

1 **Technical note.**

2 **Coordination and harmonization of the multi-scale multi-model activities**  
3 **HTAP2, AQMEII3 and MICS-Asia3: simulations, emission inventories,**  
4 **boundary conditions and model output formats**

5

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20

21 **Abstract**

22 We present an overview of the coordinated global numerical modelling experiments  
23 performed during 2012-2016 by the Task Force on Hemispheric Transport of Air Pollution  
24 (TF HTAP), the regional experiments by the Air Quality Model Evaluation International  
25 Initiative (AQMEII) over Europe and North America, and the Modelling Intercomparison  
26 Study- Asia (MICS-Asia). To improve model estimates of the impacts of intercontinental  
27 transport of air pollution on climate, ecosystems and human health and to answer a set of  
28 policy relevant questions, these three initiatives performed emission perturbation modelling  
29 experiments consistent across the global, hemispheric and continental/regional scales. In all  
30 three initiatives, model results are extensively compared against monitoring data for a  
31 range of variables (meteorological, trace gas concentrations, and aerosol mass and  
32 composition) from different measurement platforms (ground measurements, vertical  
33 profiles, airborne measurements) collected from a number of sources. Approximately 10 to  
34 25 modelling groups have contributed to each initiative, and model results have been  
35 managed centrally through three data hubs maintained by each initiative. Given the  
36 organizational complexity of bringing together these three initiatives to address a common  
37 set of policy relevant questions, this publication provides the motivation for the modelling  
38 activity, the rationale for specific choices made in the model experiments, and an overview  
39 of the organizational structures for both the modelling and the measurements used and  
40 analysed in a number of modelling studies in this special issue.

41

42 **1. Introduction**

1 The Task Force on Hemispheric Transport of Air Pollution (TF HTAP) was organized in 2005  
2 under the *UNECE Convention on Long-range Transboundary Air Pollution* (CLRTAP) (see  
3 <http://www.unece.org/env/lrtap/welcome.html>). Recognizing the increasing importance of  
4 hemispheric transport of air pollution, CLRTAP mandated the TF HTAP to work in  
5 partnership with scientists across the world to improve knowledge on the intercontinental  
6 or hemispheric transport and formation of air pollution; its impacts on climate, ecosystems,  
7 and human health; and the potential mitigation opportunities.

8 In 2010, TF HTAP produced the first comprehensive assessment of the intercontinental  
9 transport of air pollution in the Northern Hemisphere (TF HTAP, 2010a,b). A series of four  
10 reports addressed issues around emissions, transport, and impacts of particulate matter and  
11 ozone, mercury, POPs, and their relevance for policy. The HTAP Phase 1 (HTAP1) joint  
12 modelling experiments, in which more than 20 global models participated, focussed on the  
13 meteorological year 2001. In 2012, the TF HTAP launched a new phase of cooperative multi-  
14 model experiments and analyses to provide up-to-date information to CLRTAP (e.g. Maas  
15 and Grenfell, 2016) and other multi-lateral cooperative efforts, as well as national actions  
16 to decrease air pollution and its impacts.

17 The objectives of the HTAP Phase 2 (HTAP2) activity are summarized as follows:

- 18 • To estimate relative contributions of regional and extra-regional sources of air  
19 pollution in different regions of the world, by refining the source/receptor  
20 relationships derived from the HTAP Phase 1 simulations.
- 21 • To provide a basis for model evaluation and process studies to characterize the  
22 uncertainty in the estimates of regional and extra-regional contributions and  
23 understand the differences between models.
- 24 • To give input to assessments of the impacts of control strategies on the contribution  
25 of regional and extra-regional emissions sources to the exceedance of air quality  
26 standards and to impacts on human health, ecosystems, and climate.

27  
28 The major advances of HTAP2 over the earlier HTAP1 experiments were:

- 29 • a focus on more recent years as a basis for extrapolation (2008-2010), including an  
30 updated collection of emission inventories for 2008 and 2010 (Janssens-Maenhout et  
31 al., 2015) that is utilised across all model experiments. In HTAP1 the year of interest  
32 was 2001, and in contrast to HTAP2, the anthropogenic emissions used by the  
33 different modelling groups were expected to be loosely representative for the  
34 beginning of the 2000s, but were not prescribed, resulting in a large diversity of  
35 base-line emissions.
- 36 • an expanded number of more refined source/receptor regions: the original set of 4  
37 rectangular (in latitude-longitude coordinates) source regions (North America,  
38 Europe, South Asia, and East Asia) identified in HTAP1 have been refined to align  
39 with geo-political borders and additional regions have been added, dividing the  
40 world into 16 potential source regions and 60 receptor regions.
- 41 • the use of regional models and consistent boundary conditions from selected global  
42 models for Europe, North America, and Asia to provide high resolution estimates of  
43 the impacts on health, vegetation, and climate, in addition to the global models'  
44 world-wide coverage.
- 45  
46

1 The most innovative aspect of the modelling work, performed in 2013-2016, is the  
2 consistent coupling of global and regional model experiments using existing modelling  
3 frameworks. The regional counterparts of the HTAP2 activity are the AQMEII (Air Quality  
4 Model Evaluation International Initiative) and MICS-Asia (Model Intercomparison Study for  
5 Asia) activities.

6  
7 The AQMEII project was launched in 2008 in an attempt to bring together modelers from  
8 both sides of the Atlantic Ocean to perform joint regional model experiments using common  
9 boundary conditions, emissions, and model evaluation frameworks with a specific focus on  
10 regional modeling domains over Europe and North America (Rao et al., 2012). The first two  
11 AQMEII activities focused on the development of general model-to-model and model-to-  
12 observation evaluation methodologies (phase 1, Galmarini et al. 2012a) and the simulation  
13 of aerosol/climate feedbacks with on-line coupled modeling systems (phase 2, Galmarini et  
14 al. 2015). AQMEII Phase 3 (AQMEII3) is devoted to performing joint modeling experiments  
15 with HTAP2. The AQMEII modeling community (Table 5) includes almost all of the major  
16 existing modeling systems for regional scale chemical transport simulation in research and  
17 regulatory applications in both continents. Most of the groups participating are part of  
18 modeling initiatives in the individual European member states and some of these groups  
19 utilize models developed in North America, thus providing the opportunity of assessing the  
20 application of these models outside of their conventional modeling context.

21  
22 The MICS-Asia Phase III (MICS3) project is an activity building on work performed in Phase I  
23 (1998-2000; sulphur transport and deposition) and Phase II (2004-2009; sulphur, nitrogen,  
24 ozone and aerosols, see Fu et al., 2008). MICS3 is organized as a multi-national consortium  
25 of institutions and brings together modellers from China, Japan, Korea, Southeast Asia and  
26 the United States (Table 6). The overall scope of MICS3 includes evaluation of the ability of  
27 models to reproduce pollutant concentrations under highly polluted conditions, dry and wet  
28 deposition fluxes, and the quantification of the effects of uncertainties due to process  
29 representation (emissions, chemical mechanisms, transport and deposition) and model  
30 resolution on simulated air quality. The joint evaluation with HTAP2 focuses on the  
31 evaluation of the role of long-range transport of air pollution both within and to/from East  
32 Asia on air quality and impacts on climate, ecosystems and human health.

33  
34 The framework used for global aerosol modelling is the AeroCom initiative (Aerosol  
35 Comparison between observations and models, Schulz et al. 2009, Myhre et al. 2013), and  
36 dedicated experiments on long-range transport were designed and performed in  
37 collaboration with HTAP as part of AEROCOM phase 3 (see  
38 <https://wiki.met.no/aerocom/phase3-experiments>), with an additional focus on long-range  
39 transport of dust and fire derived aerosol. The data storage and evaluation platform for  
40 global models was shared between AeroCom and HTAP2 (see Section 2.5).

41 Presently these three activities involve 23 global scale models (Table 3) and approximately  
42 thirty regional scale modelling groups performing model simulations on the North American,  
43 European and East Asian domains, probably making the HTAP2/AQMEII3/MICS3 exercise  
44 the largest multi-scale/multi-model activity ever performed in atmospheric chemical  
45 modelling. The multi-scale and multi-regional modelling exercise required three  
46 independent organizations to manage and engage their respective communities and an  
47 overarching coordination effort as well as a high level of harmonization of the model

1 simulations aiming at comparability, usability and interoperability of the model results at  
2 the various scales. Specific decisions were made regarding the simulation period, lower air  
3 boundary conditions (emission inventory), volatile organic compounds (VOC) speciation,  
4 methane concentrations, emission perturbation runs, source region perturbations, lateral  
5 and upper air boundary conditions for regional simulations, variables expected for the  
6 analysis, file naming conventions, type and location of monitoring sites where model results  
7 were output, data submission procedures, and the development and use of interoperable  
8 data archiving and visualisation servers.

9 The scope of this note is to provide information on the modelling activity harmonization and  
10 coordination adopted to guarantee the maximum level of coherence between the global  
11 and regional simulations. It provides specific details on the organization of the global HTAP2  
12 and the regional AQMEI3 activities, but only general information on the MICS3 experiments  
13 is provided. Additional details regarding HTAP2 are summarised at [http://iek8wikis.iek.fz-](http://iek8wikis.iek.fz-juelich.de/HTAPWiki/)  
14 [juelich.de/HTAPWiki/](http://iek8wikis.iek.fz-juelich.de/HTAPWiki/) and are available in the report by Koffi et al. (2016) and for AQMEI3  
15 at <http://ensemble2.jrc.ec.europa.eu/aqmeii/>.

16 This note provides coherent information on the simulations performed and their  
17 characteristics to support the analysis articles presented in this special issue.

## 18

## 19 **2. The HTAP2, AQMEI3, and MICS3 modelling exercises set up**

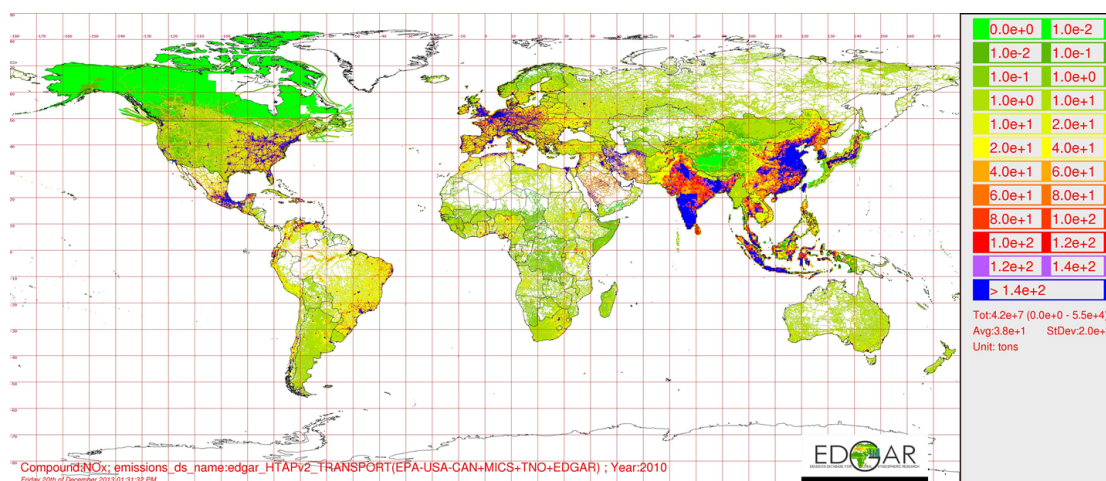
20 The following aspects were harmonized in the organization of this multi scale multi chemical  
21 transport model activity:

- 22
- 23 - Simulation periods and meteorology to be used
- 24 - Emission inventories for global and regional models
- 25 - Boundary conditions for regional scale air quality models
- 26 - Harmonisation of global and regional model output and interoperability of data  
27 repositories to facilitate the exchange and analysis of model outputs
- 28 - Monitoring data locations and methods for comparing model's with observations
- 29 - Documentation of individual model set-up and construction of ensemble averages.

### 30 **2.1 Simulation period and meteorology used**

31 The simulation period of interest 2008-2010 was chosen on the basis of the availability of  
32 emissions data and intensive observations. The models were requested to run the three-  
33 year period with a priority given to the year 2010, followed by 2008, and then 2009. Global  
34 models can use meteorological data representative of the respective year, e.g. driven or  
35 constrained by one of the global analysis products that were most convenient to the  
36 modelling group. Regional scale modellers also were free to use the meteorological model  
37 of their choice based on compatibility with their chemical transport model. Sets of chemical  
38 boundary conditions for the regional models were provided by a limited set of global  
39 models participating in the global modelling experiments (see section 2.4)

40



**2010 NO<sub>x</sub> emissions from the transport sector (without aviation and ships)**

1

**Figure 1.** Example of HTAP\_v2.2 emission mosaics for NO<sub>x</sub> in the transport sector.

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3

4

## 5 **2.2 Emission data**

6 The anthropogenic emission data were harmonized across the regional and global modelling  
 7 experiments. The Joint Research Centre's (JRC) EDGAR (Emission Data Base for Global  
 8 Research) team, in collaboration with regional emission experts from the U.S.  
 9 Environmental Protection Agency (EPA), EMEP (European Monitoring and Evaluation  
 10 Programme), CEIP (Centre on Emission Inventories and Projections), TNO (Netherlands  
 11 Organisation for Applied Research), the MICS-Asia Scientific Community and REAS (Regional  
 12 Emission Activity Asia), has compiled a composite of regional emission inventories with  
 13 monthly gridmaps that include EDGARv4.3 gap filling for regions and/or sectors that were  
 14 not provided by the regional inventories.

15 The HTAP\_v2.2 database (Janssens-Maenhout et al., 2015), used in the global modelling  
 16 experiments, has the following characteristics:

- 17 • Years 2008 and 2010, yearly and monthly time resolutions
- 18 • Components: SO<sub>2</sub>, NO<sub>x</sub>, NMVOC, CH<sub>4</sub>, CO, NH<sub>3</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, and OC at sector-  
 19 specific level.
- 20 • 7 emission sectors (Janssens-Maenhout et al., 2015), see Table 1.
- 21 • Global coverage with spatial resolution of 0.1° x 0.1° longitude and latitude, to serve  
 22 the needs of both global and regional model activities.

23

24 Annual gridded emission data ([http://edgar.jrc.ec.europa.eu/htap\\_v2](http://edgar.jrc.ec.europa.eu/htap_v2), latest access July,  
 25 2016) are delivered for each pollutant and emission sector. Monthly gridded values are  
 26 provided for some sectors (energy, industry, transport and residential), where information  
 27 was available to disaggregate annual emissions. For 2009 no emission were provided leaving  
 28 the choice to the modeling group to either interpolate the 2008 and 2010 data or leave  
 29 them constant.

1 The regional emissions for the North American and European regional scale simulations of  
 2 AQMEI13 are described in Pouliot et al. (2015), and were used earlier for AQMEI12 (Galmarini  
 3 et al., 2015) and embedded into the HTAP\_v2.2 inventory. The Asian inventory MIX (Li et  
 4 al., 2015) was developed for MICS3 and HTAP2 simulations on a 0.25°x0.25° resolution, and  
 5 converted by raster resampling to 0.1°x0.1° resolution for use in HTAP2. These regional  
 6 inventories have been combined to form a global mosaic (**Figure 1**) that is consistent with  
 7 inventories used at the regional scale in Europe, North America and Asia. However, we note  
 8 that these emission estimates stemming from different data sources for different regions of  
 9 the world, are not necessarily consistent with each other, for example different fuel  
 10 statistics or emission factors may have been used for different regions. Details on the  
 11 recommended VOC speciation and other specific emission information can be found in Koffi  
 12 et al. (2016), Janssens Maenhout (2015), Li et al. (2015) and Pouliot et al. (2015).

13

14 **Table 1:** Emission sectors in HTAP\_v2.2 database

Sector	Description
AIR	International and domestic aviation
SHIPS	International shipping
ENERGY	Power generation
INDUSTRY	Industrial non-power large-scale combustion emissions and emissions of industrial processes and product use including solvents
TRANSPORT	Ground transport by road, railway, inland waterways, pipeline and other ground transport of mobile machinery. Does not include re-suspension of dust from pavements or tire and brake wear
RESIDENTIAL	Small-scale combustion, including heating, cooling, lighting, cooking and auxiliary engines to equip residential and commercial buildings, service institutes, and agricultural facilities and fisheries; solid waste (landfills/incineration) and wastewater treatment
AGRICULTURE	Agricultural emissions from livestock, crop cultivation but not from agricultural waste burning and not including savannah burning

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16

17 Biomass burning emissions have not been prescribed for the global modelling groups, but it  
 18 is recommended that groups use GFED3 data, which are available at daily and 3-hour  
 19 intervals (see <http://globalfiredata.org/>). For the regional modelling groups participating in  
 20 AQMEI13, fire emissions were included in the inventories distributed to the participants  
 21 (Pouliot et al., 2015; Soares et al., 2015). Biogenic NMVOCs, soil and lightning NO<sub>x</sub>, dust, and  
 22 sea salt emissions have not been prescribed for either the global or regional modelling  
 23 groups; modelling groups are encouraged to use the best information that they have  
 24 available except that the AQMEI13 regional modelling groups were advised not to include  
 25 lightning NO<sub>x</sub> in their simulations since not all modelling groups had a mechanism for  
 26 including them. For wind-driven DMS (dimethyl sulphide) emissions from oceans, the

1 climatology of ocean surface concentrations described in Lana et al. (2011) was  
2 recommended in conjunction with the model's meteorology and emission parameterisation  
3 for the global models. The regional models participating in AQMEII3 did not consider DMS  
4 emissions. For volcanic emissions, it was recommended that global groups use the  
5 estimates developed for 2008-2010 for AeroCom as an update of the volcanic SO<sub>2</sub> inventory  
6 of Diehl et al. (2012) and accessible at <http://aerocom.met.no/download/emissions/HTAP/>  
7 (latest access July 2016). As in the case of lightning NO<sub>x</sub> emissions, the AQMEII3 regional  
8 modelling groups were advised not to include volcanic emissions in their simulations since  
9 not all modelling groups had a mechanism for including them. Modeling groups were asked  
10 to document the source of all of their emissions data and assumptions, especially if it  
11 deviated from the recommended parameterisations. For mercury, the AMAP/UNEP global  
12 emissions inventory for 2010 was recommended ([http://www.amap.no/mercury-](http://www.amap.no/mercury-emissions)  
13 [emissions](http://www.amap.no/mercury-emissions)). None of the regional models participating in AQMEII3 considered mercury in  
14 their simulations.

15

### 16 **2.3 Emission perturbation**

17 In addition to the base 2008-2010 simulations, modelling groups were requested to perform  
18 emission perturbation experiments to help estimate source/receptor relationships; to  
19 attribute estimated concentrations, depositions fluxes, and derived impacts to regional and  
20 extra-regional sources; and to be used for scenario evaluations including uncertainties.  
21 **Figure 2** lists a large number of possible perturbation experiments; all except the methane  
22 perturbation experiments involve a 20% decrease in anthropogenic emissions similar to  
23 HTAP1. The choice of 20% was motivated by the consideration that the perturbation would  
24 be large enough to produce a sizeable impact (i.e. more than numerical noise) even at long-  
25 distances, while small enough to be in the near-linear atmospheric chemistry regime,  
26 assumptions which are subject to further analysis. The emission decreases are specified for  
27 combinations of pollutants, regions, and sectors.

28

29

30

Priorities for HTAP2 Simulations

Base BASE  
 Increase CH4 Conc CH4INC  
 Decrease CH4 Conc CH4DEC

2008	2009	2010
1	1	1
1	1	1
1	1	1

Highest Priority 1  
 Next Priority  
 Lower Priority

Region of Emissions Perturbation		All		NOX		CO		VOC		SO2		NH3		PM		TRN		PIN		RES		OTH		FIR		DST	
		2008	2009	2010	2008	2009	2010	2008	2009	2010	2008	2009	2010	2008	2009	2010	2008	2009	2010	2008	2009	2010	2008	2009	2010	2008	2009
Global	GLO	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
N America	NAM	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Europe	EUR	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
East Asia	EAS	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
South Asia	SAS	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Rus, Bel, Ukr	RBU	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Middle East	MDE	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
SE Asia	SEA	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Central Asia	CAS	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
N Afr/Sahara/Sahel	NAF *	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Mex/C America	MCA	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Southern Africa	SAF	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
South America	SAM	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Aust/NZ/Pacific	PAN	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Oceans	OCN	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1

PM = Other Particulate Matter (BC, OC, PM10, PM2.5)

TRN = Ground Transport Sector; PIN = Power and Industry Sectors; RES = Residential Sector; OTH = Other Sectors (Ships, Aviation, Agriculture); FIR = Fire

DST = Dust \* For dust, some models should divide the NAF source into separate source regions for the Sahara (091+092, in the Tier2 regions) and Sahel (093).

**Figure 2.** HTAP2 emission perturbation experiments, dark green color with (1) are highest priority experiments, light green next priority, and white lower priority. ALL refers to perturbation of all anthropogenic components and sectors, sectors are TRN (Transportation), PIN (Power+industry), RES (Residential), OTH (Other), FIR (Fire), DST (Mineral dust).

To capture the impact of changing methane emissions in a single year simulation, it is necessary to perturb the methane concentration instead of the emissions. The recommended perturbations (Table 2) are intended to cover the range of CH<sub>4</sub> concentration changes associated with the Representative Concentration Pathway (RCP) scenarios used for the Intergovernmental Panel on Climate Change (IPCC) fifth assessment report (AR5) (IPCC, 2013) for 2030. The highest priority was assigned to an increase of global CH<sub>4</sub> concentrations to 2121 ppbv (representative of RCP8.5). The next priority is assigned to a decrease of global CH<sub>4</sub> concentrations to 1562 ppbv (representative of RCP2.6).

**Table 2:** BASE and Methane Perturbation runs

Simulation	Global CH <sub>4</sub> Concentration (ppbv)	Representative of
BASE	1798	2010 based on IPCC (2013)
CH4INC	2121	2030 under RCP 8.5
CH4DEC	1562	2030 under RCP2.6

The combination of global (all regions and sources) and regional perturbation experiments provides the necessary information to calculate the so-called RERER (Response to Extra-Regional Emission Reductions) metric, using the information on the contribution of foreign



1 emission perturbations relative to all worldwide emission perturbation to a change in region  
 2 i.

$$3 \quad RERER_i = \frac{\Sigma R_{foreign}}{\Sigma R_{all}} = \frac{R_{global} - R_{region,i}}{R_{global}} \quad (\text{eq 1})$$

4 where  $R_{global}$  is the global response of a quantity (e.g., surface O3 concentration) in the  
 5 global 20% perturbation simulation (GLO) minus the value in the unperturbed simulation  
 6 (BASE); and  $R_{region}$  is the regional response of that quantity in the regional 20% emission  
 7 perturbation simulation minus its value in BASE. The metric can be applied to a range of  
 8 quantities, including surface concentrations, column amounts, and derived parameters.

9 A low (i.e. near 0) RERER value means that the signal within a region is not very sensitive to  
 10 extra-regional emission reductions, and that local concentrations (or column amounts, etc.)  
 11 depend more on local emission reductions given the current distribution of anthropogenic  
 12 and biogenic emissions. A high RERER value (i.e. near 1) suggests that local conditions are  
 13 strongly influenced by emissions changes outside the region. In some circumstances, when  
 14 emission reductions correspond to increasing concentrations (e.g. ozone titration by NO  
 15 emissions), RERER can be larger than 1.

16

17 **Table 3:** Global models and institutions participating to HTAP2

Group/Institution	Model
CICERO	OsloCTM3.v2
NASA GSFC	GOCARTv5
RIAM	SPRINTARS
NAGOYA,JAMSTEC,NIES	CHASER_re1
NAGOYA,JAMSTEC,NIES	CHASER_t106
Univ.Col. Boulder	GEOSCHEM-ADJOINT
SSEC-NESDIS	RAQMS
SSEC_NESDIS	RAQMS_ASSIM
NASA GSFC	GEOS5
GEORGIA TECH	REAM
SNU	GEOS-Chem
SNU	GEOS_Chem_Calnex
UNIMOD	EMEP_rv4.5
UNIMOD	EMEP_rv4.8
ECMWF	C-IFS
IITM	MOZART-4
UTK	HCMAQ
NCAR	CAM-chem
Environment and Climate Canada	GEMMACH
UK Met Office	HadGEM2-ES
Iowa/JPL/GMU	STEM-CIFS
Iowa/JPL/GMU	STEM-GC
Iowa/JPL/GMU	STEM-RAQMS

18

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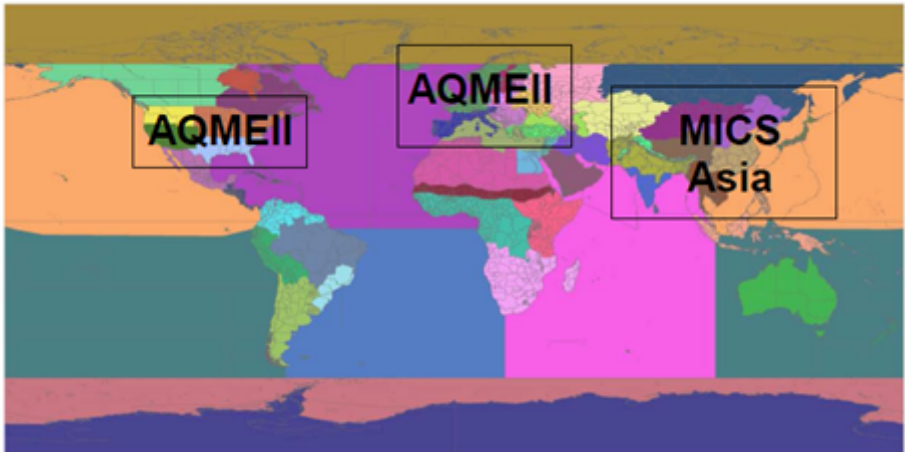
1 **2.4 Boundary Conditions for Regional Simulations**

2 One of the new aspects of HTAP2 experiments is the coupling of global and regional  
3 model simulations, including coupled emission perturbation studies. These common  
4 experiments are intended to enable examination of the effects of a) finer spatial and  
5 temporal resolution of regional models and b) different processes represented in  
6 global and regional models.

7 In order to “nest” the regional within the global simulations, computational results  
8 from one or more global models are needed as boundary conditions for the regional  
9 models’ domains (**Figure 3**), typically provided as a set of time-varying  
10 concentrations of medium-to-long-lived components in a 3D box over the respective  
11 regional model domains at typical time resolutions of 3 to 6 hours.

12

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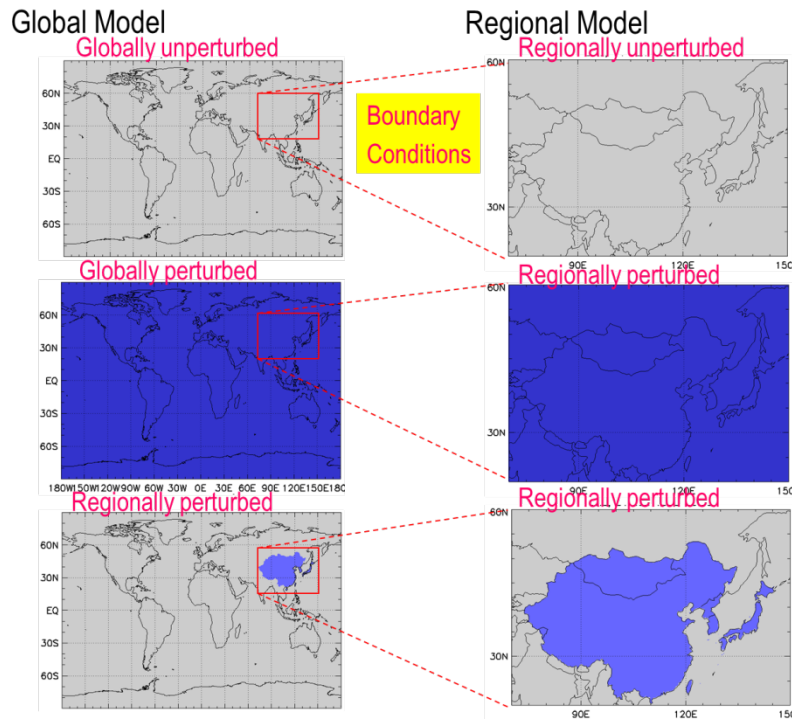
14

15 **Figure 3:** Domains of the regional model simulations and source receptor areas

16

17 A small number of the global models participating in HTAP2 provided boundary  
18 conditions for regional simulations, the choice depending mostly on existing  
19 experiences of regional communities with these particular global models. The global  
20 scale simulations that were made available to the regional scale modelers for  
21 defining boundary conditions are presented in Table 3. Boundary conditions were  
22 provided for both the BASE case and also for a number of emission perturbation  
23 runs. Each of the emissions perturbation experiments with the global models  
24 created a new set of boundary conditions that can be used at the regional scale.  
25 This nesting is depicted graphically in Figure 4. It shows an example where the  
26 HTAP2 source region (in this case, East Asia) is wholly within the regional model  
27 domain. The inclusion of the global perturbation simulation (GLOALL) allows  
28 consistent evaluation of the RERER metric metric for the 20%reductions of all  
29 emissions in both global and regional models (see section 2.3).

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**Figure 4:** Example set of experiments, with both global and regional model (in this case a regional model over East Asia, red box), where the regional source perturbation is East Asia (blue shading), and is wholly within the regional model domain. Note that the magnitude of the emission perturbation in the region of consideration is identical between the global and regional model.

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Regional models were free to use as boundary conditions one or more models as long as they were selected from the set of global models participating in HTAP2, but in practice the AQMEII3 community focused its effort on C-IFS(CB05) (Flemming et al.,2015) calculations. GFDL/AM3 (Lin et al, 2012a,b) and GEOS-Chem (Park et al., 2004, Bey et al., 2001) were additionally used in some North American simulations. GEOS-Chem and CHASER (Sudo et al., 2002; 2007, Watanabe et al., 2011, Sekiya and Sudo, 2014) were the preferred models for the MICS3 consortium.

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**Table 5: 2008, 2009 and 2010 HTAP2 Global Runs for Regional Boundary Conditions**

<b>Model</b>	<b>Spatial Resolution</b>	<b>Temporal Resolution</b>	<b>Chemistry</b>	<b>Simulations</b>
<b>C-IFS(CB05) (ECMWF)</b>	1.125°x1.125° (T159)  54 levels	3 hourly	CB05	<b>BASE GLOALL CH4INC NAMALL EURALL EASALL SASALL</b>
<b>GFDL/AM3</b>	~1°x1°  48 levels	3 hourly		<b>BASE GLOALL CH4INC NAMALL EURALL EASALL</b>
<b>GEOS-Chem</b>	2.5°x2°  47 levels	3 hourly		<b>BASE GLOALL CH4INC NAMALL EURALL EASALL</b>
<b>CHASER</b>	2.8°x2.8°	3 hourly + daily mean		<b>BASE</b>

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## 2.5 Specification of the global and regional scale model outputs

Careful consideration was given to the organization of the model output, given the large number of models, variables requested, and case studies. This required specifications of data formats, variable and file naming conventions, data organization at identified collection points, and the definition of agreed locations where measurements would be available and model data had to be produced for both regional and global models. Further details can be found at <http://iek8wikis.iek.fz-juelich.de/HTAPWiki/HTAP-2-data-submission> and in Koffi et al. (2016). For HTAP2 and AQMEII3, the experience acquired over the past experiments allowed this massive data handling task to be carried out in an efficient way because data formats, naming conventions and collections points were already well established for these two activities and respective communities of models. For HTAP2 the netCDF (<http://www.unidata.ucar.edu/software/netcdf/>) with Climate

1 and Forecast (CF) (<http://cfconventions.org/>) meta data format was adopted. For  
 2 AQMEII3 the ENSEMBLE data format was used (Galmarini et al. 2012b), allowing easy  
 3 participation for regional modellers already participating in AQMEII2. Two data  
 4 repositories were available for the two communities: the AeroCom repository at the  
 5 Norwegian Meteorological Institute (MetNo) ([aerocom.met.no](http://aerocom.met.no); Schulz et al., 2009)  
 6 and the JRC ENSEMBLE (Galmarini et al., 2014) platforms, respectively. Data for  
 7 MICS3 modelling community were handled and analyzed at the Joint International  
 8 Center on Air Quality Modeling Studies (JICAM) in Beijing, China, a joint cooperation  
 9 between the Institute of Atmospheric Physics (IAP) of Chinese Academy of Sciences  
 10 and the Asia Center for Air Pollution Research (ACAP) in Niigata, Japan. These  
 11 facilities not only allow the organization of the data produced by various sources  
 12 around the world but also their consultation through web interfaces and the  
 13 matching of the model results with the available measured data and the statistical  
 14 comparison of these two pieces of information. A connection and automatic data  
 15 conversion protocol between the ENSEMBLE and AeroCom platforms was also  
 16 pioneered to allow the bi-directional transfer of model data and a consistent  
 17 comparison of global and regional model results with a common set of observations.

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**Table 5:** Institutions and models involved in AQMEII

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Operated by	RCM	Emission	Horiz. Res. Lat x lon	Global Met	Chem Mod
Finnish Meteorological Institute	ECMWF-SILAM_H, SILAM_M	EDGAR-HTAP; TNO-MACC	0.25 x 0.25 deg	ECMWF	CBM-IV
Netherlands Organization for Applied Scientific Research	ECMWF-L-EUROS	TNO-MACC	0.5 x 0.25 deg	interpolation from ECMWF	CBM-IV
INERIS/CIEMAT	ECMWF-Chimere_H Chimere_M	EDGAR-HTAP; TNO-MACC	0.25 x 0.25 deg	interpolation from ECMWF	MELCHIOR2
University of L'Aquila	WRF-WRF/Chem 1	TNO-MACC	23 km	ECMWF	RACM-ESRL
University of Murcia	WRF-WRF/Chem 2	TNO-MACC	23 km x 23 km	ECMWF	RADM2
Ricerca Sistema Energetico	WRF-CAMx	TNO-MACC	23 km x 23 km	ECMWF	CB05

University of Aarhus	WRF-DEHM	EDGAR HTAP	50 km x 50 km	ECMWF	Brandt et al. (2012)
Istanbul Technical University	WRF-CMAQ1	TNO-MACC	30 km x 30 km	NCEP	CB05
Kings College	WRF-CMAQ4	TNO-MACC	15 km x 15 km	NCEP	CB05
Ricardo E&E	WRF-CMAQ2	TNO-MACC	30 km x 30 km	NCEP	CB05-TUCL
Helmholtz-Zentrum Geesthacht	CCLM-CMAQ	EDGAR-HTAP	24 km x 24 km	NCEP	CB05-TUCL
University of Hertfordshire	WRF-CMAQ3	TNO-MACC	18 km x 18 km	ECMWF	CB05-TUCL
Helmholtz-Zentrum Geesthacht	CCLM-CMAQ	SMOKE	24 km x 24 km	NCEP	CB05-TUCL
Environmental Protection Agency of the USA	WRF-CMAQ	SMOKE	12 km x 12 km	NCEP (nudging)	CB05-TUCL
RAMBOLL Environ	WRF-CAMx	SMOKE	12 Km x 12 km	NCEP	CB05
University of Aarhus	WRF-DEHM	EDGAR-HTAP	50 km x 50 km	interpolation from ECMWF	Brandt et al. (2012)

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**Table 6:** Institutions and models involved in Mic-Asia

<b>Group/Institution</b>	<b>Models</b>
National Institute for Environmental Studies, Japan	CMAQv4.7.1
Central Research Institute of Electric Power Industry, Japan	CMAQv4.7.1
Kobe University, Japan	CMAQv4.7.1
The University of Tennessee, Knoxville, USA	CMAQv5.0.2
Sun Yat-Sen University, China (SYSU)	CMAQv5.0.2
Institute of Atmospheric Physics, Chinese Academy of Sciences, China	GEOS-Chem
Institute of Atmospheric Physics, Chinese Academy of Sciences, China	NAQPMS
Meteorological Research Institute, Japan	NHM-Chem

Pusan National University, Korea (not in the analyses)	WRF-Chem
Academia Sinica, Taiwan (not in the analyses)	WRF-Chem
Institute of Atmospheric Physics, Chinese Academy of Sciences, China	RAMSCMAQ
Institute of Atmospheric Physics, Chinese Academy of Sciences, China (not in the analyses)	WRF-Chem

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2 Global model data from this study can be accessed via the AeroCom data server at  
3 MetNo. Data are organised such that the HTAP2 model version, experiment, period,  
4 and variable name can be identified readily from directory and file names. Model  
5 output providers have to register at the database provider MetNo and are provided  
6 with access to a linux server via ssh (see further details at  
7 <https://wiki.met.no/aerocom/user-server>). This server also provides essential and  
8 standard data inspection, analysis and extraction tools for netCDF files (ncdump,  
9 ncview, python, nco, cdo, etc.). Users may utilize these tools to retrieve files, or  
10 subsets of them for further analysis. All incoming files are processed with the  
11 AeroCom visualization tools to generate “quick look” images for initial inspection. All  
12 variables are plotted as fields for major regions, each month and season. Where  
13 available, comparisons are made to surface observations, mainly those from the  
14 EBAS database maintained by NILU ([ebas.nilu.no](http://ebas.nilu.no)) and from Aeronet  
15 (<http://aeronet.gsfc.nasa.gov>). The quick look images are publicly available via the  
16 web interface at [http://aerocom.met.no/cgi-](http://aerocom.met.no/cgi-bin/aerocom/surfobs_annualrs.pl?PROJECT=HTAP&MODELLIST=HTAP-phaseII-ALL)  
17 [bin/aerocom/surfobs\\_annualrs.pl?PROJECT=HTAP&MODELLIST=HTAP-phaseII-ALL](http://aerocom.met.no/cgi-bin/aerocom/surfobs_annualrs.pl?PROJECT=HTAP&MODELLIST=HTAP-phaseII-ALL).

18 To facilitate the comparability of model results with measured data, the former were  
19 requested as time series at surface locations, or vertical profiles, mostly located in  
20 Europe and North America, enabling the comparison of the AQMEII3 and HTAP2  
21 experiments. Model results were requested in various forms. Specifically, 4128  
22 surface stations were identified for the comparison of gas phase species, 2068  
23 surface stations were identified for the comparison of aerosol species, and 240  
24 stations were identified for the evaluation of vertical profiles. These locations are a  
25 mixture of stations of global and regional significance and spatial representativeness  
26 (Figure 5). Details of the data requests for HTAP2 can be found in Koffi et al. (2016).

27 For AQMEII3, the specifications of requested model variables are contained in the so  
28 called AQMEII overarching document  
29 ([http://ensemble2.jrc.ec.europa.eu/aqmeii/?page\\_id=527](http://ensemble2.jrc.ec.europa.eu/aqmeii/?page_id=527)). Model results are also  
30 available to participating modelling groups and the wider scientific community

1 through the ENSEMBLE web based platform following the protocol established for  
2 phase 1 and 2 of AQMEII (Galmarini and Rao, 2011) .

3 MICS3 output includes monthly averaged hourly surface data for O<sub>3</sub>,  
4 NO, NO<sub>2</sub>, HNO<sub>3</sub> and HONO; surface VOC species consistent with the CB05, CBMZ,  
5 RADM2 and SAPRC99 mechanisms and Wet/Dry depositions of sulfur and nitrogen  
6 components.

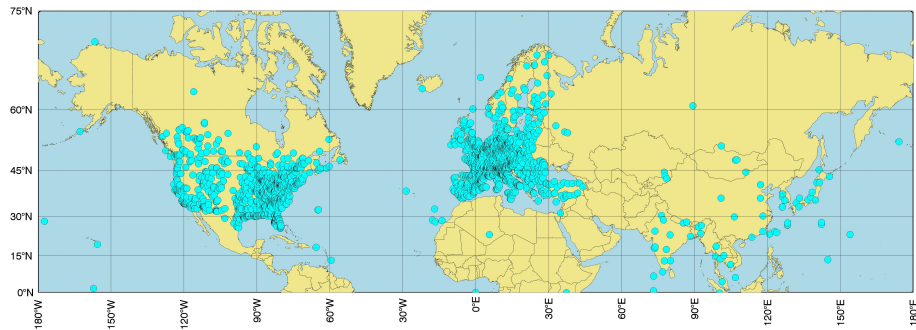
7 To help diagnose the differences between models and isolate different transport  
8 processes, we requested that HTAP2 global models also include two passive tracers.  
9 These tracers should be emitted in the same quantity as total anthropogenic CO  
10 emissions (not including fires) and decay exponentially with uniform fixed mean  
11 lifetimes (or e-folding times) of 25 and 50 days, respectively, as in the Chemistry-  
12 Climate Modelling Initiative (CCMI).

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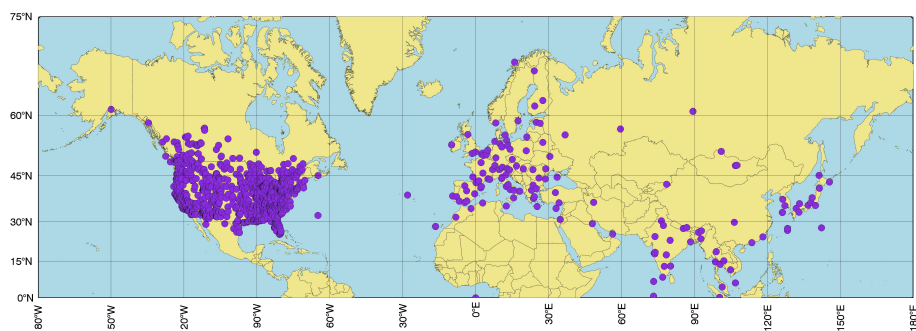


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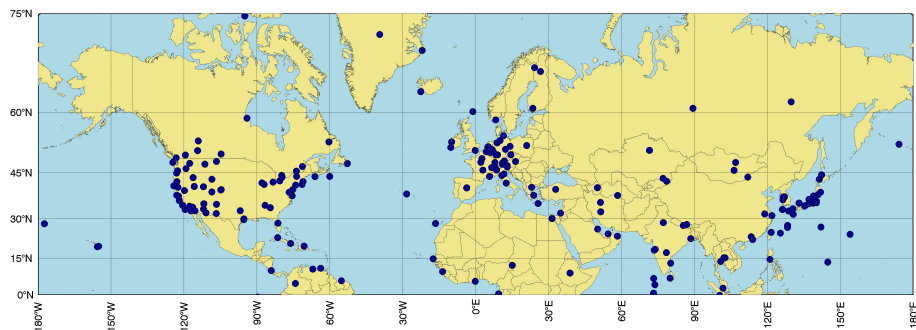
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**Figure 5:** Location of the stations where surface gas (top), surface aerosol (middle) and vertical profile (bottom) model outputs are requested.

### 3. Conclusions

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This technical note provides details about the set up of the joint regional-global chemistry-transport emission perturbation experiments, planned and executed within the HTAP2 model exercise. The Task Force Hemispheric Transport Air Pollution falls under the Cooperative Programme for Monitoring and Evaluation of the Long-range Transmission of Air Pollutants in Europe (EMEP) of the UNECE Convention on Long-range Transboundary Air Pollution (CLRTAP) and deals with the increasingly important issue of hemispheric transport of air pollution. TF HTAP works in partnership with scientists across the world to improve our understanding of the

1 intercontinental or hemispheric transport and formation of air pollution; its impacts  
2 on climate, ecosystems, and human health; and the potential mitigation  
3 opportunities.

4

5 The major advances of HTAP2 with respect to previous HTAP1 activity are:

- 6 • a focus on more recent years as a basis for extrapolation (2008-2010),
- 7 • a larger number of source/receptor regions
- 8 • In collaboration with the existing regional scale modelling initiatives AQMEII  
9 and MICs-ASIA: the use of regional models and consistent boundary  
10 conditions from selected global models for Europe, North America, and Asia  
11 to provide higher resolution estimates of the impacts of hemispheric  
12 transport of air pollution on health, ecosystems and climate.

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14 The multi-model, multi-scale, and multi-pollutant character of the activities  
15 performed in HTAP2 required a considerable level of harmonization of the  
16 information used to run the models at different scales and of the results produced.  
17 Such harmonization considerably facilitates the interpretation of model results and  
18 inter-model differences. Particular attention was given to providing coherent  
19 emissions and boundary conditions to the global and regional scale models, and  
20 harmonising monitoring data collected to evaluate the model results. To our  
21 knowledge such an attempt is unprecedented in the field and constitutes an  
22 important starting point for future multiple scale modelling activities. A considerable  
23 effort has been made for the harmonization of data formats, and web based data  
24 hubs, allowing consultation of model and measurement data by the participants as  
25 well as possible external data users with simplicity and having a few “one-stop  
26 shops,” where all information is collected geo-referenced, and ready to be used. As  
27 independently demonstrated in the past, by the ENSEMBLE and AeroCom  
28 experiences, such an approach effectively takes away the burden on individual  
29 modelling groups of collecting scattered measurement data, and organizing these  
30 data sets for comparison with models. Moreover, this approach effectively provides  
31 benchmark datasets for objective comparisons across models.

32 While first steps towards fuller integration of protocols, requested outputs, and  
33 analysis methods were shared across the three communities, a fully interoperable  
34 and harmonised set of global and regional outputs was not yet obtained due to  
35 different requirements of the communities. Data can now be converted in two of the  
36 three formats available very easily (HTAP<=>AQMEII) and therefore the most  
37 important step to allow a full consultability of the data by the two communities has  
38 been made. The technical aspect of making the systems AEROCOM and ENSEMBLE  
39 to be mirrored into one another will be explored in dependence also of available  
40 resources. All relevant elements are in place to make such step possible. Such steps  
41 will also be performed possibly with the MICS-Asia data and information. At this

1 stage, the availability of global and regional model outputs and observations at a  
2 common set of monitors permits a first analysis of global/regional model  
3 performance in the North American, European and Asian domains and represents a  
4 significant step forward for both communities.

5 Many of the analyses presented in this Special Issue draw upon this unique  
6 collection of data and tools which is open and available for further analysis. We  
7 encourage the scientific community to continue to explore this data to generate  
8 scientific and policy-relevant insights and to engage in the future development of the  
9 TF HTAP, AQMEII, and MICS-Asia activities.

10

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24 experiments.

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6