



2 Secondary organic aerosol formation in biomass-burning plumes: Theoretical analysis of lab studies and ambient plumes

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Abstract

14 Secondary organic aerosol (SOA) has been shown to form in biomass-burning
16 emissions in laboratory and field studies. However, there is significant variability among
studies in mass enhancement, which could be due to differences in fuels, fire conditions,
18 dilution, and/or limitations of laboratory experiments and observations. This study
focuses on understanding processes affecting biomass-burning SOA formation in
laboratory smog-chamber experiments and in ambient plumes. Vapor wall losses have
20 been demonstrated to be an important factor that can suppress SOA formation in
laboratory studies of traditional SOA precursors; however, impacts of vapor wall losses
22 on biomass-burning SOA have not yet been investigated. We use an aerosol
microphysics model that includes representations of volatility and oxidation chemistry to
24 estimate the influence of vapor wall loss on SOA formation observed in the FLAME-III
smog-chamber studies. Our simulations with base-case assumptions for chemistry and
26 wall loss predict a mean OA mass enhancement (the ratio of final to initial OA mass,
corrected for particle-phase wall losses) of 1.8 across all experiments when vapor wall
28 losses are modeled, roughly matching the mean observed enhancement during
FLAME-III. The mean OA enhancement increases to over 3 when vapor wall losses are
30 turned off, implying that vapor wall losses reduce the apparent SOA formation. We find
that this decrease in the apparent SOA formation due to vapor wall losses is robust
32 across the ranges of uncertainties in the key model assumptions for wall-loss and mass-
transfer coefficients and chemical mechanisms.

34 We then apply similar assumptions regarding SOA formation chemistry and physics to
smoke emitted into the atmosphere. In ambient plumes, the plume dilution rate impacts
36 the organic partitioning between the gas and particle phases, which may impact the
potential for SOA to form as well as the rate of SOA formation. We add Gaussian
38 dispersion to our aerosol microphysical model to estimate how SOA formation may vary
under different ambient-plume conditions (e.g. fire size, emission mass flux,



40 atmospheric stability). Smoke from small fires, such as typical prescribed burns, dilutes
42 rapidly, which drives evaporation of organic vapor from the particle phase, leading to
44 more effective SOA formation. Emissions from large fires, such as intense wildfires,
46 dilute slowly, suppressing OA evaporation and subsequent SOA formation in the near
48 field. We also demonstrate that different approaches to the calculation of OA
enhancement in ambient plumes can lead to different conclusions regarding SOA
formation. OA mass enhancement ratios of around 1 calculated using an inert tracer,
such as BC or CO, have traditionally been interpreted as exhibiting little or no SOA
formation; however, we show that SOA formation may have greatly contributed to the
mass in these plumes.

50 In comparison of laboratory and plume results, the possible inconsistency of OA
52 enhancement between them could be in part attributed to the effect of chamber walls
and plume dilution. Our results highlight that laboratory and field experiments that focus
54 on the fuel and fire conditions also need to consider the effects of plume dilution or
vapor losses to walls.

56 1. Introduction

Biomass burning is an important source of carbonaceous compounds that have
58 significant influence on air quality (Jaffe and Widger, 2012), climate (Bond et al., 2013)
and human health (Naeher et al., 2007; Jassen, 2012; Johnston et al., 2012). It is a
60 major source of primary fine carbonaceous (black and organic carbon) particles (Akagi
et al., 2011), but the contribution of biomass burning to ambient concentrations of
62 secondary organic aerosol (SOA, organic aerosol formed in the atmosphere) is highly
variable because of the complexities of physical and chemical evolution of biomass-
64 burning plumes. Laboratory studies have observed both significant organic aerosol (OA)
increase and OA decrease in biomass-burning emissions (Hennigan et al. 2011; Ortega
66 et al., 2013). Some field studies of biomass burning also observed organic aerosol (OA)
formation (Grieshop et al., 2009; Yokelson et al., 2009) and some showed little OA
68 production or even a net loss (Akagi et al., 2012; May et al., 2015). OA consists of
thousands of species, but only a small portion of these have been identified, and thus
70 understanding of phase partitioning and the chemistry occurring in biomass-burning
emissions is still poor (Heilman et al., 2014).

72 The semi-volatile nature of biomass-burning primary organic aerosol (POA) as identified
in recent studies (Grieshop et al., 2009; May et al., 2013) further complicates the phase
74 dynamics during the evolution of biomass-burning emissions, both in the laboratory and
in ambient air. In an ambient plume, positive impacts on emitted OA mass could occur
76 by the condensation of low-volatile organics produced from the oxidation of volatile and



78 semi-volatile organics (Yokelson et al., 2009); while on the other hand, reductions in OA
mass could occur due to evaporation of organic vapors driven by dilution (Jolleys et al.,
80 2012) or by fragmentation reactions creating higher-volatility species. Hence,
observations of OA evolution in the field are always influenced by plume dilution and
82 complex chemical pathways that compete for OA enhancement and loss (Akagi et al.
2012; May et al. 2015) and it is difficult to observationally separate those effects. An
extensive literature search reveals little work exploring how fire conditions (e.g. fire size
84 and mass flux) and atmospheric stability conditions (e.g. unstable or stable) affect OA
evolution in a chemically evolving plume and how those factors would influence the
86 observed plume characteristics.

To reduce some of the complexity inherent in ambient observations, smog chambers
88 are widely used to study the evolution of organic aerosol. The mechanism of particle
wall loss has been well studied (Crump and Seinfeld, 1981; McMurry and Rader, 1985;
90 Pierce et al., 2008) and is commonly used to correct aerosol measurements in smog-
chamber studies (Weitkamp et al., 2007; Hennigan et al., 2011). Wall loss of organic
92 vapors may also be important and leads to impacts on gas-particle partitioning in
chamber experiments, as has been demonstrated in recent studies (Matsunaga and
94 Ziemann, 2010; Yeh and Ziemann, 2015; Zhang et al., 2015; Bian et al., 2015;
Krechmer et al., 2016). Vapor uptake to Teflon chamber walls demonstrates absorptive
96 partitioning behavior following Henry's Law. The resulting loss of SOA precursors to
chamber walls makes them unavailable for reaction and leads to underestimates of
98 SOA production in chamber studies (Matsunaga and Ziemann, 2010; Yeh and Ziemann,
2015; Zhang et al., 2014; Zhang et al., 2015). Zhang et al. (2014) predicted that vapor
100 wall losses in a 25 m³ chamber may lead to factor-of-4 underestimates of SOA mass
formation from biogenic and anthropogenic precursor vapors. Kokkola et al. (2014) also
102 showed that SOA formation from ozonolysis of α -pinene may be underestimated by a
factor up to 4 in a 4 m³ chamber. Based on the work of Lim and Ziemann (2009) and
104 Matsunaga and Ziemann (2010), La et al. (2016) suggested that SOA yield from
mixtures of alkanes, alkenes and alcohols or ketones may be underestimated by a
106 factor of 2 in chambers of volumes of 5.9 and 1.7 m³. Cappa et al. (2016) estimated that
SOA was increased by factors of ~2-10, depending on scenario, when vapor wall losses
108 were accounted for in air quality model simulations. However, it has also been pointed
out that increasing seed surface area could effectively compete for vapor absorption,
110 suppressing vapor wall losses and increasing SOA formation in chamber studies
(Zhang et al., 2014; McVay et al., 2014). Nah et al. (2016) also observed that the effects
112 of vapor wall deposition on SOA mass yields could be constrained if vapor
condensation occurs under quasi-equilibrium growth (i.e. the particles and vapors reach
114 equilibrium quickly).



116 Several modeling studies have examined SOA formation in ambient air from biomass-
burning emissions (Mason et al., 2001; Alvarado and Prinn, 2009; Alvarado, et al.,
118 2015). One difficulty is that the compounds that act as precursors of SOA in biomass-
burning emissions are not well understood. Including only known SOA precursors
120 (mainly aromatic species like toluene) in the model largely underestimates SOA
production, probably because of limited knowledge about additional SOA precursor
122 vapors, such as intermediate-volatility organic compounds (IVOCs) (Alvarado and Prinn,
2009; Jathar et al., 2014). Alvarado et al. (2015) included assumptions of unidentified
124 IVOCs, semi-volatile and extremely low volatility organic compounds in the modeling of
OA and O₃ formation and successfully reproduced ambient observations. However,
126 their study did not consider the specific impacts of vapor wall losses on laboratory
observations of biomass-burning SOA and how this might constrain SOA formation
128 chemistry. Further, dilution effects on SOA formation during plume transport have not
yet been investigated. In previous work, Bian et al. (2015) showed that organic-vapor
130 wall loss in Teflon chamber experiments may drive evaporation of primary biomass-
burning organic aerosol; however, the resulting impacts on SOA formation were not
investigated in that work.

132 In this study, we (1) investigate the influence of vapor wall loss on biomass-burning
SOA formation in a smog chamber, based on current knowledge of particle and vapor
134 wall-loss rates, and (2) explore the effect of dilution on SOA formation in ambient
plumes. For the smog-chamber portion of this work, we use an aerosol-microphysics
136 model that includes particle/vapor wall losses and SOA chemistry to simulate
observations reported in Hennigan et al. (2011) from smog chamber experiments
138 conducted in the third Fire Lab At Missoula Experiments (FLAME III) study. For the
ambient-plume portion of this work, we add Gaussian dispersion to the aerosol-
140 microphysics-chemistry model, and perform sensitivity simulations that capture the
effects of fire size, variable mass flux, and atmospheric stability. We describe our
142 aerosol-microphysics model in Section 2. The smog-chamber model is described in
Section 2.1, and the ambient plume model is described in Section 2.2. In Section 3.1,
144 we present results for the sensitivity of the smog-chamber simulations to SOA-chemistry
assumptions. In Section 3.2, we demonstrate the influence of vapor wall loss on SOA
146 formation in smog-chamber experiments. In Section 3.3, we investigate the impact of
fire/plume characteristics on SOA formation in ambient plumes, based on the
148 knowledge gained from simulating the lab studies, and Section 4 presents our
conclusions.

150 **2. Methods**

2.1. Smog-chamber simulations



152 Wood-smoke primary organic aerosol partitioning and SOA formation were investigated
 153 for the smog-chamber experiments conducted during the FLAME III study from Sep-Oct
 154 2009 (Hennigan et al., 2011; May et al., 2013 and 2014; Ortega et al., 2011; Bian et al.,
 155 2015). Eighteen fuels that frequently burn in wild or prescribed fires across North
 156 America were studied (Table 1). In each experiment, the combustion emissions were
 157 introduced into the smog chamber at a dilution ratio of ~25:1 (relative to the
 158 USDA/USFS Fire Sciences Laboratory, FSL, combustion chamber). Photo-oxidation
 159 was initiated for 3-4.5 hr using sunlight / UV light after a 75 min dark period during which
 160 primary gas and particle concentrations were characterized in the smog chamber.
 161 Additional experimental details are included in Hennigan et al. (2011), May et al. (2013),
 162 and Bian et al. (2015).

For our smog-chamber simulations, we use the Two-Moment Aerosol Sectional
 164 (TOMAS) microphysics model (Adams and Seinfeld, 2002; Pierce and Adams, 2009;
 165 Pierce et al., 2011) combined with particle and vapor wall-loss algorithms and a SOA
 166 production matrix to estimate SOA formation for the 18 FLAME III experiments
 167 considered in Bian et al. (2015). Simulated aerosol species include black carbon,
 168 organics, and water with 36 logarithmically spaced size sections from 3 nm to 10 μm. In
 169 our previous study examining the influence of wall loss on primary semi-volatile
 170 organics in the chamber (Bian et al., 2015), we simulated eight organic “species” within
 171 the Volatility Basis Set (Donahue et al., 2006) with logarithmically spaced effective
 172 saturation concentrations (C^*) spanning from 10^{-3} to $10^4 \mu\text{g m}^{-3}$ using the volatility
 173 distribution derived by May et al. (2013). C^* of $10^4 \mu\text{g m}^{-3}$ is the least-constrained
 174 volatility bin in the analysis of May (et al., 2013), and the large amount of material in this
 175 bin may represent some of the vapor in higher bins. In this current study, we expand the
 176 simulated organics from eight to fifteen “species” including more volatile organics
 177 between 10^6 to $10^{11} \mu\text{g m}^{-3}$, based on the FLAME-4 study of Hatch et al. (2016), to
 178 account for chemical transformations from both volatile and semi-volatile organic
 179 species (Fig. 1a). As described in Bian et al. (2015), we retrieved a representative
 180 turbulence rate (k_e , s^{-1} , Crump and Seinfeld, 1981) by applying the Aerosol Parameter
 181 Estimation (APE) model to SMPS data following the method in Pierce et al. (2008). We
 182 then estimated the size-dependent particle wall-loss rates ($k_{w,p}(D_p)$, Eqn 1) and
 183 reversible vapor wall-loss rate coefficients ($k_{w,on}$ and $k_{w,off}$, Eqn 2 and 3) using the fitted
 184 turbulence rate (k_e),

$$k_{w,p}(D_p) = k_{w,p0} + \frac{6\sqrt{k_e D}}{\pi R} D_1 \left(\frac{\pi \gamma_s}{2\sqrt{k_e D}} \right) + \frac{v_s}{4R/3} \quad \text{Eqn 1}$$

$$186 \quad k_{w,on} = \left(\frac{A}{V} \right) \frac{\left(\frac{\alpha_w \bar{c}}{4} \right)}{1.0 + \left(\frac{\pi}{2} \right) \left[\frac{\alpha_w \bar{c}}{4(k_e D_{gas})^{0.5}} \right]} \quad \text{Eqn2}$$



$$k_{w,off} = \frac{k_{w,on}}{K_w C_w} = k_{w,on} \left(\frac{C^* M_w \gamma_w}{C_w M_p \gamma_p} \right) \quad \text{Eqn3}$$

188 where D is the Brownian diffusivity of the particle of size D_p , R is the radius of the
 190 chamber, v_s is the gravitational settling velocity of the particle, and $k_{w,p0}$ is a size-
 independent wall-loss rate that is used to represent the effect of electrostatic forces on
 192 the wall loss. D_1 is the Debye function (Abramowitz and Stegun, 1964). The fitted values
 of k_e and $k_{w,p0}$ are listed in Table 1. $k_{w,on}$ is the rate coefficient for the transfer of gas-
 194 phase organic vapors to the wall, A/V is the surface to volume ratio of the chamber, α_w
 is the mass accommodation coefficient of vapors onto the chamber walls, \bar{c} (m s^{-1}) is
 196 the mean thermal speed of the molecules (calculated using the molecular weights of
 each organic volatility bin), k_e is a function of the turbulent kinetic energy in the chamber
 (derived from the APE model described above), and D_{gas} is the molecular diffusivity (m^2
 198 s^{-1}). $k_{w,off}$ is the evaporation rate coefficient from the wall. K_w is the gas-particle
 partitioning coefficient. C_w is the equivalent or effective organic mass concentration of
 200 the walls (in units of mass per chamber volume). C^* is the saturation concentration (μg
 m^{-3}). M_p and M_w are the average molecular weights of the organic species in the
 202 particles and in the Teflon film comprising the chamber ($\mu\text{g m}^{-3}$). γ_w and γ_p are the
 activity coefficients of the organic species in the Teflon film and the particle phase,
 204 respectively.

Previous studies have shown two variables primarily control vapor wall-loss rates: the
 206 effective saturation of vapor with respect to the wall ($C_w/M_w\gamma_w$) and the accommodation
 coefficient for vapor into the wall (Bian et al., 2015, Zhang et al., 2015). Matsunaga and
 208 Ziemann (2010) suggested $C_w/M_w\gamma_w$ values of 9, 20, 50 and 120 $\mu\text{mole m}^{-3}$ for n-
 alkanes, 1-alkenes, 2-ketones, and 2-alcohols, respectively. Krechmer et al. (2016)
 210 extended the vapor-wall-loss study of Matsunaga and Ziemann (2010) to species over a
 broader volatility range, suggesting that C_w be treated as a function of C^* . Zhang et al.
 212 (2015) also implied that C_w could depend on C^* , but their calculated C_w values were
 smaller than those recommended by Krechmer et al. (2016) for C^* lower than $10^5 \mu\text{g m}^{-3}$.
 214 For the mass accommodation coefficient of vapors on wall (α_w), Matsunaga and
 Ziemann (2010) found it to be above 1×10^{-5} while Zhang et al (2015) found that α_w
 216 is also dependent on C^* . In our simulations of the smog-chamber experiments that are
 presented here, we use the Krechmer $C_w/M_w\gamma_w$ values and a α_w of 1×10^{-5} in the base-
 218 case simulations and then perform sensitivity tests by varying $C_w/M_w\gamma_w$ and α_w according
 to the range of previously reported values.

220 The gas-phase organic chemistry matrix used in the model follows the study of Jathar et
 al. (2014). We assume that only functionalization occurs in the biomass-burning
 222 experiments, with the product organic vapors having volatilities that are either 2 or 4
 volatility bins lower than the parent (Table 2). We also do not include aerosol-phase and



224 heterogeneous reactions in our model. SOA mass yield $\alpha_{i,j}$ is assumed to be 1 for all
 226 reactions. We use this simple assumption of unity SOA mass yield as a first test of
 chemistry in our chamber and plume systems as we found that we did not have enough
 information to constrain the yields beyond this. The chemical mechanism is represented
 228 as follows:

$$\frac{d[X_j]}{dt} = -k_{OH,X_j}[OH][X_j] \quad \text{Eqn 4}$$

230
$$\frac{d[M_i]}{dt} = \sum_j \alpha_{i,j} k_{OH,X_j}[OH][X_j] \quad \text{Eqn 5}$$

where $[X_j]$ represents the concentration of a gas-phase species in volatility bin j , $k_{OH,x}$
 232 is the reaction rate constant between the oxidant OH and the organic species X_j , and $\alpha_{i,j}$ is
 the mass yield of gaseous product M_i in volatility bin i (assumed to be 1 in our study).
 234 OH exposure (OH concentration integrated over the time of the experiment) for each
 experiment is taken from Hennigan et al. (2011) and the average OH exposure across
 236 all of the experiments is assigned to the two experiments with missing values (Table 1).
 OH concentration ($[OH]$) is estimated on the assumption that the photochemical aging
 238 time in all the experiments was 4 hours. k_{OH} is computed from the mathematical
 relationship retrieved by Jathar et al. (2014) based on the data of Atkinson and Arey
 240 (2003): $k_{OH} = -5.7 \times 10^{-12} \ln(C^*) + 1.14 \times 10^{-10}$ for aromatics and $k_{OH} = -1.84 \times 10^{-12}$
 $\ln(C^*) + 4.27 \times 10^{-10}$ for alkanes. We use the fits for aromatics (faster chemistry) and
 242 alkanes (slower chemistry) separately in different simulations to provide bounds for the
 chemical reaction rates. As the relationships were derived from a limited number of
 244 species, we applied a minimum k_{OH} value to constrain the extrapolation to the broader
 volatility range, as these relationships give negative k_{OH} values at the highest volatility
 246 bins. We then test the sensitivity of the OA enhancement ratios to the choice of
 minimum k_{OH} value of either 5×10^{-12} or 1×10^{-12} . We do not consider condensed-phase
 248 chemistry in this study. The initial values of parameters used in the model simulations,
 including temperature, particle number concentration, number size distribution, mass
 250 concentration and organic mass fraction, are listed in Table 1 for each experiment.

2.2 Investigating OA in expanding plumes

252 We apply a simple Gaussian-dispersion framework to represent plume volume
 expansion in our box model. We assume that the pollutants are uniformly distributed
 254 within a box with a crosswind width of $y \pm 2\sigma_y$ and height $z \pm 2\sigma_z$ (the thickness of the
 box in the wind direction is fixed at 1 m), so that the plume volume in the simulation is
 256 $4\sigma_y \times 4\sigma_y \times 1 \text{ m}^3$. We assume that the initial plume width (σ_y) is the same as the fire width
 (the square root of the fire area). The maximum plume height (σ_z) is constrained by the
 258 boundary layer depth, which is set to be 2500 m, equivalent to a σ_z of 625 m. We
 perform sensitivity tests for fire areas of 1×10^{-4} , 1×10^{-2} , 1 and $1 \times 10^2 \text{ km}^2$ (equivalent



260 initial σ_y of 2.5, 25, 250, and 2500 m, respectively) for a neutral atmospheric stability
 262 class (D) and an emission mass flux of $5 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$ (on the larger end of the fluxes
 264 in the GFED4 emission inventory as found by Sakamoto et al., 2016). The smallest fire
 266 size ($1 \times 10^{-4} \text{ km}^2$) was selected to represent a prescribed fire and the larger fire sizes (1
 268 and $1 \times 10^2 \text{ km}^2$) represent wildfire sources. For a fire size of 1 km^2 , we also test the
 270 sensitivity to atmospheric stability class (A (unstable), D (neutral) and F (stable)) for
 mass fluxes of 2×10^{-8} and $5 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$. The dispersion parameters used to estimate
 σ_y and σ_z for different Pasquill stability classes are taken from Klug (1969). The
 background is considered to be non-volatile OA with a fixed concentration of $5 \mu\text{g m}^{-3}$,
 and this aerosol is entrained into the box as it expands. The organic-vapor chemistry
 scheme is the same as used in the chamber study. The input parameters for the
 TOMAS Gaussian dispersion dilution simulations are listed in Table 3.

272 2.3 Definitions of OA enhancement

We use two definitions of the “observed” OA enhancement ratio, both found in the
 274 literature, to demonstrate that these definitions impact the amount of apparent SOA
 276 formation in chambers and in plumes. In smog-chamber and field studies of biomass
 278 burning, the OA mass enhancement ratio is often calculated as the change in OA mass
 280 relative to the background, and also relative to a species assumed to be inert on the
 experimental timescales. A commonly reported variable is the normalized excess mixing
 ratio (NEMR; Akagi et al., 2012), where the in-plume OA concentrations are corrected
 for background concentrations and normalized to an inert tracer (IT) also emitted from
 the fire (e.g. CO or black carbon [BC]):

$$282 \quad NEMR_t = \frac{(OA_{in-plume/chamber,t} - OA_{background})}{(IT_{in-plume/chamber,t} - IT_{background})} \quad \text{Eqn 6}$$

where t denotes that NEMR is a time-dependent (equivalently, downwind-distance-
 284 dependent) variable. If the OA and IT are non-reactive and non-depositing (or
 286 depositing at the same rate), and OA is nonvolatile, then NEMR remains unchanged
 288 with time and represents the emitted ratio of the two species, specific to the fuel and
 combustion conditions; as such, it can be compared with lab studies aimed at
 290 quantifying these emission ratios (e.g., May et al., 2014). In the case of smog-chamber
 experiments, the OA and IT background concentrations are negligible because the
 chamber is filled with clean air before injecting emissions. In this work, we use BC mass
 as our IT (Grieshop et al., 2009; Hennigan et al., 2011). We further normalize NEMR_{*t*} by
 292 the initial NEMR value (at the start of the lab experiments or at emission for the
 expanding plumes) to define the inert OA mass enhancement ratio (OAER_{inert}) (Eqn 7):

$$294 \quad OAER_{inert} = \frac{NEMR_t}{NEMR_0} \quad \text{Eqn 7}$$



296 The subscript 0 refers to values at the initial time, and the subscript t refers to any
subsequent time in the simulations or observations. As BC concentration decreases due
298 to particle-phase wall losses (in smog chambers) and dilution (in ambient plumes),
OAER_{inert} normalizes the relative change in OA by the decrease in concentration of BC,
and thus corrects for particle-phase wall losses and dilution. If these are the only
300 processes occurring, then OAER_{inert} remains fixed at a value of 1 at any time t. Other
situations result in time-dependent OAER_{inert}. Net OA production leads to OAER_{inert}
302 values greater than 1, and net OA evaporation leads to OAER_{inert} values less than 1.
OAER_{inert} is thus a scale factor that can be applied to OA emission factors to account for
304 time-dependent in-plume net production/loss of OA.

Although OAER_{inert} can be computed readily from observations and can indicate when
306 other processes besides dilution are active, POA evaporation and SOA production may
compensate for each other, so that it is impossible to quantify the impact of SOA
308 production through OAER_{inert} (or NEMR) alone, as has been pointed out previously (e.g.,
DeCarlo et al., 2010; Akagi et al. 2012; May et al., 2015). On the other hand, via the
310 modeling approach used in this work we can directly compare simulations with and
without chemistry, and thus we can isolate the impact of chemistry on our simulations
312 and on the “observed” OAER_{inert}. We define the chemistry OA mass enhancement ratio
(OAER_{chem}) as the ratio of predicted OA concentrations in the chemistry-on and
314 chemistry-off simulations:

$$OAER_{chem} = (OA_{chem\ on,t} - OA_{background}) / (OA_{chem\ off,t} - OA_{background}) \quad \text{Eqn 8}$$

316 While OAER_{chem} is not calculable from field or lab observations, it is the indicator of how
SOA production enhances OA in the model, with all other processes being equal.

318 3. Results and discussions

319 3.1. Simulated chamber SOA production in absence of particle and vapor wall losses

320 This section describes simulations where we test our assumptions about gas-phase
chemistry with vapor and wall losses turned off. Specifically, we test the sensitivity of
322 OA to our assumed k_{OH} values and the drop in volatility of organic product species
(relative to the parent compound) with each reaction with OH (Table 2).

324 Fig. 2 shows the OA enhancement ratios for each of our first set of chemistry sensitivity
cases. In these simulations, OAER_{inert} and OAER_{chem} are equivalent as chemistry is the
326 only process affecting OA mass (no wall losses or dilution), so the OA enhancement
ratios in Fig. 2 represent both OAERs described above. The starting volatility
328 distribution in these simulations shown in Fig. 1a. Each bar in Fig. 2 is the OA
enhancement ratio averaged over simulations of all 18 experiments. The predicted OA
330 enhancements are insensitive to the chosen minimum k_{OH} values (i.e. 5×10^{-12} and $1 \times 10^{-$



332 $^{12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$); the difference in OA enhancement ratios for these choices is less
334 than 1%. We therefore use a minimum value of $5 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ throughout the
336 rest of this study. The OA enhancement ratio for the four-volatility-bin drop assumption,
338 Case A (1.9 ± 0.2 for aromatic k_{OH} set and 1.6 ± 0.2 for alkane k_{OH} set), is slightly larger
340 than for the case assuming a two-volatility-bin drop, Case B (1.8 ± 0.2 for aromatic k_{OH}
342 set and 1.5 ± 0.2 alkane k_{OH} set). The OA enhancement ratios simulated using the
aromatic k_{OH} set are larger than those using the alkane k_{OH} set, because k_{OH} for
aromatics is generally larger than alkanes when C^* is lower than $10^8 \mu\text{g m}^{-3}$. Therefore,
in the remaining simulations presented here, we use the aromatic k_{OH} set with a four-
volatility-bin drop per reaction as an upper bound for SOA formation, and the alkane k_{OH}
set with the two-volatility-bin drop per reaction as a lower bound for SOA formation.

342 3.2. Influence of particle and vapor wall losses on the apparent SOA production in smog chambers

344 This section investigates the impact of particle and vapor wall losses on the apparent
346 SOA formation in the FLAME-III chamber studies. Fig. 3a shows the time evolution of
348 organic material between the gas, particle, and wall phases, when both particle and
350 vapor wall losses are considered in the model. The first hour simulates the evolution of
352 primary emitted vapor and particulate organics in the dark period prior to initiating
354 photochemistry. OM in the vapor phase decreases as vapor is absorbed into the wall.
356 OM in the particle phase decreases due to both direct particle losses and the loss of
aerosol-phase mass from evaporation of the particles driven by the vapor losses to the
walls. The extent of the vapor wall loss is mainly controlled by the reversible vapor wall
loss rate coefficients (i.e. k_{on} and k_{off}) in Eqn 3. These two variables are mainly
influenced by two vapor-wall interaction parameters: the effective saturation
concentration of vapor with respect to the wall ($C_w/M_w \gamma_w$), and the accommodation
coefficient for vapor with the wall, α_w (Bian et al., 2015). We demonstrate the sensitivity
of our results to values of these parameters later in this section.

358 The starting volatility distribution of the chemistry portion of simulations with vapor wall
360 loss on (and base-case assumptions) is shown in Fig. 1b, representing the volatility
362 distribution after 1 hour of vapor-aerosol-wall re-equilibration during the “dark” phase of
364 each smog chamber experiment (see Bian et al. (2015) for a full analysis of these
366 experiments). Photo-oxidation was then initiated and the simulations were continued for
368 4 hours. The dotted lines in Figure 3a show how the system evolves over the 5 hours of
the experiment when no photo-oxidation is allowed to occur. This evolution is contrasted
with that depicted by the solid lines, for which the chemical oxidation mechanism was
activated in the model after the first hour (dark / equilibration period), to represent the
experimental period when chamber irradiation began; chemistry was allowed to proceed
for the next 4 hours. In Figure 3, the upper-bound chemistry assumptions have been
applied (k_{OH} set for aromatics with a four-volatility-bin drop per reaction). In Figure 3a,



370 since particle and vapor wall losses were allowed to continue to occur in parallel with
372 SOA formation from vapor oxidation, the extent of net SOA formation depends on the
374 competition between the oxidation of organic vapors and wall losses of these same
376 vapors, as well as the competition between absorption of product vapors into the walls
378 and into the aerosol phase. The role of the vapors lost to the walls is explored in Fig. 3b,
380 which shows the same case but with vapor wall losses turned off. More SOA is
382 produced in this second case, and OM in the vapor phase is strongly reduced due in
384 part to the higher efficiency of the chemical reactions. In both scenarios, the produced
SOA from vapor oxidation compensates some of the OM particle wall loss, but stronger
OM production also leads to more OM lost to the wall as deposited particles (green
lines). As demonstrated in these examples, the net SOA production in chambers is
therefore dependent on interactions between the photochemical reaction rates (and
associated changes in organic volatility) and the wall-loss kinetics and applicable
parameters (i.e., wall saturation concentration and mass accommodation coefficient of
vapors to the wall).

386 The $OAER_{chem}$ value for the base simulations with vapor wall losses on is 2.6 ± 0.5 (i.e.,
the ratio of the solid red to dashed red lines in Fig. 3a, calculated by Eqn 7) after 5
388 hours, while the $OAER_{chem}$ value for the simulations with vapor wall losses off (Fig. 3b)
is 3.4 ± 0.7 at this same time. Thus, these simulations suggest that vapor wall losses
measurably reduce the amount of SOA formed in the chamber by removing precursor
390 vapors. On the other hand, the averaged $OAER_{inert}$ value (the metric used by Hennigan
et al. (2011) to report their experimental observations) for our simulations with vapor
392 wall losses on (Fig. 3a, using BC as the tracer, not shown on this figure) is 1.9 ± 0.4 after
5 hours, while our $OAER_{inert}$ value for the simulations with vapor wall losses off (Fig. 3b)
394 is 3.3 ± 0.7 . Thus, the $OAER_{inert}$ values are lower than the $OAER_{chem}$ values when vapor
wall losses are on, but the two metrics are similar when vapor wall losses are off. This
396 difference arises because evaporation of OA, driven by vapor wall losses, decreases
the OA/BC ratio throughout the experiment, lowering the value of $OAER_{inert}$. Since vapor
398 wall losses drive evaporation in both the chem-on and chem-off experiments, $OAER_{chem}$
is a better metric for isolating the effect of chemistry than is $OAER_{inert}$. However,
400 because the differences between $OAER_{inert}$ and $OAER_{chem}$ are not great when vapor
wall losses are off, and because $OAER_{inert}$ is more directly comparable to the
402 experimental analysis of Hennigan et al. (2011), we use $OAER_{inert}$ as the representative
OA enhancement ratio for the remainder of the discussion on smog-chamber SOA. We
404 will revisit $OAER_{chem}$ when discussing ambient plumes, where $OAER_{inert}$ and $OAER_{chem}$
show important differences.

406 The range of $OAER_{inert}$ values presented in Hennigan et al. (2011) was 1.7 ± 0.7 , so our
comparable simulations with vapor wall loss on are in very good agreement with those
408 observations. Our simulations also show that these experimentally derived



enhancement ratios would be higher in the absence of vapor wall loss, since our
410 simulated $OAER_{inert}$ for the simulations with vapor wall losses off is almost doubled,
411 3.3 ± 0.7 . As the predicted underestimation of SOA formation attributed to vapor wall
412 losses depends on our assumptions for various wall-loss parameters and the details of
the chemistry scheme, the rest of this section explores how robust these results are to
414 the wall-loss and chemical-mechanism uncertainties.

We perform sensitivity tests using documented values of $C_w/M_w\gamma_w$ (9, 20, 50, 120 $\mu\text{g m}^{-3}$
416 and two sets of $C_w/M_w\gamma_w$ that vary with volatility) to estimate their influence on SOA
production in the simulated chamber experiments. α_w is set to 10^{-5} . Fig. 4a summarizes
418 the predicted values of $OAER_{inert}$ under our upper-bound chemistry assumptions (k_{OH}
set for aromatics with four-volatility-bin drop per reaction) for the various $C_w/M_w\gamma_w$
420 assumptions, while Fig. 4b shows the same but for the lower-bound chemistry
assumptions (k_{OH} set for alkanes with two-volatility-bin drop per reaction). The $OAER_{inert}$
422 values using Krechmer's $C_w/M_w\gamma_w$ set are comparable to those using the fixed 9 $\mu\text{g m}^{-3}$
value but less than Zhang's $C_w/M_w\gamma_w$ set, because Krechmer's $C_w/M_w\gamma_w$ leads to more
424 vapor wall losses than Zhang's $C_w/M_w\gamma_w$ (Table 4). The difference in OA enhancement
ratios for these varying $C_w/M_w\gamma_w$ is as much as 119% if estimated using the upper-
426 bound chemistry assumptions (Fig. 4a) and as much as 63% for the lower-bound
assumptions (Fig. 4b). For the upper-bound-chemistry simulations, $OAER_{inert}$ for the
428 simulations using $C_w/M_w\gamma_w$ of 20 and 9 $\mu\text{mole m}^{-3}$ and Krechmer's values (1.6, 1.9 and
1.9) are close to the experimental values (1.7 ± 0.7) reported by Hennigan et al. (2011),
430 suggesting our simulations using these parameter settings could reflect the conditions in
the chamber experiments. Generally, the lower-bound-chemistry simulations all
432 underpredict the experimental range of Hennigan et al. (2011). Most of those
simulations result in a net loss of OA ($OAER_{inert}$ less than 1), although the simulations
434 with the Zhang $C_w/M_w\gamma_w$ set overlap with the low end of the Hennigan et al. (2011)
range.

436 The vapor accommodation coefficient with the walls, α_w , has also been demonstrated to
be an important parameter in chambers that influences the vapor-wall loss rates (Zhang
438 et al. 2014; Bian et al., 2015). A value of 1 represents perfect accommodation,
representing no limitation on the vapor-wall loss rates due to this process. Based on
440 their series of lab studies, Matsunaga and Ziemann (2010) recommended values of α_w
larger than 10^{-5} . Zhang et al. (2014) and Bian et al. (2015) both showed the insensitivity
442 of vapor wall loss to α_w when $\alpha_w > 10^{-4}$, but vapor wall loss was largely suppressed
using the varying α_w as a function of C^* that was suggested by Zhang et al. (2015). We
444 thus simulate the experiments for choices of $\alpha_w = 1$ and for varying α_w , as sensitivity
tests from our previously assumed value of 10^{-5} . $C_w/M_w\gamma_w$ is set to Krechmer's values for
446 this series of simulations. Fig. 5 shows that assuming $\alpha_w = 1$ decreases $OAER_{inert}$ by 18-
31% compared with the base-case simulations using $\alpha_w = 1 \times 10^{-5}$, since k_{on} is nearly one



448 order of magnitude higher for $\alpha_w = 1$ than for $\alpha_w = 1 \times 10^{-5}$ (Table 3). On the other hand,
450 $\text{OAER}_{\text{inert}}$ nearly doubles when using the varying α_w relative to the 1×10^{-5} simulations,
as vapor wall loss is slower on average for the varying α_w (i.e. 3.7×10^{-9} to 1.1×10^{-6} for
452 our simulated C^* range). Compared with the experimental values of Hennigan et al.
(2011), it appears that using α_w of 1×10^{-5} , or the varying α_w values with the lower-bound-
454 chemistry assumptions, can better represent the FLAME-III experiments; however, we
are unable to determine which set of α_w , $C_w/M_w\gamma_w$, and chemistry assumptions best
456 represent the actual processes occurring in the chamber, since different combinations
of these values can reproduce the observed $\text{OAER}_{\text{inert}}$ range.

Whether the upper- or lower-bound chemical mechanism assumptions are applied, our
458 simulations show that $\text{OAER}_{\text{inert}}$ increases significantly for most of the cases when vapor
wall losses are shut off, implying that vapor-wall-loss suppression of SOA formation is a
460 robust result across our simulations (Fig. 4). For example, $\text{OAER}_{\text{inert}}$ for the upper-
bound-chemistry simulations without vapor wall loss is 3.3 ± 0.7 (Fig. 4a), or over a 200%
462 increase in OA attributable to chemical formation of SOA from species that are lost to
the walls in typical experiments. Most of the measurements and simulations including
464 vapor wall losses result in OA increases due to SOA formation of 100% or less. Thus,
our simulations imply that SOA production in biomass-burning-smoke SOA laboratory
466 smog chamber experiments may be underestimated by a factor of 2 or more due to
vapor wall losses, and that applying lab-derived apparent SOA formation rates to
468 simulations of the evolution of ambient OA would similarly underestimate the impacts of
photo-oxidation of biomass-burning products. We explore these potential atmospheric
470 impacts in the next section.

3.3 SOA production in ambient plumes

472 The semi-volatile nature of organics from biomass burning not only complicates SOA
estimation from chamber studies, but also can influence OA evolution during plume
474 transport and dilution. In dispersion, the initial plume cross-sectional area is a key factor
that determines the relative plume dilution rate during transport (Sakamoto et al., 2016).
476 The initial plume width is associated with fire size, which means that the fire size could
largely influence the plume evolution (Sakamoto et al., 2016). We perform simulations
478 on the evolution of ambient OA concentrations over 4 hours of simulated transport, for
four different fire areas of 1×10^{-4} , 1×10^{-2} , 1×10^0 and 1×10^2 km² (with the fire width
480 assumed to be the square root of these areas). In these simulations, we set the mass
flux to 5×10^{-6} kg m⁻² s⁻¹ and the atmospheric stability to the neutral atmospheric Pasquill
482 stability condition, D . The initial mass concentrations for different-sized fires are
assumed to be similar in all cases ($\sim 10^3$ μg m⁻³). The simulated time evolution of various
484 key quantities is shown for each of the four different fire sizes in Fig. 6, with the upper-
bound chemistry cases shown as solid lines and the lower-bound chemistry as dotted
486 lines.



488 The organic mass (OM) concentration in the gas and particle phases predicted for the
small fire ($1 \times 10^{-4} \text{ km}^2$, prescribed fire size) drops quickly from 1×10^3 to $3 \times 10^{-3} \mu\text{g m}^{-3}$
490 over the four simulated hours (blue lines, Figs. 6a and b) due to the strong dilution: a
dilution ratio of over 10^5 with respect to the initial volume is achieved within 2 hours, as
492 shown in Fig 6c. The OA concentration for the large fire ($1 \times 10^2 \text{ km}^2$, wildfire size)
decreases from around 3×10^3 to $1 \times 10^3 \mu\text{g m}^{-3}$ because of weak dilution (dilution ratio
<10). $\text{OAER}_{\text{inert}}$ increases to around 1.06-1.20 (depending on upper- versus lower-
494 bound chemistry) for the 100 km^2 fire area; however, for the smaller fires, $\text{OAER}_{\text{inert}}$
initially decreases due to the dominant role of OA evaporation driven by dilution, but
496 eventually recovers as SOA formation rates exceed the loss rates (particularly for the
upper-bound-chemistry simulations, Fig. 6d). The upper-bound-chemistry simulated
498 $\text{OAER}_{\text{inert}}$ after 4 h transport are all above 1, while $\text{OAER}_{\text{inert}}$ remains below 1 for the
small fires in the lower-bound-chemistry simulations. Thus, the range in the simulations
500 shown in Fig. 6d captures the range in the competition between OA evaporation due to
dilution and OA formation due to chemistry and condensation. Interestingly, $\text{OAER}_{\text{inert}}$
502 evolves virtually identically for the two smallest fires (Fig. 6d), despite different dilution
ratios (Fig. 6c) due to the biomass-burning OA concentrations dropping below the
504 concentration background OA entrained into the plume ($5 \mu\text{g m}^{-3}$) in both plumes, which
suggests that the background OA concentration also plays a role affecting the OAER
506 values.

Atmospheric stability is an important parameter that influences the dilution rate. Figs 7
508 and 8 show the impacts on the predictions of changing atmospheric stability for low (Fig.
7) and high (Fig. 8) emission mass fluxes (2×10^{-8} and $5 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$), all for moderate
510 1 km^2 fire areas. Unstable atmospheres (stability-class A) favor the vertical and
horizontal mixing of air parcels that enhances dilution (Fig 7c). Stable atmospheres
512 (stability-class F) resist vertical mixing and have weaker dilution. Therefore, OA
evolution in unstable atmospheres (A) behaves qualitatively similar to the small fires in
514 Fig. 7 and has a similar decreasing-then-increasing pattern for $\text{OAER}_{\text{inert}}$. OA evolution
in stable atmospheres (F) behaves qualitatively similar to the large fires in Fig. 7,
516 leading to a steady increase in $\text{OAER}_{\text{inert}}$ with time (Fig. 7). For the low-emission mass
flux (Fig. 8), $\text{OAER}_{\text{inert}}$ shows a similar pattern across all stability classes, increasing
518 steadily with time. This monotonic increase arises because the plumes begin in a dilute
state where the biomass-burning OA concentrations quickly drop below the background
520 non-volatile BC concentrations entrained into the plume ($5 \mu\text{g m}^{-3}$). In this limit, further
dilution does not lead to further evaporation, so in each of the stability cases chemistry
522 exceeds evaporation. Again, this shows that the results should be sensitive to
background non-volatile OA concentrations.

524 The sensitivity tests shown in Figs. 6-8 demonstrate that OA enhancement ratios
measured in the field using BC or CO as a conserved tracer ($\text{OAER}_{\text{inert}}$) may undergo



526 very different trajectories based on (1) the fire size, (2) the emissions mass flux, and (3)
528 the stability of the atmosphere - even when the OA volatility distribution and chemical
530 mechanisms are identical. This variance with fire size, mass flux, and stability may
explain at least some of the variability in the measured time evolution of OA
enhancement ratios ($OAER_{inert}$) reported in field studies.

3.4. Is the traditional OA enhancement ratio reported in field studies a good proxy for
532 SOA formation? $OAER_{inert}$ versus $OAER_{chem}$

As described earlier, $OAER_{inert}$ (the OA enhancement ratio calculated by using an inert
534 tracer, such as BC, to account for physical dilution) and $OAER_{chem}$ (the OA
enhancement ratio calculated comparing simulations with chemistry on versus
536 chemistry off) differed for our simulations of smog-chamber experiments with vapor wall
losses on. We find that the differences between $OAER_{chem}$ and $OAER_{inert}$ can be even
538 more dramatic in our plume simulations. Fig. 6 shows that $OAER_{chem}$ increases steadily
across all four different-sized fires. Unlike $OAER_{inert}$, which had the largest increases for
540 the large fire, $OAER_{chem}$ has the largest increases for small fires, reaching values of 2.2
for the small fires and 1.3 for the large fires (with upper-bound chemistry). More organic
542 material is evaporated from particles in plumes of smaller fires, which gives a larger
reservoir of SOA precursors to generate SOA, compared to the plumes of larger fires.
544 Thus, while $OAER_{inert}$ estimates that are traditionally reported in field studies may show
values similar to or less than 1, the OA in these plumes may actually be strongly
546 enhanced by SOA formation, and indeed evaporation of precursors driven by dilution is
required to replenish the reservoir of SOA precursors in the gas phase so that these
548 processes are not only in competition but are dependent on each other. In cases where
little apparent SOA production is occurring, our studies suggest that SOA formation is
550 simply balancing the loss of OA from evaporation. This explanation is consistent with
the findings from some observational studies reporting increased oxygenation with time
552 for the OA in sampled biomass burning plumes, but lower average $\Delta OA / \Delta CO$ (or a
decreasing $OAER_{inert}$) in aged plumes (Jolleys et al., 2015).

554 Analogous results are shown for the influence of atmospheric stability in Fig. 7. The
 $OAER_{inert}$ values are largest for the most-stable conditions. On the other hand
556 $OAER_{chem}$ values are largest for the least-stable conditions that have the most organic-
vapor evaporation generating the largest pool of SOA precursor vapors. Under low
558 emission-flux conditions (Fig. 8), the plume is already dilute upon emission and thus
both $OAER_{inert}$ and $OAER_{chem}$ have nearly identical values, monotonically increasing
560 with transport time.

This comparison of $OAER_{inert}$ and $OAER_{chem}$ shows that $OAER_{inert}$ computed from field
562 measurements may not be indicative of the relative amount of SOA formed in the plume,
due to competition with OA loss to dilution. Further, the relationship between $OAER_{inert}$



564 and $OAER_{chem}$ can depend greatly on the fire size, smoke emission flux, and the
atmospheric stability, and different conclusions regarding the efficiency and impact of
566 photooxidation can be drawn for the same fuels, combustion phases, and chemical
mechanisms if the emissions are sampled under those varying fire size and
568 environmental conditions.

570 4. Summary and Conclusions

We investigated the processes controlling biomass-burning OA evolution in smog
572 chambers and in ambient plumes. We used aerosol microphysics simulations with
resolved organic volatility, kinetic condensation/evaporation, and gas-phase chemistry
574 (ignoring potential particle- and heterogeneous-phase chemistry) to explore these
processes. We found that differences seen between laboratory and field observations
576 may be explained, in part, due to processes that control OA evaporation (and SOA
precursor losses) in these experiments.

578 For laboratory smog-chamber experiments in Teflon chambers (specifically the
FLAME-III experiments reported by Hennigan et al., 2011), our simulations showed that
580 vapor wall losses remove SOA precursor vapors and drive OA evaporation.
Uncertainties in parameters that control vapor wall losses, such as the wall saturation
582 concentration and wall accommodation coefficient, as well as uncertainties in gas-phase
chemistry, lead to uncertainties in our simulations. We are able to reproduce the
584 observed OA concentration profiles from the FLAME-III experiments using a range of
wall-loss and chemistry parameters that fall within previously published estimates, but
586 there is no unique set of parameters that can be identified at this time. However, under
all assumed parameters, the apparent SOA formation was suppressed by vapor wall
588 losses. For the simulations that best reproduced the OA concentration profiles from the
FLAME-III experiments, we found that turning off vapor wall losses in these simulations
590 leads to 2-3x increases in the total apparent SOA production in the experiment. Thus,
vapor-phase wall losses should be considered and corrected for in biomass-burning
592 SOA smog-chamber experiments.

For ambient expanding plumes, we showed through similar simulations with
594 identical gas-phase chemistry assumptions that the fire area, mass emissions flux, and
atmospheric stability strongly modulate initial plume concentrations and plume dilution
596 rates. Conditions with fast dilution (small fire areas and unstable atmospheric conditions)
drive faster OA evaporation relative to slow-dilution conditions. However, the
598 evaporated OA serves as precursor vapors for SOA formation. Thus, quickly diluting
plumes may have substantial initial drops in the ratio of OA to inert tracers (relative to



600 slowly diluting plumes), but the ratio of OA to inert tracers later increases more rapidly in
the quickly diluting plumes due to the faster SOA formation.

602 To decouple the influences of POA evaporation and SOA formation on the
evolution of the net OA, we defined two metrics: (1) $OAER_{inert}$, which uses an inert
604 tracer (e.g. CO or BC) to normalize OA in the plume, as is commonly done in laboratory
and field experiments, and (2) $OAER_{chem}$, which uses a simulation with chemistry turned
606 off to normalize the OA in the plume, which is generally only possible in modeling
studies. While $OAER_{inert}$ is influenced by both POA evaporation and SOA condensation,
608 $OAER_{chem}$ shows influence of SOA condensation which allowed us to decouple the
influence of POA evaporation and SOA condensation. Through these two metrics, we
610 showed that many plumes with $OAER_{inert}$ values near 1 (implying little net change in OA)
may be strongly influenced by SOA production that is balanced by POA evaporation.
612 We found the SOA-production influence to be strongest for rapidly diluting plumes (such
as those from small-area fires or under unstable atmospheric conditions), where SOA
614 may contribute to a doubling of OA concentrations within 4 hours relative to a simulation
with chemistry off, even though field measurements might have observed little to no net
616 change in OA in the plume with time.

Our results highlight that the evolution of OA in the atmosphere depends on more
618 than the details of the fuel types and the combustion efficiency of those fuels, yet these
fuel/combustion details are often the focus of many experiments. The size of the fire
620 and the meteorological conditions may also influence whether a net OA increase or
decrease is inferred, when dilution alone is accounted for by normalizing with inert-
622 tracer concentrations. The large range in reported observed OA changes in experiments
and ambient plume profiles (e.g., Grieshop et al., 2009; Yokelson et al., 2009; Cubison
624 et al., 2011; Hennigan et al. 2011; Akagi et al., 2012; Ortega et al., 2013; May et al., 2015)
may be explained, in part, by these factors. Additionally, as we used identical chemistry
626 assumptions in all of our simulations, we showed that the changes in OA with time in
laboratory and field experiments cannot easily be compared to each other due to
628 different influences of chamber walls and plume dilution. The apparent observed OA
evolution in the laboratory and field may be drastically different (e.g. showing a net gain
630 in the lab while showing a net loss in the field) even with identical chemical mechanisms
and rates in the laboratory and field experiments. These findings may also explain in
632 part the systematic inconsistencies in reported OA enhancements measured in the
laboratory and in field experiments (e.g., Jolleys et al., 2014). Thus, laboratory and field
634 observations require a thorough understanding of the processes that drive OA
evaporation (and SOA-precursor losses) before the impact of photochemical SOA
636 production can be isolated and quantified.



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Table 1. Data for 18 wood smoke samples introduced to the smog chamber, including fuel types, initial number concentration and corresponding size distribution parameters (median diameter in nm and geometric standard deviation, σ), initial total aerosol nonrefractory mass concentration, the organic mass fraction of the aerosol phase and OH exposure rate. The Burn ID and OH exposure refer to the schedule of burns in FLAME III, as reported in Hennigan et al. (2011).

Burn ID	Fuel type	Temp (K)	Initial particle number concentration (cm^{-3})	Num. size dist.		Initial total mass concentration n^1 ($\mu\text{g m}^{-3}$)	Organic mass fraction ²	$k_{w,p0}$ (s^{-1})	k_e (s^{-1})	OH exposure (molecules $\text{cm}^{-3} \text{s}$)
				Median diameter (nm)	σ					
37	Lodgepole Pine	292.9	5843	157	1.73	44.96	0.943	8.03×10^{-5}	1.07	1.56×10^{10}
38	Lodgepole Pine	286.8	7612	127	1.67	40.96	0.896	6.27×10^{-5}	1.41	1.40×10^{10}
40	Ponderosa Pine	279.5	6505	160	1.84	63.73	0.954	8.67×10^{-5}	0.69	2.71×10^{10}
42	Wire Grass	277.0	8107	123	1.55	19.63	0.484	1.07×10^{-4}	0.77	3.50×10^{10}
43	Saw Grass	284.2	5406	123	1.73	18.16	0.347	1.07×10^{-4}	0.52	3.10×10^{10}
45	Turkey Oak	286.3	6334	106	1.63	16.80	0.506	8.11×10^{-5}	0.99	2.09×10^{10}
47	Gallberry	286.7	8265	123	1.61	39.16	0.881	7.37×10^{-5}	0.19	6.12×10^{10}
49	Sage	285.0	5486	127	1.71	17.76	0.321	8.84×10^{-5}	0.84	1.84×10^{10}
51	Alaskan Duff	282.5	4175	88	1.83	20.38	0.898	7.00×10^{-5}	0.32	$^3 4.29 \times 10^{10}$
53	Sage	287.2	5619	132	1.76	16.09	0.348	8.43×10^{-5}	0.91	$^3 4.29 \times 10^{10}$
55	White Spruce	281.6	4641	115	1.83	27.73	0.761	8.13×10^{-5}	0.31	6.59×10^{10}
57	Ponderosa Pine	277.9	6624	161	1.81	72.83	0.935	8.43×10^{-5}	0.96	7.99×10^{10}
59	Chamise	281.9	7173	148	1.79	24.89	0.221	7.58×10^{-5}	0.83	4.95×10^{10}
61	Lodgepole Pine	283.1	6059	153	1.79	63.03	0.944	6.30×10^{-5}	0.29	7.89×10^{10}
63	Pocosin	277.9	7463	112	1.65	26.20	0.603	8.46×10^{-5}	0.37	8.22×10^{10}
65	Gallberry	275.3	7763	159	1.68	85.98	0.899	1.43×10^{-4}	0.62	4.94×10^{10}
66	Black Spruce	279.0	9828	96	1.66	35.21	0.852	1.02×10^{-4}	0.36	2.63×10^{10}
67	Wire Grass	274.5	11580	129	1.52	36.51	0.619	5.78×10^{-5}	0.28	3.06×10^{10}



¹total mass = [OA] + [SO₄²⁻] + [NO₃⁻] + [NH₄⁺] + [Cl⁻] + [BC], total aerosol non-refractory mass concentration as measured by the Aerodyne quadruple aerosol mass spectrometer and black carbon was determined by a seven-channel Aethalometer at 880 nm.

²organic fraction = [OA] / ([OA] + [SO₄²⁻] + [NO₃⁻] + [NH₄⁺] + [Cl⁻] + [BC])

³We have assumed the average OH exposure of the other 16 experiments, as no OH exposure rate was provided for these two experiments.



Table 2. Gas-phase chemistry volatility matrix that describes the change in volatility of the gas-phase organics after a single reaction with OH. Labels a and b represent the cases with four- and two-volatility-bin drops per reaction, respectively.

Precursor $\log_{10}C^*$ ($\mu\text{g m}^{-3}$)	Product $\log_{10}C^*$ ($\mu\text{g m}^{-3}$)													
	-3	-2	-1	0	1	2	3	4	5	6	7	8	9	
-2	a, b													
-1	a, b													
0	a	b												
1	a		b											
2		a		b										
3			a		b									
4				a		b								
5					a		b							
6						a		b						
7							a		b					
8								a		b				
9									a		b			
10										a		b		
11											a		b	



Table 3. Input parameters for the ambient-plume Gaussian dispersion simulations.

Parameter	Description	Value
D_p	Emission particle dry diameter, μm	0.157
σ	Emission particle size distribution standard deviation	1.7
k_{OH}	Ambient reaction rate constant, $\text{cm}^3 \text{molecule}^{-1} \text{s}^{-1}$	upper: $-5.70 \times 10^{-12} \ln(C^*) + 1.14 \times 10^{-10}$ lower: $-1.84 \times 10^{-12} \ln(C^*) + 4.27 \times 10^{-10}$
[OH]	Ambient OH concentration, molecules cm^{-3}	1.08×10^6
Mass Flux	Emission mass flux from fire, $\text{kg m}^{-2} \text{s}^{-1}$	2×10^{-8} , 5×10^{-6}
Fire area	Fire emissions area, km^2	1×10^2 , 1, 1×10^{-2} , 1×10^{-4}
Wind speed	Mean boundary-layer wind speed, ms^{-1}	5
Stability class	Pasquill stability classes for atmospheric turbulence	A, D, F
Boundary height	Mean boundary height, m	2500
T	Ambient temperature during dilution, K	298
Mass _{bg}	Background aerosol mass concentration, $\mu\text{g m}^{-3}$	5.0
$D_{p,\text{bg}}$	Dry diameter of background particles, μm	0.3
$\sigma_{p,\text{bg}}$	Geometric standard deviation of size distribution of background particles, μm	1.8



Table 4. Vapor wall-loss rate constants (s^{-1} , $k_{w,on}$ and $k_{w,off}$) for each volatility bin for cases with varying $C_w/M_w\gamma_w$ (Krechmer et al., 2016), for different α_w as shown; last column is for the case varying $C_w/M_w\gamma_w$ as in Zhang et al., (2015).

$\log_{10}C^*$	varying $C_w/M_w\gamma_w$ (Krechmer et al., 2016); $\alpha_w=1\times 10^{-5}$		varying $C_w/M_w\gamma_w$ (Krechmer et al., 2016); $\alpha_w=1$		varying $C_w/M_w\gamma_w$ (Krechmer et al., 2016); varying α_w (Zhang et al. 2015)		varying $C_w/M_w\gamma_w$ (Zhang et al., 2015); $\alpha_w=1\times 10^{-5}$	
	k_{on}	k_{off}	k_{on}	k_{off}	k_{on}	k_{off}	k_{on}	k_{off}
-3	7.33×10^{-4}	2.01×10^{-8}	4.01×10^{-3}	1.10×10^{-7}	1.55×10^{-4}	4.26×10^{-9}	7.33×10^{-4}	9.90×10^{-5}
-2	7.58×10^{-4}	2.26×10^{-7}	4.02×10^{-3}	1.20×10^{-6}	1.05×10^{-4}	3.15×10^{-8}	7.58×10^{-4}	1.58×10^{-4}
-1	7.86×10^{-4}	2.56×10^{-6}	4.02×10^{-3}	1.31×10^{-5}	7.15×10^{-5}	2.33×10^{-7}	7.86×10^{-4}	2.55×10^{-4}
0	8.18×10^{-4}	2.94×10^{-5}	4.03×10^{-3}	1.45×10^{-4}	4.86×10^{-5}	1.75×10^{-6}	8.18×10^{-4}	4.16×10^{-4}
1	8.54×10^{-4}	8.61×10^{-5}	4.03×10^{-3}	4.07×10^{-4}	3.31×10^{-5}	3.34×10^{-6}	8.54×10^{-4}	6.91×10^{-4}
2	8.97×10^{-4}	2.57×10^{-4}	4.04×10^{-3}	1.16×10^{-3}	2.27×10^{-5}	6.51×10^{-6}	8.97×10^{-4}	1.16×10^{-3}
3	9.47×10^{-4}	7.85×10^{-4}	4.06×10^{-3}	3.36×10^{-3}	1.56×10^{-5}	1.30×10^{-5}	9.47×10^{-4}	2.02×10^{-3}
4	1.01×10^{-3}	2.47×10^{-3}	4.07×10^{-3}	9.97×10^{-3}	1.09×10^{-5}	2.68×10^{-5}	1.01×10^{-3}	3.58×10^{-3}
5	1.09×10^{-3}	6.50×10^{-3}	4.09×10^{-3}	2.45×10^{-2}	7.75×10^{-6}	4.63×10^{-5}	1.09×10^{-3}	6.68×10^{-3}
6	1.10×10^{-3}	6.90×10^{-2}	4.10×10^{-3}	2.56×10^{-1}	5.10×10^{-6}	3.19×10^{-4}	1.10×10^{-3}	1.01×10^{-2}
7	1.10×10^{-3}	6.90×10^{-1}	4.10×10^{-3}	2.56×10^0	3.28×10^{-6}	2.05×10^{-3}	1.10×10^{-3}	1.43×10^{-2}
8	1.10×10^{-3}	6.90×10^0	4.10×10^{-3}	2.56×10^1	2.12×10^{-6}	1.32×10^{-2}	1.10×10^{-3}	2.05×10^{-2}
9	1.10×10^{-3}	6.90×10^1	4.10×10^{-3}	2.56×10^2	1.36×10^{-6}	8.47×10^{-2}	1.10×10^{-3}	2.91×10^{-2}
10	1.10×10^{-3}	6.90×10^2	4.10×10^{-3}	2.56×10^3	8.72×10^{-7}	5.45×10^{-1}	1.10×10^{-3}	4.12×10^{-2}
11	1.10×10^{-3}	6.90×10^3	4.10×10^{-3}	2.56×10^4	5.61×10^{-7}	3.50×10^0	1.10×10^{-3}	5.87×10^{-2}

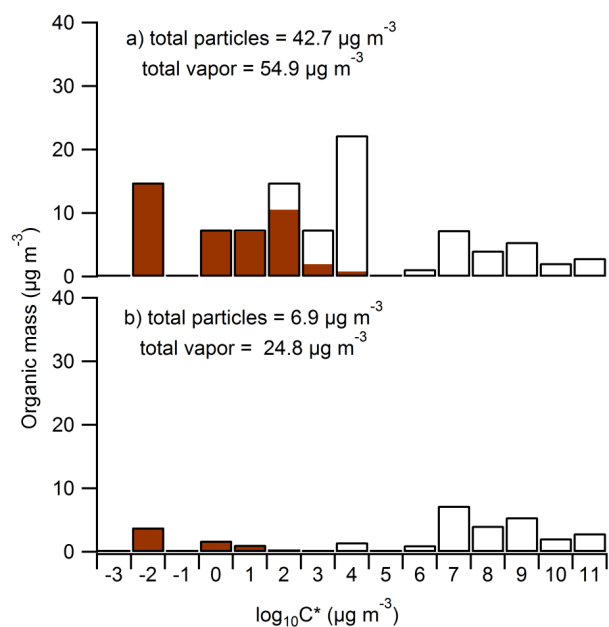


Figure 1. a) Volatility distribution with 15 volatility bins, adapted from the work of May et al. (2013) and Hatch et al. (2016). The average total initial organic aerosol mass concentration is $42.7 \mu\text{g m}^{-3}$ over the 18 experiments. For this mass concentration, the shaded area represents the organic mass in the particulate phase in each volatility bin. b) The simulated volatility distribution without chemistry after 4 hr of particle and vapor wall loss. The concentrations are the means across all 18 experiments.

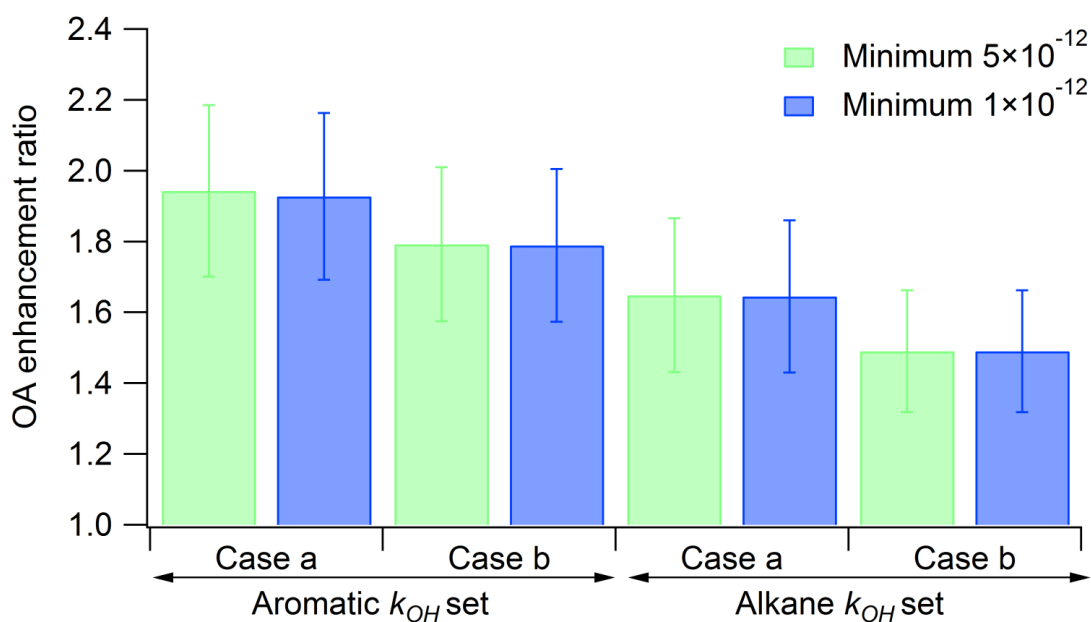


Figure 2. OA enhancement ratios ($\text{OAER}_{\text{inert}}$ and $\text{OAER}_{\text{chem}}$ are equivalent in these simulations), in the absence of particle and vapor wall losses, averaged over the 18 experimental simulations using k_{OH} sets fitted for aromatics and alkanes with a four-volatility-bin drop per reaction (Case a) and a two-volatility bin drop per reaction (Case b). The minimum k_{OH} value is set to be 5×10^{-12} (green bars) and 1×10^{-12} (blue bars) $\text{cm}^3 \text{mole}^{-1} \text{s}^{-1}$, respectively. The error bars represent one standard deviation across the 18 simulations and represent experiment-to-experiment variability.

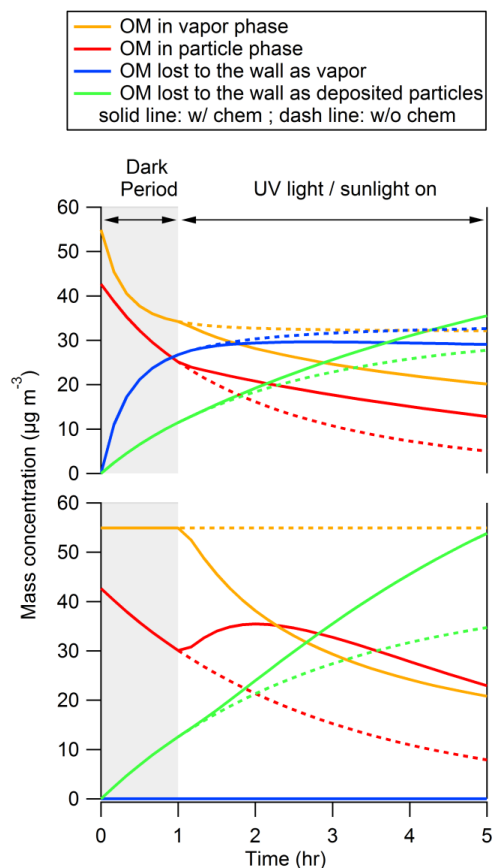


Figure 3. Time evolution of organic mass (OM, in units of $\mu\text{g m}^{-3}$) in the vapor phase (gold lines) and particulate phase (red lines), averaged over the 18 simulations, assuming no chemical reactions occurring (dashed lines) and including oxidation reactions (solid lines). Simulations with chemistry on use k_{OH} fitted for aromatics with a four-volatility-bin- drop in volatility assumed for the products. a) with particle and vapor wall loss on; b) with vapor wall loss off. Particle-phase wall losses are included in both simulations; the masses of particles and vapors lost to the walls have been normalized by the volume of the bag to obtain mass concentration units. The simulations use Krechmer's saturation concentrations ($C_w/M_{w,w}$) (Krechmer et al. 2016) and a mass accommodation coefficient of 1×10^{-5} . In all cases, the first hour simulates the process of primary organic aerosol characterization in the dark (no chemical reactions).

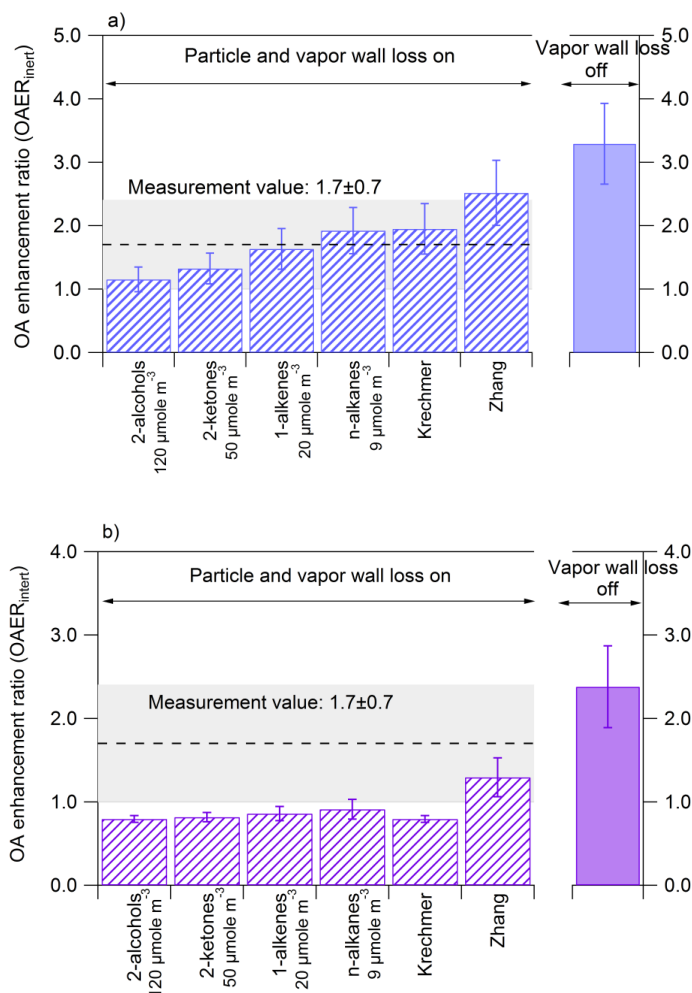


Figure 4. OAER_{inert} enhancement ratios in the simulations, as calculated from Eqn 7, using saturation concentrations (C_w/M_{wY_w}) of 120, 50, 20, and 9 $\mu\text{mole m}^{-3}$ as suggested by Matsunaga and Ziemann (2010), and for varying C_w/M_{wY_w} as suggested by Krechmer et al. (2016) and Zhang et al. (2015). Two sets of reaction rates have been applied: a) upper-bound chemistry (k_{OH} set for aromatics with four-volatility-bin drop per reaction) and b) lower-bound chemistry (k_{OH} set for alkanes with two-volatility-bin drop per reaction). The mass accommodation coefficient is set to 1×10^{-5} in all simulations. The striped bars represent the simulations with particle and vapor wall loss on and the solid bars represent the simulations with vapor wall loss off. The dashed line and grey area represent the measurement value and its standard deviation from Hennigan et al. (2011).

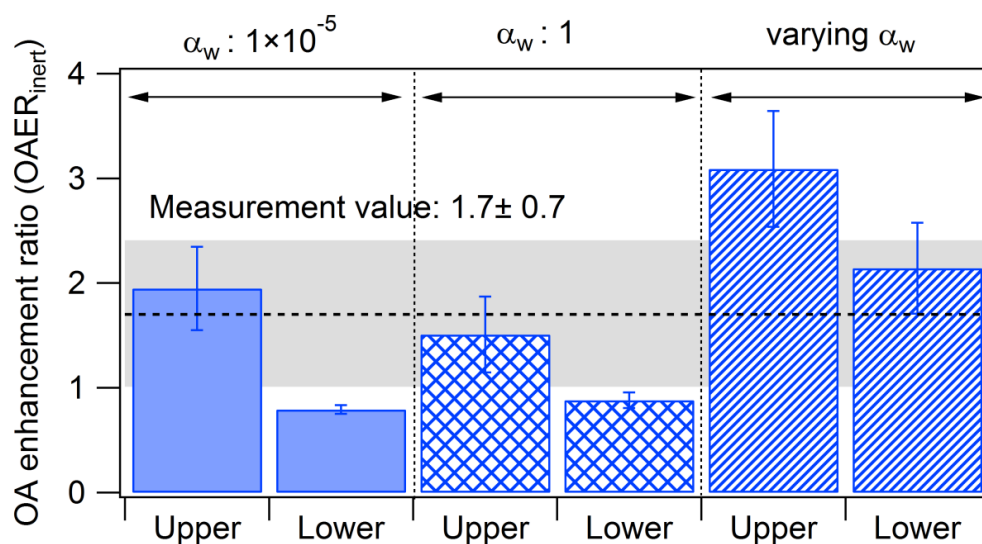


Figure 5. The effect of variable mass accommodation coefficients on the OAER_{inert} enhancement ratios shown in Fig. 4. All simulations used varying $C_w/M_w\gamma_w$ (Krechmer et al. 2016). Results for upper- and lower-bound chemistry assumptions are shown, with assumed α_w of 1×10^{-5} (solid bars), 1 (gridded bars) and varying α_w as a function of C^* (striped bars, Zhang et al., 2015). The dashed line and grey area represent the measurement value and its standard deviation from Hennigan et al. (2011).

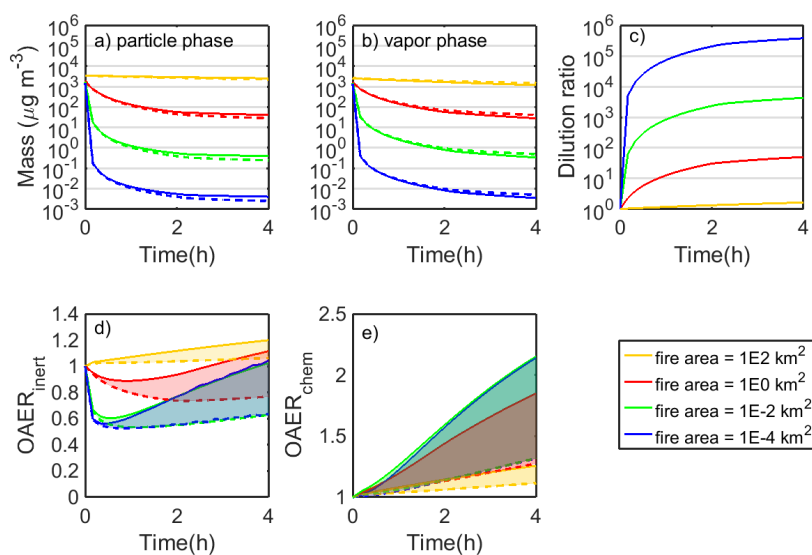


Figure 6. Time evolution of a) organic mass (OM) in the particle phase, b) OM in the vapor phases, c) dilution ratios, d) $OAER_{inert}$ and e) $OAER_{chem}$ during Gaussian dispersion, using the parameters listed in Table 3 with fire areas of 100, 1, 1×10^{-2} and 1×10^{-4} km^2 and an emission flux of 5×10^{-6} $kg\ m^{-2}\ s^{-1}$. Solid lines represent the upper-bound-chemistry simulations and dashed lines represent the lower-bound-chemistry simulations. Shaded areas bound the ranges of estimated OA enhancement.

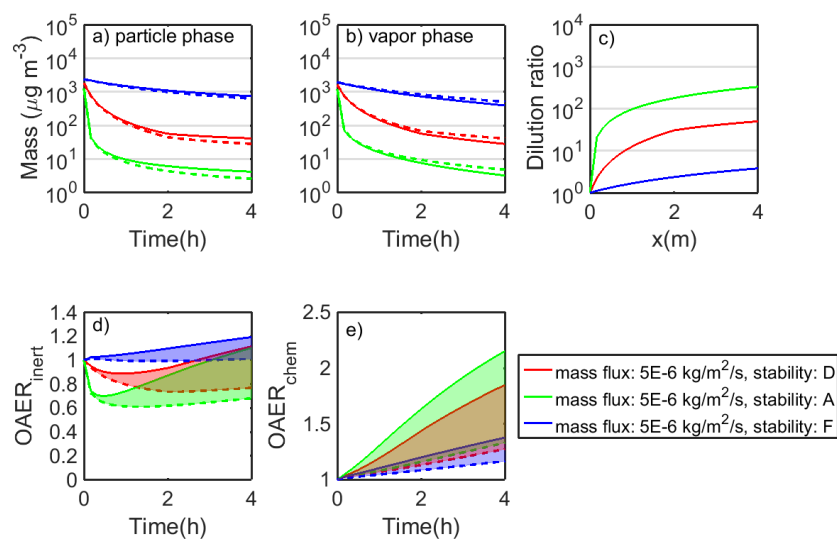


Figure 7. Time evolution during Gaussian dispersion of a) organic mass (OM) in the particle phase, b) OM in the vapor phases, c) dilution ratio, d) $\text{OAER}_{\text{inert}}$, and e) $\text{OAER}_{\text{chem}}$, with a fire area of 1 km^2 , a mass flux (ML) of $5 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$, and assuming different atmospheric stability classes (A, D, and F; see Table 3).

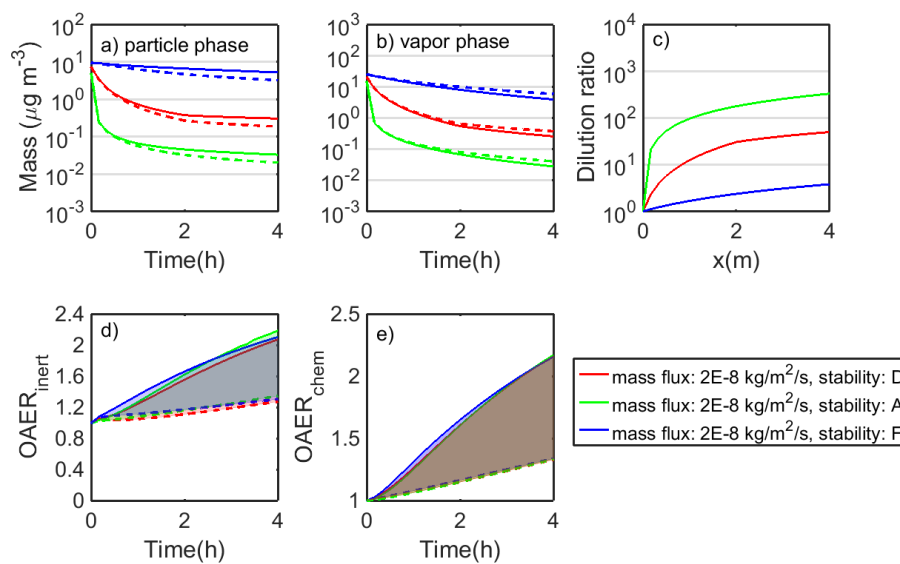


Figure 8. As in Fig. 7, but for an assumed mass flux of $2 \times 10^{-8} \text{ kg m}^{-2} \text{ s}^{-1}$.