

1 **A fifteen year record of CO emissions constrained by MOPITT CO**
2 **observations**

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4 Zhe Jiang^{1,2}, John R. Worden¹, Helen Worden², Merritt Deeter², Dylan B. A. Jones³, Avelino F.
5 Arellano⁴, Daven K. Henze⁵

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8 ¹Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA

9 ²National Center for Atmospheric Research, Boulder, CO, USA

10 ³Department of Physics, University of Toronto, Toronto, ON, Canada

11 ⁴Department of Hydrology and Atmospheric Sciences, University of Arizona, Tucson, AZ, USA

12 ⁵Department of Mechanical Engineering, University of Colorado, Boulder, CO, USA

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29 **Abstract**

30 Long-term measurements from satellites and surface stations have demonstrated a
31 decreasing trend of tropospheric carbon monoxide (CO) in the Northern Hemisphere over the past
32 decade. Likely explanations for this decrease include changes in anthropogenic, fires, and/or
33 biogenic emissions or changes in the primary chemical sink hydroxyl radical (OH). Using
34 remotely sensed CO measurements from the Measurement of Pollution in the Troposphere
35 (MOPITT) satellite instrument, in-situ methyl chloroform (MCF) measurements from World Data
36 Centre for Greenhouse Gases (WDCGG), and the adjoint of the GEOS-Chem model, we estimate
37 the change in global CO emissions from 2001–2015. We show that the loss rate of MCF varies by
38 0.2% in the past 15 years, indicating that changes in global OH distributions do not explain the
39 recent decrease in CO. Our two-step inversion approach for estimating CO emissions is intended
40 to mitigate the effect of bias errors in the MOPITT data as well as model errors in transport and
41 chemistry, which are the primary factors contributing to the uncertainties when quantifying CO
42 emissions using these remotely sensed data. Our results confirm that the decreasing trend of
43 tropospheric CO in the Northern Hemisphere is due to decreasing CO emissions from
44 anthropogenic and biomass burning sources. In particular, we find decreasing CO emissions from
45 the United States and China in the past 15 years, and unchanged anthropogenic CO emissions from
46 Europe since 2008. We find decreasing trends of biomass burning CO emissions from boreal North
47 America, boreal Asia and South America, but little change over Africa. In contrast to prior results
48 we find a positive trend in CO emissions is likely for India and southeast Asia.

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50 **1. Introduction**

51 Tropospheric CO is a product of incomplete combustion and a byproduct of the oxidation

52 of hydrocarbons. It plays a key role in atmospheric chemistry because it is the main sink for OH,
53 and an important precursor for tropospheric ozone (O₃). Recent studies demonstrated significant
54 change in tropospheric CO abundance in the past decade. Using Atmospheric Infrared Sounder
55 (AIRS) CO measurements, Warner et al. (2013) indicated that Northern Hemispheric CO mixing
56 ratio decreased by 1.28 ppb/year in the period of 2003–2012. Worden et al. (2013) demonstrated
57 Northern Hemispheric CO column measurements from MOPITT show a decrease of ~0.92%/year
58 in the period of 2000–2011. Using observations from Mt. Bachelor Observatory, Gratz et al. (2015)
59 also show a negative trend of CO concentration by 1.9%/year in the period of 2004–2013.
60 However, the reason for the large variation of tropospheric CO abundance is still unclear; for
61 example, Strode et al. (2016) found decreases in modeled CO abundance over North America and
62 Europe, but increases over China, based on bottom-up emissions.

63 There is currently much effort focused on accurately quantifying emissions of CO. For
64 fossil fuels and biofuels, energy consumption statistics and emission factors are usually used to
65 construct the emission inventories (e.g. Streets et al. 2006; Ohara et al. 2007; Zhang et al. 2009;
66 Zhao et al. 2012). Biomass burning emissions are commonly calculated as the product of burned
67 area, fuel loads, combustion completeness and emission factors (e.g. van der Werf et al. 2006,
68 2010; van Leeuwen and van der Werf 2011). Because of the large uncertainties in the emission
69 inventories, space-based remotely sensed measurements and surface/aircraft in-situ observations
70 have been assimilated to provide “top-down” constraints on CO emissions (e.g., Arellano et al.,
71 2006; Chevallier et al. 2009; Jones et al., 2009; Kopacz et al., 2010; Jiang et al., 2011; Fortems-
72 Cheiney et al. 2011; Hooghiemstra et al. 2012; Miyazaki et al. 2015). In a recent study, Yin et al.
73 (2015) constrained global CO emissions for the period 2002–2011 to investigate the possible
74 reasons for the decreasing CO abundance in the Northern Hemisphere. Using MOPITT column

75 data (version V6J) over the whole globe, Yin et al. (2015) indicate that the negative trend of
76 tropospheric CO abundance in the Northern Hemisphere is driven by decreasing anthropogenic
77 emissions from North America, Europe and China.

78 The major sink of tropospheric CO is OH. Because of its high variability and short lifetime
79 (about one second), it is difficult to assess the spatial and temporal variation of global OH through
80 direct measurements (Spivakovsky et al. 2000; Lelieveld et al. 2004). Alternatively, Montzka et
81 al. (2011) demonstrated small interannual variability of global OH for the period 1997–2007 by
82 using the loss rate of MCF as a proxy. The measurements of MCF are assimilated in recent CO
83 inversion studies to provide updated OH (e.g. Fortems-Cheiney et al. 2011, 2012; Yin et al. 2015),
84 but the estimates are adversely affected by the sparse distribution of measurements.

85 The objective of this work is to investigate the dominant reasons for the decreasing CO
86 trend in the Northern Hemisphere, and to provide updated CO emission estimates for model studies.
87 Using methods and results from our prior work, our approach is intended to reduce the effects of
88 model errors of transport and chemistry, as well as bias errors in the data, on our conclusions about
89 CO emissions. These are the primary uncertainties that affect CO emissions estimates. For example,
90 bias errors in MOPITT data can have a substantial impact on emissions estimates (Deeter et al.,
91 2014). Model errors of transport and chemistry will have variable and substantial effects on CO
92 emissions in different parts of the globe due to seasonal and latitudinal variations in convection,
93 advection, and boundary layer height (Jiang et al., 2013, 2015a, 2015b).

94 In order to reduce the influences from these measurement and model transport systematic
95 errors, we performed a two-step inversion by combining sequential Kalman Filter (Jiang et al.
96 2013, 2015a, 2015b) with four-dimensional variational (4D-Var) assimilation (Henze et al. 2007)
97 in this work, using the GEOS-Chem model. Instead of optimizing the CO concentrations and

98 emissions simultaneously (e.g. Fortems-Cheiney et al. 2011, 2012; Yin et al. 2015), our first step,
99 the sequential Kalman Filter, modifies the atmospheric CO concentration directly to provide low
100 bias initial (monthly) and boundary (hourly) conditions, whereas the second step (4D-Var)
101 constrains CO emissions assuming perfect initial and boundary conditions. We also apply bias
102 corrections to MOPITT and compare the surface CO concentrations obtained by constraining the
103 model with either MOPITT profile, total column, or lower troposphere CO data to test which data
104 type provides the most accurate comparison with independent surface in-situ measurements.

105 This paper is organized as follows: in Section 2 we describe the MOPITT instruments and
106 the GEOS-Chem model used in this work. In Section 3 we outline the inverse method. We then
107 investigate the long-term variations of global tropospheric OH and CO emissions in Section 4, and
108 we discuss the changes in tropospheric CO, and the contributions from emissions and
109 meteorological conditions. Our conclusions follow in Section 5.

110 **2. Observations and Model**

111 **2.1. MOPITT**

112 The MOPITT instrument was launched on December 18, 1999 on the NASA/Terra
113 spacecraft. The satellite is in a sun-synchronous polar orbit of 705 km and crosses the equator at
114 10:30 local time. The instrument makes measurements in a 612 km cross-track scan with a
115 footprint of 22 km \times 22 km, and provides global coverage every three days. The MOPITT data
116 used here were obtained from the joint (J) retrieval (V6J) of CO from thermal infrared (TIR, 4.7 μ m)
117 and near-infrared (NIR, 2.3 μ m) radiances using an optimal estimation approach (Worden et al.,
118 2010; Deeter et al., 2011). The retrieved volume mixing ratios (VMR) are reported as layer
119 averages of 10 pressure levels (surface, 900, 800, 700, 600, 500, 400, 300, 200 and 100 hPa). The
120 relationship between the retrieved CO profile and the true atmospheric state can be described as:

121
$$\hat{z} = z_a + A(z - z_a) + G\epsilon \quad (1)$$

122 where z_a is the MOPITT a priori CO profile, z is the true atmospheric state, $G\epsilon$ describes the
123 retrieval error, and $A = \partial\hat{z}/\partial z$ is the MOPITT averaging kernel matrix, which gives the sensitivity
124 of the retrieval to the actual CO in the atmosphere. The MOPITT V6 data have been evaluated by
125 Deeter et al. (2014) using aircraft measurements from HIAPER Pole-to-Pole Observations (HIPPO)
126 and the National Oceanic and Atmospheric Administration (NOAA). For the TIR/NIR multi-
127 spectral retrievals, they found negative bias drift (-1.27%/year) at lower troposphere (800 hPa),
128 and positive bias drift (1.64%/year) at upper troposphere (200 hPa). The bias drift in the total
129 column is negligible (0.15%/year). Following our previous studies (Jiang et al. 2013; 2015a;
130 2015b), we reject MOPITT data with CO column amounts less than 5×10^{17} molec/cm² and with
131 low cloud observations. The threshold of 5×10^{17} molec/cm² was selected to prevent unrealistically
132 low CO columns from adversely impacting the inversion analyses. Since the NIR radiances
133 measure reflected solar radiation, only daytime data are considered.

134 Figure 1 shows the comparison between MOPITT CO retrievals and HIPPO aircraft
135 measurements. The aircraft measurements are smoothed with MOPITT averaging kernels to make
136 it more comparable, as the aircraft measurements has high vertical resolution. The comparison
137 demonstrates a negative bias of MOPITT CO retrievals in the tropics and a positive bias at the
138 middle latitudes in the lower troposphere. Opposite bias is observed in the upper troposphere.
139 Similar latitude dependent biases in remote sensing retrievals have been revealed for methane (CH₄)
140 observations from Scanning Imaging Absorption Spectrometer for Atmospheric Chartography
141 (SCIAMACHY, Bergamaschi et al. 2007, 2009; Meirink et al. 2008), Greenhouse Gases
142 Observing Satellite (GOSAT, Turner et al. 2015), and CO observation from MOPITT (version 4,
143 Hooghiemstra et al. 2012). Similar to previous studies, we reduce the adverse effect of the latitude

144 dependent bias by applying latitude dependent correction factors to MOPITT CO retrievals, based
145 on the black solid line in Figure 1, which represents a 4-order polynomial curve fitting (in a least-
146 squares sense) for all data points. It should be noted that we are only correcting for a time-invariant
147 latitudinal and vertical dependence of MOPITT CO bias. Seasonal and interannual variations of
148 MOPITT retrieval biases are not corrected due to the limited spatial sampling of in situ data
149 available for determining retrieval bias changes over the MOPITT data record. (Deeter et al., 2014).
150 The remaining bias drifts have implications for interpreting the CO emissions trends derived from
151 total column vs. profile or lower tropospheric profile.

152 **2.2. GEOS-Chem**

153 The GEOS-Chem global chemical transport model (CTM) [www.geos-chem.org] is driven
154 by assimilated meteorological fields from the NASA Goddard Earth Observing System (GEOS-5)
155 at the Global Modeling and data Assimilation Office. For the simulations in this work, various
156 versions of GEOS meteorological fields are used, including GEOS-4 (2000–2003), GEOS-5
157 (2004–2012) and GEOS-FP (2013–2015). We use version v35j of the GEOS-Chem adjoint, which
158 is based on v8-02-01 of the forward GEOS-Chem model, with relevant updates through v9-02-01.
159 Our analysis is conducted at a horizontal resolution of $4^{\circ} \times 5^{\circ}$ with 47 vertical levels and employs
160 the CO-only simulation in GEOS-Chem, which uses archived monthly OH fields from the full
161 chemistry simulation. The OH fields used in this work are from GEOS-Chem version v5-07-08,
162 with a global annual mean OH concentration of 0.99×10^6 molec/cm³ (Evans et al. 2005). The
163 potential long-term variation of global tropospheric OH is evaluated in section 4.

164 The global anthropogenic emission inventory is from EDGAR 3.2FT2000 (Olivier et al.,
165 2001), but are replaced by the following regional emission inventories: the US Environmental
166 Protection Agency National Emission Inventory (NEI) for 2008 in North America, the Criteria Air

167 Contaminants (CAC) inventory for Canada, the Big Bend Regional Aerosol and Visibility
168 Observational (BRAVO) Study Emissions Inventory for Mexico (Kuhns et al. 2003), the
169 Cooperative Program for Monitoring and Evaluation of the Long-range Transmission of Air
170 Pollutants in Europe (EMEP) inventory for Europe in 2000 (Vestreng et al. 2002) and the INTEX-
171 B Asia emissions inventory for 2006 (Zhang et al. 2009). Biomass burning emissions are based on
172 the Global Fire Emission Database (GFED3, van der Werf et al. 2010). The a priori biomass
173 burning emissions in September-November 2006 were applied to September-November 2015 over
174 Indonesia. Additional CO sources come from oxidation of methane and biogenic volatile organic
175 compounds (VOCs) as described in previous studies (Kopacz et al. 2010; Jiang et al. 2013). The
176 biogenic emissions are simulated using the Model of Emissions of Gases and Aerosols from Nature,
177 version 2.0 (MEGANv2.0, Guenther et al. 2006). The distribution of the annual mean CO
178 emissions for 2001–2015 is shown in Figure 2. The annual global sources are 892 Tg CO from
179 fossil fuel, biofuel and biomass burning, 623 Tg CO from the oxidation of biogenic VOCs, and
180 876 Tg CO from the oxidation of CH₄.

181 **3. Inversion Approach**

182 We use the 4D-var data assimilation system in GEOS-Chem (Henze et al. 2007) to
183 constrain the CO sources. In this approach, we minimize the cost function defined as:

$$184 \quad J(x) = \sum_{i=1}^N [F_i(x) - z_i]^T S_{\Sigma}^{-1} [F_i(x) - z_i] + (x - x_a)^T S_a^{-1} (x - x_a) \quad (2)$$

185 where x is the state vector of CO emissions, N is the number of MOPITT observations that are
186 distributed in time over the assimilation period, z_i is a given MOPITT measurement, and $F(x)$ is
187 the forward model. The temporal resolution of forward model output ($F(x)$) is one hour, and
188 consequently, the high resolution MOPITT measurements are averaged temporally (one-hour
189 resolution) and spatially ($4^{\circ} \times 5^{\circ}$ resolution) to produce grid mean observations. The number (N) of

190 grid mean observations in our assimilation window (one month) is around 10000.

191 The error estimates are assumed to be Gaussian, and are given by S_{Σ} , the observational
192 error covariance matrix, and S_a , the a priori error covariance matrix, respectively. The Gaussian
193 assumption excludes important systematic errors, such as biases in OH distribution, long-range
194 transport and satellite retrievals in the cost function. Due to lack of meaningful information about
195 the systematic errors, we assume a uniform observation error of 20% without spatial correlation
196 following our previous studies (Jiang et al. 2011, 2013, 2015a, 2015b). As shown in Figure S1 (see
197 supplement), we expect limited influences from the assumption of uniform observation error. The
198 combustion CO sources (fossil fuel, biofuel and biomass burning) and the oxidation source from
199 biogenic VOCs are combined together, assuming a 50% uniform a priori error. We optimize the
200 source of CO from the oxidation of CH₄ separately as an aggregated global source, assuming an a
201 priori uncertainty of 25%.

202 The a posteriori error covariance matrix is the inverse of the Hessian matrix, which is not
203 stored in the 4D-var optimization scheme. Bousserez et al. (2015) presented an approach to
204 construct the a posteriori error covariance matrix using the approximation of the Hessian matrix.
205 As opposed to earlier studies using surface measurements, the high spatial density of
206 measurements from satellite instruments can effectively suppress the contribution from random
207 errors in the cost function, leaving systematic errors as the critical factor in the uncertainty. As
208 shown by Heald et al. (2004), different assumptions about the inversion configuration (systematic
209 errors) can produce differences in the source estimates that are significantly larger than the a
210 posteriori errors calculated based on random errors. Consequently, estimates of a posteriori
211 uncertainties are not provided in this work (e.g. Table 1 and Table 2).

212 Removing the bias in initial conditions is essential for inverse analysis (Jiang et al. 2013),

213 and can be performed with various data assimilation techniques. Model simulations driven by
214 optimized emissions can provide good initial conditions (e.g. Gonzi et al. 2011; Bruhwiler et al.
215 2014; Deng et al. 2014; Houweling et al. 2014). Alternatively, tracer concentrations can be
216 modified directly to avoid the effect from long-range transport error (e.g. Kopacz et al. 2009; Jiang
217 et al. 2013, 2015a). There are also efforts to optimize emissions and concentrations simultaneously
218 (e.g. Fortems-Cheiney et al. 2011, 2012; Bergamaschi et al. 2013; Yin et al. 2015), however, the
219 contributions from emissions and concentrations to model bias may be hard to be distinguished.
220 Figure 3 shows the methodology of our assimilation system. Following our previous studies (Jiang
221 et al. 2013, 2015a, 2015b), we produce initial conditions at the beginning of each monthly
222 assimilation window by assimilating MOPITT data using a sequential Kalman filter. For the results
223 presented here, the Kalman filter assimilation was carried out from March 1, 2000 to December
224 31, 2015.

225 Systematic model errors have a critical influence on inverse analysis. Jiang et al. (2013)
226 found that the modeled CO concentrations from a 10-day forecast simulation have large
227 discrepancy with assimilated CO fields, because of bias in model convective transport. Jiang et al.
228 (2015a) demonstrated that free tropospheric CO is more susceptible to the influence of OH bias
229 than lower tropospheric CO due to the process of long-range transport. Previous studies suggest
230 the influences of systematic errors can be mitigated by enhancing the contributions from local
231 emissions to the discrepancy between model and data, while keeping the influence from long-
232 range transport as low as possible due to sources of uncertainties that are difficult to quantify. For
233 example, Pifster et al. (2005) constrained biomass burning CO emissions from boreal North
234 America with optimized CO fields outside the impacted region; Jiang et al. (2015b) indicated that
235 their regional inversions were more reliable when the boundary conditions were optimized.

236 In this work, we designed a two-step inversion to reduce the effects of these systematic
237 errors. As shown in Figure 3, we define the ocean scene (red grids) as boundary conditions. In the
238 first step of our inverse analysis, sequential Kalman filter assimilation, we directly modify CO
239 concentrations without any change to emissions in order to provide an optimized CO fields as
240 consistent as possible with MOPITT. In the second step, the optimized CO fields are used to
241 rewrite CO concentrations over the ocean every hour, while 4D-var inversion is employed to
242 constrain CO emissions, without any change on CO distribution over ocean. Only MOPITT data
243 over land (white grids) were assimilated to constrain CO emissions in the second step. In our
244 previous study (Jiang et al. 2015b), we performed a regional inversion over North America
245 continent driven with optimized boundary conditions from global-scale simulation by assimilating
246 MOPITT CO measurements. In this work, we convert the global inversion system to a combination
247 of several regional inversions, and the optimization on the boundary conditions is exactly the same
248 as the regional inversion (Jiang et al. 2015b). With the fixed/optimized boundary conditions, the
249 emission and transport errors from one continent (e.g. North America) will not affect the emission
250 estimation of another continent (e.g. Europe).

251 **4. Results and Discussion**

252 **4.1. Long-term variation of global tropospheric OH**

253 The distribution of tropospheric OH has significant influence on the inverse analysis of CO
254 emissions (Jiang et al. 2011). Various approaches have been employed to improve the OH
255 distribution in previous studies. Jiang et al. (2013) assimilated MOPITT CO retrievals in full
256 chemistry model simulation to provide updated OH fields. Miyazaki et al. (2015) demonstrated
257 that assimilation of Tropospheric Emission Spectrometer (TES) O₃, Ozone Monitoring Instrument
258 (OMI) NO₂, and MOPITT CO can provide a better description of tropospheric OH. There are also

259 recent efforts that have assimilated surface in-situ MCF measurements (Fortems-Cheiney et al.
260 2011, 2012; Yin et al. 2015). However, because of the uncertainties in model chemistry schemes,
261 potential bias drifts in satellite measurements, and sparse distribution of surface in-situ
262 measurements, OH abundances provided by these approaches may not be ideal for the estimation
263 of long-term CO variation.

264 Emissions of MCF are regulated by the Montreal Protocol agreement. The loss rate of MCF
265 has become a good tool to evaluate the variation of tropospheric OH (e.g. Krol et al. 1998;
266 Bousquet et al. 2005; Prinn et al. 2005; Montzka et al. 2011). Using the same approach as Montzka
267 et al. (2011), we assess the variation of tropospheric OH in the period of 2001–2015. Figure 4a
268 shows the locations of WDCGG sites with MCF measurements, and Figure 4b shows the global
269 mean MCF concentration in the past 15 years. Similar as Montzka et al. (2011), our result shows
270 an exponential decrease of MCF concentration. The loss rate of MCF, derived from 12-month
271 apart of monthly means [e.g., $\ln(\text{MCF}_{\text{Jan2007}}/\text{MCF}_{\text{Jan2006}})$] varies by 0.2% in the past 15 years
272 (Figure 4c). The interannual variation is more likely due to the sparsity and discontinuity of
273 measurements. It should be noted that the MCF measurements in the period 2008.5–2009.5 are
274 subject to some small biases owing to instrumental issues, however, we believe its influence on
275 our analysis (2001–2015) is small.

276 The small variation of loss rate of MCF demonstrates that the long-term variation of global
277 mean OH distributions is negligible in the past 15 years. Consequently, the decreasing trend of
278 tropospheric CO in North Hemisphere is driven by decreasing CO sources, rather than sinks. For
279 this reason, the default monthly OH fields of GEOS-Chem model (Evans et al. 2005), without
280 interannual variability, are used in this work to constrain the long-term variation of CO emissions.
281 Previous study (Krol et al. 1998) has indicated small discrepancy between derived OH trends based

282 on MCF measurements due to various methods. Because the abundances of tropospheric OH have
283 large regional discrepancies (e.g. Jiang et al. 2015a), it is possible that the actual OH is more
284 variable at regions lacking MCF measurements (e.g. India and southeast Asia). Furthermore, the
285 magnitude and seasonality of the default monthly OH fields could also have uncertainty.
286 Consequently, the magnitude of CO emissions in our analysis may still be affected by biases in
287 OH, although the two-step assimilation system is designed to suppress their influence.

288 **4.2. Long-term variation of global CO emissions**

289 In this work, we performed monthly inversions for the period of 2001–2015, using
290 MOPITT column, profile and lower tropospheric profile (lowest three retrieval levels) data to
291 investigate the influences associated with vertical sensitivity of satellite instrument and model
292 transport error. Figure 5 shows the CO emission trends for 2001–2015 constrained by these
293 different datasets. Because of the combination of various emission categories (i.e. anthropogenic,
294 biomass burning and VOC oxidation) in our methodology, we cannot completely separate the a
295 posteriori emission estimates from different sources. However, the various spatial and temporal
296 distribution of emissions sources (e.g. anthropogenic vs. biomass burning) provides valuable
297 information to distinguish the contribution from each category. In order to further isolate the
298 influences of biomass burning, the months dominated by biomass burning (biomass burning CO >
299 50% of total CO emission in an individual grid) are excluded in the trend analysis for
300 anthropogenic and VOC sources (Figure 5).

301 For anthropogenic sources, all three analyses show significant emission reduction from
302 North America, Europe and China. The emission estimates constrained with MOPITT column and
303 profile data suggest increasing CO emissions from India and Southeast Asia. Conversely, the
304 emission estimate constrained with MOPITT lower tropospheric profile data shows a decreasing

305 trend in this region, and this decreasing trend is also obtained by Yin et al. (2015). In addition to
306 differences in emissions trends expected for the lower tropospheric profile results due to the
307 negative bias drift in MOPITT lower tropospheric retrievals (Deeter et al., 2014), we also expect
308 the full MOPITT profile to provide a stronger constraint in this region where errors in model
309 convection in this region can have a large effect on CO emissions estimates (Jiang et al., 2013).

310 For biomass burning sources, we found a negative trend over boreal North America, boreal
311 Asia and South America, and a positive trend over Indonesia that is primarily due to the strong
312 impacts of El Nino in 2006 and 2015 on biomass burning in this region (e.g. Field et al., 2016).
313 Our results for biogenic VOCs are inconclusive; the emission estimates constrained with MOPITT
314 column and profile data show moderate positive trends in the tropics, and slight negative trends in
315 mid-latitude regions, whereas the emission estimate constrained with MOPITT lower tropospheric
316 profile data shows a negative trend globally.

317 **4.2.1. Regional analysis for anthropogenic emissions**

318 Figure 6a shows the regional variation of anthropogenic emissions from the United States
319 (US). The emission estimates constrained with MOPITT column and profile data match very well
320 with the a priori emissions, whereas the emission estimate constrained with MOPITT lower
321 tropospheric profile data is much higher. All three analyses demonstrate a significant emission
322 reduction over our study period. As shown in Table 1, the total anthropogenic CO emission
323 (constrained with MOPITT profile data) from US is 56.8 Tg in 2015, which is 35% lower than that
324 in 2001 (87.7 Tg). Figure 7a shows the monthly mean CO concentrations from WDCGG stations
325 in US, which demonstrates a similar decreasing trend as our analysis. The initial increase at
326 2001–2002 could be caused by uncertainties in the data. The decreasing trend is consistent with
327 the US Environmental Protection Agency (EPA) Emissions Trends Data

328 (<https://www.epa.gov/air-emissions-inventories/air-pollutant-emissions-trends-data>), and other
329 observation records for western US (Gratz et al. 2015), southeast US (Hidy et al. 2014) and North
330 Atlantic (Kumar et al. 2013).

331 Figure 6b shows the regional variation of anthropogenic emissions from Europe. All three
332 analyses show an underestimation of a priori emissions, suggesting the CO emissions in the EMEP
333 inventory are too low. Our results show that anthropogenic emissions decrease during the period
334 of 2001–2007, but are almost unchanged in the following years, which is consistent with the
335 observations from WDCGG stations (Figure 7b). Recent studies (Hilboll et al. 2013; Schneider et
336 al. 2015) showed that NO₂ over Europe from SCIAMACHY is decreasing in the period of
337 2002–2008, and almost unchanged in the period of 2008–2011. Henschel et al. (2015) indicated
338 that the unchanged NO₂ over Europe could be caused by European emissions that are failing to
339 achieve the expected reduction standards. Because anthropogenic CO and NO₂ share some of the
340 same combustion sources, it is possible that the unchanged CO emission in our analysis is also due
341 to a failure of emission controls.

342 Figure 6c shows the regional variation of anthropogenic emissions from east China. We
343 found Chinese anthropogenic emissions are increasing in the period of 2001–2004. Accompanied
344 with the global economy recession, the total anthropogenic CO emission (constrained with
345 MOPITT profile data) from east China decreases to 175.4 Tg in 2008, which is 15% lower than
346 that in 2004 (205.6 Tg). Our analysis shows a temporary increase of Chinese emissions in 2009
347 (185.9 Tg), followed by continuous decrease. The total Chinese anthropogenic CO emission is
348 159.0 Tg in 2015, which is 7% lower than that in 2001 (170.4 Tg). Using surface in-situ
349 measurements at Hateruma Island, Tohjima et al. (2014) constrained CO emissions from China
350 for the period 1999–2010. They found Chinese CO emission increases from 1999–2004, and

351 decreases since 2005. Using a “bottom-up” approach, recent studies (Zhao et al. 2012; Xia et al.
352 2016) indicated that the growth trend of Chinese CO emissions has been changed since 2005
353 because of improvements in energy efficiency and emission control regulations (e.g. Liu et al.
354 2015). Figure 7c shows the observation records from 2 stations in the East China outflow region,
355 which demonstrate similar variations.

356 Figures 6d-6e show the regional variation of anthropogenic emissions from India and
357 Southeast Asia. The emission estimates constrained with MOPITT column and profile data
358 demonstrate significant positive trend in our study period, whereas the emission estimate
359 constrained with MOPITT lower tropospheric profile data shows a decreasing trend. Schneider et
360 al. (2015) showed that NO₂ over south Asia from SCIAMACHY is increasing in the period of
361 2003–2011. Using OMI NO₂ measurements, recent studies (e.g., Duncan et al. 2016) demonstrated
362 that NO₂ over India has a positive trend during 2005–2015. Observations from Cape Rama (CRI)
363 station (Figure 7d) demonstrate that CO concentration in 2010–2013 is significantly higher than
364 that in 2001–2002. For these reasons, we have more confidence in our results that indicate
365 increasing anthropogenic CO emissions from India and Southeast Asia in the past 15 years. The
366 trend based on the MOPITT lower-tropospheric data is incorrect because of errors in modeled
367 convection for this region, and the negative bias drift in MOPITT lower tropospheric retrievals
368 (Deeter et al., 2014). The total anthropogenic CO emission (constrained with MOPITT profile data)
369 from India and Southeast Asia is 130.4 Tg in 2015, which is 34% higher than that in 2001 (97.5
370 Tg). It should be noted that the inconsistency between our analysis with Yin et al. (2015) suggests
371 more studies are needed for robust conclusion about the variation of anthropogenic CO emissions
372 for this region.

373 Although our inverse analysis (constrained with MOPITT profile data) suggests similar

374 anthropogenic CO emissions from East China in 2008 and 2014, Figure 7c demonstrates that mean
375 CO concentrations over the outflow region of East China are 6 ppb higher in 2014 compared to
376 2008. Our previous study (Jiang et al. 2015c) indicated that anthropogenic emissions from India
377 and southeast Asia have an important influence on pollutant concentrations in the east China
378 outflow region. It is possible that the increase of CO concentration observed by WDCGG stations
379 in this region is caused by the significant increase of anthropogenic CO emission from India and
380 southeast Asia. In the most recent 5 years (2011–2015), our results (constrained with MOPITT
381 profile data) suggested a 20.5 Tg emission reduction from East China, and a 10.1 Tg emission
382 increase from India and Southeast Asia. Assuming a fixed emission growth rate, projected
383 anthropogenic CO emissions from India and Southeast Asia will overtake Chinese emissions in
384 2020, resulting in serious socioeconomic issues on both local and global scales.

385 **4.2.2. Regional analysis for biomass burning emissions**

386 Figure 8 and Table 2 show the regional variation of biomass burning emissions. There are
387 significant decreasing trends in three regions (i.e. boreal North America, boreal Asia, and South
388 America). Our results show high biomass burning emissions from boreal North America (mainly
389 Alaska and western Canada) in 2004 (Figure 8a), which have been reported by previous studies
390 (e.g. Pfister et al. 2005; Turquety et al. 2007), and also from boreal Asia during 2001–2003 (Figure
391 8b) due to significant fire activity in Siberia (e.g., Yurganov et al., 2005, Stroppiana et al., 2010).
392 For South America (Figure 8c), we found higher biomass burning emissions in the periods of
393 2004–2007 and 2010, consistent with fire activity reported in previous studies (e.g. Hooghiemstra
394 et al. 2012; Bloom et al. 2015).

395 Figure 8d shows the regional variation of biomass burning emissions from Africa. The fire
396 activities in Africa demonstrates obvious seasonality: peak in boreal winter for Northern

397 Hemispheric Africa, and in austral winter for Southern Hemispheric Africa. Similar to previous
398 studies (e.g. Chevallier et al. 2009; Tosca et al. 2015), there is no obvious emission trend in Africa
399 in the past 15 years. This is also consistent with the burned area trends described by Andela et al.
400 (2014) which show opposite directions for Northern Africa (decreasing) versus Southern Africa
401 (increasing) and would have cancelling effects in the trend for the continent as a whole.

402 Our results exhibit two strong biomass burning events in Indonesia, 2006 and 2015,
403 individually (Figure 8e). Previous studies (e.g. Logan et al. 2008; Zhang et al. 2011; Worden et al.
404 2013b, 2013c, Field et al., 2016) demonstrate the direct relationship between strong Indonesian
405 fires and El Niño. Recent studies (Huang et al. 2014; Inness et al. 2015) confirm low biomass
406 burning activities in Indonesia in the period of 2007–2012. CO emissions from the Indonesian
407 fires associated with the 2015 El Niño were 92 Tg (for October, 2015, as constrained with MOPITT
408 profile data), and were about three times higher than the October 2006 El Niño driven fire
409 emissions (32 Tg). Not including the 2015 El Niño driven fires, our analysis indicates a negative
410 trend of global biomass burning emissions in the past 15 years, as shown in Figure 11f.

411 **4.3. Changes in tropospheric CO during 2001–2015**

412 In this section, we evaluate our inversion results using independent long-term surface in-
413 situ measurements from WDCGG stations. Figure 9a shows the annual trend of surface CO
414 concentration for 2001 – 2015 from WDCGG sites, and from model simulations driven with a
415 priori emissions. Most WDCGG sites exhibit negative trends in the past 15 years, confirming the
416 decreasing trend of global tropospheric CO, which is consistent with satellite observations (e.g.
417 Warner et al. 2013; Worden et al. 2013). There are also stations with positive trends, for example,
418 Tae-ahn Peninsula (TAP, Korea), Ascension Island (ASC, equatorial Atlantic Ocean), Cape Rama
419 (CRI, India), Bukit Koto Tabang (BKT, Indonesia) and Cape Grim (CGO, Australia). Globally,

420 the a priori model simulation is in reasonable agreement with WDCGG measurements: both show
421 negative trends in middle/high latitude, and positive trends in some tropical regions. However,
422 there are noticeable discrepancies, for example, the surface observation from Yonagunijima
423 (YON, east China sea) shows a negative trend in our study period, suggesting decreasing trend
424 from Chinese CO emission, whereas the a priori simulation demonstrates significant positive trend.

425 Figure 9b-9d show the model simulations driven with a posteriori emissions. The a
426 posteriori emissions constrained with MOPITT lower tropospheric profile data (Figure 9d) results
427 in unrealistic large CO reduction, which could be caused by the negative bias drift of MOPITT
428 retrievals at lower troposphere (Deeter et al. 2014) and the influence from possible variability in
429 model convective transport. The a posteriori emissions constrained with MOPITT column and
430 profile data have similar comparisons. For example, both of them suggest a negative trend over
431 east China, consistent with observations from YON, and positive trend over northeast Asia,
432 consistent with observations from TAP.

433 In order to better compare the discrepancy between model simulation and surface
434 observations, Figure 9e-9g show the improvement due to a posteriori emissions, derived by
435 $\text{abs}(\text{Trend}_{\text{aposteriori}} - \text{Trend}_{\text{WDCGG}}) - \text{abs}(\text{Trend}_{\text{apriori}} - \text{Trend}_{\text{WDCGG}})$. Blue (red) means the a posteriori
436 emissions improves (degrades) the agreement with WDCGG measurements compared to the
437 simulated surface CO using a priori emissions, while white indicates no change from the prior. As
438 shown in Figure 9f, the CO emissions constrained with MOPITT profile data improved the model
439 simulation for most WDCGG sites in the Northern Hemisphere. The a posteriori emissions
440 constrained with MOPITT column data are worse, particularly over Europe, while CO emissions
441 constrained with MOPITT profile data over Europe give improved comparisons to WDCGG
442 surface CO measurements. Worden et al. (2010) demonstrated that the degrees of freedom for

443 signal (DFS) of MOPITT multi-spectral profile retrievals (TIR+NIR) is about 1.5-2.0 over land,
444 which is reduced to about 1 DFS when converted to a total column. This reduction in vertical
445 information in MOPITT column data can affect the the reliability of inverse analysis results (Jiang
446 et al., 2015a). It should be noticed that the vertical correlation in model simulation is not considered
447 in our assimilation, which could be another possible reason for this discrepancy.

448 Figure 10a-10d show the long-term mean value of surface CO concentration for 2001 –
449 2015 from WDCGG sites, and model simulations driven with a priori and a posteriori emissions.
450 All simulations provide similar results for long-term mean value. Figure 10e-10g show the
451 improvement due to a posteriori emissions, derived by $\text{abs}(\text{CO}_{\text{aposteriori}} - \text{CO}_{\text{WDCGG}}) - \text{abs}(\text{CO}_{\text{apriori}} - \text{CO}_{\text{WDCGG}})$.
452 Figure 10f demonstrates that CO emissions constrained with MOPITT profile data
453 improved the model simulation in about half of the sites in the Northern Hemisphere, whereas the
454 a posteriori emissions constrained with MOPITT column data are worse (Figure 10e). Evaluating
455 modeled tracer concentrations using surface in-situ measurements is more challenging than
456 evaluating long-term trends. Important sources of uncertainty include the representation error (e.g.
457 Chang et al. 2015; Kharol et al. 2015) and vertical mixing of boundary layer (e.g. Castellanos et
458 al. 2011; Cuchiara et al. 2014).

459 As our a posteriori simulation, particularly using emissions constrained with MOPITT
460 profile data, results in significant improvement in the long-term trend, and moderate improvement
461 in the mean value, we believe these a posteriori estimates provide a better description for the long-
462 term variation of global CO emissions. A remaining question is to explore how changes in
463 meteorological conditions affect the long-term variation. By fixing CO emissions to 2001 levels,
464 Figure 11a-11b show the long-term trend of modeled surface and column CO during 2001–2015,
465 due only to changes in meteorological conditions. At the surface level (Figure 11a), we found

466 changes in meteorology result in a moderate positive trend in the Northern Hemisphere, particularly,
467 over northeast Asia, consistent with observation records from the TAP station; and significant
468 positive trend in tropics, consistent with observation record from ASC station. On the other hand,
469 the influence of meteorological conditions on column CO (Figure 11b) is much weaker. The
470 discrepancy between surface and column CO suggests the possible contribution from variable
471 convective transport. It should be noted that our analysis for the contributions from meteorological
472 conditions could be affected by the discrepancies among various versions of the meteorological
473 fields (i.e. GEOS-4, GEOS-5 and GEOS-FP), and the lack of consistency in model physics of
474 GEOS-5 (e.g. the transition from GEOS 5.1.0 to GEOS 5.2.0 in late 2008).

475 Figure 11c-11h show the variation of global tropospheric CO due to changes in emissions.
476 Yin et al. (2015) indicated that the negative trend of tropospheric CO in the Northern Hemisphere
477 is driven by decreasing anthropogenic emissions from North America, Europe and China. Along
478 with reductions in anthropogenic emissions (Figure 11c, 11d), we found the decrease of biomass
479 burning emissions from boreal North America and boreal Asia (Figure 11e, 11f) to be an important
480 factor for this negative trend. In contrast to the emission reduction from North America, Europe
481 and China, we found increasing anthropogenic emissions from India and southeast Asia, which
482 result in a pronounced positive trend of tropospheric CO, while Yin et al. (2015) obtain a negative
483 trend for this region. This discrepancy requires further study and we will need to test the relative
484 importance of the primary differences in our methods, i.e., models and inversion approaches,
485 climatological OH (this study) vs. assimilated surface measurements of CH₄ and MCF to update
486 OH (Yin et al.) and the use of MOPITT profile vs. column CO retrievals (Yin et al., assimilate
487 only column CO).

488 **5. Summary**

489 The objective of this work is to investigate the dominant reasons for the observed variation
490 of global tropospheric CO over the past 15 years. We provide an update for this critical question
491 and also an updated CO emission estimates for model studies. In particular, we use surface
492 measurements of MCF to evaluate changes in the sinks of atmospheric CO, and constrain the
493 sources using MOPITT CO measurements to explain the observed decrease in CO concentrations.
494 Our two-step approach for estimating global CO emissions mitigates the effects of model errors
495 due to inaccuracies in representing transport and chemistry processes, as well as measurement bias
496 error.

497 Using the same approach as Montzka et al. (2011), we assess the variation of tropospheric
498 OH (the primary CO sink) in the period of 2001–2015 using MCF measurements from WDCGG
499 stations. Our result demonstrates negligible variation of global tropospheric OH in the past 15
500 years, and consequently we suggest that the global sink of CO due to chemical loss through OH
501 has not likely changed during this time period. We therefore expect the decreasing trend of
502 tropospheric CO in North hemisphere (e.g. Warner et al. 2013; Worden et al. 2013; Gratz et al.
503 2015) to be driven by decreasing CO sources. Total anthropogenic CO emissions from the US
504 were 56.8 Tg in 2015, which are 35% lower than emissions in 2001 (87.7 Tg). Total anthropogenic
505 CO emissions from East China were 159.0 Tg in 2015, which are 7% lower than 2001 emissions
506 (170.4 Tg) and 23% lower than 2004 emissions (205.6 Tg). This pronounced decrease of emissions
507 from US and China is an indication of progress for fuel efficiency and emission control regulations.
508 Conversely, our results demonstrate that anthropogenic emissions from Europe decreased from
509 2001 to 2007 but are almost unchanged during 2008–2015. We also found a significant increase
510 of anthropogenic emissions for India and Southeast Asia. The total anthropogenic CO emission
511 from India and southeast Asia is 130.4 Tg in 2015, which is 34% higher than that in 2001 (97.5

512 Tg). Assuming the same emission growth rate as 2011–2015, we expect that anthropogenic CO
513 emissions from India and Southeast Asia will be larger than Chinese emissions by 2020.

514 In a recent study, Yin et al. (2015) indicated that the decreasing tropospheric CO in the
515 Northern Hemisphere is caused by the decrease of anthropogenic emissions from North America,
516 Europe and China. We find that a decrease of biomass burning emissions from boreal North
517 America and boreal Asia is also an important contributor for the negative trend. Globally, our
518 analysis indicates a negative trend of biomass burning emissions in the past 15 years, except in
519 Indonesia due to the strong biomass burning event in 2015 associated with El Niño. Our results
520 demonstrate a significant decrease of biomass burning emissions from South America, which
521 could be associated with the reduction of deforestation in Brazil (Reddington et al. 2015), and the
522 predominant change from El Nino to La Nina in our study period (Andela et al. 2014). For Africa,
523 there is no obvious CO emission trend in the past 15 years, consistent with previous results
524 (Chevallier et al. 2009; Tosca et al. 2015; Andela et al., 2014). Our results are inconclusive in
525 characterizing the CO sources from oxidation of biogenic VOCs. More efforts are needed in the
526 future to better understand the mechanism for tropical CO emissions.

527 Our analysis highlights the importance of space-based instruments for monitoring changes
528 in global pollutant emissions. Our results demonstrate successful emission controls in US and
529 China over the past 15 years, and suggest that emission controls in Europe may need re-evaluation.
530 We also recommend more efforts in the future to better understand the regional and global effects
531 of increasing pollutant emissions from India and Southeast Asia.

532

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541 this study are publicly available at <http://reverb.echo.nasa.gov> and at
542 <https://eosweb.larc.nasa.gov/datapool>.

543

544 **Data availability**

545 The MOPITT data is available at <ftp://15eil01.larc.nasa.gov/MOPITT/MOP02J.006>. The MCF and
546 CO measurements from WDCGG is available at <http://ds.data.jma.go.jp/gmd/wdcgg/>.

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832

833 **Tables and Figures**

834 **Table 1.** Annual total anthropogenic CO emission in different regions, from 2001 to 2015,
835 constrained with MOPITT column, profile and lower tropospheric data. The region definition is
836 shown in Figure 2e.

837
838 **Table 2.** Annual total biomass burning CO emission in different regions, from 2001 to 2015,
839 constrained with MOPITT column, profile and lower tropospheric data. The region definition is
840 shown in Figure 2f.

841
842 **Figure 1.** Difference between MOPITT CO retrievals and HIPPO aircraft measurements. The
843 aircraft measurements are smoothed with MOPITT averaging kernels. The black solid line shows
844 the 4-order polynomial curve fitting, which is used to correct MOPITT data in this work.

845
846 **Figure 2.** (a-d) Mean a priori CO emissions from combustion sources and the oxidation of biogenic
847 VOCs and CH₄ from 2001 to 2015. The unit is 10¹² molec/cm²/sec. (e-f) Region definitions for (e)
848 anthropogenic and (f) biomass burning sources.

849
850 **Figure 3.** Schematic diagram for methodology of the assimilation system. Sequential Kalman
851 Filter was run from March 1 2000 to December 31 2015 to produce the optimized initial conditions
852 (monthly) and boundary conditions (hourly). Monthly 4-DVAR inversions were performed with
853 the optimized initial conditions. Only MOPITT data over land (white grids) were assimilated in
854 the 4-DVAR inversions, while the CO abundances over ocean (red grids) were defined as
855 boundaries and rewritten using the optimized hourly CO fields from Kalman Filter. The Kalman
856 filter run is completely independent of the 4-DVAR inversions. There is no feedback of the 4-
857 DVAR inversion results to the boundary conditions.

858
859 **Figure 4.** (a) Locations of WDCGG sites with MCF measurements. (b) Global mean MCF
860 concentration. (c) Exponential loss rate of MCF, derived from 12-month apart of monthly means
861 [e.g., $\ln(\text{MCF}_{\text{Jan2007}}/\text{MCF}_{\text{Jan2006}})$]. The black solid line shows the 12-month mean value.

862
863 **Figure 5.** CO emission trends for 2001 – 2015, constrained with MOPITT column, profile and
864 lower tropospheric profile data. The months dominated by biomass burning emissions are excluded
865 from the trend calculation for anthropogenic and biogenic VOC emissions.

866
867 **Figure 6.** 12-month mean value of anthropogenic CO emissions (with unit Tg/month) for 2001 –
868 2015: a priori emission (green) and a posteriori emissions constrained with MOPITT column data
869 (black), MOPITT profile data (blue) and MOPITT lower tropospheric profile data (red). The green
870 dash line shows the monthly a priori anthropogenic CO emissions. The region definition is shown
871 in Figure 2e.

872
873 **Figure 7.** Monthly mean CO concentrations (green) and 12-month mean value (black) from
874 WDCGG stations for 2001 – 2015. (a) 15-station average in United States (b) 20-station average
875 in Europe (c) 2-station (YON and JMA) average in east China outflow (4) Cape Rama (CRI) in
876 India.

877
878 **Figure 8.** Monthly biomass burning CO emissions (with unit Tg/month) for 2001 – 2015: a priori
879 emission (green) and a posteriori emissions constrained with MOPITT column data (black),

880 MOPITT profile data (blue) and MOPITT lower tropospheric profile data (red). The region
881 definition is shown in Figure 2f.

882

883 **Figure 9.** Panels (a-d): long-term trend (annual) of surface CO concentration for 2001 – 2015 from
884 WDCGG sites, and model simulations driven with a priori and a posteriori emissions. Panels (e-
885 g): effect of a posteriori emissions, derived by $\text{abs}(\text{Trend}_{\text{aposteriori}} - \text{Trend}_{\text{WDCGG}}) - \text{abs}(\text{Trend}_{\text{apriori}} -$
886 $\text{Trend}_{\text{WDCGG}})$; blue (red) means the a posteriori emissions improves (degrades) the agreement with
887 WDCGG measurements compared to the a priori emissions, while white indicates no change from
888 the priori. Only stations with more than 10 year observations (the time range between the first and
889 last observations) during 2001-2015 are included.

890

891 **Figure 10.** Panels (a-d): long-term mean value of surface CO concentration for 2001 – 2015 from
892 WDCGG sites, and model simulations driven with a priori and a posteriori emissions. Panels (e-
893 g): effect of a posteriori emissions, derived by $\text{abs}(\text{CO}_{\text{aposteriori}} - \text{CO}_{\text{WDCGG}}) - \text{abs}(\text{CO}_{\text{apriori}} -$
894 $\text{CO}_{\text{WDCGG}})$; blue (red) means the a posteriori emissions improves (degrades) the agreement with
895 WDCGG measurements compared to the a priori emissions, while white indicates no change from
896 the priori. Only stations with more than 10 year observations (the time range between the first and
897 last observations) during 2001-2015 are included.

898

899 **Figure 11.** Long-term trend (annual) of modeled surface and column CO for 2001 – 2015 with (a-
900 b) all emission sources are fixed at 2001 level. (c-d) variable anthropogenic emissions; (e-f)
901 variable biomass burning emissions; (g-h) variable biogenic VOCs emissions; The variable
902 emissions are constrained with MOPITT profile data.

Years	MOPITT Column (Tg/year)					MOPITT Profile (Tg/year)					MOPITT Lower Profile (Tg/year)				
	United States	Europe	E China	India/SE Asia	Gobal Total	United States	Europe	E China	India/SE Asia	Gobal Total	United States	Europe	E China	India/SE Asia	Gobal Total
2001	87.8	71.6	165.7	102.2	526.5	87.7	77.3	170.4	97.5	522.9	112.9	92.0	215.7	136.1	677.5
2002	84.1	65.9	171.3	93.3	508.9	82.3	77.1	176.1	81.1	504.2	110.1	89.8	221.9	119.8	658.2
2003	80.8	65.3	178.8	95.4	516.2	80.4	74.5	189.2	88.5	523.2	103.6	87.0	218.1	121.9	645.1
2004	77.4	65.5	178.5	105.0	524.5	91.1	83.8	205.6	113.8	596.6	103.0	89.5	222.8	124.6	652.7
2005	72.7	64.6	178.6	104.3	518.0	82.6	79.4	200.6	116.8	581.5	92.7	84.5	215.3	126.2	630.6
2006	74.6	61.5	172.7	98.1	500.7	85.6	74.5	197.7	111.0	567.6	93.9	78.9	205.1	118.1	603.1
2007	73.7	56.5	177.1	105.8	511.4	84.0	67.9	200.9	113.2	568.2	90.9	71.8	208.1	119.4	599.6
2008	67.1	55.5	150.2	102.1	473.5	77.2	65.4	175.4	110.2	530.8	83.9	69.6	175.0	111.1	548.4
2009	66.0	54.8	162.0	105.7	486.0	74.5	65.1	185.9	118.3	544.1	78.0	67.0	184.5	115.1	547.4
2010	59.2	54.5	159.3	100.5	470.6	67.8	65.3	183.1	112.8	529.6	73.5	69.0	185.5	106.7	539.1
2011	53.5	52.9	153.2	107.4	461.9	60.5	63.1	179.5	120.3	522.1	63.0	65.6	175.7	107.5	511.0
2012	54.9	58.3	167.0	113.8	496.2	58.2	65.2	184.2	128.8	540.8	62.5	68.9	187.0	115.7	540.7
2013	54.3	62.6	160.4	120.9	503.0	56.7	68.8	171.2	131.3	532.2	61.8	73.8	176.8	114.6	531.5
2014	55.0	60.1	157.1	121.3	499.4	56.8	63.9	175.6	133.4	533.4	60.9	68.5	174.4	115.5	523.5
2015	55.1	61.4	145.1	115.6	484.7	56.8	66.9	159.0	130.4	520.2	59.5	69.3	160.5	109.2	504.3

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Table 1. Annual total anthropogenic CO emission in different regions, from 2001 to 2015, constrained with MOPITT column, profile and lower tropospheric data. The region definition is shown in Figure 2e.

Years	MOPITT Column (Tg/year)						MOPITT Profile (Tg/year)						MOPITT Lower Profile (Tg/year)					
	Boreal North America	Boreal Asia	South America	Africa	SE Asia	Gobal Total	Boreal North America	Boreal Asia	South America	Africa	SE Asia	Gobal Total	Boreal North America	Boreal Asia	South America	Africa	SE Asia	Gobal Total
2001	1.2	24.8	25.5	160.8	14.0	272.4	1.2	26.5	28.4	153.5	9.5	267.7	1.3	28.4	31.9	222.0	21.4	369.6
2002	10.1	23.7	38.4	164.5	44.9	331.5	12.6	50.6	40.7	171.3	36.1	369.2	17.0	65.9	42.1	222.9	67.9	488.3
2003	8.9	47.7	39.6	162.7	17.2	324.2	11.1	65.4	41.4	174.9	14.1	356.2	13.6	76.9	44.0	220.9	24.8	445.2
2004	12.7	4.7	55.3	136.9	39.5	292.3	26.9	5.9	58.4	158.6	44.4	350.1	46.3	6.8	55.7	167.1	46.7	381.1
2005	11.2	7.7	61.8	167.4	29.4	318.4	15.5	9.8	67.3	193.3	31.1	364.9	19.1	11.3	68.1	203.1	34.8	387.3
2006	4.5	11.5	32.9	134.0	51.0	278.0	5.4	14.3	36.3	158.1	68.4	337.6	5.8	15.4	32.9	164.6	77.9	354.9
2007	5.1	10.2	72.9	154.9	19.3	313.4	5.9	12.8	84.6	174.3	23.9	365.8	6.6	13.8	78.4	182.1	23.7	369.3
2008	3.6	19.5	26.9	151.9	10.9	245.9	4.0	25.3	31.1	176.2	13.5	288.4	4.9	26.2	31.3	174.8	13.4	290.4
2009	5.4	11.1	16.4	132.8	36.7	254.8	5.7	12.8	16.9	142.2	37.3	274.1	6.1	13.2	16.8	139.5	38.3	274.2
2010	7.5	13.0	61.8	150.5	15.1	281.9	10.4	16.8	72.4	168.1	20.2	329.1	11.4	19.5	69.1	167.3	20.4	329.6
2011	4.1	13.3	15.1	145.7	11.5	240.3	5.1	15.8	16.6	153.0	15.4	261.5	5.6	16.1	16.3	145.4	13.4	250.8
2012	4.8	15.3	24.9	143.7	13.8	256.1	5.1	16.6	25.5	151.9	16.9	275.6	5.8	17.0	26.5	154.4	16.9	277.1
2013	4.5	11.7	12.4	172.0	13.1	257.6	6.0	12.9	13.9	170.8	22.7	270.1	6.7	13.8	16.0	187.0	14.6	284.4
2014	6.8	13.9	17.9	167.0	21.4	275.4	8.1	15.4	17.3	161.1	25.8	275.6	7.4	15.3	18.6	181.3	19.8	289.6
2015	7.0	14.3	29.3	193.6	66.4	357.7	7.4	15.3	28.0	188.9	162.0	448.1	6.8	14.3	28.1	204.0	87.1	386.5

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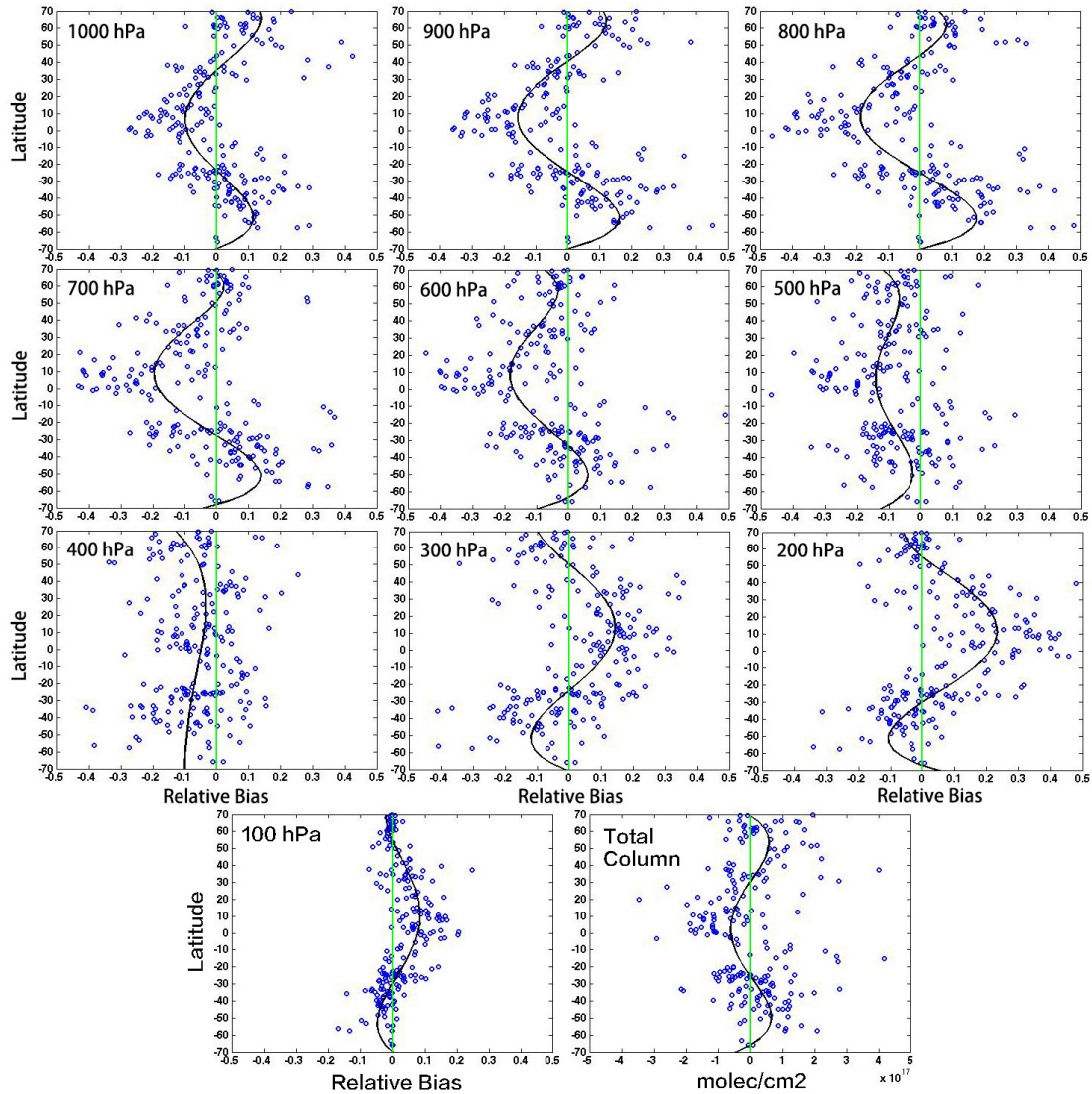
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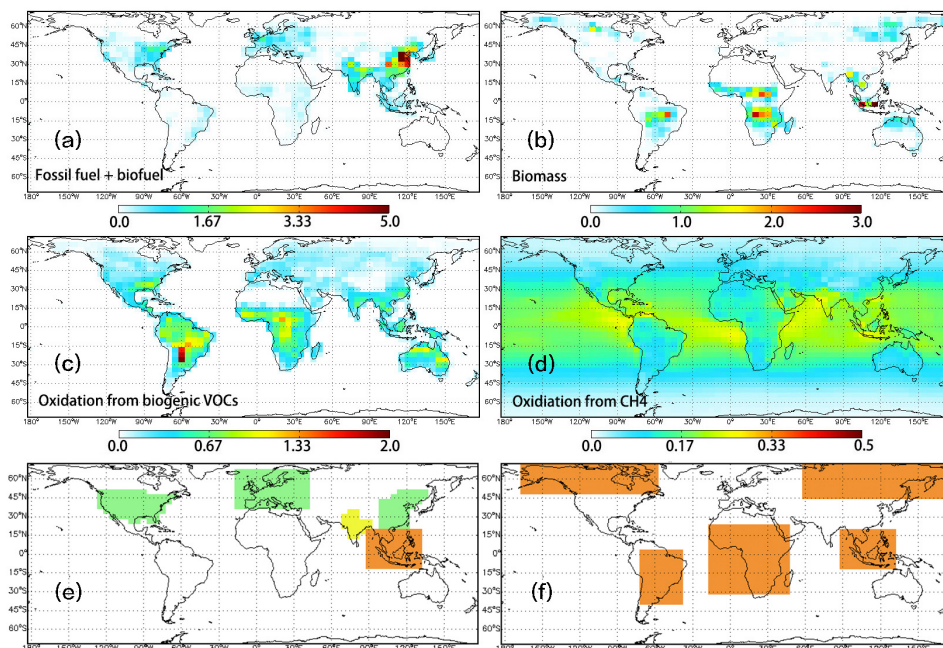
Table 2. Annual total biomass burning CO emission in different regions, from 2001 to 2015, constrained with MOPITT column, profile and lower tropospheric data. The region definition is shown in Figure 2f.

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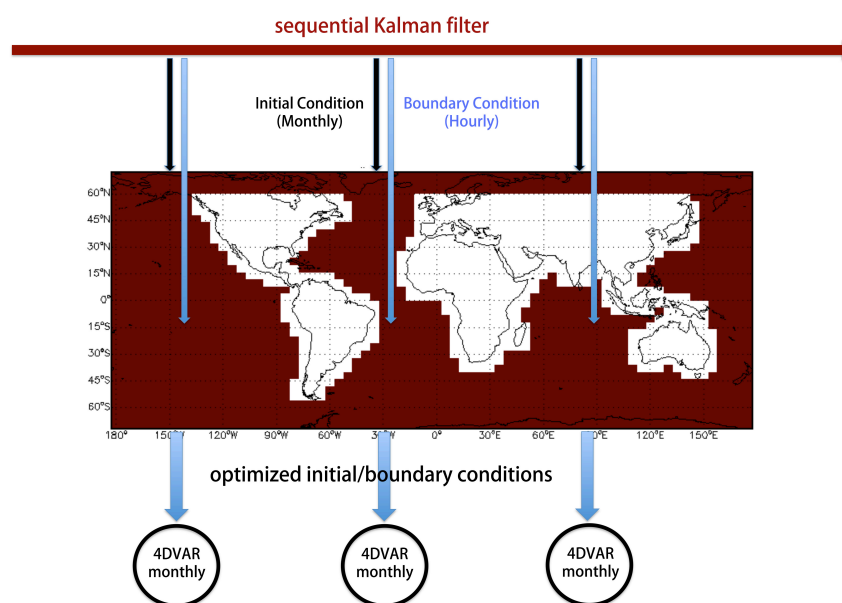


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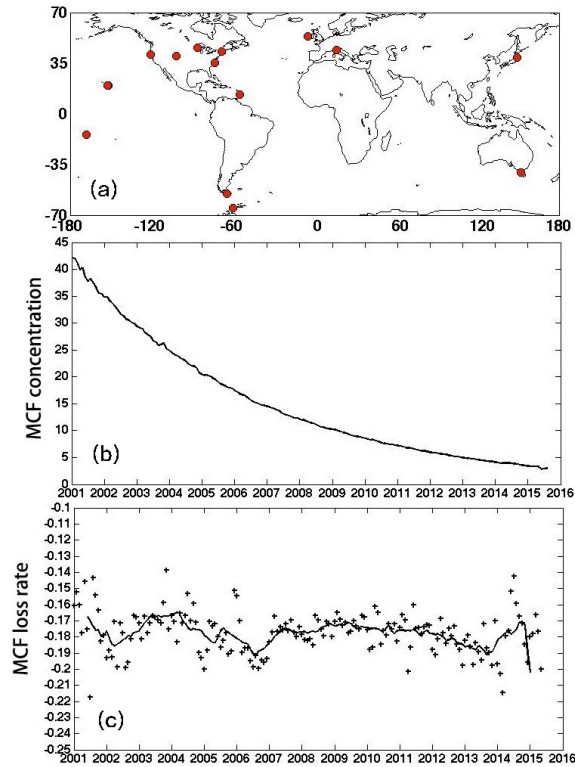
Figure 1. Difference between MOPITT CO retrievals and HIPPO aircraft measurements. The aircraft measurements are smoothed with MOPITT averaging kernels. The black solid line shows the 4-order polynomial curve fitting, which is used to correct MOPITT data in this work.



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 939 **Figure 2.** (a-d) Mean a priori CO emissions from combustion sources and the oxidation of
 940 biogenic VOCs and CH₄ from 2001 to 2015. The unit is 10¹² molec/cm²/sec. (e-f) Region
 941 definitions for (e) anthropogenic and (f) biomass burning sources.

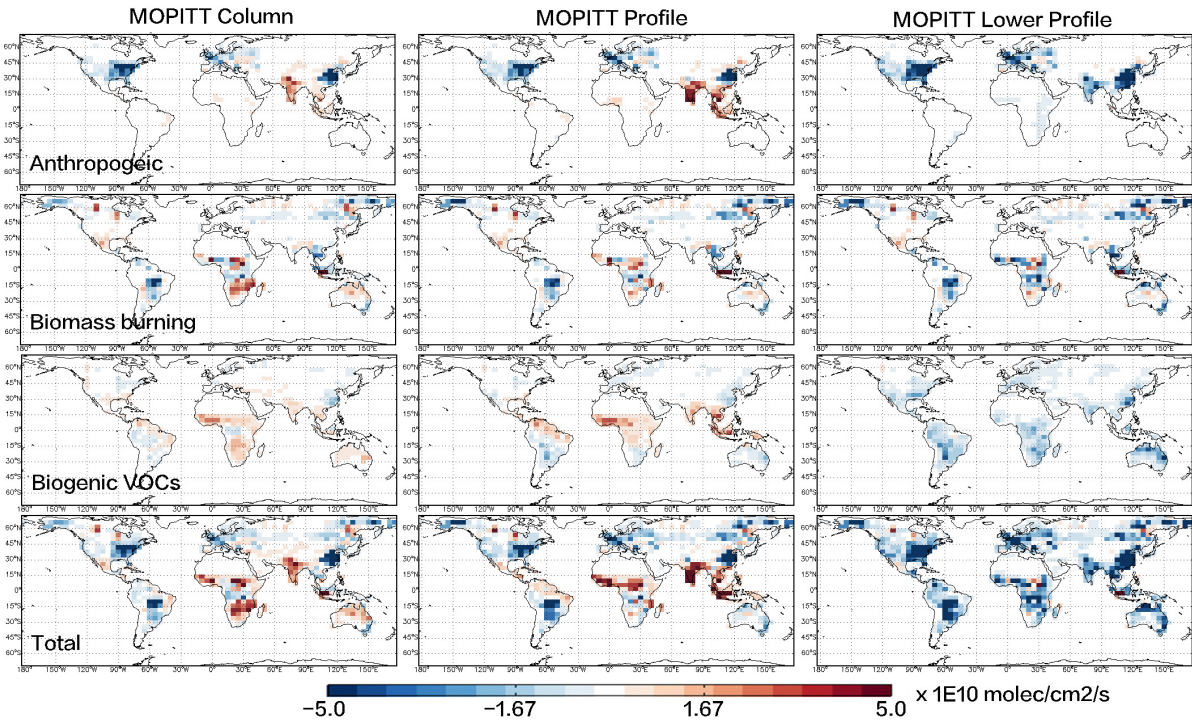


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 943 **Figure 3.** Schematic diagram for methodology of the assimilation system. Sequential Kalman
 944 Filter was run from March 1 2000 to December 31 2015 to produce the optimized initial
 945 conditions (monthly) and boundary conditions (hourly). Monthly 4-DVAR inversions were
 946 performed with the optimized initial conditions. Only MOPITT data over land (white grids)
 947 were assimilated in the 4-DVAR inversions, while the CO abundances over ocean (red grids)
 948 were defined as boundaries and rewritten using the optimized hourly CO fields from Kalman
 949 Filter. The Kalman filter run is completely independent of the 4-DVAR inversions. There is no
 950 feedback of the 4-DVAR inversion results to the boundary conditions.



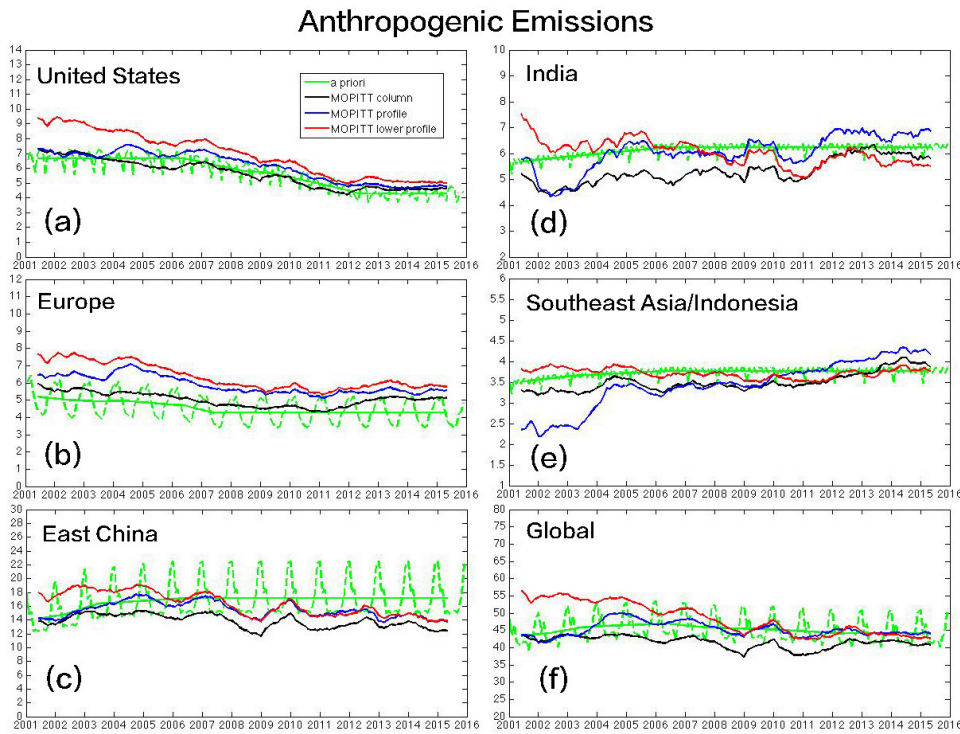
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Figure 4. (a) Locations of WDCGG sites with MCF measurements. (b) Global mean MCF concentration. (c) Exponential loss rate of MCF, derived from 12-month apart of monthly means [e.g., $\ln(\text{MCF}_{\text{Jan2007}}/\text{MCF}_{\text{Jan2006}})$]. The black solid line shows the 12-month mean value.



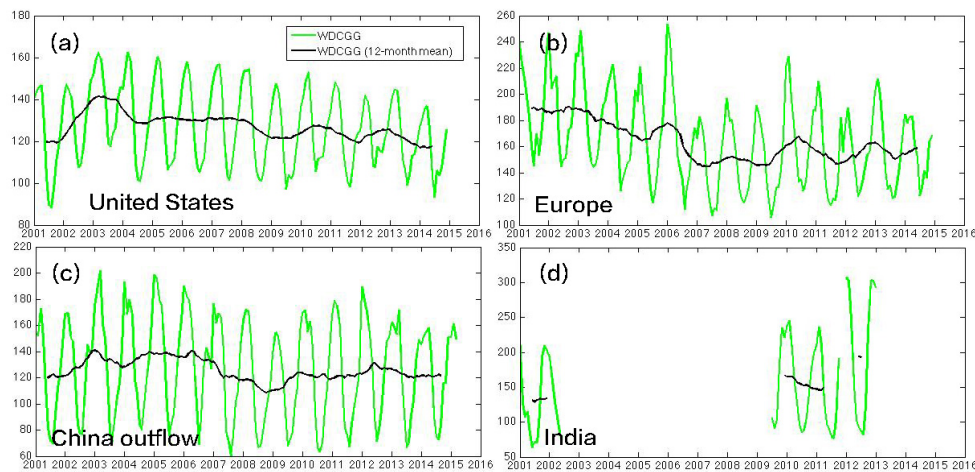
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Figure 5. CO emission trends for 2001 – 2015, constrained with MOPITT column, profile and lower tropospheric profile data. The months dominated by biomass burning emissions are excluded from the trend calculation for anthropogenic and biogenic VOC emissions.



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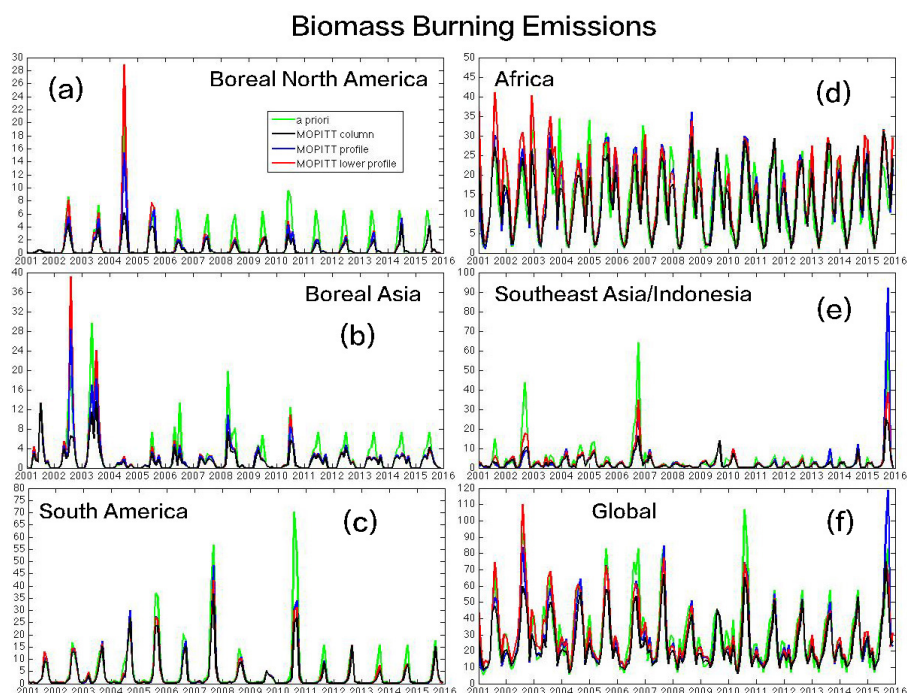
Figure 6. 12-month mean value of anthropogenic CO emissions (with unit Tg/month) for 2001 – 2015: a priori emission (green) and a posteriori emissions constrained with MOPITT column data (black), MOPITT profile data (blue) and MOPITT lower tropospheric profile data (red). The green dash line shows the monthly a priori anthropogenic CO emissions. The region definition is shown in Figure 2e.



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Figure 7. Monthly mean CO concentrations (green) and 12-month mean value (black) from WDCGG stations for 2001 – 2015. (a) 15-station average in United States (b) 20-station average in Europe (c) 2-station (YON and JMA) average in east China outflow (4) Cape Rama (CRI) in India.

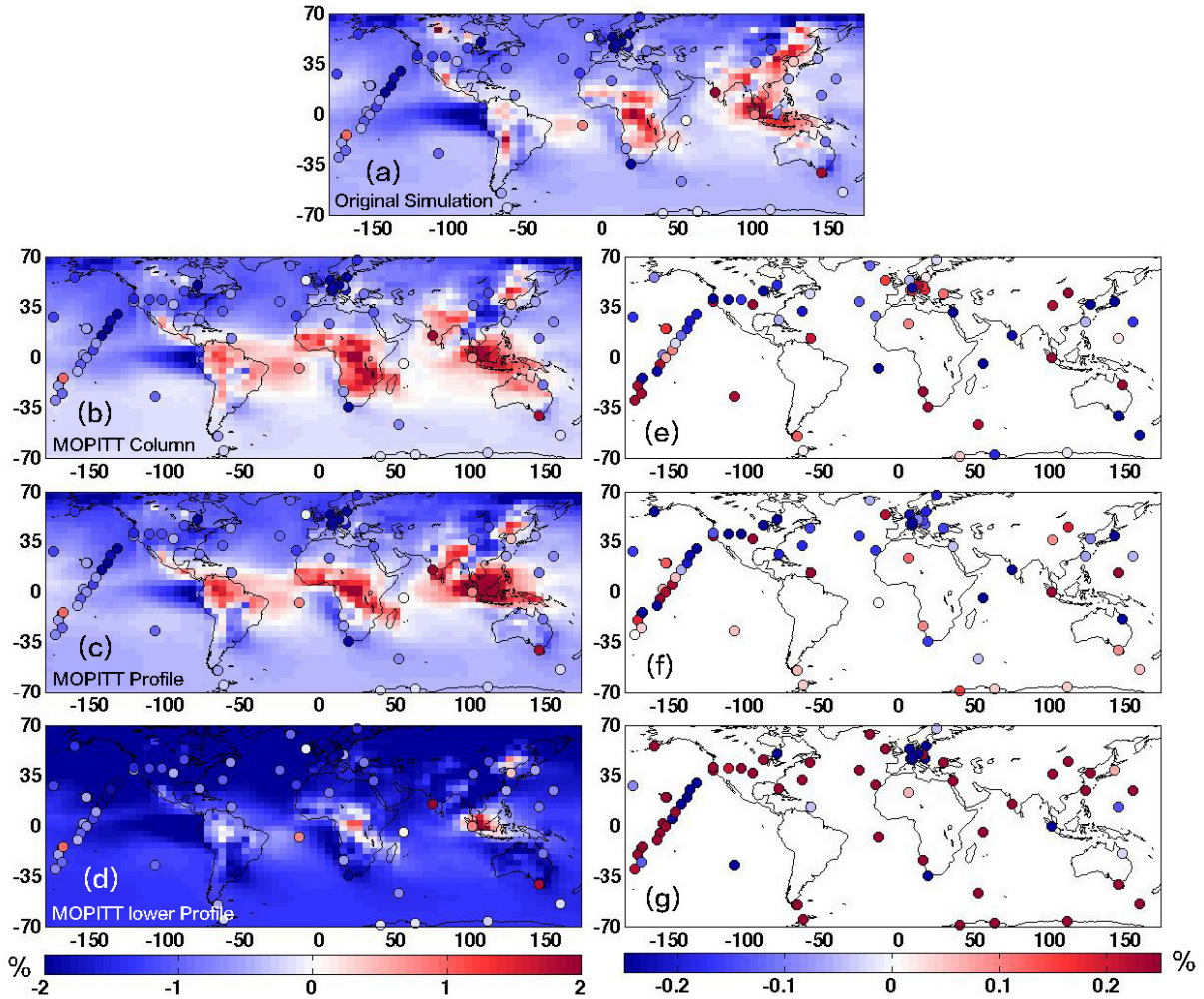
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989 **Figure 8.** Monthly biomass burning CO emissions (with unit Tg/month) for 2001 – 2015: a
990 priori emission (green) and a posteriori emissions constrained with MOPITT column data
991 (black), MOPITT profile data (blue) and MOPITT lower tropospheric profile data (red). The
992 region definition is shown in Figure 2f.

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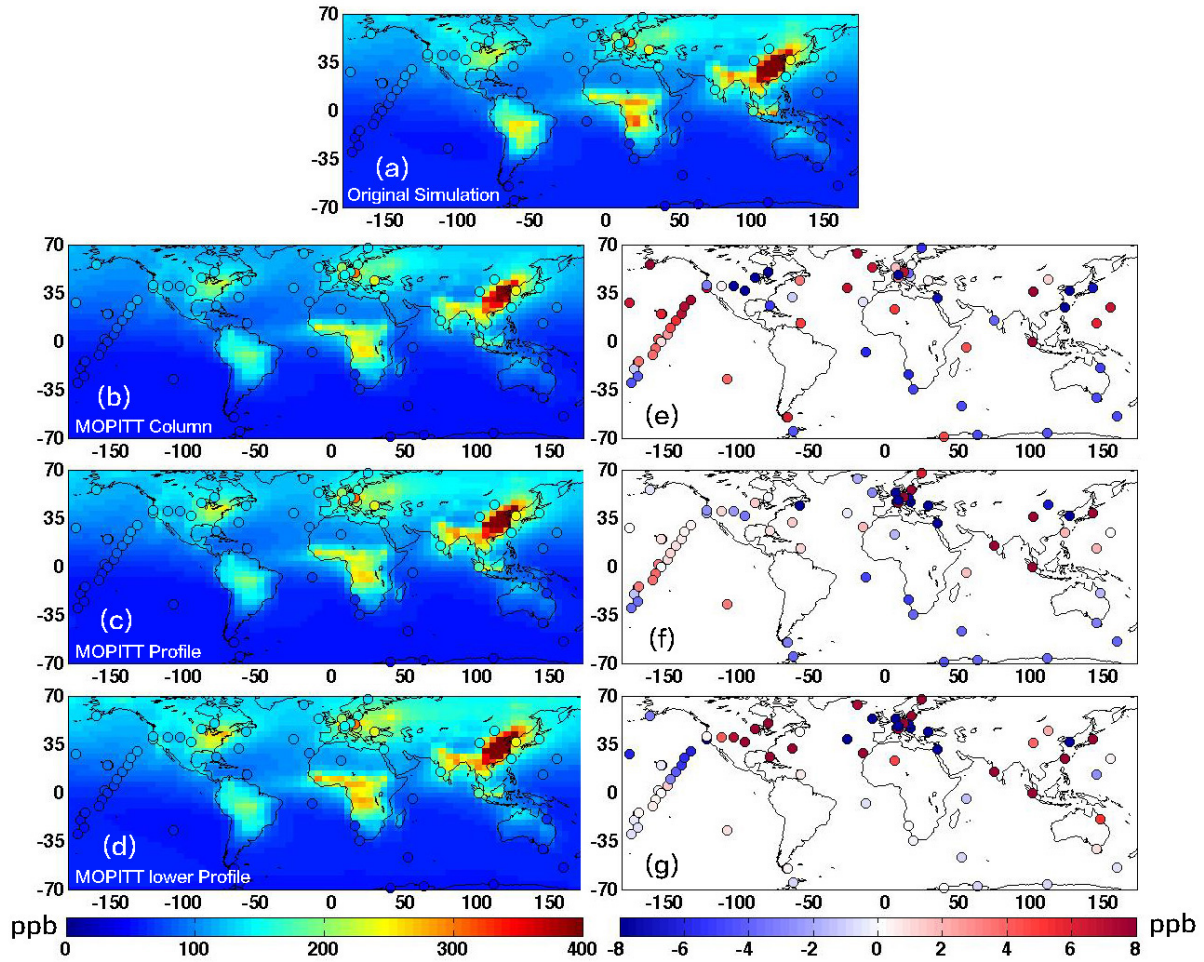


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1010 **Figure 9.** Panels (a-d): long-term trend (annual) of surface CO concentration for 2001 – 2015
1011 from WDCGG sites, and model simulations driven with a priori and a posteriori emissions.
1012 Panels (e-g): effect of a posteriori emissions, derived by $\text{abs}(\text{Trend}_{\text{posteriori}} - \text{Trend}_{\text{WDCGG}}) -$
1013 $\text{abs}(\text{Trend}_{\text{apriori}} - \text{Trend}_{\text{WDCGG}})$; blue (red) means the a posteriori emissions improves (degrades)
1014 the agreement with WDCGG measurements compared to the a priori emissions, while white
1015 indicates no change from the priori. Only stations with more than 10 year observations (the
1016 time range between the first and last observations) during 2001-2015 are included.

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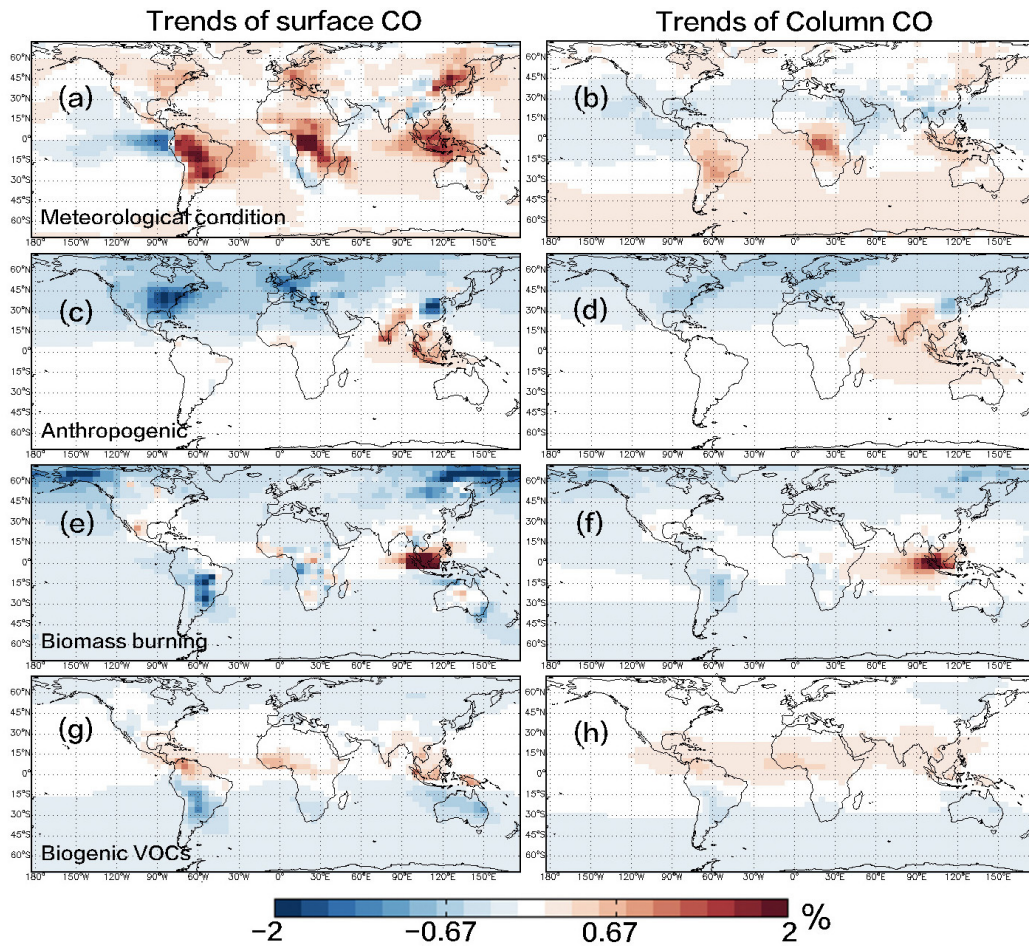


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1030 **Figure 10.** Panels (a-d): long-term mean value of surface CO concentration for 2001 – 2015
1031 from WDCGG sites, and model simulations driven with a priori and a posteriori emissions.
1032 Panels (e-g): effect of a posteriori emissions, derived by $\text{abs}(\text{CO}_{\text{aposteriori}} - \text{CO}_{\text{WDCGG}}) -$
1033 $\text{abs}(\text{CO}_{\text{apriori}} - \text{CO}_{\text{WDCGG}})$; blue (red) means the a posteriori emissions improves (degrades) the
1034 agreement with WDCGG measurements compared to the a priori emissions, while white
1035 indicates no change from the priori. Only stations with more than 10 year observations (the
1036 time range between the first and last observations) during 2001-2015 are included.

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Figure 11. Long-term trend (annual) of modeled surface and column CO for 2001 – 2015 with (a-b) all emission sources are fixed at 2001 level. (c-d) variable anthropogenic emissions; (e-f) variable biomass burning emissions; (g-h) variable biogenic VOCs emissions; The variable emissions are constrained with MOPITT profile data.