

Dear Dr. Galmarini:

Below you will find the colleague reviews to this paper, along with our response. Reviewer comments are in black. Responses in *blue italics*. Together with the comments and responses we attach a copy of the manuscript text with changes tracked. The revised manuscript with figures is been uploaded as a separate file. We hope you find these responses adequate to merit publication.

Sincerely,

Alex Marti.

## **1. Dr. Mastin Review**

Page 1, Line 16: change “predicts” to “forecasts”

*Corrected- Thanks!*

Page 2, line 19: Why do you call them time slabs? (rather than time slices or time intervals?). Is a slab a point in time or an interval in time?

*Replaced time slabs for time intervals – Thanks!*

Page 2, line 31. Is NMMB an abbreviation?

*NMMB stands for Nonhydrostatic Multiscale Model on the B-grid. This abbreviation is fully spelled out in Page 3, line 1 - when describing the actual meteorological core of the model.*

Page 3, line 7. Here you mention that the NMMB has low computational cost. Could you add a sentence or two quantifying that? Is it lower, for example, than a WRF simulation; if so, how is it more computationally more efficient? (maybe just note that you'll elaborate later in the article).

*Based on the experience of NCEP, the regional version of the NMMB (meteorology only), with about equal domain and resolution, is several times ( $\geq 2$ ) faster than the ARW.*

*Section 5.5 discusses the computational cost of NMMB/BSC-ASH and its meteorological core (e.g Fig. 13). As suggested, we have included two references to this section:*

*- Page 3, lines 7-8: “... the low computational cost of the NMMB dynamic core presented in this work ...”*

*- Page 3, line 15: “Section 5 discusses the implementation and performance of the model...”*

Page 3, line 38. What do you mean by a rotated latitude/longitude coordinate?

*We follow the Janjic (2003) methodology to rotate the longitude-latitude coordinates in the model in such a way that the coordinate origin is located in the middle of the integration domain. Rotated latitude-longitude grids are employed for regional simulations in order to obtain more uniform grid distances. In this*

particular case, the horizontal discretization is performed on the Arakawa B-grid, with the Equator of the rotated system running through the middle of the integration domain. In this way, the reduction of the longitudinal grid-size is minimized as the southern and the northern boundaries of the integration domain are approached. Figure 1 in Janjic and Gall (2012) illustrates this regular to rotated grid transformation for a domain centered at 38N,92W.

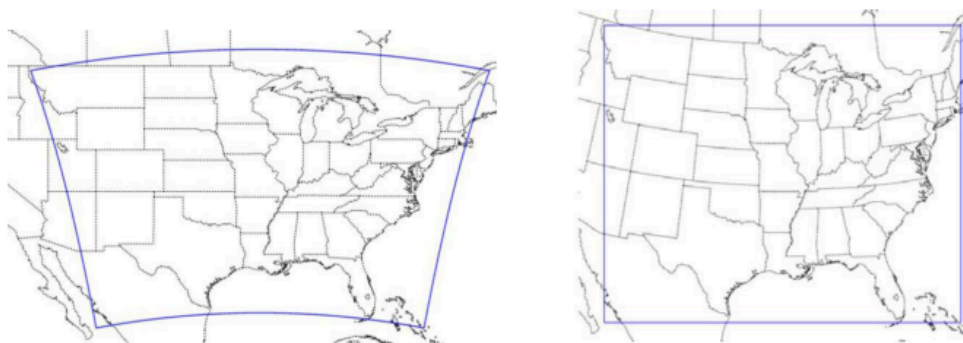


Figure 1. Domain centered at 38N, 92W projected on: left) a regular latitude longitude map background; b) a rotated latitude longitude map background (Janjic and Gall, 2012).

Section 2 (Page 4, lines 1-4): we added a few sentences to describe the rotated lat-lon projection employed in the NMMB/BSC-ASH regional simulations. In addition, we added references to the two cited works above.

Page 4, line 17. Change “wind fields” to “wind field”.

Corrected- Thanks!

Page 4, lines 12-20. Could you explain what you mean by “effective wind fields”? Also perhaps explain the term “coupling interval” on line 19. It would also help to explain more clearly how the offline approach differs from the online approach.

Good point. We have rewritten the introductory paragraph in Section 3 to explain more clearly the difference between the on-line and off-line model approaches, and to clarify the concept of “effective wind fields”.

Section 3 (Page 4, lines 20-27): The on-line version of the model solves both the meteorological and aerosol transport concurrently and consistently (on-line coupling). This strategy allows the particle transport to be automatically tied to the model resolution time and space scales, resulting in a more realistic representation of the meteorological conditions. In contrast, the off-line approach uses an “effective wind field” in which, meteorological conditions (e.g. wind velocity, mid-layer pressure, etc.) are set to constant, and are only updated at specific coupling intervals (i.e. time for which meteorological fluctuations are not explicitly resolved). This strategy replicates the off-line coupling effect of traditional dispersal models used at operational levels (e.g. coupling intervals of 1h or 6h).

Page 5, line 15. “the vertical distribution of the column shape”: do you mean “the vertical distribution of mass in the column”?

Yes; Corrected- Thanks!

Page 5, line 24. Clarify that  $H_{\text{plume}}$  is the total column height above the vent (not above sea level).

*Thanks! Clarification added in Section 3.1.2 (Page 5, lines 24-25): "...: i) point source, where mass is released as a single source point at a certain height above the vent,  $H_{plume}$ ; ..."*

Page 5, equation 4. Is  $S(z)$  in kg/s, or kg/(m s)? Seems like it should be kg/(m s), but the right-hand side of the equation appears to be in dimensions of the MER, i.e. kg/s.

*Equation 4 presents the so-called Suzuki distribution of mass in the column with height,  $S$ , and is given in the dimensions of MER (i.e kg/s).*

*We have removed the term  $(z)$  in  $S(z)$  and, we have also updated the text to:*

*Section 3.1.2 (Page 5, line 28): "...where,  $S$  is the mass per unit of time (kg/s)..."*

Page 6, lines 27-28. "The model is based on a solution of the classical Smoluchowski equation, obtained by introducing a similarity variable and a fractal relationship for the number of primary particles in an aggregate." Is this method described in Costa et al.? If not, you might have to describe it in more detail here.

*The method is well described in Costa et al (2010). In their work, the authors describe a simplified model for volcanic ash aggregation, which is computationally cheaper than the full solution of the Smoluchowski [1917] equation. Section 2 in their work, describes, first the rate of change of number density of particles defined by the classical Smoluchowski equation (Eq. 1), and then their simplified version (Eq. 2) assuming the conservation of particle mass instead of particle volume and that all primary particles have the same density (i.e. information on mass size classes is transferred to the particle classes considered in the transport model).*

*We have kept the Costa et al (2010) reference for further information on the Smoluchowski equation.*

Page 7, line 13. Change "Crank-Nicholson" to "Crank-Nicolson"

*Corrected- Thanks!*

Page 7, lines 17-33. It's interesting that you use the Costa et al. (2013) parameterization for radially spreading umbrella clouds. I would have thought that an online model would have the advantage of considering the momentum of umbrella spreading explicitly.

*The observation is correct. However, the plume model embedded in NMMB/BSC-ASH (FPLUME) is a simple 1D radially-averaged model which is only one-way coupled with meteorology. To include a real 3D plume model would imply a multiphase flow simulation (CFD) near the source, which is far beyond the scope of the model.*

Page 8, lines 4-5. I'm a little confused by the statement that Stokes settling is considered an efficient removal mechanism for small particles ( $<20$ ). Almost no particles of this size are removed from the atmosphere over eruptive time scales without aggregation mechanisms or rainfall scavenging.

*Correct. This paragraph was confusing and not properly expressed. The text now reads "This regime is justified for small particles and aerosols ( $< 20 \mu\text{m}$ ) but calculating fallout times based on settling according to Stokes Law is less adequate*

*for coarse ash ( $> 64 \mu\text{m}$ ), which sediments much faster. In addition, ash particles are not spherical, which complicates and further slows fallout. In order to simulate properly a wider spectrum of particle sizes, NMMB/BSC-ASH adds a new sedimentation module that covers the turbulent regime"*

Page 8, line 16. Change "relaying" to "relying".

*Corrected- Thanks!*

Page 8. Equation 18 needs more explanation. What are the dimensions of  $R_a$  and  $R_s$ ? It seems like they should be in seconds per meter if this equation is to be dimensionally consistent. And  $v_d$  and  $v_s$  are in meters per second? Are those settling velocities? Also, why are the equation numbers not sequential? They go from eq. 4 to eq. 13 to eq. 18!

*Thanks for this observation. The text has been updated to define the dimensions of  $R_a$  and  $R_s$  ( $\text{s} \cdot \text{m}^{-1}$ ) and  $v_s$  and  $v_a$  ( $\text{m} \cdot \text{s}^{-1}$ ). In addition, we have added a sentence to clarify the terms  $R_a$  and  $R_s$ :*

*Section 3.3 (Page 19, lines 1-3): "These terms take into account all the effects of the lowermost layer of the atmosphere, such as turbulence ( $R_a$ ) and Brownian diffusion, impaction and interception ( $R_s$ )."*

*Finally, some of the governing equations of the model are presented as Tables to enhance the flow of the text. For example, Table 6 presents equations 14 to 17. This table should be placed in Page 8 after being referenced.*

Page 9, lines 6-10. Could you define monotonicity and positive definiteness? Not all readers will know what it means. Also define width halos

*Thank you for pointing this out. The text has been updated to clarify those terms.*

*- Section 3.4 (Page 9, lines 16-17): "For these reasons, the model includes a conservative, positive definite (i.e. tracer is a positive scalar) and monotone (i.e. entirely increasing) Eulerian scheme for advection."*

*Additionally, lines 15-19 explain how these conditions are guaranteed in the model.*

*- Section 3.5 (Page 9, lines 32-33): "The Eulerian schemes in the model require relatively narrow and constant width halos (i.e. data points from the computational domain of neighboring sub-domains that are replicated locally for computational convenience), which simplify and reduce communications.*

Page 9, line 10. Change "Nicholson" to "Nicolson".

*Corrected- Thanks!*

Page 10, line 14, change "of weak long-lasting eruptions" to "of a weak, long-lasting eruption."

*Corrected- Thanks!*

Page 9, beginning of Section 4. Could you say a little bit about how these eruptions could be simulated better by an online model than an offline model? Is



there important coupling with the atmosphere in these cases that is not being considered with the offline model?

*This question is in line with that of Page 4, lines 12-20 (i.e. differences on-line vs. on-line approach).*

*Text has been added at the beginning of Section 3 (Page 4, lines 20-27), to clarify why the coupling interval with the meteorology is relevant for ash dispersal forecasts. As stated in the text, off-line coupled models use an “effective wind field” in which, meteorological conditions are set to constant, and are only updated at specific coupling intervals (i.e. time for which meteorological fluctuations are not explicitly resolved). In some cases, Volcanic Ash Advisory Centers (VAACs) use off-line systems with up to 6h-coupling intervals. The inconsistencies and shortcomings of this approach (e.g. inconsistent spatial and temporal interpolation, map projections, dataset inputs, numerical schemes, etc.) could lead to errors in the ash cloud forecast (i.e. inaccurate handling of atmospheric processes with time scales smaller than the NWPM output frequency).*

*These inconsistencies may be especially important when meteorological conditions change rapidly in time, such in the case of the 2011 Caulle eruption. Therefore, the on-line approach employed in NMMB/BSC-ASH is capable to provide more accurate forecasts by removing typical inconsistencies found in traditional off-line TTDM.*

*Along with Section 3, we have updated the text in Section 4 (page 10, lines 17-18) to: “. This event represents a suitable case study of strong long-lasting eruptions with changing winds, which is useful to evaluate the advantages of the on-line approach for operational forecast.”*

Page 10, line 25. Delete “over” after “spanned”

*Corrected- Thanks!*

Page 10, line 26. Change “climatic” to “climactic”

*Corrected- Thanks!*

Page 11, line 1. “a cloud” or “clouds”? Are you talking about the eruption cloud, or meteorological clouds?

*The text has been updated to clarify that we are describing the ash cloud.*

*Section 4.1 (Page 11, lines 13-14): “...The first major episode, on 4 June (18:45 UTC), resulted in an ash cloud (9-10 km) that reached...”*

Page 11, line 4. I’m not sure what makes this episode complementary. Perhaps just say “another episode? Did it occur at the same time as the first episode, or afterwards? At what time did it occur?

*Thanks for this comment. We are updating the manuscript to clarify the volcanic cloud evolution along with the synoptic meteorological situation at the time. In addition Figure 2 has been modified to expand the synoptic meteorology from 6-16*

*June to 4-14 June. Finally, a new reference has been added to include a recent comprehensive chronology study of the eruption (i.e. Elissondo et al., 2016)*

*Section 4.1 (page 11, lines 9-26): “Here, we describe the synoptic meteorological situation during the first two weeks of eruptive activity (Fig. 2), and give a brief chronology of the events in order to compare them with the predictions of the model. The eruption developed as a long-lasting rhyolitic activity with plume heights above the vent between around 9-10 km high a.s.l. (4-6 June), 4 and 9 km during the following week (7-14 June) and < 6 km after 14 June (Global Volcanism Program, GVP, <http://www.volcano.si.edu>; Siebert et al. 2010). The first major episode, on 4 June (18:45 UTC), resulted in an ash cloud (9-10 km) that reached the Chile-Argentina border within the hour of the eruption. On June 5, E-SE winds drove the plume to the Atlantic Ocean (1800 away from the source), leaving a large area of Argentina territory affected by ash fall. On June 6, the plume changed its direction abruptly toward N-NE, reaching the northern regions of the Argentine Patagonia, and then shifted direction again towards SE, threatening the Buenos Aires air space. On June 7, a second episode resulted in a plume (4-9 km) dispersing ash further to the north of Argentina leading to a more recognizable shift of winds over the E-SE. On June 8, the volcanic cloud (9-10 km a.s.l.) dispersed towards NE with a bend toward SE 400 km from the source. On June 9, the plume had a NE direction reaching the city of Buenos Aires and the northern boundary of Paraguay following a frontal zone passing through Patagonia. This resulted in major air traffic disruption at the two international airports that service the city: Aeroparque (AEP) and Ezeiza (EZE), which remained closed intermittently during the following 15 days. Later during the day, the wind turned SE dispersing ash over Uruguay, Brazil and Paraguay. Ash cloud continued to change in direction over the next 6 days, with clouds following the ridge structure to the NE and SE, respectively.”*

**Page 11, lines 6-8. Please indicate which frame in Fig. 2 illustrates your point when describing these changes in wind.**

*We have updated Fig. 2 to illustrate the daily (i.e. 1 frame per day) synoptic meteorological situation from 4 to 14 June. New text (see question above) has been included in the manuscript to describe wind changes for each day/frame (particularly from 4 to 9 June).*

**Page 11, line 8. How is the trough illustrated in Fig. 2?**

*See question above.*

**Page 11, lines 23-24: “Feedback effects of ash particles on meteorology and radiation were not included in this run”. So, what is the value added using this online model?**

*That is a fair comment - thank you. Please find below an explanation of: i) the added value in NMMB/BSC-ASH from traditional tephra dispersal models; ii) the specific objective of this paper and; iii) the upcoming publications complementing this work.*

*On-line (integrated) models are defined as those where the NWPM and TDM are fully integrated in one unified modeling system using one main time-step for*

*integration (i.e. meteorological and aerosol transport solved concurrently and consistently). The intrinsic foreseen advantages of the on-line approach employed in NMMB/BSC-ASH are:*

- 1. More accurate forecasts by removing typical inconsistencies found in traditional off-line TTDM (e.g. inconsistent spatial and temporal interpolation, map projections, dataset inputs, numerical schemes, etc.).*
- 2. High computational efficiency for operational forecasting of volcanic ash clouds (NMMB meteorological core is  $\geq 2\times$  faster than WRF).*
- 3. Minimal maintenance as compared to off-line models since datasets and routines are fully integrated and, therefore, must be changed in only one code.*
- 4. Account for “aerosol-transport” feedbacks (one-way)*
- 5. Account for “aerosols-radiative system” feedbacks (two-way).*

*These advantages are especially relevant in situations where the winds are changing rapidly with time, or the forecast is required to simulate distal ash cloud dispersal.*

*The main purpose of this paper is to provide a description of the model and to evaluate the first 4 advantages listed above. Section 4.2.2 in the manuscript illustrates how NMMB/BSC-ASH could improve traditional TTDM for operational forecasts. A more in depth study comparing On-line vs. Off-line simulations of NMMB/BSC-ASH will be available shortly (Marti et. al – in prep). This study demonstrates that meteorology-transport inconsistencies from off-line models can be, in some cases, in the same order of magnitude that those from the source term.*

*Finally, while the weather determines the transport of the emitted pollutants, their concentration, especially in the case of volcanic aerosols, influences radiative forcing and meteorological events (two-way feedbacks). Despite having a limited effect in smaller eruptions, in some cases (e.g. large explosive eruptions, super-eruptions), the impact of tropospheric volcanic aerosols can be significant, becoming a regional (or even global) radiative forcing of climate (Schmidt et al., 2015). The specific impact of volcanic aerosols on the radiative budget is currently being studied at the BSC, but is not in the scope of this paper.*

Page 11, lines 26-28, “Daily eruption source parameters (ESP) were obtained from Osoreo et al. (2014), who estimated column heights for each eruptive pulse using the Imager Sensor data from the GOES-13 satellite”. Could you be more specific about how height was estimated? By IR brightness temperature, assuming the cloud temperature equaled that of the surrounding atmosphere?

*Column heights in Osoreo et al. (2014) were obtained correlating cloud-top temperatures from GOES-13 IR, assuming that the target behaves as a black body and reaches the thermal equilibrium with that of the surrounding, and with Puerto Montt thermal profile provided by daily radiosondes complementing in situ observations when they were available. This technique was previously used by Swada, 1987,2002 and Holasek et al., 1996.*

*The manuscript has been updated accordingly. Section 4.1.1 (Page 12, lines 3-5): "Daily eruption source parameters (ESP) were obtained from Osores et al. (2014), who estimated column heights for each eruptive pulse using the Imager Sensor data from the GOES-13 satellite, applying the cloud-top IR image technique (Kidder and VonderHaar, 1995)".*

Page 13, lines 7-9. It seems odd that you are running the NMMB/BSC-ASH model at a horizontal resolution of 0.75x1 degree, but initializing it with ERA-interim meteorology at a horizontal resolution of 0.75x0.75 degree. What are you gaining by running the NMMB/BSC-ASH model?

*Yes, but this is true for the initial condition only. However, since NMMB/BSC-ASH is a global model, met variables are updated for transport at each model time integration step. This is not the case of driving transport with ERA-Interim, which is available only 4-times daily. So, even if the spatial resolutions are similar (or slightly coarser in our case), the temporal resolutions are not.*

Page 13, Section 4.1.2. For your global simulation, did you use all grain sizes?

*That's correct.*

*We have updated the text to clarify this. Thank you.*

*Section 4.1.2 (Page 13, lines 23-24): "..., while the rest of the model variables and grain size distribution remained the same as in the regional simulation."*

Page 13, line 9. Change "reinizialized" to "reinitialized"

*Corrected- Thanks!*

Page 13, line 24. Change "airports closure" to "airport closures"

*Corrected- Thanks!*

Page 14, line 24. Change "terrain following grid" to "terrain-following grid". Also, change "the model is used" to "the Fall3d model is used".

*Corrected- Thanks!*

Page 15, lines 32-36. It's interesting that you got better fit to the Etna data using the NMMB/BSC-ASH model than using the Fall3d model. Why do you think you got a better fit? Was the wind field produced by the NMMB/BSC-ASH model very different from that used by Fall3d? The source terms were the same for both models, right? So it had to be the wind field? Where was the wind field different? In Fig. 10, it looks to me like the fits were most improved where thicknesses were highest, and where they were lowest. Why would the NMMB/BSC-ASH model have been better in those places?

*Thank you for this comment.*

*NMMB/BSC-ASH and FALL3D used the same eruption source parameter and meteorological conditions for the 2001 Mt. Etna simulation (i.e. wind fields generated with the meteorological driver in NMMB/BSC-ASH were used to drive FALL3D). There are two main reasons that explain the improved performance of NMMB/BSC-ASH:*

1- Despite that both models use the same meteorological conditions, the on-line version of NMMB/BSC-ASH solves both the meteorological and aerosol transport concurrently and interactively at every time-step (30 sec.). FALL3D, on the other side, only allows solving the transport off-line every 1h. Changes in the wind-field conditions within that period of time leads to cumulative errors in the ash cloud forecast, especially in the distal deposit (e.g. lowest thicknesses).

2. While the source term module in NMMB/BSC-ASH is mainly FALL3D's, the transport of volcanic ash by advection and turbulent diffusion is analogous to those of atmospheric tracers transport (Janjic et al., 2009) in NMMB. This transport scheme uses the Adams-Bashforth scheme for horizontal advection and the Crank-Nicolson scheme for vertical advection. For the horizontal diffusion, the model uses a second order scheme with two types of parameterized dissipative processes: explicit lateral diffusion (often called horizontal diffusion, a 2<sup>nd</sup> order nonlinear Smagorinsky-type approach; Janjic, 1990) and horizontal divergence damping (Janjic and Gall, 2012). In addition to the source term characterization, a representative particle advection during the first hours of the eruption is critical to represent the near deposit (e.g. highest thicknesses).

In general terms, the transport scheme employed in NMMB/BSC-ASH allows for a better representation of the transport of volcanic ash by advection and turbulent diffusion than FALL3D, resulting in better fits as demonstrated in the case of the 2001 Mt. Etna eruption.

Page 17, line 14. I'm curious that you mention gravity current conditions in the source term. This is generally not considered. What do you mean by this? The existence pyroclastic flows that could serve as a source?

*Thank you for this comment.*

*The first part of Section 5.3 describes the different NMMB/BSC-ASH run-specific input files. In particular, we wanted to group all those parameters interacting with the ash module in a single input file (i.e. ash.inp). These parameters include, not only the characterization of the source term, but also the choice of turning on/off the gravity current model altering the particle transport in the umbrella cloud, and the coupling interval the ash input file (ash.inp).*

*To clarify the content of the ash.inp file, we have updated the text as follows – Section 5.3 (Page 17, lines 19-25): “The ash input file (ash.inp), which defines those parameters employed in the ash module. The user-defined parameters include: i) the characterization of the source term: eruption source type, column height and determination of the mass eruption rate, eruption duration, aggregation processes, and particle settling velocity model. In the event of various eruptive phases, the respective ESPs for each phase can be defined; ii) the settings to turn on/off the gravity current model altering the particle transport in the umbrella cloud; and iii) the definition of the coupling strategy (on vs. off-line) employed by the model.”*

Page 18, line 7. Change “climatic” to “climactic”.

*Corrected- Thanks!*



Page 18, line 8. “maximum efficiency for the global simulation described in Table 7 is reached at  $\approx 32$  nodes”. I’m having trouble seeing this in figure 12.

*Good point. The manuscript has been updated to read: “... maximum efficiency for the global simulation described in Table 7 is reached between 32-40 nodes”.*

Page 18, line 31. What does “ $(6 \times 84 + 8)$ ” mean?

*Another good point. Thanks. We updated the text to describe explicitly the domain decomposition.*

*Section 5.5 (Page 18, lines 34-35): “The best domain decomposition resulted in  $6(i) \times 84(j) + 8(w)$ ; where  $i$  and  $j$ , are the number of processors employed in the horizontal and vertical domains respectively, and  $w$ , the number of writing processors.”*

Page 19, line 6. Change “long-rage” to “long-range”

*Corrected- Thanks!*

Page 19, lines 16-17. You say that NMMB/BSC-ASH has been validated against eruptions of Pinatubo, Etna, Chaitén, and Cerdón Caulle. In this paper you only describe Etna and Cerdón Caulle. Should you be citing another study for the validation against Chaitén and Pinatubo?

*Thanks for this comment. We have validated NMMB/BSC-ASH against several volcanic eruptions. The scope of this paper is to show representative eruptions for: i) a strong long-lasting eruptions with changing winds (e.g. 2011 Caulle) and ii) a weak eruption with well-characterized tephra deposits (e.g. 2001 Etna). Additional validations (e.g. Pinatubo eruption) have been presented in conferences (e.g. Marti et al., 2013, 2014). The text has been updated to include these references.*

*A complementary paper comparing the on-line and off-line strategies of the model will illustrate the model results from simulating the 2010 Eyjafjallajökull eruption.*

Table 1, equation 1. You might clarify that  $H_{\text{plume}}$  is the height of the column above THE VENT (not above sea level).

*Corrected- Thanks!*

Table 1, equation 2. I don’t see a definition for  $n$ . Also, do you use a value of 2.8 for  $z_1$ , as Degruyter and Bonadonna do?

*Thanks for this comment.*

*As indicated,  $Z_1$  takes a value of 2.8 (Morton et al., 1956). This is actually defined, along the other constants, directly below Eq. 2.*

*However, you were right in that there was no definition for the constant  $n$ . We have defined  $n$ , as in Degruyter and Bonadonna (2012), to  $\frac{1}{2^{5/2}}$  or  $\sim 0.177$ .*

Table 4:  $k$  and  $\Delta n_f$  are not defined. Also, is  $\Delta n_f$  the number of particles PER UNIT VOLUME that aggregate per unit time?



*The table has been updated to define  $n$  and clarify  $\Delta n_f$ .  $\Delta n_f$  is the Number of particles of a class aggregated per unit volume, and has been defined accordingly on the left side of the equation.*

Figure 2: could you add a symbol indicating the location of Cordon Caulle volcano? Also, please label Argentine Patagonia. And add country boundaries, so we know where Paraguay is when you describe it in the text. It's also not clear why you chose these times to illustrate in this figure. It's not explained in the text or the figure caption

*Thanks for this comment. As mentioned before we have updated Figure 2 to show the synoptic meteorological situation during the first two weeks of eruptive activity. We have also added the suggested labels and country boundaries. Finally, times shown in the original Figure 2 were chosen to be consistent with Figure 3. We have modified the caption in Figure 2 to explain the choice for the new selected days (i.e. first two weeks).*

Figure 3. In the satellite images, you need a scale for brightness temperature difference. And what IR bands were being differenced?

*We have added a scale for brightness temperature as suggested. In addition, the caption of Figure 3 indicates now the IR bands being differentiated (11-12 microns)*

Figure 4. Mention that the color scale on the left is also g/m<sup>2</sup>. One can infer this from the text but it would be good to say it explicitly

*Corrected- Thanks!*

Figure 5. Add latitude and longitude tick marks to the left-hand maps so that they can be more directly compared with the right-hand ones.

*Thanks for this. We have added tick marks and labels on the left-hand maps.*

Figure 6, left plot. Contour labels on this map are too small to read, even when enlarging the map on the computer screen.

*Thanks for this. We have added tick marks and new contour labels on the left-hand plot.*

Figure 9 caption. Perhaps change “predicted deposit load” to “modeled deposit load”.

*Corrected- Thanks!*

### **Additional references**

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## **2. Dr. Sørensen Review**

The fact that the NMMB/BSC-ASH model, which is intended for future operational use, involves two-way on-line coupling between meteorology and dispersion of volcanic ash is obviously an advantage and a step forward. However, the associated computational cost is probably sizable. There are large inherent uncertainties associated with forecasting dispersion of tephra, both regarding the source description and the meteorological parameters. The source model description encompasses the temporal evolution of the release of tephra, the ash column height and the initial vertical distribution of ash, all of which can fluctuate rapidly, as well as the ash particle size distribution. The uncertainty of numerical weather prediction can also be substantial with large effects on dispersion prediction, and a proper description requires use of costly ensemble prediction methods. Thus, the question is if the computational cost of carrying out two-way on-line coupling is justified against the costs of taking into account the uncertainties mentioned? I would appreciate that the authors include a related discussion of such a cost-benefit analysis.

*This is a fair question. Thanks.*

*The manuscript has been updated to accommodate a preliminary discussion regarding the cost-benefit analysis of the NMMB/BSC-ASH over traditional off-line dispersal models. A complementary study to this work is currently undergoing to quantify these benefits comparing the on-line and the off-line coupling approaches in NMMB/BSC-ASH. The magnitude of the model forecast errors implicit in the off-line approach is then compared to that of the source description.*

Section 5.6 (Page 19, lines 10-35):

*“Employing on-line models for operational dispersal forecast requires larger computational resources and is not always feasible at all operational institutes. Nevertheless, due to the increase in computing power of modern systems, one can argue that such gradual migration towards stronger on-line coupling of NWPMs with TDMs poses a challenging but attractive perspective from the scientific point of view for the sake of both high-quality meteorological and volcanic ash forecasting.*

*The focus on volcanic aerosols integrated systems in operational forecast is timely. Experiences from other communities (e.g. air quality) have shown the benefits from two-way online meteorology-chemistry modeling. For example, the importance of the different feedback mechanisms for meteorological and atmospheric composition processes have been previously discussed for models developed in the USA (Zhang, 2008) and Europe (Baklanov et al., 2014). These benefits have been recently stressed by several studies covering the analysis of the aerosol-transport and aerosol-radiation feedbacks onto meteorology from the air quality model evaluation international initiative (AQMEII) in its phase 2 (Alapaty et al., 2012; Galmarini et al., 2015) and the EuMetChem COST Action ES1004 (EuMetChem, <http://eumetchem.info>)*

*Demonstrating these benefits however, require running the on-line model with and without feedbacks over extended periods of time. For the particular case of volcanic aerosols, further research is still required to quantify the benefits posed by on-line couple models over traditional off-line TTDM on both atmospheric transport and the radiative budget. The Barcelona Supercomputing Center is currently working to quantify these benefits with the NMMB/BSC-ASH model, and assess how the magnitude of the model forecast errors implicit in the off-line approach compares with other better-constrained sources of forecast error, e.g. uncertainties in eruption source parameters. Preliminary results from this study indicate that meteorology-transport inconsistencies from off-line models can be, in some cases, in the same order of magnitude that those associated to the eruption source parameters. In terms of computational cost, the computational efficiency of the NMMB/BSC-ASH meteorological core allows for on-line integrated operational forecasts employing an equivalent computational time than FALL3D for the same computational domain and number of processing cores.”*

*Finally, the feedback effects of volcanic aerosols on the radiative budget (aerosol-radiation) are currently under investigation at the BSC. However, results from other aerosol studies indicate that these feedbacks are also significant in cases where the aerosol optical depth is  $\geq 3$ . This would be the case, for example, for strong African and Mediterranean dust intrusions (e.g Pérez et al., 2006), heat waves or fires (e.g Baró et al., 2017; Forkel et al., 2016).*

Furthermore, I expect that the effect of on-line coupling is significant only fairly close to the eruption site, where the ash plume influences the radiation budget and the meteorological parameters. Please, comment.

*Thanks for this comment. As pointed out by the reviewer, the feedback effect of volcanic aerosols on the radiative budget is especially important near the source term. However, feedback effects can also be significant for long-range transport when the aerosol optical depth is big ( $AOD \geq 3$ ). An example of this is discussed in Pérez et al. (2006), where the authors showed that, for a major dust outbreak over the Mediterranean on April 2002, the dust-radiation interaction scheme embedded into the NCEP/Eta NWP limited-area model increased accuracy for both atmospheric temperature and mean sea-level pressure forecasts across the computational domain.*

*In addition, on-line coupling systems also have significant effects in the transport of volcanic aerosols (meteorology-transport feedback). This effect is important for both proximal and long-range simulations. For the proximal deposit, a representative particle advection during the first hours of the eruption is key to represent the transport and depositions of coarse particles. For the distal deposit, on-line couple models are capable to minimize the dispersion error accumulated by off-line models (from coupling intervals; i.e. time for which meteorological fluctuations are not explicitly resolved).*

The NMMB/BSC-ASH model is optimized for running on an HPC facility by employing distributed-memory parallelization (MPI). However, modern and future HPC facilities are, and will be, based on multi- or many-core processors, and thus shared-memory parallelization and thread scalability, as well as vectorization (AVX), is essential for obtaining significant performance on future HPC facilities. The authors are encouraged to comment on the model's thread scalability properties, and on possibilities for using e.g. OpenMP and OpenACL on the model code.

*The performance analysis of a parallel code can be a challenging task. This is especially true in operational forecast where there can be multiple performance bottlenecks caused from different fields.*

*Model parallelization in NMMB/BSC-ASH is based on the well-established Message Passing Interface (MPI) library. The computational domain is decomposed into sub-domains of nearly equal size in order to balance the computational load, where each processor is in charge to solve the model equations in one sub-domain. The numerical performance and scalability of the model are presented in Section 3.5 and 5.5 in the manuscript, respectively.*

*The performance analysis of the NMMB/BSC chemical transport model has also been evaluated to identify various bottlenecks. In particular, Markomanolis et al. (2014) studied the differences between some model configurations of the model depending on the usage of extra modules. In this study they evaluated eight different topics (e.g. processor affinity, hardware encounters, domain decomposition, mapping, load imbalance issues, scalability, etc.) that could limit the scalability of the model. Their experiments used OpenMPI 1.5.4 and Intel Fortran 13.0.1. Their study identifies which computation parts of the code need to be improved and the possible reasons for the downgrade performance. Their work*

*also illustrated, amongst other things, the importance of the processor affinity for computation intensive models and the domain decomposition across the participated nodes, and the generic load imbalance issues common for most models. The model performance could be improved through code vectorization and fix serialization procedures in the future.*

*Additional efforts are also currently undergoing to use the programming model OmpSs in order to investigate and improve the performance of the NMMB/BSC chemical transport model model. The objective here is to convert some computation phases to tasks and execute them efficiently by identifying the dependencies between them. Some preliminary results have been presented here:*

- Optimizing an Earth Science Atmospheric Application with the OmpSs Programming Model. George S. Markomanolis, Barcelona Supercomputing Center, PRACE Scientific and Industrial Conference 2014, Barcelona, Spain.*
- Optimizing an Earth Science Atmospheric Application with the OmpSs Programming Model. G.S. Markomanolis, 16th HPC workshop on meteorology, ECMWF, Reading, UK, 2014*

In the caption of Fig. 2, the word Europe should probably be replaced by South America.

*Corrected- Thanks!*

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# Volcanic ash modeling with the on-line NMMB/BSC-ASH-v1.0 model: model description, case simulation and evaluation

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## Abstract

Traditionally, tephra transport and dispersal models have evolved decoupled (off-line) from numerical weather prediction models. There is a concern that inconsistencies and shortcomings associated to this coupling strategy might lead to errors in the ash cloud forecast. Despite this concern, and the significant progress to improve the accuracy of tephra dispersal models in the aftermath of the 2010 Eyjafjallajökull and 2011 Cordón Caulle eruptions, to date, no operational on-line dispersal model is available to forecast volcanic ash. Here, we describe and evaluate NMMB/BSC-ASH, a new on-line multiscale meteorological and transport model that attempts to pioneer the forecast of volcanic aerosols at operational level. The model [forecasts](#) volcanic ash cloud trajectories, concentration of ash at relevant flight levels, and the expected deposit thickness for both regional and global configurations. Its on-line coupling approach improves the current state-of-the-art of tephra dispersal models, especially in situations where meteorological conditions are changing rapidly in time, two-way feedbacks are significant, or distal ash cloud dispersal simulations are required. This work presents the model application for the first phases of the 2011 Cordón Caulle and 2001 Mt. Etna eruptions. The computational efficiency of NMMB/BSC-ASH and its application results compare favorably with other long-range tephra dispersal models, supporting its operational implementation.

**Keywords:** volcanic ash, on-line coupling, transport-meteorological modeling, operational forecast, NWPM, TTDM, Cordón Caulle, Mt. Etna.

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## 1 Introduction

Explosive volcanic eruptions can eject large quantities of particulate matter (tephra) that, along with other aerosol droplets and trace gases, are carried upwards into the atmosphere by the buoyant eruption column and then dispersed by winds aloft (e.g. Sparks et al., 1997). Tephra particles smaller than 2 mm in diameter, technically defined as volcanic ash (Schmid, 1981), can spread over large distances away from the source forming ash clouds that jeopardize air-traffic (Casadevall, 1993), airports (Guffanti et al., 2009) and, for very large eruptions, alter both atmospheric composition and chemistry (Myhre et al., 2013; Self, 2006). Tephra Transport and Dispersal Models (TTDMs, e.g. Folch, 2012) are used to simulate the atmospheric transport, dispersion and ground deposition of tephra, and to generate operational short-term forecasts to support civil aviation and emergency management. The recent eruptions of Eyjafjallajökull (Iceland) in 2010 and Cordón Caulle (Chile) in 2011 have reinforced the importance of tephra dispersal in the context of global aviation safety. In addition to short-term forecast, other model applications include the reconstruction of past events, studying the impact of volcano eruptions on climate, probabilistic tephra hazard assessments or simulation of recent eruptions for model evaluation purposes. For any of those cases, TTDMs require a driving Numerical Weather Prediction Model (NWPM) or a meteorological reanalysis dataset for the description of the atmospheric conditions, and an emission or source model for the characterization of the eruption column (Fig. 1).

Traditionally, TTDMs have evolved decoupled (off-line) from NWPMs. In the off-line strategy, the meteorological driver runs *a priori* and independently of the TTDM to produce the required meteorological fields at regular time intervals. Meteorological data is then furnished to the TTDM, which commonly assumes constant values for the meteorological fields during each time interval or, at most, performs a linear interpolation in time. Although the off-line approach is operationally advantageous, there is a concern that it can lead to a number of accuracy issues (e.g. inaccurate handling of atmospheric processes) and limitations (e.g. neglect of feedback effects) that can be corrected by on-line approaches (Grell et al., 2004). These inconsistencies are especially important when meteorological conditions change rapidly in time or for long-range transport. However, uncertainties arising from off-line systems have received little attention, even if the experience from other communities (e.g. air quality) highlights the importance of coupling on-line dispersal and meteorological models (e.g. Baklanov et al., 2014; Grell and Baklanov, 2011). To date, only the Weather Research and Forecasting model coupled to Chemistry (WRF-Chem; Grell et al., 2005) includes a coupled functionality that allows simulating emission, transport, dispersion, transformation and sedimentation of pollutants released during volcanic activities (Stuefer et al., 2013).

In this paper we describe and evaluate NMMB/BSC-ASH, a new on-line meteorological and atmospheric transport model to simulate the emission, transport and deposition of ash (tephra) particles released from volcanic eruptions. The model predicts ash cloud trajectories, concentration of ash at relevant flight levels, and the expected deposit thickness for both regional and global domains. The novel on-line coupling in NMMB/BSC-ASH allows solving both the meteorological and aerosol transport concurrently and interactively at every time-step. This coupling strategy aims at improving the current state-of-the-art of tephra dispersal models, especially in situations where meteorological conditions are changing rapidly in time, two-way feedbacks are significant, or distal ash cloud dispersal simulations are required. The model builds on the NMMB/BSC Chemical Transport Model (NMMB/BSC-CTM; Jorba et al., 2012; Pérez et al., 2011) to represent the transport

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1 of volcanic particles. Its meteorological core, the Non-hydrostatic Multiscale Model on a B grid (NMMB; Janjic  
2 and Black, 2007; Janjic and Gall, 2012; Janjic, 2005; Janjic et al., 2011), allows for nested global-regional  
3 atmospheric simulations by using consistent physics and dynamics formulations. The final objective in  
4 developing NMMB/BSC-ASH is two-fold. On one hand, at a research level, we aim at studying the differences  
5 between the on-line/off-line modeling strategies. Moreover, a second version of the model is projected to  
6 quantify the feedback effects of dense volcanic ash clouds from large explosive eruptions on the radiative budget  
7 and local meteorology. On the other hand, at an operational level, the low computational cost of the NMMB  
8 dynamic core [presented in this work](#) suggests that NMMB/BSC-ASH could be applied for more accurate on-line  
9 operational forecasting of volcanic ash clouds. Consequently, the focus on developing an on-line volcanic ash  
10 model is timely.

11 [The remainder of the manuscript](#) is arranged as follows: Section 2 summarizes the modeling background and the  
12 standard physical schemes employed in NMMB/BSC-ASH; Section 3 provides a comprehensive description of  
13 the ash related modules, including details about the emission, transport, and deposition of volcanic particles;  
14 Section 4 validates the regional and global configurations of the model [for the 2001 Mt. Etna and 2011 Cordón](#)  
15 [Caulle long-lasting eruptions](#); Section 5 discusses the implementation [and performance](#) of the model for its  
16 operational use and; finally, Section 6 provides a summary [and](#) conclusion of this work.

17

## 18 2 Modeling background

19 NMMB/BSC-ASH is a novel on-line multi-scale meteorological and atmospheric transport model developed at  
20 the Barcelona Supercomputing Center (BSC). The model attempts to pioneer the forecast of volcanic aerosols by  
21 embedding a series of new modules on the BSC's operational system for short/mid-term chemical weather  
22 forecasts (NMMB/BSC-CTM) developed at the BSC in collaboration with the U.S National Centers for  
23 Environmental Prediction (NCEP) and the NASA Goddard Institute for Space Studies. The development of the  
24 volcanic ash module follows the implementation of the mineral dust (Pérez et al., 2011) and sea-salt (Spada et  
25 al., 2013) modules in NMMB/BSC-CTM, and allows for a range of different physical parameterizations for  
26 research and operational use. The system allows for feedback processes among gases, aerosol particles and  
27 radiation, and includes a gas-phase module to simulate tropospheric gas-phase chemistry (Badia et al., 2016;  
28 Jorba et al., 2012).

29 Its meteorological core, the Non-hydrostatic Multiscale Model on the B grid (NMMB), is a fully compressible  
30 meteorological model with a non-hydrostatic option that allows for nested global-regional atmospheric  
31 simulations by using consistent physics and dynamics formulations. The standard physical and numerical  
32 schemes employed in NMMB are summarized in Table 1. The non-hydrostatic dynamics were designed to avoid  
33 over-specification. The cost of the extra non-hydrostatic dynamics is about 20% of the cost of the hydrostatic  
34 part, both in terms of computer time and memory (Janjic, 2001, 2003). The numerical schemes for the  
35 hydrostatic and nonhydrostatic options available in the NMMB dynamic solver were designed following the  
36 principles found in Janjic (1977) and developed and modified thereafter (Janjic, 1979, 1984, 2003) and are  
37 summarized in Janjic and Gall (2012). The Arakawa B-grid horizontal staggering is applied in the horizontal  
38 coordinate employing a rotated latitude-longitude coordinate for regional domains and latitude-longitude

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coordinate (Janjic, 2003) with polar filtering for global domains. Rotated latitude-longitude grids are employed for regional simulations in order to obtain more uniform grid distances. In this particular case, the Equator of the rotated system runs through the middle of the integration domain, reducing the longitudinal grid-size as the southern and the northern boundaries of the integration domain are approached (Janjic and Gall, 2012). In the vertical, the Lorenz staggering vertical grid is used with a hybrid sigma-pressure coordinate. The general time integration philosophy in NMMB uses explicit schemes when possible for accuracy, computational efficiency and coding transparency (e.g., horizontal advection), and implicit for very fast processes that would otherwise require a restrictively short time-step for numerical stability with explicit differencing (e.g., vertical advection and diffusion, vertically propagating sound waves). The NMMB model became the North American Mesoscale (NAM) operational meteorological model in October of 2011, and it has been computationally robust, efficient and reliable in operational applications and pre-operational tests since then. In high-resolution NWP applications, the efficiency of the model significantly exceeds those of several established state-of-the-art non-hydrostatic models (e.g. Janjic and Gall, 2012).

### 3 The volcanic ash module: BSC-ASH

The BSC-ASH module is embedded within the NMMB meteorological model and solves the mass balance equation for volcanic ash taking into account: i) the characterization of the source term (emissions); ii) the transport of volcanic particles (advection/diffusion); and iii) the particle removal mechanisms (sedimentation/deposition). The coupling strategy of BSC-ASH can be turned on or off, depending on the solution required (on-line vs. off-line). The on-line version of the model solves both the meteorological and aerosol transport concurrently and consistently (on-line coupling). This strategy allows the particle transport to be automatically tied to the model resolution time and space scales, resulting in a more realistic representation of the meteorological conditions. In contrast, the off-line approach uses an “effective wind field” in which meteorological conditions (e.g. wind velocity, mid-layer pressure, etc.) are set to constant, and are only updated at specific coupling intervals (i.e. time for which meteorological fluctuations are not explicitly resolved). This strategy replicates the off-line coupling effect of traditional dispersal models used at operational levels (e.g. coupling intervals of 1h or 6h). The conservativeness of the model is evaluated to ensure that the ash transport scheme is consistent with the mass conservation equation.

#### 3.1 Source term

Explosive volcanic eruptions release large amounts of particles into the atmosphere. These particles, commonly known as tephra, mix with ambient air to form an eruption column or volcanic plume. To forecast the ash cloud movement and provide actual ashfall concentrations, tephra dispersal models require a complete characterization of the parameters describing the source term. These parameters are generally referred to as Eruption Source Parameters (ESPs) and include the eruption start and duration, column height, mass eruption rate (MER), vertical distribution of mass and the particle grain size distribution (GSD). ESPs vary not only from one eruption to another, but also during the different eruptive phases of a single event.

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Typically, the eruption starting time, duration and column height are inferred/constrained from visual or satellite observations. However, other parameters such GSD, MER, or the vertical distribution of mass in the column are not available in real-time and must be inferred from previous events of similar characteristics (e.g. Mastin et al., 2009). Uncertainties in source parameter values are a key factor limiting the accuracy of ash-cloud model forecasts (Bonadonna et al., 2015a). The characterization of each ESP in NMMB/BSC-ASH is described in the following subsections.

### 3.1.1 Mass eruption rate

The Mass Eruption Rate (MER) gives the mass released by unit of time and defines the eruption intensity. Its characterization in NMMB/BSC-ASH is achieved by employing a series of empirical correlations between (observed) column height and eruption rate, which, according to plume similarity theory, scales roughly as the 4<sup>th</sup> power of height. Because of this strong dependence, uncertainties within 20% in the determination of column height can translate into uncertainties up to 70% for the MER (e.g., Biass and Bonadonna, 2011). Averaged column heights of eruptions that have not been directly observed are typically derived from characteristics of tephra deposits (e.g. Bonadonna and Costa, 2013; Carey and Sparks, 1986; Pyle, 1989), or derived from model inversion (e.g. Connor and Connor, 2006; Pfeiffer et al., 2005).

The empirical correlations to estimate MER in the model are described in Table 2, and are based either on fitting observations (e.g. Mastin et al., 2009), or more sophisticated fits accounting for wind bent-over effects (e.g. Degruyter and Bonadonna, 2012; Woodhouse et al., 2013). In addition, MER can also be derived using a more sophisticated 1-D plume model (see Sect. 3.1.5).

### 3.1.2 Vertical distribution of mass

The vertical distribution of mass in the column at the vent location is key when representing the plume, especially if wind shear exists with elevation at the volcano (Lin, 2012). To determine this distribution of mass, NMMB/BSC-ASH allows for the following geometrical distributions: i) point source, where mass is released as a single source point at a certain height above the vent,  $H_{plume}$ ; ii) top-hat, where mass is released along a umbrella-type slab of user-defined thickness, and iii) the so-called Suzuki distribution (Suzuki, 1983; Pfeiffer et al., 2005), which assumes a more complex vertical distribution of mass release along the eruption column;

$$S = MER \left\{ \left( 1 - \frac{z}{H_{plume}} \right) \exp \left[ A \left( \frac{z}{H_{plume}} - 1 \right) \right] \right\}^{\lambda} \quad (4)$$

where,  $S$  is the mass per unit of time (kg/s) released at a given height  $z$  above the vent,  $MER$  is the total mass eruption rate,  $H_{plume}$  is the column height above the vent,  $A$  and  $\lambda$  are the so-called Suzuki parameters. The parameter  $A$  dictates the height of the maximum particle release (concentration), whereas  $\lambda$  controls how closely mass distributes around this maximum. Any of the 3 options above can be combined independently with the different options for MER estimation. In NMMB/BSC-ASH, the terrain following hybrid sigma-pressure vertical

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1 levels of the model must be converted to elevations for each model integration time-step in order to interpolate  
2 *MER* from the discrete source points into the nodes of the model grid.

### 3 3.1.3 Grain size distribution

4 The impact of explosive volcanic eruptions on climate and air traffic strongly depends on the concentration and  
5 grain size distribution (GSD) of pyroclastic fragments injected into the atmosphere (e.g. Girault et al., 2014).  
6 Grain size distribution is normally reconstructed by volcanologists from grain size data at individual outcrops,  
7 ranging from basic unweighted average of the GSD at individual sparse outcrops, to various integration methods  
8 of grain size data (e.g. Rose and Durant, 2009). The particle grain size distribution in NMMB/BSC-ASH is  
9 specified through an input file, which defines the particle bin properties (bin mass fraction, diameter, density and  
10 shape factor). In volcanology, grain size distributions are given in terms of the  $\Phi$ , defined as  $d = 2^{-\Phi}$ , where  $d$   
11 is the particle diameter in mm. The granulometry file in the model can be furnished by the user (typically derived  
12 from field data) or generated by an external utility program which produces Gaussian and Bi-Gaussian  
13 distributions in  $\Phi$  (log-normal in diameter  $d$ ) (Costa et al., 2016; Folch et al., 2009).

### 14 3.1.4 Particle aggregation

15 The total grain size distribution (TGSD) erupted at the vent can be altered in case of particle aggregation, which  
16 dramatically impacts particle transport dynamics thereby reducing the atmospheric residence time of aggregating  
17 particles and promoting the premature fallout of fine ash. For computational purposes, particle aggregation in  
18 NMMB/BSC-ASH is assumed to take place mainly in the eruption column, where particle concentration and  
19 water contents are higher (the subsequent formation of aggregates downstream in the ash cloud under the  
20 appropriate atmospheric conditions is not contemplated by the model). The model considers aggregates as  
21 another particle class (bin), introduced as a standard source term by either solving: i) a series of simple analytical  
22 expressions based on field observations or, ii) a more sophisticated wet aggregation model originally proposed  
23 by Costa et al. (2010).

24 The analytical expressions available in the model modify the user-given particle grain size distribution by  
25 assuming that a certain mass fraction of each granulometric class forms a new aggregate class added to the  
26 TGSD. Despite the obvious limitations (obviates the physics of aggregation processes), these field-based  
27 simplistic approaches are advantageous in that only the source term has to be modified in order to account for  
28 aggregation. Table 3 provides an overview of these options. In addition to these empirical aggregation schemes,  
29 NMMB/BSC-ASH also includes the wet aggregation model originally proposed by Costa et al. (2010). This  
30 option allows for wet aggregation in the column providing an intermediate solution between the unaffordable all-  
31 size class approach and the empirical solutions presented before. The model is based on a solution of the  
32 classical Smoluchowski equation, obtained by introducing a similarity variable and a fractal relationship for the  
33 number of primary particles in an aggregate. It also considers three different mechanisms for particle collision:  
34 Brownian motion, ambient fluid shear, and differential sedimentation. Table 4 provides an overview of the  
35 governing equations of this wet aggregation model.

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### 3.1.5 FPlume model

A more sophisticated approach to obtain MER and the mass distribution in the column from the conditions at the vent consists of solving a 1-D radially averaged BPT model for mass, momentum, and energy. These 1-D plume models are more useful in operational roles and broad exploratory investigations (Costa et al., 2015; Devenish et al., 2012). For that reason, NMMB/BSC-ASH is coupled with the 1-D FPlume model (Folch et al., 2015); a 1-D cross-section averaged plume model which accounts for plume bent over, entrainment of ambient moisture, effects of water phase changes on the energy budget, particle fallout and re-entrainment by turbulent eddies, as well as variable entrainment coefficients fitted from experiments. The model also accounts for particle aggregation in presence of liquid water or ice that depends on column dynamics, particle properties, and amount of liquid water and ice existing in the column (Folch et al., 2010). This allows the plume model to predict an “effective” grain size distribution depleted in fines with respect to that erupted at the vent. For a complete definition of the governing equations of FPlume, refer to Folch et al. (2015). FPlume has two solving strategies where the model: i) solves directly for column height for a given MER; or ii) solves iteratively for MER for a given height. For any case, the following inputs need to be provided to the ash input file in NMMB/BSC-ASH: eruption start and duration, vent coordinates and elevation, conditions at the vent (exit velocity, temperature, magmatic water mass fraction, and total grain size distribution) and total column height or mass eruption rate.

### 3.2 Particle advection/diffusion

Transport of volcanic ash by advection and turbulent diffusion is analogous to those of atmospheric tracers (e.g. moisture) transport (Janjic et al., 2009) in NMMB. Tracer advection is Eulerian, positive-definite and monotonic. The Adams-Bashforth scheme is used for horizontal advection and the Crank-Nicolson scheme for vertical advection. For the horizontal diffusion, the model uses a second order scheme with two types of parameterized dissipative processes: explicit lateral diffusion (often called horizontal diffusion, a 2<sup>nd</sup> order nonlinear Smagorinsky-type approach; Janjic, 1990) and horizontal divergence damping (Janjic and Gall, 2012).

Plumes from high-intensity eruptions can be injected high into the stratosphere, reaching a maximum column height and intruding laterally at the neutral buoyancy level (NBL) as a gravity current (Sparks et al., 1997). This current can spread at velocities exceeding those of the surrounding winds, affecting tephra transport and deposition near the source. As larger particles are removed by deposition and air is entrained, the plume density decreases and momentum reduces such that, at a certain distance, atmospheric turbulence and wind advection become the dominant atmospheric transport mechanisms (Baines and Sparks, 2005). Neglecting the gravitational spreading of the umbrella cloud in tephra dispersal simulations could misrepresent the interaction of the volcanic ash cloud and the atmospheric wind field for high-intensity eruptions and for proximal deposition of tephra (Mastin et al., 2014). To account for the gravity-driven transport, NMMB/BSC-ASH is coupled with the model of Costa et al. (2013) describing cloud spreading as a gravity current. This parameterization calculates an effective radial velocity of the umbrella spreading as a function of time or cloud radius. The effective radial velocity of the umbrella spreading is then combined with the wind field velocity centered above the vent in the umbrella region to calculate the contribution of the gravitational spreading to the total cloud spreading. To estimate the radial distance at which the critical transition between gravity-driven and passive transport occurs, the umbrella front velocity is compared with the mean wind velocity at the NBL estimating the Richardson

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1 number. Table 5 provides an overview of the governing equations of the gravity current model embedded in  
2 NMMB/BSC-ASH.

### 3 3.3 Particle sedimentation and dry deposition

4 Particle sedimentation in NMMB/BSC-ASH is governed by the terminal velocity of settling particles. This fall  
5 velocity is sensitive to particle size and atmospheric conditions, determining the residence time of ash particles  
6 in the atmosphere. The NMMB/BSC-CTM model assumes that the settling velocities of aerosols (mineral dust,  
7 sea salt, etc.) follow the Stokes law for spherical particles corrected by the Cunningham slip factor. The Stokes  
8 law applies to the creeping or Stokes flow regime, in which the drag force is proportional to particle velocity,  
9 and holds only for Reynolds numbers  $Re \leq 0.1$ . This regime is justified for small particles and aerosols ( $< 20 \mu m$ ).  
10 However, calculating fallout times based on settling according to Stokes Law is less adequate for coarse ash ( $>$   
11  $64 \mu m$ ), which sediments much faster. In addition, ash particles are not spherical, which complicates and further  
12 slows fallout. In order to simulate properly a wider spectrum of particle sizes, NMMB/BSC-ASH adds a new  
13 sedimentation module that covers the turbulent regime ( $Re \geq 1000$ ) in which, the drag force is proportional to the  
14 square of the particle velocity. In this case, the gravitational particle settling velocity,  $v_s$  (in  $m \cdot s^{-1}$ ), can be  
15 expressed as:

$$v_s = \sqrt{\frac{4g(\rho_p - \rho_a)d}{3C_d\rho_a}} \quad (13)$$

17 where,  $\rho_a$  and  $\rho_p$  denote air and particle density, respectively,  $d$  is the particle equivalent diameter, and  $C_d$  is the  
18 drag coefficient (depending on the Reynolds number). Strictly, the expression above is valid for spherical  
19 particles in the turbulent regime but it is often generalized to the whole range of Re numbers and particle shapes  
20 by defining the drag coefficient properly. Table 6 provides an overview of the different settling velocity models  
21 available in NMMB/BSC-ASH, each relying on different empirical evaluations of drag coefficient.

22 Dry deposition, acting at the bottom layer of the model, is a complex process depending on physical and  
23 chemical properties of the particle, the underlying surface characteristics and micro-meteorological conditions.  
24 Dry deposition in NMMB/BSC-ASH is based on that originally proposed by Zhang et al. (2001). This  
25 parameterization has been updated to account for the different settling velocities available for volcanic particles -  
26 Eq. (13). The dry deposition velocity in the model,  $v_d$  (in  $m \cdot s^{-1}$ ), is given by:

$$v_d = v_s + \frac{1}{(R_a - R_s)} \quad (18)$$

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where,  $R_a$  is the aerodynamic resistance of the particle, and  $R_s$  is the surface resistance (both in  $s \cdot m^{-1}$ ). These terms take into account all the effects of the lowermost layer of the atmosphere, such as turbulence ( $R_a$ ) and Brownian diffusion, impaction and interception ( $R_s$ ). It is worth mentioning that, for most of its resident time, airborne volcanic ash lies above the near-surface atmospheric layers, where gravitation dominates, implying that, in most cases, dry deposition has little influence on model results.

### 3.4 Mass conservation

Mass conservation is a critical requirement for any atmospheric transport algorithm. Non-conservative schemes can significantly underestimate or overestimate concentrations, especially for long time integrations, in which it is critical that the tracer advection scheme is consistent with the mass continuity equation (Jöckel et al., 2001). Most mesoscale meteorological models use observation/analyzed fields or global model results as initial conditions, and therefore they are not very sensitive to slowly accumulated mass inconsistencies as re-initializations remove accumulations. However, dispersal models are usually very sensitive to mass inconsistencies set in previous simulations or spin-up fields as initial conditions, thereby accumulating mass inconsistencies. In addition to mass conservation, monotonicity and prevention of non-physical under and overshoots in the solution are also a highly desirable characteristics in transport schemes (Rood, 1987). For these reasons, the model includes a conservative, positive definite (i.e. tracer is a positive scalar) and monotone (i.e. entirely increasing) Eulerian scheme for advection. The positive definiteness in the model is guaranteed by advecting the square root of the tracer using a modified Adams-Bashforth scheme for the horizontal direction and a Crank-Nicolson scheme for the vertical direction. The conservation of the tracer is achieved as a result of the conservation of quadratic quantities by the advection scheme. Monotonization is applied *a posteriori* to eliminate new extrema (Janjic et al., 2009). The conservative nature of NMMB/BSC-ASH is evaluated by calculating the mass flux at the boundaries (for regional domains) of the computational domain, the airborne mass, and the mass deposited on the ground to verify mass conservation at each time-step (e.g. < 0.5% mass creation for a 30 day simulation).

### 3.5 Numerical performance

The high computational efficiency of the NMMB meteorological driver allows for the application of nonhydrostatic dynamics at a global scale (Janjic et al., 2009), and supports that the NMMB/BSC-ASH could be used in an operational forecast of volcanic ash clouds. Model parallelization is based on the well-established Message Passing Interface (MPI) library. The computational domain is decomposed into sub-domains of nearly equal size in order to balance the computational load, where each processor is in charge to solve the model equations in one sub-domain. The Eulerian schemes in the model require relatively narrow and constant width halos (i.e. data points from the computational domain of neighboring sub-domains that are replicated locally for computational convenience), which simplify and reduce communications.

To measure the time-to-solution required, we compute the parallel speed-up (computation speed) of the model; that is, the performance gains of parallel processing in comparison to serial processing:

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$$S_{(P)} = \frac{t_{(P=1)}}{t_{(P)}} \quad (19)$$

where  $S$  is the computed speed-up value, and  $t$  is the simulation run-time employing  $P$  processors instead of running it serially ( $P = 1$ ).

To evaluate the efficiency of the model while using the computational resources, the parallel efficiency of the model is computed by looking at the ratio between the parallel speed-up over  $P$ :

$$E_{(P)} = \frac{S_{(P)}}{P} \quad (20)$$

Parallel efficiency is used as a metric to determine how far the model's speed-up is from the ideal. If the speed-up is ideal, the efficiency is 1, regardless of how many cores the program is running on. If the speed-up is less than ideal, the efficiency is less than 1.

#### 4 Simulations and validation

The forecast skills of NMMB/BSC-ASH have been tested for several well-characterized eruptions, including the Pinatubo 1991 (Philippines), Etna 2001 (Italy), Chaitén 2008 (Chile) or Cordon Caulle 2011 (Chile) eruptions (e.g. Marti et al., 2013, 2014). Here, we present two applications of the model for the ash dispersal forecast of weak and strong long lasting eruptions. Section 4.1 summarizes the results of the regional and global simulations for the first days of the 2011 Cordón Caulle eruption. This event represents a suitable case study of strong long-lasting eruptions with changing winds, which is useful to evaluate the advantages of the on-line approach for operational forecast. In a parallel effort, Sect. 4.2 summarizes the results from the regional configuration of the model for the 2001 Etna eruption. This eruption is a good example of a weak, long-lasting eruption, useful when evaluating the sedimentation mechanisms of the model against well-characterized tephra deposits.

##### 4.1 The 2011 Cordón Caulle eruption

The 2011 Cordón Caulle eruption was a typical mid-latitude Central and South Andean eruption, where dominating winds carried ash clouds over the Andes causing abundant ash fallout across the Argentine Patagonia. Besides the significant regional impacts on agriculture, livestock and water distribution systems, this eruption stranded thousands of passengers due to air traffic disruptions in the southern hemisphere, thereby causing important economic losses to airlines and society (e.g. Raga et al., 2013; Wilson et al., 2013). This event is evidence of the global nature of the volcanic ash dispersion phenomena and highlights the need for accurate real-time forecasts of ash clouds.

The Cordón Caulle volcanic complex (Chile, 40.5° S, 72.2° W, vent height 1420 m a.s.l.) reawakened on 4 June 2011 around 18:30 UTC after decades of quiescence. The initial explosive phase spanned more than two weeks,

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1 generating ash clouds that dispersed over the Andes. The climactic phase (~27 h) (Jay et al., 2014) was  
 2 associated with a ~9 km (a.s.l.) high column (Osores et al., 2014). For the period between 4 - 14 June, numerous  
 3 flights were disrupted in Paraguay, Uruguay, Chile, southern Argentina and Brazil. The two major airports  
 4 serving Buenos Aires and the international airport in Montevideo, Uruguay, were closed for several days, along  
 5 with airports in Patagonia (Wilson et al., 2013). A detailed chronology of the eruption can be found in Collini et  
 6 al. (2013) and Elisondo et al. (2016), the stratigraphy and characteristics of the resulting fallout deposit are  
 7 described in Pistolesi et al. (2015) and Bonadonna et al., (2015b), and a summary of the environmental impacts  
 8 of the eruption is discussed in Raga et al. (2013) and Wilson et al. (2013).

9 Here, we describe the synoptic meteorological situation during the first two weeks of eruptive activity (Fig. 2),  
 10 and give a brief chronology of the events in order to compare them with the predictions of the model. The  
 11 eruption developed as a long-lasting rhyolitic activity with plume heights above the vent between 9-10 km high  
 12 a.s.l. (4-6 June), 4 and 9 km during the following week (7-14 June) and < 6 km after 14 June (Global Volcanism  
 13 Program, GVP, <http://www.volcano.si.edu>; Siebert et al. 2010). The first major episode, on 4 June (18:45 UTC),  
 14 resulted in an ash cloud (9-10 km) that reached the Chile-Argentina border within the hour of the eruption. On  
 15 June 5, E-SE winds drove the plume to the Atlantic Ocean (1800 away from the source), leaving a large area of  
 16 Argentina territory affected by ash fall. On June 6, the plume changed its direction abruptly toward N-NE,  
 17 reaching the northern regions of the Argentine Patagonia, and then shifted direction again towards SE, threatening  
 18 the Buenos Aires air space. On June 7, a second episode resulted in a plume (4-9 km) dispersing ash further to  
 19 the north of Argentina leading to a more recognizable shift of winds over the E-SE. On June 8, the volcanic  
 20 cloud (9-10 km a.s.l.) dispersed towards NE with a bend toward SE 400 km from the source. On June 9, the  
 21 plume had a NE direction reaching the city of Buenos Aires and the northern boundary of Paraguay following a  
 22 frontal zone passing through Patagonia. This resulted in major air traffic disruption at the two international  
 23 airports that service the city: Aeroparque (AEP) and Ezeiza (EZE), which remained closed intermittently during  
 24 the following 15 days. Later during the day, the wind turned SE dispersing ash over Uruguay, Brazil and  
 25 Paraguay. Ash cloud continued to change in direction over the next 6 days, with clouds following the ridge  
 26 structure to the NE and SE, respectively.

#### 27 4.1.1. Regional simulation

##### 28 Model set-up

29 The model domain for the regional run is presented in Table 7 and consists of 268x268 grid points covering the  
 30 northern regions of Chile and Argentina using a rotated latitude-longitude grid with a horizontal resolution of  
 31 0.15° x 0.15° and 60 vertical layers. The top pressure of the model was set to 21 hPa (~34 km) with a mesh  
 32 refinement near the top (to capture the dispersion of ash) and the ground (to capture the characteristics of the  
 33 atmospheric boundary layer). The computational domain spans in longitude from 41° W to 81° W and in latitude  
 34 from 18° S to 58° S. Runs were performed with the on-line version of NMMB/BSC-ASH from 3 June 2011 at  
 35 00:00 UTC to 21 June 2011 at 00:00 UTC. The integration time-step for the meteorological core and aerosol  
 36 transport was set to 30 seconds. The dynamic time-steps for the long and short wave radiations were computed  
 37 every 120 time-steps. Feedback effects of ash particles on meteorology and radiation were not included in this

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Deleted: In particular, we focus on the three major dispersion episodes occurring between the 6 - 8 June. The first major episode, on 6 June, resulted in a cloud moving northwards at high atmospheric pressure (300 hPa), reaching the northern regions of the Argentine Patagonia threatening the Buenos Aires air space. This resulted in major air traffic disruption at the two international airports that service the city: Aeroparque (AEP) and Ezeiza (EZE), which remained closed intermittently during the following 15 days. A complementary episode dispersed ash further to the north of Argentina leading to a more recognizable shift of winds over the E-SE. In the morning of 7 June, the initial trough reached the northern boundary of Paraguay coinciding with fallout of snow and rain over Patagonia. Later during the day, the wind turned SE dispersing ash over Uruguay, Brazil and Paraguay. During 8 and 9 June, the trough intensified, shifting the ash dispersion NE throughout the trough-ridge structure. During the first hours of 9 June, the ash cloud reached the city of Buenos Aires following a frontal zone passing through Patagonia, leaving a thin ash layer across the area.

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run. The meteorological driver was initialized with wind fields from the Era-Interim reanalysis at  $0.75^\circ \times 0.75^\circ$  resolution as initial and 6-h boundary conditions. In order to reduce the errors in meteorological conditions, they were reinitialized every 24 h with a spin-up of 12 h. Daily eruption source parameters (ESP) were obtained from Osores et al. (2014), who estimated column heights for each eruptive pulse using the Imager Sensor data from the GOES-13 satellite, [applying the cloud-top IR image technique](#) (Kidder and VonderHaar, 1995). Mass flow rate released along the column was derived from column heights based on Mastin et al. (2009), assuming a Suzuki vertical distribution of mass typical of explosive Plinian eruptions ( $A=4$  ;  $\lambda=5$ ). Grain size distribution was obtained from Collini et al. (2013) and discretized in 10 bins ranging from  $-1\Phi$  (2 mm) to  $8\Phi$  (4  $\mu\text{m}$ ) with a linear dependency of particle density on diameter ranging from 1.000 to 2.200  $\text{kg m}^{-3}$ . Particle sphericity was set to a constant standard value of 0.9 for all bins. The *percentage* aggregation model was used to update the TGSD with a new bin for aggregates, resulting in a total of 11 bins.

#### Validation of results against satellite imagery

Model results for the airborne mass concentration of ash were validated using qualitative and quantitative comparisons with data obtained using two different techniques. On one end, we performed a qualitative comparison between the simulated column mass ( $\text{g m}^{-2}$ ) from the model and the NOAA-AVHRR satellite imagery provided by the high-resolution picture transmission (HRPT) division of the Argentinian National Meteorological Service. Figure 3 shows how the NMMB/BSC-ASH predictions for cloud trajectory and arrival times are in agreement with observations, capturing the three major dispersion episodes. It should be noted that these types of images are not directly comparable because the MODIS ash detection threshold and the reflectivity coefficients of volcanic ash are not well constrained. However, the figure illustrates the capability of the model to predict the variation of the cloud position with time.

Column mass simulations were also validated against ash mass loadings presented by Osores et al. (2015), who retrieved ash-contaminated pixels detected on the basis of the concept of reverse absorption (Prata, 1989a, 1989b), i.e. those pixels with brightness temperature differences between 11 and 12  $\mu\text{m}$  (BTD11-12  $\mu\text{m}$ ) that are lower than 0.0 K. To minimize the presence of false positives, pixels with a BTD11-12  $\mu\text{m} > -0.6$  K and clear sky pixels were removed. Mass loadings were mapped up to 15  $\text{g m}^{-2}$  based on an approach which combines the satellite data with look-up tables of brightness temperatures obtained with a radiative transfer model and optical properties of andesite volcanic rocks (Prata, 2011). Figure 4 shows a good quantitative agreement between the model results and the airborne ash mass loadings described above.

#### Validation of results against fallout deposit

Tephra was mostly deposited eastward from the source during the first 72 h of the event within an elongated area between  $40\text{--}42^\circ$  S and  $64\text{--}72^\circ$  W. Results from the NMMB/BSC-ASH forecast for ash deposition were validated against: i) a detailed characterization of the proximal deposit for the first 72 h of the eruption, and ii) an isopach map derived from measurements taken for the period beginning on 4 June until 30 June (Collini et al., 2013).

To evaluate the simulated computed thicknesses (cm) by the model near the vent during the first 72 h of the event, model results were compared against a comprehensive classification of the proximal deposit presented by Pistolesi et al. (2015b), who constrained the stratigraphic sequence of the deposit in different [units](#) (phases).

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1 Here, we constrain the deposit to the first three units of their work, corresponding to the first 72 h of the eruptive  
 2 even and including: i) Unit I, containing coarser-grained layers A-B, representing the very first stage of the  
 3 eruption within the first 50 km from the vent, and layers A–F associated to the first 24–30 h of the eruption  
 4 (afternoon of 4 to morning of 5 June); ii) Unit II, containing layer H, a fine pumice lapilli layer which was  
 5 emplaced starting on the night of 6 June; iii) Unit III, enclosing layer K2, the easiest to identify from several  
 6 coarser (fine-lapilli) grain-size layers, and being associated to the morning of 7 June. Figure 5 shows that  
 7 NMMB/BSC-ASH can reproduce the deposit presented by Pistolesi et al. (2015b) both in time and space. Key  
 8 sections located along the dispersal area (e.g. San Carlos de Bariloche – SCB, 90 km from the vent; Ingeniero  
 9 Jacobacci – IJ, 240 km east of the vent) were used as geographic references.

10 To evaluate the model performance at the end of our simulation, model results were also validated against an  
 11 isopach map derived from measurements taken from the 4 to 30 June presented by Collini et al. (2013). Deposit  
 12 load variations produced by remobilization were not considered in this analysis. Figure 6 shows good agreement  
 13 between the modeled deposit load ( $\text{kg m}^{-2}$ ) at the end of the simulation and the measured ground deposit  
 14 isopachs ( $\text{kg m}^{-2}$ ) at 30 June from Collini et al. (2013).

15 The model resulted in a cumulative mass of  $\sim 4.2 \times 10^{11}$  kg. This value is in agreement with previous works,  
 16 where total mass was either modeled (Collini et al., 2013) or estimated by empirical fits (Bonadonna et al.,  
 17 2015b). Ashfall forecast with the NMMB/BSC-ASH model represented well the overall deposit load for the  
 18 2011 Cautle eruption.

#### 19 4.1.2 Global simulation

20 For this simulation, the global domain was configured using a regular latitude–longitude grid with a horizontal  
 21 resolution of  $0.75^\circ \times 1^\circ$  and 60 vertical layers. The ash distribution is simulated between 3–21 June 2011 using  
 22 the Era-Interim reanalysis at  $0.75^\circ \times 0.75^\circ$  resolution as initial and 6-h boundary conditions. Meteorological  
 23 conditions for the global runs were also [reinitialized](#) every 24h. The atmospheric model’s fundamental time-step  
 24 was set to 180 s, while the rest of the model variables [and grain size distribution](#) remained the same as in the  
 25 regional simulation. Figure 7 shows the global dispersal of ash for the 2011 [Cordón](#) Cautle eruption at different  
 26 times of the simulation. As it can be inferred from this figure, by 10 June, the plume entered the Australian and  
 27 New Zealand airspace (Fig 7b) covering more than half of the southern hemisphere. At that point, the Civil  
 28 Aviation Authority of New Zealand warned pilots that the ash cloud was between 20,000 and 35,000 feet (6 to  
 29 11 kilometers), the average cruising level for many aircraft (Sommer, 2011). Before the end of our simulation,  
 30 on 13 June the ash cloud had completed its first circle around the globe. This is in agreement to satellite images  
 31 reported by the Darwin Volcanic Ash Advisory Centre (Darwin VAAC, 2011). Finally, results from the global  
 32 simulation are also in agreement with those from our regional run.

#### 33 4.1.3 Forecasting impacts on civil aviation

34 NMMB/BSC-ASH can furnish values of airborne concentration at relevant flight levels (FL), defined as the  
 35 vertical altitude (expressed in hundred of feet) at standard pressure at which the ash concentration is measured.  
 36 This information is particularly important for air traffic management and can be used to decide alternative routes  
 37 to avoid an encounter with a volcanic cloud. Airborne concentration at FL050 (5,000 feet on nominal pressure) is

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relevant for the determination of flight cancellations and airports closures, while concentrations at FL300 (30,000 feet) are critical to assist flight dispatchers while planning flight paths and designing alternative routes in the presence of a volcanic eruption. The model runs as if responding to an eruptive event, i.e. we only used the semi-quantitative data available at that time as volcanological inputs.

Figure 8 shows the airspace contamination forecasted by NMMB/BSC-ASH during the 6-7 June at flight levels FL050 and FL300, within a latitude band between 20° S and 55° S. Model results show the volcanic cloud twisting in different directions during that period of time, achieving critical concentration values within a wide area east of the Andes range. On 6 June, simulation results show the volcanic cloud at high atmospheric pressure (~ 30,000 feet or 300 hPa) moving northwards, and the one at lower atmospheric pressure (~ 5,000 ft or 50 hPa) threatening the main international airports that service the region of Buenos Aires (Fig. 8a). In the morning of 7 June, the ash cloud present at lower atmospheric pressure (~ 5,000 ft or 50 hPa) changed its direction towards the SW, ultimately affecting part of the Patagonia and Chile (Fig. 8b), while higher ash clouds started their course around the globe (Fig. 8c). These results suggest that the cancellation of multiple flights in several Argentinean airports during this time was justified. It is important to point out that, for this work, our objective is not to perform a detailed study of the Caulle eruption but to use it as a blind test to confront short-term model predictions and semi-quantitative syn-eruptive observations.

#### 4.2 The 2001 Mt. Etna eruption

Mt. Etna is the most active volcano in Europe and constitutes a continuous hazard for eastern Sicily. Since 1980, Mt. Etna has injected large volumes of pyroclasts into the atmosphere (between  $10^4$  and  $10^7$  m<sup>3</sup> per event) over more than 160 eruptive episodes (Scollo et al., 2012). The explosive activity of Mt. Etna reached its climax in 2001 and 2002–03 when two major flank eruptions occurred; both characterized by long-lasting explosive activity (Branca and Del Carlo, 2005). The 2001 event represents a good case to evaluate the deposition mechanisms of NMMB/BSC-ASH against the well-characterized tephra deposit reported in Scollo et al. (2007). The explosive activity at the 2570 m vent had three main phases characterized by phreatomagmatic, magmatic and vulcanian explosions. The eruption started with a series of phreatomagmatic explosions during the first days of the eruption. These explosions were followed by a second eruptive phase characterized by strombolian and Hawaiian style explosions during 19-24 July. The explosive activity continued until 6 August with a series of vulcanian explosions. Tephra fallout associated to the explosive activity during 21-24 July represented a major source of hazard for eastern Sicily. Flight operations were cancelled at the Catania and Reggio Calabria airports during the 22 and 23 July. A detailed chronology of the eruption can be found in Scollo et al. (2007). Volcanic plumes were captured by the Multiangle Imaging Spectro Radiometer (MISR) on board NASA's Terra spacecraft, and analyzed with stereo matching techniques to evaluate the height of the volcanic aerosol with a precision of a few hundred meters (Scollo et al., 2012).

Here, we validate NMMB/BSC-ASH against the tephra deposit produced from the 2570 m vent for that period of time, and compare the model performance against simulations results from the FALL3D model (Costa et al., 2006; Folch et al., 2009) for the same event. FALL3D is an Eulerian model for transport and deposition of volcanic ash particles solving a set of advection-diffusion-sedimentation equations (one equation for each particle class) on a structured terrain, following grid using a second-order Finite Differences explicit scheme. The

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[FALL3D](#) model is used at the Buenos Aires and Darwin Volcanic Ash Advisory Centers (VAAC) in operational forecasts.

#### 4.2.1 Regional simulation

##### *Model set-up*

Two regional domains were used to simulate the first phase of the 2001 eruption of Mt. Etna (Table 8). The first domain (Regional 1), used to reconstruct the tephra deposit, consists of 101x101 grid points covering the SE flank using a rotated latitude–longitude grid with a horizontal resolution of 0.05° x 0.05° and 60 vertical layers. Similarly to the Cordón Caulle simulations, the top pressure of the model was set to 21 hPa (~34 km) with a mesh refinement near the top and ground. The computational domain spans in longitude from 12.5° E to 17.5° E, in latitude from 35.25° N to 40.25° N. Simulation runs were performed with the on-line version of NMMB/BSC-ASH from 21 July 2001 at 00:00 UTC to 25 July 2001 at 00:00 UTC. The integration time-step for the meteorological core was set to 10 seconds. The meteorological driver was initialized with Era-Interim reanalysis meteorological data at 0.75° x 0.75° resolution as initial and 6-h boundary conditions. A spin-up of 12 h was used to prepare the meteorological conditions for run. Each daily model run was reinitialized with the corresponding reanalysis, the NMMB/BSC-ASH tracers' output from the previous day, and the associated eruption source parameters. Meteorological conditions were reinitialized every 24 h. The grain size distribution and eruption source parameters were obtained from Scollo et al. (2007), who assumed a Suzuki vertical mass distribution located at the middle of the eruption column ( $A=2$ ;  $\lambda=1$ ), and employed the Mastin et al. (2009) empirical relationship to characterize the MER and the Voronoi tessellation method to obtain the grain size distribution. Finally, sensitivity analyses were performed against the different aggregation schemes available in the model. In all cases, the TGSD was updated with a new bin for aggregates, resulting in a total of 8 bins.

A second regional domain (Regional 2) was used to evaluate tephra dispersal between 21 and 25 of July. In this case, the domain consisted of 201x201 grid points covering a computational domain spanning in longitude from 41° E to 81° E, in latitude from 18° S to 58° S. This domain used a coarser horizontal resolution of 0.1° x 0.1° and 60 vertical layers. The integration time-step for the meteorological core was set to 30 seconds. The rest of model set-up was kept the same as in the first regional domain (Regional 1).

##### *Validation of results against fallout deposit*

At the end of the second explosive phase, a continuous tephra layer covered Etna's flanks between Giarre and Catania (from E to S). Ash deposition results from NMMB/BSC-ASH were validated against 47 samples collected between 25 and 26 July from measured areas on flat open spaces, where the deposit did not show any reworking. The computed tephra dispersal and deposition from NMMB/ABSC-ASH was able to reproduce the bilobate shape of the real deposit with the two axes oriented toward Acireale and Acicastello towns. Figure 9 compares the simulated deposit load ( $\text{kg m}^{-2}$ ) at the end of the run against the isopachs map derived from measurements taken from the 21-24 July (Scollo et al., 2007). The model resulted in a cumulative mass of  $\sim 1.18 \times 10^9$  kg. This value is in agreement with the results obtained from Scollo et al. (2007).

#### 4.2.2 Model intercomparison: NMMB/BSC-ASH vs. FALL3D

To validate the model performance of NMMB/BSC-ASH for its operational implementation, we compare the tephra deposition results of the model against those of the operational FALL3D model for the reconstruction of the 2001 Mt. Etna eruption. For this comparison we ran both models using the same meteorological and volcanological initial conditions (Table 8). Figure 10 shows the simulated thicknesses (vertical axis) for both transport models against the observations (horizontal axis) presented in Scollo et al. (2007). The model improved the tephra distribution results from FALL3D simulations for the same event ( $R^2$ ; 0.80/0.62), reducing the RMSE (0.014/0.24) and bias (0.02/0.6) and the computational time by an order of magnitude. In particular, all values simulated with NMMB/BSC-ASH plot inside the region between 5 and 1/5 (dashed orange line) times the observed mass at each station. The greatest differences perceived against the observations for both models belong to those points located at distances less than 15 km from the vent associated to the uncertainty in the ESPs. The mean value of the relative error between the computed values and observed data is 64%, which improves those from FALL3d (91%), and are comparable with those of Scollo et al., (2007), who obtained a 57% by deposit best-fitting using the HAZMAP dispersion model.

### 5 Operational forecast with NMMB/BSC-ASH

The Barcelona Supercomputing Center is currently working on a modeling integrated system to provide operational forecast of volcanic ash with NMMB/BSC-ASH. The system includes a preprocessing tool (prepares the model for real-data simulations), an executable file to run the model, and a user-based postprocessing utility tool. Figure 11 shows a simple schematic representation of the operational implementation of NMMB/BSC-ASH. The outcomes of this modeling system are currently being evaluated against two operational models: i) the NOAA/ARL Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPLIT; Draxler and Hess, 1998) – used at the Washington VACC; and FALL3D (Costa et al., 2006; Folch et al., 2009) – used at the Buenos Aires and Darwin VAACs. This section introduces the structure of the operational NMMB/BSC-ASH system. Preliminary results for the model intercomparison against FALL3D are described in Sect. 4.2.2.

#### 5.2 The preprocessing system

The preprocessing utility system consists of a set of programs whose collective role is to prepare the model for real-data simulations. Programs are grouped to preprocess geographical, meteorological and climatological inputs and interpolate those to the model grid(s). The preprocessing system uses three main programs: *runfix*, *degrib* and *runvariable*.

- *Runfix* defines the model domain(s) and interpolates static geographical data to the model grid(s). In addition to computing the latitude and longitude of the rotated grid points, this program interpolates soil categories, land use types, terrain height, annual mean deep soil temperature, monthly albedo, maximum snow albedo, and slope category.
- *Degrib* extracts the necessary meteorological fields from GRIB-formatted files, used as initial condition for global simulations and as initial and boundary conditions for single regional domains (i.e. not nested

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with a global domain). GRIB files contain time-varying meteorological fields obtained from another regional or global NWPM. In addition to the available NCEP's North American Model (NAM) or Global Forecast System (GFS) model, the program has been updated to include European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim reanalysis data (Dee et al., 2011) as forcing.

- *Runvariable* interpolates the meteorological fields extracted by *debgrib* to the model grid(s) defined by *runfix* and prepares the climatological schemes. This program generates the initial and boundary conditions that are ingested by NMMB using the NOAA Environmental Modeling System (NEMS; Janjic, 2005; Janjic and Black, 2007), a high performance software superstructure and infrastructure based on the Earth System Modeling Framework (ESMF) for use in operational prediction models at NCEP.

### 5.3 BSC-ASH I/O files

The model takes three run-specific input files:

- The model input file (*nmmmb.inp*), which defines the computational and physical schemes needed by the meteorological core, the atmospheric model's fundamental time-step, and the parameterization for chemical processes and radiative schemes for aerosol tracers (including ash), amongst other properties of the model. For long-lasting eruptions, the model performs restart runs initializing the tracers from the previous day's history file.
- The ash input file (*ash.inp*), which defines those parameters employed in the ash module. The user-defined parameters include: i) the characterization of the source term: eruption source type, column height and determination of the mass eruption rate, eruption duration, aggregation processes, and particle settling velocity model. In the event of various eruptive phases, the respective ESPs for each phase can be defined; ii) the settings to turn on/off the gravity current model altering the particle transport in the umbrella cloud; and iii) the definition of the coupling strategy (on vs. off-line) employed by the model.
- The granulometry input file (*ash.tgsd*), which specifies the diameter, density, sphericity, and relative mass fraction of each particle bin. This information is typically obtained from field data or created by external utility programs for idealized grain size distributions. If aggregation is active, a new bin class for aggregates is added to the granulometry input file.

Once a simulation is concluded, NMMB/BSC-ASH writes the following output files:

- A log file (*ash.log*), containing information about the run, including a summary of the computed volcanic ash source and mass balance statistics for each time-step, and errors and warnings if any.
- A forecast results file (*problemname.nc*) in NetCDF format containing, amongst other variables, the total column mass concentration ( $\text{g m}^{-2}$ ) and ground deposition ( $\text{kg m}^{-2}$ ) for all bins, the concentration at different Flight Levels ( $\text{g m}^{-3}$ ) and the Aerosol Optical Depth. This information can be processed using several open-source programs to generate plots and animations. Alternatively, the post-process utility program *NMMB2GMT* has been developed to generate basic GMT scripts automatically.

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- A restart file (*nmmmb.hst*) used to initiate a new run using the ash concentrations from a previous simulation.

#### 5.4 The Postprocess system

The postprocess utility tools are designed to interpolate outputs from the NMMB/BSC-ASH native grid(s) to National Weather Service (NWS) standard levels (pressure, height, etc.) and standard output grids (Lambert Conformal, polar-stereographic, etc.) in NetCDF format. The system also includes the *NMMB2GMT* program, which uses the Generic Mapping Tools (GMT) software (Wessel and Smith, 1991) to produce similar plots to the Volcanic Ash Graphics (VAG) used by Volcanic Ash Advisory Centers in operational forecasts.

#### 5.5 Scalability analysis

To optimize a future operational implementation of the model, we aim to minimize the time-to-solution avoiding communication overhead. In this context, we evaluate the model scalability (scaling efficiency) for its regional and global configurations by performing a strong scalability test, in which the problem size of our simulation (e.g., model domain and resolution) remains fixed while increasing the number of processing cores. Figure 12 shows the parallel speed-up ( $S$ ; Eq. 19), and efficiency ( $E$ ; Eq. 19) of the NMMB/BSC-ASH system for a global simulation of the climactic phase for the 2011 Cordon Caulle (Table 7). On the MareNostrum-III supercomputer, maximum efficiency for the global simulation described in Table 7 is reached between 32-40 nodes (16 CPUs each) with a parallel efficiency of 0.6.

The scalability analysis was performed on all the available source term and sedimentation schemes in the model. The relative computational cost associated with the main processes in NMMB/BSC-ASH is presented in Fig. 13. Processes represented include: meteorological prediction, volcanic ash transport and sedimentation forecast, aggregation of particles, gravity current effects, and the restart phase. The restart phase represents the CPU time employed to rerun the preprocess system every 24h of simulation. This figure suggests that the computational increase (CPU time) associated to the ash module can vary from 5 to 55%, depending on the number of computational nodes employed. It is important to note that, depending on the settling velocity model employed, up to 60% of the time allocated to the ash module is assigned to the sedimentation term.

Results from the scalability analysis show that the model performance (in terms of speed-up) depends on the problem size as well as on the domain partitioning topology. In that context, the relative computational cost of the model's meteorological core (NMMB) is evaluated as a function of its domain decomposition (e.g., distribution of processing units for the horizontal domains – nodes  $i$  and  $j$ ). For this analysis the bin-performance dependency of the model is considered, therefore evaluating only the cost of one bin of ash. Results from this analysis suggest that, for an optimal simulation using 32 nodes, the computational cost of the meteorological core decreases over 10 % when the weight of the decomposition is focused on the  $j$  nodes (e.g., more computational resources assigned for the Fast Fourier Transformation algorithm). The best domain decomposition resulted in  $6(i) \times 84(j) + 8(w)$ , where  $i$  and  $j$  are the number of processors employed in the horizontal and vertical domains respectively, and  $w$  the number of writing processors.

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For operational purposes, the computational time employed to provide ash dispersal forecast using NMMB/BSC-ASH is evaluated for the global simulation with 1 bin of ash. The maximum time required by the model to perform a 24 h forecast, running all the available processes (e.g., advection, diffusion, sedimentation, etc.) every time-step (180 seconds) is less than 3 minutes when using the best domain decomposition presented before (6x84+8). This time can be further optimized for operational purposes, i.e., calling the model physics less frequently in order to save computational time. As a general rule of thumb, the adjustment time-step in seconds for the meteorological core can be taken as 2.25 times the grid spacing in kilometers. For higher resolution model runs made without parameterized convection, a time-step in seconds of about 1.9 to 2.0 times the grid spacing may be more appropriate (Janjic and Gall, 2012).

## 5.6 Cost-benefit analysis

Employing on-line models for operational dispersal forecast requires larger computational resources and is not always feasible at all operational institutes. Nevertheless, due to the increase in computing power of modern systems, one can argue that such gradual migration towards stronger on-line coupling of NWPMs with TDMs poses a challenging but attractive perspective from the scientific point of view for the sake of both high-quality meteorological and volcanic ash forecasting.

The focus on volcanic aerosols integrated systems in operational forecast is timely. Experiences from other communities (e.g. air quality) have shown the benefits from two-way online meteorology-chemistry modeling. For example, the importance of the different feedback mechanisms for meteorological and atmospheric composition processes have been previously discussed for models developed in the USA (Zhang, 2008) and Europe (Baklanov et al., 2014). These benefits have been recently stressed by several studies covering the analysis of the aerosol-transport and aerosol-radiation feedbacks onto meteorology from the air quality model evaluation international initiative (AQMEII) in its phase 2 (Alapaty et al., 2012; Galmarini et al., 2015) and the EuMetChem COST Action ES1004 (EuMetChem, <http://eumetchem.info>).

Demonstrating these benefits however, require running the on-line model with and without feedbacks over extended periods of time. For the particular case of volcanic aerosols, further research is still required to quantify the benefits posed by on-line couple models over traditional off-line TTDM on both atmospheric transport and the radiative budget. The Barcelona Supercomputing Center is currently working to quantify these benefits with NMMB/BSC-ASH model, and assess how the magnitude of the model forecast errors implicit in the off-line approach compares with other better-constrained sources of forecast error, e.g. uncertainties in eruption source parameters. Preliminary results from this study indicate that meteorology-transport inconsistencies from off-line models can be, in some cases, in the same order of magnitude that those associated to the eruption source parameters. In terms of computational cost, the computational efficiency of the NMMB/BSC-ASH meteorological core allows for on-line integrated operational forecasts employing an equivalent computational time than FALL3D for the same computational domain and number of processing cores.

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## 6 Summary and Conclusions

We present NMMB/BSC-ASH, a new on-line multiscale meteorological and transport model developed at the Barcelona Supercomputing Center (BSC) to forecast the dispersal and deposition of volcanic aerosols. The objective of NMMB/BSC-ASH is to improve the current state-of-the-art of tephra dispersal models, especially in situations where meteorological conditions are fluctuating rapidly in time, two-way feedbacks are significant, or long-range ash cloud dispersal predictions are necessary. The model predicts ash cloud trajectories, concentration of ash at relevant flight levels, and the expected deposit thickness for both regional and global domains. NMMB/BSC-ASH solves the mass balance equation for volcanic ash by means of a new ash module embedded in the BSC's operational system for short/mid-term chemical weather forecasts (NMMB/BSC-CTM). In addition to volcanic ash, the system is also capable to forecast the dispersion of other atmospheric aerosols (e.g. dust, sea salt, black carbon, organic aerosol, sulfates, etc.). Its multiscale capability allows for nested global-regional atmospheric transport simulations, taking into account the characterization of the source term (emissions), the transport of volcanic particles (advection/diffusion), and the particle removal mechanisms (sedimentation/deposition). The model has been shown to be robust and scalable to arbitrary domain sizes (regional to global) and numbers of processors.

The forecast skills of NMMB/BSC-ASH have been validated against several well-characterized eruptions, including the, Etna 2001 (Italy), Chaitén 2008 (Chile), Cordon Caulle 2011 (Chile) or Pinatubo 1991 (Philippines) eruptions (e.g. Marti et al., 2013, 2014). To evaluate the on-line coupling strategy and the multiscale capability of the model, this paper summarizes the regional and global configurations of the model to forecast the dispersal of ash for the first days of the 2011 Cordon Caulle eruption (strong long-lasting eruption with rapid wind changes). In addition, to evaluate the sedimentation mechanisms of the model, this work also includes the results from the regional configuration of the model for the first phase of the 2001 Etna eruption, a good case study of weak long-lasting eruption with well-characterized tephra deposits. Simulation results demonstrate that NMMB/BSC-ASH is capable to reproduce the spatial and temporal dispersal variability of the ash cloud and tephra deposits.

## Software

The work described in this paper is based on version 2.0.1 (released in April, 2014). The code, written in FORTRAN-90, is portable and efficient on available parallel computing platforms. The figures presented in this paper were generated using Gnuplot and NCAR Command Language (NCL).

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1 eruption dynamics. Numerical simulations were performed at the Barcelona Supercomputing Center with the  
2 MareNostrum Supercomputer using 512 and 256 - 8x4 GB DDR3-1600 DIMMS (2GB/core) Intel SandyBridge  
3 processors, iDataPlex Compute Racks, a Linux Operating System and an Infiniband interconnection.

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#### 5 **Competing interests**

6 The authors declare that they have no conflict of interest.

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1

2 **Table 1. Main characteristics of the NMMB/BSC-ASH meteorological solver.**

<i>Meteorological Solver</i>	<i>Scheme</i>	<i>Reference</i>
<b>Spatial discretization</b>		
Multi-scale domain ranging from large eddy simulations (LES) to global simulations		Janjic (2005)
<b>Conservativeness</b>		
Conservation of mass, momentum, energy, enstrophy and a number of other first order and quadratic quantities. Positive definiteness and monotonicity are preserved by tracer advection		Janjic (1984)
<b>Coordinates /Grid</b>		
Horizontal coordinate	Rotated latitude-longitude for regional domains, and latitude-longitude coordinate with polar filter for global domains	Janjic et al. (2009); Janjic and Gall, (2012)
Vertical coordinate	Terrain following hybrid sigma-pressure	Simmons and Burridge, (1981)
Horizontal grid	Arakawa B-grid staggering	Janjic, 2005; Janjic and Black, 2007)
Vertical grid	Lorenz staggering	Lorenz, (1960)
<b>Time integration schemes</b>		
Horizontally propagating fast-waves	Forward-backward scheme	Ames, (1969); Gadd, (1974); Mesinger, (1977); Janjic, 1979)
Vertically propagating sound waves	Implicit scheme	Janjic and Gall, (2012)
Horizontal advection & Coriolis terms	Modified (Stable) Adams-Bashforth scheme	
Vertical advection	Crank-Nicolson scheme	Janjic, (1977,1984)
TKE generation and dissipation	Iterative	
<b>Advection terms</b>		
Horizontal	Energy and enstrophy conserving, quadratic conservative, second order	Janjic and Gall, (2012)
Vertical	Quadratic conservative, second order	Janjic and Gall, (2012)
<b>Diffusion terms</b>		
Vertical	Surface layer scheme	Janjic (1994, 1996)
Lateral	Smagorinsky non-linear approach	Janjic (1990)
<b>Physics Options</b>		
Microphysics/Clouds	Ferrier (Eta)	Ferrier et al. (2002)
Short and Longwave Radiation	Rapid Radiative Transfer Model (RRTM)	Mlawer et al. (1997); Pérez et al. (2011)
Surface Layer	NMMB similarity theory scheme: Based on Monin-Obukhov similarity theory with Zilitinkevich thermal roughness length	Monin and Obukhov (1954); (Zilitinkevich, 1965); Janjic (1994, 1996)
Land Surface, Heat & moisture surface flux	LISS model	Vukovic et al. (2010)
Planetary Boundary layer / free atmosphere	Mellor-Yamada-Janjic scheme	Mellor and Yamada, (1982); Janjic (1996, 2001)
Convective adjustments	Betts-Miller-Janjic scheme	Betts and Miller, (1986); Janjic (1994, 2000).

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1 Table 2. Options implemented in NMMB/BSC-ASH to estimate the mass eruption rate from column height. Unless  
2 otherwise noted, the units for all parameters are in SI.

Reference	MER schemes	Eq.	Parameters
Mastin et al., (2009)	$MER = \bar{\rho} \left[ \frac{0.5 H_{plume}}{10^3} \right]^{0.241}$	(1)	$\bar{\rho}$ = magma density (2500 kg m <sup>-3</sup> ) $H_{plume}$ = column height <u>above the vent (m)</u>
Degruyter and Bonadonna (2012)	$MER = \pi \frac{\rho_{a0}}{g'} \left( \frac{\alpha^2 \bar{N}^3}{z_1^4 n} H_{plume}^4 + \frac{\beta^2 \bar{N}^2 \bar{v}}{6} H_{plume}^3 \right)$ $g' = g \left( \frac{c_0 \theta_0 - c_{a0} \theta_{a0}}{c_{a0} \theta_{a0}} \right)$ $\rho_{a0} = 1.105, \alpha = 0.1, \beta = 0.5, z_1 = 2.8, n = 0.177;$ $\theta_0 = 1200, \theta_{a0} = 268.7, c_0 = 1250, c_{a0} = 998$	(2)	$\rho_{a0}$ = atmospheric density at the vent (kg m <sup>-3</sup> ) $g'$ = reduced gravity $\bar{N}$ = average buoyancy frequency (s <sup>-1</sup> ) $\bar{v}$ = average wind velocity across column height (m s <sup>-1</sup> ) $z_1$ = Max. non-dimensional height $\alpha, \beta$ = radial and crossflow entrainment coefficients $g$ = gravitational <u>acceleration</u> (9.81 m s <sup>-2</sup> ) $c_0$ = source specific heat <u>capacity</u> (J kg <sup>-1</sup> K <sup>-1</sup> ) $c_{a0}$ = specific heat <u>capacity</u> of the atmosphere (J kg <sup>-1</sup> K <sup>-1</sup> ) $\theta_0$ = source temperature (K) $\theta_{a0}$ = atmospheric temperature (K)
Woodhouse et al. (2013)	$MER = 0.35 \alpha^2 f(W_s)^4 \frac{\rho_{a0}}{g'} N^3 H_{plume}^4$ $f(W_s) = 1.44 \bar{\gamma} / \bar{N}$ $g' = g \left( \frac{c_s n_0 + c_s (1 - n_0) \theta_0 - c_a \theta_{a0}}{c_a \theta_{a0}} \right)$	(3)	$Q$ = mass flux (kg s <sup>-1</sup> ) $W_s$ = dimensionless wind strength $\bar{N}$ = average buoyancy frequency (s <sup>-1</sup> ) $\bar{\gamma}$ = shear rate of atmospheric wind (s <sup>-1</sup> ) $c_s$ = specific heat of solids (J kg <sup>-1</sup> K <sup>-1</sup> ) $c_a$ = specific heat of dry air (J kg <sup>-1</sup> K <sup>-1</sup> ) $c_s$ = specific heat of water vapor (J kg <sup>-1</sup> K <sup>-1</sup> )

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4 Table 3. Ash aggregation options in NMMB/BSC-ASH from analytical solutions based from field observations.  
5 Default aggregate properties can be modified by the user.

Name	New aggregate class	Default properties	Reference
NONE	No aggregation processes	n/a	n/a
CORNELL	50% of the 63–44 μm class aggregate 75% of the 44–31 μm class aggregate 100% of the < 31 μm class aggregate	Diameter = 250 μm Density = 350 kg m <sup>-3</sup> Sphericity = 0.9	Based on Cornell et al. (1983) Campanian Ignimbrite's deposit (Y5 ash layer)
PERCENTAGE	Takes a user-defined fixed percentage from each particle class	Diameter = 250 μm Density = 350 kg m <sup>-3</sup>	Based on Sulpizio et al. (2012)

6

7 Table 4. Governing equations for NMMB/BSC-ASH wet aggregation model.

Wet aggregation scheme	Eq.	Parameters
Number of particles of a class aggregated <u>per</u> <u>unit volume</u>	$\Delta n_j \approx \frac{\Delta n_{tot} N_j}{\sum_k N_k} (k = k_{min}, \dots, k_{max})$	(5) $\Delta n_{tot}$ = number of particles that aggregate per time interval $N_j$ = number of particles of diameter $j$ in an aggregate $k$ = <u>aggregation class</u> $N_k$ = number of particles <u>of diameter <math>k</math></u> in an aggregate

Number of particles aggregated during $\Delta t$	$N_f = k_f \left( \frac{d_A}{d_f} \right)^{D_f}$	(6)	$k_f$ = fractal prefactor $\approx 1$ $D_f$ = fractal exponent $\leq 3$ $d_A$ = aggregate diameter $d_f$ = primary particle diameter
Total particle decay per unit volume during $\Delta t$	$\Delta n_{tot} = \alpha_m \left( (A_B n_{tot}^2 + A_S \phi^{3/D_f} n_{tot}^{2-3/D_f} + A_{DS} \phi^{4/D_f} n_{tot}^{2-4/D_f}) \right) \Delta t$	(7)	$\alpha_m$ = mean sticky efficiency $\phi$ = solid volume fraction
Number of particles available to aggregate	$n_{tot} = \sum_j \frac{6C_j}{\pi \rho_j d_j^3}$	(8)	$n_{tot}$ = number of particles available to aggregate $k_b$ = is the Boltzmann constant $1.38 \times 10^{-23} \text{ m}^2 \text{ kg s}^{-2} \text{ K}$ $T$ = absolute temperature $\mu_o$ = dynamic viscosity of air $\Gamma_s$ = fluid shear $\xi$ = particle diameter to volume fractal relationship
Kernels	For Brownian motion: $A_B = -\frac{4k_b T}{3\mu_o}$ Ambient fluid shear: $A_S = \frac{2\Gamma_s \xi^4}{3}$ Differential sedimentation: $A_{DS} = -\frac{\pi(\rho_m - \rho_a)g\xi^4}{48\mu_o}$		$\rho_m$ = mean particle density $\rho_a$ = air density

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2 **Table 5. Governing equations for NMMB/BSC-ASH gravity current model .**

Gravity current scheme	Eq.	Parameters
Effective radial velocity of the umbrella spreading	$u_b(t) = \frac{2}{3} \left( \frac{3\lambda N q}{2\pi} \right)^{1/3} t^{1/3}$ $u_b(R) = \left( \frac{2\lambda N q}{3\pi} \right)^{1/2} \frac{1}{\sqrt{R}}$	(9) $u_b$ = effective radial velocity as a function of time (t) or cloud radius (R) $\lambda$ = empirical constant ( $\lambda \approx 0.2$ ) (Suzuki and Koyaguchi, 2009) $N$ = Brunt-Väisälä frequency (atm. ambient stratification) $q$ = volumetric flow rate into the umbrella region
Volumetric flow rate into the umbrella region	$q = C \sqrt{k} \frac{M^{3/4}}{N^{5/8}}$ $C \begin{cases} 0.5 \times 10^4 \text{ m}^3 \text{ kg}^{-3/4} \text{ s}^{-7/8} \\ 1.0 \times 10^4 \text{ m}^3 \text{ kg}^{-3/4} \text{ s}^{-7/8} \end{cases}$	(10) $M$ = efficiency of air entrainment $k$ = mass eruption rate $C$ = location base constant $C_A$ : for tropical eruptions $C_B$ : for midlatitude and polar eruptions
Contribution of the gravitational spreading	$ct = \left( \frac{u_b}{u_b + u_w} \right) \times 100$	(11) $u_w$ = wind field velocity
Radial distance (gravity vs. passive transport)	$Ri = \frac{u_b^2}{u_w^2} = \frac{4}{9} \left( \frac{3\lambda N q}{2\pi} \right)^{2/3} t^{-2/3}$	(12) $Ri$ = Richardson number $Ri > 1$ : gravity-driven regime $Ri < 0.25$ : passive transport regime

3

4 **Table 6. Settling velocity models in NMMB/BSC-ASH.**

NAME/Reference	Drag coefficient	Eq.	Description/ Parameters
ARASTOPOUR (Arastoopour et al., 1982)	$C_d = \begin{cases} \frac{24}{Re} \{1 + 0.15 Re^{0.687}\} & Re \leq 988.947 \\ 0.44 & Re > 988.947 \end{cases}$	(14)	For spherical particles only

GANSER (Ganser, 1993)	$C_d = \frac{24}{ReK_1} \{1 + 0.1118[Re(K_1K_2)]^{0.6567}\} + \frac{0.4305K_2}{1 + \frac{3305}{ReK_1K_2}} \quad (15)$	<p>For spherical and non-spherical particles</p> <p><math>K_1, K_2</math> = shape factors</p> <p><math>d_n</math> = average between the min and max. axis</p> <p><math>d</math> = sphere volume</p> <p><math>\psi_{work}</math> = particle sphericity (<math>\psi=1</math> for spheres)</p>
WILSON (Pfeiffer et al., 2005; Wilson and Huang, 1979)	$C_d = \begin{cases} \frac{24}{Re} \varphi^{-0.828} + 2\sqrt{1.07 - \varphi} & Re \leq 10^2 \\ 1 - \frac{C_d _{Re=10^2}}{900} (10^3 - Re) & 10^2 \leq Re \leq 10^3 \\ 1 & Re \leq 10^3 \end{cases} \quad (16)$	<p><math>\psi</math> = particle aspect ratio <math>\psi = (b+c)2a^{-1}</math></p> <p><math>a, b, c</math> = particle semi-axes</p>
DELLINO (Dellino et al., 2005)	$v_s = 1.2605 \frac{v_a}{d} (Ar\varepsilon^{1.6})^{0.5206} \quad (17)$ $Ar = g d^3 (\rho_p - \rho_a) / \mu_a^2$	<p>For larger particles only</p> <p><math>Ar</math> = Archimedes number</p> <p><math>g</math> = gravity acceleration</p> <p><math>\varepsilon</math> = particle shape factor</p> <p><math>\mu_a</math> = dynamic viscosity</p> <p><math>d</math> = particle equivalent diameter,</p> <p><math>\rho_p</math> = particle density</p> <p><math>\rho_a</math> = air density</p>

1

2 **Table 7. Model configuration for the 2011 Cordón Cauile regional and global runs. The regional run used a horizontal**

3 **resolution of 0.15° x 0.15° with a 30s dynamic time-step, while the global domain used a horizontal resolution of 1° x**

4 **0.75° with a 180s dynamic time-step.**

MODEL CONFIGURATION	
<b>Dynamics</b>	NMMB (30s/180s time-step)
<b>Physics</b>	Ferrier microphysics
	BMJ cumulus scheme
	MYJ PBL scheme
	LISS land surface model
<b>Aerosols</b>	11 ash bins (30s/180s time-step)
<b>Source Term (emissions)</b>	
Duration	20 days
Vertical distribution	Suzuki distribution
MER formulation	Mastin et al. (2009)
<b>Aggregation model</b>	Percentage
<b>Sedimentation model</b>	Ganser (1993)
<b>Run Set-up</b>	
Number of processors	512
Domain	Regional/Global
Horizontal resolution	0.15° x 0.15° / 1° x 0.75°
Vertical layers	60
Top of the atmosphere	21 hPa
Meteorology Boundary conditions (spatial resolutions)	ECMWF EraInterim Reanalysis (0.75° x 0.75°)

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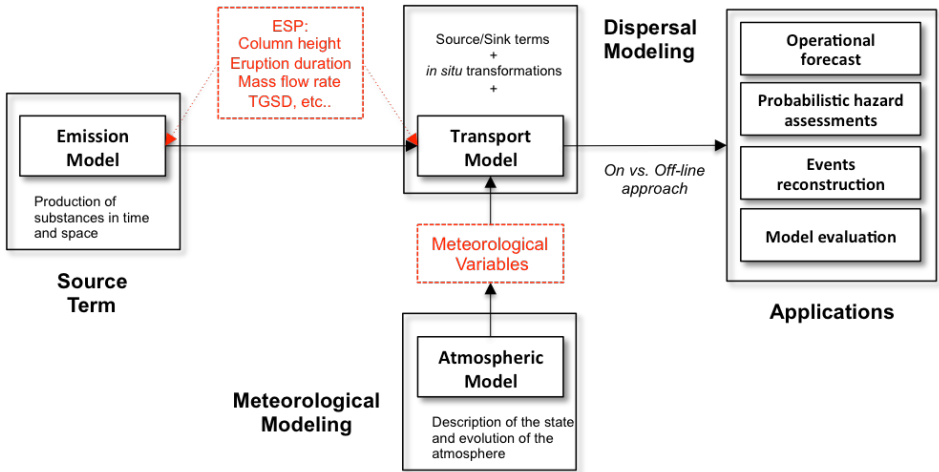
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**Table 8. Model configuration for the 2001 Mt. Etna regional simulations. Regional Run1 used a horizontal resolution of 0.1° x 0.1° with a 30s dynamic time-step, while Run2 used a finer horizontal resolution of 0.05° x 0.05° with a 10s dynamic time-step.**

Source Term (emissions)	
Duration	3 days
Vertical distribution	Suzuki distribution
MER formulation	Mastin
Column height <a href="#">above the vent</a>	2570
Ash bins	8
<hr/>	
Aggregation model	Cornell et al. (1983)
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Sedimentation model	Ganser (1993)
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Run Set-up	
Number of processors	256
Domain	Regional 1 / Regional 2
Horizontal resolution	0.1° x 0.1° / 0.05° x 0.05°
Vertical layers	60
Top of the atmosphere	21 hPa
Meteorology Boundary conditions (spatial resolutions)	ECMWF EraInterim Reanalysis (0.75° x 0.75°)

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**Figure 1. Schematic representation of the main components of an Atmospheric Transport Model. Red text shows model specifications for the transport of volcanic ash.**

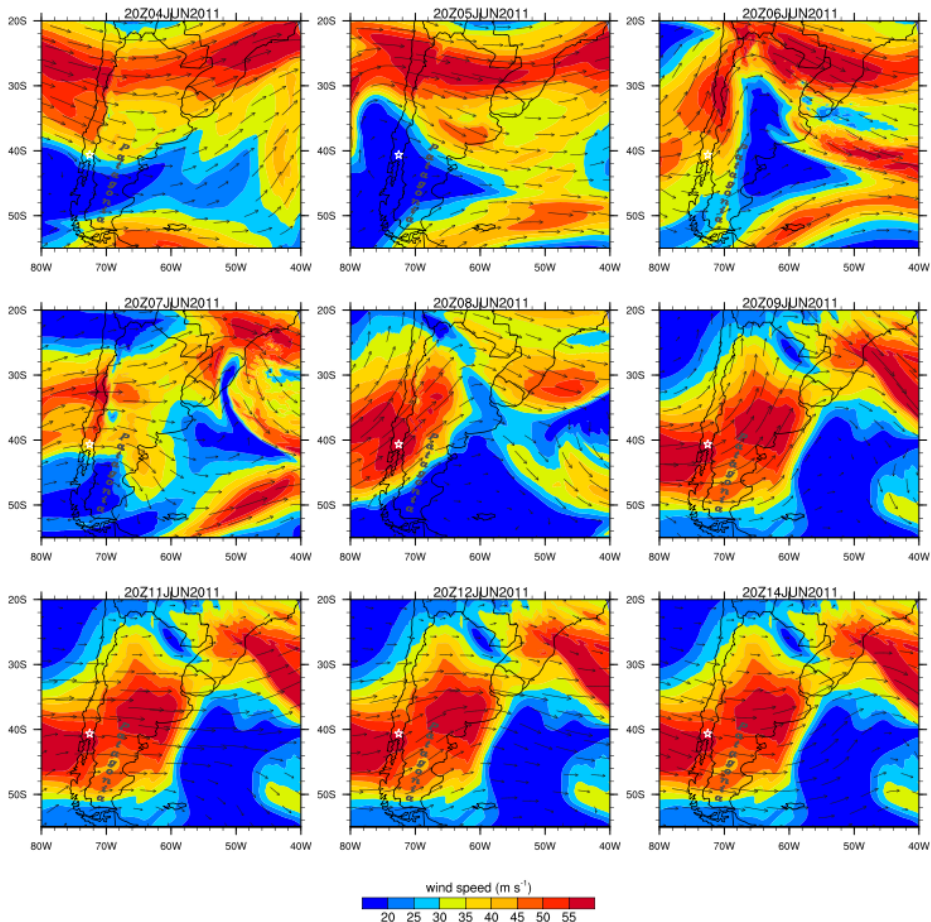
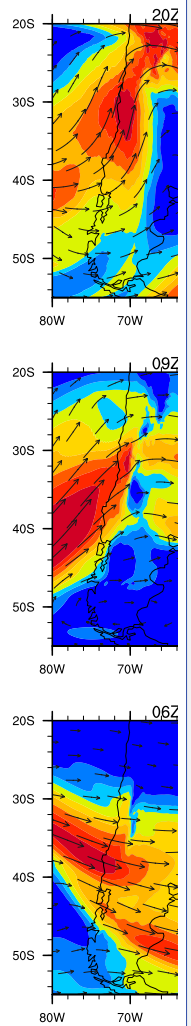


Figure 2. Meteorological synoptic situation during the first two weeks (4-14 June) of the 2011 Caulle (star) activity over South America. Plots show the direction (vector) and velocity (contours  $\text{m s}^{-1}$ ) of the wind at 9100 m above ground level (300 hPa circa). Meteorological data obtained from the NMMB meteorological forecast driven with ERA-Interim reanalysis at  $0.75^\circ$  horizontal resolution.



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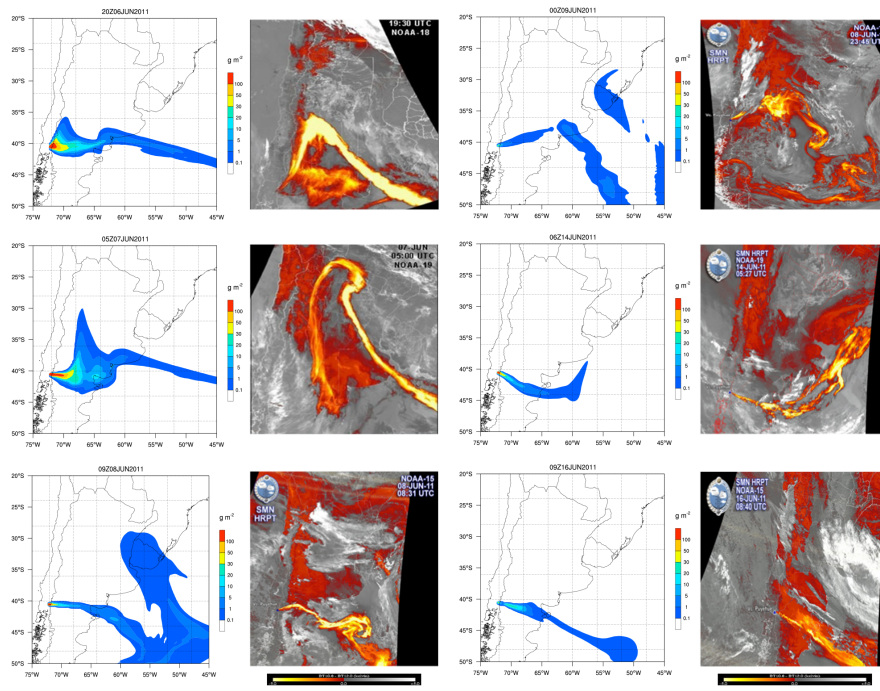
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3 **Figure 3.** Composite image of NMMB/BSC-ASH results for dispersion of ash for the 2011 Caule eruption at different  
 4 time slices. Simulation results are compared against split windows algorithm NOAA-AVHRR satellite images (bands  
 5 [11-12 microns](#)). Contours indicate ash column load ( $\text{g m}^{-2}$ ) resulting from integrating the mass of the ash cloud along  
 6 the atmospheric vertical levels.

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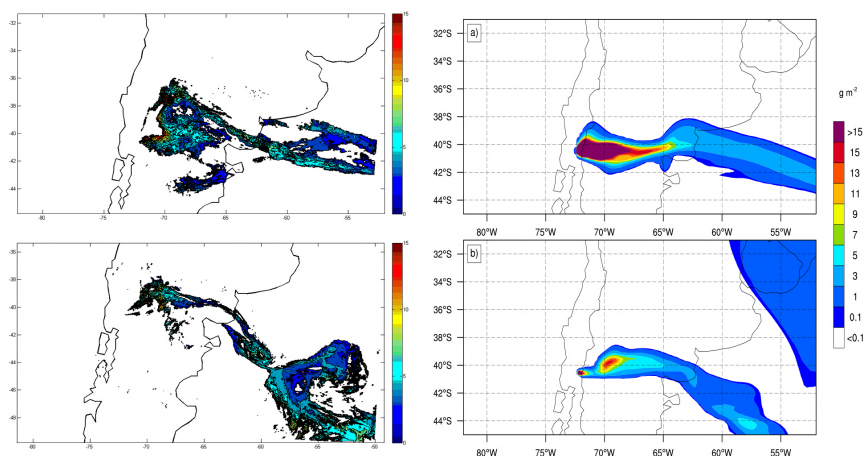
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2 | Figure 4. Left: Mass loadings ( $\text{g m}^{-2}$ ) of the 2011 Caillaud volcanic ash cloud from the MODIS-based retrievals (Osores  
3 et al., 2015). Right: Predicted column mass ( $\text{g m}^{-2}$ ) with NMMB/BSC-ASH for a) 6 June at 14:25 UTC and, b) 8 June  
4 at 14:15 UTC.

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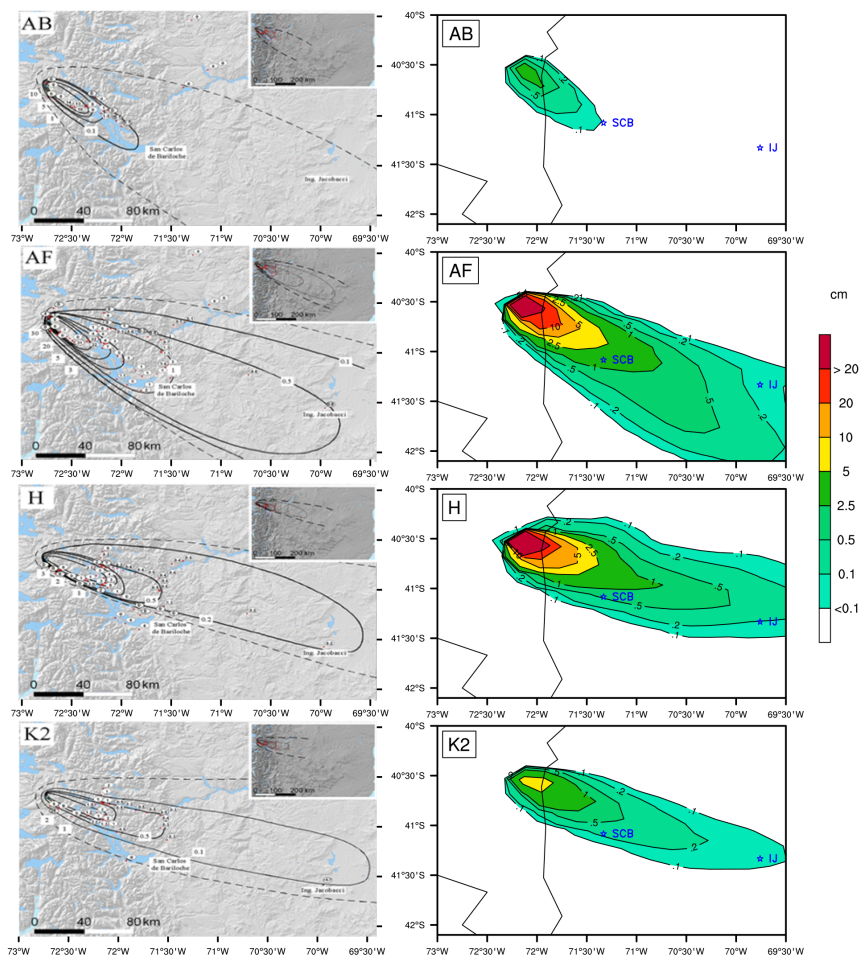
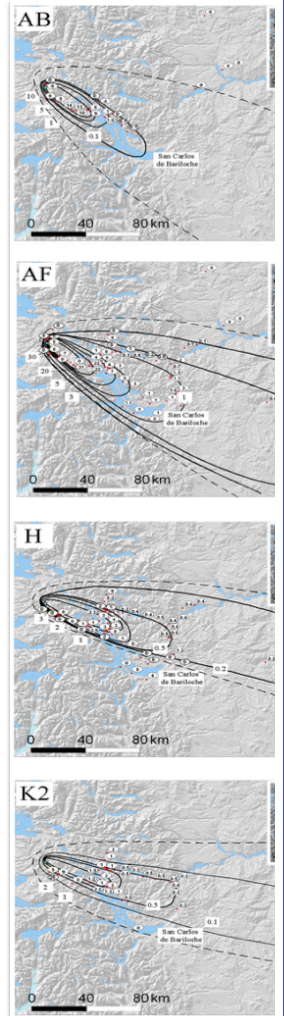


Figure 5. Left: Isopach maps in centimeter of layers A-B, A-F, H, and K2. Dashed lines infer the limit of the deposits presented in Pistolesi et al. (2015b). Right: Corresponding NMMB/BSC-ASH computed thicknesses (cm). Key locations in blue include San Carlos de Bariloche (SCB) and Ingeniero Jacobacci (IJ), 90 and 240 km east of the vent)



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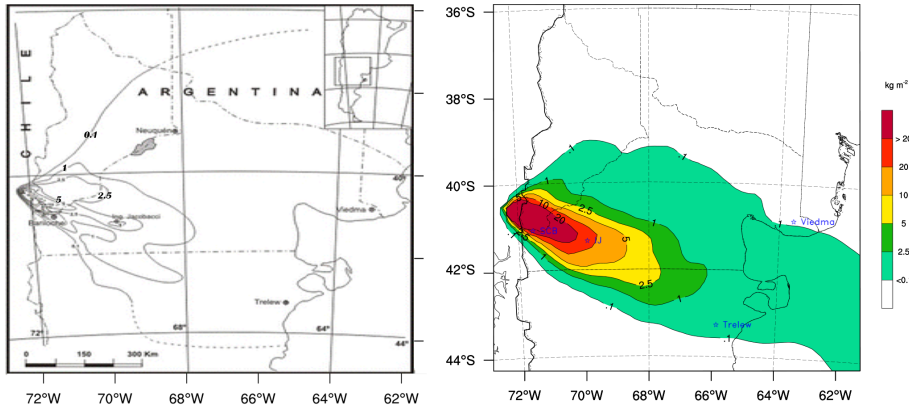
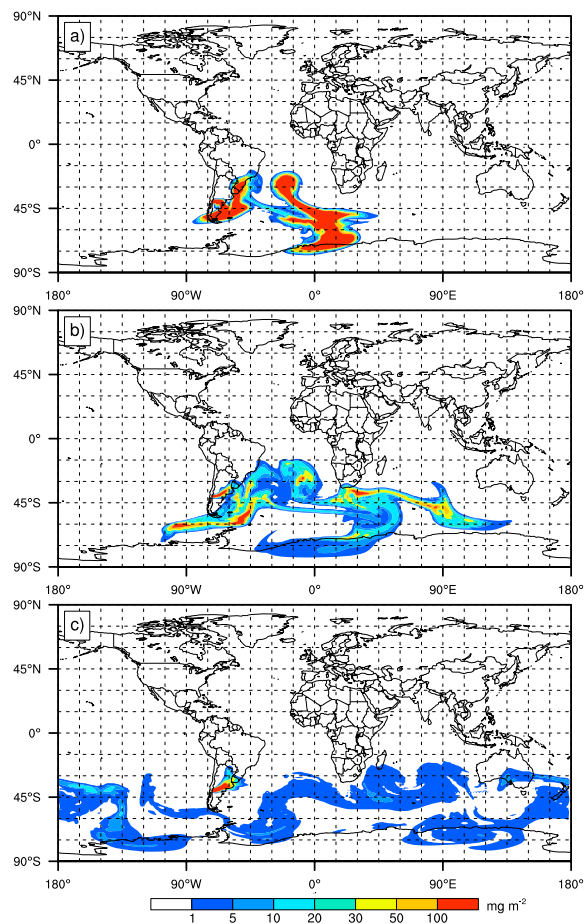


Figure 6. Left: measured ground deposit isopachs ( $\text{kg m}^{-2}$ ) for the period beginning on 4 June until 30 June. Dashed lines infer the limit of the deposits (modified from Collini et al., 2013). Right: Predicted deposit load ( $\text{kg m}^{-2}$ ) with NMMB/BSC-ASH at the end of the simulation. Key locations in blue include San Carlos de Bariloche (SCB; 90 km from the vent), Ingeniero Jacobacci (IJ; 240 km east of the vent), and Trelew and Viedma (~ 600 km SE and NE of the vent, respectively).





1  
2 **Figure 7.** NMMB/BSC-ASH total column concentration (mass loading;  $\text{mg m}^{-2}$ ) from our global simulation. Results  
3 for a) 8 June at 09:00 UTC, b) 10 June at 04:00 UTC, and c) 14 June at 06:00 UTC.

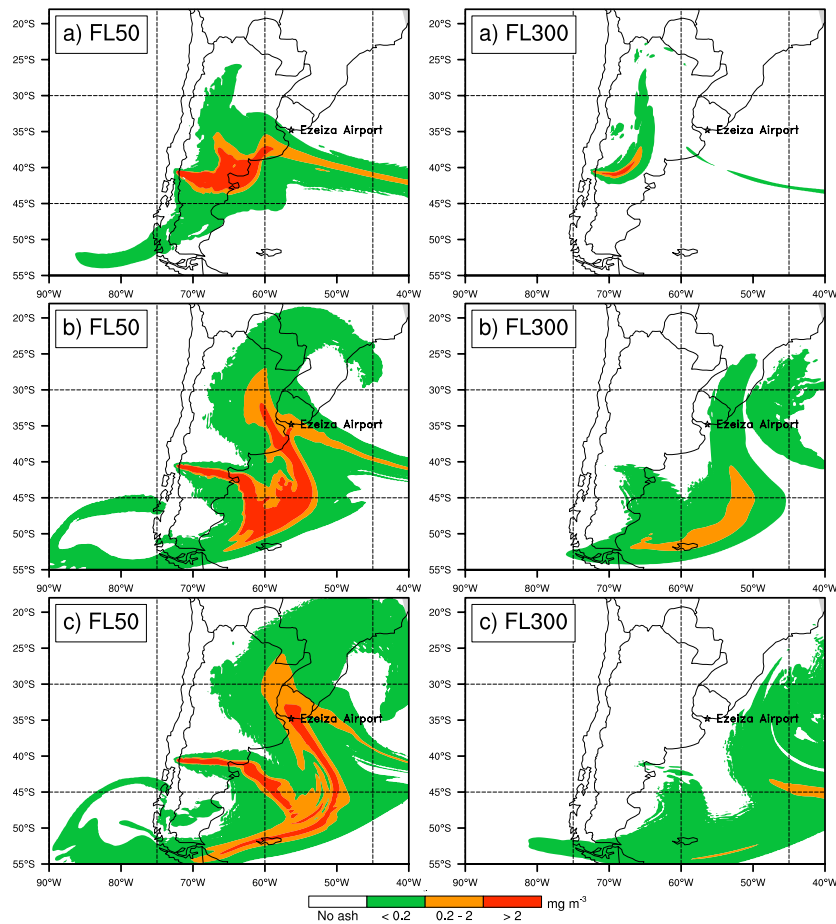


Figure 8. NMMB/BSC-ASH Flight level ash concentrations (mass loading;  $\text{mg m}^{-3}$ ) before and after closure of the Buenos Aires (Ezeiza) airport and air space. Results for FL50 (left) and FL300 (right) for a) 6 June at 11:00 UTC, b) 7 June at 04:00 UTC, and c) 7 June at 12:00 UTC. Safe ash concentration thresholds are shown (red contours illustrate "No Flying" zones).

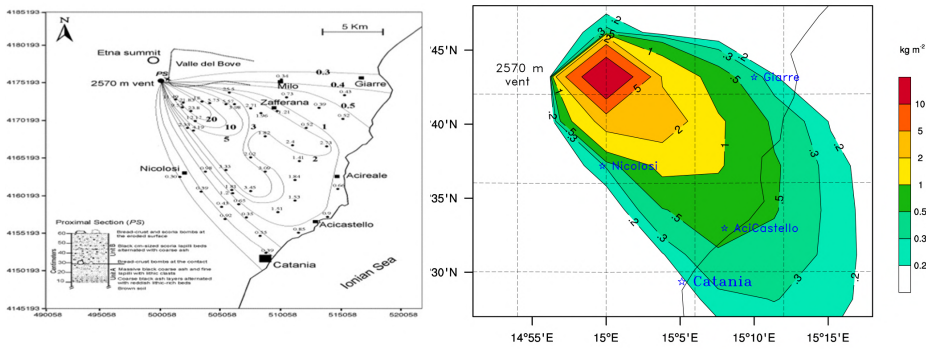


Figure 9. Left: Isomass map of the tephra deposit formed between 21 and 24 July 2001. Curves are given in  $\text{kg m}^{-2}$ . Coordinates are given in UTM-Datum ED50 (Scollo et al., 2007). Right: Modeled deposit load ( $\text{kg m}^{-2}$ ) with NMMB/BSC-ASH at the end of the event.

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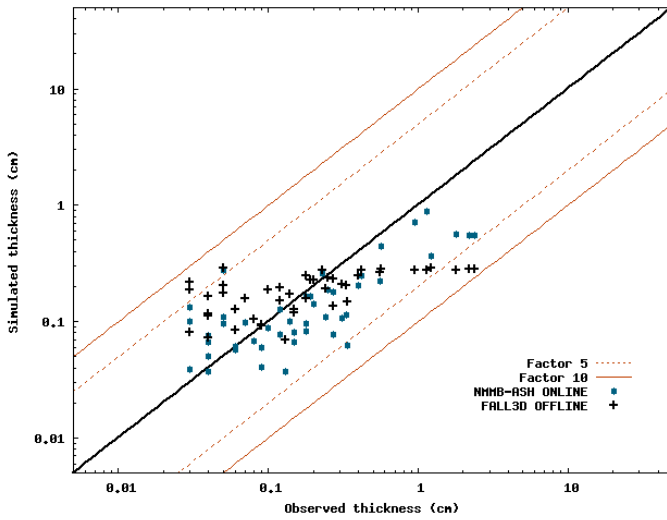


Figure 10. Simulated versus observed thicknesses for the reconstruction of the 2011 Etna eruption with NMMB-ASH (circles) and FALL3D (crosses). The solid bold line represents a perfect agreement, while the dashed and solid thin orange lines mark the region that is different from observed thicknesses by a factor 5 (1/5) and 10 (1/10), respectively.

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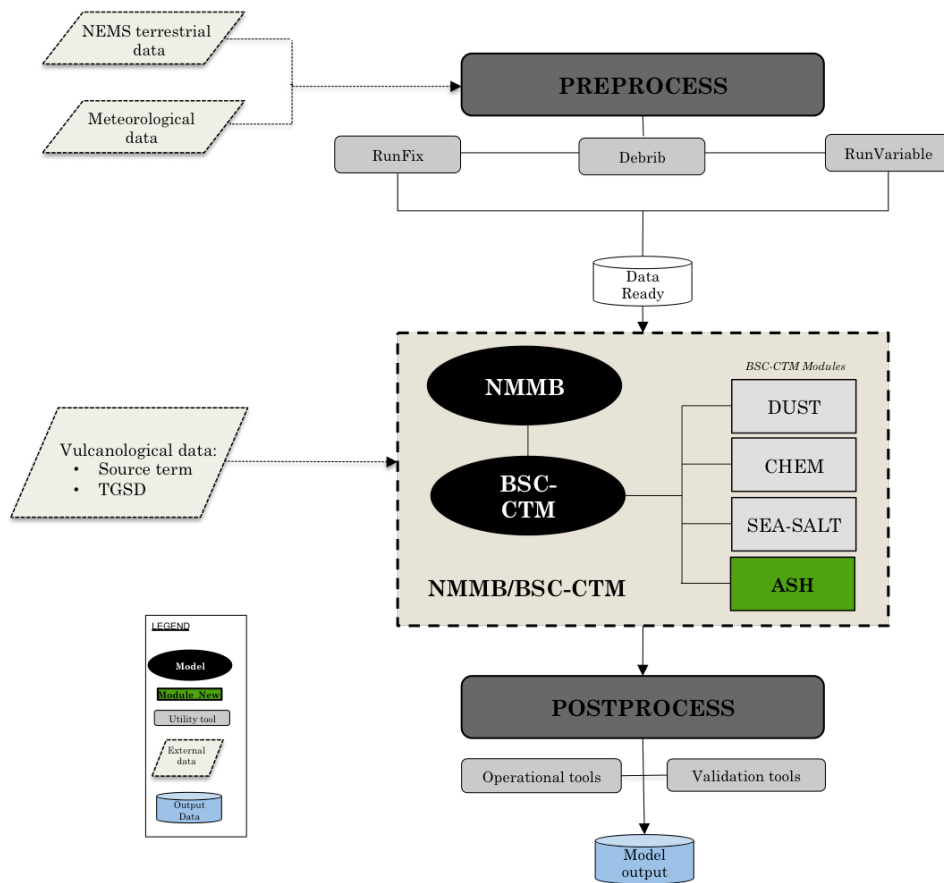


Figure 11. Schematic representation of the operational implementation of NMMB/BSC-ASH.

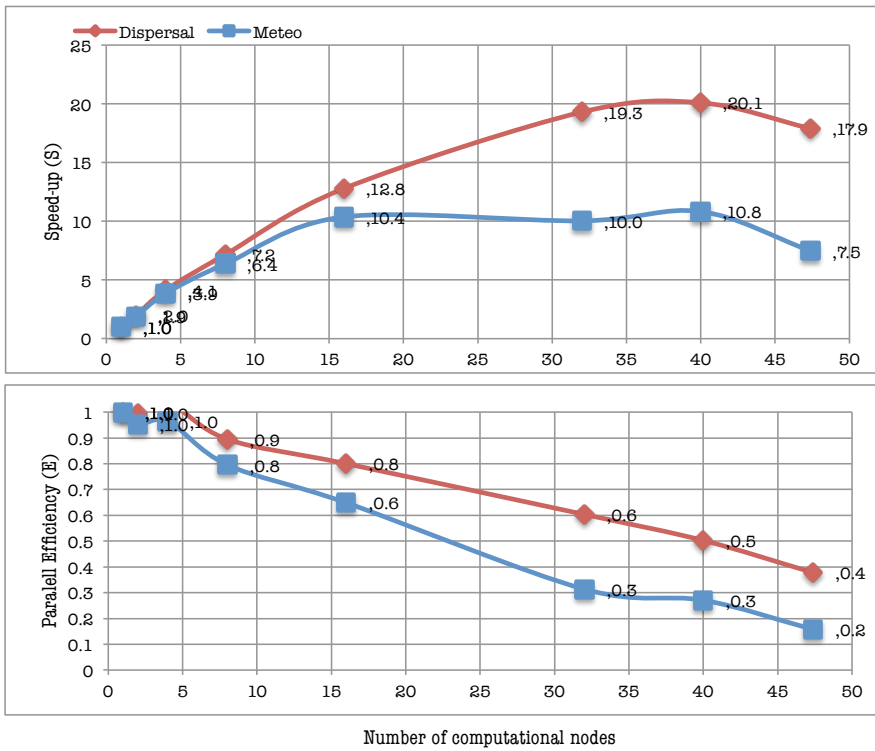
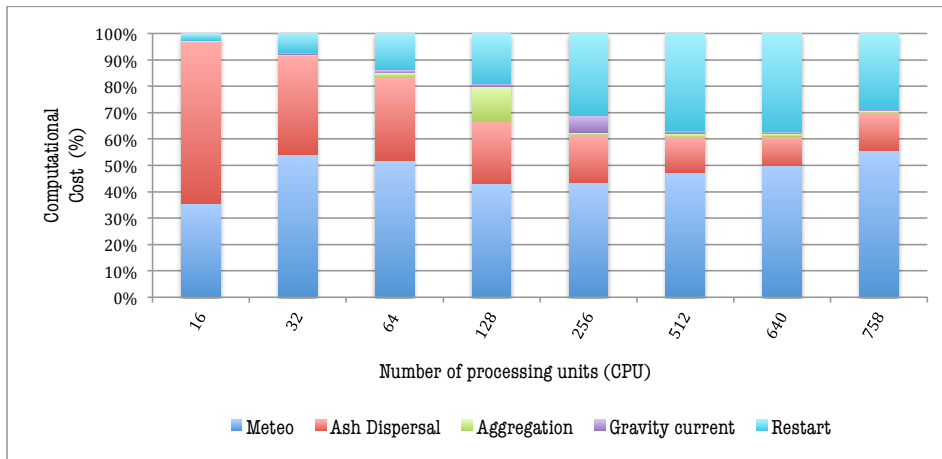


Figure 12. Figure NMMB/BSC-ASH scalability results. Top: parallel speed-up (S; computational speed) for meteorology only (blue) and for meteorology and dispersal combined (red). Bottom: parallel efficiency (E) versus number of computation nodes employed.



**Figure 13. NMMB/BSC-ASH relative computational cost (%) with increasing CPUs. Represented processes include: Meteorology (blue); Ash dispersal for 10 bins (red); Aggregation (green); Gravity current (purple) and; Restart (light blue).**