



1 **Hygroscopic behavior of atmospheric aerosols containing**
2 **nitrates and water-soluble organic acids**

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15



1 **Abstract**

2 While nitrates have critical impacts on environmental effects of atmospheric aerosols, the effects of coexisting
3 species on hygroscopicity of nitrates remain uncertain. The hygroscopic behaviors of nitrate aerosols (NH_4NO_3 ,
4 NaNO_3 , $\text{Ca}(\text{NO}_3)_2$) and their internal mixtures with water soluble organic acids at varying mass ratios were
5 determined using a hygroscopicity tandem differential mobility analyzer (HTDMA). The nitrate/organic acid
6 mixed aerosols exhibit varying phase behavior and hygroscopic growth depending upon the type of components
7 in the particles. Whereas pure nitrate particles show continuous water uptake with increasing RH, the
8 deliquescence transition is still observed for ammonium nitrate particles internally mixed with organic acids
9 such as oxalic acid and succinic acid with a high deliquescence point. The hygroscopicity of submicron
10 aerosols containing sodium nitrate and an organic acid is also characterized by continuous growth, indicating
11 that sodium nitrate tends to exist in a liquid-like state under dry conditions. It is observed that in contrast to the
12 pure components the water uptake is hindered at low and moderate RH for calcium nitrate particles containing
13 malonic acid or phthalic acid, suggesting the potential effects of mass transfer limitation in highly viscous
14 mixed systems. Our findings improve fundamental understanding of the phase behavior and water uptake of
15 nitrate-containing aerosols in the atmospheric environment.

16



1 **1 Introduction**

2 Atmospheric aerosols exert significant impacts on the earth's radiation balance by absorbing or scattering solar
3 radiation and modifying the properties of clouds, which result in large uncertainty in climate forcing (Haywood
4 and Boucher, 2000; Carslaw et al., 2013). The hygroscopic particles can provide liquid water medium for
5 multiphase and aqueous phase chemical processes that influence chemical constituents in the condensed and
6 gas phase (Wang et al., 2016a; Cheng et al., 2016; Hodas et al., 2014; Faust et al., 2017; Tan et al., 2016; Li et
7 al., 2017). It has been established that aerosol liquid water could promote the formation of secondary organic
8 aerosol (SOA) through the partitioning of gas-phase water-soluble organic compounds to the condensed phase
9 and subsequent aqueous-phase processing (Hodas et al., 2014; Faust et al., 2017). The hygroscopic behavior
10 and water content of aerosols in the atmosphere are highly dependent upon the chemical composition, mixing
11 state and ambient relative humidity (RH) (Martin, 2000; Choi and Chan, 2002; Krieger et al., 2012). The
12 atmospheric particulate matter from multiple biogenic and anthropogenic sources is commonly composed of
13 complex inorganic and organic compounds with various physicochemical properties.

14 The nitrates are ubiquitous and account for a large fraction of inorganic constituents within the atmospheric
15 particulate matter in urban/polluted environments, especially in winter (Huang et al., 2014; Zhang et al., 2015).
16 The majority of nitrates in ambient particles exists as NH_4NO_3 , NaNO_3 and $\text{Ca}(\text{NO}_3)_2$ depending on the
17 environmental conditions and chemical formation mechanisms. The formation of nitrates is typically attributed
18 to atmospheric reactions of ammonia, sea salt and mineral dust with nitric acid or nitrogen oxides such as NO_2 ,
19 NO_3 and N_2O_5 (Zhang et al., 2015). In the urban area, due to the considerable influence of anthropogenic
20 sources the major chemical form of nitrates in fine particulate matter is ammonium nitrate generated via the
21 heterogeneous reaction between HNO_3 and NH_3 in the aerosol phase. Field measurements and laboratory
22 studies have indicated that the mineral dust (CaCO_3) and sea salt (NaCl) emitted from natural sources could
23 undergo atmospheric aging through the heterogeneous reactions with nitric acid or nitrogen oxides, resulting in
24 the formation of $\text{Ca}(\text{NO}_3)_2$ and NaNO_3 (Zhang et al., 2015). The inorganic salts in the particle phase are
25 generally internally mixed with organic compounds that contribute a large fraction of fine particulate matter.
26 Field measurements have confirmed that organic fraction of the aerosols contains large amounts of
27 water-soluble organic compounds (WSOCs) (Saxena and Hildemann, 1996; Gysel et al., 2004; Decesari et al.,
28 2005), which affect the hygroscopicity of inorganic components. It has been found that water-soluble organic
29 acids such as dicarboxylic acids are representative and important constituents of the WSOCs (Kawamura and
30 Ikushima, 1993; Kawamura et al., 1996; Decesari et al., 2006; Kawamura and Bikkina, 2016). Among the
31 water-soluble organic acids, oxalic acid, malonic acid, succinic acid and phthalic acid are typically identified as
32 the most abundant dicarboxylic acids in atmospheric particles (Kawamura and Bikkina, 2016).



1 The phase state of aerosol particles is an important factor in determining the particle's physicochemical
2 properties and climate effects (Kanakidou et al., 2005). Due to its significance, the comprehensive
3 understanding of particle phase in aerosols with varying components could improve the prediction ability of
4 climate models (Kanakidou et al., 2005; Virtanen et al., 2010; Shiraiwa et al., 2017). Unlike sulfates such as
5 ammonium sulfate with distinct phase transition behaviors, the nitrates including ammonium nitrate especially
6 in submicron particles tend to take up water continuously from low RH without obvious phase transitions
7 (Mikhailov et al., 2004; Gibson et al., 2006). Previous observations indicated that pure NH_4NO_3 droplets were
8 difficult to homogeneously crystallize and thus remained in the liquid-like state even under extremely dry
9 conditions ($<1\%$ RH) while it could efflorescence with the presence of solid core in the droplets (Lightstone et
10 al., 2000). Soluble inorganic crystalline particles acting as the contact nuclei were found to induce
11 crystallization of aqueous ammonium nitrate (Davis et al., 2015). It was found that the phase state of NH_4NO_3
12 tended to be substantially affected by coexisting species such as ammonium sulfate and succinic acid
13 (Lightstone et al., 2000; Liu et al., 2016). The previous hygroscopic studies have focused on the hygroscopicity
14 of ammonium sulfate and its relevant mixtures with organics such as water-soluble organic acids (Cruz and
15 Pandis, 2000; Choi and Chan, 2002; Prenni et al., 2003; Wise et al., 2003; Badger et al., 2006; Hodas et al.,
16 2015; Wang et al., 2017). However, the overall role of the water-soluble organic acids in hygroscopic growth
17 and phase behavior of the nitrates remains uncertain. Thus, related studies are of importance for understanding
18 of their environmental effects.

19 In this work, the hygroscopic behaviors of internally mixed aerosols composed of atmospherically relevant
20 nitrates and water-soluble organic acids are determined under subsaturation conditions with a hygroscopicity
21 tandem differential mobility analyzer (HTDMA) system. The measured hygroscopic growth of relevant
22 aerosols is compared with predictions from the Zdanovskii-Stokes-Robinson (ZSR) method based on
23 hygroscopicity of individual components. The significant effects of water-soluble organic acids with various
24 hygroscopic characteristics on water uptake behaviors of nitrates have been confirmed, and relevant
25 atmospheric implications are discussed.

26 **2 Experimental section**

27 **2.1 Reagents**

28 Table 1 summarizes chemical properties and manufacturer of nitrates (ammonium nitrate, sodium nitrate and
29 calcium nitrate) and water-soluble organic acids (oxalic, malonic, succinic and phthalic acids) in this study.
30 Aerosol particles were generated from a 0.1 wt% aqueous solution of pure component or mixtures containing
31 nitrates and organic acids at a specific mass ratio. The corresponding solutions were prepared using ultrapure
32 water (EASY Pure[®] II UF ultrapure water system, resistivity $\geq 18.2 \text{ M}\Omega \text{ cm}$).



1 2.2 Hygroscopic growth measurements

2 In this study, the hygroscopicity tandem differential mobility analyzer (HTDMA) system was used to measure
3 the hygroscopic growth of aerosol particles studied. This system has been fully described in our previous
4 studies (Jing et al., 2016; Peng et al., 2016; Jing et al., 2017). Briefly, the HTDMA setup consists of the aerosols'
5 generation and drying section, humidity control apparatus and the particle size selection and detection system.
6 The polydisperse particles were generated from a constant output atomizer containing sample solution, and then
7 passed through a silica gel diffusion dryer combined with a Nafion gas dryer to be dried to $RH < 5\%$. After
8 charged by a neutralizer, the dry particles were transformed into quasi-monodisperse particles with mobility
9 diameter of 100 nm by the first differential mobility analyzer (DMA1). The size-selected aerosols then entered
10 the humidity control apparatus where they were exposed and equilibrated to a given RH in the range of $< 5\%$ to
11 90% with a residence time of 5 s. The size distribution of aerosols after humidification was determined by the
12 second DMA (DMA2) and the condensation particle counter (CPC, MSP 1500). Sheath air in the DMA2 was
13 drawn from the humidity control section to ensure no change in humidity of aerosol flow in the DMA2. The RH
14 of sheath flow was measured at the outlet of DMA2 using a dew point hygrometer (Michell, UK) with an
15 uncertainty of $\pm 0.8\%$ RH and ± 0.1 K. The sheath and aerosol flow rates in both DMAs were 3.0 and 0.3 liters
16 per minute, respectively. The inversion of HTDMA measurement data was based on a log-normal size
17 distribution approximation (Stolzenburg and McMurry, 2008). All hygroscopicity measurements were
18 conducted at ambient temperature (295 ± 1 K). During the experiment process, no obvious evaporation (no
19 obvious decrease between dry particle size selected by DMA1 and that measured by DMA2) of
20 nitrate-containing particles was observed under our measurement conditions.

21 The hygroscopic growth factor (GF) is calculated by: $GF = D_{wet}/D_{dry}$, where D_{wet} is the diameter of particles
22 measured by DMA2 at a particular RH and D_{dry} is the diameter of dry particles measured by DMA2 at $\leq 5\%$
23 RH. Considering the curvature effect for submicron droplets, the RH was converted to water activity a_w in
24 subsequent data analysis by the Köhler equation:

$$25 \quad RH = a_w \exp\left(\frac{4M_w \sigma_{sol}}{RT \rho_w D_p}\right) \quad (1)$$

26 Here, M_w is the molar mass of water, σ_{sol} is the surface tension of the droplet, R is ideal gas constant, T is
27 temperature, ρ_w is the density of water, and D_p is the droplet diameter. In this study, the effects of solutes on the
28 surface tension of the droplet were not obvious and thus the surface tension of pure water (0.072 J m^{-2}) was
29 used in estimates by Eq. (1). During the experimental period, hygroscopic growth of ammonium sulfate was
30 measured regularly to validate the measurement reliability of the HTDMA. The RH measurement uncertainty
31 was determined by measuring hydration curves of three inorganic salts such as sodium chloride (NaCl),



1 ammonium sulfate $[(\text{NH}_4)_2\text{SO}_4]$ and potassium chloride (KCl). It was found that the discrepancies between
2 measured deliquescence points and theory predictions for the three salts were within $\pm 1.5\%$ RH, which was
3 used to estimate the measurement uncertainty in RH. All measured GFs at a given RH are the average values of
4 at least three repeated measurements with the corresponding standard deviation less than 0.02. The measured
5 deliquescence point and GFs of ammonium sulfate agreed well with our previous studies and other reported
6 literature values (Peng et al., 2016; Jing et al., 2017; Sjogren et al., 2007).

7 **3 Results and discussion**

8 **3.1 Water uptake behaviors of single components**

9 The hygroscopic growth of single components including three nitrates is shown in Fig. 1. The three nitrates
10 present gradual hygroscopic growth without prompt deliquescence transitions over the whole RH range studied.
11 The smooth hygroscopic growth suggests the nitrate particles likely remain in a liquid-like or amorphous state
12 at the lowest RH, as also observed by previous studies (Lightstone et al., 2000; Gibson et al., 2006). For
13 example, the early HTDMA studies by Mikhailov et al. (2004) and Svenningsson et al. (2006) have also
14 observed the continuous water-uptake behavior of submicron ammonium nitrate particles without any prompt
15 deliquescence transition. Although crystalline sodium nitrate has a deliquescence point at 74.5% RH (Tang and
16 Munkelwitz, 1994), the prior studies have found that micron and submicron NaNO_3 particles formed from
17 aqueous solutions exhibited continuous hygroscopic growth due to initial particles in a metastable or
18 amorphous form even at zero relative humidity (Gysel et al., 2002; Hoffman et al., 2004; Gibson et al., 2006).
19 In this study, the measured growth factor for 100 nm NaNO_3 particles is 1.97 at 90% RH in good agreement
20 with the literature value of 1.91 (Gysel et al., 2002). For calcium nitrate, $\text{Ca}(\text{NO}_3)_2$ particles gradually take up
21 water with increasing RH and the measured hygroscopic growth generally matches the subsequent theory
22 prediction based on the assumption that submicron $\text{Ca}(\text{NO}_3)_2$ particles at low RH exist as amorphous hydrates
23 (tetrahydrate, $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$) (Gibson et al., 2006). The Aerosol Inorganic-Organic Mixtures Functional
24 groups the Activity Coefficients (AIOMFAC) model is applied to estimate the hygroscopic growth of the three
25 nitrates. The AIOMFAC has been developed to describe the activities of atmospherically relevant aqueous
26 solutions up to high ionic concentrations at room temperature (Zuend et al., 2008; Zuend et al., 2011). As
27 shown in Fig. 1, the measured GFs of the three nitrates over the RH range studied are in fair agreement with the
28 predictions from the AIOMFAC model based on the dehydration process, which also suggests nitrate particles
29 remain noncrystalline even at the lowest RH.

30 For the organic acids studied (seen in Fig. S1, Supplement), oxalic acid (OA) and succinic acid (SA) present
31 no deliquescence transition or any obvious water uptake below 90% RH, which agree well with previous
32 studies (Jing et al., 2016; Peng et al., 2001). The observation of no evaporation losses (no decrease between dry



1 particle size selected by DMA1 and that measured by DMA2) for dry OA particles is consistent with other
2 HTDMA studies, suggesting that the generated particles after drying process exist as stable OA dihydrate with
3 low vapor pressure (Prenni et al., 2001; Mikhailov et al., 2009). The GFs of aqueous OA reported by Mikhailov
4 et al. (2009) are applied to estimate ZSR predictions when assuming OA in the mixture contributes to water
5 uptake at high RH. The malonic acid (MA) and phthalic acid (PA) exhibit continuous water uptake behaviors
6 across all RH studied, consistent with the observations in other studies (Prenni et al., 2001; Brooks et al., 2004;
7 Jing et al., 2016).

8 3.2 Water uptake behaviors of NH_4NO_3 /organic acid mixtures

9 The hygroscopic behaviors of 100 nm NH_4NO_3 particles internally mixed with organic acids at different mass
10 ratios are shown in Figs. 2 and 3. The Zdanovskii-Stokes-Robinson (ZSR) method is used to estimate the
11 hygroscopic growth of internally mixed particles by assuming that each component in the mixed particles takes
12 up water independently (Malm and Kreidenweis, 1997; Stokes and Robinson, 1966). The total water uptake by
13 mixed particles is the sum of water content associated with each pure component, which can be expressed by
14 the following equation:

$$15 \quad GF_{\text{mixed}} = \left(\sum_k \varepsilon_k \cdot GF_k^3 \right)^{1/3} \quad (2)$$

16 , where GF_{mixed} and GF_k is the hygroscopic growth factor of the mixed particle and component k , respectively,
17 and ε_k is the volume fraction of component k in the dry particle. The volume fraction ε_k is obtained by:

$$18 \quad \varepsilon_k = \frac{(w_k / \rho_k)}{\sum_i (w_i / \rho_i)} \quad (3)$$

19 Here w_k is the mass fraction of component k , and ρ_k is the density of component k . Although ZSR relation
20 does not take into account the interactions between components in the mixture, this simple method has been
21 found to be a valuable tool to predict the water uptake of atmospherically relevant mixtures under high RH
22 conditions (Prenni et al., 2003). In the present study, the ZSR calculations are derived from the hydration curve
23 of nitrates predicted from the AIOMFAC model and the three-parameter fit curve of organic acids (seen in Fig.
24 S1, Supplement).

25 As shown in Fig. 2, the hygroscopic growth of NH_4NO_3 /oxalic acid (OA) mixed particles shows various
26 features with varying oxalic acid content. For the 3:1 NH_4NO_3 /OA mixed system, the GFs of particles increase
27 gradually with elevated RH and no prompt deliquescence behavior is observed in the RH range studied. The
28 ZSR rule could well describe the hygroscopic growth of mixed particles when taking into account the
29 dissolution of oxalic acid at RH higher than 70%, as indicated by the good agreement between measurements
30 and estimates based on GFs of oxalic acid from Mikhailov et al. (2009). The 1:1 NH_4NO_3 /OA mixed particles



1 take up no water below 80% RH and exhibit a distinct deliquescence transition at about 86% RH. It can be seen
2 that the ZSR predictions based on our measurements fail to reproduce the hygroscopic growth of $\text{NH}_4\text{NO}_3/\text{OA}$
3 mixed particles with an equal mass ratio in the whole RH range. After full deliquescence, the water contents
4 associated with the 1:1 $\text{NH}_4\text{NO}_3/\text{OA}$ mixed particles are comparable to the ZSR predictions based on GFs of
5 oxalic acid from Mikhailov et al. (2009), indicating that water uptake of mixed particles is enhanced by
6 dissolution of solid oxalic acid at high RH. It is obvious that the ammonium nitrate in the initial mixed particles
7 exists in a crystalline form rather than an amorphous one as in the pure component particles. The possible
8 reason is that the solid oxalic acid dihydrate seeds formed in the mixed droplets during the drying process could
9 trigger the heterogeneous nucleation of ammonium nitrate. The previous study has reported that the presence of
10 solid succinic acid seeds dramatically lowered the barrier to promote the crystallisation of ammonium nitrate
11 even when succinic acid content was only 25% by mass (Lightstone et al., 2000). In contrast to ammonium
12 nitrate/succinic acid mixtures, the deliquescence transition of ammonium nitrate is not observed for the 3:1
13 $\text{NH}_4\text{NO}_3/\text{OA}$ mixed particles, suggesting that the minor OA fraction could not effectively initiate the
14 crystallisation of ammonium nitrate. The hygroscopic behavior of $\text{NH}_4\text{NO}_3/\text{OA}$ mixed particles also reveals the
15 crystallization of oxalic acid with the presence of hygroscopic NH_4NO_3 at low RH. However, our previous
16 study indicates that the coexisting hygroscopic organics such as levoglucosan and malonic acid could hinder the
17 crystallization of oxalic acid upon dehydration (Jing et al., 2016). It can be concluded that the interactions
18 between NH_4NO_3 and oxalic acid have greater influence on phase state of NH_4NO_3 than oxalic acid.

19 Figure 3 illustrates hygroscopic characteristics of NH_4NO_3 particles internally mixed with malonic acid (MA),
20 succinic acid (SA) and phthalic acid (PA) at equal mass ratio, respectively. The measured hygroscopic growth
21 of $\text{NH}_4\text{NO}_3/\text{MA}$ mixed particles is in fair agreement with ZSR predictions. This suggests that the presence of
22 malonic acid has no effect on the hygroscopic behavior of ammonium nitrate. For $\text{NH}_4\text{NO}_3/\text{SA}$ mixed particles,
23 no water uptake is observed until RH increases to 60%, consistent with the reported deliquescence point of 60.6
24 $\pm 0.4\%$ for equal mass $\text{NH}_4\text{NO}_3/\text{SA}$ mixed particles using the electrodynamic balance technology (Lightstone et
25 al., 2000). It is obvious that succinic acid could influence phase behavior of ammonium nitrate. The
26 crystallization of ammonium nitrate initiated by heterogeneous nucleation is favored by the presence of solid
27 succinic acid seeds. The ZSR predictions underestimated the water uptake of $\text{NH}_4\text{NO}_3/\text{SA}$ mixed particles
28 above 80% RH. This phenomenon can be attributed to the partial dissolution of succinic acid, which thus
29 contributed to water uptake by mixed aerosols at high RH. The early studies also found the enhanced
30 hygroscopic growth of multicomponent aerosols containing succinic acid compared to ZSR estimates without
31 taking limited solubility of succinic acid into account (Svenningsson et al., 2006; Wang et al., 2016b). The
32 $\text{NH}_4\text{NO}_3/\text{PA}$ mixed particles show continuous water uptake without obvious phase transitions over the whole



1 RH range. At high RH, the ZSR rule could reproduce the GFs of mixed particles while significant deviation
2 between measurements and predictions is observed at low and medium RH. The possible reason is that due to
3 mass transfer limitation the residence time of 5 s appears to be insufficient for 100 nm $\text{NH}_4\text{NO}_3/\text{PA}$ aerosols to
4 reach hygroscopic equilibrium in the low and medium RH range.

5 **3.3 Water uptake behaviors of NaNO_3 /organic acid mixtures**

6 Figure 4 shows the hygroscopic growth of 100 nm NaNO_3 particles internally mixed with oxalic acid, malonic
7 acid, succinic acid and phthalic acid at equal mass ratio, respectively. As can be seen in Fig. 4a, 1:1 NaNO_3/OA
8 mixed particles exhibit gradual water uptake without prompt deliquescence transition. The comparisons of
9 measurement results and ZSR predictions suggest that sodium nitrate still remains amorphous and thus
10 contributes to water uptake of mixed particles from low RH, which eventually results in the dissolution of
11 oxalic acid at high RH. In contrast to ammonium nitrate, the crystallization of sodium nitrate does not occur
12 even with the presence of oxalic acid at half mass fraction. For 1:1 NaNO_3/MA mixed particles, the continuous
13 hygroscopic growth of this mixed system agrees well with the ZSR predicted curve across all RH studied. The
14 prior study has indicated that the reaction between malonic acid and sodium nitrate within aerosols during the
15 dehydration process could lead to considerable nitrate depletion and formation of organic salts due to the
16 evaporation of HNO_3 (Wang and Laskin, 2014). However, it is clear that this reaction has negligible impacts on
17 the overall hygroscopic behavior of NaNO_3/MA aerosols, as indicated by the good agreement between
18 measured growth and ZSR predictions in the RH range studied. It can be explained by the fact that the
19 hygroscopic growth of sodium malonate is comparable to that of malonic acid at each given RH (Wu et al.,
20 2011).

21 In the case of 1:1 NaNO_3/SA mixed particles (Fig. 4c), no distinct deliquescence transition was observed
22 upon hydration, which differed from the water uptake behavior of 1:1 $\text{NH}_4\text{NO}_3/\text{SA}$. Again, it shows sodium
23 nitrate is difficult to crystallize despite the presence of succinic acid that has a high deliquescence point. In
24 addition, the amount of water uptake by mixed particles is significantly larger than predictions from the ZSR
25 rule above 60% RH. The dissolution of succinic acid in aqueous NaNO_3 solution should be responsible for
26 enhanced water uptake of mixed particles. As shown in Fig. 4d, the equal mass NaNO_3/PA mixed aerosols also
27 present continuous water uptake from low RH. In contrast to 1:1 NaNO_3/SA mixed system, the dramatic
28 decrease in amount of water uptake by 1:1 NaNO_3/PA mixed system can be observed over the whole RH range
29 compared to ZSR estimates. This discrepancy appears to be caused by mass transfer limitation. It has been
30 found that after drying processing aerosol particles containing an inorganic salt and an organic acid with limited
31 solubility tend to remain phase separation with the organic phase distributed at the outer layer (Peckhaus et al.,
32 2012; Veghte et al., 2014). Zhou et al. (2014) observed the phase separation behavior of ammonium



1 sulfate/phthalic acid mixed particles due to the salting-out effect. Considering phthalic acid with limited
2 solubility, the phase separation behavior may also occur for NaNO_3/PA mixed particles upon dehydration. The
3 prior study for ammonium sulfate/succinic acid mixtures showed that mixed particles with core-shell structure
4 had reduced hygroscopic growth relative to the well-mixed particles (Maskey et al., 2014). Similarly, the
5 phthalic acid layer likely inhibits the water transfer from gas phase to NaNO_3 phase, thus resulting in the lower
6 growth of particles.

7 **3.4 Water uptake behaviors of $\text{Ca}(\text{NO}_3)_2$ /organic acid mixtures**

8 Due to the interference of precipitation, only hygroscopic growth of 100 nm $\text{Ca}(\text{NO}_3)_2$ particles internally
9 mixed with malonic acid or phthalic acid at equal mass ratio is given in Fig. 5. The particle size for 1:1
10 $\text{Ca}(\text{NO}_3)_2/\text{MA}$ aerosols upon hydration shows slight decrease between 10% and 40% RH and subsequently
11 continuous growth without obvious phase transition in the whole RH range, which distinguishes from
12 hygroscopic growth of pure $\text{Ca}(\text{NO}_3)_2$ and malonic acid. The similar hygroscopic behavior including the
13 decrease in particle size at low RH was also observed for mixed NH_4NO_3 /protein particles (Mikhailov et al.,
14 2004) as well as amorphous oxalic acid and SOA particles derived from methylglyoxal-methylamine aqueous
15 reactions upon hydration below 50% RH (Mikhailov et al., 2009; Hawkins et al., 2014). This specific behavior
16 of particle shrinkage upon hydration typically results from humidity-induced transformation of porous, gel-like
17 structures into more compact sphere for (semi-)solid amorphous particles (Mikhailov et al., 2009). Thus, the
18 hygroscopic characteristics of $\text{Ca}(\text{NO}_3)_2/\text{MA}$ aerosols suggest the structure of mixed particles distinguishes
19 from that of pure component particles.

20 The 1:1 $\text{Ca}(\text{NO}_3)_2/\text{PA}$ aerosols take up water gradually with exposure of elevated RH. The overestimated
21 water uptake by the ZSR rule could be observed below 80% RH. This substantial deviation between predictions
22 and measurements can be attributed to mass transfer limitation in the aerosols (Chan and Chan, 2005). Due to
23 low molecular diffusivity, organic (semi-)solid amorphous structures at low RH could retard the equilibrium
24 between evaporation and condensation of water from submicron particles on (multi-)second time scales (Booth
25 et al., 2014; Price et al., 2014), thus kinetically inhibiting the hygroscopic growth of particles with insufficient
26 equilibrium time (Mikhailov et al., 2009). When ambient humidity increases to high RH such as 80%, more
27 water content in particles significantly reduces the impacts of mass transfer limitation, as indicated by the
28 general agreement between predictions and measurements above 80% RH in Fig. 5. Although it has been found
29 that nitrate depletions likely occur within $\text{Ca}(\text{NO}_3)_2$ /organic acid aerosols, the reactions between $\text{Ca}(\text{NO}_3)_2$ and
30 organic acids may have no obvious impacts on the hygroscopic growth in our experiment due to mass transfer
31 limitation. Considering $\text{Ca}(\text{NO}_3)_2$ /organic acid particles in the viscous semisolid state after dehydration (Wang
32 and Laskin, 2014), the low molecular diffusivity in amorphous phases could limit release of HNO_3 from



1 particle phase on (multi-)second time scales. In addition, our previous study also showed that due to the
2 presence of coexisting hygroscopic species the transformation of solid state into viscous semisolid state for
3 NaCl/oxalic acid mixed particles considerably inhibited chloride depletions or HCl evaporation during the
4 dehydration process (Peng et al., 2016).

5 **4 Conclusions and atmospheric implications**

6 Our results reveal that the nitrate/organic acid mixed aerosols exhibit varying phase behavior and hygroscopic
7 growth depending upon the type of components present in the particles. Whereas pure nitrate particles show
8 continuous water uptake with increasing RH, the deliquescence transition is still observed for ammonium
9 nitrate particles internally mixed with organic acids such as oxalic acid and succinic acid with a high
10 deliquescence point. In contrast to ammonium nitrate, hygroscopic nature of submicron sodium nitrate aerosols
11 is characterized by continuous growth even with the presence of oxalic acid or succinic acid, indicating that
12 sodium nitrate particles tend to exist in a liquid-like state under near dry conditions. In the case of calcium
13 nitrate particles containing malonic acid or phthalic acid, the water uptake of mixed aerosols is significantly
14 inhibited in the low and moderate RH range likely due to mass transfer limitation in amorphous solid with high
15 viscosity. Our findings indicate that the coexisting organic acids modify the phase and morphology of nitrate
16 particles in the low and medium RH range, which thus likely result in obvious enhancement or suppression of
17 water uptake with further increasing RH.

18 The phase state and water uptake of atmospheric particles have crucial impacts on determining the role of
19 aerosols in earth's climate and air quality. The well understanding of the phase state of atmospheric particles
20 could reduce the uncertainty in radiative forcing estimates. The new findings for phase behavior of nitrates aid
21 in further understanding the atmospheric lifetime, optical properties, and cloud formation of nitrates. In addition,
22 these experimental results can serve as a basis for the evaluation and improvement of thermodynamic models
23 for prediction of aerosol's physicochemical properties. The ongoing effort to understand the mechanisms of
24 interactions between water and aerosol particles with varying compositions is of importance in incorporating
25 these processes into global climate models.

26

27 **Competing interests.** The authors declare that they have no conflict of interest.

28 **Author contribution.** BJ and MG designed the experiments. BJ and ZW carried them out. BJ performed the
29 data analysis and prepared the manuscript with contributions from all co-authors.

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1 **The Supplement related to this article is available online at supplement.**

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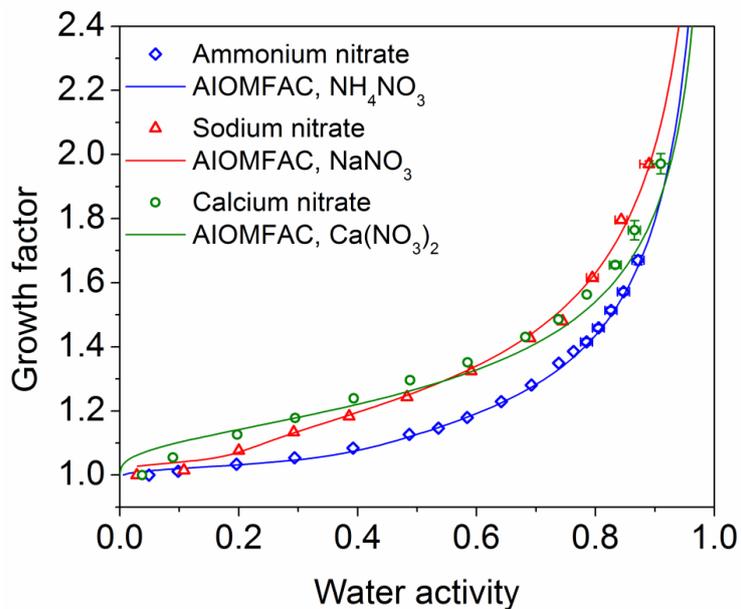
1 **Table 1.** Chemical properties of substances investigated in this study.

| Chemical compounds | Molecular formula | Molar weight [g mol ⁻¹] | Density [g cm ⁻³] | Solubility [g 100 cm ⁻³ H ₂ O] ^a | Manufacturer/Purity |
|------------------------------|---|-------------------------------------|-------------------------------|---|-----------------------|
| Ammonium nitrate | NH ₄ NO ₃ | 80.0 | 1.72 | 213 | Dtftchem, 99% |
| Sodium nitrate | NaNO ₃ | 85.0 | 2.26 | 91.2 | Alfa Aesar, ≥99.0% |
| Calcium nitrate | Ca(NO ₃) ₂ | 164.1 | 2.5 | 144 | |
| Calcium nitrate tetrahydrate | Ca(NO ₃) ₂ ·4H ₂ O | 236.1 | 1.82 | 144 | Alfa Aesar, 99.0% |
| Oxalic acid | C ₂ H ₂ O ₄ | 90.0 | 1.90 | 9.5 | Aldrich, 99.999% |
| Oxalic acid (dihydrate) | C ₂ H ₂ O ₄ ·2H ₂ O | 126.1 | 1.65 | | |
| Malonic acid | C ₃ H ₄ O ₄ | 104.1 | 1.62 | 76 | Sigma-Aldrich, 99% |
| Succinic acid | C ₄ H ₆ O ₄ | 118.1 | 1.57 | 8.35 | Sigma-Aldrich, ≥99.5% |
| Phthalic acid | C ₈ H ₆ O ₄ | 166.1 | 1.59 | 0.41 ^b | Sigma-Aldrich, ≥99.5% |

2 ^a From *CRC Handbook of Chemistry and Physics* at 298 K; ^b From Hartz et al. (2006)

3

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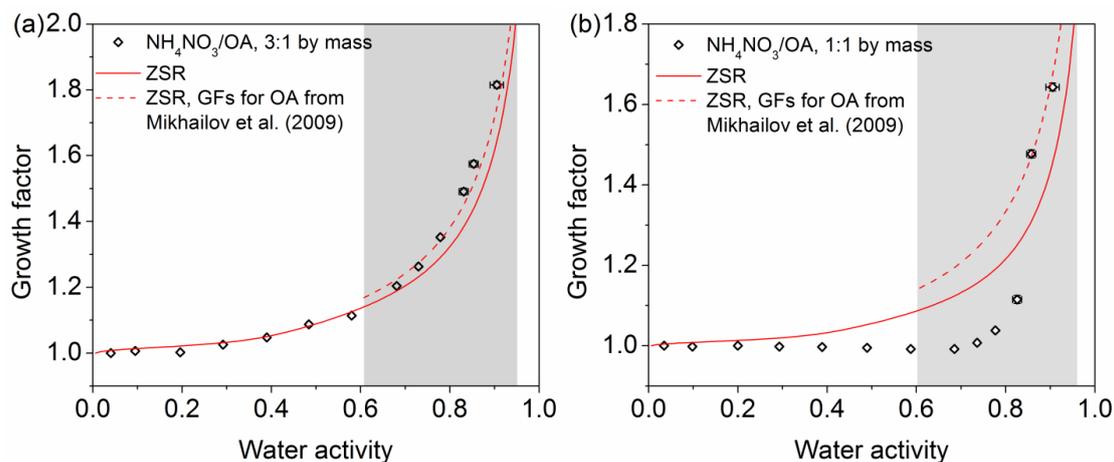
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2 **Figure 1.** Hygroscopic growth factors of 100 nm NH₄NO₃, NaNO₃, Ca(NO₃)₂ particles as a function of water
3 activity. The corresponding AIOMFAC-predicted curve associated with measurements is also presented. The
4 error bars representing the standard deviation of multiple measurements are generally not obvious compared to
5 the size of the symbols.

6



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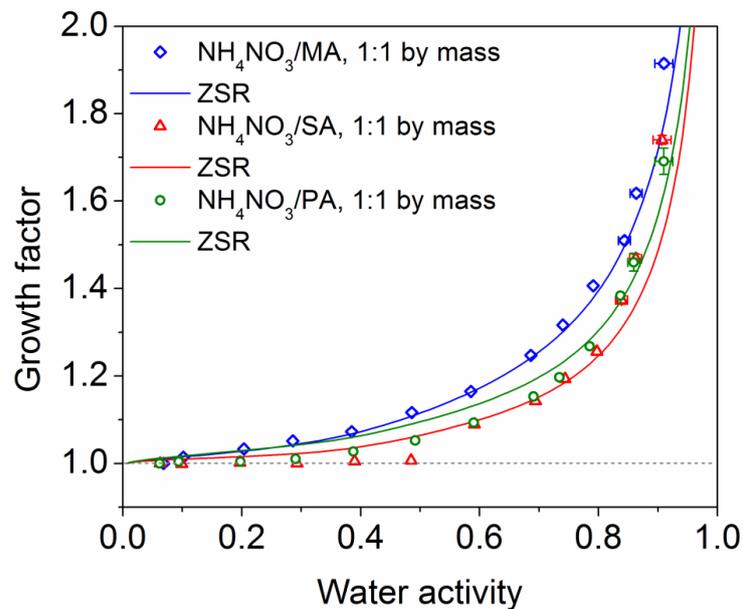


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3 **Figure 2.** Hygroscopic growth factors of 100 nm NH₄NO₃/oxalic acid (OA) mixed particles with mass ratios of
4 (a) 3:1 and (b) 1:1 as a function of water activity. The ZSR-predicted curves based on GF = 1 for oxalic acid or
5 GFs from Mikhailov et al. (2009) are indicated by red solid and dash line, respectively. The error bars
6 representing the standard deviation of multiple measurements are generally not obvious compared to the size of
7 the symbols. The shading area shows potential water uptake by oxalic acid component under high RH
8 conditions.

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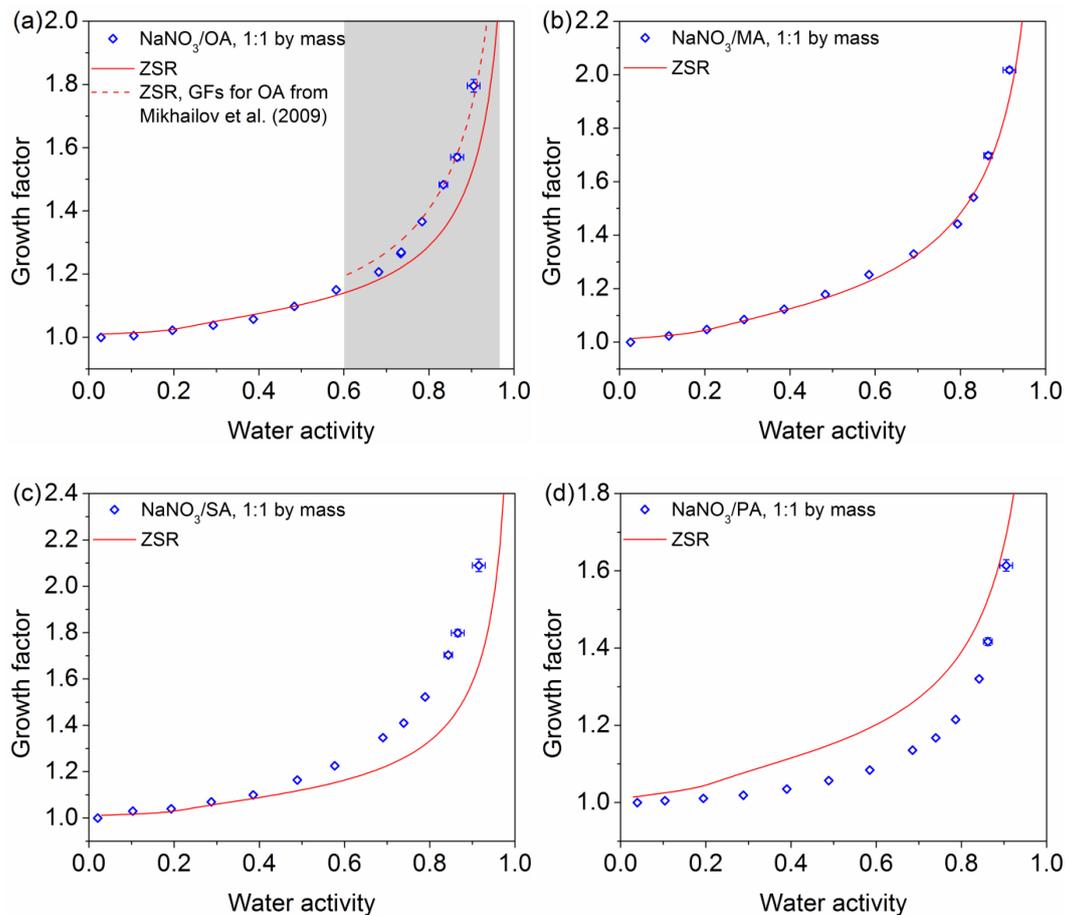
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2 **Figure 3.** Hygroscopic growth factors of 100 nm internally mixed particles composed of NH_4NO_3 /malonic acid
3 (MA), NH_4NO_3 /succinic acid (SA) and NH_4NO_3 /phthalic acid (PA) with equal mass ratios as a function of
4 water activity. The blue, red and green solid line indicates the hygroscopic growth predicted from the ZSR
5 method. The error bars representing the standard deviation of multiple measurements are generally not obvious
6 compared to the size of the symbols.

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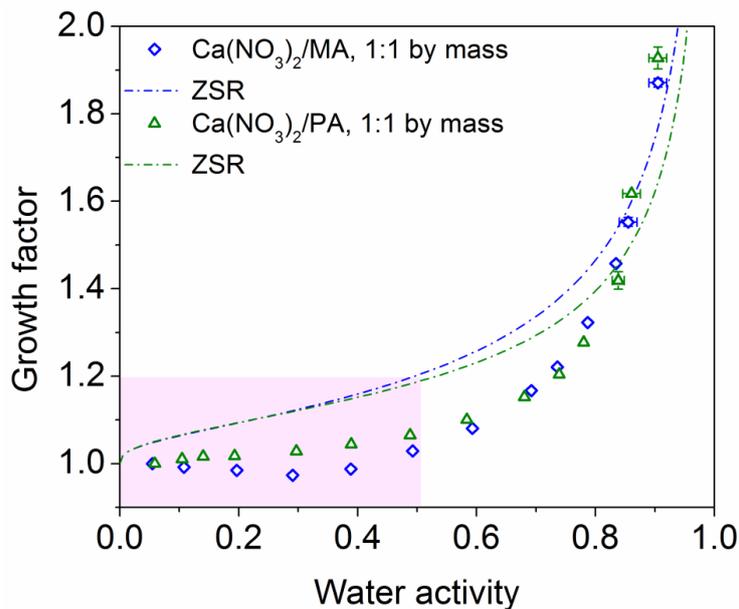
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3 **Figure 4.** Hygroscopic growth factors of 100 nm internally mixed particles composed of NaNO_3 /oxalic acid
4 (OA) (a), NaNO_3 /malonic acid (MA) (b), NaNO_3 /succinic acid (SA) (c) and NaNO_3 /phthalic acid (PA) (d) with
5 equal mass ratios as a function of water activity. The red line indicates the hygroscopic growth predicted from
6 the ZSR method. For the NaNO_3 /oxalic acid (OA) mixture, the ZSR-predicted curve based on the GFs for OA
7 from Mikhailov et al. (2009) is presented as the dash line. The shading area shows potential water uptake by
8 oxalic acid component. The error bars representing the standard deviation of multiple measurements are not
9 obvious compared to the size of the symbols.

10



1



2

3 **Figure 5.** Hygroscopic growth factors of 100 nm internally mixed particles composed of Ca(NO₃)₂ with
4 malonic acid (MA) or phthalic acid (PA) at equal mass ratios as a function of water activity. The blue and green
5 dash dot line indicates the hygroscopic growth predicted from the ZSR method. The error bars representing the
6 standard deviation of multiple measurements are not obvious compared to the size of the symbols. The shading
7 area shows no significant water uptake by the mixed systems under low RH conditions.

8