



# Photochemical Degradation of Isoprene-derived 4,1-Carbonyl Nitrate

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- 4 F. Xiong<sup>1</sup>, C. H. Borca<sup>1</sup>, L. V. Slipchenko<sup>1</sup> and P. B. Shepson<sup>1,2</sup>
- 5 [1] Department of Chemistry, Purdue University, West Lafayette, IN
- 6 [2] Department of Earth, Atmospheric and Planetary Sciences, Purdue University, West
- 7 Lafayette, IN
- 8 Correspondence to: P. B. Shepson (pshepson@purdue.edu)
- 9 Abstract

10 In isoprene-impacted environments, carbonyl nitrates are produced from NO<sub>3</sub>-initiated isoprene 11 oxidation, which constitutes a potentially important  $NO_x$  reservoir. To better understand the fate 12 of isoprene carbonyl nitrates, we synthesized a model compound, trans-4-nitrooxy-2-methyl-2buten-1-al (4,1-isoprene carbonyl nitrate) and investigated its photochemical degradation process. 13 14 The measured OH and O<sub>3</sub> oxidation rate constants for this carbonyl nitrate are  $4.1(\pm 0.7) \times 10^{-11}$ cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup> and  $4.4(\pm 0.3) \times 10^{-18}$  cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup>. The UV absorption spectrum of the 15 16 carbonyl nitrate was determined, and the result is consistent with TDDFT calculations. Based on its UV absorption cross section and photolysis frequency in a reaction chamber, we estimate that 17 the ambient photolysis frequency for this compound is  $3.1 \times 10^{-4}$  s<sup>-1</sup> for a solar zenith angle 18 (SZA) of 45°. The fast photolysis rate and high reactivity toward OH lead to a lifetime of less 19 than one hour for the carbonyl nitrate, with photolysis being a dominant daytime sink. The 20 21 nitrate products derived from the OH oxidation and the photolysis of the isoprene carbonyl 22 nitrate were identified with an iodide-based chemical ionization mass spectrometer. For the OH 23 oxidation reaction, we quantified the yields of two nitrate products, MVK nitrate and ethanal 24 nitrate, which together contributed to  $37(\pm 5)\%$  of the first-generation products.

## 25 **1** Introduction

Over the past century, tropospheric ozone concentrations have increased from around 20 ppb to
~40 ppb, with urban-impacted concentrations often rising to 60-100 ppb (Parrish et al., 2014;
Vingarzan, 2004), posing harmful effects on human health and crop yields (Lefohn and Foley,





29 1993; Lippmann, 1989). Tropospheric ozone is catalytically produced in the chemical reactions of nitrogen oxides (NO<sub>x</sub>  $\equiv$  NO + NO<sub>2</sub>) and volatile organic compounds (VOCs) (Haagen-Smit, 30 1952). NO<sub>2</sub> photolysis forms ozone (Blacet, 1952), and the ozone production rate is enhanced 31 32 when the NO-NO<sub>2</sub>-O<sub>3</sub> cycle is coupled with the oxidation of VOCs (Chameides et al., 1988; 33 Chameides and Walker, 1973; Chameides et al., 1992). When NO<sub>x</sub> is incorporated into organic 34 molecules and forms organic nitrates (RONO<sub>2</sub>), however, ozone formation is suppressed 35 (Roberts, 1990). Organic nitrates are a temporary NO<sub>x</sub> reservoir. Degradation of organic nitrates can release NO<sub>2</sub> back into the atmosphere (Aschmann et al., 2011), and thus facilitate ozone 36 37 production. Organic nitrates in the gas phase can also adsorb onto atmospheric aerosols, 38 followed by condensed-phase hydrolysis (Rindelaub et al., 2015). This process removes the 39 reactive nitrogen from the atmosphere permanently, as the nitrooxy group is turned into the non-40 volatile NO<sub>3</sub><sup>-</sup> ion (Darer et al., 2011; Hu et al., 2011). The relative importance of these parallel 41 nitrate sinks affects the availability of NO<sub>x</sub> and the ozone production rate in the troposphere. 42 Therefore, detailed understanding of the loss mechanisms of organic nitrates is crucial to 43 understanding the dynamics of ground-level ozone formation.

Modeling studies suggest that isoprene-derived organic nitrates have substantial influence on the 44 45 NO<sub>x</sub> cycle and tropospheric O<sub>3</sub> production (Horowitz et al., 2007; Mao et al., 2013; Paulot et al., 2012; Wu et al., 2007). During the daytime, isoprene is lost rapidly to OH oxidation, forming 46 47 organic nitrates through the  $RO_2$  + NO reaction with a yield of 7-14% (Lockwood et al., 2010; 48 Patchen et al., 2007; Paulot et al., 2009; Sprengnether et al., 2002; Tuazon and Atkinson, 1990; 49 Xiong et al., 2015). At night, reaction with  $NO_3$  is a significant removal pathway for isoprene (Brown et al., 2009; Starn et al., 1998), and organic nitrates constitute 65-70% of the oxidation 50 51 products (Perring et al., 2009; Rollins et al., 2009). While NO<sub>3</sub>-initiated isoprene oxidation 52 contributes to a small fraction of isoprene loss, this reaction pathway could generate 53 approximately half of the isoprene-derived organic nitrates on a regional scale, due to its large 54 nitrate yield (Horowitz et al., 2007; Xie et al., 2013).

Fig.1 shows the formation pathways of organic nitrate products from NO<sub>3</sub>-initiated oxidation of isoprene, including hydroperoxy nitrate, carbonyl nitrate and hydroxy nitrate. Reactions for only one of the nitrooxy peroxy radicals are shown for brevity. The hydroxy nitrates can be also formed in the OH-initiated isoprene oxidation reactions, and their production and degradation





59 have been studied extensively in both laboratory and field studies (Chen et al., 1998; Giacopelli 60 et al., 2005; Grossenbacher et al., 2004; Jacobs et al., 2014; Lee et al., 2014b; Lockwood et al., 2010; Patchen et al., 2007; Paulot et al., 2009; Sprengnether et al., 2002; Tuazon and Atkinson, 61 62 1990; Xiong et al., 2015). For the hydroperoxy nitrates, Schwantes et al. (2015) investigated their production from the  $RO_2 + HO_2$  reaction and identified the nitrooxy hydroxyepoxide 63 product from the OH oxidation of the isoprene hydroperoxy nitrate. For the isoprene carbonyl 64 65 nitrates, their formation has been quantified in an experimental study (Kwan et al., 2012), but their sinks and fate can only be inferred from analog molecules, such as nitrooxy ketones, due to 66 67 lack of direct studies on these specific compounds. Suarez-Bertoa et al. (2012) conducted kinetics experiments on three synthesized saturated nitrooxy ketones, and their results indicate 68 that photolysis is the dominant sink for these nitrate compounds. By comparing the published 69 70 UV absorption spectra of  $\alpha$ -nitrooxy ketones with the UV spectra of the mono-functional nitrates 71 and ketones, Müller et al. (2014) suggested that the nitrooxy ketones have enhanced absorption cross sections, which can facilitate the dissociation of the O-NO<sub>2</sub> bond. Like the nitrooxy 72 73 ketones discussed by Suarez-Bertoa et al. (2012) and Müller et al. (2014), the carbonyl nitrate 74 derived from NO<sub>3</sub> + isoprene oxidation has a chromophore, -C=C-C=O, at the  $\beta$  position of the 75 nitrate group, which may enhance the UV absorption cross section of the molecule and facilitate 76 its photolytic dissociation. However, since the five-carbon isoprene carbonyl nitrate (Fig. 1) is 77 unsaturated, it is expected to be lost rapidly to OH oxidation. To date, the relative importance of 78 the individual photochemical sinks for the unsaturated carbonyl nitrates is still unclear. To 79 answer this question, we synthesized a model compound for the five-carbon isoprene carbonyl 80 nitrates, and investigated its photochemical reactivities.

## 81 2 Synthesis and characterization

A model compound, 4,1-isoprene carbonyl nitrate (*trans*-2-methyl-4-nitrooxy-2-buten-1-al ) was synthesized following the reaction scheme in Fig. 2. The nitrate was prepared by reacting AgNO<sub>3</sub> with the corresponding bromide (*trans*-4-bromo-2-methyl-2-buten-1-al) (Ferris et al., 1953), which was synthesized following Gray (1981). The <sup>1</sup>H and <sup>13</sup>C NMR spectra of the synthesized product are shown in Fig. S1 and Fig. S2. Its IR absorption spectrum is shown in Fig. S3.





87 Shown in Fig. 3 are the UV absorption cross sections for the carbonyl nitrate, methacrolein 88 (MACR) and isopropyl nitrate, obtained in acetonitrile solvent. The absorption cross section of 89 the carbonyl nitrate is enhanced relative to that of MACR, but the two spectra have similar 90 features from 320 nm to 400 nm with peak absorption at 325 nm. This is probably because they 91 both contain the O=C-C=C chromophore. Below 320 nm the absorption of the carbonyl nitrate is 92 enhanced significantly in comparison with that of isopropyl nitrate. This observation is 93 consistent with reports from Müller et al. (2014) that molecules containing  $\alpha$ ,  $\beta$ -nitrooxy ketone 94 functionalities have enhanced UV absorption.

#### 95 3 Methods

#### 96 **3.1** Setup for the kinetics chamber experiments

97 Three sets of reaction chamber experiments were conducted to determine the photolysis 98 frequency, OH oxidation rate constant and the O<sub>3</sub> oxidation rate constant for the carbonyl nitrate. 99 The experiments were performed in the 5500 L Purdue photochemical reaction chamber (Chen et 100 al., 1998). A chemical ionization mass spectrometer (CIMS) with I as the reagent ion was used 101 to quantify the carbonyl nitrate and its nitrate degradation products (Xiong et al., 2015). The 102 chamber air was sampled into the CIMS through a 5.2 m long FEP tubing (0.8 cm ID, heated to 103 constant 50 °C). The photolysis frequency was obtained by measuring the loss of the carbonyl 104 nitrate inside the reaction chamber in the presence of UV radiation and propene as a radical 105 scavenger. When the UV lamps were turned off, the wall loss rate constant for the carbonyl 106 nitrate was dervied by observing its slow decay, with propene as an ozone and NO<sub>3</sub> scavenger. 107 The OH reaction rate constant and O<sub>3</sub> reaction rate constant were obtained using the relative rate 108 method (Atkinson and Aschmann, 1985). Propene was used as the reference compound, and its 109 changing concentrations were measured using a GC-FID equipped with a 0.32 mm Rtx-Q-Bond 110 column. For the OH oxidation experiments, OH was generated through the photolysis of isopropyl nitrite, which was synthesized following Noyes (1933). NO was added to the chamber 111 to suppress the formation of O3. In addition, two OH oxidation experiments were performed 112 113 without propene in order to quantify the oxidation products. For the OH-initiated oxidation 114 experiments, NO and NO<sub>2</sub> were measured using the Total REactive Nitrogen Instrument 115 (TRENI) (Lockwood et al., 2010). The ozonolysis experiments were performed in the dark, and





116 cyclohexane was added to the chamber as an OH scavenger. The initial conditions for the

117 experiments are listed in Table S1.

# 118 **3.2 Computational methods**

The theoretical UV absorption spectra of the carbonyl nitrate, MACR, and *n*-butyl nitrate in the 119 gas phase were calculated and analyzed, in four stages, using time-dependent density functional 120 121 theory (TDDFT; Hohenberg and Kohn, 1964; Kohn and Sham, 1965; Runge and Gross, 1984). 122 All calculations were carried out using the computational chemistry package Q-Chem 4.3 (Shao 123 et al., 2015). First, the structure of each molecule was optimized employing the long-range 124 corrected hybrid density functional  $\omega$ B97X-D (Chai and Head-Gordon, 2008) with the 6-31+G\* 125 basis set (Frisch et al., 1984). A high accuracy grid was employed. Second, frequencies 126 calculations were executed on the optimized structures to verify their accuracy. These were run 127 using the same setup described above. Third, after assuring the structures represented adequate minima, the first ten singlet excited states of each molecule were computed with TDDFT, using 128 129 the same functional and basis set. Finally, a visual analysis of the molecular orbitals (MOs) was 130 carried out with the visualization software IOmol 2.7 (Gilbert, 2012).

# 131 **4 Results**

# 132 4.1 Absorption spectra and density functional calculations

Fig. 4 shows the TDDFT UV absorption spectra of the carbonyl nitrate, MACR, and *n*-butylnitrate. There are three groups of transitions in the simulated spectra.

Both MACR and the carbonyl nitrate show a relatively weak transition in the region around 330 nm, which corresponds to the first electronic transition, from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO), in both molecules. Fig. 5a provides comparative information between the first electronic transition of the carbonyl nitrate and the homologous excitation of MACR. As shown in Fig. 5a the character of the molecular orbitals involved in this transition is similar in both cases, indicating that the aldehyde group is involved in the first electronic excitation of the carbonyl nitrate.





Fig. 5b shows the information corresponding to the second electronic transition of the carbonyl 142 143 nitrate and the homologous excitation of n-butyl nitrate. Both transitions are found in the region 144 around 255 nm, and they are 3 orders of magnitude darker than those at 330 nm. Inspection of 145 the character of the MOs involved in these processes reveals a correspondence between the 146 second electronic excitation of the carbonyl nitrate, HOMO-2  $\rightarrow$  LUMO+1, and the HOMO  $\rightarrow$ 147 LUMO transition of *n*-butyl nitrate. As with the previous case, that observation confirms that the 148 nitrate group is involved in the second electronic excitation of the carbonyl nitrate, but at 149 wavelengths shorter than present at the Earth's surface. Fig. 5b also shows that in this case, the 150 local character of the MOs involved in the transition is even more pronounced, with bulky lobes 151 placed mainly over the nitrate group.

Even though the second electronic transition of carbonyl nitrate is not displayed in the experimental spectra of Fig. 3, because its range covers from 280 nm to 410 nm, it is reasonable to assume that it is caused by the local excitation of the nitrate group, based on the computational results. Thus, it can be suggested that the experimental UV absorption spectra of isopropyl nitrate is comparable to that of *n*-butyl nitrate simulated computationally. Thus it is possible that the feature in the region around 280 nm of the carbonyl nitrate experimental spectrum of Fig. 3 could be caused by a broadening of the transition located around 255 nm.

The brightest transition in the modeled spectra of the carbonyl nitrate, 3 orders of magnitude brighter than the ones at 330 nm, is located around 210 nm. There are two transitions in this region and each one has a homologous excitation: the HOMO-1  $\rightarrow$  LUMO in carbonyl nitrate is similar to HOMO-1  $\rightarrow$  LUMO in MACR, and the HOMO-5  $\rightarrow$  LUMO+1 in carbonyl nitrate is related to the (mainly) HOMO-1  $\rightarrow$  LUMO transition of *n*-butyl nitrate. These transitions are beyond the range of the experimental spectra on Fig. 3 and beyond the atmospherically relevant absorption wavelengths.

# 166 **4.2** Photochemical sinks of 4,1-carbonyl nitrate

Fig. 6 shows the first-order wall loss and photolysis loss of the carbonyl nitrate inside the reaction chamber. The wall loss rate constant was  $1.3(\pm 0.1) \times 10^{-5}$  s<sup>-1</sup>, and the photolysis rate constant was  $3.0(\pm 0.1) \times 10^{-5}$  s<sup>-1</sup>, after subtracting the wall loss rate constant from the first-order decay rate constant measured for the photolysis experiments. It is worth mentioning that our





reactant carbonyl nitrate has a *trans* configuration, and it may photo-isomerize into the *cis* 171 172 configuration, which would be detected at the same m/z by the CIMS. The cis-carbonyl nitrate 173 can either photo-dissociate or isomerize to re-form the trans isomer. Our previous work suggests 174 that the CIMS is 4 times more sensitive to the *cis* configuration than the *trans* configuration 175 (Xiong et al., 2015). If a significant amount of the *cis* isomer was present, the CIMS signal 176 should resemble a double exponential curve, because the *cis* isomer was being produced and consumed simultaneously. In an extreme scenario with rapid *trans*  $\rightarrow$  *cis* isomerization, the 177 178 CIMS signal should increase under radiation, due to the higher sensitivity of the cis isomer. For 179 our carbonyl nitrate photolysis experiments, a single exponential decay in the CIMS signal was 180 observed, indicating insignificant contribution from the cis isomer. Hence, our measured 181 photolysis frequency should well characterize the loss rate of the carbonyl nitrate inside the 182 reaction chamber.

Since the UV radiation inside the reaction chamber is different from the UV radiation in the ambient environment (Fig. 7), Cl<sub>2</sub> was used as a reference compound to extrapolate the nitrate photolysis rate from chamber radiation to solar radiation. The photolysis decay of Cl<sub>2</sub> in the reaction chamber was measured with the CIMS (Neuman et al., 2010). Cyclohexane was added to the chamber to scavenge the Cl atoms so that Cl<sub>2</sub> was not re-formed from Cl + Cl recombination. The first-order photolysis rate constant for Cl<sub>2</sub> was  $2.50(\pm 0.04) \times 10^{-4}$  s<sup>-1</sup> (Fig. S4).

190 The photolysis frequency (J) is the integrated product of quantum yield ( $\Phi$ ), absorption cross 191 section ( $\sigma$ , cm<sup>2</sup>) and actinic flux (F, cm<sup>-2</sup> s<sup>-1</sup>) across all wavelengths (Eq. 1). Therefore, the 192 photolysis frequencies for the carbonyl nitrate and Cl<sub>2</sub> in the reaction chamber can be compared 193 as in Eq. 2.

194 
$$J = \int \Phi_{\lambda} \sigma_{\lambda} F_{\lambda} d\lambda$$
 (Eq. 1)

195 
$$\frac{J_{Cl_2}^{chamber}}{J_{nitrate}^{chamber}} = \frac{\Sigma \varphi_{Cl_2} \sigma_{Cl_2} F_{chamber}}{\Sigma \varphi_{nitrate} \sigma_{nitrate} F_{chamber}}$$
(Eq. 2)

196  $J_{Cl_2}^{chamber}$  and  $J_{nitrate}^{chamber}$  are the photolysis frequencies of Cl<sub>2</sub> and the carbonyl nitrate inside the 197 chamber.  $\sigma_{Cl_2}$  and  $\sigma_{nitrate}$  are the cross sections for Cl<sub>2</sub> and the carbonyl nitrate at each





198 wavelength.  $\sigma_{nitrate}$  was determined by this work (Fig. 3).  $\sigma_{Cl_2}$  has been measured previously 199 and the IUPAC recommended values were used (Atkinson et al., 2007). F<sub>chamber</sub> is the 200 wavelength-dependent flux of photons inside the chamber. The radiation spectrum (Fig. 7) of the 201 chamber UV lamps (UVA 340) was obtained from the manufacturer (O-lab), but the actual 202 absolute radiation intensity in the chamber is expected to differ from the manufacturer's 203 radiation spectrum by a scaling factor, because of the inverse-square dependence on distance, 204 and our specific multi-lamp geometry. When  $Cl_2$  was used as a reference compound for the 205 nitrate photolysis rate, the scaling factors in Eq. 2 will cancel.

206 The Cl-Cl bond dissociation energy is 243 kJ/mol (Luo, 2007a), equivalent to a photon at 492 207 nm. Since Cl<sub>2</sub> has only one bond, it has unity quantum yield below 492 nm and zero quantum 208 yield above 492 nm. The emission spectrum of the UV lamps for the reaction chamber is 209 centered from 300 nm to 400 nm (Fig. 7). Hence,  $\varphi_{Cl_2} = 1$  in Eq. 2, at all wavelengths. For the 210 carbonyl nitrate, however, its quantum yield is affected by the bond dissociation energy, 211 intramolecular vibrational energy redistribution and relaxation of the excited molecule from collisions, so an average effective quantum yield ( $\varphi_{nitrate}^{eff}$ ) is assumed, and Eq. 2 becomes Eq. 3. 212 213 Since the photolysis rates, absorption cross sections and chamber radiation spectrum were known, we calculated that  $\varphi_{nitrate}^{eff}$  was 0.48. 214

215 
$$\frac{J_{Cl_2}^{\text{chamber}}}{J_{\text{nitrate}}^{\text{chamber}}} = \frac{\sum \sigma_{Cl_2} F_{\text{chamber}}}{\varphi_{nitrate}^{eff} \sum \sigma_{\text{nitrate}} F_{\text{chamber}}}$$
(Eq. 3)

216 The effective quantum yield of 0.48 indicates that when the carbonyl nitrates absorbs a photon 217 inside the reaction chamber, the probability (averaged across the absorption wavelengths) for it to dissociate is 48%. However, the probability for nitrate photolysis is not equal at all 218 219 wavelengths, the low energy photons (long wavelength) being less likely to induce photo-220 dissociation. Hence, we introduced a threshold wavelength  $\lambda_0$ , for which the carbonyl nitrate has 221 unity quantum yield below  $\lambda_0$  and zero quantum yield above  $\lambda_0$ . Although this approach accounts 222 for the energy difference of photons with different wavelengths, it is still a very rough estimation. Using the threshold wavelength, the effective quantum yield can be expressed by Eq. 223 224 4 and Eq. 5, where  $\varphi(\lambda)$  is the quantum yield of the carbonyl nitrate, and  $F(\lambda)$  is the chamber





225 photon flux (Fig. 7), as a function of the wavelength  $\lambda$ . Solving for the unknown  $\lambda_0$  in Eq. 5, we

226 calculated that  $\lambda_0$  was 347 nm.

227 
$$\varphi(\lambda) = \begin{cases} 1 \ (\lambda \le \lambda_0) \\ 0 \ (\lambda > \lambda_0) \end{cases}$$
(Eq. 4)

228 
$$\frac{\sum_{\lambda} F(\lambda) \cdot \phi(\lambda)}{\sum_{\lambda} F(\lambda)} = 0.48$$
 (Eq. 5)

229 The solar radiation spectrum was calculated with the TUV model (Madronich and Flocke, 1998). By assuming that the carbonyl nitrate has zero quantum yield above 347 nm and unity quantum 230 vield below 347 nm, its photolysis frequency is  $2.6 \times 10^{-4}$  s<sup>-1</sup> for a solar zenith angle (SZA) of 231 45°, and  $3.7 \times 10^{-4}$  s<sup>-1</sup> for SZA of 0°. It is worth mentioning that the condensed-phase and gas-232 phase absorption spectra should be different, because the solvent molecules affect the 233 234 polarization and dipole moment of the solute (Bayliss and McRae, 1954; Braun et al., 1991; 235 Linder and Abdulnur, 1971). Although we were unable to measure the gas-phase cross section of 236 the carbonyl nitrate, we could assess the uncertainty caused by using the condensed-phase 237 spectrum in our calculation, by comparing the gas-phase and condensed-phase spectra of MACR and isopropyl nitrate (Fig. S5a). On average, the gas-phase absorption cross sections of MACR 238 239 and isopropyl nitrate are 1.7 times those in the solution phase (Fig. S5b). For the carbonyl nitrate, 240 if the gas-phase cross section is assumed to be 1.7 times that of the solution-phase cross section, 241 the calculated effective quantum yield becomes 0.28, leading to a threshold wavelength ( $\lambda_0$ ) of 336 nm. Using this set of cross section and quantum yields, we calculated that the nitrate 242 photolysis frequency was  $3.1 \times 10^{-4}$  s<sup>-1</sup> for SZA of 45°, and  $4.6 \times 10^{-4}$  s<sup>-1</sup> for SZA of 0°, which are 243 19% and 24% larger than results obtained using the condensed-phase cross section. The 244 245 calculated ambient photolysis frequency is not affected as significantly by the change in the 246 absorption cross section, because it is constrained by the measured photolysis frequency in the 247 reaction chamber. When a larger cross section is applied, a smaller quantum yield is derived, and the calculated ambient photolysis frequency, being the integrated product of the cross section, 248 249 quantum yield and radiation, will not increase as much as the cross section. In addition to the 250 cross section, our treatment of the wavelength-dependent quantum yield can also introduce uncertainty to the calculated results. If a constant effective quantum yield is used in the 251 calculation, the ambient photolysis frequency is  $2.0 \times 10^{-4}$  s<sup>-1</sup> for SZA of 45°, and  $2.8 \times 10^{-4}$  s<sup>-1</sup> for 252





SZA of 0°, which are 23% and 24% lower than assuming a threshold wavelength. Therefore, our
 calculated ambient photolysis frequency, based on condensed-phase absorption cross section and

a threshold energy for unity quantum yield, has an uncertainty of 25%. Since we believe that the

256 cross sections are indeed larger in the gas phase, our best estimate is  $3.1 \times 10^{-4} \text{ s}^{-1}$  for SZA=45°.

Fig. 8 shows the results for the relative rate experiments for the OH-initiated and O<sub>3</sub>-initiated 257 258 oxidation of the carbonyl nitrate, with propene as the reference compound. The loss of the 259 carbonyl nitrate to wall uptake and photolysis is corrected when comparing the oxidative loss of 260 the nitrate to that of propene, using the same method as Hallquist et al. (1997). The OH and  $O_3$ oxidation rate constants for propene are  $3.0(\pm 0.5) \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$  (Klein et al., 1984; 261 Zellner and Lorenz, 1984) and  $1.00(\pm 0.06) \times 10^{-17}$  cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup> (Herron and Huie, 1974; 262 Treacy et al., 1992). These are the IUPAC preferred rate constants for T=298K 263 264 (http://iupac.pole-ether.fr/). Hence, the OH and O<sub>3</sub> oxidation rate constants for the isoprene carbonyl nitrate are, based on the results from the relative rate experiments,  $4.1(\pm 0.7) \times 10^{-11}$  cm<sup>3</sup> 265 molecules<sup>-1</sup> s<sup>-1</sup> and 4.4(±0.3)×10<sup>-18</sup> cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup> respectively, at 295 K. 266

267 The OH oxidation rate constant for the carbonyl nitrate can be estimated through the structureactivity-relationship (SAR) approach proposed by Kwok and Atkinson (1995). The rate constant 268 for OH addition to the double bond can be calculated as k(-CH=CH), which is  $8.69 \times 10^{-11}$  cm<sup>3</sup> 269 molecules<sup>-1</sup> s<sup>-1</sup>, multiplied by the two correction factors C(-CHO) and C(-CH<sub>2</sub>ONO<sub>2</sub>), which are 270 0.34 and 0.47 respectively. The resulting OH addition rate constant is  $1.39 \times 10^{-11}$  cm<sup>3</sup> 271 molecules<sup>-1</sup> s<sup>-1</sup>. The rate constant for H abstraction from the –CHO group is  $1.61 \times 10^{-11}$  cm<sup>3</sup> 272 molecules<sup>-1</sup> s<sup>-1</sup>, after multiplying a correction factor of 1 for having a double bond at its  $\alpha$ 273 position. The rate constant for H abstraction from the methylene group is  $3.7 \times 10^{-14}$  cm<sup>3</sup> 274 molecules  ${}^{-1}$  s ${}^{-1}$ , calculated by multiplying the base rate constant for methylene groups, which is 275  $9.34 \times 10^{-13}$  cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup>, by the correction factors of the nitrate group and the double 276 bond, which are 0.04 and 1, respectively. OH addition to the nitrate group has a rate constant of 277  $4.4 \times 10^{-13}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, after taking account of the enhancement factor of 1.23 for the 278 methylene group. H abstraction from the methyl group has a rate constant of  $1.36 \times 10^{-13}$  cm<sup>3</sup> 279 molecules<sup>-1</sup> s<sup>-1</sup>. By summing up the rate constants for all these reaction pathways, the SAR-280 derived OH oxidation rate constant for the 4,1-carbonyl nitrate rate constant is  $3.1 \times 10^{-11}$  cm<sup>3</sup> 281 molecules<sup>-1</sup> s<sup>-1</sup>, approximately 30% lower than the experimental measurement. The dominant 282





reaction channels are OH addition to the double bond and H abstraction from the aldehyde
group. Contributions from the other reaction pathways are small (<3%).</li>

The relative importance of the three photochemical sinks, photolysis, OH oxidation and  $O_3$ 285 286 oxidation, depends on the solar radiation and the concentrations of OH and O<sub>3</sub>. To better 287 illustrate their relative contributions, observations of OH and O<sub>3</sub> from previous field campaigns 288 were used to calculate the loss rates of the carbonyl nitrate. The local solar radiation was 289 calculated with the TUV model (Madronich and Flocke, 1998), which was then used to derive 290 the photolysis frequency. The calculated results (Fig. 9) suggest that photolysis is a significant 291 degradation pathway for the carbonyl nitrate, which can dominate over OH oxidation toward 292 mid-day. When the solar radiation intensity is small (such as 6:00 AM for the 1999 SOS 293 campaign), OH oxidation is likely the dominant sink. Due to the fast photolysis and high 294 reactivity toward OH, the photochemical lifetime of the carbonyl nitrate can be as short as less 295 than one hour.

## **4.3 Degradation products of the 4,1-carbonyl nitrate**

#### 297 **4.3.1 OH oxidation**

The products from the OH-initiated oxidation of the 4,1-carbonyl nitrate were observed by the CIMS. The change in the CIMS signals before and after the reaction are illustrated in Fig. 10, along with assignment of some of the molecular structures based on the molecular weight and likely chemistry. The OH-initiated oxidation reaction can proceed through two channels: H abstraction from the aldehyde group and OH addition to the double bond.

303 For the H abstraction pathway, a peroxyacyl nitrate (PAN) product was observed at m/z 349 (Fig. 304 10), which can be formed as shown in Fig. 11. The first-order dissociation rate constant for the 305 PAN compound was determined at room temperature (295 K) using the following method. A 100 L Teflon bag containing the air mixture of approximately 1 ppm isopropyl nitrite and 30 ppb 306 307 4,1-carbonyl nitrate was irradiated, and the PAN compound was formed from OH and NO<sub>2</sub> 308 (produced through the photolysis of isopropyl nitrite) reaction with the 4,1-carbonyl nitrate. 309 After 5 min reaction time, the bag was removed from the UV radiation, and NO was injected into 310 the bag to around 4 ppm in concentration. The bag was then sampled simultaneously by the CIMS, which monitored the decrease in the signal of the PAN compound, and by the TRENI, 311





which monitored the concentrations of NO and NO2. The PAN dissociation reaction is a 312 reversible process, where the dissociation products, peroxyacyl (PA) radical and NO<sub>2</sub>, can re-313 combine to form PAN. With the addition of the large amount of NO, PA radicals are 314 315 predominantly consumed by the irreversible PA + NO reaction, leading to the decay of the PAN 316 compound. The apparent PAN dissociation rate constant can be described by Eq. 6 (Shepson et al., 1992), where k is the first-order loss rate constant measured by the CIMS (Fig. S6), k<sub>PAN</sub> is 317 the real PAN dissociation rate constant, [NO] and [NO<sub>2</sub>] are the concentrations for NO and NO<sub>2</sub>, 318 319 and  $k_{NO}$  and  $k_{NO2}$  are the rate constants for PA + NO and PA + NO<sub>2</sub> reactions. Since the rate 320 constants k<sub>NO</sub> and k<sub>NO2</sub> for the carbonyl nitrate-derived PA radical are unknown, the IUPAC 321 recommended rate constants for the peroxyacetyl radicals  $(CH_3C(O)O_2)$  are used, with  $k_{NO} =$  $2.0 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> and k<sub>NO2</sub> =  $8.9 \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>. The PAN dissociation 322 rate constant, after correcting for the competing PA + NO and  $PA + NO_2$  reactions using Eq. 6, is 323  $5.7(\pm 0.8) \times 10^{-4}$  s<sup>-1</sup>, based on three experimental trials. In addition to dissociation, the PAN 324 compound in the 100 L bag could also undergo wall loss. This loss rate was estimated by 325 multiplying the wall loss rate of the carbonyl nitrate in the 5500 L chamber by a factor of 16, 326 327 which is the square diffusion distance of the chamber relative to that of the 100 L bag, assuming 328 the PAN compound and the isoprene carbonyl nitrate have similar diffusion and adsorption coefficients. Considering the uncertainty in wall loss rate, the PAN dissociation rate constant is 329  $5.7(+0.8/-2.8) \times 10^{-4} \text{ s}^{-1}$ . Previous studies of the dissociation rate constants for peroxyacyl nitrates 330 have reported results ranging from  $1.6 \times 10^{-4} \text{ s}^{-1}$  to  $6.0 \times 10^{-4} \text{ s}^{-1}$  at 298 K (Bridier et al., 1991; 331 332 Grosjean et al., 1994; Kabir et al., 2014; Roberts and Bertman, 1992). Our result is consistent 333 with previous work.

334 
$$k = k_{PAN} \left(1 - \frac{1}{1 + \frac{k_{NO}[NO]}{k_{NO_2}[NO_2]}}\right)$$
 (Eq. 6)

Since our OH oxidation experiments were conducted in the presence of high NO concentration, a significant fraction of the PA radicals from the H abstraction reaction channel were expected to react with NO to form alkoxy radicals. Based on the product observed at m/z 321, a reaction scheme (Fig. 11) is proposed, where the alkoxy radical dissociates into CO<sub>2</sub> and an alkyl radical, which is further oxidized to form a C4 dinitrate (m/z 321, Fig. 10), along with ethanal nitrate (m/z 232, Fig. 10).





341 For the OH addition pathway, OH can add to the C2 and the C3 position of the 4,1-isoprene 342 carbonyl nitrate, but the less substituted C3 position should be preferential (Peeters et al., 2007). 343 For the C2 addition, the expected nitrate products are C5 dinitrate and ethanal nitrate (Fig. 12a), 344 as observed at m/z 351 and m/z 232 (Fig. 10). NO<sub>2</sub> could potentially be released with the 345 concurrent formation of a C4 di-aldehyde (Fig. 12a). The CIMS signal for this compound at m/z 346 229 did not increase (Fig. 10), but the CIMS sensitivity for this compound could be relatively 347 low. For the C3 addition, the expected nitrate products are C5 dinitrate, MVK nitrate and ethanal 348 nitrate (Fig. 12b), observed at m/z 351, m/z 276 and m/z 232 (Fig. 10). The C2 and C3 OH 349 addition pathway would lead to two C5 dinitrate isomers, but they were detected at the same 350 mass by the CIMS.

351 Using a GC-ECD/CIMS method similar to the one described by Xiong et al. (2015), the CIMS 352 sensitivities of the nitrate products were determined relative to the CIMS sensitivity of the 4,1-353 carbonyl nitrate. The setup was modified to operate the GC separation under pressure lower than 354 1 atm (Fig. S7), which helped to lower the elution temperature. A Teflon bag filled with the 4,1-355 carbonyl nitrate, isopropyl nitrite, and NO was irradiated to generate the OH oxidation products. 356 The mixture of the 4,1-carbonyl nitrate and its products were then cryo-focused and separated on 357 the GC column, and eluent species were detected by the ECD and the CIMS simultaneously. We 358 were able to quantify the MVK nitrate and the ethanal nitrate using this method, assuming 359 identical ECD sensitivities for nitrates. The other products shown in Fig. 10, however, were not 360 detected with simultaneous good signal-to-noise ratio on the ECD and the CIMS. The ECD/CIMS chromatograms are shown in Fig. 13. We determined that the reaction of the 4,1-361 362 carbonyl nitrate and the reagent ion I could form NO<sub>3</sub>, but the same reaction did not occur for 363 the MVK nitrate and the ethanal nitrate (Fig. 13). Formation of NO<sub>3</sub><sup>-</sup> from I<sup>-</sup> reaction with 364 organic nitrates has not been reported previously. Since  $\Gamma$  is a poor nucleophile, it is unclear if 365 this reaction proceeds by  $S_N 2$  substitution. Using the same I ionization method, Wang et al. (2014) observed NO<sub>3</sub><sup>-</sup> signal equivalent to a NO<sub>3</sub> +  $N_2O_5$  concentration of 200-1000 ppt during a 366 367 field study in Hong Kong. Through interference tests, the authors attributed 30-50% of the observed NO<sub>3</sub><sup>-</sup> signal to the interference from peroxyacetyl nitrate and NO<sub>2</sub>. Since I<sup>-</sup> reaction 368 369 with the carbonyl nitrate can also generate NO<sub>3</sub>, organic nitrates (RONO<sub>2</sub>) could be a potential source of interference for  $NO_3 + N_2O_5$  measurement with the I<sup>-</sup> ionization method. 370





371 For the GC-ECD/CIMS calibration, 9 trials were conducted at three different pressures. The 372 results are summarized in Table S2. The relative CIMS sensitivities for the 4,1-carbonyl nitrate, 373 ethanal nitrate and MVK nitrate are 1:15(±3):34(±3) respectively. The absolute CIMS sensitivity 374 of the 4.1-carbonyl nitrate was determined with standard gas samples prepared following Xiong 375 et al. (2015), and the result was used to calculate the absolute sensitivities for the ethanal nitrate 376 and the MVK nitrate. The ethanal nitrate and the MVK nitrate both have the -ONO<sub>2</sub> group at the 377  $\beta$  position of the acidic H, so their CIMS sensitivities are comparable. For the MVK nitrate, the 378 electron-withdrawing ketone group can further enhance its gas-phase acidity and its affinity to 379 bind with I. Hence, the CIMS sensitivity for the MVK nitrate is greater than for the ethanal 380 nitrate. For the 4,1-carbonyl nitrate, its low CIMS sensitivity can be caused by the trans-o 381 configuration of the -ONO<sub>2</sub> group and the -CHO group. Our previous studies on isoprene-382 derived hydroxynitrates suggested that the CIMS sensitivity for the  $\beta$  isomer is 8 times greater 383 than for the *trans*- $\delta$  isomer (Xiong et al., 2015). Lee et al. (2014a) also reported the  $\beta$  isomer 384 sensitivity being over 16 times greater than the trans- $\delta$  isomer sensitivity, using iodide as the 385 reagent ion. Hence, our calibration results, with the sensitivity for the ethanal nitrate 15 times 386 greater than the sensitivity for the 4,1-carbonyl nitrate, is consistent with previous work.

387 With the CIMS sensitivities determined, the yield of the MVK nitrate and the ethanal nitrate 388 from the OH-initiated oxidation of 4,1-carbonyl nitrate was obtained by comparing the formation 389 of the products relative to the loss of the reactant (Fig. 14). The ethanal nitrate was corrected for 390 loss to OH oxidation and photolysis, using the method described by Tuazon et al. (1984). The applied ethanal nitrate + OH rate constant was  $3.4 \times 10^{-12}$  cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup>, calculated using the 391 392 structure-reactivity relationship proposed by Kwok and Atkinson (1995). The photolysis 393 frequency of the isoprene carbonyl nitrate was applied to account for the photolytic loss of 394 ethanal nitrate inside the chamber, because the  $\beta$ -ketone group is known to enhance the 395 absorption cross section of the nitrate (Müller et al., 2014). For the MVK nitrate, no OH loss 396 correction was applied, because MVK nitrate is saturated and is not expected to undergo 397 significant loss to OH. However, its loss to wall uptake and photolysis loss was corrected, 398 following the same method as used for the ethanal nitrate. The MVK nitrate loss rates for wall 399 uptake and photolysis inside the chamber were set the same as those for the 4,1-carbonyl nitrate, 400 because MVK nitrate is also a ketone nitrate, which is prone to photolysis loss, and it has a 401 molecular weight close to that of the 4,1-carbonyl nitrate. The apparent yield is 24.5% for MVK





402 nitrate and 8.08% for ethanal nitrate. Considering the uncertainties in the sensitivities of MVK 403 nitrate and ethanal nitrate (Table S2), the MVK nitrate yield is  $24(\pm 3)$ %, and the ethanal nitrate 404 yield is  $8(\pm 2)$ %. The fractional inlet sampling loss for the three nitrates was determined by 405 comparing the CIMS signals of sampling through the 5.2 m long 50°C tubing and through a 20 406 cm room temperature tubing. By correcting for the inlet sampling loss, the MVK nitrate yield is 407  $24(\pm 5)\%$ , and the ethanal nitrate yield is  $8(\pm 3)\%$ . For the two OH oxidation experiments, the first-order loss rate of the 4,1-carbonyl nitrate was  $3 \times 10^{-4} \text{ s}^{-1}$  (Fig. S8). Since the total wall 408 uptake and photolysis loss rate for 4,1-isoprene carbonyl nitrate was  $4.3 \times 10^{-5} \text{ s}^{-1}$ . 409 410 approximately 85% of the 4,1-carbonyl nitrate was lost to OH oxidation. After correcting for this 411 factor, the MVK nitrate yield is  $28(\pm 5)\%$ , and the ethanal nitrate yield is  $9(\pm 3)\%$ .

#### 412 **4.3.2 Photolysis**

413 Previous work on acetaldehyde suggests that at 313 nm the dominant photolysis reaction is 414 dissociation of the C-CHO bond, forming a formyl radical (•CHO) (Blacet and Loeffler, 1942). 415 At shorter wavelength (265 nm), the reaction can proceed by intramolecular rearrangement 416 forming CH<sub>4</sub> and CO (Blacet and Loeffler, 1942). For compounds with longer carbon chain 417 length, such as propyl- and butyl- aldehydes, the photo-dissociation reaction can produce alkenes 418 and smaller aldehydes at 238 nm and 187 nm (Blacet and Crane, 1954). Since the UV radiation 419 that reaches the earth's surface is mostly above 300 nm, the formyl radical pathway is expected 420 to be the most important photolysis reaction for alkyl aldehydes (Shepson and Heicklen, 1982). 421 For the isoprene carbonyl nitrate, the C–CHO bond is strengthened by the delocalized electrons 422 from the vinyl and the carbonyl groups, leading to a bond dissociation energy of 413 kJ/mol, as 423 measured for acrolein, which is larger than the C-CHO bond dissociation energy of acetaldehyde 424 (355 kJ/mol) (Wiberg et al., 1992). In comparison, the O-NO<sub>2</sub> bond dissociation energy is 175 425 kJ/mol (Luo, 2007b), much lower than the dissociation energy of the C-CHO bond. Hence, 426 dissociation of the weak  $O-NO_2$  bond may be an important reaction pathway for the carbonyl 427 nitrate. This process likely involves the absorption of a photon by the C=C-C=O chromophore, 428 followed by intramolecular energy redistribution to deposit energy into the O-NO<sub>2</sub> bond prior to dissociation. This reaction step would generate NO2 and an alkoxy radical, which upon reaction 429 430 with  $O_2$  forms a conjugated dialdehyde.





431 Fig. 15 shows the CIMS spectra before and after the photolysis of the isoprene carbonyl nitrate. 432 Cyclohexane was used as the OH scavenger for this experiment. The CIMS signal for the dialdehyde, which is the O-NO<sub>2</sub> bond dissociation product (reaction mechanism shown in Fig. 433 434 16), did not increase significantly. This may be because the CIMS was not sensitive to the 435 dialdehyde, and/or the dialdehyde underwent rapid secondary reactions, rendering its steady-state concentration below the CIMS detection limit. Alternatively, it is possible that the alkoxy radical 436 437 derived from O–NO<sub>2</sub> bond dissociation undergoes a 1,5-H shift reaction (Fig. 16), rendering the 438 formation of the dialdehyde an insignificant pathway. The resulting alkyl radical can 439 immediately form a peroxy radical, which may follow the H shift mechanism proposed by 440 Peeters et al. (2009) and form a hydroperoxy aldehyde (HPALD) compound, as observed at m/z 441 257 by the CIMS (Fig. 15). When the peroxy radical reacts with NO or RO<sub>2</sub>, the resulting alkoxy 442 radical will form a hydroxy dialdehyde (Fig. 16) with m/z ratio at 241, which was also observed 443 by the CIMS (Fig. 14). It is worth noting that we also observed CIMS signals for the 444 deprotonated ions derived from the HPALD compound (m/z 129 and m/z 147) and the hydroxy 445 dialdehyde (m/z 113 and m/z 131). The proton transfer reaction between the iodide ion and alcohols/peroxides have not been observed previously, but it is possible that the conjugated 446 447 structures help stabilize the charge and hence make the proton transfer reaction a viable reaction 448 channel.

449 The product at m/z 276 has the molecular weight of MVK nitrate. In the presence of OH 450 scavenger, however, the reaction is unlikely to proceed by the OH-initiated oxidation pathway to 451 form MVK nitrate. Instead, we hypothesize that the isoprene carbonyl nitrate could dissociate via 452 the C-CHO bond, which, following reaction with  $O_2$  and  $HO_2$ , would form a vinyl 453 hydroperoxide with the same molecular weight as MVK nitrate. Vinyl hydroperoxides are known to be a reactive intermediate from the intramolecular H shift of Criegee biradical, which 454 455 can decompose into OH and alkoxy radicals (Kroll et al., 2002). However, the un-energized 456 vinyl hydroperoxides should have a lifetime long enough to be detected by mass spectrometers 457 (Liu et al., 2015). In fact, theoretical calculations suggest that at 25 °C vinyl hydroperoxide has a lifetime of 58 hours (Richardson, 1995). Therefore, the product at m/z 276 is likely the vinyl 458 459 hydroperoxide. For the OH oxidation product experiments, however, we attributed m/z 276 to MVK nitrate only, because  $RO_2 + NO$  reaction (forming MVK nitrate) should dominate over 460  $RO_2 + HO_2$  reaction (forming vinyl hydroperoxide), in the presence of high NO concentration. 461





Based on the CIMS spectra of the photolysis products, we conclude that the photolysis of the isoprene carbonyl nitrate leads to the dissociation of both the O–NO<sub>2</sub> and the C–CHO bonds. A reaction scheme is proposed in Fig. 16. Future studies are needed to evaluate the relative importance of these two processes.

## 466 **5** Conclusions and future work

467 An isoprene-derived carbonyl nitrate model compound was synthesized to study its 468 photochemical degradation chemistry in the atmosphere. The UV absorption spectrum of this compound has contributions from both the C=C-C=O and the -ONO2 chromophores, as is 469 470 confirmed by theoretical calculations, but absorption in the actinic region involves a transition 471 involving the carbonyl group. The combination of the C=C-C=O and the  $-ONO_2$  chromophores 472 enhances the UV cross section of this molecule relative to alkyl nitrates, making photolysis its 473 dominant daytime sink. The photochemical lifetime of the carbonyl nitrate can be less than one 474 hour, due to its rapid photolysis loss, together with high reactivity toward OH and  $O_3$ . The OH 475 and  $O_3$  oxidation rate constants for the 4,1-isoprene carbonyl nitrate obtained in this study were 476 both smaller than the reported rate constants for the  $\delta$ -isoprene hydroxy nitrates (Jacobs et al., 477 2014; Lee et al., 2014b). This could be because the oxidation by either OH or  $O_3$  would break the 478 resonance structure of the C=C-C=O moiety, thus increasing the activation energy.

479 Using the iodide-based CIMS, we identified the first-generation nitrate products from the OH-480 initiated oxidation of the synthesized carbonyl nitrate, including mononitrate, dinitrate and 481 nitrooxy peroxyacyl nitrate. Two of the products, the MVK nitrate and the ethanal nitrate, were 482 quantified, which contributed to  $37(\pm 5)\%$  of the total products. The CIMS spectra of the nitrate 483 photolysis products suggest that both the C-CHO bond and the O-NO<sub>2</sub> bond dissociate in the 484 reaction. Since photolysis is a significant sink for the carbonyl nitrate, it is important for future 485 studies to investigate the relative importance of the two reaction pathways, in order to fully understand the fate of  $NO_x$  in isoprene-rich atmospheres. Dissociation of the O– $NO_2$  bond may 486 487 afford highly oxidized alcohol and hydroperoxide, which can potentially undergo uptake into the 488 particle phase and facilitate the formation of secondary organic aerosols. The C-CHO 489 dissociation pathway may form a vinyl hydroperoxide product.





The NO<sub>3</sub>-initiated isoprene oxidation can produce a series of carbonyl nitrates. The 1,4-carbonyl nitrate, which is the dominant isomer, is expected to have similar photolysis reactivity as the 4,1carbonyl nitrate studied in this work, because they both have the O=C-C=C-C chromophore and the  $-ONO_2$  chromophore, which would enhance the molecular absorption cross section. The influence of the unsaturated ketone functionality on nitrate photolysis is still unclear, and future studies are needed to understand how the different conjugated structures can affect the photochemical processes.

497 The experiments in this work were conducted in the presence of relatively high NO 498 concentration. In the ambient environment, organic nitrates produced in the high NO<sub>x</sub> regime can 499 undergo photochemical degradation in the low NO regime, due to the wide span of ambient  $NO_x$ 500 concentrations (Su et al., 2015; Xiong et al., 2015). Crounse et al. (2012) proposed that under 501 low NO conditions, the oxidation of methacrolein (MACR) can regenerate OH radicals and form 502 a lactone that is prone to reactive uptake onto the aerosol phase. Since the 4,1-carbonyl nitrate 503 has a structure similar to that of MACR, it might also undergo similar reaction in the clean 504 environment. Further experimental work is needed to investigate how the photochemical 505 oxidation process of the carbonyl nitrate can influence the formation of OH radicals and growth 506 of secondary organic aerosols.

## 507 Acknowledgement

This research was supported in part through computational resources provided by Information
Technology at Purdue University. We thank the National Science Foundation for supporting
CHB and LVS (grant CHE-1465154), and FX and PBS (grant AGS-1228496).

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