

## Point-by-point reply to referee comments

### Paper: Spatial, temporal and source contribution assessments of BC over the northern interior of South Africa (acp-2016-934)

We thank Referee #1 and #2 for their detailed reviews of the manuscript. We have improved the manuscript by incorporating the comments and remarks of the referees and believe the manuscript has gained in clarity and scientific soundness. Below is a point-by-point reply (in blue font) to the comments of the two referees (in black font). Please note that each referee comment (and associated reply) is numbered, to enable cross referencing between comments of the two referees.

#### 1. Anonymous Referee #1

##### **“General comments”**

1.1 *“This paper presents the collation and analysis of equivalent black carbon (eBC) and elemental carbon (EC) data measured at several locations in the northern interior of South Africa. The paper includes an assessment of spatial variability across 8 locations and a detailed investigation of the contribution of several sources of eBC at one location. The analysis uses seasonal and diurnal climatologies and multiple regression analysis to indicate the contribution of industrial sources, traffic emissions, household combustion, Savannah and grassland fire plumes to eBC loadings.*

*This paper reports on eBC and EC data from an under-sampled region of the world and the approach used to analyse the dataset is sound and innovative given the paucity of support data. However before publication a few issues need to be addressed.”*

The authors thank Referee #1 for the positive remarks. We believe all the issues were adequately addressed in the revised version.

1.2 *“The authors should discuss and review the issue of the difference in EC and eBC and discrepancies that are found when the two methods (MAAP and thermal evolution) are compared. This is particularly important since the authors use both data sets to describe spatial variability in the data. It is important to ensure that the spatial differences observed are not simply due to bias introduced by the different measurement methodologies.”*

The authors agree that differences between EC and eBC must be indicated to the reader. The text was modified, as indicated by the screenshot below.

##### **3.1 - Spatial variation¶**

In Figure 4, a box and whisker plot indicating the statistical eBC or EC mass concentrations for each of the sites is presented. The significant difference in number of samples (N) is due to the fact that at the DEBITS sites EC mass concentrations were only measured once per month over a 24-sampling period, whereas at the other sites, one-minute eBC data were collected that were converted to 15-min averages. Precaution should also be taken when directly comparing eBC and EC, since it was previously proven that eBC and EC concentrations can differ by up to a factor of 7 among different methods, with a factor of 2 differences being common (Watson et al., 2005). However, an unpublished 12-month intern-comparison of eBC and EC at the Welgegend measurement site, with the actual sampling and analysis equipment used to acquire data for this study, proved that EC and eBC were within the same order of magnitude (Sehloho, 2017). Therefore, notwithstanding the limitations in directly comparing EC and eBC data, Figure 4 gives the most realistic spatial perspective for the northern interior of South Africa, especially within the context of very little other data being available in the peer reviewed public domain.¶

##### **Insert Figure 4¶**

1.3 *“In a number of places the explanations and discussion is repetitive and circular and could be simplified. I have indicated these areas in the detailed comments below.”*

Thanks to Referee #1 for pointing out these issues, they were all addressed as indicated below.

### **“Detailed comments”**

1.4 “Page 3 line 30- list some of the assumptions in modelled aerosol radiative impact assessments, particularly the ones associated with BC.”

Referee #1 is correct in stating that it would be advantageous to indicate some assumptions. Therefore the text was changed. Below is a screenshot indicating these changes.

Presently, the majority of aerosol radiative impact assessments are based on models (Bond et al., 2013; IPCC, 2013), both on local and global scales, which incorporate measured aerosol properties. However, this approach involves several assumptions (e.g. assuming aerosol properties and the use of global instead of regional emission inventories for under-sampled/characterised regions). Considering the relatively short atmospheric lifetime of BC, such assumptions which could lead to significant uncertainties, especially on regional scales (Andreae and Gelencser, 2006; Masiello, 2004; Bond et al., 2013; Kuik et al., 2015). For a better understanding of the transport, removal and climatic impacts of atmospheric BC, accurate and up-to-date measurements covering large spatial areas and long temporal periods are required.¶

1.5 “Figure 2 and Section 2.5 (Page 9) How was the baseline BC determined? Was it a constant value at each site? What method did you use for the EC correlation analysis to identify sources at the EC sites?”

Firstly, the method described in Section 2.5 and Figure 2, was only applied to sites where active eBC was measured and not to sites where EC was measured. However, the questions asked by Referee #1 made the authors realise that the text should clarify this better to the reader. The text screenshot below indicates the changes made to the relevant section.

#### **2.5 - Linking ground-based measurements with point sources using back-trajectories¶**

This method was introduced by Maritz et al. (2015) who used it to link ambient organic carbon (OC) and EC concentrations to potential sources. The same method was applied here, to assess if large point sources and in- or semi-formal settlements contributed to ambient eBC concentrations at the sites where active eBC data was gathered (Elandsfontein, Welgedund and Marikana). The method was not applied to sites where 24-hour composite EC samples were taken (Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano). The method relates eBC concentrations measured at a particular sampling site with the closest distance

Secondly, as correctly indicated by Referee #1, the baseline BC was not properly defined in the text, although it was correctly indicated in the figure. Therefore, the text associated with Figure 3 was augmented to clarify the matter.

therefore associated with the source that emitted the H<sub>2</sub>S. For each such plume the excess eBC ( $\Delta$ eBC) was determined, with the baseline defined as the linear line between the start-end eBC concentrations of the observed plume and with  $\Delta$ eBC defined as the eBC concentration above the baseline, as indicated in the top pane of Figure 3.¶

1.6 “Page 11 line 9 remove of”

Thanks for Referee #1 for pointing out this text error, it was corrected.

1.7 “Page 12 line 13 This has been observed everywhere so it may be worth stating “as expected””

The authors agree and have added the words “..., which was expected.” to the end of the relevant sentence.

1.8 “Page 12 Section 3.2.1 what is the influence of atmospheric stability? Is there greater stability and therefore less mixing during the winter months in South Africa as seen in other places (e.g. SE Australia)? Could this also be contributing to higher winter concentrations? Suggest an assessment of windspeed climatologies could provide information on this. I note that this atmospheric stability is discussed in section 3.2.2.”

Referee #1 is correct in stating that atmospheric stability has an influence. This was not left out, but discussed in the next section (3.2.2). To avoid repetition of text/ideas, a sentence was added at the end of the paragraph to indicate to the reader that this will be discussed in greater detail in the next section.

As is evident from these figures, there is a distinct and similar seasonal pattern observed at all three sites, with the highest eBC mass concentrations measured in June to October. These months coincide with the colder winter months of June to August, as well as the dry season on the South African Highveld occurring between May and middle October. Venter et al. (2012) previously indicated that household combustion for cooking and space heating in informal and semi-formal settlements during winter could be a significant eBC mass concentration source on a local scale. However, it has not yet been determined whether such household combustion could also make a significant regional contribution in South Africa. During the dry season, increased savannah and grassland wild fires occur, which contributed to increased atmospheric eBC concentrations (Bond et al., 2004, Saha and Despiou, 2009). The influence of both of these potential eBC sources, i.e. household combustion and wild fires, will be discussed later in Section 3.3. Obviously, increased atmospheric stability during the colder months (Garstang et al., 1996) will also lead to trapping of low level emissions, hence resulting in possible higher eBC concentrations. This is discussed in greater detail in the next section.¶

1.9 “Page 13 line 7- this explanation can be simplified e.g. “The Elandsfontein diurnal plot indicates highest concentrations occur in the evening hours (18:00 to 24:00). The area in which Elandsfontein is situated, is a well-known international NO<sub>2</sub> hotspot (Lourens et al., 2012) and it is widely accepted that NO<sub>2</sub> in this hotspot mainly originates from coal-fired power stations. However the timing of the NO<sub>2</sub> and eBC peak concentrations differ by several hours with the NO<sub>2</sub> peak occurring at 11:00, so that eBC is most likely not due to emissions from the coal-fired power stations.”

Also since this is discussed in a lot more detail in section 3.3.2 (where it appears the contribution of the power stations is considered) authors may consider rewriting this paragraph to show that the role of power stations as a source will be considered later in the analysis and are not completely ruled out.”

The authors agree with Referee #1 that this text needed to be improved. Referee #2 also indicated this, but requested additional clarification on certain issues. Please refer to Correction 2.6, which indicates in detail the changes made to the relevant text.

1.10 “Page 15 line 2 what about household combustion for cooking? Presumably that occurs all year round?”

Referee #1 is correct in stating that household combustion for cooking will still take place in the hotter months. Therefore household combustion referred to here was specified, i.e. for space heating. An additional sentence was also added to clarify the use of household combustion for cooking during the summer months. The screenshot below indicates the text changes.

will not influence eBC levels in the northern interior significantly. In addition, minimal household combustion for space heating takes place in December to February, since it is the warmest months. During this time household combustion for cooking will still take place, but such daily emission periods are far shorter than the extended space heating period (typically early evening, throughout the night, until after sunrise the next day) occurring during the colder months. Considering the afore-mentioned, it is best to isolate industrial and traffic related eBC sources during December to February.¶



1.11 “Page 16 Line 14 - This section needs to be clarified. For example, in section 3.2.2 because the NO<sub>2</sub> and eBC diurnal patterns did not match, power stations were ruled out as source of eBC in this region. However on line 21 page 15 the authors suggest that “Although it is not shown here, eBC plumes that were associated with these species were confirmed to have originated from coal-fired power stations with back trajectory analyses” and that “From literature, it is known that plumes from coal-fired power plants on the South African Highveld are characterised by coincidental SO<sub>2</sub>, NO<sub>2</sub> and NO increases (Collet et al., 2010; Lourens et al., 2011). Do these statements contradict the interpretation made in the Section 3.2.2? Perhaps show the evidence of the association between EBC, SO<sub>2</sub> and NO<sub>2</sub> and the trajectory analysis relating these to the power stations.”

The authors do not agree with Referee #1 that “power stations were ruled out as a source of eBC” in Section 3.2.2. The text in Section 3.2.2. of the revised version reads “*The Elandsfontein diurnal plots indicate that the main source of eBC is not high stack emissions.*” This clearly indicates that the power station is not the main source, but they might still contribute. However, to clarify the matter even further, the text on page 16, line 14 (in the original version) was modified as indicated below.

~~Although indicated in Section 3.2.2 that it was unlikely that high stack emissions were the main source of eBC at Elandsfontein, the possible fractional contributions of industries still need to be assessed. In order to quantify this relative contribution of large point sources at Elandsfontein, eBC peaks that coincided with peaks of other pollutants, which are characteristic of large point sources in that area, were considered for the December to February period. Two~~

1.12 “Page 17 line 18 suggest replacing “thereof” with “of which”.”

The authors agree with this text change, although it was on page 18 and not on page 17.

1.13 “Page 17 line 20 suggest replacing “thereof” with “of these pollutants””

The authors agree with this text change, although it was on page 18 and not on page 17.

1.14 “Page 17 line 22 replace “have” with “has””

The authors thank Referee #1 for pointing out this grammar issue and have corrected it, although it was on page 18 and not on page 17.

1.15 “Figure 9a, 10a, 13a, from the text in the manuscript it’s not clear what is being plotted in these trajectories. The figure captions suggest that only trajectories were eBC and the other pollutant of interest are elevated are plotted. If this is correct the text in the manuscript associated with these plots needs to be clarified.”

The authors agree with Referee #1 that the text should be clarified. The screenshots below indicate how the text sections associated with Figures 9a, 10a and 13 were improved.

For Figure 9a

~~dumps that burn as a result of spontaneous combustion. In order to identify the origin of the eBC peaks that were associated with H<sub>2</sub>S only, a map on which all back trajectories that arrived at Elandsfontein during these eBC peaks (coincidental increases in eBC and H<sub>2</sub>S) were plotted, is presented in Figure 9, together with a wind rose for such events. From these figures, it is~~

For Figure 10a

~~approximately 38 km to the north and north-west. It therefore seems reasonable that the traffic-related eBC back trajectory map (Figure 10a, which was for coincidental increases in eBC and NO<sub>2</sub> time periods only) is somewhat biased toward the east and north, although limited contributions from other sectors are also evident. The wind rose showing the prevailing wind~~

For Figure 13a

~~Figure 13a indicates back trajectories associated with household combustion contribution to eBC levels (for time periods with coincidental increases in eBC with NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S, but not NO). Most of these back trajectories passed over the Thubelihle and Kriel settlements,~~

1.16 “Page 18 line 3 Replace “Similar to what was done for large industrial point sources” with “similar to the analysis performed for the large industrial point sources”.”

The authors thank Referee #1 for this text improvement suggestion, which was incorporated.

1.17 “Page 18 Line 12 suggest re-writing this sentence e.g. “Household combustion results in the emission of a number of different species (Venter et al., 2012). In this work tracers for household combustion were determined from species that simultaneously increased with eBC, including NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S. Note that NO did not increase simultaneously with increased with eBC”.”

The authors thank Referee #2 for this text improvement suggestion, which was incorporated.

1.18 “Page 18 Line 17 add used after i.e. commonly used”

Referee #1 is thanked for pointing out this omission, which was corrected.

1.19 “Page 18 Line 18 suggest replacing “thereof” with “of this coal”,”

This is exactly the same correction, as already indicated in Correction 1.12.

1.20 “Page 18 Line 22 replace “have” with “has””

This is exactly the same correction, as already indicated in Correction 1.14.

1.21 “Page 20 line 15 remove “However””

The authors would prefer to retain the word “However” within the context, since they want to indicate the difference between the sources that seasonal, as opposed to the sources that contribute year round.

1.22 “page 20 Line 23-27 and Figure 15 More discussion is required about what these ratios indicate. Why were particular species selected to ratio against? Suggest moving this figure and section to supplementary as currently it adds little to the papers conclusions.”

We agree with Referee #1 that the text associated with Figure 15 was not good enough. However, we do feel that the emission factors presented in this figure are a very valuable scientific contribution. Since so little BC measurements are conducted in South Africa, these emission factors will enable modellers to estimate BC levels better. Therefore, if allowed, we would prefer that this paragraph and associated Figure remain in the main text. The text was improved, as indicated below.

~~Vakkari et al. (2014) used  $\Delta eBC$  in relation to other species to characterise differences in plumes of savannah and grassland fires. In a similar manner, these ratios for  $\Delta eBC$  divided by other species that were characteristic of the different plume types identified (i.e. representing industrial, traffic or house hold combustion) reported in this paper were determined and are presented in Figure 15. Since so little BC data is available for South Africa, the median and/or mean values indicated in this figure could be used in subsequent modelling studies as emission factors to estimate/quantify eBC if only the concentration(s) emissions of the other species that were used in calculating these ratios are known.~~

**Insert Figure 15**

If Referee #2, or the editor, insist that we move this short paragraph and Figure 15 to a supplement, we will do so.

1.23 “Fig 1 specify in fig caption the site”

The authors thank Referee #1 for the suggestion, which was incorporated.



2.3 “The Abstract is long and introduces a lot of Acronyms that are later dispensed with. In particular the text is much easier to understand later in the document when the names of measurement sites are used in full, rather than shorten to initials. I suggest that the abstract is shortened perhaps by cutting down on the first paragraph of introductory text.”

We agree that the “Abstract” might be too long and that the first paragraph of introductory text is shortened. Below is a screenshot of the text changes made to paragraph 1 of the “Abstract”. These changes resulted in a reduction of 84 words.

#### Abstract

After carbon dioxide (CO<sub>2</sub>), aerosol black carbon (BC) is considered to be the second most important contributor to global warming. ~~Africa is one of the least studied continents, although it is regarded as the largest source region of atmospheric BC. Southern Africa is an important sub-source region, with savannah and grassland fires likely to contribute to elevated BC mass concentration levels. South Africa is the economic and industrial hub of southern Africa. To date, little BC mass concentration data have been presented for South Africa in the peer-reviewed public domain.~~ This paper presents equivalent black carbon (eBC) (derived from an optical absorption method) data collected from three sites ~~in the interior of South Africa~~, where continuous measurements ~~were have been~~ conducted, i.e. Elandsfontein (EL), Welgegend (WG) and Marikana (MA), as well elemental carbon (EC) (determined by evolved carbon method) at five sites where samples were collected once a month on a filter and analysed off-line, i.e. Louis Trichardt (LT), Skukuza (SK), Vaal Triangle (VT), Amersfoort (AM) and Botsalano (BS). ~~All these sites are located in the interior of South Africa.~~

The authors also agree that the use of measurement site name acronyms make the text more difficult to understand. Therefore, all site name acronyms were replaced with the full names in the “Abstract”. This change does however make the “Abstract” longer, but it certainly does improve the clarity of the text.

After reconsidering the entire paper, the authors also realised that the site name acronyms were not consistently used in the rest of the paper. Therefore, to make the paper easier to understandable for the international reader (that might not know South Africa well), all site name acronyms were replaced by the full names, except in the figures (e.g. Figure 4) that would become too crowded if full names were used. However, for such figures the acronyms were in the figure captions.

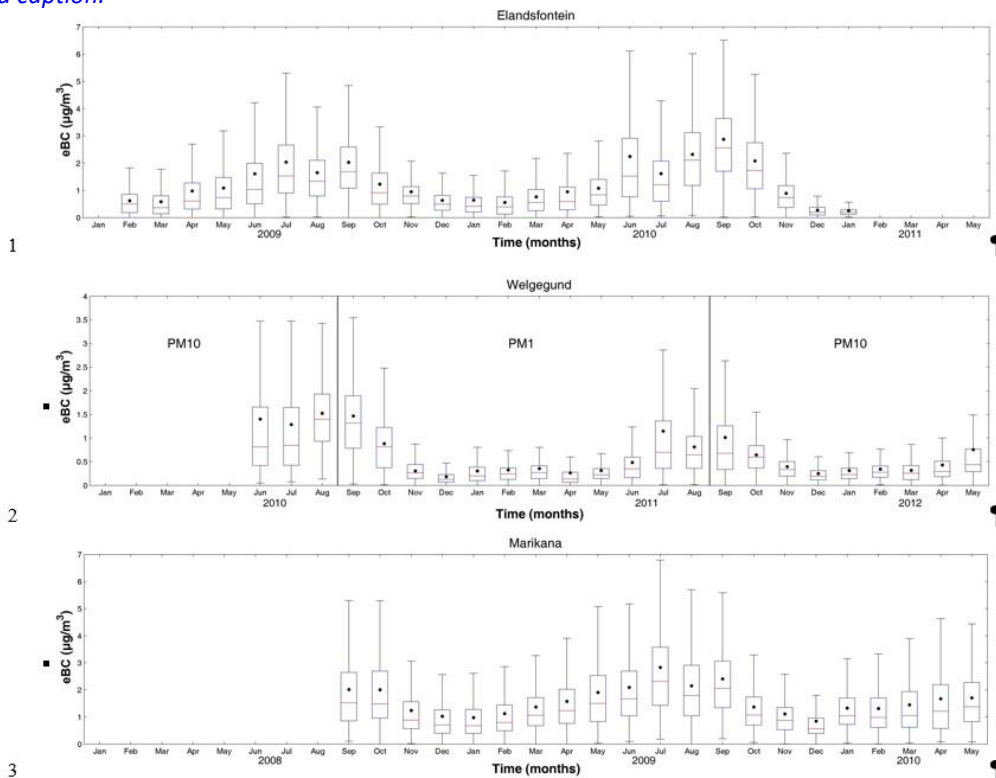
2.4 “The introduction is clear as are the measurement site descriptions and methods.”

The authors thank referee #2 for the positive comment.

2.5 “Page 12 – Figure 5 is confusing to me: did Welgegund measurements switch between PM10 to PM1 and back again during the time period shown?”

Yes, measurements at Welgegund was switch between PM10 to PM1 and back again during the reported measurement period. Tiitta et al. (2014) reported on the chemical composition of “non-refractive submicron aerosols (NR-PM1)” at Welgegund, as measured with an Aerosol Chemical Specification Monitor (ACSM, Aerodyne Inc.). During the period when Tiitta et al. (2014) collected data with the afore-mentioned instrument, the BC inlet was changed to PM1 to correlate with the inlet used for the chemical measurements. However, the fact that the figure and associated text caused some confusion for Referee #2, implies that some text improvements are required to prevent the general scientific readers from being confused. The following changes were made:

- The text under Paragraph “2.1.3 Welgegund” was modified and now reads “The Welgegund measurement station... A PM<sub>10</sub> inlet was used from 1 June 2010 to 25 August 2010, as well as 1 September 2011 to 31 May 2012, while a PM<sub>1</sub> inlet was used from 26 August 2010 to 31 August 2011. The PM<sub>1</sub> inlet sampling period was undertaken to better quantify PM<sub>1</sub> aerosol chemical composition, which was reported in a previous paper (Tiitta et al., 2014).”
- The caption of Figure 5 was also changed to clarify the issue. Below is a screen shot of Figure 5 and the modified caption.



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Figure 5. → Monthly statistical distribution of eBC concentrations at the three sites where continuous measurement data were gathered, i.e. Elandsfontein, Welgegund and Marikana. PM<sub>10</sub> inlets were used at Elandsfontein and Marikana while measurements at Welgegund were conducted with either a PM<sub>1</sub> or PM<sub>10</sub> inlet. The red line of each box is the median, the black dots indicate the mean, the top and bottom edges of the box are the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the whiskers  $\pm 2.7\sigma$  (99.3% coverage if the data has a normal distribution).



2.6 “Page 13. I am also confused by the arguments outlined here. They seem to say that eBC cannot be from the same source as the NO<sub>2</sub> because they do not have the same diurnal cycles, however this is not obvious to me since NO<sub>2</sub> may be photo-chemically produced from NO and does not have the same atmospheric lifetime as black carbon and so co-emitted species could have different diurnal patterns. Please clarify the reasoning here.”

The authors agree with Referee #2 that the explanation given here was not clear enough. To entire paragraphs was rewritten and now reads as indicated by the screenshot below.

The Elandsfontein diurnal plots indicates that the main source of eBC is not high stack emissions. ~~eBC would have peaked after 11:00, as has been indicated for NO<sub>2</sub> by Collet et al. (2010) if eBC originated mainly from industrial high stack emissions.~~ The area in which Elandsfontein is situated, is a well-known international NO<sub>2</sub> hotspot, with tropospheric column densities similar to what is observed over south-east Asia (Lourens et al., 2012; Lourens et al., 2016). It is widely accepted that NO<sub>2</sub> in this hotspot mainly originates from NO<sub>x</sub> emission from coal-fired power stations. ~~The troposphere over the Highveld is strongly layered, with several inversion layers occurring. These layers prevent vertical mixing to a large degree (Garstang et al., 1996). The afore-mentioned NO<sub>x</sub> emission are released into the atmosphere via high stacks, which are typically taller than 300m. The effective stack heights (actual stack heights plus rise due to emissions being hot) were designed to ensure that the NO<sub>x</sub> emissions are released above the lowest inversion layers, to prevent excessive local pollution and ensure distribution over a wider area. Collet et al. (2010) proved that NO<sub>2</sub> concentrations at Elandsfontein peak after 11:00 am, due to the breakdown of the lowest inversion layers, which allow downward mixing of the NO<sub>x</sub> tall stack emissions. Therefore, if eBC mainly originated from these large point sources with tall stacks, eBC concentrations would also have peaked, similar to NO<sub>2</sub>, after the breakdown of the night-time inversion layers that would allow downward mixing of tall stack emitted eBC. However, this is clearly not the case.~~ Additionally, the winter diurnal plot for Elandsfontein indicates substantially higher values during night-time when the planetary boundary layer (PBL) is less well mixed (i.e. strong low level inversion layers that trap surface emissions), which re-enforces the notion that the major origin of eBC is from low-level sources, rather than industrial high stacks ~~that were designed to have effective stack heights above the low level inversion layer heights.~~ At Elandsfontein this site the daily evolution of the PBL starts approximately three to four hours after sunrise (varies between 05:07 and 06:56 local time), which results in increasing atmospheric mixing down from the upper atmosphere troposphere, including high stack emissions (Korhonen et al., 2014). Considering all the aforementioned ~~Therefore~~, the most likely eBC sources during winter (June to August) and the dry season (May to middle October) ~~are can be attributed to surface emissions from household combustion, and as well as savannah and grassland fires, respectively, not industrial high stack emissions.~~ The ~~is an important finding, since~~ industries on the Mpumalanga Highveld are often blamed for all forms of pollution, due to the NO<sub>2</sub> hotspot over this area ~~that is attributed to NO<sub>x</sub> emissions from industries and vehicle emissions from the Johannesburg Pretoria megacity (Lourens et al., 2012; Lourens et al., 2016).~~¶

2.7 “The use of different times of year to characterise the main sources is generally well explained, however by Page 16 the mention of the NO<sub>2</sub> hotspot near Elandsfontein seemed repetitive. I think that some significant shortening of the text could be achieved with a re-write of this section and that this is likely to improve the clarity of the paper.”

Referee #2 is correct in stating that there is some repetition of ideas/text in this section, which was stated earlier. Several sentences were deleted and additional minor text changes made to remove the repetition. Below is a screenshot to indicate these changes.

### 3.3.2 → Industrial contribution to eBC at Elandsfontein ¶

Numerous large industrial point sources linked to coal utilisation occur in the South African interior, e.g. coal-fired power stations that produce most of South Africa’s electricity, large petrochemical operations utilising coal gasification and numerous pyro-metallurgical smelters utilising coal and coal-related products as carbonaceous reductants for the production of various steels and alloys (Collet et al., 2010; Lourens et al., 2011; Beukes et al., 2012). ~~Previously, it has been indicated that some of these large point sources contribute significantly to certain pollutant concentrations, e.g. the NO<sub>2</sub> hotspot observed with satellite observations over the Highveld, mainly due to coal-fired power stations that do not de SO<sub>x</sub> or de NO<sub>x</sub> and traffic emissions (Lourens et al., 2012).~~ However, the possible contributions of these large point sources to atmospheric BC ~~concentrations~~ have not yet been investigated ~~for South Africa~~. ¶

~~As previously indicated, Elandsfontein is situated within the well-known NO<sub>2</sub> hotspot, with various large point sources located in close proximity (Collet et al., 2010; Lourens et al., 2011). The diurnal eBC concentration plots of Elandsfontein (Figure 6) indicated that it is unlikely that industrial high stack emissions were the main source of eBC at this site. However, this postulation has to be proven.~~ In Figure 8, eBC concentrations measured at Elandsfontein were plotted against the shortest distances that back trajectories passed any large point source, during the summer months (December to February), when minimal household combustion, as well as savannah and grassland fires occur. Although there was no clear correlation (Figure 8), the results indicated that at least some trajectories passing closer to these large industrial point sources had higher eBC concentrations. This suggests that eBC contributions from large industrial point sources cannot be ignored, notwithstanding the diurnal patterns, indicating that high stack industrial emissions were not the main source (Figure 6). ¶

2.8 “Page 21, Figure 17 – it is not clear that the word “predict” is suitable here, because the text seems to imply that the whole dataset is used to generate the equation. Did I misunderstand and a subset is used to create the equation and then used to predict some later observations? Please clarify the text.”

Referee #2 is correct in stating that the word “predict” is not suitable for this context. The word “predict” was replaced with “calculate” at both places where it was used.

2.9 “The conclusions section is clear, but maybe could be renamed Summary and Conclusions to better represent the contents.”

The authors agree with this suggestion. The header was renamed “Summary and Conclusions”

2.10 “Typo: Pg11 line 5, “experience” should be “experiences””

This typo was corrected.

# Spatial, temporal and source contribution assessments of black carbonBC over the northern interior of South Africa

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## Abstract

After carbon dioxide (CO<sub>2</sub>), aerosol black carbon (BC) is considered to be the second most important contributor to global warming. ~~Africa is one of the least studied continents, although it is regarded as the largest source region of atmospheric BC. Southern Africa is an important sub-source region, with savannah and grassland fires likely to contribute to elevated BC mass concentration levels. South Africa is the economic and industrial hub of southern Africa. To~~



1 ~~date, little BC mass concentration data have been presented for South Africa in the peer-~~  
2 ~~reviewed public domain.~~ This paper presents equivalent black carbon (eBC) (derived from an  
3 optical absorption method) data collected from three sites in the interior of South Africa, where  
4 continuous measurements ~~were have been~~ conducted, i.e. Elandsfontein (~~EL~~), Welgegund (~~WG~~)  
5 and Marikana (~~MA~~), as well elemental carbon (EC) (determined by evolved carbon method) at  
6 five sites where samples were collected once a month on a filter and analysed off-line, i.e. Louis  
7 Trichardt (~~LT~~), Skukuza (~~SK~~), Vaal Triangle (~~VT~~), Amersfoort (~~AM~~) and Botsalano (~~BS~~). ~~All~~  
8 ~~these sites are located in the interior of South Africa.~~

9 Analyses of eBC and EC spatial mass concentration patterns across the eight sites indicate that  
10 the mass concentrations in the South African interior are in general higher than what has been  
11 reported for the developed world and that different sources are likely to influence different sites.  
12 The mean eBC or EC mass concentrations for the background sites (WG Welgegund, LT Louis  
13 Trichardt, SK Skukuza, BS Botsalano) and sites influenced by industrial activities and/or nearby  
14 settlements (EL Elandsfontein, MA Marikana, VT Vaal Triangle and AM Amersfoort) ranged  
15 between 0.7 and 1.1, and 1.3 and 1.4  $\mu\text{g}/\text{m}^3$ , respectively.

16 Similar seasonal patterns were observed at all three sites where continuous measurement data  
17 were collected (EL Elandsfontein, MA Marikana and WG Welgegund), with the highest eBC  
18 mass concentrations measured during June to October, indicating contributions from household  
19 combustion in the cold winter months (June-August), as well as savannah and grassland fires  
20 during the dry season (May to mid-October). Diurnal patterns of eBC at EL Elandsfontein,  
21 MA Marikana and WG Welgegund indicated maximum concentrations in the early mornings and  
22 late evenings, and minima during daytime. From the patterns it could be deduced that for  
23 MA Marikana and WG Welgegund, household combustion, and savannah, and grassland fires  
24 were the most significant sources, respectively.

25 Possible contributing sources were explored in greater detail for EL Elandsfontein, with five  
26 main sources being identified as coal-fired power stations, pyrometallurgical smelters, traffic,  
27 household combustion, as well as savannah and grassland fires. Industries on the Mpumalanga  
28 Highveld are often blamed for all forms of pollution, due to the  $\text{NO}_2$  hotspot over this area that  
29 is attributed to  $\text{NO}_x$  emissions from industries and vehicle emissions from the Johannesburg-  
30 Pretoria megacity. However, a comparison of source strengths indicated that household  
31 combustion, and savannah and grassland fires were the most significant sources of eBC,  
32 particularly during winter and spring months, while coal-fired power stations, pyro-



1 metallurgical smelters and traffic contribute to eBC mass concentration levels year round.

## 1    **1    Introduction**

2    Aerosol black carbon (BC) is the carbonaceous fraction of ambient particulate matter that  
3    absorbs incoming short-wave solar radiation and terrestrial long-wave radiation, which has a  
4    warming effect on the atmosphere (IPCC, 2013). Although BC has a relatively short  
5    atmospheric lifetime (days to weeks), it has significant regional effects on temperature, cloud  
6    amount and precipitation. Over snow-covered areas, the surface albedo can be significantly  
7    reduced due to the deposition of BC, and this may considerably influence the local and regional  
8    climate (Ramanathan and Carmichael, 2008; Jacobson, 2004). Direct observations of reduced  
9    albedo resulting from long-range-transported BC into Arctic areas were reported by Stohl et al.  
10    (2006). It was estimated that BC may have contributed to more than half of the observed Arctic  
11    warming since 1890, most of this occurring during the last three decades (Shindell and  
12    Faluvegi, 2008). After CO<sub>2</sub>, BC is considered to be the second most important contributor to  
13    global warming (Bond et al., 2004; IPCC, 2013). According to some authors, reducing BC  
14    emissions may be the fastest means of slowing global warming in the near future. In addition  
15    to the afore-mentioned effects, BC is a major contributor to fine particulate matter in the  
16    atmosphere that can also have negative health effects (Hansen et al., 1984, Cachier, 1995;  
17    IPCC, 2013).

18    Atmospheric BC is a primary species (Putaud et al., 2004; Pöschl, 2005) that is emitted by  
19    combustion processes, particularly from fossil fuel combustion, diesel engine exhaust, as well  
20    as open biomass fires and household combustion (Cachier, 1995; Cooke and Wilson, 1996;  
21    Bond and Sun, 2004; IPCC, 2013). Globally, approximately 20% of BC is emitted from  
22    residential biofuel burning, 40% from fossil fuels and 40% from open biomass burning such as  
23    forest and savannah fires (Hansen et al., 1988; Cooke and Wilson, 1996; Wolf and Cachier,  
24    1998; Pope, 2002;). BC from fossil fuels is estimated to contribute a global mean radiative  
25    forcing of 0.04 watts per square metre (W/m<sup>2</sup>) (IPCC, 2013).

26    There are large uncertainties associated with emissions of BC, its aging during atmospheric  
27    transportation and its removal by precipitation (Bond and Sun, 2004), which are reflected in  
28    uncertainties in the global effect of BC (e.g. Bond et al., 2013). Presently, the majority of  
29    aerosol radiative impact assessments are based on models (Bond et al., 2013; IPCC, 2013), both  
30    on local and global scales, which incorporate measured aerosol properties. However, this  
31    approach involves several assumptions (e.g. assuming aerosol properties and the use of global  
32    instead of regional emission inventories for under sampled/characterised regions).

1 Considering the relatively short atmospheric lifetime of BC, such assumptions which could lead  
2 to significant uncertainties, especially on regional scales (Andreae and Gelencser, 2006;  
3 Masiello, 2004; Bond et al., 2013; Kuik et al., 2015). For a better understanding of the  
4 transport, removal and climatic impacts of atmospheric BC, accurate and up-to-date  
5 measurements covering large spatial areas and long temporal periods are required.

6 Africa is one of the least studied continents, although it is regarded as the largest source region  
7 of atmospheric BC (Liousse et al., 1996; Kanakidou et al., 2005). Southern Africa is an  
8 important sub-source region, with savannah and grassland fires (anthropogenic and natural)  
9 being prevalent across this region, particularly during the dry season, when almost no  
10 precipitation occurs (Formenti et al., 2003; Tummon et al., 2010; Laakso et al., 2012; Vakkari  
11 et al., 2014; Mafusire et al., 2016). Studies by Swap et al. (2004) indicated that savannah and  
12 grassland fire plumes from southern Africa affect Australia and South America. South Africa  
13 is the economic and industrial hub of southern Africa with large anthropogenic point sources  
14 (Lourens et al., 2011). However, the relative importance of BC contributions from these  
15 anthropogenic sources in South Africa is still largely unknown and few BC-related papers have  
16 been published in the peer-reviewed public domain. Venter et al. (2012) used BC mass  
17 concentration data collected at the Marikana monitoring station to verify the origin of CO and  
18 PM<sub>10</sub>, but did not consider BC further. Collett et al. (2010) only presented a single diurnal plot  
19 for BC mass concentration measured at the Elandsfontein monitoring station in 2010.  
20 Hyvärinen et al. (2013) used BC mass concentration data collected at the Welgegund  
21 monitoring station to illustrate the use of a newly developed method to correct BC mass  
22 concentration values measured with a multi-angle absorption photometer (MAAP). In addition,  
23 Martins (2009) determined elemental carbon (EC) and organic carbon (OC) mass  
24 concentrations from three two-week winter campaigns and one two-week summer campaign at  
25 two sites, as part of the framework of the Deposition of Biogeochemical Important Trace  
26 Species (DEBITS)-International Global Atmospheric Chemistry (IGAC) in Africa project  
27 (Galy-Lacaux et al., 2003; Martins et al., 2007). However, this data have not yet been published  
28 in the peer-reviewed scientific domain. Maritz et al. (2015) and Aurela et al. (2016) presented  
29 limited EC mass concentration data from some regional background sites in South Africa. Kuik  
30 et al. (2015) used the Weather Research and Forecasting model, including chemistry and  
31 aerosols (WRF-Chem), to analyse the contribution of anthropogenic emissions to the total  
32 tropospheric BC mass concentrations from September to December 2010 in South Africa.  
33 However, significant underestimations and uncertainties with regard to BC mass concentrations

1 were reported by the afore-mentioned authors.

2 From the above-mentioned, the need for improved BC mass concentration data for South Africa  
3 is evident. This paper presents spatial and temporal assessments of equivalent black carbon  
4 (eBC) derived from an optical absorption method and elemental carbon (EC) determined by an  
5 evolved carbon method (definitions according to Petzold et al., 2013) mass concentrations over  
6 the northern interior of South Africa, as well as potential contributing sources of eBC at  
7 Elandsfontein, a site located on the South African Highveld.

## 8 **2 Measurement locations and methods**

### 9 **2.1 Measurement sites**

10 In this paper, eBC or EC mass concentration data from eight measurement stations are  
11 presented. At three of these stations, continuous high resolution eBC measurements were  
12 conducted, i.e. Elandsfontein-(~~EF~~), Welgegund-(~~WG~~) and Marikana-(~~MA~~), while at the  
13 remaining five stations, i.e. Louis Trichardt-(~~LT~~), Skukuza-(~~SK~~), Vaal Triangle-(~~VT~~),  
14 Amersfoort-(~~AF~~) and Botsalano-(~~BS~~), samples were collected once a month on a filter for a  
15 period of 24 hours and analysed off-line to yield EC. The locations of these sites within a  
16 regional context are indicated in Figure 1. In order to contextualise all the sites, a brief  
17 description of each site is presented below.

#### 18 **Insert Figure 1**

##### 19 ***2.1.1 Elandsfontein***

20 The Elandsfontein monitoring station (26.25°S 29.42°E; 1750 m.a.m.s.l.) is located on the top  
21 of a hill approximately 200 km east of Johannesburg in the highly industrialised South African  
22 Highveld (Collett et al., 2010). The site is relatively frequently affected by plumes from coal-  
23 fired power stations, metallurgical smelters and a large petrochemical operation that occur  
24 within an approximately 60 km radius around the site (Laakso et al., 2012). The site was used  
25 for the European Integrated Project on Cloud Climate, Aerosols and Air Quality Interactions  
26 (EUCAARI) project for measurements outside Europe; with state-of-the-art instruments for  
27 comprehensive aerosol measurements (Laakso et al., 2012; Kulmala et al., 2009).  
28 Measurements were conducted from February 2009 to January 2011 with a PM<sub>10</sub> inlet.

##### 29 ***2.1.2 Marikana***

30 The Marikana monitoring station (25.70°S 27.48°E; 1170 m.a.m.s.l.) is located in a small  
31 village situated approximately 35 km east of the city of Rustenburg, in the North West Province  
32 of South Africa. Within an approximately 55 km radius from this site there are 11



1 pyrometallurgical smelters and at least twice as many mines (feeding the afore-mentioned  
2 smelters) (Venter et al., 2012). However, there were no mining and/or industrial activities  
3 within a 1 km radius of the site. The closest surroundings included semi-formal (government-  
4 built housing developments, mostly with some form of informal housing additions by the  
5 occupants) and informal (self-erected, sometimes unauthorised, mostly without municipal  
6 services) settlements, a formal residential area with a gas station and shops, as well as tarred  
7 and untarred roads serving the communities in this area (Venter et al., 2011; Hirsikko et al.,  
8 2012). Measurements were conducted from September 2008 to May 2010 with a PM<sub>10</sub> inlet.

### 9 **2.1.3 Welgegund**

10 The Welgegund measurement station ([www.welgegund.org](http://www.welgegund.org), 26.57°S 26.94°E, 1480 m.a.m.s.l.)  
11 is situated approximately 100 km west of Johannesburg on the property of a commercial farmer.  
12 It is representative of a regional background site, but is also affected by aged plumes from major  
13 source regions in South Africa (Jaars et al., 2014; Tiitta et al., 2014; Venter et al., 2016). A  
14 detailed description of the Welgegund measurement station and related source regions was  
15 relatively recently presented by Beukes et al. (2013). Measurements reported in this paper  
16 covered the period June 2010 to May 2012. ~~A, with either~~ PM<sub>10</sub> inlet was used from (1 June  
17 2010 to 25 August 2010, as well as 1 September 2011 to 31 May 2012, ~~while a) or~~ PM<sub>1</sub> inlet  
18 was used from (26 August 2010 to 31 August 2011) ~~inlets being employed.~~ The PM<sub>1</sub> inlet  
19 sampling period was undertaken to better quantify PM<sub>1</sub> aerosol chemical composition, which  
20 was reported in a previous paper (Tiitta et al., 2014).

### 21 **2.1.4 DEBITS sites**

22 Maritz et al. (2015) introduced all the DEBITS sites for which data is presented. Therefore only  
23 synopses of the site descriptions, taken from the afore-mentioned paper, are given here. The  
24 DEBITS project is an international long-term project that mainly focuses on measuring  
25 atmospheric deposition of pollutants (Galy-Lacaux *et al.*, 2003; Mphepya et al, 2004 and 2006;  
26 Conradie et al., 2016). The Louis Trichardt (22.99 S 30.02 E; 1300 m.a.m.s.l.), Skukuza  
27 (24.99 S 31.58 E; 267 m.a.m.s.l.), Vaal Triangle (26.72 S 27.88 E; 1320 m.a.m.s.l.),  
28 Amersfoort (27.07 S 29.87 E; 1628 m.a.m.s.l.) and Botsalano (25.54 S 25.75 E;  
29 1424 m.a.m.s.l.) sites were operated within the afore-mentioned programme. Amersfoort is  
30 situated in a grassland biome and is affected by anthropogenic activities on the Mpumalanga  
31 Highveld. Louis Trichardt is a rural site that is predominantly used for agricultural purposes  
32 within the savannah biome. Skukuza is a regional background site within the savannah biome

1 and is situated in a protected area (Kruger National Park). The Vaal Triangle site is within the  
2 grassland biome and is situated in a highly industrialised area, affected by emissions from  
3 various industries, traffic and household combustion. Botsalano is a regional background site  
4 that is situated within the savannah biome and a protected area (Botsalano Game Reserve). In  
5 this paper EC sampled at these sites with a PM<sub>10</sub> inlet was reported for the period March 2009  
6 to April 2011.

## 7 **2.2 Sampling and analysis methods**

8 Aerosol BC mass concentration can be measured using both online and off-line methods. In  
9 this paper eBC was measured with a light-absorption method and EC with a thermo-optical  
10 method (Petzold et al., 2013).

### 11 **2.2.1 Online sampling and analysis of eBC**

12 eBC mass concentration was continuously measured at Elandsfontein, Marikana and  
13 Welgegund with a Thermo Scientific, Model 5012 Multi-angle Absorption Photometer  
14 (MAAP) with time resolutions of 1 minute that was converted to 15 minute averages. The  
15 MAAP measures aerosol eBC with a filter-based method that uses a combination of reflection  
16 and transmission measurements together with a radiative transfer model to yield eBC  
17 concentration (Petzold and Schönlinner, 2004). However, if the automated filter change in  
18 MAAP occurs at a high eBC concentration, an artefact may occur (Hyvärinen et al., 2013). In  
19 this study, the MAAP eBC measurements were corrected for this artefact according to  
20 Hyvärinen et al. (2013). Furthermore, the MAAPs at Welgegund and Elandsfontein were  
21 operated at reduced flow rates, which decreased the number of such filter change artefacts.

### 22 **2.2.2 Off-line sampling and analysis of EC**

23 Twenty four (24)-hour PM<sub>10</sub> aerosol samples were collected on quartz filters (with a deposit  
24 area of 12.56 cm<sup>2</sup>) once a month at Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and  
25 Botsalano for the entire measurement period reported. Sample preparation and analysis were  
26 according to the methods described by Maritz et al. (2015). The quartz filters were prebaked at  
27 900°C for four hours and cooled down in a desiccator, prior to sample collection. MiniVol  
28 samplers developed by the United States Environmental Protection Agency (US-EPA) and the  
29 Lane Regional Air Pollution Authority were used during sampling (Baldauf et al., 2001). In  
30 this study, samples were collected at a flow rate of 5 L/min, which was verified by using a  
31 handheld flow meter. Filters were handled with tweezers while wearing surgical gloves, as a  
32 precautionary measure to prevent possible contamination of the filters. All thermally pre-

1 treated filters were also visually inspected to ensure that there were no weak spots or flaws.  
2 After inspection, acceptable filters were weighed and packed in airtight Petri dish holders until  
3 they were used for sampling. After sampling, the filters were again placed in Petri dish holders,  
4 sealed off, bagged and stored in a portable refrigerator for transport to the laboratory. At the  
5 laboratory the sealed filters were stored in a conventional refrigerator. Twenty four hours prior  
6 to analysis, samples were removed from the refrigerator and weighed prior to analysis. Several  
7 methods can be used to analyse EC collected on filters (Chow et al., 2001). In this study, the  
8 IMPROVE thermal/optical (TOR) protocol (Chow et al., 1993; Chow et al. 2004;  
9 Environmental Analysis Facility, 2008; Guillaume et al., 2008) was applied using a Desert  
10 Research Institute (DRI) analyser. With this method, the filters are subjected to volatilisation  
11 at temperatures of 120, 250, 450 and 550°C in a pure helium (He) atmosphere and at  
12 temperatures of 550, 700 and 800°C in a mixture of He (98%) and oxygen (O<sub>2</sub>) (2%)  
13 atmosphere. In this process, carbon compounds that are released are converted to CO<sub>2</sub> in an  
14 oxidation furnace with a manganese dioxide (MnO<sub>2</sub>) catalyst at 932°C. Then, the flow passed  
15 through a digester where the CO<sub>2</sub> is reduced to methane (CH<sub>4</sub>) on a nickel-catalysed reaction  
16 surface. The amount of CH<sub>4</sub> formed is detected by a flame ionisation detector (FID), which is  
17 converted to carbon mass using a calibration coefficient. The carbon mass peaks detected  
18 correspond to the different temperatures at which the seven separate carbon fractions, which  
19 include three elemental carbon (EC) fractions, were released. These fractions were depicted as  
20 different peaks on the thermogram, of which the surface areas were proportional to the amount  
21 of CH<sub>4</sub> detected. The DRI instrument can detect EC as low as 0.1 µg/cm<sup>2</sup>.

### 22 **2.3 Savannah and grassland fire locations**

23 A number of products can be used to obtain savannah and grassland fire locations. Fire  
24 locations presented in this paper were obtained from the remote sensing observations of fires  
25 from the MODIS collection 5 burned area product (Roy et al., 2008; MODIS, 2014).

### 26 **2.4 Air mass back trajectory analysis**

27 The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT 2014) model (version  
28 4.8), developed by the National Oceanic and Atmospheric Administration (NOAA) Air  
29 Resources Laboratory (ARL) was used to calculate air mass histories (Draxler and Hess, 2004).  
30 Meteorological data from the GDAS archive of the National Centre for Environmental  
31 Prediction (NCEP) of the United States National Weather Service (USNWS) and archived by  
32 the ARL (Air Resources Laboratory, 2014a), was used as input. This data has a 40 or 80 km

1 grid resolution, depending on the year considered (NASA, 2015), with all the data used in this  
2 study having 40 km grid resolution. All trajectories were calculated for 24 hours backwards, to  
3 arrive on the hour at an arrival height of 100 m above ground level. An arrival height of 100 m  
4 was chosen, since the orography in HYSPLIT is not well defined, which could result in  
5 increased error margins on individual trajectory calculations if lower arrival heights are used  
6 (Air Resources Laboratory, 2014c). For such calculated back trajectories, maximum error  
7 margins of 15 to 30% of the trajectory distance travelled have been estimated (Stohl, 1998;  
8 Riddle et al., 2006; Vakkari et al., 2011).

## 9 **2.5 Linking ground-based measurements with point sources using back trajectories**

10 This method was introduced by Maritz et al. (2015) who used it to link ambient organic carbon  
11 (OC) and EC concentrations to potential sources. The same method was applied here, to assess  
12 if large point sources and in- or semi-formal settlements contributed to ambient eBC  
13 concentrations at the sites where active eBC data was gathered (Elandsfontein, Welgegund and  
14 Marikana). The method was not applied to sites where 24-hour composite EC samples were  
15 taken (Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano). The method  
16 relates eBC concentrations measured at a particular sampling site with the closest distance  
17 between the hourly arriving trajectory and the afore-mentioned sources (large point sources, as  
18 well as in- and semi-formal settlements). Figure 2 presents an illustration of the method applied  
19 for a specific sampling site to determine the shortest distance between a 24-hour back trajectory  
20 and large point sources. The distances between the large point sources (indicated by the black  
21 markers) and a specific back trajectory were calculated for each of the hourly locations of the  
22 24-hour back trajectory (indicated by the red dots on Figure 2). The red line indicates the  
23 shortest distance between hourly locations of this specific trajectory and large point sources (i.e.  
24 petrochemical operations, coal-fired power stations and pyro-metallurgical smelters). The  
25 weaknesses of the afore-mentioned method were that downwind point sources and/or in- or  
26 semi-formal settlements, very close to the monitoring site, could in some instances be the closest  
27 point source/in- or semi-formal settlements. Additionally, dilution due to distance travelled by  
28 the trajectories was not considered.

29 **Insert Figure 2**

## 30 **2.6 Determining the relative contribution of eBC from sources**

31 In order to determine the relative strength of eBC mass concentration sources, detailed  
32 correlation analyses were performed for eBC peaks. For instance, it is well known that plumes



1 from coal-fired power stations on the Mpumalanga Highveld are characterised by a  
2 simultaneous increase in NO, NO<sub>2</sub> and SO<sub>2</sub> concentrations (Collect et al., 2010; Lourens et al.,  
3 2011). Figure 3 shows the eBC, SO<sub>2</sub>, NO<sub>2</sub>, NO and H<sub>2</sub>S data measured on 14 February 2009.  
4 In this figure, it is evident that two well-defined coal-fired power plant plumes were observed  
5 between 09:15 and 11:30 based on SO<sub>2</sub>, NO<sub>2</sub> and NO time series, as well as between 18:00 and  
6 21:00. However, both of these coal-fired power plant-associated plumes did not raise the  
7 baseline eBC meaningfully. There was, however, a significant eBC plume between 02:00 and  
8 08:30, which coincided perfectly with a simultaneous increase in H<sub>2</sub>S. This eBC plume was  
9 therefore associated with the source that emitted the H<sub>2</sub>S. For each such plume the excess eBC  
10 ( $\Delta$  eBC) was determined, with the baseline defined as the linear line between the start end eBC  
11 concentrations of the observed plume and ~~with~~  $\Delta$  eBC defined as the eBC concentration above  
12 the baseline, as indicated in the top pane of Figure 3.

### 13 **Insert Figure 3**

#### 14 **2.7 Multiple linear regression analysis**

15 Several techniques were applied in this paper to characterise possible sources of eBC mass  
16 concentrations measured at the various stations, e.g. seasonal patterns, diurnal patterns, back  
17 trajectory analyses, and identifying sources based on coincidental increases in species time  
18 series. In an attempt to further critically evaluate deductions made from these methods, multiple  
19 linear regression (MLR) analyses were conducted. Linear regression is denoted by constants  
20 or known parameters (c), an independent variable (x) and a dependent variable (y) by fitting a  
21 linear equation to the observed data. MLR is characterised by more than one independent  
22 variable (x). In MLR, the relationship between the dependent variable (y) and independent  
23 variables (x) is denoted by Equation 1.

$$24 \quad y = c_0 + c_1x_1 + c_2x_2 + c_3x_3 + \dots + c_zx_z \quad \text{Eq. 1}$$

25 In this study, MLR was used to determine an equation for the dependent variable eBC. MLR  
26 was used to determine the optimum combination of independent variables to derive an equation  
27 that could be used to ~~predict~~ calculate eBC concentrations. Root mean square error (RMSE)  
28 was used to compare the calculated values with the measured values. Several authors have  
29 previously applied similar methods for various atmospheric species (e.g. Awang et al., 2015;  
30 Du Preez et al., 2015; Venter et al., 2015).

### 3 Results and discussions

#### 3.1 Spatial variation

In Figure 4, a box and whisker plot indicating the statistical eBC or EC mass concentrations for each of the sites is presented. The significant difference in number of samples (N) is due to the fact that at the DEBITS sites EC mass concentrations were only measured once per month over a 24-sampling period, whereas at the other sites, one-minute eBC data were collected that were converted to 15 min averages. Precaution should also be taken when directly comparing eBC and EC, since it was previously proven that eBC and EC concentrations can differ by up to a factor of 7 among different methods, with a factor of 2 differences being common (Watson et al., 2005). However, an unpublished 12 month intern-comparison of eBC and EC at the Welgegund measurement site, with the actual sampling and analysis equipment used to acquire data for this study, proved that EC and eBC were within the same order of magnitude (Sehloho, 2017). Therefore, notwithstanding the limitations in directly comparing EC and eBC data, Figure 4 gives the most realistic spatial perspective for the northern interior of South Africa, especially within the context of very little other data being available in the peer reviewed public domain.

#### Insert Figure 4

Of all the sites considered, the highest mass concentrations were measured at VTVaal Triangle that had a median EC of  $3.2 \mu\text{g}/\text{m}^3$  and a mean of  $4.4 \mu\text{g}/\text{m}^3$  for the entire measurement period. Although sources will be considered in greater detail later, the higher EC mass concentration levels at VTVaal Triangle can be attributed to various possible sources. Firstly, this area is densely populated with large semi-formal and informal settlements. This indicates that household combustion for space heating and cooking could be a significant source of EC. Secondly, the area experiences relatively higher traffic volumes and several large point sources (including petrochemical and related chemical industries, two coal-fired power stations and numerous metallurgical smelters) occur in the area. Thirdly, the site experiences less dilution ~~of~~ due to the close proximity of the sources to the measurement site that contribute to the observed elevated levels of EC mass concentration.

The eBC at Elandsfontein, as well as the EC at Marikana and Amersfoort sites indicated similar levels with median and mean values of 0.8 and 1.3, 1.2 and 1.7, and 1.1 and  $1.4 \mu\text{g}/\text{m}^3$  respectively. Elandsfontein and Amersfoort lie within the well-known  $\text{NO}_2$  hotspot over the Mpumalanga Highveld identified from satellite observations (Lourens et al., 2012) and are

1 therefore likely to be influenced by industrial activities in this area. Marikana can be affected  
2 by household combustion from in- and semi-formal settlements that are located close to the  
3 measurement site, as well as the large pyrometallurgical sources occurring in the area (Venter  
4 et al., 2012; Hirsikko et al., 2012).

5 The background sites, i.e. Welgegund, Botsalano, Louis Trichardt and Skukuza had lower eBC  
6 or EC levels compared to other locations, with median and mean concentrations of 0.4 and 0.7,  
7 0.7 and 0.9, 0.8 and 0.9, and 0.9 and 1.1  $\mu\text{g}/\text{m}^3$ , respectively. All these background sites are  
8 likely to be affected most by regional savannah and grassland fires that are common in southern  
9 Africa or by pollutants transported from other parts of the country. However, Welgegund,  
10 which is the furthest west of these sites, is likely to be affected less by savannah and grassland  
11 fires due to the dryer biomes, i.e. the Kalahari and Karoo that are located to the west of this site.  
12 These drier biome regions produce less biomass that can burn (Mafusire et al., 2016). It is  
13 therefore understandable that Welgegund had lower eBC levels than the other background sites.  
14 Obviously, Elandsfontein, Marikana, Vaal Triangle and Amersfoort will also be affected by  
15 regional savannah and grassland fires, in addition to the possible sources already mentioned.

16 The eBC and EC concentrations presented for all the sites considered (Figure 4) should also be  
17 contextualised. The background site with the lowest  $\text{PM}_{10}$  eBC concentrations reported here,  
18 i.e. Welgegund, had similar or higher eBC mass concentration values than typical western  
19 European background sites. BC mass concentrations of less than 0.2 to 0.3  $\mu\text{g}/\text{m}^3$  have been  
20 reported for western parts of northern Europe (e.g. Yttri et al., 2007). At natural and rural  
21 European background sites, values of 0.3 to 0.5 and 0.6 to 1.6  $\mu\text{g}/\text{m}^3$  have been reported,  
22 respectively (e.g. Putaud et al., 2004; Hyvärinen et al., 2011). The other South African  
23 background sites reported here, i.e. Botsalano, Louis Trichardt and Skukuza, had higher mean  
24 and median values than the afore-mentioned European background/natural sites. The  
25 industrial/urban/household affected sites reported here, i.e. Elandsfontein, Marikana, Vaal  
26 Triangle and Amersfoort had higher average eBC or EC mass concentration levels than, for  
27 instance, an urban site in a large European city, where BC mass concentrations had an average  
28 of approximately 1.0  $\mu\text{g}/\text{m}^3$  (Järvi et al., 2008; Viidanoja et al., 2002). In general, it can  
29 therefore be stated that eBC or EC mass concentrations across the measurement area considered  
30 are relatively high.

31 Apart from the spatial information and possible indication of contributing sources obtained  
32 from Figure 4, it is also evident from the comparison of the  $\text{PM}_1$  and  $\text{PM}_{10}$  eBC data of

1 Welgegund that most of the eBC resides in the PM<sub>1</sub> size fraction, which was expected.

## 2 **3.2 Temporal variations**

### 3 **3.2.1 Seasonal variations**

4 In order to determine seasonal patterns, only the site where continuous measurements were  
5 conducted was considered. Monthly statistical distributions of eBC mass concentrations for  
6 Elandsfontein, Welgegund and Marikana measurement sites are presented in Figure 5. As is  
7 evident from these figures, there is a distinct and similar seasonal pattern observed at all three  
8 sites, with the highest eBC mass concentrations measured in June to October. These months  
9 coincide with the colder winter months of June to August, as well as the dry season on the South  
10 African Highveld occurring between May and middle October. Venter et al. (2012) previously  
11 indicated that household combustion for cooking and space heating in informal and semi-formal  
12 settlements during winter could be a significant eBC mass concentration source on a local scale.  
13 However, it has not yet been determined whether such household combustion could also make  
14 a significant regional contribution in South Africa. During the dry season, increased savannah  
15 and grassland wild fires occur, which contributed to increased atmospheric eBC concentrations  
16 (Bond et al., 2004, Saha and Despiau, 2009). The influence of both of these potential eBC  
17 sources, i.e. household combustion and wild fires, will be discussed later in Section 3.3.  
18 Obviously, increased atmospheric stability during the colder months (Garstang et al., 1996) will  
19 also lead to trapping of low level emissions, hence resulting in possible higher eBC  
20 concentrations. This is discussed in greater detail in the next section.

21 **Insert Figure 5**

### 22 **3.2.2 Diurnal variations**

23 Average diurnal plots as well as average seasonal diurnal plots (separate for summer, autumn,  
24 winter and spring) for the stations where continuous eBC mass concentration data were  
25 gathered, i.e. Elandsfontein, Marikana and Welgegund (both PM<sub>1</sub> and PM<sub>10</sub>), are presented in  
26 Figure 6.

27 **Insert Figure 6**

28 The Elandsfontein diurnal plots indicates that the main source of eBC is not high stack  
29 emissions. ~~eBC would have peaked after 11:00, as has been indicated for NO<sub>2</sub> by Collet et al.~~  
30 ~~(2010) if eBC originated mainly from industrial high stack emissions.~~ The area in which  
31 Elandsfontein is situated, is a well-known international NO<sub>2</sub> hotspot, with tropospheric column  
32 densities similar to what is observed over south-east Asia (Lourens et al., 2012; Lourens et al.,

1 2016). It is widely accepted that NO<sub>2</sub> in this hotspot mainly originates from NO<sub>x</sub> emission from  
2 coal-fired power stations. The troposphere over the Highveld is strongly layered, with several  
3 inversion layers occurring. These layers prevent vertical mixing to a large degree (Garstang et  
4 al., 1996). The afore-mentioned NO<sub>x</sub> emission are released into the atmosphere via high stacks,  
5 which are typically taller than 300m. The effective stack heights (actual stack heights plus rise  
6 due to emissions being hot) were designed to ensure that the NO<sub>x</sub> emissions are released above  
7 the lowest inversion layers, to prevent excessive local pollution and ensure distribution over a  
8 wider area. Collet et al. (2010) proved that NO<sub>2</sub> concentrations at Elandsfontein peak after  
9 11:00 am, due to the breakdown of the lowest inversion layers, which allow downward mixing  
10 of the NO<sub>x</sub> tall stack emissions. Therefore, if eBC mainly originated from these large point  
11 sources with tall stacks, eBC concentrations would also have peaked, ~~similar to NO<sub>2</sub>~~, after the  
12 breakdown of the night-time inversion layers that would allow downward mixing of tall stack  
13 emitted eBC. However, this is clearly not the case. Additionally, the winter diurnal plot for  
14 Elandsfontein indicates substantially higher values during night-time– when the planetary  
15 boundary layer (PBL) is less well mixed (i.e. strong low level inversion layers that trap surface  
16 emissions), which re-enforces the notion that the major origin of eBC is from low-level sources,  
17 rather than industrial high stacks ~~that were designed to have effective stack heights above the~~  
18 ~~low level inversion layer heights.~~ At Elandsfontein this site the daily evolution of the PBL starts  
19 approximately three to four hours after sunrise (varies between 05:07 and 06:56 local time),  
20 which results in increasing atmospheric mixing down from the upper ~~atmosphere-troposphere~~,  
21 including high stack emissions (Korhonen et al., 2014). Considering all the afore-  
22 mentioned ~~Therefore~~, the most likely eBC sources during winter (June to August) and the dry  
23 season (May to middle October) ~~are can be attributed to~~ surface emissions from household  
24 combustion, ~~and as well as savannah and grassland fires, respectively, not industrial high stack~~  
25 ~~emissions.~~ The is an important finding, since industries on the Mpumalanga Highveld are often  
26 blamed for all forms of pollution, due to the NO<sub>2</sub> hotspot over this area ~~that is attributed to NO<sub>x</sub>~~  
27 ~~emissions from industries and vehicle emissions from the Johannesburg-Pretoria megacity~~  
28 ~~(Lourens et al., 2012; Lourens et al., 2016).~~

29 In contrast to Elandsfontein, eBC concentrations at Marikana peaked in the early mornings  
30 (05:00-09:00) and again in the early to late evenings (17:30-22:00). These times correlate with  
31 the peak times for household combustion for space heating and cooking in the nearby in- and  
32 semi-formal settlements (Venter et al. 2012). Seasonal timing of the peak eBC concentration  
33 in the diurnal plots confirms that household combustion is the main source at this site. In winter,



1 during which time daylight hours are shorter, the peak morning eBC concentration is at ~07:00  
2 and the evening peak at ~18:00; whereas, during summer, with longer daylight hours, the peak  
3 morning eBC concentration is at ~06:00 and the evening peak at ~20:00. During the cold winter  
4 months, space heating is a priority, apart from cooking, while in summer, household combustion  
5 would mainly be used for cooking. These seasonal household combustion use patterns are  
6 reflected by the diurnal eBC patterns for Marikana.

7 The eBC diurnal plots of Welgegund do not indicate well-defined peaks as observed for  
8 Marikana. This is expected, since there are no semi- or informal settlements located close to  
9 the Welgegund station. Additionally, there are also no large point sources close to Welgegund,  
10 as there are at Elandsfontein. Therefore, only sources that have a regional influence are likely  
11 to affect eBC levels at Welgegund. It is therefore likely that savannah and grassland fires,  
12 especially in the winter and early spring, are mainly responsible for eBC levels measured at  
13 Welgegund and mainly long-range transportation during the wet season. The lower PBL during  
14 the evenings and early mornings will concentrate the eBC and contribute to eBC levels rising  
15 in the evening and only decreasing three to four hours after sunrise, as suggested by Korhonen  
16 et al. (2014). This effect is strongest in the winter months.

### 17 **3.3 eBC source identification**

#### 18 *3.2.1 General*

19 As has already been indicated, there are various possible sources for eBC, e.g. industrial,  
20 household combustion, traffic, and savannah and grassland fires. In this section, possible  
21 significant contributing sources are considered further. Figure 7 indicates the fire pixel counts  
22 calculated from MODIS (collection of 5 burned area product) (Roy et al., 2008) within the  
23 entire southern Africa (10-35°S and 10-41°E) indicated on the primary y-axis, as well as fire  
24 pixel counts within a radius of 125 km around measurement sites where high resolution ~~eBCD~~  
25 data was gathered on the secondary y-axis.

#### 26 **Insert Figure 7**

27 It is important to note that it is difficult to separate the influence of various sources at a specific  
28 site, since the measured eBC originates from a mixture of contributing sources. Therefore,  
29 Figure 7 was considered first, since it provided guidance about which periods would be best to  
30 consider for the different sources. For instance, there are very few savannah and grassland fires  
31 during December to February every year in the northern interior of South Africa. The savannah  
32 and grassland fires that do occur during this period occur in the southern Western Cape, which

1 will not influence eBC levels in the northern interior significantly. In addition, minimal  
2 household combustion for space heating takes place in December to February, since it is the  
3 warmest months. During this time household combustion for cooking will still take place, but  
4 such daily emission periods are far shorter than the extended space heating period (typically  
5 early evening, throughout the night, until after sunrise the next day) occurring during the colder  
6 months. Considering the afore-mentioned, it is best to isolate industrial and traffic related eBC  
7 sources during December to February.

8 It is clear for the overall southern African fire frequencies, as well as those around each site  
9 (Figure 7) that August and September have the highest savannah and grassland fire intensities.  
10 This is the driest period, just before the onset of the first rains, usually in middle October. We  
11 can therefore isolate savannah and grassland fires best in this period, since its effect is strongest.  
12 The influence of household combustion is also not that strong in this period; since it is already  
13 becoming warmer and therefore less space heating is required. By considering aerosol particle  
14 concentrations at Marikana, Vakkari et al. (2013) proved that the evening peak associated with  
15 household combustion was significantly lower in September than in June to July.

16 Since it is coldest in June and July, the effect of household combustion for space heating is at  
17 its strongest, making the isolation of the household combustion effect better during these  
18 months.

19 In the following sections, eBC contributions from the above-mentioned sources, i.e. industrial,  
20 traffic, savannah and grassland fires, and household combustion, will be explored in greater  
21 detail for the Elandsfontein site only. This site was chosen, since it can be affected by all the  
22 afore-mentioned sources, while the other sites where continuous high resolution data were  
23 gathered will mainly be influenced by savannah and grassland fires (Welgegund) or household  
24 combustion (Marikana).

### 25 3.3.2 *Industrial contribution to eBC at Elandsfontein*

26 Numerous large industrial point sources linked to coal utilisation occur in the South African  
27 interior, e.g. coal-fired power stations that produce most of South Africa's electricity, large  
28 petrochemical operations utilising coal gasification and numerous pyro-metallurgical smelters  
29 utilising coal and coal-related products as carbonaceous reductants for the production of various  
30 steels and alloys (Collet et al., 2010; Lourens et al., 2011; Beukes et al., 2012). ~~Previously, it~~  
31 ~~has been indicated that some of these large point sources contribute significantly to certain~~  
32 ~~pollutant concentrations, e.g. the NO<sub>2</sub> hotspot observed with satellite observations over the~~

1 ~~Highveld, mainly due to coal-fired power stations that do not de SO<sub>x</sub> or de NO<sub>x</sub> and traffic~~  
2 ~~emissions (Lourens et al., 2012).~~ However, the possible contributions of these large point  
3 sources to atmospheric BC ~~concentrations~~ have not yet been investigated ~~for South Africa~~.

4 ~~As previously indicated, Elandsfontein is situated within the well-known NO<sub>2</sub> hotspot, with~~  
5 ~~various large points sources located in close proximity (Collet et al., 2010; Lourens et al., 2011).~~  
6 ~~The diurnal eBC concentration plots of Elandsfontein (Figure 6) indicated that it is unlikely that~~  
7 ~~industrial high stack emissions were the main source of eBC at this site. However, this~~  
8 ~~postulation has to be proven.~~ In Figure 8, eBC concentrations measured at Elandsfontein were  
9 plotted against the shortest distances that back trajectories passed any large point source, during  
10 the summer months (December to February), when minimal household combustion, as well as  
11 savannah and grassland fires occur. Although there was no clear correlation (Figure 8), the  
12 results indicated that at least some trajectories passing closer to these large industrial point  
13 sources had higher eBC concentrations. This suggests that eBC contributions from large  
14 industrial point sources cannot be ignored, notwithstanding the diurnal patterns, indicating that  
15 high stack industrial emissions were not the main source (Figure 6).

#### 16 **Insert Figure 8**

17 Although indicated in Section 3.2.2 that it was unlikely that high stack emissions were the main  
18 source of eBC at Elandsfontein, the possible fractional contributions of industries still need to  
19 be assessed. In order to quantify ~~this relative contribution of large point sources at~~  
20 ~~Elandsfontein~~, eBC peaks that coincided with peaks of other pollutants, which are characteristic  
21 of large point sources in that area, were considered for the December to February period. Two  
22 distinct types of contributing sources were identified, i.e. eBC peaks that coincided with SO<sub>2</sub>,  
23 NO<sub>2</sub> and NO, as well as eBC peaks that only coincided with H<sub>2</sub>S. From literature, it is known  
24 that plumes from coal-fired power plants on the South African Highveld are characterised by  
25 coincidental SO<sub>2</sub>, NO<sub>2</sub> and NO increases (Collet et al., 2010; Lourens et al., 2011). Although  
26 it is not shown here, eBC plumes that were associated with these species were confirmed to  
27 have originated from coal-fired power stations with back trajectory analyses. However, H<sub>2</sub>S  
28 peaks that coincided with the eBC peaks could have been from various sources, e.g. the large  
29 petrochemical plant near Secunda, pyro-metallurgical smelters in the area or smouldering coal  
30 dumps that burn as a result of spontaneous combustion. In order to identify the origin of the  
31 eBC peaks that were associated with H<sub>2</sub>S only, a map on which all back trajectories that arrived  
32 at Elandsfontein during these eBC peaks (coincidental increases in eBC and H<sub>2</sub>S) were plotted,

1 is presented in Figure 9, together with a wind rose for such events. From these figures, it is  
2 evident that the back trajectories that were associated with simultaneous eBC and H<sub>2</sub>S  
3 concentration peaks only passed over the sector between the northwest and northeast from  
4 Elandsfontein. This is the area where all the pyro-metallurgical smelters are located.  
5 Smouldering coal dumps occur in all directions from Elandsfontein. Additionally, no  
6 trajectories associated with coincidental eBC and H<sub>2</sub>S increases had passed over the  
7 petrochemical operation. It therefore seems likely that the eBC contribution associated with  
8 H<sub>2</sub>S originates from the pyro-metallurgical smelters in the sector located between northwest  
9 and northeast from Elandsfontein.

### 10 **Insert Figure 9**

#### 11 *3.3.3 Traffic contribution to eBC at Elandsfontein*

12 From literature, it seems feasible to associate increased BC concentrations with traffic  
13 emissions, particularly diesel-powered vehicles (Cachier, 1995; Cooke and Wilson, 1996; Bond  
14 and Sun, 2005). The Mpumalanga Highveld around Elandsfontein is the area where most  
15 thermal coal is mined in South Africa, which is mostly transported by diesel trucks via various  
16 roads criss-crossing the area as indicated in Figure 10a. However, the closest tarred road, i.e.  
17 the R35, passes Elandsfontein approximately 4.7 km to the east. This road is also one of the  
18 most utilised for coal road transportation. Additionally, to the north of Elandsfontein, numerous  
19 such tarred roads are located, e.g. the national N12 and N4 highways pass Elandsfontein  
20 approximately 38 km to the north and north-west. It therefore seems reasonable that the traffic-  
21 related eBC back trajectory map (Figure 10a, which was for coincidental increases in eBC and  
22 NO<sub>2</sub> time periods only) is somewhat biased toward the east and north, although limited  
23 contributions from other sectors are also evident. The wind rose showing the prevailing wind  
24 direction during periods when eBC plumes that coincided with NO<sub>2</sub> plumes were observed  
25 (Figure 10b) also indicates the sources to be mainly from the east, i.e. where the R35 passes  
26 Elandsfontein.

### 27 **Insert Figure 10**

#### 28 29 *3.3.4 Household combustion contribution to eBC at Elandsfontein*

30 Venter et al. (2012) indicated that household combustion for space heating and cooking in in-  
31 and semi-formal settlements contributes significantly to poor air quality in such settlements. In  
32 Figure 11, the relationships between monthly average and median eBC, against monthly mean

1 and median temperatures for Elandsfontein, are presented. As is evident from the results  
2 presented in Figure 11, there is a significant correlation between eBC concentration and  
3 temperature, if August and September are ignored (indicated with hollow markers in Figure  
4 11). During these months, significant eBC contributions can be expected from savannah and  
5 grassland fires (see Figure 7). The correlation between eBC concentration and temperature  
6 indicates that household combustion for space heating contributes significantly to eBC levels  
7 measured at Elandsfontein, especially during the colder months when household combustion is  
8 used more frequently for space heating.

9 **Insert Figure 11**

10 Similar to ~~the analysis performed~~~~what was done~~ for ~~the~~ large industrial point sources (Figure  
11 8), eBC concentrations were drawn as a function of the closest distance that back trajectories  
12 had passed in- and semi-formal settlement for Elandsfontein. However, this was done only for  
13 the winter months of June and July for both years, since household combustion contributions  
14 could then be better isolated from savannah and grassland fire contributions during these  
15 periods. These results are presented in Figure 12. Although not conclusive, the results  
16 presented indicate that, in general, higher eBC concentrations were observed when trajectories  
17 passed closer to in- and semi-formal settlements in June and July.

18 **Insert Figure 12**

19 Household combustion ~~could~~~~results~~ in the emission of ~~a number of different~~ ~~various~~  
20 ~~atmospheric~~-species (Venter et al., 2012). ~~In this work tracers for household combustion were~~  
21 ~~determined from species that simultaneously increased with eBC, including NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S,~~  
22 ~~but not NO.~~~~However, to be able to determine the Δ eBC for household combustion at~~  
23 ~~Elandsfontein, atmospheric species that simultaneously increased with eBC had to be identified.~~  
24 ~~Experimentally, it was found that simultaneous increases in NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S, but not NO~~  
25 ~~characterised household plumes measured at Elandsfontein.~~ Low-grade coal that is burned in  
26 ineffective stoves is commonly used for household combustion in the Mpumalanga Highveld,  
27 due to such coal being relative inexpensive. The use ~~thereof~~~~of which~~ results in NO<sub>x</sub>, SO<sub>2</sub> and  
28 H<sub>2</sub>S emissions. During the cold winter months of June and July, strong inversion layers trap  
29 pollutants emitted closer to ground level and prevent the mixing and subsequent transportation  
30 ~~of these pollutants~~~~thereof~~. The low-level emissions from in- and semi-formal settlements are  
31 therefore not dispersed before the inversion layers break up in mid-morning. A previous study  
32 ~~have~~~~has~~ indicated that the PBL starts growing around 10:00 local time at Elandsfontein during



1 the winter months (Korhonen et al., 2014). It can therefore be accepted that the low-level  
2 inversion layers also start dissipating at that time. The long residence time of air masses around  
3 in- and semi-formal settlements in winter before being dispersed, as well as additional transport  
4 time, results in NO being oxidised to NO<sub>2</sub> prior to these plumes being measured at  
5 Elandsfontein.

6 Figure 13a indicates back trajectories associated with household combustion contribution to  
7 eBC levels (for time periods with coincidental increases in eBC with NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S, but  
8 not NO). Most of these back trajectories passed over the Thubelihle and Kriel settlements,  
9 which are located 12.4 and 13.8 km from Elandsfontein, respectively. Apart from this relatively  
10 local eBC influence from household combustion, most trajectories associated with household  
11 combustion eBC plumes passed over the sector between east and north-north-east, where the  
12 cities of Witbank and Middelburg, as well as the Johannesburg-Pretoria mega-city are located.  
13 These larger cities have many more large in- and semi-formal settlements associated with them  
14 than the smaller towns in the area do. The wind rose showing the prevailing wind direction  
15 during periods when eBC plumes that coincided with NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S plumes were observed  
16 (Figure 13b) also indicates the sources to be mainly from more or less the same direction as  
17 most of the back trajectories.

### 18 **Insert Figure 13**

#### 19 *3.3.5 Savannah and grassland fire contribution to eBC at Elandsfontein*

20 Vakkari et al. (2014) relatively recently indicated how savannah and grassland fire emission  
21 aerosols are changed via atmospheric oxidation in South Africa. To positively identify  
22 savannah and grassland fire plumes, the afore-mentioned authors used CO and eBC as  
23 coincidental increasing species. However, CO was not measured at Elandsfontein and therefore  
24 the positive identification of savannah and grassland plume could not be undertaken using this  
25 method. Additionally, the plumes of savannah and grassland fires occurring in neighbouring  
26 countries arriving at Elandsfontein will be diluted and aged. Such regional fires lift the entire  
27 eBC baseline, rather than exhibiting well-defined plumes that can be separated from the baseline  
28 (Mafusire et al., 2016), as was done for the industrial, traffic and household combustion sources.  
29 Thus far in the paper, we have considered August and September as the months in which  
30 savannah and grassland fires frequencies peak. However, some household combustion might  
31 still occur in August. Therefore, to determine the overall baseline increase as a result of  
32 savannah and grassland fires, only September was considered as being representative of

1 savannah and grassland fires, while the summer months (December to February) can be  
2 considered as the baseline. By subtracting the September eBC mean from the summer mean,  
3 the eBC baseline increased by  $2.01 \mu\text{g}/\text{m}^3$ . This increase will be contextualised with the  
4 previously investigated sources in the next section.

### 5 *3.3.6 Contextualisation of eBC source strengths at Elandsfontein*

6 Up to now, the individual eBC sources for Elandsfontein were discussed, but their strengths  
7 were not compared with one another. In Figure 14, the comparison of the  $\Delta$  eBC from coal-  
8 fired power stations, pyro-metallurgical smelters, traffic, household combustion, as well as  
9 savannah and grassland fires for Elandsfontein is presented. The relative savannah and  
10 grassland fire source strength is not statistically presented with a box and whisker as for the  
11 other sources, but only with a black star that indicates the mean eBC baseline increase during  
12 September if compared to the summer months of December to February. The data presented in  
13 Figure 14 were normalised to account for variations in PBL height at Elandsfontein. This was  
14 done by using the monthly average PBL daily maximum heights reported by Korhonen et al.  
15 (2014) for 2010 at Elandsfontein. Unfortunately no such data existed for 2009, therefore the  
16 2009 monthly PBL heights were assumed to be similar to 2010. Thereafter the ratios of the  
17 average PBL daily maximum heights for each of the periods during which certain sources could  
18 be better isolated (i.e. December to February for large point sources and traffic emission; June  
19 to July to household combustion) were calculated, compared to the average PBL daily  
20 maximum heights for August and September (period with peak savannah and grassland fire  
21 occurrence). The  $\Delta$  eBC for each of the sources identified in the December to February, as well  
22 as June to July periods were then adjusted with these ratios to account for variations in the  
23 PBL, which could have a significant dilution or concentration effect on the measured eBC  
24 values, from which the  $\Delta$  eBCs were derived. The results indicate the significant source strength  
25 of household combustion, as well as savannah and grassland fires, as measured at Elandsfontein.  
26 However, coal-fired power stations, pyro-metallurgical and/or char plants and traffic contribute  
27 year round, while household combustion, as well as savannah and grassland fires only  
28 contribute significantly in May to August, and June to September, respectively. Bond et al.  
29 (2013) indicated relatively high BC emissions from biofuel cooking (calculated for Africa in  
30 total), but did not indicate space heating to contribute significantly. However, our data seem to  
31 prove that space heating does contribute meaningfully to eBC levels in South Africa during the  
32 colder winter months (June-July).

1 **Insert Figure 14**

2 Vakkari et al (2014) used  $\Delta$  eBC in relation to other species to characterise differences in plumes  
3 of savannah and grassland fires. In a similar manner, these ratios for  $\Delta$  eBC divided by ~~other~~  
4 species that were characteristic of the different plume types identified (i.e. representing  
5 industrial, traffic or house hold combustion)~~reported in this paper~~ were determined and are  
6 presented in Figure 15. Since so little BC data is available for South Africa, ~~t~~The median and/or  
7 mean values indicated in this figure could be used in subsequent modelling studies as emission  
8 factors to estimate/quantify eBC if only the concentration(s)/emissions of ~~the other~~ species that  
9 were used in calculating these ratios are known.

10 **Insert Figure 15**

11 **3.4 Mathematical confirmation of eBC sources at Elandsfontein**

12 Four scenarios were investigated with MLR analyses. Firstly, MLR analysis was conducted for  
13 the entire monitoring period at Elandsfontein. As is evident from the top left pane in Figure 16,  
14 the RMSE difference between the actual measured eBC concentration and the calculated eBC  
15 concentrations if only one independent parameter was included in the optimum MLR solution  
16 was approximately 1.54. The RMSE difference could be reduced by including more  
17 independent parameters in the optimum MLR solution. However, it was found that the  
18 inclusion of more than nine independent parameters did not further reduce the RMSE difference  
19 significantly.

20 **Insert Figure 16**

21 From the MLR analysis conducted for the entire measurement period at Elandsfontein, the  
22 actual MLR equation could be obtained, which is presented as Equation 2. With this equation,  
23 eBC at Elandsfontein could be calculated. The comparisons between actual and calculated  
24 (with Equation 2) eBC concentrations are presented in Figure 17. From this comparison, it is  
25 evident that Equation 2 could be used to calculate/predict eBC at Elandsfontein relatively  
26 accurately.

27  $y = -33.7038 + (0.0050 \times O_3) + (0.0387 \times SO_2) + (0.0006 \times NO_2) + (0.0722 \times H_2S) + (-0.0174$   
28  $\times RH) + (0.0997 \times WS) + (0.0005 \times WD) + (0.0421 \times P) + (2.27433 \times T\text{-grad})$  Eq. 2

29 **Insert Figure 17**

30 In order to use MLR to verify whether the eBC contribution sources were identified correctly  
31 in Section 3.3, MRL analyses were also conducted for the different time periods defined for

1 isolation of the various sources, i.e. December to February for industrial and traffic sources,  
2 June and July for household combustion, and August and September for savannah and grassland  
3 fires.

4 As is indicated in Equation 3 and the top right pane of Figure 16, the optimum MLR solution  
5 obtained for the December to February period included seven independent variables in the  
6 equation. Firstly, the fact that fewer independent variables were required to reduce the RMSE  
7 optimally, if compared with the overall period (top left pane of Figure 16), indicates that the  
8 December to February period is influenced by fewer sources. Secondly, the identity of the  
9 independent variables and the sign (positive or negative) associated with them in Equation 3  
10 are noteworthy. Increased O<sub>3</sub> concentrations led to lower eBC, which indicates that aged air  
11 masses had lower eBC than fresh plumes do. This supports the notion that relatively nearby  
12 industry and traffic sources dominate. The increased eBC, associated with increased NO<sub>2</sub> and  
13 H<sub>2</sub>S concentrations in Equation 3, supports the identity of the specific source types previously  
14 identified, i.e. coal-fired power stations, pyrometallurgical smelters, as well as traffic emissions.  
15 The remaining independent variables in Equation 3 are associated with meteorological  
16 parameters, which could indicate that meteorological patterns (e.g. atmospheric stability as  
17 indicated by T-gradient) could have a significant influence on plumes containing eBC measured  
18 at Elandsfontein.

$$19 \quad y = -30.3494 + (-0.0170 \times O_3) + (0.0002 \times NO_2) + (0.1005 \times H_2S) + (0.1350 \times T) + \\ 20 \quad (0.0102 \times RH) + (0.0338 \times P) + (1.8185 \times T\text{-gradient}) \quad \text{Eq. 3}$$

21 For the June and July periods, Equation 4 and the lower left pane of Figure 16 indicate that the  
22 optimum MLR solution included only four independent variables in the equation. This low  
23 number of independent variables confirm that this time period was dominated by a much less  
24 complicated source mixture than the overall time period. During June to July, it was previously  
25 indicated that household combustion dominated eBC contributions, which is confirmed by the  
26 SO<sub>2</sub>- and NO<sub>2</sub>-associated eBC increases indicated by Equation 4. As stated earlier, the  
27 household combustion plumes measured at Elandsfontein are likely to be NO depleted, due to  
28 the stagnant nature of air masses during the evening and early morning that result in the  
29 oxidation of NO to NO<sub>2</sub>. This phenomenon is also indicated by Equation 3. Lastly, increased  
30 RH will be associated with increased moisture-induced particle growth that could result in  
31 quicker aerosol deposition and therefore reduced eBC levels.

$$32 \quad y = 1.7061 + (0.0453 \times SO_2) + (-0.1059 \times NO) + (0.0855 \times NO_2) + (-0.0191 \times RH) \quad \text{Eq. 4}$$

1 For the August and September periods, Equation 5 and the lower right pane of Figure 16  
2 indicate that the optimum MLR solution included eight independent variables in the equation.  
3 Although not as low as for the June and July period, this low number of independent variables  
4 confirms that the August and September periods were less complicated than the overall time  
5 period. According to Equation 5, increased O<sub>3</sub> for August to September had a positive constant  
6 associated with it, which indicates that aged savannah and grassland fire plumes increase the  
7 eBC concentrations, while the NO<sub>2</sub> and SO<sub>2</sub> positive constant associations and the negative NO  
8 constant association indicate that household combustion still makes contributions during this  
9 time. This makes sense, since August is still regarded as a winter month with significant  
10 household combustion for space heating taking place. However, since the August and  
11 September periods already include warmer spring months (September for both years) with  
12 lower household combustion, the H<sub>2</sub>S, T, RH and T-grad relationships observed in summer also  
13 already make a meaningful contribution.

$$14 \quad y = -2.549 + (0.0511 \times O_3) + (0.0316 \times SO_2) + (-0.5737 \times NO) + (0.1840 \times NO_2) + \\ 15 \quad (0.0433 \times H_2S) + (0.0469 \times T) + (0.0145 \times RH) + (2.4877 \times T\text{-grad}) \quad \text{Eq. 5}$$

#### 16 **4 Summary and Conclusions**

17 This paper presents the most comprehensive eBC spatial and temporal, as well as source  
18 contribution assessments for the South African interior that has been published in the peer-  
19 reviewed public domain to date. Limited EC data was also presented, which expanded the  
20 overall spatial extent covered in the paper.

21 Analyses of eBC and EC spatial concentration patterns at eight sites indicate that concentrations  
22 in the South African interior are in general higher than what has been reported for the developed  
23 world, e.g. Western Europe. The highest levels were observed at Vaal Triangle, which were  
24 attributed to EC emissions from household combustion emanating from in- and semi-formal  
25 settlements, as well as traffic and large points sources. eBC or EC levels at Elandsfontein,  
26 Amerfoort and Marikana were similar, but likely originated from different sources.  
27 Elandsfontein and Amersfoort lie within the well-known NO<sub>2</sub> hotspot over the Mpumalanga  
28 Highveld and are therefore likely to be influenced by industrial activities in this area, while  
29 Marikana is in close proximity to in- and semi-formal settlements. The background sites, i.e.  
30 Welgegund, Botsalano, Louis Trichardt and Skukuza had lower eBC or EC levels. All these  
31 background sites are likely to be affected most by regional savannah and grassland fires, which  
32 are common in southern Africa.



1 Similar seasonal patterns were observed at all three sites where high resolution eBC data were  
2 collected, i.e. Elandsfontein, Marikana and Welgegund, with the highest eBC concentrations  
3 measured in June to October. These months coincide with the cold winter months of June to  
4 August that indicate possible contributions from household combustion, as well as the dry  
5 season on the South African Highveld occurring between May and mid-October, which  
6 indicates contributions from savannah and grassland fires.

7 Diurnal patterns indicated that at Elandsfontein industrial high stack emissions were not the  
8 most significant source, since no peaks were observed after the breakup of lower-level inversion  
9 layers. The diurnal patterns at Marikana revealed household combustion for space heating and  
10 cooking to be the most significant sources. At Welgegund, the most significant source  
11 contributions were most likely regional savannah and grassland fires.

12 Possible contributing eBC sources were explored in greater detail for Elandsfontein only.  
13 Industrial sources could be isolated best during the summer months of December to February,  
14 since very few savannah and grassland fires, as well as household combustion for space heating  
15 occur then. Coincidental plumes of SO<sub>2</sub>, NO<sub>2</sub>, NO and eBC were used to identify plumes from  
16 coal-fired power stations, while coincidental increases of H<sub>2</sub>S and eBC characterised eBC  
17 contributions from pyrometallurgical smelters. During summer, coincidental increases of NO<sub>2</sub>  
18 and eBC were used to identify traffic emissions. The contribution of household combustion  
19 was isolated during the coldest winter months of June and July. Coincidental increases of NO<sub>2</sub>,  
20 SO<sub>2</sub> and H<sub>2</sub>S, with eBC, which did not correlate to NO increases, were found to characterise  
21 household combustion plumes. Back trajectory analyses and wind roses supported the validity  
22 of all the aforementioned source associations. Savannah and grassland fire plumes could not  
23 be isolated since CO was not measured at Elandsfontein. However, the general baseline  
24 increase in eBC levels between September (the peak fire frequency period) and the summer  
25 months (with virtually no savannah and grassland fires) could be calculated and attributed to  
26 savannah and grassland fire eBC emissions. At Elandsfontein, the eBC concentration in  
27 September was comparable to the eBC concentration in June to July, which indicates that at this  
28 location domestic heating and regional scale savannah and grassland fires are equally significant  
29 sources of eBC. Furthermore, MLR analyses supported the seasonality of eBC sources at  
30 Elandsfontein.

31 Although the source strengths of coal-fired power stations, pyro-metallurgical smelters and  
32 traffic emissions were lower than that of household combustion, as well as savannah and

1 grassland fires, the first mentioned sources contribute year round, while the latter only  
2 contributed significantly in May to August, and June to September, respectively. Of the fresh  
3 industrial plumes, the highest eBC concentrations were associated with pyro-metallurgical  
4 smelters. This is a very significant finding, since coal-fired power stations and petrochemical  
5 operations have in the past been blamed for most of the pollution problems on the Mpumalanga  
6 Highveld (mainly due to the NO<sub>2</sub> hotspot over this area). Therefore, pyrometallurgical sources  
7 in this area need to be considered in greater detail in future studies.

8 Lastly, the calculated emission ratios of eBC and gaseous species that were presented could be  
9 used in future studies to assess the eBC emission inventories for industrial and domestic sources  
10 in South Africa.

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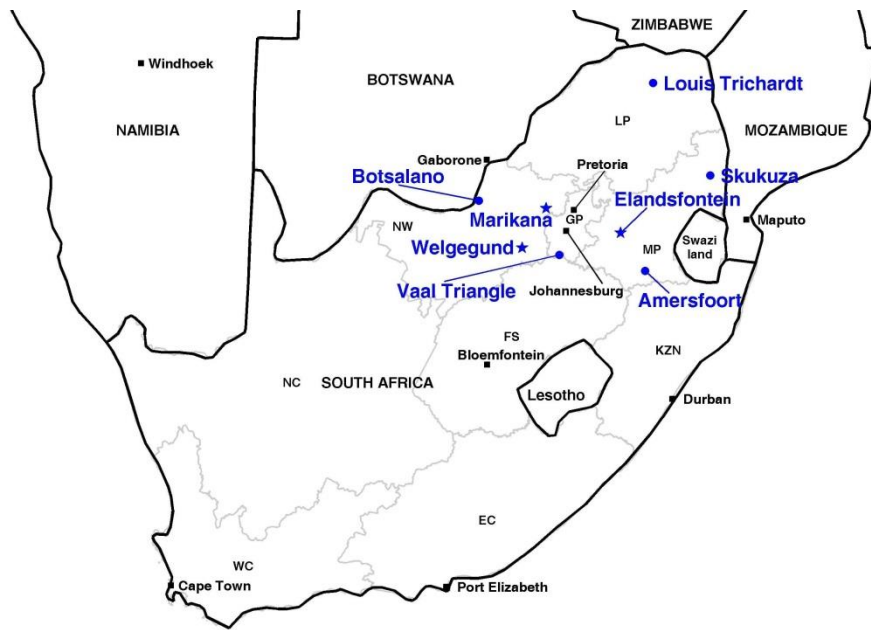
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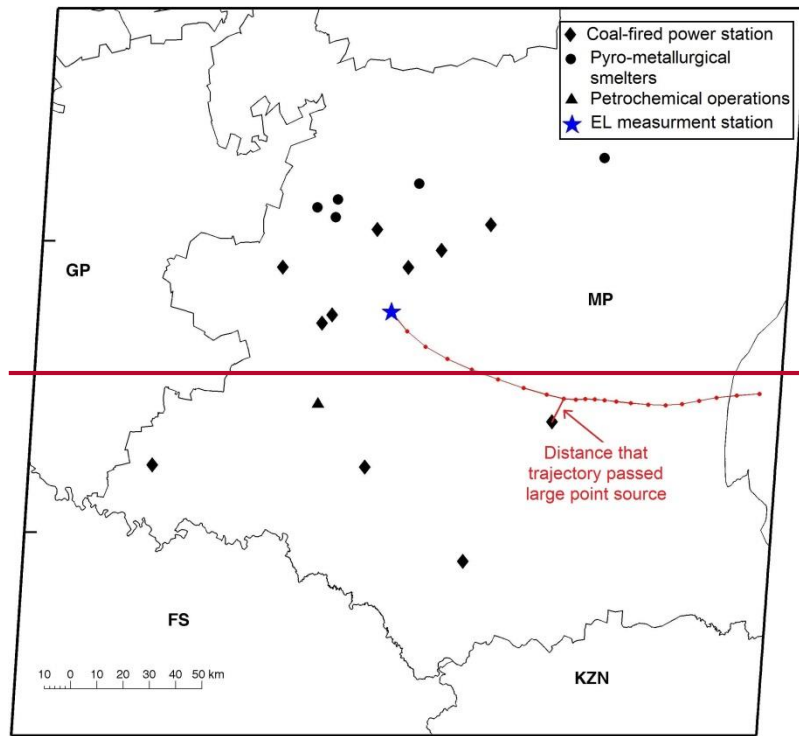
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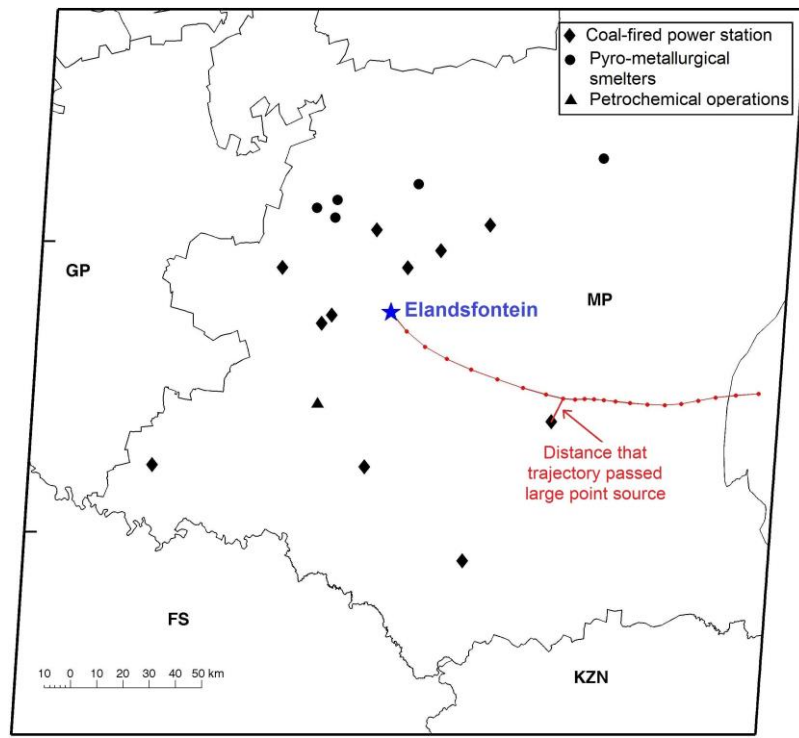


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Figure 1. ~~Locations of the Elandsfontein (EF), Welgegund (WG), Marikana (MA), Louis Trichardt (LT), Skukuza (SK), Vaal Triangle (VT), Amersfoort (AF) and Botsalano (BS) measurement stations within a regional context.~~ The sites (Elandsfontein, Welgegund and Marikana) where continuous high resolution data were gathered are indicated with blue stars, while the sites (Louis Trichardt, Skukuza, Vaal Triangle, Amersfoort and Botsalano) where filters were gathered and analysed off-line are indicated with blue dots. Neighbouring countries, some major cities and South African provincial borders are also indicated for additional regional contextualisation (Provinces: WC = Western Cape; EC = Eastern Cape; NC = Northern Cape; FS = Free State; KZN = KwaZulu-Natal; NW = North West; GP = Gauteng; MP = Mpumalanga and LP = Limpopo).

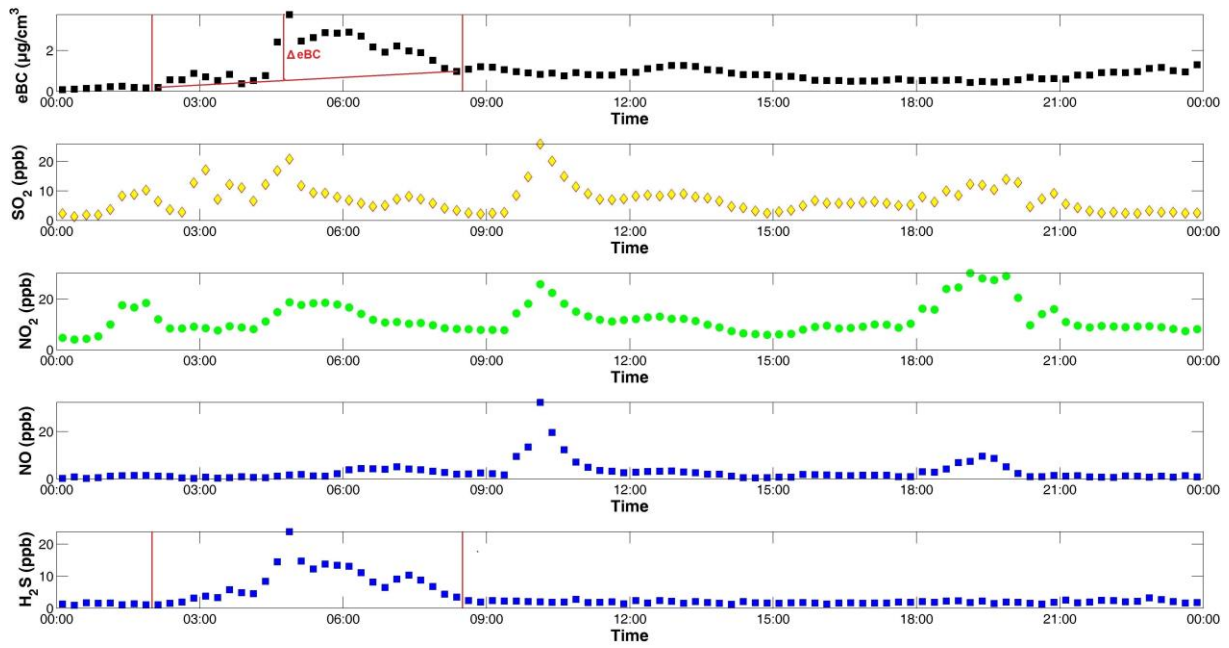


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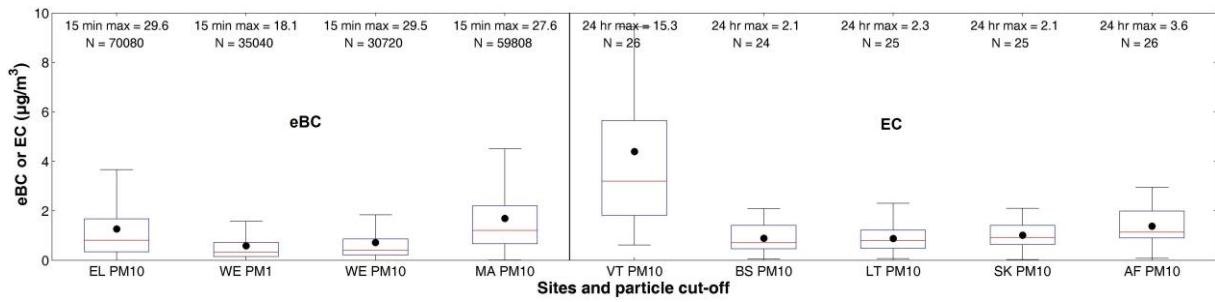


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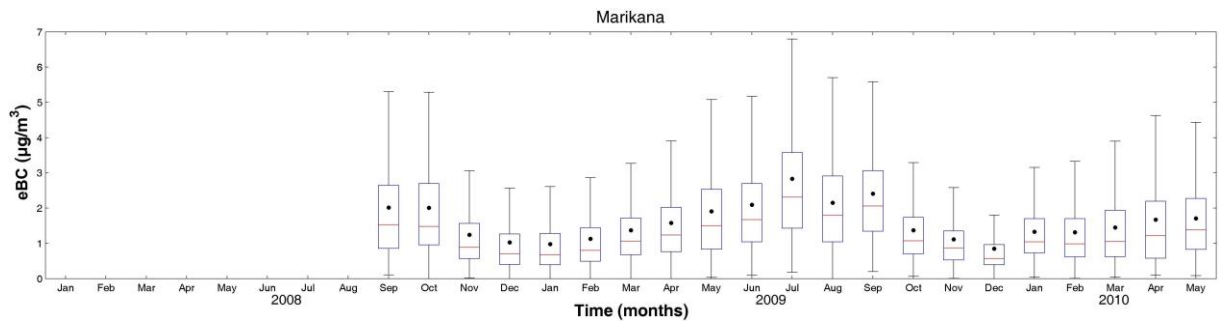
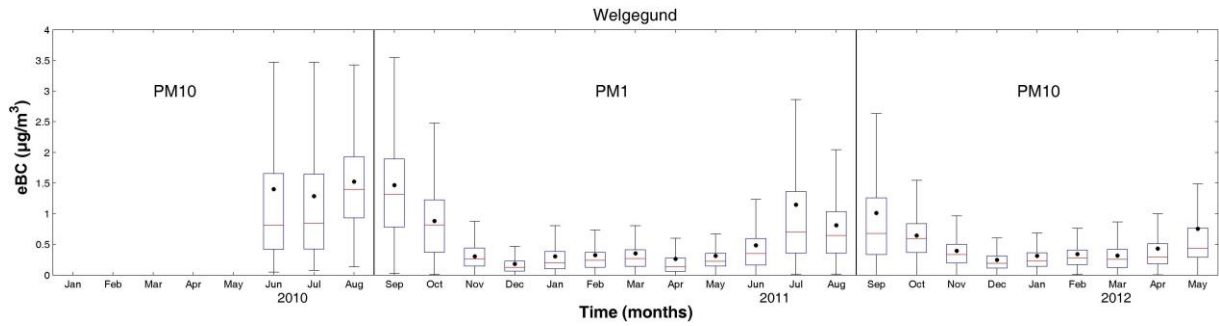
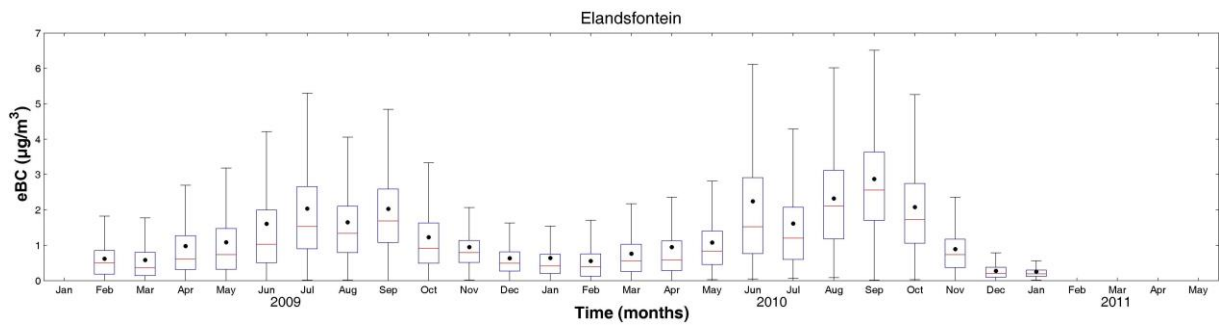
3 Figure 2. Example to illustrate the method applied to determine the shortest distance that each  
 4 24-hour back trajectory passed large point sources and/or in- or semi-formal  
 5 settlements. (Provinces: FS = Free State; KZN = KwaZulu-Natal; NW = North  
 6 West; GP = Gauteng and MP = Mpumalanga)



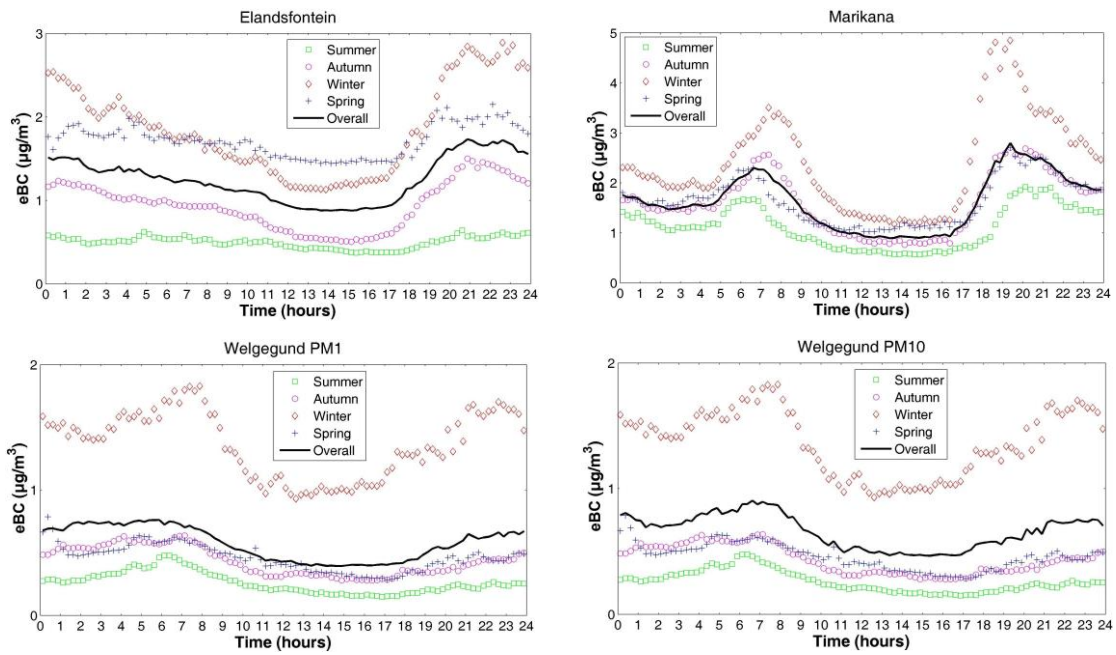
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 2 Figure 3. Example to illustrate how species were correlated with eBC in order to separate  
 3 sources from one another. The excess eBC ( $\Delta \text{eBC}$ ), defined as the eBC  
 4 concentration above the baseline for this example, is also indicated in the top pane.



1  
2 Figure 4. Box and whisker plot indicating statistical eBC mass concentrations at the  
3 Elandsfontein (EL), Welgegund (WE) and Marikana (MA) sites, as well as EC mass  
4 concentrations at the Vaal Triangle (VT), Botsalano (BS), Louis Trichardt (LT),  
5 Skukuza (SK) and Amersfoort (AF) sites. The red line of each box indicates the  
6 median, the black dot the mean, the top and bottom edges of the box the 25<sup>th</sup> and  
7 75<sup>th</sup> percentiles and the whiskers  $\pm 2.7\sigma$  (99.3% coverage if the data has a normal  
8 distribution). The 15-minute and 24-hour maximum mass concentration values  
9 measured at the sites with continuous and off-line analyses, respectively, as well as  
10 the number of measurements (N) are indicated.



4 Figure 5. Monthly statistical distribution of eBC concentrations at the three sites where  
 5 continuous measurement data were gathered, i.e. Elandsfontein, Welgegund and  
 6 Marikana. PM<sub>10</sub> inlets were used at Elandsfontein and Marikana, while  
 7 measurements at Welgegund were conducted with either a PM<sub>1</sub> or PM<sub>10</sub> inlet. The  
 8 red line of each box is the median, the black dots indicate the mean, the top and  
 9 bottom edges of the box are the 25<sup>th</sup> and 75<sup>th</sup> percentiles and the whiskers  $\pm 2.7\sigma$   
 10 (99.3% coverage if the data has a normal distribution).

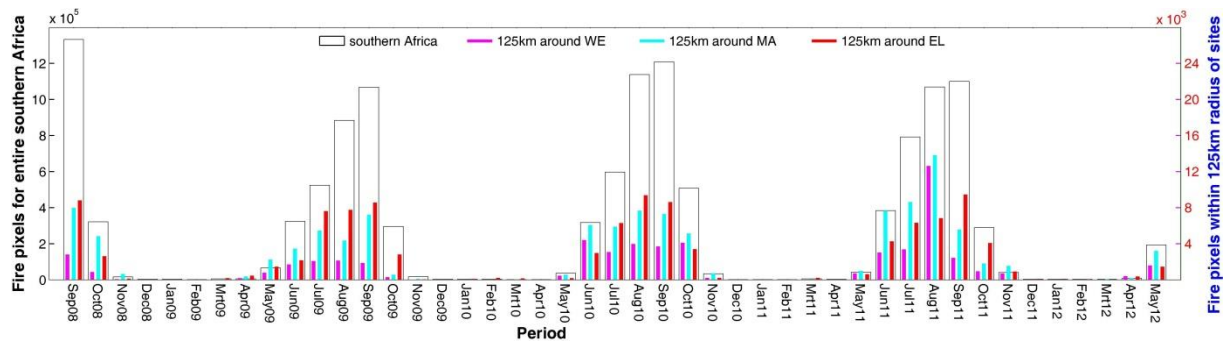


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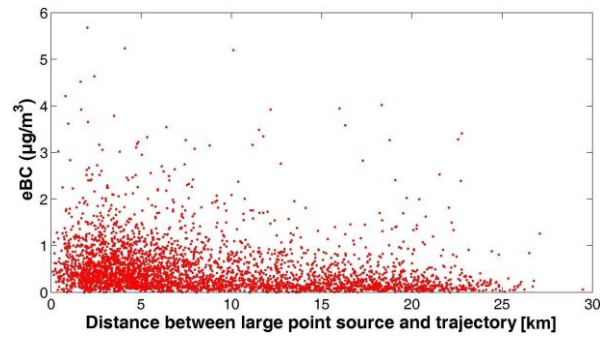
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3 Figure 6. Overall (all the data) and seasonal (each season separately) average eBC diurnal  
 4 patterns observed for Elandsfontein, Welgegund and Marikana. Summer: DJF,  
 5 Autumn: MAM, Winter: JJA and Spring: SON.

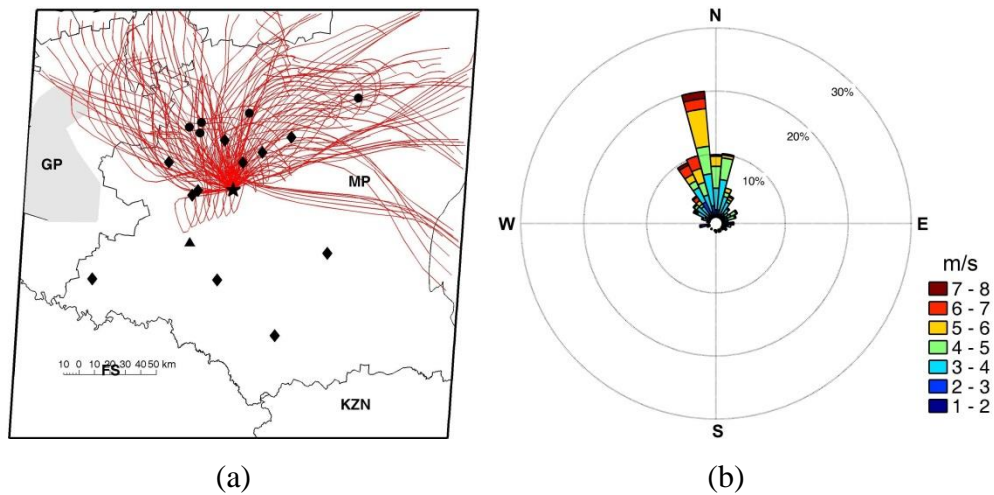




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 2 Figure 7. Fire pixels within the entire southern Africa (10-35°S and 10-41°E) indicated on the  
 3 primary y-axis, as well as fires pixels within a radius of 125 km around  
 4 each Elandsfontein (EL), Marikana (MA) and Welgegund (WG) measurement sites  
 5 indicated on the secondary y-axis, as determined from MODIS collection 5 burned  
 6 area product (Roy et al., 2008).

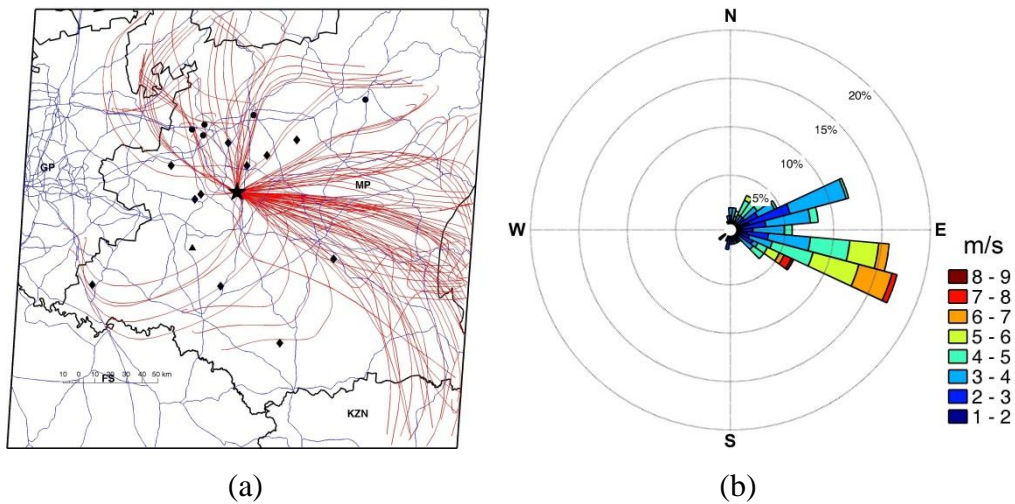


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2 Figure 8. Hourly average eBC concentrations plotted against the shortest distances that  
3 hourly arriving back trajectories passed large point sources during the summer  
4 months, i.e. December to February, at Elandsfontein.



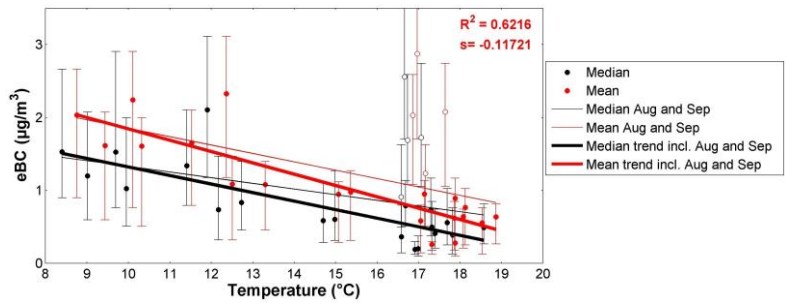
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Figure 9. (a) All 24-hour back trajectories associated with peaks characterised by coincidental increases in eBC and H<sub>2</sub>S during December to February. The Elandsfontein site is indicated by the black star. The black dots indicate pyro-metallurgical smelters and char plants, the black diamonds coal-fired power plants and the black triangle a large petrochemical operation. (b) Wind rose showing the prevailing wind direction during periods when eBC plumes that coincided with H<sub>2</sub>S plumes were observed.



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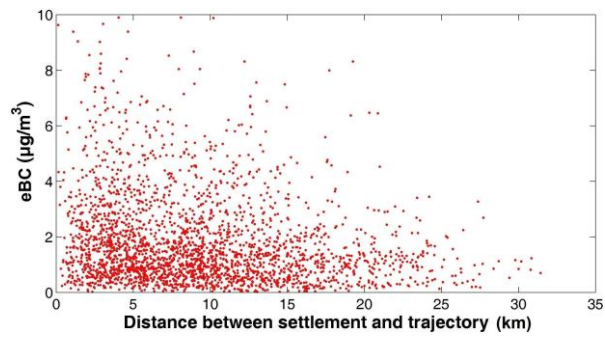
Figure 10. (a) All 24-hour back trajectories associated with peaks characterised by coincidental increases in eBC and NO<sub>2</sub> during December to February. The Elandsfontein site is indicated by the black star. The black dots indicate pyro-metallurgical smelters and char plants, the black diamonds indicate coal-fired power plants and the black triangle a large petrochemical operation. Roads are indicated with blue lines. (b) Wind rose showing the prevailing wind direction during periods when eBC plumes that coincided with NO<sub>2</sub> plumes were observed.



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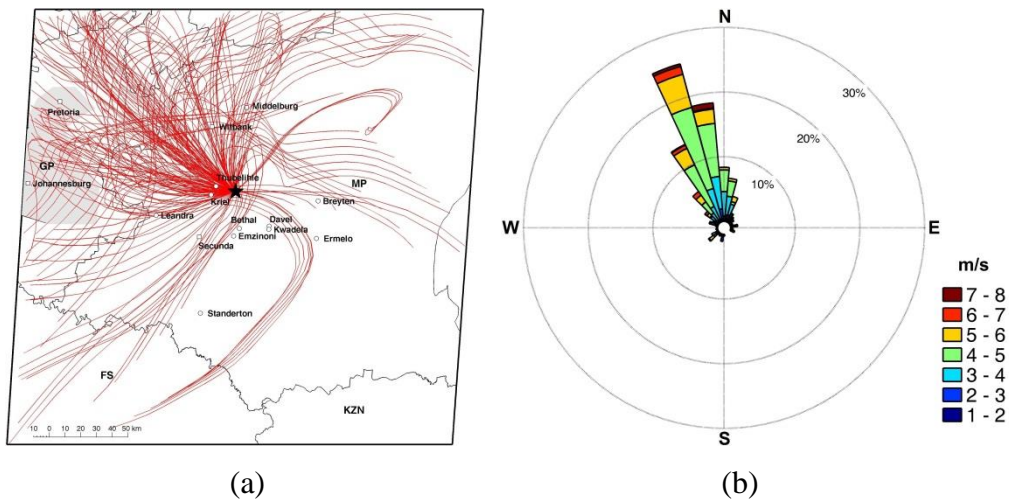
2 Figure 11. Monthly median and mean eBC (with bars indicating 25<sup>th</sup> and 75<sup>th</sup> percentiles)

3 plotted against monthly median and mean temperatures for Elandsfontein.



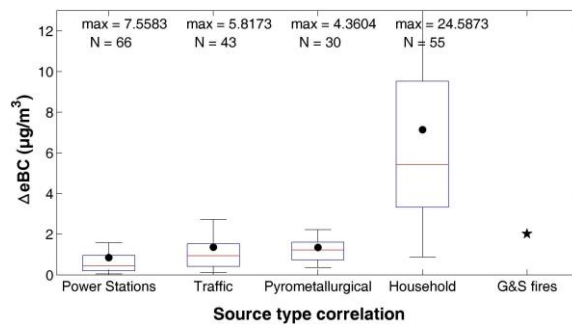
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2 Figure 12. eBC concentration plotted against the shortest distances that hourly arriving back  
3 trajectories passed in- or semi-formal settlements during the winter months of June  
4 and July at Elandsfontein.



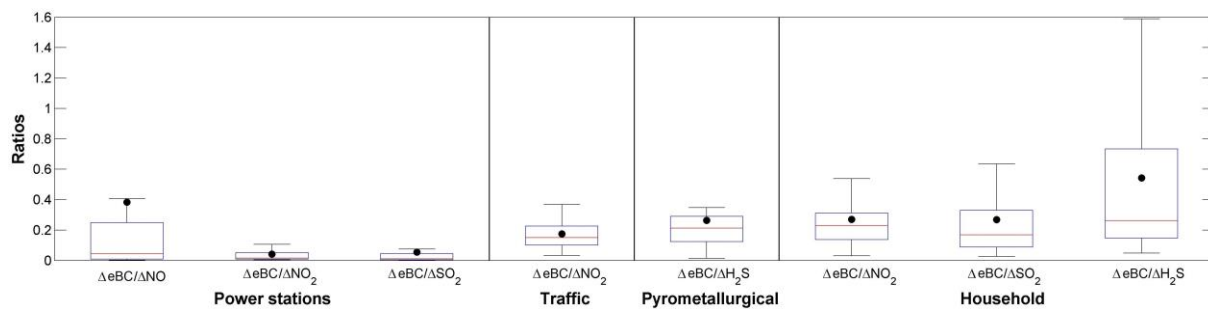


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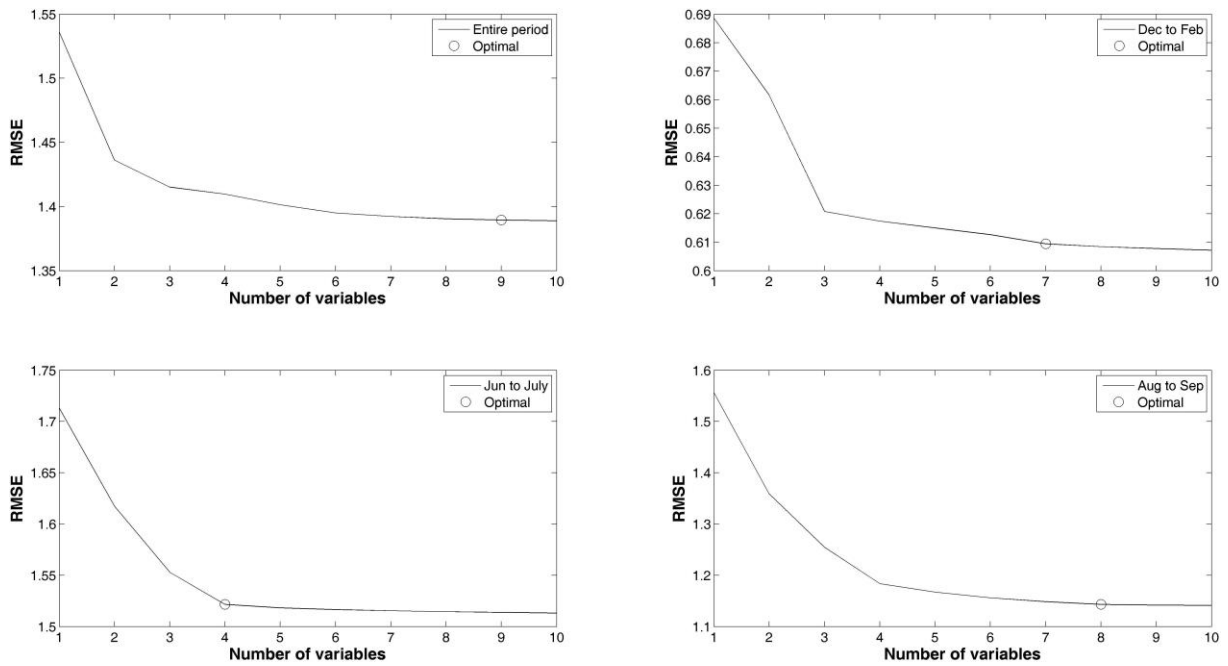
Figure 13. (a) Map indicating 24-hour back trajectories associated with peaks characterised by coincidental increases in eBC with NO<sub>2</sub>, SO<sub>2</sub> and H<sub>2</sub>S, but not NO in June and July. The Elandsfontein site is indicated by the black star. (b) The wind rose associated with arrival times of plumes associated with household combustion is indicated in Figure (b).



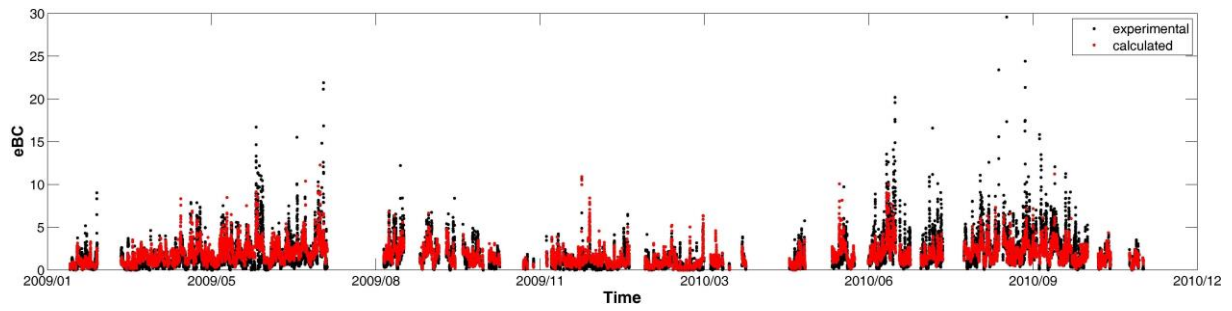
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 2 Figure 14.  $\Delta$  eBC measured during plumes when eBC increases originated from coal-fired  
 3 power station, traffic, pyro-metallurgical smelters and household combustion as  
 4 measured at Elandsfontein. The overall mean baseline increase due to savannah  
 5 and grassland fires in September is also indicated. This data was normalised to  
 6 variations in boundary layer at Elandsfontein (Korhonen et al., 2014).



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 2 Figure 15. Ratio of  $\Delta eBC$  divided by  $\Delta$  of other species relevant to the identification of each  
 3 source type, except for grassland and savannah fires measured at Elandsfontein.



1  
 2 Figure 16. RMSE difference between the MLR calculated eBC and the actual measured eBC  
 3 at Elandsfontein for the entire measurement period (top left pane), as well as the  
 4 December to February (top right pane), June to July (bottom left pane) and August  
 5 to September (bottom right pane) periods individually.



1  
2 Figure 17. Actual eBC compared with calculated (using Eq. 2) for the entire monitoring period  
3 at Elandsfontein.