

We thank the reviewer for the encouraging words and for the helpful comments which improved the manuscript noticeably. By adding some more explanations and hints from a person not involved in the manuscript preparation enhanced the understanding for the reader.

The replies of the reviewer comments are given in the following manner: Reviewer comments are printed in bold, are labeled, and are listed in the beginning of each answer. The reviewer comments are followed by the author comments and revised parts of the paper. The revised parts of the paper are written in quotation marks and italic letters.

Comments:

- 1. In general, I don't see a clear connection between the sensitivity analysis presented in Section 2 and the results and discussion presented in Section 4. Some paragraphs or Sentences explicitly linking the two sections where necessary would be appropriate.**

The reviewer is right, the connection between the sensitivity study and the application to airborne measurements was not exactly pointed out. This might have been caused by relative high optical thickness of the cirrus selected for the case study. The choice of this case is now justified in the manuscript. The cirrus ranges in the range of $\tau < 1$ where sideward viewing observations are more sensitive compared to nadir observations. The following passages have been added and linking sentences and references were added to the corresponding sections 2 and 4 to show the linkage between simulations and measurements. Additionally, in several parts of Section 4 and 5 the revised manuscript now refers to the results of the sensitivity study and highlights the differences between the two viewing geometries.

"The RTS suggest that sideward viewing observations at near IR wavelengths ($\lambda > 900$ nm) are more suitable for the detection of SVC and cirrus. As a result the retrieval in Section 4 is performed at 1180 nm and 1600 nm wavelength in the IR region which are sensitive to tau and reff and not disturbed by Rayleigh scattering."

"Considering these findings, the retrieval of tau in Section 4 is performed for $\Theta_v \leq 60^\circ$ only."

"The sensitivity studies in Section 2.4 suggest that a combination of nadir and sideward viewing measurements allow a retrieval of tau for wide range of cirrus clouds depending on the observation conditions. For thin clouds the sideward viewing geometry would be preferred. In case the cloud becomes optically too thick, leading to high upward $I_{S,1180}^V$ and a saturation of ϵ_v , no retrievals of tau are possible. Then, switching to nadir observations of $I_{S,1180}^N$ still enables to determine the amount of reflected radiation and to retrieve τ ."

"Referring to the sensitivity studies from Section 2 the influence of alpha and the ice crystal shape effects on the upward I measured in nadir geometry is larger compared to the sideward viewing measurements. While nadir observations, especially of optical thin clouds, are strongly influenced by α , sideward viewing observations are less effected. This is demonstrated in this case study where the sea surface albedo may vary due to different

surface wind speeds (Cox and Munk, 1954) and indicates the advantage of sideward viewing measurements.”

“The retrieval using mini-DOAS sideward channels is also successful demonstrated for a reduced set of observations limited to θ_v between 85° and 90° . Differences in τ range up to ± 0.73 between SMART and mini-DOAS sideward viewing observations and are partly caused by the different viewing geometries. First, the sideward telescopes view into starboard direction, probing the cirrus cloud top at approximately 8000 m aside the flight track. Second, the nadir observations may suffer from uncertainties in α while the sideward observations are less effected by changes in α . Even for sea surfaces as presented here, alpha may change due to different wind speeds. Other potential reasons are the assumed ice crystal shapes in the RTS and different field-of-view of the passive and active remote sensing instruments. This conclusion is apparent from different probability distributions. While SMART and mini-DOAS show a median around $\tau=0.4$, the median for WALES is shifted to lower τ around 0.2, indicating that WALES observed small τ more frequently. The difference of mean values of τ between mini-DOAS sideward channels and WALES is smaller with ± 0.05 (15.6%). This shows the advantage of the sideward viewing retrieval due to a reduced surface influence and lower retrieval uncertainty, because of high ϵ_τ compared to the nadir measurements.”

- 2. Abstract, lines 17-18. The simulations indicate that off-nadir measurements are more adequate to retrieve τ of thin clouds, but that is not observed in the retrievals from the aircraft measurements presented here (at least in the way they are currently presented). Please, rephrase.**

This is right, in the original manuscript the focus of the discussion was more on the discrepancy between nadir and WALES measurements rather than highlighting the good agreement of sideward viewing observations and WALES. In the revised manuscript, the view of the reader is now more shifted to this good agreement, what indeed reflects the results from the sensitivity study:

“The mean τ inferred from the mini-DOAS sideward viewing observations is significantly lower than measured by SMART and mini-DOAS nadir measurements. Differences in τ range up to ± 0.73 between SMART and mini-DOAS sideward viewing observations. This may result from the different FOV of the sideward viewing geometry that does not observe the exact same clouds as SMART and nadir channels did. With the scanning sensors orientated to starboard the sideward viewing retrieval corresponds to cirrus 8 km east of the flight track. As the MODIS satellite image in Fig. 12 indicates, the cirrus becomes slightly thinner towards east, which possibly is due to the lower values of τ . Other potential reasons are the assumed ice crystal shapes for the RTS and different field-of-view of the passive and active remote sensing instruments. On the other hand, the agreement between mini-DOAS sideward observations and WALES is significantly better. The maximum difference of τ between mini-DOAS sideward channels and WALES is ± 0.25 while the difference between the mean values is ± 0.05 (15.6%). With WALES and mini-DOAS measuring in different viewing geometries but showing better agreement, the differences of τ retrieved by SMART is most likely caused by uncertainties in α . As discussed in Section 2.3, nadir observations are stronger affected by α

than sideward observations. This is confirmed by the smaller differences between WALES and mini-DOAS sideward observations and indicates the advantage of the sideward viewing retrieval due to a reduced surface influence and lower retrieval uncertainty.”

*“As indicated in 14 (b) retrieved τ from WALES and the mini-DOAS sideward viewing channels agree well confirmed by the linear regression in Fig. 14 (c) that gives a slope of $f(x) = 1.0328 * x$ close to unity. The overestimation of retrieved τ by the mini-DOAS nadir channels compared to the sideward channels is visible in Fig. 14 (d) which results in a linear fit of $f(x) = 1.642 * x$.”*

- 3. Page 2, line 19. “better quantify” instead of “quantify better”. It is not clear what you mean by “appear worthwhile”, rephrase.**

“In order to quantify the microphysical and optical properties of SVC, which are needed to determine their radiative effects, more observations of this cloud type are required.”

- 4. Page 3, line 1. Add a comma after “relevant parameters”**

Comma was added

- 5. Page 3, line 5. Elaborate more the statement “As a result, airborne remote sensing is required to bridge local in-situ and global satellite observations.”**

We rephrased this section to point out the relevance of airborne measurements in comparison to satellite and ground based observations.

“While satellite observations are suited to study the global coverage of cirrus, their spatial and temporal resolution is still limited and can not resolve the high spatial variability of cirrus. As a consequence the 3-D radiative effects of different cirrus properties, e.g., tau, ice crystal size and shape, can not be studied using the coarse resolution of satellite remote sensing. Ground-based lidar and radar remote sensing can provide a high temporal resolution but are limited to a fixed location. In-situ airborne measurements can provide cirrus properties with both.”

- 6. Page 3, line 20: “and are not routinely be used in trace gas measurements” is not clear. Please, rephrase.**

This was a wrong formulation of the sentence. The opposite is the case. We rephrased to:
“Since then, several applications based on this method were developed and are routinely be used, e.g. for trace gas measurements (Abrams et al., 1996; Wang et al., 1996; Clerbaux et al., 2003; Bourassa et al., 2005; Fu et al., 2007).”

- 7. Page 5, line 5. The use of the acronym SZA and the symbol θ_0 for the solar zenith angle is redundant. Remove the acronym.**

The acronym was replaced by the symbol.

- 8. Page 6, figure 2. In the lower part of the figure it will be more convenient to plot the relative differences normalized to the Radiance. That will help with the corresponding discussion in lines 13-16. Also, some text is missing in the figure caption.**

The reviewer is right. Using the relative instead of the absolute differences in radiance makes the difference more clear. We, therefore, changed the plot according to the reviewers suggestion. The caption was extended.

- 9. Page 6, line 3. Replace “wavelengths less. “ by “wavelengths lower...”**

Replaced.

- 10. “The RTS suggest that off-nadir observations at near infrared wavelengths ($\lambda > 900$ nm) are more suitable for the detection of SVC and cirrus.”**

Sentence is replaced by the reviewers comment.

- 11. Page 8, figure 4 and lines 9-13. Because of the different values of I under the different constraints you should consider providing the sensitivity in percentages.**

Each panel in Figure 4 was calculated for a cirrus of fixed optical thickness. Therefore, using percentages instead of absolute values would not change the presentation and only scale the values. As the plots also aim to compare the four independent cases of different τ and Θ_0 , we prefer to stick with the absolute units in order to allow such a comparison. A normalization of the individual cases would remove this information. However, to improve the readability of the plot, we changed the illustration to 1d plots instead of the original color-coded 2d plots. This will make a comparison of the values between the panels easier.

- 12. Page 9, line 2. Do you mean “thick clouds, for larger optical thickness...” here?**

Sentence has been changed.

“While sideward viewing measurements are predicted to become saturated for thick clouds, for low tau the optimal Θ_V is about $\Theta_V = 60^\circ$ with the largest ϵ_τ occurring for φ between 0° and 60° .”

- 13. Page 9, line 13. Remove “especially”**

Removed.

- 14. Page 9, line 25. You should consider include a plot with the steepest derivative (maybe a subplot in Figure 5?)**

We are not sure, what the reviewer exactly meant by this comment. Figure 5 show the linear increase of the measured upward radiance caused by an increase of the surface albedo. In all cases, the increase is almost linear and, therefore, no steepest derivative exists. Only for each case one derivative can be calculated and is given in Table 1.

15. Page 11, figure 6. Please, include a subplot with the relative differences between the different ice crystals. This will help with the discussion in lines 8-13.

We agree, that relative differences will enhance the illustration of the differences between simulations with different ice crystal shapes and added such as subplot.

16. Page 12, line 6. “were investigated”

Changed.

“...mid-latitudes were investigated in March and April...”

17. Page 12, line 11. Provide references for SMART and the calibration procedure.

The SMART instrument characteristics and the calibration procedure are given in Section 3.1. So we think there is no need to give additional reference about the calibration here. Here we only added a reference introducing SMART in general.

Wendisch, M., Müller, D., Schell, D., and Heintzenberg, J.: An airborne spectral albedometer with active horizontal stabilization, *J. Atmos. Oceanic Technol.*, 18, 1856–1866, 2001

18. Page 12, line13-14. Provide references for the mini-DOAS and the DOAS technique.

The DOAS instrument characteristics and the DOAS technique are discussed in Section 3.2. Here we only added a reference introducing the mini-DOAS in general.

Hüneke, T.: The scaling method applied to HALO measurements: Inferring absolute trace gas concentrations from airborne limb spectroscopy under all sky conditions, Ph.D. thesis, Ruperto-Carola University of Heidelberg, Germany, 2016.

19. Page 13, line 21. The symbol $ILmD$ has not been defined before. Please, define.

Thanks for finding this shortcoming. $ILmD$ is the upward radiance measured by the mini-DOAS in off-nadir direction. We rephrased to:

“...applies least square retrievals on the spectral shape of the observed upward radiance $ILmD$ by the mini-DOAS in sideward orientation...”

20. Page 14, line 26. Why are multiple scattering effects neglected?

Multiple scattering effects are not neglected. This impression might have come up due to the unclear wording. As the apparent transmission is not needed to understand the lidar method, we deleted this statement.

“Best compensation of the multiple scattering decay below the cloud is found for $r_{\text{eff}} = 35 \pm \mu\text{m}$ in good agreement with the climatological values proposed by Bozzo_2008. The mean correction factor for the data set shown in this paper was 7%.”

21. Page 16, Figure 8. Can you add the error bars to the plots? Especially to plots b and d. Idem for figure 9.

The uncertainty range has been added by shaded areas in both figures.

22. Page 19, lines 26-27. Please, elaborate the statement “These stop criteria determine the accuracy of the iterative retrieval.”

We tried to rephrase the statement and added explanations to illustrate the iteration process better.

“The iteration of tau is repeated until the change of τ_n between two iteration steps is smaller than 5% or a limit of $n > 100$ iteration steps is reached. These stop criteria determine the accuracy of the iterative retrieval. If a lower relative stop criteria (change of τ_n smaller than 5% between two iteration steps or more than 100 iteration steps) is used the iteration may come closer to the true searched value and the retrieval accuracy increases as well as the necessary iteration steps and the computational time. To limit the computational time, the second stop criteria is used to limit the maximum number of iteration steps.”

23. Page 20, lines 1-15. What happens for off-nadir observations?

Right, we missed to add the same analysis for the retrieval using the sideward observations. In the revised version these information are added and emphasize the benefits of the sideward measurements:

“Simulations show, that for $\tau = 0.5$ the difference of $I_{\text{RTS},1600}^{\text{N}}$ in nadir direction is only 0.1 mW when changing r_{eff} from 10 μm to 20 μm indicating the low sensitivity of r_{eff} retrievals at this wavelength. Therefore, a reliable retrieval of r_{eff} with reasonable accuracy is not feasible. For $I_{\text{RTS},1600}^{\text{V}}$ the difference is 1.4 $\text{mW m}^{-2} \text{sr}^{-1}$ and about a magnitude larger indicating that a retrieval of r_{eff} might be reasonable. However, in order to be consistent between both nadir and sideward viewing retrieval, r_{eff} has been fixed. A value of $r_{\text{eff}} = 30 \mu\text{m}$ was chosen, a typical value of ice crystals observed by in-situ measurements during ML-CIRRUS Voigt et al., 2016. Therefore, the influence of an invalid assumption of r_{eff} on the iterative retrieval is analyzed. For this purpose the retrieval is tested for a typical cirrus of $\tau = 0.3$ and is run with three different assumptions of r_{eff} of 20 μm , 30 μm , 40 μm , representing the uncertainty of r_{eff} . These simulations imply that the retrieved tau changes only by ± 0.02 between smallest and largest r_{eff} , resulting in a relative error in τ of 6.7%. The uncertainty in measured $I_{\text{RTS},1600}^{\text{N}}$ and $I_{\text{md},1600}^{\text{V}}$ causes a retrieval uncertainty of less than $\tau = \pm 0.2$. This justifies the fixed choice of r_{eff} in this specific cloud case.”

24. Page 21, Figure 12. Axis labels are missing.

Labels are added to the plot.

25. Page 22, lines 14-15. Are these average values obtained for the coincident measurements only? Otherwise, comparing the different values is not realistic. Especially for the DOAS off-nadir, which have a smaller temporal resolution and does not capture all the variability observed during the analyzed period.

The reviewer is right and the method to calculate the averages is now included in the manuscript.

“Average τ are calculated for the filtered time period (indicated by the grey box in Fig. 14 for each instrument. Due to different sampling intervals, a different resolution and number of observations are included in the averaging calculations.”

26. Page 23, line 3. A more in-depth analysis of the uncertainty will be useful, mainly for inter-comparison purposes between the different datasets presented in figure 14.

More detailed explanation of the error estimation is added.

“The uncertainty range of tau is determined by running the retrieval twice with a bias of measured $I_{S,1180}^N$ with $\pm 14.5\%$ uncertainty at 1180 nm wavelength as upper and lower border. The resulting upper and lower retrieved tau represent the retrieval uncertainty.”

27. Page 23, line 9 and figure 14. It looks like there is a better agreement between the DOAS off-nadir and the reference WALES than between the DOAS off-nadir and DOAS nadir or SMART. Can you comment something on that? Can you further discuss the advantages and disadvantages of having nadir and off-nadir measurements and link it with the sensitivity analysis in section 2?

Resulting from the different observation geometries of the nadir looking sensors and the mini-DOAS sideward sensors different cloud scenes are probed. This can lead to the different values of retrieved tau and the good agreement between mini-DOAS sideward and WALES. Please have a look to the added description.

“Average τ are calculated for the filtered time period (indicated by the grey box in Fig. 14 for each instrument. Due to different sampling intervals, a different resolution and number of observations are included in the averaging calculations. The retrieved average of τ at 532 nm is 0.54 ± 0.2 (SMART), 0.49 ± 0.2 (mini-DOAS nadir spectrometer), 0.27 ± 0.2 (mini-DOAS sideward viewing spectrometer) and 0.32 ± 0.02 (WALES). The results indicate a reasonable agreement of τ retrieved by SMART and mini-DOAS nadir channel, while lower τ are inferred from mini-DOAS sideward viewing and WALES measurements. Taking the WALES measurements as a reference, the measurements of SMART and mini-DOAS overestimate τ . However, by estimating the uncertainty of the mini-DOAS and SMART basing on RTS, the measurement error of $I_{S,1180}^N$ (14.5%) by SMART results in an uncertainty range of retrieved τ of ± 0.2 , which covers the values of τ obtained by WALES. The uncertainty range of τ is determined by running the retrieval twice with a bias of measured $I_{S,1180}^N$ with $\pm 14.5\%$ ”

uncertainty at 1180 nm wavelength as upper and lower border. The resulting upper and lower retrieved τ represent the retrieval uncertainty. The mean τ inferred from the mini-DOAS sideward viewing observations is significantly lower than measured by SMART and mini-DOAS nadir measurements. Differences in τ range up to ± 0.73 between SMART and mini-DOAS sideward viewing observations. This may result from the different FOV of the sideward viewing geometry that does not observe the exact same clouds as SMART and nadir channels did. With the scanning sensors orientated to starboard the sideward viewing retrieval corresponds to cirrus 8 km east of the flight track. As the MODIS satellite image in Fig. 12 indicates, the cirrus becomes slightly thinner towards east, which possibly is due to the lower values of τ . Other potential reasons are the assumed ice crystal shapes for the RTS and different field-of-view of the passive and active remote sensing instruments. On the other hand, the agreement between mini-DOAS sideward observations and WALES is significantly better. The maximum difference of τ between mini-DOAS sideward channels and WALES is ± 0.25 while the difference between the mean values is ± 0.05 (15.6%). With WALES and mini-DOAS measuring in different viewing geometries but showing better agreement, the differences of τ retrieved by SMART is most likely caused by uncertainties in α . As discussed in Section 2.3, nadir observations are stronger affected by α than sideward observations. This is confirmed by the smaller differences between WALES and mini-DOAS sideward observations and indicates the advantage of the sideward viewing retrieval due to a reduced surface influence and lower retrieval uncertainty.”

28. Page 23, lines 20-21. This statement is not clear. If the data points contaminated by the second cloud layer are excluded from the calculations, what do you mean here?

This statement was misleading. The section of the time series used to calculate the average values was carefully selected for an area where no second cloud layer was observed. This selection bases on the analysis of the WALES profiles. However, due to the larger FOV of the passive sensors, there is the chance that SMART and mini-DOAS are still contaminated by such a second cloud layer but not WALES. We extended the description of the data selection for the calculation of the averages.

All points which differed clearly were excluded from the calculations. Nevertheless there is a slight chance that few points were classified as cirrus but actually belong to the second cloud layer. This is mostly due to the fact that they could not be separated definitely and because the SMART and mini-DOAS sensors have a larger FOV compared to WALES.

“...These data points are excluded from the following analysis. Nevertheless there is a slight chance that few points were classified as cirrus but actually belong to the second cloud layer. This is mostly due to the fact that they could not be separated definitely and because the SMART and mini-DOAS sensors have a larger FOV compared to WALES.”

29. Page 24, lines 10-12. This is not clear either. From the results and the discussion presented before, it looked like you were using the wavelength of 532 nm for all the instruments. Please, clarify where necessary.

The reviewer is right, this statement might be misleading. The measurements of the different sensors have been analyzed at different wavelengths (1180 nm for SMART and

mini-DOAS and 532 nm for WALES). However, the retrieved cirrus optical thickness always refers to 532 nm. Therefore, the retrieval for the passive remote sensing of SMART and mini-DOAS consider simulations at both wavelengths. In the radiative transfer simulations the cirrus optical thickness is defined and changed at 532 nm while the simulations and measurements at 1180 nm were compared to find the correct solution:

“Additionally, the different wavelengths of the measurements may introduce biases in the retrieved tau due to different penetration depth of the reflected radiation into the cloud (Platnick, 2000). Therefore, the wavelength selection defines the layer in the cloud which is probed. While WALES uses backscatter measurements at $\lambda = 532$ nm and $\lambda = 1064$ nm the measurements of $I_{s,1180}$ by SMART and mini-DOAS are performed at $\tau = 1180$ nm. Although the retrieval accounts for the wavelength dependence of scattering, absorption and refraction on ice crystals (Takano and Liou, 1989; Yang et al., 2013) by scaling the retrieved tau at $\lambda = 1180$ nm to $\lambda = 532$ nm to make it comparable between the instruments.”
“The retrieval of tau by SMART and mini-DOAS bases on the measurements at $\lambda = 1180$ nm and is scaled to $\lambda = 532$ nm to consider the wavelength dependence of tau and to be able to compare it with WALES measurement at $\lambda = 532$ nm. Therefore, the retrieval considers RTS at both wavelengths. In the RTS τ is defined and changed at $\lambda = 532$ nm while the measurements are compared to simulations at $\lambda = 1180$ nm to determine the correct solution.”

30. Page 26, line 14. Agreement is within the uncertainty but I would not consider a 66.6 Numerical values for the differences between DOAS nadir and Wales and DOAS off-nadir should be included separately. Relevance was given to the comparison between the nadir and off-nadir observations in the sensitivity analysis and it will be interesting to do a clear distinction also for the in-situ airborne data and include a significant conclusion at this respect.

To add an explicit comparison of the DOAS sideward and nadir results we added an additional 1:1 plot in Figure 15. Here the calculated mean optical thickness values have to be analyzed as done in section 5.2.1. Alternatively, we show a comparison between DOAS-sideward and WALES measurements in the additional 1:1 plot. A good agreement was found indicating also that DOAS-sideward and DOAS-nadir will have an agreement similar to the comparison of WALES and DOAS-nadir. This illustrates the capability of sideward measurements to observe optically thin cirrus and the higher accuracy of this method for optical thin clouds. These conclusions have been added.