

Photochemical Degradation of Isoprene-derived 4,1-Nitrooxy Enal

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Abstract

In isoprene-impacted environments, carbonyl nitrates are produced from NO₃-initiated isoprene oxidation, which constitutes a potentially important NO_x reservoir. To better understand the fate of isoprene carbonyl nitrates, we synthesized a model compound, *trans*-4-nitrooxy-2-methyl-2-buten-1-al (4,1-isoprene carbonyl nitrate, or 4,1-isoprene nitrooxy enal) and investigated its photochemical degradation process. The measured OH and O₃ oxidation rate constants (298 K) for this nitrooxy enal are $4.1(\pm 0.7) \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$ and $4.4(\pm 0.3) \times 10^{-18} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$, respectively. Its UV absorption spectrum was determined, and the result is consistent with TDDFT calculations. Based on its UV absorption cross section and photolysis frequency in a reaction chamber, we estimate that the ambient photolysis frequency for this compound is $3.1(\pm 0.8) \times 10^{-4} \text{ s}^{-1}$ for a solar zenith angle (SZA) of 45°. The fast photolysis rate and high reactivity toward OH lead to a lifetime of less than one hour for the isoprene nitrooxy enal, with photolysis being a dominant daytime sink. The nitrate products derived from the OH oxidation and the photolysis of the nitrooxy enal were identified with an iodide-based chemical ionization mass spectrometer (CIMS). For the OH oxidation reaction, we quantified the yields of two nitrate products, methyl vinyl ketone (MVK) nitrate and ethanal nitrate, which together contributed to 36(±5)% of the first-generation products.

1 Introduction

Over the past century, tropospheric background ozone concentrations have increased from around 20 ppb to ~40 ppb, with urban-impacted concentrations often rising to 60-100 ppb (Parrish et al.,

28 2014; Vingarzan, 2004), posing harmful effects on human health and crop yields (Lefohn and
29 Foley, 1993; Lippmann, 1989). Tropospheric ozone is catalytically produced in the chemical
30 reactions of nitrogen oxides ($\text{NO}_x \equiv \text{NO} + \text{NO}_2$) and volatile organic compounds (VOCs) (Haagen-
31 Smit, 1952). NO_2 photolysis forms ozone (Blacet, 1952), and the ozone production rate is
32 enhanced when the $\text{NO-NO}_2\text{-O}_3$ cycle is coupled with the oxidation of VOCs (Chameides et al.,
33 1988; Chameides and Walker, 1973; Chameides et al., 1992). When NO_x is incorporated into
34 organic molecules and forms organic nitrates (RONO_2), however, ozone formation is suppressed
35 (Roberts, 1990). Organic nitrates are a temporary NO_x reservoir. Degradation of organic nitrates
36 can release NO_2 back into the atmosphere (Aschmann et al., 2011), and thus facilitate ozone
37 production. Organic nitrates in the gas phase can also adsorb onto atmospheric aerosols, followed
38 by condensed-phase hydrolysis (Rindelaub et al., 2015). This process removes the reactive
39 nitrogen from the atmosphere permanently, as the nitrooxy group is converted into the non-volatile
40 NO_3^- ion (Darer et al., 2011; Hu et al., 2011). The relative importance of these parallel nitrate sinks
41 affects the availability of NO_x and the ozone production rate in the troposphere. Therefore, detailed
42 understanding of the loss mechanisms of organic nitrates is crucial to understanding the dynamics
43 of ground-level ozone formation.

44 Modeling studies suggest that isoprene-derived organic nitrates have substantial influence on the
45 NO_x cycle and tropospheric O_3 production (Horowitz et al., 2007; Mao et al., 2013; Paulot et al.,
46 2012; Wu et al., 2007). During the daytime, isoprene is lost rapidly to OH oxidation, forming
47 organic nitrates through the $\text{RO}_2 + \text{NO}$ reaction, with a yield of 7-14% (Lockwood et al., 2010;
48 Patchen et al., 2007; Paulot et al., 2009; Sprengnether et al., 2002; Tuazon and Atkinson, 1990;
49 Xiong et al., 2015). At night, reaction with NO_3 is a significant removal pathway for isoprene
50 (Brown et al., 2009; Starn et al., 1998), and organic nitrates constitute 65-70% of the oxidation
51 products (Perring et al., 2009; Rollins et al., 2009). While NO_3 -initiated isoprene oxidation
52 contributes to a small fraction of isoprene loss, this reaction pathway could generate approximately
53 half of the isoprene-derived organic nitrates on a regional scale, due to its large nitrate yield
54 (Horowitz et al., 2007; Xie et al., 2013).

55 Fig.1 shows the formation pathways of organic nitrate products from NO_3 -initiated oxidation of
56 isoprene, including hydroperoxy nitrate, carbonyl nitrate and hydroxy nitrate. Reactions for only
57 one of the nitrooxy peroxy radicals are shown for brevity. The hydroxy nitrates can be also formed

58 in the OH-initiated isoprene oxidation reactions, and their production and degradation have been
59 studied extensively in both laboratory and field studies (Chen et al., 1998; Giacomelli et al., 2005;
60 Grossenbacher et al., 2004; Jacobs et al., 2014; Lee et al., 2014b; Lockwood et al., 2010; Patchen
61 et al., 2007; Paulot et al., 2009; Sprengnether et al., 2002; Tuazon and Atkinson, 1990; Xiong et
62 al., 2015). For the hydroperoxy nitrates, Schwantes et al. (2015) investigated their production from
63 the $\text{RO}_2 + \text{HO}_2$ reaction and identified the nitrooxy hydroxyepoxide product from the OH oxidation
64 of the isoprene hydroperoxy nitrate. For the isoprene carbonyl nitrates, their formation has been
65 quantified in an experimental study (Kwan et al., 2012), but their sinks and fate can only be inferred
66 from analog molecules, such as nitrooxy ketones, due to lack of direct studies on these specific
67 compounds. Suarez-Bertoa et al. (2012) conducted kinetics experiments on three synthesized
68 saturated nitrooxy ketones, and their results indicate that photolysis is the dominant sink for these
69 nitrate compounds. By comparing the published UV absorption spectra of α -nitrooxy ketones with
70 the UV spectra of the mono-functional nitrates and ketones, Müller et al. (2014) suggested that the
71 nitrooxy ketones have enhanced absorption cross sections, due to the interaction between the –
72 C=O and the –ONO₂ chromophores. In addition, near-unit photolysis quantum yields for α -
73 nitrooxy acetone and 3-nitrooxy-2-butanone were inferred by Müller et al. (2014), based on the
74 photolysis frequencies determined by Suarez-Bertoa et al. (2012) and known absorption cross
75 sections (Barnes et al.). The enhanced absorption cross sections and quantum yields of carbonyl
76 nitrates resulting from chromophore interactions lead to fast photolysis rates that are more
77 consistent with the loss rates constrained by the measured temporal profiles of carbonyl nitrates in
78 an isoprene oxidation experiment performed by Paulot et al. (2009) (Müller et al., 2014). . Like
79 the carbonyl nitrates discussed by Suarez-Bertoa et al. (2012) and Müller et al. (2014), some of
80 the carbonyl nitrate isomers derived from $\text{NO}_3 +$ isoprene oxidation have a conjugated
81 chromophore, $-\text{C}=\text{C}-\text{C}=\text{O}$ (enal), at the β position of the nitrate group, which may enhance the
82 UV absorption cross section of the molecule and facilitate its photolytic dissociation. However,
83 since the five-carbon isoprene carbonyl nitrate (nitrooxy enal) (Fig. 1) is unsaturated, it is also
84 expected to be lost rapidly to OH oxidation. To date, the relative importance of the individual
85 photochemical sinks for the unsaturated carbonyl nitrates is still unclear. To answer this question,
86 we synthesized a model compound for the five-carbon isoprene carbonyl nitrates, and investigated
87 its photochemical reactivities and fate.

88 2 Synthesis and characterization

89 A model compound, *trans*-2-methyl-4-nitrooxy-2-buten-1-al (4,1-isoprene nitrooxy enal) was
90 synthesized following the reaction scheme in Fig. 2. The nitrate was prepared by reacting AgNO₃
91 with the corresponding bromide (*trans*-4-bromo-2-methyl-2-buten-1-al) (Ferris et al., 1953),
92 which was synthesized following Gray (1981). The ¹H and ¹³C NMR spectra of the synthesized
93 product are shown in Fig. S1 and Fig. S2. Its IR absorption spectrum is shown in Fig. S3.

94 Shown in Fig. 3 are the UV absorption cross sections for the nitrooxy enal, methacrolein (MACR)
95 and isopropyl nitrate. Each spectrum was obtained using a solution that contained one single pure
96 analyte in acetonitrile solvent. Only solution-phase spectra were determined, because gas-phase
97 cells may have potential wall loss problems and thus the quantitative gas-phase cross sections are
98 difficult to measure. We compared isopropyl nitrate and MACR with isoprene nitrooxy enal,
99 because MACR has the enal structure, and isopropyl nitrate has the nitrooxy group, and the
100 combination of these two compounds helps to illustrate the absorption features of the nitrooxy enal
101 studied in this work. The absorption cross section of the carbonyl nitrate is enhanced relative to
102 that of MACR, but the two spectra have similar features from 320 nm to 400 nm with peak
103 absorption at 325 nm. This is probably because they both contain the O=C–C=C chromophore.
104 Below 320 nm the absorption of the nitrooxy enal is enhanced significantly in comparison with
105 that of isopropyl nitrate. This observation is consistent with reports from Müller et al. (2014) that
106 molecules containing α,β -nitrooxy ketone functionalities have enhanced UV absorption.

107 3 Methods

108 3.1 Setup for the kinetics chamber experiments

109 Three sets of reaction chamber experiments were conducted to determine the photolysis frequency,
110 OH oxidation rate constant and the O₃ oxidation rate constant for the isoprene nitrooxy enal. Since
111 this work is focused on the photochemistry of the nitrooxy enal, which describes the loss-dominant
112 processes after sunrise, we did not include experiments concerning the NO₃-initiated oxidation
113 processes for this compound. The experiments were performed in the 5500 L Purdue
114 photochemical reaction chamber (Chen et al., 1998). A chemical ionization mass spectrometer
115 (CIMS) with I⁻ as the reagent ion (Xiong et al., 2015) was used to quantify the nitrooxy enal

116 (observed at nominal mass m/z 272) and its nitrate degradation products. The CIMS has unit mass
117 resolution. Since pure isoprene nitrooxy enal was introduced into the reaction as the precursor, we
118 do not expect significant interference from the isotope signal of m/z 271. The chamber air was
119 sampled into the CIMS through 5.2 m long FEP tubing (0.8 cm ID, heated to constant 50 °C). The
120 residence time for the sampling tubing was approximately 5 s, and laminar flow was maintained.
121 To assess the influence of the heated inlet on the stability of the nitrooxy enal, we have sampled
122 the nitrooxy enal using the heated 50 °C inlet and using a 20 cm room temperature inlet, and there
123 was no significant difference in their corresponding CIMS signals. In addition, the *trans*-isoprene
124 nitrooxy enal was synthesized in an oil bath maintained at 70 °C, but the formation of the *cis*
125 isomer was not observed. Therefore, we do not consider that there is significant thermal
126 isomerization inside our sampling line. The photolysis frequency was obtained by measuring the
127 loss of the nitrooxy enal inside the reaction chamber in the presence of UV radiation and propene
128 as a radical scavenger. When the UV lamps were turned off, the wall loss rate constant for the
129 nitrooxy enal was derived by observing its slow decay, with propene as an ozone and NO₃
130 scavenger. The OH reaction rate constant and O₃ reaction rate constant were obtained using the
131 relative rate method (Atkinson and Aschmann, 1985). Propene was used as the reference
132 compound, and its changing concentrations were measured using a GC-FID equipped with a 0.32
133 mm Rtx-Q-Bond column. For the OH oxidation experiments, OH was generated through the
134 photolysis of isopropyl nitrite, which was synthesized following Noyes (1933). NO was added to
135 the chamber to suppress the formation of O₃. In addition, two OH oxidation experiments were
136 performed without propene in order to quantify the oxidation products. For the OH-initiated
137 oxidation experiments, NO and NO₂ were measured using the Total REactive Nitrogen Instrument
138 (TRENI) (Lockwood et al., 2010). The ozonolysis experiments were performed in the dark, and
139 cyclohexane was added to the chamber as an OH scavenger. The initial conditions for the
140 experiments are listed in Table S1.

141 **3.2 Computational methods**

142 The theoretical UV absorption spectra of the isoprene nitrooxy enal, MACR, isopropyl nitrate and
143 *n*-butyl nitrate in the gas phase were calculated separately and analyzed, in four stages, using time-
144 dependent density functional theory (TDDFT (Hohenberg and Kohn, 1964; Kohn and Sham, 1965;
145 Runge and Gross, 1984)). All calculations were carried out using the computational chemistry

146 package Q-Chem 4.3 (Shao et al., 2015). First, the structure of each molecule was optimized
147 employing the long-range corrected hybrid density functional ω B97X-D (Chai and Head-Gordon,
148 2008) with the 6-31+G* basis set (Frisch et al., 1984). A high accuracy grid was employed. Second,
149 frequency calculations were executed on the optimized structures to verify their accuracy. These
150 were run using the same setup described above. Third, after assuring the structures represented
151 adequate minima, the first ten singlet excited states of each molecule were computed with TDDFT,
152 using the same functional and basis set. Finally, a visual analysis of the molecular orbitals (MOs)
153 was carried out with the visualization software IQmol 2.7 (Gilbert, 2012).

154 **4 Results**

155 **4.1 Absorption spectra and density functional calculations**

156 Fig. 4 shows the TDDFT UV absorption spectra of the nitrooxy enal, MACR, isopropyl nitrate
157 and *n*-butyl nitrate. There are three groups of transitions in the simulated spectra. Unlike the
158 absorption bands depicted in Fig 3, the theoretical gas-phase spectra in Fig. 4 are showing only the
159 electronic transition lines. To accurately capture the broadening of these lines in TDDFT so as to
160 simulate absorption bands, we have to consider the effect of the chromophore's vibrational degrees
161 of freedom and/or to include a condensed phase environment that surrounds the chromophore.
162 However, explicit modeling of broadening either due to vibronic interactions or solvent effects is
163 computationally challenging and beyond the scope of this work.

164 Both MACR and the nitrooxy enal show a relatively weak transition in the region around 330 nm,
165 which corresponds to the first electronic transition, from the highest occupied molecular orbital
166 (HOMO) to the lowest unoccupied molecular orbital (LUMO), in both molecules. Fig. 5a provides
167 comparative information between the first electronic transition of the nitrooxy enal and the
168 homologous excitation of MACR. As shown in Fig. 5a the character of the molecular orbitals
169 involved in this transition is similar in both cases, indicating that the aldehyde group is involved
170 in the first electronic excitation of the nitrooxy enal.

171 Fig. 5b shows the information corresponding to the second electronic transition of the nitrooxy
172 enal and its homologous excitation in isopropyl nitrate and *n*-butyl nitrate. These three transitions
173 are found in the region around 255 nm. The second electronic transition of the nitrooxy enal is 3

174 orders of magnitude weaker than its first excitation, located at 330 nm. Inspection of the character
175 of the MOs involved in these processes reveals a correspondence between the second electronic
176 excitation of the nitrooxy enal, HOMO-2 \rightarrow LUMO+1, and the HOMO \rightarrow LUMO transitions in
177 both isopropyl nitrate and *n*-butyl nitrate. As with the previous case, that observation confirms that
178 the nitrate group is involved in the second electronic excitation of the nitrooxy enal. Fig. 5b also
179 shows that in this case, the local character of the MOs involved in the transition is even more
180 pronounced, with bulky lobes placed mainly over the nitrate group.

181 Even though the second electronic transition of carbonyl nitrate is not displayed in the
182 experimental spectra of Fig. 3, because its range covers from 280 nm to 410 nm, it is reasonable
183 to assume that it is caused by the local excitation of the nitrate group, based on the computational
184 results. Thus, it can be suggested that the experimental UV absorption spectrum of isopropyl
185 nitrate is comparable to those of isopropyl nitrate and *n*-butyl nitrate simulated computationally.
186 Thus it is possible that the feature in the region around 280 nm of the nitrooxy enal experimental
187 spectrum in Fig. 3 could be caused by a broadening of the transition located around 255 nm.

188 Another plausible explanation of the feature around 280 nm for the nitrooxy enal would be a
189 broadening of its brightest transition in the modeled spectrum. It is located around 210 nm, and it
190 is 3 orders of magnitude brighter than the one at 330 nm. In that region, there are two transitions
191 and each one has a homologous excitation: the HOMO-1 \rightarrow LUMO in nitrooxy enal is similar to
192 HOMO-1 \rightarrow LUMO in MACR, and the HOMO-5 \rightarrow LUMO+1 in nitrooxy enal is related to the
193 (mainly) HOMO-1 \rightarrow LUMO transitions of isopropyl nitrate and *n*-butyl nitrate. These transitions
194 are beyond the range of the experimental spectra on Fig. 3 and beyond the atmospherically relevant
195 absorption wavelengths. The theoretical calculations suggest that the nitrooxy group has electronic
196 transitions at 210 nm and 255 nm, but both wavelengths are outside the solar radiation spectrum
197 near ground. Therefore, we speculate that the isoprene nitrooxy enal absorbs photons primarily
198 through the first electronic transition concerning the enal chromophore, instead of the nitrooxy
199 functionality, and the dissociation of the O-NO₂ bond (Sect. 4.3.2) likely results from
200 intramolecular energy redistribution.

201 4.2 Photochemical sinks of the 4,1-isoprene nitrooxy enal

202 Fig. 6 shows the first-order wall loss and photolysis loss of the nitrooxy enal inside the reaction
203 chamber. The wall loss rate constant was $1.3(\pm 0.2) \times 10^{-5} \text{ s}^{-1}$ (95% confidence interval), and the
204 photolysis rate constant was $3.0(\pm 0.2) \times 10^{-5} \text{ s}^{-1}$ (95%), after subtracting the wall loss rate constant
205 from the first-order decay rate constant measured for the photolysis experiments. The radiation
206 intensity inside the chamber is approximately 10% of solar radiation. Therefore, our photolysis
207 rate constant is small, making the wall loss rate constant significant, compared with the photolysis
208 frequency. It is worth mentioning that our reactant nitrooxy enal has a *trans* configuration, and it
209 may photo-isomerize into the *cis* configuration, which would be detected at the same *m/z* by the
210 CIMS. The *cis*-nitrooxy enal can either photo-dissociate or isomerize to re-form the *trans* isomer.
211 Our previous work suggests that the CIMS is 4 times more sensitive to the *cis* configuration than
212 the *trans* configuration (Xiong et al., 2015). If the lifetime for the *trans* \rightarrow *cis* reaction is
213 comparable to the duration of the experiments (approximately 3 hours), we would expect the CIMS
214 signal to resemble a double exponential curve, because the *cis* isomer was being produced and
215 consumed simultaneously. This double exponential curve is not observed for the photolysis data
216 (Fig. 6). If a *cis-trans* equilibrium is established instantaneously, the CIMS signal would still be a
217 single exponential curve, which represent the loss of both isomers. However, given the similar
218 nitrooxy enal structures for the *cis* and *trans* isomers, we do not expect their photolysis frequencies
219 to differ significantly, so the total photolysis rate constant obtained from the CIMS measurement
220 can be used as the photolysis frequency for the individual *cis* or *trans* isomer. Therefore, regardless
221 of the *trans* \rightarrow *cis* isomerization rate, our measured photolysis frequency should well characterize
222 the loss rate of the precursor *trans*-nitrooxy enal inside the reaction chamber.

223 Since the UV radiation inside the reaction chamber is different from the UV radiation in the
224 ambient environment (Fig. 7), Cl_2 was used as a reference compound to translate the nitrate
225 photolysis rate from chamber radiation to solar radiation. The photolysis decay of Cl_2 in the
226 reaction chamber was measured with the CIMS (Neuman et al., 2010). Cyclohexane was added to
227 the chamber to scavenge the Cl atoms so that Cl_2 was not re-formed from $\text{Cl} + \text{Cl}$ recombination.
228 The first-order photolysis rate constant for Cl_2 was $2.50(\pm 0.08) \times 10^{-4} \text{ s}^{-1}$ (Fig. S4).

229 The photolysis frequency (*J*) is the integrated product of quantum yield (Φ), absorption cross
230 section (σ , cm^2) and actinic flux (*F*, $\text{cm}^{-2} \text{ s}^{-1}$) across all wavelengths (Eq. 1). Therefore, the

231 photolysis frequencies for the nitrooxy enal and Cl₂ in the reaction chamber can be compared as
232 in Eq. 2.

$$233 \quad J = \int \Phi_{\lambda} \sigma_{\lambda} F_{\lambda} d\lambda \quad (\text{Eq. 1})$$

$$234 \quad \frac{J_{\text{Cl}_2}^{\text{chamber}}}{J_{\text{nitrate}}^{\text{chamber}}} = \frac{\sum \varphi_{\text{Cl}_2} \sigma_{\text{Cl}_2} F_{\text{chamber}}}{\sum \varphi_{\text{nitrate}} \sigma_{\text{nitrate}} F_{\text{chamber}}} \quad (\text{Eq. 2})$$

235 $J_{\text{Cl}_2}^{\text{chamber}}$ and $J_{\text{nitrate}}^{\text{chamber}}$ are the photolysis frequencies of Cl₂ and the nitrooxy enal inside the
236 chamber. σ_{Cl_2} and σ_{nitrate} are the cross sections for Cl₂ and the nitrooxy enal at each wavelength.
237 σ_{nitrate} was determined by this work (Fig. 3). σ_{Cl_2} has been measured previously and the IUPAC
238 recommended values were used (Atkinson et al., 2007). F_{chamber} is the wavelength-dependent flux
239 of photons inside the chamber. The radiation spectrum (Fig. 7) of the chamber UV lamps (UVA
240 340) was obtained from the manufacturer (Q-lab), but the actual absolute radiation intensity in the
241 chamber is expected to differ from the manufacturer's radiation spectrum by a scaling factor,
242 because of the inverse-square dependence on distance, and our specific multi-lamp geometry.
243 When Cl₂ was used as a reference compound for the nitrate photolysis rate, the scaling factors in
244 Eq. 2 will cancel.

245 The Cl-Cl bond dissociation energy is 243 kJ/mol (Luo, 2007b), equivalent to a photon at 492 nm.
246 Since Cl₂ has only one bond, it has unity quantum yield below 492 nm and zero quantum yield
247 above 492 nm. The emission spectrum of the UV lamps for the reaction chamber is centered from
248 300 nm to 400 nm (Fig. 7). Hence, $\varphi_{\text{Cl}_2} = 1$ in Eq. 2, at all wavelengths. For the nitrooxy enal,
249 however, its quantum yield is affected by the bond dissociation energy, intramolecular vibrational
250 energy redistribution and relaxation of the excited molecule from collisions, so an average
251 effective quantum yield ($\varphi_{\text{nitrate}}^{\text{eff}}$) is assumed, and Eq. 2 becomes Eq. 3. Since the photolysis rates,
252 absorption cross sections and chamber radiation spectrum are known, we calculate that $\varphi_{\text{nitrate}}^{\text{eff}}$
253 was 0.48.

$$254 \quad \frac{J_{\text{Cl}_2}^{\text{chamber}}}{J_{\text{nitrate}}^{\text{chamber}}} = \frac{\sum \sigma_{\text{Cl}_2} F_{\text{chamber}}}{\varphi_{\text{nitrate}}^{\text{eff}} \sum \sigma_{\text{nitrate}} F_{\text{chamber}}} \quad (\text{Eq. 3})$$

255 The effective quantum yield of 0.48 indicates that when the nitrooxy enal absorbs a photon inside
256 the reaction chamber, the probability (averaged across the absorption wavelengths) for it to
257 dissociate is 48%. However, the probability for nitrate photolysis is not equal at all wavelengths,
258 the low energy photons (long wavelength) being less likely to induce photo-dissociation. Hence,
259 we introduce a threshold wavelength λ_0 , for which the nitrooxy enal has unity quantum yield below
260 λ_0 and zero quantum yield above λ_0 . Although this approach accounts for the energy difference of
261 photons with different wavelengths, it is still a very rough estimation. Using the threshold
262 wavelength, the effective quantum yield can be expressed by Eq. 4 and Eq. 5, where $\varphi(\lambda)$ is the
263 quantum yield of the nitrooxy enal, and $F(\lambda)$ is the chamber photon flux (Fig. 7), as a function of
264 the wavelength λ . Solving for the unknown λ_0 in Eq. 5, we calculate that λ_0 should be 347 nm.

$$265 \quad \varphi(\lambda) = \begin{cases} 1 & (\lambda \leq \lambda_0) \\ 0 & (\lambda > \lambda_0) \end{cases} \quad (\text{Eq. 4})$$

$$266 \quad \frac{\sum_{\lambda} F(\lambda) \cdot \varphi(\lambda)}{\sum_{\lambda} F(\lambda)} = 0.48 \quad (\text{Eq. 5})$$

267 The solar radiation spectrum was calculated with the TUV model (Madronich and Flocke, 1998).
268 By assuming that the nitrooxy enal has zero quantum yield above 347 nm and unity quantum yield
269 below 347 nm, its photolysis frequency is $2.6 \times 10^{-4} \text{ s}^{-1}$ for a solar zenith angle (SZA) of 45° , and
270 $3.7 \times 10^{-4} \text{ s}^{-1}$ for SZA of 0° . It is worth mentioning that the condensed-phase and gas-phase
271 absorption spectra should be different, because the solvent molecules affect the polarization and
272 dipole moment of the solute (Bayliss and McRae, 1954; Braun et al., 1991; Linder and Abdulnur,
273 1971). Although we were unable to measure the gas-phase cross section of the nitrooxy enal, we
274 could assess the uncertainty caused by using the condensed-phase spectrum in our calculation, by
275 comparing the gas-phase and condensed-phase spectra of MACR and isopropyl nitrate (Fig. S5a).
276 On average, the gas-phase absorption cross sections of MACR and isopropyl nitrate are 1.7 times
277 those in the solution phase (Fig. S5b), calculated as the ratio of the gas-phase cross sections divided
278 by the condensed-phase cross sections at each wavelength. For the nitrooxy enal, if the gas-phase
279 cross section is assumed to be 1.7 times that of the solution-phase cross section, the calculated
280 effective quantum yield becomes 0.28, leading to a threshold wavelength (λ_0) of 336 nm. Using
281 this set of cross section and quantum yields, we calculated that the nitrate photolysis frequency
282 was $3.1 \times 10^{-4} \text{ s}^{-1}$ for SZA of 45° , and $4.6 \times 10^{-4} \text{ s}^{-1}$ for SZA of 0° , which are 19% and 24% larger

283 than results obtained using the condensed-phase cross section. The calculated ambient photolysis
284 frequency is not affected as significantly by the change in the absorption cross section, because it
285 is constrained by the measured photolysis frequency in the reaction chamber. When a larger cross
286 section is applied, a smaller quantum yield is derived, and the calculated ambient photolysis
287 frequency, being the integrated product of the cross section, quantum yield and radiation, will not
288 increase as much as the cross section. In addition to the cross section, our treatment of the
289 wavelength-dependent quantum yield can also introduce uncertainty to the calculated results. If a
290 constant effective quantum yield is used in the calculation, the ambient photolysis frequency is
291 $2.0 \times 10^{-4} \text{ s}^{-1}$ for SZA of 45° , and $2.8 \times 10^{-4} \text{ s}^{-1}$ for SZA of 0° , which are 23% and 24% lower than
292 assuming a threshold wavelength. Therefore, our calculated ambient photolysis frequency, based
293 on condensed-phase absorption cross section and a threshold energy for unity quantum yield, has
294 an uncertainty of 25%. Since we believe that the cross sections are indeed larger in the gas phase,
295 our best estimate is $3.1(\pm 0.8) \times 10^{-4} \text{ s}^{-1}$ for SZA= 45° .

296 Fig. 8 shows the results for the relative rate experiments for the OH-initiated and O₃-initiated
297 oxidation of the nitrooxy enal, with propene as the reference compound. The loss of the nitrooxy
298 enal to wall uptake and photolysis is corrected when comparing the oxidative loss of the nitrate to
299 that of propene, using the same method as Hallquist et al. (1997). The OH and O₃ oxidation rate
300 constants for propene are $3.0(\pm 0.5) \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$ (Klein et al., 1984; Zellner and
301 Lorenz, 1984) and $1.00(\pm 0.06) \times 10^{-17} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$ (Herron and Huie, 1974; Treacy et al.,
302 1992). These are the IUPAC preferred rate constants for T=298K (<http://iupac.pole-ether.fr/>).
303 Hence, the OH and O₃ oxidation rate constants for the isoprene nitrooxy enal are, based on the
304 results from the relative rate experiments, $4.1(\pm 0.7) \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$ and $4.4(\pm 0.3) \times 10^{-18}$
305 $\text{cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$ respectively, at 295 K.

306 The OH oxidation rate constant for the nitrooxy enal can be estimated through the structure-
307 activity-relationship (SAR) approach proposed by Kwok and Atkinson (1995). The rate constant
308 for OH addition to the double bond can be calculated as $k(-\text{CH}=\text{CH})$, which is $8.69 \times 10^{-11} \text{ cm}^3$
309 $\text{molecules}^{-1} \text{ s}^{-1}$, multiplied by the two correction factors C(-CHO) and C(-CH₂ONO₂), which are
310 0.34 and 0.47 respectively. The resulting OH addition rate constant is $1.39 \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1}$
311 s^{-1} . The rate constant for H abstraction from the -CHO group is $1.61 \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$,
312 after multiplying by a correction factor of 1 for having a double bond at its α position. The rate

313 constant for H abstraction from the methylene group is $3.7 \times 10^{-14} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$, calculated
314 by multiplying the base rate constant for methylene groups, which is $9.34 \times 10^{-13} \text{ cm}^3 \text{ molecules}^{-1}$
315 s^{-1} , by the correction factors of the nitrate group and the double bond, which are 0.04 and 1,
316 respectively. OH addition to the nitrate group has a rate constant of $4.4 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$,
317 after taking account of the enhancement factor of 1.23 for the methylene group. H abstraction from
318 the methyl group has a rate constant of $1.36 \times 10^{-13} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$. By summing up the rate
319 constants for all these reaction pathways, the SAR-derived OH oxidation rate constant for the
320 isoprene nitrooxy enal is $3.1 \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$, approximately 30% lower than the
321 experimental measurement. The dominant reaction channels are OH addition to the double bond
322 and H abstraction from the aldehyde group. Contributions from the other reaction pathways are
323 small (<3%).

324 The relative importance of the three photochemical sinks, photolysis, OH oxidation and O₃
325 oxidation, depends on the solar radiation and the concentrations of OH and O₃. To better illustrate
326 their relative contributions, observations of OH and O₃ from previous field campaigns were used
327 to calculate the loss rates of the nitrooxy enal. The local solar radiation was calculated with the
328 TUV model (Madronich and Flocke, 1998), which was then used to derive the photolysis
329 frequency. The calculated results (Fig. 9) suggest that photolysis is a significant degradation
330 pathway for the nitrooxy enal, which can dominate over OH oxidation toward mid-day. When the
331 solar radiation intensity is small (such as 6:00 AM for the 1999 SOS campaign), OH oxidation is
332 likely the dominant sink. Due to the fast photolysis and high reactivity toward OH, the
333 photochemical lifetime of the nitrooxy enal can be as short as less than one hour.

334 **4.3 Degradation products of the 4,1-isoprene nitrooxy enal**

335 **4.3.1 OH oxidation**

336 The products from the OH-initiated oxidation of the isoprene nitrooxy enal were observed by the
337 CIMS. The change in the CIMS signals before and after the reaction are illustrated in Fig. 10,
338 along with assignment of some of the molecular structures based on the molecular weight and
339 likely chemistry. The OH-initiated oxidation reaction can proceed through two channels: H
340 abstraction from the aldehyde group and OH addition to the double bond.

341 For the H abstraction pathway, a peroxyacyl nitrate (PAN) product was observed at m/z 349 (Fig.
342 10), which can be formed as shown in Fig. 11. The first-order dissociation rate constant for the
343 PAN compound was determined at room temperature (295 K) using the following method. A 100
344 L Teflon bag containing the air mixture of approximately 1 ppm isopropyl nitrite and 30 ppb
345 isoprene nitrooxy enal was irradiated, and the PAN compound was formed when the nitrooxy enal
346 reacted with OH and NO₂ (produced through the photolysis of isopropyl nitrite). After 5 min
347 reaction time, the bag was removed from the UV radiation, and NO was injected into the bag to
348 around 4 ppm in concentration. The bag was then sampled simultaneously by the CIMS, which
349 monitored the decrease in the signal of the PAN compound, and by the TRENI, which monitored
350 the concentrations of NO and NO₂. The PAN dissociation reaction is a reversible process, where
351 the dissociation products, peroxyacyl (PA) radical and NO₂, can re-combine to form PAN. With
352 the addition of the large amount of NO, PA radicals are predominantly consumed by the
353 irreversible PA + NO reaction, leading to the decay of the PAN compound. The apparent PAN
354 dissociation rate constant can be described by Eq. 6 (Shepson et al., 1992), where k is the first-
355 order loss rate constant measured by the CIMS (Fig. S6), k_{PAN} is the real PAN dissociation rate
356 constant, [NO] and [NO₂] are the concentrations for NO and NO₂, and k_{NO} and k_{NO_2} are the rate
357 constants for PA + NO and PA + NO₂ reactions. Since the rate constants k_{NO} and k_{NO_2} for the
358 nitrooxy enal-derived PA radical are unknown, the IUPAC recommended rate constants for the
359 peroxyacetyl radicals (CH₃C(O)O₂) are used, with $k_{\text{NO}} = 2.0 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and $k_{\text{NO}_2} =$
360 $8.9 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$. The PAN dissociation rate constant, after correcting for the
361 competing PA + NO and PA + NO₂ reactions using Eq. 6, is $5.7(\pm 0.8) \times 10^{-4} \text{ s}^{-1}$, based on three
362 experimental trials. In addition to dissociation, the PAN compound in the 100 L bag could also
363 undergo wall loss. This loss rate was estimated by multiplying the wall loss rate of the nitrooxy
364 enal in the 5500 L chamber by a factor of 16, which is the square diffusion distance of the chamber
365 relative to that of the 100 L bag, assuming the PAN compound and the isoprene carbonyl nitrate
366 have similar diffusion and adsorption coefficients. Considering the uncertainty in wall loss rate,
367 the PAN dissociation rate constant is $5.7(+0.8/-2.8) \times 10^{-4} \text{ s}^{-1}$. Previous studies of the dissociation
368 rate constants for peroxyacyl nitrates have reported results ranging from $1.6 \times 10^{-4} \text{ s}^{-1}$ to 6.0×10^{-4}
369 s^{-1} at 298 K (Bridier et al., 1991; Grosjean et al., 1994; Kabir et al., 2014; Roberts and Bertman,
370 1992). Our result is consistent with previous work.

371
$$k = k_{PAN} \left(1 - \frac{1}{1 + \frac{k_{NO}[NO]}{k_{NO_2}[NO_2]}} \right)$$
 (Eq. 6)

372 Since our OH oxidation experiments were conducted in the presence of high NO concentration, a
373 significant fraction of the PA radicals from the H abstraction reaction channel were expected to
374 react with NO to form alkoxy radicals. Based on the product observed at m/z 321, a reaction
375 scheme (Fig. 11) is proposed, where the alkoxy radical dissociates into CO₂ and an alkenyl radical,
376 which is further oxidized to form a C4 dinitrate (m/z 321, Fig. 10), along with ethanal nitrate (m/z
377 232, Fig. 10).

378 For the OH addition pathway, OH can add to the C2 and the C3 position of the isoprene nitrooxy
379 enal, but the less substituted C3 position should be preferential (Peeters et al., 2007). For the C2
380 addition, the expected nitrate products are C5 dinitrate and ethanal nitrate (Fig. 12a), and their
381 nominal masses were observed at m/z 351 and m/z 232 (Fig. 10). NO₂ could potentially be released
382 with the concurrent formation of a C4 di-aldehyde (Fig. 12a). The CIMS signal for this compound
383 at m/z 229 did not increase (Fig. 10), but the CIMS sensitivity for this compound could be
384 relatively low. For the C3 addition, the expected nitrate products are C5 dinitrate, MVK nitrate
385 and ethanal nitrate (Fig. 12b), observed at m/z 351, m/z 276 and m/z 232 (Fig. 10). We assigned
386 m/z 276 to solely MVK nitrate, instead of MACR nitrate, because the precursor nitrooxy enal has
387 a secondary carbon at its C3 position, and the OH oxidation reaction cannot add a functional group
388 at this position while still maintaining it as a secondary carbon as is the case for MACR nitrate.
389 The C2 and C3 OH addition pathway would lead to two C5 dinitrate isomers, but they were
390 detected at the same mass by the CIMS.

391 Using a GC-ECD/CIMS method similar to the one described by Xiong et al. (2015), the CIMS
392 sensitivities of the nitrate products were determined relative to the CIMS sensitivity of the isoprene
393 nitrooxy enal. The setup was modified to operate the GC separation under pressure lower than 1
394 atm (Fig. S7), which helped to lower the elution temperature. A Teflon bag filled with the nitrooxy
395 enal, isopropyl nitrite, and NO was irradiated to generate the OH oxidation products. The mixture
396 of the nitrooxy enal and its products was then cryo-focused and separated on the GC column, and
397 the eluent species were detected by the ECD and the CIMS simultaneously. We were able to
398 quantify the MVK nitrate and the ethanal nitrate using this method, assuming identical ECD
399 sensitivities for nitrates. The other products shown in Fig. 10, however, were not detected with

400 simultaneous good signal-to-noise ratio on the ECD and the CIMS. The ECD/CIMS
401 chromatograms are shown in Fig. 13. We determined that the reaction of the isoprene nitrooxy
402 enal with the reagent ion I^- could form NO_3^- , but the same reaction did not occur for the MVK
403 nitrate and the ethanal nitrate (Fig. 13). Formation of NO_3^- from I^- reaction with organic nitrates
404 has not been reported previously. Since I^- is a poor nucleophile, it is unclear if this reaction
405 proceeds by S_N2 substitution. Using the same I^- ionization method, Wang et al. (2014) observed
406 NO_3^- signal equivalent to a $NO_3 + N_2O_5$ concentration of 200-1000 ppt during a field study in
407 Hong Kong. Through interference tests, the authors attributed 30-50% of the observed NO_3^- signal
408 to the interference from peroxyacetyl nitrate and NO_2 . Since I^- reaction with the nitrooxy enal can
409 also generate NO_3^- , organic nitrates ($RONO_2$) could be a potential source of interference for NO_3
410 + N_2O_5 measurement with the I^- ionization method. For field measurement of isoprene nitrooxy
411 enal, this compound could be mistakenly measured as NO_3^- when iodide-based CIMS was used
412 without tuning the instrument specifically to favor iodide-nitrate clustering. While no field
413 observations of this type of compound have been reported to date, they can still potentially be an
414 important NO_y reservoir. For instance, Brown et al. (2009) estimated that in the 2004 NEAQS
415 study the total concentration of nitrates derived from $NO_3 +$ isoprene chemistry could reach 500
416 ppt. The carbonyl nitrates (nitrooxy enone and nitrooxy enal) can contribute to a significant
417 fraction of the total.

418 For the GC-ECD/CIMS calibration, 9 trials were conducted at three different pressures. The results
419 are summarized in Table S2. The relative CIMS sensitivities for the nitrooxy enal, ethanal nitrate
420 and MVK nitrate are 1:15(\pm 3):34(\pm 3) respectively. The absolute CIMS sensitivity of the isoprene
421 nitrooxy enal was determined with standard gas samples prepared following Xiong et al. (2015),
422 and the result was used to calculate the absolute sensitivities for the ethanal nitrate and the MVK
423 nitrate. The ethanal nitrate and the MVK nitrate both have the $-ONO_2$ group at the β position of
424 the acidic H, so their CIMS sensitivities are comparable. For the MVK nitrate, the electron-
425 withdrawing ketone group can further enhance its gas-phase acidity and its affinity to bind with I^- .
426 Hence, the CIMS sensitivity for the MVK nitrate is greater than for the ethanal nitrate. For the
427 nitrooxy enal, its low CIMS sensitivity can be caused by the *trans*- δ configuration of the $-ONO_2$
428 group and the $-CHO$ group. Our previous studies on isoprene-derived hydroxynitrates suggested
429 that the CIMS sensitivity for the β isomer is 8 times greater than for the *trans*- δ isomer (Xiong et
430 al., 2015). Lee et al. (2014a) also reported the β isomer sensitivity being over 16 times greater than

431 the *trans*- δ isomer sensitivity, using iodide as the reagent ion. Hence, our calibration results, with
432 the sensitivity for the ethanal nitrate 15 times greater than the sensitivity for the nitrooxy enal, is
433 consistent with previous work.

434 With the CIMS sensitivities determined, the yield of the MVK nitrate and the ethanal nitrate from
435 the OH-initiated oxidation of the isoprene nitrooxy enal was obtained by comparing the formation
436 of the products relative to the loss of the reactant (Fig. 14). The yield of the ethanal nitrate was
437 corrected for loss to OH oxidation and photolysis, using the method described by Tuazon et al.
438 (1984). The applied ethanal nitrate + OH rate constant was $3.4 \times 10^{-12} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$,
439 calculated using the structure-reactivity relationship (SAR) proposed by Kwok and Atkinson
440 (1995). The applied photolysis frequency for ethanal nitrate was $1.69 \times 10^{-5} \text{ s}^{-1}$, calculated with the
441 cross section recommended by Muller et al (2014) and a unity quantum yield. The yield of the
442 MVK nitrate was corrected for loss to photolysis, wall uptake and OH oxidation using the same
443 method as that for the ethanal nitrate yield. The applied photolysis frequency for the MVK nitrate
444 was $4.5 \times 10^{-6} \text{ s}^{-1}$, calculated using the absorption cross section of 3-nitrooxy-2-butanone (Barnes
445 et al., 1993) as a surrogate and unity quantum yield across all wavelengths (Müller et al., 2014).
446 The MVK nitrate wall loss rate was set the same as that for the nitrooxy enal, because MVK nitrate
447 has a molecular weight close to that of the nitrooxy enal. Based on the Kwok and Atkinson (1995)
448 SAR method, we calculated that the rate constant for MVK nitrate reaction with OH should be
449 $1.78 \times 10^{-12} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$. After the correction for secondary loss, the apparent yield is 23.3%
450 for MVK nitrate and 8.0% for ethanal nitrate. Considering the uncertainties in the sensitivities of
451 MVK nitrate and ethanal nitrate (Table S2), the MVK nitrate yield is 23(\pm 3)%, and the ethanal
452 nitrate yield is 8(\pm 2)%. The fractional inlet sampling loss for the three nitrates was determined by
453 comparing the CIMS signals of sampling through the 5.2 m long 50°C tubing and through a 20 cm
454 room temperature tubing. By correcting for the inlet sampling loss, the MVK nitrate yield is
455 23(\pm 5)%, and the ethanal nitrate yield is 8(\pm 3)%. For the two OH oxidation experiments, the first-
456 order loss rate of the nitrooxy enal was $3 \times 10^{-4} \text{ s}^{-1}$ (Fig. S8). Since the total wall uptake and
457 photolysis loss rate for nitrooxy enal was $4.3 \times 10^{-5} \text{ s}^{-1}$, approximately 85% of the nitrooxy enal
458 was lost to OH oxidation. After correcting for this factor, the MVK nitrate yield is 27(\pm 5)%, and
459 the ethanal nitrate yield is 9(\pm 3)%. While we were able to determine the yields of MVK nitrate
460 and ethanal nitrate from the OH oxidation reaction, the exact branching ratios for reactions
461 described in Fig. 11 and 12 cannot be derived. This is because ethanal nitrate can be produced in

462 both H abstraction and OH addition pathways (including both the (a) and (b) pathways). For MVK
463 nitrate, even though it is produced in pathway (b) only, it has ethanal nitrate as a byproduct, making
464 it impossible for us to determine the branching ratio for pathway (b).

465 **4.3.2 Photolysis**

466 Previous work on acetaldehyde suggests that at 313 nm the dominant photolysis reaction is
467 dissociation of the C–CHO bond, forming a formyl radical ($\bullet\text{CHO}$) (Blacet and Loeffler, 1942).
468 At shorter wavelength (265 nm), the reaction can proceed by intramolecular rearrangement
469 forming CH_4 and CO (Blacet and Loeffler, 1942). For compounds with longer carbon chain length,
470 such as propyl- and butyl- aldehydes, the photo-dissociation reaction can produce alkenes and
471 smaller aldehydes at 238 nm and 187 nm (Blacet and Crane, 1954). Since the UV radiation that
472 reaches the earth's surface is mostly above 300 nm, the formyl radical pathway is expected to be
473 the most important photolysis reaction for alkyl aldehydes (Shepson and Heicklen, 1982). For the
474 isoprene nitrooxy enal, the C–CHO bond is strengthened by the delocalized electrons from the
475 vinyl and the carbonyl groups, leading to a bond dissociation energy of 413 kJ/mol, as measured
476 for acrolein, which is larger than the C–CHO bond dissociation energy of acetaldehyde (355
477 kJ/mol) (Wiberg et al., 1992). In comparison, the O–NO₂ bond dissociation energy is 175 kJ/mol
478 (Luo, 2007a), much lower than the dissociation energy of the C–CHO bond. Hence, dissociation
479 of the weak O–NO₂ bond may be an important reaction pathway for the nitrooxy enal. This process
480 likely involves the absorption of a photon by the C=C–C=O chromophore, followed by
481 intramolecular energy redistribution to deposit energy into the O–NO₂ bond prior to dissociation.
482 This reaction step would generate NO₂ and an alkoxy radical, which upon reaction with O₂ forms
483 a conjugated dialdehyde.

484 Fig. 15 shows the CIMS spectra before and after the photolysis of the isoprene nitrooxy enal.
485 Cyclohexane was used as the OH scavenger for this experiment. The CIMS signal for the
486 dialdehyde, which is the O–NO₂ bond dissociation product (reaction mechanism shown in Fig.
487 16), did not increase significantly. This may be because the CIMS was not sensitive to the
488 dialdehyde, and/or the dialdehyde underwent rapid secondary reactions, rendering its steady-state
489 concentration below the CIMS detection limit. Alternatively, it is possible that the alkoxy radical
490 derived from O–NO₂ bond dissociation undergoes a 1,5-H shift reaction (Fig. 16), rendering the
491 formation of the dialdehyde an insignificant pathway. The resulting alkyl radical can immediately

492 form a peroxy radical, which may follow the H shift mechanism proposed by Peeters et al. (2009)
493 and form a hydroperoxy aldehyde (HPALD) compound, as observed at m/z 257 by the CIMS (Fig.
494 15). When the peroxy radical reacts with NO or RO_2 , the resulting alkoxy radical will form a
495 hydroxy dialdehyde (Fig. 16) with m/z ratio at 241, which was also observed by the CIMS (Fig.
496 14). It is worth noting that we also observed CIMS signals for the deprotonated ions derived from
497 the HPALD compound (m/z 129 and m/z 147) and the hydroxy dialdehyde (m/z 113 and m/z 131).
498 The proton transfer reaction between the iodide ion and alcohols/peroxides have not been observed
499 previously, but it is possible that the conjugated structures help stabilize the charge and hence
500 make the proton transfer reaction a viable reaction channel.

501 The product at m/z 276 has the molecular weight of MVK nitrate. In the presence of OH scavenger,
502 however, the reaction is unlikely to proceed by the OH-initiated oxidation pathway to form MVK
503 nitrate. Instead, we hypothesize that the isoprene nitrooxy enal could dissociate via the C-CHO
504 bond, which, following reaction with O_2 and HO_2 , would form a vinyl hydroperoxide with the
505 same molecular weight as MVK nitrate. Vinyl hydroperoxides are known to be a reactive
506 intermediate from the intramolecular H shift of Criegee biradical, which can decompose into OH
507 and alkoxy radicals (Kroll et al., 2002). However, the un-energized vinyl hydroperoxides should
508 have a lifetime long enough to be detected by mass spectrometers (Liu et al., 2015). In fact,
509 theoretical calculations suggest that at 25 °C vinyl hydroperoxide has a lifetime of 58 hours
510 (Richardson, 1995). Therefore, the product at m/z 276 is likely the vinyl hydroperoxide. For the
511 OH oxidation product experiments, however, we attributed m/z 276 to MVK nitrate only, because
512 $RO_2 + NO$ reaction (forming MVK nitrate) should dominate over $RO_2 + HO_2$ reaction (forming
513 vinyl hydroperoxide), in the presence of high NO concentration.

514 Based on the CIMS spectra of the photolysis products, we conclude that the photolysis of the
515 isoprene nitrooxy enal leads to the dissociation of both the O- NO_2 and the C-CHO bonds. A
516 reaction scheme is proposed in Fig. 16. While we were able to identify some of the photolysis
517 products based on the nominal masses observed with the CIMS, the branching ratio for the two
518 reaction pathways was not determined, due to lack of quantitative measurements during the
519 photolysis experiment. Future studies are needed to evaluate the relative importance of these two
520 processes.

521 5 Conclusions and future work

522 An isoprene-derived nitrooxy enal model compound was synthesized to study its photochemical
523 degradation chemistry in the atmosphere. The UV absorption spectrum of this compound has
524 contributions from both the C=C-C=O and the -ONO₂ chromophores, as is confirmed by
525 theoretical calculations, but absorption in the actinic region involves a transition involving the enal
526 group. The combination of the C=C-C=O and the -ONO₂ chromophores enhances the UV cross
527 section of this molecule relative to alkyl nitrates, making photolysis its dominant daytime sink.
528 The photochemical lifetime of the nitrooxy enal can be less than one hour, due to its rapid
529 photolysis loss, together with high reactivity toward OH and O₃. The OH and O₃ oxidation rate
530 constants for the isoprene nitrooxy enal obtained in this study were both smaller than the reported
531 rate constants for the δ -isoprene hydroxy nitrates (Jacobs et al., 2014; Lee et al., 2014b). This could
532 be because the oxidation by either OH or O₃ would break the resonance structure of the C=C-C=O
533 moiety, thus increasing the activation energy.

534 Using the iodide-based CIMS, we identified the first-generation nitrate products from the OH-
535 initiated oxidation of the synthesized nitrooxy enal, including mononitrate, dinitrate and nitrooxy
536 peroxyacyl nitrate. Two of the products, the MVK nitrate and the ethanal nitrate, were quantified,
537 which together contributed to 36(\pm 5)% of the total products. The CIMS spectra of the nitrate
538 photolysis products suggest that both the C-CHO bond and the O-NO₂ bond dissociate in the
539 reaction. Since photolysis is a significant sink for the nitrooxy enal, it is important for future studies
540 to investigate the relative importance of the two reaction pathways, in order to fully understand
541 the fate of NO_x in isoprene-rich atmospheres. Dissociation of the O-NO₂ bond may afford highly
542 oxidized alcohol and hydroperoxide, which can potentially undergo uptake into the particle phase
543 and facilitate the formation of secondary organic aerosols. The C-CHO dissociation pathway may
544 form a vinyl hydroperoxide product.

545 The NO₃-initiated isoprene oxidation can produce a series of isomeric carbonyl nitrates. The 1,4-
546 nitrooxy enal, which is the dominant isomer, is expected to have similar photolysis reactivity as
547 the 4,1-nitrooxy enal studied in this work, because they both have the O=C-C=C-C chromophore
548 and the -ONO₂ chromophore, which would enhance the molecular absorption cross section. For
549 the unsaturated ketones (enones) derived from isoprene oxidation, the ketone functionality may

550 reduce their reactivity toward OH, in comparison with aldehydes, but we expect them to have
551 similar photochemical properties as the nitrooxy enals, since isomers such as methyl vinyl ketone
552 (MVK) and methacrolein (MACR) have similar absorption cross sections and quantum yields
553 (Gierczak et al., 1997).

554

555 The experiments in this work were conducted in the presence of relatively high NO concentration.
556 In the ambient environment, organic nitrates produced in the high NO_x regime can undergo
557 photochemical degradation in the low NO regime, due to the wide span of ambient NO_x
558 concentrations (Su et al., 2015; Xiong et al., 2015). Crouse et al. (2012) proposed that under low
559 NO conditions, the oxidation of methacrolein (MACR) can regenerate OH radicals and form a
560 lactone that is prone to reactive uptake onto the aerosol phase. Since the isoprene nitrooxy enal
561 has a structure similar to that of MACR, it might also undergo similar reaction in the clean
562 environment. Further experimental work is needed to investigate how the photochemical oxidation
563 process of the nitrooxy enal can influence the formation of OH radicals and growth of secondary
564 organic aerosols.

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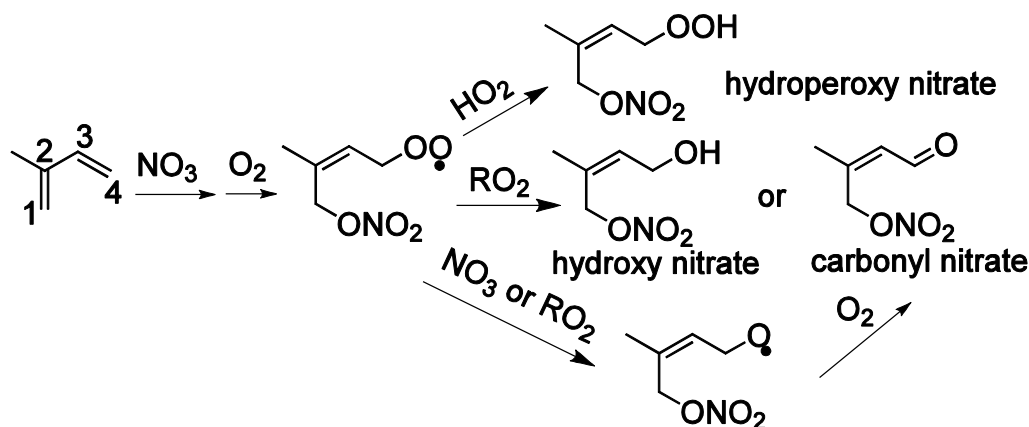
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 867 for the reactions of hydroxyl radicals with ethene and propene, *The Journal of Physical Chemistry*,
 868 88, 984-989, 10.1021/j150649a028, 1984.
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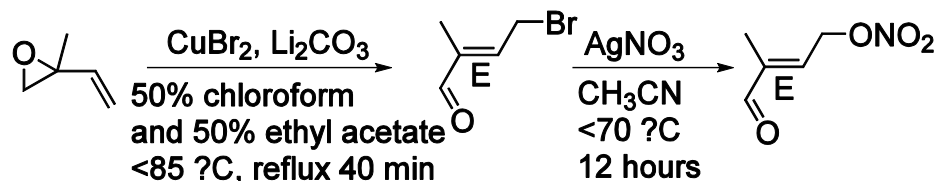


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872 Figure 1. Organic nitrates produced from NO₃-initiated isoprene oxidation.

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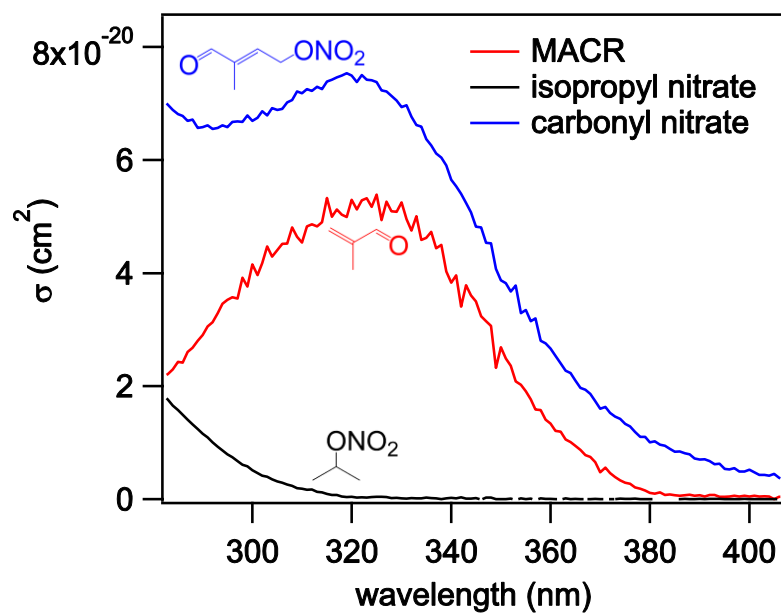
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877 Figure 2. The synthesis route for the 4,1-isoprene nitrooxy enal.

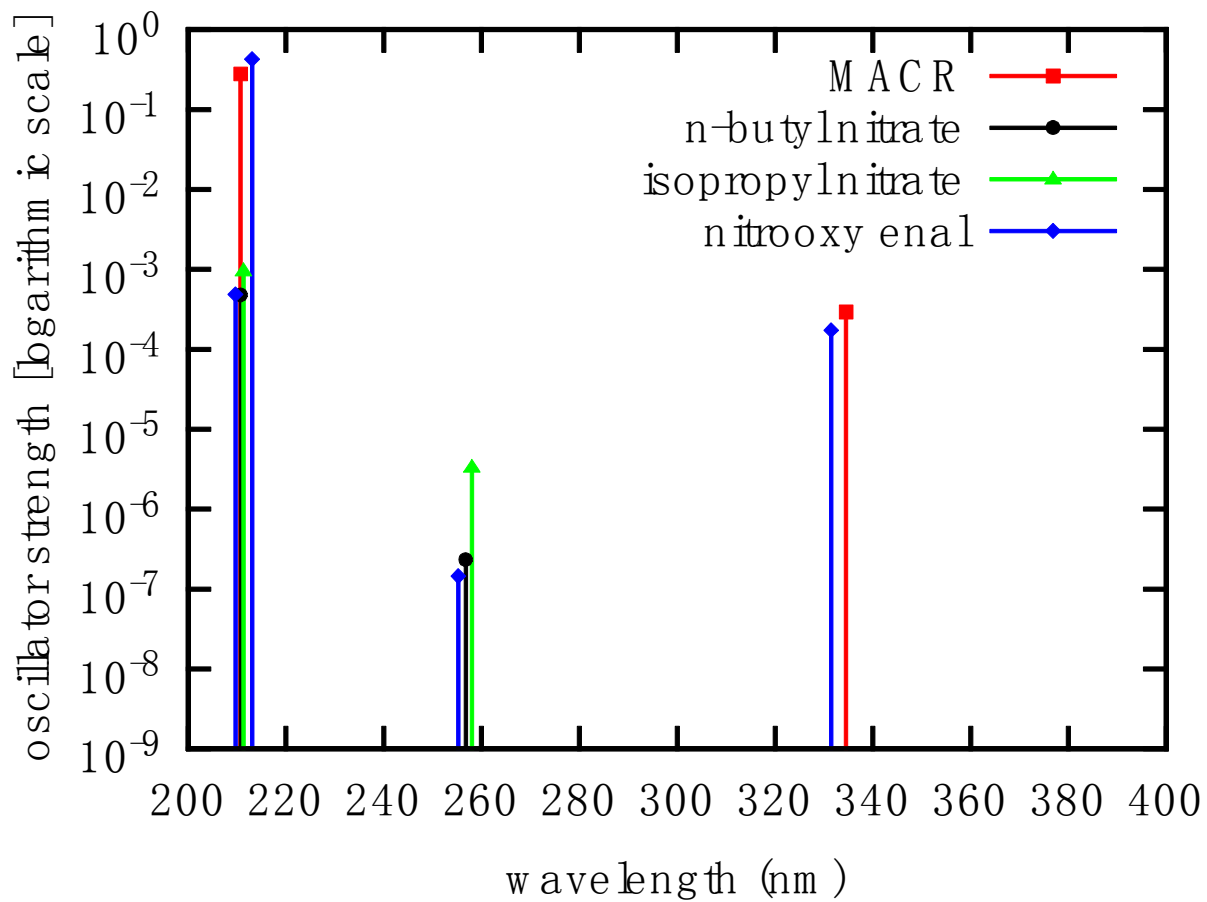
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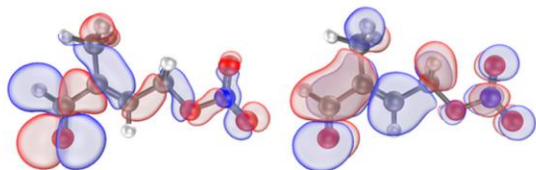
Figure 3. UV absorption cross section of the nitrooxy enal, MACR and isoproyl nitrate. The spectra were all obtained in acetonitrile solvent.



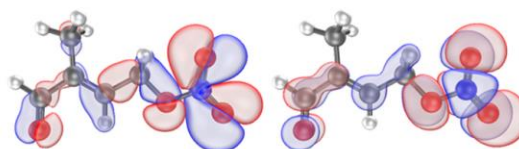
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Figure 4. Theoretical gas-phase absorption spectra of the nitrooxy enal, MACR, isopropyl nitrate and *n*-butyl nitrate in the gas phase.

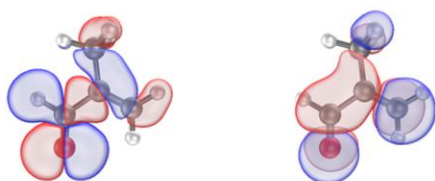
(a) First excitation in nitrooxy enal
331.51 nm \approx 3.7404 eV
HOMO \rightarrow LUMO



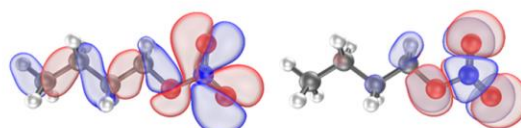
(b) Second excitation in nitrooxy enal
255.27 nm \approx 4.8575 eV
HOMO-2 \rightarrow LUMO+1



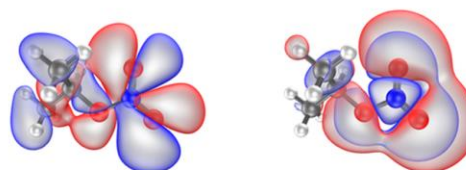
Homologous excitation in MACR
334.54 nm \approx 3.7066 eV
HOMO \rightarrow LUMO



Homologous excitation in *n*-butyl nitrate
256.76 nm \approx 4.8295 eV
HOMO \rightarrow LUMO

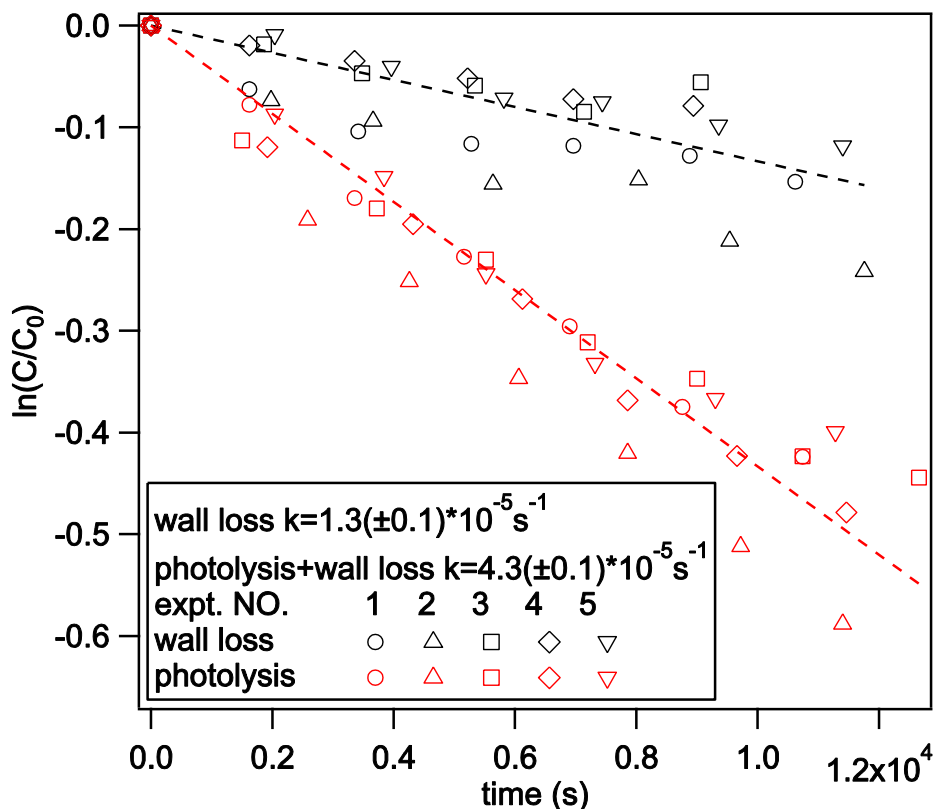


Homologous excitation in isopropyl nitrate
258.02 nm \approx 4.8058 eV
HOMO \rightarrow LUMO



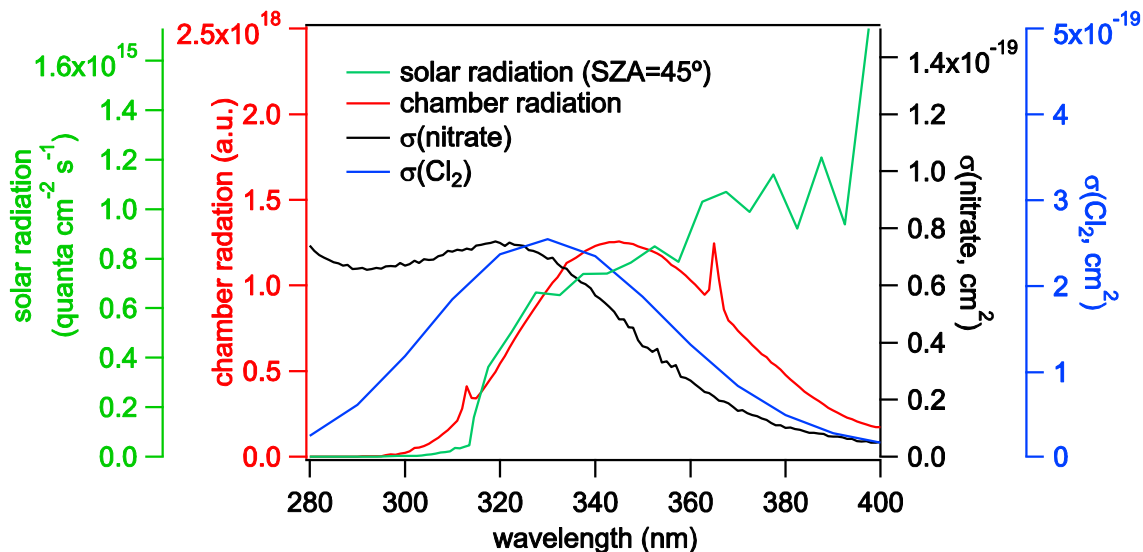
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Figure 5. Molecular orbital analysis of the first (a) and second (b) electronic excitations of the nitrooxy enal, along with analogous excitations of MACR, isopropyl nitrate and *n*-butyl nitrate. The blue and red colors represent the opposite phases of molecular orbitals.



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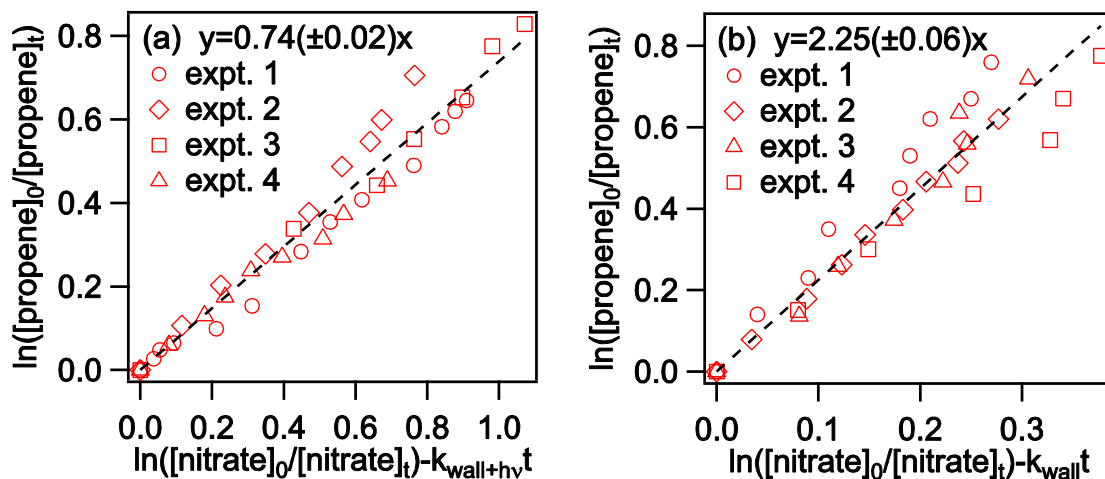
Figure 6. Wall loss and photolysis loss of the nitrooxy enal in the reaction chamber.



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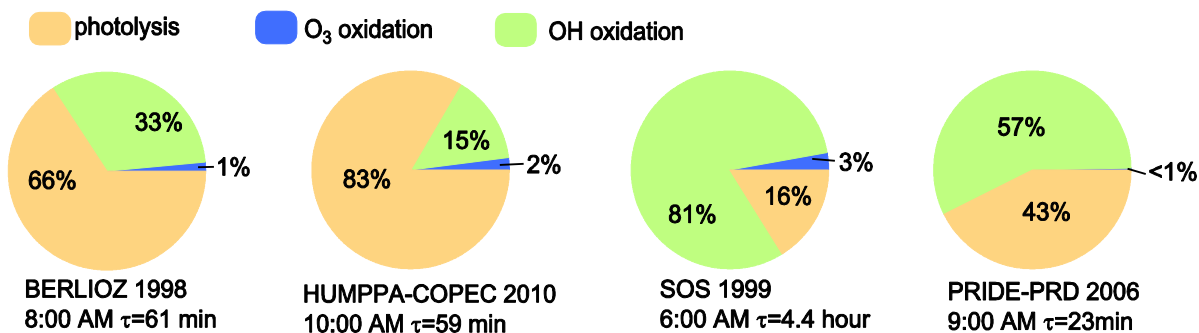
Figure 7. The radiation spectra of the chamber (red) and the sun (green, $\text{SZA}=45^\circ$ as an example), and the absorption spectra of the nitrooxy enal (black, obtained in the liquid phase using acetonitrile solvent) and chlorine (blue).

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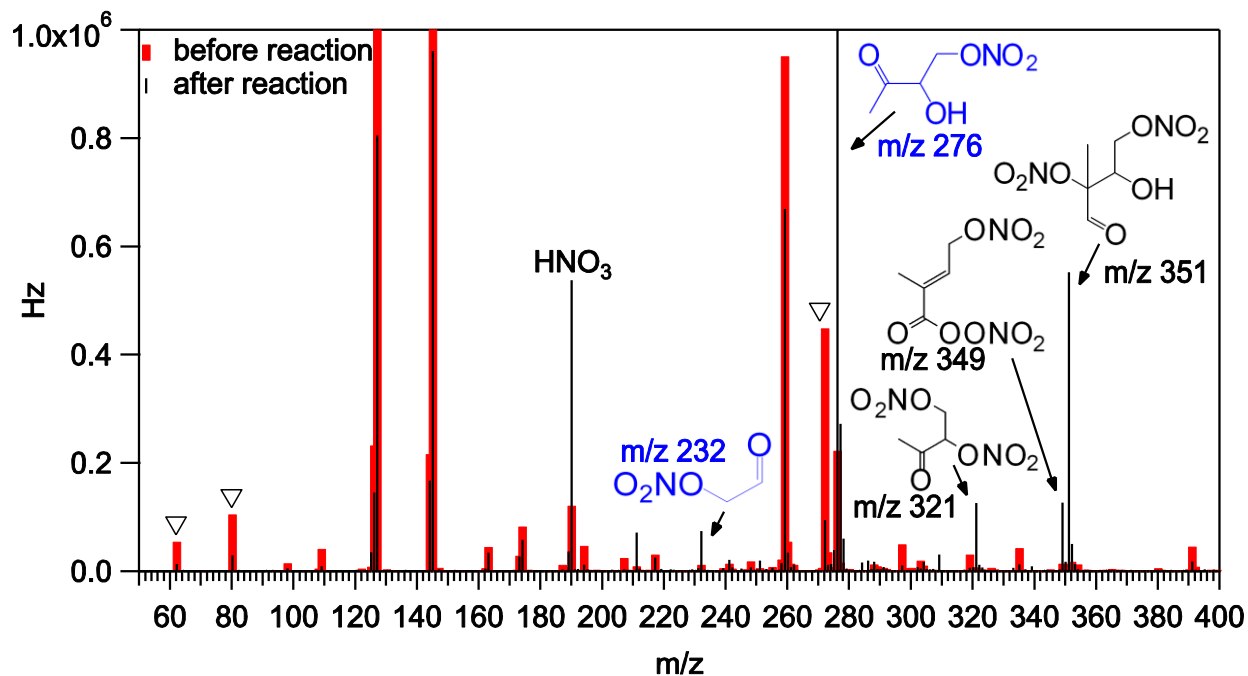
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Figure 8. The first-order loss of propene relative to that of the nitrooxy enal for OH-initiated (a) and O₃-initiated (b) oxidation reactions.

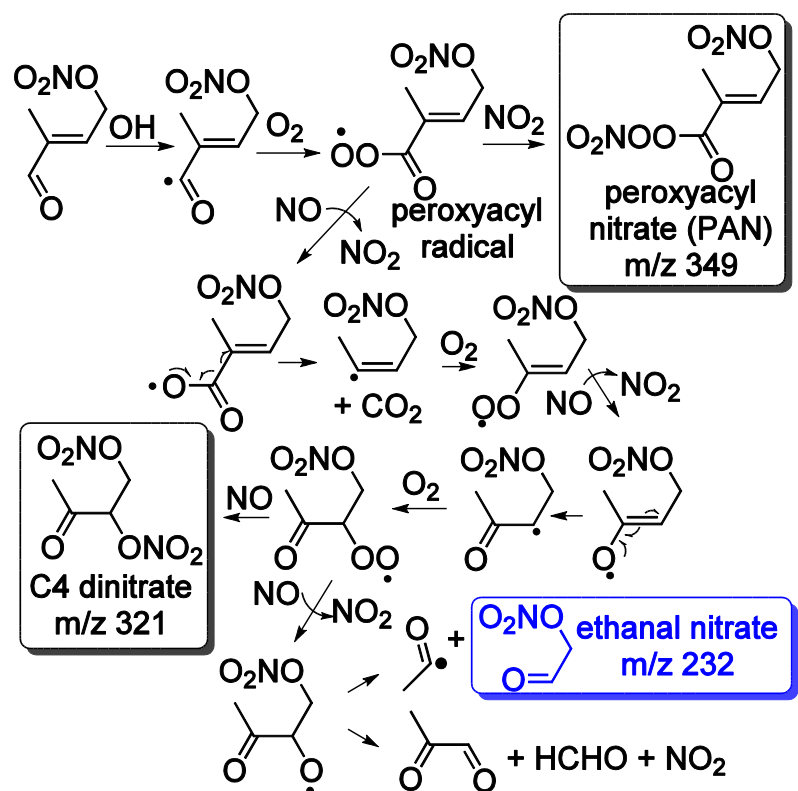


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Figure 9. The relative contributions of photolysis (orange), OH oxidation (green) and O₃ oxidation (blue) to the photochemical decay of the nitrooxy enal, calculated based on measured OH and O₃ concentrations for the following field studies: BERLIOZ 1998 study at Pabstthum, Germany (Mihelcic et al., 2003; Platt et al., 2002), HUMPPA-COPEC 2010 study at Hyytiälä (Hens et al., 2014), Finland, SOS 1999 study at Nashville, US (Martinez et al., 2003; Roberts et al., 2002) and PRIDE-PRD 2006 study at Guangzhou, China (Lu et al., 2012).

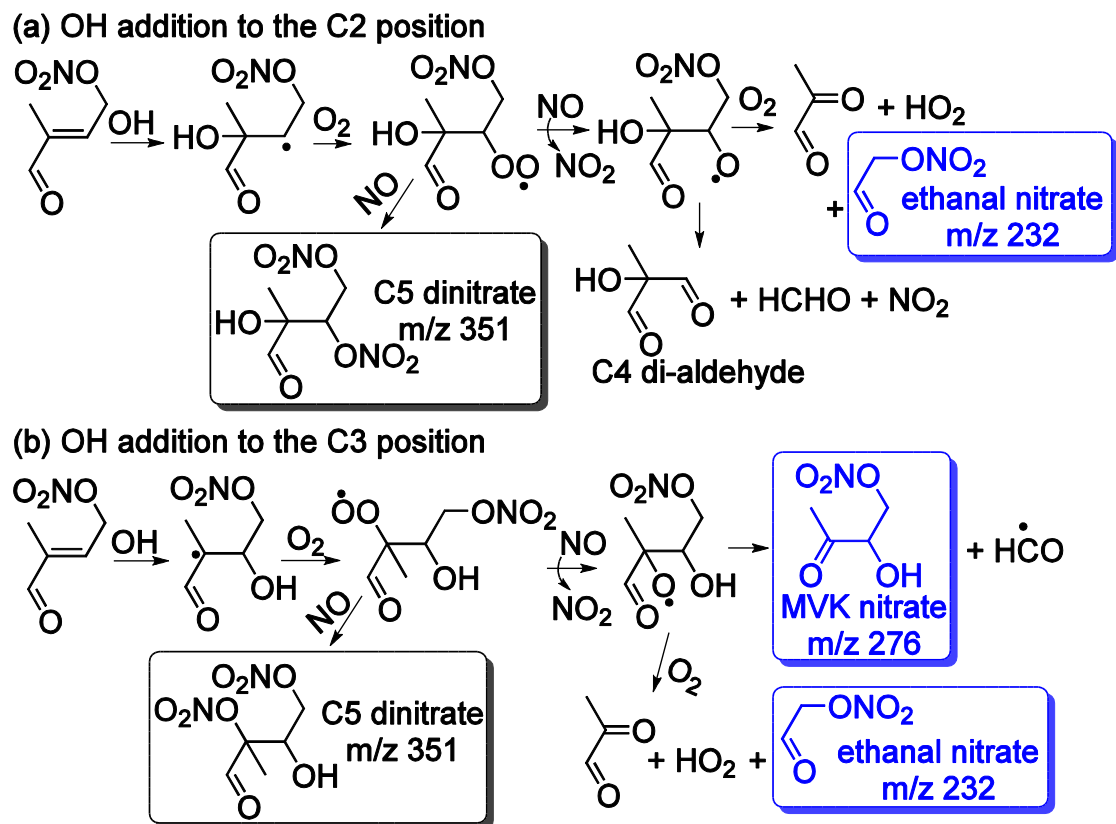


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 932 Figure 10. The CIMS spectra before (red) and after (black) the OH + nitrooxy enal oxidation
 933 reaction. The inverted triangles show the decreases in CIMS signals for the nitrooxy enal (m/z
 934 272) and the NO₃⁻ fragments (m/z 62, water cluster at m/z 80) derived from the carbonyl nitrate
 935 (Fig. 11). The molecular structures are inferred from the nominal masses observed by CIMS. The
 936 compounds that were observed by both CIMS and GC (Fig. 13) are colored in blue.
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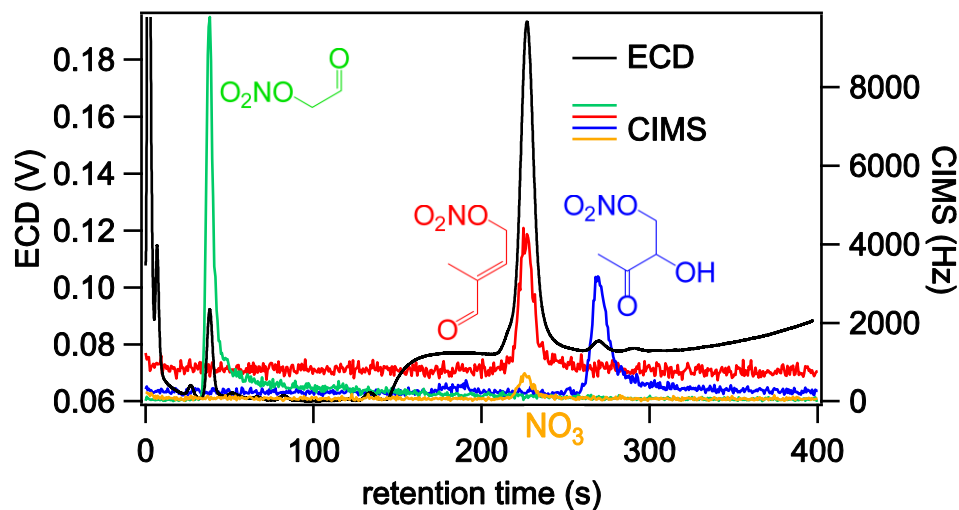
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Figure 11. A proposed reaction mechanism for the H abstraction pathway for the OH + nitroxy enal oxidation reaction. The compounds in boxes are products inferred from the nominal masses observed by the CIMS (Fig. 8). The compound colored in blue was observed by both GC and CIMS (Fig. 13).

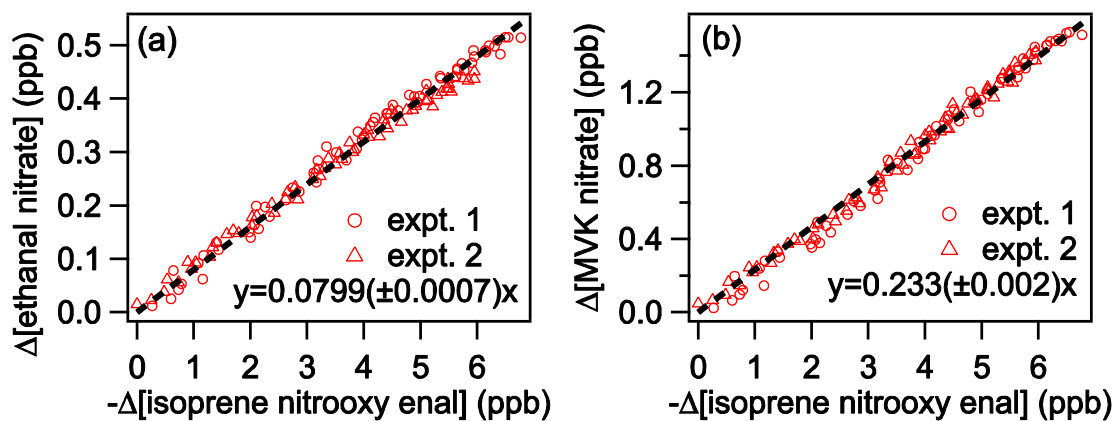


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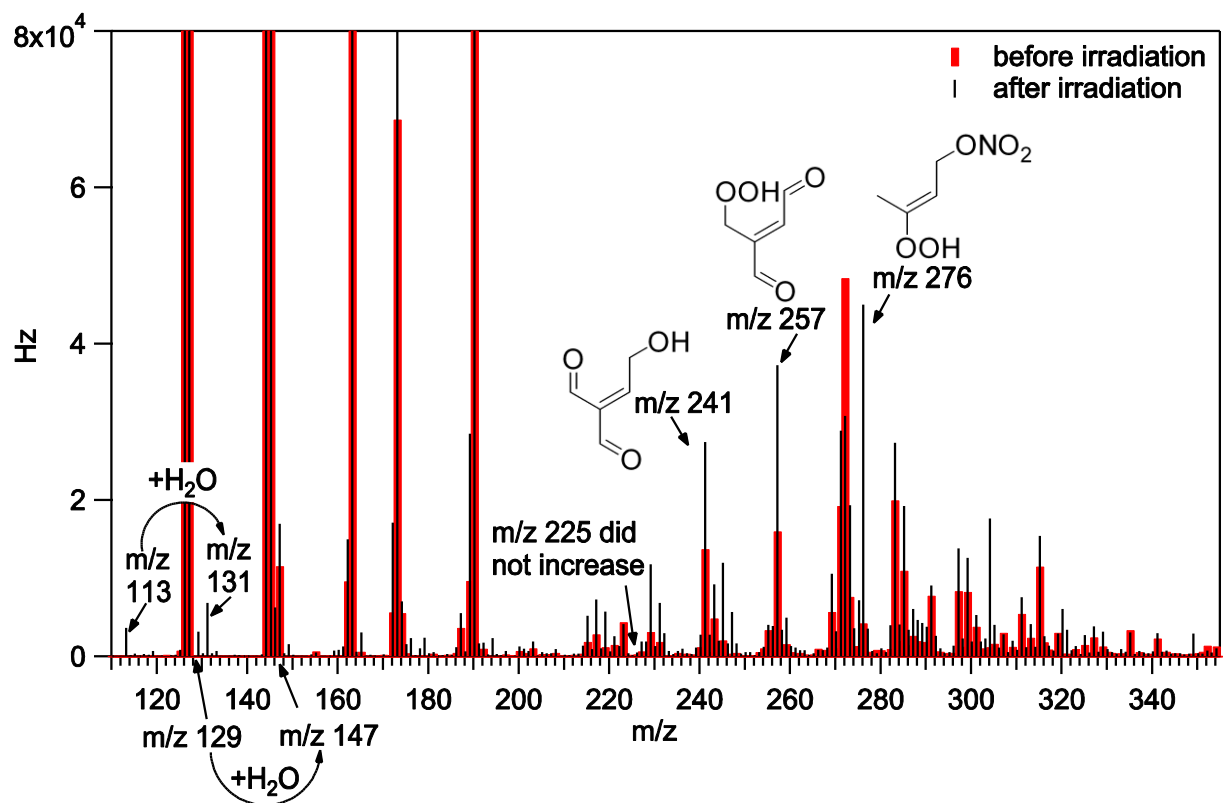
Figure 12. Proposed reaction mechanisms for OH addition to the C2 (a) and C3 (b) position of the nitroxy enal. The compounds in boxes are products inferred from the nominal masses observed by the CIMS (Fig. 8). The compounds that were observed by both CIMS and GC (Fig. 13) are colored in blue.



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 960 Figure 13. The GC-ECD/CIMS spectra for the nitrooxy enal (red), MVK nitrate (blue) and ethanal
 961 nitrate (green). The reaction of iodide with the nitrooxy enal generated NO_3^- ion (orange). The
 962 ECD chromatogram is shown in black.
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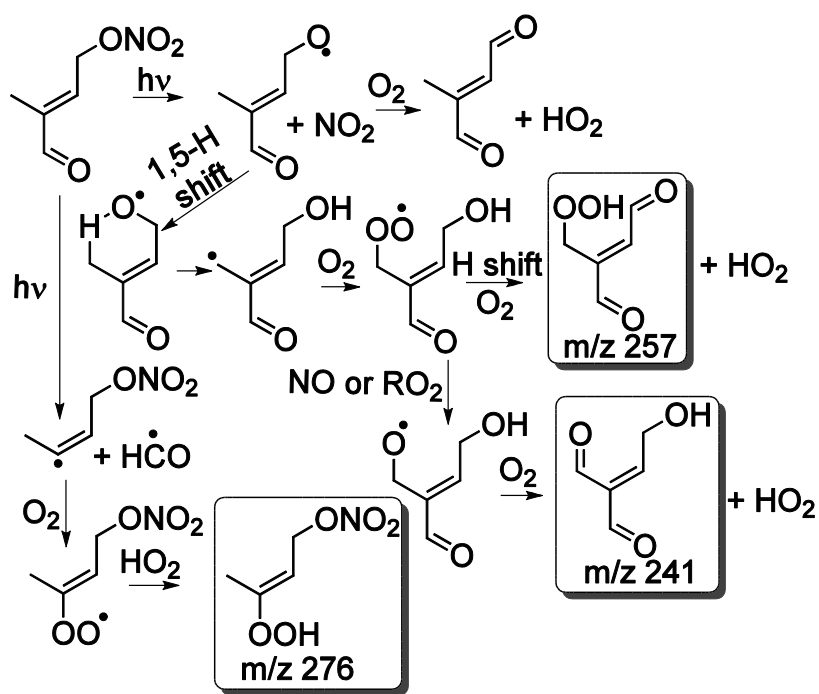


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 967 Figure 14. The formation of ethanal nitrate (a) and MVK nitrate (b) relative to the loss of the
 968 isoprene nitrooxy enal for the OH + nitrooxy enal oxidation experiments.
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 972 Figure 15. CIMS spectra before (red) and after (black) the photolysis of the isoprene nitrooxy enal.
 973 The molecular structures are inferred from the nominal masses observed by CIMS.

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978 Figure 16. A proposed reaction mechanisms for the nitrooxy enal photolysis reaction. The
979 compounds in boxes are products inferred from nominal masses observed by the CIMS (Fig. 13).
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