## Response to Reviewers' Comments and Suggestions - doi: 10.5194/acp-2016-97

## Dear Editor,

5 We are thankful very much to you and the anonymous reviewers for the thoughtful comments and suggestions. We have revised this manuscript accordingly. Listed below are our point-by-point responses (blue) to each reviewer's comments (black). In addition, we would like to ask you if we can change the title of our paper as "Radiocarbon and PMF based source apportionment of PM<sub>2.5</sub> at a regional background site in North China: insight into the contribution of biomass burning" following the reviewers' comments?

Best regards,

Dr. Chongguo Tian

## 15 **Reviewer 1**

Air pollution from PM<sub>2.5</sub> is a major health and environmental concern in China. Accurate PM<sub>2.5</sub> source attribution is therefore critical for the development of successful air pollution control measures in the region. The study by Zong et al. investigates the sources of PM<sub>2.5</sub> at a background site in Northern China during the winter of 2014, utilizing different source apportionment techniques.
By reporting detailed measurements of the elemental composition of PM<sub>2.5</sub> collected on 76 quartz filter samples, the manuscript provides interesting new data, which is further grouped into three source regions based on back trajectories analysis. In addition, radiocarbon measurements are used to apportion the carbonaceous fractions of the aerosols into fossil and biomass combustion contributions. These results are combined with a PMF model to derive the major sources of PM<sub>2.5</sub> in the region. While the combination of these different techniques is a very good approach for achieving accurate PM<sub>2.5</sub> source apportionment and can provide important insight into what is driving the elevated concentration in the region, there are several major problems in the reasoning, explanation and interpretation of the methods and the data reported in the manuscript.

Response and Revisions: Thanks very much for these comments. We have revised this manuscript

30 accordingly. Please find our detailed responses below.

One concern is the use of only one station at a background location, to interpret sources of PM<sub>2.5</sub> over a very large area without any discussion of the possibility of local sources affecting the measurements. In one part of the manuscript the authors hypothesize that the elevated PM<sub>2.5</sub> at the sampling site are due to the proximity of sources (p.10, line 3-5). But later based on their cluster analysis they say only 11% of the air masses were from the Shandong Peninsula (p. 11 line 18).

- Response and Revisions: Thanks for the comment. Background site is usually adopted to determine the regional-scale concentration burden and the source apportionment of aerosols (Moon et al., 2008; Sheesley et al., 2012; Waked et al., 2014; Yao et al., 2016; Yin et al., 2010). The sampling point in our study is a regional background site in North China, where no obvious emission source nearby. 10 Besides, the back trajectory clusters indicated that more than half of the air masses (54%) during the sampling period were from the Beijing-Tianjin-Hebei region, followed by the air masses from the Mongolia (35%), and Shandong Peninsula (11%), suggesting the area air mass passed through in this paper included the whole North China. This proved the significance of our sampling site for the source apportionment of aerosol in North China in winter. Longkou city is on south side and is 15 closest to the sampling site, so the emission from the city can be considered as the primary local sources. However, we found that only one trajectory in cluster 3 passed the urban area of Longkou, when measured  $PM_{2.5}$  concentration was 95.3  $\mu$ g/m<sup>3</sup>. The level was lower than the average concentration in cluster 3 listed in Table 2, indicating minor contribution of local source emissions. So following the comment, we have deleted the description of "the elevated PM2.5 at the sampling 20 site was due to the proximity of sources" and added the corresponding content in the revised manuscript (page 10 line 27).

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Further, measuring PM<sub>2.5</sub> concentrations based on filter mass is not accurate due to variability within the filter area. Each punch might weigh differently due to the inhomogeneity of the filter itself. There are no references about this technique being used anywhere else.

Response and Revisions: Thanks for the comment. The method that PM2.5 mass collected on filters was very common and usually adopted for the PM<sub>2.5</sub> analysis in various studies (Huang et al., 2014; Kong et al., 2015; Liu et al., 2013; Zhang et al., 2015). In this study, before and after every sampling, the filters went through a 24h equilibration at  $25\pm1^{\circ}$ C temperature and  $50\pm2$  % relative humidity and were gravimetrically measured using a temperature and relative humidity-controlled microbalance, such as reported in previous studies (Zhang et al., 2015; Kong et al., 2015; Huang et al., 2014; Liu et al., 2013). Besieds, each filter was weighed at least three times. Acceptable difference among the repetition was less than 10 µg for blank filters and 20 µg for sampled filters, which greatly ensured the precision of our results. According to the reviewer's suggestion, we have added aforementioned references in the revised manuscript (page 4 line 30).

Radiocarbon was only measured on two samples, each representing an air mass coming from a different region. One sample cannot capture any variability in sources and is not a true representative of regional sources. It is also not clear what the uncertainties in the results are and how they could affect the source apportionment. When using radiocarbon, it is particularly important to accurately represent the uncertainty in aerosol samples, as they are very small and usually have high degree of uncertainty, which needs to be considered when interpreting the results.

Response and Revisions: We appreciate the review's comment. It is difficult to capture variability of 15 regional sources by a few samples. However, in order to better achieve our purpose using a few samples for <sup>14</sup>C analysis due to the extensive cost of the analysis, the representative capacity of all samples in the two clusters (cluster 1 and 3) was examined thoroughly. OC and EC concentration, and ratios of OC/PM<sub>2.5</sub> and EC/PM<sub>2.5</sub> of each sample were compared with that in the corresponding cluster by mean test. Finally, two combined samples (including four samples) were selected for <sup>14</sup>C 20 measurement from a perfect synoptic process during the sampling period. We added these descriptions in the revised manuscript. In addition, the sizes of samples (M1 and M2) selected for <sup>14</sup>C analysis were described as follows. The carbon content of the WSOC, WIOC, EC in the combined sample M1 were 211.03, 209.12 and 283.86 µg, respectively, and these of M2 were 126.76, 182.59, and 200.10 µg, respectively. While the WSOC, WIOC in the blank samples only accounted for 1.94% 25 and 1.15%, respectively, of the average value of M1 and M2. Furthermore, EC was not found in the blank samples. Thus, the blank interference for the f<sub>m</sub> value of M1 and M2 in the <sup>14</sup>C measurement was very small (Liu et al., 2014), implying the uncentainties of <sup>14</sup>C measurement was minor in our study (page 6 line 26).

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With respect to the back trajectory analysis and clustering, the manuscript did not provide enough detail to explain how this was performed and the model for the clustering. Also the authors did not include the reference, giving credit to the original HYSPLIT model development.

<u>Response and Revisions</u>: We appreciate the review's comment. Following the reviewer's suggestion,
we have added a sentence "The HYSPLIT model is available on the National Oceanic and Atmospheric Administration Air Resource Laboratory website (www. arl.noaa.gov/ready/hysplit4.html)." for the clarification of the model in the revised manuscript. We also rephrased a sentence to clarify the cluster analysis as: "Finally, a total of 152 trajectories were generated and these trajectories were bunched into three clusters by the toolkit of trajectory cluster analysis embedded in the model." in the revised manuscript (page 7 line 12-14).

In general, the manuscript was very hard to read and understand due to the language (and multiple typos and errors), which needs significant improvement. The title of the manuscript is also misleading, since the biomass-burning signal is not the main focus of the manuscript. The lack of appropriate citations makes it hard to track the accuracy and reliability of the statements and hypothesis throughout the manuscript and makes the interpretation of the results difficult. The details provided in the methods section are not enough to fully understand the analysis or to allow traceability or reproducibility of the results. The results and discussion section was hard to follow too due to the lack of structure within the text and paragraphs summarizing the result from the different experiments and drawing conclusions together.

<u>Response and Revisions</u>: Thanks for the comment. We have made every effort to polish our English and asked a native English speaker to take a proof reading of the final version of the revised manuscript. Inconsistent sentences have been corrected; Following the opinion of the reviewers, we have changed the title as "Radiocarbon and PMF based the source apportionment of regional PM<sub>2.5</sub>
in North China: insight into the contribution of biomass burning "; Besides, many appropriate citations has been inserted into the revised manuscript, and some details of methods section were also added into manuscript; Some structure changes, such as segmenting some complicated section and adding detailed explain for the figures and tables, were conducted to make readers follow our results and discussion easier.

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A list of specific comments and questions are provided below:

- Missing citations throughout the introduction

<u>Response and Revisions</u>: Thanks for the comment. According to the reviewer's suggestion, we have added some references(Cao et al., 2011; Chow and Watson, 2002; Ding et al., 2013; Pui et al., 2014; Sheesley et al., 2012; Szidat, 2009; Szidat et al., 2004) in the revised manuscript.

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- Spaces missing p. 3 line 20; p. 4 line 10, p. 13 line 20, p. 27, line 22

<u>Response and Revisions</u>: Thanks for the comment. The missing spaces have been added in the revised manuscript.

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- The introduction (and the rest of the manuscript) does not explain how <sup>14</sup>C provides information about fossil and modern sources.

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<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's suggestion, we have added a sentence "The underlying principle of <sup>14</sup>C measurements is that the radioisotope has become extinct in fossil fuel carbon due to its age (half-life 5730 years), while its contemporary level in nonfossil carbon sources is relatively constant (Szidat, 2009; Szidat et al., 2004)." in the revised manuscript (page 3 line 28-31).

Page 5 line 9: Longkou district and Tianjin are not shown on map. Is Longkou the closest urban region and can it be considered a local source of urban emissions?
<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's suggestion, we have redrawn the Figure 1, and the locations of Longkou, Tianjin, Changdao and Chengde mentioned in the paper were labeled in the figure. As showed, Longkou city is closest to the sampling site, the
emission from the city can be considered as primary local sources. The sentence has beed added in the revised manuscript (page 4 line 22-24). However, it should be noted that we found that only one trajectory during the sampling period passed the urban area of Longkou, when measured PM<sub>2.5</sub> concentration was 95.3 µg/m<sup>3</sup>. The level was lower than the average concentration in cluster 3 listed in Table 2, indicating minor contribution of local source emissions (page 10 line 27).

Page 5, line 18: Why do the filters undergo a 24h equilibrium period at 25°C? Wouldn't that potentially introduce contamination with the filters absorbing volatile organic compounds during that period?

Response and Revisions: Thanks for the comment. The equilibrium condition and equilibrium period were selected according to the analytical standard of determination of atmospheric particles PM<sub>10</sub> 5 and PM<sub>2.5</sub> in ambient air by gravimetric method (HJ 618-2011, in Chinese) published by the Ministry of Environmental Protection of Republic of the People's China (http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjbh/jcgfffbz/201109/t20110914\_217272.htm). The method was extensively adopted in previous studies (Liu et al., 2013; Tao et al., 2014). Besides, blank samples were also conducted through the equilibrium process. Concentrations of OC in blank 10 samples were < 3.5% of the average concentration for the total samples, indicating our samples were not contaminated throughout the whole analysis process (including equilibrium).

OC and EC page 6 lines 6-7: The DRI currently uses an updated protocol –IMPROVE\_A (Chow et al., 2007). Which one was used for this manuscript? http://www.dri.edu/eaf-projects <u>Response and Revisions</u>: We appreciate the review's comment. The citation has been corrected (Chow et al., 2007) in the revised manuscript (page 5 line 10).

Page 7, line 14: Radiocarbon methods are not cited properly (p. 7, line 14 Liu et al 2014 is not

20 explaining the process) – correct citation is Zhang et al. 2015 (Env. Sci. & Tech) Radiocarbon-Based Source Apportionment of Carbonaceous Aerosols at a Regional Background Site on Hainan Island, South China

<u>Response and Revisions</u>: We appreciate the review's comment. The citation (Zhang et al., 2014) has been corrected in the revised manuscript (page 6 line 9).

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Page 7 Line 16: which TOR protocol? (also acronym was not defined anywhere)<u>Response and Revisions</u>: We appreciate the review's comment. It is thermal/optical reflectance (TOR), which has been defined in the revised manuscript (page 5 line 9).

30 Graphitization at CAS and AMS analysis – can you provide citation, sizes, black corrections?

<u>Response and Revisions</u>: Thanks for the comment. The citations (Wacker et al., 2013; Xu et al., 2007; Zhang et al., 2010) have been inserted into the text. On the other hand, the sizes of samples (M1 and M2) selected for <sup>14</sup>C analysis were described as follows. The carbon content of the WSOC, WIOC, EC in the combined sample M1 were 211.03, 209.12 and 283.86  $\mu$ g, respectively, and these of M2 were 126.76 182.59 and 200.10  $\mu$ g respectively. While the WSOC, WIOC in the blank samples

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were 126.76, 182.59, and 200.10  $\mu$ g, respectively. While the WSOC, WIOC in the blank samples only accounted for 1.94% and 1.15%, respectively, of the average value of M1 and M2. Furthermore, EC was not found in the blank samples. Thus, the blank interference for the f<sub>m</sub> value of M1 and M2 in the 14C measurement was very small and was ignored in this study.

## 10 Page 8: HYSPLIT and EPA PMF v5.0 citations

<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's suggestion, we have added website, from which the software can be obtained, in the revised manuscript (page 7 line 12 and page 7 line 19).

- Page 9, line 15 the text does not follow the order reported in Table 1 (notes on tables and figures will be provided at the end)
   <u>Response and Revisions</u>: Thanks for the comment. The sequence of chemical species in Table 1 has been rearranged according to the description of the text.
- Page 9, line 17 what is the uncertainty of the max PM<sub>2.5</sub> value? Also, there is no need to report significant digits, as the range is so large
   <u>Response and Revisions</u>: We appreciate the review's comment. Following the reviewer's comments, the description about the maximum value has been deleted in the revised manuscript.
- 25 Page 10, line 2: It is not clear where Tuoji Island is and how it is relevant in the comparison. <u>Response and Revisions</u>: Thanks for the comment. After careful consideration, the description about Tuoji Island in original draft may not be appropriate for our purpose. So the section about Tuoji has been deleted in the revised manuscript.
- 30 Page 10, line 8-9. Write chemical formula for sulfate, nitrate and ammonium and use them

throughout the text. The lack of consistency with the names of the chemical elements and radiocarbon vs  $^{14}$ C makes the text harder to read.

<u>Response and Revisions</u>: We appreciate the review's comment. Following the reviewer's suggestion, we replaced sulfate, nitrate, ammonium by their chemical formulas and radiocarbon by  ${}^{14}C$  throughout the revised menuscript.

5 throughout the revised manuscript.

Page 10, line 20-1. There are major issues with the chemical elements here – The ones written out are not the correct elements!

Response and Revisions: Thanks for the comment. According the review's suggestion, the sentence

10 has been rewritten as "The high contribution agreed with the regional scale emission of their precursors in the North China, as reported that the emission amounts of  $SO_2$ ,  $NO_x$  and  $NH_3$  were about 10, 5, and 5 times higher compared to OC in the region." in the revised manuscript (page 10 line 6).

15 Page 11, line 22: Mean test?

<u>Response and Revisions</u>: Thanks for the comment. Mean test is a statistical method, which can be used to determine if two sets of data are significantly different from each other. The analysis can be performed by SPSS software.

20 Break next section in paragraphs. It is very hard to read. <u>Response and Revisions</u>: Thanks for the comment. This suggestion has been employed in the revised manuscript.

Page 12, second paragraph – write out chemical elements

25 <u>Response and Revisions</u>: Thanks for the comment. This suggestion has been employed in the revised manuscript.

Page 12, line 21. Cite the sources for sea salt composition

30 <u>Response and Revisions</u>: Thanks for the comment. This suggestion has been employed in the revised

manuscript (page 11 line 24).

Page 13: It is really hard to follow and it might be helpful to break it up in a couple paragraphs Response and Revisions: Thanks for the comment. This suggestion has been employed in the revised manuscript.

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Page 14: It is not clear how the Enrichment Factor (EF) method works, if/how it has been applied in other studies and how reliable it is. Original citations are missing. More description is necessary. It will also be helpful to plot the EF calculated for all elements.

Response and Revisions: Thanks for the comment. The assistance of EF section for the source 10 signals of aerosols in original draft may not be appropriate for our purpose. So after careful consideration, the section about EF has been deleted in the revised manuscript.

Page 14: Using OC/EC ratio and NO3/nss-SO4 a traces :

Response and Revisions: We appreciate the review's comment. The sentence has been changed as 15 "The ratios of OC/EC and NO<sub>3</sub>"/nss-SO<sub>4</sub><sup>2</sup> were used as tracers to assess source signals of the three clusters." in the revised manuscript (page 12 line 25).

Lack of citations OC/EC p. 14 line 21

Response and Revisions: Thanks for the comment. Following the review's suggestion, a citation has 20 been listed in the revised manuscript (page 12 line 28).

## Mean test (p. 15 line 2)?

Response and Revisions: Thanks for the comment. As stated above, mean test is a statistical method,

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which can be used to determine if two sets of data are significantly different from each other. The analysis can be performed by SPSS software.

When calculating OC/EC ratios what is the uncertainty in the OC and EC and the ratio.

Response and Revisions: We appreciate the review's comment. Following the reviewer's suggestion,

we assessed the uncertainty in OC, EC and their ratio based on results of eight pair replicate samples 30

using the method of extreme difference. The results showed that the uncertainties of OC, EC and the ratio were 5.61%, 5.51% and 4.72%.

Lack of citations SO2 and NOx p. 15 line 7

5 <u>Response and Revisions</u>: Thanks for the comment. Following the review's suggestion, a citation has been listed in the revised manuscript (page 13 line 5).

Page 15, line18: Where is Chengde and how is it relevant?

<u>Response and Revisions</u>: We appreciate the review's comment. Chengde city has been displayed in
 the revised Figure 1. We mentioned the ratio of NO<sub>3</sub><sup>-</sup>/nss-SO<sub>4</sub><sup>2-</sup> in Chengde here in order to infer more obvious emission of stationary sources in the region where air masses of cluster 2 were passed.

Source app of carbonaceous PM<sub>2.5</sub> (pages 16-19)

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<u>Response and Revisions</u>: Thanks for the comment. The description has been changed as "Source apportionment of carbonaceous  $PM_{2.5}$ ".

The back trajectory analysis is not clear in the description of how the samples were combined. The figure does not help either.

<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's suggestion, we added
one section about how the samples were combined in the method section of the revised manuscript.
Besides, selecting reason and back trajectory analysis were also mentioned in this section (page 8 line 12- page 9 line 12).

Page 16 line 11 - 19 unclear and belong in the methods

25 <u>Response and Revisions</u>: We appreciate the review's comment. Following the suggestion, we have rewritten the description and changed it in the method section (page 8 line 22- page 9 line 12).

Page 18 line 2: What is the WSOC and WIOC concentration uncertainty? The reported numbers have two significant digits, but are the methods accurate enough to report concentrations of this precision?

30 <u>Response and Revisions</u>: Thanks for the suggestion. In this study, WSOC were directly measured by

a total organic carbon (TOC) analyzer (Shimadzu TOC-VCPH, Japan). WIOC was quantified by OC given by the TOR protocol subtracting WSOC. The uncertainties of WSOC were calculated by the method of extreme difference. The uncertainties of for M1 and M2 were 6.7% and 5.3%, respectively. The uncertainties of WIOC were calculated in this study according to the following equations: WIOC = sqrt ( $OC^{^2} + WSOC^{^2}$ ). The uncertainties of for M1 and M2 were 8.8% and 7.8%, respectively. According to the uncertainty analysis, we gave 2 digits for the data as shown in revised Table 3. We have mentioned this calculation method in our revised paper.

M1 and M2 are significantly different, but could this be due to high level of uncertainty in the measurement? Can it possibly be affected by local sources?

<u>Response and Revisions</u>: Thanks for the comment. According to the previous uncertainty analysis, the contemporary carbon fractions of OC were in the range from 0.5934 to 0.5936 for M1 and from 0.454 to 0.457 for M2, respectively. It suggests that the significant differences were attributed the source contribution, rather than the uncertainty of the measurement. The uncertainty of EC was less
15 than that of OC, so the differences for EC were also attributed the source contribution. The local sources affected weakly the difference because the air masses didn't pass the local source region as shown in Figure 2.

Page 19, line 15: F<sub>peak</sub> and Q are not defined

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- 20 <u>Response and Revisions</u>: Thanks for the comment. The sentence has been rewritten as "After iterative testing from 5 to 15 factors for the model scenarios, we found the minimum deviation of the source apportionments of OC and EC between the results from <sup>14</sup>C measurement and a PMF model scenario with an  $F_{peak}$  value of 0 and the lowest Q values (6245)." (page 15 line 12)
- Page 20, line 6: Citations missing
   <u>Response and Revisions</u>: Thanks for the comment. The missing citations have been added in revised
   paper (page 15 line 22).

Page 24, line 3: PMF and  $^{14}$ C results are compared within 3-4% precision, but it is likely the uncertainty is higher.

<u>Response and Revisions</u>: Thanks for the comment. PMF results were selected according to the minimum deviation between the results from PMF models and <sup>14</sup>C measurements. Besides, the uncertainties of <sup>14</sup>C measurement for OC and EC of the M1 were 6.8% and 3.8% (see Table 3), which were comparable with the difference between PMF and <sup>14</sup>C results. The minor uncertainty of <sup>14</sup>C measurements ensured its accuracy, thus the compare results of PMF and <sup>14</sup>C results could be trusted in this study.

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Implications for PM alleviation – since it was mostly focused on biomass burning it seemed to not fully capture the scope of the work.

- 10 <u>Response and Revisions</u>: We appreciate the review's comment. According to the source apportionment, coal combustion and vehicle emission were the primary contributors of  $PM_{2.5}$  in the North China during winter. The two sources have been considered as the most leading emission sectors in the air pollution control program. While biomass burning, also an important emission, has been only considered slightly in the control program. Thus, biomass burning emission was
- 15 highlighted in the manuscript and a section was conducted for the implications for PM alleviation from the aspect of biomass burning.

It would have been very helpful if there were a section in the manuscript where all the results were drawn together so the reader can logically conclude how the different techniques complemented each other and what the final result of the different analytical techniques was.

<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's suggestion, we have added more description about the result analysis explanation in the revised manuscript. This would be convenient readers to grasp our key point in this study.

There are a lot of typos in the references.
 <u>Response and Revisions</u>: Thanks for the comment. The typos in study have been corrected in revised manuscript.

## Tables and Figures:

Table 1: It is not clear why the table is split in two and why the units are different. It would be much

easier to interpret the content if everything is aligned into 3 columns and the units are consistent.

<u>Response and Revisions</u>: Thanks for the comment. Following the reviewer's suggestion, we rearranged the contents as mentioned above. The table is split in two columns in order to show the data by different units. We retained the structure because it is able to show more information (page 31).

## Table 2: Make into one page

Response and Revisions: Thanks for the comment. Table 2 has been made in one page (page 32).

10 Table 3: Uncertainty needs to be reported for each measurement <u>Response and Revisions</u>: Thanks for the comment. The uncertainty has been reported in revised manuscript (page 33).

Table 4: Rows 1, 3, and 4 do not add up to a 100% when horizontally summed. The difference is minimal, but what is the reason for it? Also the significant digits are probably not necessary, based on

the accuracy of the analysis. <u>Response and Revisions</u>: Thanks for the comment. The difference was attributed to maintain the

uniformity significance digit of the data. Following the suggestion, we gave two decimal places for all these data in the revised table (page 33).

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Figure 1. Some locations that were mentioned in the text are not included in the figure. <u>Response and Revisions</u>: Thanks for the comment. Figure 1 has been redrawn, and the locations mentioned in the manuscript have been added in the revised figure (page 34).

- 25 Figure 2. This is very difficult to follow, a more detailed description of what the figure is showing will be helpful <u>Response and Revisions</u>: Thanks for the comment. More description has been added in the revised figure (page 35).
- 30 Figure 4. The figure caption should explain why the B&B and Fossil emissions from the PMF do not

add to a hundred in the bars. It is described in the text, but a note in the figure will make it much easier to understand.

<u>Response and Revisions</u>: Thanks for the suggestion. The explanation has been added in the revised figure (page 37).

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## **Reviewer 2**

In present study, a more reliable source apportionment of  $PM_{2.5}$  on the regional scale in the North China in winter is provided using PMF simulation and the radiocarbon measurements. It is consistent work performed on a good level. It looks like the title of the paper does not relate the content of the paper well. It announces Biomass burning contribution to regional  $PM_{2.5}$  during winter in general in the North China while the work was done only on one site. If one site represents the whole North

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# China?

<u>Response and Revisions</u>: Thanks very much for these comments. Please find our detailed responses below.

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1. It looks like the title of the paper does not relate the content of the paper well.

We appreciate the review's comment. As mentioned by the reviewer, the sources apportioned by the paper are not only biomass burning but also coal combustion, vehicle emission and so on. We use the title "Biomass burning contribution to regional  $PM_{2.5}$  during winter in the North China" in order to highlight the important of biomass burning, which is often underestimated or ignored in some areas in China (Gao et al., 2016). However, we agree with the opinion of the reviewer, and change the title as "Radiocarbon and PMF based the source apportionment of regional  $PM_{2.5}$  at a regional background site in North China: insight into the contribution of biomass burning.".

## 25 2. If one site represents the whole North China?

Thanks for the comment. Background site is usually adopted to determine the regional-scale concentration burden and the source apportionment of aerosols (Moon et al., 2008; Sheesley et al., 2012; Waked et al., 2014; Yao et al., 2016; Yin et al., 2010; Yttri et al., 2011). The sampling point in our study is a regional background site in North China, where no obvious emission source nearby.

30 Besides, the back trajectory clusters indicated that more than half of the air masses (54%) during the

sampling period were from the Beijing-Tianjin-Hebei region, followed by the air masses from the Mongolia (35%), and Shandong Peninsula (11%), suggesting the area air mass passed through in this paper included the whole North China. This proved the significance of our sampling site for the source apportionment of aerosol in North China in winter. Similar methods were applied frequently, for example, Liu conducted the source apportionment of carbonaceous aerosol in East China based on Ningbo, a background site in Zhejiang Province, China (Liu et al., 2013); Also, Zhang analyzed the carbon species in Mt. Jianfeng, a regional background site on Hainan Island, for the carbonaceous source in South China (Zhang et al., 2014).

## 10 Reference

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Biomass burning contribution to Radiocarbon and PMF based source apportionment of PM<sub>2.5</sub> at a regional PM<sub>2.5</sub> during winterbackground site in the North China: insight into the contribution of biomass burning

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#### Abstract

Source apportionment of fine particles ( $PM_{2,5}$ ) at a background site in the North China in the winter, of 2014 was assessed by via statistical analysis on the chemical species grouped by the trajectory elusters, radiocarbon (<sup>14</sup>C) measurement, and the Positive Matrix Factorization (PMF) modeling 5 linked with the <sup>14</sup>C measurement. During the sampling period, . Results showed that the concentration of PM<sub>2.5</sub> was 77.6 ± 59.3  $\mu$ g m<sup>-3</sup>, and the sulfate of which SO<sub>4</sub><sup>2-</sup> concentration was the highest, followed by nitrate, NO3, organic carbon (OC), elemental carbon (EC) and ammonium, NH4+, respectively. Demonstrated by the backward trajectory, more than half of PM<sub>2.5</sub>-was found the air 10 mass during the sampling period was from the Beijing-Tianjin-Hebei (BTH) region, followed by the Mongolia and the Shandong Peninsula. The cluster Cluster analysis of chemical species showed that  $PM_{2.5}$  from the Shandong Peninsula had an obvious signal of biomass burning emission in the  $PM_{2.5}$ from the Shandong Peninsula, while that the PM2.5 from the BTH region showed a vehicle emission pattern. The This finding was further confirmed by the radiocarbon<sup>14</sup>C measurement of OC and EC in two merged samples selected from a successive synoptic process. The <sup>14</sup>C measurement results 15 indicated that biogenic and biomass burning emission contributed 59% and 52% to OC and EC concentrations, respectively, when air masses originated from the Shandong Peninsula, and the contributions fell to 46% and 38%, respectively, when the prevailing wind changed and came from the BTH region. In addition, The minimum deviation of the source apportionments from PMF results 20 and <sup>14</sup>C measurement was usedadopted as the optimal choice of the model exercises. Here, two minor overestimations with the same range (3%) suggested that the PMF results provided a reasonable source apportionment of regional PM2.5 in the North China during winter, this study. Based on the **PMF** results above, eight main sources were identified; of which these, coal combustion, biomass burning, and vehicle emissions emission were the largest contributors of  $PM_{2.5}$ , accounting 25 for 29.6%, 19.3% and 15.8%, respectively. Compared with the overall source apportionment, the contribution contributions of vehicle emission-, mineral dust and coal combustion, biomass burning increased slightly when air masses came from the BTH region, the contribution of mineral dust and coal combustion rose obviously when air masses were from the Mongolia-with high speed, and biomass burning became the dominant contributor when air masses carried from the Shandong Peninsula. As the largest contributor to PM<sub>2.5</sub> in winter of North China, respectively. Since coal 30

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combustion hasand vehicle emission have been identified asconsidered the most-leading emission sectorsectors to be controlled for improving the air quality by the government. Vehicle emission contributed significantly to the  $PM_{2.5}$  levels in the BTH region, which has also been considered to control as the second major emission sector. Biomass, biomass burning emission was highlighted in the present study because of its dominant contribution to  $PM_{2.5}$  burden in the Shandong Peninsula. Some suggests were provided to wake farmers from agricultural residue burning in household and field.

Keywords: Source apportionment, PMF, <sup>14</sup>C measurement, PM<sub>2.5</sub>

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#### **1** Introduction

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In recent years, air pollution has become a top environmental issue in China, and the main<sup>4</sup> concern is on the fine particulate matter less than 2.5 micrometers in diameter ( $PM_{2.5}$ ) (Huang et al., 2014;Sheehan et al., 2014)(Huang et al., 2014; Sheehan et al., 2014). Fine particulate aerosols have a strong adverse effect on human health, visibility, and directly or indirectly affect weather and climate-(Pui et al., 2014). The negative effects on the public health, including the damage to the respiratory and cardiovascular systems, the blood vessels of the brain, and the nervous system, have triggered both public alarm and official concern in China (Kessler, 2014). (Kessler, 2014). In response to this great concern, the Chinese government has introduced the Action Plan for Air Pollution Prevention and Control (2013–17), which aims at marked improvements in the air quality up tountil 2017. In the plan, the severest supervisionmost severe regulation for the improvement is a reduction of 25% in the annual average concentrations of  $PM_{2.5}$  by 2017-(Chinese-State-Council, 2013). It has been applied in the North China because the region has become the most severely polluted area in China, characterized by increasingly frequent haze events and regional expansionexpansions of extreme air pollution in recent years (Hu et al., 2015;Boynard et al., 2014;Quan et al., 2014<u>Hu et al., 2015</u>;

## Boynard et al., 2014).

Basically, the key point of reducing PM2.5 concentrations is to control its sources. Reliable

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source identification and quantification are essential for the development of effective political abatement strategies. However, the sources of PM2.5 typically emit a mixture of pollutants, including gas and particle phases, which would be mixed further in the atmosphere and can undergo chemical transformations prior to impacting on a specific receptor site, making it difficult to quantify the impacts. This encourages researchers to use more techniques to quantify the contribution 5 of individual sources to PM2.5 concentrations, such as the Positive Matrix Factorization (PMF), Chemical Mass Balance (CMB), organic tracers, and stable carbon isotopes, etc. Whereas, different approaches often result in source contributions that can differ in magnitude and/or are poorly correlated, and which one is more reliable cannot be determined (Balachandran et al., 2013). 10 radiocarbon <sup>4</sup>C) measurement has been used as a powerful tool Recently, <del>-to-auantify</del> unambiguously the fossil and non-fossil contribution of carbonaceous aerosols in China (Liu et al., 2014; Zhang et al., 2015; Liu et al., 2013). The method provides a chance to make a more reliable apportionment of PM2.5 by linking with other methods although it focuses only carbonaceous aerosols.

In present study, a more reliable source apportionment of PM<sub>2.5</sub> on the regional scale in the North China in winter was provided using PMF simulation, in which the source contribution of carbonaceous species was confirmed by the radiocarbon measurement. The effort is vital for the development of efficient mediation policies to achieve the improvement in air quality in the North China because the regional source apportionment cannot be replaced by that extensively focused in the metropolitan areas such as Beijing(Zhang et al., 2013), Tianjin(Gu et al., 2011), Jinan(Gu et al., 2014), and others within the North China. Thus, we collected continuously aerosol samples with high intensity on Qimu Island in winter to assess the source apportionment of PM<sub>2.5</sub>. The objectives of this

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study were (1) to determine the regional scale concentration burden and the chemical composition of  $PM_{2.5}$  in the North China. (2) to distinguish the source signals based on the chemical composition grouped according to the trajectory clusters, and 3) to assess the source apportionment of PM2.5-using the PMF model linked with <sup>44</sup>C measurement.

The key point in reducing  $PM_{2,5}$  concentrations is to control its sources. Reliable source identification and quantification are essential for the development of effective political abatement strategies (Balachandran et al., 2013). However, the sources of  $PM_{2,5}$  typically emit a mixture of pollutants, including gas and particle phases, which mix further in the atmosphere and can undergo chemical transformations prior to impacting a specific receptor site, making it difficult to quantify

the impacts (Balachandran et al., 2013). This encourages researchers to use more techniques to 10 guantify the contribution of individual sources to  $PM_{2,5}$  concentrations, such as the Positive Matrix Factorization (PMF) (Paatero and Tapper, 1994), Chemical Mass Balance (CMB) (Chow and Watson, 2002), organic tracers (Ding et al., 2013), and stable carbon isotopes (Cao et al., 2011). However, these different approaches often result in source contributions that can differ in magnitude and/or are 15 poorly correlated, and the most reliable one cannot be determined (Balachandran et al., 2013). Radiocarbon (<sup>14</sup>C) measurements provide a powerful tool to unambiguously determine fossil and non-fossil sources of carbonaceous particles, and the method has been used in source apportionment of carbonaceous aerosols in China (Zhang et al., 2015; Liu et al., 2013; Liu et al., 2014). The underlying principle of <sup>14</sup>C measurements is that the radioisotope has become extinct in fossil fuel 20 carbon due to its age (half-life 5730 years), while its contemporary level in non-fossil carbon sources is relatively constant (Szidat, 2009; Szidat et al., 2004). This method provides a chance to more reliably source apportion  $PM_{2,5}$  by linking with other methods, although it focuses only on carbonaceous aerosols.

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In the present study, a more reliable source apportionment of  $PM_{2.5}$  at a regional background site in North China during winter was provided using PMF simulation, in which the source contribution of carbonaceous species was confirmed by the <sup>14</sup>C measurement. The effort is vital for the development of efficient mediation policies to achieve improvement in air quality in North China because regional source apportionment cannot be replaced by those extensively focused on the metropolitan areas such as Beijing (Zhang et al., 2013), Tianjin (Gu et al., 2011), Jinan (Gu et al., 2014), and others within North China. Thus, we collected continuous aerosol samples on Qimu Island during winter to apportion  $PM_{2.5}$  sources. The objectives of this study are (1) to determine the concentration burden and the chemical composition of  $PM_{2.5}$ , (2) to distinguish the source signals based on the chemical composition grouped according to the trajectory clusters, and (3) to apportion  $PM_{2.5}$  sources using the PMF model linked with <sup>14</sup>C measurement.

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#### 2 Materials and methods

#### 2.1 Sampling site and sample collection

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The sampling campaign was conducted, from January 3 Jan-to February 11-Feb, 2014, at the Longkou Environmental Monitoring Station of the State Ocean Administration of China (37°41'N, 120°16'E)), on the Qimu Island. The island is extended extends to the Bohai Sea in the westward direction westwards, and is surrounded by sea on its other three sides, as shown in Fig. 1. And the The sampling site is located in about approximately 15 km northwest from of the Longkou urban district and 300 km southeast from of the Beijing-Tianjin-Hebei (BTH) region. PM<sub>2.5</sub>-atLongkou city is closest to the sampling site exhibited largely a regional pollution signal during the sampling period, because the air masses were mainly carried from the BTH region, and the Mongolia subjected by the East Asian winter monsoon as illustrated by the backward trajectories in Fig. 1, which will emissions from the city can be elaborated later considered the primary local sources.

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A total of 76 PM<sub>2.5</sub> samples were collected continuously on quartz fiber filters (Whatman, QM-A,  $20.3 \times 25.4$  cm<sup>2</sup>, heated at 450 °C for 6 h before use) using a Tisch high volume sampler at a flow rate of 1.13 m<sup>3</sup> min<sup>-1</sup> during the sampling period. The duration for each sample was 12 h, from 06:00–18:00 and from 18:00–06:00 (local time) the next day. Before and after each samplingsample, quartz fiber filters went through awere subjected to 24 h equilibration at  $25\pm\pm1$  °C temperature and  $50\pm\pm2$ % relative humidity, and were then-were analyzed gravimetrically using a Sartorius MC5 electronic microbalance<sub>7</sub> (Zhang et al., 2015; Liu et al., 2013; Huang et al., 2014). Each filter was weighed at least three times. Acceptable difference among the repetitions was less than 10 µg for a blank filter and less than 20 µg for a sampled filter. After weighing, loaded filters were stored in a refrigerator at -20 °C until chemical analysis. AlsoIn addition, field blank filters were collected to subtract the possible contamination that occurredoccurring during or after sampling.

30 2.2 Chemical analysis

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#### 2.2.1 OC and EC

Organic carbon (OC) and elemental carbon (EC) were analyzed by a Desert Research Institute (DRI) Model 2001 Carbon analyzer (Atmoslytic Inc., Calabasas, CA) following the Interagency Monitoring of Protected Visual Environment (IMPROVE A) thermal/optical reflectance (TOR) protocol (Chow et al., 1993).(Chow et al., 2007). A punch of 0.544 cm<sup>2</sup> from each quartz filter was 5 heated to produce four fractions (OC1, OC2, OC3 and OC4) in four temperature steps (140, 280, 480, 580 °C) under a non-oxidizing helium atmosphere and then in 2%  $O_2/98\%$  He atmosphere at 580 °C (EC1), 740 °C (EC2), and 840 °C (EC3) for the EC fractions. At the same time, pyrolyzed organic carbon (POC) was produced in the inert atmosphere, which decreased the reflected light to correct 10 for charred OC. The concentrations of OC and EC were obtained according to the IMPROVE protocol, OC = OC1 + OC2 + OC3 + OC4 + POC and EC = EC1 + EC2 + EC3 - POC. The detection limits of the method for OC and EC were 0.82 and 0.20 µg cm<sup>-2</sup>, respectively. In addition, blank filters and replicate samples were examined simultaneously after analyzing a batch of 10 samples in order to obtain their inherent OC and EC concentrations on the filterfilters and to evaluate 15 measurement accuracy, respectively. In this study, the contributions of OC and EC from blank filters were < 3.5 and 0.6% of their respective average concentrations. Furthermore, comparison with average values from replicate analyses showed a good precision with relative deviations of 5.9%. The uncertainties of OC (5.6%) and EC (5.5%) were calculated from the replicate measurements.

2.2.2 Water-soluble ions and metal elements

Two punches with 47 mm diameter <u>punches</u> were cut off from each quartz fiber filter, <u>one</u> of which, <u>one</u> was subjected to Milli-Q water extraction for ionic measurement, and the other <u>wasunderwent</u> induced acid digestion for elemental measurement. The concentrations of water soluble ions (Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup>) were determined by ion chromatograph (Dionex ICS3000, Dionex Ltd., America) based on the analysis method (Shahsavani et al., 2012). (Shahsavani et al., 2012). The concentrations of metal elements (including Ti, V, Mn, Fe, Co, Ni, Cu, Zn, As, Cd and Pb) were estimated on the basis of via inductively coupled plasma coupled with mass spectrometerspectrometry (ICP-MS of ELAN DRCII type, Perkin Elmer Ltd., Hong Kong) following the previous method (Wang et al., 2006). (Wang et al., 2006). The detection limit of water-soluble ions was 10 ng<sup>4</sup> ml<sup>-1</sup> with the error < 5%, and 1ml1 ml RbBr of 200 ppm was put in the solution as <u>an</u> internal standard before analysis. Similarly, the The resolution of ICP-MS

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ranged from 0.3 to 3.0 amu with a detection limit lower than  $\leq 0.01$  ng/ml, and the error < 5%. ElementFive ppb elemental Indium (In) of 5 ppb, as the internal standard, was put in the solution before analysis- as an internal standard.

2.2.3 Radiocarbon<sup>14</sup>C measurement

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To achieve more radiocarbon<sup>14</sup>C information on carbonaceous fractions in  $PM_{2.5}$ , OC was split into water-soluble organic carbon (WSOC) and water-insoluble organic carbon (WIOC) fractions. WSOC was extracted from a punch filter by Milli-Q water as described in previous study (Liu et al., 2014)a previous study (Zhang et al., 2014c), and was quantified as total dissolved organic carbon in solution by a total organic carbon (TOC) analyzer (Shimadzu TOC-VCPH, Japan). WIOC was quantified by OC given by the TOR protocol subtracting WSOC. The uncertainties of WSOC calculated from four time measurements were 6.7% for M1 and 5.3% for M2, while the uncertainties of WIOC were 8.7% and 7.7%, in sequence, estimated by error propagation formulas.

<sup>14</sup>C measurement of WSOC, WIOC and EC was performed using the OC/EC separation system

(Liu et al., 2014). Briefly, the extracted Milli Q water was freeze (Liu et al., 2014). Briefly, the extracted Milli-Q water was freeze-dried, and the residue was re-dissolved and transferred to a 15 pre-combusted quartz tube. Then the quartz tube was combusted at 850 °C and WSOC was converted into CO2. The extracted filters were isolated at 340 °C for 15 min for WIOC, after a flash heating of 650 °C for 45 s for minimizing theto minimize charring. After the separation, the filters were heated at 375 °C for 4 h to remove the-charring, and then oxidized under a stream of pure oxygen at 650 °C 20 for 10 min to analyze the EC fraction. Finally, the corresponding evolving CO<sub>2</sub> (WSOC, WINSOCWIOC and EC) was cryo-trapped and reduced to graphite at 600 °C for accelerator mass spectrometry (AMS) target preparation- (Xu et al., 2007; Zhang et al., 2010; Wacker et al., 2013). The preparation of graphite targets for AMS analysis was performed using the graphitization line at the Guangzhou Institute of Geochemistry, CAS. The ratios of  ${}^{14}C/{}^{12}C$  in the graphite samples were determined through a NEC compact AMS at Peking University. In this study, the isolated carbon 25 amounts were typically in the range of 120-280 µg, depending on the samples. The WSOC and WIOC in the blank samples only accounted for 1.94% and 1.15%, respectively, of the average value of M1 and M2, and EC was not found in the blank samples. Thus, the blank interference for the fractions of modern carbon ( $f_m$ ) of M1 and M2 in the <sup>14</sup>C measurement was very small and was ignored in this study. M1 and M2 are two combined samples for <sup>14</sup>C measurement, as elaborated 30

<u>later</u>.

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In order to compensate for the excess-<sup>14</sup>C caused by the nuclear bomb testing in the 1950s and 1960s, the  $f_m$ -given by AMS was further converted into the fraction of contemporary carbon ( $f_e$ ). The  $f_e$ -values in the samples were defined as  $f_e = f_m/1.10$  for EC,  $f_e = f_m/1.06$  for OC, and the fraction of fossil ( $f_i$ ) was defined as  $f_f = 1$  -  $f_e$  (Zong et al., 2015).

<u>Finally, in order to compensate for the excess <sup>14</sup>C caused by nuclear bomb testing in the 1950s</u> and 1960s, the  $f_m$  given by AMS was further converted into the fraction of contemporary carbon  $(f_c)$ . The  $f_c$  values in the samples were defined as  $f_c = f_m/1.10$  for EC,  $f_c = f_m/1.06$  for OC, and the fraction of fossil  $(f_f)$  was defined as  $f_f = 1 - f_c$  (Zong et al., 2015).

10 2.3 Data analysis methods

The hybrid single-particle Lagrangian integrated trajectory (HYSPLIT) model was used to generate 48-h backward trajectories with 12 h intervals. The HYSPLIT model is available on the National Oceanic and Atmospheric Administration Air Resource Laboratory website (www.arl.noaa.gov/ready/hysplit4.html). The trajectories were calculated for air masses starting from the sampling site at 500 m above ground level. Finally, a<u>A</u> total of 152 trajectories were generated and these trajectories were bunched into three clusters- by the clustering function in the HYSPLIT model. Air masses from the BTH region, the Mongolia and the Shandong Peninsula were defined as clusters from 1 to 3, respectively, as shown in Fig. 1, The observed chemical components of PM<sub>2.5</sub> carried byfrom the three air massesclusters were compared with each other to assess their potential sources.

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PMF v5.0 provided by the US EPA was utilized to assess the source apportionment in this study.PMF v5.0 was utilized to apportion  $PM_{2.5}$  sources, which is available at the US EPA website: www.epa.gov/air-research/positive-matrix-factorization-model-environmental-data-analyses. PMF is a multivariate factor analysis tool, which assumes that concentrations at a receptor site are supported by the-linear combinations of different source emissions. Thus, measured mass concentrations of selected species can be mostly expressed as (Paatero et al., 2014)(Paatero et al., 2014):

$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
(1)

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where  $x_{ij} - x_{ij}$  is the measured concentration of the jth - jth species in the ith - jth sample,  $f_{kj} - f_{kj}$  is the profile of jth - jth chemical species emitted by the kth - kth source,  $g_{ik} - g_{ik}$  is the amount of mass contributed by kth - kth source to the ith - ith sample, and  $e_{ij} - e_{ij}$  is the residual for each samples/species. The matrixes matrices of g - g and f - f are determined by minimizing an objective function (Paatero et al., 2014) (Paatero et al., 2014).

After determination and interpretation of these factor profiles, source contributions identified by the modelTo further confirm PM<sub>2.5</sub> sources apportioned by the PMF model, the source contributions of OC and EC were examined by <sup>14</sup>C measurement. The modeled source contributions were merged into two groups according to fossil and contemporary carbon sources. Then the contribution fractionfractions of fossil or contemporary carbon sources to OC and EC wascould be compared with the results derived from <sup>14</sup>C analysismeasurement for the specified sample to confirm the model results samples as:

$$R_{ij} = \sum_{k=1}^{n} g_{ik} f_{kj} / \sum_{k=1}^{p} g_{ik} f_{kj}$$
(2)

Where R where R is the contribution fraction, and matrixesmatrices of  $\underline{g}$  and  $\underline{f}$  are the same as in eqn(1). The subscripts i subscript *i* is a specified sample,  $\underline{j}$  is species of OC or EC. In species, *n* is the number of fossil or contemporary carbon sources, and  $\underline{p}$  is the number of all sources. The minimum deviation of PM<sub>2.5</sub> source contributions apportioned by the PMF exercises and <sup>14</sup>C measurements was used to determine the final model scenario. The model results were treated as providing a more reliable solution for the source apportionment.

## 20 **<u>2.4 Principle of samples selected for <sup>14</sup>C analysis</u>**

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The comparison of OC and EC focused on cluster 1 and cluster 3 because most species of  $PM_{2.5}$ in these two clusters were statistically greater than in cluster 2, as elaborated later. To better achieve the comparison using a few samples for <sup>14</sup>C analysis due to its extensive cost, the representative capacity of all samples in the two clusters was examined thoroughly. It is expected that PMF can better interpret those data close to the average condition of each chemical species, since the method utilizes error-minimizing estimates to decompose a matrix of sample data into two matrices under

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strict non-negativity constraints for the factors (Paatero et al., 2014). Therefore, OC and EC concentrations, and ratios of OC/PM<sub>2.5</sub> and EC/PM<sub>2.5</sub> of each sample, were compared with those in the corresponding cluster by mean test.

Finally, two combined samples were selected from a perfect synoptic process during the sampling period. The synoptic process occurred during January 16<sup>th</sup> and 18<sup>th</sup>, 2014. As shown in Fig. 5 2, the first half of air masses in the synoptic process were derived from the south and passed through the Shandong Peninsula (cluster 3) and the bottom half were from the north and passed over the BTH region (cluster 1). Thus, two samples collected continually from 06:00 to 18:00, January 16th and from 18:00 to 06:00 the next day in the first half of the synoptic process were merged into one sample (M1) for the <sup>14</sup>C analysis. Similarly, other two samples collected continually from 18:00 to 10 6:00, January 17<sup>th</sup> and from 06:00 to 18:00 in the next day were combined into the other sample (M2). M1 reflected the signal of air masses coming from the Shandong peninsula, while M2 showed the pattern of air masses from the BTH region. Mean test showed that except for a significant high ratio of EC/PM<sub>2.5</sub>, the OC and EC concentrations and the OC/PM<sub>2.5</sub> ratio of M2 were negligibly different from cluster 1, at a 95% significance level, indicating its perfect representative capability 15 for further carbonaceous analysis. However, M1 was not ideal because only ratios of OC/PM2.5 and EC/PM2.5 had no statistical difference, OC and EC concentrations were significantly higher than that in the cluster 3 at the same significance level. Even so, the samples were still considered for  $^{14}C$ analysis because they were from a faultless synoptic process during the sampling period. Continuous 20 samples were more dramatic than insular samples. In addition, the insignificant difference of the ratios of OC/PM<sub>2.5</sub> and EC/PM<sub>2.5</sub> assured the validity for PM<sub>2.5</sub> source assessment, which was more

important than concentration in this study.

**3 Results and discussion** 

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3.1 General characteristics of PM2.5 and chemical components

Table 1 lists a statistical summary of the concentrations of  $PM_{2.5}$ , water-soluble ions, carbonaceousspecies and metal elements during the sampling period.**3 Results and discussion** 

#### 3.1 General characteristics of PM2.5 and chemical components

Table 1 lists a statistical summary of the concentrations of PM2.5, water soluble ions,

earbonaceous species and metal elements during the sampling period. As shown, the mean

Formatted: Don't add space between paragraphs of the same style, Line spacing: 1.5 lines concentration of PM<sub>2.5</sub> was 77.6 ± 59.3 μg m<sup>-3</sup>, and the maximum value was 305 μg m<sup>-3</sup>, which werewas more than two-and eight times higher than the grade I national standards (35 μg m<sup>-3</sup>, Ministry of Environmental Protection of China: GB 3095-2012, www.zhb.gov.cn<del>, 2012 02 29).</del> Although the level of PM<sub>2.5</sub> concentration on Qimu Island was higher than the national standard, but it was much lower than that observed in winter in megacities of the North China, such as in Beijing (208 μg m<sup>-3</sup> of PM<sub>2.1</sub> in 2013)(Tian et al., 2014) and Tianjin (221 μg m<sup>-3</sup> in 2013)(Han et al., 2014). Besides, the concentration level was significantly higher than the result (42.4 μg m<sup>-3</sup> in winter, 2012) measured at a national station for background atmospheric monitoring on Tuoji Island, located on the Bohai Strait (Wang et al., 2014). The obviously high concentration of PM<sub>2.5</sub> on Qimu Island was possibly attributed to the short distance between the sampling site and emission source on the Shandong Peninsula (see Fig. 1) and strong deposition of particles due to high precipitation over the Bohai Sea in the winter of 2012 (Zhang et al., 2014).

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<u>, 2012-02-29</u>). Although the level of  $PM_{2.5}$  concentration on Qimu Island was higher than the national standard, it was much lower than that observed in winter in the megacities of North China, such as in Beijing (208 µg m<sup>-3</sup> of  $PM_{2.1}$  in 2013) (Tian et al., 2014) and Tianjin (221 µg m<sup>-3</sup> in 2013) (Han et al., 2014).

For the PM<sub>2.5</sub> components, water-soluble inorganic species (WSIS) were the dominant species, accounting for 37 ± 16 % of the PM<sub>2.5</sub> mass concentrations. Among the ionic concentrations, sulfateions,  $SO_4^{2^2}$  ranked the highest with a mean concentration of  $14.2 \pm 18.0 \ \mu g \ m^{-3}$ , followed by nitrateNO<sub>3</sub><sup>±</sup> (11.9 ± 16.4  $\mu g \ m^{-3}$ ) and ammoniumNH<sub>4</sub><sup>±</sup> (3.11 ± 2.14  $\mu g \ m^{-3}$ ). The sum of the three secondary inorganic aerosols constituted the majority (81 ± 12 %) of the total WSIS concentrations. In addition, the average concentrations of OC and EC were 6.85 ± 4.81 and 4.90 ± 4.11  $\mu g \ m^{-3}$ , accounting for 9.2 ± 2.1 % and 6.4 ± 1.8 % of the PM<sub>2.5</sub> concentrations, respectively. Total concentrations of analyzed metal elements were 665 ± 472 ng m<sup>-3</sup>, accounting for 0.93 ± 0.50 % of the PM<sub>2.5</sub> mass concentration. Among the measured metal elements, the concentration of Fe (408 ± 285 ng m<sup>-3</sup>) was the highest, followed by Zn (107 ± 142 ng m<sup>-3</sup>), and Pb (88.4 ± 85.7 ng m<sup>-3</sup>). Formatted: Don't add space between paragraphs of the same style, Line spacing: 1.5 lines, Widow/Orphan control Formatted: Font: 12 pt Formatted

The relative contribution of sulfate, nitrate  $SO_4^{2-}$ ,  $NO_3^{-}$ , and ammonium  $NH_4^{\pm}$  to the PM<sub>2.5</sub> at the sampling site was obviouslyclearly higher than that in the cities, such as Beijing and Tianjin, within the North China, while the organic matter was obviouslyclearly lower. The high contribution <del>agreed</del><u>contributions</u> of  $SO_4^{2-}$ ,  $NO_3^{-}$ , and  $NH_4^+$  agree with the regional scale emissionemissions</u> of their precursors in the North China, as it has been reported that the emission amounts of SO<sub>2</sub>, 5  $NO_2NO_{xx}$  and  $NH_3$  emissions were about approximately 10, 5, and 5 times higher compared to OC in the region, respectively (Zhao et al., 2012)(Zhao et al., 2012). The This finding was also in agreement with that results measured at Changdao Island (Feng et al., 2012)(Feng et al., 2012). The island, located at the demarcation line between the Bohai Sea and the Yellow Sea, is a resort with little industry at about approximately 7 km north from of the Shandong Peninsula (Feng et al., 2012)(Feng al., 2012). MeasurementMeasurements at the island was treated were interpreted as et providingshowing patterns of atmospheric outflow and regional pollution in North China (Feng et al., al., 2007)(Feng et al., 2012; Feng et al., 2007). It suggested that our 2012:Feng measurementmeasurements also provided provide a regional signal of  $PM_{2.5}$  pollution in the North China. Besides, sulfateFurthermore,  $SO_4^{2-}$  was the largest contributor of  $PM_{2.5}$ -as aforementioned. The, and the highest contribution contributor is usually regarded as a regional pollution signal in winter. This is because of the during low temperature conditions in PM2.5 source areas there is a lack of a fast conversion rate of SO<sub>2</sub> to sulfate SO<sub>4</sub><sup>2-</sup> in eloud clouds or aerosol droplet processes droplets and oxidation reactionreactions via OH free radical in low temperature condition in source areas (Hu 20 et al., 2015). This also indicated that our measurement radicals (Hu et al., 2015). Thus, our measurement largely reflected largely a pollution pattern on a regional scale, rather than just in source areas.

#### 3.2 Source signals based on cluster analysis\_

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As shown in Fig. 1, the 48-h back trajectory clusters indicate dindicate that more than half of the air masses (54%) during the sampling period were from the BTH region, defined as (cluster  $1_{7}$ ), followed by the air masses from the Mongolia (35%, cluster 2). Air masses of these two types traveled about 200 and 250 km, respectively, over the Bohai Sea before arriving at the sampling site. Thus, the atmospheric pollutants carried by the two kindkinds of air masses were mixed well during the transport, showingcreating regional pollution signals. Only a small part of the air masses (11%) were from the Shandong Peninsula (cluster 3), reflecting potentially reflecting a mixed contribution

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of local and regional sources from south area of the sampling site. In addition, only one trajectory in cluster 3 passed the urban area of Longkou, when measured  $PM_{2.5}$  concentration was 95.3 µg m<sup>-3</sup>. This level was lower than the average of  $PM_{2.5}$  concentrations in cluster 3, listed in Table 2, indicating minor contribution of local source emissions. To reveal the pollution patterns and source signals of  $PM_{2.5}$  carried by air masses from the three different regions, chemical species of  $PM_{2.5}$ 

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were grouped according to the three trajectory clusters, as listed in Table 2. Generally, mean test showed that the concentration levels of PM<sub>2.5</sub>-and its most abundant

species <u>types of PM<sub>2.5</sub></u> in <u>elusterclusters</u> 1 and <u>eluster</u> 3 were insignificant differences<u>are</u> both insignificantly different (p > 0.05) and statistically higher than that in cluster 2 (p < 0.01), as shown in Table 2. The patterns wereobserved are consistent with the spatial distributions of their emissions and concentrations in the-North China; as reported the, there are stronger emissionemissions and the more serious pollution in the BTH region and Shandong Province compared with that<u>than</u> in Inner-Mongolia and Liaoning (Zhao et al., 2012;Yang et al., 2011)(Zhao et al., 2012; Yang et al., 2011). And-Compared with Shandong Peninsula, the pollution in BTH region may be more serious in that<u>because</u> it <u>hastravels</u> much longer distance from<u>distances to</u> the sampling site-compared with Shandong Peninsula, while their concentration levels of, yet PM<sub>2.5</sub> were insignificant<u>concentrations</u> attributed to the two areas are insignificantly different. In addition, the mean wind speed of cluster 2 was 7.60 m s<sup>-1</sup>, which was markedly higher than that of cluster 1 (4.79 m s<sup>-1</sup>) and cluster 3 (4.86 m s<sup>-1</sup>). The wind<u>Wind</u> speeds were <u>yieldeddetermined</u> by <u>averagedaveraging</u> hourly moving distancedistances of those-air masses during <u>a</u> 48 hoursh period. The higher wind speed of cluster 2 resulted<u>likely</u> partly incontributes to the lower PM<sub>2.5</sub> level at the-sampling site, since that-high wind speed could provide a favorable diffusion conditions for atmospheric pollutants.

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Some anomalies compared with previous discussion provided different source signals amongamongst the clusters. For instance, the<u>K</u><sup>+</sup> concentration of K<sup>+</sup>-was significantly higher in cluster 3 than that in cluster 1, while the titanium (Ti) concentration was obviously lower. This reflected the relative<u>reflects relatively</u> high emission<u>emissions</u> of K<sup>+</sup> in the Shandong Peninsula and Ti in the BTH region from <u>both</u> natural sources and anthropogenic activities. In contrastLikewise, the concentration of Na<sup>+</sup> in cluster 2 was markedly higher than that in cluster<u>clusters</u> 1 and cluster 3, showing the large contribution of sea salt particles generated by sea spray under high wind speed into cluster 2.-It <u>PM<sub>2.5</sub> concentrations. This</u> suggested that sea salt sources couldshould not be ignored in

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this study, due to that the proximity of the sampling site was close to the Bohai Sea.\_
Sea salt emission is emissions are comprised of Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup> and Ca<sup>2+</sup>, (Ni et al., 2013). The amounts of the different chemical species from in sea salt emission emissions can be sustained with determined from using Na<sup>+</sup> as the tracer of sea salt, so; the amount amounts of these species from non--sea salt (nss-) emission emissions can be expressed as nss X = X - [Na<sup>+</sup>] × a, :

$$nss - x = x - [Na^+] \times a$$

where X indicates the Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, K<sup>+</sup>, Mg<sup>2+</sup> and Ca<sup>2+</sup> concentrations, and a is the typical equivalent concentration ratio of the corresponding species to Na<sup>+</sup>, such as<sup>+</sup> in average seawater:  $Cl^{-}/Na^{+}$  (1.80),  $SO_{4}^{2-}/Na^{+}$  (0.25),  $K^{+}/Na^{+}$  (0.036),  $Mg^{2+}/Na^{+}$  (0.12) and  $Ca^{2+}/Na^{+}$  (0.038) in average seawater (Ni et al., 2013).(Ni et al., 2013). If the calculated concentration of no-non-sea salt (nss-) chemical species is negative, then there is no the species excess exists.species exist. According to the calculation, for corresponding total chemical concentration levels grouped in clusters from 1 to 3, nss-Cl<sup>-</sup> accounted for 55  $\pm$  29%, 19  $\pm$  24% and 77  $\pm$  10%;% of total Cl<sup>-</sup>; nss-SO<sub>4</sub><sup>2-</sup> accounted for 99  $\pm$ 2%, 95 ± 4% and 99 ±  $0.3\frac{6}{3}$ ; of total SO<sub>4</sub><sup>2-</sup>; nss-K<sup>+</sup> accounted for 98 ± 3%, 89 ± 9% and 99 ±  $0.3\frac{1}{2}$ , of total K<sup>+</sup>; nss-Ca<sup>2+</sup> accounted for 95 ± 4%, 91 ± 10% and 96 ± 3%, respectively. In this study, <u>% of total Ca<sup>2+</sup>. Thus,</u> marked contributions of nss-emission sources to the chemical concentrations at all three clusters were found although the. However, these values were possibly may be underestimated, since total Na<sup>+</sup> amount does concentrations do not necessarily originate from sea salt alone, but could partially come from dust and burning sources(Zhang et al., 2013). And (Zhang et al., 2013). In addition, the loss of Cl particles due to a chloride depletion mechanism further supported supports the underestimation of Cl<sup>-</sup>. The contributions of nss-sources were lower in cluster 2 than that in elusterclusters 1 and 3, which was attributed to the high

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emissionemissions of sea spray resulting from coupled with high wind speed in cluster 2. Generally,

 $K^{\scriptscriptstyle +}$  is often used as a tracer for biomass burning. The high  $K^{\scriptscriptstyle +}$  concentration and the largest contribution of  $nss-K^+$  in cluster 3 indicated obviouslyclearly high emissions associated closely with agricultural burning in the Shandong Peninsula. The This finding agreed with the fact that Shandong is the largest producer of crop residues in the North China (Zhao et al., 2012) (Zhao et al., 2012), and biomass burning is one of thean important sourcesource of inorganic and organic aerosols in the Bohai sea atmosphere (Feng et al., 2012; Wang et al., 2014) (Feng et al., 2012; Wang et al., 2014). The contribution of nss-Mg<sup>2+</sup> to total magnesium concentration was less than 4% for the all clusters, indicating the species came mostly from sea salt emission. The mass ratio of Mg<sup>2+</sup> to Na<sup>+</sup> was  $0.07 \pm 0.06$ ,  $0.06 \pm 0.03$  and  $0.06 \pm 0.03$  for clusters from 1 to 3, respectively. The ratio was ratios were less than 0.23, also demonstrating that Mg<sup>2+</sup> mostly came from sea salt source (Zhang et al., 2013).

Enrichment Factor (EF) method with an abundance element associated with crustal elements is often used to assess enrichment characteristics of various elements in PM<sub>2.5</sub>. In the present study, Fe was used as the reference element for the assessment because other two major crustal elements (Si and Al) could not be measured due to the interference from the quartz fiber substrate of the samples. EF of 15 each element was calculated relative to the average crustal rock composition of Fe: EF = (X/Fe)<sub>Aerosol</sub>/(X/Fe)<sub>Crust</sub>-where (X/Fe)<sub>Aerosol</sub>- and (X/Fe)<sub>Crust</sub>- were the ratios of mean concentration of target elements and Fe in PM2.5 and continental crust, respectively. By convention, an EF value close to unity suggests that the element is dominantly contributed by natural soil dust or the contribution of anthropogenic sources is not significant, and the value much higher than 10 indicates that the 20 element is predominantly originated from human activities, such as combustion, automobile, industrial emissions, agricultural activities and etc. (Shah et al., 2012), An EF with a range of 1 to 10 indicates a hybrid contribution from crustal and anthropogenic sources. Here, except for the EF of Ni (9.17 ± 5.11) in cluster 3, the average EF of Ti, Ni, Cu, Zn, As, Cd and Pb in all clusters was greater than 10, showing severe influence from anthropogenic activity. The average EF in the three clusters also reflected that Co had a strong nature source, and the other elements (V and Mn) in all three

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elusters and Ni in cluster 3 were supported from crustal dust and anthropogenic activities.(Zhang et al., 2013).

The ratios of OC/EC and NO<sub>3</sub><sup>-/</sup>nss-SO<sub>4</sub><sup>2-</sup> were used as tracers to assess source signals of the

three clusters. It has been found that lowLow temperature burning, such as agricultural 5 residuesresidue burning, emits more OC compared with high temperature burning, e.g. vehicle exhaust. Thus, the ratio of OC to EC is often used to evaluate relative contribution of low and high temperature burning emission- (Zhao et al., 2012). The OC/EC ratios were  $1.41 \pm 0.30$ ,  $1.47 \pm 0.29$  and  $2.14 \pm 0.50$  for the-clusters from-1 to 3, respectively. Mean test showed that the ratios were insignificant difference between cluster 1 and cluster 2 ratios were insignificant at a 95% 10 confidence level, and theboth clusters 1 and 2 ratios of two groups were statistical lowstatistically lower compared with that of cluster 3, at the same confidence level. This suggested suggests that low temperature burning emission clearly contributed obviously to emission in cluster 3, while high temperature burning emission was more distinct in clusterclusters 1 and 2. BesidesFurthermore, mobile sources, such as vehicles, exhaust more  $NO_x$  than  $SO_2$ , while stationary sources, such as coal-fired power plants, emit more SO<sub>2</sub> than NO<sub>x</sub>. The- (Wang et al., 2005). These two precursors 15 convert into  $\frac{\text{sulfate} SO_4^{2-}}{\text{and } \frac{\text{nitrate} NO_3^{-}}{\text{in the atmosphere, and the two type sources show different}}$ ratioratios of NO<sub>3</sub><sup>-/</sup>SO<sub>4</sub><sup>2-</sup> from the two type sources. Therefore, the ... Hence, this ratio is often used as an indicator of the relative importance of mobile vs. stationary sources of sulfur and nitrogen in the atmosphere (Liu et al., 2014;Zhao et al., 2013)(Zhao et al., 2013; Liu et al., 2014). In this study, after deducting the contribution of sea salt to sulfate,  $SO_4^{2-}$ , the mean ratios of  $NO_3^{-7}$ /nss- $SO_4^{2-}$  were 0.96 ± 20  $0.31, 0.47 \pm 0.24$  and  $0.64 \pm 0.14$  for elusterclusters 1 to 3, respectively. Mean test showed that the three cluster ratios of the three clusters exhibit significant difference differences from each other at a 95% confidence level. The significant highhighest ratio in cluster 1 suggested suggests that amongst the three regions, mobile sources are the most important contribution of mobile source contributors of in the BTH region-among the three regions, followed by Shandong Peninsula (cluster 3). The ratio 25 of NO<sub>3</sub><sup>-/nss-SO<sub>4</sub><sup>2-</sup> in cluster 1 was within the range of that those found in large cities, such as Beijing</sup> (1.20), Tianjin (0.73), and Shijiazhuang (0.76), the capital of Hebei province (Zhao et al., 2013)(Zhao et al., 2013), reflecting a hybrid contribution from the BTH region. The value in cluster 2 was slightly lower than that in winter in Chengde (0.55), one city located in the northern mountainous area of Hebei Province (Zhao et al., 2013). (Zhao et al., 2013). It indicated more Formatted: Don't add space between paragraphs of the same style, Line spacing: 1.5 lines

obvious contribution of stationary source emissions in the following region, areas such as the east area of eastern Inner Mongolia and the west part of Liaoning, than that infrom the BTH region and the Shandong Peninsula. These stationary source emissions are possibly associated possibly with coal combustion because of the lower ration of OC/EC ratio in cluster 2 than that incompared to cluster 3.

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#### 3.3 Source apportionment of carbonaceous aerosols in PM2.5

The cluster analysis clearly indicated that PM<sub>2.5</sub> pollution on the Qimu Island wasconcentrations increased significantly influenced by the high concentrations of PM<sub>2.5</sub>-carried by the when air masses came from the BTH region and the Shandong Peninsula during the sampling period. The chemical species in PM<sub>2.5</sub> from the BTH region hadpossessed more remarkedmarked signals of high temperature burning and mobile sources, while thatthose from the Shandong Peninsula had more obvious patterns of low temperature burning and stationary sources. To further confirm the sources of PM2.5, source apportionment of carbonaceous aerosols, as the main components of PM2.5, valuated by <sup>14</sup>C measurement. In order to better achieve our purpose using a few samples for radiocarbon analysis due to the extensive cost of the analysis, two combined samples were collected nerfect synoptic process during the sampling period. The selection was reasonable as elaborated below. The synoptic process occurred during 16<sup>th</sup> and 18<sup>th</sup>, January, 2014. As shown in Fig. 2, the first half air masses of the synoptic process were derived from the south and passed through the Shandong Peninsula (cluster 3) and the bottom half were from the north and passed over the BTH region (cluster 1). Thus, two samples collected continually from 06:00 to 18:00, 16<sup>th</sup> January and from 18:00 to 06:00 the next day in the first half of the synoptic process were merged into one (M1) for the radiocarbon analysis. Similarly, other two samples collected continually from 17<sup>th</sup> January 18:00 to 6:00 and from 06:00 to 18:00 in the next day were combined into the other sample (M2). The M1 reflected the signal of air masses coming from the Shandong peninsula, while

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M2 showed the pattern of air masses from the BTH region.

measurement will be linked with PMF result, the Considering that the representative capacity of all samples in the two clusters was examined thoroughly. It is expected that PMF can interpret those data close to the average condition of each chemical species since the method 5 minimizing error estimates to decompose a matrix of sample data into two matrixes under constraints for the factors (Paatero et 2014) to assess their ratio for different purposes. Therefore, OC and EC concentrations, and ratios of OC/PM2 5 and EC/PM2 5 of each sample were compared with that in the corresponding cluster by mean test. Results showed that except for a significant high ratio of EC/PM25, the OC and EC ntrations and the OC/PM25 ratio of M2 were insignificant difference with that in the cluster 1 10 at a 95% significant level, indicating its perfect representative capability for further carbonaceous analysis. However, the typical ability of M1 was slightly bad because only ratios of OC/PM2 5- and EC/PM2-5-were no statistical difference, OC and EC concentrations were significantly higher than significant level. Finally, the samples still 15 radiocarbon analysis in that they were from the most faultless synoptic process during the whole dramatic than that from two was more insular the insignificant difference of the ratios of OC/PM25 assured the validity for PM25

source assessment, which was more important than carbonaceous species in this study.-

Table 3 lists the concentrations and contemporary carbon fractions of OC, WSOC, WIOC and20EC of the two combined samples-, which were selected via a perfect synoptic process during the<br/>sampling period. The fraction of OC was yielded by the average weightedweights of<br/>concentration<br/>concentrations of WSOC and WIOC fractions. It can be expressed as  $f_{e:}$ 

 $\frac{f_{c}(OC) = [f_{e}) = [f_{c}(WSOC) \times C_{\underline{C}}(WSOC) + f_{e}f_{c}(WIOC) \times C_{\underline{C}}(WIOC)] / [C_{\underline{C}}(WSOC) + C_{\underline{C}}(WSOC) + C_{\underline{C}}(WSOC)] / [C_{\underline{C}}(WSOC) + C_{\underline{C}}$ 

where  $f_e f_c(OC)$ ,  $f_e f_c(WSOC)$  and  $f_e f_c(WIOC)$  are the contemporary carbon fractions of OC, WSOC and WIOC, and  $\underline{C}_c(WSOC)$ -and  $\underline{C}_c(WIOC)$  are the concentrations of WSOC and WIOC, respectively. Generally, WSOC is mainly associated with biomass burning and secondary formation (Du et al., 2014)(Du et al., 2014), while OC directly emitted from the combustion of fossil fuel is mostly water insoluble (Weber et al., 2007).(Weber et al., 2007). During the earlier stage of the Formatted: Don't add space between paragraphs of the same style, Line spacing: 1.5 lines

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synoptic process, the concentrations of WSOC and WIOC were  $6.384 \ \mu g \ m^{-3}$  and  $6.323 \ \mu g \ m^{-3}$ , respectively. While Later on, the concentrations of the two carbonaceous fractions fell to  $3.687 \,\mu g \,m^{-3}$ and 5.303  $\mu$ g m<sup>-3</sup>, respectively, after the shift of the dominant wind <u>direction</u> from southerly to northwesterly, as shown in Fig. 2. The fraction of WSOC to OC decreased from 50% to 41% and the WIOC fraction increased from 50% to 59% before and after the shift of the dominant wind. It direction. This suggested that the contribution of fossil fuel combustion was more obvious in the BTH region than that-in the Shandong Peninsula, and vice versa. The implication could be further confirmed by the radiocarbon analysis of the two samples. The contemporary carbon fractions of WSOC and WIOC decreased from 0.59 to 0.49 and from 0.60 to 0.43, respectively, which indicated a decrease of the impact of biogenic and biomass burning emission, and an increase in contribution of the fossil fuel combustion to the two OC fractions after the shift of the prevailing wind. After the weighted average of the WSOC and WIOC fractions, the  $f_c(OC)$  values were 0.59 and 0.46 for the M1 and M2 samples, respectively. Together with  $f_{e} f_{c}$  (EC), it could be we determined that biogenic and biomass burning emission contributed 59% of OC and 52% of EC concentrations, respectively, when the air masses were from the Shandong Peninsula. After the change of wind fielddirection, the contribution of biogenic and biomass burning emission fell to 46% for OC and 38% for EC, respectively, which suggested that fossil fuel combustion contributed a dominant portion toof the carbonaceous aerosols from the BTH region.

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The synoptic process showed clearly showed a shift of the dominant wind from southerly to<sup>4</sup> northwesterly, namely from the Shandong Peninsula to the BTH region. In the meanwhileMeanwhile, the pattern of biogenic and biomass burning emission wasbecame more and more weak, and the signal of fossil fuel combustion wasbecame more and more obvious. If This was in agreement with that discussed above.our previous discussion. For instance, the emissionemissions in the BTH region exhibited more signals of high temperature burning and vehicle exhaustsexhaust. It was characterized by the lower ratio of OC/EC (1.41 ± 0.30), the higher ratio of NO<sub>3</sub><sup>-/</sup>/nss-SO<sub>4</sub><sup>2-</sup> (0.96 ± 0.31)), and the relatively lower concentration of nss-K<sup>+</sup> compared with thatthose in the Shandong Peninsula (2.14 ± 0.50 for OC/EC ratio, 0.64 ± 0.14 for NO<sub>3</sub><sup>-/</sup>/nss-SO<sub>4</sub><sup>2-</sup> ratio). The contribution of the biogenic and biomass burning emission to the carbonaceous aerosolaerosols in the Shandong Peninsula was still significant, which has often been mentioned in previous studies (Feng et al., 2012; Zong et al., 2015; Wang et al., 2014), although there was great\_combustion of fossil fuel (e.g., coal) for not only

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Formatted: Don't add space between paragraphs of the same style, Line spacing: 1.5 lines industrial activity but also heating in winter. The emission of biogenic and biomass burning in the Shandong Peninsula was often highlighted in previous studies (Feng et al., 2012;Zong et al., 2015;Wang et al., 2014).

3.34 Source apportionment of PM<sub>2.5</sub>

The EPA PMF 5.0 model and the data setswas used together with a date set of  $76 \times 22$  (76 samples with 22 species) were conducted to further quantitatively estimate the source contribution<u>contributions</u> of PM<sub>2.5</sub>. After iterative testing from 5 to 15 factors for the<u>in</u> model scenarios<u>exercises</u>, we found the minimum deviation of the source apportionments of OC and EC between the results from <u>radiocarbon<sup>14</sup>C</u> measurement and a PMF model scenario with an F<sub>peak</sub> value of 0 and the lowest Q values. The comparison will be elaborated below. (6245).

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Based on the PMF modeling results, eight main-source factors were identified, as shown in Fig. 3. Secondary inorganic aerosols, which were extensively identified in previous studies (Tao et al., 2014;Zhang et al., 2013), were apportioned into the primary source sectors in the present study. Because the results are more useful for guiding PM<sub>2.5</sub>-source controls. The contributions of the eight sources to PM<sub>2.5</sub> were summarized in Table 4. The total and cluster fractional contributions (%) from each source were calculated based on the corresponding sample values simulated by the PMF

modeling.

<u>3.</u> Traffic emission has attracted considerable concern in <u>the</u> megacities of China (e.g., Beijing<sup>4</sup> and Shanghai) due to the remarkable growth of <u>vehiclesvehicle numbers</u> in China. OnIn Beijing in <u>2012, on</u>-road vehicles were estimated <u>asto be</u> the largest local emission source and contributed 22% of PM<sub>2.5</sub>, including primary and secondary fine particles<del>, but</del> and excluding vehicle-induced road dust<del>, in Beijing in 2012</del> (Zhang et al., 2014e).(Zhang et al., 2014b). The first source factor was characterized by high loadings of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, OC, EC, Zn and Cu, which <u>enclosesmatched a</u> vehicle emission profile<u>- (Zhang et al., 2013).</u> Generally, <u>nitrate</u>, <u>sulfate</u>, <u>NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, OC and EC are mainly from engine exhaust <u>emissionemissions</u>, and ammonia is from vehicles equipped with three-way catalytic converters. Not only Zn and Cu, but also Pb and Cd are emitted directly bounded particles from exhaust (Zhang et al., 2014e).(Zhang et al., 2014b). In addition, the high NO<sub>3</sub><sup>-</sup>/SO<sub>4</sub><sup>2-</sup></u>

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ratiosratio of 1.28 calculated by the PMF results showed the pattern of suggested high temperature burning and vehicle emission. And the emissions. This source was the largest contributor forof NO<sub>3</sub>, which contributed 41% of the chemical species of PM<sub>2.5</sub>-during the sampling period. The contribution was higher than 31% of NOx emitted by traffic sectors in the-North China in 2003, which an expected an-increase of the contribution due to the rapid rise of vehicles in the North China in recent years (Shi et al., 2014b)(Shi et al., 2014b). This factor was the prevalent anthropogenic PM<sub>2.5</sub> source in the-North China, with an average contribution of 16% during the sampling period. The contribution was lower than that in Beijing (Zhang et al., 2014c)(Zhang et al., 2014b), agreeing with the regional contribution characteristic in our study, rather than ones in large eitycities, where a large number of vehicles were runningrun. The second factor consisted of mineral dust elements, such as Mn, Fe and Co, and chemical species from human activities, such as Zn and EC, showing a mixed pattern of natural and anthropogenic emissions. Vehicle emission is an important source of atmospheric zineZn pollution because it can be emitted from direct exhaust, lubricating oil additives, tyretire and brake abrasion, wearing and corrosion from anticorrosion galvanized automobile sheet, and reentrainment re-entrainment dust enriched with zinc (Duan and Tan, 2013). Zn (Duan and Tan, 2013). Thus, the source factor was treated identified as traffic dust under the relative high contribution of vehicle emission to PM<sub>2.5</sub> concentration.

The third source factor was ship emissions, typically characterized by high proportionproportions of Ni and V, and a high V/Ni ratio of V/Ni. High loading of thethese two metals is typically associated with the emissionemissions from residual oil, probably derived from shipping activities and some industrial processes (Pey et al., 2013). (Pey et al., 2013). In addition, a V/Ni ratio of more than 0.7 is always considered as a sign of PM<sub>2.5</sub> influenced by shipping emissions (Zhang et al., 2014a)(Zhang et al., 2014a). The average ratiosratio of V/Ni from the measured data werewas  $0.93 \pm 0.46$ , indicating an obvious contribution of shipping emission. The average ratio of V/Ni calculated from the PMF source profile was 1.02, which was the second highhighest value among thatamongst those derived from the eight sources. While the The highest value of 1.29 was for the mineral dust source, which agreesagreed with a high ratio of 3.06 for soil background concentrations of the two metals in mainland China (Pan et al., 2013). (Pan et al., 2013).

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The fourth factor showed high loadingloadings of Cu, Zn, As, Cd and Pb, which waswere<sup>4</sup> treated as mainly contributed by signals of industrial processes. The emission Emissions from the iron

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and steel industry are possibly important <u>amongamongst</u> those industrial processes <u>based onfor</u> two proofsreasons. One is <u>that</u> the sintering process in <u>the</u> iron and steel industries emits <u>lotslarge</u> <u>amounts</u> of Pb, Hg, Zn and other heavy metal pollutants, and other processes such as ironmaking and steelmaking also emit fugitive dust containing high <u>concentration\_concentrations</u> of heavy metals (<u>Duan and Tan, 2013)</u>(<u>Duan and Tan, 2013</u>). The other <u>reason</u> is the huge <u>scale of steel</u> production <del>of</del> steel\_in\_the North China. <u>The\_nationalNational</u> statistical data shows that China produced aboutapproximately half <u>worldthe world's</u> production of crude steel in 2014, and the productionsproduction in the BTH and Shandong were 25.3% and 7.8%-%, respectively, of the total amount in China, respectively, which is available at the website (http://www.stats.gov.cn/tjsj/ndsj/<u>4/</u>). Thus, iron and steel industries are <u>likely</u> the main atmospheric sources of the metal elements<del>, in this</del> <u>study</u>. In addition, the contribution of the source to <u>sulfateSO4</u><sup>2</sup> was 12%, which <u>iswas</u> similar <del>with</del> the value of 15% contributionto previously reported contributions of industrial processes to the amount of sulfur dioxide as reported previously (Zhao et al., 2012)(15%) (Zhao et al., 2012).

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The fifth source factor was biomass burning, characterized by high concentrations of  $K^+$ , OC, EC and NH<sub>4</sub><sup>+</sup>, which have been used extensively as tracers of biomass-burning aerosols (Zhou et al., 2015;Tao et al., 2014)(Zhou et al., 2015; Tao et al., 2014). The contribution of the<u>this</u> source was significantly higher in cluster 3 than that-in <u>clusterclusters</u> 1 and <u>cluster-2</u>, as listed in Table 4. <u>ItResults</u> agreed with more biomass burning emission in <u>the</u>\_Shandong Peninsula, characterized by rich K<sup>+</sup> and the high OC/EC ratio. <u>Besides, theThe</u> average ratio of OC to EC from the<u>this</u> source was also the highest (1.84) <u>amongamongst</u> the eight identified sources (0.23-1.84) calculated by the PMF modeling.

The sixth source factor was mineral dust, characterized typically by crustal elements, such as  $Ca^{2+}$ , Ti and Fe, which are alwaysoften used as the markers of soil dust (Zhang et al., 2013). The contribution of the source was obviously higher in cluster 2 than that in cluster 1 and 3, matching with the high wind speed in cluster 2. The average ratio of OC to EC (1.53) from the(Zhang et al., 2013). The contribution of this source was obviously higher in cluster 2 than that in clusters 1 and 3, corresponding to high wind speed in cluster 2. The average ratio of OC to EC (1.53) from the source was obviously higher in cluster 2 than that in clusters 1 and 3, corresponding to high wind speed in cluster 2. The average ratio of OC to EC (1.53) from this source was obviously higher than that (0.23) from vehicle dust, possibly suggesting that the source contributed more OC, mainly derived from biogenic dust, such as plant debris.

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The seventh source factor was characterized by high loading of Cl<sup>-</sup>, Na<sup>+</sup>, OC, EC, SO4<sup>2-</sup> Ni and Coal combustion is often showed by elevated Cl<sup>-</sup>linked with high Na<sup>+</sup>, OC and EC (Zhang et al., 2013). The source was the largest contributor of sulfate in the present study, matching with the entory results in the North China (Zhao et al., 2012). Also, the source was the largest contributor inv of PM<sub>2.5</sub> as listed in Table 4, which was coherent with the fact that coal combustion 5 predominant source of fine particle aerosols over China (Pui et al., 2014). As and Ni showing high loading factor was also used as the markers for coal fired power plant emissions (Tan et al 2016). The last source factor was sea salt, characterized by high loading of Na<sup>+</sup>, Mg<sup>2+</sup> and Cl<sup>-</sup>, which are related to the primary sea salt aerosols produced by the mechanical disruption of the ocean 10 surface. Similar to the second source (mineral dust), high wind speed in cluster 2 made the high contribution of the source in cluster 2 than that in cluster 1 and 3. In addition, the higher contribution fractions for Mg2+ than for Cl<sup>-</sup> of the source were in agreement with that discussed above. The concentration ratios of Cl7/Na<sup>+</sup> and Mg<sup>2+</sup>/Na<sup>+</sup> calculated from the PMF source profile were 1.79 and 0.11, respectively, similar to the corresponding ratios of the species (1.80 and 0.12, respectively) in average seawater (Ni et al., 2013). The sea salt source contributed 2.53%, 15.2% and 1.93% of OC 15 entrations in cluster from 1 to 3, respectively, but nothing for EC in all the clusters. It indicated source consisted of sea spray organic aerosol, which was produced by marine biogenic activities (Wilson et al., 2015).

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To obtain more reliable source apportionment, the source contribution to OC and EC derived from those PMF scenarios for the two specified samples (M1 and M2) was compared with the source apportionment indicated by the <sup>14</sup>C assessment. The model results with the minimum deviation were treated as providing a more reliable solution for the source assessment. For the final choice, the contributions of coal combustion, vehicle emission, industrial process and ship emissions derived from the PMF modeling to OC and EC were ranked as the fossil fuel combustion for the comparison.

Sea salt as marine biogenic source of OC was merged with biomass burning as contemporary carbon fractions. While mineral dust and vehicle dust were not taken into consideration for the classification because they originated from a hybrid sources of fossil and contemporary carbon emissions. The seventh source factor was characterized by high loadings of Cl<sup>-</sup>, Na<sup>+</sup>, OC, EC, SO<sub>4</sub><sup>2-</sup> Ni and As. Coal combustion is often indicated by elevated Cl<sup>-</sup> linked with high Na<sup>+</sup>, OC and EC (Zhang et al., 2013). This source was the largest contributor of  $SO_4^{2-}$  in the present study, matching with the inventory results in North China (Zhao et al., 2012). In addition, this source was the largest contributor of  $PM_{2.5}$ , as listed in Table 4, which agreed with the fact that coal combustion is considered the predominant source of fine particle aerosols over China (Pui et al., 2014). High loadings of As and Ni in the factor was also used as a marker for coal-fired power plant emissions (Tan et al., 2016).

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The last source factor was sea salt, characterized by high loading of Na<sup>+</sup>, Mg<sup>2+</sup> and Cl<sup>-</sup>, which are related to the primary sea-salt aerosols produced by mechanical disruption of the ocean surface. Similarly to the second source (mineral dust), high wind speed in cluster 2 made the contribution of this source in cluster 2 higher than that in clusters 1 and 3. In addition, the higher contribution fractions of Mg<sup>2+</sup> compared to Cl<sup>-</sup> in this source were in agreement with our previous discussion. The concentration ratios of Cl<sup>-</sup>/Na<sup>+</sup> and Mg<sup>2+</sup>/Na<sup>+</sup> calculated from the PMF source profile were 1.79 and 0.11, respectively, similar to the corresponding ratios of the species (1.80 and 0.12, respectively) in average seawater (Ni et al., 2013). The sea salt source contributed 2.53%, 15.2% and 1.93% of OC concentrations in clusters 1 to 3, respectively, but provided no EC contribution in any of the clusters. This indicates the source consists of sea-spray organic aerosols, which were produced by marine biogenic activities (Wilson et al., 2015).

The contributions of the eight sources to PM2.5 are summarized in Table 4. The total and cluster fractional contributions (%) from each source were calculated based on the corresponding sample values simulated by PMF modeling. Amongst-Fig. 4 shows the comparison of the PMF results and

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the <sup>14</sup>C measurement.

In M1, the biogenic and biomass burning (B&B) emission identified by PMF modeling contributed 52% and 49% of OC and EC concentrations, which were 7 and 3 percent, respectively, indicated by the radiocarbon measurement. Accordingly, the

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contributions of fossil fuel combustion to OC and EC from the PMF result were the same fraction of over and 4 percent below the corresponding values in the <sup>44</sup>C result Similarly, in M2, the B&B emission contributed 41% and 33% to OC and EC in the PMF result. percent below that in the <sup>14</sup>C result. The contribution of fossil fuel nd EC in the PMF result was 52% and 65%, which were the same percent (3%) below and over the corresponding values in the <sup>14</sup>C result. In general, the source contributions merged from the PMF that from the radiocarbonmeasurements. The underestimationmav attributed to the absence of considering the contribution of mineral dust and vehicle dust because their hybrid sources of B&B and fossil fuel combustion. The largest difference was 7 percent, 10 indicating a minor contribution of the two sources to carbonaceous species in PM2.5- The substantial was the two overestimations with the same range (3%), one was the contribution of fossil fuel combustion to OC in the M1 and the other was the contribution of fossil fuel combustion to EC The overestimation was attributed to classify irrelevantly B&B emission into fossil fuel combustion. In conclusion, the minor irrelevant classification suggested that the PMF results

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As listed in Table 4, among the eight sources identified by the PMF modeling, coal combustion, biomass burning and vehicle emissions were the largest contributors of PM2.5, which accounted for 29.6%, 19.3% and 15.8%, respectively, during the sampling period. They were followed, in decreasing order, by mineral dust (12.8%), ship emissions (8.95%), sea salt (6.58%), traffic dust (4.24%) and industrial process (2.64%). Generally, the source apportionment profile of PM<sub>2.5</sub> in cluster 1 was similar to that during the whole sampling period, because the regional scale pollution meantimemainly exhibited a pattern of atmospheric outflow of PM<sub>2.5</sub> from the BTH region in winter (Feng et al., 2007; Feng et al., 2012)(Feng et al., 2007; Feng et al., 2012). A slight increase ofin the contribution of vehicle emission in cluster 1 corresponded corresponds to the great concern on the sourceabout vehicle emission in megacities ofin China (Zhang et al., 2014c). However, the source

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provided a reasonable source apportionment of regional PM2.5- in the North China in winter.

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were obviously different from that in cluster 2 and 3. The strong northwesterly wind in the cluster 2 provided more large spatial scale signals of source apportionment of PM2.5, which indicated(Zhang et al., 2014b). The source signals in cluster 2 were obviously different from that in clusters 1 and 3. The strong northwesterly wind in it provided more large scale spatial signals of 5  $PM_{2.5}$  sources, indicating that coal combustion (37.7%) and mineral dust (26.8%) were the largest contributors in north areas of China in winter. The large scale PM2.5 pattern linked withto coal combustion agreed with the dominant position of coal consumption in Chinese energy structure-as reported that the; coal consumption accounted for 66% of primary energy in China in 2014 by the national bureau of statistics of China (available at http://www.stats.gov.cn/tjsj/ndsj/). Except 10 forOther than industrial consumptions, an additional use of consumption, coal is additionally used for residential heating in northnorthern areas of China during winter, which was extensively consumed. Although the household use of coal accounts for a small portion of total coal consumption in China, theits release is still a major source of PM2.5 in winter (Cao et al., 2012) since those household stoves always run under a condition with lack or backward environmental protection equipment. The traffic emission concerned extensively in large cities contributed a minor part (3.57%) of PM2.5 15 concentration on the large spatial scale because motor exhaust concentrates mainly in urban areas. In addition, Biomass(Cao et al., 2012), since household stoves usually run with no or outdated environmental protection equipment. Traffic emission, of much concern in large cities, only contributed a minor part (3.57%) of PM2.5 concentrations on a large spatial scale because motor 20 exhaust concentrates mainly in urban areas. In addition, biomass burning emission dominated the PM<sub>2.5</sub> pollution when air masses came from the Shandong Peninsula. The abundant emission from biomass burning was mainly attributed to the residential heating in the cold season.

The contributions of coal combustion, vehicle emission, industrial process, and ship emission derived from the PMF modeling of OC and EC were ranked as fossil fuel combustion for comparison. Sea salt as a marine biogenic source of OC was merged with biomass burning as contemporary carbon fractions. However, mineral dust and vehicle dust were not considered for this classification, because they originated from hybrid sources of fossil and contemporary carbon emissions. Fig. 4 shows the comparison of the PMF results and the <sup>14</sup>C measurement.

As described in section 2.4, M1 represents the air mass from Shandong peninsula, while M2 is on behalf of the air mass from BTH region. In M1, the biogenic and biomass burning emission

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identified by PMF modeling contributed 52% to OC and 49% to EC concentrations, which were 7 and 3 % below the fractions indicated by <sup>14</sup>C measurement, respectively,. The contributions of fossil fuel combustion to OC and EC from the PMF result were both 44%, which is 3 percent over and 4 percent below the corresponding values in the <sup>14</sup>C result. Similarly, in M2, the biogenic and biomass burning emission contributed 41% to OC and 33% to EC in the PMF result, 4 and 5 percent below the <sup>14</sup>C result, respectively. The contributions of fossil fuel combustion to OC and EC in the PMF result were 52% and 65%, respectively, which were the same percent (3%) below and over the corresponding values in the <sup>14</sup>C result. In general, the source contributions merged from the PMF results were lower than those from the <sup>14</sup>C measurement. This underestimation may be due to not considering the contributions of mineral dust and vehicle dust because of their hybrid sources. The largest difference between PMF and <sup>14</sup>C results was 7%, indicating a minor contribution of the two sources to carbonaceous species in PM25. The substantial difference was the two overestimations with the same range (3%); one was the contribution of fossil fuel combustion to OC in M1 and the other was the contribution of fossil fuel combustion to EC in M2. The overestimation was attributed to irrelevantly classifying biogenic and biomass burning emission as fossil fuel combustion. In conclusion, the minor irrelevant classification suggested that the PMF result in this study provided a reasonable source apportionment of regional PM2.5 in North China in winter.

#### 4 Implications for PM alleviation

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According to the-source apportionment results, coal combustion was the largest contributor of  $PM_{2.5}$  in the-North China during winter, and thethis source posedimposed a larger spatial pattern of  $PM_{2.5}$  pollution in northnorthern areas of China compared with the-North China. Therefore, to alleviate overall PM emissions, those generated by coal combustion should be firstly abated. Also, thefirst targeted. The source has been identified as the most-leading emission sector with a goal offor controlling the annual  $PM_{2.5}$  concentration in the air pollution control program. The contributions of traffic emission and biomass burning to  $PM_{2.5}$  concentrations also had an obviouslyformed a clear spatial pattern in the-North China during winter. Vehicle emission contributed significantly to  $PM_{2.5}$  in the BTH region, so thefor regulations this source should be prior-considered to control as the second major emission sector listed in the air pollutionto control program.

It-Biomass burning emission30to its dominant contribution to the PM2.5 burden in the Shandong Peninsula and because the emission28

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has been only lightly considered slightly in the control program. Indeed, the first national pollution source survey showed that Shandong province is the largest producer of crop stalks, with a production of 132 million in China 2007 tons in (Compilation Committee of the first China pollution source census, 2011)(Compilation Committee of the first China pollution source census, 2011). Of which these, about 20 million tons were 5 produced in the Shandong Peninsula (including the cities of Weifang, Yantai, Weihai, Qingdao and Rizhao). Approximate Approximately 40% of this production was used as household fuels fuel for cooking and heating in countryside of the peninsula countryside. The fraction was significantly higher than that in western areas of the Shandong province, such as Zibo (9%) and Jinan (8%), and 10 the fraction of open burning of crop residues in the peninsula (3%). Besides, the The fraction of biomass open burning in the peninsula was also higher than the average fraction (1.5%) in Shandong province in 2007. Generally, the emissions from agricultural field burning are mainly concentrated in the harvest season and contribute significantly to regional haze and smog events in the region, which have attracted special concern\_(Feng et al., 2012;Zong et al., 2015;Wang et al., 2014)(Feng et al., 15 2012; Zong et al., 2015; Wang et al., 2014). But the Despite this, open burning emission has been considered only as a minor source sector in the control program. Similarly, as an larger emitter, householdHousehold emission of agricultural waste-is continuous, another larger source, are released continuously or semi-continuously, and can also-can induce PM<sub>2.5</sub> pollution on a regional scale, which has also been despised or ignored (Zhang and Cao, 2015)(Zhang and Cao, 2015). Although

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Open burning is not fully controlled in China, although the government has enacted a series of<sup>4</sup> regulations to prohibit field burning since the 1990s and strengthenestrengthened the force of theirs supervision recently, the open burning is not fully controlled in China. The most basic reason is that there is no for continued burning is the lack of a reasonable alternative to utilize or handle the dispose of huge production amounts of agricultural waste pereach year. Under such a case, a part of In the current scenario, some agricultural wastes are collected and stored as fuel for household cooking and heating, and others are rapidly removed by open burning in fieldfields for the next planting during harvest season. Although farmers know that such use and disposal of agricultural residues are harmharmful to the environment. They, they still tend to use agricultural wastewastes as household fuel and remove them via burningburn wastes in field fields, mainly due to the low costcosts of the

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methods. A more permanent solution is would be to find the high higher economic value of in agricultural wastes via development of renewable techniques. Indeed, agricultural wastewastes can be utilized to produce manifoldmany renewable energyenergies, such as biogas, feedstuffs, biochar, bioethanol, and bio-succinic acid, and so on. China has provided relevant energy regulations, 5 legislations legislation, and policy initiatives for rural renewable energy (Li et al., 2015).(Li et al., 2015). The government has also encouragesencouraged and sustainssustained the development of the renewable energy industry to increase the demand offor raw feedstocks. Through these efforts, China has achieved some success in renewable development in rural areas, but thethese efforts are not an effective solution to the problem of surplus crop waste, because the costcosts and the benefits 10 cannot yet be offset. For instance, Zhangziying, a town located in the easteastern area of the Daxing district of Beijing, has developed household biogas and straw gas since the 1980s, but thein 2011 renewable energy only occupied aboutmade up approximately 10% of household energy consumption, which was much lower than the fraction of coal (30%) in 2011(Li et al., 2015).(Li et al., 2015). Before the achievement of high economic value, except for the ban on crop straw burning, 15 the government should compensate farmers to collect crop residues as feedstocks of renewable energy, rather than burning in fieldfields or householdhouseholds (Shi et al., 2014a).(Shi et al., 2014a). The revenue from the subsidy and the sale of crop residues can support famer's could help alleviate economic burdens for theon farmers, so they can use of clean energy, such as electricity, liquefied petroleum gas, biogas-and so on, etc., for household consumption (Kung and Zhang, 20 2015)(Kung and Zhang, 2015). The These efforts will not only significantly improve air quality, but also make famers to-learn the convenient convenience of clean energy and wake from agricultural residue burning.\_\_

#### **5** Conclusions

Source apportionment of wintertime PM2.5 at a background site in the North China in 2014 was 25 conducted by statistical analysis of the chemical species grouped according to the trajectory clusters, radiocarbon measurement of the carbonaceous species and the PMF modeling confirmed by the <sup>14</sup>C analysis. During the sampling period, the mean-average  $PM_{2.5}$  concentration of  $PM_{2.5}$ -was 77.6 ± 59.3  $\mu$ g m<sup>3</sup>, and sulfate concentrations were SO<sub>4</sub><sup>2-</sup> concentration was the highest of any constituent, with a mean of  $14.2 \pm 18.0 \ \mu g \ m^{-3}$ , followed by mitrateNO<sub>3</sub><sup>±</sup> (11.9 ± 16.4 \ \mu g \ m^{-3}), OC (6.85 ± 4.81 \ \mu g m<sup>-3</sup>), EC (4.90 ± 4.11 µg m<sup>-3</sup>), and ammonium  $NH_4^{\pm}$  (3.11 ± 2.14 µg m<sup>-3</sup>). The fractions of sulfate,

<u>nitrateSO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup></u> and <u>ammoniumNH<sub>4</sub><sup>±</sup></u> to PM<sub>2.5</sub> were obviously higher than <u>thatthose</u> in <u>metropolismetropolises</u> (e.g. Beijing and Tianjin) within <u>the</u>-North China, while fractions of carbonaceous species <u>waswere</u> markedly lower, <u>which</u>; these showed regional pollution signals.

More than half of air masses <u>during the sampling period</u> were from the BTH region, followed

by the air masses from the Mongolia (35%) and the Shandong Peninsula (11%) during the sampling period.

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<u>%).</u> The concentrations of PM<sub>2.5</sub> and its-most of the species carried by the air masses from the BTH region and the Shandong Peninsula were comparable (p > 0.05), and they wereoccurred in statistically highergreater concentrations than thatthose carried by the air masses from the-Mongolia (p < 0.01). The patterns were attributed to the spatial distributions of their emissions and the high wind speed when air masses were from the Mongolia. The PM<sub>2.5</sub> had an obvious signal of biomass burning emission, characterized by <u>a</u> high OC/EC ratio, low NO<sub>3</sub><sup>-/</sup>nss-SO<sub>4</sub><sup>2-</sup> ratio and high nss-K<sup>+</sup> concentration<sub>7</sub> when air masses came from the Shandong Peninsula. WhileIn contrast, the PM<sub>2.5</sub> carried from the BTH region showed vehicle emission pattern, characterized by low OC/EC ratio, high NO<sub>3</sub><sup>-/</sup>nss-SO<sub>4</sub><sup>2-</sup> ratio and low nss-K<sup>+</sup> concentration. The<u>This</u> finding was confirmed by the radiocarbon<sup>14</sup>C measurement of OC and EC in two merged samples (One was collected when air masses were from the Shandong Peninsula and the other was air masses from the BTH region) selected from a successive synoptic process. The <sup>14</sup>C measurement indicated that biogenic and biomass burning emission contributed 59% and 52% of OC and EC concentrations when air masses were from the Shandong Peninsula, and the contributions fell to 46% and 38% for OC and EC;<sub>0</sub>, respectively, when the prevailing wind changed and came from the BTH region.

Based on the PMF modeling <u>resultsresult</u>, eight main source factors were identified. The source contribution <u>toof</u> OC and EC derived from PMF for the two specified samples was compared with that indicated by the <sup>14</sup>C assessment. Two minor overestimations with the same range (3%) showed the excellent <u>capacity of the</u> model-capacities, suggesting that the PMF <u>resultsresult</u> provided a reasonable source apportionment of regional PM<sub>2.5</sub> in the North China in winter. The PMF results indicated that coal combustion, biomass burning and vehicle emissions were the largest contributors of PM<sub>2.5</sub>, which accountaccounting for 29.6%, 19.3% and 15.8%, of PM<sub>2.5</sub>, respectively, during the sampling period. Compared with the-overall source apportionment <u>result</u>, the contribution of vehicle

Formatted: Don't add space between paragraphs of the same style, Line spacing: 1.5 lines emission increased slightly when air masses came from the BTH region, the fraction of mineral dust and coal combustion rose obviouslyclearly when air masses with high speed were from the Mongolia, and biomass burning became the dominant contributor when air masses were from the Shandong Peninsula. Biomass burning emission was highlighted in the present study, because coal combustion and vehicle emission have already been considered as major emission factors in the government air

pollution control program.

As the largest contributor of PM<sub>2.5</sub>- in the North China in winter, coal combustion has been considered as the most leading emission sector to be controlled for improving the air quality. Vehicle emission contributed significantly the PM<sub>2.5</sub> levels in the BTH region, which has also been considered to control as the second major emission sector listed in the air pollution control program. Biomass burning emission was highlighted in the present study because of its dominant contribution to the PM<sub>2.5</sub>-burden in Shandong Peninsula, which has been despised or ignored in the control program. To improve air quality, some suggests were provided to wake famers from agricultural residue burning in field and household.

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Furthermore, the present study proposed that the minimum deviation between the results from PMF model and <sup>14</sup>C measurement could be used as a criterion to select a more reliable solution for source apportionment of PM<sub>2.5</sub>. This method can be applied to CMB models or other isotopes (e.g.  $^{13}C$ ,  $^{15}N$  and  $^{35}S$ ), which will help to improve scientific significance.

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#### Notes

The authors declare no competing financial interest.

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Species	Mean $\pm$ std. Range		Spacios	$Mean \pm std$	Range
	$(\mu g m^{-3})$	(µg m <sup>-3</sup> )	species	$(ng m^{-3})$	$(ng m^{-3})$
				<u>408 ±</u>	<del>0.01</del> —
PM <sub>2.5</sub>	$77.6\pm59.3$	12.7 - 305	<del>Ti<u>Fe</u></del>	<u>2857.72 ±</u>	<del>30.</del> 7 <u>.12 –</u>
				7.34	<u>1588</u>
	4.90 ±	<del>0.80</del> —		<u>107 ±</u>	<del>0.45</del> —
ECSO42-	4 <u>.11</u> 14.2 ±	<del>19.6<u>1.37</u> –</del>	<u>¥Zn</u>	<u>142</u> 3.90 ±	<del>12.</del> 5 <u>.56 –</u>
	<u>18.0</u>	<u>96.2</u>		<del>2.47</del>	<u>987</u>
	6 95 + 11 0 +	0. <mark>81</mark> —		<u>88.4 ±</u>	<u>3.02 –</u>
OCNO3	$\frac{0.03 \pm 11.9 \pm}{16.4.91}$	<del>21.3<u>27 –</u></del>	MnPb	<u>85.7</u> 29.3 ±	<u>412</u> 1.38
	<u>10.</u> 4 <del>.01</del>	<u>87.1</u>		<del>28.0</del>	<del>108</del>
	2.11 + 2.06 +	0 (1 10		<u>29.3 ±</u>	<u>1.38 –</u>
$\underline{\text{CFNH}}_{4}^{\pm}$	$5.11 \pm 2.00 \pm 1.7814$	0. <u>01 –</u> 10––	Fe <u>Mn</u>	<u>28.0</u> 408 ±	<u>108</u> 7.12—
	<del>1.70<u>14</u></del>	<del>8.90<u>.1</u></del>		<del>285</del>	<del>1588</del>
	<del>11.9 ±</del>	0. <del>27</del> —		<u>9.08 ±</u>	0. <del>01</del> —
NO <sub>3</sub> Cl	<del>16.4<u>2.06 ±</u> 87.1<u>10 -</u></del>		CoCu	<u>11.4</u> 0.24 ±	<del>0.73<u>03 –</u></del>
	<u>1.78</u>	<u>8.90</u>		<del>0.18</del>	<u>77.7</u>
	$14.2 \pm 18.0.06$	<del>1.37</del>		<u>7.72 ±</u>	<u>0.01 –</u>
$\frac{SO_4^2}{K^+}$	+0.84	<del>96.2</del> <u>0.07 –</u>	Ni <u>Ti</u>	<u>7.34</u> 4 <del>.28 ±</del>	<u>30.7</u> 1.68
	<u>- 0.84</u>	<u>3.95</u>		<del>2.30</del>	<del>13.8</del>
	$0.43 \pm 0.25$			<u>6.61 ±</u>	0. <del>03</del> —
$Na^+$		0.05 - 1.58	CuAs	<u>7.86</u> 9.08 ±	<del>77.7<u>67 –</u></del>
				<del>11.4</del>	<u>43.4</u>
	<u>0.38 ±</u>	0 61 10.07		<u>4.28 ±</u>	<u>1.68 –</u>
$NH_4Ca^{2+}$	<u>0.22</u> <del>3.11 ±</del>	1 32	<u>ZnNi</u>	<u>2.30</u> <del>107 ±</del>	<u>13.8<mark>5.56</mark></u>
	<del>2.14</del>	<u> </u>		<del>142</del>	<del>987</del>
	$0.9603 \pm$	0. <del>07</del> —		<u>3.90 ±</u>	0. <del>67</del> —
$\frac{KMg^{2+}}{Mg^{2+}}$	0.90 <u>03</u> <u>-</u>	<del>3.95<u>01 –</u></del>	<u>AsV</u>	<u>2.47<del>6.61 ±</del></u>	<u>43.445 –</u>
	0.04 <u>05</u>	<u>0.17</u>		<del>7.86</del>	<u>12.5</u>
	<del>0.03 ±</del>	0. <del>01 —</del>		1 82 +	
Mg <sup>2+</sup> OC	<del>0.03<u>6.85</u> ±</del>	<u>+</u> 0.17 <u>81 –</u>	Cd	4.06	0.04 - 25.9
	<u>4.81</u>	<u>21.3</u>		1.00	
	<u>4.90 ±</u>	0. <del>07 —</del>		<del>88.4 ±</del>	<u>0.01 –</u>
<del>Ca<sup>2+</sup>EC</del>	<u>4.11</u> 0.38 ±	<u>1.3280 –</u>	PbCo	<del>85.7<u>0.24 ±</u></del>	<u>0.73</u> 3.02
	<del>0.22</del>	<u>19.6</u>		<u>0.18</u>	<del>412</del>

Table 1. Statistics of  $PM_{2.5}$  chemical components on the Qimu Island during the sampling period

Species	Mean ±	standard deviation	Significant level			
(unit)	it) Cluster1(n=42) Cluster2(n=25)		Cluster3(n=9)	1&2	1&3	2&3
PM <sub>2.5</sub> (μg m <sup>-3</sup> )	$93.0 \pm 66.1 \\ (24.5 - 305)$	41.6 ±26.7 (12.7–143)	106±42.3 (50.3–193)	0.00	0.59	0.00
EC (μg m <sup>-3</sup> )	$6.53 \pm 4.66$ (1.39–19.6)	2.50 ±1.84 (0.80-8.85)	3.94±1.49 (2.53–7.66)	0.00	0.11	0.05
OC (μg m <sup>-3</sup> )	$8.58 \pm 5.23$ (1.45–21.3)	3.51 ±2.35 (0.81–11.4)	8.04±2.32 (5.25–13.5)	0.00	0.76	0.00
Cl <sup>-</sup> (µg m <sup>-3</sup> )	2.37 ± 2.11 (0.10–8.90)	1.22 ±0.65 (0.20–2.85)	2.94±1.35 (1.42-5.53)	0.01	0.45	0.00
$NO_{3}^{-1}$ (µg m <sup>-3</sup> )	$17.6 \pm 19.6$ (1.75-87.0)	2.75 ±4.25 (0.27-20.1)	10.6±6.09 (4.41–20.3)	0.00	0.30	0.00
SO <sub>4</sub> <sup>2-</sup> (µg m <sup>-3</sup> )	$19.4 \pm 21.8$ (2.09–96.2)	4.55 ±4.06 (1.37–19.5)	16.4±8.74 (5.34–35.6)	0.00	0.69	0.00
Na <sup>+</sup> (μg m <sup>-3</sup> )	$\begin{array}{c} 0.38 \pm 0.24 \\ (0.05  1.58) \end{array}$	0.55 ±0.26 (0.18-1.08)	0.31±0.06 (0.22–0.40)	0.01	0.41	0.01
$NH_4^+$ (µg m <sup>-3</sup> )	$3.97 \pm 2.29$ (1.28–10.1)	1.53 ±0.98 (0.61-4.70)	3.52±0.96 (1.93-4.90)	0.00	0.57	0.00
$K^{+}$ (µg m <sup>-3</sup> )	$1.11 \pm 0.74$ (0.28–3.10)	$0.35 \pm 0.36$ (0.07-1.69)	2.01±0.93 (0.78–3.95)	0.00	0.00	0.00
$Mg^{2+}$ (µg m <sup>-3</sup> )	$0.03 \pm 0.03$ (0.01–0.17)	0.03 ±0.02 (0.01-0.11)	0.02±0.01 (0.01-0.04)	0.66	0.41	0.13
$Ca^{2+}$ (µg m <sup>-3</sup> )	$0.37 \pm 0.22$ (0.11-1.32)	0.37 ±0.18 (0.07-0.74)	0.44±0.29 (0.09–0.97)	1.00	0.46	0.46
Ti	$6.96 \pm 5.98$ (0.35-25.9)	$10.9 \pm 9.10$ (0.01-30.7)	2.51±0.85 (1.16-3.58)	0.04	0.03	0.01
V (ng m <sup>-3</sup> )	$4.68 \pm 2.29$ (0.76-11.3)	$2.83 \pm 2.55$ (0.45-12.4)	$3.24 \pm 1.50$ (2.05-7.12)	0.00	0.08	0.66
Mn	$33.8 \pm 31.3$	$17.6 \pm 19.3$	40.9±20.3	0.02	0.53	0.01
(ng m <sup>-</sup> ) Fe	(1.97 - 108) $404 \pm 308$	(1.38–95.4) 375 ±263	(9.14-69.8) 521±188	0.70	0.29	0.15
(ng m <sup>-3</sup> ) Co	(7.12-1588) $0.26 \pm 0.20$	(9.13–826) 0.17 ±0.14	(244–960) 0.36±0.13	0.00	0.14	0.00
(ng m <sup>-3</sup> ) Ni	(0.01-0.73) $4.85 \pm 2.56$	(0.01–0.48) 3.51 ±1.85	(0.10-0.59) 3.80±1.02	0.00	0.14	0.00
$(ng m^{-3})$	(1.68-13.8) 11.6 + 13.6	(1.68-6.79) 3.06 +2.93	(2.45–5.84) 13.9+7.05	0.03	0.24	0.67
$(ng m^{-3})$	(0.72-77.7)	(0.03-8.99)	(3.90-26.4)	0.00	0.64	0.00
Zn (ng m <sup>-3</sup> )	(9.92-987)	(5.56-208)	(24.2–201)	0.01	0.36	0.03
As (ng m <sup>-3</sup> )	$9.03 \pm 9.52$ (1.11-43.4)	$3.00 \pm 2.82$ (0.67–14.0)	5.35±3.35 (2.25–13.6)	0.00	0.27	0.06
Cd (ng m <sup>-3</sup> )	$2.70 \pm 5.26 \\ (0.11 - 25.9)$	$0.45 \pm 0.41$ (0.04-1.29)	$1.54\pm0.65$ (0.49-2.66)	0.04	0.52	0.00
Pb (ng m <sup>-3</sup> )	$110 \pm 95.3$ (5.30-412)	36.9 ±44.8 (3.02–176)	128±53.2 (45.4–215)	0.00	0.59	0.00

Table 2. Statistics of  $PM_{2.5}$  chemical species in different clusters and significant level by mean test

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	M1	M2		M1	M2
$PM_{2.5}(\mu g m^{-3})$	159 <u>.2 ± 0.5</u>	91.8 <u>±0.5</u>			
OC (ug m <sup>-3</sup> )	12.7 <u>±0.7</u>	<u>8.989.0 ±</u>	$f_{c} f_{\underline{c}}(OC)$	0.59 <u>±0.04</u>	0.46 <u>±0.04</u>
ΟC_(μg III )		<u>0.5</u>			
WSOC ( $\mu g m^{-3}$ )	6. <del>38</del> 4 + 0.4	3. <del>68<u>7 ±</u></del>	$f_{e}$ $f_{e}$ (WSOC)	$0.59 \pm 0.03$	$0.49 \pm 0.03$
		<u>0.2</u>	- <u></u> (		•••• <u>-•••</u>
WIOC ( $\mu g m^{-3}$ )	6. <del>32</del> 3 ± 0.6	5. <del>30<u>3</u> ±</del>	$f_{c}f_{c}$ (WIOC)	0.60 <u>±0.03</u>	0.43 <u>±0.03</u>
		<u>0.4</u>			
$EC_{\mu g} m^{-3}$	8. <del>58</del> 6 ± 0.5	3. <del>81<u>8 ±</u></del>	$f_{e} f_{c}$ (EC)	0.52 <u>±0.02</u>	0.38 <u>±0.01</u>
		<u>0.3</u>			

## Table 3. Concentration and contemporary carbon fraction of carbonaceous species in M1 and M2

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## Table 4. Averages of fractional contributions (%) from eight sources identified by PMF model

	Vehicle	Traffic	Ship	Industrial	Biomass	Mineral	Coal	See celt
	emission	dust	emission	process	burning	dust	combustion	Sea san
All	15. <del>8<u>83</u></del>	4.24	8.95	2.64	19. <mark>3<u>31</u></mark>	12. <mark>8<u>81</u></mark>	29. <del>6<u>64</u></del>	6.58
Cluster1	23. <del>6<u>64</u></del>	4.89	8.79	3.64	19. <del>6<u>61</u></del>	6.32	29. <del>2<u>16</u></del>	3.96
Cluster2	3.57	3.60	9.34	1.19	4.88	26. <mark>8<u>81</u></mark>	37. <mark>7<u>66</u></mark>	<del>13.0<u>12.95</u></del>
Cluster3	12. <u><b>5</b>46</u>	3.08	8.67	1.96	52. <mark>7<u>67</u></mark>	6.46	12.4 <u>38</u>	2.32

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Figure 1. The sampling site and 48-h back trajectory clusters during the sampling period



Figure 2. 48-h back trajectories with 12 h intervals during 16-of the combined samples (M1 and M2) selected for<sup>4</sup> <sup>14</sup>C analysis. M1 were collected continually from 06:00 to 18:00, 16<sup>th</sup> January, 2014. and from 18:00 to 06:00 the next day, when the air masses were derived from the south and passed through the Shandong Peninsula; M2 were collected continually from 17<sup>th</sup> January 18:00 to 6:00 and from 06:00 to 18:00 in the next day, when the air masses come from the north and reflected the BTH pattern. (The digit in the figure is date and time with the format of YYYYMMDDHH, the time is local time)). Formatted: Justified, Indent: First line: 0 ch





Figure 3. The contribution profiles of eight sources identified by PMF model





Figure 4. Comparison of source apportionment of OC and EC in <u>the</u> two specified samples (M1 and M2) from PMF<sup>4</sup> and <sup>14</sup>C measurement. B&B <u>isrefers to</u> the source of biogenic and biomass burning. <u>Note: the B&B and Fossil</u> emissions from the PMF result do not add to a hundred in the bars because hybrid sources from B&B and fossil fuel combustion were not be considered in the comparison (mineral dust and vehicle dust).

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