The SOM provides a continuum of nine ABL types (i.e., SOM nodes), and each type-is characterized with distinct boundary layer meteorological conditions (including dynamic and thermodynamic conditions). within
 the ABL. Node 1 represent a near neutral layer with the lowest θ_y gradient and the highest wind speed. Node
 3 features a strong static stability in the upper ABL. In contrast, Node 9 and Node 7 respectively correspond
 to the moderate and strong static stability in the lower ABL.
- 2) The self-organized ABL types are capable of characterizing the influence of nocturnal mixing on near-surface 686 687 pollutant loadings. From the near neutral (i.e., node-Node 1) to strong stable ABL typesconditions (i.e., node Node 9), the surface average concentrations of SO2, NO2, CO, PM10 and PM2.5, NO2 and CO on average 688 increased dramatically during all seasons except summer.increase approximately 120-220 %. Meanwhile, 689 690 the diurnal evolutions cycles of these pollutant species are strongly modulated by by temperature 691 inversionsABL dynamics. Although the modulation effect varies from season to season, the higher peak 692 concentrations commonly occur under the more stable conditions .-- While an elevated inversion enhances the afternoon baseline concentration, the surface inversion improves the evening concentration increment. In 693 contrast, O3 show an opposite variation in response to the ABL types. However, increasing stability has 694 695 opposite effect on O_3 , resulting in the lowest O_3 level in Node 9. For SO₂, the highest average concentrations are typically observed in Node 3. The pre-noon SO₂ peaks are more significant after a strong stable night. 696
- Boundary layer evolution affects the near surface observations of locally and remotely sourced pollutants in very different ways, causing a distinct difference in the diurnal variations of SO₂ and other pollutants (e.g., NO₂, CO, PM₄₀ and PM_{2.5}). Comparing the CO/SO₂ ratios in different ABL types reveals that the local contribution increases with enhanced static stability near the ground, and it is the stable boundary layer stratification rather than weak surface wind that confines the regional contribution.
- 7024) With the stabilizing ABL processes, the atmosphere column is more loaded with both fine and coarse mode703particles. Node 3 (dominated by elevated inversion and high relative humidity) corresponds to the most severe704columnar aerosol pollution, characterized by the highest optical depth (1.22) and volume concentration (0.30705 $\mu m^3 / \mu m^2$). The larger negative ARF at the surface (> 110 W/m²) and positive ARF within the atmosphere (>70660 W/m²) are associated with the three stable ABL types (i.e., nodes 3, 6 and 9), suggesting the possible

influence of a positive feedback loop for causing high surface aerosol concentrations.

5)—Analysis of three typical <u>wintertime_pollution_pollution_months</u> (i.e., January 2013, December 2015 and December 2016) suggests that the ABL types are one of the primary drivers of day-to-day <u>PM_{2.5}</u> variations in Beijing's air quality. During the three pollution months, the <u>frequency_frequency_of the stable ABL types (e.g., nstable ABL typesodes 3 and 9) (i.e., Nodes 9 and 3) increasess significantly compared with-to_the 45-year (2013-20162017) winter mean-frequency. In contrast, the frequency of the well-mixed ABL type (i.e., node 1) is greatly reduced during these pollution months.
</u>

- 6)3)Using a meteorology-to-environment method, the relative (absolute) contributions of the ABL anomaly to
 enhanced <u>elevated</u> PM_{2.5} level concentrations is are estimated to be 6558.8-3 % (4644.2-4 μg/m³) during in
 January 2013, 46.7-4 % (2022.2 μg/m³) during in December 2015, and 9473.6-3 % (3534.3-6 μg/m³) during in
 December 2016.
- 718

719 This work revealed the common pattern of the ABL influences of different ABL structures on Beijing' air quality. The established correlations-linkages between ABL type and air quality could be useful for developing an 720 721 operational forecast and warning system. In addition, this work demonstrated that the SOM-based ABL 722 classification scheme is a powerful-helpful tool for understanding urban air pollution. Since the SOM technique is 723 good at featherfeature extraction, the coarse-resolution radiosonde-profiles can be taken as the SOM-input (as we 724 have shown in this study) to classify the state of the ABL. Therefore, the SOM-based ABL classification schemeit can take advantage of the long-term available radiosondes, which is making it a simple and economical alternative 725 726 to to implement in comparison to conventional other techniques approaches to stability classification (such as mooring boats, airplane, and ground remote sensing). We believe that the pollution-related ABL research and the 727 728 formulation of pollution control measures could benefit from application of the SOM analytical tool.

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730 Data availability

The datasets used in this study are publicly available at the University of Wyoming (http://weather.uwyo.edu/), the
Ministry of Environmental Protection of the People's Republic of China (http://datacenter.mep.gov.cn/), the U.S.
Department of State Air Quality Monitoring Program (http://www.stateair.net/), and the Aerosol Robotic Network
(https://aeronet.gsfc.nasa.gov/).

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736 Competing interests

737 The authors declare no conflict of interest.

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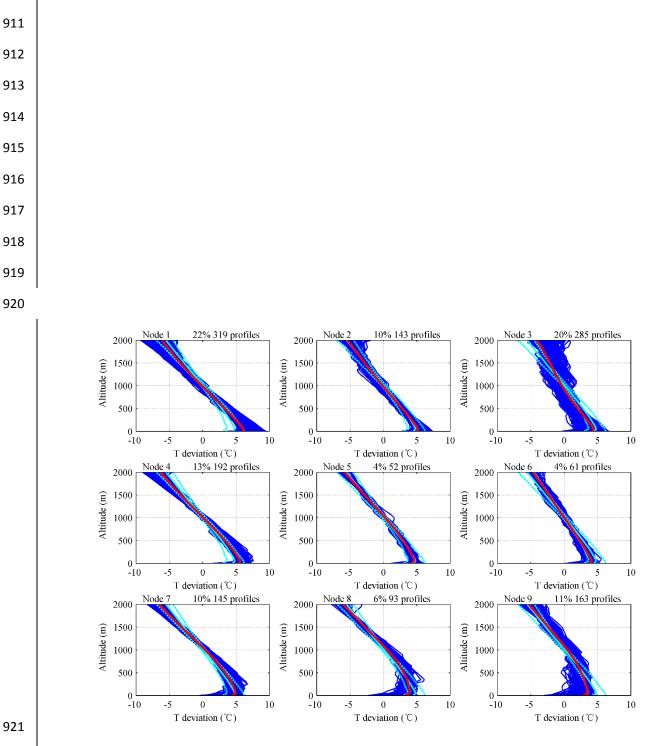
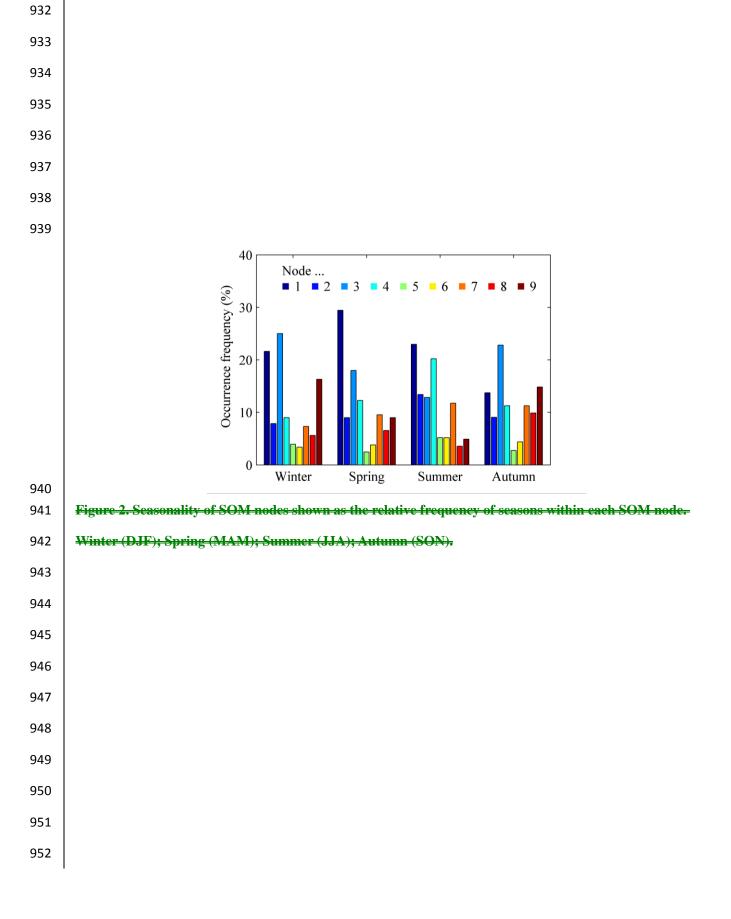
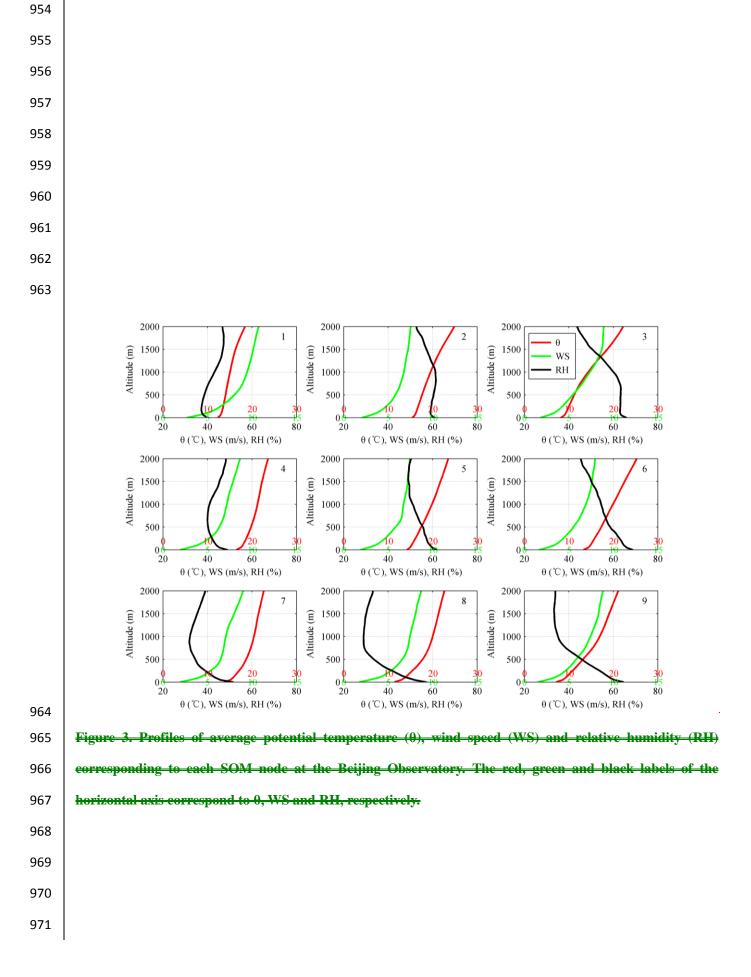
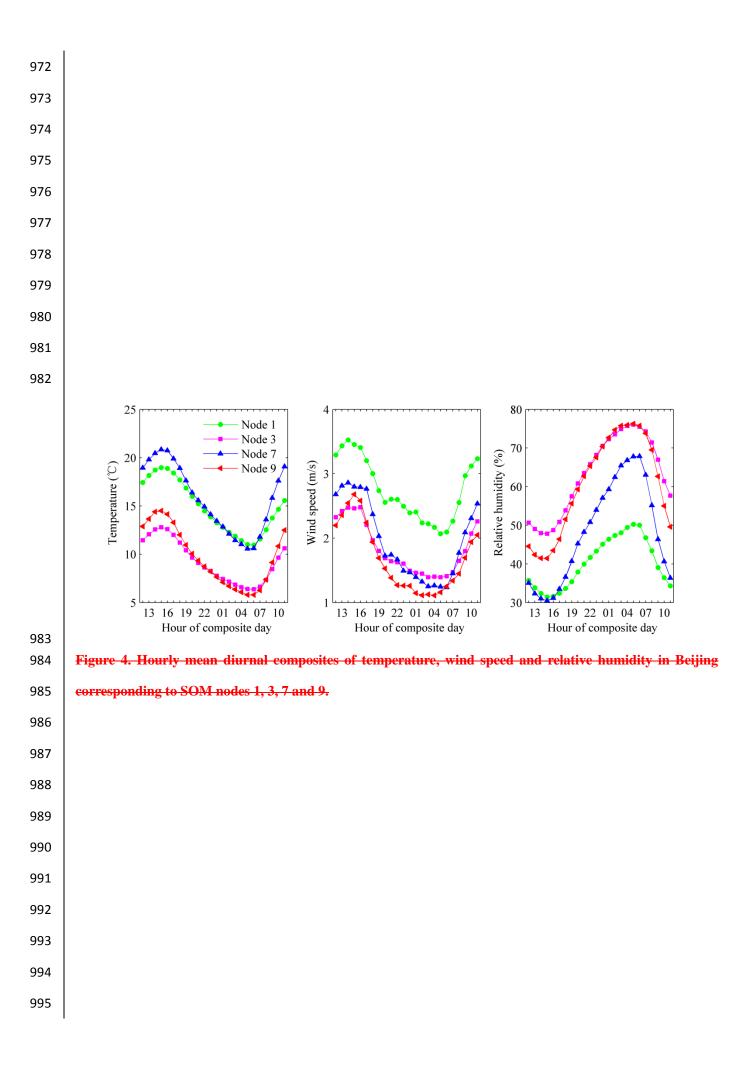
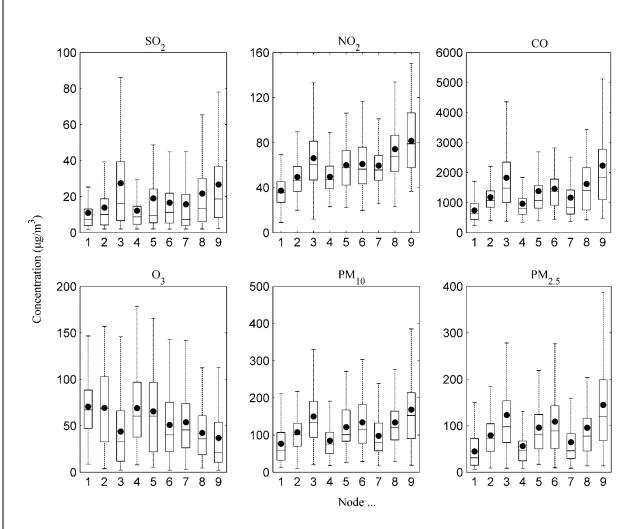


Figure 1. The 3 × 3 SOM-output for radiosonde-based temperature (T) deviation profiles observed at the
 Beijing Observatory, SOM nodes are shown in red, with the corresponding individual profiles in dark blue.
 For reference, the overall average temperature profile and 25th and 75th percentile profiles are shown in
 cyan. The top-right shows the occurrence cases and frequency of each SOM-node.



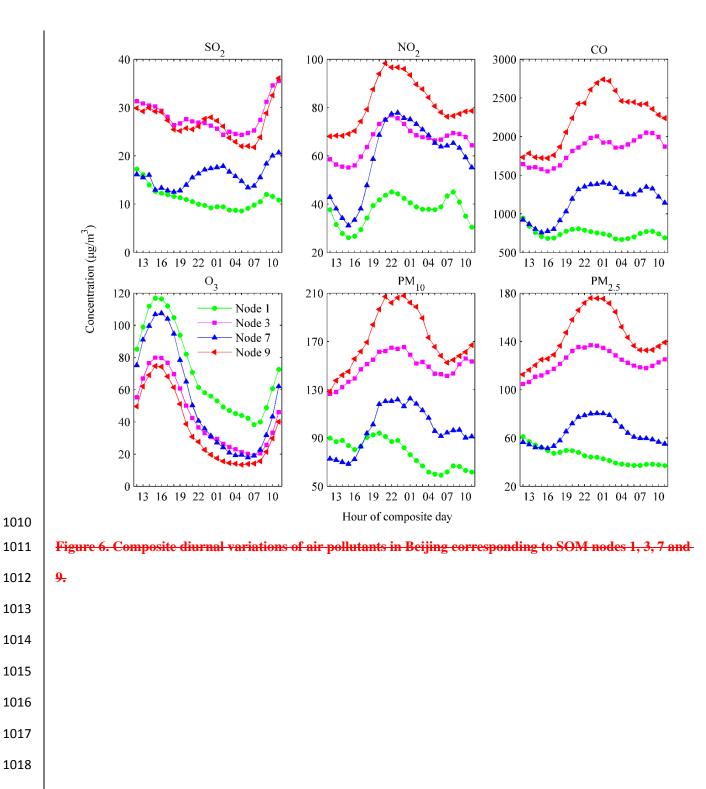


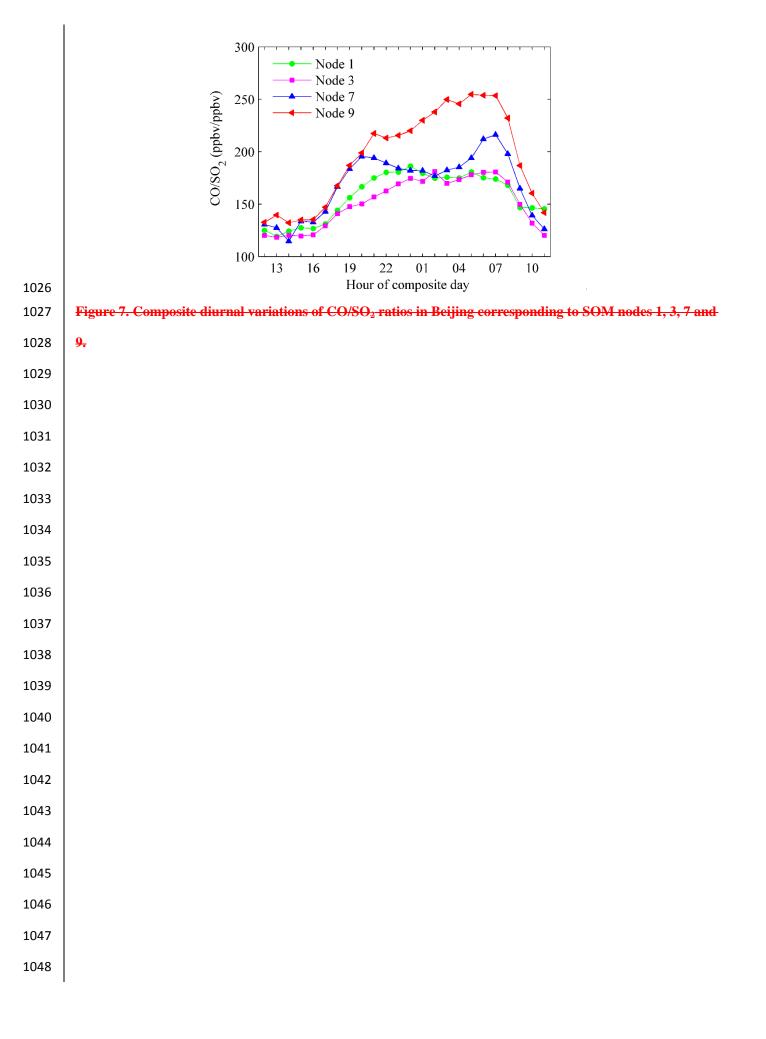


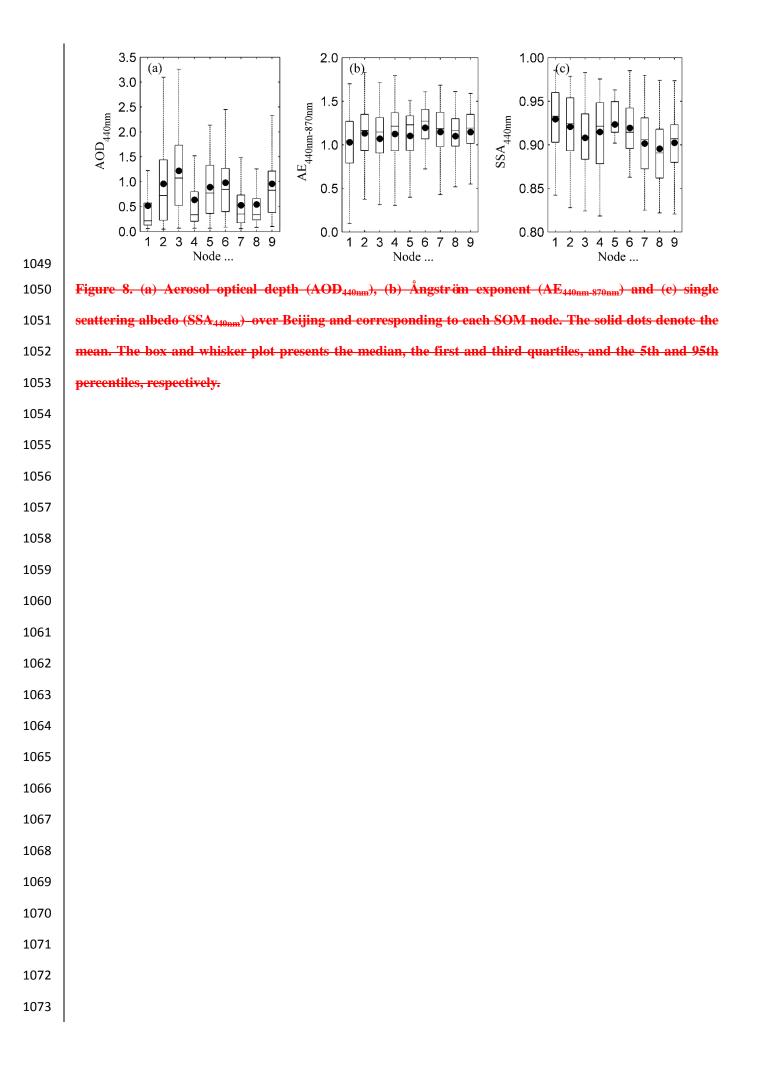


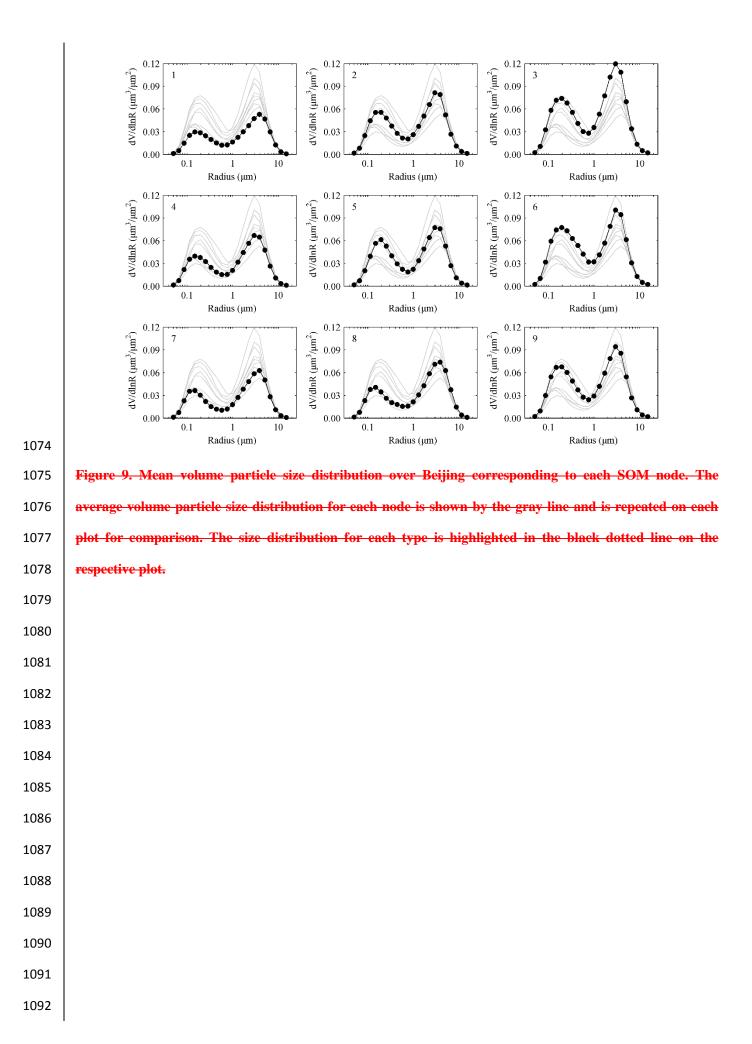


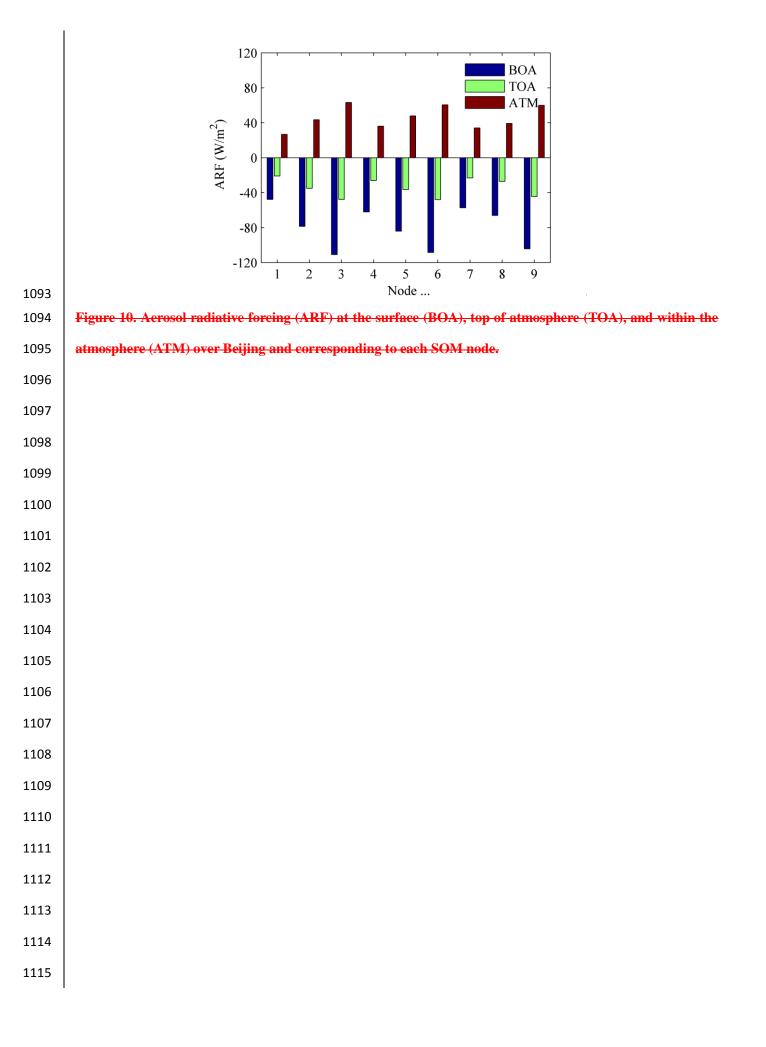
1008 Figure 5. Daily pollutant concentrations in Beijing corresponding to each SOM node.

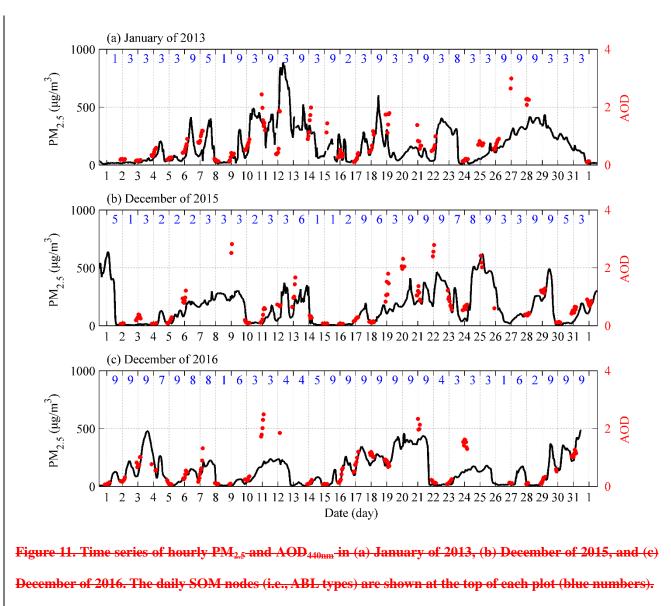




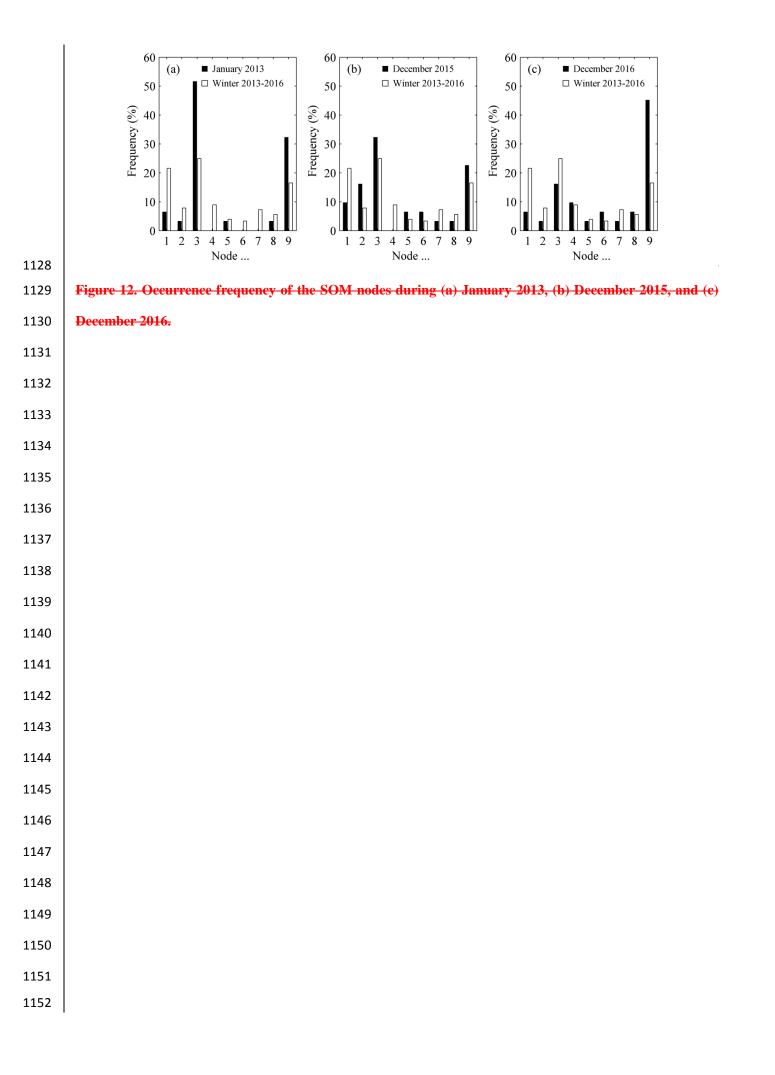












Node	Effective radius (µm)			Volume concentration (µm ³ /µm ²)			Vf/Vt
	R _{eff} -T	R _{eff} -F	R _{eff} -C	VolCon-T	VolCon-F	VolCon-C	
1	0.54	0.14	2.46	0.13	0.05	0.09	0.31
2	0.41	0.16	2.42	0.21	0.09	0.12	0.42
3	0.45	0.16	2.30	0.30	0.12	0.18	0.41
4	0.45	0.15	2.38	0.17	0.06	0.11	0.36
5	0.47	0.17	2.44	0.21	0.09	0.12	0.41
6	0.38	0.17	2.33	0.28	0.14	0.14	0.45
7	0.42	0.14	2.37	0.15	0.05	0.10	0.34
8	0.44	0.14	2.32	0.18	0.06	0.12	0.35
9	0.38	0.16	2.25	0.25	0.11	0.14	0.43

1153 Table 1. Statistical parameters of aerosol particle size distribution corresponding to each SOM node.

1154 VolCon is the volume concentration; R_{eff} the effective radius; Vf/Vt denotes the fine-mode volume fraction.

1155 **T, F, and C represent the total, fine-, and coarse-mode particles.**