- 1 Volatility of mixed atmospheric Humic-like Substances and ammonium sulfate particles
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## 21 Abstract

The volatility of organic aerosols remains poorly understood due to the complexity of speciation and multi-phase processes. In this study, we extracted HUmic-LIke Substances (HULIS) from four atmospheric aerosol samples collected at the SORPES station in Nanjing, eastern China, and investigated the volatility behavior of particles at different sizes using a Volatility Tandem Differential Mobility 26 Analyzer (VTDMA). In spite of the large differences in particle mass concentrations, the extracted 27 HULIS from the four samples all revealed very high oxidation states (O: C > 0.95), indicating secondary 28 formation as the major source of HULIS in Yangtze River Delta (YRD). An overall low volatility was identified for the extracted HULIS, with the volume fraction remaining (VFR) higher than 55% for all 29 the re-generated HULIS particles at the temperature of 280 °C. A kinetic mass transfer model was applied 30 to the thermodenuder (TD) data to interpret the observed evaporation pattern of HULIS, and to derive 31 32 the mass fractions of semi-volatile (SVOC), low-volatility (LVOC) and extremely low-volatility components (ELVOC). The results showed that LVOC and ELVOC dominated (more than 80%) the 33 total volume of HULIS. Atomizing processes led to a size dependent evaporation of regenerated HULIS 34 particles, and resulted in more ELVOCs in smaller particles. In order to understand the role of interaction 35 between inorganic salts and atmospheric organic mixtures in the volatility of an organic aerosol, the 36 37 evaporation of mixed samples of ammonium sulfate (AS) and HULIS was measured. The results showed a significant but nonlinear influence of ammonium sulfate on the volatility of HULIS. The estimated 38 39 fraction of ELVOCs in the organic part of largest particles (145 nm) increased from 26% in pure HULIS samples to 93% in 1:3 (mass ratio of HULIS:AS) mixed samples, to 45% in 2:2 mixed samples, and to 40 41 70% in 3:1 mixed samples, suggesting that the interaction with ammonium sulfate tends to decrease the volatility of atmospheric organic compounds. Our results demonstrate that HULIS are important low 42 43 volatile, or even extremely low volatile, compounds in the organic aerosol phase. As important formation pathways of atmospheric HULIS, multi-phase processes, including oxidation, oligomerization, 44 45 polymerization and interaction with inorganic salts, are indicated to be important sources of low volatile and extremely low volatility species of organic aerosols. 46

# 47 1. Introduction

48 Atmospheric organic aerosol (OA) comprises 20-90% of the total submicron aerosol mass depending on location (Kanakidou et al., 2005; Zhang et al., 2007; Jimenez et al., 2009), and play a critical role in air 49 50 quality and global climate change. Given the large variety of organic species, OA is typically grouped in different ways according to its sources and physicochemical properties. These include the classifications 51 52 based on aerosol optical properties (brown carbon and non-light absorption OA), formation pathways (primary (POA) and secondary (SOA) organic aerosol) and solubility (water soluble OA (WSOA) and 53 54 water insoluble OA (WISOA)). HUmic-LIke Substances (HULIS), according to their operational 55 definition, are the hydrophobic part of WSOA, and contribute to more than half of the WSOA (e.g.

Krivácsy et al., 2008). Secondary formation (Lin et al., 2010b) and primary emission from biomass burning (Lukács et al., 2007; Lin et al., 2010a) have been identified as the two major sources of atmospheric HULIS. Because they are abundantly present, water-soluble, light-absorbing and surfaceactive, HULIS in atmospheric particles have been demonstrated to play important roles in several processes, including cloud droplet formation, light absorption and heterogeneous redox activities (Kiss et al., 2005; Graber and Rudich, 2006; Hoffer et al., 2006; Lukács et al., 2007; Lin and Yu, 2011; Verma et al., 2012; Kristensen et al., 2012).

Volatility of atmospheric organic compounds is one of their key physical properties determining their 63 partitioning between the gas and aerosol phases, thereby strongly influencing their lifetimes and 64 concentrations. Atmospheric OA can be divided into semi-volatile organic compounds (SVOC), low 65 66 volatility organic compounds (LVOC) and extremely low volatility organic compounds (ELVOC) (Donahue et al., 2012; Murphy et al., 2014). LVOC and ELVOC are predominantly in the aerosol phase 67 68 and contribute largely to the new particle formation and growth (Ehn et al., 2014), while SVOC have 69 considerable mass fractions in both phases and usually dominate the mass concentration of OA. As far 70 as we know, volatility studies on OA have mostly focused on laboratory-generated organic particles or ambient particles (Kroll and Seinfeld, 2008; Bilde et al., 2015). Laboratory-generated organic particles 71 72 contain only a small fraction of compounds present in atmospheric OA, whereas ambient particles are 73 usually complex mixtures of thousands of organic and several inorganic compounds. One way to interlink 74 laboratory and ambient studies, and to understand the volatility of ambient OA systematically, might be 75 to isolate some classes of OA from ambient particles before investigating their volatility separately. As an important sub-group of organic aerosols in the real ambient aerosols, the physicochemical properties 76 of HULIS have been studied widely, including their mass concentrations (Lin et al., 2010b), chemical 77 78 composition (Lin et al., 2012; Kristensen et al., 2015; Chen et al., 2016), density (Dinar et al., 2006) and hygroscopicity (Wex et al., 2007; Kristensen et al., 2014). However, to the best of our knowledge, the 79 volatility of atmospheric HULIS has never been reported so far. 80

In the ambient aerosol, organic aerosol (OA, including HULIS) mostly co-exist with inorganic compounds, such as ammonium sulfate. The volatility of OA has been demonstrated to be affected by aerosol-phase reactions when mixed with inorganic compounds (Bilde et al., 2015). The most typical examples of these are interactions between particulate inorganic salts with organic acids to form organic salts, which evidently can enhance the partitioning of organic acids onto the aerosol phase (Zardini et al., 2010; Laskin et al., 2012; Häkkinen et al., 2014; Yli-Juuti et al., 2013;). Recent studies have reported that the saturation vapor pressure ( $p_{sat}$ ) of ammonium oxalate is significantly lower than that of pure oxalic acid, with  $p_{sat}$  being around 10<sup>-6</sup> Pa for ammonium oxalate (Ortiz-Montalvo et al., 2014; Paciga et al., 2014). However, this has not shown to be the case for adipic acid vs. ammonium adipate, indicating that not all dicarboxylic acids react with ammonium to form low-volatility organic salts (Paciga et al., 2014). Given that HULIS contain acidic species (Paglione et al., 2014; Chen et al., 2016), their interaction with inorganic salts would plausibly influence their volatility.

In this study, HULIS were extracted from PM<sub>2.5</sub> filter samples collected at the SORPES station (Station 93 for observing Regional Processes of the Earth System) in western Yangtze River delta (YRD) during the 94 95 winter of 2014 to 2015. A Volatility-Hygroscopicity Tandem Differential Mobility Analyzer (VHTDMA) 96 was then used to measure the volatility properties of extracted HULIS and their mixtures with ammonium 97 sulfate. A kinetic mass transfer model was deployed to re-build the measured thermograms, and to 98 separate the mixture into three volatility fractions having an extremely low volatility, low volatility and semi-volatility. Our main goals were (1) to characterize the volatility of size-dependent, re-generated 99 100 HULIS particles and to get insight into the relationship between atmospheric HULIS and ELVOC, and (2) to understand how the interaction between HULIS and inorganic salts affect their volatilities. 101

102 2. Methods

103 2.1 Sample collection and HULIS extraction

The SORPES station is located on the top of a hill in the Xianlin campus of Nanjing University, which is about 20 km east from the downtown Nanjing and can be regarded as a regional background site of Yangtze River delta (YRD) (Ding et al., 2013; Ding et al., 2016). The samples collected here, especially during the polluted days, were believed to be regional representation of YRD. 24-hour PM<sub>2.5</sub> samples were collected on quartz filters using a middle-volume PM<sub>2.5</sub> sampler during the winter of 2014 to 2015. HULIS were extracted from four aerosol samples for the following volatility measurements.

Water-soluble inorganic ions, organic carbon (OC) and elemental carbon (EC) were measured online using a Monitor for Aerosols and Gases in Air (MARGA) and a sunset OC/EC analyzer during the sampling periods. WSOC were extracted from portions of the sampled filters using sonication in ultrapure water with the ratio of 1 mL water per 1 cm<sup>2</sup> filter. Insoluble materials were removed by filtering the extracts with a 0.45  $\mu$ m Teflon filter (Millipore, Billerica, MA, USA). A TOC analyzer with

a non-dispersive infrared (NDIR) detector (Shimadzu TOC-VCPH, Japan) was used to determine WSOC 115 concentrations. The aerosol water extracts were then acidified to pH = 2 by HCl and loaded onto a SPE 116 cartridge (Oasis HLB, 30 µm, 60 mg / cartridge, Waters, USA) to isolate the HULIS following the 117 procedure described in Lin et al (2010b). Since the HULIS samples were in diluted water solutions rather 118 than condensed-phase, and were acidified right before (in general, less than 15 min) loading the sample 119 on the SPE cartridge, we believe the acid-catalyzed reactions unlikely would take place to a significant 120 degree as to influence their volatility (e.g. Birdsall et al., 2013). Most of the inorganic ions, low-121 molecular-weight organic acids and sugars were removed, with HULIS retaining on the SPE cartridge. 122 A total 20 ml of methanol was then used to elute the HULIS. The eluate was evaporated to dryness under 123 a gentle stream of nitrogen gas. A part of the HULIS eluate was re-dissolved in 1.0 mL water to be 124 quantified with an evaporative light scattering detector (ELSD). It should be noted here that HULIS 125 126 extracted in this work refers to the part of water-soluble organic compounds that are partly hydrophobic in character. In case that the isolation processes may influence the evaporation behavior of HULIS by 127 removing some species (especially the inorganic salts) which were originally mixed together with HULIS, 128 129 we also re-induce ammonium sulfate, the most important inorganic salt, to the extracted HULIS and 130 investigate the volatility of the mixed samples (section 3.2).

## 131 2.2. Volatility measurements by VTDMA measurements

The evaporation behavior of water-soluble HULIS and their mixtures with AS was measured using a 132 Volatility Tandem Mobility Analyzer, which is part of a Volatility-Hygroscopicity Tandem Differential 133 Mobility Analyzer (VH-TDMA) system (Hong et al., 2014). During the measurements, the 134 hygroscopicity mode was deactivated, so that only the volatility mode of this instrument was functioning. 135 Briefly, aerosol particles were generated by atomizing aqueous solutions consisting of HULIS and their 136 137 mixtures with AS by using an atomizer (TOPAS, ATM 220). Then, a monodisperse aerosol with particle sizes of 30, 60, 100 and 145 nm were selected by a Hauke-type Differential Mobility Analyzer (DMA, 138 Winklmayr et al., 1991). The monodisperse aerosol flow was then heated by a thermodenuder at a certain 139 temperature, after which the number size distribution of the particles remaining was determined by a 140 141 second DMA and a condensation particle counter (CPC, TSI 3010). The thermodenuder was a 50-cmlong stainless steel tube with an average residence time of around 5 s. 142

143 The VTDMA measures the shrinkage of the particle diameter after heating particles of some selected 144 initial size at different temperatures. Conventionally, the volume fraction remaining (VFR), i.e. the faction of aerosol mass left after heating particles of diameter  $D_p$ , is used to describe the evaporation quantitatively.  $D_p(T_{room})$  is the initial particle diameter at room temperature.  $D_p(T)$  is the particle diameter after passing through the thermodenuder at the temperature *T*.

148 The VFR can be defined as:

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$$\operatorname{VFR}(D_{\mathrm{p}}) = \frac{D_{\mathrm{p}}^{3}(T)}{D_{\mathrm{p}}^{3}(T_{room})}$$
 (1)

In this work, we analyzed a total of 8 samples with 6 of them collected during winter and the other two during summer, and covering a wide range of PM concentration from less than 40  $\mu$ g/m<sup>3</sup> to higher than 150  $\mu$ g/m<sup>3</sup>. All these 8 samples showed similar evaporation behavior with some small differences in details (Figure S1). Therefore, in terms of volatility, we believe that there were no large differences among individual samples of the water-soluble HULIS fraction. Hence, we selected only 4 of the samples to represent the range of HULIS samples collected at the SORPES station.

## 156 2.3. Kinetic mass transfer model

A kinetic mass transfer model (Riipinen et al., 2010) was applied to help interpreting the HULIS 157 evaporation data. The size distribution, chemical composition and physicochemical properties of the re-158 generated HULIS particles, as well as the residence time of the particles traveling through the 159 thermodenuder, were predefined in the model. As an output, the model provided the particle mass change 160 161 as a function of the residence time, which can either increase or decrease depending on the particle 162 composition, volatility of compounds and concentrations of surrounding vapors. With the aim to reproduce the observed evaporation pattern of HULIS particles measured by the VTDMA, the model 163 applied an optimization procedure to minimize the difference between the measured and modeled 164 evaporation curves of the HULIS particles. 165

In the model, particles were assumed to consist of compounds that can be grouped into three volatility bins: semi-volatile, low-volatility and extremely low-volatility components. These three "bins" were quantified by assuming that they had fixed volatilities with  $p_{sat}$  (298 K) = [10<sup>-3</sup> 10<sup>-6</sup> 10<sup>-9</sup>] Pa. Modeling was performed for each experiment / sample separately, with 4 samples and 4 different initial particle sizes ( $D_p = 30, 60, 100$  and 145 nm), leading to 16 different model runs, each providing information on how much semi-volatile, low-volatile and extremely low-volatility matter ( $X_i$ ) was present in the investigated particles. The initial particle size refers to the particle diameter prior to heating. The values

for  $p_{sat}$  (298 K) and  $\Delta H_{vap}$  (see Table 1 and text above) were selected by doing a preliminary test model 173 runs. With  $\Delta H_{vap}$  of around [40 40 40] kJ mol<sup>-1</sup> and  $p_{sat}$  (298 K) of [10<sup>-3</sup> 10<sup>-6</sup> 10<sup>-9</sup>] Pa the model was best 174 175 able to reproduce the observed evaporation curves of the HULIS aerosol. Such low vaporization enthalpies (referred often as effective vaporization enthalpies) for aerosol mixtures, for example for SOA 176 from  $\alpha$ -pinene oxidation, have been reported also in previous studies (Häkkinen et al., 2014;Donahue et 177 al., 2005;Offenberg et al., 2006;Riipinen et al., 2010). The molecular weight and density of HULIS were 178 assumed to be 280 g mol<sup>-1</sup> (Kiss et al., 2003; Lin et al., 2012) and 1.55 kg m<sup>-3</sup> (Dinar et al., 2006), 179 respectively. Since the value of mass accommodation coefficient (MAC) may influence the simulated 180 volatility distribution of HULIS, sensitivity of this kinetic evaporation model was tested towards different 181 values of mass accommodation coefficient (i.e. MAC=1, 0.1, 0.01) for both pure HULIS sample and 182 mixed samples (figure not shown). The results suggested that 1 is the proper MAC value to best reproduce 183 184 the measured evaporation behavior (Table 1).

185 Volatility information, specifically described as the saturation vapor pressure and vaporization enthalpy 186 here, of ammonium sulfate was determined by interpreting the evaporation behavior of laboratory-187 generated AS particles using the kinetic evaporation model. By setting the saturation vapor pressures and 188 enthalpy of vaporization of AS as fitting parameters, the optimum solution was obtained by minimizing 189 the difference between the measured and model-interpreted thermograms of AS particles. Hence,  $p_{sat}$ 190 (298 K) of  $1.9 \cdot 10^{-8}$  Pa and  $\Delta H_{vap}$  of 97 kJ mol<sup>-1</sup> for AS were determined and used in the following analysis.

191 2.4 AMS measurement for oxygen to carbon ratio

The O : C (Oxygen to carbon) ratios of re-generated HULIS particles were measured using a high-192 193 resolution time-of-flight aerosol mass spectrometer (HR-Tof-AMS, Aerodyne Research Inc., Billerica, USA). Detailed descriptions of the instrument and data processing can be found in previous publications 194 195 (DeCarlo et al., 2006; Canagaratna et al., 2007). The HULIS solution was atomized to generate polydispersed aerosol particles and introduced into AMS. The AMS was operated in V mode and the data 196 197 was acquired at 5-min saving intervals. The AMS data were analyzed using standard Tof-AMS data analysis toolkits (SQUIRREL version 1.57H and PIKA version 1.16H in Igor Pro software (version 198 199 6.22A, WaveMetrics Inc.). For mass calculations, the default relative ionization efficiency (RIE) values 1.1, 1.2, 1.3 and 1.4 for nitrate, sulfate, chloride and organic were applied, respectively. The RIE for 200 201 ammonium was 2.6, determined from the ionization efficiency calibration. In elemental analysis, the "Improved- Ambient" method was applied to calculate O:C ratios by considering the CHO<sup>+</sup> ion
correction (Canagaratna et al., 2015).

204 3. Results and discussions

205 Figure 1 shows the chemical compositions of the four  $PM_{2.5}$  samples, and the oxygen to carbon ratio (O : C) of the extracted HULIS in related samples. The four samples can be classified into two groups based 206 207 on their PM<sub>2.5</sub> concentrations (the sum of all measured chemical compositions), with one group (samples 1 and 2) having the PM<sub>2.5</sub> higher than 110  $\mu$ g/m<sup>3</sup> and the other one (samples 3 and 4) having the PM<sub>2.5</sub> 208 lower than 40 µg m<sup>-3</sup>. The concentrations of inorganic compounds (sulfate, nitrate and ammonium) were 209 significantly higher in samples 1 and 2 than in samples 3 and 4. The HULIS concentrations were also 210 higher in samples 1 and 2 (about  $9 \mu g/m^3$ , ratio of HULIS-carbon to OC were about 0.3) than in samples 211 3 and 4 (about  $6 \mu g/m^3$ , ratio of HULIS-carbon to OC were about 0.4). The oxidation states of the HULIS, 212 however, did not show any notable differences, showing very high values for all the four samples (O:C >213 0.95), indicating that the HULIS in YRD could be mostly secondarily formed even during the relatively 214 215 clean days. Such high oxidation states suggest that the extracted HULIS were very likely highly-oxidized, multifunctional compounds (HOMs) originating from multi-phase oxidation (Graber and Rudich, 2006). 216 One possible source of these HOMs is the oxidation of aromatics, which initiated by hydroxyl radical 217 (OH) and followed by auto-oxidation (Molteni et al., 2016). 218

## 219 3.1 Volatility of atmospheric water-soluble HULIS

220 The volume fraction remaining (VFR) of the HULIS particles as a function of the heating temperature obtained from VTDMA is illustrated in Fig. 2. An overall low volatility was identified for the HULIS 221 222 particles, with the VFR higher than 55% for the particles of all 4 sizes at the heating temperature of 280 °C and residence time of 5 s. Small differences in the volatility could be observed between the 223 samples of high mass concentrations and low mass concentrations in that the evaporation of HULIS in 224 samples 1 and 2 was in general weaker than that in samples 3 and 4. In addition, all the samples started 225 to evaporate from the very beginning of the heating program (around 20 °C to 25 °C) and the evaporation 226 curves varied smoothly, suggesting that the HULIS particles were mixtures of compounds having wide 227 range of saturation vapor pressures. 228

A kinetic mass transfer model was applied to reproduce the observed evaporation of the HULIS, and to estimate the mass fractions of semi-volatile (SVOC,  $p_{sat}$  (298K) = 10<sup>-3</sup> Pa), low-volatility (LVOC,  $p_{sat}$ 

 $(298K) = 10^{-6}$  Pa) and extremely low-volatility organic components (ELVOC,  $p_{sat}(298K) = 10^{-9}$  Pa). As 231 shown in Fig. 3, the model performed reasonably well in simulating the "pure" HULIS particles (example 232 233 for sample 1). Noting that the HULIS mixtures were represented with only three model compounds of different volatilities, the modeled evaporation curves of the HULIS in all samples showed a relatively 234 good agreement with the measured evaporation curves for all the four particle sizes. The shape of the 235 modeled thermograms is not as smooth as that of the measured ones suggesting lower number of 236 237 volatilities in simulations compared with in the real samples. The model-simulated distributions of SVOC, LVOC and ELVOC of each water-soluble HULIS sample gave indication on the volatility of HULIS. As 238 shown in Fig. 4, all the water-soluble HULIS consisted of compounds from all the 3 volatility "bins", 239 further confirming HULIS to be mixtures of compounds with wide range of volatilities. SVOC was 240 estimated to account for only small proportion (less than 20% of the particle mass) of the water-soluble 241 HULIS, while LVOC and ELVOC dominated these samples (78% - 97% of the particle mass), suggesting 242 an overall low volatility of the extracted water-soluble HULIS. Given that the heating program has the 243 potential to raise the evaporation of HULIS by decomposing large molecules, the real volatility of 244 atmospheric HULIS could be even lower than obtained here. Detailed molecular information of extracted 245 246 HULIS was not available in this study. But a previous study at the Pearl River Delta, another polluted megacity region in China, showed the molecular weight of HULIS was in the range of 100 to 500, with 247 248 a significant fraction higher than 260. Using the method provided by Li et al. (2016) to estimate the volatility of these compounds, we calculated that a large fraction of these compounds in water-soluble 249 250 HULIS was LVOC or ELVOC, especially the S-containing compounds with molecular weight higher than 200. Future volatility measurement studies are suggested to investigate the S-containing compounds. 251

In spite of their overall low values, the volatilities of the water-soluble HULIS varied between the 252 253 different samples. The HULIS extracted from the samples of higher particle mass loadings (samples 1 and 2) had, in general, lower volatilities than those extracted from the samples of lower particle mass 254 255 concentrations (samples 3 and 4). By taking 30 nm particles as an example, sample 2 had the largest mass fraction of ELVOC, up to 72%, followed by sample 1 (66%) and sample 3 (64%), while sample 4 had 256 257 the least amount of ELVOC (58%). Correspondingly, the mass fraction of SVOC in 30 nm particles was the highest in sample 4 (9%) and the lowest in sample 2 (6%). Several factors, including the molecular 258 weight, oxidation state and molecular structure of the compounds, as well as their interaction with other 259 compounds, can influence the volatility of HULIS. Although there is not enough information to support 260 261 the final conclusion, we excluded the oxidation state as a key factor here because its variation did not match the volatility changes of the HULIS samples. As can be seen from Figs.1 and 4, sample 2 showed the lowest volatility but the third highest oxidation state of the four samples. Instead of the oxidation state, the interaction between water-soluble HULIS and inorganic species is a more likely candidate for influencing the observed variation of the HULIS volatility, especially as the lower-volatility samples (sample 1 and sample 2) had higher concentrations and fractions of inorganic species (Fig. 1).

Within individual HULIS samples, the estimated amount of ELVOC, LVOC and SVOC varied with the 267 268 particle size (Fig. 4). The mass fraction of ELVOC was in the range of 58–72% for the smallest particles (30 nm in diameter) and decreased to the range of 47-60% for the 60 nm, to the range of 35-53% for 269 the 100 nm particles, and to the range of 20-39% for the 145 nm particles. The amount of LVOC 270 increased correspondingly with an increasing particle size, from 23-33% for the 30 nm particles to 52-65% 271 272 for the 145 nm particles. The amount of SVOC slightly increased with an increasing particle size, on average from 7.5% (30 nm) to 14.5% (145 nm). The most likely explanation for this behavior is that, due 273 274 to the Kelvin effect, compounds with higher volatilities are likely to evaporate more from smaller particles. This result indicates that size-resolved chemical compositions of laboratory-generated particles 275 276 from aqueous solutions of mixtures should be examined more carefully to support their size-dependent 277 physical properties from lab studies.

278 3.2 Interaction between water-soluble HULIS and ammonium sulfate

279 Organic material, including HULIS, are always mixed with inorganic species in the real ambient aerosol. 280 Their interactions have been shown to influence the volatility of the organic matter. However, recent 281 work has focused on the interaction between one specific organic compound and some inorganic salt(s). 282 For example, Laskin et al. (2012) observed the formation of sodium organic salt in a submicron organic 283 acid-NaCl aerosol. Ma et al. (2013) reported that the formation of sodium oxalate can occur in particles containing oxalic acid and sodium chloride. Häkkinen et al. (2014) demonstrated that low-volatility 284 285 material, such as organic salts, were formed within aerosol mixtures of inorganic compounds with 286 organic acids. Zardini et al. (2010) and Yli-Juuti et al. (2013b) suggested that interactions between 287 inorganic salts and organic acids in the particle phase might further enhance the partitioning of organic acids onto the particle phase. Given the complex nature of organic aerosols in the real atmosphere, large 288 uncertainties will be induced when using simplified laboratory results for explaining observations in the 289 real atmosphere. In this study, we investigated the volatility of mixed samples of HULIS and ammonium 290

sulfate in different ratios in order to get better understand organic-inorganic interactions underatmospherically relevant conditions.

Three samples were prepared by mixing water-soluble HULIS (extracted from sample 1) and pure 293 294 ammonium sulfate (AS) with the mass ratios (HULIS to AS) of 0.25:0.75, 0.5:0.5 and 0.75:0.25 (actually 0.29:0.71, 0.55:0.45 and 0.79:0.21). As shown by Fig. 5, pure ammonium sulfate particles started to 295 296 evaporate at 100°C, and were almost entirely evaporated at 180 °C, whereas HULIS aerosol started to 297 evaporate at the very beginning (about 20 °C) and more than 80% of its volume still remained at 180 °C. The evaporation curves for the three mixed samples (Fig. 6) showed generally slow evaporation rates 298 within the temperature windows from 20 °C to 100 °C and from 180 °C to 280 °C, and much faster 299 evaporation rates between 100 °C and 180 °C. Interactions between water-soluble HULIS and 300 301 ammonium sulfate obviously influenced the observed volatility. For example, the VFRs of 0.25:0.75 samples (Fig. 6a) at the temperature of 180 °C were around 0.4 (varied from 0.397 to 0.428 for different 302 303 size particles), which is significantly higher than the calculated VFR  $(0.29 \times 0.8 + 0.71 \times 0.06 = 0.275)$  by assuming water-soluble HULIS and ammonium sulfate independently separated. This indicates that 304 305 mixing of ammonium sulfate to a solution of water-soluble HULIS decreases the volatility of the organic group or, alternatively, forms new compounds of low volatility. For the 0.5:0.5 and 0.75:0.25 samples 306 307 (Fig. 6b and 6c), the VFRs at 180 °C were around 0.43 (0.395 to 0.460 for different size particles) and 0.64 (0.595 to 0.655), which are comparable to the calculated VFR (0.467 for the 0.5:0.5 samples and 308 309 0.645 for the 0.75:0.25 samples). These results indicate that the role of HULIS-AS interactions in the volatility of their mixtures is complex and nonlinear. 310

311 In order to quantify the volatility changes of water-soluble HULIS induced by its interaction with 312 ammonium sulfate, the kinetic mass transfer model was again applied to estimate the mass fractions of 313 SVOC, LVOC and ELVOC for the HULIS part in the mixed samples. As shown in Fig. 7, the model's performance in simulating mixed HULIS-AS samples was fairly good, yet poorer than in simulating the 314 315 "pure" HULIS sample. The poorest agreement between the simulated and measured evaporation curves was found for the 1:3 mixed samples (mass ratio of HULIS to AS), indicating relatively high uncertainties 316 317 in the calculated mass fractions of compounds with different volatility bins for this mixture. These visible 318 differences between modeled and measured results indicate that interactions between water-soluble 319 HULIS and AS indeed influence their volatility distribution. As can be seen from Fig. 8, the estimated 320 fraction of ELVOC in the HULIS part of the 0:25:0.75 (Fig. 8b) and 0.75:0.25 (Fig. 8d) samples was

much higher than in the pure HULIS sample (Fig. 8a), while the ELVOC fraction in the 0.5:0.5 sample 321 322 was comparable to that in the pure HULIS sample. By taking 30 nm and 145 nm particles as an example, 323 the corresponding estimated ELVOC fractions were 0.66 and 0.26 in the pure HULIS sample, 1.0 and 0.93 in the 0.25:0.75 sample, 0.53 and 0.45 in the 0.5:0.5 sample, and 0.83 and 0.71 in the 0.75:0.25 324 sample, respectively. In spite of the possible overestimation of ELVOCs fraction in 1:3 mixed samples, 325 these results suggest that the interaction between water-soluble HULIS and ammonium sulfate tend to 326 decrease the volatility of HULIS, and that this effect is nonlinear. It should be emphasized here in case 327 HULIS are always mixed with ammonium sulfate, which accounted for 30% of the mass of  $PM_{2.5}$  (Xie 328 et al., 2015), in ambient aerosols of YRD region, it is possible that these mixed samples are more 329 representative of the real volatility of HULIS in ambient aerosols. 330

331 4. Conclusion and implication

In this study, we analyzed the volatility of atmospheric water-soluble HULIS extracted from four PM<sub>2.5</sub> 332 samples collected at the SORPES station in the western YRD of eastern China, and investigated how the 333 interactions between water-soluble HULIS and ammonium sulfate affected the volatility of HULIS 334 aerosol fraction. Overall, low volatilities and high oxidation states were identified for all the four samples, 335 with VFRs at 280°C being higher than 55 % and O to C ratio being higher than 0.95 for all the re-336 generated HULIS particles. A kinetic mass transfer model was deployed to divide the HULIS mixture 337 338 into SVOC, LVOC and ELVOC groups. We found that water-soluble HULIS were dominated by LVOC and ELVOC (more than 80%) compounds. Given the possible thermo-decomposition of large molecules 339 340 during the heating program, an even lower volatility than found here is possible for atmospheric HULIS in eastern China. The Kelvin effect was supposedly taking place in atomizing the solutions of the HULIS 341 342 mixtures, which resulted in a size dependent distribution of the relative fractions of SVOC, LVOC and ELVOC in the generated particles. The interaction between water-soluble HULIS and ammonium sulfate 343 344 was found to decrease the volatility of the HULIS part in the mixed samples. However, these volatility changes were not linearly correlated with the mass fractions of ammonium sulfate, indicating a complex 345 interaction between the HULIS mixture and inorganic salts. 346

This study demonstrates that HULIS are important low volatility and extremely low volatility compounds in the aerosol phase, and sheds new light on the connection between atmospheric HULIS and ELVOCs. In a view of the important sources of HULIS, multi-phase processes, including multi-phase oxidation, oligomerization, polymerization and interaction with inorganic salts, have the potential to lower the

- volatility of organic compounds in the aerosol phase, and to influence their gas-aerosol partitioning.
- 352 Multiphase processes could be one of the important reasons that most models tend to underestimate the
- 353 formation of SOA.

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Model input parameter	Unit	HULIS
Molar mass, M	g mol <sup>-1</sup>	[280 280 280]
Density, $\rho$	kg m <sup>-3</sup>	[1550 1550 1550]
Surface tension, $\sigma$	$N m^{-1}$	[0.05 0.05 0.05]
Diffusion coefficient, D	$10^{-6} \text{ m}^2 \text{ s}^{-1}$	[5 5 5]
Parameter for the calculation of	-	[1.75 1.75 1.75]
<i>T</i> -dependence of $D, \mu$		
Saturation vapor pressure, <i>p</i> <sub>sat</sub> (298 K)	Pa	[10 <sup>-3</sup> 10 <sup>-6</sup> 10 <sup>-9</sup> ]
Saturation vapor concentration, <i>c</i> <sub>sat</sub> (298 K)	µg m <sup>-3</sup>	$[10^2  10^{-1}  10^{-4}]$
Enthalpy of vaporization, $\Delta H_{vap}$	kJ mol <sup>-1</sup>	[40 40 40]
Mass accommodation coefficient, $\alpha_m$	-	[1 1 1]
Activity coefficient, $\gamma$	-	[1 1 1]
Particle initial diameter, $d_{p}$	nm	30, 60, 100, 145
Particle total mass, $m_{p,tot}$	μg m <sup>-3</sup>	1
		Thermodenuder
Length of the flow tube	m	0.50 (i.d of 6 mm)
Residence time	S	5

Table 1 Kinetic model input settings for three-component HULIS aerosol.

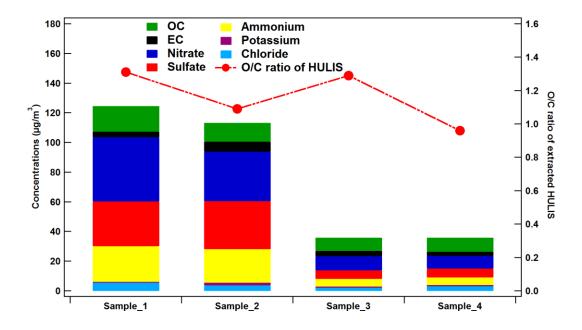


Figure 1 Chemical composition of the four  $PM_{2.5}$  samples collected at SORPES station and oxygen to carbon ratio of extracted HULIS from related samples

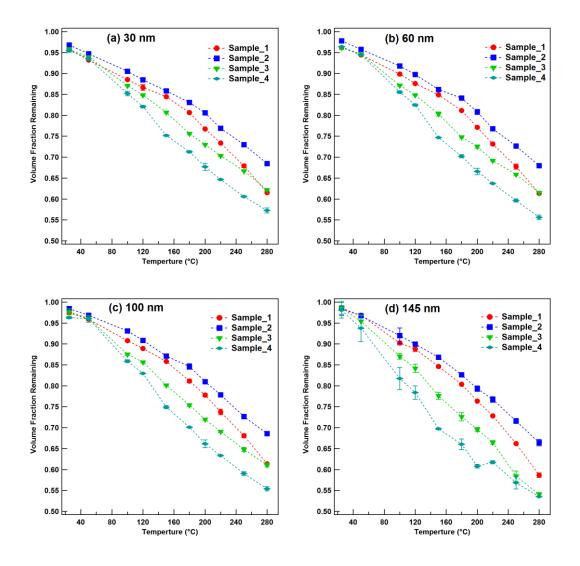


Figure 2 Volume fraction remaining (VFR)as a function of heating temperature for 4 samples at four different sizes of (a) 30 nm, (b) 60 nm, (c) 100 nm, and (d) 145 nm

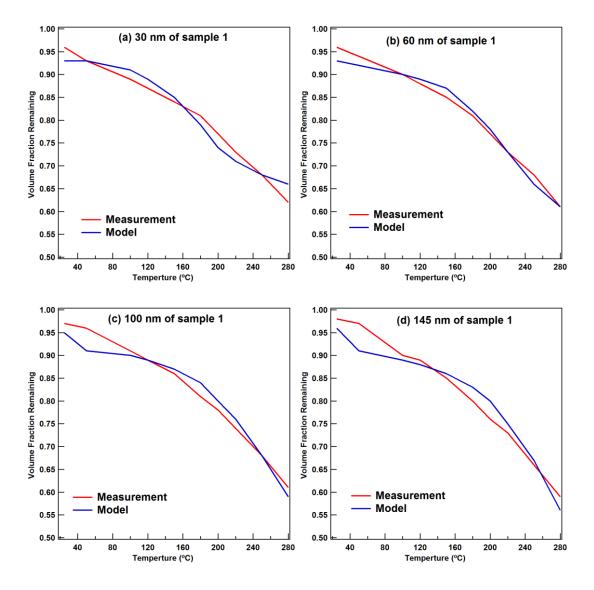


Figure 3 Measured and modeled volume fraction remaining (VFR) as a function of temperature for HULIS of sample 1 at four different particle sizes of (a) 30 nm, (b) 60 nm, (c) 100 nm and (d) 145 nm

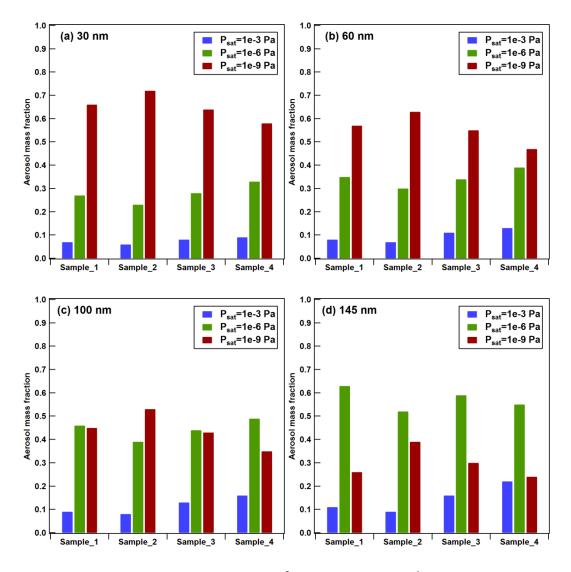


Figure 4 Mass fractions of compounds of SVOC ( $p_{sat}=10^{-3}$  Pa), LVOC ( $p_{sat}=10^{-6}$  Pa) and ELVOC, ( $p_{sat}=10^{-9}$  Pa) in four aerosol samples with different particle sizes of (a) 30 nm, (b) 60 nm, (c) 100 nm, and (d) 145 nm

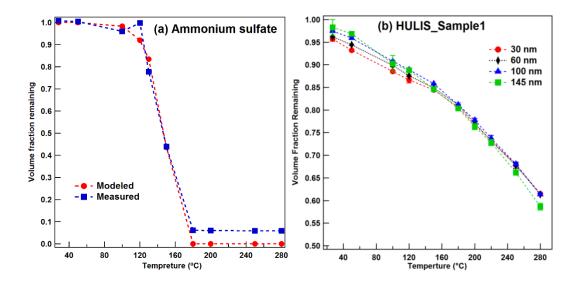


Figure 5 Volume fraction remaining (VFR) as a function of heating temperature for (a) measured and modeled pure ammonium sulfate particles at 100 nm, and (b) HULIS sample 1 at four different sizes of 30 nm, 60 nm, 100 nm, and 145 nm

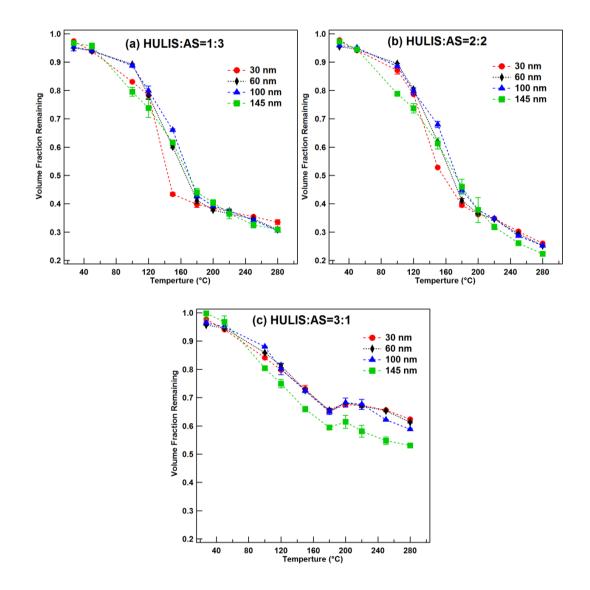


Figure 6 Volume fraction remaining (VFR) as a function of heating temperature for (a) 1:3 HULIS-AS mixed sample, (b) 2:2 HULIS-AS mixed samples, and (c) 3:1 HULIS-AS mixed samples at four different sizes of 30 nm, 60 nm, 100 nm, and 145 nm

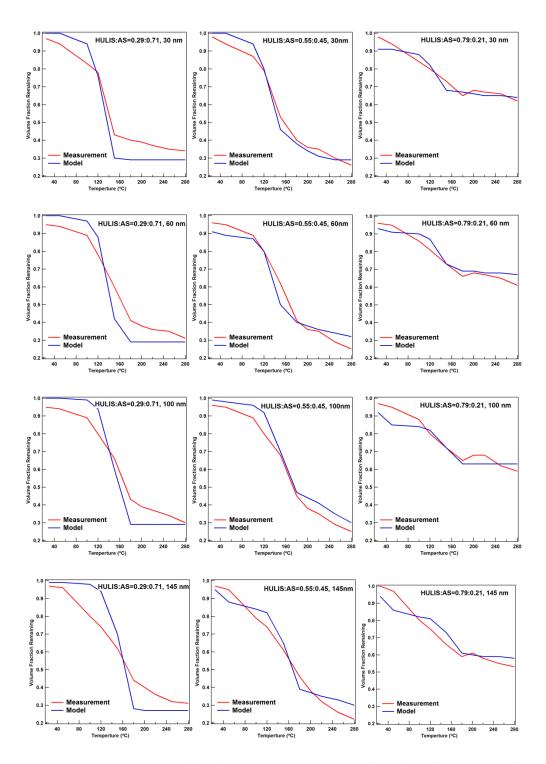


Figure 7 Measured and modeled volume fraction remaining (VFR) as a function of temperature for HULIS-AS mixed samples of 3 different mixing ratios at four different particle sizes of 30 nm, 60 nm, 100 nm and 145 nm

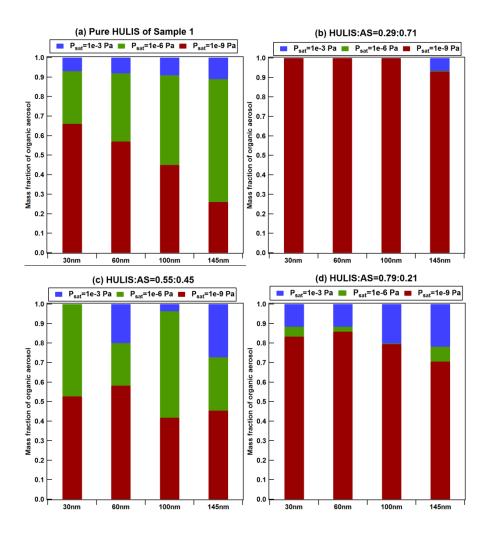


Figure 8 Model-derived mass fractions of organic compounds with different volatilities in four aerosol samples with different particle sizes of (a) 30 nm, (b) 60 nm, (c) 100 nm, and (d) 145 nm