

Spatial, temporal and source contribution assessments of black carbon over the northern interior of South Africa

Kgaugelo Euphinia Chiloane¹, Johan Paul Beukes¹, Pieter Gideon van Zyl¹, Petra Maritz¹, Ville Vakkari², Miroslav Josipovic¹, Andrew Derick Venter¹, Kerneels Jaars¹, Petri Tiitta^{1,3}, Markku Kulmala⁴, Alfred Wiedensohler⁵, Catherine Liousse⁶, Gabisile Vuyisile Mkhathshwa⁷, Avishkar Ramandh⁸, Lauri Laakso^{1,2}

[1] {Unit for Environmental Sciences and Management, North-West University, Potchefstroom Campus, South Africa}

[2] {Finnish Meteorological Institute, Helsinki, Finland}

[3] {Department of Environmental and Biological Sciences, Univ. of Eastern Finland, P.O. Box 1627, 70211 Kuopio, Finland}

[4] {Department of Physics, University of Helsinki, Finland}

[5] {Leibniz Institute for Tropospheric Research, Leipzig, Germany}

[6] {Laboratoire d'Aérodologie, Université Paul Sabatier-CNRS, OMP, 14 Avenue Edouard Belin, 31400 Toulouse, France}

[7] {Research, Testing and Development, Eskom SOC Ltd, Rosherville, South Africa}

[8] {Sasol Technology R&D (Pty) Limited, South Africa}

Correspondence to: J.P. Beukes (paul.beukes@nwu.ac.za)

Abstract

After carbon dioxide (CO₂), aerosol black carbon (BC) is considered to be the second most important contributor to global warming. This paper presents equivalent black carbon (eBC) (derived from an optical absorption method) data collected from three sites in the interior of South Africa, where continuous measurements were conducted, i.e. Elandsfontein, Welgedund and Marikana, as well elemental carbon (EC) (determined by evolved carbon method) at five

1 sites where samples were collected once a month on a filter and analysed off-line, i.e. Louis
2 Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano.

3 Analyses of eBC and EC spatial mass concentration patterns across the eight sites indicate that
4 the mass concentrations in the South African interior are in general higher than what has been
5 reported for the developed world and that different sources are likely to influence different sites.
6 The mean eBC or EC mass concentrations for the background sites (Welgegund, Louis
7 Trichardt, Skukuza, Botsalano) and sites influenced by industrial activities and/or nearby
8 settlements (Elandsfontein, Marikana, Vaal Triangle and Amersfoort) ranged between 0.7 and
9 1.1, and 1.3 and 1.4 $\mu\text{g}/\text{m}^3$, respectively.

10 Similar seasonal patterns were observed at all three sites where continuous measurement data
11 were collected (Elandsfontein, Marikana and Welgegund), with the highest eBC mass
12 concentrations measured during June to October, indicating contributions from household
13 combustion in the cold winter months (June-August), as well as savannah and grassland fires
14 during the dry season (May to mid-October). Diurnal patterns of eBC at Elandsfontein,
15 Marikana and Welgegund indicated maximum concentrations in the early mornings and late
16 evenings, and minima during daytime. From the patterns it could be deduced that for Marikana
17 and Welgegund, household combustion, and savannah and grassland fires were the most
18 significant sources, respectively.

19 Possible contributing sources were explored in greater detail for Elandsfontein, with five main
20 sources being identified as coal-fired power stations, pyrometallurgical smelters, traffic,
21 household combustion, as well as savannah and grassland fires. Industries on the Mpumalanga
22 Highveld are often blamed for all forms of pollution, due to the NO_2 hotspot over this area that
23 is attributed to NO_x emissions from industries and vehicle emissions from the Johannesburg-
24 Pretoria megacity. However, a comparison of source strengths indicated that household
25 combustion, and savannah and grassland fires were the most significant sources of eBC,
26 particularly during winter and spring months, while coal-fired power stations, pyro-
27 metallurgical smelters and traffic contribute to eBC mass concentration levels year round.

1 **1 Introduction**

2 Aerosol black carbon (BC) is the carbonaceous fraction of ambient particulate matter that
3 absorbs incoming short-wave solar radiation and terrestrial long-wave radiation, which has a
4 warming effect on the atmosphere (IPCC, 2013). Although BC has a relatively short
5 atmospheric lifetime (days to weeks), it has significant regional effects on temperature, cloud
6 amount and precipitation. Over snow-covered areas, the surface albedo can be significantly
7 reduced due to the deposition of BC, and this may considerably influence the local and regional
8 climate (Ramanathan and Carmichael, 2008; Jacobson, 2004). Direct observations of reduced
9 albedo resulting from long-range-transported BC into Arctic areas were reported by Stohl et al.
10 (2006). It was estimated that BC may have contributed to more than half of the observed Arctic
11 warming since 1890, most of this occurring during the last three decades (Shindell and
12 Faluvegi, 2008). After CO₂, BC is considered to be the second most important contributor to
13 global warming (Bond et al., 2004; IPCC, 2013). According to some authors, reducing BC
14 emissions may be the fastest means of slowing global warming in the near future. In addition
15 to the afore-mentioned effects, BC is a major contributor to fine particulate matter in the
16 atmosphere that can also have negative health effects (Hansen et al., 1984, Cachier, 1995;
17 IPCC, 2013).

18 Atmospheric BC is a primary species (Putaud et al., 2004; Pöschl, 2005) that is emitted by
19 combustion processes, particularly from fossil fuel combustion, diesel engine exhaust, as well
20 as open biomass fires and household combustion (Cachier, 1995; Cooke and Wilson, 1996;
21 Bond and Sun, 2004; IPCC, 2013). Globally, approximately 20% of BC is emitted from
22 residential biofuel burning, 40% from fossil fuels and 40% from open biomass burning such as
23 forest and savannah fires (Hansen et al., 1988; Cooke and Wilson, 1996; Wolf and Cachier,
24 1998; Pope, 2002;). BC from fossil fuels is estimated to contribute a global mean radiative
25 forcing of 0.04 watts per square metre (W/m²) (IPCC, 2013).

26 There are large uncertainties associated with emissions of BC, its aging during atmospheric
27 transportation and its removal by precipitation (Bond and Sun, 2004), which are reflected in
28 uncertainties in the global effect of BC (e.g. Bond et al., 2013). Presently, the majority of
29 aerosol radiative impact assessments are based on models (Bond et al., 2013; IPCC, 2013), both
30 on local and global scales, which incorporate measured aerosol properties. However, this
31 approach involves several assumptions (e.g. assuming aerosol properties and the use of global
32 instead of regional emission inventories for under sampled/characterised regions). Considering

1 the relatively short atmospheric lifetime of BC, such assumptions could lead to significant
2 uncertainties, especially on regional scales (Andreae and Gelencser, 2006; Masiello, 2004;
3 Bond et al., 2013; Kuik et al., 2015). For a better understanding of the transport, removal and
4 climatic impacts of atmospheric BC, accurate and up-to-date measurements covering large
5 spatial areas and long temporal periods are required.

6 Africa is one of the least studied continents, although it is regarded as the largest source region
7 of atmospheric BC (Liousse et al., 1996; Kanakidou et al., 2005). Southern Africa is an
8 important sub-source region, with savannah and grassland fires (anthropogenic and natural)
9 being prevalent across this region, particularly during the dry season, when almost no
10 precipitation occurs (Formenti et al., 2003; Tummon et al., 2010; Laakso et al., 2012; Vakkari
11 et al., 2014; Mafusire et al., 2016). Studies by Swap et al. (2004) indicated that savannah and
12 grassland fire plumes from southern Africa affect Australia and South America. South Africa
13 is the economic and industrial hub of southern Africa with large anthropogenic point sources
14 (Lourens et al., 2011). However, the relative importance of BC contributions from these
15 anthropogenic sources in South Africa is still largely unknown and few BC-related papers have
16 been published in the peer-reviewed public domain. Venter et al. (2012) used BC mass
17 concentration data collected at the Marikana monitoring station to verify the origin of CO and
18 PM₁₀, but did not consider BC further. Collett et al. (2010) only presented a single diurnal plot
19 for BC mass concentration measured at the Elandsfontein monitoring station in 2010.
20 Hyvärinen et al. (2013) used BC mass concentration data collected at the Welgegund
21 monitoring station to illustrate the use of a newly developed method to correct BC mass
22 concentration values measured with a multi-angle absorption photometer (MAAP). In addition,
23 Martins (2009) determined elemental carbon (EC) and organic carbon (OC) mass
24 concentrations from three two-week winter campaigns and one two-week summer campaign at
25 two sites, as part of the framework of the Deposition of Biogeochemical Important Trace
26 Species (DEBITS)-International Global Atmospheric Chemistry (IGAC) in Africa project
27 (Galy-Lacaux et al., 2003; Martins et al., 2007). However, this data have not yet been published
28 in the peer-reviewed scientific domain. Maritz et al. (2015) and Aurela et al. (2016) presented
29 limited EC mass concentration data from some regional background sites in South Africa. Kuik
30 et al. (2015) used the Weather Research and Forecasting model, including chemistry and
31 aerosols (WRF-Chem), to analyse the contribution of anthropogenic emissions to the total
32 tropospheric BC mass concentrations from September to December 2010 in South Africa.
33 However, significant underestimations and uncertainties with regard to BC mass concentrations

1 were reported by the afore-mentioned authors.

2 From the above-mentioned, the need for improved BC mass concentration data for South Africa
3 is evident. This paper presents spatial and temporal assessments of equivalent black carbon
4 (eBC) derived from an optical absorption method and elemental carbon (EC) determined by an
5 evolved carbon method (definitions according to Petzold et al., 2013) mass concentrations over
6 the northern interior of South Africa, as well as potential contributing sources of eBC at
7 Elandsfontein, a site located on the South African Highveld.

8 **2 Measurement locations and methods**

9 **2.1 Measurement sites**

10 In this paper, eBC or EC mass concentration data from eight measurement stations are
11 presented. At three of these stations, continuous high resolution eBC measurements were
12 conducted, i.e. Elandsfontein, Welgegund and Marikana, while at the remaining five stations,
13 i.e. Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano, samples were collected
14 once a month on a filter for a period of 24 hours and analysed off-line to yield EC. The locations
15 of these sites within a regional context are indicated in Figure 1. In order to contextualise all
16 the sites, a brief description of each site is presented below.

17 **Insert Figure 1**

18 ***2.1.1 Elandsfontein***

19 The Elandsfontein monitoring station (26.25°S 29.42°E; 1750 m.a.m.s.l.) is located on the top
20 of a hill approximately 200 km east of Johannesburg in the highly industrialised South African
21 Highveld (Collett et al., 2010). The site is relatively frequently affected by plumes from coal-
22 fired power stations, metallurgical smelters and a large petrochemical operation that occur
23 within an approximately 60 km radius around the site (Laakso et al., 2012). The site was used
24 for the European Integrated Project on Cloud Climate, Aerosols and Air Quality Interactions
25 (EUCAARI) project for measurements outside Europe; with state-of-the-art instruments for
26 comprehensive aerosol measurements (Laakso et al., 2012; Kulmala et al., 2009).
27 Measurements were conducted from February 2009 to January 2011 with a PM₁₀ inlet.

28 ***2.1.2 Marikana***

29 The Marikana monitoring station (25.70°S 27.48°E; 1170 m.a.m.s.l.) is located in a small
30 village situated approximately 35 km east of the city of Rustenburg, in the North West Province
31 of South Africa. Within an approximately 55 km radius from this site there are 11
32 pyrometallurgical smelters and at least twice as many mines (feeding the afore-mentioned

1 smelters) (Venter et al., 2012). However, there were no mining and/or industrial activities
2 within a 1 km radius of the site. The closest surroundings included semi-formal (government-
3 built housing developments, mostly with some form of informal housing additions by the
4 occupants) and informal (self-erected, sometimes unauthorised, mostly without municipal
5 services) settlements, a formal residential area with a gas station and shops, as well as tarred
6 and untarred roads serving the communities in this area (Venter et al., 2011; Hirsikko et al.,
7 2012). Measurements were conducted from September 2008 to May 2010 with a PM₁₀ inlet.

8 **2.1.3 Welgegund**

9 The Welgegund measurement station (www.welgegund.org, 26.57°S 26.94°E, 1480 m.a.m.s.l.)
10 is situated approximately 100 km west of Johannesburg on the property of a commercial farmer.
11 It is representative of a regional background site, but is also affected by aged plumes from major
12 source regions in South Africa (Jaars et al., 2014; Tiitta et al., 2014; Venter et al., 2016). A
13 detailed description of the Welgegund measurement station and related source regions was
14 relatively recently presented by Beukes et al. (2013). Measurements reported in this paper
15 covered the period June 2010 to May 2012. A PM₁₀ inlet was used from 1 June 2010 to 25
16 August 2010, as well as 1 September 2011 to 31 May 2012, while a PM₁ inlet was used from
17 26 August 2010 to 31 August 2011. The PM₁ inlet sampling period was undertaken to better
18 quantify PM₁ aerosol chemical composition, which was reported in a previous paper (Tiitta et
19 al., 2014).

20 **2.1.4 DEBITS sites**

21 Maritz et al. (2015) introduced all the DEBITS sites for which data is presented. Therefore only
22 synopses of the site descriptions, taken from the afore-mentioned paper, are given here. The
23 DEBITS project is an international long-term project that mainly focuses on measuring
24 atmospheric deposition of pollutants (Galy-Lacaux *et al.*, 2003; Mphepya et al, 2004 and 2006;
25 Conradie et al., 2016). The Louis Trichardt (22.99 S 30.02 E; 1300 m.a.m.s.l.), Skukuza
26 (24.99 S 31.58 E; 267 m.a.m.s.l.), Vaal Triangle (26.72 S 27.88 E; 1320 m.a.m.s.l.),
27 Amersfoort (27.07 S 29.87 E; 1628 m.a.m.s.l.) and Botsalano (25.54 S 25.75 E;
28 1424 m.a.m.s.l.) sites were operated within the afore-mentioned programme. Amersfoort is
29 situated in a grassland biome and is affected by anthropogenic activities on the Mpumalanga
30 Highveld. Louis Trichardt is a rural site that is predominantly used for agricultural purposes
31 within the savannah biome. Skukuza is a regional background site within the savannah biome
32 and is situated in a protected area (Kruger National Park). The Vaal Triangle site is within the

1 grassland biome and is situated in a highly industrialised area, affected by emissions from
2 various industries, traffic and household combustion. Botsalano is a regional background site
3 that is situated within the savannah biome and a protected area (Botsalano Game Reserve). In
4 this paper EC sampled at these sites with a PM₁₀ inlet was reported for the period March 2009
5 to April 2011.

6 **2.2 Sampling and analysis methods**

7 Aerosol BC mass concentration can be measured using both online and off-line methods. In
8 this paper eBC was measured with a light-absorption method and EC with a thermo-optical
9 method (Petzold et al., 2013).

10 **2.2.1 Online sampling and analysis of eBC**

11 eBC mass concentration was continuously measured at Elandsfontein, Marikana and
12 Welgegund with a Thermo Scientific, Model 5012 Multi-angle Absorption Photometer
13 (MAAP) with time resolutions of 1 minute that was converted to 15 minute averages. The
14 MAAP measures aerosol eBC with a filter-based method that uses a combination of reflection
15 and transmission measurements together with a radiative transfer model to yield eBC
16 concentration (Petzold and Schönlinner, 2004). However, if the automated filter change in
17 MAAP occurs at a high eBC concentration, an artefact may occur (Hyvärinen et al., 2013). In
18 this study, the MAAP eBC measurements were corrected for this artefact according to
19 Hyvärinen et al. (2013). Furthermore, the MAAPs at Welgegund and Elandsfontein were
20 operated at reduced flow rates, which decreased the number of such filter change artefacts.

21 **2.2.2 Off-line sampling and analysis of EC**

22 Twenty four (24)-hour PM₁₀ aerosol samples were collected on quartz filters (with a deposit
23 area of 12.56 cm²) once a month at Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and
24 Botsalano for the entire measurement period reported. Sample preparation and analysis were
25 according to the methods described by Maritz et al. (2015). The quartz filters were prebaked at
26 900°C for four hours and cooled down in a desiccator, prior to sample collection. MiniVol
27 samplers developed by the United States Environmental Protection Agency (US-EPA) and the
28 Lane Regional Air Pollution Authority were used during sampling (Baldauf et al., 2001). In
29 this study, samples were collected at a flow rate of 5 L/min, which was verified by using a
30 handheld flow meter. Filters were handled with tweezers while wearing surgical gloves, as a
31 precautionary measure to prevent possible contamination of the filters. All thermally pre-
32 treated filters were also visually inspected to ensure that there were no weak spots or flaws.

1 After inspection, acceptable filters were weighed and packed in airtight Petri dish holders until
2 they were used for sampling. After sampling, the filters were again placed in Petri dish holders,
3 sealed off, bagged and stored in a portable refrigerator for transport to the laboratory. At the
4 laboratory the sealed filters were stored in a conventional refrigerator. Twenty four hours prior
5 to analysis, samples were removed from the refrigerator and weighed prior to analysis. Several
6 methods can be used to analyse EC collected on filters (Chow et al., 2001). In this study, the
7 IMPROVE thermal/optical (TOR) protocol (Chow et al., 1993; Chow et al. 2004;
8 Environmental Analysis Facility, 2008; Guillaume et al., 2008) was applied using a Desert
9 Research Institute (DRI) analyser. With this method, the filters are subjected to volatilisation
10 at temperatures of 120, 250, 450 and 550°C in a pure helium (He) atmosphere and at
11 temperatures of 550, 700 and 800°C in a mixture of He (98%) and oxygen (O₂) (2%)
12 atmosphere. In this process, carbon compounds that are released are converted to CO₂ in an
13 oxidation furnace with a manganese dioxide (MnO₂) catalyst at 932°C. Then, the flow passed
14 through a digester where the CO₂ is reduced to methane (CH₄) on a nickel-catalysed reaction
15 surface. The amount of CH₄ formed is detected by a flame ionisation detector (FID), which is
16 converted to carbon mass using a calibration coefficient. The carbon mass peaks detected
17 correspond to the different temperatures at which the seven separate carbon fractions, which
18 include three elemental carbon (EC) fractions, were released. These fractions were depicted as
19 different peaks on the thermogram, of which the surface areas were proportional to the amount
20 of CH₄ detected. The DRI instrument can detect EC as low as 0.1 µg/cm².

21 **2.3 Savannah and grassland fire locations**

22 A number of products can be used to obtain savannah and grassland fire locations. Fire
23 locations presented in this paper were obtained from the remote sensing observations of fires
24 from the MODIS collection 5 burned area product (Roy et al., 2008; MODIS, 2014).

25 **2.4 Air mass back trajectory analysis**

26 The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT 2014) model (version
27 4.8), developed by the National Oceanic and Atmospheric Administration (NOAA) Air
28 Resources Laboratory (ARL) was used to calculate air mass histories (Draxler and Hess, 2004).
29 Meteorological data from the GDAS archive of the National Centre for Environmental
30 Prediction (NCEP) of the United States National Weather Service (USNWS) and archived by
31 the ARL (Air Resources Laboratory, 2014a), was used as input. This data has a 40 or 80 km
32 grid resolution, depending on the year considered (NASA, 2015), with all the data used in this

1 study having 40 km grid resolution. All trajectories were calculated for 24 hours backwards, to
2 arrive on the hour at an arrival height of 100 m above ground level. An arrival height of 100 m
3 was chosen, since the orography in HYSPLIT is not well defined, which could result in
4 increased error margins on individual trajectory calculations if lower arrival heights are used
5 (Air Resources Laboratory, 2014c). For such calculated back trajectories, maximum error
6 margins of 15 to 30% of the trajectory distance travelled have been estimated (Stohl, 1998;
7 Riddle et al., 2006; Vakkari et al., 2011).

8 **2.5 Linking ground-based measurements with point sources using back trajectories**

9 This method was introduced by Maritz et al. (2015) who used it to link ambient organic carbon
10 (OC) and EC concentrations to potential sources. The same method was applied here, to assess
11 if large point sources and in- or semi-formal settlements contributed to ambient eBC
12 concentrations at the sites where active eBC data was gathered (Elandsfontein, Welgegund and
13 Marikana). The method was not applied to sites where 24-hour composite EC samples were
14 taken (Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano). The method
15 relates eBC concentrations measured at a particular sampling site with the closest distance
16 between the hourly arriving trajectory and the afore-mentioned sources (large point sources, as
17 well as in- and semi-formal settlements). Figure 2 presents an illustration of the method applied
18 for a specific sampling site to determine the shortest distance between a 24-hour back trajectory
19 and large point sources. The distances between the large point sources (indicated by the black
20 markers) and a specific back trajectory were calculated for each of the hourly locations of the
21 24-hour back trajectory (indicated by the red dots on Figure 2). The red line indicates the
22 shortest distance between hourly locations of this specific trajectory and large point sources (i.e.
23 petrochemical operations, coal-fired power stations and pyro-metallurgical smelters). The
24 weaknesses of the afore-mentioned method were that downwind point sources and/or in- or
25 semi-formal settlements, very close to the monitoring site, could in some instances be the closest
26 point source/in- or semi-formal settlements. Additionally, dilution due to distance travelled by
27 the trajectories was not considered.

28 **Insert Figure 2**

29 **2.6 Determining the relative contribution of eBC from sources**

30 In order to determine the relative strength of eBC mass concentration sources, detailed
31 correlation analyses were performed for eBC peaks. For instance, it is well known that plumes
32 from coal-fired power stations on the Mpumalanga Highveld are characterised by a

1 simultaneous increase in NO, NO₂ and SO₂ concentrations (Collect et al., 2010; Lourens et al.,
2 2011). Figure 3 shows the eBC, SO₂, NO₂, NO and H₂S data measured on 14 February 2009.
3 In this figure, it is evident that two well-defined coal-fired power plant plumes were observed
4 between 09:15 and 11:30 based on SO₂, NO₂ and NO time series, as well as between 18:00 and
5 21:00. However, both of these coal-fired power plant-associated plumes did not raise the
6 baseline eBC meaningfully. There was, however, a significant eBC plume between 02:00 and
7 08:30, which coincided perfectly with a simultaneous increase in H₂S. This eBC plume was
8 therefore associated with the source that emitted the H₂S. For each such plume the excess eBC
9 (Δ eBC) was determined, with the baseline defined as the linear line between the start end eBC
10 concentrations of the observed plume and Δ eBC defined as the eBC concentration above the
11 baseline, as indicated in the top pane of Figure 3.

12 **Insert Figure 3**

13 **2.7 Multiple linear regression analysis**

14 Several techniques were applied in this paper to characterise possible sources of eBC mass
15 concentrations measured at the various stations, e.g. seasonal patterns, diurnal patterns, back
16 trajectory analyses, and identifying sources based on coincidental increases in species time
17 series. In an attempt to further critically evaluate deductions made from these methods, multiple
18 linear regression (MLR) analyses were conducted. Linear regression is denoted by constants
19 or known parameters (c), an independent variable (x) and a dependent variable (y) by fitting a
20 linear equation to the observed data. MLR is characterised by more than one independent
21 variable (x). In MLR, the relationship between the dependent variable (y) and independent
22 variables (x) is denoted by Equation 1.

$$23 \quad y = c_0 + c_1x_1 + c_2x_2 + c_3x_3 + \dots + c_zx_z \quad \text{Eq. 1}$$

24 In this study, MLR was used to determine an equation for the dependent variable eBC. MLR
25 was used to determine the optimum combination of independent variables to derive an equation
26 that could be used to calculate eBC concentrations. Root mean square error (RMSE) was used
27 to compare the calculated values with the measured values. Several authors have previously
28 applied similar methods for various atmospheric species (e.g. Awang et al., 2015; Du Preez et
29 al., 2015; Venter et al., 2015).

30 **3 Results and discussions**

31 **3.1 Spatial variation**

32 In Figure 4, a box and whisker plot indicating the statistical eBC or EC mass concentrations for

1 each of the sites is presented. The significant difference in number of samples (N) is due to the
2 fact that at the DEBITS sites EC mass concentrations were only measured once per month over
3 a 24-sampling period, whereas at the other sites, one-minute eBC data were collected that were
4 converted to 15 min averages. Precaution should also be taken when directly comparing eBC
5 and EC, since it was previously proven that eBC and EC concentrations can differ by up to a
6 factor of 7 among different methods, with a factor of 2 differences being common (Watson et
7 al., 2005). However, an unpublished 12 month intern-comparison of eBC and EC at the
8 Welgegund measurement site, with the actual sampling and analysis equipment used to acquire
9 data for this study, proved that EC and eBC were within the same order of magnitude (Sehloho,
10 2017). Therefore, notwithstanding the limitations in directly comparing EC and eBC data,
11 Figure 4 gives the most realistic spatial perspective for the northern interior of South Africa,
12 especially within the context of very little other data being available in the peer reviewed public
13 domain.

14 **Insert Figure 4**

15 Of all the sites considered, the highest mass concentrations were measured at Vaal Triangle that
16 had a median EC of $3.2 \mu\text{g}/\text{m}^3$ and a mean of $4.4 \mu\text{g}/\text{m}^3$ for the entire measurement period.
17 Although sources will be considered in greater detail later, the higher EC mass concentration
18 levels at Vaal Triangle can be attributed to various possible sources. Firstly, this area is densely
19 populated with large semi-formal and informal settlements. This indicates that household
20 combustion for space heating and cooking could be a significant source of EC. Secondly, the
21 area experiences relatively higher traffic volumes and several large point sources (including
22 petrochemical and related chemical industries, two coal-fired power stations and numerous
23 metallurgical smelters) occur in the area. Thirdly, the site experiences less dilution due to the
24 close proximity of the sources to the measurement site that contribute to the observed elevated
25 levels of EC mass concentration.

26 The eBC at Elandsfontein, as well as the EC at Marikana and Amersfoort sites indicated similar
27 levels with median and mean values of 0.8 and 1.3, 1.2 and 1.7, and 1.1 and $1.4 \mu\text{g}/\text{m}^3$
28 respectively. Elandsfontein and Amersfoort lie within the well-known NO_2 hotspot over the
29 Mpumalanga Highveld identified from satellite observations (Lourens et al., 2012) and are
30 therefore likely to be influenced by industrial activities in this area. Marikana can be affected
31 by household combustion from in- and semi-formal settlements that are located close to the
32 measurement site, as well as the large pyrometallurgical sources occurring in the area (Venter

1 et al., 2012; Hirsikko et al., 2012).

2 The background sites, i.e. Welgegund, Botsalano, Louis Trichardt and Skukuza had lower eBC
3 or EC levels compared to other locations, with median and mean concentrations of 0.4 and 0.7,
4 0.7 and 0.9, 0.8 and 0.9, and 0.9 and 1.1 $\mu\text{g}/\text{m}^3$, respectively. All these background sites are
5 likely to be affected most by regional savannah and grassland fires that are common in southern
6 Africa or by pollutants transported from other parts of the country. However, Welgegund,
7 which is the furthest west of these sites, is likely to be affected less by savannah and grassland
8 fires due to the dryer biomes, i.e. the Kalahari and Karoo that are located to the west of this site.
9 These drier biome regions produce less biomass that can burn (Mafusire et al., 2016). It is
10 therefore understandable that Welgegund had lower eBC levels than the other background sites.
11 Obviously, Elandsfontein, Marikana, Vaal Triangle and Amersfoort will also be affected by
12 regional savannah and grassland fires, in addition to the possible sources already mentioned.

13 The eBC and EC concentrations presented for all the sites considered (Figure 4) should also be
14 contextualised. The background site with the lowest PM_{10} eBC concentrations reported here,
15 i.e. Welgegund, had similar or higher eBC mass concentration values than typical western
16 European background sites. BC mass concentrations of less than 0.2 to 0.3 $\mu\text{g}/\text{m}^3$ have been
17 reported for western parts of northern Europe (e.g. Yttri et al., 2007). At natural and rural
18 European background sites, values of 0.3 to 0.5 and 0.6 to 1.6 $\mu\text{g}/\text{m}^3$ have been reported,
19 respectively (e.g. Putaud et al., 2004; Hyvärinen et al., 2011). The other South African
20 background sites reported here, i.e. Botsalano, Louis Trichardt and Skukuza, had higher mean
21 and median values than the afore-mentioned European background/natural sites. The
22 industrial/urban/household affected sites reported here, i.e. Elandsfontein, Marikana, Vaal
23 Triangle and Amersfoort had higher average eBC or EC mass concentration levels than, for
24 instance, an urban site in a large European city, where BC mass concentrations had an average
25 of approximately 1.0 $\mu\text{g}/\text{m}^3$ (Järvi et al., 2008; Viidanoja et al., 2002). In general, it can
26 therefore be stated that eBC or EC mass concentrations across the measurement area considered
27 are relatively high.

28 Apart from the spatial information and possible indication of contributing sources obtained
29 from Figure 4, it is also evident from the comparison of the PM_1 and PM_{10} eBC data of
30 Welgegund that most of the eBC resides in the PM_1 size fraction, which was expected.

1 **3.2 Temporal variations**

2 **3.2.1 Seasonal variations**

3 In order to determine seasonal patterns, only the site where continuous measurements were
4 conducted was considered. Monthly statistical distributions of eBC mass concentrations for
5 Elandsfontein, Welgegund and Marikana measurement sites are presented in Figure 5. As is
6 evident from these figures, there is a distinct and similar seasonal pattern observed at all three
7 sites, with the highest eBC mass concentrations measured in June to October. These months
8 coincide with the colder winter months of June to August, as well as the dry season on the South
9 African Highveld occurring between May and middle October. Venter et al. (2012) previously
10 indicated that household combustion for cooking and space heating in informal and semi-formal
11 settlements during winter could be a significant eBC mass concentration source on a local scale.
12 However, it has not yet been determined whether such household combustion could also make
13 a significant regional contribution in South Africa. During the dry season, increased savannah
14 and grassland wild fires occur, which contributed to increased atmospheric eBC concentrations
15 (Bond et al., 2004, Saha and Despiou, 2009). The influence of both of these potential eBC
16 sources, i.e. household combustion and wild fires, will be discussed later in Section 3.3.
17 Obviously, increased atmospheric stability during the colder months (Garstang et al., 1996) will
18 also lead to trapping of low level emissions, hence resulting in possible higher eBC
19 concentrations. This is discussed in greater detail in the next section.

20 **Insert Figure 5**

21 **3.2.2 Diurnal variations**

22 Average diurnal plots as well as average seasonal diurnal plots (separate for summer, autumn,
23 winter and spring) for the stations where continuous eBC mass concentration data were
24 gathered, i.e. Elandsfontein, Marikana and Welgegund (both PM₁ and PM₁₀), are presented in
25 Figure 6.

26 **Insert Figure 6**

27 The Elandsfontein diurnal plots indicate that the main source of eBC is not high stack emissions.
28 The area in which Elandsfontein is situated, is a well-known international NO₂ hotspot, with
29 tropospheric column densities similar to what is observed over south-east Asia (Lourens et al.,
30 2012; Lourens et al., 2016). It is widely accepted that NO₂ in this hotspot mainly originates
31 from NO_x emission from coal-fired power stations. The troposphere over the Highveld is
32 strongly layered, with several inversion layers occurring. These layers prevent vertical mixing

1 to a large degree (Garstang et al., 1996). The afore-mentioned NO_x emission are released into
2 the atmosphere via high stacks, which are typically taller than 300m. The effective stack heights
3 (actual stack heights plus rise due to emissions being hot) were designed to ensure that the NO_x
4 emissions are released above the lowest inversion layers, to prevent excessive local pollution
5 and ensure distribution over a wider area. Collet et al. (2010) proved that NO_2 concentrations
6 at Elandsfontein peak after 11:00 am, due to the breakdown of the lowest inversion layers,
7 which allow downward mixing of the NO_x tall stack emissions. Therefore, if eBC mainly
8 originated from these large point sources with tall stacks, eBC concentrations would also have
9 peaked, after the breakdown of the night-time inversion layers that would allow downward
10 mixing of tall stack emitted eBC. However, this is clearly not the case. Additionally, the winter
11 diurnal plot for Elandsfontein indicates substantially higher values during night-time when the
12 planetary boundary layer (PBL) is less well mixed (i.e. strong low level inversion layers that
13 trap surface emissions), which re-enforces the notion that the major origin of eBC is from low-
14 level sources, rather than industrial high stacks. At Elandsfontein the daily evolution of the
15 PBL starts approximately three to four hours after sunrise (varies between 05:07 and 06:56 local
16 time), which results in increasing atmospheric mixing down from the upper troposphere,
17 including high stack emissions (Korhonen et al., 2014). Considering all the afore-mentioned,
18 the most likely eBC sources during winter (June to August) and the dry season (May to middle
19 October) are surface emissions from household combustion, and savannah and grassland fires.
20 This is an important finding, since industries on the Mpumalanga Highveld are often blamed for
21 all forms of pollution, due to the NO_2 hotspot over this area.

22 In contrast to Elandsfontein, eBC concentrations at Marikana peaked in the early mornings
23 (05:00-09:00) and again in the early to late evenings (17:30-22:00). These times correlate with
24 the peak times for household combustion for space heating and cooking in the nearby in- and
25 semi-formal settlements (Venter et al. 2012). Seasonal timing of the peak eBC concentration
26 in the diurnal plots confirms that household combustion is the main source at this site. In winter,
27 during which time daylight hours are shorter, the peak morning eBC concentration is at ~07:00
28 and the evening peak at ~18:00; whereas, during summer, with longer daylight hours, the peak
29 morning eBC concentration is at ~06:00 and the evening peak at ~20:00. During the cold winter
30 months, space heating is a priority, apart from cooking, while in summer, household combustion
31 would mainly be used for cooking. These seasonal household combustion use patterns are
32 reflected by the diurnal eBC patterns for Marikana.

1 The eBC diurnal plots of Welgegund do not indicate well-defined peaks as observed for
2 Marikana. This is expected, since there are no semi- or informal settlements located close to
3 the Welgegund station. Additionally, there are also no large point sources close to Welgegund,
4 as there are at Elandsfontein. Therefore, only sources that have a regional influence are likely
5 to affect eBC levels at Welgegund. It is therefore likely that savannah and grassland fires,
6 especially in the winter and early spring, are mainly responsible for eBC levels measured at
7 Welgegund and mainly long-range transportation during the wet season. The lower PBL during
8 the evenings and early mornings will concentrate the eBC and contribute to eBC levels rising
9 in the evening and only decreasing three to four hours after sunrise, as suggested by Korhonen
10 et al. (2014). This effect is strongest in the winter months.

11 **3.3 eBC source identification**

12 *3.2.1 General*

13 As has already been indicated, there are various possible sources for eBC, e.g. industrial,
14 household combustion, traffic, and savannah and grassland fires. In this section, possible
15 significant contributing sources are considered further. Figure 7 indicates the fire pixel counts
16 calculated from MODIS (collection of 5 burned area product) (Roy et al., 2008) within the
17 entire southern Africa (10-35°S and 10-41°E) indicated on the primary y-axis, as well as fire
18 pixel counts within a radius of 125 km around measurement sites where high resolution eBC
19 data was gathered on the secondary y-axis.

20 **Insert Figure 7**

21 It is important to note that it is difficult to separate the influence of various sources at a specific
22 site, since the measured eBC originates from a mixture of contributing sources. Therefore,
23 Figure 7 was considered first, since it provided guidance about which periods would be best to
24 consider for the different sources. For instance, there are very few savannah and grassland fires
25 during December to February every year in the northern interior of South Africa. The savannah
26 and grassland fires that do occur during this period occur in the southern Western Cape, which
27 will not influence eBC levels in the northern interior significantly. In addition, minimal
28 household combustion for space heating takes place in December to February, since it is the
29 warmest months. During this time household combustion for cooking will still take place, but
30 such daily emission periods are far shorter than the extended space heating period (typically
31 early evening, throughout the night, until after sunrise the next day) occurring during the colder
32 months. Considering the afore-mentioned, it is best to isolate industrial and traffic related eBC

1 sources during December to February.

2 It is clear for the overall southern African fire frequencies, as well as those around each site
3 (Figure 7) that August and September have the highest savannah and grassland fire intensities.
4 This is the driest period, just before the onset of the first rains, usually in middle October. We
5 can therefore isolate savannah and grassland fires best in this period, since its effect is strongest.
6 The influence of household combustion is also not that strong in this period; since it is already
7 becoming warmer and therefore less space heating is required. By considering aerosol particle
8 concentrations at Marikana, Vakkari et al. (2013) proved that the evening peak associated with
9 household combustion was significantly lower in September than in June to July.

10 Since it is coldest in June and July, the effect of household combustion for space heating is at
11 its strongest, making the isolation of the household combustion effect better during these
12 months.

13 In the following sections, eBC contributions from the above-mentioned sources, i.e. industrial,
14 traffic, savannah and grassland fires, and household combustion, will be explored in greater
15 detail for the Elandsfontein site only. This site was chosen, since it can be affected by all the
16 afore-mentioned sources, while the other sites where continuous high resolution data were
17 gathered will mainly be influenced by savannah and grassland fires (Welgegund) or household
18 combustion (Marikana).

19 *3.3.2 Industrial contribution to eBC at Elandsfontein*

20 Numerous large industrial point sources linked to coal utilisation occur in the South African
21 interior, e.g. coal-fired power stations that produce most of South Africa's electricity, large
22 petrochemical operations utilising coal gasification and numerous pyro-metallurgical smelters
23 utilising coal and coal-related products as carbonaceous reductants for the production of various
24 steels and alloys (Collet et al., 2010; Lourens et al., 2011; Beukes et al., 2012). However, the
25 possible contributions of these large point sources to atmospheric BC have not yet been
26 investigated.

27 In Figure 8, eBC concentrations measured at Elandsfontein were plotted against the shortest
28 distances that back trajectories passed any large point source, during the summer months
29 (December to February), when minimal household combustion, as well as savannah and
30 grassland fires occur. Although there was no clear correlation (Figure 8), the results indicated
31 that at least some trajectories passing closer to these large industrial point sources had higher
32 eBC concentrations. This suggests that eBC contributions from large industrial point sources

1 cannot be ignored, notwithstanding the diurnal patterns, indicating that high stack industrial
2 emissions were not the main source (Figure 6).

3 **Insert Figure 8**

4 Although indicated in Section 3.2.2 that it was unlikely that high stack emissions were the main
5 source of eBC at Elandsfontein, the possible fractional contributions of industries still need to
6 be assessed. In order to quantify this, eBC peaks that coincided with peaks of other pollutants,
7 which are characteristic of large point sources in that area, were considered for the December
8 to February period. Two distinct types of contributing sources were identified, i.e. eBC peaks
9 that coincided with SO₂, NO₂ and NO, as well as eBC peaks that only coincided with H₂S.
10 From literature, it is known that plumes from coal-fired power plants on the South African
11 Highveld are characterised by coincidental SO₂, NO₂ and NO increases (Collet et al., 2010;
12 Lourens et al., 2011). Although it is not shown here, eBC plumes that were associated with
13 these species were confirmed to have originated from coal-fired power stations with back
14 trajectory analyses. However, H₂S peaks that coincided with the eBC peaks could have been
15 from various sources, e.g. the large petrochemical plant near Secunda, pyro-metallurgical
16 smelters in the area or smouldering coal dumps that burn as a result of spontaneous combustion.
17 In order to identify the origin of the eBC peaks that were associated with H₂S only, a map on
18 which all back trajectories that arrived at Elandsfontein during these eBC peaks (coincidental
19 increases in eBC and H₂S) were plotted, is presented in Figure 9, together with a wind rose for
20 such events. From these figures, it is evident that the back trajectories that were associated with
21 simultaneous eBC and H₂S concentration peaks only passed over the sector between the
22 northwest and northeast from Elandsfontein. This is the area where all the pyro-metallurgical
23 smelters are located. Smouldering coal dumps occur in all directions from Elandsfontein.
24 Additionally, no trajectories associated with coincidental eBC and H₂S increases had passed
25 over the petrochemical operation. It therefore seems likely that the eBC contribution associated
26 with H₂S originates from the pyro-metallurgical smelters in the sector located between
27 northwest and northeast from Elandsfontein.

28 **Insert Figure 9**

29 *3.3.3 Traffic contribution to eBC at Elandsfontein*

30 From literature, it seems feasible to associate increased BC concentrations with traffic
31 emissions, particularly diesel-powered vehicles (Cachier, 1995; Cooke and Wilson, 1996; Bond
32 and Sun, 2005). The Mpumalanga Highveld around Elandsfontein is the area where most

1 thermal coal is mined in South Africa, which is mostly transported by diesel trucks via various
2 roads criss-crossing the area as indicated in Figure 10a. However, the closest tarred road, i.e.
3 the R35, passes Elandsfontein approximately 4.7 km to the east. This road is also one of the
4 most utilised for coal road transportation. Additionally, to the north of Elandsfontein, numerous
5 such tarred roads are located, e.g. the national N12 and N4 highways pass Elandsfontein
6 approximately 38 km to the north and north-west. It therefore seems reasonable that the traffic-
7 related eBC back trajectory map (Figure 10a, which was for coincidental increases in eBC and
8 NO₂ time periods only) is somewhat biased toward the east and north, although limited
9 contributions from other sectors are also evident. The wind rose showing the prevailing wind
10 direction during periods when eBC plumes that coincided with NO₂ plumes were observed
11 (Figure 10b) also indicates the sources to be mainly from the east, i.e. where the R35 passes
12 Elandsfontein.

13 **Insert Figure 10**

14 *3.3.4 Household combustion contribution to eBC at Elandsfontein*

15 Venter et al. (2012) indicated that household combustion for space heating and cooking in in-
16 and semi-formal settlements contributes significantly to poor air quality in such settlements. In
17 Figure 11, the relationships between monthly average and median eBC, against monthly mean
18 and median temperatures for Elandsfontein, are presented. As is evident from the results
19 presented in Figure 11, there is a significant correlation between eBC concentration and
20 temperature, if August and September are ignored (indicated with hollow markers in Figure
21 11). During these months, significant eBC contributions can be expected from savannah and
22 grassland fires (see Figure 7). The correlation between eBC concentration and temperature
23 indicates that household combustion for space heating contributes significantly to eBC levels
24 measured at Elandsfontein, especially during the colder months when household combustion is
25 used more frequently for space heating.

26 **Insert Figure 11**

27 Similar to the analysis performed for the large industrial point sources (Figure 8), eBC
28 concentrations were drawn as a function of the closest distance that back trajectories had passed
29 in- and semi-formal settlement for Elandsfontein. However, this was done only for the winter
30 months of June and July for both years, since household combustion contributions could then
31 be better isolated from savannah and grassland fire contributions during these periods. These
32 results are presented in Figure 12. Although not conclusive, the results presented indicate that,

1 in general, higher eBC concentrations were observed when trajectories passed closer to in- and
2 semi-formal settlements in June and July.

3 **Insert Figure 12**

4 Household combustion results in the emission of a number of different species (Venter et al.,
5 2012). In this work tracers for household combustion were determined from species that
6 simultaneously increased with eBC, including NO₂, SO₂ and H₂S, but not NO. Low-grade coal
7 that is burned in ineffective stoves is commonly used for household combustion in the
8 Mpumalanga Highveld, due to such coal being relative inexpensive. The use of which results
9 in NO_x, SO₂ and H₂S emissions. During the cold winter months of June and July, strong
10 inversion layers trap pollutants emitted closer to ground level and prevent the mixing and
11 subsequent transportation of these pollutants. The low-level emissions from in- and semi-
12 formal settlements are therefore not dispersed before the inversion layers break up in mid-
13 morning. A previous study has indicated that the PBL starts growing around 10:00 local time
14 at Elandsfontein during the winter months (Korhonen et al., 2014). It can therefore be accepted
15 that the low-level inversion layers also start dissipating at that time. The long residence time
16 of air masses around in- and semi-formal settlements in winter before being dispersed, as well
17 as additional transport time, results in NO being oxidised to NO₂ prior to these plumes being
18 measured at Elandsfontein.

19 Figure 13a indicates back trajectories associated with household combustion contribution to
20 eBC levels (for time periods with coincidental increases in eBC with NO₂, SO₂ and H₂S, but
21 not NO). Most of these back trajectories passed over the Thubelihle and Kriel settlements,
22 which are located 12.4 and 13.8 km from Elandsfontein, respectively. Apart from this relatively
23 local eBC influence from household combustion, most trajectories associated with household
24 combustion eBC plumes passed over the sector between east and north-north-east, where the
25 cities of Witbank and Middelburg, as well as the Johannesburg-Pretoria mega-city are located.
26 These larger cities have many more large in- and semi-formal settlements associated with them
27 than the smaller towns in the area do. The wind rose showing the prevailing wind direction
28 during periods when eBC plumes that coincided with NO₂, SO₂ and H₂S plumes were observed
29 (Figure 13b) also indicates the sources to be mainly from more or less the same direction as
30 most of the back trajectories.

31 **Insert Figure 13**

3.3.5 Savannah and grassland fire contribution to eBC at Elandsfontein

Vakkari et al. (2014) relatively recently indicated how savannah and grassland fire emission aerosols are changed via atmospheric oxidation in South Africa. To positively identify savannah and grassland fire plumes, the afore-mentioned authors used CO and eBC as coincidental increasing species. However, CO was not measured at Elandsfontein and therefore the positive identification of savannah and grassland plume could not be undertaken using this method. Additionally, the plumes of savannah and grassland fires occurring in neighbouring countries arriving at Elandsfontein will be diluted and aged. Such regional fires lift the entire eBC baseline, rather than exhibiting well-defined plumes that can be separated from the baseline (Mafusire et al., 2016), as was done for the industrial, traffic and household combustion sources. Thus far in the paper, we have considered August and September as the months in which savannah and grassland fires frequencies peak. However, some household combustion might still occur in August. Therefore, to determine the overall baseline increase as a result of savannah and grassland fires, only September was considered as being representative of savannah and grassland fires, while the summer months (December to February) can be considered as the baseline. By subtracting the September eBC mean from the summer mean, the eBC baseline increased by $2.01 \mu\text{g}/\text{m}^3$. This increase will be contextualised with the previously investigated sources in the next section.

3.3.6 Contextualisation of eBC source strengths at Elandsfontein

Up to now, the individual eBC sources for Elandsfontein were discussed, but their strengths were not compared with one another. In Figure 14, the comparison of the Δ eBC from coal-fired power stations, pyro-metallurgical smelters, traffic, household combustion, as well as savannah and grassland fires for Elandsfontein is presented. The relative savannah and grassland fire source strength is not statistically presented with a box and whisker as for the other sources, but only with a black star that indicates the mean eBC baseline increase during September if compared to the summer months of December to February. The data presented in Figure 14 were normalised to account for variations in PBL height at Elandsfontein. This was done by using the monthly average PBL daily maximum heights reported by Korhonen et al. (2014) for 2010 at Elandsfontein. Unfortunately no such data existed for 2009, therefore the 2009 monthly PBL heights were assumed to be similar to 2010. Thereafter the ratios of the average PBL daily maximum heights for each of the periods during which certain sources could be better isolated (i.e. December to February for large point sources and traffic emission; June to July to household combustion) were calculated, compared to the average PBL daily

1 maximum heights for August and September (period with peak savannah and grassland fire
2 occurrence). The Δ eBC for each of the sources identified in the December to February, as well
3 as June to July periods were then adjusted with these ratios to account for variations in the
4 PBL, which could have a significant dilution or concentration effect on the measured eBC
5 values, from which the Δ eBCs were derived. The results indicate the significant source strength
6 of household combustion, as well as savannah and grassland fires, as measured at Elandsfontein.
7 However, coal-fired power stations, pyro-metallurgical and/or char plants and traffic contribute
8 year round, while household combustion, as well as savannah and grassland fires only
9 contribute significantly in May to August, and June to September, respectively. Bond et al.
10 (2013) indicated relatively high BC emissions from biofuel cooking (calculated for Africa in
11 total), but did not indicate space heating to contribute significantly. However, our data seem to
12 prove that space heating does contribute meaningfully to eBC levels in South Africa during the
13 colder winter months (June-July).

14 **Insert Figure 14**

15 Vakkari et al (2014) used Δ eBC in relation to other species to characterise differences in plumes
16 of savannah and grassland fires. In a similar manner, these ratios for Δ eBC divided by species
17 that were characteristic of the different plume types identified (i.e. representing industrial,
18 traffic or house hold combustion) were determined and are presented in Figure 15. Since so
19 little BC data is available for South Africa, the median and/or mean values indicated in this
20 figure could be used in subsequent modelling studies as emission factors to estimate eBC if
21 only the concentration(s) of the species that were used in calculating these ratios are known.

22 **Insert Figure 15**

23 **3.4 Mathematical confirmation of eBC sources at Elandsfontein**

24 Four scenarios were investigated with MLR analyses. Firstly, MLR analysis was conducted for
25 the entire monitoring period at Elandsfontein. As is evident from the top left pane in Figure 16,
26 the RMSE difference between the actual measured eBC concentration and the calculated eBC
27 concentrations if only one independent parameter was included in the optimum MLR solution
28 was approximately 1.54. The RMSE difference could be reduced by including more
29 independent parameters in the optimum MLR solution. However, it was found that the
30 inclusion of more than nine independent parameters did not further reduce the RMSE difference
31 significantly.

32 **Insert Figure 16**

1 From the MLR analysis conducted for the entire measurement period at Elandsfontein, the
2 actual MLR equation could be obtained, which is presented as Equation 2. With this equation,
3 eBC at Elandsfontein could be calculated. The comparisons between actual and calculated
4 (with Equation 2) eBC concentrations are presented in Figure 17. From this comparison, it is
5 evident that Equation 2 could be used to calculate eBC at Elandsfontein relatively accurately.

$$6 \quad y = -33.7038 + (0.0050 \times O_3) + (0.0387 \times SO_2) + (0.0006 \times NO_2) + (0.0722 \times H_2S) + (-0.0174 \\ 7 \quad \times RH) + (0.0997 \times WS) + (0.0005 \times WD) + (0.0421 \times P) + (2.27433 \times T\text{-grad}) \quad \text{Eq. 2}$$

8 **Insert Figure 17**

9 In order to use MLR to verify whether the eBC contribution sources were identified correctly
10 in Section 3.3, MRL analyses were also conducted for the different time periods defined for
11 isolation of the various sources, i.e. December to February for industrial and traffic sources,
12 June and July for household combustion, and August and September for savannah and grassland
13 fires.

14 As is indicated in Equation 3 and the top right pane of Figure 16, the optimum MLR solution
15 obtained for the December to February period included seven independent variables in the
16 equation. Firstly, the fact that fewer independent variables were required to reduce the RMSE
17 optimally, if compared with the overall period (top left pane of Figure 16), indicates that the
18 December to February period is influenced by fewer sources. Secondly, the identity of the
19 independent variables and the sign (positive or negative) associated with them in Equation 3
20 are noteworthy. Increased O_3 concentrations led to lower eBC, which indicates that aged air
21 masses had lower eBC than fresh plumes do. This supports the notion that relatively nearby
22 industry and traffic sources dominate. The increased eBC, associated with increased NO_2 and
23 H_2S concentrations in Equation 3, supports the identity of the specific source types previously
24 identified, i.e. coal-fired power stations, pyrometallurgical smelters, as well as traffic emissions.
25 The remaining independent variables in Equation 3 are associated with meteorological
26 parameters, which could indicate that meteorological patterns (e.g. atmospheric stability as
27 indicated by T-gradient) could have a significant influence on plumes containing eBC measured
28 at Elandsfontein.

$$29 \quad y = -30.3494 + (-0.0170 \times O_3) + (0.0002 \times NO_2) + (0.1005 \times H_2S) + (0.1350 \times T) + \\ 30 \quad (0.0102 \times RH) + (0.0338 \times P) + (1.8185 \times T\text{-gradient}) \quad \text{Eq. 3}$$

31 For the June and July periods, Equation 4 and the lower left pane of Figure 16 indicate that the

1 optimum MLR solution included only four independent variables in the equation. This low
2 number of independent variables confirm that this time period was dominated by a much less
3 complicated source mixture than the overall time period. During June to July, it was previously
4 indicated that household combustion dominated eBC contributions, which is confirmed by the
5 SO₂- and NO₂-associated eBC increases indicated by Equation 4. As stated earlier, the
6 household combustion plumes measured at Elandsfontein are likely to be NO depleted, due to
7 the stagnant nature of air masses during the evening and early morning that result in the
8 oxidation of NO to NO₂. This phenomenon is also indicated by Equation 3. Lastly, increased
9 RH will be associated with increased moisture-induced particle growth that could result in
10 quicker aerosol deposition and therefore reduced eBC levels.

$$11 \quad y = 1.7061 + (0.0453 \times \text{SO}_2) + (-0.1059 \times \text{NO}) + (0.0855 \times \text{NO}_2) + (-0.0191 \times \text{RH}) \quad \text{Eq. 4}$$

12 For the August and September periods, Equation 5 and the lower right pane of Figure 16
13 indicate that the optimum MLR solution included eight independent variables in the equation.
14 Although not as low as for the June and July period, this low number of independent variables
15 confirms that the August and September periods were less complicated than the overall time
16 period. According to Equation 5, increased O₃ for August to September had a positive constant
17 associated with it, which indicates that aged savannah and grassland fire plumes increase the
18 eBC concentrations, while the NO₂ and SO₂ positive constant associations and the negative NO
19 constant association indicate that household combustion still makes contributions during this
20 time. This makes sense, since August is still regarded as a winter month with significant
21 household combustion for space heating taking place. However, since the August and
22 September periods already include warmer spring months (September for both years) with
23 lower household combustion, the H₂S, T, RH and T-grad relationships observed in summer also
24 already make a meaningful contribution.

$$25 \quad y = -2.549 + (0.0511 \times \text{O}_3) + (0.0316 \times \text{SO}_2) + (-0.5737 \times \text{NO}) + (0.1840 \times \text{NO}_2) + \\ 26 \quad (0.0433 \times \text{H}_2\text{S}) + (0.0469 \times \text{T}) + (0.0145 \times \text{RH}) + (2.4877 \times \text{T-grad}) \quad \text{Eq. 5}$$

27 **4 Summary and Conclusions**

28 This paper presents the most comprehensive eBC spatial and temporal, as well as source
29 contribution assessments for the South African interior that has been published in the peer-
30 reviewed public domain to date. Limited EC data was also presented, which expanded the
31 overall spatial extent covered in the paper.

32 Analyses of eBC and EC spatial concentration patterns at eight sites indicate that concentrations

1 in the South African interior are in general higher than what has been reported for the developed
2 world, e.g. Western Europe. The highest levels were observed at Vaal Triangle, which were
3 attributed to EC emissions from household combustion emanating from in- and semi-formal
4 settlements, as well as traffic and large points sources. eBC or EC levels at Elandsfontein,
5 Amerfoort and Marikana were similar, but likely originated from different sources.
6 Elandsfontein and Amersfoort lie within the well-known NO₂ hotspot over the Mpumalanga
7 Highveld and are therefore likely to be influenced by industrial activities in this area, while
8 Marikana is in close proximity to in- and semi-formal settlements. The background sites, i.e.
9 Welgegund, Botsalano, Louis Trichardt and Skukuza had lower eBC or EC levels. All these
10 background sites are likely to be affected most by regional savannah and grassland fires, which
11 are common in southern Africa.

12 Similar seasonal patterns were observed at all three sites where high resolution eBC data were
13 collected, i.e. Elandsfontein, Marikana and Welgegund, with the highest eBC concentrations
14 measured in June to October. These months coincide with the cold winter months of June to
15 August that indicate possible contributions from household combustion, as well as the dry
16 season on the South African Highveld occurring between May and mid-October, which
17 indicates contributions from savannah and grassland fires.

18 Diurnal patterns indicated that at Elandsfontein industrial high stack emissions were not the
19 most significant source, since no peaks were observed after the breakup of lower-level inversion
20 layers. The diurnal patterns at Marikana revealed household combustion for space heating and
21 cooking to be the most significant sources. At Welgegund, the most significant source
22 contributions were most likely regional savannah and grassland fires.

23 Possible contributing eBC sources were explored in greater detail for Elandsfontein only.
24 Industrial sources could be isolated best during the summer months of December to February,
25 since very few savannah and grassland fires, as well as household combustion for space heating
26 occur then. Coincidental plumes of SO₂, NO₂, NO and eBC were used to identify plumes from
27 coal-fired power stations, while coincidental increases of H₂S and eBC characterised eBC
28 contributions from pyrometallurgical smelters. During summer, coincidental increases of NO₂
29 and eBC were used to identify traffic emissions. The contribution of household combustion
30 was isolated during the coldest winter months of June and July. Coincidental increases of NO₂,
31 SO₂ and H₂S, with eBC, which did not correlate to NO increases, were found to characterise
32 household combustion plumes. Back trajectory analyses and wind roses supported the validity

1 of all the aforementioned source associations. Savannah and grassland fire plumes could not
2 be isolated since CO was not measured at Elandsfontein. However, the general baseline
3 increase in eBC levels between September (the peak fire frequency period) and the summer
4 months (with virtually no savannah and grassland fires) could be calculated and attributed to
5 savannah and grassland fire eBC emissions. At Elandsfontein, the eBC concentration in
6 September was comparable to the eBC concentration in June to July, which indicates that at this
7 location domestic heating and regional scale savannah and grassland fires are equally significant
8 sources of eBC. Furthermore, MLR analyses supported the seasonality of eBC sources at
9 Elandsfontein.

10 Although the source strengths of coal-fired power stations, pyro-metallurgical smelters and
11 traffic emissions were lower than that of household combustion, as well as savannah and
12 grassland fires, the first mentioned sources contribute year round, while the latter only
13 contributed significantly in May to August, and June to September, respectively. Of the fresh
14 industrial plumes, the highest eBC concentrations were associated with pyro-metallurgical
15 smelters. This is a very significant finding, since coal-fired power stations and petrochemical
16 operations have in the past been blamed for most of the pollution problems on the Mpumalanga
17 Highveld (mainly due to the NO₂ hotspot over this area). Therefore, pyrometallurgical sources
18 in this area need to be considered in greater detail in future studies.

19 Lastly, the calculated emission ratios of eBC and gaseous species that were presented could be
20 used in future studies to assess the eBC emission inventories for industrial and domestic sources
21 in South Africa.

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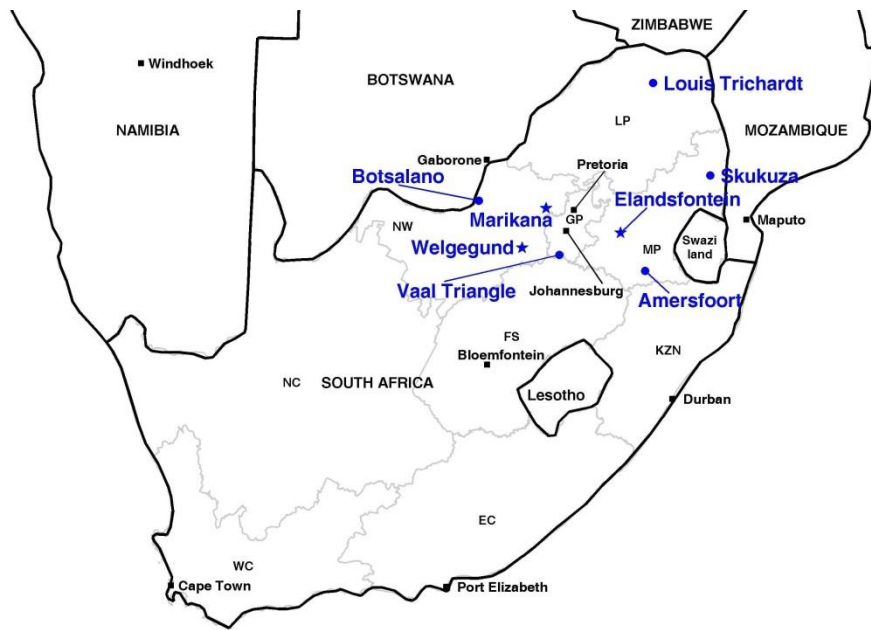
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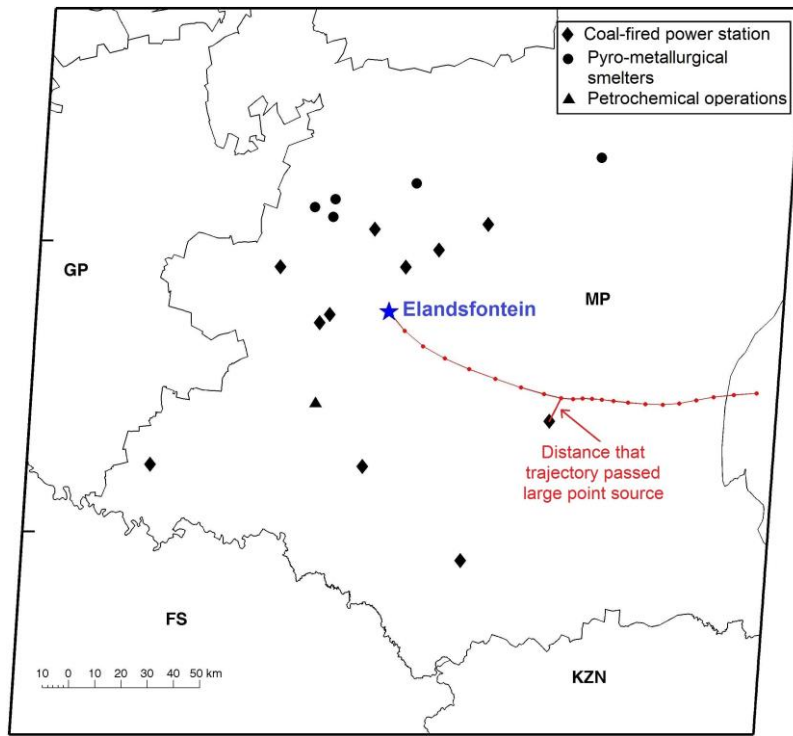
25 Wolf, E.W., and Cachier, H.: Concentrations and seasonal cycle of black carbon in aerosol at a
26 coastal Antarctic station. *Journal of Geophysical Research*, 103D, 11033-11041, 1998.

27 Yttri, K.E., Aas, W., Bjerke, A., Cape, J.N., Cavalli, F., Ceburnis, D., Dye, C., Emblico, L.,
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29 and Putaud, J.P., Torseth, K.: Elemental and organic carbon in PM₁₀: a one year
30 measurement campaign within the European Monitoring and Evaluation Program EMEP.
31 *Atmospheric Chemistry and Physics*, 7, 5711-5725, 2007.

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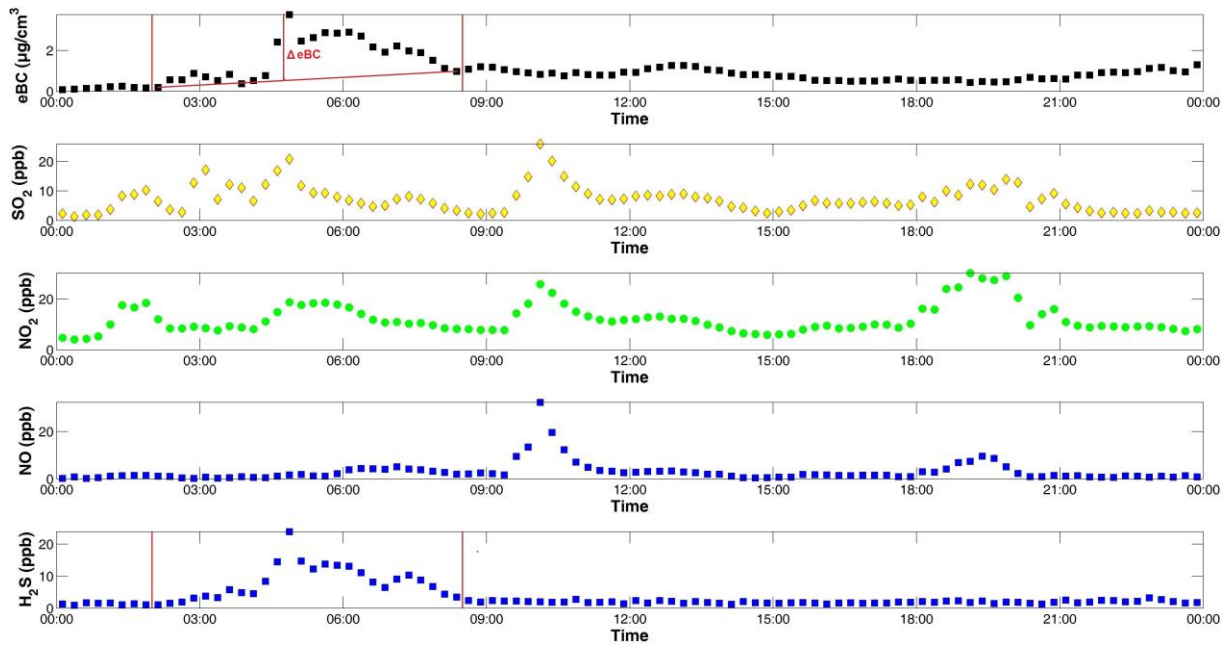


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 2 Figure 1. The sites (Elandsfontein, Welgegund and Marikana) where continuous high
 3 resolution data were gathered are indicated with blue stars, while the sites (Louis
 4 Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano) where filters were
 5 gathered and analysed off-line are indicated with blue dots. Neighbouring
 6 countries, some major cities and South African provincial borders are also indicated
 7 for additional regional contextualisation (Provinces: WC = Western Cape; EC =
 8 Eastern Cape; NC = Northern Cape; FS = Free State; KZN = KwaZulu-Natal; NW
 9 = North West; GP = Gauteng; MP = Mpumalanga and LP = Limpopo).

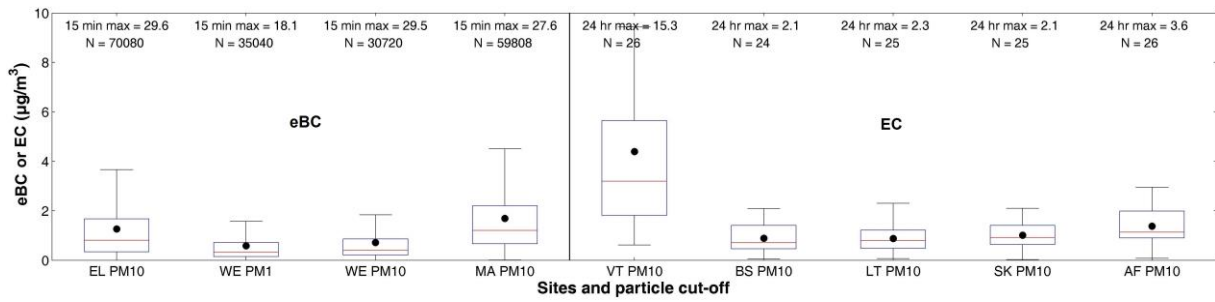


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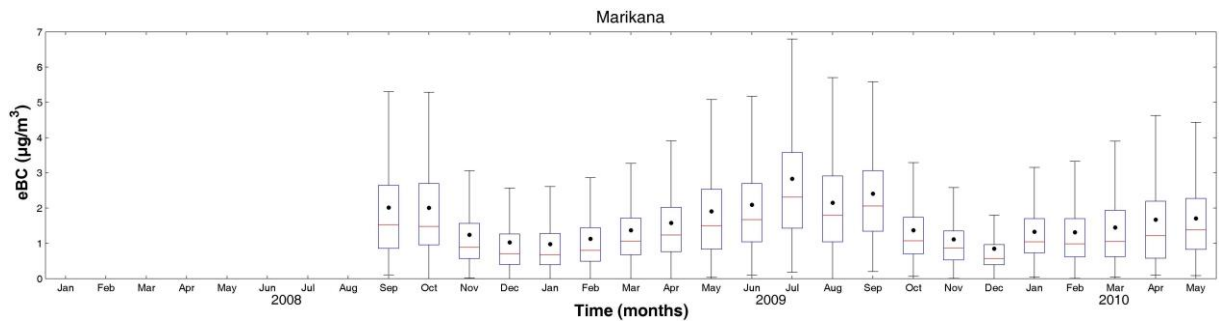
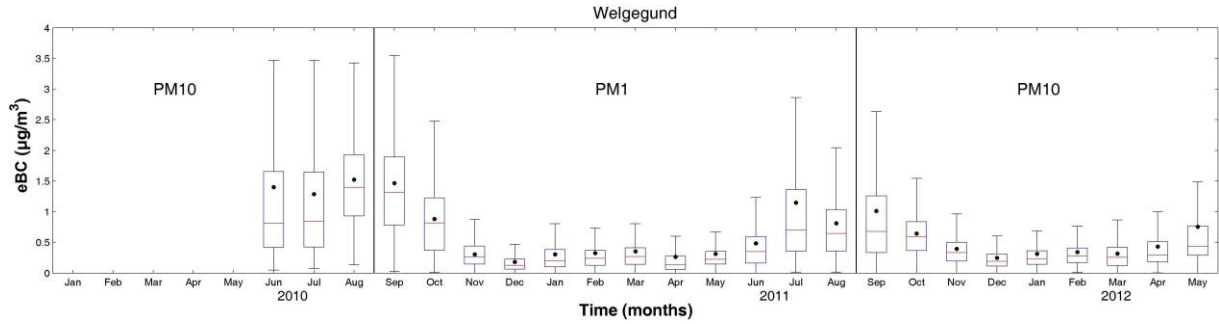
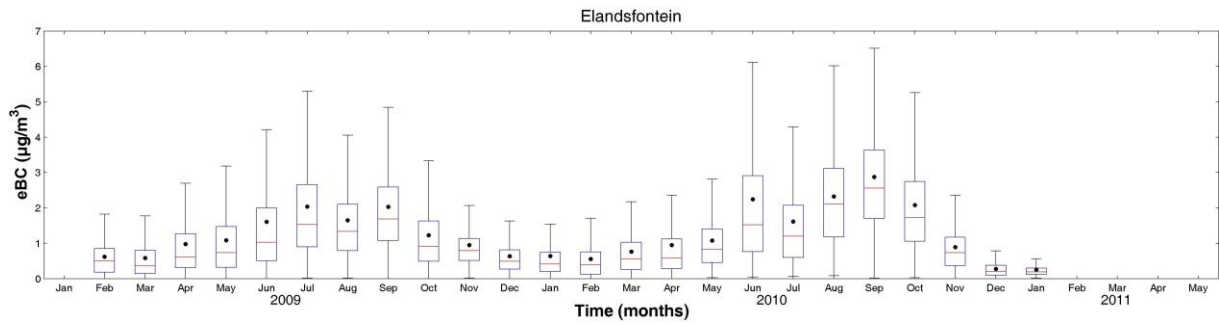
2 Figure 2. Example to illustrate the method applied to determine the shortest distance that each
 3 24-hour back trajectory passed large point sources and/or in- or semi-formal
 4 settlements. (Provinces: FS = Free State; KZN = KwaZulu-Natal; NW = North
 5 West; GP = Gauteng and MP = Mpumalanga)



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 2 Figure 3. Example to illustrate how species were correlated with eBC in order to separate
 3 sources from one another. The excess eBC (ΔeBC), defined as the eBC
 4 concentration above the baseline for this example, is also indicated in the top pane.



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2 Figure 4. Box and whisker plot indicating statistical eBC mass concentrations at the
3 Elandsfontein (EL), Welgegund (WE) and Marikana (MA) sites, as well as EC mass
4 concentrations at the Vaal Triangle (VT), Botsalano (BS), Louis Trichardt (LT),
5 Skukuza (SK) and Amersfoort (AF) sites. The red line of each box indicates the
6 median, the black dot the mean, the top and bottom edges of the box the 25th and
7 75th percentiles and the whiskers $\pm 2.7\sigma$ (99.3% coverage if the data has a normal
8 distribution). The 15-minute and 24-hour maximum mass concentration values
9 measured at the sites with continuous and off-line analyses, respectively, as well as
10 the number of measurements (N) are indicated.



4 Figure 5. Monthly statistical distribution of eBC concentrations at the three sites where
 5 continuous measurement data were gathered, i.e. Elandsfontein, Welgegund and
 6 Marikana. PM₁₀ inlets were used at Elandsfontein and Marikana, while
 7 measurements at Welgegund were conducted with either a PM₁ or PM₁₀ inlet. The
 8 red line of each box is the median, the black dots indicate the mean, the top and
 9 bottom edges of the box are the 25th and 75th percentiles and the whiskers $\pm 2.7\sigma$
 10 (99.3% coverage if the data has a normal distribution).

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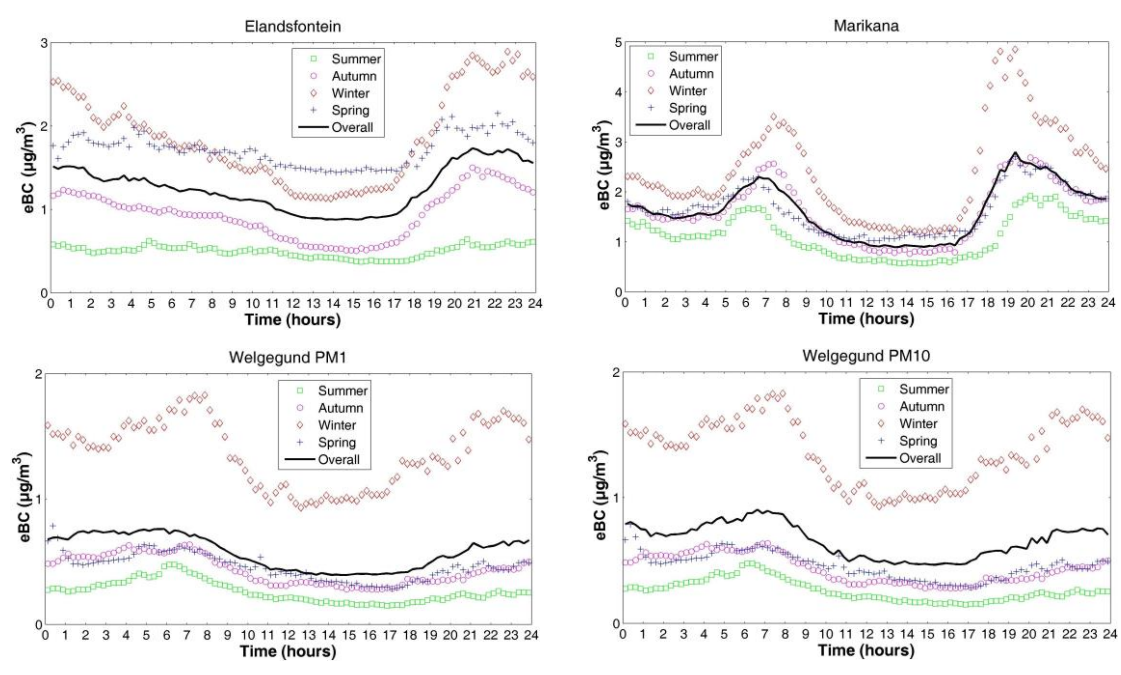
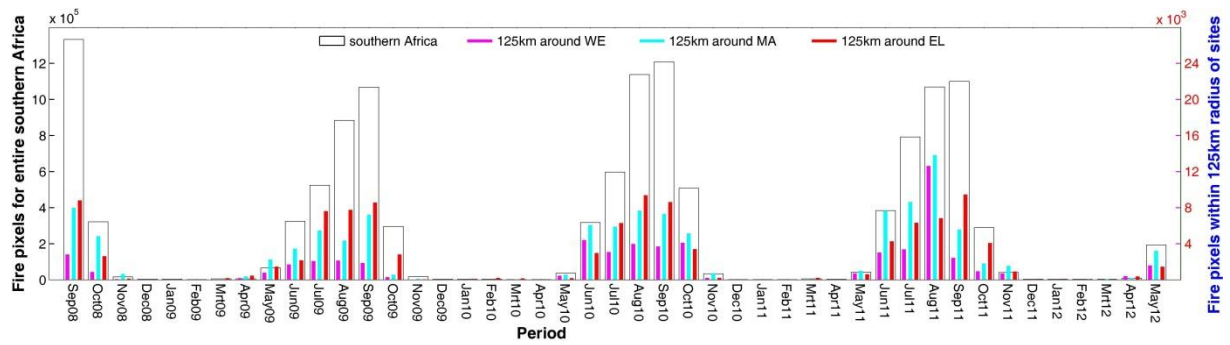
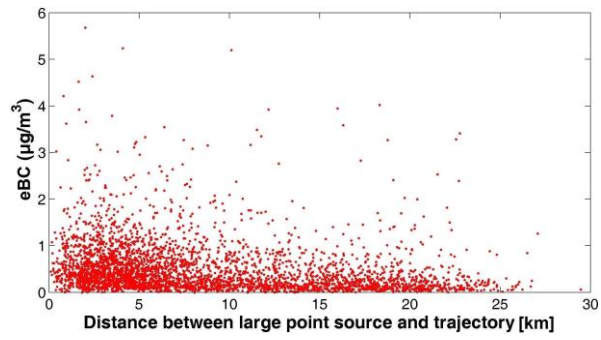


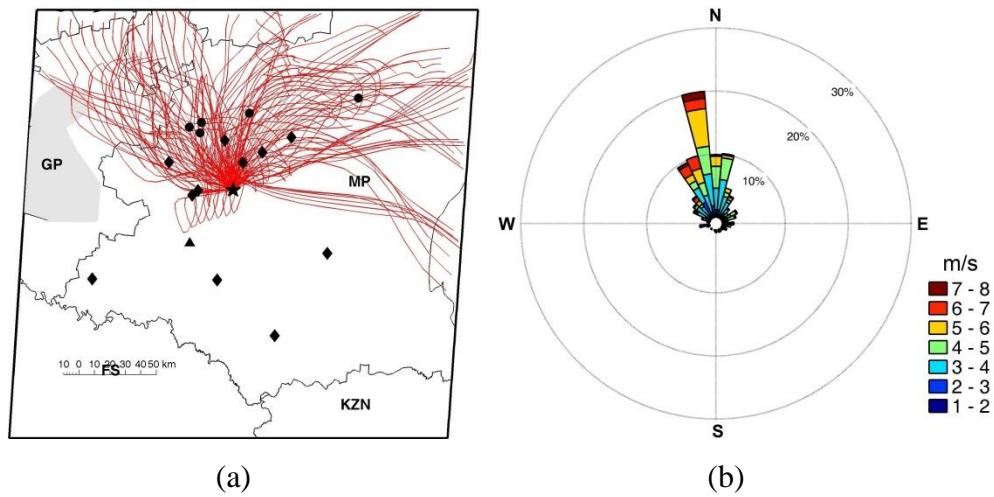
Figure 6. Overall (all the data) and seasonal (each season separately) average eBC diurnal patterns observed for Elandsfontein, Welgegund and Marikana. Summer: DJF, Autumn: MAM, Winter: JJA and Spring: SON.



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 2 Figure 7. Fire pixels within the entire southern Africa (10-35°S and 10-41°E) indicated on the
 3 primary y-axis, as well as fires pixels within a radius of 125 km around
 4 Elandsfontein (EL), Marikana (MA) and Welgegund (WG) measurement sites
 5 indicated on the secondary y-axis, as determined from MODIS collection 5 burned
 6 area product (Roy et al., 2008).

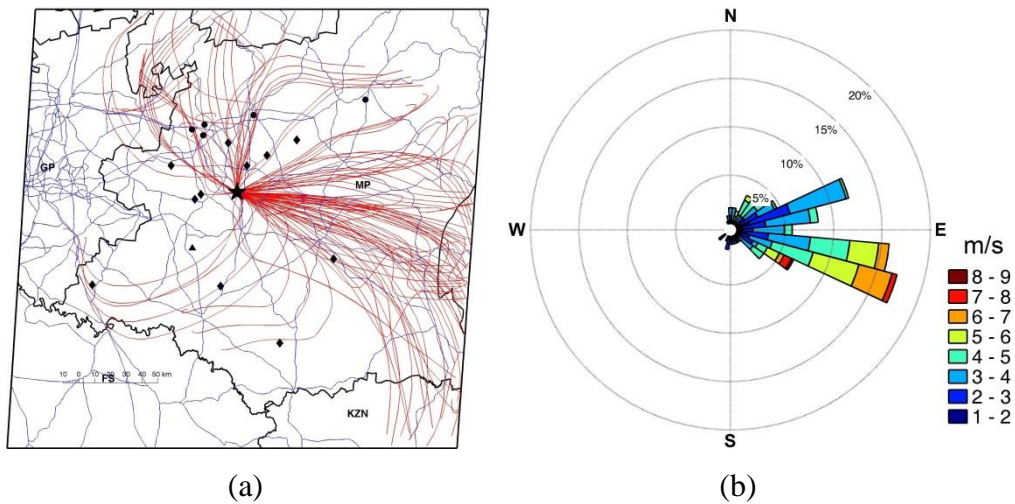


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2 Figure 8. Hourly average eBC concentrations plotted against the shortest distances that
3 hourly arriving back trajectories passed large point sources during the summer
4 months, i.e. December to February, at Elandsfontein.



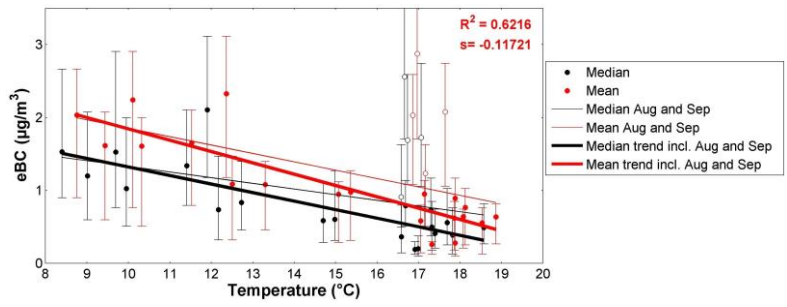
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Figure 9. (a) All 24-hour back trajectories associated with peaks characterised by coincidental increases in eBC and H₂S during December to February. The Elandsfontein site is indicated by the black star. The black dots indicate pyro-metallurgical smelters and char plants, the black diamonds coal-fired power plants and the black triangle a large petrochemical operation. (b) Wind rose showing the prevailing wind direction during periods when eBC plumes that coincided with H₂S plumes were observed.



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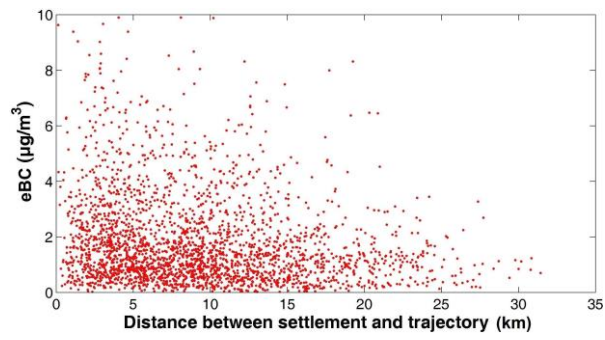
Figure 10. (a) All 24-hour back trajectories associated with peaks characterised by coincidental increases in eBC and NO₂ during December to February. The Elandsfontein site is indicated by the black star. The black dots indicate pyro-metallurgical smelters and char plants, the black diamonds indicate coal-fired power plants and the black triangle a large petrochemical operation. Roads are indicated with blue lines. (b) Wind rose showing the prevailing wind direction during periods when eBC plumes that coincided with NO₂ plumes were observed.



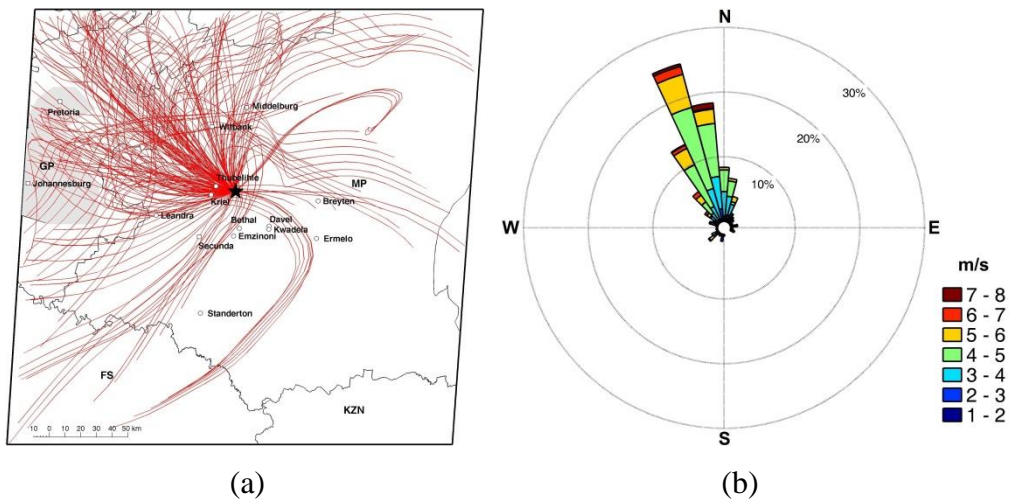
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2 Figure 11. Monthly median and mean eBC (with bars indicating 25th and 75th percentiles)

3 plotted against monthly median and mean temperatures for Elandsfontein.

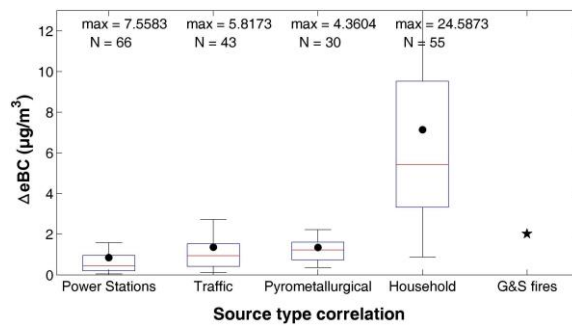


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2 Figure 12. eBC concentration plotted against the shortest distances that hourly arriving back
3 trajectories passed in- or semi-formal settlements during the winter months of June
4 and July at Elandsfontein.



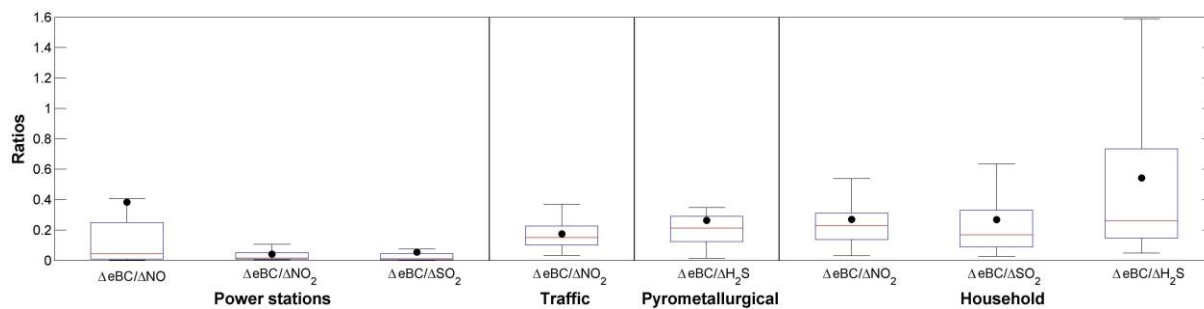
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Figure 13. (a) Map indicating 24-hour back trajectories associated with peaks characterised by coincidental increases in eBC with NO₂, SO₂ and H₂S, but not NO in June and July. The Elandsfontein site is indicated by the black star. (b) The wind rose associated with arrival times of plumes associated with household combustion is indicated in Figure (b).

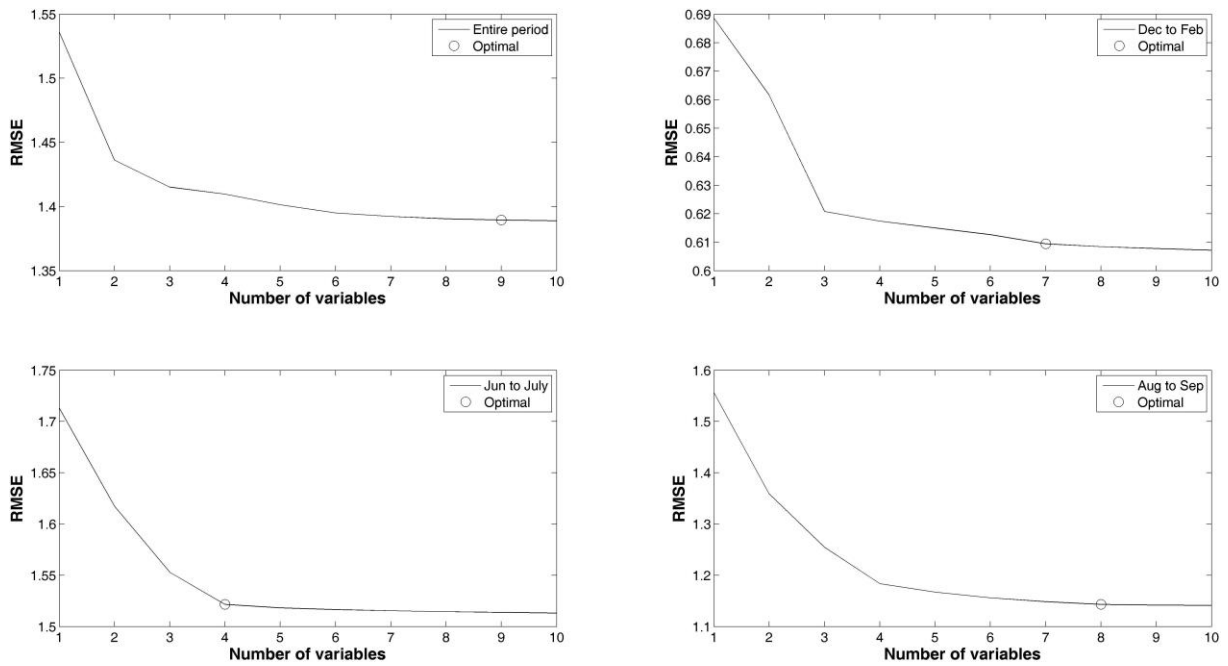


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Figure 14. Δ eBC measured during plumes when eBC increases originated from coal-fired power station, traffic, pyro-metallurgical smelters and household combustion as measured at Elandsfontein. The overall mean baseline increase due to savannah and grassland fires in September is also indicated. This data was normalised to variations in boundary layer at Elandsfontein (Korhonen et al., 2014).

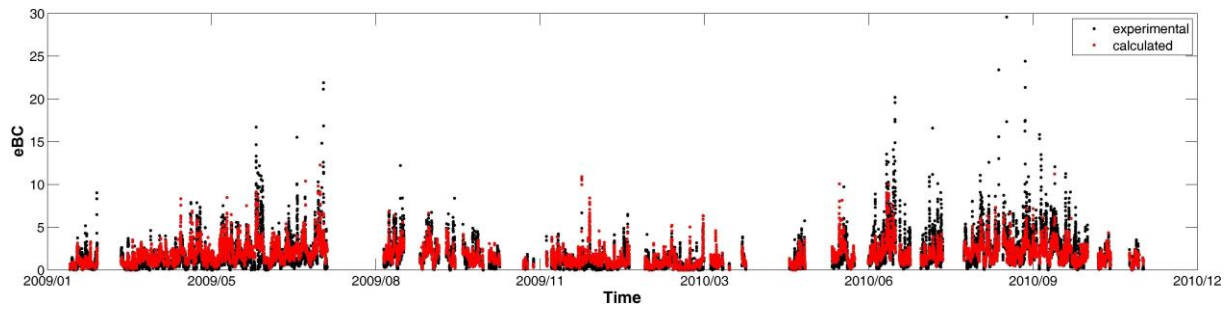


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 2 Figure 15. Ratio of ΔeBC divided by Δ of other species relevant to the identification of each
 3 source type, except for grassland and savannah fires measured at Elandsfontein.



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2 Figure 16. RMSE difference between the MLR calculated eBC and the actual measured eBC
 3 at Elandsfontein for the entire measurement period (top left pane), as well as the
 4 December to February (top right pane), June to July (bottom left pane) and August
 5 to September (bottom right pane) periods individually.



1
2 Figure 17. Actual eBC compared with calculated (using Eq. 2) for the entire monitoring period
3 at Elandsfontein.