- <u>Title:</u> Fluorescent Bioaerosol Particle, Molecular Tracer, and Fungal Spore Concentrations during Dry
   and Rainy Periods in a Semi-Arid Forest
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### 19 Abstract:

- Bioaerosols pose risks to human health and agriculture and may influence the evolution of mixed-phase 20 21 clouds and the hydrological cycle on local and regional scales. The availability and reliability of methods 22 and data on the abundance and properties of atmospheric bioaerosols, however, are rather limited. Here 23 we analyze and compare data from different real-time Ultraviolet Laser/Light Induced Fluorescence (UV-24 LIF) instruments with results from a culture-based spore sampler and offline molecular tracers for 25 airborne fungal spores in a semi-arid forest in the Southern Rocky Mountains of Colorado. Commercial 26 UV-APS (Ultraviolet Aerodynamic Particle Sizer) and WIBS-3 (Wideband Integrated Bioaerosol Sensor, 27 Version 3) instruments with different excitation and emission wavelengths were utilized to measure 28 fluorescent aerosol particles (FAP) during both dry weather conditions and periods heavily influenced by 29 rain. Seven molecular tracers of bioaerosols were quantified by analysis of total suspended particle (TSP) 30 high-volume filter samples using High Performance Anion Exchange Chromatography with Pulsed 31 Amperometric Detection (HPAEC-PAD). From the same measurement campaign, Huffman et al. (2013) previously reported dramatic increases in total and fluorescent particle concentrations during and 32 immediately after rainfall and also showed a strong relationship between the concentrations of FAP and 33 ice nuclei (Huffman et al., 2013; Prenni et al., 2013). Here we investigate molecular tracers and show that 34 during rainy periods the atmospheric concentrations of arabitol  $(35.2 \pm 10.5 \text{ ng m}^{-3})$  and mannitol  $(44.9 \pm 10.5 \text{ ng}^{-3})$ 35 36 13.8 ng m<sup>-3</sup>) were 3-4 times higher than during dry periods. During and after rain the correlations between 37 FAP and tracer mass concentrations were also significantly improved. Fungal spore number 38 concentrations on the order of  $10^4$  m<sup>-3</sup>, accounting for 2-4% of TSP mass during dry periods and 17-23% 39 during rainy periods, were obtained from scaling the tracer measurements and from multiple analysis methods applied to the UV-LIF data. Endotoxin concentrations were also enhanced during rainy periods, 40 41 but showed no correlation with FAP concentrations. Average mass concentrations of erythritol, 42 levoglucosan, glucose, and  $(1\rightarrow 3)$ - $\beta$ -D-glucan in TSP samples are reported separately for dry and rainy 43 weather conditions. Overall, the results indicate that UV-LIF measurements can be used to infer fungal spore concentrations, but substantial development of instrumental and data analysis methods seems 44
- 45 required for improved quantification.

### 46 **1. Introduction**

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47 Primary biological aerosols particles (PBAP) are of keen interest within the scientific community, 48 partially because methods for their quantification and characterization are advancing rapidly (Huffman 49 and Santarpia, 2016; Sodeau and O'Connor, 2016). The term PBAP, or equivalently bioaerosol, generally comprises several classes of airborne biological particles including viruses, bacteria, fungal spores, pollen 50 and their fragments (Després et al., 2012; Fröhlich-Nowoisky, 2016). Fungal spores are of particular 51 52 atmospheric interest because they can cause a variety of deleterious health effects in humans, animals, 53 and agriculture, and it has been shown that they can represent a significant fraction of total organic aerosol emissions (Deguillaume et al., 2008; Gilardoni et al., 2011; Madelin, 1994), especially in tropical 54 55 regions (Elbert et al., 2007; Huffman et al., 2012; Pöschl et al., 2010; Zhang et al., 2010). Current 56 estimates of the atmospheric concentration of fungal spores range from  $10^0$  to more than  $10^4$  m<sup>-3</sup> 57 (Frankland and Gregory, 1973; Gregory and Sreeramulu, 1958; Heald and Spracklen, 2009; Hummel et 58 al., 2015; Sesartic and Dallafior, 2011). Fungal spores may also impact the hydrological cycle as giant cloud condensation nuclei (GCCN) or as ice nuclei (IN) (Haga et al., 2013; Morris et al., 2013; Sesartic et 59 60 al., 2013). Additionally, several classes of bioaerosols and their constituent components, such  $(1\rightarrow 3)$ - $\beta$ -D-glucan and endotoxins, have been implicated in respiratory distress and allergies (Burger, 1990; 61 Douwes et al., 2003; Laumbach and Kipen, 2005; Linneberg, 2011; Pöschl and Shiraiwa, 2015). For 62 63 example, asthma and allergies have shown notable increases during thunderstorms due to elevated bioaerosol concentrations (Taylor and Jonsson, 2004) especially when attributed to fungal spores (Allitt, 64 65 2000; Dales et al., 2003).

67 Molecular tracers have long been utilized as a means of aerosol source tracking (Schauer et al., 68 1996; Simoneit and Mazurek, 1989; Simoneit et al., 2004). In recent years, analysis of molecular tracers has been utilized for the quantification of PBAP in atmospheric samples and has been compared, for 69 example, with results from microscopy (Bauer et al., 2008a) and culture samples (Chow et al., 2015b; 70 71 Womiloju et al., 2003). Three organic molecules have been predominately utilized as unique tracers of 72 fungal spores: ergosterol, mannitol, and arabitol. The majority of atmospherically relevant fungal spores 73 are released by active wet discharge processes common in Ascomycota and Basidiomycota, meaning that 74 the fungal organism actively ejects spores at a time most advantageous for the spore dispersal and 75 germination processes, often when relative humidity (RH) is high (Ingold, 1971). While there are several 76 mechanisms of active spore emission (e.g. Buller's drop (Buller, 1909) and osmotic pressure canons 77 (Ingold, 1971)), they each involve the secretion of fluid containing hygroscopic compounds, such as 78 arabitol, mannitol, potassium and chloride ions, as well as other solutes (Elbert et al., 2007), released near 79 the site of spore growth. When the spores are ejected, some of the fluid adheres to the spores and becomes aerosolized. Several of these secreted compounds are thought to enter the atmosphere linked uniquely 80 81 with spore emission processes, and so these tracers have been used to estimate atmospheric 82 concentrations of fungal spores. Arabitol and mannitol are both sugar alcohols (polyols) that serve as 83 energy stores for the spore (Feofilova, 2001). Arabitol is unique to fungal spores and lichen, while 84 mannitol is present in fungal spores, lichen, algae, and higher plants (Lewis and Smith, 1967). Ergosterol 85 is found within the cell membranes of fungal spores (Weete, 1973) and has been used as an ambient fungal spore trace (Di Filippo et al., 2013; Miller and Young, 1997). Comparing the seasonal trends of 86 87 arabitol and mannitol with ergosterol, Burshtein et al. (2011) showed positive correlations between arabitol or mannitol and ergosterol only in the spring and autumn suggesting that the source of these 88 polyols is unlikely to be solely fungal in origin or that the amount of each compound emitted varies 89 90 considerably between species type and season. While ergosterol has been directly linked to fungal spores in the air, ergosterol is prone to photochemical degradation and is difficult to analyze and quantify 91 92 directly. Quantification of ergosterol typically requires chemical derivatization by silylation before 93 analysis via gas chromatography (Axelsson et al., 1995; Burshtein et al., 2011; Lau et al., 2006). In contrast, analysis of sugar alcohols by ion chromatography involves fewer steps and has been successfully 94 95 applied to monitor seasonal variations of atmospheric aerosol concentration at a number of sites (Bauer et al., 2008a; Caseiro et al., 2007; Yang et al., 2012; Yttri et al., 2011a; Zhang et al., 2010; Zhang et al., 96

97 2015) including pg m<sup>-3</sup> levels in the Antarctic (Barbaro et al., 2015). By measuring spore count and tracer 98 concentration in parallel at one urban and two suburban sites in Vienna, Austria Bauer et al. (2008a) 99 estimated the amount of each tracer per fungal spore emitted. Potassium ions have also been linked to 100 emission of biogenic aerosol (Pöhlker et al., 2012b) and are co-emitted with fungal spores, however, 101 application of potassium as a fungal tracer is uncommon because it is predominantly associated with 102 biomass burning (Andreae and Crutzen, 1997). Additionally, (1→3)-β-D-glucan (fungal spores and 103 pollen) and endotoxins (gram-negative bacteria) have also been widely used to measure other bioaerosols

- 104 (Andreae and Crutzen, 1997; Cheng et al., 2012; Rathnayake et al., 2016b; Stone and Clarke, 1992).
- 106 The direct detection of PBAP has historically been limited to analysis techniques that require 107 culturing or microscopy of the samples. These systems are time-consuming, costly, and often 108 substantially undercount biological particles by an order of magnitude or more (Goncalves et al., 2010; 109 Pyrri and Kapsanaki-Gotsi, 2007). The sampling methods associated with these measurements also offer relatively low time resolution and low particle size resolution. Recently, techniques utilizing ultraviolet 110 laser/light-induced fluorescence (UV-LIF) for the real-time detection of PBAP have been developed and 111 are being utilized by the atmospheric community for bioaerosol detection. Thus far, the most widely 112 applied LIF instruments for ambient PBAP detection have been the Ultraviolet Aerosol Particle Sizer 113 114 (UV-APS; TSI Inc. Model 3314, St. Paul, MN) and the Wideband Integrated Bioaerosol Sensor (WIBS; University of Hertfordshire, Hertfordshire, UK, now licensed to Droplet Measurement Technologies, 115 116 Boulder, CO, USA). Both of these commercially available instruments can provide information in realtime about particle size and fluorescence properties of supermicron atmospheric aerosols. 117 Characterization and co-deployment of these instruments over the past ten years has expanded the 118
- knowledge base regarding how to analyze and utilize the information provided from these instruments
  (Crawford et al., 2015; Healy et al., 2014; Hernandez et al., 2016; Huffman et al., 2013; Perring et al.,
  2015; Pohlker et al., 2013; Pöhlker et al., 2012a; Ruske et al., 2016), though the interpretation of UV-LIF
  results from individual particles is complicated by interfering material that is not biological in nature
  (Gabey et al., 2010; Huffman et al., 2012; Lee et al., 2010; Saari et al., 2013; Toprak and Schnaiter,
  2013).
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Here we present analysis of atmospheric concentrations of arabitol and mannitol in relation to 126 127 results from real-time, ambient particle measurements reported by UV-APS and WIBS. We interrogate these relationships as they pertain to rain conditions (rainfall and RH) that have previously been shown to 128 129 increase the concentrations of fluorescent aerosols and ice nuclei (Crawford et al., 2014; Huffman et al., 130 2013; Prenni et al., 2013; Schumacher et al., 2013; Yue et al., 2016). Active wet discharge of ascospores and basidiospores has frequently been reported to correspond with increased RH (Elbert et al., 2007), and 131 132 fungal spore concentration has also been shown to increase after rain events (e.g. Jones and Harrison, 133 2004). Here we estimate airborne fungal concentrations in a semi-arid forest environment utilizing a 134 combination of real-time fluorescence methods, molecular fungal tracer methods, and direct-to-agar 135 sampling and culturing as parallel surrogates for spore analysis. This study of ambient aerosol represents the first quantitative comparison of real-time aerosol UV-LIF instruments with molecular tracers or 136

- 137 culturing.
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- 139 **2. Methods**

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# 141 2.1 Sampling site

Atmospheric sampling was conducted as a part of the BEACHON-RoMBAS (Bio-hydroatmosphere interactions of Energy, Aerosols, Carbon, H<sub>2</sub>O, Organics, and Nitrogen – Rocky Mountain Biogenic Aerosol Study) field campaign conducted at the Manitou Experimental Forest Observatory (MEFO) located 48 km northwest of Colorado Springs, Colorado (2370 m elevation, 39° 06' 0" N, 105° 5' 03" W) (Ortega et al., 2014). The site is located in the central Rocky Mountains and is representative of semi-arid montane pine forested regions of North America. During BEACHON-RoMBAS a large, international team of researchers conducted an intensive set of measurements from 20 July to 23 August 2011. A summary of results from the campaign are published in the BEACHON campaign special issue of Atmospheric Chemistry and Physics<sup>1</sup>. All the data reported here were gathered from instruments and sensors located within a <100 m radius (Fig. 1).

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## **2.2 Online fluorescent instruments**

154 UV-APS and WIBS-3 (Model 3; University of Hertfordshire) instruments were operated 155 continuously as a part of the study, and particle data were integrated to five-minute averages before 156 further analysis. The UV-APS was operated under procedures defined in previous studies (Huffman et al., 2013; Schumacher et al., 2013). A total suspended particle (TSP) inlet head ~5.5 m above ground, 157 mounted above the roof of a climate-controlled, metal trailer, was used to sample aerosol directed towards 158 159 the UV-APS. Bends and horizontal stretches in the 0.75 inch tubing were minimized to reduce losses of 160 large particles (Huffman et al., 2013). The UV-APS detects particles between 0.5-20 µm and records aerodynamic particle diameter and integrated total fluorescence (420-575 nm) after pulsed excitation by a 161 355 nm laser (Hairston et al., 1997). Both UV-APS and WIBS instruments report information about 162 particle number concentration, but it is instructive here to show results in particle mass for comparison 163 164 between all techniques. Total particle number size distributions (irrespective of fluorescence properties) obtained from the UV-APS and WIBS were converted to mass distributions assuming spherical particles 165 of unit particle mass density, unless otherwise stated, as a first approximation. Total particle concentration 166 167 values (in  $\mu$ g m<sup>-3</sup>) were obtained for each five-minute period by integrating over the size range 0.5 – 15  $\mu$ m, and these mass concentration values were averaged over the length of the filter sampling periods. 168 Uncertainty in mass concentration values reported here is influenced by assuming a single value for 169 170 particle mass density and because of slight dissimilarities between size bins of UV-APS and WIBS 171 instruments at particle sizes above 10 µm that dominate particle mass.

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173 A WIBS-3 was used to continuously sample air at a site ~50 m from the UV-APS trailer and 1.3 m above the ground. Briefly, the diameter of individual particles sampled by the WIBS is estimated by 174 the intensity of the elastic side-scatter from a continuous wave 635 nm diode laser and analyzed by a Mie 175 scattering model (Foot et al., 2008; Kaye et al., 2005). Particles that pass through the diode laser activate 176 two optically-filtered Xenon flash lamps. The first lamp excites the particle at 280 nm and the second at 177 178 370 nm. Emission from the 280 nm excitation is filtered separately for two PMTs, one which detects in a 179 band at 320-400 nm and the other in a band at 410-650 nm. These excitation and emission wavelengths 180 result in a total of three channels of detection:  $\lambda_{ex}$  280 nm,  $\lambda_{em}$  320 – 400 nm (FL 1 or Channel A);  $\lambda_{ex}$  280 nm,  $\lambda_{em}$  410 – 650 nm (FL 2 or Channel B); and  $\lambda_{ex}$  370 nm,  $\lambda_{em}$  410– 650 nm (FL 3 or Channel C) 181 (Crawford et al., 2014). Individual particles are considered fluorescent here if they exceed fluorescent 182 183 thresholds for any channel, as defined as the average of a "forced trigger" baseline plus 3 standard 184 deviations ( $\sigma$ ) of the baseline measurement (Gabey et al., 2010). 185

186 WIBS particle-type analysis is utilized to define types of particles that have specific spectral patterns. As defined by Perring et al. (2015), the 3 different fluorescent channels (FL1, FL2, and FL3) can 187 be combined to produce 7 unique fluorescent categories. Observed fluorescence in channel FL1 alone, but 188 189 without any detectable fluorescence in Channel FL2 or FL3, categorizes a particle as type A. Similarly, observed fluorescence in channels FL2 or FL3, but in no other channels, places a particle in the B or C 190 191 categories, respectively. Combinations of fluorescence in these channels, such as a particle that exhibits fluorescence in both FL1 and FL2 categorizes a particle as type AB and so on for a possible seven particle 192 types as summarized in Figure S1. 193

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<sup>&</sup>lt;sup>1</sup>http://www.atmos-chem-phys.net/special\_issue247.html

195 As a separate tool for particle categorization, the University of Manchester has recently developed and 196 applied a hierarchical agglomerative cluster analysis tool for WIBS data, which they have previously 197 applied to the BEACHON-RoMBAS campaign (Crawford et al., 2014; Crawford et al., 2015; Robinson et 198 al., 2013). Here we utilize clusters derived from WIBS-3 data as described by Crawford et al. (2015). Cluster data presented here was analyzed with the Open Source Python package FastCluster (Mullner, 199 2013). Briefly, hierarchical agglomerative cluster analysis was applied to the entire data set and each 200 201 fluorescent particle was uniquely clustered into one of 4 groups. Cluster 1, assigned by Crawford et al. 202 (2015) as fungal spores, displayed a 1.5-2 µm mode and a daily peak in the early morning that paralleled relative humidity (Schumacher et al., 2013). Clusters 2, 3, and 4 have strong, positive correlations with 203 rainfall and exhibit size modes that peak at <1.2 µm and were initially described by Crawford et al. as 204 205 bacterial particles. Here we have summed Clusters 2-4 to a single group referred to as  $Cl_{Bact}$ , for 206 simplicity when comparing with molecular tracers. It should be noted that assignment of name and origin 207 (e.g. fungal spores or bacteria) to clusters is approximate and does not imply naming accuracy or particle 208 homogeneity. Each cluster likely contains an unknown fraction of contaminating particles, but the clusters 209 are beneficial to group particles more selectively than using fluorescent intensity alone. For more details 210 see Robinson et al. (2013) and Crawford et al. (2015).

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The WIBS-3 utilized here has since been superseded by the WIBS-4 (Univ. Hertfordshire, UK) and WIBS-4A (Droplet Measurement Technologies, Boulder, Colorado). One important difference between the models is that the optical chamber design and filters of the WIBS-4 models were updated to enhance the overall sensitivity of the instrument (Crawford et al., 2014). Additionally, slight differences in detector gain between models and individual units can impact the relative sensitivity of the fluorescence channels. This may result in differences in fluorescent channel intensity between instrument models, as will be discussed later.

# 220 2.3 High volume sampler

221 Total suspended particle samples were collected for molecular tracer and molecular genetic 222 analyses using a high volume sampler (Digitel DHA-80) drawing 1000 L min<sup>-1</sup> through 15 cm glass fiber filters (Macherey-Nagel GmbH, Type MN 85/90, 406015, Düren, Germany) over a variety of sampling 223 times ranging from 4-48 h (supplemental Table S1). The sampler was located <50 m from each of the 224 225 UV-LIF instruments described here, approximately between the WIBS-3 and UV-APS. Prior to sampling 226 all filters were baked at 500 °C for 12 h to remove DNA and organic contaminants. Samples were stored in pre-baked aluminum bags after sampling at -20 °C for 1-30 days and then at -80 °C after overnight, 227 228 international transport cooled on dry ice. Due to the low vapor pressure of the molecular tracers analyzed loss due to volatilization is considered unlikely (Zhang et al., 2010). 36 samples were collected during the 229 230 study, in addition to handling field blanks and operational field blanks. Handling blanks were acquired by 231 placing a filter into the sampler and immediately removing, without turning on the air flow control. 232 Operational blanks were placed into the sampler and exposed to 10 seconds of air flow.

# 234 2.4 Slit Sampler

A direct-to-agar slit sampler (Microbiological Air Sampler STA-203, New Brunswick Scientific 235 236 Co, Inc., Edison, NJ) was used to collect culturable airborne fungal spores. The sampler was placed  $\sim 2 \text{ m}$ 237 above ground on a wooden support surface with 5 cm x 5 cm holes to allow air flow both up and down through the support structure. Sampled air was drawn over the 15 cm diameter sampling plate filled with 238 growth media at a flow rate of 28 L min<sup>-1</sup> for sampling periods of 20 to 40 min. Growth media (malt 239 extract medium) was mixed with antibacterial agents (40 units streptomycin, Sigma Aldrich; 20 units 240 241 ampicillin, Fisher Scientific) to suppress bacterial colony growth. Plates were prepared several weeks in advance and stored in a refrigerator at ca. 4 °C until used for sampling. Before each sampling period, all 242 surfaces of the samplers were sterilized by wiping with isopropyl alcohol. Handling and operational 243 244 blanks were collected to verify that no fungal colonies were being introduced by handling procedures. 14 air samples were collected over 20 days and immediately moved to an incubator (Amerex Instruments, 245

Incumax IC150R) set at 25 °C for 3 days prior to counting fungal colonies formed. Each colony, present as a growing dot on the agar surface, is assumed to have originated as one colony forming unit (CFU; i.e. fungal spore) deposited onto the agar by impaction during sampling. The atmospheric concentration of CFU per air volume was calculated using the sampler air flow. Further discussion of methods and initial results from the slit sampler were published by Huffman et al. (2013).

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### 252 2.5 Offline filter analyses

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### 254 2.5.1 Carbohydrate analysis

255 Approximately 1/8 of each frozen filter was cut for carbohydrate analysis using a sterile 256 technique, meaning that scissors were cleaned and sterilized and cutting was performed in a positive-257 pressure laminar flow hood. In order to precisely determine the fractional area of the filter to be analyzed, 258 filters were imaged from a fixed distance above using a camera and compared to a whole, intact filter. Using ImageJ software (Rasband and ImageJ, 1997), the area of each filter slice showing particulate 259 matter (PM) deposit was referenced to a whole filter, and thereby the amount of each filter utilized could 260 261 be determined. The total PM mass was not measured and so this technique allowed for an estimation of the fraction of each sample used for the analysis, which corresponds to the fraction of PM mass deposited. 262 263 The uncertainty on the filter area fraction is estimated at 2%, determined as the percent of variation in the 264 area of the filter edge (no PM deposit) as compared to the total filter area.

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266 Water soluble carbohydrates were extracted from quartz filter samples and analyzed following the procedure described by Rathnayake et al. (2016a). A total of 36 samples were analyzed along with field 267 268 and lab blanks. All lab and field blanks fell below method detection limits. Extraction was performed by placing the filter slice into a centrifuge tube that had been pre-rinsed with Nanopure<sup>TM</sup> water (resistance > 269 18.2 MΩ cm<sup>-1</sup>; Barnstead EasyPure II, 7401). A volume of 8.0 mL of Nanopure<sup>TM</sup> water was added to the 270 filter in the centrifuge tube to extract water-soluble carbohydrates. Samples were then exposed to rotary 271 272 shaking for 10 min at 125 rpm, sonication for 30 min at 60 Hz (Branson 5510, Danbury, CT, US), and 273 rotary shaking for another 10 min. After shaking, the extracted solutions were filtered through a 0.45 µm 274 polypropylene syringe filter (GE Healthcare, UK) to remove insoluble particles, including disintegrated filter pieces. One 1.5 mL aliquot of each extracted solution was analyzed for carbohydrates within 24 275 276 hours of extraction. A duplicate 1.5 mL aliquot was stored in a freezer and analyzed, if necessary due to 277 lack of instrument response or invalid calibration check, within 7 days of extraction. Analysis of 278 carbohydrates was done using a High Performance Anion Exchange Chromatography System with Pulsed 279 Amperometric Detection (HPAEC-PAD, Dionex ICS 5000, Thermo Fisher, Sunnyvale, CA, USA). 280 Details of the instrument specifications and quality standards for carbohydrate determination are available 281 in Rathnayake et al. (2016). Calibration curves for mannitol, levoglucosan, glucose (Sigma-Aldrich), 282 arabitol and erythritol (Alfa Aesar) were generated with seven points each, ranging in aqueous concentration from 0.005 ppm to 5 ppm. The method detection limits for mannitol, levoglucosan, glucose, 283 arabitol, and erythritol were 2.3, 2.8, 1.6, 1.0, and 0.6 ppb, respectively. Method detection limits were 284 determined as  $3\sigma$  of analyte concentrations recovered from seven spiked filter samples (Rathnayake et al., 285 286 2016a). All calibration curves were checked daily using a standard solution to ensure all concentration 287 values were within 10% of the known value. Failure to maintain a valid curve resulted in recalibration of 288 the instrument.

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### 290 *2.5.2 DNA analysis*

Methods and initial results from DNA analysis from these high volume filters were published by Huffman et al. (2013). Briefly, fungal diversity was determined by previously optimized methods for DNA extraction, amplification, and sequence analysis of the internal transcribed spacer regions of ribosomal genes from the high volume filter samples (Fröhlich-Nowoisky et al., 2012; Fröhlich-Nowoisky et al., 2009). Upon sequence determination, fungal sequences were compared with known sequences using the Basic Local Alignment Search Tool (BLAST) at the National Center for 297 Biotechnology (NCBI) and identified to the lowest taxonomic rank common to the top BLAST hits after 298 chimeric sequences had been removed. When sequences displayed >97% similarity, they were grouped 299 into operational taxonomic units (OTUs).

#### 301 2.5.3 Endotoxin and glucan analysis

Sample preparation for quantification of endotoxin and  $(1\rightarrow 3)$ - $\beta$ -D-glucan included extraction of 302 303 5 punches (0.5 cm<sup>2</sup> each) of the quartz filters with 5.0 mL of pyrogen-free water (Associates of Cape Cod 304 Inc., East Falmouth, MA, USA), utilizing an orbital shaker (300 rpm) at room temperature for 60 min, 305 followed by centrifuging for 15 min (1000 rpm). One-half mL of supernatant was submitted to a kinetic chromogenic limulus amebocyte lysate (Chromo-LAL) endotoxin assay (Associates of Cape Cod Inc., 306 307 East Falmouth, MA, USA) using a ELx808IU (BioTek Instrument Inc., Winooski, VT, USA) incubating 308 absorbance microplate reader. For  $(1\rightarrow 3)$ - $\beta$ -D-glucan measurement, 0.5 mL of 3 N NaOH was added to 309 the remaining 4.5 mL of extract and the mixture was agitated for 60 min. Subsequently, the solution was neutralized to pH 6–8 by addition of 0.75 mL of 2 N HCl. After centrifuging for 15 min  $(1\rightarrow 3)$ - $\beta$ -D-310 glucan concentration was determined in the supernatant using the Glucatell® LAL kinetic assay 311 (Associates of Cape Cod, Inc., East Falmouth, MA). The minimum detection limits (MDLs) and 312 reproducibility were 0.046 Endotoxin Units (EU)  $m^{-3} \pm 6.4\%$  for endotoxin and 0.029 ng  $m^{-3} \pm 4.2\%$  for 313  $(1 \rightarrow 3)$ - $\beta$ -D-glucan, respectively. Laboratory and field blank samples were analyzed as well, with lab 314 blank values being below detection limits, while field blank values were used to subtract background 315 316 levels from sample data. More details about the bioassays can be found elsewhere (Chow et al., 2015a). 317

#### 318 2.6 Meteorology and wetness sensors

319 Meteorological data were recorded by a variety of sensors located at the site. Precipitation was recorded by a laser optical disdrometer (PARticle SIze and VELocity "PARSIVEL" sensor; OTT Hydromet 320 321 GmbH, Kempton, Germany) and separately by a tipping bucket rain gauge. The disdrometer provides 322 precipitation occurrence, rate, and physical state (rain or hail) by measuring the magnitude and duration of disruption to a continuous 780 nm laser that was located in a tree clearing (Fig. 1), while the tipping 323 324 bucket rain gauge measures a set amount of precipitation before tipping and triggering an electrical pulse. A leaf wetness sensor (LWS; Decagon Devices, Inc., Pullman, WA), provided a measurement of 325 condensed moisture by measuring the voltage drop across a leaf surface to determine a proportional 326 327 amount of water on or near the sensor. Additional details of these measurements can be found in Huffman 328 et al. (2013) and Ortega et al. (2014).

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#### 330 3. Results and Discussion

#### 332 3.1 Categorization and characteristic differences of Dry and Rainy periods

333 Increases in PBAP concentration have been frequently associated with rainfall (e.g. Bigg et al., 334 2015; Faulwetter, 1917; Hirst and Stedman, 1963; Jones and Harrison, 2004; Madden, 1997). Fungal polyols have also been reported to increase after rain and have been used as indicators of increased fungal 335 spore release (Liang et al., 2013; Lin and Li, 2000; Zhu et al., 2015). Recently it was shown that the 336 concentration of fluorescent aerosol particles (FAP) measured during BEACHON-RoMBAS increased 337 dramatically during and after periods of rain (Crawford et al., 2014; Huffman et al., 2013; Schumacher et 338 339 al., 2013) and that these particle were associated with high concentrations of ice nucleating particles that 340 could influence the formation and evolution of mixed-phase clouds (Huffman et al., 2013; Prenni et al., 2013; Tobo et al., 2013). It was observed that a mode of smaller fluorescent particles (2-3 µm) appeared 341 during rain episodes, and several hours after rain ceased a second mode of slightly larger fluorescent 342 343 particle (4-6 µm) emerged, persisting for up to 12 h (Huffman et al., 2013). The first mode was 344 hypothesized to result from mechanical ejection of particles due to rain splash on soil and vegetated surfaces, and the second mode was suggested as actively emitted fungal spores (Huffman et al., 2013). 345 While the UV-APS and WIBS each provide data at high enough time resolution to see subtle changes in 346 aerosol concentration, the temporal resolution of the chemical tracer analysis was limited to 4-48 h 347

periods defined by the collection time of the high volume sampler. To compare the measurement results
 across the sampling platforms, UV-LIF measurements were averaged to the lower time resolution of the
 filter sampler periods, and the periods were grouped into three broad categories: Rainy, Dry, and Other, as
 will be defined below.

352 Time periods were wetness-categorized in two steps: first at 15 min resolution and then averaged for each individual filter sample. During the first stage of categorization each 15 min period was 353 categorized into one of four groups: rain, post-rain, dry, or other. To categorize each filter period, an 354 355 algorithm was established utilizing UV-APS fluorescent particle fraction and accumulated rainfall. The 356 ratio of integrated number of fluorescent particles to total particles was used as a proxy for the increased emission of biological particles. Figure 2a presents a time series of the size-resolved fluorescent particle 357 358 concentration, showing increases during rain periods in dark red. A relatively consistent diurnal cycle of 359 increased FAP concentration in the 2-4 µm range is apparent almost every afternoon, which corresponds 360 to near daily afternoon rainfall during approximately the first half of the measurement period. Disdrometer and tipping bucket rainfall measurements were each normalized to unity and summed to 361 362 produce a more robust, unitless measure of rainfall rate, because it was observed that often only one of the two systems would record a given light rain event. If a point was described by total rainfall accumulation 363 greater than 0.201 it was flagged as rain. A point was flagged as post-rain if it immediately followed a 364 365 rain period and also exhibited a fluorescent particle fraction greater than 0.08. The purpose of this category was to reflect the observation that sustained, elevated concentrations of FAP persisted for many 366 367 hours even after the rain rate, RH, and leaf wetness returned to pre-rain values. The only measurement 368 that adequately reflected this scenario was of the fluorescent particles measured by UV-APS and WIBS 369 instruments. The post-rain flag was continued until the fluorescent particle fraction fell below 0.08 or if it 370 started to rain again (with calculated rain values greater than 0.201). Points were flagged as dry periods if they exhibited rainfall accumulation and fluorescent particle fraction below the thresholds stated above. 371 Several periods were not easily categorized by this system and were considered in a fourth category as 372 other. This occurred when fluorescent particle fraction above the threshold value was observed with no 373 374 discernable rainfall.

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376 Once we these categories were assigned by the algorithm at 15 min resolution, each high volume filter sample was categorized by a similar nomenclature, but using only three categories. These were 377 378 defined as Dry, Rainy (combination of rain and post rain categories), or Other based on the relative time 379 fraction in each of the four original 15 min categories. For each sample, if a given category represented more than 50% of the 15 min periods, the sample was assigned to that category. Despite the effort to 380 381 categorize samples systematically, several sample periods (5 of 35) appeared mis-categorized by looking at FAP concentration, rainfall, RH, and leaf wetness in more detail. In some circumstances, this was 382 383 because light rainfall produced observable increases in FAP, but without exceeding the rainfall threshold. 384 Or in other circumstances a period of rainfall occurred at the very end or just before the beginning of a 385 sample, and so the many-hour period was heavily influenced by aerosol triggered by a period of rain just 386 outside of the sample time window. As a result, several samples were manually re-categorized as described here. Samples 20 and 21 (Table S1) were four-hour samples that displayed high relative 387 humidity and rainfall, thus samples were originally characterized as Rainy. This period was described by 388 an extremely heavy rain downpour (7.5 mm in 15 min), however, that seemingly placed the samples in a 389 390 different regime of rain-aerosol dynamics than the other Rainy samples and so these two samples were moved to the Other category. Sample 23, originally Rainy, presented a FAP fraction marginally above the 391 392 0.08 threshold, but visually displayed a trend dissimilar to other post-rain periods and so was re-393 categorized as Dry. Sample 28 showed no obvious rainfall, but the measurement team observed persistent 394 fog in three consecutive mornings (Samples 25, 27, 28), and the concentration of fluorescent particles (2-6 µm) suggested a source of particles not influenced by rain, and so this Rainy sample was re-categorized 395 396 as Other. Sample 38 displayed a fluorescent number ratio just below the threshold value, and was first 397 categorized as Dry, however, the measurement team observed post-rain periods at the beginning and end of the sample, so the sample were re-categorized as Other. For all samples other than these five, the 398

categorization was determined using the majority (> 0.50) of the 15 min periods. In no cases other than the five that were re-categorized was the highest category fraction less than 0.50 of the sample time. Note that we have chosen to capitalize Rainy, Dry, and Other to highlight that we have rigorously defined the period using the characterization scheme described above and to separate the nomenclature from the general, colloquial usage of the terms. Wetness category assignment for each high volume filter sample period is shown in Figure 2 as a background color (brown for Dry samples, green for Rain–influenced samples, and pink for Other samples) and Table S1.

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407 To validate the qualitative differences between wetness categories described in the last section, we present observations about each of these groupings. First, we organized the WIBS data according to 408 409 the particle categories introduced by Perring et al. (2015). By this method, every fluorescent particle 410 detected by the WIBS can be defined uniquely into one of seven categories (i.e. A, AB, ABC and so on). By plotting the relative fraction of fluorescent particles described by each particle type, temporal 411 differences between measurement periods can be observed, as shown in Figure 2e. To a first 412 approximation, this analysis style allows for coarse discrimination of particle types. For example, a given 413 population of particles would ideally exhibit a consistent fraction of particles present in the different 414 particle categories as a function of time. By this reasoning, sample periods categorized as Dry (most of 415 416 the latter half of the study; brown bars in Fig. 2) would be expected to have a self-consistent particle type trend, whereas sample periods categorized as Rainy (most of the first half of the study; green bars in Fig. 417 418 2) would have a self-consistent particle type trend, but different from the Dry samples. This is broadly 419 true. During Rainy periods as seen in Figure 3a, there is a relatively high fraction (> 65%) of ABC 420 particles (light blue) and a relatively low fraction (< 15%) in BC (purple) and C (yellow) type particles, 421 suggesting heavy influence from the FL1 channel. In contrast, during Dry periods the fraction of ABC particles (light blue) is reduced (<25%) while BC (purple) and C (yellow) type particles increase in 422 relative fraction (>30% and >40%, respectively) suggested a diminished influence of FL1 channel. 423

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425 It is important to note a few caveats here. First, the ability of the WIBS to discriminate finely 426 between PBAP types is relatively poor and it is still unclear exactly how different particle types would appear by this analysis method. Particles of different kinds and from different sources are likely 427 convolved into a single WIBS particle type, which could either soften or enhance the relationships with 428 429 rain discussed here. Second, the assignment of particle types is heavily size-dependent and sensitive to 430 subtle instrument parameters, and so it is unclear how different instruments would present similar particle 431 types. For example, Hernandez et al. (2016) used two WIBS instruments and found differences in relative 432 fraction of particle categories for samples aerosolized in the lab. They reported fungal spores to be predominately A, AB, and ABC type particles, whereas Rainy sample periods suggested to have heavy 433 434 fungal spore influence by Huffman et al. (2013) show predominantly C, BC, and ABC particle fraction. 435 These discrepancies may be due to the comparison of ambient particles to laboratory-grown cultures. The highly controlled environment of a laboratory may not always accurately represent the humidity 436 437 conditions in which fungal spore release occurs in this forest setting (Saari et al., 2015). This could impact the fluorescence properties of fungal spore particles that have different amounts of adsorbed or associated 438 water (Hill et al., 2009; 2013; 2015). More likely, however, is that the WIBS-3 used here exhibits 439 440 differences in fluorescence sensitivity from the WIBS-4A used by Hernandez et al. (2016). Even a slight increase in sensitivity in the FL3 channel with respect to the FL1 or FL2 channels could explain the shift 441 here towards particles with C-type fluorescence. One piece of evidence for this is the quantitative 442 comparison of particle measurements presented by the UV-APS and WIBS-3 instruments co-deployed 443 here (Fig. 4). The number concentration of particle exhibiting fluorescence above the FL2 baseline of the 444 445 WIBS-3 is approximately consistent with the number of fluorescent particles measured by the UV-APS, and significantly below the concentration of FL3 particles. The UV-APS number concentration shows the 446 highest correlation with the WIBS-3 FL2 channel: during Rainy periods,  $R^2 = 0.70$ ; Dry,  $R^2 = 0.82$ ; Other, 447 448  $R^2$  = 0.92. These observations are in stark contrast to the trends reported by Healy et al. (2014) that the UV-APS fluorescent particle concentration correlated most strongly with the WIBS-4 FL3 and that the 449

450 number concentration of FL3 was the lowest out of all three channels. Given that the FL3 channel of the 451 WIBS and the UV-APS probe cover similar excitation and emission wavelengths it is expected that these 452 two channels should correlate well. Based on these data, we suggest that the WIBS-3 utilized here may 453 present a very different particle type break-down than if a WIBS-4 had been used. So, while caution is recommended when comparing the relative break-down of WIBS particle categories shown here (Fig. 3) 454 with other studies, the data are internally self-consistent, and comparing qualitative differences between, 455 456 e.g. Rainy and Dry periods is expected to be robust. The main point to be highlighted here is that there is 457 indeed a qualitative difference in particles present in the three wetness categories, as averaged and shown 458 in Figure 3a, which generally supports the effort to segregate these samples.

459

460 Further evidence that there is a qualitative difference in the three wetness categories is shown 461 using molecular genetic analysis (Figs. 3b, c). The analysis of fungal DNA sequences from 21 of the high 462 volume samples found 406 operational taxonomic units (OTUs), belonging to different fungal classes and phyla. When organized by wetness type it was observed that 106 of these occurred only on Rainy 463 samples, 148 of these occurred on Dry samples, and 37 on Other samples, with some fraction occurring in 464 overlaps of each (Fig. 3c). This shows that the number of OTUs observed uniquely in either the Rainy or 465 Dry periods is greater than the number of OTUs present in both wetness types, suggesting that the fungal 466 467 communities in each grouping are relatively distinct. Further, Figure 3b shows a break-down of fungal taxonomic groupings for each wetness group. This analysis shows that there is a qualitative difference in 468 469 taxonomic break-down between periods of Rainy and Dry. Specifically, during Dry periods there is an 470 increased fraction of Pucciniomycetes (green bar, Fig. 3c), Chytridiomycetes (yellow), Sordariomycetes 471 (orange), and Eurotiomycetes (pink) when compared to the Rainy periods.

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### 473 **3.2** Atmospheric mass concentration of arabitol, mannitol, and fungal spores

474 To estimate fungal spore emission to the atmosphere, the concentration of arabitol and mannitol 475 (Fig. 5a, b, Table S2) in each aerosol sample was averaged for all samples in each of the three wetness 476 categories. The average concentration of arabitol collected on Rainy TSP samples  $(35.2 \pm 10.5 \text{ ng m}^3)$ 477 increased by a factor of 3.3 with respect to Dry samples, and the average mannitol concentration on Rainy samples was higher by a factor of  $3.7 (44.9 \pm 13.8 \text{ ng m}^{-3})$ . Figures 5a, b show the concentration 478 479 variability for each wetness category, observed as the standard deviation from the distribution of 480 individual samples. For each polyol, there is no overlap in the ranges shown, including the outliers of the 481 Rainy and Dry category, suggesting a definitive and conceptually distinct separation between dry periods 482 and those influenced by rain. The concentrations observed during Other periods is between those of the 483 Dry and Rainy averages, as expected, given the difficulty in confidently assigning these uniquely to one of these categories. The observations here are roughly consistent with previous reports of polyol 484 485 concentration, despite differences in local fungal communities and concentrations. For example, Rathnayake et al. (2016a) observed 30.2 ng m<sup>-3</sup> arabitol and 41.3 ng m<sup>-3</sup> mannitol in PM<sub>10</sub> samples 486 collected in rural Iowa, USA. In addition, Zhang et al. (2015) reported arabitol and mannitol 487 488 concentrations in PM<sub>10</sub> samples of 44.0 and 71.0 ng m<sup>-3</sup>, respectively, from a study in the mountains on Hainan Island off the coast of Southern China. More recently, Yue et al. (2016) studied a rain event in 489 Beijing and observed increased polyol concentrations at the onset of the rain. The observed mannitol 490 concentration (45 ng m<sup>-3</sup>) was approximately consistent with observations reported here and with 491 492 previous reports, while the arabitol concentration values observed were approximately an order of magnitude lower (0.3 ng  $m^{-3}$ ). 493

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The square of the correlation coefficient ( $R^2$ ) here between concentration values of arabitol and mannitol during Rainy samples is very high (0.839; Table 1) suggesting that arabitol and mannitol originated primarily from the same source, likely active-discharge fungal spores. The correlation is similar to the 0.87  $R^2$  reported by Bauer et al. (2008a) and the 0.93  $R^2$  reported by Graham et al. (2003). In contrast, the same correlation between mannitol and arabitol concentrations, but for Dry samples is relatively low (0.312). This is consistent with reports that arabitol can be used more specifically as a spore tracer, but that mannitol has additional atmospheric sources besides fungal spores. The same correlation was also performed between arabitol or mannitol and other molecular tracers (endotoxins and  $(1\rightarrow 3)$ - $\beta$ -D-glucan), but all R<sup>2</sup> value were less than 0.43, suggesting that the endotoxins and glucans analyzed were not emitted uniquely from the same sources as arabitol and mannitol.

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506 Results from the two UV-LIF instruments were averaged over high volume sample periods, and a 507 correlation analysis was performed between tracer mass and fluorescent particle mass showing positive 508 correlations in all cases. The FAP mass from the UV-APS shows high correlation with the fungal polyols during Rainy periods, with R<sup>2</sup> of 0.732 and 0.877 for arabitol and mannitol, respectively (Table 2; Figure 509 510 5c, d). The same tracers correlate poorly with the UV-APS during Dry conditions. This is expected, because *ascomycetes* and *basidiomycetes* emitted by wet discharge methods are the only fungal spores 511 512 reported to be associated with arabitol and mannitol (Elbert et al., 2007; Feofilova, 2001; Lewis and 513 Smith, 1967). This high correlation suggests that the UV-APS does a good job of detecting these wet-514 discharge spores, and corroborates previous statements that particles detected in ambient air by the UV-515 APS are often predominately fungal spores (Healy et al., 2014; Huffman et al., 2013; Huffman et al., 516 2012). In contrast, the low slope value and the poor correlation during Dry periods suggest that the UV-APS is also sensitive to other kinds of particles, as designed. The small positive x-offset (FAP mass; 517 518 Table S2, Figs. 5c,d) during Rainy periods is likely due to particles that are too weakly fluorescent to be 519 detected and counted by the UV-APS, which is consistent with observations made in Brazil (Huffman et 520 al., 2012).

522 Particle mass from WIBS Cl1, assigned to fungal spores (Crawford et al., 2015), also correlate 523 strongly with the same two molecular tracers. Both Rainy periods ( $R^2 0.824$ ) and Dry periods ( $R^2 0.764$ ) correlate well with arabitol (Fig. 5e), while mannitol (Fig. 5f) only shows a strong correlation during the 524 Rainy periods ( $R^2 0.799$ ). Mannitol is a common polyol in higher plants while arabitol is only found in 525 fungal spores and lichen (Lewis and Smith, 1967). So the strong correlation of each polyol with UV-LIF 526 527 mass during Rainy periods when actively-discharged spores are expected to dominate and the similarly 528 strong correlations associated with arabitol suggest that the Cl1 cluster does a reasonably good job of selecting fungal spore particles. The poor correlation between mannitol and Cl1 during dry periods 529 illustrates that the background mannitol concentration is likely not due to fungal spores alone, but has 530 531 contribution from other higher plants that contain mannitol. Particle concentrations detected by individual 532 WIBS channels and in the other cluster were also compared with polyol concentrations, but each 533 correlation is relatively poor compared to that with respect to Cl1. As seen in Table 2 and Figures S2-S3, 534 correlations in FL1, 2, and 3 with arabitol are poor (<0.4) in the Dry category and good ( $0.4 < R^2 < 0.7$ ) in the Rainy category. For mannitol, all the UV-LIF instruments show high correlation (>0.7) in all cases. 535 536 This is likely due to mannitol being a non-specific tracer and suggests that the majority of UV-LIF 537 particles observed during all periods was dominated by PBAP.

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## 539 **3.3 Estimated number concentration of fungal spore aerosol**

540 Bauer et al. (2008a) reported measurements of fungal spore number concentration in Vienna, Austria using epifluorescence microscopy and also measured fungal tracer mass collected onto filters in 541 order to estimate the mass of arabitol (1.2 to 2.4 pg spore<sup>-1</sup>) and mannitol (0.8 to 1.8 pg spore<sup>-1</sup>) associated 542 543 with each emitted spore. Bauer et al. (2008a) and Yttri et al. (2011b) reported ratios of mannitol to 544 arabitol of approximately 1.5 ( $\pm$  standard deviation of 26%) and 1.4  $\pm$  0.3, respectively. Our 545 measurements show slightly lower ratios of mannitol to arabitol, but that the ratio is dependent on wetness category; Rainy,  $1.29 \pm 0.17$ ; Dry,  $1.12 \pm 0.23$ ; and Other,  $1.24 \pm 0.54$ . The mannitol to arabitol 546 547 ratio would be expected to vary as a function of fungal population present in the aerosol, whether between 548 different wetness periods at a given location or between different physical localities. 549

550 Using the approximate mid-point of the Bauer et al. (2008a) reported ranges, 1.7 pg mannitol per 551 spore and 1.2 pg arabitol per spore, atmospheric number concentrations of spores collected onto the high volume filters were calculated from the polyol mass concentrations measured here. Based on these values,

- and assuming all polyol mass originated with spore release, the mass concentration averages (Fig. 5) were
- 554 converted to fungal spore number concentrations (Fig. 6). The trends of spore concentration averages are
- the same as with the polyol mass, because the numbers were each multiplied by the same scalar value. After doing so, the analysis reveals an estimated spore concentration during Dry periods of  $0.89 \times 10^4$  (±
- 557 0.21) spores m<sup>-3</sup> using the arabitol concentration and 0.70 x 10<sup>4</sup> ( $\pm$  0.19) spores m<sup>-3</sup> using the mannitol
- 558 concentration (Table 3). The estimated concentration of spores increased approximately three-fold during
- Rainy periods to 2.9 x  $10^4$  (± 0.8) spores m<sup>-3</sup> (arabitol estimate) and 2.6 x  $10^4$  (± 0.8) spores m<sup>-3</sup> (mannitol
- estimate) (Figure 6a, b). These estimates match well with estimates reported by Spracklen and Heald
- 561 (2014), who modeled the concentration of airborne fungal spores across the globe as an average of 2.5 x  $10^4$  spores m<sup>-3</sup>, with approximately 0.5 x  $10^4$  spores m<sup>-3</sup> over Colorado.
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564 The UV-LIF instruments discussed here are number-counting techniques and in this instance have been applied as spore counters. As a first approximation, each particle detected by the UV-APS was 565 assumed to be a fungal spore with the same properties used in the assumptions by Bauer et al. (2008a). 566 567 Figures 6d, e, g, h show correlations of fungal spore number concentration estimated from polyol mass on the y-axes and from UV-LIF measurements on the x-axes. The first, and most important observation is 568 569 that the estimated fungal spore concentration from each technique is on the same order of magnitude,  $10^4$  $m^{-3}$ . Looking at individual correlations reveals a finer layer of detail. These results show that the number 570 571 concentration of fungal spores estimated by the UV-APS is greater than the number of fungal spores 572 estimated by the tracers, as evidenced by slope values of approximately 0.2 and 0.35 for Rainy and Dry 573 conditions, respectively (Tables S3, Figs. 6d, e). Again, this suggests that the UV-APS detects fungal 574 spores as well as other types of fluorescent particles. The  $R^2$  values (~0.5) during Rainy periods indicate 575 that the additional source of particles detected by the UV-APS is likely to have a similar source, such as PBAP mechanically ejected from soil and vegetative surfaces with rain-splash (Huffman et al., 2013). 576 577 The magnitude of the over-estimation is higher during Dry periods, which would be expected because 578 Rainy periods exhibited much higher particle number fractions associated with polyol-containing spores. 579

580 The Cl1 cluster from WIBS data shows correlations with estimated fungal spores from arabitol and mannitol that have slope much closer to 1.0 than correlations with UV-APS number (Figs. 6g, h, 581 582 Table S3). For example, the slope of the Cl1 correlations with each polyol during Rainy periods is 583 approximately 0.87. This suggests only a 13% difference between the spore concentration estimates from the two techniques during Rainy periods. The average number concentration of Cl1 during Rainy periods 584 585 is 1.6 x  $10^4$  (± 0.8) spores m<sup>-3</sup>. In both cases the slopes with respect to Cl1 is greater than 1.0 during Dry periods, suggesting that the cluster method may be missing some fraction of weakly fluorescent particles. 586 587 Huffman et al. (2012) similarly suggests that that particles that are weakly fluorescent may be below the 588 detection limit of the instrument, and Healy et al. (2014) suggested that both UV-APS and WIBS-4 589 instruments significantly under-count the ubiquitous *Cladosporium* spores that are most common during 590 dry weather and often peak in the afternoon when RH is low (De Groot, 1968; Oliveira et al., 2009). 591 Fundamentally, however, the results from the UV-APS, and even more so the numbers reported by the clustering analysis by Crawford et al. (2015), reveal broadly similar trends with the numbers estimated 592 593 from polyol-to-spore values reported by Bauer et al. (2008a).

595 The fungal culture samples show similar division during Rainy and Dry periods as arabitol and 596 mannitol concentrations (Figure 6c), with an increase of approx. 1.6 during Rainy periods. The trend of a 597 positive slope with respect to the UV-LIF measurements is also similar between the tracer and culturing methods. In general, however, the  $R^2$  value correlating CFU to fungal spore number calculated from UV-598 599 LIF number is lower than between tracers and UV-LIF numbers (Table 2). This is not unexpected for 600 several reasons. First, the short sampling time of the culture samples (20 min) leads to poor counting 601 statistics and high number concentration variability, whereas each data point from the high volume air 602 samples represents a period of 4 - 48 hours. Second, culture samplers, by their nature, only account for

603 culturable fungal spores. It has been estimated that as low as 17% of aerosolized fungal species are 604 culturable, and so it is expected that the CFU concentration observed is significantly less than the total 605 airborne concentration of spores (Bridge and Spooner, 2001; Després et al., 2012). Nonetheless, the 606 culturing analysis here supports the tracer and UV-LIF analyses and the most important trends are 607 consistent between all analysis methods. The concentration of fungal spores is higher during the Rainy 608 periods, and there is a positive correlation between both tracer and CFU concentration and UV-LIF 609 number.

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611 In pristine environment, such as the Amazon, supermicron particle mass has been found to consist of up to 85% biological material (Pöschl et al., 2010). Total particulate matter mass was calculated here 612 from the UV-APS number concentrations (m<sup>-3</sup>) and converted to mass for particles of aerodynamic 613 diameter  $0.5 - 15 \mu m$ . In only this case a density of 1.5 g cm<sup>-3</sup> was utilized to calculate a first 614 approximation of total particle mass to which all other mass measurements were compared. An average 615 TSP mass density of 1.5 g cm<sup>-3</sup> was utilized, because organic aerosol is typically estimated with density <616 617 1.0 g cm<sup>-3</sup>, biological particles are often assumed to have ca. 1.0 g cm<sup>-3</sup> density, and mineral dust particles have densities of up to ca. 3.5 g cm<sup>-3</sup> (Dexter, 2004; Tegen and Fung, 1994). Fungal spore mass 618 was estimated here using the fungal spore concentrations calculated from arabitol and mannitol mass (Fig. 619 620 6) and then using an estimated 33 pg reported by Bauer et al. (2008b) as an average mass per spore. Dividing the resultant fungal spore mass by total particulate mass provides a relative mass fraction for 621 622 each high volume sample period. These calculations suggest that fungal spores represent approximately 623  $23\% \pm 9$  (using arabitol) or  $21\% \pm 8$  (using mannitol) of total particulate mass during Rainy periods (Table 3, Figure 7). This represents a nearly 6 fold increase in percentage compared to Dry periods (4.8% 624 625  $\pm$  1.4 and 3.7%  $\pm$  1.1, respectively). A similar increase during Rainy periods was also seen in the mass fraction of fungal cluster Cl1, which represented  $17\% \pm 10$  of the particle mass during Rainy and  $2\% \pm 1$ 626 627 during Dry periods (Table S4).

# 628

## 629 3.5 Variations in endotoxin and glucan concentrations

630 Endotoxins measured in the atmosphere are uniquely associated with gram-negative bacteria (Andreae and Crutzen, 1997). Here, we show correlations between total endotoxin mass and WIBS Cl<sub>Bact</sub>, 631 which was assigned by Crawford et al. (2015) to be bacteria due to the small particle size (< 1 µm) and 632 633 high correlation with rain. These assignment of particle type to this set of clusters is quite uncertain, however, and should be treated loosely. The correlation between endotoxin mass and UV-APS and the 634 WIBS clusters was very poor, in most cases  $R^2 < 0.1$  (Table 2, Figure 8), suggesting no apparent 635 relationship. Analysis of bacteria by both UV-LIF techniques is hampered by the fact that bacteria can be 636  $< 1 \mu m$  in size and because both instruments detect particles with decreased efficiency at sizes below 0.8 637 638 μm. So weak correlations may not have been apparent due to reduced overlap in particle size. Despite the 639 lack of apparent correlation between the techniques, the relatively variable endotoxin concentrations were 640 elevated during Rainy periods, consistent with Jones and Harrison (2004), who showed that bacteria concentration were elevated after rainy periods. 641

Glucans, such as  $(1\rightarrow 3)$ -β-D-glucan, are components of the cell walls of pollen, fungal spores, plant detritus, and bacteria (Chow et al., 2015b; Lee et al., 2006; Stone and Clarke, 1992). In contrast to the observed difference in endotoxin concentration during the different wetness periods, however,  $(1\rightarrow 3)$ β-D-glucan showed no correlations with UV-LIF concentrations (Table 2) and no differentiation during the different wetness periods.

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## 648 4. Conclusions

Increased concentrations of fluorescent aerosol particles and ice nuclei attributed to having
 biological origin were observed during and immediately after rain events throughout the BEACHON RoMBAS study in 2011 (Huffman et al., 2013; Prenni et al., 2013; Schumacher et al., 2013). Here we
 expand upon the previous reports by utilizing measurements from two commercially available UV-LIF
 instruments, of several molecular tracers extracted from high volume filter samples, and from a culture-

654 based sampler in order to compare three very different methods of atmospheric fungal spore analysis. 655 This study represents the first reported correlation of UV-LIF and molecular tracer measurements and 656 provided an opportunity to understand how an important class of PBAP might be influenced by periods of 657 rainy and dry weather. We found clear patterns in the fungal molecular tracers, arabitol and mannitol, associated with Rainy conditions that are consistent with previous findings (Bauer et al., 2008a; Elbert et 658 al., 2007; Feofilova, 2001). Fungal polyols increased 3-fold over Dry conditions during Rainy weather 659 660 samples, with a abitol concentration of  $35.2 \pm 10.5$  ng m<sup>-3</sup> and mannitol concentration of  $44.9 \pm 13.8$  ng  $m^{-3}$ . Additionally, the very high correlation of the fungal tracers with WIBS Cl1 ( $R^2 > 0.8$  in many cases) 661 provides support for its assignment by Crawford et al. (2015) to fungal spores. Similarly, the UV-APS 662 correlates well with fungal tracers, however over-counts the number concentration estimated from the 663 tracers, confirming that the UV-APS is sensitive also to other types of particles beyond fungal spores, as 664 665 expected. The estimated spore count from the WIBS Cl1 concentration was within ~13% of the spore 666 count estimated by the tracer method, with concentrations ranging from  $1.6 - 2.9 \times 10^4$  spores m<sup>-3</sup>. These values are broadly consistent with concentrations modeled by, e.g. Spracklen and Heald (2014), Hoose et 667 al. (2010), and Hummel et al. (2015). These spore counts represent 17-23% of the total particle mass 668 during Rainy conditions and 2-4% during Dry conditions. Culture-based sampling also shows a similar 669 relationship between CFU and UV-LIF concentrations and an increase of ~1.6 between Dry and Rainy 670 671 conditions. Despite the fact that the tracer and UV-LIF approaches to estimating atmospheric fungal spore concentration are fundamentally different, they provide remarkably similar estimates and temporal trends. 672 673 With further improvements in instrumentation and analysis methods (e.g. advanced clustering algorithms 674 applied to UV-LIF data), the ability to reliably discriminate between PBAP types is improving. As we have shown here, this technology represents a potential for monitoring approximate fungal spore mass 675 676 and for contributing improved information on fungal spore concentration to global and regional models that to this point has been lacking (Spracklen and Heald, 2014). 677

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## 680 **5. Acknowledgements**

681 The BEACHON-RoMBAS campaign was partially supported by an ETBC (Emerging Topics in Biogeochemical Cycles) grant to the National Center for Atmospheric Research (NCAR), the University 682 of Colorado, Colorado State University, and Penn State University (NSF ATM-0919189). The authors 683 684 wish to thank Jose Jimenez, Douglas Day (Univ. Colorado-Boulder); Anthony Prenni, Paul DeMott, Sonia Kreidenweis, and Jessica Prenni (Colorado St. Univ.); Alex Guenther, and Jim Smith (NCAR) for 685 686 BEACHON-RoMBAS project organization and logistical support and the USFS, NCAR, and Richard 687 Oakes for access to the Manitou Experimental Forest Observatory field site. Measurements of temperature, relative humidity, wind speed, and wind direction were provided by Andrew Turnipseed 688 689 (NCAR) and leaf wetness and disdrometer data were provided by Dave Gochis (NCAR). Marie I. 690 Gosselin thanks the Max Planck Society for financial support. J. Alex Huffman thanks the University of Denver for intramural funding for faculty support. The Mainz team acknowledges the Mainz Bioaerosol 691 692 Laboratory (MBAL) and financial support from the Max Planck Society (MPG), the Max Planck Graduate Center with the Johannes Gutenberg University Mainz (MPGC), the Geocycles Cluster Mainz 693 (LEC Rheinland-Pfalz), and the German Research Foundation (DFG PO1013/5-1 and FR3641/1-2, FOR 694 695 1525 INUIT). The Manchester team acknowledges funding from the UK NERC (UK-BEACHON, Grant 696 # NE/H019049/1) to participate in the BEACHON experiment, and development support of the WIBS instruments. Manchester would also like to thank Prof. Paul Kaye, the developer of the WIBS instruments 697 698 and his team at the University of Hertfordshire, for their technical support. The authors thank Cristina 699 Ruzene, Isabell Müller-Germann, Petya Yordanova, Tobias Könemann (Max Planck Inst. For Chem.), 700 and Nicole Savage (Univ. Denver) for technical assistance. 701

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# 984 <u>Tables and Figures</u>:

## 985

			Mass Concentration									
			Aral	bitol	Man	nitol	$(1\rightarrow 3)$ - $\beta$ -D-glucan					
			(ng m <sup>-</sup> ) Rainy Dry		(ng) Rainy	m <sup>°</sup> ) Drv	(pg m <sup>-</sup> )					
Mass Concentration	nitol m <sup>-3</sup> )	Rainy	<u>0.839</u>	Diy	Kaniy	Diy	Kaniy					
	Man (ng	Dry		0.312								
	(1→3)-β-D-glucan (pg m <sup>-3</sup> )	Rainy	0.000		0.003							
		Dry		0.000		0.327						
	toxins m <sup>-3</sup> )	Rainy	0.116		0.126		0.427					
	Endot (EU	Dry		0.012		0.113		0.103				

986

987 <u>**Table 1**</u>: Square of correlation coefficients ( $\mathbb{R}^2$ ) comparing total mass concentration of molecular tracers

988 to each other. EU: endotoxin units. Boxes colored by coefficient value (**<u>Bold Underline</u>**> 0.7; 0.7 > **Bold** 989 > 0.4).

		Mass Concentration							Fungal Spore Number Concentration							
		Arabitol (ng m <sup>3</sup> )		Man (ng	Mannitol ( (ng m <sup>-3</sup> )		$(1\rightarrow 3)$ - $\beta$ -D-glucan (pg m <sup>-3</sup> )		Endotoxins (EU m <sup>-3</sup> )		Arabitol (spores m <sup>-3</sup> )		Mannitol (spores m <sup>-3</sup> )		Colony Forming Units (CFU m <sup>-3</sup> )	
		Rainy	Dry	Rainy	Dry	Rainy	Dry	Rainy	Dry	Rainy	Dry	Rainy	Dry	Rainy	Dry	
UVAPS			<u>0.732</u>	0.127	<u>0.877</u>	0.160	0.006	0.012	0.153	0.067	0.483	0.278	0.504	0.571	0.469	0.491
UV-LIF Mass or Number Concentration	WIBS	FL	0.554	0.250	<u>0.810</u>	0.255	0.128	0.010	0.068	0.066	0.159	0.200	0.088	0.314	0.330	<u>0.737</u>
		FL1	0.602	0.445	<u>0.819</u>	0.412	0.042	0.001	0.090	0.012	0.667	0.339	<u>0.863</u>	0.621	0.470	0.546
		FL2	0.617	0.248	<u>0.843</u>	0.342	0.092	0.001	0.039	0.094	0.485	0.302	0.442	0.340	0.560	0.543
		FL3	0.561	0.222	<u>0.818</u>	0.251	0.124	0.008	0.071	0.065	0.178	0.181	0.104	0.306	0.367	<u>0.736</u>
		Cl1	<u>0.824</u>	<u>0.764</u>	<u>0.799</u>	0.109	0.000	0.134	0.229	0.011	0.679	0.543	<u>0.775</u>	0.423	0.128	0.690
		Cl2	0.005	0.002	0.004	0.006	0.002	0.047	0.006	0.017	0.052	0.056	0.001	0.075	0.081	<u>0.930</u>
		Cl3	0.267	0.164	0.261	0.198	0.003	0.011	0.016	0.066	0.052	0.116	0.087	0.439	0.262	0.383
		Cl4	0.048	0.046	0.172	0.118	0.115	0.011	0.179	0.145	0.062	0.089	0.001	0.065	0.120	0.000
		Cl <sub>Bact</sub>							0.041	0.081						

990 <u>**Table 2**</u>: Square of correlation coefficients ( $\mathbb{R}^2$ ) comparing fluorescent particle measurements from UV-LIF instruments to measurements from 991 molecular tracers and direct-to-agar sampler. Columns marking tracer mass (top line) indicate correlations between time-averaged UV-LIF and

tracer mass concentrations (left side), and columns marking fungal spore number indicate correlations between fungal spore number

993 concentrations estimated from time-averaged UV-LIF and tracer or culture measurements (right side). FL1, FL2, FL3 represent individual

channels from the WIBS. FL represents particles exhibiting fluorescence in any channel. Cl1, Cl2, Cl3, Cl4 are clusters that estimate particle

995 concentrations as a mixture of various channels (Crawford et al., 2015). Cl<sub>Bact</sub> is a sum of the "bacteria" clusters Cl2-4. Boxes colored by

996 coefficient value (<u>**Bold Underline**</u> > 0.7; 0.7 > **Bold**> 0.4).

997

	Mass Concentration											
	Arabitol (ng m <sup>-3</sup> )	Mannitol (ng m <sup>-3</sup> )	Erythritol (ng m <sup>-3</sup> )	Levoglucosan (ng m <sup>-3</sup> )	Glucose (ng m <sup>-3</sup> )	Endotoxins (EU m <sup>-3</sup> )	$(1 \rightarrow 3)$ - $\beta$ -D- glucan (pg m <sup>-3</sup> )					
Dry	10.6	11.9	0.840	14.2	38.7	0.192	8.85					
	± 2.5	± 3.2	± 0.610	± 10.7	± 21.3	± 0.0970	± 7.68					
	n = 18	n=18	n=16	n=15	n=18	n=18	n=18					
Rainy	35.2	44.9	1.12	12.4	73.2	1.43	10.6					
	± 10.5	± 13.8	± 0.38	± 19.1	± 50.5	± 1.22	± 8.2					
	n=11	n=11	n=3	n=8	n=11	n=10	n=11					
Other	20.2	22.7	0.664	9.21	56.5	0.311	6.08					
	± 8.9	± 8.3	± 0.515	± 1.66	± 39.2	± 0.159	± 6.08					
	n=6	n=6	n=6	n=5	n=6	n=6	n=6					
	Mass Contribution (%)											
Dry	0.18 %	0.20 %	0.014 %	0.21 %	0.67 %		0.16 %					
	$\pm 0.05$	± 0.073	$\pm 0.011$	±0.17	±0.49		±0.16					
	n=18	n=18	n=16	n=15	n=18		n=18					
Rainy	0.83 %	1.07 %	0.032 %	0.27 %	1.60 %		0.25 %					
	± 0.32	±0.44	±0.009	±0.41	±1.09		±0.21					
	n=11	n=11	n=3	n=8	n=11		n=11					
Other	0.25 %	0.37 %	0.013 %	0.15 %	0.83 %		0.12 %					
	$\pm 0.28$	± 0.29	±0.015	±0.11	±0.64		±0.19					
	n=6	n=6	n=6	n=5	n=6		n=6					
	Fungal Spore Number Concentration (m <sup>-3</sup> )											
Dry	8900	6900										
	± 2100	± 1900										
	n=18	n=18										

Rainy	29300	26400				
	± 8700	$\pm 8100$				
	n=11	n=11				
Other	16900	13400				
	± 7400	± 4900				
	n-6	n-6				
	11-0	11-0				
		1	Fungal Spo	re Mass Contribut	tion (%)	
		1		I		1
Dry	4.8 %	3.7 %				
	± 1.43	± 1.1				
	n=18	n=18				
Dainy	22.0.%	20.7.%				
Kalliy	22.9 70	20.7 70				
	$\pm 8.8$	±8.5				
	n=11	n=11				
Other	98%	73%				
Oulei	2.0 /0	1.5 /0				
	± 7.7	± 5.6				
	n=6	n=6				

998 <u>Table 3:</u> Campaign-average concentrations of molecular tracers (measured) and fungal spores (number
 999 concentration estimated from arabitol and mannitol mass). Each set of data broken into wetness

1000 categories. Values are mean  $\pm$  standard deviation; *n* shows the number of samples used for averaging.

1001 Fungal spore mass contribution was based on the assumption by Bauer et al. (2008b) of 33 pg spore<sup>-1</sup>.

1002 Total particulate matter mass calculated from UV-APS number concentration (m<sup>-3</sup>) and converted to mass

1003 over aerodynamic particle diameter range  $0.5 - 15 \,\mu\text{m}$  using density of 1.5 g cm<sup>-3</sup>.



### 1005 1006

- 1007 **Figure 1**: Aerial overview of BEACHON-RoMBAS field site at the Manitou Experimental Forest
- 1008 Observatory located northwest of Colorado Springs, CO. Locations of all instruments and sensors
   1009 discussed here are marked and were located within a 50 m radius. Figure adapted from Figure 1a of
   1010 Huffman et al. (2013)
- 1010 Huffman et al. (2013)



1011
1012 Figure 2: Time series of key species concentrations and meteorological data over entire campaign. (a)
1013 Fluorescent particle number size distribution measured with UV-APS instrument. Color scale indicates
1014 fluorescent particle number concentration (L<sup>-1</sup>). (b) Meteorological data: relative humidity (RH),
1015 disdrometer rainfall (mm per 15 min), leaf wetness (mV). (c) Wetness category indicated as colored bars;
1016 green, Rainy; brown, Dry; pink, Other. Bar width corresponds to filter sampling periods. Lightened
1017 colored bars extend vertically to highlight categorization. (d) Colored traces show fungal spore
1018 an extended form melasure (simples) and WIDS Clil data (sequence). (c) Stacked here

1018 concentrations estimated from molecular tracers (circles) and WIBS Cl1 data (squares). (e) Stacked bars

show relative fraction of fluorescent particle type corresponding to each WIBS category.



1020

1021 Figure 3: Characteristic differences between different wetness periods (Dry, Rainy, Other). (a) Relative
 1022 fraction of fluorescent particle number corresponding to each WIBS category. Bars show relative standard

1023 deviation of category fraction in each wetness group (Dry, 19 samples; Rainy, 11 samples; Other, 6

1024 samples). (b, c) Distribution of fungal OTU (operational taxonomic unit) values. (b) Fungal community

1025 composition at phylum and class level with *Agaricomycetes* (dominant class with consistently ~60% of

1026 diversity) removed. Relative proportion of OTUs assigned to different fungal classes and phyla for each

sample category shown. (c) Venn diagram showing the number of unique (wetness category specific) and

shared OTUs (represented by numbers in overlapping areas) among the sample categories (Dry, 11

1029 samples; Rainy, 7 samples; Other, 3 samples). OTUs classified as cluster of sequences with  $\ge 97\%$ 

similarity. Taxonomic assignments were performed using BLAST against NCBI database. In total, 3902
 sequences, representing 406 fungal OTUs from 3 phyla and 12 classes were detected. Despite differences

1032 in community structure across the sample categories, phylogenetic representation appears largely similar.



1033UV-APS FAPs (10 m °)UV-APS FAPs (10 m °)1034Figure 4: Number concentration of fluorescent particles as a function of instrument channel, averaged1035over entire measurement period. (a) Box-whisker plot of fluorescent particle number concentration for1036WIBS FL1, FL2, FL3, and UVAPS. Circle markers shows mean values, internal horizontal line shows1037median, top and bottom of box show inner quartile, and whiskers show 5<sup>th</sup> and 95<sup>th</sup> percentiles. (b) WIBS1038FL1 versus UV-APS (c) WIBS FL2 versus UV-APS (d) WIBS FL3 versus UV-APS. Crosses represent 5-1039minute average points. Linear fits assigned for data in each wetness category.





Figure 5: Mass concentrations of molecular tracers and fluorescent particles (assuming unit density 1042 particle mass and spherical particles): arabitol – top row, and mannitol – bottom row. Average mass 1043 concentration of arabitol (a) and mannitol (b) in each wetness category. Central marker shows mean value 1044 1045 of individual filter concentration values, bars represent standard deviation (s) range of filter values, and 1046 individual points show outliers beyond mean  $\pm s$ . Correlation of arabitol (c) and mannitol (d) with fluorescent particle mass from UV-APS. Correlation of arabitol (e) and mannitol (f) with fluorescent 1047 particle mass from WIBS Cluster 1. R<sup>2</sup> values shown for each fit in c-f. Linear fit parameters are shown in 1048 1049 Table S2.



1050 1051 Figure 6: Estimated fungal spore number concentration, calculated using mass of arabitol and mannitol per spore reported by Bauer et al. (2008a). Estimates from arabitol (top row) and mannitol (bottom row). 1052 Average fungal spore concentration, calculated using arabitol mass (a), mannitol mass (b), and colony 1053 1054 forming units (c) in each wetness category. Central marker shows mean value of individual filter concentration values, bars represent standard deviation (s) range of filter values, and individual points 1055 1056 show outliers beyond mean  $\pm s$ . Correlation of fungal spore number calculated from arabitol (d) mannitol 1057 (e). and colony forming units (f) concentration with estimated fluorescent particle mass from UV-APS. Correlation of fungal spore number calculated from arabitol (g), mannitol (h), and colony forming unit (i) 1058 concentration with fluorescent particle concentration from WIBS Cluster 1. R<sup>2</sup> value shown for each fit 1059 (right two columns). Linear fit parameters are shown in Table S3. 1060



1061

**Figure 7:** Estimated fraction of total aerosol mass contributed by fungal spores. Fungal spore mass concentration ( $\mu$ g/m<sup>3</sup>) calculated separately from mannitol and arabitol concentration and using average mass per spore reported by Bauer et al. (2008b). Total particulate matter mass calculated from UV-APS number concentration (m<sup>-3</sup>) and converted to mass over aerodynamic particle diameter range 0.5 – 15 µm using density of 1.5 g cm<sup>-3</sup>. Central marker shows mean value of individual filter concentration values, bars represent standard deviation (*s*) range of filter values, and individual points show outliers beyond mean ± *s*.







1072 **Figure 8**: Endotoxin mass concentration as an approximate indicator of gram-negative bacteria

1073 concentration. (a) Averaged concentration in each wetness category. Central marker shows mean value of

1074 individual filter concentration values, bars represent standard deviation (*s*) range of filter values, and

1075 individual points show outliers beyond mean  $\pm s$ . (b) Correlation of endotoxin mass concentration with

1076 estimated fluorescent particle mass from UV-APS. (c) Correlation of endotoxin mass concentration with

1077 estimated fluorescent particle mass summed from Clusters 2, 3, and 4 from Crawford et al. (2015).