

We thank the reviewer for reviewing the revised manuscript and providing his/her valuable comments. We appreciate his/her suggestions and made corresponding changes to the manuscript. Below are our point-by-point responses. The reviewer's comments are in black and our responses are in blue.

Report #1

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Anonymous Referee #5

The authors have addressed most of the issues raised in my initial review. On the other hand, I still feel a couple of aspects that remained un-answered in their revision. I made the main points concerning whether the version of CAM5 model used in this study took care of the aerosol-meteorology interactions? Specifically, the aerosol impacts on meteorological fields could be significant, which might further affect the aerosol pollution condition in the lower troposphere. Also, the aerosol-cloud interaction might modify temperature and moisture profiles and precipitation (Wang et al., *Atmos. Chem. Phys.* 11, 12421, 2011), leading to potential feedback on the atmospheric chemistry. The aerosol radiative effects induced by black carbon (BC) or other aerosol components could stabilize boundary layer and thus reduce the height of boundary layer, tending to exacerbate aerosol pollution near the ground (Wang et al., *Atmos. Environ.* 81, 713, 2013). A particular important aspect is the aging of BC, which considerably enhances light absorption (Khalizov et al., *J. Phys. Chem.* 113, 1066, 2009; Peng et al., *Proc. Natl. Acad. Sci. USA* 113, 4266, 2016). Obviously, the aerosol-meteorology interactions cannot be ruled out when the authors attributing the source of the discrepancy between simulations and observations and the difference between the two simulations. Those are critical issues regarding the validity of their conclusions and need to be carefully assessed in their study.

We thank the reviewer for pointing out the importance of the aerosol-meteorology interactions (Wang et al., 2011, 2013; Khalizov et al. 2009; Peng

et al., 2016). We now include a new section (Section 3.3) to discuss this aspect of our model results and re-emphasize the impacts in the conclusions.

In the nudged simulations, only horizontal winds are nudged toward the reanalysis. Temperature and moisture are not nudged in this study. We do find differences in the simulated meteorological fields using the two inventories compared with the seasonal variations (less than 1 K for the surface temperature and less than 3% for the relative humidity). Although the differences are small probably due to the prescribed wind field, the impact could be significant if a fully coupled, free-running CESM-CAM3 is used. Also, to better simulate the impact we suggest to improve the aerosol treatment of BC aging, aqueous-phase reaction, and even the boundary layer meteorology, cloud microphysics, and model resolutions. Obviously, aerosol-meteorology interaction could be a significant aspect of aerosol pollution in East Asia and deserves improved treatment in CESM-CAM3 in the future.

# Emission or atmospheric processes? An attempt to attribute the source of large bias of aerosols in eastern China simulated by global climate models

5 Tianyi Fan<sup>1</sup>, Xiaohong Liu<sup>1,2</sup>, Po-Lun Ma<sup>3</sup>, Qiang Zhang<sup>4</sup>, Zhanqing Li<sup>1,5</sup>, Yiquan Jiang<sup>6</sup>, Fang Zhang<sup>1</sup>,  
Chuanfeng Zhao<sup>1</sup>, Xin Yang<sup>1</sup>, Fang Wu<sup>1</sup>, Yuying Wang<sup>1</sup>

<sup>1</sup>College of Global Change and Earth System Science, State Key Laboratory of Earth Surface Processes and Resource Ecology, and Joint Center for Global Change and Green China Development, Beijing Normal University, Beijing, China

<sup>2</sup>Department of Atmospheric Science, University of Wyoming, Laramie, Wyoming, USA

<sup>3</sup>Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, Washington, USA

10 <sup>4</sup>Center for Earth System Science, Tsinghua University, Beijing, China

<sup>5</sup>Department of Atmospheric and Oceanic Science & ESSIC, University of Maryland, College Park, Maryland, USA

<sup>6</sup>Institute for Climate and Global Change Research, School of Atmospheric Sciences, Nanjing University, Nanjing, China

Correspondence to: Tianyi Fan (fantianyi@bnu.edu.cn) and Xiaohong Liu (xliu6@uwyo.edu)

**Abstract.** Global climate models often underestimate aerosol loadings in China and these biases can have significant implications for anthropogenic aerosol radiative forcing and climate effects. The biases may be caused either by the emission inventory or the treatment of aerosol processes in the models, or both, but so far no consensus has been reached. In this study, a relatively new emission inventory based on energy-statistics and technology, Multi-resolution Emission Inventory for China (MEIC), is used to drive the Community Atmosphere Model version 5 (CAM5) to evaluate aerosol distribution and radiative effects against observations in China. The model results are compared with the model simulations with the widely used IPCC AR5 emission inventory. We find that the new MEIC emission improves the aerosol optical depth (AOD) simulations in eastern China and explains 22%-28% of the AOD low bias simulated with the AR5 emission. However, AOD is still low biased in eastern China. Seasonal variation of the MEIC emission leads to a better agreement with the observed seasonal variation of primary aerosols than the AR5 emission, but the concentrations are still underestimated. This implies that the atmospheric loadings of primary aerosols are closely related to the emission, which may still be underestimated over eastern China. In contrast, the seasonal variations of secondary aerosols depend more on aerosol processes (e.g., gas and aqueous phase production from precursor gases) that are associated with meteorological conditions and to a less extent on the emission. It indicates that the emissions of precursor gases for the secondary aerosols alone cannot explain the low bias in the model. Aerosol secondary production processes in CAM5 should also be revisited. [The aerosol-meteorology interaction can also influence the gas- and aqueous-phase chemistry and aerosol loadings. However, the local changes in temperature and relative humidity are small since wind fields are prescribed.](#) The simulation using MEIC estimates the annual averaged aerosol direct radiative effects (ADREs) at the top of atmosphere (TOA), surface, and atmosphere to be -5.02, -18.47, and 13.45 W m<sup>-2</sup> respectively over eastern China, which are enhanced by -0.91, -3.48, and 2.57 W m<sup>-2</sup>

compared with the AR5 emission. The differences of ADREs by using MEIC and AR5 emissions are larger than the decadal changes of the modeled ADREs, indicating the uncertainty of the emission inventories. This study highlights the importance of improving both the emission and aerosol secondary production processes in modeling the atmospheric aerosols and their radiative effects. Yet, if the estimations of MEIC emissions in trace gases do not suffer similar biases as in the AOD, our findings would help affirm a fundamental error in the conversion from precursor gases to secondary aerosols as hinted in other recent studies following different approaches.

40 Keywords: Emission inventory in China; Aerosol processes in GCMs; Aerosol direct radiative effects; CAM5

## 1 Introduction

As indicated by previous studies, many global climate models (GCMs) suffer from substantially low biases of aerosol loadings in East Asia, in particular, the rapidly developing region of eastern China. Nearly all GCMs that participate in the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP, Lamarque et al., 2013) have low bias of the aerosol optical depth (AOD) in East Asia by about -36% to -58% compared with Aerosol Robotic Network (AERONET) observations (Shindell et al., 2013). The AOD biases are substantially larger than those in North America and Europe. The low biases of aerosol loadings can have significant implications for anthropogenic aerosol radiative forcing and climate effects (Boucher et al., 2013; Myhre et al., 2013). It also suggests that the aerosol forcing and climate effects assessed by Intergovernmental Panel on Climate Change (IPCC) could be much underestimated due to the large aerosol biases in China (Liao et al., 2015).

Anthropogenic emissions of aerosols and precursor gases are hypothesized to be one of the leading-order reasons for the large simulation error (Liu et al., 2012). China has been experiencing three decades of rapid economic growth that brings emissions of atmospheric pollutants that are very different from the past and other parts of the world (Streets et al., 2008; Zhang et al., 2009; Klimont et al., 2009; Lu et al., 2011; Lei et al., 2011; Wang et al., 2012). Nowadays China is a large contributor to global aerosol emissions (Liao et al., 2015) and radiative forcing (B. Li et al., 2016). However, the emission inventory in China remains highly uncertain due to limited knowledge of the rapid changing economy and the variety of technologies in production, energy-use, and emission control (Zhao et al., 2011; Fu et al., 2012; Wang F. et al., 2014; Chang et al., 2015; Zhang et al., 2015). When used as input to the model simulations, the emission inventories can significantly affect the model output of aerosol concentrations and their radiative effects. It is estimated that the uncertainties of simulated surface concentrations of different aerosol species due to emission range from 3.9% to 40.0% over eastern China (Chang et al., 2015). Model experiments show that moderate (20%-30%) adjustments of regional emissions exert considerable influence on global AOD and aerosol radiative forcing (Yu et al., 2013; He and Zhang, 2014). It is noteworthy that the ACCMIP models, most of which underestimate the AOD in East Asia (Shindell et al., 2013), have different treatments of aerosol processes but use the same IPCC Fifth Assessment Report (AR5) emission inventory (Lamarque et al., 2010). This implies that the IPCC AR5 emission inventory may underestimate the emission in East Asia. Unique features of the anthropogenic emissions in China include the elevated level of sulfate and black carbon (BC) emissions in the winter heating season in northern China and high level of  $\text{NO}_x$  and  $\text{NH}_3$  emissions that are linked to the winter haze in recent years.

On the other hand, the treatments of aerosol processes can also bring bias in the model. In the real world, aerosols originate from direct emissions of primary particles (e.g., sea salt, dust, primary organics, BC, and a small fraction of sulfate) or secondary particles formed from precursor gases (e.g., sulfate, nitrate, secondary organics). After emission, the precursor gases experience gas and aqueous phase transformation to form the secondary aerosols. A newly emitted or formed aerosol particle will go through a series of atmospheric processes (e.g., condensational growth, coagulation with another particle, transport, water uptake, wet scavenging/cloud processing, and dry deposition) until it completes its life cycle in the

75 atmosphere. The inter-model diversity of global aerosol burden and optical properties largely depend on the treatment of  
aerosol processes in each individual model and to a less extent on the differences of the emissions among models (Textor et  
al., 2007). Modifications of the gas-phase chemistry and inorganic aerosol treatment in the Community Atmospheric Model  
version 5 (CAM5) improve the model performance for aerosol mass and AOD (He and Zhang, 2014), but substantial low  
biases still exist for East Asia. Most GCMs including CAM5 do not include the aqueous phase chemistry on preexisting  
80 particles, which proves to be important for the formation of the winter hazes in northern China (Wang et al., 2013; He et al.,  
2014; Huang et al., 2014; Wang X.Y. et al. 2014; Wang Y.S. et al., 2014; Zheng et al, 2015; Chen et al., 2016; Dong et al.,  
2016; Wang et al., 2016; Cheng et al., 2016). The aerosol impacts on meteorological fields and clouds further affect the  
aerosol pollution condition in the lower troposphere. The aerosol radiative effects induced by BC or other aerosol  
components could stabilize boundary layer and thus reduce the height of boundary layer, tending to exacerbate aerosol  
pollution near the ground (Wang et al., 2013). Specifically, the aging of black carbon (BC) considerably enhances light  
85 absorption (Khalizov et al., 2009; Peng et al., 2016). The aerosol-cloud interaction might modify temperature and moisture  
profiles and precipitation (Wang et al., 2011), leading to potential feedback on the atmospheric chemistry. With all the above  
mentioned uncertainties mingled in the GCMs, it is not clear whether the emission or the aerosol processes are more  
responsible for the low biases of AOD simulated by GCMs in eastern China.

90 In this study we attempt to understand the attribution of the low biases of AOD in eastern China simulated by GCMs. First,  
we examine the effect of changing the anthropogenic emission of China in a global climate model (i.e., CAM5) on  
improving the aerosol simulation. CAM5 significantly underestimates AOD in East Asia [Liu et al. 2012] and the normalized  
mean bias of AOD is one of the largest among the ACCMIP models investigated in Shindell et al. (2013). We compare the  
aerosol simulation in CAM5 using the default IPCC AR5 emission inventory with the simulation using a new one that better  
represents the magnitude and seasonal variation of the emissions. Second, with the inclusion of seasonality in the new  
95 emission inventory, we attempt to isolate the impacts of aerosol processes on the seasonal variation of aerosol concentrations  
from the impact of emission. Aerosol processes that depend on the meteorological factors (e.g., temperature, humidity, wind  
speed, etc.) in the model are analyzed to explain the impact of emission on the secondary aerosols versus the impact of  
emission on the primary aerosols. Finally, we examine the impact of the uncertainty of the emission inventories on the  
aerosol direct radiative effects (ADREs). The differences of ADREs due to the use of the two emission inventories are  
100 calculated and compared with the change of ADREs in the last decade due to the change of emission in China.

This paper is organized as follows. Section 2 describes the model setup, the emission inventories, and the observations.  
Section 3 shows the results of aerosol properties and ADREs simulated by CAM5 using the new MEIC emission compared  
to the AR5 emission and analyzes the impacts of emission and aerosol processes. Section 4 discusses the uncertainty of the  
emission inventories by comparing with the decadal changes of ADREs due to emission change. Conclusions are provided in  
105 Section 5.

## 2 Method

### 2.1 Model setup and experiments

We run CAM5 with the 3-mode Modal Aerosol Model (MAM3), which prognoses aerosol mass/number size distribution and mixing state in the Aitken, accumulation, and coarse modes (Liu et al., 2012). The simulated primary aerosol species include BC, primary organic matter (POM), sea salt, and dust, while the secondary aerosol species include sulfate and secondary organic aerosol (SOA). The aerosol species are assumed to be internally mixed within modes and externally mixed among modes. The physical, chemical, and optical properties of aerosols are simulated in a physically based manner. Aerosol processes include transport, gas and aqueous phase (in cloud water only) chemical reactions for sulphur species, microphysics (nucleation, condensational growth, and coagulation), dry deposition, wet scavenging, and water uptake. Efficient secondary formation of aerosol in Beijing, China has been reported, characterized by frequent nucleation events preceding the pollution episodes followed by rapid condensational growth during the episodes (Qiu et al., 2013; Guo et al., 2014; Zhang et al., 2015). For treatment of these processes in CAM5, a binary  $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$  homogeneous nucleation scheme (Vehkamaki et al., 2002) is used and a cluster activation scheme (Shito et al., 2006) is applied in the planetary boundary layer. Condensation of  $\text{H}_2\text{SO}_4$  vapor and semi-volatile organics to the aerosol modes is treated dynamically using the mass transfer expressions (Seinfeld and Pandis, 1998) that are integrated over the size distribution of each mode (Binkowski and Shankar, 1995). Coagulation between Aitken and accumulation modes is considered. Water uptake is based on the equilibrium Köhler theory (Ghan and Zaveri, 2007). SOA formation is based on fixed mass yields, i.e., the percentage of semi-volatile organic compounds (VOCs) that could form SOA, with one additional step of complexity by explicitly simulating the emission and condensation/evaporation of the condensable organic vapours (i.e., the lumped semi-volatile organic gas species, SOAG) that are generated from VOCs. The aerosol optical properties are parameterized by Ghan and Zaveri (2007). The refractive indices for most aerosol components are taken from OPAC (Hess et al., 1998), but for BC the value (1.95, 0.79i) from Bond and Bergstrom (2006) is used. More details of the aerosol treatments can be found in Liu et al. (2012).

We conduct two CAM5 simulations with different anthropogenic emission inventories in China for year 2009. The first simulation uses the emission inventory that follows the protocol of the IPCC AR5 experiments (the AR5 emission inventory hereinafter, see Lamarque et al., 2010). The second simulation is driven by an improved technology-based Multi-resolution Emission Inventory for China (MEIC) developed at Tsinghua University (the MEIC emission inventory hereinafter, <http://www.meicmodel.org/index.html>). MEIC has the following advantages: (1) adoption of a detailed technology-based approach, (2) application of a dynamic methodology of rapid technology renewal, (3) re-examination of China's energy statistics, and (4) monthly emissions to represent species that have strong seasonal variations (Zhang et al., 2009). The MEIC emission inventory is verified to produce consistent aerosol precursor loadings with satellite observations (Li et al., 2010; Wang et al., 2010, 2012; Zhang et al., 2012; Liu et al., 2016). It has been widely used to study the trend of aerosol concentrations in China (Wang et al., 2013), the Asian air pollution outflow (Zhang et al., 2008; Chen et al., 2009), the

relative contribution of emission and meteorology to the aerosol variability (Xing et al., 2011), and the sensitivity of air quality to precursor emissions (Liu et al., 2010).

The AR5 emission inventory is currently the default for CAM5. The method of mapping the MEIC emission inventory for CAM5 is described in the Supplement of this paper. In addition to the differences in the annual mean emissions in 2009 (Fig. S1), there are large differences in the seasonal variations of two emission inventories (Fig. 1). The AR5 anthropogenic emissions of SO<sub>2</sub>, BC, and POM do not have seasonal variations. With the inclusion of emissions from biomass burning and shipping for SO<sub>2</sub>, BC, and POM, as well as volcanic source for SO<sub>2</sub>, the total BC, POM, and SO<sub>2</sub> emissions have seasonal variations in the AR5 emission inventory. However, we should note that the seasonal variation in the AR5 emissions is rather weak since anthropogenic emission dominates in eastern China. This could be problematic since the severe winter haze events in northern China in recent years are often linked to the higher anthropogenic emission in winter. The MEIC emission is characterized by monthly variations for the emissions of SO<sub>2</sub>, BC, and POM that peak in winter. The emission of SOAG in both inventories shows a consistent seasonal variation that peaks in summer because the emissions of biogenic VOCs (isoprene and monoterpenes), which peak in summer, dominate the total SOAG emission.

We also carry out an additional CAM5 simulation using the decadal MEIC emission from 2002 to 2012 to examine the changes of ADREs due to emission. We choose these 11 years because China's economy recovered from a depression in 2002, and since then the SO<sub>2</sub> emission has started to grow dramatically and decreased after 2006 due to the application of flue-gas desulfurization devices. After 2012 the annual emission rates did not change as dramatically as in the previous years.

For the first two simulations, we run CAM5 for year 2009 in a "constrained meteorology" mode where the model winds are nudged towards ERA-Interim (Dee et al., 2011) with 6 h relaxation timescale (Ma et al., 2013, 2014; Zhang et al., 2014). Climatological sea surface temperatures (SST) are prescribed in the two simulations. When simulating the decadal change from 2002 to 2012, we use the reanalysis data in 2009 cyclically to nudge the model meteorological fields. The constrained meteorology technique facilitates the model-observation comparison of aerosols and gas species. Temperature and moisture are not nudged in this study. As evaluated in Zhang et al (2014), nudging temperature and moisture creates a large perturbation to the model state, resulting in unrealistic behaviour for cloud and convection parameterizations because these parameterizations are calibrated based on the free-running model climate. Because winds are constrained, the advection of heat and moisture are constrained to some degree when the difference in local temperature and moisture between two simulations is small, but local source and sink terms for atmospheric temperature and moisture are computed according to the model fast processes (e.g., cloud processes) and land processes (due to prescribed SST). The changes in atmospheric temperature and moisture can in turn influence the gas- and aqueous-phase chemistry and aerosol loadings. The changes in aerosol loading will affect temperature through radiation. However, this local change in temperature is less than 1K (see Section 8 in the Supplement).

We estimate the ADREs due to instantaneous impact of aerosol scattering and absorption on the Earth's energy budget. The ADREs are calculated by the difference between the "clear-sky" radiative flux in the standard model simulation and a diagnostic call to the model radiation code from the same simulation but neglecting the aerosol scattering and absorption.

175 The horizontal resolution is  $0.9^\circ \times 1.25^\circ$  and vertically there are 30 layers from surface to 2.25 hPa, with the lowest 4 layers inside the boundary layer. We focus our analysis of model results over eastern China ( $22^\circ$ - $44^\circ$ N,  $100^\circ$ - $124^\circ$ E, the red rectangle in Fig. 2) where the strongest anthropogenic emissions are located.

## 2.2 Observational data

Satellite AOD retrievals from MODIS and MISR in 2009 are used to evaluate the model results. This study uses the monthly mean AOD from MODIS Terra collection 6 (MOD08\_M3 product, <https://ladsweb.nascom.nasa.gov/>). We use the combined  
180 AOD product from the Dark Target (Levy et al., 2010) and the Deep Blue (Hsu et al., 2004) algorithms. We also compare our simulations with ground-based AERONET AOD and single scattering albedo (SSA) retrievals at 12 sites in Mainland China, Hongkong, Taiwan, Japan, and Korea (shown in Fig. 2). Monthly averaged AOD and SSA in 2009 are calculated from the daily averages with the months that contain less than 3 daily values excluded.

Observation data of chemical compositions near the surface in China are collected from literatures (see Table S3 and the references in the Supplement). The chemical compositions of particulate matter with diameter smaller than  $2.5 \mu\text{m}$  ( $\text{PM}_{2.5}$ )  
185 are analyzed for sulfate, organic carbon (OC), BC, and SOA in these studies. The measured OC concentrations are multiplied by a factor of 1.4 for calculation of the total organic mass (i.e., POM) (Seinfeld and Pandis, 1998). Since the surface chemical composition data that covers a full year in 2009 is very limited, to compared at least one year's cycle of seasonal variation, we extend the range of time selection so that the observations are allow to continue from 2009 to 2010.  
190 Many of the studies collected the samples continuously during April, July, October, and January to represent the concentrations in spring, summer, autumn, and winter. The observation in Xiamen was carried out for a full year of sample collection. Since we do not find the SOA measurements in 2009, we use the data in other years and are aware of the uncertainties due to the time difference. The geographical locations of these observations are shown in Fig. 2.

The ADREs have been estimated based on ground-based and satellite observations at different locations in China (Li Z. et al., 2016). Table S4 in the Supplement lists the observations used in this study. Most of the data are from the Chinese Sun  
195 Hazemeter Network (CSHNET) (Xin et al., 2007; Li et al., 2010). The ADREs are consistently defined as difference of the irradiance at TOA, surface, and in the atmosphere with and without the presence of aerosols. The ADREs are either calculated by radiative transfer models using the measured or retrieved aerosol properties (AOD, SSA, phase function, Ångström exponent, and size distribution) and surface reflectance (Xia et al., 2007a; Li et al., 2010; Liu et al., 2011; Zhuang et al., 2014), or derived from the fitting equation of irradiance measurements as a function of AOD (Xia et al., 2007b, c).  
200 Since the MEIC emission inventory is for anthropogenic aerosols, we only compare with observations at locations away from deserts that are less impacted by dust aerosol. For the same reason, the shortwave radiation is discussed since anthropogenic aerosols are mostly fine particles, the impact of which in the long-wave radiation can be ignored. All data

analyses are performed after cloud screening to ensure clear-sky conditions. Since the solar irradiance depends on solar  
205 zenith angle (i.e., the time of the day), we compare with the measurements that are 24 h averaged. If both the TOA and the  
surface ADREs are provided, we calculated the ADRE in the atmosphere by subtracting the ADRE at TOA by the ADRE at  
surface.

### 3 Results

#### 3.1 Impact of emission on the modeling of AOD, SSA, and surface concentration

##### 210 *Aerosol optical depth (AOD)*

Figure 3 shows the spatial distributions of the annual averaged AOD over China simulated by CAM5-MAM3 using the  
MEIC and the AR5 emissions in 2009 compared with satellite retrievals. Comparing with the spatial distribution of the  
emissions (Fig. S1), the AOD distribution basically agree with the emission patterns of sulfate, BC, POM and dust aerosols,  
which contribute about 85% of the total AOD. With the AR5 emission, the modeled AOD (0.19, including dust aerosol)  
215 averaged over eastern China is 58.0% lower than the MODIS AOD (0.46) and 51.9% lower than the MISR AOD (0.40) (see  
Table 1). The modeled AOD using the MEIC emission is 0.25, which is 30.4% higher than the AOD with the AR5 emission.  
The impact of anthropogenic emissions on the modeled dust AOD is small (< 1.0% difference) due to slightly different  
removal rates of dust resulting from the internal mixing with anthropogenic aerosols (e.g., sulfate). By using the MEIC  
emission the AOD simulations is improved by 12.9% relative to MODIS and 14.7% relative to MISR compared with the  
220 AR5 emission. This suggests that the emission uncertainty (bias) could account for 22.2%-28.4% of the underestimation of  
AOD simulated by CAM5 with the AR5 emission in eastern China. Although the model bias is largely reduced by using  
MEIC, the modeled AOD with the MEIC emission is still 45.1% lower than the MODIS AOD and 37.2% lower than the  
MISR AOD. In spite of the underestimated magnitudes, both emission inventories reasonably reproduce the spatial  
distribution of MODIS and MISR retrieved AOD (Fig. 3e and 3f). The Jing-Jin-Ji Region, Sichuan Basin, Shandong, Henan,  
225 Anhui, Hunan, and Hubei Provinces are characterized by higher AODs than other parts of China, which is consistent with  
the higher anthropogenic emissions in these regions.

In terms of the seasonal variation, the model simulates AOD maximums between 35°N and 40°N in early summer (from May  
to July) with both emission inventories (Fig. 4), which is mostly due to dust aerosol transported from the west, while the  
satellite retrievals do not show such strong dust emission and transport. The simulation with the MEIC emission captures  
two observed AOD maximums in the spring (February to April) and in the autumn (August to October) around 30°N, where  
230 Sichuan Basin and central China are located, but the magnitudes are lower than the observations (Fig. 4). The simulation  
using the AR5 emission fails to capture the first maximum and underestimate the second one even more than that with the  
MEIC emission. By examining the model AOD components by species (Fig. S4), the first maximum is mostly due to sulfate  
aerosol and to a less extent POM aerosol, and the second maximum is mostly due to sulfate. The satellite retrievals show a  
235 third summer maximum in June, which is not captured by the model with both emission inventories. The time and location

of this observed AOD maximum complies with the SO<sub>2</sub> emission in MEIC (Fig. S3). Therefore, the observed maximum is probably due to efficient production of sulphuric acid gas (H<sub>2</sub>SO<sub>4</sub>) at higher temperatures and consequently formation of sulfate aerosol. Since the uncertainty of SO<sub>2</sub> emission is relative low ( $\pm 12\%$ , Zhang et al., 2009) and the concentration of SO<sub>2</sub> is reasonably simulated by CAM5 (He et al., 2015), model underestimation cannot be explained by emission alone.

240 Other causes (e.g., wet scavenging, missing nitrate, particle size distribution, aerosol hygroscopic growth, etc.) in the model may be more responsible. For example, the model bias could be due to too much wet scavenging associated with the East Asian summer monsoon precipitation, which pushes too far to the north in summer compared with the Global Precipitation Climatology Project (GPCP) observations (Jiang et al., 2015). The CAM5-MAM3 does not include the treatment of nitrate aerosol, which can be an important aerosol component in East Asia (Gao et al., 2014).

245 More detailed comparisons with observations at 12 AERONET sites are given in Fig. 5. The model simulations using both emission inventories generally underestimate AOD compared with AERONET and satellite observations. The magnitudes of the AODs simulated with the MEIC emission are higher than that with the AR5 emission. The two simulations feature similar seasonal variations, for example, summer maximums at the sites north of 35 °N (Beijing, Xianghe, Xinglong, and SACOL). This is because the simulated AODs are dominated by sulphate and dust aerosols at these northern sites and by sulphate aerosol at the southern sites (Taihu, Hongkong, and etc.) in both simulations (see Fig. S5 and Fig. S6 in the Supplement). Sulfate AOD peaks in summer in both simulations. In addition to the maximum in spring, dust AODs at the northern sites have two maximums in summer and autumn, which are suspicious and need further examination. The observed seasonality of AOD at northern sites features a maximum in July and a lower AOD in June, while the modeled AOD peaks in June that may due to overestimated dust aerosol. The model captures the seasonality of observed AOD in the downwind regions but underestimates the magnitude of AOD by a factor of 2-3. AODs at the sites in 20-30°N (Taiwan and Hong Kong sites) are featured by the summer minimums in both observations and model results due to the scavenging of aerosols by the summer monsoon precipitation. The MEIC emission has a notable impact on AOD in Hong\_Kong\_PolyU site in all seasons and only has a small impact in winter at Taiwan sites (NCU\_Taiwan, EPA\_Taiwan, and Chen-Kung\_Univ). The difference between the two emission inventories is not evident at Osaka and Shirahama.

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260 The sensitivities of modeled AOD to the emission change between the two inventories are quite different for each aerosol species due to different aerosol refractive indexes (Table 1). 12.0% of POM emission difference results in 70.4% of the AOD difference. In contrast, 46.9% of the SOAG emission difference leads to only 17.4% of the AOD difference of SOA.

#### *Single scattering albedo (SSA)*

Figure 6 shows the modeled SSA using the MEIC and AR5 emissions and the comparison with the observations by AERONET. The modeled SSA at Beijing, Xianghe, and Xinglong agrees with the AERONET data in terms of the strong seasonal variations of lower SSA in winter and higher SSA in summer, indicating higher fractions of absorbing aerosols in winter. However, the modeled SSA is systematically lower than the AERONET data. This indicates the significant

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underestimation of light scattering aerosols (e.g., sulfate and POM). The SSA simulated with the MEIC emission is lower than that using the AR5 emission by up to 0.05 in winter, which is consistent with the higher BC emission in the MEIC emission. The SSA simulated with the MEIC emission in Taihu is slightly higher than that with the AR5 emission throughout the year, which is consistent with the higher MEIC emission of sulfate. Outside Mainland China the modeled SSA agrees with AERONET data reasonably well at the Hong Kong, Taiwan and Japanese sites, although underestimations can be found in some months.

#### *Aerosol surface concentrations*

Figure 7 compares the modeled surface concentrations of sulfate, BC, POM, and SOA with the observations of chemical compositions. The surface concentrations of these aerosol species are generally underestimated in the model with both emission inventories, which is consistent with the underestimations of AODs. The concentrations of sulfate aerosol are underestimated by about a factor of 3 (the linear regression slope of 0.35) using the MEIC emission but is improved compared with about a factor of 5 (the linear regression slope of 0.18) using the AR5 emission. Since the concentration of SO<sub>2</sub> is reasonably well simulated by CAM5 over east Asia (He et al., 2015), there could be a fundamental error in the model treatment of the conversion from precursor gases to secondary aerosols. The POM and BC surface concentrations are significantly improved by the MEIC emission due to higher emission rates especially in winter. The root mean square errors (RMSEs) using the MEIC emission are 10.01, 14.63, 3.32, 6.58  $\mu\text{g m}^{-3}$  for sulfate, POM, BC, and SOA, respectively, which are smaller than RMSEs of 13.38, 19.21, 3.97, 8.38  $\mu\text{g m}^{-3}$  using the AR5 emission. The coefficients of determination ( $R^2$ ) between model simulations and observations of all these species are also improved. Considering that most observations are carried out at single points and at altitudes close to the surface, the underestimation could be partly due to the coarse model horizontal and vertical resolutions. The model with a coarse horizontal resolution does not account for the subgrid variability of aerosols (Qian et al., 2010). With the coarse vertical resolution, aerosol species are assumed to be well mixed in the bottom model layer with a thickness of about 60 m, which may lead to low biases compared with the observations.

#### **3.2 Distinct impact of emission and atmospheric processes on aerosol seasonal variations**

Observed surface concentrations at 10 locations in China show that the primary and secondary aerosols have distinct seasonal variations (Fig. 8). The observed surface concentrations of primary aerosols (BC and POM) at all locations show maximums in winter, suggesting that their seasonal variations are mainly controlled by the emission. The MEIC emission significantly improves the modeled seasonal variations compared with the AR5 emission that has no seasonal variations of POM and BC emissions. In contrast, the observed concentrations of sulfate in northern China (Chengde, Shangdianzi, Beijing, Tianjin, Shijiazhuang, Zhengzhou) are characterized by summer maximums. This is due to a higher photochemical production rate in summer (Wen et al., 2015). The modeled concentrations of sulfate also show their maximum in summer. This feature is commonly seen for many climate models. The concentrations of sulfate in the southern cities (Xiamen and Guangzhou) do not have summer maximums due to the Asian summer monsoon with strong winds and precipitation.

300 We examine the processes that determine the concentrations of sulfate in the model, including gas-phase and aqueous-phase  
production, dry and wet scavenging, as well as the controlling meteorological variables (Fig. 9). The MEIC emission of SO<sub>2</sub>  
peaks in winter in northern China due to heating in the domestic sector, whereas the AR5 emission does not have seasonal  
variations (Fig. S7). Obviously, the surface concentrations of sulfate aerosol cannot be explained by emission alone and the  
atmospheric processes are more likely responsible for the seasonality. We find that the simulated seasonal variations of  
305 surface concentrations of sulfate aerosol are controlled by the gas-phase and aqueous-phase production processes and to a  
less extent by the emission of SO<sub>2</sub>. The gas-phase chemistry is most active in summer due to the temperature-dependence of  
the oxidation rate of SO<sub>2</sub> by OH. Also the oxidation rate depends on the concentration of OH radical, which is highest due to  
efficient photochemical reactions in summer. The aqueous-phase formation of sulfate aerosol also peaks in summer due to  
higher relative humidity and thus more cloud water. Although the MEIC SO<sub>2</sub> emissions peak in winter, both the gas-phase  
310 and aqueous-phase oxidations are less efficient in winter, which results in lower concentrations of sulfate aerosol than in  
summer.

We notice that some other observations show different seasonality of sulfate aerosol from the model results. For example,  
observations from CAWNET (Zhang et al., 2012) show that concentrations of sulfate aerosol in the northern Chinese cities  
(e.g., Gucheng and Zhengzhou in Fig. S8) peak in winter as opposed to summer in spite of a minor maximum in summer.  
315 The observed seasonal variations at two pairs of nearby sites from CAWNET and our study (Gucheng 2006-2007 versus  
Beijing 2009-2010, Zhengzhou 2006-2007 versus 2009-2010) are different from each other. This may reflect that the relative  
contributions of the emissions and the atmospheric processes in determining the concentration of sulfate change with years  
and locations. It is also possible that some mechanisms of sulfate aerosol formation for these CAWNET sites, which are  
especially important in winter, are not properly modeled or missing in the model. For example, the aqueous-phase oxidation  
320 of SO<sub>2</sub> in pre-existing aerosols is not modeled, which is important in explaining the winter haze in China (Wang et al., 2016;  
Cheng et al., 2016).

Having the same “constrained” meteorology for the two simulations with different emission inventories provides us with an  
opportunity to examine the impact of emission versus atmospheric processes on the seasonality of aerosols. The longitudinal  
averaged BC burden in the simulation with the MEIC emission shows a strong seasonal variation between 25 and 40 °N with  
325 higher burden in winter (Fig. 10a), which corresponds with the seasonal variation of BC emission (Fig. 10b). Since there is  
no seasonal variation for BC aerosol in the AR5 emission (Fig. 10d), the seasonal variation of BC concentrations can only be  
due to the impact of atmospheric processes in the AR5 emission run (Fig. 10c). The winter peak is also seen for the AR5 run  
most likely due to stagnant wind fields for dispersion in winter. The summer minimums are due to wet scavenging by the  
monsoon precipitation. Fig. 10 indicates that seasonal variations of both the emission and atmospheric processes play  
330 important roles in determining the seasonal variation of BC concentrations.

The distinct impacts of emissions and atmospheric processes that are associated with meteorological factors on the seasonal  
variations of primary (e.g., BC) and secondary aerosols (e.g., sulfate) are further demonstrated in Figure 11. The seasonal  
variation of differences in the longitudinally averaged burden of BC between the two emission runs resembles closely the

335 pattern of differences in the emission of BC (Fig. 11a and Fig. 11b). However, the dependence of seasonal variation of  
burden of sulfate on the emission of SO<sub>2</sub> is less evident (Fig. 11c and Fig. 11d). The difference of SO<sub>2</sub> emission between the  
two inventories in 30-45°N is amplified by the production and condensation of H<sub>2</sub>SO<sub>4</sub> gas that are favored at higher  
temperatures in summer. This larger difference of sulfate burden between the two emission runs is obviously aligned with  
higher temperatures between 30°N and 45°N in summer (May to July) (Fig. 11e). In contrast, although there is a comparable  
340 difference in the SO<sub>2</sub> emission between 35°N and 40°N in cold seasons (November to March), the difference of sulfate  
burden is not as evident due to the fact that low temperatures inhibit the production of sulfate. Wet scavenging by clouds and  
precipitation helps to reduce the concentrations and their absolute differences in southern China during spring and summer  
(Fig. 11f and Fig. 11g). Higher wind speeds north of 35°N in winter (Fig. 11h) for aerosol dispersion help to explain the  
small difference of sulfate burden in spite of the evident difference of SO<sub>2</sub> emission there. Stagnant wind field that  
propagates from 22°N to 35°N in spring and from 35°N to 22°N in autumn makes the impact of difference in emissions on  
345 the large differences of BC and sulfate burdens between the two simulations prominent in the corresponding seasons and  
regions. Due to the complex atmospheric processes, the spatiotemporal patterns of secondary aerosol burdens follow less  
closely to their precursor gas emissions compared with primary aerosols.

### 3.3 Impact of aerosol-meteorology interactions

350 Changes in the aerosol radiative forcing will alter atmospheric temperature and moisture in the model, and can, in turn,  
influence gas- and aqueous-phase chemistry, the boundary layer stability, and eventually the aerosol loadings. In this study,  
the differences in meteorological fields between the two simulations reflect aerosol effects through fast processes (e.g., cloud  
process) and land processes since the horizontal winds and climatological SST are prescribed. We examine the differences in  
the temperature and relative humidity in our simulations with the two different emission inventories. The changes in  
355 the temperature and relative humidity is less than 1 K and 3%, respectively (Figure S10). These are small changes compared to  
the seasonal variations and therefore may not affect our findings on the impacts of emissions and atmospheric processes on  
aerosol loadings. More discussion on the effect of aerosol-meteorological interactions is provided in Section 8 of the  
Supplement. Nevertheless, aerosol-meteorology interactions could be significant through the interplay between aerosol and  
boundary layer processes (Wang et al., 2013) as well as cloud microphysics (Wang et al., 2011). Specifically, the effects of  
enhanced light absorption by aerosols (e.g., BC) as well as the aging of BC may change the boundary layer stability  
360 (Khalizov et al., 2009; Peng et al., 2016). We suggest assessing these feedbacks by using a fully coupled, free-running earth  
system model with more detailed treatment of BC aging, boundary layer, and cloud microphysics in the future.

### 3.4 Impact of emission on the modeling of ADREs

365 Figure 12 shows the spatial distribution of annual averaged shortwave ADREs in China simulated using the MEIC and the  
AR5 emissions due to all aerosol species at TOA, surface, and in the atmosphere. The TOA radiative cooling effect is  
evident in eastern China due to anthropogenic aerosols. At some parts of the southwestern China the ADRE at TOA is  
positive due to strong BC absorption in the atmosphere. The most pronounced surface cooling and atmospheric warming are

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located in the northern China and the Sichuan Basin, which is consistent with the spatial patterns of the emissions. In these locations the surface and atmospheric differences of the ADREs between the two simulations are also significant.

As shown in Table 2 the annual averaged cooling effect at TOA is reduced (more negative) by  $-0.91 \text{ W m}^{-2}$  (22.3%) by all aerosols using the MEIC emission ( $-5.02 \text{ W m}^{-2}$ ) compared with that using the AR5 emission ( $-4.11 \text{ W m}^{-2}$ ). At the surface there is a strong cooling effect of  $-18.47 \text{ W m}^{-2}$  using the MEIC emission, which is reduced (more negative) by  $-3.48 \text{ W m}^{-2}$  (23.3%) compared with that using the AR5 emission ( $-14.99 \text{ W m}^{-2}$ ). The atmospheric warming effect of all aerosols using the MEIC emission is estimated to be  $13.45 \text{ W m}^{-2}$ , which is  $2.57 \text{ W m}^{-2}$  (23.6%) stronger than the estimation made by the AR5 emission ( $10.88 \text{ W m}^{-2}$ ) over eastern China.

Table 2 also shows the annually averaged ADREs over eastern China by individual aerosol species. The ADREs of SOA are not shown due to its large emission uncertainty. Due to larger AODs simulated with the MEIC emission, the ADREs by each aerosol species are larger than the ADREs using the AR5 emission by 33.6% to 47.2% at TOA. Tables 1 and 2 show that over eastern China 12.0% to 46.9% difference of the anthropogenic emission rates of various aerosol species results in 30.4% difference of the total AOD of all species (including anthropogenic and natural aerosols) and 22.3%, 23.3%, and 23.6% differences of the ADREs at TOA, the surface, and in the atmosphere, respectively. The impacts of the emission on AOD and ADREs are significant.

The normalized radiative effect (NRE) represents the radiative effect efficiency per unit aerosol optical depth (Schulz et al., 2006). The light scattering aerosols (sulfate and POM) have very similar negative NREs ( $-31.77$  and  $-33.84 \text{ W m}^{-2} \tau_{\text{aer}}^{-1}$  with the MEIC emission hereafter). The light absorbing BC aerosol shows a much higher positive NRE ( $100.52 \text{ W m}^{-2} \tau_{\text{aer}}^{-1}$ ) which is comparable to the mean NREs of the AeroCom models ( $153 \text{ W m}^{-2} \tau_{\text{aer}}^{-1}$ ) considering the wide range of the estimates among the models (28 to  $270 \text{ W m}^{-2} \tau_{\text{aer}}^{-1}$ ) (Schulz et al., 2006). The NREs of BC are much higher than the other aerosol species, especially the warming in the atmosphere ( $305.50 \text{ W m}^{-2} \tau_{\text{aer}}^{-1}$ ). This indicates that the ADREs are much more sensitive to BC aerosol burden than the other aerosol species and highlights the importance of the BC emission and concentration to correctly represent the ADREs in the model. BC also makes the largest contributor to the ADRE in the atmosphere and at the surface. We note that the ADREs of light scattering aerosols (sulfate and POM) in the atmosphere are also warming effects. The explanation is that coating of these scattering aerosols on BC increases the absorption capability of the internally mixed aerosol particles (i.e., particles in the same aerosol mode with BC) (Chung et al., 2011).

The modeled spatial distributions of ADREs of BC in summer and winter at the surface and in the atmosphere are shown in Figs. 13 and 14, respectively. With the AR5 emission, the averaged ADREs over eastern China in winter ( $-4.40 \text{ W m}^{-2}$  at surface and  $6.11 \text{ W m}^{-2}$  in the atmosphere) are close to the ADREs in summer ( $-4.40 \text{ W m}^{-2}$  at surface and  $6.28 \text{ W m}^{-2}$  in the atmosphere). Due to the higher MEIC BC emission in winter, the cooling effect of BC at the surface is much more significant using the MEIC emission ( $-7.35 \text{ W m}^{-2}$ ) than the AR5 emission averaged over eastern China (Fig. 13). Likewise the warming effect of BC in the atmosphere with the MEIC emission ( $10.50 \text{ W m}^{-2}$ ) is nearly twice as much as that using the AR5 emission (Fig. 14). Driven by the same constrained meteorology, the MEIC emission results in much stronger seasonal variation of ADREs of BC than the AR5 emission.

Figure 15 shows the comparison between the measured and modeled ADREs at TOA, surface, and in the atmosphere over China. Observations from 25 nationwide stations shows that clear-sky ADREs are characterized by a strong radiative heating in the atmosphere, which implies a substantial warming in the atmosphere and cooling at the surface (Li et al., 2007; Li et al., 2010). Model simulations show small ADREs ( $\sim -10$  to  $-2 \text{ W m}^{-2}$ ) at TOA with both the MEIC and AR5 emission inventories, while the measurements gives a larger range of ADREs at TOA ( $\sim -14$  to  $2 \text{ W m}^{-2}$ ). At the surface and in the atmosphere, the modeled ADREs using the MEIC emission inventory at most locations are within a factor of 2 compared with observations. The MEIC emission inventory produces better agreement with the observations than the AR5 emission inventory.

#### 4. Decadal trend of ADRE

The uncertainty of aerosol emissions used in climate models could affect the historical and future aerosol effects simulated by the models. Here in this section, we assess the changes in ADREs as the change in the emission in the past decade and compare them with the difference that results from the use of the two emission inventories.

The magnitude and structure of aerosol and precursor gas emissions in China have significantly changed during the last decade (Zhao B. et al., 2013; Lu et al., 2011; Kang et al., 2016). The emission trend used in this study is estimated by the MEIC development team based on their knowledge on the evolution of activity level and technology in China (see Fig. S9 in the Supplement). Figure 16 shows that the decadal trend of ADRE agrees with the trend of emissions (Fig. S9). The warming in the atmosphere and the cooling at the surface were both enhanced with the increase of emissions of  $\text{SO}_2$ , BC, and POM from 2003 to 2006. The ADRE at TOA only decreased slightly indicating more energy lost from the atmosphere-earth system. From 2006 to 2009, the changes of ADREs were not significant due to the stabilized emission of BC. Since 2010, the warming in the atmosphere and the cooling at the surface both increased due to the increased emission of  $\text{SO}_2$  and BC. The changes of ADREs at surface and in the atmosphere from 2002 to 2003 may reflect the complicated interactions between sulfate and BC/POM in eastern China, enhancing the BC/POM wet scavenging due to sulfate coating.

The ranges of the decadal changes of ADREs at TOA ( $-0.45$  to  $0.07 \text{ W m}^{-2}$ ), at surface ( $-0.99$  to  $0.19 \text{ W m}^{-2}$ ), and in the atmosphere ( $-0.20$  to  $0.60 \text{ W m}^{-2}$ ) are smaller than the differences of ADREs between MEIC and AR5 emissions in 2009 ( $-0.91 \text{ W m}^{-2}$ ,  $-3.48 \text{ W m}^{-2}$  and  $2.57 \text{ W m}^{-2}$ ). It highlights the uncertainty of the emission inventories and the need of constraining the emission inventories of aerosols and precursor gases by in-situ and satellite observations.

#### 5 Summary and Conclusions

Anthropogenic aerosols in East Asia have substantial effects on regional air quality and climate. However, global climate models generally have low biases in anthropogenic aerosol burdens in this region (Shindell et al., 2013), and thus the aerosol radiative effects may be underestimated. The reasons behind the low biases are unclear, but may include the bias in aerosol emissions, missing of some aerosol processes, coarse model resolutions, etc. In this study, we simulated the aerosol concentrations, optical depth, and the radiative effects in eastern China using the Community Atmospheric Model version 5

445 with the 3-mode Model Aerosol Module (CAM5-MAM3). A technology-based emission inventory, Multi-resolution  
Emission Inventory for China (MEIC), was implemented into CAM5-MAM3 and results were compared with the simulation  
using the default IPCC AR5 emission inventory.

We found that the MEIC emission improves the annual mean AOD simulations in eastern China by 12.9% compared with  
the MODIS observations and 14.7% compared with the MISR observations, which explains 22.2%-28.4% of the AOD  
450 underestimation simulated with the AR5 emission. The MEIC emission generally reproduces the AOD spatial distribution  
although AOD is still underestimated compared with the MODIS and MISR satellite retrievals.

CAM5 with the MEIC emission captures the AOD maximums around 30°N in spring and autumn better than CAM5 with the  
AR5 emission. However, both emission runs underestimate the AOD maximum around 30°N in summer, which coincides  
with the modeled summer monsoon precipitation that pushes too far to the north. Wet scavenging by summer monsoon  
455 precipitation should be reasonably represented since it significantly affects the model AODs. The modeling of dust aerosol is  
also of particular importance in northern China.

The simulated surface concentrations of both primary and secondary aerosols are improved by using the MEIC emission  
compared with that modeled by the AR5 emission. The MEIC emission leads to better agreement with the observed seasonal  
variations of the primary aerosols (i.e., POM and BC) than the AR5 emission in term of seasonal variation, but the  
460 concentrations are still underestimated. This implies that the atmospheric loadings of primary aerosols are closely related to  
the emission, which may still be underestimated over eastern China. In contrast, the seasonal variations of secondary  
aerosols (i.e., sulfate) depend more on the aerosol processes (e.g., gas and aqueous phase chemistry) associated with the  
meteorological factors (e.g., temperature, relative humidity, winds) and to a less extent on the emission. Analysis of the  
aerosol processes in the model shows the gas phase and in-cloud aqueous-phase formation of sulfate aerosol peaks in  
465 summer due to higher temperature, photolysis rate, and relative humidity. Therefore, it is suggested that the emissions of  
secondary aerosols alone cannot explain all the low biases in the model over eastern China. Aerosol processes in CAM5  
should be revisited. For example, we notice that some other observations (e.g., CAWNET) show winter peaks of the sulfate  
concentration in northern China in different years and locations, which may reflect that some mechanisms, such as  
production through heterogeneous reactions of SO<sub>2</sub> on pre-existing aerosols, are important for these observation sites and  
470 should be included in the model. Observations and regional air quality modeling with more complex chemistry reveals the  
importance of sulfate production on mineral dust through gas-phase uptake or heterogeneous reactions in increasing the  
PM<sub>2.5</sub> concentrations and the mass fractions of secondary inorganic aerosols (Wang Y.X. et al. 2014; Huang et al., 2014;  
Zheng et al., 2015; Dong et al., 2016). The coexistence of NO<sub>2</sub> and SO<sub>2</sub> promotes heterogeneous production of sulfate  
aerosol under high relative humidity (He et al., 2014; Chen et al., 2016; Wang Y.S. et al., 2014). The aqueous-phase  
475 oxidation of SO<sub>2</sub> by NO<sub>2</sub> (Wang et al., 2016; Cheng et al., 2016) or O<sub>3</sub> (Palout et al., 2016) is efficient to form sulfate aerosol  
under high relative humidity and NH<sub>3</sub> neutralization conditions. Nitrate aerosol is not modeled in CAM5 and could be an  
important contributor to AOD in eastern China. It is also possible that the default accommodation coefficient of H<sub>2</sub>SO<sub>4</sub> gas  
is set too high in CAM5-MAM3, which results in too efficient condensation and less efficient nucleation of H<sub>2</sub>SO<sub>4</sub> to form

480 sulfate aerosol (He and Zhang, 2014). [The aerosol-meteorology interactions do not influence our findings on the relative importance of emission and atmospheric processes since model winds and SST are prescribed and thus the differences of temperature and relative humidity are small. However, we suggest further investigation into the impact of aerosol-meteorology interaction on the aerosol loading using a fully coupled, free-running earth system model with more detailed treatment of BC aging, boundary layer, and cloud microphysics in the future.](#)

485 Different emissions have substantial effects on the aerosol direct radiative effects (ADREs). By using the MEIC emission, the annual averaged ADREs at TOA and at the surface over eastern China are reduced (more negative) by  $-0.91 \text{ W m}^{-2}$  and  $-3.48 \text{ W m}^{-2}$ , respectively, while the warming in the atmosphere is increased by  $2.57 \text{ W m}^{-2}$ . The ADREs between the MEIC and AR5 emissions with all aerosol species (including natural dust) are increased by 22.3%, 23.3%, and 23.6% at TOA, surface and in the atmosphere, respectively. The ADRE is more sensitive to BC aerosol burden than the other aerosol species. Due to the higher MEIC BC emission in winter, the warming effect of BC in the atmosphere and the cooling effect at the surface are much higher than those using the AR5 emission. This implies that enhanced BC loading in winter will lead to strong atmospheric inversion (Wang et al., 2015; Ding et al., 2016). In summary, 12.0% to 46.9% difference of the emission rates of different aerosol species results in 30.4% difference of the total AOD, and about 22% difference of the ADREs averaged over eastern China. The impacts of the emission on AOD and ADREs are significant.

495 By examining the change of ADRE from 2002 to 2012 using the estimation of emissions made by the MEIC development team, we find that the decadal changes of ADREs are smaller than the differences of ADREs simulated by the two emission inventories at TOA, surface and in the atmosphere over eastern China. This indicates that there is an urgent need to constrain the emission inventories of aerosols and precursor gases by in-situ and satellite observations.

This research highlights the critical importance of improving emissions of aerosols and precursor gases as well as the aerosol processes for the modeling of aerosols and aerosol radiative effects in eastern China, although any improvement in our understanding of the underlying processes would be equally valuable anywhere else. We note that modeled AOD and surface concentrations are still underestimated in CAM5 even with the MEIC emission. Yet, if the estimations of MEIC emissions in trace gases do not suffer similar biases as in the AOD, our findings would help affirm a fundamental error in the conversion from precursor gases to secondary aerosols as hinted in other recent studies following different approaches. Recently, the Community Emission Data System (CEDS) is newly released and is intended for use in CMIP6 (Hoesly et al., 2017). The CEDS emission for eastern China is comparable with MEIC (see Section 3 in the Supplement) since CEDS is scaled to country-level inventories, i.e., MEIC for China (Li et al., 2017). Without improvements in the aerosol process, the similar low-bias over eastern China in CMIP5 GCMs are expected in CMIP6. There also exist aspects other than aerosol process that potentially leads to the low bias. The CAM5 model with a horizontal resolution of  $0.9^\circ \times 1.25^\circ$  may miss the subgrid aerosol variability (Qian et al., 2010) as well as not able to capture the collocation between aerosols and clouds important for aerosol wet scavenging (Ma et al., 2014). CAM5-MAM3 may also miss some important aerosol species (e.g., nitrate) which can have similar mass burdens as sulfate in eastern China (Gao et al., 2014). Current work is under the way to increase the

model resolution and to implement nitrate aerosol in CAM5-MAM3. The impacts of these new developments on aerosols in East Asia will then be re-evaluated.

In this study, as the first step the impacts of a new emission inventory on the simulations of AOD, aerosol concentrations and ADREs in east China are examined. Future studies of impacts on clouds, precipitation and atmospheric circulation in east China and anywhere else will be conducted. Using a global climate model with interactions between aerosols, cloud, precipitation and meteorology, we will be able to study the potential impacts of climate changes on pollution conditions in China. A predominant climatic phenomenon in China is East Asian monsoon, and thus the impacts of monsoon variability on air pollution have gained a lot of attentions (Wu et al., 2016). Long-term (~30 years) simulation will be needed to study the impact of climate changes aerosol in China.

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**Table 1. AOD averaged over eastern China in 2009 simulated using the MEIC and the AR5 emissions.**

Species	MEIC AOD	AR5 AOD	(MEIC-AR5)/AR5 AOD	(MEIC-AR5)/AR5 Emission
Sulfate	0.085	0.059	44.3%	12.6%
BC	0.030	0.021	42.6%	13.4%
POM	0.044	0.026	70.4%	12.0%
SOA	0.031	0.026	17.4%	46.9%
Dust	0.057	0.056	1.0%	0.0%
Sea salt	0.006	0.005	4.2%	0.0%
All aerosols	0.252	0.193	30.4%	-

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**Table 2. Aerosol direct radiative effects (ADRE) and the normalized radiative effect (NRE) averaged over eastern China in 2009 simulated using the MEIC and the AR5 emissions.**

	Species	MEIC	AR5	(MEIC-AR5)/AR5	MEIC	AR5	<i>Schulz et al.,</i>
		ADRE, Wm <sup>-2</sup>	ADRE, Wm <sup>-2</sup>	ADRE, %	NRE, Wm <sup>-2</sup> τ <sub>aer</sub> <sup>-1</sup>	NRE, Wm <sup>-2</sup> τ <sub>aer</sub> <sup>-1</sup>	[2006] NRE, Wm <sup>-2</sup> τ <sub>aer</sub> <sup>-1</sup>
TOA	All aerosols	-5.02	-4.11	22.3%	-20.83	-22.05	
	Sulfate	-2.62	-1.96	33.6%	-31.77	-33.91	-19
	BC	2.51	1.81	39.1%	100.52	99.64	153
	POM	-1.38	-0.94	47.2%	-33.84	-36.70	-19
							(-32 to -10)
							(28 to 270)
							(-38 to -5)
Surface	All aerosols	-18.47	-14.99	23.3%	-72.5	-76.06	
	Sulfate	-3.40	-2.58	31.7%	-40.36	-43.78	
	BC	-5.73	-4.40	30.4%	-204.98	-211.71	
	POM	-2.72	-1.78	52.5%	-63.73	-68.04	
Atmosphere	All aerosols	13.45	10.88	23.6%	51.67	54.01	
	Sulfate	0.79	0.62	26.0%	8.58	9.87	
	BC	8.25	6.21	32.9%	305.50	311.35	
	POM	1.33	0.84	58.4%	29.89	31.35	

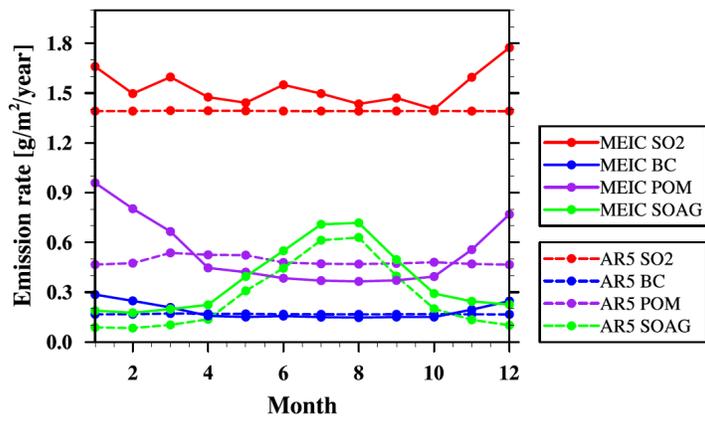
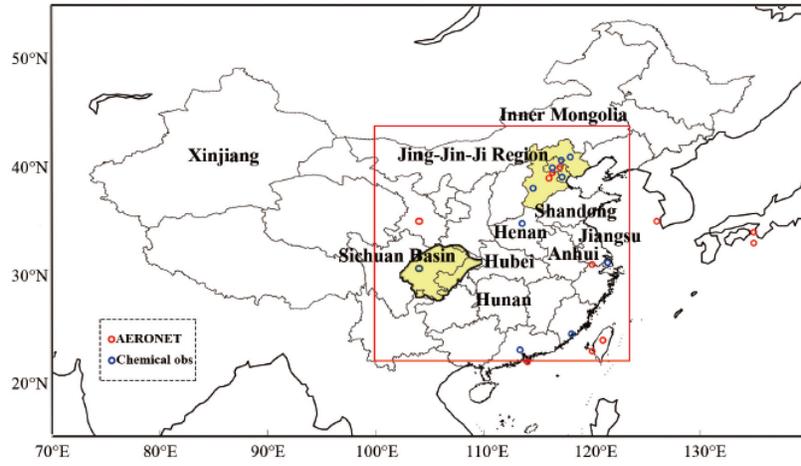
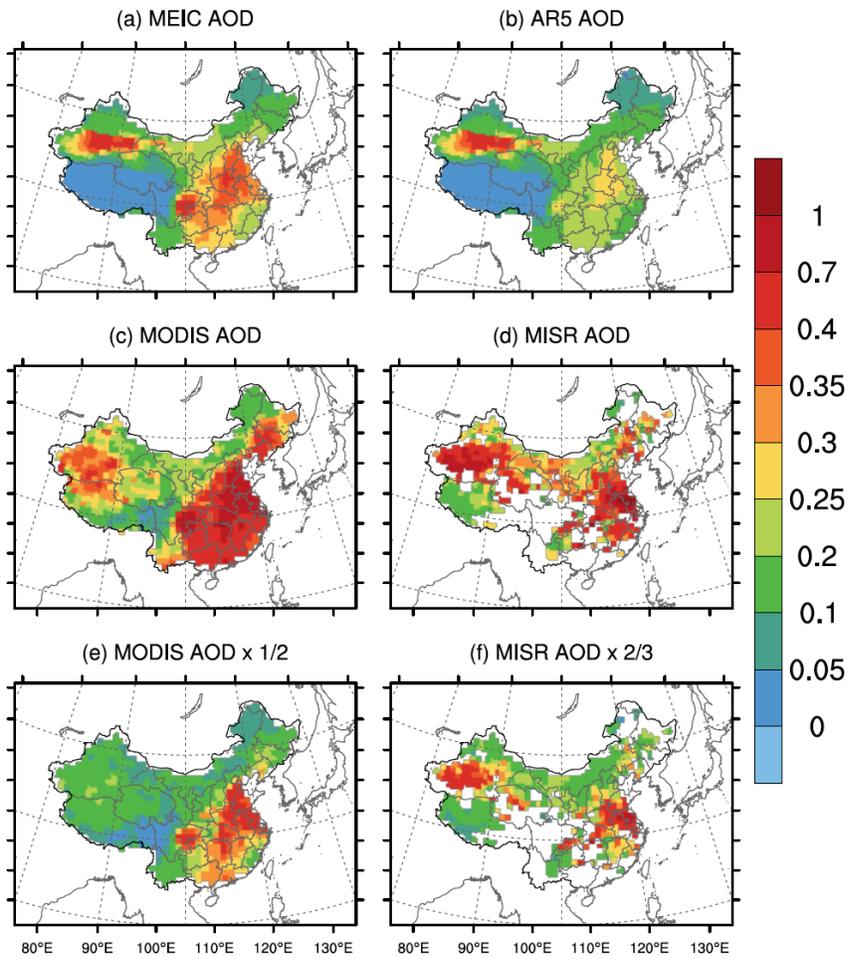


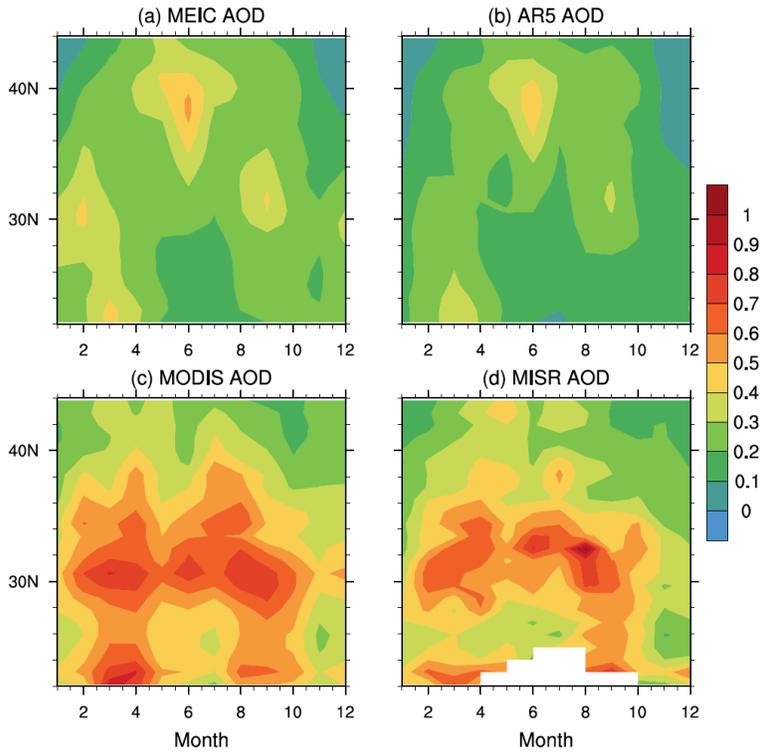
Figure 1. Seasonal variations of sulfate, BC, POM, and SOAG in the MEIC emission and the AR5 emission in China for year 2009.



780 **Figure 2.** Geographical locations of the AERONET sites and chemical composition sites where the observational data are used in this study. The provinces and regions mentioned in the context are marked. The red rectangle denotes eastern China (22-44° N, 100-124° E).

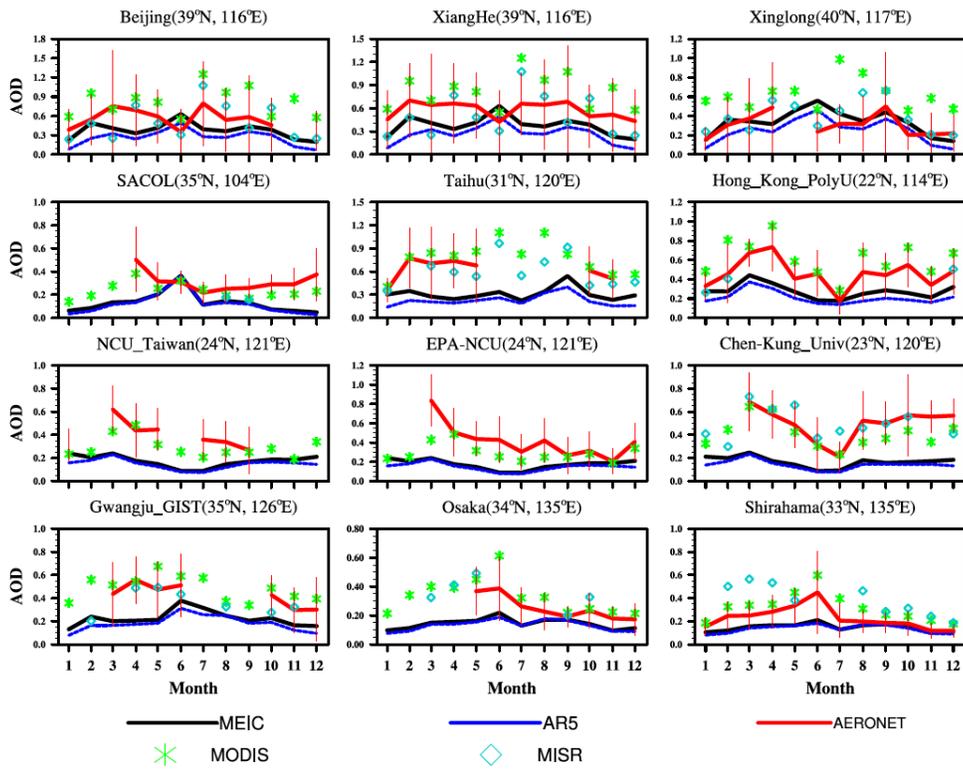


785 **Figure 3.** Spatial distributions of annual averaged AOD at 550 nm over China in 2009 simulated by CAM5-MAM3 using (a) the MEIC emission, (b) the AR5 emission, observed by (c) MODIS and (d) MISR satellites, (e) MODIS AOD scaled by one half, and (f) MISR AOD scaled by two thirds. The scaling factors are approximately the ratios between the modeled AOD with the MEIC emission and retrieved AODs averaged over eastern China.



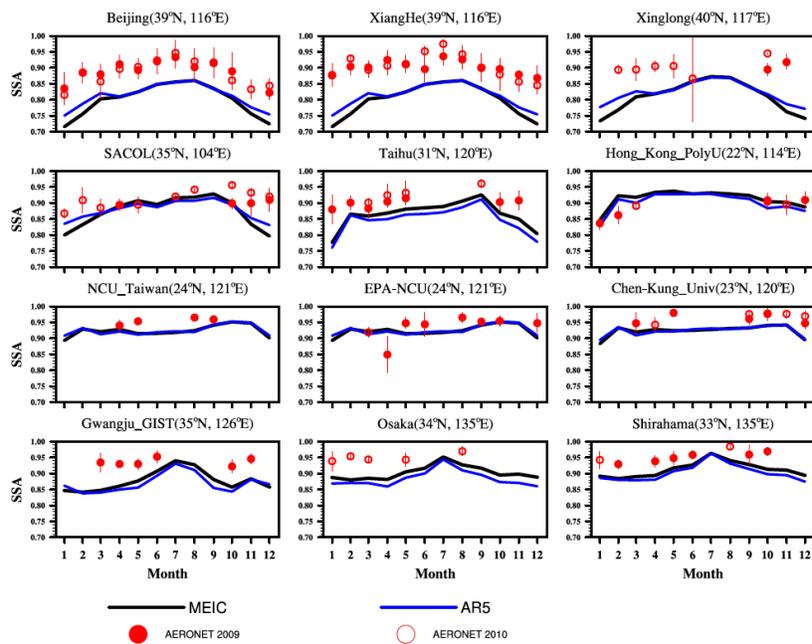
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Figure 4. The seasonal variation of longitudinal averaged ( $100^{\circ}\text{E}$ - $124^{\circ}\text{E}$ ) AOD at 550 nm over eastern China simulated by CAM5-MAM3 using (a) the MEIC emission, (b) the AR5 emission, observed by (c) MODIS, and (d) MISR satellites in 2009.



795

Figure 5. Monthly averaged AOD simulated by CAM5-MAM3 using the MEIC emission and the AR5 emission compared with the AERONET, MODIS and MISR observations at 12 AERONET sites in and around China. The error bars represent one standard deviation of the daily AERONET observations within the month.



800

Figure 6. The seasonal variation of SSAs simulated by CAM5-MAM3 using the MEIC emission and the AR5 emission for year 2009 and observed by AERONET (red solid circles for year 2009 and hollow circles for year 2010) at 12 AERONET sites in and around China. Error bars stand for one standard deviations of the observations.

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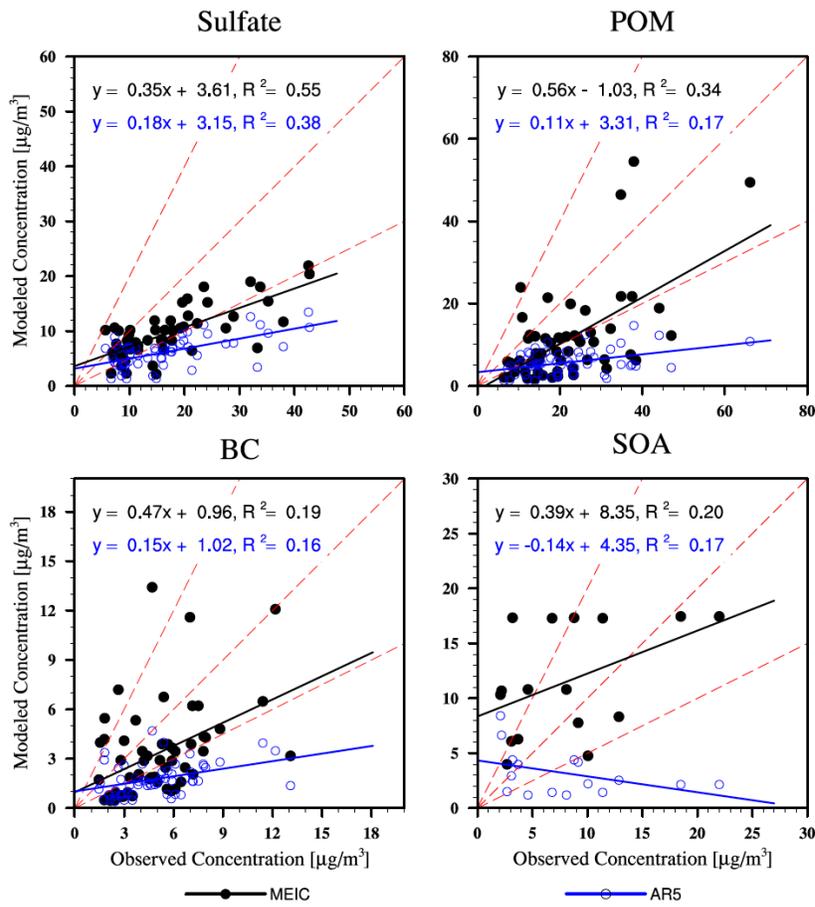
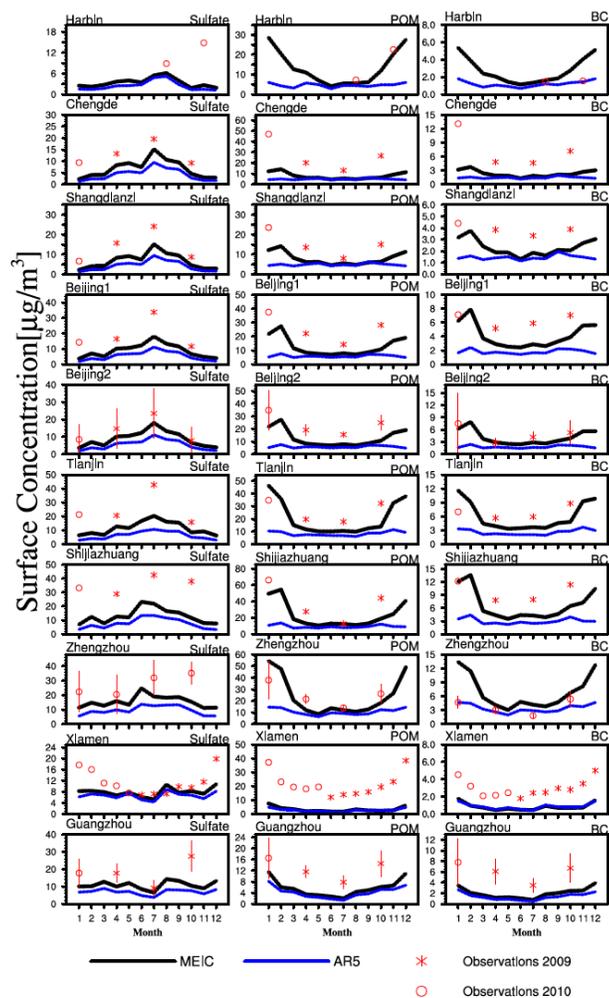
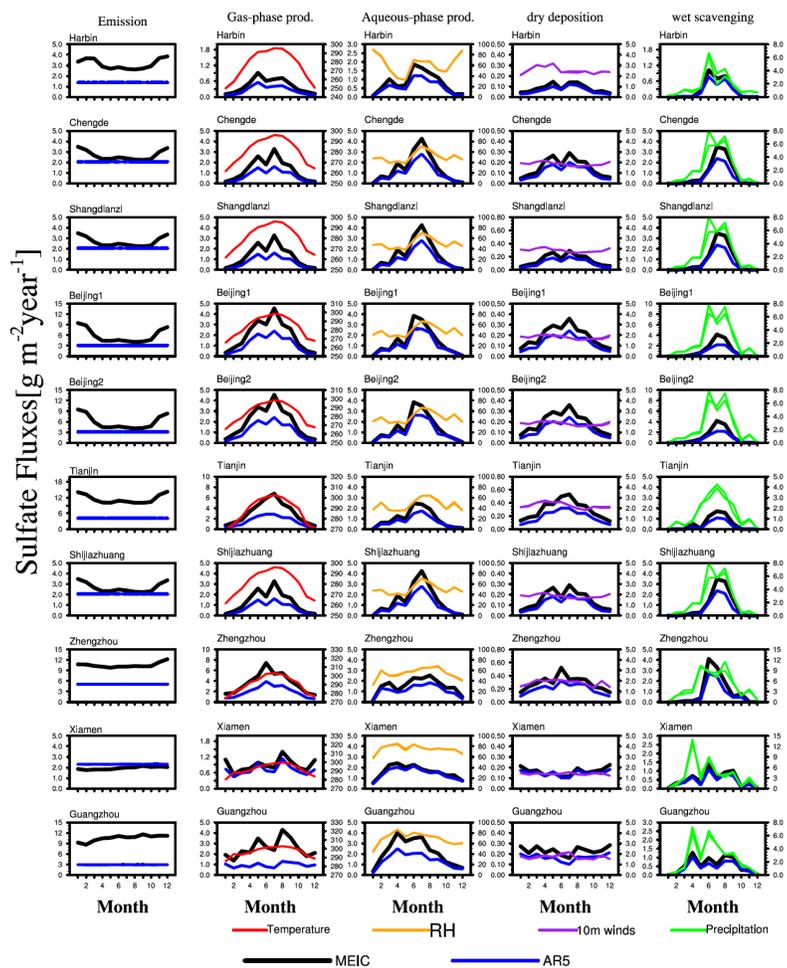


Figure 7. The monthly averaged surface concentrations of sulfate, POM, BC, and SOA using the MEIC emission and the AR5 emission compared with observations. The solid lines are linear regressions between the model results and observations. The red dashed lines represents the 1:2, 1:1, and 2:1 lines. The regression functions and coefficients of determination ( $R^2$ ) are also shown.

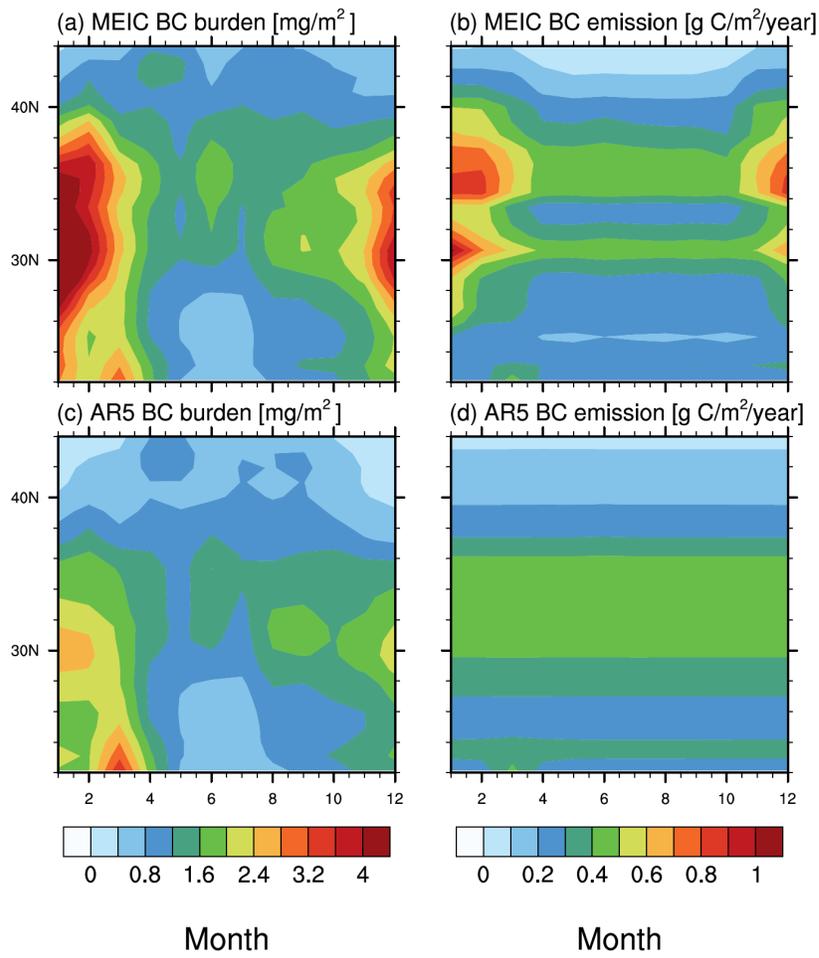


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Figure 8. The seasonal variations of monthly averaged surface concentrations of sulfate, POM, and BC modeled by CAM5-MAM3 using the MEIC (black lines) and the AR5 emissions (red lines) for year 2009 compared with the observations (asterisks for 2009 and hollow circles for 2010). Error bars stand for one standard deviation.

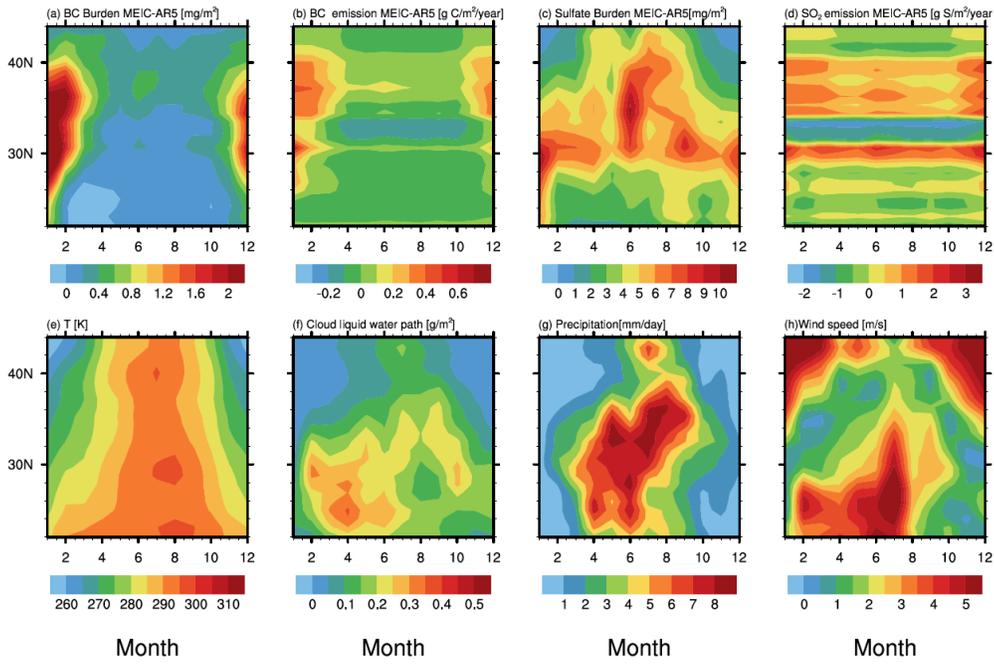


815 Figure 9. From left to right columns: (1) SO<sub>2</sub> emission rates from the MEIC (black) and the AR5 (blue) emission inventories, model simulations for year 2009 of (2) gas-phase chemistry production rates in the simulations by MEIC and AR5 and the surface temperature (red), (3) aqueous-phase production rates and the relative humidity at surface (yellow), (4) dry deposition rates and the 10-meter wind speed (purple), and (5) wet scavenging rates and the precipitation rate (green).



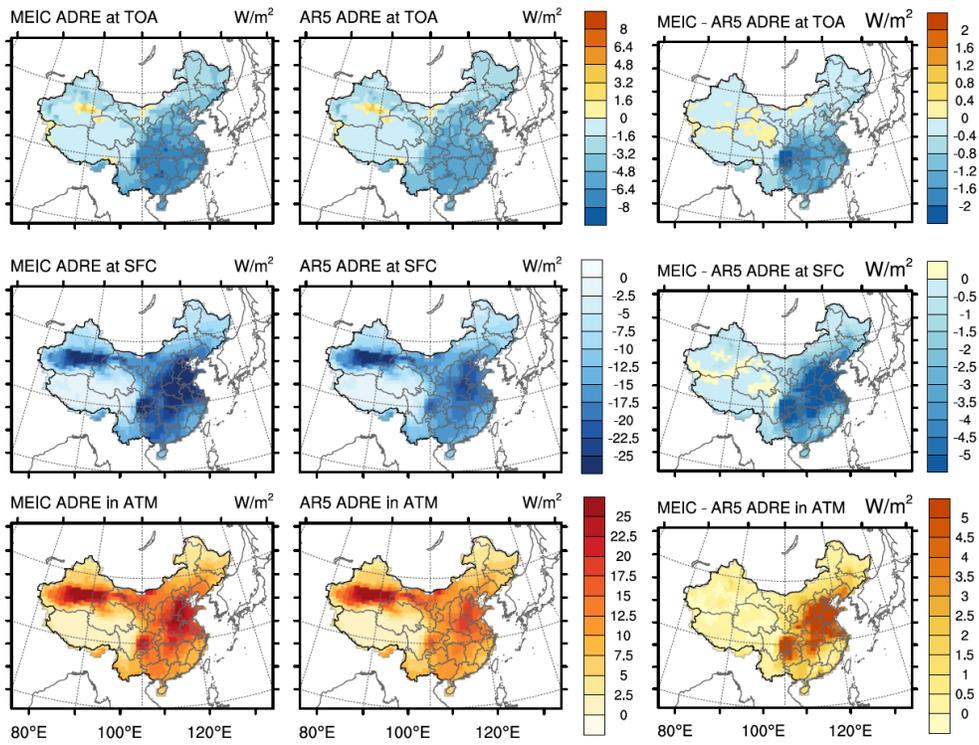
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Figure 10. The seasonal variations of longitudinal averaged ( $100^{\circ}$  E- $124^{\circ}$  E) of (a) burden of BC, (b) emission rate of BC using the MEIC emission, (c) burden of BC, (d) emission rate of BC using the AR5 emission inventory over eastern China in 2009.

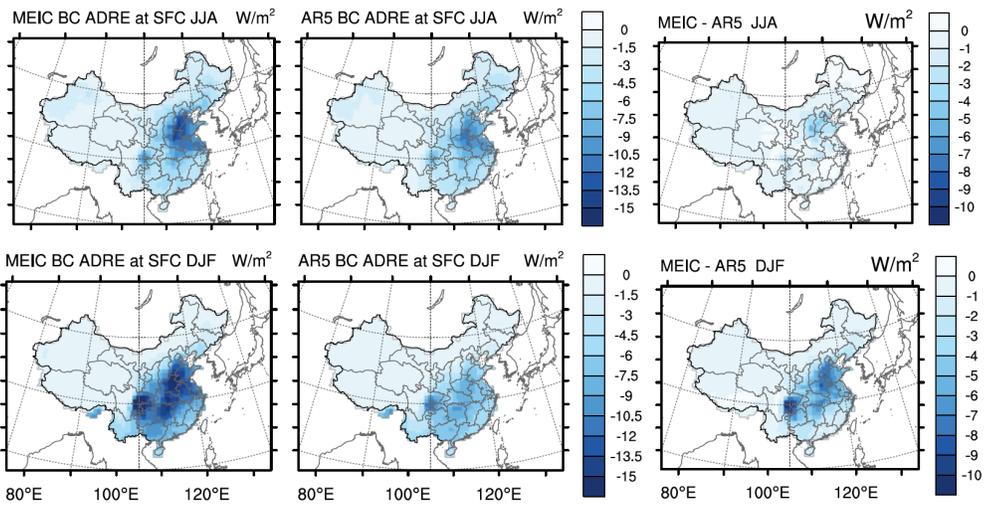


825 **Figure 11.** The seasonal variations of longitudinal averaged ( $100^\circ \text{E}$ - $124^\circ \text{E}$ ) differences of (a) BC burden, (b) BC emission, (c) sulfate aerosol burden, and (d)  $\text{SO}_2$  emission between the CAM5 simulations using the MEIC emission and the AR5 emission with identical meteorological variables of (e) temperature, (f) relative humidity at surface, (g) precipitation, and (h) horizontal wind speed over eastern China in 2009.

830



835 **Figure 12.** Spatial distributions of the annual averaged aerosol direct radiative effects (ADREs) at TOA, surface (SFC) and in the atmosphere (ATM) using the MEIC and the AR5 emissions and their differences in year 2009.



840 Figure 13. Spatial distributions of ADREs of BC in summer (June, July, August) and the winter (December, January, February) at the surface (SFC) in year 2009.

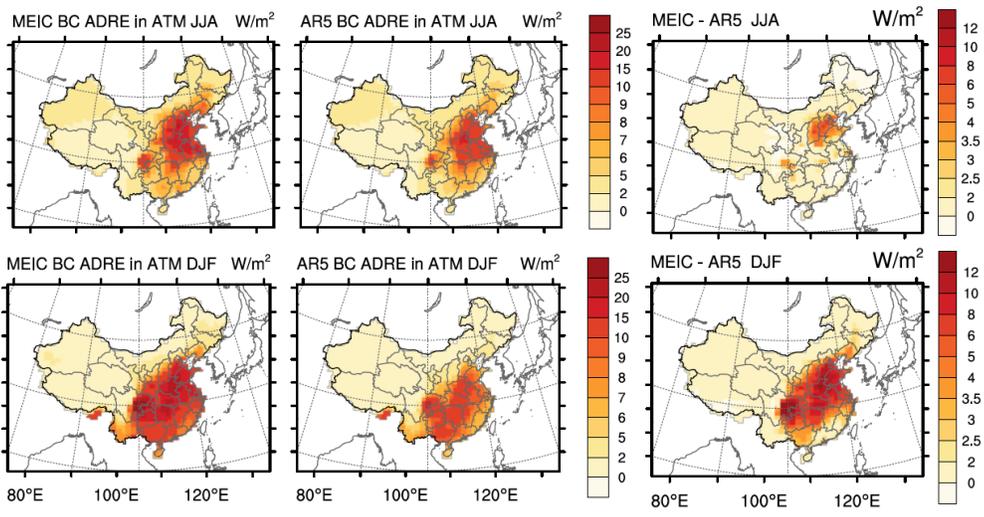
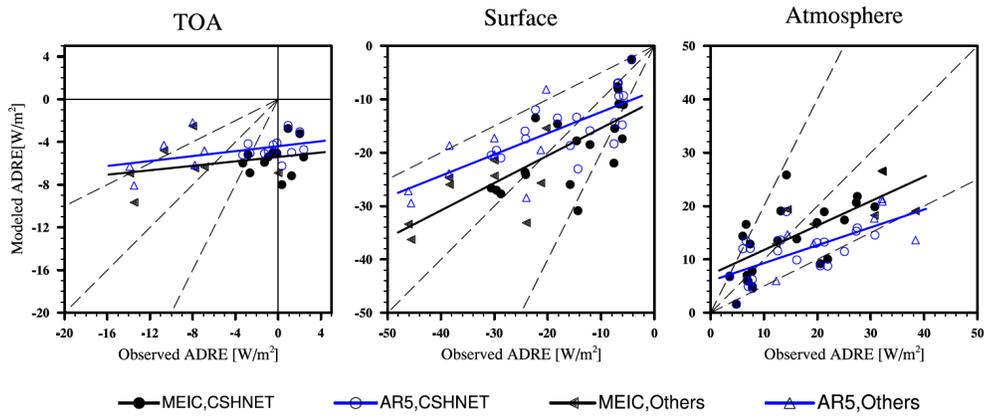
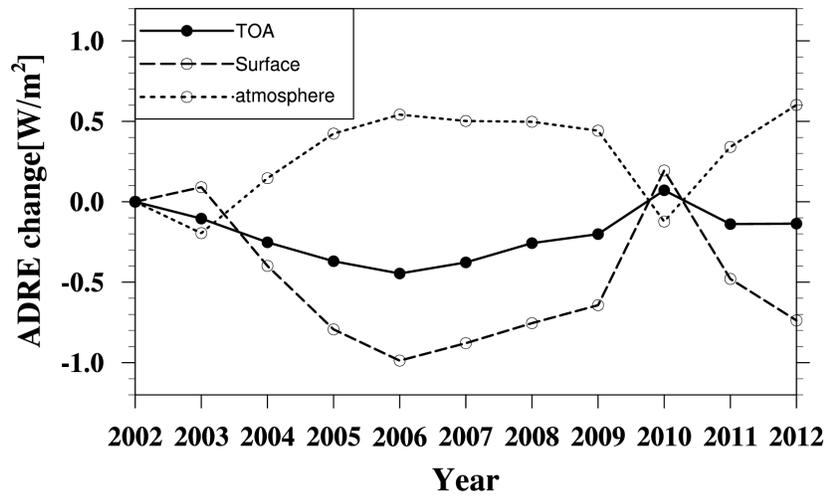


Figure 14. Same as Figure 13 but for the ADRES of BC in the atmosphere (ATM).



855 Figure 15. ADREs at TOA, surface, and atmosphere modeled by CAM5-MAM3 using the MEIC (black dots and triangles) and the AR5 (blue dots and triangles) emissions in year 2009 compared with ADRE observations from CSHNET (dots) in year 2005 and other observations (triangles) in China for various time period ranging from 2005-2012 (Table S4) at corresponding locations. The linear regression lines between the model and the observation are also shown.



860

Figure 16. The change of ADREs at TOA, surface and in the atmosphere relative to year 2002 due to the emission change from 2002 to 2012 in eastern China estimated by the MEIC development team.

## *Supplement of*

# **Emission or atmospheric processes? An attempt to attribute the source of large bias of aerosols in eastern China simulated by global climate models**

5 **T. Fan et al.**

*Correspondence to: T. Fan (fantianyi@bnu.edu.cn) and X. Liu (xliu6@uwoyo.edu)*

### **1. Mapping the MEIC emission inventory for CAM5**

In addition to running CAM5 with the default AR5 emission inventory, we implement the new emission inventory MEIC into the model. We replace the default AR5 emission with the MEIC emission in China and keep the same as the AR5  
10 emission elsewhere. We map the MEIC aerosols and precursor gases in different sectors to those required by the CAM5 chemistry and aerosol modules (Table S1). MEIC includes anthropogenic emissions in sectors named by power, industry, residential, and transportation, which correspond to the sectors of AR5 emission named by energy, industry, domestic, and transportation, respectively. Emissions due to shipping, agricultural waste burning and waste treatment as well as natural sources such as forest fires, grass fires and continuous volcanoes are not specified in the MEIC emission, so we keep them  
15 the same as those in the AR5 emission.

MEIC provides the emissions of aerosols and precursor gases including SO<sub>2</sub>, black carbon (BC), organic carbon (OC), and non-methane volatile organic compounds (NMVOCs). Additional work is done to obtain a full set of species required by CAM5-MAM3 aerosol simulations, which includes SO<sub>2</sub>, primary sulfate aerosol, BC, primary organic matter (POM), semi-volatile organic gas species (SOAG), dimethylsulfide (DMS), and number concentration in the accumulation and Aitken  
20 modes. These aerosols and precursor gases are emitted as surface or elevated sources. 2.5% (by molar) of the SO<sub>2</sub> emission is regarded as the primary sulfate aerosol emitted directly from sources following the Aerosol Comparisons between Observations and Models (AeroCom) protocol (Dentener et al., 2006) and the rest are emitted as SO<sub>2</sub>. The energy and industrial SO<sub>2</sub> and primary sulfate are treated as elevated sources at 3 levels between 100 and 300 m, and their sources due to forest fires and grass fires are emitted in six vertical levels at 0 to 6 km (Dentener et al., 2006). SO<sub>2</sub> and primary sulfate from  
25 agriculture, domestic, transportation, waste, and shipping sectors are emitted at surface. Primary sulfate aerosols from domestic and transportation are put in the Aitken mode and those from other sectors are put in the accumulation mode (Liu et al., 2012).

The POM emission is assumed to be 1.4 times the OC emission in order to include the aerosol mass of other elements (i.e., oxygen, hydrogen, and nitrogen) (Seinfeld and Pandis, 1998). POM and BC from forest fires and grass fires are treated as

30 elevated sources, while POM and BC from other sectors are treated as surface sources. All POM and BC aerosols are put in  
the accumulation mode. The SOAG species in CAM5-MAM3 is a lumped semi-volatile organic gas-phase species that can  
condense onto pre-existing aerosols to form SOA. Since the IPCC AR5 dataset does not provide biogenic volatile organic  
compound (VOC) emission, to simulate SOA in CAM5-MAM3, the SOAG emission is derived from the emission fluxes of  
35 five primary VOC categories (isoprene, monoterpenes, big alkanes, big alkenes, toluene) that are prescribed from the Model  
for OZone And Related chemical Tracers version 4 (MOZART-4) dataset (Emmons et al., 2010). In MOZART-4 the  
biogenic emissions of isoprene and monoterpenes are based on the Model for Emissions of Gases and Aerosols Emissions  
from Nature (MEGAN) (Guenther et al., 2006). The MEIC emission provides anthropogenic sources of the five VOC  
categories and the mapping table for lumping the MEIC VOC species to MOZART is provided by Li et al. (2014). Since the  
MEIC emission inventory does not provide biogenic sources of isoprene and monoterpenes, which are much larger than their  
40 anthropogenic sources, we make the total emissions from anthropogenic and natural sources of these two species the same as  
those in the AR5 emission. The MEIC VOC emissions are then multiplied by the species molecular weights, the assumed  
mass yields (Liu et al., 2012), then divided by 1.4 (the POM/OC ratio) to derive the SOAG emission. We note that the  
SOAG emission is increased by a factor of 1.5 in CAM5 to account for the large uncertainty in SOA formation.

The number emission fluxes are calculated from the mass fluxes for sulfate, BC, and OC in a consistent way as those in the  
45 AR5 emission. The mass to number conversion is based on  $E_{number} = E_{mass} / (\frac{\pi}{6} \rho D_v^3)$ , where  $D_v$  is the volume-mean  
emitted diameter and  $\rho$  is the aerosol particle density (Liu et al., 2012). Since there are no corresponding sulfate, BC, or  
POM emissions from agricultural waste burning, waste treatment, forest fire, grass fire and continuous volcanoes in the  
MEIC emission, we use the number fluxes from the AR5 emission for these sectors.

Since the reanalysis data used for our offline meteorology is for year 2009, we obtain the emissions in 2009 by the linear  
50 interpolation between year 2008 and 2010 for the MEIC emission and between year 2005 and 2010 for the AR5 emission.

**Table S1. Mapping the MEIC emission to CAM5-MAM3 emission input data in China.**

Species	Elevation	Sectors in CAM5 <sup>a</sup>	AR5 emission rates <sup>c</sup> (Gg/year)	Sectors in MEIC and mapping treatment <sup>b</sup>	MEIC emission rates <sup>f</sup> (Gg/year)
SO <sub>2</sub>	Surface	dom	1692.2	res SO <sub>2</sub> x 97.5%	1593.3
		tra	289.0	tra SO <sub>2</sub> x 97.5%	101.1
		awb	29.9	- <sup>c</sup>	29.9
		wst	0.0	-	0.0
		shp	11.2	-	11.2
	Elevated	ene	7946.7	pow SO <sub>2</sub> x 97.5% <sup>d</sup>	4650.3
		ind	2937.5	Ind SO <sub>2</sub> x 97.5%	7826.3
		forest fire	7.0	-	7.0

		grass fire	1.4	-	1.4
		contvolc	0.0	-	0.0
<b>Acc. mode</b>	Surface	awb	0.8	-	0.8
<b>sulfate</b>		wst	0.0	-	0.0
		shp	0.3	-	0.3
	Elevated	ene	203.3	pow SO <sub>2</sub> x 2.5%	119.2
		ind	75.3	ind SO <sub>2</sub> x 2.5%	200.7
		forest fire	0.2	-	0.2
		grass fire	0.0	-	0.0
		contvolc	0.0	-	0.0
<b>Ait. mode</b>	Surface	dom	43.4	res SO <sub>2</sub> x 2.5%	40.9
<b>sulfate</b>		tra	7.4	tra SO <sub>2</sub> x 2.5%	2.6
	Elevated	contvolc	0.0	-	0.0
<b>Total sulfur</b>			<b>13246.0</b>		<b>14585.2</b>
<b>BC</b>	Surface	ene	20.5	pow BC	1.9
		ind	853.8	Ind BC	546.4
		dom	581.9	res BC	881.3
		tra	74.7	tra BC	286.1
		awb	43.9	-	43.9
		wst	6.4	-	6.4
		shp	0.3	-	0.3
	Elevated	fst	9.8	-	9.8
		grs	3.6	-	3.6
<b>Total BC</b>			<b>1595.0</b>		<b>1779.8</b>
<b>POM</b>	Surface	ene	93.6	pow OC x 1.4	0.0
		ind	1567.8	ind OC x 1.4	707.5
		dom	2314.5	res OC x 1.4	3778.8
		tra	129.5	tra OC x 1.4	148.3
		awb	292.8	-	292.8
		wst	9.0	-	9.0
		shp	0.5	-	0.5

	Elevated	fst	185.3	-	185.3
		grs	36.2	-	36.2
<b>Total POM</b>			<b>4629.0</b>		<b>5158.4</b>
<b>SOAG</b>	Surface	BIGALK	179.8	(pow + ind + tra + res ALK3, ALK4, ALK5)*molecular weight *mass yield*1.5 <sup>g</sup>	376.2
		BIGENE	33.6	(pow + ind + tran + res OLE2) *molecular weight *mass yield*1.5	91.4
		TOLUENE	352.8	(pow + ind + tra + res ARO1, ARO2) *molecular weight *mass yield*1.5	1031.9
		ISOPRENE	712.6	-	712.6
		TERPENE	1289.6	-	1289.6
<b>Total SOAG</b>			<b>2568.3</b>		<b>3501.6</b>
<b>DMS</b>	Surface		8.2	-	8.2

<sup>a</sup> The AR5 sector abbreviations are dom (domestic), tra (transportation), ind (industry), ene (energy), wst (waste treatment), awb (agricultural waste burning), shp (shipping), fst (forest fire), grs (grass fire) and contvolc (continuous volcano).

55 <sup>b</sup> The MEIC sector abbreviations are res (residential), tra (transportation), ind (industry), and pow(power).

<sup>c</sup> “-” means that the species in the sector is the same as AR5 emission.

<sup>d</sup> The elevated energy and industry emissions are emitted in mass fraction of 15.5% , 75.1%, and 9.4% at approximately 30, 130, and 280 meters.

60 <sup>e</sup> The masses of SO<sub>2</sub>, sulfate and DMS are in unit of Gg of Sulfur per year in China. The unit of BC mass is Gg of Carbon per year and the units of POM and SOAG mass are Gg of POM per year, which is assumed to be 1.4 times OC (or Carbon) mass.

<sup>f</sup> The units are the same as in the column of AR5 emission rates.

<sup>g</sup> Atomic compositions for BIGALK, BIGENE, TOLUENE are C<sub>5</sub>H<sub>12</sub>, C<sub>4</sub>H<sub>8</sub>, and C<sub>6</sub>H<sub>5</sub>(CH<sub>3</sub>), respectively. Mass yields of BIGALK, BIGENE, and TOLUENE are 5%, 5%, 15%, respectively.

## 65 2. Comparing MEIC and AR5 emission inventories

In East Asia the AR5 emission inventory incorporates the Regional Emission inventory in ASia (REAS) dataset (Ohara et al., 2007). The IPCC AR5 emission inventory has been widely used for global and regional climate studies (Giorgi et al., 2009; Jones et al., 2011; Shindell et al., 2013). Figure S1 compares the MEIC and AR5 emission inventories in China. The spatial distributions of the two emission inventories are generally consistent. The MEIC emission rates in China are 14.59 Tg Sulfur (S)/year, 1.78 Tg Carbon (C) yr<sup>-1</sup>, 5.16 C Tg yr<sup>-1</sup>, 3.50 C Tg yr<sup>-1</sup> for SO<sub>2</sub> (including 5% mass contributed by the primary sulphate that is directly emitted), BC, POM, and SOAG, respectively (Table S1) and are 10.11%, 11.59%, 11.44%, and 36.34% higher than the AR5 emissions, respectively. The emissions are mostly concentrated in eastern China where the MEIC emission rates are 13.60 Tg S/year, 1.59 Tg C/year, 4.38 Tg C/year, 2.86 C Tg/year for SO<sub>2</sub> (including primary sulfate), BC, POM, and SOAG, respectively, which are 12.57%, 13.35%, 12.04%, and 46.88% higher than the AR5 emissions, respectively. SO<sub>2</sub>, BC and POM emissions in MEIC are generally higher in northern China and the Sichuan Basin than those in AR5, whereas they are lower in Southern China. The SOAG are higher in MEIC than AR5 in most part of eastern China, especially in southern China. Both emission inventories highlight the emission “hotspots” in the Jing-Jin-Ji region, Henan, Shangdong, Jiangsu Provinces, and the Sichuan Basin. SO<sub>2</sub> industrial emission is the major contributor to the “hotspots”. Industrial and domestic emissions result in the high POM and BC emission in these “hotspots”. Dust emission is identical between the two runs since the same constrained surface wind speed drives the dust emission.

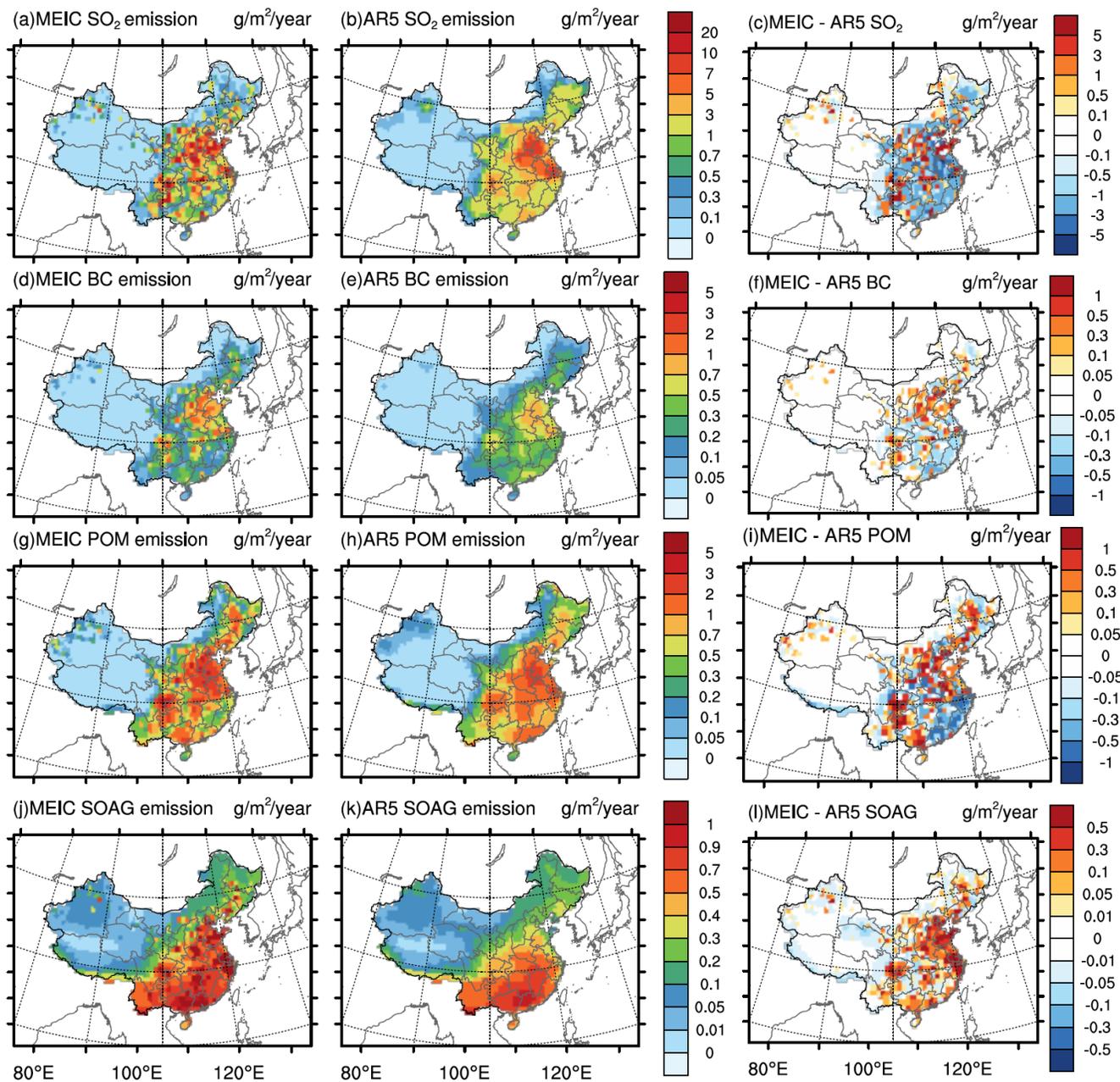


Figure S1. The spatial distributions of the MEIC emission, the AR5 emission and their difference for (a)-(c) SO<sub>2</sub>, (d)-(f) BC, (g)-(i) POM, and (j)-(l) SOAG of year 2009 in China.

### 3. Comparing MEIC and CEDS emission inventories

The Community Emission Data System (CEDS) is newly released and is intended for use in CMIP6 (Hoesly et al., 2017). According to Hoesly et al. (2017), CEDS follows a completely different approach than the country-level emission inventory, such as MEIC. A global default dataset was first compiled using activity data (e.g., energy consumption),  
90 emission factors, and emission inventories. Then a “mosaic” strategy is used to scale the default emission estimates to authoritative country-level inventories. For China the CEDS dataset is scaled to MEIC for year 2008, 2010, and 2012 (Li et al., 2017) for most chemical species, except that BC and OC emissions are calculated using SPEW data (Bond et al., 2007). Gridded data are finally constructed using normalized spatial proxy (EDGAR gridded emission or HYDE population) distributions for each country. In terms of seasonality, the monthly fractions used in CEDS are from ECLIPSE project  
95 and do not change by year. Since our study is confined to eastern China, we do not consider smoothness with the surrounding area, MEIC is adequate and even better in seasonality than CEDS.

The overall spatial distributions of MEIC and CEDS are similar, but local difference exists between the two inventories (Figure S2). Seasonal variations also show similar trend for BC and OC (Figure S3). However, significant difference can be found for SO<sub>2</sub>. The magnitude of SO<sub>2</sub> emission in MEIC is about 20% lower than that in CEDS. Compared with MEIC,  
100 CEDS SO<sub>2</sub> emission shows smoother seasonal cycle characterized by high emission rates in winter and low emission rates in the summer. By examining the emission rates by sectors, we find that all sectors in MEIC SO<sub>2</sub> emission are smaller than CEDS (Table S2). Particularly, the energy/power sector produced SO<sub>2</sub> in MEIC (4853.8 Gg S/year) is 32% lower than that in CEDS (7171.2 Gg S/year). We also noticed that BC and OC emissions in the MEIC power/energy sector (2.0 Gg C/year and 0.035 Gg C/year, respectively) are much smaller than BC and OC emission in CEDS (654.6 Gg C/year and 1115.9 Gg  
105 C/year, respectively). This could be due to the use of SPEW data (Bond et al., 2007) for BC and OC emissions in CEDS (Hoesly et al., 2017). Generally, the CEDS emission for eastern China is comparable with MEIC since CEDS is scaled to country-level inventories. Without improvements in the aerosol process, the similar low-bias over eastern China in CMIP5 GCMs are expected in CMIP6.

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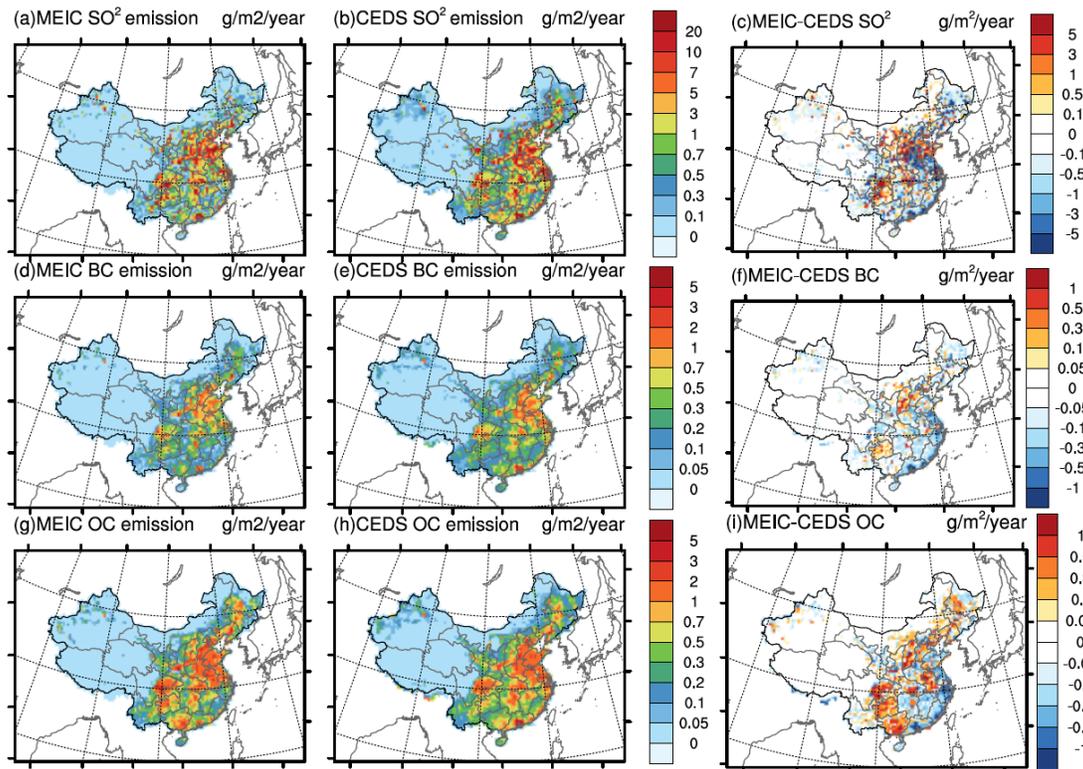
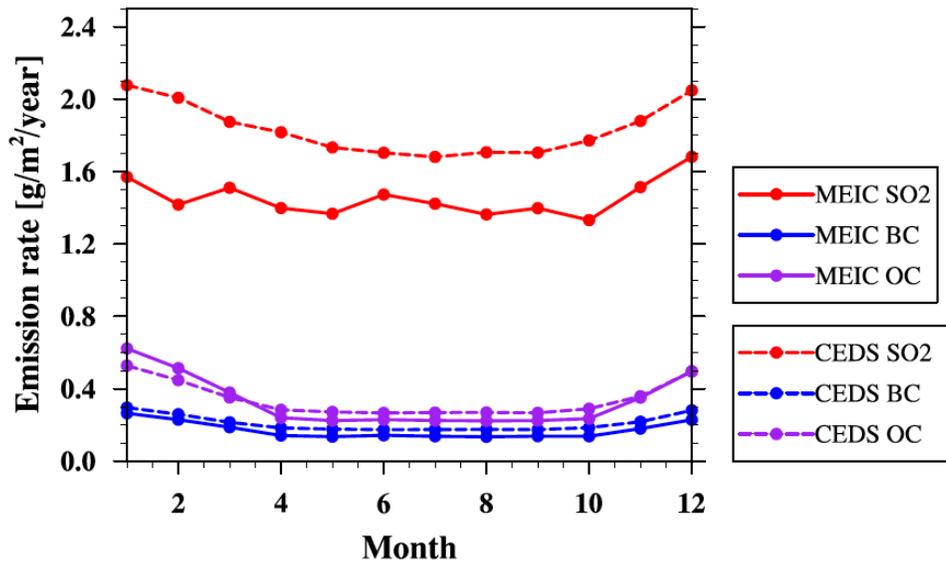


Figure S2. The spatial distributions of the MEIC emission, the AR5 emission and their difference for (a)-(c) SO<sub>2</sub>, (d)-(f) BC, (g)-(i) POM of year 2009 in China.



115 Figure S3. Seasonal variations of sulfate, BC, and POM in the MEIC emission and the CEMS emission in China for year 2009.

**Table S2. Statistics of the anthropogenic emission of SO<sub>2</sub> (Gg S/year), BC(Gg C/year), and OC(Gg C/year) in MEIC and CEDS inventories for year 2009 in China**

Species/Sectors	MEIC	CEDS	
SO <sub>2</sub>	industry	8273.5	9399.9
	power	4853.8	7171.2
	residential	1649.2	1950.0
	transportation	106.6	237.4
	total	14883.1	18758.4
BC	industry	560.8	277.7
	power	2.0	654.6
	residential	893.8	962.3
	transportation	293.5	245.0
	total	1750.1	2139.5
OC	industry	517.8	232.4
	power	0.035	1115.9
	residential	2743.9	2033.9
	transportation	108.9	107.0
	total	3370.6	3489.1

120 **4. Observations of aerosol chemical compositions in China**

**Table S3. The observations of surface concentrations of chemical species in PM<sub>2.5</sub> over eastern China in 2009 and 2010.**

Locations	Coordinates	Time	Chemical species	References	Location type
Harbin	45.82°N, 126.56°E	Aug-Dec, 2010	SO <sub>4</sub> ,BC,OC	Huang et al.[2014]	urban
Chengde	40.95°N, 117.96°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Zhao et al. [2013]	urban
Shangdianzi	38.04°N, 114.51°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Zhao et al. [2013]	rural
Beijing1	39.93°N, 116.30°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Zhao et al. [2013]	urban

Beijing2	39.99°N, 116.30°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Zhang et al. [2013]	urban
Tianjin	39.08°N, 117.20°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Zhao et al. [2013]	urban
Shijiazhuang	38.04°N, 114.51°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Zhao et al. [2013]	urban
Zhengzhou	34.80°N, 113.50°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Geng et al. [2013]	urban
Shanghai	31.18°N, 121.42 °E	Jan, 2009	SO <sub>4</sub> ,BC,OC	Feng et al. [2012]	urban
	31.25°N, 121.46 °E	Oct, 2005; Jan/Apr/July,2006	SOA	Feng et al. [2009]	urban, suburban
Wuhan	30.50°N, 114.3 °E	Aug 2012- July 2013	SOA	Zhang et al. [2015]	urban, suburban
Chengdu*	30.65°N, 104.00°E	Apr/May, 2009	SO <sub>4</sub> ,BC,OC	Tao et al., [2013]	urban
Xiamen	24.58°N, 118.09°E	Jun,2009- May,2010	SO <sub>4</sub> ,BC,OC	Zhang et al. [2012]	urban
Guangzhou	23.10°N, 113.3°E	April/July/Oct, 2009;Jan, 2010	SO <sub>4</sub> ,BC,OC	Tao et al. [2014]	urban
	23.70°N, 113.6°E	Mar,2012- Mar,2013	SOA	Lai et al.[2015]	rural

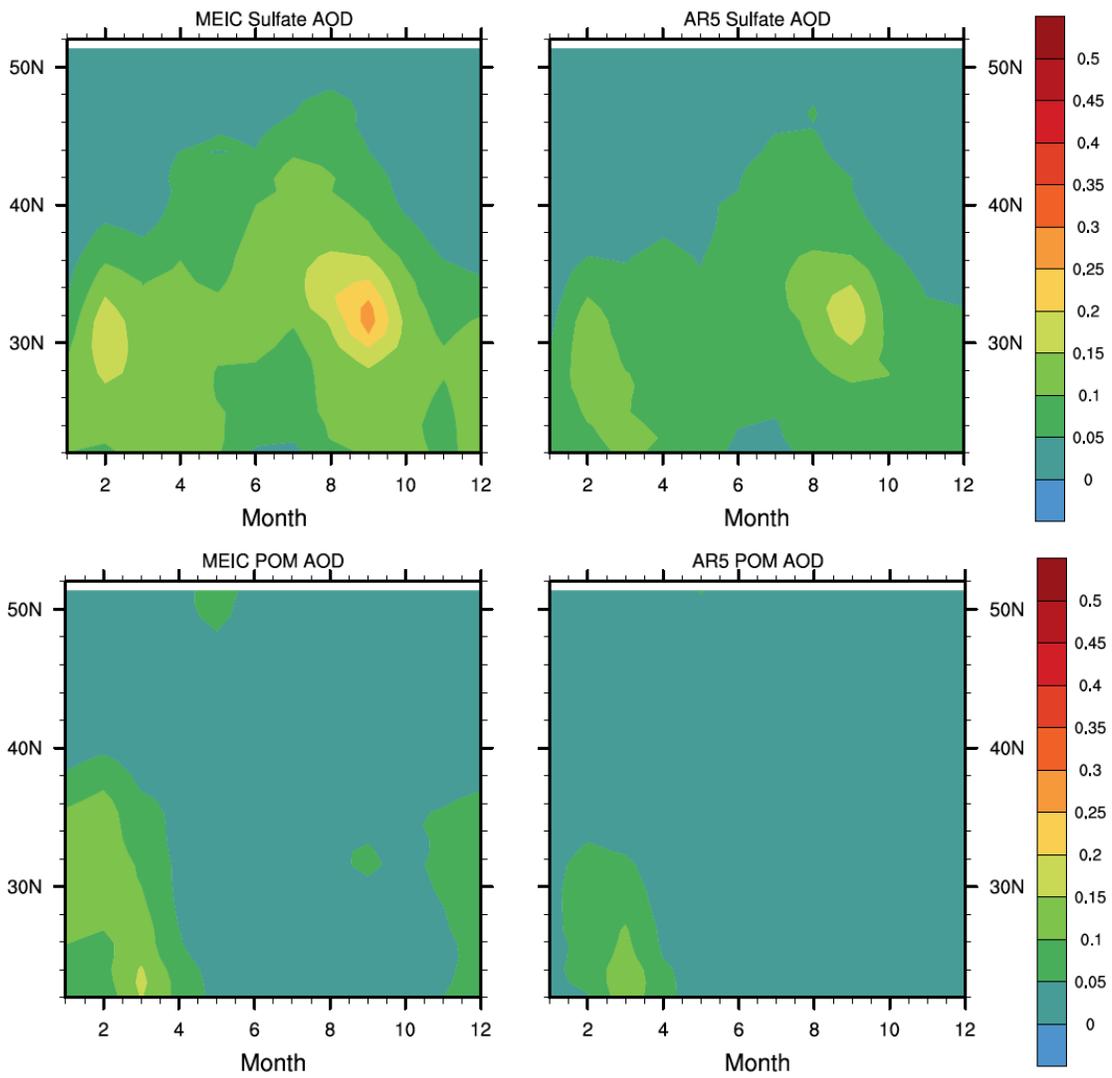
\* *Tao et al.* [2013] highlights the importance of the dust and biomass burning episodes to the chemical composition of PM<sub>2.5</sub>. We use their data on non-episodic days.

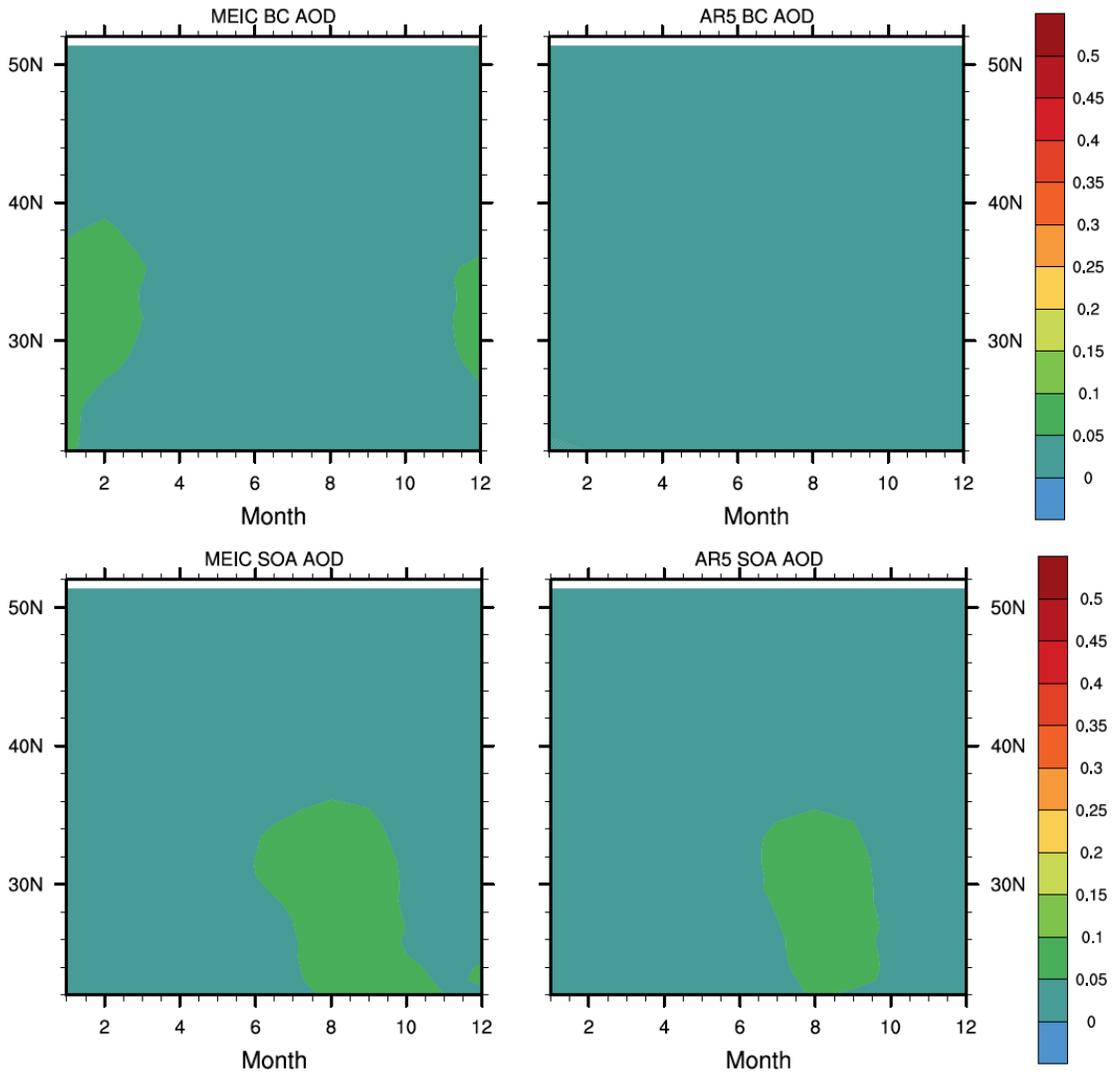
## 125 5. Observations of aerosol direct radiative effects in China

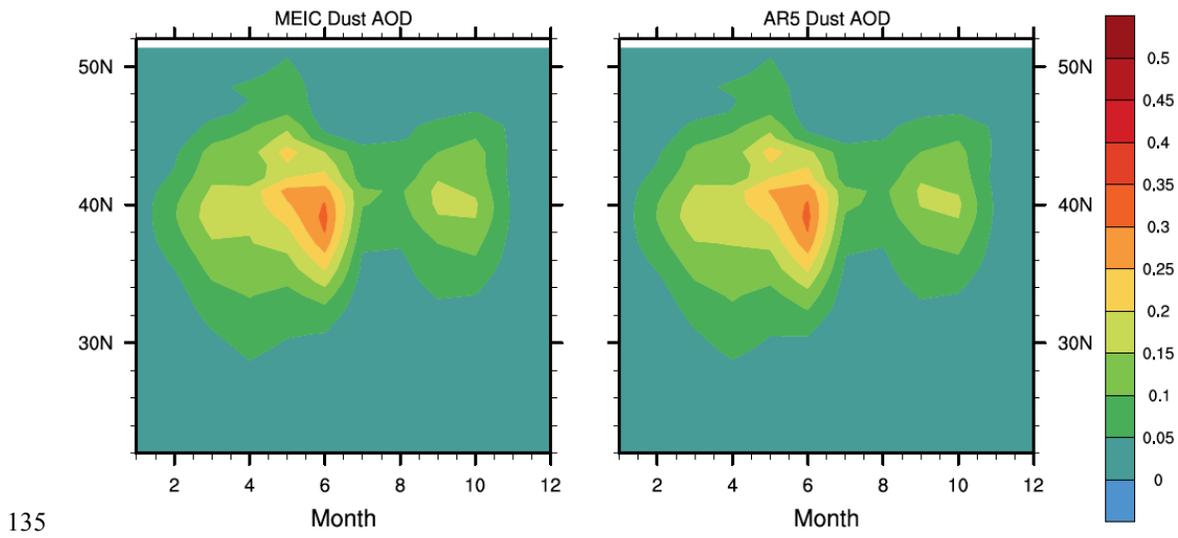
**Table S4. Aerosol direct radiative effects (ADREs) at TOA, surface (SFC), and within the atmosphere (ATM) in different regions and periods in China.**

Region	References	Period	TOA (W m <sup>-2</sup> )	SFC (W m <sup>-2</sup> )	ATM (W m <sup>-2</sup> )
<i>CSHNET</i>	Li et al. (2010)	Jan.-Dec. 2005			
Ansai (36.85°N, 109.31°E)	Xin et al. (2007)		-0.46	-12.08	12.58
Beijing (39.97°N, 116.37°E)			-3.30	-30.60	27.30
Beijing Forest (39.96°N, 115.43°E)			-0.91	-7.59	6.66

Changbai Mt. (42.40°N, 128.63°E)			-6.67	6.82	
Eerduosi (39.48°N, 110.18°E)			-6.02	6.02	
Fengqiu (35.00°N, 114.40°E)			-0.12	-14.34	14.22
Fukang (44.28°N, 87.92°E)			2.03	-5.80	7.80
Haibei (37.45°N, 101.32°E)					3.57
Hailun (47.43°N, 126.63°E)				-6.78	7.06
Jiaozhou Bay (35.90°N, 120.18°E)			-2.81	-24.12	21.31
Lanzhou (36.07°N, 103.82°E)				-22.29	21.94
Lhasa (29.67°N, 91.33°E)				-4.28	4.83
Sanjiang (47.58°N, 133.52°E)			0.93	-6.92	7.85
Shanghai (31.12°N, 121.75°E)				-24.26	25.09
Shapotou (37.45°N, 104.95°E)				-7.45	7.42
Shenyang (41.52°N, 123.63°E)				-14.58	16.15
Taihu (31.40°N, 120.22°E)			-2.64	-15.79	13.15
Taoyuan (28.92°N, 111.45°E)			0.35		19.95
Xianghe (39.75°N, 116.96°E)			-1.28	-28.78	27.50
Yanting (31.27°N, 105.45°E)			1.26	-29.61	30.78
Xishuangbanna(21.9°N, 101.27°E)			2.40	-18.17	20.55
<i>Others</i>					
Xianghe (39.75°N, 116.96°E)	Li et al. (2007)	Jan.-Dec. 2004-2005		-24	
Beijing (39.98°N, 116.38°E)	Xia et al. (2007a)	Dec.-Feb.	-8.0	-20.3	
		Mar.-May	-13.9	-46.1	
		Jun.-Aug.	-13.5	-45.6	
		Sep.-Nov.	-10.7	-30.0	
		2001-2005			
Liaozhong (41.50°N, 120.70°E)	Xia et al. (2007b)	Mar.-May 2005		-30	
Taihu (31.70°N, 120.36°E)	Xia et al. (2007c)	Jan.-Dec. 2005-2006	0	-38.4	
Nanjing (32.05°N, 118.78°E)	Zhuang et al. (2014)	Jan.-Dec. 2011-2012	-6.9	-21.3	
SACOL (35.95°N, 104.10°E)	Liu et al. (2011)	May 2009	-7.78	-38.45	30.68

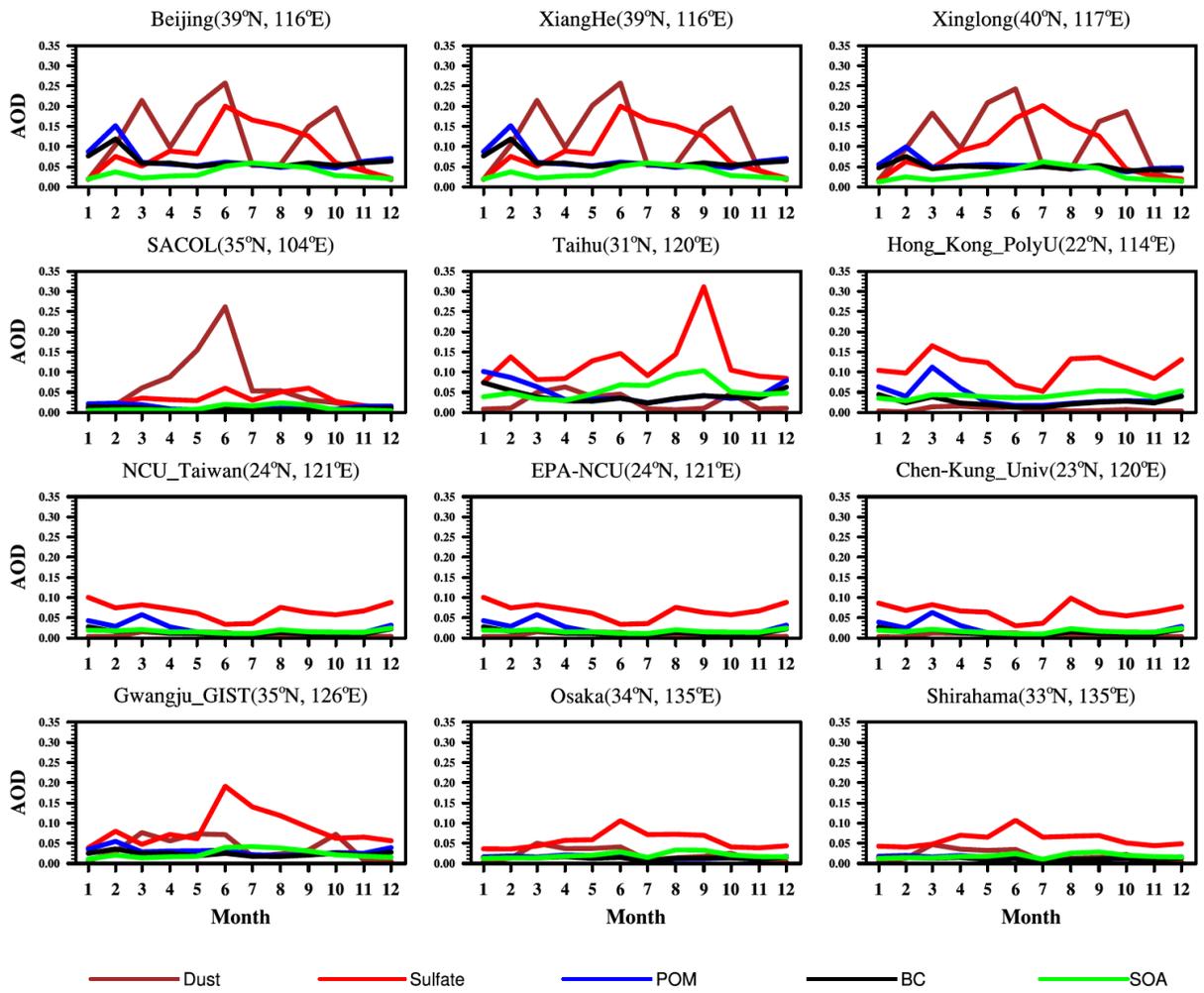






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**Figure S4. The seasonal variation of longitudinal averaged (100°E-124°E) AOD at 550 nm by aerosol components (dust, sulphate, BC, POM, and SOA from top to bottom) simulated by CAM5-MAM3 using the MEIC emission (left column) and the AR5 emission (the right column).**



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Figure S5. Seasonal variations of monthly mean AODs by aerosol species at 12 AERONET sites simulated by CAM5 using the MEIC emission inventory.

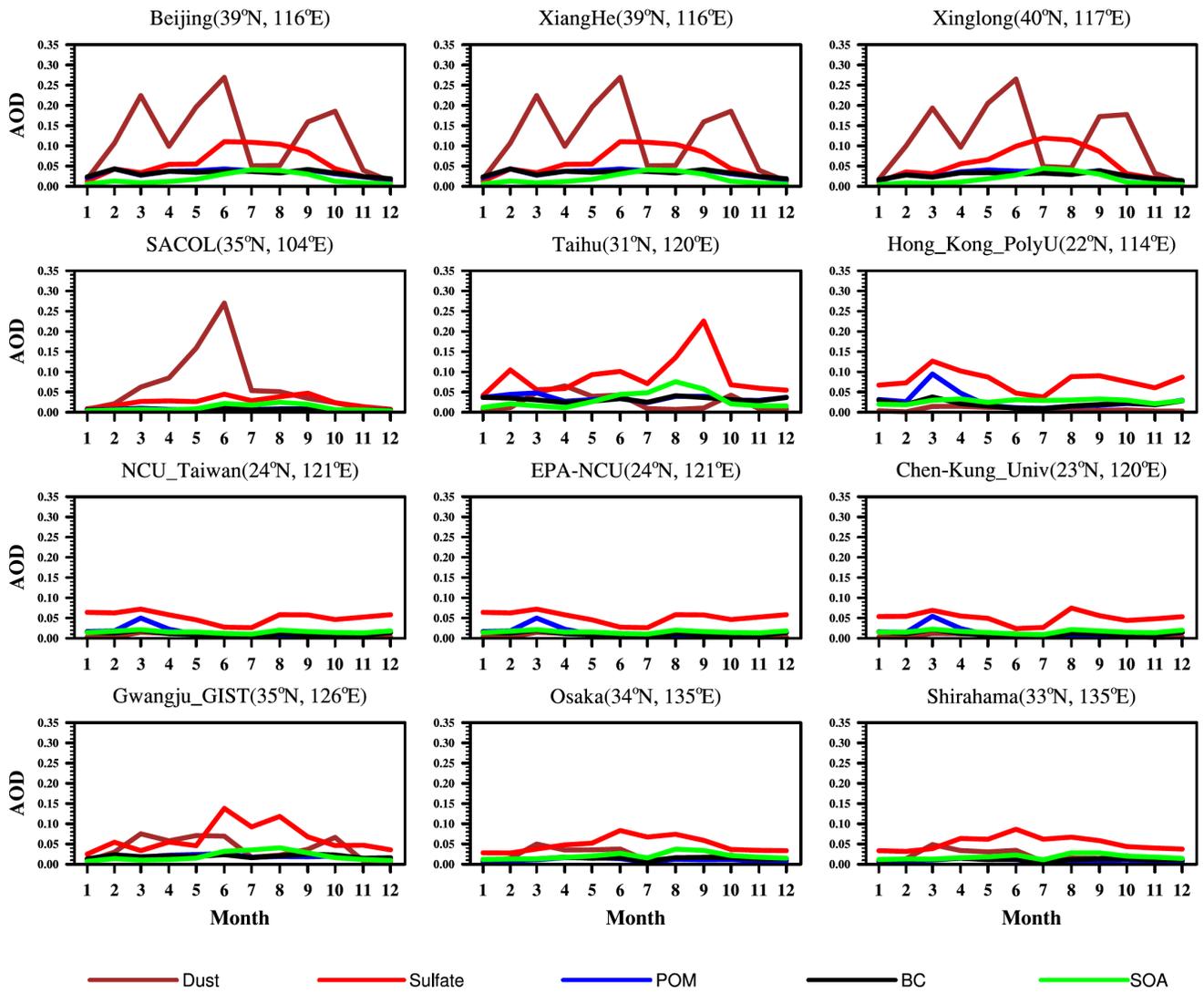
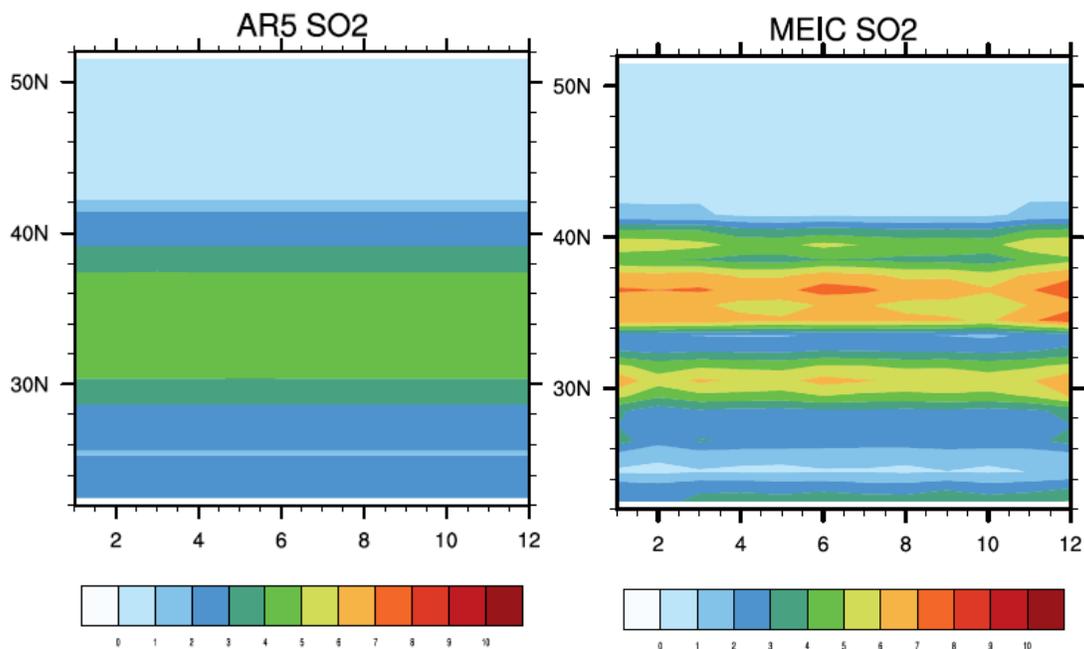
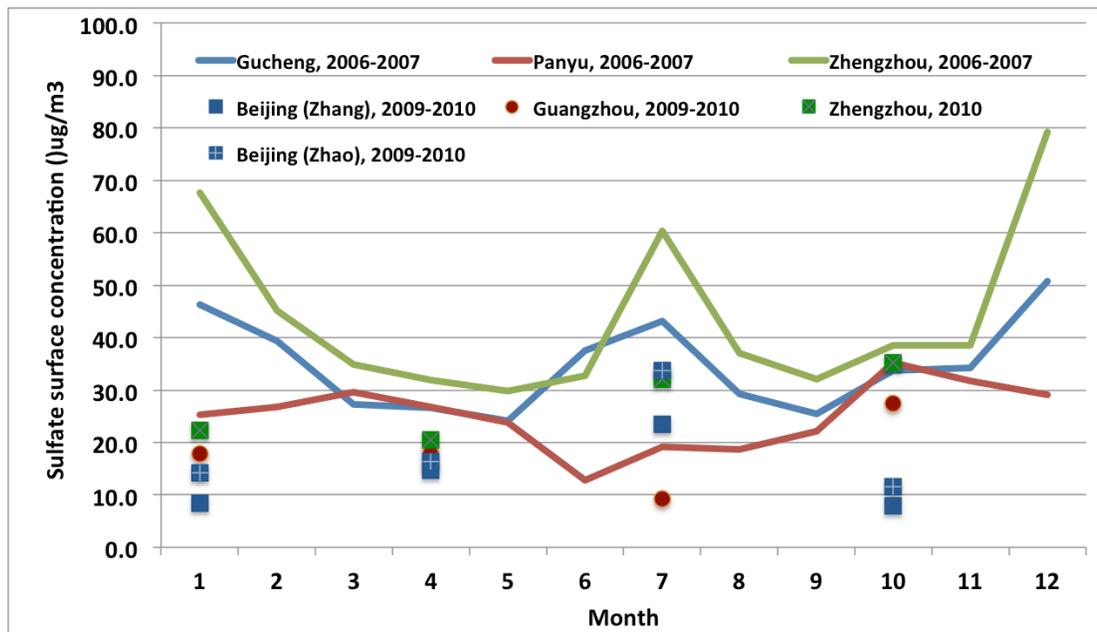


Figure S6. Same as Figure S3 but using the AR5 emission inventory.



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Figure S7. The seasonal variation of longitudinal averaged SO<sub>2</sub> emission from AR5 and MEIC [g S/m<sup>2</sup>/year].



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Figure S8. Seasonal variations of surface concentration of sulfate at three locations (Gucheng, Panyu, and Zhengzhou) from CAWNET from 2006 to 2007. For comparison, the observations near the three CAWNET locations in our study (Beijing, Guangzhou, Zhengzhou) from 2009 to 2010 are also shown in dots.

## 7. Decadal trend of emission from 2002 to 2012 over eastern China

155 Figure S7 shows the MEIC's SO<sub>2</sub>, BC, and OC emission trends from 2002 to 2012 in eastern China. Since spatially-gridded MEIC emission data are only available for 2008, 2010, and 2012, we obtained the spatial distribution and seasonal variation of other years by scaling the spatial-temporal variation of the emission in 2008 with the annual mean emission rates in these years. The annual mean emission rates of each species (SO<sub>2</sub>, BC, and OC) are estimated by the MEIC development team. Each species in different sectors (power, energy, residential, and transportation) has a different scaling factor. The annual trends are consistent with other researches (Lu et al., 2011; Lei et al., 2009) although the absolute values are different. We use the MEIC estimations because the algorithm and the database of fuel usage (i.e., China Energy Statistical Yearbook), are the same basis as the MEIC emission that we used for 2009.

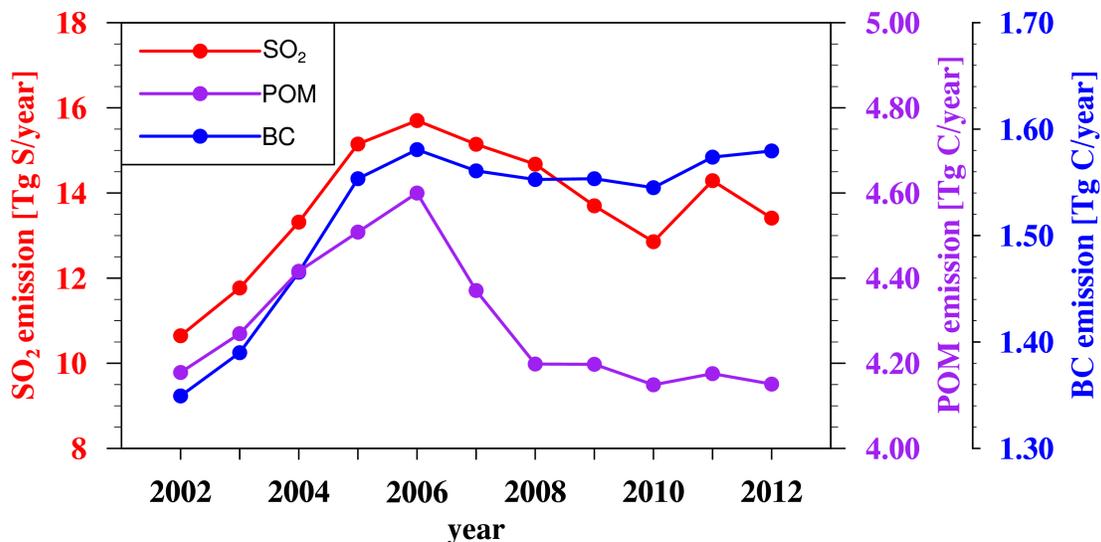


Figure S9. The change of emission rates of SO<sub>2</sub>, BC, and POM from year 2002 to 2012 over eastern China.

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## 8. Aerosol-meteorological interaction in the fast processes

In the nudged simulations, only horizontal winds are nudged toward the reanalysis with a relaxation time scale of 6 hours. This approach facilitates direct evaluation of model aerosols against observations at particular times and locations when the errors (and uncertainties associated with natural variability) in the modeled large-scale circulation is minimized.

170 **Temperature and moisture are not nudged in this study.** As evaluated in Zhang et al (2014), nudging temperature and moisture creates a large perturbation to the model state, resulting in unrealistic behaviour for cloud and convection parameterizations because these parameterizations are calibrated based on the free-running model climate. Because winds are constrained, the advection of heat and moisture are constrained to some degree (when the difference in local temperature and moisture between two simulations is small), but local source and sink terms for atmospheric temperature and moisture are computed according to the model fast processes (e.g., cloud processes) and land processes (climatological sea surface

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temperatures are prescribed in the two simulations). The changes in atmospheric temperature and moisture can in turn influence the gas- and aqueous-phase chemistry and aerosols.

Our analysis shows that there are small differences in the temperature ( $\Delta T < 1$  K) and moisture ( $\Delta RH < 3\%$ ) between the MEIC run and the AR5 run in Figures 9 and 11 as shown in the figure below. However, the differences are almost indiscernible compared to seasonal variation, which is about 30-40 K in northern China and about 20 K in southern China (red curves in the second column of Figure 9). The temperature and moisture differences between the two simulations are indiscernible in Figure 9. The small changes in temperature and moisture reflect the differences in aerosol effects on meteorology through fast processes between the two aerosol emissions. Total impacts on temperature and moisture can be assessed by using a fully coupled, free-running earth system model, which is beyond the scope of this study (since we focus on the aerosol radiative forcing).

Next, we show that this temperature difference is reasonable. The magnitude of the temperature difference is the result of change of equilibrium state from AR5 to MEIC aerosol, which can be regarded as a radiative forcing ( $\Delta F$ ), i.e., the energy change induced by different aerosol loadings between the two runs. The radiative forcing  $\Delta F$  is calculated from difference between ADREs in the two simulations ( $-10.34 \text{ Wm}^{-2}$  for the AR5 run and  $-12.76 \text{ Wm}^{-2}$  for the MEIC run, see Table 2), which is  $-2.42 \text{ Wm}^{-2}$ . We can obtain the change of surface temperature ( $\Delta T_s$ ) by multiplying  $\Delta F$  with the climate sensitivity,  $\alpha$ ,

$$\Delta T_s = \alpha \Delta F$$

The climate sensitivity is estimated to be  $\sim 4$  K with a doubling of  $\text{CO}_2$  ( $3.7 \text{ Wm}^{-2}$ ) for CAM5. Therefore, the direct response of surface temperature, in the absence of the ocean feedbacks, is about 1 K.

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Meteorological variables MEIC-AR5

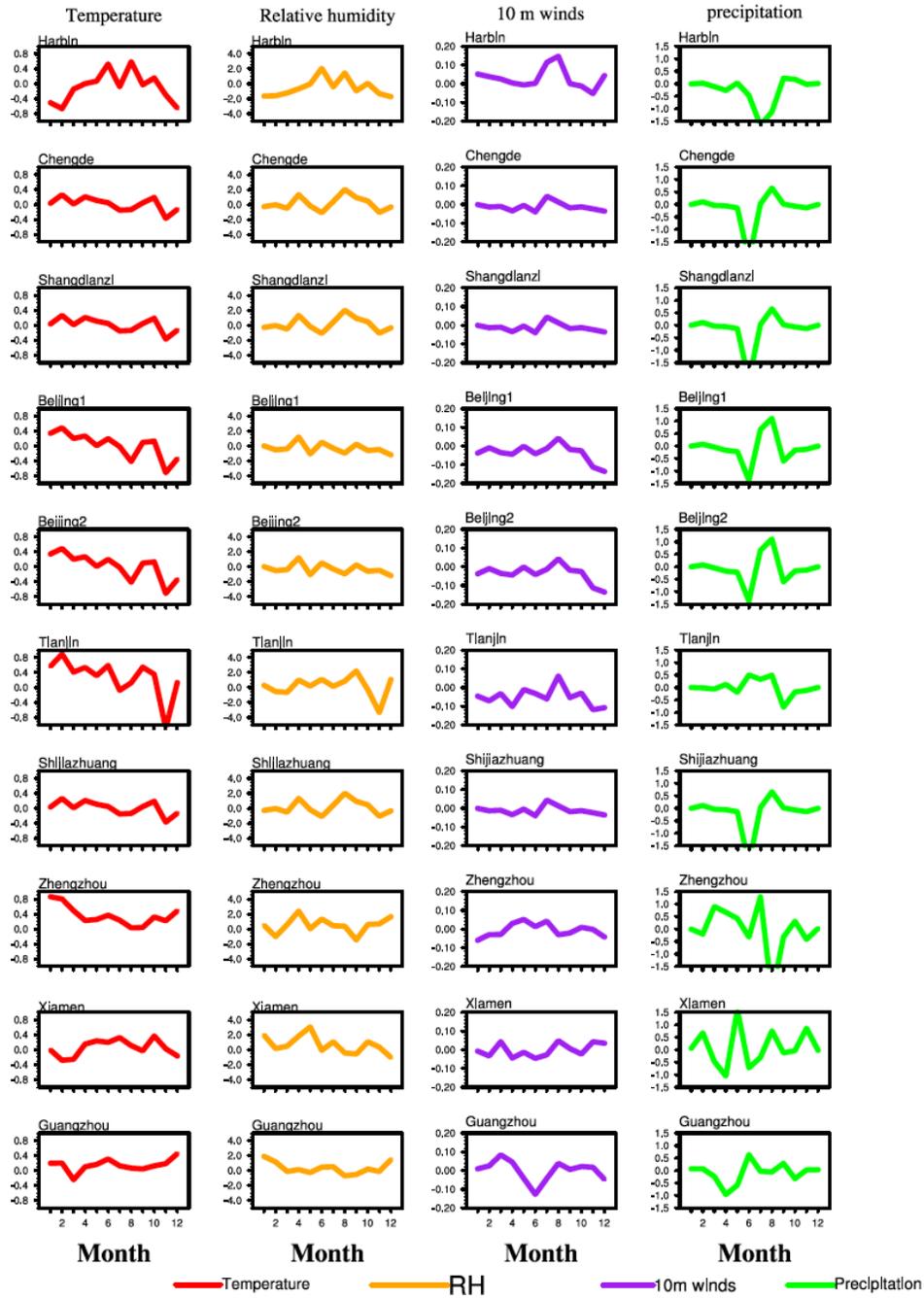


Figure S10. Seasonal variation of the differences between the meteorological variables due to atmospheric and land fast processes introduced by aerosol differences between the MEIC and AR5 simulations in 10 locations in eastern China from north to south. From left to right: temperature (unit: K), relative humidity (unit: %), 10-m winds (unit:  $\text{ms}^{-1}$ ), precipitation (unit: mm/day).

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