

We thank the review for their helpful and constructive comments.  
The response is structured as in the previous response:

Blue for the reviewer comment

Normal text for our answers

**Bold for the changes in the manuscript**

- You stated in your abstract that "Many modelling studies use parameterizations for heterogeneous ice nucleation and cloud ice processes that do not account for this difference because they were developed based on INP measurements made predominantly in terrestrial environments." You reacted on the argument that "If a scheme was developed for terrestrial sources and does not account for marine sources, the INP concentration represented in the model would be different for marine and terrestrial sources as well (it would be smaller above marine regions as shown by the field observations)." by stating that the parameterization schemes you referred to are aerosol-species-independent. In that case your argumentation is true. However, in the abstract (and also partly in the introduction) you write it so generally that it does not become clear like that. You either have to add the specific parameterization schemes that you refer to or you have at least to state that most parameterization schemes do not take the aerosol species (and thus indirectly the sources) into account. There are existing parameterization schemes used that take the aerosol composition into account and also only based on terrestrial sources show lower INP over the ocean.

We have rephrased the abstract to clarify what types of parameterizations we refer to as suggested by the reviewer adding a sentence to mention the fact that the aerosol composition is missing in many of the parameterizations.

**Many modelling studies use parameterizations for heterogeneous ice nucleation and cloud ice processes that do not account for this difference because they were developed based on INP measurements made predominantly in terrestrial environments without considering the aerosol composition.**

- I think it is misleading how you formulated your statement that the particle-to-particle variability is better represented in the ns-scheme. It is true that the ns-approach takes into account the spectrum of a species in activating ice, but still in the end you use an average density of active sites. At one specific temperature you will get a fixed fraction of particles that nucleate- this number is the average number of particles in your total particle population that freeze under the given temperature. If you would want to explicitly account for (a variable) particle to particle variation you would need to use a ns-distribution for each temperature for each species.

By particle-to-particle variability we mean that just a fraction of particles is considered to nucleate ice in the singular description, whereas in classical nucleation theory long time integration will eventually allow all particles to nucleate ice.

We agree that different  $n_s$  values for different species are necessary to account for the differences in their ice nucleating ability.

We have added some sentences to clarify what we mean by particle-to-particle variability and mention that species specific active sites densities are necessary to account for their different ice nucleating abilities:

**...particle-to-particle variability is not represented (Herbert et al.2014)  
as long time integration will eventually allow all particles to nucleate ice....**

**...is assumed to be of secondary importance  
compared to the particle-to-particle variability (just a fraction of particles nucleate ice)...**

**...fraction of the particles will nucleate ice at a certain temperature (See Appendix:2).  
In the case of having different aerosol species, a different density of active sites for every species has to be defined in order to account for their different ability to nucleate ice.**

- It is not true that in the case of using classical nucleation theory with a single contact angle time dependence is the main factor that drives nucleation, also in this case it is temperature. Time is less important compared to temperature (also in CNT).

We agree in this point. To clarify the idea we added "(although temperature is the main driver of nucleation)"

**This approach has the advantage that the time dependence of ice nucleation is represented (although temperature is the main driver of nucleation), but when a single contact angle is used to describe ice nucleation by a single aerosol species...**

- Page 3, line 24: Change "The temperature dependent number of active sites..." to "The temperature dependent number of active sites per surface area ..."

Added: **per surface area**

- Table 1: You could use the three different results coming from different temperature ranges (without \*, \*, \*\*) to analyse how the different parameterizations react on extrapolation. The Niemand et al. scheme seems to have the trouble predicting the INP at low temperatures, the performance improves in the case the cold temperature values are left away. The marine and k-feldspar scheme on the other hand seems to especially capture the high temperature range well, since the performance goes down in the case of leaving the high temperature values.

We have included in the discussion of the performance of Niemand's parameterization the following sentence:

**A similar trend is observed when this parameterization is extrapolated to higher temperatures.**

We prefer to limit the discussion on the effect of extrapolating the parameterization to higher temperatures to just a few sentences, as the number of datapoints at those temperatures is quite small, so it is hard to conclude that this behaviour would be maintained in the future if more observations are included.

- Looking at Fig. 8: It could have been interesting to see the same for a k-feldspar only parameterization scheme (without marine contribution).

An estimate of the contribution of k-feldspar particles to the simulated concentration in every datapoint is shown in figure 9 b

- Page 10, line 23: "through all the mixed-phase range of temperatures" sounds a bit strange. You mean "through all the temperature range of mixed-phase clouds"?

Corrected

- Fig. 3: The example of color scale for the temperatures in mixed-phase cloud still does not make sense or does not add any value to the Figure. You either have to use the colors somewhere in the Figure, or leave them away.

We have deleted the colorscale of the figure

- Caption Fig. 3: ambient should be subscript.

Done

- Caption Fig. 4: 600hpa should be 600 hPa.

Done

- In Fig. 4 you write that the upper panel shows the INP conc. at 600 hPa at  $-20^{\circ}\text{C}$  and the lower panel the INP conc. at 600 hPa at ambient temperature. If one looks at the Arctic, the INP conc. is higher in the lower panel compared to the upper panel. That means the temperature has to be lower in the lower panel and thus in the Arctic the local ambient temperature is lower than  $-20^{\circ}\text{C}$ ? Is that the case or is something in the caption/figure wrong?

Yes, the temperature is most of the time lower than  $-20^{\circ}\text{C}$  in the Arctic at 600hpa. You can see the modelled isotherms in figure 7.

- I understand why you chose a temperature of  $-15^{\circ}\text{C}$  and the surface concentrations to calculate INP ambient and compare it to the measurements. However, that should be critically commented in the paper since the real (ambient) IN conc. would be different from what you call INP ambient.

A discussion on the 2 different ways of looking at INP concentrations is included in page 10 lines 12 to 28. Also figure 3 explains the difference between what we call  $[\text{INP}]_{\text{ambient}}$  and  $[\text{INP}]_{\text{T}}$ .

For calculating [INP]\_ambient, we use the local temperature, and for [INP]\_T we use a defined temperature.

Figure 5 shows [INP]\_T at a temperature of -15 °C: [INP]\_-15 as stated in the colorbar label and in the figure caption.

- Figure 6: You could rename the axis label  $1/\text{m}^3$  to  $10^{(-3)} 1/\text{l}$ . Then you would keep it consistent and the numbers would still be readable in the plot.

After trying several ways of plotting it, the readability did not improve. When the units are converted to liters instead of  $\text{m}^{-3}$ , the decimal values and the floating point together with the contourlines make the plot very messy as they mix too much.

However, we have decrease slightly the size of the numbers in the contourlines, so now they do not mix and the plot look a bit less messy.

- Page 12, line 5/6: Provide a citation for studies about a statement about extrapolating singular parameterizations.

The citation is included in the following sentence.

“This is consistent with studies that caution against extrapolating singular parameterizations outside the range where measurements were made. For example, Niedermeier et al. (2015)...”

- Page 23, line 11: Add INP -> "In addition, more INP measurements...".

Done

# Contribution of feldspar and marine organic aerosols to global ice nucleating particle concentrations

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**Abstract.** Ice-nucleating particles (INP) are known to affect the amount of ice in mixed-phase clouds, thereby influencing many of their properties. The atmospheric INP concentration changes by orders of magnitude from terrestrial to marine environments, which typically contain much lower concentrations. Many modelling studies use parameterizations for heterogeneous ice nucleation and cloud ice processes that do not account for this difference because they were developed based on INP measurements made predominantly in terrestrial environments [without considering the aerosol composition](#). Errors in the assumed INP concentration will influence the simulated amount of ice in mixed-phase clouds, leading to errors in top-of-atmosphere radiative flux and ultimately the climate sensitivity of the model. Here we develop a global model of INP concentrations relevant for mixed-phase clouds based on laboratory and field measurements of ice nucleation by K-feldspar (an ice-active component of desert dust) and marine organic aerosols (from sea spray). The simulated global distribution of INP concentrations based on these two-species agrees much better with currently available ambient measurements than when INP concentrations are assumed to depend only on temperature or particle size. Underestimation of INP concentrations in some terrestrial locations may be due to neglect of INP from other terrestrial sources. Our model indicates that, on a monthly average basis, desert dusts dominate the contribution to the INP population over much of the world, but marine organics become increasingly important over remote oceans and they dominate over the Southern Ocean. However, day-to-day variability is important. Because desert dust aerosol tends to be sporadic, marine organic aerosols dominate the INP population on many days per month over much of the mid and high-latitude northern hemisphere. This study advances our understanding of which aerosol species need to be

included in order to adequately describe the global and regional distribution of INP in models, which will guide ice nucleation researchers on where to focus future laboratory and field work.

## 1 Introduction

In the absence of aerosol particles which can act as ice-nucleating particles (INP), liquid water droplets can supercool to temperatures below  $-37^{\circ}\text{C}$  (Riechers et al., 2013; Herbert et al., 2015). It is well-known that ice formation frequently occurs at much higher temperatures in many clouds, indicating that INP are prevalent in the atmosphere (Choi et al., 2010; Rosenfeld et al., 2011). In supercooled and mixed-phase clouds (containing ice and water) INP cause clouds to glaciate, which leads to changes in many cloud properties such as cloud lifetime, their radiative effect on the atmosphere, and the formation of precipitation through the Wegener–Bergeron–Findeisen process (Murphy and Koop, 2005; Korolev, 2007) and possibly cloud ice multiplication processes (Hallett and Mossop, 1974). In the mixed-phase cloud regime the dominant freezing mechanism is thought to be through INP that are immersed within cloud droplets, known as immersion freezing (Westbrook and Illingworth, 2011; Field et al., 2012; Murray et al., 2012). Hence, this is the pathway we focus on in this study.

Heterogeneous freezing in climate models and operational numerical weather prediction models is usually based on parameterizations that depend on the temperature (Young, 1974; Meyers et al., 1992) or the size distribution of aerosol particles as well as the temperature (DeMott et al., 2010). These parameterizations treat aerosol particles all around the globe and across seasons as having the same ice-nucleating properties irrespective of the aerosol chemical composition. This is an unrealistic assumption that may affect the realism of mixed-phase clouds in models.

Over the Southern Ocean clouds tend to persist in a supercooled state more commonly than models predict (Bodas-Salcedo et al., 2014), which might be related to the very low INP concentrations that exist in this region but which are not simulated in models (Bigg, 1973; DeMott et al., 2016). It has been shown that less INP in the Southern Ocean lead to less ice and more supercooled water in model clouds, with a significant impact on the radiative properties of the clouds (Tan et al., 2016). The variability among different models in the representation of cloud glaciation can lead to differences of tens of degrees in the temperature at which clouds glaciate (McCoy et al., 2015). A better representation of mixed-phase clouds in climate models is important for climate prediction. For example, Tan et al. (2016) concluded that the response of global mean surface temperature to a doubling of  $\text{CO}_2$  is more than one degree greater when mixed-phase clouds are better represented. This cloud-phase feedback is particularly sensitive to the amount of supercooled liquid in Southern Ocean mixed-phase clouds where most current models are biased relative to measurements (McCoy et al., 2015).

In the future, regional and global climate models will include improved representations of cloud processes (Bauer et al., 2015), including ice processes, so an improved representation of heterogeneous ice nucleation will be required to make the models more physically realistic and correct some of the main biases. In particular, studies have shown that clouds are sensitive to INP concentrations, which could affect the radiative balance of the atmosphere (Zeng et al., 2009; Hoose et al., 2010b; DeMott et al., 2010; Wang et al., 2014; Tan et al., 2016). The reliability of such studies will depend on being able to relate the changes in cloud properties to emitted aerosol species so that we can attribute future changes in weather and climate to

particular aerosol sources. Global aerosol models have for many years been based on transported aerosol species from different sources, which enables aerosol radiative forcing to be related to anthropogenic and natural emissions and their effects on cloud droplet formation (Ghan and Schwartz, 2007; Rap et al., 2013; Carslaw et al., 2013; Kodros et al., 2015). Our ability to achieve the same level of realism for ice formation has been much more difficult to achieve, partly because it has been challenging to  
5 identify species-specific ice-nucleating properties (Hoose and Möhler, 2012; Murray et al., 2012) and model them on a global scale.

Previous studies have simulated heterogeneous ice nucleation on a global scale accounting for different aerosol species (Lohmann and Diehl, 2006; Hoose et al., 2010b, a; Sesartic et al., 2013; Spracklen and Heald, 2014). These studies used classical nucleation theory to calculate nucleation rates using contact angles derived from laboratory data for each INP species.  
10 This approach has the advantage that the time dependence of ice nucleation is represented (although temperature is the main driver of nucleation), but when a single contact angle is used to describe ice nucleation by a single aerosol species, particle-to-particle variability is not represented (Herbert et al., 2014) as long time integration will eventually allow all particles to nucleate ice.

Classical nucleation theory can be extended with a distribution of contact angles to account for differences in the ice-nucleating ability between different particles within the same material (Marcolli et al., 2007; Eidhammer et al., 2009; Niedermeier et al., 2011; Broadley et al., 2012; Herbert et al., 2014) and has been applied in models (Wang et al., 2014). In addition, it has been shown that representation of the time evolution of the distribution of contact angles is necessary to improve the representation of ice formation in a cloud-resolving model under some conditions using classical nucleation theory (Savre and Ekman, 2015).  
15

The alternative to describing ice nucleation by classical nucleation theory is to use a singular approximation (Vali et al., 2015) in which the time dependence of nucleation is assumed to be of secondary importance compared to the particle-to-particle variability (just a fraction of particles nucleate ice). This approach has been used to define the population of INP in previous model studies (Niemand et al., 2012; Atkinson et al., 2013; Wilson et al., 2015).  
20

The ice-nucleating efficiency using the singular description is defined by a temperature dependent density (i.e. per unit surface area) of active sites, ( $n_s(T)$ ) which represents a spectrum of active sites with variable characteristic ice nucleation temperatures. The temperature dependent number of active sites per surface area can also be normalised to another parameter characteristic of the aerosol population (such as mass or volume) (Murray et al., 2012). From this density of active sites, one can calculate what fraction of the particles will nucleate ice at a certain temperature (See Appendix:B). In the case of having different aerosol species, a different density of active sites for every species has to be defined in order to account for their different ability to nucleate ice.  
25  
30

The singular description of ice nucleation is consistent with many laboratory studies showing that particle-to-particle variability is the main factor driving the measured spectrum of INP concentrations with temperature (Vali, 2008; Herbert et al., 2014; Vali and Snider, 2015) for most of the known atmospherically relevant ice-nucleating species. However, it should be borne in mind that time dependence could play a role in long-lived stable mixed-phase clouds where ice crystals are produced  
35 over a long period of time (Morrison et al., 2011; Murray et al., 2011; Westbrook and Illingworth, 2013; Herbert et al., 2014).

Nevertheless, the singular approach for ice nucleation can be used to approximate INP concentrations, which can be calculated with knowledge of the number, size distribution and density of active sites of the relevant INP species.

Mineral dust is considered to be the dominant ice-nucleating species in many parts of the world (Hoose et al., 2010b; Ardon-Dryer and Levin, 2014; DeMott et al., 2015; Boose et al., 2016). Satellite measurements have shown a negative correlation  
5 between the amount of supercooled water and dust concentration (Choi et al., 2010), suggesting that dust is important for cloud glaciation. The ice-nucleating ability of dust has been quantified in several studies (Koehler et al., 2010; Niemand et al., 2012; Broadley et al., 2012; Augustin-Bauditz et al., 2014). Atkinson et al. (2013) found that K-feldspars are far more effective at nucleating ice than any of the other minerals in desert dust, which is supported by several later studies (Wex et al., 2014; Harrison et al., 2016; Zolles et al., 2015; Emersic et al., 2015; O'Sullivan et al., 2014; Niedermeier et al., 2015;  
10 Whale et al., 2014). Therefore the representation of K-feldspar in atmospheric models is important in order to obtain a realistic representation of ice nucleation by mineral dust. We have previously represented ice nucleation on a global scale by K-feldspar aerosols (Wilson et al., 2015; Atkinson et al., 2013). In this study we will take a similar approach to estimate the contribution of K-feldspar aerosol to global INP concentrations.

Some marine aerosol particles act as ice-nucleating particles. Early evidence for a relationship between phytoplankton and  
15 marine INP was found by Schnell and Vali (1975) and Schnell and Vali (1976), who observed active INP at temperatures as high as  $-4^{\circ}\text{C}$  in resuspended biological material, largely from phytoplankton filtered from bulk sea water. A relationship between the amount of biological material and the INP concentration was also measured in sea water and fog water by Schnell (1977). More recent studies have measured ice nucleation by *Thalassiosira Pseudonana* (a ubiquitous species of phytoplankton) diatom cells (Knopf et al., 2010; Alpert et al., 2011) and exudates (Wilson et al., 2015). However, these studies measured ice nucleation  
20 at significantly lower temperatures than those measured by Schnell and Vali (1975) and Schnell and Vali (1976), suggesting that more active INP could be associated with phytoplankton material in the ocean. This would be supported by a previous measurement of ice-nucleating bacteria associated with phytoplankton cultures (Fall and Schnell, 1985). Further evidence for the biological origin of marine INP is the heat sensitivity of some types of organic INP, i.e. the temperature at which they nucleate ice is reduced after heating to  $100^{\circ}\text{C}$  (Wilson et al., 2015; Schnell and Vali, 1975, 1976). The likelihood of a marine  
25 source of INP was highlighted in studies that measured INP concentrations in environments remote from other sources of INP (Bigg, 1973; Schnell, 1982; Rosinski et al., 1986; Bigg, 1996; Rosinski et al., 1987, 1988).

The first global simulation of marine INP concentrations (Burrows et al., 2013) suggested that marine organics were likely to be the dominant source of INPs over remote marine regions such as the Southern Ocean. Other studies provide further strong evidence that there is a marine source of atmospheric INP with biological origin. For example, INP production associated  
30 with phytoplankton blooms has been measured in laboratory experiments that use artificially generated sea spray aerosol from wave and bubble tanks (Wang et al., 2015; DeMott et al., 2016). DeMott et al. (2016) measured that the INP concentrations in laboratory-generated sea spray were consistent with measurements made by Bigg (1973) as well as with measurements of ambient INP concentrations in marine-influenced air. Wilson et al. (2015) found that the sea surface microlayer is enriched in INP compared to sub-surface seawater at the same locations. The sea surface microlayer is enriched in surface active organic  
35 material similar to that found in sea spray (Cochran et al., 2016; Gantt et al., 2011; Quinn et al., 2014; Aller et al., 2005;



Orellana et al., 2011; Russell et al., 2010; Cunliffe et al., 2013). A correlation between total organic carbon content and the temperature at which microlayer droplets froze was measured (Wilson et al., 2015).

All the above evidence suggests the existence of a marine organic source of ice-nucleating particles that we will attempt to represent in this paper.

5 Here we conduct a modelling study of global immersion mode INP concentrations based on recently developed laboratory-based parameterizations of the ice-nucleating ability of two species: marine organic matter and potassium feldspar (K-feldspar). The objectives of our study are to: (i) determine the ability of laboratory-measured INP efficiencies to explain the global distribution of INP concentrations as a function of activation temperature; (ii) quantify the relative importance of these two sources of INP in different locations; (iii) determine what fraction of global INP concentrations can be explained by these  
10 two sources and (iv) determine whether, within model and measurement uncertainties, we can use the model results to draw conclusions about additional important sources of INP.

## 2 Methods

### 2.1 Global modelling

We use the GLOMAP-mode global aerosol model described in (Mann et al., 2010). The model has a horizontal latitude-  
15 longitude gridspacing of  $2.8^{\circ} \times 2.8^{\circ}$  and 31 pressure levels from the surface to 10 hPa. The species represented in the baseline version are sulphate, sea-salt, black carbon, particulate organic matter and dust. In this study we focus on the representation of two species of relevance to INP: the K-feldspar component of dust and the organic component of primary marine sea spray aerosols. Aerosol chemical component mass concentrations and the particle number concentration are represented by seven internally mixed log-normal modes (four soluble and three insoluble). Aerosol microphysical processes in the model include  
20 nucleation of new particles by gas-to-particle conversion, growth by coagulation and condensation of low-volatility gases, dry deposition at the surface and below-cloud (impaction) and in-cloud (nucleation) wet scavenging. Nucleation scavenging is suppressed for ice clouds, which are assumed to glaciate at  $-15^{\circ}C$ . A discussion of the nucleation scavenging assumptions in our model is included in Browse et al. (2012). Scavenging of aerosols by marine drizzle clouds is also included in the model to improve the predicted concentration in polar regions, as shown in Browse et al. (2012). The model uses wind, temperature  
25 and humidity fields from the European Centre for Medium-Range Weather Forecasts (ECMWF). We ran the model from the year 2000 to 2001 in order to reach a steady state aerosol distribution before running the model and then used data from 2001 to 2002.

### 2.2 Representation of feldspar

Feldspar is emitted in the model as a fraction of the mass of dust (derived from AEROCOM emissions (Dentener and Kinne,  
30 2006)). The model has been shown to reproduce dust mass concentrations within an order of magnitude (Mann et al., 2010; Huneus and Schulz, 2011). The fraction of feldspar emitted is assumed to be equal to the fraction by mass of this mineral

found in the soils in the arid emission regions. This assumption has been shown to be a close approximation to the fraction of the mineral emitted in the form of aerosols (Lafon et al., 2004; Nickovic et al., 2012). However, new studies suggest that there is a difference between the fraction of the minerals found in the soil after wet sieving and the aerosolized fraction (Perlwitz et al., 2015). This difference is considered to be small (around a factor of 2) compared to other errors in our representation of the ice-nucleating ability of K-feldspar such as differences in the density of active sites of different types of K-feldspar (around a factor of 6) (Harrison et al., 2016).

Feldspar is emitted into the insoluble accumulation and coarse modes with fractions corresponding to the clay and silt size range (Lafon et al., 2004; Nickovic et al., 2012), similar to the method followed in Atkinson et al. (2013). However, once in the atmosphere, dust particles (including feldspar) are aged by condensation of sulphates and secondary organic aerosol material and moved into the soluble modes, which are subject to wet scavenging. This process was not represented in Atkinson et al. (2013), and was likely one of the causes of the overestimation of dust concentrations in remote locations as discussed in Atkinson et al. (2013). With this wet scavenging process active, the concentration of feldspar in remote places such as the Southern Ocean is several orders of magnitude smaller than the concentrations simulated by Atkinson et al. (2013). However, the concentrations closer to source regions are very similar to Atkinson et al. (2013).

Feldspar tends to reside in the larger particles because it is found mainly in the silt fraction ( $r > 1 \mu\text{m}$ ) (Claquin et al., 1999). It is therefore removed more rapidly from the atmosphere compared with other minerals that occur preferentially in the clay fraction because removal by dry deposition increases with particle size. Relatively rapid scavenging of large feldspar-containing particles means that it is transported shorter distances compared with smaller dust particles.

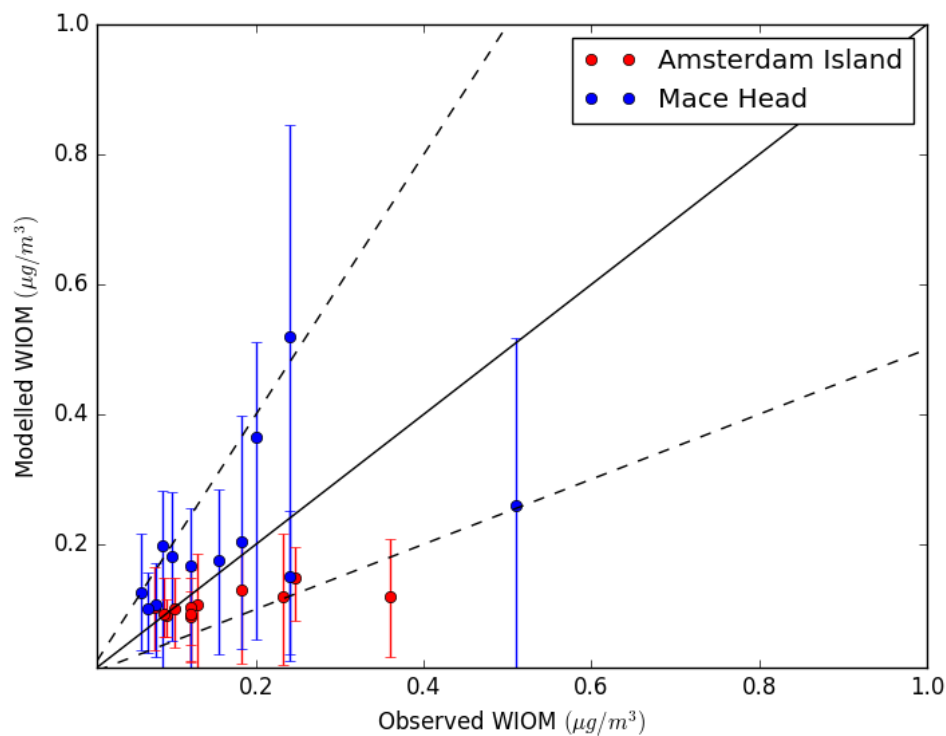
### 2.3 Representation of marine organic aerosols

Submicron marine organic aerosols are usually parameterized by relating the organic mass fraction observed in sea-spray to some variables such as seawater chlorophyll content or wind speed (O'Dowd et al., 2015; Rinaldi et al., 2013; Gantt et al., 2011). With those parameterizations, the flux of marine organic mass can be calculated in a model with the flux of sub-micron sea-salt following Eq.A5 (see Appendix). The performance of any parameterization in reproducing observations of marine organic mass concentrations will therefore depend on the emission fluxes of submicron sea-spray, which is a highly uncertain model-dependent process. Mann et al. (2014) showed that models can have differences of more than a factor of six in the simulated concentration of particles with a diameter larger than 100nm in the Southern Ocean. Other uncertainties affecting the modelled concentrations of marine organic aerosols can arise from removal processes or some other aspect of the model such as the parameterization of convection and cloud microphysical processes, or model grid and temporal resolution, as well as uncertainties related to the organic mass fraction parameterization. Therefore, the performance of any parameterization in an aerosol model will be affected by the uncertainties related to these processes. It is therefore necessary to evaluate and adjust the modelled marine organic concentrations to match observations.

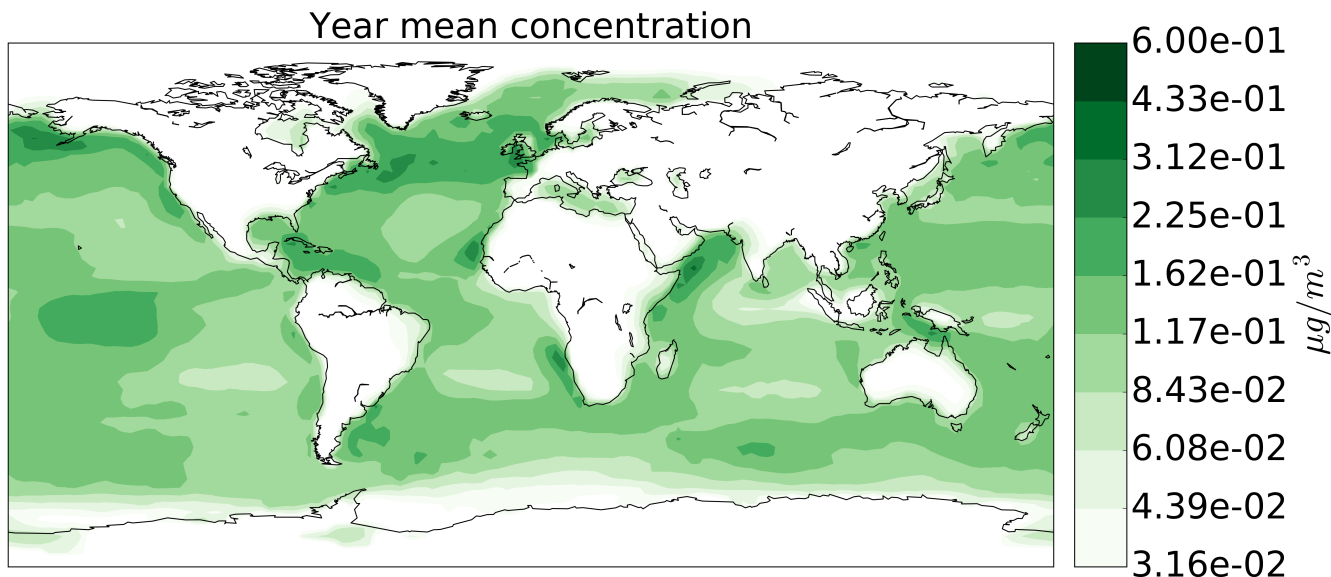
To represent primary marine organic aerosols in GLOMAP-mode, we developed a parameterization of the organic mass fraction of submicron sea spray particles and adjusted it to fit the observations of water insoluble organic matter (WIOM) at Amsterdam Island (37.48°S, 77.34°E) and Mace Head (53.33°N, 9.9°W). We use observations from only these two stations

due to the limited availability of long-term measurements of marine WIOM. It is thought that most primary marine organic emissions are formed of water-insoluble components (Facchini et al., 2008). The marine organic component is assumed to be internally mixed with sea-salt. The sea-salt emissions in our model are dependent on the surface wind speed (10m above the surface) and follow the parameterization of (Gong, 2003), which is an extension of (Monahan et al., 1986). The development of our new organic mass fraction parameterization, explained in detail in Appendix:A, assumes that the organic mass fraction of the sea-spray particles depends on wind speed and the chlorophyll content of seawater. The organic emission parameterization includes a positive dependence of WIOM mass fraction on chlorophyll (O'Dowd et al., 2015; Rinaldi et al., 2013; Gantt et al., 2011), but a negative dependence on wind speed. Thus, the WIOM is essentially diluted in the sea spray particles when the total sea spray emission flux is high, which may be caused by a limited supply of organic material in the surface ocean but effectively limitless salt (Gantt et al., 2011). This parameterization is similar to previous chlorophyll based parameterizations such as Rinaldi et al. (2013) and Gantt et al. (2011) but adjusted in order to fit the observations in Amsterdam Island and Mace Head when applied in our model. Our model agrees with the observed WIOM concentrations within a factor of two (Fig. 1) which is a small factor compared with other uncertainties related to the calculation of INP concentrations such as the uncertainty related to the parameterization of the number of INP per gram of organic carbon in sea-water (around an order of magnitude) (Wilson et al., 2015).

The mixed organic-salt sea spray particles are emitted into the accumulation mode and treated as water-soluble particles with respect to their CCN activity, and hence they are removed by nucleation scavenging when they enter a precipitating cloud. This treatment of primary marine organic mass as internally mixed with sea-salt and being able to activate to cloud droplets is consistent with other previous studies (Vignati et al., 2010; Burrows et al., 2013; Orellana et al., 2011; Ovadnevaite et al., 2011; Fuentes et al., 2011; Partanen et al., 2014). Simulated surface concentrations of marine organic aerosol mass are shown in Figure 2.



**Figure 1.** Evaluation of modelled water-insoluble organic matter (WIOM) mass concentration with monthly mean observations at Mace Head ( $53.33^{\circ}\text{N}$ ,  $9.9^{\circ}\text{W}$ ) and Amsterdam Island ( $37.48^{\circ}\text{S}$ ,  $77.34^{\circ}\text{E}$ ). The dashed lines correspond to a factor of two difference between modelled and observed values. The error bars correspond to the simulated daily variability within a month (maximum and minimum values). Variability in the observed values is not shown because the measurements were made with filter samples which were collected over 1 week, and therefore they do not represent the day-to-day variability.



**Figure 2.** Annual mean modelled mass concentration of sub-micron marine organic aerosol mass at surface level

## 2.4 Calculation of INP concentrations

To quantify INP concentrations from the modelled aerosol distributions we use the singular description. This method assumes that the time dependence of ice nucleation plays a secondary role and that specific particles have a characteristic temperature at which they nucleate ice. The spectrum of ice-nucleating properties is often represented as a surface area density of active sites dependent on temperature, which is appropriate for solid particles like dust (Atkinson et al., 2013). For marine organic material the active site density is defined per unit mass of organic material in the particle (Wilson et al., 2015).

The method for calculating ice-nucleating particle concentrations from the simulated aerosol size distributions is explained in Appendix: B.

To represent the ice-nucleating ability of K-feldspar we assume that 35% of the total feldspar is K-feldspar, as assumed in (Atkinson et al., 2013), then we apply the parameterization for  $n_s$  shown in (Atkinson et al., 2013). Our method assumes that different varieties of K-feldspar nucleate ice with the same efficiency. Different studies have shown that the values of  $n_s$  for most types of K-feldspar tend to agree with the values shown in (Atkinson et al., 2013) within a factor of two to four (Harrison et al., 2016; Emersic et al., 2015; O’Sullivan et al., 2014; Zolles et al., 2015; Niedermeier et al., 2015; Whale et al., 2014). However, it should be borne in mind that a minority of feldspar samples are either much more active or much less active than indicated by Atkinson et al. (2013). Nevertheless, the Atkinson parameterization is a good approximation of the majority of K-feldspars that have been studied in the laboratory. Assuming that feldspar particles are externally mixed in terms of their mineralogy, we can use the laboratory parameterizations to calculate the INP concentration for each soluble mode, following Eq.B9, as a function of activation temperature (see Appendix:B for the derivation).

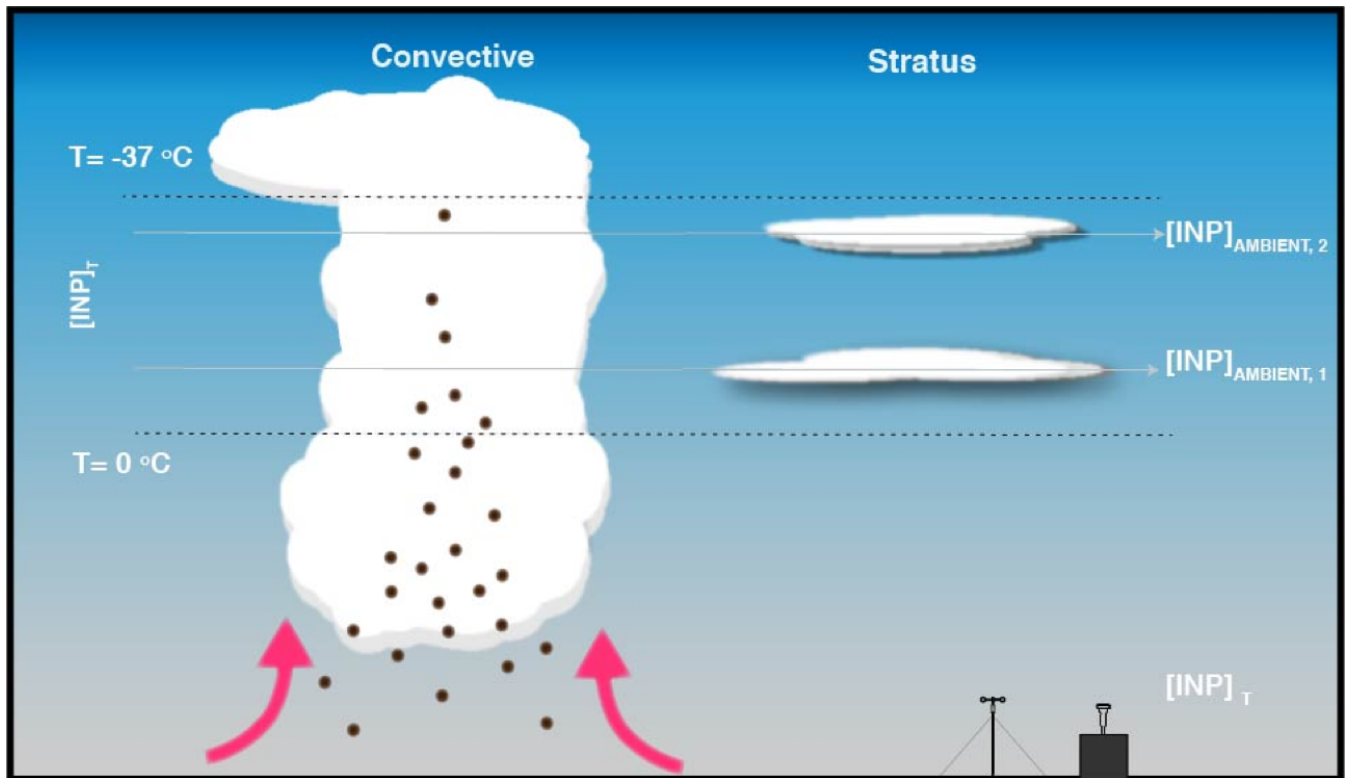
For marine organic aerosols, we use the parameterization shown in (Wilson et al., 2015), and apply it to our distributions of simulated marine organic aerosol mass. We are assuming that the organic material found in the sea-surface microlayer is representative of the organic material in sea-spray aerosols and that this material has the same ice-nucleating ability as sea-surface microlayer material. For marine organic particles the density of active sites per particle is always small ( $\lambda < 0.1$  see Appendix: B) for the whole temperature range covered by the parameterization ( $-6$  to  $-27^\circ C$ ) and all realistic sizes of particle (submicron particles). This means that we can calculate the INP concentration in a simplified way following Eq.1 (Appendix: B for the derivation).

$$10 \quad [INP](T) \approx \lambda(T) \cdot [N] \quad (1)$$

It should be noted that extrapolating this parameterization to lower temperatures, or for bigger particles, may lead to unrealistically high concentrations of INP because Eq.1 is no longer valid.

There are two distinct ways of presenting simulated INP concentrations – either according to the concentration that an INP counter would measure or the concentration of potential INP under ambient conditions. An INP is defined as a particle which has the potential to nucleate ice if exposed to a specific set of conditions (much like a CCN is defined at a specific super-saturation). For the immersion/condensation mode, the INP concentration we quote are for water saturation and for a defined activation temperature. The two ways of quoting INP concentrations (Figure 4) are at a specific activation temperature  $T$  ( $[INP]_T$ ), which is appropriate for comparing with an INP instrument set to measure at that temperature, or  $[INP]_{ambient}$  where the activation temperature is set as the local ambient temperature.

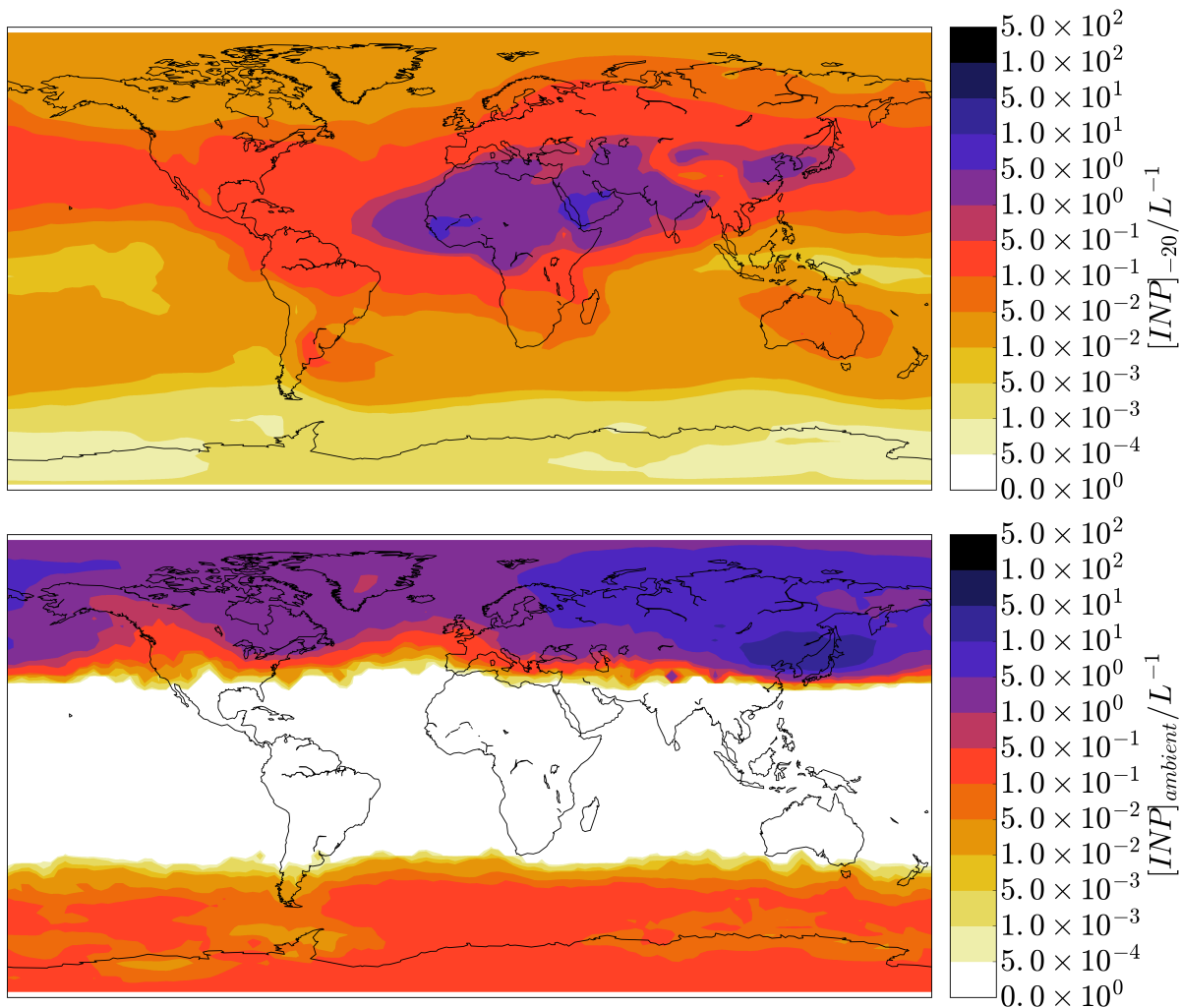
20 In Figure 4(top) we show the INP concentration for an activation temperature of  $-20^\circ C$  ( $[INP]_{-20}$ ) at the 600 hPa pressure level, which is what would be measured by an INP instrument set to this temperature. Throughout much of the globe, especially through the tropics, the temperature at this pressure level will never reach  $-20^\circ C$ , so the INP at this altitude that can be active at  $-20^\circ C$  or warmer would not fulfil their potential to nucleate ice. However, if the air at a particular altitude were drawn into a convective system the INP it contains would activate higher in the cloud. Hence, when considering a deep convective cloud where air is moved vertically through all the temperature range of mixed-phase clouds, it is the spectrum of  $[INP]_T$  (a spectrum over activation temperature) which is the pertinent quantity (see Fig. 3 for an illustration). In addition, when comparing measurements of  $[INP]$  concentration to our modelled  $[INP]$ , we compare these quantities at specific activation temperatures. Hence, Figure 4(top) provides the  $[INP]$  to compare to a measurement of  $[INP]$  where the activation temperature in a measurement was  $-20^\circ C$ .



**Figure 3.** Illustration of the two ways in which we display INP concentrations. It is important to bear in mind that INP are defined as particles with the potential to nucleate ice and their concentration is quoted for a specific set of conditions.  $[INP]_{ambient}$ , where ambient denotes the local atmospheric temperature, is a useful way of looking at the INP concentration relevant to non-deep convective mixed-phase clouds.  $[INP]_T$  on the other hand, has utility in representing the spectrum of INP concentrations over temperature that will influence clouds with a large vertical extent such as deep-convective systems. Moreover  $[INP]_T$  is the relevant quantity when comparing modelled and observed INP concentrations, since measurements are made by exposing particles to controlled temperatures within the instrumentation.

In Figure 4(bottom) we plot the  $[INP]$  where the activation temperature is set to the local atmospheric temperature.  $[INP]_{ambient}$  is useful to identify regions in the atmosphere where we might expect cloud glaciation in stratus type mixed-phase clouds. Non-deep convective clouds with minimal vertical extent, such as altostratus, altocumulus or high latitude stratus, form in air parcels which have not been vertically transported large distances, in contrast to deep convection. Based on Figure 4(bottom), we would expect K-feldspar to contribute much more to mid-latitude, mid-level (600 hPa), mixed-phase clouds in the Northern Hemisphere than in the Southern Hemisphere.

Both  $[INP]_T$  and  $[INP]_{ambient}$  are useful ways of looking at the global INP distribution, but in order to understand the impact of these INP species on clouds, we would need a model where the INP fields are coupled to cloud microphysics and dynamics. This is beyond the remit of this study, where our goal is to understand the global distribution of INP and evaluate the model against measurements.



**Figure 4.** Annual mean K-feldspar INP distribution using GLOMAP-mode at a pressure level of ~~600hpa~~600 hpa. Top panel shows the concentration of ice-nucleating particles active at a temperature of  $-20^{\circ}\text{C}$  ( $[INP]_T$ ) whereas the bottom panel shows the INP concentration at local ambient temperature ( $[INP]_{ambient}$ ).

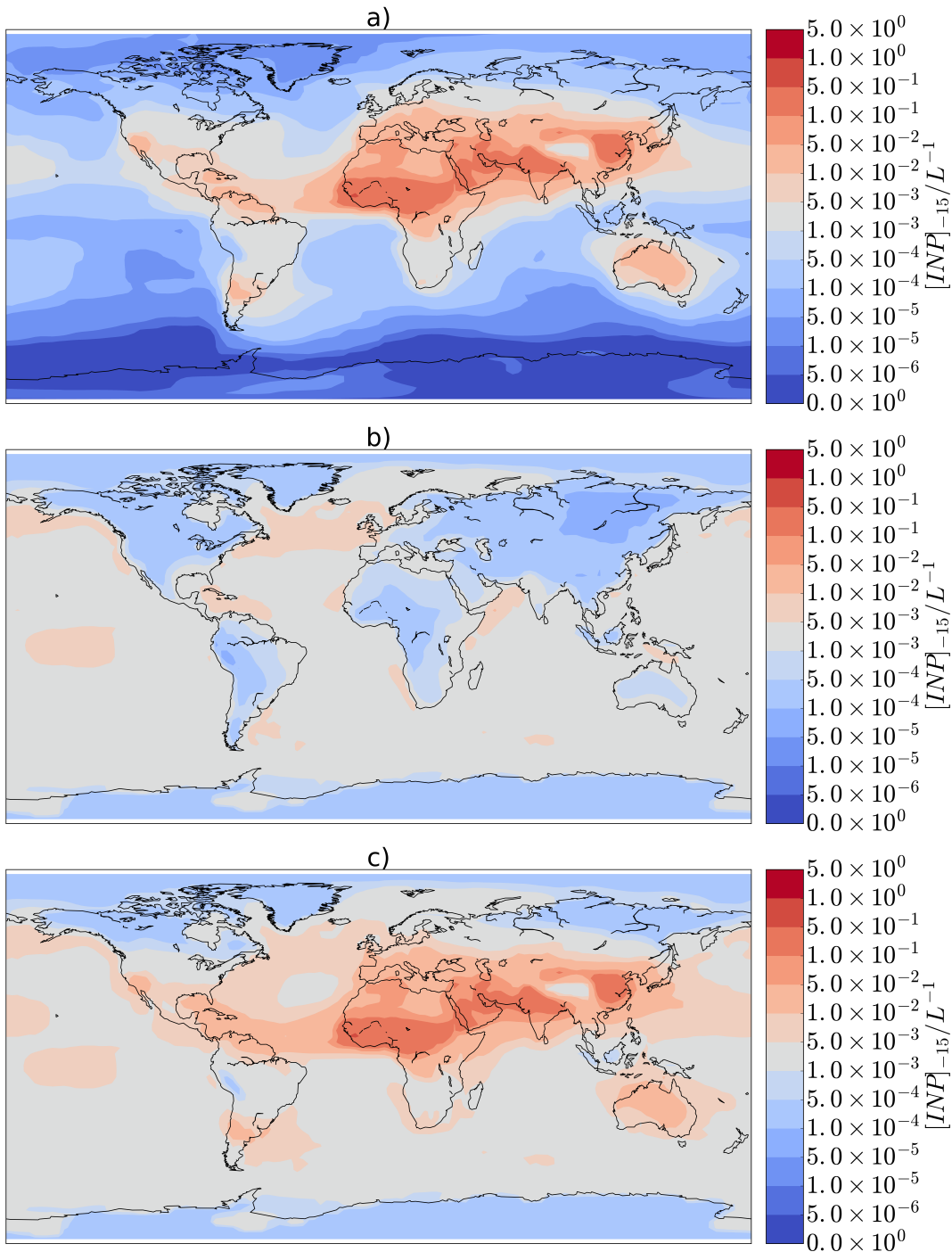
To calculate  $[INP]_{ambient}$ , we use the daily mean temperatures obtained from ECMWF and the daily mean concentrations (mass and number concentrations) predicted by the model. The daily values are then averaged to calculate monthly and annual mean values of INP. The concentrations of  $[INP]_{ambient}$  at temperatures lower than the temperature limit of the parameterizations (for K-feldspar:  $-25^{\circ}\text{C}$  and marine organics:  $-27^{\circ}\text{C}$ ) is set at the value defined by the concentration at the limiting temperature of each parameterization. This is consistent with studies that caution against extrapolating singular parameterizations outside the range where measurements were made. For example, Niedermeier et al. (2015) showed that the density of active sites on the surface of K-feldspar particles plateaus below about  $-25^{\circ}\text{C}$  and a simple extrapolation of the parameterization of Atkinson et al. (2013) would lead to substantial errors.



## 5 3 Results

### 3.1 Simulated global INP distributions

Simulated INP concentrations at the surface are shown in Figure 5 for an activation temperature of  $-15^{\circ}C$ . Feldspar dominates the INP concentration in environments influenced by terrestrial dust emission sources such as the Sahara and the Asian dust belt. However, concentrations fall rapidly with distance away from dust sources because the large size feldspar-containing dust particles are rapidly removed from the atmosphere (Fig. 5a). The concentrations of INP from K-feldspar and marine organics are summarized in Figure 5c and comparison with panels 5a and 5b reveals that INP from deserts far outnumber INP from sea spray throughout much of the low and mid-latitudes, which are strongly influenced by desert dust, but marine organics become more important over the world's remote oceans, such as the Southern Ocean.

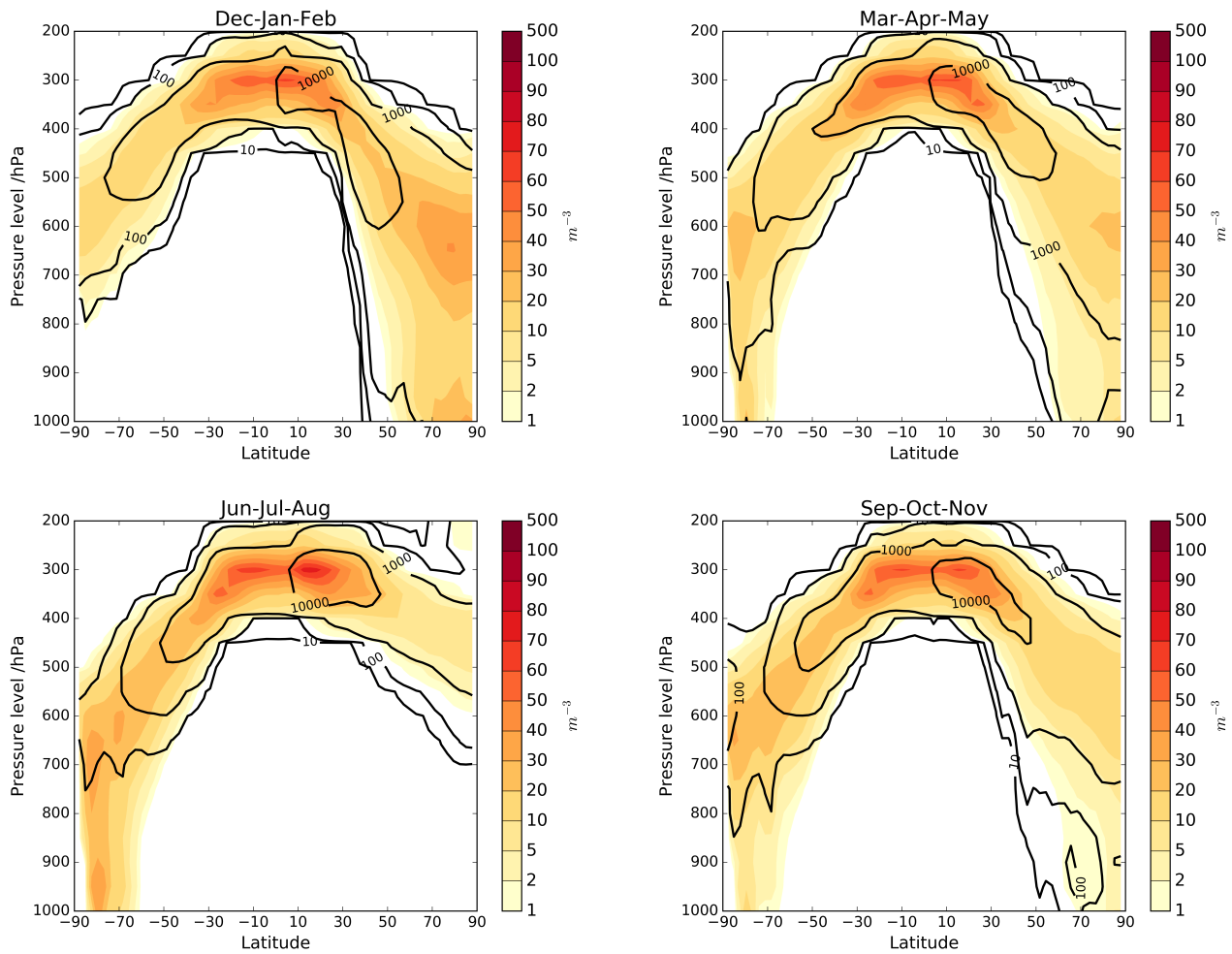


**Figure 5.** Annual mean distributions of ice-nucleating particles concentrations, for an activation temperature of  $-15^{\circ}\text{C}$ . Based on feldspar (a) and marine organics (b). (c) shows the total INP concentration obtained by summing the INP concentrations from K-feldspar and marine organics. We show  $[\text{INP}]_T$  for a T of  $-15^{\circ}\text{C}$  because this is a temperature used by many instruments. The number of INP that activate to ice crystals ( $[\text{INP}]_{\text{ambient}}$ ) at the surface will be zero over much of the globe, because these particles will only become important at high altitudes. Surface concentrations are shown because this is where most observations of atmospheric INP concentrations are made.

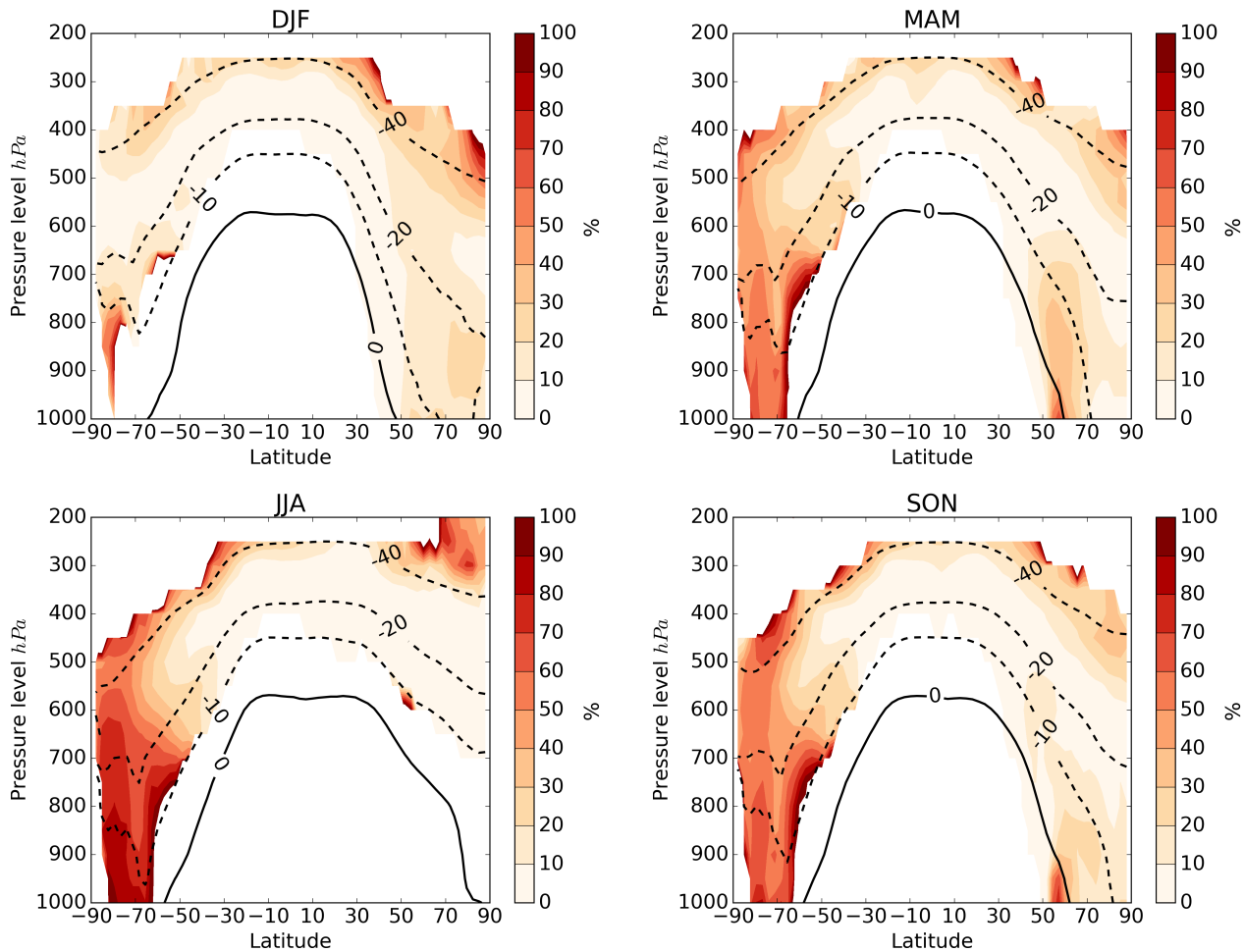
Figure 6 shows the  $[INP]_{ambient}$  concentration of marine organics and K-feldspar for the different seasons. Feldspar dominates  $[INP]_{ambient}$  on a monthly mean basis across the northern hemisphere, while marine organic aerosols, tend to be important in southern high latitudes, such as those corresponding to the Southern Ocean and Antarctica.

The seasonal mean results in Fig. 6 have to be interpreted with caution since high dust concentrations are often associated with episodic dust plumes. Hence, the seasonal mean may not reflect the relative contributions of desert dust and sea spray INP on a day-to-day basis. In addition, day-to-day fluctuations in temperature can drive large changes in  $[INP]_{ambient}$  which are not necessarily representative of the typical concentrations of active ice-nucleating particles, but will greatly affect the monthly mean value of  $[INP]_{ambient}$ , as the INP concentration increases exponentially with temperature. To account for such variability, Fig.7 shows the percentage of days per season when the concentration of  $[INP]_{ambient}$  from marine organics is greater than the concentration from K-feldspar. Overall, over the northern hemisphere, marine organic INP concentrations are greater than K-feldspar INP concentrations between 10% and 30% of the days when the temperature is within the mixed-phase range ( $0^{\circ}C$  to  $-37^{\circ}C$ ) and the total concentration of  $[INP]_{ambient}$  is larger than  $10^{-4}L^{-1}$ . This large influence of marine organic INP is hidden when looking at the monthly mean values shown in Figure 6 as the feldspar monthly mean concentrations are dominated by short periods when a dust plume occurs. It is striking that the contribution of marine organics is more important than K-feldspar on a significant fraction of days in the Northern Hemisphere because in these zonal mean plots we are averaging across the Eurasian and North American continents where the influence of marine organics is minor. In fact, Fig.7 suggests that marine organics are more important than K-feldspar in the North Atlantic, for example, on 10-40 % of days at 600 hPa.

In the Southern Hemisphere, the dominance of marine organic aerosols is more prevalent. On a monthly mean basis and on the large majority of days, marine organic aerosols are the dominant INP from March through to November (Fig.6b-d). On the other hand, K-feldspar cannot always be ruled out as an important source of INP in the southern high latitudes in the period from March to November, since there are still several days per month (10 to 60%) when the concentration of transported K-feldspar INP dominates over marine organics (Fig.6). Conversely, during December to February at southern high latitudes, K-feldspar mineral dust is more important on more days than marine organic aerosols (Fig.7a). This is related to higher dust concentrations during the austral summer.



**Figure 6.** Zonal mean profiles of  $[INP]_{ambient}$  for every month of the year. The black contour lines correspond to the INP concentration of K-feldspar aerosols ( $m^{-3}$ ), while the colormap shows the INP concentration of marine organic aerosol. The values correspond to seasonal mean values calculated using daily concentrations and temperatures and averaged across latitudes.



**Figure 7.** Percentage of days when  $[INP]_{ambient}$  from marine organic aerosols is greater than from K-feldspar. The number of days have been calculated only for times and locations where the total  $[INP]_{ambient}$  concentration is larger than  $0.1m^{-3}$ . The black contour lines represent seasonal mean isotherms in degrees centigrade.

### 3.2 Comparison with observations and other parameterizations

Some climate models determine heterogeneous freezing using parameterizations that depend only on the temperature, (McCoy et al., 2015) such as the scheme of Meyers et al. (1992). This type of parameterization does not account for spatial or temporal variations in the aerosol loading and does not differentiate between different aerosol species, both of which actually determine INP concentrations. Other parameterizations such as (DeMott et al., 2010) use empirical evidence from extensive atmospheric measurements to define INP concentrations in terms of the aerosol particle concentration above a defined size.

Such parameterizations implicitly account for the fact that many INP-active species are present in larger particles, such as in dust (Niemand et al., 2012) and biological particles (Tobo et al., 2013). In addition, larger particles are more likely to

carry nano-scale or smaller ice active materials (O’Sullivan et al., 2015). Nevertheless, size-based parameterizations of INP concentration do not account for the source of the particles or differences between marine and terrestrial aerosols, so they may not capture variations and long-term trends driven by changes in aerosol emissions since different aerosol types have different ice-nucleating abilities.

In Figure 8 we compare several singular INP parameterizations with observations. Panel a compares the observed values of  $[INP]_T$  to those predicted by the scheme of Meyers et al. (1992), which relates  $[INP]_T$  to temperature and is independent of aerosol properties. This is clearly a poor representation of many INP measurements in the atmosphere (Table 1). Fig. 8b shows the  $[INP]_T$  predicted by the parameterization of DeMott et al. (2010), in which  $[INP]_T$  is predicted on the basis of the concentration of particles larger than  $0.5 \mu\text{m}$  diameter,  $n_{aer,0.5}$ , and temperature. This parameterization tends to overpredict  $[INP]_T$ , although multiplicative scaling of the simulated values would greatly improve its performance as it has a better correlation coefficient (Table 1). We also note that in our analysis we use the annual mean  $n_{aer,0.5}$  from our model (without the contribution of sea-salt aerosols), whereas DeMott et al. (2010) used  $n_{aer,0.5}$  from measurements coincident with their INP measurements and obtained a better representation of the  $[INP]_T$  data (some of which is included in Figure 8). Sulphate aerosols contribute significantly to the simulated  $n_{aer,0.5}$  in remote places impacting DeMott et al. (2010) over oceans.

Fig. 8c shows how our model compares with observed  $[INP]_T$  using the desert dust parameterization from Niemand et al. (2012) (with no additional marine organic INP). In this case some observations are overestimated by a factor 100-1000, especially those in marine regions (triangles). [A similar trend is observed when this parameterization is extrapolated at higher temperatures.](#) This overprediction is partly caused by the implicit assumption that all components of dust particle nucleate ice with the same efficiency. Feldspars exist mainly in the large dust particles (silt fraction) so they are not transported as efficiently to remote locations as the clay minerals, consequently transported desert dust is less important as an INP in remote locations.

Finally, we compare our two-species representation of INP with the same  $[INP]_T$  dataset Fig. 8d. The observations used in this comparison are within the range of temperatures of the parameterizations ( $-5$  to  $-27^\circ\text{C}$ ). In this case our representation of INP (Fig. 8d) is able to reproduce 56.7% of the observations within an order of magnitude and 74% within 1.5 orders of magnitude (Table 1). When the parameterizations are extrapolated outside their temperature range, they still perform similarly (Table 1). Looking at the performance of the different ways of representing INP within the smallest temperature range shared by all the parameterizations ( $-12$  to  $-25^\circ\text{C}$ ), our representation of INP is able to reproduce 61.6% of the datapoints within an order of magnitude and 78.7% within 1.5 orders of magnitude. These values are greater than the obtained when using the other 3 parameterizations used for this study (Table 1). At high temperatures,

The contributions of K-feldspar and marine organics to the simulated INP concentrations of each data point are illustrated in Figure 9b. Marine organics explain more than 90% of the INP concentrations in Marine-influenced environments and some terrestrial environments with low concentrations of INP (corresponding to high temperature observations). K-Feldspar, however, explains most of the observations in terrestrial regions. The large biases observed when using species independent parameterizations over marine regions are largely corrected, as most marine influenced INP concentrations are simulated within an order of magnitude (72% of marine points), although some biases are still apparent. Figure 10 shows the location and temperature of the observations with a bias greater than 1.5 orders of magnitude. Figure 10a suggests that the main positive bias occurs at low

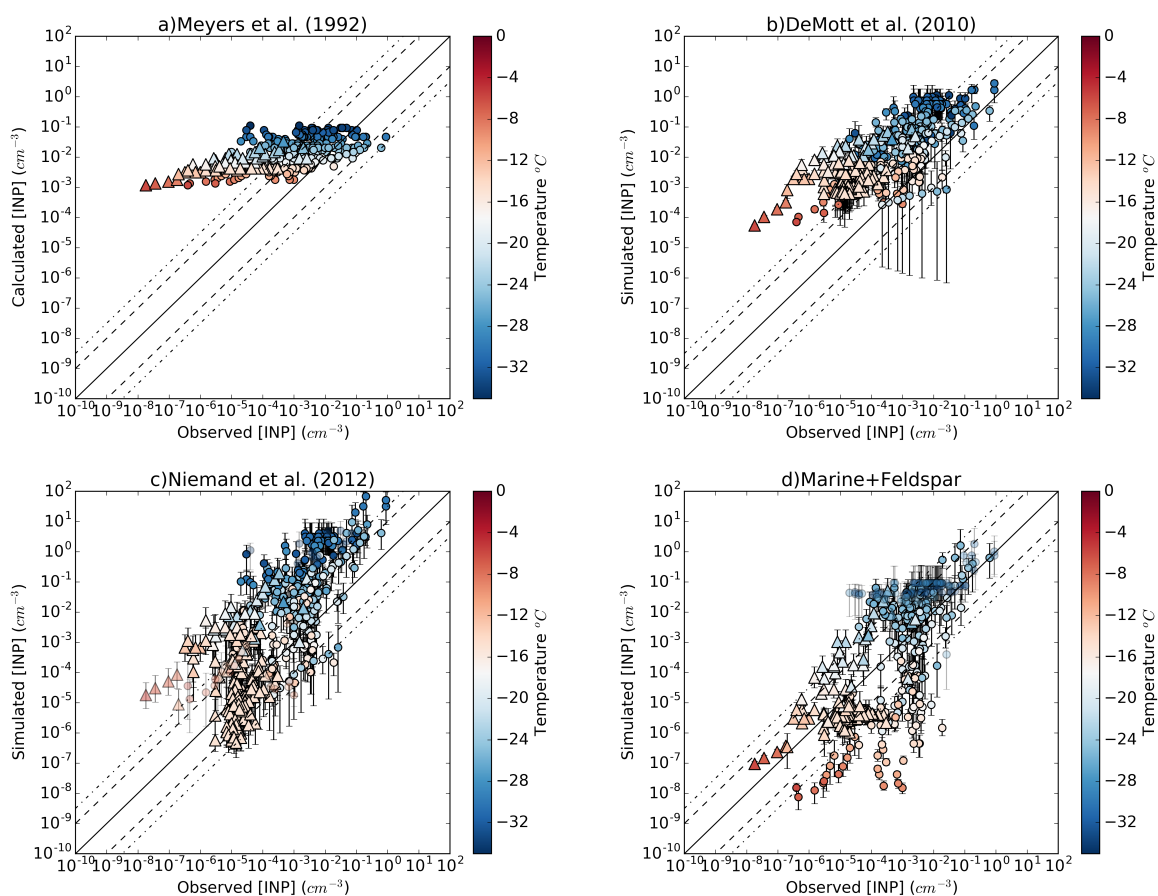
| Parameterization      | Temperature range                | Datapoints | Pt1   | Pt1.5 | R    | Pt1*  | Pt1.5* | R *  | Pt1** | Pt1.5** | R ** |
|-----------------------|----------------------------------|------------|-------|-------|------|-------|--------|------|-------|---------|------|
| Meyers et al. (1992)  | $0^{\circ}C$ to $-37^{\circ}C$   | 479        | 35.5% | 51%   | 0.57 | 35.5% | 51%    | 0.57 | 27.4% | 39.4%   | 0.47 |
| DeMott et al. (2010)  | $0^{\circ}C$ to $-37^{\circ}C$   | 479        | 24%   | 39.2% | 0.67 | 24%   | 39.2%  | 0.67 | 23.6% | 38.3%   | 0.42 |
| Niemand et al. (2012) | $-12^{\circ}C$ to $-33^{\circ}C$ | 438        | 33.7% | 53%   | 0.58 | 31.7% | 53%    | 0.64 | 46.9% | 70%     | 0.41 |
| Marine + Kfeldspar    | $-6^{\circ}C$ to $-25^{\circ}C$  | 354        | 56.7% | 74%   | 0.62 | 54.9% | 75.9%  | 0.64 | 61.6% | 78.7%   | 0.5  |

**Table 1.** Statistical performance of the different parameterizations. Pt1 and Pt1.5 are the percentages of datapoints reproduced within an order of magnitude and 1.5 orders of magnitude in the temperature range of every parameterization. The number of datapoints used for calculating these values is shown under the 'Datapoints' column. The values with \* show the same calculation but including datapoints outside the temperature range of the parameterizations. These values give an idea of the performance that you would expect if you extrapolate the parameterizations in a climate model. The values with \*\* are for datapoints within the smallest temperature range shared by the 4 parameterizations ( $-12^{\circ}C$  to  $-25^{\circ}C$ ). The correlation coefficient has been calculated with the logarithm of the values as INP concentrations vary logarithmically with temperature.

temperatures ( $<-20^{\circ}C$ ) in locations far from K-feldspar emission sources, where it is transported. It is possible that processes such as atmospheric aging by acids play a role in modifying the efficiency of K-feldspar aerosols in nucleating ice (Augustin-  
10 Bauditz et al., 2014) or that we overestimate the amount of feldspar particles that are transported. One possible explanation for this is that we do not model the preferential removal of INP during cloud glaciation, hence K-feldspar aerosol transported over long distances may contain fewer INP than our model simulations (Stopelli et al., 2015; Haga et al., 2014, 2013). Fig.10b shows that the model underestimates high-temperature INP concentrations ( $\sim -5$  to  $-15^{\circ}K$ ) over terrestrial locations, which might indicate that we are missing some terrestrial source that affects the INP concentration. Some of the possible candidates  
15 for these particles could be bacteria (Möhler et al., 2008; Hartmann et al., 2013; Maki and Willoughby, 1978), fungal material (O'Sullivan et al., 2015, 2016; Fröhlich-Nowoisky et al., 2015; Pouleur et al., 1992; Morris et al., 2013), agricultural dust (O'Sullivan et al., 2014; Tobo et al., 2014; Garcia et al., 2012) or biological nanoscale fragments attached to mineral dust particles (O'Sullivan et al., 2015, 2016; Pummer et al., 2015; Fröhlich-Nowoisky et al., 2015). However, size-resolved INP  
20 measurements in several terrestrial locations suggest that a large proportion (40%- 90%) of INP are commonly associated with larger particles (diameter  $> 2.5 \mu m$ ) (Mason et al., 2016). Such large particles are likely to have short atmospheric lifetimes, so they are less likely to be transported to cloud altitudes than smaller particles and are more likely to be transported shorter distances. In summary, the overall agreement between the two-species model and observations is good, but there are significant discrepancies. These discrepancies indicate that processes such as aging and preferential INP in-cloud removal could be important and also that we could be missing high temperature terrestrial sources of INP in the model.

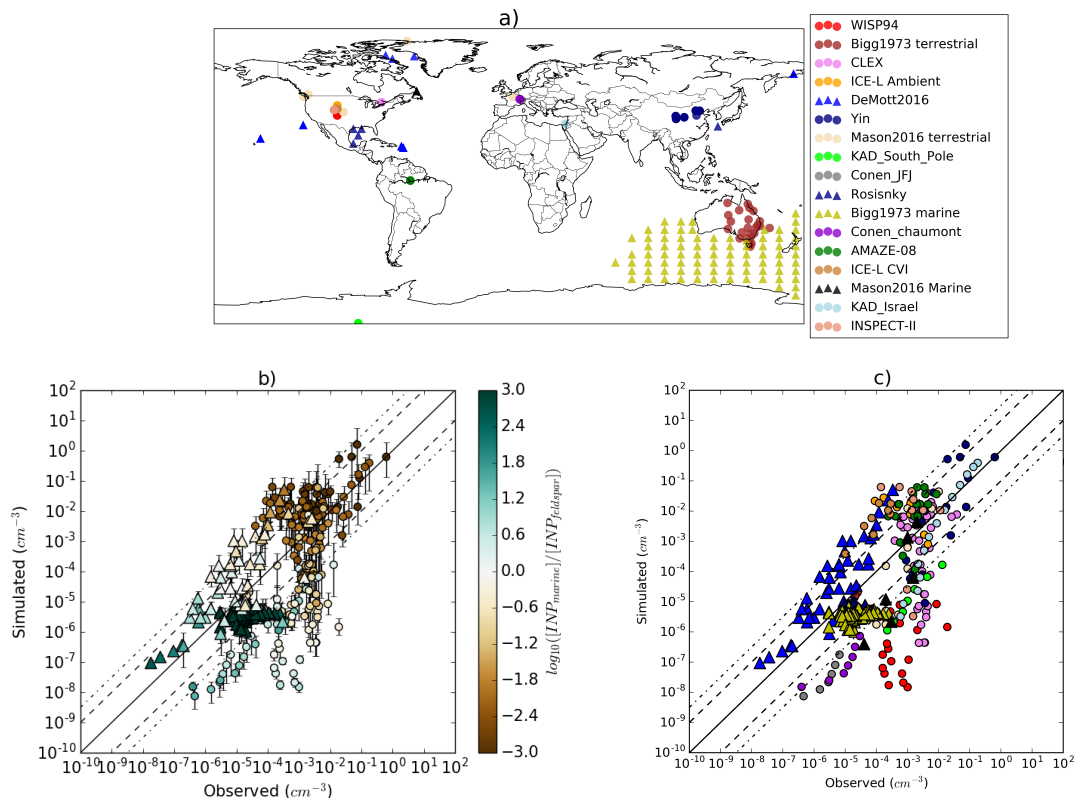
#### 4 Conclusions

This study is a step towards the inclusion of ice-nucleating particles in weather and climate models in a way that accounts  
5 for the aerosol chemical composition using laboratory- derived parameterizations under the singular description. We find



**Figure 8.** Comparison of the performance of a variety of INP parameterizations tested against field measurements. (a-d) Modeled INP concentration values when using: a) Meyers parameterization (Meyers et al., 1992) b) DeMott’s parameterization (DeMott et al., 2010) combined with a global aerosol simulation using GLOMAP-mode, c) Niemand dust parameterization (Niemand et al., 2012), d) Our two-species based representation based on feldspar (Atkinson et al., 2013) and marine organic aerosols (Wilson et al., 2015). Triangles represent marine influenced regions and points terrestrial environments. The light shaded points in d) and e) are for datapoints outside the temperature range of the parameterizations. The dashed lines represent one order of magnitude of difference between modelled and observed and the dashed-dotted lines 1.5 orders of magnitude. The simulated values correspond to an annual mean concentration and the error bars correspond to the simulated seasonality of INP calculated with monthly mean values. For each individual observation, we calculated the INP concentration at the temperature corresponding to the temperature that aerosol particles were exposed to in the INP instruments. The locations of the datapoint are shown in figure 9.

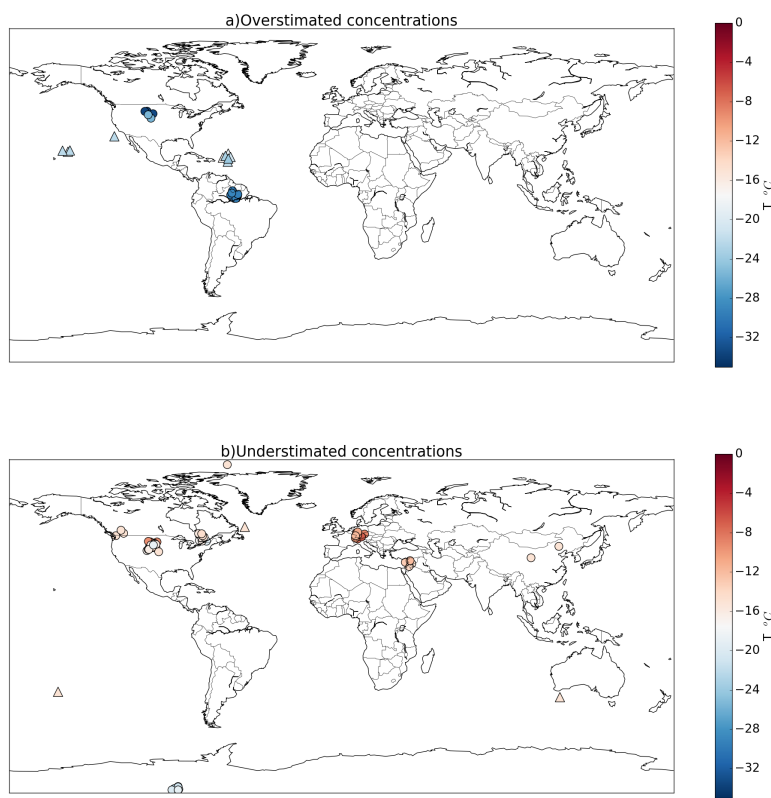




**Figure 9.** a) Location of the data used for comparison in figure 8. b) Same as figure 8d but showing the relative contribution (in orders of magnitude) of each aerosol species to the simulated concentration. c) Same as b but distinguishing between the different campaigns shown in a (with the same colours and symbols). References to the datasets used are shown in Appendix: C

that marine organic aerosols dominate the concentration of INP in remote locations like the Southern Ocean on many days, whereas feldspar particles are the dominant species for ice nucleation in places influenced by the terrestrial emission sources. However, even over northern hemisphere regions influenced by dust, marine organic INP concentrations exceed K-feldspar INP concentrations on 10-30% of the days when the temperature is within the mixed-phase range and the total concentration of INP is larger than  $10^{-4} L^{-1}$ . Similarly, K-feldspar cannot be ruled out as an important source of INP in the southern high latitudes because there are several days per month when the concentration of transported K-feldspar INP dominates over the prevailing marine organics.

K-feldspar in our model can reproduce 70% of the observations of INP in terrestrial locations at low temperatures ( $T < -15^{\circ}C$ ) within 1.5 orders of magnitude. Because K-feldspar is mainly a coarse aerosol type, it is scavenged more rapidly than the clay fraction of desert dusts, and therefore has substantially smaller influence on remote marine environments in contrast with Atkinson et al. (2013) where dust was not subject to wet removal. For remote locations, we find that marine organic aerosols acting as INP are able to reproduce a majority (80%) of the observations within an order of magnitude.



**Figure 10.** Overestimation and underestimation places according to our two species based parameterization of INP (Atkinson et al., 2013; Wilson et al., 2015). a) Shows the places where we overestimate the values of INP by more than 1.5 orders of magnitude. b) Similar to a but for places where the concentration is underestimated by more than 1.5 orders of magnitude. The location of the points have been moved randomly in the plot for purpose of visualization so it can be seen when the bias affect to a single data point or a whole dataset.

Our model of INP based on emitted and transported aerosol species provides a reasonable explanation of measured global INP concentrations, but there are some important biases. The two-species model overestimates by around 1.5 orders of magnitude the concentrations of INP in marine locations that are influenced by the transport of K-feldspar-containing dust particles, although it is difficult to draw firm conclusions from the small number of observations. Nevertheless, the bias points to the possible importance of missing processes, such as the effect of atmospheric processing of feldspar particles, a preferential scavenging of INP as proposed in (Stopelli et al., 2015), or a possible overestimation of the transport of this aerosol type. The model also underestimates measured INP concentrations at high temperatures in some terrestrial locations. This bias is most likely to be explained by neglecting the contribution of some terrestrial biogenic aerosol species such as soil dust, fungal spores and bacteria. The model bias is large at the surface, but some studies show that some of these species are not important for ice nucleation once in the atmosphere (Spracklen and Heald, 2014; Hoose et al., 2010a) because of their low simulated

concentrations above the surface for heterogeneous ice nucleation. These species however, could be important for triggering secondary production ice processes, such as the Hallet-Mossop process, due to its high nucleation temperatures. In addition, other unknown sources of ice-nucleating particles, such as biological fragments attached to mineral dust particles (O’Sullivan et al., 2015, 2016), could help explain underestimated INP concentrations in the model.

In summary, our results suggest that the inclusion of both marine organic and feldspar emissions are required to accurately simulate global INP concentrations. However, there are still large uncertainties to be resolved, such as the importance of acid coating affecting the INP ability of K-feldspar (Wex et al., 2014; Sullivan et al., 2010) or the relative importance of soot for ice nucleation in the atmosphere, which could lead to a possible anthropogenic effect on clouds.

Finally, we suggest that further experimental studies on the ice-nucleating ability of different aerosol species, followed by modelling studies of their importance in the atmosphere, will be crucial for determining the possible importance of other species for ice nucleation under atmospheric conditions. In addition, more [INP](#) measurements in the ambient atmosphere for different environments and seasons are necessary to better evaluate and constrain models. Among those, exploratory studies about the composition and type of ice-nucleating particles in terrestrial environments at high temperatures will be crucial to determine which species need to be included in models.

## Appendix A: Marine organic emissions

In order to represent the distribution of sub-micron marine organics aerosols, first we simulate the distribution of sea-salt aerosols (SS) with GLOMAP-mode for the year 2001. Then we look at the correlation between the monthly mean emission flux of sea-salt particles in the accumulation mode ( $100nm < r < 1\mu m$ ) and the monthly mean surface concentration of sub-micron sea-salt in the grid-boxes corresponding to Mace Head and Amsterdam Island. We then take the grid-boxes that score a correlation  $R > 0.9$  and assume that, as a first order approach, the emissions of these grid-boxes will drive the concentrations of sub-micron sea-spray in their corresponding stations (Fig.11). Once these grid-boxes are identified for every station, we calculate the organic mass fraction (OMF) in surface air (lowest model layer) at both stations with modelled concentrations of sea-spray and measured concentrations of water insoluble organic matter (WIOM) following Eq.A1.

$$OMF = \frac{[WIOM]}{[SS_{mass}] + [WIOM]} \quad (A1)$$

The WIOM in Mace Head data is obtained from (Rinaldi et al., 2013) by averaging measurements corresponding to a few days (from 5 to 14 days) in every month. For Amsterdam island, WIOM is derived from (Sciare et al., 2009) using a factor of 1.9 to convert from water insoluble organic carbon to WIOM (Burrows et al., 2013). The chlorophyll-a maps used correspond to monthly mean values obtained from GLOBCOLOUR (Maritorena and Siegel, 2005), which made use of data from 3 different satellites to merge their chlorophyll-a maps and produce a final product with an enhanced global coverage.

In order to develop a parameterization of the organic mass fraction to be used in both hemispheres we use the monthly mean values of the chlorophyll-a content in the grid-boxes previously related to each station, together with the monthly mean

reanalysis (ECMWF) wind speed at 10 meters over the surface (U10M) of these grid-boxes and relate these two variables to the organic mass fraction previously calculated (Fig.12 a). We then fit the OMF to a two-dimensional equation with the wind speed and chlorophyll-a content as variables (Fig.12 b). This gives us a parameterization of the OMF emitted with sub-micron sea-spray that can fit our model. In order to avoid unrealistic OMF values due to extrapolation, we limit the maximum value of our OMF to be 0.85.

The mass flux of marine organic material can be then from the sea-salt flux following Eq.A5:

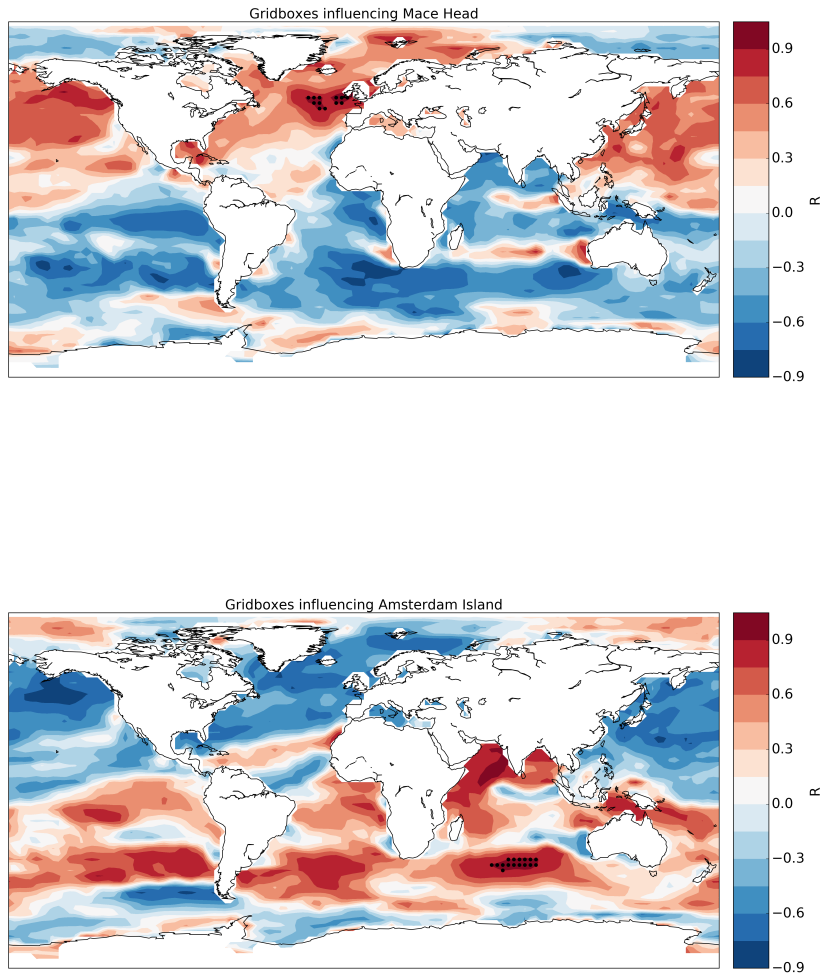
$$Flux_{total} = Flux_{SS} + Flux_{W10M} \quad (A2)$$

$$Flux_{W10M} = OMF \cdot Flux_{total} \quad (A3)$$

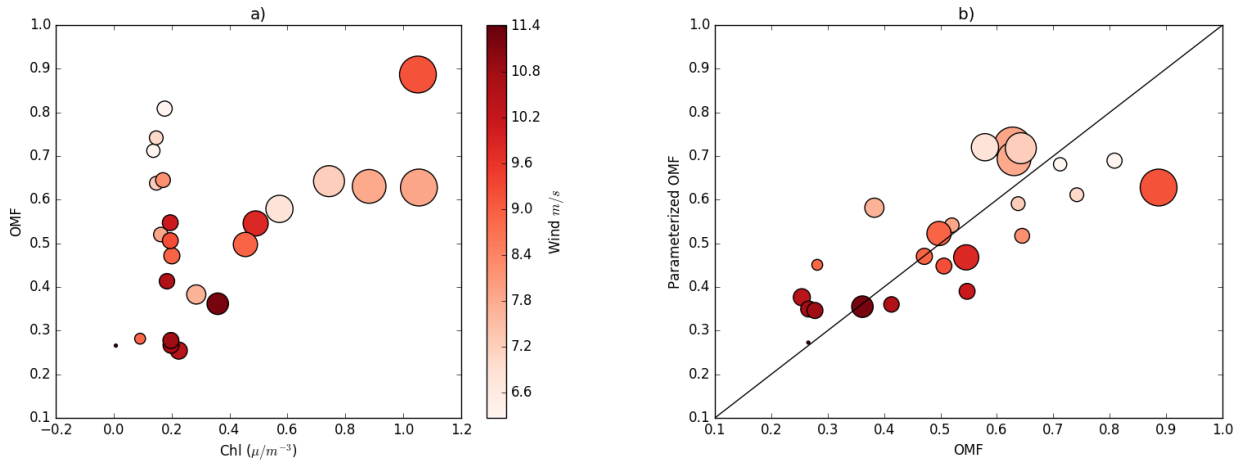
5

$$Flux_{total} = \frac{Flux_{W10M}}{OMF} \quad (A4)$$

$$Flux_{W10M} = \frac{Flux_{SS} \cdot OMF}{1 - OMF} \quad (A5)$$



**Figure 11.** Linear correlation values between the monthly emission of sub-micron sea-spray and their monthly concentrations in Top panel: Mace Head, Bottom pannel: Amsterdam Island . The dots represent the grid-boxes that we relate to every station because of having a value  $R > 0.9$



**Figure 12.** a) OMF compared as a function of chlorophyll-a content and surface wind speed for the monthly mean values in both stations. The size of the points represent the mean chlorophyll-a content of the grid-boxes related previously to every station (Fig.11), the colour of the points is related to the wind speed of those grid-boxes. b) Shows the performance of the parameterization for reproducing the OMF calculated with the simulated concentration of sub-micron sea-salt and the observed values of WIOM. The parameterization for the OMF is  $OMF = A * [CHL(mg/m^3)] + B * [U10M(m/s)]^C + D$  with  $A = 0.241$ ,  $B = -7.503$ ,  $C = 0.075$ ,  $D = 9.274$ .

## Appendix B: Calculation of INP concentrations

Assuming that the active sites from which ice nucleation can occur under the singular description are randomly distributed in the aerosol population, the probability of one particle to have a certain number of active sites ( $k$ ) can be represented by the poisson distribution Eq.B1.

$$f(k, \lambda) = \frac{e^{-\lambda} \lambda^k}{k!} \quad (\text{B1})$$

Here  $f$  is the probability of having  $k$  active sites in a particle and  $\lambda$  represents the expected value of active sites per particle at a certain temperature ( $T$ ). We can calculate the probability of a particle immersed in a supercooled water droplet to freeze it ( $P$ ), as the sum of the probability of having 1 or more active sites in it Eq. B2:

$$P = \sum_{k=1}^{\infty} f(k, \lambda) \quad (\text{B2})$$

As the sum from  $k = 0$  to  $k = \infty$  of Eq. B1 has to be equal to 1, we can also represent this sum as Eq. B3:

$$P = \sum_{k=0}^{\infty} f(k, \lambda) - f(0, \lambda) = 1 - e^{-\lambda} \quad (\text{B3})$$

If we have a distribution of particles of the same size and same density of active sites, this probability  $P$  will be the same for all of them, and so the fraction of supercooled water droplets that will freeze know as fraction frozen ( $ff$ ), will therefore be:

$$ff = 1 - e^{-\lambda} \quad (\text{B4})$$

We can then calculate the INP concentration as:

$$[INP] = ff \cdot [N] \quad (\text{B5})$$

where  $[N]$  represent the concentration of a certain type of aerosol. For the case in which we have a density of active sites distributed across the surface area of a particle depending on temperature  $n_s(T)$ , we can calculate  $\lambda$  for a particle of radius  $r$  as:

$$\lambda(r, T) = 4\pi r^2 \cdot n_s(T) \quad (\text{B6})$$

Hence:

$$ff(r, T) = 1 - e^{-n_s(T) \cdot 4\pi r^2} \quad (\text{B7})$$

In GLOMAP-mode, the size distribution of aerosols is represented in log-normal modes, and their probability density function  $PDF$  is given by:

$$5 \quad PDF(r) = \frac{1}{r \cdot \ln(\sigma) \cdot \sqrt{2\pi}} \cdot e^{-\frac{(\ln(r) - \ln(r_m))^2}{2 \cdot \ln(\sigma)^2}} \quad (B8)$$

where  $r_m$  is the mean radius of the mode and  $\sigma$  the standard deviation of the mode.

The INP concentration is therefore the integral across all the possible values of  $r$  for every mode, and it will change for every temperature:

$$[INP]_{mode}(T) = \int_0^{\infty} (1 - e^{-4 \cdot \pi \cdot r^2 \cdot n_s(T)}) \cdot N \cdot \frac{1}{r \cdot \ln(\sigma) \cdot \sqrt{2\pi}} \cdot e^{-\frac{(\ln(r) - \ln(r_m))^2}{2 \cdot \ln(\sigma)^2}} dr \quad (B9)$$

10 In our case, we consider that just the soluble modes can activate into water droplets, so the total INP concentration is the sum of the concentrations for every soluble mode.

In the special case of having a value of  $\lambda$  small ( $\lambda < 0.1$ ), we can approximate the value of the fraction frozen ( $ff$ ) using a 1st order Taylor series centred in 0:

$$ff \approx ff_{\lambda=0} + \frac{1}{1!} \frac{\partial ff}{\partial \lambda} \Big|_{\lambda=0} \cdot \lambda + \dots \quad (B10)$$

$$15 \quad ff_{\lambda=0} = 1 - e^0 = 0 \quad (B11)$$

$$\frac{\partial ff}{\partial \lambda} \Big|_{\lambda=0} = \left[ -e^{-\lambda} \cdot (-1) \right]_{\lambda=0} \cdot \lambda = 1 \cdot \lambda \quad (B12)$$

$$ff \approx \lambda \quad (B13)$$

In other words, if the number of active sites is small compared with the number of particles, we can approximate the number of particles having one or more active sites, to the number of active sites. And the INP concentration can be calculated as:

$$[INP](T) \approx \lambda(T) \cdot [N] \quad (B14)$$



| Campaign/dataset      | Location              | Marine or Terrestrial | Data points | References                           |
|-----------------------|-----------------------|-----------------------|-------------|--------------------------------------|
| Bigg73                | Australia             | Terrestrial           | 24          | Bigg (1973)                          |
| CLEX                  | East Canada           | Terrestrial           | 60          | DeMott et al. (2010)                 |
| Yin                   | China                 | Terrestrial           | 21          | Yin et al. (2012)                    |
| ICE-L Ambient         | Central USA           | Terrestrial           | 31          | DeMott et al. (2010)                 |
| DeMott2016            | Marine locations      | Marine                | 44          | DeMott et al. (2016)                 |
| Conen_JFJ             | Jungfrauoch           | Terrestrial           | 6           | BACCHUS Conen et al. (2015)          |
| Mason2016 terrestrial | Terrestrial locations | Terrestrial           | 15          | Mason et al. (2016)                  |
| KAD_South_Pole        | South Pole            | Terrestrial           | 8           | BACCHUS Ardon-Dryer et al. (2011)    |
| ICE-L CVI             | Central USA           | Terrestrial           | 27          | DeMott et al. (2010)                 |
| Rosisnky              | Gulf of Mexico        | Marine                | 5           | Rosinski et al. (1988)               |
| Bigg1973              | Southern Ocean        | Marine                | 102         | Bigg (1973)                          |
| Conen_chaumont        | Chaumont              | Terrestrial           | 7           | BACCHUS Conen et al. (2015)          |
| AMAZE-08              | Amazon rainforest     | Terrestrial           | 63          | DeMott et al. (2010)                 |
| INSPECT-I             | Central USA           | Terrestrial           | 13          | DeMott et al. (2010)                 |
| Mason2016 Marine      | Marine locations      | Marine                | 6           | Mason et al. (2016)                  |
| KAD_Israel            | Jerusalem             | Terrestrial           | 16          | BACCHUS Ardon-Dryer and Levin (2014) |
| INSPECT-II            | Central USA           | Terrestrial           | 11          | DeMott et al. (2010)                 |

**Table 2.** Table of the datasets used for this study.

### Appendix C: INP dataset

The dataset used in this study is a compilation of published dataset and unpublished data provided by different groups collaborating to the BACCHUS dataset of INP (<http://www.bacchus-env.eu/in/index.php>). Table 2 shows a summary of the datasets. We note that an study from Bigg (1996) reported INP concentrations in the high Artic. We could not include it in our database as the exact locations could not be obtain. However we note that the range of concentrations reported by Bigg (1996) (from  $13 m^{-3}$  to  $2.9 m^{-3}$  at  $-15C^{\circ}$ ) are close to our simulated values using feldspar and marine organics (from  $7.4 m^{-3}$  to  $0.1 m^{-3}$ ) during the months as the campaign (August to October). The datasets obtained through the BACCHUS project database are labelled as "BACCHUS" in table 2. The datasets corresponding to long term measurements in a single location were re-sized to account for a single data point at every temperature. This is done in order to avoid statistical over-weighting of a single location or campaign.

*Acknowledgements.* This study has been funded by the European Union's Seventh Framework Programme (FP7/2007-797 2013) under grant agreement n° 603445 (BACCHUS), the European Research Council (ERC, 240449 ICE and 648661 MarineIce) and the National Environmental Research Council, (NERC, NE/I013466/1; NE/K004417/1). The global model simulations were performed on the ARCHER UK National Supercomputing Service. We acknowledge Franz Conen and Christoph Huglin who contributed with data used in this paper. Carslaw is a Royal Society Wolfson Merit Award holder. Paul DeMott acknowledges support from the U.S. National Science Foundation grant AGS1358495. Susannah Burrows was supported by the U.S. Department of Energy, Office of Science (BER). We thank Katty Huang, Luisa Ickes and Ulrike Lohmann for useful discussion about modelling of marine organic aerosols.

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