

1 **Effectiveness evaluation of temporary emission control action in 2016**  
2 **winter in Shijiazhuang, China**

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22 **Abstract.**

23 To evaluate the environmental effectiveness of the control measures for atmospheric pollution  
24 in Shijiazhuang of China, a large-scale controlling experiment for emission sources of atmospheric  
25 pollutants (i.e., a temporary emission control action, TECA) was designed and implemented during  
26 November 1, 2016 to January 9, 2017. Compared to the no control action and heating period  
27 (NCAHP), under the unfavorably meteorological conditions, the mean concentrations of PM<sub>2.5</sub>,  
28 PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and chemical species (Si, Al, Ca<sup>2+</sup>, Mg<sup>2+</sup>) in PM<sub>2.5</sub> during the control action and  
29 heating period (CAHP) still decreased by 15 %, 26 %, 5 %, 19 %, 30.3 %, 4.5 %, 47.0 % and 45.2 %,  
30 respectively, indicating that the control measures for atmospheric pollution were effective and was  
31 in a right direction. The effects of control measures in suburbs were better than those in urban area,  
32 especially for the control effects of particulate matter sources. The control effects for emission  
33 sources of carbon monoxide (CO) were not apparent during the TECA period, especially in suburbs,  
34 likely due to the increasing usage of domestic coal in suburbs along with the temperature decreasing.

35 The results of PMF analysis showed that crustal dust, secondary sources, vehicle emissions, coal  
36 combustion and industrial emissions were main PM<sub>2.5</sub> sources. Compared to the whole year (WY)  
37 and the no control action and no heating period (NCANHP), the contribution concentrations and  
38 proportions of coal combustion to PM<sub>2.5</sub> increased significantly during other stages of TECA period.  
39 The contribution concentrations and proportions of crustal dust and vehicle emissions to PM<sub>2.5</sub>  
40 decreased apparently during the CAHP compared to other stages of TECA period. The contribution  
41 concentrations and proportions of industrial emissions to PM<sub>2.5</sub> during the CAHP decreased  
42 apparently compared to the NCAHP. The pollutants' emission sources during the CAHP were in  
43 effective control, especially for crustal dust and vehicles. While the necessary coal heating for cold  
44 winter and the unfavorably meteorological conditions had an offset effect on the control measures  
45 for emission sources to some degree. The results also illustrated that the discharge of pollutants  
46 might be still enormous even under such strict control measures.

47 The backward trajectory and potential source contribution function (PSCF) analysis in the light  
48 of atmospheric pollutants suggested that the potential sources-areas mainly involved in the  
49 surrounding regions of Shijiazhuang, i.e., south of Hebei, north of Henan and Shanxi. The regional  
50 nature of the atmospheric pollution in Northern China Plain revealed that there is an urgent need for  
51 making cross-boundary control policy except for local control-measures given the high background

52 level of pollutants.

53 The TECA is an important practical exercise but it can't be advocated as the normalized control  
54 measures for atmospheric pollution in China. The direct cause of atmospheric pollution in China is  
55 the emission of pollutants exceeds the air environment's self-purification capacity, and the essential  
56 reason is unreasonable and unhealthy pattern for economic development of China.

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58 **Keywords:** Atmospheric pollutants; Effectiveness evaluation; Control action; PMF; PSCF

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## 60 **1 Introduction**

61 As a consequence of rapid industrialization and urbanization, China has been suffering from  
62 air quality degradation in recent years (Fu et al., 2014; Gao et al., 2015; Han et al., 2014; Hao et al.,  
63 2017; Zhao et al., 2011). Frequently occurred severe haze is featured by long duration, extensive  
64 coverage and sharply-increasing particulate concentration (Jiang and Xia, 2017; Tao et al., 2014;  
65 Wang et al., 2016a; Zhang et al., 2015a). It has been suggested that severe haze pollution increases  
66 the risk of respiratory and cardiovascular diseases (Chen et al., 2013; Gao et al., 2015; Pan et al.,  
67 2014; Zhang et al., 2014a; Zhou et al., 2015). On the basis of previous statistics, there are four haze-  
68 prone city clusters in China, including Beijing-Tianjin-Hebei region, Yangtze River Delta, Pearl  
69 River Delta and Sichuan Basin (Bi et al., 2014; Chen et al., 2016a; Fu et al., 2014; Fu and Chen,  
70 2017; Li et al., 2016b; Tao et al., 2013a; Wang et al., 2015b; Wu et al., 2008; Zhang et al., 2015b).  
71 In recent years, the role of particulates in hazy events has been becoming more and more prominent.  
72 The particulates can be discharged from varieties of sources or formed by physicochemical/aqueous-  
73 oxidation reactions between gaseous precursors, which have significant negative effects on climate,  
74 atmospheric visibility and public health (Chen et al., 2015; Fu and Chen, 2017; Lee et al., 2015;  
75 Quinn and Bates, 2003; Shen et al., 2015; Tai et al., 2010; Zhang et al., 2010). The high observed  
76 concentrations of fine particles and prolonged haze events have occurred frequently during autumn  
77 and winter, and covered large regions in China. In some cases, the instantaneous mass concentration  
78 of PM<sub>2.5</sub> had reached up to 1000 µg/m<sup>3</sup> (Qin et al., 2016; Zhang et al., 2014b), which caused the  
79 extensive concern from citizens and government agencies.

80 Confronted with severe air pollution and degradation of air quality, the government has taken  
81 a variety of control measures in recent years, including the odd-and-even license plate rule  
82 (<http://www.sjz.gov.cn/col/1274081553614/2016/11/17/1479391129628.html>), the mandatory  
83 installation of desulfurization, denitration and other pollution-controlling facilities in factories (Liu  
84 et al., 2017a; Ma et al., 2015; Peng et al., 2017) and the on-line monitoring system structure plan in  
85 construction sites, etc. The atmospheric quality in China has been notably improved so far. From  
86 2013 to 2016, the concentrations of atmospheric pollutants in China showed a decreased trend, and  
87 the annual mean concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub> and NO<sub>2</sub>, in 2016 reached up to 50 µg/m<sup>3</sup>, 85  
88 µg/m<sup>3</sup>, 21 µg/m<sup>3</sup> and 39 µg/m<sup>3</sup>, respectively, and significantly lower than those in 2013  
89 (<http://www.zhb.gov.cn/hjzl/zghjzkqb/lnzghjzkqb/>). However, the annual mean concentrations of

90 PM<sub>2.5</sub> and PM<sub>10</sub> in 2016 were still 1.4 and 1.2 times higher than the national ambient air quality  
91 standard (NAAQS) (GB3095-2012 Grade II, PM<sub>2.5</sub>: 35 µg/m<sup>3</sup>, PM<sub>10</sub>:70 µg/m<sup>3</sup>). Note that the  
92 concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> during Beijing-Tianjin-Hebei region were up to 71 µg/m<sup>3</sup> and 119  
93 µg/m<sup>3</sup> in 2016, and 2.0 and 1.7 times higher than the NAAQS, respectively. Therefore, China still  
94 has a lot of work to do to improve the national air quality.

95 Over the last decade, Chinese government has implemented stricter control-measures for  
96 emission sources during multiple international events held in China than normal times (Chen et al.,  
97 2016b; Guo et al., 2013; Liu et al., 2013; Sun et al., 2016; Wang et al., 2010; Wang et al., 2017). For  
98 instance, the first attempt took place during the Beijing 2008 Olympic Games (Guo et al., 2013).  
99 Drastic control actions were executed to cut down the emissions of atmospheric pollutants from  
100 motor vehicles, industries and building construction activity (UNEP, 2009; Wang et al, 2009a; Wang  
101 et al., 2010). UNEP (2009) suggested that the concentration of PM<sub>10</sub> in Beijing was reduced by 20 %  
102 due to the emission reduction measures. Liu et al. (2013) reported that the concentrations of SO<sub>2</sub>,  
103 NO<sub>2</sub>, PM<sub>10</sub> and PM<sub>2.5</sub> were reduced by 66.8 %, 51.3 %, 21.5 % and 17.1 %, respectively, during the  
104 2010 Asian Games in Guangzhou of China, and during which stricter control measures for emission  
105 sources were implemented. Furthermore, further stricter controls for emission sources were  
106 implemented in both Beijing and its surrounding regions during the 2014 Asia-Pacific Economic  
107 Cooperation (APEC) summit and Parade. Compared to no-control during APEC and Parade, a  
108 decreasing trend with 51.6~65.1 % and 34.2~64.7 % of PM<sub>2.5</sub> concentrations during the control  
109 period was reported (Wang et al., 2017). Eventually, all the efforts led to a blue-sky days during the  
110 APEC, which was acknowledged as “APEC Blue” (Wang et al., 2016b). As we can see that the air  
111 quality can be improved in response to stricter emission controls in international events held in  
112 China. However, once these stricter control-measures of emission sources were repealed, and the  
113 air quality would be deteriorated subsequently  
114 ([http://www.mep.gov.cn/gkml/hbb/qt/201412/t20141218\\_293152.htm](http://www.mep.gov.cn/gkml/hbb/qt/201412/t20141218_293152.htm)), indicating that the  
115 prevention and control of air pollution in China still had a long way to go.

116 Shijiazhuang (38.03° N, 114.26° E), a hinterland city of Northern China Plain with a high  
117 population density, is an important city in Beijing-Tianjin-Hebei region (Sun et al., 2013). The rapid  
118 industry development has a great contribution to this city’s economic growth and degradation of air  
119 quality at the same time (Du et al., 2010; Li et al., 2015; Yang et al., 2015, 2016a). Shijiazhuang has

120 been one of the cities with the most serious air pollution in the world  
121 (<https://www.statista.com/chart/4887/the-20-worst-cities-worldwide-for-air-pollution/>), and  
122 deteriorating air quality poses a great risk to public health (<http://www.who.int/ceh/risks/cehair/en/>),  
123 as well as drags on the expansion of economy. The government of Shijiazhuang has adopted a  
124 variety of control measures (<http://www.sjzhb.gov.cn/>), however, it seems that the improvement in  
125 air quality of Shijiazhuang is not go into effect so far, and the atmospheric pollution is still heavy.  
126 In 2016, the annual concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> in Shijiazhuang reached up to 70 µg/m<sup>3</sup> and  
127 123 µg/m<sup>3</sup>, respectively, which were 2.0 and 1.8 times higher than the NAAQS (GB3095-2012  
128 Grade II) ([http://www.zhb.gov.cn/hjzl/tj/201706/t20170606\\_415527.shtml](http://www.zhb.gov.cn/hjzl/tj/201706/t20170606_415527.shtml)). Especially in the  
129 heating period in winter, the degree of atmospheric pollution in Shijiazhuang was even more serious.  
130 The effectiveness of control measures has been queried in recent years. Therefore, based on previous  
131 examples of APEC, Parade and the Asian Games, etc., a large-scale controlling experiment for  
132 atmospheric pollutants sources (i.e., TECA) was designed and implemented to investigate whether  
133 control measures in Shijiazhuang are effective for the atmospheric pollution. The experiment was  
134 carried out in Shijiazhuang during November 1, 2016 to January 9, 2017, during which more  
135 stringent control measures of atmospheric pollution than usual were put into practice. Then, by  
136 combining of the changes of atmospheric pollutants concentrations, emission source contributions  
137 and other factors such as meteorological conditions, regional transmission, etc., the effectiveness of  
138 control measures was evaluated before and after the control measures were taken.

## 139 **2 Materials and Methods**

### 140 **2.1 Site description**

141 Shijiazhuang city is located in the east of Taihang Mountain in north of China (Fig. 1), and the  
142 urban area is 15848 km<sup>2</sup>, with a population of more than 10 million in 2016. Shijiazhuang is a large  
143 industrial city that is famous for raw materials, energy production and steel, power, and cement  
144 industries. The number of vehicles is more than 2.0 million until 2016. Shijiazhuang has a typical  
145 temperate and monsoonal climate with four clearly distinct seasons, with northeasterly,  
146 southeasterly and northwesterly winds prevailed during the TECA period (Fig. S1). The mean wind  
147 speed was 0.6 m/s, and the average temperature was 14.9 °C during the TECA period. The mean  
148 relative humidity was up to 76.5 %, and the mean height of mixed layer was 509 m during the TECA  
149 period. The meteorological conditions during the four stages of the TECA period in Shijiazhuang

150 were shown in Table 1.

151 The seven monitoring sites including Twenty-second Middle School (TSMS), High-tech Zone  
152 (HTZ), Great Hall of the People (GHP), Century Park (CP), Water Source Area in the Northwest  
153 (WSAN), University Area in the Southwest (UAS) and Staff Hospital (SH) are located in urban area  
154 of Shijiazhuang. While other seventeen sites including Fenglong Mountain (FLM), Gaoyi (GY),  
155 Gaocheng (GC), Xingtang (XT), Jinzhou (JZ), Jingxing Mining District (JXMD), Lingshou (LS),  
156 Luquan (LQ), Luancheng (LC), Pingshan (PS), Shenze (SZ), Wuji (WJ), Xinle (XL), Yuanshi (YS),  
157 Zhanhuang (ZH), Zhaoxian (ZX) and Zhengding (ZD) are suited in suburbs of Shijiazhuang. The  
158 more details were shown in Table S1.

## 159 **2.2 Sampling and Analysis**

### 160 **2.2.1 Sampling**

161 From November 1, 2016 to January 9, 2017, the concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO,  
162 O<sub>3</sub> and synchronous meteorological conditions (temperature, relative humidity, wind speed and  
163 wind direction) were monitored in the 24 online monitoring sites belonged to national, provincial  
164 and city controlling points (Fig. 1). The more details about monitoring instruments were described  
165 in Table S2. The heights of mixed layer were measured with a lidar scanner (AGHJ-I-LIDAR  
166 (HPL)), which was set at an atmospheric gradient monitoring station in Shijiazhuang near CP site  
167 (Fig. 1), and more details were shown in supplemental material. The PM<sub>2.5</sub> filter membrane samples  
168 were collected in TSMS, LQ, and LC sites from November 24, 2015 to January 9, 2017. Three  
169 sampling sites were set on the rooftops of buildings at 12-15 meters above ground level. Meanwhile,  
170 the parallel samples and the field blanks were also collected at each site. More details about filter  
171 membrane sampling were shown in Table S3. Before sampling, the quartz filter membranes (47 mm  
172 in diameter, Whatman, England) and polypropylene filter membranes (47 mm in diameter, Beijing  
173 Synthetic Fiber Research Institute, China) were baked in the oven at 500 °C and 60 °C, respectively.  
174 All the filter membranes after sampling were stored at 4 °C before subsequent gravimetric and  
175 chemical analysis to improve the accuracy of experimental results.

### 176 **2.2.2 Gravimetric and Chemical analysis**

177 A 24-hour equilibrium process of PM<sub>2.5</sub> filter membranes was performed at a condition of  
178 constant temperature ( $20 \pm 1$  °C) and humidity (45-55 %) before gravimetric analysis. For the  
179 gravimetric analysis, all the filter membranes were weighted twice on a microbalance with

180 resolution of 0.01 mg (Mettler Toledo, XS105DU) before and after sampling. An electrostatic  
181 eliminating device was applied to ensure the accuracy of gravimetric results.

182 After the gravimetric analysis, the quartz filter membranes which carried atmospheric  
183 particulates were used to analyze water-soluble ions by Ion chromatography (Thermo Fisher  
184 Scientific, Dionex, ICS-5000+). One-eighth of the filter membrane was cut up and put into a 25 mL  
185 glass tube with 20 mL ultrapure water. After 1-hour ultrasonic extraction and 3 minutes  
186 centrifugalization, the supernatant was filtered with disposable filter head (0.22  $\mu\text{m}$ ) for subsequent  
187 instrumental analysis. The ions analyzed included  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{Cl}^-$ ,  $\text{NH}_4^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Na}^+$  and  $\text{Mg}^{2+}$ ,  
188 and more details were shown in Figs. S2 and S3. Prior to the ions detection, standard solutions were  
189 prepared and detected for over three times and low relative standard deviations (RSD) were obtained.  
190 Analytical quantification was carried out by using calibration curves made from standard solutions  
191 prepared.

192 Polypropylene filter membranes were used for elemental analysis by inductively coupled  
193 plasma–mass spectrometry (ICP-MS, Agilent 7700x). Perchloric acid-nitric acid digestion method  
194 was applied for the pretreatment of filter membranes. Aggregately, 10 elemental species (Al, Si, Ti,  
195 Cr, Mn, Fe, Cu, Zn, As and Pb) were determined. The detection limits of all the elements were  
196 shown in Table S4. For quality assurance and quality control (QA/QC), standard reference materials  
197 were pre-treated and analyzed with the same procedure, with the recovered values for all the target  
198 elements falling into the range or within 5 % of certified values.

199 The OC and EC were determined on a 0.558  $\text{cm}^2$  quartz filter membrane punch by Desert  
200 Research Institute (DRI) Model 2001 Thermal/Optical Carbon Analyzer with IMPROVE A  
201 thermal/optical reflectance (TOR) protocol. The quartz filter membrane was heated stepwise to  
202 temperatures of 140  $^\circ\text{C}$ , 280  $^\circ\text{C}$ , 480  $^\circ\text{C}$  and 580  $^\circ\text{C}$  in a non-oxidizing helium (He) oven to analyze  
203 OC1, OC2, OC3 and OC4, respectively. Then, the oven was added to an oxidizing atmosphere of  
204 2 % oxygen ( $\text{O}_2$ ) and 98 % He, and the quartz filter membrane was gradually heated to 580  $^\circ\text{C}$ ,  
205 780  $^\circ\text{C}$  and 840  $^\circ\text{C}$  to analyze EC1, EC2 and EC3, respectively. The POC is defined as the carbon  
206 combusted after the initial introduction of oxygen and before the laser reflectance signal achieves  
207 its original value and the POC is specified as the fraction of OC. According to the IMPROVE A  
208 protocol, OC is defined as  $\text{OC1}+\text{OC2}+\text{OC3}+\text{OC4}+\text{POC}$ , and EC is defined as  
209  $\text{EC1}+\text{EC2}+\text{EC3}-\text{POC}$ . For QA/QC, we carried out the measurement with the field blank filter



210 membranes, standard sucrose solution and repeated analysis in the study. During each season, the  
 211 field blanks were sampled and the particulate samples have been corrected by the average  
 212 concentration of the blanks. For checking the precision of instrument, a replicate sample was  
 213 analyzed for every 10 samples, and the standard deviation  $< \pm 5\%$  was accepted. The method  
 214 detection limits (MDLs) of OC and EC are 0.45 and 0.06  $\mu\text{g}/\text{cm}^2$ , respectively.

### 215 **2.3 PMF model**

216 PMF model can decompose a matrix of sample data (X) into two matrices: source profile (F)  
 217 and source contribution (G), in terms of observations at the sampling sites (Paatero and Tapper,  
 218 1994). The principle of PMF model can be described by:

$$219 \quad X_{ij} = \sum_{k=1}^p g_{ik} f_{kj} + e_{ij} \quad (1)$$

220 where  $X_{ij}$  represents concentration of the  $j^{\text{th}}$  species in the  $i^{\text{th}}$  sample,  $g_{ik}$  represents the contribution  
 221 of the  $k^{\text{th}}$  source to the  $i^{\text{th}}$  sample,  $f_{kj}$  represents the source profile of  $j^{\text{th}}$  species from the  $k^{\text{th}}$  source,  
 222  $e_{ij}$  represents the residual for the  $j^{\text{th}}$  species in the  $i^{\text{th}}$  sample, and  $p$  represents the number of sources.

223 PMF can identify emission sources of  $\text{PM}_{2.5}$  without source profiles. Data below MDLs are  
 224 retained for using in PMF model with the related uncertainty adjusted in terms of the characteristics  
 225 that PMF model admits data to be signally weighed. To assess the stability of the solution, the object  
 226 function Q can be allowed to review the distribution of each species, which is expressed by:

$$227 \quad Q = \sum_{i=1}^n \sum_{j=1}^m \left[ \frac{x_{ij} - \sum_{k=1}^p g_{ik} f_{kj}}{\mu_{ij}} \right]^2 \quad (2)$$

228 where  $\mu_{ij}$  represents the uncertainty of  $j^{\text{th}}$  species in the  $i^{\text{th}}$  sample, which is applied to weight the  
 229 observations that include the sampling errors, missing data, detection limits and outliers.

230 The purpose of PMF model was to minimize the function (Eq. (2)). Data below MDLs were  
 231 retained and their uncertainties were set to 5/6 of the MDLs. Missing values were replaced by the  
 232 median concentration of a given species, with an uncertainty of four times the median (Brown et al.,  
 233 2015). Values that were larger than the MDLs, the calculation of uncertainty was in terms of a user  
 234 supplied fraction of the concentration and MDLs, and the error fraction was suggested as 10 % by  
 235 Paatero (2000). Uncertainty was described by:

$$236 \quad \text{Uncertainty} = \sqrt{(\text{Error Fraction} \times \text{concentration})^2 + (0.5 \times \text{MDL})^2} \quad (3)$$

237 In this study, EPA PMF 5.0 model was used to identify the  $\text{PM}_{2.5}$  sources in Shijiazhuang city.  
 238 Based on the field investigation and change of  $Q$  values, and finally, five factors were chosen in

239 PMF analysis. When five factors were chosen and input in PMF model, and the calculated  $Q$  value  
240 (5162) from PMF model was close to theoretical values (5045). The observed  $PM_{2.5}$  concentrations  
241 and calculated  $PM_{2.5}$  concentrations from PMF model showed high correlations ( $r = 0.96$ ) (Fig. S4).  
242 S/N is the signal-to-noise ratio, which is used to address weak and bad variables when running PMF  
243 model (Paatero and Hopke, 2003). The signal vector is identified as  $S$  and the noise vector is  
244 identified as  $N$ . Next, S/N is defined as Eq. (4). Variables with  $S/N \leq 0.2$  were removed from the  
245 analysis, while weak variables ( $0.2 \leq S/N \leq 2.0$ ) were down-weighted (Ancelet et al., 2012). S/N of  
246 As, Ti and Cr were lower than 1.0 in this study, and these species were set as weak variables.

$$247 \quad S/N = \sqrt{\sum s_i^2 / \sum n_i^2} \quad (4)$$

248 where  $i$  represents the chemical species in  $PM_{2.5}$ .

#### 249 **2.4 Backward trajectory and PSCF model**

250 In this study, the 72-h backward trajectory arriving in Shijiazhuang ( $38.05^\circ$  N,  $55.2^\circ$  E) was  
251 calculated at 1-h intervals during the CAHP by the Hybrid Single Particle Lagrangian Integrated  
252 Trajectory (HYSPLIT) model. The final global analysis data were produced from the National  
253 Center for Environmental Prediction's Global Data Assimilation System wind field reanalysis  
254 (<http://www.arl.noaa.gov/>). The model was run 4 times per day at starting times, i.e., 0:00, 06:00,  
255 12:00, 18:00 LT; the starting height was set at 100 m above the ground. The PSCF model was used  
256 to identify the potential sources-areas in terms of the HYSPLIT analysis. The study region was  
257 divided into  $i \times j$  small equal grid cells. The trajectory clustering and PSCF model were performed  
258 by using the GIS-based software TrajStat (Liu et al., 2017a; Wang et al., 2009b). The PSCF value  
259 was defined as:

$$260 \quad PSCF = \frac{m_{ij}}{n_{ij}} \quad (5)$$

261 where  $i$  and  $j$  were the latitude and longitude indices,  $n_{ij}$  represented the number of endpoints that  
262 fell in the  $ij$  cell, and  $m_{ij}$  was the number of endpoints in the same cell that were related to the  
263 samples that were greater than the threshold criterion.

264 Based on the NAAQS (GB3095-2012 guideline value (24 h) of Grade II), the criterion values  
265 of  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $CO$  were set to  $75 \mu\text{g}/\text{m}^3$ ,  $150 \mu\text{g}/\text{m}^3$ ,  $80 \mu\text{g}/\text{m}^3$  and  $4 \text{mg}/\text{m}^3$ , respectively. The  
266 criterion values of  $SO_2$  and  $O_3$  were set to  $68 \mu\text{g}/\text{m}^3$  and  $15 \mu\text{g}/\text{m}^3$  respectively, in terms of the  
267 average during the CAHP. When  $n_{ij}$  is smaller than three times the grid average number of trajectory

268 endpoint ( $n_{ave}$ ), a weighting function  $W(n_{ij})$  was used to reduce uncertainty in cells (Dimitriou et al.,  
 269 2015). The weighting function was defined by:

$$270 \quad WPSCF_{ij} = \frac{m_{ij}}{n_{ij}} * W(n_{ij}) \quad (6)$$

$$271 \quad W(n_{ij}) = \begin{cases} 1.00, 3n_{ave} < n_{ij} \\ 0.70, 1.5n_{ave} < n_{ij} \leq 3n_{ave} \\ 0.40, n_{ave} < n_{ij} \leq 1.5n_{ave} \\ 0.20, n_{ij} \leq n_{ave} \end{cases} \quad (7)$$

272 The studying field ranged from 33° N to 51° N, and 97° E to 121° E, and the region that was  
 273 covered by the backward trajectories was divided into 432 grid cells of 1.0° × 1.0°. The total number  
 274 of endpoints during the CAHP was 12672. Accordingly, there was an average of 5 trajectory  
 275 endpoints in per cell ( $n_{ave} = 5$ ).

## 276 2.5 Measures taken in the controlling experiment

277 The measures taken in the controlling experiment began on November 18, 2016 and ended on  
 278 December 31, 2016 in Shijiazhuang  
 279 (<http://www.sjz.gov.cn/col/1274081553614/2016/11/17/1479391129628.html>). The measures taken  
 280 in the control action were mainly aimed at controlling emission sources of atmospheric pollutants  
 281 in Shijiazhuang, which mainly included five aspects: (1) reduce the usage of coal, (2) decrease  
 282 industrial production, (3) inhibition of dust emission, (4) driving restriction, and (5) prohibit open  
 283 burning. The more details were described in supplemental material.

284 Actually, a total of 1543 enterprises were shut down in the whole city of Shijiazhuang during  
 285 the control action period, including pharmaceutical, steel, cement, coking, casting, glass, ceramics,  
 286 calcium and magnesium, sheet, sand and stone processing, stone processing and other industries.  
 287 The situation of specific closed-enterprises in different districts and counties is shown in Table S5.  
 288 In closed enterprises in Shijiazhuang, the number of mining and stone processing enterprises was  
 289 the largest, which was up to 733 and account for 48 % of all the closed enterprises. The numbers of  
 290 casting and building materials enterprises were up to 297 and 227, respectively, accounting for 19 %  
 291 and 15 % of the all, respectively. In addition, 64 enterprises related to pharmaceutical industry were  
 292 halted only for the VOC technology, and the 17 enterprises related to chemical industry must stop  
 293 production. The numbers of closed enterprises for cement and calcium/magnesium industry were  
 294 up to 49 and 40, respectively. The number of closed factories related to furniture and tanneries was

295 43, and the numbers of closed steel and coking enterprises were up to 4 and 7, respectively.

296 The average value of daily social-electricity consumption from November 18 to December 31,  
297 2016 was 103,470,000 kW · h (Fig. S5), which declined 10 % compared to that of daily social-  
298 electricity consumption from November 1 to 17, 2016, and declined 6 % compared to that of daily  
299 social-electricity consumption during the same period in 2015. Restriction of motor vehicles based  
300 on odd-and-even license plate rule in urban area of Shijiazhuang resulted in the decrease of the  
301 average traffic-flow on arterial roads, which reduced about 30 % compared to before the control  
302 action (Fig. S6). The dust emission can be reduced about 390 tons per day by a series of dust control-  
303 measures. Compared to before the control action, the daily emissions of SO<sub>2</sub>, NO<sub>x</sub>, smoke dust and  
304 VOCs reduced about 20 %, 33 %, 15 % and 7 %, respectively, during the control action period, on  
305 the basis of the statistics on pollutants emission inventories.

### 306 **3 Results and discussion**

#### 307 **3.1 Variations of atmospheric pollutants concentrations**

##### 308 **3.1.1 Temporal trend**

309 The time series of atmospheric pollutants concentrations during the TECA period are shown in  
310 Fig. 2. The average concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> during the TECA period in Shijiazhuang were  
311 up to 181 µg/m<sup>3</sup> and 295 µg/m<sup>3</sup>, respectively, which were 5.2 and 3.2 times than the Grade II limit  
312 values in the NAAQS. The ratio of PM<sub>2.5</sub>/PM<sub>10</sub> reached up to 0.62 during the TECA period,  
313 indicating that the fine particulate dominated on the particulate pollution in Shijiazhuang. The mean  
314 concentration of PM<sub>2.5</sub> during the TECA period was significantly higher than those of winter in  
315 Beijing (95.50 µg/m<sup>3</sup>), Tianjin (144.6 µg/m<sup>3</sup>), Hangzhou (127.9-144.9 µg/m<sup>3</sup>), Heze (123.6 µg/m<sup>3</sup>)  
316 and Xinxiang (111 µg/m<sup>3</sup>) (Cheng et al., 2015; Gu et al., 2011; Liu et al., 2015; Liu et al., 2017a;  
317 Feng et al., 2016), and lower than those of winter in Handan (240.6 µg/m<sup>3</sup>) and Xian (266.8 µg/m<sup>3</sup>)  
318 (Meng et al., 2016; Zhang et al., 2011). Additionally, the NAAQS (GB3095-2012 Grade II) values  
319 of SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub> were 60 µg/m<sup>3</sup>, 40 µg/m<sup>3</sup>, 4 mg/m<sup>3</sup> and 160 µg/m<sup>3</sup>, respectively. During  
320 the TECA period, the average concentration of SO<sub>2</sub> (60 µg/m<sup>3</sup>) could meet the NAAQS, and that of  
321 NO<sub>2</sub> (81 µg/m<sup>3</sup>) was far exceed the NAAQS; while those of CO (3.4 mg/m<sup>3</sup>) and O<sub>3</sub> (15 µg/m<sup>3</sup>)  
322 were less than the NAAQS.

323 As well known, the date of coal-fired heating in Shijiazhuang began in November 15, 2016  
324 (<http://www.sjz.gov.cn/col/1451896947837/2016/10/28/1477635691926.html>). Depending on the

325 changes of atmospheric pollution sources and meteorological conditions (Table 1), the timeline of  
 326 the TECA was divided into four stages: stage 1: no control action and no heating period (NCANHP),  
 327 ranging from November 1 to 14, 2016; stage 2: no control action and heating period (NCAHP),  
 328 ranging from November 15 to 17, 2016; stage 3: control action and heating period (CAHP), ranging  
 329 from November 18 to December 31, 2016; stage 4: after control action (ACA), ranging from January  
 330 1 to 9, 2017.

331 During the TECA period, the variations of atmospheric pollutants concentrations were mainly  
 332 affected by the heating for cold winter and the control measures of the control action except for the  
 333 meteorological conditions. Therefore, we defined the following equations to evaluate the effects of  
 334 the heating and control action, respectively, based on the atmospheric pollutants concentrations  
 335 during the different stages of TECA (i.e., NCANHP, NCAHP, CAHP and ACA).

$$336 \quad P_{i\text{-heating}} = \frac{(C_{i\text{-NCAHP}} - C_{i\text{-NCANHP}}) \times 100}{C_{i\text{-NCANHP}}} \quad (8)$$

$$337 \quad P_{i\text{-action}} = \frac{(C_{i\text{-NCAHP}} - C_{i\text{-CAHP}}) \times 100}{C_{i\text{-NCAHP}}} \quad (9)$$

338 where  $P_{i\text{-heating}}$  represents the increasing percentage (%) of atmospheric pollutant concentration  
 339 because of the combined effects of heating for cold winter and meteorological conditions;  $P_{i\text{-action}}$   
 340 represents the decreasing percentage (%) of atmospheric pollutant concentration because of the  
 341 combined influences of control action and meteorological conditions;  $C_{i\text{-NCANHP}}$  represents the  
 342 concentration ( $\mu\text{g}/\text{m}^3$ , CO:  $\text{mg}/\text{m}^3$ ) of atmospheric pollutant during the no-control action and no-  
 343 heating period;  $C_{i\text{-NCAHP}}$  represents the concentration ( $\mu\text{g}/\text{m}^3$ , CO:  $\text{mg}/\text{m}^3$ ) of atmospheric pollutant  
 344 during the no-control action and heating period;  $C_{i\text{-CAHP}}$  represents the concentration ( $\mu\text{g}/\text{m}^3$ , CO:  
 345  $\text{mg}/\text{m}^3$ ) of atmospheric pollutant during the control action and heating period.

346 During the NCANHP, the mean concentrations of  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  were  $156 \mu\text{g}/\text{m}^3$  and  $253$   
 347  $\mu\text{g}/\text{m}^3$  in Shijiazhuang, respectively. With the beginning of heating, the mean concentrations of  
 348  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  increased  $44 \mu\text{g}/\text{m}^3$  and  $64 \mu\text{g}/\text{m}^3$  during the NCAHP, respectively, and the  $P_{\text{PM}_{2.5}\text{-heating}}$   
 349 heating and  $P_{\text{PM}_{10}\text{-heating}}$  values were up to 28 % and 25 % (Fig. 3 and Fig. 4). However, during the  
 350 CAHP, the mean concentrations of  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  were  $185 \mu\text{g}/\text{m}^3$  and  $291 \mu\text{g}/\text{m}^3$ , respectively,  
 351 which decreased by 15 % and 26 % compared to the NCAHP. And the  $P_{\text{PM}_{2.5}\text{-action}}$  and  $P_{\text{PM}_{10}\text{-action}}$   
 352 values were 8 % and 8 %, respectively. The mean height of mixed layer, the mean wind speed and  
 353 temperature during the CAHP were lower than those during the NCAHP (Table 1). Unfavorably

354 meteorological conditions during the CAHP had an offset effect on the control measures for  
355 emission sources. In view of Eq. (9), it can be seen that the positive values for  $P_{PM_{2.5}\text{-action}}$  and  $P_{PM_{10}\text{-action}}$   
356 are more able to show that control action was effective. During the ACA, the concentrations of  
357  $PM_{2.5}$  and  $PM_{10}$  were  $227 \mu\text{g}/\text{m}^3$  and  $383 \mu\text{g}/\text{m}^3$ , respectively, which increased significantly by 42  
358  $\mu\text{g}/\text{m}^3$  and  $92 \mu\text{g}/\text{m}^3$  compared to the CAHP. The variations of  $SO_2$  and  $NO_2$  concentrations during  
359 different stages of TECA were similar to those of  $PM_{2.5}$  and  $PM_{10}$  concentrations. The  $P_{SO_2\text{-heating}}$   
360 and  $P_{NO_2\text{-heating}}$  values were 50 % and 33 %, respectively, and the  $P_{SO_2\text{-action}}$  and  $P_{NO_2\text{-action}}$  values were  
361 5 % and 19 %. Note that the mean concentration of CO in Shijiazhuang city varied from  $2.2 \text{ mg}/\text{m}^3$   
362 during the NCANHP to  $5.5 \text{ mg}/\text{m}^3$  during the ACA period, which showed an increasing tendency  
363 (Fig. 3). Because CO was mainly produced from the uncompleted combustion of fossil fuels, so the  
364 usage of domestic coal might be increasing with the gradual decrease of temperature from the  
365 NCANHP ( $8.4 \text{ }^\circ\text{C}$ ) to the ACA period ( $0.7 \text{ }^\circ\text{C}$ ) (Table 1). Meanwhile, it can also be inferred that  
366 the control of domestic coal during the TECA period in Shijiazhuang city performed little efficiency.  
367 Because of the lack of emission inventories for domestic coal or small-boiler coal in Shijiazhuang,  
368 so that the control measures were less targeted. Additionally, the concentrations of  $O_3$  during  
369 different stages of TECA were lower compared to other pollutants (Figs. 2 and 3). Overall, the  
370 control measures of emission sources in Shijiazhuang during the TECA period were go into effect,  
371 while the coal heating for cold winter and the unfavorably meteorological conditions during the  
372 CAHP had an offset effect on the efforts of control measures for pollutant sources to some extent.  
373 The average wind speed during the CAHP ( $0.4 \text{ m}/\text{s}$  on average) was lower than those during the  
374 other stages of the TECA period ( $0.5\text{-}0.7 \text{ m}/\text{s}$  on average) (Table 1), and the wind directions were  
375 changeable (Fig. S1), which was in favor of the accumulation of atmospheric pollutants, and thus  
376 causing the concentrations of atmospheric pollutants to increase during the CAHP. Note that the  
377 heights of mixed layer showed an apparently decreasing tendency from the NCANHP ( $540 \text{ m}$  on  
378 average) and the NCAHP ( $590 \text{ m}$  on average) to the ACA ( $431 \text{ m}$  on average), and the height of  
379 mixed layer during the CAHP was only  $474 \text{ m}$  on average (Table 1). The decrease in the height  
380 of mixed layer can cause the concentrations of atmospheric pollutants near the ground to be  
381 compressed significantly and enhanced subsequently. In addition, during the CAHP, the  
382 multidirectional air-masses that were mainly originated from the Beijing-Tianjin-Hebei and its  
383 surrounding areas (e.g. Henan, Shandong and south of Hebei) displayed an overlap with each

384 other in Shijiazhuang (Fig. S7), and further aggravate the level of air pollution in Shijiazhuang.

### 385 **3.1.2 Spatial variation**

386 The concentrations variations of PM<sub>2.5</sub>, PM<sub>10</sub> and related gaseous pollutants (SO<sub>2</sub>, NO<sub>2</sub>, CO  
387 and O<sub>3</sub>) during four stages (NCANHP, NCAHP, CAHP and ACA) in urban area and suburb in  
388 Shijiazhuang are shown in Figs. 3 and 5. During the NCANHP, the average concentrations of PM<sub>2.5</sub>  
389 in urban area and suburb were 166 µg/m<sup>3</sup> and 152 µg/m<sup>3</sup>, respectively. The concentrations of PM<sub>2.5</sub>  
390 in urban area and suburb increased significantly during the NCAHP (t-test,  $p < 0.01$ ). The mean  
391 increased concentration of PM<sub>2.5</sub> (46 µg/m<sup>3</sup>) in urban area was higher than that of in suburb (43  
392 µg/m<sup>3</sup>), but the value of P<sub>PM<sub>2.5</sub>-heating</sub> in suburb (29 %) was higher than that in urban area (27 %) (Fig.  
393 4). Note that the mean concentration of PM<sub>2.5</sub> in urban area was up to 243 µg/m<sup>3</sup> during the CAHP,  
394 which showed an increasing tendency, and the P<sub>PM<sub>2.5</sub>-action</sub> value was -15 % (Fig. 4), likely due to  
395 the unfavorably meteorological conditions such as lower wind speed (0.4 m/s) and lower height of  
396 mixed layer (474 m), etc. (Table 1 and Fig. S7). Conversely, compared to the NCAHP, the  
397 concentrations of PM<sub>2.5</sub> in suburb (a mean of 161 µg/m<sup>3</sup>) decreased significantly during the CAHP  
398 (t-test,  $p < 0.01$ ), and the P<sub>PM<sub>2.5</sub>-action</sub> was up to 18 % (Fig. 4), indicating the control measures of PM<sub>2.5</sub>  
399 sources in suburb might be more effective than urban area. The tendency of SO<sub>2</sub> concentrations  
400 during different stages of TECA (except the ACA period) was similar to that of PM<sub>2.5</sub>. The P<sub>SO<sub>2</sub>-  
401 heating</sub> and P<sub>SO<sub>2</sub>-action</sub> values in urban area were up to 58 % and -4 %, respectively, and were up to 47 %  
402 and 8 % in suburb during the TECA period (Fig. 4). However, the concentrations of SO<sub>2</sub> in urban  
403 area and suburb decreased remarkably during the ACA compared to the CAHP (t-test,  $p < 0.01$ ),  
404 probably due to the effective control measures.

405 During the NCANHP, the average concentrations of PM<sub>10</sub> in urban area and suburb were 280  
406 and 242 µg/m<sup>3</sup>, respectively. Then, the mean increased concentrations in urban area and suburb  
407 were up to 65 and 64 µg/m<sup>3</sup> during the NCAHP, which were comparable with each other.  
408 Nevertheless, the mean P<sub>PM<sub>10</sub>-heating</sub> value in suburb was higher (26 %) than that in urban area (23 %)  
409 (Fig. 4). During the CAHP, the mean decreased concentration of PM<sub>10</sub> in urban area was 1 µg/m<sup>3</sup>,  
410 and apparently lower than that of suburb (36 µg/m<sup>3</sup>), as well as the mean P<sub>PM<sub>10</sub>-action</sub> values in urban  
411 area and suburb were 0.4 % and 12 %, respectively (Fig. 4). It can be seen that the control of PM<sub>10</sub>  
412 sources in suburb was more effective compared to urban area, in case of exclusion of unfavorably  
413 meteorological conditions (Table 1 and Fig. S7), probably related to more than 700 enterprises

414 closed down which mainly carried out ore mining and stone processing in suburb (Tables S1 and  
415 S5). The tendency of NO<sub>2</sub> concentrations in urban area and suburb was similar to that of PM<sub>10</sub> during  
416 different stages of TECA period. The mean P<sub>NO<sub>2</sub>-heating</sub> values in urban area and suburb were up to  
417 31 % and 34 %, respectively; while the mean P<sub>NO<sub>2</sub>-action</sub> values in urban area and suburb were up to  
418 17 % and 21 %, respectively. Note that the concentrations of CO in urban area and suburb showed  
419 an increasing tendency from the NCANHP (2.1-2.4 mg/m<sup>3</sup>) to the ACA period (5.5 mg/m<sup>3</sup>) (Fig. 3).  
420 The P<sub>CO-heating</sub> and P<sub>CO-action</sub> values in urban area were 22 % and -15 %, respectively, while those in  
421 suburb were 32 % and -20 % during the TECA period. In addition, as shown in Fig. 5, the  
422 concentrations of CO in the eastern and northern suburb in Shijiazhuang were significantly higher  
423 than those of urban areas (t-test, *p*<0.01). Note that the concentrations of O<sub>3</sub> in urban area and suburb  
424 were lower during different stages of TECA (Fig. 5). Overall, during the TECA period, the effect of  
425 control measures for atmospheric pollutants sources in suburb was better than in urban area,  
426 especially for the effect of control measures for particulate matters sources. The effect of control  
427 measures for CO was not notable during the TECA period, especially in suburb, likely due to the  
428 increasing usage of domestic coal in suburb along with the temperature decreasing (Table 1).

### 429 **3.2 Variations of chemical species in PM<sub>2.5</sub>**

430 The average concentrations of chemical species in PM<sub>2.5</sub> in Shijiazhuang during the whole  
431 sampling period are shown in Fig. 6. The annual mean concentrations of OC, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>  
432 in PM<sub>2.5</sub> were 43.1 μg/m<sup>3</sup>, 39.0 μg/m<sup>3</sup>, 33.6 μg/m<sup>3</sup> and 25.6 μg/m<sup>3</sup>, respectively, and their  
433 contributions to PM<sub>2.5</sub> were up to 23.1 %, 20.0 %, 17.3 % and 12.3 %, respectively. The annual  
434 mean concentrations of EC and Cl<sup>-</sup> were 11.7 μg/m<sup>3</sup> and 7.7 μg/m<sup>3</sup>, respectively, which accounted  
435 for 5.9 % and 4.1 % of PM<sub>2.5</sub>. Note that the annual mean concentrations of elements in PM<sub>2.5</sub> were  
436 relatively lower, which varied from 0.03 to 2.6 μg/m<sup>3</sup>, accounting for 0.02-2.4 % of PM<sub>2.5</sub>.  
437 Compared to other elements, the annual mean concentrations of Si (2.6 μg/m<sup>3</sup>) and Al (1.4 μg/m<sup>3</sup>)  
438 were relatively higher during the whole sampling period, which accounted for 2.4 % and 1.2 % of  
439 PM<sub>2.5</sub>, respectively. In this study, the annual mean concentrations of OC, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> in  
440 Shijiazhuang were clearly higher than Beijing (Gao et al., 2016), Tianjin (Wu et al., 2015), Jinan  
441 (Gao et al., 2011), Shanghai (Wang et al., 2016c), Chengdu (Tao et al., 2013b), Xian (Wang et al.,  
442 2015a), Hangzhou (Liu et al., 2015) and Heze (Liu et al., 2017a).

443 The values of P<sub>i-heating</sub> and P<sub>i-action</sub> of different chemical species in PM<sub>2.5</sub> were calculated by



444 using the Eq. (8) and (9). The variations of chemical species in PM<sub>2.5</sub> at four stages of the TECA  
445 and the values of P<sub>i-heating</sub> and P<sub>i-action</sub> in Shijiazhuang are shown in Figs. 7 and 8. Compared to the  
446 NCANHP, the concentrations of chemical species during the NCAHP showed a significantly  
447 increased tendency (t-test,  $p < 0.01$ ), the concentrations of SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, OC, EC, Si, Al, Ca<sup>2+</sup> and Mg<sup>2+</sup>  
448 increased by 7.9, 3.7, 6.7, 3.2, 1.6, 0.6, 0.4 and 0.1 µg/m<sup>3</sup>, respectively, and the P<sub>i-heating</sub> values of  
449 these species were up to 30.0 %, 40.2 %, 14.6 %, 22.1 %, 78.8 %, 63.5 %, 47.4 % and 45.9 %,  
450 respectively, during the NCAHP. As these species (i.e., SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, OC, EC, Si, Al, Ca<sup>2+</sup> and Mg<sup>2+</sup>)  
451 were closely associated with coal combustion (Cao et al., 2011; Liu et al., 2015; Liu et al., 2016;  
452 Liu et al., 2017a, b, c), therefore, coal combustion for heating in winter probably had a great impact  
453 on increasing of these chemical species in PM<sub>2.5</sub>. Furthermore, compared to the NCANHP, the  
454 concentrations of Cr, Cu, Fe, Mn, Ti, Zn and Pb increased by 0.02, 0.02, 0.34, 0.02, 0.02, 0.28 and  
455 0.07 µg/m<sup>3</sup>, respectively, and the P<sub>i-heating</sub> values of these species were 72.7 %, 33.1 %, 34.4 %,   
456 21.0 %, 45.8 %, 48.3 % and 36.2 %, respectively, during the NCAHP. The Cr, Cu, Fe, Mn, Ti, Zn  
457 and Pb were closely related to industrial sources (Liu et al., 2015; Kabala and Singh, 2001; Morishita  
458 et al., 2011; Mansha et al., 2012; Yao et al., 2016), thus, the industrial emissions might have a higher  
459 influence on PM<sub>2.5</sub> during the NCAHP than that during the NCANHP. Also, it might be closely  
460 associated with the unfavorably meteorological factors (Table 1 and Fig. S7).

461 Compared to the NCAHP, the concentrations of SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, OC and EC during the CAHP  
462 increased by 16.8, 0.3, 19.8 and 14.6 µg/m<sup>3</sup>, respectively, and the P<sub>i-action</sub> values of which were up  
463 to -48.8 %, -2.0 %, -37.3 % and -83.0 %, respectively, during the CAHP. As coal combustion was  
464 an important source of SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, OC and EC (Cao et al., 2011; Liu et al., 2015; Liu et al., 2016;  
465 Liu et al., 2017a, b, c), so it can be inferred that the influence of coal combustion might increase  
466 apparently during the CAHP compared to the NCAHP, which was likely due to the increased usage  
467 of the coal for domestic heating with the reduction of temperature during winter (Table 1).  
468 Additionally, unfavorably meteorological conditions during the CAHP can have an offset effect on  
469 the control measures for coal-combustion sources. As also Fig. 5 shown that the concentrations of  
470 CO during the CAHP were higher than those during the NCAHP, especially in rural areas.  
471 Furthermore, OC and EC were associated with the vehicle exhaust (Liu et al., 2016; Liu et al., 2017a,  
472 b), thus, the effect of motor vehicle management and control measures during the CAHP might be  
473 offset by the unfavorably meteorological conditions to some extent during the CAHP (Table 1 and

474 Fig. S7). However, compared to the NCAHP, the concentrations of Si, Al, Ca<sup>2+</sup> and Mg<sup>2+</sup> during the  
475 CAHP decreased by 1.1, 0.1, 0.6 and 0.1 µg/m<sup>3</sup>, respectively, and the P<sub>1-action</sub> values of which were  
476 up to 30.3 %, 4.5 %, 47.0 % and 45.2 %, respectively. As Si, Al, Ca<sup>2+</sup> and Mg<sup>2+</sup> were mainly  
477 originated from the crustal dust (Liu et al., 2016; Shen et al., 2010; Wang et al., 2015a; Yang et al.,  
478 2016b), therefore, the influence of crustal dust on PM<sub>2.5</sub> during the CAHP might decrease clearly  
479 compared to the NCAHP. That's closely related to the control measures of inhabitation of dust  
480 emission during the TECA period (as shown in section 2.5). In general, from the view of the  
481 variation of PM<sub>2.5</sub> speciation, there was no doubt that the TECA had a certain positive environmental  
482 effect on the improvement of air quality. However, the ambient pollutant concentration was  
483 impacted by not only the emission sources, but also the meteorological conditions, regional  
484 background level and distant transportation, it was understandable that the concentration of CO had  
485 a “rebound” effect during the CAHP as the height of mixing layer was only 474 m and a low wind  
486 speed of 0.4 m/s.

### 487 **3.3 Variations of PM<sub>2.5</sub> sources contributions**

488 The filter membrane samples of PM<sub>2.5</sub> were collected in three sites (LQ, LC and TSMS) in  
489 Shijiazhuang from November 24, 2015 to January 9, 2017, and source apportionment was carried  
490 out by using EPA PMF 5.0, as well as five factors were identified during the period (Figs. 9 and 10).  
491 The chemical profile of factor 1 was mainly represented by Si (72.3 %), Ca<sup>2+</sup> (74.0 %), Mg<sup>2+</sup> (43.9 %)  
492 and Al (71.3 %), which were derived mainly from crustal dust (Liu et al., 2016; Shen et al., 2010;  
493 Wang et al., 2015a). Thus, factor 1 was viewed as crustal dust. The contribution proportions of factor  
494 1 to PM<sub>2.5</sub> decreased from 19.5 % (38.5 µg/m<sup>3</sup>) during the WY, 18.7 % (42.1 µg/m<sup>3</sup>) during the  
495 NCANHP, 16.9 % (48.0 µg/m<sup>3</sup>) during the NCAHP to 15.0 % (40.3 µg/m<sup>3</sup>) during the CAHP, and  
496 increased up to 16.3 % (48.3 µg/m<sup>3</sup>) during the ACA. The main species of factor 2 were SO<sub>4</sub><sup>2-</sup>  
497 (53.9 %), NO<sub>3</sub><sup>-</sup> (89.8 %) and NH<sub>4</sub><sup>+</sup> (75.0 %). Therefore, it was easily identified as secondary sources  
498 (Liu et al., 2015, 2016, 2017a; Santacatalina et al., 2010; Srimuruganandam and Nagendra, 2012).  
499 The contribution proportions of factor 2 to PM<sub>2.5</sub> ranged from 29.5 % (66.4 µg/m<sup>3</sup>) during the  
500 NCANHP, 30.8 % (87.9 µg/m<sup>3</sup>) during the NCAHP, 31.6 % (84.8 µg/m<sup>3</sup>) during the CAHP to 32.7 %  
501 (64.6 µg/m<sup>3</sup>) during the WY, and decreased to 28.8 % (85.2 µg/m<sup>3</sup>) during the ACA. Factor 3 was  
502 represented by the relatively high loadings of OC (55.9 %), EC (70.9 %), Cu (26.9 %) and Zn  
503 (26.5 %). Given that the OC and EC are generally predominant in the reported source profile of

504 vehicle exhaust (Liu et al., 2016, 2017a; Yao et al., 2016), and Zn is widely used as an additive for  
505 lubricant in two-stroke engines, and Cu is closely associated with brake wear (Begum et al., 2004;  
506 Canha et al., 2012; Lin et al., 2015; Liu et al., 2017a; Shafer et al., 2012). Therefore, factor 3 was  
507 identified as vehicle emissions. The contribution proportions of factor 3 to  $PM_{2.5}$  decreased from  
508 14.2 % ( $32.0 \mu\text{g}/\text{m}^3$ ) during the NCANHP, 13.4 % ( $26.4 \mu\text{g}/\text{m}^3$ ) during the WY, 13.3 % ( $37.8 \mu\text{g}/\text{m}^3$ )  
509 during the NCAHP to 10.6 % ( $28.5 \mu\text{g}/\text{m}^3$ ) during the CAHP, and increased to 14.1 % ( $41.7 \mu\text{g}/\text{m}^3$ )  
510 during the ACA. Factor 4 was characterized by the high contributions of  $\text{Ca}^{2+}$  (26.0 %),  $\text{Mg}^{2+}$   
511 (31.0 %), Si (13.3 %), As (84.9 %),  $\text{Cl}^-$  (38.6 %), OC (20.2 %) and  $\text{SO}_4^{2-}$  (26.7 %), and the  
512 combination of these species in factor 4 inferred they were co-emission from coal combustion (Cao  
513 et al., 2011; Liu et al., 2015, 2016, 2017a,c; Zhang et al., 2011). Therefore, factor 4 was identified  
514 as coal combustion. The contribution proportions of factor 4 to  $PM_{2.5}$  increased from 26.2 % ( $51.7$   
515  $\mu\text{g}/\text{m}^3$ ) during the WY, 28.0 % ( $63.2 \mu\text{g}/\text{m}^3$ ) during the NCANHP, 29.5 % ( $84.0 \mu\text{g}/\text{m}^3$ ) during the  
516 NCAHP to 31.7 % ( $85.2 \mu\text{g}/\text{m}^3$ ) during the CAHP, and lightly increased to 32.6 % ( $96.3 \mu\text{g}/\text{m}^3$ )  
517 during the ACA. Factor 5 was identified as industrial emissions, with high loadings of Cr (66.7 %),  
518 Cu (63.7 %), Fe (83.2 %), Mn (51.3 %), Ti (70.0 %), Zn (69.2 %), Pb (42.1 %) and  $\text{Cl}^-$  (41.0 %)  
519 (Almeida et al., 2015; Liu et al., 2015, 2016; Morishita et al., 2011; Mansha et al., 2012; Yao et al.,  
520 2016). The contribution proportions of factor 5 to  $PM_{2.5}$  ranged from 5.0 % ( $11.3 \mu\text{g}/\text{m}^3$ ) during the  
521 NCANHP, 5.1 % ( $10.0 \mu\text{g}/\text{m}^3$ ) during the WY to 5.9 % ( $16.7 \mu\text{g}/\text{m}^3$ ) during the NCAHP, and  
522 decreased to 5.3 % ( $14.2 \mu\text{g}/\text{m}^3$ ) during the CAHP and 4.9 % ( $14.4 \mu\text{g}/\text{m}^3$ ) during the ACA. Note  
523 that the contribution of industrial emissions to  $PM_{2.5}$  was relatively lower than other sources (Fig.  
524 10).

525 In general, crustal dust, secondary sources, vehicle emissions, coal combustion and industrial  
526 emissions were identified as  $PM_{2.5}$  sources in Shijiazhuang (Fig. 9). Compared to the WY and  
527 NCANHP, the contribution concentrations and proportions of coal combustion to  $PM_{2.5}$  increased  
528 significantly during other stages of TECA period (Fig. 10), which was closely associated with the  
529 coal heating for cold winter (Liu et al., 2016), and the unfavorably meteorological conditions (Table  
530 1 and Fig. S7). The contribution concentrations and proportions of crustal dust and vehicle  
531 emissions to  $PM_{2.5}$  decreased apparently during the CAHP compared to other stages of TECA period  
532 (Fig. 10). It indicated that the control effects of motor vehicles and crustal dust were remarkable  
533 during the CAHP, even under unfavorably meteorological conditions (Table 1), and the results were

534 consistent with the above analysis. The contribution proportions of secondary sources to PM<sub>2.5</sub>  
535 during the CAHP showed little change compared to other stages of TECA period (Fig. 10). However,  
536 compared to the WY and NCANHP, the contribution concentrations of secondary sources to PM<sub>2.5</sub>  
537 increased significantly during the NCAHP, CAHP and the ACA (Fig. 10), likely due to high  
538 concentrations of gaseous precursors (i.e., SO<sub>2</sub> and NO<sub>2</sub>) (Fig. 5), unfavorably meteorological  
539 conditions (Table 1), and frequent hazy events during these periods, when there were significant  
540 secondary reactions (Han et al., 2014; Li et al., 2016a). In addition, it also illustrated that the  
541 discharge of atmospheric pollutants might be still enormous even under such strict control measures.  
542 Note that the contribution concentrations and proportions of industrial emissions to PM<sub>2.5</sub> during  
543 the CAHP decreased apparently compared to the NCAHP (Fig. 10), indicating that the control of  
544 industrial emissions was also effective during the CAHP.

545       Chen et al. (2016b) reported that the concentrations of particles during the 2014 Youth Olympic  
546 Games (YOG) period (August) were much lower than before-Games period (July) and after-Games  
547 period (September); and fugitive dusts, construction dusts and secondary sulfate aerosol decreased  
548 obviously in YOG, which means mitigation measures have played an effective role in reduction of  
549 particulate matter. Wang et al. (2017) found that the contributions of vehicles, industrial sources,  
550 fugitive dust, and other sources decreased 13.5-14.7 %, 10.7-11.2 %, 4.5-5.6 % and 1.7-2.7 %,  
551 respectively, during the Asia-Pacific Economic Cooperation (APEC) and China's Grand Military  
552 Parade (CGMP), compared to the period before the control actions. Guo et al. (2013) found that  
553 primary vehicle contributions were reduced by 30 % at the urban site and 24 % at the rural site,  
554 compared with the non-controlled period before the Beijing 2008 Olympics. The reductions in coal  
555 combustion contributions were 57 % at PKU site and 7 % at Yufa site. As we can see that these  
556 control-actions of the strict measures taken for emission sources during the international events held  
557 in China, including the TECA in Shijiazhuang, were all very important practical exercises and rarely  
558 scientific experiments. However, it cannot be advocated as the normalized control measures for  
559 atmospheric pollution in China. These strict measures taken during these periods are temporary, and  
560 there is a normal recovery of all the emissions of sources after the operation. Once adverse weather  
561 conditions occur, and the hazy events may continue to happen eventually. In short, the direct cause  
562 of the severe atmospheric pollution in China is that the emission of pollutants beyond the air  
563 environment's self-purification capacity, and the essential reason is unreasonable and unhealthy

564 pattern for economic development of China.

### 565 **3.4 Backward trajectory and PSCF analysis**

566 The backward trajectory analysis was used to identify the transport pathways of the air mass  
567 during the CAHP. In terms of the directions and travelled areas, these trajectories were divided into  
568 the five groups (Fig. 11). Trajectory clusters 1, accounting for 31.3 % of the total, originated from  
569 Shanxi province and passed over North of Hebei before arriving at Shijiazhuang. Trajectory cluster  
570 1 reflected the features of small-scale, short-distance air mass transport (Fig. 11). The higher  
571 concentrations of PM<sub>10</sub> (358 µg/m<sup>3</sup>), PM<sub>2.5</sub> (237 µg/m<sup>3</sup>) and CO (3.9 mg/m<sup>3</sup>) might be due to the  
572 variety of emission sources and the accumulation of pollutants from surrounding areas, since the  
573 moving speed of air mass in cluster 1 was much lower than other trajectories (Fig. 11 and Table 2).  
574 Trajectory cluster 2, 3 and 4 accounted for 58.0 % of the total trajectories, and began from the  
575 northwest of China, passed through the Inner Mongolia and Shanxi, showing the features of large-  
576 scale, long-distance air mass transport. The relative lower concentrations of PM<sub>10</sub> (189-290 µg/m<sup>3</sup>),  
577 PM<sub>2.5</sub> (119-181 µg/m<sup>3</sup>), SO<sub>2</sub> (50-67 µg/m<sup>3</sup>), NO<sub>2</sub> (58-78 µg/m<sup>3</sup>) and CO (2.1-3.0 mg/m<sup>3</sup>) were  
578 closely associated with high moving speed of air mass (Fig. 11 and Table 2), and relatively less  
579 anthropogenic emission sources in the northwest of China. Trajectory cluster 5 was mainly  
580 originated from Ningxia province, passed over Shaanxi, Shanxi and Hebei before arriving at  
581 Shijiazhuang, accounting for 10.8 % of the total trajectories, showing the features of small-scale,  
582 short-distance air mass transport, and significantly elevated levels of PM<sub>10</sub> (451 µg/m<sup>3</sup>), PM<sub>2.5</sub> (303  
583 µg/m<sup>3</sup>), SO<sub>2</sub> (83 µg/m<sup>3</sup>), NO<sub>2</sub> (104 µg/m<sup>3</sup>) and CO (4.8 mg/m<sup>3</sup>) with trajectory cluster 5 might be  
584 associated with the sources and the accumulation of pollutants from surrounding areas. As well  
585 known that the Beijing-Tianjin-Hebei region was one of the severest polluted areas in China (Bi et  
586 al., 2014; Chen et al., 2013; Gu et al., 2011; Wang et al., 2014; Zhao et al., 2012), it might be an  
587 important reason why the concentrations of atmospheric pollutants were higher with trajectory  
588 clusters 1 and 5 (Fig. 11 and Table 2).

589 In this study, PSCF model was used to analyze the potential sources-areas of atmospheric  
590 pollutants by combining backward trajectories and the concentrations of atmospheric pollutants in  
591 Shijiazhuang during the CAHP, and the results were shown in Fig. 12. The values of weighted  
592 potential source contribution function (WPSCF) of CO were higher in the north of Shaanxi, south  
593 of Shanxi and central and southern Inner Mongolia, which were mainly potential sources-areas of

594 CO concentrations in Shijiazhuang (Fig. 12 (a)). The WPSCF values of NO<sub>2</sub> were higher in north  
595 of Henan and Shaanxi, Hebei, Shanxi, and central and southern Inner Mongolia, which were mainly  
596 potential sources-areas of NO<sub>2</sub> concentrations in Shijiazhuang (Fig. 12 (b)). The WPSCF values of  
597 O<sub>3</sub> and SO<sub>2</sub> were higher in the north of Henan and Shaanxi, Shanxi, and south of Hebei, which were  
598 distinguished as major potential sources-areas of O<sub>3</sub> and SO<sub>2</sub> concentrations in Shijiazhuang (Fig.  
599 12 (c) and (d)). Moreover, the southwest of Shandong was also identified as mainly potential  
600 sources-areas of SO<sub>2</sub> concentrations in Shijiazhuang. As for PM<sub>2.5</sub> and PM<sub>10</sub>, the WPSCF values  
601 were higher in south of Hebei, and east of Shanxi, which were identified as mainly potential sources-  
602 areas of PM<sub>2.5</sub> and PM<sub>10</sub> concentrations in Shijiazhuang (Fig. 12 (e) and (f)). Overall, the potential  
603 sources-areas of the atmospheric pollutants in Shijiazhuang mainly concentrated in the surrounding  
604 regions of Shijiazhuang, including south of Hebei, north of Henan and Shanxi. Previous studies also  
605 reported that Shanxi, Hebei and Henan provinces had serious air pollution problems (Feng et al.,  
606 2016; Kong et al., 2013; Meng et al., 2016; Zhu et al., 2011), revealing the regional nature of the  
607 atmospheric pollution in Northern Plain of China. Therefore, there is an urgent need for making  
608 cross-boundary control policy except for local control-measures given the high background level of  
609 pollutants.

#### 610 **4 Conclusions**

611 The control measures of atmospheric pollution in Shijiazhuang were effective and was in a right  
612 direction. Under unfavorably meteorological conditions, the mean concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>,  
613 SO<sub>2</sub>, NO<sub>2</sub>, and chemical species (Si, Al, Ca<sup>2+</sup>, Mg<sup>2+</sup>) in PM<sub>2.5</sub> during the CAHP significantly  
614 decreased compared to the NCAHP. Overall, the effects of control measures in suburbs were better  
615 than in urban area, especially for the effects of control measures for particulate matter sources. The  
616 effects of control measures for CO emission sources were not apparent during the CAHP, especially  
617 in suburbs.

618 The pollutant's emission sources during the CAHP were in effective control, especially for  
619 crustal dust and vehicles. While the necessary coal heating for cold winter and the unfavorably  
620 meteorological conditions had an offset effect on the control measures for emission sources to some  
621 extent. The discharge of pollutants might be still enormous even under such strict control measures.

622 The backward trajectory and PSCF analysis in the light of atmospheric pollutants suggested  
623 that the potential sources-areas mainly concentrated in surrounding regions of Shijiazhuang, i.e.,

624 south of Hebei, north of Henan and Shanxi. The regional nature of the atmospheric pollution in  
625 Northern China Plain revealed that there is an urgent need for making cross-boundary control policy  
626 except for local control-measures given the high background level of pollutants.

627 The TECA is an important practical exercise but it can't be advocated as the normalized control  
628 measures for atmospheric pollution in China. The direct cause of atmospheric pollution in China is  
629 the emission of pollutants exceeds the air environment's self-purification capacity, and the essential  
630 reason is unreasonable and unhealthy pattern for economic development of China.

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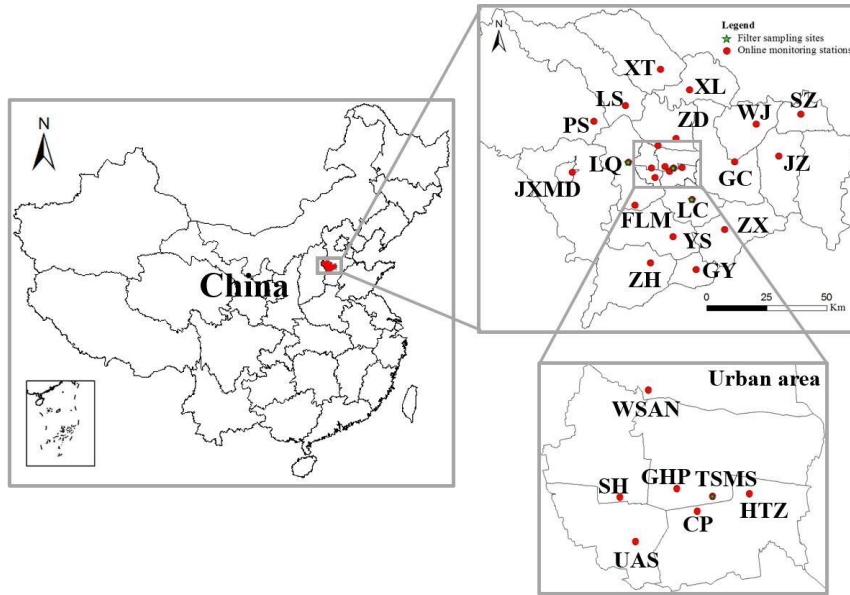
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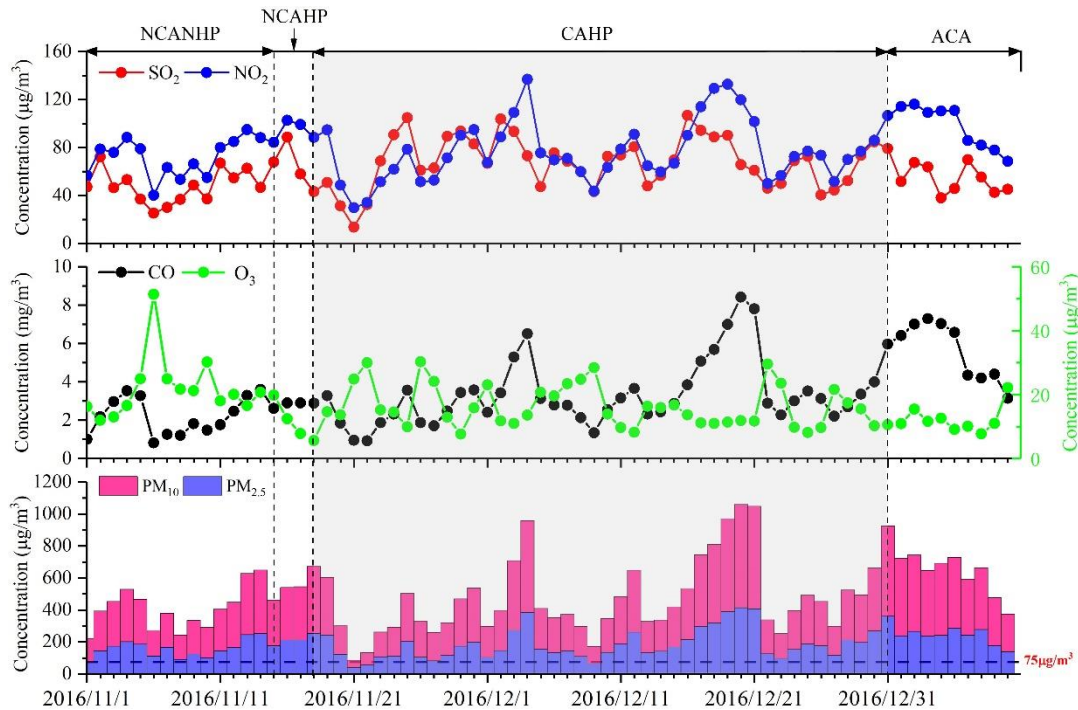
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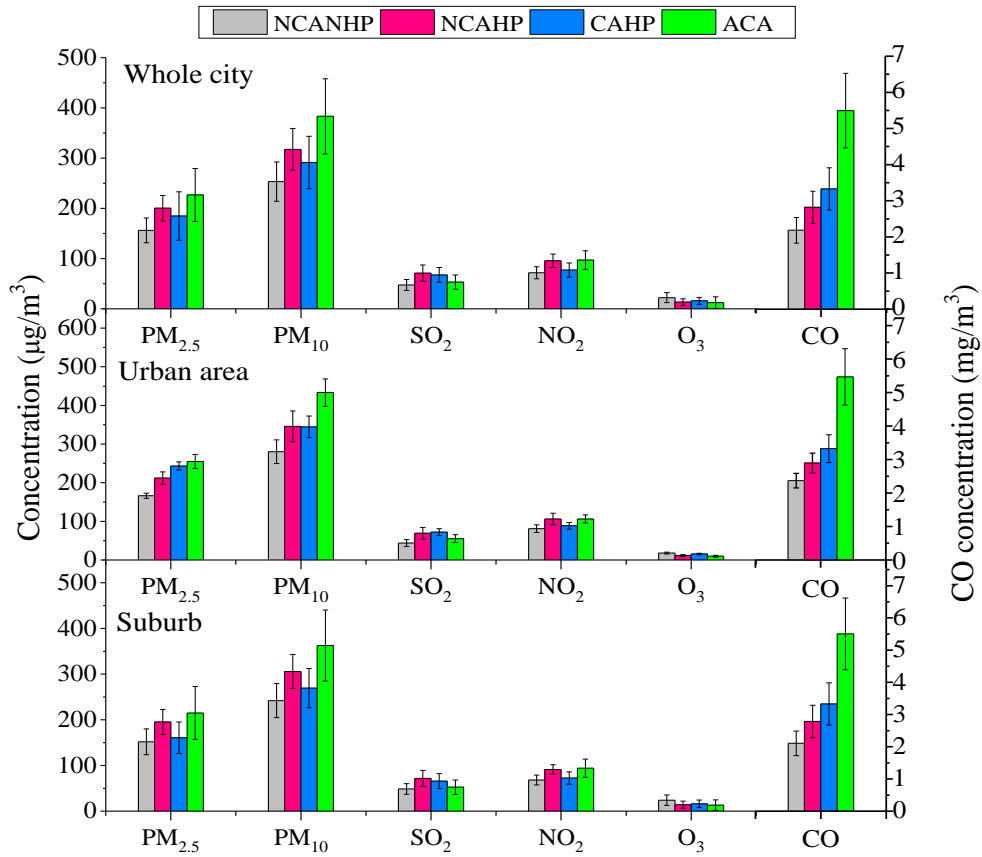
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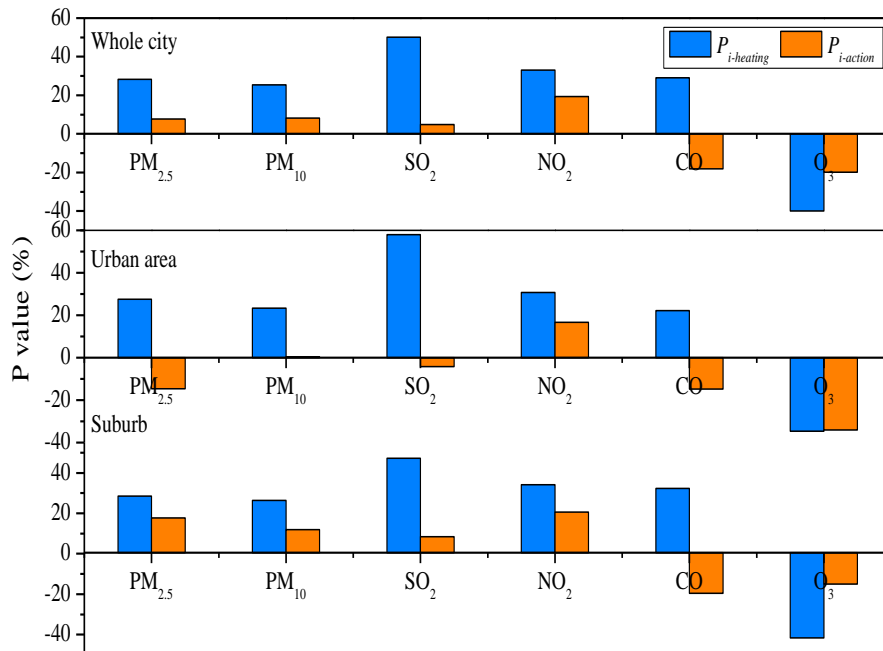
**Figure 1.** Maps of the online monitoring stations and the filter membrane sampling sites in Shijiazhuang. The 24 online monitoring stations mainly include Twenty-second Middle School (TSMS), Fenglong Mountain (FLM), High-tech Zone (HTZ), Great Hall of the People (GHP), Century Park (CP), Water Source Area in the Northwest (WSAN), University Area in the Southwest (UAS), Staff Hospital (SH), Gaoyi (GY), Gaocheng (GC), Xingtang (XT), Jinzhou (JZ), Jingxing Mining District (JXMD), Lingshou (LS), Luquan (LQ), Luancheng (LC), Pingshan (PS), Shenze (SZ), Wuji (WJ), Xinle (XL), Yuanshi (YS), Zhanhuang (ZH), Zhaoxian (ZX) and Zhengding (ZD). The filter membrane sampling sites are mainly located in TSMS, LQ and LC.



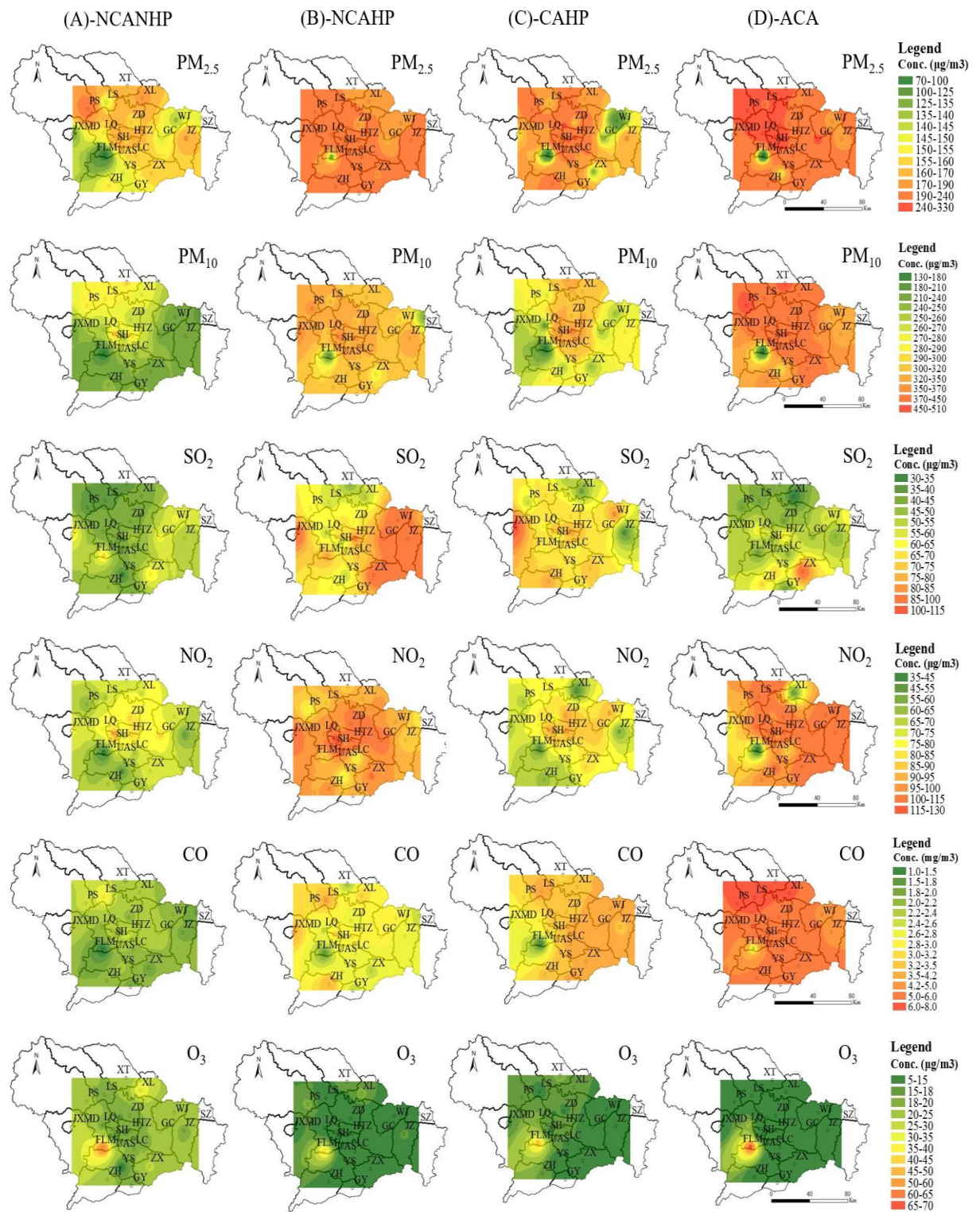
**Figure 2.** The variations of atmospheric pollutant concentrations during the four stages (NCANHP, NCAHP, CAHP and ACA) of the TECA period in Shijiazhuang.



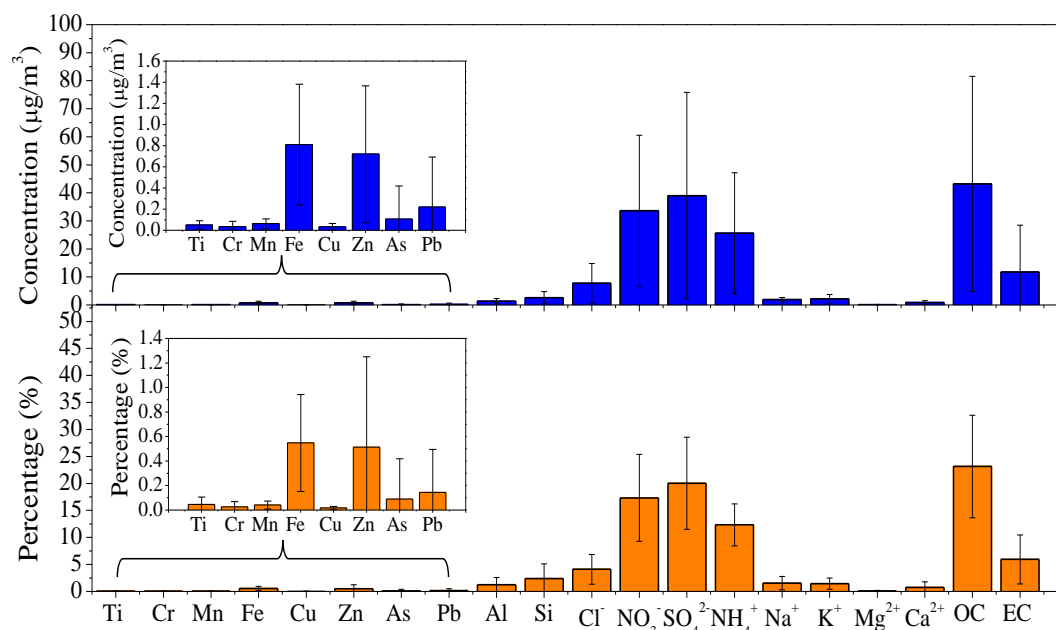
**Figure 3.** The concentrations variations of PM<sub>2.5</sub>, PM<sub>10</sub> and gaseous pollutants during the four stages (NCANHP, NCAHP, CAHP and ACA) of the TECA period in Shijiazhuang. Error bar represented standard deviation.



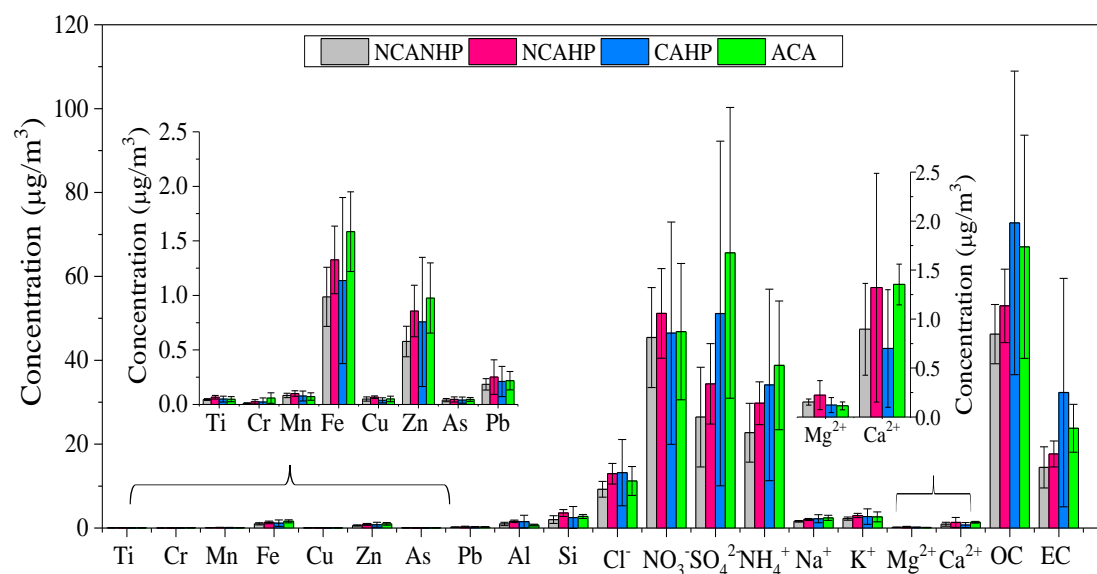
**Figure 4.** The P<sub>i-heating</sub> and P<sub>i-action</sub> of PM<sub>2.5</sub>, PM<sub>10</sub> and gaseous pollutants (SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub>) calculated by equation (8) and (9) in urban area and suburb in Shijiazhuang.



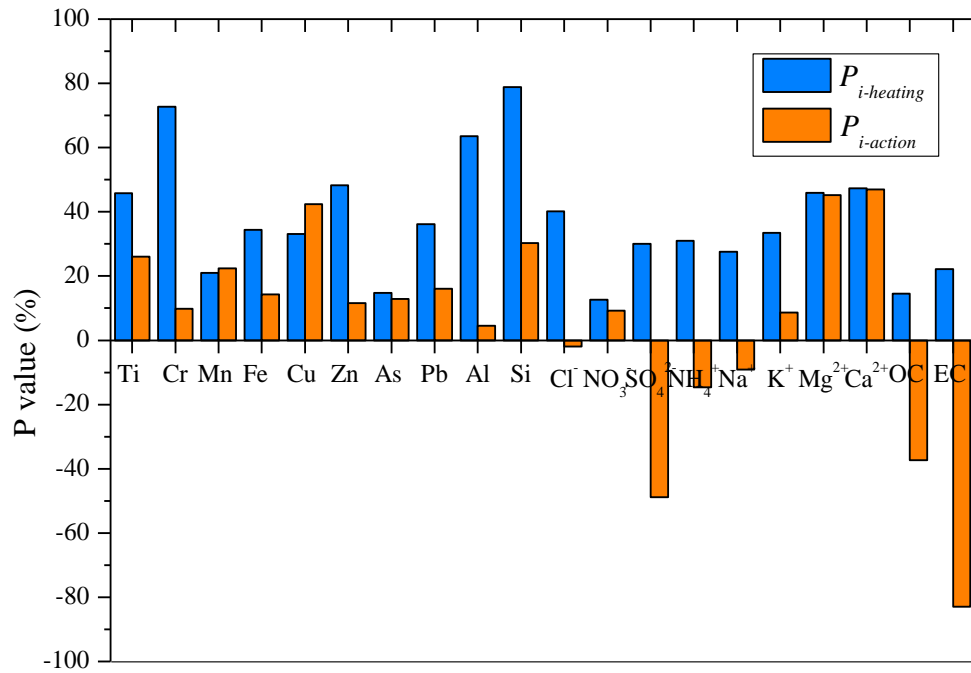
**Figure 5.** The spatial variations of atmospheric pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub>) during the four stages (NCANHP, NCAHP, CAHP and ACA) of the TECA period in Shijiazhuang. The pictures were produced by ArcGIS based kriging interpolation method.



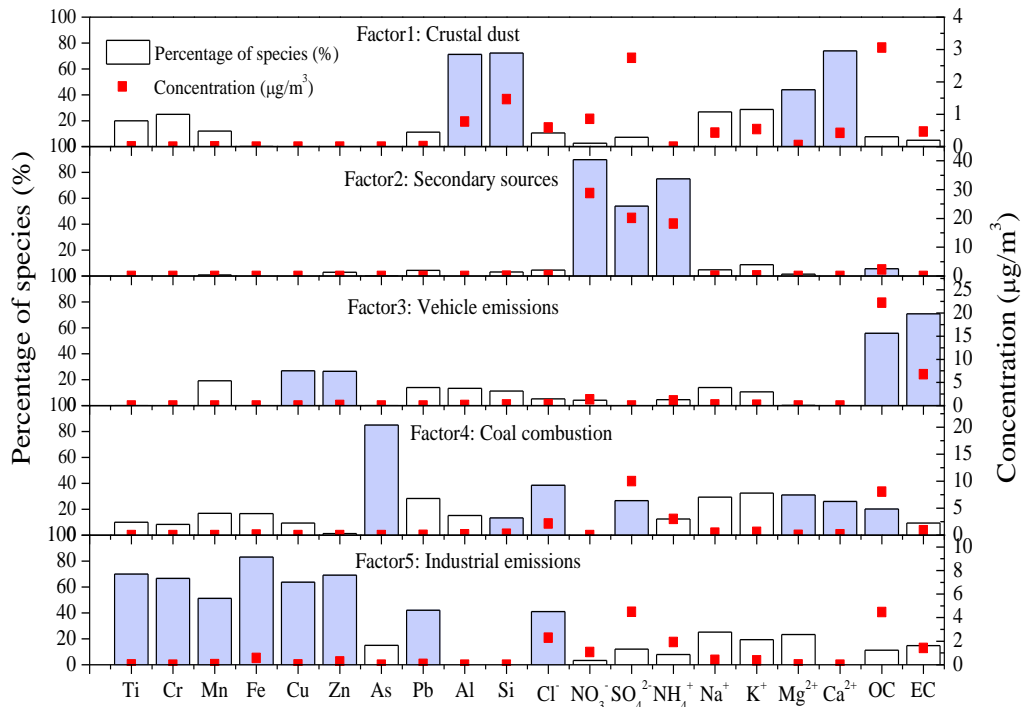
**Figure 6.** The average concentrations and percentages of chemical species in PM<sub>2.5</sub> in Shijiazhuang during the whole sampling period: November 24, 2015 to January 9, 2017. Error bar represented standard deviation.



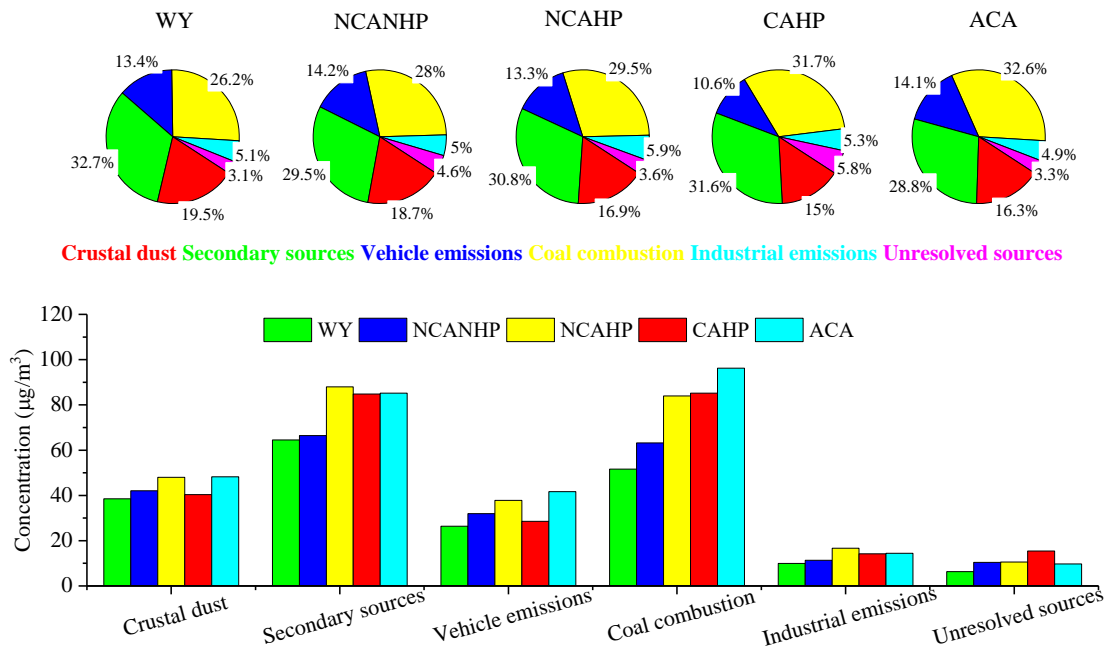
**Figure 7.** The variations of chemical species in PM<sub>2.5</sub> during the four stages (NCANHP, NCAHP, CAHP and ACA) of the TECA period. Error bar represented standard deviation.



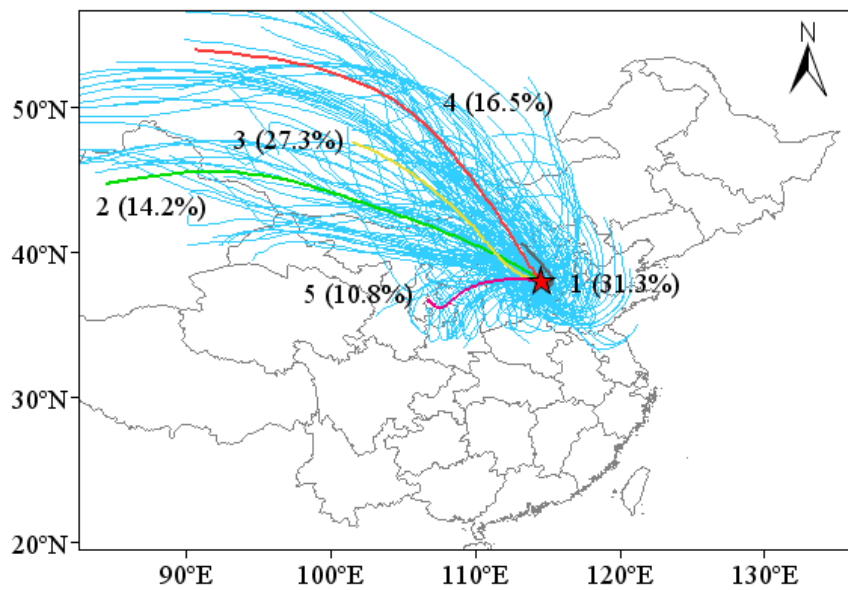
**Figure 8.** The  $P_{i\text{-heating}}$  and  $P_{i\text{-action}}$  of chemical species in  $PM_{2.5}$  during the TECA period in Shijiazhuang.



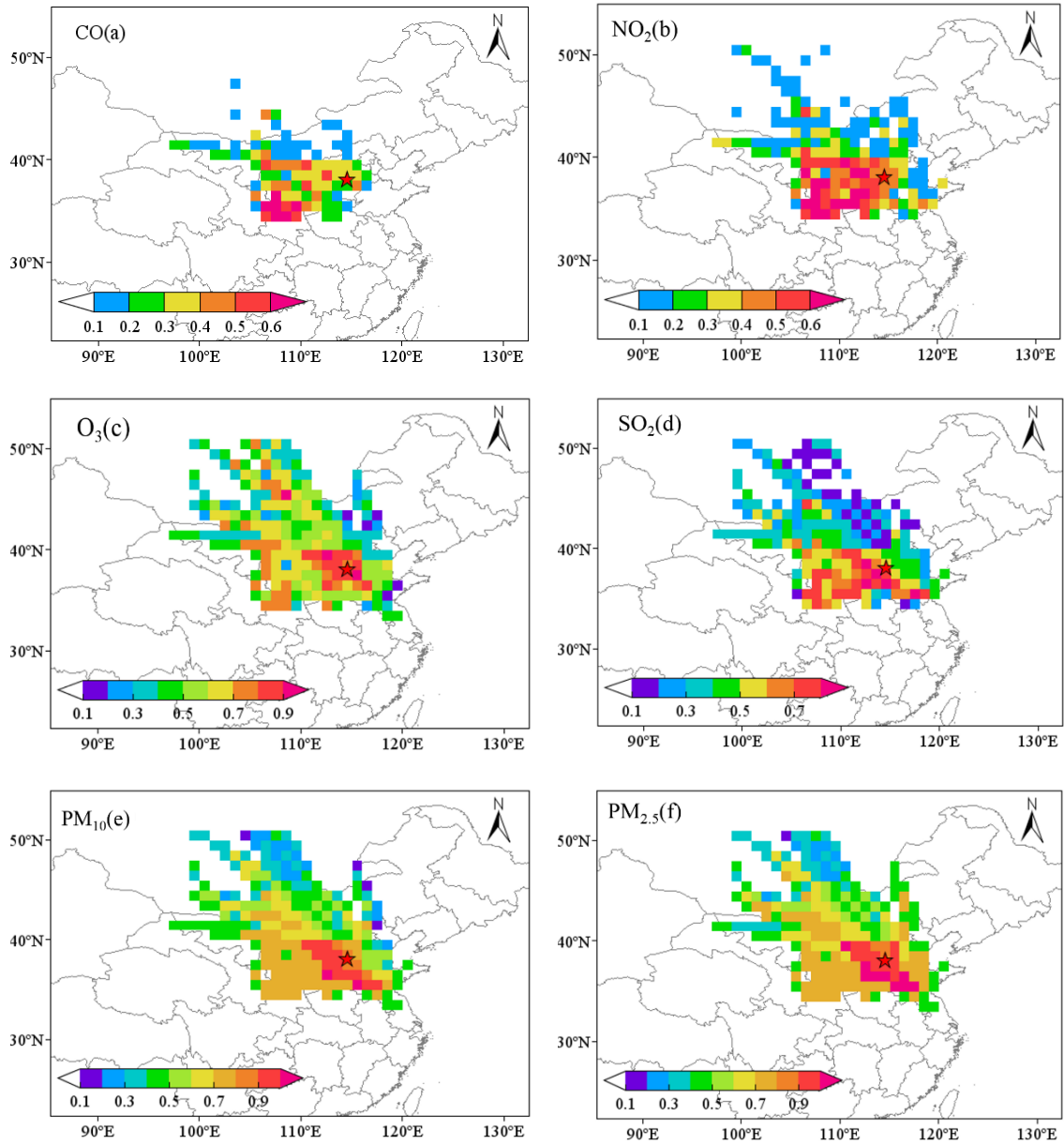
**Figure 9.** Source profiles obtained with the PMF for  $PM_{2.5}$ . Filled bars identify the species that mainly characterize each factor profile.



**Figure 10.** Source contributions of PM<sub>2.5</sub> during different stages in Shijiazhuang. WY represents whole year: November 24, 2015 to January 9, 2017.



**Figure 11.** Five clusters of the 72-h air mass backward trajectories during the CAHP. Red star represents Shijiazhuang city.



**Figure 12.** Potential sources areas of atmospheric pollutants obtained from PSCF model during the CAHP. Red star represents Shijiazhuang city. The colors represent potential sources-areas influenced on the atmospheric pollutants, and the red color could be determined to be relatively important sources-areas while the blue color means unimportant potential sources-areas.



**Table 1.** The meteorological conditions during the four stages (NCANHP, NCAHP, CAHP and ACA) of the TECA period in Shijiazhuang.

	NCANHP		NCAHP		CAHP		ACA	
	Ave.	S.D.	Ave.	S.D.	Ave.	S.D.	Ave.	S.D.
Temperature (°C)	8.4	3.6	7.4	2.4	3.1	3.8	0.7	2.7
Relative humidity (%)	77.7	17.0	73.4	15.7	71.5	18.0	83.3	18.1
Wind speed (m/s)	0.7	1.2	0.6	0.6	0.4	1.0	0.5	1.1
Height of mixed layer (m)	540	144	590	274	474	299	431	360

Ave. represents average value, S.D. represents standard deviation. NCANHP represents the no control action and no heating period, NCAHP represents the no control action and heating period, CAHP represents the control action and heating period, and ACA represents after control action.

**Table 2.** The average concentrations of atmospheric pollutants in different clusters during the CAHP.

Clusters	Probability of occurrence (%)	Atmospheric Pollutants ( $\mu\text{g}/\text{m}^3$ )					
		SO <sub>2</sub>	NO <sub>2</sub>	O <sub>3</sub>	CO(mg/m <sup>3</sup> )	PM <sub>10</sub>	PM <sub>2.5</sub>
1	31.3	68	88	14	3.9	358	237
2	14.2	67	78	24	3.0	290	181
3	27.3	65	69	20	2.8	232	152
4	16.5	50	58	27	2.1	189	119
5	10.8	83	104	16	4.8	451	303

CAHP represents the control action and heating period.