

## Reply to Reviewer #1

The authors would like to thank Reviewer #1 for his constructive comments and suggestions.

### Major Comments

#### *Major comment 1*

*Pg. 07, Ln. 247: "Important aspects of Figure 7 are ..."*

*I agree that the total amount of variability is similar between the lidars and SBUV over the lidar time period for most of the stratosphere but it may be worth noting and explaining the discrepancies at the highest altitudes (i.e., lidar data quality diminishes) and lowest altitudes (i.e., SBUV data quality and resolution diminishes). Additionally there appears to be poorer agreement for Lauder than for the other stations.*

*While, for many comparisons, the total variability is similar the individual attributions for different proxies can be very different. For example, Hohenpeissenberg shows systematically larger EESC responses than SBUV over the same time period at all altitudes. Another example is how the AOD responses can be very different across all figures (except at Hohenpeissenberg), making one question if the regressions have sufficient ability to separate the influence of volcanic aerosol from other proxies given different time periods.*

Answer to major comment 1:

Figure 7 (now Figure 4) has been completely revised and the new results are now based on two orthogonal EESC terms as suggested by Reviewer 1. It was found that the use of 2 orthogonal EESC terms in the regression model improves the comparisons between the lidar and the SBUV data in the new figure. "We have confined our analysis to the SBUV layers from 8 (40-25 hPa) up to 14 (2.5-1.6 hPa). This was imposed by the fact that at the highest altitudes lidar data quality is reduced while at the lowest altitudes SBUV data quality is also reduced"; this has been added in section 3. An additional improvement arose from the use of AOD as suggested by Reviewer # 2. We have used throughout this paper the AOD records provided by Thomason et al. (2018).

The text on page 7 has been revised and now reads as follows:

"As it appears from Figure 4 the percent of the total variability explained by all proxies taken together, ranges between 5 and 15% of the mean, both for the lidar and the SBUV overpasses. Additionally there appears to be poorer agreement for Lauder than for the other stations. It should be noted here that both Lauder and Mauna Loa lidar records start 1-2 years after the Mt. Pinatubo eruption and this makes it difficult to separate the influence of volcanic aerosol from other proxies at these two stations, but not at Hohenpeissenberg because of its longer record."

#### *Major comment 2*

*Pg. 08, Ln. 281: "It was found that both in the upper and lower stratosphere the overall trends (1980-2015) were insignificant at the 99% confidence level."*

*It is important to remember the limitations of these regression analyses. It is generally highly unlikely that, after performing a MLR analysis to the data (i.e., a*

least squares fitting technique), the residuals will have any trend-like behavior. However, that only means that on the whole the data is represented, not that the individual attributions to the different proxies are correct. For example, most MLR analyses to ozone cited by this manuscript (and apparently this manuscript's data as well) show negative monotonic trends in the lower stratosphere. These are expected to be primarily the result of the influence of greenhouse gases on increasing the strength of the Brewer Dobson circulation. What this means is that using the EESC as a proxy here, which forces a turnaround, is incorrect. Please see Kuttippurath et al., GRL, 2015 (DOI:10.1002/2014GL062142) for more details. This is the main explanation for the nature of the residuals shown in Figure 9a at the bottom. It also means that the overall representation for the influence of the EESC on ozone in the lower stratosphere is incorrect in Figure 7. This is, of course, clearly evident in Figure 10b. In order to incorporate the EESC in a way that allows for monotonic trends, you would need to use multiple EESC proxies such as those introduced in Damadeo et al., ACP, 2014 (DOI:10.5194/acp-14-13455-2014).

Answer to major comment 2:

We would like to thank the reviewer for pointing out the correct use of the EESC proxy. In the revised manuscript we incorporate the EESC proxy as suggested and now use two EESC terms (EESC and its orthogonal term) as introduced in Damadeo et al. (2014). The Figures 7, 8, 9, 10, 11 (now Figures 4, 5, 6, 7, 8) and Section 4.1 (Regression analysis model) have been revised accordingly. The references Kuttippurath et al., GRL, 2015 (DOI:10.1002/2014GL062142) and Damadeo et al., ACP, 2014 (DOI:10.5194/acp-14-13455-2014) have been added to the list of references.

*Major comment 3*

*Figure 10: It seems strange that the error bars in 10b and 10c in the left panel are comparable but are much smaller in 10c than 10b in the right panel. Do you have an explanation for how the uncertainties in these small recovery trends shrank so drastically?*

Answer to major comment 3:

On Fig. 10c (right panel) the reviewer was right because we found out a plotting error which is now corrected. The Figure 10 (now Figure 7) has been revised.

## **Minor Comments**

*Minor comment 1*

*Pg. 03, Ln. 092: "Figure 1a shows the resulting correlation coefficients. All correlations are statistically significant at the 99.99% confidence level."*

*What kind of correlation coefficient is this (e.g., the Pearson product-moment correlation) and how was the statistical significance computed?*

*Can you elaborate more on what data is being correlated in figures 1 and 2? For example, figure 1a states it is plotting "correlation between monthly mean ozone anomalies from lidar and SBUV station overpasses on common days." Does this mean that you are computing SBUV overpasses over the lidar stations and then taking monthly means of those? If so, what are the coincident criteria? Or are you doing*

*something different. It isn't clear how the data is being processed or binned for these different correlation comparisons.*

Answer to 1: The following text was added for clarification in section 3.

“The comparison between lidar and SBUV station overpasses on common days throughout the record was based on deseasonalized monthly mean lidar and SBUV ozone profiles. Figure 1a shows the resulting correlation coefficients which were found to be all statistically significant at the 99.99% confidence level. Concerning the correlations between lidar data and SBUV overpasses, we calculated monthly averages when at least 3 common days were available. The data were deseasonalized by subtracting the long-term monthly mean pertaining to the same calendar month. All correlation coefficients ( $r$ ) were calculated using the Pearson product-moment correlation and were tested for significance using the t-test formula for the correlation coefficient with  $n-2$  degrees of freedom (von Storch and Zwiers, 1999):

$$t = r \sqrt{\frac{n-2}{1-r^2}} \quad (2)''$$

We have added the reference in the list of references:

“von Storch, H., and Zwiers, F. W.: Statistical analysis in climate research, Cambridge University Press, Cambridge, 484 pp, ISBN 0 521 45071 3, 1999.”

*Minor comment 2*

*Pg. 04, Ln. 140: “The fairly good “zonal representativeness” of the stations is obvious ...”*

*It is also worth noting the obvious patterns in the correlations. The data being used here are deseasonalized anomalies, so correlations are expected to be larger in the presence of larger geophysical variability that is represented in multiple zonal regions. For example, stations at midlatitudes show worse correlations with data in the tropics at lower altitudes where the QBO has a much larger amplitude. Similarly, stations at midlatitudes show better correlations at midlatitudes in the opposite hemisphere at the highest altitudes because the long-term trend is a significant source of variability here but has more similar trend values and turnaround times at midlatitudes than in the tropics. The nature of resulting patterns in solar cycle amplitude as they pertain to ozone variability in the middle to upper stratosphere also play a role.*

Answer to 2: We have fully revised Figures 3-6 (now Figure 3) which now present the correlations in a more condensed way as requested also by Reviewer #2.

The last paragraph of section 3 describing old Figs 3-6 has been corrected and now reads as follows: “A further look at the spatial distribution of correlation coefficients between single SBUV overpasses at lidar stations (or station groups) and SBUV 5° zonal means is given in Figure 3. The correlation coefficients have been calculated using deseasonalized and detrended ozone data. Data were detrended by removing a 2-degree polynomial fit from the deseasonalized time series. The results show that ozone at the five selected lidar stations correlate well with ozone over a fairly wide range of latitudes within  $\pm 15$  degrees centered at the station. This result has little dependence on height. The correlation coefficients found were high and in all cases their statistical significance exceeded 99.99% (correlations ranging between 0.45 and

0.9 with the highest values near the latitude circle corresponding to each station). The fairly good “zonal representativeness” of the stations is obvious from the colour scale. We remind here that long-term trends have been removed from the time series and therefore long-term trends do not contribute to the observed correlations. As to the role played by other sources of natural variability e.g. QBO, solar cycle etc., which were not removed from the time series in the correlations, it is small and does not exceed 2-4% of the explained variance as seen in a separate analysis (not shown here).”

*Minor comment 3*

*Pg. 07, Ln. 264: “Notable synergistic negative anomalies can be seen ...”*

*Why would you consider these anomalies if they are also represented in the data? This is perfectly acceptable as part of the regression analysis and these years should not be ignored. If the coincidental phasing of proxies was not represented by the data, then that would be considered an outlier or anomaly and would need to be considered.*

Answer to 3: We thought worthwhile presenting a list of years with coincidental phase of anomalies of various proxies and to stress the fact that “synergistic” effect by different proxies has not influenced the trend.

The text has been revised accordingly.

*Minor comment 4*

*Pg. 08, Ln. 292: “As a first step ...”*

*Did you also test just using the PWLT to compare the influence of adding the AOD proxy?*

Answer to 4: Yes we tested with and without the AOD proxy and found insignificant difference on the trends. See also answer to minor comment 8.

*Minor comment 5*

*Pg. 08, Ln. 301: “The proxy that has the largest influence on trends is the solar cycle.”*

*It should be noted that the solar cycle can have a larger influence on these MLR analyses if the data record being utilized is smaller (i.e., less than 2 solar cycles).*

Answer to 5: The sentence has been corrected and now reads as follows: “The proxy that has the largest influence on trends is the solar cycle, a result based on 36 years of data.”

*Minor comment 6*

*Pg. 08, Ln. 304: “For the post-1998 period (right panels), results are not so close to each other, albeit both show clear positive ozone trends after 1998.”*

*Both show positive ozone trends after 1998 above about 15 hPa. Of course, for reasons stated earlier, the EESC proxy are forced to show positive trends during this time period regardless of whether the trends are actually positive.*

Answer to 6: The use of 2 orthogonal EESC terms in the statistical regression model has improved the calculations of the post-1998 trends. The text has been corrected and now reads “For the post-1998 period (right panels), the resulting trends do not change significantly when comparing Figures 7a, 7b and 7c.”

*Minor comment 7*

*Pg. 09, Ln. 323: “... we see that there is a region between 10 and 5 hPa over the tropics which shows positive ozone trends over the whole 1980 to 2015 period of record ...”*

*Although these are not statistically significant.*

Answer to 7: We note this and the new text reads now as follows: “Comparing the observed trends during the different periods, we see that there is a region between 10 and 5 hPa over the tropics which shows positive ozone trends over the whole 1980 to 2015 period of record, and to a different degree also in the two sub-periods. These trends however are not statistically significant.”

*Minor comment 8*

*Pg. 09, Ln. 333: “It is obvious from the top and middle panels of Figure 11 that adding or removing the natural proxies has little effect on the observed trends.”*

*Except for the AOD proxy?*

Answer to 8: The AOD proxy has little and insignificant effect on the trends. The text has been corrected and now reads “It is obvious from the top and middle panels of Figure 8 that adding or removing the natural proxies has little effect on the observed trends. At any rate a separate analysis (not shown here) confirms that adding or removing of AOD has little and insignificant effect on the trends”.

*Minor comment 9*

*Pg. 09, Ln. 339: “The reasons must be quite complex.”*

*Not really. As mentioned earlier, they simply can't from a mathematical standpoint.*

Answer to 9: To avoid confusion the statement “The reasons must be quite complex” has been removed.

*Minor comment 10*

*Figure 11: What sort of zonal binning scale was used here?*

Answer to 10: “Data are averaged over 5 degrees of latitude zones”. This is added in the caption of the figure (now Figure 8).

## Reply to Reviewer #2

We would like to thank Reviewer #2 for the constructive comments and suggestions.

### General comments

*This paper uses correlations and trends to examine the similarities between ground-based lidar measurements of ozone profiles with SBUV observations in order to assess the representativeness of the ground stations of zonal and global behaviour. The work is interesting and suitable for publication in ACP; however, as pointed out by the other reviewer, several topics require more detailed explanation. As well, it seems that work could provide a more quantitative assessment of the representativeness of the ground stations. As it stands, the conclusions are vague. I would challenge the authors to consider pushing the analysis to provide better quantification of this representativeness. For example the correlations in Figs 3-6 are interesting and could be presented in a more condensed fashion that would provide some actual numbers about the geophysical behaviour. In addition to this, the following minor comments should be addressed.*

Reply to general comments:

We have fully revised Figures 3-6 (now Figure 3) which now present the correlations in a more condensed way as requested.

The last paragraph of section 3 describing old Figs 3-6 has been corrected and now reads as follows: “A further look at the spatial distribution of correlation coefficients between single SBUV overpasses at lidar stations (or station groups) and SBUV 5° zonal means is given in Figure 3. The correlation coefficients have been calculated using deseasonalized and detrended ozone data. Data were detrended by removing a 2-degree polynomial fit from the deseasonalized time series. The results show that ozone at the five selected lidar stations correlate well with ozone over a fairly wide range of latitudes within  $\pm 15$  degrees centered at the station. This result has little dependence on height. The correlation coefficients found were high and in all cases their statistical significance exceeded 99.99% (correlations ranging between 0.45 and 0.9 with the highest values near the latitude circle corresponding to each station). The fairly good “zonal representativeness” of the stations is obvious from the colour scale. We remind here that long-term trends have been removed from the time series and therefore long-term trends do not contribute to the observed correlations. As to the role played by other sources of natural variability e.g. QBO, solar cycle etc., which were not removed from the time series in the correlations, it is small and does not exceed 2-4% of the explained variance as seen in a separate analysis (not shown here).”

The conclusions and abstract have been revised.

### Minor comments

*Minor comment 1*

*Section 3: It is not clear how the reduced vertical structure in the correlation for monthly means shows that the decreased correlation above 35 is due to instrumental differences. Purely random variability would also average out in the means and*

*increase the correlation. As requested by the other reviewer, please provide details on how all these correlation calculations are performed so that the analysis is repeatable. Also, the role of atmospheric variability is important here and should be discussed in detail, e.g. the strength of the QBO in altitude and latitude has a very strong and predictable effect on correlations.*

Answer to 1:

Please see the answers to major comment 1 and to minor comments 1 and 2 of Reviewer #1.

*Minor comment 2*

*Section 4.1: How is the tropopause pressure term “filtered”? Also, the GloSSAC data set would be a much better choice for the AOD and would not require artificial extension of the end of the ozone data record (Thomason, et al.: A global, space-based stratospheric aerosol climatology: 1979 to 2016, Earth Syst. Sci. Data Discuss., <https://doi.org/10.5194/essd-2017-91>, 2017.)*

Answer to 2:

The tropopause pressure term was filtered by removing the natural oscillations (seasonal, QBO, ENSO, solar cycle, long-term trend and AOD) from the tropopause pressure data using multiple linear regression (MLR) analysis in the same way as we have done with the ozone data.

We have repeated our analysis with the AOD proxy from Thomason et al. (2018) as suggested by Reviewer #2. Section 4.1 has been revised accordingly.

*Minor comment 3*

*Section 4.2: The large difference in contribution from AOD between lidars and SBUV at the highest altitudes should be noted and explained if possible. This sweeping statement (on line 250 page 7) “Together with the correlations shown in the previous section, this means that ground-based instruments at single stations can provide representative information about ozone trends (EESC) and ozone variations related to the QBO, the solar cycle, ENSO, as well as large scale circulation variations described by AO or AAO” really needs quantification, i.e. to what degree, what “information”, over what scale? And on line 260, the major contribution from AOD is highly limited to the two strong eruption time periods.*

Answer to 3:

Please see the answer to major comment 1 of Reviewer #1.

The sweeping statement has been removed.

The sentence “The major contribution from AOD is highly limited to the two periods with the strong volcanic eruptions (El Chichon and Pinatubo)” has been added in Section 4.2.

# Representativeness of single lidar stations for zonally averaged ozone profiles, their trends and attribution to proxies

Christos Zerefos<sup>1,2</sup>, John Kapsomenakis<sup>1</sup>, Kostas Eleftheratos<sup>3</sup>, Kleareti Tourpali<sup>4</sup>, Irina Petropavlovskikh<sup>5</sup>, Daan Hubert<sup>6</sup>, Sophie Godin-Beekmann<sup>7</sup>, Wolfgang Steinbrecht<sup>8</sup>, Stacey Frith<sup>9</sup>, Viktoria Sofieva<sup>10</sup>, Birgit Hassler<sup>11</sup>

<sup>1</sup>Research Centre for Atmospheric Physics and Climatology, Academy of Athens, Athens, Greece

<sup>2</sup>Navarino Environmental Observatory (N.E.O), Messinia, Greece

<sup>3</sup>Department of Geology and Geoenvironment, National and Kapodistrian University of Athens, Greece

<sup>4</sup>Department of Physics, Aristotle University of Thessaloniki, Greece

<sup>5</sup>Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO, USA

<sup>6</sup>Royal Belgian Institute for Space Aeronomy (BIRA-IASB), Brussels, Belgium

<sup>7</sup>Laboratoire Atmosphère Milieux Observations Spatiales, Centre National de la Recherche Scientifique, Université de Versailles Saint-Quentin-en-Yvelines, Université Pierre et Marie Curie, Guyancourt, France

<sup>8</sup>Deutscher Wetterdienst, Hohenpeißenberg, Germany

<sup>9</sup>NASA Goddard Space Flight Center, Silver Spring, MD, USA

<sup>10</sup>Finnish Meteorological Institute, Helsinki, Finland

<sup>11</sup>Deutsches Zentrum für Luft- und Raumfahrt, Institut für Physik der Atmosphäre, Oberpfaffenhofen, Germany

Correspondence to: Christos S. Zerefos ([zerefos@geol.uoa.gr](mailto:zerefos@geol.uoa.gr))

Field Code Changed

**Abstract.** The paper is focusing on the representativeness of single lidar stations for zonally averaged ozone profile variations over the middle and upper stratosphere. From the lower to the upper stratosphere, ozone profiles from single or grouped lidar stations correlate well with zonal means calculated from ~~the~~ Solar Backscatter Ultraviolet Radiometer (SBUV) ~~satellite~~ overpasses. The best representativeness ~~with significant correlation coefficients~~ is found within ~~±15 a few~~ degrees of latitude ~~circles~~ north or south of any lidar station. ~~The latitude range with significant correlation coefficients (>0.4) spans about ±10° in the mid-stratosphere (around 30 hPa) and becomes much larger in the upper stratosphere (around 2 hPa), where it spans a large part of the entire globe.~~ The paper includes also a multiple linear regression analysis on the relative importance of proxy time series for explaining variations in the vertical ozone profiles. Studied proxies represent variability due to influences outside of the earth system (solar cycle), ~~as well as within the earth system i.e. variability due to~~ dynamic processes (the Quasi Biennial Oscillation (QBO), the Arctic Oscillation (AO), ~~the~~ Antarctic Oscillation (AAO), ~~the~~ El Niño Southern Oscillation (ENSO)), ~~those~~ due to volcanic aerosol (Aerosol Optical Depth (AOD)), ~~those due and~~ to ~~the~~ tropopause height changes (including global warming) and ~~those~~ due to manmade contributions to chemistry (Equivalent Effective Stratospheric Chlorine (EESC)). Ozone trends are estimated, with and without removal of proxies, from the total available 1980 to 2015 SBUV record. Except for the chemistry related proxy (EESC), ~~and its orthogonal function, the removal the use~~ of the other proxies does not alter the significance of the estimated long-term trends. At heights above ~~40-15~~ hPa an “inflection point” between 1997 and 1999 marks the end of significant negative ozone trends, followed by a recent period ~~of between 1998-2015 with~~ positive ozone ~~change trend over the period 1998-2015~~. At heights ~~below-between~~ 15 hPa ~~and 40 hPa~~ the pre-1998 negative ozone trends tend to become ~~less insignificant~~ as we move towards 2015, ~~below which recent literature reports the continuation of the lower stratosphere ozone decline continues in agreement with findings of recent literature.~~

## 1 Introduction

At least three ~~two~~ recently published papers (Steinbrecht et al., 2017; Weber et al., 2017; Ball et al., 2018) show that total ozone and ozone profile trends are consistent with earlier WMO (2014) findings. Despite the addition of 4 more years since WMO (2014), Weber et al. (2017) show that for most datasets and regions the trends in total ozone, since stratospheric halogens reached their maximum around 1997, are not significantly different from zero. In the case of ozone profile trends, however, Steinbrecht et al. (2017) confirmed increasing trends in the upper stratosphere (2 hPa) as first reported in WMO (2014). ~~Moreover, Due~~ to improved data sets and longer records, the uncertainty in the profile trends reported by Steinbrecht et al. (2017) was reduced by a factor of 2 compared to ~~the estimates by~~ Harris et al. (2015). Moreover Ball et al. (2018) provided solid evidence for a continuous ozone decline in the lower stratosphere capable in offsetting ozone recovery seen at the upper layers in the stratosphere.

In this work we have analysed SBUV (McPeters et al., 2013; Frith et al., 2017) and lidar ozone profile data from the Network for the Detection of Atmospheric Composition Change (NDACC) as part of the Long-term Ozone Trends and Uncertainties in the Stratosphere (LOTUS) project (<http://igaco-o3.fmi.fi/LOTUS/index.html>). The project aims at providing support and input to the WMO/UNEP 2018 Ozone Assessment for a better understanding of ozone trends and their significance as a function of altitude and latitude, nearly 20 years after the peak of ozone depleting substances in the stratosphere. Among the objectives of the LOTUS initiative is the improvement of our understanding of all sources of uncertainties in estimated trends and regression methods. In this work we provide a new look at the uncertainties involved in the representativeness of single (lidar) stations for zonally averaged layer ozone. We then look at ozone trends and at the hierarchy of proxies commonly used in statistical ozone trend analyses. We try to provide a better understanding of uncertainties and to quantify the effect of stratospheric climatology and chemistry on the estimated profile trends.

## 2 Data, analysis and methods

### 2.1 Satellite data

Solar Backscatter UltraViolet (SBUV) version 8.6 station overpass satellite data for the period 1980-2015 have been analysed through this work. The SBUV observing system consists of a series of instruments that measure ozone profiles from the ground to the top of the atmosphere (e.g. DeLand et al., 2012; MCPeters et al., 2013). Measurements are provided as partial column ozone amounts in Dobson Units (DU). We have analysed ozone data for 7 pressure layers as shown in Table 1.

The satellite data come from all SBUV type instruments with data availability from 11/1978 to the present (see Table 2 for details). Three versions of the SBUV instrument are used in the series, but the fundamental measurement technique is the same over the evolution of the instrument from BUV to SBUV/2 (Bhartia et al., 2013). Satellite overpasses over a number of ground-stations are available for each day from the website <ftp://toms.gsfc.nasa.gov/pub/sbuV/AGGREGATED/>. Daily averages have been calculated by averaging the measurements from all available satellite instruments. Then monthly means were derived following the instructions provided at [https://acd-ext.gsfc.nasa.gov/Data\\_services/merged/instruments.html](https://acd-ext.gsfc.nasa.gov/Data_services/merged/instruments.html). Additional SBUV

Field Code Changed

Field Code Changed

Field Code Changed

80 data used in the present work include 5 degree of latitude zonal means taken from [ftp://toms.gsfc.nasa.gov/pub/MergedOzoneData/Ind\\_Inst\\_HDF/](ftp://toms.gsfc.nasa.gov/pub/MergedOzoneData/Ind_Inst_HDF/) (McPeters et al., 2013).

Field Code Changed

## 2.2 Lidar data

85 Monthly mean ozone profiles from ground-based lidar instruments were obtained by averaging daily profiles from the NDACC Database at <ftp://ftp.cpc.ncep.noaa.gov/ndacc/station/>. Data for lidar stations with long term measurements, namely, Hohenpeißenberg (47.8° N, 11.0° E), Haute Provence (43.9° N, 5.7° E) and Table Mountain (34.4° N, 117.7° W) in the northern mid-latitudes, Mauna Loa (19.5° N, 155.6° W) in the tropics and Lauder (45.0° S, 169.7° E) in the southern mid-latitudes were taken from the NDACC NASA-Ames format files. It should be noted here that all lidar measurements are given as number density (molec.cm<sup>-3</sup>) versus altitude. From these measurements the column densities in m-atm-cm (D.U.) were calculated for the corresponding SBUV layers using the equation:

Field Code Changed

90

$$\text{Column density (in D.U.)} = \sum_{z_0 \neq 0}^{z_1 \neq 1} [\text{O}_3 \text{ (in molecules/cm}^3\text{)}] * \frac{\Delta z \text{ (cm)}}{2.69 \times 10^{16}} \quad (1)$$

Formatted: English (U.S.)

Formatted: English (U.S.)

95 Where  $z_0$  is the base and  $z_1$  is the top of each SBUV layer and  $\Delta z$  is the height interval between two successive lidar measurements. The relation between height and atmospheric pressure is derived from ERA-interim reanalysis data interpolated ~~to~~ at each station.

Formatted: Subscript

Formatted: Subscript

## 3 Representativeness of single station ozone profiles in comparison to zonal means

100 The comparison between lidar and SBUV station overpasses on common days throughout the record was ~~first done on the basis of~~ based on deseasonalized monthly mean lidar and SBUV ozone profiles. Figure 1a shows the resulting correlation coefficients ~~which were found to be all~~ —All correlations are statistically significant at the 99.99% confidence level. Concerning the correlations between lidar data and SBUV overpasses, we calculated monthly averages when at least 3 common days were available. Correlations were based on deseasonalized ozone data. The data were deseasonalized by subtracting the long-term monthly mean pertaining to the same calendar month. All correlation coefficients ( $r$ ) were calculated using the Pearson product-moment correlation and were tested for significance using the t-test formula for the correlation coefficient with  $n-2$  degrees of freedom (von Storch and Zwiers, 1999):

105

$$t = r \sqrt{\frac{n-2}{1-r^2}} \quad (2)$$

110 Recalculation after removing the strong trends before the 1998 does not alter the significance. We have confined our analysis to the SBUV layers from 8 (40-25 hPa) up to 14 (2.5-1.6 hPa). This was imposed by the fact that at the highest altitudes lidar data quality is reduced while at the lowest altitudes SBUV data quality is also reduced. The average distance between the subsatellite points ~~s~~ and a lidar station ~~were-was~~ 500 km at middle latitude stations (Hohenpeißenberg, Haute Provence and Lauder) and 700 km for lower latitude lidar stations (Table Mountain and Mauna Loa) with collocation time criterion being sub daily.

115

The correlation coefficients in Figure 1a show a structure in the vertical. This is a result of using different instruments and different sampling times (SBUV data are daytime drifting orbit, lidar data are night-time). The declining signal to noise ratio for the lidars above 35 to 40 km also plays a role. Larger atmospheric variability at higher latitudes tends to increase correlations, e.g. at Lauder and Hohenpeißenberg, as does the very regular and large QBO signal at Mauna Loa.

120

To check the effect of different sampling, we also calculated the correlation between monthly mean SBUV overpasses averaged over all  $\approx 30$  days in a month, with SBUV overpasses averaged only over those days when lidar measurements were available. These results are presented in Figure 1b. Now the vertical structure is reduced, indicating that the drop above 35 km in Figure 1a is due to instrumental differences between SBUV and the lidars. The drop in correlation around 32 km in Figure 1b indicates atmospheric variability that is sampled differently, when measurements are available only on the lidar dates. Interestingly, this variability seems to occur predominantly at the mid-latitude stations, not at Mauna Loa.

125

130

We now come to the question of the representativeness of ozone monthly means at single stations compared to  $5^\circ$  latitude zonal means calculated for SBUV. Figure 2a shows the profiles of correlations between SBUV monthly  $5^\circ$  zonal means and SBUV ~~overpass~~ monthly means overpasses at the lidar locations. Again, all correlation coefficients are large (0.70 to 0.95) and highly significant (99.99%). The increase of the correlations with altitude is in part due to the larger trends at higher latitudes, which increase the signal to noise ratio ~~in the time series, and increase correlations, especially~~ on longer time scales.

135

Finally, Figure 2b gives the correlation between SBUV monthly zonal means and lidar station monthly means. These correlation coefficients are substantially reduced, but are still statistically significant, except at Table Mt above 10hPa.

140

The previous figures help to explain the observed range of correlations in Figure 2b: As shown in Figure 2a for SBUV data, the correlation between station monthly means and zonal means drops by 0.1 to 0.2 from a perfect value of 1. This is largely due to longitudinal variations, which are smallest at lower latitudes / Mauna Loa. Figure 1b, again on the basis of SBUV data, then indicates that the sparse temporal sampling of the lidars, also leads to drops in the correlation by 0.1 to 0.3, compared to the perfect correlation value of 1. Again, this is less critical at Mauna Loa, where either better sampling or lower temporal variability (or both) gives the highest correlations.

145

Figure 1a indicates that instrumental differences between the lidars and SBUV (different vertical resolution, different accuracy, different long-term stability) result in correlations between 0.4 and 0.8 for monthly mean data with comparable sampling. Reduced temporal sampling by the lidars (compare Figure 1b), and longitudinal variations not sampled by a single station (compare Figure 2a), together explain the reduced correlations, 0.2 to 0.6, between lidar monthly means and SBUV zonal means in Figure 2b.

150

Formatted: Comment Text

155 A further look at the spatial distribution of correlation coefficients between single SBUV overpasses at lidar  
stations (or station groups) and SBUV 5° zonal means is given in Figure 3. The correlation coefficients have  
been calculated using deseasonalized and detrended ozone data. Data were detrended by removing a 2-degree  
polynomial fit from the deseasonalized time series. The results show that ozone at the five selected lidar stations  
160 correlate well with ozone over a fairly wide range of latitudes within ± 15 degrees centered at the station. This  
result has little dependence on height. The correlation coefficients found were high and in all cases their  
statistical significance exceeded 99.99% (correlations ranging between 0.45 and 0.9 with the highest values near  
the latitude circle corresponding to each station). The fairly good “zonal representativeness” of the stations is  
obvious from the colour scale. We remind here that long-term trends have been removed from the time series  
165 and therefore long-term trends do not contribute to the observed correlations. As to the role played by other  
sources of natural variability e.g. QBO, solar cycle etc., which were not removed from the time series in the  
correlations, it is small and does not exceed 2-4% of the explained variance as seen in a separate analysis (not  
shown here), overpasses for all 633 stations available at the website is given in Figures 3 to 6. The fairly good  
“zonal representativeness” of the stations is obvious from the color scale. Also, as we move higher in altitude,  
170 high correlations are found even at distances exceeding 1000 km, and spanning almost the entire globe. In layer  
14 each station is representative for most of the globe. One reason for this global representativeness at the  
highest layer is the increased and global importance of long term ozone trends, and possibly other common  
sources of ozone variation, at the uppermost levels. This is investigated in more detail in the following section.

#### 4 The role of proxies in the variability of ozone

A number of proxies have been used to explain the variability in space and time of the vertical ozone  
175 distribution, superimposed to the dominating annual cycle (Zerefos et al., 1992; Reinsel et al., 2002; Newchurch  
et al., 2003; Reinsel et al., 2005; Zanis et al., 2006; Nair et al., 2013; Frith et al., 2014; Harris et al., 2015;  
Steinbrecht et al., 2017; Weber et al., 2017; WMO 2007, 2011, 2014). Each proxy reflects ozone variability in a  
different way. For instance, the El Niño Southern Oscillation (ENSO) has specific geographic patterns of  
influence in total ozone and its effect is confined in the upper troposphere/lower stratosphere (Zerefos et al.,  
180 1992). The Quasi Biennial Oscillation (QBO) is influencing ozone from the middle stratosphere down to the  
troposphere with a phase progressing both in height and latitude at rates of about 1 km per month vertically, and  
by about 4 degrees of latitude per month horizontally (Zerefos, 1983).

The proxies can be grouped into the following categories: (1) Dynamical proxies. These include: the Quasi  
185 Biennial Oscillation (QBO), the El Niño Southern Oscillation (ENSO), the Arctic Oscillation (AO), the  
Antarctic Oscillation (AAO) and Tropopause Pressure. (2) Extraterrestrial proxies. This is primarily the 11-year  
solar cycle and (3) stratospheric composition proxies, typically stratospheric aerosol optical depth (e.g. at 550  
525 nm) and equivalent effective stratospheric chlorine (EESC).

190 In order to investigate both qualitatively and quantitatively the attribution of ozone variations to the different  
proxies, we have used a multi-linear regression method, as described in the following paragraph.

#### 4.1 Regression analysis model

Multivariate linear regression (MLR) analysis has been applied both to SBUV and lidar data sets (e.g. WMO, 2011; Nair et al., 2013; Harris et al. 2015). Historically, long-term trends in ozone have been investigated with the use of simple linear trends. More sophisticated methods allowing for the estimation of a change in the long term trend (such as the PWLT), or using directly the EESC (Equivalent Effective Stratospheric Chlorine) as a proxy to estimate the rate of change in ozone losses due to the evolution of ODSs, have been used e.g. by Reinsel et al. (2005), [Newmann et al. \(2007\)](#) or in the ozone assessments (WMO, 2014).

In this work we have used the statistical model in two ways, using either (a) the Piecewise Linear Trend (PWLT) method, with January 1998 selected as inflection point, or (b) EESC [and its orthogonal function](#) as ~~a proxyproxies~~ ([Damadeo et al., 2014](#); [Kuttiipurath et al., 2015](#)). The MLR regression model, in each case, was applied at all seven pressure levels and for the different zonal belts / stations. Our MLR model takes the general form:

$$\Delta O_3(t) = \mu + a_{trend}Trend + a_{qbo}QBO(t) + \alpha_{solar}SOLAR(t) + \alpha_{enso}ENSO(t) + \alpha_{AOI}AOI(t) + a_{tropres}tropres(t) + a_{volcanic}AOD(t) + N(t) \quad (23)$$

Where the term  $a_{trend}Trend$  corresponds to either (a) a PWLT or (b) the EESC proxy:

- (a)  $\alpha_{tr1}T_1(t) + \alpha_{tr2}T_2(t)_{(t=0 \text{ for } t < 1998)}$ , in the case of the PWLT runs, with  $T_1$  and  $T_2$  accounting for pre- and post- 1998 linear trends, and  $T_2$  set to zero before 1/1998,
- (b)  $\alpha_{eesc}EESC(t)$ , for the runs with EESC [and its orthogonal term](#) as ~~a proxyproxies, corresponding to 3-years age of air~~ ([Newman et al., 2007](#)).

Overall,  $\Delta O_3(t)$  is the time-series of ozone anomalies in percent (%) for a particular month  $t$ . Data are deseasonalised prior to the analysis, by removing the long-term monthly average (1980-2015) for each calendar month (January, February, ... December).

The other terms are:

- $\mu$  corresponds to a constant term,
- For the  $QBO$  term, equatorial zonal winds at 30 and 50 hPa as given by the standardized NOAA –CPS indices for 30 and 50 hPa, were used (<http://www.cpc.ncep.noaa.gov/data/indices/>).
- $SOLAR$  accounts for the solar cycle effect in ozone, using the 10.7 cm wavelength solar radio flux (F10.7) as a proxy.
- Similarly,  $ENSO$  accounts for the ENSO effect on ozone, using the MEI (Multivariate ENSO Index) as a proxy <http://www.esrl.noaa.gov/psd/enso/mei/table.html>.
- The  $AOI$  term is used to describe the Arctic (or Antarctic) Oscillation effect on ozone. The AO Index is used for the Northern hemisphere and the AAO Index for the Southern hemisphere. Both come from NOAA: [http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily\\_ao\\_index/teleconnections.shtml](http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily_ao_index/teleconnections.shtml)

Field Code Changed

Field Code Changed

Field Code Changed

- 230
- $trop_{pres}$  is the term used to describe the effect of tropopause changes on ozone. This index is constructed from NCEP re-analysis tropopause pressures. It is filtered to remove ENSO, solar, QBO, long-term trend and volcanic effects [through multiple linear regression analysis](#). The index is calculated separately for every data set used here, either as a zonal mean for the SBUV zonal averages, or for each station (lidar or SBUV overpasses).
- 235
- AOD is used to describe volcanic effects: The zonal mean [550–525 nm Stratospheric Aerosol Optical Depth integrated from the tropopause upwards](#) is used from [the Global Space-based Stratospheric Aerosol Climatology \(GloSSAC\) data set \(Thomason et al., 2018; <https://doi.org/10.5067/GloSSAC-L3-V1.0>\)](#). ~~As the index stops at the end of 2012, this year was repeated until the end of ozone record.~~
- 240
- $N(t)$  is the residual noise series, assumed to be an autoregressive AR(1) time series with  $N(t) = \phi N(t-1) + \varepsilon(t)$ , where  $\varepsilon(t)$  is an uncorrelated series, with weights inversely proportional to the monthly residual variances, in which the uncertainties of the monthly averages were taken into account.

Trends and errors (especially for the PLWT runs) are calculated as in Reinsel et al. (2002) and the results are given in % of the respective long-term mean.

#### 245 4.2 MLR results and discussion

Figure [7-4](#) shows the amplitude [maximum value – minimum value / 2] of ozone variability attributed to each proxy for the 7 vertical layers and for Hohenpeißenberg, Mauna Loa and Lauder. Amplitudes are given in % of the long-term ozone mean.

250 The upper panel of Figure [47](#) shows results for Hohenpeißenberg as a northern mid-latitude example, the middle panel for Mauna Loa as a tropical latitude and the bottom panel Lauder as a southern mid-latitude example. The left plots refer to monthly mean SBUV overpasses for the whole period 1980-2015, the plots in the middle refer to SBUV data for the period common with Lidar measurements, and the right plots refer to the Lidar monthly mean ozone profiles. The amplitude of QBO related variations below 10 hPa, down to 40 hPa, is on the order of

255 2% of the mean. The smallest QBO amplitudes are found in the uppermost layers 13 and 14 (0.5% of the mean or less). We should point out, that according to Kramarova et al. (2013) the coarse vertical resolution of SBUV (and the decreasing altitude resolution of the lidars above 35 to 40 km) can induce errors in the amplitude of QBO related ozone anomalies on the order of 1% at heights between 10 and 1 hPa. However, for trend analysis

260 [purposes](#) this is not expected to ~~introduce~~ [have](#) any significant effect.

The footprint of the solar cycle is clearly seen in the middle and upper stratosphere with amplitudes around 2% of the mean. The amplitude of AO (AAO in the Southern hemisphere) in the zonal mean is about 1% of the mean. At individual levels or stations it can be as high as 4% of the mean. The contribution of ENSO (MEI) is typically less than 1% of the mean at Hohenpeißenberg and Lauder, but up to 4% for the Mauna Loa SBUV data.

265 The effect of tropopause height variations is most evident in the lower stratospheric layer 8, where it reaches 4% for the SBUV data at Lauder and Hohenpeißenberg, but only 2% for the lidar data. The lidars have better altitude resolution than SBUV in the mid and lower stratosphere, and do not include a substantial contribution from levels below 40 hPa / 26 km. In the upper levels, tropopause height related ozone variations generally decrease.

270 ~~Transitional-Transient~~ effects from large AOD of volcanic origin (El Chichon, Pinatubo) can contribute substantially to the ozone variability, from 4 to 6% of the mean, but for shorter time periods (2 to 3 years) after the volcano).

275 Finally, the EESC ~~proxy-proxies~~ representing halogen chemistry carries-carry the largest and most significant ozone variations, up to 5% of the mean in the upper stratosphere. These results are in general agreement with previous results by Nair et al. (2013) and Kirgis et al. (2013).

280 As it appears from Figure 4 the percent of the total variability explained by all proxies taken together, ranges between 5 and 15% of the mean, both for the lidar and the SBUV overpasses. Additionally there appears to be poorer agreement for Lauder than for the other stations. It should be noted here that both Lauder and Mauna Loa lidar records start 1-2 years after the Mt. Pinatubo eruption and this makes it difficult to separate the influence of volcanic aerosol from other proxies ~~at~~ these two stations, but not at Hohenpeissenberg because of its longer record. Important aspects of Figure 7 are: (a) the overall ozone variations explained all proxies together, typically 5 to 12% of the mean, are very similar for the 1980 to 2015 SBUV overpass time series, for the shorter SBUV-lidar common period, and for the lidar station time series. (b) For many individual proxies the attributed ozone variability is also very similar for all three station-related time series. Together with the correlations shown in the previous section, this means that ground-based instruments at single stations can provide representative information about ozone trends (EESC) and ozone variations related to the QBO, the solar cycle, ENSO, as well as large-scale circulation variations described by AO or AAO.

290 The temporal evolution of ozone variations attributed to natural proxies and to EESC terms is presented in Figure 8.5. ~~The-That~~ figure shows time series of ozone anomalies and regression results from 1980 to 2015 SBUV monthly mean overpasses, averaged over 3 stations (Hohenpeissenberg, Haute Provence and Table Mountain). Two stratospheric layers are shown: Layer 8 (40.34-25.45 hPa) centered at about 24 km height and layer 13 (4.034-2.545 hPa) centered at about 40 km height. Figure 8-5 shows that the major long-term variations come from ~~the two orthogonal EESC terms~~ chemistry (EESC), the solar cycle and AOD. ~~The major contribution from AOD is highly limited to the two periods with the strong volcanic eruptions time periods~~ (El Chichon- and Pinatubo).

300 ~~It appears however,~~ Interesting to note here is the fact that in some particular years the synergistic contribution of shorter-term variations can result ~~in-to~~ substantial additive anomalies. This might or might not influence the estimation of long term changes or trends in the ozone profile. Notable synergistic negative anomalies can be seen in the years 1983, 1985, 1988, 1992, 1993, 1995, 1997, 1999, 2002, 2004, 2006, 2008, 2011, 2013 in which the negative phase of QBO and of other proxies coincided. Further analysis, however, showed that, even after removing the above years, the observed long-term variability/- trends remained the same. ~~Therefore we conclude that the synergistic effect by different proxies has not influenced the trend estimates discussed before. Finally we-~~ We note here that the correlations between the regressed time series (all proxies composed) and the observed

305

ozone anomalies are 0.62 for layer 8 (t-value = 16.19, p-value < 0.0001, N = 426) and 0.67 for layer 13 (t-value = 18.59, p-value < 0.0001, N = 426).

310

Another look at ~~the~~ long-term ozone variations is given in Figure 96. The ~~upper time series in the~~ figure shows the observed SBUV overpass anomalies, the ~~middle series the~~ variations explained by natural influences (~~i.e.~~ all proxies except ~~the orthogonal EESC terms~~), and the ~~lower series shows the~~ remaining ozone ~~variation-residuals~~ after all natural influences and ~~the orthogonal EESC terms~~ (all proxies) have been ~~subtracted-removed, again~~ for the whole 36-year period (1980-2015) and ~~for~~ layers 8 (~~left~~) and 13 (~~right~~). From Figures 9a-6a and 9b-6b one can clearly see that removing the ~~effect-of-natural variation-~~proxies has little effect on the slowly moving long-term ~~variations-and-on~~ ozone trends. Most of the long-term ~~variation-variability~~ is congruent with the EESC ~~proxyproxies~~, especially in the upper (~~Layer-13) stratosphere~~stratospheric Layer 13. ~~EESC and its orthogonal function isare really the dominating proxy proxies for ozone trends in the upper stratosphere. When the EESC related variations are removed as well (lower panels), only very small long-term variations remain. There is a slightly negative tendency in the lower stratosphere after around 2000 and a very small positive tendency after 2000 in the upper stratosphere.~~The same figure shows that in the lower stratosphere a small negative tendency prevails after the end of the 1990s and a small positive tendency is seen in the upper stratosphere. After removing the variability attributed to all proxies (~~natural and orthogonal EESC terms~~), the nonparametric Mann-Kendall rank statistic trend test (Mitchell et al., 1966) was applied to the anomaly series. It was found that both in the upper and lower stratosphere the overall trends (1980-2015) were insignificant at the 99% confidence level.

315

320

325

### 5 Stratospheric ozone trends before and after 1998

Various authors (Newchurch, 2003; Reinsel et al., 2005; Zanis et al., 2006; Zerefos et al., 2012; Harris et al., 2015; Solomon et al., 2016; Steinbrecht et al., 2017) provide evidence for a difference in ozone “trends” before and after the years 1996/1998. Using the MLR model described in paragraph 4.1 we have calculated linear trends, with and without including the various proxies listed in 4.1, for the SBUV zonal means and SBUV overpasses over the lidar stations. Trends were calculated using the PWLT method (1/1998 set as inflection point). In a separate run we used EESC ~~and its orthogonal function~~ to describe the ozone trends, and from that calculated the EESC ~~orthogonal~~related ozone trends before and after 1998.

330

335

As a first step, we performed a base-line run, fitting only the two linear trend terms (denoted as T1 and T2 in paragraph 4.1) and the volcanic effect (AOD). This gives the pre- and post- 1998 trends in ~~the upper row of Figure 10 7 (10a7a)Figure 7a~~. Then ~~we performed~~a run with the PWLT method ~~was performed~~ accounting for the effects QBO, ENSO, solar cycle, tropopause variability, AO/AAO and volcanic effects, and including the two linear trend terms T1 and T2 for the same inflection point. The resulting trends are displayed in Figure ~~10b 7b~~ (mid-row). Finally, we performed a run with all proxies, but using EESC ~~and its orthogonal term~~ instead of PWLT. The corresponding ozone trends before and after 1998, due to the fitted ~~orthogonal EESC terms~~, are presented in Figure ~~10e-7c~~ (bottom row).

340

345

Comparison of the trends presented in Figures ~~40a-7a~~ and ~~40b7b~~, both calculated using linear trend terms (PWLT) shows minor changes only. Clearly ~~this signifies , introducing the~~ that different proxies ~~has have~~ very little effect on the trends. The proxy that has the largest influence on trends is the solar cycle, ~~a result based on 36 years of data~~. Comparison between Figures ~~40b-7b~~ and ~~40e-7c~~ shows that for the pre-1998 period (left panels) trends are very similar (almost identical), regardless if a linear trend term (the pre-1998 part of the PWLT method) or ~~the orthogonal~~ EESC ~~terms~~ are used. For the post-1998 period (right panels), ~~the resulting trends do not change significantly when comparing Figures 7a, 7b and 7c. results are not so close to each other, albeit both show clear positive ozone trends after 1998.~~

While trends calculated with the use of EESC reflect ~~the effect of changes in ODS on ozone~~ ~~the prescribed shape of the EESC curve~~, PWLT linear trends can ~~react~~ ~~interact~~ to other long-term changes, e.g. to effects of increasing Green House Gases (GHGs) and global warming (~~e.g. Jonsson et al., 2004; Zerefos et al., 2014~~). Chemistry-Climate model simulations assessing the effects of changes in ODS and / or GHGs indicate that their contributions add linearly to produce the overall ozone change (see detailed discussion and references in WMO, 2014, par. 2.3.5.2). ~~This may explain some of the differences between panels 40b and 40e. We note here that the comparison of Figures 7b and 7c reduces the importance of GHG effect in the observed small differences between b and c; always remember that our study is confined to the region between 30 hPa and 2 hPa. However, a detailed investigation is beyond the scope of the present paper.~~

Although the period (1998-2015) is slightly larger from the period studied by Frith et al., 2017 (2001-2015) the results reported here are in general agreement with the SBUV trends reported in that study. Finally, it should be noted that the profiles of trends from SBUV station overpasses (dashed lines) and trends for the ~~5 deg.~~ latitudinal belts (solid lines) are very similar for both periods of study (1980-1997 and 1998-2015).

Figure ~~44-8~~ extends the previous findings to a global perspective, based on ~~the~~ SBUV zonal means. All cross sections in Figure ~~44-8~~ are plotted against the sine of latitude north and south ~~in order that tropical areas are represented in their proper dimension~~. The tick marks of the vertical axis are centered at the indicated pressure level. The color scale gives the calculated trends in percent per decade. The first vertical group of cross sections refers to the period 1980-2015, the middle to the period 1980-1997 and the right to the period 1998-2015. Comparing the observed trends during the different periods, we see that there is a region between 10 and 5 hPa over the tropics which shows positive ozone trends over the whole 1980 to 2015 period of record, and to a different degree also in the two sub-periods. ~~These trends however are not statistically significant~~. Also notable are the negative trends over middle and high latitudes below 15 hPa, both in the total 1980 to 2015 period and in ~~the both~~ sub-periods (~~except for the post 1998 period in EESC fit, lowermost right panel~~). The big change when dividing the 1980-2015 period into two sub-periods is the change in sign of the observed trends in the upper stratosphere, as well as in parts of the middle stratosphere, particularly over middle and high latitudes (upper set of cross sections). ~~Trends in the lower stratosphere continue to be negative as reported by Ball et al. (2018).~~

The middle and lower sets of cross sections in Figure ~~44-8~~ are plotted to provide preliminary answers to the effect of including natural proxies, and to the ~~congruence~~ ~~agreement~~ between PWLT and trends using the

prescribed EESC and its orthogonal function curves. It is obvious from the top and middle panels of Figure 4-8 that adding or removing the natural proxies has little effect on the observed trends. At any rate a separate analysis (not shown here) confirms that adding or removing of AOD has little and insignificant effect on the trends. The general similarity between the middle and bottom set of cross sections in Figure 4-8 points out the importance of man-made ozone depleting substances, represented by EESC and its orthogonal function, during both sub-periods in from the middle to the upper stratosphere. ~~for the observed (PWLT) trends, especially for the first sub-period 1980-1997. That similarity is not so clear when comparing cross sections during the last period 1998-2015. Here, EESC provides different patterns of upward trends than PWLT. In particular the EESC based trends after 1998 do not show the continuing downward trends seen in the lower stratosphere in the middle panels. The reasons must be quite complex. As already mentioned above, one reason might be the continuing increase of GHGs and the general variability of the middle and lower stratosphere (e.g. Jonsson et al., 2004; Zerefos et al., 2014).~~

## 6 Conclusions

The paper investigates the representativeness of single lidar stations ~~for our understanding of~~ to calculate trends in the vertical ozone profiles. From 40 hPa ~~the lower~~ to the upper stratosphere single or grouped stations correlate well with zonal means calculated from SBUV overpasses. Good correlation (> 0.4) with zonal means is found within ~~±15 a few~~ degrees of latitude north or south of any lidar station with little dependence on height. Because at the highest altitudes lidar data quality is reduced while at the lowest altitudes SBUV data quality are reduced we have confined our analysis to the SBUV layers from 8 (40-25 hPa) to 14 (2.5-1.6 hPa). ~~The latitude range of representativeness expands as we move to the upper stratosphere, and spans a large part of the globe above 4 hPa.~~ Ozone trend profiles are very similar over the different stations and their corresponding zonal means. Detailed analysis of proxy footprints in the vertical ozone profiles also shows large similarities between lidar time series at the stations, the SBUV overpass time series, and the SBUV zonal means.

Ozone trends have been studied with and without the inclusion of additional proxies, and for the full period 1980-2015, as well as for the two sub-periods 1980-1997 and 1998-2015. The major contributions to the trends comes from man-made ozone depleting substances (EESC) and its orthogonal function, and to a much less extent to the solar cycle and AOD. Long-term trends were not influenced by adding all other proxies, although these can produce significant negative anomalies ~~in at~~ certain years, for example in -1983, 1985, 1988, 1992, 1993, 1995, 1997, 1999, 2002, 2004, 2006, 2008, 2011, 2013. ~~During all these years ozone at about 24 km dropped below -6% of the mean.~~

~~A~~ The so-called “inflection point” between 1997 and 1999 marks the change from previously significant negative ozone trends, to recent positive ozone trends (1998-2015). ~~This trend change is observed mostly~~ at levels above 15 hPa, ~~but is not always significant.~~ Ozone trends in the two sub-periods before and after 1998 have been further compared with a multiple regression model with piece-wise linear trends (PWLT), with and without natural proxies, or with EESC and its orthogonal function representing the effects of man-made ozone depleting substances (ODS). Natural proxies had little effect on the observed trends in both periods before and after 1998. The largest contributor to the observed ozone trends in both periods the 1980 to 1997 period were the

425 man-made ODS. ~~In the second period 1998-2015, the decline of ODS / EESC is reflected in generally increasing PWLT trends. However, differences between latitude / altitude cross sections of post 1998 trends from PWLT versus EESC indicate that other influences are operating as well. There are long term ozone changes that may have been caused by factors such as increasing GHGs.~~

430 ~~Our analysis indicates that natural proxies in synergy (or not) cannot explain the different sign of ozone trends before and after the year 1998. Finally we note that we have plotted some figures with the sine of latitude in order to emphasize the tropics (which occupy about half of the globe). Latitude altitude cross sections and analysis like the one presented here can provide clues for a better understanding of the changed trends of stratospheric ozone in the past decade or so. While declining EESC plays an important role, our findings indicate that other influences are operating as well. A pre-requisite for understanding these influences is to maintain NDACC and similar observing networks.~~

435 ~~At heights above 15 hPa an “inflection point” between 1997 and 1999 marks the end of significant negative ozone trends, followed by a recent period between 1998-2015 with positive ozone trends. At~~  
440 ~~Moving at lower heights between 15 hPa and 40 hPa the pre-1998 negative ozone trends tend to become less significant as we move towards 2015, below which recent literature reports the continuation of the lower stratosphere ozone decline.~~

## 7 Data availability

445 Satellite SBUV ozone data overpassing Hohenpeißenberg, Haute Provence, Table Mountain, Mauna Loa and Lauder were obtained from <ftp://toms.gsfc.nasa.gov/pub/sbuV/AGGREGATED/>. Additional SBUV data at 5 degree of latitude zonal means were taken from [ftp://toms.gsfc.nasa.gov/pub/MergedOzoneData/Ind\\_Inst\\_HDF/](ftp://toms.gsfc.nasa.gov/pub/MergedOzoneData/Ind_Inst_HDF/). Ground-based lidar ozone profiles were obtained from the NDACC Database at <ftp://ftp.cpc.ncep.noaa.gov/ndacc/station/>.

Field Code Changed

Field Code Changed

Field Code Changed

## 450 Acknowledgements

The authors acknowledge the Mariolopoulos-Kanaginis Foundation for the Environmental Sciences for funding this research ~~as well as the Copernicus Atmosphere Monitoring Service (CAMS)~~. We acknowledge the individual agencies and scientists responsible for the ground-based lidar ozone measurements, particularly Thierry Leblanc for the Mauna Loa and Table Mountain lidars, and Daan Swart and Ann van Gijssel for the Lauder lidar, as well as the SBUV science team for providing the satellite ozone profiles. B.H. would like to thank DLR-IPA in Oberpfaffenhofen/Germany for funding a three month stay as visiting scientist that allowed her to work on this study.

## References

- 460 [Ball, W. T., Alsing, J., Mortlock, D. J., Staehelin, J., Haigh, J. D., Peter, T., Tummon, F., Stübi, R., Stenke, A., Anderson, J., Bourassa, A., Davis, S. M., Degenstein, D., Frith, S., Froidevaux, L., Roth, C., Sofieva, V., Wang, R., Wild, J., Yu, P., Ziemke, J. R., and Rozanov, E. V.: Evidence for a continuous decline in lower stratospheric ozone offsetting ozone layer recovery, \*Atmos. Chem. Phys.\*, \*\*18\*\*, 1379-1394, <https://doi.org/10.5194/acp-18-1379-2018>, 2018.](#)
- 465 [Bhartia, P. K., McPeters, R. D., Flynn, L. E., Taylor, S., Kramarova, N. A., Frith, S., Fisher, B., and DeLand, M.: Solar Backscatter UV \(SBUV\) total ozone and profile algorithm, \*Atmos. Meas. Tech.\*, \*\*6\*\*, 2533-2548, doi:10.5194/amt-6-2533-2013, 2013.](#)
- [Damadeo, R. P., Zawodny, J. M., and Thomason, L. W.: Reevaluation of stratospheric ozone trends from SAGE II data using a simultaneous temporal and spatial analysis, \*Atmos. Chem. Phys.\*, \*\*14\*\*, 13455-13470, <https://doi.org/10.5194/acp-14-13455-2014>, 2014.](#)
- 470 [DeLand, M. T., Taylor, S. L., Huang, L. K., and Fisher, B. L.: Calibration of the SBUV version 8.6 ozone data product, \*Atmos. Meas. Tech.\*, \*\*5\*\*, 2951-2967, doi:10.5194/amt-5-2951-2012, 2012.](#)
- [Frith, S. M., Kramarova, N. A., Stolarski, R. S., McPeters, R. D., Bhartia, P. K., and Labow G. J.: Recent changes in total column ozone based on the SBUV Version 8.6 Merged Ozone Data Set, \*J. Geophys. Res. Atmos.\*, \*\*119\*\*, 9735–9751, doi:10.1002/2014JD021889, 2014.](#)
- 475 [Frith, S. M., Stolarski, R. S., Kramarova, N. A., and McPeters, R. D.: Estimating Uncertainties in the SBUV Version 8.6 Merged Profile Ozone Dataset, \*Atmos. Chem. Phys. Discuss.\*, <https://doi.org/10.5194/acp-2017-412>, accepted, 2017.](#)
- [Harris, N. R. P., Hassler, B., Tummon, F., Bodeker, G. E., Hubert, D., Petropavlovskikh, I., Steinbrecht, W., Anderson, J., Bhartia, P. K., Boone, C. D., Bourassa, A., Davis, S. M., Degenstein, D., Delcloo, A., Frith, S. M., Froidevaux, L., Godin-Beekmann, S., Jones, N., Kurylo, M. J., Kyrölä, E., Laine, M., Leblanc, S. T., Lambert, J.-C., Liley, B., Mahieu, E., Maycock, A., de Mazière, M., Parrish, A., Querel, R., Rosenlof, K. H., Roth, C., Sioris, C., Staehelin, J., Stolarski, R. S., Stübi, R., Tamminen, J., Vigouroux, C., Walker, K. A., Wang, H. J., Wild, J., and Zawodny, J. M.: Past changes in the vertical distribution of ozone – Part 3: Analysis and interpretation of trends, \*Atmos. Chem. Phys.\*, \*\*15\*\*, 9965-9982, doi:10.5194/acp-15-9965-2015, 2015.](#)
- 480 [Jonsson, A. I., de Grandpré, J., Fomichev, V. I., McConnell, J. C., and Beagley, S. R.: Doubled CO<sub>2</sub>-induced cooling in the middle atmosphere: photochemical analysis of the ozone radiative feedback, \*J. Geophys. Res.\*, \*\*109\*\*, D24103, <https://doi.org/10.1029/2004JD005093>, 2004.](#)
- [Kirgis, G., Leblanc, T., McDermid, I. S., and Walsh, T. D.: Stratospheric ozone interannual variability \(1995-2011\) as observed by lidar and satellite at Mauna Loa Observatory, HI and Table Mountain Facility, CA, \*Atmos. Chem. Phys.\*, \*\*13\*\*, 5033-5047, doi:10.5194/acp-13-5033-2013, 2013.](#)
- [Kramarova, N. A., Bhartia, P. K., Frith, S. M., McPeters, R. D., and Stolarski, R. S.: Interpreting SBUV smoothing errors: an example using the quasi-biennial oscillation, \*Atmos. Meas. Tech.\*, \*\*6\*\*, 2089-2099, doi:10.5194/amt-6-2089-2013, 2013.](#)
- 495 [Kuttippurath, J., Bodeker, G. E., Roscoe, H. K., and Nair, P. J.: A cautionary note on the use of EESC-based regression analysis for ozone trend studies, \*Geophys. Res. Lett.\*, \*\*42\*\*, 162–168, doi:10.1002/2014GL062142, 2015.](#)

- McPeters, R. D., Bhartia, P. K., Haffner, D., Labow, G. J., and Flynn, L.: The version 8.6 SBUV ozone data record: An overview, *J. Geophys. Res. Atmos.*, 118, 8032-8039, doi:10.1002/jgrd.50597, 2013.
- 500 Mitchell, J. M., Dzerdzevskii, B., Flohn, H., Hofmeyr, W. L., Lamb, H. H., Rao, K. N., and Wallén, C. C.: Climatic change: report of a working group of the Commission for Climatology, Technical Note No. 79, WMO-No. 195. TP. 100, World Meteorological Organization, Geneva, Switzerland, available at [https://library.wmo.int/opac/index.php?lvl=notice\\_display&id=3849#.WilBrOpl-UK](https://library.wmo.int/opac/index.php?lvl=notice_display&id=3849#.WilBrOpl-UK), 1966.
- 505 Nair, P. J., Godin-Beekmann, S., Kuttippurath, J., Ancellet, G., Goutail, F., Pazmiño, A., Froidevaux, L., Zawodny, J. M., Evans, R. D., Wang, H. J., Anderson, J., and Pastel, M.: Ozone trends derived from the total column and vertical profiles at a northern mid-latitude station, *Atmos. Chem. Phys.*, 13, 10373–10384, <https://doi.org/10.5194/acp-13-10373-2013>, 2013.
- 510 Newchurch, M. J., Yang, E.-S., Cunnold, D. M., Reinsel, G. C., Zawodny, J. M., and Russell, J. M.: Evidence for slowdown in stratospheric ozone loss: first stage of ozone recovery, *J. Geophys. Res.*, 108, 4507, <https://doi.org/10.1029/2003JD003471>, 2003.
- Newmann, P. A., Daniel, J. S., Waugh, D. W., and Nash, E. R.: A new formulation of equivalent effective stratospheric chlorine (EESC), *Atmos. Chem. Phys.*, 7, 4537-4552, 2007.
- Reinsel, G. C., Weatherhead, E. C., Tiao, G. C., Miller, A. J., Nagatani, R. M., Wuebbles, D. J., and Flynn, L. E.: On detection of turnaround and recovery in trend for ozone, *J. Geophys. Res.*, 107(D10), doi:10.1029/2001JD000500, 2002.
- 515 Reinsel, G. C., Miller, A. J., Weatherhead, E. C., Flynn, L. E., Nagatani, R. M., Tiao, G. C., and Wuebbles, D. J.: Trend analysis of total ozone data for turnaround and dynamical contributions, *J. Geophys. Res.*, 110, D16306, <https://doi.org/10.1029/2004JD004662>, 2005.
- 520 Solomon, S., Ivy, D. J., Kinnison, D., Mills, M. J., Neely III, R. R., and Schmidt, A.: Emergence of healing in the Antarctic ozone layer, *Science*, doi: 10.1126/science.aae0061, 2016.
- Steinbrecht, W., Froidevaux, L., Fuller, R., Wang, R., Anderson, J., Roth, C., Bourassa, A., Degenstein, D., Damadeo, R., Zawodny, J., Frith, S., McPeters, R., Bhartia, P., Wild, J., Long, C., Davis, S., Rosenlof, K., Sofieva, V., Walker, K., Rahpoe, N., Rozanov, A., Weber, M., Laeng, A., von Clarmann, T., Stiller, G., Kramarova, N., Godin-Beekmann, S., Leblanc, T., Querel, R., Swart, D., Boyd, I., Hocke, K., Kämpfer, N., Barras, E. M., Moreira, L., Nedoluha, G., Vigouroux, C., Blumenstock, T., Schneider, M., García, O., Jones, N., Mahieu, E., Smale, D., Kotkamp, M., Robinson, J., Petropavlovskikh, I., Harris, N., Hassler, B., Hubert, D., and Tummon, F.: An update on ozone profile trends for the period 2000 to 2016, *Atmos. Chem. Phys.*, 17, 10675-10690, 2017.
- 530 [Thomason, L. W., Ernest, N., Millán, L., Rieger, L., Bourassa, A., Vernier J.-P., Manney, G., Luo, B., Arfeuille, F., and Peter, T.: A global space-based stratospheric aerosol climatology: 1979-2016, \*Earth Syst. Sci. Data\*, 10, 469-492, <http://doi.org/10.5194/essd-10-469-2018>, 2018.](#)
- [von Storch, H., and Zwiers, F. W.: Statistical analysis in climate research, Cambridge University Press, Cambridge, 484 pp, ISBN 0 521 45071 3, 1999.](#)
- 535 Weber, M., Coldewey-Egbers, M., Fioletov, V. E., Frith, S. M., Wild, J. D., Burrows, J. P., Long, C. S., and Loyola, D.: Total ozone trends from 1979 to 2016 derived from five merged observational datasets – the emergence into ozone recovery, *Atmos. Chem. Phys. Discuss.*, <https://doi.org/10.5194/acp-2017-853>, 2017.

Field Code Changed

Field Code Changed

Field Code Changed

- WMO Scientific Assessment of Ozone Depletion: 2006, Global Ozone Research and Monitoring Project-Report No. 50, WMO (World Meteorological Organization), Geneva, Switzerland, available at: <https://www.esrl.noaa.gov/csd/assessments/ozone/>, 2007.
- 540 WMO Scientific Assessment of Ozone Depletion: 2010, Global Ozone Research and Monitoring Project-Report No. 52, WMO (World Meteorological Organization), Geneva, Switzerland, available at: <https://www.esrl.noaa.gov/csd/assessments/ozone/>, 2011.
- WMO Scientific Assessment of Ozone Depletion: 2014, Global Ozone Research and Monitoring Project-Report No. 55, WMO (World Meteorological Organization), Geneva, Switzerland, available at: <https://www.esrl.noaa.gov/csd/assessments/ozone/>, 2014.
- 545 Zanis, P., Maillard, E., Staehelin, J., Zerefos, C., Kosmidis, E., Tourpali, K., and Wohltmann, I.: On the turnaround of stratospheric ozone trends deduced from the reevaluated Umkehr record of Arosa, Switzerland, *J. Geophys. Res.*, 111, D22307, <https://doi.org/10.1029/2005JD006886>, 2006.
- Zerefos, C. S.: On the quasi-biennial oscillation in equatorial stratospheric temperatures and total ozone, *Adv. Space Res.*, 2, 5, 177-181, 1983.
- 550 Zerefos, C. S., Bais, A. F., Ziomias, I. C., and Bojkov, R. D.: On the relative importance of quasi-biennial oscillation and El Nino/southern oscillation in the revised Dobson total ozone records, *J. Geophys. Res.*, 97, D9, 10135-10144, 1992.
- Zerefos, C. S., Tourpali, K., Eleftheratos, K., Kazadzis, S., Meleti, C., Feister, U., Koskela, T., and Heikkila, A.: Evidence of a possible turning point in solar UV-B over Canada, Europe and Japan, *Atmospheric Chemistry and Physics*, 12, 2469–2477, doi: 10.5194/acp-12-2469-2012, 2012.
- 555 Zerefos, C. S., Tourpali, K., Zanis, P., Eleftheratos, K., Repapis, C., Goodman, A., Wuebbles, D., Isaksen, I. S. A., and Luterbacher, J.: Evidence for an earlier greenhouse cooling effect in the stratosphere before 1980 over the Northern Hemisphere, *Atmospheric Chemistry and Physics*, 14, 7705–7720, doi: 10.5194/acp-14-7705-2014, 2014.
- 560

Field Code Changed

Field Code Changed

Field Code Changed

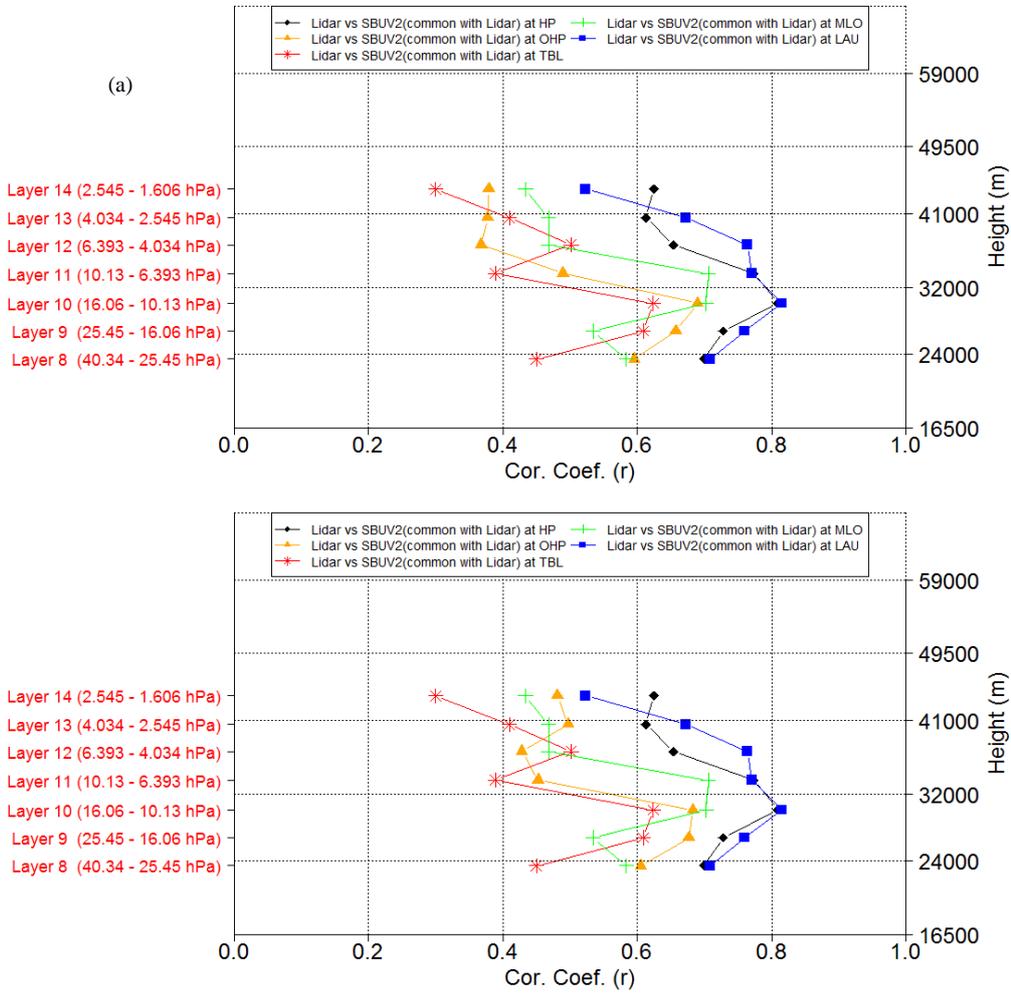
**Table 1. Pressure layers in which ozone data have been analysed in this study.**

Layer 8	40.34 - 25.45 hPa
Layer 9	25.45 - 16.06 hPa
Layer 10	16.06 - 0.13 hPa
Layer 11	10.13 - 6.393 hPa
Layer 12	6.393 - 4.034 hPa
Layer 13	4.034 - 2.545 hPa
Layer 14	2.545 - 1.606 hPa

565

**Table 2. SBUV satellite ozone data coverage used in this study.**

Nimbus 7 SBUV	11/1978 - 05/1990
NOAA-9 SBUV/2	02/1985 - 01/1998
NOAA-11 SBUV/2	01/1989 - 03/2001
NOAA-14 SBUV/2	03/1995 - 09/2006
NOAA-16 SBUV/2	10/2000 - 05/2014
NOAA-17 SBUV/2	08/2002 - 03/2013
NOAA-18 SBUV/2	07/2005 - 11/2012
NOAA-19 SBUV/2	03/2009 - present



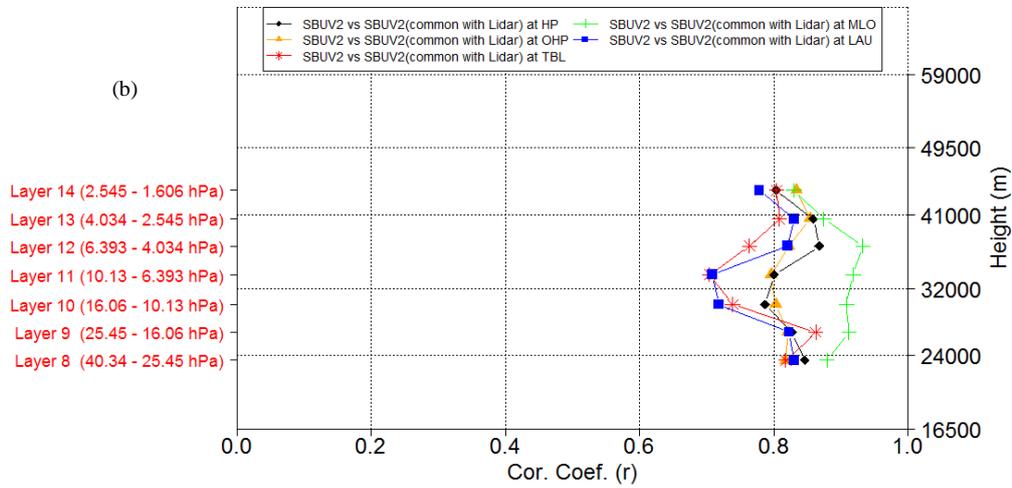
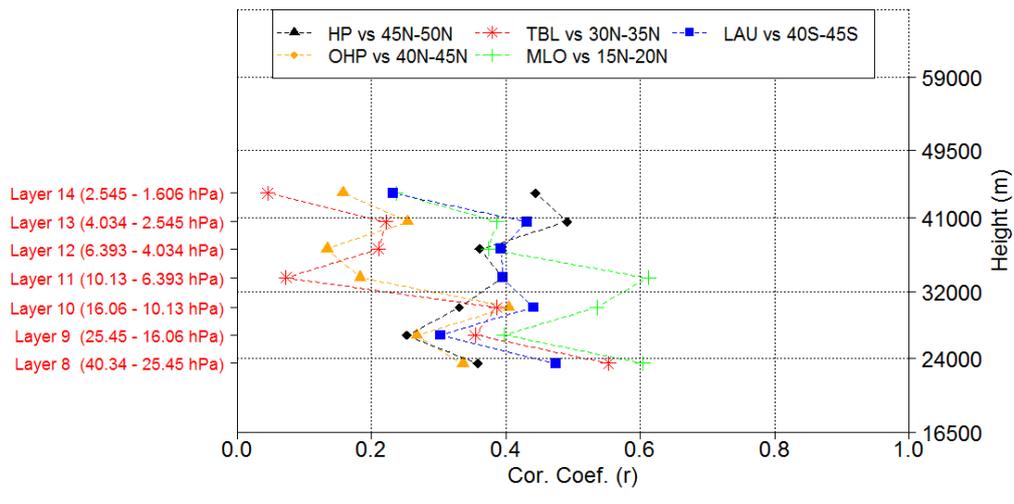
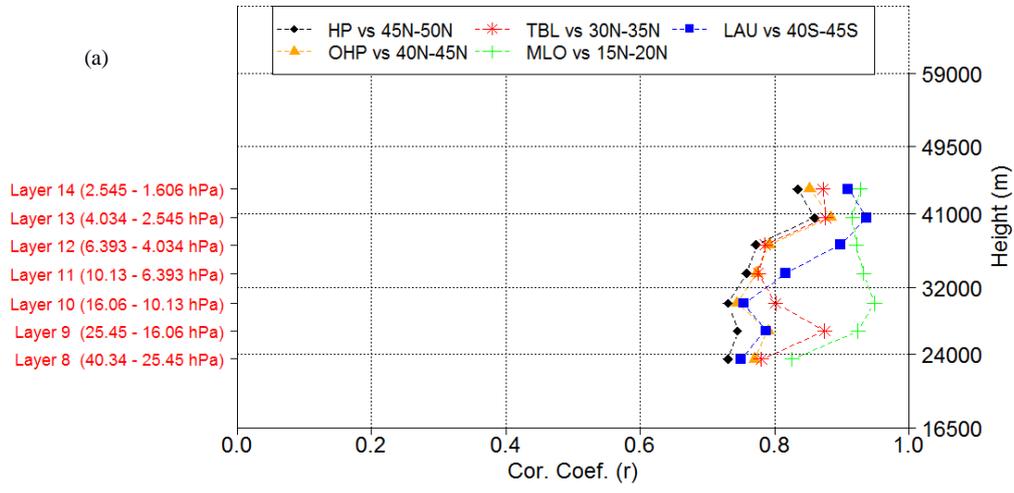


Figure 1. (a) Correlation between monthly mean ozone anomalies from lidar and SBUV station overpasses on common days. Best correlations are between 25 and 32 km. All correlations are statistically significant at 99.99%. HP: Hohenpeißenberg, OHP: Haute Provence, TBL: Table Mountain, MLO: Mauna Loa, LAU: Lauder. (b) Same as in (a) but comparing monthly mean SBUV overpasses from about 30 days in a month with monthly mean SBUV overpasses from only days when lidar measurements were available. All correlations are statistically significant at 99.99%.



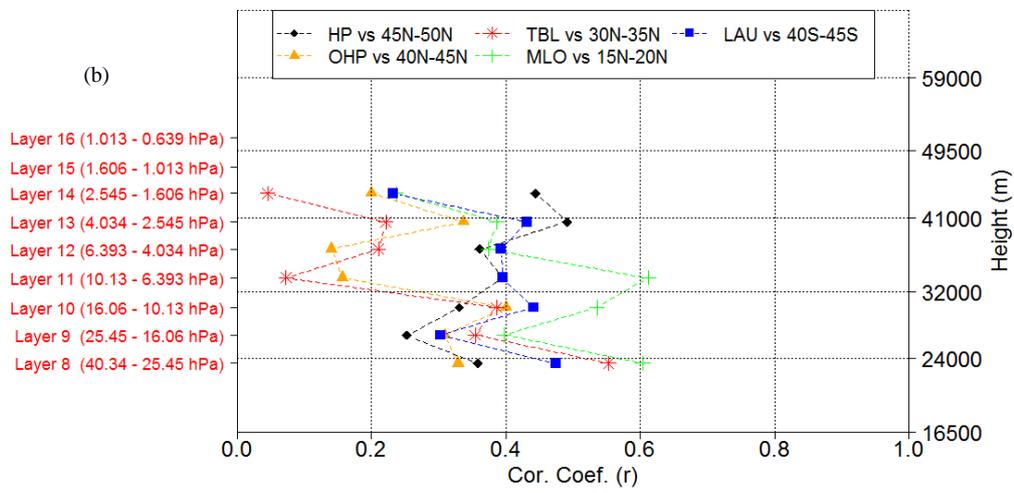
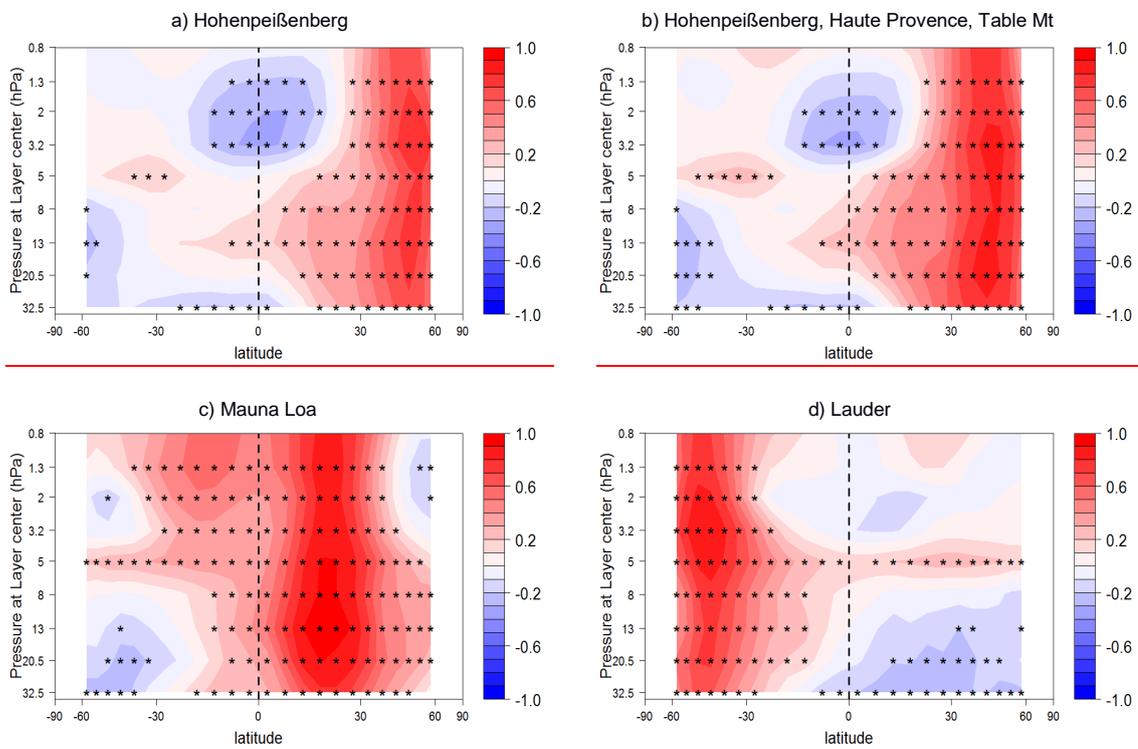
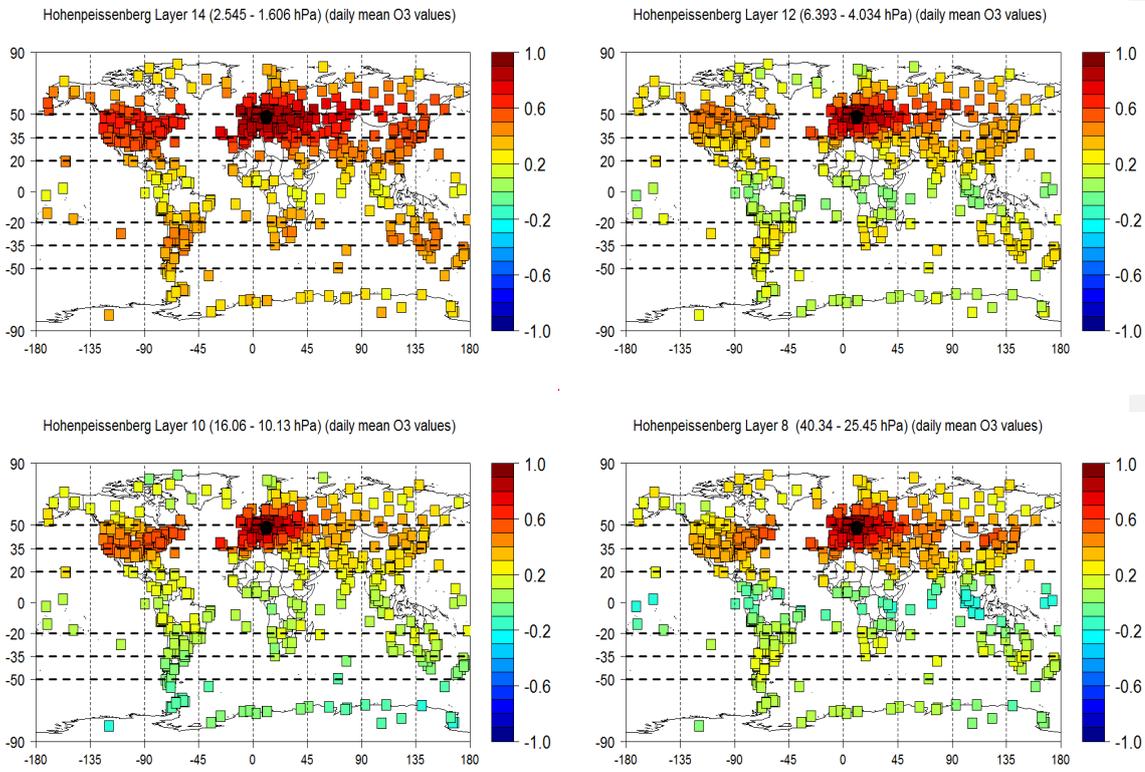


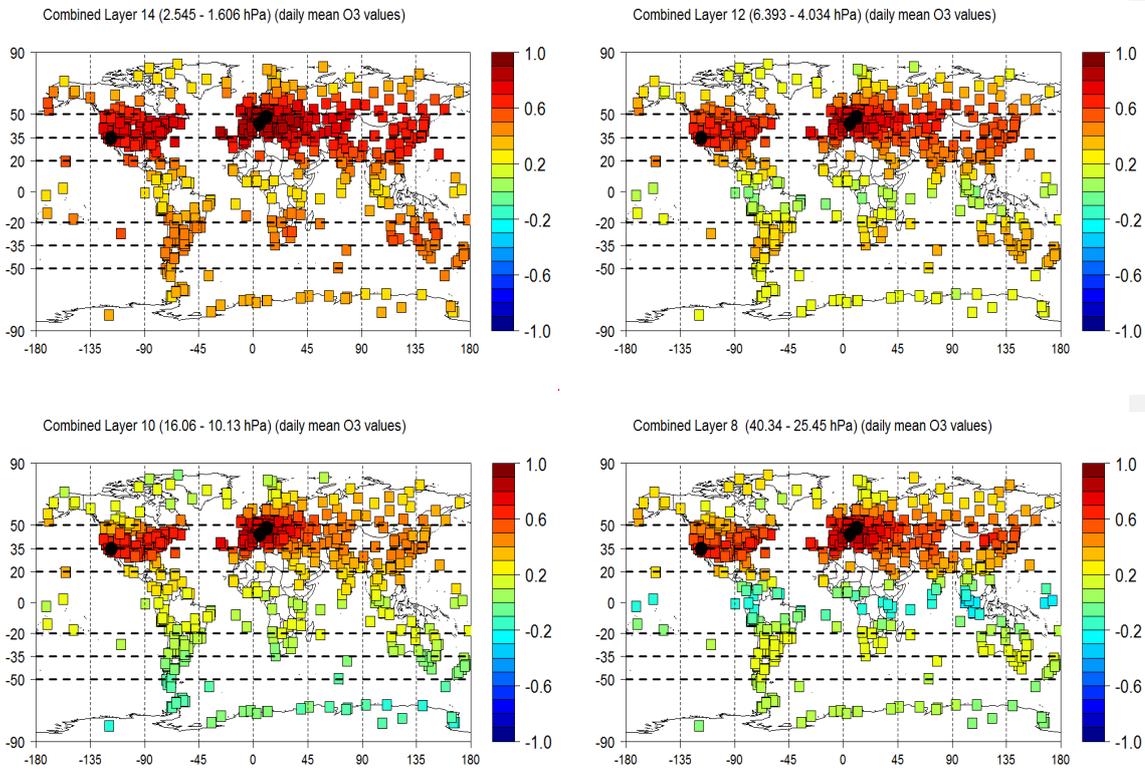
Figure 2. (a) Correlations between monthly mean SBUV station overpasses and the corresponding SBUV monthly 5° zonal means. (b) Correlations between monthly mean lidar observations and the corresponding SBUV monthly 5° zonal means.



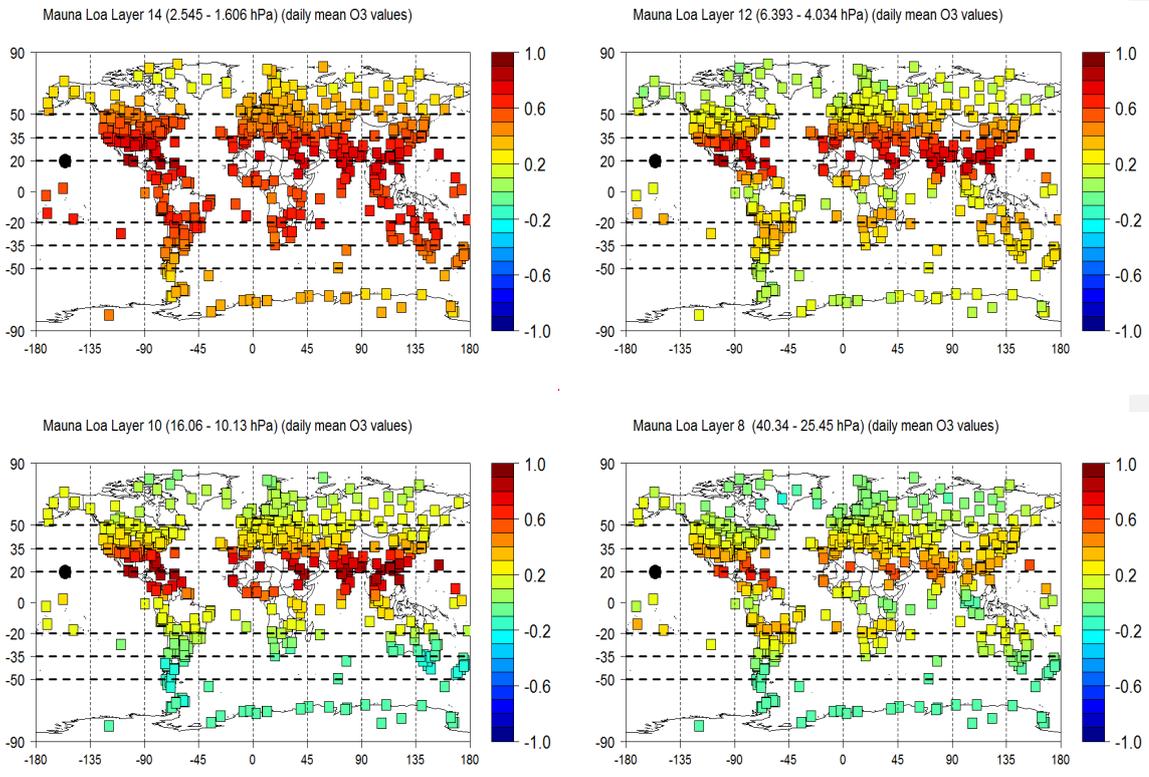
**Figure 3. (a) Cross-section of correlation coefficients between monthly mean SBUV overpasses at Hohenpeißenberg and 5° zonal monthly mean SBUV data. (b) Same as (a) but for three combined northern mid-latitude stations (Hohenpeißenberg, Haute Provence and Table Mountain). (c) Same as (a) but for Mauna Loa. (d) Same as (a) but for Lauder. Data have been deseasonalised and detrended (see text). Stippling indicate significance at 95%.**



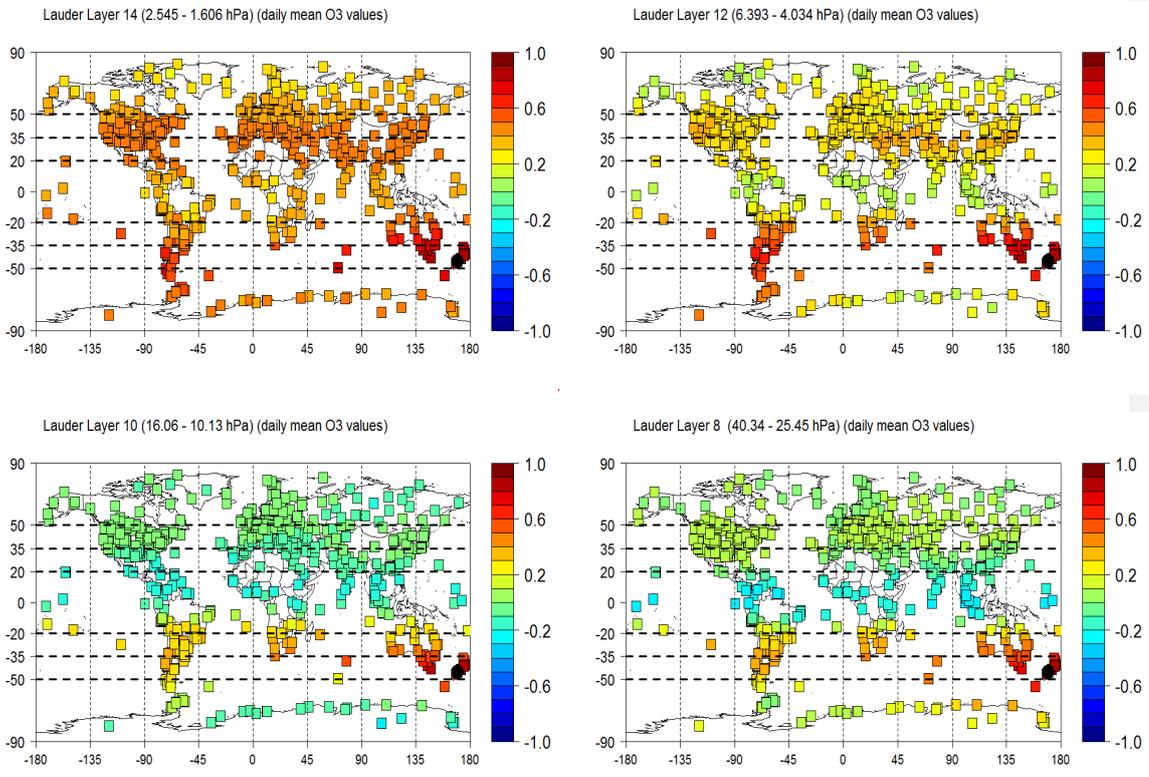
**Figure 3. Spatial distribution of correlations between monthly mean SBUV overpasses at Hohenpeißenberg and monthly mean SBUV overpasses at all other available stations. Correlations are given for four selected layers. Black dots indicate the location of Hohenpeißenberg.**



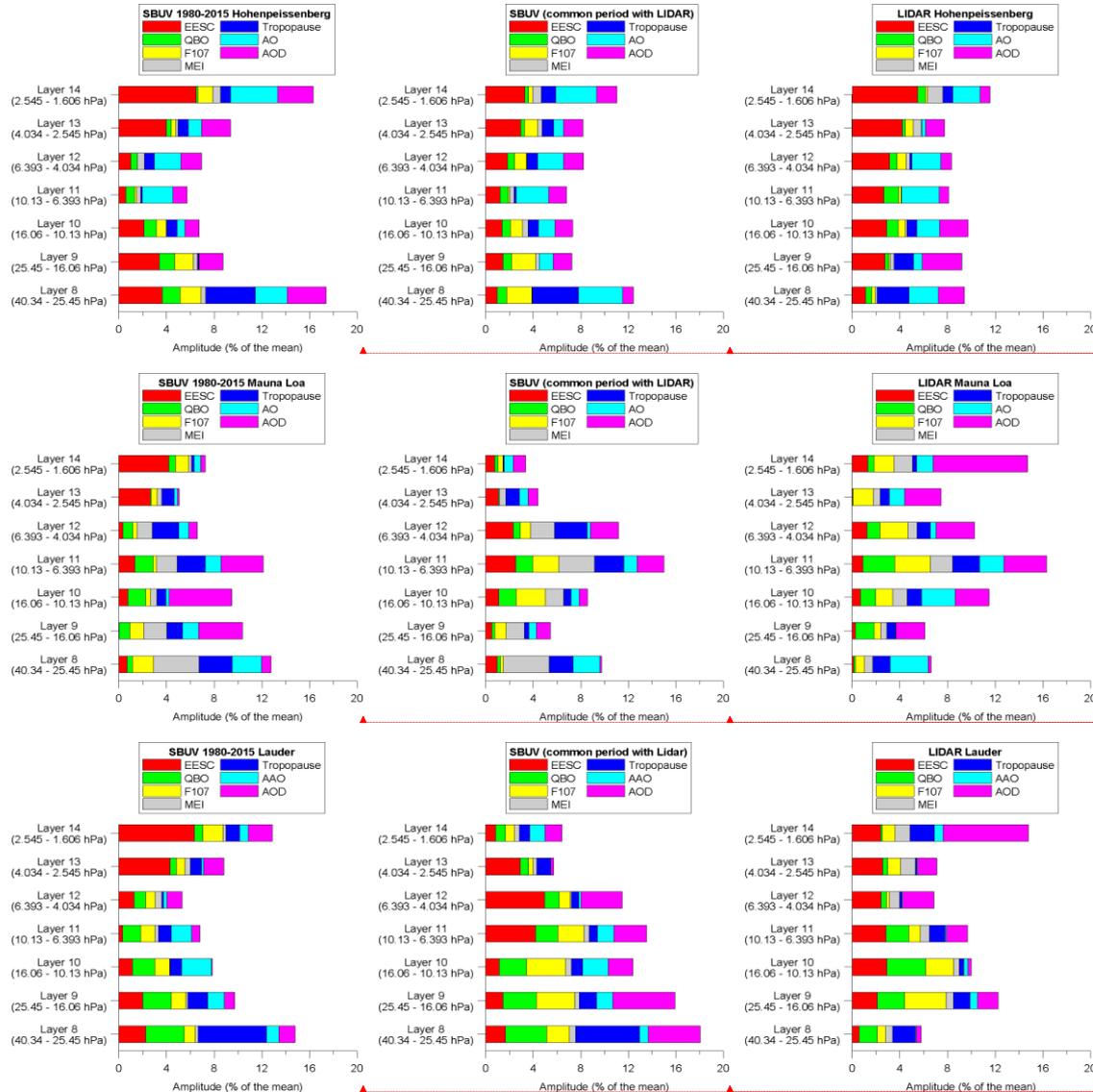
**Figure 4. Same as in Figure 3 but for three combined northern mid-latitude stations (Hohenpeißenberg, Haute-Provence and Table Mountain). Black dots indicate the locations of Hohenpeißenberg, Haute-Provence and Table Mountain.**



**Figure 5. Same as in Figure 3 but for Mauna Loa. Black dots indicate the location of Mauna Loa.**



**Figure 6. Same as in Figure 3 but for Lauder. Black dots indicate the location of Lauder.**



Formatted: English (U.S.)

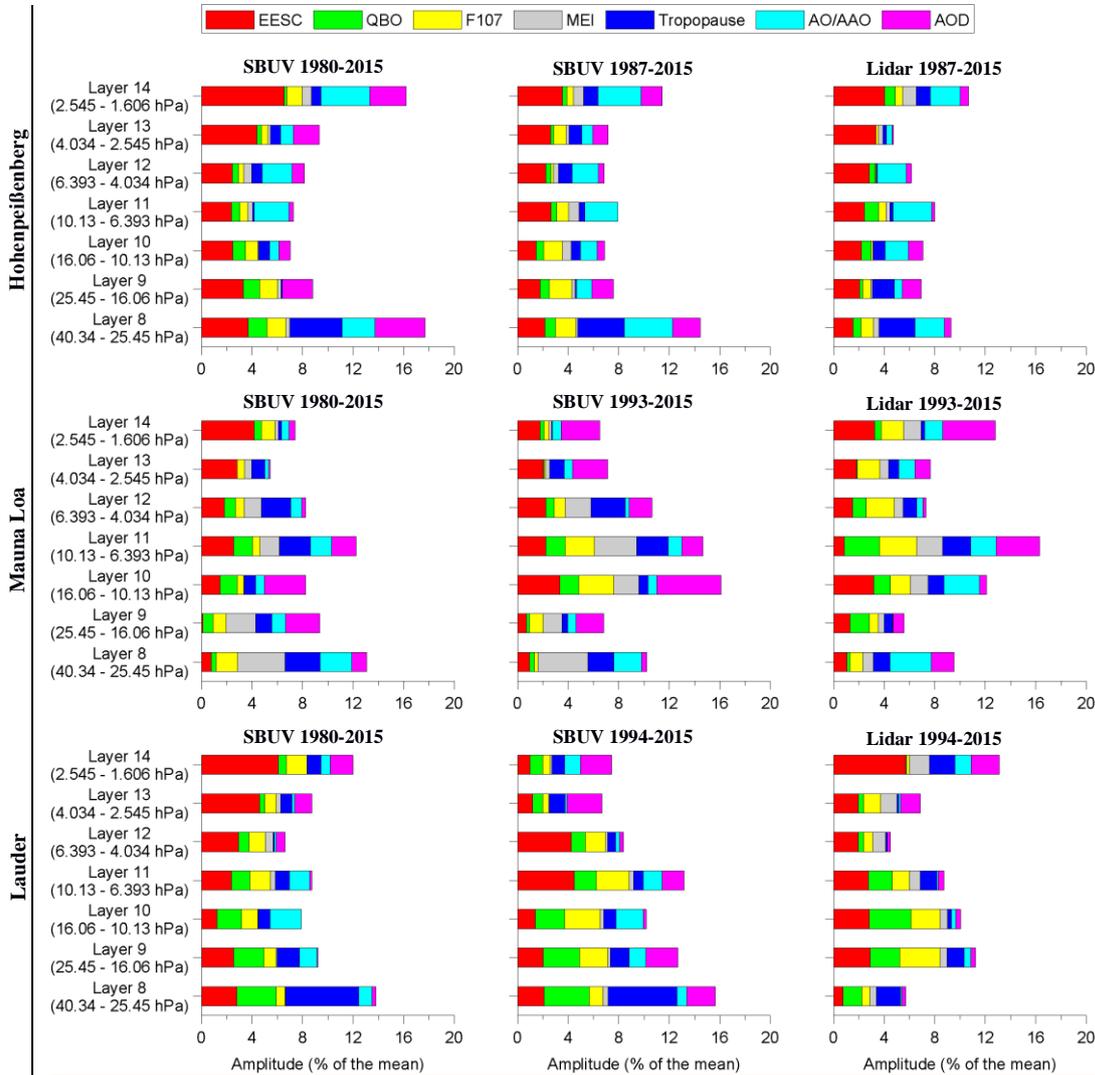
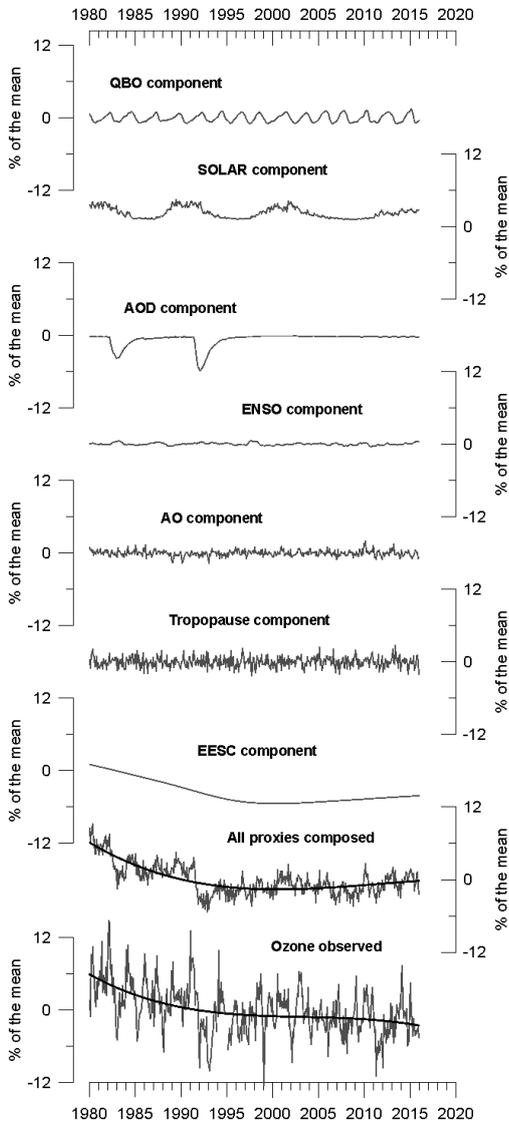
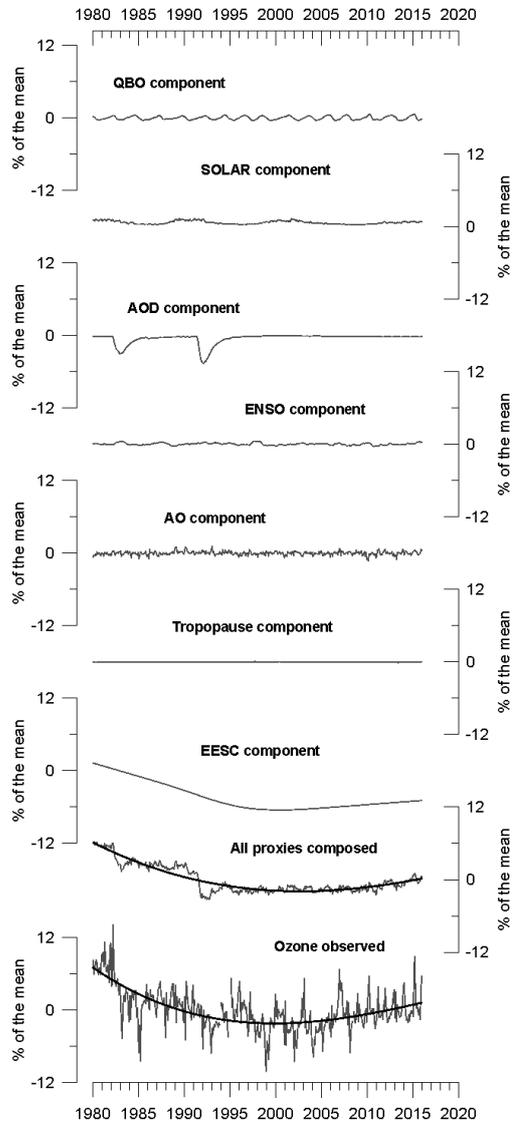


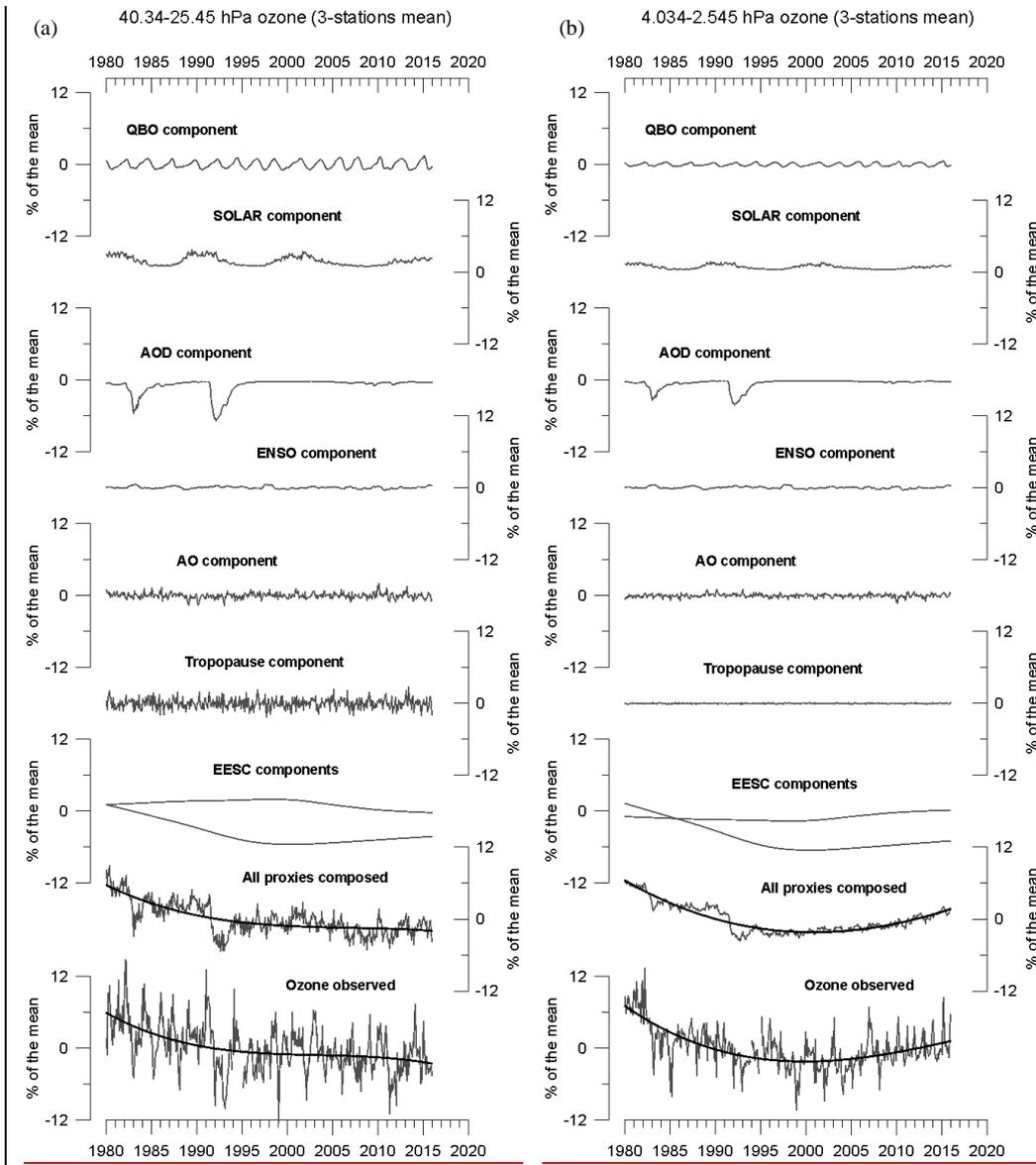
Figure 74. Amplitudes [i.e. (max-min) / 2] of ozone variations attributed to EESC and its orthogonal function, QBO, F10.7, MEI, Tropopause pressure, AO (or AAO at Lauder) and AOD for each stratospheric layer. All values are expressed in % of the long-term mean at each layer. Stations shown are: Hohenpeißenberg (47.8° N, 11.0° E), Mauna Loa (19.5° N, 155.6° W) and Lauder (45.0° S, 169.7° E). Left panel: SBUV overpass data for the full period 1980-2015. Middle panel: SBUV overpass data for common period with lidar, starting in 1987 at Hohenpeißenberg, 1993 at Mauna Loa, and 1994 in Lauder. Right panel: lidar monthly means.

40.34-25.45 hPa ozone (3-stations mean)



4.034-2.545 hPa ozone (3-stations mean)





**Figure 85.** (a) Ozone variations attributed to the different proxies (QBO, Solar, AOD, ENSO, AO, Tropopause, EESC and its orthogonal function) at layer 8 (40.34-25.45 hPa, centered at about 24 km height) for SBUV overpasses averaged over Hohenpeißenberg, Haute Provence and Table Mountain. (b) Same as in (a) but for layer 13 (4.034-2.545 hPa) centered at about 40 km height. The lower most curves give the observed deseasonalized SBUV time series. Thick solid curves in the four bottom panels are third degree polynomials fit to the data.

Formatted: English (U.S.)

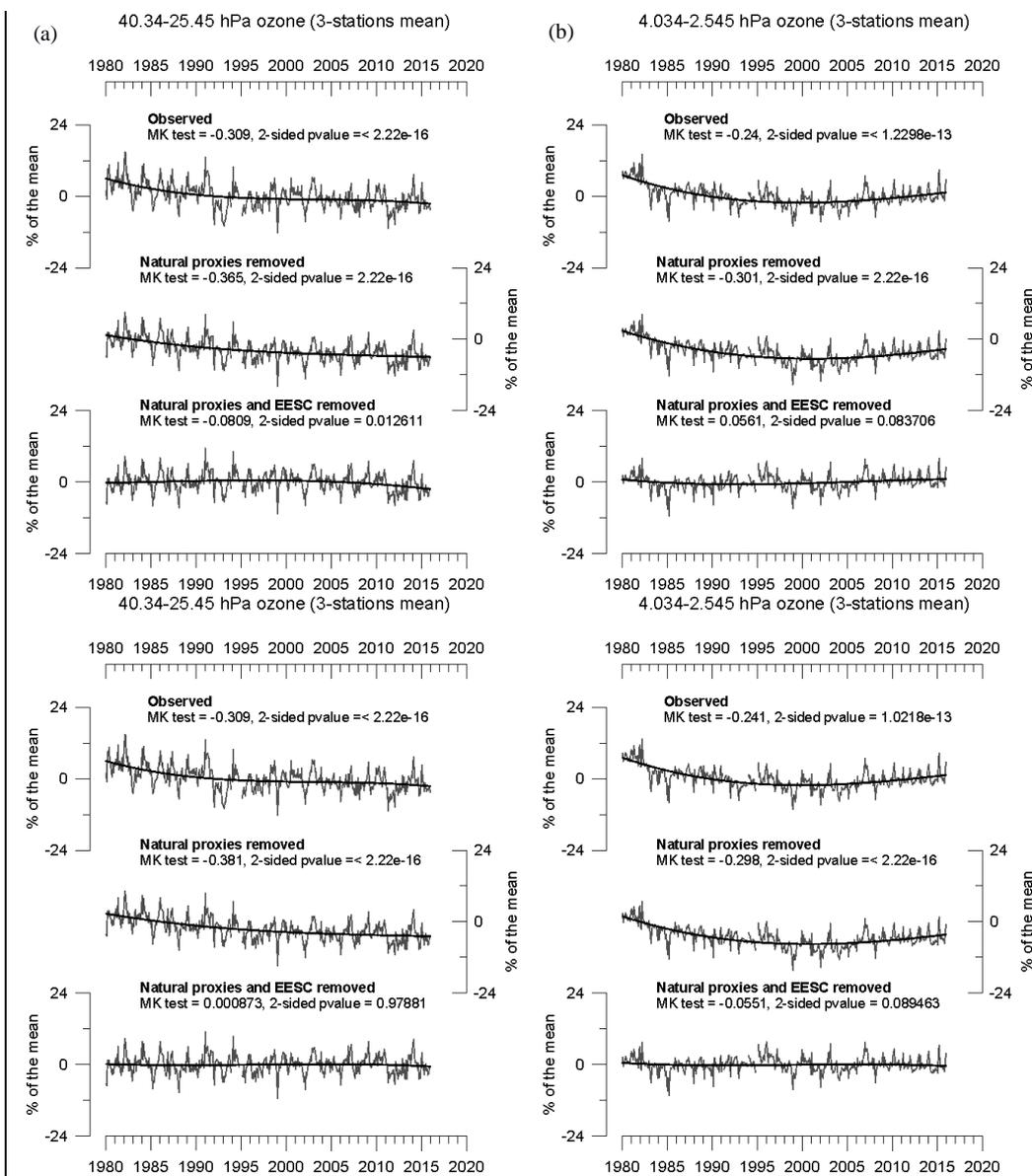
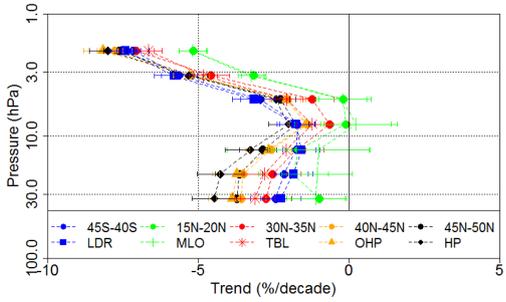


Figure 9c. Ozone anomalies from SBUV overpasses averaged over Hohenpeißenberg, Haute Provence and Table Mountain. Top: Original deseasonalized time series. Middle: Time series with natural proxies removed, but EESC related variations remaining. Bottom: Time series with natural proxies and orthogonal EESC related variations removed. (a) For layer 8 (40.34-25.45 hPa, centered at about 24 km height). (b) Same as in (a) but for the layer 13 (4.034-2.545 hPa) centered at about 40 km height. MK test refers to the Mann-Kendall trend test. Thick solid curves are third degree polynomials fit to the data.

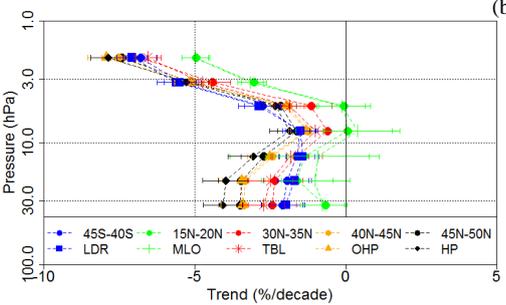
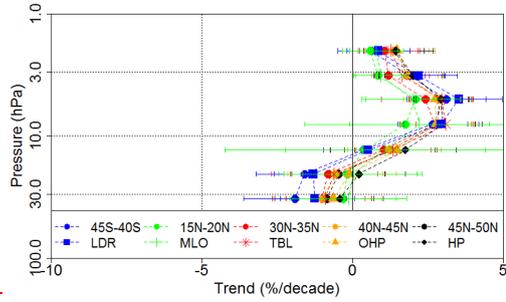
Formatted: English (U.S.)

**Trends during 1980-1997**

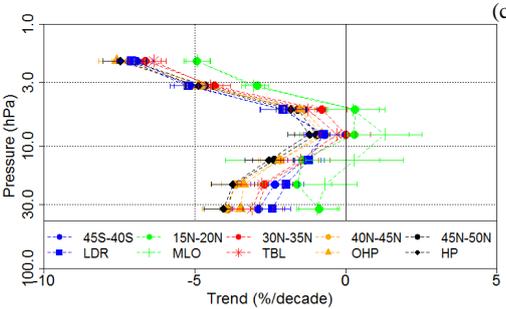
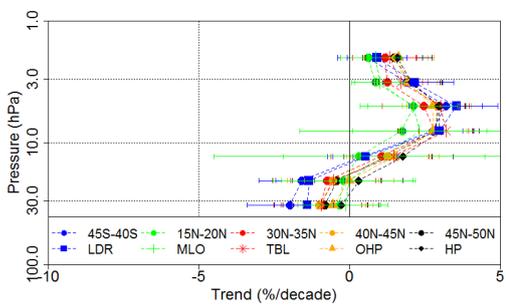


(a)

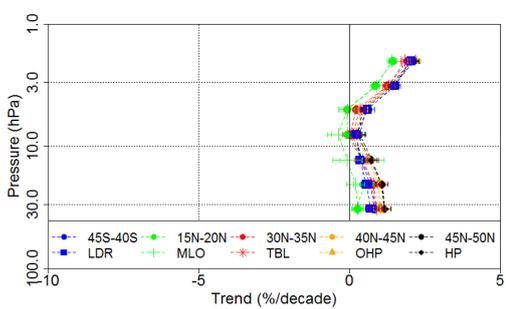
**Trends during 1998-2015**



(b)



(c)



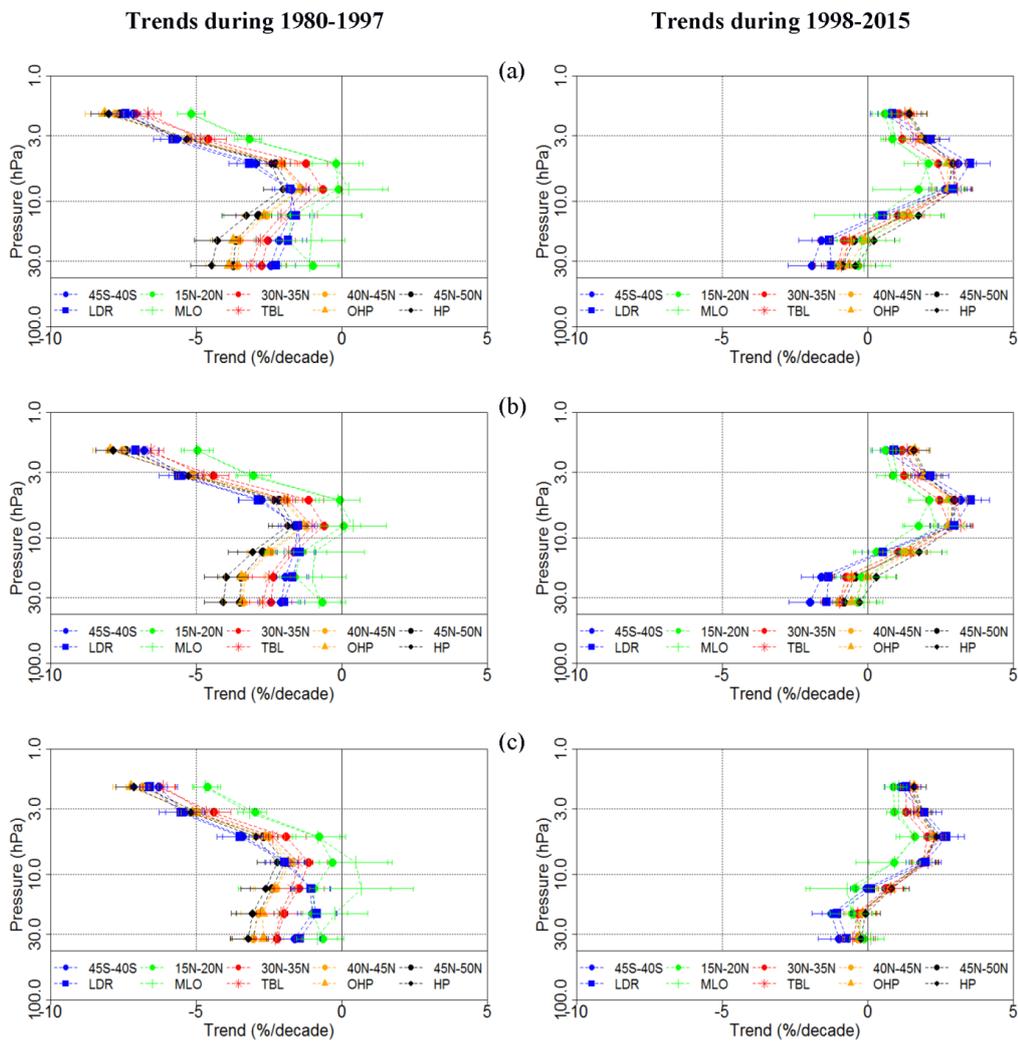
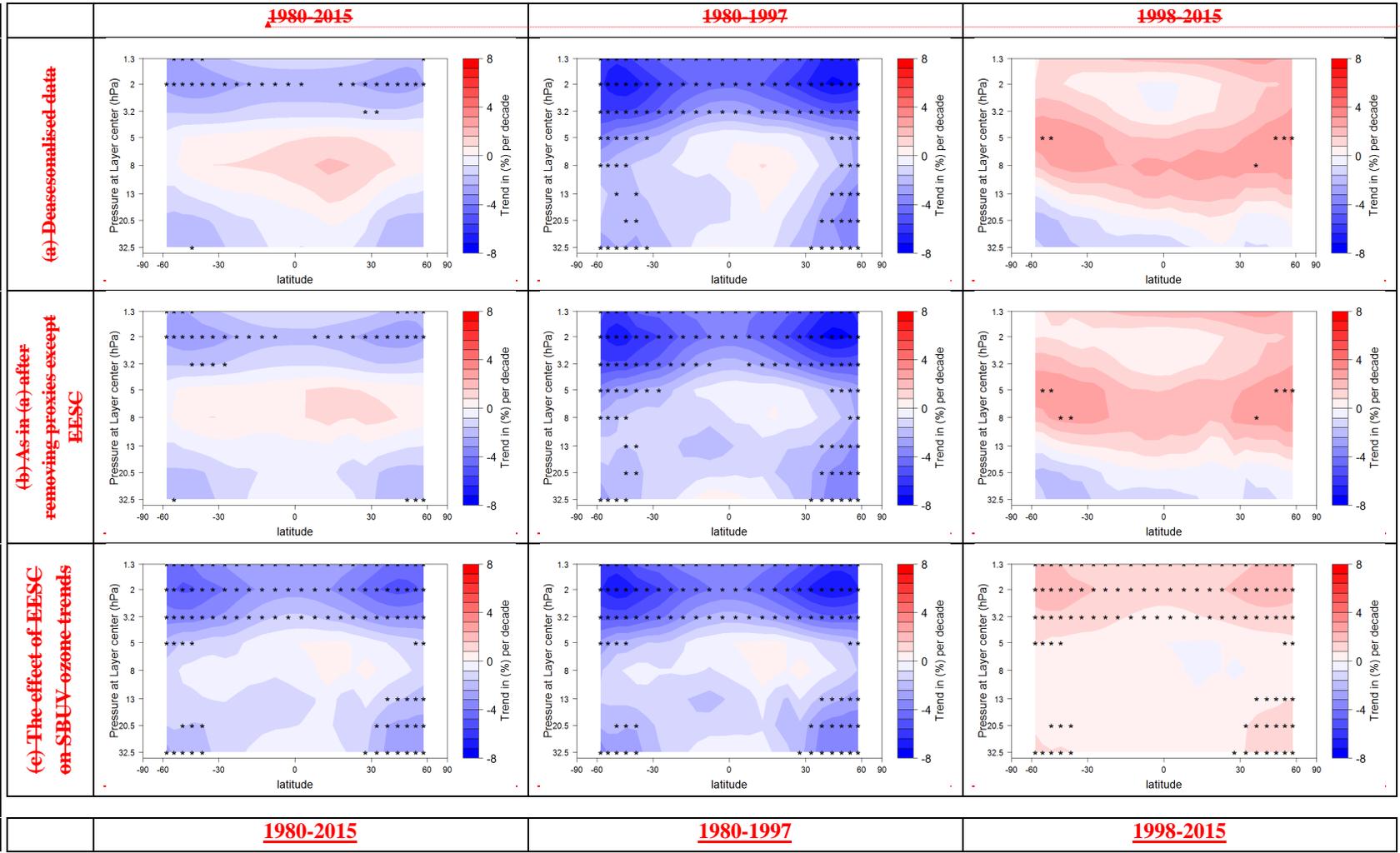
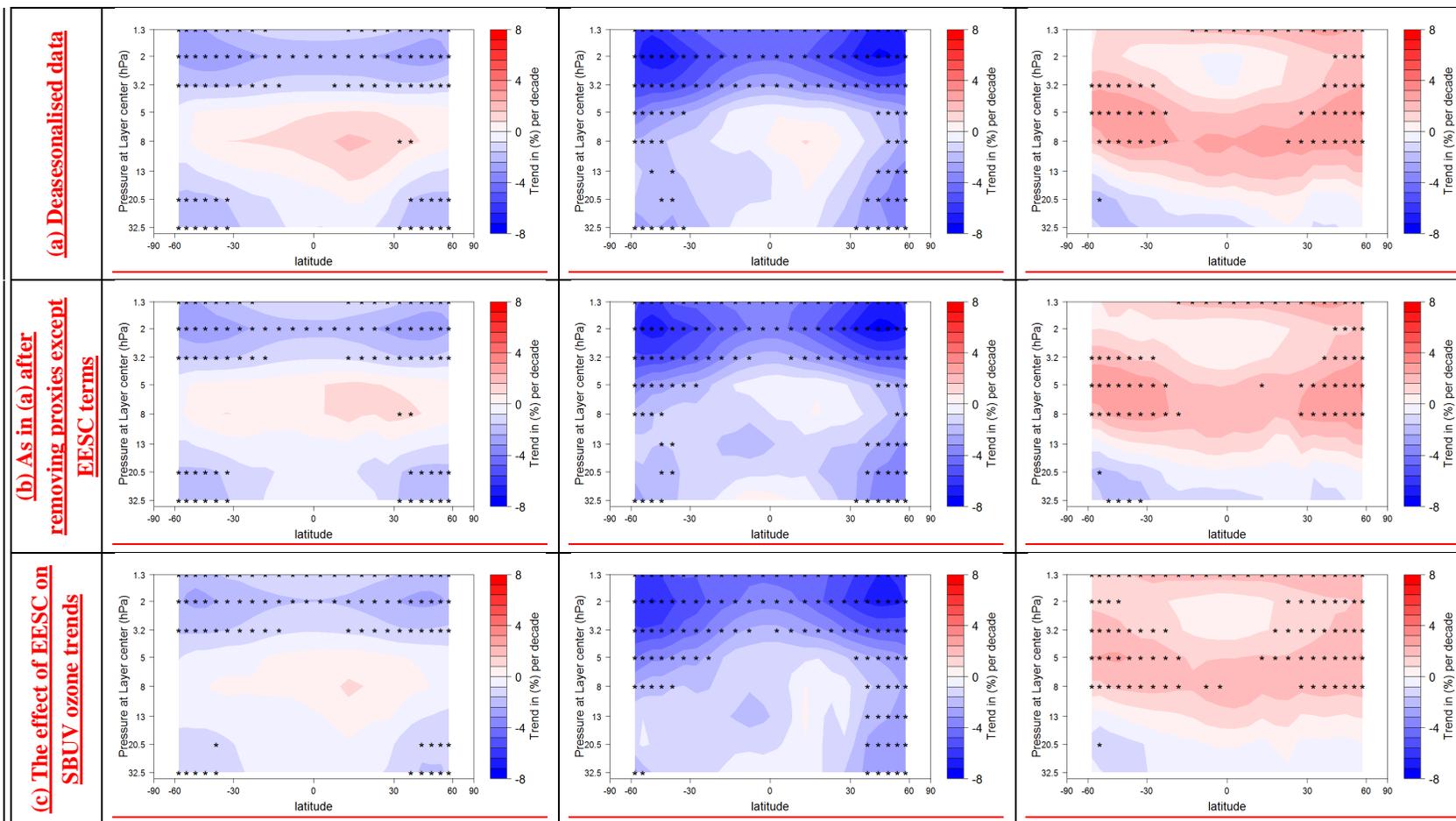


Figure 107. Trends in the vertical distribution of ozone for the pre-1998 and post-1998 period, based on SBUV station overpass and zonal mean data, using (a) two linear trend terms and volcanic effects only, (b) the PWLT method including all proxies, and (c) using all proxies and two orthogonal EESC terms to describe the long term ozone changes. The results are based on SBUV overpasses and SBUV zonal means.





**Figure 448.** Cross-section of ozone trends from zonal mean SBUV (1980-2015, left), (1980-1997, middle) and (1998-2015, right) in percent per decade. Rows as in Figure 10, upper: PWLT, no proxies except AOD, middle: PWLT with all proxies, bottom: trends from two fitted orthogonal EESC terms. Stippling indicate significance at 95%. Data are averaged over 5 degrees of latitude zones.