

We would like to thank the reviewer for taking the time to evaluate our manuscript and provide comments that have improved the manuscript. In the response below, the reviewer's questions are reproduced in blue and our replies are shown in black.

**Referee 1:**

General comments:

While the manuscript is qualitatively important in that it points out a previously unconsidered potential source of error in other studies involving hydroperoxides in metal chambers, in order for this to be quantitatively useful, it would be helpful to have some estimate of uncertainty. For example, correcting the product distributions of previous studies of isoprene photolysis or effectively designing new studies to avoid interferences in metal chambers will require estimates not just of the decomposition rate that best fit the measurements in the present study, but of the range of possible decomposition rates consistent with the measurements. Most useful, perhaps, would be upper and/or lower limits on the model parameters - e.g. collision efficiency and OH concentration - that might be extended to other studies. There are many elements where uncertainty enters into the results reported herein - modeled OH yields from ozonolysis, conversion efficiency of hydroperoxides on surfaces, measurement of compounds- and although some are treated individually in the manuscript (with Figures 2 and 6 showing how changing OH and conversion efficiency affect the model output), a more comprehensive treatment of the aggregated uncertainty in the conclusions would make the results more broadly applicable, and aid in extending the findings to other studies. Along those lines, connecting these results to other studies and other systems will be key. Are there previous studies - particularly photooxidation product studies - in which metal surfaces have been used before, such that previously reported results may be called in to question or require adjustment based on the findings detailed herein? If so, some mention of those cases in the conclusion and implication sections would be warranted. Additionally, are there related systems that involve hydroperoxides to which this work might apply?

It is hard to quantitatively translate this study to other studies as the surface effect can vary depending on, e.g., the experimental setup and the history of the previous experiments conducted in the same setup (number and manner of cleaning cycles between new sets of experiments, etc.). More information on uncertainties affecting this study has been provided in the comments below.

Even though the impact of the observed effect cannot be quantitatively transferred to other experiments, it is clear that metal surfaces are prone to this type of reactions. Metal surfaces not only affect peroxides but also affect observation of acids, and these are the main reason why photooxidation studies, especially under low NO<sub>x</sub> conditions, are most commonly carried out in PTFE chambers instead of metal chambers. However to fully understand the extent of decomposition rate and products of ISOOPOOH on chamber walls or other compounds, it would be necessary to introduce the compound in question directly into the

chamber before the start of the experiment to fully characterise its behaviour in the chamber.

**Content comments:**

Page 5, line 27: How does this OH production rate compare with other estimates, and what effect does that have on the model?

Different approaches to determine OH concentration were tried to estimate the actual OH concentration:

- 1) Using  $\text{H}_2\text{SO}_4$  and  $\text{SO}_2$  concentrations as proxies for the OH concentration proved to be impossible due to artificial injection of  $\text{H}_2\text{SO}_4$  and  $\text{SO}_2$  from the injection of CCN from liquid sulfuric acid.
- 2) Calculating the OH concentration from the reacted Isoprene proved difficult due to the adiabatic expansions performed during this set of experiments which required constant changes of the chamber conditions (flows, temperature, pressure, RH), which were not necessarily well documented.
- 3) The OH yield given by the MCM v3.3 is a little higher (~33 %) compared to the literature OH yield of 26 %. The OH yield increases with increasing pressure (Malkin et al., 2010, Newland et al., 2015), the pressure during the CLOUD experiments was 5 – 200 mbar higher than the highest pressure observed in Malkin et al.

The yield provided by the MCM without additional adjustments provided the best agreement between model and measurement. Therefore it was used during this study.

Similarly, it might be useful to perform some sensitivity studies, changing your modeled yields of not just OH but MVK and MACR from isoprene +  $\text{O}_3$  (and isoprene + OH) and seeing how much that affects the results.

Modifying the yields of the reaction from isoprene +  $\text{O}_3$  for MVK and MACR as well as the production of MVKOAA and MACROOA changes the product distribution as follows:

- Increasing the MACR yield from 30 % to 40 % and the MVK yield from 20 % to 50 % would be required to match model simulations to measurements. These modified yields are inconsistent with numerous laboratory experiments (Nguyen et al., 2016, Galloway et al., 2011 and references therein). While MACR would still be in the range of observed yields, even though at the upper limit, MVK would be more than 2 times higher than any observed yields. The impact on MVK is much more pronounced than the impact on MACR which is reasonable considering that 1,2-ISOOPOOH is more abundantly formed than 4,3-ISOOPOOH.
- Varying the yields for the  $\text{O}_3$  + isoprene reaction does not resolve the issue of the formation of unobserved ISOOPOOH. ISOOPOOH concentration can only be matched to the measurements by either shutting down the isoprene + OH pathway or inclusion of wall decomposition reactions. Shutting down the isoprene + OH pathway is however unrealistic and inconsistent with known chemistry (26 %, Malkin et al., 2010, Newland et al., 2015).

It appears from a following paragraph (page 6, lines 7-13) and from Figure 2 that you performed some such studies; from those studies, can you conclude that the OH production rate used in the model was reasonable, or can you put any level of uncertainty on it?

There are three sources of uncertainty for the OH concentration in the experiment since OH could not be measured directly:

- 4) Photolysis of ozone. This is negligible as UV radiation was too weak and, in fact, turned off for most of the experiment.
- 5) Uncertainty of isoprene and ozone concentrations. Both were directly measured and isoprene was regularly calibrated at the same conditions as the chamber experiments (uncertainty < 5%).
- 6) Uncertainty of OH production in the MCM:

OH concentrations are more likely to be underestimated than overestimated, but the uncertainty is hard to quantify. Even an underestimation by a factor of 2 doesn't resolve the issue of the discrepancy between model and measurement of MVK and MACR nor does it resolve the issue of the unobserved ISOOPOOH. On the contrary, while increasing OH reduces the discrepancy between model and measurements for MVK and MACR, it significantly increases the discrepancy between modelled ISOOPOOH (increase with increasing OH) and the fact that barely any ISOOPOOH was observed above the limit of detection. Therefore, the OH production rate as given by the MCM seems reasonable and in any case, it is not possible to reconcile the model under-prediction of MVK/MACR and model over-prediction of ISOOPOOH by changing OH; figure2 demonstrates this, i.e., changes in OH affect both MVK/MACR and ISOOPOOH in the same manner and thus they are not able to reconcile the measurement/model discrepancy.

Fundamentally, a change in reaction pathway, rather than a change in OH concentration is required, to explain the observations.

Page 5, line 30: What does this [OH] number refer to? The modeled range of concentration present in the chamber when using the 26% yield number?

[OH] is the concentration of OH in molecules cm<sup>-3</sup> from the MCM model simulation for experimental conditions and with the model only constrained to observed isoprene and ozone concentration.

Changed to:

The MCM model gives a range of  $0.5 - 1 \times 10^5$  molecules cm<sup>-3</sup> for the OH concentration, [OH], under the different experimental conditions and no further modelling constraints. These OH concentrations allow a reproduction of the general trend of the major oxidation products in the measurement.

Page 7, line 5: The conversion efficiency per collision is another element in which it would be nice to look at the sensitivity of your results to the assumptions made. Figure 6 shows some

of the model results if you loosen the assumption that conversion efficiency is not quantitative, but how do these values fit within the measurement uncertainty of MVK and MACR?

The uncertainty for the MVK measurements is on the order of 5%. The uncertainty for the MACR measurements is a little higher (~15%) due to the lack of a direct calibration.

Figure 2 has been updated to include the measurement uncertainties of MVK and MACR (red areas) as well as measured ISOPOOH:

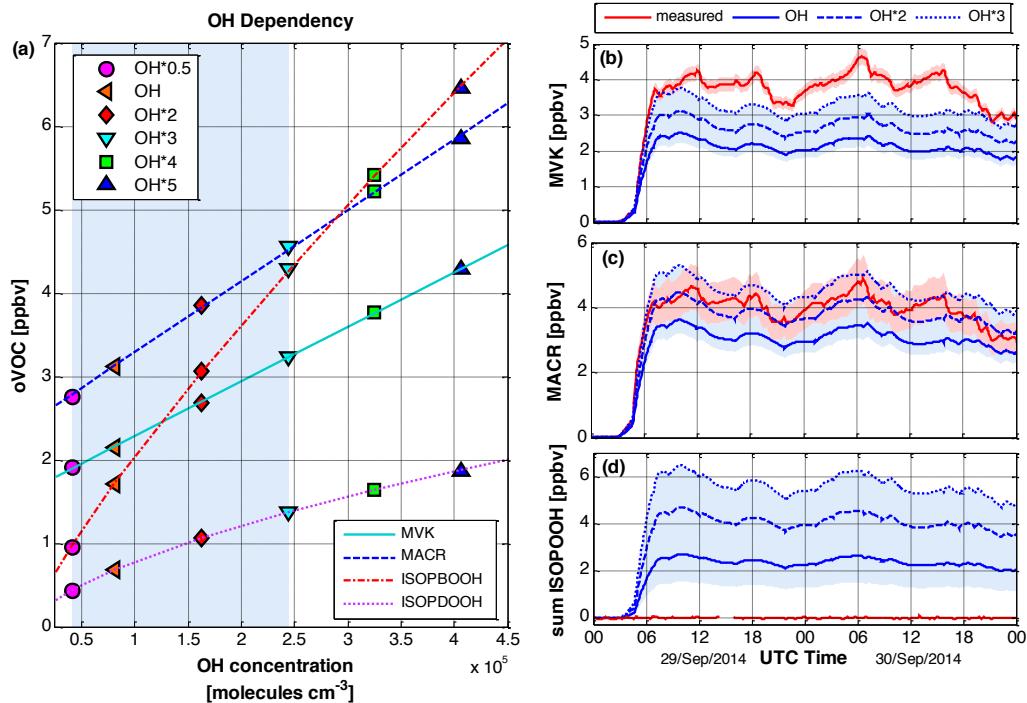
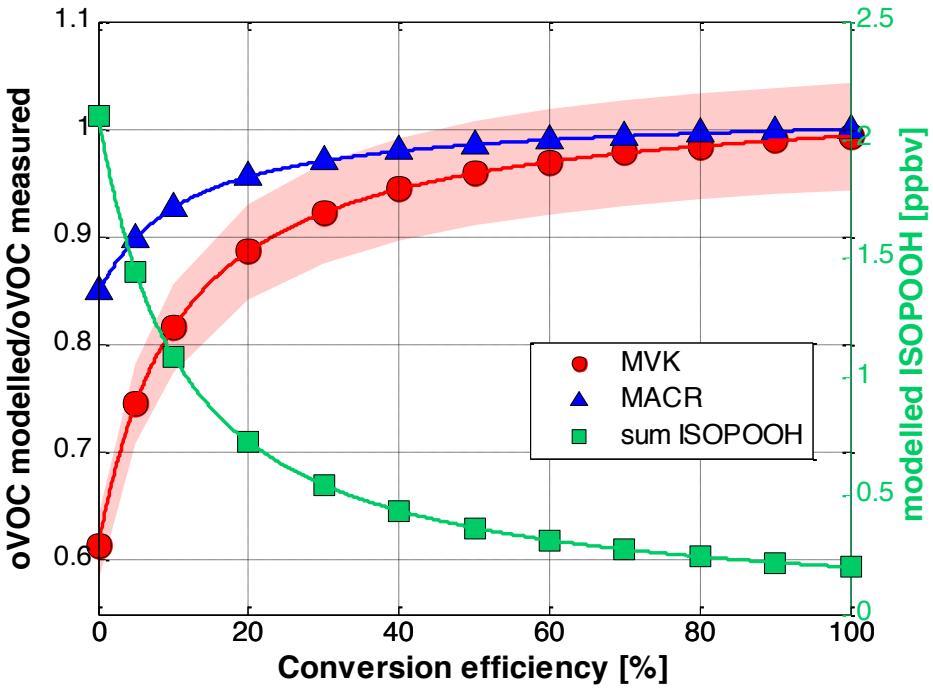


Figure 2: a) OH dependence of the concentration of the main oxidation products at 263 K (Run2), b) changes in MVK prediction depending on OH concentration in comparison to measured data, c) changes in MACR prediction depending on OH concentration in comparison to measured data, d) changes in ISOPOOH prediction depending on OH concentration. The shaded blue area corresponds to the range of OH concentrations and the red shaded area corresponds to the uncertainty in the respective measurements of MVK and MACR.

The uncertainties in the quantification of MVK and MACR don't change the overall picture. While the uncertainty of the MVK measurement is of no effect, the uncertainty of the MACR measurement leads to a better agreement between model and measurement in the case of the inclusion of wall reactions. Without wall reactions, the discrepancy between model simulation and measurements cannot be explained by OH uncertainty or measurement uncertainty of the oxidation products.

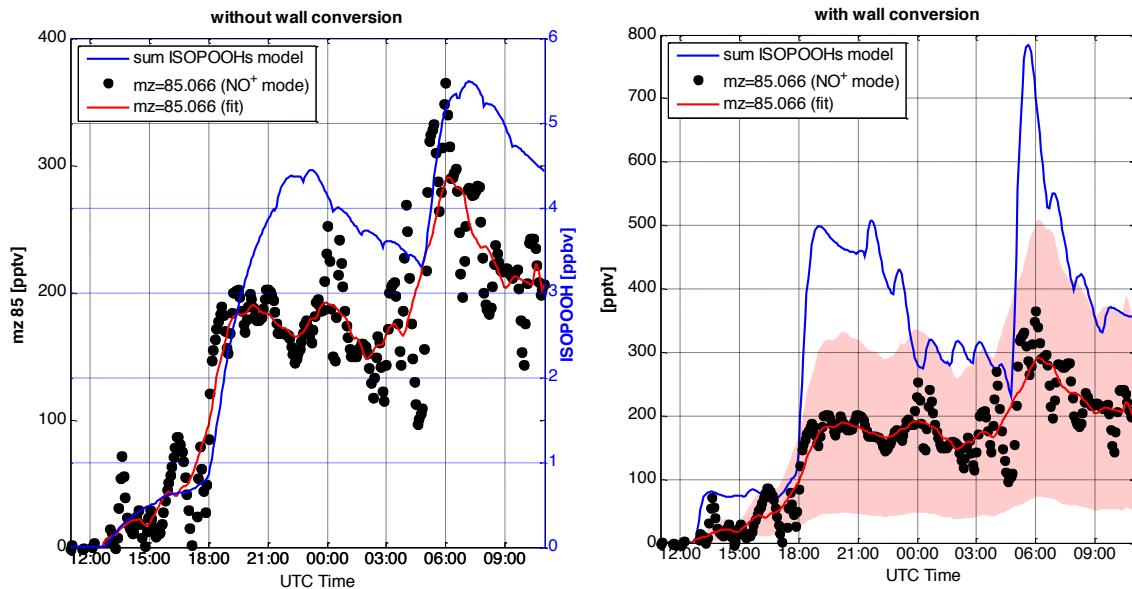
Inclusion of the measurement uncertainties for MVK and MACR gives the following picture:



**Figure 6: Influence of conversion efficiency on the agreement of modelled VOC and measured VOC concentrations ranging from a conversion efficiency of 0 % (no wall reaction) to an efficiency of 100 % (complete decomposition on wall collision). The shaded red area corresponds to the uncertainty in the measurements of MVK.**

The uncertainty for MVK is of little effect regarding the overall results (see above). Even at around 40 % collision efficiency modelled and measured concentrations are in good agreement. The influence for MACR is more pronounced, as expected. If MACR concentrations were in fact lower on the lower range of the shaded area (higher MACR concentrations) an agreement higher than 85 % between model and measurement would be impossible. If they were on the higher side (lower MACR concentrations) an overestimation of the modelled concentrations would occur.

#### How well does the modeled ISOOPOOH match measurements?



Comparing modelled ISOOPOH with measurements the discrepancy can be reduced from a factor of approx. 20 down to a factor of 2-3 upon inclusion of wall conversion reactions. The remaining discrepancy between model and measurements might be due to the measurement uncertainties in this regime close to the LOD and a lack of direct calibration during the CLOUD campaign (red area corresponds to a measurement uncertainty of 75%).

Using these values and the measurement uncertainty, can you estimate a range in which the conversion efficiency is likely to fall (or, perhaps more importantly, a lower limit)?

Looking at fig. 6 a conversion efficiency of as low as 20 % at a wall collision rate of  $1.1 \times 10^{-3} \text{ s}^{-1}$  is sufficient to convert a significant amount (about two third) of ISOOPOH to MVK and MACR.

Page 7, line 30 changed to:

Figure 6 shows the effect of the conversion efficiency per wall collision on model measurement comparison. Even reducing the collision efficiency at a constant wall collision rate to less than 40 % gives a very good agreement of model and measurements within 10 % discrepancy and the measurement uncertainties while at the same time reducing the concentration of ISOOPOH significantly (factor 4). A conversion efficiency of as low as 20 % at a wall collision rate of  $1.1 \times 10^{-3} \text{ s}^{-1}$  is sufficient to convert a significant amount (about two third) of ISOOPOH to MVK and MACR.

Page 7, line 8-10: Do you have a reference for the formation of unsaturated C5-diols from ISOOPOH?

The formation of C5-diols has been observed in laboratory studies in our group. The results will be published separately (Mentler et al., 2017). Unfortunately the C5-diol formation is not quantifiable using either  $\text{H}_3\text{O}^+$  or  $\text{NO}^+$  as primary reagent ions, which were used during the CLOUD 9 campaign, since they are not specific enough to rule out interferences.

In general, it would be nice to see the model-measurement discrepancy in ISOOPOH mixing ratios appear more in the results - say, in additional panels in Figure 3, or panel D of Figure 2. The formation of C5-diols may cause further discrepancy between measurements and models, but if so, that discrepancy should be quantifiable; if it points to an additional loss process, how does that compare to the processes shown in Figure 5?

Measured ISOOPOH is now included in an updated version of fig. 2 (see above). The measured concentrations were not included in the first version of the figure because the signal is below the LOD and no ISOOPOH could be observed during this experiment. ISOOPOH was only observed during the experimental run which is shown in Figure 1.

The generalized sink terms as presented in figure 5 should not be affected by the inclusion of C5-Diols. The importance of wall decomposition compared to any other process will remain

the same. What will change would be the product distribution from the decomposition process. So far the model assumes the isomer specific decomposition to MVK, MACR and formaldehyde only. We will refer to the manuscript by Mentler et al. for further reference.

**Page 7, line 15: Do you have a reference for the claim that uncharacterized wall production of formaldehyde is common in chamber experiments? If it is common enough to have been quantified in the past, is it possible to estimate whether that source could account for the missing fraction you observe?**

Several chamber cleanliness studies have been performed at the CLOUD chamber before the start of a new measurement campaign. Even in clean chambers a formation of small volatile organic compounds, especially formaldehyde can be observed. This emission of VOCs increases significantly upon addition of ozone to pure air (see Schnitzhofer et al, 2014). If this is the case for clean stainless steel surfaces, it is fair to assume that it will be an even more pronounced effect on stainless steel surfaces with an organic coating.

Similar to the question of conversion efficiency, this is hard to quantify as formation depends strongly on the surface area of the chamber and nature of the organic components on the chamber wall. The wall production is expected to change with the experimental setup and/or with a different composition of organic species. Furthermore the duration of the experiment needs to be taken into account. The history of previous experiments will also influence the composition of the organic layer on the chamber walls. Without knowing the exact nature of these organics, it is hard to determine the exact amount of wall production.

Page 7, line 15 changed to:

The discrepancy between model and measurement in the case of formaldehyde is reduced. In the case of HCHO there is still a source equal to 5 ppbv (40 % discrepancy compared to measurement) which is unaccounted for. Apart from instrumental uncertainties, this could be due to uncharacterised wall production, not uncommon for formaldehyde in chamber experiments, which is described for the CLOUD chamber in Schnitzhofer et al. (2014), or other surface catalytic reactions which have not been identified.

**Page 7 line 32 - page 8 line 1: Do you expect there are conditions under which the residence time on surfaces would be too short for the reaction to occur efficiently, or under which the limiting factor in ISOPOOH decomposition would be something other than the collision rate?**

Considering the differences in the chamber exchange rate ( $9.9 \cdot 10^{-5} \text{ s}^{-1}$ ) and the wall collision rate ( $1.1 \cdot 10^{-3} \text{ s}^{-1}$ ), even if the reaction wouldn't occur upon the first collision, there would be ample time for an efficient reaction to occur upon a later collision.

A saturation effect of the wall surfaces is unlikely to occur in the CLOUD experiments or generally experiments on this scale since only small concentrations are exposed to a high surface area.

Variation of the decomposition efficiency is shown in fig 6. Even comparatively low conversion efficiencies still lead to a strong decomposition of ISOOPOOH. The same is true for the reaction time. Here, under these experimental conditions, a variation leads to no effect as long as it is faster than the chamber exchange rate.

The influence of wall decompositions decreases upon increase of OH concentration. But even after an increase of OH by approx. two orders of magnitude it remains the most important sink of ISOOPOOH in this experimental setup.

Minor copyediting comments:

Page 1, line 27: “affection” should perhaps be “affecting” Done

Page 2, line 29: “ISOOPOOH decomposes isomer specific to smaller carbonyls” is unclear.

Changed to:

The different ISOOPOOH isomers decompose isomer specific to smaller carbonyls: 1,2-ISOOPOOH forms MVK and formaldehyde and 4,3-ISOOPOOH forms MACR and formaldehyde.

Page 3, line 11: “26 m electro polished stainless-steel ” should read “26 m<sup>3</sup> electropolished stainless steel” Done

Page 3, line 28: “being” is not needed Done

Page 3, line 31: parentheses not needed for this citation Done

Page 5, line 14: “rates” should be “rate” (or “plays” should be “play”) Done

Page 6, line 5: What do you mean by “makes up for”? Was there a 1.2-2 ppbv (50%) discrepancy in the modeled and observed MVK that is now accounted for? Or do you mean the total difference between measured and modeled MVK is 1.2-2 ppbv? And is that 50% of the modeled or measured total? Also, missing a “-“ between 0.6 and 1.2.

Changed to:

The difference between model and measurements are 1.2-2 ppbv (50 %), 0.6-1.2 ppbv (25 %) and 6-10 ppbv (50 %) respectively.

Page 7, line 3: “occurs” should perhaps be “are” Done

Page 7 , line 14: what exactly does the 40% refer to? Is 5 ppbv equal to 40% of the average total formaldehyde signal?

Changed to:

The discrepancy between model and measurement in the case of formaldehyde is reduced. In the case of HCHO there is still a source equal to 5 ppbv (40 % discrepancy compared to measurement) which is unaccounted for.

Page 8, line 1: “ISOOPOOHs” should be “ISOOPOOH’s” Done

Page 8, line 3: tense disagreement between “was” and “undergoes” Done

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# Technical Note: Conversion of Isoprene Hydroxy Hydroperoxides (ISOPOOH) on Metal Environmental Simulation Chamber Walls

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## Abstract

Sources and sinks of isoprene oxidation products from low NO<sub>x</sub> isoprene chemistry have been studied at the CERN CLOUD (Cosmics Leaving Outdoor Droplets) chamber with a custom-built selective reagent ion time of flight mass spectrometer (SRI-ToF-MS), which allows quantitative measurement of isoprene hydroxy hydroperoxides (ISOPOOH).

The measured concentrations of the main oxidation products were compared to chemical box model simulations based on the Leeds Master Chemical Mechanism (MCM) v3.3. The modelled ISOPOOH concentrations are by a factor of 20 higher than the observed and methyl vinyl ketone (MVK) and methacrolein (MACR) concentrations are by a factor of up to 2 lower compared to observations, despite the artifact-free detection method.

Addition of catalytic conversion of 1,2-ISPOOH and 4,3-ISPOOH to MVK and MACR on the stainless steel surface of the chamber to the chemical mechanism resolves the discrepancy between model predictions and observation. This suggests that isoprene chemistry in a metal chamber under low NO<sub>x</sub> conditions cannot be described by a pure gas phase model alone. Biases in the measurement of ISOPOOH, MVK and MACR can not only be caused intra-instrumentally but also by the general experimental setup.

The work described here extends the role of heterogeneous reactions affecting gas phase composition and properties from instrumental surfaces, described previously, to general experimental setups. The role of such conversion reactions on real environmental surfaces is yet to be explored.

## 1 Introduction

Isoprene is the predominant non-methane biogenic volatile organic compound (BVOC) emitted into the atmosphere (Guenther et al., 2006). Due to its high emissions, its chemistry plays an important role in the oxidative processes within the atmosphere which are driven by catalytic cycles of hydrogen oxides (HO<sub>x</sub>) and nitrogen oxides (NO<sub>x</sub>), and which are directly coupled to the formation of secondary organic aerosol (SOA).

Isoprene shows a high reactivity towards the atmospheric oxidants OH and NO<sub>3</sub> and a much lower reactivity towards O<sub>3</sub>. Its globally dominant sink is the reaction with the OH radical (Archibald et al.,

2010) since ozonolysis is a comparatively slow process and reaction with  $\text{NO}_3$  is only relevant at night and during the early evening, when isoprene emissions are lower than during the day (Stroud, 2002; Warneke, 2004). Reaction with OH not only produces a variety of small carbonyls and hydroxycarbonyls but also, as main first generation products, hydroxyperoxides that retain the  $C_5$  carbon backbone. The oxidation pathway which forms the latter is initiated by addition of OH to the isoprene double bond, followed by the reaction of the resulting allylic radical with molecular oxygen ( $O_2$ ). This leads to the formation of  $\alpha$ -hydroxyperoxy radicals (ISOP $O_2$ ) whose subsequent reactions are highly  $\text{NO}_x$  dependent. Under pristine ( $\text{NO}_x < 100 \text{ pptv}$ ) and  $\text{HO}_2$  dominant conditions most ISOP $O_2$  radicals react to isoprene hydroxy hydroperoxides (ISOPOOH) and their subsequent oxidation products isoprene epoxydiols (IEPOX) (Crounse et al., 2013; Crounse et al., 2011; Paulot et al., 2009; St. Clair et al., 2016). In urban,  $\text{NO}_x$  dominated, regions ISOP $O_2$  reacts with NO to alkoxy radicals, ISOPO, which decompose to form higher volatility products like MVK and MACR and formaldehyde (HCHO), which are also the main products from reaction of isoprene with  $O_3$ .

The first generation oxidation products from the  $\text{HO}_x$  and  $\text{NO}_x$  dominated pathways differ greatly in terms of chemical and physical properties and hence play different roles in the atmosphere. The vapour pressure of ISOPOOH is about three orders of magnitude lower than that of MVK and MACR and that of IEPOX is even lower, which increases the importance of their partitioning to surfaces and the condensed phase (Rivera-Rios et al., 2014). ISOPOOH and IEPOX have been shown to play an important role in SOA formation and reactive uptake (Bates et al., 2014; Gaston et al., 2014; Krechmer et al., 2015; Nguyen et al., 2014a).

A correct assessment of low  $\text{NO}_x$  isoprene chemistry is of great importance but the measurement of ISOPOOH has proven to be difficult by means of readily available commercial VOC instrumentation. Most state of the art instruments like commercial proton transfer reaction (time-of-flight) mass spectrometer (PTR-(ToF)-MS) instruments and also gas chromatograph-mass spectrometer (GC-MS) instruments have biases/artifacts when measuring ISOPOOH and IEPOX. The different ISOPOOHs decompose isomer specific to smaller carbonyls: 1,2-ISOP $O_2$  forms MVK and formaldehyde and 4,3-ISOP $O_2$  forms MACR and formaldehyde. Decomposition efficiency can vary strongly depending on instrumental settings such as temperature and contact time and type of surface materials, especially transition metal surfaces (Liu et al., 2013; Nguyen et al., 2014b; Rivera-Rios et al., 2014). The intra-instrumental decompositions may lead to a misrepresentation of ambient concentrations.

Recent laboratory studies of 1,2-ISOP $O_2$ , 4,3-ISOP $O_2$  and  $\beta$ -IEPOX standards with a new custom-built SRI-ToF-MS show significantly reduced catalytic decomposition reactions due to a short residence time and the replacement of the metal drift rings with conductive PEEK which allows the discrimination between MVK/MACR and the ISOPOOH isomers (Mentler et al., 2016).

Here we present measurements with the customised SRI-ToF-MS during low  $\text{NO}_x$  isoprene oxidation experiments aimed at studying low- $\text{NO}_x$  SOA formation during the CLOUD 9 campaign (autumn 2014) and compare these with a chemical box model based on the Leeds Master Chemical Mechanism.

## 2 Experimental

### 2.1 The CLOUD Chamber

The experiments were carried out at the CLOUD chamber at CERN during the CLOUD 9 campaign (autumn 2014). The chamber consists of a 26 m<sup>3</sup> electropolished stainless steel cylinder in which a full range of tropospheric conditions can be reproduced. The chamber is described in detail elsewhere

(Duplissy et al., 2016; Kirkby et al., 2011). Inflow of pure air, generated from evaporation of cryogenic nitrogen ( $N_2$ ) and oxygen ( $O_2$ ) at a ratio of 79:21, was set to match the instrumental sample flows of 150 standard litres per minute (slpm). Temperature, chamber pressure and relative humidity (RH) were continuously monitored, as well as the trace gases  $O_3$ ,  $NO_2$ ,  $NO_x$  and  $SO_2$ . Isoprene (100 ppm in  $N_2$ , CARBAGAS CH) and ozone were continuously injected into the chamber. Organic trace gases were measured with a custom-built selective reagent ionisation time-of-flight mass spectrometer (SRI-ToF-MS). Typical experimental conditions are listed in table 1.

## 2.2 SRI-ToF-MS

The custom-built SRI-ToF-MS (Breitenlechner and Hansel, 2017) was optimised by replacing the metal drift rings of the high flow drift tube (here:  $800\text{ mL min}^{-1}$  compared to  $10\text{-}30\text{ mL min}^{-1}$  in a standard instrument) with conductive PEEK (polyether ether ketone) drift rings. These changes were essential to minimize decomposition reaction of ISOPOOH which are observed in standard PTR-ToF-MS instruments (Rivera-Rios et al., 2014). The operation as an SRI instrument offers the high mass resolution of a PTR-ToF-MS (Graus et al., 2010) combined with the capability to separate functional isomers. To achieve this separation, the SRI-ToF-MS utilises the different chemical ionisation pathways of switchable primary reagent ions (here:  $H_3O^+$  and  $NO^+$ ). This allows the identification of possible interferences, e.g., due to fragmentation onto the same mass to charge ratio in  $H_3O^+$  reagent ion mode (Karl et al., 2012). In  $H_3O^+$  reagent ion mode, both MVK and MACR undergo proton transfer and are detected as  $C_4H_7O^+$  ( $m/z = 71.050$  Th), whereas in  $NO^+$  reagent ion mode, ketones prefer the formation of clusters while aldehydes mostly undergo hydride ion transfer (Španěl et al., 1997). Therefore MVK is detected as  $C_4H_6O\cdot NO^+$  ( $m/z = 100.040$  Th) and MACR as  $C_4H_5O^+$  ( $m/z = 69.034$  Th) (Jud et al., 2016).

The instrument was connected to the chamber via a 3/8" stainless-steel sampling port with a flow rate of 10 slpm as described by Schnitzhofer et al., 2014. Air was subsampled from this flow via a PEEK capillary (ID = 0.76 mm) at a flow rate of 1.1 slpm from the centre of the tube in order to minimize wall contact of the sampled gas. The SRI-ToF-MS operated at a drift tube, capillary and inlet temperature of 40 °C to avoid thermal decomposition of ISOPOOH, a drift tube pressure of 2.3 mbar and a drift voltage of 550 V or 350 V. These conditions resulted in an  $E/N = 116\text{ Td}$  or  $E/N = 74\text{ Td}$  ( $E$ , electric field strength;  $N$ , number density of air in the drift tube; unit, Townsend, Td; 1 Td =  $10^{-17}\text{ V cm}^2$ ) in  $H_3O^+$  mode or  $NO^+$  mode respectively.

The SRI-ToF-MS was characterised by regular calibration measurements. For this purpose, a standard gas mixture (Apel-Riemer Environmental, Inc., Broomfield (CO), USA; accuracy  $\pm 5\%$ ) containing various pure and oxygenated hydrocarbons covering the whole mass range of the instrument (up to  $m/z = 205$  Th) was dynamically diluted in zero air. Instrumental background was determined using zero air, which was generated by means of a Pt/Pd catalyst at 300°C from excess chamber air, to allow instrumental background and calibration measurements at the same conditions as chamber measurements.

High resolution spectra were collected at a time resolution of 5 seconds and averaged over 5 minutes. The high resolution mass spectra data was analysed using the "PTR-ToF DataAnalyzer v4.40" software package (Müller et al., 2013).

## 2.3 LCU Measurements

A liquid calibration unit (LCU, IONICON Analytik) was used for calibration and characterisation of the behaviour of 1,2-ISOPOOH, 4,3-ISOPOOH and *trans*- $\beta$ -IEPOX standards with  $H_3O^+$  and  $NO^+$  as primary reagent ions inside the SRI-ToF-MS in laboratory studies at the University of Innsbruck.

These laboratory studies are beyond the scope of this paper and will be described elsewhere in detail (Mentler et al., 2016).

## 2.4 Chemical Box Model

The MCM based chemical box model “University of Washington Chemical Model” (UWCMv2.2, (Wolfe et al., 2012; Wolfe and Thornton, 2011)) was used for model simulations. The reactions were extracted from the MCM v3.3 (Saunders et al., 2003; Jenkin et al., 1997; Jenkin et al., 2015).

Model runs were initialised using the conditions of each experiment and constrained to isoprene, ozone, NO<sub>2</sub> and SO<sub>2</sub> concentrations, chamber temperature, relative humidity and actinic flux. The chamber pressure was assumed to be constant and pressure changes due to the adiabatic expansion during the campaign were excluded from the constraints. This is due to the fact that said changes occur too fast (200 mbar over a few minutes) for the model to produce physically reasonable results.

## 3 Results and Discussion

### 3.1 ISOPOOH measurements using the SRI-ToF-MS

The deployed advanced SRI-ToF-MS allows a near artifact-free measurement of ISOPOOH with a specific fragmentation pattern (Mentler et al., 2016). In contrast to standard instruments only about 13 % of the ISOPOOH isomers, 1,2-ISOPOOH and 4,3-ISOPOOH, are detected as their respective conversion products MVK and MACR. About 87 % remain unconverted and are detected as ISOPOOH fragment ions. Both ISOPOOH isomers show a specific fragmentation pattern following ionisation, which can be used for identification. The signal at  $m/z = 85.066$  Th ( $C_5H_9O^+$ ) in NO<sup>+</sup> reagent ion mode, which is a prominent signal for both isomers, was used for identification and quantification of the total ISOPOOH concentration in the experiment with a sensitivity  $\epsilon = 2$  ncps ppbv<sup>-1</sup>.

The goal of this CLOUD experiment was the investigation of SOA formation from dark ozonolysis of isoprene. Despite the lack of photolytically produced OH, oxidation of isoprene with OH plays an important role as a result of OH production from isoprene ozonolysis and due to the high reactivity of isoprene with the OH radical. Even though the experiments were conducted under low-NO conditions, only extremely low ISOPOOH mixing ratios could be observed in the experiments. To understand why little ISOPOOH was observed and gain insight into the mechanistic reasons for this, measurements were compared to chemical box model simulations.

### 3.2 Model Simulations

#### 3.2.1 Effect of OH Concentration on Discrepancy of Measurement and MCM predicted Product Concentrations

The chemical loss rate of ISOPOOH, ca.  $5 \times 10^{-6}$  s<sup>-1</sup>, only plays a minor role in comparison to residence time in the chamber (see table 2 for lifetimes of ISOPOOH) due to the low OH concentrations. The exchange rate of the chamber,  $9.9 \times 10^{-5}$  s<sup>-1</sup>, is the main sink for all volatile organic compounds as well as low volatile organic compounds, if wall losses are ignored. Typical wall loss rates of condensable vapours such as sulfuric acid are about a factor 20 higher, on the order of  $1.7 \times 10^{-3}$  s<sup>-1</sup> (Almeida et al., 2013).

The chamber exchange rate is well known and depends only on the well-defined chamber inflow and outflow (2.8 h at 150 slpm). If the residence time is the dominant sink term, the concentration of

reaction products only depend on their production rate and the chamber exchange rate. Product formation rates from ozonolysis of isoprene are also well known from the ozone and isoprene measurements. This leaves the concentration of the OH radical, which controls production of ISOOPOOH, as the main uncertainty of the box model simulation due to a lack of direct OH measurements. Using sulfuric acid and SO<sub>2</sub> to derive OH concentrations, as done in previous CLOUD experiments, which relies on the sulfuric acid production rate, was not possible in this study due to a direct source of sulfuric acid and SO<sub>2</sub> from injection of cloud condensation nuclei (CCN) consisting of liquid sulfuric acid. Therefore OH concentrations had to be estimated via model simulations which use the OH production rate from isoprene ozonolysis with a molar yield of 26 % (Malkin et al., 2010).

OH concentrations in the study were significantly lower than in the atmosphere since the experiments were carried out almost entirely in the dark, making isoprene ozonolysis the main source of the OH radicals.

The MCM model gives a range of  $0.5 - 1 \times 10^5$  molecules cm<sup>-3</sup> for the OH concentration, [OH], under the different experimental conditions and no further modelling constraints. These OH concentrations allow a reproduction of the general trend of the major oxidation products in the measurement. However, the model predicted ISOOPOOH volume mixing ratios of several ppbv (Fig. 1, Fig. 2d), whereas m/z = 85.066 Th, corresponding to ISOOPOOH with a limit of detection better than 100 pptv, was only observed at very high isoprene concentrations ([Isoprene] > 150 ppbv) at a temperature of 273 K and the observed concentrations were very low. Comparison of the sum of the modelled ISOOPOOH and observations thus shows a dramatically higher concentration (factor 20) of ISOOPOOH in the model simulation. Comparison of MVK, MACR (Fig. 2b, 2c) and formaldehyde measurements with the MCM shows significantly lower concentration of these compounds in the model simulations (Fig. 3, panel a-c) than observed. The difference between model and measurements are 1.2-2 ppbv (50 %), 0.6-1.2 ppbv (25 %) and 6-10 ppbv (50 %) respectively.

To assess the impact of uncertainties in the model OH concentration, the production of the main oxidation products from reaction with OH and ozonolysis was studied as a function of OH concentration (Fig. 2) under experimental conditions. All compounds show a high dependence on OH concentrations. However, it was impossible to resolve the discrepancy between model and measured first generation oxidation products by varying the concentration of OH radicals. While increasing OH by a factor of 3 leads to a good agreement of model and measurement for MACR, the simulation still shows lower concentrations for MVK (Fig. 2b, 2c). Further increase leads to an agreement in the case of MVK but to considerable higher concentrations of MACR compared to the measurement.

Additionally ISOOPOOH concentrations increase significantly upon increase of OH concentration (Fig. 2d). This is in contrast to observations, which showed extremely low ISOOPOOH concentrations. It is highly unlikely that the instrument would not detect an ISOOPOOH signal at m/z = 85.066 Th at these concentrations that are significantly above the detection limit, nor any of the other characteristic ISOOPOOH fragments, at the highest used OH model concentrations. These model runs highlight a problem in the mechanism, as high model OH concentrations are needed to improve agreement with MVK, MACR and formaldehyde, which however increases the model-measurement discrepancy for ISOOPOOH.

### 3.2.2 Inclusion of Wall Reactions in the MCM Model

If ISOOPOOH is significantly higher in the MCM based simulations but not destroyed inside the analytical instrument, as shown in laboratory studies, there has to be a sink for ISOOPOOH in the experiment that is not included in the MCM but efficiently depletes the ISOOPOOH reservoir.

Decomposition of ISOPPOOH to MVK, MACR and formaldehyde on metal surfaces inside metal tubing of analytical instruments is known from previous studies (Rivera-Rios et al., 2014).



Therefore the stainless steel surface of the CLOUD chamber cannot be neglected for these reactions. Thus reactions 1-2 that convert 1,2-ISOPPOOH to MVK and formaldehyde and 4,3-ISOPPOOH to MACR and formaldehyde were added to the MCM mechanism. Decomposition of other hydroperoxides is assumed to proceed in an analogous manner. All model simulations that follow use OH concentrations as predicted by the model, which are one order of magnitude lower than typical average atmospheric concentrations (see table 1) and range from  $0.5 - 1 \times 10^5$  molecules  $\text{cm}^{-3}$ .

Figure 3, panels d-f show the change of the modelled product concentration upon implementation of surface catalysed decomposition reactions of hydroxy hydroperoxides to smaller carbonyls on the walls of the chamber, which are known to take place upon contact with stainless steel. The decomposition reactions of ISOPPOOH are isomer specific. The collision rate of ISOPPOOH with the chamber walls is estimated to be  $1.1 \times 10^{-3} \text{ s}^{-1}$ , in analogy to the previously determined loss rate of condensable vapours in CLOUD (Almeida et al., 2013) and 100 % conversion efficiency per collision is assumed. Inclusion of these catalytic surface reactions resolves the discrepancy between model and measurement for MVK and MACR within the instrumental uncertainties. This is found for all concentrations and temperatures. The wall conversion is still effective at temperatures below 0°C. The discrepancy of a factor of 20 for isoprene hydroperoxides is not only caused by heterogeneous decomposition of ISOPPOOH to MVK and MACR but also an additional unquantified formation of unsaturated C5-diols (Mentler et al., 2017). The latter are formed in surface reactions but were not included in this study since the different reagent ion modes ( $\text{H}_3\text{O}^+$  and  $\text{NO}^+$ ) did not allow for a conclusive identification due to interferences or signals below the limit of detection for these compounds.

The discrepancy between model and measurement in the case of formaldehyde is reduced. In the case of HCHO there is still a source equal to 5 ppbv (40 %) which is unaccounted for. Apart from instrumental uncertainties, this could be due to uncharacterised wall production, not uncommon for formaldehyde in chamber experiments, which has been shown for the CLOUD chamber in Schnitzhofer et al (2014) even for a freshly cleaned chamber, or other surface catalytic reactions which have not been identified.

### 3.3 Production, Loss and Chemical Pathway

Inclusion of the non gas-phase heterogeneous wall reaction changes the relative importance of the reaction pathways for the main products. The production sources of MVK and MACR are shown in Fig. 4. The main production path for MVK shifts from ozonolysis to an equal contribution of ozonolysis and heterogeneous production from 1,2-ISOPPOOH. Ozonolysis remains the most important pathway for MACR but heterogeneous production from 4,3-ISOPPOOH becomes the second most important and significant formation source. Even at low OH radical concentrations, the influence of the heterogeneous conversion of hydroperoxides on gas phase concentrations of carbonyls cannot be neglected.

Figure 5 shows the relative contribution of the specific sinks to the total loss of the respective ISOPPOOH isomers during an experiment set at 263 K. Without wall reactions, loss occurs mainly due to the gas exchange of the chamber which determines the residence time. Upon implementation of

wall conversion reactions, the loss to the chamber walls becomes the most important sink. Average lifetimes for each sink are given in table 2. At low OH concentration ( $< 1 \times 10^{-5}$  molecules  $\text{cm}^{-3}$ ) reaction with OH is negligible compared to residence time or wall loss. As long as the wall loss occurs faster than other loss processes, 90 % of ISOPPOOH will be irreversibly lost to the chamber walls and converted to MVK and MACR. Figure 6 shows the effect of the conversion efficiency per wall collision on model measurement comparison. Figure 6 shows the effect of the conversion efficiency per wall collision on model measurement comparison. Even reducing the collision efficiency at a constant wall collision rate to less than 40 % gives a very good agreement of model and measurements within 10 % discrepancy and the measurement uncertainties while at the same time reducing the concentration of ISOPPOOH significantly (factor 4). A conversion efficiency of as low as 20 % at a wall collision rate of  $1.1 \times 10^{-3} \text{ s}^{-1}$  is sufficient to convert a significant amount (about two third) of ISOPPOOH to MVK and MACR.

But collision efficiency is not the only factor in providing an opportunity for the catalytic decomposition to take place. The residence time on surfaces, most likely in the liquid layer due to ISOPPOOH's high hydrophilicity, is very long, and provides ample opportunity for the reaction to occur. The experiments were carried out at two different temperatures: -10°C and 10°C and different RH (60-95 %). During all experiments ISOPPOOH, independent of temperature and RH, is irreversibly lost to the chamber surfaces and undergoes a decomposition reaction to form MVK, MACR and formaldehyde.

This study shows that heterogeneous reaction of ISOPPOOH on the stainless steel chamber walls, likely via homolytic peroxy bond cleavage on contact with stainless steel surfaces as shown in Scheme 1, is consistent with the observed MVK, MACR and ISOPPOOH concentrations. It has previously been shown that the reaction takes place on metal surfaces within GC-MS and standard PTR-ToF-MS instruments and on contact with heated metal surfaces (Nguyen et al., 2014b; Rivera-Rios et al., 2014) but also, as this study shows, on metal surfaces at temperatures below ambient. The heterogeneous reaction also explains suppression of IEPOX formation, which in turn likely limits the formation of organic SOA and reactive uptake from isoprene oxidation in metal environmental simulation chambers.

#### 4 Conclusion and Atmospheric Implications

This study shows that heterogeneous surface catalysed decomposition reactions of hydroxy hydroperoxides have a great impact on the gas phase composition in terms of the studied oxidation products such as carbonyls under low  $\text{NO}_x$  conditions when reactive peroxides are prevailingly formed. This is especially the case in stainless steel environmental simulation chambers.

In teflon chambers only a small if any conversion of ISOPPOOH produced from low  $\text{NO}_x$  isoprene oxidation can be observed (Rivera-Rios et al., 2014; St. Clair et al., 2016). In stainless steel chambers on the other hand, as shown in this study, very effective decomposition of ISOPPOOH leads to volatile C4 carbonyls and suppresses formation of the secondary products IEPOX and isoprene dihydroxy dihydroperoxides that play an important role in SOA formation, uptake and growth. These processes cannot be explained by pure gas phase models. Implementation of additional heterogeneous surface reactions to the chemical mechanism is necessary to improve the agreement between model predictions and observations.

The decomposition may also be expected to occur for hydroxyl hydroperoxides that originate from different precursors. If a decomposition reaction takes place and the resulting products have different reactivity and physical properties, especially volatility and hydrophilicity, particle formation and

growth and properties may be misrepresented. Depending on the scientific question, catalytic surface reactions could lead to a misrepresentation of chemical processes in chamber studies in comparison to ambient measurements. This is especially important when single specific compounds are of fundamental significance rather than broader classes of compounds with comparable properties or if intermediates play an important role in the process of interest. In contrast, if processes of interest only occur via first generation products the conversion of secondary products on walls is irrelevant. This distinction is often unknown and, therefore, it is essential to fully characterise and understand wall production and losses.

Surface reactions may not only play an important role in chamber experiments but also in the real atmosphere where plants, soil and aerosols may provide a large surface area for such reactions. These surfaces can potentially serve as electron donors and catalyse decomposition reactions in a similar manner as stainless steel environmental simulation chamber walls. Very recent experimental results show that the decomposition of ISOPPOOH indeed occurs on plant surfaces (Canaval et al., 2017) converting ISOPPOOH to MVK and MACR and C5-diols. The fate of these compounds in relation to plant-surface atmosphere interactions is much more complex than in an environmental simulation chamber, where volatile decomposition products are released into the gas phase. It has already been shown that MACR is substantially taken up by tomato plants (Muramoto et al., 2015), while the fate of MVK yet remains uncertain. To understand and correctly interpret the ratio of ISOPPOOH to MVK/MACR in the atmosphere, these processes have to be fully understood. Therefore it is important to create experiments in the future that allow a better reproduction of real atmospheric conditions in terms of sinks and sources e.g. teflon chambers with real plants not only for the study of primary BVOC emissions but also to investigate the impact of plant surface reactions in altering gas phase composition of oxygenated volatile organic compounds

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**Table 1: Average experimental conditions**

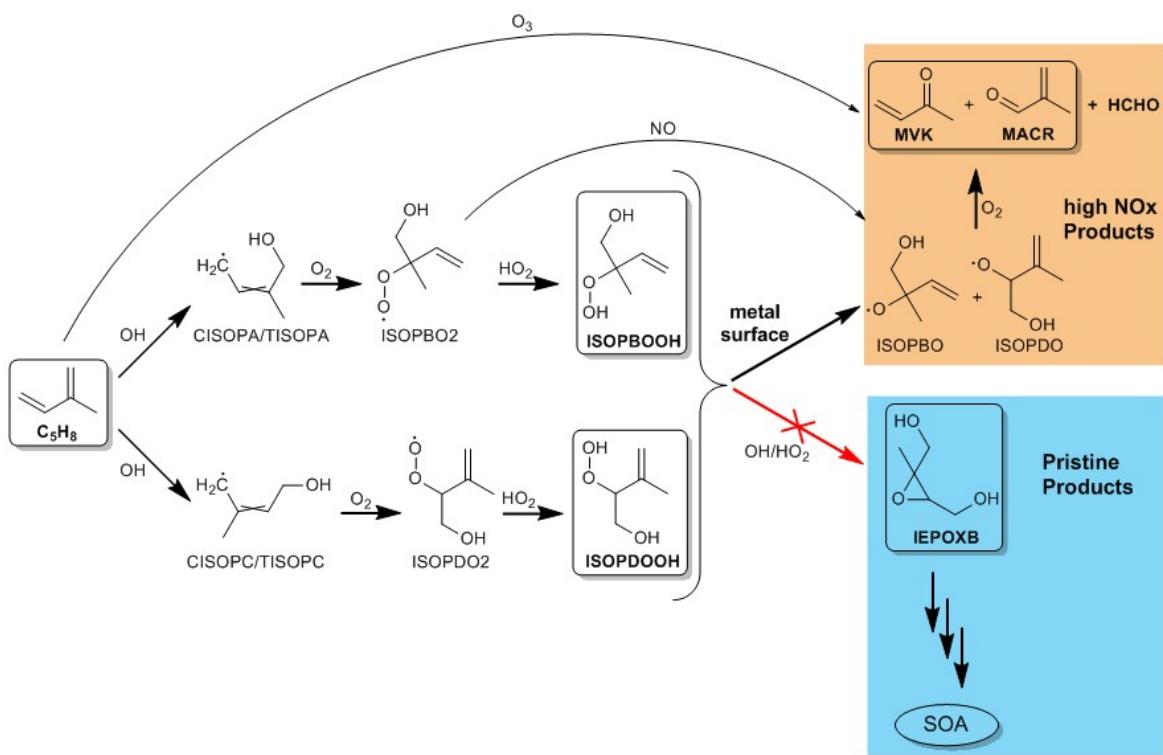
# Run	Temperature [K]	RH <sup>a</sup> [%]	Isoprene <sup>a</sup> [ppbv]	Ozone <sup>a</sup> [ppbv]	OH [molecules cm <sup>-3</sup> ]	NO <sub>x</sub> <sup>b</sup> [ppbv]	SO <sub>2</sub> [ppbv]
1	283	93	40-250	~100	~1*10 <sup>5</sup>	<0.25	<0.5
2	263	80	60	~100	~0.75*10 <sup>5</sup>	<0.25	<0.5
3	283	90	100	~95	~0.9*10 <sup>5</sup>	<0.25	<0.5
4	263	70	100	~120	~0.5*10 <sup>5</sup>	<0.25	<0.5

<sup>a</sup>average values, <sup>b</sup>mainly NO<sub>2</sub>

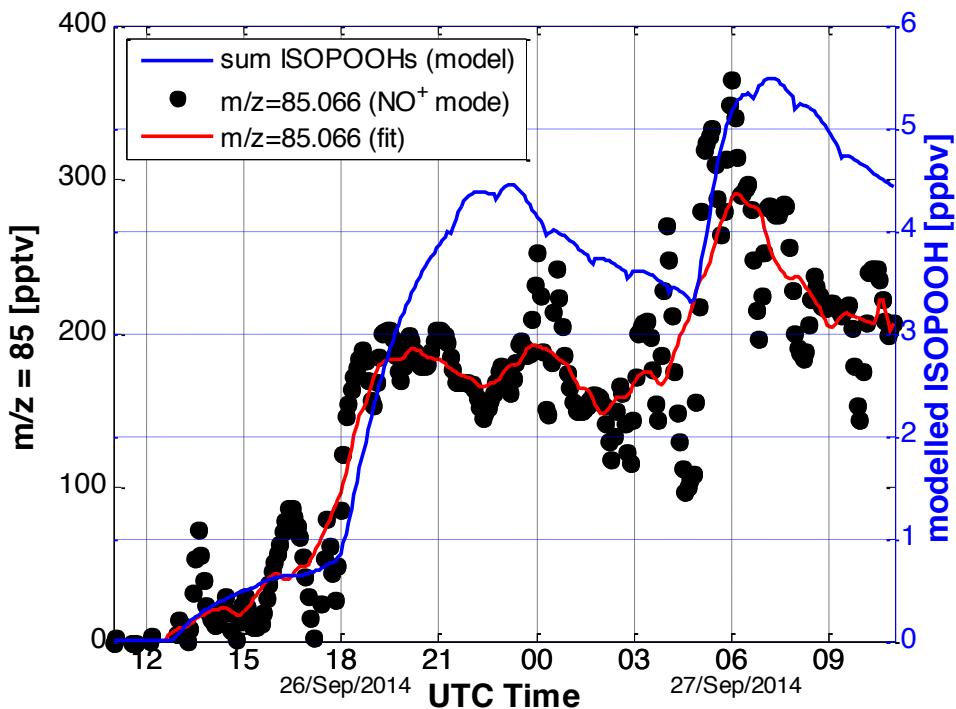
**Table 2: Lifetimes of the dominant ISOPOOH isomers**

<b>Compound</b>	$\tau_{\text{Dilution}}$ <sup>a</sup>	$\tau_{\text{OH}}$ <sup>b</sup>	$\tau_{\text{wall loss}}$ <sup>c</sup>
1,2-ISOPOOH	2.8 h	55.6 h	15 min
4,3-ISOPOOH	2.8 h	24.2 h	15 min

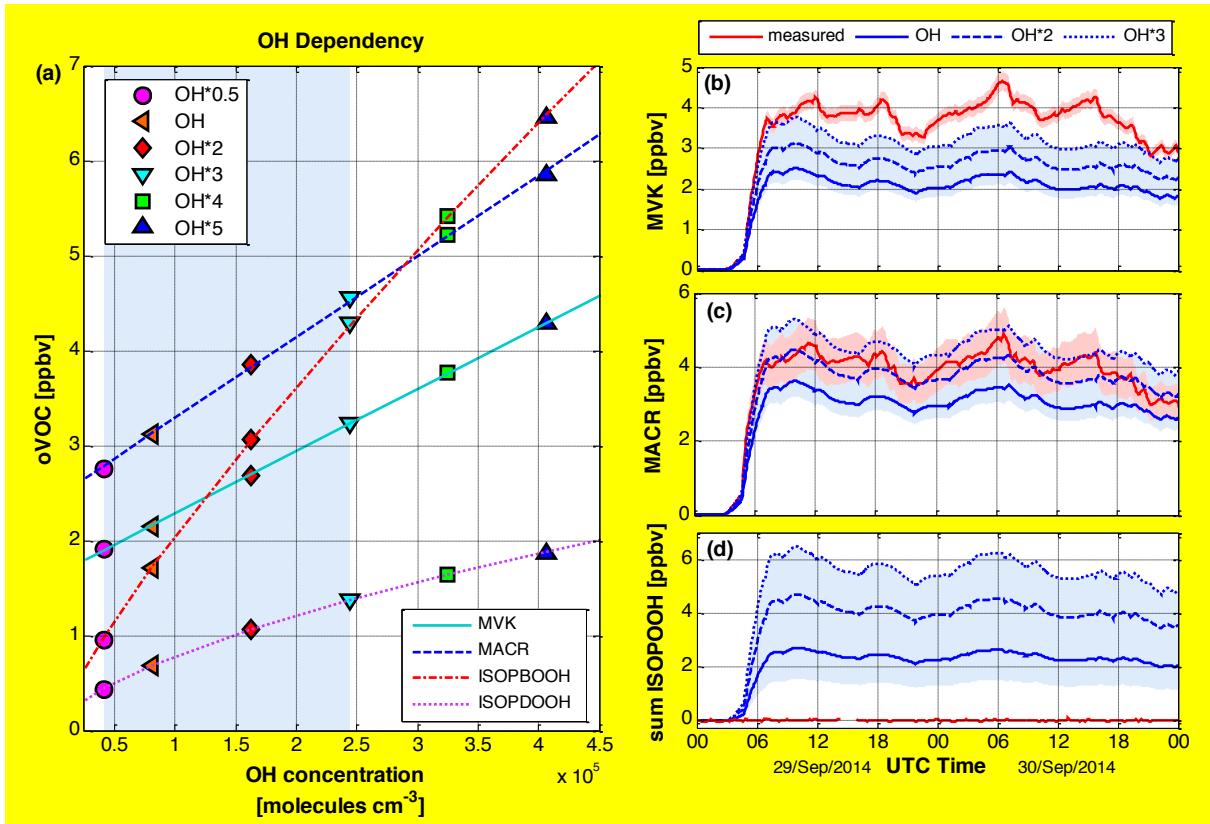
<sup>a</sup>at a flow of 150 slpm, <sup>b</sup>at  $[\text{OH}] = 1 \times 10^5$  molecules  $\text{cm}^{-3}$ , <sup>c</sup>estimate



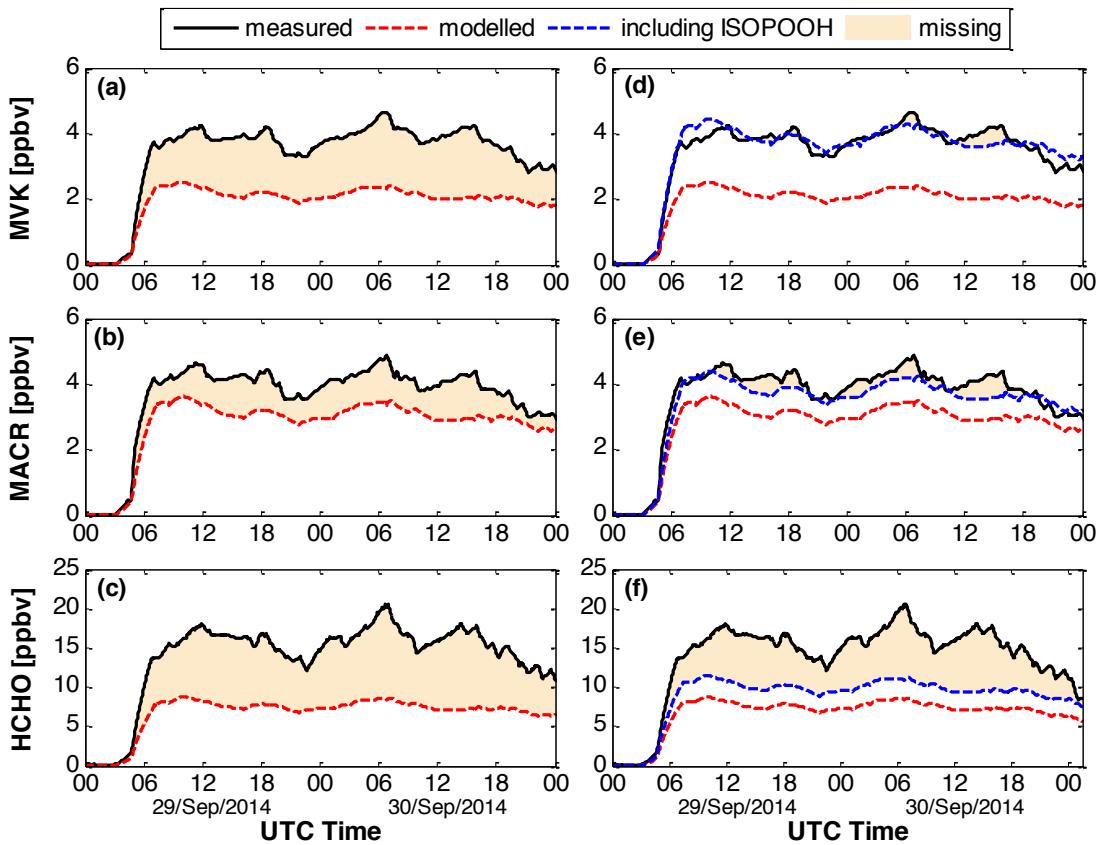
**Scheme 1:  $HO_2$  dominated chemical pathway of the isoprene oxidation with OH based on the MCM v3.3. Isoprene oxidation in the presence of metal surfaces leads primarily to the same main oxidation products (MVK/MACR) as the high NO<sub>x</sub> pathway and catalytic surface reactions suppress the  $HO_2$  pathway before the formation of  $\beta$ -IEPOX. MVK and MACR are also the main oxidation products of isoprene ozonolysis.**



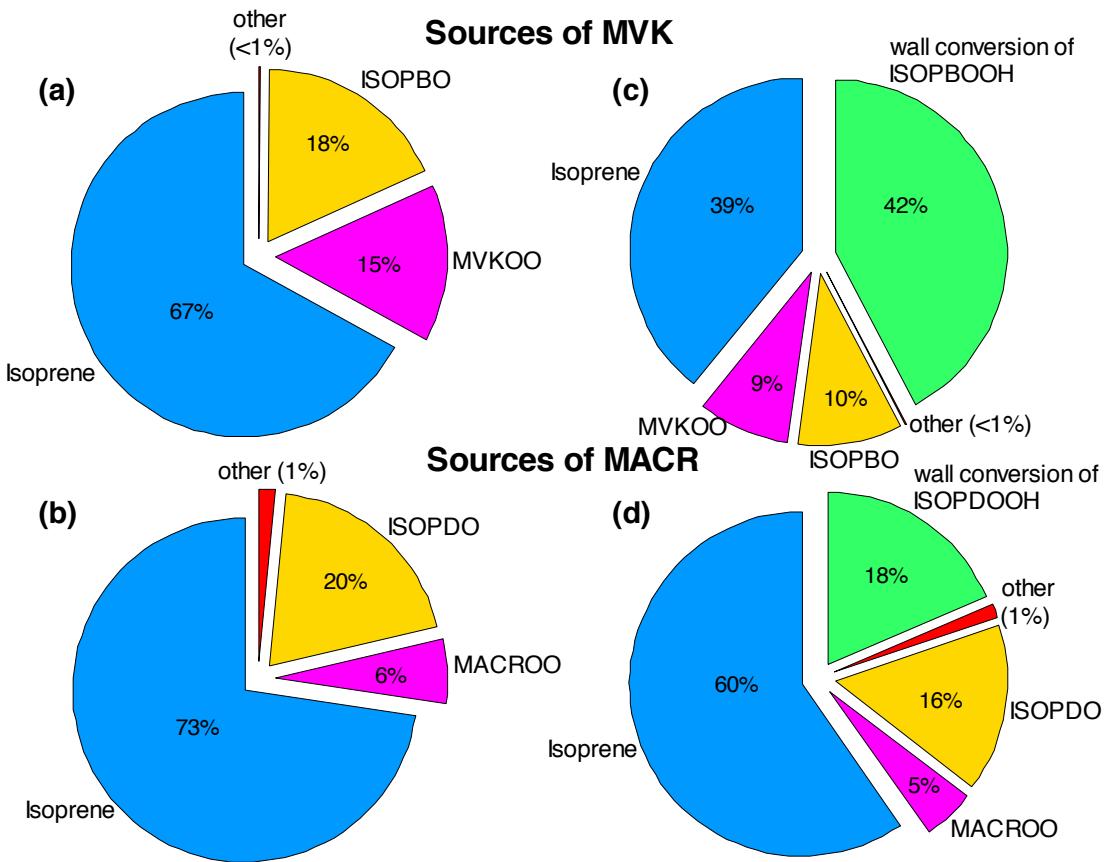
**Figure 1:** Comparison of main ISOOPOH fragment in  $\text{NO}^+$  reagent ion mode ( $\text{C}_5\text{H}_9\text{O}^+$ ,  $m/z = 85.066$ ) and MCM predictions at  $1 \times 10^5$  molecules  $\text{cm}^{-3}$  OH and up to 250 ppbv isoprene. The signal for the fragment follows the predicted trend but is about a factor of 20 lower than the expected ISOOPOH concentration in the model.



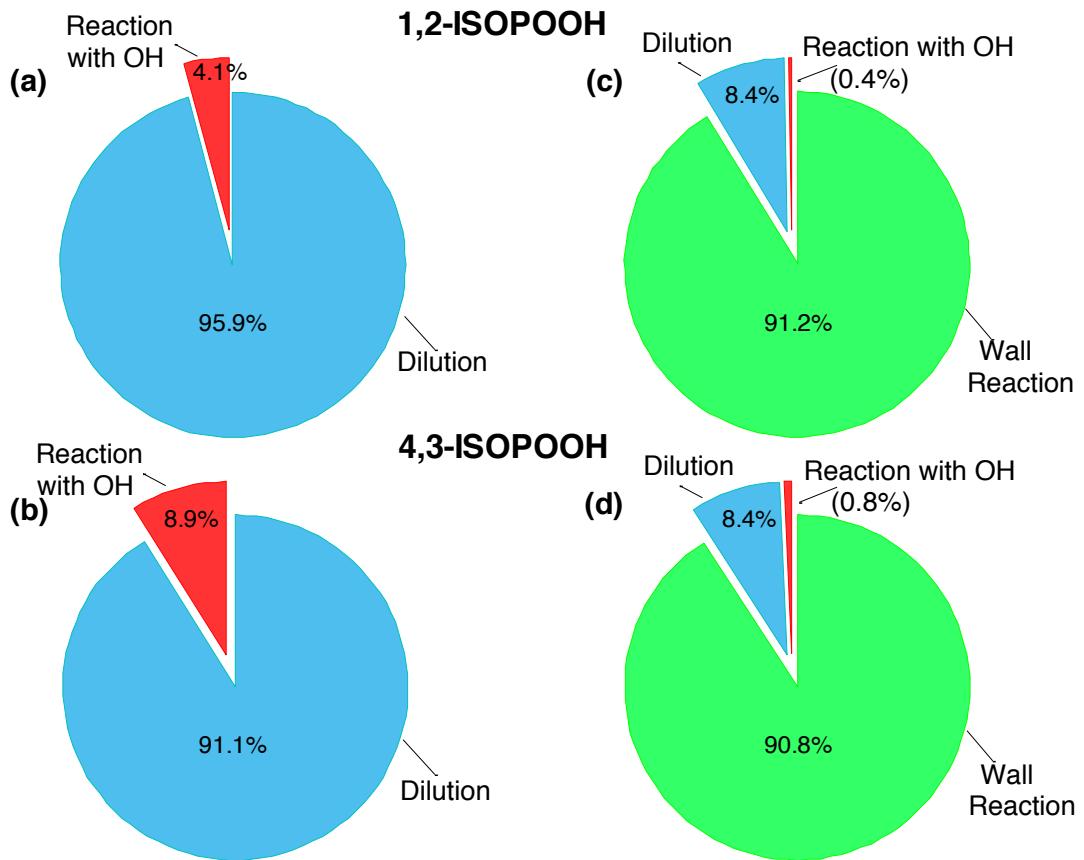
**Figure 2:** a) OH dependence of the concentration of the main oxidation products at 263 K (Run2), b) changes in MVK prediction depending on OH concentration in comparison to measured data, c) changes in MACR prediction depending on OH concentration in comparison to measured data, d) changes in ISOPPOOH prediction depending on OH concentration. The shaded blue area corresponds to the range of OH concentrations and the red shaded area corresponds to the uncertainty in the respective measurements of MVK and MACR.



**Figure 3: Measured MVK, MACR and formaldehyde concentrations compared to model predictions. Panels a),b) and c) show MVK, MACR and HCHO in comparison to the model predictions. Modelled concentrations are by a factor of up to 2 lower. Panels d), e) and f) show the comparison to the model prediction after the inclusion of the respective ISOPOOH isomer decomposition. Inclusion of ISOPOOH conversion resolves the discrepancy for MVK and MACR. Dashed lines correspond to model simulations.**



**Figure 4:** Sources of MVK and MACR according to the relative importance of their precursors without (a,b) and with (c,d) additional wall conversion of ISOPOOH. Inclusion of the wall conversion of ISOPOOH to the model simulations changes the importance of the respective formation pathways. See table S1 for explanation of source terms.



**Figure 5:** Relative contribution of 1,2-ISOPOOH and 4,3-ISOPOOH sinks without (a,b) and with (c,d) additional wall reactions at an estimated wall loss rate of  $1.1 \times 10^{-3} \text{ s}^{-1}$ . Loss due to reaction with OH is negligible in comparison to wall loss and dilution at these low OH concentrations. More than 90 % of both isomers are being lost to the chamber walls.

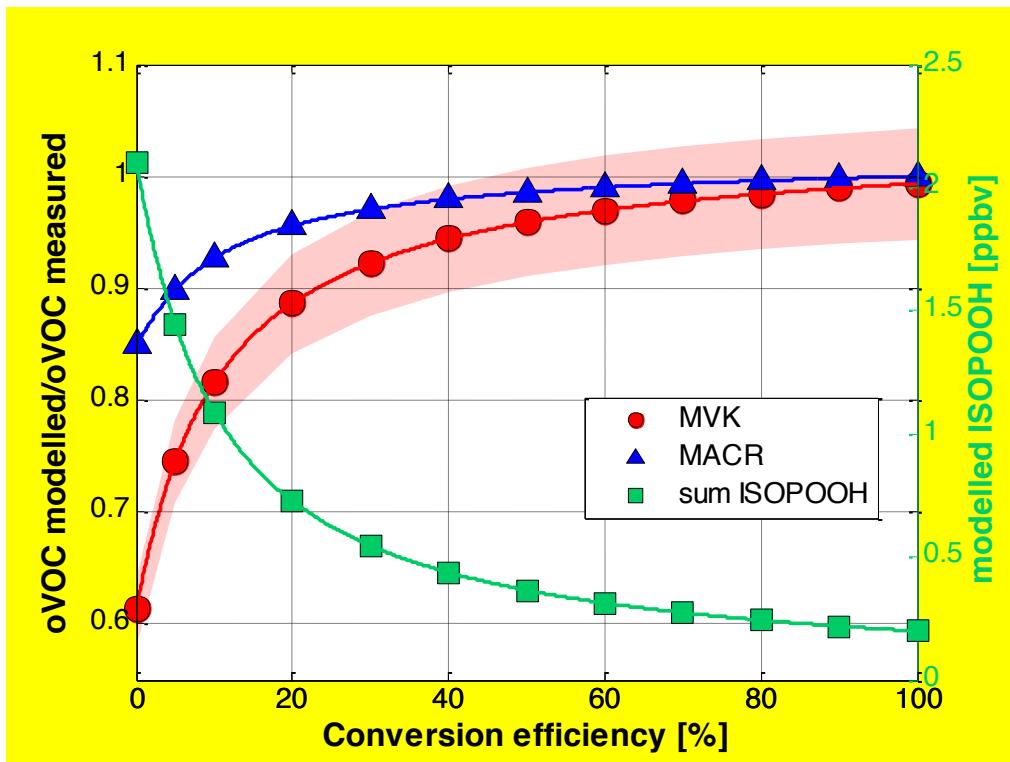


Figure 6: Influence of conversion efficiency on the agreement of modelled VOC and measured VOC concentrations ranging from a conversion efficiency of 0 % (no wall reaction) to an efficiency of 100 % (complete decomposition on wall collision). The shaded red area corresponds to the uncertainty in the measurements of MVK.