

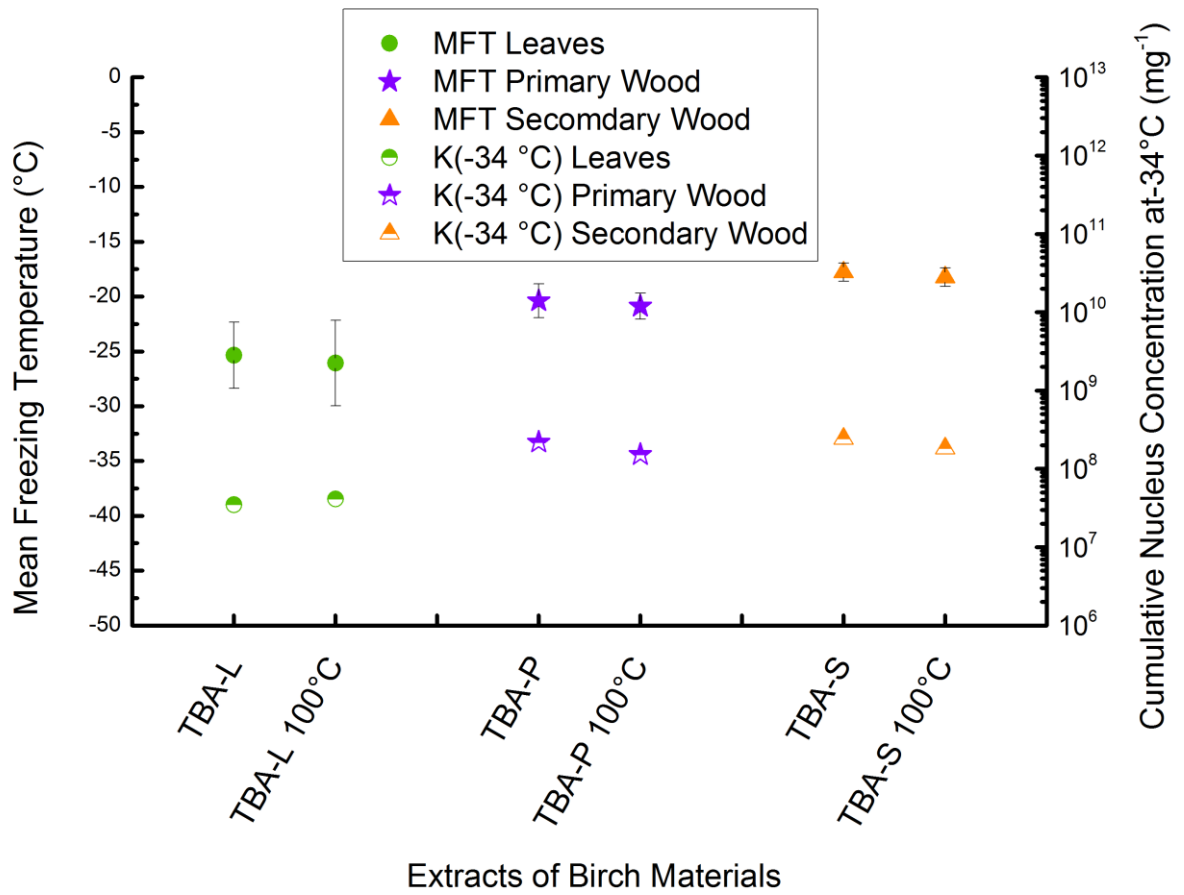
The authors would like to thank the referee for the time and effort in reviewing our manuscript „Birch leaves and branches as a source of ice nucleating macromolecules”

*a.) Spectral analysis of extracts lead to the conclusion that birch leaves, twigs and branches contain chemical substances similar to those in birch pollen, which implies that INP in either material carry of the same sort of ice-nucleating macromolecules (INM). If so, leaf, twig, and branch INM should equally withstand denaturation at temperatures up to 445-460 K, which clearly distinguishes birch pollen INM from bacterial and fungal INM that are already denatured at much lower temperatures (Pummer et al., 2015, <https://doi.org/10.5194/acp-15-4077-2015>). Did you test the heat tolerance of your samples? If so, what was the result?*

Response: We conducted heat experiments at 100 °C, which showed no changes in the INA of the different extracts from the birch TBA. We included these results in section 3.2 (p7, 11-18):

*“To analyse the similarities to birch pollen washing water, all three extracts of TBA were treated at 100 °C following the protocol introduced by Pummer et al (2012). Therefore 100 µl of each extract were applied on a clean glass slide and put in an oven set to 100 °C. After an hour the dry residues were resuspended in 100 µl of ultrapure water each and analysed for INA. The results of this experiment are given in Figure 1 as MFT and K(-34 °C) values. The corresponding values of the untreated TBA extracts are plotted for comparison. We find no major changes in the mean freezing temperatures (TBA-L -25.4 °C, TBA-L treated -26.1°C; TBA-P -20.4 °C, TBA-P treated -20.9 °C; TBA-S -17.8 °C, TBA-S treated -18.2 °C) or K(-34 °C) values (TBA-L  $3.5 \cdot 10^7 \text{ mg}^{-1}$ , TBA-L treated  $4.1 \cdot 10^7 \text{ mg}^{-1}$ ; TBA-P  $2.2 \cdot 10^8 \text{ mg}^{-1}$ , TBA-P treated  $1.5 \cdot 10^8 \text{ mg}^{-1}$ ; TBA-S  $2.4 \cdot 10^8 \text{ mg}^{-1}$ , TBA-S treated  $1.8 \cdot 10^8 \text{ mg}^{-1}$ ).”*

and with the corresponding Figure 5:



**Figure 1: Results of the heat treatment of the different TBA extracts. Leaves are marked with green circles, primary wood with violet stars and secondary wood with orange triangles. The left value belongs to the untreated sample, the right value to the sample treated with 100 °C for an hour. Filled symbols represent the mean freezing temperature and correlate with the left Y-axis, half filled symbols represent the cumulative nucleus concentration as -34 °C per mg extracted sample and correlate with the right Y-axis.**

and in the discussion section about the similarities to birch pollen washing water (see p8, l33-39).

*“The freezing temperature observed for the aqueous birch pollen extract (-17.1 °C see Figure 2), is in line with values reported in the literature for aqueous birch pollen extracts (reported freezing events are generally between -15 and -23 °C (Diehl et al., 2001; Pummer et al., 2012; Augustin et al., 2013; O’Sullivan et al., 2015)). Interestingly, most of our samples froze in that temperature range between -15 °C and -23 °C. Half of the leaves (TBC-L, TBD-L, TBF-L, TBG-L, and VB), eight out of ten primary wood samples (TBA-P, TBB-P, TBC-P, TBE-P, TBF-P, TBG-P, TBI-P, and TBV-P), and all secondary wood samples exhibited a mean freezing temperature in this temperature window. Moreover, we observed heat resistance at 100 °C, similar to the results of Pummer et al. (2012).”*

*b.) Another issue I would like to see addressed with regard to the nature of the INM is whether they could be a form of cellulose. This issue could be discussed with reference to the FTIR spectra in Figure 5 and also with regard to the slope of the cumulative nucleus spectra (Figure 3), as compared to similar spectra available for cellulose (e.g. Hiranuma et al., 2015, doi:10.1038/ngeo2374).*

Response: As the INP are contained in quite low concentrations, it is challenging to use the FTIR spectra for qualitative analytics of the INP. However, we added a section concerning the possible identity of the INP in the discussion section (p11, l14-24).

*“Only little INP are known to trigger freezing above -10°C, which are typically biological substances such as bacteria (Murray et al., 2012). Below -10 °C, birch pollen belong to the group of highest freezing temperatures, with onset higher than most mineral dusts, ash and soot samples (Murray et al., 2012). The vast majority of atmospheric INP and INP retrieved from precipitation samples exhibit freezing temperatures below -10°C (DeMott et al., 2010; Petters and Wright, 2015). The identity of the INP released from birches is still unclear. Pummer et al. (2013) showed that proteins, saccharides, and lipids are easily extracted aqueously from birch pollen. While Pummer et al. (2012) and Dreischmeier et al. (2017) speculate that the molecules are carbohydrates, Tong et al. (2015) attributes the highest INA to extracted proteins. Hiranuma et al. (2015) showed that cellulose, which is ubiquitous in plants, exhibits INA in the right temperature range. With our spectroscopic data, we found strong indicators for saccharides being present, including prominent bands which could be associated with cellulose. Further, we found bands in the most prominent protein regions, though those could be assigned to other molecule groups.”*

*c.) In the Discussion you write that INM could be “: :washed into the soil during rainfall: :” (page 7, lines 29-30). Leaves and twigs are usually covered by a thin layer of wax to protect against desiccation. I wonder whether INM sitting in the tissues below the protective outer layer could be washed off. Wouldn't leaves and twigs first need to be shed and to disintegrate for INM to be washed off in larger numbers?*

Response: We changed this into *“Cracks and wounds on the surface could allow the INP to be washed off the surface of twigs and leaves into the soil. This marks a potential to influence the INA of mineral dust and soil particles and act as INP in the atmosphere.”* (p8, l26-28) and added a small discussion on the importance of further studies on this topic. (see p8, l 30-31)

*“Further studies on possible release pathways of the INP from birches into the surrounding environment are necessary to quantify such effects.”*

*d.) In Section 2.1 you introduce the altitudinal gradient along which you sampled the trees. Later in the paper there seems no further reference to this gradient. Instead, you relate results to the proximity of the trees to road or river. Is altitude irrelevant for the production of INM? Could similarity in terms of INM in a particular kind of location result from a genetic proximity of the trees (i.e. seeds spreading along a road or a river)?*

Response: We found no correlation between altitude and INM production. We expanded the discussion on this point based on your suggestions (see p9, l28):

*“Other than roads and rivers in close proximity, the tree altitude was not correlated to INA.”*

*Page 2, line 9: Please be more precise. Concentrations reported by Christner et al. (2008) were quite low (at -10 C: 4 to 490 INP/L) compared to other studies (up to 500'000 INP/L at -10 C; Petters and Wright, 2015, dx.doi.org/10.1002/2015GL065733). What the paper by Christner et al. (2008) indeed has clearly shown was the large fraction (95%) of biological INP in the total number of INP.*

Response: This has been changed and the Petters and Wright citation has been included (p 2, l 6-11)

*“Precipitation can contain large amounts of INP. Petters and Wright (Petters and Wright, 2015) combined data from a large number of measurements and found a high variability in concentration in the range between -5 and -12 °C, which is assumed to be biological, with a maximum of approx. 500*

000 per L water. Christner et al. (2008) analysed snow and rain samples from the United States (Montana and Louisiana), the Alps and the Pyrenees, Antarctica (Ross Island) and Canada (Yukon), where they found rather low INP concentrations, but biological INP to represent the majority of the contained INP.”

*Page 2, line 20: ‘mechanism’ seems more appropriate here than ‘tool’ (same in line 35).*

Response: The suggested changes have been implemented.

*The term “tissue” you use to denominate your samples does not seem correct to me. As I understand, you processed entire leaves and sections of twigs and branches, which you call primary and secondary wood. Branches, for example, are made up of several types of tissue (xylem, phloem, sclerenchyma, cortex, epidermis). I would find it more appropriate to not talk about “tissue” in your context but say that you analysed material from different parts of the trees (leave, twig, branch).*

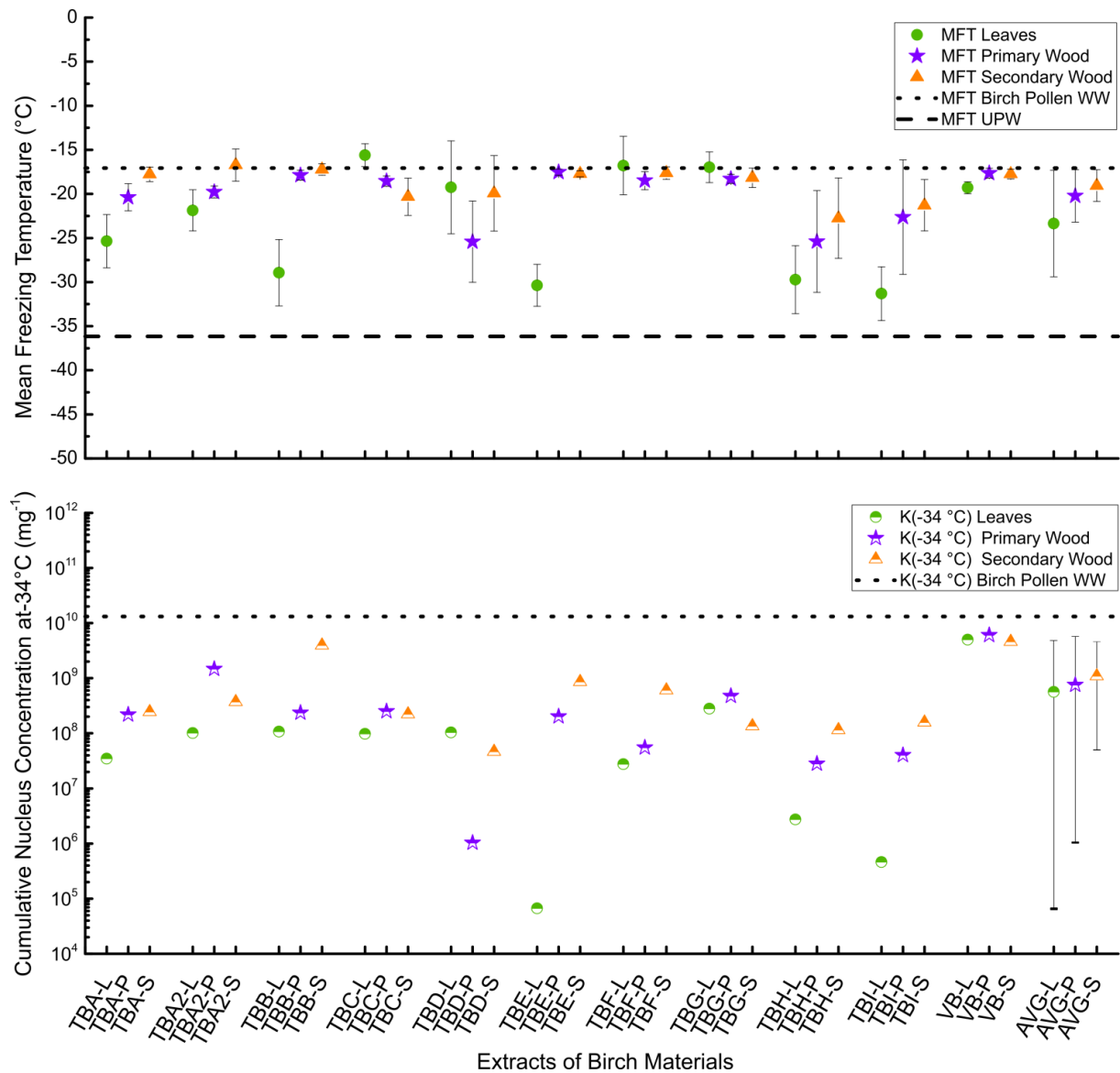
Response: The suggested changes have been implemented and the terms have been changed throughout the manuscript.

*Trees differ in MFT and cumulative nucleus concentration in leaves. How reproducible are these values? Did you prepare and analyse, perhaps during the preparatory phase of your study, two or more samples from the same tree, i.e. did you process from one or several trees two sets of leaves or two sets of twig material?*

Response: We analysed a second branch from the birch TBA according to the described protocol. While we found minor differences for the primary and secondary wood samples, we found a significantly enhanced freezing temperature in the leaves of the second twig. The concentration of INP in the leaves remained constant. We included this in our results section (p6, l28-34):

*“To examine the INP distribution within a tree, a second branch of TBA was prepared and measured according to the described protocol. Resulting data are presented in Figure 2 and marked with a 2 (TBA-L2, TBA-P2, and TBA-S2). Primary and secondary wood extracts are well in line regarding their freezing temperatures (TBA P -20.4 °C, TBA-P2 -19.8 °C; TBA-S -17.8 °C, TBA-S2 -16.7 °C), however, the primary wood from the second analysed branch contained higher INP concentrations (TBA P  $2.2 \cdot 10^8 \text{ mg}^{-1}$ , TBA-P2  $1.5 \cdot 10^9 \text{ mg}^{-1}$ ; TBA-S  $2.4 \cdot 10^8 \text{ mg}^{-1}$ , TBA-S2  $3.7 \cdot 10^8 \text{ mg}^{-1}$ ). Leaves varied in their freezing temperatures and cumulative nucleus concentrations (TBA-L -25.3 °C and  $3.5 \cdot 10^7 \text{ mg}^{-1}$ , TBA-L2 -21.8 and  $1.0 \cdot 10^8 \text{ mg}^{-1}$ ).”*

as well as in Figure 2:



**Figure 2:** Top panel: Mean freezing temperature (MFT) of the different birch samples. Leaf extracts (L) are marked with a green circle, primary wood extracts (P) with a violet triangle, and secondary wood extracts (S) with an orange star. Further we introduced a dashed line for the MFT of ultrapure water (as a summary of regular measurements conducted over the course of the analysis of the presented samples,  $-36.2\text{ }^{\circ}\text{C}$ , with a standard deviation of  $0.5\text{ }^{\circ}\text{C}$  (not plotted)), and a dotted line for the MFT of birch pollen washing water ( $-17.1\text{ }^{\circ}\text{C}$  with a standard deviation of  $0.5\text{ }^{\circ}\text{C}$  (not plotted)). The last three values on the right side represent the average of all mean freezing temperatures for leaves (AVG-L), primary wood (AVG-P) and secondary wood (AVG-S) with the corresponding standard deviation. Bottom panel: cumulative nucleus concentration at  $-34\text{ }^{\circ}\text{C}$  ( $\text{K}(-34\text{ }^{\circ}\text{C})$ ) of the different birch samples per mg extracted sample. Assignment of the symbols is similar to the MFT plot. The dotted line refers to the  $\text{K}(-34\text{ }^{\circ}\text{C})$  of birch pollen washing water per mg extracted pollen ( $1.3 \cdot 10^{10}\text{ mg}^{-1}$ ). The last three values on the right side represent the average of all  $\text{K}(-34\text{ }^{\circ}\text{C})$  values. Error bars point to the area of trust, ranging from the highest to the lowest measured values.

and the discussion section for the variability of the INA of leaves (p9, I5-6).

*“We observed a high variability of INM in leaves. Even for leaves of two branches of the same tree, we found differences in their freezing temperatures.”*

**Further changes:**

We excluded the Saxena reference in the introduction

Figure 2 was split into 2 panels. Further we included the K(-34 °C) per mg birch pollen as reference line (introduced in p6, l20-22)

*“The dotted line in the lower panel refers to the K(-34 °C) value of birch pollen washing water ( $1.3 \cdot 10^{10} \text{ mg}^{-1}$ ). Presented data shows that the samples with the highest K(-34 °C) values (TBB-S, and all samples from the Viennese birch) contain similar amounts of INP per mg extracted sample.”*

We further included Sheil 2018 in the introduction (p 2, l 20-23)

*“While we know that forests influence the atmospheric water-cycle, the underlying processes are only poorly understood and characterized and it is important to further our understanding in this area, not just to enhance climatic predictions, but also to better understand the consequences of the changes in Earth’s forests due to human activities (Sheil, 2018).”*

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The authors would like to thank the referee for the time and effort in reviewing our manuscript „Birch leaves and branches as a source of ice nucleating macromolecules”

We would like to discuss two general points brought up by the reviewer:

### **1) The usage of fluorescence and IR spectroscopy as methods of characterization**

Fluorescence and IR spectroscopy have various upsides, including the fast measurements and very little requirements on samples and preparation and are therefore often applied, especially on complex systems as biological samples. Especially IR spectroscopy is widely used in literature since it gathers detailed molecular information related to the chemical reactivity and biological activity of the samples. This is especially true for literature on the ice nucleation activity of birches and birch pollen. We included a paragraph on the usage of IR spectroscopy and fluorescence spectroscopy in the introduction section (p3, l3-10)

*“Spectroscopic methods are a key instrument in characterizing complex biological systems. One of the methods typically applied on biological materials is infrared spectroscopy (Baker et al., 2015). Infrared spectroscopy can be applied for the characterization and discrimination of plants (Kim et al., 2004; Gorgulu et al., 2007; Anilkumar et al., 2012; Carballo-Meilan et al., 2014). Further infrared spectroscopy has already shown to respond well on the biochemical features of pollen of different species, allows differentiation of such (Gottardini et al., 2007; Pummer et al., 2013; Zimmermann and Kohler, 2014; Bağcıođu et al., 2015) and can even be used to gain information on the environmental conditions (Zimmermann and Kohler, 2014). While fluorescence spectroscopy is currently not used to discriminate different species, Pöhlker et al. (2013) showed that discrimination of pollen is possible with this technique on a family level.”*

### **2) The atmospheric impact of our work**

We included a paragraph about the possible atmospheric impact concerning plant debris and number concentrations of the INP of birch trees in the discussion (p9, l31-41).

*“Some investigations on birch stands showed a dry weight of 2 to 25 t per ha for twigs and 1 to 8 t per ha for leaves (Johansson, 1999; Uri et al., 2007). This leads to estimated INP concentrations on the order of  $10^{16}$  to  $10^{19}$  per ha for twigs and  $10^{14}$  to  $10^{18}$  per ha for leaves. Plant debris can be an important constituent of ambient particulate matter (Matthias-Maser and Jaenicke, 1995; Andreae, 2007; Winiwarter et al., 2009). However, the underlying processes of the release of plant debris in the atmosphere is not fully understood, making predictions of their atmospheric impact hard (Andreae, 2007; Winiwarter et al., 2009). Sánchez-Ochoa and colleagues analysed atmospheric aerosols collected at various background sites in Europe and used cellulose as a proxy for plant debris. They found biannual average concentrations of 33.4 to 363 ng per m<sup>3</sup> air (Sánchez-Ochoa et al., 2007). Especially the leaves of birch trees could be an important source for INP as it is shed and produces annually. Decaying leaf litter is known to be a good source of INP (R.C. Schnell and Vali, 1973). Conen et al. (2016, 2017) showed that air masses passing over land can be enriched with INP derived from such leaf litter. Collectively, these studies underscore the importance of plants as sources of INP.”*

To further document our fit into this journal, we would like to point to the sizeable number of papers published in ACP concerning primary biological aerosols and their impact on heterogeneous ice nucleation (as e.g. Huffman et al. 2013 10.5194/acp-13-6151-2013, Hummel et al. 2018 10.5194/acp-2018-182), as well as submicron biological INP (Pummer et al. 2012 10.5194/acp-12-2541-2012, Augustin et al. 2013 10.5194/acp-13-10989-2013, Pummer et al. 2015 10.5194/acp-15-4077-2015), and the influence of biological residues on the ice nucleation activity of other particles (Conen et al. 2011 10.5194/acp-11-9643-2011, Tobo et al. 2014 10.5194/acp-14-8521-2014, Hill et al. 2016 10.5194/acp-16-7195-2016, O'Sullivan et al. 2016 10.5194/acp-16-7879-2016). We think that our data can contribute to all of these fields. Also we would like to stress the point that our data indicate that there could be a greater fraction of heat resistant biological INP in the atmosphere suggesting that heat treatment alone is not sufficient to completely discriminate between biological and non-biological INP.

*Pg 3, line20 –The authors removed “visible” contamination such as lichen. How might leaving “sub-visible” contamination affect the outcomes? I would think that removing only the obvious layer could include still significant amounts of nuclei that could still influence results. Alternatively, by taking the same sample and stripping the outer bark so that there was no possible contamination between external molecules (whether lichen, deposited pollutants, etc.) could isolate this issue.*

Response: As the samples used are of natural origin, we have to assume impurities to be present. However, since we do not know the distribution of the INP throughout the tissue and the role of the bark in this process, stripping samples could affect the outcome tremendously without pointing to the role of impurities per se. Especially problematic are the secondary wood samples, which often exhibit a rough fractured surface and we would need to strip not just the bark, but also the outermost layers of wood contained underneath to ensure the removal of all layers, which were in contact with the surrounding environment.

The centrifugation and filtration helps minimize the possible effect impurities can have on our samples, as most biological and mineral material, which is known to be ice nucleation active will not pass through the 0.2  $\mu\text{m}$  syringe filter (we added a remark about this in the sample preparation section (p4, l4-7) “Afterwards it was centrifuged (3500 rpm/ 1123 g for 5 min) and the supernatant was pressed through a 0.2  $\mu\text{m}$  syringe filter (VWR, cellulose acetate membrane, sterile), removing all bigger particles, as well as possible impurities from e.g. intact bacterial cells.”). Especially biological material is in some cases known to release INP into the aqueous phase, which are in the submicron size range. These INP however, were shown to trigger freezing at temperatures typically above  $-10^{\circ}\text{C}$ . As we did not observe a single freezing event at such high temperatures, we assume biological impurities to be of minor importance in our samples. To further address this important problem, we added another paragraph to the discussion (p10, l1-13) to discuss the possible role of impurities on our samples.

*“Since all of the analysed materials are of natural origin, we cannot rule out that some contamination could play a role in the INA of our extracts. Some bacteria have been found to act as INP (as e.g. *Pseudomonas syringae* (Maki et al., 1974)), however, these bacteria are typically in the size range  $> 1 \mu\text{m}$  (Monier and Lindow, 2003) and therefore easily filtered with the 0.2  $\mu\text{m}$  syringe filter. Further, some lichen are known to be INA (Kieft, 1988), and some microorganisms release their small contained INP in the aqueous phase as e.g. *Mortierella alpine* (Fröhlich-Nowoisky et al., 2015), which cannot be filtered with used methods. However, most known ice nucleation active lichens and microorganisms as well as released INP typically freeze at significantly higher temperatures (above  $-10^{\circ}\text{C}$  (Maki et al., 1974; Kieft, 1988; Pouleur et al., 1992; Murray et al., 2012; Fröhlich-Nowoisky et al.,*

2015) than the freezing temperatures observed for our samples, with very little exceptions (Iannone et al., 2011). As the highest onset temperature observed in our measurements was -14.1 °C (TBC-L), and the onset temperature of birch pollen washing water was quite close to this value (-15.1 °C), and heat treatment did not affect the extracts of TBA, we do not suspect significant contamination of our samples. However, the INA of birches, especially if growing close to a road or in urban regions, could be affected by soot and other anthropogenic emissions, as soot can act as INP (DeMott, 1990; Murray et al., 2012)”

*Pg 3, line27–The drying process was continued until the weight was constant. How did the authors define “constant?”*

Response: As weight consistency between two weight measurements separated by at least 2 h with ongoing drying procedure between the measurements. This has been included in the methodology part (p3-4, l41-1).

*“All samples were dried for at least twelve hours. Weight consistency was determined by two weighing steps separated by at least two hours of drying.”*

*Pg5, related to Fig. 2 – Since the authors draw conclusions about the types of birch material (leaves, primary wood, etc.), it would be good to show averages + std dev of each type on either the left or right within this figure.*

Response: The suggested changes have been implemented in Figure 2.

*Pg 6, line33 – “pointing to the importance of polysaccharides in our extracts” This is an example of an overstatement, in my opinion. While the polysaccharides may include these specific infrared bands, fundamentally these are vibrational features of individual chemical bonds that can exist in many types of molecules.*

Response: The statement has been removed. We included a short discussion on polysaccharides (p10, l 15-18)

*“The measured FTIR spectra indicate that the birch extracts are chemically similar to each other, and to pure birch wood. As plants do not only contain polysaccharides but several soluble carbohydrates (Magel et al., 2000), we assume those substances to play an important role in the chemical composition of our extracts. Fitting to this assumption, most of the bands found in our spectra could be assigned to carbohydrates and polysaccharides.”*

*Pg 6, line 34 – “can be assigned to other biomolecules” . . . similar to the comment above. I think it would be better stated as “are consistent with” in place of “can be assigned to”*

Response: This has been changed.

*Pg 7, Section 3.4 – Subtle differences in intensity of fluorescence peaks here could easily be a function of analyte concentration. How did the authors control for concentration? If the authors are suggesting that the 10% differences in the peak heights (e.g. of the 260 nm Ex) are due to chemical or biological differences in the sample, they should discuss how they are confident it is not just subtle dilution effects.*

Response: Unfortunately, we cannot control the analyte concentration, as the contained mixture in the different extracts is too diverse to be easily assessed. However, we do not suggest that this is due to chemical or biological differences. Quite on the contrary, we believe our spectra show quite well that none of the analysed extracts contain fluorescent analytes active in the observed range, which

cannot be found in all other extracts too. We broadened the discussion on this point (see p10, l34-37)

*“Throughout the different extracts we found the same peaks, which might stem from similarities in fluorescent analytes between pollen and branch extracts. Small differences in intensities and ratios could result from differences in the concentration of the active substances”*

*Pg7, line 24 – “Most of our samples froze at temperatures close to the freezing temperature of birch pollen washing water.” This line is a bit vague. What do the authors mean by “close to” here and “most?”*

Response: We specified this paragraph (see p8, l33-39).

*“The freezing temperature observed for the aqueous birch pollen extract (-17.1 °C see Figure 2), is in line with values reported in the literature for aqueous birch pollen extracts (reported freezing events are generally between -15 and -23 °C (Diehl et al., 2001; Pummer et al., 2012; Augustin et al., 2013; O’Sullivan et al., 2015)). Interestingly, most of our samples froze in that temperature range between -15 °C and -23 °C.). Half of the leaves (TBC-L, TBD-L, TBF-L, TBG-L, and VB), eight out of ten primary wood samples (TBA-P, TBB-P, TBC-P, TBE-P, TBF-P, TBG-P, TBI-P, and TBV-P) and all secondary wood samples exhibited a mean freezing temperature in this temperature window. Moreover, we observed heat resistance at 100 °C, similar to the results of Pummer et al. (2012).“*

*Pg 8, line33 – “show strong similarities .. shown by Chen et al.” Can the authors expand the discussion on this point? After looking up the spectra shown by Chen et al., I was a bit confused. I see that the Chen spectra seem to be somewhat higher in resolution, but otherwise I wasn’t sure what specific points the authors were trying to extract from the comparison.*

Response: The main reason to include this citation was the comparison between a pure wood sample and our aqueous extracts. With this we like to show that our extracts exhibit most IR spectroscopic patterns found in pure wood by other working groups except for lignin, which is only very weakly soluble in water. Therefore these differences were expected. We tried to make the point of this comparison clearer (see p10, l25-28)

*“Other than the lignin bands, our aqueous extracts show very similar spectroscopic features compared to the pure wood samples. These similarities between the spectra of our extracts and the spectrum of pure wood indicate that our extract method retrieves the majority of components, leading to a similar distribution of bands, with differing intensities due to differences in concentration.”*

*Pg 8, first paragraph – How would these spectra look if you did the same with material from other tree species? Fluorescence spectra are always broad (i.e. compared to IR spectra), and then when grinding large volumes of material to be mixed into a sample for a spectrum – the analysis is obviously very homogeneous and mixed with huge numbers of types of molecules. It does not surprise me that these four sets of spectra look similar – it would surprise me if they looked very different. In contrast, I would expect the same spectra from another tree species to look very similar, so it is hard to know what this fluorescence spectra adds to the overall analysis in the manuscript. Can the authors provide comparisons to fluorescence spectra published elsewhere? Surely this has been done and is otherwise reported.*

Response: Pollen of several tree species have been analysed by Pöhlker et al. 2013 (10.5194/amt-6-3369-2013) and showed that the different species can be differentiated on a family level by maxima and relation between maxima. In our presented fluorescence data, the maxima of the different tree extracts and the birch pollen extracts look very similar not just in the position of the maxima but also

in the relation between the different maxima. The only exception is the primary wood showing a slightly enhanced peak maximum at the 260 nm excitation wavelength.

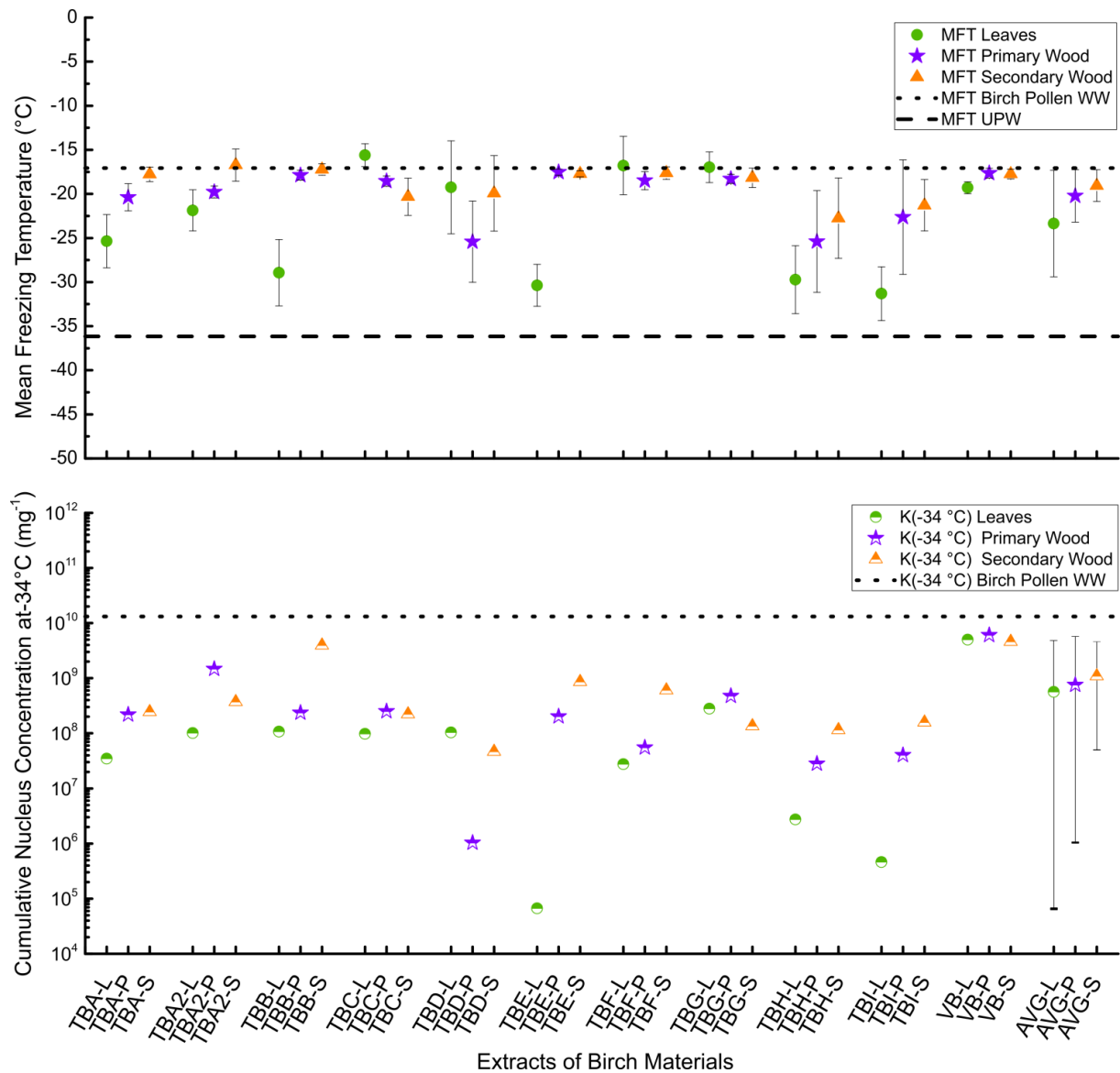
*Page 9, line 24 – “suggest that birch tissues tested contained chemical substances similar to birch pollen.” I disagree with the weight of this statement. I think that the results suggest that the samples may have exhibited broadly similar IR and fluorescence spectral features, but to extend the statement to say that the “chemical substances” were similar was never tested directly here. Also, the data shown in the paper suggest that spectra from different types of material from the same plant are relatively similar, but differences across plant samples are not directly shown.*

Response: We changed this to “aqueous extracts of birch materials tested showed similarities to aqueous extracts of birch pollen” (p11, l35-36) Further we included another paragraph in the discussion section about similarities between the different samples (p11, l7-12)

*“In both, FTIR and fluorescence spectroscopy, we found strong similarities between birch pollen washing water and the different aqueous extracts from the TBA samples. Further comparison with whole pollen grains (for both FTIR and fluorescence spectroscopy), as well as with pure wood (for FTIR), as found in literature, shows strong similarities in the spectroscopic features of our different birch samples. As not just the band position, but also the intensity ratios are agreeable with each other, we assume this to indicate that we are able to extract the major components found in wood with our extraction method and that the pollen and wood samples extracts exhibit chemical similarities to a certain extend.”*

*Figures – In general, I would suggest using color for figures 2-4. For Fig 2, I would also put the circle/triangle/star detail into the figure legend, and not just in the caption. This would make the complex figure easier to read.*

Response: The suggested changes have been implemented.



**Figure 1:** Top panel: Mean freezing temperature (MFT) of the different birch samples. Leaf extracts (L) are marked with a green circle, primary wood extracts (P) with a violet triangle, and secondary wood extracts (S) with an orange star. Further we introduced a dashed line for the MFT of ultrapure water (as a summary of regular measurements conducted over the course of the analysis of the presented samples, -36.2 °C, with a standard deviation of 0.5 °C (not plotted)), and a dotted line for the MFT of birch pollen washing water (-17.1°C with a standard deviation of 0.5 °C (not plotted)). The last three values on the right side represent the average of all mean freezing temperatures for leaves (AVG-L), primary wood (AVG-P) and secondary wood (AVG-S) with the corresponding standard deviation. Bottom panel: cumulative nucleus concentration at -34°C (K(-34 °C)) of the different birch samples per mg extracted sample. Assignment of the symbols is similar to the MFT plot. The dotted line refers to the K(-34 °C) of birch pollen washing water per mg extracted pollen ( $1.3 \cdot 10^{10} \text{ mg}^{-1}$ ). The last three values on the right side represent the average of all K(-34 °C) values. Error bars point to the area of trust, ranging from the highest to the lowest measured values.

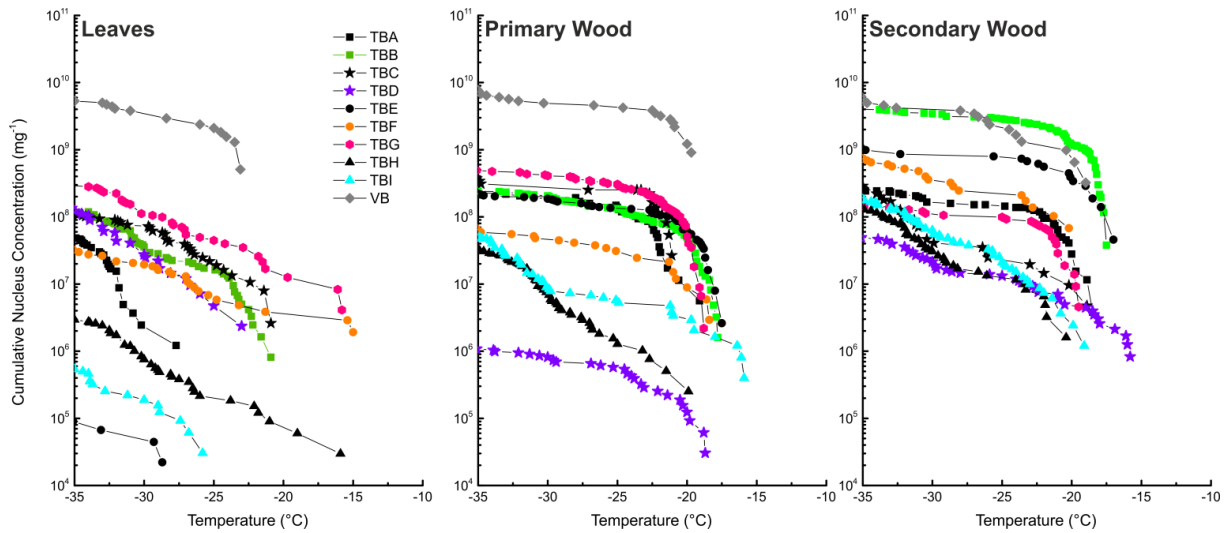


Figure 2: Cumulative nucleus concentration as a function of temperature for leaf extracts (right), primary wood extracts (middle), and secondary wood extracts (right). The diagram is cut off at  $-35^{\circ}\text{C}$ , since we cannot contribute freezing events below this temperature to heterogeneous nucleation. The symbols used for the different data points are grouped. Birches growing in close proximity under similar conditions are marked with the same symbol (different fillings).

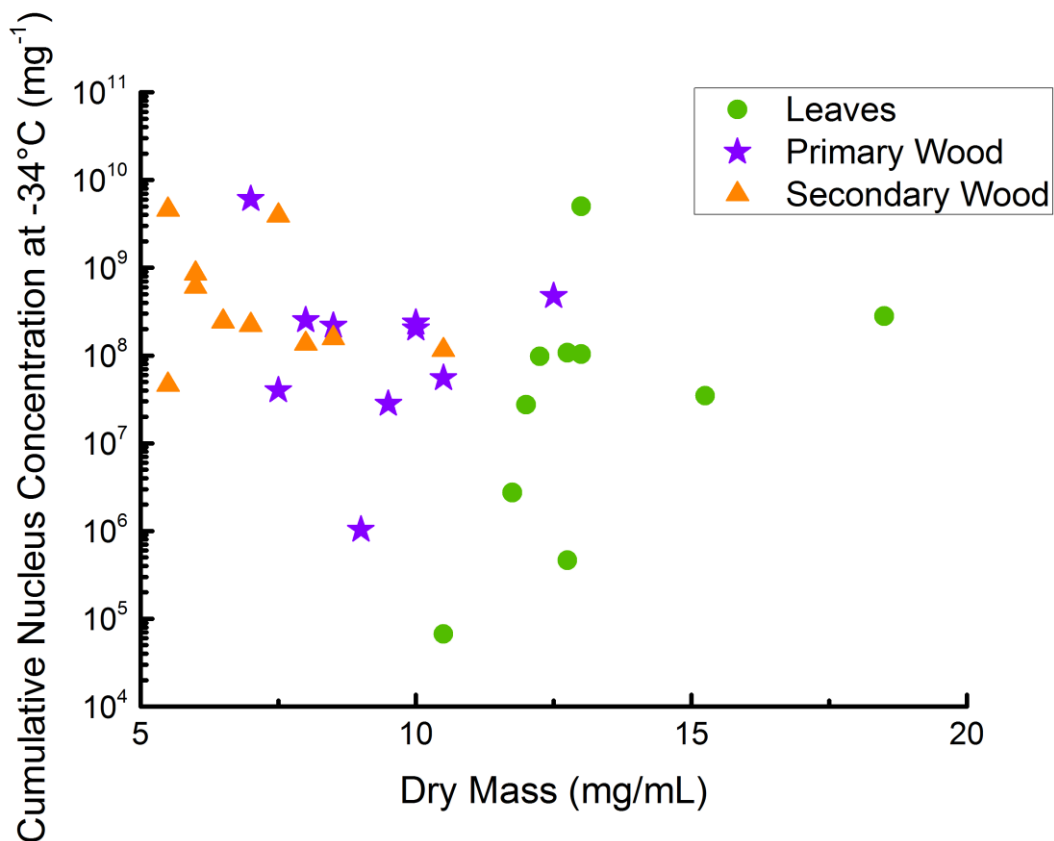


Figure 3: Scatterplot of dry mass (dry residues of the different filtered extracts) and cumulative nucleus concentration at  $-34^{\circ}\text{C}$  per sample mass. The dry mass is the mass we were able to extract with the  $50\text{ mg/mL}$  suspensions. The data show that secondary wood, which contained mostly the highest INM concentrations and lowest variations between different samples, also contained the lowest extractable mass. Therefore INM ratios in the extractable content of the different samples were highest in secondary wood samples.

Figure 3 – How do these data compare to other atmospheric measurements using

### *similar techniques?*

Response: We compared our data to the freezing temperature range of other known atmospheric INP and precipitation samples in the discussion section (p11, l14-24), where we compare our results to different substances and try to sum up what is known about the identity of the birch pollen derived INP.

*“Only little INP are known to trigger freezing above -10°C, which are typically biological substances such as bacteria (Murray et al., 2012). Below -10 °C, birch pollen belong to the group of highest freezing temperatures, with onset higher than most mineral dusts, ash and soot samples (Murray et al., 2012). The vast majority of atmospheric INP and INP retrieved from precipitation samples exhibit freezing temperatures below -10°C (DeMott et al., 2010; Petters and Wright, 2015). The identity of the INP released from birches is still unclear. Pummer et al. (2013) showed that proteins, saccharides, and lipids are easily extracted aqueously from birch pollen. While Pummer et al. (2012) and Dreischmeier et al. (2017) speculate that the responsible molecules are carbohydrates, Tong et al. (2015) attributes the highest INA to extracted proteins. Hiranuma et al. (2015) showed that cellulose, which is ubiquitous in plants, exhibits INA in the right temperature range. With our spectroscopic data, we found strong indicators for saccharides being present, including prominent bands which could be associated with cellulose. Further, we found bands in the most prominent protein regions, though those could be assigned to other molecule groups.”*

### **Further changes:**

We excluded the Saxena reference in the introduction

Figure 2 was split into 2 panels. Further we included the  $K(-34\text{ °C})$  per mg birch pollen as reference line (introduced in p6, l20-22)

*“The dotted line in the lower panel refers to the  $K(-34\text{ °C})$  value of birch pollen washing water ( $1.3 \cdot 10^{10}\text{ mg}^{-1}$ ). Presented data shows that the samples with the highest  $K(-34\text{ °C})$  values (TBB-S, and all samples from the Viennese birch) contain similar amounts of INP per mg extracted sample.”*

We further included Sheil 2018 in the introduction (p 2, l 20-23)

*“While we know that forests influence the atmospheric water-cycle, the underlying processes are only poorly understood and characterized and it is important to further our understanding in this area, not just to enhance climatic predictions, but also to better understand the consequences of the changes in Earth’s forests due to human activities (Sheil, 2018).”*



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# Birch leaves and branches as a source of ice-nucleating macromolecules

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**Abstract.** Birch pollen are known to release ice-nucleating macromolecules (INM), but little is known about the production and release of INM from other [parts](#) of the tree. We examined the ice nucleation activity of [samples](#) from ten different birch trees (*Betula spp.*). Samples were taken from nine birch trees in Tyrol, Austria, and from one tree in a small urban park in Vienna, Austria. Filtered aqueous extracts of 30 samples of leaves, primary wood (new branch wood, green in colour, photosynthetically active), and secondary wood (older wood of a branch, brown in colour, with no photosynthetic activity) were analysed in terms of ice nucleation activity using VODCA (Vienna Optical Droplet Crystallization Analyser), a cryo microscope for emulsion samples. All samples contained ice nuclei in the submicron size range. Concentrations of ice nuclei ranged from  $6.7 \cdot 10^4$  to  $6.1 \cdot 10^9$  per mg sample. Mean freezing temperatures varied between  $-15.6$  °C and  $-31.3$  °C with the majority of the samples showing freezing temperatures close to those of birch pollen extract, indicating a relationship between the INM of wood, leaves and pollen. Extracts derived from secondary wood showed the highest concentrations of INM and the highest freezing temperatures. Extracts from the leaves exhibited the highest variation in INM and freezing temperatures. Infrared and fluorescence spectroscopy of the extracts suggest that the birch [samples](#) tested contained chemical substances similar to birch pollen.

## 1 Introduction

Pure water can typically be supercooled to temperatures below the melting point of ice ( $0$  °C at atmospheric pressure) without freezing (Cantrell and Heymsfield, 2005; Hegg and Baker, 2009; Murray et al., 2010). In order to freeze, water molecules have to be arranged in an ice like pattern and overcome a critical cluster size (Turnball and Fisher, 1949; Cantrell and Heymsfield, 2005). This freezing mechanism, if happening as a stochastic process from the pure liquid, and in the absence of catalysing substances, is called homogeneous ice nucleation (Cantrell and Heymsfield, 2005). In micrometre-sized droplets this phase change takes place at temperatures below  $-35$  °C (Pruppacher and Klett, 1997). However, freezing can also be triggered at higher sub-zero temperatures by foreign substances (Dorsey, 1948) called ice nucleating particles (INP, Vali et al., 2015), which is referred to as heterogeneous freezing. In the atmosphere, INP can contribute to cloud glaciation and precipitation (Lohmann, 2002). Ice clouds impact the radiation balance of the Earth and therefore our climate (Mishchenko et al., 1996; Baker, 1997; Lohmann, 2002; Intergovernmental Panel on Climate Change, 2007). Representatives of many different substance classes of aerosols have been found to act as INP (Hoose and Möhler, 2012; Murray et al., 2012). Despite this ubiquitous distribution throughout different aerosol species, ice nucleation active material only represents a small part of total atmospheric aerosol (Rogers et al., 1998). Typical total aerosol concentrations range between  $10^2$  and  $10^3$  per  $\text{cm}^3$  for free troposphere and marine boundary layer concentrations, and between  $10^3$  and  $10^5$  per  $\text{cm}^3$  for continental boundary layer concentrations (Spracklen et al., 2010). INP concentrations are much lower and range between  $10^{-1}$  and  $10^{-4}$  per  $\text{cm}^3$  (Rogers et al., 1998; DeMott et al., 2010).

There are significant gaps in the understanding of heterogeneous ice nucleation and the contributions of different sources of INP. The role of biological substances in this process is understudied (Möhler et al., 2007; Murray et al., 2012). Field studies

have demonstrated that the biosphere acts as an important source for primary aerosol particles (Jaenicke, 2005). Jia et al. (2010) analysed carbon sources of PM<sub>2.5</sub> particles (particulate matter with an aerodynamic diameter of 2.5 µm or smaller) collected at an urban and a rural site in Texas, and attributed 5-13 % of the particle mass to primary biological sources and 4-9 % to secondary organic aerosols. Biological residues can be adsorbed on dust particles (O'Sullivan et al., 2016). Even small amounts of adsorbed biological matter can increase nucleation temperatures of less active ice nuclei (Conen et al., 2011). Several studies point to the importance of biological material in cloud processes. Precipitation can contain large amounts of INP. Petters and Wright (Petters and Wright, 2015) combined data from a large number of measurements and found a high variability in concentration in the range between -5 and -12 °C, which is assumed to be biological, with a maximum of approx. 500 000 per L water. Christner et al. (2008) analysed snow and rain samples from the United States (Montana and Louisiana), the Alps and the Pyrenees, Antarctica (Ross Island) and Canada (Yukon), where they found rather low INP concentrations, but biological INP to represent the majority of the contained INP. Pratt et al. (2009) examined ice crystal residues collected from ice clouds 8 km over Wyoming, US, and about a third of the collected material was of biological origin. Moreover, 60 % of the highly abundant mineral dusts were internally mixed with biological or humic substances. Kamphus et al. (2010) analysed ice crystal residues from mixed phase clouds at the Jungfraujoch station in the Swiss Alps, and found that 2-3 % of the material at 3500 m could be classified as biological. Conen et al. (2016) found indications that leaf litter, which naturally hosts a vast variety of microorganisms, enriches Arctic air with ice nucleating particles. Huffman et al. (2013) collected aerosols above woodlands in Colorado. They observed a burst in biological INP concentrations in the atmosphere that appeared to be linked to rain events. Since biological INP are capable of influencing cloud glaciation and precipitation (Sands et al., 1982; Morris et al., 2014), rain-induced bursts might be important contributors to atmospheric and hydrological processes. While we know that forests influence the atmospheric water-cycle, the underlying processes are only poorly understood and characterized and it is important to further our understanding in this area, not just to enhance climatic predictions, but also to better understand the consequences of the changes in Earth's forests due to human activities (Sheil, 2018).

Biological material from plants could be an abundant source of INP. The controlled freezing of water within a plant is an important ~~tool~~ mechanism for plants to cope with cold climatic conditions. The freezing of water is challenging for living organisms, since it often leads to lethal injuries during the process (Storey and Storey, 2004). Some plants that are exposed to cold stress have developed unique strategies to ensure their survival (Zachariassen and Kristiansen, 2000). Intracellular freezing can lead to a disruption of the cell and typically has lethal consequences for the cell and subsequently for the plants (Mazur, 1969; Burke et al., 1976; Pearce, 2001). Many plants grow in climatic zones where temperatures regularly fall low enough to make a complete avoidance of freezing impossible. To avoid cell damage under such conditions, those plants typically trigger the freezing process in their extracellular spaces (Burke et al., 1976), a process that can be achieved by releasing INP in the plant's tissue. This freezing process leads to a dehydration of the cell, due to the attraction of intracellular water by extracellular ice (Mazur, 1969). Dehydration induces several changes inside of cells such as changes in pH-value, salt concentration, and protein denaturation. Therefore, frost hardiness is often defined by the degree of dehydration a plant can survive (Burke et al., 1976). During cell dehydration, a rapid increase in concentration of ions and small molecules inside the cell takes place, leading to freezing point depression and thus hinders intracellular ice formation (Burke et al., 1976). If temperatures fall too low, the high intracellular salt concentration often promotes glass formation (Hirsh et al., 1985). Frost hardy plants are able to survive rapid cooling to liquid nitrogen temperatures, if they are pre-frozen at -15° to -30°C depending on the plant and time of the year (Sakai, 1973). These results show that controlled freezing can be an important ~~tool~~ mechanism for plants to cope with cold climatic conditions. Though even controlled freezing comes with a risk for plants (e.g. cavitation due to bubble formation (Sperry and Sullivan, 1992)), many plants have been found to be ice nucleation active. Such plants are e.g. blueberry (Kishimoto et al., 2014), sea buckthorn (Jann et al., 1997; Lundheim and

Wahlberg, 1998),- and winter rye (Brush et al., 1994). These processes and findings indicate that plants are a viable source of INP, a topic that requires further study.

Spectroscopic methods are a key instrument in characterizing complex biological systems. One of the methods typically applied on biological materials is infrared spectroscopy (Baker et al., 2015). Infrared spectroscopy can be applied for the characterization and discrimination of plants (Kim et al., 2004; Gorgulu et al., 2007; Anilkumar et al., 2012; Carballo-Meilan et al., 2014). Further infrared spectroscopy has already shown to respond well on the biochemical features of pollen of different species, allows differentiation of such (Gottardini et al., 2007; Pummer et al., 2013; Zimmermann and Kohler, 2014; Bağcıoğlu et al., 2015) and can even be used to gain information on the environmental conditions (Zimmermann and Kohler, 2014). While fluorescence spectroscopy is currently not used to discriminate different species, Pöhlker et al. (Pöhlker et al., 2013) showed that discrimination of pollen is possible with this technique on a family level.

In our study we look for INP in different parts of birch trees. Birch pollen are already known to exhibit ice nucleation activity (INA) (Diehl et al., 2001), and recent research suggests that pollen grains play a role in local INP concentrations during pollen peak periods (Kohn, 2016). They easily release their ice nucleation active compounds which are in the macromolecular size range (Pummer et al., 2012). However, little is known about the production and release of these ice-nucleating macromolecules (INM) from other parts of the tree. We hypothesized that the materials throughout a birch trees are ice nucleation active and that the active compound(s) in these birch materials from different parts of the tree are similar to those in birch pollen. The specific objectives of this study were to (1) investigate the INA of the different birch tree samples, especially in regard to similarities to the INM, which have already been found in birch pollen (Pummer et al., 2012, 2015), (2) determine the distribution of INM throughout leaves and branches of birch trees, and (3) compare spectroscopic and ice nucleation results of different birch trees to establish the variability in chemical nature and INA of the different trees.

## 2 Materials and methods

### 2.1 Samples

Samples were collected from nine birches in Tyrol, Austria (named TB for Tyrolian Birch and numbered A to I) and one birch located in an urban park in Vienna, Austria in the spring and summer of 2016. Detailed descriptions of all investigated birches can be found in Table 1. Larger branches were removed from the lower 3 m of the canopy, and were divided into three sample groups including leaves, ~5cm sections of primary wood (green, photosynthetically active), and ~5cm sections of secondary wood (brown, no photosynthetic activity). Representative material was combined for each tree, resulting in thirty bulk samples (1 bulk sample of each sample group, per tree) for downstream analyses. All tools used were surface disinfected with 90% ethanol prior to branch removal. The samples were stored in a cooler for transport back to the laboratory, and were frozen within a few hours of collection at -20 °C. The Tyrolean samples were collected along an altitudinal gradient (from altitudes between 799 m to 1925 m). The locations of the Tyrolian birches are shown in Figure 1. Birch pollen used for fluorescence and FTIR spectroscopy were *Betula pendula* pollen from AllergonAB (Thermo Fisher).

### 2.2 Sample preparation

Samples were processed using the following milling procedure. Prior to milling visible contaminations on the outside of the samples (e.g., lichens) were removed. A swing mill (Retsch MM400) was used (with a frequency of 25 s<sup>-1</sup>) to mill each of the samples. We used approx. 20 cm increments per wood sample (cut into pieces of about 0.5 cm) and 2-3 leaves per leaf sample, which were milled and bulked together. In all cases the wood and leaf samples stemmed from a single branch per tree. Each sample was cooled with liquid nitrogen between two milling steps. We achieved this by immersing the milling container containing the sample and the ball (stainless steel) in liquid nitrogen. After equilibrium was reached, we remounted the container on the mill and conducted the next milling step. We milled each sample four times for 30 s. After the milling

process the products were dried in vacuum over silica gel until the weight was constant. All samples were dried for at least twelve hours. Weight consistency was determined by two weighing steps separated by at least two hours of drying. Total dry mass of the sample bulks varied between approx. 100 and 600 mg. Part of the dried bulk was immersed in ultrapure water (produced with Millipore® SAS SIMSV0001) (1 ml per 50 mg of powder). Over a time of six hours the mixture was shaken two to four times. Afterwards it was centrifuged (3500 rpm/ 1123 g for 5 min) and the supernatant was pressed through a 0.2 µm syringe filter (VWR, cellulose acetate membrane, sterile). removing all bigger particles, as well as possible impurities from e.g. intact bacterial cells.

Birch pollen washing water was prepared using 50 mg pollen and adding 1 ml of ultrapure water. The suspension was treated the same way as the wood and leaf suspensions, except for centrifuging, which was done for 10 min. Since we filtered our samples, all data presented refers to INM concentrations in the submicron size range (per mg sample mass, extractable aqueously with a 50 mg/mL sample load within six hours).

### 2.3 VODCA (Vienna Optical Droplet Crystallisation Analyser)

The Vienna Optical Droplet Crystallisation Analyser (VODCA) was used to determine INA as described by Pummer et al (2012). To monitor freezing of separated droplets, emulsions were created consisting of an aqueous phase in paraffin oil containing lanolin as emulsifier. As aqueous part of the emulsion ultrapure water was used for blank measurements and sample extracts were used for sample measurements. The emulsions were prepared on thin glass slides via mixing by hand with a pipette tip with oil in small excess, leading to aqueous droplets in an inert phase (Hauptmann et al., 2016). One glass slide was then placed on a Peltier element (Quick-cool QC-31-1.4-3.7M) with a thermocouple on its surface (next to the sample spot). The Peltier element was mounted on a copper cooling block cooled by an ice water cycle. The element and the cooling block were situated in an air tight cell, which was closed during measurements. To prevent humidity from interfering with measurements, the cell was flushed with dry nitrogen gas whenever the sample was changed. To observe the freezing events we used an incident light microscope (Olympus BX51M) with an attached camera (Hengtech MDC320) linked to a computer.

Once the sample had been placed on the Peltier element and the cell was closed, the cooling process was started. All here presented data was obtained with a cooling rate of 10 °C/min. To evaluate freezing, photos were taken during the whole process. The first one was always taken of the unfrozen sample as a blank. For each photo the respective sample temperature,  $T_{photo}$ , was recorded. Comparison of different photos made it possible to evaluate the number of frozen droplets and therefore the frozen droplet fraction at a certain temperature. Cooling continued until all droplets were frozen. Only droplets in the size range between 15 and 40 µm (droplet volume: 1.8 – 34 pL) were included in our evaluation.

### 2.4 Data analysis

Results of the freezing experiments are presented as cumulative nucleus concentration (see below) and as mean freezing temperature (MFT). The MFT is the weighted average freezing temperatures of all analysed droplets of a single aqueous sample extract, determined by the following the equation:

$$MFT = \frac{\sum T_i * n_i}{n_{-35^\circ C}} \quad (1)$$

with  $T_i$  being a recorded temperature,  $n_i$  being the number of droplets freezing at this temperature, and  $n_{-35^\circ C}$  being the number of droplets frozen at temperatures of -35 °C and higher. The formula only accounts for temperatures of -35 °C and higher and consequently only for droplets frozen at these temperatures. This is done to minimize the risk of including homogeneous freezing events in our presented data.

The cumulative nucleus concentration  $K(T_{photo})$  was used as an indicator for the number of INM at temperatures above  $T_{photo}$  contained in the sample. To determine IN concentrations, the number of frozen droplets  $n_{frozen}$  for a given temperature  $T_{photo}$  were counted. The droplet volume included in the evaluation was calculated for a droplet with a diameter of 25  $\mu\text{m}$  (median droplet diameter). To prevent an underestimation of the concentration of INM freezing at lower temperatures (Govindarajan and Lindow, 1988), samples showing no homogeneous freezing in the first measurement were diluted and re-measured. The measurements of diluted samples were only used for the determination of  $K(T_{photo})$ , not for the MFT.

The cumulative nucleus concentration is described as (Vali, 1971; Murray et al., 2012):

$$K(T_{photo}) = -\frac{\ln(1-f_{ice})}{V} * d \quad (2)$$

With  $f_{ice}$  being the frozen droplet fraction,  $V$  the droplet volume (8.2 pL for 25  $\mu\text{m}$  diameter), and  $d$  the dilution factor.

$$f_{ice} = \frac{n_{frozen}}{n_{total}} \quad (3)$$

With  $n_{total}$  being the total number of droplets and  $n_{frozen}$  the number of frozen droplets.

The cumulative nucleus concentrations are given over the whole temperature range, further the concentration at -34  $^{\circ}\text{C}$  was used to compare different samples. Since we have never observed homogeneous freezing of ultrapure water at temperatures of -34  $^{\circ}\text{C}$  and higher with our setup, we attribute these values purely to heterogeneous freezing events.

## 2.4. FTIR-spectroscopy

FTIR (Fourier-transform-infrared) spectroscopic measurements were conducted with a Vertex 80v (Bruker, Germany) containing an MCT (mercury cadmium telluride) detector cooled with liquid nitrogen. The optical bank was evacuated (2.6 hPa) and had a GladiATR<sup>TM</sup> single reflection ATR accessory unit (Pike, USA). The ATR unit contained a diamond crystal as total reflection window. OPUS 6.5 software was used for evaluation and instrument control. For each measurement, 128 scans were accumulated at a resolution of 0.5  $\text{cm}^{-1}$ . The crystal surface was flushed with dry nitrogen to prevent humidity from interfering with the measurements.

All three extracts of TBA as well as birch pollen washing water were measured at the same conditions by preparing a thin liquid layer of the extract and evaporating the contained water with a fan. The temperature on the surface of the crystal during evaporation was always below 35  $^{\circ}\text{C}$ . This process was repeated until the dried residues of approx. 20  $\mu\text{L}$  of the sample had been applied.

## 2.5. Fluorescence spectroscopy

Fluorescence spectra were recorded with a FSP920 spectrometer (Edinburgh Instruments, UK), equipped with an S900 single photon photomultiplier detection system and a Xe900 xenon arc lamp (450 W). Data acquisition and presentation were performed with F900 software. We placed a droplet (approx. 5-10  $\mu\text{L}$ ) on a clean object slide and trapped it by covering it with a clean thin cover glass. We used a special sample holder for object slides to mount the sample in the beam path. All samples were measured on this holder in the reflectance mode.

We ran emission/excitation maps for all three TBA extracts as well as birch pollen washing water. Excitation was set from 230 nm to 400 nm and emission from 350 nm to 650 nm. The wavelength area of interest was determined with overview measurements of birch pollen washing water. Step width was set to 2 nm and dwell time to 0.25 s. To avoid a first order excitation of the monochromator, an offset of 10 nm was used. To further minimize the risk of first and second order excitation, we installed a low-cut filter at 350 nm. Excitation spectra at 320 nm and 260 nm (pictured in [Figure 7](#)) were smoothed using the F900 software.



### 3 Results

#### 3.1 Freezing temperature and ice nuclei concentration

All of the analysed 30 extracts of birch trees were ice nucleation active (Figure 2). The highest variation in mean freezing temperature (MFT) was found for the extracts from the leaves, which showed the highest (TBC-L -15.6 °C) and lowest (TBI-L -31.3 °C) MFT amongst all analysed samples (Figure 2). Of the ten birch trees, the leaves of only five trees (TBC-L, TBD-L, TBF-L, TBG-L, VB-L) showed freezing temperatures close to the birch pollen line (-17.1 °C see Figure 2). Those samples froze between -15.6 °C (TBC-L) and -19.3 °C (TBD-L and VB-L). The remainder of the analysed leaf extracts froze at temperatures of -25.4 °C and below.

All of the primary wood extracts were ice nucleation active, with most MFT values between -17.5 °C (TBE-P) and -22.6 °C (TBI-P). Further two samples froze at -25.4 °C (TBH-P, TBD-P). For most secondary wood extracts, we found slightly higher MFTs than for the primary wood samples. The values ranged from -17.2 °C (TBB-S) to -22.8 °C (TBH-S). The MFTs of the majority of the wood samples were close to the birch pollen line (-17.1 °C, see Figure 2).

The cumulative nucleus concentration  $K(T_{\text{Photo}})$  showed a trend similar to the MFT (as depicted for -34 °C in Figure 2, and for all temperatures above -35 °C in Figure 3). Leaf extracts mostly exhibited cumulative nucleus concentration at -34 °C between  $2.8 \cdot 10^6 \text{ mg}^{-1}$  (TBH-L) and  $5.0 \cdot 10^9 \text{ mg}^{-1}$  (VB-L), with two outliers exhibiting  $4.6 \cdot 10^5 \text{ mg}^{-1}$  (TBI-L) and  $6.7 \cdot 10^4 \text{ mg}^{-1}$  (TBE-L). However, these two outliers with the low INM concentration were the two leaf samples exhibiting the lowest MFT values (TBE-L -30.4 °C, TBI -31.3 °C). This indicates that the unusually low MFTs are a result of low concentrations of INM in the sample. Leaf extracts, which exhibited the highest variation in MFT also exhibited the highest variation in INM concentration (see Figure 2, Figure 3, and Figure 4).

The dotted line in the lower panel refers to the  $K(-34 \text{ °C})$  value of birch pollen washing water ( $1.3 \cdot 10^{10} \text{ mg}^{-1}$ ). Presented data shows that the samples with the highest  $K(-34 \text{ °C})$  values (TBB-S, and all samples from the Viennese birch) contain similar amounts of INP per mg extracted sample.

For primary wood extracts, most values for  $K(-34 \text{ °C})$  ranged between  $1.0 \cdot 10^6 \text{ mg}^{-1}$  (TBD-P) and  $6.1 \cdot 10^9 \text{ mg}^{-1}$  (VB-P). Secondary wood extracts again exhibited the least variation, which can be seen best in Figure 3 and Figure 4. Their cumulative nucleus concentrations at -34 °C ranged from  $4.6 \cdot 10^7$  (TBD-S) to  $4.6 \cdot 10^9 \text{ mg}^{-1}$  (VB-S, TBB-S). Figure 3 shows that this decreased variation compared to the other samples is not just true for the cumulative nucleus concentration at -34 °C, but over the whole temperature regime.

To examine the INP distribution within a tree, a second branch of TBA was prepared and measured according to the described protocol. Resulting data are presented in Figure 2 and marked with a 2 (TBA-L2, TBA-P2, and TBA-S2). Primary and secondary wood extracts are well in line regarding their freezing temperatures (TBA P -20.4 °C, TBA-P2 -19.8 °C; TBA-S -17.8 °C, TBA-S2 -16.7 °C), however, the primary wood from the second analysed branch contained higher INP concentrations (TBA P  $2.2 \cdot 10^8 \text{ mg}^{-1}$ , TBA-P2  $1.5 \cdot 10^9 \text{ mg}^{-1}$ ; TBA-S  $2.4 \cdot 10^8 \text{ mg}^{-1}$ , TBA-S2  $3.7 \cdot 10^8 \text{ mg}^{-1}$ ). Leaves varied in their freezing temperatures and cumulative nucleus concentrations (TBA-L -25.3 °C and  $3.5 \cdot 10^7 \text{ mg}^{-1}$ , TBA-L2 -21.8 and  $1.0 \cdot 10^8 \text{ mg}^{-1}$ ).

Further we analysed the relationship of the extractable INM concentration and the extractable total mass. The total extractable mass (given as dry mass in Figure 4) describes the weight of the dry residue of a filtered extract in mg/mL. It was highest for leaf extracts and lowest for the secondary wood extracts. As in the other attributes, leaf extracts exhibited the highest variations with dry masses ranging from 11 (TBE-L) to 19 mg/mL (TBG-L), followed by primary wood extracts ranging from 7 (VB-S) to 13 mg/mL (TBG-P). Dry masses of the secondary wood extracts ranged from 6 (TBD-S, TBE-S, TBF-S, VB-S) to 11 mg/mL (TBH-S). The secondary wood samples tended to exhibit highest concentrations of INM per mg sample mass, they also had the highest ratio of INM compared to dry mass (see Figure 4). The lowest ratio was found for the leaf extracts.

While our results show that all analysed birch trees were ice nucleation active, we also found that the trees themselves vary in their activity if compared to each other. We found lowest concentrations of INM (if all samples are regarded) for TBD, TBH, and TBI (see Figure 2 and Figure 3), all of which were growing along a riverbank with no traffic next to the trees. Only one tree with these growing conditions was found to exhibit high INM concentrations (TBC). Highest concentrations were found in the samples of the Viennese birch, located in a small park in Vienna, surrounded by heavy traffic. We found that trees, which were growing in close proximity to each other (see Figure 1), often exhibited comparable INA. This is especially true for TBA and TBB, as well as TBH and TBI. TBE and TBF match each other well except for the INA of the analysed leaves. TBC and TBD however acted significantly different if compared to each other, with TBD showing decreased INM concentrations.

### 3.2. Heat Treatment

To analyse the similarities to birch pollen washing water, all three extracts of TBA were treated at 100 °C following the protocol introduced by Pummer et al (2012). Therefore 100 µl of each extract were applied on a clean glass slide and put in an oven set to 100 °C. After an hour the dry residues were resuspended in 100 µl of ultrapure water each and analysed for INA. The results of this experiment are given in Figure 5 as MFT and K(-34 °C) values. The corresponding values of the untreated TBA extracts are plotted for comparison. We find no major changes in the mean freezing temperatures (TBA-L -25.4 °C, TBA-L treated -26.1 °C; TBA-P -20.4 °C, TBA-P treated -20.9 °C; TBA-S -17.8 °C, TBA-S treated -18.2 °C) or K(-34 °C) values (TBA-L  $3.5 \cdot 10^7 \text{ mg}^{-1}$ , TBA-L treated  $4.1 \cdot 10^7 \text{ mg}^{-1}$ ; TBA-P  $2.2 \cdot 10^8 \text{ mg}^{-1}$ , TBA-P treated  $1.5 \cdot 10^8 \text{ mg}^{-1}$ ; TBA-S  $2.4 \cdot 10^8 \text{ mg}^{-1}$ , TBA-S treated  $1.8 \cdot 10^8 \text{ mg}^{-1}$ ).

### 3.3. FT-IR-spectroscopy

FT-IR-spectroscopy was used to examine similarities in chemical composition between the extracts of TBA (leaves, primary and secondary wood) and aqueous birch pollen extract. The normalized FT-IR-spectra are shown in Figure 6. Table 2 contains assignments for the band positions. On the left side of the spectrum, there is a broad band with a maximum at approx.  $3300 \text{ cm}^{-1}$  typical for NH and OH stretching vibrations, and further a bisected band with maxima at  $2940 \text{ cm}^{-1}$  and  $2890 \text{ cm}^{-1}$ , which can be assigned to aliphatic CH stretching vibrations. All four spectra show a weak shoulder at approximately  $2700 \text{ cm}^{-1}$  which is linked to OH stretching vibrations. On the low-frequency side ( $1800$  to  $750 \text{ cm}^{-1}$ ) we find a broad array of bands. We assigned 19 maxima. Several of these bands are typical for saccharides as well as for xylan. We also found bands in all three typical amid regions. All three regions are consistent with other biomolecules (e.g. polyketides) as well; therefore the presence of peptides is not entirely clear. The spectra of the different extracts of TBA (Figure 6) show a strong resemblance to each other, but we find three main differences. (a) The intensity at  $1510 \text{ cm}^{-1}$ : while the band is strongly visible in the spectrum of secondary wood extracts, it is much less pronounced in the spectra of primary wood and leaf extracts. (b) The band at  $1070 \text{ cm}^{-1}$  is strongest visible for the leaf extract, where it nearly swallows its neighbour at  $1110 \text{ cm}^{-1}$ , while it is only present as a slight shoulder for the wood extracts. (c) The region of  $920 \text{ cm}^{-1}$  and below increases in intensity from leaf extract over primary wood extract to secondary wood extract.

Comparing the birch pollen washing water to the TBA extracts, we see an enhancement of the low-frequency site of the spectrum. We find all maxima present in the pollen washing water spectrum also in the other extracts: However, some bands, which are clearly pronounced in the pollen spectrum, are only very weak shoulders in the TBA extracts spectra ( $1350$ ,  $1300$ ,  $1270$ ,  $1200$ ,  $1140$ ,  $810$ , and  $770 \text{ cm}^{-1}$ ). Furthermore we find the maxima of the two most pronounced bands ( $3300$  and  $1050 \text{ cm}^{-1}$  given for the TBA extracts) to be shifted slightly by approx.  $25 \text{ cm}^{-1}$ .

### 3.4. Fluorescence spectroscopy

Fluorescence-emission maps were recorded for birch pollen washing water, and all three TBA extracts (primary wood, secondary wood, and leaves) (see [Figure 7](#)). Additionally we included the emission spectra of all four samples excited at  $\lambda_{\text{ex}}= 260$  nm and 320 nm, for a better comparison between the samples of present bands. These wavelengths were chosen due to the best visibility of the three maxima found. All four samples exhibited a band with a maximum at approx. 520 nm (maximum emission at  $\lambda_{\text{ex}}= 230$  to 310 nm). Moreover they all exhibited a double band with maxima at approx. 450 nm and 390 nm and a broad shoulder between the second maximum and approx. 600 nm (maximum emission at  $\lambda_{\text{ex}}= 300$  to 350 nm). The emission spectrum at 320 nm further shows a narrow band at 640 nm (see [Figure 7](#)). This band does not derive from the sample but is an artefact due to second order excitation originating from the excitation light. Not included in our maps is chlorophyll. Its emission typically starts at 650 nm (with maxima at 700 nm and above) (Cerovic et al., 1999).

The three analysed TBA extracts and birch pollen washing water exhibit similar spectra not just concerning the bands, but also their ratios. Only TBA-P showed a different ratio between the 520 nm band and the 450 and 390 nm double band, the three other samples were consistent.

## 4 Discussion

We examined the ice nucleation activity (INA) of [samples](#) from ten different birch trees (*Betula spp.*) to extend the knowledge on their INA. Samples were taken from nine birch trees in Tyrol, Austria, and from one tree in a small urban park in Vienna, Austria. Filtered aqueous extracts of 30 samples of leaves, primary wood, and secondary wood were analysed for INA using VODCA (Vienna Optical Droplet Crystallization Analyser), an emulsion technique. All of the samples from milled birch branches contained INM in the submicron size range. Such INM were previously found in other biological material including fungi and leaf litter (Schnell and Vali, 1973; Fröhlich-Nowoisky et al., 2015; O'Sullivan et al., 2015), as well as birch pollen (Pummer et al., 2012, 2015). Our results extend these previous observations and demonstrate that aboveground [material from](#) the birch tree (and not just the pollen) can produce INM.

Several studies have found that organic components can increase the INA of soil and dust (Conen et al., 2011; O'Sullivan et al., 2014, 2016; Tobo et al., 2014; Hill et al., 2016). Such organic components could be provided by INM released by birch trees, which could stick to inactive particles, and thus enhance their INA. [Cracks and wounds on the surface could allow the INP to be washed of the surface of twigs and leaves into the soil. This marks a](#) potential to influence the INA of mineral dust and soil particles and act as INP in the atmosphere. Huffman et al (2013) observed increased INP concentrations after rain events related to a burst in concentrations of biological particles. Perhaps INM released from plants such as birch play an important role in this process. [Further studies on possible release pathways of the INP from birches into the surrounding environment are necessary to quantify such effects.](#)

The freezing temperature [observed for the aqueous birch pollen extract \(-17.1 °C see Figure 2\), is](#) in line with [values reported in the literature](#) for aqueous birch pollen extracts (reported freezing events [are generally](#) between -15 and -23 °C (Diehl et al., 2001; Pummer et al., 2012; Augustin et al., 2013; O'Sullivan et al., 2015)). Interestingly, most of our samples froze [at-in that](#) temperature [range between -15 °C and -23 °C.](#) [Half of the leaves \(TBC-L, TBD-L, TBF-L, TBG-L, and VB\), eight out of ten primary wood samples \(TBA-P, TBB-P, TBC-P, TBE-P, TBF-P, TBG-P, TBI-P, and TBV-P\) and all secondary wood samples exhibited a mean freezing temperature in this temperature window. Moreover, we observed heat resistance at 100 °C, similar to the results of Pummer et al. \(2012\).](#) This indicates a resemblance between the INM from pollen and those found in the extracts of leaves, primary wood, and secondary wood. The significant variations in freezing temperatures for some samples were biased by the much lower INM concentrations. Based on these results, we hypothesize

that the INM in birch trees are quite similar in pollen, leaves, primary wood, and secondary wood. This means that INM from birch trees are not just relevant during the pollen season but over a longer period of time, possibly even over the whole year. It is important to conduct further research on the seasonal dependency of the production of INM of birch trees.

5 | We observed a high variability of INM in leaves. Even for leaves of two branches of the same tree, we found differences in their freezing temperatures. Only five out of ten samples froze at similar temperatures as the INM from birch pollen. The high variability could be explained by external impacts, as leaves are easily influenced by their growing conditions. Leaves growing in the shade exhibit reduced dry masses and nitrogen content (Eichelmann et al., 2005). Also their hydrological conductivity is impacted by radiation (Sellin et al., 2011). Further the growing site next to a river typically leads to enhanced  
10 | water availability, which can cause increased leaf conductivity and transpiration rate in the lower crown foliage of trees (Sellin and Kupper, 2007).

Birches are native through most of Europe, even up to central Siberia and are capable of growing in boreal regions and high altitudes (Beck et al., 2016). Due to climate change and their resistance against cold climate, some birches can even be found  
15 | above the tree line (Truong et al., 2007). Due to this vast distribution area, the growing conditions of birches may vary greatly. We speculate that environmental conditions may influence the production and release of INM from birch. Many environmental factors can affect the plant physiology and growth as e.g. humidity (Sellin et al., 2013), atmospheric ozone (Maurer and Matyssek, 1997; Harmens et al., 2017), CO<sub>2</sub> (Rey and Jarvis, 1998; Kuokkanen et al., 2001), NO<sub>x</sub> and SO<sub>2</sub> (Freer-Smith, 1985; Martin et al., 1988), as well as exposure to light and its wavelength (Eichelmann et al., 2005; Sellin et al., 2011). All of these factors might also influence the INM production of birch trees. The extracts of branches showed a systematic distribution of INM that could relate in part to their growth environment. Wood samples of the birches TBD, TBH, and TBI (see Figure 2), which all three were growing next to a river, froze at lower temperatures than the other birch samples. Moreover, there was a tendency for birch trees located near roads (TBA, TBB, TBE, TBF, and VB grew directly  
20 | next to roads) to be associated with increased INA. TBE and TBF grew next to a road and a river, but showed comparable  
25 | INM concentrations to the other road side birches. If the INA of birches is based on a stress or defence mechanism, this could be due to stress caused by the exhaust of traffic, e.g. NO<sub>x</sub>, which is an important pollutant released by traffic, (Franco et al., 2013) and has the potential to harm plants, but can also be absorbed by many plants and used as a nitrogen source (Allen Jr, 1990). Other than roads and rivers in close proximity, the tree altitude was not correlated to INA. Future investigations of birch trees located across different altitudes, roads, settlements, and forests are warranted.

30 | Some investigations on birch stands showed a dry weight of 2 to 25 t per ha for twigs and 1 to 8 t per ha for leaves (Johansson, 1999; Uri et al., 2007). This leads to estimated INP concentrations on the order of 10<sup>16</sup> to 10<sup>19</sup> per ha for twigs and 10<sup>14</sup> to 10<sup>18</sup> per ha for leaves. Plant debris can be an important constituent of ambient particulate matter (Matthias-Maser and Jaenicke, 1995; Andreae, 2007; Winiwarter et al., 2009). However, the underlying processes of the release of plant  
35 | debris in the atmosphere is not fully understood, making predictions of their atmospheric impact hard (Andreae, 2007; Winiwarter et al., 2009). Sánchez-Ochoa and colleagues analysed atmospheric aerosols collected at various background sites in Europe and used cellulose as a proxy for plant debris. They found biannual average concentrations of 33.4 to 363 ng per m<sup>3</sup> air (Sánchez-Ochoa et al., 2007). Especially the leaves of birch trees could be an important source for INP as it is shed and produces annually. Decaying leaf litter is known to be a good source of INP (R.C. Schnell and Vali, 1973). Conen et al.  
40 | (2016, 2017) showed that air masses passing over land can be enriched with INP derived from such leaf litter. Collectively, these studies underscore the importance of plants as sources of INP.

Since all of the analysed materials are of natural origin, we cannot rule out that some contamination could play a role in the INA of our extracts. Some bacteria have been found to act as INP (as e.g. *Pseudomonas syringae* (Maki et al., 1974)), however, these bacteria are typically in the size range  $> 1 \mu\text{m}$  (Monier and Lindow, 2003) and therefore easily filtered with the  $0.2 \mu\text{m}$  syringe filter. Further, some lichen are known to be INA (Kieft, 1988), and some microorganisms release their small contained INP in the aqueous phase as e.g. *Mortierella alpina* (Fröhlich-Nowoisky et al., 2015), which cannot be filtered with used methods. However, most known ice nucleation active lichens and microorganisms as well as released INP typically freeze at significantly higher temperatures (above  $-10^\circ\text{C}$  (Maki et al., 1974; Kieft, 1988; Pouleur et al., 1992; Murray et al., 2012; Fröhlich-Nowoisky et al., 2015) than the freezing temperatures observed for our samples, with very little exceptions (Iannone et al., 2011). As the highest onset temperature observed in our measurements was  $-14.1^\circ\text{C}$  (TBC-L), and the onset temperature of birch pollen washing water was quite close to this value ( $-15.1^\circ\text{C}$ ), and heat treatment did not affect the extracts of TBA, we do not suspect significant contamination of our samples. However, the INA of birches, especially if growing close to a road or in urban regions, could be affected by soot and other anthropogenic emissions, as soot can act as INP (DeMott, 1990; Murray et al., 2012)

The measured FTIR spectra indicate that the birch extracts are chemically similar to each other, and to pure birch wood. As plants do not only contain polysaccharides but several soluble carbohydrates (Magel et al., 2000), we assume those substances to play an important role in the chemical composition of our extracts. Fitting to this assumption, most of the bands found in our spectra could be assigned to carbohydrates and polysaccharides. Presented spectroscopic data matched the literature well (Chen et al., 2010; Pummer et al., 2013; Dreischmeier et al., 2017), however intensity ratios varied. IR spectra from birch pollen and TBA extracts (Figure 6) show strong similarities to the spectrum of milled birch wood shown by Chen et al. (Chen et al., 2010) (measured in KBr pellets). In particular, the range between  $1150$  and  $1300 \text{ cm}^{-1}$ , i.e. especially the band at  $1270 \text{ cm}^{-1}$ , was strongly enhanced compared to our spectra. Also, the band at  $1510 \text{ cm}^{-1}$  was very intense in the pure wood spectrum compared to the extracts. Both bands are typical for lignin, a main substance in wood that is only weakly soluble in water. Since the remaining weak bands can be assigned to other structural elements, our extracts likely did not contain any lignin. Other than the lignin bands, our aqueous extracts show very similar spectroscopic features compared to the pure wood samples. These similarities between the spectra of our extracts and the spectrum of pure wood indicate that our extract method retrieves the majority of components, leading to a similar distribution of bands, with differing intensities due to differences in concentration. The IR spectrum of birch pollen washing water (Figure 6) is in well agreement with the literature data (Pummer et al., 2013; Dreischmeier et al., 2017). The extracts of the different TBA samples (leaves, primary wood, and secondary wood) exhibit similar spectra with no major differences. The birch spectra of birch pollen washing water and the different wood extracts match well, showing similar maxima with mostly minor differences in intensity ratios.

The same as FTIR data, also the fluorescence spectra indicate strong similarities between the different samples. Throughout the different extracts we found the same peaks, which might stem from similarities in fluorescent analytes between pollen and branch extracts. Small differences in intensities and ratios could result from differences in the concentration of the active substances. The fluorescence spectra shown in Figure 7 are in good agreement with literature for pure and dry *Betula pendula* pollen (Pöhlker et al., 2013). The band at  $450 \text{ nm}$  excited at approx.  $320 \text{ nm}$  is consistent, however, different to our results they observed emission at  $520 \text{ nm}$  for all three measured excitation wavelengths ( $280$ ,  $355$ , and  $460 \text{ nm}$ ). We only observed the  $520 \text{ nm}$  emission as a strong band at  $\lambda_{\text{Ex}} = 260 \text{ nm}$  and as shoulder for  $\lambda_{\text{Ex}} = 320 \text{ nm}$ .  $520 \text{ nm}$  is an emission wavelength for carotenoids and other typical components of pollen (Roshchina, 2003). Furthermore, Pan (2015) measured pollen from river birch and found the same  $520 \text{ nm}$  band at  $\lambda_{\text{Ex}} = 260 \text{ nm}$  and a band with a maximum stretching from  $430$  to  $500 \text{ nm}$  at  $\lambda_{\text{Ex}} = 350 \text{ nm}$ , comparable to the double band. The band at  $520 \text{ nm}$  is either riboflavin (Drössler et al., 2002) or

carotenoids (Roshchina, 2003; Pöhlker et al., 2013). 380 and 450 nm corresponds with several substances, and it is possible that multiple substances are emitting in this range. Typical emitters are NAD(P)H, phenolics (Pöhlker et al., 2013), anthocyanins (Roshchina, 2003), and lignin (Radotić et al., 2006). The band at 380 nm is not assigned to a particular compound. Proteins should show a band at 340 nm at this  $\lambda_{EX}$ . However, due to the overlapping bands and the expected low concentration of proteins we cannot confirm the presence of proteins beyond question.

In both, FTIR and fluorescence spectroscopy, we found strong similarities between birch pollen washing water and the different aqueous extracts from the TBA samples. Further comparison with whole pollen grains (for both FTIR and fluorescence spectroscopy), as well as with pure wood (for FTIR), as found in literature, shows strong similarities in the spectroscopic features of our different birch samples. As not just the band position, but also the intensity ratios are agreeable with each other, we assume this to indicate that we are able to extract the major components found in wood with our extraction method and that the pollen and wood samples extracts exhibit chemical similarities to a certain extend.

Only little INP are known to trigger freezing above  $-10^{\circ}\text{C}$ , which are typically biological substances such as bacteria (Murray et al., 2012). Below  $-10^{\circ}\text{C}$ , birch pollen belong to the group of highest freezing temperatures, with onset higher than most mineral dusts, ash and soot samples (Murray et al., 2012). The vast majority of atmospheric INP and INP retrieved from precipitation samples exhibit freezing temperatures below  $-10^{\circ}\text{C}$  (DeMott et al., 2010; Petters and Wright, 2015). The identity of the INP released from birches is still unclear. Pummer et al. (2013) showed that proteins, saccharides, and lipids are easily extracted aqueously from birch pollen. While Pummer et al. (2012) and Dreischmeier et al. (2017) speculate that the responsible molecules are carbohydrates, Tong et al. (2015) attributes the highest INA to extracted proteins. Hiranuma et al. (2015) showed that cellulose, which is ubiquitous in plants, exhibits INA in the right temperature range. With our spectroscopic data, we found strong indicators for saccharides being present, including prominent bands which could be associated with cellulose. Further, we found bands in the most prominent protein regions, though those could be assigned to other molecule groups.

## 5 Conclusion

The ice nucleation activity (INA) of samples collected from ten different birch trees (*Betula spp.*) was examined. Filtered aqueous extracts of 30 samples of leaves, primary wood, and secondary wood were analysed for INA using VODCA (Vienna Optical Droplet Crystallization Analyser), an emulsion technique. All samples contained ice nuclei in the submicron size range. Concentrations of ice nuclei ranged from  $6.7 \cdot 10^4 - 6.1 \cdot 10^9$  per mg. Mean freezing temperatures varied between  $-15.6^{\circ}\text{C}$  and  $-25.4^{\circ}\text{C}$  (excluding three samples that exhibited lower freezing temperatures). The majority of the samples showed freezing temperatures close to those of birch pollen extract, indicating a relationship between the INM of wood, leaves and pollen. Extracts derived from secondary wood showed the highest concentrations of INM and the highest freezing temperatures. Extracts from the leaves exhibited the highest variation in INM and freezing temperatures. Infrared and fluorescence spectroscopy of the extracts suggest that the aqueous extracts of birch materials tested showed similarities to aqueous extracts of birch pollen. Our results suggest that there might be linkages between INA, growing site, and condition of the birch tree, with streams exhibiting a positive influence and rivers tending to exhibit a negative influence on INA. Field and laboratory studies are needed to examine how much ice nucleation active material can be expected per surface area of a tree and how much of this material can be aerosolized. A broader selection of samples is also needed to further examine differences between different trees and an influence of growing site and season.

## **Acknowledgments**

The authors would like to thank the FWF (Austrian Science Fund, Project No. P 26040) and the FFG (Austrian Research Promotion Agency, Project No. 850689) for funding.



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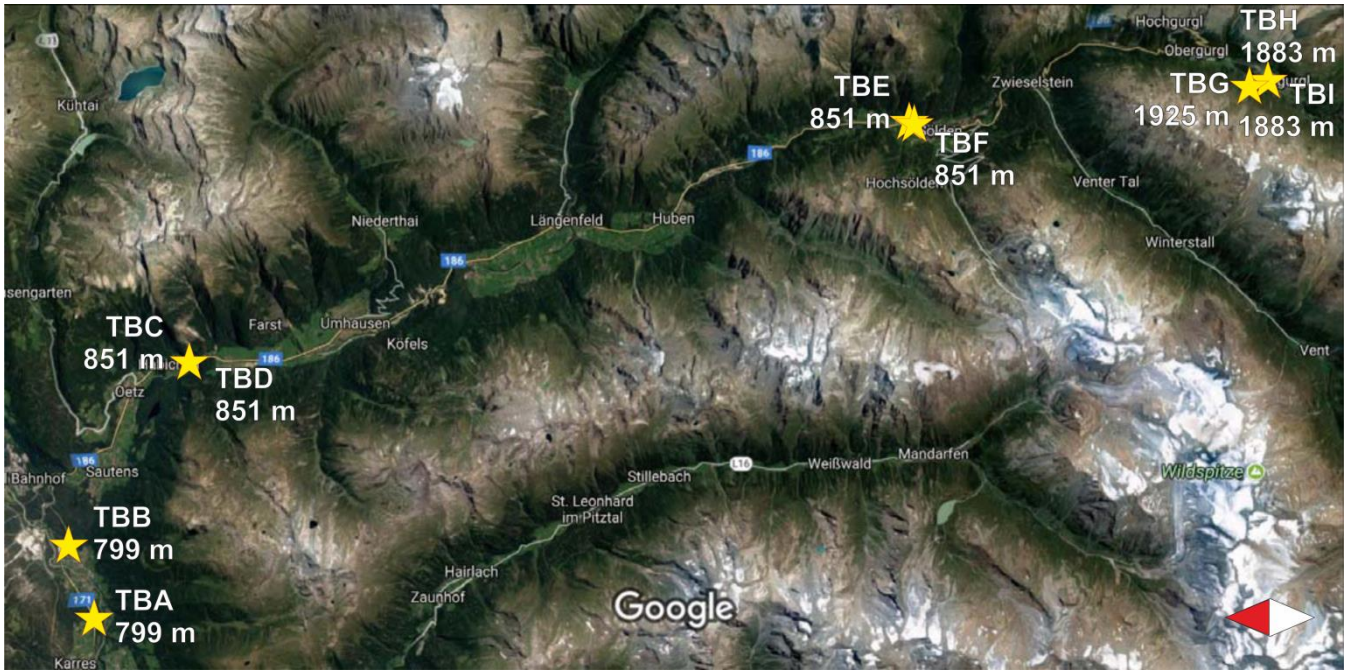
**Table 1: Further information on the sampled birches, with sample name, circumference, GPS waypoints, altitude of the growing site and a further description thereof:**

ID of birch tree	GPS waypoints	GPS altitude	Circumference at 1 m height	Location description
TBA	47.214241, 10.798765	799 m	113 cm	Roadside birch in the valley
TBB	47.221615, 10.829835	799 m	54 cm	Roadside birch in the valley
TBC	47.186231, 10.908341	851 m	75 cm	River side birch in the valley
TBD	47.185387, 10.909587	851 m	35 cm	River side birch in the valley
TBE	46.973163, 11.010921	1343 m	96 cm	River side birch in Sölden next to a road with little traffic
TBF	46.974588, 11.011463	1343 m	61 cm	River side birch in Sölden next to a road with little traffic
TBG	46.878959, 11.024441	1925 m	67 cm	Timberline birch, the last birch and one of the last trees in general we encountered on our way up
TBH	46.873275, 11.026616	1883 m	36 cm	Riverside birch in Obergurgl close to the timberline
TBI	46.873279, 11.026736	1883 m	59 cm	Riverside birch in Obergurgl close to the timberline
VB	48.197796, 16.352189	195 m	86 cm	Located in the centre of a small park in Vienna, which is surrounded by heavy traffic

**Table 2: Band assignment of the IR spectra of TBA extracts (leaves, primary wood, and secondary wood) and birch pollen washing water** (Miyazawa *et al.*, 1956; Kačuráková *et al.*, 2000; Schulz and Baranska, 2007; Chen *et al.*, 2010; Pummer *et al.*, 2013):

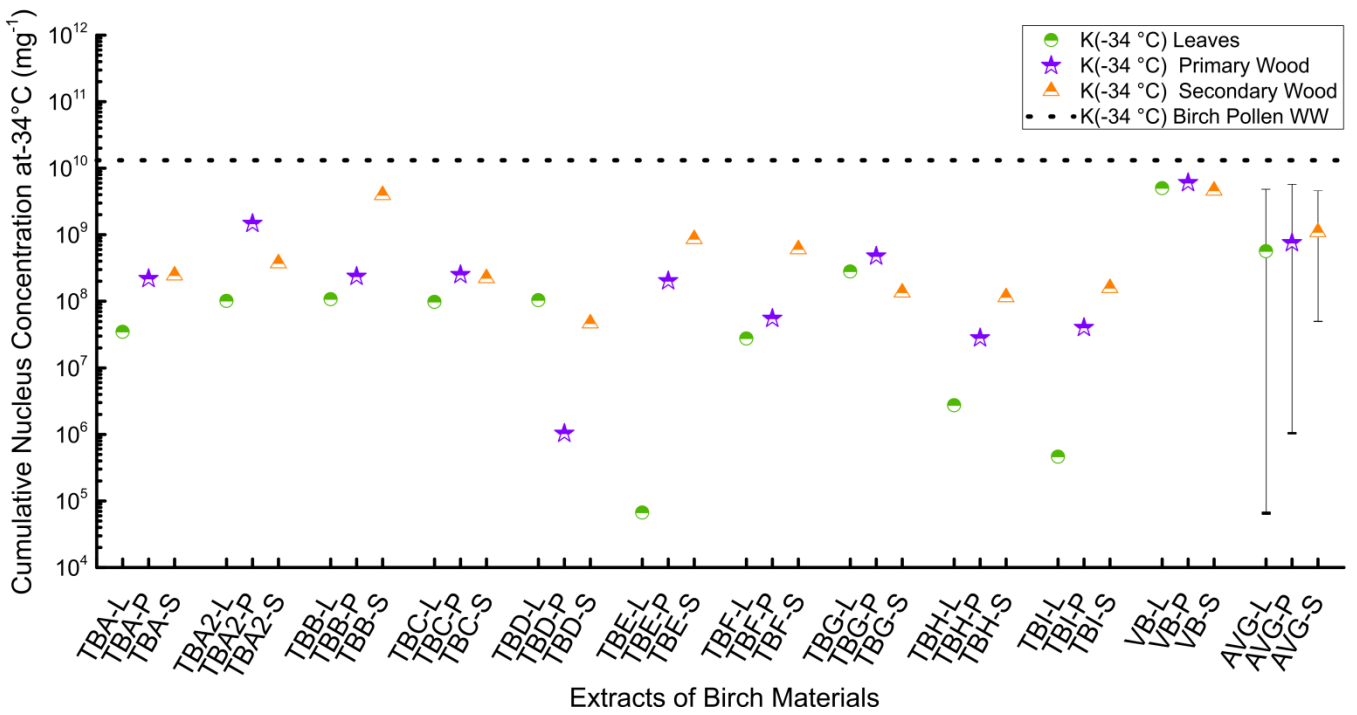
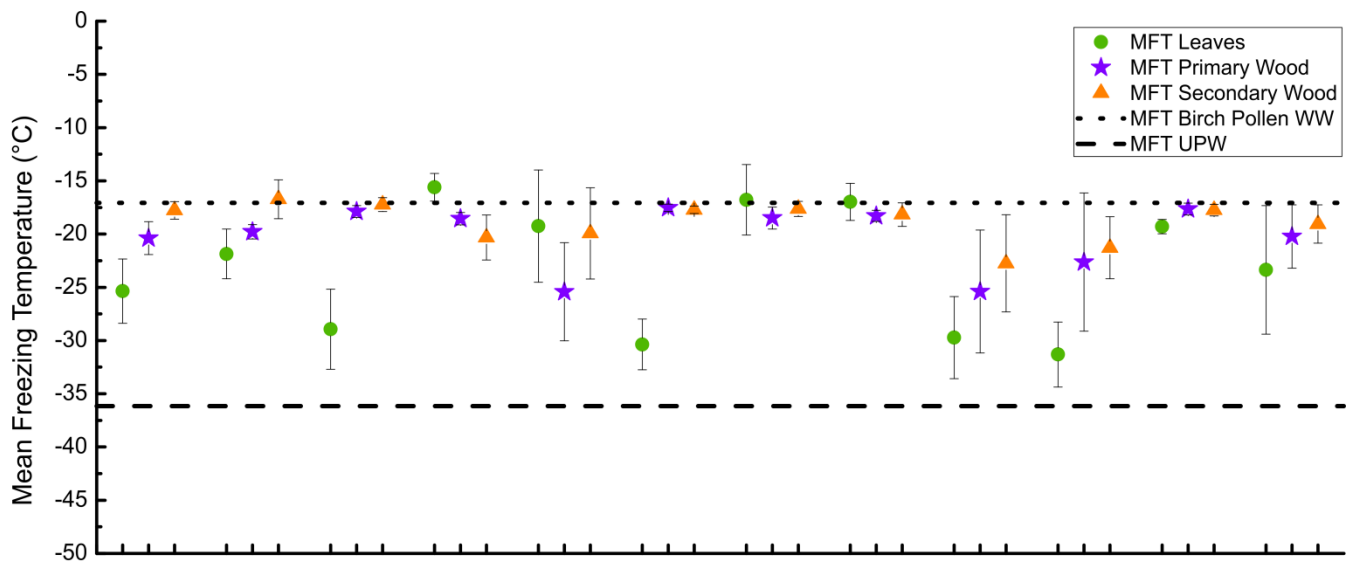
Band wavenumber [cm <sup>-1</sup> ]	Assignment of IR spectra
3300	O-H stretch/ N-H stretch
2940	C-H stretch
2890	C-H stretch
2700	O-H stretch
1720	C=O, xylan
1650	C=O stretch, C=C, Amid I
1600	C=O stretch (lignin), C=C, Amid I,
1510	C=O stretch (lignin), Amid II,
1450	CH <sub>2</sub> deformation (lignin and xylan)
1425	Aromatic skeletal combined with C-H
1350	C-H deformation (ring)
1300	N-H C-H deformation, Amid III
1270	C=O stretch (lignin), Amid III
1240	C-O, C-N, C-N-C, C-C-O of phenolic compounds, Amid III
1200	Phosphate, C-C-O of phenolic compounds
1140	C-O-C stretching (pyronase rings), C=O stretching (aliphatic groups), Guanine, Tyrosine, Tryptophane
1110	Sugar skeletal vibration
1070	C-H stretch, C-C stretch
1050	C-H stretch, C-C stretch, Guaiacyl units (Lignin)
990	OCH <sub>3</sub> (polysaccharides)
920	C=C, cellulose P-chains, polysaccharides - β-linkage, phenolic compounds
850	C-O-C skeletal mode (polysaccharides - α-linkage, COPOC RNA, phenolic compounds)
810	C=O deformation (polysaccharides), phenolic compounds
770	Phosphate stretch





5 **Figure 1: Sampling sites in Tyrol along a valley with an altitudinal gradient (adapted from Google Maps, 2017). Markings for TBH and TBI, as well as for TBC and TBD completely overlap each other due to the close proximity of their growing sites.**





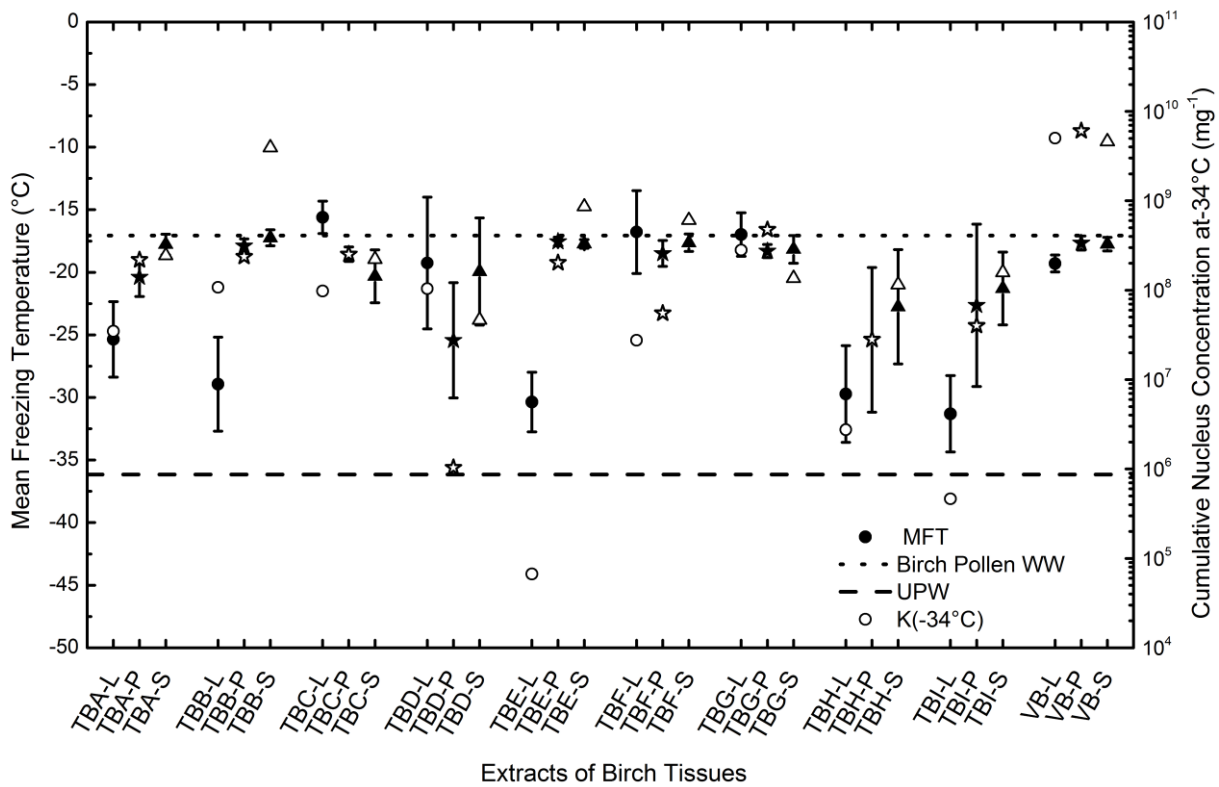
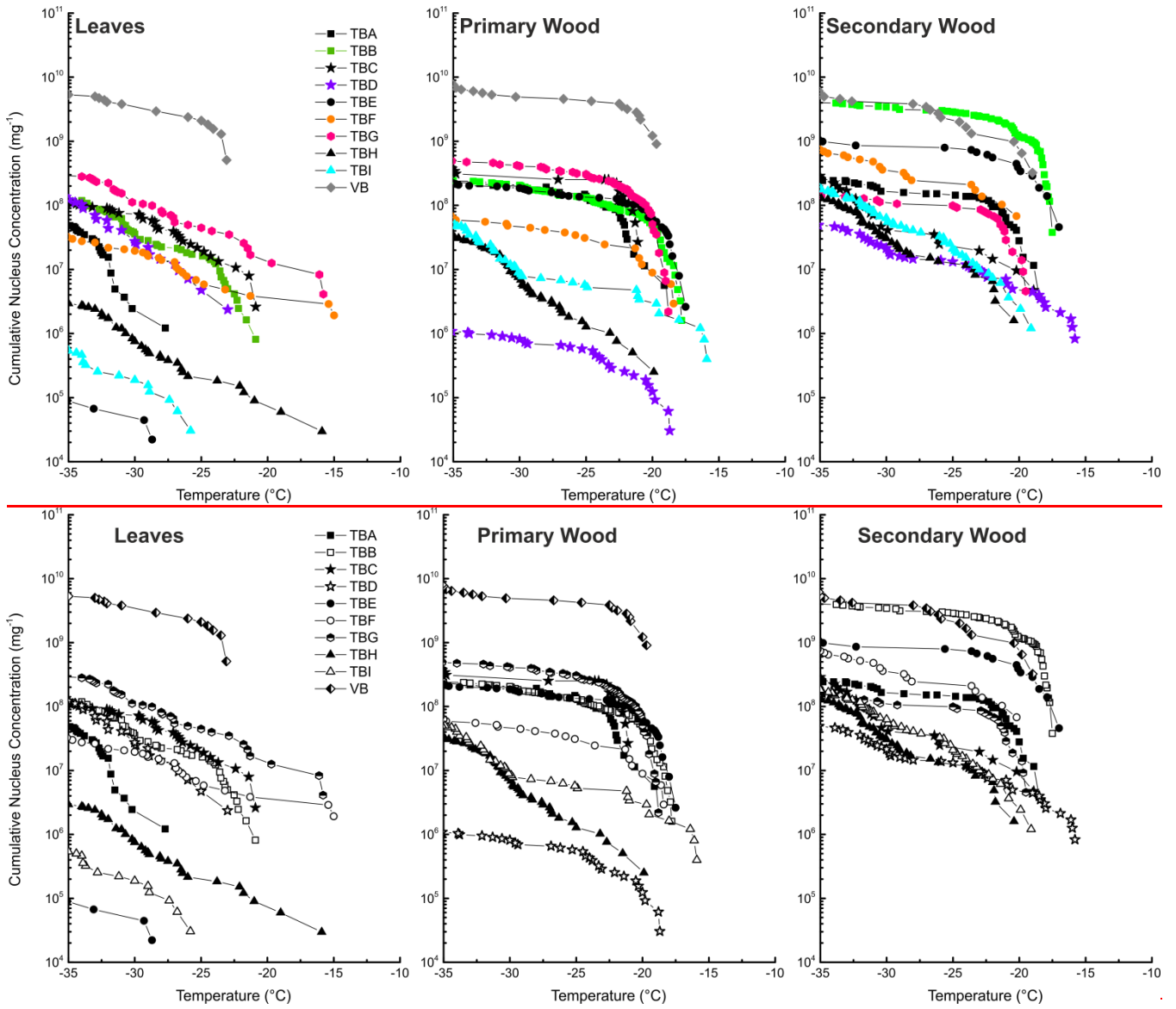
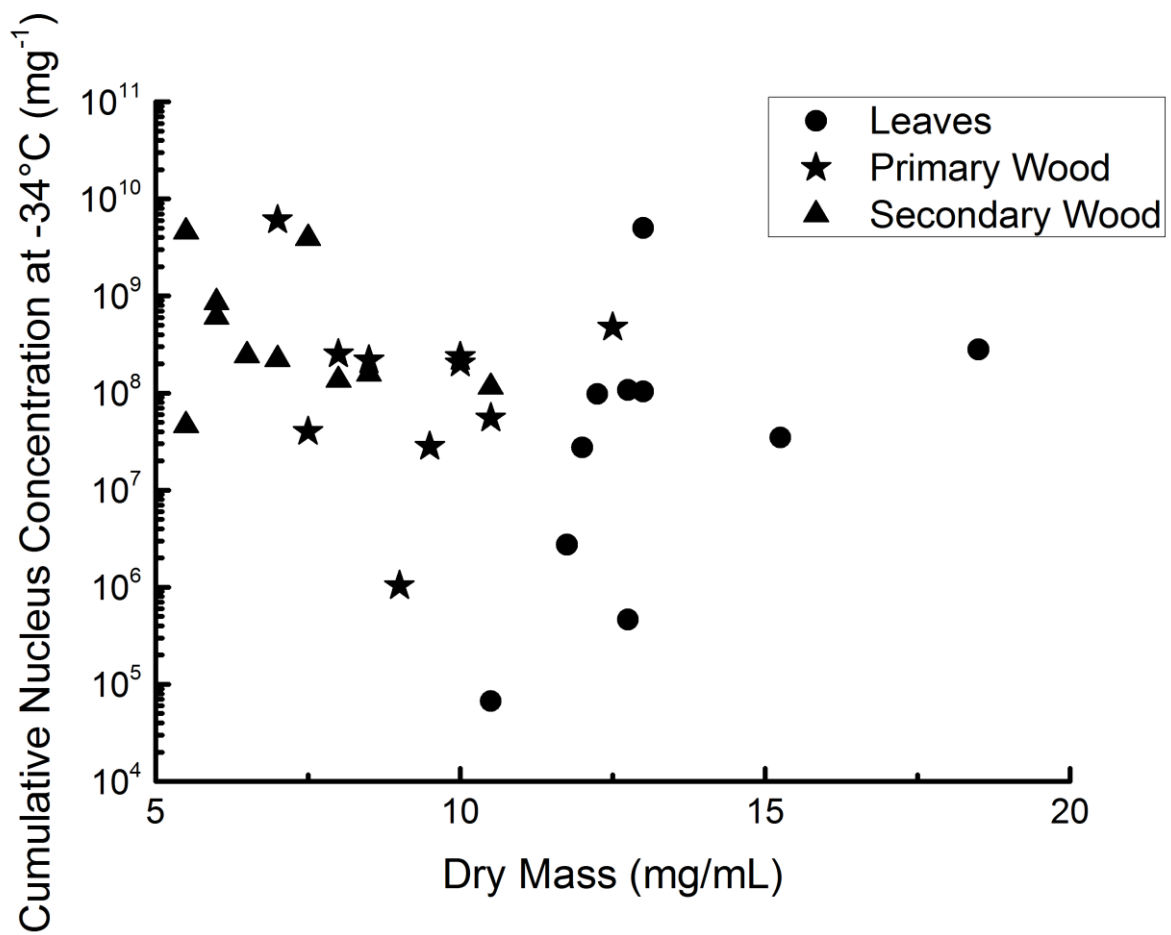
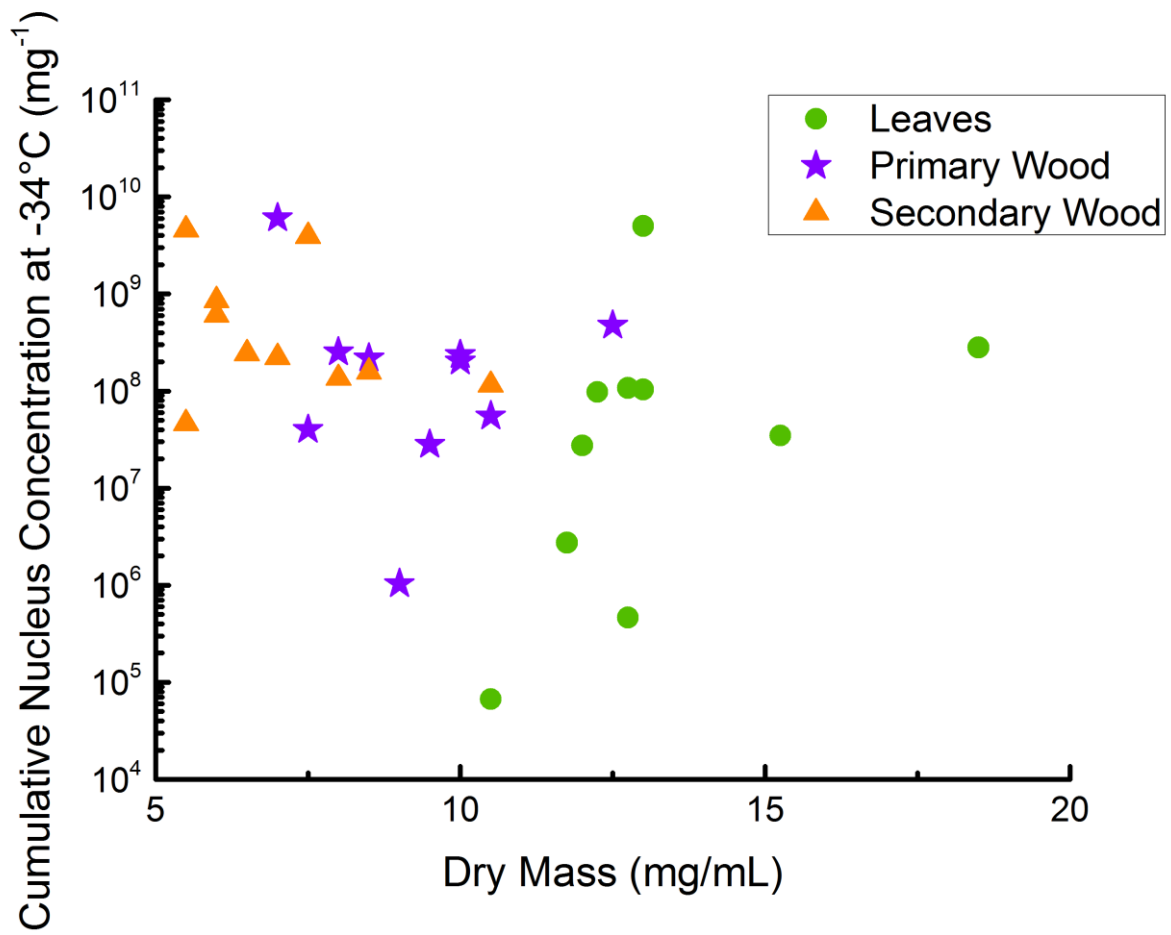


Figure 2: **Top panel:** Mean freezing temperature (MFT) of the different birch samples, and cumulative nucleus concentration at -34°C (K(-34°C)) of the different birch samples. Leaf extracts (L) are marked with a green circle, primary wood extracts (P) with a star/violet triangle, and secondary wood extracts (S) with an orange star-triangle. Filled symbols correspond with the MFT, hollow symbols correspond with K(-34°C). Further we introduced a dashed line for the MFT of ultrapure water (as a summary of regular measurements conducted over the course of the analysis of the presented samples, -36.2 °C, with a standard deviation of 0.5 °C (not plotted)), and a dotted line for the MFT of birch pollen washing water (-17.1°C with a standard deviation of 0.5 °C (not plotted)). **The last three values on the right side represent the average of all mean freezing temperatures for leaves (AVG-L), primary wood (AVG-P) and secondary wood (AVG-S) with the corresponding standard deviation. Bottom panel:** cumulative nucleus concentration at -34°C (K(-34 °C)) of the different birch samples per mg extracted sample. Assignment of the symbols is similar to the MFT plot. The dotted line refers to the K(-34 °C) of birch pollen washing water per mg extracted pollen (1.3\*10<sup>10</sup> mg<sup>-1</sup>). The last three values on the right side represent the average of all K(-34 °C) values. Error bars point to the area of trust, ranging from the highest to the lowest measured values.



5 **Figure 3: Cumulative nucleus concentration as a function of temperature for leaf extracts (right), primary wood extracts (middle), and secondary wood extracts (right). The diagram is cut off at  $-35^{\circ}\text{C}$ , since we cannot contribute freezing events below this temperature to heterogeneous nucleation. The symbols used for the different data points are grouped. Birches growing in close proximity under similar conditions are marked with the same symbol (different fillings).**



5 | **Figure 44:** Scatterplot of dry mass (dry residues of the different filtered extracts) and cumulative nucleus concentration at -34°C per sample mass. The dry mass is the mass we were able to extract with the 50 mg/mL suspensions. The data show that secondary wood, which contained mostly the highest INM concentrations and lowest variations between different samples, also contained the lowest extractable mass. Therefore INM ratios in the extractable content of the different samples were highest in secondary wood samples.

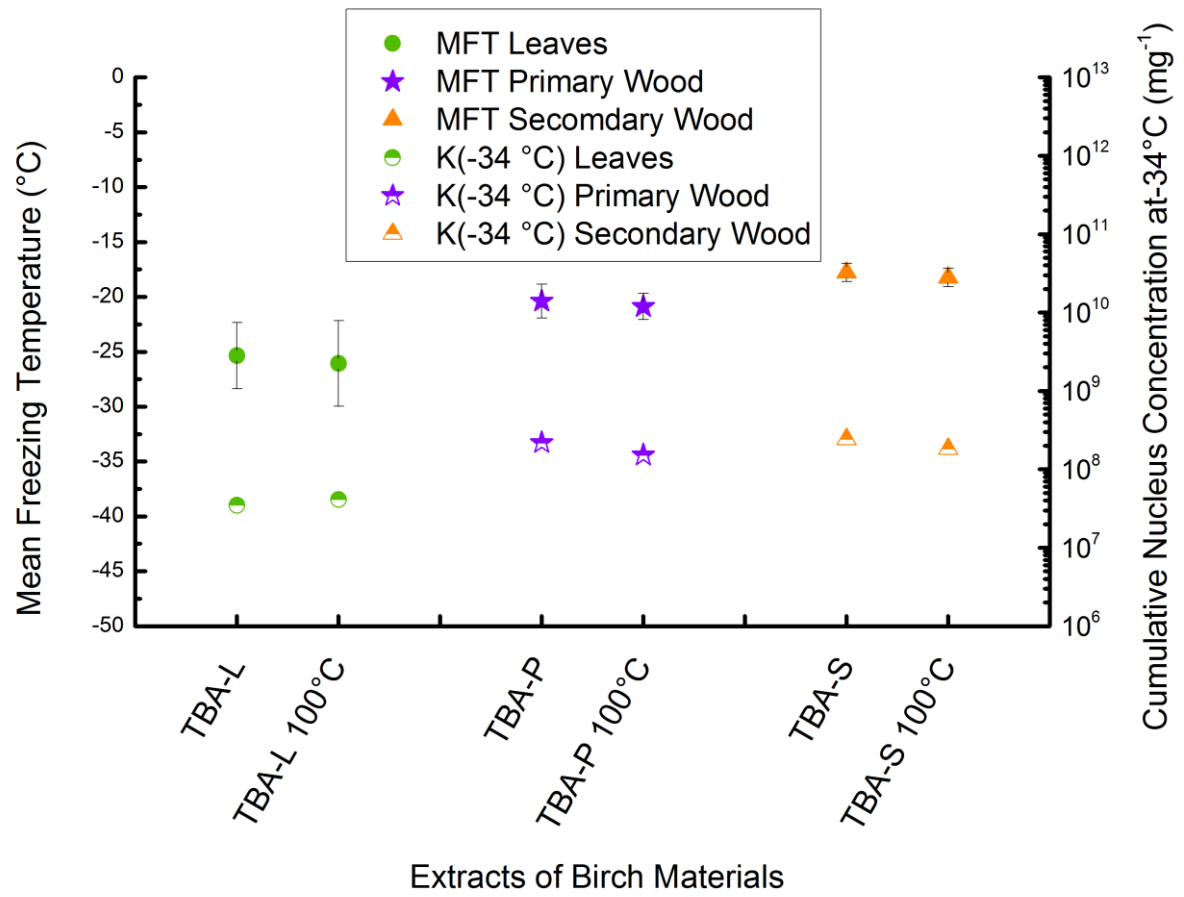


Figure 54: Results of the heat treatment of the different TBA extracts. Leaves are marked with green circles, primary wood with violet stars and secondary wood with orange triangles. The left value belongs to the untreated sample, the right value to the sample treated with 100 °C for an hour. Filled symbols represent the mean freezing temperature and correlate with the left Y-axis, half filled symbols represent the cumulative nucleus concentration as -34 °C per mg extracted sample and correlate with the right Y-axis.

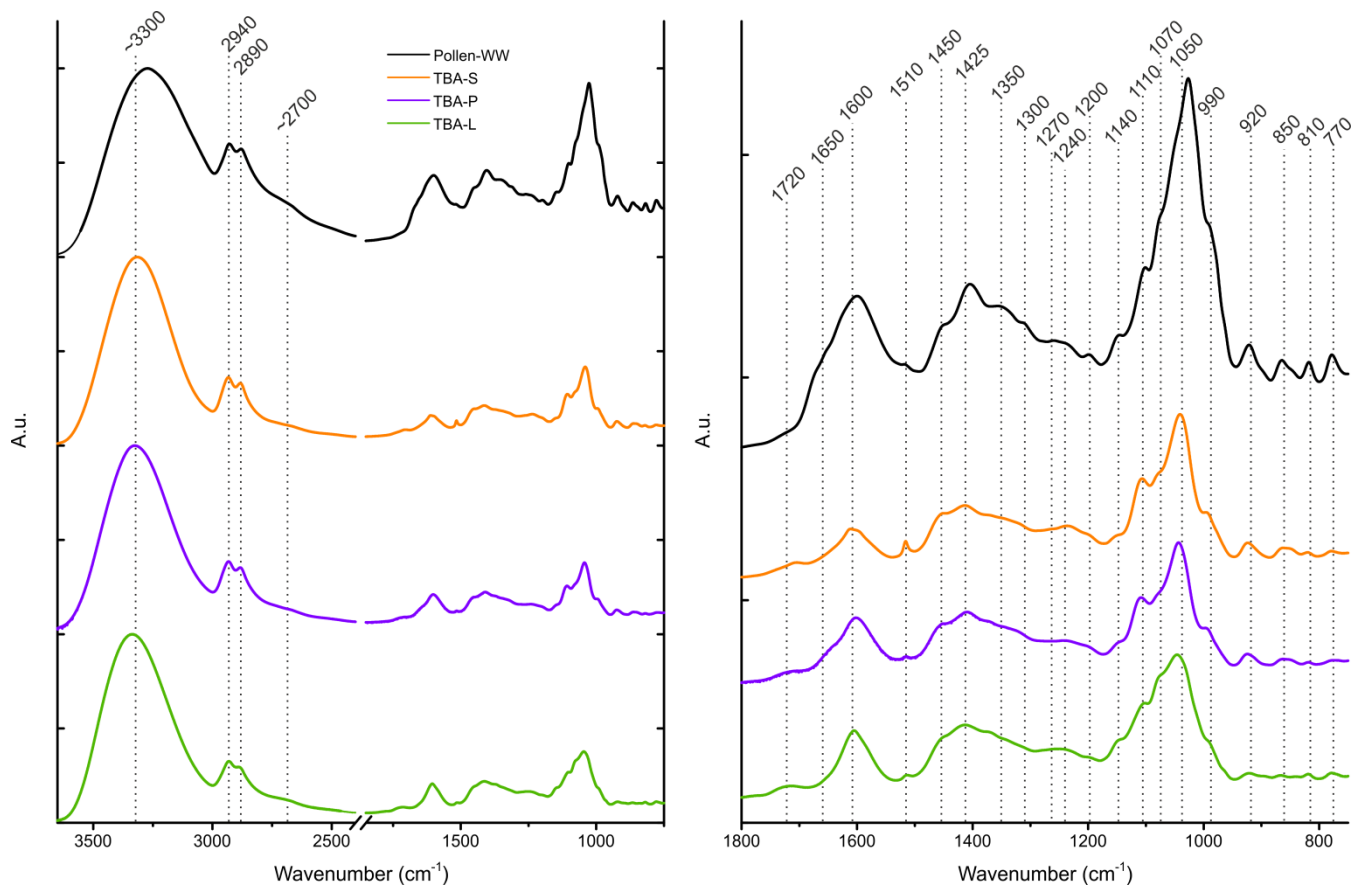
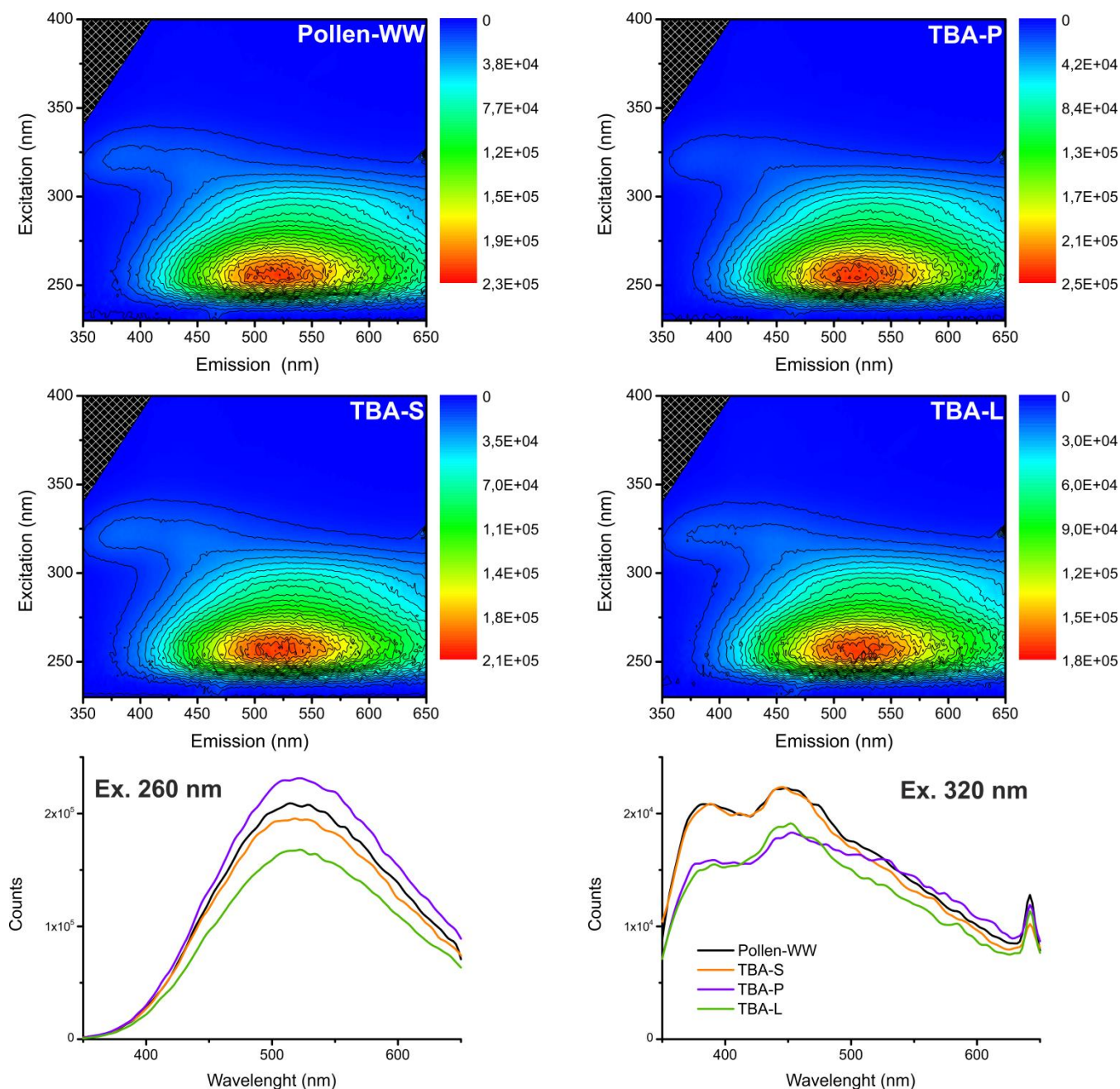


Figure 65: FTIR spectra of the TBA extracts (leaves in green, primary wood in violet and secondary wood in orange) and birch pollen washing water (black). Left: the whole spectrum between  $3650\text{ cm}^{-1}$  and  $750\text{ cm}^{-1}$ . Right: enlarged right side of the spectrum between  $1800\text{ cm}^{-1}$  and  $750\text{ cm}^{-1}$ . Possible band assignments are given in [Table 2](#).

5



5 | **Figure 76:** Top: fluorescence maps of pollen washing water (top left), and the extracts of TBA – secondary wood (bottom left), primary wood (top right) and leaves (bottom right). The excitation wavelength is shown on the y-axis, the emission wavelength on the x-axis and the corresponding counts are portrayed in colour (see colour bars right). Intensity correlates with brightness, level lines are included for the better visibility of the weaker double band on the right side of the map. Bottom: Emission-spectra of all four samples at the wavelengths 260 nm (left) and 320 nm (right). The band at 640 nm in the 320 nm emission-spectrum is an artefact due to second order excitation.