Response to Reviewers' Comments and Suggestions - doi: 10.5194/acp-2016-97

Comments to the Author:

The revised manuscript is an improvement over the original. However, one of the referees still finds major problems with the methods, conclusions and the manuscript presentation. Further, the referee does not think the authors have adequately addressed previous comments made by the referee.

The authors must seriously address this referee's concerns in their next revision. Also, please have the manuscript edited by a native English speaker before resubmitting.

10 Dear Editor,

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We are thankful very much to you and the anonymous reviewers for the thoughtful comments and suggestions. We have revised this manuscript accordingly and asked a native English speaker to edit it. Listed below are our point-by-point <u>responses (blue)</u> to the reviewer's comments (black). In addition, following review's opinion, the title of this manuscript has been changed as "Source apportionment of PM_{2.5} at a regional background site in North China using PMF linked with radiocarbon analysis: Insight into the contribution of biomass burning".

Best regards, Dr. Chongguo Tian

- 20 The manuscript has undergone significant improvement in terms of written language and clarity. The manuscript dose have a potential to be a good paper as the authors have collected a large amount of data and provided extensive analysis of sources of $PM_{2.5}$ using both measurement and modelling. However, there are still major problem left in the manuscript to be addressed. In their response to the comment from the first round of review, often times they have not provided any new information that was not already in the manuscript to really addressed
- 25 the referee's comments. There are still typos throughout the manuscript and some major reference missing. <u>Response and Revisions</u>: Thanks very much for these significant comments. We have revised this manuscript accordingly. In addition, typos throughout the manuscript have been corrected, and the missing references have also been added in the manuscript. Please find our detailed responses blow.

In my opinion, the main problem with the paper is the accuracy and interpretation of the radiocarbon measurement. 30 The authors make conclusions about the sources of carbonaceous aerosols from large regions of China based on only two samples from different air masses. I don't think that one sample per cluster is representative of what the

sources are in a particular region.

<u>Response and Revisions</u>: We appreciate the reviewer's thoughtful comment. We agree with the reviewer's opinion that more ¹⁴C measurement could provide more accurate information of sources. In this study, two combined background samples (M1 and M2) including four independent samples from two major air masses were selected for

¹⁴C measurement. Few samples were conducted mainly due to the busy schedule of the instruments at that time and

the expensive cost of the measurement. To be honest, new ${}^{14}C$ measurement could not be added in the manuscript during the refereeing period. However, we will continue to conduct more ${}^{14}C$ measurement for further confirmation of sources in future study following the reviewer' opinion.

We have done much prepare work for ¹⁴C measurement using few samples. For example, before ¹⁴C measurement,
the representative capacity of all samples in two clusters (cluster 1 and 3) was examined thoroughly. OC and EC concentration, ratios of OC/PM_{2.5} and EC/PM_{2.5} of each sample were compared with that in the corresponding cluster by mean test. Finally, independent samples with great representativeness were selected carefully for ¹⁴C measurement. One goal in this study was to explore the source signals in different region in North China based on cluster analysis. So the concentration, area passed through, etc of independent samples were considered greatly when picking them. Besides, ¹⁴C measurement was not the only indictor for source type, cluster analysis based on OC/EC, K⁺, and NO₃^{-/}nss-SO₄²⁻, etc., also indicated the same source information with ¹⁴C measurement, which demonstrates greatly the source identification in our study.

Further the authors do not report uncertainty in their source apportionment estimates, which makes it very hard to interpret the accuracy of those measurements. The title of the manuscript suggests that radiocarbon was a major port of the source apportionment study, and I don't think that is fair to say that based on what they report in the manuscript. I cannot comment on the PMF interpretation of the ¹⁴C and PMF results in general, however, the ¹⁴C along is not enough to interpret the sources.

<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's opinion, we have added uncertainty to the ¹⁴C measurement utilizing the Error Propagation Formula (Liu et al., 2016): $\delta f_c = \operatorname{sqrt} (\delta f_m^2 + \delta a^2)$, where a is

- 20 the conversion coefficient caused by nuclear-bomb in the 1950s and 1960s, and δa is adopted as 0.05 according to a previous study(Zhang et al., 2014). The ¹⁴C measurement uncertainty result has been inserted in the revised manuscript (page 14, line 25-30; page 15, line 1-6). On the other hand, uncertainty of PMF model was usually estimated by bootstrapping (BS), displacement (DISP), and bootstrapping with displacement (BS-DISP), which has also been reported in the revised supporting information (page 15, line 27). As shown in table 1, the percentage of BS factors assigned to each base case factor ranges from a low value of 93% for sea salt to a high of 100% for vehicle emission, traffic emission, industrial process, mineral dust and coal combustion; and there are no unmapped BS factors. About DISP, after strong-weighted species were displaced, no factors swaps were reported for any of
- the allowed dQ_{max} examined by the model (fixed 4, 8, 16. 32 in this study). Besides, only two and one factors swaps were found for sea salt and biomass burning, respectively, for each allowed dQ_{max} examined by modelling (fixed
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estimates results were well within the range of stable solution of PMF model, demonstrating the effectiveness of the model results in this study.

| Boot | Vehicle | Traffic | Ship | Industrial | Biomass | Mineral | Coal | Sea | Unmannad |
|--------|----------|---------|----------|------------|---------|---------|------------|------|----------|
| Factor | emission | dust | emission | process | burning | dust | combustion | salt | Unnapped |
| 1 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | 0 | 0 | 96 | 0 | 4 | 0 | 0 | 0 | 0 |
| 4 | 0 | 0 | 0 | 100 | 0 | 0 | 0 | 0 | 0 |
| 5 | 0 | 0 | 0 | 0 | 99 | 0 | 0 | 1 | 0 |
| 6 | 0 | 0 | 0 | 0 | 0 | 100 | 0 | 0 | 0 |
| 7 | 0 | 0 | 0 | 0 | 0 | 0 | 100 | 0 | 0 |
| 8 | 0 | 0 | 0 | 0 | 7 | 0 | 0 | 93 | 0 |

Table 1 Percentage of BS factors assigned to each base case factor with a correlation threshold of 0.6.

- In addition, ¹⁴C measurement along may not be enough to interpret the sources with PMF model, however, characteristics of various PM_{2.5} species (OC, EC, ions, mental elements, etc) were discussed in detail in this study, also providing significant source information. For example, ¹⁴C measurement indicated PM_{2.5} from the Beijing-Tianjin-Hebei region exhibited more signals of fossil fuel, this was further confirmed by its lower ratio of OC/EC, higher ratio of NO₃⁻/nss-SO₄²⁻, and lower concentration of nss-K⁺ compared with those from Shandong peninsula; The eighth factor with high loadings of Na⁺, Mg²⁺, CI was identified as sea salt by PMF model, also confirmed by that CI/Na⁺, Mg²⁺/Na⁺ in this factor were 1.79 and 0.11 (similar to the corresponding ratio in sea water 1.80, 0.12). It should be noted that results given by composition analysis, ¹⁴C measurement, PMF model usually exhibited well consistency in this study, implying accuracy of source apportionment in this study. Following the review's suggestion, the title of the manuscript was changed as "Source apportionment of PM_{2.5} at a regional background site in North China using PMF linked with radiocarbon analysis: Insight into the contribution
 - of biomass burning".

Further the manuscript lacks a comprehensive discussion of the results. Maybe some part of the results can be moved into the discussion, but even so a more comprehensive interpretation of the results is necessary. The conclusions only provide a summary of the results, but not actual conclusion.

20 <u>Response and Revisions</u>: Thanks for the comment. The present manuscript was prepared originally for a merged section of result and discussion, which is allowed by ACP as some example articles below. We still keep the structure of the manuscript because of huge workload and potential indigestibility of the separation of two parts. Following the review's suggestion, more interpretation has been added in the revised manuscript. The section of conclusion has been changed as summary and conclusion.

Khan, M. F., Latif, M. T., Saw, W. H., Amil, N., Nadzir, M. S. M., Sahani, M., Tahir, N. M., and Chung, J. X.: Fine particulate matter in the tropical environment: monsoonal effects, source apportionment, and health risk assessment, Atmos. Chem. Phys., 16, 597-617, doi: 10.5194/acp-16-597-2016, 2016.

Kong, S. F., Li, L., Li, X. X., Yin, Y., Chen, K., Liu, D. T., Yuan, L., Zhang, Y. J., Shan, Y. P., and Ji, Y. Q.: The

- 5 impacts of firework burning at the Chinese Spring Festival on air quality: insights of tracers, source evolution and aging processes, Atmos. Chem. Phys., 15, 2167-2184, doi: 10.5194/acp-15-2167-2015, 2015.
 - Zhang, Y. L., Huang, R. J., El Haddad, I., Ho, K. F., Cao, J. J., Han, Y., Zotter, P., Bozzetti, C., Daellenbach, K. R., Canonaco, F., Slowik, J. G., Salazar, G., Schwikowski, M., Schnelle-Kreis, J., Abbaszade, G., Zimmermann, R., Baltensperger, U., Prévôt, A. S. H., and Szidat, S.: Fossil vs. non-fossil sources of fine carbonaceous aerosols in
- 10 four Chinese cities during the extreme winter haze episode of 2013, Atmos. Chem. Phys., 15, 1299-1312, doi: 10.5194/acp-15-1299-2015, 2015.

Zhao, X. J., Zhao, P. S., Xu, J., Meng, W., Pu, W. W., Dong, F., He, D., and Shi, Q. F.: Analysis of a winter regional haze event and its formation mechanism in the North China Plain, Atmos. Chem. Phys., 13, 5685-5696, doi: 10.5194/acp-13-5685-2013, 2013.

15 Zhao, P. S., Dong, F., He, D., Zhao, X. J., Zhang, X. L., Zhang, W. Z., Yao, Q., and Liu, H. Y.: Characteristics of concentrations and chemical compositions for PM2.5 in the region of Beijing, Tianjin, and Hebei, China, Atmos. Chem. Phys., 13, 4631-4644, doi: 10.5194/acp-13-4631-2013, 2013.

Minor comments

20 Abstract

-Typos

Response and Revisions: Thanks for the comment. The typos have been corrected in the revised manuscript.

- No errors on ¹⁴C source app

Response and Revisions: We appreciate the reviewer's comment. The ¹⁴C errors calculated by the Error

25 Propagation Formula (Liu et al., 2016) have been added in the revised manuscript (page 2, line 12-15).

Introduction

- Paragraph I

-References for climate, health etc

Response and Revisions: Thanks for the comment. More references (Chen et al., 2013; Lu et al., 2015; Pui et al.,

30 2014; Tao et al., 2014) about fine particles affecting climate, health etc have been added in the revised manuscript

(page 3, line 5).

- Paragraph II

- Line 3 page 3: "while its contemporary level in non-fossil carbon sources is relatively constant." This is not quite the case as the Bomb Spike provided a high resolution interpretation of the access ¹⁴C in the atmosphere since

5 1950s, which has been steadily declining.

<u>Response and Revisions</u>: We appreciate the reviewer's comment. Following the reviewer's suggestion, we have revised this sentence "The underlying principle of ¹⁴C measurement is that radioisotope carbon has become extinct in fossil fuel due to its age (half-life 5730 years), while non-fossil carbon sources contain the contemporary or near contemporary radiocarbon level" (page 3, line 29).

10 Methods

- Sampling site and Sampling collection

- Equilibrium process - Can the samples absorb VOCs during this time? How do you account for that?

<u>Response and Revisions</u>: Thanks for the comment. In this study, blank samples were conducted accompanying sampling samples throughout the whole process. Concentrations of OC in blank samples were all < 3.5% of the

15 average concentration for the total samples (page 5, line 20), implying our samples were not contaminated during the processes, such as transportation, equilibrium and chemical analysis. Thus, we can see that samples didn't absorb VOCs or absorb little of VOCs during the equilibrium process, which wouldn't interfere the accuracy of results in this study.

- Chemical analysis

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20 - Page 6 line 13 – M1 and M2 not defined

<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's opinion, we add sentences "Two combined samples reflecting source signals from the Shandong Peninsula (M1) and the BTH region (M2), respectively, were selected for ¹⁴C measurement." in the revised manuscript (page 6, line 15).

-page 7 line 6: why is the conversion to f_c different between OC and EC and where did you get these numbers? Either a citation is missing or more detailed explanation is needed.

Response and Revisions: Thanks for the comment. The conversion factor was used for ¹⁴C data correction for ¹⁴C decay during the period between 1950 and the year of measurement. Usually, f_m value of contemporary carbon source including biogenic and biomass burning ($f_{m,bio}$, $f_{m,bb}$, respectively) are large than 1 due to the nuclear bomb in 1950s and 1960s, which were estimated to be 1.06 \pm 0.015 and 1.13 \pm 0.05 for $f_{m,bio}$ and $f_{m,bb}$, respectively 30 (Zhang et al., 2014). Of them, $f_{m,bio}$ value was estimated from long term series of ¹⁴CO₂ measurement from

Schauinsland station (Levin et al., 2010), while $f_{m,bb}$ was estimated by a tree-growth model (Mohn et al., 2008). In this study, $f_{m,EC}$ equals $f_{m,bb}$ given biomass burning is the only non-fossil source for EC, while $f_{m,oc}$ is adopted as the average of $f_{m,bio}$ and $f_{m,bb}$ assuming OC originated equally from biogenic and biomass burning emission. Finally, conversion factors were adopted 1.10 and 1.06 for EC and OC, respectively, considering the steadily declining tendency of ¹⁴C after factors estimation study. These factors were same with a previous study (Liu et al., 2014). Based on discussed above, additional explanation and citations have been added in the revised manuscript (page 7, line 1-10).

- Principle for selecting ¹⁴C sample – this section is hard to understand

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<u>Response and Revisions</u>: Thanks for the comment. The section has been corrected for easier understand. As stated
 in the revised manuscript, PMF can better interpret those data close to the average condition of each chemical species. Thus, OC, EC and ratios of them to PM_{2.5} of each sample in clusters 1 and 3 were examined by mean test. The test ensured that chemical components of selected samples can be better interpreted by the PMF modelling. These selected samples were adopted for ¹⁴C measurements. For example, a sample that 90% of its components can be explained by PMF is more representativeness than that 60% can be explained by the model. Finally, few samples
 from a successive synoptic process were selected in the present study.

- Don't know what a prefect synoptic process means, don't know what the accuracy of the model is and that is why using one sample per cluster doesn't seem reasonable.

Response and Revisions: Thanks for the comment. Cluster analysis indicated Shandong Peninsula and BTH region were the major source regions, which were the key consideration in this study. In order to make clear the source 20 information in the two regions, the samples with the air mass originated directly from them (without covering any other areas) were the most optimal choice for ¹⁴C measurement. As shown in Figure 2, combined samples (M1 and M2) met this demand. They were collected from January 16th and 18th, 2014, when the first half of air masses were only derived from the south and passed through the Shandong Peninsula and the bottom half were only from the north and passed over the BTH region. Besides, this was a successive process, which was more significant for 25 source apportionment than discontinuous ones. PMF can better explain the samples with their chemical components close to the average level of each chemical species, rather than those samples with outlier of chemical components. For example, a sample that 90% of its components can be explained by PMF is more representativeness than that 60% can be explained by the model. Therefore, mean test could ensure the accuracy of the model. As mentioned above, two combined background samples (M1 and M2) including four independent samples from two major air masses were selected for ¹⁴C measurement. Few samples were conducted mainly due to the cost for ¹⁴C 30

measurement was really expensive. Sufficient prepare works have conducted before ¹⁴C measurement. For example, the concentration, area passed through of independent samples was considered greatly when picking them. In addition, the representative capacity of all samples in two major air masses was examined thoroughly. OC and EC concentration, ratios of OC/PM_{2.5} and EC/PM_{2.5} of each sample were compared with that in the corresponding cluster by mean test. Finally, one combined sample was selected per cluster.

Results

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- General characteristics and chemical composition
- Page 9 line 17: is this avr \pm st. dev and how is the uncertainty in the PM_{2.5} measurement accounted for? 77 59 = 16 µg/m³ if we take the min and apply 20 µg measurement error, how dose this 20 µg compare to µg/m³?
- 10 <u>Response and Revisions</u>: Thanks for the comment. "The mean concentration of $PM_{2.5}$ was 77.6 ± 59.3 µg/m³" in the text, of which 77.6 ± 59.3 was avr ± st. dev. The sampling site in this study was a background site, where concentration of $PM_{2.5}$ was mainly impacted by transportation from other source region. So the concentration value was discrete with the minimum value of 12.68 µg/m³ and the maximum value of 305 µg/m³, showing large standard deviation. As mentioned in the text, acceptable difference among the weighting repetition was less than 20 µg for a
- 15 sampled filter. This value (20 μ g) was refer to the weight error, and should be divided by the sampling air volume when translated into concentration (μ g/m³). According to the average air volume (804 m³) of every independent sample, the concentration corresponding to weight error was 0.024 ± 0.007 μ g/m³, which was < 1% of the minimum value of 12.68 μ g/m³ during the sampling.

- Also, lacking consistency in significant digits.

20 <u>Response and Revisions</u>: We appreciate the reviewer's comment. In this study, significant digit for concentration value is three, while that for percentage value is two. It is mainly due to some special values hard to get unified. We have revised this matter throughout the manuscript.

- It would be really nice if the section describing the concentrations and percentage in $PM_{2.5}$ of the different chemical components is summed into a figure e.g. a pie chart so that the reader can better visualize the relative

25 contribution. Very difficult to follow

<u>Response and Revisions</u>: We appreciate the reviewer's comment. Following the review's comment, a pie chart describing the relative contribution of species in $PM_{2.5}$ has been added in into the revised manuscript (page 39).



Figure 1 Pie-charts showing the relative contribution of species for $PM_{2.5}$ in Qimu Island. Note the sum of percentage of identified species in $PM_{2.5}$ in (a) is 58.58%, while that of (b) is 100% because the percentage is the ratio of every mental element to the total identified mental elements.

5 - Page 10 line 4: citations.

<u>Response and Revisions</u>: We appreciate the reviewer's comment. The citations (Tian et al., 2016; Zhang et al., 2013; Zhao et al., 2013) have been inserted into the text (page 10, line 20).

- In general the names of chemical species should be written out first, so the chemical formula is defined. This is missing throughout the manuscript.

- 10 <u>Response and Revisions</u>: Thanks for the comment. The names of chemical species have been written out when it first appeared, and was then defined in chemical formula in the revised manuscript.
 - Last paragraph belongs to discussion.

Response and Revisions: Thanks for the comment. As mentioned above, results and discussion is in one section.

- Cluster Analysis
- 15 Very difficult to follow which cluster corresponds to which region

<u>Response and Revisions</u>: Thanks for the comment. We have added corresponding region information, e.g. cluster 1 (BTH), cluster 2 (MON), cluster 3 (SDP), behind the clusters appeared along and difficult to follow in the revised manuscript (page 7, line 25).

- "low temperature burning, such as agriculture residue burning, emits more OC compared with high temperature
20 burning, e.g. vehicle exhaust." Citation

Response and Revisions: We appreciate the reviewer's comment. The citations (Cui et al., 2016; Goncalves et al.,

2011) have been inserted into the text (page 13, line 10).

-¹⁴C source apportionment

-No errors reported throughout the second paragraph. It is unclear what the uncertainty in the measurement is, which makes it not clear how reliable the measurement actually is.

- 5 <u>Response and Revisions</u>: We appreciate the reviewer's comment. Following the reviewer's opinion, we have added uncertainty to the ¹⁴C measurement utilizing the Error Propagation Formula (Liu et al., 2016): $\delta f_c = \operatorname{sqrt} (\delta f_m^{2} + \delta a^{2})$, where a is the conversion coefficient caused by nuclear-bomb in the 1950s and 1960s, and δa is adopted as 0.05 according to a previous study (Zhang et al., 2014). The ¹⁴C measurement uncertainty result has been inserted in to the revised manuscript (page 14, line 25-30; page 15, line 1-6).
- 10 Page 14 line 27 typo

Response and Revisions: Thanks for the comment. The typo has been corrected.

- Last paragraph should be in discussion.

Response and Revisions: Thanks for the comment. As mentioned above, results and discussion is in one section.

- PMF analysis
- 15 Generally many repeating citations from one author. A lot of major citations are missing.

Response and Revisions: We appreciate the reviewer's comment. Additional Citations (Amil et al., 2016; Bressi et al., 2014; Cappa et al., 2014; Chang et al., 2016; Chen et al., 2015; Choi et al., 2013; Gupta et al., 2015; Hu et al., 2016; Huo et al., 2013; Jing et al., 2016; Khan et al., 2016; Tan et al., 2014; Wang et al., 2002; Zhao et al., 2010; Zheng et al., 2014) have been inserted in the revised manuscript (page 15-19).

20 - Implications for alleviation (lack of discussion)

- While this section presents an interesting discussion on the role of biomass burning, it is only one part of a discussion. The manuscript lacks a comprehensive discussion of results and their importance.

<u>Response and Revisions</u>: Thanks for the comment. As mentioned above, the part has been moved in the section of results and discussion.

25 - Page 19 line 25/26 – unclear

<u>Response and Revisions</u>: We appreciate the reviewer's comment. "this source imposed a larger spatial pattern of $PM_{2.5}$ pollution in northern areas of China compared with North China" the sentence may be misleading, and has been deleted in the revised manuscript.

- Typos/language

30 <u>Response and Revisions</u>: Thanks for the comment. The typos and language errors have been corrected.

- Discussion on Shandong Peninsula p 20 line 6-14 -citation.

<u>Response and Revisions</u>: Thanks for the comment. Corresponding citation and description have been added in the revised manuscript (page 20, line 26).

- Figures and Tables

-The tables of the manuscript are significantly better than the first version and are much easier to understand now.
 Some of the figures however need improvement. Figure 2 is cut at the bottom and the font of figure 4 is too small to be read. The manuscript can definitely benefit from the addition of figures summarizing the results.
 <u>Response and Revisions</u>: Thanks for the comment. Figures need to improve have been completed in the revised manuscript (page 38, page 41).

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Reference

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- 15 Khan MF, Latif MT, Saw WH, Amil N, Nadzir MSM, Sahani M, et al. Fine particulate matter in the tropical environment: monsoonal effects, source apportionment, and health risk assessment. Atmospheric Chemistry and Physics 2016; 16: 597-617.
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Radiocarbon and PMF based source apportionment of PM_{2.5} at a regional background site in North China: <u>insight using PMF linked with radiocarbon analysis</u>: <u>Insight</u> into the contribution of biomass burning

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Abstract

2014 was assessed viamade using statistical analysis, radiocarbon (¹⁴C) measurement, and Positive Matrix Factorization (PMF) modeling. Results showed that the concentration of $PM_{2.5}$ was 77.6 \pm 5 59.3 μ g m⁻³, of which <u>sulfate (SO₄²⁻)</u> concentration was the highest, followed by <u>nitrate (NO₃⁻,)</u> organic carbon (OC), elemental carbon (EC) and <u>ammonium (NH₄^{+,+})</u>, respectively. Demonstrated by backward trajectory, more than half of the air massmasses during the sampling period waswere from the Beijing-Tianjin-Hebei (BTH) region, followed by Mongolia and the Shandong Peninsula. Cluster analysis of chemical species showedsuggested an obvious signal of biomass burning emission in the 10 PM_{2.5} from the Shandong Peninsula, while the PM_{2.5} from the BTH region showed a vehicle emission pattern. This finding was further confirmed by the ¹⁴C measurement of OC and EC in two merged samples-selected from a successive synoptic process.. The ¹⁴C resultsresult indicated that biogenic and biomass burning emission contributed $59 \pm 4\%$ and $52 \pm 2\%$ to OC and EC concentrations, respectively, when air masses originated from the Shandong Peninsula, and while the 15 contributions fell to 46 \pm 4% and 38 \pm 1%, respectively, when the prevailing wind changed and came from the BTH region. The minimum deviation of the between source apportionments apportionment results from PMF-results and ¹⁴C measurement was adopted as the optimal choice of the model exercises. Here, two minor overestimations overestimates with the same range (3%) suggested implied that the PMF results result provided a reasonable source apportionment of the regional PM_{2.5} in this 20 study. Based on the PMF resultsmodeling, eight-main sources were identified; of these, coal combustion, biomass burning, and vehicle emission were the largest main contributors of $PM_{2.5}$, accounting for 29.6%, 19.3% and 15.89%, respectively. Compared with overall source apportionment, the contributions of vehicle emission, mineral dust and coal combustion, biomass burning increased when air masses came from the BTH region, the Mongolia, and the Shandong 25 Peninsula, respectively. Since coal combustion and vehicle emission have been considered as the leading emission sectors sources to be controlled for improving air quality by the government, biomass burning emission was highlighted in the present study.-

Source apportionment of fine particles (PM_{2.5}) at a background site in North China in the winter of

Keywords: Source apportionment, PMF, ¹⁴C measurement, PM_{2.5} 30

1 Introduction

In recent years, air pollution has become a top environmental issue in China, and the main 5 concern is fine particulate matter less than 2.5 micrometers in diameter (PM_{2.5}) (Huang et al., 2014; Sheehan et al., 2014). Fine particulate aerosols have a strong adverse effect on human health, visibility, and directly or indirectly affect weather and climate (Pui et al., 2014; Chen et al., 2013; Lu et al., 2015; Tao et al., 2014b). The negative effects on public health, including damage to the 10 respiratory and cardiovascular systems, the blood vessels of the brain, and the nervous system, have triggered both public alarm and official concern in China (Kessler, 2014). In response to this great concern, the Chinese government has introduced the Action Plan for Air Pollution Prevention and Control (2013–17), which aims at marked improvements in air quality until 2017. In the plan, the most severestrict regulation for improvement is a reduction of 25% in the annual average concentrations of PM_{2.5} by 2017 (Chinese-State-Council, 2013). It has been applied in North China 15 because the region has become the most severely polluted area in China, characterized by increasingly frequent haze events and regional expansions of extreme air pollution (Hu et al., 2015; Boynard et al., 2014).

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The key point in reducing $PM_{2.5}$ concentrations is to control its sources. Reliable source identification and quantification are essential for the development of effective political abatement strategies—: However, the sources of $PM_{2.5}$ typically emit a mixture of pollutants, including gas and particle phases, which. They would mix further in the atmosphere and can undergo chemical transformations prior to impacting a specific receptor site, making it difficult to quantify the impacts (Balachandran et al., 2013). This encourages researchers to use more <u>sophisticated</u> techniques to quantify the contribution of individual sources to $PM_{2.5}$ concentrations, such as the Positive Matrix Factorization (PMF) <u>modeling</u> (Paatero and Tapper, 1994), Chemical Mass Balance (CMB) <u>modeling</u> (Chow and Watson, 2002), organic tracers (Ding et al., 2013), and stable carbon isotopes (Cao et al., 2011). However, these different approaches often result in source contributions that can differ in magnitude and/or are poorly correlated, and the most reliable one cannot be determined (Balachandran et al., 2013). Radiocarbon (¹⁴C) <u>measurements providemeasurement provides</u> a powerful tool to unambiguously determine fossil and non-fossil sources of carbonaceous particles, and the method has been used in source apportionment of carbonaceous aerosols in China (Zhang et al., 2015; Liu et al., 2013; Liu et al., 2014). The underlying principle of ¹⁴C measurements<u>measurement</u> is that the radioisotope <u>carbon</u> has become extinct in fossil fuel carbon due to its age (half-life 5730 years), while its contemporary level in non-fossil carbon sources is relatively constant contain the contemporary or near contemporary radiocarbon level (Szidat, 2009; Szidat et al., 2004). This method provides a <u>chance to</u> more <u>reliablyreliable</u> source apportion<u>apportionment of</u> PM_{2.5} by linking with other methods, although it focuses only on carbonaceous aerosols.–

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In the present study, a more reliable source apportionment of $PM_{2.5}$ at a regional background site in North China during winter was provided using PMF simulation, in which the source contribution of carbonaceous species was confirmed by the ¹⁴C measurement. The effort is vital for the development of efficient mediation policies to achieve improvement inimprove the air quality in North China. It is because regional source apportionment cannot be replaced by those extensively focused on the metropolitan areas such as Beijing (Zhang et al., 2013), Tianjin (Gu et al., 2011), Jinan (Gu et al., 2014), and others within North China. Thus, we collected continuous aerosol samples on Qimu Island during winter to apportion $PM_{2.5}$ sources. The objectives of this study are (1) to determine the concentration burden and the chemical composition of $PM_{2.5}$, (2) to distinguish the source signals based on the chemical composition grouped according to the trajectory clusters, and (3) to apportion $PM_{2.5}$ sources using the PMF model linked with ¹⁴C measurement.—

2 Materials and methods

2.1 Sampling site and sample collection

The sampling campaign was conducted from January 3 to February 11, 2014, at the Longkou Environmental Monitoring Station of the State Ocean Administration of China (37 41 N, 120 16 E), on Qimu Island. The island extends to the Bohai Sea westwards, and is surrounded by sea on its other three sides, as shown in Fig. 1. The sampling site is located approximately 15 km northwest of the Longkou urban district and 300 km southeast of the Beijing-Tianjin-Hebei (BTH) region. Longkou city is closest to the sampling site, and emissions from the city can be considered the primary local sources.

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A total of 76 PM_{2.5} samples were collected continuously on quartz fiber filters (Whatman,

QM-A, 20.3×25.4 cm², heated at 450 °C for 6 h before use) using a Tisch high volume sampler at a flow rate of 1.13 m³ min⁻¹ during the sampling period. The duration for each sample was 12 h, from 06:00–18:00 and from 18:00–06:00 (local time) the next day. Before and after each sample, quartz fiber filters were subjected to 24 h equilibration at 25 ± 1 °C temperature and 50 ± 2% relative humidity, and were then analyzed gravimetrically using a Sartorius MC5 electronic microbalance (Zhang et al., 2015; Liu et al., 2013; Huang et al., 2014). Each filter was weighed at least three times. Acceptable difference among the repetitions was less than 10 µg for a blank filter and less than 20 µg for a sampled filter. After weighing, loaded filters were stored in a refrigerator at -20 °C until chemical analysis. In addition, field blank filters were collected to subtract possible contamination occurring during or after sampling.

2.2 Chemical analysis

2.2.1 OC and EC

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Organic carbon (OC) and elemental carbon (EC) were analyzed by a Desert Research Institute (DRI) Model 2001 Carbon analyzer (Atmoslytic Inc., Calabasas, CA) following the Interagency Monitoring of Protected Visual Environment (IMPROVE A) thermal/optical reflectance (TOR) 15 protocol (Chow et al., 2007). A punch of 0.544 cm² from each quartz filter was heated to produce four fractions (OC1, OC2, OC3 and OC4) in four temperature steps (140, 280, 480, 580 °C) under a non-oxidizing helium atmosphere and then in 2% $O_2/98\%$ He atmosphere at 580 % (EC1), 740 %(EC2), and 840 ℃ (EC3) for the EC fractions. At the same time, pyrolyzed organic carbon (POC) was produced in the inert atmosphere, which decreased the reflected light to correct for charred OC. 20 The concentrations of OC and EC were obtained according to the IMPROVE protocol, OC = OC1 + OC1OC2 + OC3 + OC4 + POC and EC = EC1 + EC2 + EC3 - POC. The detection limits of the method for OC and EC were 0.82 and 0.20 µg cm⁻², respectively. In addition, blank filters and replicate samples were examined simultaneously after analyzing a batch of 10 samples to obtain inherent OC and EC concentrations on the filters and to evaluate measurement accuracy, respectively. In this 25 study, the contributions of OC and EC from blank filters were < 3.5 and 0.6% of their respective average concentrations. The uncertainties of OC (5.6%) and EC (5.5%) were calculated from the replicate measurements.-

- 2.2.2 Water-soluble ions and metal elements
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Two 47 mm diameter punches were cut off from each quartz fiber filter, one of which was

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subjected to Milli-Q water extraction for ionic measurement, and the other underwent induced acid digestion for elemental measurement. The concentrations of water soluble ions: sodium (Na⁺,-⁺), ammonium (NH₄⁺,-⁺), potassium (K⁺,-⁺), magnesium (Mg²⁺,-⁺), calcium (Ca²⁺,-⁺), chlorine (Cl⁻,-⁻), nitrate (NO₃⁻) and sulfate (SO4²⁻), were determined by ion chromatograph (Dionex ICS3000, Dionex Ltd., America) based on the analysis method (Shahsavani et al., 2012). The concentrations of metal elements (including : titanium (Ti,-), vanadium (V,-), manganese (Mn,-), ferrum (Fe,-), cobalt (Co,-), nickel (Ni,-), cuprum (Cu,-), zinc (Zn,-), arsenic (As,-), cadmium (Cd) and plumbum (Pb)), were estimated via inductively coupled plasma mass spectrometry (ICP-MS of ELAN DRCII type, Perkin Elmer Ltd., Hong Kong) following the previous method (Wang et al., 2006). The detection limit of water-soluble ions was 10 ng ml⁻¹ with error < 5%, and 1 ml RbBr of 200 ppm was put in the solution as an internal standard before analysis. The resolution of ICP-MS ranged from 0.3 to 3.0 amu with a detection limit < 0.01 ng⁴ ml⁻¹, and error < 5%. Five ppb elemental Indium (In) was put in the solution before analysis as an internal standard.-

2.2.3 ¹⁴C measurement—

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To achieve more ¹⁴C information on carbonaceous fractions in PM_{2.5}, OC was split into water-soluble organic carbon (WSOC) and water-insoluble organic carbon (WIOC) fractions. WSOC was extracted from a punch filter by Milli-Q water as described in a previous study (Zhang et al., 2014c), and was quantified as total dissolved organic carbon in solution by a total organic carbon (TOC) analyzer (Shimadzu TOC-VCPH, Japan). WIOC was quantified by OC given by the TOR protocol subtracting WSOC. Two combined samples reflecting source signals from the Shandong Peninsula (M1) and the BTH region (M2), respectively, were selected for ¹⁴C measurement. The uncertainties of WSOC calculated from four time measurements were 6.7% for M1 and 5.3% for M2, while the uncertainties of WIOC were 8.7% and 7.7%, in sequence, estimated by error propagation formulas.–

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¹⁴C measurement of WSOC, WIOC and EC was performed using the OC/EC separation system (Liu et al., 2014). Briefly, the extracted Milli-Q water was freeze-dried, and the residue was re-dissolved and transferred to a pre-combusted quartz tube. Then the quartz tube was combusted at 850 $\$ and, making WSOC was converted convert into CO₂. The extracted filters were isolated at 340 $\$ for 15 min for WIOC, after a flash heating of 650 $\$ for 45 s to minimize charring. After separation, the filters were heated at 375 $\$ for 4 h to remove charring, and then oxidized under a stream of pure oxygen at 650 °C for 10 min to analyze the EC fraction. Finally, the corresponding evolving CO₂ (WSOC, WIOC and EC) was cryo-trapped and reduced to graphite at 600 °C for accelerator mass spectrometry (AMS) target preparation (Xu et al., 2007; Zhang et al., 2010; Wacker et al., 2013). The preparation of graphite targets for AMS analysis was performed using the graphitization line at the Guangzhou Institute of Geochemistry, CAS. The ratios of ¹⁴C/¹²C in the graphite samples were determined through a NEC compact AMS at Peking University.

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<u>Generally</u>, ¹⁴C results were expressed as fractions of modern carbon (f_m), which is larger than 1 due to the nuclear bomb in 1950s and 1960s. It includes biogenic and biomass burning ($f_{m,bio}$, $f_{m,bb}$, respectively) and was estimated to be 1.06 ± 0.015 and 1.13 ± 0.05 for $f_{m,bio}$ and $f_{m,bb}$, respectively. Of them, $f_{m,bio}$ value was estimated from long term series of ¹⁴CO₂ measurement at Schauinsland station (Levin et al., 2010), while f_{m,bb} was estimated by a tree-growth model (Mohn et al., 2008). In this study, f_m (EC) equals $f_{m,bb}$ assuming biomass burning is the only non-fossil source for EC, while $f_{\rm m}$ (OC) is adopted as the average value of $f_{\rm m,bio}$ and $f_{\rm m,bb}$ given OC originated equally from biogenic and biomass burning emission. Finally, conversion factors were determined to be 1.10 and 1.06 for EC and OC (Liu et al., 2014), respectively, considering the steadily decline of ¹⁴C after the factors estimation. So the fraction of contemporary carbon (f_c) values in the samples were defined as $f_c =$ $f_{\rm m}/1.10$ for EC, $f_{\rm c} = f_{\rm m}/1.06$ for OC, and the fraction of fossil (f_f) was defined as $f_f = 1 - f_{\rm c}$ (Zong et al., 2015). In this study, the isolated carbon amounts were typically in the range of 120-280 µg, depending on the samples. The WSOC and WIOC in the blank samples only accounted for 1.949% and 1.152%, respectively, of the average value of M1 and M2, and EC was not found in the blank samples. Thus, the blank interference for the fractions of modern carbon $(f_m)\underline{f_m}$ of M1 and M2 in the ¹⁴C measurement was very small and was ignored in this study. M1 and M2 are two combined samples for ⁴⁴C measurement, as elaborated later.

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Finally, in order to compensate for the excess ¹⁴C caused by nuclear bomb testing in the 1950s and 1960s, the f_m given by AMS was further converted into the fraction of contemporary carbon (f_e). The f_e values in the samples were defined as $f_e = f_m/1.10$ for EC, $f_e = f_m/1.06$ for OC, and the fraction of fossil (f_f) was defined as $-f_f = 1 - -f_e$.

2.3 Data analysis methods

The hybrid single-particle Lagrangian integrated trajectory (HYSPLIT) model was used to 30 generate 48-h backward trajectories with 12 h intervals. The HYSPLIT model is available on the National Oceanic and Atmospheric Administration Air Resource Laboratory website (www.arl.noaa.gov/ready/hysplit4.html). The trajectories were calculated for air masses starting from the sampling site at 500 m above ground level. A total of 152 trajectories were generated and these trajectories were bunched into three clusters by the clustering function in the HYSPLIT model. Air masses from the BTH region, the Mongolia and the Shandong Peninsula were defined as clusters from 1 to 3, respectively, as shown in Fig. 1. In order to follow the clusters corresponding to region information, region abbreviation, such as BTH, MON, SDP for the BTH region, Mongolia and the Shandong Peninsula, respectively, was introduced as supplementary in the text. The observed chemical components of PM_{2.5} from the three clusters were compared with each other to assess their potential sources.—

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PMF v5.0 was utilized to apportion $PM_{2.5}$ sources, which is available at the US EPA website: www.epa.gov/air-research/positive-matrix-factorization-model-environmental-data-analyses. PMF is a multivariate factor analysis tool, which assumes that concentrations at a receptor site are supported by linear combinations of different source emissions. Thus, measured mass concentrations of selected species can be mostly expressed as (Paatero et al., 2014):-

$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
(1)

where x_{ij} is the measured concentration of the j^{th} species in the i^{th} sample, f_{kj} is the profile of j^{th} chemical species emitted by the k^{th} source, g_{ik} is the amount of mass contributed by k^{th} source to the i^{th} sample, and e_{ij} is the residual for each samples/species. The matrices of g and f are determined by minimizing an objective function (Paatero et al., 2014).–

To further confirm $PM_{2.5}$ sources apportioned by-the PMF model, the source contributions of OC and EC were examined by ¹⁴C measurement. The modeled source contributions were merged into two groups according to fossil and contemporary carbon sources. Then the contribution fractions of fossil or contemporary carbon sources to OC and EC could be compared with the ¹⁴C measurement for specified samples as:

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$$R_{ij} = \sum_{k=1}^{n} g_{ik} f_{kj} / \sum_{k=1}^{p} g_{ik} f_{kj}$$
(2)

where *R* is the contribution fraction, and matrices of *g* and *f* are the same as in eqn(.(1). The subscript *i* is a specified sample, *j* is OC or EC species. *n* is the number of fossil or contemporary carbon sources, and *p* is the number of all sources. The minimum deviation of PM_{2.5} source contributions apportioned by the PMF exercises exercise and ¹⁴C measurements measurement was used to determine the final model scenario. The <u>verified</u> model results were treated result can be regarded as providing a more reliable solution for the source apportionment.–

2.4 Principle of samples selected for ¹⁴C analysis

The comparison of OC and EC focused on cluster 1 (BTH) and cluster 3 because (SDP). It was due to most species of $PM_{2.5}$ in thesethe two clusters were statistically greater than in cluster 2₇ (MON), as elaborated later. To better achieve theBesides, ¹⁴C measurement result was an important parameter for source comparison in the two clusters. So for better comparison using a few samples for expensive ¹⁴C analysis-due to its extensive cost, the representative capacity of all samples in the two clusters was examined thoroughly. It is expected thatGenerally, PMF can better interpret those data close to the average condition of each chemical species, since. Since the method utilizes error-minimizing estimates to decompose a matrix of sample data into two matrices, which usually under strict non-negativity constraints for the factors (Paatero et al., 2014). Therefore, OC and EC concentrations, and ratios of OC/PM_{2.5} and EC/PM_{2.5} of each sample, were compared with those in the corresponding cluster by mean test. In addition, the concentration, area passed through, etc. of independent samples were considered greatly when picking them for ¹⁴C measurement.

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Finally, two combined samples were selected from a perfect synoptic process during the sampling period. The synoptic process occurred during January 16th and 18th, 2014. As shown in Fig. 2, the first half of air masses in the synoptic process were derived from the south and passed through the Shandong Peninsula (cluster 3) and the bottom half were from the north and passed over the BTH region (cluster 1). Thus, two samples collected continually from 06:00 to 18:00, January 16th and from 18:00 to 06:00 the next day in the first half of the synoptic process were merged into one sample (M1) for the ¹⁴C analysis. Similarly, other two samples collected continually from 18:00 to 6:00, January 17th and from 06:00 to 18:00 in the next day were combined into the other sample (M2). M1 reflected the signal of air masses coming from the Shandong peninsulaPeninsula, while M2 showed the pattern of air masses from the BTH region. Mean test showed that except for a

significant high ratio of EC/PM_{2.5}, the OC and EC concentrations and the OC/PM_{2.5} ratio of M2 were negligibly different from cluster 1, at a 95% significance level, indicating. It indicated its perfect representative capability for further carbonaceous analysis. However, M1 was not ideal-because, since only ratios of OC/PM_{2.5} and EC/PM_{2.5} had no statistical difference, OC and EC concentrations were significantly higher than that in the cluster 3 at the same significance level. Even so, the samples were still considered for ¹⁴C analysis because they were from a faultless synoptic process during the sampling period. Continuous samples were more dramatic than insular samples. In addition, the insignificant difference of the ratios of OC/PM_{2.5} and EC/PM_{2.5} assured the validity for PM_{2.5} source assessment, which was more important than concentration in this study.

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10 **3 Results and discussion**

3.1 General characteristics of PM_{2.5} and chemical components

Table 4<u>S2</u> lists a statistical summary of the concentrations of PM_{2.5}, water-soluble ions, carbonaceous species and metal elements during the sampling period. As shown, the mean concentration of PM_{2.5} was 77.6 \pm 59.3 µg m⁻³, which was more than two times higher than the grade I national standards (35 µg m⁻³, Ministry of Environmental Protection of China: GB 3095-2012, www.zhb.gov.cn, 2012-02-29). Although the level of PM_{2.5} concentration on Qimu Island was higher than the national standard, it was much lower than that observed in winter in the megacities of North China, such as in Beijing (208 µg m⁻³ of PM_{2.1} in 2013) (Tian et al., 2014) and Tianjin (221 µg m⁻³ in 2013).

For PM_{2.5}-componentsThe relative contribution of species for PM_{2.5} is displayed in Fig. 3. Generally, water-soluble inorganic species (WSIS) were the dominant species, accounting for 43 \pm 16 % of PM_{2.5} mass concentrations. Among the ions, SO₄²⁻ ranked the highest with a mean concentration of 14.2 \pm 18.0 µg m⁻³, followed by NO₃⁻ (11.9 \pm 16.4 µg m⁻³) and NH₄⁺ (3.11 \pm 2.14 µg m⁻³). The sum of the three secondary inorganic aerosols constituted the majority (88 \pm 12 %) of the total WSIS concentrations. In addition, the average concentrations of OC and EC were 6.85 \pm 4.81 and 4.90 \pm 4.11 µg m⁻³, accounting for 8.8 \pm 2.1 % and 6.3 \pm 1.8 % of the PM_{2.5} concentrations, respectively. Total concentrations of analyzed metal elements were 665 \pm 472 ng m⁻³, accounting for 0.86 \pm 0.50 % of the PM_{2.5}-mass-concentration. Among the measured metal elements, the concentration of Fe (408 \pm 285 ng m⁻³) was the highest, followed by Zn (107 \pm 142 ng m⁻³), and Pb (88.4 \pm 85.7 ng m⁻³).

The At the sampling site, the organic matter was clearly lower but the relative contribution<u>contributions</u> of SO₄²⁻, NO₃⁻, and NH₄⁺ to the PM_{2.5} at the sampling site was clearly<u>were</u> significantly higher than those in the cities, within North China, such as Beijing and Tianjin (Zhang et al., 2013; Zhao et al., 2013; Tian et al., 2016), within North China, while the organic matter was clearly lower. The high contributions of SO_4^{2-} , NO_3^{-} , and NH_4^{+} agree with the regional scale emissions of their precursors in North China, as it has been reported that SO₂, NO_x, and NH₃ emissions were approximately 10, 5, and 5 times higher compared to OC in the region, respectively (Zhao et al., 2012). This finding was also in agreement with results measured at Changdao Island (Feng et al., 2012). The island, which is located at the demarcation line between the Bohai Sea and the Yellow Sea, and is a popular resort with little industry approximately 7 km north of the Shandong Peninsula (Feng et al., 2012). Measurements at the island were interpreted as showing the indicative patterns of atmospheric outflow and regional pollution in North China (Feng et al., 2012; Feng et al., 2007). It suggested that our measurements also provide a regional signal of PM_{2.5} pollution in North China. Furthermore, SO_4^{2-} was the largest contributor of $PM_{2.5}$, and the highest contributor this characteristic is usually regarded as a regional pollution signal in winter. This is because during low temperature conditions in PM_{2.5} source areas there is a lack of a fast conversion rate of SO₂ to SO₄²⁻ in clouds or aerosol droplets and oxidation reactions via OH free radicals under low temperature conditions in PM_{2.5} source areas (Hu et al., 2015). Thus, our measurement largely reflected a pollution pattern on a regional scale, rather than just in source areas.-

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20 **3.2 Source signals based on cluster analysis**

As shown in Fig. 1, the 48-h back trajectory clusters indicate that more than half of the air masses (54%) during the sampling period were from the BTH region-(cluster 1)₅₂ followed by the air masses from Mongolia (35%, cluster 2).%). Air masses of these two types traveled about 200 and 250 km, respectively, over the Bohai Sea before arriving at the sampling site. Thus, the atmospheric pollutants carried by the two kinds of air masses were mixed well during transport, creating regional pollution signals. Only a small part of the air masses (11%) werewas from the Shandong Peninsula-(cluster 3)₅₂ potentially reflecting a mixed contribution of local and regional sources from south area of the sampling site. In addition, only one trajectory in cluster 3 (SDP) passed the urban area of Longkou, when measured-PM_{2.5} concentration was measured at 95.3 μ g m⁻³. This level was lower than the average of PM_{2.5} concentrations in cluster 3, listed in Table 2, indicating minor contribution of local

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source emissions. To In order to reveal the pollution patterns and source signals of $PM_{2.5}$ carried by air masses from the three different regions, chemical species of PM2.5 were grouped according to the three trajectory clusters, as listed in Table 21.

Generally, mean test showed that the concentration levels and most abundant species types of $PM_{2.5}$ in clusters 1 (BTH) and 3 (SDP) are both insignificantly different (p > 0.05) and statistically higher than in cluster 2 (MON) (p < 0.01), as shown in Table <u>21</u>. The patterns observed are consistent with the spatial distributions of their emissions and concentrations in North China; as reported, there are strongerhigher emissions and more seriousseverely pollution in the BTH region and Shandong Province than in Inner Mongolia and Liaoning (Zhao et al., 2012; Yang et al., 2011). Compared with the Shandong Peninsula, the pollution in BTH region may be more serious even 10 worse because it travels much longer distances to the sampling site, yet the difference of the $PM_{2.5}$ concentrations attributed to the two areas are insignificantly different trivial. In addition, the mean wind speed of cluster 2 (MON) was 7.60 m s⁻¹, which was markedlydramatically higher than that of cluster 1 (BTH) (4.79 m s⁻¹) and cluster 3 (SDP) (4.86 m s⁻¹). Wind speeds were determined by averaging hourly moving distances of air masses during a 48 h period. The higher wind speed of cluster 2 likelymight partly contributes contribute to the lower PM2.5 level at sampling site, since high wind speed could provide favorable diffusion conditions for atmospheric pollutants.

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Some anomalies compared with previous discussion provided different source signals amongst the clusters. For instance, K⁺ concentration was significantly higher in cluster 3 (SDP) than in cluster 1, (BTH), while the titanium (Ti) concentration was obviously lower. This reflects reflected relatively high emissions of K⁺ in the Shandong Peninsula and Ti in the BTH region from both natural sources and anthropogenic activities. Likewise, the concentration of Na^+ in cluster 2 (MON) was markedlymuch higher than in clusters 1 _and 3, showing thea large contribution offrom sea salt particles generated by sea spray under high wind speed to cluster 2-PM2.5 concentrations. This suggested that sea salt sources should not be ignored in this study, due because of the proximity of the sampling site to the Bohai Sea.-

Sea salt emissions are comprised of Cl⁻, SO₄²⁻, Na⁺, K⁺, Mg²⁺ and Ca²⁺ (Ni et al., 2013). The amounts of different chemical species in sea salt emissions can be determined from using Na⁺ as the tracer of sea salt; the amounts of these species from non-sea salt (nss-) emissions can be expressed

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$$nss - x = x - [Na^+] \times a \tag{3}$$

where x indicates the Cl⁻, SO₄²⁻, K⁺, Mg²⁺ and Ca²⁺ concentrations, and a is the typical equivalent concentration ratio of the corresponding species to Na^+ in average seawater: CI^-/Na^+ (1.80), SO_4^{2-}/Na^+ (0.25250), K⁺/Na⁺ (0.036), Mg²⁺/Na⁺ (0.12120) and Ca²⁺/Na⁺ (0.038) (Ni et al., 2013). If the calculated concentration of non-sea salt chemical species is negative, then no excess species exist. According to the calculation, for corresponding total chemical concentration levels grouped in clusters from 1 (BTH) to 3_{7} (SDP), nss-Cl⁻ accounted for 55 ±29%, 19 ±24% and 77 ±10% of total Cl⁻; nss-SO₄²⁻ accounted for 99 $\pm 2\%$, 95 $\pm 4\%$ and 99 $\pm 0.3\%$ of total SO₄²⁻; nss-K⁺ accounted for 98 $\pm 3\%$, 89 $\pm 9\%$ and 99 $\pm 0.3\%$ of total K⁺; nss-Ca²⁺ accounted for 95 $\pm 4\%$, 91 $\pm 10\%$ and 96 $\pm 3\%$ of total Ca²⁺. Thus, marked contributions of nss-emission sources to chemical concentrations at all three clusters were found. However, these values may be underestimated, since total Na⁺ concentrations do not necessarily originate from sea salt alone, but could partially come from dust and burning sources (Zhang et al., 2013). In addition, the loss of Cl⁻ particles due to a chloride depletion mechanism further supports the underestimation of Cl⁻. The contributions of nss-sources were lower in cluster 2 (MON) than in clusters 1 (BTH) and 3, (SDP), which was attributed to the high emissions of sea spray coupled with high wind speed in cluster 2. Generally, K⁺ is often used as a tracer for biomass burning. The high K⁺ concentration and the largest contribution of nss-K⁺ in cluster 3 (SDP) indicated clearly a clear high emissionsemission associated closely with agricultural burning in the Shandong Peninsula. This finding agreed with the fact that Shandong province is the largest producer of crop residues in North China (Zhao et al., 2012), and biomass burning is an important source of inorganic and organic aerosols in the Bohai sea atmosphere (Feng et al., 2012; Wang et al., 2014). The contribution of nss-Mg²⁺ to total $magnesiumMg^{2+}$ concentration was less than 4% for the all clusters, indicating the species specie came mostly from sea salt emission. The mass ratio of Mg^{2+} to Na^+ was 0.07 \pm 0.06, 0.06 \pm 0.03 and 0.06 \pm 0.03 for clusters from 1 to 3, respectively. The ratios were less than 0.23, also demonstrating that Mg^{2+} mostlymainly came from sea salt source (Zhang et al., 2013).-

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The ratios of OC/EC and $NO_3^{-7}/nss-SO_4^{-2-}$ were used as tracers to assess source signals of the three clusters. Low temperature burning, such as agricultural residue burning, emits more OC compared with high temperature burning, e.g. vehicle exhaust_(Gibson et al., 2013; Cui et al., 2016)-.

Thus, the ratio of OC-to-/EC is often used to evaluate relative contributions of low and high temperature burning emission (Zhao et al., 2012). The OC/EC ratios were 1.41 \pm 0.30, 1.47 \pm 0.29 and 2.14 ± 0.50 for clusters 1 to 3, respectively. Mean test showed that the difference between cluster 1 (BTH) and cluster 2 (MON) ratios were insignificant at a 95% confidence level, and both clusters 1 and 2 ratios of them were statistically lower compared with that of cluster $3_{\overline{2}}$ (SDP) at the same confidence level. This suggests that low temperature burning clearly contributed clearly to the emission in cluster 3, (SDP), while high temperature burning emission was more distinct in clusters 1 (BTH) and 2- (MON). Furthermore, mobile sources, such as vehicles, exhaust more NO_x than SO₂, while stationary sources, such as coal-fired power plants, emit more SO₂ than NO_x (Wang et al., 2005). These two precursors convert into SO_4^{2-} and NO_3^{-} in the atmosphere, and the two type sources show different ratios of NO_3^{-}/SO_4^{-2-} . Hence, this ratio is often used usually adopted as an indicator of the relative importance of mobile vs.versus the stationary sources of sulfur and nitrogen in the atmosphere (Zhao et al., 2013; Liu et al., 2014). In this study, after. After deducting the contribution of sea salt to SO_4^{2-} , the mean ratios of $NO_3^{-}/nss-SO_4^{-2-}$ were 0.96 ± 0.31 , 0.47 ± 0.24 and 0.64 ± 0.14 for clusters 1 to 3, respectively. Mean test showed that the three cluster ratios exhibit significant differences from each other at a 95% confidence level. The highest ratio in cluster 1 (BTH) suggests that amongst the three regions, mobile sources are the most important contributors of in the BTH region, followed by the Shandong Peninsula (cluster 3). The ratio of NO₃^{-/}nss-SO₄²⁻ in cluster 1 was within the range of those found in large cities, such as Beijing (1.20), Tianjin (0.73), and Shijiazhuang (0.76), the capital of Hebei province (Zhao et al., 2013), reflecting a hybrid contribution from the BTH region. The value in cluster 2 (MON) was slightly lower than that in winter in Chengde (0.55), onea city located in the northern mountainous area of Hebei Province (Zhao et al., 2013). It indicated more obvious contribution of stationary source emissions in areas such as eastern Inner Mongolia and the west part of Liaoning, than from the BTH region and the Shandong Peninsula. These In addition, these stationary source emissions are possibly associated with coal combustion because of the lower OC/EC ratio in cluster 2 (MON) compared to cluster 3-<u>(SDP).</u>

3.3 Source apportionment of carbonaceous PM_{2.5}

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masses came from the BTH region and the Shandong Peninsula during the sampling period. The 26

The cluster analysis-clearly indicated that PM2.5 concentrations increased significantly when air

chemical species in PM2.5 from the BTH region possessed more marked signals a clear signal of high temperature burning and mobile sources, while those from the Shandong Peninsula had more obvious patterns of low temperature burning and stationary sources.

Table 32 lists the concentrations and contemporary carbon fractions of OC, WSOC, WIOC and

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EC of the two combined samples, which were selected via a perfect synoptic process during the sampling period. The fraction of OC was yielded by the average weights of concentrations of WSOC and WIOC fractions. It can be expressed as:

 $f_{c}(OC) = [f_{c}(WSOC) \times c(WSOC) + f_{c}(WIOC) \times c(WIOC)]/[c(WSOC) + c(WIOC)]$ (4)where $f_c(OC)$, $f_c(WSOC)$ and $f_c(WIOC)$ are the contemporary carbon fractions of OC, WSOC and WIOC, and c(WSOC) and c(WIOC) are the concentrations of WSOC and WIOC, respectively. 10 Generally, WSOC is mainly associated with biomass burning and secondary formation (Du et al., 2014), while OC directly emitted from the combustion of fossil fuel is mostly water insoluble (Weber et al., 2007). During the earlier stage of the synoptic process, the concentrations of WSOC and WIOC were $6.442 \pm 0.41 \ \mu g \ m^{-3}$ and $6.330 \pm 0.62 \ \mu g \ m^{-3}$, respectively. Later on, the concentrations of the two carbonaceous fractions fell to $3.770 \pm 0.20 \ \mu g \ m^{-3}$ and $5.331 \pm 0.40 \ \mu g \ m^{-3}$, respectively, 15 after the shift of the dominant wind direction from southerly to northwesterly, as shown in Fig. 2. The fraction of WSOC to OC decreased from $50 \pm 3\%$ to $41 \pm 3\%$ and the WIOC fraction increased from $50 \pm 4\%$ to $59 \pm 6\%$ before and after the shift of the dominant wind direction. This suggested that the contribution of fossil fuel combustion was more obvious in the BTH region than in the Shandong Peninsula. The contemporary carbon fractions of WSOC and WIOC decreased from 0.59 20 ± 0.04 to 0.49 ± 0.03 and from 0.60 ± 0.03 to 0.43 ± 0.03 , respectively, which indicated a decrease in the impact of biogenic and biomass burning emission and an increase in contribution of fossil fuel combustion to the two OC fractions after the shift of the prevailing wind. After the weighted average of the WSOC and WIOC fractions, the $f_c(OC)$ values were 0.59 ± 0.04 and 0.46 ± 0.04 for the M1 25 and M2 samples, respectively. Together with f_c (EC), we determined that biogenic and biomass burning emission contributed 59 ± 4 % of OC and 52 ± 2 % of EC concentrations, respectively, when air masses were from the Shandong Peninsula. After the change of wind direction, the contribution of biogenic and biomass burning emission fell to $46 \pm 4\%$ for OC and $38 \pm 1\%$ for EC, respectively, which suggested that fossil fuel combustion contributed a dominant portion of the carbonaceous aerosols from the BTH region.-

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The synoptic process clearly showed a shift of the dominant wind from southerly to northwesterly, namely from the Shandong Peninsula to the BTH region. Meanwhile, the pattern of biogenic and biomass burning emission became more and more weakweakly, and the signal of fossil fuel combustion became more and more obvious. This was in agreement with our previous discussion. For instance, emissions in the BTH region exhibited more signals of high temperature burning and vehicle exhaust. It was characterized by the lower ratio of OC/EC (1.41 ± 0.30), the higher ratio of NO₃⁻/nss-SO₄²⁻ (0.96 ± 0.31), and the relatively lower concentration of nss-K⁺ compared with those in the Shandong Peninsula (2.14 ± 0.50 for OC/EC ratio, 0.64 ± 0.14 for NO₃⁻/nss-SO₄²⁻ ratio). The contribution of the biogenic and biomass burning emission to carbonaceous aerosols in the Shandong Peninsula was still significant, which has often been mentioned in previous studies (Feng et al., 2012; Zong et al., 2015; Wang et al., 2014), although there was great combustion of fossil fuel (e.g., coal) for not only industrial activity but also heating in winter.

3.4 Source apportionment of PM_{2.5}

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The EPA PMF 5.0 model was used together with a date set of 76×22 (76 samples with 22 species) to further quantitatively estimate the source contributions of $PM_{2.5}$ (Bressi et al., 2014; Choi et al., 2013)-. After iterative testing from 5 to 15 factors in model exercises, we found the minimum deviation of the source apportionments apportionment of OC and EC between the results from ¹⁴C measurement and a PMF model scenario with an F_{peak} value of 0 and the lowest Q values (6245). In addition, the model uncertainty was also explored as shown in text S1 in the Supplement.

Based on PMF modeling results, eight source factors were identified, as shown in Fig. 34. Traffic emission has attracted considerable concern in the megacities of China (e.g., Beijing and Shanghai) due to the remarkable growth of vehicle numbers in China_(Jing et al., 2016; Zheng et al., 2014). In Beijing in 2012, on-road vehicles were estimated to be the largest local emission source and contributed 22% of PM_{2.5}, including primary and secondary fine particles and excludingbut vehicle-induced road dust (Zhang et al., 2014b). The first source factor was characterized by high loadings of NO₃⁻, SO₄²⁻, NH₄⁺, OC, EC, Zn and Cu, which matched a vehicle emission profile (Zhang et al., 2013). Generally, NO₃⁻, SO₄²⁻, OC and EC are mainly from engine exhaust emissions, and ammoniaNH₄[±] is from vehicles equipped with three-way catalytic converters_(Chang et al., 2016). Not only Zn and Cu, but also Pb and Cd are emitted directly bounded particles from exhaust

(Tan et al., 2014). In addition, the high NO_3^{-7}/SO_4^{-2-7} ratio of 1.28 calculated by the PMF results result suggested high temperature burning and vehicle emissions. This source was the largest contributor of NO₃, which contributed 41% during the sampling period. The contribution was higher than 31% of NO_x emitted by traffic sectors in North China in 2003, an expected increase of the contribution due to the rapid rise of vehicles in North China in recent years (Shi et al., 2014b). This factor was the prevalent anthropogenic PM_{2.5} source in North China, with an average contribution of 16% during the sampling period. The contribution was lower than that in Beijing (Zhang et al., 2014b), agreeing with the regional contribution characteristic in our study, rather than ones in large cities, where a large number of vehicles run. The second factor consisted of mineral dust elements, such as Mn, Fe and Co, and chemical species from human activities, such as Zn and EC (Khan et al., 2016), showing a mixed pattern of natural and anthropogenic emissions. Vehicle emission is an important source of atmospheric Zn pollution, because it can be emitted from direct exhaust, lubricating oil additives, tire and brake abrasion, wearing and corrosion from anticorrosion galvanized automobile sheet, and re-entrainment dust enriched with Zn (Duan and Tan, 2013). Thus, the source factor was identified as traffic dust under the relative high contribution of vehicle emission to PM_{2.5} concentration.

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The third source factor was ship emissions, typically characterized by high proportions of Ni and V, and a high V/Ni ratio_(Cappa et al., 2014)-, High loading of these two metals is typically associated with emissions from residual oil, probably derived from shipping activities and some industrial processes (Pey et al., 2013). In addition, a V/Ni ratio of more than 0.7 is always considered a sign of PM_{2.5} influenced by shipping emissions (Zhang et al., 2014a). The average ratio of V/Ni from the measured data was 0.93 \pm 0.46, indicating an obvious contribution of shipping emission. The average ratio of V/Ni calculated from the PMF source profile was 1.02, which was the second highest value amongst those derived from the eight sources. The highest value of 1.29 was for the mineral dust source, which agreedagreeing with a high ratio of 3.06 for soil background concentrations of the two metals in mainland China (Pan et al., 2013).

The fourth factor showed high loadings of Cu, Zn, As, Cd and Pb, which were treated as signals of industrial processes_(Amil et al., 2016)_{7.} Emissions from the iron and steel industry are possibly important amongst those industrial processes for two reasons. One is that the sintering process in the iron and steel industries emits large amounts of Pb, Hg, Zn and other heavy metal pollutants, and

other processes such as ironmaking and steelmaking also emit fugitive dust containing high concentrations of heavy metals (Duan and Tan, 2013). The other reason is the huge scale of steel production in North China. National statistical data shows that China produced approximately half the world's production of crude steel in 2014, and production in the BTH and Shandong province were 25.3% and 7.8%, respectively, of the total amount in China, respectively, which is available at the website (http://www.stats.gov.cn/tjsj/ndsj/). Thus, iron and steel industries are likely the main atmospheric sources of the metal elements in this study. In addition, the contribution of the source to SO_4^{2-} was 12%, which was similar to previously reported contributions of industrial processes to the amount of sulfur dioxide (15%) (Zhao et al., 2012).

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The fifth source factor was biomass burning, characterized by high concentrations of K^+ , OC, EC and NH₄⁺, which have been used extensively as tracers of biomass-burning aerosols (Zhou et al., 2015; Tao et al., 2014a). The contribution of this source was significantly higher in cluster 3 (SDP) than in clusters 1 (BTH) and 2, (MON), as listed in Table 43. Results agreed with more biomass burning emission in the Shandong Peninsula, characterized by rich K⁺ and the high OC/EC ratio. The average ratio of OC to EC from this source was also the highest (1.84) amongst the eight identified sources (0.23-1.84) calculated by the PMF modeling.

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The sixth source factor was mineral dust, characterized typically by crustal elements, such as Ca²⁺, Ti and Fe, which are often used as markers of soil dust (Zhang et al., 2013). The contribution of this source was obviously higher in cluster 2 (MON) than that in clusters 1 (BTH) and 3, (SDP), corresponding to the high wind speed in cluster 2it. The average ratio of OC to EC (1.53) from this source was obviously higher than that (0.23) from vehicle dust, possibly suggesting that the source contributed more OC, mainly derived from biogenic dust, such as plant debris.

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The seventh source factor was characterized by high loadings of Cl⁻, Na⁺, OC, EC, SO₄²⁻ Ni and As. Coal combustion is often indicated by elevated Cl⁻ linked with high Na⁺, OC and EC (Zhang et al., 2013). This source was the largest contributor of SO_4^{2-} in the present study, matching with the inventory results in North China (Zhao et al., 2012). In addition, this source was the largest contributor of $PM_{2.5}$, as listed in Table 43, which agreed with the fact that coal combustion is considered the predominant source of fine particle aerosols over China (Pui et al., 2014). High loadings of As and Ni in the factor was also used as a marker for coal-fired power plant emissions (Tan et al., 2016). 30

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The last source factor was sea salt, characterized by high <u>loadingloadings</u> of Na⁺, Mg²⁺ and Cl⁻, which are related to the primary sea-salt aerosols produced by mechanical disruption of the ocean surface_(Gupta et al., 2015)₇₂. Similarly to the second source (mineral dust), high wind speed in cluster 2 (MON) made the contribution of this source in <u>cluster 2it</u> higher than that in clusters 1 (BTH) and 3₇ (SDP). In addition, the higher contribution fractionsfraction of Mg²⁺ compared to Cl⁻ in this source werewas in agreement with our previous discussion. The concentration ratios of Cl⁻/Na⁺ and Mg²⁺/Na⁺ calculated from the PMF source profile were 1.79 and 0.11, respectively, similar to the corresponding ratios of the species (1.80 and 0.12, respectively) in average seawater (Ni et al., 2013). The sea salt source contributed 2.53%, 15.2% and 1.93% of OC concentrations in clusters 1 to 3, respectively, but provided no EC contribution in any of the clusters. This <u>indicatesindicated</u> the source consists of sea-spray organic aerosolsaerosol, which were produced bycame from the marine biogenic activities (Wilson et al., 2015).

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The contributions of the eight sources to $PM_{2.5}$ are summarized in Table 43. The total and cluster fractional contributions (%) from each source were calculated based on the corresponding sample values simulated by PMF modeling. Amongst the eight sources identified by the PMF modeling, coal combustion, biomass burning and vehicle emissions were the largest contributors-of PM_{2.5}, which accounted for 29.6%, 19.3% and 15.89%, respectively, during the sampling period. They were followed, in decreasing order, by mineral dust (12.8%), ship emissions (8.95%), sea salt (6.58%), traffic dust (4.24%) and industrial process (2.64%).63%) in decreasing order. Generally, the source apportionment profile of PM_{2.5} in cluster 1 (BTH) was similar to that during the whole sampling period, because the regional scale pollution mainly exhibited a pattern of atmospheric outflow of PM_{2.5} mainly from the BTH region in winter (Feng et al., 2007; Feng et al., 2012). A slight increase in the contribution of vehicle emission in cluster 1 (BTH) corresponds to the great concern about vehicle emission in megacities in China (Huo et al., 2013). The source signals in cluster 2 (MON) were obviously different from that in clusters 1 (BTH) and 3- (SDP). The strong northwesterly wind in it provided more large scale spatial signals of PM_{2.5} sources, indicating that coal combustion (37.7%) and mineral dust (26.8%) were the largest contributors in north areas of China in winter. The large scale PM_{2.5} pattern linkedlinking to coal combustion agreed with the dominant position of coal consumption in Chinese energy structure; coal. Coal consumption accounted for 66% of primary energy in China in 2014 reported by the national bureau of statistics of

China (available at http://www.stats.gov.cn/tjsj/ndsj/). Other than industrial consumption, coal is additionally used for residential heating in northern areas of China during winter. Although the household use of coal accounts for a small portion of total coal consumption in China, its release is still a major source of PM_{2.5} in winter (Cao et al., 2012), since household stoves usually run with no or outdated environmental protection equipment. Traffic emission, of much concern in large cities, only contributed a minor part (3.57%) of PM_{2.5} concentrations-on a large spatial scale because motor exhaust concentrates mainly in urban areas. In addition, biomass burning emission dominated the PM_{2.5} pollution when air masses came from the Shandong Peninsula. The abundant emission from biomass burning was mainly attributed to residential heating in the cold season_(Wang et al., 2002; Hu et al., 2016)--__

The contributions of coal combustion, vehicle emission, industrial process, and ship emission derived from the PMF modeling of OC and EC were ranked as fossil fuel combustion for comparison. Sea salt as a marine biogenic source of OC was merged with biomass burning as contemporary carbon fractions. However, mineral dust and vehicle dust were not considered for this classification, because they originated from hybrid sources of fossil and contemporary carbon emissions. Fig. 4<u>5</u> shows the comparison of the PMF results and the ¹⁴C measurement.

As described in section 2.4, M1 represents the air massmasses from the Shandong peninsula, while M2 is on behalf of the air massmasses from the BTH region. In M1, the biogenic and biomass burning emission identified by PMF modeling contributed 52% to OC and 49% to EC concentrations, which were 7 and 3 % below the fractions indicated by ¹⁴C measurement, respectively₃₇₂. The contributions of fossil fuel combustion to OC and EC from the PMF result were both 44%, which is 3 percent over and 4 percent below the corresponding values in the ¹⁴C result. Similarly, in M2, the biogenic and biomass burning emission contributed 41% to OC and 33% to EC in the PMF result, 4 and 5 percent below the ¹⁴C result, respectively. The contributions of fossil fuel combustion to OC and 65%, respectively, which were the same percent (3%) below and over the corresponding values in the ¹⁴C result. In general, the source contributions merged from the PMF resultsresult were lower than those from the ¹⁴C measurement. This underestimation may be due to not considering the contributions of mineral dust and vehicle dust because of their hybrid sources. The largest difference between PMF and ¹⁴C results was 7%, indicating a minor contribution of the two sources to carbonaceous species in PM_{2.5}. The substantial difference was the

two overestimations with the same range (3%); one was the contribution of fossil fuel combustion to OC in M1 and the other was the contribution of fossil fuel combustion to EC in M2. The overestimation wasoverestimations were attributed to irrelevantly classifying biogenic and biomass burning emission as fossil fuel combustion. In conclusion, the minor irrelevant classification suggested that the PMF result in this study provided a reasonable source apportionment of regional $PM_{2.5}$ in North China in winter.—

4<u>3.5</u> Implications for PM alleviation

According to <u>the</u> source apportionment results, coal combustion was the largest contributor of PM_{2.5} in North China during winter, and this source imposed a larger spatial pattern of PM_{2.5} pollution in northern areas of China compared with North China. Therefore, to <u>.</u> To alleviate overall PM emissions, those generated by coal combustion should be <u>first</u>-targeted. The source first. It has been identified as the leading emission sector for controlling the annual PM_{2.5}-concentration source to control in the air pollution control program. The <u>contributionscontribution</u> of traffic emission and biomass burning to PM_{2.5} concentrations also formed showed a clear spatial pattern in North China during winter. Vehicle. For example, vehicle emission contributed significantly to PM_{2.5}-in the BTH region, so for regulations this source should. Therefore, vehicle emission ought to be considered the second major emission sectorsource to control.–

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Biomass burning emission should be paidneeds close attention to, because the emissionit has only been only lightly considered in the control program. Indeed, the first national pollution source survey showed<u>demonstrated</u> that Shandong province is the largest producer of crop stalks, with a production of 132 million tonssuch as wheat and corn, in China in 2007 (Compilation-Committee-of-the-first-China-pollution-source-census, 2011). Of these, The source survey showed a production of 132 million tons in Shandong in 2007 and about 20 million tons-were produced in the Shandong Peninsula (including the cities of Weifang, Yantai, Weihai, Qingdao and Rizhao). Approximately 40% of this production was used aswas household fuel for cooking and heating in the peninsula countryside. The fraction was significantly higher than in western areas of the Shandong province, such as Zibo (9%) and Jinan (8%), and the fraction of open burning of crop residues in the peninsula (3%). The fraction of biomass open burning in the peninsula was also higher than theits average fraction (1.5%)in Shandong province in 2007 (Compilation-Committee-of-the-first-China-pollution-source-census, 2011)-. Generally, emissions

from agricultural field burning are mainly concentrated in the harvest season and contribute significantly to regional haze and smog events in the region, which have attracted special concern (Feng et al., 2012; Zong et al., 2015; Wang et al., 2014). Despite this Even so, open burning emission has been considered only aswas regarded a minor source sectorcontribution in the control program. HouseholdIn addition, household emission of agricultural waste, another largerimportant source, are released continuously for regional PM2.5, is continuous or semi-continuously, and continuous. It can also induce PM_{2.5} pollution on a regional scale, which has also been despised or ignored (Zhang and Cao, 2015).-

Open burning is not fully controlled in China, although Since the 1990s, the government has enacted a series of regulations to prohibit field burning since the 1990s and strengthened the force of 10 open burning. However, it is not fully controlled in China although its supervision is strengthened recently. The most basic reason for continued burning is the lack of a reasonable alternative to utilize or dispose of huge amounts of agricultural waste each year. In the current scenario, some agricultural wastes are collected and stored as fuel for household cooking and heating, and while others are rapidly removed consumed by open burning in fields for the next planting during harvest season. 15 Although farmers know that such use andthis disposal of agricultural residues areis harmful to the environment, they still tend to use agricultural wastes as household fuel and burn wastes in fields, do mainly due to the low costs of the methodsmethod. A more permanent solution would be to find higher economic value inof agricultural wastes via development of renewable techniques. IndeedIn fact, agricultural wastes can be utilizedused to produce many kinds of renewable energies, such as 20 biogas, feedstuffs, biochar, bioethanol, and bio-succinic acid. China has provided enacted relevant energy regulations, legislation, and policy initiatives for rural renewable energy (Li et al., 2015). The government has also encouraged and sustained the development of the renewable energy industry to increase the demand for raw feedstocks. Through these efforts, China has achieved some success in 25 renewable development in rural areas, but. However, these efforts are not an effective solution to the problem of surplus crop waste, because the costs and benefits cannot yet of renewable energy could <u>not</u> be offset. For instance, Zhangziying, a town located in the eastern area of the Daxing district of Beijing, has developed household biogas and straw gas since the 1980s, but in 2011. But renewable energy only made up approximately 10% of household energy consumption in 2011, much lower than the fraction of coal (30%) (Li et al., 2015). Before the achievement of high economic value,

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except for the ban on crop straw burning, the government should compensate farmers to collection collecting crop residues as feedstocks of renewable energy, rather than except for the ban on crop straw burning in fields or households (Shi et al., 2014a). The revenue from the subsidy and the sale of crop residues could help alleviate economic burdens on farmers, so they canwhich promote them use clean energy, such as electricity, liquefied petroleum gas, biogas, etc., for household consumption (Kung and Zhang, 2015). These efforts will not only significantly improve air quality, but also make famers learn the convenience of clean energy and wake from agricultural residue burning.—

5 Conclusions 4 Summary and conclusion

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During the sampling period, the average $PM_{2.5}$ concentration was 77.6 ± 59.3 µg m⁻³, and SO_4^{2-} concentration was the highest of any constituentamong all constituents, with a mean of 14.2 ± 18.0 µg m⁻³, followed by NO_3^{-1} (11.9 ± 16.4 µg m⁻³), OC (6.85 ± 4.81 µg m⁻³), EC (4.90 ± 4.11 µg m⁻³), and NH_4^{+1} (3.11 ± 2.14 µg m⁻³). The fractions of SO_4^{2-} , NO_3^{-1} and NH_4^{+1} to $PM_{2.5}$ were obviously higher than those in metropolises (e.g. Beijing and Tianjin) within North China, while fractions of carbonaceous species were markedly lower; these showed regional pollution signals.

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More than half of air masses during the sampling period were from the BTH region, followed by air masses from Mongolia (35%) and the Shandong Peninsula (11%). The concentrations of PM_{2.5} and most of the species carried by the air masses from the BTH region and the Shandong Peninsula were comparable (p > 0.05), and they occurred in statistically greater concentrations than those carried by the air masses from Mongolia (p < 0.01). The PM_{2.5} had an obvious signal of biomass burning emission, characterized by a high OC/EC ratio, low NO₃^{-/}nss-SO₄²⁻ ratio and high nss-K⁺ concentration whenfor the air masses camecoming from the Shandong Peninsula. In contrast, the PM_{2.5} carriedtested from the BTH region showed vehicle emission pattern, characterized by low OC/EC ratio, high NO₃^{-/}nss-SO₄²⁻ ratio and low nss-K⁺ concentration. This finding was confirmed by the ¹⁴C measurement of OC and EC in two merged samples selected from a successive synoptic process. The ¹⁴C measurement indicated that biogenic and biomass burning emission contributed 59 ±4% and 52 ± 2% of OC and EC concentrations when air masses were from the Shandong Peninsula, and the contributions fell to 46 ± 4% and 38 ± 1%, respectively, when the prevailing wind changed and came from the BTH region.

Based on the PMF modeling result, eight main source factors were identified. The source

contribution contributions of OC and EC derived from PMF for the two specified merged samples waswere compared with thatthose indicated by the ¹⁴C assessment measurement. Two minor overestimations with the same range (3%) showed the excellent capacity of the model, suggesting that the PMF result provided a reasonable source apportionment of regional PM2.5 in the North China in winter, this study. The PMF results result indicated that coal combustion, biomass burning and vehicle emissions were the largest contributors of PM_{2.5}, accounting for 29.6%, 19.3% and 15.8% of PM_{2.5}, respectively, during the sampling period. Compared with overall source apportionment result, the contribution of vehicle emission increased slightly when air masses came from the BTH region, the fraction of mineral dust and coal combustion rose clearly when air masses with high speed were from Mongolia, and biomass burning became the dominant contributor when air masses were from the Shandong Peninsula. Biomass burning emission was highlighted in the present study, because coal combustion and vehicle emission have already been considered as major emission factorssources in the government air pollution control program.- Before the achievement of high economic value of biomass, the government should compensate farmers for collecting them. The subsidy could help alleviate economic burdens on farmers and promote them use clean energy, which will significantly improve air quality.

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Furthermore, the present study proposed that the minimum deviation between the results from PMF model and ¹⁴C measurement could be <u>usedemployed</u> as a criterion to select a more reliable solution for source apportionment of $PM_{2.5}$. This method can <u>also</u> be applied to CMB models or other isotopes (e.g.– ¹³C, ¹⁵N and ³⁵S), which will help to improve scientific significance.

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Notes

30 The authors declare no competing financial interest.

Reference

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| Species | Mean ±std. | Range | Spacias | Mean ±std | Range |
|-------------------------------|---------------------------------------|--------------------------------|--------------------|---|----------------------------|
| | $(\mu g m^{-3})$ | (µg m⁻³) | opecies | $\frac{(\text{ng m}^{-3})}{(\text{ng m}^{-3})}$ | $\left(ng m^{-3} \right)$ |
| PM _{2.5} | 77.6 ± 59.3 | 12.7 305 | Fe | 408 ± 285 | 7.12 1588 |
| SO 4 ²⁻ | $\frac{14.2 \pm 18.0}{14.2 \pm 18.0}$ | 1.37 – 96.2 | Zn | $\frac{107 \pm 142}{107 \pm 142}$ | 5.56 – 987 |
| NO ₃ [−] | $\frac{11.9 \pm 16.4}{11.9 \pm 16.4}$ | 0.27 87.1 | Pb | 88.4 ±85.7 | 3.02 412 |
| $\overline{\mathrm{NH}}_4^+$ | $\frac{3.11 \pm 2.14}{2.14}$ | 0.61 10.1 | Mn | $\frac{29.3 \pm 28.0}{29.3 \pm 28.0}$ | 1.38 108 |
| CI - | 2.06 ± 1.78 | 0.10 - 8.90 | Cu | 9.08 ±11.4 | 0.03 – 77.7 |
| <mark>₭</mark> + | 0.96 ±0.84 | 0.07 3.95 | Ti | 7.72 ±7.34 | 0.01 30.7 |
| Na ⁺ | $\frac{0.43 \pm 0.25}{2}$ | 0.05 1.58 | As | 6.61 ±7.86 | 0.67 43.4 |
| Ca²⁺ | $\frac{0.38 \pm 0.22}{2}$ | 0.07 1.32 | Ni | 4.28 ±2.30 | 1.68 13.8 |
| Mg^{2+} | $\frac{0.03 \pm 0.03}{0.03}$ | 0.01 0.17 | ¥ | $\frac{3.90 \pm 2.47}{2.47}$ | 0.45 12.5 |
| OC | 6.85 ±4.81 | 0.81 – 21.3 | Cd | $\frac{1.82 \pm 4.06}{1.82 \pm 4.06}$ | 0.04 – 25.9 |
| EC | 4.90 ± 4.11 | 0.80 19.6 | Co | 0.24 ± 0.18 | 0.01 0.73 |

Table 1. Statistics of $PM_{2.5}$ chemical components on the Qimu Island during the sampling period

| Table 2. | Table 1 | Statistics of PM _{2.5} | 5 chemical species | in different clusters | s and sig | nificant | level by m |
|----------|--|---|--|---|-----------|------------|------------|
| | Species | Mean ± | standard deviation | (range) | Sign | ificant le | evel |
| | (unit) | Cluster1(n=42) | Cluster2(n=25) | Cluster3(n=9) | 1&2 | 1&3 | 2&3 |
| | PM _{2.5} (μg m ⁻³) | 93.0 ±66.1 (24.5–305) | 41.6 ±26.7 (12.7–143) | 106±42.3 (50.3–193) | 0.00 | 0.59 | 0.00 |
| | $\frac{\text{EC}}{(\mu \text{g m}^{-3})}$ | 6.53 ±4.66 (1.39–19.6) | 2.50 ±1.84 (0. 80<u>800</u>-8.85) | 3.94±1.49 (2.53–7.66) | 0.00 | 0.11 | 0.05 |
| | $OC \\ (\mu g m^{-3})$ | 8.58 ±5.23 (1.45-21.3) | 3.51 ±2.35 (0. 81<u>810</u>–11.4) | 8.04±2.32 (5.25–13.5) | 0.00 | 0.76 | 0.00 |
| | Cl^{-} (µg m ⁻³) | 2.37 ±2.11 (0. 10 100-8.90) | 1.22 ±0. 65 650 (0. 20 200–2.85) | 2.94±1.35 (1.42-5.53) | 0.01 | 0.45 | 0.00 |
| | NO_3^{-1} (µg m ⁻³) | 17.6 ± 19.6 (1.75-87.0) | 2.75 ±4.25 (0. 27<u>2</u>70 -20.1) | 10.6±6.09 (4.41-20.3) | 0.00 | 0.30 | 0.00 |
| | SO_4^{2-} (µg m ⁻³) | 19.4 ± 21.8 (2.09–96.2) | 4.55 ±4.06 (1.37-19.5) | 16.4±8.74 (5.34–35.6) | 0.00 | 0.69 | 0.00 |
| | Na^{+} (µg m ⁻³) | $\begin{array}{r} 0.\underline{38380} \pm \\ 0.\underline{24240} \\ (0.05-1.58) \end{array}$ | 0. 55<u>550</u> ±0.<u>26260</u> (0.<u>18180</u>-1.08) | 0. 31<u>310</u>±0.06 (0. 22<u>220</u>–0.40<u>4</u> <u>00</u>) | 0.01 | 0.41 | 0.01 |
| | NH_4^+ (µg m ⁻³) | 3.97 ±2.29 (1.28-10.1) | 1.53 ±0. 98<u>980</u> (0.<u>61610</u>–4.70) | 3.52±0.96 (1.93–4.90) | 0.00 | 0.57 | 0.00 |
| | K^+ (µg m ⁻³) | $\begin{array}{c} 1.11 \pm 0.74\underline{740} \\ (0.28 - 3.10) \end{array}$ | 0. <u>35350</u> ±0. <u>36360</u> (0.07–1.69) | 2.01±0.93 (0. 78<u>780</u>–3.95) | 0.00 | 0.00 | 0.00 |
| | Mg^{2+} (µg m ⁻³) | $\begin{array}{c} 0.03 \pm 0.03 \\ (0.01 0.17) \end{array}$ | 0.03 ±0.02 (0.01-0.11) | 0.02±0.01 (0.01-0.04) | 0.66 | 0.41 | 0.13 |
| | Ca ²⁺ (µg m ⁻³) | $\begin{array}{r} 0.37\underline{370} \pm \\ 0.22\underline{220} \\ (0.11\underline{110} - 1.32) \end{array}$ | 0. 37<u>370</u> ±0.<u>18180</u> (0.07–0.74) | 0.44 <u>440</u> ±0. 292 <u>90</u> (0.09–0.97) | 1.00 | 0.46 | 0.46 |
| | Ti (ng m ⁻³) | 6.96 ±5.98 (0. 35<u>350</u>–25.9) | 10.9 ±9.10 (0.01-30.7) | 2.51±0.85 (1.16–3.58) | 0.04 | 0.03 | 0.01 |
| | V (ng m ⁻³) | 4.68 ±2.29 (0. 76 760–11.3) | 2.83 ±2.55 (0.45450-12.4) | 3.24±1.50 (2.05–7.12) | 0.00 | 0.08 | 0.66 |
| | Mn (ng m ⁻³) | 33.8 ±31.3 (1.97–108) | 17.6 ±19.3 (1.38–95.4) | 40.9±20.3 (9.14–69.8) | 0.02 | 0.53 | 0.01 |
| | $Fe (ng m^{-3})$ | 404 ± 308 (7.12-1588) | 375 ±263 (9.13-826) | 521±188 (244–960) | 0.70 | 0.29 | 0.15 |
| | Co(ng m ⁻³) | $\begin{array}{r} 0.\underline{26260} \pm \\ 0.\underline{20200} \\ (0.01-0.73) \end{array}$ | $\begin{array}{c} 0.47\underline{170} \\ \pm 0.14\underline{140} \\ (0.01-0.48) \end{array}$ | $\begin{array}{r} 0.36360 \pm 0.131 \\ \underline{30} \\ (0.10100 - 0.595 \\ 90) \end{array}$ | 0.08 | 0.14 | 0.00 |
| | Ni $(ng m^{-3})$ | $\begin{array}{c} 4.85 \pm 2.56 \\ (1.68 - 13.8) \end{array}$ | 3.51 ±1.85 (1.68-6.79) | 3.80 ± 1.02 (2.45-5.84) | 0.03 | 0.24 | 0.67 |
| | Cu (ng m ⁻³) | 11.6 ±13.6 (0. 72<u>720</u>–77.7) | 3.06 ±2.93 (0.03-8.99) | 13.9±7.05 (3.90–26.4) | 0.00 | 0.64 | 0.00 |
| | Zn (ng m ⁻³) | 146 ±176 (9.92–987) | 46.4 ±50.1 (5.56–208) | 90.4±47.4 (24.2–201) | 0.01 | 0.36 | 0.03 |
| | As (ng m ⁻³) | 9.03 ±9.52 (1.11-43.4) | 3.00 ±2.82 (0.67–14.0) | 5.35±3.35 (2.25–13.6) | 0.00 | 0.27 | 0.06 |
| | Cd (ng m ⁻³) | 2.70 ± 5.26 (0.1110-25.9) | 0. <u>45450</u> ±0.41410 | 1.54±0.65 (0. 49490– 2.66) | 0.04 | 0.52 | 0.00 |

Table 1. Statistics of PM_{2.5} chemical species in different clusters and significant level by mean test

| | | (0.04–1.29) | | | | |
|--|-------------------------|--------------------------|------------------------|------|------|------|
| $\frac{\text{Pb}}{(\text{ng m}^{-3})}$ | 110 ±95.3 (5.30–412) | 36.9 ±44.8 (3.02–176) | 128±53.2 (45.4–215) | 0.00 | 0.59 | 0.00 |

Table <u>32</u>. Concentration and contemporary carbon fraction of carbonaceous species in M1 and M2

| | M1 | M2 | | M1 | M2 |
|---|------------------|------------------|--------------------|------------------|------------------|
| PM _{2.5} (µg m ⁻³) | $159\ \pm 0.510$ | 91.8 ± 0.490 | | | |
| OC (µg m ⁻³) | 12.7 ± 0.700 | 9.01 ± 0.510 | $f_{\rm c}$ (OC) | $0.59\ \pm 0.04$ | 0.46 ± 0.04 |
| WSOC ($\mu g m^{-3}$) | 6.42 ± 0.410 | 3.70 ± 0.200 | $f_{\rm c}$ (WSOC) | $0.59\ \pm 0.03$ | $0.49\ \pm 0.03$ |
| WIOC ($\mu g m^{-3}$) | 6.30 ± 0.620 | 5.31 ± 0.400 | $f_{\rm c}$ (WIOC) | $0.60\ \pm 0.03$ | $0.43\ \pm 0.03$ |
| EC (µg m ⁻³) | 8.60 ± 0.500 | 5.80 ± 0.310 | $f_{\rm c}$ (EC) | $0.52\ \pm 0.02$ | $0.38\ \pm 0.01$ |

Table 43. Averages of fractional contributions (%) from eight sources identified by PMF model

| | Vehicle | Traffic | Ship | Industrial | Biomass | Mineral | Coal | Sea |
|----------|----------|---------|----------|------------|---------|---------|------------|------|
| | emission | dust | emission | process | burning | dust | combustion | salt |
| All | 15.9 | 4.24 | 8.95 | 2.63 | 19.3 | 12.8 | 29.6 | 6.58 |
| Cluster1 | 23.6 | 4.89 | 8.79 | 3.64 | 19.6 | 6.32 | 29.2 | 3.96 |
| Cluster2 | 3.57 | 3.60 | 9.35 | 1.20 | 4.88 | 26.8 | 37.7 | 12.9 |
| Cluster3 | 12.4 | 3.08 | 8.67 | 1.96 | 52.7 | 6.46 | 12.4 | 2.33 |

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Figure 1. The sampling site and 48-h back trajectory clusters during the sampling period



Figure 2. 48-h back trajectories with 12 h intervals of the combined samples (M1 and M2) selected for ¹⁴C analysis. M1 were collected continually from 06:00 to 18:00, 16th January and from 18:00 to 06:00 the next day, when the air masses were derived from the south and passed through the Shandong Peninsula; M2 were collected continually from 17th January 18:00 to 6:00 and from 06:00 to 18:00 in the next day, when the air masses come from the north and reflected the BTH pattern. (The digit in the figure is date and time with the format of YYYYMMDDHH, the time is local time).



Figure 3. <u>Pie-charts showing the relative contribution of species for $PM_{2.5}$ in Qimu Island. Note the sum of percentage of identified species in $PM_{2.5}$ in (a) is 58.58%, while that of (b) is 100% because the percentage is the ratio of every mental element to the total identified mental elements.</u>



Figure 4. The contribution profiles of eight sources identified by PMF model



Figure 4<u>5</u>. Comparison of source apportionment of OC and EC in the two specified samples (M1 and M2) from PMF and ¹⁴C measurement. B&B refers to the source of biogenic and biomass burning. Note: the B&B and Fossil emissions from the PMF result do not add to a hundred in the bars because hybrid sources from B&B and fossil fuel combustion were not be considered in the comparison (mineral dust and vehicle dust).